

#1 Review comments: "Multi-pollutants emissions from the burning of major agricultural residues in China and the related health-economic effect assessment" by Li C. et al.

This paper describes results from experimental investigations on EFs of multi-pollutants from crop residues open burning in China, and try to estimate the health-economic effect under different scenarios. Considering the limited EFs for crop residues open burning, new emission data for various types of biomass are always welcome addition to the literature, and should be useful to air quality communities. This paper is reasonably well written. But there are a number of revisions that should be addressed prior to publication.

Reply: Thanks for your reviewing!

Question 1: When comparing EFs in this study with literature data, I notice that only comparable data from literature is included (for example, Line 505 to 507 when comparing OC and EC EFs, Line 605 to 608 when comparing EFs of PAHs, etc). However, it is already known and acknowledged that the EFs of crop residues burning could have a wide range due to different combustion condition, properties of biomass etc...(McMeeking et al., 2009; Reid et al., 2005). Both similarity and differences in EFs should be discussed. Moreover, due to crop residues used in this study were dehydrated at 100 degrees for 24h (thus had much lower moisture content compared with elsewhere), it tends to have a much lower EFs of incomplete combustion product, such as PM and OC from chamber studies (Chen et al., 2010; Hayashi et al., 2014). However, for biomass open burning combustion intensity may be higher than those from chamber studies, and thus this would result in a lower EF. When applying EFs from this study to estimate emission inventories, I would like to suggest that the uncertainties from the effect of moisture content and the burning style should be discussed in this paper.

Answer 1: Thanks so much for your suggestion. Chamber burn study has definite advantage over the field burning one, as combustion in the field will be affected by many influence factors including but not limited to meteorological condition, terrain, diffusion, air supply, contamination, fuel issue (fuel type, water content, weight), and burning intensity or fire characters etc. However, after phasing out all the influence variables, how to conduct more exercisable and comparable burning experiment in the lab, and how well the practical chamber burn study can represent field burning should be considered. In general, when combustion efficiency (CE) differences were taken into account, emission factors measured from filed will be reasonably agree with that from chamber burn (Dhammapala et al., 2007) . We added more comparison with other studies, and we put the activity data and parameters for the biomass fuel (dry matter fraction, burning efficiency) into the consideration in the final uncertainty assessment for the emission inventories (Line 1071~1105).

Lin 561: add in the manuscript "which is consistent with the conclusion from Lee et al. (2015) and Giordano et al. (2015)."

Line 564: add in the manuscript "since EFs in smoke $PM_{1.0}$ were seldom reported, only smoke $PM_{2.5}$ or total particulate matter emissions were collected, which were comparable with the results in this work"

Line 567add in the manuscript "were in range of 3.25~15.16 and 3.04~13.20 $g\ kg^{-1}$ for the five kinds of crop straws, a high ratio of $PM_{1.0}/PM_{2.5}$ was observed to be over 90 wt.%, which was in line with size distribution

analysis of smoke particles given in Fig. S3 (SI)”

Line 570: delete “ 8.99 ± 5.55 and 7.91 ± 4.67 g kg⁻¹ for the five kinds of crop straws, and over 70 wt.% of SPM was organic components (OM and EC), with average of 73.4 wt.% in PM_{2.5} and 71.3 wt.% in PM_{1.0}.”

Line 573: add in the manuscript “Li et al. (2007) measured the emissions from field burning of crop straws via CMB method, PM_{2.5} EFs for wheat and corn straw were estimated to be 7.6 ± 4.1 and 11.7 ± 1.0 g kg⁻¹ (dry basis, MCE > 0.9), which were higher and presented more uncertainties than our result. As study ever found a positive relationship between particulate EFs and moisture content of agricultural residue (Hayashi et al., 2014), it was reasonable that combustion of the dehydrated crop straw produced less smoke aerosol in this work. Hayashi et al. (2014) measured particulate EFs to be 2.2 and 15.0 g kg⁻¹ for rice and wheat straw of ~10 wt.% moisture content, while corresponded EFs increased to 9.1 and 19.5 g kg⁻¹ when water content of straw was ~20 wt.%, and the linear equations between smoke EFs and straw moisture content were furtherly proposed. However, the simple linearity and its application scope should be doubted, as Hayashi et al. only considered two water content levels (10 wt.% vs 20 wt.%) and disregarded influence of combustion efficiency for the fires. PM_{2.5} EFs given by Dhammapala et al (2006, 2007a, b) were 4.7 ± 0.4 g kg⁻¹ for wheat straw and 12.1 ± 1.4 g kg⁻¹ for herbaceous fuel that were burnt using a chamber under flaming phase, and negative response for particulate EFs to combustion efficiency was observed. After all, smoke EFs vary with fires depend on fuel type and moisture, combustion phase, environmental conditions, and some other variables (Reid et al., 2005b).”

Line 591: add in the manuscript “The carbonaceous materials (Organic matter and EC) are dominated in SPM, accounting for about 73.4 wt.% for PM_{2.5} and 71.3 wt.% for PM_{1.0} on average.”

Line 594: add in the manuscript “and Li et al. (2016) ever measured OM/OC ratio as ~1.3 for fresh smoke particles via volatility analysis. EFs of EC and OC from this work were consistent with most studies, average OC EFs were 4.21 and 3.58 g kg⁻¹ in smoke PM_{2.5} and PM_{1.0}, and the corresponded EC EFs were 1.09 and 1.01 g kg⁻¹, respectively. These values fell within the ranges (0.9~9.3 g kg⁻¹ for OC and 0.2~1.7 g kg⁻¹ for EC) found in other similar sources (Dhammapala et al., 2007; Hayashi et al., 2014; Li et al., 2007; May et al., 2014).”

Line 603: add in the manuscript “It was ever reported chamber burn study may overestimate EC EFs due to a misassigned OC-EC split for the heavily mass loaded filter samples (Dhammapala et al., 2007b). Moreover, carbon measurement based on TOT method with NIOSH protocol may overestimate OC fraction by sacrificing EC part compared with that of TOR (Thermal-Optical Reflectance) method with IMPROVE program (Han et al., 2016).”

Line 608: delete “EFs of EC and OC from this work agree well with previous study, average EFs of OC were 4.21 and 3.58 g kg⁻¹ in smoke PM_{2.5} and PM_{1.0}, and the values for EC were 1.09 and 1.01 g kg⁻¹”

Line 637: add in the manuscript “To our knowledge, seldom study ever reported source specific EFs of char- and soot-EC for crop straw burnings. Here, particulate char- and soot-EC EFs in fine mode were estimated to be 0.56 ~ 1.76 and 0.05 ~ 0.42 g kg⁻¹, while char- and soot-EC EFs in smoke PM_{1.0} were 0.51 ~ 1.67 and 0.06 ~ 0.41 g kg⁻¹, respectively.”

Line 646: add in the manuscript “are also fuel types and PM size dependent.. Generally, char-EC/soot-EC is

also controlled by combustion mode or even moisture content of biomass fuel, and biomass burning by smoldering at low temperatures results in high char-EC/soot-EC. Chuang et al. (2013) reported char-EC/soot-EC in smoke PM_{2.5} was 9.4 ± 3.8 for biomass burning (BB), and Cao et al. (2005) proposed the ratio to be 11.6 for BB sources. These values were larger than the present study, as we estimated char-EC/soot-EC in PM_{2.5} to be 7.28 ± 1.98 on average. It can be explained by different techniques for EC measurement, char-EC and soot-EC were mostly measured using TOR-IMPROVE method, while TOT-NIOSH method used in this study will overestimate PC fraction in OC-EC split, resulting in less char-EC fraction (EC1-PC) and lower char-EC/soot-EC ratio. Nonetheless, the results were still comparable for the two methods (Han et al., 2016). The char-EC/soot-EC ratio was 6.29 in PM_{1.0}, which was smaller than that in smoke PM_{2.5}, the result indicates that SPM comprises a considerable amount of char-EC and char particle has a larger size than soot, in consistent with the conclusion that soot particles are mainly tens of nanometers in size and cluster together into loose aggregates of hundred nanometers, while char particles were reported to be larger with diameter in the range of 1~100 μm ”

Line 680: add in the manuscript “Oxalic acid is the dominated dicarboxylic acids measured in the ambient environment and biomass burning aerosol (Falkovich et al., 2005; Kundu et al., 2010), and oxalic acid EF was measured to be 2.2 ~ 4.8 and 1.6 ~ 3.6 mg kg^{-1} for smoke PM_{2.5} and PM_{1.0} in present work.”

Line 752: add in the manuscript “Statistical analysis showed WSA/NH₄⁺ was 0.16 ± 0.03 and 0.18 ± 0.06 in smoke PM_{1.0} and PM_{2.5}, respectively, which were almost one order of magnitude larger than that in the ambient aerosol (Liu and Bei, 2016; Tao et al., 2016). Tao et al. (2016) ever measured the ratio as a function of particle size during NPF days in Shanghai, and a noticeable enrichment of aminiums for ultrafine particles (<56 nm) was observed with WSA/NH₄⁺ over 0.2, highlighting the competitive role for amines to ammonia in particle nucleation and initial growth of the nuclei, the ratio was then decreased with the increasing particle size, and the final increasing trend was found after ~ 1.0 μm , and average WSA/NH₄⁺ for ambient bulk PM_{1.0} and PM_{2.5} were 3.2% and 3.5% , respectively.”

Line 752: add in the manuscript “Hays et al. (2005) estimated total EFs of 16 PAHs to be 3.3 mg Kg^{-1} in wheat straw burning PM_{2.5}. Korenaga et al. (2001) measured PAHs EFs from rice straw burning to be 1.9 mg Kg^{-1} in particulate phase, while the value from Jenkins et al. (1996) was 16 mg Kg^{-1} . Dhammapala et al. (2007b) found negative linear response for biomass burning source PAHs emissions to burning efficiency, and under flaming combustion, particulate total 16 PAHs EFs were 2 ~ 4 mg Kg^{-1} . Zhang et al. (2011) simulated burning of rice, corn, and wheat straws, the corresponded PAHs EFs were measured as 1.6, 0.9, and 0.7 mg Kg^{-1} in fine smoke particles, respectively. Great uncertainties for PAHs EFs were evident that relied on burning phase, fuel types, moisture content, and also measurement techniques.”

Line 806: add in the manuscript “EFs for the sum phenols were 9.7 ~ 41.5 and 7.7 and 23.5 mg Kg^{-1} for smoke PM_{2.5} and PM_{1.0}, respectively. Dhammapala et al. (2007a) estimated particulate methoxyphenols emissions to be $35 \pm 24 \text{ mg Kg}^{-1}$ for wheat straw burning, while Hays et al. (2005) measured the same compounds to be 6.8 mg Kg^{-1} . Carbonaceous materials like PAHs and Phenols or aromatic and phenolic deviates are the main chromophores in the atmosphere, and the considerable fractions of PAHs and Phenols

justify biomass burning as a significant source of brown carbon (Laskin et al., 2015), study has proved ~ 50% of the light absorption in the solvent-extractable fraction of smoke aerosol can be attributed to these strong BrC chromophores (Lin et al., 2016). ”

Line 866: add in the manuscript “in line with result from domestic burning of wood and field investigation of crop straw burning (Li et al., 2007; Zhang et al., 2012)”

Line 990: add in the manuscript “Qin and Xie (2011, 2012) developed national carbonaceous aerosol emission inventories from biomass open burning for multi-years with dynamic burning activity, they believed BC and OC emissions followed an exponential growth from 14.03 and 57.37 Gg in 1990 to 116.58 and 476.77 Gg in 2009. Cao et al. (2006, 2011) calculated smoke aerosol emissions from biomass burning in China for 2000 and 2007 using the same activity data from BAU-I scenarios, national OC and EC emissions were reported to be 425.9 and 103.0 Gg in 2000, however, no evident changes were found for the emissions in 2007, which were assessed to be 433.0 and 104.0 Gg. Huang et al. (2012b) estimated crop burning in the fields with unified EFs and burning rate (~6.6 %) for all kinds of crops across China in 2006, the estimated annual agricultural fire emissions were about 270, 100, and 30 Gg for PM_{2.5}, OC, and BC, respectively. In present work, agricultural fire PM_{2.5} emissions in 2012 were allocated into six zones, average contribution in percentage for each zone was compared: NPC (23.1 %) ≥ NC (21.6 %) > PRD (18.4 %) ≥ CC (18.2 %) > WC (9.8 %) > YRD (8.8 %). Furtherly, contribution for summertime emissions was: NPC (35.5 %) > CC (28.8 %) ≥ PRD (21.1 %) > YRD (9.1 %) > WC (5.4 %) > NC (0.1 %), and for autumn harvest emissions: NC (27.8 %) > NPC (19.6 %) > PRD (17.6 %) > CC (15.1 %) > WC (11.1 %) > YRD (8.8 %)”

Line 1011: add in the manuscript “It was obviously that the North Plain experienced extensive crop fire emissions during the whole harvest periods, where PM_{2.5}, PM_{1.0}, OC, and BC emissions in 2012 were 233.6, 209.8, 102.3, and 29.4 Gg on average. Liu et al. (2015) developed emission inventories from agricultural fires in the North Plain based on MODIS fire radiative power, emission for PM_{2.5}, OC, and BC in 2012 was reported to be 102.3, 37.4, and 13.0 Gg, respectively. However, EFs were also treated as unified values (e.g., Crop burning EFs for PM_{2.5}, OC, and BC was 6.3, 2.3, and 0.8 g Kg⁻¹) in the work of Liu et al. (2015) that was cited directly from Akagi et al. (2011) without considering fuel type dependence of EFs. Zhao et al. (2012) established comprehensive anthropogenic emission inventories for Huabei Region including the North Plain, Inner Mongolia, and Liaoning province, all crop straws were assumed to be burnt in the field, resulting in much more emissions of 446 Gg OC and 160 Gg BC in 2003. A specific temporal pattern for agricultural fire emissions was observed in the Northeast of China (Heilongjiang, Liaoning, and Jilin), where the open burning were mainly occurred in autumn harvest to produce great amount of pollutants (217.5 Gg PM_{2.5}, 89.4 Gg OC, and 29.7 Gg EC), while emissions in the summertime can be neglected.”

Question 2: China maps used in Figure 7 are incomplete, part of Xinjiang and Tibet is missing from maps in Figure 7, there should be a reason to explain this.

Answer 2: Thanks for your comment. Figure 7 displays geographic distribution of pollutants which is drawn by ArcGIS software, the final graph was designed to contain the figures for all the five versions and also the average one, the map was clipped and zoomed in to show more detailed information of subgraph (the legend). Moreover, information of provincial emissions for Xinjiang, Tibet, and Heilongjiang was not lost.

Question 3: Line 228, the definition of MCE (Modified Combustion Efficiency) should be given. $MCE = \Delta CO_2 / (\Delta CO_2 + \Delta CO)$, where ΔCO_2 and ΔCO are the excess molar mixing ratios of CO_2 and CO , and thus cannot be monitored directly, as stated on Line 228.

Answer 3: Thanks for your reminding, definition of MCE has been corrected and added in Line 229.

Line 238: add in the manuscript “with CO and CO_2 measuring to determine the burning phase and ensure the repeatability. MCE is defined as $\Delta CO_2 / (\Delta CO_2 + \Delta CO)$, where ΔCO_2 and ΔCO are the excess molar mixing ratios of CO_2 and CO (Reid et al., 2005b).”

Question 4: When stating there are “significant differences” between means, the statistical tests should be conducted and the results should be also given. Otherwise, there are no significant evidence that one mean differs from the other. The statistical test should be conducted in Line 495, 519, 617, 766...

Answer 4: Thanks for your comment, we have added the significance test for the corresponded statistical conclusions in the manuscript, e.g., from multivariate statistical analysis considering fuel type and size range effect on the chemical compositions for smoke $PM_{2.5}$ and $PM_{1.0}$, significant difference was found ($P < 0.05$ at 95% CI)

Table 1 Multivariate statistical analysis for chemical compositions of smoke $PM_{2.5}$ and $PM_{1.0}$ from five agricultural residues burning

Species	$PM_{2.5}$		$PM_{1.0}$	
	Emission factor	Mass fraction	Emission factor	Mass fraction
$PM_{2.5}$	0.000			
$PM_{1.0}$	0.000	0.650	0.000	
OC	0.000	0.275	0.000	0.170
EC	0.000	0.013	0.010	0.189
WSOA	0.004	0.040	0.003	0.049
WSA	0.000	0.011	0.000	0.015
WSI	0.001	0.000	0.000	0.000
SO_4^{2-}	0.000	0.000	0.000	0.020
Cl ⁻	0.000	0.000	0.000	0.000
NH_4^+	0.000	0.000	0.000	0.000
K^+	0.000	0.000	0.000	0.000

THM	0.000	0.030	0.000	0.017
PAHs	0.001	0.008	0.001	0.037
Phenols	0.000	0.019	0.006	0.006

Note: SPSS analysis, $P < 0.05$ means significant difference at 95% confidence interval (CI)

Question 5: Although several ways to estimate uncertainties of the emissions were mentioned in Section 3.3.4 (Line 827 to Line), it is not clear which method is used in this study. For the emission inventory in this study, a discussion of the overall inventory uncertainty is needed and this could be given by considering the uncertainties in each of the terms in the inventory (Eq 5).

Answer 5: Thanks for your comment, in the previous manuscript, we only considered the uncertainties for the average emission inventory from the 5 versions using the uncertainty propagation calculation as:

$$U_{total} = \frac{\sqrt{\sum_i^n (U_i \times x_i)^2}}{\sum_i^n x_i} \quad [1]$$

$$U_{total} = \sqrt{\sum_i^n U_i^2} \quad [2]$$

Where U_i is uncertainty in percentage for variate i , x_i is the variate, and equation 1 for addition rule, equation 2 for multiplication rule.

We reassessed the uncertainties for each copy of the emission inventory via Monte Carlo simulation. We assumed: a normal distribution with coefficient variance (CV) of 30% for all the official statistics (crop production and economic data from Statistic Yearbook, filed burning ratio from NDRC report), a normal distribution with CV of 50% for open burning ratios collected from literature (BAU-I and BAU-II), and a normal distribution with CV of 30% for the rest activity data (crop-to-residue ratio, dry matter fraction, and burning efficiency). The uncertainties for smoke EFs are species-fuel type dependent, and we applied the uncertainties (95% CI) for smoke EF species as we measured ones. We ran 10000 Monte Carlo simulations to estimate the uncertainties for all the 5 versions of emission inventories, then we applied the uncertainty propagation calculation of equation 1 and 2 to assess the uncertainties for the average emissions, the uncertainties for national smoke PM emissions in 2012 were presented in the table below:

Table 2 Uncertainties for the national smoke PM emissions in 2012 (pollutant emission in unit of Gg/yr, 95% CI in percentage)

Species	BAU-I		BAU-II		EM-I		EM-II		NDRC		Average	
PM _{2.5}	1001.1	(-52.3% , 73.5%)	835.4	(-48.7% , 68.8%)	1211.9	(-63.6% , 84.3%)	738.4	(-55.9% , 74.3%)	1241.7	(-46.2% , 65.1%)	1005.7	(-24.6% , 33.7%)
PM _{1.0}	897.5	(-51.6% , 73.0%)	748.6	(-48.4% , 68.6%)	1087.1	(-62.9% , 83.8%)	661.8	(-55.5% , 74.1%)	1111.9	(-45.7% , 64.7%)	901.4	(-24.4% , 33.5%)
OC	429.5	(-50.5% , 71.5%)	361.0	(-48.9% , 69.2%)	519.3	(-61.4% , 81.8%)	318.8	(-55.6% , 74.1%)	533.2	(-47.1% , 66.7%)	432.4	(-24.2% , 33.3%)
EC	133.6	(-52.1% , 73.6%)	111.4	(-50.1% , 71.0%)	162.7	(-63.3% , 84.3%)	98.1	(-56.8% , 75.7%)	165.0	(-46.7% , 66.0%)	134.2	(-24.8% , 34.0%)
char-EC	112.8	(-51.1% , 73.3%)	93.8	(-49.4% , 69.9%)	137.2	(-63.1% , 84.0%)	82.8	(-60.8% , 80.7%)	139.2	(-46.2% , 65.4%)	113.1	(-24.8% , 34.1%)
soot-EC	20.8	(-53.7% , 74.7%)	17.5	(-55.3% , 77.6%)	25.5	(-65.9% , 87.4%)	15.2	(-61.8% , 81.9%)	25.7	(-50.6% , 71.1%)	21.0	(-26.3% , 35.9%)
WSOA	24.4	(-68.5% , 86.2%)	21.9	(-75.7% , 95.2%)	29.7	(-78.7% , 96.2%)	18.8	(-77.8% , 95.4%)	30.8	(-67.5% , 85.1%)	25.1	(-33.3% , 41.4%)
WSA	5.8	(-62.8% , 82.1%)	4.9	(-65.9% , 84.1%)	7.0	(-73.9% , 93.2%)	4.2	(-69.3% , 86.3%)	7.2	(-58.7% , 75.9%)	5.8	(-30.1% , 38.5%)
WSI	250.0	(-54.4% , 77.2%)	204.5	(-47.5% , 67.4%)	301.8	(-66.9% , 89.3%)	182.3	(-56.1% , 74.8%)	310.3	(-46.9% , 66.4%)	249.8	(-25.4% , 34.9%)
THM	8.7	(-56.2% , 77.5%)	7.2	(-52.8% , 71.4%)	10.6	(-67.5% , 88.3%)	6.4	(-61.2% , 79.5%)	10.6	(-50.8% , 69.4%)	8.7	(-26.6% , 35.6%)
PAHs	0.5	(-55.2% , 75.7%)	0.4	(-52.4% , 72.2%)	0.6	(-66.5% , 86.8%)	0.4	(-58.8% , 76.9%)	0.6	(-49.3% , 67.8%)	0.5	(-26.0% , 34.9%)
Phenols	2.7	(-56.1% , 77.6%)	2.3	(-51.4% , 70.6%)	3.3	(-67.3% , 88.3%)	2.0	(-59.9% , 78.4%)	3.4	(-48.7% , 67.1%)	2.7	(-26.1% , 35.1%)

Line 1065: add in the manuscript “The uncertainties in emission inventory can also be estimated by comparing different emission inventories for the same region and period (Ma and Van Aardenne, 2004)”

Line 1071: add in the manuscript “we investigated the uncertainties of multi-pollutants emissions for agricultural residue open burning using Monte Carlo Simulation. Detailed methodology was referred to Qin and Xie (2011). We followed the assumption: a normal distribution with coefficient of variation (CV) of 30% for the official statistics (e.g., crop production and GDP economic data obtained from Statistic Yearbooks, field burning rates for agricultural straw derived from NDRC report, etc.), a normal distribution with 50% CV for open burning rates from literature (BAU-I and BAU-II), and a uniform distribution with $\pm 30\%$ deviation for the rest activity data (crop-to-residue ratio, dry matter fraction, and burning efficiency). Regarding the emission factors, Bond et al. (2004) assumed that most particulate EFs followed lognormal distributions with CV of $\pm 50\%$ for domestic EFs, and of $\pm 150\%$ for EFs obtained from foreign studies. Here, we applied the CV of smoke EFs as we measured ones, which were chemical species and fuel type dependent. With randomly selected values within the respective probability density functions (PDFs) of EFs and activity data for each biomass type, Monte Carlo simulation was implemented for 10,000 times, and the uncertainties in national yearly multi-pollutants emissions at 95% CI were obtained for all the 5 versions. Afterwards, uncertainties for the average emission inventories were assessed using the propagation of uncertainty calculation that suggested by IPCC (1997) (method in SI), and all the emission uncertainties were presented in percentage in Table 6. Emissions for water soluble aminiums and organic acids had the vast uncertainties, due to their large deviation in EFs compared with other smoke species. Besides, emissions of BAU versions were more accurate than EM versions, because of more uncertainty addition in the

burning rates conversion using economic data for EM versions. Otherwise, burning rates derived from NDRC report were assumed to have less uncertainty, resulting in the least uncertainties in smoke emission assessments. On average of all the 5 versions, mean, 2.5th percentile, and 97.5th percentile values for smoke PM_{2.5} emissions in 2012 were 1005.7, 758.3, and 1344.6 Gg, respectively. As to OC emissions, mean, 2.5th percentile, and 97.5th percentile values were 432.4, 327.8, and 576.4 Gg, the figure for EC was 134.2, 100.9, and 187.9 Gg. Therefore, the overall propagation of uncertainties for smoke PM_{2.5}, OC, and EC at 95% CI was [-24.6%, 33.7%], [-24.4%, 33.5%], and [-24.2%, 33.3%], respectively. The uncertainties for OC and EC emissions were much less than the work of Qin and Xie (2011), in which emission and uncertainties were 266.7 Gg [-55.9%, 96.1%] for OC and 66.9 Gg [-53.9%, 92.6%] for EC in 2005”

Question 6: Line 215, “costume-built” should be “custom-built”; Citation formatting and styling errors should be corrected carefully. For example, Line 360, References should be cited with publication year. Chen et al. (2001) is cited under Cao’s publication... Line 374, Qin et al. (2012) is cited, but is missing from References list.

Answer 6: “custom-built” has been corrected in Line 215, citation errors have been carefully checked and modified.

Line 224: “custom-built” has been corrected

Line 65: “Andreae and Merlet, 2001” has been corrected

Line 71: “Qin and Xie, 2012” has been corrected and added in the reference list

Line 79: “Andreae and Merlet, 2001” has been corrected

Line 81: “Qin and Xie, 2012” has been corrected

Line 94: “Arora and Jain, 2015” has been corrected

Line 123: “Qin and Xie, 2011, 2012” has been corrected

Line 148: “Ostro and Chestnut, 1998” has been corrected

Line 182: “Reddy and Venkataraman, 2000” has been corrected

Line 226: “Zhang et al., 2008a, 2011” has been corrected

Line 404: “CAREI, 2000” deleted

Line 435: “Cermak and Kuntti, 2009” has been corrected

Line 490: “Bell and Hipfner, 1997” has been corrected

Line 522: “Aunan and Pan, 2004” has been corrected

Line 625: “Arora and Jain, 2015” has been corrected

Line 633: “Andreae and Gelencsér, 2006” has been corrected

Line 673: “Arora and Jain, 2015” has been corrected

Line 702: “Andreae and Gelencsér, 2006” has been corrected

Line 711: “Qiu and Zhang, 2012” has been corrected

Line 715: “Lee and Wexler, 2013” has been corrected

Line 718: “Schade and Crutzen, 1995” has been corrected

Line 744: “Arey and Atkinson, 2003” has been corrected

Line 798: “Berndt and Boge, 2006” has been corrected

Line 849: “Amdur and Chen, 1989” has been corrected

#2 Review comments: "Multi-pollutants emissions from the burning of major agricultural residues in China and the related health-economic effect assessment" by Li C. et al..

This study investigates the emission factors of multi-pollutants from five major crop residues in China, and tries to estimate emission inventory and their corresponding health-economic effect. This paper is well organized and presents some interesting data. However, detailed explanations about the design should be given to ensure the data quality.

Answer: Thanks for your carefully review!

Question 1: When the crop residues were dehydrated at 100 degree C for 24 hrs, what are the impacts to the emission factors and PM compositions?

Answer 1: Pretreatment of biomass fuel in burning simulation is a practical and necessary procedure to ensure the result can be comparable with other studies under the defined conditions, like dehydration at 100 °C for 24 hrs to ensure water content of the residue within 2 wt. %, which has been applied in many burning studies (Hayashi et al., 2014; Huo et al., 2016; Li et al., 2015; Oanh et al., 2011; Zhang et al., 2008; Zhang et al., 2011). Water content of residue is a variate response to the smoke particle emissions and burning efficiency of biofuel (Hayashi et al., 2014; Oanh et al., 2011), and residue moistness has been shown to be positively correlated with particle emissions in range of 5~35 wt.%. However, empirical emission inventory calculation has to simplify the water content of residues to get the final dry matter, thus we designed our combustion method by dehydration the biomass fuel in front, besides, the residues we collected from field have water content of less than 5 wt.% on average (wheat: 3.7 wt.%, rice: 4.4 wt.%, corn: 6.3 wt.%, soybean: 5.1 wt.%, cotton: 4.6 wt.%), the dehydration to get water content within 2 wt.% will have much weaker influence on the chemical emissions.

Question 2: There are huge variations on EFs of crop residues, and they depend on lots of factors such as, sources of crop residues, burning temperature, burning efficiency etc. What are the differences between chamber study and open burning? As the burning last about 1 min only (in chamber study), can it represent the real open burning results? Moreover, what is the dilution ratio in the chamber study?

Answer 2: Chamber simulation has defined advantages over the field burning study, but it is also the paradox that chamber work can hardly reproduce the practical burning that be impacted by many influences in the field. To the emission factor measurements, we have to trade-off and simplify some impacts reasonably, for example burning efficiency, water content, and meteorological parameter etc, however, we don't mean these impacts can be neglected. Previous work told result from lab simulation will be reasonably agree with that from field burning under fixed combustion efficiency, while some particulate compounds like EC and PAHs may be overestimated in chamber study due to high and concentrated mass loading of PM and their impact on the measurements (Dhammapala et al., 2007). We controlled the preparation time to be less than 5 min (<2 min for the burning, ~3 min for chamber stabilization), minimizing the aging and diffusion/deposition of the primary emissions, and under the fixed combustion efficiency, seldom studies ever considered burning time as an impact factor on emission factor estimation (Dhammapala et al., 2007; Zhang et al., 2008). The chamber has a volume of 4.5m³, mass concentration for smoke PM_{2.5} at initial time

in the chamber are 10~30 mg m⁻³, during sampling and monitoring from the chamber, the dilution ratio for is 10:1~50:1 (details in supporting information).

Question 3: The detection limits (MDL) for all analysis should be provided.

Answer 3: The detection limits (DL) were added in the manuscript as below presented, water soluble species were measured using IC techniques, and DLs were about 0.5~3.5 ng mL⁻¹.

Table 3 Detection limit and recovery rate of water soluble species measured by IC

Water soluble species	ng mL ⁻¹	recovery rate
Na ⁺	0.59	99.1%
NH ₄ ⁺	0.63	96.5%
K ⁺	1.65	98.8%
Ca ²⁺	3.33	103.0%
Mg ²⁺	2.07	101.5%
F ⁻	0.72	99.3%
Cl ⁻	0.47	99.6%
NO ₂ ⁻	1.11	92.5%
NO ₃ ⁻	0.93	101.0%
SO ₄ ²⁻	0.68	99.0%
MeOH ⁺	1.12	94.4%
MMAH ⁺	0.59	97.3%
MEAH ⁺	1.03	106.1%
TEOH ⁺	1.13	95.0%
DEAH ⁺ + TMAH ⁺	0.61	103.6%
DMAH ⁺	1.37	104.2%

OC-EC was measured using Thermal/Optical Carbon Analyzer, as aerosol samples were deposited onto the quartz filter, detection limits for total OC and EC were 0.82 and 0.22 µg C cm⁻². Elements (As, Zn, Pb, Cd, Cr, Ni, V, and Al) were measured by ICP-OES, DLs were within 0.2~2.1 ng mL⁻¹. PAHs and Phenols were measured using GC-MS, we prepared standard solutions with 6 concentration gradients of 0.020, 0.04, 0.10, 0.15, 0.25, 0.40 ppm for 16 target mixed PAHs, and 0.05, 0.10, 0.25, 0.50, 1.50, 4.00 ppm for 5 target mixed Phenols, all reagents used were of highest grades, and water used were Mili-Q one. Before all the measurements, recovery tests for the chemical components were conducted.

Table 4 Detection limit and recovery rate of multi-pollutants

Elements	Molecular weight	recovery rate	DLs (ng mL ⁻¹)
As	74.9	93.0%	2.0
Zn	65.4	98.3%	0.2
Pb	207.2	99.7%	1.5
Cd	112.4	96.7%	0.1
Ni	58.7	102.0%	0.2
Cr	52.0	94.9%	0.2
V	50.9	98.7%	0.5
Al	27.0	95.7%	0.9
PAHs	Molecular weight	recovery rate	DLs (ng mL ⁻¹)
naphthalene	128	97.0%	0.8

acenaphthylene	152	98.5%	1.8
acenaphthene	154	96.2%	1.1
flourene	166	88.3%	1.0
anthracene	178	96.9%	0.5
phenathrene	178	97.9%	0.9
flouranthene	202	94.4%	1.0
pyrene	202	96.1%	0.5
benz[a]anthracene	228	96.7%	0.1
chrysene	228	94.1%	2.0
benzo[a]pyrene	252	95.3%	1.0
benzo[b]flouranthene	252	88.7%	0.9
benzo[k]flouranthene	252	84.9%	0.9
benzo[g,h,i]pyrene	276	84.9%	0.6
indeno[1,2,3-cd]pyrene	278	90.9%	0.6
dibenz[a,h]anthracene	276	84.9%	0.8
Phenols	Molecular weight	recovery rate	DLs (ng mL⁻¹)
phenol	94	93.0%	0.5
2-methoxyphenol	124	82.0%	2.0
4-ethylphenol	122	84.5%	4.0
4-ethyl-2-methoxyphenol	152	86.1%	~5.0
2,6-dimethoxyphenol	154	87.5%	~5.0

Line 290: add in the manuscript “Sampled filters were ultrasonically extracted with 15.0 mL deionized water (Mili-Q water, 18.2 MΩ·cm), extracted solutions were filtrated using 0.2 μm filters before injected into IC for measurement. Detection limits (DLs) for the ions and aminiums were within 0.5~3.5 ng mL⁻¹, the correlation coefficients for all calibration curves were better than 0.99, and recovery rates for aminiums were in the range of 93%~106% (see in SI, Table S1). Details for the aminium measurements can be found in the work of Tao et al. (2016).

Line 303: add in the manuscript “The following wavelength lines of the ICP-OES analysis were used: As 189.042, Pb 220.353, Cd 228.802, Cr 205552, Ni 231.604, V 311.071, Zn 206.191, and Al 394.401. All reagents used were of highest grades, and recovery tests were conducted with standard additions, recoveries of each element were in the range of 93%~102% (see in SI, Table S1).”

Line 317: add in the manuscript “using an Agilent 6890 Series gas chromatography system coupled with a HP 5973 Mass Selective Detector (GC-MS, Agilent Technologies, Wilmington DE) . A DB-5ms (30 m × 0.32 mm × 0.25 mm, Agilent 123-5532) column was installed. The temperature programs were presented as follows: initially at 40 °C, hold for 4 min, to 150 °C at 20 °C min⁻¹, then to 280 °C at 5 °C min⁻¹, hold for 10 min. The interface temperature was kept at 280 °C, the MS was operated in electron impact mode with an ion source temperature of 230 °C, and the high-purity helium (99.999%) carrier gas was maintained at a constant pressure of 16.2 psi with a flow of 2.0 mL min⁻¹. The calibration curves were optimized to be better than 99.9%. Prior to the measurements, PAHs and Phenols recovery studies were undertaken, and recoveries

were acceptable with rates of 82%~99% (see in SI, Table S1). In addition, Phenanthrene-d10 (Phe-d10) as internal standard surrogate was added into the PAHs mixture, recovery rate of which was 94%.”

