

1 Molecular composition and volatility of isoprene  
2 photochemical oxidation secondary organic aerosol  
3 under low and high NO<sub>x</sub> conditions  
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36 **Abstract.** We present measurements of secondary organic aerosol (SOA) formation from  
37 isoprene photochemical oxidation in an environmental simulation chamber at a variety of oxidant  
38 conditions and using dry neutral seed particles to suppress acid catalyzed multiphase chemistry.  
39 A high-resolution time-of-flight chemical ionization mass spectrometer (HRTof-CIMS) utilizing  
40 iodide-adduct ionization coupled to the Filter Inlet for Gases and AEROsols (FIGAERO)  
41 allowed for simultaneous online sampling of the gas and particle composition. Under high HO<sub>2</sub>  
42 and low NO conditions, highly oxygenated (O:C ≥ 1) C<sub>5</sub> compounds were major components  
43 (~50%) of the SOA. The SOA composition and effective volatility evolved both as a function of  
44 time and as a function of input NO concentrations. Organic nitrates increased in both the gas-  
45 and particle-phases as input NO increased, but the dominant non-nitrate particle-phase  
46 components monotonically decreased. We use comparisons of measured and predicted gas-  
47 particle partitioning of individual components to assess the validity of literature-based group-  
48 contribution methods for estimating saturation vapor concentrations. While there is evidence for  
49 equilibrium partitioning being achieved on the chamber residence time scale (5.2 hours) for some  
50 individual components, significant errors in group-contribution methods are revealed. In  
51 addition, >30% of the SOA mass, detected as low-molecular weight semi-volatile compounds,  
52 cannot be reconciled with equilibrium partitioning. These compounds desorb from the  
53 FIGAERO at unexpectedly high temperatures given their molecular composition, indicative of  
54 thermal decomposition of effectively lower volatility components such as larger molecular  
55 weight oligomers.

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## 57 **1 Introduction**

58 Atmospheric aerosol particles reduce visibility, adversely affect human health, and have  
59 uncertain overall effects on global climate [Poschl, 2005], with particles smaller than 1  $\mu\text{m}$  in  
60 diameter playing important roles. Submicron particles typically contain a significant fraction of  
61 organic material, on the order of 20-90% [Jimenez *et al.*, 2009; Zhang *et al.*, 2007]. Particulate  
62 organic material can be emitted directly to the atmosphere, known as primary organic aerosol, or  
63 formed from the gas-to-particle conversion of volatile organic compound (VOC) oxidation  
64 products which can partition [Donahue *et al.*, 2011; Riipinen *et al.*, 2011] or react  
65 heterogeneously [Docherty *et al.*, 2005; Gaston *et al.*, 2014; Jang *et al.*, 2002; Surratt *et al.*,  
66 2007; Surratt *et al.*, 2006] on existing particles, or homogeneously nucleate to form new  
67 particles [Kirkby *et al.*, 2016]. This condensed phase organic material arising from gas to particle  
68 conversion is known as secondary organic aerosol (SOA).

69 Biogenic VOC (BVOC) contribute significantly to SOA. Emitted at rates of 500 TgC  
70 year<sup>-1</sup> [Guenther *et al.*, 2012] and with a high reactivity, isoprene (C<sub>5</sub>H<sub>8</sub>) has the potential to  
71 contribute substantially to SOA, even if the overall conversion is inefficient. Initially, the  
72 observed products of isoprene oxidation were of high volatility, which led to the hypothesis that  
73 isoprene did not generate SOA [Pandis *et al.*, 1991]. However, subsequent chamber experiments  
74 showed that the yield of SOA from isoprene photochemical oxidation can range from <1-29%  
75 with the highest yields achieved either with acidic aqueous seed particles [Surratt *et al.*, 2010] or  
76 as a transient during successive oxidative aging [Kroll *et al.*, 2006]. Chemically speciated  
77 measurements of atmospheric aerosol components in an isoprene-rich environment identified  
78 polyol compounds likely formed from isoprene oxidation [Claeys *et al.*, 2004; Paulot *et al.*,  
79 2009b]. Subsequent chamber studies have shown that, under low NO conditions, isoprene reacts  
80 with OH followed by HO<sub>2</sub> to form a hydroxy hydroperoxide, ISOPOOH, which further reacts

81 with OH to form the isoprene epoxy diol, IEPOX (see Scheme 1) [*Paulot et al.*, 2009a; *Paulot et*  
82 *al.*, 2009b]. Both laboratory and field studies suggest that IEPOX plays an important role in the  
83 formation of isoprene SOA (iSOA) *via* acid catalyzed heterogeneous reactions on deliquesced  
84 particles [*Gaston et al.*, 2014; *Lin et al.*, 2013a; *Lin et al.*, 2014; *Lin et al.*, 2012; *Lin et al.*,  
85 2013b; *Liu et al.*, 2014; *Paulot et al.*, 2009b; *Surratt et al.*, 2010; *Surratt et al.*, 2006]. In the  
86 absence of acidic seed particles, iSOA yields have generally been low, but functional group  
87 analyses suggested a significant contribution of peroxide moieties and a complex dependence  
88 upon NO<sub>x</sub> [*Dommen et al.*, 2006; *King et al.*, 2010; *Kroll et al.*, 2005; 2006; *Sato et al.*, 2011; *Xu*  
89 *et al.*, 2014; *Zhang et al.*, 2011]. Despite these advances, a comprehensive molecular  
90 characterization of photochemical iSOA has been lacking.

91         Much attention has been focused on the formation of SOA derived from IEPOX  
92 chemistry; however, understanding the formation of SOA from pathways other than IEPOX is  
93 important for quantifying SOA in environments where the SOA is likely formed via other  
94 mechanisms due to the lack of acidic seed. Three recent studies have performed photochemical  
95 oxidation on either ISOPOOH [*Krechmer et al.*, 2015; *Riva et al.*, 2016] or both isoprene and  
96 ISOPOOH [*Liu et al.*, 2016] in the absence of wet acidic seed in order to study the mechanism of  
97 iSOA formation when the IEPOX pathway is suppressed. These studies identified several C<sub>5</sub>H<sub>8</sub>.  
98 <sub>12</sub>O<sub>4-8</sub> compounds in both the gas- [*Krechmer et al.*, 2015] and particle- [*Liu et al.*, 2016; *Riva et*  
99 *al.*, 2016] phases. Liu et al. [2016] found that under the photochemical conditions of their  
100 chamber, the most abundant compound in the particle-phase was C<sub>5</sub>H<sub>12</sub>O<sub>6</sub>, ISOP(OOH)<sub>2</sub>,  
101 presumed to be a dihydroxy dihydroperoxide formed from the reaction of an organic peroxy  
102 radical (RO<sub>2</sub>) derived from ISOPOOH + OH followed by further reaction with hydroperoxy  
103 radicals (HO<sub>2</sub>) (see Scheme 1) [*Liu et al.*, 2016]. However, the iSOA yields starting from

104 isoprene reported by Liu et al. [2016] were substantially higher than those starting from  
105 ISOPOOH alone as reported by Krechmer et al. [2015], and generally higher than most previous  
106 iSOA studies in the absence of deliquesced acidic seed particles [*Dommen et al.*, 2006; *King et*  
107 *al.*, 2010; *Xu et al.*, 2014].

108 Furthermore, there is significant interest in understanding how anthropogenic pollutants  
109 affect SOA yields [*Shilling et al.*, 2013; *Weber et al.*, 2007; *Xu et al.*, 2015], and there have been  
110 several chamber studies to understand the role of NO<sub>x</sub> specifically on iSOA yields [*Dommen et*  
111 *al.*, 2006; *King et al.*, 2010; *Kroll et al.*, 2005; 2006; *Xu et al.*, 2014; *Zhang et al.*, 2011]. The  
112 general effect of NO<sub>x</sub> on the newly discovered non-IEPOX SOA system has been described  
113 previously [*Liu et al.*, 2016]. The total SOA mass concentration was shown to be stable for input  
114 NO concentrations from 0-20 ppb, with a sharp decrease in SOA mass concentration at the  
115 highest input NO concentration (50 ppb). While these studies have advanced our knowledge of  
116 the possible mechanisms of iSOA formation, in order to more accurately assess the environments  
117 in which this pathway will operate, it remains important to further quantify (a) the branching  
118 between the formation of the C<sub>5</sub>H<sub>11</sub>O<sub>6</sub> peroxy radical versus the formation of IEPOX from the  
119 reaction between ISOPOOH and OH, (b) the fate of the C<sub>5</sub>H<sub>11</sub>O<sub>6</sub> peroxy radical under various  
120 environmental conditions, as well as (c) the volatility of the SOA formed under various  
121 environmental conditions and (d) the role of the broader suite of oxidation products in the  
122 formation of this non-IEPOX SOA.

123 We present laboratory chamber studies of the gas- and particle-phase composition  
124 resulting from both the low- and high-NO<sub>x</sub> photochemical oxidation of isoprene with the goal of  
125 better understanding the chemical mechanisms of iSOA formation and the evolution of its  
126 volatility and composition over time, specifically points (c) and (d) above. We compare the

127 observed gas-particle partitioning of several oxidation products to an assumption of equilibrium  
128 partitioning theory. In this analysis, we use the measured thermograms of particle-phase  
129 components to assess commonly used group-contribution methods for estimating saturation  
130 vapor concentrations,  $C^*$ . Moreover, we use a combined composition-volatility framework  
131 [Lopez-Hilfiker *et al.*, 2015] to quantify the presence of more refractory oligomer-like  
132 components of the SOA. From these analyses we find (i) the direct effect of higher  $\text{NO}_x$  (i.e. all  
133 else being constant) is a suppression of iSOA yields at very high input NO concentrations (50  
134 ppb); (ii) a large shift to more refractory components and N-containing products with increasing  
135  $\text{NO}_x$ ; (iii) a generally important role for oligomerization reactions and other multiphase  
136 chemistry irrespective of  $\text{NO}_x$  concentrations, even at relatively low precursor concentrations,  
137 likely involving a broad suite of isoprene oxidation products.

138

## 139 **2 Experimental methods**

### 140 **2.1 Chamber Operation**

141 Experiments were performed in Pacific Northwest National Laboratory's (PNNL) 10.6 m<sup>3</sup>  
142 polytetrafluoroethylene (PTFE) environmental chamber. The chamber has been described in  
143 detail elsewhere [Liu *et al.*, 2012], and a portion of the data discussed herein were obtained from  
144 the same experiments described in Liu *et al.* [2016]. Additional experiments with identical  
145 chamber operation were conducted to examine a wider range of oxidant conditions. The chamber  
146 was primarily operated in continuous-flow mode where reactants were continuously delivered at  
147 a constant rate to allow reaction precursors and products to reach steady state concentrations  
148 [Shilling *et al.*, 2008]. The extent of reaction is controlled by oxidant concentrations and the  
149 residence time of air within the chamber, typically 5.2 hours. We also discuss a time-dependent

150 “batch mode” experiment also performed during 2015 for comparison purposes where the  
151 chamber is filled with a fixed amount of isoprene and oxidant precursors in the dark and then the  
152 chemistry is followed for ~6 hours after turning on the UV-VIS lights.

