### 1 Air Quality Improvement in a Megacity: Implications from 2015 Beijing Parade

#### 2 Blue Pollution-Control Actions

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#### 26 **Abstract:**

- 27 The implementation of strict emission control measures in Beijing and surrounding
- regions during the 2015 China Victory Day Parade provided a valuable opportunity
- 29 to investigate related air quality improvements in a megacity. We measured NH<sub>3</sub>,
- 30 NO<sub>2</sub> and PM<sub>2.5</sub> at multiple sites in and outside Beijing and summarized
- 31 concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO in 291 cities across China from a
- national urban air quality monitoring network between August and September 2015.
- 33 Consistently significant reductions of 12-35% for NH<sub>3</sub> and 33-59% for NO<sub>2</sub> in
- 34 different areas of Beijing city during the emission control period (referred to as the
- 35 Parade Blue period) were observed compared with measurements in the pre- and

post-Parade Blue periods without emission controls. Average NH<sub>3</sub> and NO<sub>2</sub> concentrations at sites near traffic were strongly correlated and showed positive and significant responses to traffic reduction measures, suggesting that traffic is an important source of both NH3 and NOx in urban Beijing. Daily concentrations of PM<sub>2.5</sub> and secondary inorganic aerosol (sulfate, ammonium, and nitrate) at the urban and rural sites both decreased during the Parade Blue period. During (after) the emission control period, concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO from the national city-monitoring network showed the largest decrease (increase) of 34-72% (50-214%) in Beijing, a smaller decrease (a moderate increase) of 1-32% (16-44%) in emission control regions outside Beijing, and an increase (decrease) of 6-16% (-2-7%) in non-emission control regions of China. Integrated analysis of modeling and monitoring results demonstrated that emission control measures made a major contribution to air quality improvement in Beijing compared with a minor contribution from favorable meteorological conditions during the Parade Blue period. These results show that controls of secondary aerosol precursors (NH<sub>3</sub>, SO<sub>2</sub> and NO<sub>x</sub>) locally and regionally are key to curbing air pollution in Beijing and probably in other mega cities worldwide.

### Introduction

China's economy has made great advances over the last three decades. Its gross domestic production (GDP) ranked fifteenth in the world in 1978 but has risen to second place since 2010. During this period, environmental pollution has greatly increased, including soil, water and air pollution (Chan et al., 2008; Guo et al., 2010; Chen et al., 2014; Lu et al., 2015), which has become a major issue for the country. The Chinese government and people have grown particularly concerned about reducing air pollution since the large-scale haze pollution that occurred in China in January 2013. This episode affected an area of approximately 1.3 million km<sup>2</sup> and 800 million people (Huang et al., 2014). It led to serious human health problems and forced the Chinese government to address the problem of very large exposures of the

65 Chinese population to  $PM_{2.5}$  (particulate matter  $\leq 2.5 \mu m$  in aerodynamic diameter) pollution. For example, compared with a similar winter period without haze 66 pollution (daily child patients < 600), more than 7000 daily child patients were 67 reported in Beijing Children's Hospital during the smog period in January 2013 68 (http://qnck.cyol.com/html/2014-01/01/nw.D110000qnck\_20140101\_1-28.htm). 69 70 response to this the 'Atmospheric Pollution Prevention and Control Action Plan' was implemented by the Chinese government in September 2013, aiming to reduce PM<sub>2.5</sub> 71 72 in Beijing by at least 25% from the 2012 level by 2017. Many industrialized megacities have experienced severe air pollution, such as Los 73 Angeles during the 1940s-1970s (Haagen-Smit, 1952; Parrish et al., 2011), Mexico 74 city in the 1980s (Parrish et al., 2011), and London in the 1950s (Davis et al., 2002). 75 In these megacities, however, enormous progress in improving air quality has been 76 achieved with the implementation of various emission control strategies over recent 77 decades, despite rapid population growth and urbanization. According to Parrish et al. 78 79 (2011), first stage smog alerts in Los Angeles have decreased from some 200 per 80 year in the 1970s to about 10 per year now, and concentrations of air pollutants in Mexico City have been reduced substantially over the past decades. Also, air quality 81 is now much better in London, with mean annual  $PM_{10}$  (particulate matter  $\leq 10 \mu m$  in 82 aerodynamic diameter) levels closer to 30 µg m<sup>-3</sup> than the 300 µg m<sup>-3</sup> fifty years ago 83 (and approx.  $3000 \,\mu g \, m^{-3}$  in December 1952) (Davis et al., 2002). 84 Beijing, the capital of China, is one of the largest megacities in the world with 22 85 million inhabitants and an area of 16800 square kilometers. The city is enclosed by 86 the Yanshan Mountains to the north and Taihang Mountains to the west. Its 87 fan-shaped topography permits efficient southerly transport of pollutants to Beijing, 88 which reduces air quality (Chen et al., 2015). A 70<sup>th</sup> anniversary victory parade was 89 held in Beijing on 3 September 2015 to commemorate the conclusion of the second 90 Sino-Japanese War and the end of World War II. The Chinese government imposed a 91 series of strict and urgent air pollutant emission-reduction measures to improve air 92 93 quality during what has been called the 'Parade Blue' period, from 20 August to 3

September 2015, in Beijing and surrounding regions (including Tianjin City, Inner Mongolia Autonomous Region, Hebei, Shandong, Shanxi, and Henan Provinces) to guarantee better air quality in the city. During this period, motor vehicles (except taxis and buses) with even or odd registration numbers were banned on alternate days, 1927 industrial enterprises had to limit production or were shut down, and hundreds of construction sites in Beijing were closed, reducing air pollutant emissions by 40% (http://gongyi.sohu.com/20150826/n419765215.shtml). For all seven of the cities, provinces and autonomous regions, air pollutant emissions during the Parade Blue period were decreased by 30% through a variety of reduction (http://news.sohu.com/20150819/n419198051.shtml). additional measures pollution control measures were taken in other regions of China (outside Beijing and surrounding regions) during this period. Previous studies have attempted to quantify the role of short-term pollutant emission control measures in air quality improvement in Beijing during the 2008 Olympics (Wang et al., 2009, 2010; Shen et al., 2011) and the 2014 Asia-Pacific Economic Cooperation (APEC) meeting (Chen et al., 2015). In addition, Tang et al. (2015) reported that local emissions are the key factors determining the formation and development of air pollution in the Beijing area. Ianniello et al. (2010) inferred that traffic may be an important emission source of NH<sub>3</sub> in Beijing. However, the above studies did not systematically answer the three following questions: what were (1) the contribution of ammonia (NH<sub>3</sub>) sources to urban PM<sub>2.5</sub> pollution; and (2) the relative roles of pollution control measures and weather conditions in air quality improvement? The present study attempts to examine these important topics by taking advantage of the implementation of emission controls for the 70<sup>th</sup> anniversary victory parade. We present results showing changes in concentrations of atmospheric pollutants (i.e., NH<sub>3</sub>, NO<sub>2</sub>, PM<sub>2.5</sub> and associated inorganic water-soluble ions (WSIs)) before, during, and after the Parade Blue period, obtained from in situ measurements at thirty-one sites in and outside Beijing. In addition, we compare the Chinese Ministry of Environmental Protection officially released daily concentrations of

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- PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO at 291 cities in China during the same period. The
- 124 first results from the analysis of this extensive dataset reveal clear effects of the
- Parade Blue emission reduction measures on air quality improvement and provide a
- scientific basis for demonstrating the effectiveness of such control measures for air
- pollution in mega cities.

