

## Responses to Referee's Comments

We appreciate careful reading and lots of valuable comments.

We wrote referee's comments in black, our responses to comments in blue and italics, and the revised manuscript in red.

### **Referee #1:**

My only major suggestion is for the authors to consider the use of monthly mean "hourly" AMF, e.g. monthly mean AMFs for 9AM, 10AM, 11AM local time ... The only reason for using monthly mean AMFs would be to reduce computation such that operational VCD products can be delivered quickly. It seems the use of "monthly mean hourly" AMFs would not only be quick, but also address a lot of the aerosol temporal effects, since for each month, the main diurnal variability of AMFs is driven by the vertical profile of aerosols (which in turn is driven by the development of the PBL). This seems like something the authors can address without too much additional computation.

*Following the reviewer's suggestion, we calculated monthly mean "hourly AMF" ( $AMF_{mh}$ ) and included our discussion on the results using  $AMF_{mh}$  in Fig. 3-4 in the revised manuscript as follows:*

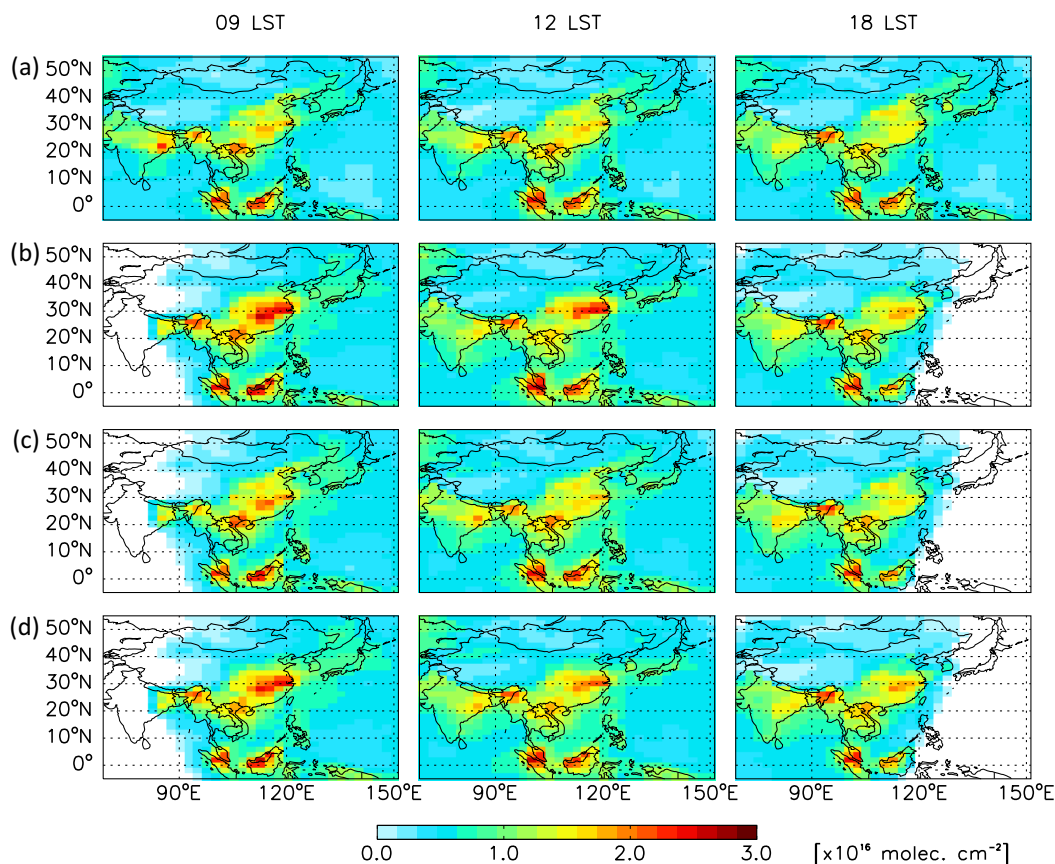
Here, we use three AMF specifications associated with the temporal variation of input data for AMF calculations. Input data include HCHO profiles, aerosol optical properties and profiles, temperatures, pressures, and other interfering gases ( $O_3$ ,  $NO_2$ , and  $SO_2$ ) from GEOS-Chem simulations. We use monthly, hourly, and monthly-averaged hourly input data at each model grid to compute  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$ , respectively, for June 2009.

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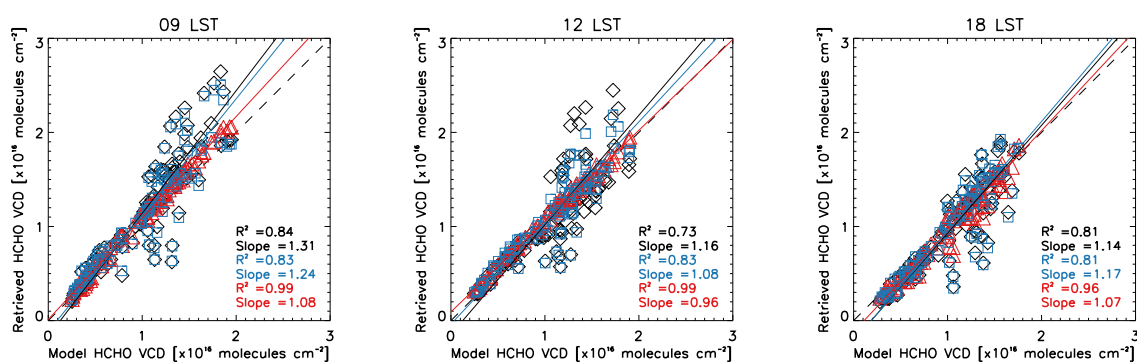
Figure 4 shows scatterplot comparisons of retrieved VCDs versus model simulations at 9, 12, and 18 LST of Seoul over China (105-120°E, 15-45°N). We find some biases in the retrieved products using  $AMF_m$  and  $AMF_{mh}$  compared with the true values and the results with  $AMF_h$ . Regression slopes are close to one for the results using

AMF<sub>h</sub> (0.96-1.08) but higher than one for the results using AMF<sub>m</sub> (1.14-1.31) and AMF<sub>mh</sub> (1.08-1.24). The coefficients of determination ( $R^2$ ) between the retrieved versus true VCDs differ significantly and are 0.73, 0.83, and 0.99 for the retrieved VCDs with AMF<sub>m</sub>, AMF<sub>mh</sub>, and AMF<sub>h</sub> at 12 LST, respectively, indicating the best performance of the retrieval using AMF<sub>h</sub> relative to those with the other AMFs.

We find that both the regression slope and  $R^2$  for the results using AMF<sub>mh</sub> suggest a better performance than those with AMF<sub>m</sub>, particularly at 12 LST, but do not show any significant improvement at 9 and 18 LST. We infer from this that the temporal variability of species, caused by the diurnal variation of the planetary boundary layer (PBL), mostly explains the difference between the retrievals using AMF<sub>m</sub> and AMF<sub>mh</sub>. Accounting for this diurnal variability appears to be important for the retrieval when the PBL is fully developed and the active chemical processes typically occur. Therefore, we think that the use of AMF<sub>mh</sub> could be an alternative and more efficient way to improve HCHO VCD retrievals for geostationary satellites with less computation required relative to the use of AMF<sub>h</sub>.



**Figure 3. (a) HCHO VCDs simulated by GEOS-Chem at 9, 12, and 18 local standard time (LST) of Seoul on 21 June 2009. (b) Retrieved HCHO VCDs with  $AMF_m$ . (c) Retrieved HCHO VCDs with  $AMF_h$ . (d) Retrieved HCHO VCDs with  $AMF_{mh}$ .**



**Figure 4. Comparison of the retrieved versus simulated VCDs shown in Fig. 3 over China (105–120°E, 15–45°N). Black diamonds, red triangles, and blue squares denote the retrieved VCDs using  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$ , respectively. Statistics are shown as insets.**

Minor comment: Page 8, lines 20–21: "In biogenic emission regions, the effects of biogenic aerosols on AMF are negligible . . ." This may be true, but it would be nice to

have some quantification. How large is the contribution of biogenic aerosols to total AOD?

*We clarified the sentences as follows:*

**In biogenic emission regions, AOD at 300 nm is low ( $<0.1$ ) and thus its effect of AMF is relatively minor except for biomass burning cases occurring over Indonesia (100-120°E, 4°S-5°N) in September and Indochina (100-120°E, 10-20°N) in March.**

## Responses to Referee's Comments

We appreciate careful reading and lots of valuable comments.

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5 the revised manuscript in red.

### **Referee #2:**

Specific comments

1) Aerosol altitude and/or profile?

10 I struggle to understand the assumptions made by the authors about the shape of vertical distribution of aerosols from hour to hour, day-to-day and month-to-month and how they explicitly impact the computed HCHO AMF depending on the considered methodology (either hourly variability or monthly averages). So far, in my understanding, the authors only considered the impact of assuming constant AOD and SSA properties:

- 15
- ◆ Line33 P10, "each one of the HCHO profiles and aerosol optical properties is allowed to vary hourly"
  - ◆ Line19, P11, "we compare hourly AOD and SSA at 300 nm with monthly values"
  - ◆ Figures 6 and 7 only focus on AOD and SSA variability (which are of course of importance) but do not show the aerosol altitude changes.

20 These statements and figures, and many others, seem to suggest that the variability of the vertical aerosol profile itself was not explicitly considered, independently and/or combined with their optical property variability.

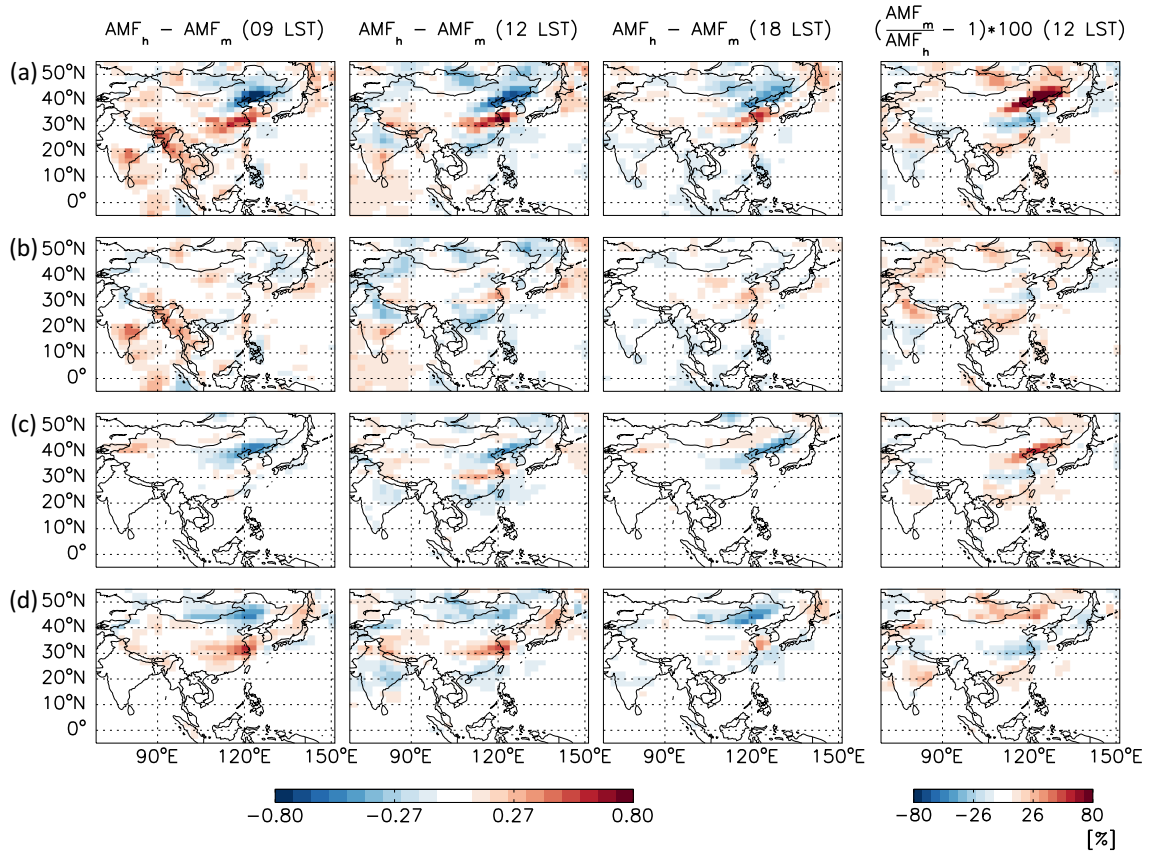
25 *We understand that we were not clear enough about our method to conduct the sensitivity test of AMF calculations to the temporal variation of aerosol optical properties. To compute hourly AMF values, we used hourly simulations of gas and aerosol concentrations as well as meteorological data. The effect of aerosol altitude variation was already included in our study, but we did not separate this effect from the overall aerosol effects. In the revised manuscript, we separately quantify the temporal*  
30 *variation effects of aerosol vertical profile and aerosol optical properties (AOD and SSA). The detailed description is included in Sec. 4 with Fig. 5 in the revised*

*manuscript as well as in our responses below.*

Moreover, the authors mentioned on P.9 that “the peak altitude of aerosols increases from the surface to 2 km”. I don’t think that such a general statement is always true. Is it a  
5 general conclusion supported by referent observations studies over the considered area, or what is seen in the GEOS-Chem model? I would expect to see quite some variations about the height of the peak of the aerosols as it should be strongly driven by 1) the injection height (either in the boundary layer or in the free troposphere), 2) how well the boundary layer (season and synoptic variability) is developed, and 3) specific chemistry  
10 processes associated with aerosol particles that may vary depending on their type and the seasons. For example, [Castellanos et al., 2015] demonstrated that biomass burning aerosols extend to high altitudes (about 2 km). But dust particles that are transported over long distance can be found sometimes higher than 2 km. Similarly, sulphate and nitrate particles which result from precursor trace gases may be confined close to the surface  
15 where the sources are present.

*Yes, we agree with you. We removed that general statement in the revised manuscript and included the description for the effect of aerosol altitude change on AMF calculation. We also conducted a new sensitivity study of the temporal variation of  
20 aerosol altitude separately and discussed it in the revised paper as follow:*

**We also find that aerosol profile variation is important for the AMF calculation as well as aerosol optical property. That is evident, in particular, over the middle of eastern China where the increment of AMF occurs owing to HCHO above aerosol  
25 layers (Fig. 5(d)). The resulting change of AMF is consistent with the study by Chimot et al. (2016) that suggested an enhancement (albedo) effect associated with the relative distribution between HCHO and aerosol. The enhancement effect refers to the increased HCHO absorption within and above aerosol layers because of an increased photon path length caused by aerosol backscatter (Chimot et al., 2016).**



**Figure 5. (a) Differences between  $AMF_h$  and  $AMF_m$  values and relative contributions to them by the temporal changes of (b) HCHO profiles, (c) aerosol optical properties, and (d) aerosol vertical distributions. The first to third columns are results at 9, 12, and 18 LST at Seoul on 21 June 2009. The fourth column gives percentage differences for the ratio of  $AMF_m$  to  $AMF_h$  indicating changes of HCHO VCDs with  $AMF_h$  relative to those with  $AMF_m$  at 12 LST.**

P9, it is said “Increasing AOD for scattering aerosols ( $SSA = 0.92$ ) results in an increase of AMF whereas the absorbing aerosols ( $SSA = 0.82$ ) result in a decrease of AMF”. I tend to disagree with such a general statement because:

- ◆ Aerosols with  $SSA=0.92$  are still in my view absorbing (although less than with  $SSA = 0.89$ ). And therefore, I am not sure they can be named “scattering”;

*We agree with you and revised our manuscript significantly for clarity.*

- ◆ The balance between enhancement or shielding effect strongly depends on 1) the shape of aerosol vertical profile, 2) the shape of trace gas (here HCHO) vertical profile, and thus the relative altitude between the 2 components. Many studies emphasized the importance of the relative vertical distributions of both aerosols

and trace gases (such as NO<sub>2</sub>) on the satellite AMFs [Boersma et al., 2004; Chimot et al., 2016; Shaiganfar et al., 2011; Ma et al., 2013; Kanaya et al., 2014; Wang et al., 2016]. The magnitude then, of the shielding or enhancement effects, relies on the AOD and SSA associated with particles present in the observed scene.

