
Reply to the Interactive comments by Alan. K. Betts on 2 August, 2016

This is an interesting discussion of two IOPs during Go-Amazon, one in the wet season and the other the dry season; with three cases studies from the wet season of local, coastal and basin scale convection. It is suitable for publication with a little revision. It is written for a 'club' and needs clarity for less specialized readers.

You mention 2014-2015, but the only data you show is from 2014.

Reply: The GoAmazon2014/5 campaign is a 2-year experiment but the two IOPs that we studied were both conducted in the year 2014. This has been clarified in the revised text (lines 20-21).

Figure 1 has a 'potential site'? L93 - see below.

Reply: We have removed the unnecessary sites in the revised Figure1.

P10. Although equations (1) and (2) are based on historic literature, the units are not well defined here, and Q1, Q2 do not actually have the units of K/hr as in Figure 8. Nor does QR in (1).

Reply: Revised the equations (1), (2) and (3) as below to make the units consistent:

$$\begin{aligned} Q_1 &= \frac{1}{C_p} \left(\frac{\partial \bar{s}}{\partial t} + \bar{V} \cdot \nabla \bar{s} + \bar{\omega} \frac{\partial \bar{s}}{\partial p} \right) \\ &= \frac{1}{C_p} \left(Q_{rad} + L_v (c - e) - \frac{\partial \overline{\omega' s'}}{\partial p} \right) \end{aligned} \quad (1)$$

$$\begin{aligned} Q_2 &= -\frac{L_v}{C_p} \left(\frac{\partial \bar{q}}{\partial t} + \bar{V} \cdot \nabla \bar{q} + \bar{\omega} \frac{\partial \bar{q}}{\partial p} \right) \\ &= \frac{L_v}{C_p} \left(c - e + \frac{\partial \overline{\omega' q'}}{\partial p} \right) \end{aligned} \quad (2)$$

$$Q_1 - Q_2 - Q_{rad} = -\frac{1}{C_p} \frac{\partial \overline{\omega' h'}}{\partial p} \quad (3)$$

I did not find the Q1-Q2 discussion very satisfactory for Figure 8. Do you have an estimate for QR? I thought you had at least surface and TOA? Why did you not show Q1-Q2 for the case studies where the terms are larger, and different from Fig 8? Typically Q1-Q2-QR has been interpreted as the upward transport of moist static energy, h, by moist convection, but you do not discuss this, nor the added complexity of convection within the diurnal cycle.

Reply: As suggested, we revised Figure 8 by including Q_{rad} and add relevant discussion there (line 299-307). In the paper, Q_{rad} is estimated from using the radiative transfer model in the single-column model of CAM5 (Neale et al., 2012) driven by the large-scale forcing data derived from this study due to the lack of observations of Q_{rad} .

L314 time-lag... The vertically pointing cloud data are ‘point’ measurement (L148)? What is the effective spatial resolution of the omega field? Your discussion (L93 on) of spatial field analysis is vague, and gives no sense of the effective spatial and temporal resolution; and how the fields were effectively smoothed to get omega and other terms.

Reply: This has been clarified in lines 136-142 and 161. The cloud data are “point” measurements while the omega field (and other large-scale fields) represents an average over the analysis domain, which is ~110km in radius. The cloud data are measured at 67.8 km downwind of the center and ~170 km downwind of the east edge of the domain. Therefore, it can only see a convective system after the system propagates into the domain and is captured by the field of the view of the radar.

Reply to the Interactive comments by Enio Souza on 26 August, 2016

The authors study the behavior of some convective fields during the GoAmazon experiment, focusing on the contrast between a rainy period and a dry period. Besides, a discussion on the diurnal cycle for some cases is presented. The article worth publication after some revisions.

In line 95, the authors mention the ECMWF analysis but no word about the horizontal resolution. If the resolution is good enough, kinetic energy plays a non-neglectable role in the energy equation, and Q1 should be expressed in terms of potential temperature instead of static energy (see formulation in Yanai and Tomita, 1998, J. of Climate, p. 463).

Reply: The ECMWF analysis we got is in 0.5° resolution but the energy budget we calculate is averaging over the GOAmazon domain which is ~110km in radius (added in line 112-113). Giving that resolution, we believe that kinetic energy is neglectable in the energy equation and dry static energy is a good estimation to be used.

Another important point is the radiative heating Qrad. In studies with less time resolution, an average value can be used. One can also argue that Qrad is small compared to Q1 and Q2. However, since this study addresses both the diurnal cycle and the vertical structure of the convective heating, and Qrad do undergoes a strong diurnal cycle and presents a vertical structure that impacts on the intensity of convection, the diurnal cycle of Qrad should be properly taken into account. That variable can be easily obtained from any numerical model.

Reply: Thanks for the suggestion. Following your suggestion, we have now estimated Q_{rad} and accounted for it in the discussion of the diurnal cycle (line 271-277 and lines 299-307). In the revised paper, Q_{rad} is estimated from using the radiative transfer model in the single-column model of CAM5 (Neale et al., 2012) driven by the large-scale forcing data derived from this study due to the lack of observations of Q_{rad} .

The authors use domain-mean precipitation instead of point precipitation. In my opinion that is an outstanding advance of this study, since it provides a good framework to comparison with numerical model results for the region. Returning to the discussion of the previous paragraph, the vertical integral of Q1-Qrad, divided by the latent heat of evaporation, gives an estimative of the precipitation rate (see Eqn. 12a, by Yanai et al., 1973). This information could be easily obtained, and a comparison with the observed precipitation rate (investigating both the intensity and the correlation) could be performed.

Reply: Thank you for your comment. Because the thermodynamic equation and water vapor conservation equation are explicitly satisfied in the variational analysis and the observed precipitation is used as the constraint, the vertical integral of Q_1 -Qrad and vertical integral of Q_2 are consistent with the observed precipitation rate implicitly. We have added a sentence to make this clear (lines 217-220).

In line 215, the authors point latent cooling due to ice melting as responsible for the minimum of Q_1 observed around 600 hPa. What do the authors have to say about cumulus congestus whose top are around that level? That is a region of re-evaporation of water droplets and strong radiative flux divergence.

Reply: Thanks. We agree that besides ice melting, there could be other reasons that cause the minimum of Q_1 (or the double peak structure), such as the combining effect of lower level shallow cumulus and upper level deep convection or MCSs. The text has been revised by including these potential causes (lines 237-245):

“For Q_1 , previous studies (Johnson, 1984; Schumacher et al., 2007) interpreted the double peaks as a result from shallow cumulus in lower level and deep convection or MCS in middle to upper level, although sometimes they superposed as one peak (Johnson, 1984). Moreover, latent cooling due to ice melting in the stratiform region may also contribute to the local minimum of Q_1 which, in some field campaigns, is only shown as an inflection (Johnson et al., 2016). Nevertheless, the local minimum or the inflection usually occurs near the melting level (~600 hPa) in many other tropical field campaigns (e.g. Schumacher et al., 2008; Xie et al., 2010a; Ahmed et al., 2016), indicating that the melting level is nearly constant in the tropics.”

Reply to the Interactive comments by Julia Cohen on 13 September, 2016

The publication of this paper is relevant to the understanding of the diurnal cycle of convection in the Amazon region. However it is necessary that some doubtful points listed below are answered in order to accept the publication of this article.

Line 62: Give some reference about this paragraph.

Reply: Relevant references (Harriss et al., 1988; Harriss et al., 1990; Fu et al., 1999; Nobre et al., 2009; Filho et al., 2015) are added in the revised text (Lines 61-63)

Line 202: Greco et al, 1994 also estimated W , Q_1 and Q_2 for a Squall Line observed during ABLE-2b in the Manaus region. I recommend the authors include this pioneering reference in the Amazon. Compare your results with those found in Greco et al, 1994.

Reply: Thanks for the suggestion. We added this reference and compared the results in lines 223-227:

“Overall, the magnitude of Q_1 and Q_2 are consistent with Schumacher et al. (2007) for LBA at southwestern Brazilian Amazon but much smaller than Greco et al. (1994) at Manaus region. The much larger magnitude in Greco et al. (1994) is likely because it is a case study of one day. The peak height in this study is also lower than the other two studies, indicating that our cases contain more shallow cumulus and convections with low-level heating and drying.”

Line 273: Include the paper Greco et al, 1990 which was the first paper that characterized the LOS, BOS and COS in Manaus region (Greco et al 1990).

Reply: revised as suggested.

Line 291: One of the case studies in this paper was called COS as defined by Kouky, 1980; Greco et al, 1990; Cohen et al, 1995. Thus, to be considered as a COS, this convective system must have formed in the afternoon of March 19 along the Atlantic Coast Amazon and crossed by Manaus on March 20 in the morning. Looking at satellite images I realized that actually formed a squall line on the coast in March 19, but during its propagation inland this convective system lost its linear format and did not reach Manaus region. Therefore, I recommend that the convective system observed March 20 be classified as BOS, since even in the satellite images it does not represent a COS classic. Thus, I ask you to choose one of two cases of BOS to use in this publication.

Reply: We re-examined the radar and satellite images and agree that the March 20 case is classified as BOS. Another COS case on Feb. 21 is chosen for analysis and the numbers of convective systems are also re-counted. Relevant table and figures are revised due to this change (Table 1, Figures 9 and 11). Our main results are not changed due to this revision.

1 **Large-Scale Vertical Velocity, Diabatic Heating and Drying**
2 **Profiles Associated with Seasonal and Diurnal Variations of**
3 **Convective Systems Observed in the GoAmazon2014/5**
4 **Experiment**

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17 **Abstract.** This study describes the characteristics of large-scale vertical velocity, apparent
18 heating source (Q_1) and apparent moisture sink (Q_2) profiles associated with seasonal and diurnal
19 variations of convective systems observed during the two intensive operational periods (IOPs)
20 that was conducted from 15 February to 26 March 2014 (wet season) and from 1 September to
21 10 October 2014 (dry season) near Manaus, Brazil, during of the Green Ocean Amazon
22 (GoAmazon2014/5) experiment, ~~which was conducted near Manaus, Brazil in 2014 and 2015.~~

23 The derived large-scale fields have large diurnal variations according to convective activity in
24 the GoAmazon region and the morning profiles show distinct differences between the dry and
25 wet seasons. In the wet season, propagating convective systems originating far from the
26 GoAmazon region are often seen in the early morning, while in the dry season, they are rarely
27 observed. Afternoon convective systems due to solar heating are frequently seen in both seasons.
28 Accordingly, in the morning, there is strong upward motion and associated heating and drying
29 throughout the entire troposphere in the wet season, which is limited to lower levels in the dry

30 season. In the afternoon, both seasons exhibit weak heating and strong moistening in the
31 boundary layer related to the vertical convergence of eddy fluxes. A set of case studies of three
32 typical types of convective systems occurring in Amazonia - i.e., locally-occurring systems,
33 coastal-occurring systems and basin-occurring systems - is also conducted to investigate the
34 variability of the large-scale environment with different types of convective systems.

35 **1. Introduction**

36 Amazonia is one of the major tropical convective regions in the global climate system. It
37 provides moisture to the global hydrological cycle and energy to drive the global atmospheric
38 circulation. Understanding convective systems over the Amazon region through observations is
39 important for understanding and simulating global circulation and climate. However, most of
40 Amazonia is covered by tropical forest with only a few observational sites. In order to collect
41 the observations needed to improve our understanding of convective systems over Amazonia,
42 several major field campaigns have been conducted in this area such as the Amazon Boundary
43 Layer Experiments (Harriss et al., 1988; Harriss et al., 1990), the Large-Scale Biosphere-
44 Atmosphere Experiment in Amazonia (LBA) (Silva Dias et al., 2002b), and the CHUVA project
45 (Machado et al., 2014).

46 Recently, an internationally collaborative experiment, the Observations and Modeling of
47 the Green Ocean Amazon (GoAmazon2014/5) (Martin et al., 2016), was conducted in the region
48 around Manaus, Brazil from January 2014 to December 2015 with a focus on the aerosol and
49 cloud life cycles and aerosol-cloud-precipitation interactions over tropical rainforests. Two 40-
50 day Intensive Operational Periods (IOPs) were conducted [in 2014](#) to investigate the seasonal
51 variations of clouds and aerosols, as well as their interactions. IOP1 took place from 15
52 February to 26 March 2014 during the wet season, and IOP2 took place from 1 September to 10
53 October 2014 during the dry season. The goal of this study is to document and understand the
54 seasonal variability and diurnal cycle of large-scale vertical velocity, heat and moisture budgets
55 associated with the convective systems observed during the two IOPs in the GoAmazon2014/5
56 experiment.

