

Reply to comments on “The Challenge to NO_x Emission Control for Heavy-duty Diesel Vehicles in China” by S. Zhang et al

“Black” means the comments from reviewer and “Blue” text are our responses.

We are deeply grateful to the referees of this paper for the very helpful comments. These comments are fully understood by the authors and individually responded to. Our responses to the comments are listed below.

Reply to comments from Anonymous Referee #1:

Overall Comments: My overall comments are favorable. I thought the paper presented interesting results of the use of a vehicle emission model to infer the dominant sources of vehicle pollution in Macao, as well as the potential use of traffic information linked to an emission model and air dispersion model to inform environmental and transportation policy. My major comment on the content of the paper, is that the authors need to provide more information into how the emissions and air quality concentrations were estimated. For example, the paper is unclear on how the vehicle split by link is estimated, how the speeds are estimated and applied in the vehicle emission model, and how the ‘fleet average’ emission rates in Table 4 are estimated. Also the comment Printer-friendly version Discussion paper discussion on the air dispersion modeling is very limited.

We appreciate the referee’s favorable comments. According to specific comment on the method and data details, we try our best to inform the readers of the estimation of fleet split and speed profiles (e.g., Equations 3, 5 and 6, see Page 7), the development of local emission factors (e.g., Page 9 to Page 10). In the revised manuscript, we use the gasoline LDPVs as example to illustrate how to develop the localized emission factors based on measurement data. In the Table 4, we also note the emission measurement data sources for each fleet.

Furthermore, according to the second referee’s comment. We acknowledge the limitation of NO₂ concentration simulation by using the AERMOD model (e.g., lack of adequate high resolution O₃ concentration profiles, simplified chemical reaction mechanism) (see Page 12, Lines 1 to 11). Therefore, we add a discussion to note the research requirement for high-resolution air quality modeling with detailed model configurations of the CMAQ system (see the Supplementary Information, and Page 18 Line 29 to Page 19 Line 12).

I would also strongly recommend the authors change the wording on page 2 (line 25) and page 17 (line 6) from ‘irreplaceable’ tool, to ‘can be to a valuable tool’. I do not think the paper showed that the high-resolution traffic tool is an irreplaceable assessment tool. The paper did show that the results from the tool, appear to compare

reasonably well with at least one air quality monitor, and it discusses ways, in which it could be used to inform air quality and policy decisions in the future.

Page 4. Line 4, huge a large transportation demand.

We revise these wordings according to these two comments.

Page 6. Line 21. What is the MC fraction on the Macao Peninsula?

The average observed MC fraction is approximately 45% compared with the 35% for LDPVs, as we note in the revised manuscript (see Page 6, Lines 6 to7).

Page 7, Line 24. You should mention the variability in the speed trends across roadway links which could be due to the limited data based on chase-car study.

Figure 3 appears to have significant variability from hour to hour, that I would think would be smoother if it had a larger sample size across more sample days, more links, and more vehicles. This should at least be discussed, especially if confidence intervals of the mean speed are not presented (which I think would show that many of the hourly mean speeds are not significantly different than one another).

We thank the referee for this kind suggestion. In the revised manuscript, we first in detail illustrate the equation to map the speeds (see Equation 3 in Page 7, and Equation 6 in Page 8), and then note the uncertainty from the area-aggregated data at the hourly and link level. For example, we now report the coefficients of variation for hourly speeds of arterial roads in the MP during three different hours (e.g., approximately 40% to 50%) (see Page 8, Lines 23 to 29), and estimated the effect on CO₂ emission factors for gasoline LDPVs as a case (see Page 17, Lines 13 to 18). We also recommend the useful application of ITS approach to capture the real-world variability of traffic dynamics.

Page 11. How do you obtain estimates of vehicle classifications by link? This is not clear to me from reading the first paragraph on page 11.

In the method section, we add the Equation 5 in Page 7 to clarify the issue.

Page 14. Line 8-9. I think you mean higher emission rates, for lower level of service?

Page 14. Line 17. 'broad' instead of 'board' Page 14.

Page 14. Line 25-26. Rephrase this sentence. 'poor representativeness. . .'

Revisions are done according to the referee's comment.

Page 15. Line 1-3. How does the daily variations in speeds, results in the variation in CO₂ emission factors? Is that from analysis done from the Beijing study? Or is that variation in link speeds applied to your emission model for Macao? Please be clear.

First, we clarify that the variation in CO₂ emissions is estimated for Beijing in the revised manuscript.

Second, for the referee's information, we applied PEMS testing profiles of 41 gasoline cars (16 in Macao, 11 in Beijing and 14 in Guangzhou) to establish the "real-world" speed correction function for CO₂ emission factors (similar works also done for other vehicle groups and pollutant species) (Zhang et al., 2014). It is noted that we improve the extraction of speed effects by constructing the baseline emission factor using the operating mode method (i.e., speed and VSP binning). Thus, we gain the speed correction function with regression correlation coefficient (R²) higher than 0.9, and the uncertainty range of -20%/+13 at a 95 confidence level (average speed lower than 60 km h⁻¹). In addition, we have not observed significant difference between the speed effects among various cities. The speed correction can be well applied from link level to trip/road network level with relative bias of -13%/+11%.

Zhang, S., Wu, Y., Liu, H., Huang, R., Un, P., Zhou, Y., Fu, L., Hao, J.: Real-world fuel consumption and CO₂ (carbon dioxide) emissions by driving conditions for light-duty passenger vehicles in China. *Energy*, 69, 247-257, 2014.

Page 16. Line 5-9. Rewrite sentence, and improve grammar

Page 16. Line 29. Start new paragraph.

Page 17. Line 6. Suggest 'can be a valuable assessment tool' (not 'irreplaceable')

Page 17. Line 17. Replace 'significantly less traffic' with 'smaller' Page 17. Line 30.

Revisions are done for the comments above.

The Taxis are diesel powered? This should be clarified in the main text, as well as in Table 4. RE: Table 4. I am surprised that the diesel Taxis' have lower NO_x g/km, than the MDPV gasoline vehicles? Are these emission rates based on PEMS data or emission standards? If some of these emission rates are based on certification vehicle standards, than the paper should mention the uncertainty of using vehicle emission standards (particularly EURO diesel standards) to represent real-world emission rates. Also, similarly, why is the MDPV diesel in the same range as the MDPV gasoline vehicles? In general, more information is needed on the derivation of the fleet-average emission factors in Table 4.

First, all the taxis in Macao are powered by diesel. The gaseous emission rates for diesel taxis are developed largely based on local PEMS data (Hu et al., 2012).

Second, we don't have dynamometer or PEMS testing data for MDPV-gasoline vehicles. High MDPV-gasoline emission factors are because we applied the emission parameters in our previous EMBEV model (Beijing). Therefore, in the revised manuscript, we revised the emission factors for MDPV-gasoline as well as LDT-gasoline based on the remote sensing based results (i.e., fuel based emission ratios between MDPV-gasoline to LDPV-gasoline). The revised emission factors are

presented in the Table 4. These modifications would lead to lower total vehicular emissions of THC by 1%, CO by 4% and NO_x by 1%, which would play a minor role in the overall temporal and spatial emission patterns. We have revised the data and figure throughout the manuscript according to the new emission factors for MDPV-gasoline and LDT-gasoline (see Table 4).

In addition, because no emission standards have been adopted in Macao until 2012, therefore, the emission standard category defined by the emission model actually represents the aggregated model year group for emission estimation. The uncertainty in fleet-average emission factors is related to the data availability (sample size) and fleet configuration. For example, for diesel taxis that were dominant by one vehicle model (e.g., Toyota Corolla diesel), the relative uncertainty ranges (95% CI) of average emissions are 37% for THC, 22% for CO and 48% for NO_x. The uncertainty for MDPV-gasoline and LDT-gasoline would be higher because of less data samples. However, the traffic fractions for MDPV-gasoline and LDT-gasoline are less than 2.5%, so their impacts on total vehicle emissions are less significant.

Reply to comments from Anonymous Referee #1:

This study develops a high-resolution motor vehicle emissions inventory for a city in China, models the inventory, and evaluates the modeling results against ambient monitoring data. Main findings of this paper are that it is important to capture the spatial heterogeneity of the vehicle fleet mix across the urban domain, and to use local information on emission factors. Overall, the authors present a novel approach to mapping vehicle emissions, especially in cities where traffic activity and emission factor data are not as readily available. This is a major accomplishment and worth replicating in other cities. In regards, to the second major aspect of this study, the air quality modeling, I have some concerns that I believe need to be addressed more fully in revision. My comments mostly refer to the treatment of atmospheric chemistry in the dispersion model. With major revision, I do believe it is possible for this manuscript to be considered for publication in Atmospheric Chemistry & Physics.

We appreciate the referee's positive comments on traffic and emission aspects. In the initial manuscript, we attempted to use the NO₂ simulation results as a validation of emission inventory results. After carefully considered the comments from this referee, we acknowledge the model limitation of the application in the city scale. In addition, the uncertainty from air quality modeling may also undermine the efforts of system validation.

Therefore, in the revised manuscript, we additionally use the statistical fuel consumption data to validate emission inventory, which is feasible because Macao is a specially closed island city (i.e., one Special Administration Region of China that requires special certificates for cross-border vehicle use) (see [Page 14 Line 28 to Page 15 Line 15](#)). The results indicate that a nice agreement between gasoline consumption record and gasoline fuel CO₂ emissions. Although this might be still not sufficient to address emissions more related to diesel fleets (e.g., NO_x, PM_{2.5}), we could see it as a

robust evaluation of overall traffic patterns by avoiding the uncertainty of air quality modeling.

Second, we note the major limitations of the NO₂ concentration simulation with the AERMOD in the methodology section, which include the absence of other NO_x related reactions, the lack of adequate ozone concentration profiles, and the simplified time framework of NO/NO₂ conversion (see [Page 12 Lines 1 to 18](#)). The NO₂ concentration simulation with the AERMOD is not included in the revised manuscript. However, given the fact of NO₂ pollution in Macao, we add a discussion on the future research requirement of high-resolution air quality modeling, because the CMAQ model might underestimate the NO₂ concentration for traffic populated areas and shrink the useful features of link-level emission inventory (see [Page 18 Line 28 to Page 19 Line 11](#), and the [Supplementary Information](#)). The following comments regarding the NO₂ concentration simulation are also responded individually.

We appreciate the papers this referee suggested, which are helpful to understand the complex chemical transport and vehicle emissions. We also adequately add these publications as references for readers' information.

General Comments

(1) My concerns with respect to the air quality modeling are with the treatment of chemistry, and how background levels are estimated. Unless the following concerns can be addressed, I believe that statements that quantify the fractional contribution of motor vehicle emissions to ambient concentrations observed should be removed (bottom of page 15 and top of page 16), and commentary restricted to qualitative statements.

We have removed this paragraph from the manuscript. In addition, we add the detailed setups of the CMAQ modeling in the supplementary information, which supports the discussion on the research requirements for high resolution air quality modeling.

(i) More detail is needed on how a dispersion model like AERMOD accounts for chemistry, especially for NO₂. Given that authors present high-resolution air quality maps, it seems important to capture spatial gradients that may arise due to interactions between ozone and fresh NO emissions; i.e., ozone tends to be suppressed near highways, and NO₂ elevated (Murphy et al., 2007). On page 10, Lines 15-17, the authors mention using ozone data to account for the oxidation of NO to NO₂, but do not describe how. How many monitoring sites are used in this calculation? Where are they located, and what is their proximity to roadways? What is the timescale of the NO → NO₂ conversion employed in AERMOD, and how was this estimated from observations?

Murphy, J. G., Day, D. A., Cleary, P. A., Wooldridge, P. J., Millet, D. B., Goldstein, A. H., and Cohen, R. C.: The weekend effect within and downwind of Sacramento – Part 1: Observations of ozone, nitrogen oxides, and VOC reactivity, *Atmos. Chem. Phys.*, 7, 5327-5339, doi:10.5194/acp-7-5327-2007, 2007.

We do not have spatially resolved ozone profiles in Macao to support a dedicated simulation of NO₂ concentration. Ozone concentrations at three air quality monitoring sites (i.e., one in the MP, one in Taipa, and one in Coloane) were used as input for each region. The AERMOD model simply assumes that the oxidation of NO is instantaneous and irreversible on hourly basis. In the revised manuscript, all these limitations have been noted in the methodology section.

(ii) It is also not clear how AERMOD treats the loss of NO₂ to PAN and HNO₃. In an urban mass, these products of NO₂ can comprise up to half of daytime NO_y (= NO_x + PAN + HNO₃, see Pollack et al., 2012). If the authors' only account for the production of NO₂ from fresh NO emissions, without accounting for the loss of NO₂ from daytime chemistry, then the NO₂ concentrations simulated from local vehicle emissions shown in Figure 7 could be overestimated. Consequently, the authors may overestimate the motor vehicle contribution to ambient NO₂. The importance of the loss term will depend on the photochemical age of the air mass that reaches the monitoring site, which could vary by time of day, wind speed/direction, and synoptic events. A robust estimation of the local vehicle contribution to ambient NO₂ (as shown in Figure 8) should take into account both the production and loss of NO₂.

Pollack, I. B., et al. (2012), Airborne and ground-based observations of a weekend effect in ozone, precursors, and oxidation products in the California South Coast Air Basin, *J. Geophys. Res.*, 117, D00V05, doi:10.1029/2011JD016772.

Two EPA Tier-3 methods (OLM and PVMRM) incorporated to the AERMOD both only take account of the oxidation of fresh NO to NO₂. The other oxidation processes to NO_y products (e.g., HNO₃, PAN, NO₃) are ignored by the AERMOD. This issue has been noted in the manuscript (see [Page 12 Lines 1 to 19](#)).

(iii) As I understand, the NO₂ observations shown in Figure 8, are daily concentrations from a single monitoring site (Page 16, Lines 10-13). Is this the average of 24-hours of data? The use of daily averages could be influenced by nighttime chemistry, which presumably would not be taken into account with AERMOD. To avoid these complications, it is better to restrict the model comparison to daytime values only.

The original results are average of 24-hours data. This section is dropped off due to the model limitations.

(iv) I found the description of the CMAQ model (on Page 10, Lines 20-26) used to estimate regional background and cross-boundary transport lacking. This is important since background levels (Page 10: 304 ug/m³, 27 ug/m³, and 23 ug/m³ of CO, NO₂, and PM_{2.5}, respectively) are as big or much larger than the motor vehicle contribution to these pollutants (Page 15: 88 ug/m³, 22 ug/m³, and 1.3 ug/m³ of CO, NO₂, and PM_{2.5}, respectively) in Macao. For example, what was the domain of the CMAQ model used? Did it include a much wider region that encompassed other cities/provinces of China? What meteorological data and chemical schemes were used

to run the model? What were the chemical and meteorological boundary conditions used to drive the CMAQ model? On Page 10, Lines 20-24, the authors mention turning off local stationary and mobile source emissions, but it is not clear what emissions inventory was used to drive the background concentrations of CO, NO₂, and PM_{2.5} elsewhere. What about shipping emissions, which are sources of NO_x? The emissions and meteorological data used to drive the 4 km x 4km CMAQ model need to be described in detail; the CMAQ model is as critical as the AERMOD model in calculating the local vs. regional contribution. Since the prevailing wind direction is from the northeast (shown in Figure S5), it appears there would be a strong influence from emissions occurring in Hong Kong and other major cities in Southeast China.

