

1 Reviewer Comments on acp-2016-651 of "Multi-pollutants emissions from the
2 burning of major agricultural residues in China and the related health-economic
3 effect assessment" by Li C. et al:

4 This study investigates the emission factors of multi-pollutants from five major crop
5 residues in china, and tries to estimate emission inventory and their corresponding
6 health-economic effect. This revised version replied most of the technical questions.
7 However, detailed explanations about the experimental design should be provided
8 and the uncertainty of the results should be addressed also.

9
10 Answer: Thanks for your review and comments, we will try to modify the
11 manuscript following your suggestion.

12
13 1) Line 201-205: I agree with the authors that pretreatment of biomass fuel in
14 burning simulation is a practical and necessary procedure to ensure the result can be
15 comparable with other studies under the defined conditions. But when the crop
16 residues were dehydrated at 100 degree C for 24 hrs, very low moisture content were
17 obtained compared with other studies, and it tends to have a much lower EFs as
18 mentioned in the manuscript. Moreover, most crop residues are not well dried in a
19 field except in the dry season. So what is the uncertainty when calculating emission
20 factor and health economic effect if 2% water contents were used in this study?

21
22 Answer 1: Thanks for your comment, and that is a practical and complicated
23 question for emission factor research. Studies have found variance of smoke
24 emissions from biomass burning with respect to moisture content, but profound
25 relationship between EFs and water content of biomass was still undefined, it was
26 hard to tell exact response of EFs to moisture content for various straws and
27 chemical species, and EFs from many documents that were measured under different
28 water content of fuel issues are comparable. Hayashi et al. (2014) conducted
29 moisture effect study concerning three kinds of straws with only two water contents
30 (~10 wt.% vs ~20 wt.%), they found positive relationship for PM and moisture, but
31 no effect of moisture on EC and most inorganic species emissions, and the regressed
32 functions between EFs and moisture content were only applicable for moisture
33 content range from 10~20 wt.%. Emanuela et al. (2011) simulated forest biomass
34 burning regarding to various moisture (7~50 wt.%) and mass of fuel, they found no
35 significant EFs changes for aromatic hydrocarbons but the EF of PM above 17 wt.%
36 water content (no significant changes for PM EF with moisture content from 7~17
37 wt.%), however they did not phase out MCE effect on EFs for PM, thus, PM EFs in
38 line with water content was not precise in their work. Most studies commonly
39 applied $\pm 50\%$ ~ $\pm 150\%$ variation for the EFs they cited for usage in the emission
40 inventory calculation, and dry matter fraction for the residues were taken as 87~94
41 wt.% (that means moisture contents were assumed as 6~13 wt.%) (Bond et al., 2004;
42 Qin et al., 2011, 2012), in this work, we applied dry matter fractions for field straw
43 to be 85~94 wt.% (moisture content as 5~15 wt.%), and applied $\pm 30\%$ variation for
44 dry matter and other burning activities, but to EFs, we conservatively applied

45 coefficient of variation as the measured one. Deviation of EFs in this study can
46 explain about 35%~47% of uncertainties in health economic assessment. And it was
47 not possible to assess exact deviation of EFs under 2 wt.% in this study and EFs for
48 practical burnings in the field, but your suggestion will help our future experiment
49 design, and we will conduct field burning and lab simulation experiments to
50 investigate smoke emissions for different agricultural residues under different
51 conditions.

52
53 2) Line 233-242: Were CO and CO₂ measured by GC-FID continuously during the
54 experiment? If yes, what is the time resolution of the repeated measurement? If no,
55 when CO and CO₂ were collected during the experiment? Moreover, please show the
56 results of combustion efficiency in supplement information.

57
58 Answer 2: CO and CO₂ were measured for each test using GC-FID, but not
59 continuously covering the entire combustion process. In each test, all the emissions
60 from agricultural burning were introduced into the chamber, then CO and CO₂ in the
61 chamber were measured and blank corrected to represent the burning conditions,
62 which can be viewed as integrated burning conditions.

63 The MCE for the burnings were collected in the supporting information as Table S1:

Type of agricultural residue	MCE
Wheat straw	0.91 ± 0.03
Corn straw	0.89 ± 0.07
Rice straw	0.96 ± 0.03
Cotton residue	0.93 ± 0.05
Soybean residue	0.91 ± 0.05

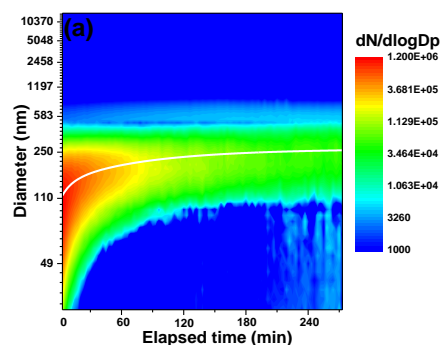
64 Method for CO and CO₂ standard curves preparation and MCE measurements were
65 also added in the SI:

66 CO and CO₂ were injected into a gas chromatograph (Model 930, Shanghai Hai Xin
67 Gas Chromatograph Co., LTD) equipped with a flame ionization detector, an Ni-H
68 convertor, and a stainless steel column (2 m long) packed with 15% DNP. Before
69 calibration, 2 mL CO was diluted with N₂ to a final volume of 500 cm³ in a clean
70 Teflon bag. The amounts of CO₂ used for calibration were 40, 60, 80, 100, 200, 500,
71 800, and 1000 μL, and the amounts of diluted CO were 20, 40, 80, 100, and 200 μL,
72 respectively. The gas volume and the corresponding peak of each sample injected
73 into the GC were recorded to calculate regression function, with which the CO and
74 CO₂ concentrations in the aerosol chamber can be determined. Background CO and
75 CO₂ concentrations were deducted. The working curves for both CO and CO₂ were
76 linear with R² > 0.99.

77
78 3) Line 244-254: What is the scan time of WPS? The startup transients may be
79 missed due to the long scan time limitation. High time-resolution scans are
80 recommended for future studies.

81
82 Answer 3: Thanks for your suggestion, the scan time of WPS in this study was set to

83 be 3 min/loop, the startup measurements were fixed at 5 min later after stabilization
 84 of aerosol chamber. And for each test, particle size distribution was averaged for 4
 85 loops of WPS measurements, which will surely capture the size character of straw
 86 burning aerosol. Smoke particle ages rapidly in size once emitted and introduced into
 87 the chamber (as below figure presented size evolution of smoke aerosol in chamber,
 88 referring to Li et al., 2015), that's why we controlled each burning and fixed the
 89 startup measurement time to ensure size distribution for fresh smoke aerosol being
 90 reliable. In the future, we will use high-time resolution scans to measure the size of
 91 aerosol from emission to aging.



92
 93 Figure 1 Time profiles of smoke particle number size distribution

94
 95 4) Line 336-344: As no calibration were carried out for soot/char classification, and
 96 TOT-NIOSH method may underestimate char-EC, leading to lower char-EC/soot-EC
 97 ratio. I don't prefer to report char and soot EC results until more precise experiments
 98 will be conducted in the future.

99
 100 Answer 4: Thanks for your suggestion, we are sorry that no calibration was
 101 conducted for char- and soot-EC splitting, we have deleted related data and
 102 discussions in the manuscript. In the future we will conduct more precisely
 103 experiment on char- and soot-EC measurements.

104
 105
 106 5) Line 433-434: What is the theory behind the assumption that the burning rates
 107 were inverse proportional to peasants' agricultural income proportion (AIP)?

108
 109 Answer 5: The theory that the burning rates were inverse proportional to peasants'
 110 agricultural income proportion (AIP) in this study was modified from assumption of
 111 Cao et al. (2006), Wang et al. (2008), and Qin et al.(2011, 2012). The relationship
 112 between spatial filed burning rates and peasants' income in China was confirmed
 113 (spatial filed burning rate vs peasants' income) as higher percentage of straw filed
 114 burning occurred at economic advanced regions, then, Cao et al. and Qin et al.
 115 assumed temporal filed burning rates linearly changes with peasants' income

116 increase (temporal filed burning rate \propto peasants' income), however, their
117 assumptions were unreasonable, as filed burning rate of specific region can hardly
118 change in line with regional peasants' income increase, from 2000 to 2012, peasants'
119 income primarily increased by 3~5 times in China (National Statistic Bureau of
120 China), filed burning rates for most provinces would be 100 % or over under the
121 assumption and in the study of Cao et al. (2006, 2011) and Qin et al (2011, 2012). It
122 was reported that regions where the primary industry is developed or agricultural
123 economy dominated (higher peasants' agricultural income proportion) have less filed
124 burning rate, and these regions will also decrease the crop straw field disposal and
125 make more usage of straw as domestic fuel or into other biomass waste treatment,
126 thus we proposed the assumption based on previous studies that the burning rates
127 were inverse proportional to peasants' agricultural income proportion.

128

129 6) Line 762-770: What is the objective to determine expulsion-accumulation
130 coefficients of PAHs in OC in this study? Please explain the obtained results.

131

132 Answer 6: The objective to determine expulsion-accumulation coefficients of PAHs
133 in OC and EC is try to provide an empirical method to distinguish source of PAHs,
134 which can be used to estimate PAHs mass fraction from OC and EC measurement
135 for crop straw burnings, and the function may also help assess PAHs partition in
136 carbonaceous aerosol during aerosol transportation and aging process.

137

138

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167 **Multi-pollutants emissions from the burning of major**
168 **agricultural residues in China and the related**
169 **health-economic effect assessment**

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183 **Abstract.** Multi-pollutants in smoke particulate matter (SPM) were identified and
184 quantified for biomass burning of five major agricultural residues such as wheat, rice,
185 corn, cotton, and soybean straws in China by aerosol chamber system combining
186 with various measurement techniques. The primary emission factors (EFs) for PM_{1.0}
187 and PM_{2.5} are 3.04-12.64 and 3.25-15.16 g kg⁻¹. Organic carbon (OC), elemental
188 carbon (EC), ~~char-EC, soot-EC,~~ water-soluble inorganics (WSI), water-soluble
189 organic acids (WSOA), water-soluble amine salts (WSA), trace mineral elements
190 (THM), polycyclic aromatic hydrocarbons (PAHs), and phenols in smoke
191 PM_{1.0}/PM_{2.5} are, 1.34-6.04/1.54-7.42, 0.58-2.08/0.61-2.18, ~~0.51-1.67/0.56-1.76,~~
192 ~~0.05-0.41/0.05-0.42,~~ 0.51-3.52/0.52-3.81, 0.13-0.64/0.14-0.77,
193 (4.39-85.72/4.51-104.79)×10⁻³, (11.8-51.1/14.0-131.6)×10⁻³, (1.1-4.0/1.8-8.3)×10⁻³,
194 and (7.7-23.5/9.7-41.5)×10⁻³ g kg⁻¹, respectively. ~~EC and soot-EC~~BC mainly exist in

195 PM_{1.0}, heavy metal-bearing particles favor to reside in the range of smoke PM_{1.0-2.5},
196 which are also confirmed by individual particle analysis.

197 With respect to five scenarios of burning activities, the average emissions and
198 overall propagation of uncertainties at 95% confidence interval (CI) of SPM from
199 agricultural open burning in China in 2012 were estimated for PM_{2.5}, PM_{1.0}, OC, EC,
200 ~~char-EC, soot-EC,~~ WSI, WSOA, WSA, THM, PAHs, and phenols to be 1005.7
201 (-24.6% , 33.7%), 901.4 (-24.4%, 33.5%), 432.4 (-24.2%, 33.5%), 134.2 (-24.8%,
202 34.0%), ~~113.1 (-24.8%, 34.1%), 21.0 (-26.3%, 35.9%),~~ 249.8 (-25.4%, 34.9%), 25.1
203 (-33.3%, 41.4%), 5.8 (-30.1%, 38.5%), 8.7 (-26.6%, 35.6%), 0.5 (-26.0%, 34.9%),
204 and 2.7 (-26.1%, 35.1%) Gg, respectively. The emissions were further
205 temporal-spatially characterized using geographic information system (GIS) at
206 different regions in summer and autumn post-harvest periods. It was found less than
207 25-% of the total emissions were released during summer harvest that was mainly
208 contributed by the North Plain and the Central of China, especially Henan, Shandong,
209 and Anhui, leading the top three provinces of smoke particle emissions.

210 Flux concentrations of primarily emitted smoke PM_{2.5} that were calculated using
211 box-model method based on five versions of emission inventories all exceed the
212 carcinogenic risk permissible exposure limits (PEL). The health impacts and
213 health-related economic losses from the smoke PM_{2.5} short-term exposure were
214 assessed. The results show that China suffered from 7836 (95-% CI: 3232, 12362)
215 premature mortality and 7267237 (95-% CI: 2961487, 1130784) chronic bronchitis
216 in 2012, which led to 8822.4 (95-% CI: 3574.4, 13034.2) million US\$, or 0.1-% of
217 the total GDP losses. We suggest that percentage of open burnt crop straws at
218 post-harvest period should be cut down by over 97-% to ensure risk aversion from
219 carcinogenicity, especially the North Plain and the Northeast, where the emissions
220 should decrease at least by 94% to meet the PEL. Under such emission control, over
221 92-% of the mortality and morbidity attributed to agricultural fire smoke PM_{2.5} can
222 be avoided in China.

223 **Key words:** agricultural straw burning, aerosol chamber, smoke particle, emission
224 factor, emission inventory, health effect, emission control policy

225 **1 Introduction**

226 Biomass burning (BB) is a significant source of particulate- and gaseous- pollutants
227 (Andreae and Merlet, 2001; Clarke et al., 2007; Ram et al., 2011; Saikawa et al.,
228 2009a; Tian et al., 2008). It was estimated that open burning of biomass contributed
229 approximately 40% of the globally averaged annual submicron black carbon (BC)
230 aerosol emissions and 65 % of primary OC emissions (Bond et al., 2013). China is
231 the major contributor that bears over 24 % of global emissions of carbonaceous
232 aerosols, especially from agricultural field burning, about 0.04~0.5 Tg EC and
233 0.4~2.1 Tg OC are released annually (Bond, 2004; Cao et al., 2006; Qin and Xie,
234 2012; Saikawa et al., 2009), resulting in great radiative forcing, air quality
235 deterioration, visibility reduction, premature mortality, and economic loss regionally
236 and globally (Bølling et al., 2009; Bond et al., 2013; Huang et al., 2014; Janssen et
237 al., 2011; Rosenfeld, 2006; Saikawa et al., 2009; Shindell et al., 2012).

238 BB also represents one of the most uncertainties in the emission, climate effect,
239 and public health assessments, which finally relies on the uncertainties in detailed
240 chemical emissions or related properties and burning activities like strength or
241 percentage of biomass fuel burned (Tian et al., 2008; Andreae and Merlet, 2001;
242 Levin et al., 2010). For example, studies have focused on OC and EC emissions due
243 to their specific optical properties (Bond et al., 2013; Cao et al., 2006; Qin and Xie,
244 2012; Ram et al., 2011). OC like sulfate and nitrate can cool the atmosphere by
245 increasing the Earth's reflectivity, however, smoke OC on the other hand has been
246 treated as brown carbon to exhibit pronounced light absorption character (Chen et al.,
247 2015; Ackerman, 2000; Chakrabarty et al., 2010; Christopher et al., 2000). The
248 coated or internal mixed sulfate or nitrate can act as lens to enhance the light
249 absorption activity of BC (Zhang et al., 2008b), probably also the activity of brown
250 carbon (Chen et al., 2015). However, primary emissions for OC, EC, and alkali
251 components are confused and have a wide range (Sen et al., 2014; Cao et al., 2006;
252 Hayashi et al., 2014), and some study still took OC with negative forcing activity
253 (Saikawa et al., 2009; Shindell et al., 2012). Besides, smoke EC is consisting of soot

254 and char, and soot-EC has a higher light-absorption potential compared to char-EC
255 (Arora and Jain, 2015; Reid et al., 2005a). Division and quantification of char- and
256 soot-EC emissions for biomass burning are understudied (Arora and Jain, 2015; Han
257 et al., [2007](#), 2009). Moreover, other components like organic acids, amines, phenols,
258 and mineral elements that enable CCN activity or endow health hazard of smoke
259 aerosol are also deficient, variable, or outdated, which may hinder our overall
260 understanding of biomass burning contributions and also atmospheric process of
261 smoke particles (Li et al., 2015; Akagi et al., 2011; Chan et al., 2005; Dhammapala
262 et al., 2007a; Ge et al., 2011; Reid et al., 2005a, b).

263 Studies using carbon mass-balance (CMB) and pollutant concentration-chamber
264 volume quantification are the two common methods to derive the emission factors
265 for biomass burning aerosols (Akagi et al., 2011; Li et al., 2007; Zhang et al., 2008a).
266 Carbonaceous and inorganics components of smoke particles not only vary with
267 biomass issues (fuel types, water content, or burning strength), but also relate to
268 burning condition and environment (flaming or smoldering, field burning or
269 laboratory simulation), extent of aging, sampling methods, and measurement
270 technologies (Grieshop et al., 2009; Hayashi et al., 2014; Reid et al., 2005b).
271 Comparing to field observations that are closer to the actual burning (Li et al., 2007;
272 Akagi et al., 2011; Rose et al., 2011; Saffari et al., 2013), laboratory studies have a
273 definite advantage over field burning research in emission analysis (Jayarathne et al.,
274 2014; Sun et al., 2016; Zhang et al., 2008a). For example, the environment, amount
275 of fuel, and burning conditions can be precisely controlled, the contamination from
276 ambient atmosphere to the emissions can be excluded, and chemical compositions at
277 different aging extent can be quantified using aerosol chamber system (Li et al.,
278 2015, 2016; Aurell et al., 2015; Dhammapala et al., 2007b).

279 The activity rates of biomass burning (burning rate of biomass fuels) are also
280 response to the great uncertainties in the emission estimates (Sun et al., 2016; Zhang
281 et al., 2008a). Seldom study ever focused on the burning rates, and the limited data
282 were treated as simplex constant or dynamic values in many studies of emission
283 estimation in a certain year or for annual variations with a long time scales, thus,

284 significant difference among the results were founded (Qin and Xie, 2011, 2012;
285 Zhang et al., 2011; Zhao et al., 2012). For instance, Cao et al. (2006, 2011) estimated
286 primary smoke carbonaceous materials emissions for 2000 and 2007 in China with
287 same field burning rates, the results were almost the same for the two year with
288 103-104 Gg yr⁻¹ BC and 425.9-433.3 Gg yr⁻¹ OC emitted. He et al. (2011b) found
289 the declining trends in biomass burning emissions in the Pearl River Delta for the
290 period 2003-2007 based on constant activity data of burning rates. Lu et al. (2011)
291 developed primary carbonaceous aerosol emissions in China for 1996-2010 with
292 time-dependent activity rates extrapolated from 2008 to 2010 based on national
293 fast-track statistic, rapid increase of OC and EC emissions were reported, and OC
294 increased from 1.5 to 2.3 Tg yr⁻¹, BC increased from 418 to 619 Gg yr⁻¹. Qin and Xie
295 (2012) estimated BC emission from crop straw open burning for 1980-2009 with
296 variable burning rates based on peasants' income development, the increasing trend
297 in BC emission was also confirmed, and BC emission increased from 4.3 to 116.6
298 Gg yr⁻¹.

299 As most anthropogenic pollutants are concentrated in submicron particulate
300 matters (PM_{1.0}) (Ripoll et al., 2015), more pronounced relationship of ambient PM_{1.0}
301 to haze formation and adverse health effect has been reported (Huang et al., 2003;
302 Roemer et al., 2001; Shi et al., 2014). Nevertheless, associated chemical
303 characterization of PM_{1.0} is still undefined (Li et al., 2015; Safai et al., 2013; Cheng
304 et al., 2006). The study of source-specific PM_{1.0} chemical compositions and
305 emissions are necessary to replenish database for contribution assessment and model
306 application in atmospheric chemistry, climate changes, and public health evaluation.

307 The emission inventories and forecasting in the emissions of atmospheric
308 pollutants have been widely studied, and the incurred mortality, climatic effect, and
309 economic loss have also been estimated (Ostro and Chestnut, 1998; Saikawa et al.,
310 2009; Shindell et al., 2012), based on which the emission control policies were
311 proposed. Shindell et al. (2012) considered ~400 control measures in tropospheric
312 BC and O₃ emissions for the benefit of global or regional human health and food
313 security, and 14 optimal measures targeting CH₄ and BC emissions were identified.