153 Isoprene was delivered into the chamber via a calibrated cylinder (Matheson, 20 ppm in  
154 nitrogen) and mass flow controller. OH radicals were generated by the photolysis of H<sub>2</sub>O<sub>2</sub>. An  
155 aqueous solution of H<sub>2</sub>O<sub>2</sub> was introduced into the chamber via an automated syringe operated at  
156 various flow rates to achieve a range of H<sub>2</sub>O<sub>2</sub>, and therefore OH and HO<sub>2</sub>, concentrations. Quasi-  
157 monodisperse, effloresced 50 nm diameter solid ammonium sulfate seed particles were  
158 continually added to facilitate the partitioning of oxidized VOC onto particle surfaces as opposed  
159 to chamber walls [Zhang *et al.*, 2014] for the formation of SOA. When desired, NO was added  
160 via a calibrated cylinder (Matheson, 500 ppm in nitrogen) and mass flow controller. During the  
161 continuous-flow experiments RH was controlled to ~50 %, while the batch mode experiment was  
162 performed under dry conditions (~10% RH).

163

## 164 **2.2 Instrumentation**

165 A suite of online instruments was utilized to monitor gas- and particle-phase composition. Ozone  
166 and NO/NO<sub>2</sub>/NO<sub>x</sub> concentrations were measured using commercial instruments (Thermo  
167 Environmental Instruments models 49C and 42C, respectively). Aerosol number and volume  
168 concentrations were measured with a scanning mobility particle sizer (SMPS, TSI model 3936).  
169 An Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HRTof-AMS)  
170 monitored bulk submicron organic and inorganic aerosol composition. The evolution of isoprene  
171 was monitored with an Ionicon proton-transfer-reaction mass spectrometer (PTR-MS).

172 A high-resolution time-of-flight chemical ionization mass spectrometer (HRTof-CIMS)  
173 using iodide-adduct ionization as described previously [*B H Lee et al.*, 2014] was coupled to a  
174 Filter Inlet for Gases and AEROSols (FIGAERO) [*Lopez-Hilfiker et al.*, 2014] for measuring a  
175 suite of oxygenated products in the gas- and particle-phase. The HRTof-CIMS provides  
176 measurements of molecular composition, although cannot provide structural information and  
177 therefore cannot differentiate between isobaric compounds. Briefly, the FIGAERO is an inlet  
178 manifold that allowed for measurement of both gas- and particle-phase molecular composition  
179 with approximately hourly time resolution. To collect particles, chamber air was drawn through a  
180 1.27 cm OD (2014) or 0.635 cm OD (2015) stainless steel tube at 2.5 slpm across a Teflon filter  
181 (Zefluor® 24 mm diameter, 2.0 µm pore size, Pall Corp.) for 31 (2014), 42 (2015), or 25 (batch)  
182 minutes. Through a separate inlet chamber air was simultaneously sampled at 22 slpm (2014) or  
183 12 slpm (2015) through a 1.9 cm OD, 2 m long (2014) or 1.1 m long (2015) PTFE tube for gas-  
184 phase analysis. The gas-phase analysis required sub-sampling a portion of the flow after dilution  
185 to maintain linearity of response in the chemical ionization. After a particle collection period,  
186 gas-phase analysis ends and the filter containing collected particles is actuated to a location  
187 downstream of an ultra-high purity (UHP) N<sub>2</sub> source and immediately upstream of an orifice into  
188 the HRTof-CIMS. UHP N<sub>2</sub>, continually passed across the filter at 2.5 slpm, was heated at a rate  
189 of 10 or 15 °C min<sup>-1</sup> to 200 °C for a temperature-programmed thermal desorption and then kept  
190 at 200 °C for the remainder of the desorption time (60 min total 2014, 70 min 2015, 40 min  
191 batch). The coupled FIGAERO HRTof-CIMS will be referred to herein as the FIGAERO-CIMS.  
192 The temperature axis of the FIGAERO thermograms is calibrated with compounds having  
193 known enthalpies of sublimation [*Lopez-Hilfiker et al.*, 2014]. Lopez-Hilfiker et al. [2014] have  
194 shown that pure compounds, or mixtures of non-interacting compounds, have consistent



195 thermogram shapes throughout time and reach a maximum signal at characteristic temperature  
196 ( $T_{\max}$ ) which can be related to their enthalpies of sublimation and therefore sub-cooled pure  
197 component vapor pressures. In this way, the  $T_{\max}$  of detected compounds can be used to estimate  
198 their  $C^*$  at ambient conditions even if the structure is unknown.

199

### 200 **2.3 Experimental Overview**

201 Figure 1 presents a time series of all steady-state experiments. The left and right columns contain  
202 experiments conducted in May of 2014 and 2015, respectively. The top panels show the input  
203 concentrations of isoprene, hydrogen peroxide, and NO, as well as the isoprene and  $C_5H_{10}O_3$   
204 (ISOPOOH + IEPOX) concentrations measured at the chamber output. The phrases “input NO”,  
205 “input  $H_2O_2$ ”, and “input isoprene”, refer to the concentration of precursor that would be in the  
206 chamber if there were no loss mechanisms except for dilution. For example, in Figure 1, top, the  
207 input isoprene (dashed green line) is flat, while the amount of isoprene remaining in the  
208 chamber, i.e. what is measured with the PTR-MS (solid green line), varies depending on the  
209 chamber chemical environment. Thus, while we state that we input 0-50 ppb NO in the chamber,  
210 in reality steady-state NO concentrations in the chamber are much lower for the majority of the  
211 chamber residence time, in fact, usually below the detection limit of the NO analyzer due to loss  
212 mechanisms such as photochemical conversion to  $NO_2$  and nitrate formation.

213 In Figure 1 (top row) we show the time series of gas-phase species: input concentrations  
214 of isoprene which were generally similar across both years (26 ppbv 2014, 20 ppbv 2015), NO,  
215 and  $H_2O_2$ , as well as gas-phase measurements of the isoprene remaining in the chamber and  
216  $C_5H_{10}O_3$ . As discussed above, the HRTof-CIMS cannot differentiate isobaric compounds and  
217 thus  $C_5H_{10}O_3$  represents the sum of ISOPOOH and IEPOX. It is important to note that while we

218 are suppressing the uptake of IEPOX into the particle-phase, it is still produced at a yield of  
219 about 70-80% [St Clair *et al.*, 2016] from the reaction of ISOPOOH + OH. The middle row  
220 shows the organic aerosol (OA) as measured by the AMS with the AMS blanks highlighted in  
221 black squares. Steady-state periods for analysis were determined by an unchanging OA  
222 concentration over a period of 2 or more hours typically at least 24 hours after an intentional  
223 change in experimental conditions. The bottom panels show the time series of a few dominant  
224 particle-phase components as measured by the FIGAERO-CIMS: C<sub>5</sub>H<sub>12</sub>O<sub>6</sub>, C<sub>5</sub>H<sub>12</sub>O<sub>5</sub>, and  
225 C<sub>5</sub>H<sub>11</sub>NO<sub>7</sub>. The organic nitrate is scaled by a factor of 20 to show its time series on the same  
226 scale, although it is near zero when NO is not added to the chamber. The grey shaded areas in the  
227 left column indicate when there was a chamber cleaning followed by a dark NO<sub>3</sub> + isoprene  
228 experiment that is not discussed here. By systematically scanning H<sub>2</sub>O<sub>2</sub> and NO concentrations  
229 independently, we were able to test the response and composition of the SOA across a range of  
230 oxidant conditions, ranging from more pristine to polluted in terms of NO<sub>x</sub> concentrations.

231 All AMS and particle-phase FIGAERO-CIMS data have been multiplied by a factor of  
232 1.5 to correct for particle wall losses as described in Liu *et al.* [2016], and where we also  
233 describe the effect of seed particle surface area concentrations on SOA mass yields from this  
234 system in order to assess indirectly the role of vapor wall loss. The effect of added seed was  
235 minimal and as such data shown here have not been corrected for vapor wall losses. Operating  
236 the chamber in continuous flow mode possibly reduces the net flux of organic compounds to the  
237 walls, at least for low volatility to semi volatile compounds, as some degree of equilibration can  
238 occur [Liu *et al.*, 2016; Shilling *et al.*, 2008]. Nonetheless, our vapor concentration data may be  
239 biased low due to some amount of loss to the walls.

240

## 241 3 Results & Discussion

### 242 3.1 Effect of NO<sub>x</sub> on Major Gas- and Particle-Phase Species

243 The gas- [Krechmer *et al.*, 2015] and particle-phase [Liu *et al.*, 2016; Riva *et al.*, 2016] species  
244 detected from isoprene photochemical oxidation when examining the non-IEPOX SOA pathway  
245 have been discussed previously. These studies identified several C<sub>5</sub>H<sub>8-12</sub>O<sub>4-8</sub> compounds, among  
246 many others, and the findings presented here are broadly consistent. Figure 2 summarizes all  
247 compounds measured as an iodide-adduct in both the gas- (top) and particle- (bottom) phases at  
248 both low (left) and high (right) input NO (20 ppb) for average spectra at steady state. The square  
249 root of the background subtracted signals were taken to show the dynamic range and then  
250 normalized to the maximum signal within each individual plot. Green bars represent organic  
251 compounds with formula C<sub>x</sub>H<sub>y</sub>O<sub>z</sub>I-, while blue are organic nitrates (OrgN) with formula  
252 C<sub>x</sub>H<sub>y</sub>NO<sub>z</sub>I-. It is possible that dinitrates were measured, but due to their occurrence at masses  
253 where non-nitrates would be observed, they are difficult to conclusively identify and thus are not  
254 presented here. Major peaks are labeled with letters corresponding to compounds in Table 1. It is  
255 important to note that while the same molecular composition may be present in both the gas- and  
256 particle-phase, we do not suggest that they all exist as the same structure in each phase, although  
257 some likely do. We will discuss this further in later sections.

