



# Molecular composition and volatility of isoprene photochemical oxidation secondary organic aerosol under low and high NO<sub>x</sub> conditions

under low and high NO<sub>x</sub> conditions Emma L. D'Ambro<sup>1</sup>, Ben H. Lee<sup>2</sup>, Jiumeng Liu<sup>3</sup>, John E. Shilling<sup>3,4</sup>, Cassandra J. Gaston<sup>5</sup>, Felipe D. Lopez-Hilfiker<sup>6</sup>, Siegfried Schobesberger<sup>2</sup>, Rahul A. Zaveri<sup>3</sup>, Claudia Mohr<sup>7</sup>, Anna Lutz<sup>8</sup>, Zhenfa Zhang<sup>9</sup>, Avram Gold<sup>9</sup>, Jason D. Surratt<sup>9</sup>, Jean C. Rivera-Rios<sup>10</sup>, Frank N. Keutsch<sup>10</sup>, Joel A. Thornton<sup>2</sup> <sup>1</sup>Department of Chemistry, University of Washington, Seattle, WA, 98195, USA <sup>2</sup>Department of Atmospheric Sciences, University of Washington, Seattle, WA, 98195, USA <sup>3</sup>Atmospheric Sciences and Global Change Division, Pacific Northwest National Laboratory, Richland, WA, 99352, USA <sup>4</sup>Environmental Molecular Sciences Laboratory, Pacific Northwest National Laboratory, Richland, WA, 99352, USA <sup>5</sup>Rosenstiel School of Marine & Atmospheric Science, University of Miami, FL, 33149, USA <sup>6</sup>Laboratory of Atmospheric Chemistry, Paul Scherrer Institute, Zurich, Switzerland <sup>7</sup>Institute of Meteorology and Climate Research, Karlsruhe Institute of Technology, Karlsruhe, <sup>8</sup>Department of Chemistry, Atmospheric Science, University of Gothenburg, Gothenburg, Sweden <sup>9</sup>Department of Environmental Sciences and Engineering, Gillings School of Global and Public Health, University of North Carolina, Chapel Hill, NC, 27599, USA <sup>10</sup>John A. Paulson School of Engineering and Applied Sciences and Department of Chemistry and Chemical Biology, Harvard University, Cambridge, MA, USA Correspondence to: Joel A. Thornton (thornton@atmos.uw.edu) 

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Abstract. We present measurements of secondary organic aerosol (SOA) formation from isoprene photochemical oxidation formed in an environmental simulation chamber using dry neutral seed particles, thereby suppressing the role of acid catalyzed multiphase chemistry, at a variety of oxidant conditions. A high-resolution time-of-flight chemical ionization mass spectrometer (HRToF-CIMS) utilizing iodide-adduct ionization coupled to the Filter Inlet for Gases and AEROsols (FIGAERO) allowed for the simultaneous online sampling of the gas and particle composition. Under high  $HO_2$  and low NO conditions, highly oxygenated (O:C  $\geq$  1)  $C_5$ compounds were major components (~50%) of the SOA. The overall composition of the SOA evolved both as a function of time and as a function of input NO concentrations. As the level of input NO increased, organic nitrates increased in both the gas- and particle-phases, but the dominant non-nitrate particle-phase components monotonically decreased. We use comparisons of measured and predicted gas-particle partitioning of individual components to assess the validity of literature-based group-contribution methods for estimating saturation vapor concentrations. While there is evidence for equilibrium partitioning being achieved on the chamber residence time scale (5.2 hours) for some individual components, significant errors in group-contribution methods are revealed. In addition, >30% of the SOA mass, detected as lowmolecular weight compounds, cannot be reconciled with equilibrium partitioning. These compounds desorb from the FIGAERO at unexpectedly high temperatures given their molecular composition, indicative of thermal decomposition of effectively lower volatility components, likely larger molecular weight oligomers. We use these insights from the laboratory and observations of the same SOA components made during the Southern Oxidant and Aerosol Study (SOAS) to assess the importance of isoprene photooxidation as a local SOA source.

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1 Introduction

60 Atmospheric aerosol particles reduce visibility, adversely affect human health, and have

uncertain overall effects on global climate [Poschl, 2005], with particles smaller than 1 µm in

diameter playing important roles. Submicron particles typically contain a significant fraction of

organic material, on the order of 20-90% [Jimenez et al., 2009; Zhang et al., 2007]. Particulate

organic material can be emitted directly to the atmosphere, known as primary organic aerosol, or

formed from the gas-to-particle conversion of volatile organic compound (VOC) oxidation

66 products which can partition [Donahue et al., 2011; Riipinen et al., 2011] or react

heterogeneously [Docherty et al., 2005; Gaston et al., 2014; Jang et al., 2002; Surratt et al.,

2007; Surratt et al., 2006] on existing particles, or homogeneously nucleate to form new

particles [Kirkby et al., 2016]. This condensed phase organic material arising from gas to particle

conversion is known as secondary organic aerosol (SOA).

TgC/year [Guenther et al., 2012] and with a high reactivity, isoprene (C<sub>5</sub>H<sub>8</sub>) has the potential to contribute substantially to SOA, even if the overall conversion is inefficient. Initially, the observed products of isoprene oxidation were of high volatility, which led to the hypothesis that

Biogenic VOC (BVOC) contribute significantly to SOA. Emitted at rates of 500

isoprene did not generate SOA [Pandis et al., 1991]. However, subsequent chamber experiments

showed that the yield of SOA from isoprene photochemical oxidation can range from <1-29%

with the highest yields achieved either with acidic aqueous seed particles [Surratt et al., 2010] or

as a transient during successive oxidative aging [Kroll et al., 2006]. Chemically speciated

79 measurements of atmospheric aerosol components in an isoprene-rich environment identified

polyol compounds likely formed from isoprene oxidation [Claeys et al., 2004; Paulot et al.,

81 2009b]. Subsequent chamber studies have shown that, under low NO conditions, isoprene reacts

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83 with OH to form the isoprene epoxy diol, IEPOX [Paulot et al., 2009a; Paulot et al., 2009b]. Both laboratory and field studies suggest that IEPOX plays an important role in the formation of 84 isoprene SOA (iSOA) via acid catalyzed heterogeneous reactions on deliquesced particles 85 [Gaston et al., 2014; Lin et al., 2013a; Lin et al., 2014; Lin et al., 2012; Lin et al., 2013b; Liu et 86 al., 2014; Nguyen et al., 2014; Paulot et al., 2009b; Surratt et al., 2010; Surratt et al., 2006]. In 87 88 the absence of acidic seed particles, iSOA yields have generally been low, but functional group analyses suggested a significant contribution of peroxide moieties and a complex dependence 89 upon NO<sub>x</sub> [Dommen et al., 2006; King et al., 2010; Kroll et al., 2005; 2006; Sato et al., 2011; Xu 90 et al., 2014; Zhang et al., 2011]. Despite these advances, a comprehensive molecular 91 characterization of photochemical iSOA has been lacking. 92 Much attention has been focused on the formation of SOA derived from IEPOX 93 94 chemistry; however, understanding the formation of SOA from pathways other than IEPOX is important for quantifying SOA in environments where the SOA is likely formed via other 95 mechanisms due to the lack of acidic seed. Three recent studies have performed photochemical 96 97 oxidation on either ISOPOOH [Krechmer et al., 2015; Riva et al., 2016] or both isoprene and ISOPOOH [Liu, 2016] in the absence of wet acidic seed in order to study the mechanism of 98 iSOA formation when the IEPOX pathway is suppressed. These studies identified several C<sub>5</sub>H<sub>8</sub>. 99 100 <sub>12</sub>O<sub>4-8</sub> compounds in both the gas- [Krechmer et al., 2015] and particle- [Liu, 2016; Riva et al., 101 2016] phases. Liu et al. [2016] found that under the photochemical conditions of their chamber, 102 the most abundant compound in the particle-phase was C<sub>5</sub>H<sub>12</sub>O<sub>6</sub>, ISOP(OOH)<sub>2</sub>, presumed to be a 103 dihydroxy dihydroperoxide formed from the reaction of an organic peroxy radical (RO<sub>2</sub>) derived 104 from ISOPOOH + OH followed by further reaction with hydroperoxyl radicals (HO<sub>2</sub>) [Liu,

with OH followed by HO<sub>2</sub> to form a hydroxy hydroperoxide, ISOPOOH, which further reacts

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2016]. However, the iSOA yields starting from isoprene reported by Liu et al. [2016] were substantially higher than those starting from ISOPOOH alone as reported by Krechmer et al. [2015], and generally higher than most previous iSOA studies in the absence of deliquesced

acidic seed particles [Dommen et al., 2006; King et al., 2010; Xu et al., 2014].