314 Saikawa et al. (2009) compared different scenarios of OC, EC, and sulfate emissions
315 in China in 2030, concluding that maximum feasible reduction may avoid over
316 480000 premature deaths in China and decrease the radiative force from -97 to -15
317 mW m^{-2} globally. Wang et al. (2008a) reported field burning restriction may save
318 about 5 billion dollars losses from biological resource and air pollution. However,
319 the generalized strategies in emission reduction were inadequate and lack actual
320 practicality (Streets, 2007; Lin et al., 2010).

321 In this study, burning experiments with five major agricultural straws were
322 conducted using a combustion stove in combination with an aerosol chamber system.
323 Accurate compositions and emission factors for SPM in $\text{PM}_{1.0}$ and $\text{PM}_{2.5}$ were
324 characterized and established. Afterwards, up-to-date emissions for agricultural open
325 burning aerosol in 2012 were developed, health and health-related economic impacts
326 from smoke $\text{PM}_{2.5}$ exposure were also assessed. Finally, emission reduction strategy
327 that was implemented in field burning rate control for the carcinogenic risk concern
328 was proposed, which should help establish the policy and provide an idea for the
329 emission control.

330 **2 Methodology**

331 An overview of the research procedures including emission factors acquirement and
332 emission inventory calculation is shown in Fig. 1. Tabulation of emission factors is
333 self-established in our laboratory using a combustion stove to simulate open burning
334 and an aerosol chamber to quantify the emissions. Then, we use a bottom-up
335 approach to calculate the emission inventory of agricultural field burning over China
336 mainland based on crop production data in 2012. Emissions for each species are
337 estimated as:

$$338 E_{k,j} = \sum_i A_{k,i} \times EF_{i,j} \quad (1)$$

339 where E_j is emission, $A_{k,i}$ is effective biofuel consumption, and $EF_{i,j}$ is emission
340 factor. k , i , and j indicates region, agricultural residue type, and particulate chemical
341 species.

342 State-of-the art chemical transport and box models were commonly applied to
343 reproduce or simulate the ambient aerosol concentrations (Ram et al., 2011; Reddy
344 and Venkataraman, 2000; Saikawa et al., 2009). In this study, spatio-temporal
345 dynamic box model is used to calculate the emission flux concentration. Regional
346 crop straws are premised to be combusted proportionally only in the fire occurrence
347 days. Dismissing interaction of emitted pollutants in space and time, pollutants will
348 distribute uniformly in a space covering an area of specific region with mixing
349 height of 0.5 km (atmospheric boundary layer). The flux concentration of
350 agricultural burning smoke can be calculated by Eq. (2):

$$351 \quad C_{k,j} = \frac{E_{k,j}}{S_k \times h \times T_k} \quad (2)$$

352 in Eq. (2), $C_{k,j}$ is flux concentration of smoke aerosol, S_k is regional area, h is
353 boundary layer height, T_k is agricultural field fire duration time.

354 **2.1 Aerosol chamber work and emission factors**

355 **2.1.1 Crop straws**

356 Five kinds of representative crop residues were used for the burning experiments, i.e.,
357 wheat, rice, corn, cotton, and soybean straws. The straws were collected based on
358 regional features of agricultural planting, winter wheat straws were collected from
359 Anhui province, late rice straws from Shanghai, corn straws from Henan province,
360 cotton and soybean residues from Xinjiang. All straws were stored under dark, airy,
361 and cooling condition. Prior to the burning experiment, the dirt and weeds were
362 removed, then straws were dehydrated (at 100 °C for 24 h) to minimize effect of the
363 water content on the burning and pollutant emissions, as study found pollutants
364 emissions and combustion efficiencies (CE) are response to water content, increased
365 moisture content enhances the emissions but also alter the chemical compositions of
366 smoke aerosols (Reid et al., 2005b; Aurell et al., 2015; Hayashi et al., 2014).
367 Although straws in the field are not well dried and moisture contents vary with
368 weather, ventilation, and storing times, for the convenience of practical application

369 and comparison of burnings and emissions, water contents of the straws were
370 controlled within 2 %, which has been applied in many studies (Hayashi et al., 2014;
371 Huo et al., 2016; Li et al., 2015; Oanh et al., 2011; Zhang et al., 2008a, 2011). The
372 dry straws were then cut to a length of approximately 10 cm and weighted 10.0 g per
373 serving.

374 **2.1.2 Burning experiments**

375 The experiments were conducted using an aerosol chamber system (Fig. S1 in
376 supplement information, SI), which was loaded in a temperature-controlled room
377 (18-22 °C, 40%-60% RH). A stainless combustion stove was self-designed to simulate
378 typical field burning of crop straws by automatic ignition with LPG (Liquid
379 petroleum gas) in particular, albeit on a small scale (ignition time less than 0.1 s).
380 10.0 g conditioned residues were sealed in the 0.227 m³ combustion stove in
381 advance, once ignited, the force-ventilation and HEPA filtrated particle-free air were
382 supplied (300 L min⁻¹). The emissions were immediately injected into a clean,
383 evacuated aerosol chamber. The burning last about 1 min and over 1 m³ particle-free
384 air flushed the stove to ensure all the emissions were transferred into the chamber.

385 The chamber was custom-built to quantify the emissions and characterize the
386 physiochemical properties of smoke aerosols, detailed description of the chamber
387 can be found elsewhere (Zhang et al., 2008a, 2011; Li et al., 2015, 2016). Briefly, the
388 chamber has a volume of 4.5 m³ with 0.3 mm Teflon coating on the inner side, a
389 magnetic fan fixed on the bottom to stir the aerosol uniformly, and a hygroclip
390 monitor (Rotronic, Model IM-4) equipped inside the chamber to measure the
391 temperature and relative humidity of the aerosol. Before experiment, the chamber
392 was flushed with particle-free air for 6 h, oxidized by high concentration ozone (~3
393 ppm) for 12 h, then flushed and vacuumized, filled with pure dry air to 80 KPa for
394 use. The emissions from straw burning were aspirated into the chamber till room
395 pressure, afterwards, size measurement and sampling of smoke aerosols were
396 conducted from the chamber. For each type of straw, four burning experiments were

397 conducted. The unburned residues were weighted and deducted from 10.0 g after
398 each test.

399 Modified combustion efficiency (MCE) for each burning was monitored with CO
400 and CO₂ measuring to determine the burning phase and to ensure the repeatability.
401 MCE is defined as $\Delta\text{CO}_2/(\Delta\text{CO}_2+\Delta\text{CO})$, where ΔCO_2 and ΔCO are the excess molar
402 mixing ratios of CO₂ and CO (Reid et al., 2005b). A gas-chromatograph (GC, model
403 930, Shanghai, Hai Xin Gas Chromatograph Co., LTD) equipped with a flame
404 ionization detector, an Ni-H convertor, and a stainless steel column (2 m long)
405 packed with 15% DNP was used to measure CO and CO₂ concentrations in the
406 chamber. And MCE were 0.89-0.96 for all the experiments (see in SI, Table S1),
407 indicating flaming combustion dominated, which were comparable to that in the
408 field burning (Li et al., 2003; Li et al., 2007).

409 **2.1.3 Size and morphology of smoke aerosol**

410 Size distribution (10 nm-10 μm) of smoke particles was measured using a
411 Wide-range Particle Spectrometer (WPS, Model 1000XP, TSI, USA), which has
412 been described by Li et al (2015). Briefly, WPS integrates the function of scan
413 mobility particle sizer (SMPS) and laser particle sizer (LPS), 0.3 L min⁻¹ flow is
414 introduced to SMPS part to classify mobility size from 10 nm to 500 nm in 48 bins,
415 and 0.7 L min⁻¹ flow is introduced to LPS part to measure aerodynamic diameter
416 from 350 nm to 10 μm in 18 bins. Particle density ~~and~~, refractive index, and
417 scanning time were set as 1.0 g cm⁻³ ~~and~~, 1.45, and 3 min loop⁻¹, respectively, and
418 charge correction mode was on for the measurement. A diffusion dryer tube (45 cm
419 in length) filled with descant-silica gel was set prior to the inlet of WPS. Before
420 experiment, WPS was calibrated with certified polystyrene latex spheres (PSL, 40,
421 80, and 220 nm, Duke Scientific).

422 SPM from the 5 types crop straws burning were sampled onto copper grids coated
423 with carbon film (carbon type-B, 300-mesh copper, Tianld Co., China) using a
424 single-stage cascade impactor with a 0.5 mm diameter jet nozzle at a flow rate of 1.0

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425 L min⁻¹. The sampler has a collection efficiency of 100 % at 0.5 μm aerodynamic
426 diameter. More information about the cascade impactor can be found elsewhere (Fu
427 et al., 2012; Hu et al., 2015). Then, a JEOL-2010F field emission high-resolution
428 transmission electron microscope (FE-HRTEM) coupled with an oxford
429 energy-dispersive X-ray spectrum (EDX) was applied to investigate the morphology,
430 composition, and mixing state of individual particles.

431 **2.1.4 Chemical sampling and analysis**

432 PM_{1.0} and PM_{2.5} samples for each burning were collected onto pretreated quartz filter
433 of 90 mm in diameter (Tissuquartz, Pall Corp., USA) from the chamber using a
434 high-volume Particle Sampler (HY-100, Qingdao Hengyuan S.T. Development Co.,
435 Ltd) operating at 100 L min⁻¹. Each filter sampling duration time is 5 min, and total
436 44 samples (including 4 blank samples) were gathered. The quartz microfiber filters
437 were prebaked for 8 h at 450 °C to eliminate contamination. Before and after the
438 sampling, the filters were weighted using a balance (Sartorius BP211D) with an
439 accuracy of 10 μg, and the filters were balanced in an electronic desiccator (40 %
440 RH, 22 °C) for 24 h before usage. After weighting, the loaded filters were stored at
441 -20 °C in a refrigerator for further analysis.

442 Water soluble species including general inorganic ions (ions: F⁻, Cl⁻, NO₂⁻, NO₃⁻,
443 SO₄²⁻, Na⁺, NH₄⁺, K⁺, Ca²⁺, Mg²⁺), organic acids (CH₃COOH, HCOOH, C₂H₂O₄,
444 CH₃SO₃H), and seven protonated amines (MeOH⁺, TeOH⁺, MMAH⁺, DMAH⁺,
445 TMAH⁺, MEAH⁺, and DEAH⁺ for short, corresponding to monoethanolaminium,
446 triethanolaminium, monomethylaminium, dimethylaminium, triethylaminium,
447 monoethylaminium, and diethylaminium) were measured from 1/4 of each filter
448 with ion chromatography (IC, Model 850 Professional IC, Metrohm, USA) consists
449 of a separation column (Metrosep A Supp 7 250/4.0 for anion and organic acids,
450 Metrosep C-4 150/4.0 for cation, and Metrosep C4-250/4.0 for water soluble
451 aminiums). Sampled filters were ultrasonically extracted with 15.0 mL deionized
452 water (Mili-Q water, 18.2 MΩ cm), extracted solutions were filtrated using 0.2 μm

453 filters before injected into IC for measurement. Detection limits (DLs) for the ions
454 and aminiums were within 0.5~3.5 ng mL⁻¹, the correlation coefficients for all
455 calibration curves were better than 0.99, and recovery rates for aminiums were in the
456 range of 93%~106% (see in SI, Table [S1S2](#)). Details for the aminium measurements
457 can be found in the work of Tao et al. (2016).

458 1/4 of each filter was acid dissolved to measure the selected elements (As, Pb, Cr,
459 Cd, Ni, V, Zn, Al), of which As, Zn, Pb, Cr, Cd, and Ni are USEPA priority
460 controlled pollutants (Wu et al., 2011). The smashed filters were digested at 170 °C
461 for 4 h in high-pressure Teflon digestion vessel with 3.0 mL concentrated HNO₃, 1.0
462 mL concentrated HClO₄, and 1.0 mL concentrated HF. Afterwards, the almost dry
463 solution was diluted and characterized using Inductively Coupled Plasma Optical
464 Emission Spectrometer (ICP-OES, Atom Scan 2000, JarroU-Ash, USA). The
465 following wavelength lines of the ICP-OES analysis were used: As 189.042, Pb
466 220.353, Cd 228.802, Cr 205552, Ni 231.604, V 311.071, Zn 206.191, and Al
467 394.401. All reagents used were of highest grades, and recovery tests were
468 conducted with standard additions, recoveries of each element were in the range of
469 93%~102% (see in SI, Table [S1S2](#)).

470 Another 1/4 of each filter was ultrasonically double extracted with 15.0 mL
471 HPLC-grade CH₂Cl₂. The extracts were then condensed with rotary evaporator and
472 quantified to 1.0 mL. 16 targeted PAHs (2-ring, naphthalene (Nap); 3-ring,
473 acenaphthylene (Ac), acenaphthene (Ace), fluorene (Fl), phenanthrene (Phe),
474 anthracene (Ant); 4-ring, fluoranthene (Flu), pyrene (Pyr), benzo[a]anthracene (BaA),
475 chrysene (Chr); 5-ring, benzo[b]fluoranthene (BbF), benzo[k]fluoranthene (BkF),
476 benzo[a]pyrene (BaP), dibenzo[a,h]anthracene (DBA); and 6-ring: indeno[1,2,3-cd]
477 pyrene (IP), benzo[ghi]perylene (BghiP)) and 5 selected phenols (phenol,
478 2-methoxyphenol, 4-ethylphenol, 4-ethyl-2-methoxyphenol, 2,6-dimethoxyphenol)
479 were measured from the concentrated extracts using an Agilent 6890 Series gas
480 chromatography system coupled with a HP 5973 Mass Selective Detector (GC-MS,
481 Agilent Technologies, Wilmington DE) . A DB-5ms (30 m × 0.32 mm × 0.25 mm,
482 Agilent 123-5532) column was installed. The temperature programs were presented

483 as follows: initially at 40 °C, hold for 4 min, to 150 °C at 20 °C min⁻¹, then to 280 °C
484 at 5 °C min⁻¹, hold for 10 min. The interface temperature was kept at 280 °C, the MS
485 was operated in electron impact mode with an ion source temperature of 230 °C, and
486 the high-purity helium (99.999%) carrier gas was maintained at a constant pressure
487 of 16.2 psi with a flow of 2.0 mL min⁻¹. The calibration curves were optimized to be
488 better than 99.9%. Prior to the measurements, PAHs and Phenols recovery studies
489 were undertaken, and recoveries were acceptable with rates of 82%~99% (see in SI,
490 Table S1S2). In addition, Phenanthrene-d10 (Phe-d10) as internal standard surrogate
491 was added into the PAHs mixture, recovery rate of which was 94%.

492 Organic carbon (OC) and elemental carbon (EC) were measured with the rest
493 quartz filters using a carbon analyzer (Sunset laboratory Inc., Forest Grove, OR)
494 based on the thermal-optical transmittance (TOT) method with a modified
495 NIOSH-5040 (National Institute of Occupational Safety and Health) protocol. Four
496 organic fractions (OC1, OC2, OC3, and OC4 at 150, 250, 450, and 550 °C,
497 respectively), PC fraction (a pyrolyzed carbonaceous component determined when
498 transmitted laser returned to its original intensity after the sample was exposed to
499 oxygen), and three EC fractions (EC1, EC2, and EC3 at 550, 700, and 800 °C,
500 respectively) are produced. And OC is technically defined as OC1 + OC2 + OC3 +
501 OC4 + PC, while EC is defined as EC1 + EC2 + EC3 - PC (Seinfeld et al., 2012).
502 The instrument detection limits for total OC and EC that deposit on the filter are 0.25
503 and 0.12 µg C cm⁻². ~~Moreover, environmental EC in aerosol is a mixture of
504 compounds from slightly charred, biodegradable materials to highly condensed and
505 refractory soot, different EC materials have distinct different thermodynamic
506 properties, study found char EC decomposes much rapidly than soot when exposed
507 to chemical and thermal oxidation, e.g., EC decomposition temperatures in air
508 increased from ~520°C for char to ~620°C for soot, and exceeded 850°C for
509 graphite, thus, regarding to different oxidation temperatures, Han et al (2007; 2009)
510 furtherly differentiated char and soot EC from EC measurement as EC2 + EC3
511 equals to soot EC, and the rest is char EC.~~

512 The quality of the data above was guaranteed by standard materials calibration,

513 recovery rate, and operational blank correction. And blank levels were less than 5%
514 of the measured values for all the species.

515 **2.1.5 Calculation of emission factors**

516 The emission quantities derived from the experiment were converted into quantities
517 per unit weight of initial residues as emission factor (EF, unit: g kg⁻¹), which can be
518 calculated from the direct method with effective filter sampling weight, chamber
519 volume, and effective amount of crop straw consumed (Dhammapala et al., 2006,
520 2007a, b; Zhang et al., 2008a), or alternatively from the carbon mass balance method
521 (CMB) via conservation of Carbon in biomass, disregarding the weight of biomass
522 that burnt (Dhammapala et al., 2006; Li et al., 2007). EFs determined from these two
523 methods were found to be in good agreement (Dhammapala et al., 2006),
524 nevertheless, CMB method needs more auxiliary information (e.g., concentrations of
525 CO, CO₂, CH₄, non-methane hydrocarbons, and also particulate carbons), which
526 may result in data redundancy and uncertainty propagation, hence we applied the
527 direct method to calculate EFs in this work. To be more accurate, influence of wall
528 loss and makeup air dilution on smoke particles sampling from the chamber were
529 considered and corrected, details see in SI.

530 In this study, duration for each test (burning, chamber condition, size
531 measurement, and filter sampling) was controlled within 20 min, therefore, the
532 physicochemical processes of pollutants in the chamber can be negligible, and
533 smoke aerosols we measured were primary emissions.

534 **2.2 Emission inventory calculation**

535 **2.2.1 Agricultural field fire survey**

536 Fire sites over China from 2011 to 2013 were statistically analyzed, and the data of
537 mainland agricultural fire sites was derived from the daily report of the Ministry of
538 Environmental Protection of China (MEPC) (website: <http://hjj.mep.gov.cn/jgjs/>).

539 Agricultural fire sites were screened out from MODIS (Moderate Resolution
540 Imaging Spectroradiometer) daily fire products (1 km × 1 km resolution level 3
541 hotspot) using a high resolution real time land use based on geography information
542 system (GIS). Spatial and temporal distributions of fire sites were displayed in Fig.
543 S2 (SI), over 5000 fire sites were allocated into two prominent burning periods
544 corresponding to summer (May to July) and autumn (September to November)
545 harvests, and field burning last 54 days and 60 days on statistical average during the
546 two harvests. In the North of China, open burning occurred primarily in autumn,
547 while temporal-character of field fires was not obvious in the North Plain and the
548 Center of China, where field fires can be observed frequently during the whole
549 investigation time.

550 **2.2.2 Crop straw production**

551 Crop straw production was generally derived from annual or monthly crop production
552 by multiplying crop-specific ratios of residue-to-production (He et al., 2011b; Cao et
553 al., 2011; Zhao et al., 2012). In this study, crop productions were furtherly classified
554 into summer harvest and autumn harvest productions according to field fire sites
555 analysis and traditional seasonal planting and harvesting. The amount of straw
556 produced was calculated by Eq. (3):

$$557 M_{t,k,i} = P_{t,k,i} \times r_i \times H_{t,k,i} \times D_i \quad (3)$$

558 in which M is mass of crop straws produced; P is annual crop-specific amount of
559 crop production; r is the residue-to-production ratio; D is the dry matter
560 content; $H_{t,k,i}$ is production ratio of crop i at region k during summer or autumn
561 harvest period t .

562 Province-level crop production data of wheat, rice, corn, cotton, and soybean were
563 taken directly from the China Yearbook 2013 (National Bureau of Statistics of China,
564 NBSC, 2013). Crop-specific residue-to-production ratios were cited from Chinese
565 Association of Rural Energy Industry (Wang and Zhang, 2008; data available at
566 <http://www.carei.org.cn/index.php>, in Chinese). Dry matter contents of crop straws

567 were referred to He et al. (2011b) and Greenhouse Gas Inventory Reference Manual
568 (IPCC, 2007). The parameters of residue-to-production ratios and dry matter
569 contents were summarized in Table [S2-S3](#) (SI). The regional crop production ratios
570 in summer and autumn harvests were listed in Table [S3-S4](#) (SI).

