

Response to comments from Anonymous Referee #1

This comment addresses the comments of Anonymous Referee #1. We wish to thank the Referee for the interest in our work and the valuable inputs on the manuscript. The follow document is a point by point response in which we intend to show how we had addressed each item mentioned in the review.

Note: the following fonts are applied to divide Referee's comments from the Author's response:

Comments from the Referee

Response from the Authors

The page and lines numbers refer to the original version of the manuscript. The manuscript following the Author's response is the final revised version.

Response to the general comments

The results in the paper are somewhat inconsistent. In Fig 3 and 7 the aerosols can be seen to affect the COT and LWP while in Fig 6 no effects from aerosols are found on these parameters.

The colorbars of Fig.6b and e have been modified. By decreasing the lower and upper limit of the interval range, this change in color scaling allows results to be more easily visualized. Now it is possible to observe the effect of low and high AOD cases on both COT (Fig.6b) and LWP (Fig.6e). Overall, both parameters show a rather small and negligible signals. However, in details, the LWP has a predominance of (small) negative values while the COT show negative values over the majority of Area 2 and Area 3 but mixed (negative and positive values) are found over Area 1.

No effect on CF by the aerosols are found in Fig 3 while in Fig 6 and 7 CF is found to vary with aerosol loading.

The author misguided the Referee by stating that no aerosols effect was observed on CF in Fig.3. The Author would rather say that the signal is not very distinct because the CF lines for the aerosol classes are more 'tangled-up' compared to the profiles of the other cloud parameters.

Anyhow, Figure 3 aims, firstly, to answer the question whether aerosols have an impact on cloud vertical development. Results shows that the highest the aerosols, the lowest is the cloud top pressure (hence higher cloud tops). This effect is observable in each cloud parameter (CF, CER, COT, LWP). The effect of aerosols on CF is not missing from Fig.3, as higher aerosol loading leads to higher vertical development, but this is not a result that is directly linked, and observable, in Fig.6 and Fig.7.

Additionally, Fig. 3 also enables the reader to assess the effect of different aerosol loadings on the cloud parameters. While these are clearly visible for CER, COT, LWP, the signal is not as clear and distinctive for CF but is not absent either. The CF for the highest AOD (purple line) is dominantly the highest CF value throughout the vertical profile, in accordance with the AIE's theory. This results is also found in Fig. 6a and Fig. 7 a,g where high aerosol condition corresponds higher CF.

The text describing the CF results has been modified following what has been stated above.

I believe the paper would benefit from a more structured discussion with regards to why the aerosol effects for different parameters appear in some of the figures while not in others.

By addressing the Referee's comments, the Author hopes that the structure of the results and discussion is now improved and better articulated.

Figure 3 b and d. The values of AE and COT are very high over the North western Norway. Could snow cover possibly affect the retrievals leading to high biases?

Studies over both the Baltic Sea (Melin et al., 2013) and the Norwegian coastline (Rodriguez et al., 2012) showed AE values in line with the high MODIS-derived AE estimates. Rather than snow cover, the high AE values might be caused by the AE sensitivity to AOD errors, especially in cases where the AOD is very low (Levy et al., 2015). The reference to Rodriguez et al. (2012) has been added in the text.

The cloud-retrieval could be affected by a failure in the cloud mask detecting false clouds instead of snow or ice. The level-3 MODIS atmosphere daily global product daily mean cloud products for each $1^\circ \times 1^\circ$ cell are derived from the MODIS cloud mask level-2 product (MYD35_L2). Whether interested in the atmospheric properties of cloud or aerosols, the MODIS Cloud Mask enables the user to quantify the potential errors resulting from cloud contamination by classifying each pixel as either confident clear, probably clear, uncertain, or confidently cloudy through several spectral tests. In general, MODIS cloud detection is based on the principle that clouds' electromagnetic signature makes a scene brighter and colder than what the scene would be if MODIS had a clear view. However, there are situations when the clouds' signature "colder-brighter" is not that clear anymore. One typical situation where often cloud detection is faulty occurs when clouds are located over snow and ice.

Figure 7: This figure is very nice and informative. Could you please change the colorbar for the COT? The colorbar goes up to 40 but the highest value in the figure is around 20. If you changed this it would be easier to see the trends in the COT.

The author agrees with the suggestion of the Referee but believes that the original colormap of Fig.7 enables the reader to see the increasing COT as a function of aerosols.

Response to the technical corrections

Page 2, line 16: *'in situ' should be changed to 'in-situ'.*

Correction accepted. Text changed accordingly.

Page 3, line 39: *There is no figure 2 b and c.*

The reference was mistakenly addressing Figure 2 instead of Figure 3. The reference has been corrected pointing at Figs. 3b and 3c.

Page 4, line 7: The author changed the verb 'choose' to 'divide'.

Page 4, line 14: *The sentence is somewhat awkward. Please rewrite.*

The sentence is now rephrased as following.

Original: "The difference of these two variables shows which aerosol condition has a larger effect on cloud properties."

Rephrased: "The difference (ΔCloud_X) between the cloud parameter Cloud_X in clean ($\text{Cloud}_X_{25\text{th percentile}}$) and polluted ($\text{Cloud}_X_{75\text{th percentile}}$) aerosol conditions evidences the impact on the parameter Cloud_X of these two aerosol cases."

Page 4, line 20-24: *It seems to me that these sentences presents results and perhaps should be moved to section 4.*

The author agrees with the suggestion and the text in lines 20-24 are moved to the beginning of the Result section.

Page 4, line 43 – Page 5 line 1. *The end of this sentence is confusing since there are no high AOD values over the Atlantic coast of Norway in figure 3a.*

The sentence appear to be missing the adjective 'lowest'. The sentence is now including the adjective: "A decreasing south-north gradient of AOD is observed in Fig. 3a where the highest values are found over Area 3 (Northern-Germany and Poland), and the lowest over Area 2 (the Atlantic coast of Norway and Northern Sweden)."

Page 5, line 9: *"rather unlikely to be correct" awkward, please rephrase.*

The author meant that from previous evaluation studies of the MODIS aerosol product (Levy et al., 2015), a good agreement between AE from MODIS and AERONET stations were found, over water, only in cases for AOD > 0.2. This lower limit is not suitable for our area, which has an averaged AOD of about 0.2, therefore the AE's applicability is questionable. Nonetheless, the MODIS AE values are in line with those reported in Melin et al. (2013) over the Baltic Sea and in Rodriguez et al. (2011). The references to Rodriguez et al. (2011) has been added to the text and the references.

The sentence is rephrased as following:

Original: "Over ocean, a good agreement between MODIS AE and AERONET is found globally but with the limitation of AOD > 0.2 (Levy et al., 2015), a restriction that cannot be applied in our study area where the regional AOD is about 0.2. Therefore, the high values of the AE over the Norwegian Sea are rather unlikely to be correct. Nevertheless, the AE over Area 1 (Fig. 3b) is matching the median range of 1.46-1.49 obtained from a validation study that compares the AE retrieved by SeaWiFS and MODIS Aqua/Terra with the three AERONET stations over the Baltic Sea (Melin et al., 2013)."

Rephrased: "Over ocean, a good agreement between MODIS AE and AERONET is found globally with the limitation of AOD > 0.2 (Levy et al., 2015), a restriction that cannot be applied in our study area where the regional AOD is about 0.2. As the sensitivity of AE to AOD errors are especially critical for low AOD values, pixels with AOD < 0.2 are expected to have a less qualitatively accurate AE. Nevertheless, the AE over Area 1 (Fig. 3b) is matching the median range of 1.46-1.49 obtained from a validation study that compares the AE retrieved by SeaWiFS and MODIS Aqua/Terra with the three AERONET stations over the Baltic Sea (Melin et al., 2013). Comparable high AE values are collected by Rodriguez et al. (2012) from 2002 to 2011 at the sub-arctic ALOMAR Observatory (Andøya, Norway): the AE peaks during summer season with a multi-annual mean and standard deviation of 1.3 ± 0.4 ."

Page 5, line 16-17: *The acronym AIE has not been defined. Also the start of the sentence is confusing, the AIE does not say that CER appears to be better correlated with the AOD.*

The definition of the acronym AIE was indeed missing and now it is introduced at Page 2, line 1.