(v) If the authors' used CMAQ to calculate background concentrations, why isn't CMAQ also used to quantify the local contribution of vehicle emissions to ambient concentrations of CO, NO₂, and PM_{2.5}, along with AERMOD? Some of these concerns I have raised with regards to chemistry could be mitigated with a chemical transport model like CMAQ. If there is similarity in the result between AERMOD and CMAQ in the local vs. regional contribution, then chemistry may not play such an important role and the modeling results presented may be valid.

We add a section in the [Supplementary Information](#) to illustrate the regional air quality modeling with the CMAQ model. First, we did include a wider region in the CMAQ modeling framework, as a triple-nested simulation domain was applied. Domain 1 covers most of China of 36 km × 36 km horizontal resolution. Domain 2 covers East of China with 12 km × 12 km horizontal resolution. Domain 3 covers Pearl River Delta (PRD) with 4 km × 4 km horizontal resolution. Second, in terms of emission input data, we referred to Zhao et al. 2013a and 2013b for the emissions in other provinces of China. The local emissions for other sectors (e.g., residential, power, and industrial sectors) in Macao were provided by the Macao Environmental Protection Bureau, together with the vehicle emissions estimated by this study. It is noted that the shipping emissions were not estimated by the local stakeholders due to the lack of ship position information (i.e., not in the local waters).

Although the CMAQ model is more sophisticated in chemical transport mechanisms than the AERMOD model, however, there are still significant limitations. First, the number of 4 km x 4 km cells (note: 2 cells only occupied by Macao, and 4 cells occupied by Macao and Zhuhai together, which is the city adjacent to Macao) are quite rare to cover the entire Macao, which indicates less spatial resolution. Second, the simulated results using the CMAQ is much lower than observed levels. Although the AERMOD model may yield higher NO₂ concentrations in traffic populated areas, however, the model limitations would bring in considerable uncertainty (e.g., diurnal fluctuations). Thus, we suggest that future efforts are required to develop more advanced air quality model to enhance spatial heterogeneity and chemical transport at the same time. We add a discussion paragraph in the manuscript to highlight the research gap.

We clarify that CO was not included in the CMAQ modeling study (we checked this issue with the researcher who operated the regional air quality modeling). This was because the regional emission inventory did not report results for CO. The regional

background of ambient CO concentration was approximated by the CO concentration of an air quality monitoring station in the remote rural area of Hong Kong (air quality data in the Mainland China were not publicly available then).

Zhao, B.; Wang, S. X.; Dong, X. Y.; Wang, J. D.; Duan, L.; Fu, X.; Hao, J. M.; Fu, J., Environmental effects of the recent emission changes in China: implications for particulate matter pollution and soil acidification. *Environmental Research Letters* 2013a, 8, (2).

Zhao, B.; Wang, S.; Wang, J.; Fu, J. S.; Liu, T.; Xu, J.; Fu, X.; Hao, J., Impact of national NO_x and SO₂ control policies on particulate matter pollution in China. *Atmospheric Environment* 2013b, 77, (0), 453-463.

(2) Page 6, Lines 6-15: What were the criteria used that defined a “typical” road link? Especially, how were the 5 road links investigated for the entire day chosen? For example, in Figure S3, it appears that many of the observations were on arterial and residential roads, and relatively few observations on freeways. However, I would think it would be more important to characterize the freeways since they have much higher traffic volumes, and account for a significant fraction of vehicle traffic. Also, it would help to create a map similar to Figure S6, showing traffic volumes for each link simulated using the TransCAD model, and to also highlight which links were surveyed.

First, the topology of road network in Macao is significantly different with that in other large cities in Mainland China or the US. Because of very densely populated city landscape, the total number and length share (e.g., 12%) of urban freeways in Macao are less than other larger cities. These urban freeways in Macao are all three cross-sea bridges or the main traffic corridors connected to these bridges. We agree with the reviewer’s comment that urban freeways should be paid more attention to. In this study, we investigated the traffic volume data for six urban freeway links, which accounted for 17% of the total length for urban freeways in Macao. This investigation proportion is higher than that for arterial roads and residential roads (both less than 10%). The 5 typical roads were selected according to their road class and region (now noted in the revised manuscript), including one urban freeway, two arterial roads, two residential roads (see [Page 6 Lines 9 to 13](#)). The road links used in the GIS map are highly fragmented (e.g., average link length below 200 m for arterial and residential roads) because of the densely distributed and intersected roads, which also lead to lower proportions of the coverage. This issue is also the main reason to apply the TransCAD for volume mapping. We add a new figure ([Fig. S2](#)) in the Supplementary Information to highlight the links with observation data.

(3) Page 6, Lines 27-28: It would help to show a line with trucks in Figure S2 to illustrate this point.

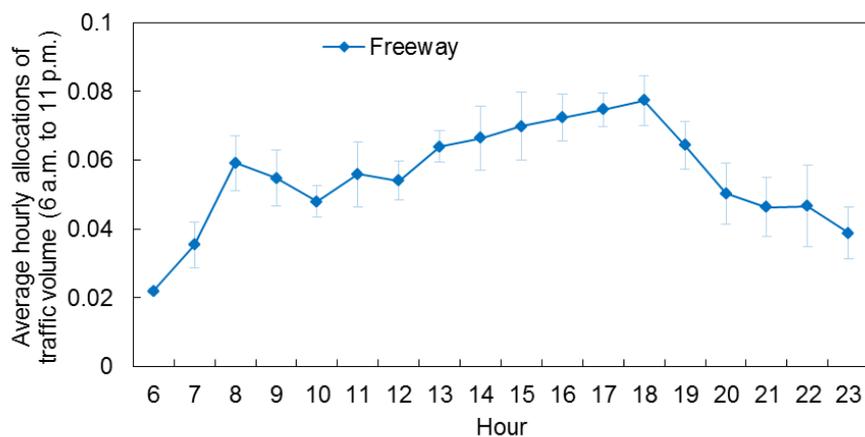
We have revised the current [Fig. S3](#) by adding the hourly volume fractions for trucks (LDTs and HDTs combined). In two regions (e.g., MP and TCC), the hourly volume

fractions for trucks in the total fleet were both higher during daytime, and a major part was contributed by LDTs (~70% of total trucks in daytime).

(4) Page 7, Line 2: To extrapolate to other hours using Equation 3, how consistent is the temporal variability observed across road links? If they are consistent, then it is appropriate to spatially model traffic flows for the 6 PM hour only, and to extrapolate traffic patterns to other times of the day. However, if they are not, I would imagine its better to run the traffic model for each hour of the day. To support the assumption that temporal variability is consistent across space, Figures 2 and 3 would benefit from estimating uncertainty bands for each road class shown.

We add the standard deviations for hours from 6 a.m. to 11 p.m. in the Fig. 2 for each road category to indicate the traffic volume bias among individual roads. To improve the presentation quality, we split the figure into three sub-figures. Nevertheless, the wide bias of hourly traffic volume data are largely attributed to the variations in designed capacity (e.g., number of lanes), location and other issues. As for Fig. 3, adding the uncertainty ranges would be very occupied by too many makers in one figure. Thus, we state the spatial bias of hourly speeds during various hours and estimate the impact on the variability of emission factors (see Page 8 Lines 24 to 27).

Therefore, to better watch the consistency of the temporal variability between individual roads, we estimate the average hourly allocation of traffic volumes for the period from 6 a.m. to 11 p.m (see the figure below, added as Fig. S5). The results indicate that the average correlation variations (i.e., the ratio of standard deviation to mean value) are 13% for freeways, 14% for arterial roads, and 16% for residential roads (note: observed data only, not including links without field investigation). Therefore, given the narrow relative bias regarding the temporal variability of traffic volume data, we use the traffic volume for 6 p.m. to estimate other times of the day as an efficient way, because running traffic demand models would also bring in uncertainty. We add this required information in the revised manuscript.



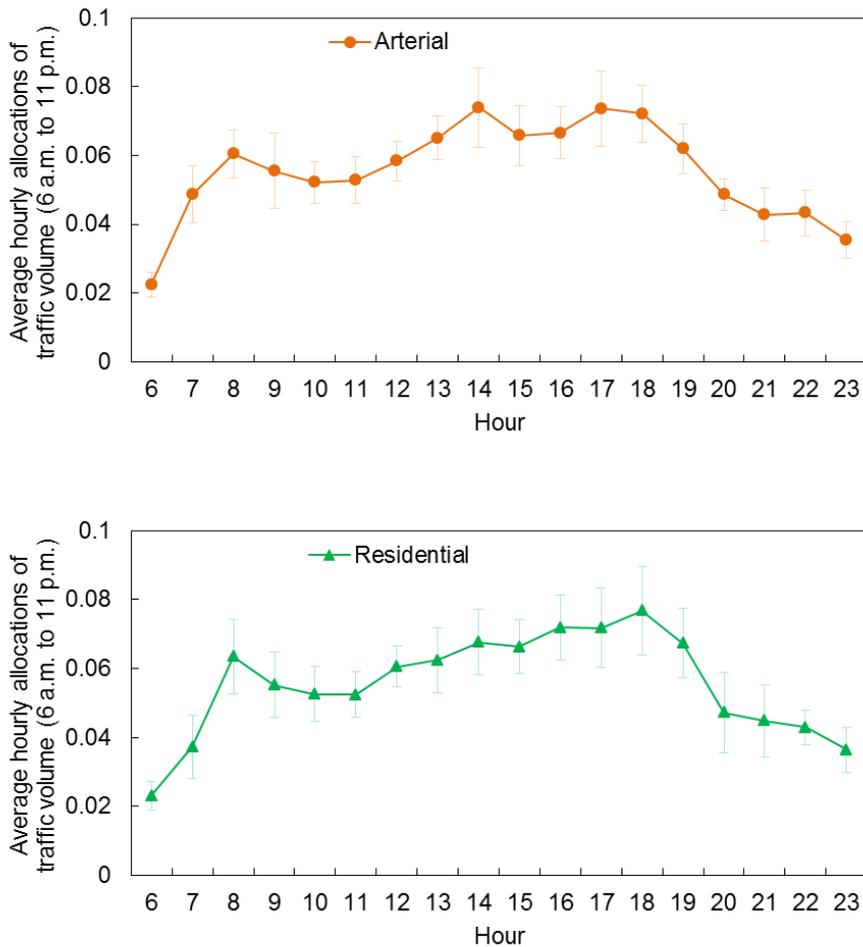


Figure S5. Average allocations of hourly traffic volume in the total traffic volume from 6 a.m. to 11 p.m. Only roads with observed traffic volume data included in this figure.

(5) Section 2.4: It is not clear from the description here of the EMBEV-Macao model whether gross-emitters are taken into account with the emission factor data collected between PEMS and remote sensing. Average emission factors could be significantly underestimated if gross-emitters are not included (Bishop et al., 2012). Also, how are cold start emissions taking into account? It is ok to reference prior papers, but I think it is important to address these issues explicitly here.

Bishop, G. A., et al. (2012), Multispecies remote sensing measurements of vehicle emissions on Sherman Way in Van Nuys, California, *J. Air & Waste Management Association*, 62.

According to the reviewer's comment, we revised this section with more clarifications about the emission factor development. We use the gasoline LDPV as an example to illustrate key processes. First, we used the remote sensing data to observe the long-term emission trends by model year and to develop several model year groups.

Second, for each model year group, we developed the emission parameters according to the local PEMS results with adequate modifications. It is noted that the original EMBEV model has developed distribution functions of individual emission factors based on large-sized vehicle samples (e.g., dynamometer tests, PEMS tests). We applied the function curve (long-tail distribution with the presence of high-emitters) to estimate the effect of high-emitters. Third, according to the original EMBEV framework, we modified the parameters regarding speed correction and start emissions (both using the PEMS data) and corrected other local features (e.g., fuel quality, environmental conditions). For some fleets that have few tests data involved in the EMBEV model, we developed the emission factors based on the remote sensing results (e.g., motorcycles) (see [Page 9 Line 28 to Page 10 Line 21](#)).

In the [Table 4](#), we now have added the data sources for readers' better information.

(6) Page 9, Lines 17-18: It is important to describe here the advantages and disadvantages of Gaussian models in relation to the other types of models. As highlighted in Comment 1, I have concerns over whether Gaussian models can accurately model constituents that undergo complex chemistry, including NO_x-VOCs-O₃ and secondary aerosols, which are pertinent to this study.

Please see our associated comments above.

(7) Page 14, Lines 20-24: The authors mention that traffic loop detector data is collected in many Chinese cities. Is traffic loop detector data not being collected in Macao? If so, it should be mentioned here.

In Macao, the intelligent transportation system is not well developed. The traffic loop, floating car system (based on taxis), and radio frequency identification detectors are not present in Macao. We note this in the revised manuscript (see [Page 8 Lines 27 to 29](#); [Page 17 Line 28](#)).

(9) Figure 8: Why are results not shown for CO? It seems relevant to the model evaluation described (Page 15, Lines 29-31).

As we have clarified in a previous response, regional CO concentration was not simulated by the CMAQ model. Therefore, we are not able to adequately use CO concentration to evaluate the model.

(10) Page 16, Lines 16-20: Another source of uncertainty are effects due to chemistry (see Comment 1).

Please see our response to the Comment 1 above.

(11) Table 4. For the most part, the fleet-averaged emission factors seem reasonable,

except for MDPV-Gasoline and LDT-Gasoline. Why are emission factors for CO and THC nearly as large as motorcycles, presumably with two-stroke engines, which are expected to have the highest emission factors for these pollutants?

As our response to the first referee, we don't have dynamometer or PEMS testing data for these two vehicle categories (e.g., LDT-Gasoline, MDPV-Gasoline) in Macao. We understand the concerns from the referee and revise the emissions by refereeing to the local remote sensing results. To be specifically, we now estimate their fleet-average emissions based on the ratios of their average fuel-based emissions to those of LDGVs. For example, the remote sensing results indicate that fuel-based emissions of THC, CO, and NO_x are higher than LDPV-Gasoline by 285%, 172%, 132%, respectively, although the average engine size of the LDT-gasoline is smaller than that of LDPV-gasoline. So, fleet-average emission factors of LDT-gasoline for CO, THC and NO_x are revised as 6.36 g km⁻¹, 1.75 g km⁻¹ and 0.61 g km⁻¹ (See [Table 4](#)). High emission factors may attributed to relatively poorer usage and maintenance conditions of the LDT-gasoline for freight purpose than those of LDPVs mainly for passenger transportation. Changes for MDPV-gasoline are made in a similar way. It is noted that compared with LDPV-gasoline vehicles, the numbers of valid remote sensing samples for LDT-gasoline and MDPV-gasoline are both significantly less, indicating potentially higher uncertainty in emission factor results. However, on the other hand, the total traffic volume fractions for LDT-Gasoline and MDPV-gasoline are both less than 2.5%, so the variations in emission factors would only lead to minor variations in the total emissions (1% for CO and 4% for NO_x).