571 **2.2.3 Field burning rate**

572 Uncertainty of emission estimations mostly relies on intangibility of straw open
573 burning rate (Zhao et al., 2012; He et al., 2011b). However, regional or national
574 percentage of straw open burned was seldom studied, and the limited data were
575 outdated and variable. The available studies indicate national field burning rate of
576 crop straws range from 15.2% to 27.2% in China (Daize, 2000; Wei et al., 2004;
577 Zhang et al., 2008a), and more detailed studies indicate about 31.9% of the crop
578 straw burned in the Pearl River Delta from 2003 to 2007 (He et al., 2011b), while the
579 corresponding figures were almost 100% for the Huabei region in 2003 (Zhao et al.,
580 2012). Two versions of province-level field burning rates were commonly used, one
581 was from Cao et al. (2005; 2006; 2011) who deduced the rates based on regional
582 economic level, and the proposal of the rates to be proportional to peasants' income
583 was confirmed later, the rates were first used to calculate open burning emission in
584 2000. The other version was reported by Wang and Zhang (2008), they obtained
585 provincial percentage of residue open burnt via field survey in 2006. Herein, the two
586 versions were both applied directly into the emission estimation of 2012 in this work
587 and named as business-as-usual scenarios (BAU, BAU-I from Cao et al. and BAU-II
588 from Wang and Zhang in specific).

589 In fact, the burning rates should be dynamic parameters that been influenced by
590 industrial structure, government policy orientation, or public awareness. With crop
591 yields increase and energy consumption structure changes in rural areas, more straws
592 will be discarded and burnt in the field. Nonetheless, rigorous agricultural fire policy
593 may still suppress the condition worsen as it worked during 2008 for Beijing
594 Olympics and 2010 for Shanghai Expo (Huang et al., 2013; Cermak and Knutti,

595 2009; Wang et al., 2010). Qin and Xie (2011; 2012) ever deduced year specific open
 596 burning rates in different zone for the period of 1980-2009 according to their
 597 respective peasant income changes in a certain year on the basis of peasant income
 598 and burning rates in 2006. However, the simple linear relationship should be doubted,
 599 as great increase in per capita income after 2006 will surely overestimate the burning
 600 rates. We supposed that the burning rates were inverse proportional to peasants'
 601 agricultural income proportion (AIP), without considering the policy or potential
 602 gain or loss related to agricultural residue treatment. Thus the burning rates
 603 established in 2000 and 2006 from Cao et al. (2005) and Wang and Zhang (2008) can
 604 be converted into that of 2012 based on economic data from equation below:

$$605 \quad R_{k,2012} = \frac{I_{k,2012}}{AI_{k,2012}} \times \frac{AI_{k,y}}{I_{k,y}} \times R_{k,y} \quad (4)$$

606 where R is agricultural straw filed burnt rate, $I_{k,y}$ is peasants' annual income, $AI_{k,y}$
 607 is peasants' annual agricultural income. y indicates reference year (2000 for BAU-I,
 608 and 2006 for BAU-II). $I_{k,y}$ and $AI_{k,y}$ can be found or calculated from China
 609 Yearbook and China Rural Statistic Yearbook (NBSC, 2004-2013).

610 The versions of converted rates based on primary industry level were called
 611 Economic Models I and II (EM -I and EM-II in short) corresponding to BAU-I and
 612 BAU-II. Besides, in 2013, the National Development and Reform Commission of
 613 China published the Chinese agricultural straw treatment report of 2012 (NDRC,
 614 [2014] No.516, data available at <http://www.sdpc.gov.cn/>, in Chinese) for the first
 615 time. The percentages of crop residues discarded in the report were applied in our
 616 estimation, which was called NDRC version.

617 **2.2.4 Emission and flux concentration**

618 From above study, emission of SPM pollutants can be calculated by recount of Eq.
 619 (1) to get Eq. (5) as it was showed below:

$$620 \quad E_{t,k,j} = \sum_i M_{t,k,i} \times R_k \times f_i \times EF_{i,j} \quad (5)$$

621 where $E_{t,k,j}$ is emission amount of chemical species j at region k during harvest

622 period t ; f_i is burning efficiency, the crop specific values were cited as 0.68 for
623 soybean residue and 0.93 for the rest four straws (Zhang et al., 2011; Wang and
624 Zhang, 2008; Zhang et al., 2008a; Koopmans et al., 1997). Thus, flux concentration
625 of corresponded pollutants can be also assessed from box model as mentioned in
626 front.

627 **2.3 Estimate health impacts and health-related economic losses**

628 **2.3.1 Carcinogenic risk of Smoke Particulate Matter (CR_{SPM})**

629 Apart from the enormous climatic effects of smoke particle emissions, new
630 epidemiological and toxicological evidence have also linked carbonaceous aerosol to
631 cardiovascular and respiratory health effects according to the World Health
632 Organization (Bruce et al., 1987; IPCC, 2007). Here, we present the fuel-specific
633 carcinogenic risk of SPM (CR_{SPM}, unit: per $\mu\text{g m}^{-3}$) to assess health hazard from
634 agricultural straw burning particles and help source-specific air quality control. The
635 cancer risk attributed to inhalation exposures of smoke PM_{2.5} from crop straw i
636 burning was calculated as:

$$637 \quad CR_i = \sum_j f_j \times \text{UnitRisk}_j \quad (6)$$

638 where f_j is mass fraction of individual species j in smoke PM_{2.5}, UnitRisk_j is
639 corresponded unit carcinogenic risk value of species j extracted from database
640 provided by the Integrated Risk Information System (IRIS), California
641 Environmental Protection Agency (CEPA), and related documents (Bruce et al.,
642 1987; Burkart et al., 2013; Tsai et al., 2001; Wu et al., 2009, 2011).

643 CR_i is estimated based on dose addition model of selected hazardous air
644 pollutants (HAPs) including USEPA priority pollutants of PAHs and heavy metals.
645 | And UnitRisk values of the selected HAPs presented in Table ~~S4~~S5 (SI).
646 Synergistic interactions among pollutants are dismissed, albeit possible. The cancer
647 risk of chromium is adjusted by multiplying a factor of 0.2, assuming that only 20%
648 Cr measured is in the toxic hexavalent form (Bell and Hipfner, 1997).

649 Benzo[a]pyrene (BaP) is used as an indicator compound of carcinogenicity, legally
 650 binding threshold of BaP in most countries ranges from 0.7 to 1.3 ng m⁻³,
 651 corresponded carcinogenic risk of BaP is about 1.1×10⁻⁶ per ng m⁻³ (Bruce et al.,
 652 1987; Burkart et al., 2013). Thus, one in million level of carcinogenic potential is
 653 frequently used to identify risks of concern in public health and environmental
 654 decision making, and permissible exposure limits (PEL, unit: µg m⁻³) of crop straw
 655 burning particles can be estimated as:

$$656 \text{ PEL}_i = \frac{10^{-6}}{\text{CR}_i} \quad (7)$$

657 2.3.2 Human exposure and health impacts

658 Robust relationship between surface PM_{2.5} and health effects has been revealed and
 659 confirmed by many studies (Pope et al., 2004; Wong et al., 2008). PM_{2.5}-related
 660 health endpoints are composed of a range of elements from sub-clinical effects to the
 661 onset of diseases and the final death (Davidson et al., 2005). In this study, incidence
 662 of commonly studied endpoints like premature mortality, respiratory and
 663 cardiovascular hospital admissions, and chronic bronchitis from primary emitted
 664 smoke PM_{2.5} short-term exposure were assessed using the Poisson regression model,
 665 shown as below (Guttikunda and Kopakka, 2014):

$$666 \Delta E = \Delta \text{Pop} \times \text{IR} \times \left(1 - \frac{1}{e^{\beta \times \Delta C}}\right) \quad (8)$$

667 where ΔE represents the number of estimated cases of mortality and morbidity, ΔC
 668 is the incremental concentration of particulate matter (PM) or flux concentration;
 669 ΔPop is the population exposed to the incremental particulate concentration of ΔC ;
 670 IR is short for incidence rate of the mortality and morbidity endpoints, and β is the
 671 coefficient of exposure-response function, defined as the change in number case per
 672 unit change in concentration per capita.

673 Concentration-response function and incidence rate of each health endpoint are
 674 important in health impacts evaluation and they have variation for different
 675 population and regions (Yang et al., 2012; Wong et al., 2008). Here, the variance for
 676 sex and ages were neglected. Region-specific exposure-response coefficients for

677 individual mortality were summarized from previous studies, as presented in Table
678 ~~S5-S6~~ (SI). The coefficients for individual respiratory and cardiovascular hospital
679 admission, and chronic bronchitis were cited as 1.2 %, 0.7 %, and 4.4 % (per 10 μg
680 m^{-3} , 95% CI) from Aunan and Pan's work (Aunan and Pan, 2004). This is the case
681 because seldom studies ever confirmed these topics in China. Region-specific
682 mortality and hospitalization IRs were taken from statistical reports authorized by
683 National Health and Family Planning Commission of the People's Republic of China
684 (NHFPC, 2013), and morbidity of chronic bronchitis were defined as 13.8 % based
685 on the forth national health survey, which was released by the Chinese Ministry of
686 Health in 2008 (CMH, 2009).

687 2.3.3 Economic valuation of the health impacts

688 The economic losses of the health impacts associated with smoke $\text{PM}_{2.5}$ exposure in
689 2012 were further evaluated. The amended human capital (AHC) approach was
690 employed to calculate the unit economic cost of premature mortality. The commonly
691 applied AHC method uses per capita GDP to measure the value of a statistical year
692 of life (IBRD and SEPA, 2007) based on Eq. (9). It can be used as a social statement
693 of the value of avoiding premature mortality and estimates human capital (HC) from
694 the perspective of entire society, neglecting individual differences (Hou et al., 2012).

$$695 \text{HC}_k = \frac{\text{GDP}_k}{\text{POP}_k} \times \sum_{i=1}^{\tau} \frac{(1+\alpha)^i}{(1+\gamma)^i} \quad (9)$$

696 GDP_k and POP_k are gross domestic production and population of target region k
697 that were reported in the statistical yearbook in 2012; α and γ are economic
698 parameters referring to national GDP growth rate and social discount rate, which
699 were 7.7 % and 8.0 % in 2012 from National Bureau of Statistics of China (NBSC,
700 2013, data available at <http://www.stats.gov.cn/tjsj/ndsj/>, in Chinese). τ is the
701 life-expectancy lost due to aerosol pollution, and 18 year of life was widely applied
702 (Hou et al., 2012). The annual exchange rate of US dollar to RMB was 6.31 in 2012.
703 One can deduce the HC values of the provinces, municipalities, and autonomous
704 regions in the country, and the calculated regional HC values were listed in Table ~~S6~~

705 | [S7](#) (SI). In this paper, the cost of respiratory, cardiovascular hospital admissions, and
706 chronic bronchitis were 632.2, 1223.4, and 948.6 US\$ per case in 2012, which were
707 derived from the national health statistical reports (NHFPC, 2013).

708 The regional and national health-related economic loss from smoke PM_{2.5}
709 exposure can be calculated based on the excess mortality and morbidity multiplied
710 by the corresponding unit economic values.

711 **3 Result**

712 **3.1 Particulate chemical compositions and emission factors**

713 **3.1.1 Organic carbon and elemental carbon**

714 An overview of particulate chemical compositions for smoke PM_{2.5} and PM_{1.0} is
715 pie-graphically profiled in Fig. 2, and the corresponded emission factors are given in
716 | Table 1-2 (detailed EFs for elements, PAHs, and Phenols in Table [S7-S8](#) and [S8S9](#),
717 SI). From multivariate statistical analysis (P<0.05 at 95% CI), significant differences
718 of chemical compositions and emissions in size range and fuel types can be observed,
719 implying the nonuniform mixing and distribution of particulate pollutants from
720 biomass burning, which is consistent with the conclusion from Lee et al. (2015) and
721 Giordano et al. (2015). EFs of particulate species from this study were compared
722 with that from literature as summarized in Table 3, since EFs in smoke PM_{1.0} were
723 seldom reported, only smoke PM_{2.5} or total particulate matter emissions were
724 collected, which were comparable with the results in this work. EFs of smoke PM_{2.5}
725 and PM_{1.0} were in range of 3.25~15.16 and 3.04~13.20 g kg⁻¹ for the five kinds of
726 crop straws, a high ratio of PM_{1.0}/PM_{2.5} was observed to be over 90 wt.%, which was
727 in line with size distribution analysis of smoke particles given in Fig. S3 (SI). Li et al.
728 (2007) measured the emissions from field burning of crop straws via CMB method,
729 PM_{2.5} EFs for wheat and corn straw were estimated to be 7.6±4.1 and 11.7±1.0 g
730 kg⁻¹ (dry basis, MCE > 0.9), which were higher and presented more uncertainties
731 than our result. As study ever found a positive relationship between particulate EFs

732 and moisture content of agricultural residue (Hayashi et al., 2014), it was reasonable
733 that combustion of the dehydrated crop straw produced less smoke aerosol in this
734 work. Hayashi et al. (2014) measured particulate EFs to be 2.2 and 15.0 g kg⁻¹ for
735 rice and wheat straw of ~10 wt.% moisture content, while corresponded EFs
736 increased to 9.1 and 19.5 g kg⁻¹ when water content of straw was ~20 wt.%, and the
737 linear equations between smoke EFs and straw moisture content were furtherly
738 proposed. However, the simple linearity and its application scope should be doubted,
739 as Hayashi et al. only considered two water content levels (10 wt.% vs 20 wt.%) and
740 disregarded influence of combustion efficiency for the fires. PM_{2.5} EFs given by
741 Dhammapala et al (2006, 2007a, b) were 4.7 ± 0.4 g kg⁻¹ for wheat straw and 12.1 ±
742 1.4 g kg⁻¹ for herbaceous fuel that were burnt using a chamber under flaming phase,
743 and negative response for particulate EFs to combustion efficiency was observed.
744 After all, smoke EFs vary with fires depend on fuel type and moisture, combustion
745 phase, environmental conditions, and some other variables (Reid et al., 2005b).

746 The carbonaceous materials (Organic matter and EC) are dominated in SPM,
747 accounting for about 73.4 wt.% for PM_{2.5} and 71.3 wt.% for PM_{1.0} on average.
748 Organic matter (OM) was converted from OC by multiplying a factor of 1.3 to
749 account for noncarbon materials like oxygen, hydrogen, and other minor species (Li
750 et al., 2007; Li et al., 2015), and Li et al. (2016) ever measured OM/OC ratio as ~1.3
751 for fresh smoke particles via volatility analysis. EFs of EC and OC from this work
752 were consistent with most studies, average OC EFs were 4.21 and 3.58 g kg⁻¹ in
753 smoke PM_{2.5} and PM_{1.0}, and the corresponded EC EFs were 1.09 and 1.01 g kg⁻¹,
754 respectively. These values fell within the ranges (0.9~9.3 g kg⁻¹ for OC and 0.2~1.7
755 g kg⁻¹ for EC) found in other similar sources (Dhammapala et al., 2007; Hayashi et
756 al., 2014; Li et al., 2007; May et al., 2014). Due to the technical limitation and
757 ambiguous artificial boundary, carbon contents of biomass burning particles have
758 vast variability and uncertainty (Lavanchy et al., 1999; Levin et al., 2010). It was
759 ever reported chamber burn study may overestimate EC EFs due to a misassigned
760 OC-EC split for the heavily mass loaded filter samples (Dhammapala et al., 2007b).
761 Moreover, carbon measurement based on TOT method with NIOSH protocol may

762 overestimate OC fraction by sacrificing EC part compared with that of TOR
763 (Thermal-Optical Reflectance) method with IMPROVE program (Han et al., 2016).
764 Mass ratio of OC/EC is a practical parameter to indicate the primary organic aerosol
765 (OA) emission and secondary organic aerosol (SOA) production. The ratio is
766 influenced by burning conditions, source, aging extent, and particle size (Engelhart
767 et al., 2012; Grieshop et al., 2009). Smoke emitted from smoldering fires is
768 OC-dominated while flaming combustion produces more EC, and the discrepancy of
769 OC/EC ratio can be an order of magnitude regarding to different combustion phase
770 (Grieshop et al., 2009). SOA production upon photo-oxidation will enlarge OC/EC
771 ratio, and positive relation between oxidation level of OA loading and OC/EC ratio
772 was reported (Grieshop et al., 2009). Here, OC/EC ratio in primary emissions varied
773 from 2.4 to 6.2 under flaming phase, similar to previous studies (Arora and Jain,
774 2015; Dhammapala et al., 2007a, b; Hayashi et al., 2014; Lewis et al., 2009). The
775 OC/EC ratios were larger in PM_{2.5} with average value of 3.8, while it was 3.6 in
776 PM_{1.0}, indicating more EC resides in PM_{1.0}.

~~777 EC in smoke particle can be furtherly classified as char EC and soot EC based on
778 the distinct different physiochemical properties and formation mechanisms of soot
779 and char (Arora and Jain, 2015; Lin et al., 2011; Reid et al., 2005a; Richter et al.,
780 2000). Both char and soot EC represent the major light absorbing fraction of PM,
781 however, soot EC exhibits much stronger light absorbing character and longer
782 lifetime in the atmosphere than char EC, and merits greater focus in climate research
783 (Arora and Jain, 2015; Han et al., 2010). Char EC can be distinguished as brown
784 carbon, as carbonaceous materials that are optically between the strongly absorbing
785 soot and non-absorbing organics are operationally defined as brown carbon (Yang et
786 al., 2009; Andreae and Gelencsér, 2006; Gustafsson et al., 2009). Char EC is formed
787 from solid residues during relative low temperature combustion, while generation of
788 soot EC takes place under high temperature conditions from recondensation and
789 dihydrogen carbonization of gaseous materials like PAHs (Han et al., 2007, 2009).
790 To our knowledge, seldom study ever reported source specific EFs of char and
791 soot EC for crop straw burnings. Here, particulate char and soot EC EFs in fine~~

792 ~~mode were estimated to be 0.56 ~ 1.76 and 0.05 ~ 0.42 g kg⁻¹, while char and~~
793 ~~soot EC EFs in smoke PM_{1.0} were 0.51 ~ 1.67 and 0.06 ~ 0.41 g kg⁻¹, respectively.~~
794 ~~Mass ratio of char EC/soot EC is a more effective indicator for source identification~~
795 ~~and apportionment than OC/EC, as atmospheric process can hardly change the mass~~
796 ~~loading and dissociation of inert EC fractions (Han et al., 2007, 2009, 2010). The~~
797 ~~ratios of char EC/soot EC are also fuel types and PM size dependent. Generally,~~
798 ~~char EC/soot EC is also controlled by combustion mode or even moisture content of~~
799 ~~biomass fuel, and biomass burning by smoldering at low temperatures results in high~~
800 ~~char EC/soot EC. Chuang et al. (2013) reported char EC/soot EC in smoke PM_{2.5}~~
801 ~~was 9.4 ± 3.8 for biomass burning (BB), and Cao et al. (2005) proposed the ratio to~~
802 ~~be 11.6 for BB sources. These values were larger than the present study, as we~~
803 ~~estimated char EC/soot EC in PM_{2.5} to be 7.28 ± 1.98 on average. It can be~~
804 ~~explained by different techniques for EC measurement, char EC and soot EC were~~
805 ~~mostly measured using TOR IMPROVE method, while TOT NIOSH method used in~~
806 ~~this study will overestimate PC fraction in OC EC split, resulting in less char EC~~
807 ~~fraction (EC1-PC) and lower char EC/soot EC ratio. Nonetheless, the results were~~
808 ~~still comparable for the two methods (Han et al., 2016).~~