Increasing AOD may not always lead to a decrease of AMF, depending on the aerosol altitude and also the surface albedo. For instance, if very scattering particles are located far from the surface and above the tropospheric HCHO bulk, then we should expect to see an increase of enhancement effect with increasing AOD...

◆ Absorbing aerosols mostly reduce the sensitivity to HCHO concentration [De Smedt et al., 2008] which can result either in a stronger shield effect or a lower enhancement effect compared to scattering particles, depending again on their relative altitude to the HCHO tropospheric bulk.

*Thanks for the constructive comment. Following your comment, we conducted the new sensitivity explained above to clarify the dependency of aerosol profiles on AMF calculation in the revised manuscript. The results are shown in Fig. 5 with our discussion above.*

*In addition, we cited previous study related with the dependency of relative distribution between HCHO and aerosol on AMF calculation.*

The authors should give clarifications how much the vertical distribution of aerosols, based on full GEMS-Chem simulations, varies and how the relative altitudes with respect to HCHO vary as well. I trust this information should be available. Is there a dependency from day-to-day or on the seasons?

*As shown in our response to your first comment, all the data used for AMF calculation are from GEOS-Chem, which simulates hourly variation of aerosols and gases in East Asia. Detailed computation of how the vertical distribution of aerosols and HCHO change would be a bit cumbersome, although the information is available as you indicated. Instead, we showed in Fig. 5 in the revised manuscript the temporal variation effects of HCHO and aerosol vertical distributions on AMF calculations in East Asia.*



Figures 5(b) and (d) also show HCHO and aerosols vertical shapes effects on AMF compared to AMF using monthly averaged HCHO and aerosol profiles, respectively.

To make it clear to understand aerosol profile effects, we compared aerosol profiles (solid) at 12 LST with monthly mean aerosol profiles (dashed) over eastern China representing significant AMF changes. Blue lines indicate aerosol profiles over the northeastern China, where  $AMF_h$  is lower than  $AMF_m$  at 12 LST. Red lines denote aerosol profiles over the middle of eastern China, where  $AMF_h$  is higher than  $AMF_m$ . As we discussed above, in the middle of eastern China (red lines), aerosols are more distributed near the surface compared to monthly mean aerosol profiles (Fig. S1), resulting in an enhancement effect and the increment of AMF. In the northeastern China (blue lines), aerosols are aloft above 2 km so that we expect a shielding effect resulting in the decrement of AMF values. However,  $AMF_h$  did not decrease significantly due to aerosol profile effects on AMF calculation in Fig 5(d). That is because monthly mean SSA used for the quantification of aerosol profile effects is higher than SSA at 12 LST, shown in Fig. 6 (b) of the manuscript. Shielding effects for scattering aerosols could be relatively weaker than those of absorbing aerosols because multiple scattering of aerosols increases a possibility for HCHO to absorb photons.

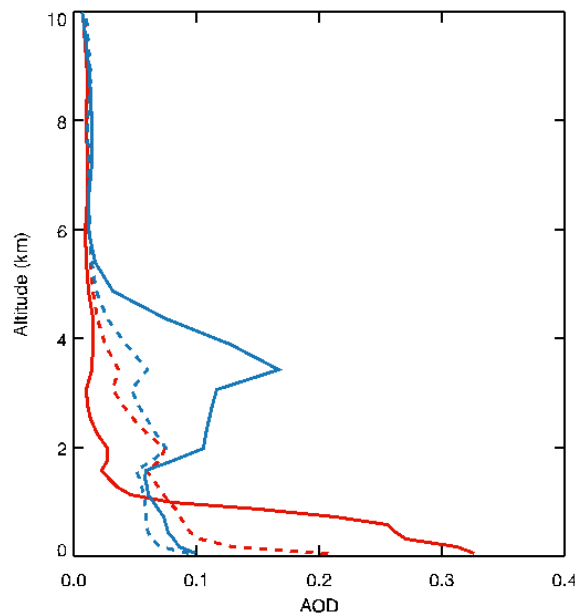


Figure S1. AOD profiles over the eastern China representing pronounced AMF changes in Fig. 5. Solid and dashed lines indicate AOD profiles at 12 LST and monthly mean AOD profiles. Blue and red colors indicate over the regions where AMF values decrease and increase, respectively.

Furthermore, how the vertical profile of the particles was considered in the present work: was a full vertical profile simulated every hour by GEMS? Or did the authors only consider 1 finite and homogeneous aerosol layer with variable mid-level of pressure / altitude? Of course, I understand that finding a good aerosol profile shape estimate is a complex task, but any assumption made about this should be clarified here.

*We used hourly aerosols simulated from GEOS-Chem. For the sensitivity studies, our AMF calculation is described as follows:*

**We use the OSSE described in Sect. 2 to examine AMF temporal variations and their impact on HCHO retrievals. For geostationary satellites, temporal changes of atmospheric conditions can affect AMF calculations. Here, we use three AMF specifications associated with the temporal variation of input data for AMF calculations. Input data include HCHO profiles, aerosol optical properties and profiles, temperatures, pressures, and other interfering gases ( $O_3$ ,  $NO_2$ , and  $SO_2$ ) from GEOS-Chem simulations. We use monthly, hourly, and monthly-averaged hourly input data at each model grid to compute  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$ , respectively, for June 2009. First of all, all the three AMFs vary hourly as functions of the solar zenith angle and location. However, at a given solar zenith angle and location,  $AMF_m$  does not change due to use of monthly mean input dataset over all times of all days in a given month,  $AMF_h$  changes every hour within a month, and  $AMF_{mh}$  changes hourly with no day-to-day variation. Then, we apply  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$  to retrieved HCHO SCDs in order to obtain retrieved HCHO VCDs.**

*However, in order to make AMF table in Sect. 5, we used aerosol profiles, AOD and SSA, HCHO profiles, and other parameters monthly averaged for March 2006. Although relative altitude between aerosols and HCHO is important, we cannot use aerosol layer heights from OMI for now. Therefore, we made AMF table as a function*

*of AOD and SSA only. If an aerosol layer height is retrieved from GEMS or other satellites (Park et al., 2016), we should include aerosol heights in AMF table.*

*We clarified usage of monthly data for AMF table in the section of “Effects of aerosols on OMI HCHO products”.*

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**The AMF calculation has been conducted similarly with monthly mean data from the GEOS-Chem simulations for 2006. ... An aerosol layer height is also important to determine AMF as discussed in Sect. 4. However, the information is not yet available from the satellites with ultraviolet and visible channels so that our AMF look-up table is not a function of aerosol layer heights.**

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Did the authors average the vertical profiles as well or did they keep them constant hour-to-hour and day-to-day? All these elements are at least as important as hourly AOD, and much more than hourly SSA (as considered in Figure 6 and so), and should have crucial impacts on the variability of HCHO AMFs. I suggest that, in addition of monthly averages of SSA and AOD, the authors indicate us how monthly averages of the vertical profile shape and/or the effective aerosol altitude impact as well the accuracy of the results.

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*Please see our responses above. We also rewrite our manuscript to clarify this issue as follows:*

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**In order to quantify individual contributions to AMF differences between the two, each of the HCHO profiles, aerosol optical properties, and aerosol vertical distributions is allowed to vary hourly while other variables are kept fixed using monthly averaged data for AMF calculation.**

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Finally, could the authors clarify and support with figures or references the statement on P. 9, lines 25-28 “This indicates that the aerosol height may not be a significant factor for GEMS HCHO measurements with a fully developed planetary boundary layer height during the afternoon, but could be an important consideration with a shallow boundary layer, a residual aerosol layer above, and long range transport aerosols”? I do not either

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understand the message of the authors here...

*As shown in our earlier responses above and in the revised manuscript, the aerosol profile variation is also very important for AMF calculation. We greatly appreciate the reviewer's comment on this issue, which improves our work considerably.*

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I realize that my demands, here, may cause quite a lot of work for the authors. If they cannot fully be addressed by coupling the transport-chemistry model for aerosol profile shape estimates, I would like the authors to propose then simple aerosol profile shape sensitivity exercises with academic scenarios (e.g. low, intermediate and high aerosol profile), to compute the AMF for these scenarios and address the conclusions. If not, then I think that the limitations of this study (i.e. one important parameter not considered in the temporal aerosol variability) should be explicitly written in the title, abstract and other places of the manuscript.

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*Thanks for the valuable and constructive comment! We think that this comment is quite important not only for our present study but also for future GEMS observations. Therefore, we explicitly quantify the temporal variation effect of both aerosol optical properties and vertical distributions as was discussed above. Our quantification is shown in Fig. 5 using the OSSE and we also cited previous studies to show the importance of relative distributions between aerosols and HCHO for potential readers to understand it clearly in the revised manuscript.*

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2) Notion of “monthly averaged AMF” is ambiguous

The notion of monthly averaged AMF is a little ambiguous. [De Smedt et al., 2008] & [Gonzalez Abad et al., 2015] do not apply a monthly averaged AMF to GOME single pixels but a specific AMF deduced for each observation pixel, based, among other elements:

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- ◆ A climatology surface albedo [Koelemeijer et al., 2003] which provides monthly Lambert- equivalent reflectivity at 335 nm;
- ◆ And monthly vertical profiles of HCHO distribution from a global chemical transport model (GEOS-CHEM or IMAGES).

30

The other parameters such as effective clouds, angles, surface altitude / pressure are not

averaged at the monthly scale but used on a daily basis. Therefore, the mentioned references in this paper did not strictly use a monthly averaged AMF as stated by the author.

Same about the monthly average AMF of the author here: are only aerosols and HCHO profiles averaged or also other parameters? Following point 1) above, what was averaged regarding the aerosols: AOD and SSA only? Or the vertical profile as well? Or was this last element kept constant? I suggest the author to clearly define the monthly average AMF at the beginning of the manuscript.

*As you mentioned, definition of monthly averaged AMF is ambiguous. We referred to monthly AMF as AMF calculated using all monthly mean values, including HCHO, aerosol vertical profiles, and AOD and SSA. The line you referred was clarified as follows:*

**For sun-synchronous satellites, pre-calculated AMFs determined by monthly averaged HCHO and aerosol vertical profiles have been applied for computational efficiency (De Smedt et al., 2008; González Abad et al., 2015).**

*We clarified our definition of monthly AMF, hourly AMF, and monthly mean hourly AMF. Please see P.5 18-28 above.*

3) Clarification of monthly average definition?

Following point 2) above, could the authors precise the period over which the averages were computed? Were they performed over all times of all days in 1 month, or were the averages computed over all days at 12:00 only? Are all the times, or only some of them, considered for the monthly averages?

*As we mentioned point 2) above, monthly AMF is calculated using monthly averaged data over all times of all days in the whole month at SZA of each time. Please see our answers in point 2)*

*In addition, we added VCDs using monthly mean “hourly AMF” ( $AMF_{mh}$ ) in Fig. 3*

and Fig. 4. Corresponding discussion is included in the revised manuscript as follows:

We find that both the regression slope and  $R^2$  for the results using  $AMF_{mh}$  suggest a better performance than those with  $AMF_m$ , particularly at 12 LST, but do not show any significant improvement at 9 and 18 LST. We infer from this that the temporal variability of species, caused by the diurnal variation of the planetary boundary layer (PBL), mostly explains the difference between the retrievals using  $AMF_m$  and  $AMF_{mh}$ . Accounting for this diurnal variability appears to be important for the retrieval when the PBL is fully developed and the active chemical processes typically occur. Therefore, we think that the use of  $AMF_{mh}$  could be an alternative and more efficient way to improve HCHO VCD retrievals for geostationary satellites with less computation required relative to the use of  $AMF_h$ .

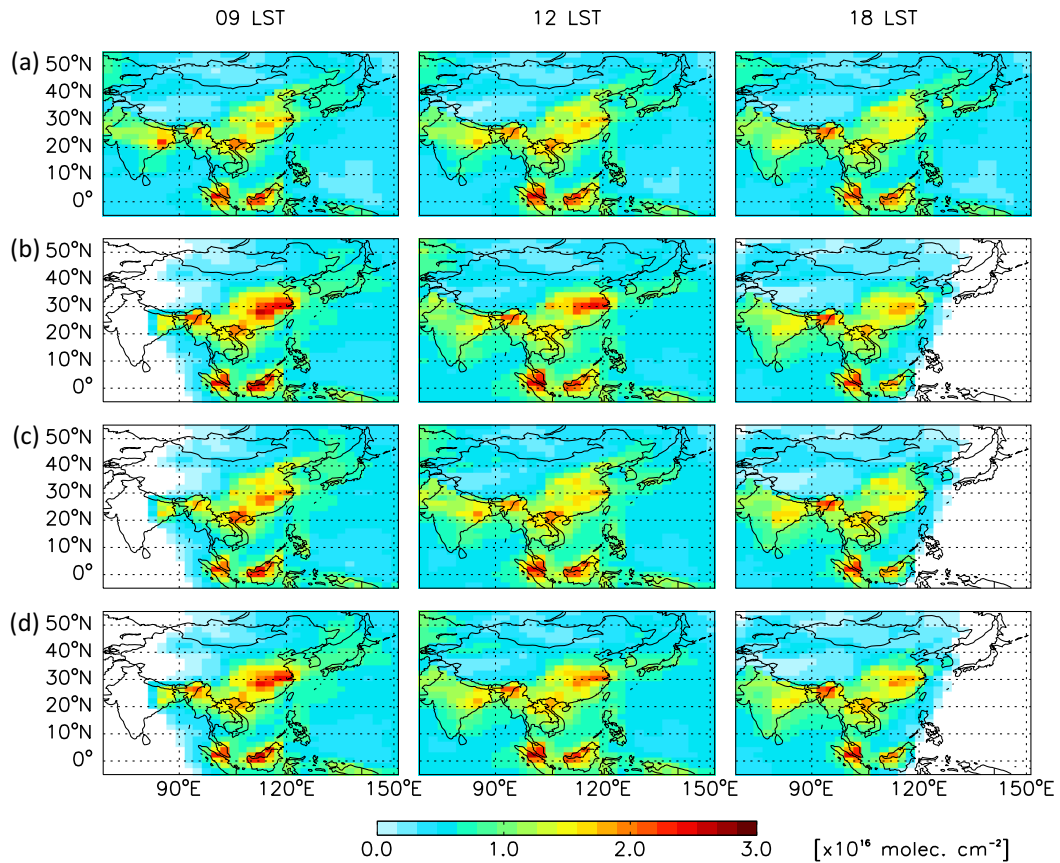
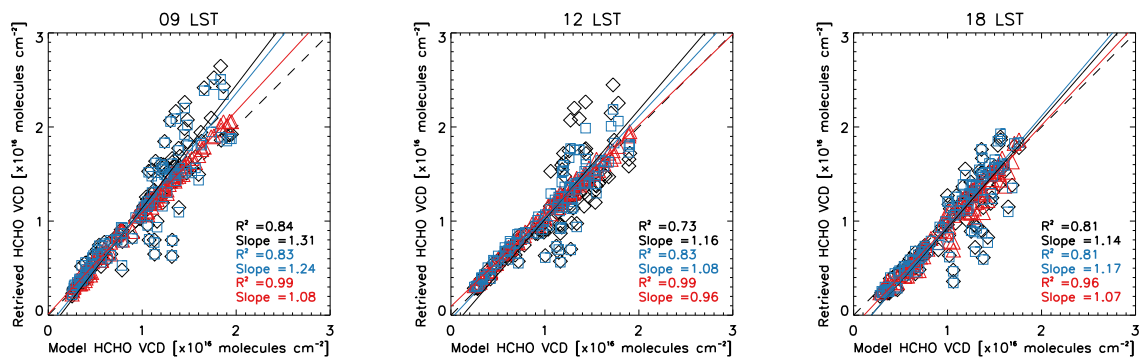


Figure 3. (a) HCHO VCDs simulated by GEOS-Chem at 9, 12, and 18 local standard time (LST) of Seoul on 21 June 2009. (b) Retrieved HCHO VCDs with  $AMF_m$ . (c) Retrieved HCHO VCDs with  $AMF_h$ . (d) Retrieved HCHO VCDs with  $AMF_{mh}$ .