258 From Figure 2, the two largest signals detected by the FIGAERO-CIMS in the gas-phase  
259 at both low and high input NO are CH<sub>2</sub>O<sub>2</sub> (presumably formic acid) and C<sub>5</sub>H<sub>10</sub>O<sub>3</sub> (presumably  
260 the sum of IEPOX and ISOPOOH). With the addition of NO, the CH<sub>2</sub>O<sub>2</sub> signal becomes  
261 noticeably larger than that of C<sub>5</sub>H<sub>10</sub>O<sub>3</sub>, likely due to increased fragmentation. Even without  
262 adding NO to the chamber there is still a small amount of NO<sub>x</sub> present, likely from photolysis of  
263 inorganic nitrate on the chamber walls, as we measure non-negligible OrgN concentrations,

264 although the signal is very small relative to organics. The amount of OrgN in the gas-phase  
265 increases with increased NO addition as expected. The majority of the OrgN compounds have 5  
266 or fewer carbons and no one component dominates the OrgN. Notable signals include for  
267 example  $C_4H_7NO_5$  and  $C_5H_9NO_{5-6}$ . The two largest signals detected by the FIGAERO-CIMS in  
268 the particle-phase at both low and high input NO are  $C_5H_{12}O_6$  and  $C_5H_{12}O_5$ . Other compounds  
269 with the isoprene  $C_5$  backbone but one degree of unsaturation also represent some of the largest  
270 signals at low- $NO_x$ , such as  $C_5H_{10}O_{4-7}$ . As in the gas-phase, no one component dominates the  
271 particle-phase OrgN, although one of the strongest signals is  $C_5H_{11}NO_7$ , the nitrate analogue to  
272  $C_5H_{12}O_6$ , formed from the same  $C_5H_{11}O_6$  peroxy radical (see Scheme 1). Compounds with the  
273 formula  $C_5H_{7,9,11}NO_{4-8}$  are all observed in the particle-phase, consistent with field observations  
274 from an isoprene-emitting forest during the Southern Oxidant and Aerosol Study campaign [Lee  
275 *et al.*, 2016]. For compounds that are detected in the particle-phase, their  $T_{max}$  and thermogram  
276 shape are also listed in Table 1 and lends information on the nature of these compounds which  
277 will be discussed in further detail later on.

278         The general effect of  $NO_x$  on the SOA in this system has been described previously [Liu  
279 *et al.*, 2016]. Here we highlight the effect of input NO concentrations on individual compounds  
280 by focusing on three of the most prominent particle-phase species (Fig. 3, top). As the input NO  
281 concentration increases,  $C_5H_{12}O_6$  and  $C_5H_{12}O_5$  decrease nonlinearly.  $C_5H_{11}NO_7$ , presumably  
282 produced from the ISOPPOOH + OH  $C_5H_{11}O_6$  peroxy radical increases initially with increasing  
283 NO addition. Above moderate NO input (>10 ppb),  $C_5H_{11}NO_7$ , a nitrate, begins to decrease with  
284 further increases in NO addition, likely a result of ISOPPOOH also decreasing as the  $C_5H_9O_3$   
285 peroxy radical reacts more with NO as opposed to  $HO_2$ . This behavior supports previous  
286 observations of low isoprene SOA yields at high  $NO_x$  [Kroll *et al.*, 2005; 2006; Lane *et al.*, 2008;

287 *Xu et al.*, 2014; *Zhang et al.*, 2011], though we note a monotonic NO<sub>x</sub> dependence of SOA yield  
288 in our experiments [*Liu et al.*, 2016]. The bottom panel of Figure 3 depicts the mass fraction of  
289 OrgN as a function of input NO. The mass fraction of OrgN increases rapidly between 0 and 10  
290 ppb NO input and more modestly above that. At the highest input NO concentration, OrgN make  
291 up ~40% of the organic aerosol mass detected by the FIGAERO-CIMS. This estimate carries  
292 uncertainty due to the inability to calibrate to every OrgN compound, as well as a lack of a single  
293 dominant OrgN. At the highest input NO, the AMS measurements also indicate that OrgN make  
294 up ~40% of the SOA mass, assuming a molecular weight of the typical OrgN of 148 g/mol based  
295 on the measured FIGAERO-CIMS particle-phase OrgN distribution, which is consistent with our  
296 findings. Though considerable uncertainties exist with respect to quantification of OrgN using  
297 both the AMS and the FIGAERO-CIMS, the agreement between these independent  
298 measurements suggests the calibration factors applied to the FIGAERO-CIMS OrgN signals are  
299 reasonable. We draw two main conclusions from this analysis: (1) the complementary increase in  
300 OrgN and decrease in non-nitrates likely accounts for the stable SOA mass yields at lower input  
301 NO concentrations as reported previously [*Liu et al.*, 2016], with the highest input NO  
302 concentrations resulting in a decrease in both OrgN and non-nitrates, corresponding to the sharp  
303 decrease in SOA mass yield at the highest input NO concentration (50 ppb), and (2) while there  
304 is no one OrgN that is most prominent in the gas or particle phase, the total OrgN can comprise  
305 up to 40% of the SOA mass at high input NO concentrations (50 ppb).

306

### 307 **3.2 Time Evolution of Low NO<sub>x</sub> Isoprene SOA Composition**

308 To examine how isoprene photochemical SOA evolves over time, a time-dependent experiment  
309 was conducted (Fig. 4) similar to a previous batch mode study [*Kroll et al.*, 2006]. In this “batch

310 mode” experiment, isoprene, H<sub>2</sub>O<sub>2</sub>, and solid ammonium sulfate seed were injected into the  
311 chamber, and then the lights were turned on. The chemistry of the closed system was allowed to  
312 evolve in time without further input of reactants. Each pie chart represents a FIGAERO-CIMS  
313 particle-phase desorption measurement over the course of the experiment. The data are converted  
314 to mass concentration as discussed previously [Lee *et al.*, 2016; Liu *et al.*, 2016], the overall size  
315 of each pie chart is proportional to the amount of AMS measured OA (5.6, 10.8, 10.6, 10.4 μg m<sup>-3</sup>  
316 from left to right, corrected for particle wall loss), and the time is the mid-point of the particle  
317 collection period (which lasted 25 minutes) relative to the initiation of the chemistry. The  
318 desorption just prior to the isoprene injection is used as the baseline, and the corresponding mass  
319 spectra are subtracted from the succeeding desorptions. Unlike the work of Kroll *et al.* [2006]  
320 who saw SOA volume maximize after ~3-4 hours of oxidation followed by a large decrease in  
321 total volume attributed to photochemical processing, the measurements presented here did not  
322 follow the reaction progress long after the maximum OA concentration was achieved (<1 hour)  
323 and thus we did not observe a significant decrease in mass.

324         The absolute and relative concentration of C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> in the particle-phase decreases from  
325 40% of the particle-phase SOA to 17% over the four hours of oxidation. The absolute mass of  
326 SOA also changes, primarily increasing, during the experiment, reaching a peak of 11.6 μg m<sup>-3</sup> at  
327 t = 4.3 hours. This suggests C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> is transforming either within the particle-phase via  
328 hydrolysis or other mechanisms, or in the gas-phase, with efficient gas-particle equilibration, due  
329 to reaction with OH or photolysis [Baasandorj *et al.*, 2010; Hsieh *et al.*, 2014; Roehl *et al.*,  
330 2007], with a particle-phase half-life of ~4 hours. Gas-phase oxidation seems unlikely given that  
331 typically greater than 95% of the C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> is found in the particle-phase (Fig. 5) when OA >2 μg  
332 m<sup>-3</sup>. While many of the detected compounds are present at constant mass fractions throughout

333 time,  $C_5H_{12}O_5$ ,  $C_5H_{12}O_4$ , and  $C_5H_{10}O_3$  increase.  $C_5H_{12}O_5$  has been observed previously in the  
334 gas-phase from ISOPOOH oxidation [Krechmer *et al.*, 2015], and was also shown to be a large  
335 fraction of the particle-phase from isoprene oxidation [Liu *et al.*, 2016], but its production  
336 mechanism is uncertain. Krechmer *et al.* [2015] suggest it could be formed from the oxidation of  
337 an impurity in the ISOPOOH, although the experiments presented here use isoprene as the  
338 BVOC precursor, ruling out this explanation. In these experiments,  $C_5H_{12}O_5$  is observed within  
339 the first hour of isoprene oxidation and increases to ~18% of the OA mass within 1.5 hours,  
340 becoming relatively stable thereafter. Two possible sources of this compound are the reaction of  
341 the ISOPOOH-derived peroxy radical ( $C_5H_{11}O_6$ ) with  $RO_2$ , or a dihydroxy alkene undergoing  
342 reaction with OH and  $O_2$  to form a dihydroxy peroxy radical, followed by reaction with  $HO_2$ . It  
343 is also possible that  $C_5H_{12}O_5$  could be formed in the condensed phase from hydrolysis reactions.  
344 Further work is required to understand the source of this compound.

345         The other two compounds that increase with time,  $C_5H_{12}O_4$  and  $C_5H_{10}O_3$ , likely isomers  
346 of 2-methyl tetrols and alkene triols respectively, are traditional markers of IEPOX derived SOA  
347 [Claeys *et al.*, 2004; Ding *et al.*, 2008; Edney *et al.*, 2005; Kourtchev *et al.*, 2005; Surratt *et al.*,  
348 2010; Xia and Hopke, 2006]. This result is unexpected given that using effloresced (solid)  
349 ammonium sulfate seed at a RH below the deliquescence point (RH ~50%) together with an  
350 SOA coating should strongly suppress the known acid catalyzed IEPOX multiphase chemistry  
351 [Gaston *et al.*, 2014; Lin *et al.*, 2013a; Lin *et al.*, 2014; Lin *et al.*, 2012; Lin *et al.*, 2013b; Liu *et*  
352 *al.*, 2014; Nguyen *et al.*, 2014; Paulot *et al.*, 2009b; Surratt *et al.*, 2010; Surratt *et al.*, 2006]. We  
353 tested the uptake of an authentic IEPOX standard onto dry, crystalline ammonium sulfate seed  
354 under conditions similar to these, though during continuous-flow mode, and found no  
355 measurable uptake and SOA formation [Liu *et al.*, 2016]. However, it is consistent with previous

356 work that found both of these tracers in the SOA when isoprene was oxidized in the absence of  
357 deliquesced acidic seed [Edney *et al.*, 2005; Kleindienst *et al.*, 2009].  $C_5H_{12}O_4$  and  $C_5H_{10}O_3$  are  
358 less than 1% of the SOA for the first 2 hours and then gradually increase to 10% and 6% of the  
359 SOA, respectively, after 4 hours. Interestingly, the FIGAERO-CIMS thermograms for these  
360 tracers have broad maxima at much higher  $T_{max}$  than would be consistent with their elemental  
361 composition. Lopez-Hilfiker *et al.* [2016b] noted two modes in the thermogram of  $C_5H_{12}O_4$ , one  
362 with a  $T_{max}$  as expected based on its structure and another with a higher  $T_{max}$  indicating an  
363 effectively lower volatility component thermally decomposing. These two tracers are also  
364 observed in the  $NO_x$  addition experiments performed in continuous-flow mode, though at lower  
365 concentrations. In these experiments there was not enough NO to completely suppress  
366 ISOPOOH formation and therefore IEPOX formation from ISOPOOH + OH. Moreover, IEPOX  
367 can also form, albeit at lower yields, from OH reactions with the first-generation isoprene  
368 hydroxy nitrate [Jacobs *et al.*, 2014; L Lee *et al.*, 2014; St Clair *et al.*, 2016]. However, the  
369 formation of IEPOX SOA tracers is more puzzling given the very low reactive uptake of IEPOX  
370 expected on solid inorganic seed coated with isoprene SOA [Gaston *et al.*, 2014; Riedel *et al.*,  
371 2015]. Perhaps nitric acid catalyzed IEPOX multiphase chemistry is contributing to the  
372 formation of these tracers at high  $NO_x$ . That said, observation of these tracers, also in the absence  
373 of  $NO_x$  addition, indicates that this explanation not sufficient.