Line 342: add in the manuscript “The instrument detection limits for total OC and EC that deposit on the filter are 0.25 and 0.12 $\mu\text{g C cm}^{-2}$. Moreover, environmental EC in aerosol is a mixture of compounds from slightly charred, biodegradable materials to highly condensed and refractory soot, different EC materials have distinct different thermodynamic properties, study found char-EC decomposes much rapidly than soot when exposed to chemical and thermal oxidation, e.g., EC decomposition temperatures in air increased from $\sim 520^\circ\text{C}$ for char to $\sim 620^\circ\text{C}$ for soot, and exceeded 850°C for graphite, thus, regarding to different oxidation temperatures,”

Question 4: It is interesting to determine char and soot, however, the temperature protocol is IMPROVE, but not NIOSH. Any calibrations have been performed with pure soot and char (standard)?

Answer 4: Yes, as you mentioned, most of the studies discriminated Char-/soot-EC from carbon analyzer based on TOR (Thermal-optical reflectance) with IMPROVE protocol, it is empirical function to define char-EC as EC1-PC and soot-EC as EC2+EC3, we did not calibrated the performance of the carbon analyzer used in soot/char classification, but we ever characterized diesel soot particles (diesel engine exhaust) and wood-char (600°C power plant) using the OC-EC analyzer (TOT-NIOSH) combined with TG-MS (Thermogravimetric-MS analysis) and chemical analysis, the result was given below:

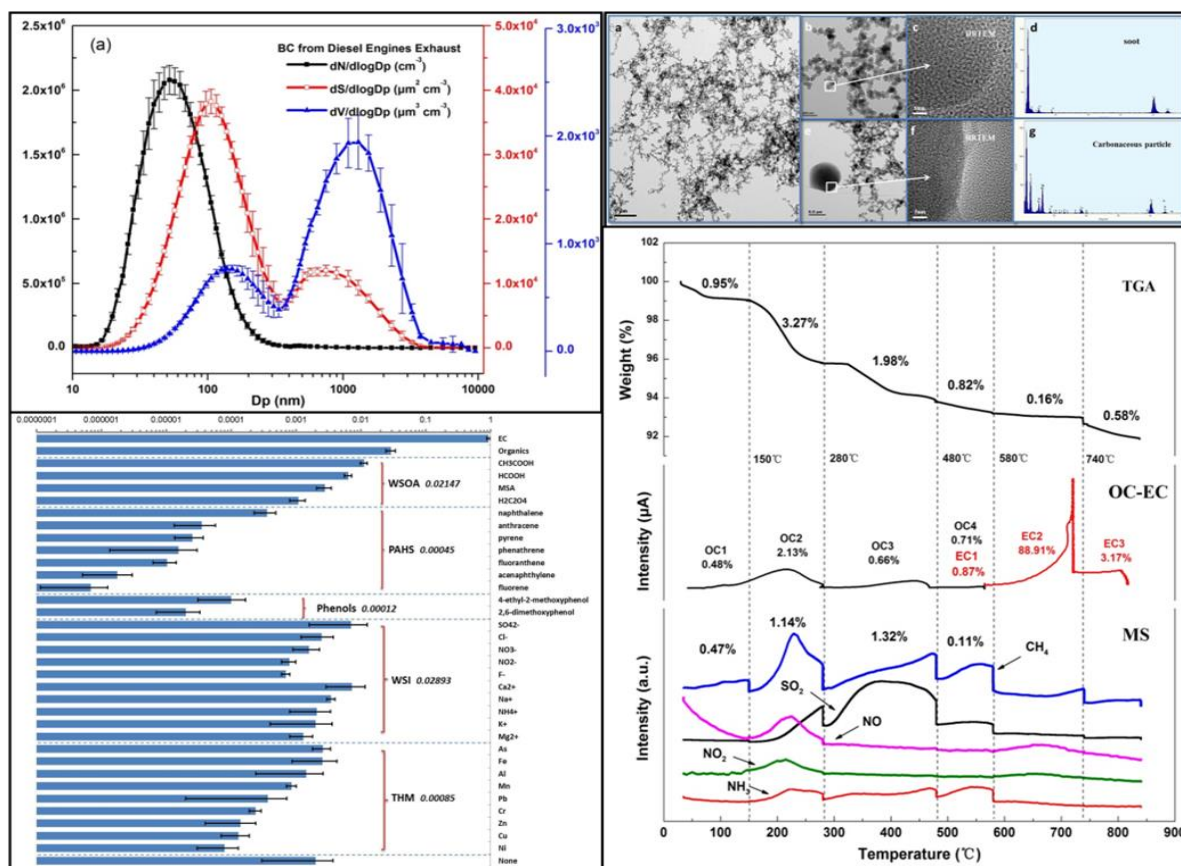


Figure 1 characterization of soot particles (size distribution, morphology, and chemical profiles)

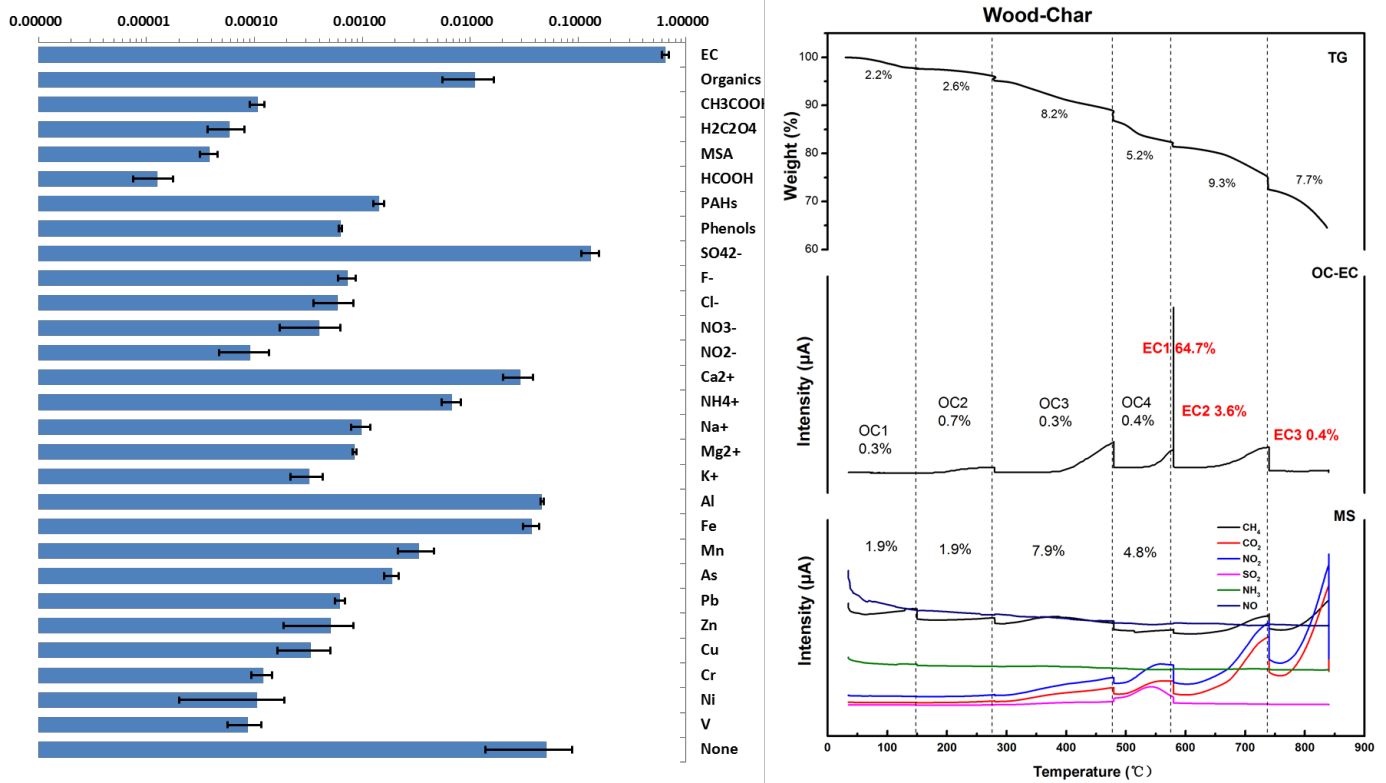


Figure 2 Chemical profiles and TG-MS-Carbon analysis of wood-char

It was obviously soot deposited into EC2 and EC3 fractions ((EC2+EC3)/EC~1.0), while char responded to EC1 ((EC1-PC)/EC~0.94), it seems that NIOSH protocol method is also possible to measure char-/soot-EC of aerosol. Han et al (2016) compared the OC-EC measurements between TOT and TOR methods with different protocols (IMPROVE, IMPROVE-A, EUSAAR-2 or modified NIOSH), good correlations among carbon results measured with the various methods were observed, but TOT-NIOSH method may overestimate PC fraction sacrificing EC part compared with TOR-IMPROVE method, thus different methods have impact on OC-EC split. However, char-/soot-EC were classified by different oxidation temperature, carbon analyzer based on TOT-NIOSH method in this study was set to operate at the same temperature gradient as Han et al (2007; 2009; 2016) ever performed, that means neglecting the impact of the methods on OC-EC split, calculation of char-EC and soot-EC can be also feasible in this study, but this method may underestimate char-EC, leading to lower char-EC/soot-EC ratio. More precise experiments will be conducted in the future to investigate the applicability of TOT-NIOSH method in char-/soot-EC measurements.

Question 5: Please describe how to screen agricultural fire from MODIS daily fire products? What are the selecting criteria?

Answer 5: Data of mainland agricultural fire sites was derived from the daily report of the Ministry of Environmental Protection of China (MEPC) (website: <http://hjj.mep.gov.cn/jgjs/>). MEPC selected MODIS (Moderate Resolution Imaging Spectroradiometer) Thermal Anomalies/Fire products based on space observations of NASA's Terra and Aqua satellites. Fire detection algorithm was used MODIS Thermal Anomalies/Fire daily products (MOD 14/MYD14) through brightness temperature derived from the MODIS 3.95 and 11.0 µm channels, of which 3.95 µm channel to detect fire sites via infrared radiation, and 11.0 µm

channel to derive cloud and land background temperature. The product is tile-based, with each product file spanning one of 460 MODIS tiles, of which 326 contain land pixels, and in 1 Km gridded cell over each daily (24 h) compositing period. Two observations per day are possible with the Terra overpass at 10:30 local time and the Aqua overpass at 13:30 local time. Version 4 of MODIS fire detection data was used combining with 1 Km land cover dataset (Global land cover-China), active fire detection that occurred on the land cover classes defined as “farm” and “mosaic of cropping” was identified as crop residue burning in fields.

Question 6: In this study, five crop residues were selected to determine their multi-pollutants emission factors, but there are other major crop residues not considered in this study, e.g. sugarcane, barley etc. There should be a reason to explain why such crop residues were not considered and how to determine the emission inventories in some provinces (with high sugarcane and barley production).

Answer 6: Thanks for your comment, the article presents pollutant emissions from major agricultural residues burning in China, and wheat, rice, corn, soybean, and cotton are surely the dominated agricultural productions in China, which contribute over 90 wt.% of national residue yields from China Statistical Yearbook (NBSC, 2013; Qin and Xie, 2011). Field burning of wheat, rice, and corn straws burning was the most common agricultural open burning and drawn much attention. Some crops like sugarcane and barley are regional cash crops that are mainly planted in Guangdong, Guangxi, and Hainan, where the total residue productions make less than 8 wt.% of national ones, even in the specific province themselves, sugarcane and barley residues contribute less than 30 wt.% of the straws on average (NBSC, 2013). Besides, this study focused on giving the updated and comprehensive emission factors of the most field burnt agricultural straws via chamber simulation method, we are quite sorry that we cannot take all the residues into consideration.

Question 7: There is some typo errors found in the manuscript: Line 215, “costume-built” should be “custom-built” Line 330, “Corp straw” should be “Crop straw”

Answer 7: Thanks for your comment, we have fully checked and modified the manuscript.

Line 224: “custom-built” has been corrected

Line 390: “Crop straw” has been corrected

References:

- C. Li *et al.*, Evolution of biomass burning smoke particles in the dark, *ATMOS ENVIRON* **120**(2015), pp. 244-252.
- H. Zhang *et al.*, A laboratory study of agricultural crop residue combustion in China: Emission factors and emission inventory, *ATMOS ENVIRON* **42**(2008), pp. 8432-8441.
- H. Zhang *et al.*, Particle Size Distribution and Polycyclic Aromatic Hydrocarbons Emissions from Agricultural Crop Residue Burning, *ENVIRON SCI TECHNOL* **45**(2011), pp. 5477-5482.
- J. Huo *et al.*, Online single particle analysis of chemical composition and mixing state of crop straw burning particles: from laboratory study to field measurement, *FRONT ENV SCI ENG* **10**(2016), pp. 244-252.
- K. Hayashi *et al.*, Trace gas and particle emissions from open burning of three cereal crop residues: Increase in residue moistness enhances emissions of carbon monoxide, methane, and particulate organic carbon, *ATMOS ENVIRON* **95**(2014), pp. 36-44.
- N.T.K. Oanh *et al.*, Characterization of particulate matter emission from open burning of rice straw, *ATMOS ENVIRON* **45**(2011), pp. 493-502.

NBSC, China Statistical Yearbook 2013., China Statistics Press Beijing, China (2013).

R. Dhammapala, C. Claiborn, C. Simpson and J. Jimenez, Emission factors from wheat and Kentucky bluegrass stubble burning: Comparison of field and simulated burn experiments, *ATMOS ENVIRON* **41**(2007), pp. 1512-1520.

Y. Han *et al.*, Evaluation of the thermal/optical reflectance method for discrimination between char- and soot-EC, *CHEMOSPHERE* **69**(2007), pp. 569-574.

Y. Qin and S.D. Xie, Historical estimation of carbonaceous aerosol emissions from biomass open burning in China for the period 1990 - 2005, *ENVIRON POLLUT* **159**(2011), pp. 3316-3323.

Y.M. Han *et al.*, Carbonaceous aerosols in megacity Xi'an, China: Implications of thermal/optical protocols comparison, *ATMOS ENVIRON* **132**(2016), pp. 58-68.

Y.M. Han, S.C. Lee, J.J. Cao, K.F. Ho and Z.S. An, Spatial distribution and seasonal variation of char-EC and soot-EC in the atmosphere over China, *ATMOS ENVIRON* **43**(2009), pp. 6066-6073.

1 **Multi-pollutants emissions from the burning of major**
2 **agricultural residues in China and the related**
3 **health-economic effect assessment**

4 Chunlin Li¹, Yunjie Hu¹, Fei Zhang¹, Jianmin Chen^{1,2}, Zhen Ma¹, Xingnan Ye¹, Xin
5 Yang^{1,2}, Lin Wang^{1,2}, Xingfu Tang¹, Renhe Zhang², Mu Mu², Guihua Wang²,
6 Haidong Kan³, Xinming Wang⁴, Abdelwahid Mellouki⁵

7 ¹Shanghai Key Laboratory of Atmospheric Particle Pollution and Prevention (LAP³), Department
8 of Environmental Science & Engineering, Fudan University, Handan Road 220, Shanghai 200433,
9 China

10 ²Institute of Atmospheric Sciences, Fudan University, Handan Road 220, Shanghai 200433, China

11 ³Public Health School, Fudan University, Dongan Road 120, Shanghai 200032, China

12 ⁴State Key Lab of Organ Geochemistry, Guangzhou Institute of Geochemistry, Chinese Academy
13 of Sciences, Kehuajie Road 511, Guangzhou 510640, China

14 ⁵Institut de Combustion, Aérothermique, Réactivité et Environnement, CNRS, 45071 Orléans
15 cedex 02, France

16 *Correspondence to:* J. M. Chen (jmchen@fudan.edu.cn)

17 **Abstract.** Multi-pollutants in smoke particulate matter (SPM) were identified and
18 quantified for biomass burning of five major agricultural residues such as wheat, rice,
19 corn, cotton, and soybean straws in China by aerosol chamber system combining
20 with various measurement techniques. The primary emission factors (EFs) for PM_{1.0}
21 and PM_{2.5} are 3.04-12.64 and 3.25-15.16 g kg⁻¹. Organic carbon (OC), elemental
22 carbon (EC), char-EC, soot-EC, water-soluble inorganics (WSI), water-soluble
23 organic acids (WSOA), water-soluble amine salts (WSA), trace mineral elements
24 (THM), polycyclic aromatic hydrocarbons (PAHs), and phenols in smoke
25 PM_{1.0}/PM_{2.5} are, 1.34-6.04/1.54-7.42, 0.58-2.08/0.61-2.18, 0.51-1.67/0.56-1.76,
26 0.05-0.41/0.05-0.42, 0.51-3.52/0.52-3.81, 0.13-0.64/0.14-0.77,
27 (4.39-85.72/4.51-104.79)×10⁻³, (11.8-51.1/14.0-131.6)×10⁻³, (1.1-4.0/1.8-8.3)×10⁻³,
28 and (7.7-23.5/9.7-41.5)×10⁻³ g kg⁻¹, respectively. EC and soot-EC mainly exist in

29 PM_{1.0}, ~~which are confirmed by morphology analysis. Heavy and heavy~~
30 metal-bearing particles favor to reside in the range of smoke PM_{1.0-2.5}, ~~which are~~
31 ~~confirmed by individual particle analysis.~~

32 With respect to five scenarios of burning activities or straw field burning rates, ~~the~~
33 ~~average emissions and overall propagation of uncertainties at 95% confidence~~
34 ~~interval (CI) of the total emissions of SPM~~ from agricultural open burning in China
35 in 2012 were estimated for PM_{2.5}, PM_{1.0}, OC, EC, char-EC, soot-EC, WSI, WSOA,
36 WSA, THM, PAHs, and phenols to be ~~1005.7 (-24.6% , 33.7%), 901.4 (-24.4%,~~
37 ~~33.5%), 432.4 (-24.2%, 33.5%), 134.2 (-24.8%, 34.0%), 113.1 (-24.8%, 34.1%), 21.0~~
38 ~~(-26.3%, 35.9%), 249.8 (-25.4%, 34.9%), 25.1 (-33.3%, 41.4%), 5.8 (-30.1%,~~
39 ~~38.5%), 8.7 (-26.6%, 35.6%), 0.5 (-26.0%, 34.9%), and 2.7 (-26.1%, 35.1%)~~
40 ~~Gg, 0.74-1.24, 0.66-1.11, 0.32-0.53, 0.10-0.16, 0.08-0.14, 0.02-0.03, 0.18-0.30,~~
41 ~~0.019-0.031, 4.23-7.19×10⁻³, 6.36-10.64×10⁻³, 0.35-0.59×10⁻³, and 2.02-3.40×10⁻³~~
42 ~~Tg~~, respectively. The emissions were further temporal-spatially characterized using
43 geographic information system (GIS) at different regions in summer and autumn
44 post-harvest periods. It is found less than 25 % of the total emissions were released
45 during summer harvest period that was mainly contributed by the North Plain and
46 the Central of China, especially Henan, Shandong, and Anhui, leading the top three
47 provinces of smoke particle emissions.

48 Flux concentrations of primarily emitted smoke PM_{2.5} that were calculated using
49 box-model method based on five versions of emission inventories all exceed the
50 carcinogenic risk permissible exposure limits (PEL). The health impacts and
51 health-related economic losses from the smoke PM_{2.5} short-term exposure were
52 assessed. The results show that China suffered from 7836 (95 % confidence interval
53 (CI): 3232, 12362) premature mortality and 7267237 (95 % CI: 2961487, 1130784)
54 chronic bronchitis in 2012, which led to 8822.4 (95 % CI: 3574.4, 13034.2) million
55 US\$, or 0.1 % of the total GDP losses. We suggest that percentage of open burnt
56 crop straws at post-harvest period should be cut down by over 97 % to ensure risk
57 aversion from carcinogenicity, especially the North Plain and the Northeast, where
58 the emissions should decrease at least by 94% to meet the PEL. Under such emission

59 control, over 92 % of the mortality and morbidity attributed to agricultural fire
60 smoke PM_{2.5} can be avoided in China.

61 **Key words:** agricultural straw burning, aerosol chamber, smoke particle, emission
62 factor, emission inventory, health effect, emission control policy

63 1 Introduction

64 Biomass burning (BB) is a significant source of particulate- and gaseous- pollutants
65 (Andreae [and Merletet al.](#), 2001; Clarke et al., 2007; Ram et al., 2011; Saikawa et al.,
66 2009; Tian et al., 2008). It was estimated that open burning of biomass contributed
67 approximately 40% of the globally averaged annual submicron black carbon (BC)
68 aerosol emissions and 65 % of primary OC emissions (Bond et al., 2013). China is
69 the major contributor that bears over 24 % of global emissions of carbonaceous
70 aerosols, especially from agricultural field burning, about 0.04~0.5 Tg EC and
71 0.4~2.1 Tg OC are released annually (Bond, 2004; Cao et al., 2006; Qin [et al. and](#)
72 [Xie](#), 2012; Saikawa et al., 2009), resulting in great radiative forcing, air quality
73 deterioration, visibility reduction, premature mortality, and economic loss regionally
74 and globally (Bølling et al., 2009; Bond et al., 2013; Huang et al., 2014; Janssen et
75 al., 2011; Rosenfeld, 2006; Saikawa et al., 2009; Shindell et al., 2012).

76 BB also represents one of the most uncertainties in the emission, climate effect,
77 and public health assessments, which finally relies on the uncertainties in detailed
78 chemical emission factors or related properties and burning activities like strength or
79 percentage of biomass fuel burned (Tian et al., 2008; Andreae [and Merletet al.](#), 2001;
80 Levin et al., 2010). For example, studies have focused on OC and EC emissions due
81 to their specific optical properties (Bond et al., 2013; Cao et al., 2006; Qin [et al. and](#)
82 [Xie](#), 2012; Ram et al., 2011). OC like sulfate and nitrate can cool the atmosphere by
83 increasing the Earth's reflectivity, however, smoke OC on the other hands together
84 with brown carbon have been found to be a significant source of light absorption
85 (Chen et al., 2015; Ackerman, 2000; Chakrabarty et al., 2010; Christopher et al.,
86 2000). The coated or internal mixed sulfate or nitrate can act as lens to enhance the

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

87 light absorption activity of BC (Zhang et al., 2008b), probably also the activity of
88 brown carbon (Chen et al., 2015). However, primary emissions for OC, EC, and
89 alkali components are confused and have a wide range (Sen et al., 2014; Cao et al.,
90 2006; Hayashi et al., 2014), and some study still took OC with negative forcing
91 activity (Saikawa et al., 2009; Shindell et al., 2012). Besides, smoke EC is consisting
92 of soot and char, and soot-EC has a higher light-absorption potential compared to
93 char-EC (Arora et al., 2015; Reid et al., 2005a). Division and quantification of char-
94 and soot-EC emissions for biomass burning are understudied (Arora ~~and Jain et al.~~,
95 2015; Han et al., 2009). However, other components like organic acids, amines,
96 phenols, and mineral elements that enable CCN activity or endow health hazard of
97 smoke aerosol are also deficient, variable, or outdated, which may hinder our overall
98 understanding of biomass burning contributions and also atmospheric process of
99 smoke particles (Li et al., 2015; Akagi et al., 2011; Chan et al., 2005; Dhammapala
100 et al., 2007a; Ge et al., 2011; Reid et al., 2005a, b).

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

101 Studies using carbon mass-balance ~~method~~-(CMB) and pollutant concentration-
102 chamber volume quantification ~~method~~ are the two common methods to derive the
103 emission factors for biomass burning aerosols (Akagi et al., 2011; Li et al., 2007;
104 Zhang et al., 2008a). Carbonaceous and inorganics components of smoke particles
105 not only vary with biomass issues (fuel types, water content, or burning strength),
106 but also relate to burning condition and environment (flaming or smoldering, field
107 burning or laboratory simulation), extent of aging, sampling methods and
108 measurement technologies (Grieshop et al., 2009; Hayashi et al., 2014; Reid et al.,
109 2005b). Comparing to field observations that are closer to the actual burning (Li et
110 al., 2007; Akagi et al., 2011; Rose et al., 2011; Saffari et al., 2013), laboratory
111 studies have a definite advantage over field burning research in emission analysis
112 (Zhang et al., 2008a; Sun et al., 2016; Jayarathne et al., 2014). For example, the
113 environment, amount of fuel, and burning conditions can be precisely controlled, the
114 contamination from ambient atmosphere to the emissions can be excluded, and
115 chemical compositions at different aging extent can be quantified using aerosol
116 chamber system (Li et al., 2015, 2016; Aurell et al., 2015; Dhammapala et al.,

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

117 | 2007b).

118 | The activity rates of biomass burning (burning rate of biomass fuels) are also
119 | response to the great uncertainties in the emission estimates (Zhang et al., 2008a;
120 | Sun et al., 2016). Seldom study ever focused on the burning rates, and the limited
121 | data were treated as simplex constant or dynamic values in many studies of emission
122 | estimation in a certain year or for annual variations with a long time scales, thus,
123 | significant difference among the results were founded (Zhang et al., 2011; Qin et
124 | al. and Xie, 2012; Qin et al., 2011; Zhao et al., 2012). For instance, Cao et al. (2006;
125 | 2011) estimated primary smoke carbonaceous materials emissions for 2000 and 2007
126 | in China with same field burning rates, the results were almost the same for the two
127 | year with 103-104 Gg yr⁻¹ BC and 425.9-433.3 Gg yr⁻¹ OC emitted. He et al. (2011b)
128 | found the declining trends in biomass burning emissions in the Pearl River Delta for
129 | the period 2003-2007 based on constant activity data of burning rates. Lu et al. (2011)
130 | developed primary carbonaceous aerosol emissions in China for 1996-2010 with
131 | time-dependent activity rates extrapolated from 2008 to 2010 based on national
132 | fast-track statistic, rapid increase of OC and EC emissions were reported, and OC
133 | increased from 1.5 to 2.3 Tg yr⁻¹, BC increased from 418 to 619 Gg yr⁻¹. Qin et al.
134 | (2012) estimated BC emission from crop straw open burning for 1980-2009 with
135 | variable burning rates based on peasants' income development, the increasing trend
136 | in BC emission was also confirmed, and BC emission increased from 4.3 to 116.6
137 | Gg yr⁻¹.

138 | As most anthropogenic pollutants are concentrated in submicron particulate
139 | matters (PM_{1.0}) (Ripoll et al., 2015), more pronounced relationship of ambient PM_{1.0}
140 | to haze formation and adverse health effect has been reported (Huang et al., 2003;
141 | Roemer et al., 2001; Shi et al., 2014). Nevertheless, associated chemical
142 | characterization of PM_{1.0} is still undefined (Li et al., 2015; Safai et al., 2013; Cheng
143 | et al., 2006). The study of source-specific PM_{1.0} chemical compositions and
144 | emissions are necessary to replenish database for contribution assessment and model
145 | application in atmospheric chemistry, climate changes, and public health evaluation.

146 | The emission inventories and forecasting in the emissions of atmospheric

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

147 pollutants have been widely studied, and the incurred mortality, climatic effect, and
148 economic loss have also been estimated (Ostro ~~and Chestnutet al.~~, 1998; Saikawa et
149 al., 2009; Shindell et al., 2012), based on which the emission control policies were
150 proposed. Shindell et al. (2012) considered ~400 control measures in tropospheric
151 BC and O₃ emissions for the benefit of global or regional human health and food
152 security, and 14 optimal measures targeting CH₄ and BC emissions were identified.
153 Saikawa et al. (2009) compared different scenarios of OC, EC, and sulfate emissions
154 in China in 2030, concluding that maximum feasible reduction may avoid over
155 480000 premature deaths in China and decrease the radiative force from -97 to -15
156 mW m⁻² globally. Wang et al. (2008a) reported field burning restriction may save
157 about 5 billion dollars losses from biological resource and air pollution. However,
158 the generalized strategies in emission reduction were inadequate and lack actual
159 practicality (Streets, 2007; Lin et al., 2010).

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

160 In this study, burning experiments with five major agricultural straws were
161 conducted using a combustion stove in combination with an aerosol chamber system.
162 Accurate compositions and emission factors for SPM in PM_{1.0} and PM_{2.5} were
163 characterized and established. Afterwards, up-to-date emissions for agricultural open
164 burning aerosol in 2012 were developed, health and health-related economic impacts
165 from smoke PM_{2.5} exposure were also assessed. Finally, emission reduction strategy
166 that was implemented in field burning rate control for the carcinogenic risk concern
167 was proposed, which should help establish the policy and provide an idea for the
168 emission control.

169 **2 Methodology**

170 An overview of the research procedures including emission factors acquirement and
171 emission inventory calculation is shown in Fig. 1. Tabulation of emission factors is
172 self-established in our laboratory using a combustion stove to simulate open burning
173 and an aerosol chamber to quantify the emissions. Then, we use a bottom-up
174 approach to calculate the emission inventory of agricultural field burning over China
175 mainland based on crop production data in 2012. Emissions for each species are

176 | estimated as:

$$177 \quad E_{k,j} = \sum_i A_{k,i} \times EF_{i,j} \quad (1)$$

178 | where E_j is emission, $A_{k,i}$ is effective biofuel consumption, and $EF_{i,j}$ is emission
179 | factor. k , i , and j indicates region, agricultural residue type, and particulate chemical
180 | species.

181 | State-of-the art chemical transport and box models were commonly applied to
182 | reproduce or simulate the ambient aerosol concentrations (Ram et al., 2011; Reddy
183 | ~~and Venkataraman et al.~~, 2000; Saikawa et al., 2009). In this study, spatio-temporal
184 | dynamic box model is used to calculate the emission flux concentration. Regional
185 | crop straws are premised to be combusted proportionally only in the fire occurrence
186 | days. Dismissing interaction of emitted pollutants in space and time, pollutants will
187 | distribute uniformly in a space covering an area of specific region with mixing
188 | height of 0.5 km (atmospheric boundary layer). The flux concentration of
189 | agricultural burning smoke can be calculated by Eq. (2):

$$190 \quad C_{k,j} = \frac{E_{k,j}}{S_k \times h \times T_k} \quad (2)$$

191 | in Eq. (2), $C_{k,j}$ is flux concentration of smoke aerosol, S_k is regional area, h is
192 | boundary layer height, T_k is agricultural field fire duration time.

193 | **2.1 Aerosol chamber work and emission factors**

194 | **2.1.1 Crop straws**

195 | Five kinds of representative crop residues were used for the burning experiments, i.e.,
196 | wheat, rice, corn, cotton, and soybean straws. The straws were collected based on
197 | regional features of agricultural planting, winter wheat straws were collected from
198 | Anhui province, late rice straws from Shanghai, corn straws from Henan province,
199 | cotton and soybean residues from Xinjiang. All straws were stored under dark, airy,
200 | and cooling condition. Prior to the burning experiment, the dirt and weeds were
201 | removed, then straws were dehydrated (at 100 °C for 24 h) to minimize effect of the
202 | water content on the burning and pollutant emissions, as study found pollutants
203 | emissions and combustion efficiencies (CE) are response to water content, increased

Field Code Changed

204 moisture content enhances the emissions but also alter the chemical compositions of
205 smoke aerosols (Reid et al., 2005b; Aurell et al., 2015; Hayashi et al., 2014).

Field Code Changed

206 Although straws in the field are not well dried and moisture contents vary with
207 weather, ventilation, and storing times, for the convenience of practical application
208 and comparison of burnings and emissions, water contents of the straws were

209 controlled within 2 %~~-,~~ which has been applied in many studies (Hayashi et al.,
210 2014; Huo et al., 2016; Li et al., 2015; Oanh et al., 2011; Zhang et al., 2008a, 2011).

Field Code Changed

211 The dry straws were then cut to a length of approximately 10 cm and weighted 10.0
212 g per serving.

213 2.1.2 Burning experiments

214 The experiments were conducted using an aerosol chamber system (Fig. S1 in
215 supplement information, SI), which was loaded in a temperature-controlled room
216 (18-22 °C, 40%-60% RH). A stainless combustion stove was self-deigned to simulate
217 typical field burning of crop straws, automatic ignition with LPG (Liquid petroleum
218 gas) in particular, albeit on a small scale (ignition time less than 0.1 s). 10.0 g
219 conditioned residues were sealed in the 0.227 m³ combustion stove in advance, once
220 ignited, the force-ventilation and HEPA filtrated particle-free air were supplied (300
221 L min⁻¹). The emissions were immediately injected into a clean, evacuated aerosol
222 chamber. The burning last about 1 min and over 1 m³ particle-free air flushed the
223 stove to ensure all the emissions were transferred into the chamber.

224 The chamber was ~~custom eostume~~-built to quantify the emissions and characterize
225 the physiochemical properties of smoke aerosols, detailed description of the chamber
226 can be found elsewhere (Zhang et al., 2008a; ~~Zhang et al.~~, 2011; Li et al., 2015,
227 2016). Briefly, the chamber has a volume of 4.5 m³ with 0.3 mm Teflon coating on
228 the inner side, a magnetic fan fixed on the bottom to stir the aerosol uniformly, and a
229 hygroclip monitor (Rotronic, Model IM-4) equipped inside to measure the
230 temperature and relative humidity. Before experiment, the chamber was flushed with
231 particle-free air for 6 h, oxidized by high concentration ozone (~3 ppm) for 12 h,
232 then flushed ~~and vacuumized again,~~ filled with pure dry air to 80 KPa, ~~and connected~~

Field Code Changed

233 ~~to the stove finally~~for use. The emissions from straw burning were aspirated into the
234 chamber till room pressure, afterwards, size measurement and chemical samplings
235 were conducted from the chamber. For each type of straw, four burning experiments
236 were conducted. The unburned residues were weighted and deducted from 10.0 g
237 after each test.

238 Modified combustion efficiency (MCE) for each burning was monitored with CO
239 and CO₂ measuring to determine the burning phase and ensure the repeatability.
240 MCE is defined as $\Delta\text{CO}_2/(\Delta\text{CO}_2+\Delta\text{CO})$, where ΔCO_2 and ΔCO are the excess molar
241 mixing ratios of CO₂ and CO (Reid et al., 2005b). A gas-chromatograph (GC,
242 model 930, Shanghai, Hai Xin Gas Chromatograph Co., LTD) equipped with a flame
243 ionization detector, an Ni-H convertor, and a stainless steel column (2 m long)
244 packed with 15% DNP was used to measure CO and CO₂ concentrations in the
245 chamber. And MCE were 0.89-0.96 for all the experiments, indicating flaming
246 combustion dominated, which were comparable to that in the field burning (Li et al.,
247 2003; Li et al., 2007).

Field Code Changed

Field Code Changed

248 **2.1.3 Size and morphology of smoke aerosol**

249 Size distribution (10 nm-10 μm) of smoke particles was measured using Wide-range
250 Particle Spectrometer (WPS, Model 1000XP, TSI, USA), which has been described
251 by Zhang et al (2011). Briefly, WPS integrates the function of scan mobility particle
252 sizer (SMPS) and laser particle sizer (LPS), 0.3 L min⁻¹ flow is introduced to SMPS
253 part to classify mobility size from 10 nm to 500 nm, and 0.7 L min⁻¹ flow is
254 introduced to LPS part to measure aerodynamic diameter from 350 nm to 10 μm .
255 Particle density and refractive index are set as 1.0 g cm⁻³ and 1.45, and charge
256 correction mode was on for the measurement. A diffusion dryer tube (45 cm in
257 length) filled with descant-silica gel is set prior to the inlet of WPS. Before
258 experiment, WPS was calibrated with certified polystyrene latex spheres (PSL, 40,
259 80, and 220 nm, Duke Scientific).