**Figure 4. Comparison of the retrieved versus simulated VCDs shown in Fig. 3 over China (105-120°E, 15-45°N). Black diamonds, red triangles, and blue squares denote the retrieved VCDs using AMF<sub>m</sub>, AMF<sub>h</sub>, and AMF<sub>mh</sub>, respectively. Statistics are shown as insets.**

#### 4) Typical geostationary observation times

Why in Section 4 and on figures 3-5 do the authors only show the impact of the different AMFs at 11:00-12:00-13:00? These times are typically encountered by LEO instruments.

But with a geostationary sensor, it could be interested to evaluate the impacts outside of this time range such as early in the morning (9:00-11:00) and close to the end of the afternoons (15:00-17:00).

*Following your comment, we included our calculations at 9, 12, and 18 LST in Fig. 3, 4, and 5 in the revised manuscript.*

#### 5) OMI HCHO exercise

Following the discussions above, could the authors:

- Detail which altitude and vertical profile they considered when computing the OMI HCHO AMF? Does it come from GEOS-Chem simulations? In my knowledge, the OMI aerosol product from [Torres et al., 2013] includes AOD and SSA but no vertical profiles.

*For AMF table calculations, we used monthly mean vertical profiles from GEOS-Chem, which were averaged for all times of all days in March 2006. OMI aerosol products do not include aerosol layer heights as you indicated, so we examined only AOD and SSA effects on AMF. We revised and clarified sentences related with your comments.*

Previous AMF applications to convert SCDs to VCDs of OMI HCHO are based on a look-up table approach with no explicit consideration of aerosols (González Abad et al., 2015). Here, we apply AMF values with an explicit consideration of aerosols to OMI HCHO SCDs to examine the effect of aerosol presence and its temporal variation in clear sky conditions (cloud fraction < 0.05) on the retrieved HCHO VCDs focusing on East Asia in 2006. The cloud fraction included in OMI HCHO products is used, which is provided from OMCLDO2 products (Stammes et al., 2008). The AMF calculation has been conducted similarly with monthly mean data from the GEOS-Chem simulations for 2006. In order to apply efficiently our values to the OMI SCDs we compute an AMF look-up table as a function of longitude, latitude, AODs (0.1, 0.5, 1.0, 1.5, 2.0), SSAs (0.82, 0.87, 0.92, 0.97), solar zenith angles (5°, 30°, 60°, 80°), and viewing zenith angles (0°, 10°, 20°, 30°, 40°, 50°, 60°, 70°, 80°). An aerosol layer height is also important to determine AMF as discussed in Sect. 4. However, the information is not yet available from the satellites with ultraviolet and visible channels so that our AMF look-up table is not a function of aerosol layer heights.

- Regarding the dust storm event of March 2006 from 23 to 29, could the authors show as well the ratio of hourly vs. monthly AMF? Only the ratio of AMF without vs. with aerosols is here shown.

*We changed a difference between hourly and monthly AMF to the ratio of monthly to hourly AMF reflecting HCHO changes due to the temporal effects. We revised the manuscript as follows.*

In order to examine aerosol temporal variation effects on AMF calculation, we use the same AMF specifications discussed in Sect. 4.  $AMF_h$  denotes AMF using aerosol optical properties at each measurement time, and  $AMF_m$  is AMF using monthly mean AOD and SSA.

...

Here we illustrate that the temporal variation effects of AOD and SSA on the AMF calculation (4<sup>th</sup> row in Fig. 9) can adequately be accounted for using satellite



observations especially for episodic events such as dust storms and biomass burning.  $AMF_m$  uses OMI monthly mean AOD and SSA for March 2006, and  $AMF_h$  uses them at each measurement time. The ratio of  $AMF_m$  to  $AMF_h$  ranges from 0.68 to 1.47 reflecting HCHO changes of -32% to 47% by using  $AMF_h$  compared to VCDs with  $AMF_m$ . That indicates that aerosol optical properties simultaneously measured for geostationary satellites can be used to calculate AMF for HCHO VCDs and to reduce the associated uncertainty with the retrieved products.

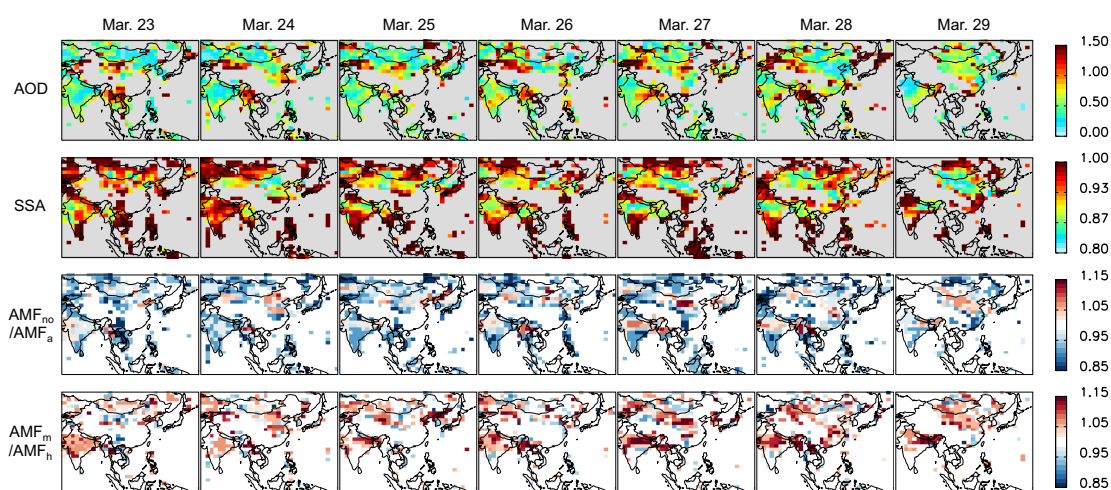


Figure 9. Values of AOD, SSA, aerosol optical property effects on AMF ( $AMF_{no}/AMF_a$ ), and temporal effects of aerosol optical properties on AMF ( $AMF_m/AMF_h$ ) for March 23-29, 2006, when a strong dust event occurred in East Asia.  $AMF_{no}$  and  $AMF_a$  indicate values without and with aerosols, respectively.  $AMF_m$  is a value using monthly mean AOD and SSA from OMI.  $AMF_h$  is a value using AOD and SSA from OMI at each measurement time.

## 6) HCHO aerosol correction AMF

The author mentioned in Section 3 that “previous algorithms used in sun-synchronous satellites to retrieve HCHO have not accounted for aerosol effects on AMF calculations”.

This is not correct. They corrected for aerosol effects but in an implicit way: i.e. the effective cloud parameters are used to partially correct these effects since the cloud retrieval algorithm is perturbed over cloud-free scenes but dominated by aerosol particles. These parameters are either derived from the O2-band and/or the O2-O2 band. The authors [De Smedt et al., 2008] and [Gonzales et al., 2015] clearly said “the presence of aerosols is not explicitly accounted for”.

Similarly to the other trace gas retrievals from UV-Vis air quality satellite measurements, the use of a simple Lambertian cloud-scheme, although allows to mitigate their impacts, does not apply a comprehensive correction. See [Boersma et al., 2004, 2011; Chimot et al., 2016; Castellanos et al., 2015] who explained this mechanism in case of tropospheric NO<sub>2</sub> AMF calculations.

*We agree with you. We clarified the sentence as follows.*

**Most HCHO VCDs for previous sun-synchronous satellites including OMI and GOME-2 have been retrieved without the explicit consideration of aerosol effects on AMFs because aerosols are implicitly accounted for from satellite cloud products, which are coupled with the presence of aerosols (De Smedt et al., 2008; González Abad et al., 2015).**

Here, the author considers an explicit aerosol correction scheme on the HCHO AMF computation. The relevant question here is then, what would be the best strategy if an explicit aerosol correction is assumed: monthly average or hourly aerosol profile and properties?

*We included our suggestion in the revised manuscript as follows:*

**Therefore, we think that the use of  $AMF_{mh}$  could be an alternative and more efficient way to improve HCHO VCD retrievals for geostationary satellites with less computation required relative to the use of  $AMF_h$ .**

Assuming that the author would not have enough explicit information about aerosol properties and vertical distribution, would the use of daily effective cloud parameters, derived for each single observation pixel, be enough to compensate of temporal variability of aerosol effects?

*Thanks for the suggestion and we consider it in our future study.*

Technical corrections

Abstract:

- 29: Please see my general comments about scattering and absorbing aerosols and correct your general statement accordingly.

5      *We removed the sentences.*

- P2, 2: Please precise that you are talking about the impact of aerosol variability, not the aerosols in general.

10      *We changed “the impact of aerosols” to “the impact of aerosol variability” in the revised manuscript.*

P2, 30: “frequencies of 1 to 6 days”. I suggest to replace by “between 1 and 6 days”.

15      *Yes, we changed it.*

P3, 16: Please add references about Sentinel-4.

*We added the reference:*

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**Ingmann, P., Veihelmann, B., Langen, J., Lamarre, D., Stark, H., and Courrèges-Lacoste, G. B.: Requirements for the GMES atmosphere service and ESA’s implementation concept: Sentinels-4/-5 and-5p, Remote Sens. Environ., 120, 58–69, doi:10.1016/j.rse.2012.01.023, 2012.**

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P3, 31: “pre-calculated monthly averaged AMF”: please precise following point 2) above.

*We rewrote the sentence as follows:*

30      **For sun-synchronous satellites, pre-calculated AMFs determined by monthly averaged HCHO and aerosol vertical profiles have been applied for computational**

efficiency (De Smedt et al., 2008; González Abad et al., 2015).

P4, 2-4: these lines are more appropriate in the conclusion section, not in the introduction, since they summarise your results of this manuscript.

5

*We removed the sentences following your suggestion.*

P5, 12-15: please reformulate. Computed radiances cannot “become” synthetic radiances...

10

*We modified the sentences as follows:*

**The calculated radiances in 300-500 nm spectral range of GEMS with a 0.2 nm spectral sampling are assumed as synthetic radiances to simulate GEMS measurements**

15

P5, 21: Were H<sub>2</sub>O and O<sub>2</sub>-O<sub>2</sub> included as well?

*H<sub>2</sub>O is not significant in fitting window (327.5-358 nm) of HCHO, but O<sub>2</sub>-O<sub>2</sub> collision interferes near 350 nm in the fitting window. However, we did not consider H<sub>2</sub>O and O<sub>2</sub>-O<sub>2</sub>.*

20

P6, 30 and equation 1: I do not fully understand how this equation has been derived and did not manage to find it in other references. Could you please provide with 1-2 details about it and any references supporting it? What are the limits of the integrals?

25

*The equation came from Eq. (9) in Palmer et al. (2001). The limits of the integrals ranges from 0 to optical thickness for vertical column.*

*We revised it as follows:*

30

**We conduct AMF calculations in VLIDORT simulations using Eq. (1) from Palmer**

et al. (2001) with hourly trace gas profiles including HCHO and aerosol profiles from GEOS-Chem.

$$AMF = - \frac{1}{\int_0^{TOA} k_\lambda \rho dz} \int_0^{\tau_v} \frac{\partial \ln I}{\partial \tau} d\tau, \quad (1)$$

where  $k_\lambda$  indicates the absorption cross section ( $\text{cm}^2 \text{ molecule}^{-1}$ ) at each wavelength,  $\rho$  is a number density ( $\text{molecules cm}^{-3}$ ), TOA stands for top of the atmosphere,  $\tau$  and  $\tau_v$  are an optical thickness and that of vertical column, respectively, and  $I$  is a radiance. We use AMF values at 346 nm, which is in the middle of the HCHO fitting window.

P.9, title of section 4: the sensitivity of the HCHO retrieval to the HCHO profile was investigated too (to be added in the title).

*We revised the title as “Sensitivity of the HCHO retrieval to AMF temporal specifications”*

P.9, 4-8: Please add references supporting these statements here (e.g. Eck et al., 2005; Jethva et al., 2014)

*Thanks and we added the references.*

Eck, T. F., Holben, B. N., Dubovik, O., Smirnov, A., Goloub, P., Chen, H. B., Chatenet, B., Gomes, L., Zhang, X. Y., Tsay, S. C., Ji, Q., Giles, D., and Slutsker, I.: Columnar aerosol optical properties at AERONET sites in central eastern Asia and aerosol transport to the tropical mid-Pacific, *J. Geophys. Res.-Atmos.*, **110**, n/a-n/a, 10.1029/2004JD005274, 2005.

Jethva, H., Torres, O., and Ahn, C.: Global assessment of OMI aerosol single-scattering albedo using ground-based AERONET inversion, *J. Geophys. Res.-Atmos.*, **119**, 9020-9040, 2014.

P.9, 17-29: Please see my major remarks in point 1) above (cf. Details about aerosol

altitude and vertical profile), and update this sub-section accordingly.

*We answered to your comments about point 1) above.*

- 5 P.9, 21: “Our AMF calculation is consistent with the previous study”. Which study are you referring to? In which sense your AMF is consistent? In terms of precision or employed methodology? Please clarify.

*We removed the general statement related aerosol height in the revised manuscript. Instead, we separated temporal variation effects of aerosol profile from overall aerosol effects. Please see revised paragraphs in point 1) and Fig. 5 above.*

10

P.9, 30-31: this statement is hard to understand, since the previous lines somehow said that aerosol profiles are not important....Please clarify or reformulate.