809 ~~The char EC/soot EC ratio was 6.29 in PM_{1.0}, which was smaller than that in~~
810 ~~smoke PM_{2.5}, the result indicates that SPM comprises a considerable amount of~~
811 ~~char EC and char particle has a larger size than soot, in consistent with the~~
812 ~~conclusion that soot particles are mainly tens of nanometers in size and cluster~~
813 ~~together into loose aggregates of hundred nanometers, while char particles were~~
814 ~~reported to be larger with diameter in the range of 1~100 μm (Arora and Jain, 2015;~~
815 ~~China et al., 2014; Lin et al., 2011; Wornat et al., 2007). Besides, correlation among~~
816 ~~the multi pollutants was analyzed by relevance matrix as shown in Table S9 (SI), the~~
817 ~~strong positive linear relationship (R²>0.99, p<0.05 at 95% CI) between EC and~~
818 ~~char EC also confirms the reliable source of biomass burning to produce char EC~~
819 ~~(Lin et al., 2011; Arora and Jain, 2015).~~

820 3.1.2 Water soluble organic acids

821 Smoke particles comprise a considerable amount of water soluble organic acids
822 (WSOA), it was 3.35 wt.% in PM_{2.5} and 3.17 wt.% in PM_{1.0} on average, which was
823 in line with previous work that organic acids measured represent less than 5 wt.% of
824 the total smoke aerosol mass load and favor to partition in larger size (Falkovich et
825 al., 2005; Gao et al., 2003). Acetic acid followed by methysulfonic acid contributed
826 the most of the measured low molecule weight acids. Oxalic acid is the dominated
827 dicarboxylic acids measured in the ambient environment and biomass burning
828 aerosol (Falkovich et al., 2005; Kundu et al., 2010), and oxalic acid EF was
829 measured to be 2.2 ~ 4.8 and 1.6 ~ 3.6 mg kg⁻¹ for smoke PM_{2.5} and PM_{1.0} in present
830 work. The sums of WSOA EFs ranged from 46.7 to 770.0 mg kg⁻¹. Correlation
831 among the multi-pollutants was analyzed by relevance matrix as shown in Table S10
832 (SI), the strong positive linear relationship ($R^2 > 0.99$, $p < 0.05$ at 95% CI) between
833 WSOA and and they were highly correlated with emissions of OC and PM ~~from~~
834 Table S9 (SI) was observed. Study has confirmed organic acids contribute a
835 significant fraction of both oxygenated volatile organic compounds (OVOCs) in
836 gaseous phase and SOA in particulate phase, the direct emission of particulate
837 organic acids from biomass burning also represents a significant source of precursors
838 for SOA formation, as the low molecular organic acids will evaporate into gas phase
839 or involve in the heterogeneous reaction directly (Takegawa et al., 2007; Veres et al.,
840 2010; Yokelson et al., 2007; Carlton et al., 2006). Moreover, as the significant
841 fraction of water soluble organic carbon, organic acids play major response to CCN
842 activity of smoke particles, and organic acids coating or mixing can amplify
843 hygroscopic growth of inorganic salts by decreasing the deliquescence RH, enable
844 the particle to be CCN at relative low degree of supersaturation (Falkovich et al.,
845 2005; Ghorai et al., 2014). In the ambient environment, organic acids can enhance
846 atmospheric new particle formation by impairing nucleation barrier (Zhang et al.,
847 2004), besides, particulate organic acids can also mobilize the solubility of mineral
848 species, like iron, altering the chemical process of particles (Cwiertny et al., 2008).

849 And prominent optical properties of organic acids like humic/fulvic substance make
850 them as potential contributors to the global warming (Yang et al., 2009; Andreae and
851 Gelencsér, 2006).

852 **3.1.3 Water soluble aminiums**

853 Interest has been focused on the vital role of amines in particle nucleation-growth
854 process and acidity regulating due to their strong base (Tao et al., 2016; Bzdek et al.,
855 2010, 2011). Though ultratrace gaseous amines and particulate aminiums were on
856 the order of pptv or ng m^{-3} , aminium salts exhibit potential climatic and health effect
857 due to their significant different properties in hygroscopicity, optics, and also
858 toxicology (Qiu and Zhang, 2012; Qiu et al., 2011; Samy and Hays, 2013; Zheng et
859 al., 2015; Ho et al., 2015; Tao et al., 2016). It ever proposed that biomass burning is
860 an important source for gaseous amines, especially from smoldering burning, and
861 alkyl amides can be served as biomarkers in particular (Ge et al., 2011; Ho et al.,
862 2015; Lee and Wexler, 2013; Lobert et al., 1990; Simoneit et al., 2003). However,
863 seldom study ever quantitatively explored the particulate water soluble amine salts
864 (WSA) in primary smoke emissions (Schade and Crutzen, 1995; Ge et al., 2011).
865 From this study, WSA contributed about 4.81 wt.% of smoke $\text{PM}_{2.5}$ and 4.69 wt.%
866 of $\text{PM}_{1.0}$, implicating aminium favored to be abundant in fine-mode of smoke
867 particles, especially in $\text{PM}_{2.5-1.0}$. DEAH^+ , TMAH^+ , TEOH^+ and DMAH^+ made up
868 over 80 wt. % of the measured WSA. Fuel-dependence of WSA distribution and
869 emissions were evident. EFs of WSA ranged from 4.5 to 104.8 mg kg^{-1} in smoke
870 $\text{PM}_{2.5}$, the least was from burning of soybean straw and the largest from cotton and
871 rice straws. We used mass ratio of WSA to NH_4^+ to denote the enrichment of
872 aminium in particulate phase. Statistical analysis showed WSA/NH_4^+ was $0.16 \pm$
873 0.03 and 0.18 ± 0.06 in smoke $\text{PM}_{1.0}$ and $\text{PM}_{2.5}$, respectively, which were almost one
874 order of magnitude larger than that in the ambient aerosol (Liu and Bei, 2016; Tao et
875 al., 2016). Tao et al. (2016) ever measured the ratio as a function of particle size
876 during NPF days in Shanghai, and a noticeable enrichment of aminiums for ultrafine

877 particles (<56 nm) was observed with WSA/NH₄⁺ over 0.2, highlighting the
878 competitive role for amines to ammonia in particle nucleation and initial growth of
879 the nuclei, the ratio was then decreased with the increasing particle size, and the final
880 increasing trend was found after ~ 1.0 μm, and average WSA/NH₄⁺ for ambient bulk
881 PM_{1.0} and PM_{2.5} were 3.2% and 3.5% , respectively.

882 **3.1.4 PAHs and Phenols**

883 Atmospheric PAHs are primarily the byproduct of incomplete combustion of
884 biomass and fossil fuels (Simcik et al., 1999; Galarneau, 2008). Due to their high
885 degree of bioaccumulation and carcinogenic or mutagenic effect, the sources and
886 environmental fate of the ubiquitous PAHs have been the subjects of extensive
887 studies (Santodonato, 1997; Kim et al., 2013). PAHs can involve in photochemical
888 reaction to form SOA, the process is influenced by gas-to-particle partition and
889 meteorological conditions. Moreover, oxidation may increase the toxicity of PAHs
890 (Arey and Atkinson, 2003; Wang et al., 2011). Biomass burning is one of the main
891 sources of gaseous and particulate PAHs, which even contributes to about half of
892 anthropogenic PAHs emissions in China (Xu et al., 2006; Zhang et al., 2011).
893 Burning conditions can significantly influence the emission of PAHs, under the
894 flaming phase in this study, PAHs contributed 0.46 wt.% of smoke PM_{2.5} and 0.28
895 wt.% of PM_{1.0}, over 60% of the total PAHs were associated to respiratory submicron
896 particles. The sum of EFs of 16 PAHs in smoke PM_{2.5} ranged from 1.81 to 8.30 mg
897 kg⁻¹, which were consistent with the values from literature (Dhammapala et al.,
898 2007a, b; Lee et al., 2005; Zhang et al., 2011). Hays et al. (2005) estimated total EFs
899 of 16 PAHs to be 3.3 mg Kg⁻¹ in wheat straw burning PM_{2.5}. Korenaga et al. (2001)
900 measured PAHs EFs from rice straw burning to be 1.9 mg Kg⁻¹ in particulate phase,
901 while the value from Jenkins et al. (1996) was 16 mg Kg⁻¹. Dhammapala et al.
902 (2007b) found negative linear response for biomass burning source PAHs emissions
903 to burning efficiency, and under flaming combustion, particulate total 16 PAHs EFs
904 were 2 ~ 4 mg Kg⁻¹. Zhang et al. (2011) simulated burning of rice, corn, and wheat

905 straws, the corresponded PAHs EFs were measured as 1.6, 0.9, and 0.7 mg Kg⁻¹ in
906 fine smoke particles, respectively. Great uncertainties for PAHs EFs were evident
907 that relied on burning phase, fuel types, moisture content, and also measurement
908 techniques. Dhammapala et al. (2007a) also found laboratory simulation might
909 overestimate the emission factors of PAHs compared with field burnings. EFs for
910 individual PAHs were included in Table [S7-S8](#) and [S8-S9](#) (SI). The distribution of
911 particulate PAHs emission factors was presented in Fig. 3a. Of the particle bound
912 PAHs, 3~4-rings components were the primary ones, including Pyr, Ant, Ace, Flu,
913 Phe, and Chr. Concentration ratios of selected PAHs, namely diagnostic ratios, were
914 usually used to trace the source and make apportionment of specific pollutions
915 (Yunker et al., 2002; Simcik et al., 1999). In this work, average Ant/(Ant+Phe),
916 Flu/(Flu+Pyr), BaA/(BaA+Chr), and IP/(IP+BghiP) ratios of 5 types agricultural
917 residue burning smokes were 0.72, 0.36, 0.47, and 0.58, respectively. There was no
918 significant difference (P<0.05 at 95% CI) of the ratios in PM_{1.0} and PM_{2.5}. According
919 to previous work, Ant/(Ant+Phe) above 0.1 and BaA/(BaA+Chr) above 0.35 indicate
920 the dominance of combustion and pyrolytic sources, Flu/(Flu+Pyr) and IP/(IP+BghiP)
921 ratios greater than 0.50 suggest coal or biomass burnings dominate (Simcik et al.,
922 1999; Yunker et al., 2002). However, validation of source apportionment using
923 specific diagnostic ratios should have its constraints, because of variations in source
924 strengths and atmospheric processing of PAHs (Arey and Atkinson, 2003; Galarneau,
925 2008).

926 From Table [S9-S10](#) (SI), PAHs in smoke particles were highly correlated with EC
927 and OC contents. PAHs primarily originate from pyrolysis of organic materials
928 during combustion, and formation mechanisms of PAHs and soot are closely
929 intertwined in flames. High-molecular-weight PAHs (>500 atomic mass unit) act as
930 precursors of soot particles (Lima et al., 2005; Richter et al., 2000). Thus, PAHs with
931 3, 4, and 5 rings accumulate and dominate in the emissions of biomass burning, as
932 larger molecular weight PAHs tend to incorporate into soot particles. PAHs
933 expulsion-accumulation in OC and EC fractions were analyzed by linear fitting of
934 PAHs mass fractions and EC mass fractions in carbonaceous materials (EC+OC) in

935 Fig. 3b. The partitions can be parameterized as Eq. (10):

936
$$f_{\text{PAHs}} = \frac{m_{\text{PAHs}}}{m_{\text{OC}} + m_{\text{EC}}} = \beta_{\text{EC}} \times \frac{m_{\text{EC}}}{m_{\text{OC}} + m_{\text{EC}}} + \beta_{\text{OC}} \times \frac{m_{\text{OC}}}{m_{\text{OC}} + m_{\text{EC}}} = \beta_{\text{EC}} \times f_{\text{EC}} + \beta_{\text{OC}} \times f_{\text{OC}} \quad (10)$$

937 where f_{EC} and f_{OC} are the mass fraction of OC and EC in carbonaceous
938 materials (EC+OC). β_{EC} and β_{OC} are expulsion-accumulation coefficients of PAHs in
939 OC and BC. The coefficient of β_{EC} is 1.1×10^{-3} in smoke $\text{PM}_{1.0}$ and 1.9×10^{-3} in $\text{PM}_{2.5}$,
940 the corresponded β_{OC} is 0.3×10^{-3} and 0.5×10^{-3} .

941 Phenols are the most common SOA precursor/product and organic pollutants in
942 the atmosphere (Berndt and Böge, 2006; Schauer et al., 2001). Hydroxyl functional
943 group and aromatic benzene ring make phenols a paradigm in heterogeneous
944 reaction upon photo oxidation research and aqueous phase reaction research. Phenols
945 are also ROS (reactive oxidized species) precursors that present health hazard (Bruce
946 et al., 1987). Phenol and substituted phenols are thermal products of lignin pyrolysis
947 during biomass burning (Dhammapala et al., 2007a), and the most abundant
948 methoxyphenols can be markers of biomass burning sources (Urban et al., 2016).
949 The five measured phenols contributed 3.0 wt.% and 2.5 wt.% of $\text{PM}_{2.5}$ and $\text{PM}_{1.0}$.
950 2, 6-dimethoxyphenol was the major one of the measured phenols. Mass fraction of
951 phenols was about 7~9 times of PAHs in smoke aerosols. EFs for the sum phenols
952 were 9.7 ~ 41.5 and 7.7 and 23.5 mg Kg^{-1} for smoke $\text{PM}_{2.5}$ and $\text{PM}_{1.0}$, respectively.
953 Dhammapala et al. (2007a) estimated particulate methoxyphenols emissions to be 35
954 $\pm 24 \text{ mg Kg}^{-1}$ for wheat straw burning, while Hays et al. (2005) measured the same
955 compounds to be 6.8 mg Kg^{-1} . Carbonaceous materials like PAHs and Phenols or
956 aromatic and phenolic deviates are the main chromophores in the atmosphere, and
957 the considerable fractions of PAHs and Phenols justify biomass burning as a
958 significant source of brown carbon (Laskin et al., 2015), study has proved ~ 50% of
959 the light absorption in the solvent-extractable fraction of smoke aerosol can be
960 attributed to these strong BrC chromophores (Lin et al., 2016).

961 3.1.5 Inorganic components

962 From Fig. 2, smoke particles consisted of approximately 24 wt.% water soluble

963 inorganics (WSI), and the inorganic salts resided more in submicron particles. Great
964 amount of inorganics enable smoke particles to be efficient CCN, and the distinct
965 optical scattering characters of the inorganic fractions may neutralize the warming
966 effect of brown carbon for smoke aerosol, otherwise, inorganics coating or mixing
967 will enhance light absorbing of BC. K^+ , NH_4^+ , Cl^- , and SO_4^{2-} were the principle
968 inorganic ions. Particulate enriched K^+ together with levoglucosan are treated as tracer
969 of pyrogenic source (Andreae et al., 1998). And specific mass ratio of K^+/OC or
970 K^+/EC will help make source apportionment of particulate pollutants with PMF
971 (Positive Matrix Factorization) and PFA (Principle Balance Analysis) models (Lee et
972 al., 2015). K^+/OC in smoke particles ranged from 0.11 to 0.25 with average value of
973 0.17 in $PM_{1.0}$ and 0.14 in $PM_{2.5}$, which were similar to those reported for the
974 Savannah burning and agricultural waste burning emissions in India and China
975 (Echalar et al., 1995; Ram and Sarin, 2011; Li et al., 2015). However, OC represents
976 large uncertainty arise from degree of oxidization and burning condition, K^+/EC is
977 more practical parameter to distinguish the pyrogenic pollutants in ambient study. To
978 smoke particle emitted from flaming fires, K^+/EC was 0.58 ± 0.24 in $PM_{1.0}$ and 0.53
979 ± 0.18 in $PM_{2.5}$. Cl^- was the main anion to balance the charge of WSI in smoke
980 particles. Mean charge ratio of $Cl^- : K^+$ was 1.46 and 1.49 in $PM_{1.0}$ and $PM_{2.5}$,
981 implicating surplus chloride will associate with other cations. With atmospheric
982 aging, the Cl^-/K^+ ratio will decrease as chloride being replaced by secondary sulfate
983 and nitrate (Li et al., 2015; Li et al., 2003). Equivalent charge ratio of primary
984 cations ($NH_4^+ + K^+$) to primary anions ($SO_4^{2-} + Cl^-$) was 1.05 in $PM_{1.0}$ and 1.01 in
985 $PM_{2.5}$ on average, and charge ratios of total cations to anions ($R_{C/A}$) was 1.09 and
986 1.07 in $PM_{1.0}$ and $PM_{2.5}$. $R_{C/A}$ was used to indicate the neutralizing level of
987 particulate matters in many studies. $R_{C/A} \geq 1$ indicates most of the acids can be
988 neutralized, while $R_{C/A} < 1$ means atmospheric ammonia is deficient and the aerosol is
989 acidic (Adams et al., 1999; He et al., 2011a; Kong et al., 2014). In ambient
990 environment, acidic aerosol was prevailing urban pollutants in many cities from field
991 investigation (He et al., 2011a; Kong et al., 2014). Acidic aerosols can increase the
992 risks to human health and affect the atmospheric chemistry by activating hazardous

993 materials and promoting the solubility of particulate iron and phosphorus (Amdur
994 and Chen, 1989; Meskhidze, 2005). The emission and transport of biomass burning
995 particles may neutralize the acidity of ambient particles. However, only limited WSI
996 were brought into in the analytical system, it is not really to tell the acidity or base of
997 smoke particles, considering the existence of massive organic acids and ammoniums.

998 Trace mineral elements attracted great attention for the role as catalyst in
999 atmospheric heterogeneous reaction and health cares (Davidson et al., 2005;
1000 Dentener et al., 1996). Wet/dry deposition of particles during long range transport
1001 will affect the ecological balance by releasing mineral elements (Jickells et al., 2005).
1002 Dust storm, weathering, and industrial process are the main sources of particulate
1003 metals, and incineration can also produce a lot of mineral elements (Moreno et al.,
1004 2013). However, the emissions of trace metals from biomass burning are highly
1005 uncertain (Li et al., 2007; Zhang et al., 2012), the great influence from local soil
1006 environment and soil heavy metal pollution will certainly affect the metal content in
1007 biomass fuel and smoke particle. In this study, THM resided more in PM_{2.5} than in
1008 PM_{1.0}. Smoke PM_{2.5} consisted of 6.7 wt.% THM on average, PM_{1.0} comprised 4.1
1009 wt.% THM. Average EFs of THM in PM_{2.5} and PM_{1.0} were 0.056 g kg⁻¹ and 0.028 g
1010 kg⁻¹ in this work, of which Al contributed over 90 wt.%, in line with result from
1011 domestic burning of wood and field investigation of crop straw burning (Li et al.,
1012 2007; Zhang et al., 2012). Smoke particles from wheat, rice, and corn straws
1013 contained more mineral elements than that from cotton and soybean residues
1014 combustion. Regardless the difference in biomass fuels, the result may imply that
1015 soil heavy metal pollution is heavier in the East China than that in Xinjiang in the
1016 West North of China (Wei and Yang, 2010).