Furthermore, there is significant interest in understanding how anthropogenic pollutants affect SOA yields [Shilling et al., 2013; Weber et al., 2007; Xu et al., 2015], and there have been several chamber studies to understand the role of NO<sub>x</sub> specifically on iSOA yields [Dommen et al., 2006; King et al., 2010; Kroll et al., 2005; 2006; Xu et al., 2014; Zhang et al., 2011]. The general effect of NO<sub>x</sub> on the newly discovered non-IEPOX SOA system has been described previously [Liu, 2016]. The total SOA mass concentration was shown to be stable for input NO concentrations from 0-20 ppb, with a sharp decrease in SOA mass concentration at the highest input NO concentration (50 ppb). While these studies have advanced our knowledge of the possible mechanisms of iSOA formation, in order to more accurately assess the environments in which this pathway will operate, it remains important to further quantify (a) the branching between the formation of the  $C_5H_{11}O_6$  peroxy radical versus the formation of IEPOX from the reaction between ISOPOOH and OH, (b) the fate of the  $C_5H_{11}O_6$  peroxy radical under various environmental conditions, as well as (c) the volatility of the SOA formed under various environmental conditions and (d) the role of the broader suite of oxidation products in the formation of this non-IEPOX SOA.

We present laboratory chamber studies of the gas- and particle-phase composition resulting from both the low- and high-NO<sub>x</sub> photochemical oxidation of isoprene with the goal of better understanding the chemical mechanisms of iSOA formation and the evolution of its volatility and composition over time, specifically points (c) and (d) above. We compare the

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observed gas-particle partitioning of several oxidation products to an assumption of equilibrium partitioning theory. In this analysis, we use the measured thermograms of particle-phase components to assess commonly used group-contribution methods for estimating saturation vapor concentrations,  $C^*$ . Moreover, we use a combined composition-volatility framework [Lopez-Hilfiker et al., 2015] to quantify the presence of more refractory oligomer-like components of the SOA. We compare our laboratory results to ambient measurements taken during the Southern Oxidant and Aerosol Study (SOAS) 2013 field campaign where similar isoprene photochemical SOA tracers were observed. From these analyses we find (i) the direct effect of higher  $NO_x$  (i.e. all else being constant) is a suppression of iSOA yields at very high input NO concentrations (50 ppb); (ii) a large shift to more refractory components and N-containing products with increasing  $NO_x$ ; (iii) a generally important role for accretion reactions and other multiphase chemistry irrespective of  $NO_x$  concentrations, even at relatively low precursor concentrations, likely involving a broad suite of isoprene oxidation products.

## 2 Experimental methods

## 2.1 Chamber Operation

Experiments were performed in the Pacific Northwest National Laboratory's (PNNL) 10.6 m<sup>3</sup> polytetrafluoroethylene (PTFE) environmental chamber. The chamber has been described in detail elsewhere [*Liu et al.*, 2012], and a portion of the data discussed herein were obtained from the same experiments described in Liu et al. [2016]. Additional experiments with identical chamber operation were conducted to examine a wider range of oxidant conditions. The chamber was primarily operated in continuous-flow mode where reactants were continuously delivered at a constant rate to allow reaction precursors and products to reach steady state concentrations

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[Shilling et al., 2008]. The extent of reaction is controlled by oxidant concentrations and the residence time of air within the chamber, typically 5.2 hours. We also discuss a time-dependent "batch mode" experiment also performed during 2015 for comparison purposes where the chamber is filled with a fixed amount of isoprene and oxidant precursors in the dark and then the

chemistry is followed for ~6 hours after turning on the UV-VIS lights.

Isoprene was delivered into the chamber via a calibrated cylinder (Matheson, 20 ppm in nitrogen) and mass flow controller. OH radicals were generated by the photolysis of H<sub>2</sub>O<sub>2</sub>. An aqueous solution of H<sub>2</sub>O<sub>2</sub> was introduced into the chamber via an automated syringe operated at various flow rates to achieve a range of H<sub>2</sub>O<sub>2</sub>, and therefore OH and HO<sub>2</sub>, concentrations. Monodisperse, 50 nm diameter solid ammonium sulfate seed particles were continually added to facilitate the partitioning of oxidized VOC onto particle surfaces as opposed to chamber walls [*Zhang et al.*, 2014] for the formation of SOA. When desired, NO was added via a calibrated cylinder (Matheson, 500 ppm in nitrogen) and mass flow controller. During the continuous-flow experiments RH was controlled to ~50 %, while the batch mode experiment was performed under dry conditions (~10% RH).

#### 2.2 Instrumentation

A suite of online instruments were utilized to monitor gas- and particle-phase composition. Ozone and NO/NO<sub>2</sub>/NO<sub>x</sub> concentrations were measured using commercial instruments (Thermo Environmental Instruments models 49C and 42C, respectively). Aerosol number and volume concentrations were measured with a scanning mobility particle sizer (SMPS). An Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HRToF-AMS) monitored bulk

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submicron organic and inorganic aerosol composition. The evolution of isoprene was monitored with an Ionicon proton-transfer-reaction mass spectrometer (PTR-MS).

A high-resolution time-of-flight chemical ionization mass spectrometer (HRToF-CIMS) using iodide-adduct ionization as described previously [Lee et al., 2014] was coupled to a Filter Inlet for Gases and AEROsols (FIGAERO) [Lopez-Hilfiker et al., 2014] for measuring a suite of oxygenated products in the gas- and particle-phase. The HRToF-CIMS provides measurements of molecular composition, although cannot provide structural information and therefore cannot differentiate between isobaric compounds. Briefly, the FIGAERO is an inlet manifold that allowed for measurement of both gas- and particle-phase molecular composition with approximately hourly time resolution. To collect particles, chamber air was drawn through a 1.27 cm OD (2014) or 0.635 cm OD (2015) stainless steel tube at 2.5 slpm across a Teflon filter (Zefluor® 24 mm diameter, 2.0 µm pore size, Pall Corp.) for 31 (2014), 42 (2015), or 25 (batch) minutes. Through a separate inlet chamber air was simultaneously sampled at 22 slpm (2014) or 12 slpm (2015) through a 1.9 cm OD, 2 m long (2014) or 1.1 m long (2015) PTFE tube for gasphase analysis. The gas-phase analysis required sub-sampling a portion of the flow after dilution to maintain linearity of response in the chemical ionization. After a particle collection period, gas-phase analysis ends and the filter containing collected particles is actuated to a location downstream of an ultra-high purity (UHP) N2 source and immediately upstream of an orifice into the HRToF-CIMS. UHP N<sub>2</sub>, continually passed across the filter at 2.5 slpm, was heated at a rate of 10 or 15 °C min<sup>-1</sup> to 200 °C for a temperature-programed thermal desorption and then kept at 200 °C for the remainder of the desorption time (60 min total 2014, 70 min 2015, 40 min batch). The coupled FIGAERO HRToF-CIMS will be referred to herein as the FIGAERO-CIMS. The temperature axis of the FIGAERO thermograms is calibrated with compounds having known

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enthalpies of sublimation [Lopez-Hilfiker et al., 2014]. Lopez-Hilfiker et al. [2014] have shown that pure compounds, or mixtures of non-interacting compounds, have consistent thermogram shapes throughout time and reach a maximum signal at characteristic temperature ( $T_{max}$ ) which can be related to their enthalpies of sublimation and therefore sub-cooled pure component vapor pressures. In this way, the  $T_{max}$  of detected compounds can be used to estimate their  $C^*$  at ambient conditions even if the structure is unknown.