15

*We rewrote the paragraphs. Please see P.5 15-17 in this response above.*

P.30, 7-8: Which figure are you referring to?

20 *We clarified the sentence in the revised manuscript.*

**Figure 3 shows that GEOS-Chem simulation has large HCHO VCDs ...**

- 25 P.10-11, 30-1: Following point 1) above, please clarify if you kept constant or made vary the aerosol profile? How was this parameter considered here and how did it impact your results?

*The individual effects of optical property and profile was quantified in the revised manuscript. We explained the effects of optical property and profile on AMF in point 1) above. Please see P.2 and Fig. 5.*

30

P.11, 23-25: “In other words, absorbing aerosols [...] cause the increase of AMF”: How can you deduce that? Is it always true or should not it depend on the aerosol / HCHO altitude?

5 *Temporal effect of optical property was clarified to separate optical property and profile effects from overall aerosol effects. Please see results at 12 LST in Fig. 5(c) above.*

P.12, last sub-section of section 4: Not sure if this is necessary here to repeat the explanations about “best case scenario”.

10

*We wanted to refer to limitation. We removed the sentences.*

P.13 29-30: “aerosol layer height is also important to determine AMF”. I agree but since no analysis w.r.t this parameter are given before, it is quite hard to understand why the authors write this here...Please clarify.

15

*We made the sentence clearer from analysis of aerosol profiles in Fig 5.*

P. 14, 1-11: Please check what is really useful for the conclusion, and not redundant with the general part also present in the introduction. For example, it is not necessary here to repeat the nature of HCHO, why sun-synchronous satellites are limited etc... “constellation of geostationary”: first time this notion is introduced. Could you please precise it?

20

25 *We removed the first paragraph in summary of the revised manuscript. “Constellation of geostationary” was meant as GEMS, TEMPO, and Sentinel-4.*

P.14, 19: Would the ratio of hourly AMF to monthly AMF not be more useful (than the ratio of monthly to hourly) to illustrate the variability into HCHO VCDs?

30

*The ratio of monthly AMF to hourly AMF is more intuitive because HCHO VCDs are*

*inversely proportional to AMF. The ratio of HCHO VCDs using hourly AMF to those using monthly AMF is the same as the ratio of monthly AMF to hourly AMF.*

P.14, 32-33: “Our test with the OMI products indicated a possibility that simultaneously measured aerosol products can be used to calculate AMF considering aerosol”.

This was illustrated based on the OMI AOT and SSA in the UV, but not about the aerosol layer height. Any future expectations regarding this last variable?

*Aerosol layer height can be retrieved by using O<sub>2</sub>-O<sub>2</sub> collision (Park et al., 2016). We expect the variable can be used for geostationary satellites. We removed the lines and referred to the last in Sect. 5 as follows:*

**We only consider AOD and SSA on the AMF calculation although an aerosol layer height affects AMF calculation, which is not readily available from OMI yet. However, Park et al. (2016) recently show a possibility to retrieve aerosol height information using O<sub>2</sub>-O<sub>2</sub> collision from GEMS measurements. For GEMS, we could use the retrieved aerosol information to compute scene-dependent AMFs, which will be used to improve the gas-species retrieval at each measurement time.**

P14, 8-10: The authors mentioned the importance of aerosol height in the boundary layer and to use simultaneous measurements. But no measurements about aerosols in the boundary layer are shown and used here. Where could it come from? Are such measurements available somewhere?

*You seemed to refer to P.15, 8-10. We removed the lines and discussed them in Sect. 5. Please see the paragraph above.*

P21, Figure 1: Did you compute and use the vertical averaging kernel to convert the GEOS-Chen trace gas profile into vertical column densities in order to validate your retrievals? How do you compute them and where should they be present in your OSSE diagram?



*We did not compute and use the vertical averaging kernel to convert the GEOS-Chem trace gas profile into vertical column densities because a priori profile used for AMF calculation came from GEOS-Chem and a priori profile reflects true states (GEOS-Chem simulation) in the OSSE.*

P23, Figure 3: Could you please also times that are available from geostationary observations but not from sensors like OMI (i.e. early in the morning, late in the afternoon)?

*Yes, we added 9 and 18 LST which are available time for GEMS and not for OMI in the Fig. 3-5 of the revised manuscript. Please see Fig. 3-5 above.*

P24, Figure 4: please indicate for which time(s) of the day are plotted these retrievals.

*We also added results at 9 and 18 LST. Please see the Fig. 4 above.*

P25, Figure 5: The sign of the absolute and relative differences are opposite, and thus the colours are reversed between the columns (i.e. what is red on the left, in absolute, becomes blue on the right in relative...). Please correct this.

*We intentionally plotted opposite sign. In case of relative difference between hourly and monthly AMF, the ratio of monthly to hourly AMF intuitively represents HCHO changes using hourly AMF compared to those using monthly AMF because HCHO VCDs is inversely proportional to AMF. We clarified this in the revised manuscript.*

**We also calculate percentage differences for the ratio of  $AMF_m$  to  $AMF_h$  at 12 LST (4<sup>th</sup> column in Fig. 5), which indicates changes of HCHO VCDs with  $AMF_h$  relative to those with  $AMF_m$  because HCHO VCDs are inversely proportional to AMF. Therefore, the percentage differences show an opposite sign from the differences between  $AMF_h$  and  $AMF_m$ .**

P28, Figure 8: The ratio of the 2 AMFs is not strictly equal to the ratio of the 2 VDCs, since these last variables include artefacts due to the spectral fit when deriving the slant column densities. However, it represents the part of AMF computation errors included in the VDC products at the end. Please correct your second statement, in the caption, accordingly.

*Following your comments, we removed the second statement in the caption of Fig. 8.*

*We re-plotted Fig. 8 as (a) differences between AMF with and without aerosols and (b) differences between monthly average of hourly AMF and monthly AMF. We think difference is better explanation for AMF change due to aerosol effects and temporal variation effect. The ratio is clearer to explain HCHO VCD changes than difference. For reference, we updated AMF table as a function of solar zenith angles and viewing zenith angles, so values in Fig. 9 are changed. Please see our answer for AMF table in P. 12.*

*We rewrote paragraphs related to Fig. 8 and 9 in the revised manuscript as follows:*

**We calculate scene-dependent AMFs by using the OMI aerosol products together with our AMF look-up table. Figure 8(a) shows differences between monthly mean AMF with and without aerosols. AMF values with aerosols at each measurement time are calculated by using AOD and SSA from OMI. AMF values considering aerosols are higher than those without aerosols by 0.19 in absolute value, reflecting the decrement of HCHO VCDs by 11 % in comparison with those without aerosols. In order to examine aerosol temporal variation effects on AMF calculation, we use the same AMF specifications discussed in Sect. 4. In the section,  $AMF_h$  denotes AMF using aerosol optical properties at each measurement time, and  $AMF_m$  is AMF using monthly mean AOD and SSA.**

**Figure 8(b) represents differences between monthly mean  $AMF_h$  and  $AMF_m$ , which reflect the non-linear response of the AMF calculation due to aerosol temporal variation. Negative values are generally seen in the south of 40°N, indicating that**

monthly mean  $AMF_h$  is lower than  $AMF_m$  so that HCHO column concentrations using  $AMF_h$  are higher than those with  $AMF_m$ . The opposite sign occurs in the north of 40°N and some parts of China.

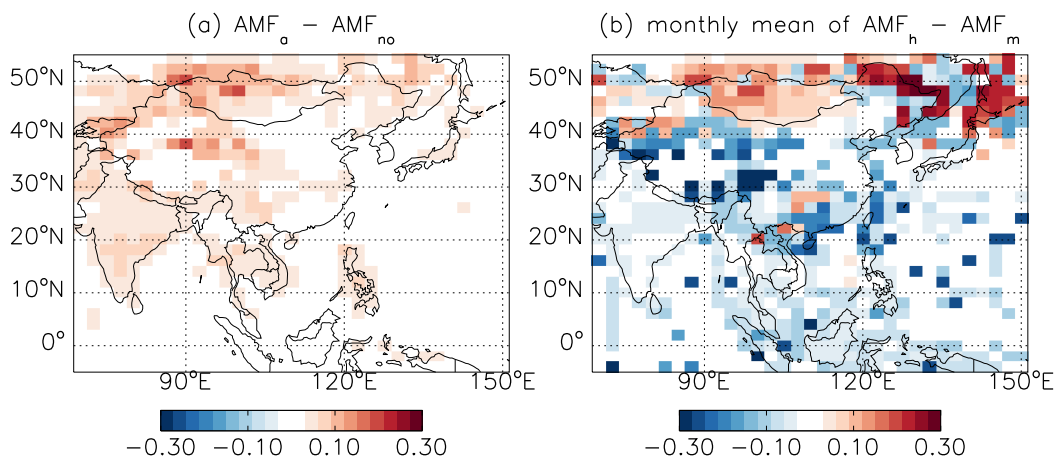


Figure 8. (a) Differences between AMFs with ( $AMF_a$ ) and without ( $AMF_{no}$ ) aerosols. (b) Differences of the monthly mean of  $AMF_h$  versus  $AMF_m$ .  $AMF_h$  denotes a value using AOD and SSA at each measurement time, and  $AMF_m$  is a value using monthly mean AOD and SSA. Aerosol optical properties used in the calculation are from OMI observations (OMAERUV) for March 2006.

Finally, we examine a dust storm event on 23-29 March 2006 in order to explore an episodic case with very high aerosol concentrations. AOD and SSA (1<sup>st</sup> and 2<sup>nd</sup> rows in Fig. 9) are high and relatively low, respectively, corresponding to dust aerosols transported from the Taklamakan and Gobi deserts. As expected, the ratio of AMF without ( $AMF_{no}$ ) to with aerosols ( $AMF_a$ ) increases during the dust storm (3<sup>rd</sup> row of Fig. 9). It is a consequence of the absorbing dust aerosols transported by the dust storm. The effects are pronounced over central and northeastern China and are sometimes extended to downwind regions of Korea and the East Sea between Korea and Japan on 25 and 27 March. The ratio also increases due to biomass burning in the Indochina peninsula. The aerosol effects on AMF make HCHO VCDs increase by 32% due to absorbing aerosols and decrease by 25% due to scattering aerosols compared to those using AMF without aerosols.

Here we illustrate that the temporal variation effects of AOD and SSA on the AMF calculation (4<sup>th</sup> row in Fig. 9) can adequately be accounted for using satellite observations especially for episodic events such as dust storms and biomass burning.

5 **AMF<sub>m</sub> uses OMI monthly mean AOD and SSA for March 2006, and AMF<sub>h</sub> uses them at each measurement time. The ratio of AMF<sub>m</sub> to AMF<sub>h</sub> ranges from 0.68 to 1.47 reflecting HCHO changes of -32 % to 47 % by using AMF<sub>h</sub> compared to VCDs with AMF<sub>m</sub>. That indicates that aerosol optical properties simultaneously measured for geostationary satellites can be used to calculate AMF for HCHO VCDs and to reduce the associated uncertainty with the retrieved products.**

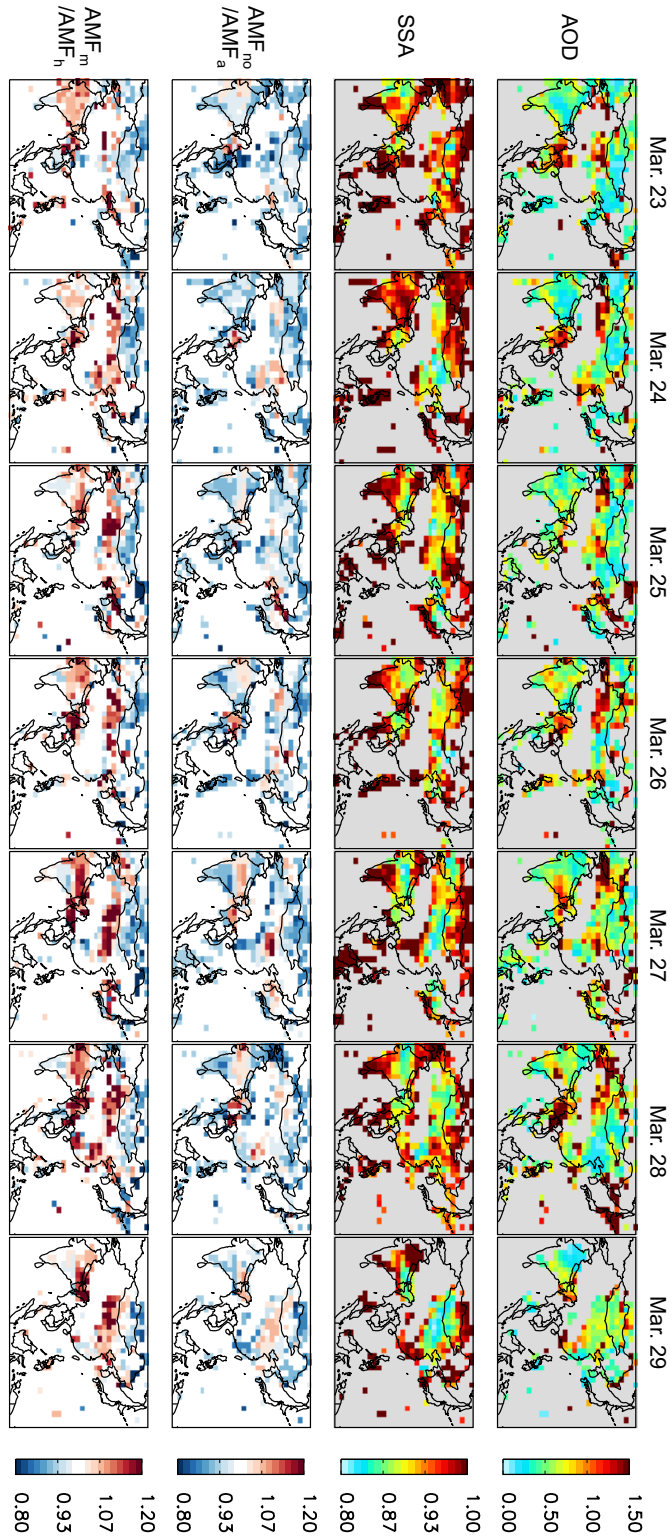


Figure 9. Values of AOD, SSA, aerosol optical property effects on AMF ( $AMF_{no}/AMF_a$ ), and temporal effects of aerosol optical properties on AMF ( $AMF_m/AMF_h$ ) for March 23-29, 2006, when a strong dust event occurred in East Asia.  $AMF_{no}$  and  $AMF_a$  indicate values without and with aerosols, respectively.  $AMF_m$  is a value using monthly mean AOD and SSA from OMI.  $AMF_h$  is a value using AOD and SSA from OMI at each measurement time.

## References

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5 hyperspectral sensor: sensitivity and case study, *Atmos. Chem. Phys.*, 16, 1987–2006, 10.5194/acp-16-1987-2016, 2016.