In terms of the estimated emission factors for MC, the reviewer understands correctly. The significantly higher THC emission factors for MC-light are because of two-stroke engines, and the deterioration is very significant according to the remote sensing data by model year (Zhou et al., 2014). Based on the remote sensing results, both MC-light (two-stroke) and MC-heavy (four-stroke) have higher CO emissions than gasoline passenger cars.

Zhou, Y., Wu, Y., Zhang, S., Fu, L., Hao, J.: Evaluating the emission status of light-duty gasoline vehicles and motorcycles in Macao with real-world remote sensing measurement. *J. Environ. Sci.*, 26(11): 2240-2248, 2014.

(12) Tables 5 and 6. Too many significant figures are shown, especially for CO₂. Probably no more than 3 significant figures are justified given uncertainties in emission intensities.

We revise the data presentation for emissions by limiting significant figures less than three.

(13) Figure S5. Where are weather stations located? Should be shown on Figure S1.

We now have marked the location of weather stations.

Minor Comments (14) Page 5, Line 1: I believe there is a mistake here, that “vehicle classification f” should read “vehicle classification v”.

Revision is done.

(15) Page 7, Line 23: Better to report amount of data in hours collected rather than seconds; as a reader it is hard to comprehend how much data was collected using the latter units.

Revision is done by using hour as the unit.

(16) Page 15, Line 22: I believe there is a mistake here, “Table 6” should read “Table 7”.

Revision is done.

(17) City boundaries shown in Figure 7 and Figure S7, are hard to see. Suggest darkening the boundaries.

We improve these figures according to the reviewer’s suggestion.

(18) Figure S2. The vertical axis labeling is confusing. Instead of ratios, I think fraction of total traffic counts better describes what is being shown.

Revision is done.

High-resolution simulation of link-level vehicle emissions and concentrations for air pollutants in a traffic-populated East Asian city

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Abstract:

Vehicle emissions of air pollutants created substantial environmental impacts on air quality for many traffic-populated cities in East Asia. A high-resolution emission inventory is a **useful** tool compared with traditional tools (e.g., registration data based approach) to accurately evaluate real-world traffic dynamics and their environmental burden. In this study, Macao, one of the most populated cities in the world, is selected to demonstrate a high-resolution simulation of vehicular emissions and their contribution to air pollutant concentrations by coupling multi-models. First, traffic volumes by vehicle category on 47 typical roads were investigated during weekdays of 2010 and further applied in a networking demand simulation with the TransCAD model to establish hourly profiles of link-level vehicle counts. Local vehicle driving speed and vehicle age distribution data were also collected in Macao. Second, based on a localized vehicle emission model (e.g., the EMBEV-Macao), this study established a link-based vehicle emission inventory in Macao with high resolution meshed in a temporal and spatial framework. Furthermore, we employed the AERMOD model to map concentrations of **CO and primary PM_{2.5}** contributed by local vehicle emissions during the weekdays of November 2010. This study has discerned the strong impact of traffic flow dynamics on the temporal and spatial patterns of vehicle emissions, such as a geographic discrepancy of spatial allocation up to 26% between THC and PM_{2.5} emissions owing to spatially heterogeneous vehicle-use intensity between motorcycles and diesel fleets. **We also identified that the estimated CO₂**

1 emissions from gasoline vehicles were in a nice agreement with the statistical fuel consumption in Macao.

2 Therefore, this paper provides a case study and a solid framework for developing high-resolution
3 environment assessment tools for other vehicle-populated cities in East Asia.

5 **1. Introduction**

6 The soaring vehicle stock driven by social-economic development has created a series of substantial
7 challenges regarding air pollution, energy insecurity, and public health within many countries (Uherek et
8 al., 2010; Saikawa et al., 2011; Shindell et al., 2011; Walsh, 2014). At the national level, we take nitrogen
9 oxides (NO_x) emissions as an example as it is an essential precursor to the formation of ozone and nitrate
10 aerosol in the atmosphere. On-road vehicles are currently responsible for 29% of national anthropogenic
11 NO_x emissions in China (MEP, 2014), 37% in U.S. (U.S. EPA, 2014) and 40% in Europe Union (EEA,
12 2014; Vestreng et al., 2009). At the city level, the vehicular contribution to ambient nitrogen dioxide (NO₂)
13 concentration is very significant in traffic related areas (Carslaw et al., 2011). For example, in European
14 countries where diesel vehicles make up a considerable part of private passenger cars, near-road NO₂
15 concentration exceeds the ambient air quality standard. This issue is seen as one of the most significant
16 air pollution problems in Europe although great efforts have been made to cope with the NO₂ exceedance,
17 including the implementation of stringent emission standards for diesel vehicles (e.g., the latest Euro 6
18 requirements) (Franco et al., 2014; Carslaw et al., 2011; Carslaw and Rhys-Tyler, 2013; Chen and Borken-
19 Kleefeld, 2014). Higher health risk as a result of exposure to vehicular emissions (e.g., particle, NO_x) is
20 understandable in traffic-populated cities, and is probably associated with the large resident population,
21 greater traffic congestion and unfavorable dispersion due to dense buildings (Du et al., 2012; Ji et al.,
22 2012). In 2012, the International Agency for Research on Cancer Group 1 assessed the carcinogenicity of
23 diesel emissions as “carcinogenic to humans” with sufficient evidence for it to be characterized as a cause
24 of lung cancer (Benbrahim-Tellaa et al., 2012).

25 The high-resolution vehicle emission inventory can be a valuable tool to accurately evaluate impacts
26 on air quality and public health, as it can well reflect the close connections between environmental impacts
27 and traffic flows. McDonald et al. (2014) analyzed the impacts of enhanced spatial resolution from 10 km
28 to 500 m on vehicular CO₂ emission inventory for Los Angeles, which clearly demonstrated substantial
29 improvements in the accuracy for areas containing traffic-dense microenvironments (e.g., heavily
30 trafficked highways). Consequently, link-based emission inventory is a preferred tool owing to its
31 substantial advantage in spatial resolution for local traffic and environmental management. Over the past

1 decade, high-resolution emission inventory initiatives have been carried out in China's vehicle-populated
2 cities. Taking Beijing, the capital city of China for example, Huo et al. (2009) established a link-based
3 emission inventory for light-duty gasoline vehicles (LDGVs) in the urban area based on estimated
4 emission factors with the IVE model. However, significant emissions of NO_x and fine particulate matter
5 (PM_{2.5}) may be attributed to heavy-duty diesel vehicles (HDDVs) instead of LDGVs, including the gross
6 emitters registered in other provinces (Wang et al., 2011 and 2012a), whose contributions are currently
7 not evidenced in the registration-based inventories for China's vehicle-populated cities (Wu et al., 2011;
8 Zhang et al., 2014a; Zheng et al., 2014). Wang et al. (2009) and Zhou et al. (2010) estimated vehicular
9 emissions for the urban area of Beijing by using grid-based data of average speed and aggregated vehicle
10 kilometers travelled. However, their resolutions are not sufficient to present hourly fluctuation of network
11 traffic volume and quantify vehicular emissions at the link level.

12 As traffic management actions become more important for vehicle emission control, such as the
13 license control policies effective in seven vehicle-populated cities of China (e.g., Shanghai, Beijing,
14 Guangzhou, Tianjin, etc.) and the Electronic Road Pricing (ERP) program adopted in Singapore (Goh,
15 2002). We therefore envision greater demand for high-resolution vehicle emission inventories by local
16 environmental protection administrations in the near future. A few technical barriers are expected to be
17 shortly overcome for improving the high-resolution vehicular emission inventory based on the
18 development experience of the London Atmospheric Emission Inventory (LAEI) (TfL, 2014). First, high-
19 resolution traffic data including traffic counts, vehicle speed and fleet composition should be investigated
20 or estimated at the link level with hourly fluctuations. Second, real-world emission factors should be
21 developed based on a sufficient measurement database to effectively address potential uncertainties (e.g.,
22 gaps between regulatory cycle and off-cycle conditions) (Carslaw et al., 2011; Wu et al., 2012; Zhang et
23 al., 2014a). Third, technology allocations of the total fleet (e.g., traffic counts by fuel type and vehicle age)
24 should be derived based on real-world traffic data instead of registration data, considering vehicular
25 emissions are fairly sensitive to vehicle technology allocations (Vallamsundar and Lin, 2012). Finally, the
26 application of high-resolution emission inventory can be significantly enhanced by extending the
27 evaluation framework from vehicular emissions to pollutant concentration, which are of overriding
28 concerns to residents, pedestrians and policy-makers (Vallamsundar and Lin, 2012; Misra et al., 2013).

29 In this study, we selected Macao as a case city to demonstrate high-resolution simulation for vehicle
30 emissions and primary concentrations of air pollutants in this traffic-populated city. Macao is well-
31 renowned for its tourism and gaming industry, which attracts numerous visitors and created a large

1 transportation demand. Owing to the absence of massive rail-based public transit system, which is now
 2 under construction in Macao, local transportation completely depends on on-road vehicles. The vehicle-
 3 population density (including motorcycles, MCs) in Macao is approaching 7800 veh km⁻² in 2014,
 4 significantly more dense as compared with other East Asian cities (e.g., 430 veh km⁻² of Shanghai, 340
 5 veh km⁻² of Beijing and 700 veh km⁻² of Hong Kong) (DESC, 2014; HKS, 2014; NBSC, 2014).
 6 Furthermore, Macao's total vehicle population has surpassed 240 thousand in 2014, more than double the
 7 level in 2000 (DESC, 2014). Significant gridlock has been caused due to rapid motorization in the Macao
 8 Peninsula during rush hours, when the average speed of arterial roads is frequently lower than 15 km h⁻¹
 9 (TMB, 2010). On the other hand, local air quality data indicate several nonattainment sites for annual
 10 ambient PM_{2.5} and NO₂ concentrations in the traffic-dense and residential areas of Macao (DESC, 2014).
 11 On-road vehicles have been identified as the major local contributor to air pollution, because industrial
 12 emissions in Macao are quite minor compared with the on-road transportation sector. Thus, there is an
 13 urgent need to attach importance to controlling vehicular emissions with the support of high-resolution
 14 emission inventory technology in this traffic-populated city.

15

16 **2. Methodology and data**

17 **2.1 General study framework and components**

18 This study generally consists of three components: (1) characterizing hourly traffic profiles at the
 19 link level, (2) establishing a high-resolution vehicle emission inventory, and (3) simulating the
 20 concentrations of typical primary air pollutants (e.g., CO, PM_{2.5}) contributed by local vehicle emissions
 21 in Macao (see Fig. 1). The core task of this study is to calculate emissions of air pollutants and carbon
 22 dioxide (CO₂) from local vehicles meshed in the high resolution matrix of the “hour-link-vehicle
 23 technology group”, which is illustrated by Equation 1.

$$24 \quad E_{h,l,p,v} = \sum_{f,y} 10^{-3} \cdot EF_{f,p,v,y} \cdot L_l \cdot TV_{h,l,v} \cdot VF_{f,v,y} \quad (1)$$

25 where $E_{h,l,p,v}$ are the emissions of pollutant category p from vehicle classification v during hour h for
 26 link l, kg h⁻¹; $EF_{f,p,v,y}$ is speed-dependent average emission factor of pollutant category p for vehicle
 27 technology group defined by classification v, fuel type f and vehicle age y, g veh⁻¹ km⁻¹; L_l is the total
 28 length of link l, km; $TV_{h,l,v}$ is total traffic volume of vehicle classification v during hour h, veh h⁻¹; and
 29 $VF_{f,v,y}$ is the volume fraction of vehicle technology group (e.g., model year group) defined by fuel type

1 f and vehicle age y . We define eight vehicle classifications in this study that were recognized from road
2 traffic video records as follow: light-duty passenger vehicle (LDPV), MC, taxi, public bus (PB), medium-
3 duty passenger vehicle (MDPV), heavy-duty passenger vehicle (HDPV), light-duty truck (LDT) and
4 heavy-duty truck (HDT).

5 Therefore, we further characterized total hourly emissions from the total vehicle fleet based on the
6 bottom-up method, namely from each link to the entire road net, as Equation 2 illustrates.

$$7 \quad E_{h,p} = \sum_{l,v} E_{h,l,p,v} \quad (2)$$

8 where $E_{h,p}$ are the total vehicle emissions of pollutant category p during hour h from the total vehicle
9 fleet in Macao, kg h^{-1} . In the following two sub-sections, we present detailed methods for developing high-
10 resolution traffic data and vehicle emission factors. Due to the time limitation on the traffic field
11 investigation, we only focus the case study for weekdays during 2010; weekends were not investigated
12 when traffic flows might be different.

14 **2.2 Summary of geography and road network in Macao**

15 Macao is one of the two Special Administrative Regions (SAR) in China lies on the western side of
16 the Pearl River Delta, with a total land area of only 30 km^2 , which is the most densely populated city in
17 the world (~ 20 thousand people km^2) (DSEC, 2014). The Macao SAR now consists of the Macao
18 Peninsula (MP) and the Taipa-Cotai-Coloane (TCC) islands (See Fig. S1). In particular, the CoTai
19 Reclamation Area is a piece of newly reclaimed land on the top of the bay area between Taipa and Coloane,
20 where new casinos and hotels have been constructed since land of Macao is scare. Nearly 90% of Macao's
21 total population is concentrated in the MP, where the population density is significantly higher than the
22 combined density of Taipa-CoTai-Coloane (TCC) regions (i.e., 54 thousand vs. 4.3 thousand, unit in
23 people km^{-2}). The MP geographically consists of five regions, nominally parishes. Among those five
24 parishes, the St. Anthony Parish where the Ruins of St. Pual's Cathedral is located has the highest
25 population density, which is approaching 120 thousand people km^{-2} .

26 Based on the GIS database of road network in Macao provided by the Macao Transportation Bureau,
27 there were a total of 1704 road links in the study year of 2010. We categorized all those links into three
28 road classes: urban freeways, arterial roads and residential roads, representing that the level of service
29 decreasing from high to low. It should be noted that the road links are unevenly distributed among various

1 areas of Macao, but similar to the spatial patterns. For example, 77% of all road links (i.e., 1306 links)
2 were concentrated in the Macao Peninsula, which were responsible for 59% of Macao's total road length.

