

1 Tropospheric Ozone Seasonal and Long-term Variability as seen by lidar and
2 surface measurements at the JPL-Table Mountain Facility, California

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22 tropopause folds, UTLS

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24 Abstract

25 A combined surface and tropospheric ozone climatology and interannual variability study
26 was performed for the first time using co-located ozone photometer measurements (2013-2015)
27 and tropospheric ozone differential absorption lidar measurements (2000-2015) at the Jet
28 Propulsion Laboratory Table Mountain Facility (TMF, elev. 2285 m), in California.

29 The surface time-series were investigated both in terms of seasonal and diurnal variability.
30 The observed surface ozone is typical of high-elevation remote-sites, with small amplitude of the
31 seasonal and diurnal cycles, and high ozone values, compared to neighboring lower altitude
32 stations representative of urban boundary layer conditions. The ozone mixing ratio ranges from
33 45 ppbv in the winter morning hours to 65 ppbv in the spring and summer afternoon hours. At
34 the time of the lidar measurements (early night), the seasonal cycle observed at the surface is
35 similar to that observed by lidar between 3.5 km and 9 km.

36 Above 9 km, the local tropopause height variation with time and season impacts significantly
37 the ozone lidar observations. The frequent tropopause folds found in the vicinity of TMF (27%
38 of the time, mostly in winter and spring) produce a dual-peak vertical structure in ozone within
39 the fold layer, characterized by higher-than-average values in the bottom half of the fold (12-14
40 km), and lower-than-averaged values in the top half of the fold (14-18 km). This structure is
41 consistent with the expected origin of the air parcels within the fold, i.e., mid-latitude
42 stratospheric air folding down below the upper tropospheric sub-tropical air. The influence of the
43 tropopause folds extends down to 5 km, increasing the ozone content in the troposphere.

44 No significant signature of interannual variability could be observed on the 2000-2015
45 deseasonalized lidar time-series, with only a statistically non-significant positive anomaly during
46 the years 2003-2007. Our trend analysis reveals however an overall statistically significant
47 positive trend of $0.3 \text{ ppbv}\cdot\text{year}^{-1}$ (0.6%) in the free troposphere (7-10km) for the period 2000-
48 2015.

49 A classification of the air parcels sampled by lidar was made at 1-km intervals between 5 km
50 and 14 km altitude, using 12-day backward trajectories (HYSPLIT). Our classification revealed

51 the influence of the Pacific Ocean, with air parcels of low ozone content (43-60 ppbv below 9
52 km), and significant influence of the stratosphere leading to ozone values of 57-83 ppbv down to
53 8-9 km. In summer, enhanced ozone values (76 ppbv at 9 km) were found in air parcels
54 originating from Central America, probably due to the enhanced thunderstorm activity during the
55 North American Monsoon. Influence from Asia was observed throughout the year, with more
56 frequent episodes during spring, associated to ozone values from 53 to 63 ppbv at 9 km.

57

58 1. Introduction

59 Ozone is an important constituent in the troposphere, impacting climate, chemistry, and air
60 quality (The Royal Society, 2008). As a greenhouse gas (Forster et al., 2007), it contributes to
61 the Earth's global warming with an estimate radiative forcing of $0.40 \pm 0.20 \text{ W}\cdot\text{m}^{-2}$ (IPCC 2013).
62 It is one of the main oxidants in the troposphere (Monks, 2005), and, in high concentrations, it
63 can cause problems in human health and vegetation (World Health Organization, 2003).
64 Tropospheric ozone is primarily formed as a secondary pollutant in chemicals reactions
65 involving ozone precursors such as methane, CO, NO_x, VOCs or PANs. An additional source of
66 ozone in the troposphere is the downward transport from the stratosphere, where ozone is much
67 more abundant (Levy et al., 1985). At high elevation sites such as the Jet Propulsion Laboratory
68 Table Mountain Facility in Southern California (TMF hereafter), the effect of the boundary layer
69 is very small, and ozone variability is expected to be driven by transport processes from the
70 stratosphere or horizontal transport within the troposphere (Cui et al., 2009; Naja et al., 2003;
71 Trickl et al., 2010).

72 Several studies show that background ozone levels have increased significantly since
73 preindustrial times (Mickley et al., 2001; Parrish et al., 2012; Staehelin et al., 1994; Volz and
74 Kley, 1988) and these levels continued rising in the last decades in both Hemispheres (Derwent
75 et al., 2007; Jaffe et al., 2004; Lee and Akimoto, 1998; Naja and Akimoto, 2004; Oltmans et al.,
76 2006; Parrish et al., 2012; Simmonds et al., 2004; Tanimoto et al., 2009; Zbinden et al., 2006;
77 Lelieveld et al., 2004). Nevertheless, after air quality regulations were implemented in the 1970s,
78 the increasing trend has slowed down or is even reversed in regions such as the Eastern U.S. and
79 Europe (Cooper et al., 2012, 2014; Granier et al., 2011). The situation is not the same for
80 emerging economies such as Asia, where emissions are increasing with a corresponding increase
81 in ozone levels (Dufour et al., 2010; Gao et al., 2005; Strode et al., 2015; Tie et al., 2009; Wang
82 et al., 2006).

83 In most cases, variability and trend studies have revealed very large ozone variability with
84 time, location and altitude (Cooper et al., 2014). This variability is mostly due to the large
85 heterogeneity and variability of the ozone sources themselves, the different chemical processes
86 affecting the formation and depletion of tropospheric ozone and its variable lifetime in the
87 troposphere. Ozone atmospheric lifetime goes from a few hours in polluted boundary layer to

88 several weeks in the free troposphere, allowing it to travel over distances of intercontinental
89 scale (Stevenson et al., 2006; Young et al., 2013). Additional factors that have been observed to
90 influence tropospheric ozone variability are climate variability and related global circulation
91 patterns such as ENSO or PDO (e.g. Lin et al., 2014; 2015a; Neu et al., 2014). Tropopause folds
92 also play a key role on tropospheric ozone interannual variability, as they influence the ozone
93 budget in the troposphere and can even affect air quality near the surface (e.g. Lin et al., 2015a
94 Brown-Steiner and Hess, 2011; Langford et al., 2012). In order to obtain statistically significant
95 results and be able to assess tropospheric ozone interannual variability and trends, a large long-
96 term monitoring dataset with global coverage is required. In the last decades, efforts have been
97 made in this respect and the number of tropospheric ozone measurements has considerably
98 increased throughout the globe. However, it is still necessary to increase the current observation
99 capabilities to characterize tropospheric ozone variability more accurately

100 Long-term records of tropospheric ozone are available since the 1950s (Feister and Warmbt,
101 1987; Parrish et al., 2012), but it is not until the 1970s that the number of ozone monitoring
102 stations became significant (Cooper et al., 2014 and references therein). Currently, a
103 considerable number of ozone monitoring sites are operating as part of regional networks or
104 international programs (e.g. World Meteorological Observation Global Atmosphere Watch
105 WMO/GAW, Acid Deposition Monitoring Network in East Asia EANET, Clean Air Status and
106 Trends Network CASTNET, etc.). In addition to these ground-based networks, tropospheric
107 ozone measurements from satellite (TOMS, TES, OMI, etc.) or aircraft (MOZAIC/IAGOS)
108 platforms have been successfully implemented. Nevertheless, a large fraction of the tropospheric
109 ozone measurements are still only surface or column-integrated measurements whilst the number
110 of them with information on the vertical coordinate is very small. Until recently, mainly
111 ozonesonde profiles have been used to provide altitude-resolved ozone variability information in
112 the troposphere (Logan, 1994; Logan et al., 1999; Naja and Akimoto, 2004; Oltmans et al., 1998,
113 2006, 2013; Newchurch et al., 2003), but the cost of an ozonesonde launch has kept the sampling
114 interval to one profile per week (or less) for a given location. Ozone vertical profiles have also
115 been obtained from aircraft platforms through programs such as MOZAIC and IAGOS, available
116 since 1995 (e.g. Zbinden et al., 2013, Logan et al., 2012). However, aircraft data are limited to
117 air traffic routes and the temporal resolution depends on the frequency of the commercial flights.
118 Differential Absorption Lidar (DIAL) systems, which started to be used to measure tropospheric

119 ozone in the late 1970s (Bufton et al., 1979; Proffitt and Langford, 1997), complement the
120 ozonesonde and aircraft records, providing higher temporal resolution thanks to their inherent
121 operational configuration (from minutes to days of continuous measurements). Currently,
122 tropospheric ozone lidars are still very scarce, but the implementation of observation networks
123 such as the international Network for the Detection of Atmospheric Composition Change
124 (NDACC, <http://www.ndsc.ncep.noaa.gov>), and more recently the North American-based
125 Tropospheric Ozone Lidar Network (TOLNet, <http://www-air.larc.nasa.gov/missions/TOLNet>)
126 allows for new capabilities that can contribute to the understanding of processes affecting
127 tropospheric ozone variability, and to satellite and model validation and improvement.

128 As part of NDACC and TOLNet, a tropospheric ozone DIAL system located at TMF has
129 been operating since 1999. In this study, an analysis of 16 years of lidar profiles measured at the
130 station is presented together with the analysis of the surface ozone measurements available at the
131 site since 2013. The objective is to provide the first-ever published study of tropospheric ozone
132 variability above TMF using both the surface and lidar datasets. The work presented here is
133 particularly valuable due to the rising interest in the detection of long-term trends in the Western
134 United States (U.S.) and the scarcity of long-term measurements of ozone vertical profiles in this
135 region. The high-terrain elevation and the deep planetary boundary layer of the intermountain
136 Western U.S. region facilitate inflow of polluted air masses originating in the Asian boundary
137 layer and ozone-rich stratospheric air down to the surface, thus highly influencing air quality in
138 the region (Brown-Steiner and Hess, 2011; Cooper et al., 2004; Langford et al., 2012; Liang et
139 al., 2004; Lin et al., 2012a, 2012b; Stohl, 2002). After a brief description of the instrumentation
140 and datasets (Section 2), an analysis of the seasonal and interannual variability of tropospheric
141 ozone above TMF for the period 2000-2015 will be presented in section 3. The study includes a
142 characterization of the air parcels sampled by lidar by identification of the source regions based
143 on backward trajectories analysis. Concluding remarks are provided in Section 4.

144 2. Instrumentation

145 2.1 Tropospheric ozone lidar

146 TMF is located in the San Gabriel Mountains, in Southern California (34.4° N, 117.7° W), at an
147 elevation of 2285 m above sea level. Two differential absorption lidars (DIAL) and one Raman

148 lidar have been operating at the facility during nighttime typically four times per week, two
149 hours per night, contributing stratospheric ozone, temperature, tropospheric ozone, and water
150 vapor measurements to NDACC for several decades now. The original design in the mid-1990s
151 of the tropospheric ozone DIAL was optimized for tropospheric ozone and aerosol measurements
152 (McDermid, 1991). The system was later re-designed to provide exclusively tropospheric ozone
153 profiles (McDermid et al., 2002). The emitter uses a quadrupled Nd:YAG laser emitting two
154 beams at 266 nm. One beam passes through a Raman cell filled with Deuterium to shift the
155 wavelength to 289 nm, the other beam passes through another cell filled with Hydrogen to shift
156 the wavelength to 299 nm. The two beams are then expanded five times and transmitted into the
157 atmosphere. The light elastically backscattered in the troposphere (3-20 km) is collected by three
158 (later five) telescopes comprising mirrors of diameters varying from 91 cm diameter to 5 cm
159 diameter, thus accommodating for the large signal dynamic range occurring when collecting
160 light from this close range. A total of three pairs of 289/299 nm channels is thus used to retrieve
161 ozone using the DIAL technique, each pair corresponding to a different intensity range and the
162 retrieved ozone profiles from all pairs combined ~~together~~ ultimately covers the entire troposphere
163 (3-18 km). As part of the retrieval process, the upper range of the ozone profile is further
164 extended to about 25 km by applying the DIAL technique on the 299 nm high intensity channel
165 of the tropospheric ozone lidar and the 355 nm low-intensity channel of the co-located water
166 vapor Raman lidar (Leblanc et al., 2012).

167 The instrument temporal sampling can be set to any value from a few seconds to several hours
168 and the vertical sampling can be set to any multiple of 7.5 m, depending on the science or
169 validation need. For the routine measurements contributing to NDACC over the period 1999-
170 2015 and used for the present work, the standard settings have typically ranged between 5-min
171 and 20-min for temporal sampling, and between 7.5 m and 75 m for the vertical sampling.
172 Profiles routinely archived at NDACC are averaged over 2-hours, with an effective vertical
173 resolution varying from 150-m to 3 km, decreasing with altitude. These temporal and vertical
174 resolution settings yield a standard uncertainty of 7-14% throughout the profile. The system
175 operates routinely at nighttime, but daytime measurements with reduced signal-to-noise ratio are
176 occasionally performed in special circumstances such as process studies, and aircraft or satellite
177 validation. The total number of routine 2-hour ozone profiles used in this study and archived at
178 NDACC for the period 2000-2015 is included in Table 1.