1017 **3.2 Size, morphology, and mixing state of smoke particles**

1018 Fresh smoke particles exhibited unimodal size distribution within 500 nm (Fig. S3,
1019 SI), and previous chamber study has also confirmed that agricultural fire produces
1020 large amount of ultrafine particles, implying the great potential role to act as CCN

1021 and more profound threat to human health (Araujo et al., 2008; Delfino et al., 2005;
1022 Zhang et al., 2011). However, the role of particles in the atmospheric process and
1023 health hazard depends not only size, but also morphology and chemical mixing
1024 states (Dusek et al., 2006; Kennedy, 2007; Mikhailov et al., 2006; Schlesinger, 1985).
1025 From TEM images in Fig. 4, agricultural straw burning aerosols comprised a broad
1026 class of morphological and chemically heterogeneous particles. Non-uniformly
1027 internal mixing of the agglomerates was noticeable, including the major
1028 carbonaceous particles and a considerable amount of inorganic salt particles, which
1029 was consistent with previous particulate chemical analysis. KCl particles containing
1030 minor sulfate or nitrate were the primary inorganic particles, which presented crystal
1031 or amorphous state from X-ray diffraction analysis (Fig. 4 a, b, c). And
1032 potassium-bearing particles have been used as a tracer of ambient biomass burning
1033 pollutants. Fly ash particles were arresting due to visible morphology difference and
1034 mineral chemical composition (Fig. 4 d, e, f). Fly ash particles were more compact
1035 and rich in mineral elements like Ca, Si, Fe, Al, Mn, and Cr. Besides, these particles
1036 had larger size, statistical average diameter of fly ash particles obtained from bulk
1037 analysis was $2.2 \pm 1.6 \mu\text{m}$. The result also proved heavy metals resided more in
1038 $\text{PM}_{2.5}$ than $\text{PM}_{1.0}$. Fly ashes are by products of incineration process (Buha et al.,
1039 2014), including coagulation of fuel issue debris, condensation of evaporated
1040 mineral metal from biomass fuels or adhered dirt at different burning phase. These
1041 fly ashes coated by or agglomerated with carbonaceous materials were like mash of
1042 mineral without clear lattice. Tar ball as a specific form of brown carbon and soot
1043 were representative particles of biomass burning aerosol (Wilson et al., 2013;
1044 Chakrabarty et al., 2010; Tách et al., 2013). From Fig. 4 g, chain-like soot particles
1045 were coagulated with tar ball. Soot particles were agglomerates of small roughly
1046 spherical elementary carbonaceous particles, these chemical consistent particles were
1047 within 20~30 nm, and high-resolution TEM showed the soot spheres consisted of
1048 concentrically wrapped graphitic layers, while monomeric tar balls possessed
1049 disordered microstructure. Tar balls and soot corresponded to different stages in the
1050 aging of organic particles; tar balls abundant in fresh or slightly aged biomass smoke

1051 are formed by gas-to-particle conversion of high-molecular weight organic species
1052 or from aged primary tar droplets upon biomass burning. Soot represents further
1053 aged carbon-bearing particles, formed from the pyrolysis of lignin, cellulose, or tar
1054 balls (Pósfai, 2004; Tóth et al., 2013). The botryoid aggregates in Fig. 4 g can be
1055 viewed as transformation of tar ball to soot. Tar ball and soot were also internal
1056 mixed with inorganic salt including sulfate and nitrate (Fig. 4 g, h, i), which made
1057 the physiochemical properties of BC even complicated, as study has confirmed
1058 inorganic sulfate mixing will enhance light absorption and hygroscopicity of BC
1059 (Zhang et al., 2008b). Dark-ring like shell of tar ball (Fig. 4 g, h) and spot-like
1060 particles adhered to the surface of tar ball (Fig. 4 i) were K-rich materials. And size
1061 of soot particles was mainly within 200 nm, while tar ball and other carbonaceous
1062 particles can be over one micrometer.

1063 **3.3 Open burning emissions**

1064 **3.3.1 Crop straw production**

1065 The agricultural straw productions were calculated and geographically displayed in
1066 Fig. 5 a-c. Totally 647.3 Tg agricultural straws were produced in 2012 and dispersed
1067 mainly in the North and Northeast of China. The distributions of the straws clearly
1068 correspond to the distinct planting regions that are divided by Qinling
1069 Mountain-Huaihe River line and the Yangtze River. Rice is primarily planted in the
1070 south of Qinling Mountain-Huaihe River line, only 10 % rice (single cropping rice
1071 dominate) is planted in Heilongjiang, Jilin, and Liaoning province, while wheat and
1072 corn are grew mostly in the north of the Yangtze River. Over 90 % of the wheat
1073 planted in China is winter wheat that gets ripe in summer, and more than 80 % rice
1074 including middle and late rice grows mature in autumn. Summer harvest contributed
1075 about 25 % of the agricultural straw production, which solely consists of rice and
1076 wheat straws in this period and distributes uniformly in the central and east of China.
1077 493.9 Tg crop straws were produced mainly from corn and rice harvesting in autumn.
1078 Soybean and cotton straws account for about 8.6 % of autumn straw production that

1079 were primarily produced in Heilongjiang and Xinjiang province.

1080 **3.3.2 Open burning rate**

1081 The five scenarios of field burning rates and regional AIP ($\frac{I_{k,y}}{AI_{k,y}}$) in the year of
1082 2000, 2006, and 2012 were listed in Table 4 and statistically analyzed in Fig. 6. A
1083 significant difference ($P < 0.05$ at 95% CI) of regional burning rates among the
1084 versions was observed, and the rates from NDRC report were generally higher. For
1085 convenience, six zones were classified by geographic divisions and economic areas
1086 in China, including the North Plain of China (NPC: Anhui, Shandong, Hebei, Shanxi,
1087 Tianjin, Beijing), the Central of China (CC: Hunan, Henan, Hubei), the Yangtze
1088 River Delta (YRD: Zhejiang, Jiangsu, Shanghai), the Northeast of China (NC:
1089 Heilongjiang, Liaoning, Jilin), the Pan-Pearl River Delta (PRD: Hainan, Guangdong,
1090 Fujian, Guangxi, Guizhou, Sichuan, Yunnan, Jiangxi), the West of China (WC:
1091 Shanxi, Chongqing, Xinjiang, Qinghai, Ningxia, Tibet, Inner Mongolia, Gansu).
1092 And the bulk-weighted burning rates that averaged from BAU, EM, and NDRC
1093 versions for the six zones were $22.3 \% \pm 3.1 \%$, $21.1 \% \pm 3.3 \%$, $28.4 \% \pm 6.2 \%$,
1094 $23.3 \% \pm 9.2 \%$, $21.4 \% \pm 6.5 \%$, and $14.2 \% \pm 8.0 \%$, respectively. It was obvious
1095 that agricultural field burning was most serious in the Yangtze River Delta,
1096 especially in the Zhejiang province. The nationwide field burning rate was 21.4 %,
1097 16.3 %, 26.0 %, 14.9 %, and 26.8 % for BAU-I, BAU-II, EM-I, EM-II, and NDRC,
1098 respectively, which were comparable with the document values (Daize, 2000; Wei et
1099 al., 2004; Zhang et al., 2008a).

1100 **3.3.3 Agricultural open burning emissions**

1101 $PM_{2.5}$ emissions from agricultural field burnings based on BAU, EM, and NDRC
1102 versions were calculated and geographically presented in Fig. 7 (emissions of
1103 detailed individual species in SI). A similar spatial character of regional emission
1104 distribution was observed for BAU, EM, and NDRC versions, most emissions were
1105 allocated in the North Plain and the Central of China, where the primary agricultural

1106 regions locate, echoing the agricultural fire sites in Fig. S2 (SI). Although filed
1107 burning rates were higher in the Yangtze River Delta, the crop residue productions in
1108 this zone were much less, which only contributed 4.3 % of the national straw
1109 productions. Take NDRC as the basis, BAU and EM scenarios all underestimated the
1110 emissions in the Northeast of China, especially in Heilongjiang.

1111 The temporal distributions of field burning emissions also echoed the crop residue
1112 productions and the agricultural fire sites in summer and autumn harvest. Apart from
1113 Henan and Tibet where the main crop straws were produced in summertime, more
1114 pollutants were emitted in autumn harvest period to the rest place, which has been
1115 confirmed by many studies (He et al., 2011; Wang and Zhang, 2008). And the large
1116 scale filed burning emissions in autumn exhibited great influence on the haze
1117 formation and visibility degradation in the North and East of China (Leng et al.,
1118 2014; Shi et al., 2014), Huang et al. (2012a) has identified biomass burning together
1119 with secondary inorganic aerosol (SIA) and dust pollution as three typical haze types
1120 in Shanghai. In summertime, filed burning emissions concentrated in the North Plain,
1121 the Central, and the South regions. While in autumn, the emissions became more
1122 ubiquitous and serious in the Northeast of China.

1123 Nationwide emission inventories and flux concentrations were graphically
1124 displayed in Fig. 8 and tabular presented in Table 5. The total PM_{2.5} emission from
1125 agricultural field burnings was 738.36-1241.69 Gg in 2012, and rice, corn, and
1126 wheat straw burnings made up 93.5 % ~ 95.6 % of the total emissions. The largest
1127 quantities of PM_{2.5} emissions were emitted from Heilongjiang, Shandong, Henan,
1128 Jilin, Jiangsu, Anhui and Hebei, distinct difference in the emissions from various
1129 scenarios were observed, especially for Heilongjiang province which contributed
1130 5.5 % (55.4 Gg) of PM_{2.5} emissions under BAU-II scenarios, while the figure was
1131 22.9 % (231.0 Gg) under EM-I scenarios. Annual emissions of PM_{1.0}, OC, and EC
1132 was 661.81-1111.90, 318.84-533.19, and 98.06-164.97 Gg, respectively, which were
1133 comparable with the precious studies (Cao et al., 2006, 2011; Wang et al., 2012). Qin
1134 and Xie (2011, 2012) developed national carbonaceous aerosol emission inventories
1135 from biomass open burning for multi-years with dynamic burning activity, they

1136 believed BC and OC emissions followed an exponential growth from 14.03 and
1137 57.37 Gg in 1990 to 116.58 and 476.77 Gg in 2009. Cao et al. (2006, 2011)
1138 calculated smoke aerosol emissions from biomass burning in China for 2000 and
1139 2007 using the same activity data from BAU-I scenarios, national OC and EC
1140 emissions were reported to be 425.9 and 103.0 Gg in 2000, however, no evident
1141 changes were found for the emissions in 2007, which were assessed to be 433.0 and
1142 104.0 Gg. Huang et al. (2012b) estimated crop burning in the fields with unified EFs
1143 and burning rate (~6.6 %) for all kinds of crops across China in 2006, the estimated
1144 annual agricultural fire emissions were about 270, 100, and 30 Gg for PM_{2.5}, OC,
1145 and BC, respectively. In present work, agricultural fire PM_{2.5} emissions in 2012 were
1146 allocated into six zones, average contribution in percentage for each zone was
1147 compared: NPC (23.1 %) ≥ NC (21.6 %) > PRD (18.4 %) ≥ CC (18.2 %) > WC
1148 (9.8 %) > YRD (8.8 %). Furtherly, contribution for summertime emissions was: NPC
1149 (35.5 %) > CC (28.8 %) ≥ PRD (21.1 %) > YRD (9.1 %) > WC (5.4 %) > NC
1150 (0.1 %), and for autumn harvest emissions: NC (27.8 %) > NPC (19.6 %) > PRD
1151 (17.6 %) > CC (15.1 %) > WC (11.1 %) > YRD (8.8 %). It was obviously that the
1152 North Plain experienced extensive crop fire emissions during the whole harvest
1153 periods, where PM_{2.5}, PM_{1.0}, OC, and BC emissions in 2012 were 233.6, 209.8,
1154 102.3, and 29.4 Gg on average. Liu et al. (2015) developed emission inventories
1155 from agricultural fires in the North Plain based on MODIS fire radiative power,
1156 emission for PM_{2.5}, OC, and BC in 2012 was reported to be 102.3, 37.4, and 13.0 Gg,
1157 respectively. However, EFs were also treated as unified values (e.g., Crop burning
1158 EFs for PM_{2.5}, OC, and BC was 6.3, 2.3, and 0.8 g Kg⁻¹) in the work of Liu et al.
1159 (2015) that was cited directly from Akagi et al. (2011) without considering fuel type
1160 dependence of EFs. Zhao et al. (2012) established comprehensive anthropogenic
1161 emission inventories for Huabei Region including the North Plain, Inner Mongolia,
1162 and Liaoning province, all crop straws were assumed to be burnt in the field,
1163 resulting in much more emissions of 446 Gg OC and 160 Gg BC in 2003. A specific
1164 temporal pattern for agricultural fire emissions was observed in the Northeast of
1165 China (Heilongjiang, Liaoning, and Jilin), where the open burning were mainly

1166 occurred in autumn harvest to produce great amount of pollutants (217.5 Gg PM_{2.5},
1167 89.4 Gg OC, and 29.7 Gg EC), while emissions in the summertime can be neglected.

1168 In 2012, 20-25 % of national emissions were released from summertime field
1169 burnings, that was 226.0 Gg PM_{2.5}, 205.2 Gg PM_{1.0}, 105.9 Gg OC, 28.4 Gg EC, ~~24.6~~
1170 ~~Gg char-EC, 3.8 Gg soot-EC,~~ 6.8 Gg WSOA, 1.0 Gg WSA, 0.1 Gg PAHs, 0.9 Gg
1171 phenols, and 2.1 Gg THM on average. The corresponded values for autumn harvest
1172 were 781.6, 697.9, 327.3, 106.0, ~~88.8, 17.2,~~ 18.4, 4.8, 0.4, 1.9, and 6.6 Gg,
1173 respectively. Integrated smoke OC/EC was 3.7 from national summertime emission
1174 and 3.1 from autumn harvest emission, ~~the figure for smoke char-EC/soot-EC was~~
1175 ~~6.5 and 5.2,~~ regarding to different locations, integrated OC/EC in the North Plain
1176 was 4.1 in summertime emission and 3.2 in autumn harvest, while OC/EC in the
1177 Central of China was 3.1 for both summer and autumn harvest emissions, implying
1178 temporal-spatial characters of agricultural field fires exhibit potential influence on
1179 composition of smoke emissions and its related physiochemical properties. Zhang et
1180 al. (2011) estimated particulate PAHs emissions form three types of crop residues to
1181 be 0.46 Gg in 2003. Xu et al. (2006) counted PAHs from all straws with the
1182 assumption that burning rates to be unit, and they calculated 5-10 Gg PAHs
1183 emissions in 2003, which was ten times of our result.

1184 The nationwide flux concentration of smoke PM_{2.5} was 0.7-1.0 μg m⁻³ d⁻¹ in
1185 summer harvest and 1.4-3.5 μg m⁻³ d⁻¹ in autumn harvest, while average annual flux
1186 concentrations for OC and EC were 0.80 and 0.25 μg m⁻³ d⁻¹. Saikawa et al. (2009)
1187 assessed the annual concentrations of OC and BC from biomass burning primary
1188 emission in China using global models of chemical transport (MOZART-2) to be 1.8
1189 and 0.35 μg m⁻³. The most polluted areas were Anhui, Henan, Shandong, Jiangsu,
1190 Liaoning, and Hunan.

1191 3.3.4 Uncertainties of the emissions

1192 The fuzziness and uncertainties of major pollutants emissions from fuel combustion
1193 in China came from the uncertainties in specific-source emission factors and

1194 effective consumption of bio- or fossil fuel. Frey et al. analyzed uncertainties in
1195 emission factors and emissions of air toxic pollutants and technology dependent
1196 coal-fire power plants via bootstrap simulation method (Frey and Zhao, 2004; Frey
1197 and Zheng, 2002). Zhao et al. estimated uncertainties in national anthropogenic
1198 pollutants emissions based on Monte Carlo simulation, and they believed activity
1199 rates (e.g. fuel consumption) are not the main source of emissions uncertainties at the
1200 national level (Zhao et al., 2011; Zhao et al., 2012). The uncertainties in emission
1201 inventory can also be estimated by comparing different emission inventories for the
1202 same region and period (Ma and Van Aardenne, 2004).

1203 In this study, we investigated the uncertainties of multi-pollutants emissions for
1204 agricultural residue open burning using Monte Carlo Simulation. Detailed
1205 methodology was referred to Qin and Xie (2011). We followed the assumption: a
1206 normal distribution with coefficient of variation (CV) of 30% for the official
1207 statistics (e.g., crop production and GDP economic data obtained from Statistic
1208 Yearbooks, field burning rates for agricultural straw derived from NDRC report,
1209 etc.), a normal distribution with 50% CV for open burning rates from literature
1210 (BAU-I and BAU-II), and a uniform distribution with $\pm 30\%$ deviation for the rest
1211 activity data (crop-to-residue ratio, dry matter fraction, and burning efficiency).
1212 Regarding the emission factors, Bond et al. (2004) assumed that most particulate
1213 EFs followed lognormal distributions with CV of $\pm 50\%$ for domestic EFs, and of \pm
1214 150% for EFs obtained from foreign studies. Here, we applied the CV of smoke
1215 EFs as we measured ones, which were chemical species and fuel type dependent.
1216 With randomly selected values within the respective probability density functions
1217 (PDFs) of EFs and activity data for each biomass type, Monte Carlo simulation was
1218 implemented for 10,000 times, and the uncertainties in national yearly
1219 multi-pollutants emissions at 95% CI were obtained for all the 5 versions.
1220 Afterwards, uncertainties for the average emission inventories were assessed using
1221 the propagation of uncertainty calculation that suggested by IPCC (1997) (method
1222 in SI), and all the emission uncertainties were presented in percentage in Table 6.
1223 Emissions for water soluble aminiums and organic acids had the vast uncertainties,

1224 due to their large deviation in EFs compared with other smoke species. Besides,
1225 emissions of BAU versions were more accurate than EM versions, because of more
1226 uncertainty addition in the burning rates conversion using economic data for EM
1227 versions. Otherwise, burning rates derived from NDRC report were assumed to
1228 have less uncertainty, resulting in the least uncertainties in smoke emission
1229 assessments. On average of all the 5 versions, mean, 2.5th percentile, and 97.5th
1230 percentile values for smoke $PM_{2.5}$ emissions in 2012 were 1005.7, 758.3, and
1231 1344.6 Gg, respectively. As to OC emissions, mean, 2.5th percentile, and 97.5th
1232 percentile values were 432.4, 327.8, and 576.4 Gg, the figure for EC was 134.2,
1233 100.9, and 187.9 Gg. Therefore, the overall propagation of uncertainties for smoke
1234 $PM_{2.5}$, OC, and EC at 95% CI was (-24.6%, 33.7%), (-24.4%, 33.5%), and (-24.2%,
1235 33.3%), respectively. The uncertainties for OC and EC emissions were much less
1236 than the work of Qin and Xie (2011), in which emission and uncertainties were
1237 266.7 Gg (-55.9%, 96.1%) for OC and 66.9 Gg (-53.9%, 92.6%) for EC in 2005.

1238 **3.4 Health and health-related economic impacts**

1239 **3.4.1 Carcinogenic risk**

1240 Calculated CR_{SPM} for smoke $PM_{2.5}$ from wheat, corn, rice, cotton, and soybean
1241 straw burning were 5.3×10^{-6} , 3.8×10^{-6} , 2.6×10^{-6} , 0.7×10^{-6} , and 1.3×10^{-6} per $\mu g m^{-3}$,
1242 respectively. And the corresponded one in million PEL was 0.2, 0.3, 0.4, 1.4, and 0.8
1243 $\mu g m^{-3}$. Wu et al. (2009) ever assessed unit risk of wood and fuel burning particles
1244 using metals merely, the results were 3.2×10^{-6} and 1.5×10^{-6} per $\mu g m^{-3}$, which were
1245 close to that in our study. In actual application, PEL of smoke particles should be
1246 bulk mass concentration of mixed aerosols.

1247 It was noticeable that apart from Tibet and Qinghai, the flux concentration of
1248 smoke $PM_{2.5}$ among all the five emission versions in other regions far surpassed the
1249 PEL, especially the North Plain and the Central of China, exhibiting great potential
1250 inhalable cancer risk. For the health care, emission flux concentration should be
1251 constrained within the PEL of crop straw burning aerosol. Thus the critical filed

1252 burning rates can be derived to ensure risk aversion following Eq. (11):

$$1253 \quad R_k \leq \frac{10^{-6} \times S_k \times h \times T_k}{\sum_j \sum_i P_{t,k,i} \times r_i \times H_{t,k,i} \times D_i \times f_i \times EF_{i,j} \times CRF_i} \quad (11)$$

1254 The conservative values of regional field burning rates from Eq. (11) were named
1255 as Carcinogenic Risk Control scenarios (CRC) and listed in Table ~~S10~~-S11 (SI),
1256 which would be instructive in emission control. Under CRC, national crop straw
1257 field burning rate was less than 3%, emissions of PM_{2.5} were geographically
1258 presented in Fig. S4 (SI), and 146.3 Gg yr⁻¹ smoke PM_{2.5} should be released at
1259 largest in China, the corresponded annual flux concentration of PM_{2.5} was within 0.3
1260 μg m⁻³ d⁻¹ (detailed emission inventories under CRC version see in SI).