# 2.3 Experimental Overview

Figure 1 presents a time series of all steady-state experiments. The left and right columns contain experiments conducted in May of 2014 and 2015, respectively. The top panels show the input concentrations of isoprene, hydrogen peroxide, and NO, as well as the isoprene and  $C_5H_{10}O_3$  (ISOPOOH + IEPOX) concentrations measured at the chamber output. The phrases "input NO", "input  $H_2O_2$ ", and "input isoprene", refer to the concentration of precursor that would be in the chamber if there were no loss mechanisms except for dilution. For example, in Figure 1, top, the input isoprene (dashed green line) is flat, while the amount of isoprene remaining in the chamber, i.e. what is measured with the PTR-MS (solid green line), varies depending on the chamber chemical environment. Thus, while we state that we input 0-50 ppb NO in the chamber, in reality steady-state NO concentrations in the chamber are much lower for the majority of the chamber residence time, in fact, usually below the detection limit of the NO analyzer due to loss mechanisms such as nitrate formation and wall deposition.

The top row of Figure 1 portrays the time series of gas-phase species: input concentrations of isoprene which were generally similar across both years (26 ppbv 2014, 20 ppbv 2015), NO, and  $H_2O_2$ , as well as gas-phase measurements of the isoprene remaining in the

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chamber and C<sub>5</sub>H<sub>10</sub>O<sub>3</sub>. As discussed above, the HRToF-CIMS cannot differentiate isobaric compounds and thus C<sub>5</sub>H<sub>10</sub>O<sub>3</sub> represents the sum of ISOPOOH and IEPOX. It is important to note that while we are suppressing the uptake of IEPOX into the particle-phase, it is still produced at a yield of about 70-80% [St Clair et al., 2016] from the reaction of ISOPOOH + OH. The middle row shows the organic aerosol (OA) as measured by the AMS with the AMS blanks highlighted in black squares. Steady-state periods for analysis were determined by an unchanging OA concentration over a period of 2 or more hours typically at least 24 hours after an intentional change in experimental conditions. All AMS data here has been multiplied by a factor of 1.5 to correct for particle wall losses. The bottom panels show the time series of a few dominant particle-phase components as measured by the FIGAERO-CIMS: C<sub>5</sub>H<sub>12</sub>O<sub>6</sub>, C<sub>5</sub>H<sub>12</sub>O<sub>5</sub>, and C<sub>5</sub>H<sub>11</sub>NO<sub>7</sub>. The organic nitrate is scaled by a factor of 20 to show its time series on the same scale, although it is near zero when NO is not added to the chamber. The particle-phase FIGAERO data has also been multiplied by a factor of 1.5 to correct for particle wall losses. The grey shaded areas in the left column indicate when there was a chamber cleaning followed by a dark NO<sub>3</sub> + isoprene experiment that is not discussed here. By systematically scanning H<sub>2</sub>O<sub>2</sub> and NO concentrations independently, we were able to test the response and composition of the SOA across a range of oxidant conditions, ranging from more pristine to polluted in terms of NO<sub>x</sub> concentrations.

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#### 3 Results & Discussion

## 3.1 Effect of NO<sub>x</sub> on Major Gas- and Particle-Phase Species

The gas- [Krechmer et al., 2015] and particle-phase [Liu, 2016] species detected from isoprene

241 photochemical oxidation when examining the non-IEPOX SOA pathway have been discussed

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previously. These studies identified several C<sub>5</sub>H<sub>8-12</sub>O<sub>4-8</sub> compounds, among many others, and the findings presented here are broadly consistent. Figure 2 summarizes all compounds measured as an iodide-adduct in both the gas- (top) and particle- (bottom) phases at both low (left) and high (right) input NO (20 ppb) for average spectra at steady state. The square root of the background subtracted signals were taken to show the dynamic range and then normalized to the maximum signal within each individual plot. Green bars represent organic compounds with formula C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>I-, while blue are organic nitrates (OrgN) with formula C<sub>x</sub>H<sub>y</sub>NO<sub>z</sub>I-. It is possible that dinitrates were measured, but due to their occurrence at masses where non-nitrates would be observed, they are difficult to conclusively identify and thus are not presented here. Major peaks are labeled with letters corresponding to compounds in Table 1. It is important to note that while the same molecular composition may be present in both the gas- and particle-phase, we do not suggest that they all exist as the same structure in each phase, although some likely do. We will discuss this further in later sections.

From Figure 2, the two largest signals detected by the FIGAERO-CIMS in the gas-phase at both low and high input NO are  $CH_2O_2$  (presumably formic acid) and  $C_5H_{10}O_3$  (presumably the sum of IEPOX and ISOPOOH). With the addition of NO, the  $CH_2O_2$  signal becomes noticeably larger than that of  $C_5H_{10}O_3$ , likely due to increased fragmentation. Even without adding NO to the chamber there is still a small amount of  $NO_x$  present, likely from photolysis of inorganic nitrate on the chamber walls, as we measure non-negligible OrgN concentrations, although the signal is very small relative to organics. The amount of OrgN in the gas-phase increases with increased NO addition as expected. The majority of the OrgN compounds have 5 or fewer carbons and no one component dominates the OrgN. Notable signals include for example  $C_4H_7NO_5$  and  $C_5H_9NO_{5-6}$ . The two largest signals detected by the FIGAERO-CIMS in

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the particle-phase at both low and high input NO are  $C_5H_{12}O_6$  and  $C_5H_{12}O_5$ . Other compounds with the isoprene  $C_5$  backbone but one degree of unsaturation also represent some of the largest signals at low-NO<sub>x</sub>, such as  $C_5H_{10}O_{4-7}$ . As in the gas-phase, no one component dominates the particle-phase OrgN, although one of the strongest signals is  $C_5H_{11}NO_7$ , the nitrate analogue to  $C_5H_{12}O_6$ , formed from the same  $C_5H_{11}O_6$  peroxy radical. Compounds with the formula  $C_5H_{7,9,11}NO_{4-8}$  are all observed in the particle-phase, consistent with field observations from an isoprene-emitting forest during the SOAS campaign [*Lee et al.*, 2016]. For compounds that are detected in the particle-phase, their  $T_{max}$  and thermogram shape are also listed in Table 1 and lends information on the nature of these compounds which will be discussed in further detail later on.

The general effect of  $NO_x$  on the SOA in this system has been described previously [*Liu*, 2016]. Here we highlight the effect of input NO concentrations on individual compounds by focusing on three of the most prominent particle-phase species (Fig. 3, top). As the input NO concentration increases,  $C_5H_{12}O_6$  and  $C_5H_{12}O_5$  decrease nonlinearly.  $C_5H_{11}NO_7$ , presumably produced from the ISOPOOH + OH  $C_5H_{11}O_6$  peroxy radical increases initially with increasing NO addition. Above moderate NO input (>10 ppb),  $C_5H_{11}NO_7$ , a nitrate, begins to decrease with further increases in NO addition, likely a result of ISOPOOH also decreasing as the  $C_5H_9O_3$  peroxy radical reacts more with NO as opposed to  $HO_2$ . This behavior supports previous observations of low isoprene SOA yields at high  $NO_x$  [*Kroll et al.*, 2005; 2006; *Lane et al.*, 2008; *Xu et al.*, 2014; *Zhang et al.*, 2011], though we note a monotonic  $NO_x$  dependence of SOA yield in our experiments. The bottom panel of Figure 3 depicts the mass fraction of OrgN as a function of input NO. The mass fraction of OrgN increases rapidly between 0 and 10 ppb NO input and more modestly above that. At the highest input NO concentration, OrgN make up ~40% of the

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organic aerosol mass detected by the FIGAERO-CIMS. This estimate carries uncertainty due to the inability to calibrate to every OrgN compound, as well as a lack of a single dominant OrgN. At the highest input NO, the AMS measurements also indicate that OrgN make up ~40% of the SOA mass, assuming a molecular weight of the typical OrgN of 148 g/mol based on the measured FIGAERO-CIMS particle-phase OrgN distribution, which is consistent with our findings. Though considerable uncertainties exist with respect to quantification of OrgN using both the AMS and the FIGAERO-CIMS, the agreement between these independent measurements suggests the calibration factors applied to the FIGAERO-CIMS OrgN signals are reasonable. We draw two main conclusions from this analysis: (1) the complementary increase in OrgN and decrease in non-nitrates likely accounts for the stable SOA mass yields at lower input NO concentrations as reported previously [Liu, 2016], with the highest input NO concentrations resulting in a decrease in both OrgN and non-nitrates, corresponding to the sharp decrease in SOA mass yield at the highest input NO concentration (50 ppb), and (2) while there is no one OrgN that is most prominent in the gas or particle phase, the total OrgN can compose up to 40% of the SOA mass at high input NO concentrations (50 ppb).