Field Code Changed

260 SPM from the 5 types crop straws burning were sampled onto copper grids coated
261 with carbon film (carbon type-B, 300-mesh copper, Tianld Co., China) using a

262 single-stage cascade impactor with a 0.5 mm diameter jet nozzle at a flow rate of 1.0
263 L min⁻¹. The sampler has a collection efficiency of 100 % at 0.5 μm aerodynamic
264 diameter. More information can be found elsewhere (Fu et al., 2012; Hu et al., 2015).
265 Then, a JEOL-2010F field emission high-resolution transmission electron
266 microscope (FE-HRTEM) coupled with an oxford energy-dispersive X-ray spectrum
267 (EDX) was applied to investigate the morphology, composition, and mixing state of
268 individual particles.

269 2.1.4 Chemical sampling and analysis

270 PM_{1.0} and PM_{2.5} samples for each burning were collected onto pretreated quartz filter
271 of 90 mm in diameter on 90 mm quartz filter (Tissuquartz, Pall Corp., USA) from the
272 chamber using a high-volume Particle Sampler (HY-100, Qingdao Hengyuan S.T.
273 Development Co., Ltd) operating at 100 L min⁻¹. Each filter sampling duration time
274 is 5 min, and total 44 samples (including 4 blank samples) were gathered for the
275 experiments. The quartz microfiber filters were prebaked for 8 h at 450 °C to
276 eliminate contamination. Before and after the sampling, the filters were weighted
277 using a balance (Sartorius BP211D) with an accuracy of 10 μg, and the filters balance
278 were balanced was treated in an electronic desiccator (40 % RH, 22 °C) for 24 h
279 before its use. After weighting, the loaded filters were stored at -20 °C in a
280 refrigerator for further analysis.

281 Water soluble species including general inorganic ions (ions: F⁻, Cl⁻, NO₂⁻, NO₃⁻,
282 SO₄²⁻, Na⁺, NH₄⁺, K⁺, Ca²⁺, Mg²⁺), organic acids (CH₃COOH, HCOOH, C₂H₂O₄,
283 CH₃SO₃H), and seven protonated amines (MeOH⁺, TeOH⁺, MMAH⁺, DMAH⁺,
284 TMAH⁺, MEAH⁺, and DEAH⁺ for short, corresponding to monoethanolaminium,
285 triethanolaminium, monomethylaminium, dimethylaminium, triethylaminium,
286 monoethylaminium, and diethylaminium) were measured from 1/4 of each filter
287 with ion chromatography (IC, Model 850 Professional IC, Metrohm, USA) consists
288 of a separation column (Metrosep A Supp 7 250/4.0 for anion and organic acids,
289 Metrosep C-4 150/4.0 for cation, and Metrosep C4-250/4.0 for water soluble
290 aminiums). Sampled filters were ultrasonically extracted with 15.0 mL deionized

291 water (Mili-Q water, 18.2 MΩ·cm), extracted solutions were filtrated using 0.2 μm
292 filters before injected into IC for measurement. Detection limits (DLs) for the ions
293 and aminiums were within 0.5~3.5 ng mL⁻¹, the correlation coefficients for all
294 calibration curves were better than 0.99, and recovery rates for aminiums were in the
295 range of 93%~106% (see in SI, Table S1). Details for the aminium measurements
296 can be found in the work of Tao et al. (2016).

Formatted: Not Highlight

Formatted: Font: (Asian) 宋体

297 1/4 of each filter was acid dissolved to measure the selected elements (As, Pb, Cr,
298 Cd, Ni, V, Zn, Al), of which As, Zn, Pb, Cr, Cd, and Ni are USEPA priority
299 controlled pollutants (Wu et al., 2011). The smashed filters were digested at 170 °C
300 for 4 h in high-pressure Teflon digestion vessel with 3.0 mL concentrated HNO₃, 1.0
301 mL concentrated HClO₄, and 1.0 mL concentrated HF. Afterwards, the almost dry
302 solution was diluted and characterized using Inductively Coupled Plasma Optical
303 Emission Spectrometer (ICP-OES, Atom Scan 2000, JarroU-Ash, USA). The
304 following wavelength lines of the ICP-OES analysis were used: As 189.042, Pb
305 220.353, Cd 228.802, Cr 205552, Ni 231.604, V 311.071, Zn 206.191, and Al
306 394.401. All reagents used were of highest grades, and recovery tests were
307 conducted with standard additions, recoveries of each element were in the range of
308 93%~102% (see in SI, Table S1).

Field Code Changed

Formatted: Font: (Asian) 宋体

309 Another 1/4 of each filter was ultrasonically extracted with CH₂Cl₂. The extracts
310 were then condensed with rotary evaporator. 16 targeted PAHs (2-ring, naphthalene
311 (Nap); 3-ring, acenaphthylene (Ac), acenaphthene (Ace), fluorene (Fl), phenanthrene
312 (Phe), anthracene (Ant); 4-ring, fluoranthene (Flu), pyrene (Pyr), benzo[a]anthracene
313 (BaA), chrysene (Chr); 5-ring, benzo[b]fluoranthene (BbF), benzo[k]fluoranthene
314 (BkF), benzo[a]pyrene (BaP), dibenzo[a,h]anthracene (DBA); and 6-ring:
315 indeno[1,2,3-cd] pyrene (IP), benzo[ghi]perylene (BghiP)) and 5 selected phenols
316 (phenol, 2-methoxyphenol, 4-ethylphenol, 4-ethyl-2-methoxyphenol,
317 2,6-dimethoxyphenol) were measured from those concentrated extracts using an
318 Agilent 6890 Series gas chromatography system coupled with a HP 5973 Mass
319 Selective Detector (GC-MS, Agilent Technologies, Wilmington DE). A DB-5ms (30
320 m × 0.32 mm × 0.25 mm, Agilent 123-5532) column was installed. The temperature

321 programs were presented as follows: initially at 40 °C, hold for 4 min, to 150 °C at
322 20 °C min⁻¹, then to 280 °C at 5 °C min⁻¹, hold for 10 min. The interface temperature
323 was kept at 280 °C, the MS was operated in electron impact mode with an ion source
324 temperature of 230 °C, and the high-purity helium (99.999%) carrier gas was
325 maintained at a constant pressure of 16.2 psi with a flow of 2.0 mL min⁻¹. The
326 calibration curves were optimized to be better than 99.9%. Prior to the measurements,
327 PAHs and Phenols recovery studies were undertaken, and recoveries were acceptable
328 with rates of 82%~99% (see in SI, Table S1). In addition, Phenanthrene-d10
329 (Phe-d10) as internal standard surrogate was added into the PAHs mixture, recovery
330 rate of which was 94%.using gas chromatography-mass spectrometer (GC-MS,
331 Agilent 6890-5973N) equipped with column DB-5ms (Agilent 123-5532).

332 Organic carbon (OC) and elemental carbon (EC) were measured with the rest
333 quartz filters using a carbon analyzer (Sunset laboratory Inc., Forest Grove, OR)
334 based on the thermal-optical transmittance (TOT) method with a modified
335 NIOSH-5040 (National Institute of Occupational Safety and Health) protocol. Four
336 organic fractions (OC1, OC2, OC3, and OC4 at 150, 250, 450, and 550 °C,
337 respectively), PC fraction (a pyrolyzed carbonaceous component determined when
338 transmitted laser returned to its original intensity after the sample was exposed to
339 oxygen), and three EC fractions (EC1, EC2, and EC3 at 550, 700, and 800 °C,
340 respectively) are produced. And OC is technically defined as OC1 + OC2 + OC3 +
341 OC4 + PC, while EC is defined as EC1 + EC2 + EC3 - PC (Seinfeld et al., 2012).

Field Code Changed

342 The instrument detection limits for total OC and EC that deposit on the filter are 0.25
343 and 0.12 µg C cm⁻². Moreover, environmental EC in aerosol is a mixture of
344 compounds from slightly charred, biodegradable materials to highly condensed and
345 refractory soot, different EC materials have distinct different thermodynamic
346 properties, study found char-EC decomposes much rapidly than soot when exposed
347 to chemical and thermal oxidation, e.g., EC decomposition temperatures in air
348 increased from ~520 °C for char to ~620 °C for soot, and exceeded 850 °C for
349 graphite, thus, regarding to different oxidation temperatures, Han et al (2007-, 2009)

Field Code Changed

350 furtherly differentiated char-EC and soot-EC from EC measurement as EC2 + EC3

351 equals to soot-EC, and the rest is char-EC.

352 The quality of the data above was guaranteed by standard materials calibration,
353 recovery rate, and operational blank correction.

354 **2.1.5 Calculation of emission factors**

355 The emission quantities derived from the experiment were converted into quantities
356 per unit weight of initial residues as emission factor (EF, unit: g kg⁻¹), which can be
357 calculated from the direct method with effective filter sampling weight, chamber
358 volume, and effective amount of crop straw consumed (Dhammapala et al., 2006,
359 2007a, b; Zhang et al., 2008a), or alternatively from the carbon mass balance method
360 (CMB) via conservation of Carbon in biomass, disregarding the weight of biomass
361 that burnt (Dhammapala et al., 2006; Li et al., 2007). EFs determined from these two
362 methods were found to be in good agreement (Dhammapala et al., 2006),
363 nevertheless, CMB method needs more auxiliary information (e.g., concentrations of
364 CO, CO₂, CH₄, non-methane hydrocarbons, and also particulate carbons), which
365 may result in data redundancy and uncertainty propagation, hence we applied the
366 direct method to calculate EFs in this work.~~which can be calculated from effective~~
367 ~~filter sampling weight, chamber volume, and amount of crop straw consumed~~
368 ~~(Dhammapala et al., 2007; Zhang et al., 2008a).~~ To be more accurate, wall loss and
369 makeup air dilution of smoke particles in the chamber during sampling should be
370 corrected, and details see in SI.

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

371 **2.2 Emission inventory calculation**

372 **2.2.1 Agricultural field fire survey**

373 Fire sites over China from 2011 to 2013 were statistically analyzed, and the data of
374 mainland agricultural fire sites was derived from the daily report of the Ministry of
375 Environmental Protection of China (MEPC) (website: <http://hjj.mep.gov.cn/jgis/>).
376 was collected from the Ministry of Environmental Protection of China that obtained
377 by NASA's Terra and Aqua satellites remote sensing (<http://www.mep.gov.cn/>).
378 Agricultural fire sites were screened out from MODIS daily fire products (1 km × 1

379 km resolution level 3 hotspot) using a high resolution real time land use based on
380 geography information system (GIS). Spatial and temporal distributions of fire sites
381 were displayed in Fig. S2 (SI), over 5000 fire sites were allocated into two
382 prominent field burning periods corresponding to summer (May to July) and autumn
383 (September to November) harvests, and field burning lasts 54 days and 60 days on
384 statistical average during the two harvests. In the North of China, open burning
385 occurred primarily in autumn, while temporal-character of field fires was not
386 obvious in the North Plain and the Center of China, where field fires can be observed
387 frequently during the whole investigation time.~~while temporal-character of field fires~~
388 ~~was not significant in the North Plain and the Center of China.~~

389 2.2.2 Crop straw production

390 ~~Crop~~ Crop straw production was generally derived from annual or monthly crop
391 production by multiplying crop-specific ratios of production-to-residue (He et al.,
392 2011b; Cao et al., 2011; Zhao et al., 2012). In this study, crop productions were
393 furtherly classified into summer harvest and autumn harvest productions according
394 to field fire sites analysis and traditional seasonal planting and harvesting. The
395 amount of straw produced was calculated by Eq. (3):

$$396 M_{t,k,i} = P_{t,k,i} \times r_i \times H_{t,k,i} \times D_i \quad (3)$$

397 in which M is mass of crop straws produced; P is annual crop-specific amount of
398 crop production; r is the production-to-residue ratio; D is the dry matter
399 content; $H_{t,k,i}$ is production ratio of crop i at region k during summer or autumn
400 harvest period t.

401 Province-level crop production data of wheat, rice, corn, cotton, and soybean were
402 taken directly from the China Yearbook 2013 (National Bureau of Statistics of China,
403 NBSC, 2013). Crop-specific production-to-residue ratios were cited from Chinese
404 Association of Rural Energy Industry (~~CAREI, 2000~~; Wang et al., 2008; data
405 available at <http://www.carei.org.cn/index.php>, in Chinese). Dry matter contents of
406 crop straws were referred to He et al. (2011b) and Greenhouse Gas Inventory
407 Reference Manual (IPCC, 2007). The parameters of production-to-residue ratios and

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

408 dry matter contents were summarized in Table ~~S1-S2~~ (SI). The regional crop
409 production ratios in summer and autumn harvests were listed in Table ~~S2-S3~~ (SI).

410 2.2.3 Field burning rate

411 Uncertainty of emission estimations mostly relies on intangibility of straw open
412 burning rate (Zhao et al., 2012; He et al., 2011b). However, regional or national
413 percentage of straw open burned was seldom studied, and the limited data were
414 outdated and variable. The available studies indicate national filed burning rate of
415 crop straws range from 15.2% to 27.2% in China (Daize, 2000; Wei et al., 2004;
416 Zhang et al., 2008a), and more detailed studies indicate about 31.9% of the crop
417 burned in the Pearl River Delta from 2003 to 2007 (He et al., 2011b), while the
418 corresponding figures were almost 100% for the Huabei region in 2003 (Zhao et al.,
419 2012). ~~Two versions of province-level field burning rates were commonly used, one
420 was from Cao et al. (2005, 2006, 2011), Two versions of province-level field burning
421 rates that commonly used were reported by Cao et al. and Wang et al. Cao et al.
422 (2006; 2011; 2005; Chen et al., 2004) who deduced the rates based on regional
423 economic level, the proposal of the rates to be proportional to peasants' income was
424 confirmed later, and the rates was first used to calculate the open burning emission in
425 2000. The other version was reported by Wang and Zhang (2008), Wang and Zhang
426 (2008)they obtained provincial percentage of residue open burnt via filed survey in
427 2006. Herein, the two versions were both applied directly into the emission
428 estimation of 2012 and named as business-as-usual scenarios (BAU, BAU-I from
429 Cao et al. and BAU-II from Wang and Zhang in specific).~~

430 In fact, the burning rates should be dynamic parameters that been influenced by
431 industrial structure, government policy orientation, or public awareness. With crop
432 yields increasing and energy consumption structure changes in rural areas, more
433 straws will be discarded and burned in the field. Nonetheless, rigorous agricultural
434 fire policy may still suppress the condition worsen as it worked during 2008 for
435 Beijing Olympics and 2010 for Shanghai Expo (Huang et al., 2013; Cermak and
436 Knutti et al., 2009; Wang et al., 2010). Qin et al and Xie. (2012; 2011) ever deduced

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

437 year specific open burning rates in different zone for the period of 1980-2009
 438 according to their respective peasant income changes in a certain year on the basis of
 439 peasant income and filed burning rates in 2006. However, the simple linear
 440 relationship should be doubted, as great increase in per capita income after 2006 will
 441 surely overestimate the burning rates. We supposed that the values were inverse
 442 proportional to peasants' agricultural income proportion (AIP), without considering
 443 the policy or potential gain or loss related to agricultural residue treatment. Thus the
 444 burning rates established in 2000 and 2006 from Cao et al. (2005) and Wang and
 445 Zhang (2008) can be converted into that of 2012 based on economic data from
 446 equation below:

$$447 \quad R_{k,2012} = \frac{I_{k,2012}}{AI_{k,2012}} \times \frac{AI_{k,y}}{I_{k,y}} \times R_{k,y} \quad (4)$$

448 where R is agricultural straw filed burnt rate, $I_{k,y}$ is peasants' annual income, $AI_{k,y}$
 449 is peasants' annual agricultural income. y indicates reference year (2000 for BAU-I,
 450 and 2006 for BAU-II). $I_{k,y}$ and $AI_{k,y}$ can be found or calculated from China
 451 Yearbook and China Rural Statistic Yearbook (NBSC, 2004-2013).

452 The versions of converted rates based on primary industry level were called
 453 Economic Models I and II (EM-I and EM-II in short) corresponding to BAU-I and
 454 BAU-II. Besides, in 2013, the National Development and Reform Commission of
 455 China published the Chinese agricultural straw treatment report of 2012 (NDRC,
 456 [2014] No.516, data available at <http://www.sdpc.gov.cn/>, in Chinese) for the first
 457 time. The percentages of crop residues discarded in the report were applied in our
 458 estimation, which was called NDRC version.

459 2.2.4 Emission and flux concentration

460 From above study, emission of SPM pollutants can be calculated by recount of Eq.
 461 (1), as Eq. (5) showed below:

$$462 \quad E_{t,k,j} = \sum_i M_{t,k,i} \times R_k \times f_i \times EF_{i,j} \quad (5)$$

463 where $E_{t,k,j}$ is emission amount of chemical species j at region k during harvest

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

464 period t ; f_i is burning efficiency, the crop specific values were cited as 0.68 for
465 soybean residue and 0.93 for the rest four straws (Zhang et al., 2011; Wang and
466 Zhang, 2008; Zhang et al., 2008a; Koopmans et al., 1997). Thus, flux concentration
467 of corresponded pollutants can be also assessed from box model as mentioned in
468 front.

Field Code Changed

Field Code Changed

469 2.3 Estimating health impacts and health-related economic losses

470 2.3.1 Carcinogenic risk of Smoke Particulate Matter (CRSPM)

471 Apart from the enormous climatic effects due to optical properties of smoke particle
472 from IPCC, new epidemiological and toxicological evidence have also linked
473 carbonaceous aerosol to cardiovascular and respiratory health effects according to
474 the World Health Organization (Bruce et al., 1987; IPCC, 2007). Here, we present
475 the fuel-specific carcinogenic risk of SPM (CRSPM, unit: per $\mu\text{g m}^{-3}$) to assess
476 health hazard from agricultural straw burning particles and help source-specific air
477 quality control. The cancer risk attributed to inhalation exposures of smoke $\text{PM}_{2.5}$
478 from crop straw i burning was calculated as:

Field Code Changed

$$479 \text{CR}_i = \sum_j f_j \times \text{UnitRisk}_j \quad (6)$$

480 where f_j is mass fraction of individual species j in smoke $\text{PM}_{2.5}$, UnitRisk_j is
481 corresponded unit carcinogenic risk value of species j extracted from database
482 provided by the Integrated Risk Information System (IRIS), California
483 Environmental Protection Agency (CEPA), and related documents (Bruce et al.,
484 1987; Burkart et al., 2013; Tsai et al., 2001; Wu et al., 2011; ~~Wu et al.~~, 2009).

Field Code Changed

485 CR_i is estimated based on dose addition model of selected hazardous air
486 pollutants (HAPs) including USEPA priority pollutants of PAHs and heavy metals.
487 And UnitRisk values of the selected HAPs presented in Table ~~S3-S4~~ (SI).
488 Synergistic interactions among pollutants are dismissed, albeit possible. The cancer
489 risk of chromium is adjusted by multiplying a factor of 0.2, assuming that only 20%
490 Cr measured is in the toxic hexavalent form (Bell ~~and Hipfner et al.~~, 1997).
491 Benzo[a]pyrene (BaP) is used as an indicator compound of carcinogenicity, legally

Field Code Changed

492 binding threshold of BaP in most countries ranges from 0.7 to 1.3 ng m⁻³,
 493 corresponded carcinogenic risk of BaP is about 1.1×10⁻⁶ per ng m⁻³ (Bruce et al.,
 494 1987; Burkart et al., 2013). Thus, one in million level of carcinogenic potential is
 495 frequently used to identify risks of concern in public health and environmental
 496 decision making, and permissible exposure limits (PEL, unit: µg m⁻³) of crop straw
 497 burning particles can be estimated as:

$$498 \text{ PEL}_i = \frac{10^{-6}}{\text{CR}_i} \quad (7)$$

499 2.3.2 Human exposure and health impacts

500 Robust relationship between surface PM_{2.5} and health effects has been revealed and
 501 confirmed by many studies (Pope et al., 2004; Wong et al., 2008). PM_{2.5}-related
 502 health endpoints are composed of a range of elements from sub-clinical effects to the
 503 onset of diseases and the final death (Davidson et al., 2005). In this study, incidence
 504 of commonly studied endpoints like premature mortality, respiratory and
 505 cardiovascular hospital admissions, and chronic bronchitis from primary emitted
 506 smoke PM_{2.5} short-term exposure were assessed using the Poisson regression model,
 507 shown as below (Guttikunda et al., 2014):

$$508 \Delta E = \Delta \text{Pop} \times \text{IR} \times \left(1 - \frac{1}{e^{\beta \times \Delta C}}\right) \quad (8)$$

509 where ΔE represents the number of estimated cases of mortality and morbidity, ΔC
 510 is the incremental concentration of particulate matter or flux concentration; ΔPop is
 511 the population exposed to the incremental particulate concentration of ΔC; IR is
 512 short for incidence rate of the mortality and morbidity endpoints, and β is the
 513 coefficient of exposure-response function, defined as the change in number case per
 514 unit change in concentration per capita.

515 Concentration-response function and incidence rate of each health endpoint are
 516 important in health impacts evaluation and they have variation for different
 517 population and regions (Yang et al., 2012; Wong et al., 2008). Here, the variance for
 518 sex and ages were neglected. Region-specific exposure-response coefficients for
 519 individual mortality were summarized from previous studies, as presented in Table

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

520 ~~S4-S5~~ (SI). The coefficients for individual respiratory and cardiovascular hospital
521 admission, and chronic bronchitis were cited as 1.2 %, 0.7 %, and 4.4 % (per 10 μg
522 m^{-3} , 95% CI) from Aunan and Pan's work (Aunan ~~and Pan et al.~~, 2004). This is the
523 case because seldom studies ever confirmed these topics in China. Region-specific
524 mortality and hospitalization IRs were taken from statistical reports authorized by
525 National Health and Family Planning Commission of the People's Republic of China
526 (NHFPC, 2013), and morbidity of chronic bronchitis were defined as 13.8 ‰ based
527 on the forth national health survey, which was released by the Chinese Ministry of
528 Health in 2008 (CMH, 2009).

Field Code Changed

Field Code Changed

Field Code Changed

529 2.3.3 Economic valuation of the health impacts

530 The economic losses of the health impacts associated with smoke $\text{PM}_{2.5}$ exposure in
531 2012 were further evaluated. The amended human capital (AHC) approach was
532 employed to calculate the unit economic cost of premature mortality. The commonly
533 applied AHC method uses per capita GDP to measure the value of a statistical year
534 of life (IBRD and SEPA, 2007) based on Eq. (9). It can be used as a social statement
535 of the value of avoiding premature mortality and estimates human capital (HC) from
536 the perspective of entire society, neglecting individual differences (Hou et al., 2012).

Field Code Changed

Field Code Changed

$$537 \text{HC}_k = \frac{\text{GDP}_k}{\text{POP}_k} \times \sum_{i=1}^{\tau} \frac{(1+\alpha)^i}{(1+\gamma)^i} \quad (9)$$

538 GDP_k and POP_k are gross domestic production and population of target region k
539 that were reported in the statistical yearbook in 2012; α and γ are economic
540 parameters referring to national GDP growth rate and social discount rate, which
541 were 7.7 % and 8.0 % in 2012 from National Bureau of Statistics of China (NBSC,
542 2013, data available at <http://www.stats.gov.cn/tjsj/ndsj/>, in Chinese). τ is the
543 life-expectancy lost due to aerosol pollution, and 18 year of life was widely applied
544 (Hou et al., 2012). The annual exchange rate of US dollar to RMB was 6.31 in 2012.

Field Code Changed

Field Code Changed

545 One can deduce the HC values of the provinces, municipalities, and autonomous
546 regions in the country, and the calculated regional HC values were listed in Table ~~S5~~
547 ~~S6~~ (SI). In this paper, the cost of respiratory, cardiovascular hospital admissions, and
548 chronic bronchitis were 632.2, 1223.4, and 948.6 US\$ per case in 2012, which were

Field Code Changed

549 derived from the national health statistical reports (NHFPC, 2013).

550 The regional and national health-related economic loss from smoke PM_{2.5}
551 exposure can be calculated based on the excess mortality and morbidity multiplied
552 by the corresponding unit economic values.

553 3 Result

554 3.1 Particulate chemical compositions and emission factors

555 3.1.1 Organic carbon and elemental carbon

556 An overview of particulate chemical compositions for smoke PM_{2.5} and PM_{1.0} is
557 pie-graphically profiled in Fig. 2, and the corresponded emission factors are given in
558 Table 1-42(detailed EFs for elements, PAHs, and Phenols in Table S7 and S8, SI).
559 From multivariate statistical analysis (P<0.05 at 95% CI), sSignificant differences of
560 chemical compositions in size range and fuel types can be observed, implying the
561 non-uniform mixing and distribution of particulate pollutants from biomass burning,
562 which is consistent with the conclusion from Lee et al. (2015) and Giordano et al.
563 (2015). Emission factorsFs of particulate species from this study are comparable
564 with that from literature as summarized in Table 53, since EFs in smoke PM_{1.0} were
565 seldom reported, only smoke PM_{2.5} or total particulate matter emissions were
566 collected, which were comparable with the results in this work. EFs of smoke PM_{2.5}
567 and PM_{1.0} ~~were~~ were in range of 3.25~15.16 and 3.04~13.20 g kg⁻¹ for the five kinds
568 of crop straws, a high ratio of PM_{1.0}/PM_{2.5} was observed to be over 90 wt.%, which
569 was in line with size distribution analysis of smoke particles given in Fig. S3
570 (SI)8.99 ± 5.55 and 7.91 ± 4.67 g kg⁻¹ for the five kinds of crop straws, and over 70
571 wt.% of SPM was organic components (OM and EC), with average of 73.4 wt.% in
572 PM_{2.5} and 71.3 wt.% in PM_{1.0}. Li et al. (2007) measured the emissions from field
573 burning of crop straws via CMB method, PM_{2.5} EFs for wheat and corn straw were
574 estimated to be 7.6 ± 4.1 and 11.7 ± 1.0 g kg⁻¹ (dry basis, MCE > 0.9), which were
575 higher and presented more uncertainties than our result. As study ever found a
576 positive relationship between particulate EFs and moisture content of agricultural

Field Code Changed

Field Code Changed

Field Code Changed

577 residue (Hayashi et al., 2014), it was reasonable that combustion of the dehydrated
578 crop straw produced less smoke aerosol in this work. Hayashi et al. (2014) measured
579 particulate EFs to be 2.2 and 15.0 g kg⁻¹ for rice and wheat straw of ~10 wt.%
580 moisture content, while corresponded EFs increased to 9.1 and 19.5 g kg⁻¹ when
581 water content of straw was ~20 wt.%, and the linear equations between smoke EFs
582 and straw moisture content were furtherly proposed. However, the simple linearity
583 and its application scope should be doubted, as Hayashi et al. only considered two
584 water content levels (10 wt.% vs 20 wt.%) and disregarded influence of combustion
585 efficiency for the fires. PM_{2.5} EFs given by Dhammapala et al (2006, 2007a, b) were
586 4.7±0.4 g kg⁻¹ for wheat straw and 12.1±1.4 g kg⁻¹ for herbaceous fuel that were
587 burnt using a chamber under flaming phase, and negative response for particulate
588 EFs to combustion efficiency was observed. After all, smoke EFs vary with fires
589 depend on fuel type and moisture, combustion phase, environmental conditions, and
590 some other variables (Reid et al., 2005b).

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

591 The carbonaceous materials (Organic matter and EC) are dominated in SPM,
592 accounting for about 73.4 wt.% for PM_{2.5} and 71.3 wt.% for PM_{1.0} on average.
593 Organic matter (OM) was converted from OC by multiplying a factor of 1.3 to
594 account for noncarbon materials (Li et al., 2007; Li et al., 2015), and Li et al. (2016)
595 ever measured OM/OC ratio as ~1.3 for fresh smoke particles via volatility analysis.
596 EFs of EC and OC from this work were consistent with most studies, average OC
597 EFs were 4.21 and 3.58 g kg⁻¹ in smoke PM_{2.5} and PM_{1.0}, and the corresponded EC
598 EFs were 1.09 and 1.01 g kg⁻¹, respectively. These values fell within the ranges
599 (0.9~9.3 g kg⁻¹ for OC and 0.2~1.7 g kg⁻¹ for EC) found in other similar sources
600 (Dhammapala et al., 2007; Hayashi et al., 2014; Li et al., 2007; May et al., 2014).

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

601 Due to the technical limitation and ambiguous artificial boundary, carbon contents of
602 biomass burning particles have vast variability and uncertainty (Lavanchy et al.,
603 1999; Levin et al., 2010). It was ever reported chamber burn study may overestimate
604 EC EFs due to a misassigned OC-EC split for the heavily mass loaded filter samples
605 (Dhammapala et al., 2007b). Moreover, carbon measurement based on TOT method
606 with NIOSH protocol may overestimate OC fraction by sacrificing EC part

Field Code Changed

Field Code Changed

607 ~~compared with that of TOR (Thermal-Optical Reflectance) method with IMPROVE~~
608 ~~program (Han et al., 2016). EFs of EC and OC from this work agree well with~~
609 ~~previous study, average EFs of OC were 4.21 and 3.58 g kg⁻¹ in smoke PM_{2.5} and~~
610 ~~PM_{1.0}, and the values for EC were 1.09 and 1.01 g kg⁻¹. Mass ratio of OC/EC is an a~~
611 ~~important practical~~ parameter to indicate the primary organic aerosol (OA) emission
612 and secondary organic aerosol (SOA) production. The ratio is ~~influenced by response~~
613 ~~to~~ burning conditions, source, aging extent, and particle size (Engelhart et al., 2012;
614 Grieshop et al., 2009). Smoke emitted from smoldering fires is OC-dominated while
615 flaming combustion produces more EC, and the discrepancy of OC/EC ratio can be
616 an order of magnitude (Grieshop et al., 2009). SOA production upon photo-oxidation
617 will increase the OC/EC ratio, and positive relation between oxidation level of OA
618 and OC/EC ratio was reported (Grieshop et al., 2009). Here, OC/EC ratio in primary
619 emissions varied from 2.4 to 6.2 under flaming condition, similar to previous studies
620 (Lewis et al. 2009; Dhammapala et al. 2007; Hayashi et al. 2014; Arora et al. 2015).
621 The ratios were larger in PM_{2.5} with average value of 3.8, while it was 3.6 in PM_{1.0},
622 indicating more EC resides in PM_{1.0}.

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

623 EC in smoke particle can be further classified as char-EC and soot-EC based on
624 the distinct different physiochemical properties and formation mechanisms of soot
625 and char (Arora ~~and Jain et al.~~, 2015; Lin et al., 2011; Reid et al., 2005a; Richter et al.,
626 2000). Both char- and soot-EC represent the major light-absorbing fraction of PM;
627 however, ~~soot-EC exhibits much stronger light-absorbing character and longer~~
628 ~~lifetime in the atmosphere than char-EC, and merits greater focus in climate~~
629 ~~research~~ ~~light absorption potential of soot EC exceeds char EC (Arora (Arora and~~
630 ~~Jain, 2015; Han et al., 2010 et al., 2015)~~. Char-EC can be distinguished as brown
631 carbon, as carbonaceous materials that are optically between the strongly absorbing
632 soot and non-absorbing organics are operationally defined as brown carbon (Yang et
633 al., 2009; Andreae and Gelencsér, 2006; Gustafsson et al., 2009) ~~(Yang et al., 2009;~~
634 ~~Andreae et al., 2006)~~. Char-EC is formed from solid residues during relative
635 low-temperature combustion, while generation of soot-EC takes place under
636 high-temperature conditions from recondensation and dihydrogen-carbonization of

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

637 gaseous materials (Han et al., 2009; ~~Han et al.~~, 2007). To our knowledge, seldom
638 study ever reported source specific EFs of char- and soot-EC for crop straw burnings.
639 Here, particulate char- and soot-EC EFs in fine mode were estimated to be 0.56 ~
640 1.76 and 0.05 ~ 0.42 g kg⁻¹, while char- and soot-EC EFs in smoke PM_{1.0} were 0.51
641 ~ 1.67 and 0.06 ~ 0.41 g kg⁻¹, respectively. Average EFs of char and soot EC in
642 smoke PM_{2.5} were 0.93 ± 0.50 and 0.15 ± 0.15 g kg⁻¹ in this study. Mass ratio of
643 char-EC/soot-EC is a more effective indicator for source identification and
644 apportionment than OC/EC, as atmospheric process can hardly change the mass
645 loading and dissociation of inert EC fractions (Han et al., 2009; Han et al., 2007).
646 The ratios of char-EC/soot-EC are also fuel types and PM size dependent, also varied
647 with fuel types and PM fraction. Generally, char-EC/soot-EC is also controlled by
648 combustion mode or even moisture content of biomass fuel, and biomass burning by
649 smoldering at low temperatures results in high char-EC/soot-EC. Chuang et al. (2013)
650 reported char-EC/soot-EC in smoke PM_{2.5} was 9.4 ± 3.8 for biomass burning (BB),
651 and Cao et al. (2005) proposed the ratio to be 11.6 for BB sources. These values
652 were larger than the present study, as we estimated char-EC/soot-EC in PM_{2.5} to be
653 7.28 ± 1.98 on average. It can be explained by different techniques for EC
654 measurement, char-EC and soot-EC were mostly measured using TOR-IMPROVE
655 method, while TOT-NIOSH method used in this study will overestimate PC fraction
656 in OC-EC split, resulting in less char-EC fraction (EC1-PC) and lower
657 char-EC/soot-EC ratio. Nonetheless, the results were still comparable for the two
658 methods (Han et al., 2016). The char-EC/soot-EC ratio was 6.29 in PM_{1.0}, which was
659 smaller than that in smoke PM_{2.5}, the result indicates that SPM comprises a
660 considerable amount of char-EC and char particle has a larger size than soot, in
661 consistent with the conclusion that soot particles are mainly tens of nanometers in
662 size and cluster together into loose aggregates of hundred nanometers, while char
663 particles were reported to be larger with diameter in the range of 1~100 μm. Similar to
664 OC/EC, char-EC/soot-EC was larger in PM_{2.5} with average ratio of 7.28, and the
665 ratio was 6.29 in PM_{1.0}, the result indicates that char-EC dominates the EC fraction
666 in SPM and char particle has a larger size than soot, as soot particle is mainly within

Field Code Changed

Field Code Changed

Field Code Changed

667 ~~several hundred nanometers, while char is reported primarily to be supermicron~~
668 ~~particulate~~(Arora and Jain, 2015; China et al., 2014; Lin et al., 2011; Wornat et al., 2007)
669 ~~(Arora et al., 2015; China et al., 2014; Lin et al., 2011; Wornat et al., 2007)~~. Besides,
670 correlation among the multi-pollutants was analyzed by relevance matrix as shown
671 in Table ~~S6–S9~~ (SI), the strong positive linear relationship ($R^2 > 0.99$, $p < 0.05$)
672 between EC and char-EC also confirms the reliable source of biomass burning to
673 produce char-EC (Lin et al., 2011; ~~Arora and Jain~~Arora et al., 2015).

