2 Response to Review #1

We thank reviewer #1 for the review, the insightful comments to the paper and for his/herendurance to read the long paper.

5 We will respond to the review point by point. The reviewer's comments are included in bold6 italics.

I think this is a standard paper describing a reanalysis product. It is likely to be useful to the scientific community; however, it is a bit difficult to read, partly owing to its length.

9 We appreciate the reviewer's concern that the paper is long. However, we prefer to present 10 all considered species in one paper because they were produced by the CAMS system in one 11 combined assimilation experiment.

12 The paper should be accepted for publication once the authors address a series of points, 13 detailed in the specific comments and technical comments. They largely concern 14 quantification and/or clarification of statements made.

15 Specific comments:

16 L. 32: Indicate why ozone at the surface cannot be improved by the assimilation.

17 The surface values could potentially be changed by the following processes: (i) direct addition 18 of observation increments close to the surface, (ii) the impact of non-surface observations or 19 the observation of other species by means of the model backward error co-variances and (iii) 20 the downward transport of ozone from levels where the assimilation changed the ozone fields.

We think that the impact of any of these three factors was eliminated at the lowest modellevels by the strength of the ozone dry deposition and titration with NO near the surface.

CAMSiRA did not assimilate any surface observations nor satellite retrievals for the lower troposphere. Only total columns and stratospheric profile data were assimilated. The background error co-variances calculated with the NMC method did not provide enough impact for strong non-local vertical influence, which would have led to an alteration at surface. Also, species-to-species back-ground error correlation were not implemented in the applied 4D-VAR method.

- We added in the abstract:
- 30 " ... because of the strong impact of surface processes such as dry deposition and titration
- 31 with nitrogen monoxide (NO), which were both not changed by the assimilation. "
- We add in the conclusion section when we discussed the reasons for the small influence(L1147)
- 34 "... nor that the vertical correlations in the model background errors were strong enough to35 cause a correction of the surface levels based on the levels above. "
- 36 L. 56: Quantify the "sufficient accuracy".
- 37 We can not quantify this rather general statement but we changed it as follows:
- 38 ".. with an accuracy sufficient to have an impact during the assimilation. "
- 39 L. 58: Provide details of the surface properties.
- 40 We clarified the statement by replacing "surface properties" with "surface albedo".
- 41 L. 109: List the key species.
- 42 We changed the text as follows:
- 43 "... the aerosol variables and key chemical species such as ozone, HNO₃, N₂O₅, NO, NO₂,
 44 PAN and SO₂ only".

45 L. 208: Why did you use scenario 8.5 instead of another one?

- 46 The scenario was chosen by the producers of the MACCITY data set (Granier et al. ,2011)
- 47 We added "... obtained in the MACCity emissions ..."

48 L. 286-287: Did you use the averaging kernels for data other than MOPITT? Explain your 49 choices.

- 50 We add
- 51 "For the ozone retrieval averaging kernels were not used because they were not provided or 52 did not improve the analysis. For example, the high vertical resolution of the MLS ozone
- 53 retrievals in the stratosphere made the use of AK not necessary. "
- 54
- 55 The L. 291: The data used are flagged "good" or not flagged "bad"?

- 56 Yes, this is the case. The retrieval data include a quality flag given by the providers
- 57 L. 375: Does the decrease in the burden indicate a positive result from the assimilation?
- 58 Yes, because there is a better agreement with the MOPITT total column retrievals.

59 L. 397: Explain in the text why you do not assimilate MOPITT observations over the Arctic.

- 60 Larger biases and errors in the retrievals occur at high latitudes because of low thermal
- 61 contrast. It is a recommendation by the data providers not to assimilate data in high latitudes.
- 62 We added: "... because of the higher biases of the MOPITT data in this region."

L. 451: Why is there only a little effect on the surface? Why are there no changes between CR and CAMSiRa from the assimilation?

65 See our response above

66 L. 471: Is it reasonable to calculate a linear trend? What assumptions do you make?

It is a valid to comment to question the underlying assumption (i.e. a linearity) for any type of trend analysis. A detailed trend analysis is beyond the scope of the paper. However, the linearity of the trend seems not an unreasonable choice when looking at the graphs. Our focus is the comparison of trends of different data sets using a unified but simple approach.

- 71 We will add:
- 72 "... and, for reasons of simplicity, only the linear ..."

We also point out that linear trends are often expressed in units of %/yr in the paper. We concede that this unit is technically not consistent with a linear trend. We obtain the liner trend as percentage by normalising the linear trend (e.g. Tg/year) with the average of the quantity over the whole period, i.e. all years.

- We add at line 361
- 78 "The linear trend is as expressed as percentage with respect to the mean of the burden over the79 whole period."
- 80 L. 516: Provide references for this statement.
- 81 We added the following reference:
- 82 (Eskes et al., 2015).

- 83 Eskes, H., Huijnen, V., Arola, A., Benedictow, A., Blechschmidt, A.-M., Botek, E., Boucher,
- 84 O., Bouarar, I., Chabrillat, S., Cuevas, E., Engelen, R., Flentje, H., Gaudel, A., Griesfeller, J.,
- 85 Jones, L., Kapsomenakis, J., Katragkou, E., Kinne, S., Langerock, B., Razinger, M., Richter,
- 86 A., Schultz, M., Schulz, M., Sudarchikova, N., Thouret, V., Vrekoussis, M., Wagner, A., and
- 87 Zerefos, C.: Validation of reactive gases and aerosols in the MACC global analysis and
- 88 forecast system, Geosci. Model Dev., 8, 3523-3543, doi:10.5194/gmd-8-3523-2015, 2015.
- 89

90 L. 523: Is the comparison with MACCRA and CAMSiRA within the errors of these 91 datasets?

92 Unfortunately, we cannot provide error estimates of the global burden of the two analysis sets.

93 L. 535: Why is there an exaggeration of the sea salt emission?

- 94 As pointed out in the supplement, sea salt emissions were close to the median of the Aerocom
- 95 models. They were only at the high end of the values given in Boucher et al. (2015)
- 96 We amend the text as follows:
- 97 "The simulated sea salt emissions of C-IFS were within the reported range in the literature
- 98 (see supplement). This suggests that the loss processes of sea salt were underestimated in C-
- 99 IFS in comparison to other models."

100 L. 594: Discuss why this seems unrealistic.

- 101 We add the following
- 102 "..., given that the global SO2 emission are only less than 2% of the total aerosol emissions103 (see supplement)"

104 L. 662: Quantify the trends. Explain (or remind the reader) how you test for significance. 105 Same for L. 751 and L. 757.

- 106 The significance of the linear trends was estimated at the 95 confidence interval. We now107 repeat this information in each section.
- 108 L. 674: Provide further details of the artificial accumulation of sulphate by the 109 assimilation.
- 110 We added:

- 111 "The increase in sulphate was probably caused by underestimated loss processes for sulphate
- and SO_2 in the free and upper troposphere away from the emissions sources. The relative increase in sulphate with respect to the other aerosol species could not be corrected by the assimilation of AOD."

115 L. 767: Why is this remarkable? Because unexpected? Please avoid subjective comments.

- 116 We replace the statement with:
- 117 "Despite its simplicity, the Cariolle scheme in CR reproduced the..."

118 L. 852-865: What is the fidelity of the GOZCARDS dataset?

The standard error of the GOZCARDS data set is given as part of the data set. The values of the error are in the range of 10-20 ppb on the considered region, which is about 1%. However this error does not reflect biases. As we already mention in the text, the inter-comparison of different satellite retrievals by Tegtmeier et al. (2013) shows that MLS is biased low above 5 hPa (5-10%) and ACE-FTS is biased high above 10 hPa and biased low below 10 hPa with respect to the multi-instrument-mean. Since ACE-FTS contributes more to the GOZCARDS product in this region, we assume that the GOZCAD biases are controlled by the ACE-FTS

- 126 biases.
- 127 We will quantify the biases in the text:
- 128 "ACE-FTS is biased high (5-10%) above 10 hPa and biased low (5-10%) below 10 hPa
- 129 against the median of various retrievals. "
- 130 We corrected all technical comments.
- 131

132

133 *Response to Review #2*

134

We thank reviewer #2 for the review, the insightful comments to the paper and for his/herendurance to read the long paper.

137 We will respond to the review point by point. The reviewer's comments are included in bold138 italics

139 Major comments;

140 1. An important advantage of this study is the simultaneous analysis of trace gases and aerosols within the same data assimilation framework. However, it is unfortunate that their 141 interactions were not considered in the current setting. More discussions on their potential 142 143 would still be useful. I suggest discussing this topic in an additional section, for instance, 144 how much changes in trace gas concentration can be expected using the analyzed aerosol 145 fields, and if these changes bring further improvements in the trace gas analysis (and vice versa). Although the paper is already very long, presenting several sensitivity calculation 146 147 results could be helpful.

We fully agree that interactions between chemistry and aerosol within a data assimilation frame work is an important topic. However, its study will be more the focus of ongoing and future work and it is not the result of the work presented here. In the current version of the manuscript we mention the prospects in the conclusion section (L 1155).

In the CAMSiRA (and MACCRA) no interaction between aerosol, chemistry and meteorology was simulated. The only potential interaction would be the impact of the tropospheric ozone assimilation on CO and vice versa. As reported in Inness et al. (2015) the applied system does not show a strong inter-species synergy, in particular as no NO₂ retrievals were assimilated in CAMSiRA. A further explanation for the lack of synergies is that no adjoint and tangent linear formulation of the chemistry scheme was applied and that no species-to-specie background error covariances were considered in our 4D-VAR approach.

In the next version of the CAMS system, the impact on assimilated aerosols and ozone in the radiation scheme, the impact of aerosol on photolysis rates and on some heterogeneous reaction (N2O5, HO2) will be considered. 162 To clarify that the assimilation system used for the paper does not present these interactions to 163 a large extend, we add the following statement in section 2.4 (C-IFS data assimilation).

"A further potential interaction between the assimilated species could be introduced by the adjoint and tangent linear representations of the chemical mechanism and the aerosol module as part of the 4D-VAR approach. The applied tangent linear and adjoin formulation of C-IFS accounts only for transport processes and not the sources and sinks of atmospheric composition. Because of this limitation and the lack of aerosol-chemistry-meteorology feedbacks in the C-IFS version used in this study, interactions among species and with the meteorology as part of the assimilation are not represented in CAMSiRA. "

171

172 2. As the system was developed at meteorological operational centers, the authors may want 173 to discuss more about the contribution of the CAMS interim reanalysis to meteorological 174 and climate activities. This discussion would be useful to many readers in understanding 175 how the composition and aerosol reanalysis will be helpful in wide research fields.

176 In the current version of the manuscript we mention applications of the re-analysis of 177 atmospheric composition, such as boundary condition for regional models and trace-gas 178 climatologies in the introduction and in the conclusions.

179 We can report that new trace-gas climatologies for ozone and aerosol were compiled from 180 CAMSiRA and implemented in the new cycle of the operational ECMWF NWP model. In 181 particular the reduced ozone bias in the upper stratosphere and mesosphere led to an improved 182 skill forecasts this See in temperature in region. 183 https://software.ecmwf.int/wiki/display/FCST/Implementation+of+IFS+Cycle+43r1

184 A report/paper is in preparation but not yet ready to be cited.

An other application of CAMSiRA is the analysis of trends, which we demonstrate on the example of CO surface data (Figure 6). Finally, the evaluation of model runs would be a new application for AC re-analysis data. However, we would leave it (within the scope of the paper) to the reader to decide if there is enough confidence that CAMSiRA is well suited for this purpose.

190 3. In Section 3, the differences in CO between the systems are primarily explained by

surface emissions. There could also be clear differences in OH and natural CO sources by

192 *oxidation, which may explain the CO differences.*

When discussing the global patterns of the differences between CAMSiRA and CR we actually come to the conclusion (L 337) that "... global chemical loss and production of CO as well as problems with the large scale transport. ... " and less the CO emissions itself are the reason for the biases of the model.

197 We find it difficult to distinguish with the discussed model runs to clarify in detail if 198 emissions and distribution of CO pre-courser species such as VOCs and CH4 or a reduced CO

199 lifetime because of higher OH values are more likely the reasons for the identified CO biases.

200 In any case we conclude that the CO emissions are not the sole reason for the CO biases.

201 We mention this in the conclusion of the paper (L 1128) but will refine the statement to:

202 "However, the rather zonally homogeneous CO differences between CR and CAMSiRA
203 suggest that not only biases in the fire emissions but also of the CO lifetime and chemical
204 production as well as the CO transport need to be investigated further. "

205

206 Specific comments:

207 L. 394: "Owing to the hemispheric...". This sentence is not clear.

208 We reformulate as follows:

209 "Because of the hemispheric influence, i.e. the hemispheric reduction in CO, the CO trend in210 CR over Eastern China became negative in the middle troposphere."

211

L.404-407: It is surprising that, even after correcting the concentration by data assimilation, the influence of different emission data is so large. Does this mean that the observational constraints are insufficient to remove the influence of a priori model errors? Further discussions would be helpful.

In the current approach the surface emissions are not changed by the assimilation of CO
observations. This has been identified as a topic for future developments in the conclusions
(see L 1185).

The missing total agreement with the observations at the time of the analysis is also caused by the relative size of the observation and background error statistic. The background error for CO is calculated using an ensemble of forecasts, which only accounts for the variability in the

- transport (winds) and not for the uncertainty of the emissions. The background error at the
- surface is therefore most likely underestimated leading to an "over-confidence" in the model
- as part of the assimilation process.
- 225 We will add at line (L 274, Section C-IFS data assimilation)

226 "However, the ensemble did not account for the uncertainty of the emissions, which leads to 227 an underestimation of the background error for CO."

And we will ad in the section on recommendations (L 1187)

"A promising development is to enable the correction of emissions with the C-IFS data assimilation system based on observations of atmospheric composition. This could also improve the analysis of tropospheric ozone as ozone precursor emissions would be corrected. An intermediate step in this direction is to better account for the emission uncertainty in the model background error statistics. "

234 L. 437-440: How does the bias vary with year?

The data coverage of the MOZAIC/IAGOS data varies a lot so that a robust conclusion for the year-to-year variability would be difficult to obtain. However, we discuss the agreement of the trends for surface CO observations in section 3.4. and show a good correspondents in the observed, modelled (CR) and assimilated trends (CAMSiRA).

A conclusion of the discussion of the inter-annual variability of the CO burdens (section 3.2)
over Europe and North-America is that there is better agreement between CR and CAMSiRA
at the end of the period. This could indicate that the biases of the anthropogenic emissions

242 decrease from 2003 to 2015.