#### 3.2 Time Evolution of Low NO<sub>x</sub> Isoprene SOA Composition

To examine how isoprene photochemical SOA evolves over time, a time-dependent experiment was conducted (Fig. 4) similar to a previous batch mode study [Kroll et al., 2006]. In this "batch mode" experiment, isoprene, H<sub>2</sub>O<sub>2</sub>, and solid ammonium sulfate seed were injected into the chamber, and then the lights were turned on. The chemistry of the closed system was allowed to evolve in time without further input of reactants. Each pie chart represents a FIGAERO-CIMS particle-phase desorption measurement over the course of the experiment. The data is converted

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to mass concentration as discussed previously [*Lee et al.*, 2014; *Liu*, 2016], the overall size of each pie chart is proportional to the amount of AMS measured OA (9.8, 15.0, 14.8, 14.6 µg/m<sup>3</sup> from left to right, corrected for particle wall loss), and the time is the mid-point of the particle collection period (which lasted 25 minutes) relative to the initiation of the chemistry. The desorption just prior to the isoprene injection is used as the baseline, and the corresponding mass spectra are subtracted from the succeeding desorptions. Unlike the work of Kroll et al. [2006] who saw SOA volume maximize after ~3-4 hours of oxidation followed by a large decrease in total volume attributed to photochemical processing, the measurements presented here did not follow the reaction progress long after the maximum OA concentration was achieved (<1 hour) and thus we did not observe a significant decrease in mass.

The absolute and relative concentration of  $C_5H_{12}O_6$  in the particle-phase decreases from 50% of the particle-phase SOA to 25% over the four hours of oxidation. The absolute mass of SOA also changes, primarily increasing, during the experiment, reaching a peak of 15.8  $\mu$ g/m<sup>3</sup> at t=4.3 hours. This suggests  $C_5H_{12}O_6$  is transforming either within the particle-phase via hydrolysis or other mechanisms, or in the gas-phase, with efficient gas-particle equilibration, due to reaction with OH or photolysis [*Baasandorj et al.*, 2010; *Hsieh et al.*, 2014; *Roehl et al.*, 2007]. Gas-phase oxidation seems unlikely given that typically greater than 95% of the  $C_5H_{12}O_6$  is found in the particle-phase (shown below, Fig. 5) when OA > 2  $\mu$ g/m<sup>3</sup>. While many of the detected compounds are present at constant mass fractions throughout time,  $C_5H_{12}O_5$ ,  $C_5H_{12}O_4$ , and  $C_5H_{10}O_3$  increase.  $C_5H_{12}O_5$  has been observed previously in the gas-phase from ISOPOOH oxidation [*Krechmer et al.*, 2015], and was also shown to be a large fraction of the particle-phase from isoprene oxidation [*Liu*, 2016], but its production mechanism is uncertain. Krechmer et al. [2015] suggest it could be formed from the oxidation of an impurity in the ISOPOOH, although

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the experiments presented here use isoprene as the BVOC precursor, ruling out this explanation. In these experiments,  $C_5H_{12}O_5$  is observed within the first hour of isoprene oxidation and grows to ~25% of the OA mass within 1.5 hours, becoming relatively stable thereafter. One possible source of this compound is  $RO_2 + RO_2$  reactions of the ISOPOOH derived peroxy radical and peroxy radicals from a dihydroxy alkene reacting with  $HO_2$ . It is also possible that it could be formed in the condensed phase from hydrolysis reactions. Further work is required to understand the source of this compound.

The other two compounds that increase with time, C<sub>5</sub>H<sub>12</sub>O<sub>4</sub> and C<sub>5</sub>H<sub>10</sub>O<sub>3</sub>, likely isomers of 2-methyl tetrols and alkene triols respectively, are traditional markers of IEPOX derived SOA [Claeys et al., 2004; Ding et al., 2008; Edney et al., 2005; Kourtchev et al., 2005; Surratt et al., 2010; Xia and Hopke, 2006]. This result is unexpected given that using effloresced (solid) ammonium sulfate seed at a RH below the deliquescence point (RH ~50%) together with an SOA coating should strongly suppress the known acid catalyzed IEPOX multiphase chemistry [Gaston et al., 2014; Lin et al., 2013a; Lin et al., 2014; Lin et al., 2012; Lin et al., 2013b; Liu et al., 2014; Nguyen et al., 2014; Paulot et al., 2009b; Surratt et al., 2010; Surratt et al., 2006]. We tested the uptake of an authentic IEPOX standard onto dry, crystalline ammonium sulfate seed under conditions similar to these, though during continuous-flow mode, and found no measurable uptake and SOA formation [Liu, 2016]. However, it is consistent with previous work that found both of these tracers in the SOA when isoprene was oxidized in the absence of deliquesced acidic seed [Edney et al., 2005; Kleindienst et al., 2009]. C<sub>5</sub>H<sub>12</sub>O<sub>4</sub> and C<sub>5</sub>H<sub>10</sub>O<sub>3</sub> are less than 1% of the SOA for the first 2 hours and then gradually increase to 14% and 8% of the SOA, respectively, after 4 hours. Interestingly, the FIGAERO thermograms for these tracers have broad maxima at much higher Tmax than would be consistent with their elemental

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composition. Lopez-Hilfiker et al. [2016b] noted two modes in the thermogram of  $C_5H_{12}O_4$ , one with a  $T_{max}$  as expected based on its structure and another with a higher  $T_{max}$  indicating an effectively lower volatility component thermally decomposing. The chemical mechanism leading to the desorption of these tracers is unknown, but given that the experimental conditions strongly suppressed the traditional acid catalyzed aqueous IEPOX chemistry, perhaps these tracers are not solely derived from aqueous IEPOX chemistry but isoprene photochemical oxidation more generally. In conclusion,  $C_5H_{12}O_6$  condenses rapidly and initially makes up a majority of the SOA mass, but over time its contribution decreases as other compounds such as  $C_5H_{12}O_5$ ,  $C_5H_{12}O_4$ , and  $C_5H_{10}O_3$  increase. While our data suggests these compounds may be formed in the particle phase from heterogeneous reactions, more work is required to determine their sources.

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# 3.3 Gas-particle Partitioning: Saturation Vapor Concentrations and Oligomeric

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370 The volatility of the products generated from the non-IEPOX  $C_5H_{12}O_6$  pathway [Krechmer et al.,

371 2015; Liu, 2016; Riva et al., 2016] will be a crucial aspect of its contribution to SOA formation

and the lifetime of the resulting SOA against dilution, gas-phase oxidation, and depositional

losses. The capability of the FIGAERO to measure the concentration of individual species in

both the gas- and particle-phase allows for a direct measurement of the particle-phase fraction

(F<sub>p</sub>), which is the particle-phase concentration relative to the gas- and particle-phase

concentrations per volume of air. The  $F_p$  can also be calculated from an assumption of

377 equilibrium absorptive partitioning theory first described by Pankow [1994] using equation 1,

where C\* is the saturation vapor concentration ( $\mu g/m^3$ ) of the pure substance and  $C_{OA}$  is the

concentration of organic aerosol (µg/m<sup>3</sup>).

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 $F_p = \left(1 + \frac{C^*}{C_{OA}}\right)^{-1}$  (1)

Equation 1 neglects the activity coefficient and molecular weight differences for simplicity, though any C\* derived from a comparison to equation 1 would implicitly include these factors. Calibration standards do not exist for a vast majority of compounds in SOA and therefore the C\* are largely unknown, mitigating somewhat the impact of such simplifications. Group-contribution methods exist to estimate C\*, where each functional group represents a discrete, empirically determined contribution to the equilibrium vapor pressure of a compound [Capouet and Muller, 2006; Compernolle et al., 2011; Nannoolal et al., 2008; Pankow and Asher, 2008]. These approaches carry substantial uncertainty for atmospheric SOA systems, in large part due to the lack of enough standards to develop a robust parameterization. In addition, these approaches do not directly address the potential of functional group interactions, such as intramolecular hydrogen bonding, which when not included can lead to C\* estimates that are significantly biased low [Kurten et al., 2016].