4 **2.3 Field investigation and simulation of link-based traffic data**

5 We investigated traffic data on 47 typical road links during three field investigation periods from
6 Jan 2010 to Jan 2011 (i.e., nearly 20 weekdays during Jan 2010, May 2010 and Jan 2011) (see Fig. S2),
7 according to the spatial heterogeneity of road network in Macao by covering all road classes and regions.
8 The length coverage proportion of urban freeways was higher than that for arterial and residential roads,
9 because of higher traffic volumes on the urban freeways. The real traffic flow records of each link was
10 collected with a portable video camera for at least 20 minutes within each hour. Among all links
11 investigated, 5 typical road links varying in road classes (1 freeway, 2 arterial roads and 2 residential roads)
12 were investigated for the entire day (i.e., 24-h sampling). Sampling duration for the rest of the links
13 investigated in general were from 6 a.m. to 11 p.m. (i.e., day-time sampling). Detailed hourly traffic
14 volumes by vehicle classification for 47 road links were further broken down based on those original video
15 profiles by major region and road class (see Table 1). We can clearly observe variations in hourly total
16 traffic counts for three road classes, with significant peaks of traffic demand during morning and evening
17 rush hours (see Fig. 2 and Table 1).

18 Traffic volume fraction by vehicle classification is another essential type of data obtained from
19 traffic video record (see Fig. S3 as an example of arterial roads). During the evening rush hour (6 p.m.),
20 LDPVs and MCs contributed nearly 80% of total traffic volume, which are the two major vehicle types
21 used for daily commuting demand in Macao. In particular, MCs are low-cost commuting vehicles for the
22 relatively lower income group in Macao. Therefore, the observed traffic fraction of MCs (~45%) was
23 higher than that of LDPVs (~35%) on arterial roads of the Macao Peninsula. By contrast, observed traffic
24 fraction of MCs in the TCC was only approximately 15%. In addition to the spatial variations among
25 various road classes and areas, we also observed temporal variations of various vehicle classifications.
26 Taking arterial roads in the MP for example, their average traffic fractions of taxis were approximately
27 10% during the day time (6 a.m. to 12 p.m.). During the night time (12 p.m. to 6 a.m.), accompanied by
28 significantly reduced traffic demand of MCs and LDPVs, taxis could be responsible for 20~30% of total
29 vehicle counts. Due to the minor economic contribution of local industry, the average traffic fraction of
30 trucks in Macao indicating freight transportation was significantly lower than those in Beijing and

1 Guangzhou. Furthermore, for the other road links without observed traffic fraction data, we used the
 2 hourly and area aggregated proportions for further modeling (see Equation 3).

$$3 \quad \overline{VF}_{a,c,h,v} = \frac{1}{N_{TV_{a,c,h,v}}} \sum_{l \in (a,c)} VF_{a,c,h,l,v} \quad (3)$$

4 where $\overline{TF}_{a,c,h,v}$ is average traffic volume fraction for area a, road class c, hour h, and vehicle classification
 5 v; $N_{TV_{a,c,h,v}}$ is the number of road links with the investigated traffic volume available for area a, road
 6 class c, hour h, and vehicle classification v; $VF_{a,c,h,l,v}$ is the average traffic volume fraction for hour h,
 7 road link l and vehicle classification v and the link is in area a and under the road class c.

8 The TransCAD 5.0 model was applied to estimate total traffic demand and its spatial allocation at
 9 the link level. TransCAD 5.0, one of the most widely-used traffic planning software, can estimate origin-
 10 destination (OD) matrix of the road network from link traffic counts. In this study, we selected the multiple
 11 path matrix estimation (MPME) procedure provided by the TransCAD 5.0 and estimated total traffic
 12 volumes of all road links during the 6 p.m. hour with observed hourly traffic counts of 33 links as input
 13 data. After a number of iteration runs, the average discrepancy between simulated traffic volumes and the
 14 observed values (i.e., output vs. input) is 4.3% and the Pearson coefficient is 0.95, indicating statistically
 15 satisfactory results (see Fig. S4). We could identify wide variations in hourly traffic activity among
 16 individual roads of one road class group (see Fig. 2), and the variations may attributed to the difference in
 17 the designed traffic capacity (e.g., number of lanes) and location. In terms of the hourly allocation of
 18 traffic volume, which is a non-dimensional indicator of temporal variability, the results could indicate nice
 19 consistency among individual roads with much lower variations (see Fig. S5). Therefore, for other hours,
 20 we estimated hourly total traffic volumes based on the averaged temporal allocations and simulated traffic
 21 volumes during the 6 p.m. hour, as Equation 4 illustrates.

$$22 \quad TV_{h,l} = TV_{18,l} \cdot \frac{\overline{\alpha_{a,c,h}}}{\overline{\alpha_{a,c,18}}} \quad (4)$$

23 where $TV_{h,l}$ is the hourly total traffic volume for road link l during the hour h, veh h^{-1} , and $TV_{18,l}$ is
 24 particularly the hourly data during the 6 p.m. hour simulated by the TransCAD if observed traffic volume
 25 data is unavailable); $\overline{\alpha_{a,c,h}}$ is the averaged ratio of hourly total traffic volume during the hour h to daily
 26 total traffic volume for the area a and the road class c. Therefore, the traffic volumes by vehicle
 27 classification are further estimated based on the traffic fraction data averaged by area, road class and hour.

28 The total 24-h traffic activity by vehicle classification can be estimated with Equation 5.

$$TA_{daily\ v} = \sum_{h=0}^{23} \sum_l TV_{h,l} \cdot L_l \cdot VF_{h,l,v} \quad (5)$$

where $TA_{daily\ v}$ is the daily traffic activity in the entire research domain for vehicle classification v , veh km d^{-1} ; $VF_{h,l,v}$ is the hourly traffic volume fraction for hour h , link l and vehicle classification v , and if the $VF_{h,l,v}$ is not available from the traffic field study data, $VF_{h,l,v}$ would be applied by the aggregated data (i.e., $\overline{VF}_{a,c,h,v}$, $l \in (a, c)$) that is estimated according to Equation (3)

In addition to traffic volume, traffic condition indicated by link-based hourly speed is another category of essential input data. First, we used a portable GPS receiver to collect second-by-second vehicle trajectory data for on-road vehicles during the same field sampling periods of traffic counts. Considering the distinctions of driving behaviors among MCs, PBs and other vehicle classifications (e.g., passenger vehicles and trucks), like more frequent stops for PBs to discharge and receive passengers, we used a taxi equipped with the GPS receiver to chase LDPVs randomly to represent traffic conditions for on-road vehicles other than PBs and MCs. Each targeted vehicle was chased for at least 10 minutes. For PBs and MCs, we selected typical vehicles to record their traffic trajectory data. In this study, we collected traffic trajectory data of LPDVs, PBs and MCs for 32 hours, 24 hours and 8.4 hours, respectively, with high abundance of spatial and temporal distribution. Second, we integrate the original second-by-second GPS trajectory data with the road network GIS system to identify the road link information (e.g., link name, parish and road class) for each sampling second. Third, we estimated averaged hourly speed for each road class in each parish.

$$\overline{V}_{a,c,h,v} = \frac{1}{N_{V_{a,c,h,v}}} \sum_{l \in (a,c)} \overline{V}_{a,c,h,l,v} \quad (6)$$

where $\overline{V}_{a,c,h,v}$ is average hourly speed for road class c , hour h , region r , and vehicle classification v (LDPVs, PBs, and MCs in this equation), km h^{-1} ; $N_{V_{a,c,h,v}}$ is the number of link with the investigated speed available for road class c , hour h , area a and vehicle classification v ; $\overline{V}_{a,c,h,l,v}$ is the average speed for hour h , road link l and vehicle classification v , km h^{-1} , and the link is in area a and under the road class c . Considerable temporal and spatial variability in the hourly speeds across road links remained due to the limited data compared with the vast entire road network. For example, the coefficients of variation for the hourly speeds of arterial roads in the MP were 48%, 40%, and 48%, respectively, during a morning rush hour (14 road samples, 8 a.m.), a noontime hour (16 road samples, 12 noon), and an evening rush hour (13 road samples, 6 p.m.) within a single investigation day. In other cities or regions where intelligent

1 transportation systems (ITS) are developed, we suggest the application of ITS-informed traffic data to
2 better capture the temporal and spatial traffic heterogeneity among various road links.

3 To validate the speed profiles, we observed variations in average hourly speeds by area and road
4 class for LDPVs as an example, which were aggregated by link-level speed profiles with traffic volume
5 data taken into account (see Fig. 3). Clearly, average hourly speeds for arterial and residential roads in the
6 MP were lower than 20 km h⁻¹ for longer than 15 hours (e.g., from 6 a.m. to 8 p.m.), indicating extremely
7 congested traffic conditions. In particular, average hourly speeds during the evening rush period (e.g., 6
8 p.m. and 7 p.m.) were even less than 15 km h⁻¹, which corresponded to the officially released data. In the
9 TCC, where traffic is less populated, average hourly speeds for arterial and residential roads were
10 significantly higher than those in the Macao Peninsula, ranging from 20 km h⁻¹ to 40 km h⁻¹ except for the
11 6 p.m. hour. On the other hand, we could also observe differences of aggregated daily speed among various
12 vehicle classifications (see Fig. S6). For example, average daily speed of taxis was 24.0 km h⁻¹, higher
13 than the 21.7 km h⁻¹ of LDPVs, due to higher traffic volume fraction of taxis in the night time when there
14 were usually free traffic flows. Similarly, average speed of HDTs was 27.0 km h⁻¹, topping all vehicle
15 classifications, because their traffic volume fraction was significantly higher in the TCC compared to the
16 MP.

18 **2.4 Emission factor development and the integration with traffic data and vehicle age distribution**

19 We initiated a comprehensive measurement program of collecting real-world emission profiles since
20 2010, in order to establish and update a localized emission factor model for vehicles in Macao (e.g., the
21 EMBEV-Macao model). So far, more than 60 typical vehicles, LDPVs, taxis, PBs, LDTs and HDTs, have
22 been measured on road by using a portable emission measurement system (PEMS). Furthermore, a large-
23 scale remote sensing vehicle emission measurement project was conducted during March and April 2008,
24 which enabled the collection of fuel-based emission factors for MCs in Macao. Detailed experimental
25 section in Macao and the measurement results are documented in several of our previous papers regarding
26 gasoline, diesel and more advanced vehicles (e.g., hybrid electric vehicles) (Hu et al., 2012; Wang et al.,
27 2014; Zhang et al., 2014b; Zhou et al., 2014; Wu et al., 2015a and 2015b; Zheng et al., 2015). We
28 developed an emission factor model, the EMBEV-Macao model, with reference to the modeling
29 framework and methodology of the EMBEV model which is originally developed for the vehicle fleet in
30 Beijing (Zhang et al., 2014a). Technically, these two emission measurement methods (PEMS and remote
31 sensing) have their own useful features and practical limitations for developing emission factors. As for

1 the PEMS testing, it could provide accurate measurement of real-world emissions for an entire trip for
2 each vehicle. However, the PEMS method usually collects limited vehicle samples due to the expensive
3 and time consuming experimental process. In contrast, the remote sensing method could collect large-
4 sized vehicle samples so it is capable of presenting the emission trends over a wide spectrum of model
5 years and vehicle conditions (Zhou et al., 2014; Bishop et al., 2012). However, the short test duration and
6 limited test sites of remote sensing measurements are also questioned for the representativeness of vehicle
7 emissions (Lee and Frey, 2012; Chen and Borcken-Kleefeld, 2015). Thus, we attempted to use the
8 advantage of each measurement method to develop local emission factors. Tasking the gasoline LDPVs
9 for example, the remote sensing results indicated that vehicles with model year (MY) later than 2004 have
10 consistently lower gaseous emissions (Zhou et al., 2014), which were comparable to those of modern
11 vehicles complying with the Euro 5 emission standard (Zhang et al., 2014a). We assumed these post-MY
12 2004 gasoline LDPVs as one vehicle age group, and apply the basic emission parameters of the Euro 5
13 for the post-MY 2004 gasoline LDPVs in Macao (e.g., basic emission factors, deterioration rates) with
14 additional modifications. First, we developed localized speed correction curves based on a micro-trip
15 method for each vehicle classification to integrate vehicle emission factors and traffic conditions at the
16 link-level (Zhang et al., 2014b and 2014c; Wu et al., 2015). Second, we used the PEMS results to derive
17 the extra emissions in the start stage, and modified the start emission parameters (e.g., gram per start).
18 Third, the EMBEV-Macao model enables us to correct impacts of local temperature, fuel quality, air
19 conditioning usage, and other aspects to the real conditions. For example, the sulfur content of gasoline
20 and diesel were approximately 90 ppm and 15 ppm during 2010. In addition, the original EMBEV model
21 has already developed detailed distribution functions of emission factors, which can address the effect of
22 high emitters. It is noted several vehicle fleets have limited PEMS or dynamometer test data in China (e.g.,
23 MC), we developed their emission factors mainly based on the remote sensing results (Zhou et al., 2014).

24 Considering that there was no significant policy influencing traffic flow composition during 2008-
25 2010, we estimated detailed traffic fraction by fuel type and vehicle age for each vehicle classification
26 based on the vehicle information database from the 2008 remote sensing project (Zhou et al., 2014). It
27 should be noted that some vehicle classifications have a single fuel type; e.g., gasoline for MCs and diesel
28 for PBs. By contrast, other vehicle specifications like engine displacement have a more important effect
29 on real-world emissions. Therefore, we also derived the on-road traffic volume split ratios by engine
30 displacement for MCs and PBs (refer to the footnote of Table 2). Table 2 illustrates the detailed traffic

1 volume fraction by vehicle age and fuel type (or split by engine displacement for MCs and PBs) for each
2 vehicle classification.

3

4 **2.5 Modeling dispersion of vehicular air pollutants**

5 Urban air quality models are commonly used to estimate the spatial distribution of vehicular
6 pollutants by simulating their chemical and physical processes in the atmosphere within urban areas.
7 Holmes and Morawska (2006) classified dispersion models into Box models, Gaussian models,
8 Lagrangian models, Computational Fluid Dynamic (CFD) models. Currently, Gaussian models are
9 recommended by the environmental protection agency of most countries all over the world.

10 The AMS/EPA regulatory model (AERMOD) is a steady state Gaussian plume dispersion model
11 which is recommended by U.S. EPA (U.S. EPA, 2004). The modeling system consists of one main
12 program (AERMOD) and two pre-processors (i.e., AERMET and AERMAP). In addition, calculating
13 urban boundary layer parameters and considering urban heat island effect makes AERMOD sensitive for
14 local meteorological conditions. Recently, several studies have investigated the integration performances
15 of the traffic simulation model, vehicle emission model and the AERMOD model. For example,
16 Vallamsundar and Lin (2012) integrated MOVES and AERMOD models to simulate the PM_{2.5} hotspot
17 cases of typical roads in U.S. cities (i.e., study domain area of ~0.5 km²) and provided some implications
18 based on sensitivity analysis, such as narrowing the data gap between traffic, emissions and air quality
19 models and further investigation of important local input data (e.g., traffic composition, fleet age
20 distribution). Misra et al. (2013) also integrated a traffic simulation model, a vehicle emission model and
21 the AERMOD model to estimate traffic-related pollution in downtown Toronto (i.e., study domain area
22 of ~0.5 km²). It should be noted that, in those previous investigations at near-field level (Zannetti, 1990),
23 the AERMOD simulated vehicular emissions as a series of point sources which approximate a traffic lane.