179 The TMF ozone lidar measurements have been regularly validated using simultaneous
180 and co-located Electrochemical Concentration Cell (ECC) sonde measurements (Komhyr, 1969;
181 Smit et al., 2007). In the troposphere the precision of the ozonesonde measurement is
182 approximately 3-5% with accuracy of 5-10% below 30 km. TMF has ozonesonde launch
183 capability since 2005 and 32 coincident profiles were obtained over the period 2005-2013.
184 Results from the lidar and the ECC comparison are included in Figure 1. Figure 1a shows the
185 averaged relative difference between the lidar and ECC ozone number density profiles for the 32
186 cases. The lidar and sonde measurements are found to be in good agreement, with an average
187 difference of 7% in the bulk of the troposphere and most of the values under 10% (Figure 1b),
188 which is within the combined uncertainty computed from both the lidar and sonde
189 measurements. Note that a non-negligible fraction of the differences is due to the different
190 measurement geometry of the lidar and ozonesonde: 2-hour averaged, single location for lidar,
191 and horizontally-drifting 1-second measurements for the ozonesonde usually rising at $5 \text{ m}\cdot\text{s}^{-1}$.
192 Figure 1c reveals that the deviations do not present significant changes with time, which is an
193 indicator of the system stability despite the multiple upgrades made over this time period.

194 2.2. Surface ozone measurements

195 Continuous surface ozone measurements have been performed at TMF since 2013 using the UV
196 photometry technique (Huntzicker et al., 1979) with a UV photometric ozone analyzer (Model
197 49i from Thermo Fisher Scientific, US). The operation principle is based on the absorption of
198 UV light at 254 nm by the ozone molecules (Shina et al., 2014). The instrument collects in-situ
199 air samples at 2 meter above ground taken from an undisturbed forested environment adjacent to
200 the lidar building. It provides ozone mixing ratio values at 1-minute time intervals with a lower
201 detection limit of 1 ppbv. Uncertainty has been reported to be below 6% in previous studies
202 (Shina et al., 2014).

203

204 3. Results

205

206 3.1. Surface ozone variability

207 Figure 2a shows the surface ozone seasonal cycle at TMF and nearby stations from the
208 California Air Resources Board (ARB) air quality network for the period 2013-2015. The
209 seasonal cycle at TMF comprises a maximum in spring and summer and a minimum in winter,
210 consistent with the ARB stations shown, as well as other stations in the US West Coast (e.g.
211 Schnell et al., 2015). Nonetheless, the seasonal cycle obtained at TMF from the hourly samples
212 (left plot) presents larger ozone values and lower variability throughout the year compared to the
213 other ARB stations, all of which are at lower altitudes. The mean surface value for the complete
214 period at TMF is 55 ppbv, whereas the seasonal values are 57, 57, 52 and 45 ppbv in spring
215 (March-April-May), summer (June-July-August), fall (September-October-November) and
216 winter (December-January-February) respectively. These values are in good agreement with
217 those obtained from surface measurements at high elevation sites in the Northern Hemisphere
218 and reported in the review by Cooper et al., (2014). When using the 8hMDA (8-h maximum
219 daily average, right plot), larger seasonal-cycle amplitudes occur, especially at stations affected
220 by anthropogenic pollution such as Crestline or San Bernardino. These polluted stations present
221 larger values in summer than those recorded at high-elevation remote stations like Joshua Tree or
222 TMF. The mean 8hMDA at TMF is 58 ppbv and the seasonal averages are 62, 66, 57 and 49 for
223 spring, summer, fall and winter respectively. The observed low seasonal variability is typical of
224 high-elevation remote sites with low urban influence (Brodin et al., 2010). A similar behavior
225 can be observed at the Phelan, Joshua Tree or the Mojave National Preserve stations, all sites
226 being at high elevation with low or negligible urban influence. In Figure 2a a secondary
227 minimum is observed at TMF and most of the ARB nearby stations in July-August, followed by
228 a secondary maximum in fall.

229 In Figure 2a a clear combined effect of the altitude and proximity to anthropogenic pollution
230 sources on the ozone levels is observed. In general, higher ozone levels and lower variability are
231 observed at higher altitudes. The lowest altitude Pico Rivera instrument measures the lowest
232 ozone levels, and the highest-altitude TMF instrument measures the highest ozone levels
233 throughout the year when considering the hourly sampled dataset. A mean difference of ~30
234 ppbv is observed for a 2-km altitude difference. The magnitude of this positive ozone vertical
235 gradient depends on the distance from anthropogenic pollution sources. The effect of pollution is
236 clearer on the 8hMDA data, where high-elevation stations, yet more likely to be affected by
237 pollution such as Crestline or Victorville, present a larger seasonal cycle amplitude associated

238 with lower ozone levels in winter and higher levels in summer. A similar impact of the interplay
239 between urban influence and high-elevation was previously reported by Brodin et al., (2010).

240 The difference between the seasonal cycle retrieved from the 1-hour averaged data and the
241 8hMDA can be easily explained from the differences in the daily cycles at the different stations.
242 The mean surface ozone diurnal cycle at TMF and nearby ARB stations is shown in Figure 2b
243 for the four seasons. Minimum values are observed at nighttime, whereas maxima appear in late
244 afternoon. As for the seasonal cycle, the daily cycle at TMF, Joshua Tree, Mojave National
245 Preserve and Phelan stations exhibit low variability compared to the other stations located at
246 lower altitude and more affected by urban pollution. On average, daily values are larger at high-
247 elevation remote sites such as TMF or Joshua Tree. However, the afternoon maximum is larger
248 at polluted stations such as Crestline, especially in the summer season. In addition, the maximum
249 at TMF and the ARB stations of Joshua Tree and Mojave National Preserve occurs later than at
250 the other stations. The difference in timing is likely due to the different chemical species
251 involved in the ozone formation and depletion processes due to the low influence of
252 anthropogenic pollution (Brodin et al., 2010; Gallardo et al., 2000; Naja et al., 2003). In winter, a
253 minimum is observed at TMF in the afternoon instead of the maximum observed at the other
254 stations. This difference in diurnal pattern has been observed at other remote or high-elevation
255 sites and has been attributed to the shorter day length and the lack of ozone precursors compared
256 to urban sites. The resulting daytime photochemical ozone formation is insufficient to produce an
257 ozone diurnal variation maximizing in the afternoon (Brodin et al., 2010; Gallardo et al., 2000;
258 Naja et al., 2003; Oltmans and Komhyr, 1986; Pochanart et al., 1999; Tsutsumi and Matsueda,
259 2000).

260 3.2. Tropospheric ozone variability

261 The red curve in Figure 3a (left plot) shows the average tropospheric—ozone profile in the
262 troposphere and the UTLS region obtained by the TMF lidar for the period 2000-2015. The cyan
263 horizontal bars show the corresponding standard deviation at 1-km interval. The red dot at the
264 bottom of the profile shows the 2013-2015 mean surface ozone obtained from the data acquired
265 simultaneously to the lidar measurements. The lidar system provides information from
266 approximately 1.3 km (from 200 meters since 2013) above the surface up to 25 km, covering the
267 whole troposphere and the lower stratosphere. The average mixing ratio value in the mid-

268 troposphere is 55 ppbv. Above 8 km, the ozone mixing ratio increases, reaching values above
269 1000 ppbv at 16 km.

270 The seasonally averaged profiles are shown in Figure 3b. These averages represent larger
271 values in spring and summer in the troposphere, whereas in the stratosphere maximum values
272 occur in winter and spring. Within the troposphere, below 9 km, the seasonally-averaged profiles
273 show average values of 62, 60, 51 and 50 ppbv in spring, summer, fall and winter respectively.
274 These values are in good agreement with the average ozone concentrations (50-70 ppbv)
275 obtained in previous studies (Thompson et al., 2007; Zhang et al., 2010) above the western U.S.
276 In the altitude range 9-16 km (UTLS) a much larger variability in ozone is observed, as indicated
277 by the large standard deviation (left plot) and the differences between the seasonally-averaged
278 profiles (right plot). This large variability results from the horizontal and vertical displacement of
279 the tropopause above the site, causing the lidar to sound either the ozone-rich lowermost
280 stratosphere or the ozone-poor sub-tropical upper troposphere for a given altitude.

281 The 2D color contours of Figure 4 show the composite (2000-2015) monthly mean ozone
282 climatology measured by lidar (main panel, 4-20 km). A similar 2D color contour representation
283 was used just below the main panel to represent the composite (2013-2015) monthly mean
284 surface ozone. The climatological tropopause height at TMF is also included in the main panel
285 (blue dotted line), with mean values ranging between 12 and 15 km. As discussed previously in
286 this paper, the tropopause height variability is the main cause of the larger standard deviation
287 observed in Figure 3a in this region. Between the surface and 9 km, a very consistent seasonal
288 pattern occurs, with maximum values in April-May and minimum values in winter. The spring-
289 summer maximum in the free-troposphere has been consistently observed at other stations in
290 Europe and North America and is commonly attributed to photochemical production (Law et al.,
291 2000; Petetin et al., 2015; Zbinden et al., 2006). The maximum values in the Western US are also
292 usually related to the influence of Asian emissions reaching the US West Coast (Jaffe et al.,
293 2003; Parrish et al., 2004; Cooper et al., 2005; Neuman et al., 2012; Zbinden et al., 2013).
294 Above 9 km, the seasonal maximum occurs earlier (i.e. in March and April between 10 and 12
295 km and February and March at higher altitudes) consistent with the transition towards a
296 dynamically-driven lower-stratospheric regime. At these altitudes, the ozone minimum is also

297 displaced earlier in the year (August-October), which is consistent with the findings of Rao et al.
298 (2003) above Europe.

299 The TMF surface and lidar data are found to be very consistent, both in terms of seasonal
300 cycle phase and amplitude, and in term of absolute mixing ratio values. The mean value obtained
301 from the lidar measurements in the troposphere is very similar to the mean value obtained from
302 the surface measurements (around 55 ppbv). This consistency points out that the TMF surface
303 measurements are representative of the lower part of the free troposphere (i.e., below 7 km), at
304 least during the nighttime lidar measurements. This is mostly due to the fact that the station is not
305 affected by the boundary layer during most of the time because of its high-elevation. Additional
306 daytime lidar measurements will be performed in 2016 to assess whether such consistency also
307 exists at other times of the day, especially in the afternoon.

308 3.3. Interannual variability and trends

309
310 The 2000-2015 time-series of the deseasonalized ozone mixing ratio is shown in Figure
311 5. Anomalies, expressed in percent, resulted from subtracting the climatological ozone monthly
312 mean profiles computed for the period 2000-2015 to the measured lidar profiles. Large ozone
313 variability with time is clearly observed, highlighting the difficulty in identifying trends and
314 patterns. No clear mode of interannual variability is observed for the analyzed period here.
315 However, positive anomalies seem to predominate throughout the troposphere during the period
316 2003-2007, especially below 7 km. On average, ozone mixing ratio values in the lower
317 troposphere were 5 ppbv larger in 2003-2007 than during the entire period 2000-2015.

318 Following a procedure similar to that described in Cooper et al. (2012), a trend analysis
319 was performed at different altitude levels (Tables 2 and 3 and Figure 6). Figure 6 shows the time
320 series of the median, 95th and 5th percentile values, obtained every year between 2000 and 2015
321 for different layers and different seasons using the lidar profiles measured at TMF. In order to
322 obtain the trends, linear fits (shown in Figure 6) of the median, 95th and 5th percentiles were
323 performed independently using the least squares method. The ozone rate of change in ppbv.year⁻¹
324 was determined from the slope of the linear fit. To assess the significance of the trends, the F-
325 statistic test was used, with the p-Value as an indicator of the statistical significance. P-Values
326 lower than 0.05 (0.10), indicate statistically significant trends, with a confidence level larger than
327 95% (90%).

328 The calculated trends depend on altitude and season. Table 1. Number of measurements,
 329 by month and years, performed at TMF with the tropospheric ozone DIAL system. N/A indicates
 330 data not available at the time of the study

| | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|--------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------|
| 2000 | 4 | 2 | 6 | 4 | 11 | 12 | 7 | 10 | 8 | 1 | 0 | 0 | 65 |
| 2001 | 1 | 11 | 17 | 2 | 9 | 13 | 12 | 15 | 15 | 17 | 8 | 10 | 130 |
| 2002 | 6 | 10 | 6 | 4 | 0 | 10 | 11 | 1 | 6 | 16 | 12 | 11 | 93 |
| 2003 | 11 | 9 | 15 | 12 | 10 | 13 | 5 | 7 | 9 | 14 | 7 | 5 | 117 |
| 2004 | 9 | 8 | 15 | 14 | 12 | 6 | 12 | 13 | 11 | 10 | 9 | 11 | 130 |
| 2005 | 4 | 6 | 13 | 8 | 12 | 16 | 9 | 2 | 7 | 2 | 11 | 9 | 99 |
| 2006 | 11 | 9 | 6 | 8 | 14 | 5 | 2 | 12 | 12 | 20 | 6 | 1 | 106 |
| 2007 | 0 | 0 | 4 | 9 | 11 | 7 | 8 | 10 | 8 | 26 | 10 | 8 | 101 |
| 2008 | 7 | 11 | 8 | 13 | 9 | 4 | 11 | 10 | 6 | 11 | 4 | 6 | 100 |
| 2009 | 14 | 11 | 7 | 5 | 7 | 8 | 4 | 10 | 4 | 17 | 1 | 3 | 91 |
| 2010 | 0 | 0 | 3 | 8 | 0 | 7 | 4 | 1 | 4 | 5 | 9 | 3 | 44 |
| 2011 | 2 | 6 | 4 | 7 | 7 | 11 | 10 | 12 | 7 | 8 | 8 | 8 | 90 |
| 2012 | 0 | 9 | 9 | 1 | 10 | 13 | 3 | 2 | 5 | 8 | 4 | 5 | 69 |
| 2013 | 6 | 3 | 5 | 10 | 8 | 7 | 5 | 7 | 0 | 0 | 0 | 0 | 51 |
| 2014 | 9 | 2 | 5 | 10 | 13 | 16 | 15 | 11 | 15 | 15 | 14 | 6 | 131 |
| 2015 | 9 | 15 | 12 | 18 | 3 | 14 | 12 | N/A | N/A | N/A | N/A | N/A | 83 |
| Total | 93 | 112 | 135 | 133 | 136 | 162 | 130 | 123 | 117 | 170 | 103 | 86 | 1500 |

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337 Table 2 contains the ozone rate change expressed in ppbv.year⁻¹ (and %.year⁻¹) for the
 338 different layers and seasons for the median, 5th and 95th percentiles. The corresponding standard
 339 errors and p-Values are included in Table 3. Statistically significant trends at 95% and 90%
 340 confidence levels are marked in bold font and underlined respectively. The layer corresponding
 341 to the upper troposphere (7-10 km) shows a statistically significant ozone increase of 0.31±0.15
 342 ppbv.year⁻¹ (0.57±0.28 %.year⁻¹, p =0.06 for the median values and 0.55±0.30 ppbv.year⁻¹
 343 (0.54±0.29 %.year⁻¹, p =0.09 for the 95th percentile, indicating that both the background and the
 344 high intensity ozone events levels were increasing (Cooper et al., 2012, 2014). Cooper et al.