The sentence at Page 5, line 16-17 is rephrased as following:

Original: "According to the first AIE, the CER (Fig. 3e) appears to be better correlated with the AOD (Fig. 3a) rather than the AI (Fig. 3c) and the COT maxima are also in correspondence with the AOD minima over the coast of Norway (Area 2)."

Rephrased: "Considering the theory of the first AIE, that is, an increase in aerosol loading leads to larger CDNC and smaller CER for a fixed LWP, the CER (Fig. 3e) shows correlation with the AOD spatial distribution (Fig. 3a) while worst comparison are found between CER (Fig.3e) and AI (Fig.3c)."

Page 5, line 19: *'which makes these particles very effeicient' please add CCN and change the spelling to efficient.*

Correction accepted. Text changed accordingly.

Page 6, line 4: *'turbulences' change to 'turbulence'.*

Correction accepted. Text changed accordingly.

Page 6, line 10: *There may be other parameters than LTS and aerosol that affects the clouds. I therefore recommend rewriting the first part of this sentence.*

The sentence is rephrased as following:

Original: "To understand to what extent the link between aerosol and cloud parameters are actually due to aerosols, we evaluated the variability of low-level liquid cloud properties as function of aerosol conditions (AOD/AI) and lower troposphere stability (LTS)."

Rephrased: "In an attempt to connect the link between aerosol and cloud with meteorology, we evaluated the variability of low-level liquid cloud properties as function of aerosol conditions (AOD/AI) and lower troposphere stability (LTS)."

Page 6, line 14: *To me it looks like also the CER is affected by the LTS.*

Looking at Fig. 7 b and c, within each AI and AOD bin, the CER changes between 11 and 12 μm in function of LTS. The author consider 1 μm to be a rather negligible variation.

Page 6, line 21-25: *This part is confusing to me. There are no results on CF in figure 5 and the structures of the sentences are confusing. Could you please rewrite these sentences to clarify the reasoning with regards to CF results?*

The author agrees with the Referee. The paragraph is, indeed, rather confusing. The text describing the CF results has been modified and rephrased throughout the manuscript according to the discussion presented in the section of the Author's response to General Comments.

Page 7, line 7: *Should ACI be AIE?*

Correction accepted. Text changed accordingly.

The sentence has been rephrased as following:

Original: "This study shows that the different aerosol conditions characterizing the Baltic Sea countries have an impact on the ACI and this can be also observed on a regional scale."

Rephrased: "This study shows that the different aerosol conditions characterizing the Baltic Sea countries contributes to the AIE and this can be also observed on a regional scale."

Page 7: *the conclusion contains quite a bit of discussion of the results. Maybe this section should be renamed Discussion and Conclusion.*

Correction accepted. Text changed accordingly.

Added Reference

Rodriguez, E., Toledano, C., Cachorro, V. E., Oritz, P., Stebel, K., Berjón, A., Blindheim, S., Gausa, M. and de Frutos, A. M.: Aerosol characterization at the sub-arctic site Andenes (69°N, 16°E), by the analysis of columnar optical properties. Q.J.R. Meteorol. Soc., 138, 471-482, doi:10.1002/qj.921, 2012.

1 **Estimates of the aerosol indirect effect over the Baltic Sea region** 2 **derived from twelve years of MODIS observations**

3 Giulia Saponaro¹, Pekka Kolmonen¹, Larisa Sogacheva¹, Edith Rodriguez¹, Timo Virtanen¹ and Gerrit
4 de Leeuw^{1,2}

5 ¹Finnish Meteorological Institute, Helsinki, 00560, Finland

6 ²Department of Physics, University of Helsinki, Helsinki, 00560, Finland

7

8 *Correspondence to:* Giulia Saponaro (giulia.saponaro@fmi.fi) and P. Kolmonen (pekka.kolmonen@fmi.fi)

9 **Abstract.** Twelve years (2003-2014) of aerosol and cloud properties retrieved from the Moderate Resolution Imaging
10 Spectroradiometer (MODIS) on-board the Aqua satellite were used to statistically quantify aerosol-cloud interaction (ACI)
11 over the Baltic Sea region including the relatively clean Fennoscandia and the more polluted Central-Eastern Europe. These
12 areas allowed us to study the effects of different aerosol types and concentrations on macro- and microphysical properties of
13 clouds: cloud effective radius (CER), cloud fraction (CF), cloud optical thickness (COT), cloud liquid water path (LWP) and
14 cloud top height (CTH). Aerosol properties used are aerosol optical depth (AOD), Ångström Exponent (AE) and aerosol index
15 (AI). The study was limited to low level water clouds in the summer.

16 The vertical distributions of the relationships between cloud properties and aerosols show an effect of aerosols on low-level
17 water clouds. CF, COT, LWP and CTH tend to increase with aerosol loading, indicating changes in the cloud structure, while
18 the effective radius of cloud droplets decreases. The ACI is larger at relatively low cloud top levels, between 900 hPa and 700
19 hPa. Most of the studied cloud variables were unaffected by the lower tropospheric stability (LTS) except for the cloud
20 fraction.

21 The spatial distribution of aerosol and cloud parameters and ACI, here defined as the change in CER as a function of aerosol
22 concentration for a fixed LWP, shows positive and statistically significant ACI over the Baltic Sea and Fennoscandia, with
23 the former having the largest values. Small negative ACI values are observed in Central-Eastern Europe, suggesting that large
24 aerosol concentrations saturate the ACI.

25 **Key words:** aerosols, cloud effective radius, aerosol indirect effect, satellite

26 **1 Introduction**

27 Aerosols and especially their effect on the microphysical properties of clouds are among the key components that influence
28 the Earth's climate. As the magnitude and sign of such effects are not well known, understanding and quantifying the influence
29 of aerosols on cloud properties constitute a fundamental step towards understanding the mechanisms of anthropogenic climate
30 change (IPCC, 2013).

31 As aerosols may act as cloud condensation nuclei (CCN), an increase in their number concentration can lead to an increase in
32 the number of cloud droplets in super saturation conditions and a decrease of the cloud droplet radius. The decrease of the
33 droplet effective radius resulting in an increase of the cloud albedo, under the assumption of a constant liquid water path, is
34 known as the Twomey effect (Twomey, 1977). The decrease of droplet size can also impact the precipitation cycle, as the
35 smaller droplets require longer time to grow into precipitating droplet sizes. Additionally, a possible decrease of the
36 precipitation frequency of liquid clouds increases the lifetime of clouds (Albrecht, 1989). These impacts of aerosols are called
37 the first and second indirect effects, respectively.

38 A quantitative evaluation of the effects of aerosols on clouds may be possible mainly in a statistical sense because of the local
39 interactions between meteorological conditions and aerosols (Tao et al., 2012). Satellite-based remote sensing instruments
40 can provide a large data set for statistical analysis from long-term observations of the aerosol indirect effect on a large spatial
41 scale with daily global coverage, complementing localized ground measurements and providing necessary parameters for
42 climate models.

1 A common approach in the satellite-based investigation of the first aerosol indirect effect (AIE) is the concept of the aerosol-
2 cloud-interaction (ACI) that relates the cloud optical thickness (COT), cloud effective radius (CER) or cloud droplet number
3 concentration (CDNC) to the aerosol loading. The aerosol loading is usually expressed by the aerosol optical depth (AOD) or
4 aerosol index (AI, defined in Section 3) that are used as a proxy for the CCN concentration.

5 Many studies describe the interaction between aerosols and clouds through the correlation of the satellite retrieved aerosol
6 concentration and cloud droplet size on a global or regional scale. Inverse correlations on a global (Breon et al., 2002; Myhre
7 et al., 2007; Nakajima et al., 2001) and a regional scale (Costantino et al., 2010; Ou et al., 2013) have been found while
8 Sekiguchi et al. (2003) and Grandey and Stier (2010), applying satellite data on a global scale, found either positive, negative,
9 or negligible correlations between the CER and AOD depending on the location of the observations. Jones et al. (2009)
10 emphasized that the ACI should be inferred in aerosols or cloud regimes determined on a regional-scale, as the relevance of
11 aerosol type, aerosol concentration, and meteorological conditions differ around the world.