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#### 2 Materials and methods

- 129 2.1 Site selection and description
- 130 Thirty-one air pollution monitoring sites have been established in and outside
- Beijing municipality, with longitudes ranging from 115.02 °E to 118.20 °E and
- latitudes from 36.84 °N to 40. 34 °N (Fig. 1). The 28 monitoring sites in Beijing
- municipality are grouped into road and non-road sites to better distinguish the
- impacts of control measures on sites near traffic. A brief description of all the sites is
- given below. Detailed information, including specific sampling site, site type, and
- potential emission sources for each site, is listed in **Table S1** in the Supplement.
- 137 In Beijing: Sixteen roadside monitoring sites are homogeneously distributed at the
- edges of three major roads, including four sites each on the 3<sup>rd</sup> and 4<sup>th</sup> ring roads, and
- eight sites on the 5<sup>th</sup> ring road. Additional road sites (sites 26 to 28) are in northwest
- rural regions near the Yanshan mountains. Site 26 is located at the edge of the
- Badaling highway, about 46 km northwest of the center of Beijing. Sites 27 and 28
- are located, respectively, inside (100 m from the exit) and outside (30 m from the
- entrance) the Badaling Highway Tunnel (1091.2 m long), which has two traffic
- tunnels with one lane in each. The road sites were strongly and directly influenced
- by vehicle emissions. Nine non-road sites were chosen over a wide area, extending
- from an urban area (site 1) near the city center, through suburban areas (sites 6, 11,
- 12 and 13) between the 3<sup>rd</sup> and 5<sup>th</sup> ring roads, and ending in rural areas (sites 22 to
- 148 25) between the northwest 5<sup>th</sup> and 6<sup>th</sup> ring roads. These are likely to be polluted by
- emissions from various sources, including dense housing, industry, cropland, small
- villages, etc.
- 151 Outside Beijing: Site 29 is located in a rural area of Yucheng city, Shandong

- province. Site 30 is located in Quzhou county, Hebei province, which is a typical
- rural agricultural site with a recently constructed industrial district. Site 31 is a
- regional background site located on Changdao island, Shandong province.
- 2.2 Sampling procedure and sample analysis
- Atmospheric NH<sub>3</sub>, NO<sub>2</sub> and PM<sub>2.5</sub> were measured from 3 August to 30 September
- 157 2015. The period can be divided into three phases: (1) 3-19 August (named
- pre-Parade Blue period), (2) 20 August-3 September (Parade Blue period), and (3)
- 4-30 September (post-Parade Blue period). The sampling durations, measured
- pollutants and number of samples for all the sites during each phase are summarized
- in **Table S1** in the Supplement. The measurements of NH<sub>3</sub>, NO<sub>2</sub> and PM<sub>2.5</sub> were not
- 162 concurrently made at most sites due to a shortage of manpower and samplers, but the
- 163 corresponding sampling sites together covered the major emission sources of
- measured pollutants. Methods for sampling gases and PM<sub>2.5</sub> are briefly presented
- below. For further details of the methodology the reader is referred to relevant
- previous publications (Xu et al., 2014, 2015, 2016).
- 167 Gaseous NH<sub>3</sub> and NO<sub>2</sub>: NH<sub>3</sub> samples were collected using ALPHA passive samplers
- 168 (Adapted Low-cost High Absorption, provided by the Centre for Ecology and
- Hydrology, Edinburgh, UK) and NO<sub>2</sub> samples using Gradko diffusion tubes (Gradko
- 170 International Limited, UK). At each site, three ALPHA samplers and/or three NO<sub>2</sub>
- tubes were deployed under a PVC shelter (2 m above the ground) to protect the
- samplers from rain and direct sunlight (Pictures for 4 selected road sites are shown in
- 173 **Fig. S1** of the Supplement). The samplers were exposed for 7 to 14 days during the
- three study phases. NH<sub>3</sub> was extracted with high-purity water (18.2 M $\Omega$ ) and analyzed
- using a continuous-flow analyzer (Seal AA3, Germany). NO<sub>2</sub> samples, also extracted
- with high-purity water, were analyzed using a colorimetric method by absorption at a
- wavelength of 542 nm. More details of the passive samplers and their laboratory
- preparation and analysis can be found in Xu et al. (2014, 2015).
- 179 Airborne PM<sub>2.5</sub>: 24-h PM<sub>2.5</sub> samples were collected on 90 mm quartz fiber filters
- 180 (Whatman QM/A, Maidstone, UK) using medium-volume samplers (TH-150CIII,

- 181 Tianhong Co., Wuhan, China), at a flow rate of 100 L min<sup>-1</sup>. The PM<sub>2.5</sub> mass was
- determined using the standard gravimetric method, and one quarter of each PM<sub>2.5</sub>
- sample was ultrasonically extracted with 10 ml high-purity water for 30 min, with
- the extract being filtered by a syringe filter (0.45 µm, Tengda Inc., China). The
- water-soluble cations (NH<sub>4</sub><sup>+</sup>, Na<sup>+</sup>, Ca<sup>2+</sup>, K<sup>+</sup>, Mg<sup>2+</sup>) and anions (NO<sub>3</sub><sup>-</sup>, SO<sub>4</sub><sup>2-</sup>, F', Cl<sup>-</sup>)
- in the extract were analyzed using Dionex-600 and Dionex-2100 Ion
- 187 Chromatographs (Dionex Inc., Sunnyvale, CA, USA), respectively (Zhang et al.,
- 188 2011; Tao et al., 2014).
- 189 *2.3 Quality assurance/ Quality control (QA/QC)*
- 190 All samples were prepared and measured in the Key Laboratory of Plant-Soil
- 191 Interactions, Chinese Ministry of Education, China Agricultural University, which
- has a complete and strict quality control system. Three field (travel) blanks were
- prepared for each batch of samples and analyzed together with those samples. All
- reported concentrations of gases and PM<sub>2.5</sub> mass and ion concentrations are corrected
- for the blanks. The detection limits were 0.01-0.02 mg L<sup>-1</sup> for the measured ions.
- The measurement precisions were in the range of 5-10% for NH<sub>3</sub>, NO<sub>2</sub>, PM<sub>2.5</sub> mass
- and water soluble ion concentrations. Quality assurance was routinely (once every
- 198 15-20 samples) checked using standard (designed specific concentrations of various
- ions) samples during sample analysis.
- 200 2.4 Other data collection
- The 24-h (daily) average concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO measured
- in 291 cities across China (including Beijing city, surrounding 63 cities in emission
- 203 control regions (hereafter termed to emission control regions (excluding Beijing)),
- and 227 cities in other regions of China (hereafter referred to as non-emission
- 205 control regions) during the Pre-Parade Blue, Parade Blue and post-Parade periods
- were downloaded from the Ministry of Environmental Protection (MEP) of China
- 207 (http://www.mep.gov.cn). These data for each city are summarized in **Tables S2-6** in
- 208 the Supplement. For Beijing city, each pollutant's daily individual Air Quality Index
- 209 (AQI) during the above three periods was calculated from the 24-h average

210 concentration. The highest individual AQI was selected and used as the daily AQI.

211 An AQI of 0-50, 51-100, 101-150, and 151-200 is classified as "excellent", "good",

212 "slightly polluted" and "moderately polluted", respectively. Details of the

calculations of AQI and the associated classification of air quality are given in the

214 Chinese Technical Regulations on AQI (MEPC, 2012).

Daily meteorological data in the above mentioned 291 cities for wind speed (WS),

temperature (T), and relative humidity (RH) during the Parade Blue period and

non-Parade Blue periods (the pre- and post-Parade Blue periods) were obtained from

Weather Underground (http://www.underground.com). The daily precipitation and

half-hourly wind speed and direction were measured in Beijing city. The

NCEP/NCAR global reanalysis meteorological data (including daily wind speed,

wind direction, sea surface pressure and precipitation) during the same periods were

provided by the NOAA/OAR/ESRL PSD, Boulder, Colorado, USA, from their

website (http://www.esrl.noaa.gov/psd). The daily mean atmospheric mixing layer

height (MLH) in Beijing during the period from 3 August to 30 September 2015 was

calculated using the method described in Holzworth (1964, 1967).

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2.5 Back trajectories and statistical analysis

228 The 72-h (3-day) backward trajectories arriving at Beijing were calculated four times

a day (00:00, 06:00, 12:00, and 18:00 UTC) at 100 m height using the Hybrid Single

230 Particle Lagrangian Integrated Trajectory (HYSPLIT-4, NOAA) 4.9 model (Draxler

and Hess, 1997). Meteorological data with a resolution of  $0.5^{\circ} \times 0.5^{\circ}$  were input

from the Global Data Assimilation System (GDAS) meteorological data archives of

233 the Air Resource Laboratory, National Oceanic and Atmospheric Administration

(NOAA). The trajectories were then grouped into four clusters during each period

using cluster analysis based on the total spatial variance (TSV) method (Draxler et

al., 2012). Values of NH<sub>3</sub>, NO<sub>2</sub>, PM<sub>2.5</sub> and ion concentrations per study phase at the

sampling sites are shown as the mean  $\pm$  standard error (SE). Temporal differences

between study phases of concentrations of measured gases (NH<sub>3</sub> and NO<sub>2</sub>) and the

- MEP of reported pollutants (i.e. PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO) were investigated
- using paired t-tests while those of measured PM<sub>2.5</sub> mass and associated ionic
- 241 components were investigated using a non-parametric Mann-Whitney U test. All
- statistical analyses were performed using SPSS11.5 (SPSS Inc., Chicago, IL, USA).
- Statistically significant differences were set at p < 0.05 unless otherwise stated.