345 (2012) reported a similar increase in the free troposphere and in the western US for the period
346 1990-2010 for both the median and 95th percentiles.

347 Analyzing each season separately, a significant positive trend occurs in the upper
348 troposphere (7-10 km) for both spring and summer, with an ozone increasing rate of 0.71 ± 0.25
349 and 0.58 ± 0.28 ppbv·year⁻¹ respectively (or $1.10 \pm 0.39\%$ and $0.98 \pm 0.47\% \cdot \text{year}^{-1}$, $p=0.01$ and
350 $p=0.05$, and an ozone decrease of -0.43 ± 0.18 ppbv·year⁻¹ ($-0.87 \pm 0.34\% \cdot \text{year}^{-1}$, $p=0.03$) during
351 winter. Statistically significant negative trends were also found in the lower troposphere (4-7 km)
352 during winter for the median and 5th percentile values with an ozone decrease of -0.36 ± 0.16
353 ppbv·year⁻¹ and -0.59 ± 0.18 ppbv·year⁻¹ respectively ($-0.72 \pm 0.32\% \cdot \text{year}^{-1}$, $p=0.04$, and -
354 $1.53 \pm 0.47\% \cdot \text{year}^{-1}$, $p=0.0004$, respectively) (Table 2). Trends near the tropopause (12-16 km) are
355 not significant, whereas a significant negative trend of -8.8 ± 4.5 ppbv·year⁻¹ ($-1.39 \pm 0.71\% \cdot \text{year}^{-1}$,
356 $p=0.07$) for the median and -5.8 ± 2.9 ppbv·year⁻¹ ($-1.26 \pm 0.63\% \cdot \text{year}^{-1}$, $p=0.07$) for the 5th
357 percentile in fall was calculated in the lower stratosphere (17-19 km).

358 The positive trend at TMF in spring for the median values is larger than the trend
359 obtained by Cooper et al. (2012) for the free troposphere in 1995-2011 (0.41 ± 0.27 ppbv·year⁻¹),
360 and even larger than the trend obtained by Lin et al. (2015b) using model data (0.36 ± 0.18
361 ppbv·year⁻¹ during 1995-2014). This disagreement could be due to differences in sampling, as
362 concluded in Lin et al. (2015b). Nonetheless, Figure 6 shows larger ozone median (and 5th and
363 95th percentile) values at 7-10 km in 2013-2015 than in preceding years. A lower ozone
364 increasing rate in 2000-2012 above TMF (0.56 ppbv·year⁻¹) suggests that the ozone rate of
365 change has increased in the last years, but a more comprehensive study with regional coverage
366 would be necessary to confirm the significance of this change. Regarding winter season, a
367 positive trend was obtained on a regional scale in Cooper et al., (2012), but certain sites in the
368 western U.S. showed a negative trend, even though not statistically significant. Analyzing the
369 period 2000-2010 as in Cooper et al., (2012), we still observe a negative trend at TMF (-0.07
370 ppbv·year⁻¹), but it is not statistically significant for this shorter period ($p=0.83$).

371 The springtime positive trend estimates reported in the Western US oppose ozone decrease in the
372 Eastern part. These results indicate that the two-decade-long efforts to implement regulations to
373 control air quality and anthropogenic emissions in the U.S. have led to a clear decrease in ozone
374 levels in the Eastern U.S., but not in the Western U.S. (e.g. Cooper et al., 2012; 2014). This

375 different regional behavior has been attributed to the inflow of elevated ozone, mainly from East
376 Asia, and to the increasing contribution of stratospheric intrusions (Cooper et al., 2010; Jacob et
377 al., 1999; Parrish et al., 2009; Reidmiller et al., 2009; Lin et al., 2012a; 2015a; Lefohn et al.,
378 2011; 2012). But again, differences in sampling can impact significantly the interpretation of our
379 trend estimates. As pointed out by Lin et al. (2015b), further coordination efforts at both global
380 and regional scales are necessary in order to reduce biases introduced by inhomogeneity in
381 sampling.

382 3.4.Characterization of the air masses sounded by the TMF tropospheric ozone lidar

383 In an attempt to characterize the air parcels sounded by lidar above TMF based on their travel
384 history and analyze the influence of the different source regions on the ozone profiles, 12-day
385 backward trajectories ending at TMF between 5 and 14 km altitude were computed using the
386 HYSPLIT4 model (Draxler and Rolph, 2003), <http://www.arl.noaa.gov/ready/hysplit4.html>). The
387 NCAR/NCEP Reanalysis Pressure level data were used as meteorological input (Kalnay and
388 Kanamitsu, 1996) in HYSPLIT4. These data, available since 1948, provide 4-times-daily
389 meteorological information at 17 pressure levels between the 1000 and 10 hPa and 2.5x2.5
390 degrees horizontal resolution. Several studies (Harris et al., 2005; Stohl, 1998) provided a wide
391 range of uncertainty estimates along the trajectories. The more recent study by Engström and
392 Magnusson, (2009) indicates that the uncertainty of the trajectories is within 354-400 km before
393 4 days and 600 km after.

394 Our trajectory analysis comprises two steps. First, the 12-day backward trajectories
395 computed by HYSPLIT and ending at different altitude levels were grouped using the HYSPLIT
396 clustering tool (Draxler et al., 2009) in order to identify the most significant paths followed by
397 the air masses arriving over the station. Based on the results of this preliminary analysis, five
398 main regions were identified: the stratosphere, the Asian boundary layer (ABL), the free-
399 troposphere above Asia (AFT), Central America, and the Pacific Ocean. Once these geographical
400 areas were identified, we performed a classification of the air parcels according to the criteria
401 described next.

402 An air parcel was classified as “Stratospheric” if the 12-day backward trajectory intercepted
403 the tropopause and resided at least 12 hours above the local tropopause. The tropopause height

404 information comes from the global tropopause height data derived once a day by the NOAA
405 Physical Sciences Division (<http://www.esrl.noaa.gov/psd>) from the same NCAR/NCEP
406 Reanalysis database used as input to HYSPLIT4. Computations are based on the World
407 Meteorological Organization (WMO, 1957) definition, that is, the lowest height at which the
408 temperature lapse rate becomes lower than $2 \text{ K}\cdot\text{km}^{-1}$, provided that along 2 km above this height
409 the average lapse is also lower than $2 \text{ K}\cdot\text{km}^{-1}$. In addition, the NOAA computations do not allow
410 tropopause heights at pressure levels larger than 450 hPa and smaller than 85 hPa. The residence
411 time of the air masses in the stratosphere was selected based on a sensitivity test, which indicated
412 that time residences larger than 6 hours already show a significant signature of the stratospheric
413 ozone in the ozone profiles within the troposphere. However, to avoid an overestimation of the
414 stratospheric cases a 12 hours residence time was found to be more appropriate for our analysis.

415 Next, the air parcels that were not classified as “Stratosphere” were then classified as
416 “Central America” for trajectories comprising a minimum residence time of 4 days within the
417 area labelled “Central America” in Figure 7. According to the sensitivity test, a 4 days residence
418 time period is long enough to avoid the influence of additional source regions and short enough
419 to avoid an underestimation of the “Central America” cases.

420 The air parcels not classified as “Stratosphere”, or “Central America” were then classified as
421 Asian if they comprised a minimum residence time of 6 hours within the area labelled as “Asia”
422 in Figure 7. The Asian trajectories are subdivided in “Asian Boundary Layer” (ABL) if they
423 come from an altitude below 3 km and “Asian Free-troposphere” (AFT) if they come from
424 altitudes above 3 km. According to the sensitivity test, a residence time of 6 hours is enough to
425 clearly identify the signature of Asian emissions on the ozone profiles observed at TMF.

426 The air parcels not classified in any of the previous categories were classified as “Pacific
427 Ocean” if a minimum residence time of 276 hours (11.5 days) within the area labelled “Pacific”
428 in Figure 7 was reached. A residence time of 276 hours guarantees that no influence from
429 additional sources affects the air masses reaching TMF and the “Pacific” region can be
430 considered as a background region.

431 Trajectories that did not match any of the previous categories were grouped as “residual
432 trajectories” (RT). They will be considered for statistical purposes, but not for the analysis of the
433 ozone mixing ratio values.

434 The classification of the air parcels took place sequentially, which means that each category
435 is exclusive of the others. The classification was made for each of the four seasons separately in
436 order to account for the seasonal changes in synoptic circulation. Examples of the corresponding
437 classified back-trajectories are shown in Figure 8. The number and frequency of occurrences of
438 each air parcel category for all seasons is compiled in Table 4. A monthly distribution of these
439 occurrences is shown in Figure 9. With the selection criteria we have set, air masses are
440 predominantly associated to the “AFT” region below 11 km, ranging between 32 and 42% from
441 5 to 11 k with maximum number of cases in spring. A very low number of parcels classified as
442 “ABL” are found (between 0 and 9%). Increasing influence of the stratosphere is observed at
443 upper levels, with values increasing from 5% at 5 km to 80% at 14 km. Higher influence is
444 observed during winter and spring, which agrees well with previous studies in the Western US
445 (Sprenger, 2003; Stohl, 2003). A statistically significant Central American influence was
446 identified in summer with a frequency of occurrence varying between 120% and 3%, decreasing
447 with altitude. The Central America influence coincides with the establishment of the North
448 American Monsoon circulation from July to September and which affects Central America and
449 the Southern US.

450 Composite ozone profiles and statistical parameters were estimated for each category of
451 air parcel and for altitudes between 5 and 14 km at 1-km altitude intervals. Figure 10 shows the
452 ozone mixing ratio mean (open circles), median (red bars), 25th and 75th percentiles (blue bars) at
453 9 km altitude for each of the identified categories and season. The number of occurrences for
454 each category is mentioned between parentheses. The ozone statistics obtained when a low
455 number of occurrences was found should be ignored (e.g., Central America except for Summer,
456 or ABL for Winter and Fall). Figure 11a shows, for each season, the composite ozone profiles
457 constructed from the ozone mixing ratio median values found for a particular category at a given
458 altitude. The same profiles but focused on the troposphere (5-10 km) are shown in Figure 11b. In
459 order to keep the most statistically significant results, composite values computed using less than

460 5% of the total number of samples for a given season were not plotted, leaving out certain
461 sections of the composite profiles.

462 Not surprisingly, the analysis reveals that the largest ozone mixing ratio values were
463 mostly observed when the air masses were classified as “stratospheric” regardless of the season
464 (median values between 17 and 35 ppbv larger than for the Pacific Ocean at 9 km). In spring and
465 winter, the influence of the stratosphere goes down to 5 km, with ozone values ranging from 3 to
466 13 ppbv larger than for the Pacific category below 9 km. For this category, large ozone
467 variability was found, as indicated by the 25th and 75th percentiles in Figure 10. As altitude
468 increases, the influence of the stratosphere is more important, exceeding 40% above 12 km,
469 resulting in higher ozone mixing ratio values (red curves in Figure 11).

470 Conversely, low ozone mixing ratio values (40-61 ppbv below 9 km) were consistently
471 associated with the air parcels classified as “Pacific Ocean” (cyan curves). This region can be
472 considered as a source of ‘background ozone’, since no anthropogenic source is expected to
473 affect the local ozone budget.