243 L. 798-799: "However, the change of..." This sentence is not clear

244 We reformulate as follows:

- "It is not caused by the change of the assimilated MLS version (from V2 to V3.4) because thistook place already at the beginning of 2013 (see Table 2)."
- 247
- 248 L. 1008-1010: How long was the spin-up period?
- 249 The MACCRA was started on the 1.12.2002 (Inness et al. 2013)
- 250 We add " ... and the short spin-up period of only 1 month"

254

The CAMS interim Reanalysis of Carbon Monoxide, Ozone and Aerosol for 2003–2015

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274 Abstract

A new global reanalysis data set of atmospheric composition (AC) for the period 2003–2015 275 276 has been produced by the Copernicus Atmosphere Monitoring Service (CAMS). Satellite 277 observations of total column (TC) carbon monoxide (CO) and aerosol optical depth (AOD) as 278 well as several TC and profile observation of ozone have been assimilated with the Integrated 279 Forecasting System for Composition (C-IFS) of the European Centre for Medium-Range Weather Forecasting. Compared to the previous MACC reanalysis (MACCRA), the new 280 281 CAMS interim reanalysis (CAMSiRA) is of a coarser horizontal resolution of about 110 km 282 compared to 80 km but covers a longer period with the intent to be continued to present day. 283 This paper compares CAMSiRA against MACCRA and a control experiment (CR) without 284 assimilation of AC retrievals. CAMSiRA has smaller biases than CR with respect to 285 independent observations of CO, AOD and stratospheric ozone. However, ozone at the 286 surface could not be improved by the assimilation because of the strong impact of surface 287 processes such as dry deposition and titration with nitrogen monoxide (NO), which were both 288 not changed by the assimilation. - The assimilation of AOD led to a global reduction of sea 289 salt and desert dust as well as an exaggerated increase in sulphate. Compared to MACCRA, 290 CAMSiRA had smaller biases for AOD, surface CO and TC ozone as well as for upper 291 stratospheric and tropospheric ozone. Finally, the temporal consistency of CAMSiRA was elearly better than the one of MACCRA. This was achieved by using a revised emission data 292 293 set as well as by applying a careful selection and bias-correction of the assimilated retrievals. 294 CAMSiRA is therefore better suited than MACCRA for the study of inter-annual variability 295 than MACCRA as demonstrated for trends in surface CO. 296

297 **1** Introduction

298 Exploiting the multitude of satellite observations of atmospheric composition (AC) is a key

299 objective of the Copernicus Atmosphere Monitoring Service (CAMS). For its global

300 component CAMS uses the four-dimensional variational (4D-VAR) data assimilation

301 technique to combine satellite observations with chemistry-aerosol modelling to obtain a

302 gridded continuous representation (analysis) of the mass mixing ratios of atmospheric trace

303 gases and aerosols.

304 The global CAMS system is built on the heritage of the EU-funded GEMS (Hollingsworth et

al., 2008) and series of MACC projects at the European Centre for Medium-Range Weather

306 Forecasts (ECMWF). During these projects the Integrated Forecasting System (IFS) of

307 ECMWF was extended by modules for atmospheric chemistry, aerosols and greenhouse gases

308 in such a way that the 4D-VAR data assimilation system, which had been developed for the

309 analysis of the meteorological fields, could be used for the assimilation of AC retrievals.

310 Assimilating satellite AC retrievals into an AC model has advantages to the sole use of the

311 AC retrievals because of their specific limitations. First, only a small subset of the trace gases

312 or only total aerosol is directly observable with <u>an accuracy sufficient to have an impact</u>

313 <u>during the assimilation. sufficient accuracy.</u> Second, AC satellite retrievals have incomplete

314 horizontal coverage because of the orbital cycle, viewing geometry, the presence of clouds

and other factors such as surface <u>albedoproperties</u>. Third, the vertical distribution of the trace

316 species can often not or only rather coarsely be retrieved from the satellite observations, while

317 the measurement sensitivity towards the surface is generally low.

318 The AC analyses are used to (i) initialise AC model forecasts and (ii) for the retrospective

319 analysis (reanalysis) of AC for air quality and climate studies. The reanalysis of the

320 meteorological fields has been an important activity at ECMWF (ERA-40, Uppala et al.,

321 2005, ERA interim Dee et al., 2011) and other meteorological centres such as NCEP (CFSR,

322 Saha et al., 2010, JMA (JRA-55, JRA-25, Onogi et al., 2007) and NASA/DAO (MERRA,

323 Rienecker, et al., 2011). An important application of these reanalysis data sets is the

324 estimation of the inter-annual variability and the trends of climate variables over the last

325 decades up to the present day. The complete spatial and temporal coverage makes the trend

326 analysis of reanalyses more robust and universal than the trend analysis of individual

327 observing systems. However, constructing a data set which is suited for this purpose is a

328 complex task because of the developing and changing observing system, which can introduce

329 spurious trends and sudden shifts in the reanalysis data record. Careful quality control of the

- 330 assimilated observations and techniques (e.g. Dee et al., 2004) to address inter-instrument
- 331 biases are applied to mitigate this problem.

332 Most meteorological reanalyses contain stratospheric ozone but other traces gases, apart from 333 water vapour, are not included. In the last decade chemical and aerosol data assimilation has 334 matured (Bocquet et al., 2015) and dedicated reanalysis data sets for AC have emerged. The Multi-Sensor-Reanalysis of total ozone (van der A et al., 2015) for 1970-2012 used ground 335 336 based Brewer observations to inter-calibrate satellite retrievals. The MERRAero reanalysis 337 (2002-present, http://gmao.gsfc.nasa.gov/reanalysis/merra/MERRAero/) assimilated AOD 338 retrievals from the two Moderate Resolution Imaging Spectroradiometer (MODIS) 339 instruments in the GOCART aerosol module of the GEOS-5 model system using the 340 meteorological variables of the MERRA meteorological analysis. Its next version, the 341 MERRA2 reanalysis, is a joint meteorological and aerosol reanalysis covering the period from 1979 to present. Miyazaki et al. (2015) put together a tropospheric chemistry reanalysis 342 343 using a Kalman filter approach for the years 2005–2012. They use the CHASER Chemical 344 transport model (CTM) to assimilate retrievals of tropospheric ozone and CO profiles, NO₂ 345 tropospheric columns and HNO₃ stratospheric columns. Their approach tackles two specific 346 challenges of AC data assimilation. First, they not only correct atmospheric concentrations 347 but also alter the surface emissions which control the tracer distributions to a large extent. 348 Second, the Kalman filter develops co-variances of the errors between observed and un-349 observed species, which are used to correct un-observed species based on the observations 350 increments.

The MACC reanalysis (MACCRA) of reactive gases (Inness et al., 2013) and aerosols for the 351 352 period 2003–2012 is an AC reanalysis that covers tropospheric and stratospheric reactive 353 gases and aerosols as well as the meteorological fields in one consistent data set. MACCRA 354 has proved to be a realistic data set as shown in several evaluation studies for reactive gases 355 (Elguindi et al., 2010, Inness et al., 2013, Katragkou et al., 2015 and Gaudel et al., 2015) and 356 aerosols (Cesnulyte et al., 2014 and Cuevas et al., 2015,). MACCRA is widely used, for 357 example, as boundary condition for regional models (Schere et al., 2012, Im et al., 2014, 358 Giordano et al., 2015), to construct trace gas climatologies for the IFS radiation schemes (Bechtold et al., 2009), to estimate aerosol radiative forcing (Bellouin et al., 2013), as input to 359

- 360 solar radiation schemes for solar energy applications and to report the current state of aerosol
- and CO as part of the climate system (Benedetti et al., 2014., Flemming and Inness, 2014).
- 362 CAMS is committed to produce a comprehensive high-resolution AC reanalysis in the next
- 363 years. The CAMS interim Reanalysis (CAMSiRA) presented here has an interim status
- 364 between MACCRA and this planned analysis data set. It was produced at a lower horizontal
- 365 resolution (110 km) than the resolution of MACCRA (80 km), and the number of archived
- AC fields was limited to the aerosol variables and selected chemical species such as ozone,
- 367 <u>HNO₃, N₂O₅, NO, NO₂, PAN and SO₂selected key species only.</u>
- 368 The reasons for producing CAMSiRA before the more comprehensive reanalysis are as
- 369 follows: The MACCRA for reactive gases was produced using a coupled system consisting of
- 370 the IFS and the MOZART-3 (Kinnison et al., 2007) chemical transport model (CTM) as
- described in Flemming et al. (2009). This coupled system was replaced by the much more
- 372 computationally efficient on-line coupled model C-IFS (Flemming et al., 2015), which uses
- the chemical mechanism CB05 of the TM5 CTM (Huijnen et al., 2010). With the
- discontinuation of the coupled system it was not possible to extend the MACC reanalysis to
- 375 the present day. For the AC monitoring service of CAMS it is however important to be able to
- 376 compare the present conditions with previous years in a consistent way. Another motivation
- 377 for producing CAMSiRA was that the aerosol module used for the MACCRA had undergone
- 378 upgrades (Morcrette et al., 2011) in recent years. Finally, MACCRA suffered from small but
- 379 noticeable shifts because of changes in the assimilated observations, the emission data and the
- 380 bias correction approach. These spurious shifts undermine the usefulness of the MACCRA for
- 381 the reliable estimation of trends. The lessons learnt from the evaluation of CAMSiRA will
- 382 feed into the setup of the planned CAMS reanalysis.
- 383 Reanalyses of AC are generally less well-constrained by observations than meteorological
- reanalyses because of the aforementioned limitations of the AC observations and because of
- the strong impact of the emission, which are in many cases not constrained by observations. It
- is therefore good scientific practicse to investigate the impact of the AC assimilation by
- 387 comparing the AC reanalysis to a control experiment that did not assimilate AC observations.
- 388 The control run (CR) to CAMSiRA was carried out using the same emission data as well as
- the meteorological fields produced by CAMSiRA.
- 390 The purpose of this paper is firstly to document the model system, the emissions and the
- 391 assimilated observations used to produce CAMSiRA, and to highlight the differences to the

- 392 setup of the MACCRA. As the emissions are an important driver for variability of AC, a
- 393 presentation of the totals and the inter-annual variability of the emission data used in
- 394 CAMSiRA and CR is given in a supplement to the paper.
- 395 In the remainder of the paper, CO, aerosol as well as tropospheric and stratospheric ozone of
- 396 CAMSiRA, CR and MACCRA are inter-compared and evaluated with independent
- 397 observations in a separate section for each species. The comparison of CAMSiRA with
- 398 MACCRA has the purpose to report progress and issues of CAMSiRA for potential users of
- the data sets. The comparison of CAMSiRA with CR shows the impact of the data
- 400 assimilation and is helpful to better understand deficiencies of the C-IFS model and its input
- 401 data.
- 402 Each section starts with a discussion of the spatial differences of CAMSiRA, CR and
- 403 MACCRA of the considered species. Next, the temporal variability is investigated using time
- 404 series of monthly mean values averaged over selected regions. We present global burdens and
- 405 discuss changes in the speciation of the aerosol fields introduced by the assimilation. Finally,
- 406 the three data sets are compared against independent observations, which were not used in the
- 407 assimilation. A summary and recommendations for future AC reanalysis will be given in the
- 408 last section.
- 409

410 2 Description of CAMSiRA setup

411 **2.1 Overview**

412 CAMSiRA is a data set of 6 hourly reanalyses of AC for the period 2003–2015. A 3 hourly 413 data set consistent with the AC analysis is available from forecasts linking the analyses. The 414 horizontal resolution is about 110 km on a reduced Gaussian grid (T159) and the vertical 415 discretisation uses 60 levels from the surface to a model top of 0.1 hPa. Total columns of CO 416 (TC CO) of the Measurements Of Pollution In The Troposphere (MOPITT) instrument, 417 MODIS AOD and several ozone TC and stratospheric profile retrievals (see Table 2) were 418 assimilated together with meteorological in-situ and satellite observations.

The description of MACCRA for reactive gases can be found in Inness et al. (2013).
Important commonalities and differences between the two AC reanalyses are given in Table
1.

The control run is a forward simulation of C-IFS in monthly segments. The meteorological
simulation is relaxed using the approach by Jung et al. (2008) to the meteorological reanalysis
produced by the CAMSiRA. The emission input fields are the same as used for CAMSiRA.

425 2.2 C-IFS model

426 The model C-IFS is documented and evaluated in Flemming et al. (2015). C-IFS applies the 427 chemical mechanism CB05, which describes tropospheric chemistry with 55 species and 126 428 reactions. Stratospheric ozone chemistry in C-IFS is parameterized by the "Cariolle-scheme" 429 (Cariolle and Dèquè, 1986 and Cariolle and Teyssèdre, 2007). Chemical tendencies for 430 stratospheric and tropospheric ozone are merged at an empirical interface of the diagnosed 431 tropopause height in C- IFS. C-IFS benefits from the detailed cloud and precipitation physics 432 of the IFS for the calculation of wet deposition and lightning NO emission. Wet deposition 433 modelling for the chemical species is based on Jacob (2000) and accounts for the sub-grid 434 scale distribution of clouds and precipitation. Dry deposition is modelled using pre-calculated 435 monthly-mean dry deposition velocities following Wesely (1989) with a superimposed 436 diurnal cycle. Surface emissions and dry deposition fluxes are applied as surface boundary conditions of the diffusion scheme. Lightning emissions of NO were calculated based on 437 438 convective precipitation (Meijer et al., 2001).

439 The aerosol module (Morcrette et al., 2009) is a bulk/bin scheme simulating desert dust, sea 440 salt at 80% relative humidity (RH), hydrophilic and hydrophobic organic carbon and black 441 carbon as well as sulphate aerosol based on the LMDZ aerosol model (Reddy et al., 2005). 442 Sea salt and desert dust are represented in 3 size-bins. The radii ranges of the dust bins are 443 0.030-0.55, 0.55-0.9 and 0.9-20 µm (DD1, DD2, and DD3) and of the sea salt at 80% RH bins 0.03–0.5, 0.5–5 and 5–20 µm (SS1, SS2, and SS3). There is no consideration of the 444 445 aerosol growth, which would transfer aerosol mass from one size bin to another. Hygroscopic 446 growth of hydrophilic species is taken into account in the computation of the aerosol optical properties only. Following the emission release, the aerosol species are subject to wet and dry 447 deposition and the largest size bins of sea salt and dust also to sedimentation. The chemical 448 449 source of sulphate is modelled by climatological conversion rates using a SO₂ tracer, which is 450 independent of the SO₂ simulated in CB05. The SO₂ tracer is driven by prescribed SO₂ and 451 DMS emissions. Its loss is simulated by wet and dry deposition as well as the climatological 452 chemical conversion to SO₄.

