

1 Concentrations and stable carbon isotope compositions of oxalic
2 acid and related SOA in Beijing before, during and after the
3 2014 APEC
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42 **Abstract:** To ensure the good air quality for the 2014 APEC, stringent emission controls were
43 implemented in Beijing and its surrounding regions, leading to a significant reduction in PM_{2.5}
44 loadings. To investigate the impacts of the emission controls on aerosol composition and
45 formation, high-volume PM_{2.5} samples were collected in Beijing from 8th October to 24th
46 November, 2014 and determined for secondary inorganic ions (SIA, i.e., SO₄²⁻, NO₃⁻ and NH₄⁺),
47 dicarboxylic acids, keto-carboxylic acid and α -dicarbonyls, as well as stable carbon isotope
48 composition of oxalic acid (C₂). Our results showed that SIA, C₂ and related SOA in PM_{2.5}
49 before-APEC were 2–4 times higher than those during-APEC, which can be ascribed to the
50 warm, humid and stagnant conditions before-APEC that are favorable for secondary aerosol
51 production.

52 C₂ in the polluted air masses, which mostly occurred before-APEC, are abundant and
53 enriched in ¹³C. On the contrary, C₂ in the clean air masses, which is mostly occurred
54 during-APEC, is much less abundant but still enriched in ¹³C. In the mixed type of clean and
55 polluted air masses, which mostly occurred after-APEC, C₂ is lower than that before-APEC but
56 higher than that during-APEC and enriched in lighter ¹²C. A comparison on chemical
57 composition of fine particles and $\delta^{13}\text{C}$ values of C₂ in two events that are characterized by high
58 loadings of PM_{2.5} further showed that after-APEC SIA and TDOC are much less abundant and
59 fine aerosols are enriched with primary organics and relatively fresh, compared with those
60 before-APEC.

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62 **Key words:** Secondary organic aerosols; Emission controls; Sources and formation mechanisms;
63 Aqueous-phase oxidation; Aerosol acidity and water content.

64 **1. Introduction**

65 Atmospheric aerosols profoundly impact the global climate directly by scattering and
66 absorbing solar radiation and indirectly by affecting cloud formation and distribution via acting
67 as cloud condensation nuclei (CCN) and ice nuclei (IN). Moreover, atmospheric aerosols exert
68 negative effects on human health because of their toxicity. Due to fast urbanization and
69 industrialization, high level of atmospheric fine particle (PM_{2.5}) pollution has been a persistent
70 problem in many cities of China since the nineties of last century (van Donkelaar et al., 2010).
71 As the capital of China and one of the largest megacities in the world, Beijing has suffered from
72 frequent severe haze pollution especially in winter, affecting more than 21 million people by the
73 end of 2014 (Beijing Municipal Bureau of Statistics, 2015) and causing billions of economic
74 losses (Mu and Zhang, 2013). To improve the air quality Beijing government has put many efforts
75 to reduce the pollutant emissions (i.e., SO₂, NO_x, dust, and volatile organic compounds (VOCs))
76 from a variety of sources.

77 The 2014 Asia-Pacific Economic Cooperation (APEC) summit was hosted in Beijing from
78 the 5th to 11th November. To ensure good air quality for the summit, a joint strict emission control
79 program was conducted from 3rd November 2014 in Beijing and its neighboring provinces
80 including Inner Mongolia, Shanxi, Hebei and Shandong provinces. During this period thousands
81 of factories and power plants with high emissions were shut down and/or halted, all the
82 construction activities were stopped and the numbers of on-road vehicles were reduced. These
83 strict emission controls resulted in the air quality of Beijing during the APEC period being
84 significantly improved, leading to a decrease in PM_{2.5} concentration by 59.2% and an increase in
85 visibility by 70.2% in Beijing during the summit compared with those before the APEC (Tang et

86 al., 2015) and a term of “APEC-Blue” being created to refer to the good air quality. Such strong
87 artificial intervening not only reduced PM_{2.5} and its precursors’ loadings in Beijing and its
88 surrounding areas but also affected the composition and formation mechanisms of the fine
89 particles (Sun et al., 2016).

90 A number of field measurements have showed that particle compositions in Beijing during
91 wintertime haze periods are dominated by secondary aerosols (Guo et a., 2014; Huang et al,
92 2014; Xu et al., 2015). Rapid accumulation of particle mass in Beijing during haze formation
93 process is often accompanied by continuous particle size growth (Guo et al, 2014; Zhang et al.,
94 2015), which is in part due to the coating of secondary organic aerosols (SOA) on pre-existing
95 particles (Li et al., 2010). Several studies have found that SOA production during the 2014
96 Beijing APEC periods significantly reduced and ascribed this reduction to the efficient regional
97 emission control (Sun et al., 2016; Xu et al, 2015). However, up to now information of the SOA
98 decrease on a molecular level has not been reported. Dicarboxylic acids are the major class of
99 SOA species in the atmosphere and ubiquitously found from the ground surface to the free
100 troposphere (Fu et al., 2008; Myriokefalitakis et al., 2011; Sorooshian et al., 2007; Sullivan et al.,
101 2007). In the current work we measured molecular distributions of dicarboxylic acids,
102 keto-carboxylic acids and α -dicarbonyls and stable carbon isotope composition of oxalic acid in
103 PM_{2.5} aerosols collected in Beijing before, during and after the APEC event in order to explore
104 the impact of the APEC emission control on SOA in Beijing. We first investigated the changes in
105 concentration and composition of dicarboxylic acids and related compounds during the three
106 periods, then recognized the difference in stable carbon isotope composition of oxalic acid in
107 different air masses in Beijing during the APEC campaign. Finally we compared the different

108 chemical and compositions of PM_{2.5} during two heaviest pollution episodes.

109 **2. Experimental section**

110 **2.1 Sample collection**

111 PM_{2.5} samples were collected by using a high-volume sampler (Brand, USA) from 8th
112 October to 24th November 2014 on the rooftop of a three-storey building located on the campus
113 of China Research Academy of Environmental Sciences, which is situated in the north part of
114 Beijing and close to the 5th-ring road. All the PM_{2.5} samples were collected onto pre-baked (450
115 °C for 8 h) quartz fiber filters (Whatman 41, USA). The duration of each sample collection is 23
116 hr from 08:00 am of the previous day to 07:00 am of the next day. Field blanks were also
117 collected before and after the campaign by mounting a pre-baked filter onto the sampler for 15
118 min without pumping air. After collection, all the filter samplers were individually sealed in
119 aluminum foil bags and stored in a freezer (-18 °C) prior to analysis. Daily values of SO₂, NO_x
120 and meteorological parameters were cited from the website of Beijing Environmental Protection
121 Agency.

122 **2.2 Sample analysis**

123 **2.2.1 Elemental carbon (EC), organic carbon (OC), water-soluble organic (WSOC),** 124 **inorganic ions, aerosol liquid water content (ALWC) and aerosol acidity.**

125 Detailed methods for the analysis of EC, OC, WSOC and inorganic ions in aerosols were
126 reported elsewhere (Li et al., 2011; Wang et al 2010). Briefly, EC and OC in the PM_{2.5} samples
127 were determined by using DRI Model 2001 Carbon analyzer following the Interagency
128 Monitoring of Protected Visual Environments (IMPROVE) thermal/optical reflectance (TOR)
129 protocol (Chow et al., 2007). WSOC and inorganic ions in the samples were extracted with

130 Milli-Q pure water and measured by using Shimadzu TOC-L CPH analyzer and Dionex-600 ion
131 chromatography, respectively (Li et al. 2011; Wang et al 2010). In the current work, aerosol
132 liquid water content (ALWC) and acidity (i.e., liquid H^+ concentrations, $[H^+]$) of the samples
133 were calculated by using ISORROPIA-II model, which treated the
134 $Na^+-NH_4^+-K^+-Ca^{2+}-Mg^{2+}-Cl^- -NO_3^- -SO_4^{2-}$ system and was performed in a “metastable” mode
135 (Hennigan et al, 2015; Weber et al., 2016).

