

A quantification method for heat-decomposable methylglyoxal oligomers and its application on 1,3,5-trimethylbenzene SOA

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1 Abstract

2 Methylglyoxal forms oligomeric compounds in the atmospheric aqueous particle phase, which could establish
3 a significant contribution to the formation of aqueous secondary organic aerosol (aqSOA). Thus-far, no
4 suitable method for the quantification of methylglyoxal oligomers is available despite the great effort spent for
5 structure elucidation. In the present study a simplified method was developed to quantify heat-decomposable
6 methylglyoxal oligomers as a sum parameter. The method is based on the thermal decomposition of oligomers
7 into methylglyoxal monomers. Formed methylglyoxal monomers were detected using PFBHA (o-(2,3,4,5,6-
8 pentafluorobenzyl)hydroxylamine hydrochloride) derivatisation and gas chromatography/mass spectrometry
9 (GC/MS) analysis. The method development was focused on the heating time (varied between 15 and 48
10 hours), pH during the heating process (pH = 1 - 7), and heating temperature (50°C, 100°C). The optimised
11 values of these method parameters are presented.

12 The developed method was applied to quantify heat-decomposable methylglyoxal oligomers formed during
13 the OH-radical oxidation of 1,3,5-trimethylbenzene (TMB) in the Leipziger aerosol chamber (LEAK).
14 Oligomer formation was investigated as a function of seed particle acidity and relative humidity. A fraction of
15 heat-decomposable methylglyoxal oligomers of up to 8% in the produced organic particle mass was found,
16 highlighting the importance of those oligomers formed solely by methylglyoxal for SOA formation. Overall,
17 the present study provides a new and suitable method for quantification of heat-decomposable methylglyoxal
18 oligomers in the aqueous particle phase.

19 **1. Introduction**

20 Aromatic compounds represent a large fraction of the emitted hydrocarbons contributing with up to 52% to
21 the total non-methane hydrocarbon mass at an industrial dominated site in China (Liu et al., 2008). One of
22 these aromatic compounds is 1,3,5-trimethylbenzene (TMB), which was measured in the gas phase in
23 concentrations ranging from 0.7 to 40.6 $\mu\text{g m}^{-3}$ (Gee and Sollars, 1998; Khoder, 2007). The gas-phase
24 oxidation of 1,3,5-TMB leads to low-volatile oxidation products, which partition into the particle phase and
25 form secondary organic aerosol (SOA). Oxidation products of 1,3,5-TMB were investigated in a number of
26 literature studies (e. g. Huang et al., 2015; Baltensperger et al., 2005; Kalberer et al., 2004; Kalberer et al.,
27 2006; Paulsen et al., 2005; Healy et al., 2008; Cocker et al., 2001; Smith et al., 1999; Metzger et al., 2008;
28 Wyche et al., 2009; Yu et al., 1997). Methylglyoxal was found as one of the most important oxidation product
29 (Metzger et al., 2008; Healy et al., 2008; Cocker et al., 2001; Smith et al., 1999; Wyche et al., 2009;
30 Baltensperger et al., 2005; Rickard et al., 2010; Kalberer et al., 2004; Yu et al., 1997; Kleindienst et al., 1999;
31 Müller et al., 2012; Nishino et al., 2010; Hamilton et al., 2003; Tuazon et al., 1986; Bandow and Washida,
32 1985; Lim and Turpin, 2015) contributing with a fraction of up to 2% to the particle mass (Healy et al., 2008;
33 Cocker et al., 2001). Methylglyoxal has often been described to form oligomeric compounds in the aqueous
34 particle phase (see, e. g. Herrmann et al., 2015 for an overview; De Haan et al., 2009; Kalberer et al., 2004;
35 Loeffler et al., 2006; Zhao et al., 2006; Sareen et al., 2010; Altieri et al., 2008), which are supposed to play an
36 important role in the formation of aqueous secondary organic aerosols (aqSOA; e. g. Kalberer et al., 2004).

37 In general, oligomeric compounds can be formed in the aqueous particle phase through aldol
38 condensation (e. g. Tilgner and Herrmann, 2010; Sareen et al., 2010; Sedehi et al., 2013; Krizner et al., 2009;
39 Barsanti and Pankow, 2005; De Haan et al., 2009; Yasmeen et al., 2010), acetal/hemiacetal formation (Kalberer
40 et al., 2004 and Yasmeen et al., 2010), esterification (Altieri et al., 2008; Sato et al., 2012; Tan et al., 2010; De
41 Haan et al., 2011; Sedehi et al., 2013), imine formation (Altieri et al., 2008; Sato et al., 2012; Tan et al., 2010;
42 De Haan et al., 2011; Sedehi et al., 2013), hydrolysis of epoxides (Paulot et al., 2009; Surratt et al., 2010),
43 polymerisation, and radical – radical reactions (Schaefer et al., 2015; Tan et al., 2012; Lim et al., 2013).

44 During the last decade, huge efforts were undertaken to detect and identify oligomeric compounds. As it can
45 be seen in Table 1, a number of mass spectrometric methods were used including (matrix assisted) laser
46 desorption/ionisation mass spectrometry (MALDI-MS, LDI-MS), Fourier transform ion cyclotron resonance
47 mass spectrometry (FT-ICR-MS), electrospray ionisation mass spectrometry and electrospray ionisation
48 tandem mass spectrometry (ESI/MS, ESI/MS/MS). In addition, spectroscopic methods like UV/Vis
49 (ultraviolet-visible spectroscopy), FTIR (Fourier transform infrared spectroscopy), and NMR (nuclear
50 magnetic resonance spectroscopy) analysis were used for identification.

51 Despite the past effort for structure elucidation of oligomeric compounds a suitable quantification
52 method is not available. Mostly, an overall contribution of oligomers to the particle mass was determined
53 using, e.g., a volatility tandem differential mobility analyser (VTDMA). Kalberer et al. (2004) determined an
54 oligomer contribution of 50% to the particle mass formed by the photooxidation of 1,3,5-TMB. In a further
55 experiment, oligomer mass fractions of 80% and 90% were determined with a VTDMA-based approach for
56 1,3,5-TMB and α -pinene (Kalberer et al., 2006). Alfarrá et al. (2006) investigated the photooxidation of 1,3,5-
57 TMB and found an increase of the oligomer fraction of 3.1 and 3.7% hour^{-1} . A particulate oligomer fraction of
58 50% was reported for 1,3,5-TMB and α -pinene by Baltensperger et al. (2005). Dommen et al. (2006) detected

59 a contribution of oligomers to the organic particle mass increasing from 27% to 44% in the first 5 hours of the
60 photooxidation of isoprene. Nguyen et al. (2011) investigated oligomers from isoprene photooxidation with
61 ESI-MS and nano-DESI-MS (nanospray desorption electrospray ionisation) connected to a high resolution
62 linear ion trap (LTQ-) orbitrap. They calculated an oligomer fraction of 80 – 90%.