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#### 245 **3. Results**

- 246 3.1 Concentrations of gaseous  $NH_3$  and  $NO_2$
- 247 Ambient NH<sub>3</sub> concentrations varied greatly during the pre-Parade Blue, Parade Blue
- and post-Parade Blue periods, with values of 8.2-31.7, 7.8-50.7 and 7.4-40.2  $\mu$ g m<sup>-3</sup>,
- respectively (Fig. 2A a). The average NH<sub>3</sub> concentrations during the three periods
- 250 for the sites inside the 6<sup>th</sup> ring road (including road sites (RS) on the 3<sup>rd</sup>, 4<sup>th</sup> and 5<sup>th</sup>
- 251 ring roads and non-road sites (NRS)), outside the 6<sup>th</sup> ring road but in Beijing and
- outside Beijing, are shown in **Fig. 2A b and c**. The mean NH<sub>3</sub> concentration inside
- 253 the 6<sup>th</sup> ring road was significantly smaller (by 13%) during the Parade Blue period
- compared with the mean during the post-Parade Blue period (20.2  $\pm$  1.2 µg m<sup>-3</sup>
- versus  $23.3 \pm 1.8 \,\mu g \, m^{-3}$ ); further, on all three ring roads reductions (23 to 35%) of
- 256 the mean during the Parade Blue period were statistically significant while at the
- 257 non-road sites a small non-significant increase (15%) in the mean was observed (**Fig.**
- 258 **2A c**). The mean NH<sub>3</sub> concentration outside the 6<sup>th</sup> ring road was 12% smaller in the
- Parade Blue period than in the post-Parade Blue period (21.4  $\pm$  6.0  $\mu$ g m<sup>-3</sup> versus
- $24.3 \pm 9.3 \,\mu g \, m^{-3}$ ), whereas outside Beijing, non-significant increases (on average
- 261 80%) in the mean occurred during the Parade Blue period (26.7  $\pm$  12.6  $\mu$ g m<sup>-3</sup>)
- 262 compared with those during the pre- and post-Parade Blue periods (19.9  $\pm$  6.2 and
- 263  $11.8 \pm 2.3 \, \mu g \, m^{-3}$ , respectively).
- Ambient NO<sub>2</sub> concentrations ranged from 21.5 to 227.7, 14.1 to 258.8, and 15.7 to
- 265 751.8 μg m<sup>-3</sup> during the pre-Parade Blue, Parade Blue and post-Parade Blue periods,
- respectively (**Fig. 2B a**). The mean  $NO_2$  concentrations at the sites inside the  $6^{th}$  ring
- road (including road sites on the 5<sup>th</sup> ring road and NRS), outside the 6<sup>th</sup> ring road and

outside Beijing during the three periods are shown in **Fig. 2B b and c**. Inside the 6<sup>th</sup> ring road, the mean concentration during the Parade Blue period (78.7  $\mu$ g m<sup>-3</sup>) was 42% and 35% lower (p<0.01) than the means during the pre- and post-Parade Blue periods (135.7  $\pm$  21.8 and 121.0  $\pm$  16.5  $\mu$ g m<sup>-3</sup>, respectively). For the 5<sup>th</sup> ring RS and NRS, most reductions (33~42%) in the mean during the Parade Blue period were also highly significant (p<0.01). Inside the 6<sup>th</sup> ring road, a large non-significant reduction (59%) in the mean concentration occurred during the Parade Blue period compared with the post-Parade Blue period (183.5  $\pm$  49.1 versus 443.4  $\pm$  173.3  $\mu$ g m<sup>-3</sup>). Outside Beijing, the change in the mean during the Parade Blue period (23.7  $\pm$  3.6  $\mu$ g m<sup>-3</sup>) was small and non-significant when compared with the means during the pre- and post-Parade periods (27.5  $\pm$  4.5 and 18.5  $\pm$  1.7  $\mu$ g m<sup>-3</sup>, respectively).

280 3.2 Concentrations of  $PM_{2.5}$  and its chemical components

A statistical analysis of concentrations of PM<sub>2.5</sub> mass and associated inorganic WSIs at sites 22, 29 and 30 in the three periods is presented in **Table 1**. Daily PM<sub>25</sub> concentrations ranged from 4.2 to 123.6, 15.4 to 116.0, and 12.4 to 170.7 µg m<sup>-3</sup> at sites 22, 29 and 30, respectively. At sites 22 and 29, mean PM<sub>2.5</sub> concentrations during the Parade Blue period decreased significantly (by 49% and 40%, respectively) compared with the means during the pre-Parade Blue period, and increased again during the post-Parade Blue period (57% and 3%, respectively) compared with the means during the Parade Blue period. At site 30, a 24% reduction in mean PM<sub>2.5</sub> concentrations occurred during the Parade Blue period compared with the pre-Parade Blue period and a 103% increase during the post-Parade Blue period. Secondary inorganic aerosols (SIA) (sum of NH<sub>4</sub><sup>+</sup>, NO<sub>3</sub><sup>-</sup> and SO<sub>4</sub><sup>2</sup>-) were major components of PM<sub>2.5</sub>, with average contributions of 24%, 41% and 32% to the daily average PM<sub>2.5</sub> mass at sites 22, 29 and 30, respectively. As with PM<sub>2.5</sub> concentrations, concentrations of all the WSIs (except for Cl<sup>-</sup>) at site 22 decreased significantly during the Parade Blue period compared with the pre- and/or post-Parade Blue periods. Analogous behavior also occurred at sites 29 and 30 for 297 concentrations of NO<sub>3</sub><sup>-</sup>, NH<sub>4</sub><sup>+</sup> and SO<sub>4</sub><sup>2-</sup> but not for those of most of other ions (e.g.

298 Ca<sup>2+</sup>, K<sup>+</sup>, F<sup>-</sup>, Na<sup>+</sup>).

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300 3.3 Daily mean pollutant concentrations from MEP

Daily mean concentrations of the five major pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and 301 CO) at 291 cities in China, divided into three groups of Beijing, cities in emission 302 control regions (excluding Beijing) and cities in non-emission control regions, are 303 304 summarized in Fig. 3. Average concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO during the Parade Blue period were highly significantly (p<0.01) decreased in 305 Beijing, with reductions of 72%, 67%, 39%, 34% and 39%, respectively, compared 306 with the pre-Parade Blue period. PM<sub>2.5</sub> concentrations in Beijing, for example, 307 remained below 20 µg m<sup>-3</sup> for 14 consecutive days in the Parade Blue period (for 308 comparison: the WHO and China's (first-grade) thresholds for daily PM<sub>2.5</sub> 309 concentrations are 25 and 35 µg m<sup>-3</sup>, respectively). The daily PM<sub>2.5</sub> concentrations in 310 Beijing in the pre-Parade Blue period averaged 59 µg m<sup>-3</sup>. Concentrations of PM<sub>2.5</sub>, 311  $PM_{10}$  and  $SO_2$  in the Parade Blue period were also significantly (p<0.05) decreased 312 in cities in emission control regions (excluding Beijing), with reductions of 32%, 313 29% and 7%, respectively, while concentrations of NO<sub>2</sub> and CO did not show 314 statistically significant changes (p>0.05). After the Parade Blue period, 315 316 concentrations of the five major pollutants in Beijing and surrounding regions rebounded quickly, with significant increases of 50-214%, and 16-44%, respectively. 317 In cities in other regions, by contrast, where no additional emission reduction 318 measures were taken, concentrations of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO remained 319 stable or were significantly (p<0.05) higher during the Parade Blue period compared 320 321 with the pre- and post-Parade Blue periods.