# Sensitivity of formaldehyde (HCHO) column measurements from a geostationary satellite to temporal variation of AMF in East Asia

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15 **Abstract.** We examine upcoming geostationary satellite observations of formaldehyde (HCHO) vertical column densities (VCDs) in East Asia and the retrieval sensitivity to the temporal variation of air mass factors (AMFs) considering the presence of aerosols. Observation system simulation experiments (OSSE) were conducted using a combination of a global 3-D chemical transport model (GEOS-Chem), a radiative transfer model (VLIDORT), and a HCHO retrieval  
20 algorithm developed for Geostationary Environment Monitoring Spectrometer (GEMS), which will be launched in 2019. Application of the retrieval algorithm to simulated hourly radiances yields the retrieved HCHO VCDs, which are then compared with the GEOS-Chem HCHO VCDs as true values for the evaluation of the retrieval algorithm. In order to examine the retrieval sensitivity to the temporal variation of AMF, we examine three AMF specifications,  
25  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$  using monthly, hourly, and monthly mean hourly input data for their calculation, respectively. We compare the retrieved HCHO VCDs using those three AMFs and find that the HCHO VCDs with  $AMF_h$  are in a better agreement with the true values than the results using  $AMF_{mh}$  and  $AMF_m$ .  $AMF_{mh}$  reflects diurnal variation of planetary boundary layer and other meteorological parameters so that the results with  $AMF_{mh}$  show a better performance  
30 than those with  $AMF_m$ . The differences between  $AMF_h$  and  $AMF_m$  range from -0.76 to 0.74 in absolute value and are mainly caused by temporal changes of aerosol chemical compositions and aerosol vertical distributions, which result in -27% – 58% and -34% – 43% changes of HCHO VCDs over China, respectively, compared to HCHO VCDs using  $AMF_m$ . We apply our

calculated AMF table together with OMI aerosol optical properties to OMI HCHO products in March, 2006 when Asian dust storms occurred and find -32% – 47% changes in the retrieved HCHO columns due to temporal changes of aerosol optical properties in East Asia. The impact of aerosol variability cannot be neglected for future geostationary observations.

## 5 1 Introduction

Formaldehyde (HCHO) is mainly produced by the oxidation of hydrocarbons with minor direct emissions from fuel combustion, vegetation, and biomass burning (DiGangi et al., 2012). Because of its short atmospheric lifetime (~1.5 hours) (De Smedt et al., 2008), HCHO vertical columns from satellite measurements have effectively been used to provide constraints on its precursor emissions, especially for biogenic isoprene emissions (Palmer et al., 2003; Abbot et al., 2003; Shim et al., 2005; Fu et al., 2007; Marais et al., 2012), the oxidation of which is the largest natural source of HCHO globally. Zhu et al. (2014) also used temporal oversampling of satellite observed HCHO columns to provide information for anthropogenic non-methane volatile organic compounds (NMVOCs) emissions in eastern Texas.

In East Asia, anthropogenic emissions have dramatically increased owing to the rapid economic growth over the recent decades (Jeong and Park, 2013). Satellite observed HCHO columns show an increasing trend in most East Asian countries, implying the increase of hydrocarbon emissions (De Smedt et al., 2010). On the other hand, Stavrakou et al. (2014) used top-down isoprene emissions constrained by satellite observations to show the decreasing trend of inferred isoprene emissions in China since 2007, caused by decrease of annual temperatures. However, quantification of precursor emissions and its change is extremely challenging and provides large uncertainty in present air quality models in East Asia (Fu et al., 2007). Constraints based on observations, including satellite HCHO columns, are thus necessary to better quantify the emission of NMVOCs and its effects on air quality and climate in East Asia.

Column measurements of HCHO from space started in 1995 with the launch of the GOME instrument onboard ERS-2 (Chance et al., 2000). Since then, successive instruments including SCIAMACHY (Wittrock et al., 2006), OMI (Kurosu et al., 2004; González Abad et al., 2015), GOME-2 (De Smedt et al., 2012), and OMPS (Li et al., 2015; González Abad et al., 2016) onboard sun-synchronous satellites have observed global HCHO column concentrations with re-visiting between 1 and 6 days. Their minimum ground pixel sizes have been reduced from  $40 \times 320 \text{ km}^2$  (GOME) to  $13 \times 24 \text{ km}^2$  (OMI). Accordingly, HCHO global observations have



increased in use to provide observational constraints on biogenic NMVOCs emissions over the United States (Abbot et al., 2003; Palmer et al., 2003; Palmer et al., 2006), Europe (Dufour et al., 2009), Asia (Fu et al., 2007; Stavrakou et al., 2014), and other regions (Barkley et al., 2013; Marais et al., 2012), despite measurements from sun-synchronous satellites having limited observation frequency of at most once or twice a day to once a week for regions of interest. For anthropogenic emissions, the use of satellite observations for constraining anthropogenic emission is relatively limited because of lower anthropogenic HCHO concentration relative to biogenic HCHO (Zhu et al., 2014).

In order to overcome the limitations of sun-synchronous satellites and monitor air quality changes with higher temporal frequency over East Asia, the Korean Ministry of Environment will launch a geostationary satellite (GEO-KOMPSAT 2B) carrying the Geostationary Environment Monitoring Spectrometer (GEMS) in 2019. GEMS has a spatial resolution of  $7 \times 8 \text{ km}^2$  over Seoul, Korea and can measure trace gases and aerosols every hour during the daytime (at least 8 times a day). Frequent observations on a finer spatial resolution provide more data with less cloud contamination compared to those of the sun-synchronous satellites. The Sentinel-4 (Ingmann et al., 2012) and Tropospheric Emissions Monitoring of Pollution (TEMPO) missions (Zoogman et al., 2016) for environmental geostationary satellites in Europe and the North America, respectively, are also in preparation. GEMS monitors air quality changes over East Asia and has a role, along with Sentinel-4 and TEMPO, in monitoring intercontinental transport of trace gases and aerosols from source to receptor regions.

Satellite HCHO column observations are sensitive to the changes of the atmospheric conditions. In particular, the air mass factor (AMF), which is required to convert slant column densities (SCDs) to vertical column densities (VCDs), depends on cloud properties, vertical profiles of HCHO, surface reflectance, aerosols, and observation geometry (solar and viewing zenith angles) (Palmer et al., 2001; Martin et al., 2002; Lee et al., 2009). Gonzi et al. (2011) examined the sensitivity of AMF to the injection height and optical properties of aerosols for biomass burning emission constraints using HCHO satellite measurements. Leitão et al. (2010) examined the aerosol effect on AMF calculation for satellite  $\text{NO}_2$  observations.

For sun-synchronous satellites, pre-calculated AMFs determined by monthly averaged HCHO and aerosol vertical profiles have been applied for computational efficiency (De Smedt et al., 2008; González Abad et al., 2015). With geostationary satellites, however, we are interested in monitoring the diurnal variation of trace gases and aerosols for which atmospheric conditions can change over the measurement period.

Here we examine the necessity of AMF including temporal variation effects of input parameters on AMF calculation for geostationary satellite observations. We analyze the retrieval sensitivity to AMF calculated with different temporal variations of input parameters such as HCHO. We quantify retrieval errors given different temporal resolution of AMF values by comparing the retrieved versus true HCHO VCDs in observation system simulation experiments (OSSE).

## 2 Observation System Simulation Experiments (OSSE)

We conduct the OSSE as illustrated in Fig. 1, using a global 3D chemical transport model (GEOS-Chem) (Bey et al., 2001), the Vector Linearized Discrete Ordinate Radiative Transfer (VLIDORT) model (Spurr, 2006), and a retrieval algorithm developed for GEMS in this study (Chance et al., 2000; González Abad et al., 2015). Detailed information on GEOS-Chem and VLIDORT can be found in the aforementioned references. Here we briefly discuss our application.

We first perform a global simulation to obtain spatial and temporal distributions of gas and aerosol species using GEOS-Chem v9-01-02. The model is driven by Modern-Era Retrospective Analysis for Research and Applications (MERRA) and the Goddard Earth Observing System (GEOS-5) reanalysis meteorological data for years 2006 and 2009, respectively. GEOS-Chem has a  $2^\circ \times 2.5^\circ$  (latitude  $\times$  longitude) spatial resolution and 47 levels from the surface to 0.01 hPa. Biogenic emission of isoprene is computed using the Model of Emissions of Gases and Aerosols from Nature (MEGAN) version 2.1 (Guenther et al., 2006). Anthropogenic emissions are taken from the Emissions Database for Global Atmospheric Research (EDGAR) version 2.0 inventory (Olivier et al., 1996) for the globe in a mosaic fashion with the Intercontinental Chemical Transport Experiment Phase B (INTEX-B) inventory developed by Zhang et al. (2009) for Asia. We use monthly biomass burning emissions from the Global Fire Emissions Database (GFED) version 3 inventory (van der Werf et al., 2010).

All the simulated concentrations of gases and aerosols are archived every hour for the East Asia domain ( $70^\circ\text{E}$ - $150^\circ\text{E}$ ,  $4^\circ\text{S}$ - $54^\circ\text{N}$ ) and are provided as input for other model calculations. For example, aerosol optical properties, which are important input for radiative transfer model simulations below, are calculated using Flexible Aerosol Optical Depth (FlexAOD) with the simulated aerosol concentrations including sulfate-nitrate-ammonium, organic carbon, black

carbon, sea salt, and dust aerosols (Hess et al., 1998; Mishchenko et al., 1999; Sinyuk et al., 2003). Hourly aerosol optical depth (AOD), single scattering albedo (SSA), and asymmetry factor are also archived over the domain for the use in radiative transfer calculations.

We then conduct a radiative transfer model simulation using VLIDORT driven by the  
5 simulated profiles of gases and aerosol optical properties described above as well as meteorological data. We calculate radiances at the top of the atmosphere. The calculated radiances in 300-500 nm spectral range of GEMS with a 0.2 nm spectral sampling are assumed as synthetic radiances to simulate GEMS measurements and are referred to as “observed radiances” henceforth. We use the observed radiances to evaluate the retrieval algorithm and  
10 to examine its sensitivity to several parameters. However, the observed radiances do not include any noise terms such as polarization errors and temperature errors of sensors and are not convoluted with a slit function since it is not available yet. The evaluation of our retrieval algorithm sensitivity and the impact of AMF on HCHO retrievals we derive below have therefore to be considered a “best case scenario”. The radiative transfer simulation accounts  
15 for the extinction of aerosols and gases including O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub>, and HCHO. Aerosol optical properties at 300 nm, 400 nm, 600 nm, 999 nm are used in the simulation. VLIDORT also yields derivatives of radiances with respect to optical thicknesses of interfering gases that are used to calculate AMF.

Finally, we apply our retrieval algorithm to the observed radiances to obtain the satellite  
20 observed HCHO columns. This retrieval process begins by fitting a simple Lambert-Beer model that explains the absorption of trace gases and the scattering by molecules in the atmosphere to the observed radiances by using a non-linear least square method (Chance et al., 2000).

HCHO absorption is so weak that the accuracy of retrievals is very sensitive to the fitting  
25 window selection (Hewson et al., 2013). The HCHO absorption bands overlap the O<sub>3</sub> absorption bands, which are the strongest interference in the HCHO retrieval, so the fitting window must be selected to minimize the impact of the strong O<sub>3</sub> absorption region. Instruments such as GOME, SCIAMACHY, OMI, and GOME-2 have used slightly different fitting windows. In this study, we select 327.5-358.0 nm for the fitting window of the HCHO  
30 retrieval. In the retrieval algorithm, we consider the Ring effect (Chance and Spurr, 1997), O<sub>3</sub> absorption cross sections at 228 K and 273 K (Daumont et al., 1992; Malicet et al., 1995), NO<sub>2</sub> absorption cross sections at 220 K (Vandaele et al., 1998), SO<sub>2</sub> absorption cross sections at 298

K (Hermans et al., 2009; Vandaele et al., 2009), and HCHO absorption cross sections at 300 K (Chance and Orphal, 2011).

For the retrieval of SCDs of target species from sun-synchronous satellites measurements, differential optical absorption spectroscopy (DOAS) method has frequently been used with a linearized equation of the logarithm of the Lambert-Beer model divided by the solar irradiance ( $I_0$ ) (De Smedt et al., 2008). In this study, we apply the fitting method developed by Chance et al. (2000) that uses the Lambert-Beer model in its original, non-linearized form.

SCDs from radiance fitting are converted to vertical amounts considering the path of solar radiance and viewing geometry of satellites. An AMF is a correction factor of the path length of light from an SCD to a VCD, including the varying sensitivity of the observations at different altitudes. It is defined as the ratio of the SCD to the VCD. Palmer et al. (2001) derived a simple formulation of AMF including scattering and absorption of gases with the vertical integration of a function multiplying scattering weights and vertical shape factors. The decoupling of the scattering weights and vertical shape factors has the advantage of allowing the calculation of them separately using a radiative transfer model and a chemical transport model, respectively.

We conduct AMF calculations in VLIDORT simulations using Eq. (1) from Palmer et al. (2001) with hourly trace gas profiles including HCHO and aerosol profiles from GEOS-Chem.

$$AMF = -\frac{1}{\int_0^{TOA} k_\lambda \rho dz} \int_0^{\tau_v} \frac{\partial \ln I}{\partial \tau} d\tau, \quad (1)$$

where  $k_\lambda$  indicates the absorption cross section ( $\text{cm}^2 \text{ molecule}^{-1}$ ) at each wavelength,  $\rho$  is a number density ( $\text{molecules cm}^{-3}$ ), TOA stands for top of the atmosphere,  $\tau$  and  $\tau_v$  are an optical thickness and that of vertical column, respectively, and  $I$  is a radiance. We use AMF values at 346 nm, which is in the middle of the HCHO fitting window.

### 3 Evaluation of the HCHO retrieval algorithm

In this section, we evaluate the HCHO retrieval algorithm developed for GEMS using the OSSE discussed in Sect. 2. The simulated data, including trace gases ( $\text{O}_3$ ,  $\text{NO}_2$ ,  $\text{SO}_2$ , and HCHO) concentrations, meteorological data, and aerosol optical properties and profiles for March, June, September, and December 2006, are used to calculate radiances in the OSSE as explained above. In radiance calculations, solar zenith angles are used at 11 local standard time (LST) of Seoul on the equinoxes and solstices (21<sup>st</sup> of each month), and viewing zenith angles are calculated based on GEMS orbit at  $\sim 36,000$  km altitude above  $\sim 128.2^\circ\text{E}$  longitude at the

equator. We assume a Lambertian surface reflectance of 0.05. As mentioned above, the simulated radiances do not include noise and errors. SCDs retrieved by radiance fitting are converted to VCDs using AMFs with and without aerosols.

Figure 2 presents GEOS-Chem HCHO VCDs in East Asia (1<sup>st</sup> column) used in the OSSE to compute the observed radiances. The highest GEOS-Chem HCHO columns occur in Southeast Asia including the Indochina Peninsula and Indonesia mainly driven by large biomass burning emissions, whose seasonal variations slightly differ depending on the regions. Values in the Indochina Peninsula (92-105°E, 12-25°N) are highest in March-May, which is a typical dry season. In Indonesia (100-118°E, 2°S-4°N), HCHO columns are generally high throughout the whole year because of the biogenic emissions in tropical forests. In 2006, a strong El Niño occurred and resulted in massive fire events in Borneo and Sumatra for September-October (Stavrakou et al., 2009), which led to enhancements of HCHO columns up to  $4.3 \times 10^{16}$  molecules cm<sup>-2</sup> in September. On the other hand, seasonal variability at mid-latitudes (> 25°N) follows those of biogenic activity. For example, HCHO VCDs in China (105-120°E, 25-40°N) increase to  $1.3 \times 10^{16}$  molecules cm<sup>-2</sup> in June and September but decrease to  $4.6 \times 10^{15}$  and  $3.7 \times 10^{16}$  molecules cm<sup>-2</sup> in March and December, respectively.