1261 3.4.2 Health impacts

1262 Regional health impacts from acute exposure of agricultural residue burning aerosol
1263 were assessed using average daily flux concentrations of smoke PM_{2.5}, the result was
1264 tabulated in Table ~~S11~~-S12 (SI). The impacts from smoke PM_{2.5} exposure were
1265 severest in Jiangsu, Shandong, and Henan province, where annual premature
1266 mortality was over one thousand. Overall, China suffered from 7836 (95% CI: 3232,
1267 12362) premature death, 31181 (95% CI: 21145, 40881) respiratory hospital
1268 admissions, 29520 (95% CI: 12873, 45602) cardiovascular hospital admissions, and
1269 7267237 (95% CI: 2961487, 1130784) chronic bronchitis related to agricultural fire
1270 smoke in 2012 from Table 7. According to national health statistical reports (NHFPC,
1271 2013), the hospital admission due to respiratory and cardiovascular disease was
1272 5071523 in China in 2012, and smoke PM_{2.5} exposure might contribute ~1.2% of the
1273 hospital admissions from this study. Saikawa et al. (2009) ever reported 70000
1274 premature deaths in China and an additional 30000 deaths globally due to OC, EC,
1275 and sulfate exposure that were primarily emitted from biofuel combustion in China
1276 in 2000, however, the results should be overestimated not only in the exaggerated
1277 pollutant emissions but also in the iterative operations of respective species induced
1278 mortality, besides, the exposure-response coefficient β and incidence rate he applied
1279 from Pope et al. (2002) and WHO (2000) were higher than the practical values from

1280 local research (Cao et al., 2012; Chen et al., 2011; Hou et al., 2012). From Table 7,
1281 under CRC version, over 92 % mortality and morbidity can be avoided.

1282 **3.4.3 Health-related economic losses**

1283 Health-related total economic losses from straw open burning smoke PM_{2.5} exposure
1284 were assessed to be 8822.4 (95% CI: 3574.4, 13034.2) million US\$ on average from
1285 Table 8, accounting for 0.1 % of the total GDP in 2012, and detailed regional
1286 economic losses were listed in Table ~~S12~~S13. Economic losses from premature death
1287 contributed about 17% of total losses, and loss from chronic bronchitis dominated.
1288 Hou et al. (2012) ever estimated 106.5 billion US\$ lost due to ambient PM₁₀
1289 exposure in China in 2009; even a severe haze episode (PM_{2.5} be focused on) in
1290 January 2013 may cause 690 premature death and 253.8 million US\$ loss in Beijing,
1291 and source-specification analysis stressed the emission from biomass burning (Yang
1292 et al., 2015; Gao et al., 2015). It was obvious that smoke PM_{2.5} contributed a
1293 noticeable damage to public health and social welfare. According to CRC version
1294 estimation, the carcinogenic risk control policy can save over 92 % of the economic
1295 loss.

1296 **4 Conclusion**

1297 Detailed chemical compositions of smoke aerosol from five major agricultural
1298 straws burning were characterized using an aerosol chamber system. And
1299 corresponded emission factors for particulate OC-EC, ~~char/soot-EC~~, WSI, WSOA,
1300 WSA, PAHs, Phenols, and THM in smoke PM_{2.5} and PM_{1.0} were established.

1301 Permissible exposure limits (PEL) of the smoke particles were assessed for
1302 carcinogenic risk concern based on selected hazard pollutants including PAHs and
1303 THM in smoke PM_{2.5}. Daily exposure concentration should be constrained within
1304 0.2, 0.3, 0.4, 1.4, and 0.8 µg m⁻³ for wheat, corn, rice, cotton, and soybean straw,
1305 respectively.

1306 Emission inventories of primary particulate pollutants from agricultural field

1307 burning in 2012 were estimated based on BAU-I, BAU-II, EM-I, EM-II, and NDRC
1308 scenarios, which were further allocated into different regions at summer and autumn
1309 open burning periods. The estimated total emissions were 1005.7 Gg PM_{2.5} (95% CI:
1310 -24.6% , 33.7%), 901.4 Gg PM_{1.0} (95% CI: -24.4%, 33.5%), 432.4 Gg OC (95% CI:
1311 -24.2%, 33.5%), 134.2 Gg EC (95% CI: -24.8%, 34.0%), ~~113.1 Gg char EC (95% CI:~~
1312 ~~-24.8%, 34.1%), 21.0 Gg soot EC (95% CI: -26.3%, 35.9%),~~ 249.8 Gg WSI (95% CI:
1313 -25.4%, 34.9%), 25.1 Gg WSOA (95% CI: -33.3%, 41.4%), 5.8 Gg WSA (95% CI:
1314 -30.1%, 38.5%), 8.7 Gg THM (95% CI: -26.6%, 35.6%), 0.5 Gg PAHs (95% CI:
1315 -26.0%, 34.9%), and 2.7 Gg Phenols (95% CI: -26.1%, 35.1%), respectively. The
1316 spatial and temporal distributions of the five versions have similar characters that
1317 echo to the agricultural fires sites from satellite remote sensing. Less than 25 % of
1318 the emissions were released from summer field burnings that were mainly
1319 contributed by the North Plain and the Central of China. Flux concentrations of
1320 annual smoke PM_{2.5} that were calculated using box-model method based on five
1321 versions all exceed the PEL. From assessment of health impacts and health-related
1322 economic losses due to smoke PM_{2.5} short-term exposure, China suffered from 7836
1323 (95% CI: 3232, 12362) premature mortality and 7267237 (95% CI: 2961487,
1324 1130784) chronic bronchitis in 2012, which led to 8822.4 (95% CI: 3574.4, 13034.2)
1325 million US\$, or 0.1 % of the total GDP losses.

1326 Percentage of open burned crop straws at post-harvest period should cut down to
1327 less than 3% to ensure risk aversion from carcinogenicity, especially the North Plain
1328 and the Northeast, where the emissions should decrease at least by 94% to meet the
1329 PEL. And by applying such emission control policy, over 92% of the mortality and
1330 morbidity attributed to agricultural fire smoke PM_{2.5} can be avoided in China.

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1850 **Tables and figure captions**

1851 **Table 1.** Emission factors of particulate chemical species in smoke PM_{2.5} from
1852 agricultural residue burning (mean value ± standard deviation).

1853 **Table 2.** Emission factors of particulate chemical species in smoke PM_{1.0} from
1854 agricultural residue burning (mean value ± standard deviation).

1855 **Table 3.** Comparison of emission factors with literature (specific chemical materials
1856 in form of PM_{2.5})

1857 **Table 4.** Summary of field burning rates and economic data in China

1858 **Table 5.** National agricultural field burning emissions of BAU, EM, and NDRC
1859 scenarios in China, 2012.

1860 **Table 6.** Uncertainties for national smoke aerosol emissions in 2012.

1861 **Table 7.** Estimated number of cases (95% CI) attributable to agricultural fire smoke
1862 PM_{2.5} exposure in China, 2012

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1864 exposure in China, 2012

1865 **Figure 1.** Schematic methodology for developing emission estimations

1866 **Figure 2.** Chemical profiles of smoke PM_{2.5} and PM_{1.0} from 5 types agricultural
1867 residue burnings. OM (organic matter = 1.3×OC). OWSI, other water soluble ions
1868 including F⁻, NO₂⁻, Na⁺, Ca²⁺, Mg²⁺.

1869 **Figure 3.** a) Emission factors of 16 USEPA priority PAHs in smoke PM_{2.5} and PM_{1.0};
1870 b) expulsion-accumulation of PAHs in OC-EC of smoke PM_{2.5} and PM_{1.0}

1871 **Figure 4.** Transmission electron microscope (TEM) images and EDX analysis of
1872 fresh agricultural residue burning particles. (a)-(c) Crystal and amorphous KCl
1873 particles internally mixed with sulfate, nitrate, and carbonaceous materials. (d)-(f)
1874 Heavy metal-bearing fractal-like fly ash particles. (e)-(g) Chain-like soot particles and
1875 tar ball.

1876 **Figure 5.** Annual agricultural residue production of five major crops and allocated
1877 into two harvest (summer and autumn harvest) based on agricultural yield in China,
1878 2012.

1879 **Figure 6.** Statistical analysis of field burning rates from BAU, EM, and NDRC
1880 versions

1881 **Figure 7.** Spatial and temporal distribution of smoke PM_{2.5} emissions and flux
1882 concentrations from agricultural field burning over China, 2012

1883 **Figure 8.** Nationwide PM_{2.5} emissions and flux concentrations based on different
1884 burning versions. The inset pie-graphs are chemical compositions of integrated PM_{2.5}
1885 from five major agricultural residue burning.
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Table 1. Emission factors of particulate chemical species in smoke PM_{2.5} from agricultural residue burning (mean value ± standard deviation).

Chemical Species (g kg ⁻¹)	wheat straw	corn straw	rice straw	cotton residue	soybean residue
PM _{2.5}	5.803 ± 0.363	5.988 ± 0.723	14.732 ± 2.417	15.162 ± 2.053	3.249 ± 0.350
OC	2.813 ± 0.147	2.393 ± 0.351	6.882 ± 0.689	7.415 ± 0.547	1.539 ± 0.253
EC	0.676 ± 0.027	0.778 ± 0.152	2.182 ± 0.278	1.192 ± 0.171	0.614 ± 0.190
Char-EC	0.606 ± 0.024	0.667 ± 0.132	1.761 ± 0.166	1.072 ± 0.154	0.564 ± 0.177
Soot-EC	0.069 ± 0.007	0.110 ± 0.043	0.421 ± 0.061	0.120 ± 0.034	0.051 ± 0.031
Inorganic ions (g kg⁻¹)	1.273 ± 0.072	1.810 ± 0.030	3.086 ± 0.266	3.810 ± 0.246	0.523 ± 0.149
SO ₄ ²⁻	0.084 ± 0.028	0.217 ± 0.041	0.409 ± 0.127	0.701 ± 0.081	0.073 ± 0.014
Cl ⁻	0.576 ± 0.038	0.709 ± 0.034	1.158 ± 0.232	1.351 ± 0.114	0.178 ± 0.030
F ⁻	0.023 ± 0.061	0.061 ± 0.005	0.073 ± 0.024	0.265 ± 0.012	0.009 ± 0.004
NO ₃ ⁻	0.023 ± 0.000	0.032 ± 0.002	0.051 ± 0.025	0.072 ± 0.004	0.009 ± 0.004
NO ₂ ⁻	0.006 ± 0.001	0.016 ± 0.002	0.018 ± 0.002	0.036 ± 0.001	0.004 ± 0.003
Ca ²⁺	0.030 ± 0.011	0.036 ± 0.003	0.046 ± 0.007	0.060 ± 0.003	0.010 ± 0.002
Na ⁺	0.005 ± 0.001	0.012 ± 0.001	0.028 ± 0.004	0.050 ± 0.004	0.005 ± 0.001
NH ₄ ⁺	0.152 ± 0.005	0.197 ± 0.010	0.542 ± 0.107	0.347 ± 0.008	0.029 ± 0.004
Mg ²⁺	0.005 ± 0.000	0.017 ± 0.002	0.023 ± 0.004	0.032 ± 0.002	0.005 ± 0.001
K ⁺	0.368 ± 0.041	0.514 ± 0.009	0.739 ± 0.049	0.947 ± 0.070	0.200 ± 0.023
Organic Acids (mg kg⁻¹)	156.680 ± 81.830	46.670 ± 9.000	557.130 ± 269.380	769.990 ± 317.550	143.310 ± 39.770
CH ₃ COOH	148.900 ± 79.290	36.640 ± 8.210	417.930 ± 186.140	743.320 ± 159.600	135.500 ± 62.320
MSA	7.170 ± 2.110	10.030 ± 30.000	136.990 ± 81.700	12.980 ± 1.530	3.200 ± 1.530
H ₂ C ₂ O ₄	2.610 ± 0.430	ND	2.210 ± 1.560	4.760 ± 2.640	2.170 ± 2.380
HCOOH	ND	ND	ND	8.930 ± 2.630	2.440 ± 1.450
Amine salts (mg kg⁻¹)	19.246 ± 9.368	32.877 ± 19.141	104.787 ± 15.635	102.409 ± 13.379	4.514 ± 1.776
MeOH ⁺ + MMAH ⁺	1.322 ± 0.086	5.735 ± 0.102	17.226 ± 1.454	19.888 ± 0.351	0.456 ± 0.196
MEA ⁺	0.201 ± 0.055	0.675 ± 0.135	4.175 ± 0.920	3.690 ± 1.959	ND
TEOH ⁺	2.562 ± 0.962	4.118 ± 0.741	25.129 ± 0.343	14.376 ± 8.688	0.672 ± 0.558
DEAH ⁺ + TMAH ⁺	13.728 ± 7.512	18.973 ± 0.466	46.148 ± 12.185	28.568 ± 5.321	2.012 ± 0.878
DMAH ⁺	1.434 ± 0.925	3.376 ± 0.674	12.110 ± 6.166	35.887 ± 2.940	1.374 ± 0.144
Elemental Species (mg kg⁻¹)	53.813 ± 18.860	53.546 ± 9.070	131.612 ± 5.920	27.577 ± 3.700	14.003 ± 8.710
Phenols (mg kg⁻¹)	26.785 ± 8.582	16.390 ± 2.652	27.238 ± 4.861	41.481 ± 5.517	9.673 ± 2.272
PAHs (mg kg⁻¹)	1.814 ± 0.348	2.706 ± 0.798	7.267 ± 1.722	8.302 ± 2.856	1.832 ± 0.353

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ND means not detected

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Table 2. Emission factors of particulate chemical species in smoke PM_{1.0} from agricultural residue burning (mean value ± standard deviation).

Chemical Species (g kg ⁻¹)	wheat straw	corn straw	rice straw	cotton residue	soybean residue
PM _{1.0}	5.298 ± 0.295	5.360 ± 0.551	13.200 ± 1.440	12.635 ± 1.243	3.036 ± 0.257
OC	2.419 ± 0.126	2.063 ± 0.340	6.024 ± 0.602	6.036 ± 0.360	1.338 ± 0.128
EC	0.650 ± 0.037	0.728 ± 0.122	2.083 ± 0.413	1.023 ± 0.205	0.575 ± 0.260
Char-EC	0.567 ± 0.033	0.580 ± 0.098	1.671 ± 0.331	0.916 ± 0.184	0.511 ± 0.233
Soot-EC	0.083 ± 0.014	0.148 ± 0.057	0.411 ± 0.073	0.107 ± 0.048	0.063 ± 0.057
Inorganic ions (g kg⁻¹)	1.215 ± 0.040	1.768 ± 0.010	2.940 ± 0.249	3.516 ± 0.145	0.510 ± 0.156
SO ₄ ²⁻	0.078 ± 0.011	0.199 ± 0.032	0.333 ± 0.107	0.581 ± 0.054	0.073 ± 0.056
Cl ⁻	0.544 ± 0.033	0.712 ± 0.027	1.145 ± 0.118	1.243 ± 0.067	0.175 ± 0.031
F ⁻	0.022 ± 0.007	0.041 ± 0.004	0.078 ± 0.030	0.151 ± 0.011	0.001 ± 0.001
NO ₃ ⁻	0.021 ± 0.005	0.027 ± 0.002	0.043 ± 0.016	0.061 ± 0.003	0.009 ± 0.002
NO ₂ ⁻	0.006 ± 0.001	0.010 ± 0.003	0.013 ± 0.004	0.019 ± 0.002	0.004 ± 0.003
Ca ²⁺	0.027 ± 0.013	0.028 ± 0.002	0.045 ± 0.008	0.067 ± 0.005	0.010 ± 0.002
Na ⁺	0.004 ± 0.000	0.012 ± 0.000	0.027 ± 0.003	0.056 ± 0.006	0.005 ± 0.002
NH ₄ ⁺	0.147 ± 0.005	0.191 ± 0.009	0.511 ± 0.067	0.401 ± 0.004	0.031 ± 0.005
Mg ²⁺	0.005 ± 0.001	0.035 ± 0.001	0.024 ± 0.006	0.033 ± 0.002	0.005 ± 0.001
K ⁺	0.359 ± 0.040	0.513 ± 0.015	0.721 ± 0.073	0.994 ± 0.067	0.197 ± 0.035
Organic Acids (mg kg⁻¹)	124.310 ± 25.170	47.830 ± 10.610	427.400 ± 221.270	639.820 ± 244.960	130.760 ± 59.310
CH ₃ COOH	115.790 ± 21.940	38.960 ± 9.610	383.360 ± 179.050	615.790 ± 232.860	124.310 ± 69.000
MSA	6.830 ± 2.030	8.870 ± 2.730	41.380 ± 38.480	11.380 ± 2.360	3.200 ± 1.730
H ₂ C ₂ O ₄	1.690 ± 1.200	ND	2.660 ± 1.760	3.620 ± 1.250	1.560 ± 1.670
HCOOH	ND	ND	ND	9.030 ± 7.710	1.690 ± 1.390
Amine salts (mg kg⁻¹)	18.191 ± 5.351	29.891 ± 13.480	81.726 ± 11.455	85.720 ± 21.337	4.385 ± 1.445
MeOH ⁺ + MMAH ⁺	1.300 ± 0.282	5.647 ± 0.342	16.627 ± 0.104	18.834 ± 1.991	0.464 ± 0.265
MEAH ⁺	0.157 ± 0.037	0.787 ± 0.211	3.581 ± 0.602	2.771 ± 1.304	ND
TEOH ⁺	1.719 ± 0.283	5.115 ± 0.732	17.575 ± 0.844	11.441 ± 3.229	0.529 ± 0.304
DEAH ⁺ + TMAH ⁺	13.716 ± 9.047	15.921 ± 1.620	33.565 ± 6.795	29.057 ± 3.793	2.278 ± 0.533
DMAH ⁺	1.300 ± 0.702	2.420 ± 0.575	10.377 ± 4.521	23.617 ± 20.086	1.115 ± 0.343
Elemental Species (mg kg⁻¹)	31.586 ± 10.630	29.265 ± 4.240	51.062 ± 5.920	16.738 ± 3.480	11.817 ± 6.650
Phenols (mg kg⁻¹)	20.774 ± 4.972	13.193 ± 2.181	20.480 ± 1.403	23.521 ± 8.521	7.689 ± 1.356
PAHs (mg kg⁻¹)	1.257 ± 0.398	1.420 ± 0.232	3.967 ± 0.970	4.359 ± 1.373	1.123 ± 0.205

1892

ND means not detected

Table 3. Comparison of emission factors with literature (specific chemical materials in form of PM_{2.5}).

Species	Emission factors (g kg ⁻¹)		Reference
	This work	Reference value	
PM _{2.5}	8.99 ± 5.55	7.6~11.7(AR), 6.26~15.3 (TL), ~3.0 (AR), 2.2~15.0 (AR)	Li et al., 2007; Akagi et al., 2011; Dhammapala et al., 2007; Hayashi et al., 2014
PM _{1.0}	7.91 ± 4.67	4.4.3~12.1 (TL)	May et al., 2014
OC	4.21 ± 2.73	2.7~3.9 (AR), 2.3~9.7(TL), ~1.9(AR), 1.0~9.3 (AR), 0.8~5.9 (TL)	Li et al., 2007; Akagi et al., 2011; Dhammapala et al., 2007; Hayashi et al., 2014; May et al., 2014
EC	1.09 ± 0.65	0.35~0.49 (AR), 0.37~0.91(TL), ~0.4(AR), 0.21~0.81(AR), 1.13~1.73 (TL)	Li et al., 2007; Akagi et al., 2011; Dhammapala et al., 2007; Hayashi et al., 2014; May et al., 2014
WSOA	0.33 ± 0.31	0.039~0.109 (TL)	Akagi et al., 2011
WSA	0.05 ± 0.05	0.08~0.13 (TL), ~0.55 (TL)	Akagi et al., 2011; Andreae et al., 2001
WSI	2.10 ± 1.34	1.84~4.9 (AR),0.8~1.31(TL), 0.43~1.63 (AR)	Li et al., 2007; Akagi et al., 2011; Hayashi et al., 2014
THM	0.06 ± 0.05	0.06~0.09 (AR)	Li et al., 2007
PAHs (×10 ³)	4.38 ± 3.15	~17(AR), 0.72~1.64(AR), ~9.0 (W)	Dhammapala et al., 2007; Zhang et al., 2011; Lee et al.2005
Phenols (×10 ³)	24.31 ± 12.11	~35(AR), ~5 (AR), ~13 (TL)	Dhammapala et al., 2007; Hays et al., 2005; Andreae et al., 2001

AR: agricultural residue; TL: total, including forest fires and strawburning; W: wood

Table 4. Summary of field burning rates and economic data in China.