12 Areas located at high latitudes are excluded from most of the studies due to a seasonal limitation of the satellite coverage and
13 a smaller number of observations when compared to the global averages over the year. Lihavainen et al. (2010) compared in-
14 situ and satellite measurements to quantify the aerosol indirect effect on low-level clouds over Pallas (Finland), a northern
15 high-latitude site, and concluded that the ACI values derived from ground based measurements were higher than those
16 obtained from satellite observations. Unlike the in situ instruments, the wavelengths used in the satellite retrievals constrain
17 the detection of fine particles to those larger than about 100 nm, thus making it impossible to account for all CCN. Sporre et
18 al. (2014a, 2014b) combined aerosol measurements from two clean, northern high-latitude sites with satellite cloud retrievals
19 and observed that the aerosol number concentration affects the CER while no impact on the COT was observed. As both
20 studies focused on specific locations, no information was thus provided on a larger scale in the Baltic region. This work
21 investigates whether the first indirect effect can be observed also by means of satellite-derived observations over the region
22 of Baltic Sea Countries, a region that offers a northern clean atmospheric background (Fennoscandia) contrasted by a more
23 polluted one (Central-Eastern Europe).

24 Twelve years of aerosol and cloud properties available from the Moderate Resolution Imaging Spectroradiometer (MODIS)
25 retrievals were investigated on a regional scale to determine whether it is possible to observe the response of the properties of
26 low-level liquid clouds to different aerosol loadings in different atmospheric conditions.

27 The satellite retrieval products are introduced in Sect. 2, the approach adopted for the aerosol-cloud interaction analysis is
28 described in Sect. 3, and the results of the analyses are presented in Sect. 4.

29 **2 Data**

30 The area covered in this study is situated at high latitudes (50° N, 10° E, 70° N, 35° E). At these latitudes the solar zenith angle
31 (SZA) constrains the available satellite dataset: a large value of the SZA implies higher uncertainties on the retrieved
32 parameters. Due to the SZA and data coverage constraints, we limit the dataset to summer season (June, July, August)
33 observations that have been collected by the MODIS instrument between 2003 and 2014. Data are analysed only from the
34 MODIS/Aqua platform that crosses the equator at 13:30 local time, when the clouds are fully developed.

35 The MODIS Collection 06 Level 3 (C6 L3) product provides cloud and aerosol parameters at daily time resolution and at a
36 regular 1° x 1° degree spatial grid. The application of MODIS satellite data to aerosol-cloud interaction studies is often
37 criticized for the lack of coincidental aerosol and cloud retrievals. Studies such as Avey et al. (2007), Breon et al. (2002) and
38 Anderson et al. (2003) showed that in the case of daily products at 1° x 1° degree resolution it is unnecessary to individually
39 couple the aerosol and cloud measurements. Therefore, in this study aerosol and cloud data are assumed to be co-located.

40 The MODIS C6 L3 product includes cloud microphysical parameters (CER, COT, LWP) with statistics (mean, minimum,
41 maximum, standard deviation) determined at three different wavelengths (1.6, 2.1 and 3.7 μm) for each cloud phase
42 (liquid, ice, undetermined) separately.

43 We filtered the MODIS cloud data according to the following criteria:

- 44 ▪ Cloud parameters were considered only in the liquid-phase.
- 45 ▪ To eliminate possible outliers, retrievals with a standard deviation higher than the mean values were discarded.

- 1 ▪ Observations with a mean cloud top temperature less than 273 K were eliminated to ensure only warm liquid
- 2 cloud regimes.
- 3 ▪ The multi-layer flag was applied to select only single layer clouds.
- 4 ▪ Transparent-cloudy pixels (COT < 5) were discarded to limit uncertainties (Zhang et al., 2012).
- 5 ▪ The CER derived from the 3.7 μm wavelength was chosen as it has been shown to be less affected by the sub-pixel
- 6 heterogeneity (Zhang et al., 2012).
- 7 ▪ To exclude precipitating cases, observations were discarded when the difference between CER at 3.7 μm and CER
- 8 at 2.1 μm was greater than 10 μm (Zhang et al., 2012).

9 The science data sets (SDS) for the atmospheric aerosol information in the MODIS C6 L3 provides the AOD retrieved at
10 several wavelengths and as a product from the application of either the ‘Deep Blue’ or ‘Dark Target’ algorithm, or a
11 combination of both retrievals (Levy et al., 2013; Sayer et al., 2014). The SDS ‘Aerosol_Optical_Depth_Land_Ocean_Mean’
12 is the solely product providing the AOD at 0.55 μm globally, while the other aerosol SDSs provide the AOD over land and
13 water separately. As C6 provides the Ångström Exponent (AE) over land only, the AOD at the wavelengths of 0.46 and 0.66
14 μm present in both ‘Aerosol_Optical_Depth_Land_Mean’ and ‘Aerosol_Optical_Depth_Ocean_Mean’ were used to derive
15 the AE globally as shown in Sect. 3.

16 To assess the effect of meteorological conditions on cloud properties the ECMWF ERA-Interim re-analysis data were applied
17 to derive the Lower Tropospheric Stability (LTS). Although not a ready-to-use product, the LTS is computed as the difference
18 between the potential temperature at 700 hPa and at the surface (Klein and Hartmann, 1993) describing the magnitude of the
19 inversion strength for the lower troposphere.

20 **3 Methods**

21 After selecting the cloud parameters as listed in the previous section, the number of observations were binned for both aerosol
22 and cloud products. From the obtained histograms, the 95 % of the most frequent ranges were selected from the total dataset
23 by filtering out 2.5 % of data from the extremes. These statistically more robust datasets were used in further analysis.

24 The product of the AOD, representing the column-integrated optical extinction of aerosol at a given wavelength, and the
25 derived AE, describing the spectral dependency of the AOD, results into a third aerosol property of interest, the aerosol index
26 (AI). The AI is used as a proxy for the fine mode aerosol particles which have a larger contribution to the CCN than the coarse
27 mode particles (Nakajima et al., 2001). MODIS Collection 6 provides the AE only over land. To homogeneously estimate the
28 AI over the Baltic Sea and the surrounding land areas, the AE is evaluated by applying equation:

$$29 \quad AE = -\log(AOD_{\lambda_1}/AOD_{\lambda_2})/\log(\lambda_1/\lambda_2), \quad (1)$$

30 to the wavelength pair of $\lambda_1 = 0.66 \mu\text{m}$ and $\lambda_2 = 0.46 \mu\text{m}$ which are available both over land and over sea. The C6 MODIS
31 aerosol algorithm does not, however, allow the determination of the AE for coastal and inland water regions (Levy et al.
32 2013). This would leave large parts of the Baltic region under investigation in this work out of the analysis (see Fig.3 b and
33 c). For this reason the aerosol-cloud interaction was analysed, in addition to the AI, also with the AOD. Seasonal mean values
34 of aerosol (AOD, AE, AI) and cloud parameters (CER, CF, COT) were computed for the period of 2003-2014.

35 Aiming to observe how the variation in aerosol conditions influences cloud properties, we adopted the approach of Koren et
36 al. (2005) to analyse the average vertical distribution of the relationships between aerosols and cloud properties. The AOD
37 and AI datasets were firstly sorted in ascending order and successively divided into five equally-sampled classes that represent
38 the averages of aerosol conditions for each of the classes. The cloud properties were then divided according to these AI and
39 AOD classes and plotted as functions of cloud top pressure.

40 The response of the cloud properties to clean versus polluted aerosol conditions was studied spatially. The 25th and 75th
41 percentiles of the AI and AOD (AI/AOD) were computed for each spatial grid point, the former constituting the upper limit
42 for the AI/AOD values representing low aerosol loadings and the latter the lower limit for the AI/AOD values for heavy

1 aerosol loadings. These percentile values were then used to divide cloud parameters for clean and polluted aerosol conditions.
2 The difference between a cloud parameter value in low and high aerosol conditions is:

$$3 \quad \Delta\text{Cloud}_X = \text{Cloud}_X_{25\text{th percentile}} - \text{Cloud}_X_{75\text{th percentile}}, \quad (2)$$

4 where the considered cloud parameters, Cloud_X , are the cloud effective radius, cloud top pressure, cloud optical thickness,
5 cloud fraction and liquid water path. The subscripts indicate that the cloud parameter is representative for clean atmospheric
6 conditions, $\text{Cloud}_X_{25\text{th percentile}}$, or for polluted atmospheric conditions, $\text{Cloud}_X_{75\text{th percentile}}$. The difference (ΔCloud_X)
7 between the cloud parameter Cloud_X in clean ($\text{Cloud}_X_{25\text{th percentile}}$) and polluted ($\text{Cloud}_X_{75\text{th percentile}}$) aerosol evidences
8 the impact of these two aerosol cases on the parameter Cloud_X .