474 Higher ozone content (from 2 to 13 ppbv higher than for the Pacific region) is
475 systematically found for air parcels classified as “AFT”. Values are especially larger in summer,
476 when differences of at least 8 ppbv with the Pacific region are found for altitudes between 5 and
477 13 km. In general, the number of occurrences for air parcels classified as “ABL” remains very
478 small to provide any meaningful interpretation. Nonetheless, values in the lower part of the
479 troposphere during spring and winter, when the number of occurrences is higher, are similar to
480 those observed for the AFT. The occurrence of the Asian air masses is mostly observed in spring
481 (Figures 9 and 10), and ozone associated to Asian emissions has been frequently detected in the
482 Western US during this season in previous studies (e.g. Cooper et al., 2005; Zhang et al., 2008;
483 Lin et al., 2012). Even though less frequent, our results indicate that Asian pollution episodes
484 observed during summer are associated to larger ozone values than in spring. These larger values
485 are due to more active photochemical ozone production observed over China in summer
486 (Verstraeten et al., 2015), associated to larger ozone values than those in spring. The influence of
487 the air parcels classified as Central America is mainly observed during summer, with ozone
488 median values 5-28 ppbv larger than those observed for the Pacific region between 5 and 9 km
489 (yellow curve in Figure 11). Ozone mean values of 72 ppbv were found at 9 km altitude for the

490 74 air parcels classified as "Central America" (Figure 10). The corresponding values for the 115
491 air parcels classified as "Pacific Ocean" are about 52 ppbv, which is 20 ppbv lower. The larger
492 ozone values associated to the "Central America" category possibly points out to the lightning-
493 induced enhancement of ozone within the more frequent occurrence of thunderstorms during the
494 North American summer monsoon. Previous studies (Cooper et al., 2009), have observed
495 enhanced ozone values associated with the North American Monsoon, mainly due to ozone
496 production associated with lightning (Choi et al., 2009; Cooper et al., 2009). However, this
497 feature was observed in the Eastern U.S. Because of the synoptic conditions during the monsoon,
498 the Western U.S. is not as much influenced and no significant regional ozone increase was
499 reported (Barth et al., 2012; Cooper et al., 2009). Nevertheless, Cooper et al., (2009) reported
500 higher modeled lightning-induced NO_x concentrations at TMF than at other western locations,
501 which would be consistent with our findings. Further investigation, including a detailed history
502 of the meteorological conditions along the trajectories and chemistry transport model data, is
503 needed to confirm this correlation. Additional sources, such as ozone transport from Central
504 America or even mixing with different sources (e.g. the stratosphere) should also be considered.

505 3.5. The influence of tropopause folds on the TMF tropospheric ozone record

506 In the previous section, a large variability in the composite ozone content was found for the air
507 parcels classified as "Stratospheric". In the current section, we provide at least one clear
508 explanation for this large variability. Tropopause folds are found primarily in the vicinity of the
509 subtropical jets, in the 20°-50° latitude range. They typically consist of three-dimensional folds of
510 the virtual surface separating air masses of tropospheric characteristics (weakly stratified, moist,
511 low ozone concentration, etc.) and those of stratospheric characteristics (highly stratified, dry,
512 high ozone concentration, etc.). Tropopause folds can result in the transport of large amounts of
513 stratospheric ozone into the troposphere, reaching in some cases the planetary boundary layer
514 and enhancing ozone amounts even at the surface (Chung and Dann, 1985; Langford et al., 2012;
515 Lefohn et al., 2012; Lin et al., 2012a). They are considered one of the main mechanisms of
516 stratosphere-to-troposphere exchange and have been widely studied in the past (e.g. Bonasoni
517 and Evangelisti, 2000; Danielsen and Mohnen, 1977; Lefohn et al., 2011; Vaughan et al., 1994;
518 Yates et al., 2013). Due to the location of TMF, the upper troposphere above the site is
519 frequently impacted by tropopause folds.

520 Double tropopauses are usually expected to result from tropopause folds in the layer between the
521 two identified tropopauses. Therefore, a common method used in the literature to identify
522 tropopause folds is to detect the presence of double tropopauses based on temperature profiles
523 (e.g. Chen et al., 2011). The MERRA (Modern-Era Retrospective analysis for Research and
524 Applications, Rienecker et al., 2011) reanalysis data (1-km vertical resolution, 1 x 1.25 degrees
525 horizontal resolution) were used in this study to identify the presence of double tropopauses
526 above the station. A comparison between the MERRA temperature profiles and the temperature
527 profiles measured by the radiosondes launched at TMF was performed in order to evaluate the
528 performance of MERRA above TMF. The comparison (not shown) reveals excellent agreement,
529 with average relative differences of 2% or less from the surface up to 25 km. The heights of
530 double tropopauses were computed following a methodology similar to that proposed in Chen et
531 al., (2011) and Randel et al., (2007). The first (lower) tropopause is identified according to the
532 WMO definition, as explained earlier. A second (upper) tropopause is identified above the WMO
533 tropopause if the temperature lapse rate increases over $3 \text{ K}\cdot\text{km}^{-1}$ within at least 1 km, and its
534 height is determined once again by the WMO criterion.

535 Using this methodology, we found that 27% of the TMF tropospheric ozone lidar profiles
536 were measured in the presence of double tropopauses. This high frequency of double tropopause
537 occurrences was expected considering the latitude of TMF, i.e., near the subtropical jet, where
538 frequent tropopause folds occur. Figure 12 shows the number of cases with double tropopauses
539 above TMF distributed per months, with the number of days with double tropopause being
540 normalized to the total number of measurements every month (compiled in Table 1). As we can
541 see, the presence of double tropopauses was especially frequent during winter and spring, which
542 coincides with the higher frequency of stratospheric air masses arriving at TMF estimated by the
543 backward trajectories analysis (Figure 9) and is in agreement with previous studies (Randel et al.,
544 2007). The altitude of detected single tropopauses is found around 13 km in winter and spring,
545 and 16-17 km in summer and fall (Figure 13a-d). When a double tropopause is identified, the
546 altitude of the lower tropopause ranges between 8 and 15 km, with the distribution peak centered
547 around 12-13 km (Figure 13e-h), and the second tropopause is detected typically around 17-18
548 km (Figure 13i-l).

549 Figure 14a shows an example of an ozone profile measured on January 8, 2013, when a
550 double tropopause was detected above TMF. The average of all tropospheric ozone lidar profiles
551 measured in winter in cases of single tropopause is plot as reference. In Figure 14b, the average
552 of all tropospheric ozone lidar profiles measured in winter (blue curves) and spring (red curves)
553 in the presence of a double tropopause (solid curves), and in the presence of a single tropopause
554 (dashed curves) are included. The right panel (Figure 14c) is simply a lower tropospheric-
555 zoomed version of the middle panel (Figure 14b). Only winter and spring are shown because
556 they are the seasons most affected by double tropopause cases as previously stated. In the
557 presence of double tropopauses a clear dual vertical structure in ozone is observed. For the
558 specific case on January 8, 2013 (Figure 14a), the lower tropopause was located at 9 km and
559 stratospheric air reached down to approximately 6 km, considerably increasing the ozone content
560 in the troposphere. On the other hand, ozone values were lower than the winter average in the
561 lower stratosphere (11-19 km). In the case of the average profiles (Figure 14b) the dual vertical
562 structure presents higher ozone values between 12 and 14 km and lower mixing ratio values
563 between 14 and 18 km. The dual ozone structure observed by lidar coincides with the expected
564 location of the fold, and consists of systematically higher-than-average mixing ratios in the lower
565 half of the fold (12-14 km), and lower-than-average mixing ratios in the upper half of the fold
566 (14-18 km). This dual structure is consistent with the expected origin of the air masses within a
567 tropopause fold. Stratospheric air, richer in ozone, is measured within the lower half of the fold,
568 while tropospheric ozone-poor air is measured within the upper half of the fold.

569 In the case of deep stratospheric intrusions, ozone-rich stratospheric air masses embedded
570 in the lower half of the fold can reach lower altitudes, and occasionally the planetary boundary
571 layer mixing down to the surface (Chung and Dann, 1985; Langford et al., 2012, 2015; Lefohn et
572 al., 2012; Lin et al., 2012a), leading to an ozone increase in the lower troposphere (Figure 14b).
573 In our case, the mean increase is around 2 ppbv below 6 km for both spring and winter. This
574 increase is consistent with previous reports of the importance of the stratosphere as an ozone
575 source in the lower troposphere (Cooper and Stohl, 2005; Langford et al., 2012; Lefohn et al.,
576 2011; Trickl et al., 2011), with a 25 to 50% contribution to the tropospheric budget (Davies and
577 Schuepbach, 1994; Ladstätter-Weißmayer et al., 2004; Roelofs and Lelieveld, 1997; Stevenson
578 et al., 2006)

579 4. Concluding remarks

580 Combined ozone photometer surface measurements (2013-2015) and tropospheric ozone
581 DIAL profiles (2000-2015) at the JPL-Table Mountain Facility were presented for the first time.
582 The high ozone values and low interannual and diurnal variability measured at the surface,
583 typical of high elevation remote sites with no influence of urban pollution, constitute a good
584 indicator of background ozone conditions over the Southwestern US.

585 The 16-year tropospheric ozone lidar time-series is one of the longest lidar records
586 available and is a valuable dataset for trend analysis in the Western US, where the number of
587 long-term observations with high vertical resolution in the troposphere is very scarce. A
588 statistically significant positive trend was observed in the upper troposphere, in agreement with
589 previous studies. This ozone increase points out to the influence of long-range transport and/or a
590 change in stratospheric influence, since ozone precursor emissions have been decreasing in the
591 US over the past two decades.

592 Influence of five main regions (stratosphere, Central America, Asian boundary layer,
593 Asian free troposphere and Pacific Ocean) on the ozone profiles sampled above TMF was
594 detected using 12-day backward trajectories. This trajectories analysis revealed the large
595 influence of the stratosphere, especially in the UTLS and the upper troposphere, leading to high
596 ozone values. The influence of the stratosphere reached down to 5 km in spring and winter, with
597 ozone values ranging from 3 to 13 ppbv larger than for the Pacific category, considered as a
598 background region. In summer, enhanced ozone values (5-28 ppbv larger than for the Pacific
599 region) were found in air parcels originating from Central America, probably due to the
600 enhanced thunderstorm activity during the North American Monsoon. Frequent air masses
601 coming from Asia were also observed, mainly in spring, associated to ozone values 2 to 13 ppbv
602 larger than those from the background region. Ozone vertical distribution above TMF is also
603 affected by the frequent occurrence of tropopause folds. A dual vertical structure in ozone within
604 the fold layer was clearly observed, characterized by above-average values in the bottom half of
605 the fold (12-14 km), and below-averaged values in the top half of the fold (14-18 km). Above-
606 average ozone values were also observed near the surface (+2 ppbv) on days with a tropopause
607 fold. The high frequency of tropopause folds observed above the site is not surprising given
608 Table Mountain's position in the vicinity of the subtropical jet.

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948 Table 1. Number of measurements, by month and years, performed at TMF with the tropospheric ozone DIAL system. N/A indicates
 949 data not available at the time of the study

| | Jan | Feb | Mar | Apr | May | Jun | Jul | Aug | Sep | Oct | Nov | Dec | Total |
|--------------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-------|
| 2000 | 4 | 2 | 6 | 4 | 11 | 12 | 7 | 10 | 8 | 1 | 0 | 0 | 65 |
| 2001 | 1 | 11 | 17 | 2 | 9 | 13 | 12 | 15 | 15 | 17 | 8 | 10 | 130 |
| 2002 | 6 | 10 | 6 | 4 | 0 | 10 | 11 | 1 | 6 | 16 | 12 | 11 | 93 |
| 2003 | 11 | 9 | 15 | 12 | 10 | 13 | 5 | 7 | 9 | 14 | 7 | 5 | 117 |
| 2004 | 9 | 8 | 15 | 14 | 12 | 6 | 12 | 13 | 11 | 10 | 9 | 11 | 130 |
| 2005 | 4 | 6 | 13 | 8 | 12 | 16 | 9 | 2 | 7 | 2 | 11 | 9 | 99 |
| 2006 | 11 | 9 | 6 | 8 | 14 | 5 | 2 | 12 | 12 | 20 | 6 | 1 | 106 |
| 2007 | 0 | 0 | 4 | 9 | 11 | 7 | 8 | 10 | 8 | 26 | 10 | 8 | 101 |
| 2008 | 7 | 11 | 8 | 13 | 9 | 4 | 11 | 10 | 6 | 11 | 4 | 6 | 100 |
| 2009 | 14 | 11 | 7 | 5 | 7 | 8 | 4 | 10 | 4 | 17 | 1 | 3 | 91 |
| 2010 | 0 | 0 | 3 | 8 | 0 | 7 | 4 | 1 | 4 | 5 | 9 | 3 | 44 |
| 2011 | 2 | 6 | 4 | 7 | 7 | 11 | 10 | 12 | 7 | 8 | 8 | 8 | 90 |
| 2012 | 0 | 9 | 9 | 1 | 10 | 13 | 3 | 2 | 5 | 8 | 4 | 5 | 69 |
| 2013 | 6 | 3 | 5 | 10 | 8 | 7 | 5 | 7 | 0 | 0 | 0 | 0 | 51 |
| 2014 | 9 | 2 | 5 | 10 | 13 | 16 | 15 | 11 | 15 | 15 | 14 | 6 | 131 |
| 2015 | 9 | 15 | 12 | 18 | 3 | 14 | 12 | N/A | N/A | N/A | N/A | N/A | 83 |
| Total | 93 | 112 | 135 | 133 | 136 | 162 | 130 | 123 | 117 | 170 | 103 | 86 | 1500 |

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956 Table 2. Ozone mixing ratio trends for the median, 5th and 95th percentiles over the period 2000-2015 as shown in Figure 6 (see text
 957 for details) in ppbv·year⁻¹ (%·year⁻¹). Statistically significant trends at 95% and 90% confidence level are marked in bold font and
 958 underlined respectively.