The aerosol and chemistry modules used to simulate source and sink terms are not coupled. Also, wet and dry deposition are modelled with different parameterisations but with the same meteorological input such as precipitations fields. Aerosol and chemistry have in common that they are advected and vertically distributed by diffusion and convection in the same way. A proportional mass fixer as described in Diamantakis and Flemming (2014) is applied for all tracers in C-IFS.

459 **2.3 Emission data sets**

This section only references the origin of the emission data. The emitted totals and the linear trends of the anthropogenic, biomass burning and natural emissions as well as the modelled desert dust and sea salt emissions used in CAMSiRA and CR are presented in a supplement.

The anthropogenic surface emissions for the chemical species were taken from the MACCity inventory (Granier et al., 2011), which covers the period 1960–2010. MACCity emissions are based on the ACCMIP (Lamarque et al., 2013) inventory but have improved seasonal variability. The changes from 2000–2005 and for 2010 are obtained in the MACCity data using the representative concentration pathways (RCP) scenarios version 8.5. For the production of CAMSiRA the MACCity data set was extended to 2015 by also applying the RCP 8.5 scenario. The anthropogenic CO emissions were increased following Stein et al. 470 (2014). Time series of the anthropogenic CO emissions for Europe, North America, East Asia471 (see Table 3) and the globe are shown in Figure S2 of the supplement.

The anthropogenic emissions of organic matter, black carbon and aerosol precursor SO_2 are retrieved from AEROCOM data base, which is compiled using EDGAR and SPEW data (Dentener et al., 2006). In contrast to the anthropogenic gas emissions, the aerosol anthropogenic emissions did not account for trends but only for the seasonal cycle.

476 The biogenic emissions for the chemical species were simulated off-line by the MEGAN2.1

- 477 model (Guenther et al., 2006) for the 2000–2010 period (MEGAN-MACC, Sindelarova et al.,
- 478 2014). For the remaining years 2011–2015 a climatology of the MEGAN-MACC data was

put together. Natural emissions from soils and oceans for NO₂, DMS and SO₂ were taken

- 480 from <u>Precursors of ozone and their Effects in the Troposphere (POET)</u> database for 2000
- 481 (Granier et al., 2005; Olivier et al., 2003).

479

482 Daily biomass burning emissions for reactive gases and aerosols were produced by the Global 483 Fire Assimilation System (GFAS) version 1.2, which is based on satellite retrievals of fire 484 radiative power (Kaiser et al., 2012). This is an important difference with respect to the 485 MACCRA, which used an early version of the GFED 3.1 data from 2003 until the end 2008 486 and daily GFAS v1.0 data from 2009 to 2012. The GFED 3.1 is on average 20% lower than 487 GFAS v1.2 (Inness et al., 2013). Time series of the biomass burning CO emissions for 488 Tropical Africa, South America and Maritime South East Asia (see Table 3) and the globe are 489 shown in Figure S3 of the supplement.

490 2.4 C-IFS data assimilation

491 C-IFS uses an incremental 4D-VAR algorithm (Courtier et al., 1994), which minimizes a cost 492 function for selected control variables to combine the model and the observations in order to 493 obtain the best possible representations of the atmospheric fields. The mass mixing ratios of 494 O₃, CO and total aerosol are incorporated into the ECMWF variational analysis as additional 495 control variables and are minimized together with the meteorological control variables. The 496 assimilation of satellite retrieval of the chemical species and total aerosol optical depth is 497 documented in Inness et al. (2015) and Benedetti et al. (2009). The assimilation of aerosol 498 differs from the assimilation of CO and ozone because only the total aerosol mass can be 499 constrained by the observations and information about the speciation must be obtained from 500 the model.

501 The assimilation of AOD retrievals uses an observation operator that translates the aerosol 502 mass mixing ratios and humidity fields of C-IFS to the respective AOD (550 nm) values using 503 pre-computed optical properties. Total aerosol mass mixing ratio is included in the 4D-VAR 504 cost function and the analysis increments are repartitioned into the individual aerosol 505 components according to their fractional contribution to the total aerosol mass. This is an 506 approximation which is assumed to be only valid over the 12 hour of the assimilation 507 window. In reality, the relative fraction of the aerosol components is not conserved during the 508 whole assimilation procedure because of differences in the efficiency of the removal 509 processes. Aerosol components with a longer atmospheric lifetime will retain relatively longer 510 the change imposed by the increments and may thereby change the relative contributions.

511 The background error statistics for the chemical species and for total acrosol are univariate in

512 order to minimize the feedback effects of the chemical fields on the meteorological variables.

513 Correlations between the background errors of different chemical species are also not

514 accounted for (Inness et al., 2015).

515 In the ECMWF data assimilation system the background error covariance matrix is given in a 516 wavelet formulation (Fisher, 2004, 2006). This allows both spatial and spectral variations of 517 the horizontal and vertical background error covariances. The background errors for AC are 518 constant in time.

519 The background errors for ozone are the same as the ones used for MACCRA (Inness et al., 520 2013). Only the vertical correlations of the ozone background errors have been modified and 521 restricted to \pm 5 levels around a model level, to avoid correlations between the lower 522 troposphere and upper tropospheric and stratospheric levels that affected near-surface ozone 523 adversely. The background errors of total aerosol for both MACCRA and CAMSiRA were 524 calculated using the method described in Benedetti and Fisher (2008). The aerosol 525 background errors for CAMSiRA were updated using a more recent C-IFS model version. 526 The background errors for CO are newly calculated for the CAMSiRA from an ensemble of 527 C-IFS forecast runs (Inness et al., 2015). However, the ensemble did not account for the uncertainty of the emissions, which leads to an underestimation of the background error. This 528 529 may limit the correcting impact of the observations in the assimilation process.

530 <u>The background error statistics for the chemical species and for total aerosol are univariate in</u>

531 <u>order to minimize the feedback effects of the chemical fields on the meteorological variables.</u>

532 Correlations between the background errors of different chemical species are also not
 533 accounted for (Inness et al., 2015).

A further potential interaction between the assimilated species could be introduced by the adjoint and tangent linear representations of the chemical mechanism and the aerosol module as part of the 4D-VAR approach. The applied tangent linear and adjoin formulation of C-IFS accounts only for transport processes and not the sources and sinks of atmospheric composition in this study. Because of this limitation and the lack of aerosol-chemistrymeteorology feedbacks in C-IFS, interaction among species and with the meteorology as part of the assimilation procedure are not represented in CAMSiRA.

541

542

543 **2.5 Assimilated observations**

544 Table 2 shows the AC composition data sets for CO, ozone and AOD that were assimilated in 545 CAMSiRA. The time line of the assimilation for the different retrievals is shown in Figure 1. CO is assimilated from MOPITT V5 TIR only whereas the MACCRA assimilated the V4 TIR 546 547 product and additionally IASI TC CO retrievals after April 2008. The biases between the 548 retrievals (George et al., 2015) of the two instruments in mid and higher latitudes could not be 549 reconciled with the variational bias correction and led to a discontinuity in the time series of 550 CO in MACCRA, which consequently could not be used for trend analyses (see Figure 4 551 below). It was therefore decided to only use the MOPITT V5 CO data set in CAMSiRA 552 because it covers the whole period from 2003–2015. The MOPITT V5 product has better long 553 term stability and a smaller SH bias than V4 (Deeter et al., 2013). V4 suffered from a positive 554 temporal bias drift and a positive bias in SH.

555 An additional ozone data set in CAMSiRA were the Michelson Interferometer for Passive 556 Atmospheric Sounding (MIPAS) ozone profiles, which were assimilated from 2005 until the 557 end of the ENVISAT mission in April 2012. After the end of 2012 the version of the 558 assimilated Microwave Limb Sounder (MLS) data set changed from V2 to V3.4. Information 559 about the differences between the two versions can be found in 560 https://mls.jpl.nasa.gov/data/v3_data_quality_document.pdf

Averaging kernels were used for the calculation of the model's first-guess fields in the observation operators for the MOPITT data. For the ozone retrieval averaging kernels were not used because they were not provided or did not improve the analysis. For example, the high vertical resolution of the MLS ozone retrievals in the stratosphere made the use of AK not necessary.

566

567 The AC satellite retrievals were thinned to a horizontal resolution of 1° x 1° by randomly 568 selecting an observation in the grid box to avoid oversampling and correlated observation 569 errors. Variational quality control (Andersson and Järvinen, 1999) and background quality 570 checks were applied. Only 'good' data were used in the analysis and data flagged as 'bad' by 571 the data providers were discarded.

572 Variational bias correction (Dee, 2004, McNally et al., 2006, Auligné et al., 2007, Dee and 573 Uppala, 2009) was applied to the MODIS AOD data, as well as to ozone column data from 574 the Ozone Monitoring Instrument (OMI), the SCanning Imaging Absorption spectroMeter for 575 Atmospheric CHartographY (SCIAMACHY) and the Global Ozone Monitoring Experiment 2 576 (GOME-2). The partial column of the Solar Backscatter Ultraviolet Radiometer 2 (SBUV/2), 577 MLS and MIPAS were used to anchor the bias correction. Experience from the MACC 578 reanalysis had shown that it was important to have an anchor for the bias correction to avoid 579 drifts in the fields (Inness et al., 2013).

580

581 **3 Carbon monoxide**

582 Global CTMs tend to underestimate the observed CO values (Shindell et al., 2006) but data 583 assimilation (Inness et al., 2013 and 2015, Miyazaki et al., 2015, Gaubert et al., 2016) of 584 satellite retrieval is able to successfully reduce the biases of the simulated CO fields. The 585 correct representation of vertical CO profiles by the assimilation remains a challenge (Gaudel 586 et al., 2015). An important next step will be the correct representation of the global CO trends 587 by means of CO reanalyses such as CAMSiRA.

588 **3.1 Spatial patterns of total column CO**

589 Figure 2 shows the seasonal mean of TC CO over the period 2003–2015 of CAMSiRA and 590 the differences with CR and MACCRA (2003-2012). Overall, the assimilation of TC CO in 591 CAMSiRA led to an increase in the northern hemisphere (NH) and a decrease in the Southern 592 hemisphere (SH) and most of the tropics. CAMSiRA was about 2-5% higher than CR in NH 593 and up to 20% lower in the SH. The reduction was especially large in the tropical and sub-594 tropical outflow regions of the biomass burning regions in South America, Central Africa and 595 Maritime South East Asia. The largest reduction in these regions occurred in DJF. The largest 596 negative bias of CR with respect to CAMSiRA occurred over NH in December-February 597 (DJF) and March-May (MAM). Overall the zonal patterns of the biases throughout all 598 seasons were rather uniform indicating an underestimation of the hemispheric CO gradient in 599 CR and could point to deficiencies in the simulation of the global chemical loss and 600 production of CO as well as problems with the large scale transport. Biases in the amount of 601 the emissions seem to play a smaller role for the problem with the hemispheric gradient.

602 However, more CO emission related differences occurred in September–November (SON) 603 and to a smaller extent in June-August (JJA), when CR had (i) higher values in the biomass 604 burning regions and the respective outflow regions in Central Africa, Maritime South East 605 Asia and South America and (ii) lower values in the outflow regions of the emissions in North 606 America and East Asia in the Eastern and Western Northern Pacific. This suggests that GFAS 607 biomass burning emissions were too high whereas the anthropogenic emissions in North 608 America and East Asia were too low. On the other hand, CR had higher values than 609 CAMSiRA in South Asia, which indicates that the anthropogenic emissions are too high in 610 India.

611 Compared to MACCRA, CAMSiRA was up to 10% higher in the Northern high latitudes and 612 up to 20% higher above the tropical biomass burning regions and above the parts of East 613 Asia. The differences over the biomass burning regions can be attributed to the different 614 biomass burning emissions data sets (see section 2.3). Over the oceans in NH and the tropics, apart from biomass burning outflow regions, CAMSiRA CO is slightly lower (3%) than 615 616 MACCRA. The differences in the NH high latitudes are mainly caused by the reduction in 617 MACCRA CO in this region introduced by the assimilation of IASI CO retrieval after 2008 618 (see also Figure 4 below).

619 Figure 3 shows the average zonal mean cross section of the average CO mass mixing ratio of CAMSiRA and the relative difference to CR and MACCRA. The overestimation of CR in the 620 621 tropics and SH extratropics was found throughout the troposphere. It was most pronounced in 622 relative terms at about 500 hPa. Stratospheric CO in CAMSiRA was much lower than in 623 MACCRA. This might be an improvement as Gaudel et al. (2015) report an overestimation in the MACCRA over this region. In the upper troposphere CAMSiRA had higher CO than 624 625 MACCRA most notably in the tropics and SH where values are up to 40% higher. CO was 626 lower in the mid and lower troposphere in SH and higher in NH. These differences in the 627 vertical distribution might be caused by (i) a more consistent modelling approach of the stratosphere-troposphere exchange with the on-line coupled C-IFS, (ii) the fact that C-IFS 628 629 CB05 has a very different chemistry treatment compared to MOZART and (iii) updated 630 background error statistics for CO (see Table 1).

631 **3.2** Inter-annual variability of CO burden

632 Figure 4 shows time series of the monthly mean CO burden from CAMSiRA, MACCRA and CR for selected areas (see Table 3). Then modelled global CO burden (CR) was reduced by 633 the assimilation by about 3% at the start and by about 7% at the end of the period. CAMSiRA 634 635 showed a stepwise decrease of the global CO burden from 2008 and 2009 which corresponds to a significant (95% confidence level) negative linear trend of -0.86%/yr over the whole 636 637 period. The linear trend is as expressed as percentage with respect to the mean of the burden 638 over the whole period. This figure is in good agreement with the results of Worden et al. 639 (2013) who estimates trends of -1% per year for both the globe and NH over the last decade by studying different satellite-based instruments. CR also showed the largest decrease in the 640

period from 2007–2009 but the CO burden increased slightly after that period. The resulting
linear trend of CR was still negative (-0.36%/yr) but less strong than the trend of CAMSiRA.