374         The chemical mechanism leading to the measurement of these tracers in the particle  
375 phase is unknown, but given that the experimental conditions strongly suppressed the traditional  
376 acid catalyzed aqueous IEPOX chemistry, perhaps these tracers are not solely derived from  
377 aqueous IEPOX chemistry but isoprene photochemical oxidation more generally. In conclusion,  
378  $C_5H_{12}O_6$  condenses rapidly and initially makes up a majority of the SOA mass, but over time its



379 contribution decreases as other compounds such as C<sub>5</sub>H<sub>12</sub>O<sub>5</sub>, C<sub>5</sub>H<sub>12</sub>O<sub>4</sub>, and C<sub>5</sub>H<sub>10</sub>O<sub>3</sub> increase.  
380 Our data suggest these compounds may be formed in the particle phase from heterogeneous  
381 reactions and/or during the thermal desorption analysis, but more work is required to determine  
382 their sources.

383

### 384 **3.3 Gas-particle Partitioning: Saturation Vapor Concentrations and Oligomeric** 385 **Content**

386 The volatility of the products generated from the non-IEPOX C<sub>5</sub>H<sub>12</sub>O<sub>6</sub> pathway [Krechmer *et al.*,  
387 2015; Liu *et al.*, 2016; Riva *et al.*, 2016] will be a crucial aspect of its contribution to SOA  
388 formation and the lifetime of the resulting SOA against dilution, gas-phase oxidation, and  
389 depositional losses. The capability of the FIGAERO-CIMS to measure the concentration of  
390 individual species in both the gas- and particle-phase allows for a direct measurement of the  
391 particle-phase fraction (F<sub>p</sub>), which is the particle-phase concentration relative to the gas- and  
392 particle-phase concentrations per volume of air. The F<sub>p</sub> can also be calculated from an  
393 assumption of equilibrium absorptive partitioning theory first described by Pankow [1994] using  
394 equation 1 as first reported by Donahue *et al.* [2006], where C\* is the saturation vapor  
395 concentration (μg m<sup>-3</sup>) of the pure substance and C<sub>OA</sub> is the concentration of organic aerosol (μg  
396 m<sup>-3</sup>).

$$397 F_p = \left(1 + \frac{C^*}{C_{OA}}\right)^{-1} \quad (1)$$

398 Equation 1 neglects the activity coefficient and molecular weight differences for simplicity,  
399 though any C\* derived from a comparison to equation 1 would implicitly include these factors.  
400 Calibration standards do not exist for a vast majority of compounds in SOA and therefore the C\*  
401 are largely unknown, mitigating somewhat the impact of such simplifications. Group-

402 contribution methods exist to estimate  $C^*$ , where each functional group represents a discrete,  
403 empirically determined contribution to the equilibrium vapor pressure of a compound [Capouet  
404 and Muller, 2006; Compernelle *et al.*, 2011; Nannoolal *et al.*, 2008; Pankow and Asher, 2008].  
405 These approaches carry substantial uncertainty for atmospheric SOA systems, in large part due to  
406 the lack of enough standards to develop a robust parameterization. In addition, these approaches  
407 do not directly address the potential of functional group interactions, such as intramolecular  
408 hydrogen bonding, which when not included can lead to  $C^*$  estimates that are significantly  
409 biased low [Kurten *et al.*, 2016].

410 Measured  $F_p$  were determined using the FIGAERO-CIMS for a subset of major particle-  
411 phase components from 2015 (Fig. 5). A short (1-2 m) laminar flow Teflon inlet line with a short  
412 residence time ( $<1$  s) was coupled to the chamber for the detection of gases by the FIGAERO-  
413 CIMS. The largest source of error in the measured  $F_p$ , beyond thermal decomposition during  
414 desorption, is diffusion-controlled vapor losses in the inlet which were corrected for by assuming  
415 a diffusivity of  $0.05$ - $0.1$   $\text{cm}^2 \text{s}^{-1}$ , although the variability is not discernable on the log scale. Inlet  
416 losses are 25-44% for the range of diffusivities, a small effect on the comparison of measured  
417 and predicted  $F_p$  as we show below.

418  $F_p$  were predicted using equation 1 with  $C_{OA}$  measured by the AMS and  $C^*$  calculated via  
419 the EVAPORATION group-contribution method [Compernelle *et al.*, 2011], which generally  
420 gave similar estimates as the Capouet and Muller approach [2006]. The Nannoolal method  
421 [2008] was also explored, but it gave  $C^*$  estimates that varied by several orders of magnitude for  
422 structurally similar compounds, as well as estimates that were unexpectedly low based on  
423 FIGAERO-CIMS measurements and what one would expect based on molecular structure,  
424 consistent with previous findings [Kurten *et al.*, 2016]. The SIMPOL method of Pankow and

425 Asher [2008] was also applied to select compounds and is discussed below. A major limitation of  
426 this analysis is that we do not know the structure of the molecules detected, only the elemental  
427 composition, and so we make assumptions based on the most likely functional groups expected  
428 from the chemical conditions of the chamber and from the elemental composition (e.g., degrees  
429 of unsaturation, oxygen to carbon ratio). In many cases these assumptions have little impact on  
430 our conclusions as the inferred errors are far larger than expected from possible isomers.

431         If the SOA formed according to equilibrium partitioning theory as first described by  
432 Pankow [1994], the  $F_p$  measured by the FIGAERO-CIMS and the  $C^*$  calculated using group-  
433 contribution methods should be in agreement over a range of organic aerosol mass  
434 concentrations. Figure 5 indicates two immediate challenges to testing partitioning theory. First,  
435 a large number of mostly small carbon number compounds have a much higher measured  $F_p$   
436 relative to the predicted  $F_p$  based on their group-contribution determined  $C^*$ . The  $C^*$  estimates  
437 would have to be in error by five or more orders of magnitude, which is likely not the case as  
438 there are many measurements of vapor pressures for similar compounds. Furthermore, the  
439 thermograms of these compounds appear broad, not Gaussian as expected for individual non-  
440 interacting compounds [Lopez-Hilfiker *et al.*, 2014], and do not peak until  $\sim 85$  °C or higher, see  
441 Table 1, which is also inconsistent with the calibrated composition-enthalpy of sublimation  
442 relationship scaled for the FIGAERO used here [Lopez-Hilfiker *et al.*, 2014]. We attribute this  
443 behavior to thermal decomposition of lower volatility components during the desorption process  
444 giving rise to smaller molecular weight, more volatile components as in previous studies of  
445 IEPOX SOA tracers in the southeast U.S. [Lopez-Hilfiker *et al.*, 2016b],  $\alpha$ -pinene derived  
446 chamber SOA [Lopez-Hilfiker *et al.*, 2015], and biomass burning organic aerosol in the  
447 northwest U.S. [Gaston *et al.*, 2016]. That is, the disagreement between measured and predicted

448  $F_p$  for these compounds is not necessarily a failure of equilibrium partitioning theory, nor  
449 evidence that equilibrium had not been achieved, but rather that the compounds desorbing were  
450 actually part of another larger molecular weight oligomerization product, the  $C^*$  and gas-phase  
451 concentrations of which are unknown.

452 The second challenge to testing gas-particle partitioning is illustrated in the  $F_p$  for two  
453 representative compounds,  $C_5H_{12}O_6$  and  $C_5H_{10}O_6$  (Fig. 5, bottom panels). That there is  
454 reasonable agreement between measured and predicted  $F_p$  (Fig. 5, bottom right, circles) for  
455  $C_5H_{10}O_6$  suggests that equilibrium partitioning is potentially achieved in the chamber. However,  
456 the disagreement for  $C_5H_{12}O_6$  (Fig. 5, bottom left, circles) cannot be explained solely by thermal  
457 decomposition of lower volatility material for two reasons: the thermogram shape is nearly  
458 Gaussian and therefore behaves like a single component, and the predicted  $F_p$  is much larger than  
459 measured (opposite to the above situation). This behavior implies inaccurate  $C^*$  derived from the  
460 group-contribution methods as opposed to thermal decomposition.

461 The EVAPORATION group-contribution method [Compernelle *et al.*, 2011] used in  
462 Figure 5 and that of Capouet and Muller [2006] both produce a  $C^*$  of  $0.03 \mu\text{g m}^{-3}$  for  $C_5H_{12}O_6$   
463 when assuming it is a dihydroxy dihydroperoxide, while the SIMPOL method predicts  $2 \mu\text{g m}^{-3}$   
464 [Pankow and Asher, 2008]. For  $C_5H_{10}O_6$ , assumed to be a hydroxy dihydroperoxy aldehyde,  
465 EVAPORATION [Compernelle *et al.*, 2011] predicts  $4 \mu\text{g m}^{-3}$ , the Capouet and Muller [2006]  
466 method predicts  $2 \mu\text{g m}^{-3}$ , and SIMPOL [Pankow and Asher, 2008] suggests  $20 \mu\text{g m}^{-3}$ . That the  
467  $C_5H_{12}O_6$  values vary by 2 orders of magnitude while the  $C_5H_{10}O_6$  values vary by a factor of 10  
468 suggests the need for better experimental constraints. Using the measured  $T_{\text{max}}$  from the  
469 FIGAERO-CIMS thermograms, the  $C^*$  of  $C_5H_{12}O_6$  and  $C_5H_{10}O_6$  were determined to be 0.7 and  
470  $7 \mu\text{g m}^{-3}$ , respectively. These values were then used to re-calculate the predicted  $F_p$  using

471 equation 1. The original group-contribution calculated  $F_p$  is shown alongside the adjusted points  
472 in the bottom panels of Figure 5 as navy crosses. The root mean square error of both  $C_5H_{12}O_6$   
473 and  $C_5H_{10}O_6$  is minimized when comparing the measurements with the adjusted  $F_p$ , indicating  
474 that the calibrated FIGAERO-CIMS temperature axis can more accurately determine the  $C^*$ .

475 There are a number of possible reasons why measured and predicted  $F_p$  do not agree, with  
476 one being that our methods to estimate  $C^*$  are flawed. This potential source of error can be  
477 addressed directly with the FIGAERO-CIMS thermograms, independently of the measured  $F_p$ ,  
478 thereby allowing for a more robust assessment of whether (i) the assumption of gas-particle  
479 equilibrium is reasonable, (ii) there are possible biases in the measured  $F_p$  due to thermal  
480 decomposition, or (iii) the  $C^*$  estimation methods are valid. The above analysis demonstrates  
481 that all possibilities arise in this data set.