63 De Haan et al. (2009) estimated the oligomer fraction formed by methylglyoxal in the aqueous phase
64 with NMR concluding 37% of methylglyoxal are dimers and oligomers. In another approach it was estimated
65 that after 4 days in aqueous particles containing amino acids, 15% of the carbonyl compounds are oligomers
66 (Noziere et al., 2007). Contrary, with ammonium sulfate particles 30% of the carbonyl compounds are
67 converted into oligomers (Noziere et al., 2007). These estimations are based on the rate constants for oligomer
68 formation which were determined in the study by Noziere et al. (2007). Besides these estimations,
69 quantification of oligomeric compounds was also conducted using surrogate compounds (Surratt et al., 2006,
70 Zappoli et al., 1999, and Gao et al., 2004) or synthesised authentic standards (Birdsall et al., 2013).

71 In summary, a variety of methods exists which quantify the fraction of oligomeric compounds derived
72 from methylglyoxal, but the results are contradicting due to the lack of a suitable method for quantification
73 and second, due to different reaction conditions used in the studies. Thus, the present study presents a
74 fundamental approach for a reliable quantification of methylglyoxal oligomers in laboratory-generated SOA.
75 The method is applicable for all oligomeric compounds, which can be decomposed into methylglyoxal
76 monomers during the heating process at a temperature of 100 °C. As the oligomerisation mechanisms leading
77 to the quantified oligomeric compounds are not known, it cannot be specified if the oligomers are reversibly
78 or irreversibly formed. In addition, it cannot be excluded that there exist oligomers, which are not
79 decomposable into their methylglyoxal monomers through the heating process. Thus, the quantified oligomers
80 are termed as heat-decomposable methylglyoxal oligomers.

81

82 **2. Experimental**

83 2.1 Chemicals and standards

84 1,3,5-TMB ($\geq 99.8\%$), hydrochloric acid (37%), tetramethylethylene (99%), and sodium hydroxide
85 (50 – 52%) were obtained from Sigma-Aldrich (Hamburg, Germany). *O*-(2,3,4,5,6-pentafluorobenzyl)-
86 hydroxylamine hydrochloride ($\geq 99\%$), methylglyoxal (40% in water), and ammonium hydrogensulfate (98%)
87 were purchased from Fluka (Hamburg, Germany). Sulfuric acid (98%) was obtained from Merck KGaA
88 (Darmstadt, Germany). Dichloromethane (Chromasolv 99.8%) was obtained from Riedel-de Haen (Seelze,
89 Germany) and ammonium sulfate (99.5%) was purchased from Carl Roth (Karlsruhe, Germany). Ultrapure
90 water was used to prepare the seed particle solutions, the authentic standards, and to extract the filter samples
91 (Milli-Q gradient A 10, 18.2 M Ω cm, 3 ppb TOC, Millipore, USA).

92

93 2.2 Chamber experiments

94 The OH-radical oxidation of 1,3,5-TMB was investigated in the LEipzigiger AerosolKammer (LEAK). A
95 detailed description of the aerosol chamber can be found elsewhere (Mutzel et al., 2016). The conditions of
96 the experimental runs are summarised in Table 2. The experiments were conducted in the presence of
97 ammonium bisulfate particles or ammonium sulfate particles mixed with sulfuric acid to achieve different seed
98 acidities. In order to investigate OH-radical oxidation of 1,3,5-TMB at low NO_x levels (< 1 ppb) and under

99 dark conditions the ozonolysis of tetramethylethylene (TME) was used as OH-radical source (Berndt and
100 Böge, 2006). The cycloaddition of ozone to TME yields a primary ozonide, which reacts further and forms a
101 stabilised Criegee Intermediate (sCI). The sCI decomposes via the hydroperoxide channel, leading to the
102 formation of OH radicals (Gutbrod et al., 1996) with a yield of 0.92 ± 0.08 (Berndt and Böge, 2006). O₃ was
103 produced by UV irradiation of O₂ with an O₂ flow rate of 5 L minute⁻¹. It was injected at the beginning of the
104 experiments and ≈ 26 ppbv of TME was introduced into the aerosol chamber in steps of 15 minutes. 1,3,5-
105 TMB (≈ 92 ppb) was injected into the aerosol chamber using a microliter-syringe. The oxidation of 1,3,5-TMB
106 was studied at relative humidities (RH) between $\approx 0\%$ and 75% adjusted by flushing the aerosol chamber with
107 humid or dry air. The consumption of the precursor compound (Δ HC) was monitored over a reaction time of
108 90 minutes with a proton-transfer-reaction time-of-flight mass spectrometer (PTR-TOF MS; 8000, IONICON
109 Analytik, Innsbruck, Germany). The volume size distribution of the seed particles was measured with a
110 scanning mobility particle sizer (SMPS; 3010; TSI, USA). An average density of 1 g cm⁻³ was used to calculate
111 the increase of the organic particle mass (Δ M). To collect the particle phase after the experiments, 1.2 m³ of
112 the chamber volume was sampled on a PTFE filter (borosilicate glass fiber filter coated with fluorocarbon, 47
113 mm in diameter, PALLFLEX T60A20, PALL, NY, USA) connected to a XAD-4 coated denuder (URG-2000-
114 30B5, URG Corporation, Chapel Hill, NC, USA) (Kahnt et al., 2011) to avoid artefacts caused by adsorption
115 of gas-phase organic compounds onto the filter.

116

117 2.3 Sample preparation

118 For method development, PTFE filter samples from aerosol chamber experiments were used. The following
119 method parameters were investigated: heating time, pH during the heating process, and heating temperature
120 (Table 3). Filter samples from the same experiments were used for the optimisation of the respective method
121 parameters.

122

123 2.3.1 Filter extraction

124 Two halves of the PTFE filters were cut into small pieces. Each filter half was extracted separately with 1 mL
125 H₂O for 30 minutes using an orbital shaker (700 rpm, revolutions per minutes). They were shaken again
126 separately with 1 mL H₂O for 30 minutes and flushed at the end of the procedure with 1 mL H₂O resulting in
127 two 3 mL extracts. Noticeably, the extraction efficiency was not investigated in the present study, thus it is not
128 known. Water was used as extracting reagent, because organic solvents like methanol and acetonitrile have
129 lower boiling points than water, thus lower heating temperatures can be applied for the decomposition of the
130 oligomers. Besides this, organic solvents like methanol and acetonitrile are miscible with dichloromethane,
131 thus an extraction of the derivatised methylglyoxal with dichloromethane prior the injection into GC/MS would
132 not be possible. The extract of one half of the filter was used for oligomer measurements (extract 1) and with
133 the second one methylglyoxal monomers were quantified which were not a building block of oligomers
134 (extract 2). A detailed description of the derivatisation procedure can be found in Rodigast et al. (2015).