24 Considering a significantly larger study area, higher road density and the scarcity of metrological
25 data and surrounding building profiles in a sufficiently fine resolution, we divided the study domain into
26 a grid of 350 square cells (500 m×500 m). Aggregated hourly vehicular emissions of major pollutants
27 (e.g., CO and PM_{2.5}) from all road links in each grid are used as the input data for the AERMOD. The
28 receptors are placed at central points of all cells at a height of 2.0 m. In terms of the geographic data and
29 the altitude information is obtained from the Google Earth. Building downwash effects are simulated by
30 the AERMOD. In our study, we model the weekdays of November 2010 when rainy days were much
31 fewer compared to other months. Hourly meteorological profiles from two monitoring sites located in MP

1 and TCC respectively, including temperature, wind direction, wind speed, relative humidity and air
2 pressure are provided by the Department of Metrological Services in Macao. The northeasterly winds are
3 prevailing during that month, supplemented by a minor part of northerly and easterly winds (see Fig. S7).

4 It is noted that the AERMOD has the function of simulate the dispersion of NO_x as well as the
5 oxidation process from freshly emitted NO to ambient NO₂ with simplified chemical mechanisms (U.S.
6 EPA, 2015). For example, the AERMOD considers NO conversion to NO₂ by reaction with ambient ozone
7 (i.e., NO + O₃ → NO₂ + O₂, which is used by both two EPA Tier 3 methods such as OLM and PVMRM)
8 (U.S. EPA, 2015; Podrez, 2015). However, the NO/NO₂ conversion module of the AERMOD is developed
9 based on simplified mechanism and regressions using historical monitoring data, which may have several
10 limitations compared to actual complex chemistry. First, there are numerous other reactions that would
11 further oxidize NO₂ to other NO_y species (e.g., nitrate radical, nitrate acid, peroxyacyl nitrates), and
12 Pollack et al (2012) suggest that the production of these NO_y species may differ by period (e.g., daytime
13 vs. nighttime; weekdays vs. weekends). However, these reactions removing NO₂ from the atmosphere
14 have not been considered by the AERMOD. Second, this basic chemical reaction in the AERMOD (NO
15 + O₃ → NO₂ + O₂) is simply assumed to be instantaneous and irreversible on hourly basis (U.S. EPA,
16 2015). The conversion ratio is greatly dependent on the ambient ozone concentration (both OLM and
17 PVMRM) and the estimated mixing status of ambient ozone in the plume (PVMRM). However, the spatial
18 distribution of ambient ozone concentration in a city is highly heterogeneous (Murphy et al., 2007), which
19 is a substantial hurdle to assure the simulation accuracy over a city-level area. For these reasons, we didn't
20 include the NO₂ simulation results in the manuscript and according have a discussion on this issue in later
21 section.

22 23 **3. Results and discussion**

24 **3.1 Estimated traffic activity and vehicle emissions**

25 Table 3 presents spatially-explicit traffic counts during a typical weekday and an evening rush hour
26 (i.e., 6 p.m.), respectively. More than 80% of total daily traffic counts were concentrated in the MP, 160%
27 higher than the overall average of Macao. In particular, the Saint Antony Parish with internationally-
28 renowned tourist attraction (e.g., the Ruins of St. Paul's) had a top hour-based density of daily traffic
29 volume as a result of its substantial population density. Furthermore, traffic activity (unit veh km h⁻¹ or
30 veh km d⁻¹) can be estimated as the product of traffic counts and link length, namely $TV_{h,l,v}$ and L_l (see

1 Equation 1), which is an essential indicator of vehicle-use intensity. Estimated daily traffic activity of
2 Macao's total vehicles in a typical weekday of 2010 is 4.04×10^6 veh km d⁻¹ (see Table S1). LDPVs and
3 MCs rank first and second among all vehicle classifications, accounting for 43% and 30% of total daily
4 traffic activity in Macao. Therefore, fleet-average daily vehicle kilometers travelled (VKT) of LDPVs and
5 MCs during weekdays of 2010 are 20.8 km and 11.7 km, respectively. If we ignore potential difference
6 between weekdays and weekends, fleet-average annual VKT of LDPVs and MCs registered in Macao are
7 7600 km and 4300 km as of 2010, which are quite comparable with our previous survey results. Those
8 values could be only responsible for traffic demand within Macao, considering a part of LDPVs travel
9 cross the boundary of the Macao SAR into Mainland China. It is worth noting that annual VKT of LDPVs
10 registered in Macao is significantly lower than those of Beijing and Guangzhou (Zhang et al., 2013 and
11 2014a). The major reason is the scale of Macao is much smaller than those megacities of Mainland China
12 (e.g., Beijing, Guangzhou), approximately 15 km from the northernmost parish in MP to the Coloane
13 Island. Since fewer MCs drive on the cross-sea bridges, a major part of MCs' traffic activity (note: in
14 particular for light-duty two-stroke MCs) is largely limited within MP or TCC. Therefore, traffic activity
15 of MCs is lower than LDPVs although with higher traffic counts, whose estimated annual VKT is
16 comparable to the value in Mainland China (e.g., 5000~6000 km) (Zhang et al., 2013 and 2014a).

17 Table 4 presents estimated average distance-specific emission factors of major air pollutants by
18 vehicle classification and fuel type for that typical weekday in Macao during 2010. Average CO and THC
19 emission factors for gasoline powered LDPVs in Macao are significantly lower by 57% and 30%,
20 respectively, compared to those of gasoline LDPVs registered in Beijing, although the average driving
21 speed of LDPVs in Macao is lower than Beijing (e.g., ~22 km h⁻¹ vs. 30 km h⁻¹). A major reason for that
22 estimation is a majority of the gasoline cars are imported from Japan, where vehicle emission standards
23 are in general more stringent than those implemented in Mainland China (Wang et al., 2014). By contrast,
24 compared to gasoline taxis in Beijing, diesel engines applied in the taxi fleet in Macao led to significantly
25 higher NO_x and PM_{2.5} emission factors by 3.5 times and 17 times (Hu et al., 2012; Zhang et al., 2014a).
26 For heavy-duty trucks and buses, lower speed and a higher proportion of older vehicles result in higher
27 NO_x and PM_{2.5} emission factors for those heavy-duty diesel vehicles in Macao than those in Beijing. For
28 MCs, in particular light-duty two-stroke MCs, their fleet-average THC emission factors are significantly
29 higher than other vehicle technology types (Zhou et al., 2014).

30 Estimated total vehicular emissions in a typical weekday during 2010 are 16.8 tons of CO, 3.58 tons
31 of THC, 5.00 tons of NO_x and 0.28 tons of PM_{2.5}. As Fig. 4 illustrates, emission allocation patterns by

1 vehicle classification are different for various pollutant categories. Compared to well-controlled CO and
2 THC emission factors of LDPVs, MCs are estimated to have been responsible for 69% and 72% of total
3 vehicular emissions for CO and THC respectively. In particular, two-stroke MCs contribute 45% of total
4 THC vehicular emissions, which led Macao government to initiate a replacement of two-stroke MCs with
5 small-size four-stroke MCs after 2010. Further, a possible promotion of electric MCs in Macao is also
6 under consideration by policy-makers in Macao. For both NO_x and PM_{2.5}, diesel-powered passenger fleets
7 contributed 60~65% of total vehicular emissions, including PBs, taxis and HDPVs mainly owned by hotels
8 and casinos. By contrast, diesel trucks contributed approximately 15% to 20% of total NO_x and PM_{2.5}
9 emissions in Macao, substantially lower than the contribution of diesel trucks registered in other populated
10 cities of China (e.g., 30~35% for Beijing and Guangzhou) (Zhang et al., 2013 and 2014a). This
11 phenomenon should be attributed to the significantly higher passenger transportation demand than freight
12 transportation in Macao, as tourism and entertainment industry is the pillar of the local economy. Our
13 results clearly suggest policy-makers in Macao should carefully focus on various vehicle classifications
14 when facing emission mitigation targets for various air pollutants.

15 For CO₂ emissions, unfavorable operating conditions like lower driving speeds and frequent use of
16 air-conditioning systems resulted in substantial climate and energy penalties for passenger vehicles (e.g.,
17 LDPVs, taxis, PBs). For example, the estimated average CO₂ emission factor of LDPVs is 263 g km⁻¹ (see
18 Table 4), a significant increase of approximately 25% compared to on-road measurement results under a
19 higher average speed (205~210 g km⁻¹ at 30 km h⁻¹). This is equivalent to ~13 L per 100 km fuel
20 consumption, indicating a substantial increase of CO₂ and fuel consumption under real-world driving
21 conditions than those measured under the type-approval conditions applied in current regulatory systems
22 (e.g., both Japan and Europe). Overall, the estimated total CO₂ emissions from all vehicle classifications
23 and all road links are 1001 tons during a typical day. LDPVs, PBs and taxis are estimated to have been
24 responsible for 46%, 14% and 12% of total daily CO₂ emissions, respectively (see Fig. 4), ranking in the
25 top three among all classifications.

26 Our previous evaluation indicates estimated macro uncertainty (i.e., annual emission inventory by
27 using registration data) for air pollutants (e.g., CO, THC, NO_x and PM_{2.5}) is approximately -30%/+50%
28 at a 95% confidence level (Zhang et al., 2014a). The skewed probability distribution is due to high emitters
29 of air pollutants within the fleet. The uncertainty in CO₂ emissions would be narrower due to detailed
30 localized vehicle information and fuel economy data are used in estimation, plus it is strongly corrected
31 by average speed. It is noted that the Macao SAR is a relatively closed island city with special broader

1 controls (e.g., road transport to Mainland China). Only the vehicles issued with special license plates in
2 the Macao SAR and Guangdong province (i.e., two license plates) can be driven across the border. This
3 circumstance offers an opportunity to validate the gasoline fuel CO₂ emissions with the statistical fuel
4 consumption record, since almost all the gasoline fuels are consumed by on-road vehicles in Macao. Our
5 emission inventory estimated that total gasoline consumption by on-road vehicles in Macao would be 180
6 t during a typical weekday of 2010 (note: the carbon mass fraction is assumed 0.87). If using this value as
7 the daily average through 365 days in a year, total gasoline consumption would be 65.7 kt in 2010,
8 compared to a statistical consumption amount of 81.7 thousand m³ (approximately 60 kt). The relative
9 bias is within a reasonably narrow range (~10%) and can be attributed to two major reasons. First, the
10 yearly estimation of gasoline consumption (65.7 kt) assumed the same vehicle activity on weekdays and
11 weekends. The vehicle activity on weekends might be probably less than that on weekdays due to the
12 absent commuting demand. Second, the gasoline price in Guangdong province was lower by
13 approximately 20% than Macao during 2010, which could be an important incentive for the users of those
14 LDPVs with two license plates to choose refilling their vehicles in Guangdong while using in Macao. For
15 the diesel sector, the statistical data don't specify the amount consumed by on-road vehicles, and non-road
16 engines would contribute substantially to the total diesel use in Macao. We suggest further validation be
17 conducted if the on-road diesel consumption amount is available, since diesel vehicles could considerably
18 account for total NO_x and PM_{2.5} emission even their traffic fractions are at a low level (Dallmann et al.,
19 2013). We could address the uncertainty in link-level vehicle emissions with the traffic big data (see the
20 discussion in the next sub-section) available for typical roads in the future.

21 22 **3.2 Temporal and spatial variations in traffic-related emissions**

23 High strong correlations between temporal variations in traffic activity and emissions are clearly
24 observed for all air pollutants and CO₂ ($R^2 > 0.92$, see Fig. 5). For example, the 6 p.m. hour contributed
25 6.9% of total daily traffic activity, when hourly emissions of gaseous species (CO, THC, NO_x and CO₂)
26 were responsible for 7.9%~8.7% of their daily emissions. This was because emission factors of gaseous
27 pollutants and CO₂ were increased during the rush hours due to lower driving speed. The increases were
28 15%~26% for their emission factors compared to the daily averages. Compared with the night time,
29 average gaseous emission factors of the total fleet were increased by 51%~120%. The elevation of PM_{2.5}
30 emissions in the rush hour was not as significant as gaseous species, because the traffic demand of diesel

1 fleets (e.g., HDPVs, taxis, PBs, trucks) was increased less relative to gasoline fleets (e.g., MCs, LDPVs)
2 in Macao.

3 Spatial distributions of vehicular emissions are associated with real-world traffic characteristics
4 including total traffic counts, traffic conditions and fleet composition. To sum up, 58% of NO_x, 52% of
5 PM_{2.5} and 59% of CO₂ vehicular emissions were estimated from the road network of the MP (see Fig. 6
6 for NO_x, Fig. S8 for other pollutants and Table S2 for the summary of spatial distribution). Meanwhile,
7 76% of CO and 78% of THC emissions were aggregated from on-road vehicles within the MP. The
8 discrepancy of emission spatial allocations between CO/THC and NO_x/PM_{2.5}/CO₂ is primarily because
9 the higher fleet penetration of MCs in the MP. That is to say, relative inaccuracy associated with emission
10 spatial allocation by the top-down approach could be up to 20% if real-world fleet composition
11 information is not taken into account. By contrast, the spatial allocations of NO_x, PM_{2.5} and CO₂ at three
12 cross-sea bridges were estimated to be higher by approximately 55~110% than CO and THC, because the
13 traffic volume fraction of MCs was significantly lower than in other regions, in particular compared with
14 the MP.

15 Detailed statistical profiles of spatial-related vehicular emission are summarized by length-specific
16 emission intensity of road groups and area-specific emission intensity of gridded cells (see Table 5 and
17 Table 6). Higher length-specific emission intensities of CO and THC are unexpectedly identified on
18 arterial roads in the MP with less traffic accounts compared with their urban freeway counterparts, owing
19 to higher traffic activity of MCs and more severe traffic congestion increasing all-fleet emission factors.
20 For NO_x, PM_{2.5} and CO₂, higher length-specific emission intensities are all associated with higher level
21 of service for the three road classes, both in the MP and the TCC. Area-specific emission intensities of all
22 pollutants and CO₂ had decreasing trends from north to south (i.e., from the MP to the Coloane Island),
23 similar to the patterns of road density and traffic demand. Emission hotspots are identified in traffic-
24 populated cells of the MP, e.g., the region close the Ruins of St. Paul's, where daily area-specific emission
25 intensity of NO_x was as high as 600 kg km⁻² d⁻¹. This level is ~4 times of that in the entire Macao and ~40
26 times of the Coloane Island. Not surprisingly, significant near-field air pollution problems in MP are
27 caused by those extremely higher vehicular emissions due to higher traffic activity density and more
28 significant traffic congestion.