Field Code Changed

Field Code Changed

Field Code Changed

674 3.1.2 Water soluble organic acids

675 Smoke particles comprise a considerable amount of water soluble organic acids
676 (WSOA), it was 3.35 wt.% in $PM_{2.5}$ and 3.17 wt.% in $PM_{1.0}$ on average, which was
677 in line with previous work that organic acids measured represented less than 5 wt.%
678 of the total smoke aerosol mass load ~~and favor to partition in larger size~~ (Falkovich
679 et al., 2005; Gao et al., 2003). Acetic acid followed by methysulfonic acid
680 contributes the most of the measured low molecule weight acids. ~~Oxalic acid is the~~
681 ~~dominated dicarboxylic acids measured in the ambient environment and biomass~~
682 ~~burning aerosol~~ (Falkovich et al., 2005; Kundu et al., 2010), and oxalic acid EF was
683 ~~measured to be 2.2 ~ 4.8 and 1.6 ~ 3.6 mg kg⁻¹ for smoke PM_{2.5} and PM_{1.0} in present~~
684 ~~work~~. The sums of ~~WSOA EFs~~EFs of these organic acids ranged from 46.7 to 770.0
685 mg kg⁻¹, and the WSOA were highly correlated with emissions of OC and PM in
686 Table ~~S6–S9~~ (SI). Study has ~~confirmed~~shown organic acids contribute a significant
687 fraction of both oxygenated volatile organic compounds (OVOCs) in gaseous phase
688 and SOA in particulate phase, the direct emission of particulate organic acids from
689 biomass burning also represents a significant source of precursors for SOA
690 formation, as the low molecular organic acids will evaporate into gas phase or
691 involve in the heterogeneous reaction directly (Takegawa et al., 2007; Veres et al.,
692 2010; Yokelson et al., 2007; Carlton et al., 2006). Moreover, as the significant
693 fraction of water soluble organic carbon, organic acids plays major response to CCN
694 activity of smoke particles, and organic acids coating or mixing can amplify
695 hygroscopic growth of inorganic salts by decreasing the deliquescence RH, enable

Field Code Changed

Formatted: Not Highlight

Field Code Changed

696 | the particle to be CCN at relative low degree of supersaturation (Falkovich et al.,
697 | 2005; Ghorai et al., 2014). In the ambient environment, organic acids can enhance
698 | atmospheric new particle formation by impairing nucleation barrier (Zhang et al.,
699 | 2004), besides, particulate organic acids can also mobilize the solubility of mineral
700 | species, like iron, altering the chemical process of particles (Cwiertny et al., 2008).
701 | And prominent optical properties of organic acids like humic/fulvic substance make
702 | them as potential contributors to the global warming (Yang et al., 2009; Andreae and
703 | ~~Gelencsér et al.~~, 2006).

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

704 | 3.1.3 Water soluble aminiums

705 | Interest has been focused on the vital role of amines in particle nucleation-growth
706 | process and acidity regulating due to their strong base (Tao et al., 2016; Bzdek et al.,
707 | 2011; Bzdek et al., 2010). Though ultratrace gaseous amines and particulate
708 | aminiums were on the order of pptv ~~and-or~~ ng m⁻³, aminium salts exhibit potential
709 | climatic and health effect due to their significant different properties in
710 | ~~hygroscopicity, optics, and also toxicology-hygroscopic, optical and also~~
711 | ~~toxicological~~ (Qiu ~~et al.~~ and Zhang, 2012; Qiu et al., 2011; Samy ~~et al.~~ and Hays,
712 | 2013; Zheng et al., 2015; Ho et al., 2015; Tao et al., 2016). It ever proposed that
713 | biomass burning is an important emission source of gaseous amines, especially from
714 | smoldering burning, and particulate alkyl amides can be served as biomarkers (Ge et
715 | al., 2011; Ho et al., 2015; Lee ~~and Wexler et al.~~, 2013; Lobert et al., 1990; Simoneit
716 | et al., 2003). However, seldom study ever quantitatively explored the particulate
717 | water soluble amine salts (WSA) in primary smoke particle emissions (Schade and
718 | ~~Crutzen et al.~~, 1995; Ge et al., 2011). From this study, WSA contributed 4.81 wt.% of
719 | smoke PM_{2.5} and 4.69 wt.% of PM_{1.0} on average, implicating aminium favored to be
720 | abundant in fine-mode smoke particles, especially in PM_{2.5-1.0}. DEAH⁺, TMAH⁺,
721 | TEOH⁺ and DMAH⁺ made up over 80 wt. % of the measured WSA.
722 | Fuel-dependence of WSA distribution and emission were obvious. EFs of WSA
723 | ranged from 4.5 to 104.8 mg kg⁻¹ in smoke PM_{2.5}, the least was from burning of
724 | soybean straw and the largest from cotton and rice straws. We used mass ratio of

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

725 WSA to NH_4^+ to denote the enrichment of aminium in particulate phase. Statistical
726 analysis showed WSA/ NH_4^+ was 0.16 ± 0.03 and 0.18 ± 0.06 in smoke $\text{PM}_{1.0}$ and
727 $\text{PM}_{2.5}$, respectively, which were almost one order of magnitude larger than that in the
728 ambient aerosol (Liu and Bei, 2016; Tao et al., 2016). Tao et al. (2016) ever
729 measured the ratio as a function of particle size during NPF days in Shanghai, and a
730 noticeable enrichment of aminiums for ultrafine particles (<56 nm) was observed
731 with WSA/ NH_4^+ over 0.2, highlighting the competitive role for amines to ammonia
732 in particle nucleation and initial growth of the nuclei, the ratio was then decreased
733 with the increasing particle size, and the final increasing trend was found after ~ 1.0
734 μm , and average WSA/ NH_4^+ for ambient bulk $\text{PM}_{1.0}$ and $\text{PM}_{2.5}$ were 3.2% and 3.5% ,
735 respectively.

Field Code Changed

Field Code Changed

736 **WSA/ NH_4^+ in smoke $\text{PM}_{1.0}$ and in $\text{PM}_{2.5}$ was 0.16 ± 0.03 and 0.18 ± 0.06 .**

737 3.1.4 PAHs and Phenols

Formatted: Font: Times New Roman

738 Atmospheric PAHs are primarily the byproduct of incomplete combustion of
739 biomass and fossil fuels (Simcik et al., 1999; Galarneau, 2008). Due to their high
740 degree of bioaccumulation and carcinogenic or mutagenic effect, the sources and
741 environmental fate of the ubiquitous PAHs have been the subjects of extensive
742 studies (Santodonato, 1997; Kim et al., 2013). PAHs can involve in photochemical
743 reaction to form SOA, the process is influenced by gas-to-particle partition and
744 meteorological conditions. Oxidation may increase the toxicity of PAHs (Arey and
745 ~~Atkinson et al.~~, 2003; Wang et al., 2011). Biomass burning is one of the main sources
746 of gaseous and particulate PAHs, which even contributes to about half of total PAHs
747 emissions in the atmosphere in China (Xu et al., 2006; Zhang et al., 2011). Burning
748 conditions can significantly influence the emission of PAHs, under the flaming phase
749 in this study, PAHs contributed 0.46 wt.% of smoke $\text{PM}_{2.5}$ and 0.28 wt.% of $\text{PM}_{1.0}$,
750 over 60% of the total PAHs were associated to respiratory submicron particles.
751 Emission factors of 16 PAHs in smoke $\text{PM}_{2.5}$ ranged from 1.81 to 8.30 mg kg^{-1} ,
752 which were consistent with the values from literature (Dhammapala et al., 2007a, b;
753 Lee et al., 2005; Zhang et al., 2011). Hays et al. (2005) estimated total EFs of 16

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

754 PAHs to be 3.3 mg Kg⁻¹ in wheat straw burning PM_{2.5}. Korenaga et al. (2001)
755 measured PAHs EFs from rice straw burning to be 1.9 mg Kg⁻¹ in particulate phase.
756 while the value from Jenkins et al. (1996) was 16 mg Kg⁻¹. Dhammapala et al.
757 (2007b) found negative linear response for biomass burning source PAHs emissions
758 to burning efficiency, and under flaming combustion, particulate total 16 PAHs EFs
759 were 2 ~ 4 mg Kg⁻¹. Zhang et al. (2011) simulated burning of rice, corn, and wheat
760 straws, the corresponded PAHs EFs were measured as 1.6, 0.9, and 0.7 mg Kg⁻¹ in
761 fine smoke particles, respectively. Great uncertainties for PAHs EFs were evident
762 that relied on burning phase, fuel types, moisture content, and also measurement
763 techniques. Dhammapala et al. (2007a) also found laboratory simulated burnings
764 might overestimate the emission factors of PAHs compared with field burnings
765 (Dhammapala et al., 2007). EFs for individual PAHs were included in Table S7 and
766 S8 (SI). The distribution of particulate PAHs emission factors was presented in Fig.
767 3a. Of the particle bound PAHs, 3~4-rings components were the primary ones,
768 including Pyr, Ant, Ace, Flu, Phe, and Chr. Concentration ratios of selected PAHs,
769 namely diagnostic ratios, were usually used to trace the source and make
770 apportionment of specific pollutions (Yunker et al., 2002; Simcik et al., 1999). In this
771 work, average Ant/(Ant+Phe), Flu/(Flu+Pyr), BaA/(BaA+Chr), and IP/(IP+BghiP)
772 ratios of 5 types agricultural residue burning smokes were 0.72, 0.36, 0.47, and 0.58,
773 respectively. There was no significant difference of the ratios in PM_{1.0} and PM_{2.5}.
774 According to previous work, Ant/(Ant+Phe) above 0.1 and BaA/(BaA+Chr) above
775 0.35 indicate the dominance of combustion and pyrolytic sources, Flu/(Flu+Pyr) and
776 IP/(IP+BghiP) ratios greater than 0.50 suggest coal or biomass burnings dominate
777 (Simcik et al., 1999; Yunker et al., 2002). However, validation of source
778 apportionment using specific diagnostic ratios should have its constraints, because of
779 variations in source strengths and atmospheric processing of PAHs (Arey and
780 Atkinson et al., 2003; Galarnau, 2008).

Field Code Changed

Field Code Changed

Formatted: Not Highlight

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

781 From Table S6-S9 (SI), The PAHs in smoke particles were highly correlated with
782 EC and OC contents. PAHs primarily originate from pyrolysis of organic materials
783 during combustion, and formation mechanisms of PAHs and soot are closely

784 intertwined in flames. High-molecular-weight PAHs (>500 atomic mass unit) act as
785 precursors of soot particles (Lima et al., 2005; Richter et al., 2000). Thus, PAHs with
786 3, 4, and 5 rings accumulate and dominate in the emissions of biomass burning, as
787 larger molecular weight PAHs tend to incorporate into soot particles. PAHs
788 expulsion-accumulation in OC and EC fractions were analyzed by linear fitting of
789 PAHs mass fractions and EC mass fractions in carbonaceous materials (EC+OC) in
790 Fig. 3b. The partitions can be parameterized as Eq. (10):

$$791 f_{\text{PAHs}} = \frac{m_{\text{PAHs}}}{m_{\text{OC}} + m_{\text{EC}}} = \beta_{\text{EC}} \times \frac{m_{\text{EC}}}{m_{\text{OC}} + m_{\text{EC}}} + \beta_{\text{OC}} \times \frac{m_{\text{OC}}}{m_{\text{OC}} + m_{\text{EC}}} = \beta_{\text{EC}} \times f_{\text{EC}} + \beta_{\text{OC}} \times f_{\text{OC}} \quad (10)$$

792 where f_{EC} and f_{OC} are the mass fraction of OC and EC in carbonaceous materials
793 (EC+OC). β_{EC} and β_{OC} are expulsion-accumulation coefficients of PAHs in OC and
794 BC. The coefficient of β_{EC} is 1.1×10^{-3} in smoke $\text{PM}_{1.0}$ and 1.9×10^{-3} in $\text{PM}_{2.5}$; the
795 corresponded β_{OC} is 0.3×10^{-3} and 0.5×10^{-3} .

796 Phenols are the most common SOA precursor/product and organic pollutants in
797 the atmosphere (Berndt and Bögeel et al., 2006; Schauer et al., 2001). Hydroxyl
798 functional group and aromatic benzene ring make phenols a paradigm in
799 heterogeneous reaction upon photo oxidation research and aqueous phase reaction
800 research. Phenols are also ROS (reactive oxidized species) precursors that present
801 health hazard (Bruce et al., 1987). Phenol and substituted phenols are thermal
802 products of lignin pyrolysis during biomass burning (Dhammapala et al., 2007a), and
803 the most abundant methoxyphenols can be markers of biomass burning sources
804 (Urban et al., 2016). ~~and~~ The five measured phenols contributed 2.98 wt. % and
805 2.47 wt. % of $\text{PM}_{2.5}$ and $\text{PM}_{1.0}$. 2, 6-dimethoxyphenol was the major one of the
806 measured phenols. Mass fraction of phenols was about 7~9 time of PAHs in smoke
807 aerosols. EFs for the sum phenols were 9.7 ~ 41.5 and 7.7 and 23.5 mg Kg⁻¹ for
808 smoke PM_{2.5} and PM_{1.0}, respectively. Dhammapala et al. (2007a) estimated
809 particulate methoxyphenols emissions to be 35 ± 24 mg Kg⁻¹ for wheat straw
810 burning, while Hays et al. (2005) measured the same compounds to be 6.8 mg Kg⁻¹.
811 Carbonaceous materials like PAHs and Phenols or aromatic and phenolic deviates
812 are the main chromophores in the atmosphere, and the considerable fractions of

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

813 PAHs and Phenols justify biomass burning as a significant source of brown carbon
814 (Laskin et al., 2015), study has proved ~ 50% of the light absorption in the
815 solvent-extractable fraction of smoke aerosol can be attributed to these strong BrC
816 chromophores (Lin et al., 2016).

Field Code Changed

Field Code Changed

817 **3.1.5 Inorganic components**

818 From Fig. 2, smoke particles consisted of approximately 24 wt.% water soluble
819 inorganics (WSI), and the inorganic salts resided more in PM_{1.0}. Great amount of
820 inorganics enable smoke particles to be efficient CCN, and the distinct optical
821 scattering characters of the inorganic fractions may neutralize the warming effect of
822 brown carbon for smoke aerosol, otherwise, inorganics coating or mixing will
823 enhance light absorbing of BC. K⁺, NH₄⁺, Cl⁻, and SO₄²⁻ were the major inorganic
824 ions in WSI. Particulate enriched K⁺ together with levoglucosan are treated as tracer
825 of pyrogenic source (Andreae et al., 1998). And specific mass ratio of K⁺/OC or
826 K⁺/EC will help make source apportionment of particulate pollutants with PMF
827 (Positive Matrix Factorization) and PFA (Principle Balance Analysis) models (Lee et
828 al., 2015). K⁺/OC in smoke particles ranged from 0.11 to 0.25 with average value of
829 0.17 in PM_{1.0} and 0.14 in PM_{2.5}, which were similar to those reported for the
830 Savannah burning and agricultural waste burning emissions in India and China
831 (Echalar et al., 1995; Ram and Sarin, 2011; Li et al., 2015). However, OC represents
832 large uncertainty arise from degree of oxidization and burning condition, K⁺/EC is
833 more practical parameter to distinguish the pyrogenic pollutants in ambient study. To
834 smoke particle emitted from flaming fires, K⁺/EC was 0.58 ± 0.24 in PM_{1.0} and 0.53
835 ± 0.18 in PM_{2.5}. Cl⁻ was the main anion to balance the charge of WSI in smoke
836 particles. Mean charge ratio of Cl⁻ : K⁺ was 1.46 and 1.49 in PM_{1.0} and PM_{2.5}, with
837 atmospheric aging, the ratio will decrease as chloride be replaced by secondary
838 sulfate and nitrate (Li et al., 2015; Li et al., 2003). Equivalent charge ratio of primary
839 cations (NH₄⁺ + K⁺) to primary anions (SO₄²⁻ + Cl⁻) was 1.05 in PM_{1.0} and 1.01 in
840 PM_{2.5} on average, and charge ratios of total cations to anions (R_{C/A}) was 1.09 and
841 1.07 in PM_{1.0} and PM_{2.5}. R_{C/A} was used to indicate the neutralizing level of

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

842 particulate matters in many studies. $R_{C/A} \geq 1$ indicates most of the acids can be
843 neutralized, while $R_{C/A} < 1$ means atmospheric ammonia is deficient and the aerosol is
844 acidic (Adams et al., 1999; He et al., 2011a; Kong et al., 2014). In ambient
845 environment, acidic aerosol was prevailing urban pollutants in many cities from field
846 investigation (He et al., 2011a; Kong et al., 2014). Acidic aerosols can increase the
847 risks to human health and affect the atmospheric chemistry by activating hazardous
848 materials and promoting the solubility of particulate iron and phosphorus (Amdur
849 ~~and Chenet et al.~~, 1989; Meskhidze, 2005). The emission and transport of biomass
850 burning particles may neutralize the acidity of ambient particles. However, only
851 limited WSI were brought into in the analytical system, considering the existence of
852 massive organic acids and ammoniums, it is not really to tell the acidity or base of
853 smoke particles.

Field Code Changed

Field Code Changed

Field Code Changed

854 Trace mineral elements attracted great attention for the role as catalyst in
855 atmospheric heterogeneous reaction and health cares (Davidson et al., 2005;
856 Dentener et al., 1996). Wet/dry deposition of particles during long range transport
857 will affect the ecological balance by releasing mineral elements (Jickells et al., 2005).
858 Dust storm, weathering, and industrial process are the main sources of particulate
859 metals, and incineration can also produce a lot of mineral elements (Moreno et al.,
860 2013). However, the emissions of trace metals from biomass burning are highly
861 uncertain (Li et al., 2007), the great influence from local soil environment and soil
862 heavy metal pollution will certainly affect the metal content in biomass fuel and
863 smoke particle. In this study, THM resided more in $PM_{2.5}$ than in $PM_{1.0}$. Smoke
864 $PM_{2.5}$ consisted of 6.7 wt.% THM on average, $PM_{1.0}$ comprised 4.1 wt.% THM.
865 ~~Average EFs of THM~~ ~~EFs of THM~~ in $PM_{2.5}$ and $PM_{1.0}$ were 0.056 g kg^{-1} and 0.028 g
866 kg^{-1} ~~in this work for all the five straws burning aerosol~~. Al contributed over 90% of
867 total THM, ~~in line with result from domestic burning of wood and field investigation~~
868 ~~of crop straw burning (Li et al., 2007; Zhang et al., 2012) and As was the second~~
869 ~~most element~~. Smoke particles from wheat, rice, and corn straws contained more
870 mineral elements than that from cotton and soybean residues combustion. Regardless
871 the difference in biomass fuels, the result can imply that soil heavy metal pollution is

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

872 heavier in the East China than that in Xinjiang in the West North of China (Wei et al.,
873 2010).

874 3.2 Size, morphology, and mixing state of smoke particles

Field Code Changed

875 Fresh smoke particles exhibited unimodal size distribution within 500 nm (Fig. S3,
876 SI), and previous chamber study has also confirmed that agricultural fire produces
877 large amount of ultrafine particles, implying more profound threat to human health
878 (Araujo et al., 2008; Delfino et al., 2005; Zhang et al., 2011). However, the role of

Field Code Changed

879 particles in the atmospheric process and health hazard depends not only size, but also
880 morphology and chemical mixing states (Dusek et al., 2006; Kennedy, 2007;

881 Mikhailov et al., 2006; Schlesinger, 1985). From TEM images in Fig. 4, agricultural
882 straw burning aerosols comprised a broad class of morphological and chemically

883 heterogeneous particles. Non-uniformly internal mixing of the agglomerates was
884 noticeable, including the major carbonaceous particles and a considerable amount of

885 inorganic salt particles, which was consistent with particulate chemical compositions
886 analysis. KCl particles containing minor sulfate or nitrate were the primary inorganic

887 particles, which presented crystal or amorphous state from X-ray diffraction analysis
888 (Fig. 4 a, b, c). And potassium-bearing particles have been used as a tracer of

889 ambient biomass burning pollutants. Fly ash particles were arresting due to visible
890 morphology difference and mineral chemical composition (Fig. 4 d, e, f). Fly ash

891 particles were more compact and rich in mineral elements like Ca, Si, Fe, Al, Mn,
892 and Cr. Besides, these particles had larger size, statistical average diameter of fly ash

893 particles obtained from bulk analysis was $2.2 \pm 1.6 \mu\text{m}$. The result also proved heavy
894 metals resided more in $\text{PM}_{2.5}$ than $\text{PM}_{1.0}$. Fly ashes are by products of incineration

Field Code Changed

895 process (Buha et al., 2014), including coagulation of fuel issue debris, condensation
896 of evaporated mineral metal from biomass fuels or adhered dirt at different burning

897 phase. These fly ashes coated by or agglomerated with carbonaceous materials were
898 like mash of mineral without clear lattice. Tar ball as a specific form of brown

Field Code Changed

899 carbon and soot were representative particles of biomass burning aerosol (Wilson et
900 al., 2013; Chakrabarty et al., 2010; Tóth et al., 2014). From Fig. 4 g, chain-like soot

901 particles were coagulated with tar ball. Soot particles were agglomerates of small
902 roughly spherical elementary carbonaceous particles, these chemical consistent
903 particles were within 20~30 nm, and high-resolution TEM showed the soot spheres
904 consisted of concentrically wrapped graphitic layers, while monomeric tar balls
905 possessed disordered microstructure. Tar balls and soot corresponded to different
906 stages in the aging of organic particles; tar balls abundant in fresh or slightly aged
907 biomass smoke are formed by gas-to-particle conversion of high-molecular weight
908 organic species or from aged primary tar droplets upon biomass burning. Soot
909 represents further aged carbon-bearing particles, formed from the pyrolysis of lignin,
910 cellulose, or tar balls (Pósfai, 2004; Tóth et al., 2014). The botryoid aggregates in
911 Fig. 4 g can be viewed as transformation of tar ball to soot. Tar ball and soot were
912 also internal mixed with inorganic salt including sulfate and nitrate (Fig. 4 g, h, i),
913 which made the physiochemical properties of BC even complicated, as study has
914 confirmed inorganic sulfate mixing will enhance light absorption and hygroscopicity
915 of BC (Zhang et al., 2008b). Dark-ring like shell of tar ball (Fig. 4 g, h) and spot-like
916 particles adhered to the surface of tar ball (Fig. 4 i) were K-rich materials. And size
917 of soot particles was mainly within 200 nm, while tar ball and other carbonaceous
918 particles can be over one micrometer.

Field Code Changed

Field Code Changed

919 **3.3 Open burning emissions**

920 **3.3.1 Crop straw production**

921 The agricultural straw productions were calculated and geographically displayed in
922 Fig. 5 a-c. Totally 647.3 Tg agricultural straws were produced in 2012 and dispersed
923 mainly in the North and Northeast of China. The distributions of the straws clearly
924 correspond to the distinct planting regions that are divided by Qinling
925 Mountain-Huaihe River line and the Yangtze River. Rice is primarily planted in the
926 south of Qinling Mountain-Huaihe River line, only 10 % rice (single cropping rice
927 dominate) is planted in Heilongjiang, Jilin, and Liaoning province, while wheat and
928 corn are grew mostly in the north of the Yangtze River. Over 90 % of the wheat
929 planted in China is winter wheat that gets ripe in summer, and more than 80 % rice

930 including middle and late rice grows mature in autumn. Summer harvest contributed
931 about 25 % of the agricultural straw production, which solely consists of rice and
932 wheat straws in this period and distributes in the central and east of China. 493.9 Tg
933 crop straws were produced mainly from corn and rice harvesting in autumn. Soybean
934 and cotton straws account for about 8.6 % of autumn straw production that were
935 primarily produced in Heilongjiang and Xinjiang province.

936 3.3.2 Open burning rate

937 The five scenarios of field burning rates and regional AIP ($\frac{I_{k,y}}{AI_{k,y}}$) in the year of
938 2000, 2006, and 2012 were listed in Table 6-4 and statistically analyzed in Fig. 6. A
939 significant difference of regional burning rates among the versions was observed,
940 and the rates from NDRC report were generally higher. For convenience, six zones
941 were classified by geographic divisions and economic areas in China, including the
942 North Plain of China (NPC: Anhui, Shandong, Hebei, Shanxi, Tianjin, Beijing), the
943 Central of China (CC: Hunan, Henan, Hubei), the Yangtze River Delta (YRD:
944 Zhejiang, Jiangsu, Shanghai), the Northeast of China (NC: Heilongjiang, Liaoning,
945 Jilin), the Pan-Pearl River Delta (PRD: Hainan, Guangdong, Fujian, Guangxi,
946 Guizhou, Sichuan, Yunnan, Jiangxi), the West of China (WC: Shannxi, Chongqing,
947 Xinjiang, Qinghai, Ningxia, Tibet, Inner Mongolia, Gansu). And the bulk-weighted
948 burning rates that averaged from BAU, EM, and NDRC versions for the six zones
949 were 22.3 % ± 3.1 %, 21.1 % ± 3.3 %, 28.4 % ± 6.2 %, 23.3 % ± 9.2 % 21.4 % ±
950 6.5 %, and 14.2 % ± 8.0 %, respectively. It was obvious that condition of agricultural
951 field burning was most serious in the Yangtze River Delta, especially in the Zhejiang
952 province. The nationwide filed burning rate was 21.4 %, 16.3 %, 26.0 %, 14.9 %,
953 and 26.8 % for BAU-I, BAU-II, EM-I, EM-II, and NDRC, respectively, which were
954 comparable with the document values (Daize, 2000; Wei et al., 2004; Zhang et al.,
955 2008a).

Field Code Changed
Field Code Changed
Field Code Changed
Field Code Changed
Field Code Changed

956 3.3.3 Agricultural open burning emissions

957 PM_{2.5} emissions from agricultural field burnings based on BAU, EM, and NDRC

958 versions were calculated and geographically presented in Fig. 7 (emissions of
959 detailed chemical species in SI). A similar spatial character of regional emission
960 distribution was observed for BAU, EM, and NDRC versions, most emissions were
961 allocated in the North Plain and the Central of China where the primary agricultural
962 regions locate, echoing the agricultural fire sites in Fig. S2 (SI). Although filed
963 burning rates were higher in the Yangtze River Delta, the crop residue productions of
964 this zone were much less, which only contributed 4.3 % of the national straw
965 productions. Take NDRC as the basis, BAU and EM scenarios all underestimated the
966 emissions in the Northeast of China, especially in Heilongjiang.

967 The temporal distributions of field burning emissions also echoed the crop residue
968 productions and the agricultural fire sites in summer and autumn harvest. Apart from
969 Henan and Tibet where the main crop straws were produced in summer period, more
970 pollutants were emitted in autumn harvest period to the rest place, which has been
971 confirmed by many studies (He et al., 2011b; Wang and Zhang, 2008). And the large
972 scale filed burning emissions in autumn exhibited great influence on the haze
973 formation and visibility degradation in the North and East of China (Leng et al.,
974 2014; Shi et al., 2014). Huang et al. (2012a) has identified biomass burning together
975 with secondary inorganic aerosol (SIA) and dust pollution as three typical haze types
976 in Shanghai. In summertime, filed burnings concentrated in the North Plain, the
977 Central, and the South regions. While in autumn, filed burning emissions became
978 more ubiquitous and serious in the Northeast of China.

979 Nationwide emission inventories and flux concentrations were graphically
980 displayed in Fig. 8 and tabular presented in Table 75. The total PM_{2.5} emission from
981 agricultural field burnings was 738.36-1241.69 Gg in 2012, and rice,
982 corn, and wheat straw burnings made up 93.5 % ~ 95.6 % of the total emissions. The
983 largest quantities of PM_{2.5} emissions were emitted from Heilongjiang, Shandong,
984 Henan, Jilin, Jiangsu, Anhui and Hebei, distinct difference in the emissions from
985 various scenarios were observed, especially for Heilongjiang province which
986 contributed 5.5 % (55.4 Gg) of PM_{2.5} emissions under BAU-II scenarios, while the
987 figure was 22.9 % (231.0 Gg) under EM-I scenarios. Annual emissions of ~~of which~~

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

988 PM_{1.0}, OC, and EC was 661.81-1111.90, 318.84-533.19, and 98.06-164.97 Gg,
989 respectively. ~~PM_{1.0} was 0.66-1.11 Tg, OC was 0.32-0.53 Tg, and EC was 0.09-0.16~~
990 ~~Tg~~, and the results were comparable with the precious studies (Cao et al., 2006; Cao
991 et al., 2011; Wang et al., 2012). Qin and Xie (2011, 2012) developed national
992 carbonaceous aerosol emission inventories from biomass open burning for
993 multi-years with dynamic burning activity, they believed BC and OC emissions
994 followed an exponential growth from 14.03 and 57.37 Gg in 1990 to 116.58 and
995 476.77 Gg in 2009. Cao et al. (2006, 2011) calculated smoke aerosol emissions from
996 biomass burning in China for 2000 and 2007 using the same activity data from
997 BAU-I scenarios, national OC and EC emissions were reported to be 425.9 and
998 103.0 Gg in 2000, however, no evident changes were found for the emissions in
999 2007, which were assessed to be 433.0 and 104.0 Gg. Huang et al. (2012b) estimated
1000 crop burning in the fields with unified EFs and burning rate (~6.6 %) for all kinds of
1001 crops across China in 2006, the estimated annual agricultural fire emissions were
1002 about 270, 100, and 30 Gg for PM_{2.5}, OC, and BC, respectively. In present work,
1003 agricultural fire PM_{2.5} emissions in 2012 were allocated into six zones, average
1004 contribution in percentage for each zone was compared: NPC (23.1 %) ≥ NC
1005 (21.6 %) > PRD (18.4 %) ≥ CC (18.2 %) > WC (9.8 %) > YRD (8.8 %). Furtherly,
1006 contribution for summertime emissions was: NPC (35.5 %) > CC (28.8 %) ≥ PRD
1007 (21.1 %) > YRD (9.1 %) > WC (5.4 %) > NC (0.1 %), and for autumn harvest
1008 emissions: NC (27.8 %) > NPC (19.6 %) > PRD (17.6 %) > CC (15.1 %) > WC
1009 (11.1 %) > YRD (8.8 %). ~~Allocated the emissions into the six zones to get the~~
1010 contribution of the North Plain (21 %) ≥ the Northeast (20 %) > Pan Pearl River
1011 Delta (19 %) ≥ the Central (19 %) > the West (16 %) > the Yangtze River Delta (5 %).
1012 It was obviously that the North Plain experienced extensive crop fire emissions
1013 during the whole harvest periods, where PM_{2.5}, PM_{1.0}, OC, and BC emissions in
1014 2012 were 233.6, 209.8, 102.3, and 29.4 Gg on average. Liu et al. (2015) developed
1015 emission inventories from agricultural fires in the North Plain based on MODIS fire
1016 radiative power, emission for PM_{2.5}, OC, and BC in 2012 was reported to be 102.3,
1017 37.4, and 13.0 Gg, respectively. However, EFs were also treated as unified values

Field Code Changed

Formatted: Not Highlight

Field Code Changed

Field Code Changed

Field Code Changed

1018 (e.g., Crop burning EFs for PM_{2.5}, OC, and BC was 6.3, 2.3, and 0.8 g Kg⁻¹) in the
1019 work of Liu et al. (2015) that was cited directly from Akagi et al. (2011) without
1020 considering fuel type dependence of EFs. Zhao et al. (2012) established
1021 comprehensive anthropogenic emission inventories for Huabei Region including the
1022 North Plain, Inner Mongolia, and Liaoning province, all crop straws were assumed
1023 to be burnt in the field, resulting in much more emissions of 446 Gg OC and 160 Gg
1024 BC in 2003. A specific temporal pattern for agricultural fire emissions was observed
1025 in the Northeast of China (Heilongjiang, Liaoning, and Jilin), where the open
1026 burning were mainly occurred in autumn harvest to produce great amount of
1027 pollutants (217.5 Gg PM_{2.5}, 89.4 Gg OC, and 29.7 Gg EC), while emissions in the
1028 summertime can be neglected.

Field Code Changed

Field Code Changed

Field Code Changed

1029 In 2012, 20-25 % of national emissions were released from summertime field
1030 burnings, that was 226.0 Gg PM_{2.5}, 205.2 Gg PM_{1.0}, 105.9 Gg OC, 28.4 Gg EC, 24.6
1031 Gg char-EC, 3.8 Gg soot-EC, 6.8 Gg WSOA, 1.0 Gg WSA, 0.1 Gg PAHs, 0.9 Gg
1032 phenols, and 2.1 Gg THM on average. The corresponded values for autumn harvest
1033 were 781.6, 697.9, 327.3, 106.0, 88.8, 17.2, 18.4, 4.8, 0.4, 1.9, and 6.6 Gg,
1034 respectively. ~~Summertime field burnings accounted for 20-25 % of national~~
1035 ~~emissions. 24.60 Gg char-EC, 3.79 Gg soot-EC, 6.82 Gg WSOA, 1.00 Gg WSA,~~
1036 ~~0.11 Gg PAHs, 0.86 Gg phenol and substituted phenols, and 2.07 Gg THM on~~
1037 ~~average were released in summer harvest from agricultural field burning. Integrated~~
1038 ~~smoke OC/EC was 3.7 from national summertime emission and 3.1 from autumn~~
1039 ~~harvest emission, the figure for smoke char-EC/soot-EC was 6.5 and 5.2, regarding~~
1040 ~~to different locations, integrated OC/EC in the North Plain was 4.1 in summertime~~
1041 ~~emission and 3.2 in autumn harvest, while OC/EC in the Central of China was 3.1~~
1042 ~~for both summer and autumn harvest emissions, implying temporal-spatial characters~~
1043 ~~of agricultural field fires exhibit potential influence on composition of smoke~~
1044 ~~emissions and its related physiochemical properties. The corresponded values for~~
1045 ~~autumn harvest were 88.77, 17.21, 18.36, 4.82, 0.37, 1.86, and 6.62 Gg, respectively.~~
1046 Zhang et al. (2011) estimated particulate PAHs emissions form three types of crop
1047 residues to be 0.46 Gg in 2003. Xu et al. (2006) counted PAHs from all straws with

Formatted: Indent: First line: 1
ch

Field Code Changed

Field Code Changed

1048 ~~the assumption that burning rates to be unit~~without considering burning rates and
1049 ~~they calculated to get~~ 5-10 Gg emissions in 2003, ~~which was ten times of our result.~~

1050 The nationwide flux concentration of smoke PM_{2.5} was 0.7-1.0 μg m⁻³ d⁻¹ in
1051 summer harvest and 1.4-3.5 μg m⁻³ d⁻¹ in autumn harvest, while average annual flux
1052 concentrations for OC and EC were 0.80 and 0.25 μg m⁻³ d⁻¹. Saikawa et al. (2009)
1053 assessed the annual concentrations of OC and BC from biomass burning primary
1054 emission in China using global models of chemical transport (MOZART-2) to be 1.8
1055 and 0.35 μg m⁻³. The most polluted areas were Anhui, Henan, Shandong, Jiangsu,
1056 Liaoning, and Hunan.