Province	Burning rate from literature		Agricultural income ratio ^c			Estimated burning rate		NDRC report ^d	Average rate
	BAU-I ^a	BAU-II ^b	2000	2006	2012	EM-I	EM-II	NDRC	
Beijing	0.00	0.17	0.08	0.06	0.06	0.00	0.19	0.13	0.10 ±0.08
Tianjin	0.00	0.17	0.10	0.14	0.12	0.00	0.20	0.30	0.13 ±0.12
Hebei	0.20	0.17	0.27	0.22	0.24	0.22	0.16	0.19	0.19 ±0.02
Shanxi	0.20	0.17	0.20	0.21	0.25	0.16	0.14	0.22	0.18 ±0.03
Inner Mongolia	0.00	0.12	0.44	0.49	0.66	0.00	0.09	0.27	0.10 ±0.10
Liaoning	0.20	0.12	0.30	0.29	0.39	0.16	0.09	0.34	0.18 ±0.09
Jilin	0.30	0.12	0.73	0.73	0.77	0.28	0.11	0.25	0.21 ±0.08
Heilongjiang	0.30	0.12	0.99	0.83	0.59	0.50	0.17	0.25	0.27 ±0.13
Shanghai	0.00	0.32	0.10	0.08	0.09	0.00	0.29	0.12	0.15 ±0.14
Jiangsu	0.30	0.32	0.32	0.22	0.30	0.32	0.23	0.19	0.27 ±0.05
Zhejiang	0.30	0.32	0.19	0.08	0.09	0.64	0.28	0.22	0.35 ±0.15
Anhui	0.20	0.32	0.44	0.39	0.43	0.21	0.29	0.43	0.29 ±0.08
Fujian	0.30	0.32	0.18	0.10	0.14	0.39	0.22	0.17	0.28 ±0.08
Jiangxi	0.20	0.11	0.45	0.31	0.44	0.20	0.08	0.25	0.17 ±0.06
Shandong	0.30	0.17	0.33	0.25	0.24	0.40	0.17	0.21	0.25 ±0.09
Henan	0.20	0.17	0.39	0.35	0.33	0.23	0.18	0.22	0.20 ±0.02
Hubei	0.20	0.11	0.42	0.30	0.41	0.21	0.08	0.30	0.18 ±0.08
Hunan	0.20	0.33	0.47	0.31	0.43	0.22	0.24	0.35	0.27 ±0.06
Guangdong	0.30	0.33	0.19	0.10	0.13	0.44	0.25	0.18	0.30 ±0.09
Guangxi	0.20	0.33	0.40	0.25	0.33	0.25	0.25	0.35	0.28 ±0.06
Hainan	0.30	0.33	0.35	0.16	0.21	0.51	0.25	0.56	0.39 ±0.12
Chongqing	0.20	0.11	0.35	0.23	0.30	0.24	0.08	0.45	0.22 ±0.13
Sichuan	0.20	0.11	0.37	0.22	0.28	0.26	0.09	0.30	0.19 ±0.08
Guizhou	0.20	0.11	0.38	0.23	0.25	0.31	0.10	0.43	0.23 ±0.13
Yunnan	0.20	0.11	0.36	0.26	0.31	0.24	0.09	0.28	0.18 ±0.07
Tibet	0.00	0.16	0.15	0.09	0.05	0.00	0.30	0.16	0.12 ±0.11
Shannxi	0.20	0.17	0.33	0.27	0.26	0.25	0.18	0.28	0.22 ±0.04
Gansu	0.10	0.16	0.25	0.20	0.28	0.09	0.11	0.33	0.16 ±0.09
Qinghai	0.00	0.16	0.23	0.10	0.08	0.00	0.20	0.28	0.13 ±0.11
Ningxia	0.10	0.16	0.42	0.38	0.45	0.09	0.13	0.16	0.13 ±0.03
Xinjiang	0.10	0.16	0.43	0.61	0.73	0.06	0.13	0.30	0.15 ±0.08
Nationwide	0.21	0.16	0.34	0.27	0.31	0.26	0.15	0.27	0.21 ±0.05

a. Zhao et al., 2012; Cao et al., 2006; Cao et al., 2011

b. Wang and Zhang., 2008

c. Calculated based on data from China Yearbook 2001~2013 (NBSC, 2001-2013), China Rural Statistic Yearbook 2001~2013, data available at <http://www.grain.gov.cn/Grain/>

d. Data from the National Development and Reform Commission report ([2014]No.516) : <http://www.sdpc.gov.cn/>

Table 5. National agricultural field burning emissions of BAU, EM, and NDRC scenarios in China in 2012.

Unit: Gg	BAU-I			BAU-II			EM-1			EM-2			NDRC			Average		
	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn
PM _{2.5}	1001.05	218.99	782.06	835.42	209.29	626.13	1211.92	258.58	953.34	738.36	182.34	556.02	1241.69	258.24	983.46	1007.65	226.007	781.646
PM _{1.0}	897.52	198.93	698.59	748.57	189.92	558.65	1087.05	234.85	852.20	661.81	165.61	496.20	1111.90	234.44	877.46	903.125	205.217	697.911
OC	429.51	102.87	326.64	360.99	97.67	263.32	519.26	121.33	397.94	318.84	85.55	233.29	533.19	120.86	412.33	433.184	105.885	327.300
EC	133.61	27.37	106.24	111.40	26.52	84.88	162.71	32.39	130.32	98.06	22.85	75.21	164.97	32.53	132.45	134.414	28.404	106.010
char-EC	112.75	23.76	88.99	93.82	22.88	70.94	137.15	28.09	109.06	82.79	19.81	62.98	139.21	28.14	111.07	113.366	24.596	88.770
soot-EC	20.80	3.59	17.21	17.54	3.62	13.94	25.50	4.28	21.22	15.23	3.02	12.21	25.70	4.36	21.33	20.992	3.787	17.205
SO ₄ ²⁻	30.22	3.96	26.26	24.97	3.94	21.04	36.39	4.71	31.68	22.09	3.32	18.76	38.21	4.78	33.44	30.440	4.155	26.285
NO ₃ ⁻	4.35	0.84	3.51	3.55	0.80	2.75	5.24	0.99	4.25	3.17	0.70	2.47	5.40	0.99	4.41	4.350	0.864	3.486
NH ₄ ⁺	32.08	6.37	25.71	26.65	6.21	20.44	39.09	7.54	31.55	23.43	5.32	18.11	39.46	7.59	31.87	32.202	6.623	25.580
K ⁺	67.49	13.12	54.38	54.75	12.38	42.37	81.40	15.45	65.95	49.10	10.90	38.20	83.62	15.36	68.26	67.412	13.469	53.943
WSOA	24.44	6.55	17.89	21.94	6.39	15.55	29.69	7.76	21.93	18.77	5.48	13.30	30.82	7.81	23.01	25.174	6.815	18.360
WSA	5.75	0.95	4.80	4.85	0.95	3.90	6.99	1.13	5.86	4.23	0.80	3.43	7.19	1.15	6.04	5.815	1.000	4.815
PAHs	0.48	0.11	0.37	0.40	0.10	0.30	0.58	0.12	0.45	0.35	0.09	0.26	0.59	0.13	0.47	0.480	0.109	0.371
Phenols	2.71	0.85	1.87	2.25	0.78	1.47	3.25	0.99	2.26	2.02	0.70	1.323	3.40	0.98	2.36	2.721	0.861	1.861
THM	8.68	2.01	6.67	7.19	1.92	5.27	10.56	2.37	8.19	6.36	1.67	4.69	10.64	2.37	8.27	8.702	2.073	6.628
WSI	249.96	47.46	202.50	204.46	45.24	159.22	301.75	56.01	245.74	182.31	39.50	142.82	310.31	55.88	254.43	250.269	48.927	201.342

Table 6. Uncertainties for the national smoke aerosol emissions in 2012 (pollutant emission in unit of Gg/yr, 95% CI in percentage)

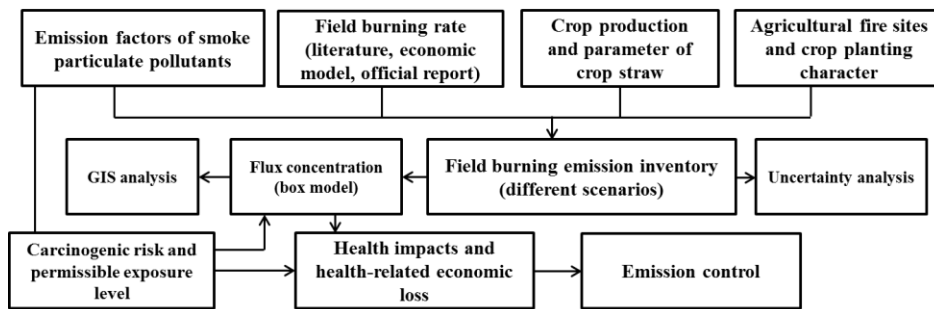
Species	BAU-I		BAU-II		EM-I		EM-II		NDRC		Average	
PM _{2.5}	1001.	(-52.3% , 73.5%)	835.	(-48.7% , 68.8%)	1211.	(-63.6% , 84.3%)	738.	(-55.9% , 74.3%)	1241.	(-46.2% , 65.1%)	1005.	(-24.6% , 33.7%)
PM _{1.0}	897.5	(-51.6% , 73.0%)	748.	(-48.4% , 68.6%)	1087.	(-62.9% , 83.8%)	661.	(-55.5% , 74.1%)	1111.	(-45.7% , 64.7%)	901.4	(-24.4% , 33.5%)
OC	429.5	(-50.5% , 71.5%)	361.	(-48.9% , 69.2%)	519.3	(-61.4% , 81.8%)	318.	(-55.6% , 74.1%)	533.2	(-47.1% , 66.7%)	432.4	(-24.2% , 33.3%)
EC	133.6	(-52.1% , 73.6%)	111.	(-50.1% , 71.0%)	162.7	(-63.3% , 84.3%)	98.1	(-56.8% , 75.7%)	165.0	(-46.7% , 66.0%)	134.2	(-24.8% , 34.0%)
char-EC	112.8	(-51.1% , 73.3%)	93.8	(-49.4% , 69.9%)	137.2	(-63.1% , 84.0%)	82.8	(-60.8% , 80.7%)	139.2	(-46.2% , 65.4%)	113.1	(-24.8% , 34.1%)
soot-EC	20.8	(-53.7% , 74.7%)	17.5	(-55.3% , 77.6%)	25.5	(-65.9% , 87.4%)	15.2	(-61.8% , 81.9%)	25.7	(-50.6% , 71.1%)	21.0	(-26.3% , 35.9%)
WSOA	24.4	(-68.5% , 86.2%)	21.9	(-75.7% , 95.2%)	29.7	(-78.7% , 96.2%)	18.8	(-77.8% , 95.4%)	30.8	(-67.5% , 85.1%)	25.1	(-33.3% , 41.4%)
WSA	5.8	(-62.8% , 82.1%)	4.9	(-65.9% , 84.1%)	7.0	(-73.9% , 93.2%)	4.2	(-69.3% , 86.3%)	7.2	(-58.7% , 75.9%)	5.8	(-30.1% , 38.5%)
WSI	250.0	(-54.4% , 77.2%)	204.	(-47.5% , 67.4%)	301.8	(-66.9% , 89.3%)	182.	(-56.1% , 74.8%)	310.3	(-46.9% , 66.4%)	249.8	(-25.4% , 34.9%)
THM	8.7	(-56.2% , 77.5%)	7.2	(-52.8% , 71.4%)	10.6	(-67.5% , 88.3%)	6.4	(-61.2% , 79.5%)	10.6	(-50.8% , 69.4%)	8.7	(-26.6% , 35.6%)
PAHs	0.5	(-55.2% , 75.7%)	0.4	(-52.4% , 72.2%)	0.6	(-66.5% , 86.8%)	0.4	(-58.8% , 76.9%)	0.6	(-49.3% , 67.8%)	0.5	(-26.0% , 34.9%)
Phenols	2.7	(-56.1% , 77.6%)	2.3	(-51.4% , 70.6%)	3.3	(-67.3% , 88.3%)	2.0	(-59.9% , 78.4%)	3.4	(-48.7% , 67.1%)	2.7	(-26.1% , 35.1%)

Table 7. Estimated number of cases (95% CI) attributable to agricultural fire smoke PM_{2.5} exposure in China, 2012.

Emission version	Mortality	Respiratory hospital admission	Cardiovascular hospital admission	Chronic bronchitis
BAU-I	7864 (3154, 12489)	31123 (21114, 40788)	29454 (12849, 45481)	7577067 (2952006, 11024705)
BAU-II	7187 (3056, 11260)	28711 (19443, 37693)	27156 (11825, 42007)	7132581 (2735111, 10523803)
EM-I	9435 (3817, 14933)	36950 (25151, 48269)	35116 (15373, 54042)	8712880 (3484325, 12430411)
EM-II	6175 (2554, 9751)	25166 (17004, 33112)	23745 (10316, 36816)	6383442 (2407643, 9526727)
NDRC	8523 (3581, 13377)	33957 (23015, 44542)	32131 (14003, 49664)	8332216 (3228351, 12148274)
Average	7836 (3232, 12362)	31181 (21145, 40881)	29520 (12873, 45602)	7267237 (2961487, 1130784)
CRC	538 (227, 850)	2191 (1462, 2920)	2038 (874, 3199)	636650 (214617, 1052153)

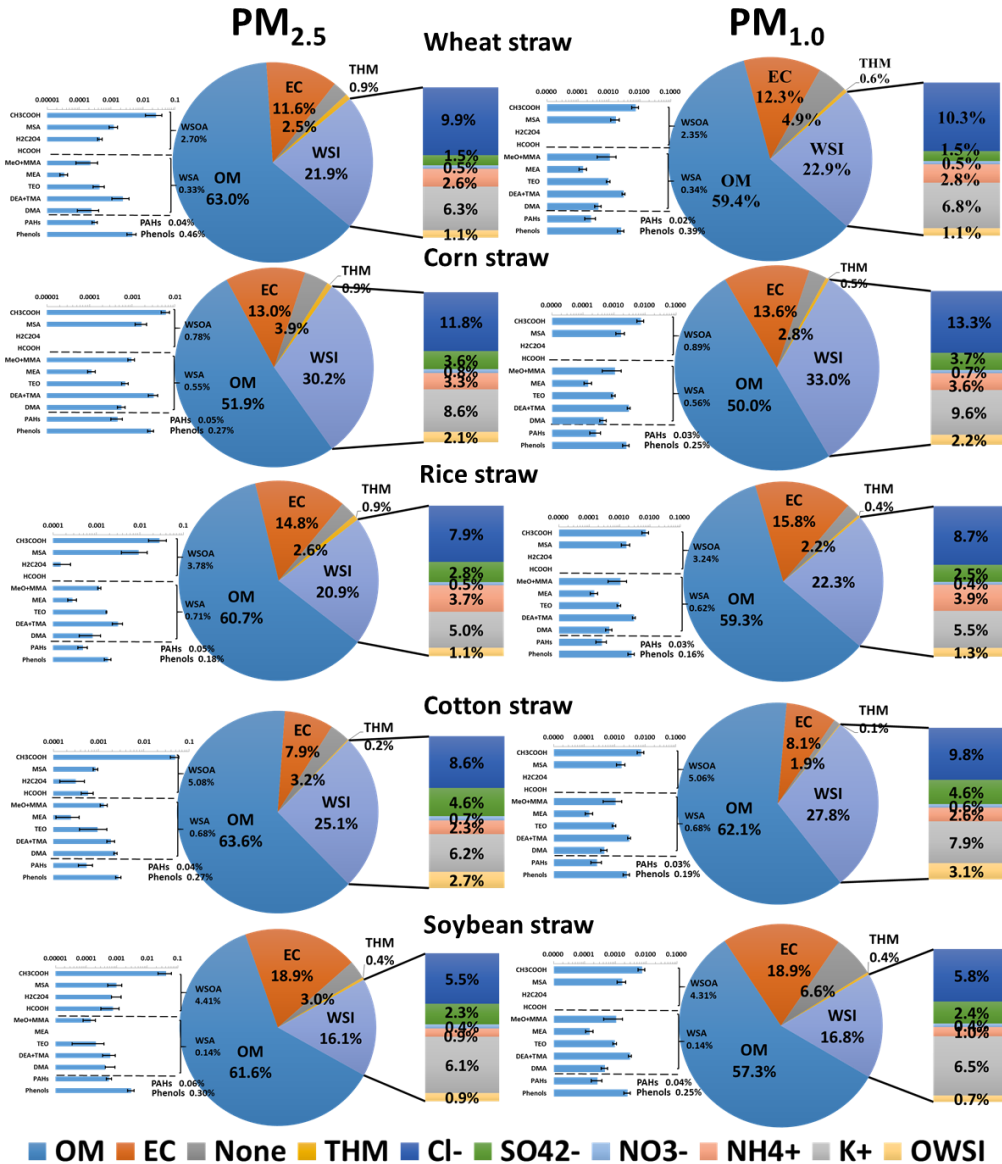
Table 8. Health-related economic loss (95% CI) from agricultural fire smoke PM_{2.5} exposure in China, 2012.

Emission version	Economic cost (million US\$)				Total cost (million US\$)	GDP ratio (%)
	Mortality	Respiratory hospital admission	Cardiovascular hospital admission	Chronic bronchitis		
BAU-1	1544.5 (730.7,2430.0)	19.6 (13.3,25.7)	36.0 (15.7,55.6)	7187.6 (2800.3,10458.3)	8787.8 (3560.0,12969.4)	1.0 (0.4 , 1.5)
BAU-2	1453.9 (719,2252.2)	18.1 (12.2,23.8)	33.2 (14.4,51.3)	6766.0 (2594.5,9982.9)	8271.2 (3340.3,12310.3)	1.0 (0.4 , 1.4)
EM-1	1855.2 (870.3,2913.7)	23.3 (15.9,30.5)	42.9 (18.8,66.1)	8265.0 (3305.2,11791.5)	10186.5 (4210.2,14801.8)	1.2 (0.5 , 1.7)
EM-2	1228.1 (600.6,1917.6)	15.9 (10.7,20.9)	29.0 (12.6,45.0)	6055.3 (2283.9,9037.1)	7328.4 (2907.9,11020.7)	0.9 (0.3 , 1.3)
NDRC	1573.4 (759.3,2456.2)	21.4 (14.5,28.1)	39.3 (17.1,60.7)	7903.9 (3062.4,11523.9)	9538.2 (3853.4,14069.0)	1.1 (0.4 , 1.6)
Average	1531.0 (736.0,2393.9)	19.7 (13.3,25.8)	36.1 (15.7,55.7)	7235.6 (2809.3,10558.7)	8822.4 (3574.4,13034.2)	1.0 (0.4 , 1.5)
CRC	100.0 (48.0,157.1)	1.3 (0.9,1.8)	2.4 (1.0,3.9)	603.9 (203.6,998.1)	707.8 (253.6,1160.9)	0.1 (0.0 , 0.1)



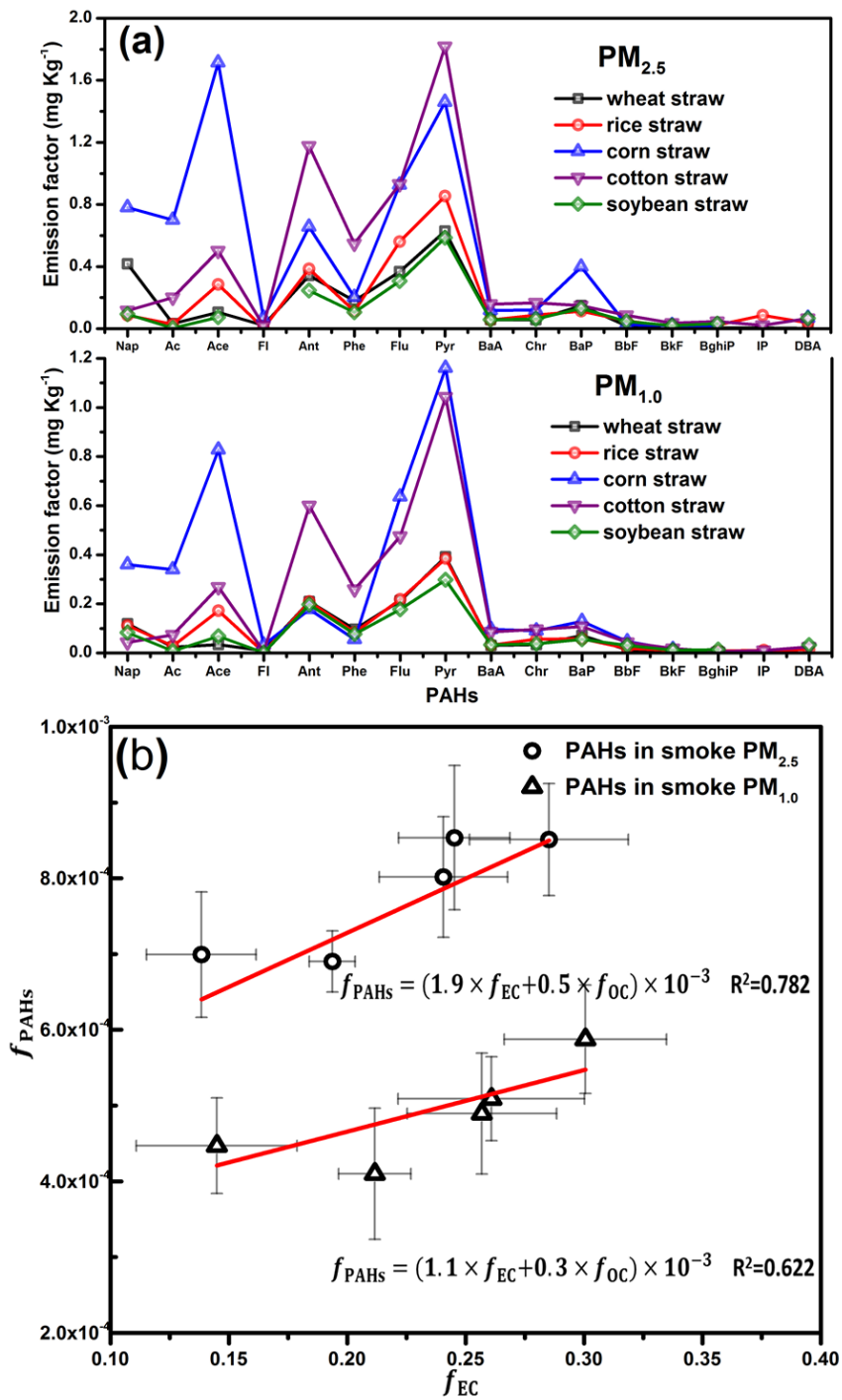
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Figure 1. Schematic methodology for developing emission estimations.