136 **2.2.2 Dicarboxylic acids, keto-carboxylic acids and α -dicarbonyls**

137 The method of analyzing $PM_{2.5}$ samples for dicarboxylic acids, ketocarboxylic acids and
138 α -dicarbonyl has been reported elsewhere (Wang et al., 2002, 2012; Meng et al., 2014; Cheng et
139 al., 2015). Briefly, one eighth of the filter was extracted with Milli-Q water, concentrated to near
140 dryness, and reacted with 14% BF_3 /butanol at 100 °C for 1 h to convert aldehyde group into
141 dibutoxy acetal and carboxyl group into butyl ester. Target compounds in the derivatized samples
142 were identified by GC/MS and quantified by GC-FID (Agilent GC7890A).

143 **2.3. Stable carbon isotope composition of oxalic acid (C_2)**

144 Stable carbon isotope composition ($\delta^{13}C$) of C_2 was measured using the method developed
145 by Kawamura and Watanabe (2004). Briefly, $\delta^{13}C$ values of the derivatized samples above were
146 determined by gas chromatography-isotope ratio-mass spectrometry (GC-IR-MS) (Thermo
147 Fisher, Delta V Advantage). The $\delta^{13}C$ value of C_2 was then calculated from an isotopic mass
148 balance equation based on the measured $\delta^{13}C$ of the derivatizations and the derivatizing reagent
149 (1-butanol) (Kawamura and Watanabe, 2004). Each sample was measured for three times to
150 ensure the difference of the $\delta^{13}C$ values less than 0.2‰, and the isotope data reported here is the
151 averaged value of the triplicate measurements.

152 3. Results and discussion

153 3.1 Variations in meteorological conditions, gaseous pollutants and major components of 154 PM_{2.5} during the Beijing 2014 APEC campaign

155 Based on the emission control implementation for the APEC, we divided the whole study
156 period into three phases: before-APEC (08/10 to 02/11), during-APEC (03/11 to 12/11) and
157 after-APEC (13/11 to 24/11). Temporal variations in meteorological parameters and
158 concentrations of gaseous pollutants and major components of PM_{2.5} during the three phases are
159 shown in Fig. 1 and summarized in Table 1.

160 Temperature during the sampling campaign showed a continuous decreasing trend with
161 averages of 13 ± 2.6 °C, 7.0 ± 1.7 °C and 4.3 ± 1.3 °C before-, during- and after-APEC periods,
162 respectively, while relative humidity (RH) did not show a clear trend with mean values of $62 \pm$
163 19% , $47 \pm 14\%$ and $51 \pm 16\%$ during the three periods (Fig.1a and Table 1). SO₂ showed a
164 similar level before- and during-APEC periods (8.8 ± 4.6 μg m⁻³ versus 7.6 ± 3.9 μg m⁻³) (Table
165 1), but increased dramatically to 23 ± 8.8 μg m⁻³ after-APEC due to domestic coal burning for
166 house heating (Fig. 1b). NO₂ concentration (45 ± 18 μg m⁻³) during the APEC reduced by about
167 30% compared to that in the before- and after-APEC phases (71 ± 27 μg m⁻³ versus 78 ± 29 μg
168 m⁻³) (Table 1), mainly because of the reduction of the on-road vehicle numbers, as well as the
169 reduced productivities of power plant and industry. O₃ displayed a decreasing trend similar to
170 that of temperature (Fig. 1c). PM_{2.5} pollution episodes in Beijing showed a periodic cycle of 4–5
171 days, which is caused by the local weather cycles. Secondary inorganic ions (SIA, i.e., SO₄²⁻,
172 NO₃⁻ and NH₄⁺) are major components of PM_{2.5} and present a temporal variation pattern similar
173 to that of the fine particles (Fig. 1d). In the current work mass ratio of NO₃⁻/SO₄²⁻ in PM_{2.5}

174 during the whole study time is 1.8 ± 1.9 (Table 1), which is in agreement with the ratio (1.6–2.4)
175 for PM_1 observed during the same time by using aerosol mass spectrometry (AMS) (Sun et al.,
176 2016). OC and EC of $PM_{2.5}$ linearly correlated each other ($R^2=0.91$) and varied periodically in a
177 cycle similar to SIA (Fig. 1e). OC/EC ratio during the whole sampling period is 3.3 ± 0.6 (range:
178 2.2–4.7) with no significant differences among the three APEC phases (Table 1).

179 Figure 2 shows the differences in chemical composition of $PM_{2.5}$ before-, during- and
180 after-APEC periods. $PM_{2.5}$ is $98 \pm 46 \mu g m^{-3}$ during-APEC, about 50% lower than that before-
181 and after-APEC ($178 \pm 122 \mu g m^{-3}$ versus $161 \pm 100 \mu g m^{-3}$), respectively. Organic matter (OM)
182 is the most abundant component of the fine particles. Relative abundance of OM (OM, 1.6 times
183 of OC) (Xing et al., 2013) to $PM_{2.5}$ continuously increased from 24% before-APEC to 30% and
184 39% during- and after-APEC, respectively, although the mass concentration ($19 \pm 7.6 \mu g m^{-3}$) of
185 OC during-APEC is the lowest compared to those before- and after-APEC ($26 \pm 16 \mu g m^{-3}$
186 versus $39 \pm 23 \mu g m^{-3}$). Sulfate, nitrate and ammonium before-APEC are 15 ± 13 , 28 ± 26 and
187 $9.0 \pm 8.0 \mu g m^{-3}$ (Table 1) and account for 8%, 16% and 5% of $PM_{2.5}$, respectively (Fig. 2). Their
188 concentrations decrease to 5.3 ± 2.8 , 10 ± 8.1 and $3.1 \pm 2.6 \mu g m^{-3}$ (Table 1) with the relative
189 contributions to $PM_{2.5}$ down to 5%, 10% and 3% during-APEC, respectively. While after-APEC
190 their concentrations increased to 11 ± 10 , 15 ± 13 and $6.9 \pm 6.4 \mu g m^{-3}$ and accounted for 7%,
191 9% and 4% of $PM_{2.5}$. Such significant decreases in concentrations of OM and SIA during-APEC
192 demonstrate the efficiency of the emission controls. OC/EC ratio is almost constant during the
193 whole period, but WSOC/OC ratio decreased by 20% from 0.42 ± 0.13 before-APEC, $0.38 \pm$
194 0.16 during-APEC to 0.35 ± 0.17 after-APEC (Table 1). Since WSOC in fine aerosols consist
195 mainly of secondary organic aerosols (SOA) (Laskin et al., 2015), the decreasing ratio of