57 The Amazon region has a significant seasonal variation in precipitation amount. Rainfall
58 is approximately 300 mm per month during the wet season while it is close to 100 mm per month
59 during the dry season (Tanaka et al., 2014). Many studies have examined the seasonal variation
60 of clouds and precipitation in Amazonia (~~e.g. Fu et al., 2001; Schumacher and Houze, 2003;~~
61 ~~Machado et al., 2004; Li et al., 2006; Marengo et al., 2012).~~ (e.g. Harriss et al., 1988; Harriss et
62 al., 1990; Fu et al., 1999; Fu et al., 2001; Schumacher and Houze, 2003; Machado et al., 2004; Li
63 et al., 2006; Nobre et al., 2009; Marengo et al., 2012; Filho et al., 2015). Compared to the large
64 variation in clouds and rainfall, the seasonal variation in CAPE is small (Machado et al., 2004;
65 Martin et al., 2016), ~~which implies~~ Martin et al. (2016) suggests that small perturbations in the
66 large-scale circulation can drive dramatic changes in hydrological fields in this region. Few
67 studies, however, have studied the seasonal variation of the diabatic heating and drying
68 structures associated with the convective systems in the Amazon region.

69 The diurnal cycle of the atmosphere is an important feature that is poorly simulated in
70 climate models. Many efforts have been made to observe and to understand the diurnal cycle
71 over the Amazon basin using surface observations (e.g. Harriss et al., 1990; Cutrim et al., 2000;
72 Machado et al., 2004; Tanaka et al., 2014) or satellite data (e.g. Minnis and Harrison, 1984;
73 Greco et al., 1990; Janowiak et al., 2005; Burleyson et al., 2016). The diurnal cycle over the
74 Amazon basin is complex because it is affected by three types of convective systems: locally-
75 occurring systems (LOS) generated locally in the form of small convective cells (area less than
76 1000 km²) with short life time (on the order of 1 hour), coastal-occurring systems (COS)
77 initialized at the northeast coast of Brazil by the sea-breeze and propagating inland as squall lines,
78 and basin-occurring systems (BOS) initialized in the Amazon basin in the form of mesoscale
79 convective systems (MCS) with areas larger than 1000 km² (Greco et al., 1990). These systems

80 reach Manaus, near the center of the Amazon basin, at different times of the day, causing a broad
81 peak of precipitation from morning to early afternoon (e.g. Machado et al., 2004; Tanaka et al.,
82 2014; Burleyson et al., 2016). Schumacher et al. (2007) examined the diurnal cycle of the large-
83 scale Q_1 -heating budget in the southwest Amazon during LBA, but used only two profiles per
84 day, which do not capture the rapidly changing environment. In addition, the diurnal cycle over
85 the highly deforested southwest Amazon is not necessarily representative of the more pristine
86 central Amazonian rainforest.

87 In this study we use data collected from the comprehensive GoAmazon2014/5 field
88 campaign to examine the seasonal and diurnal variations of the large-scale vertical velocity and
89 heat and moisture budgets associated with the convective systems that occur in central Amazonia.
90 Section 2 provides details of the data and method used to derive the large-scale profiles for the
91 GoAmazon2014/5 experiment. Section 3 describes the synoptic conditions for the two IOPs.
92 Sections 4 and 5 show the seasonal variation and diurnal cycle of the large-scale fields,
93 respectively. Section 6 further investigates three selected cases representing different types of
94 convective systems in the wet season. The summary and discussion are given in Section 7.

95

96 **2. Data and Method**

97 Due to the lack of an appropriate sounding array to capture the divergence and advection
98 fields in the analysis domain, the large-scale vertical velocity and budgets analyzed in this study
99 were derived by using, as a first guess, the European Centre for Medium-Range Weather
100 Forecasts (ECMWF) analysis data that are subsequently constrained with domain averaged
101 surface and top of atmosphere (TOA) observations. The upper-level fields from ECMWF

102 analysis data are adjusted to conserve the vertical integration of mass, moisture and dry static
103 energy through a constrained variational analysis technique described in Zhang and Lin (1997)
104 and Zhang et al. (2001). As indicated in Xie et al. (2004), the use of the surface and TOA
105 observations as constraints improves the quality of the large-scale vertical velocity and budgets
106 in operational analysis data and makes the data suitable for budget analysis and cloud modeling
107 studies. An important by-product of this study is the derived large-scale forcing data (ARM
108 | Climate Research Facility, 2001) supporting modeling studies, which are available to the
109 community at the Atmospheric Radiation Measurement (ARM) program Archive
110 (http://iop.archive.arm.gov/arm-iop/0eval-data/xie/scm-forcing/iop_at_mao/).

111 Figure 1 shows the location of the GoAmazon2014/5 experiment and the analysis domain
112 | (the red octagon, referred to as the GoAmazon domain) used in this study, which is about 110
113 km in radius. The observational research sites and major cities near the region are also shown on
114 the map. The required surface and TOA fluxes as the constraints for the variational analysis are
115 | constructed as follows. The precipitation used in this study is derived from the System for the
116 Protection of Amazonia (SIPAM) S-band (10 cm wavelength) radar operated at Ponta Pelada
117 airport, the center of the GoAmazon domain. The SIPAM radar reflectivity constant altitude
118 plan position indicator (CAPPI) at 2.5 km above ground was used to generate the rain rate
119 products using a single Z-R relation of $Z = 174.8R^{1.56}$ derived from Joss-Waldvogel disdrometer
120 data obtained by the CHUVA campaign near Manacapuru during the wet season of early 2014.
121 Other surface constraint variables, such as surface radiative fluxes and latent and sensible heat
122 fluxes, are obtained from the broadband radiometer (ARM Climate Research Facility, 1994) and
123 eddy correlation flux measurement system (ARM Climate Research Facility, 2003) at the ARM
124 Mobile Facility site near Manacapuru (3.213°S, 60.598°W; “ARM site” in Figure 1).

125 Observations of latent and sensible heat fluxes at two other Brazilian research sites - K34
126 (“~~FLUXNET-BR-Ma2ZF2~~” in Figure 1) and the Amazon Tall Tower Observatory (“ATTO
127 ~~Tower~~” in Figure 1) - are also used. ~~Because of the limited number of surface sites, it is~~
128 ~~challenging to obtain domain mean fluxes that can well represent the analysis domain. In this~~
129 ~~study, we use the Cressman’s objective analysis method (Cressman, 1959) to incorporate these~~
130 ~~limited observations into the analysis with the ECMWF analysis as the first guess.~~The TOA
131 measurements of broadband radiative fluxes are estimated from the Thirteenth Geostationary
132 Operational Environmental Satellite (GOES-13) 4-km visible (0.65 μm) and infrared window
133 (10.8 μm) radiances using the narrowband-to-broadband (NB-BB) conversion method of Minnis
134 and Smith (1998) that was updated similar to Khaiyer et al. (2010), with some modifications to
135 more closely match those measured by the Clouds and Earth’s Radiant Energy System (CERES)
136 on the Aqua and Terra satellite. The radar precipitation and satellite data are 3-hourly average
137 over the analysis domain. The surface radiative fluxes and latent and sensible heat fluxes are
138 first averaged into 3-hour resolution. Then we use the Cressman’s objective analysis method
139 (Cressman, 1959) to incorporate these limited number of observations with the ECMWF gridded
140 analysis and calculate the domain mean, so that the domain-mean surface fluxes can better
141 represent the entire domain. The derived large-scale vertical velocity and budgets are thus
142 representing a 3-hour average over analysis domain. The vertical resolution is 25 hPa. All data
143 are interpolated into 3 h and 25 hPa (if applicable) temporal and vertical resolutions, respectively.

144

145 3. Background of Synoptic Conditions

146 The IOP-averaged sea-level pressure and 10-meter horizontal winds from ERA-Interim
147 reanalysis (Dee et al., 2011) are plotted in Figure 2. During IOP1, the Atlantic Intertropical
148 Convergence Zone (ITCZ) was located near the Equator; while during IOP2, it was located near
149 10°N. A fourteen-day trajectory study shows that the air masses over Manaus typically come
150 from the Northern Hemisphere during IOP1 and from the Southern Hemisphere during IOP2
151 (Martin et al., 2016). The top three rows of Figure 3 show the domain-averaged zonal (u) wind,
152 meridional (v) wind, and relative humidity relative to liquid water, from the adjusted ECMWF
153 analysis. Consistent with those derived from radiosonde data in Martin et al. (2016), IOP1 was
154 dominated by northeasterly winds in the lower troposphere, with moist air throughout the
155 troposphere; IOP2 was dominated by easterly winds in the lower troposphere, with a dry free
156 troposphere.

157 The cloud frequency and domain-mean precipitation observed during IOP1 and IOP2 are
158 shown in the remaining two rows of Figure 3. The cloud frequency was derived from the Active
159 Remote Sensing of Clouds (ARSCL) (Kollias et al., 2007) product, which uses a combination of
160 the 95GHz W-band ARM cloud radar (WACR), micropulse lidar (MPL), and ceilometer located
161 at the ARM site [pointing upward](#) to determine a best-estimate cloud mask [above the ARM site](#)
162 with 5-second temporal and 30-meter vertical resolution. The ARSCL product leverages each
163 instrument's strengths: the WACR penetrates non-precipitating [and weakly precipitating](#) thick
164 clouds, the MPL is sensitive to thin clouds, and the ceilometer reliably detects cloud base. The
165 ARSCL-derived cloud mask data were then used to produce 3-hourly cloud frequencies
166 following the method described in Xie et al. (2010b). The wet season has more cloud and
167 precipitation events than the dry season. However, the convective systems in the dry season are
168 typically more intense than those occurring in the wet season (Giangrande et al., 2016).

169 Compared to 15-year climatology, the precipitation around Manaus during 2014 has a positive
170 anomaly in IOP1 and negative anomaly in IOP2 (Burleyson et al., 2016; Martin et al., 2016).
171 Nevertheless, the annual cycle in 2014 is still broadly representative of the climatology
172 (Burleyson et al., 2016).

173

174 **4. Seasonal Variation**

175 In this section, we focus on the contrast between the dry and wet season large-scale
176 vertical velocity and energy and moisture budgets. The upper row of Figure 4 shows the
177 temporal evolution of large-scale vertical velocity in IOP1 (wet season, left) and IOP2 (dry
178 season, right), and the IOP-mean profiles are shown as the black solid lines in the bottom row.
179 We also define rainy (black dotted lines) and non-rain periods (gray lines) using a threshold of
180 0.2 mm hr^{-1} . A value of 0.2 mm hr^{-1} rather than 0 mm hr^{-1} is used because in some cases ground
181 clutter in the SIPAM radar data may be misinterpreted as light precipitation. Changing the
182 threshold affects the magnitude of the vertical profiles but does not change the seasonal contrast
183 and the results of this study. Using this threshold, the percentage of the rainy period to the entire
184 IOP is 36.9% for IOP1, but is 17.8% for IOP2, indicating that the rain frequency is an important
185 factor impacting the seasonal mean contrast. The red and blue lines represent the mean profiles
186 of morning (at 5 local time (LT)) precipitation systems and afternoon (at 14 LT) precipitation
187 systems, respectively, which will be discussed in Section 5.

188 The non-rain vertical velocity profiles are relatively weak, with downward motion
189 dominating in the upper troposphere during both dry and wet seasons. The rainy vertical
190 velocity profiles show strong upward motion throughout the troposphere during both IOPs, but

191 the level of maximum upward motion is different. The upward motion during the rainy period of
 192 IOP1 has a broad peak structure from ~700 to 300 hPa with the maximum at ~350 hPa. The
 193 350-hPa upward motion peak is consistent with that shown in the Tropical Ocean and Global
 194 Atmosphere Coupled Ocean-Atmosphere Response Experiment (TOGA COARE) (Lin and
 195 Johnson, 1996), but lower than the peak of ~265 hPa observed in the Tropical Warm Pool-
 196 International Cloud Experiment (TWP-ICE) (Xie et al., 2010a). The upward motion during the
 197 IOP2 rainy period also has a broad peak but the maximum is at a much lower level (~550 hPa)
 198 than in IOP1. Because the frequency of the rainy period is higher in IOP1 than in IOP2, the IOP-
 199 mean upward motion is stronger during IOP1 but weaker and limited to the lower troposphere
 200 during IOP2. As discussed in the next section, the difference in morning precipitation systems
 201 largely contributes to the seasonal contrast in the vertical velocity profiles between the wet and
 202 dry seasons.