135

136 2.3.2 Derivatisation procedure

137 *Extract 1 – Heat-decomposable methylglyoxal oligomers*

138 For quantification of heat-decomposable methylglyoxal oligomers the extract was acidified and heated to
139 decompose the oligomeric bonds. The pH was adjusted with hydrochloric acid (37%) or sodium hydroxide
140 (1 mol L^{-1}) to pH = 1, 3, 5, and 7 while heating temperatures of 50°C and 100°C were investigated. For the
141 derivatisation of the formed monomeric methylglyoxal, $300 \mu\text{L}$ of *o*-(2,3,4,5,6-
142 pentafluorobenzyl)hydroxylamine hydrochloride (PFBHA, 5 mg mL^{-1}) was added to the sample solution after
143 2 minutes of the heating process. Different heating times were tested varying between 15 hours and 48 hours.
144 After the derivatisation was complete, the extracts were allowed to cool down to room temperature.

145

146 *Extract 2 – Methylglyoxal monomer*

147 The second half of the filters was used to quantify monomeric methylglyoxal. The filters were prepared
148 according to the method described by Rodigast et al. (2015).

149

150 2.3.3 Extraction for GC/MS analysis

151 After derivatisation of both filter extracts (extract 1 and 2), derivatised methylglyoxal was extracted at pH = 1
152 for 30 minutes with $250 \mu\text{L}$ of dichloromethane using an orbital shaker (1500 rpm; Rodigast et al., 2015). $1 \mu\text{L}$
153 of the organic phase was injected into GC/MS for analysis. The measurements were repeated for three times
154 to ensure reliable GC/MS signals.

155 For quantification, a 5-point calibration was performed at the beginning of each chromatographic run using a
156 standard solution of methylglyoxal in a concentration range of 0.13 to $8 \mu\text{mol L}^{-1}$.

157

158 2.4 Instrumentation

159 The samples were analysed using a GC System (6890 Series Agilent Technologies, Frankfurt, Germany)
160 coupled with an electron ionisation quadrupole mass spectrometer in splitless mode with an inlet temperature
161 of 250°C (Agilent 5973 Network mass selective detector, Frankfurt, Germany). The derivatives were separated
162 with a HP-5MS UI column (Agilent J & W GC columns, $30 \text{ m} \times 0.25 \text{ mm} \times 0.25 \mu\text{m}$) using the following
163 temperature program: 50°C isothermal for 2 minutes and elevated to 230°C ($10^\circ\text{C minute}^{-1}$). The temperature
164 of 230°C was held constant for 1 minute and ended with 320°C for 10 minutes, thus the method has a run time
165 of 36 minutes.

166

167 **3. Results**

168 Hastings et al. (2005) investigated the influence of the temperature of the GC inlet on the detection of
169 oligomeric compounds. These authors concluded that oligomers decompose into monomer building blocks at
170 higher inlet temperatures ($\geq 120^\circ\text{C}$) which caused problems for oligomer quantification. In the present study a
171 quantification method is proposed to decompose heat-decomposable oligomers into methylglyoxal monomers
172 due to heating, acidification and PFBHA derivatisation prior GC/MS injection.

173 (E) and (Z) isomers of methylglyoxal were formed during PFBHA derivatisation resulting in two peaks in the
174 GC/MS chromatogram. For quantification, the sum of these peaks was used to avoid an over- or
175 underestimation of methylglyoxal due to variations of the isomer peak ratio during the heating process.

176

177 **3.1 Method development**

178 *Influence of heating time*

179 The influence of the heating time was examined with PTFE filters which were sampled after OH-radical
180 oxidation of 1,3,5-TMB at RH = 75% in the presence of NH_4HSO_4 seed particles (experiment #3). To
181 investigate the effect of the heating time on the decomposition of the heat-decomposable oligomeric
182 compounds, the aqueous filter extracts (extract 1) were acidified to pH = 1 and heated to 100°C for
183 15 – 48 hours. The results were compared to the unheated aqueous filter extracts (extract 2) to determine the
184 increase of methylglyoxal concentration due to decomposition of the heat-decomposable oligomers.
185 Additionally, a $6.25 \mu\text{mol L}^{-1}$ standard solution of methylglyoxal was acidified and heated for different times
186 to exclude an effect of the heating process on the derivatisation. The results are illustrated in Fig. 1a.

187 The highest methylglyoxal concentration can be found after a heating time of 24 hours. The methylglyoxal
188 concentration was about six times higher ($c = 1.82 \pm 0.14 \mu\text{mol L}^{-1}$) in comparison to the unheated filter extract
189 ($c = 0.29 \pm 0.01 \mu\text{mol L}^{-1}$). To exclude that the higher methylglyoxal concentrations were only a result of a
190 better PFBHA derivatisation during heating, a methylglyoxal standard solution was also heated for 24 hours
191 (Fig. 1b). A methylglyoxal concentration of $c = 5.32 \pm 0.05 \mu\text{mol L}^{-1}$ was found, which corresponds to a
192 recovery of $\approx 85\%$. Thus, an effect of the heating process on the derivatisation can be excluded indicating that
193 the higher methylglyoxal concentration was caused by decomposition of heat-decomposable oligomers into
194 monomers.

195 One filter extract was heated for 15 hours and allowed to stand at room temperature for 9 hours
196 (sample a) to reach a total derivatisation time of 24 hours (as it was optimised for PFBHA derivatisation by
197 Rodigast et al., 2015). To exclude reoligomerisation processes of methylglyoxal in sample a, one filter extract
198 was heated for 15 hours and measured directly after the heating process (sample b). As it can be seen in Fig. 1a
199 both filter samples (sample a and b) showed lower methylglyoxal concentrations than after heating for 24
200 hours. The lower methylglyoxal concentration of sample b might be caused by an incomplete derivatisation
201 due to the immediate measurement of the filter extract after 15 hours heating time. In comparison, the lower
202 concentration in sample a might be caused by reoligomerisation of methylglyoxal.

203 To probe this hypothesis a $6.25 \mu\text{mol L}^{-1}$ methylglyoxal standard was heated for 15 hours and
204 measured immediately (like sample b) or, alternatively, was allowed to stand at room temperature for 9 hours
205 (like sample a). In Fig. 1b a lower methylglyoxal concentration can be observed for the immediately measured
206 sample ($3.11 \pm 0.20 \mu\text{mol L}^{-1}$) compared to the sample after 9 hours at room temperature
207 ($5.84 \pm 0.27 \mu\text{mol L}^{-1}$). Thus, it can be concluded that a derivatisation time of 24 hours is needed for a complete
208 derivatisation despite the heating process. This supports the hypothesis that methylglyoxal monomers were not
209 completely derivatised, if the filter sample was heated for 15 hours and directly measured (sample b). Based
210 on the incomplete derivatisation after 15 hours heating time, methylglyoxal monomers are able to react again
211 under oligomer formation during the 9 hours at room temperature. The rate constants are reported to be
212 $k = 5 \times 10^{-6} \text{ M}^{-1} \text{ minutes}^{-1}$ for ammonium ion catalysed and $k \leq 1 \times 10^{-3} \text{ M}^{-1} \text{ minutes}^{-1}$ for H_3O^+ catalysed aldol
213 reaction (Sareen et al., 2010). Naturally, both of these ions are present in the aqueous filter extract. Despite the
214 oligomerisation of methylglyoxal monomers during the 9 hours at room temperature, the derivatisation
215 proceeds as well during this time leading to higher methylglyoxal concentrations in sample a than in sample
216 b, which was directly measured after 15 hours heating (Fig. 1b).