29 It should be noted that increasingly broad application of an intelligent traffic system (ITS) and smart
30 vehicle technologies can play a significant role in improving our understanding of dynamic traffic flows,
31 namely enabling the big data collection regarding total traffic volume, fleet composition and traffic

1 conditions (e.g., speed). For example, the traffic loop detector (TLD) and the vehicle license plate
2 recognition (VLPR) are both widely-used and economic ITS technologies that began in the early 2000s in
3 China and are integrated to provide category-informed vehicle volume, on which many cities in China
4 (e.g., Beijing, Guangzhou) depend to release official data including year-by-year variations in total urban
5 traffic demand (BJTRC, 2013; Zhang et al., 2013). The traffic loop detector is able to provide vehicle
6 passing speed, however, which is often criticized due to the limited coverage for the entire trips or entire
7 traffic network. The floating car system, namely using the taxi fleet as probe vehicles based on GPS
8 technology, is an advanced monitoring tool for real-time traffic conditions. Taking Beijing for example,
9 its floating car system is capable of mapping link-based traffic conditions for the urban area (~1000 km²)
10 every five minutes based on 66 thousand taxis and mesh urban average speed layer down at a link level.
11 During 2012, 24-h average speeds of the urban area of Beijing were estimated at 23.2 ± 2.3 km h⁻¹ for
12 weekdays and 26.9 ± 3.9 km h⁻¹ for weekends and holidays, respectively (BJTRC, 2014; Zhang et al.,
13 2014a and 2014b). Therefore, daily variations in traffic conditions could result in a coefficient of variation
14 (i.e., the ratio of standard deviation to mean value) of 6% for the distance-specific CO₂ emission factor all
15 year around in Beijing. The speed correction applied for this variation estimation is also applicable to the
16 Macao's road network. If the evaluation level is refined into a link-level, the variability and uncertainty
17 in vehicle emissions would be greater due to traffic flows became inherently greater as the spatial
18 resolution was enhanced. For example, the variations in hourly speeds of arterial roads in the MP could
19 led to variations (e.g., one standard deviation, namely 40% in the noon time and 48% in the rush hours)
20 in fleet-average CO₂ emission factors of gasoline LDPVs of approximately -20% to 45% during the noon
21 time and -25% to 60% during rush hours relative to the average CO₂ emission factor levels. In terms of
22 total vehicle emissions, it would be further complicated since the traffic volume is inherently associated
23 with the level of service (e.g., speed) in reality. Most recently, the radio frequently identification (RFID)
24 technology has been applied in a few Chinese cities (e.g., Nanjing, the capital city of Jiangsu province) to
25 provide more accurate vehicle recognition with detailed specifications (e.g., category, fuel type, emission
26 standard, model year, and vehicle size) than the TLD and the VLPR. The RFID data in Nanjing are further
27 connected with a smartphone application, based on which more capabilities like environmentally-
28 constrained traffic management (e.g., low emission zone, congestion fee program) could be developed in
29 the future. From the perspective of vehicles, for instance, more real vehicle data can be accessed through
30 the on-board diagnostic (OBD) decoders. The second-by-second data of driving conditions (e.g., speed,
31 acceleration) are able to be combined with operating mode-based (e.g., VSP-informed) emission model

1 to provide finer emission estimations. While foregoing advanced traffic data collection methods (e.g.,
2 TLD, RFID, taxi fleet based floating car system) are not available in Macao, the framework of this study
3 is technically feasible to large cities in China when the traffic big data are adequately available.
4

5 **3.3 Simulated concentrations of primary traffic-related pollutants in Macao**

6 Fig. 7 presents a spatial map of average concentrations of primary vehicle-contributed CO (see PM_{2.5}
7 in Fig. S9), which shows the simulated results of all receptors (i.e., central points of cells) with the
8 AERMOD model. The spatial variations in simulated concentrations highly resemble the patterns of area-
9 specific emission intensity for vehicular pollutants. For example, average concentrations contributed by
10 local vehicular emissions in Macao were $86.1 \pm 89.4 \mu\text{g m}^{-3}$ of CO and $1.30 \pm 0.91 \mu\text{g m}^{-3}$ of PM_{2.5},
11 respectively (see Table 7). Highest receptor concentrations of CO and PM_{2.5} are 415 and 4.42 $\mu\text{g m}^{-3}$,
12 respectively, all occurring at traffic-populated cells in the MP.

13 We further compared modeled concentrations of primary pollutants from local vehicles and official
14 air quality data. Traffic contributions at the monitoring sites are approximated by simulated results for
15 their closest receptors as to estimate monthly-average source proportions of on-road vehicles in Macao.
16 Therefore, source proportions vary from pollutant categories and locations during the time framework of
17 this study. For example, estimated proportions of vehicular CO emissions are ~25-30% in the MP and
18 ~15% in the Taipa Island, indicating lower impacts compared to regional contributions. With regard to
19 PM_{2.5}, estimated proportions of primary vehicular PM_{2.5} emissions are minor, since the atmospheric
20 secondary PM_{2.5} considerably contributed by vehicle emissions is not considered in this study, which need
21 to be applied with a very detailed regional emission inventory including all anthropogenic emission
22 sources and complex air quality models with sophisticated source apportionment functions. This is beyond
23 the scope of this paper. We acknowledge two aspects of uncertainty regarding the AERMOD simulation.
24 First, the strong street-canyon effects in the building-dense MP which are not sophisticatedly addressed
25 by the AERMOD. Tang and Wang (2007) coupled the OSPM model and detailed building-based
26 geography layer to simulate CO concentrations in the MP under assumed traffic scenarios to address the
27 street canyon effect. Second, the setup of 500 m×500 m cells used in the AERMOD simulation is not
28 adequate to present the concentration gradients near major roads and the fine air pollution hotspots. For
29 hotspots, advanced computational fluid dynamics (CFD)-based micro-scale air quality model coupled
30 with sophisticated gaseous chemical mechanisms and aerosol dynamics are suggested to quantitatively

1 assess potential impacts and mitigation strategies from perspectives of traffic flows, weather conditions
2 and architecture layout (Tong et al., 2011).

3 Usually, ambient NO₂ pollution in the urban area has strong associations with traffic emissions. In
4 Macao, the ambient NO₂ concentration exceedance of the 40 µg m⁻³ level were seen in Macao. However,
5 as we note in the methodology section, we don't include the NO₂ results in the manuscript due to major
6 model limitations of AERMOD (e.g., instantaneous time framework of the basic reaction, inadequate
7 spatial-resolved ambient ozone concentrations, and lacking considerations of other NO_x related chemical
8 reactions). If the Community Multiscale Air Quality (CMAQ) model, a regional scale air quality model
9 including regional transport and sophisticated chemical mechanisms, is applied to address these issues,
10 the simulated NO₂ results by using CMAQ would be significantly lower than observed concentrations
11 (see Supplementary Information). Moreover, although a fine grid setup with a 4 km × 4 km resolution is
12 used over Macao, only 6 cells would be created in Macao (note: 4 cells shared by Macao and Zhuhai
13 together, a city in Mainland China and adjacent to Macao). Thereby, advanced air quality simulation
14 technology with finer spatial resolution is required to make the use of this link-level emission inventory,
15 since the urban air quality and health impact issues could be very spatially heterogeneous because of the
16 land use policy and the topology of traffic network.

18 4. Conclusions

19 High-resolution vehicle emission inventory is a **valuable** assessment tool to achieve the fine air
20 quality administration, in particular for traffic-populated East Asian cities where traffic management is an
21 essential approach to reduce emissions. Due to the difficulties in obtaining link-level traffic flow data and
22 localized emission measurement profiles, such a dedicated environmental tool has not been developed at
23 the link-level which covers a whole city and all vehicle categories. This study selected the entire area of
24 Macao, the most populated city in this world, to demonstrate a high-resolution simulation of vehicular
25 pollution by coupling detailed local data collected and inter-disciplinary models (e.g., traffic demand).

26 Our traffic flow investigation and simulation results showed that total daily traffic activity during a
27 typical weekday of 2010 was estimated at 4.06 million veh km d⁻¹. Passenger trips using MCs, LDPVs,
28 taxis and buses were responsible for a dominant part of travel demand in Macao, accompanied by a **smaller**
29 traffic fraction of on-road freight transportation (e.g., trucks) than other cities in Mainland China. Spatial
30 heterogeneity of traffic flow characteristics has been discerned between the MP and the remaining parts
31 (i.e., the TCC) of Macao. For example, the MP contributed over 80% of total traffic accounts in Macao

1 during a weekday of 2010 and MCs were more prevalent in this more populated peninsula compared to
2 the TCC. Tremendous travel demand created during rush hours resulted in significant traffic congestion,
3 indicated by an average speed lower than 15 km h⁻¹ for arterial and residential roads in the MP.

4 Based on a localized vehicle emission model (e.g., the EMBEV-Macao) and high-resolution traffic
5 profiles regarding traffic volume, average speed and fleet composition, this study established a link-based
6 vehicle emission inventory with high resolution meshed in a temporal and spatial framework (e.g., hourly
7 and link-level). We estimated that total daily vehicle emissions in Macao were 16.6 tons of CO, 3.58 tons
8 of THC, 5.00 tons of NO_x, 0.28 tons of PM_{2.5} and 1001 tons of CO₂ during a typical weekday of 2010.

9 The gasoline fuel CO₂ emissions based on the link-level inventory were in a good agreement with the
10 statistical gasoline consumption record in Macao. MCs are the major contributor to CO and THC

11 emissions due to their higher emission factors than LDPVs. Diesel-powered passenger fleets like buses
12 and taxis contributed 60~65% of total vehicular emissions of NO_x and PM_{2.5}. With a special focus on the
13 MP region, where traffic density and congestion are more significant, area-specific emission intensity can
14 be higher than the average of the entire Macao area by 135% for CO, 145% for THC, 85% for NO_x, 65%
15 for PM_{2.5} and 90% for CO₂. The geographic discrepancy of spatial allocation between THC and PM_{2.5}
16 emissions can be attributed to the spatially heterogeneous vehicle-use intensity between MCs and diesel
17 fleets (e.g., higher use intensity of MCs in the MP); and this trait could not be identified by using the
18 traditional emission inventory tool. From the perspective of temporal variations, hourly emissions of CO,
19 THC, NO_x and CO₂ during the evening traffic peak could be responsible for 7.9%~8.7% of total daily
20 emissions, when their emission factors were increased by 15%~26% compared to the daily averages due
21 to the traffic congestion.

22 We further employed the AERMOD model to quantify average concentrations of CO and PM_{2.5}
23 contributed by primary vehicle emissions in Macao. Our simulation indicated receptor-averaged
24 concentrations from primary vehicle emissions were 84.5 ± 86.1 µg m⁻³ of CO and 1.30 ± 0.91 µg m⁻³ of
25 PM_{2.5}, respectively, during the weekdays of November, 2010. The highest receptor concentrations of CO,
26 NO_x and PM_{2.5} were 415 µg m⁻³ and 4.42 µg m⁻³, respectively, all occurring at traffic-populated cells in
27 the MP. Advanced air quality simulation technology with higher spatial resolution and sophisticated
28 chemical transport mechanisms is required to make the use of the link-level emission inventory and better
29 address local air quality issues (e.g., NO₂ pollution). This paper can provide a useful case study and a solid
30 framework for developing high-resolution environmental assessment tools for other vehicle-populated
31 cities in the world. We also highlighted the importance of real traffic data using ITS techniques and the

1 traffic big data approaches to future high-resolution simulation for larger cities in the East Asia and all
2 over the world.

3
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1 Tables

2

3 **Table 1.** 24-h allocations of total traffic counts by region and road class during weekdays in Macao, 2010

Region		The Macao Peninsula			The Taipa-CoTai-Coloane Region		
Road classes		Freeway	Arterial	Residential	Freeway	Arterial	Residential
Hour	0	0.021	0.017	0.021	0.021	0.017	0.022
	1	0.013	0.014	0.013	0.013	0.014	0.013
	2	0.011	0.009	0.011	0.011	0.010	0.011
	3	0.009	0.007	0.009	0.009	0.007	0.009
	4	0.008	0.007	0.008	0.008	0.007	0.008
	5	0.008	0.008	0.008	0.008	0.008	0.008
	6	0.021	0.024	0.020	0.021	0.024	0.021
	7	0.029	0.051	0.029	0.029	0.022	0.030
	8	0.051	0.057	0.059	0.048	0.053	0.061
	9	0.048	0.054	0.048	0.042	0.052	0.051
	10	0.044	0.049	0.050	0.046	0.055	0.049
	11	0.055	0.050	0.049	0.056	0.056	0.048
	12	0.051	0.056	0.055	0.051	0.056	0.058
	13	0.059	0.062	0.061	0.062	0.064	0.062
	14	0.060	0.066	0.064	0.070	0.073	0.059
	15	0.064	0.061	0.059	0.068	0.072	0.065
	16	0.066	0.061	0.060	0.071	0.070	0.046
	17	0.066	0.066	0.059	0.065	0.069	0.069
	18	0.071	0.066	0.076	0.062	0.060	0.070
	19	0.061	0.057	0.062	0.054	0.051	0.075
	20	0.049	0.045	0.052	0.049	0.046	0.045
	21	0.048	0.041	0.052	0.048	0.042	0.050
	22	0.047	0.039	0.042	0.047	0.039	0.039
	23	0.042	0.033	0.032	0.042	0.034	0.033

4

1 **Table 2.** Summary of age allocation for on-road fleets by vehicle classification in Macao

Vehicle classification	LDPV		MC		Taxi	PB		MDPV		HDPV	LDT		HDT	
Sub-classification	G ^a	D ^b	Heavy ^c	Light ^c	D	Medium ^d	Heavy ^d	G	D	D	G	D	D	
Ratio	0.99	0.01	0.68	0.32	1.00	0.33	0.67	0.53	0.47	1.00	0.25	0.75	1.00	
Vehicle age	1	0.12	0.12	0.18	0.09	0.14	0.00	0.08	0.20	0.16	0.20	0.12	0.08	0.02
	2	0.10	0.17	0.15	0.08	0.13	0.00	0.08	0.17	0.17	0.06	0.17	0.18	0.15
	3	0.10	0.08	0.19	0.09	0.04	0.00	0.08	0.07	0.12	0.09	0.11	0.10	0.11
	4	0.10	0.11	0.14	0.07	0.06	0.00	0.18	0.06	0.02	0.10	0.03	0.09	0.04
	5	0.09	0.03	0.08	0.04	0.06	0.17	0.16	0.05	0.09	0.09	0.03	0.05	0.03
	6	0.06	0.05	0.05	0.07	0.02	0.12	0.14	0.05	0.03	0.09	0.09	0.04	0.01
	7	0.05	0.01	0.04	0.04	0.11	0.25	0.15	0.06	0.01	0.03	0.00	0.02	0.01
	8	0.05	0.02	0.04	0.07	0.16	0.05	0.05	0.08	0.01	0.05	0.05	0.02	0.00
	9	0.04	0.03	0.02	0.08	0.24	0.00	0.00	0.04	0.01	0.05	0.02	0.02	0.01
	10	0.04	0.06	0.01	0.13	0.01	0.07	0.00	0.06	0.02	0.04	0.01	0.03	0.02
	11	0.05	0.06	0.03	0.14	0.03	0.17	0.01	0.02	0.01	0.10	0.02	0.04	0.01
	12	0.05	0.04	0.02	0.06	0.00	0.00	0.03	0.03	0.01	0.04	0.01	0.04	0.02
	13	0.03	0.06	0.00	0.01	0.00	0.03	0.00	0.02	0.03	0.00	0.02	0.02	0.01
	14	0.04	0.05	0.01	0.01	0.00	0.10	0.00	0.02	0.03	0.00	0.06	0.04	0.04
	15	0.03	0.05	0.01	0.01	0.00	0.05	0.00	0.04	0.05	0.00	0.06	0.04	0.11
	16	0.02	0.02	0.01	0.00	0.00	0.00	0.00	0.03	0.04	0.03	0.04	0.06	0.16
	17	0.01	0.03	0.00	0.01	0.00	0.00	0.00	0.00	0.03	0.00	0.05	0.04	0.06
	18	0.01	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.06	0.00	0.05	0.03	0.03
	19	0.00	0.00	0.00	0.00	0.00	0.00	0.04	0.00	0.02	0.00	0.02	0.02	0.07
	20	0.00	0.01	0.00	0.00	0.00	0.00	0.00	0.00	0.07	0.02	0.03	0.05	0.08
Fleet-average vehicle age	6.7	7.3	4.4	7.2	5.8	8.6	5.5	5.7	7.9	6.0	8.1	8.1	11.4	

2 Note: ^a gasoline; ^b diesel; ^c breaking point of engine displacement 50 ml; ^d breaking point of engine displacement at 5.0 L.