203 Figures 5 and 6 show the temporal evolution and IOP-mean of apparent heating Q_1 and
 204 apparent drying Q_2 profiles, respectively. Q_1 and Q_2 were first introduced by Yanai et al. (1973)
 205 to estimate the diabatic processes:

$$\begin{aligned}
 Q_1 &= \frac{\partial \bar{s}}{\partial t} + \bar{V} \cdot \nabla \bar{s} + \bar{\omega} \frac{\partial \bar{s}}{\partial p} & Q_1 &= \frac{1}{C_p} \left(\frac{\partial \bar{s}}{\partial t} + \bar{V} \cdot \nabla \bar{s} + \bar{\omega} \frac{\partial \bar{s}}{\partial p} \right) \\
 &= Q_{rad} + L_v (c - e) - \frac{\partial \bar{\omega}' s'}{\partial p} & &= \frac{1}{C_p} \left(Q_{rad} + L_v (c - e) - \frac{\partial \bar{\omega}' s'}{\partial p} \right)
 \end{aligned}
 \tag{1}$$

Field Code Changed

208

$$Q_2 = -L_v \left(\frac{\partial \bar{q}}{\partial t} + \bar{V} \cdot \nabla \bar{q} + \bar{\omega} \frac{\partial \bar{q}}{\partial p} \right) Q_2 = -\frac{L_v}{C_p} \left(\frac{\partial \bar{q}}{\partial t} + \bar{V} \cdot \nabla \bar{q} + \bar{\omega} \frac{\partial \bar{q}}{\partial p} \right)$$

$$= L_v (c - e) + L_v \frac{\partial \omega' q'}{\partial p} = \frac{L_v}{C_p} \left(c - e + \frac{\partial \omega' q'}{\partial p} \right)$$

209 (2)

Field Code Changed

210 where $s = C_p T + gz$ is the dry static energy and C_p is the specific heat for dry air in constant
 211 pressure; q is water vapor mixing ratio; \bar{V} is horizontal wind vector; ω is vertical velocity in
 212 pressure coordinate; Q_{rad} is radiative heating; $L_v(c - e)$ is the latent heat from water
 213 condensation and evaporation (in general it also includes the latent heat and water vapor change
 214 from ice phase change); the overbar refers to a horizontal average and the prime refers to a
 215 deviation from the average. Q_1 and Q_2 are calculated from the large-scale dynamics (the first
 216 lines of the equations) and represent the unresolved physical heat sources and moisture sinks (the
 217 second lines). Because the thermodynamic equation and water vapor conservation equation are
 218 explicitly satisfied in the variational analysis and the observed precipitation is used as the constraint, the
 219 vertical integral of $Q_1 - Q_{rad}$ and vertical integral of Q_2 are consistent with the observed precipitation rate
 220 implicitly. The vertical distributions of heating and drying profiles are important to the large-
 221 scale circulation as discussed in many other studies (e.g. Hartmann et al., 1984; Lau and Peng,
 222 1987; Puri, 1987; Hack and Schubert, 1990).

Field Code Changed

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223 Overall, the magnitude of Q_1 and Q_2 are consistent with Schumacher et al. (2007) for
 224 LBA at southwestern Brazilian Amazon but much smaller than Greco et al. (1994) at Manaus
 225 region. The much larger magnitude in Greco et al. (1994) is likely because it is a case study of
 226 one day. The peak height in this study is also lower than the other two studies, indicating that
 227 our cases contain more shallow cumulus and convections with low-level heating and drying.

228 Similar to the profiles of vertical velocity, non-rain Q_1 and Q_2 profile magnitudes in both
229 IOPs are weak with small amounts of heating and moistening below 600 hPa indicative of non-
230 precipitating or very weakly precipitating shallow cumulus and congestus clouds (Schumacher et
231 al., 2008). Rainy period Q_1 and Q_2 profiles show strong heating and drying throughout the
232 troposphere during both IOPs associated with deep convection, and both of them have double
233 peak structures that vary between dry and wet seasons. Q_1 during IOP1 has a broad primary
234 peak between 600 and 400 hPa, while the primary Q_1 peak during IOP2 maximizes more sharply
235 at 550 hPa. The secondary peaks of Q_1 are at ~750 hPa in both IOPs. The peaks of Q_2 in IOP1
236 (at 500 and 750 hPa) are higher than those in IOP2 (at 650 and 800 hPa). The double peak
237 features of Q_1 and Q_2 are likely due to different physical processes. For Q_1 , [previous studies](#)
238 [\(Johnson, 1984; Schumacher et al., 2007\) interpreted the double peaks as a result from shallow](#)
239 [cumulus in lower level and deep convection or MCS in middle to upper level, although](#)
240 [sometimes they superposed as one peak \(Johnson, 1984\). Moreover, latent cooling due to ice](#)
241 [melting in the stratiform region may also contribute to the local minimum of \$Q_1\$ which, in some](#)
242 [field campaigns, is only shown as an inflection \(Johnson et al., 2016\). Nevertheless, the local](#)
243 [minimum or the inflection usually occurs near the melting level \(~600 hPa\) in many other](#)
244 [tropical field campaigns \(e.g. Schumacher et al., 2008; Xie et al., 2010a; Ahmed et al., 2016\),](#)
245 [indicating that the melting level is nearly constant in the tropics.](#) ~~the local minimum usually~~
246 ~~occurs near the melting level (~600 hPa), indicating latent cooling due to ice melting. Because~~
247 ~~the melting level is nearly constant in the tropics, the local minimums of Q_1 are more or less at~~
248 ~~the same level as seen in other tropical field campaigns (e.g. Schumacher et al., 2008; Xie et al.,~~
249 ~~2010a).~~ For Q_2 , the double-peak structure is the combined effect of convective (lower peak) and
250 stratiform (higher peak) rain production (Lin and Johnson, 1996). The peak levels for stratiform

251 and convective clouds may vary in different locations and times such as in the two IOPs in this
 252 study.

253

254 5. Diurnal Cycle

255 The diurnal cycles of domain mean radar-derived precipitation and surface CAPE and
 256 convective inhibition (CIN) for both IOPs are plotted in Figure 7. Precipitation in IOP1 extends
 257 from early morning to afternoon, consistent with Tanaka et al. (2014). In IOP2, most of the
 258 precipitation occurs in the afternoon. The magnitude of afternoon precipitation in IOP2 is just
 259 slightly smaller than that in IOP1, but the magnitude of morning precipitation in IOP2 is
 260 significantly lower than that in IOP1, indicating that the differences between dry and wet seasons
 261 are mainly due to the morning precipitation events. The surface CAPE has similar magnitudes in
 262 the daytime during IOP1 and IOP2, but in the early morning it rises later and slower during IOP1
 263 than during IOP2, probably because early morning precipitation during IOP1 has released
 264 atmospheric instability. The surface CIN is typically small, especially during IOP1, which is due
 265 to the high surface relative humidity over the Amazon rainforest.

266 The diurnal cycles of cloud frequency, large-scale vertical velocity, Q_1 , Q_2 and $Q_1 - Q_2$
 267 $Q_1 - Q_2 - Q_{rad}$ for IOP1 (left) and IOP2 (right) are shown in Figure 8. Derived from Eq. (1) and
 268 (2),

$$269 \quad \cancel{Q_1 - Q_2 - Q_{rad}} - \frac{\partial \overline{\omega' h'}}{\partial p} Q_1 - Q_2 - Q_{rad} = -\frac{1}{C_p} \frac{\partial \overline{\omega' h'}}{\partial p}$$

270 (3)

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271 where $h = s + L_v q$ is the moist static energy, and Q_{rad} is estimated from using the radiative
272 transfer model in the single-column model of CAM5 (Neale et al., 2012) driven by the large-
273 scale forcing data derived from this study since it cannot be directly measured and retrievals for
274 Q_{rad} using observed vertical cloud profiles (Feng et al., 2014) have not been available yet. .
275 With the ~~phase change of water vapor cancelled~~freezing and melting processes ignored,
276 $Q_1 - Q_2 - Q_{rad}$ ~~$Q_1 - Q_2$~~ represents the radiative effect and the vertical convergence of eddy fluxes
277 h by sub-grid turbulence and cumulus.

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278 Consistent with the diurnal cycles of precipitation, the observed clouds and large-scale
279 vertical velocity differ primarily in the morning between IOP1 and IOP2. In IOP1, the early
280 morning upward motion peaks at 700 hPa and extends to the upper troposphere around 200 hPa.
281 The early afternoon upward motion peaks at the upper troposphere and extends above 100 hPa.
282 Accordingly, clouds are mainly seen between 800 and 500 hPa in the early morning but
283 throughout the entire troposphere in the afternoon. In IOP2, morning convective systems are
284 generally limited to the lower levels, as shown by weak upward motion below 600 hPa and
285 downward motion above. Thus, few clouds are observed in the lower and middle troposphere
286 while some high clouds remain from the previous day's convective activities. The afternoon
287 convective systems are strong and deep in both IOPs, with upward motion in the upper
288 troposphere associated with convective cloud growth and downward motion in the lower
289 troposphere associated with convective downdrafts.

290 Consistent with the clouds and vertical velocity, Figure 8 also shows significant seasonal
291 differences of Q_1 and Q_2 profiles in the morning, with heating and drying extending to the upper
292 troposphere in IOP1 but cooling and moistening above 600-650 hPa in IOP2. In the afternoon,

293 both IOPs show strong heating and drying in the middle and upper troposphere with weak
 294 heating and strong moistening occurring below 700 hPa. The low-level heating and moistening
 295 feature has been observed in trade wind regimes during westerly wind bursts and monsoon break
 296 periods (Nitta and Esbensen, 1974; Lin and Johnson, 1996; Johnson and Lin, 1997; Xie et al.,
 297 2010a), in which the vertical convergence of eddy fluxes and detrainment of shallow cumulus
 298 were considered as the causes. In this study it is also seen in the afternoon precipitating periods
 299 (red lines in Figure 5 and 6). To further investigate this feature, $Q_1 - Q_2 - Q_{rad}$ is shown in t
 300 last row of Figure 8. $Q_1 - Q_2$ where t two positive $Q_1 - Q_2 - Q_{rad}$ centers are seen during
 301 daytime at ~750 to 950 hPa and ~250 to 550 hPa, respectively. Considering the two terms in the
 302 right hand side of Eq. (3) It is likely that the positive $Q_1 - Q_2 - Q_{rad}$ in the lower level (below 600
 303 hPa) is mainly due to the vertical convergence of h by boundary layer turbulence and shallow
 304 cumulus. The positive $Q_1 - Q_2 - Q_{rad}$ in the upper troposphere (above 600 hPa) may be due to
 305 the vertical convergence of h by deep convective process. Note that $Q_1 - Q_2 - Q_{rad}$ also includes
 306 latent heat from ice freezing and melting, which may contribute to the local minimum around
 307 600 hPa., the troposphere usually has a radiative cooling effect and therefore Q_{rad} is usually
 308 negative. The positive $Q_1 - Q_2$ has to be due to the vertical convergence of eddy fluxes of moist
 309 static energy associated with convective process, where positive Q_1 comes from vertical
 310 convergence of dry static energy flux, and negative Q_2 comes from vertical convergence of
 311 moisture flux.