217 Longer heating times than 24 hours (30 and 48 hours) led to lower methylglyoxal concentrations in
218 the filter samples as well. A possible explanation might be the decomposition of the derivatised compound
219 during the long heating process. As no decrease of the concentration was observed in the methylglyoxal
220 standard solution (Fig. 1b) the loss of the derivatisation group is unlikely as a reason for the lower
221 concentrations. Thus, it can be speculated that the low methylglyoxal concentrations in the filter samples are
222 a result of further reactions with particle-phase species, which do not exist in the standard samples. Based on
223 the outlined results, a heating time of 24 hours was chosen.

224 The pH during the heating process was investigated as well..

225

226 *Influence of pH*

227 The effect of the pH was examined with PTFE filters, which were sampled after OH-radical oxidation of 1,3,5-
228 TMB at RH = 50% in the presence of NH₄HSO₄ particles (experiment #2). The pH was varied between pH =
229 1 and pH = 7.

230 As it can be seen in Fig. 2a the highest methylglyoxal concentration can be found at pH = 1. The methylglyoxal
231 concentration was about two times higher at pH = 1 ($c = 1.01 \pm 0.11 \mu\text{mol L}^{-1}$) compared to the filter extract,
232 which was neither heated nor acidified ($c = 0.45 \pm 0.01 \mu\text{mol L}^{-1}$). An increasing pH leads to a lower
233 methylglyoxal concentration, which can be observed for filter samples (Fig. 2a) as well as for the
234 methylglyoxal standard solution (Fig. 2b). As this was observed for both types of samples it appears that the
235 pH influences the derivatisation and/or the oligomer decomposition. No influence of the pH on the PFBHA
236 derivatisation reaction was reported by Rodigast et al. (2015) indicating the effect of the pH is connected to
237 thermal decomposition of the heat-decomposable oligomeric compounds. In summary, based on these results
238 pH = 1 was used.

239

240 *Influence of heating temperature*

241 The effect of the heating temperature was examined with filter samples of experiment #2. The heating
242 temperature was varied between 50°C and 100°C and the filter extracts were heated for 24 hours at pH = 1. A
243 temperature above 100°C cannot be used to avoid evaporation of water and/or target compounds. Fig. 3a shows
244 the influence of the temperature on the decomposition of the heat-decomposable methylglyoxal oligomers into
245 monomers. Higher concentration of methylglyoxal can be detected with higher temperature. The results
246 illustrated in Fig. 3a indicate that a higher temperature than 50°C is needed to decompose the oligomeric
247 compounds. In comparison to the filter, which was neither acidified nor heated, the concentration increased by
248 a factor of two if the extract was heated to 100°C. Fig. 3b shows no significant influence of the temperature
249 on the methylglyoxal standard solution. Thus, an influence of the heating temperature on the derivatisation
250 procedure can be excluded.

251 Based on these results, the PTFE filter extracts from the aerosol chamber experiments were acidified
252 to pH = 1 and heated for 24 hours to 100°C to decompose heat-decomposable oligomeric compounds into
253 methylglyoxal. According to the literature studies other carbonyl compounds can be expected as particle-phase
254 products, e.g., propionaldehyde (Cocker et al., 2001), glyoxal (Cocker et al., 2001; Huang et al., 2015), 2-
255 methyl-4-oxo-2-pentenal (Healy et al., 2008; Huang et al., 2014), glycolaldehyde (Cocker et al., 2001) and

256 3,5-dimethylbenzaldehyde (Huang et al., 2014). Noticeably, no carbonyl compounds other than methylglyoxal
257 were identified, which showed an increase after thermal decomposition.

258 The developed quantification method was afterwards applied to laboratory-generated SOA formed
259 during further oxidation experiments of 1,3,5-TMB to investigate the influence of seed particle acidity and
260 relative humidity on the oligomer content.

261

262 **3.2 SOA yield and growth curves of 1,3,5-TMB oxidation**

263 SOA formation of 1,3,5-TMB was investigated in a number of literature studies mostly in the presence of NO_x
264 and under variation of the hydrocarbon to NO_x ratio ($[\text{HC}]/[\text{NO}_x]$ ratio). Healy et al. (2008) determined SOA
265 yields (Y_{SOA}) of 1,3,5-TMB photooxidation ranging from 4.5 to 8.3%. Further studies determined Y_{SOA}
266 between 0.29% and 15.6% (Table 4) under variation of the $[\text{HC}]/[\text{NO}_x]$ ratio concluding SOA formation is
267 enhanced at low NO_x mixing ratios (Sato et al., 2012; Kleindienst et al., 1999; Baltensperger et al., 2005;
268 Wyche et al., 2009; Odum et al., 1997; Paulsen et al., 2005; Cocker et al., 2001). Only Cao and Jang (2007)
269 investigated SOA yields in the absence of NO_x and reported values between 7.1 and 13.8%. The SOA yields
270 were also determined in the present study for all conducted experiments based on the ratio of ΔM to ΔHC
271 (Table 2). Noticeably, the SOA yields of 1,3,5-TMB are not corrected for the wall loss to the surface of the
272 aerosol chamber, which might have an influence on the reported SOA yields. Y_{SOA} varied between 4 and 7%
273 dependent on reaction conditions and is in good agreement to literature values.

274 For a further investigation of SOA-formation processes of 1,3,5-TMB, Fig. 4a illustrates the
275 dependency between the consumption of 1,3,5-TMB (ΔHC) and the produced organic particle mass (ΔM).
276 Particle growth started directly after the experiment was initialised indicating that the oxidation leads
277 immediately to the formation of condensable products as first-generation oxidation products. These products
278 condense on the pre-existing seed particles resulting in the immediate particle growth observed in Fig. 4a.
279 Differences of the growth curves in dependence on the seed particles (NH_4HSO_4 and $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$) were
280 not observed concluding that the seed particle acidity (Table 5) has no influence on the SOA formation of
281 1,3,5-TMB. Cao and Jang (2007) found also only a small influence of seed particle acidity on SOA formation.