Field Code Changed

1057 3.3.4 Uncertainties of the emissions

1058 The fuzziness and uncertainties of major pollutants emissions from fuel combustion
1059 in China came from the uncertainties in specific-source emission factors and
1060 effective consumption of bio- or fossil fuel. Frey et al. analyzed uncertainties in
1061 emission factors and emissions of air toxic pollutants and technology dependent
1062 coal-fire power plants via bootstrap simulation method (Frey et al., 2004; Frey et al.,

Field Code Changed

1063 2002). Zhao et al. estimated uncertainties in national anthropogenic pollutants
1064 emissions based on Monte Carlo simulation, and they believed activity rates (e.g.
1065 fuel consumption) are not the main source of emissions uncertainties at the national
1066 level (Zhao et al., 2012; Zhao et al., 2011). The uncertainties in emission inventory

Field Code Changed

1067 can also be estimated by comparing different emission inventories for the same
1068 region and period (Ma and Van Aardenne, 2004).~~The uncertainties can also be~~
1069 ~~estimated by comparing the specific emissions from different studies. With this~~
1070 ~~method, the uncertainties represent the bias among different copies of emission~~
1071 ~~inventory.~~

Field Code Changed

1072 In this study, we investigated the uncertainties of multi-pollutants emissions for
1073 agricultural residue open burning using Monte Carlo Simulation. Detailed
1074 methodology was referred to Qin and Xie (2011). We followed the assumption: a
1075 normal distribution with coefficient of variation (CV) of 30% for the official
1076 statistics (e.g., crop production and GDP economic data obtained from Statistic

Field Code Changed

1077 Yearbooks, field burning rates for agricultural straw derived from NDRC report, etc.),
1078 a normal distribution with 50% CV for open burning rates from literature (BAU-I and
1079 BAU-II), and a uniform distribution with \pm 30% deviation for the rest activity data
1080 (crop-to-residue ratio, dry matter fraction, and burning efficiency). Regarding the
1081 emission factors, Bond et al. (2004) assumed that most particulate EFs followed
1082 lognormal distributions with CV of \pm 50% for domestic EFs, and of \pm 150% for EFs
1083 obtained from foreign studies. Here, we applied the CV of smoke EFs as we
1084 measured ones, which were chemical species and fuel type dependent. With
1085 randomly selected values within the respective probability density functions (PDFs)
1086 of EFs and activity data for each biomass type, Monte Carlo simulation was
1087 implemented for 10,000 times, and the uncertainties in national yearly
1088 multi-pollutants emissions at 95% CI were obtained for all the 5 versions.
1089 Afterwards, uncertainties for the average emission inventories were assessed using
1090 the propagation of uncertainty calculation that suggested by IPCC (1997) (method in
1091 SI), and all the emission uncertainties were presented in percentage in Table 6.
1092 Emissions for water soluble aminiums and organic acids had the vast uncertainties,
1093 due to their large deviation in EFs compared with other smoke species. Besides,
1094 emissions of BAU versions were more accurate than EM versions, because of more
1095 uncertainty addition in the burning rates conversion using economic data for EM
1096 versions. Otherwise, burning rates derived from NDRC report were assumed to have
1097 less uncertainty, resulting in the least uncertainties in smoke emission assessments.
1098 On average of all the 5 versions, mean, 2.5th percentile, and 97.5th percentile values
1099 for smoke PM_{2.5} emissions in 2012 were 1005.7, 758.3, and 1344.6 Gg, respectively.
1100 As to OC emissions, mean, 2.5th percentile, and 97.5th percentile values were 432.4,
1101 327.8, and 576.4 Gg, the figure for EC was 134.2, 100.9, and 187.9 Gg. Therefore,
1102 the overall propagation of uncertainties for smoke PM_{2.5}, OC, and EC at 95% CI was
1103 [-24.6%, 33.7%], [-24.4%, 33.5%], and [-24.2%, 33.3%], respectively. The
1104 uncertainties for OC and EC emissions were much less than the work of Qin and Xie
1105 (2011), in which emission and uncertainties were 266.7 Gg [-55.9%, 96.1%] for OC
1106 and 66.9 Gg [-53.9%, 92.6%] for EC in 2005, the bias in smoke PM_{2.5} emissions

Field Code Changed

Field Code Changed

Formatted

Field Code Changed

Field Code Changed

Field Code Changed

1107 ~~among BAU, EM, and NDRC versions was investigated and presented in Table 8.~~
1108 ~~The average national smoke PM_{2.5} emissions had 19% relative error. More~~
1109 ~~variability of the emissions was in the West of China (51.4 %), followed by the~~
1110 ~~Northeast (39.8 %), Pan Pearl River Delta (25.9 %), and Yangtze River Delta~~
1111 ~~(21.5 %). Although uncertainty was largest in the West, the contribution of the~~
1112 ~~emissions was much less.~~

1113 **3.4 Health and health-related economic impacts**

Formatted: Font: Times New Roman, Bold

Formatted: Left, Indent: First line: 0 ch

1114 **3.4.1 Carcinogenic risk**

1115 Calculated CR_{SPM} for smoke PM_{2.5} from wheat, corn, rice, cotton, and soybean
1116 straw burning were 5.3×10^{-6} , 3.8×10^{-6} , 2.6×10^{-6} , 0.7×10^{-6} , and 1.3×10^{-6} per $\mu\text{g m}^{-3}$,
1117 respectively. And the corresponded one in million PEL was 0.2, 0.3, 0.4, 1.4, and 0.8
1118 $\mu\text{g m}^{-3}$. Wu et al. (2009) ever assessed unit risk of wood and fuel burning particles
1119 using metals merely, the results were 3.2×10^{-6} and 1.5×10^{-6} per $\mu\text{g m}^{-3}$, which were
1120 close to that in our study. In actual application, PEL of smoke particles should be
1121 bulk mass concentration of mixed aerosols.

Field Code Changed

1122 It was noticeable that apart from Tibet and Qinghai, the flux concentration of
1123 smoke PM_{2.5} among all the five emission versions in other regions far surpassed the
1124 PEL, especially the North Plain and the Central of China, exhibiting great potential
1125 inhalable cancer risk. For the health care, emission flux concentration should be
1126 constrained within the PEL of crop straw burning aerosol. Thus the critical filed
1127 burning rates can be derived to ensure risk aversion following Eq. (11):

$$1128 R_k \leq \frac{10^{-6} \times S_k \times h \times T_k}{\sum_j \sum_i P_{t,k,i} \times r_i \times H_{t,k,j} \times D_i \times f_j \times EF_{i,j} \times CRF_i} \quad (11)$$

1129 The conservative values of regional field burning rates from Eq. (11) were named
1130 as Carcinogenic Risk Control scenarios (CRC) and listed in Table [S7-S10](#) (SI),
1131 which would be instructive in emission control. Under CRC, national crop straw
1132 field burning rate was less than 3%, emissions of PM_{2.5} were geographically
1133 presented in Fig. S4 (SI), and 146.3 Gg yr⁻¹ smoke PM_{2.5} should be released at
1134 largest in China, the corresponded annual flux concentration of PM_{2.5} was within 0.3
1135 $\mu\text{g m}^{-3} \text{ d}^{-1}$ (see in SI).

1136 **3.4.2 Health impacts**

1137 Health impacts from acute exposure of agricultural residue burning aerosol were
1138 assessed using daily flux concentrations of smoke PM_{2.5}, the result was tabulated in
1139 Table [S8-S11](#) (SI). The impacts from smoke PM_{2.5} exposure were severest in Jiangsu,
1140 Shandong, and Henan province, where annual premature mortality was over one
1141 thousand. On average, China suffered from 7836 (95% CI: 3232, 12362) premature
1142 death, 31181 (95% CI: 21145, 40881) respiratory hospital admissions, 29520 (95%
1143 CI: 12873, 45602) cardiovascular hospital admissions, and 7267237 (95% CI:
1144 2961487, 1130784) chronic bronchitis related to agricultural fire smoke in 2012 from
1145 Table [97](#). According to national health statistical reports (NHFPC, 2013), the
1146 hospital admission due to respiratory and cardiovascular disease was 5071523 in
1147 China in 2012, and smoke PM_{2.5} exposure might contribute ~1.2% of the hospital
1148 admissions from this study. Saikawa et al. (2009) ever reported 70000 premature
1149 deaths in China and an additional 30000 deaths globally due to OC, EC, and sulfate
1150 exposure that were primarily emitted from biofuel combustion in China in 2000,
1151 however, the results should be overestimated not only in the exaggerated pollutant
1152 emissions but also in the iterative operations of respective species induced mortality,
1153 besides, the exposure-response coefficient β and incidence rate he applied from Pope
1154 et al. (2002) and WHO (2000) were higher than the practical values from local
1155 research (Cao et al., 2012; Chen et al., 2011; Hou et al., 2012). From Table [97](#), under
1156 CRC version, over 92 % mortality and morbidity can be avoided.

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

1157 **3.4.3 Health-related economic losses**

1158 Health-related total economic losses from straw open burning smoke PM_{2.5} exposure
1159 were assessed to be 8822.4 (95% CI: 3574.4, 13034.2) million US\$ on average from
1160 Table [108](#), accounting for 0.1 % of the total GDP in 2012, and detailed regional
1161 economic losses were listed in Table [S9S12](#). Economic losses from premature death
1162 contributed about 17% of total losses, and loss from chronic bronchitis dominated.
1163 Hou et al. (2012) ever estimated 106.5 billion US\$ lost due to ambient PM₁₀
1164 exposure in China in 2009; even a severe haze episode (PM_{2.5} be focused on) in

Field Code Changed

1165 January 2013 may cause 690 premature death and 253.8 million US\$ loss in Beijing,
1166 and source-specification analysis stressed the emission from biomass burning (Yang
1167 et al., 2015; Gao et al., 2015). It was obvious that smoke PM_{2.5} contributed a
1168 noticeable damage to public health and social welfare. According to CRC version
1169 estimation, the carcinogenic risk control policy can save over 92 % of the economic
1170 loss.

Field Code Changed

1171 4 Conclusion

1172 Detailed chemical compositions of smoke aerosol from five major agricultural
1173 straws burning were characterized using an aerosol chamber system. And
1174 corresponded emission factors for particulate OC-EC, char-/soot-EC, WSI, WSOA,
1175 WSA, PAHs, Phenols, and THM in smoke PM_{2.5} and PM_{1.0} were established.

1176 Permissible exposure limits (PEL) of the smoke particles were assessed for
1177 carcinogenic risk concern based on selected hazard pollutants including PAHs and
1178 THM in smoke PM_{2.5}. Daily exposure concentration should be constrained within
1179 0.2, 0.3, 0.4, 1.4, and 0.8 µg m⁻³ for wheat, corn, rice, cotton, and soybean straw,
1180 respectively.

1181 Emission inventories of primary particulate pollutants from agricultural field
1182 burning in 2012 were estimated based on BAU-I, BAU-II, EM-I, EM-II, and NDRC
1183 scenarios, which were further allocated into different regions at summer and autumn
1184 open burning periods. The estimated total emissions were 1005.7 Gg PM_{2.5} (95%CI:
1185 -24.6% , 33.7%), 901.4 Gg PM_{1.0} (95%CI: -24.4%, 33.5%), 432.4 Gg OC (95%CI:
1186 -24.2%, 33.5%), 134.2 Gg EC (95%CI: -24.8%, 34.0%), 113.1 Gg char-EC (95%CI:
1187 -24.8%, 34.1%), 21.0 Gg soot-EC (95%CI: -26.3%, 35.9%), 249.8 Gg WSI (95%CI:
1188 -25.4%, 34.9%), 25.1 Gg WSOA (95%CI: -33.3%, 41.4%), 5.8 Gg WSA (95%CI:
1189 -30.1%, 38.5%), 8.7 Gg THM (95%CI: -26.6%, 35.6%), 0.5 Gg PAHs (95%CI:
1190 -26.0%, 34.9%), and 2.7 Gg Phenols (95%CI: -26.1%, 35.1%)~~0.74-1.24 Tg PM_{2.5},~~
1191 ~~0.66-1.11 Tg PM_{1.0}, 0.32-0.53 Tg OC, 0.09-0.16 Tg EC, 0.08-0.14 Tg char-EC,~~
1192 ~~0.02-0.03 Tg soot-EC, 18.77-30.82 Gg WSOA, 4.23-7.19 Gg WSA, 0.35-0.59 Gg~~
1193 ~~PAHs, 2.02-3.40 Gg Phenols, and 6.36-10.64 Gg THM,~~ respectively. The spatial and

Field Code Changed

Field Code Changed

Field Code Changed

Field Code Changed

1194 temporal distributions of the five versions have similar characters that echo to the
1195 agricultural fires sites from satellite remote sensing. Less than 25 % of the emissions
1196 were released from summer field burnings that were mainly contributed by the North
1197 Plain and the Central of China. Large uncertainties of the emissions were found in
1198 the West and the Northeast of China (59.4% and 39.8% relative error). Flux
1199 concentrations of annual smoke PM_{2.5} that were calculated using box-model method
1200 based on five versions all exceed the PEL. From assessment of health impacts and
1201 health-related economic losses due to smoke PM_{2.5} short-term exposure, China
1202 suffered from 7836 (95%CI: 3232, 12362) premature mortality and 7267237 (95%
1203 CI: 2961487, 1130784) chronic bronchitis in 2012, which led to 8822.4 (95%CI:
1204 3574.4, 13034.2) million US\$, or 0.1 % of the total GDP losses.

1205 Percentage of open burned crop straws at post-harvest period should cut down to
1206 less than 3% to ensure risk aversion from carcinogenicity, especially the North Plain
1207 and the Northeast, where the emissions should decrease at least by 94% to meet the
1208 PEL. And by applying such emission control policy, over 92% of the mortality and
1209 morbidity attributed to agricultural fire smoke PM_{2.5} can be avoided in China.

1210 **Supplementary material related to this article is available online at:**

1211 *Acknowledgment.* This work is supported by National Natural Science Foundation of
1212 China (No. 21190053, 21177025), Cyrus Tang Foundation (No. CTF-FD2014001),
1213 Shanghai Science and Technology Commission of Shanghai Municipality (No.
1214 13XD1400700, 12DJ1400100), Priority fields for Ph.D. Programs Foundation of
1215 Ministry of Education of China (No. 20110071130003) and Strategic Priority
1216 Research Program of the Chinese Academy of Sciences (Grant No. XDB05010200).

Field Code Changed

1217

Formatted: Font: 三号

1218 **References**

1219 Ackerman, A. S.: Reduction of Tropical Cloudiness by Soot, Science, 5468,
1220 1042-1047, 2000.

1221 Adams, P. J., Seinfeld, J. H., and Koch, D. M.: Global concentrations of tropospheric
1222 sulfate, nitrate, and ammonium aerosol simulated in a general circulation model, *J.*
1223 *Geophys. Res.*, D11, 13791-13823, 1999.

1224 Akagi, S. K., Yokelson, R. J., Wiedinmyer, C., Alvarado, M. J., Reid, J. S., Karl, T.,
1225 Crouse, J. D., and Wennberg, P. O.: Emission factors for open and domestic
1226 biomass burning for use in atmospheric models, *Atmos Chem. Phys.*, 9, 4039-4072,
1227 2011.

1228 Amdur, M. O., and Chen, L. C.: Furnace-generated acid aerosols: speciation and
1229 pulmonary effects, *Environ. Health Persp.*, 79, 147-150, 1989.

1230 Andreae, M. O., and Gelencsér, A.: Black carbon or brown carbon? The nature of
1231 light-absorbing carbonaceous aerosols, *Atmos. Chem. Phys.*, 10, 3131-3148, 2006.

1232 Andreae, M. O., and Merlet, P.: Emission of trace gases and aerosols from biomass
1233 burning, *Global Biogeochem. Cy.*, 4, 955-966, 2001.

1234 Andreae, M. O., Andreae, T. W., Annegarn, H., Beer, J., Cachier, H., Canut, P., Elbert,
1235 W., Maenhaut, W., Salma, I., Wienhold, F. G., and Zenke, T.: Airborne studies of
1236 aerosol emissions from savanna fires in southern Africa: 2. Aerosol chemical
1237 composition, *J. Geophys. Res.*, 103, D24, 32119-32128, 1998.

1238 Araujo, J. A., Barajas, B., Kleinman, M., Wang, X., Bennett, B. J., Gong, K. W.,
1239 Navab, M., Harkema, J., Sioutas, C., Lusic, A. J., and Nel, A. E.: Ambient
1240 particulate pollutants in the ultrafine range promote early atherosclerosis and
1241 systemic oxidative stress, *Circ. Res.*, 5, 589-596, 2008.

1242 Arey, J. and Atkinson, R.: Photochemical reactions of PAHs in the atmosphere, PAHs:
1243 *An Ecotoxicological Persp.*, 47-63, doi: 10.1002/0470867132.ch4, 2003.

1244 Arora, P., and Jain, S.: Estimation of organic and elemental carbon emitted from wood
1245 burning in traditional and improved cookstoves using controlled cooking test,
1246 *Environ. Sci. Technol.*, 6, 3958-3965, 2015.

1247 Aunan, K., and Pan, X.: Exposure-response functions for health effects of ambient air
1248 pollution applicable for China-a meta-analysis, *Sci. Total Environ.*, 329, 3-16,
1249 2004.

- 1250 Aurell, J., Gullett, B. K., and Tabor, D.: Emissions from southeastern U.S. Grasslands
1251 and pine savannas: Comparison of aerial and ground field measurements with
1252 laboratory burns, *Atmos. Environ.*, 111, 170-178, 2015.
- 1253 Bell, R. W., and Hipfner, J. C.: Airborne hexavalent chromium in southwestern
1254 Ontario, *J. Air Waste Manage.*, 8, 905-910, 1997.
- 1255 Berndt, T., and Böge, O.: Formation of phenol and carbonyls from the atmospheric
1256 reaction of OH radicals with benzene, *Phys. Chem. Chem. Phys.*, 10, 1205-1214,
1257 doi: [10.1039/B514148F](https://doi.org/10.1039/B514148F), 2006.
- 1258 Bølling, A. K., Pagels, J., Yttri, K. E., Barregard, L., Sallsten, G., Schwarze, P. E., and
1259 Boman, C.: Health effects of residential wood smoke particles: the importance of
1260 combustion conditions and physicochemical particle properties, *Part. Fibre
1261 Toxicol.*, 29, doi: [10.1186/1743-8977-6-29](https://doi.org/10.1186/1743-8977-6-29), 2009.
- 1262 Bond, T. C.: A technology-based global inventory of black and organic carbon
1263 emissions from combustion, *J. Geophys. Res.*, 109, D14203,
1264 doi: [10.1029/2003JD003697](https://doi.org/10.1029/2003JD003697), 2004.
- 1265 Bond, T. C., Doherty, S. J., Fahey, D. W., Forster, P. M., Berntsen, T., DeAngelo, B. J.,
1266 Flanner, M. G., Ghan, S., Kärcher, B., Koch, D., Kinne, S., Kondo, Y., Quinn, P. K.,
1267 Sarofim, M. C., Schultz, M. G., Schulz, M., Venkataraman, C., Zhang, H., Zhang,
1268 S., Bellouin, N., Guttikunda, S. K., Hopke, P. K., Jacobson, M. Z., Kaiser, J. W.,
1269 Klimont, Z., Lohmann, U., Schwarz, J., PShindell, D., Storelvmo, T., Warren, S. G.,
1270 and Zender, C. S.: Bounding the role of black carbon in the climate system: A
1271 scientific assessment, *J. Geophys. Res.-Atmos.*, 11, 5380-5552, 2013.
- 1272 Bruce, R. M., Santodonato, J., and Neal, M. W.: Summary review of the health effects
1273 associated with phenol, *Toxicol Ind. Health*, 4, 535-568, 1987.
- 1274 Buha, J., Mueller, N., Nowack, B., Ulrich, A., Losert, S., and Wang, J.: Physical and
1275 chemical characterization of fly ashes from Swiss waste incineration plants and
1276 determination of the ash fraction in the nanometer range, *Environ. Sci. Technol.*, 9,
1277 4765-4773, 2014.
- 1278 Burkart, K., Nehls, I., Win, T., and Endlicher, W.: The carcinogenic risk and
1279 variability of particulate-bound polycyclic aromatic hydrocarbons with

Field Code Changed

1280 consideration of meteorological conditions, *Air Quality, Atmos. Health*, 1, 27-38,
1281 2013.

1282 Bzdek, B. R., Ridge, D. P., and Johnston, M. V.: Amine reactivity with charged
1283 sulfuric acid clusters, *Atmos. Chem. Phys.*, 16, 8735-8743, 2011.

1284 Bzdek, B. R., Ridge, D. P., and Johnston, M. V.: Amine exchange into ammonium
1285 bisulfate and ammonium nitrate nuclei, *Atmos. Chem. Phys.*, 8, 3495-3503, 2010.

1286 Cao, J. J., Wu, F., Chow, J. C., Lee, S. C., Li, Y., Chen, S. W., An, Z. S., Fung, K. K.,
1287 Watson, J. G. and Zhu, C. S.: Characterization and source apportionment of
1288 atmospheric organic and elemental carbon during fall and winter of 2003 in Xi'an,
1289 China, *Atmos. Chem. Phys.*, 11, 3127-3137, 2005.

1290 Cao, G., Zhang, X., and Zheng, F.: Inventory of black carbon and organic carbon
1291 emissions from China, *Atmos. Environ.*, 34, 6516-6527, 2006.

1292 Cao, G., Zhang, X., Gong, S., An, X., and Wang, Y.: Emission inventories of primary
1293 particles and pollutant gases for China, *Chinese Sci. Bull.*, 8, 781-788, 2011.

1294 Cao, G., Zhang, X., Wang, D., and Zheng, F.: Inventory of emissions of pollutants
1295 from open burning crop residues, *J. Agro-Environ. Science*, 4, 800-804, 2005.

1296 Cao, J., Xu, H., Xu, Q., Chen, B., and Kan, H.: Fine particulate matter constituents and
1297 cardiopulmonary mortality in a heavily polluted Chinese city, *Environ. Health*
1298 *Persp.*, 3, 373, 2012.

1299 Carlton, A. G., Turpin, B. J., Lim, H., Altieri, K. E., and Seitzinger, S.: Link between
1300 isoprene and secondary organic aerosol (SOA): Pyruvic acid oxidation yields low
1301 volatility organic acids in clouds, *Geophys. Res. Lett.*, 33, L06822,
1302 doi:10.1029/2005GL025374, 2006.

1303 Cermak, J., and Knutti, R.: Beijing Olympics as an aerosol field experiment, *Geophys.*
1304 *Res. Lett.*, 36, L10806, doi:10.1029/2009GL038572, 2009.

1305 Chakrabarty, R. K., Moosmüller, H., Chen, L. W. A., Lewis, K., Arnott, W. P.,
1306 Mazzoleni, C., Dubey, M. K., Wold, C. E., Hao, W. M., and Kreidenweis, S M.:
1307 Brown carbon in tar balls from smoldering biomass combustion, *Atmos. Chem.*
1308 *Phys.*, 13, 6363-6370, 2010.

1309 Chan, M. N., Choi, M. Y., Ng, N. L., and Chan, C. K.: Hygroscopicity of
1310 water-soluble organic compounds in atmospheric aerosols: amino acids and

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm, Hanging: 2 ch, First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Asian) +Body Asian (宋体), (Asian) Chinese (PRC), (Other) English (U.S.)

Field Code Changed

Field Code Changed

1311 biomass burning derived organic species, Environ. Sci. Technol., 6, 1555-1562,
 1312 2005.

1313 Chen, H., Hu, D., Wang, L., Mellouki, A., and Chen, J.: Modification in light
 1314 absorption cross section of laboratory-generated black carbon-brown carbon
 1315 particles upon surface reaction and hydration, Atmos. Environ., 116, 253-261,
 1316 2015.

1317 Chen, R., Li, Y., Ma, Y., Pan, G., Zeng, G., Xu, X., Chen, B., and Kan, H.: Coarse
 1318 particles and mortality in three Chinese cities: The China Air Pollution and Health
 1319 Effects Study (CAPES), Sci. Total Environ., 23, 4934-4938, 2011.

1320 ~~Chen, X. F.: Economics analysis on pollution from straw burning and managing in
 1321 rural China, China Rural Economy, 47-52, 2001.~~

1322 Cheng, Y., Ho, K. F., Lee, S. C., and Law, S. W.: Seasonal and diurnal variations of
 1323 PM1.0, PM2.5 and PM10 in the roadside environment of Hong Kong, China
 1324 Particuology, 06, 312-315, 2006.

1325 China, S., Salvadori, N., and Mazzoleni, C.: Effect of traffic and driving
 1326 characteristics on morphology of atmospheric soot particles at freeway on-ramps,
 1327 Environ. Sci. Technol., 6, 3128-3135, 2014.

1328 China Ministry of Health (CMH): China statistical yearbook of public health, Peking
 1329 Union Medical College Press, 172-189, 2009. (In Chinese)

1330 Christopher, S. A., Chou, J., Zhang, J., Li, X., Berendes, T., and Welch, R. M.:
 1331 Shortwave direct radiative forcing of biomass burning aerosols estimated using
 1332 VIRS and CERES data, Geophys. Res. Lett., 15, 2197-2200, 2000.

1333 Chuang, M., Chou, C. C., Sopajaree, K., Lin, N., Wang, J., Sheu, G., Chang, Y. and
 1334 Lee, C.: Characterization of aerosol chemical properties from near-source biomass
 1335 burning in the northern Indochina during 7-SEAS/Dongsha experiment, Atmos.
 1336 Environ., 78, 72-81, 2013.

1337 Clarke, A., McNaughton, C., Kapustin, V., Shinozuka, Y., Howell, S., Dibb, J., and
 1338 Zhou, J., Anderson, B., Brekhovskikh, V., Turner, H., and Pinkerton, M.: Biomass
 1339 burning and pollution aerosol over North America: Organic components and their
 1340 influence on spectral optical properties and humidification response, J. Geophys.
 1341 Res., 112, D12S18, doi:10.1029/2006JD007777, 2007.

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm, Hanging: 2 ch, First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Asian) +Body Asian (宋体), (Asian) Chinese (PRC), (Other) English (U.S.)

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm, Hanging: 2 ch, First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Asian) +Body Asian (宋体), (Asian) Chinese (PRC), (Other) English (U.S.)

Field Code Changed

1342 Cwiertny, D. M., Baltrusaitis, J., Hunter, G. J., Laskin, A., Scherer, M. M., and
 1343 Grassian, V. H.: Characterization and acid-mobilization study of iron-containing
 1344 mineral dust source materials, *J. Geophys. Res.-Atmos.*, 113, D05202,
 1345 doi:10.1029/2007JD009332, 2008.

1346 Daize, H.: The utilizing status and prospects of the crop straw resources in China,
 1347 *Resource Development & Market*, 12, 2000.

1348 Davidson, C. I., Phalen, R. F., and Solomon, P. A.: Airborne particulate matter and
 1349 human health: a review, *Aerosol Sci. Tech.*, 8, 737-749, 2005.

1350 Delfino, R. J., Sioutas, C., and Malik, S.: Potential role of ultrafine particles in
 1351 associations between airborne particle mass and cardiovascular health, *Environ.*
 1352 *Health Perspect.*, 8, 934-946, 2005.

1353 Dentener, F. J., Carmichael, G. R., Zhang, Y., Lelieveld, J., and Crutzen, P. J.: Role of
 1354 mineral aerosol as a reactive surface in the global troposphere, *J. Geophys. Res.*,
 1355 101(D17), 22869-22889, doi:10.1029/96JD01818, 1996.

1356 Dhammapala, R., Claiborn, C., Corkill, J. and Gullett, B.: Particulate emissions from
 1357 wheat and Kentucky bluegrass stubble burning in eastern Washington and northern
 1358 Idaho, *Atmos. Environ.*, 6, 1007-1015, 2006,

1359 Dhammapala, R., Claiborn, C., Jimenez, J., Corkill, J., Gullett, B., Simpson, C., and
 1360 Paulsen, M.: Emission factors of PAHs, methoxyphenols, levoglucosan, elemental
 1361 carbon and organic carbon from simulated wheat and Kentucky bluegrass stubble
 1362 burns, *Atmos. Environ.*, 12, 2660-2669, 2007a.

1363 Dhammapala, R., Claiborn, C., Simpson, C., and Jimenez, J.: Emission factors from
 1364 wheat and Kentucky bluegrass stubble burning: Comparison of field and simulated
 1365 burn experiments, *Atmos. Environ.*, 7, 1512-1520, 2007b.

1366 Dusek, U., Frank, G. P., Hildebrandt, L., Curtius, J., Schneider, J., Walter, S. and
 1367 Chand, D., Drewnick, F., Hings, S., and Jung, D.: Size matters more than chemistry
 1368 for cloud-nucleating ability of aerosol particles, *Science*, 5778, 1375-1378, 2006.

1369 Echalar, F., Gaudichet, A., Cachier, H., and Artaxo, P.: Aerosol emissions by tropical
 1370 forest and savanna biomass burning: Characteristic trace elements and fluxes,
 1371 *Geophys. Res. Lett.*, 22, 3039-3042, doi:10.1029/95GL03170, 1995.

Field Code Changed

Field Code Changed

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
 Hanging: 2 ch, First line: 0 ch,
 Don't adjust right indent when grid
 is defined, Line spacing: single,
 No widow/orphan control, Don't
 adjust space between Latin and
 Asian text, Don't adjust space
 between Asian text and numbers

Formatted: Font: (Asian) +Body
 Asian (宋体), (Asian) Chinese
 (PRC), (Other) English (U.S.)

1372 Engelhart, G. J., Hennigan, C. J., Miracolo, M. A., Robinson, A. L., and Pandis, S. N.:
1373 Cloud condensation nuclei activity of fresh primary and aged biomass burning
1374 aerosol, *Atmos. Chem. Phys.*, 12, 7285-7293, doi:10.5194/acp-12-7285-2012,
1375 2012.

1376 Falkovich, A. H., E., R. G., G., S., Y., R., Maenhaut, W., and Artaxo, P.: Low
1377 molecular weight organic acids in aerosol particles from Rondônia, Brazil, during
1378 the biomass-burning, transition and wet periods, *Atmos. Chem. Phys.*, 5, 781-797,
1379 doi:10.5194/acp-5-781-2005, 2005.

1380 Frey, H. C., and Zhao, Y.: Quantification of Variability and Uncertainty for Air Toxic
1381 Emission Inventories with Censored Emission Factor Data, *Environ. Sci. Technol.*,
1382 22, 6094-6100, 2004.

1383 Frey, H., and Zheng, J.: Quantification of variability and uncertainty in air pollutant
1384 emission inventories: method and case study for utility NO_x emissions., *J. Air
1385 Waste Manag. Assoc.*, 9, 1083-1095, 2002.

1386 Fu, H., Zhang, M., Li, W., Chen, J., Wang, L., Quan, X., and Wang, W.: Morphology,
1387 composition and mixing state of individual carbonaceous aerosol in urban Shanghai,
1388 *Atmos. Chem. Phys.*, 2, 693-707, 2012.

1389 Galarneau, E.: Source specificity and atmospheric processing of airborne PAHs:
1390 Implications for source apportionment, *Atmos. Environ.*, 35, 8139-8149, 2008.

1391 Gao, M., Guttikunda, S. K., Carmichael, G. R., Wang, Y., Liu, Z., Stanier, C. O.,
1392 Saide, P. E., and Yu, M.: Health impacts and economic losses assessment of the
1393 2013 severe haze event in Beijing area, *Sci. Total Environ.*, 511, 553-561, 2015.

1394 Gao, S., Hegg, D. A., Hobbs, P. V., Kirchstetter, T. W., Magi, B. I., and Sadilek, M.:
1395 Water-soluble organic components in aerosols associated with savanna fires in
1396 southern Africa: Identification, evolution, and distribution, *J. Geophys.
1397 Res.-Atmos.*, 108, 8491, doi:10.1029/2002JD002324, 2003.

1398 Ge, X., Wexler, A. S., and Clegg, S. L.: Atmospheric amines-Part I. A review, *Atmos.
1399 Environ.*, 3, 524-546, 2011.

Field Code Changed

1400 Ghorai, S., Wang, B., Tivanski, A., and Laskin, A.: Hygroscopic properties of
1401 internally mixed particles composed of NaCl and water-soluble organic acids,
1402 Environ. Sci. Technol., 48, 2234-2241, 2014.

1403 Giordano, M., Espinoza, C. and Asa-Awuku, A.: Experimentally measured
1404 morphology of biomass burning aerosol and its impacts on CCN ability, Atmos.
1405 Chem. Phys., 4, 1807-1821, 2015.

1406 Grieshop, A. P., Logue, J. M., Donahue, N. M., and Robinson, A. L.: Laboratory
1407 investigation of photochemical oxidation of organic aerosol from wood fires 1:
1408 measurement and simulation of organic aerosol evolution, Atmos. Chem. Phys., 4,
1409 1263-1277, 2009.

1410 Gustafsson, Ö., Kruså, M., Zencak, Z., Sheesley, R. J., Granat, L., Engström, E., Praveen, P.
1411 S., Rao, P., Leck, C. and Rodhe, H.: Brown clouds over South Asia: biomass or fossil fuel
1412 combustion? Science, 5913, 495-498, 2009.

1413 Guttikunda, S. K., and Kopakka, R. V.: Source emissions and health impacts of urban
1414 air pollution in Hyderabad, India, Air Quality, Atmos. & Health, 2, 195-207, 2014.

1415 Han, Y. M., Cao, J. J., Ho, S. C. L. F. and An, Z. S.: Different characteristics of char
1416 and soot in the atmosphere and their ratio as an indicator for source identification in
1417 Xi'an, China, Atmos. Chem. Phys., 10, 595-607, 2010.

1418 Han, Y. M., Chen, L., Huang, R., Chow, J. C., Watson, J. G., Ni, H. Y., Liu, S. X.
1419 Fung, K. K., Shen, Z. X. and Wei, C.: Carbonaceous aerosols in megacity Xi'an,
1420 China: Implications of thermal/optical protocols comparison, Atmos. Environ., 132,
1421 58-68, 2016.

1422 Han, Y. M., Lee, S. C., Cao, J. J., Ho, K. F., and An, Z. S.: Spatial distribution and
1423 seasonal variation of char-EC and soot-EC in the atmosphere over China, Atmos.
1424 Environ., 38, 6066-6073, 2009.

1425 Han, Y., Cao, J., Chow, J. C., Watson, J. G., An, Z., Jin, Z. and Fung, K., Liu, S.:
1426 Evaluation of the thermal/optical reflectance method for discrimination between
1427 char- and soot-EC, Chemosphere, 4, 569-574, 2007.

1428 Hayashi, K., Ono, K., Kajiura, M., Sudo, S., Yonemura, S., Fushimi, A. and Saitoh, K.,
1429 Fujitani, Y., Tanabe, K.: Trace gas and particle emissions from open burning of
1430 three cereal crop residues: Increase in residue moistness enhances emissions of
1431 carbon monoxide, methane, and particulate organic carbon, Atmos. Environ., 95,
1432 36-44, 2014.

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese
(PRC), (Other) English (U.S.)

Formatted: Font: 11 pt

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

Formatted: Font: (Asian) +Body
Asian (宋体), 11 pt, (Asian)
Chinese (PRC), (Other) English
(U.S.)

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 1 ch, First line: -1 ch

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese
(PRC), (Other) English (U.S.)

1433 Hays, M. D., Fine, P. M., Geron, C. D., Kleeman, M. J., and Gullett, B. K.: Open
1434 burning of agricultural biomass: physical and chemical properties of particle-phase
1435 emissions, *Atmos. Environ.*, 36, 6747-6764, 2005.