482 In the case of  $C_5H_{12}O_6$  the FIGAERO-CIMS determined  $C^*$  is much closer to the  
483 SIMPOL estimation, and significantly higher than that estimated by the other group-contribution  
484 methods. We suspect the large differences between measured and group-contribution method  
485 estimates of  $C^*$  in this case are due to the lack of vapor pressure data on compounds with  
486 hydroperoxide groups, specifically multifunctional hydroperoxides: there is only data on four  
487 hydroperoxide containing compounds on which these methods are based [Capouet and Muller,  
488 2006; Compernelle et al., 2011]. It can be argued that -OH and -OOH groups will lower the  $C^*$   
489 relative to the precursor by a comparable amount, with the possibility that a -OOH group could  
490 lower it slightly more due to the additional oxygen. For example, the  $C^*$  of 2-methyl-1,2,3,4-  
491 butanol ( $C_5H_{12}O_4$ ) was calculated to be 9 to 34  $\mu\text{g m}^{-3}$ , depending on the method used [Capouet  
492 and Muller, 2006; Compernelle et al., 2011], roughly 250-1000 times greater than the  $C^*$   
493 estimates for  $C_5H_{12}O_6$ . That is, a molecule with the same number of distinct -OH containing

494 functional groups is predicted to have a very different  $C^*$  because the vapor pressure lowering of  
495  $-OOH$  groups assumed by these group-contribution methods is larger than that for an  $-OH$   
496 group. Our observations suggest this assumption is incorrect, at least to the extent employed in  
497 these methods, and is supported by previous work that found group-contribution methods  
498 predicted significantly lower  $C^*$  than two computational models, the conductor-like screening  
499 model for real solvents (COSMO-RS) [Kurten *et al.*, 2016] and the generator for explicit  
500 chemistry and kinetics of organics in the atmosphere (GECKO-A) [Valorso *et al.*, 2011], did for  
501 compounds with multiple functionalities. Conversely, we assume  $C_5H_{10}O_6$  is a hydroxy  
502 dihydroperoxy aldehyde, also with two  $-OOH$  groups, but the group contribution methods  
503 accurately predicted a  $C^*$  consistent with that inferred from the FIGAERO-CIMS  $T_{max}$ . This  
504 agreement may be a coincidence or indicative of the fact that multifunctional compounds with  
505 slightly different functional groups can have significantly different intramolecular interactions,  
506 leading to significantly different saturation vapor concentrations [Compernelle *et al.*, 2011].

507 Figure 5 leads to two main conclusions. The first is that testing equilibrium partitioning  
508 theory is a challenge without a direct constraint on the  $C^*$  like the FIGAERO-CIMS desorption  
509  $T_{max}$  due to possibly large systematic errors in the  $C^*$  predicted from group-contribution  
510 methods. Moreover, thermal decomposition of higher molecular weight compounds, such as  
511 oligomers, into smaller molecular weight compounds generates uncertainty in the measured  $F_p$  in  
512 that the FIGAERO-CIMS  $T_{max}$  derived  $C^*$  does not correspond in such cases to the observed  
513 molecule. This result leads to our second conclusion: a surprisingly large fraction of the iSOA is  
514 resistant to evaporation, indicating it will have a longer lifetime against dilution [Kroll *et al.*,  
515 2006]. Approximately 30-45% of the SOA mass detected by the FIGAERO-CIMS desorbs at  
516 temperatures greater than 80 °C, much of that above 100 °C, which corresponds to effective

517 enthalpies of sublimation  $>150 \text{ kJ mol}^{-1}$  in our FIGAERO-CIMS assuming no diffusion  
518 limitations to evaporation from the particles that exist at these temperatures (for example, due to  
519 highly viscous phases). We note that Kroll et al. [2006] also found evidence for a significant  
520 mass fraction of large molecular weight compounds when applying the AMS to low- $\text{NO}_x$ , non-  
521 IEPOX iSOA. We conclude that oligomerization products are the cause of this more refractory  
522 SOA component, but, we cannot determine from the thermograms alone whether the  
523 oligomerization process is reversible at ambient temperatures on longer timescales than the  $\sim 1$   
524 hour desorptions. That the SOA yield from isoprene is significantly higher for similar organic  
525 mass loadings than that reported from ISOPOOH only, suggests an important role for the broader  
526 distribution of oxidation products formed in addition to those from ISOPOOH. One possible  
527 reason is that these mostly semi volatile products can contribute to lower volatility products via  
528 oligomerization chemistry [Jathar et al., 2016; Sato et al., 2011; Tsai et al., 2015].

529         The sum of thermogram signals across all ions with formula  $\text{C}_x\text{H}_y\text{O}_z\text{N}_{0-1}\text{I}^-$  is presented in  
530 Figure 6, with the value of  $x$  specified for each thermogram. We measured non-negligible signal  
531 for compounds with 6 or more carbons, consistent with the possibility of oligomerization  
532 products. Such compounds account for  $\sim 5\text{-}15\%$  of detected mass. Compounds with 6-7 carbons  
533 make up a majority of the signal for compounds with greater than 5 carbons, though we also  
534 detected significant signal in  $\text{C}_8\text{-C}_{10}$  compounds, themselves making up 3-8% of detected mass.  
535 We measure a monoterpene impurity at 0.3% of the isoprene concentration in our gas cylinder  
536 which could result in  $\sim 0.05\text{-}0.1 \mu\text{g m}^{-3}$  of monoterpene SOA, i.e. 0.3-2.8% of the SOA mass,  
537 significantly lower than the sum of all compounds with 6 or more carbons as well as the sum of  
538 just  $\text{C}_{8-10}$  compounds. Furthermore, the ratio of  $\text{C}_{6-7}$  to  $\text{C}_{10}$  compounds is 5-10 times higher than  
539 we detect in monoterpene + OH experiments performed in the same chamber with the same

540 FIGAERO-CIMS. We also note that a previous study similar to the ones presented here detected  
541  $C_{10}H_{20-22}O_{6-9}$  compounds, as well as a fragment ion in the AMS at  $m/z$  91 corresponding to  
542  $C_7H_7^+$  which was tentatively attributed to thermal decomposition of dimers and oligomers on the  
543 vaporizer, among other possibilities [Riva *et al.*, 2016]. The mass concentration of these  
544 compounds with 6 or more carbons was found to increase with isoprene reacted (Fig. 6, bottom).  
545 At the highest isoprene reacted, these contribute on order 25% to the total OA mass detected.

546

### 547 **3.4 Role of $NO_x$ in iSOA volatility**

548 Previous studies using thermal denuders and either an AMS or a tandem differential mobility  
549 measurement of particle size distributions have found that iSOA formed in the presence of  $NO_x$   
550 is less volatile relative to that formed in  $HO_2$ -dominant regimes [Kleindienst *et al.*, 2009; Xu *et*  
551 *al.*, 2014]. We compare the iSOA volatility under different regimes by looking at the sum  
552 thermograms for compounds with formulae  $C_xH_yO_zN_{0-1}I$ . These sum thermograms are plotted as  
553 a function of temperature for both low- and high- $NO_x$  conditions in Figure 7, bottom left and  
554 right, respectively. Since  $C_5H_{12}O_6$  is a large portion of the SOA mass concentration in these  
555 experiments (e.g. Fig. 4), it is shown separately in dark green with the remainder of the summed  
556 signal shown in light green.  $C_5H_{12}O_6$  is clearly a large contribution to the sum signal in both the  
557 low- and high- $NO_x$  cases, although more so in the low  $NO_x$  regime, with the remaining  
558 thermogram signal primarily located in the lower volatility (higher temperature) “tail” of the  
559 desorption. We have also showed in the bottom left low  $NO_x$  figure a sum of the thermograms  
560 for all compounds with 6 or more carbons, i.e. the sum of all thermograms in Figure 6. We note  
561 that the sum of these compounds makes up ~6% of the total signal. At high  $NO_x$ , there are two  
562 clear modes in the thermogram remaining after removing the  $C_5H_{12}O_6$  contribution (light green),



563 one mode at roughly the same  $T_{\max}$ , and therefore volatility, of  $C_5H_{12}O_6$ , and the other mode at a  
564 higher  $T_{\max}$ ,  $\sim 110$  °C, suggesting a larger fraction of detected iSOA mass at high  $NO_x$  is resistant  
565 to evaporation compared to the low  $NO_x$  case. We also note that the  $T_{\max}$  of individual  
566 compounds shifts to higher values with the addition of  $NO_x$  except for the highest mass  
567 compounds (see Table 1). Although the thermograms for many of these compounds do not have  
568 a distinct Gaussian shape, making determination of the  $T_{\max}$  uncertain or undefined, the shift to  
569 higher  $T_{\max}$  for the same compounds could indicate not just lower volatility products in the form  
570 of oligomers, but also potentially a change in the overall particle viscosity causing the iSOA to  
571 be more resistant to evaporation with the addition of  $NO_x$ .

572 A sum thermogram of  $\alpha$ -pinene ozonolysis that has been previously reported [*Lopez-*  
573 *Hilfiker et al.*, 2015] is displayed alongside those of the low- and high- $NO_x$  experiments (Fig. 7,  
574 top) for comparison. The  $\alpha$ -pinene SOA has a bimodal sum thermogram, similar to that of the  
575 high- $NO_x$  iSOA with the second lower volatility modes in the same temperature range. The  
576 higher volatility mode of the  $\alpha$ -pinene SOA corresponds in temperature space to that of the  
577 higher volatility mode of the low- $NO_x$  iSOA. The multiple modes of the  $\alpha$ -pinene sum  
578 thermogram have the same relative maxima in signal space, unlike the isoprene sum  
579 thermograms.  $\alpha$ -Pinene ozonolysis apparently generates a larger fraction of lower volatility SOA  
580 relative to isoprene photochemical oxidation, although isoprene photochemical SOA has  
581 components in the same volatility ranges of  $\alpha$ -pinene ozonolysis SOA, and the relative size of the  
582 various modes and location in temperature space is dependent on the amount of  $NO_x$ .

583 The contribution of the effectively lower volatility components inferred from  
584 thermograms in Figure 7 is likely underestimated in both the low- and high-  $NO_x$  cases because  
585 the thermograms are presented as ion signal, not mass concentration. If we converted into mass

586 concentration units prior to calculating the summed thermogram, the contribution of  $C_5H_{12}O_6$   
587 would be significantly less than implied in Figure 7. The integrated contribution of  $C_5H_{12}O_6$   
588 would instead be more similar to that shown in Figure 4 for which we applied calibration  
589 estimates based on ISOPOOH and a range of other oxygenated compounds, together with the  
590 ion-molecule collision limited sensitivity discussed previously [Liu *et al.*, 2016; Lopez-Hilfiker *et*  
591 *al.*, 2016a]. In conclusion, the low- $NO_x$  SOA has an overall higher volatility and the addition of  
592  $NO_x$  results in lower volatility material making up a larger fraction of the SOA, although the  
593 total SOA yield is lower [Liu *et al.*, 2016], in general agreement with previous studies showing  
594 that increasing  $NO_x$  leads to lower volatility SOA [Kleindienst *et al.*, 2009], likely by enhancing  
595 oligomerization [Dommen *et al.*, 2006; Xu *et al.*, 2014]. However, many of these previous studies  
596 were carried out in very different concentration regimes with different detection techniques, so  
597 that the data we present offers an additional contribution to the general importance of  
598 oligomerization.