Measured  $F_p$  were determined using the FIGAERO-CIMS for a subset of major particlephase components from 2015 (Fig. 5). These estimates include the uncertainty associated with
differences in inlet and chamber wall losses of vapors relative to particles. Operating the
chamber in continuous flow mode likely reduces the impact of chamber walls, at least for low
volatility to semi volatile compounds, as some degree of equilibration can occur [*Liu*, 2016; *Shilling et al.*, 2008]. A short (1-2 m) laminar flow Teflon inlet line with a short residence time
(<1 s) was coupled to the chamber for the detection of gases by the FIGAERO-CIMS. The
diffusion-controlled loss of gases in the tubing is likely less than 50% at most, again a small
effect on the comparison of measured and predicted  $F_p$  as we will show below.

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F<sub>p</sub> were predicted using equation 1 with C\* calculated via the EVAPORATION group-contribution method [Compernolle et al., 2011], which generally gave similar estimates as the Capouet and Muller approach [2006]. The Nannoolal method [2008] was also explored, but it gave C\* estimates that varied by several orders of magnitude for structurally similar compounds, as well as estimates that were unexpectedly low based on FIGAERO measurements and what one would expect based on molecular structure, consistent with previous findings [Kurten et al., 2016]. The SIMPOL method of Pankow and Asher [2008] was also applied to select compounds and is discussed below. A major limitation of this analysis is that we do not know the structure of the molecules detected, only the elemental composition, and so we make assumptions based on the most likely functional groups expected from the chemical conditions of the chamber and from the elemental composition (e.g., degrees of unsaturation, oxygen to carbon ratio). In many cases these assumptions have little impact on our conclusions.

If the SOA formed according to equilibrium partitioning theory as first described by Pankow [1994], the  $F_p$  measured by the FIGAERO and the  $C^*$  calculated using group-contribution methods should be in agreement over a range of organic aerosol mass concentrations. Figure 5 indicates two immediate challenges to testing partitioning theory. First, a large number of mostly small carbon number compounds have a much higher measured  $F_p$  relative to the predicted  $F_p$  based on their group-contribution determined  $C^*$ . The  $C^*$  estimates would have to be in error by at least five or more orders of magnitude, which is likely not the case as there are many measurements of vapor pressures for similar compounds. Furthermore, the thermograms of these compounds appear broad, not Gaussian as one would expect for individual non-interacting compounds [Lopez-Hilfiker et al., 2014], and do not peak until ~85 °C or higher, see Table 1, which is also inconsistent with the calibrated composition-enthalpy of

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sublimation relationship scaled for the FIGAERO used here [Lopez-Hilfiker et al., 2014]. We attribute this behavior to thermal decomposition of lower volatility components during the desorption process giving rise to smaller molecular weight, more volatile components as in previous studies of IEPOX SOA tracers in the southeast U.S. [Lopez-Hilfiker et al., 2016b],  $\alpha$ -pinene derived chamber SOA [Lopez-Hilfiker et al., 2015], and biomass burning organic aerosol in the northwest U.S. [Gaston et al., 2016]. That is, the disagreement between measured and predicted  $F_p$  for these compounds is not necessarily a failure of equilibrium partitioning theory, nor evidence that equilibrium had not been achieved, but rather that the compounds desorbing were actually part of another larger molecular weight accretion product, the C\* and gas-phase concentrations of which are unknown.

The second challenge to testing gas-particle partitioning is illustrated in the  $F_p$  for two representative compounds,  $C_5H_{12}O_6$  and  $C_5H_{10}O_6$  (Fig. 5, bottom panels). That there is reasonable agreement between measured and predicted  $F_p$  (Fig. 5, bottom right, circles) for  $C_5H_{10}O_6$  suggests that equilibrium partitioning is potentially achieved in the chamber. However, that  $C_5H_{12}O_6$  is not in good agreement (Fig. 5, bottom left, circles) cannot be explained by thermal decomposition of lower volatility material, because the thermogram shape is Gaussian and therefore looks like a pure component and the predicted  $F_p$  is much larger than measured (opposite to the above situation). This behavior implies inaccurate  $C^*$  derived from the group-contribution methods.

The EVAPORATION group-contribution method [Compernolle et al., 2011] used in Figure 5 and that of Capouet and Muller [2006] both produce a C\* of 0.03  $\mu$ g/m<sup>3</sup> for C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> when assuming it is a dihydroxy dihydroperoxide, while the SIMPOL method predicts 2  $\mu$ g/m<sup>3</sup> [Pankow and Asher, 2008]. For C<sub>5</sub>H<sub>10</sub>O<sub>6</sub>, assumed to be a hydroxy dihydroperoxy aldehyde,

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method predicts 2 µg/m<sup>3</sup>, and SIMPOL [Pankow and Asher, 2008] suggests 15 µg/m<sup>3</sup>. That the C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> values vary by 2 orders of magnitude while the C<sub>5</sub>H<sub>10</sub>O<sub>6</sub> values vary by a factor of 7 suggests the need for better experimental constraints. Using the measured T<sub>max</sub> from the FIGAERO thermograms, the  $C^*$  for  $C_5H_{12}O_6$  and  $C_5H_{10}O_6$  were determined to be 0.7 and 6.7 µg/m<sup>3</sup>, respectively. These values were then used to re-calculate the predicted F<sub>p</sub> using equation 1. The original group-contribution calculated F<sub>p</sub> is shown alongside the adjusted points in the bottom panels of Figure 5 as navy crosses. The root mean square error of both C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> and  $C_5H_{10}O_6$  is minimized when comparing the measurements with the adjusted  $F_p$ , indicating that the calibrated FIGAERO temperature axis can more accurately determine the C\*. In the case of C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> the FIGAERO determined C\* is much closer to the SIMPOL estimation, and significantly higher than that estimated by the other group-contribution methods. We suspect the large differences between measured and group-contribution method estimates of C\* in this case are due to the lack of vapor pressure data on compounds with hydroperoxide groups, specifically multifunction hydroperoxides; there is only data on four hydroperoxide containing compounds on which these models are based [Capouet and Muller, 2006; Compernolle et al., 2011]. It can be argued that -OH and -OOH groups will lower the C\* relative to the precursor by a comparable amount, with the possibility that a -OOH group could lower it slightly more due to its additional oxygen. For example, the C\* of 2-methyl-1,2,3,4butanol ( $C_5H_{12}O_4$ ) was calculated to be 9 to 34  $\mu$ g/m<sup>3</sup>, depending on the method used [Capouet and Muller, 2006; Compernolle et al., 2011], roughly 250-1000 times greater than the C\* estimates for C<sub>5</sub>H<sub>12</sub>O<sub>6</sub>. That is, a molecule with the same number of distinct -OH containing functional groups is predicted to have a very different C\* because the vapor pressure lowering of

EVAPORATION [Compernolle et al., 2011] predicts 4 µg/m<sup>3</sup>, the Capouet and Muller [2006]

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–OOH groups assumed by these group-contribution methods is larger than that for an -OH group. Our observations suggest this assumption is likely incorrect, at least to the extent employed in these methods, and is supported by previous work that found group-contribution methods predicted significantly lower C\* than models did for compounds with multiple functionalities [Kurten et al., 2016; Valorso et al., 2011]. Conversely, we assume C<sub>5</sub>H<sub>10</sub>O<sub>6</sub> is a hydroxy dihydroperoxy aldehyde, also with two –OOH groups, but the group contribution methods accurately predicte a C\* consistent with that inferred from the FIGAERO T<sub>max</sub>. This agreement may be a coincidence or indicative of the fact that multifunctional compounds with slightly different function groups can have significantly different intramolecular interactions, leading to significantly different saturation vapor concentrations [Compernolle et al., 2011].