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| Ozone mixing ratio trends in ppbv/year (%/year) | | | | | | | | | | | | | | | |
|---|------------------------------|--------------------|------------------------------|------------------------------|--------------------|---------------------|------------------------------|--------------------|---------------------|--------------------------------|--------------------------------|---------------------|--------------------------------|--------------------------------|---------------------|
| | Year | | | Spring | | | Summer | | | Fall | | | Winter | | |
| | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. |
| 17-19 km | -0.49 (-0.05) | 0.25 (0.05) | -5.37 (-0.37) | -1.01 (-0.1) | 3.47 (0.68) | -5.89 (-0.41) | -2.93 (-0.44) | -3.25 (-0.63) | -0.13 (-0.01) | <u>-8.79</u> <u>(-1.39)</u> | <u>-5.80</u> <u>(-1.26)</u> | -6.58 (-0.68) | -0.12 (-0.01) | 1.37 (0.27) | -21.86 (-1.51) |
| 12-16 km | 1.56 (1.01) | -0.01 (-0.01) | 2.52 (0.51) | 1.10 (0.50) | 0.58 (0.19) | 0.29 (0.05) | 0.08 (0.06) | 0.20 (0.30) | 0.19 (0.06) | -0.83 (-0.71) | -1.12 (-1.83) | -1.49 (-0.63) | 2.54 (1.31) | 0.51 (0.65) | 0.95 (0.18) |
| 7-10 km | <u>0.31</u> <u>(0.57)</u> | 0.01 (0.03) | <u>0.55</u> <u>(0.54)</u> | 0.71 (1.10) | 0.20 (0.49) | 4.31 (6.69) | 0.58 (0.98) | 0.27 (0.90) | 1.01 (0.95) | -0.03 (-0.06) | -0.49 (1.62) | 0.18 (0.22) | -0.43 (-0.87) | -0.30 (-0.91) | -1.19 (-1.41) |
| 4-7 km | -0.14 (-0.26) | -0.33 (-0.85) | 0.19 (0.17) | 0.12 (0.20) | -0.29 (-0.67) | 0.96 (1.17) | -0.14 (-0.24) | -0.03 (0.09) | -0.01 (-0.01) | -0.23 (0.45) | -0.82 (-2.33) | 0.26 (0.06) | -0.36 (-0.72) | -0.59 (-1.53) | 0.05 (0.08) |

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970 Table 3. Standard errors in ppbv·year⁻¹ and p-Values associated to ozone mixing ratio trends for the median, 5th and 95th percentiles
 971 included in Table 2 and shown in Figure 6. Data corresponding to statistically significant trends at 95% and 90% confidence level are
 972 marked in bold font and underlined respectively.

Ozone mixing ratio trend standard errors in ppbv/year (%/year)

| | Year | | | Spring | | | Summer | | | Fall | | | Winter | | |
|-----------------|--------------------------------|--------------------|--------------------------------|--------------------------------|--------------------|---------------------|--------------------------------|--------------------|---------------------|--------------------------------|--------------------------------|---------------------|--------------------------------|--------------------------------|---------------------|
| | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. |
| 17-19 km | 3.58 (0.09) | 3.12 (0.62) | 6.22 (0.43) | 6.79 (0.67) | 4.76 (0.93) | 8.71 (0.61) | 2.57 (0.39) | 3.88 (0.75) | 5.32 (4.09) | <u>4.47</u> (<u>0.71</u>) | <u>2.92</u> (<u>0.63</u>) | 11.77 (1.22) | 7.06 (0.59) | 5.90 (1.16) | 0.82 (0.06) |
| 12-16 km | 1.21 (0.78) | 0.39 (0.39) | 4.66 (0.94) | 1.83 (0.83) | 0.89 (0.29) | 5.95 (1.03) | 0.83 (0.62) | 0.51 (0.77) | 3.76 (1.18) | 1.10 (0.94) | 0.85 (1.39) | 3.84 (1.62) | 2.54 (1.31) | 1.16 (1.48) | 10.05 (1.90) |
| 7-10 km | <u>0.15</u> (<u>0.28</u>) | 0.19 (0.57) | <u>0.30</u> (<u>0.29</u>) | 0.25 (0.39) | 0.38 (0.93) | 3.32 (5.15) | 0.28 (0.47) | 0.20 (0.07) | 1.20 (1.13) | 0.25 (0.50) | 0.35 (1.16) | 0.97 (1.19) | 0.18 (0.34) | 0.27 (0.82) | 1.00 (1.60) |
| 4-7 km | 0.14 (0.26) | 0.24 (0.62) | 0.25 (0.22) | 0.31 (0.52) | 0.36 (0.83) | 0.56 (0.68) | 0.21 (0.36) | 0.35 (1.05) | 0.38 (0.56) | 0.31 (0.61) | 0.38 (1.08) | 0.53 (0.12) | 0.16 (0.32) | 0.18 (0.47) | 0.28 (0.45) |

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p-Values

| | Year | | | Spring | | | Summer | | | Fall | | | Winter | | |
|-----------------|-------------|--------------------|---------------------|-------------|--------------------|---------------------|-------------|--------------------|---------------------|-------------|--------------------|---------------------|-------------|--------------------------|---------------------|
| | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. | Med. | 5 th P. | 95 th P. |
| 17-19 km | 0.89 | 0.94 | 0.40 | 0.88 | 0.48 | 0.51 | 0.27 | 0.41 | 0.98 | <u>0.07</u> | <u>0.07</u> | 0.60 | 0.99 | 0.82 | 0.17 |
| 12-16 km | 0.22 | 0.98 | 0.60 | 0.55 | 0.52 | 0.96 | 0.92 | 0.71 | 0.96 | 0.47 | 0.21 | 0.70 | 0.29 | 0.67 | 0.92 |
| 7-10 km | <u>0.06</u> | 0.94 | <u>0.09</u> | 0.01 | 0.60 | 0.22 | 0.05 | 0.19 | 0.41 | 0.91 | 0.18 | 0.86 | 0.03 | 0.28 | 0.25 |
| 4-7 km | 0.33 | 0.19 | 0.44 | 0.70 | 0.44 | 0.11 | 0.52 | 0.92 | 0.98 | 0.47 | 0.05 | 0.63 | 0.04 | 4.10⁻³ | 0.85 |

Table 4. Number of air parcels ending at TMF during lidar measurements over the period 2000-2015, classified as “Stratosphere”, “Central America”, “ABL” (Asian Boundary Layer), “AFT” (Asian Free Troposphere), and “Pacific Ocean” (see text for details)

| | Strat | Cent Am | ABL | AFT | Pac | RT |
|-------|--------------|----------------|------------|------------|------------|-----------|
| 14 km | 1161 (80%) | 39 (3%) | 0 (0%) | 102 (7%) | 100 (7%) | 55 (4%) |
| 13 km | 905 (62%) | 57 (4%) | 5 (0%) | 266 (18%) | 139 (10%) | 85 (6%) |
| 12 km | 658 (45%) | 78 (5%) | 28 (2%) | 425 (29%) | 168 (12%) | 100 (7%) |
| 11 km | 426 (29%) | 76 (5%) | 49 (3%) | 523 (36%) | 243 (17%) | 140 (10%) |
| 10 km | 258 (18%) | 86 (6%) | 82 (6%) | 584 (40%) | 304 (21%) | 143 (10%) |
| 9 km | 167 (11%) | 85 (6%) | 101 (7%) | 613 (42%) | 296 (20%) | 195 (13%) |
| 8 km | 123 (8%) | 97 (7%) | 124 (9%) | 572 (39%) | 321 (22%) | 220 (15%) |
| 7 km | 97 (7%) | 107 (7%) | 122 (8%) | 540 (37%) | 317 (22%) | 274 (19%) |
| 6 km | 69 (5%) | 137 (9%) | 136 (9%) | 499 (34%) | 330 (23%) | 286 (20%) |
| 5 km | 72 (5%) | 179 (12%) | 107 (7%) | 472 (32%) | 266 (18%) | 361 (25%) |

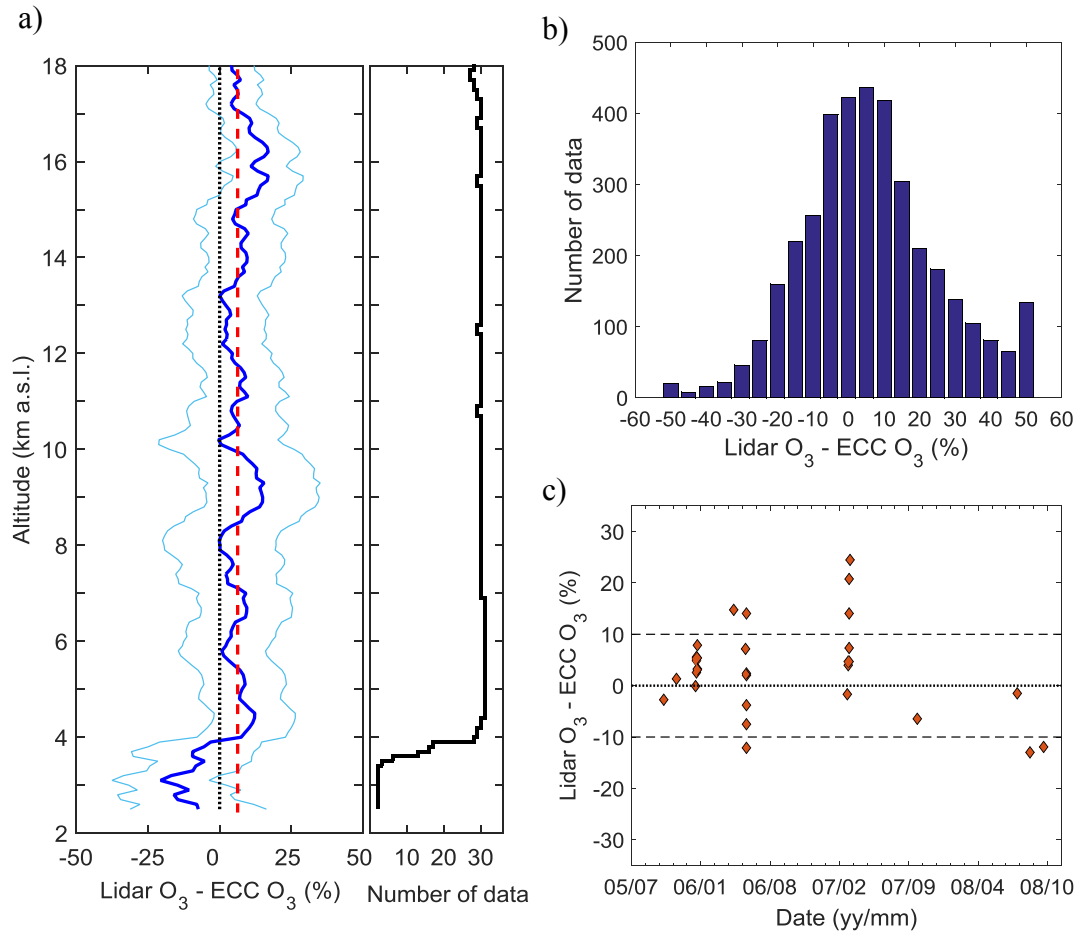


Figure 1. a) Profile of the mean relative difference between the lidar and the ECC ozone number density for the 32 simultaneous measurements (dark blue). Lidar uncertainty (light blue) and mean relative difference obtained between 4 and 16 km (red dotted line) are superimposed. The black solid curve shows the number of data points at each altitude. b) Histogram of the difference between the lidar and the ECC ozone number density. c) Column-averaged (below 8 km) difference between the lidar and the ECC sonde for each coincidence

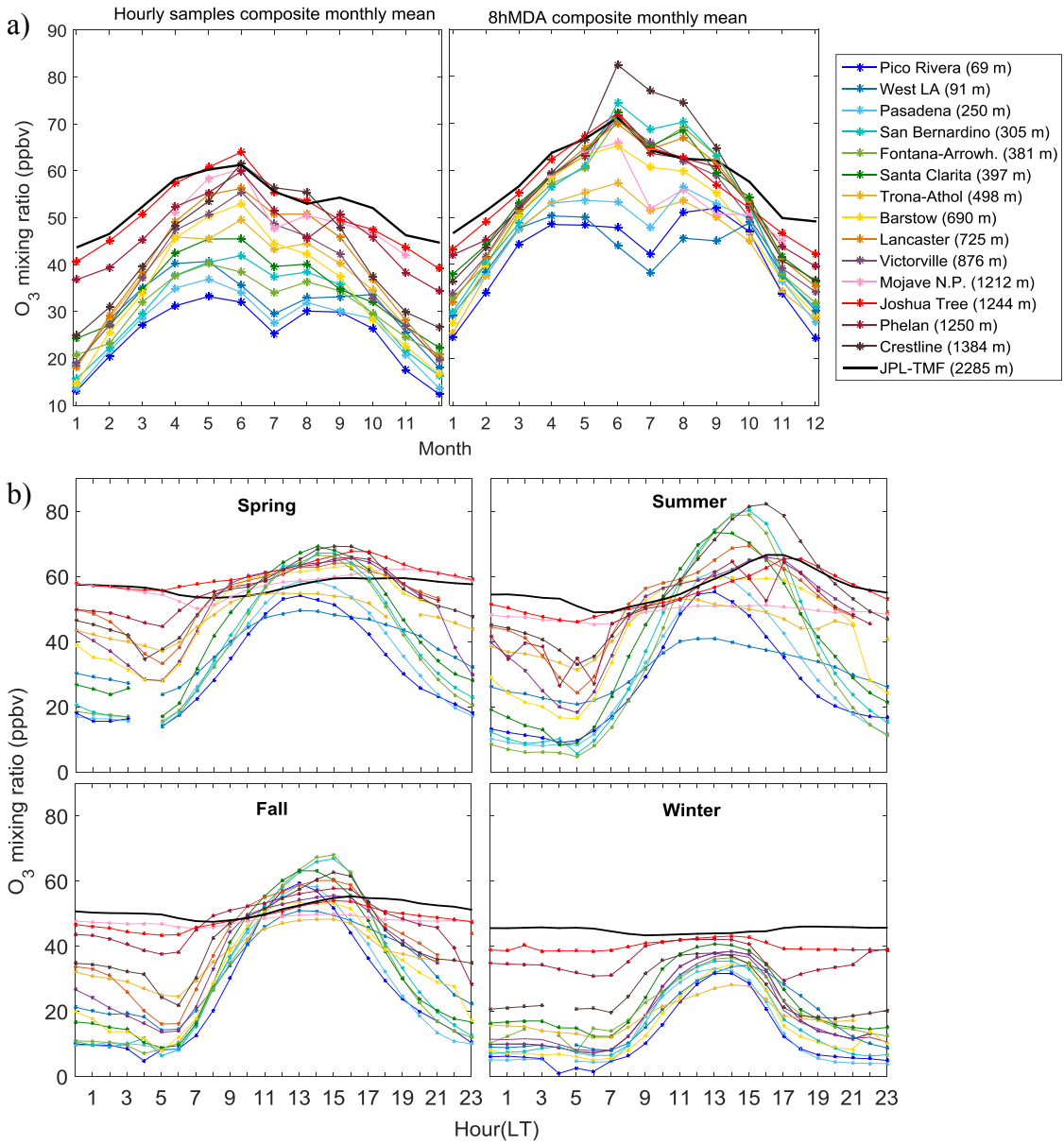


Figure 2. a) Composite monthly mean surface ozone at TMF and nearby ARB stations obtained from hourly samples (left) and 8hMDA values (right) for the period 2013-2015. b) Composite mean ozone daily cycle at TMF and nearby ARB stations for the four seasons for the period 2013-2015