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313 6. Case Studies

314 A set of case studies is conducted to further understand the large-scale vertical velocity
315 and heat and moisture budgets for the three typical types of convective systems ([Greco et al.,](#)
316 [1990](#)) that often occur in the wet season in Amazonia: locally-occurring systems (LOS), coastal-
317 occurring systems (COS), and basin-occurring systems (BOS). Previous studies have found that
318 LOS often occur in the afternoon characterized as scattered convections generated through solar
319 heating at the surface, while most COS and BOS are propagating systems associated with mid-
320 level easterlies and westerlies, respectively (e.g. Cifelli et al., 2002; Silva Dias et al., 2002a;
321 Williams et al., 2002), and affect Manaus in the early morning. COS occurring in easterlies are
322 often westward propagating squall-lines with intense leading lines that are more vertically
323 developed. BOS generated in the westerlies are generally less vertically developed MCSs with a
324 broad horizontal area and relatively homogeneous precipitation extending over a long time
325 (Cifelli et al., 2002). Table 1 gives the number of each type of precipitation system observed
326 during the two IOPs, identified from the radar loop (available at
327 https://www.youtube.com/playlist?list=PLVqbwaasm1vtcu2kl_U5RaaNF0kYqW6ua) and the
328 satellite infrared images (available at <http://www-pm.larc.nasa.gov/>). ~~The two BOS cases~~
329 ~~identified in IOP2 both occurred in the Amazon basin, but~~ There are some cases in the easterlies
330 identified as BOS because they initiated in the Amazon basin but their structures are more like
331 COS as squall lines ~~propagating westward.~~ ~~There are m~~More COS and BOS are seen in IOP1
332 than in IOP2, but the number of afternoon LOS in IOP1 is just slightly higher than that in IOP2.
333 This again indicates that the frequency of morning propagating convective systems contributes to
334 the variation of the diurnal cycle between the wet and dry seasons.

335 The three selected cases are a LOS starting from 11 LT, 13 March 2014, a COS starting
336 from ~~223~~ LT, 20 ~~March-February~~ 2014 and a BOS starting from 17 LT, 1 March 2014. The

337 times of these events are marked by the black lines in Figure 3. Mid-level wind was dominated
338 by westerlies on 1 March (day 60) and easterlies on 20 ~~March-February~~ (day ~~7951~~). Figure 9
339 shows representative scans of the radar reflectivity at elevation angle of 0.9° for these three cases,
340 as well as the time series of the domain mean precipitation. The LOS case has many small-scale
341 scattered convective cells that last for very short times (typically a couple of hours). Because of
342 the small horizontal coverage of the convective cells, the domain mean precipitation is less than
343 that in the other two cases. The COS case has a clear bow-shape echo indicating a squall line
344 front. ~~The horizontal size of the precipitating system is about 100 km and it~~ which moves
345 quickly westward. The BOS case has a ~~much~~ larger horizontal area of moderate precipitation
346 with some embedded convective cells. It moves southeastward and lasts more than 10 hours
347 over the GoAmazon domain.

348 The point-observed cloud frequency and domain-averaged relative humidity, surface
349 CAPE and CIN, u- and v-winds, ~~relative humidity~~, large-scale vertical velocity, Q_1 , ~~and~~ Q_2 and
350 $Q_1 - Q_2 - Q_{rad}$ for the three cases are shown in Figures 10-12, respectively. For the LOS case,
351 the cloud frequency is much smaller than in the other two cases, since the convective cells have
352 small horizontal extent and only occupy a small portion of the region. A shallow-to-deep
353 transition of convective clouds can be seen. The surface CAPE is large, with weak mid-level
354 winds and moist air at the surface before the convection occurred. Upward motion corresponds
355 to the deep convection, and the magnitude is smaller than in the other two cases, consistent with
356 weaker precipitation. Starting around 9 LT, Q_1 shows diabatic heating throughout the
357 troposphere during the deep convection, while Q_2 shows strong moistening between 750 and 950
358 hPa and weak drying above that layer. The daytime positive $Q_1 - Q_2 - Q_{rad}$ ~~moistening~~
359 750 and 950 hPa is mainly contributed by negative Q_2 representing ~~due to the~~ vertical

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360 convergence of ~~eddy moisture fluxes~~ moisture by sub-grid eddies. It can also be seen on many
361 other days during the two IOPs and are similar to the daytime profiles discussed in the diurnal
362 cycle (Section 5) and was discussed in Section 5. Note that there is a time lag between observed
363 cloud frequency and the domain-averaged large-scale fields, which might be partially due to the
364 fact that the cloud frequency observations were taken from vertically pointing instruments at the
365 ARM site 67.8 km downwind of the center of the GoAmazon domain.

366 The COS (Figure 11) and BOS (Figure 12) cases both show a shallow-to-deep convective
367 cloud transition from the previous evening to late afternoon, with a moist lower-level atmosphere.
368 Both cases have smaller surface CAPE than the LOS case, possibly because the convective
369 systems have released the atmospheric instability in the morning. The COS case passed through
370 the GoAmazon domain between 6 and 12 around 6 LT in strong mid-level easterlies, with deep
371 clouds and strong upward motion associated with the squall line. Stratiform clouds, associated
372 with weak upward motion, remained in the upper levels until ~~~16-18~~ LT. Condensation from the
373 deep convection contributes to strong diabatic heating and drying throughout the troposphere,
374 while after the passage of the squall line (12-18 LT), there are some stratiform clouds remaining
375 indicated by upper-level heating/drying and lower-level cooling/moistening. a few isolated
376 convective cells moved in and the large scale structure becomes similar to that in the LOS case,
377 with upper level heating and drying, low level heating and moistening. The BOS case entered
378 the GoAmazon domain earlier than the COS case. In weak mid-level westerlies and descending
379 mid-to-low-level northerlies, the system moved slowly southeastward and remained in the
380 domain for a longer time. Strong upward motion related to the MCS is seen from 18 to 6 LT.
381 Large diabatic heating and drying related to the strong condensation is also seen. The remnant
382 high clouds were maintained until ~18 LT with precipitation weakening over time. The upper-

383 level heating and drying, lower-level cooling and moistening indicate that there are precipitating
384 stratiform clouds in the upper level and evaporation of precipitation underneath. The negative
385 $Q_1 - Q_2 - Q_{rad}$ in the lower level and the positive $Q_1 - Q_2 - Q_{rad}$ in the upper level are seen in both
386 the COS and BOS case, which indicates lower-level divergence of h and upper-level
387 convergence of h due to moist convective processes, consistent with Tang and Zhang (2015).
388 The lower-level positive $Q_1 - Q_2 - Q_{rad}$ in the afternoon is mainly contributed by the vertical
389 convergence of moisture by sub-grid eddies, similar to that in the LOS case.

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391 7. Summary and Discussion

392 This study presented the characteristics of the seasonal variation and diurnal cycle of the
393 large-scale vertical velocity and diabatic heating (Q_1) and drying (Q_2) profiles for the two IOPs
394 conducted during the GoAmazon2014/5 experiment. A constrained variational analysis method
395 was used to derive the large-scale vertical velocity and Q_1 and Q_2 profiles based on surface and
396 TOA observations and ECMWF analysis. The derived profiles correspond well with observed
397 clouds and precipitation describing convective systems over Amazonia.

398 The large-scale environment over the region near Manaus has distinct seasonal variations
399 and diurnal cycles. The wet season (IOP1) has more frequent precipitation events than the dry
400 season (IOP2), especially in the morning. The large-scale upward motions during rainy periods
401 have similar strength in both IOPs, however, the peak level in IOP1 is much higher than that
402 exhibited in IOP2 (350 hPa vs. 550 hPa). Q_1 and Q_2 both have a double-peak feature during
403 rainy period, but the physical mechanism may be different: the double peak of Q_1 may be due to
404 the combination of shallow and deep convections and latent cooling near the melting level, while

405 the double peak of Q_2 may be due to the different height of convective and stratiform systems.
406 The seasonal contrast is mainly due to the higher occurrence of morning mesoscale convective
407 systems observed during IOP1. In the morning, upward motion peaks at ~700 hPa and extends
408 to the upper troposphere during IOP1, while it is limited to the lower levels with downward
409 motion at the upper levels during IOP2. Afternoon convective systems have a higher vertical
410 motion peak than their morning counterparts, and both IOPs show similar vertical structures for
411 the afternoon systems. The large-scale vertical velocity shows upward motion above 700 hPa and
412 downward motion below. Accordingly, Q_1 and Q_2 also exhibit middle and upper level heating
413 and drying related to the deep convection. Below 750 hPa, the profiles show relatively weak
414 heating and strong moistening. This heating and moistening feature is due to the vertical
415 convergence of ~~eddy fluxes of~~ heat and moisture by sub-grid eddies in the boundary layer.

416 Three cases from IOP1 representing different types of convective systems that often
417 occur in the region were chosen and analyzed in this study: locally-occurring systems (LOS),
418 coastal-occurring systems (COS) and basin-occurring systems (BOS). The LOS case was
419 characterized by many scattered and short-lived convective cells. It had relatively weak upward
420 motion, heating and drying in the free troposphere, and heating and moistening in the boundary
421 layer. The COS case occurred in strong mid-level easterlies. It was characterized as a squall line
422 with deep strong profiles of upward motion, heating and drying. The BOS case mainly happened
423 in weak mid-level westerlies and descending mid-to-low-level northerlies. It was characterized
424 as widespread, moderate precipitation with embedded convective cells, and lasted much longer
425 than the other two systems. The precipitating stratiform clouds remained at upper levels for
426 several hours evident by upper-level condensational heating and lower-level evaporative cooling.
427 The frequency of LOS cases is similar in both IOPs while the COS and BOS events occur much

428 more often during the wet season than the dry season. The seasonal variation of the diurnal cycle
429 of precipitation, clouds, and environmental variables is mainly due to the COS and BOS events
430 observed in the morning.

431 Previous studies have also shown that the river breeze has an important influence on the
432 diurnal cycle near the Amazon River (e.g. dos Santos et al., 2014; Tanaka et al., 2014; Burleyson
433 et al., 2016) and that the impact of the local circulation can extend as far as 50 km away from the
434 river. This local circulation and the horizontal inhomogeneity of large-scale vertical velocity,
435 heating, and moistening could be better studied using high-resolution 3-D gridded large-scale
436 forcing data from the three-dimensional constrained variational analysis recently developed by
437 Tang and Zhang (2015) and Tang et al. (2016). This will be the subject of a future study.

438

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Figure and Table Captions:

674 Table 1: number of convective systems identified in the morning and afternoon during IOP1 and
675 IOP2.

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677 Figure 1: The location of GoAmazon site in this study. The red octagon represents the analysis domain.
678 Locations of observational sites are indicated by yellow pentagrams. Locations of cities are indicated by
679 white dots.

680 ~~Figure 1: The location of GoAmazon site (top) and the analysis domain for this study (bottom). The~~
681 ~~SIPAM radar is located at Ponta Pelada (indicated by red pentagram). Locations of other cities and~~
682 ~~measurement sites are also indicated.~~

683 Figure 2: The sea-level pressure (shaded) and 10-meter horizontal wind (vector) averaged for IOP1 (left)
684 and IOP2 (right). The pentagram indicates the location of GoAmazon site.

685 Figure 3: Domain averaged time series of (from top to bottom) horizontal (u) wind, meridional (v) wind,
686 relative humidity, cloud frequency (point observation at the ARM site) and precipitation for IOP1 (left)
687 and IOP2 (right). The blank areas in cloud frequency indicate missing data. The three straight black lines
688 in IOP1 show the three cases chosen in section 6.

689 Figure 4: The time series (top) and temporal mean profiles (bottom) of large-scale vertical velocity for
690 IOP1 (left) and IOP2 (right).

691 Figure 5: The time series (top) and temporal mean profiles (bottom) of apparent heating source Q_1 for
692 IOP1 (left) and IOP2 (right).

693 Figure 6: The time series (top) and temporal mean profiles (bottom) of apparent moisture sink Q_2 for
694 IOP1 (left) and IOP2 (right).

695 Figure 7: The diurnal cycle of precipitation (up) and CAPE and CIN (bottom) for both IOPs.

696 Figure 8: The diurnal cycle of (from top to bottom) cloud frequency, large-scale vertical velocity, Q_1 , Q_2
697 and $Q_1 - Q_2 - Q_{rad}$, ~~$Q_1 - Q_2$~~ for IOP1 and IOP2. The black lines are zero-lines.

Field Code Changed

698 Figure 9: SIPAM radar reflectivity snapshots (left) and time series of domain-mean precipitation (right)
699 for three cases of precipitating systems. From top to bottom: LOS, COS and BOS. The black octagons
700 indicate the GoAmazon domain, and the red arrows indicate the propagating direction of the system.

701 Figure 10: The time series of (a) cloud frequency, (b) relative humidity, (c) surface CAPE and CIN, (d) u
702 wind, (e) v wind, (f) vertical velocity, (g) Q_1 , (h) Q_2 and (i) $Q_1 - Q_2 - Q_{rad}$ (a) cloud frequency, (b)
703 surface CAPE and CIN, (c) u wind, (d) v wind, (e) relative humidity, (f) vertical velocity, (g) Q_1 and (h)
704 Q_2 for the LOS case. The black lines are zero-lines. The shaded and white areas in (b) indicate nighttime
705 and daytime.