There are two main conclusions we draw from Figure 5. The first is that testing equilibrium partitioning theory is a challenge without a direct constraint on the C\* like the FIGAERO desorption T<sub>max</sub> due to possibly large systematic errors in the C\* predicted from group-contribution methods. Moreover, thermal decomposition of higher molecular weight compounds, such as oligomers, into smaller molecular weight compounds generates uncertainty in the measured F<sub>p</sub> in that the FIGAERO T<sub>max</sub> derived C\* does not correspond in such cases to the observed molecule. From this result we draw our second conclusion: a surprisingly large fraction of the iSOA is resistant to evaporation, indicating it will have a longer lifetime against dilution [*Kroll et al.*, 2006]. Approximately 30-45% of the SOA mass detected by the FIAGERO-CIMS desorbs at temperatures greater than 80 °C, much of that above 100 °C, which corresponds to effective enthalpies of sublimation >150 kJ/mol in our FIGAERO assuming no diffusion limitations to evaporation from the particles that exist at these temperatures (for example, due to highly viscous phases). We note that Kroll et al. [2006] also found evidence for

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a significant mass fraction of large molecular weight compounds when applying the AMS to low-NO<sub>x</sub>, non-IEPOX iSOA. We conclude that accretion products are the cause of this more refractory SOA component, but, we cannot determine from the thermograms alone whether the accretion process is reversible at ambient temperatures on longer timescales than the ~1 hour desorptions. That the SOA yield from isoprene is significantly higher for similar organic mass loadings than that reported from ISOPOOH only, suggests an important role for the broader distribution of oxidation products formed in addition to those from ISOPOOH. One possible reason is that these mostly semi volatile products can contribute to lower volatility products via accretion chemistry [*Jathar et al.*, 2016; *Sato et al.*, 2011; *Tsai et al.*, 2015].

# 3.4 Role of $NO_x$ in iSOA volatility

Previous studies using thermal denuders and either an AMS or a tandem differential mobility measurement of particle size distributions have found that iSOA formed in the presence of  $NO_x$  is less volatile relative to that formed in  $HO_2$ -dominant regimes [*Kleindienst et al.*, 2009; *Xu et al.*, 2014]. We compare the iSOA volatility under different regimes by summing the FIGAERO thermogram signals across all ions having formulae  $C_xH_yO_zN_{0-1}\Gamma$ . These sum thermograms are plotted as a function of temperature for both low- and high- $NO_x$  conditions in Figure 6, bottom left and right, respectively. Since  $C_5H_{12}O_6$  is a large portion of the SOA mass concentration in these experiments (e.g. Fig. 4), it is shown separately in dark green with the remainder of the summed signal shown in light green.  $C_5H_{12}O_6$  is clearly a large contribution to the sum signal in both the low- and high- $NO_x$  cases, although more so in the low  $NO_x$  regime, with the remaining thermogram signal primarily located in the lower volatility (higher temperature) "tail" of the desorption. At high  $NO_x$ , there are two clear modes in the thermogram remaining after removing

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the  $C_5H_{12}O_6$  contribution (light green), one mode at roughly the same  $T_{max}$ , and therefore volatility, of  $C_5H_{12}O_6$ , and the other mode at a higher  $T_{max}$ , ~110 °C, suggesting a larger fraction of detected iSOA mass at high  $NO_x$  is resistant to evaporation compared to the low  $NO_x$  case. We also note that the  $T_{max}$  of individual compounds shifts to higher values with the addition of  $NO_x$  except for the highest mass compounds (see Table 1). Although the thermograms for many of these compounds do not have a distinct Gaussian shape, making determination of the  $T_{max}$  uncertain or undefined, the shift to higher  $T_{max}$  for the same compounds could indicate not just lower volatility products in the form of oligomers, but also potentially a change in the overall particle viscosity causing the iSOA to be more resistant to evaporation with the addition of  $NO_x$ .

A sum thermogram of  $\alpha$ -pinene ozonolysis that has been previously reported [Lopez-Hilfiker et al., 2015] is displayed alongside those of the low- and high-NO<sub>x</sub> experiments (Fig. 6, top) for comparison. The  $\alpha$ -pinene SOA has a bimodal sum thermogram, similar to that of the high-NO<sub>x</sub> iSOA with the second lower volatility modes in the same temperature range. The higher volatility mode of the  $\alpha$ -pinene SOA corresponds in temperature space to that of the higher volatility mode of the low-NO<sub>x</sub> iSOA. The multiple modes of the  $\alpha$ -pinene sum thermogram have the same relative maxima in signal space, unlike the isoprene sum thermograms.  $\alpha$ -Pinene ozonlysis apparently generates a larger fraction of lower volatility SOA relative to isoprene photochemical oxidation, although isoprene photochemical SOA has components in the same volatility ranges of  $\alpha$ -pinene ozonlysis SOA, and the relative size of the various modes and location in temperature space is dependent on the amount of NO<sub>x</sub>.

It is important to note that the contribution of the effectively lower volatility components inferred from thermograms in Figure 6 is likely underestimated in both the low- and high-  $NO_x$  cases because the thermograms are presented as ion signal space, not mass concentration. If we

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converted into mass concentration units prior to calculating the summed thermogram, the contribution of  $C_5H_{12}O_6$  would be significantly less than implied in Figure 6. The integrated contribution of  $C_5H_{12}O_6$  would instead be more similar to that shown in Figure 4 for which we applied calibration estimates based on ISOPOOH and a range of other oxygenated compounds, together with the ion-molecule collision limited sensitivity discussed previously [*Liu*, 2016; *Lopez-Hilfiker et al.*, 2016a]. In conclusion, the low-NO<sub>x</sub> SOA has an overall higher volatility and the addition of NO<sub>x</sub> results in lower volatility material making up a larger fraction of the SOA, although the total SOA yield is lower [*Liu*, 2016], in general agreement with previous studies showing that increasing NO<sub>x</sub> leads to lower volatility SOA [*Kleindienst et al.*, 2009], likely by enhancing oligomerization [*Dommen et al.*, 2006; *Xu et al.*, 2014]. However, many of these previous studies were carried out in very different concentration regimes with different detection techniques, so that the data we present offers an additional contribution to the general importance of oligomerization.

# 3.5 Comparisons to Ambient SOA Formed in an Isoprene-Rich Environment

During the summer of 2013, the Southern Oxidant and Aerosol Study (SOAS) was conducted in Brent, AL, as described previously [Attwood et al., 2014; Lee et al., 2016; Washenfelder et al., 2015]. The same instrument was used here and for the PNNL chamber studies described herein, allowing for a direct comparison between chamber and field measurements. Due to the influence of anthropogenic sulfur emissions and the high relative humidity, IEPOX multiphase chemistry contributed significantly to SOA in this region as expected [Budisulistiorini et al., 2015; Hu et al., 2015; Lopez-Hilfiker et al., 2016b]. However, we also detected in the ambient SOA the dominant components of the chamber generated SOA

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described above, namely  $C_5H_{12}O_6$ ,  $C_5H_{12}O_5$ ,  $C_5H_{11}NO_7$ ,  $C_5H_{10}O_6$ , and  $C_5H_{10}O_5$ , the individual diurnal profiles and the sum of all 5 are shown in Figure 7, bottom. While the FIGAERO-CIMS provides molecular composition and not structural information, that the  $C_5H_{12}O_6$  thermograms at SOAS and PNNL look nearly identical and have the same  $T_{max}$  (Fig. 7, top) provides some support that the same compounds are present in both systems.