643 The higher global CO burdens of CR with respect to CAMSiRA originated mainly from the 644 tropics and the SH mid-latitudes, which are strongly influenced by biomass burning emissions 645 in tropical Africa and South America. CO was reduced by the assimilation in CAMSiRA especially after the start of the biomass burning season. The reduction of the biomass burning 646 emissions of -7.4%/yr (see supplement Table S1) over South America led to a significant 647 648 negative trend of the CO burden of -1.23%/yr in CAMSiRA and -0.83%/yr in CR over that 649 region. The overestimation of CR with respect to CAMSiRA increased slightly during the 650 period.

651 2015 was an exceptional year because the global CO burden reached the highest values in the 652 whole period for both CAMSiRA and CR despite the overall decadal negative trend. The 653 increase was caused by exceptionally high biomass burning emissions in Indonesia because of 654 El Niño related dry conditions. The El Niño controlled inter-annual variability of CO over 655 Maritime South East Asia was reproduced in a very similar way in CAMSiRA and CR but the 656 assimilation reduced the burden by about 1 Tg (10%).

657 In the regions of high anthropogenic emissions the temporal variability at a monthly scale was 658 very similar between CR and CAMSiRA. Both in North America and Europe CR 659 underestimated the CO maximum of CAMSiRA in early spring by less than 5% up to the year 2010 but the biases almost disappeared in later years. This means that the negative total CO 660 661 trend in these regions was larger in CAMSiRA, which contains the MOPITT observations, 662 than in CR. It could indicate that the anthropogenic emissions were biased low at the 663 beginning of the period but less so towards the end. Over East Asia the difference between 664 CR and CAMSiRA was generally very small indicating a high degree of realism of the 665 emissions in the area. A further explanation for this agreement is the fact that this area covers 666 both the underestimation of CAMSiRA by CR in NH mid-latitudes and the overestimation in 667 the tropics. Both CAMSiRA and CR had a negative but not a significant trend over East Asia.

568 Stroden et al. (2016) also find good agreement between MOPITT-based and modelled 569 negative trends for the 2000-2010 period of total column CO over Europe and North America 570 but disagreement in the in-the sign of the trend over Eastern China, where their model, using 571 MACCity emissions, simulates a positive trend but MOPITT has a negative trend. Over 572 Eastern China also CR (2003-2015) had a small positive linear trends whereas CAMSiRA had

- a negative trend but both trends were not statistically significant. The positive trend over
 Eastern China in CR was mainly driven by directly emitted CO at the surface. <u>Because Owing</u>
 to the of the hemispheric influence, i.e. the hemispheric reduction in CO, the CO trend in CR
 <u>over Eastern China</u> became negative in the middle troposphere., where the MOPITT
 sensitivity to CO is highest.
- In the Arctic, which is influenced by the long-range transport from North America, Europe and Asia (Emmons et al., 2015), no MOPITT observations were assimilated (see Table 2) <u>because of the higher biases of the-MOPITT data in this region.</u> –Also in this region the variability of the CR and CAMSiRA CO burden matched well but the bias was much reduced after 2012.
- The time series of the global CO burden of CAMSiRA and MACCRA agree better than
 CAMSiRA and CR. The global burden of MACCRA is slightly lower than in CAMSiRA
 (1%) until 2010 but starts to exceed CAMSiRA in 2011 and 2012. Hence, larger differences
- 686 occur at the beginning and end of the MACCRA period.
- 687 The CO burden of MACCRA above the biomass burning regions of South America and 688 Tropical Africa was lower than CAMSiRA for the period 2003–2010. This is most likely 689 because of the use of the GFED biomass burning emissions until 2008, which are on average 690 20% lower than GFAS, which was used for CAMSiRA. In the years 2011–2012 MACCRA 691 had higher values, which even led to a reversal in the sign of the trend over the two regions in 692 MACCRA in comparison to CAMSiRA. MACCRA and CAMSiRA agreed well above the 693 anthropogenic source regions. Only from 2008 onwards MACCRA was slightly lower which 694 led to enhanced negative trends.
- Over the Arctic, CAMSiRA is higher from 2008 whereas MACCRA was higher at the start.
 This is consistent with the respective trends over Europe and North America. All data sets
 showed a step-like reduction the CO burden at mid-2008 but it was most pronounced in
 MACCRA.
- 699

700 **3.3 Evaluation with MOZAIC/IAGOS aircraft CO observations**

Measurements of OZone, water vapour, carbon monoxide and nitrogen oxides by in-service
 AIrbus aircraft (MOZAIC) and In-service Aircraft for a Global Observing System (IAGOS)

are subsequent programmes of AC observations mounted on commercial aircraft. The MOZAIC CO data have an accuracy of ± 5 ppbv, a precision of $\pm 5\%$, and a detection limit of 10 ppbv (Nédélec et al., 2003). De Laat et al. (2014) compare MOZAIC/IAGOS profile with the MOPITT v5 NIR retrievals, which were assimilated in CAMSiRA. They find good agreement and no drift of the biases of the two data sets in their study period 2002–2010.

708 We use the CO profiles obtained during take-off and landing to evaluate the CO fields 709 averaged over airports in different regions from 2003–2012. The number of MOZAIC/IAGOS 710 CO profiles fluctuated considerably over the years. They have decreased from 2003–2014 by 711 about 50% and certain airports had many more observations than others. Since the aircraft 712 used in MOZAIC were based in Frankfurt, the majority of the CO profiles were observed at 713 this airport. Therefore the observations from Frankfurt dominate the European mean values. 714 Observations from Tokyo and other Japanese cities were the largest contribution to the mean 715 over East Asia. Atlanta, Toronto and Vancouver had the largest number of observation in the 716 North American domain. Windhoek had by far the largest number of observations in Tropical 717 Africa and Caracas in South America. The mean of Maritime South East Asia sea salt is 718 mainly calculated from observations over Jakarta and Kuala Lumpur in 2005, 2006, and 2012 719 with an unbalanced coverage of the difference months.

Profiles of the mean relative bias of CAMSiRA, MACCRA and CR against MOZAIC/IAGOS
CO observations for different regions (see Table 3) averaged over the period 2003–2012 are
shown in Figure 5. We discuss here only the annual biases since the seasonal relative biases
did not differ to a large extent from the annual relative biases.

724 All three data sets underestimated the observed CO values throughout the troposphere in 725 Europe, North America and East-Asia. At the surface and the lower PBL up to 900 hPa, i.e. 726 where the highest CO concentrations are observed, CAMSiRA and CR had a relative biases 727 of about -10% in Europe and North America and up to -20% in East Asia, whereas MACCRA 728 had larger relative biases of -20 - -30% at this level and the largest biases occurred in DJF. On 729 the other hand, MACCRA had smaller biases than CAMSiRA and CR in the middle and 730 upper troposphere. The smaller biases of MACCRA may be caused by the more realistic 731 simulation of the chemical CO production by the MOZART chemical mechanism as well as 732 by the change in the CO background error statistic. The assimilation of MOPITT in 733 CAMSiRA reduced the biases relative to CR in the troposphere over Europe and North America but had only little effect at the surface. Over East Asia the assimilation did not leadto changes between CR and CAMSiRA.

736 Whereas CR had the largest underestimation in NH it was generally higher than CAMSiRA 737 and MACCRA in the tropics. This led to better agreement with the MOZAIC observation in 738 South America and Tropical Africa but also to an overestimation of 20-30% in Maritime 739 South East Asia. The limited number of observations in that region makes this result less robust. MACCRA and CAMSiRA showed little differences over South America and Tropical 740 741 Africa. The 10% negative bias of MACCRA and CAMSiRA in Tropical Africa is consistent 742 with the 10% underestimation of MOPITT v5 against MOZAIC/IAGOS over Windhoek 743 reported by de Laat et al. (2014, their Figure 3). Over MSEA below 700hPa CAMSiRA and 744 MACCRA overestimated CO whereas MACCRA underestimated the observations. This 745 could be the consequence of the different fire emissions and the different chemistry schemes 746 but the limited number of available profiles makes this result less representative.

747 **3.4 Evaluation with NOAA GMD surface observations**

NOAA Global Monitoring Division (GMD) network of flask CO surface observations (Novelli and Masarie, 2010) has a good global coverage, which also includes the high latitudes of SH and NH, to observe the background concentrations. The tropical stations represent the maritime background because they are mainly located on islands in the tropical oceans. The station density is higher in North America and Europe. The uncertainty of the NOAA/GMD CO observations is estimated to be 1–3 ppm (Novelli et al., 2003).

We calculated the mean and, <u>for reasons of simplicity, only the</u> linear trend at each station for the period 2003–2014 or 2003-2012 (MACCRA). The overall bias averaged over all stations of CAMSiRA and CR was 3.0 ppb for the whole period but CAMSiRA had a slighter lower RMSE (13 ppb) than CR (15 ppb). For the 2003–2012 period MACCRA had a bias of 6 ppb whereas CAMSiRA and CR had a bias of 3.1 and 3.9 ppb respectively.

Figure 6Figure 6 shows the zonal means of the observed averages and the corresponding model values at station location as well as the median of the estimated linear trend from the observations and the model results. The graphs were constructed by calculating the mean concentrations and median trends of all stations in 15° wide latitude bins. The errors bars indicate the range of the observed values in the latitude bin. 764 In the SH high and mid-latitudes the typical observed annual mean surface concentration was 765 50 ppbv. The background levels started to rise in the SH extra tropics and reached a 766 maximum of 145 ppbv in the NH mid- latitudes. The values then decreased to about 130 ppb 767 in the Arctic. The general structure of the zonal variation was well represented by all data 768 sets. CR overestimated the SH mid and high values by 15 ppb whereas CAMSiRA and 769 MACCRA had a bias of 7 ppb. In the tropics CAMSiRA had slightly lower (3 ppb) values 770 than the observations whereas MACCRA and CR overestimated by about 5 ppb. CAMSiRA 771 had the highest values of all three data sets in the NH mid-latitudes but still underestimated 772 the mean of the observations by 7 ppb. However the observed means at the station locations in this latitude band varied in a range of about 100 ppb. CR had a slightly larger 773 774 underestimation than CAMSiRA. MACCRA underestimated the observations by more than 775 20 ppb in the mid and high latitudes. The reduction towards the NH high latitudes in CR and 776 CAMSiRA was similar to the observations.

777 The observations in the SH showed essentially no linear trend in the 2003–2014 period. 778 Starting in the tropics a negative linear trend gradually occurred which reached values of 779 about -2.2 ppb/yr in the NH mid- and high latitudes. CAMSiRA and CR had a small but still 780 significant (95% confidence level) negative trend in SH of -0.3 and -0.5 ppb/yr respectively. The negative trends of CAMSiRA and CR started to become more pronounced from 20°S 781 782 onwards. The trend in CAMSiRA was generally stronger than the trend in CR. This meant a 783 better fit with the observed trends in the tropics for CR and a better fit in the NH mid- and 784 high latitudes for CAMSiRA. In this region the median of the trends was -2.1ppb/yr for CAMSiRA and -2.0 ppb/yr for CR. While the trends of CAMSiRA and CR agreed reasonably 785 786 well with the observations, MACCRA suffered from unrealistically strong negative trends in 787 the mid- and high latitudes of both hemispheres. This negative trend in MACCRA was caused 788 by the reduction in the values related to assimilation of IASI data from 2008 onwards (Inness 789 et al., 2013).

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- 791

792 **4 Aerosols**

793 In contrast to the assimilation of individual chemical gases, the assimilation of AOD 794 observations is "underdetermined" because different combinations of the aerosol components 795 can led to the same extinction, i.e. AOD value. A further complicating factor is that each aerosol component has different optical properties, which depend on relative humidity for the hydrophilic components such as sea salt, sulphate and organic matter. The correction of the speciation of the assimilated aerosol mass mixing ratio fields is therefore a big challenge despite good success in reproducing independent AOD observations with the aerosol analysis (Eskes et al., 2015).

801 4.1 Global aerosol burden, speciation and AOD

802 In this section the global averages of burdens and AOD are presented. Spatial patterns of 803 AOD will be discussed in section 4.2. Global area-weighted averages of AOD at 550nm and the total global burden in Tg for the different aerosol components are shown in Figure 7. The 804 805 figure also shows the median of the global AOD average and burdens simulated by the models of the AeroCom inter-comparison study (Kinne et al., 2006 and Textor et al., 2006). 806 807 CR had the highest total global average aerosol burden of 46 Tg compared to MACCRA and 808 CAMSiRA, which had both 33 Tg. This number was very similar to the AeroCom median of 809 29 Tg.

810 The global sea salt burden was about twice as high in CR (15.1 Tg) than in CAMSiRA (8.3 811 Tg), and it was 16.1 Tg for MACCRA. In comparison, the median of the sea salt burden from 812 the AeroCom models is 6.3 Tg. Another study of different emission schemes by Spada et al. 813 (2013) found sea salt burdens in the range from 5.0 to 7.2 Tg. In the light of these studies as 814 well as the applied correction by the assimilation in CAMSiRA, the simulated sea salt burden 815 of CR as well as the assimilated burden of MACCRA appears to be too high. The simulated 816 sea salt emissions of C-IFS were at the upper end of, but still within, the reported range in the 817 literature (see supplement). This suggests that the high sea salt burden of CR can not entirely be explained by exaggerated emissions. The underestimation of the loss processes of sea salt 818 819 were underestimated in C-IFS in comparison to other models.with respect to other models 820 must have a played an important role too. On the other hand, the high sea salt burden of 821 MACCRA was probablylikely caused by an exaggeration of the sea salt emission with an 822 earlier version of the emissions module.