9 Matsui et al. (2006) found that aerosols impact the CER stronger in an unstable environment (low LTS) than in a stable
10 environment (high LTS) where the intensity of the ACI is reduced due to the dynamical suppression of the growth of cloud
11 droplets. Following this result, we also compared cloud microphysical properties with both the AI/AOD and the LTS.

12 The area of this study was divided into three sub-regions as presented in Fig. 1: Area 1 covers the Baltic Sea, while Area 2
13 and Area 3 include only land pixels over Fennoscandia and Central-Eastern Europe, respectively. The ACI related to the CER
14 was computed using the formulation from McCominsky and Feingold (2008):

$$15 \quad \text{ACI} = - \left. \frac{\partial \ln \text{CER}}{\partial \ln \alpha} \right|_{\text{LWP}}, \quad (3)$$

16
17 which indicates how a change in the CER depends on a change in the aerosol loading α , given by either the AI or the AOD,
18 for a constant LWP. The ACI was computed by dividing the CER and the AI/AOD over LWP bins ranging from 20 to 300
19 g m^{-2} with an interval of 40 g m^{-2} and then by performing a linear regression analysis with the logarithms of the CER and α
20 in each LWP bin. Two approaches were applied to present the ACI: in the first, the ACI were obtained for each sub-region
21 and plotted as a function of the LWP while in the second approach the ACI was computed in a 2° spatial grid. In the grid
22 approach we chose the LWP interval that provided statistically significant ACI estimates for each of the three sub-regions.
23 The statistical significance is determined by the null-hypothesis test scoring a p-value < 0.05 (Fischer, 1958).

24 **4 Results**

25 Figure 2 presents the time series of AI and AOD averages during the summer months from 2003 to 2014 for each sub-region.
26 It is easy to see in Fig. 2 that these three areas have generally different aerosol conditions: within the land sub-regions, the
27 lower AI and AOD averages occur over Area 2 while over Area 3 these values are higher during the entire period. Area 1, the
28 Baltic Sea, is considered as a third sub-region per se due to the dominance of maritime aerosol conditions. The AI is highest
29 over Area 3 (Central-Eastern Europe), with an overall AI mean value of 0.29 ± 0.03 (regional mean \pm standard deviation),
30 followed by Area 1 (Baltic Sea), 0.20 ± 0.02 , while over Area 2 (Fennoscandia) the lowest AI mean value of 0.16 ± 0.01 is
31 found. Area 3 also presents the highest averages for the AOD, 0.22 ± 0.02 , but Area 2 and Area 1 have comparable AOD
32 values: 0.16 ± 0.02 and 0.14 ± 0.01 , respectively.

33 The spatial variations of the aerosol and cloud properties are shown in Fig. 3. A decreasing south-north gradient of AOD is
34 observed in Fig. 3a where the highest values are found over Area 3 (Northern-Germany and Poland), and the lowest over Area
35 2 (the Atlantic coast of Norway and Northern Sweden). While no discontinuities can be seen for the AOD distribution over
36 Area 1 and Area 2, a clear distinction is evident in the AE (Fig. 3b). Indicating the dominance of fine particles, high values
37 of the AE are found over the entire Area 1, over the Eastern part of Area 3, and over the North-Western part of Area 2. Low
38 values ($\text{AE} < 1$) are only partially found over the land of Areas 2 and 3. The validity of the MODIS AE over land is generally
39 considered unrealistic. Nonetheless, in the case of dominance of fine mode aerosols the MODIS AE agrees with AERONET
40 (Levy et al., 2010) while disagreements occur in coarse aerosol cases (Jethva et al., 2007; Mielonen et al., 2011). Over ocean,
41 a good agreement between MODIS AE and AERONET is found globally with the limitation of $\text{AOD} > 0.2$ (Levy et al., 2015),
42 a restriction that cannot be applied in our study area where the regional AOD is about 0.2. As the sensitivity of AE to AOD
43 errors are especially critical for low AOD values, pixels with $\text{AOD} < 0.2$ are expected to have a less qualitatively accurate AE.
44 Nevertheless, the AE over Area 1 (Fig. 3b) is matching the median range of 1.46-1.49 obtained from a validation study that

1 compares the AE retrieved by SeaWiFS and MODIS Aqua/Terra with the three AERONET stations over the Baltic Sea (Melin
2 et al., 2013). Comparable high AE values are collected by Rodriguez et al. (2012) from 2002 to 2011 at the sub-arctic
3 ALOMAR Observatory (Andøya, Norway): the AE peaks during summer season with a multi-annual mean and standard
4 deviation of 1.3 ± 0.4 . The AI (Fig.3c) over Area 1 is comparable to the values over Area 3, while the lowest values occur
5 over Area 2. The spatial distributions of the cloud properties (COT, CER, CF) are shown in Fig. 3d-f. As in the aerosol case,
6 Area 2 presents a distinctive discontinuity between land and water pixels (Fig3 d-f). These results are confirmed in Karlsson
7 (2003) where Area 1 (the Baltic Sea) exhibits low cloudiness while high cloud amounts are found over the Scandinavian
8 mountain range (Area 2) and the Norwegian Sea. Considering the theory of the first AIE, that is, an increase in aerosol loading
9 leads to larger CDNC and smaller CER for a fixed LWP, the CER (Fig. 3e) shows correlation with the AOD spatial distribution
10 (Fig. 3a) while worst comparison are found between CER (Fig.3e) and AI (Fig.3c). Over the Norwegian coast the high values
11 of the COT, CER and the CF can be explained by high hygroscopicity of sea spray aerosols, which makes these CCN particles
12 very efficient. Another feature of Fig. 3e is the low effective droplet radius over Area 1 (the Baltic Sea). Unlike Area 3
13 (Central-Eastern Europe), Area 1 does not match with any high aerosol loading (Fig. 3a, c) when compared to the surrounding
14 area. In fact, the AOD over Area 1 is as low as in Area 2 (Fig. 2), even though for these land areas the CER is about 1-2 μm
15 larger.

16 Figure 4 presents the 10-year average of the cloud properties, divided into five classes of the AI (Fig. 4a-d) and AOD (Fig.
17 4e-h), respectively, plotted as function of cloud top pressure. It can be observed that the lowest values of CTP correspond to
18 the higher classes of AI/AOD. Assuming the CTP to be an indicator of the cloud top height, this may suggest an enhancement
19 of the cloud vertical structure. This result was also found by Koren et al. (2005) where convective clouds over the North
20 Atlantic showed a strong correlation between the aerosol loading and the vertical development of the clouds. Furthermore,
21 the cloud droplet effective radius (Fig. 4a, e) has smaller values in higher AI/AOD classes. The opposite behaviour, lower
22 average values corresponding to the lower classes of the AI/AOD, can be seen for the COT (Fig. 4c, g) and LWP (Figs. 4d,
23 h) while the CF (Fig.4b, f) shows a weaker signal for both AI and AOD cases. Overall, Fig. 4 reveals that the cloud parameters
24 are clearly affected by the AI/AOD segregation at lower levels of CTP. For this reason, we limit our dataset to cloudy pixels
25 where the CTP is between 700 hPa and 900 hPa.

26 In Fig. 5 the CER is plotted as a function of AI for fixed values of the LWP (five intervals as above) and the CTP (between
27 700 and 950 hPa, in 50 hPa bins). The highest AI in Area 1 (the Baltic Sea) is around 0.35 for the lowest clouds (CTP 900-
28 950 hPa) decreasing to 0.3 for the highest clouds (CTP 700-750 hPa). Over Area 2 (Fennoscandia) the aerosol loading is not
29 clearly connected to the cloud height, showing a constant AI average of approximately 0.25. As expected, Area 3 has the
30 highest average of AI out of the three sub-regions with values as high as 0.6 for the lowest clouds and a small decrement for
31 the highest clouds. The cloud droplet size in Area 1 (the Baltic Sea) and Area 2 (Fennoscandia) shows a strong negative
32 correlation with the AI, while a weak correlation is observed over Area 3 (Central-Eastern Europe). Area 1 has no results for
33 the high LWP bins: during summer months few or no convective clouds form over the Baltic Sea and mainly thin stratiform
34 clouds are identified in the cloud cover. Similar results are also found when the AOD is substituted by the AI (not shown).