196 WSOC/OC probably indicates a reduced SOA production during the campaign.

197 **3.2 Oxalic acid and related SOA during the Beijing 2014 APEC campaign**

198 A homogeneous series of dicarboxylic acids (C_2 – C_{11}), keto-carboxylic acid and
199 α -dicarbonyls in the $PM_{2.5}$ samples were detected. As show in Table 2, total dicarboxylic acids
200 during the whole study period is $593 \pm 739 \text{ ng m}^{-3}$, which is lower than that observed during
201 Campaign of Air Quality Research in Beijing 2006 (CAREBeijing) (average 760 ng m^{-3}) and
202 2007 (average 1010 ng m^{-3}) (Ho et al, 2010, 2015) and the averaged wintertime concentration
203 reported by a previous research for 14 Chinese cities (904 ng m^{-3}) (Ho et al, 2007). Total
204 keto-carboxylic acid is $66 \pm 81 \text{ ng m}^{-3}$, while total dicarbonyls is $126 \pm 115 \text{ ng m}^{-3}$ (Table 2).
205 These values are higher than those during CAREBeijing 2006 and 2007 (Ho et al, 2010, 2015),
206 but close to the value observed for the 14 Chinese megacities (Ho et al, 2007). Being similar to
207 those previous observations, oxalic acid (C_2) is the most abundant diacid in the 2014 APEC
208 samples with an average of $334 \pm 461 \text{ ng m}^{-3}$ (range: 10–2127 ng m^{-3} , Table 2) during the whole
209 campaign, followed by methylglyoxal (mGly), succinin acid (C_4), terephthalic acid (tPh), and
210 glyoxal (Gly). These five species account for 43%, 10%, 9%, 6% and 6% of total detected
211 organic compounds (TDOC), respectively (Fig. 3).

212 As see in Fig. 4, TDOC in $PM_{2.5}$ are 1099 ± 1104 , 325 ± 220 and $487 \pm 387 \text{ ng m}^{-3}$ before-,
213 during- and after-APEC, respectively. In comparison with those before-APEC, TDOC
214 during-APEC decreased by 71%. Oxalic acid (C_2) is the leading species among the detected
215 organic compounds and accounted for 46%, 31% and 34% of TDOC during the three phases,
216 respectively (Fig. 4). C_2 is an end product of precursors that are photochemically oxidized in
217 aerosol aqueous phase via either oxidation of small compounds containing two carbon atoms or

218 decomposition of larger compounds containing three or more carbon atoms. Thus mass ratio of
219 C₂ to TDOC is indicative of aerosol aging (Wang et al., 2012; Ho et al., 2015). As shown in Fig.
220 4, the highest proportion of C₂ before- APEC suggests that organic aerosols during this period are
221 more oxidized, compared to those during- and after-APEC. Glyoxal (Gly) and methylglyoxal
222 (mGly) are the precursors of C₂. Mass ratios of both compounds to TDOC are lowest
223 before-APEC (Fig. 4), further indicating an enhanced SOA production during this period.

224 **3.3 Formation mechanism of oxalic acid**

225 **3.3.1 Correlation of oxalic acid with temperature, relative humidity (RH), aerosol liquid** 226 **water content (ALWC) and acidity and sulfate**

227 A few studies have pointed out that aerosol aqueous phase oxidation is a major formation
228 pathway for oxalic acid (Yu et al., 2005; Pinxteren, et al., 2014; Bikkina et al., 2015; Tilgner et al.,
229 2010). To explore the formation mechanism of oxalic acid, we calculated ALWC and acidity (i.e.,
230 proton concentration, [H⁺]) of PM_{2.5} aerosols by using ISOROPPIA-II model (Weber et al.,
231 2016). As shown in Fig. 5, during the entire period C₂ showed a strong linear correlation with
232 sulfate (R²=0.70 Fig. 5a), which is consistent with those observed in Xi'an (Wang et al., 2012)
233 and other Chinese cities (Yu et al., 2005). Previous studies on particle morphology showed that
234 sulfate particles internally mixes with SOA in Beijing especially in humid haze days (Li et al.,
235 2010, 2011), which probably indicates that they are formed via similar aqueous phase pathways.
236 In addition, a robust correlation was also found for C₂ with RH (R²=0.64, Fig. 5b) and aerosol
237 liquid water content (ALWC) (R²=0.61, Fig. 5c), indicating that humid conditions are favorable
238 for the aqueous phase formation of C₂, which is most likely due to an enhanced gas-to-aerosol

239 aqueous phase partitioning of the precursors (e.g., Gly and mGly) (Fu et al., 2008; Wang et al.,
240 2015).

241 NH_4^+ , NO_3^- and SO_4^{2-} are the dominant cation and anions of fine particles in Beijing,
242 respectively (Guo et al., 2014; Zhang et al., 2015) and the molar ratio of $[\text{NH}_4^+]$ to $[\text{NO}_3^- + \text{SO}_4^{2-}]$
243 in this study is 1.1. Thus it is plausible that SO_4^{2-} during the APEC campaign largely existed as
244 ammonium bisulfate, resulting in a strong linear correlation between $[\text{H}^+]$ and SO_4^{2-} with a molar
245 slope of 1.03 (Fig. 5d) (Zhang et al., 2007). In addition, $[\text{H}^+]$ shows a significant positive
246 correlation with C_2 ($R^2 = 0.84$) (Fig. 5e), possibly due to the fact that acidic conditions are
247 favorable for the formation of C_2 precursors. For example, Surratt et al (2007; 2010) found that
248 aerosol acidity can promote the formation of biogenic SOA (BSOA) derived from isoprene
249 oxidation such as 2-methylglyceric acid, Gly and mGly. These BSOA precursors can be further
250 oxidized into C_2 (Meng et al., 2014; Wang et al., 2009).

251 There is a significant positive correlation ($R^2 = 0.58$, $p < 0.001$) between the mass ratios of
252 C_2/TDOC and ambient temperatures (Fig. 5f), which is similar to the results found by previous
253 researchers (Ho et al., 2007; Strader et al., 1999), indicating that organic aerosols are more aged
254 under a higher temperature condition (Erven et al, 2011; Carlton et al., 2009). Thus, compared
255 with those before-APEC the lower C_2/TDOC ratios (31% and 34%, respectively) (Fig. 4) during-
256 and after-APEC can be ascribed in part to the relatively lower temperature conditions that are not
257 favorable for oxidation of the precursors to produce oxalic acid (13 ± 2.6 °C, 7.0 ± 1.7 °C and
258 4.3 ± 1.3 °C before-, during- and after-APEC periods, respectively) (Table1).

259 **3.3.2 Temporal variation in stable carbon isotopic composition of oxalic acid**

260 To further discuss the formation mechanism of C_2 , we investigated the temporal variations

261 of concentration and stable carbon isotopic composition of C_2 in the $PM_{2.5}$ samples (Fig. 6).
262 Previous studies have demonstrated that Gly, mGly, glyoxylic acid (ωC_2) and pyruvic acid (Pyr)
263 are the precursors of C_2 (Carlton et al., 2006, 2007; Ervens and Barbara, 2004; Wang et al., 2012).
264 Thus, higher mass ratios of C_2 to its precursors indicate that organic aerosols are more oxidized
265 (Wang et al., 2010). As shown in Table 3, $\delta^{13}C$ of C_2 in this work positively correlated with the
266 mass ratios of $C_2/\omega C_2$, $C_2/mGly$ and TDOC/WSOC, demonstrating an enrichment of ^{13}C during
267 the aerosol oxidation process. Because decomposition (or breakdown) of larger molecular weight
268 precursors in aerosol aqueous phase is the dominant formation pathway for C_2 in aerosol ageing
269 process (Kawamura et al., 2016; Gensch et al., 2014; Kirillova et al., 2013), during which
270 organic compounds release CO_2/CO by reaction with OH radical and other oxidants, resulting in
271 the evolved species enriched with lighter isotope (^{12}C) and the remaining substrate enriched in
272 ^{13}C due to kinetic isotope effects (KIE) (Hoefs, 1997; Rudolph et al., 2002).