1 **Table 3.** Spatially-explicit estimation of traffic counts in Macao

Region	Daily traffic counts by road class (10 ⁵ veh)			Hour-based density of traffic volume (10 ⁴ veh h ⁻¹ km ⁻²)	
	Freeway	Arterial	Residential	Daily average	Evening rush hour (6 p.m.)
Macao Peninsula	15.2	70.8	138.4	10.0	17.3
Saint Antony Parish	2.8	20.5	35.0	25.3	44.3
Taipa-Cotai-Coloane	6.9	13.9	28.8	1.0	1.5
Taipa	2.2	12.5	17.8	2.0	3.1
Cotai	3.6	1.4	7.1	0.8	1.3
Coloane	1.1		3.9	0.3	0.5
Total	22.2	84.7	170.2	3.8	6.5

2

3

1 **Table 4.** Estimated fleet-average emission factors under real-world driving conditions

Vehicle classification	Fleet-average emission factors (g km ⁻¹)					Emission measurement data sources
	CO	THC	NO _x	PM _{2.5}	CO ₂	
LDPV-Gasoline	1.74	0.34	0.28	0.006	263	PEMS ^a , RS ^b , EMBEV ^c
MDPV-Gasoline	2.80	1.78	1.03	0.030	379	RS
MDPV-Diesel	1.60	0.27	1.44	0.26	307	RS, EMBEV
HDPV-Diesel	4.76	0.25	10.9	0.48	914	RS, EMBEV
LDT-Gasoline	6.36	1.75	0.61	0.014	250	RS
LDT-Diesel	1.69	0.65	4.03	0.35	485	PEMS, RS, EMBEV
HDT-Diesel	7.40	0.94	12.3	0.95	1010	PEMS, RS, EMBEV
Taxi	0.47	0.06	0.86	0.11	192	PEMS, RS
MC-Light	7.95	4.07	0.26	0.030	39	RS
MC-Heavy	10.2	1.18	0.38	0.012	86	RS
PB-Medium	2.45	1.09	6.50	0.32	555	PEMS, RS, EMBEV
PB-Heavy	6.05	0.35	15.8	0.57	1215	PEMS, RS, EMBEV

2 **Note:** ^a PEMS measurement data in Macao; ^b Remote sensing data in Macao; ^c

3 Dynamometer or PEMS measurement data of sufficient vehicle samples involved in the
 4 original EMBEV model (Zhang et al., 2014a).

5

1 **Table 5.** Length-specific emission intensity of total vehicular emissions during a typical
 2 weekday of 2010

Region	Road class	Length-specific emission intensity (kg km ⁻¹ d ⁻¹)				
		CO	THC	NO _x	PM _{2.5}	CO ₂
Macao Peninsula	Freeway	141	28	43	2.6	9.05×10 ³
	Arterial	195	42	39	1.9	7.82×10 ³
	Residential	79	17	18	0.9	3.74×10 ³
Taipa-Cotai- Coloane	Freeway	73	12	41	2.9	7.41×10 ³
	Arterial	54	9	35	2.3	595×10 ³
	Residential	24	5	6	0.4	1.91×10 ³
Cross-sea bridges	Freeways	109	22	59	4.0	10.8×10 ³
Total	Freeway	106	20	48	3.1	9.07×10 ³
	Arterial	122	25	38	2.1	6.85×10 ³
	Residential	59	13	14	0.7	3.08×10 ³

3

4 **Table 6.** Area-specific emission intensity of total vehicular emissions during a typical
 5 weekday of 2010

Region / Parish	Area-specific emission intensity (kg km ⁻² d ⁻¹)				
	CO	THC	NO _x	PM _{2.5}	CO ₂
Macao Peninsula	1368	297	312	15.5	6.37×10 ⁴
St. Lazarus Parish	3118	681	695	33.7	12.9×10 ⁴
St. Lawrence Parish	1407	303	305	15.4	6.13×10 ⁴
Our Lady Fatima Parish	1241	271	274	13.7	5.74×10 ⁴
St. Anthony Parish	2485	546	556	26.4	11.8×10 ⁴
Cathedral Parish	784	166	199	10.4	3.86×10 ⁴
Taipa	287	52	150	9.50	2.80×10 ⁴
CoTai Reclamation Area	155	27	70	4.67	1.41×10 ⁴
Coloane	50	10	15	0.88	0.44×10 ⁴
Total land area of Macao	566	120	168	9.42	3.37×10 ⁴

6

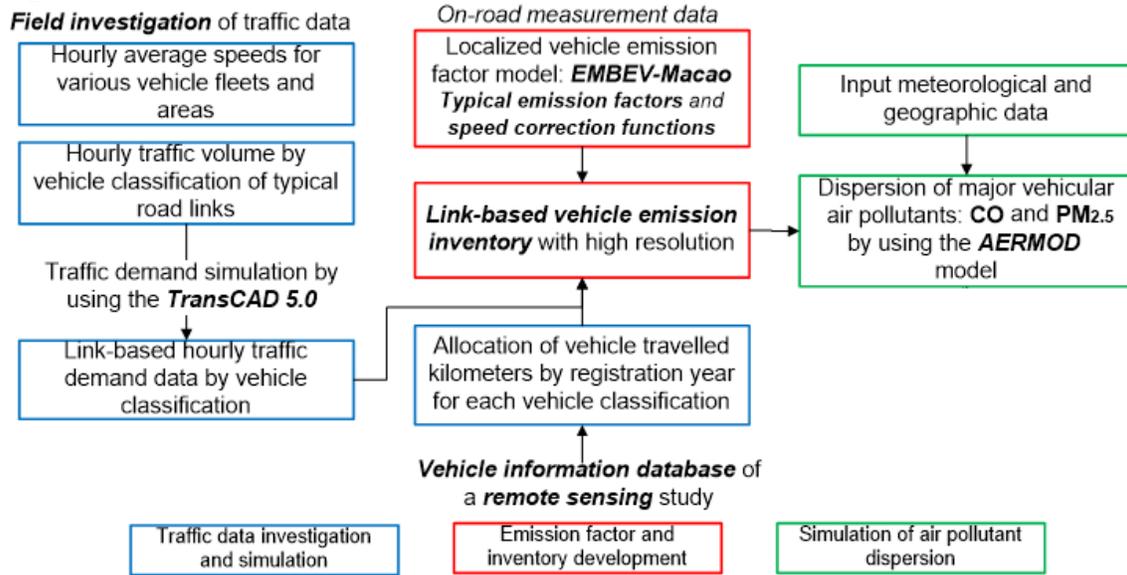
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1 **Table 7.** Simulated average contributions contributed by primarily vehicular emissions in Macao, weekdays during November 2010

Region / Parish	Simulated concentrations of primary vehicular emissions ($\mu\text{g m}^{-3}$)					
	CO			PM _{2.5}		
	Mean	Min	Max	Mean	Min	Max
Macao Peninsula	199	57.8	415	2.03	0.67	3.89
St. Lazarus Parish	330	270	415	3.14	2.59	3.89
St. Lawrence Parish	180	139	265	1.72	1.32	2.47
Our Lady Fatima Parish	171	77.8	209	1.64	0.67	3.21
St. Anthony Parish	296	223	362	2.85	2.17	3.39
Cathedral Parish	166	57.8	370	2.03	1.00	3.14
Taipa	42.1	12.6	104	1.65	0.61	2.46
CoTai Reclamation Area	37.1	11.2	63.1	1.08	0.27	2.39
Coloane	16.9	7.0	54.1	0.29	0.12	0.63
Total land area of Macao	84.5	7.0	415	1.30	0.12	3.89

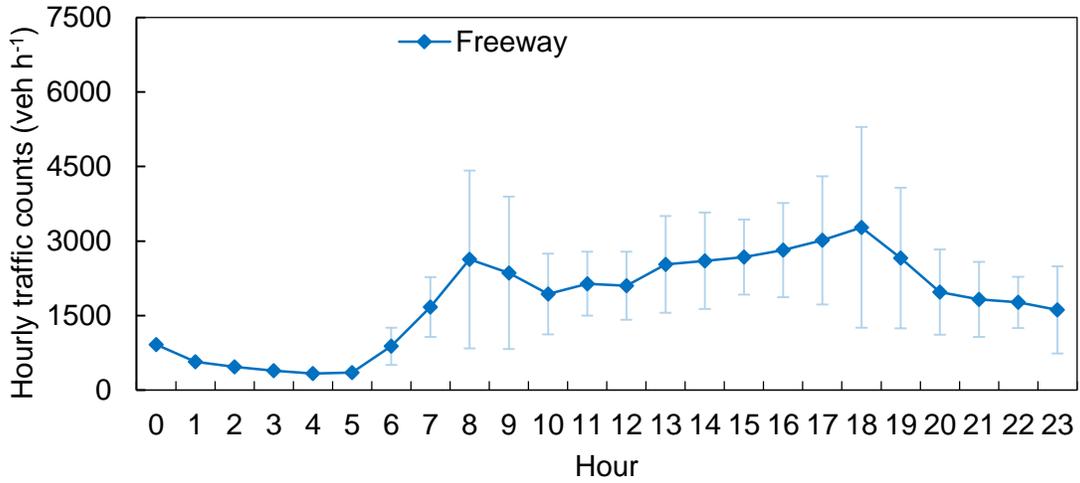
2 Note: Simulated results for November 6-8 are not accounted in this table due to the impact of rainfall. Mean, minimum and maximum
3 values are for simulated average concentrations of each receptors in each region/parish during the study period.

1 **Figures**

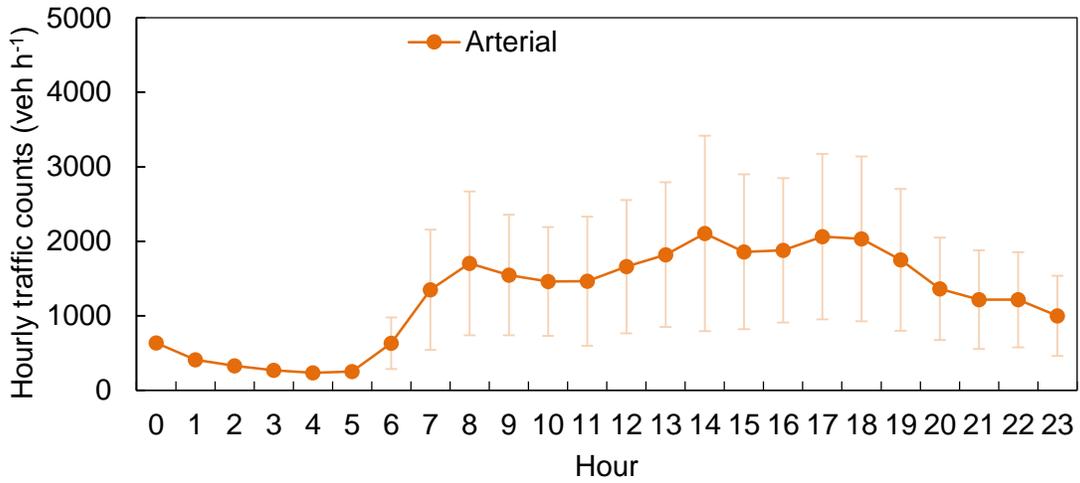


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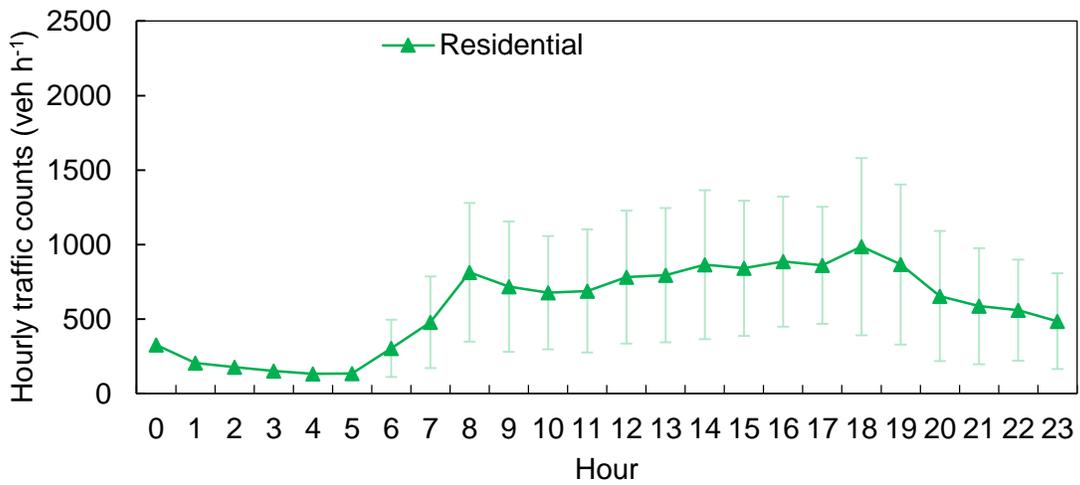
Fig. 1. Framework of high-resolution simulation for vehicle emissions and concentrations of vehicular pollutants.