5

6 **Figure 2.** Chemical profiles of smoke PM_{2.5} and PM_{1.0} from 5 types agricultural
 7 residue burnings. OM (organic matter = 1.3×OC). OWSI, other water soluble ions
 8 including F⁻, NO₂⁻, Na⁺, Ca²⁺, and Mg²⁺.

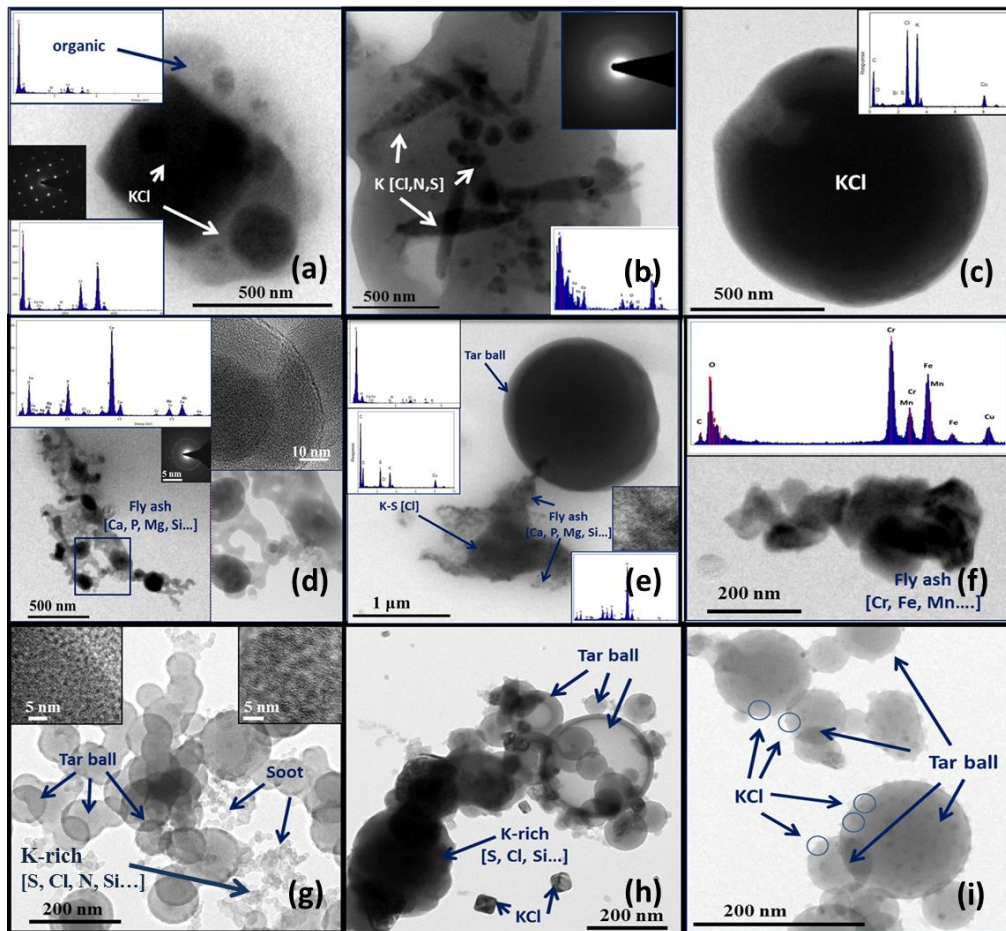


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10 **Figure 3.** (a) Emission factors of 16 USEPA priority PAHs in smoke PM_{2.5} and PM_{1.0};

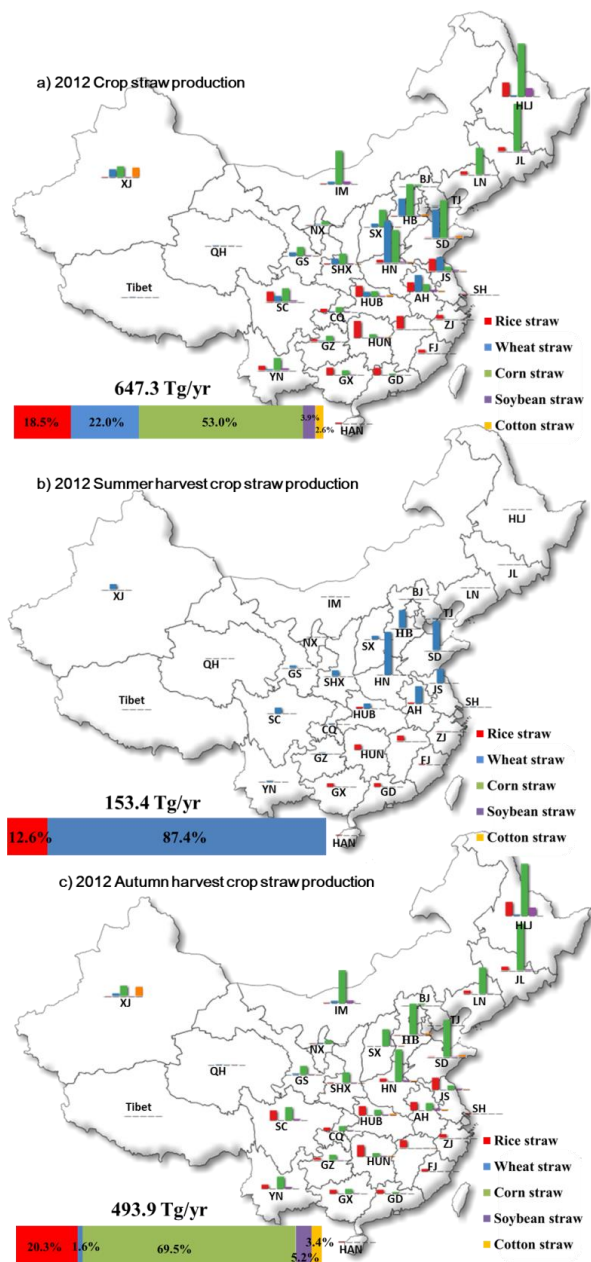
11 (b) expulsion-accumulation of PAHs in OC-EC of smoke PM_{2.5} and PM_{1.0}.

12



13
 14 **Figure 4.** Transmission electron microscope (TEM) images and EDX analysis of
 15 fresh agricultural residue burning particles. (a)-(c) Crystal and amorphous KCl
 16 particles internally mixed with sulfate, nitrate, and carbonaceous materials. (d)-(f)
 17 Heavy metal-bearing fractal-like fly ash particles. (e)-(g) Chain-like soot particles and
 18 tar ball.

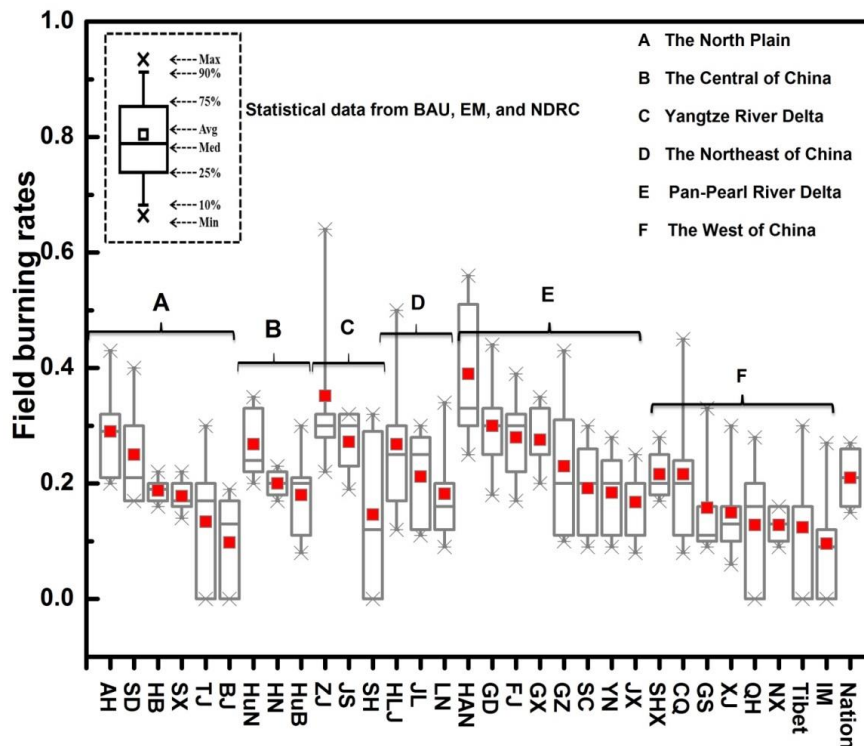
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20

21 **Figure 5.** Annual agricultural residue production of five major crops and allocated
 22 into two harvest (summer and autumn harvest) based on agricultural yield in China,
 23 2012. (Abbreviation, BJ: Beijing; TJ: Tianjin; HB: Hebei; SX: Shanxi; IM: Inner Mongolia; LN: Liaoning; JL:
 24 Jilin; HLJ: Heilongjiang; SH: Shanghai; JS: Jiangsu; ZJ: Zhejiang; AH: Anhui; FJ: Fujian; JX: Jiangxi; SD:
 25 Shandong; HN: Henan; HUB: Hubei; HUN: Hunan; GD: Guangdong; GX: Guangxi; HAN: Hainan; CQ:
 26 Chongqing; SC: Sichuan; GZ: Guizhou; YN: Yunnan; SHX: Shannxi; GS: Gansu; QH: Qinghai; NX: Ningxia; XJ:
 27 Xinjiang)

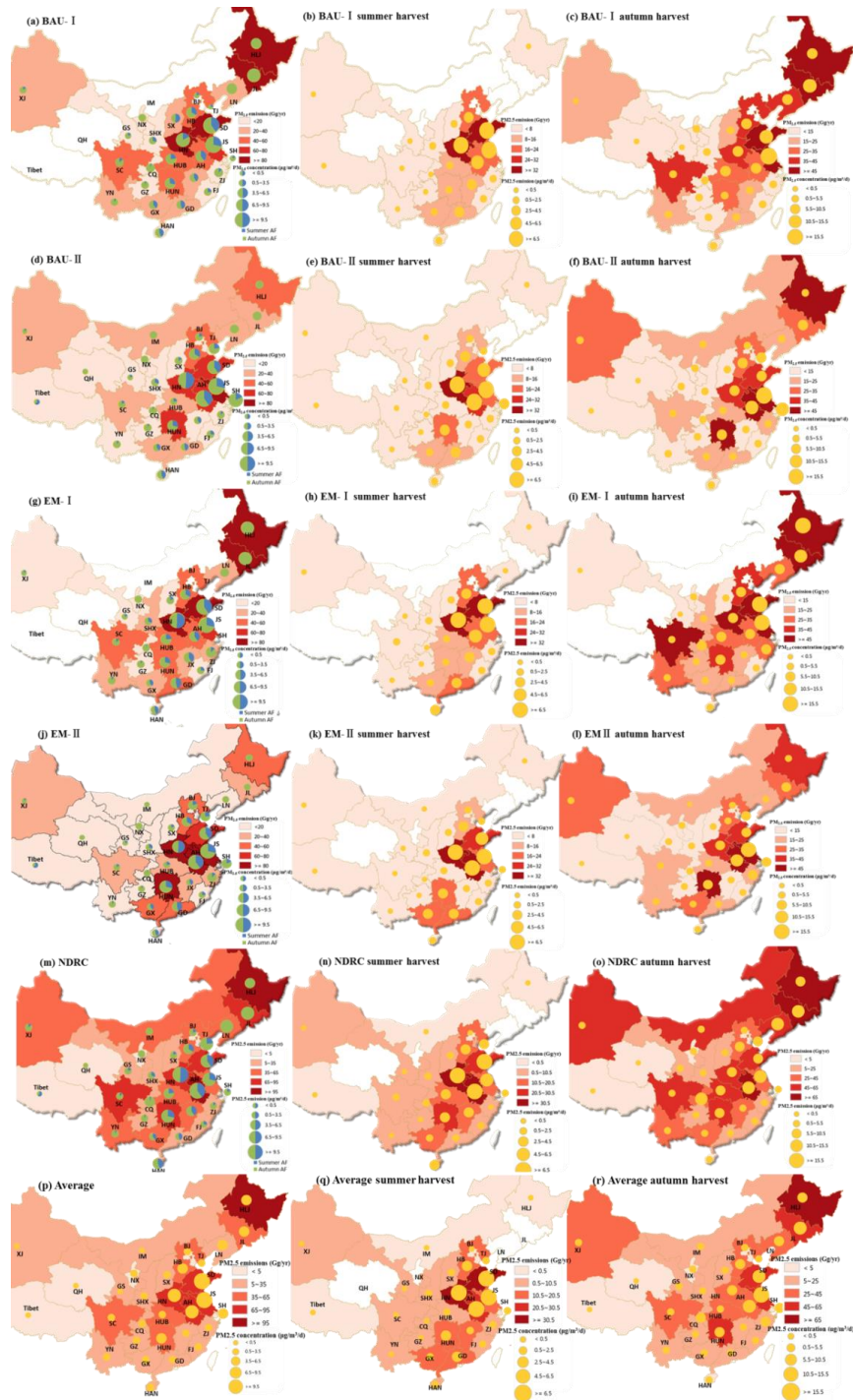
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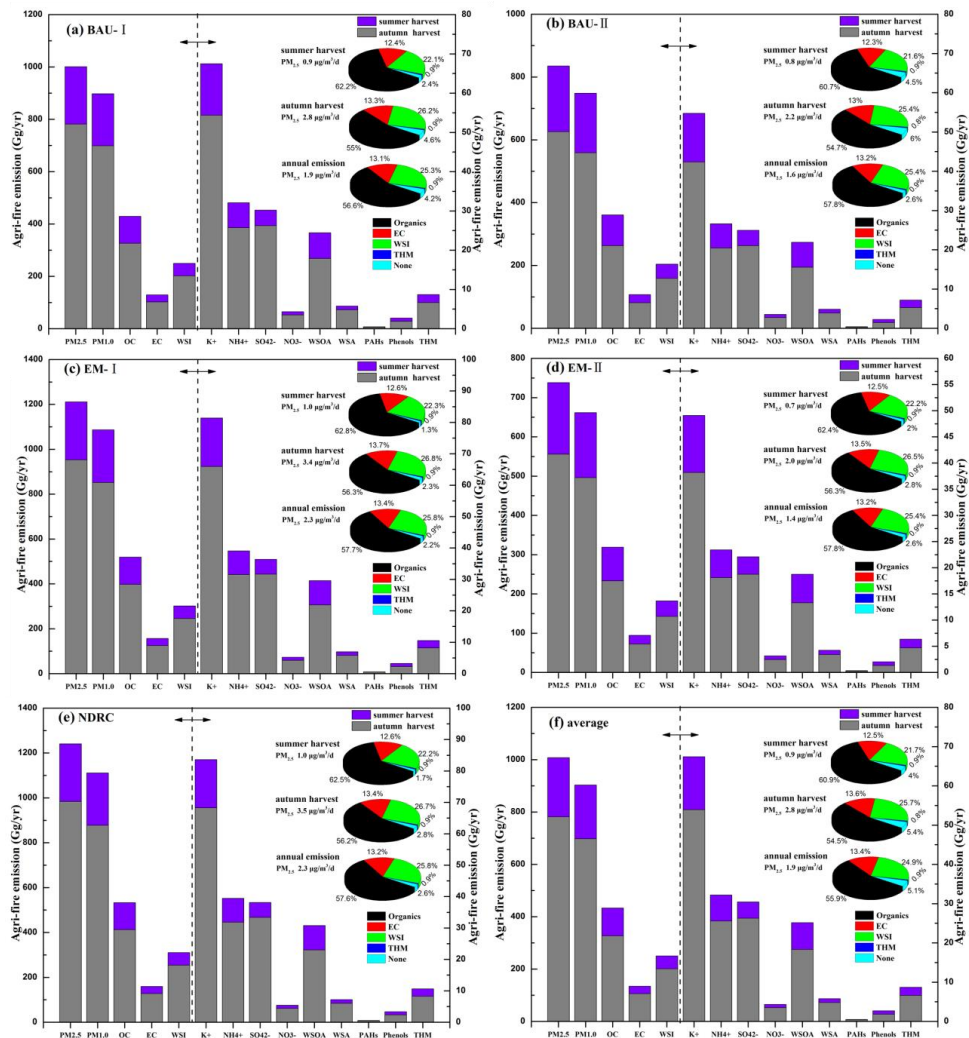
30 **Figure 6.** Statistical analysis of field burning rates from BAU, EM, and NDRC
 31 versions. The North Plain (Anhui, Shandong, Hebei, Shanxi, Tianjin, Beijing), the
 32 Central of China (Hunan, Henan, Hubei), the Yangtze River Delta (Zhejiang, Jiangsu,
 33 Shanghai), the Northeast of China (Heilongjiang, Liaoning, Jilin), the Pan-Pearl River
 34 Delta (Hainan, Guangdong, Fujian, Guangxi, Guizhou, Sichuan, Yunnan, Jiangxi), the
 35 West of China (Shannxi, Chongqing, Xinjiang, Qinghai, Ningxia, Tibet, Inner
 36 Mongolia, Gansu)

37



38

39 **Figure 7.** Spatial and temporal distribution of smoke PM_{2.5} emissions and flux
 40 concentrations from agricultural field burning over China, 2012.



41
 42 **Figure 8.** Nationwide PM_{2.5} emissions and flux concentrations based on different
 43 burning versions. The inset pie-graphs are chemical compositions of integrated PM_{2.5}
 44 from five major agricultural residue burning.