Field Code Changed

706 Figure 11: Similar as Figure 10 but for the COS case.

707 ~~The time series of (a) cloud frequency, (b) surface CAPE and CIN, (c) u wind, (d) v wind, (e) relative~~
708 ~~humidity, (f) vertical velocity, (g) Q_1 and (h) Q_2 for the COS case. The black lines are zero lines. The~~
709 ~~shaded and white areas in (b) indicate nighttime and daytime.~~

710 Figure 12: Similar as Figure 10 but for the BOS case.

711 ~~The time series of (a) cloud frequency, (b) surface CAPE and CIN, (c) u wind, (d) v wind, (e) relative~~
712 ~~humidity, (f) vertical velocity, (g) Q_1 and (h) Q_2 for the BOS case. The black lines are zero lines. The~~
713 ~~shaded and white areas in (b) indicate nighttime and daytime.~~

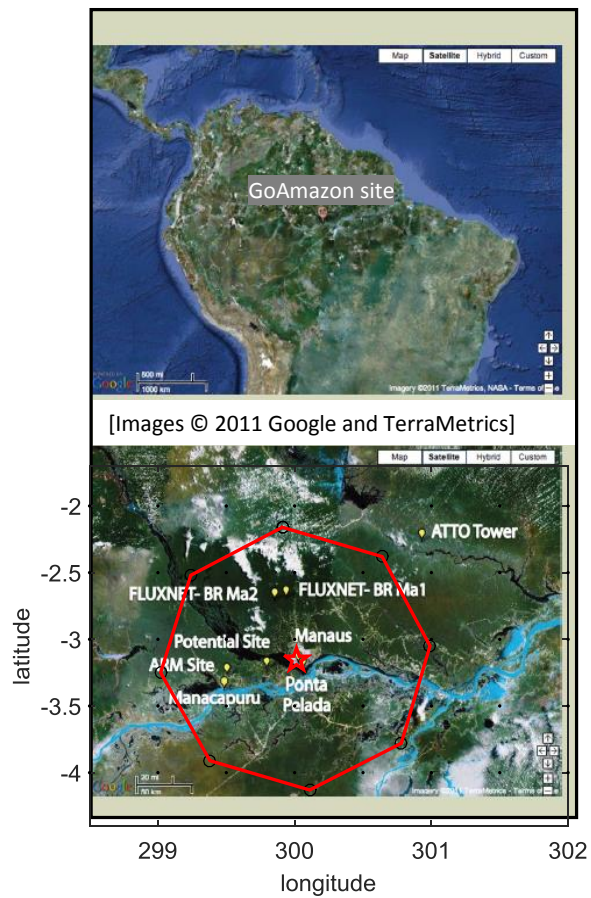
	IOP1		IOP2	
	Morning	Afternoon	Morning	Afternoon
Locally Occurring Systems (LOS)	0	19	0	14
Coastal Occurring Systems (COS)	7	6	0	3
Basin Occurring Systems (BOS)	3	3*	2**	0

714 * the afternoon BOS are continued from the morning time.
715 ** the two BOS in IOP2 are initialized in the Amazon basin but propagating westward as squall
716 lines.

	IOP1		IOP2	
	Morning	Afternoon	Morning	Afternoon
<u>Locally Occurring Systems (LOS)</u>	<u>0</u>	<u>19</u>	<u>0</u>	<u>16</u>
<u>Coastal Occurring Systems (COS)</u>	<u>8</u>	<u>2</u>	<u>0</u>	<u>1</u>
<u>Basin Occurring Systems (BOS)</u>	<u>8</u>	<u>1</u>	<u>3</u>	<u>2</u>

717
718 Table 1: number of convective systems identified in the morning and afternoon during IOP1 and
719 IOP2.

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726 **Figure 1: The location of GoAmazon site (top) and the analysis domain for this study (bottom). The**
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 728 **measurement sites are also indicated.**

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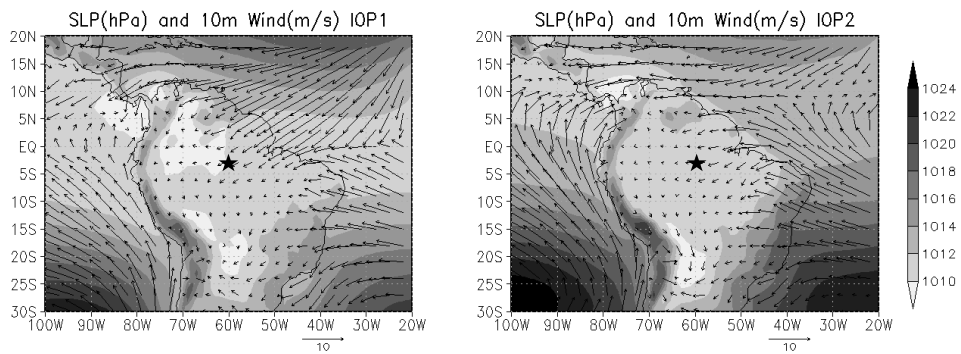


734 Figure 1: The location of GoAmazon site (top) and the analysis domain for this study (bottom).
 735 Locations of measurement sites are indicated by yellow pentagrams. Locations of cities by
 736 white dots.

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Figure 2: The sea-level pressure (shaded) and 10-meter horizontal wind (vector) averaged for IOP1 (left) and IOP2 (right). The pentagram indicates the location of GoAmazon site.

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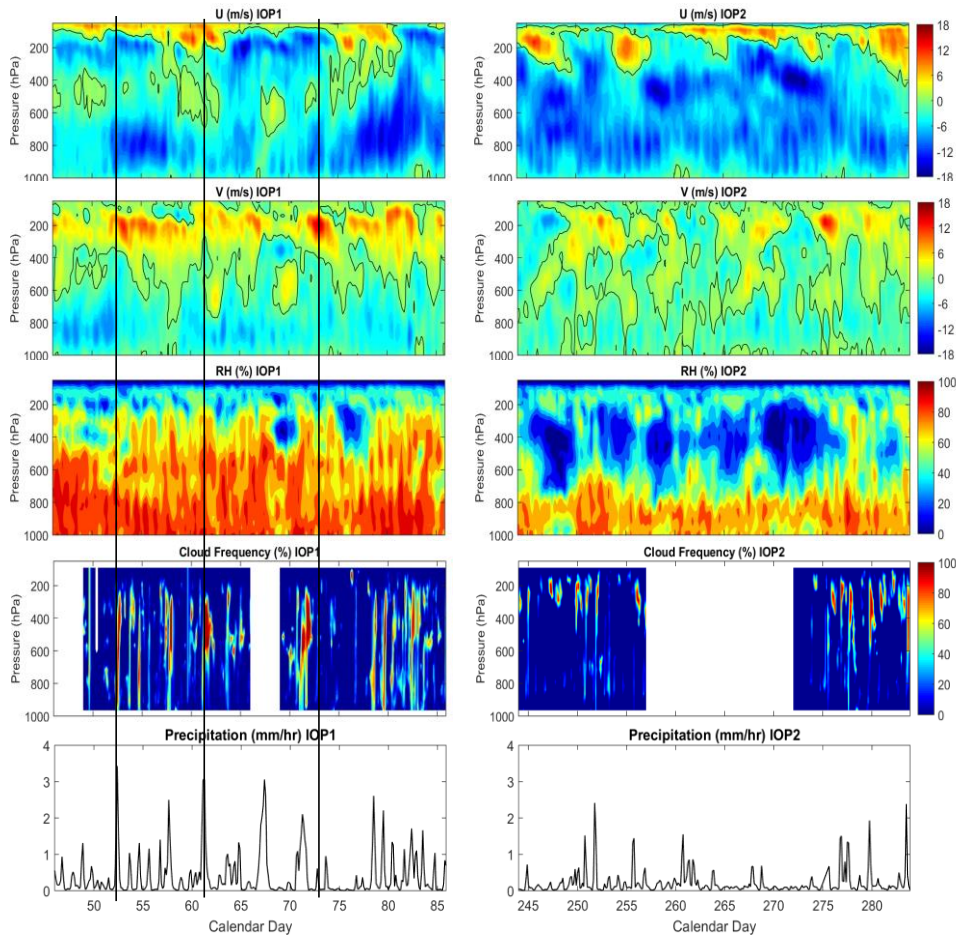
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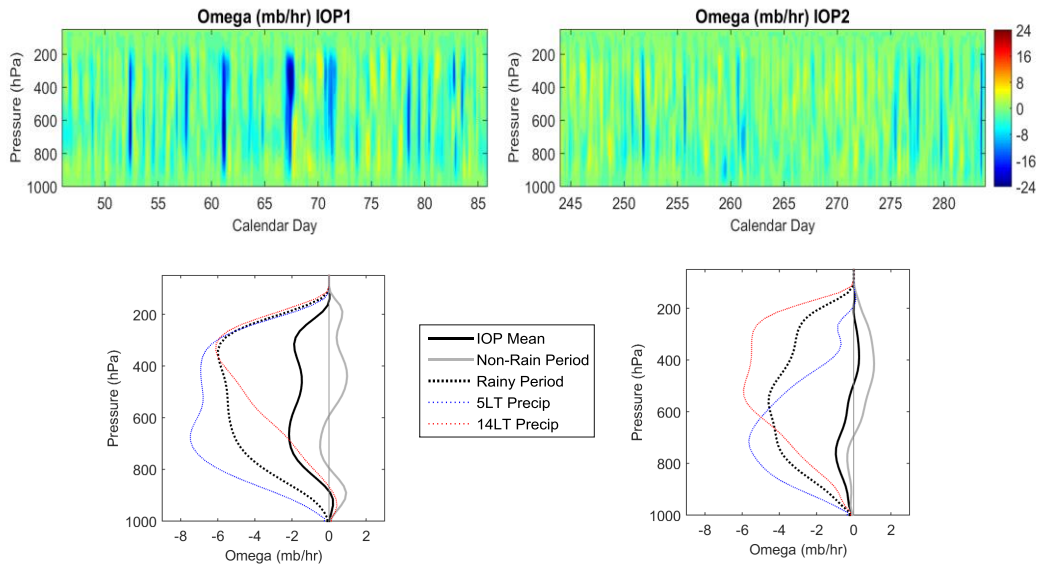
754 Figure 3: Domain averaged time series of (from top to bottom) horizontal (u) wind, meridional (v) wind,
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757 in IOP1 show the three cases chosen in section 6.

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765 Figure 4: The time series (top) and temporal mean profiles (bottom) of large-scale vertical velocity for
766 IOP1 (left) and IOP2 (right).

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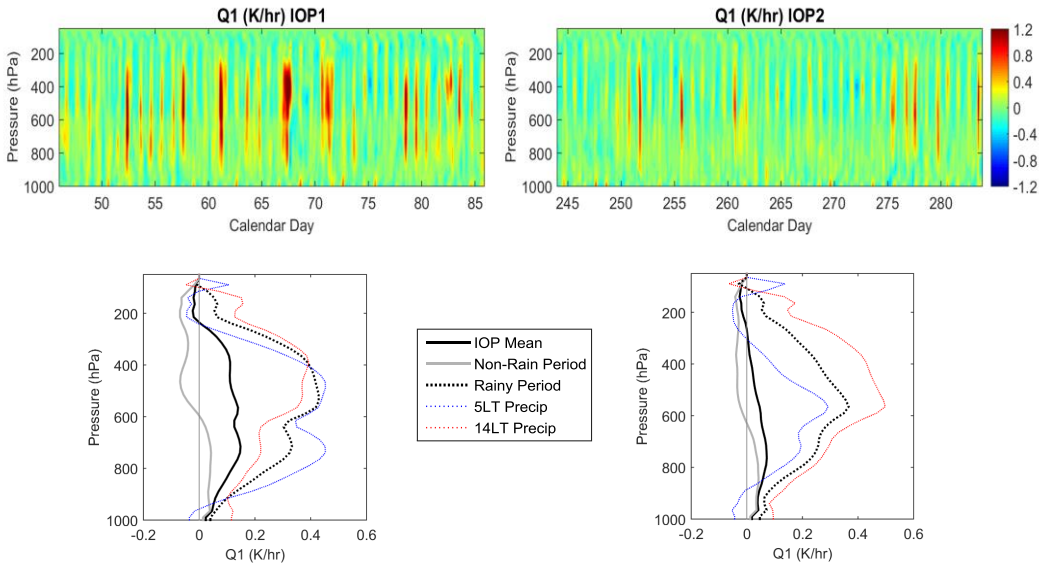
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779 Figure 5: The time series (top) and temporal mean profiles (bottom) of apparent heating source Q_1 for
780 IOP1 (left) and IOP2 (right).