The mean diurnal cycle of the sum of the C5 tracers detected in the PNNL chamber exhibit a daytime maximum (Fig. 7) as expected given the strong daily modulation in isoprene emissions [Davison et al., 2009; de Arellano et al., 2011; Fuentes et al., 1999; Holzinger et al., 2002; Kalogridis et al., 2014; Lee and Wang, 2006; Rinne et al., 2002; Yang et al., 2005]. The sum of the 5 tracers,  $C_5H_{12}O_6$ ,  $C_5H_{12}O_5$ ,  $C_5H_{11}NO_7$ ,  $C_5H_{10}O_6$ , and  $C_5H_{10}O_5$ , reaches a minimum of 40 ng/m<sup>3</sup> during dark hours and a maximum of 90 ng/m<sup>3</sup> during the day (Fig. 7). While similar compounds were measured during SOAS and the PNNL isoprene oxidation experiments, comparisons with field data provides interesting insight into the production of C<sub>5</sub>H<sub>12</sub>O<sub>5</sub>. Figure 4 indicates that the C<sub>5</sub>H<sub>12</sub>O<sub>5</sub> SOA mass fraction increases with time relative to C<sub>5</sub>H<sub>12</sub>O<sub>6</sub>, but saturates at ~20%, and the concentration of  $C_5H_{12}O_6$  is always greater than  $C_5H_{12}O_5$  in the chamber experiments. In the SOAS field data however, the relationship is reversed: the C<sub>5</sub>H<sub>12</sub>O<sub>5</sub> is always greater than the  $C_5H_{12}O_6$ . Although the two are correlated in the chamber ( $R^2 = 0.6$ ), they are not correlated in the atmosphere  $(R^2 = 0.1)$ . While we do not have a definitive explanation, this behavior may be due to a variety of factors. As noted above, the  $C_5H_{12}O_5$  could be produced by gas-phase RO<sub>2</sub> + RO<sub>2</sub> chemistry, however, we expect this pathway is more important in the chamber with higher RO<sub>2</sub> concentrations than in the atmosphere. While also possibly tied generally to aging as shown in Figure 4, it could be that multiphase processes such as hydrolysis of hydroperoxides or organosulfate formation and subsequent hydrolysis are more

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significant in ambient particles. That is, assuming  $RO_2 + RO_2$  chemistry is less efficient in the ambient atmosphere, a reasonable explanation is that  $C_5H_{12}O_5$  represents a hydrolysis product of the dihydroxy dihydroperoxide,  $C_5H_{12}O_6$ , or related organosulfates, as suggested in the recent work of Riva et al. [2016] and that these processes are enhanced in the aqueous aerosols likely present in the SE U.S.

## 4 Conclusions

We have explored the composition and volatility of isoprene SOA at low and high NO<sub>x</sub> concentrations utilizing effloresced ammonium sulfate seed to prevent IEPOX uptake and thus suppress IEPOX multiphase chemistry. We measured compositions of products reported in previous works of similar experiments [Krechmer et al., 2015; Liu, 2016], in particular C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> and related highly oxidized compounds. We examined the saturation vapor concentrations of several of the most dominant particle-phase signals and tested the accuracy of various group-contribution methods to determine the C\*. Of the three group-contribution methods assessed, the SIMPOL approach [Pankow and Asher, 2008] gave the closest estimates of C\* compared to those determined from the thermograms. The vapor pressure lowering effect of –OOH groups, assumed to be abundantly present in this system, appears to be greatly overestimated in two commonly used methods [Capouet and Muller, 2006; Compernolle et al., 2011]. Through these analyses we found that a significant fraction of SOA components we measure are likely thermal decomposition fragments, characterized by broad thermograms and higher than expected T<sub>max</sub>.

That such a large fraction (30-45%) of the non-IEPOX iSOA is of low volatility implies the lifetime of non-IEPOX iSOA is longer than would previously be expected. Our findings also suggest that experiments which assess the SOA formation potential of isoprene likely

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underestimate the overall potential due to the participation of a broad suite of products in accretion chemistry. Further work on the role of accretion chemistry in this system is needed to verify that the higher iSOA yield observed by Liu et al. [2016] from isoprene is indeed caused by semi volatile products participating in accretion reactions. Furthermore, we have shown here that the addition of NO has a strong effect on the amount of  $C_5H_{12}O_6$  produced, and while the overall volatility of the OA decreases with  $NO_x$ , the total amount of OA also decreases [Liu, 2016], indicating that in polluted regions the amount of SOA formed from this pathway will be diminished, but the SOA will be longer lived against dilution. In conclusion, due to the high yield of IEPOX from ISOPOOH + OH it has been assumed to be the most important pathway for the formation of iSOA, however, its relatively high volatility (1,1691  $\mu$ g/m³ [Compernolle et al., 2011]) and the fact that it requires such specific conditions to form SOA efficiently implies that the formation of SOA from the non-IEPOX pathway discussed herein can also play an important role in many environments regardless of sulfate aerosol concentrations.

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Atmospheric §

Chemistry

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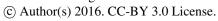
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**Figure and Table Captions** 

**Table 1.** Gas- and particle-phase compounds detailed in the mass spectra in Figure 2 (top). Many molecular compositions are observed in both the gas- and particle-phase. If the composition is observed in the particle phase, a  $T_{max}$  is listed at both low (0 ppb input NO) and high (20 ppb input NO) NO<sub>x</sub>. The desorption shape is also listed and is consistent across NO<sub>x</sub> conditions. The significance of the  $T_{max}$  and desorption shape are discussed in detail in the text. If the compound is only detected in the gas phase, "NA" is listed in the  $T_{max}$  and thermogram columns, indicating that those values are not applicable.

**Figure 1.** Overview of the 2014 and 2015 measurements taken at PNNL. The left column is data from the 2014 campaign, the right column is 2015. The top row shows gas-phase compounds measured by the PTR-MS and FIGAERO-CIMS, as well as input concentrations of  $H_2O_2$ , NO, and isoprene. Middle row shows the OA as measured by the AMS. Steady state periods are shown within magenta circles, AMS blanks as black squares. Select particle phase species measured by the FIGAERO-CIMS are in the bottom row. Grey shaded areas in each column indicate when chamber lights were off for chamber cleaning and a dark NO<sub>3</sub> experiment (in 2014) which is not discussed here. Note that the axis limits are not the same due to a wide range in concentrations across years, while  $C_5H_{12}O_5$  has been enhanced 5x and  $C_5H_{11}NO_7$  has been enhanced 20x in the bottom rows to clearly show the behavior of each species on the same axis.

**Figure 2.** Mass spectra for compounds with composition  $C_xH_yO_zI$ - (green) and  $C_xH_yNO_zI$ - (blue) at low (left) and high (right) NO input in both the gas- (top) and particle- (bottom) phases. Bars are sized by the square root of signal (counts s<sup>-1</sup> for the gas-phase, counts for the particle-phase) to show the dynamic range. Major components are labeled with letters corresponding to those found in Table 1.

 **Figure 3.** Top: Normalized signals of  $C_5H_{12}O_6$  and  $C_5H_{11}NO_7$ , believed to originate in the gas phase from the same  $C_5H_{11}O_6$  peroxy radical, as well as  $C_5H_{12}O_5$ , as a function of input NO. Signal is normalized to maximum signal for each compound to show the relative behaviors. Bottom: The mass fraction of organic nitrates as a function of NO. Mass fraction refers to the mass concentration of FIGAERO-CIMS measured OrgN relative to the total mass concentration of organics (non-nitrogen containing + OrgN) measured by the FIGAERO-CIMS.

**Figure 4.** Time evolution of particle-phase concentrations in a batch mode isoprene photochemical oxidation experiment at low-NO<sub>x</sub>. Time increases from left to right and the size of the pies is proportional to the amount of OA present which is: 9.8, 15.0, 14.8, 14.6  $\mu$ g/m³ from left to right.

**Figure 5.** Top: Predicted versus measured fraction in the particle-phase  $(F_p)$ . Predicted  $F_p$  is obtained from equation 1 where C\*s were calculated with the EVAPORATION group-contribution method [Compernolle et al., 2011] labeled as "Group-Cont. C\*" in the bottom panels. Measured  $F_p$  is the direct measurement from the FIGAERO. Bottom: The  $F_p$  can also be predicted based on the calibrated FIGAERO temperature axis as discussed in the methods and is shown as the predicted  $F_p$  here. Agreement can be reached for two representative compounds where the  $F_p$  is over and correctly predicted (left, right respectively).





**Figure 6.** Top: Sum thermograms of α-pinene + O<sub>3</sub> compared to isoprene ( $C_5H_8$ ) photooxidation with and without  $NO_x$ . The α-pinene sum thermogram has been reported previously (Lopez-Hilfiker et al. [2015], Fig 5). Bottom: The sum thermograms at low (left) and high (right) input NO. The thermogram of  $C_5H_{12}O_6$ , the largest signal in both cases, is separated out (dark green) and the sum of the remaining signal minus  $C_5H_{12}O_6$  is displayed as the remaining signal (light green). At high NO input the sum of OrgN and the sum of non-nitrate organics are plotted (dashed lines, independent of solid lines) to show the relative thermogram features.