Retrieved HCHO VCDs are also presented in Fig. 2. Most HCHO VCDs for previous sun-synchronous satellites including OMI and GOME-2 have been retrieved without the explicit consideration of aerosol effects on AMFs because aerosols are implicitly accounted for from satellite cloud products, which are coupled with the presence of aerosols (De Smedt et al., 2008; González Abad et al., 2015). In order to avoid complexity and to understand the retrieval sensitivity to the presence of aerosols in East Asia, we only focus on clear sky conditions and compare a retrieval using AMF with aerosols to that using AMF without aerosols. Retrieved HCHO VCDs accounting for aerosols (2<sup>nd</sup> column in Fig. 2) show spatial and seasonal patterns similar to GEOS-Chem values. Coefficients of determination ( $R^2$ ) between the retrieved and simulated HCHO VCDs for each month are 0.98 or higher with regression slopes close to one (0.95-1.01) except for winter ( $R^2 = 0.95$ , slope = 1.05). This is due to the limited capability of our algorithm at high solar zenith angle and low HCHO concentrations. For the calculation of regression coefficients, we exclude grids over 88.4° solar zenith angle in winter (upper left corner in the domain) due to the high bias arising from high solar and viewing zenith angles.

Results retrieved using no aerosols (3<sup>rd</sup> column in Fig. 2) also show a similar spatial and seasonal variation but with a high bias with respect to the values retrieved using aerosols and GEOS-Chem. We find differences (HCHO VCDs with – without aerosols) are generally

negative over China and India. The presence of aerosols in AMF appears to result in the decreases of HCHO columns up to 20% in regions where aerosol concentrations are high such as China, India, and biomass burning areas. In biogenic emission regions, AOD at 300 nm is low ( $<0.1$ ) and thus its effect on AMF is relatively minor except for biomass burning cases occurring over Indonesia (100-120°E, 4°S-5°N) in September and Indochina (100-120°E, 10-20°N) in March. HCHO VCDs are also increased by 14% due to aerosols in regions with high solar and viewing zenith angles.

In radiance fitting, the averaged root mean square (RMS) error of fitting residuals is  $3.3 \times 10^{-4}$ , and the averaged HCHO slant column error is  $1.9 \times 10^{15}$  molecules  $\text{cm}^{-2}$ . Both are relatively small, indicating a successful retrieval because no additional errors are included in the observed radiances. Our retrieved values should be considered as the best-case retrievals that we can obtain from the satellite observations. More detailed error analysis is beyond the scope of this study and will be conducted as soon as the GEMS instrument parameters are available. We generally find that fitting RMS errors and HCHO slant column errors tend to depend on solar and viewing zenith angles so that these errors gradually increase in regions further away from the position of sun and satellite. HCHO slant column errors also depend on HCHO concentration in the atmosphere, and uncertainties decrease to  $8.1 \times 10^{14}$  molecules  $\text{cm}^{-2}$  in regions with intense wildfires in March when HCHO concentrations are very high.

#### 4 Sensitivity of the HCHO retrieval to AMF temporal specifications

Aerosol concentrations in East Asia are high because of natural and anthropogenic contributions. They include soil dust aerosols from deserts and arid regions predominant in spring, black carbon and organic aerosols from biomass burning, and inorganic sulfate-nitrate-ammonium (SNA) aerosols from industrial activities caused by rapid economic development (Eck et al., 2005; Jethva et al. 2014). In particular, natural aerosols such as dust and biomass burning aerosols are transported to the free troposphere by mechanisms such as frontal passages or thermally driven convection associated with their formation processes. Aerosol layers over the polluted boundary layer can play a role in modulating incoming and backscattered radiance and thus cause an error in the retrieved quantities of satellite measurements. In order to correct this error, we need to consider the effect of aerosols on measured radiances. In this section, we investigate different effects of aerosols when measuring HCHO columns from GEMS by including aerosols in AMF calculations and further examining

the retrieval sensitivity with respect to temporal variation of aerosol optical properties, aerosol profiles, and HCHO profiles.

We use the OSSE described in Sect. 2 to examine AMF temporal variations and their impact on HCHO retrievals. For geostationary satellites, temporal changes of atmospheric conditions can affect AMF calculations. Here, we use three AMF specifications associated with the temporal variation of input data for AMF calculations. Input data include HCHO profiles, aerosol optical properties and profiles, temperatures, pressures, and other interfering gases ( $O_3$ ,  $NO_2$ , and  $SO_2$ ) from GEOS-Chem simulations. We use monthly, hourly, and monthly-averaged hourly input data at each model grid to compute  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$ , respectively, for June 2009. First of all, all the three AMFs vary hourly as functions of the solar zenith angle and location. However, at a given solar zenith angle and location,  $AMF_m$  does not change due to use of monthly mean input dataset over all times of all days in a given month,  $AMF_h$  changes every hour within a month, and  $AMF_{mh}$  changes hourly with no day-to-day variation. Then, we apply  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$  to retrieved HCHO SCDs in order to obtain retrieved HCHO VCDs.

Figure 3 compares HCHO VCDs simulated by GEOS-Chem and retrieved VCDs with three AMF specifications at 346 nm at 9, 12, and 18 LST of Seoul on 21 June 2009. We take the model results as true values in the comparison with the retrieved HCHO VCDs. Figure 3 shows that GEOS-Chem simulation has large HCHO VCDs of  $1.2 \times 10^{16}$  molecules  $cm^{-2}$  over Indonesia near the equator, reflecting large biogenic emissions from tropical forests. Enhanced HCHO VCDs as high as  $9.6 \times 10^{15}$  molecules  $cm^{-2}$  over northern Indochina peninsula and China ( $100-120^\circ E$ ,  $20-35^\circ N$ ) result from biogenic and anthropogenic emissions. We find that the retrieved HCHO VCDs with three AMF specifications are generally consistent with the model results, reproducing spatial distributions of HCHO VCDs. However, HCHO VCDs retrieved with  $AMF_h$  show better agreement with GEOS-Chem than those retrieved using  $AMF_m$  and  $AMF_{mh}$  especially over China. Retrieved HCHO columns using  $AMF_m$  and  $AMF_{mh}$  are biased high, compared to the true values and those using  $AMF_h$  over China.

Figure 4 shows scatterplot comparisons of retrieved VCDs versus model simulations at 9, 12, and 18 LST of Seoul over China ( $105-120^\circ E$ ,  $15-45^\circ N$ ). We find some biases in the retrieved products using  $AMF_m$  and  $AMF_{mh}$  compared with the true values and the results with  $AMF_h$ . Regression slopes are close to one for the results using  $AMF_h$  (0.96-1.08) but higher than one for the results using  $AMF_m$  (1.14-1.31) and  $AMF_{mh}$  (1.08-1.24). The coefficients of determination ( $R^2$ ) between the retrieved versus true VCDs differ significantly and are 0.73,



0.83, and 0.99 for the retrieved VCDs with  $AMF_m$ ,  $AMF_{mh}$ , and  $AMF_h$  at 12 LST, respectively, indicating the best performance of the retrieval using  $AMF_h$  relative to those with the other AMFs.

We find that both the regression slope and  $R^2$  for the results using  $AMF_{mh}$  suggest a better performance than those with  $AMF_m$ , particularly at 12 LST, but do not show any significant improvement at 9 and 18 LST. We infer from this that the temporal variability of species, caused by the diurnal variation of the planetary boundary layer (PBL), mostly explains the difference between the retrievals using  $AMF_m$  and  $AMF_{mh}$ . Accounting for this diurnal variability appears to be important for the retrieval when the PBL is fully developed and the active chemical processes typically occur. Therefore, we think that the use of  $AMF_{mh}$  could be an alternative and more efficient way to improve HCHO VCD retrievals for geostationary satellites with less computation required relative to the use of  $AMF_h$ .

The discrepancy between retrieved products over China is caused by temporal variation of HCHO vertical profiles and aerosols. Figure 5 shows the difference between  $AMF_h$  and  $AMF_m$  and individual contributions of HCHO profiles, aerosol optical properties (AOD and SSA), and aerosol profiles to the difference at 9, 12, and 18 LST of Seoul on 21 June 2009.

First of all, we find that  $AMF_h$  at 9, 12, and 18 LST is smaller by 0.76, 0.71, and 0.52 in absolute value than  $AMF_m$  over northeastern China, respectively (Fig. 5(a)). On the other hand, the former at each time is higher up to 0.59, 0.74, and 0.62 relative to the latter in the middle of eastern China.

In order to quantify individual contributions to AMF differences between the two, each of the HCHO profiles, aerosol optical properties, and aerosol vertical distributions is allowed to vary hourly while other variables are kept fixed using monthly averaged data for AMF calculation. We find that HCHO profile variations affect AMF over the entire domain, ranging from -0.48 and 0.45 in absolute value (Fig. 5(b)). In the morning (9 LST), the effect of HCHO profile variation is dominant over India and Indo-China peninsula, where  $AMF_h$  is higher than  $AMF_m$ , reflecting that hourly HCHO is distributed at higher altitudes relative to its monthly mean profiles and thus absorbs more photons. At 12 LST, this effect disappears over Indo-China and remains over India. AMF changes caused by temporal variation of HCHO profiles are relatively small in the evening (18 LST).

More pronounced differences shown over China appear to correlate significantly with the effect of aerosols, whose optical properties (Fig. 5(c)) and vertical distributions (Fig 5(d)) change with time, resulting in AMF variations of -0.56 to 0.40 and -0.50 to 0.57, respectively.



In Fig. 5(c), the aerosol optical property effects occurring in eastern China with high aerosol loadings show a different sign in that the decrease occurs in the north, whereas the increase is in the south, especially at 12 LST. This contrast corresponds to the hourly increases of absorbing and scattering aerosols relative to their monthly mean values in the north and south, respectively. In particular, the decrease of AMF in the north results from decreased HCHO absorption within and below aerosol layers (a shielding effect) as incoming photons cannot penetrate effectively aerosol layers and reach near surface due to aerosol absorption (Leitão et al., 2010).

We also find that aerosol profile variation is important for the AMF calculation as well as aerosol optical property. That is evident, in particular, over the middle of eastern China where the increment of AMF occurs owing to HCHO above aerosol layers (Fig. 5(d)). The resulting change of AMF is consistent with the study by Chimot et al. (2016) that suggested an enhancement (albedo) effect associated with the relative distribution between HCHO and aerosol. The enhancement effect refers to the increased HCHO absorption within and above aerosol layers because of an increased photon path length caused by aerosol backscatter (Chimot et al., 2016).

We also calculate percentage differences for the ratio of  $AMF_m$  to  $AMF_h$  at 12 LST (4<sup>th</sup> column in Fig. 5), which indicates changes of HCHO VCDs with  $AMF_h$  relative to those with  $AMF_m$  because HCHO VCDs are inversely proportional to AMF. Therefore, the percentage differences show an opposite sign from the differences between  $AMF_h$  and  $AMF_m$ . HCHO VCDs using  $AMF_h$  are 2.2 times higher and 0.6 times lower than those using  $AMF_m$  over eastern China. Changes owing to the temporal variation of HCHO profiles range from -24% to 49% relative to HCHO VCDs using  $AMF_m$ . Temporal effects of aerosol optical properties and aerosol profiles cause -27% – 58% and -34 – 43% changes, respectively. Martin et al. (2003) and Lee et al. (2009) showed that the aerosol correction factors, which are defined by the ratio of AMF with aerosol to AMF without aerosol, could vary from 0.7 to 1.15 depending on aerosol chemical composition; AMF increases with scattering aerosols but decreases with absorbing aerosols. Our ratio reflecting temporal variation effects shows a higher sensitivity of HCHO retrieval than that from the previous studies.

In order to further understand the factors for the spatial pattern of changes, we compare hourly AOD and SSA at 300 nm with monthly mean values at 12 LST for Seoul (Fig. 6). In general, the region where hourly AOD is larger than monthly mean AOD corresponds to the region with the significant change of AMF. We find that hourly SSA is lower in northeastern

China and a bit higher in the middle of eastern China than monthly mean SSA. In other words, absorbing aerosols in northern China result in the decrease of AMF, whereas scattering aerosols in the middle of eastern China cause the increase of AMF at 12 LST. These spatial patterns of SSA and thus AMF changes are mainly determined by slightly absorbing dust aerosols in the north and scattering inorganic SNA aerosols in the south as shown in Fig. 6(c) and (d), respectively. In addition, AMF values change over regions where scattering SNA aerosols are high as result of temporal changes of aerosol profiles as we discussed above. High scattering aerosols near the surface increase the backscattering of incoming photons and result in the increases of HCHO absorption sensitivity and hourly AMF values. AMF decreases near Mongolia despite scattering aerosols, indicating that aerosols are distributed at high altitudes above the boundary layer.

Our illustrative results indicate that aerosol vertical distributions and their chemical compositions in East Asia can vary rapidly and may have significant impacts on retrieved HCHO columns. Therefore, use of AMFs calculated from monthly averaged parameters may cause considerable errors for geostationary satellites measurements such as GEMS in East Asia. To improve HCHO GEMS retrievals AMF calculations have to consider the diurnal variability of aerosols and their chemical composition.

Actual GEMS measurements will contain noise from polarization, temperature fluctuations of the GEMS instrument, stray light, and other sources, which will reduce retrieval sensitivity. However, despite this expected reduction in retrieval sensitivity, the main results on the impact of aerosols from this study will not change fundamentally. In the next section, we demonstrate these effects on the real-life example of the OMI HCHO retrievals.

## 5 Effects of aerosols on OMI HCHO products

Previous AMF applications to convert SCDs to VCDs of OMI HCHO are based on a look-up table approach with no explicit consideration of aerosols (González Abad et al., 2015). Here, we apply AMF values with an explicit consideration of aerosols to OMI HCHO SCDs to examine the effect of aerosol presence and its temporal variation in clear sky conditions (cloud fraction < 0.05) on the retrieved HCHO VCDs focusing on East Asia in 2006. The cloud fraction included in OMI HCHO products is used, which is provided from OMCLDO2 products (Stammes et al., 2008). The AMF calculation has been conducted similarly with monthly mean data from the GEOS-Chem simulations for 2006. In order to apply efficiently

our values to the OMI SCDs we compute an AMF look-up table as a function of longitude, latitude, AODs (0.1, 0.5, 1.0, 1.5, 2.0), SSAs (0.82, 0.87, 0.92, 0.97), solar zenith angles ( $5^\circ$ ,  $30^\circ$ ,  $60^\circ$ ,  $80^\circ$ ), and viewing zenith angles ( $0^\circ$ ,  $10^\circ$ ,  $20^\circ$ ,  $30^\circ$ ,  $40^\circ$ ,  $50^\circ$ ,  $60^\circ$ ,  $70^\circ$ ,  $80^\circ$ ). An aerosol layer height is also important to determine AMF as discussed in Sect. 4. However, the information is not yet available from the satellites with ultraviolet and visible channels so that our AMF look-up table is not a function of aerosol layer heights.