The desert dust burden in CR was 27 Tg, which was higher than the AeroCom median of 20 Tg. It was strongly reduced by the assimilation in CAMSiRA to 18 Tg. MACCRA had an even lower desert dust burden of 12 Tg because of the underestimation of the desert dust emissions scheme used in MACCRA. As in the case of the sea salt, the underestimation of the

- desert dust loss by deposition and sedimentation may play an important role in theoverestimation of dust burden in CR.
- 829 The strongest relative change in the global burden by the assimilation occurred for sulphate,

830 which was 1.2 Tg in CR but was 4.7 Tg in CAMSiRA and 3.3 Tg in MACCRA. The

- 831 respective AeroCom median value is 2 Tg. Because of the larger extinction per unit mass of
- sulphate, this increase in sulphate had a large impact on total AOD, which will be discussed
- 833 further below.
- 834 The organic matter and black carbon burden of CR (0.2 Tg and 2.0 Tg) was increased by the
- assimilation to 0.36 Tg and 2.4 Tg respectively. The values agreed reasonably well with the
- AeroCom median of 0.21 Tg and 1.76 Tg.
- 837 In contrast to the global burden, CR had the lowest global AOD average of 0.13. CAMSiRA

and MACCRA had values of 0.16 and 0.18. The values for CR wereas close to the median of

the AeroCom models (0.12) but the two reanalyses had a higher value than the highest global

- average AOD value of the AeroCom models of 0.15.
- The largest fraction of the CAMSiRA AOD came from sulphate, which was strongly increased by the assimilation. The contribution of sulphate AOD to total AOD was 13% in CR and 43% in CAMSiRA. Sulphate was also the largest AOD contribution in MACCRA. The global average of sulphate AOD of CR (0.018) was about half of the AeroCom median (0.034), which could suggest an underestimation in the global sulphate burden and AOD in CR. On the other hand, global sulphate AOD of CAMSiRA was 0.06, which was higher than the highest value of the AeroCom model ensemble (0.051).
- As already discussed for the respective burdens, global desert dust AOD and sea salt AOD were strongly reduced in CAMSiRA compared to CR. In CR sea salt and desert dust AOD contributed each about 30% to the total AOD, whereas in CAMSiRA the contribution was reduced to 15% and 19%. The reduction of sea salt by the assimilation was reasonable as the sea salt burden was above the reported range by Textor (2006) and Spada et al. (2012). However, the reduction in sea salt was compensated by the increase in sulphate, which became the most important contribution to total AOD over many parts of the oceans.
- The global sea salt burden of MACCRA was higher than in CAMSiRA but similar to CR. However, a different distribution of the mass within the size classes meant that the resulting
- sea salt AOD of MACCRA was 20% higher than CR. MACCRA had the lowest desert dust

burden but differences in the size distribution towards smaller particles meant that the
resulting AOD was slightly higher than CR and 20% higher than CAMSiRA. Black carbon
and organic matter AOD and burden were similar among CAMSiRA, CR and MACCRA.

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863 4.2 Spatial patterns of AOD

Figure 8 shows the annual mean of total AOD and AOD for desert dust, sea salt, sulphate, black carbon and organic matter for period 2003–2015 from CAMSiRA and the differences with CR and MACCRA (2003–2012). The global maxima of the total AOD (>0.5) in CAMSiRA were found over areas of desert dust emissions such as the Sahara, the Arabian Peninsula and the deserts of Central Asia. High emissions of black carbon and organic matter from biomass burning sources in tropical Africa and anthropogenic sources in Eastern China and Northern India also produced to AOD maxima on the global scale.

871 The increase of the global average AOD in CAMSiRA with respect to CR by the assimilation 872 (see section 4.1) occurred in most parts of the globe, in particular over the areas of industrial 873 activity in North America, Europe and East Asia (20–30%) as well as in the polar regions (> 874 50%), where AOD is generally low. The differences between CR and CAMSiRA, although 875 varying in magnitude, exhibit similar spatial patterns in all seasons, with the largest 876 differences occurring throughout NH in MAM. As discussed in section 4.1 the increase is 877 mostly caused by a wide-spread increase in sulphate AOD. Sulphate AOD was increased in 878 relative terms more strongly over the oceans and higher latitudes. In areas of higher modelled 879 sulphate AOD such as the North America, Europe and Northern Asia and the Arctic the 880 contribution to total AOD changed from 40% to 90%, which made sulphate the by far the 881 most abundant aerosol species in these areas as well as over the Antarctic, which seems 882 unrealistic given that the global SO₂ emission were only less than 2% of the total aerosol 883 emissions (see supplement).

The identified reduction of global desert dust in CAMSiRA with respect to CR was mainly confined to the main desert dust region, where AOD was reduced by to 0.2. As total AOD was dominated by desert dust, total AOD was strongly reduced in these regions, whereas total AOD of CAMSiRA was always higher than CR in the other parts of the globe. The largest relative reduction of desert dust AOD occurred in the remote outflow regions from Australia, 889 Tropical Africa and Eurasia. The reduction of desert dust occurred throughout all seasons890 with the largest reduction in JJA.

The strongest reduction in sea salt occurred in CAMSiRA with respect to CR occurred over the oceans proportional to the sea salt AOD. Because of the increase in sulphate, the sea salt reduction led only to a small reduction of total AOD over the area of the highest sea salt emissions in the North Atlantic in DJF and over the Southern Ocean in JJA and MAM. The contribution of sea salt AOD to total AOD over most of the ocean was changed from more than 80% in CR to 50% in CAMSiRA in mid- and high latitudes of SH and to 30% over the rest of the maritime area by the assimilation.

898 Black carbon and organic matter AOD were reduced in CAMSiRA over tropical Africa where 899 biomass burning is the largest source on the global scale and also the CO biomass burning 900 emissions were too high. The black carbon and organic matter AOD values were higher in 901 CAMSiRA away from the sources where values are generally low. The differences of black 902 carbon and organic matter AOD between CAMSiRA and CR showed a strong reduction 903 directly over the areas of intense fire emission in tropical Africa and boreal forest of NH and 904 an increase in the adjacent outflow regions. This could indicate that the GFAS emissions, as 905 in the case of CO (see section 3.1), were too high but the atmospheric residence times of the 906 aerosol species were too short.

907 Compared to CAMSiRA, MACCRA AOD values were up to 50% (-0.2– -0.3) lower in the 908 desert dust dominated areas over the Sahara and Central Asia. The largest differences over 909 North-Africa occurred in JJA and MAM and are an indication that MODIS AOD retrievals 910 are not available over this regions because of their bright surface (Hsu et al., 2013). The 911 higher AOD values of CAMSiRA than MACCRA in the desert dust regions might be an 912 improvement as Cuevas et al. (2015) reported a general underestimation with respect to 913 AERONET observations in the dust dominated regions of MACCRA.

On the other hand, sea salt AOD over all oceans was much higher in MACCRA than
CAMSiRA and it even exceeded the high sea salt AOD of CR. Despite the higher sea salt
AOD, the total AOD of MACCRA over the oceans was lower than in CAMSiRA because of
the overall smaller sulphate AOD in maritime regions.

918 In the regions of boreal fire emissions MACCRA was lower during the JJA fire season as well 919 as in the South American fire season in SON. For the rest of the globe the CAMSiRA, was about 0.05 lower than the MACCRA, which meant a large relative reduction (>50%) inparticular over the oceans.

922 The differences between MACCRA and CAMSiRA can mainly be explained with the 923 changes in the underlying modelling approach and the emissions since the same MODIS 924 AOD retrievals were assimilated in both reanalyses. Differences in the back ground error 925 statistics may have contributed to the differences, particularly in the high latitudes.

926 Figure 9 shows a zonally averaged cross section of the total aerosol mixing ratio of 927 CAMSiRA and its relative differences of CR and MACCRA. The highest zonal average 928 occurred over the southern ocean because of the continuous sea salt production, and over the 929 latitudes of the regions with large desert dust and anthropogenic emissions. Despite the 930 mostly higher AOD values, CAMSiRA had lower mass mixing ratios than CR throughout the 931 troposphere with the largest relative differences occurring over the SH mid-latitudes and in 932 the region of intense convection in the tropics. This is related to a change in the speciation, 933 which was discussed in section 4.1. CAMSiRA had up to 90% higher values in the 934 stratosphere and Antarctica. The higher aerosol mixing ratios of CAMSiRA in the upper 935 troposphere were dominated by sulphate aerosol. MACCRA mixing ratios were considerably 936 higher in relative terms than CAMSiRA throughout the troposphere with the exception of NH 937 extra-tropical mid- troposphere, caused by the lower dust emissions in MACCRA, and the SH 938 and tropical stratosphere related to high sulphate concentrations in CAMSiRA.

939 4.3 Inter-annual variability of AOD

940 Figure 10 shows time series of average AOD from CAMSiRA, CR and MACCRA for 941 different regions. To better distinguish the impact of sea salt, the regional AOD is averaged 942 over land points only. The global average AOD time series are shown separately for land and 943 sea points.

CR and CAMSiRA did not have any significant (95% confidence level) trends in AOD over the whole globe or any of the considered regions. There was a good agreement between CAMSiRA and CR in their inter-annual variability with respect to specific years with higher maxima over South and North America as well as over Maritime South East Asia and North-Africa. This demonstrates that despite biases the model was able to reproduce the variability related to fire emissions and wind driven desert dust suspension. A large relative difference between CR and CAMSiRA occurred in the Arctic. The CAMSiRA and MACCRA AODvalues were almost twice as high as CR and had a much more pronounced seasonality.

952 In contrast to the lack of significant trends in CR and CAMSiRA, MACCRA had significant 953 positive trend over all sea points leading to an increase over 10 years, which was as large as 954 the seasonal variation over all sea points. Averaged over all land points, the seasonal variation 955 is much larger than over sea. The agreement in AOD in the monthly means time series was 956 generally high but MACCRA also showed a significant increasing trend, which was not 957 present in the other two data sets. Most of this trend in MACCRA was caused by dust AOD, 958 which increased by 3.7%/yr, and by sea salt AOD, which increased by 1.7%/yr over sea 959 points. We consider this trend in MACCRA as spurious. It is probablylikely caused by an 960 accumulation of aerosol mass, which could not be corrected by the assimilation. A reason for 961 the mass accumulation could be the fact that the MACCRA model did not apply a global mass 962 fixer.

963 Even if CR and CAMSiRA did not show significant trends in total AOD, sulphate AOD of 964 CAMSiRA increased significantly by 0.55%/yr and both CR and CAMSiRA had a positive 965 trend in sea salt AOD of 0.3%/yr. This suggests an artificial accumulation of sulphate by the 966 assimilation because considering that the SO₂ emissions for the aerosol sulphate precursor (SO₂) were constant. The increase in sulphate was likely caused by underestimated loss 967 processes for sulphate and SO₂ in the free and upper troposphere away from the emissions 968 sources. The relative increase in sulphate with respect to the other aerosol species could not 969 970 be corrected by the assimilation of AOD.

971 **4.4 Evaluation with AERONET AOD observations**

The AOD at 550 nm was evaluated with observations of the AErosol RObotic NETwork (AERONET) network. The AERONET is a network of about 400 stations measuring spectral AOD aerosol with ground based sun-photometers (Holben et al., 1998). The stations are mostly located over land with a high number of stations situated in North America and Europe. The global number of stations contributing observations for the evaluation increased from about 60 in 2003 to about 250 in 2014 before it reduced strongly to only a couple of stations at the end of 2015.

Figure 11 shows time series of the monthly biases of CAMSiRA, MACCRA and CR for theglobe and different regions. Over North America, an area with a high density of AERONET

981 stations, CR underestimated AOD in general by 0.05 on average. On the other hand, the two 982 analyses overestimated AOD by about 0.02 but CAMSiRA has marginally smaller biases than 983 MACCRA. In South America a similar pattern was found only that the average 984 underestimation of CR and overestimation of CAMSiRA and MACCRA was -0.05 and 0.05 985 respectively. The overestimation of CAMSiRA and MACCRA and the underestimation of CR 986 over America leads to the conclusion that the assimilated MODIS retrievals were biased high 987 against the AERONET observations in this region as also pointed out in Levy et al. (2010). 988 The underlying model does not seem to be the cause of the overestimation in CAMSiRA.

989 Over Europe CAMSiRA had the smallest biases and MACCRA overestimated slightly 990 whereas CR underestimated the observations. The bias of CR was -0.07 at the beginning of 991 the period and almost zero at the end. More research is needed to understand this trend in the 992 bias, which is also apparent in CAMSiRA and MACCRA, but it might be caused by the 993 reduced number of available stations.

MACCRA had the lowest biases over South East Asia because of small biases in Northern
India and Indochina. It was higher, as almost everywhere, than CAMSiRA and CR.
CAMSiRA underestimated the observations in this region by about 0.05. The underestimation
by CR was bigger and showed a pronounced seasonal cycle. The largest negative biases
occurred at the time of the seasonal minimum in DJF.

999 The performance for desert dust and sea salt was more difficult to evaluate with AERONET 1000 stations in a robust way because only few stations are available in these regions. The average 1001 bias over Africa showed a strong reduction of the CR peak values, which occurred because of 1002 desert dust outbreaks, by the assimilation. A good example of the successful reduction of dust 1003 by the assimilations was Lake Argyle (16.11.S, 128.75E) in Australia (Figure 11, left).

1004 The AOD AERONET observations over the oceans show generally an overestimation of all 1005 runs, in particular for MACCRA. The bias of the MODIS retrievals with respect to 1006 AERONET (Shi et al., 2011) may be a reason for this overestimation. The comparison with AOD observation at Mauna Loa Station (19.54 N, 155.58 W, not shown) in the Eastern 1007 Pacific suggests that the low AOD values of CR reproduced the observations best, although 1008 still overestimating them. At Nauru Station (0.52 ° S, 166.9 ° E, Figure 11, right) in the 1009 1010 Western Pacific CAMSiRA match the observations well whereas CR underestimated and 1011 MACCRA overestimated them.
1012 **5** Stratospheric ozone

1013 The experience from the assimilation of TC and stratospheric profiles retrievals (Inness et al., 2013, van der A et al., 2015 and Levefer et al., 2015) shows that these observations are 1014 sufficient to constrain stratospheric ozone in the reanalysis. Because almost the same ozone 1015 1016 retrievals were assimilated in CAMSiRA as in MACCRA (see Table 2) most of the 1017 differences in the ozone analyses can be attributed to differences in the ozone simulation of 1018 the assimilating model. For CAMSiRA the Cariolle parameterization (Cariolle and Teyssèdre, 1019 2007) of stratospheric ozone chemistry and the chemical mechanism CB05 for the 1020 troposphere were used. The tropospheric and stratospheric chemical scheme of the MOZART 1021 CTM (Kinnison et al., 2007) was used for MACCRA.

1022 **5.1 Spatial patterns of TC ozone**

Figure 13 shows the seasonal average TC ozone from CAMSiRA and the difference between this data set and CR and MACCRA. The differences between CAMSiRA and CR had a meridional pattern. The assimilation in CAMSiRA increased the total ozone columns in the tropics and subtropics by up to 25 DU (8%) and it decreased them by 50–70 DU in the NH mid and high latitudes. The largest reduction occurred in DJF and MAM. Also over Antarctica the assimilation led to lower values in austral winter (JJA), when TC ozone was reduced by up to 30 DU.