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#### 4. Discussion

4.1 Effect of emission controls on air quality

The statistical analyses (Fig. 3) show that, by taking regional emission controls during the Parade Blue period, daily concentrations of the five reported pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO) in Beijing city and surrounding other cities in the six provinces were decreased by various but statistically significant amounts, in sharp contrast to increases in cities in other parts of China where no additional emission controls were imposed. This shows the effectiveness of the pollution controls and suggests that air quality improvement was directly related to the reduction intensities of pollutant emissions (e.g., air pollution control effects ranked by Beijing (largest reduction) > emission control regions surrounding Beijing (moderate reduction) > other regions (no reduction) in China). Another way of quantifying the effect of the additional control measures for Beijing uses the Air Quality Index (MEPC, 2012). On the basis of the calculated air quality index (AQI, Fig. 4), defined "good" and polluted days (i.e. "slightly polluted" and "moderately polluted") altogether accounted for 89% during the pre-Parade Blue period, and 70% during the post-Parade Blue period. The primary pollutant was PM<sub>2.5</sub> for 82% and 63% of these days during the Pre- and post-Parade Blue periods, respectively. In contrast, almost all of the days during the Parade Blue period were defined as "excellent". Thus improved air quality-as represented by the AQI during the Parade Blue period was mainly attributed to the additional control of PM<sub>2.5</sub> precursors. Results from the MEP of source apportionment of PM<sub>2.5</sub> for Beijing (http://www.bj.xinhuanet.com/bjyw/2014-04/17/c\_1110289403.htm) showed 64-72% of atmospheric PM<sub>2.5</sub> during 2012-2013 was generated by emissions from local sources, of which the biggest contributor was vehicle exhaust (31.1%), followed by coal combustion (22.4%), industrial production (18.1%), soil dust (14.3%) and others (14.1%). The contribution from vehicles had increased by 1.7 percentage points compared to 2010-2011. To examine the contribution of vehicles, power plants, and industries to PM<sub>2.5</sub> concentrations, PM<sub>2.5</sub> concentrations from these were compared with those of other primary pollutants such as NO<sub>x</sub> (NO+NO<sub>2</sub>), CO and SO<sub>2</sub> (Zhao et al., 2012). As shown in Fig. S2a-d in the Supplement, the

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linear correlations of PM<sub>2.5</sub> with each pollutant gas (CO, NO<sub>2</sub> and SO<sub>2</sub>) and their sum were positive and highly significant (R=0.40-0.88, p<0.05) during the study period, except for the relationship between PM<sub>2.5</sub> and NO<sub>2</sub> during the pre-Parade Blue period and that of PM<sub>2.5</sub> versus SO<sub>2</sub> during the Parade Blue period, both of which were positive but not significant (p>0.05). This finding is consistent with the source apportionment results that suggest traffic, power plants and industry are significant sources of PM<sub>2.5</sub> in Beijing. Given the importance of local vehicle emissions vs. more distant power plant and industrial emissions for Beijing's air quality, the ratio of CO/SO<sub>2</sub> can be used as an indicator of the contribution of local emissions to air pollution, with higher ratios indicating higher local contributions (Tang et al., 2015). Ratios of CO/SO<sub>2</sub> decreased (on average by 18%) from the pre-Parade Blue to Parade Blue period, and then increased abruptly on September 4<sup>th</sup> in the Post-Parade Blue period (Fig. 4), further suggesting the decreased amount of pollutants from local contributions. Beijing has relatively little industry but numerous automobiles, and the emissions of SO<sub>2</sub> are small while those of CO and NO<sub>x</sub> are much larger (Zhao et al., 2012). Thus, traffic emission is likely to be a determining factor influencing urban CO and NO<sub>x</sub> levels. This, in combination with a strong positive and highly significant correlation of PM<sub>2.5</sub> versus CO+NO<sub>2</sub> during the study period (Fig. S2e, Supplement), and the weak correlation of PM<sub>2.5</sub> versus SO<sub>2</sub> noted above (Fig. S2c, Supplement), shows that traffic emission controls should be a priority in mitigating PM<sub>2.5</sub> pollution in the future. Concentrations of PM<sub>2.5</sub> levels in Beijing are not only driven by primary emissions but are also affected by meteorology and atmospheric chemistry operating on the primary pollutants, leading to secondary pollutant formation (Zhang et al., 2015). To quantify the likely contribution of secondary pollutant formation of PM<sub>2.5</sub> as a contributor to the observed changes between the Parade Blue period and pre- and post-measurements, CO provides an excellent tracer for primary combustion sources (de Gouw et al., 2009). Daily ratios of PM<sub>2.5</sub>/CO during the Parade Blue period decreased significantly on average by 50% and 40% relative to the pre- and

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post-Parade Blue periods, respectively (Fig. 4), which suggests that the significant reduction of PM<sub>2.5</sub> concentrations during the Parade Blue period was not only due to less anthropogenic primary emissions but also due to reduced secondary pollutant formation. This is further supported by our measured results at urban site 22, where average SIA concentrations comprised 20-29% of average PM<sub>2.5</sub> mass over the three periods, and decreased significantly during the Parade Blue period compared with those during the pre- and post-Parade Blue periods (Table 1). Significant reduction of concentrations of precursor gases (e.g. NO2, SO2 and NH3) at the city scale is likely to be the major reason for such reduced secondary pollutant formation. In addition, lower concentrations of sulfate and nitrate during the Parade Blue period might also be caused by lower oxidation rates of SO<sub>2</sub> and NO<sub>x</sub>. The sulfur oxidation  $(SOR = nSO_4^2 - /(nSO_4^2 + nSO_2))$ ratio and the nitrogen oxidation ratio  $(NOR = nNO_3^{-}/(nNO_3^{-} + nNO_2))$  (n refers to the molar concentration) are indicators of secondary pollutant transformation in the atmosphere. Higher values of SOR and NOR imply more complete oxidation of gaseous species to sulfate- and nitrate-containing secondary particles (Sun et al., 2006). To understand the potential change in the degree of oxidation of sulfur and nitrogen, we used daily concentrations of  $SO_4^{2-}$  and  $NO_3^{-}$  measured at urban site 22 (located at west campus of China Agricultural University) and the MEP-reported concentrations of SO<sub>2</sub> and NO<sub>2</sub> at the Wanliu monitoring station to calculate the SOR and NOR values. This is because these two sites, only 7 km apart (Fig. S3, Supplement), experience similar pollution climates. The average values of SOR and NOR were 0.64 and 0.04 during the pre-Parade Blue period, 0.47 and 0.03 during the Parade Blue period, and 0.48 and 0.07 during the Post-Parade Blue period, respectively. (Fig. S4, Supplement). Compared with the pre- and post-Parade Blue periods, slightly reduced values of SOR and NOR during the Parade Blue period suggests a possible minor role for changes in the extent of photochemical oxidation in secondary transformation. Ammonia is the primary alkaline trace gas in the atmosphere. In ammonia-rich environments, NH<sub>4</sub>HSO<sub>4</sub> and (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> are sequentially formed, and the surplus

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412 NH<sub>3</sub> that does not react with H<sub>2</sub>SO<sub>4</sub> can form NH<sub>4</sub>NO<sub>3</sub> (Wang et al., 2005). In both the pre-Parade Blue and Parade Blue periods, NH<sub>4</sub><sup>+</sup> was strongly correlated with 413  $SO_4^{2-}$  (Fig. S5 a and c, Supplement) and  $[SO_4^{2-}+NO_3^{-}]$  (Fig. S5 b and d, 414 **Supplement**), and the regression slopes were both 0.87 during the pre-Parade Blue 415 period, 0.97 and 0.91 during the Parade Blue period, and 1.13 and 0.79 during the 416 post-Parade Blue period, respectively. These results indicate almost complete 417 neutralization of acidic species (HNO<sub>3</sub> and H<sub>2</sub>SO<sub>4</sub>) by NH<sub>3</sub> in PM<sub>2.5</sub> during these 418 419 three periods especially in the Parade Blue period. In this way, SIA concentrations from these sources could not be further reduced during the Parade Blue period unless 420 NH<sub>3</sub> emissions were reduced more than those of SO<sub>2</sub> and NO<sub>x</sub>. 421 4.2 Impact of traffic NH<sub>3</sub> emission on urban NH<sub>3</sub> concentration 422 The sources of NH<sub>3</sub> are often dominated by agriculture, but it may also be produced 423 by motor vehicles due to the over-reduction of NO in catalytic converters (Kean et 424 425