Figure 7 shows monthly averaged AOD and SSA at 354 nm (cloud fraction  $< 0.05$ ) from OMI UV radiances (OMAERUV) for March 2006. High AOD extending from the Taklamakan desert with a relatively low SSA indicates slightly absorbing dust aerosols in East Asia. OMAERUV products are derived from measured reflectance from OMI and climatological surface albedo from TOMS at 354 and 388 nm, aerosol type, and aerosol layer height (Torres et al., 2013). Ahn et al. (2014) evaluated AOD from OMAERUV with Aerosol Robotic Network (AERONET) data, deriving a root mean square error of 0.16 and a correlation coefficient of 0.81 at 44 global sites over 4 years (2005-2008). SSA from OMAERUV shows difference of  $\pm 0.03$  ( $\pm 0.05$ ) compared to that of AERONET at 47% (69%) of 269 sites (Jethva et al., 2014). Although Torres et al. (2013) excluded pixels with cloud contamination using scene reflectivity and surface reflectance at 388 nm, aerosol index, and aerosol type, we use pixels where cloud fraction is less than 0.05. This allows us to analyze explicit aerosol effects on AMF calculation without having to worry about cloud contamination.

We calculate scene-dependent AMFs by using the OMI aerosol products together with our AMF look-up table. Figure 8(a) shows differences between monthly mean AMF with and without aerosols. AMF values with aerosols at each measurement time are calculated by using AOD and SSA from OMI. AMF values considering aerosols are higher than those without aerosols by 0.19 in absolute value, reflecting the decrement of HCHO VCDs by 11% in comparison with those without aerosols. In order to examine aerosol temporal variation effects on AMF calculation, we use the same AMF specifications discussed in Sect. 4. In the section,  $AMF_h$  denotes AMF using aerosol optical properties at each measurement time, and  $AMF_m$  is AMF using monthly mean AOD and SSA.

Figure 8(b) represents differences between monthly mean  $AMF_h$  and  $AMF_m$ , which reflect the non-linear response of the AMF calculation due to aerosol temporal variation. Negative values are generally seen in the south of  $40^\circ N$ , indicating that monthly mean  $AMF_h$  is lower than  $AMF_m$  so that HCHO column concentrations using  $AMF_h$  are higher than those with  $AMF_m$ . The opposite sign occurs in the north of  $40^\circ N$  and some parts of China.

Finally, we examine a dust storm event on 23-29 March 2006 in order to explore an episodic case with very high aerosol concentrations. AOD and SSA (1<sup>st</sup> and 2<sup>nd</sup> rows in Fig. 9) are high and relatively low, respectively, corresponding to dust aerosols transported from the Taklamakan and Gobi deserts. As expected, the ratio of AMF without ( $AMF_{no}$ ) to with aerosols ( $AMF_a$ ) increases during the dust storm (3<sup>rd</sup> row of Fig. 9). It is a consequence of the absorbing dust aerosols transported by the dust storm. The effects are pronounced over central and northeastern China and are sometimes extended to downwind regions of Korea and the East Sea between Korea and Japan on 25 and 27 March. The ratio also increases due to biomass burning in the Indochina peninsula. The aerosol effects on AMF make HCHO VCDs increase by 32% due to absorbing aerosols and decrease by 25% due to scattering aerosols compared to those using AMF without aerosols.

Here we illustrate that the temporal variation effects of AOD and SSA on the AMF calculation (4<sup>th</sup> row in Fig. 9) can adequately be accounted for using satellite observations especially for episodic events such as dust storms and biomass burning.  $AMF_m$  uses OMI monthly mean AOD and SSA for March 2006, and  $AMF_h$  uses them at each measurement time. The ratio of  $AMF_m$  to  $AMF_h$  ranges from 0.68 to 1.47 reflecting HCHO changes of -32% to 47% by using  $AMF_h$  compared to VCDs with  $AMF_m$ . That indicates that aerosol optical properties simultaneously measured for geostationary satellites can be used to calculate AMF for HCHO VCDs and to reduce the associated uncertainty with the retrieved products.

We only consider AOD and SSA on the AMF calculation although an aerosol layer height affects AMF calculation, which is not readily available from OMI yet. However, Park et al. (2016) recently show a possibility to retrieve aerosol height information using  $O_2-O_2$  collision from GEMS measurements. For GEMS, we could use the retrieved aerosol information to compute scene-dependent AMFs, which will be used to improve the gas-species retrieval at each measurement time.

## 6 Summary

We examined the sensitivity of retrieved HCHO VCDs to AMF temporal specifications. We computed  $AMF_m$ ,  $AMF_h$ , and  $AMF_{mh}$ , using monthly, hourly, and monthly mean hourly input data for their calculation and compared retrieved HCHO VCDs with true values in the OSSE. Retrieved VCDs with three AMF specifications were consistent with the true values, but the result using  $AMF_h$  showed the best agreement with the true. The differences between

HCHO VCDs with  $AMF_h$  and  $AMF_m$  over China were caused by the temporal changes of aerosol chemical compositions and aerosol profiles in our AMF calculation. Relative to HCHO VCDs with  $AMF_m$ , the first effect resulted in -27% – 58% changes of HCHO VCDs, whereas the latter effect caused -34% – 43% changes in China. In addition, compared to the result with

5  $AMF_m$ , the use of  $AMF_{mh}$  showed a better agreement with the true values, which indicates that accounting for diurnal variation is an important factor for the retrievals in times with fully developed PBL and active chemistry. We suggest the use of  $AMF_{mh}$  as an alternative and more efficient way to improve HCHO VCD retrievals for geostationary satellites with less computation required relative to the use of  $AMF_h$ .

10 We also applied our AMF look-up table accounting for the presence of aerosols to OMI HCHO SCDs in order to examine explicit effects of aerosol and its temporal change on OMI retrieval primarily focusing on clear sky conditions (cloud fraction < 0.05). We found that the consideration of aerosol optical properties resulted in a decrease of HCHO VCDs by 11% on a monthly mean basis. In a dust storm event for 23-29 March 2006, the consideration of aerosols

15 for AMF calculation changed HCHO VCDs from -25% to 32% relative to HCHO VCDs with no explicit aerosol effects. In addition, AMFs using OMI aerosol products at each measurement time changed HCHO VCDs from -32% to 47% compared to those with AMFs using monthly mean AOD and SSA from OMI. Our test with the OMI products indicated a possibility that simultaneously measured aerosol optical products can be used to calculate AMFs considering

20 aerosol and its temporal variation effects to reduce the associated uncertainty of HCHO VCD retrievals.

In this study, we selected pixels in clear sky conditions to examine explicit aerosol effects on AMF calculation because the retrieval algorithms of aerosol and cloud interact with each other. We may need to investigate interaction effects between aerosol and cloud on AMFs when

25 we consider cloud products from satellites to calculate AMFs.

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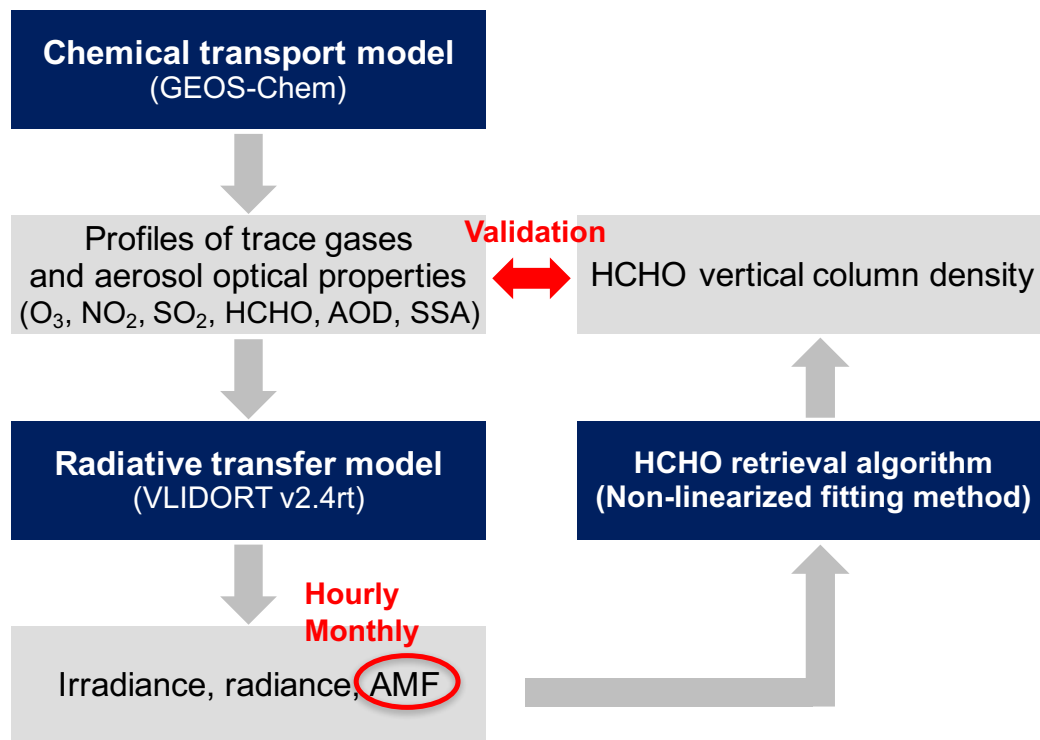
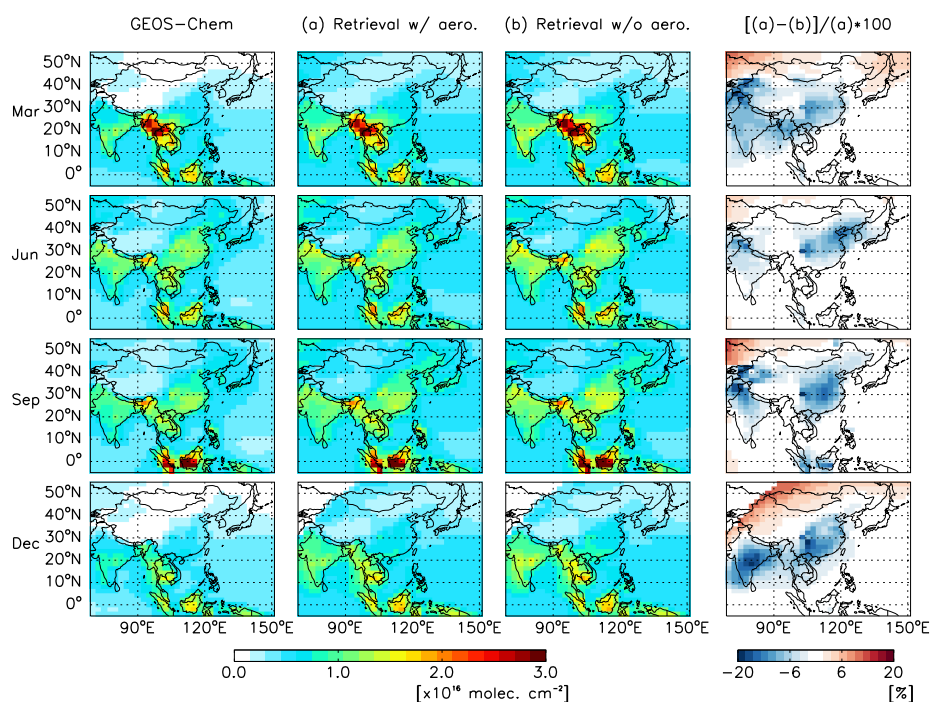
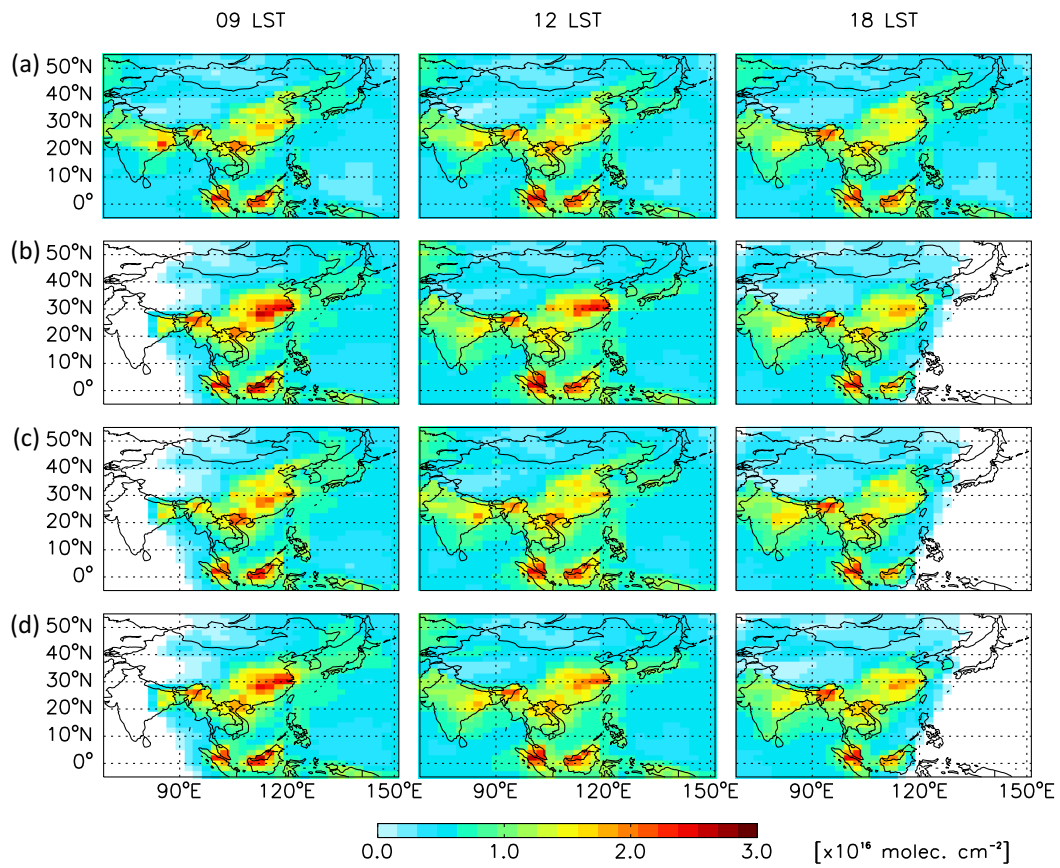


Figure 1. Schematic diagram of observation system simulation experiments (OSSE) used to validate our retrieval algorithm and to examine its sensitivity to the temporal variation of AMF values. GEOS-Chem, driven by assimilated meteorological data is used to produce profiles of atmospheric constituent concentrations. VLIDORT calculates observed radiances measured by geostationary satellites using atmospheric constituent concentrations and meteorological conditions from GEOS-Chem simulations. The HCHO retrieval algorithm is developed based on least-squares fitting of a non-linearized Lambert-Beer model and is validated by comparisons between simulated and retrieved column densities of HCHO. The latter are obtained by applying the retrieval algorithm to the observed radiances from VLIDORT. Details are provided in the text.



**Figure 2.** HCHO vertical column densities (VCDs) simulated from GEOS-Chem (1<sup>st</sup> column) and retrieved HCHO VCDs using AMFs with aerosols (2<sup>nd</sup> column) and without aerosols (3<sup>rd</sup> column) for a month of each season in 2006. Relative differences between the two retrievals using AMFs with and without aerosols are shown on the 4<sup>th</sup> column representing the aerosol effect on the retrieved HCHO VCDs.