599

#### 600 **4 Conclusions**

601 We have explored the composition and volatility of isoprene SOA at low and high  $NO_x$   
602 concentrations utilizing effloresced ammonium sulfate seed to prevent IEPOX uptake and thus  
603 suppress IEPOX multiphase chemistry. We measured compositions of products reported in  
604 previous works of similar experiments [Krechmer *et al.*, 2015; Liu *et al.*, 2016; Riva *et al.*,  
605 2016], in particular  $C_5H_{12}O_6$  and related highly oxidized compounds. We note that these  
606 compounds have also been observed in the atmosphere in isoprene rich regions [Riva *et al.*,  
607 2016]. We examined the saturation vapor concentrations of several of the most dominant  
608 particle-phase signals and tested the accuracy of various group-contribution methods to

609 determine the  $C^*$ . Of the three group-contribution methods assessed, the SIMPOL approach  
610 [Pankow and Asher, 2008] gave the closest estimates of  $C^*$  compared to those determined from  
611 the thermograms. The vapor pressure lowering effect of  $-OOH$  groups, assumed to be  
612 abundantly present in this system, appears to be greatly overestimated in two commonly used  
613 methods [Capouet and Muller, 2006; Compernelle *et al.*, 2011]. Through these analyses we  
614 found that a significant fraction of SOA components we measure are likely thermal  
615 decomposition fragments, characterized by broad thermograms and higher than expected  $T_{max}$ .

616 That such a large fraction (30-45%) of the non-IEPOX iSOA is of low volatility implies  
617 the lifetime of non-IEPOX iSOA is longer than would previously be expected. Together with the  
618 thermal decomposition fragments, we also observe compounds with 6 or greater carbons,  
619 supporting an important role for oligomerization chemistry. These findings suggest that  
620 experiments which assess the SOA formation potential of isoprene or one of its oxidation  
621 products alone likely underestimate the overall potential due to the participation of a broad suite  
622 of products in oligomerization chemistry. Further work on the role of oligomerization chemistry  
623 in this system is needed to verify that the higher iSOA yield observed by Liu *et al.* [2016] from  
624 isoprene is indeed caused by semi volatile products participating in oligomerization reactions.  
625 Furthermore, we have shown here that the addition of NO has a strong effect on the amount of  
626  $C_5H_{12}O_6$  produced, and while the overall volatility of the OA decreases with  $NO_x$ , the total  
627 amount of OA also decreases [Liu *et al.*, 2016], indicating that in polluted regions the amount of  
628 SOA formed from this pathway will be diminished, but the SOA will be longer lived against  
629 dilution. In conclusion, due to the high yield of IEPOX from ISOPOOH + OH it has been  
630 assumed to be the most important pathway for the formation of iSOA, however, its relatively  
631 high volatility ( $\sim 2 \times 10^4 \mu\text{g m}^{-3}$  [Compernelle *et al.*, 2011]) and the fact that it requires such

632 specific conditions to form SOA efficiently implies that the formation of SOA from the non-  
633 IEPOX pathway discussed herein can also play an important role in many environments  
634 regardless of sulfate aerosol concentrations.

635

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## Figure and Table Captions

**Scheme 1.** A simplified schematic of isoprene and its major oxidation products. Products formed in the presence of  $\text{NO}_x$  are identified with blue arrows.

**Table 1.** Gas- and particle-phase compounds detailed in the mass spectra in Figure 2 (top). Many molecular compositions are observed in both the gas- and particle-phase. If the composition is observed in the particle phase, a  $T_{\text{max}}$  is listed at both low (0 ppb input NO) and high (20 ppb input NO)  $\text{NO}_x$ . The desorption shape is also listed and is consistent across  $\text{NO}_x$  conditions. The significance of the  $T_{\text{max}}$  and desorption shape are discussed in detail in the text. If the compound is only detected in the gas phase, “NA” is listed in the  $T_{\text{max}}$  and thermogram columns, indicating that those values are not applicable.

**Figure 1.** Overview of the 2014 and 2015 measurements taken at PNNL. The left column is data from the 2014 campaign, the right column is 2015. The top row shows gas-phase compounds measured by the PTR-MS and FIGAERO-CIMS, as well as input concentrations of  $\text{H}_2\text{O}_2$ , NO, and isoprene. Middle row shows the OA as measured by the AMS. Steady state periods are shown within magenta circles, AMS blanks as black squares. Select particle phase species measured by the FIGAERO-CIMS are in the bottom row. Grey shaded areas in each column indicate when chamber lights were off for chamber cleaning and a dark  $\text{NO}_3$  experiment (in 2014) which is not discussed here. Note that the axis limits are not the same due to a wide range in concentrations across years, while  $\text{C}_5\text{H}_{12}\text{O}_5$  has been enhanced 5x and  $\text{C}_5\text{H}_{11}\text{NO}_7$  has been enhanced 20x in the bottom rows to clearly show the behavior of each species on the same axis.

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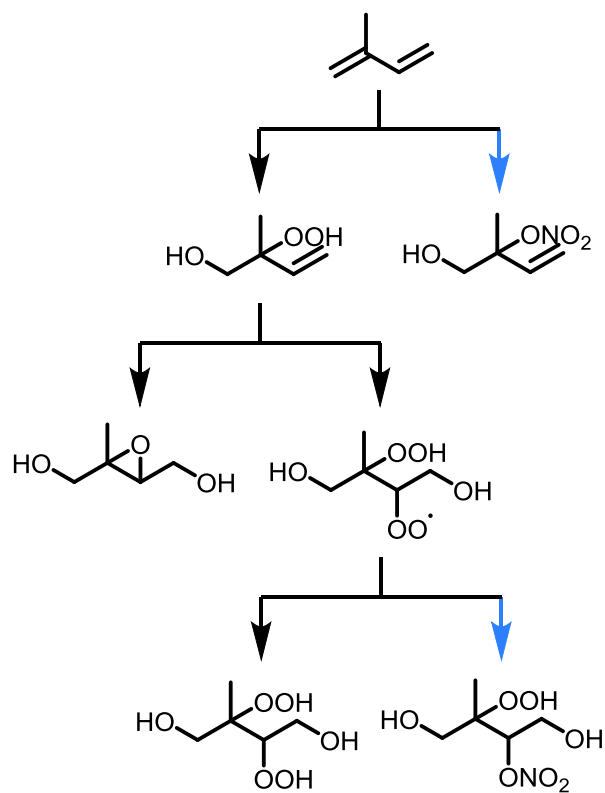
**Figure 4.** Time evolution of particle-phase concentrations in a batch mode isoprene photochemical oxidation experiment at low- $\text{NO}_x$ . Time increases from left to right and the size of the pies is proportional to the amount of OA present which is: 5.6, 10.8, 10.6, 10.4  $\mu\text{g m}^{-3}$  from left to right.