1436 He, K., Zhao, Q., Ma, Y., Duan, F., and Yang, F.: Spatial and seasonal variability of
1437 PM_{2.5} acidity at two Chinese megacities: insights into the formation of secondary
1438 inorganic aerosols, *Atmos. Chem. Phys.*, 11, 25557-25603,
1439 doi:10.5194/acpd-11-25557-2011, 2011a.

1440 He, M., Zheng, J., Yin, S., and Zhang, Y.: Trends, temporal and spatial characteristics,
1441 and uncertainties in biomass burning emissions in the Pearl River Delta, China,
1442 *Atmos. Environ.*, 24, 4051-4059, 2011b.

1443 Ho, K. F., Ho, S. S. H., Huang, R., Liu, S. X., Cao, J., Zhang, T. and Chuang, H., Chan,
1444 C., Hu, D., and Tian, L.: Characteristics of water-soluble organic nitrogen in fine
1445 particulate matter in the continental area of China, *Atmos. Environ.*, 106, 252-261,
1446 2015.

1447 Hou, Q., An, X., Wang, Y., Tao, Y., and Sun, Z.: An assessment of China's
1448 PM₁₀-related health economic losses in 2009, *Sci. Total Environ.*, 435-436, 61-65,
1449 2012.

1450 Hu, Y., Lin, J., Zhang, S., Kong, L., Fu, H., and Chen, J.: Identification of the typical
1451 metal particles among haze, fog, and clear episodes in the Beijing atmosphere, *Sci.*
1452 *Total Environ.*, 511, 369-380, 2015.

1453 Huang, K., Zhuang, G., Lin, Y., Wang, Q., Fu, J. S., Fu, Q. and Liu, T., and Deng. C.:
1454 How to improve the air quality over megacities in China: pollution characterization
1455 and source analysis in Shanghai before, during, and after the 2010 World Expo,
1456 *Atmos. Chem. Phys.*, 12, 5927-5942, 2013.

1457 Huang, R., Zhang, Y., Bozzetti, C., Ho, K., Cao, J., Han, Y., Daellenbach, K. R.,
1458 Slowik, J. G., Platt, S. M., Canonaco, F., Zotter, P., Wolf, R., Pieber, S. M., Bruns,
1459 E. A., Crippa, M., Ciarelli, G., Piazzalunga, A., Schwikowski, M., Abbaszade, G.,
1460 Schnelle-Kreis, J., Zimmermann, R., An, Z., Szidat, S., Baltensperger, U., Haddad,
1461 I. E., Prévôt, A. S. H.: High secondary aerosol contribution to particulate pollution
1462 during haze events in China, *Nature*, 514, 218-222, 2014.

1463 Huang, S., Hsu, M., and Chan, C.: Effects of submicrometer particle compositions on
1464 cytokine production and lipid peroxidation of human bronchial epithelial cells,
1465 Environ. Health Persp., 4, 478, 2003.

1466 Huang, X., Li, M., Li, J. and Song, Y.: A high-resolution emission inventory of crop
1467 burning in fields in China based on MODIS Thermal Anomalies/Fire products,
1468 Atmos. Environ., 9-15, 2012b

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 1 ch

1469 Huo, J., Lu, X., Wang, X., Chen, H., Ye, X., Gao, S., Gross, D. S., Chen, J. and Yang,
1470 X.: Online single particle analysis of chemical composition and mixing state of
1471 crop straw burning particles: from laboratory study to field measurement, Front
1472 Env. Sci. Eng., 2, 244-252, 2016.

Formatted: Indent: Left: 0 cm,
Hanging: 1 ch, First line: -1 ch

1473 IBRD and SEPA, Cost of pollution in China: economic estimates of physical damages,
1474 2007, pp. 1-128,

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

1475 IPCC, Greenhouse Gas Inventory Reference Manual: Revised 2006 IPCC Guidelines
1476 for National Greenhouse Gas Inventories. IPCC/OECD/IES, UK. Meteorological
1477 Office, Bracknell, UK., 2007.

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese
(PRC), (Other) English (U.S.)

1478 IPCC, Quantifying Uncertainties in Practice, Chapter 6: Good Practice Guidance and
1479 Uncertainty Management in National Greenhouse Gas Inventories: In: IES, IPCC,
1480 OECD, et al. Bracknell, UK, 1997,

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

1481 Janssen, N. A. H., Hoek, G., Simic-Lawson, M., Fischer, P., van Bree, L., Ten Brink,
1482 H. and Keuken, M., Atkinson, R. W., Anderson, H. R., Brunekreef, B., and Cassee,
1483 F. R.: Black Carbon as an additional indicator of the adverse health effects of
1484 airborne particles compared with PM10 and PM2.5, Environ. Health Persp., 12,
1485 1691-1699, 2011.

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese
(PRC), (Other) English (U.S.)

1486 Jayarathne, T., Stockwell, C. E., Yokelson, R. J., Nakao, S., Stone, E. A.: Emissions of
1487 fine particle fluoride from biomass burning, Environ. Sci. Technol., 21,
1488 12636-12644, 2014.

1489 Jenkins, B. M., Jones, A. D., Turn, S. Q. and Williams, R. B.: Emission factors for
1490 polycyclic aromatic hydrocarbons from biomass burning, Environ. Sci. Technol., 8,
1491 2462-2469, 1996,

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

1492 Jickells, T. D., An, Z. S., Andersen, K. K., Baker, A. R., Bergametti, G., J, N. B. J. and
1493 Duce, R. A., H, Hunter, K. A., Mahowald, N., and Prospero, A. J. M.: Global iron
1494 connections between desert dust, Ocean Biogeochemistry, and Climate, Science,
1495 308, 67-71, 2005.

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese
(PRC), (Other) English (U.S.)

1496 Kennedy, I. M.: The health effects of combustion-generated aerosols, *P. Combust*
1497 *Inst.*, 2, 2757-2770, 2007.

1498 Kim, K., Jahan, S. A., Kabir, E., and Brown, R. J.: A review of airborne polycyclic
1499 aromatic hydrocarbons (PAHs) and their human health effects, *Environ. Int.*, 60,
1500 71-80, 2013.

1501 Kong, L., Yang, Y., Zhang, S., Zhao, X., Du, H., Fu, H. and Zhang, S., Zhao, X., Du,
1502 H., Fu, H., Zhang, S., Cheng, T., Yang, X., and Chen, J.: Observations of linear
1503 dependence between sulfate and nitrate in atmospheric particles, *J. Geophys.*
1504 *Res.-Atmos.*, 119, 341-361, doi:10.1002/2013JD020222, 2014.

1505 Koopmans, A. and Koppejan, J.: Agricultural and forest residue-generation
1506 utilization and availability, Modern Applications of Biomass Energy, 1997.

1507 Korenaga, T., Liu, X. and Huang, Z.: The influence of moisture content on polycyclic
1508 aromatic hydrocarbons emission during rice straw burning. Chemosphere-Global
1509 Change Science, 1, 117-122, 2001

1510 Kundu, S., Kawamura, K., Andreae, T. W., Hoffer, A. and Andreae, M. O.: Molecular
1511 distributions of dicarboxylic acids, ketocarboxylic acids and α -dicarbonyls in
1512 biomass burning aerosols: implications for photochemical production and
1513 degradation in smoke layers, Atmos. Chem. Phys., 5, 2209-2225, 2010.

1514 Laskin, A., Laskin, J. and Nizkorodov, S. A.: Chemistry of atmospheric brown carbon,
1515 Chem. Rev., 10, 4335-4382, 2015.

1516 Lavanchy, V. M. H., G Ggeler, H. W., Nyeki, S., and Baltensperger, U.: Elemental
1517 carbon (EC) and black carbon (BC) measurements with a thermal method and an
1518 aethalometer at the high-alpine research station Jungfrauoch, *Atmos. Environ.*, 17,
1519 2759-2769, 1999.

1520 Lee, A. K. Y., Willis, M. D., Healy, R. M., Wang, J. M., Jeong, C. H., Wenger, J. C.,
1521 Evans, G. J., and Abbatt, J. P. D.: Single particle characterization of biomass
1522 burning organic aerosol (BBOA): evidence for non-uniform mixing of high
1523 molecular weight organics and potassium, *Atmos. Chem. Phys.*, 22, 32157-32183,
1524 2015.

1525 Lee, D., and Wexler, A. S., Atmospheric amines-Part III: photochemistry and toxicity,
1526 *Atmos. Environ.*, 71, 95-103, 2013.

1527 Lee, R. G. M., Coleman, P., Jones, J. L., Jones, K. C., and Lohmann, R.: Emission
1528 Factors and Importance of PCDD/Fs, PCBs, PCNs, PAHs and PM₁₀ from the

Field Code Changed

Formatted: Font: 小四

Formatted: First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm, Hanging: 1 ch, First line: -1 ch

Formatted: Indent: Left: 0 cm, Hanging: 2 ch, First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Asian) +Body Asian (宋体), (Asian) Chinese (PRC), (Other) English (U.S.)

1529 domestic burning of coal and wood in the U.K., *Environ. Sci. Technol.*, 6,
1530 1436-1447, 2005.

1531 Leng, C., Zhang, Q., Zhang, D., Xu, C., Cheng, T., Zhang, R., Tao, J., Chen, J., Zha, S.,
1532 and Zhang, Y.: Variations of cloud condensation nuclei (CCN) and aerosol activity
1533 during fog-haze episode: a case study from Shanghai, *Atmos. Chem. Phys.*, 14,
1534 12499-12512, doi:10.5194/acp-14-12499-2014, 2014.

1535 Levin, E. J. T., McMeeking, G. R., Carrico, C. M., Mack, L. E., Kreidenweis, S. M.,
1536 Wold, C. E., Moosmüller, H., Arnott, W. P., Hao, W. M., Collett, J. L., and Malm,
1537 W. C.: Biomass burning smoke aerosol properties measured during Fire Laboratory
1538 at Missoula Experiments (FLAME), *J. Geophys. Res.*, 115,
1539 D18210, doi:10.1029/2009JD013601, 2010.

1540 Li, C., Hu, Y., Chen, J., Ma, Z., Ye, X., Yang, X., Wang, L., Wang, X., Mellouki, A.:
1541 Physiochemical properties of carbonaceous aerosol from agricultural residue
1542 burning: Density, volatility, and hygroscopicity, *Atmos. Environ.*, 140, 95-105,
1543 2016.

1544 Li, C., Ma, Z., Chen, J., Wang, X., Ye, X., Wang, L., Yang, X., Kan, H., Donaldson, D.
1545 J., Mellouki, A.: Evolution of biomass burning smoke particles in the dark, *Atmos.*
1546 *Environ.*, 120, 244-252, 2015.

1547 Li, J., Pósfai, M., Hobbs, P. V., and Buseck, P. R., Individual aerosol particles from
1548 biomass burning in southern Africa: 2, Compositions and aging of inorganic
1549 particles, *J. Geophys. Res.-Atmos.*, 108, 8484, doi:10.1029/2002JD002310, 2003.

1550 Li, X., Wang, S., Duan, L., Hao, J., Li, C., Chen, Y., and Yang, L.: Particulate and
1551 trace gas emissions from open burning of wheat straw and corn stover in China,
1552 *Environ. Sci. Technol.*, 17, 6052-6058, 2007.

1553 Lima, A. L. C., Farrington, J. W., and Reddy, C. M.: Combustion-derived polycyclic
1554 aromatic hydrocarbons in the environment-a review, *Environ. Forensics*, 2,
1555 109-131, 2005.

1556 Lin, J., Nielsen, C. P., Zhao, Y., Lei, Y., Liu, Y., and McElroy, M. B.: Recent changes
1557 in particulate air pollution over China observed from space and the ground:
1558 effectiveness of emission control, *Environ. Sci. Technol.*, 20, 7771-7776, 2010.

Field Code Changed

Field Code Changed

1559 Lin, L., Gustafsson, E., and Strand, M.: Aerosol-based method for investigating
1560 biomass char reactivity at high temperatures, *Combust. Flame*, 7, 1426-1437, 2011.

1561 [Lin, P., Aiona, P. K., Li, Y., Shiraiwa, M., Laskin, J., Nizkorodov, S. A. and Laskin,
1562 A.: Molecular Characterization of Brown Carbon in Biomass Burning Aerosol
1563 Particles, *Environ. Sci. Technol.*, 21, 11815-11824, 2016.](#)

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 1 ch, First line: -1 ch

1564 [Liu, M., Song, Y., Yao, H., Kang, Y., Li, M., Huang, X. and Hu, M.: Estimating
1565 emissions from agricultural fires in the North China Plain based on MODIS fire
1566 radiative power, *Atmos. Environ.*, 326-334, 2015.](#)

1567 [Liu, Q. and Bei, Y.: Impacts of crystal metal on secondary aliphatic amine aerosol
1568 formation during dust storm episodes in Beijing, *Atmos. Environ.*, 227-234, 2016.](#)

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

1569 Lobert, J. M., Scharffe, D. H., Hao, W. M., and Crutzen, P. J.: Importance of biomass
1570 burning in the atmospheric budgets of nitrogen-containing gases, *Nature*, 6284,
1571 552-554, 1990.

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese
(PRC), (Other) English (U.S.)

1572 Lu, Z., Zhang, Q., and Streets, D. G.: Sulfur dioxide and primary carbonaceous
1573 aerosol emissions in China and India, 1996–2010, *Atmos. Chem. Phys.*, 11,
1574 9839-9864, doi:10.5194/acp-11-9839-2011, 2011.

1575 [Ma, J. and Van Aardenne, J. A.: Impact of different emission inventories on
1576 simulated tropospheric ozone over China: a regional chemical transport model
1577 evaluation, *Atmos. Chem. Phys.*, 4, 877-887, 2004.](#)

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 1 ch

1578 [May, A. A., McMeeking, G. R., Lee, T., Taylor, J. W., Craven, J. S., Burling, I.,
1579 Sullivan, A. P., Akagi, S., Collett, J., Flynn, M., Coe, H., Urbanski, S., Seinfeld, J.,
1580 Yokelson, R. and Kreidenweis, S.: Aerosol emissions from prescribed fires in the
1581 United States: A synthesis of laboratory and aircraft measurements, *J. Geophys.
1582 Res.: Atmos.*, 20, 11826-11849, doi:10.1002/2014JD021848, 2014.](#)

Formatted: Indent: Left: 0 cm,
Hanging: 2 ch, First line: 0 ch,
Don't adjust right indent when grid
is defined, Line spacing: single,
No widow/orphan control, Don't
adjust space between Latin and
Asian text, Don't adjust space
between Asian text and numbers

1583 Meskhidze, N.: Dust and pollution: A recipe for enhanced ocean fertilization? *J.*
1584 *Geophys. Res.*, 110, D03301, doi:10.1029/2004JD005082, 2005.

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese
(PRC), (Other) English (U.S.)

Field Code Changed

1585 Mikhailov, E. F., Vlasenko, S. S., Podgorny, I. A., Ramanathan, V., and Corrigan, C.
1586 E.: Optical properties of soot-water drop agglomerates: An experimental study, *J.*
1587 *Geophys. Res.*, 111, D07209, doi:10.1029/2005JD006389, 2006.

Field Code Changed

1588 Moreno, T., Karanasiou, A., Amato, F., Lucarelli, F., Nava, S., Calzolari, G. and Chiari,
1589 M., Coz, E., Artíñano, B., Lumbreras, J., Borge, R., Boldo, E., Linares, C., Alastuey,
1590 A., Querol, X., and Gibbons, W.: Daily and hourly sourcing of metallic and mineral
1591 dust in urban air contaminated by traffic and coal-burning emissions, *Atmos.*
1592 *Environ.*, 68, 33-44, 2013.

1593 National Bureau of Statistics of China (NBSC): National Public Health Yearbook,
 1594 China Statistics Press, Beijing (in Chinese), 2009.

1595 National Bureau of Statistics of China (NBSC): China Statistical Yearbook
 1596 2001~2013, China Statistics Press, Beijing (in Chinese), 2001~2013.

1597 National Bureau of Statistics of China (NBSC): China Rural Statistical Yearbook
 1598 2004~2013, China Statistics Press, Beijing (in Chinese), 2004~2013.

1599 National Health and Family Planning Commission (NHFPC): National Health and
 1600 Family Planning Yearbook, Peking Union Medical College Press, 2013.

1601 Qian, N. T. K., Ly, B. T., Tipayarom, D., Manandhar, B. R., Prapat, P., Simpson, C.
 1602 D. and Liu, L. S.: Characterization of particulate matter emission from open burning
 1603 of rice straw, Atmos. Environ., 2, 493-502, 2011.

1604 Ostro, B., and Chestnut, L.: Assessing the health benefits of reducing particulate
 1605 matter air pollution in the United States, Environ. Res., 2, 94-106, 1998.

1606 Pope III, C. A., Burnett, R. T., Thun, M. J., Calle, E. E., Krewski, D., Ito, K., and
 1607 Thurston, G. D.: Lung cancer, cardiopulmonary mortality, and long-term exposure
 1608 to fine particulate air pollution, Jama., 9, 1132-1141, 2002.

1609 Pope, C. A., Burnett, R. T., Thurston, G. D., Thun, M. J., Calle, E. E., Krewski, D.,
 1610 and Godleski, J. J.: Cardiovascular mortality and long-term exposure to particulate
 1611 air pollution epidemiological evidence of general pathophysiological pathways of
 1612 disease, Circulation, 1, 71-77, 2004.

1613 Pósfai, M.: Atmospheric tar balls: Particles from biomass and biofuel burning, J.
 1614 Geophys. Res., 109, D06213, doi:10.1029/2003JD004169, 2004.

1615 Qin, Y., and Xie, S. D.: Historical estimation of carbonaceous aerosol emissions from
 1616 biomass open burning in China for the period 1990–2005, Environ. Pollut., 12,
 1617 3316-3323, 2011.

1618 Qiu, C., and Zhang, R.: Physicochemical properties of alkylammonium sulfates:
 1619 hygroscopicity, thermostability, and density, Environ. Sci. Technol., 8, 4474-4480,
 1620 2012.

1621 Qiu, C., Wang, L., Lal, V., Khalizov, A. F., and Zhang, R., Heterogeneous reactions of
 1622 alkylamines with ammonium sulfate and ammonium bisulfate, Environ. Sci.
 1623 Technol., 11, 4748-4755, 2011.

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm, Hanging: 2 ch, First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Asian) +Body Asian (宋体), (Asian) Chinese (PRC), (Other) English (U.S.)

Field Code Changed

1624 Qiu, C. and Zhang, R.: Physiochemical Properties of Alkylammonium Sulfates:
 1625 Hygroscopicity, Thermostability, and Density, Environ. Sci. Technol., 8, 4474-4480,
 1626 2012.

1627 Ram, K., and Sarin, M. M.: Day–night variability of EC, OC, WSOC and inorganic
 1628 ions in urban environment of Indo-Gangetic Plain: implications to secondary
 1629 aerosol formation, Atmos. Environ., 2, 460-468, 2011.

1630 Ram, K., Sarin, M. M., and Tripathi, S. N.: Temporal trends in Atmospheric PM_{2.5},
 1631 PM₁₀, elemental carbon, organic carbon, water-soluble organic carbon, and optical
 1632 properties: impact of biomass burning emissions in the Indo-Gangetic Plain,
 1633 Environ. Sci. Technol., 2, 686-695, 2011.

1634 Reddy, M. S., and Venkataraman, C.: Atmospheric optical and radiative effects of
 1635 anthropogenic aerosol constituents from India, Atmos. Environ., 34, 4511-4523,
 1636 2000.

1637 Reid, J. S., Eck, T. F., Christopher, S. A., Koppmann, R., Dubovik, O., Eleuterio, D. P.,
 1638 Holben, B. N., Reid, E. A., and Zhang, J.: A review of biomass burning emissions
 1639 part III: intensive optical properties of biomass burning particles, Atmos. Chem.
 1640 Phys., 5, 827-849, SRef-ID: 1680-7324/acp/2005-5-827, 2005a.

1641 Reid, J. S., Koppmann, R., Eck, T. F., and Eleuterio, D. P.: A review of biomass
 1642 burning emissions part II: intensive physical properties of biomass burning particles,
 1643 Atmos. Chem. Phys., 3, 799-825, 2005b.

1644 Richter, H., and J, H.: Formation of polycyclic aromatic hydrocarbons and their
 1645 growth to soot-a review of chemical reaction pathways, Prog. Energ. Combust., 4,
 1646 565-608, 2000.

1647 Ripoll, A., Minguillón, M. C., Pey, J., Pérez, N., Querol, X., and Alastuey, A.: Joint
 1648 analysis of continental and regional background environments in the western
 1649 Mediterranean: PM₁ and PM₁₀ concentrations and composition, Atmos. Chem.
 1650 Phys., 15, 1129-1145, doi:10.5194/acp-15-1129-2015, 2015.

1651 Roemer, W. H., and van Wijnen, J. H.: Differences among black smoke, PM₁₀, and
 1652 PM_{1.0} levels at urban measurement sites, Environ. Health Persp., 2, 151-153, 2001.

1653 Rose, D., Gunthe, S. S., Su, H., Garland, R. M., Yang, H., Berghof, M., Cheng, Y. F.,
 1654 Wehner, B., Achtert, P., and Nowak, A.: Cloud condensation nuclei in polluted air

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm, Hanging: 2 ch, First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

Formatted: Font: (Asian) +Body Asian (宋体), (Asian) Chinese (PRC), (Other) English (U.S.)

1655 and biomass burning smoke near the mega-city Guangzhou, China-Part 2:
1656 Size-resolved aerosol chemical composition, diurnal cycles, and externally mixed
1657 weakly CCN-active soot particles, *Atmos. Chem. Phys.*, 11, 2817-2836,
1658 doi:10.5194/acp-11-2817-2011, 2011.

1659 Rosenfeld, D.: Atmosphere: aerosols, clouds, and climate, *Science*, 5778, 1323-1324,
1660 2006.

1661 Safai, P. D., Raju, M. P., Budhavant, K. B., Rao, P., and Devara, P.: Long term studies
1662 on characteristics of black carbon aerosols over a tropical urban station Pune, India,
1663 *Atmos. Res.*, 132-133, 173-184, 2013.

1664 Saffari, A., Daher, N., Samara, C., Voutsas, D., Kouras, A., Manoli, E. and
1665 Karagiozidou, O., Vlachokostas, C., Moussiopoulos, N., Shafer, M. M., Schauer, J.
1666 J., and Sioutas, C.: Increased biomass burning due to the economic crisis in Greece
1667 and its adverse impact on wintertime air quality in Thessaloniki, *Environ. Sci.*
1668 *Technol.*, 23, 13313-13320, 2013.

1669 Saikawa, E., Naik, V., Horowitz, L. W., Liu, J., and Mauzerall, D. L.: Present and
1670 potential future contributions of sulfate, black and organic carbon aerosols from
1671 China to global air quality, premature mortality and radiative forcing, *Atmos.*
1672 *Environ.*, 17, 2814-2822, 2009.

1673 Samy, S., and Hays, M. D.: Quantitative LC-MS for water-soluble heterocyclic
1674 amines in fine aerosols (PM_{2.5}) at Duke Forest, USA, *Atmos. Environ.*, 72, 77-80,
1675 2013.

1676 Santodonato, J.: Review of the estrogenic and antiestrogenic activity of polycyclic
1677 aromatic hydrocarbons: relationship to carcinogenicity, *Chemosphere*, 4, 835-848,
1678 1997.

1679 Schade, G. W., and Crutzen, P. J.: Emission of aliphatic amines from animal
1680 husbandry and their reactions: Potential source of N₂O and HCN, *J. Atmos. Chem.*,
1681 3, 319-346, 1995.

1682 Schauer, J. J., Kleeman, M. J., Cass, G. R., and Simoneit, B. R. T.: Measurement of
1683 emissions from air pollution sources. 3. C1-C29 organic compounds from fireplace
1684 combustion of wood, *Environ. Sci. Technol.*, 9, 1716-1728, 2001.

1685 Schlesinger, R. B.: Comparative deposition of inhaled aerosols in experimental
1686 animals and humans: a review, *J. Toxicol. Environ. Health, Part A Current Issues*, 2,
1687 197-214, 1985.

1688 Seinfeld, J. H., and Pandis, S. N.: *Atmospheric chemistry and physics: from air
1689 pollution to climate change*, John Wiley & Sons, 2012.

1690 Sen, A., Mandal, T. K., Sharma, S. K., Saxena, M., Gupta, N. C., Gautam, R., Gupta,
1691 A., Gill, T., Rani, S., Saud, T., Singh, D. P., and Gadi, Ranu.: Chemical properties
1692 of emission from biomass fuels used in the rural sector of the western region of
1693 India, *Atmos. Environ.*, 99, 411-424, 2014.

1694 Shi, Y., Chen, J., Hu, D., Wang, L., Yang, X., and Wang, X.: Airborne submicron
1695 particulate (PM₁) pollution in Shanghai, China: Chemical variability,
1696 formation/dissociation of associated semi-volatile components and the impacts on
1697 visibility, *Sci. Total Environ.*, 473-474, 199-206, 2014.

1698 Shindell, D., Kuylensstierna, J. C. I., Vignati, E., van Dingenen, R., Amann, M.,
1699 Klimont, Z., Anenberg, S. C., Muller, N., Janssens-Maenhout, G., Raes, F.,
1700 Schwartz, J., Faluvegi, G., Pozzoli, L., Kupiainen, K., Hoglund-Isaksson, L.,
1701 Emberson, L., Streets, D., Ramanathan, V., Hicks, K., Oanh, N. T. K., Milly, G.,
1702 Williams, M., Demkine, V., and Fowler, D.: Simultaneously mitigating near-term
1703 climate change and improving human health and food security, *Science*, 6065,
1704 183-189, 2012.

1705 Simcik, M. F., Eisenreich, S. J., and Lioy, P. J.: Source apportionment and source/sink
1706 relationships of PAHs in the coastal atmosphere of Chicago and Lake Michigan,
1707 *Atmos. Environ.*, 30, 5071-5079, 1999.

1708 Simoneit, B. R. T., Rushdi, A. I., Bin Abas, M. R., and Didyk, B. M.: Alkyl amides
1709 and nitriles as novel tracers for biomass burning, *Environ. Sci. Technol.*, 1, 16-21,
1710 2003.

1711 Streets, D. G.: Dissecting future aerosol emissions: Warming tendencies and
1712 mitigation opportunities, *Climatic Change*, 3-4, 313-330, 2007.

1713 Sun, J., Peng, H., Chen, J., Wang, X., Wei, M., Li, W., Yang, L., Zhang, Q., Wang, W.,
 1714 and Mellouki, A.: An estimation of CO₂ emission via agricultural crop residue open
 1715 field burning in China from 1996 to 2013, *J. Clean Prod.*, 112, 2625-2631, 2016.

1716 Takegawa, N., Miyakawa, T., Kawamura, K., and Kondo, Y.: Contribution of selected
 1717 dicarboxylic and ω -oxocarboxylic acids in ambient aerosol to the m/z 44 signal of
 1718 an aerodyne aerosol mass spectrometer, *Aerosol Sci. Tech.*, 41, 418-437, 2007.

1719 Tao, Y., Ye, X., Jiang, S., Yang, X., Chen, J., Xie, Y., and Wang, R.: Effects of amines
 1720 on particle growth observed in new particle formation events, *J. Geophys.*
 1721 *Res.-Atmos.*, 121, 324-335, doi:10.1002/2015JD024245, 2016.

1722 The World Bank (IBRD), State Environmental Protection Administration (SEPA):
 1723 Cost of pollution in China: economic estimates of physical damages, 1-128, 2007.

1724 Tian, D., Hu, Y., Wang, Y., Boylan, J. W., Zheng, M., and Russell, A. G.: Assessment
 1725 of biomass burning emissions and their impacts on urban and regional PM_{2.5}: a
 1726 Georgia case study, *Environ Sci. Technol.*, 2, 299-305, 2008.

1727 Tóth, A., Hoffer, A., Nyirő-Kósa, I., Pósfai, M., and Gelencsér, A.: Atmospheric tar
 1728 balls: aged primary droplets from biomass burning? *Atmos. Chem. Phys.*, 14,
 1729 6669-6675, doi:10.5194/acp-14-6669-2014, 2014.

1730 Tsai, P. J., Shieh, H. Y., Lee, W. J., and Lai, S. O.: Health-risk assessment for workers
 1731 exposed to polycyclic aromatic hydrocarbons (PAHs) in a carbon black
 1732 manufacturing industry, *Sci. Total Environ.*, 1-3, 137-150, 2001.

1733 Urban, R. C., Alves, C. A., Allen, A. G., Cardoso, A. A. and Campos, M.: Organic
 1734 aerosols in a Brazilian agro-industrial area: Speciation and impact of biomass
 1735 burning, *Atmos. Res.*, 271-279, 2016.

1736 Veres, P., Roberts, J. M., Burling, I. R., Warneke, C., de Gouw, J., and Yokelson, R. J.:
 1737 Measurements of gas-phase inorganic and organic acids from biomass fires by
 1738 negative-ion proton-transfer chemical-ionization mass spectrometry, *J. Geophys.*
 1739 *Res.*, 115, D23302, doi:10.1029/2010JD014033, 2010.

1740 Wang, L., Li, X., and Xu, Y.: The economic losses caused by crop residues burnt in
 1741 open field in China, *J. Arid Land Resource Environ.*, 2, 170-175, 2008.

Field Code Changed

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm,
Hanging: 1 ch

Formatted: Font: (Asian) +Body
Asian (宋体), (Asian) Chinese (PRC)

Field Code Changed

1742 Wang, R., Tao, S., Wang, W., Liu, J., Shen, H., Shen, G., Wang, B., Li, W., Huang, Y.,
1743 Zhang, Y., Lu, Y., Chen, H., Chen, Y., Wang, C., Zhu, D., Wang, X., Li, B., Liu, W.,
1744 Ma, J., Prospero, A. J. M.: Black carbon emissions in China from 1949 to 2050,
1745 Environ. Sci. Technol., 14, 7595-7603, 2012.

1746 Wang, S., and Zhang, C.: Spatial and temporal distribution of air pollutant emissions
1747 from open burning of crop residues in China, Sciencepaper Online, 5, 329-333,
1748 2008.

1749 Wang, S., Zhao, M., Xing, J., Wu, Y., Zhou, Y., Lei, Y., He, K., Fu, L., Hao, J.:
1750 Quantifying the air pollutants emission reduction during the 2008 Olympic Games
1751 in Beijing, Environ. Sci. Technol., 7, 2490-2496, 2010.

1752 Wang, W., Jariyasopit, N., Schrlau, J., Jia, Y., Tao, S., Yu, T., Dashwood, R. H.,
1753 Zhang, W., Wang, X., Simonich, S. L. M.: Concentration and photochemistry of
1754 PAHs, NPAHs, and OPAHs and toxicity of PM_{2.5} during the Beijing Olympic
1755 Games, Environ. Sci. Technol., 16, 6887-6895, 2011.

1756 Wei, B., and Yang, L.: A review of heavy metal contaminations in urban soils, urban
1757 road dusts and agricultural soils from China, Microchem J., 2, 99-107, 2010.

1758 Wei, W., Jitao, Y., Qingling, Z., and Bailiang, Z.: Current situation and developing
1759 direction of straw utilization technology in China, China Resources Comprehensive
1760 Utilization, 11, 2004.

1761 WHO: Life Database in 2000, World Health Organization, 2000.

1762 Wilson, J. M., Baeza-Romero, M. T., Jones, J. M., Pourkashanian, M., Williams, A.,
1763 Lea-Langton, A. R., Ross, A. B., and Bartle, K. D.: Soot formation from the
1764 combustion of biomass pyrolysis products and a hydrocarbon fuel, n-Decane: an
1765 aerosol time of flight mass spectrometer (ATOFMS) Study, Energ. Fuel, 3,
1766 1668-1678, 2013.

1767 Wong, C., Vichit-Vadakan, N., Kan, H., Qian, Z., and Teams, T. P. P.: Public Health
1768 and Air Pollution in Asia (PAPA): a multicity study of short-term effects of air
1769 pollution on mortality, Environ. Health Persp., 9, 1195-1202, 2008.

1770 Wornat, M. J., and Sarofim, A. F.: Char- and aerosol-associated polycyclic aromatic
1771 compounds from coal pyrolysis, Aerosol Sci. Tech., 12, 832-841, 2007.

1772 Wu, C., Liu, L. J. S., Cullen, A., Westberg, H., and Williamson, J.: Spatial-temporal
1773 and cancer risk assessment of selected hazardous air pollutants in Seattle, Environ.
1774 Int., 1, 11-17, 2011.

1775 Wu, C., Wu, S., Wu, Y., Cullen, A. C., Larson, T. V., Williamson, J., and Liu, L. J. S.:
1776 Cancer risk assessment of selected hazardous air pollutants in Seattle, Environ. Int.,
1777 3, 516-522, 2009.

1778 Xu, S., Liu, W., and Tao, S.: Emission of polycyclic aromatic hydrocarbons in China,
1779 Environ. Sci. Technol., 3, 702-708, 2006.

1780 Yang, C., Peng, X., Huang, W., Chen, R., Xu, Z., Chen, B., and Kan, H.: A
1781 time-stratified case-crossover study of fine particulate matter air pollution and
1782 mortality in Guangzhou, China, Int. Arch. Occ. Environ. Health, 5, 579-585, 2012.

1783 Yang, M., Howell, S. G., Zhuang, J., and Huebert, B. J.: Attribution of aerosol light
1784 absorption to black carbon, brown carbon, and dust in China-interpretations of
1785 atmospheric measurements during EAST-AIRE, Atmos. Chem. Phys., 9,
1786 2035-2050, doi:10.5194/acp-9-2035-2009, 2009.

1787 Yang, Y., Liu, X., Qu, Y., Wang, J., An, J., Zhang, Y., and Zhang, F.: Formation
1788 mechanism of continuous extreme haze episodes in the megacity Beijing, China, in
1789 January 2013, Atmos. Res., 155, 192-203, 2015.

1790 Yokelson, R. J., Karl, T., Artaxo, P., and Blake, D. R.: The tropical forest and fire
1791 emissions experiment: overview and airborne fire emission factor measurements,
1792 Atmos. Chem. Phys., 7, 5175-5196, doi:10.5194/acp-7-5175-2007, 2007.

1793 Yunker, M. B., Macdonald, R. W., Vingarzan, R., Mitchell, R. H., Goyette, D., and
1794 Sylvestre, S.: PAHs in the Fraser River basin: a critical appraisal of PAH ratios as
1795 indicators of PAH source and composition, Org. Geochem., 4, 489-515, 2002.

1796 Zhang, H., Hu, D., Chen, J., Ye, X., Wang, S. X., Hao, J. M., Wang, L., Zhang, R., and
1797 An, Z.: Particle size distribution and polycyclic aromatic hydrocarbons emissions
1798 from agricultural crop residue burning, Environ. Sci. Technol., 13, 5477-5482,
1799 2011.