282 Fig. 4a showed great differences in the growth curves under variation of RH. The RH value can have
283 an influence on the phase state of the particles while the phase state has an effect on the partitioning of the
284 compounds into the particles and the particle-phase reactions (Ziemann, 2010; Saukko et al., 2012). In the
285 present study the particles are a mixture of inorganic and partitioned organic compounds, thus the phase state
286 is not known but it might be possible that the phase state influences ΔM and the SOA yields. ΔM is the highest
287 at $\text{RH} = 0\%$ ($\Delta\text{M} = 18.1 - 19.7 \mu\text{g m}^{-3}$) whereas ΔM is the lowest under humid conditions ($\text{RH} = 50\%$ and
288 75% , $\Delta\text{M} = 11.3 - 11.7 \mu\text{g m}^{-3}$ and $13.9 - 14.2 \mu\text{g m}^{-3}$). Due to the variation of RH in the aerosol chamber the
289 liquid water content (LWC) of the particles is changing (Table 5). The LWC was calculated using model II
290 from the extended aerosol thermodynamic model (E-AIM; Clegg et al., 1998). With increasing RH the LWC
291 of the seed particle increases as well. The LWC of the seed particles influences i) the partitioning of the
292 compounds from the gas phase into the particle phase and ii) the formation and/or further reaction in the particle
293 phase (Zuend et al., 2010; Cocker et al., 2001; Seinfeld et al., 2001; Fick et al., 2003). These two effects might
294 influence the SOA formation under different relative humidities.

295 An effect can also be seen in Fig. 4b. The SOA formation is enhanced at RH = 0% leading to the
296 highest SOA yields of $Y_{\text{SOA}} \approx 7\%$ for both seed particles. Higher RH values resulted in lower Y_{SOA} between 4
297 and 5%. These findings are in good agreement with the study by Cao and Jang (2007), which observed lower
298 Y_{SOA} values at elevated RH.

299 The influence of RH on SOA formation is controversially discussed in the literature (Hennigan et al.,
300 2008; Fick et al., 2003; Edney et al., 2000; Saxena and Hildemann, 1996; Baker et al., 2001; Hasson et al.,
301 2001, Cocker et al., 2001). Edney et al. (2000) and Seinfeld et al. (2001) reported an enhanced SOA formation
302 of hydrophilic compounds under humid conditions and a lowered SOA formation of hydrophobic compounds.
303 This is also supported by Saxena and Hildemann (1996), which found an enhanced partitioning of organic
304 compounds with several hydroxyl groups at higher LWCs of the particles. This might lead to the conclusion
305 that the OH-radical oxidation of 1,3,5-TMB results in the formation of hydrophobic compounds which showed
306 an enhanced partitioning under dry conditions. Additionally, it can be speculated that the formation of
307 oligomeric compounds can be enhanced at lower RH values resulting in higher Y_{SOA} due to the increasing
308 conversion of the monomeric building blocks and their enhanced partitioning into the particle phase.

309

310 **3.3 Particulate methylglyoxal**

311 Methylglyoxal is reported in the literature as an important oxidation product of 1,3,5-TMB (Metzger et al.,
312 2008; Healy et al., 2008; Cocker et al., 2001; Smith et al., 1999; Wyche et al., 2009; Baltensperger et al., 2005;
313 Rickard et al., 2010; Kalberer et al., 2004; Yu et al., 1997; Kleindienst et al., 1999; Müller et al., 2012; Nishino
314 et al., 2010; Hamilton et al., 2003; Tuazon et al., 1986; Bandow and Washida, 1985) with yields in the particle
315 phase between 0.7 and 2%.

316 The fraction of methylglyoxal in the particle phase in dependency on the reaction conditions is shown
317 in Fig. 5a, with resulting fractions between $\approx 0.6\%$ and $\approx 2.2\%$. With increasing RH the fraction decreases for
318 both seed particles. Methylglyoxal has the highest fraction under dry conditions ($1.73 \pm 0.20\%$ and
319 $2.17 \pm 0.20\%$ for NH_4HSO_4 and $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$) and with $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles.

320 Healy et al. (2008) measured a contribution of methylglyoxal to SOA mass of $2.06 \pm 0.08\%$ from the
321 photooxidation of 1,3,5-TMB in the presence of NO_x at RH = 50%. In the present study a methylglyoxal
322 fraction of $1.24 \pm 0.04\%$ for NH_4HSO_4 seed particles and $0.80 \pm 0.08\%$ for $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles
323 was determined at RH = 50%. Thus, the contribution is slightly lower than measured by Healy et al. (2008).
324 In comparison, Cocker et al. (2001) measured a particulate fraction of 0.72%.

325 The dependency of particulate methylglyoxal on RH could be a result of the influence of RH on the
326 partitioning from the gas- into the particle phase or on further reactions in the particle phase forming oligomers.
327 The formation of oligomeric compounds from methylglyoxal has been investigated in a number of studies
328 (e. g. De Haan et al., 2009; Kalberer et al., 2004; Loeffler et al., 2006; Zhao et al., 2006; Sareen et al., 2010;
329 Altieri et al., 2008).

330

331 **3.4 Heat-decomposable methylglyoxal oligomers**

332 A method was developed to determine the contribution of heat-decomposable methylglyoxal oligomers to the
333 produced organic particle mass ΔM . The method is based on the thermal decomposition of the heat-
334 decomposable methylglyoxal oligomers into monomers. Thus, the concentration of monomeric methylglyoxal

335 was determined prior and after thermal decomposition. The concentrations were converted into the fraction of
336 methylglyoxal oligomers of ΔM using the molar mass of methylglyoxal ($M_w = 72.06 \text{ g mol}^{-1}$). An average
337 oligomer fraction of $\approx 2\%$ up to $\approx 8\%$ was observed.

338 Fig. 5b shows the dependency of the detected heat-decomposable methylglyoxal oligomers on the
339 relative humidity with NH_4HSO_4 and $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles. In the presence of NH_4HSO_4 seed
340 particles the highest oligomer fraction ($8.2 \pm 0.7\%$) can be observed with $\text{RH} = 0\%$ whereas in the presence of
341 $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles the oligomer fraction is the lowest ($2.1 \pm 0.4\%$) under dry conditions
342 ($\text{RH} = 0\%$). A possible explanation for the opposite trend of the oligomer fractions with RH between NH_4HSO_4
343 and $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles could be different oligomer formation mechanism caused by different
344 seed particle acidity. The type of accretion reaction might change with pH (Yasmeen et al., 2010). In Table 5
345 the pH of the seed particles was calculated with E-AIM. NH_4HSO_4 particles have $\text{pH} = 0.1$ and 1.2 at
346 $\text{RH} = 50\%$ and 75% . In comparison $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particle are less acidic ($\text{pH} = 4.0$ at $\text{RH} = 50\%$ and
347 $\text{pH} = 4.2$ at $\text{RH} = 75\%$).