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al., 2000). The contribution of traffic to the total NH<sub>3</sub> emissions is estimated at approximately 2% in Europe (EEA, 2011) and 5% in the US (Kean et al., 2009). In China, NH<sub>3</sub> emissions from traffic rose from 0.005 Tg (contributing approximately 0.08% to total NH<sub>3</sub> emissions) in 1980 to 0.5 Tg (contributing approximately 5% to total emissions) in 2012 (Kang et al., 2016). Recent studies have discussed the origin of atmospheric  $NH_3$  in Beijing city based on the  $\delta^{15}N$  technique (Chang et al., 2016; Pan et al., 2016). For example, Chang et al. (2016) identified that non-agricultural sources, merged with waste and traffic NH<sub>3</sub> emissions, collectively accounted for approximately 50% of ambient NH<sub>3</sub> in urban Beijing before and after APEC summit, of which more than 20% was sourced from traffic emissions. Traffic is therefore likely to make a very significant contribution to NH<sub>3</sub> concentrations in urban areas of Beijing, and a strong correlation of NH<sub>3</sub> with traffic-related pollutants was observed (NO<sub>x</sub> and CO) at the urban sites (Ianniello et al., 2010; Meng et al., 2011). However, this relationship has a large uncertainty because the concentrations of pollutants used to establish the relationship were measured at 'background' urban sites some distance from major roads, and other urban sources such as decaying organic matter

may contribute. In the present study, strong and significant correlations were observed between NH<sub>3</sub> and NO<sub>2</sub> concentrations measured on the 5<sup>th</sup> ring road during all three periods (Fig. 5). In addition, compared with the averages for the three ring roads during the pre- and/or post-Parade Blue periods, the average NH<sub>3</sub> concentrations during the Parade Blue period decreased significantly owing to traffic reduction measures (Fig. 2A c). These results provide strong evidence that traffic is an important source of NH<sub>3</sub> in Beijing. In addition to period-to-period temporal changes, the mean NH<sub>3</sub> concentration at all road sites was 1.3 and 1.9 times that at all non-road sites during the Parade Blue period and post-Parade Blue period, respectively (Fig. 2A). Moreover, during the post-Parade Blue period the measured NH<sub>3</sub> concentrations on the three ring roads  $(28.3 \pm 6.4 \,\mu g \, m^{-3})$  were twice those at the rural sites 29 and 30 (14.0  $\pm$  1.6  $\mu$ g m<sup>-3</sup>) affected by intense agricultural NH<sub>3</sub> emissions. These results, along with the fact that urban Beijing has a higher relative on-road vehicle density and almost no agricultural activity, suggest that NH<sub>3</sub> emission and transport from local traffic were the main contributors to high urban NH<sub>3</sub> concentrations. Based on a mileage-based NH<sub>3</sub> emission factors of 28 ± 5 (assumed as the lower limit, Chang et al., 2016) and 230  $\pm$  14.1 mg km<sup>-1</sup> (assumed as the upper limit, Liu et al., 2014) for light-duty gasoline vehicles, a population of 5.61 million vehicles (average mileage 21849 km vehicle<sup>-1</sup> yr<sup>-1</sup>) in Beijing would produce approximately 3.4-28 kt NH<sub>3</sub> in 2015, which likely declined by up to 4.7-38 t NH<sub>3</sub> day<sup>-1</sup> during the Parade Blue period, given that the traffic load decreased by half with the implementation of the odd-and-even car ban policy. For accurately determining NH<sub>3</sub> emissions, however, further study on NH<sub>3</sub> emission factors for vehicles and other sources is warranted.

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4.3 Impact of meteorological conditions and long-range air transport

Meteorological conditions strongly regulate near-surface air pollutant concentrations (Liu et al., 2015), contributing the largest uncertainties to the evaluation of the effects of emission controls on pollutant reduction. Here we first compared the

meteorological data obtained during the Parade Blue period with those from the preand/or post-Parade Blue periods in Beijing and other cities over North China. In Beijing, neither wind speed (WS) nor relative humidity (RH) differed significantly between non-Parade Blue (the pre- and post-Parade Blue) and the Parade Blue periods, while temperature (T) showed a significant but small decrease with time (Fig. 6). Similarly, there were small and non-significant changes in T, WS and RH between the pre-Parade Blue and Parade Blue periods for emission control regions (excluding Beijing) and for non-emission control regions in China. These results suggest that the period-to-period changes in T, WS and RH may have only a minor impact on PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO concentrations in the emission control regions (Fig. 3). In contrast, a higher temperature during the Parade Blue period, compared to the post-Parade Blue period, can in part explain the corresponding higher NH<sub>3</sub> concentrations measured at NRS, due to increased NH<sub>3</sub> emissions from biological sources such as humans, sewage systems and organic waste in garbage containers (Reche et al., 2012). Surface weather maps of China (Fig. S6, Supplement) and North China (Fig. 7) showed an apparent change of wind field over Beijing and its surrounding regions during the Parade Blue period compared with the other two periods. As shown in Fig. 7, Beijing was located at the rear of a high pressure system within the southeast/south flow or in a high-pressure area when the wind was weak (< 3 m s<sup>-1</sup>), and at the base of the Siberian high pressure system when influenced by a weak cold front and easterly wind (> 4 m s<sup>-1</sup>) in the non-Parade (pre- or post-Parade) Blue and Parade Blue periods, respectively. The former weather condition (non-Parade Blue periods) was conducive to pollutant convergence and the latter (Parade Blue period) was conducive to pollutant dispersion. A further analysis of wind rose plots (Fig. 8a) showed that northerly winds, with similar wind speeds, dominated all three periods. Northerly/northwesterly winds in Beijing bring relatively clean air due to a lack of heavy industry in the areas north/northwest of Beijing. Winds during the pre- and post-Parade Blue periods were occasionally from the south, southeast and east of

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Beijing, where the regions (e.g. Hebei, Henan and Shandong provinces) are characterized by substantially higher anthropogenic emissions of air pollutants such as NH<sub>3</sub>, NO<sub>x</sub>, SO<sub>2</sub> and aerosols (Zhang et al., 2009, 2010; Gu et al., 2012). Also as mentioned earlier, the topography of the mountains to the West and North of Beijing effectively traps the polluted air over Beijing during southerly airflow, suggesting that the southerly wind during non-Parade Blue periods may enhance air pollution in Beijing. Wet scavenging from precipitation, although often important in summer (Yoo et al., 2014), probably played a minor role in changing the concentrations of pollutants given the low and comparable precipitation over Beijing and surrounding areas during the study periods (Fig. 8). For example, the total precipitation in Beijing was comparable between the pre-Parade Blue and Parade Blue periods (38.9 versus 34.4 mm) (**Fig. 8b**). In addition, we compared daily mean mixing layer height (MLH) in Beijing during the study period (Fig. 9a). The daily mean MLH in Beijing was approx. 37% higher during the Parade Blue period (1777 m) than the pre-Parade (1301 m) and post-Parade (1296 m) Blue periods (**Fig. 9b**, p = 0.08). Since the MLH during Parade Blue was higher than that during non-Parade Blue periods, the horizontal and vertical diffusion conditions during the Parade Blue period were better than the other two periods. Changes in meteorological conditions often lead to changes in regional pollution transport and ventilation. It has been shown that regional transport from neighboring Tianjin, Hebei, Shanxi, and Shandong Provinces can have a significant impact on Beijing's air quality (Meng et al., 2011; Zhang et al., 2015). Model calculations by Zhang et al. (2015) suggested that about half of Beijing's PM<sub>2.5</sub> pollution originates from sources outside of the city. Trajectory analysis in previous studies revealed that the air mass from south and southeast regions of Beijing led to high concentrations of NH<sub>3</sub>, NO<sub>x</sub>, PM<sub>2.5</sub> and secondary inorganic ions during summertime (Ianniello et al., 2010; Wang et al., 2010; Sun et al., 2015). The 72-hour back trajectories during the three measurement periods, shown in Fig. 10, were classified into 4 sectors according to air mass pathways: the west pathway over southern Mongolia, western