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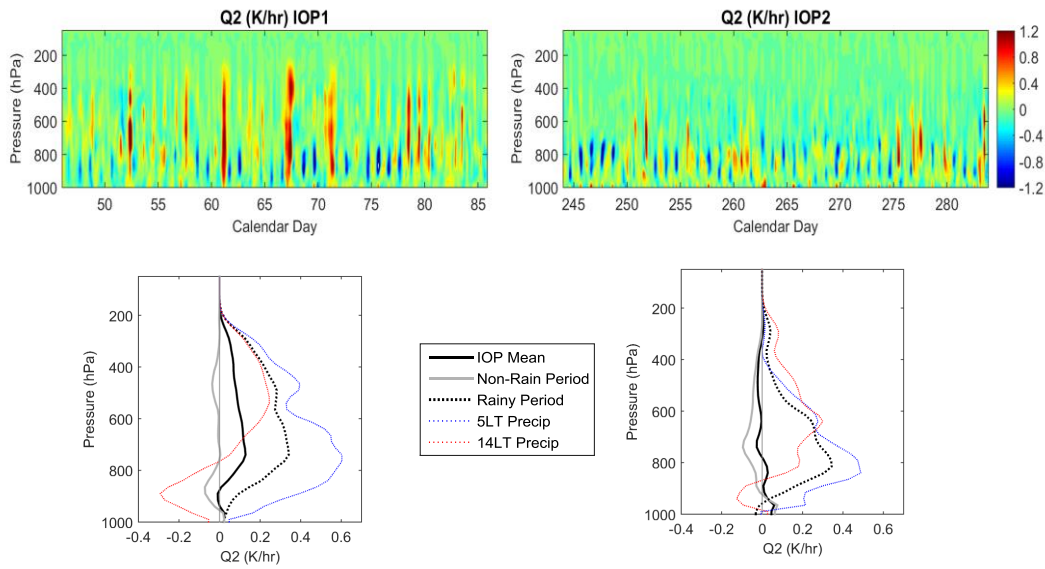
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794 Figure 6: The time series (top) and temporal mean profiles (bottom) of apparent moisture sink Q_2 for
795 IOP1 (left) and IOP2 (right).

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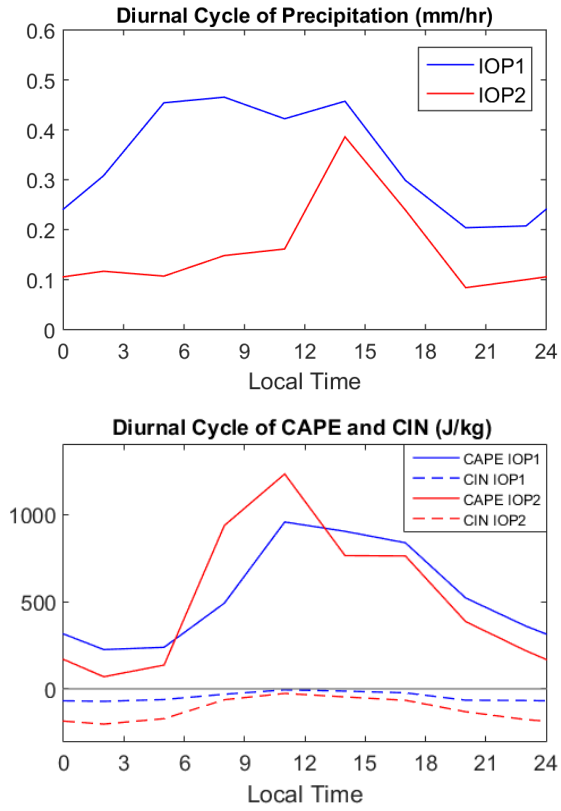
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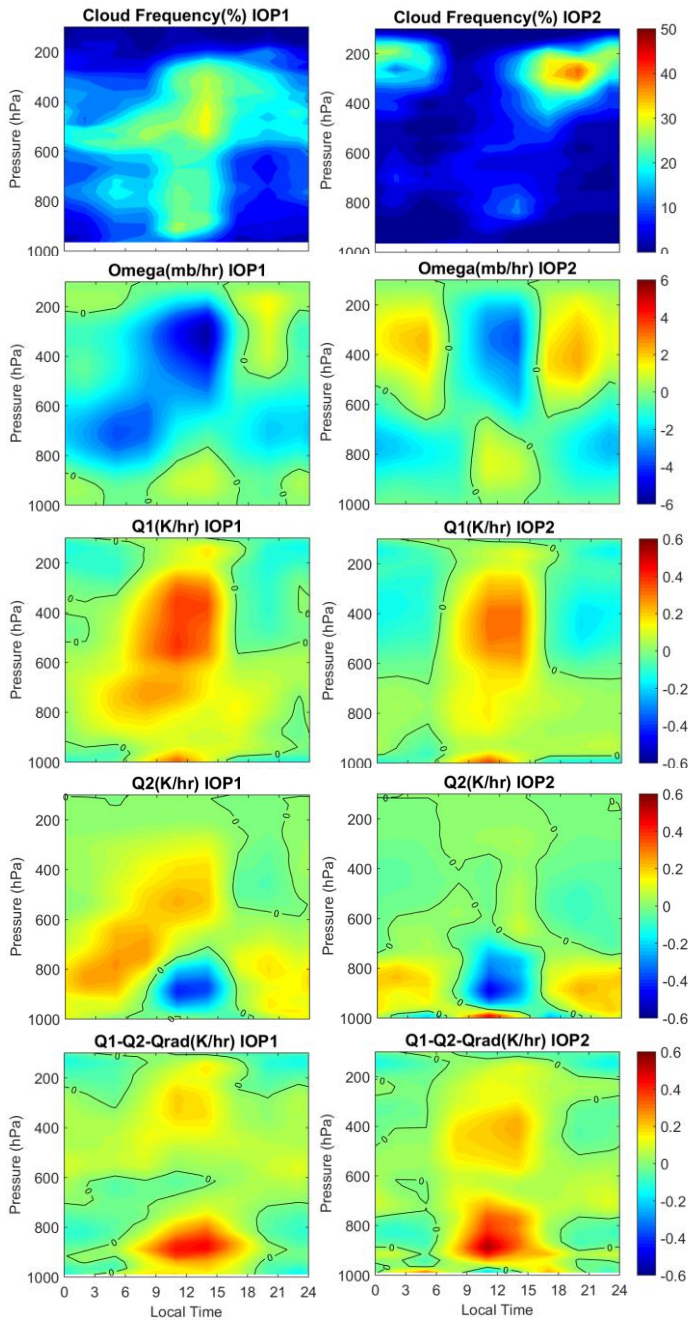
811 Figure 7: The diurnal cycle of precipitation (up) and CAPE and CIN (bottom) for both IOPs.

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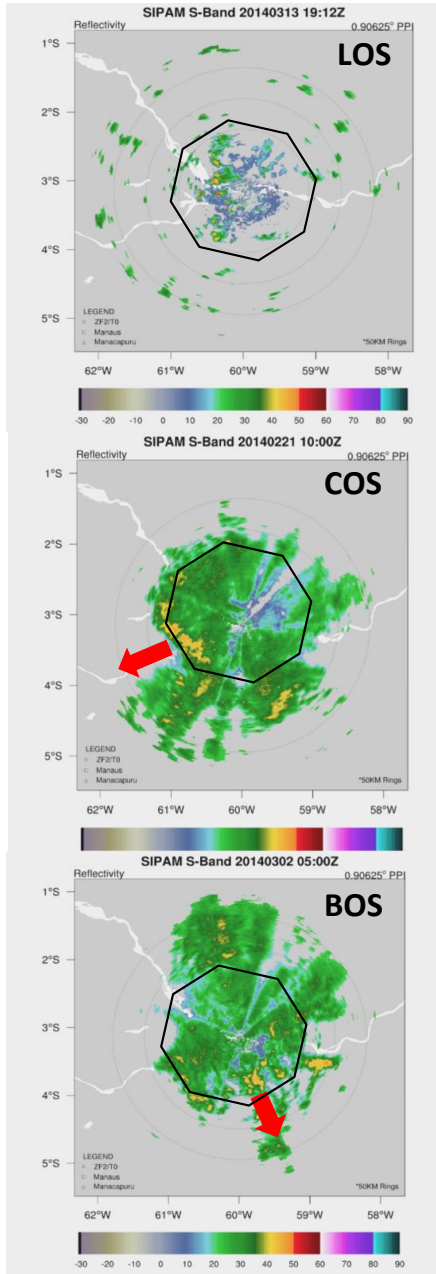
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Figure 8: The diurnal cycle of (from top to bottom) cloud frequency, large-scale vertical velocity, Q_1 , Q_2 and $Q_1 - Q_2 - Q_{rad}$ for IOP1 and IOP2. The black lines are zero-lines.

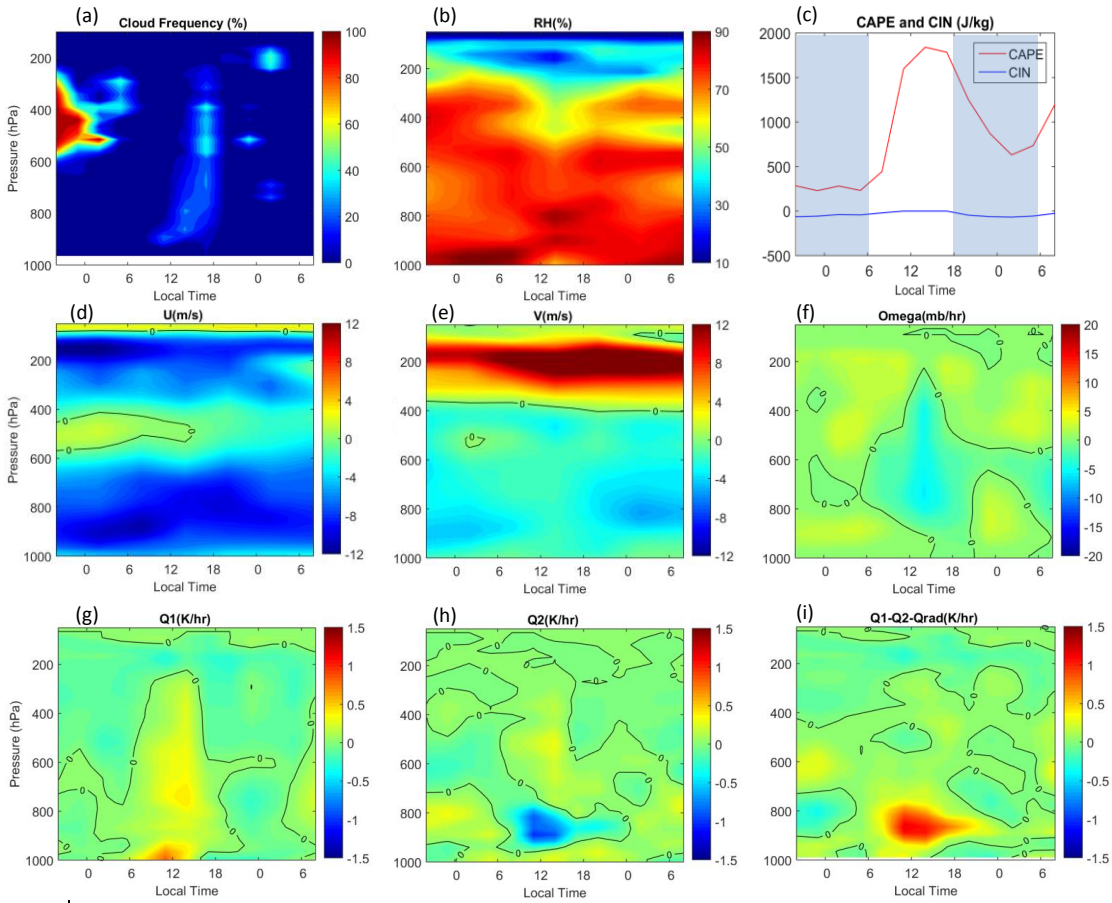
Field Code Changed



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Figure 9: SIPAM radar reflectivity snapshots (left) and time series of domain-mean precipitation (right) for three cases of precipitating systems. From top to bottom: LOS, COS and BOS. The black octagons indicate the GoAmazon domain, and the red arrows indicate the propagating direction of the system.