273 72-h backward trajectory analysis showed that air masses moved to Beijing during the
274 whole sampling period can roughly be categorized into three types (Fig. 6a) (all trajectories
275 during the entire study period can be found in the supplementary materials). (1) Polluted type, by
276 which air masses originated from inland and east coastal China and moved slowly into Beijing
277 within 72-h from its south regions, i.e., Henan, Shandong and Jiangsu Provinces. This type of air
278 masses mostly occurred before-APEC with high $PM_{2.5}$ concentrations. Air pollution has widely
279 distributed in the three provinces (Wei et al., 2016); thus aerosols transported by this type of air
280 masses are of regional characteristics. (2) Mixed type, by which air masses originated from
281 Mongolia and North China, and moved quickly into Hebei province and then turned back to
282 Beijing. Air in Mongolia and North China was clean but polluted in Hebei province, which is

283 adjacent to Beijing. This type of air masses is a mixture of clean and polluted air and thus named
284 as mixed type. Since the resident time of the mixed type of air masses within Hebei province is
285 very short, thus aerosols transported by this type of air masses is of local characteristics and
286 relatively fresh. (3) Clean type, by which air masses originated from Siberia and moved rapidly
287 into Beijing directly via a long-range transport. Aerosols from the clean type of air masses are
288 much more aged, while those from the mixed type of air masses are fresh. Since severe air
289 pollution is widespread in the south regions, gas-to-aerosol phase partitioning of precursors and
290 subsequent aerosol-phase oxidation to produce SOA including C₂ continuously proceed during
291 the air mass movement. However, such a partition for producing SOA is not significant when air
292 mass move from Siberia, Mongolia and North China because of the much less abundant VOCs.
293 In stead, aerosols in the clean air masses are continuously oxidized, during which C₂ is produced
294 by photochemical decomposition of larger molecular weight precursors. Therefore, C₂ in PM_{2.5}
295 transported by the mixed type air masses are not only fresh and abundant but also enriched in ¹²C,
296 whereas C₂ in PM_{2.5} transported by the clean type air masses are aged, less abundant and
297 enriched in ¹³C due to KIE effects, as illustrated by the pink and light blue columns in Fig. 6b,
298 respectively. C₂ in PM_{2.5} transported by the polluted type of air masses are most abundant
299 compared with that in other two type of air masses, which is not only due to the severe air
300 pollution in the Henan, Shandong and Jiangsu provinces but also due to the enhanced
301 photochemical oxidation under the humid, higher temperature and stagnant conditions that
302 occurred mostly before-APEC, as discussed previously. Therefore, C₂ in the polluted type of air
303 masses is not only abundant but also enriched in ¹³C (see black columns in Fig. 6b).

304 **3.4 Different chemical characteristics of PM_{2.5} between two severe haze events**

305 From Fig. 1 and Table 4, it can be found that PM_{2.5} showed two equivalent maxima on 9th
306 October and 20th November during the whole study period. However, the chemical compositions
307 of PM_{2.5} during these two pollution events were significantly different. As shown in Fig. 7a,
308 relative abundances of SIA (sum of SO₄²⁻, NO₃⁻ and NH₄⁺) to PM_{2.5} are 30% during the event I
309 and 23% during the event II, respectively. The relative abundance of OM (21%, Fig. 7a) during
310 the event I is lower than that (37%) during the event II (Fig. 7b). In contrast, the ratios of
311 WSOC/OC and TDOC/OC are higher in the event I than in the event II, which is consistent with
312 lower levels of O₃ after-APEC (Table 1), suggesting a weaker photochemical oxidation capacity
313 during the event II. Organic biomarkers in the PM_{2.5} have been measured for the source
314 apportionment (Wang et al., 2016) and cited here to further identify the difference in chemical
315 composition of PM_{2.5} between the two events. Levoglucosan is a key tracer for biomass burning
316 smoke. Mass ratio of levoglucosan to OC in PM_{2.5} (Lev/OC) is comparable between the two
317 events, suggesting a similar level of contributions of biomass burning emission to PM_{2.5} before-
318 and after-APEC. However, the mass ratios of PAHs and hopanes to OC are lower in event I than
319 those in event II (Fig. 7c), which again demonstrates the enhanced emissions from coal burning
320 for house heating, because these compounds are key tracers of coal burning smokes (Wang et al.,
321 2006). As seen in Fig. 7d, C₂ in the event I was enriched in ¹³C. Such relatively more abundant
322 SIA, WSOC and TDOC and heavier C₂ in PM_{2.5} clearly demonstrate that PM_{2.5} during the event
323 I were enriched with secondary products while the fine particles during the event II were
324 enriched with primary compounds. After-APEC house heating activities including residential
325 coal burning were activated, which emitted huge amounts of SO₂, NO_x, and VOCs as well as
326 primary particles, resulting in both absolute concentrations and relative abundances of CO and

327 EC 30–40% higher after-APEC than before-APEC (see Table 1). Li et al (2015) reported that
328 VOCs in Beijing was 86 ppbv before-APEC, 48 ppbv during-APEC and 73 ppbv after-APEC. As
329 shown in Table 4, temperature (16.7 ± 0.8 °C for event I and 4.5 ± 1.7 °C for event II) and relative
330 humidity (RH) ($82\pm 4\%$ for event I and $62\pm 13\%$ for event II) are lower during the event II than
331 during the event I. Moreover, air masses arriving in Beijing during the event II are the mix type,
332 of which the resident time in Hebei province is short. Compared with those in the event I, such
333 colder and drier conditions and short reaction time during the event II are unfavorable for
334 photochemical oxidation, resulting in SOA not only less abundant but also enriched with lighter
335 ^{12}C during the event II, although VOCs levels are comparable before- and after-APEC.

336 **4. Summary and conclusion**

337 Temporal variations in molecular distribution of SIA, dicarboxylic acids, ketoacids and
338 α -dicarbonyl and stable carbon isotopic composition ($\delta^{13}\text{C}$) of C_2 in $\text{PM}_{2.5}$ collected in Beijing
339 before-, during- and after- the 2014 APEC were investigated. Absolute concentrations and
340 relative abundances of SIA and C_2 in $\text{PM}_{2.5}$ are highest before-APEC, followed by those after-
341 and during-APEC, suggesting that the fine aerosols before-APEC are enriched with secondary
342 products, mainly due to an enhanced photochemical oxidation under the warm, humid and
343 stagnant conditions. Concentrations of SIA, oxalic acid and related SOA in $\text{PM}_{2.5}$ during-APEC
344 are 2–4 times lower than those before-APEC, which can be ascribed to the effective emission
345 controls and the favorable meteorological conditions that brought clean air from Siberia and
346 Mongolia into Beijing.

347 Positive correlations of C_2 with RH, sulfate mass, ALWC and aerosol acidity indicate that
348 C_2 formation pathway is involved an acid-catalyzed aerosol aqueous phase oxidation. SIA, C_2

349 and related SOA in the polluted type of air masses are abundant with C₂ enriched in ¹³C. On the
350 contrary, those in the clean type of air masses are much less abundant, although C₂ is also
351 enriched in ¹³C. By comparing the chemical composition of PM_{2.5} and δ¹³C values of C₂ in two
352 events that are characterized by the highest loadings of PM_{2.5} before- and after-APEC, we further
353 found that compared with those before- APEC fine aerosols after-APEC are enriched with
354 primary species and C₂ is depleted in heavier ¹³C, although SO₂, NO_x and VOCs are abundant
355 during the heating season, again demonstrating the important role of meteorological conditions
356 in the secondary aerosol formation process, which are warmer, humid and stagnant before-APEC
357 and result in secondary species much more abundant than those during- and after-APEC.