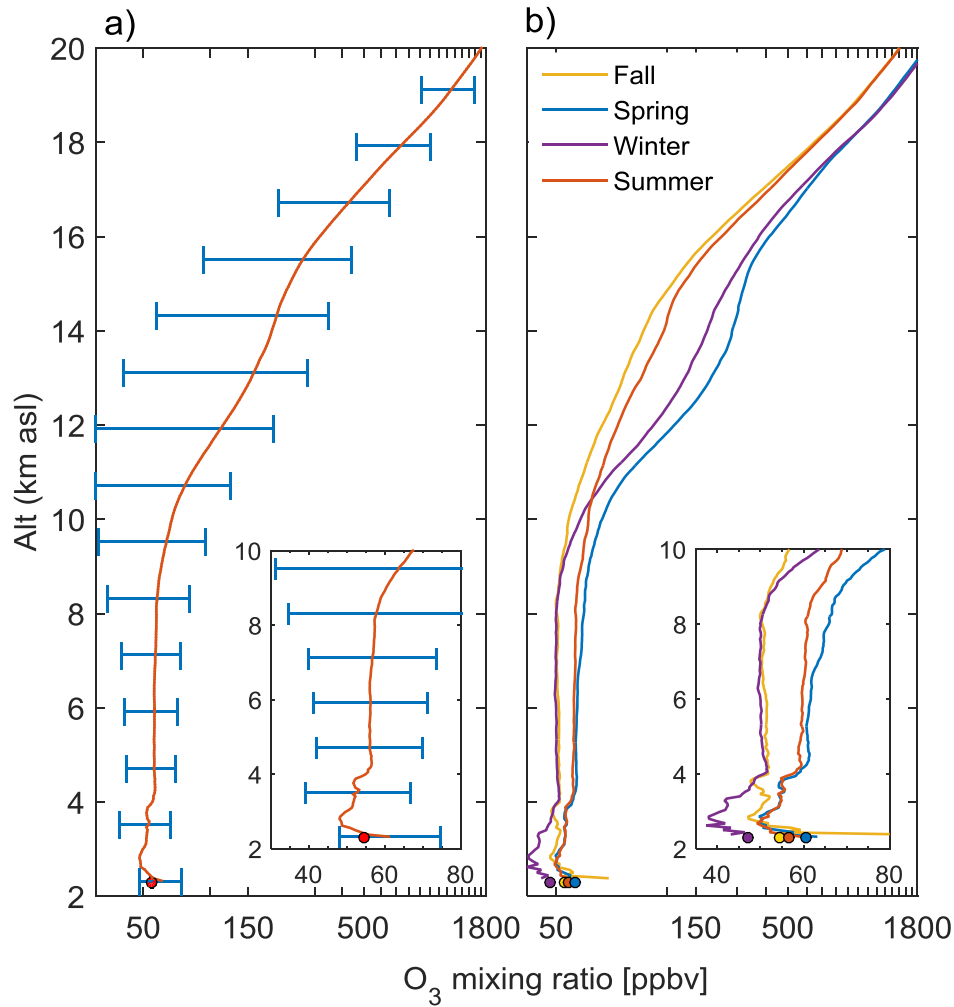


Figure 3. a) Ozone mixing ratio climatological average (2000-2015) computed from the TMF lidar measurements (red curve). The cyan horizontal bars indicate the standard deviation at intervals of 1-km. The red dot at the bottom indicates the mean surface ozone mixing ratio (2013-2015) measured simultaneously with lidar. A zoomed version of the plot focused on the tropospheric part of the profiles (2-10 km) is inserted within the figure. b) Seasonally-averaged ozone mixing ratio profiles for spring (MAM), summer (JJA), fall (SON) and winter (DJF). The dots at the bottom indicate the corresponding surface ozone seasonal averages. A zoomed version of the plot focused on the tropospheric part of the profiles (2-10 km) is inserted within the figure.

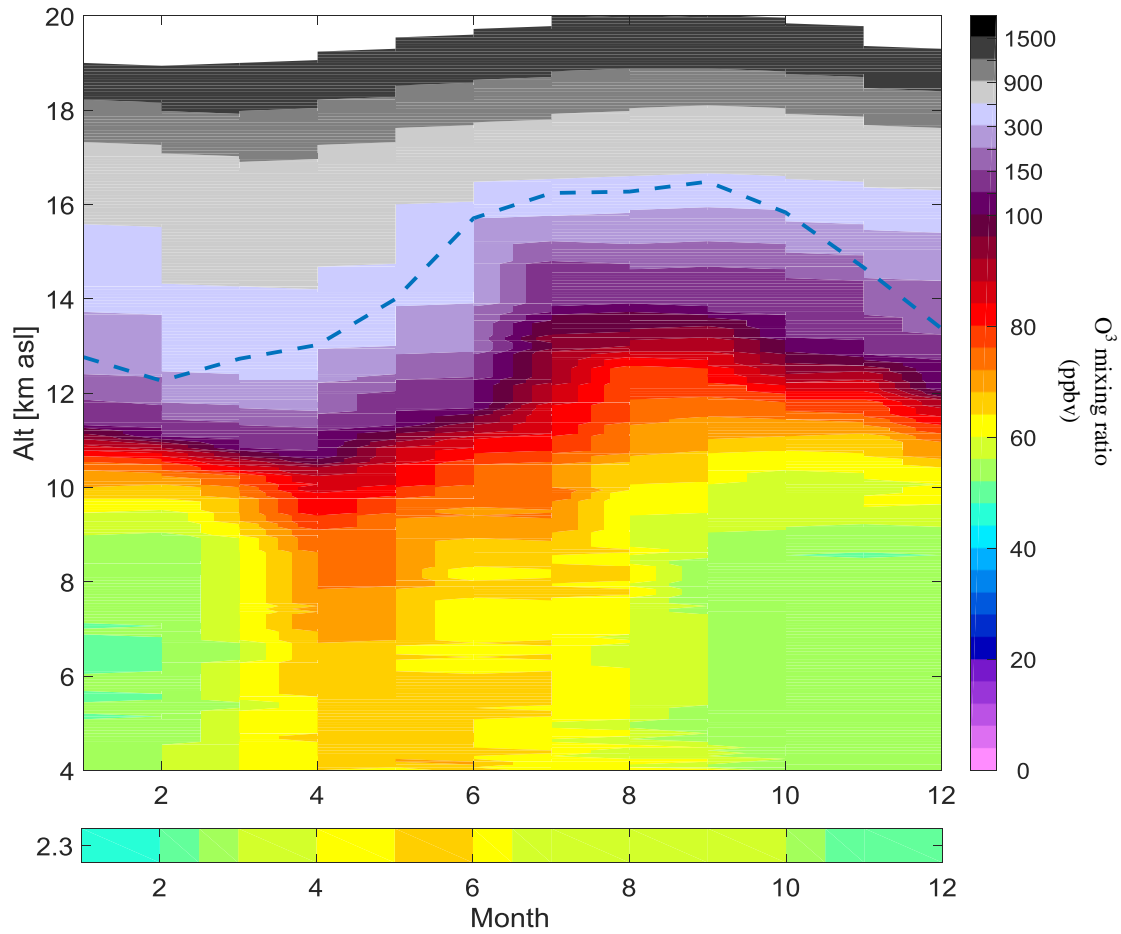


Figure 4. Composite monthly mean ozone mixing ratio (2000-2015) computed from the TMF lidar measurements. The dashed line indicates the climatological tropopause above the site (WMO definition). Bottom strip: Composite monthly mean ozone mixing ratio (2000-2015) from the surface measurements

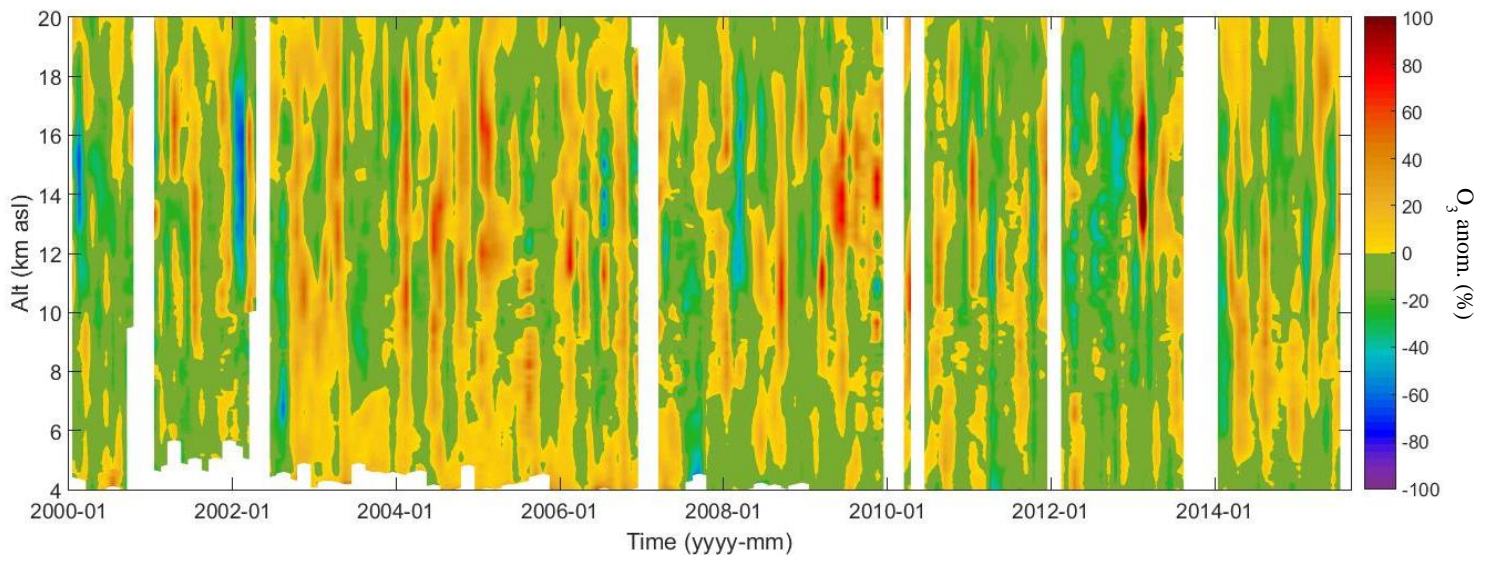


Figure 5. Deseasonalized ozone mixing ratio above TMF. Anomalies (in %) were computed with respect to the climatological (2000-2015) monthly means