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994 panels. Measured  $F_p$  is the direct measurement from the FIGAERO. Bottom: The  $F_p$  can also be  
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999 **Figure 6.** Top: Sum of the thermogram signal for compounds with formula  $C_xH_yO_zI$ -, where  $x$  is  
1000 varied across each thermogram and represents compounds with 6 or more carbons. Bottom: The  
1001 sum off the mass concentration of all compounds with 6 or more carbons relative to the mass  
1002 concentration of organic aerosol as a function of isoprene reacted.  
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1004 **Figure 7.** Top: Sum thermograms of  $\alpha$ -pinene +  $O_3$  compared to isoprene ( $C_5H_8$ ) photooxidation  
1005 with and without  $NO_x$ . The  $\alpha$ -pinene sum thermogram has been reported previously (Lopez-  
1006 Hilfiker *et al.* [2015], Fig 5). Bottom: The sum thermograms at low (left) and high (right) input  
1007 NO. The thermogram of  $C_5H_{12}O_6$ , the largest signal in both cases, is separated out (dark green)  
1008 and the sum of the remaining signal minus  $C_5H_{12}O_6$  is displayed as the remaining signal (light  
1009 green). At Low NO input, the sum of compounds with 6 or more carbons is specified (pink  
1010 triangle/line), while at high NO input the sum of OrgN and the sum of non-nitrate organics are  
1011 plotted (dashed lines, independent of solid lines) to show the relative thermogram features.  
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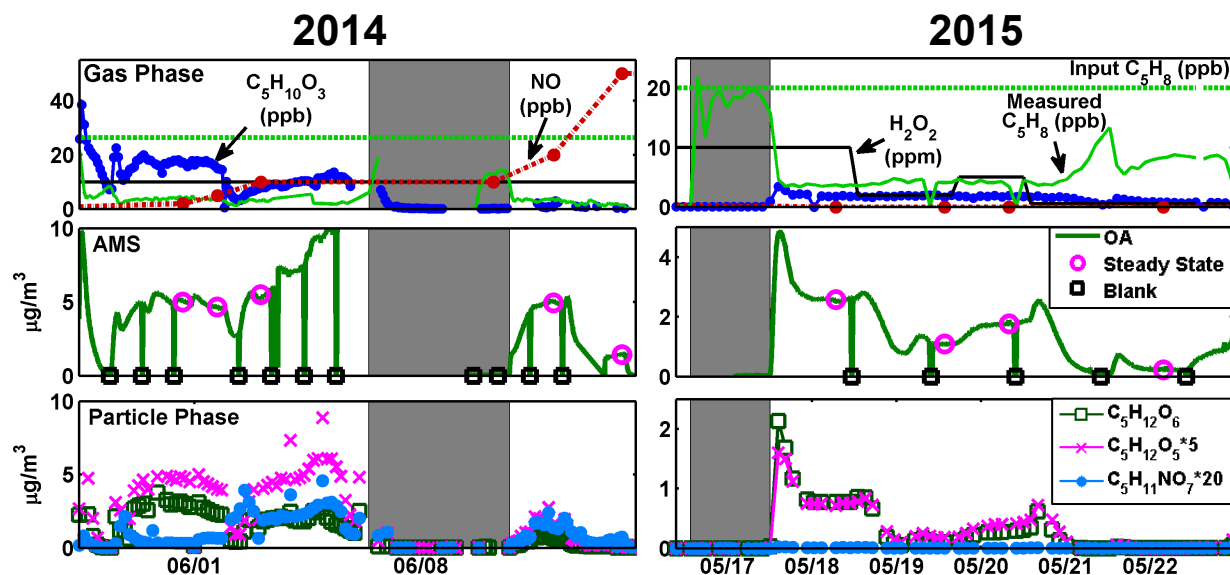
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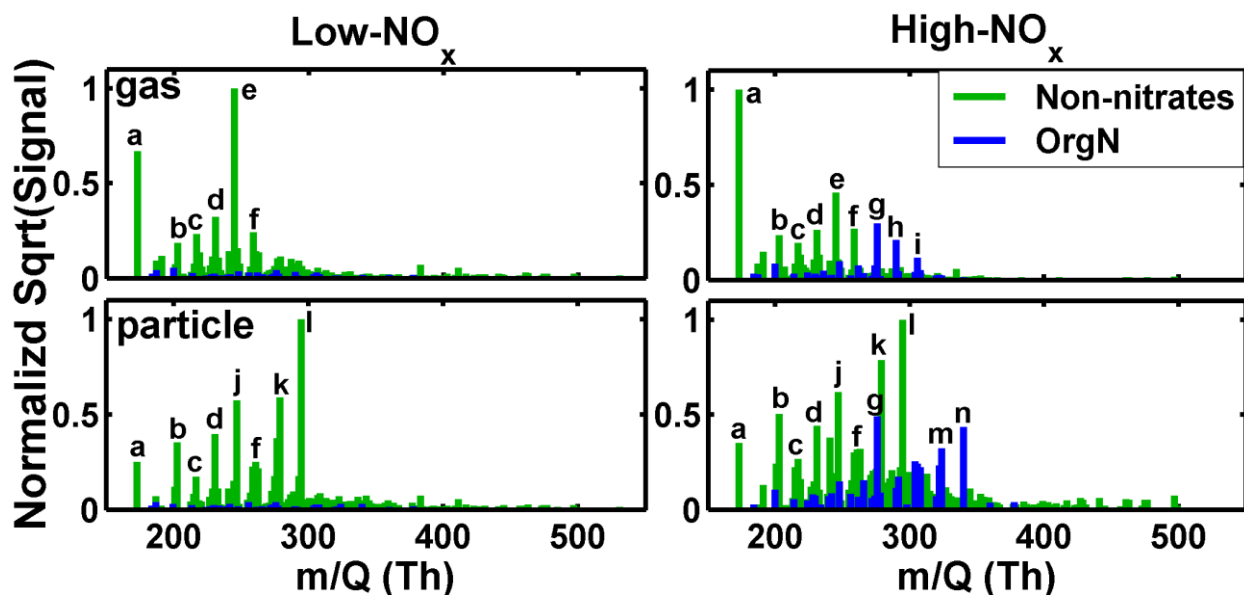
| Letter | Mass     | Molecular Composition                         | Particle-phase $T_{\max}$ , Low $\text{NO}_x$ | Particle-phase $T_{\max}$ , High $\text{NO}_x$ | thermogram shape |
|--------|----------|---|---|--|------------------|
| a      | 172.9105 | $\text{CH}_2\text{O}_2\text{I-}$              | 87  | 94   | broad            |
| b      | 202.9211 | $\text{C}_2\text{H}_4\text{O}_3\text{I-}$     | 113   | 115  | broad            |
| c      | 216.9367 | $\text{C}_3\text{H}_6\text{O}_3\text{I-}$     | 76  | 100  | broad            |
| d      | 230.9524 | $\text{C}_4\text{H}_8\text{O}_3\text{I-}$     | 87  | 115  | broad            |
| e      | 244.968  | $\text{C}_5\text{H}_{10}\text{O}_3\text{I-}$  | NA  | NA   | NA               |
| f      | 258.9473 | $\text{C}_5\text{H}_8\text{O}_4\text{I-}$     | 76, 111                                       | 70, 115  | double           |
| g      | 275.9374 | $\text{C}_4\text{H}_7\text{NO}_5\text{I-}$    | 88  | 115  | broad            |
| h      | 289.9531 | $\text{C}_5\text{H}_9\text{NO}_5\text{I-}$    | NA  | NA   | NA               |
| i      | 305.948  | $\text{C}_5\text{H}_9\text{NO}_6\text{I-}$    | NA  | NA   | NA               |
| j      | 246.9473 | $\text{C}_4\text{H}_8\text{O}_4\text{I-}$     | 95  | 110  | broad            |
| k      | 278.9735 | $\text{C}_5\text{H}_{12}\text{O}_5\text{I-}$  | 60  | 48   | Gaussian         |
| l      | 294.9684 | $\text{C}_5\text{H}_{12}\text{O}_6\text{I-}$  | 63  | 56   | Gaussian         |
| m      | 323.9586 | $\text{C}_5\text{H}_{11}\text{NO}_7\text{I-}$ | 72  | 50   | Gaussian         |
| n      | 339.9535 | $\text{C}_5\text{H}_{11}\text{NO}_8\text{I-}$ | 53  | 45   | Gaussian         |