348 It was postulated by Yasmeen et al. (2010) that a lower pH ($\text{pH} < 3.5$) favor acetal/hemiacetal
349 formation whereas at high pH ($\text{pH} = 4 - 5$) aldol condensation are more relevant. This has been supported by
350 Sedehi et al. (2013) and Sareen et al. (2010).

351 Thus, in the presence of strong acidic NH_4HSO_4 seed particles acetal/hemiacetal formation might be
352 the favored oligomer formation mechanism. Oligomerisation via acetal/hemiacetal formation occurs under a
353 reversible water loss (Yasmeen et al., 2010). As higher RH values in the aerosol chamber LEAK leads to higher
354 LWCs of the seed particles (Table 5) the chemical equilibrium of the reaction shifts towards the precursor
355 compound resulting in a lower methylglyoxal oligomer fraction (Kalberer et al., 2004; Liggio et al., 2005). In
356 addition, the pH of NH_4HSO_4 particles decreases with decreasing RH (Table 5) thus acid-catalysed
357 acetal/hemiacetal formation might be enhanced under dry conditions due to a lower pH (Liggio et al., 2005).

358 In the presence of $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles the oligomer fraction increases with increasing
359 RH (Fig. 5b). As it was mentioned, aldol condensation can be assumed as the favored accretion reaction under
360 these conditions (Yasmeen et al., 2010). Aldol condensation includes as a first step aldol addition followed by
361 a loss of water. The loss of water is irreversible, thus the aldol condensation will not be inhibited with higher
362 LWC of the seed particles.

363 Other accretion reactions can contribute to the formation of heat-decomposable methylglyoxal
364 oligomers with $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles as well. Altieri et al. (2008) detected products formed through
365 acid-catalysed esterification at $\text{pH} \approx 4$. This equilibrium reaction involves the reversible loss of water as it was
366 reported for acetal/hemiacetal formation (Lim et al., 2010). Thus, it can be expected that with higher LWCs
367 the contribution of esterification reactions to oligomer formation decreases due to the shift of the equilibrium
368 towards the monomers.

369 Imidazole formation was also postulated as possible oligomer-formation mechanism for
370 methylglyoxal (Sedehi et al., 2013; De Haan et al., 2011). It was found that imidazole formation is of minor
371 importance compared to aldol condensation (Sedehi et al., 2013). However, imidazole formation involves also
372 a reversible loss of water, thus it does not provide a feasible explanation for the higher oligomer fraction at
373 higher RH with $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles.

374 Radical – radical reactions are also postulated as a possible reaction pathway to form oligomers
375 (Schaefer et al., 2015; Lim et al., 2013; Rincon et al., 2009; Lim et al., 2010; Sun et al., 2010). Radical-radical
376 reactions of methylglyoxal might occur following the H - atom abstraction of methylglyoxal with OH radicals
377 and a subsequent recombination of the resulting alkyl radicals (as discussed for glyoxal in Schaefer et al.,
378 2015). The contribution of radical – radical reactions to oligomer formation is not well understood as
379 obviously, the reaction of alkyl radicals with oxygen tends to suppress this pathway. Nevertheless, it can be
380 expected, that with higher LWCs of the seed particles and thus with a higher reaction volume, the absolute
381 amount of methylglyoxal in the particle phase might increase, but not its particle-phase concentrations. For
382 aerosol particle systems, ionic strength effects (Herrmann et al., 2015) are able to influence the uptake of
383 methylglyoxal into the particle phase as well. Waxman et al. (2015) observed a salting-out effect for
384 methylglyoxal for all investigated seed particles at higher ionic strengths.

385 Low pH combined with high solute concentrations as calculated for the present aerosol particles, can
386 trigger isomerisation (or switching) reactions as discussed by Herrmann et al. (2015). Overall, a clear
387 discussion on how radical-radical reaction might be affected by increasing LWC (through increasing RH) and
388 by pH is difficult at the current level of knowledge.

389 In summary, the present study provides a reliable quantification method for heat-decomposable
390 methylglyoxal oligomers formed by 1,3,5-TMB oxidation. The fraction of oligomeric substances formed solely
391 by methylglyoxal oligomerisation varied dependent on RH and seed particle acidity between 2 – 8%, which is
392 lower than the determined values by Kalberer et al. (2004) and Baltensperger et al. (2005) (varying between
393 50 and 80%). In the present study only heat-decomposable methylglyoxal oligomers were quantified, thus
394 there might be oligomers originating from other monomers than methylglyoxal, which were not determined in
395 the present study leading to lower oligomer fractions of ΔM compared to the literature studies. The obtained
396 data are not fully conclusive and literature studies are often contradicting. Thus, more experiments are
397 necessary to get a clearer picture about the influence of RH and particle phase acidity on oligomer formation
398 and to explain the non-linear relation between the oligomer fraction and RH.

399

400 **4. Summary**

401 In the present study a method was developed to quantify oligomers formed from methylglyoxal. The method
402 is based on the thermal decomposition of heat-decomposable methylglyoxal oligomers into monomers. The
403 formed methylglyoxal monomers were detected with PFBHA derivatisation and GC/MS analysis. The
404 influence of heating time, pH and heating temperature on the decomposition of heat-decomposable
405 methylglyoxal oligomers was systematically investigated. The best result was achieved with a heating time of
406 24 hours at 100°C and pH = 1. The method was applied to heat-decomposable methylglyoxal oligomers
407 formed during the oxidation of 1,3,5-TMB resulting in an oligomer fraction of up to \approx 8%. A contradicting
408 dependency of the oligomer fraction under varying RH with NH_4HSO_4 and $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles
409 was found, which might be caused by different oligomer formation mechanisms.

410 Overall, the present method provides an important step revealing the amount of oligomers present in the
411 particle phase, their tentative formation mechanism and their importance for aqSOA formation.

412

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416

417 **References**

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Table 1: Overview of methods for structure elucidation of oligomeric compounds (after Hallquist et al., 2009).