LOS (14 March 2014)



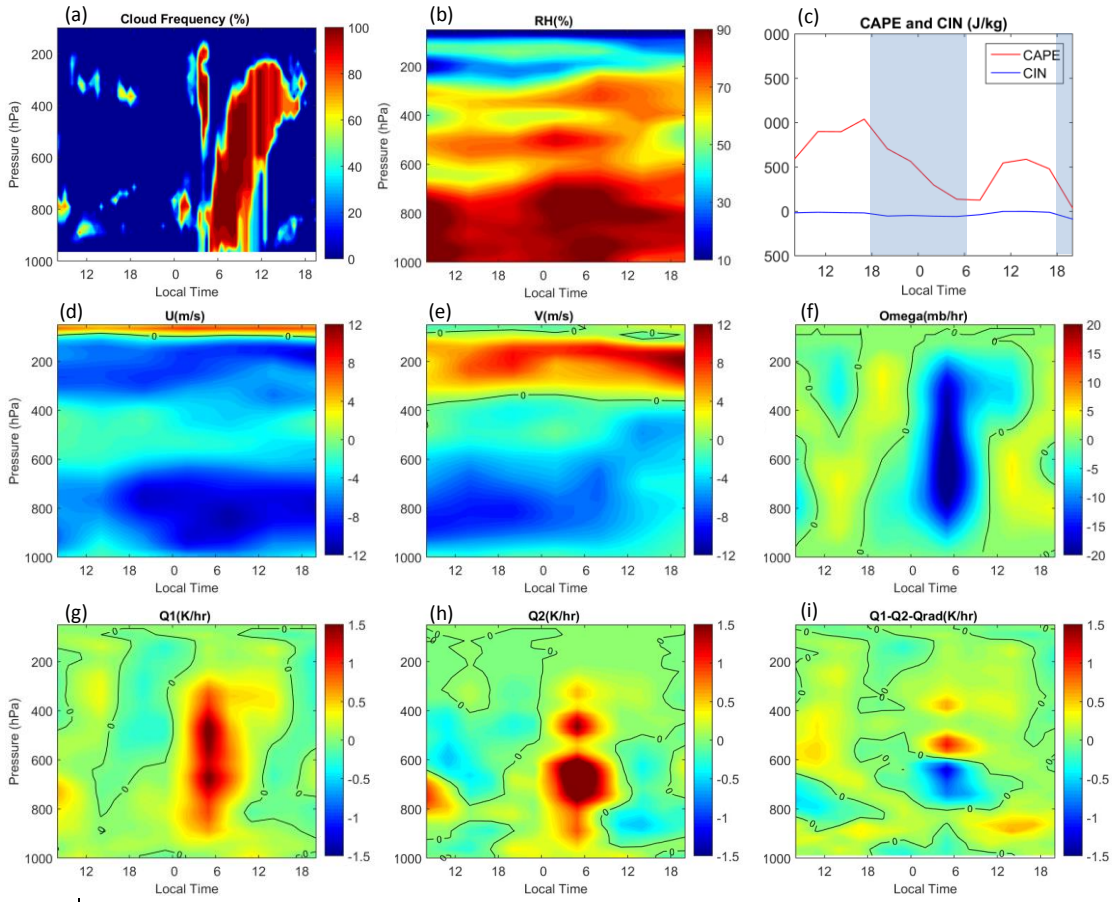
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825 Figure 10: The time series of (a) cloud frequency, (b) relative humidity, (c) surface CAPE and CIN, (d) u
 826 wind, (e) v wind, (f) vertical velocity, (g) Q_1 , (h) Q_2 and (i) $Q_1 - Q_2 - Q_{rad}$ for the LOS case. The black
 827 lines are zero-lines. The shaded and white areas in (c) indicate nighttime and daytime.

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Field Code Changed

COS (20 – 21 February 2014)



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831 Figure 11: Similar as Figure 10 but for the COS case.

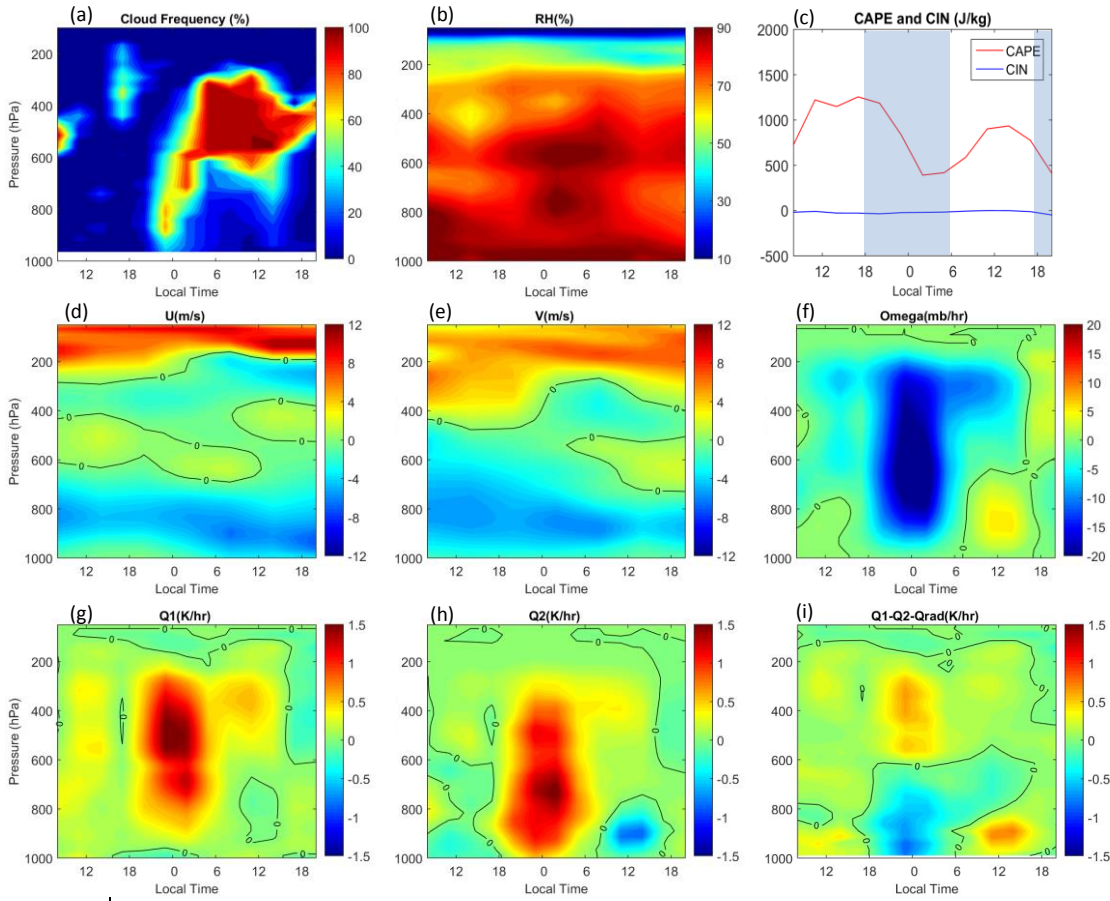
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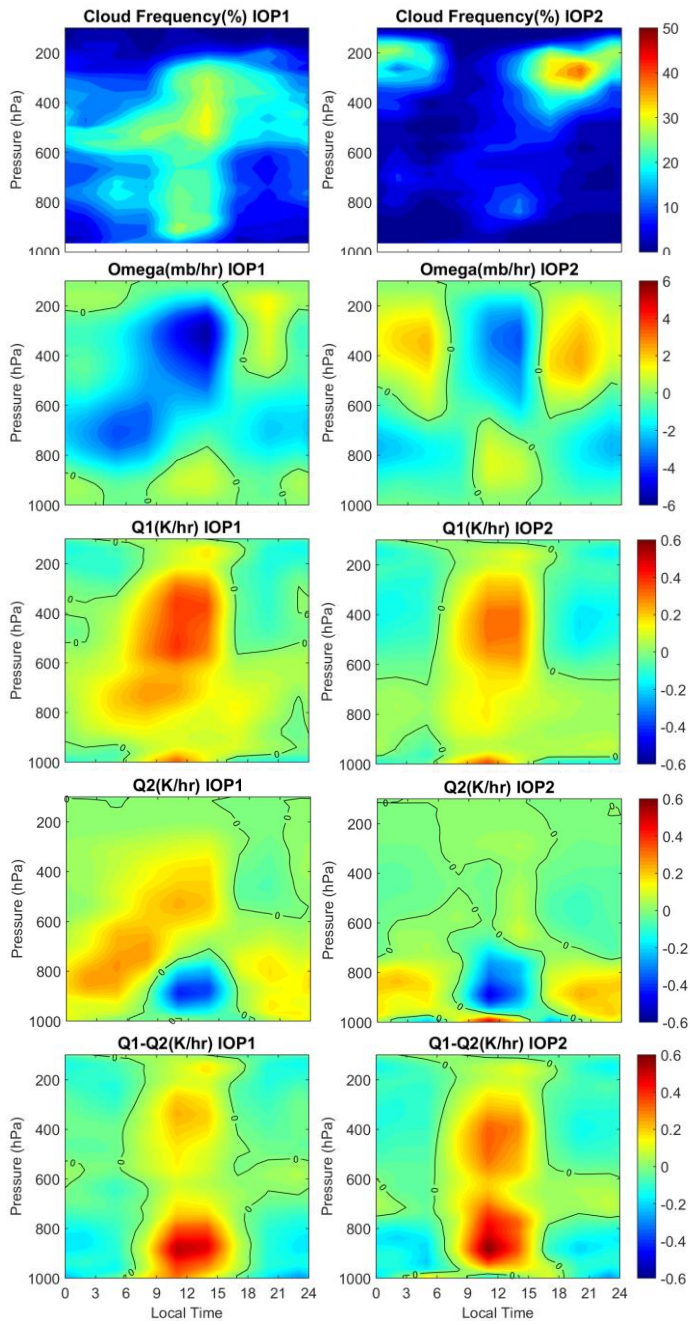
BOS (1 – 2 March 2014)



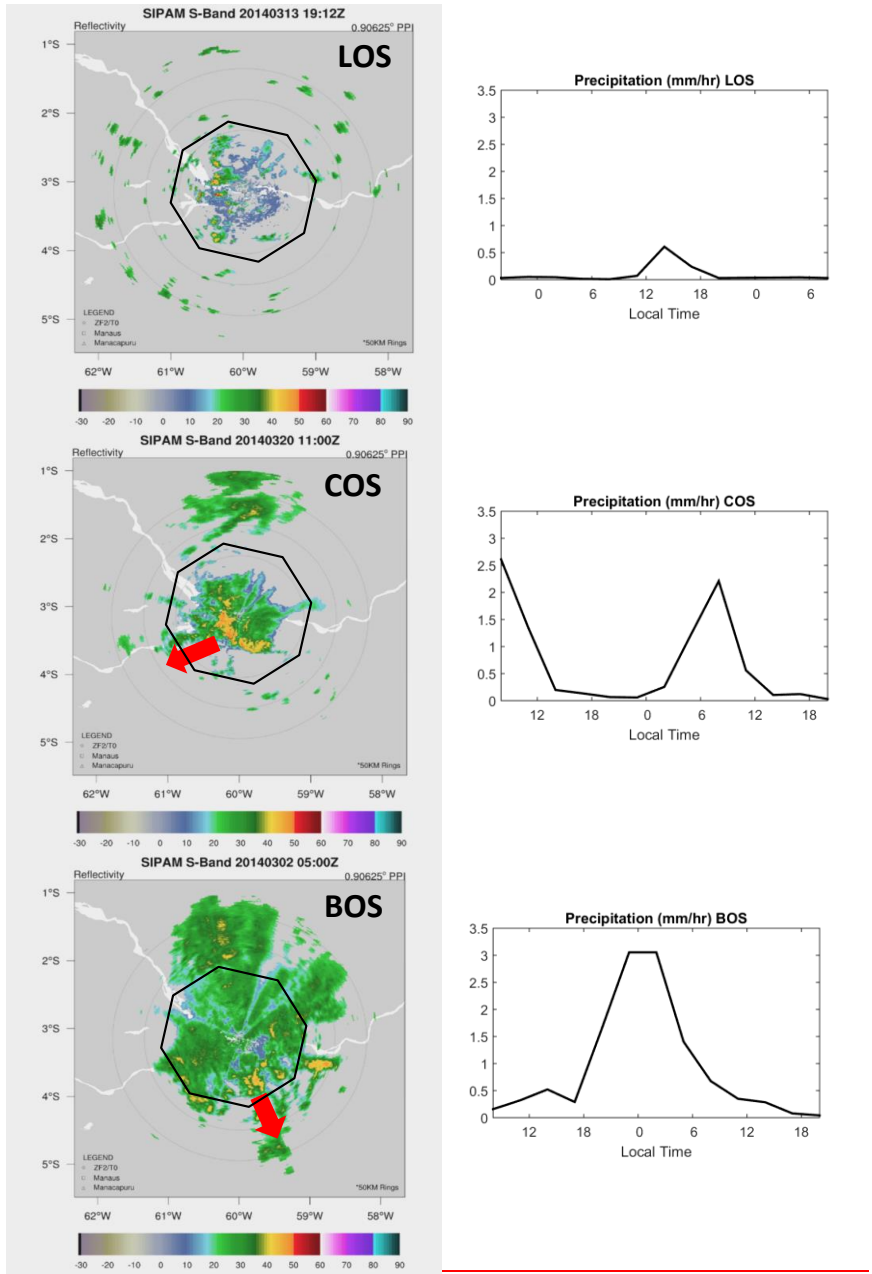
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837 [Figure 12: Similar as Figure 10 but for the BOS case.](#)