35 Applying Eq. 2 to the cloud parameters, the impact of low and high aerosol loading (ΔCloud_X) on cloud properties
36 (Cloud_X) is presented in Fig. 6. Resulting from a grid-based analysis, $\Delta\text{Cloud}_X < 0$ means that the observed cloud parameter,
37 Cloud_X , has a larger value in polluted cases (AI/AOD > 75th percentile) than in clean atmospheric conditions (AI/AOD <
38 25th percentile) for that grid cell and vice versa, when ΔCloud_X has a positive value. As similar results were obtained by
39 applying the AOD and AI, only the results for the AOD are shown. ΔCF (Fig. 6a) presents only negative values suggesting
40 that the CF is always significantly larger in the polluted atmospheric conditions. The positive values of ΔCTP (Fig. 6d) over
41 Area 2 (Fennoscandia) and Area 3 (Central-Eastern Europe) agree with the idea of the vertical development of clouds for
42 higher aerosol loadings (Fig. 4) but other factors, such as surface heating, might be also contributing to the results: the presence
43 of stronger turbulence over land cause the clouds to rise higher than in the presence of lower turbulence, for example, over a
44 cooler water surface. The CER (Fig. 6c) shows a different behaviour over land (Area 3) than over water (Area 1). Over Area
45 3 ΔCER is predominantly negative: although small (< 2 μm), negative values of the ΔCER indicate that the CER is larger
46 over areas with higher aerosol loadings than over cleaner areas. This result is in contradiction with the theory of the AIEs.
47 The presence of aerosol appears to have little or no effect on ΔCOT (Fig. 6b) and ΔLWP (Fig. 6e).

48 In an attempt to connect the link between aerosol and cloud with meteorology, we evaluated the variability of low-level liquid
49 cloud properties as function of aerosol conditions (AOD/AI) and lower troposphere stability (LTS). Figure 7 shows the cloud

1 properties (LWP, CER, CF and COT) plotted as a function of the LTS and AI/AOD. While the CF shows a gradient for both
2 direction of the LTS and the AI/AOD, the other cloud variables (LWP, CER, COT) are mainly affected by aerosols with little
3 to no correlation to changes in the LTS. Higher aerosol values correspond to a smaller CER (Fig.7 b,f) and higher CF (Fig. 7
4 c,g) and LWP (fig. 7a), in agreement with the AIEs, except for the LWP (Fig. 7e) that decreases as a function of the AOD.
5 The LWP (Fig. 7e) shows a non-monotonic response by increasing when the AOD ranges between 0.3-0.4, because at high
6 aerosol concentrations the cloud droplets are smaller and less likely to precipitate, and further the LWP slightly decreases. A
7 possible explanation of a better correlation of the LWP with the AI than with AOD might be found by looking at the LWP
8 vertical distributions in Fig. 4 that indicate a more distinctive separation of the LWP for the AI-based classes than for AOD.

9 Figure 8 illustrates the ACI estimate for the CER (Fig. 8a) and its corresponding correlation coefficient r (Fig. 8b) calculated
10 for the three sub-regions as a function of the LWP bins for both AOD and AI. The lines are color-coded according to the three
11 areas as defined in Fig. 1. The ACI estimates for Area 1 (Baltic Sea) are positive and statistically significant for most of the
12 LWP range increasing, as a function of LWP, from a minimum of 0.06 to a maximum of 0.16 and with a corresponding r
13 ranging from -0.1 to -0.53. The values of the ACI for Area 2 range between 0.02 - 0.06 with fewer statistically significant
14 points and a smaller r than in Area 1. The results collected over both Area 1 and Area 2 appear to be little effected by whether
15 the AOD or AI is applied in the computation of the ACI. For Area 3 two points of the ACI results are statistically significant
16 but with very low values for correlations ($r < 0.1$) for the first two bins of the LWP and, unlike the other two sub-regions, they
17 show a negative sign. The ACI values are statistically significant for the three sub-regions for the first two bins of LWP and
18 when the AOD is chosen over the AI as α . With a combination of these requirements, we derived the spatial distribution of
19 the ACI and r which are shown in Fig. 9. Positive correlations are found predominantly over Area 3, and scattered over Area
20 2, while negative values are covering the majority of Area 1 and, more sparsely, Area 2. The relationship between CER and
21 AOD is, paradoxically, positively correlated over Area 3 suggesting that high aerosol loading correspond to larger cloud
22 effective radius. One possible explanation might be the indication of the relationship between CTP and AOD: the CTP
23 decreases for increasing AOD (Fig.4) and at the same time the CER increases with decreasing CTP (higher altitude) in
24 convective clouds (Rosenfeld and Lensky, 1998). Nonetheless, this result must be treated with care as other factors, such as
25 hygroscopic effect, influence the relationship between AOD and cloud parameters and cannot be fully ignored.

26 5 Discussion and Conclusions

27 In this work we have studied the applicability of satellite-based information for quantifying the aerosol-cloud interaction over
28 the Baltic Sea region. Distinct sub-regional differences were found in the estimates of the ACI related to the effective radius
29 of cloud droplets. No clear ACI results were observed for the other cloud parameters which suggest that these may be
30 influenced by other factors, such as the local meteorological conditions. The meteorological conditions are represented here
31 by the LTS which was compared to the cloud parameters. The LTS is correlated with the CF while no effect was observed
32 upon the other cloud parameters. In particular, there is no clear evidence of the effect of LTS on the interaction between
33 aerosols and cloud effective radius.

34 One of the key aspects of this study was to find out whether a rigorously filtered Level 3 MODIS dataset can be applied for
35 aerosol-cloud interaction studies at a regional level. As the northerly location of the region of interest here restrains the
36 availability of the MODIS observations to the summer months (JJA), one of the challenges is the limited data coverage.
37 Moreover, the selection of specific cloud regimes and the co-location of aerosol and cloud observations are additional essential
38 key factors in building-up a robust dataset which however further decreases the amount of data-points available. As far as
39 known to the authors, no previous results on ACI from a satellite perspective are provided over this area.

40 This study shows that the different aerosol conditions characterizing the Baltic Sea countries have an impact on the ACI and
41 this can be also observed on a regional scale. According to ACI theory, polluted atmospheric conditions are connected with
42 clouds characterized by lower cloud top pressure, larger coverage and optical thickness. However, the cloud effective radius
43 strictly follows the AIE's theory only over Area 1 (the Baltic Sea) which agrees also with the results presented by Feingold
44 (1997). As reported in this study, the CER retrieved in clean clouds is mainly affected by the LWP and aerosol presence while
45 when detected under polluted conditions it additionally shows a high dependence on other factors.

46 The cleaner atmosphere characterizing Area 1 (the Baltic Sea) and Area 2 (Fennoscandia) reveals statistically significant and
47 positive ACI estimates between the CER and AOD that are in agreement with the values obtained from ground-based
48 measurements collected at the sites of Pallas and Hyytiälä in Finland, and Vavihill in Sweden (Lihavainen et al., 2010; Sporre

1 et al., 2014b) while over the more polluted Area 3 (Central-Eastern Europe) the sensitivity to determine the ACI locally is
2 smaller. It can be assumed that more aerosols leads to a high concentration of the CCNs and this lowers the average droplet
3 radius as can be seen in Fig. 3e when the radius is compared between areas located South (high aerosol load) and North (low
4 aerosol load) of the Baltic Sea.