Method	Reference
(Matrix assisted) laser desorption/ionisation mass spectrometry (MALDI-MS, LDI-MS)	Dommen et al., 2006; Kalberer et al., 2004; Kalberer et al., 2006; Reinhardt et al., 2007; Holmes and Petrucci, 2006; Surratt et al., 2006; Denkenberger et al., 2007
Fourier transform ion cyclotron resonance mass spectrometry (FT-ICR-MS)	Kundu et al., 2012; Altieri et al., 2008; Tolocka et al., 2004; Hall and Johnston, 2012; Denkenberger et al., 2007; Tan et al., 2012
On-line atmospheric pressure chemical ionisation tandem mass spectrometry (APCI tandem MS)	Müller et al., 2008
Aerosol mass spectrometry (AMS)	Sareen et al., 2010; Schwier et al., 2010; Bahreini et al., 2005; Heaton et al., 2007
Electrospray ionisation mass spectrometry (ESI/MS, ESI/MS/MS)	Altieri et al., 2008; Hall and Johnston, 2012; Surratt et al., 2006; Yasmeen et al., 2010; Hastings et al., 2005; Bones et al., 2010; Surratt et al., 2007; Hamilton et al., 2006; Sadezky et al., 2006; Sato et al., 2012; Noziere et al., 2010; Tolocka et al., 2004; Iinuma et al., 2004; Nguyen et al., 2011; Bahreini et al., 2005
Aerosol time of flight mass spectrometry (ALTOFMS)	Huang et al., 2015
Gas chromatography mass spectrometry (GC/MS)	Hastings et al., 2005; Surratt et al., 2006; Szmigielski et al., 2007; Angove et al., 2006
Ion trap mass spectrometry (IT-MS)	Surratt et al., 2006; Gao et al., 2004
Photoelectron resonance capture ionisation-aerosol mass spectrometry (PERCI-MS)	Zahardis et al., 2005
Ultraviolet-visible spectroscopy (UV/Vis)	Nemet et al., 2004; Noziere and Esteve, 2005; Bones et al., 2010; Song et al., 2013; Casale et al., 2007; Alfara et al., 2006; Drozd and McNeill, 2014; Noziere and Cordova, 2008
Fourier transform infrared spectroscopy (FTIR)	Loeffler et al., 2006; Bones et al., 2010; Jang et al., 2003; Jang and Kamens, 2001; Holmes and Petrucci, 2006
nuclear magnetic resonance spectroscopy (NMR)	Nemet et al., 2004; Bones et al., 2010; Angove et al., 2006; Garland et al., 2006; Kua et al., 2013; De Haan et al., 2011

Table 2: Experiments in the aerosol chamber LEAK for the OH radical oxidation of 1,3,5-TMB. All experiments were conducted at 293 K and with 91.9 ppb 1,3,5-TMB.

Experiment number	seed	RH [%]	O _{3ini} [ppb]	ΔHC [ppb]	ΔM [μg m ⁻³]	SOA yield Y _{SOA} [%]
#1	78 mmol L ⁻¹ NH ₄ HSO ₄	≈ 0	≈ 137	57.2	19.7	7.00
#2	78 mmol L ⁻¹ NH ₄ HSO ₄	50	≈ 133	55.2	11.3	4.12
#3	78 mmol L ⁻¹ NH ₄ HSO ₄	75	≈ 134	56.2	14.2	5.14
#4	60 mmol L ⁻¹ (NH ₄) ₂ SO ₄ / 0.4 mmol L ⁻¹ H ₂ SO ₄	≈ 0	≈ 132	56.2	18.1	6.55
#5	60 mmol L ⁻¹ (NH ₄) ₂ SO ₄ / 0.4 mmol L ⁻¹ H ₂ SO ₄	50	≈ 135	56.5	11.7	4.21
#6	60 mmol L ⁻¹ (NH ₄) ₂ SO ₄ / 0.4 mmol L ⁻¹ H ₂ SO ₄	75	≈ 144	57.2	13.9	4.94

1,3,5-TMB: 1,3,5-Trimethylbenzene; RH: Relative humidity

Table 3: Investigated method parameters and used PTFE filters from 1,3,5-TMB oxidation for method development.

Parameter	Range	Filter of experiment
Heating time	15, 24 , 30, 48 hours	#3
pH	1 , 3, 5, 7	#2
Heating temperature	50, 100 °C	#2

Selected parameters given in **bold**.

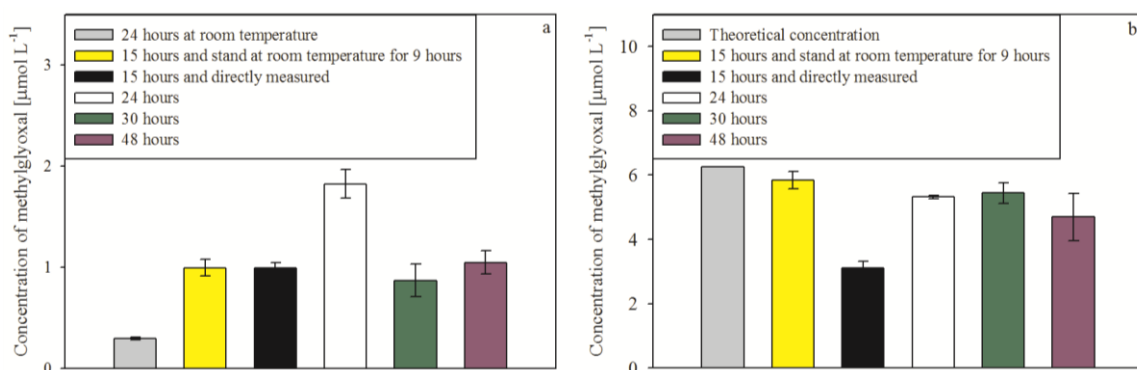


Figure 1: Influence of the heating time on the detected methylglyoxal concentrations in filter samples (a) and the methylglyoxal standard solution (b).

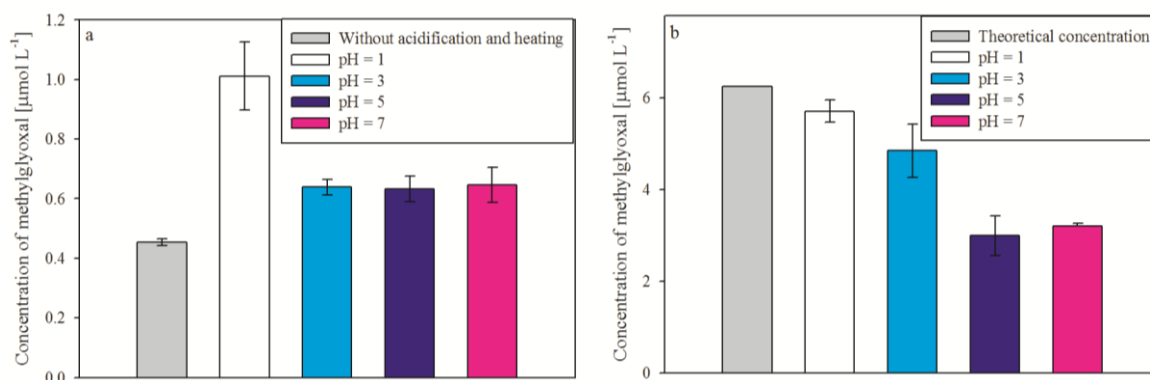


Figure 2: Influence of pH on the detected methylglyoxal concentration in filter samples (a) and the methylglyoxal standard solution (b).