358

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527

528 **Figure Captions**

529

530 **Figure 1.** Temporal variations of meteorological conditions, gaseous pollutants and major
531 components of PM_{2.5} during the 2014 APEC campaign. (The brown shadows
532 represent two air pollution events characterized by highest PM_{2.5} levels before- and
533 after-APEC, while the blue shadow represents the APEC event).

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535 **Figure 2.** Chemical composition of PM_{2.5} during the 2014 APEC campaign.

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537 **Figure 3.** Molecular distributions of dicarboxylic acids and related compounds in PM_{2.5} of
538 Beijing, China during the 2014 APEC campaign. The pie chart is the average
539 composition of total detected organic compounds (TDOC) and the top number is the
540 average mass concentration of TDOC of the whole study period.

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542 **Figure 4.** Compositions of total detected organic compounds (TDOC) in PM_{2.5} during the 2014
543 APEC campaign.

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545 **Figure 5.** Correlation analysis for oxalic acid (C₂) and sulfate in PM_{2.5} during the whole 2014
546 APEC campaign. **(a-c)** Concentrations of C₂ with sulfate, relative humidity (RH), and
547 aerosol liquid water content (ALWC); **(d, e)** sulfate and C₂ with aerosol acidity [H⁺]
548 and **(f)** temperature with mass ratio of C₂ to total detected organic compounds
549 (C₂/TDOC).

550

551 **Figure 6.** **(a)** 72-h backward trajectories determined by the National Oceanic and Atmospheric
552 Administration Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT)
553 model arriving at the sampling site to reveal the major air mass flow types during the
554 study period. Northwesterly wind (light blue) was most frequently (64%), followed by
555 northerly (21%, pink) and southerly (15%, black) and is defined as clean, mixed and
556 polluted types, respectively (see the definitions in the text); **(b)** Time series of δ¹³C
557 values and concentration of oxalic acid during the whole study period (Colors in Fig.
558 6a are corresponding to those in Fig. 6b).

559

560 **Figure 7.** Comparison of chemical composition of PM_{2.5} during two air pollution events. **(a)**
561 Percentages of major species in PM_{2.5}; **(b, c)** mass ratios of major species and organic
562 tracers in PM_{2.5}; **(d)** stable carbon isotope composition of oxalic acid (C₂) (Data about
563 levoglucosan (Lev), PAHs and hopanes are cited from Wang et al (2016)).

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Table 1. Meteorological parameters and concentrations of gaseous pollutants and chemical components of PM_{2.5} in Beijing during the 2014 APEC campaign

| | Whole period (N=48) | Before-APEC (08/10–02/11) (N=26) | During-APE (03/11–12/11) (N=10) | After-APEC (13/11–14/11) (N=12) |
|---|------------------------|--|---------------------------------------|---------------------------------------|
| I. Meteorological parameters | | | | |
| Temperature, °C | 9.5±4.3 (3.0–18) | 13±2.6 (9.0–18) | 7.0±1.7 (4.0–10) | 4.3±1.3 (3.0–7.0) |
| Relative humidity, | 56±19 (17–88) | 62±19 (22–88) | 47±14 (17–65) | 51±16 (29–80) |
| Visibility, km | 8.8±6.8 (1.0–28) | 7.3±6.6 (1.0–24) | 13±7.7 (6.0–28) | 7.2±4.2 (2.0–15) |
| Wind speed, km h ⁻¹ | 8.0±4.9 (3.0–26) | 7.6±4.8 (3.0–26) | 9.4±6.6 (3.0–26) | 7.8±2.9 (3.0–13) |
| II. Gaseous pollutants, µg m ⁻³ | | | | |
| O ₃ | 48 ± 23 (6.0–115) | 55 ± 24 (9.0–115) | 52 ± 13 (25–69) | 29 ± 18 (6.0–60) |
| SO ₂ | 12 ± 8.5 (2.0–43) | 8.8 ± 4.6 (2.0–19) | 7.6 ± 3.9 (2.0–15) | 23 ± 8.8 (13–43) |
| NO ₂ | 68 ± 29 (10–135) | 71 ± 27 (22–118) | 45 ± 18 (10–69) | 78 ± 29 (45–135) |
| CO | 1360 ± 730 (220–3320) | 1370 ± 700 (250–2460) | 960 ± 410 (220–1420) | 1720 ± 830 (740–3320) |
| III. Major components of PM _{2.5} , µg m ⁻³ | | | | |
| PM _{2.5} | 157 ± 110 (16–408) | 178 ± 122 (16–408) | 98 ± 46 (28–183) | 161 ± 100 (36–383) |
| SO ₄ ²⁻ | 12 ± 11.5 (1.2–43) | 15 ± 13 (1.2–43) | 5.3 ± 2.8 (1.8–11) | 11 ± 10 (2.9–34) |
| NO ₃ ⁻ | 21 ± 22 (0.32–88) | 28 ± 26 (0.32–88) | 10 ± 8.1 (1.2–26) | 15 ± 13 (2.9–46) |
| NH ₄ ⁺ | 7.3 ± 7.2 (0.2–28) | 9.0 ± 8.0 (0.2–28) | 3.1 ± 2.6 (0.2–8.6) | 6.9 ± 6.4 (1.0–22) |
| OC | 28 ± 18 (5.7–78) | 26 ± 16 (6.0–67) | 19 ± 7.6 (5.7–29) | 39 ± 23 (9.7–78) |
| EC | 8.8 ± 5.4 (1.4–25) | 8.6 ± 4.6 (1.4–18) | 6.0 ± 2.7 (1.5–9.6) | 12 ± 7.0 (2.1–25) |
| WSOC | 10 ± 6.0 (2.4–32) | 11 ± 4.6 (3.1–32) | 6.4 ± 2.6 (2.4–11) | 11 ± 6.1 (4.5–24) |
| ALWC | 40 ± 62 (0–299) | 58 ± 75 (0–299) | 6.3 ± 5.5 (0–19) | 28 ± 41 (0.4–136) |
| [H ⁺] | 0.083 ± 0.14 (0–0.56) | 0.13 ± 0.17 (0–0.56) | 0.026 ± 0.025 (0–0.072) | 0.033 ± 0.067 (0–0.20) |