5 Our analysis of the ACI for the CER shown in Fig. 8 leads to the following conclusions:

- 6 • The lowest values of the ACI can be seen over Area 3. This is also the sub-region with the highest average AOD
7 values leading to the smallest cloud droplet size. A further addition of aerosol particles and thus possibly also CCNs
8 does not decrease the cloud droplet size any further. Most of the ACI values are actually negative but very close to
9 zero.
- 10 • The positive ACI values for Area 2 shows that the addition of aerosols to a relatively clean atmosphere does
11 decrease the droplet size.
- 12 • The AI over the land areas in the study should be considered unrealistic because the average inland AE can have
13 values below 1.
- 14 • The average AE over Area 1 has values as high as 1.4 to 1.5. These values, however, can be trusted and have been
15 evaluated by Melin et al. (2013).
- 16 • The low CER over Area 1 requires further explanation. The most probable cause for the low values, based on the
17 MODIS cloud retrieval, is the relatively low cloud top height over the sea. As cloud droplets generally grow in size
18 from the cloud base towards the cloud top (McFiggans et al., 2006), Fig. 4 confirms that the average CER increases
19 with the decreasing CTP. Furthermore, in Fig. 5 there is a distinctive lack of results for high LWP values indicating
20 that there are fewer clouds at higher top heights. These reasons altogether lead to low values of the CER over Area
21 1 as the MODIS instrument retrieves the droplet radius at cloud top, and the top height CER results are low when
22 compared to the surrounding over-land values.
- 23 • The ACI over Area 1 has considerably higher values than over the land sub-regions, and there is a difference in the
24 magnitude between the ACI values determined using the AOD or AI. The clean maritime atmospheric conditions
25 lead to the high sensitivity of droplet size to changes in fine particle concentrations. The AOD and AI difference in
26 ACI, the latter being the higher, indicates that the ACI is caused by fine particles as expected.

27 Another way to assess the aerosol induced changes in cloud parameters would be to analyse time series to find out whether
28 dynamically decreasing or increasing aerosol loading has an effect on clouds. This sort of approach was not attempted in this
29 work.

30 Another important result of this work is the comparison of the ACIs obtained using the AI and AOD, chosen as proxies for
31 the CCN, in order to determine which option leads to more realistic results. Even though theoretically the AI would be a better
32 parameter than AOD to indicate the presence of fine mode aerosol particles, the impact of uncertainties of the derived AI
33 might be substantial.

34 **Data availability**

35 All data used in this study are publicly available. The satellite data from the MODIS instrument used in this study were
36 obtained from <http://ladsweb.nascom.nasa.gov/index.html>. The ECMWF ERA-Interim data were collected from the
37 ECMWF data server http://apps.ecmwf.int/dataset/data/interim_full_daily/.

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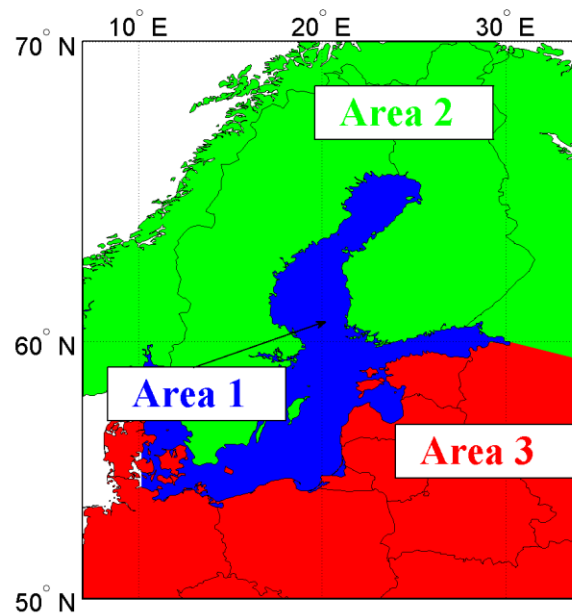
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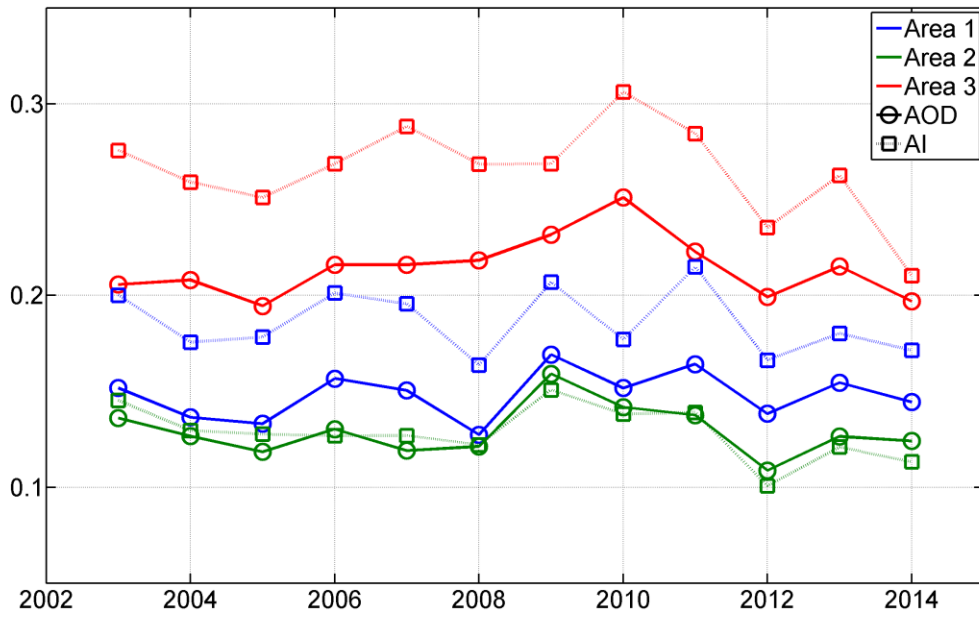
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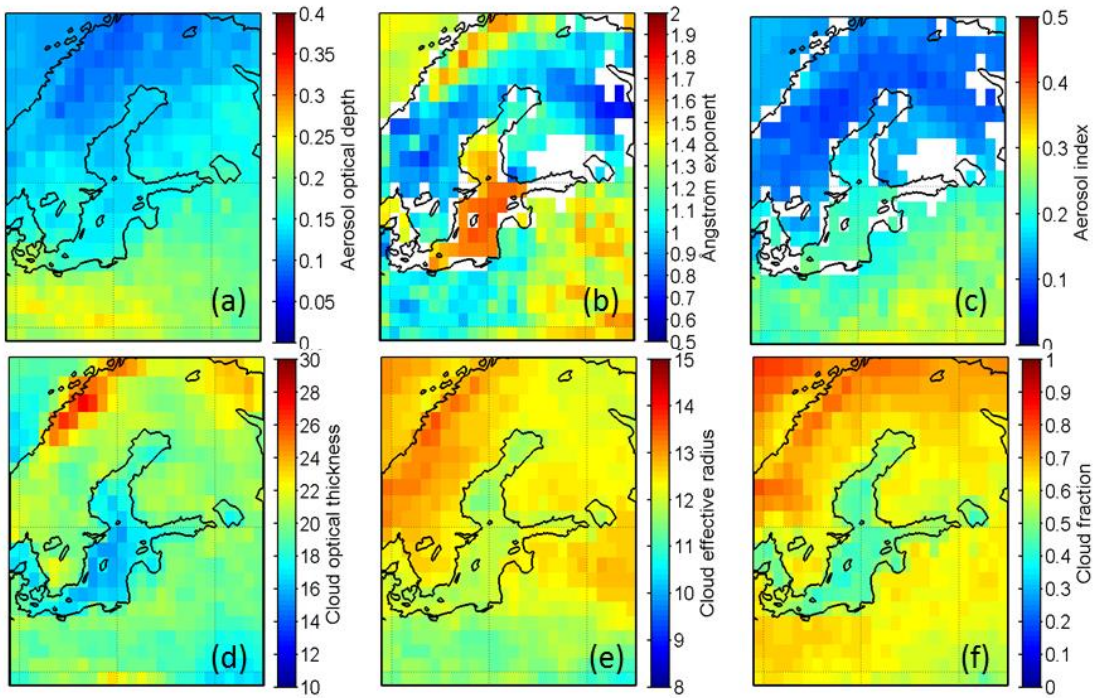
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19 **Figure 1: The area covered in this study and its division into three sub-regions: Area 1, the Baltic Sea is represented**
20 **by the colour Blue, Area 2, covering the land areas over Fennoscandia, is represented by colour Green and Area 3, in**
21 **Red, includes the land areas of Central-Eastern Europe.**