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Inner Mongolia, and SinKiang, the north pathway over Inner Mongolia, Heilongjiang and north Hebei Provinces, the east pathway mainly over northeast Hebei province and Tianjin municipality, and the south sector over the south Hebei and Shandong provinces. The results indicated that transport of regional pollution from the south sector occurred during the pre-Parade Blue period (38%) and the post-Parade Blue period (18% for PM<sub>2.5</sub> sampling days and 29% for NH<sub>3</sub> sampling days) but there was no transport of regional pollution during the Parade Blue period. As the south of Hebei province contains heavily polluting industry and intensive agriculture (Zhang et al., 2009; Sun et al., 2015), the absence of transport of air masses from the south sector is likely at least partly responsible for lower concentrations of the five reported pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO) during the Parade Blue period. As for NH<sub>3</sub>, however, average concentration at NRS were slighter higher in the Parade Blue period than in the post-Parade Blue period (Fig. 2A c), indicating that surface levels of NH<sub>3</sub> were less influenced by southern air masses. Much of the airflow travelled over Tianjin municipality during the Parade Blue period (32%) compared to that during the post-Parade Blue period (19%) (Fig. 10 b, d), which probably caused the high surface NH<sub>3</sub> concentrations in Beijing. This is because Tianjin, as one of the mega-cities in China, has high NH<sub>3</sub> emissions from livestock and fertilizer application (Zhang et al., 2010). To further diagnose the impacts of meteorology on the surface air quality, we conducted a simulation using the nested GEOS-Chem atmospheric chemistry model driven by the GEOS-FP assimilated meteorological fields at 1/4°×5/16° horizontal resolution covering East Asia (70°E-140°E, 15°N-55°N) (Zhang et al., 2015; 2016). Details of the model emissions and mechanisms have been described in Zhang et al. (2016), focusing on PM<sub>2.5</sub> concentrations in Beijing during the Asia-Pacific Economic Cooperation Summit (APEC; November 5-11) period. We used anthropogenic emissions from the Multi-Resolution Emission Inventory of China for the year 2010 (MEIC, 2015), except for NH<sub>3</sub> emissions that were taken from the Regional Emission in Asia (REAS-v2) inventory (Kurokawa et al., 2013) with an

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improved seasonality derived by Zhao et al. (2015).

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We conducted a standard simulation with fixed anthropogenic emissions for the period of 1 August – 12 September 2015. By fixing anthropogenic emissions in the simulation, the model provides a quantitative estimate of the meteorological impacts alone before and during the Parade Blue period. For the pre-Parade period (1-19 August), the model-simulated mean PM<sub>2.5</sub> concentration is 62 µg m<sup>-3</sup> in Beijing, comparable to the measured values (59 µg m<sup>-3</sup>), but simulated NH<sub>3</sub> concentrations are too low (3 µg m<sup>-3</sup> vs. 8.2-31.7 µg m<sup>-3</sup>), probably due to missing urban NH<sub>3</sub> sources and the coarse model resolution (1/4°×5/16°). Here we focus on the model simulated relative changes in pollutant concentrations before and during the Parade Blue period. Model results showed that, without emission controls, the air pollutant concentrations in Beijing in the Parade Blue period relative to the pre-Parade period would be 29% lower for PM<sub>2.5</sub>, 7% lower for NH<sub>3</sub>, 17% lower for SO<sub>2</sub>, 8% lower for CO and relatively no change for NO<sub>2</sub> (Fig. 11a) as a result of the different meteorological conditions as discussed above. Thus, compared with meteorological condition changes (MCC), air pollution control measures (PCM) made a greater contribution to air quality improvement (especially for PM2.5, NOx, and CO) in Beijing during the Parade Blue period (**Fig. 11b**). We also conducted two sensitivity simulations ((1) with anthropogenic emissions of NH<sub>3</sub> reduced by 40% over Beijing and by 30% over Hebei and Tianjin; and (2) with all anthropogenic emissions including NH<sub>3</sub>, SO<sub>2</sub>, NO<sub>x</sub>, CO, and primary aerosol reduced by 40% over Beijing and by 30% over Hebei and Tianjin) for the Parade Blue period (20 August-3 September) to examine the responses of PM<sub>2.5</sub> concentrations to emission reductions. We find that the NH<sub>3</sub> emission reduction (by 40% over Beijing and by 30% over Hebei and Tianjin) could decrease the mean PM<sub>2.5</sub> concentration in Beijing by 12% for the period, compared with 31% simulated PM<sub>2.5</sub> reduction if all anthropogenic emissions were reduced by the same amount. This supports our findings on the effectiveness of emission controls during the Parade Blue period as indicated in the measurements, and the high sensitivity of PM<sub>2.5</sub> concentration in Beijing to NH<sub>3</sub> sources.

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588 4.4 Implications for regional air pollution control Besides Tianjin, Beijing city is surrounded by four provinces, Hebei, Shandong, 589 Henan and Shanxi, which all have major power plants and manufacturing industry. 590 591 In the INTEX-B emission inventory, the total emissions from these four provinces accounted for 28.7%, 27.9%, 28.3%, and 25.0% of national emissions of PM<sub>2.5</sub>, 592 593 PM<sub>10</sub>, SO<sub>2</sub>, and NO<sub>x</sub>, respectively (Zhang et al., 2009). The 'Parade Blue' experience demonstrates that, by taking appropriate but strict coordinated regional and local 594 emission controls, air quality in megacities can be significantly and quickly 595 improved. 596 597 China is not the first country to use temporal emission control strategies. In 1996, the city of Atlanta, for example, adopted a series of actions to reduce traffic volume and 598 significantly improved air quality during the Atlanta Olympic Games (Tian and 599 Brimblecombe, 2008; Peel et al., 2010). We also should note that most of these 600 601 emission control strategies have not been maintained after the Olympic Games. In the long term, temporary emission control strategies will not improve regional air 602 quality conditions and we should seek better ways towards sustainable development. 603 Integrated emission reduction measures are therefore necessary, but meteorological 604 605 conditions also need to be considered for a sustainable solution, as in Urumqi, northwest China (Song et al., 2015). We therefore recommend further efforts to build 606 on the Parade Blue experience of successful air quality improvement in Beijing and 607 the surrounding region to improve air pollution control policies throughout China 608 609 and in other rapidly developing countries. 610 Chinese national SO<sub>2</sub> emissions have been successfully reduced by 14% from the 611 2005 level due to an SO<sub>2</sub> control policy (Wang et al., 2014), and nationwide controls on  $NO_x$  emissions have been implemented along with the controls on  $SO_2$  and 612 primary particles during 2011-2015. However, there is as yet no regulation or policy 613 614 that targets national NH<sub>3</sub> emissions. Future emission control policies to mitigate PM and SIA pollution in China should, in addition to focusing on primary particles, NO<sub>x</sub> and SO<sub>2</sub>, also address NH<sub>3</sub> emission reduction from both agricultural and non-agricultural sectors (e.g. traffic sources) in particular when NH<sub>3</sub> becomes key to PM<sub>2.5</sub> formation (Liu et al., 2013; Wu et al., 2016; Xu et al., 2016).

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#### **Conclusions**

We have presented atmospheric concentrations of NH<sub>3</sub>, NO<sub>2</sub>, PM<sub>2.5</sub> and associated 621 622 inorganic water-soluble ions before, during, and after the Parade Blue period measured at thirty-one in situ sites in and outside Beijing, and daily concentrations 623 of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO in 291 cities in China during the pre-Parade Blue 624 and Parade Blue periods released by the Ministry of Environmental Protection (MEP) 625 of China. Our unique study examines temporal variations at local and regional scales 626 across China and the relative role of the emission controls and meteorological 627 conditions, as well as the contribution of traffic, to NH3 levels in Beijing based on 628 the first direct measurements of NH<sub>3</sub> and NO<sub>2</sub> concentrations at road sites. The 629 630 following major findings and conclusions were reached: The concentrations of NH<sub>3</sub> and NO<sub>2</sub> during the Parade Blue period at the road sites 631 in different areas of Beijing decreased significantly by 12-35% and 34-59% 632 respectively relative to the pre-and post-Parade Blue measurements, while those at 633 634 the non-road sites showed an increase of 15% for NH3 and reductions of 33% and 42% for NO<sub>2</sub>. Positive and significant correlations were observed between NH<sub>3</sub> and 635 NO<sub>2</sub> concentrations measured at road sites. Taken together, these findings indicate 636 that on-road traffic is an important source of NH3 in the urban Beijing. Daily 637 638 concentrations of PM<sub>2.5</sub> and secondary inorganic aerosols (sulfate, ammonium, and nitrate) at the urban and rural sites both decreased during the Parade Blue period, 639 which was closely related to controls of secondary aerosol precursors (NH<sub>3</sub>, SO<sub>2</sub> and 640 NO<sub>x</sub>) and/or reduced secondary pollutant formation. 641 642 During the Parade Blue period, daily concentrations of air pollutants (PM<sub>2.5</sub>, PM<sub>10</sub>, 643 NO<sub>2</sub>, SO<sub>2</sub> and CO) in 291 cities obtained from the national air quality monitoring

network showed large and significant reductions of 34-72% in Beijing, small reductions of 1-32% in emission control regions (excluding Beijing), and slight increases (6-16%) in non-emission control regions that in some cases were significant, which reflects the positive effects of emission controls on air quality and suggests that the extent of air quality improvement was directly associated with the reduction intensities of pollutant emissions.