1030 CAMSiRA was about 3–5 DU (1%) lower than MACCRA throughout the globe. Larger
1031 differences of up to 10 DU (2%) were located mainly over tropical land areas. Their shape
1032 suggest that they were partially caused by differences in tropospheric ozone (see section 6.1).
1033 On the seasonal scale, CAMSiRA was about 10 DU lower over Antarctica and the Arctic in
1034 the respective spring seasons MAM and SON.

Figure 14 shows the average ozone partial pressure cross section of CAMSiRA and the relative differences with CR and MACCRA. The tropospheric part of the figure will be discussed in section 6.1. The overestimation of CR in the high latitudes of NH and SH was located predominately in the mid and upper stratosphere at around 20 hPa. The underestimation in the tropics had the largest values at around 50 hPa.

In the lower and middle stratosphere, i.e. from 70 to 20 hPa, CAMSiRA and MACCRA
differed by less than 5%. Larger differences occurred above 10 hPa where MACCRA was up
to 30% higher than CAMSiRA.

1043 **5.2 Inter-annual variability of TC ozone**

Figure 15 shows area-weighted averages of the monthly TCs for the whole globe, the tropics,SH and NH mid-latitudes, Antarctica and the Arctic.

1046 In the tropics, CAMSiRA had a significant (95% confidence level) trend of +0.15%/yr. 1047 Although the period of 13 years is too short to estimate total ozone trends with respect to 1048 ozone recovery it is worth noticing that the number is in good agreement with the estimate of 1049 the ozone trend for the period 1995-2013 by Coldewey-Egbers et al. (2014, see their figure 1050 1), which varies in the tropics between 0.5 to 1.5%/decade. No trends could be found in CR, 1051 probably because the climatological approach applied in the Cariolle scheme is not able to 1052 simulate long-term trends. The tropical trend in MACCRA was 0.25%/yr, which seems too high and there was also a significant trend in the SH mid-latitudes of 0.65%/yr. 1053

The seasonal range, i.e. the difference between annual maximum and minimum, of TC ozone in CAMSiRA increased from 10 DU in the tropics to up 150 DU in the Arctic and 100 DU in Antarctica. As already mentioned in section 5.1, CR was 20% higher than CAMSiRA in NH mid-latitudes and Antarctica. However, the inter-annual variability agreed reasonably well between CAMSiRA and CR in SH and MH high and mid-latitudes. For example, the reduced Arctic ozone spring in 2011 (Manney et al., 2011) and the year-to-year differences in midlatitudes found in CAMSiRA were well reproduced by CR.

The ozone hole in Austral spring is the most important feature of seasonal variability over Antarctica. <u>Despite its simplicity, the Cariolle scheme in CR reproduced the Remarkably CR,</u> which uses the Cariolle scheme, reproduced the ozone loss during the ozone hole periods with respect to minimum value and inter-annual variability of TC ozone very well without assimilating any observations. 2015, 2003 and 2006 were the years with the deepest ozone holes and 2011, 2013 and 2004 with the shallowest ozone hole both in CAMSiRA and CR. On the other hand, CR overestimated the average TC ozone during winter by about 30 DU.

There was generally good agreement between CAMSiRA and MACCRA over all parts of the globe but MACCRA was on average about 5–10 DU (2%) higher than CAMSiRA. The strong positive trend of MACCRA in the tropics together with a significant positive trend in the SH mid-latitudes led to increasing differences of the global average at the end of the MACC period. Larger difference between MACCRA and CAMSiRA occurred in winter (JJA) over 1073 Antarctica, when MACCRA was up to 25 DU lower than CAMSIRA. The depth of the ozone1074 hole was slightly deeper in CAMSiRA than in MACCRA.

1075 **5.3** Evaluation with total ozone retrievals from Dobson sun-photometers

Ozone TCs are observed from the ground with Dobson, Brewer, Point Filter and FTIR spectrometers. The Dobson instruments provide the longest and best spatial coverage and we use this data set to evaluate the TC of CAMSiRA, MACCRA and CR. The Dobson instruments of the WOUDC network are well calibrated and their precision is 1% (Basher, 1982). Factors that influence the accuracy of the Dobson spectrometer are the temperature dependency of the ozone absorption coefficient and the presence of SO₂.

Figure 16 shows time series of the monthly bias against the Dobson photometer observations for different regions. Observations of about 50–60 stations were available until 2013 but the number of stations dropped steadily to about 10 Stations at the end of 2015. CAMSiRA overestimated the observations in the tropics and the mid-latitudes of both hemisphere on average by 2 DU whereas the mean bias of MACCRA was about 5 DU larger. In Antarctica and the Arctic the biases showed a more pronounced seasonal cycle mostly between -10 and 20 DU.

The biases of MACCRA increased in the tropics and the SH-mid latitudes from 2003 to 2008 whereas CAMSiRA and CR did not show an obvious change in the biases until 2012. The variability of the bias of CAMSiRA amplified at the start of 2013 in NH. As this change in the bias is not seen at individual stations reporting until the end of 2015, we conclude that the change is caused by the reduction in <u>the number of stations available after 2013</u>. <u>It is not</u> <u>caused by However</u>, the change of the assimilated MLS data set <u>version</u> (from V2 to V3.4) <u>because this took place already</u> at the beginning of 2013 (see Table 2).

The biases of CR were much larger than the ones of CAMSiRA, and they had a strong
seasonal cycle. In the tropics CR underestimated the TC by 10 DU in DJF and 0 DU in MAM.
The NH biases were positive and varied between 20–50 DU and in the Arctic between 20–70
DU. Over Antarctica CR overestimated the observation by 40–60 DU in JJA but the bias was

1100 close to zero or even slightly negative during the time of the ozone hole.

1101 **5.4** Evaluation with ozone sondes in the stratosphere

1102 The global network of ozone sondes is the most comprehensive independent data set for the 1103 evaluation of the 3D ozone fields from the surface to about 10 hPa, which is the level with the highest stratospheric ozone volume mixing ratios. The observation error of the sondes is about 1104 1105 ±5% in the range between 200 and 10 hPa and -7-17% below 200 hPa (Beekmann et al., 1994, Komhyr et al., 1995 and Steinbrecht et al., 1996). The number of soundings varied for 1106 the different stations used here. Typically, the sondes are launched once a week but in certain 1107 1108 periods such as during ozone hole conditions launches are more frequent. Sonde launches are 1109 carried out mostly between 9 and 12 hours local time. The global distribution of the launch sites is even enough to allow meaningful averages over larger areas such North America, 1110 1111 Europe, the tropics, the Arctic and Antarctica.

Figure 17 shows the profiles of the relative biases of CAMSiRA, MACCRA and CR over the tropics, Antarctica, the Arctic and the NH and SH mid-latitudes for the period 2003–2012. All available observations were included in the average.

1115 In the tropics, CAMSiRA had a relative bias of mostly below 10% in most of the stratosphere. MACCRA underestimated the ozone sondes strongly (up to 30%) in the lower stratosphere 1116 1117 but the relative bias of MACCRA was similar or slightly smaller than the bias of CAMSiRA 1118 in most parts of the stratosphere, i.e. in the pressure range from 70 to 20 hPa. CR 1119 underestimated the ozone sondes by up to 20% in the stratosphere up to 30 hPa. The largest 1120 underestimation of CR occurred in the lower and mid stratosphere, where the maximum in 1121 ozone partial pressure is located. In the upper stratosphere above 20 hPa, where the maximum of ozone volume mixing ratio is located, the relative biases of all data sets were smaller than 1122 1123 in the levels below. CR had almost no bias whereas MACCRA overestimated by up 10%.

Over the Arctic and NH mid-latitudes CAMSiRA and MACCRA agreed well with the sondes in the whole stratosphere with relative biases below 5%. The absolute biases of CAMSiRA were slightly smaller than the biases of MACCR in particular in the lower stratosphere and upper troposphere. CR overestimated the ozone observations by up to 25% in the stratosphere and upper troposphere over the Artic and up to 20% in the NH mid-latitudes. The relatives biases of CR tended to be slightly smaller in the mid stratosphere (50 hPa) than in the upper and lower stratosphere. Over SH-mid latit<u>ui</u>des and Antarctica the annual biases in the stratosphere were slightly smaller in CAMSIRA than MACCRA but for both reanalyses they were below 10%. As over the Arctic, the absolute tropospheric biases, with the exception of the surface values, were smaller in MACCRA since CAMSIRA showed an underestimation of about 10%. CR had a stronger underestimation in the lower and upper stratosphere.

As the process of the ozone-hole formation cannot easily be demonstrated with annual means, Figure 18 shows the monthly mean profile from August to November over Neumayer Station (70.7° S, 8.3° W). The two reanalysis agreed very well with the observations: vertical level and magnitude of the ozone profile at the end of the austral winter in August, the ozone depletion in September and October and the closure of the ozone hole starting in the upper stratosphere were well captured because of the assimilation of TC and limb-sounders profiles.

In contrast, CR showed a strong overestimation in August in the middle and lower stratosphere. Ozone in the upper stratosphere in September was underestimated in CR because of an exaggerated depletion whereas ozone was overestimated in the lower stratosphere. In the following months CR ozone remained too high in the lower stratosphere and too low in the upper troposphere but the resulting TCs matched the observations in a reasonable way (see Figure 16)

1148 **5.5** Evaluation with the GOZCARDS ozone product in the upper stratosphere

1149 Ozone sondes do not provide accurate measurements above 10 hPa. The ozone bias profiles shown in Figure 17 indicate higher values of MACCRA in the upper stratosphere and 1150 1151 mesosphere, i.e. from above 10 hPa to the model top of 0.1 hPa. Although the ozone mass in 1152 this region is relatively small, the high values of the mixing ratios have a large impact on the 1153 radiative transfer and the associated heating rates. To investigate the biases in that region we 1154 used the Global OZone Chemistry And Related trace gas Data records for the Stratosphere 1155 (GOZCARDS) product (Froidevaux et al., 2015). It consists of merged SAGE I, SAGE II, 1156 HALOE, UARS and Aura MLS, and ACE-FTS data from late 1979 to 2012. SAGE II is used 1157 as the primary reference in the merging procedure for the instruments. For most of the 1158 CAMSiRA period, i.e. from 2004 onwards, Aura MLS and ACE-FTS are the dominating 1159 instruments in the upper stratosphere. Tegtmeier et al. (2013) showed that ozone retrievals 1160 from various instruments show a considerable spread in the upper stratosphere. ACE-FTS is

biased high <u>(5-10%)</u> above 10 hPa and biased low <u>below (5-10%)</u> below 10 hPa against the median of various retrievals.

1163 Figure 19 shows cross sections of the GOZCARDS product and relative bias of CAMSiRA, MACCRA and CR in the vertical range from 50-0.3 hPa. In the region from 10-5 hPa 1164 1165 MACCRA had a positive bias of 10–15% in the tropics and mid-latitudes, which has already been reported in Inness et al. (2013). About half of the 10 DU higher TCs in MACCRA 1166 1167 compared to CAMSiRA were caused by this overestimation in the levels above 10 hPa. The 1168 biases of CAMSiRA in that region were smaller and vary between 2.5 and -2.5%. CAMSiRA 1169 underestimated the GOZCARDS data between 5 and 1 hPa by up to 7%, whereas MACCRA slightly overestimated. In the lower mesosphere MACCRA underestimated the ozone 1170 1171 concentrations by up to 30%.

1172 CR had very similar biases as CAMSiRA above 5 hPa in the tropics and mid-latitudes. This 1173 means that the assimilation of observations had already little influence in this region even if 1174 no increments were added during the CAMSiRA assimilation above 1 hPa. Below 10 hPa the 1175 cross section of the bias shows the already discussed strong overestimation of CR in the mid 1176 and higher latitudes, which was largest in relative terms at around 20–15 hPa and the 1177 underestimation in the tropics, which was largest at around 50 hPa.

1178 6 Tropospheric ozone

1179 Correcting tropospheric ozone by the assimilation of TC and stratospheric ozone profiles 1180 remains a challenge because the observations are dominated by the high stratospheric mixing 1181 ratios (Wagner et al., 2015). The modelled ozone fields as well as the specification of the 1182 vertical background error correlation have therefore a large impact on the analysed 1183 tropospheric ozone fields (Inness et al., 2015).

1184 6.1 Spatial patterns of ozone at 850 hPa

We focus the discussion of the seasonal spatial patterns of monthly mean tropospheric ozone mole fraction to the 850 hPa pressure level values but we also discuss tropospheric ozone at 500 and 200 hPa in the section 6.2 and comparisons with ozone sondes for different tropospheric layers in section 6.3. Figure 20 shows the seasonal means of CAMSiRA and the differences with CR and MACCRA at 850 hPa. Extratropical NH ozone values of CAMSiRA were mostly in the range from 35–55 ppb. The season of the maximum was MAM, when values were about 20 ppb higher than in the seasonal minimum in DJF. Regional maxima of over 60 ppb were situated over the East Asia and the Arabian Peninsula. JJA was the season when the highest values occurred over the areas of the regional maxima. In this season an additional regional maxima occurred over tropical Africa. The SH values were generally below 35 ppb. The seasonal maximum was in Austral spring (SON) and the minimum in Austral summer and late autumn (SON).

1197 CR was about 2–4 ppb higher than CAMSiRA in most parts of the globe. Only in the higher 1198 latitudes of SH as well as over the biomass burning regions in Africa, South America and 1199 Maritime South East Asia, CAMSiRA was up to 4 ppb lower than CR. The biggest large-1200 scale reduction by the assimilation in NH occurred in DJF and the biggest increase in SH in 1201 SON. The largest absolute increases of CAMSiRA of up to 10 ppb occurred over the Southern 1202 end of the Arabian Peninsula at the time of the seasonal maximum in JJA. This was the only 1203 local maximum in CAMSiRA that was increased by the assimilation.

1204 Tropospheric ozone was the only considered species for which the differences between 1205 CAMSiRA and MACCRA were larger than the difference between CAMSiRA and CR. This 1206 indicates the importance of the chemistry model parameterization and the limitations of the 1207 data assimilation in this respect. In the extra-tropics of NH and SH, CAMSiRA was 2-5 ppb lower than MACCRA with an increasing difference towards the poles. The largest difference 1208 1209 occurred in NH summer in JJA. CAMSiRA was up to 10 ppb lower than MACCRA over the 1210 continents in the tropics. On the other hand, CAMSiRA had higher values than MACCRA 1211 over the tropical oceans, the Sahara as well as at the location of the strong maximum over the 1212 Arabian Peninsula, which was not present in MACCRA. The strong land-sea contrast in the 1213 differences could be caused by (i) a different efficiency of deposition over the oceans, (ii) the 1214 discussed differences in biomass burning emissions and (iii) differences in the chemistry 1215 treatment (e.g. the isoprene degradation scheme).