 **Figure 7.** Top: Thermograms of  $C_5H_{12}O_6$  observed during chamber experiments at PNNL (diamonds) compared to those measured during the SOAS field campaign (solid line). Bottom: Diurnal behavior of prominent  $C_5$  compounds measured in the PNNL chamber and detected at SOAS:  $C_5H_{12}O_6$ ,  $C_5H_{12}O_5$ ,  $C_5H_{10}O_6$ ,  $C_5H_{10}O_5$ ,  $C_5H_{11}NO_7$ , and the sum of all 5. Arrows indicate which y-axis each compound is plotted on. The diurnal profile of these compounds maximizes during daylight hours and minimizes during the night as would be expected.



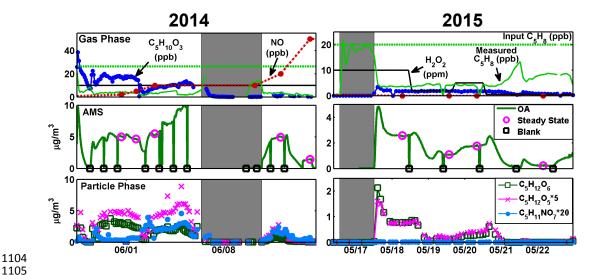


Letter	Mass	Molecular Composition	Particle-phase T <sub>max</sub> , Low NO <sub>x</sub>	Particle-phase T <sub>max</sub> , High NO <sub>x</sub>	thermogram shape
a	172.9105	CH <sub>2</sub> O <sub>2</sub> I-	87	94	broad
b	202.9211	C <sub>2</sub> H <sub>4</sub> O <sub>3</sub> I-	113	115	broad
С	216.9367	C <sub>3</sub> H <sub>6</sub> O <sub>3</sub> I-	76	100	broad
d	230.9524	C <sub>4</sub> H <sub>8</sub> O <sub>3</sub> I-	87	115	broad
e	244.968	$C_5H_{10}O_3I$ -	NA	NA	NA
f	258.9473	C <sub>5</sub> H <sub>8</sub> O <sub>4</sub> I-	76, 111	70, 115	double
g	275.9374	C <sub>4</sub> H <sub>7</sub> NO <sub>5</sub> I-	88	115	broad
h	289.9531	C <sub>5</sub> H <sub>9</sub> NO <sub>5</sub> I-	NA	NA	NA
i	305.948	C <sub>5</sub> H <sub>9</sub> NO <sub>6</sub> I-	NA	NA	NA
j	246.9473	C <sub>4</sub> H <sub>8</sub> O <sub>4</sub> I-	95	110	broad
k	278.9735	C <sub>5</sub> H <sub>12</sub> O <sub>5</sub> I-	60	48	Gaussian
1	294.9684	C <sub>5</sub> H <sub>12</sub> O <sub>6</sub> I-	63	56	Gaussian
m	323.9586	C <sub>5</sub> H <sub>11</sub> NO <sub>7</sub> I-	72	50	Gaussian
n	339.9535	C <sub>5</sub> H <sub>11</sub> NO <sub>8</sub> I-	53	45	Gaussian

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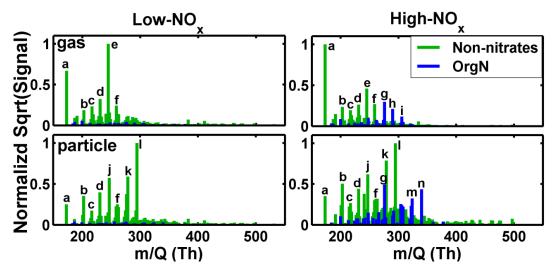
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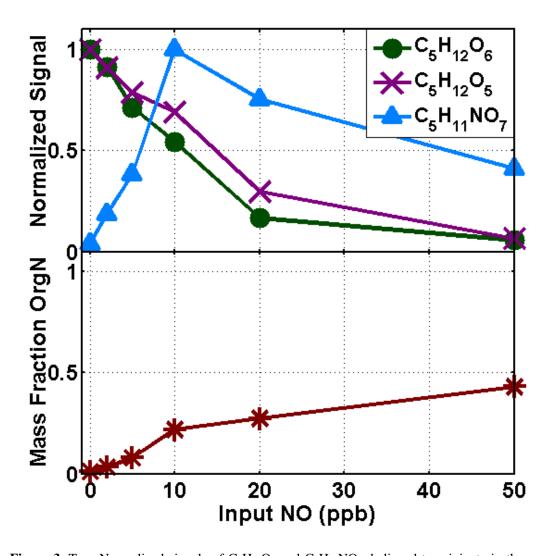
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**Figure 2.** Mass spectra for compounds with composition  $C_xH_yO_zI$ - (green) and  $C_xH_yNO_zI$ - (blue) at low (left) and high (right) NO input in both the gas- (top) and particle- (bottom) phases. Bars are sized by the square root of signal (counts s<sup>-1</sup> for the gas-phase, counts for the particle-phase) to show the dynamic range. Major components are labeled with letters corresponding to those found in Table 1.



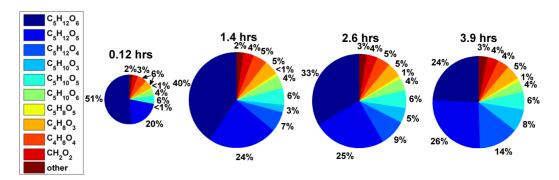




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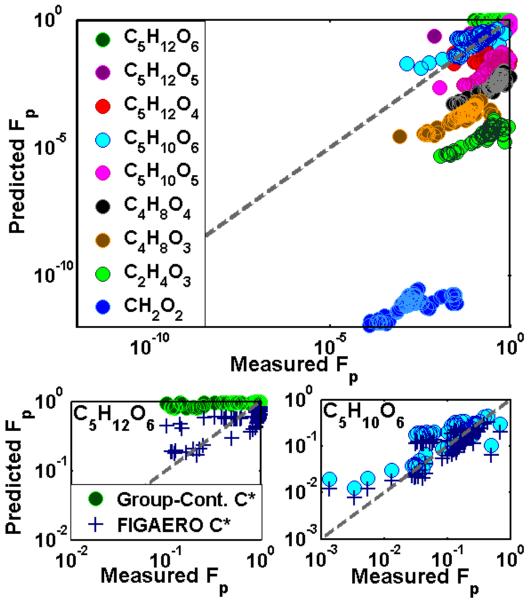




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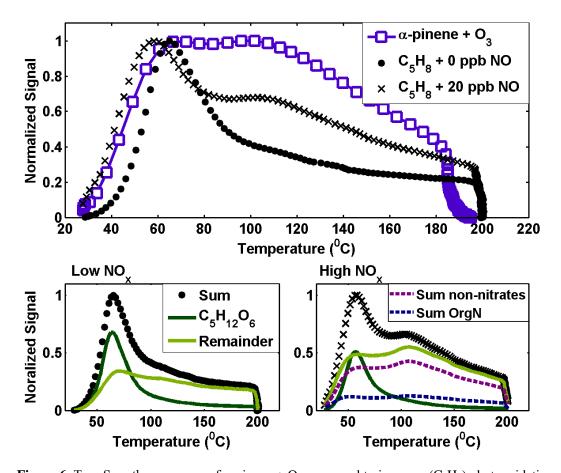




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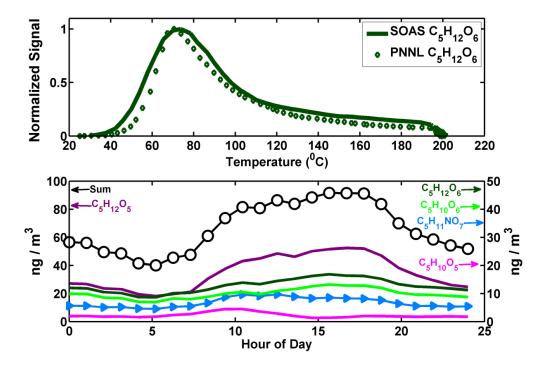




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