Table 2. Concentrations of dicarboxylic acids and related compounds in PM_{2.5} in Beijing during the 2014 APEC campaign (ng m⁻³)

| | Whole period (N=48) | Before-APEC (08/10–02/11) (N=26) | During-APE (03/11–12/11) (N=10) | After-APEC (13/11–14/11) (N=12) |
|---------------------------------|------------------------|--|---------------------------------------|---------------------------------------|
| I. Dicarboxylic acids | | | | |
| Oxalic, C ₂ | 334 ± 461 (10–2127) | 502 ± 564 (10.5–2127) | 101 ± 69 (35–251) | 166 ± 157 (22–554) |
| Malonic, C ₃ | 31 ± 42 (ND–247) | 45.7 ± 52.1 (1.44–247) | 12 ± 8.0 (3.4–22.8) | 16 ± 10.9 (ND–36) |
| Succinic, C ₄ | 74 ± 118 (3.0–722) | 111 ± 150 (3.0–722) | 24 ± 14 (7.1–42) | 36 ± 26 (4.9–90) |
| Glutaric, C ₅ | 8.7 ± 12 (ND–68) | 13 ± 15 (ND–68.1) | 2.9 ± 2.24 (0.9–5.8) | 4.9 ± 4.2 (ND–13) |
| Adipic, C ₆ | 13 ± 14 (0.9–83) | 17 ± 18 (1.9–83) | 5.9 ± 3.8 (2.1–14) | 9.9 ± 7.1 (2.0–23) |
| Pimelic, C ₇ | 2.1 ± 3.8 (ND–27) | 2.6 ± 5.1 (ND–27) | 1.1 ± 0.7 (0.2–2.3) | 2.0 ± 1.1 (0.9–4.4) |
| Suberic, C ₈ | 10 ± 11 (ND–66) | 12 ± 13 (ND–66) | 7.6 ± 5.0 (1.3–16) | 8.7 ± 6.0 (2.0–21) |
| Azelaic, C ₉ | 5.0 ± 4.9 (0.5–21) | 6.4 ± 5.7 (0.6–21) | 1.7 ± 0.9 (0.5–3.2) | 4.6 ± 3.3 (1.3–13) |
| Sebacic, C ₁₀ | 7.7 ± 7.4 (ND–34) | 9.4 ± 8.8 (ND–34) | 4.2 ± 3.6 (0.5–11) | 6.8 ± 4.9 (1.4–16) |
| Undecanedioic, C ₁₁ | 11 ± 13 (ND–77) | 14 ± 16 (ND–77) | 3.3 ± 2.5 (ND–7.5) | 9.4 ± 6.4 (0.8–23) |
| Methylsuccinic, iC ₅ | 13 ± 16 (0.6–79) | 18 ± 19 (0.6–79) | 4.8 ± 3.0 (1.0–9.2) | 8.4 ± 6.0 (2.3–19) |
| Methylglutaric, iC ₆ | 7.5 ± 10 (ND–36) | 11 ± 12 (ND–36) | 0.9 ± 0.9 (ND–2.6) | 4.6 ± 5.1 (ND–14) |
| Maleic, M | 3.4 ± 3.9 (ND–15) | 4.6 ± 4.7 (ND–15) | 1.4 ± 0.8 (ND–2.9) | 2.4 ± 2.0 (ND–6.3) |
| Fumaric, F | 7.2 ± 8.8 (ND–64) | 10 ± 11 (ND–64) | 2.2 ± 1.5 (ND–5.4) | 4.7 ± 3.2 (1.4–10) |
| Phthalic, Ph | 17 ± 14 (1.5–64) | 20 ± 16 (1.5–64) | 10 ± 6.8 (2.3–20) | 17 ± 9.0 (6.4–31) |
| Isophthalic, iPh | 2.1 ± 2.5 (ND–10) | 2.9 ± 2.8 (ND–10) | 2.0 ± 2.1 (0.2–5.9) | 0.5 ± 0.3 (ND–3.2) |
| Terephthalic, tPh | 46 ± 35 (2.6–133) | 50 ± 35 (2.6–123) | 28 ± 19 (4.7–59) | 53 ± 40 (7.4–133) |
| Subtotal | 593 ± 739 (25–3788) | 849 ± 905 (25–3788) | 214 ± 135 (72–447) | 354 ± 279 (85–965) |
| II. Keto-carboxylic acids | | | | |
| Pyruvic, Pyr | 24 ± 20 (1.3–84) | 31 ± 23 (2.4–84) | 15 ± 12 (1.3–36) | 15 ± 9.3 (3.2–33) |
| Glyoxylic, ωC ₂ | 33 ± 51 (1.2–300) | 48 ± 64 (1.2–300) | 10 ± 7.7 (2.6–21) | 20 ± 23 (2.8–80) |
| 7-Oxoheptanoic, ωC ₇ | 8.8 ± 14 (ND–90) | 13 ± 17 (ND–90) | 4.2 ± 3.6 (ND–13) | 4.5 ± 5.1 (ND–17) |
| Subtotal | 66 ± 81 (3.6–474) | 92 ± 99 (3.6–474) | 30 ± 22 (5.9–66) | 40 ± 35 (13–128) |
| III α-Dicarbonyls | | | | |
| Glyoxal, Gly | 44 ± 47 (4.2–270) | 57 ± 56 (4.2–270) | 22 ± 19 (4.9–47) | 35 ± 30 (7.3–101) |
| Methylglyoxal, mGly | 82 ± 82 (ND–406) | 102 ± 96 (ND–406) | 60 ± 52 (15–139) | 58 ± 51 (5.8–144) |
| Subtotal | 126 ± 115 (5.3–466) | 158 ± 132 (5.3–466) | 81.6 ± 67.4 (22–186) | 93 ± 80 (14–225) |
| TDOC ^b | 785 ± 872 (36–4636) | 1099 ± 1104 (36–4636) | 325 ± 220 (107–664) | 487 ± 387 (117–1318) |

^aND: not detectable; ^bTDOC: total detected organic compounds.

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Table 3 Linear correlation coefficients of $\delta^{13}\text{C}$ of C_2 with $\text{C}_2/\omega\text{C}_2$, C_2/mGly , and TDOC/WSOC

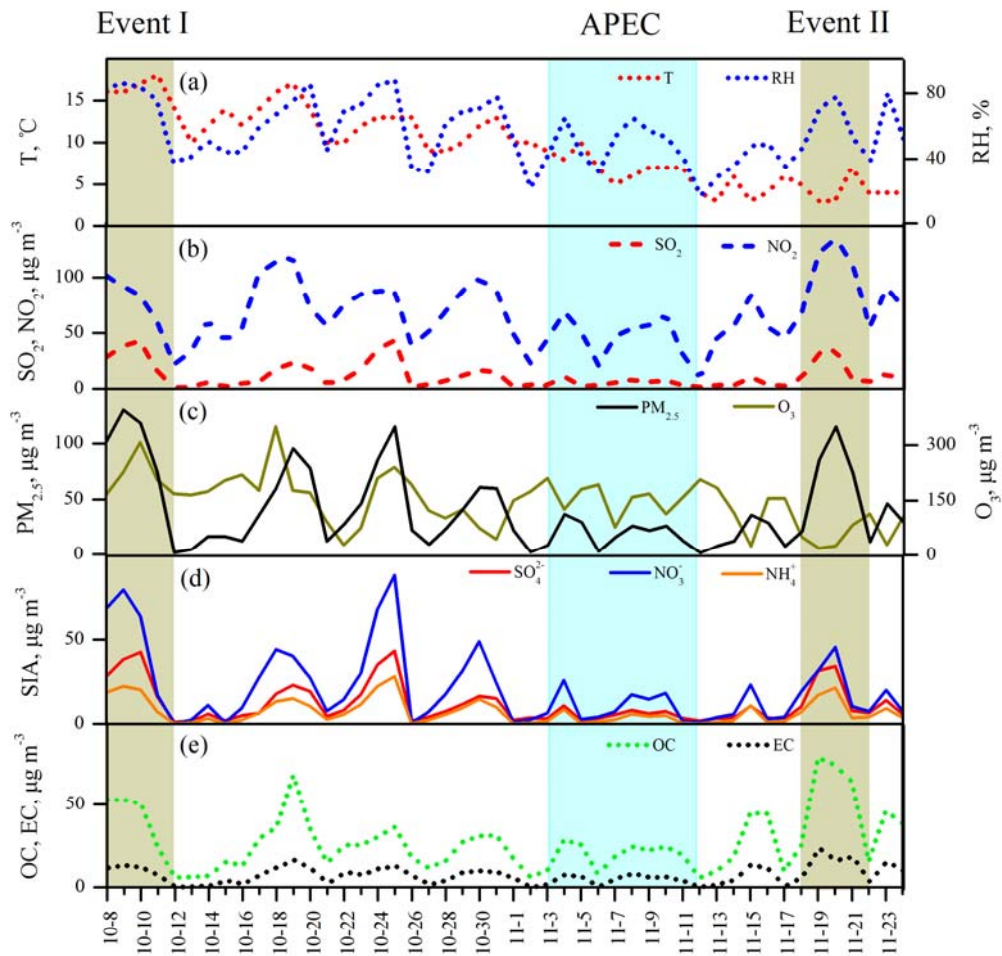
| | $\text{C}_2/\omega\text{C}_2$ | C_2/mGly | TDOC/WSOC |
|-----------------------|-------------------------------|--------------------------|-----------|
| $\delta^{13}\text{C}$ | 0.49** | 0.35* | 0.41* |

