

1 We would like to thank the referee for insightful comments. We believe that the manuscript has
2 improved significantly after the issues pointed out by the referee were addressed. Below are the
3 referee's comments followed by our replies:

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5 *Interactive comment on "Retrieval of aerosol optical depth from surface solar radiation*
6 *measurements using machine learning algorithms, nonlinear regression and a radiative*
7 *transfer based look-up table" by J. Huttunen et al. Anonymous Referee #1*

8 *Received and published: 22 February 2016 General comments: The paper has interest considering the*
9 *relevance to obtain aerosol optical depth (AOD) from available measurements such as solar radiation*
10 *measurements. In this sense, the authors have compared several methods to estimate AOD*
11 *from solar radiation measurements considering additional variables (solar zenith angle and water*
12 *vapor content). Particular comments:*

13 *1) In the paper the term "surface solar radiation" is mentioned the first time using the*
14 *acronyms SSR. In order to avoid confusion is necessary to specify that it refers to global irradiance*
15 *(not direct or diffuse solar irradiance).*

16 • We have modified this part as follows: "There have been, however, recent studies where aerosol
17 load has been indirectly retrieved from global surface solar radiation (SSR) or separately from
18 direct and diffuse radiation measurements, which would cover much longer time periods than
19 sun photometer and satellite observations of AOD. Recently, Kudo et al., 2011 and Lindfors et
20 al., 2013 used radiation measurements done with pyranometers and pyrhemometers to estimate
21 AOD."

22 2) In section 1 (Introduction), the method of Foyo-Moreno et al. (2014) is mentioned
23 along with the machine learning methods, but this method estimates AOD from solar
24 radiation measurements using a linear relationship between AOD and a ratio. The
25 neural network has been used to confirm the most adequate variables to take into
26 account in the model. This should be clarified.

27 • We changed the reference to Olcese et al., 2015, "A method to estimate missing AERONET
28 AOD values based on artificial neural networks", which is a better example of a study where
29 Neural Networks are used for retrieving AOD. In their study, they fill in missing AOD values
30 (due to e.g. cloud cover) at one AERONET station based on trajectories and AOD observed on
31 another site.

32 3) I consider that the criterion used by the authors to eliminate clouds is arbitrary or
33 subjective in nature. Additionally, the criterion uses a function of SSR with AOD for a
34 given solar zenith angle. What solar zenith angle? Is there then a different relation-
35 ship for every solar zenith angle? The authors should use other methods, considering
36 that there are several standard methods such as that of Long and Ackerman (2000),
37 an automated method to identify periods of clear skies using solar radiation measure-
38 ments. On the other hand, the authors assume a priori a dependence between SSR
39 and AOD and this the task of the paper: evaluating and comparing various methods
40 with an additional variable (water vapour content-WVC-)

41 • The initial cloud screening was done using a similarly sophisticated method (Lindfors et al.,

42 2013) as that of Long and Ackermann (2000). However, after the initial screening, the
43 remaining data still included clear outliers which were suspected to be cloud contaminated. As a
44 “safety precaution”, we further screened the data to exclude these outliers. It has to be noted
45 that the excluded data was only a small fraction of all the data that remained after the cloud
46 screening and it is very unlikely that the additional cloud-screening would affect the main
47 results and the conclusions of the study. Therefore, we feel that an alternative cloud-screening
48 method would not change our main results and conclusions. This is clarified in the revised
49 manuscript.

50 4) On page 7 where the nonlinear regression method (NR) is described there is an
51 equation with different variables, and one of them is ‘flux’. Variables should be men-
52 tioned consistently; I suppose that this is Global Irradiance (SSR). On the other hand,
53 in a paper the equation should be numbered. Also the coefficients should be specified
54 together with their errors.

55 • We have rewritten the equation in the revised manuscript as follows:

$$\text{AOD} = b_0 + b_1 \exp\left(\frac{1}{\text{SZA}}\right) + b_2 \exp\left(\frac{1}{\text{SSR}}\right) + b_3 \exp\left(\frac{1}{\text{WVC}}\right) + b_4 \exp\left(\frac{1}{\text{SZA}} + \frac{1}{\text{SSR}}\right) + b_5 \exp\left(\frac{1}{\text{SZA}} + \frac{1}{\text{WVC}}\right) + b_6 \exp\left(\frac{1}{\text{SSR}} + \frac{1}{\text{WVC}}\right).$$

57 • In addition, we have included the coefficient values and errors. See Table A2 in the revised
58 manuscript.