A detailed characterization of meteorological parameters and regional transport demonstrated that the good air quality in Beijing during the Parade Blue period was the combined result of emission controls, meteorological effects and the absence of transport of air masses from the south of Beijing. Thus, the net effectiveness of emission controls was investigated further by excluding the effects of meteorology in model simulations, which showed that emission controls can contribute reductions of pollutant concentrations of nearly 60% for PM<sub>2.5</sub>, 109% for NO<sub>2</sub>, 80% for CO, 53% for NH<sub>3</sub> and 50% for SO<sub>2</sub>. This result showed that emission controls played an dominant role in air quality improvement in Beijing during the Parade Blue period.

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#### **Author Contributions**

- X.L. and F.Z. designed the research. X.L., W.X., W.S., Y.Z., D.Y., D.W. Z.W. and
- A.T. conducted the research (collected the data and performed the measurements).
- 669 W.X., W.S., Z.L. and X.L. wrote the manuscript. All authors were involved in the
- discussion and interpretation of the data as well as the revision on the manuscript.

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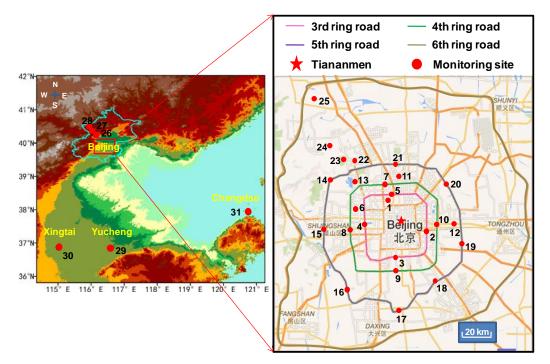
- 862
- 863 **Figure captions**
- Fig. 1. Maps showing the thirty-one monitoring sites, the Beijing municipality (the
- areas within the blue line, and the surrounding regions. Also shown are locations of
- Tiananmen, and the 3<sup>rd</sup>, 4<sup>th</sup>, 5<sup>th</sup> and 6<sup>th</sup> ring roads.
- Fig. 2. Concentrations of NH<sub>3</sub> (A) and NO<sub>2</sub> (B) during the monitoring periods at
- different observation scales: concentrations at 31 (NH<sub>3</sub>) or 17 (NO<sub>2</sub>) sites (a),
- averaged concentrations for the sites inside the 6<sup>th</sup> ring (R) road (Rd), outside the 6th
- 870 ring (R) road (Rd) and outside Beijing (b), averaged concentrations for the sites on
- 871 the 3<sup>rd</sup>, 4<sup>th</sup> and/or 5<sup>th</sup> ring roads and non-road sites (NRS) (c) (one asterisk on bars
- denotes significant difference at p<0.05, two asterisks on bars denote significant
- 873 difference at p<0.01).
- Fig. 3. Comparison of PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, SO<sub>2</sub> and CO concentrations between
- 875 non-Parade Blue periods (the pre- and post-Parade Blue periods) and Parade Blue
- period at Beijing, cities in emission control regions (excluding Beijing) and other
- 877 cities in non-emission control regions (one asterisk on bars denotes significant
- difference at p < 0.05, two asterisks on bars denote significant difference at p < 0.01).
- Fig. 4. Daily values of AQI and daily ratios of CO to SO<sub>2</sub> concentrations and of
- PM<sub>2.5</sub> to CO concentrations in Beijing during the pre-Parade Blue, Parade Blue and
- post-Parade Blue periods.
- Fig. 5. Correlations between NO<sub>2</sub> and NH<sub>3</sub> concentrations measured on the 5<sup>th</sup> ring
- 883 road in Beijing during the pre-Parade Blue, Parade Blue, and post-Parade Blue
- periods.
- Fig. 6. Comparison of wind speed (WS), relative humidity (RH) and temperature (T)
- between the Parade Blue period and non-Parade Blue periods (the pre-Parade Blue
- and post-Parade Blue periods) in Beijing, emission control regions (excluding
- 888 Beijing) and other cities in non-emission control regions (two asterisk on bars
- denotes significant difference at p < 0.01).

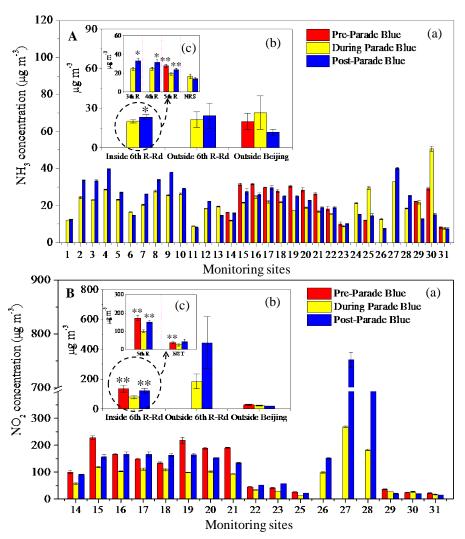
- Fig. 7. Mean sea level pressure (unit: hPa) and mean wind field at 10 m height (unit:
- 891 m/s) during the pre-Parade Blue (a), Parade Blue (b) and post-Parade Blue (c)
- periods in Beijing and North China. The color bar denotes air pressure (unit: hPa)
- and arrows reflect wind vector (unit: m s<sup>-1</sup>).
- Fig. 8. The frequency distributions of wind directions and speeds (color demarcation)
- 895 (a), and daily precipitation amount (b) in Beijing city during the pre-Parade Blue,
- 896 Parade Blue, and post-Parade Blue periods.
- Fig. 9. Dynamics of daily mean atmospheric mixing layer height (MLH) in Beijing
- from 3 August to 30 September 2015 (a) and comparison of MLH means during the
- pre-Parade Blue, Parade Blue and post-Parade Blue periods (b).
- Fig. 10. 72-h backward trajectories for 100 m above ground level in Beijing city
- during the pre-Parade Blue period (1 to 19 August 2015) (a), the Parade Blue period
- 902 (20 August to 3 September 2015) (b), and the post-Parade Blue period (4 to 30
- September 2015) (c), and for sampling duration of NH<sub>3</sub> (8 to 19 September 2015) in
- 904 the post-Parade Blue period (d).

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- 905 Fig. 11 Effect of meteorological condition change (MCC, simulated by a
- 906 GEOS-Chem chemical transport model) and pollution control measures (PEM,
- measured by monitoring stations) to relative concentrations of CO, NO<sub>2</sub>, SO<sub>2</sub>, NH<sub>3</sub>
- and PM<sub>2.5</sub> (a) and relative contribution of MCC and PEC to major pollutant
- 909 mitigation (b) in Beijing during the Parade Blue period.