1800 Zhang, H., Wang, S., Hao, J., Wan, L., Jiang, J., Zhang, M., Mestl, H. E., Mestl, H.,
1801 Alnes, L., Aunan, K. and Mellouki, A.: Chemical and size characterization of

Formatted: Font: 小四

Formatted: Indent: Left: 0 cm, Hanging: 2 ch, First line: 0 ch, Don't adjust right indent when grid is defined, Line spacing: single, No widow/orphan control, Don't adjust space between Latin and Asian text, Don't adjust space between Asian text and numbers

- 1802 [particles emitted from the burning of coal and wood in rural households in Guizhou,](#)
1803 [China, Atmos. Environ., 94-99, 2012.](#)
- 1804 Zhang, H., Ye, X., Cheng, T., Chen, J., Yang, X., Wang, L., and Zhang, R.: A
1805 laboratory study of agricultural crop residue combustion in China: Emission factors
1806 and emission inventory, Atmos. Environ., 36, 8432-8441, 2008a.
- 1807 Zhang, R., Khalizov, A. F., Pagels, J., Zhang, D., Xue, H., and McMurry, P. H.:
1808 Variability in morphology, hygroscopicity, and optical properties of soot aerosols
1809 during atmospheric processing, Proc. Natl. Acad. Sci. U. S. A., 30, 10291-10296,
1810 2008b.
- 1811 Zhang, R., Suh, I., Zhao, J., Zhang, D., Fortner, E. C., Tie, X., Molina, L. T., and
1812 Molina, M. J.: Atmospheric new particle formation enhanced by organic acids,
1813 Science, 5676, 1487-1490, 2004.
- 1814 Zhao, B., Wang, P., Ma, J. Z., Zhu, S., Pozzer, A., and Li, W.: A high-resolution
1815 emission inventory of primary pollutants for the Huabei region, China, Atmos.
1816 Chem. Phys., 1, 481-501, 2012.
- 1817 Zhao, Y., Nielsen, C. P., Lei, Y., McElroy, M. B., and Hao, J.: Quantifying the
1818 uncertainties of a bottom-up emission inventory of anthropogenic atmospheric
1819 pollutants in China, Atmos. Chem. Phys., 11, 2295-2308, 2011.
- 1820 Zheng, J., Ma, Y., Chen, M., Zhang, Q., Wang, L., Khalizov, A. F., Yao, L., Wang, Z.,
1821 Wang, X., and Chen, L.: Measurement of atmospheric amines and ammonia using
1822 the high resolution time-of-flight chemical ionization mass spectrometry, Atmos.
1823 Environ., 102, 249-259, 2015.
- 1824

1825 **Tables and figure captions**

1826 **Table 1.** Emission factors of particulate chemical species in smoke PM_{2.5} from
1827 agricultural residue burning.

1828 **Table 2.** Emission factors of particulate chemical species in smoke PM_{1.0} from
1829 agricultural residue burning.

1830 **Table 3. Comparison of emission factors with literature (specific chemical materials**
1831 **in form of PM_{2.5})**

1832 **Table 4. Summary of field burning rates and economic data in China**

1833 **Table 5. National agricultural field burning emissions of BAU, EM, and NDRC**
1834 **scenarios in China, 2012.**

1835 **Table 6. Uncertainties for national smoke aerosol emissions in 2012.**

1836 **Table 7. Estimated number of cases (95% CI) attributable to agricultural fire smoke**
1837 **PM_{2.5} exposure in China, 2012**

1838 **Table 8. Health-related economic loss (95% CI) from agricultural fire smoke PM_{2.5}**
1839 **exposure in China, 2012**

1840 ~~**Table 3.** Emission factors of particulate THM, PAHs, and Phenols in smoke PM_{2.5}~~
1841 ~~from agricultural residue burning.~~

1842 ~~**Table 4.** Emission factors of particulate THM, PAHs, and Phenols in smoke PM_{1.0}~~
1843 ~~from agricultural residue burning.~~

1844 ~~**Table 5.** Comparison of emission factors with literature (specific chemical materials~~
1845 ~~in form of PM_{2.5})~~

1846 ~~**Table 6.** Summary of field burning rates and economic data in China~~

1847 ~~**Table 7.** National agricultural field burning emissions of BAU, EM, NDRC, and CRC~~
1848 ~~scenarios in China, 2012.~~

1849 ~~**Table 8.** Uncertainties in emission estimates.~~

1850 ~~**Table 9.** Estimated number of cases (95% CI) attributable to agricultural fire smoke~~
1851 ~~PM_{2.5} exposure in China, 2012~~

1852 ~~**Table 10.** Health-related economic loss (95% CI) from agricultural fire smoke PM_{2.5}~~
1853 ~~exposure in China, 2012~~

1854

1855 **Figure 1.** Schematic methodology for developing emission estimations

1856 **Figure 2.** Chemical profiles of smoke PM_{2.5} and PM_{1.0} from 5 types agricultural
1857 residue burnings. OM (organic matter = 1.3×OC). OWSI, other water soluble ions

1858 including F^- , NO_2^- , Na^+ , Ca^{2+} , Mg^{2+} . THM, trace heavy metals. WSA, water-soluble
1859 amine salts. WSOA, water-soluble organic acids.

1860 **Figure 3.** a) Emission factors of 16 USEPA priority PAHs in smoke $PM_{2.5}$ and $PM_{1.0}$;
1861 b) expulsion-accumulation of PAHs in OC-EC of smoke $PM_{2.5}$ and $PM_{1.0}$

1862 **Figure 4.** Transmission electron microscope (TEM) images and EDX analysis of
1863 fresh agricultural residue burning particles. (a)-(c) Crystal and amorphous KCl
1864 particles internally mixed with sulfate, nitrate, and carbonaceous materials. (d)-(f)
1865 Heavy metal-bearing fractal-like fly ash particles. (e)-(g) Chain-like soot particles and
1866 tar ball.

1867 **Figure 5.** Annual agricultural residue production of five major crops and allocated
1868 into two harvest (summer and autumn harvest) based on agricultural yield in China,
1869 2012.

1870 **Figure 6.** Statistical analysis of field burning rates from BAU, EM, and NDRC
1871 versions

1872 **Figure 7.** Spatial and temporal distribution of smoke $PM_{2.5}$ emissions and flux
1873 concentrations from agricultural field burning over China, 2012

1874 **Figure 8.** Nationwide $PM_{2.5}$ emissions and flux concentrations based on different
1875 burning versions. The inset pie-graphs are chemical compositions of integrated $PM_{2.5}$
1876 from five major agricultural residue burning.

1877

1878
1879

Table 1. Emission factors of particulate chemical species in smoke PM_{2.5} from agricultural residue burning.

Chemical Species (g kg ⁻¹)	wheat straw	corn straw	rice straw	cotton residue	soybean residue
PM _{2.5}	5.803 ± 0.363	5.988 ± 0.723	14.732 ± 2.417	15.162 ± 2.053	3.249 ± 0.350
OC	2.813 ± 0.147	2.393 ± 0.351	6.882 ± 0.689	7.415 ± 0.547	1.539 ± 0.253
EC	0.676 ± 0.027	0.778 ± 0.152	2.182 ± 0.278	1.192 ± 0.171	0.614 ± 0.190
Char-EC	0.606 ± 0.024	0.667 ± 0.132	1.761 ± 0.166	1.072 ± 0.154	0.564 ± 0.177
Soot-EC	0.069 ± 0.007	0.110 ± 0.043	0.421 ± 0.061	0.120 ± 0.034	0.051 ± 0.031
Inorganic ions (g kg⁻¹)	1.273 ± 0.072	1.810 ± 0.030	3.086 ± 0.266	3.810 ± 0.246	0.523 ± 0.149
SO ₄ ²⁻	0.084 ± 0.028	0.217 ± 0.041	0.409 ± 0.127	0.701 ± 0.081	0.073 ± 0.014
Cl ⁻	0.576 ± 0.038	0.709 ± 0.034	1.158 ± 0.232	1.351 ± 0.114	0.178 ± 0.030
F ⁻	0.023 ± 0.061	0.061 ± 0.005	0.073 ± 0.024	0.265 ± 0.012	0.009 ± 0.004
NO ₃ ⁻	0.023 ± 0.000	0.032 ± 0.002	0.051 ± 0.025	0.072 ± 0.004	0.009 ± 0.004
NO ₂ ⁻	0.006 ± 0.001	0.016 ± 0.002	0.018 ± 0.002	0.036 ± 0.001	0.004 ± 0.003
Ca ²⁺	0.030 ± 0.011	0.036 ± 0.003	0.046 ± 0.007	0.060 ± 0.003	0.010 ± 0.002
Na ⁺	0.005 ± 0.001	0.012 ± 0.001	0.028 ± 0.004	0.050 ± 0.004	0.005 ± 0.001
NH ₄ ⁺	0.152 ± 0.005	0.197 ± 0.010	0.542 ± 0.107	0.347 ± 0.008	0.029 ± 0.004
Mg ²⁺	0.005 ± 0.000	0.017 ± 0.002	0.023 ± 0.004	0.032 ± 0.002	0.005 ± 0.001
K ⁺	0.368 ± 0.041	0.514 ± 0.009	0.739 ± 0.049	0.947 ± 0.070	0.200 ± 0.023
Organic Acids (mg kg⁻¹)	156.680 ± 81.830	46.670 ± 9.000	557.130 ± 269.380	769.990 ± 317.550	143.310 ± 39.770
CH ₃ COOH	148.900 ± 79.290	36.640 ± 8.210	417.930 ± 186.140	743.320 ± 159.600	135.500 ± 62.320
MSA	7.170 ± 2.110	10.030 ± 30.000	136.990 ± 81.700	12.980 ± 1.530	3.200 ± 1.530
H ₂ C ₂ O ₄	2.610 ± 0.430	ND	2.210 ± 1.560	4.760 ± 2.640	2.170 ± 2.380
HCOOH	ND	ND	ND	8.930 ± 2.630	2.440 ± 1.450
Amine salts (mg kg⁻¹)	19.246 ± 9.368	32.877 ± 19.141	104.787 ± 15.635	102.409 ± 13.379	4.514 ± 1.776
MeOH ⁺ + MMAH ⁺	1.322 ± 0.086	5.735 ± 0.102	17.226 ± 1.454	19.888 ± 0.351	0.456 ± 0.196
MEAH ⁺	0.201 ± 0.055	0.675 ± 0.135	4.175 ± 0.920	3.690 ± 1.959	ND
TEOH ⁺	2.562 ± 0.962	4.118 ± 0.741	25.129 ± 0.343	14.376 ± 8.688	0.672 ± 0.558
DEAH ⁺ + TMAH ⁺	13.728 ± 7.512	18.973 ± 0.466	46.148 ± 12.185	28.568 ± 5.321	2.012 ± 0.878
DMAH ⁺	1.434 ± 0.925	3.376 ± 0.674	12.110 ± 6.166	35.887 ± 2.940	1.374 ± 0.144
Elemental Species (mg kg⁻¹)	53.813 ± 18.860	53.546 ± 9.070	131.612 ± 5.920	27.577 ± 3.700	14.003 ± 8.710
Phenols (mg kg⁻¹)	26.785 ± 8.582	16.390 ± 2.652	27.238 ± 4.861	41.481 ± 5.517	9.673 ± 2.272
PAHs (mg kg⁻¹)	1.814 ± 0.348	2.706 ± 0.798	7.267 ± 1.722	8.302 ± 2.856	1.832 ± 0.353

1880

ND means not detected

1881
1882

Table 2. Emission factors of particulate chemical species in smoke PM_{1.0} from agricultural residue burning.

Chemical Species (g kg ⁻¹)	wheat straw	corn straw	rice straw	cotton residue	soybean residue
PM _{1.0}	5.298 ± 0.295	5.360 ± 0.551	13.200 ± 1.440	12.635 ± 1.243	3.036 ± 0.257
OC	2.419 ± 0.126	2.063 ± 0.340	6.024 ± 0.602	6.036 ± 0.360	1.338 ± 0.128
EC	0.650 ± 0.037	0.728 ± 0.122	2.083 ± 0.413	1.023 ± 0.205	0.575 ± 0.260
Char-EC	0.567 ± 0.033	0.580 ± 0.098	1.671 ± 0.331	0.916 ± 0.184	0.511 ± 0.233
Soot-EC	0.083 ± 0.014	0.148 ± 0.057	0.411 ± 0.073	0.107 ± 0.048	0.063 ± 0.057
Inorganic ions (g kg⁻¹)	1.215 ± 0.040	1.768 ± 0.010	2.940 ± 0.249	3.516 ± 0.145	0.510 ± 0.156
SO ₄ ²⁻	0.078 ± 0.011	0.199 ± 0.032	0.333 ± 0.107	0.581 ± 0.054	0.073 ± 0.056
Cl ⁻	0.544 ± 0.033	0.712 ± 0.027	1.145 ± 0.118	1.243 ± 0.067	0.175 ± 0.031
F ⁻	0.022 ± 0.007	0.041 ± 0.004	0.078 ± 0.030	0.151 ± 0.011	0.001 ± 0.001
NO ₃ ⁻	0.021 ± 0.005	0.027 ± 0.002	0.043 ± 0.016	0.061 ± 0.003	0.009 ± 0.002
NO ₂ ⁻	0.006 ± 0.001	0.010 ± 0.003	0.013 ± 0.004	0.019 ± 0.002	0.004 ± 0.003
Ca ²⁺	0.027 ± 0.013	0.028 ± 0.002	0.045 ± 0.008	0.067 ± 0.005	0.010 ± 0.002
Na ⁺	0.004 ± 0.000	0.012 ± 0.000	0.027 ± 0.003	0.056 ± 0.006	0.005 ± 0.002
NH ₄ ⁺	0.147 ± 0.005	0.191 ± 0.009	0.511 ± 0.067	0.401 ± 0.004	0.031 ± 0.005
Mg ²⁺	0.005 ± 0.001	0.035 ± 0.001	0.024 ± 0.006	0.033 ± 0.002	0.005 ± 0.001
K ⁺	0.359 ± 0.040	0.513 ± 0.015	0.721 ± 0.073	0.994 ± 0.067	0.197 ± 0.035
Organic Acids (mg kg⁻¹)	124.310 ± 25.170	47.830 ± 10.610	427.400 ± 221.270	639.820 ± 244.960	130.760 ± 59.310
CH ₃ COOH	115.790 ± 21.940	38.960 ± 9.610	383.360 ± 179.050	615.790 ± 232.860	124.310 ± 69.000
MSA	6.830 ± 2.030	8.870 ± 2.730	41.380 ± 38.480	11.380 ± 2.360	3.200 ± 1.730
H ₂ C ₂ O ₄	1.690 ± 1.200	ND	2.660 ± 1.760	3.620 ± 1.250	1.560 ± 1.670
HCOOH	ND	ND	ND	9.030 ± 7.710	1.690 ± 1.390
Amine salts (mg kg⁻¹)	18.191 ± 5.351	29.891 ± 13.480	81.726 ± 11.455	85.720 ± 21.337	4.385 ± 1.445
MeOH ⁺ + MMAH ⁺	1.300 ± 0.282	5.647 ± 0.342	16.627 ± 0.104	18.834 ± 1.991	0.464 ± 0.265
MEAH ⁺	0.157 ± 0.037	0.787 ± 0.211	3.581 ± 0.602	2.771 ± 1.304	ND
TEOH ⁺	1.719 ± 0.283	5.115 ± 0.732	17.575 ± 0.844	11.441 ± 3.229	0.529 ± 0.304
DEAH ⁺ + TMAH ⁺	13.716 ± 9.047	15.921 ± 1.620	33.565 ± 6.795	29.057 ± 3.793	2.278 ± 0.533
DMAH ⁺	1.300 ± 0.702	2.420 ± 0.575	10.377 ± 4.521	23.617 ± 20.086	1.115 ± 0.343
Elemental Species (mg kg⁻¹)	31.586 ± 10.630	29.265 ± 4.240	51.062 ± 5.920	16.738 ± 3.480	11.817 ± 6.650
Phenols (mg kg⁻¹)	20.774 ± 4.972	13.193 ± 2.181	20.480 ± 1.403	23.521 ± 8.521	7.689 ± 1.356
PAHs (mg kg⁻¹)	1.257 ± 0.398	1.420 ± 0.232	3.967 ± 0.970	4.359 ± 1.373	1.123 ± 0.205

1883

ND means not detected

1884
1885

Table 3. Emission factors of particulate THM, PAHs, and Phenols in smoke PM_{2.5}- from agricultural residue burning-

Chemical Species (mg kg ⁻¹)	wheat straw	corn straw	rice straw	cotton residue	soybean residue
Elemental Species	53.813 ± 18.860	53.546 ± 9.070	131.612 ± 5.920	27.577 ± 3.700	14.003 ± 8.710
As	6.433 ± 1.424	4.684 ± 0.879	6.724 ± 0.737	2.082 ± 1.078	0.777 ± 0.525
Zn	0.868 ± 0.180	0.358 ± 0.624	0.275 ± 0.177	0.229 ± 0.264	0.053 ± 0.046
Pb	ND	ND	0.467 ± 0.313	0.063 ± 0.053	0.059 ± 0.047
Cd	ND	ND	0.053 ± 0.000	ND	ND
Ni	0.726 ± 0.074	0.695 ± 0.138	1.100 ± 0.113	0.372 ± 0.170	0.193 ± 0.092
Cr	1.026 ± 0.335	0.746 ± 0.299	3.324 ± 0.257	0.543 ± 0.055	0.266 ± 0.127
V	0.159 ± 0.006	0.104 ± 0.061	0.560 ± 0.022	0.110 ± 0.011	0.051 ± 0.044
Al	44.602 ± 5.269	46.957 ± 10.471	119.108 ± 4.636	24.178 ± 2.331	12.603 ± 6.709
PAHs	2.407 ± 0.348	2.706 ± 0.798	7.267 ± 1.722	6.017 ± 2.856	1.832 ± 0.353
naphthalene	0.417 ± 0.116	0.087 ± 0.077	0.780 ± 0.128	0.116 ± 0.086	0.093 ± 0.041
acenaphthylene	0.032 ± 0.023	0.028 ± 0.013	0.701 ± 0.269	0.201 ± 0.277	0.004 ± 0.006
acenaphthene	0.107 ± 0.034	0.285 ± 0.143	1.713 ± 0.542	0.502 ± 0.667	0.073 ± 0.173
flourene	0.021 ± 0.010	0.003 ± 0.002	0.069 ± 0.005	0.017 ± 0.024	0.001 ± 0.001
anthracene	0.343 ± 0.121	0.384 ± 0.111	0.656 ± 0.003	1.177 ± 0.536	0.245 ± 0.127
phenathrene	0.179 ± 0.090	0.112 ± 0.030	0.202 ± 0.007	0.547 ± 0.239	0.105 ± 0.011
flouranthene	0.368 ± 0.071	0.561 ± 0.217	0.926 ± 0.029	0.930 ± 0.250	0.306 ± 0.042
pyrene	0.628 ± 0.107	0.853 ± 0.240	1.460 ± 0.039	1.818 ± 0.598	0.586 ± 0.178
benz[a]anthracene	0.057 ± 0.019	0.056 ± 0.023	0.118 ± 0.016	0.158 ± 0.056	0.058 ± 0.026
chrysene	0.058 ± 0.008	0.088 ± 0.033	0.119 ± 0.010	0.166 ± 0.057	0.063 ± 0.010
benzo[a]pyrene	0.148 ± 0.025	0.113 ± 0.044	0.398 ± 0.083	0.148 ± 0.076	0.131 ± 0.072
benzo[b]flouranthene	0.017 ± 0.012	0.051 ± 0.049	0.026 ± 0.008	0.086 ± 0.011	0.047 ± 0.007
benzo[k]flouranthene	0.021 ± 0.008	0.014 ± 0.011	0.022 ± 0.009	0.036 ± 0.006	0.020 ± 0.013
benzo[g,h,i]pyrene	0.006 ± 0.003	0.024 ± 0.024	0.011 ± 0.004	0.046 ± 0.011	0.033 ± 0.046
indeno[1,2,3-cd]pyrene	0.005 ± 0.001	0.086 ± 0.011	ND	0.022 ± 0.012	ND
dibenz[a,h]anthracene	0.002 ± 0.001	0.038 ± 0.051	0.068 ± 0.027	0.066 ± 0.003	0.067 ± 0.047
Phenols-	26.785 ± 8.582	16.390 ± 2.652	27.238 ± 4.861	41.481 ± 5.517	9.673 ± 2.272
phenol	2.357 ± 0.797	3.974 ± 0.759	10.737 ± 6.373	3.992 ± 0.128	2.834 ± 2.944
2-methoxyphenol	0.567 ± 0.061	0.531 ± 0.015	2.545 ± 0.200	0.371 ± 0.083	0.363 ± 0.712
4-ethylphenol	2.239 ± 0.323	1.417 ± 0.536	1.624 ± 0.740	5.105 ± 0.707	0.475 ± 0.358
4-ethyl-2-methoxyphenol	0.671 ± 0.318	0.290 ± 0.070	0.383 ± 0.116	1.588 ± 0.244	0.187 ± 0.375
2,6-dimethoxyphenol	20.952 ± 8.677	10.178 ± 2.334	11.949 ± 0.456	30.424 ± 4.662	5.815 ± 2.117

1886

ND means not detected

1887

1888

Table 4. Emission factors of particulate THM, PAHs, and Phenols in smoke PM_{1.0} from agricultural residue burning.

Chemical Species (mg kg ⁻¹)	wheat straw	corn straw	rice straw	cotton residue	soybean residue
Elemental Species–	31.586 ± 10.630	29.265 ± 4.240	51.062 ± 5.920	16.738 ± 3.480	11.817 ± 6.650
As	2.781 ± 1.159	2.984 ± 0.617	4.861 ± 0.737	1.751 ± 1.529	0.342 ± 0.750
Zn	0.607 ± 0.514	0.137 ± 0.091	0.293 ± 0.489	0.112 ± 0.059	0.040 ± 0.035
Pb	ND	ND	ND	0.007 ± 0.004	0.013 ± 0.006
Cd	ND	ND	0.043 ± 0.000	ND	ND
Ni	0.435 ± 0.057	0.365 ± 0.042	0.654 ± 0.113	0.218 ± 0.033	0.171 ± 0.098
Cr	0.556 ± 0.024	0.487 ± 0.000	0.923 ± 0.257	0.292 ± 0.030	0.233 ± 0.092
V	0.101 ± 0.005	0.118 ± 0.044	0.188 ± 0.022	0.065 ± 0.010	0.049 ± 0.023
Al	27.106 ± 3.566	25.115 ± 3.497	44.037 ± 4.636	14.293 ± 1.834	10.968 ± 5.592
PAHs–	1.257 ± 0.398	1.420 ± 0.232	3.967 ± 0.970	3.159 ± 1.373	1.123 ± 0.205
naphthalene	0.118 ± 0.031	0.112 ± 0.131	0.360 ± 0.106	0.043 ± 0.011	0.082 ± 0.130
acenaphthylene	0.023 ± 0.018	0.028 ± 0.021	0.339 ± 0.333	0.074 ± 0.102	0.008 ± 0.008
acenaphthene	0.034 ± 0.014	0.173 ± 0.055	0.828 ± 0.783	0.269 ± 0.354	0.068 ± 0.025
fluorene	0.009 ± 0.007	0.003 ± 0.001	0.033 ± 0.005	0.006 ± 0.006	0.002 ± 0.000
anthracene	0.210 ± 0.107	0.209 ± 0.052	0.178 ± 0.166	0.600 ± 0.251	0.197 ± 0.051
phenanthrene	0.097 ± 0.030	0.084 ± 0.016	0.055 ± 0.045	0.259 ± 0.048	0.077 ± 0.149
flouranthene	0.212 ± 0.086	0.219 ± 0.077	0.636 ± 0.048	0.475 ± 0.116	0.178 ± 0.026
pyrene	0.391 ± 0.146	0.385 ± 0.142	1.160 ± 0.009	1.043 ± 0.714	0.298 ± 0.065
benz[a]anthracene	0.031 ± 0.009	0.032 ± 0.016	0.097 ± 0.006	0.086 ± 0.010	0.033 ± 0.019
chrysene	0.034 ± 0.004	0.056 ± 0.022	0.091 ± 0.011	0.096 ± 0.009	0.037 ± 0.018
benzo[a]pyrene	0.071 ± 0.031	0.057 ± 0.038	0.129 ± 0.039	0.107 ± 0.010	0.055 ± 0.002
benzo[b]flouranthene	0.013 ± 0.005	0.018 ± 0.018	0.047 ± 0.033	0.043 ± 0.010	0.031 ± 0.005
benzo[k]flouranthene	0.009 ± 0.003	0.011 ± 0.008	0.014 ± 0.005	0.018 ± 0.001	0.012 ± 0.013
benzo[g,h,i]pyrene	0.005 ± 0.005	0.007 ± 0.005	ND	0.005 ± 0.005	0.014 ± 0.057
indeno[1,2,3-cd]pyrene	ND	0.011 ± 0.006	ND	0.011 ± 0.012	ND
dibenz[a,h]anthracene	ND	0.014 ± 0.015	ND	0.025 ± 0.029	0.031 ± 0.001
Phenols–	20.774 ± 4.972	13.193 ± 2.181	20.480 ± 1.403	23.521 ± 8.521	7.689 ± 1.356
phenol	3.296 ± 1.962	4.389 ± 0.089	8.917 ± 2.588	2.824 ± 0.031	1.660 ± 0.293
2-methoxyphenol	0.604 ± 0.003	0.682 ± 0.357	1.711 ± 0.155	0.353 ± 0.088	0.195 ± 0.034
4-ethylphenol	1.387 ± 0.408	0.490 ± 0.246	1.171 ± 0.233	2.965 ± 0.441	0.495 ± 0.087
4-ethyl-2-methoxyphenol	0.438 ± 0.193	0.231 ± 0.004	0.222 ± 0.039	0.834 ± 0.180	0.137 ± 0.024
2,6-dimethoxyphenol	15.050 ± 6.336	7.402 ± 0.478	8.459 ± 0.759	16.545 ± 2.113	5.202 ± 0.917

1889

ND means not detected

Table 53. Comparison of emission factors with literature (specific chemical materials in form of PM_{2.5}).

Species	Emission factors (g kg ⁻¹)		Reference
	This work	Reference value	
PM _{2.5}	8.99 ± 5.55	7.6~11.7(AR), 6.26~15.3 (TL), ~3.0 (AR), 2.2~15.0 (AR)	Li et al., 2007; Akagi et al., 2011; Dhammapala et al., 2007; Hayashi et al 2014
PM _{1.0}	7.91 ± 4.67	4.4.3~12.1 (TL)	May et al., 2014
OC	4.21 ± 2.73	2.7~3.9 (AR), 2.3~9.7(TL), ~1.9(AR), 1.0~9.3 (AR), 0.8~5.9 (TL)	Li et al., 2007; Akagi et al., 2011; Dhammapala et al., 2007; Hayashi et al., 2014; May et al.2014
EC	1.09 ± 0.65	0.35~0.49 (AR), 0.37~0.91(TL), ~0.4(AR), 0.21~0.81(AR), 1.13~1.73 (TL)	Li et al., 2007; Akagi et al., 2011; Dhammapala et al., 2007; Hayashi et al., 2014; May et al.2014
WSOA	0.33 ± 0.31	0.039~0.109 (TL)	Akagi et al., 2011
WSA	0.05 ± 0.05	0.08~0.13 (TL), ~0.55 (TL)	Akagi et al., 2011; Andreae et al., 2001
WSI	2.10 ± 1.34	1.84~4.9 (AR),0.8~1.31(TL), 0.43~1.63 (AR)	Li et al., 2007; Akagi et al., 2011; Hayashi et al., 2014
THM	0.06 ± 0.05	0.06~0.09 (AR)	Li et al., 2007
PAHs (×10 ³)	4.38 ± 3.15	~17(AR), 0.72~1.64(AR), ~9.0 (W)	Dhammapala et al., 2007; Zhang et al., 2011; Lee et al.2005
Phenols (×10 ³)	24.31 ± 12.11	~35(AR), ~5 (AR), ~13 (TL)	Dhammapala et al., 2007; Hays et al., 2005; Andreae et al.2001

Field Code Changed

Field Code Changed

Field Code Changed

AR: agricultural residue; TL: total, including forest fires and straw burning; W: wood

Table 64. Summary of field burning rates and economic data in China.

Province	Burning rate from literature		Agricultural income ratio ^c			Estimated burning rate		NDRC report ^d	Average rate
	BAU-I ^a	BAU-II ^b	2000	2006	2012	EM-I	EM-II	NDRC	
Beijing	0.00	0.17	0.08	0.06	0.06	0.00	0.19	0.13	0.10 ± 0.08
Tianjin	0.00	0.17	0.10	0.14	0.12	0.00	0.20	0.30	0.13 ± 0.12
Hebei	0.20	0.17	0.27	0.22	0.24	0.22	0.16	0.19	0.19 ± 0.02
Shanxi	0.20	0.17	0.20	0.21	0.25	0.16	0.14	0.22	0.18 ± 0.03
Inner Mongolia	0.00	0.12	0.44	0.49	0.66	0.00	0.09	0.27	0.10 ± 0.10
Liaoning	0.20	0.12	0.30	0.29	0.39	0.16	0.09	0.34	0.18 ± 0.09
Jilin	0.30	0.12	0.73	0.73	0.77	0.28	0.11	0.25	0.21 ± 0.08
Heilongjiang	0.30	0.12	0.99	0.83	0.59	0.50	0.17	0.25	0.27 ± 0.13
Shanghai	0.00	0.32	0.10	0.08	0.09	0.00	0.29	0.12	0.15 ± 0.14
Jiangsu	0.30	0.32	0.32	0.22	0.30	0.32	0.23	0.19	0.27 ± 0.05
Zhejiang	0.30	0.32	0.19	0.08	0.09	0.64	0.28	0.22	0.35 ± 0.15
Anhui	0.20	0.32	0.44	0.39	0.43	0.21	0.29	0.43	0.29 ± 0.08
Fujian	0.30	0.32	0.18	0.10	0.14	0.39	0.22	0.17	0.28 ± 0.08
Jiangxi	0.20	0.11	0.45	0.31	0.44	0.20	0.08	0.25	0.17 ± 0.06
Shandong	0.30	0.17	0.33	0.25	0.24	0.40	0.17	0.21	0.25 ± 0.09
Henan	0.20	0.17	0.39	0.35	0.33	0.23	0.18	0.22	0.20 ± 0.02
Hubei	0.20	0.11	0.42	0.30	0.41	0.21	0.08	0.30	0.18 ± 0.08
Hunan	0.20	0.33	0.47	0.31	0.43	0.22	0.24	0.35	0.27 ± 0.06
Guangdong	0.30	0.33	0.19	0.10	0.13	0.44	0.25	0.18	0.30 ± 0.09
Guangxi	0.20	0.33	0.40	0.25	0.33	0.25	0.25	0.35	0.28 ± 0.06
Hainan	0.30	0.33	0.35	0.16	0.21	0.51	0.25	0.56	0.39 ± 0.12
Chongqing	0.20	0.11	0.35	0.23	0.30	0.24	0.08	0.45	0.22 ± 0.13
Sichuan	0.20	0.11	0.37	0.22	0.28	0.26	0.09	0.30	0.19 ± 0.08
Guizhou	0.20	0.11	0.38	0.23	0.25	0.31	0.10	0.43	0.23 ± 0.13
Yunnan	0.20	0.11	0.36	0.26	0.31	0.24	0.09	0.28	0.18 ± 0.07
Tibet	0.00	0.16	0.15	0.09	0.05	0.00	0.30	0.16	0.12 ± 0.11
Shannxi	0.20	0.17	0.33	0.27	0.26	0.25	0.18	0.28	0.22 ± 0.04
Gansu	0.10	0.16	0.25	0.20	0.28	0.09	0.11	0.33	0.16 ± 0.09
Qinghai	0.00	0.16	0.23	0.10	0.08	0.00	0.20	0.28	0.13 ± 0.11
Ningxia	0.10	0.16	0.42	0.38	0.45	0.09	0.13	0.16	0.13 ± 0.03
Xinjiang	0.10	0.16	0.43	0.61	0.73	0.06	0.13	0.30	0.15 ± 0.08
Nationwide	0.21	0.16	0.34	0.27	0.31	0.26	0.15	0.27	0.21 ± 0.05

Field Code Changed
 Field Code Changed
 Field Code Changed
 Field Code Changed

- a. Zhao et al., 2012; Cao et al., 2006; Cao et al., 2011
- b. Wang and Zhang., 2008
- c. Calculated based on data from China Yearbook 2001~2013 (NBSC, 2001-2013), China Rural Statistic Yearbook 2001~2013, data available at <http://www.grain.gov.cn/Grain/>
- d. Data from the National Development and Reform Commission report ([2014]No.516) : <http://www.sdpc.gov.cn/>

Table 75. National agricultural field burning emissions of BAU, EM, NDRC, and CRC scenarios in China in 2012.

Unit: Gg	BAU-I			BAU-II			EM-1			EM-2			NDRC			Average		
	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn	Total	Summer	Autumn
PM _{2.5}	1001.05	218.99	782.06	835.42	209.29	626.13	1211.92	258.58	953.34	738.36	182.34	556.02	1241.69	258.24	983.46	1007.650	226.007	781.646
PM _{1.0}	897.52	198.93	698.59	748.57	189.92	558.65	1087.05	234.85	852.20	661.81	165.61	496.20	1111.90	234.44	877.46	903.125	205.217	697.911
OC	429.51	102.87	326.64	360.99	97.67	263.32	519.26	121.33	397.94	318.84	85.55	233.29	533.19	120.86	412.33	433.184	105.885	327.300
EC	133.61	27.37	106.24	111.40	26.52	84.88	162.71	32.39	130.32	98.06	22.85	75.21	164.97	32.53	132.45	134.414	28.404	106.010
char-EC	112.75	23.76	88.99	93.82	22.88	70.94	137.15	28.09	109.06	82.79	19.81	62.98	139.21	28.14	111.07	113.366	24.596	88.770
soot-EC	20.80	3.59	17.21	17.54	3.62	13.91	25.50	4.28	21.22	15.23	3.02	12.21	25.70	4.36	21.33	20.992	3.787	17.205
SO ₄ ²⁻	30.22	3.96	26.26	24.97	3.94	21.04	36.39	4.71	31.68	22.09	3.32	18.76	38.21	4.78	33.44	30.440	4.155	26.285
NO ₃ ⁻	4.35	0.84	3.51	3.55	0.80	2.75	5.24	0.99	4.25	3.17	0.70	2.47	5.40	0.99	4.41	4.350	0.864	3.486
NH ₄ ⁺	32.08	6.37	25.71	26.65	6.21	20.44	39.09	7.54	31.55	23.43	5.32	18.11	39.46	7.59	31.87	32.202	6.623	25.580
K ⁺	67.49	13.12	54.38	54.75	12.38	42.37	81.40	15.45	65.95	49.10	10.90	38.20	83.62	15.36	68.26	67.412	13.469	53.943
WSOA	24.44	6.55	17.89	21.94	6.39	15.55	29.69	7.76	21.93	18.77	5.48	13.30	30.82	7.81	23.01	25.174	6.815	18.360
WSA	5.75	0.95	4.80	4.85	0.95	3.90	6.99	1.13	5.86	4.23	0.80	3.43	7.19	1.15	6.04	5.815	1.000	4.815
PAHs	0.48	0.11	0.37	0.40	0.10	0.30	0.58	0.12	0.45	0.35	0.09	0.26	0.59	0.13	0.47	0.480	0.109	0.371
Phenols	2.71	0.85	1.87	2.25	0.78	1.47	3.25	0.99	2.26	2.02	0.70	1.323	3.40	0.98	2.36	2.721	0.861	1.861
THM	8.68	2.01	6.67	7.19	1.92	5.27	10.56	2.37	8.19	6.36	1.67	4.69	10.64	2.37	8.27	8.702	2.073	6.628
WSI	249.96	47.46	202.50	204.46	45.24	159.22	301.75	56.01	245.74	182.31	39.50	142.82	310.31	55.88	254.43	250.269	48.927	201.342

Field Code Changed

Field Code Changed

Table 8. Uncertainties in emission estimates.