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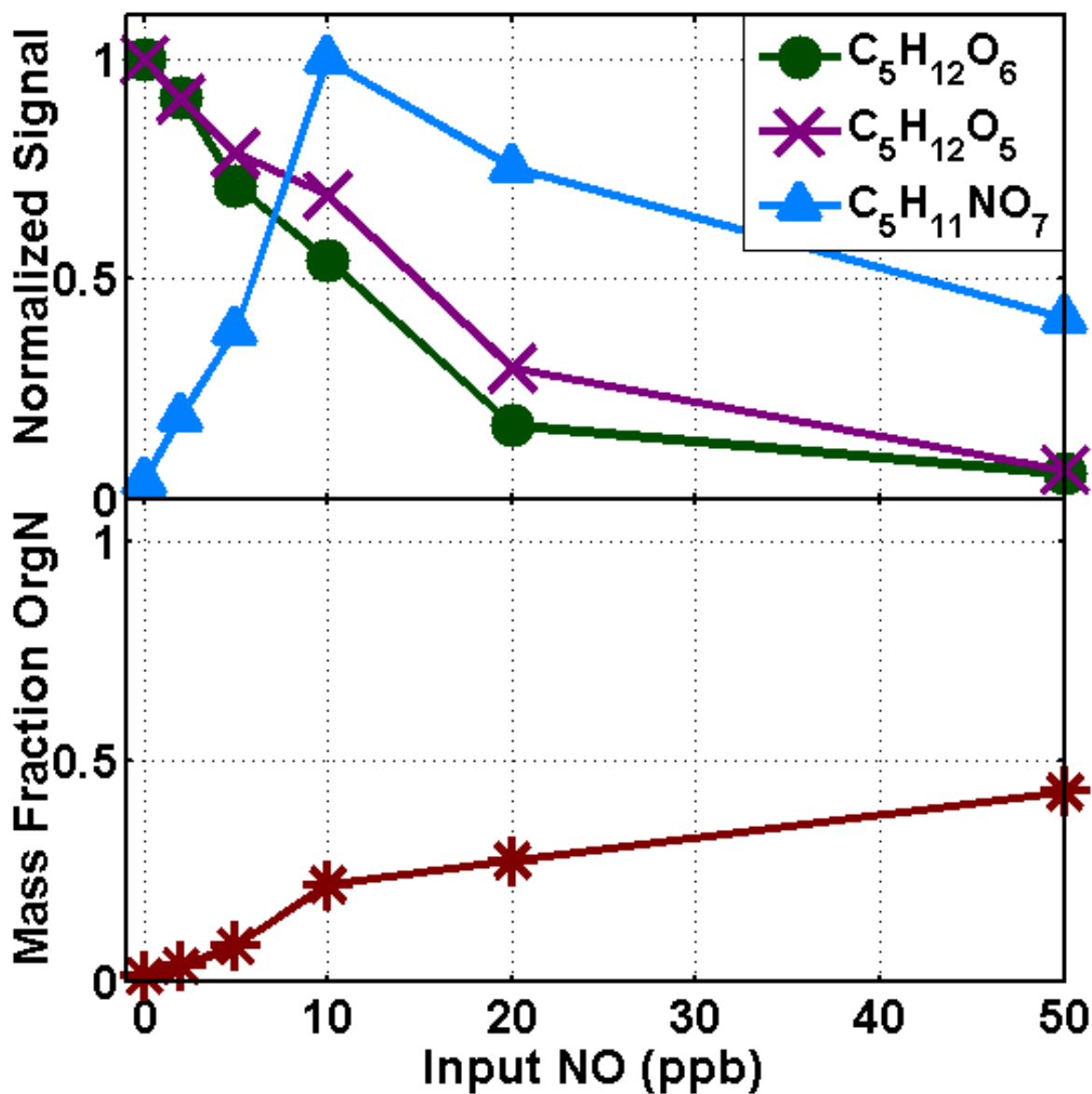
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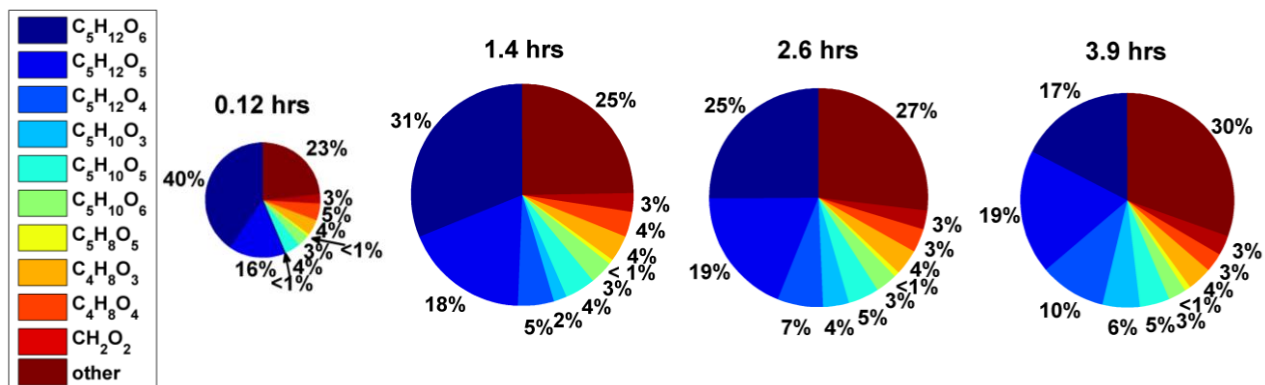
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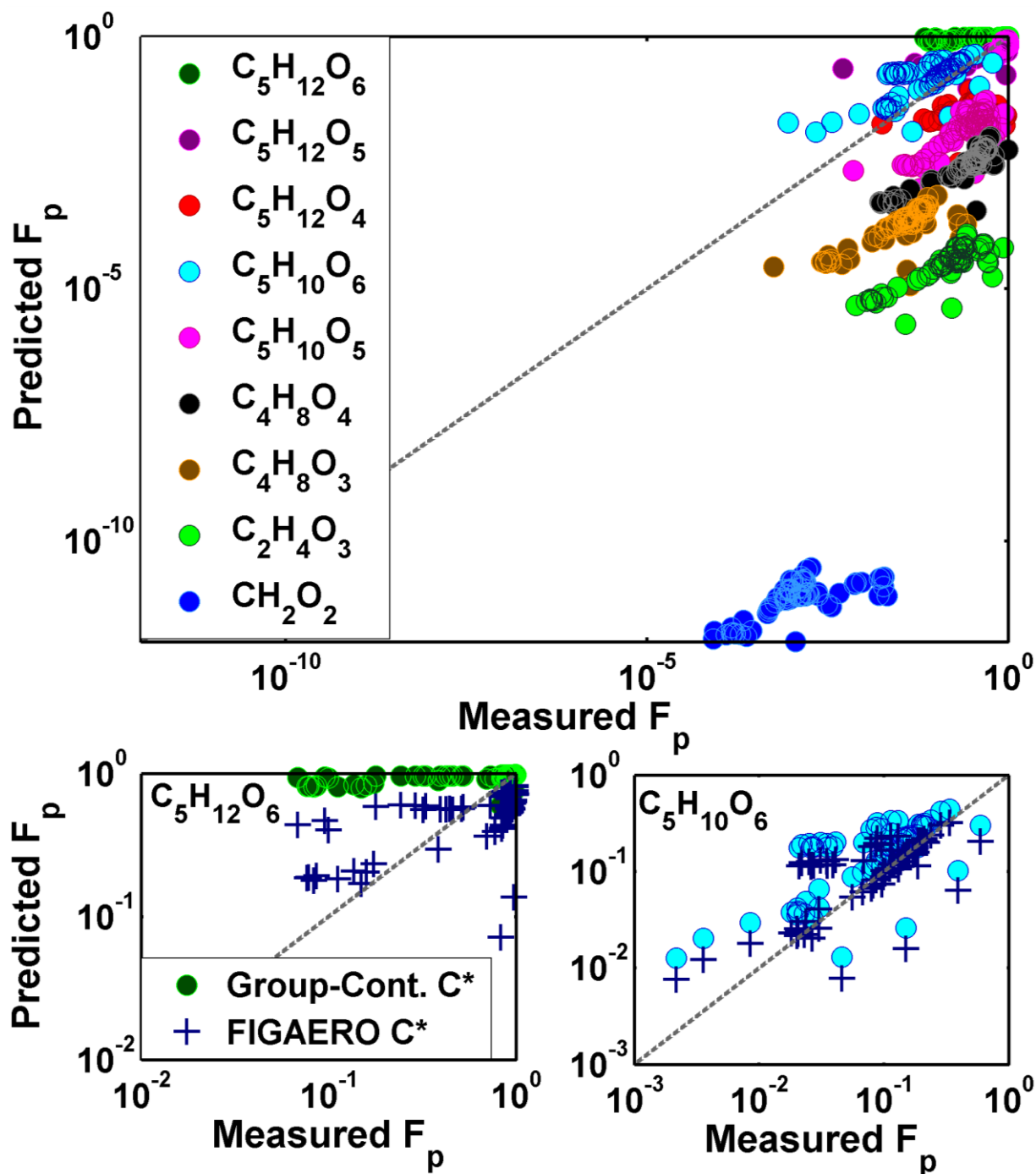
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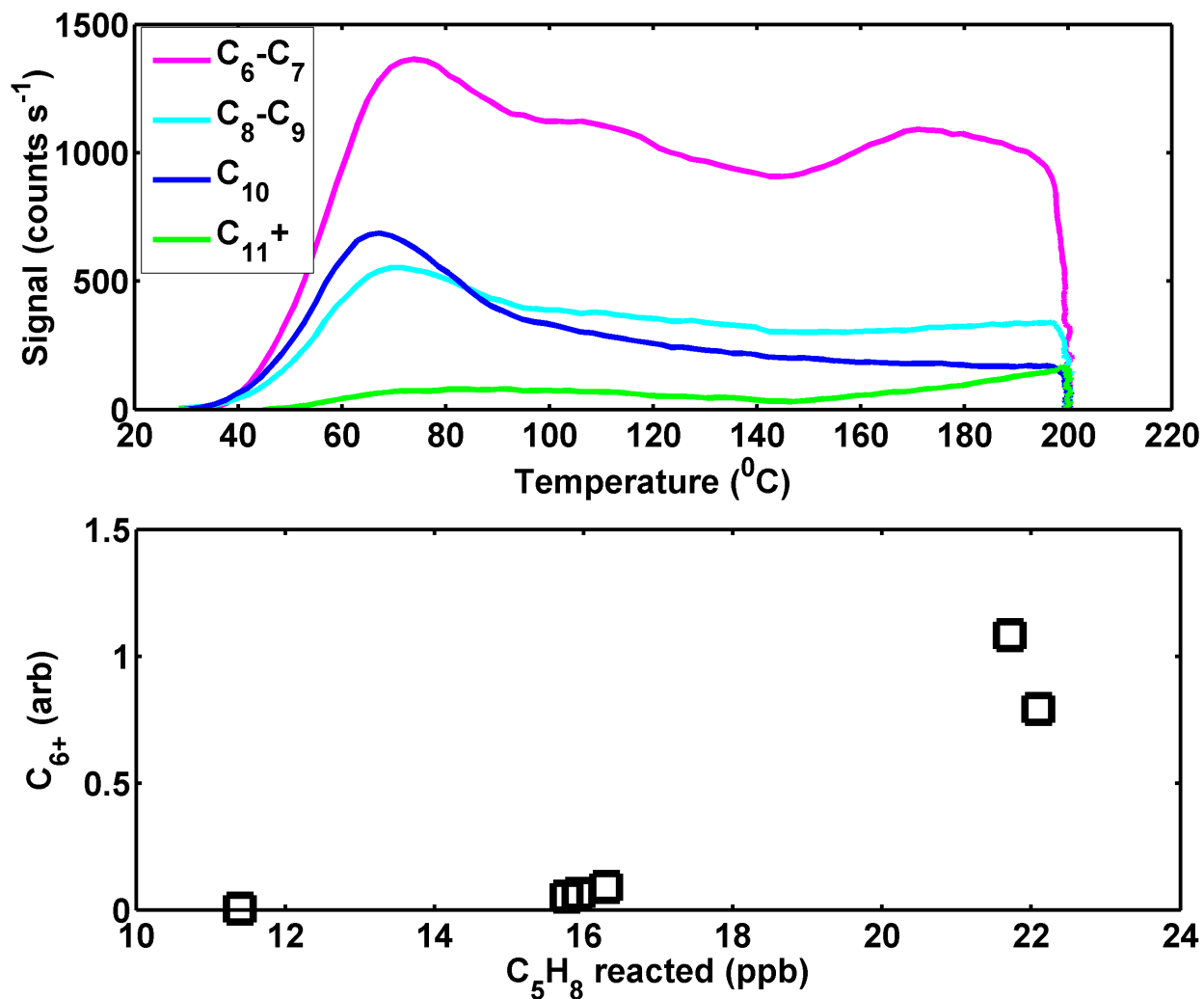
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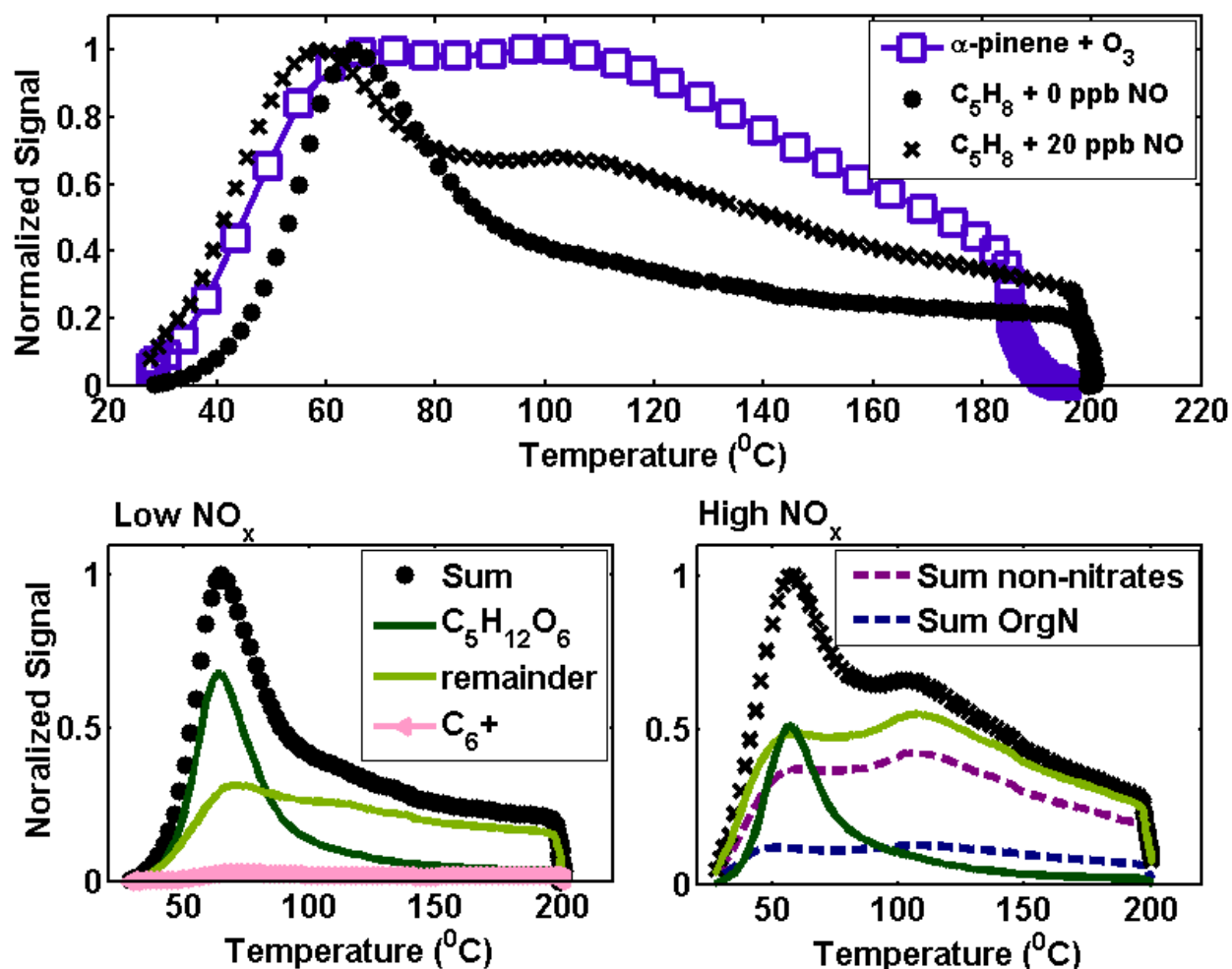


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