59 5) I don't understand paragraph 10 on page 9, with the terms used $\theta =$, θ_{LL} ,
60 θ_U , nugget . The same comment can be made regarding the explanation of the

61 *Random Forest method (min_samples_split, etc). In short, the machine learning meth-*
62 *ods are not clearly explained.*

63 • The descriptions of the methods are now updated in the section **”2.5 Machine learning**
64 **methods for AOD retrievals”**. The machine learning model descriptions were homogenized. A
65 sentence on how the selection of the training parameters was carried out was added for each of
66 the models.

67 *6) In section 3.1, in Table 1, what are the four last rows?*

68 • In Table 1, the four last rows represent the values for cases where the results of machine
69 learning methods are combined by averaging them. This is now clarified in the manuscript.

70 *7) In Figure 1 the fitting equation should be included.*

71 • The fitting equation is now presented in the figure caption.

72 *8) In Figure 1 I don't understand the mean of the colorbar because I think the colors*
73 *should not be superimposed. The authors should clarify this.*

74 • The colorbar represents the number of observations for each AOD interval of 0.005. The reason
75 why the colorbar was included is that it helps the reader visualize the distribution of AOD
76 values. We have clarified this in the revised manuscript.

77 *9) In order to study the effect of water vapour content on AOS predictions, Figure 5*
78 *shows measurements of SSR versus AOD considering different values of WVC, but*
79 *for a limited range of solar zenith angles (40.75o-50.25o). Why precisely this selection*
80 *and not another? And how it may affect the results for other angles?*

81 • Here, we selected the SZA range so that we get enough data for the analysis on the other hand
82 keeping the range as narrow as possible. The purpose here was to see how LUT handles the
83 AOD estimation especially with respect of AOD and WVC compared with the measurements
84 and machine learning methods. The effect is difficult to see, that is why, we updated the figure
85 in the revised manuscript with a larger SZA range (48.50-51.50 degrees, instead of 49.75-50.25
86 degrees). Now, the figure is clearer and evidently LUT is in problems whereas NN handles the
87 observed pattern better. The essential result holds also for other SZAs.

88 *10) The pattern followed by WVC and AOD (Figure 5.a) is different from the positive*
89 *correlation found by Huttuen et al. (2014).*

90 • Figure 5a illustrates the assumption made in the LUT approach, i.e. with increasing WVC, the
91 retrieved AOD decreases for a given SSR. However, this assumption neglects a possible
92 increase in e.g. aerosol hygroscopicity with increasing WVC which in turn would increase
93 AOD. The purpose of this figure is to point out how such a simplified assumption can cause a
94 systematic bias in the LUT approach while machine learning techniques are not limited by such
95 assumptions and can better constrain the effect of WVC on AOD.

96 *11) Figures 5 b and 5c show no clear differences between them.*

97 • The figure is updated and now the difference between Figures 5b and 5c is clearer due to the
98 larger SZA range (48.5 to 51.5 degrees).

99 *12) In their analysis, the authors have used the single scattering albedo at 550 nm, but*
100 *in Figure 6 a they use the albedo for another wavelength, why?*

101 • The figure is updated. Now the wavelength is the same for both variables.

102 *13) Figures 6a and 6b should use the same scale for the same variable (water vapor*

103 *column) in order to enable comparison.*

- 104 • Figure 6a contains a subset from the whole data presented in Figure 6b and consequently, the
105 WVC axis was “zoomed” to improve readability.

106 *On the other hand, in Figure 6a the pattern shown for the albedo with WVC is different depending on*
107 *the interval considered for the WVC (slopes with contrary signs), thus there is no consistency between*
108 *Figures 6a and 6b because the pattern followed by WVC in Figure 6b is independent of the range*
109 *considered at WVC. It More discussion is necessary about the effect of water vapour,*
110 *considering other solar zenith angles for example.*

- 111 • In Figure 6a we had to select the measurements from a relatively small range of SZA and SSR,
112 in order to demonstrate the physical reasoning behind the performance of LUT approach for a
113 given input set of SSR, WVC, and SZA. In the Figure 6b, on the other hand, we wanted to
114 include as much measurements as possible to show the general pattern of AOD vs. SSA relation
115 and also the corresponding observed bias in LUT-estimated AOD as a function of WVC. For
116 this reason, the range of x-axis was different. It is true that there is a WVC range when the SSA
117 to WVC slope differs from the overall pattern, and it happens below WVC of 2.5cm in the
118 upper plot. However, it is arguably due to a limited amount of measurements in these bins,
119 while the overall pattern is more important and causing the WVC dependent bias in LUT
120 approach that we wanted to demonstrate with the Figure 6.

121 *Concluding remarks: the paper can be accepted for publication after these comments*
122 *are taken into consideration and addressed.*