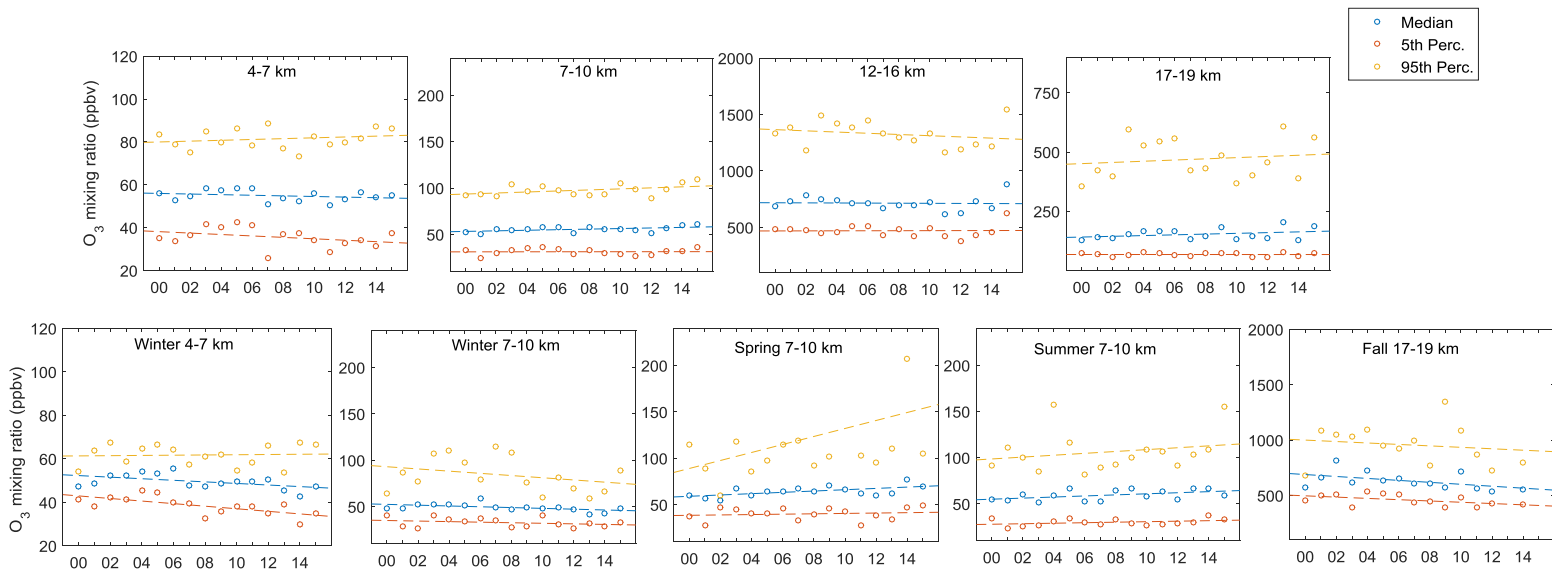


Figure 6. Time series of the median (blue), 5th (orange) and 95th (yellow) percentile ozone values at different altitude layers for the full year (top) and for selected seasons and altitude layers (bottom) obtained from the TMF lidar measurements. Dashed lines represent the linear fit for each time series

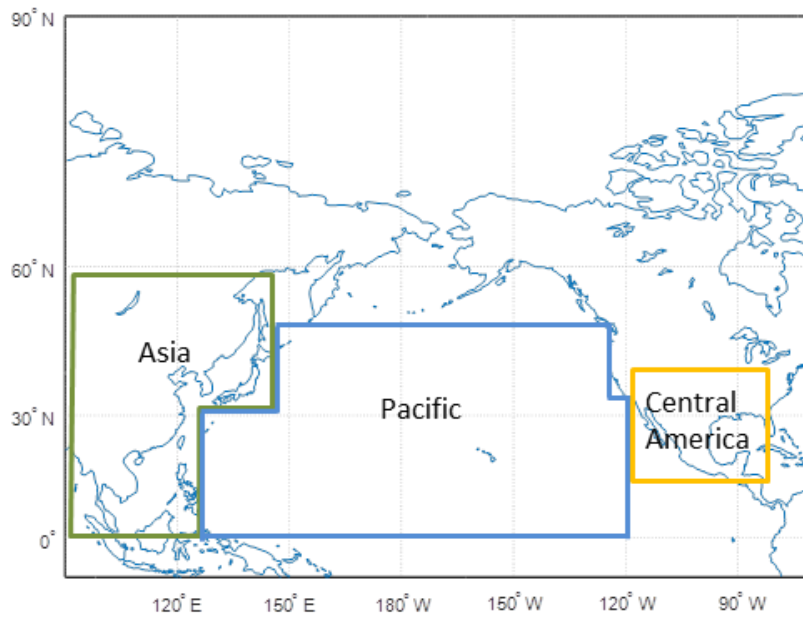


Figure 7. Geographical boundaries used to characterize the air parcels associated with the 12-day backward trajectories ending at TMF during the lidar measurements over the period 2000-2015

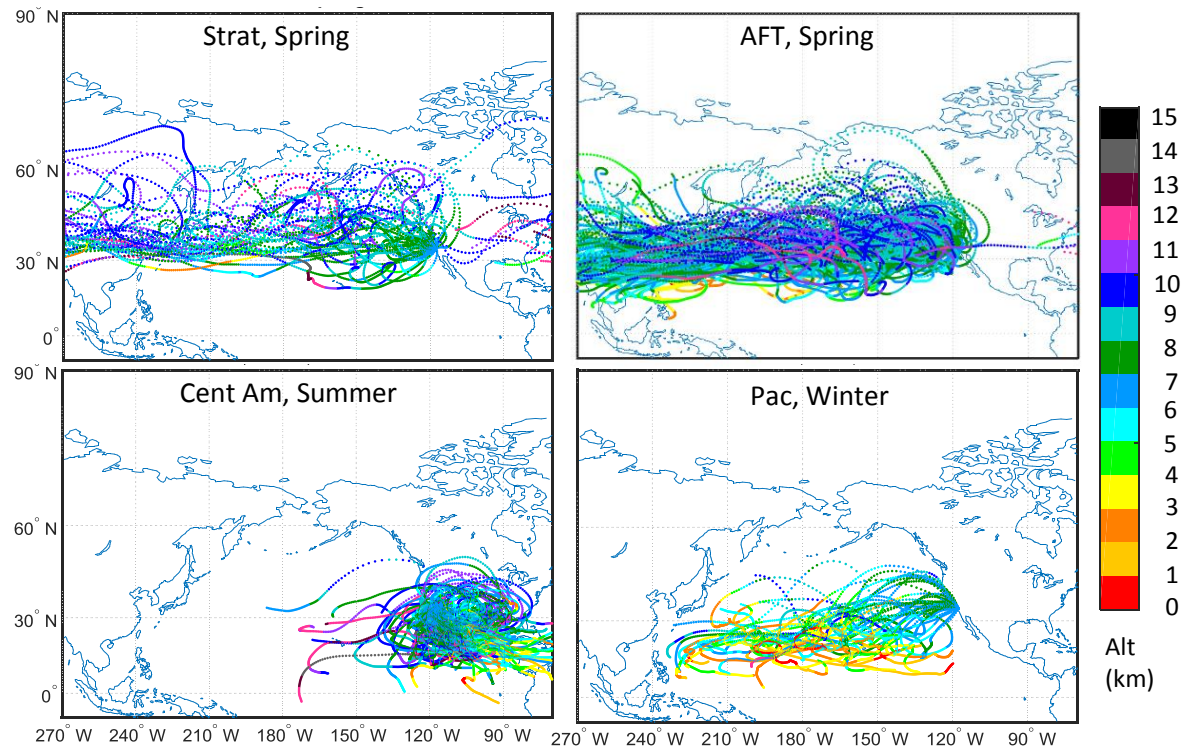


Figure 8. Examples of HYSPLIT 12-day backward trajectories arriving at TMF at 7 km altitude for four selected seasons and categories (see text for details)

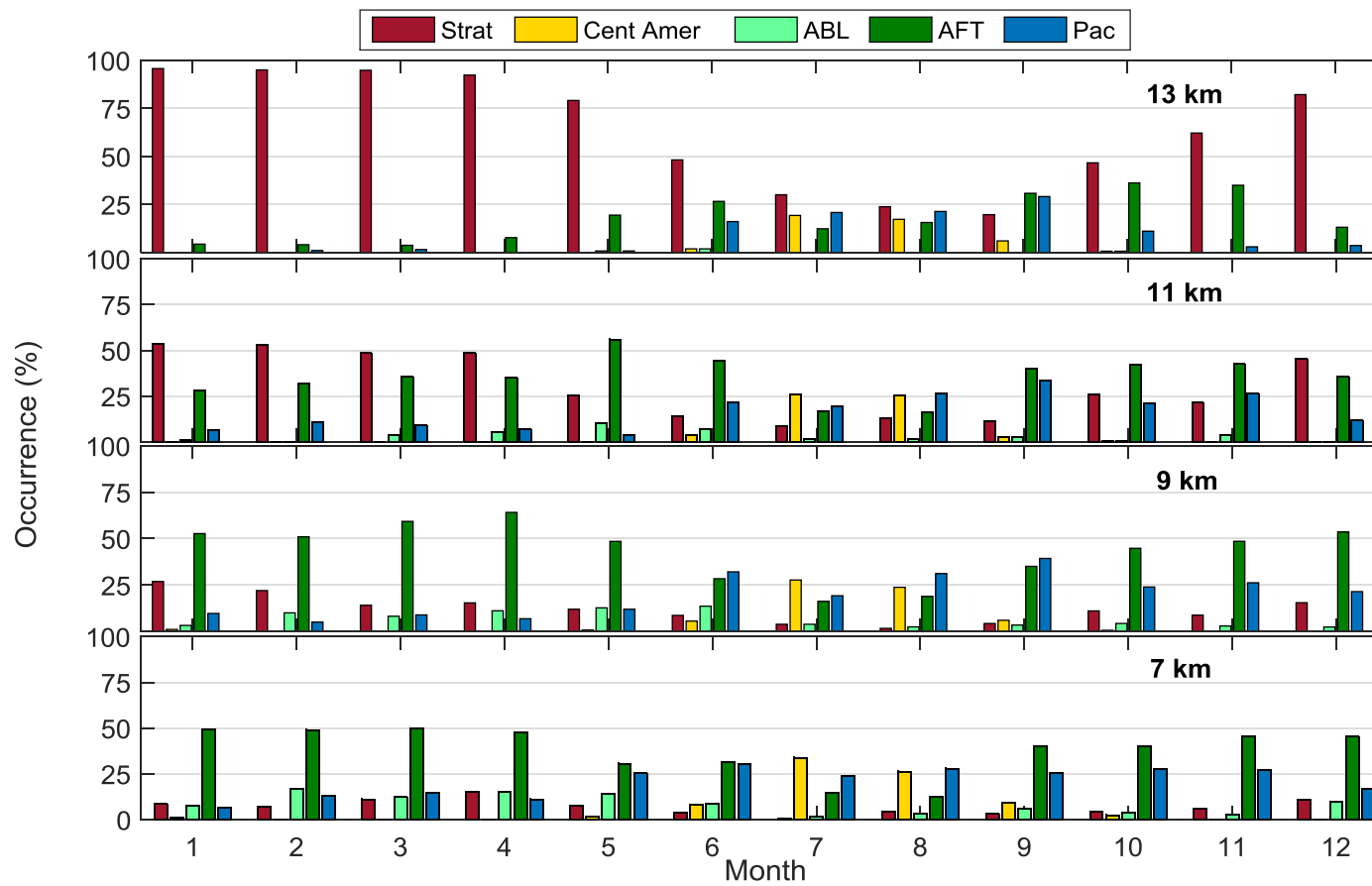


Figure 9. Distribution of the five categories identified for each trajectory ending at TMF during the lidar measurements over the period 2000-2015. The number of occurrences is given in percentage for each month of the year, and for four different altitude layers

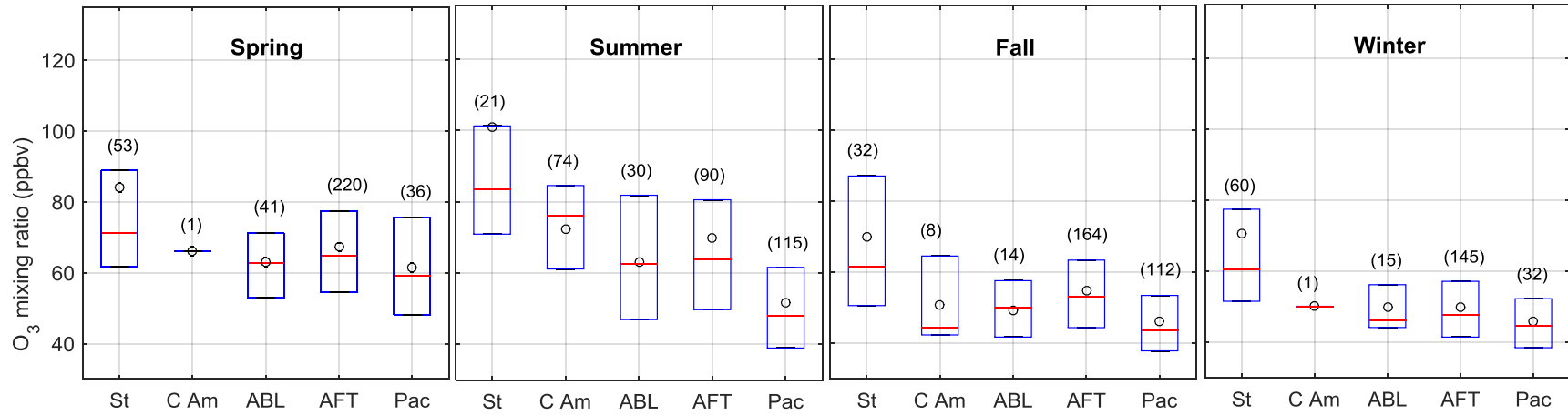


Figure 10. Box plot of the ozone mixing ratios measured within the air masses arriving at TMF at 9 km for the five identified categories (see text for details) and the four seasons. The black dot represents the mean value, the red line is the median and the box limits correspond to the 25th and 75th percentiles. The numbers between parentheses indicate the number of associated trajectories