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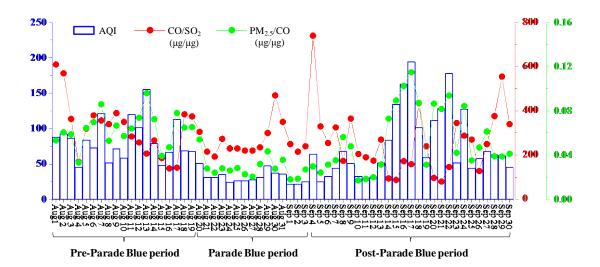
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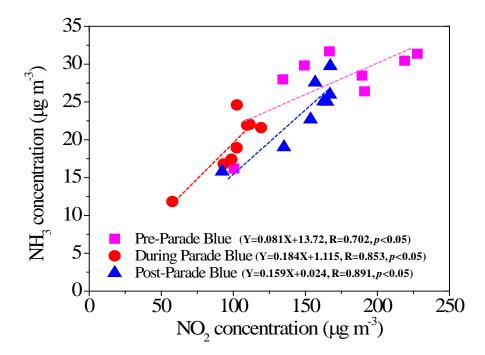
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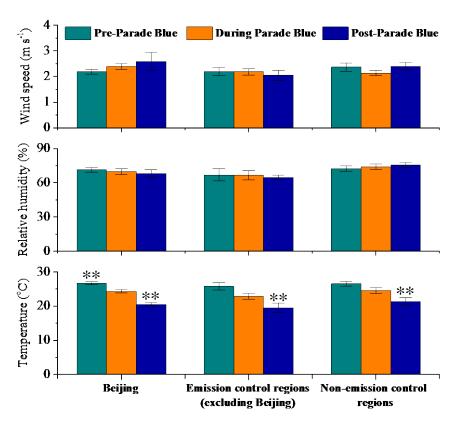
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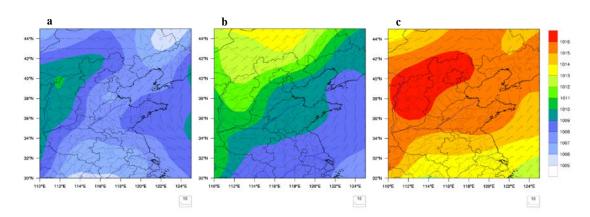
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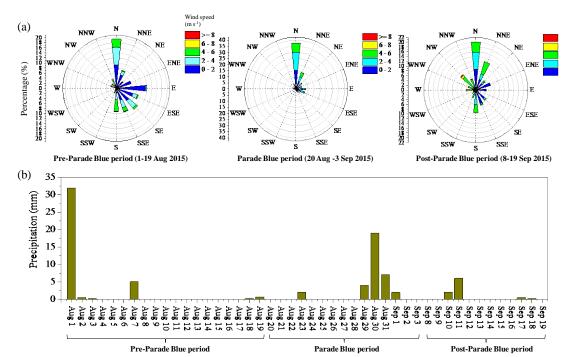
100  $PM_{2.5}$ Pre-Parade Blue During Parade Blue Post-Parade Blue 80 \*\* \*\* 60 \*\* \*\* 40 \*\* \*\* 20 0 Pollutant concentration (µg m<sup>-3</sup>) 150  $PM_{10}$ 120 \*\* \*\* \*\* 90 \*\* \* \*\* 60 30 0 60  $NO_2 \\$ \*\* 40 \*\* \*\* \*\* 20 0 30 SO<sub>2</sub> \*\* 20 \*\* 10 \* \* 1600 CO \*\* 1200 \*\* \*\* 800 400 Beijing **Emission control** Non-emission control regions (excluding Beijing) regions

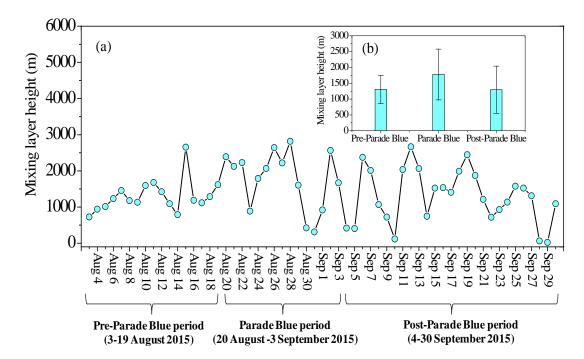


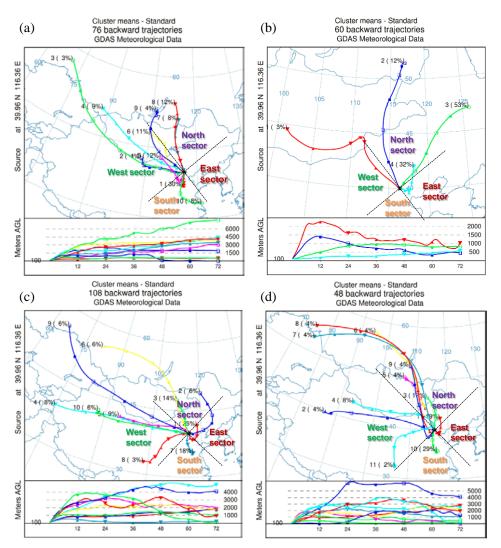












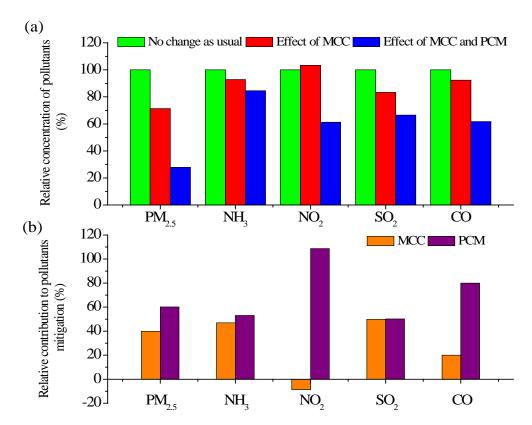


Table 1. Mean (SE) ambient concentrations of PM<sub>2.5</sub> and associated ionic components at the urban and rural sites.

	Urban site (Site 22) in Beijing			Rural site (Site 29) in Shandong			Rural site (Site 30) in Hebei		
	Pre-		Post-	Pre-		Post-	Pre-		Post-
	PBP	$PBP^{a}$	PBP	PBP	PBP	PBP	PBP	PBP	PBP
	(n=11)	n=11) (n=15)		(n=6)	(n=5)	(n=10)	(n=6)	(n=5)	(n=8)
$PM_{2.5}$	72.37	37.23	58.49	90.27	53.84	55.30	38.73	29.44	59.73
	(7.36)**	(5.37)	(7.99)	$(8.53)^*$	(11.37)	(7.45)	(5.17)	(6.55)	(16.35)
$NO_3$	2.07	0.85	6.27	4.21	1.22	5.56	0.58	1.02	3.46
	(0.60)	(0.15)	(1.72)**	(1.71)	(0.22)	$(1.03)^{**}$	(0.22)	(0.05)	$(0.81)^*$
$SO_4^{2-}$	13.26	3.79	10.92	25.53	11.55	14.80	9.57	6.04	8.21
	(2.85)**	(0.69)	(2.94)	(3.36)*	(3.20)	(2.84)	$(1.07)^*$	0.65	0.89
$NH_4^+$	4.62	1.15	4.07	8.85	3.49	4.32	2.41	0.58	2.34
	(0.94)**	(0.26)	(1.25)	$(0.91)^*$	(1.01)	(0.98)	$(0.30)^*$	* 0.18	(0.40)**
$Ca^{2+}$	0.58	0.38	0.51	0.29	0.29	0.23	0.19	0.12	0.09
	(0.04)**	(0.06)	(0.07)	(0.06)	(0.11)	(0.05)	(0.07)	(0.02)	(0.02)
$K^{+}$	0.30	0.15	0.42	0.76	0.50	0.99	0.20	0.18	0.24
	(0.04)**	(0.02)	$(0.08)^{**}$	(0.07)	(0.11)	(0.18)	(0.03)	(0.02)	(0.02)
$\mathbf{F}$	0.17	0.10	0.07	0.04	0.07	0.10	0.01	0.00	0.00
	$(0.02)^*$	(0.01)	(0.02)	(0.03)	(0.03)	(0.04)	(0.00)	(0.00)	(0.00)
Cl <sup>-</sup>	0.11	0.11	0.13	0.14	0.29	0.19	0.06	0.01	0.24
	(0.01)	(0.01)	(0.03)	(0.03)	(0.14)	(0.06)	(0.03)	(0.00)	$(0.09)^*$
$Na^+$	0.10	0.09	0.25	0.25	0.45	0.42	0.35	0.52	0.26
	(0.02)	(0.02)	$(0.05)^{**}$	(0.05)	(0.25)	(0.04)	(0.08)	(0.06)	$(0.02)^{**}$
$\mathrm{Mg}^{2+}$	0.08	0.05	0.07	0.05	0.15	0.07	0.03	0.04	0.04
	(0.01)**	(0.01)	(0.01)	(0.01)	(0.12)	(0.01)	$(0.00)^*$	*(0.00)	(0.00)
$SIA^{c}$	19.95	5.78	21.26	38.58	16.26	24.68	12.56	7.64	14.00
	(3.83)**	(1.00)	(5.83)*	(3.75)**	(4.19)	(4.61)	(1.43)*	(0.81)	$(1.97)^*$
SIA/PM <sub>2.5</sub>	25.4	20.0	29.0	42.9	31.4	45.6	35.1	30.4	30.1
(%)	(3.2)	(4.2)	(4.8)	(2.3)	(3.7)	(4.7)	(5.2)	(5.6)	(4.4)

<sup>&</sup>lt;sup>a</sup> Parade Blue period. <sup>b</sup> Number of samples. <sup>c</sup> Secondary inorganic aerosol.

<sup>\*</sup>Significant at the 0.05 probability level. \*\* Significant at the 0.01 probability level.