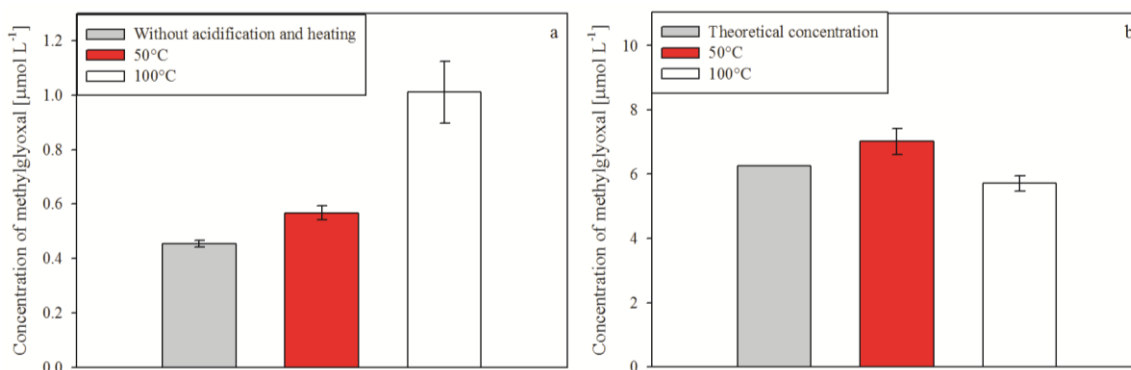


Figure 3: Influence of the heating temperature on the detected methylglyoxal concentration in filter samples (a) and the standard solution (b).

Table 4: SOA yields (Y_{SOA}) of 1,3,5-TMB reported in the literature.

SOA yield Y_{SOA} [%]	Reference
2.81 - 7.91	Cocker et al., 2001
4.5 - 8.34	Healy et al., 2008
$2.5 \pm 0.1 - 15.6 \pm 1.0$	Sato et al., 2012
3.1	Odum et al., 1997
0.41 ± 0.1	Kleindienst et al., 1999
0.29 - 6.36	Wyche et al., 2009
4.7 ± 0.7	Paulsen et al., 2005
$7.1 \pm 0.3 - 13.8 \pm 0.6$	Cao and Jang, 2007

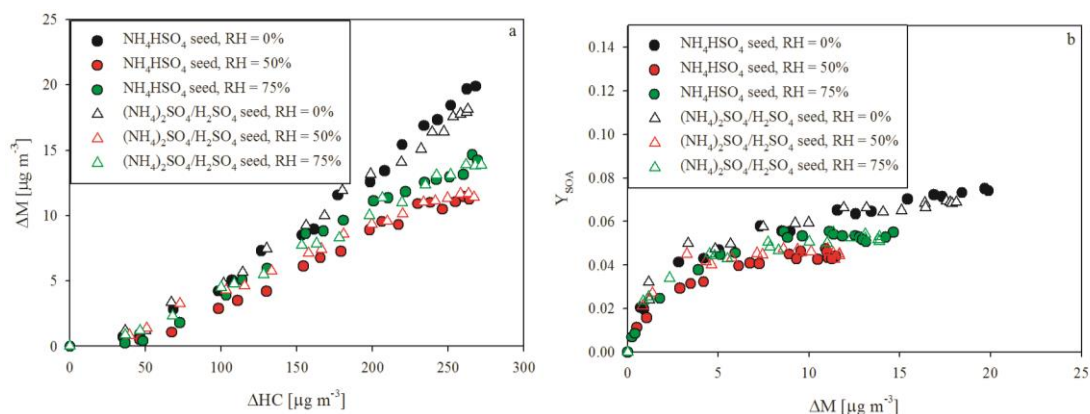


Figure 4: SOA growth curve (a) and yield curve (b) of the OH radical oxidation of 1,3,5-TMB in the presence of NH_4HSO_4 or $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed under variation of RH.

Table 5: LWC and pH of the seed particles calculated with E-AIM.

seed	RH [%]	pH _{seed} ^[a]	LWC [g m ⁻³] ^[a]
78 mmol L ⁻¹ NH_4HSO_4	≈ 0	_ ^[b]	_ ^[b]
78 mmol L ⁻¹ NH_4HSO_4	50	0.1	6.66×10^{-6}
78 mmol L ⁻¹ NH_4HSO_4	75	1.2	12.29×10^{-6}
60 mmol L ⁻¹ $(\text{NH}_4)_2\text{SO}_4/0.4 \text{ mmol L}^{-1} \text{H}_2\text{SO}_4$	≈ 0	_ ^[b]	_ ^[b]
60 mmol L ⁻¹ $(\text{NH}_4)_2\text{SO}_4/0.4 \text{ mmol L}^{-1} \text{H}_2\text{SO}_4$	50	4.0	4.25×10^{-6}
60 mmol L ⁻¹ $(\text{NH}_4)_2\text{SO}_4/0.4 \text{ mmol L}^{-1} \text{H}_2\text{SO}_4$	75	4.2	11.56×10^{-6}

LWC: Liquid water content; [a] pH and LWC of the seed particles were calculated for different RH using model II from the extended aerosol thermodynamic model (E-AIM; Clegg et al., 1998); [b] calculation of the pH and LWC was not possible due to the low relative humidity of $\text{RH} \approx 0\%$. A $\text{RH} = 10\%$ is set as lower limit in E-AIM.

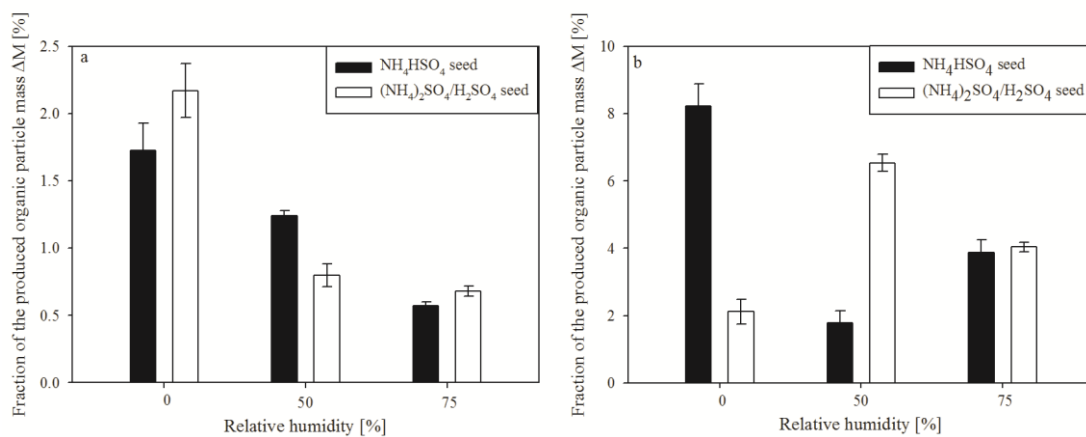


Figure 5: Contribution of methylglyoxal (a) and their heat-decomposable oligomers (b) to the produced organic particle mass (ΔM) with NH_4HSO_4 and $(\text{NH}_4)_2\text{SO}_4/\text{H}_2\text{SO}_4$ seed particles under variation of the relative humidity.