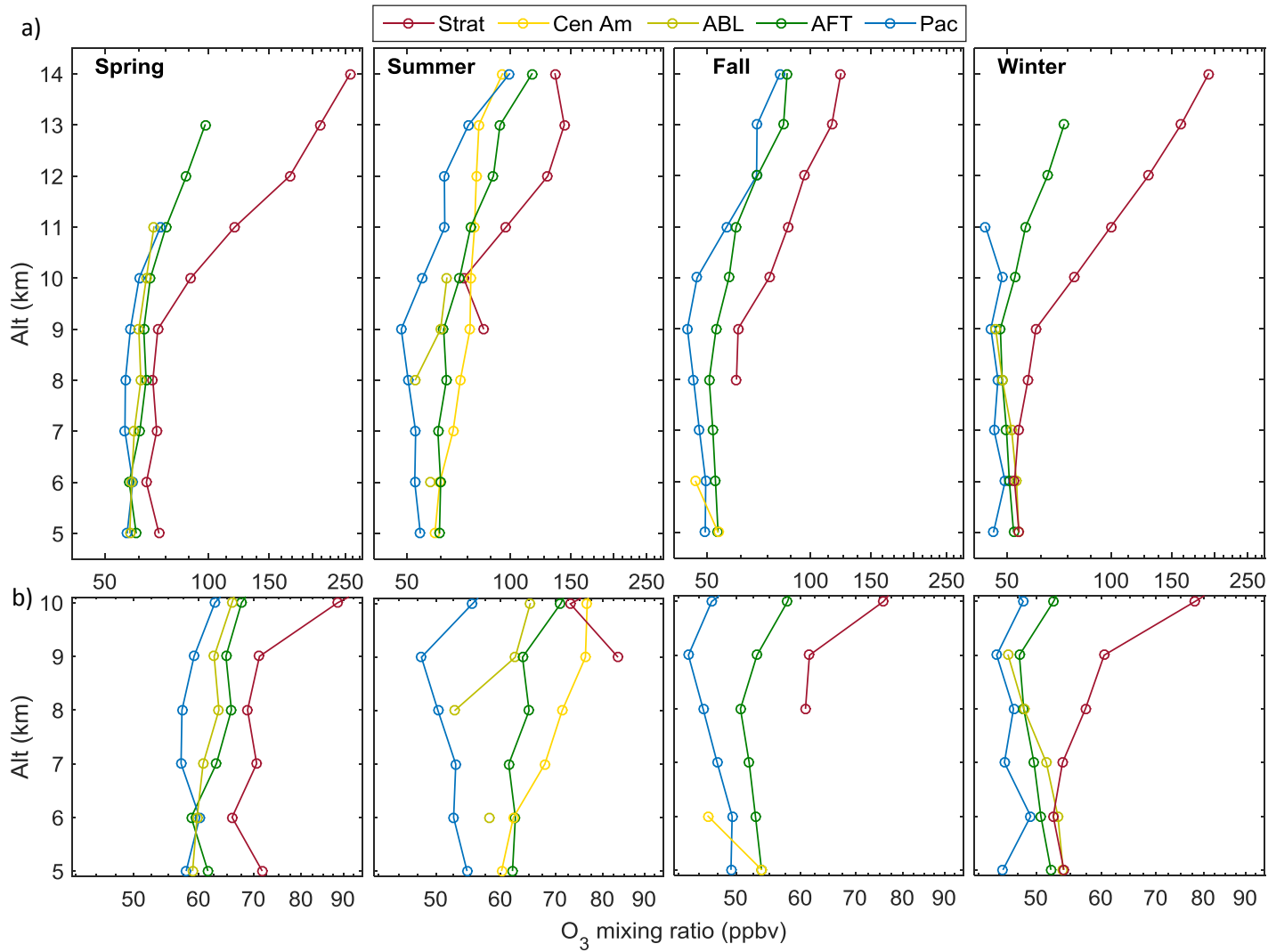


Figure 11. a) Composite profiles of the ozone mixing ratio associated with the different categories and for each season. Results are shown only when the number of samples for a given category was larger than 5% of the total number of samples in that season. b) Same as a) but zoomed in the region from 5 to 10 km.

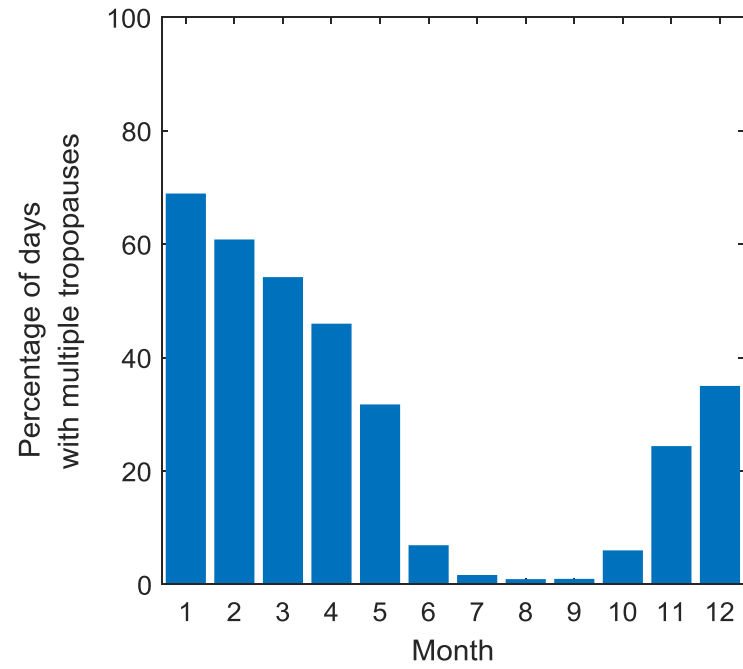


Figure 12. Monthly distribution of occurrences (in %) of double tropopauses above TMF. The number of days with tropopause folds is normalized to the total number of measurements per month compiled in Table 1

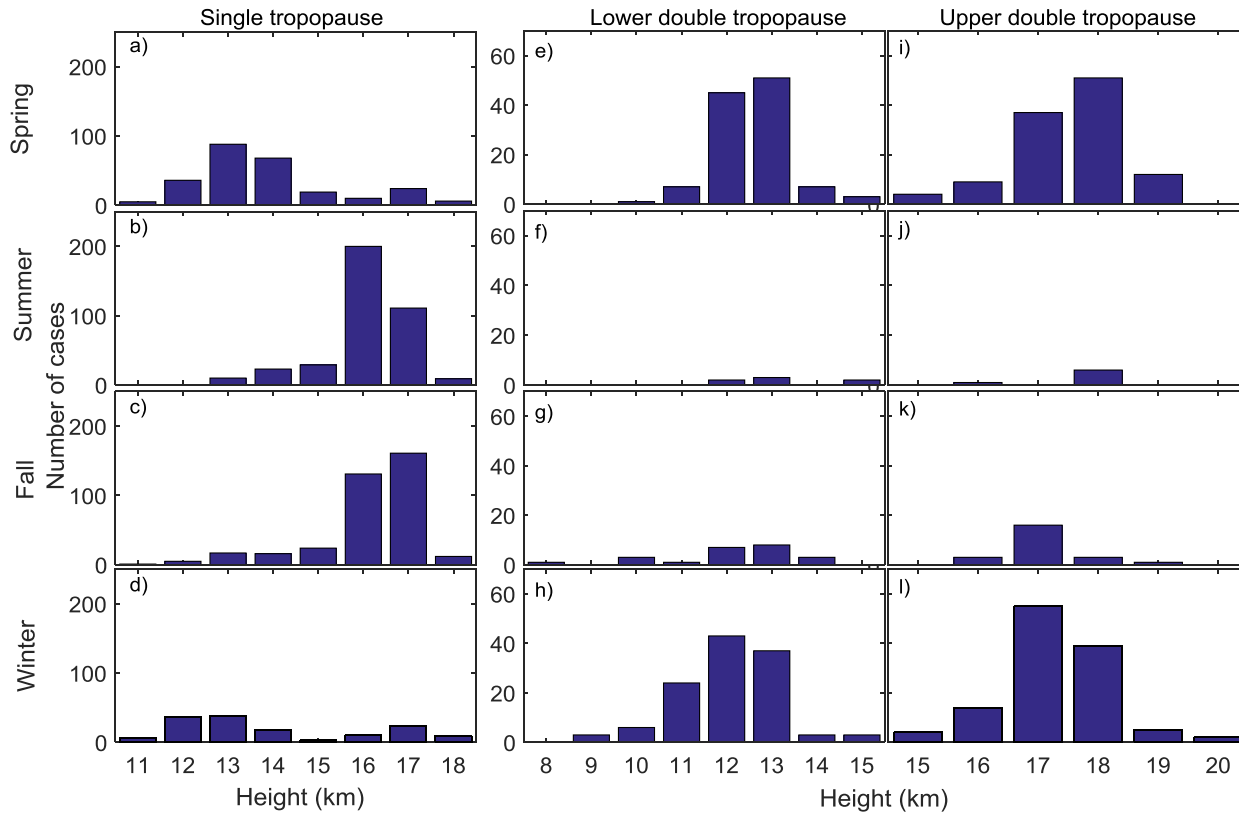


Figure 13. a) to d) Altitude distribution of the tropopause above TMF for spring, summer, fall and winter respectively, and in the absence of double-tropopause. e) to h) Altitude distribution of the lower (first) tropopause above TMF for spring, summer, fall and winter respectively, and in the presence of a double-tropopause. i) to l) Same as e) to h) but for the upper or second tropopause. All computations were made at the times of the TMF lidar measurements

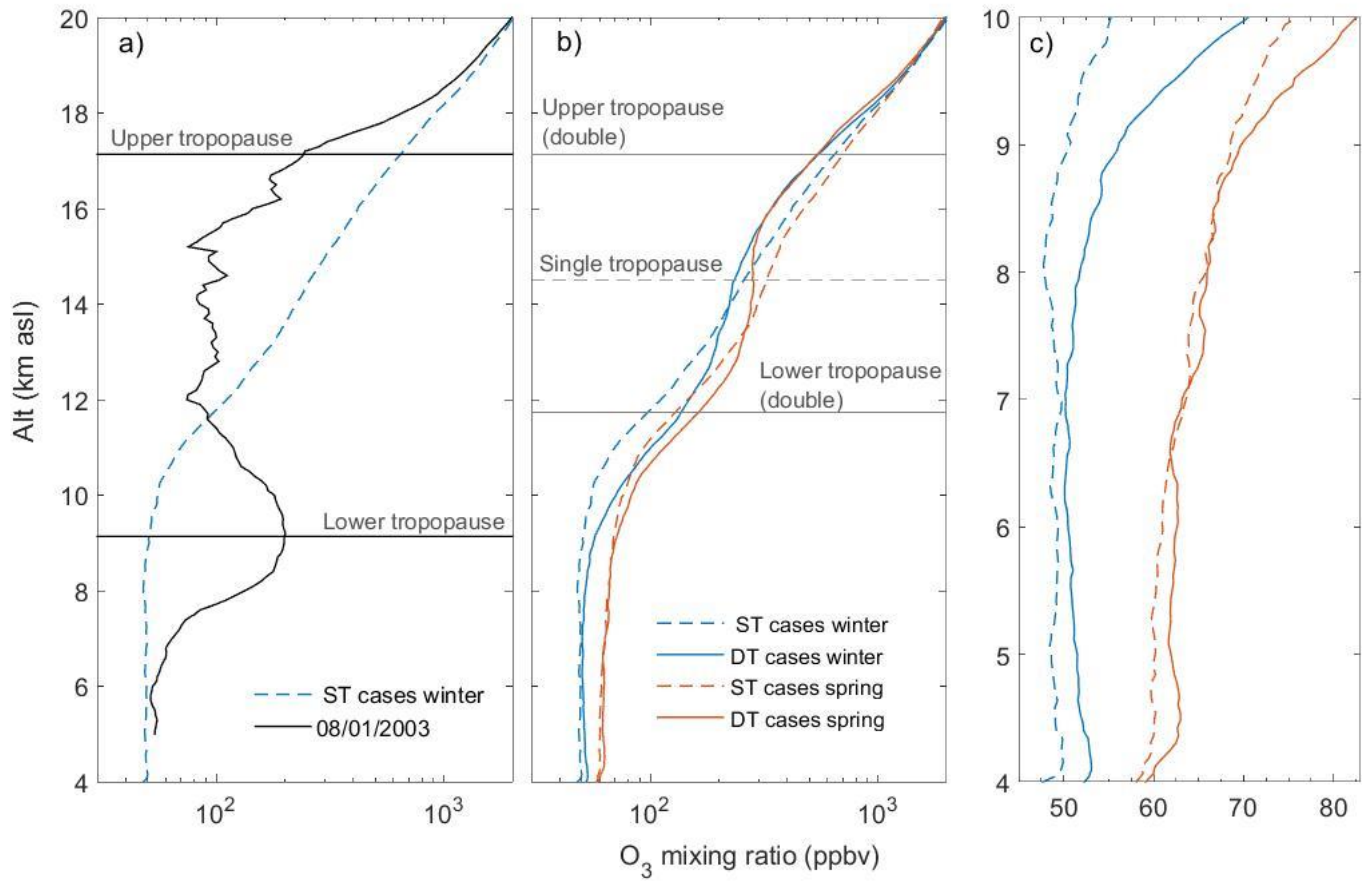


Figure 14. a) Ozone mixing ratio profile on January 8, 2003 (black line) and winter averaged ozone mixing ratio profile computed in the presence of single tropopause above TMF (blue dashed line). The horizontal solid black lines depict the altitude of the lower and upper tropopauses on June 8, 2013. b) Winter- (cyan) and Spring- (red) averaged ozone mixing ratio profiles computed in the presence of a double tropopause (DT, solid curves) and single tropopause (ST, dashed curves). The horizontal solid grey lines depict the average altitude of the lower and upper tropopauses when a double tropopause was identified. The horizontal dashed grey line corresponds to the average altitude of the tropopause when a single tropopause was identified. c) Same as b) but zoomed on the tropospheric part of the profiles (4-10 km)