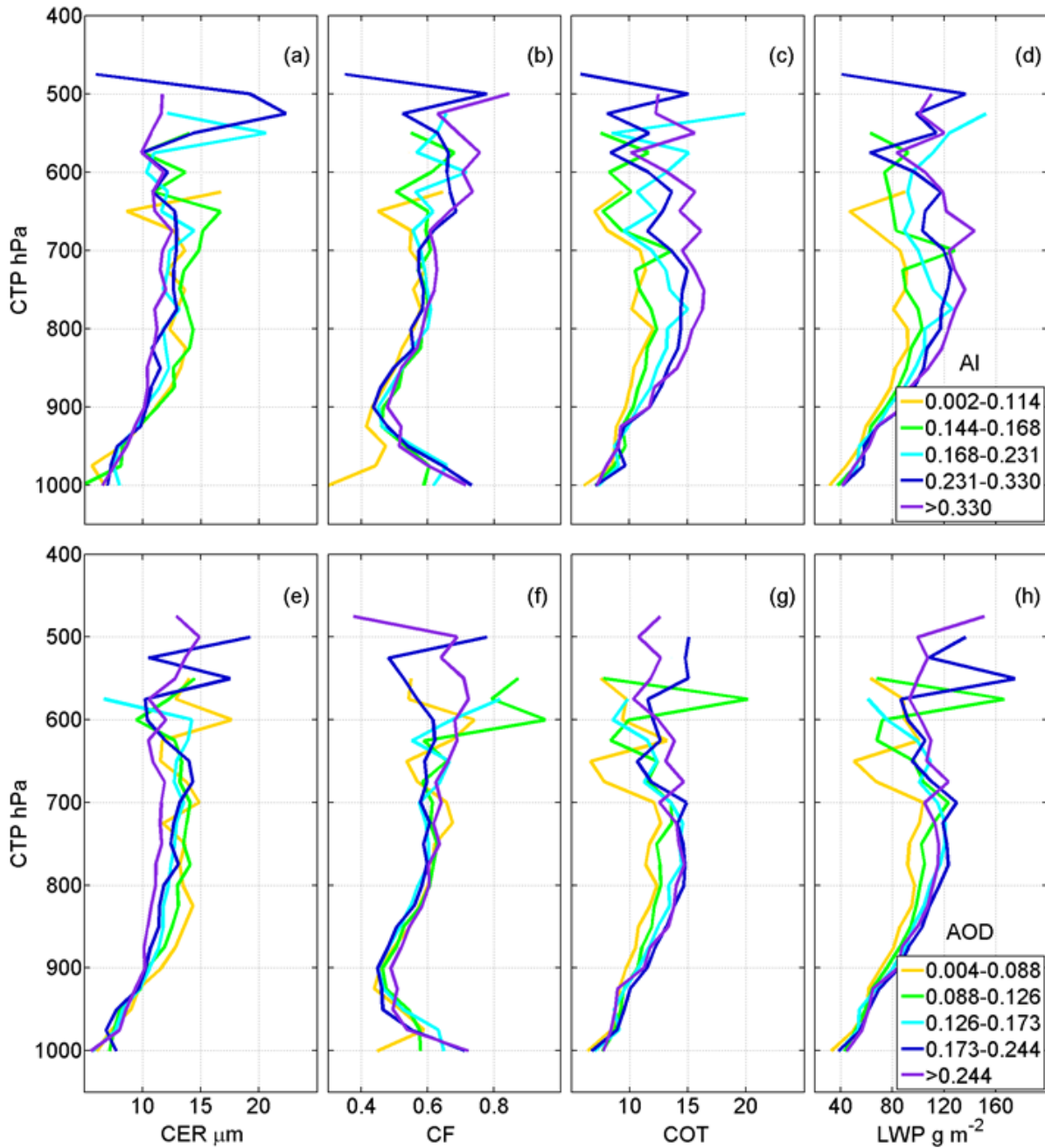
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2 **Figure 2: Time series of summer (JJA) averages for AOD (circles) and AI (squares) for the three sub-regions. The**
 3 **three sub-regions are color-coded following that in Fig.1.**



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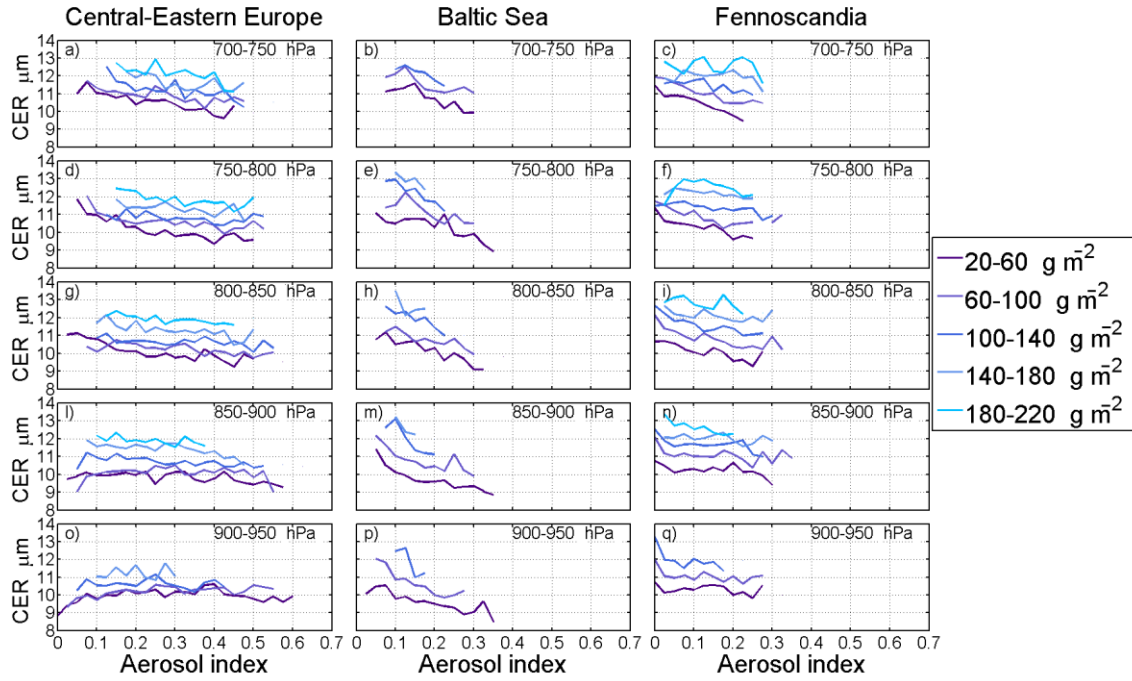
1 **Figure 3: Spatial distributions of AOD (a), AE (b), AI (c), COT (d), CER (e) and CF (f) averages for summer seasons**
2 **between 2003-2014.**



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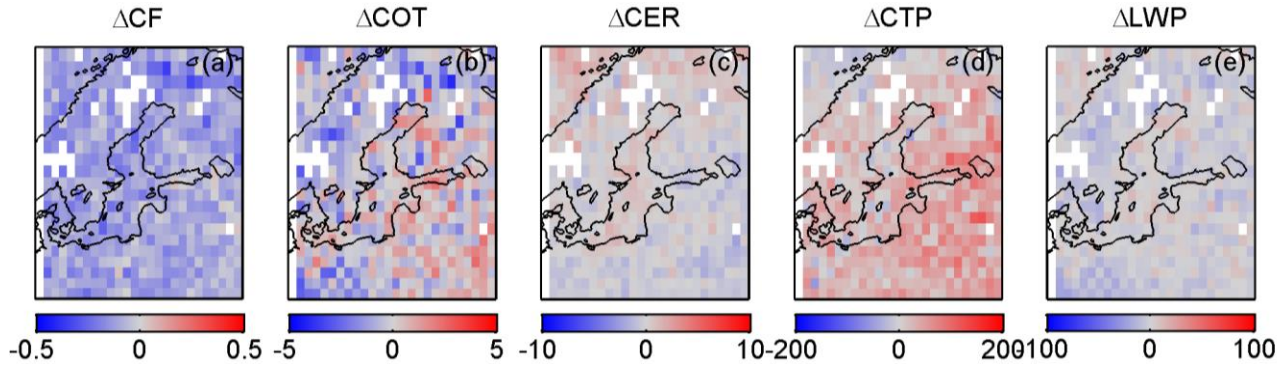
2 **Figure 4: 10-year averaged cloud properties as function of cloud top pressure: CER (a, e), CF (b, f), COT (c, g), LWP**
 3 **(d, h), as functions of cloud top pressure (CTP) for five classes of AI (a-d) and AOD (e-h). Each class of AI/AOD**
 4 **contains an equal number of samples in that interval.**