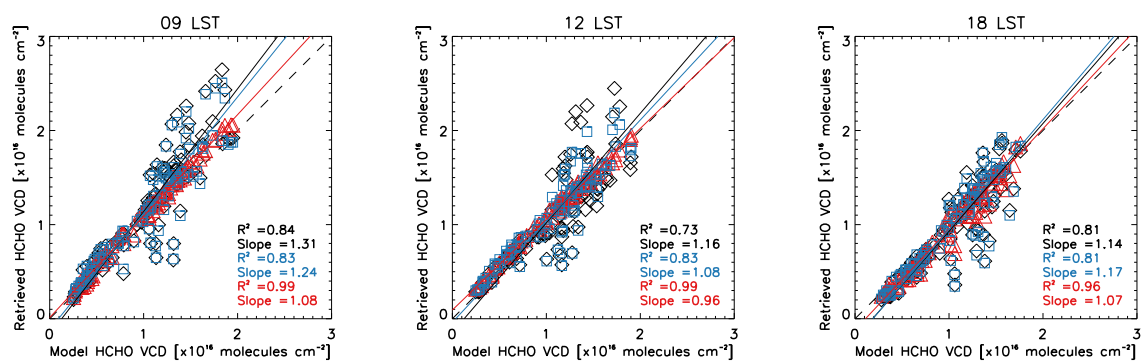


**Figure 3. (a) HCHO VCDs simulated by GEOS-Chem at 9, 12, and 18 local standard time (LST) of Seoul on 21 June 2009. (b) Retrieved HCHO VCDs with  $AMF_m$ . (c) Retrieved HCHO VCDs with  $AMF_h$ . (d) Retrieved HCHO VCDs with  $AMF_{mh}$ .**

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**Figure 4. Comparison of the retrieved versus simulated VCDs shown in Fig. 3 over China (105-120°E, 15-45°N). Black diamonds, red triangles, and blue squares denote the retrieved VCDs using AMF<sub>m</sub>, AMF<sub>h</sub>, and AMF<sub>mh</sub>, respectively. Statistics are shown as insets.**

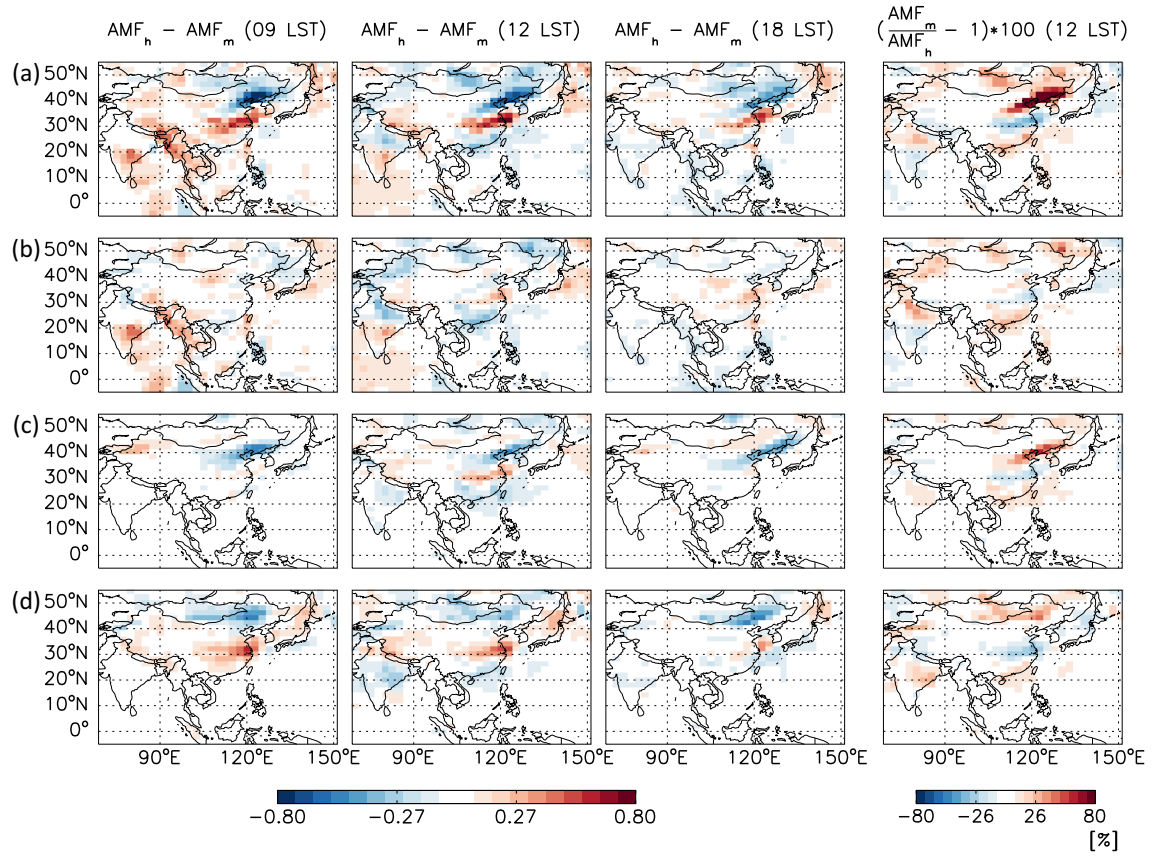
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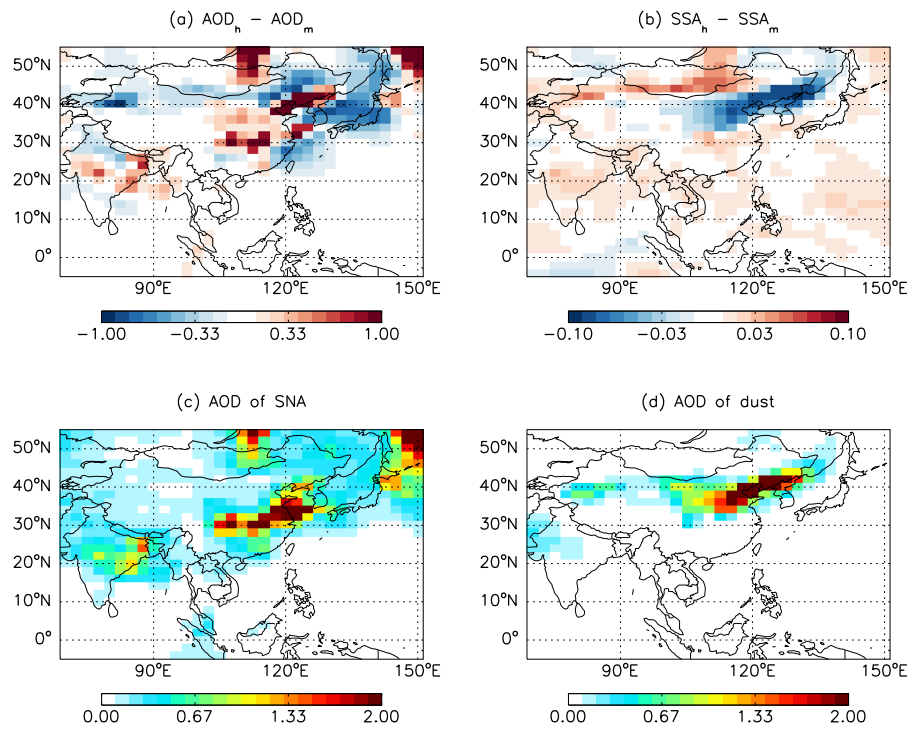
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**Figure 5. (a) Differences between  $AMF_h$  and  $AMF_m$  values and relative contributions to them by the temporal changes of (b) HCHO profiles, (c) aerosol optical properties, and (d) aerosol vertical distributions. The first to third columns are results at 9, 12, and 18 LST at Seoul on 21 June 2009. The fourth column gives percentage differences for the ratio of  $AMF_m$  to  $AMF_h$  indicating changes of HCHO VCDs with  $AMF_h$  relative to those with  $AMF_m$  at 12 LST.**



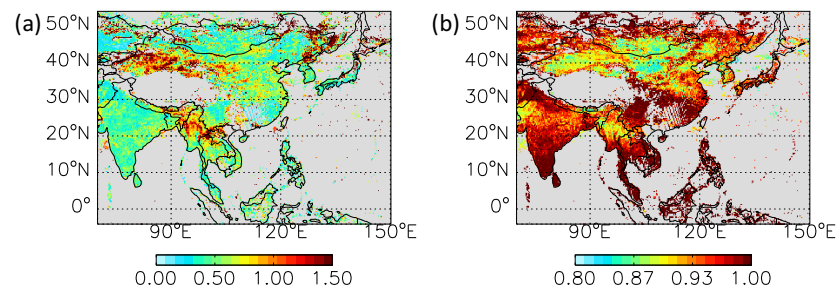


**Figure 6.** Differences at 12 LST on 21 June 2009 between hourly and monthly (a) AOD and (b) SSA. AOD of (c) sulfate-nitrate-ammonium (SNA) aerosols and (d) soil dust aerosols at 12 LST

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**Figure 7. (a) AOD and (b) SSA at 354 nm from OMI used in AMF calculation for March 2006 in clear sky conditions (cloud fraction < 0.05).**

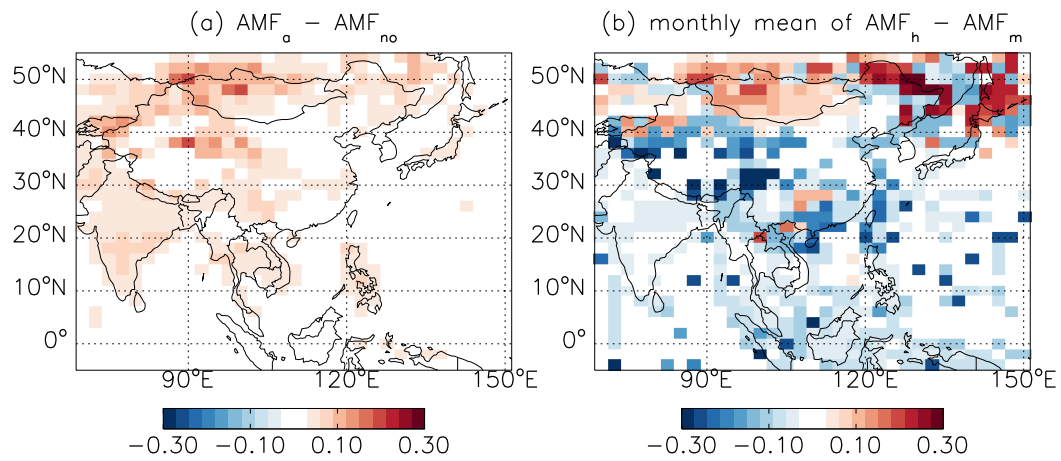
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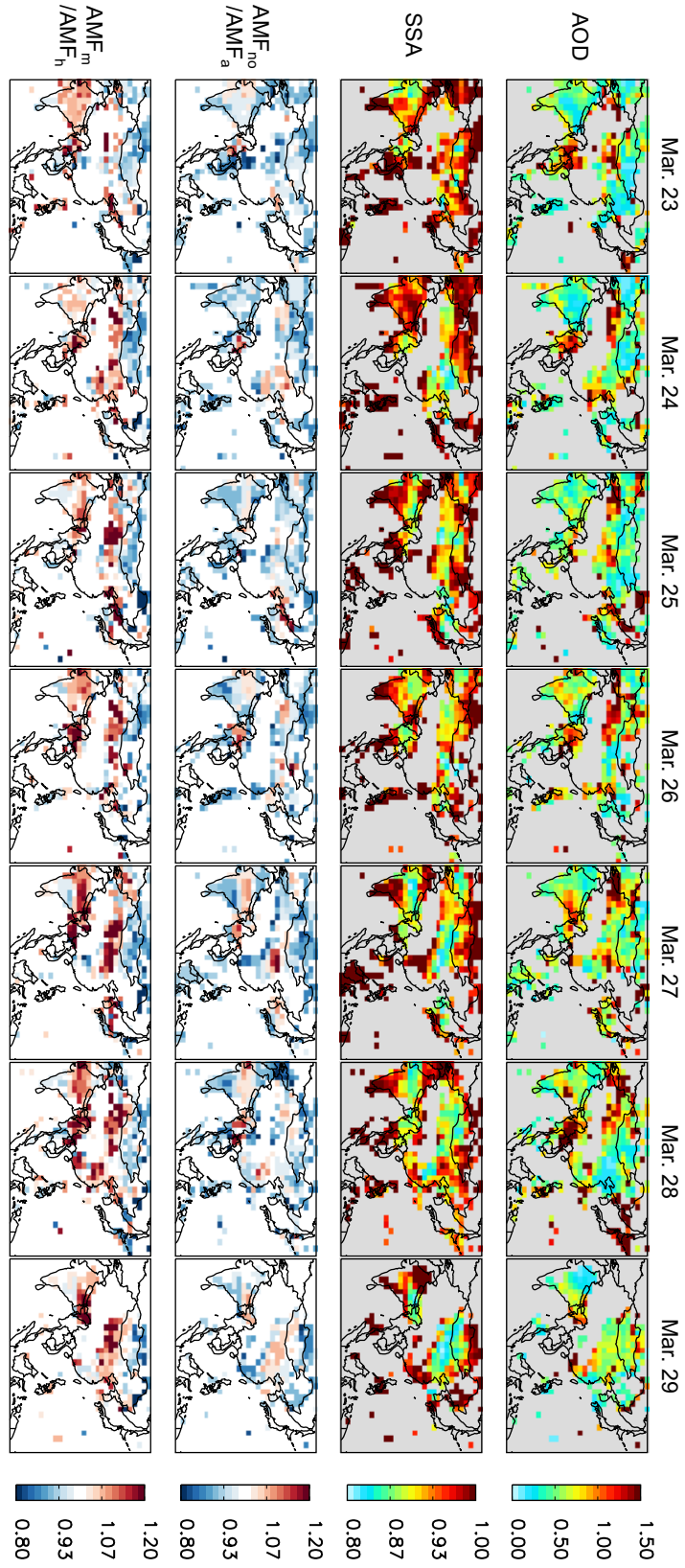
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**Figure 8. (a) Differences between AMFs with ( $AMF_a$ ) and without ( $AMF_{no}$ ) aerosols. (b) Differences of the monthly mean of  $AMF_h$  versus  $AMF_m$ .  $AMF_h$  denotes a value using AOD and SSA at each measurement time, and  $AMF_m$  is a value using monthly mean AOD and SSA. Aerosol optical properties used in the calculation are from OMI observations (OMAERUV) for March 2006.**



**Figure 9. Values of AOD, SSA, aerosol optical property effects on AMF ( $AMF_{no}/AMF_a$ ), and temporal effects of aerosol optical properties on AMF ( $AMF_m/AMF_h$ ) for March 23-29, 2006, when a strong dust event occurred in East Asia.  $AMF_{no}$  and  $AMF_a$  indicate values without and with aerosols, respectively.  $AMF_m$  is a value using monthly mean AOD and SSA from OMI.  $AMF_h$  is a value using AOD and SSA from OMI at each measurement time.**