The vertical distribution (see Figure 14) of the mean ozone partial pressure in the troposphere shows that CAMSiRA was lower than CR in the whole troposphere apart from the tropical upper troposphere, where it was up to 10% higher, as well as below 500 hPa in the SH troposphere. Compared to MACCRA, CAMSiRA was up to 20% higher in the middle and upper troposphere in the tropics and subtropics but increasingly lower towards the surface.

1221 **6.2 Inter-annual variability**

1222 Estimating and understanding tropospheric ozone trends have been studied widely in the 1223 literature, as reviewed in Cooper et al. (2014) and Monks et al. (2015). Factors that influence 1224 the inter-annual variability and trends of tropospheric ozone are changes in anthropogenic and 1225 biomass burning emissions, the stratosphere-troposphere exchange and the variability of the 1226 meteorological fields. The observed trends vary strongly because these different factors are not uniform in space and time. Trends are often confined to specific seasons or levels. 1227 1228 Positive trends are more common than negative trends and are found over Europe and North 1229 America during spring (Cooper et al., 2014).

1230 Figure 21 shows time series of average ozone volume mixing rations over selected regions 1231 and pressure levels at 850, 500 and 200 hPa. It is beyond the scope of the paper to investigate 1232 the robustness of the trends in CAMSiRA in detail. But it is worth noting that there were only 1233 positives trends in the considered region at 850, 500 and 200 hPa in CAMSiRA. The trends 1234 varied between 0-1.1%/yr, with a global mean of 0.5%/yr. Many of these trends were 1235 significant (95% confidence level). CR also had mostly positive but much smaller trends with 1236 a global mean of 0.17%/yr. The only significant trend in CR of 0.35%/yr was found over East-Asia and the corresponding trend in CAMSiRA had the same value. Focusing over 1237 1238 Easter China, Verstraeten et al. (2015) find a trend of about 1.2%/yr between 2005 and 2010, 1239 which is considerably larger than the trend in CAMSiRA and CR.

The time series in Figure 21 show that the higher values in NH of CR with respect to CAMSiRA occurred in the entire troposphere. In the lower and mid troposphere CAMSiRA was lower than CR especially during the seasonal minimum. In the tropics, CR and CAMSiRA agreed well at 850 hpa, CR was slightly higher at 500 hPa and about 5 ppb lower than CAMSiRA at 200 hPa. At this level CAMSiRA had a significant trend of 0.95%/yr in the tropics, which was not present in CR. More detailed studies are needed to confirm the realness of this upper tropospheric trend in CAMSiRA.

A more detailed inspection of the time series shows that from the start of 2013 CR and CAMSiRA agree to higher degree than before in the middle and upper part of the troposphere in NH. The agreement is most likely caused by a reduced correction by the assimilation in the NH troposphere in this period. In early 2013 the assimilated MLS ozone retrieval switched from version V2 to the NRT V3.4 product (see Table 2), which had different levels and observations errors. The discontinuation of the MIPAS in spring 2012 do not seem to be thereason for this behaviour.

1254 The year-to-year variability of tropospheric ozone from MACCRA did often not resemble that of CAMSiRA. In NH at 850 hPa (most prominently seen in the Arctic) MACCRA had 1255 1256 increasing values until 2008 after which they dropped to the values of CAMSiRA. This drift of MACCRA and the associated negative trends are not realistic (as confirmed in section 6.3). 1257 1258 They were caused by applying the variational bias correction scheme to MLS data in 1259 MACCRA (see Inness at al. 2013 for more details). The agreement between CAMSiRA and 1260 MACCRA increases with increasing height in the extra-tropics but in the tropics MACCRA 1261 showed a much stronger trend at 200 hPa than CAMSiRA.

1262 **6.3** Evaluation with ozone sondes in the troposphere

1263 Figure 22 show time series of seasonal biases in pressure ranges representing the lower, 1264 middle and upper troposphere from 6 different ozone sonde sites. The selected stations had at least one observations for each month of the 2003-2105 period and are examples for Europe 1265 (De Bilt), North America (Huntsville), the tropics (Nairobi), the Arctic (Ny-Ålesund) and 1266 1267 Antarctica (Neumayer Station). To present South-Asia we chose Hong Kong Observatory, which had complete cover from 2003-2012. These individual time series depend on the 1268 1269 specific characteristics of the individual stations and are therefore less representative than the 1270 averages over the gridded data sets shown in section 6.2.

In the lower troposphere (950-700 hPa) over DeBilt, Huntsville and Nairobi, CR and
CAMSiRA had seasonal biases in the mostly in the range of -7–7 ppb. In the polar regions at
Neumayer Station and Ny-Ålesund both CR and CAMSiRA underestimated the observations.
At all locations CAMSiR was lower in the lower troposphere than CR, which meant that
CAMASiRA had mostly a larger absolute bias than CR. At Hong Kong Observatory both
CAMSiRA and CR overestimated the observations with biases in the range between 0-10 ppb.
In the middle troposphere the absolute biases of CAMSiRA and CR were of the same

magnitude but of different signs. In the upper troposphere CR overestimated the observations
by about 10 ppb whereas the bias of CAMSiRA remained below 5 ppb. The overestimation of
CR is probablylikely caused by the influence of the stratosphere where CR was too high (see
section 5.4). Over Nairobi the biases of CR and CAMSiRA were very similar in all levels but
CAMSiRA had overall lower biases in the lower troposphere. In the pressure range 400–300

hPa in the tropics the impact of stratospheric biases on CR is less strong because of the highertropopause height in this region.

- The biases for all three data sets at Ny-Ålesund, Hunstville and Hong Kong Observatory showed a pronounced seasonality in the middle and upper troposphere. At Huntsville the spring maximum was especially overestimated, i.e. it occurred 2-3 month too early. At Ny-Ålesund the overestimation was caused by too high values in summer and autumn. Over Hong Kong Observatory the pronounced observed spring maximum was not well reproduced.
- As already discussed in section 6.2, the characteristics of the bias of CAMSiRA changed at the start of 2013 mainly in the upper parts of the NH troposphere but also throughout the troposphere over higher latitudes. In this period the CAMSiRA biases resembles much more the bias of CR which often mean an increase in the average values, which could cause a spurious enhancement of positive trends.
- At Neumayer Station CAMSiRA increased in a step-wise manner already at the start of 2012, which changed the bias from an underestimation to a slight overestimation together with an increased seasonality. This behaviour could be caused by the discontinuation of MIPAS in spring 2012 (see Table 2). Although the MIPAS retrievals were only stratospheric profiles, the combined assimilation with total column retrievals can trigger a correction in the troposphere (Flemming et al., 2011).
- MACCRA had a less stable bias than CAMSiRA. In the lower and mid-troposphere biases
 from 2006–2008 were much higher than in the rest of the period, when they resembled more
 the biases of CAMSiRA and CR. This confirms that the discussed inter-annual variability of
 MACCRA seem less realistic than that of CR and CAMSiRA.
- It should be noted that both MACCRA and CAMSiRA suffered from larger than typical
 negative biases in the NH in the first half of 2003, which can probably be explained by biases
 in the initial conditions and the short spin-up period of 1 month only.

1308 6.4 Evaluation with Airbase Ozone surface observations

1309 The AirBase and EMEP databases host operational air quality observations from different 1310 national European networks. All EMEP stations are located in rural areas, while Airbase 1311 stations are designed to monitor pollution at different scales. Stations of the rural regime can 1312 capture the larger scale signal in particular for O_3 , which is spatially well correlated 1313 (Flemming et al., 2005). Therefore EMEP stations and only rural Airbase stations were used 1314 in the evaluation to account for the model resolution of C-IFS.

1315 Figure 23Figure 23 shows the average diurnal cycle for each season of the observed values 1316 and CAMSiRA, CR and MACCRA. CR and CAMSiRA were very similar and matched well 1317 the shape of the observed diurnal cycle. However there was a constant bias of about 5 ppb in MAM and DJF. CR had slightly smaller biases than CAMSiRA in JJA in the afternoon. 1318 1319 MACCRA had a larger diurnal range because the day-time values were higher than the ones 1320 of CAMSiRA. This meant smaller day-time biases in MAM and DJF and hence a smaller 1321 seasonal bias for MACCRA. But it also led to a considerable (10 ppb) day time overestimation in JJA and a smaller overestimation in SON as well as a less well fit with the 1322 1323 shape of the observed diurnal cycle in all seasons.

1324 The winter and spring underestimation of CAMSiRA and CR has already been reported in 1325 Flemming et al. (2015). To investigate the possible causes of this seasonal bias Figure 24 1326 shows the average seasonal cycle at the surface at the EMEP-AirBase stations and in the 1327 lower troposphere (950-750 hPa) over ozone sonde stations. The differences between 1328 CAMSiRA, CR and MACCRA were more pronounced in the lower troposphere than at the surface. This indicates again that the assimilation has little influence on the surface values. 1329 CR matched the observations in the lower troposphere well in all seasons apart from SON, 1330 1331 when it overestimated. MACCRA had similar biases as CR but overestimated additionally in 1332 JJA and especially over southern Europe, as shown in Katragkou et al. (2015). CAMSiRA 1333 underestimated throughout the year with the exception of SON. As the patterns of the 1334 seasonal biases were different in the lower troposphere and at the surface, we conclude that 1335 the winter and spring-time bias at the surface is not predominately caused by tropospheric biases. It is more likely that the simulation of surface processes such dry deposition and 1336 1337 titration by freshly emitted NO are the reasons for this bias at the surface.

1338 7

Summary and conclusions

1339 CAMSiRA is a new reanalysis data set of aerosol, CO and ozone for the period 2003–2015. It has been produced by assimilating satellite retrievals of AOD, TC CO as well as TC and 1340 1341 stratospheric ozone profile retrievals from various sensors in C-IFS using the ECMWF 4D-1342 VAR approach. A similar set of observations was assimilated in MACCRA, a previous 1343 reanalysis data set for the period 2003–2012. A control run with C-IFS (CR) without the

1344 assimilation of AC observations was carried to infer the impact of the assimilated1345 observations.

1346 **7.1 CAMSiRA compared to MACCRA**

1347 Compared to its predecessor MACCRA, CAMSiRA had smaller biases of surface and lower 1348 tropospheric CO as shown by the comparison with MOZAIC/IAGOS CO profiles and 1349 NOAA-GMD CO flask observations. However, MACCRA had lower CO biases in NH mid 1350 and upper troposphere with respect to the MOZAIC/IAGOS CO profiles. The biases of TC 1351 ozone against the WOUDC Dobson sun photometers where reduced from 5-10 DU in MACCRA to 0-5 DU in CAMSiRA. The biases of CAMSiRA against AERONET AOD 1352 1353 observations were lower in most parts of the globe with the exception of South East Asia. A larger improvement was the elimination of the positive bias of upper stratospheric ozone in 1354 1355 MACCRA as shown by the comparison with the GOZCARDS ozone product. CAMSiRA 1356 also had a better agreement with the shape of the mean observed diurnal cycle of AIRBASE 1357 ground-level ozone observations in Europe in all seasons but winter and spring time seasonal 1358 values were still underestimated by 5 ppb. We attribute all the aforementioned differences 1359 between CAMSiRA and MACCRA, which were mainly improvements, to the change of the 1360 assimilating model, which was the coupled system IFS-MOZART for MACCRA and C-IFS 1361 with updated aerosol parameterizations for CAMSiRA.

1362 Progress achieved by changes to the assimilated observations was a noteworthy improvement 1363 of the temporal consistency of the tropospheric CO and ozone fields in CAMSiRA. The 1364 assimilation of IASI CO in MACCRA from 2008 onwards had led to a decrease in the TC CO 1365 values because of the biases against the MOPITT data set, which was assimilated during the whole period. Consequently, the MACCRA CO fields in the mid- and high latitudes of both 1366 1367 hemispheres showed strong negative trends which were not in agreement with linear trends estimated from CO flask surface observations. On the other hand, the linear trends of 1368 CAMSiRA agreed well with the observed trends, which were close to zero in SH and reached 1369 1370 values of about 2 ppb/yr in the NH mid and high latitudes. The mid and upper tropospheric 1371 ozone fields of MACCRA suffered from an increase in the period 2004–2008 caused by a 1372 applying disproportionate application of the inter-instrument bias correction to the MLS 1373 column retrievals, which was corrected for CAMSiRA (Inness et al., 2015).

A discontinuity in the upper and middle tropospheric ozone field was noted for CAMSiRA after January of 2013 and was due to a change in version of the assimilated MLS ozone retrievals. Although this change in CAMSiRA did not mean an increase in the bias, it has to be considered when trends of tropospheric ozone fields are to be calculated from the CAMSiRA data set.

The AOD in CAMSiRA was about 0.01 lower than MACCRA in most parts of the globe, mainly because of a 50% lower burden of sea salt in CAMSiRA. CAMSiRA had higher AOD values over the desert dust emitting regions in North-Africa and the global desert dust burden was higher in CAMSiRA. CAMSiRA had 25% higher AOD contribution by sulphate than MACCRA, which is currently under scrutiny.

1384 **7.2 CAMSiRA compared to CR**

The comparison with CR showed that the assimilation led to a clear improvement for CO,AOD and TC ozone as well as stratospheric and upper tropospheric ozone.

1387 The assimilation of MOPITT CO increased the values in the NH mid-latitudes more in the 1388 beginning of the period, which could indicate a stronger underestimation of the anthropogenic 1389 emissions in this period as well as an overestimation of the trend in the emissions. The 1390 tropical and SH values were reduced by the assimilation, which may indicate an 1391 overestimation of the biomass burning emissions in this region. However, the rather zonally 1392 homogeneous CO differences between CR and CAMSiRA suggest that not only biases in the 1393 fire emissions but also of the CO lifetime and chemical production as well as the CO transport 1394 need to be investigated further.

The Cariolle scheme for stratospheric ozone, which was used in C-IFS, suffered from a large overestimation of NH mid-and high latitude stratospheric ozone (50–100DU) and an underestimation in the tropics (-20 DU). These biases were corrected by the assimilation and the resulting biases of CAMSiRA were of 5 DU and lower. Also in the SH high-latitudes the Cariolle scheme overestimated the mean TCs especially in JJA by up to 30 DU but the depth and the year-to-year variability of the ozone hole was well reproduced by CR. Nevertheless, CAMSiRA had more realistic TCs and profiles than CR during the annual ozone hole events.