Region	2012	Region	2012
Anhui	28.9%		
Shandong	35.5%		
Hebei	11.4%	The North Plain	12.7%
Beijing	84.0%		
Tianjin	87.7%		
Shanxi	16.0%		
Hubei	43.5%		
Hunan	22.6%	The Center of China	16.0%
Henan	11.4%		
Shanghai	94.1%		
Jiangsu	19.4%	The Yangtze River Delta	21.5%
Zhejiang	42.0%		
Liaoning	48.0%		
Jilin	38.1%	The Northeast of China	39.8%
Heilongjiang	49.1%		
Guangdong	28.8%		
Guangxi	20.2%		
Hainan	31.3%		
Fujian	27.6%	The Pan-Pearl River Delta	25.9%
Sichuan	42.6%		
Guizhou	54.5%		
Yunnan	39.9%		
Jiangxi	37.5%		
Inner Mongolia	93.4%		
Tibet	91.5%		
Shannxi	19.6%		
Gansu	56.5%	The West of China	51.4%
Qinghai	87.1%		
Ningxia	22.9%		
Xinjiang	54.7%		
Chongqing	60.5%		
Nationwide	19.8%		

Table 6. Uncertainties for the national smoke aerosol emissions in 2012 (pollutant emission in unit of Gg/yr, 95% CI in percentage)

Species	BAU-I		BAU-II		EM-I		EM-II		NDRC		Average	
PM _{2.5}	1001.1	(-52.3% , 73.5%)	835.4	(-48.7% , 68.8%)	1211.9	(-63.6% , 84.3%)	738.4	(-55.9% , 74.3%)	1241.7	(-46.2% , 65.1%)	1005.7	(-24.6% , 33.7%)
PM _{1.0}	897.5	(-51.6% , 73.0%)	748.6	(-48.4% , 68.6%)	1087.1	(-62.9% , 83.8%)	661.8	(-55.5% , 74.1%)	1111.9	(-45.7% , 64.7%)	901.4	(-24.4% , 33.5%)
OC	429.5	(-50.5% , 71.5%)	361.0	(-48.9% , 69.2%)	519.3	(-61.4% , 81.8%)	318.8	(-55.6% , 74.1%)	533.2	(-47.1% , 66.7%)	432.4	(-24.2% , 33.3%)
EC	133.6	(-52.1% , 73.6%)	111.4	(-50.1% , 71.0%)	162.7	(-63.3% , 84.3%)	98.1	(-56.8% , 75.7%)	165.0	(-46.7% , 66.0%)	134.2	(-24.8% , 34.0%)
char-EC	112.8	(-51.1% , 73.3%)	93.8	(-49.4% , 69.9%)	137.2	(-63.1% , 84.0%)	82.8	(-60.8% , 80.7%)	139.2	(-46.2% , 65.4%)	113.1	(-24.8% , 34.1%)
soot-EC	20.8	(-53.7% , 74.7%)	17.5	(-55.3% , 77.6%)	25.5	(-65.9% , 87.4%)	15.2	(-61.8% , 81.9%)	25.7	(-50.6% , 71.1%)	21.0	(-26.3% , 35.9%)
WSOA	24.4	(-68.5% , 86.2%)	21.9	(-75.7% , 95.2%)	29.7	(-78.7% , 96.2%)	18.8	(-77.8% , 95.4%)	30.8	(-67.5% , 85.1%)	25.1	(-33.3% , 41.4%)
WSA	5.8	(-62.8% , 82.1%)	4.9	(-65.9% , 84.1%)	7.0	(-73.9% , 93.2%)	4.2	(-69.3% , 86.3%)	7.2	(-58.7% , 75.9%)	5.8	(-30.1% , 38.5%)
WSI	250.0	(-54.4% , 77.2%)	204.5	(-47.5% , 67.4%)	301.8	(-66.9% , 89.3%)	182.3	(-56.1% , 74.8%)	310.3	(-46.9% , 66.4%)	249.8	(-25.4% , 34.9%)
THM	8.7	(-56.2% , 77.5%)	7.2	(-52.8% , 71.4%)	10.6	(-67.5% , 88.3%)	6.4	(-61.2% , 79.5%)	10.6	(-50.8% , 69.4%)	8.7	(-26.6% , 35.6%)
PAHs	0.5	(-55.2% , 75.7%)	0.4	(-52.4% , 72.2%)	0.6	(-66.5% , 86.8%)	0.4	(-58.8% , 76.9%)	0.6	(-49.3% , 67.8%)	0.5	(-26.0% , 34.9%)
Phenols	2.7	(-56.1% , 77.6%)	2.3	(-51.4% , 70.6%)	3.3	(-67.3% , 88.3%)	2.0	(-59.9% , 78.4%)	3.4	(-48.7% , 67.1%)	2.7	(-26.1% , 35.1%)

Formatted: Left: 2.36 cm, Right: 1 cm, Top: 1.65 cm, Bottom: 1.65 cm, Width: 29.7 cm, Height: 21 cm

Table 97. Estimated number of cases (95% CI) attributable to agricultural fire smoke PM_{2.5} exposure in China, 2012.

Emission version	Mortality	Respiratory hospital admission	Cardiovascular hospital admission	Chronic bronchitis
BAU-I	7864 (3154, 12489)	31123 (21114, 40788)	29454 (12849, 45481)	7577067 (2952006, 11024705)
BAU-II	7187 (3056, 11260)	28711 (19443, 37693)	27156 (11825, 42007)	7132581 (2735111, 10523803)
EM-I	9435 (3817, 14933)	36950 (25151, 48269)	35116 (15373, 54042)	8712880 (3484325, 12430411)
EM-II	6175 (2554, 9751)	25166 (17004, 33112)	23745 (10316, 36816)	6383442 (2407643, 9526727)
NDRC	8523 (3581, 13377)	33957 (23015, 44542)	32131 (14003, 49664)	8332216 (3228351, 12148274)
Average	7836 (3232, 12362)	31181 (21145, 40881)	29520 (12873, 45602)	7267237 (2961487, 1130784)
CRC	538 (227, 850)	2191 (1462, 2920)	2038 (874, 3199)	636650 (214617, 1052153)

Table 108. Health-related economic loss (95% CI) from agricultural fire smoke PM_{2.5} exposure in China, 2012.

Emission version	Economic cost (million US\$)				Total cost (million US\$)	GDP ratio (‰)
	Mortality	Respiratory hospital admission	Cardiovascular hospital admission	Chronic bronchitis		
BAU-1	1544.5 (730.7, 2430.0)	19.6 (13.3, 25.7)	36.0 (15.7, 55.6)	7187.6 (2800.3, 10458.3)	8787.8 (3560.0, 12969.4)	1.0 (0.4 , 1.5)
BAU-2	1453.9 (719, 2252.2)	18.1 (12.2, 23.8)	33.2 (14.4, 51.3)	6766.0 (2594.5, 9982.9)	8271.2 (3340.3, 12310.3)	1.0 (0.4 , 1.4)
EM-1	1855.2 (870.3, 2913.7)	23.3 (15.9, 30.5)	42.9 (18.8, 66.1)	8265.0 (3305.2, 11791.5)	10186.5 (4210.2, 14801.8)	1.2 (0.5 , 1.7)
EM-2	1228.1 (600.6, 1917.6)	15.9 (10.7, 20.9)	29.0 (12.6, 45.0)	6055.3 (2283.9, 9037.1)	7328.4 (2907.9, 11020.7)	0.9 (0.3 , 1.3)
NDRC	1573.4 (759.3, 2456.2)	21.4 (14.5, 28.1)	39.3 (17.1, 60.7)	7903.9 (3062.4, 11523.9)	9538.2 (3853.4, 14069.0)	1.1 (0.4 , 1.6)
Average	1531.0 (736.0, 2393.9)	19.7 (13.3, 25.8)	36.1 (15.7, 55.7)	7235.6 (2809.3, 10558.7)	8822.4 (3574.4, 13034.2)	1.0 (0.4 , 1.5)
CRC	100.0 (48.0, 157.1)	1.3 (0.9, 1.8)	2.4 (1.0, 3.9)	603.9 (203.6, 998.1)	707.8 (253.6, 1160.9)	0.1 (0.0 , 0.1)

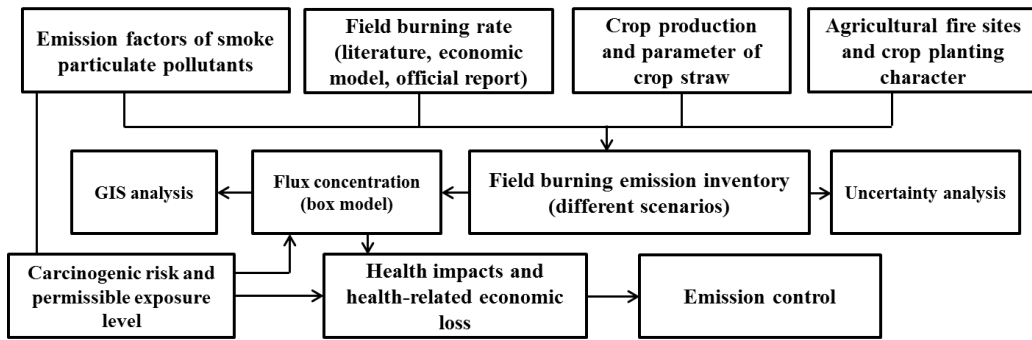


Figure 1. Schematic methodology for developing emission estimations.

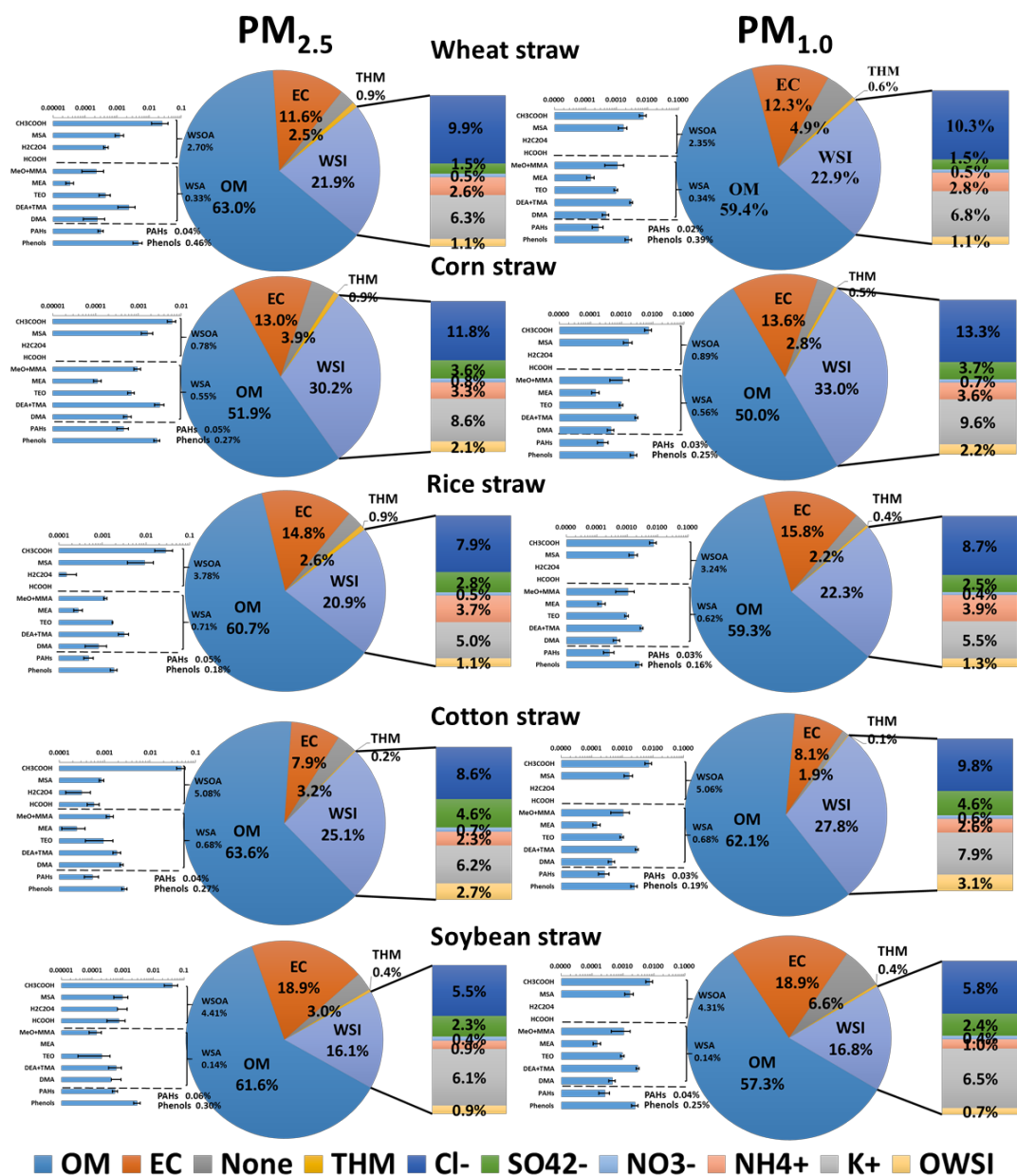


Figure 2. Chemical profiles of smoke PM_{2.5} and PM_{1.0} from 5 types agricultural residue burnings. OM (organic matter = 1.3×OC). OWSI, other water soluble ions including F⁻, NO₂⁻, Na⁺, Ca²⁺, and Mg²⁺.

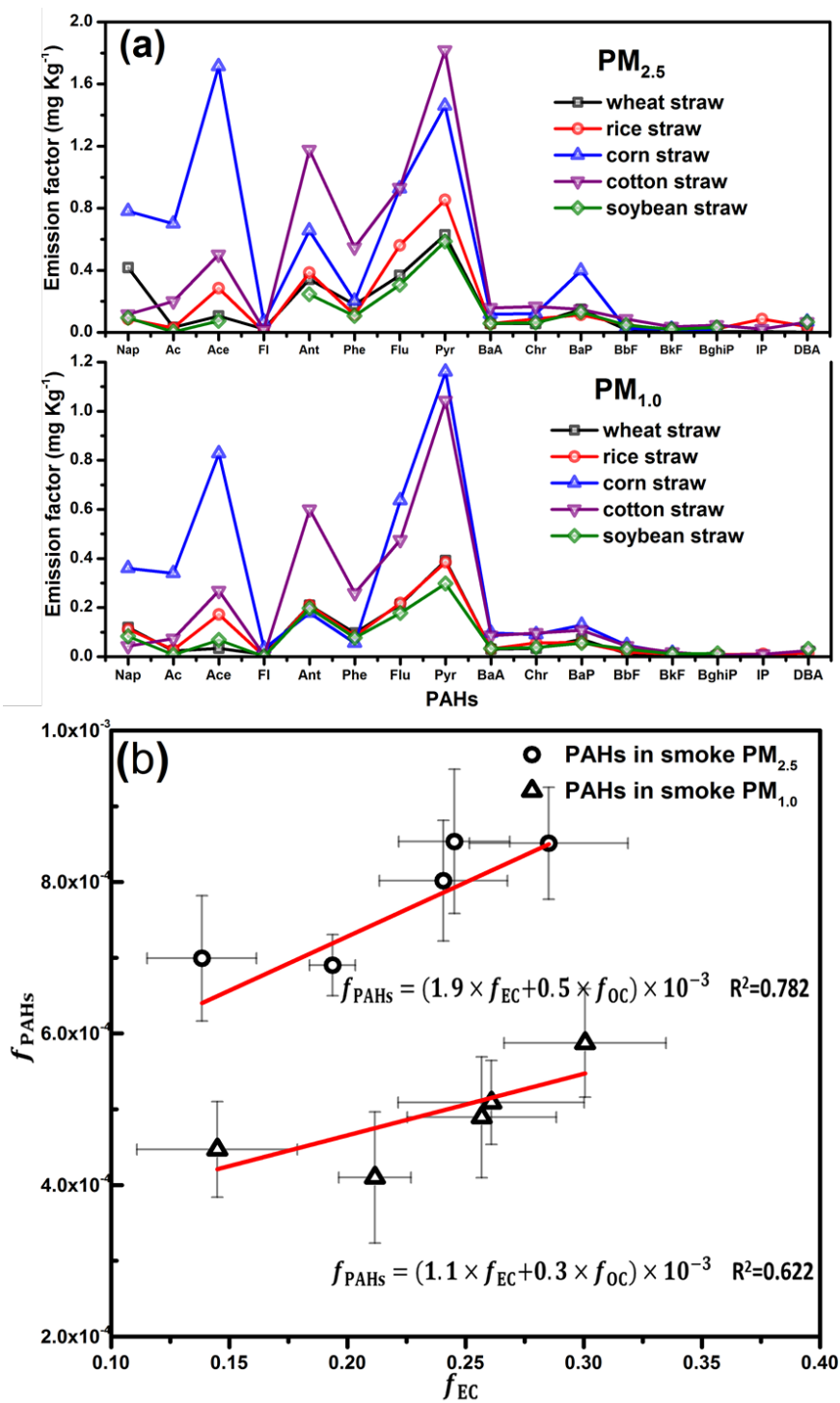


Figure 3. (a) Emission factors of 16 USEPA priority PAHs in smoke $PM_{2.5}$ and $PM_{1.0}$; (b) expulsion-accumulation of PAHs in OC-EC of smoke $PM_{2.5}$ and $PM_{1.0}$.

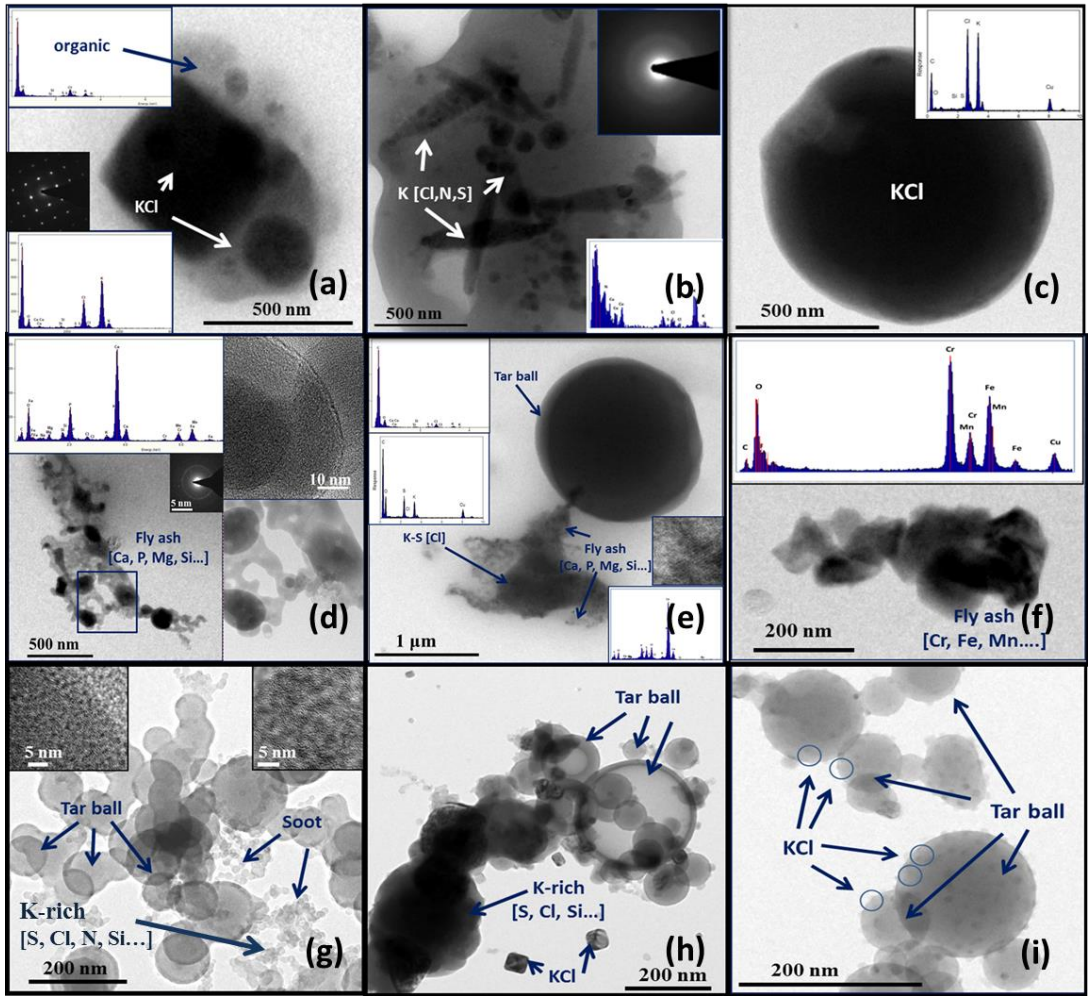


Figure 4. Transmission electron microscope (TEM) images and EDX analysis of fresh agricultural residue burning particles. (a)-(c) Crystal and amorphous KCl particles internally mixed with sulfate, nitrate, and carbonaceous materials. (d)-(f) Heavy metal-bearing fractal-like fly ash particles. (e)-(g) Chain-like soot particles and tar ball.

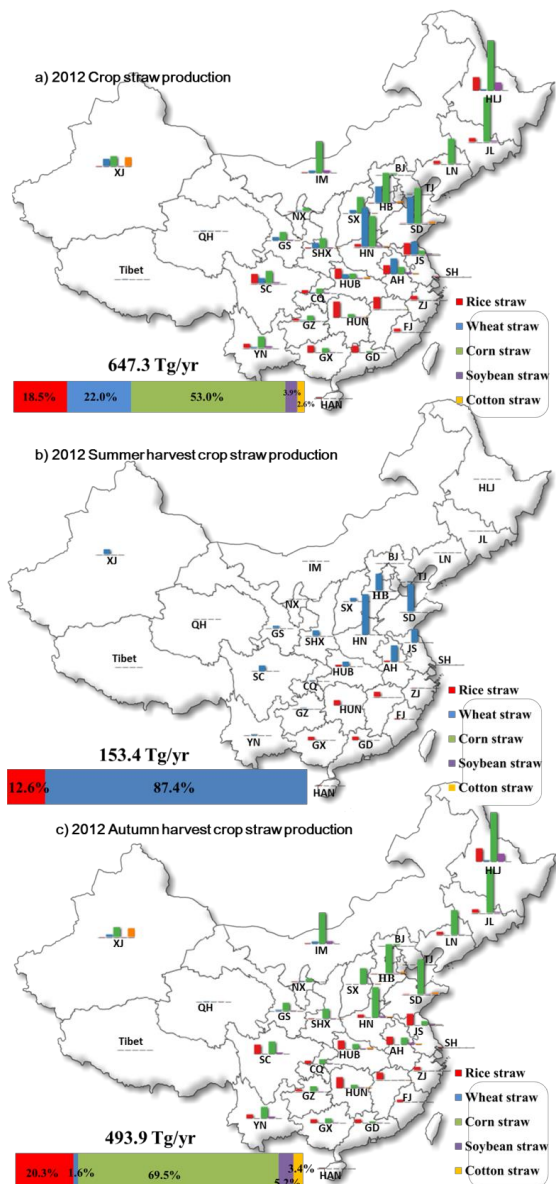


Figure 5. Annual agricultural residue production of five major crops and allocated into two harvest (summer and autumn harvest) based on agricultural yield in China, 2012. (Abbreviation, BJ: Beijing; TJ: Tianjin; HB: Hebei; SX: Shanxi; IM: Inner Mongolia; LN: Liaoning; JL: Jilin; HLJ: Heilongjiang; SH: Shanghai; JS: Jiangsu; ZJ: Zhejiang; AH: Anhui; FJ: Fujian; JX: Jiangxi; SD: Shandong; HN: Henan; HUB: Hubei; HUN: Hunan; GD: Guangdong; GX: Guangxi; HAN: Hainan; CQ: Chongqing; SC: Sichuan; GZ: Guizhou; YN: Yunnan; SHX: Shannxi; GS: Gansu; QH: Qinghai; NX: Ningxia; XJ: Xinjiang)

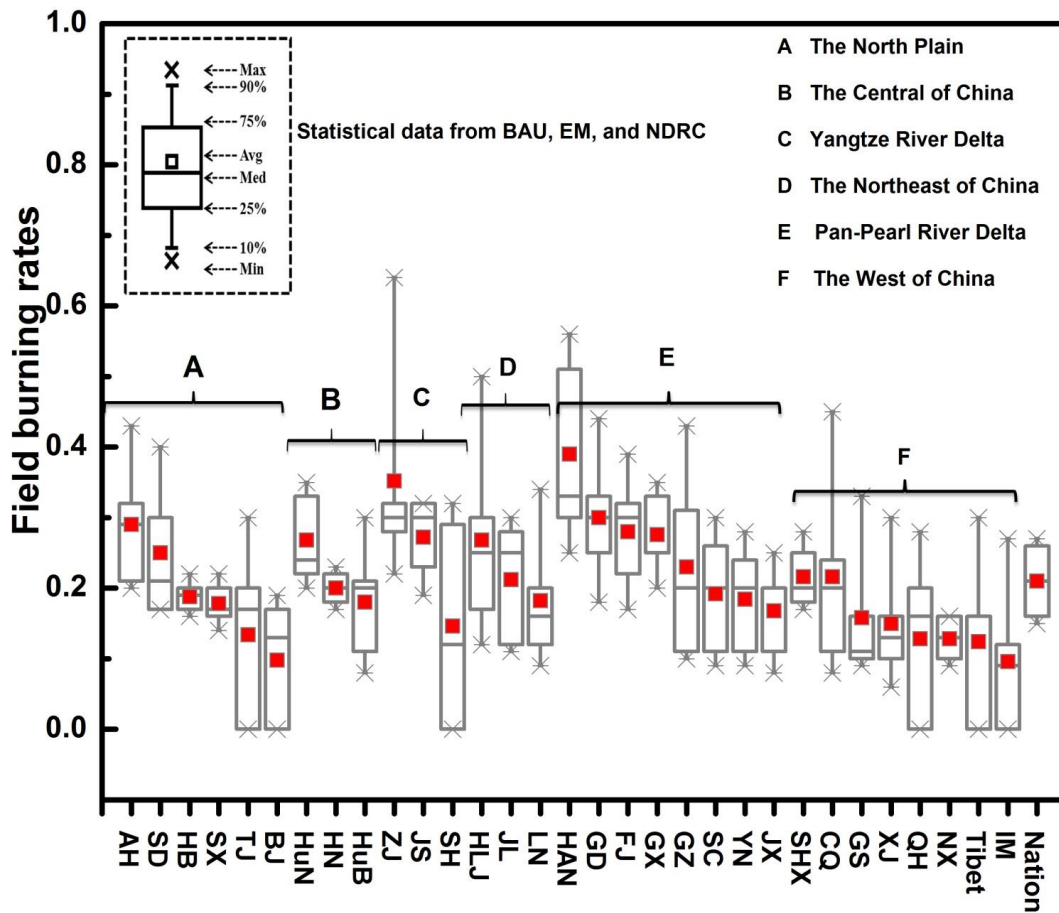


Figure 6. Statistical analysis of field burning rates from BAU, EM, and NDRC versions. The North Plain (Anhui, Shandong, Hebei, Shanxi, Tianjin, Beijing), the Central of China (Hunan, Henan, Hubei), the Yangtze River Delta (Zhejiang, Jiangsu, Shanghai), the Northeast of China (Heilongjiang, Liaoning, Jilin), the Pan-Pearl River Delta (Hainan, Guangdong, Fujian, Guangxi, Guizhou, Sichuan, Yunnan, Jiangxi), the West of China (Shannxi, Chongqing, Xinjiang, Qinghai, Ningxia, Tibet, Inner Mongolia, Gansu)

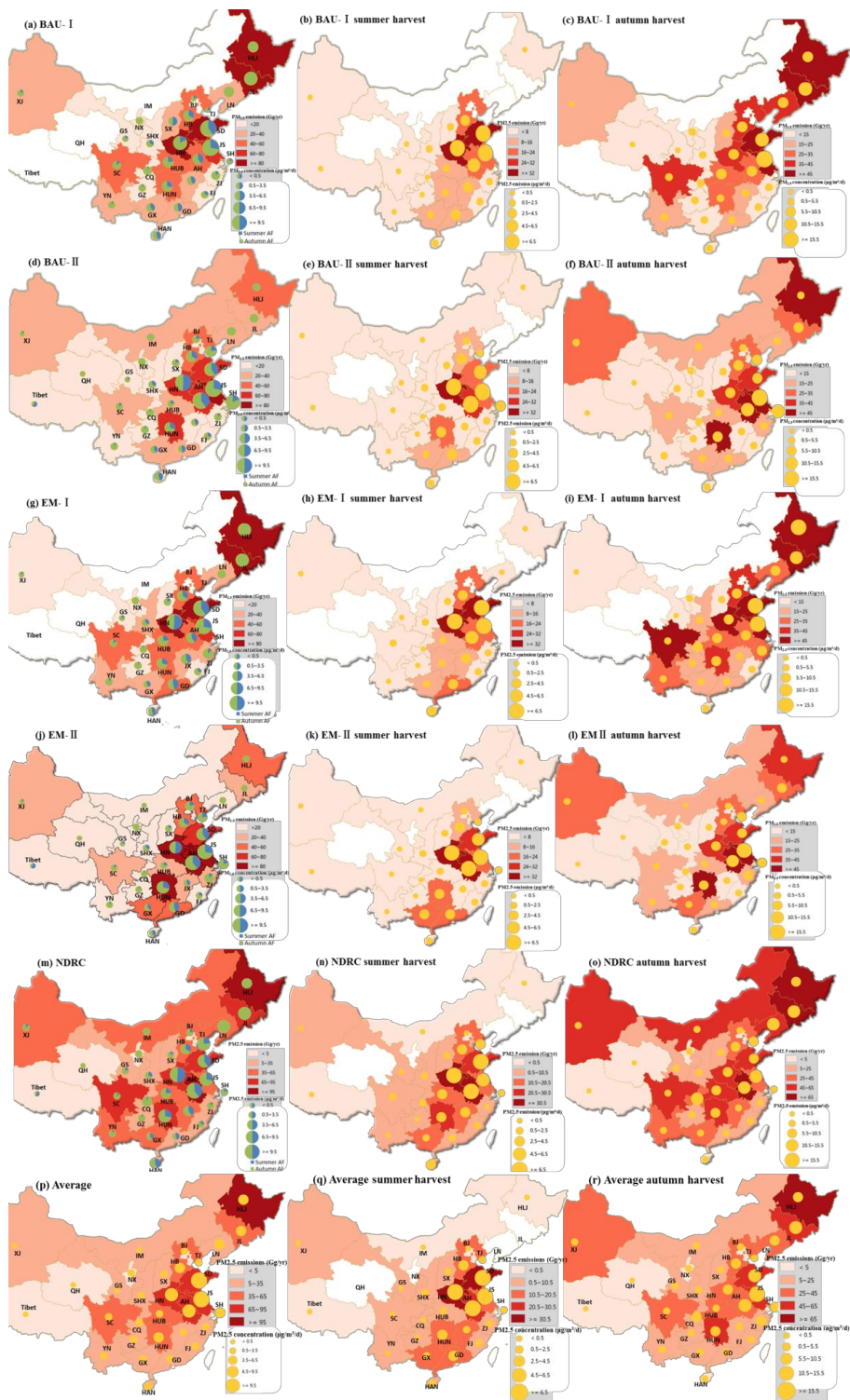


Figure 7. Spatial and temporal distribution of smoke $PM_{2.5}$ emissions and flux concentrations from agricultural field burning over China, 2012.

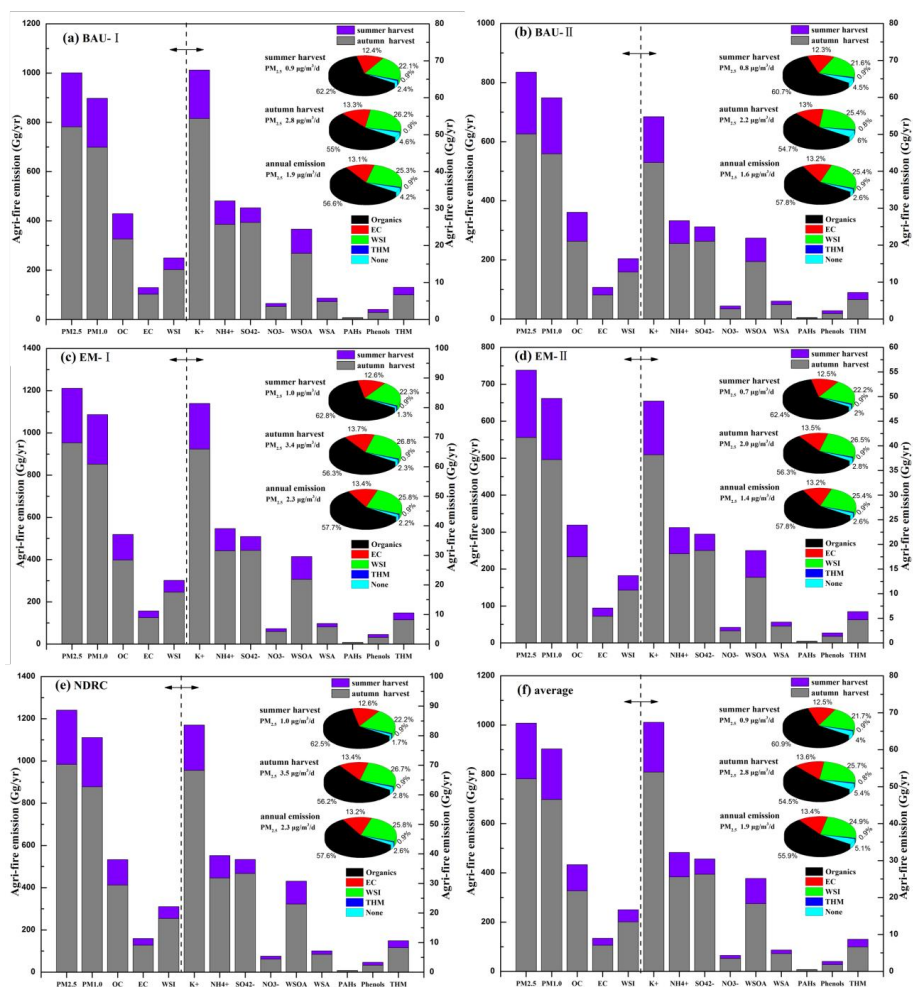


Figure 8. Nationwide $PM_{2.5}$ emissions and flux concentrations based on different burning versions. The inset pie-graphs are chemical compositions of integrated $PM_{2.5}$ from five major agricultural residue burning.