** $p < 0.01$; * $p < 0.05$

Table 4. Meteorological parameters and chemical compositions ($\mu\text{g m}^{-3}$) of two maximum $\text{PM}_{2.5}$ between two pollution episodes in Beijing

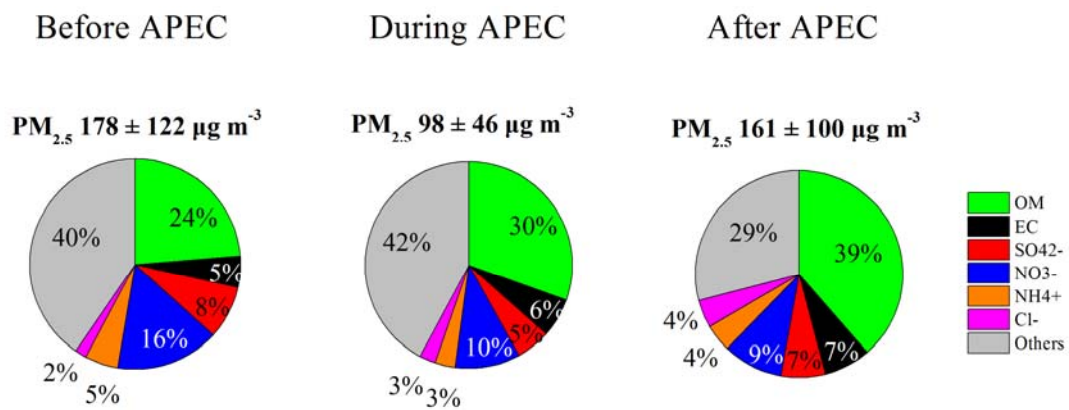
| | T ($^{\circ}\text{C}$) | RH (%) | V ^a (km) | $\text{PM}_{2.5}$ | OC | EC | SIA ^b | TDOC ^c |
|---------------------------------------|--------------------------|-------------|---------------------|-------------------|-------------|------------|------------------|-------------------|
| Event I (8/10-11/10, Before-APEC) | 16.7 ± 0.8 | 82 ± 4 | 1.5 ± 0.5 | 349 ± 57 | 45 ± 12 | 12 ± 2 | 106 ± 39 | 2749 ± 1357 |
| Event II (18/11-21/11, After-APEC) | 4.5 ± 1.7 | 62 ± 13 | 3.5 ± 1.5 | 259 ± 102 | 60 ± 21 | 17 ± 6 | 60 ± 32 | 831 ± 400 |

^aV: visibility; ^bSIA: secondary inorganic ions (the sum of sulfate, nitrate and ammonium); ^cTDOC: total detected organic compounds



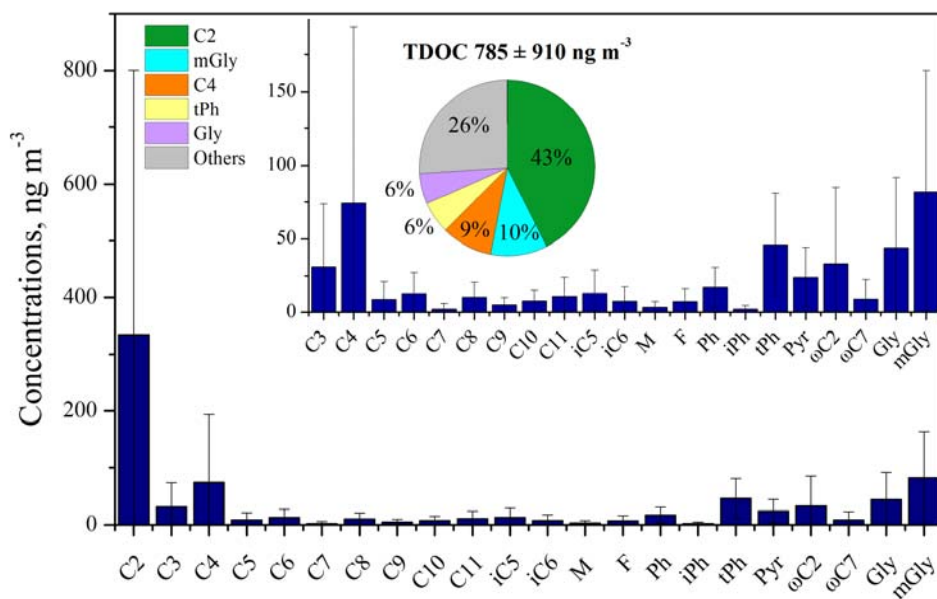
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602 **Figure 1.** Temporal variations of meteorological conditions, gaseous pollutants and major components of $PM_{2.5}$
 603 during the 2014 APEC campaign. (The brown shadows represent two air pollution events characterized
 604 by highest $PM_{2.5}$ levels before- and after-APEC, while the blue shadow represents the APEC event).



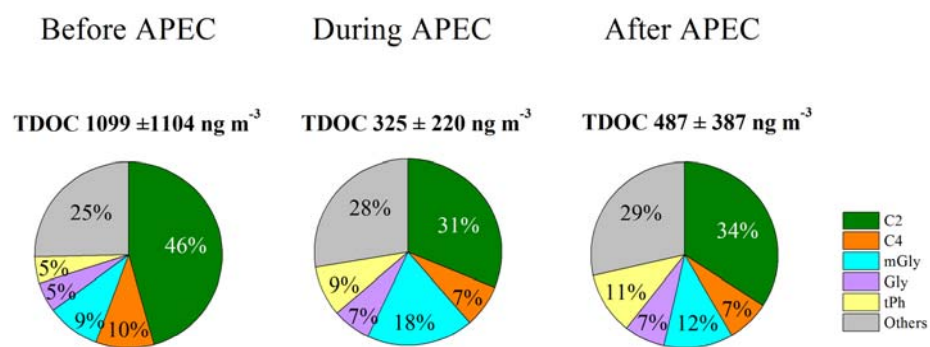
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Figure 2. Chemical composition of $PM_{2.5}$ during the 2014 APEC campaign.