1402 The assimilation had only little impact on the ozone values at the surface and in lower 1403 troposphere, where the biases of CAMSiRA where sometimes even slightly larger than of CR. 1404 The small influence could be explained by the fact, that dry deposition velocities and 1405 important ozone precursors such as NO_x were not constrained during the assimilation process.

1406 Also contributing was the fact that no direct tropospheric ozone observations were assimilated

1407 <u>nor that the vertical correlations in the model background errors were strong enough to cause</u>

a correction of the surface levels based on the levels above. — The assimilation was more
 beneficial in the upper troposphere, where the stratospheric influence is more important.

1410 CAMSiRA had about 0.05 higher AOD values than CR apart from the desert dust emission

regions, where the assimilation strongly reduced the modelled values. CAMSiRA tended toslightly overestimate the AERONET AOD observations and CR to underestimate but the

1413 overall biases of CAMSiRA were smaller.

1414 Despite moderate differences in AOD, CR and CAMSiRA had considerable differences in 1415 the aerosol speciation. The global annual sea salt burden by C-IFS in CR of 15 Tg was 1416 considerably higher than the result of other modelling studies (Textor et al., 2006 and Spada 1417 et al., 2012). Less efficient loss processes may have played a large role in this overestimation. 1418 The assimilation strongly reduced the sea salt burden in CAMSiRA to about half of the value 1419 in CR. Also the global desert dust burden was reduced by 25% by the assimilation leading to 1420 lower total AOD values over the desert dust emissions regions of Sahara, Australia and 1421 Middle Asia. Despite the fact that CAMSiRA had a 30% smaller global aerosol burden, its 1422 average global AOD was about 10% higher than the one of CAMSiRA. This was caused by a 1423 strong increase in sulphate in CAMSiRA. The optical properties and assumed size distribution 1424 of sulphate make extinction more efficient for the same amount of mass. Sulphate became the 1425 dominant contribution to AOD in the regions away from the main aerosol emissions. The 1426 strong contribution of sulphate may have partly compensated for the inadequate representation of other secondary aerosols in C-IFS. However its magnitude and spread over 1427 1428 the whole globe seems excessive. It might be caused by the lack of strong loss processes in the free troposphere as well as biases in the assimilated observations over the open oceans. As 1429 1430 the CR underestimates the assimilated AOD, the aerosol mass is increased during the 1431 assimilation, initially by the same relative amount for all components. However, a longer life-1432 time of sulphate causes a longer lasting change compared to the other aerosol species, which 1433 made sulphate the dominating aerosol. This distortion of the speciation can not be corrected 1434 by the assimilated MODIS AOD retrievals, which do not contain information about the 1435 speciation.

1436 **7.3 Recommendations for future AC reanalysis**

1437 CAMSiRA is considerable improvement over MACCRA especially with respect to the 1438 temporal consistency. To further improve on this important aspect, one should make sure that 1439 consistent input emission data sets and assimilated observations are used. Changes in the 1440 assimilated observations, such as the version change in the MLS after 2012 should be 1441 avoided. The use of MEGAN simulated biogenic emissions for the whole period is advisable 1442 even if no related jumps were detected in this study. To ensure consistency between the 1443 aerosols and chemistry components, the same SO₂ emissions should be used.

As improvements to lower tropospheric ozone by assimilating current satellite observations are difficult to achieve, emphasis needs to be put on the improved simulation of chemistry and dry deposition. The assimilation of tropospheric ozone column retrievals as well as of tropospheric NO₂ may further help to improve the ground level ozone in the reanalysis.

1448 A prospect is to enable the correction of emissions based on observations of atmospheric

1449 composition with the C-IFS data assimilation system. This could also improve the analysis of

1450 tropospheric ozone as ozone precursor emissions would be corrected. An intermediate step in

1451 <u>this direction is to better account for the emission uncertainty in the model background error</u>

1452 <u>statistics.</u>

1453 -To further develop the C-IFS assimilation system to allow the correction of ozone-precursor
 1454 emissions could be an important next step towards an improved tropospheric ozone analysis.

The high sulphate burden introduced by the assimilation can perhaps be avoided by (i) the introduction of more intensive loss processes in the free troposphere, (ii) an increase of the organic matter to better represent non-accounted SOA components and (iii) changes to the vertical structure of the background errors to avoid the accumulation of aerosol mass away from the surface. In general, any modelling improvements for a better speciation will reflect in a more realistic aerosol analysis and a better exploitation of the available observations. If possible the latest reprocesses MODIS AOD dataset should be used (collection 6).

In CAMSiRA and MACCRA the aerosol and chemistry schemes were independent. A better coupling between the two and the meteorological simulation is desirable. For example the use of aerosol to modulate photolysis rates and heterogeneous uptake on aerosol as well as the simulating the impact on aerosols and ozone within the radiation transfer calculation of IFS will be important next steps. 1467
1468
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1470 Data access
1471 The CAMSiRA data are freely available. Please contact copernicus-support@ecmwf.int

1472

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	MACCRA	CAMSiRA	
Period	01/2003-12/2012	01/2003-12/2015	
Horizontal resolution	80 km (T255)	110 km (T159)	
Vertical resolution	60 layers from surface to 0.1 hPa	as MACCRA	
Anthropogenic Emissions	MACCity (trend: ACCMIP + RCP 8.5), AEROCOM	<i>as MACCRA</i> & CO emission upgrade (Stein et al., 2014)	
Chemistry module	MOZART-3	C-IFS CB05 / Cariolle ozone	
Assimilated CO observations	MOPITT (V4) & IASI (from 2008 onwards)	MOPITT (V5) & updated error statistics (Inness et al., 2015)	
Assimilated ozone observations	SBUV-2, OMI, MLS, GOME-2, SCIAMACHY, GOME, MIPAS (01/2003– 06/2004)	<i>as MACCRA</i> & MIPAS (2003–2012)	
Ozone MLS bias correction	On	Off	
Assimilated AOD observations	MODIS (Aqua and Terra) + VarBC	as MACCRA	
Fire emissions	FED (2003–2008) and GFAS v0 (2009-2012 GFAS v 1.2 (2003–2015)		
IFS model version	CY36R2	CY40R2	
Assimilation method and model	ECMWF 4D-VAR	as MACCRA	
Meteorological observations assimilated	ECMWF RD setup (satellites, sondes, surface)	as MACCRA	

Table 1 Important commonalities and differences between MACCRA and CAMSiRA

Instrument	References	Version	Period	Туре	Data usage
MOPITT	Deeter et al. (2011)	V5 TIR	20030101-	CO TC	65N-65S
Terra		NRT	20121218		QC=0
CONT	M		From 20121219	02	20NI 20C
GOME	Munro et al. (1998)		20030101- 20030531	O3 profile	80N-80S
EKS-2					SOE>15, QC=0
GOME-2	Hao et al. (2014)	NRT	20120901-	O3 TC	SOE>10
Metop A		GDP4.4	20130714		QC=0
		NRT GDP4.7	From 20130715		
GOME-2	Hao et al. (2014)	NRT	From 20140101	O3 TC	SOE>10
Metop B		GDP4.7			QC=0
MIPAS	von Clarmann et al.	NRT	20030101-	O3 profile	QC=0
Envisat	(2003, 2009)	CCI	20040326		
			20030127- 20120331		
MLS	Froidevaux et al. (2008)	V2	20040808-	O3 profile	QC=0
Aura		NRT V3.4	20121231		
OMI	L_{in} at al. (2010)	V002	20041001	02 TC	
	Liu et al. (2010)	NRT	20121231	0510	30E > 10
Лша		INKI	From 20130101		QC-0
SBUV/2 NOAA-	Bhartia et al. (1996)	V8	20040101-	O3 PC	SOE>6
16			20081020	6 layers	QC=0
SBUV/2 NOAA-	Bhartia et al. (1996)	V8	20030101-	O3 PC	SOE>6
17			20121130	6 layers	QC=0
SBUV/2 NOAA- 18	Bhartia et al. (1996)	V8	20050604– 20121217	O3 PC	SOE>6
				6 layers	QC=0
SBUV/2 NOAA-	Bhartia et al. (1996)	V8	From 20090100	O3 PC	SOE>6
19				6 layers	QC=0
SCIAMACHY	Eskes et al. (2012)	CCI	20030101-	O3 TC	SOE>6
Envisat			20120408		QC=0
MODIS / Terra	Remer et al. (2005)	Col.5	20030101-	AOD 550nm	70N-70S
		NRT Col.5	From 20080801	5501111	
MODIS / Aqua	Remer et al. (2005)	Col.5 NRT Col.5	20030101-		70N-70S
ino 210 / riqua			20080731	550nm	/011 /00
			From 20080801		

Table 2 Assimilated satellite observations in CAMSiRA

Area	Coordinates		
North America	165°W-55°W, 25°N–75°N		
Europe	10°W–45°E, 38°N–70°N		
East Asia	90°E–150°E/10°N–55°N		
South America	82°W–30°W/40°S–15°N		
Tropical Africa	15°W–55°E/10°S–20°N		
Northern Africa	15°W–55°E/20°N–35°N		
Maritime South East Asia	90°E–150°E/10°S–10°N		
Tropics	23°S–23°N		
Arctic	60°N–90°N		
Antarctica	90°S–60°S		
NH mid latitudes	30°N-60°N		
SH mid-latitudes	60°S–30°S		

Table 3 Coordinates of regions



1958Figure 1 Time line of assimilated AC satellite retrievals from different instruments1959assimilated in CAMSiRA (see Table 2)


1962Figure 2 Average TC CO $(10^{18}molecules/cm^2)$ of CAMSiRA (2003-2015, left) and1963difference against CR (2003-2015, middle) and MACCRA (2003-2012, right) for the1964seasons DJF (row 1), MAM (row 2), JJA (row 3) and SON (row 4).





Figure 3 Zonally averaged CO cross section of CAMSiRA (ppb) (2003–2015, left) and relative difference (%) against CR (2003–2015, middle) and MACCRA (2003–2012, right).



Figure 4 Time series of monthly mean CO burden (Tg) over different regions (see Table 3) for the period 2003–2015 from CAMSiRA (red), CR (blue) and MACCRA (green, 2003–2012).



1977Figure 5 Average relative bias (%) in CO of CAMSiRA, MACCRA and CR against1978MOZAIC / IGAOS flight profiles averaged over different regions (see Table 3) for the1979period 2003–2012.



Figure 6 Zonal average of mean surface CO in ppb observed at NOAA-GMD stations (2003–2014) and values from CAMSiRA, CR and MACCRA (2003–2012) (left) and zonal median of linear trend in ppb/yr (right). The error bars indicate the range of the observed values.





and species and burden in Tg (right) of sea salt (SS), desert dust (DD), organic matter

(OM), black carbon (BC) and sulphate aerosol (SO₄) for CAMSiRA (red)), CR (blue) and

MACCRA (green) and the median of the AeroCom model inter-comparison (yellow,

Kinne et al., 2006 and Textor et al., 2006).





Figure 8 Total average AOD (row 1, scale max 1.0), AOD of desert dust (row 2, 1.0), sea salt (row 3, 0.5), sulphate (row 4, 0.5), organic matter (row 5, 0.5) and black carbon (row 6, 0.11) of CAMSiRA (average 2003–2015, left) and differences against CR (average 2003–2015, middle) and MACCRA (average 2003–2012, right).



Figure 9 Zonally averaged total aerosol mass mixing ratio (10⁻⁹kg/kg) of CAMSiRA



(2003-2015, left) and relative difference (%) against CR (2003-2015, middle) and MACCRA (2003–2012, right).



and for different regions (see Table 3) for the period 2003–2015 from CAMSiRA (red),

CR(blue) and MACCRA(green, 2003–2012).









Figure 12 Time series of monthly mean AOD from AERONET observations (light blue dots), MODIS retrievals (brown dots) and from CAMSiRA (red), CR (blue) and MACCRA (green) at Nauru (left) and Lake Argyle (right).



Figure 13 Seasonal averaged TC ozone (DU) from CAMSiRA (left), difference between CAMSIRA and CR (middle) and CAMSiRA and MACCRA (right, 2003–2012, different scale) for the seasons DJF (row 1) MAM (row 2), JJA (row 3) and SON (row 4).





Figure 14 Zonally averaged ozone partial pressure (mPa) of CAMSiRA (2003–2015, left) and relative difference (%) against CR (2003–2015, middle) and MACCRA (2003–2012)



Figure 15 Monthly ozone TC (DU) area averaged over different regions (see Table 3) from CAMSiRA (black), CR (blue) and MACCRA (green) for 2003–2015.



photometers for the globe (top left), the tropics (top right), NH mid-latitudes (middle left), SH mid-latitudes (middle right), the Arctic (bottom left) and Antarctica (bottom right) for CAMSiRA (red), CR(blue) and MACCRA (green).



Figure 17 Mean relative bias of CAMSiRA (red), MACCRA (green) and CR (blue) against ozone sondes in the Arctic (top left), NH mid-latitudes (top middle), Tropics (top right), SH-mod-latitudes (bottom left) and Antarctica (bottom middle) for the period 2003–2012.





Figure 19 Cross sections (50–0.3 hPa) of the relative biases of zonally averaged ozone (%) of CAMSiRA (left), CR (middle) and MACCRA (right) against the GOZCARDS product (GOZ) for the period 2005–2012.



Figure 20 Seasonal averaged ozone at 850 hPa (ppb) from CAMSiRA (left), difference between CAMSIRA and CR (middle) and CAMSiRA and MACCRA (right, 2003–2012) for the season DJF (row 1), MAM (row 2), JJA (row 3) and SON (row 4).





regions (see Table 3) from CAMSiRA (red), CR (blue) and MACCRA (green) for 2003-

2015.



2067

2068 2069

Figure 22 Time series of seasonal mean ozone bias in ppb in the pressure ranges 950-700, 700-400 and 400-300 hPa against ozone sondes at Ny-Ålesund, DeBilt, Huntsville, Hong Kong Observatory, Nairobi and Neymayer station for CAMSiRA (red), CR (blue) and MACCRA (green).



Figure 23 Average diurnal cycle of ozone at EMEP-AirBase stations in Europe (black) for the seasons MAM (top left), JJA (top right), SON (bottom left) and DJF (bottom right) for CAMSiRA (red), CR (blue) and MACCRA (green).





2078

2079 2080

Figure 24 Average seasonal cycle of surface ozone at EMEP-AirBase stations (left) and at European ozone sonde sites in the pressure range (950-700 hPa) for CAMSiRA (red), CR (blue) and MACCRA (green).