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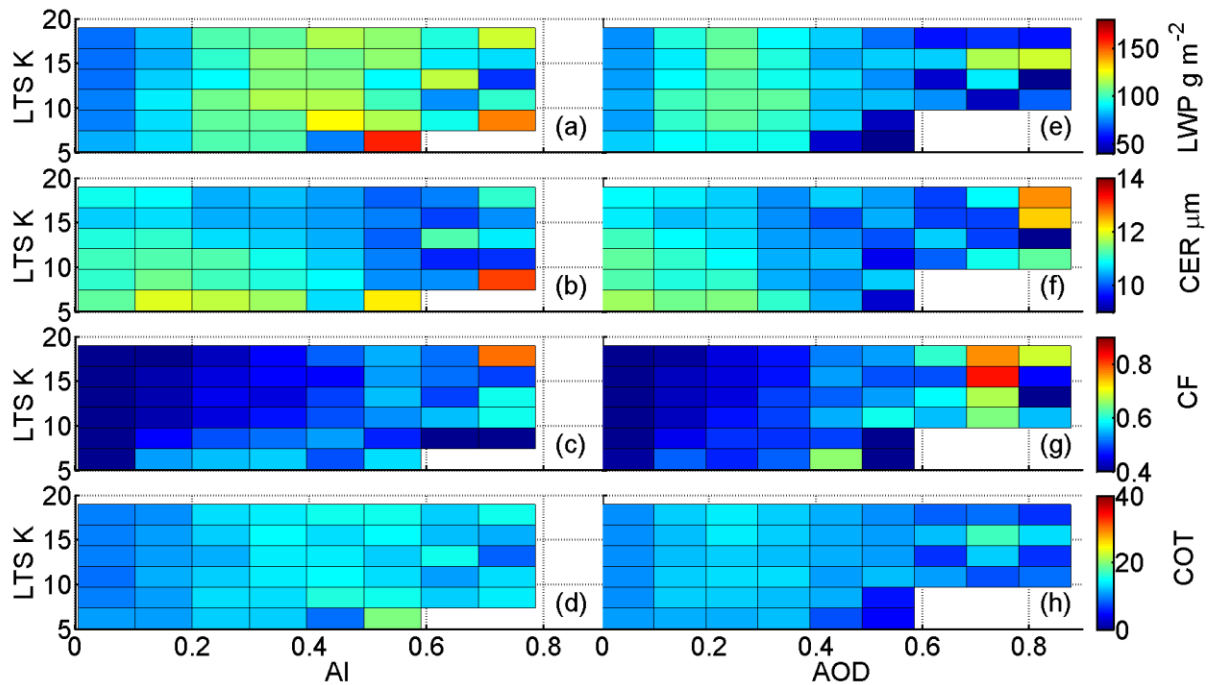
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3 **Figure 5: CER b as function of AI, stratified for subranges of CTP and LWP, for the three sub-regions. The legend on**
4 **the right of the figure lists the LWP bins.**



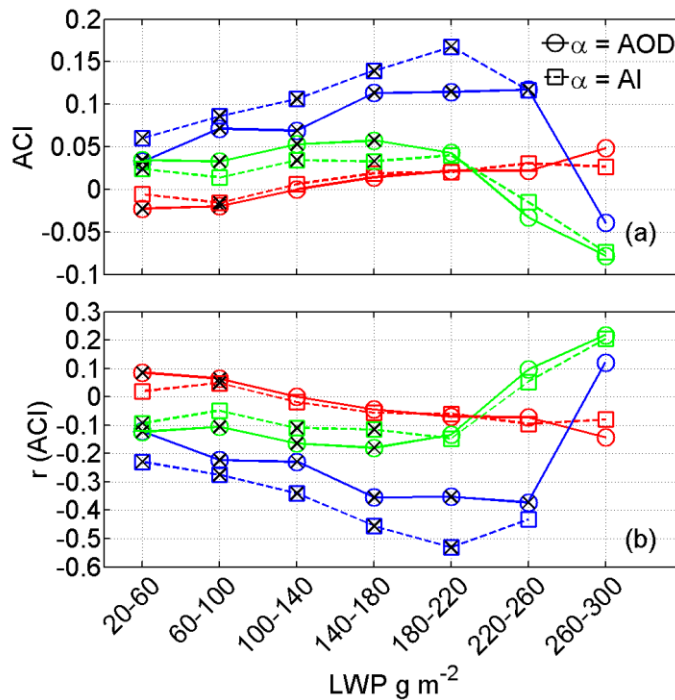
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6 **Figure 6: Spatial distributions of the difference of the cloud properties CF (a), COT (b), CER (c), CTP (d), and LWP**
7 **(e) for low aerosol loading (AOD < 25th percentile) and heavy aerosol loading (AOD > 75th percentile) calculated from**
8 **Eq. 2.**



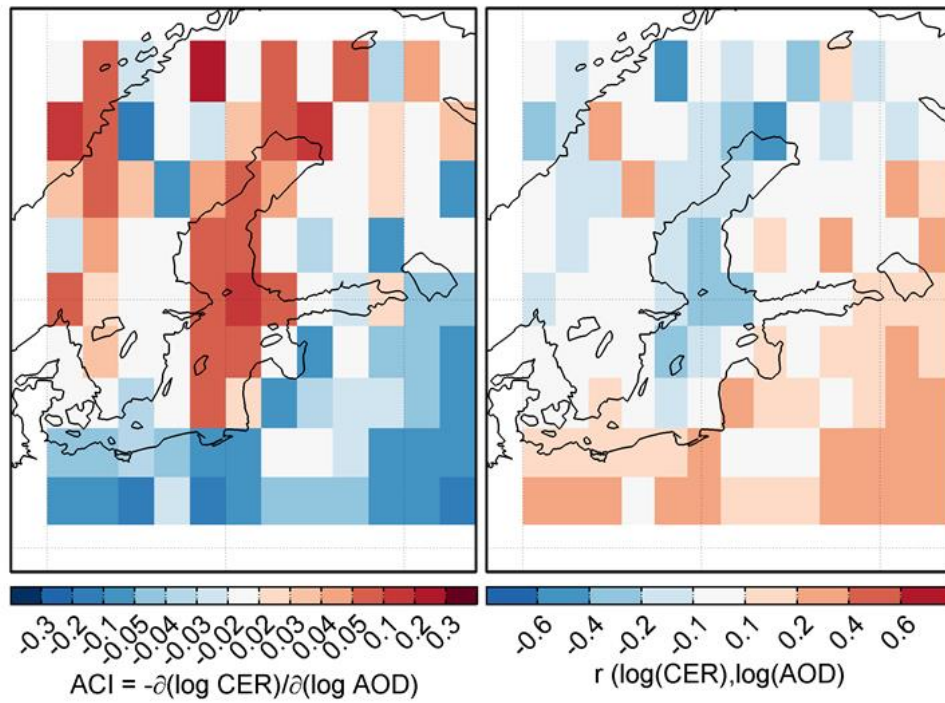
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2 **Figure 7: Mean low-level liquid cloud properties plotted as a function of LTS and AI (a-d) or AOD (e-h).**



3

4 **Figure 8: ACI estimates computed for the CER as a function of the LWP and by applying both the AI and AOD as**
 5 **proxies for the CCN are shown in (a). The correlation coefficients are presented in (b). The color-coded lines refer to**
 6 **the three sub-regions determined in Fig.1: Area 1 (blue), Area 2 (green) and Area 3 (red) 1. The line styles define**
 7 **whether the AOD or AI were used as the CCN proxy, α . Markers signed with a cross represent points fulfilling the**
 8 **null-hypothesis (p -value < 0.05), hence statistically significant.**



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2 **Figure 9: Applying the AOD as a proxy for the CCN, estimates of the ACI and correlation coefficient for the CER and**
 3 **for the interval of the LWP between 20-60 g/m² were calculated on a grid basis. The obtained spatial distribution of**
 4 **the ACI is shown on the left and the correlation coefficient on the right.**

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