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840 Figure 8: The diurnal cycle of (from top to bottom) cloud frequency, large-scale vertical velocity, $Q_{1,1}$, Q_2
 841 and Q_1 , Q_2 for IOP1 and IOP2. The black lines are zero lines.

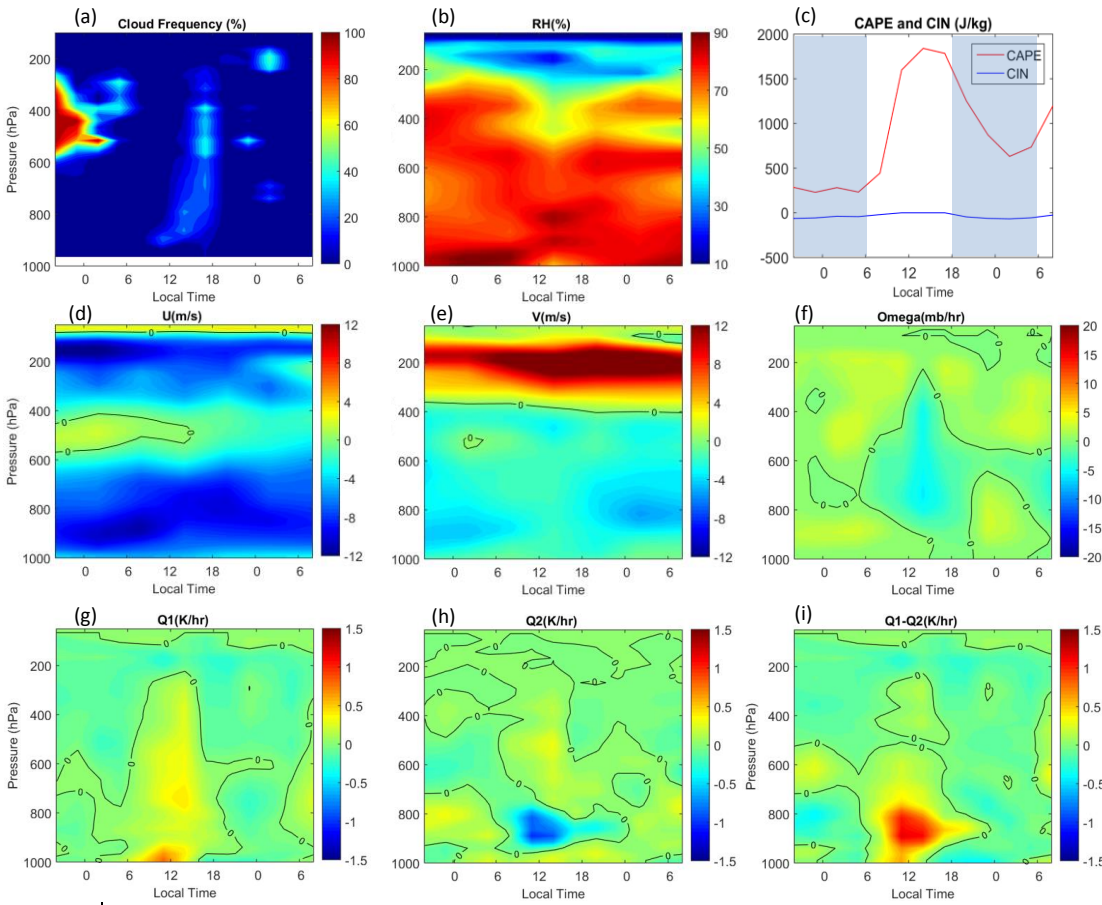


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 844 for three cases of precipitating systems. From top to bottom: LOS, COS and BOS. The black octagons
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LOS (14 March 2014)

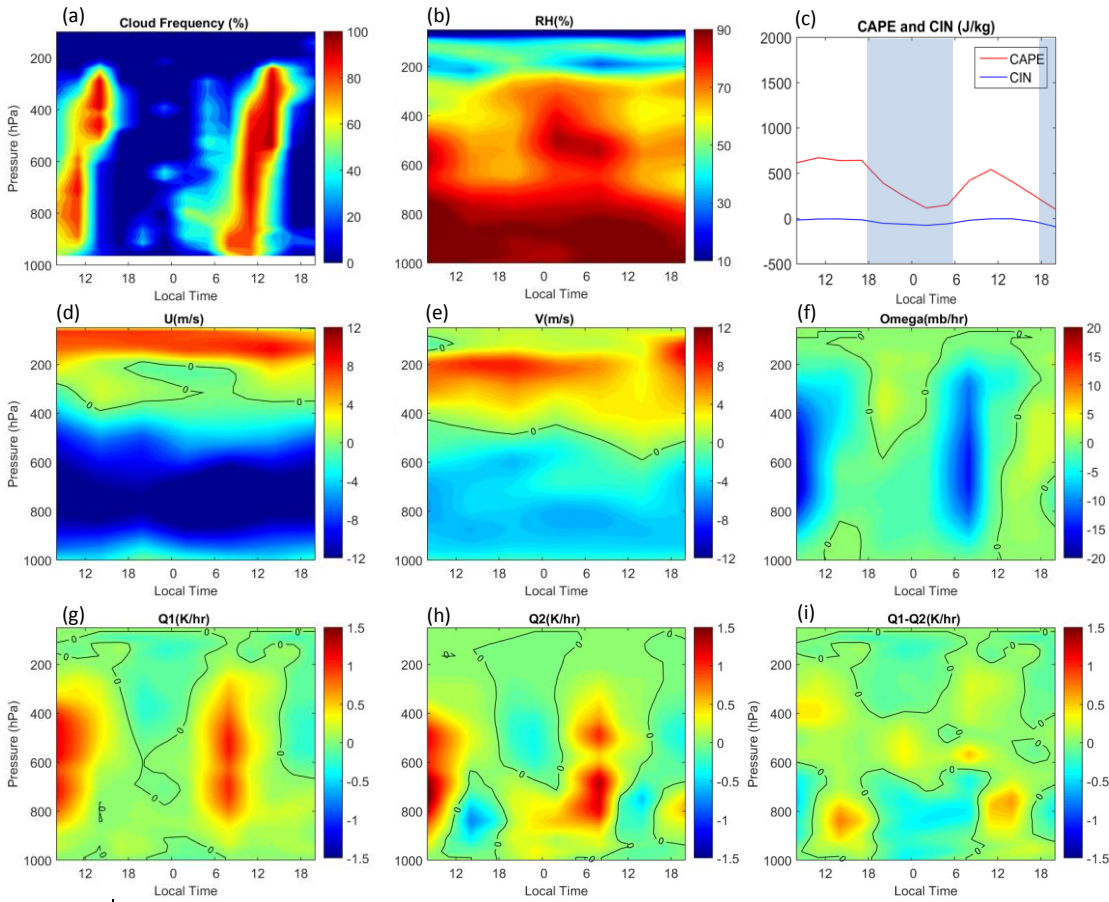


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848 Figure 10: The time series of (a) cloud frequency, (b) relative humidity, (c) surface CAPE and CIN, (d) u
 849 wind, (e) v wind, (f) vertical velocity, (g) Q_1 , (h) Q_2 and (i) $Q_1 - Q_2$ for the LOS case. The black lines are
 850 zero lines. The shaded and white areas in (c) indicate nighttime and daytime.

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COS (19 – 20 March 2014)



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854 **Figure 11: Similar as Figure 10 but for the COS case.**

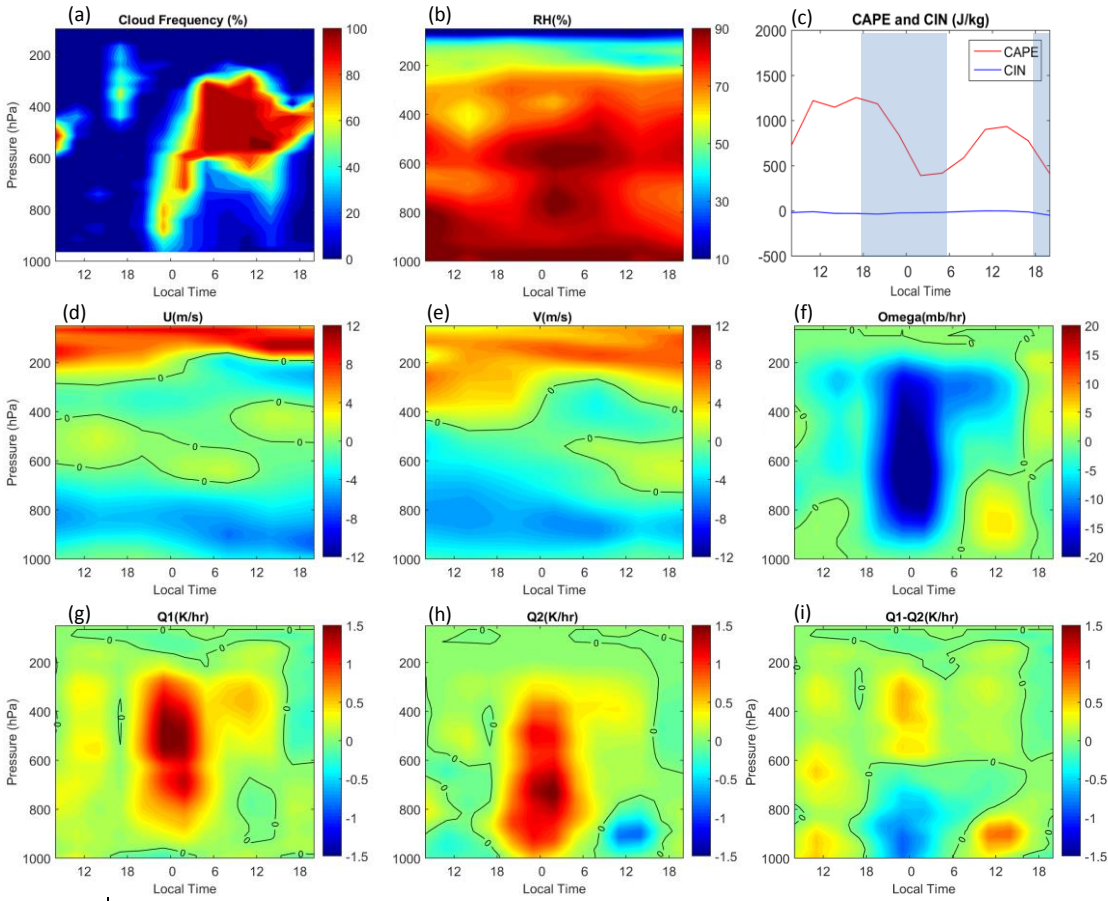
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BOS (1 – 2 March 2014)



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Figure 12: Similar as Figure 10 but for the BOS case.