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610 **Figure 3.** Molecular distributions of dicarboxylic acids and related compounds in PM_{2.5} of Beijing, China during the
 611 2014 APEC campaign. The pie chart is the average composition of total detected organic compounds
 612 (TDOC) and the top number is the average mass concentration of TDOC of the whole study period.
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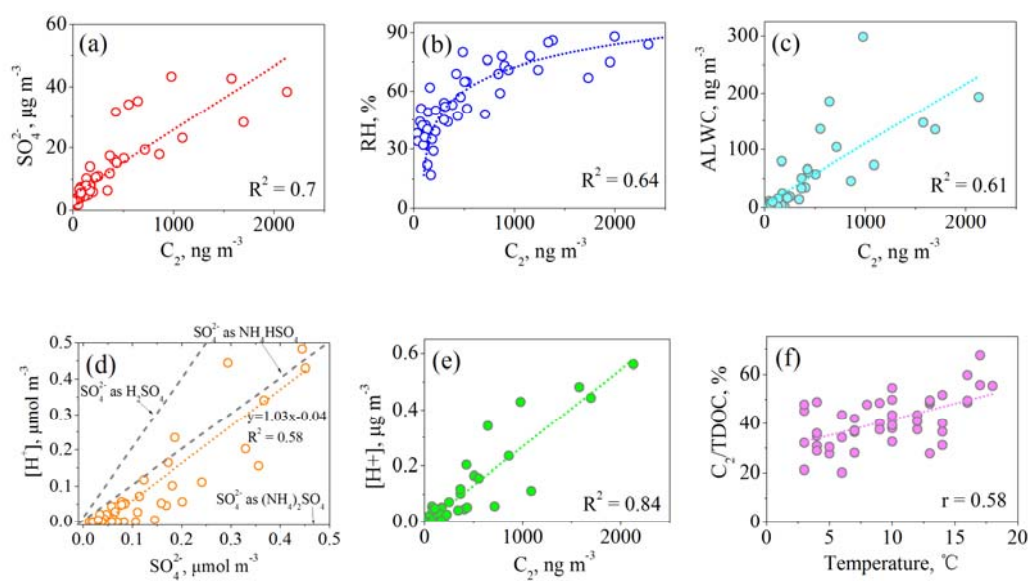


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Figure 4. Compositions of total detected organic compounds (TDOC) in PM_{2.5} during the 2014 APEC campaign.

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620 **Figure 5.** Correlation analysis for oxalic acid (C_2) and sulfate in $PM_{2.5}$ during the whole 2014 APEC campaign. **(a-c)**621 Concentrations of C_2 with sulfate, relative humidity (RH), and aerosol liquid water content (ALWC); **(d, e)**622 sulfate and C_2 with aerosol acidity $[H^+]$ and **(f)** temperature with mass ratio of C_2 to total detected organic623 compounds (C_2 /TDOC).

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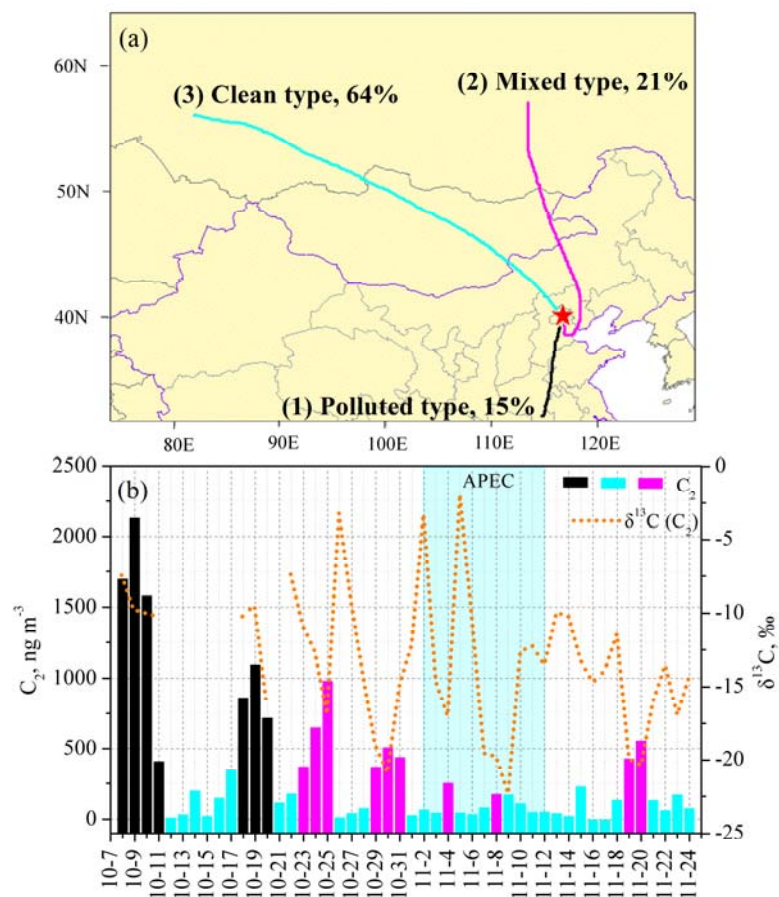
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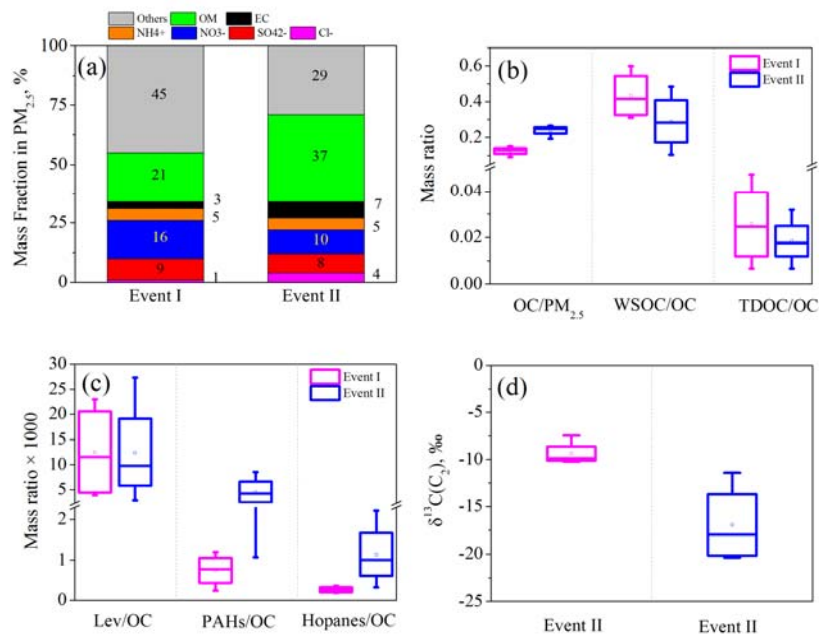
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 634 **Figure 6.** (a) 72-h backward trajectories determined by the National Oceanic and Atmospheric Administration
 635 Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model arriving at the sampling site to
 636 reveal the major air mass flow types during the study period. Northwestern wind (light blue) was most
 637 frequently (64%), followed by northerly (21%, pink) and southerly (15%, black) and is defined as clean,
 638 mixed and polluted types, respectively (see the definitions in the text); (b) Time series of $\delta^{13}\text{C}$ values and
 639 concentration of oxalic acid during the whole study period (Colors in Fig. 6a are corresponding to those in
 640 Fig. 6b).

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 649 **Figure 7.** Comparison of chemical composition of PM_{2.5} during two air pollution events. **(a)** Percentages of major
 650 species in PM_{2.5}; **(b, c)** mass ratios of major species and organic tracers in PM_{2.5}; **(d)** stable carbon
 651 isotope composition of oxalic acid (C₂) (Data about levoglucosan (Lev), PAHs and hopanes are cited
 652 from Wang et al (2016)).
 653