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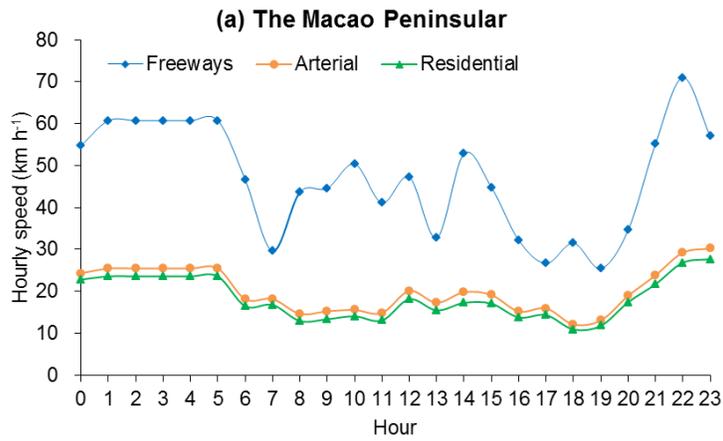


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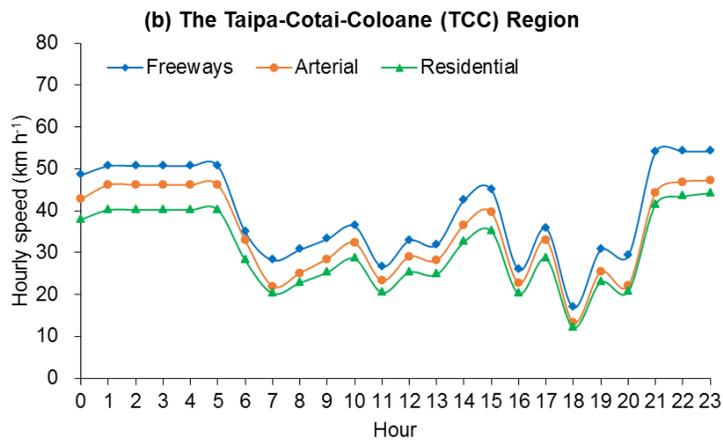
4 Fig. 2. Mean hourly traffic accounts of observed links by road class during weekdays, 2010.

5 The error bars indicate standard deviations of observed data, from 6 a.m. to 11 p.m.

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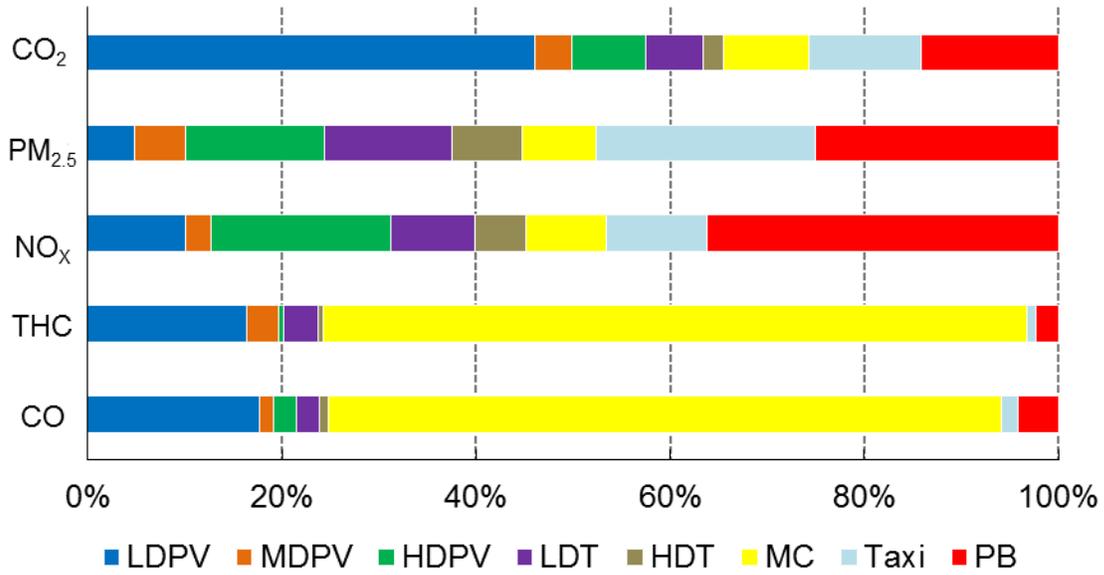
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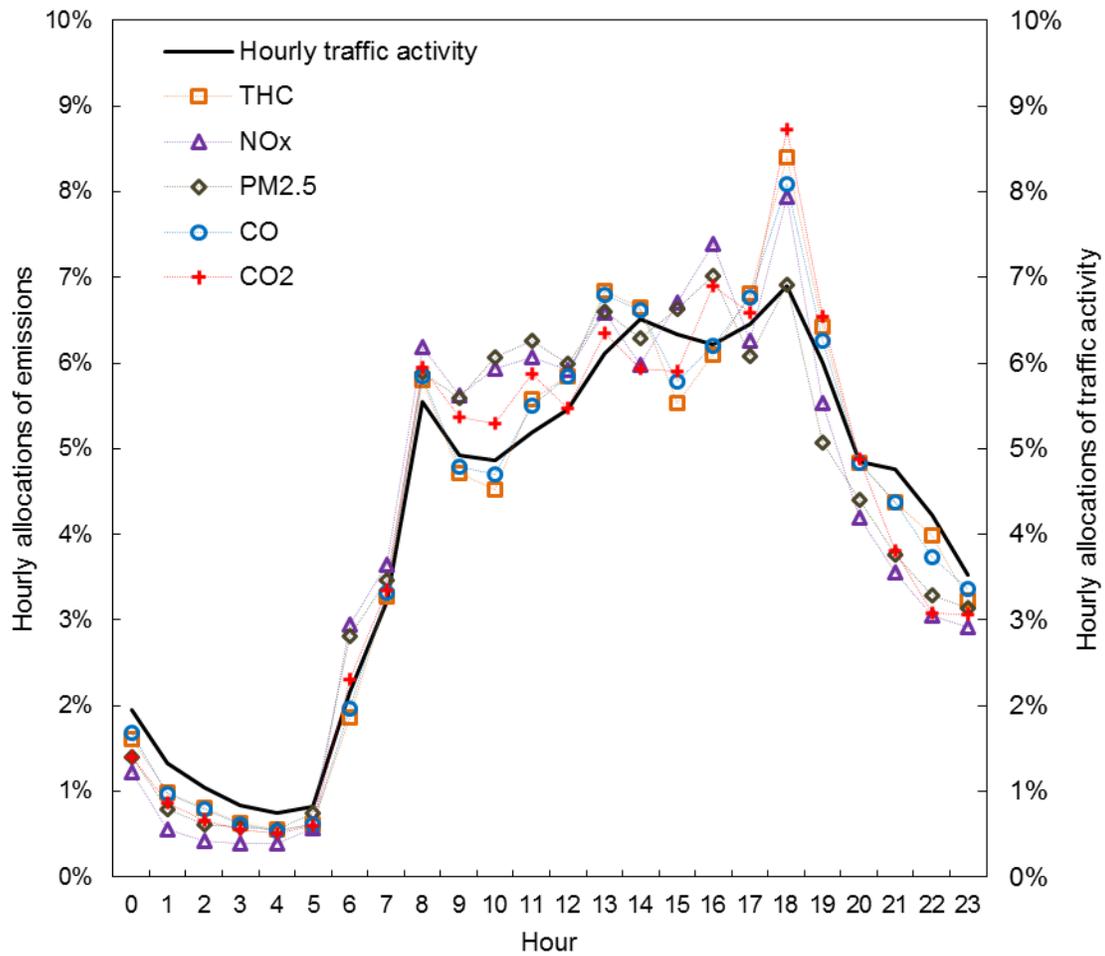
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Fig. 3. Variations in aggregated hourly speeds by road class and region for LDPVs during weekdays, 2010.

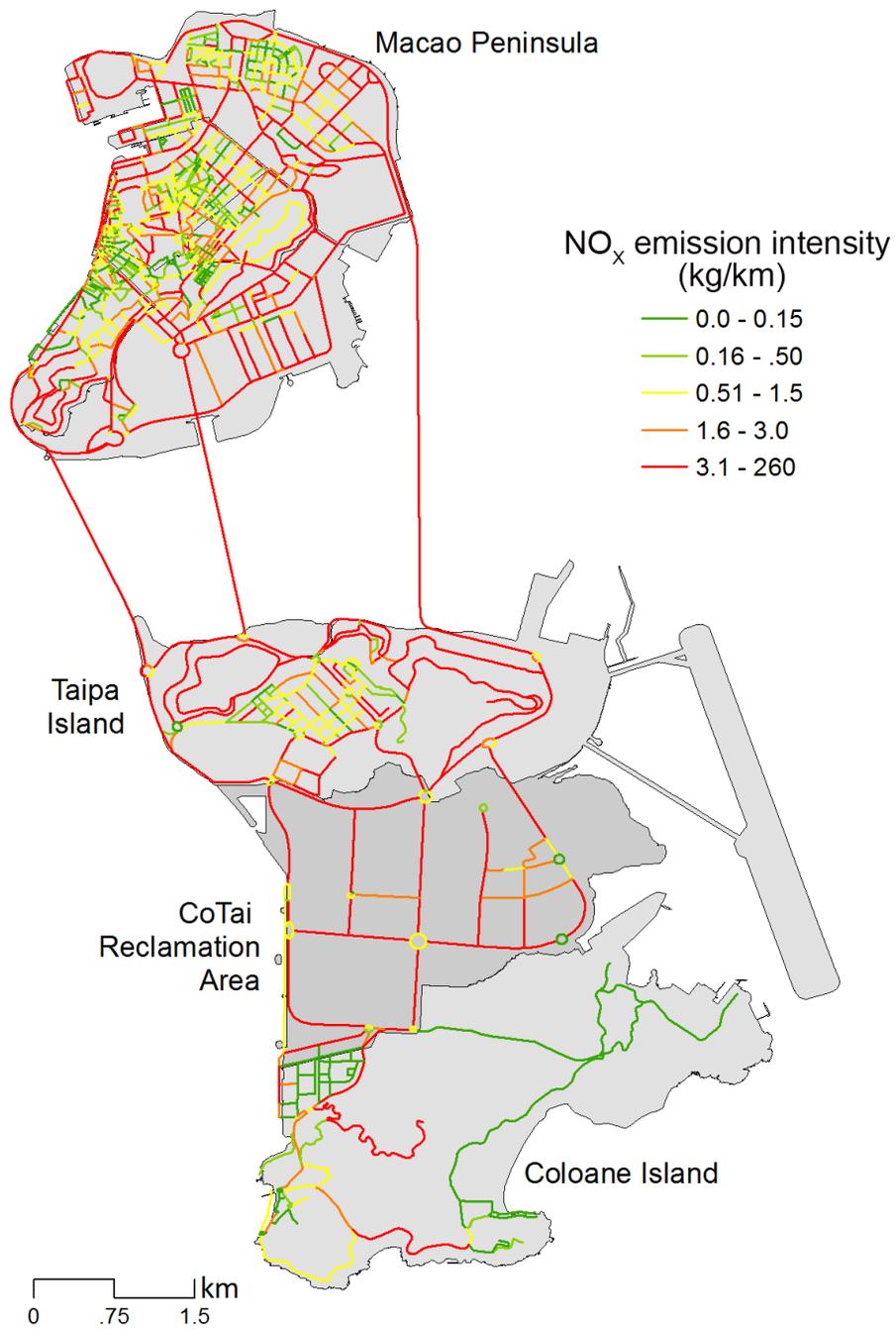


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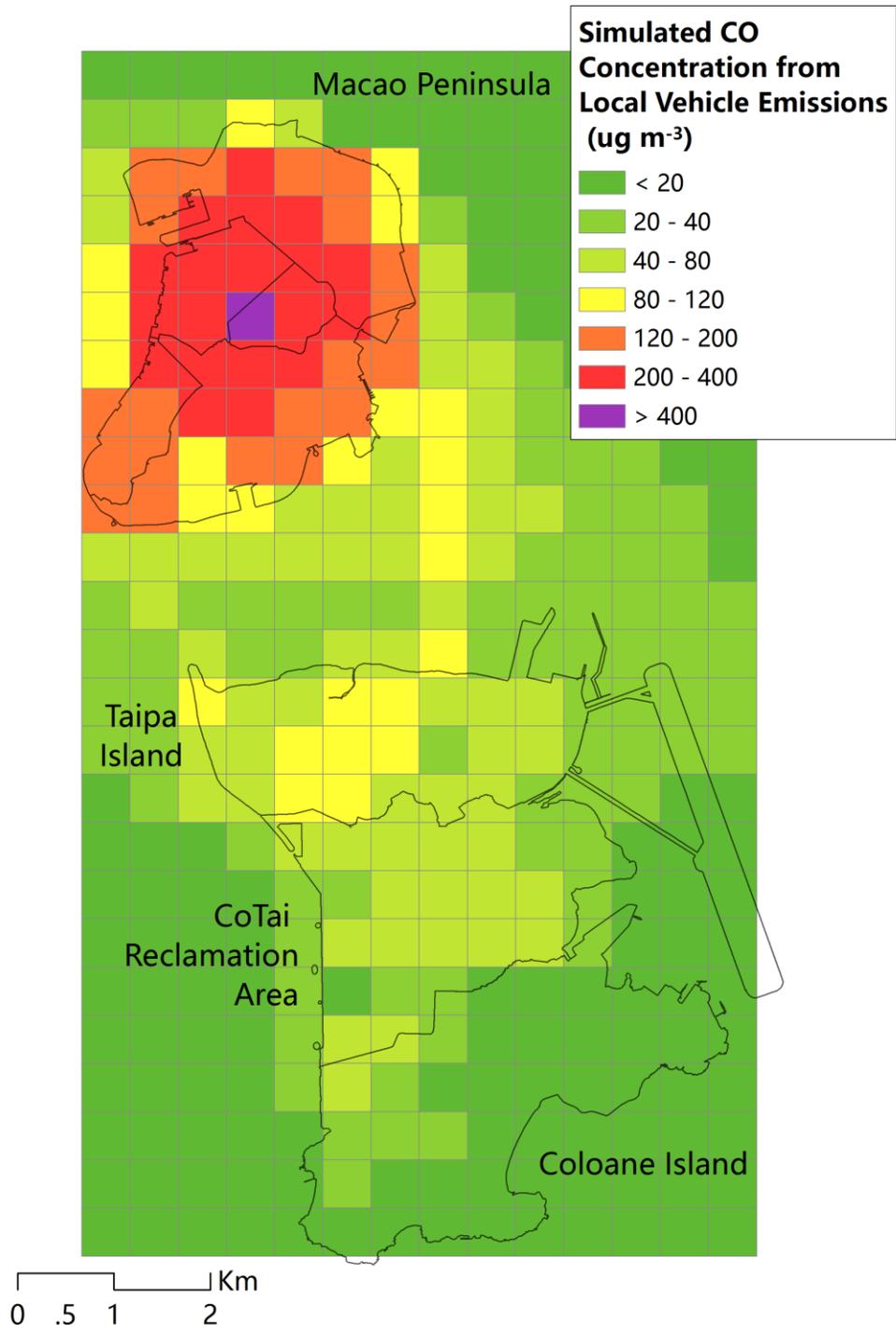
Fig. 4. Allocations of total vehicular emissions by vehicle classification



1
 2 Fig. 5. Hourly allocations of vehicular emissions and traffic activity in Macao during
 3 weekdays, 2010.
 4



1
 2 Fig. 6. The spatial distribution of NO_x emission intensity for on-road vehicles in Macao
 3 during a typical weekday of 2010
 4



1
 2 Fig. 7. Simulated vehicle-contributed concentration of CO in Macao during weekdays of
 3 November, 2010
 4
 5