

## **Responses to the reviewers' comment**

### **Anonymous Referee #1**

**Review of "Alteration of the microphysical properties of black carbon through transport in the boundary layer in East Asia" by Takuma Miyakawa et al. submitted to Atmospheric Chemistry and Physics.**

We appreciate the reviewer's helpful and constructive comments on the manuscript entitled "Alteration of the microphysical properties of black carbon through transport in the boundary layer in East Asia". As the reviewers suggested, we have modified the manuscript. Major points for the revisions are listed as follows.

- 1) Title has been changed.
- 2) Relative importance of washout and rainout has been quantitatively discussed in a section that we newly produced (section 3.2 in the revised manuscript).
- 3) We have added a new section (section 3.5 in the revised manuscript) focusing the changes in chemical compositions of fine aerosols measured using an Aerosol Chemical Speciation Monitor.
- 4) We have modified the discussion section especially to clarify our speculations based on the observed results.
- 5) We have modified the size of figures for visible clarity.

\*Note the reviewers' comments in **bold**.

**The manuscript discusses ground-based measurements, with several instruments, of black carbon (BC) near an industrial source region and at a location removed from the source to study the effects of precipitation on the size distribution and properties of the BC-containing particles. The manuscript is well written and competently explains the study, but several of the arguments do not seem supported by the data. If the comments below are addressed I would recommend that the manuscript be accepted for publication. The title refers to "microphysical properties," which is true, but perhaps "size distribution and amount of associated non-BC material" would be more accurate, as the former term implies a host of properties that were not addressed.**

>As the reviewer suggested, this study has investigated a part of the microphysical parameters of BC. Shape and chemical composition of BC-containing particles, which were not directly measured in this study, are important for considering the climatic impacts of BC-containing particles. However, chemical composition of non-refractory (non-BC) materials for both BC-free and -containing particles was measured using an

Aerosol Chemical Speciation Monitor (ACSM). We addressed just simply the mixing state of BC-containing particles, and therefore revised the title slightly to “Alteration of the size distributions and mixing states of black carbon through transport in the boundary layer in East Asia”.

**Line 56: The sweeping statement that "washout cannot substantially affect the lifetime of atmospheric BC-containing particles," even with a reference to Seinfeld and Pandis, seems difficult to justify. Do the authors mean that because most of the BC-containing particles have diameters of several hundred nanometers, their ability to be scavenged by falling precipitation is not very large? This would seem to depend on the intensity of precipitation.**

>As the reviewer suggested, the accumulation mode aerosols including BC are not effectively removed by the falling rain droplets. Washout process is dependent on the precipitation intensity (PI) and raindrop size as well as the particle size range. Using a parameterization (Wang et al., GMD, 2014) including the raindrop size information, we estimated the removal rate of aerosol particles via below-cloud-scavenging. The precipitation intensity along trajectories and the parameterization suggests that the removal rate is estimated to be  $1 \times 10^{-3} \text{ h}^{-1}$  on average, and be ranging from  $0.5 \times 10^{-3}$  to  $2 \times 10^{-3} \text{ h}^{-1}$  in the submicron size range. The temporal duration in rain along the trajectory was also calculated. The combination of their estimations enables us to estimate the fraction of the accumulation mode particles removed through the rainout. The fraction removed was estimated to be only 1.0% on average (+2.59%/-0.9%). The rainout process is a major process to reduce the loss of aerosols in wet removal. We added a new section to describe the above explanations (section 3.2 in the revised manuscript) as follows.

### “3.2 Removal processes of fine aerosol particles

In this study, the removal processes including dry deposition and washout were considered to be minor. The dry deposition in this region has already been evaluated by Kanaya et al. (2016). The washout is dependent on the precipitation intensity and rain drop size as well as the particle size range. We quantitatively investigated the relative importance of rainout to washout in this study. The removal rates of submicron accumulation mode particles through the washout ( $\Lambda_{\text{accum}}$ ) was estimated to be  $\sim 1 \times 10^{-3} \text{ h}^{-1}$  ( $0.5\text{-}2 \times 10^{-3} \text{ h}^{-1}$ ) using a parametrization given by Wang et al. (2014) and the average precipitation intensity along the trajectories ( $0.78 \pm 0.6 \text{ mm h}^{-1}$ ) as an input to the parameterization. The possible uncertainties in this estimation are derived

from the discrepancies in  $\Lambda_{\text{accum}}$  the removal rates between the parameterization and some experimental results (Wang et al., 2014). The values of  $\Lambda_{\text{accum}}$  can be underestimated by an order of magnitude by using the parameterization, which is however overly pessimistic. The temporal duration in rain along trajectories for air masses with the APT greater than 0 mm was 10 ( $\pm 8$ ) hours on average. These values can be used for the estimation of the removed fraction of submicron aerosols through the washout process. The average fraction of submicron aerosols removed was 1% (+2.59%/-0.9%). Even though we took into account the uncertainties for estimating  $\Lambda_{\text{accum}}$ , it was found that the washout process did not play a major role in the removal of BC in East Asian outflow.”

**Line 148: Rather than "lower and upper boundaries" it would be preferable to state "outside the diameter range . . ." so that it is clear what size is being referred to.**

>We have revised as suggested.

**Lines 152-154: Some discussion of why the EC and rBC concentrations differ, and especially why the rBC concentration is less, seems to be necessary. Line 168: Some justification for the selection of 0.5 as the collection efficiency for sulfate in the ACSM is required.**

>In this study, we compared rBC with effective BC (EBC) measured using a light absorption technique (COSMOS). As we stated in the original manuscript, the difference between rBC and EBC is within the uncertainties related to both measurements. One of the unclear uncertainties, which have not well been studied, is the detection sensitivity of SP2 to the ambient rBC particles (incandescence signal intensity per rBC particle mass,  $S_{\text{LII-m}_{\text{pp}}}$ ) in a remote atmosphere. It was found in previous studies (Moteki and Kondo, 2011; Miyakawa et al. 2016) that the  $S_{\text{LII-m}_{\text{pp}}}$  relationship of fullerene soot (FS) particles, which is used as a calibration standard for the SP2, is similar to that of ambient rBC particles in urban/industrial area. We hence assume the same sensitivity of SP2 to the ambient rBC in a remote atmosphere as that of FS particles and rBC particles in urban/industrial area.

We inserted the sentences in the second paragraph of section 2.1 as follows.

“Fullerene soot (FS, stock 40971, lot L20W054, Alfa Aesar, USA) particles were used as a calibration standard for the SP2. A differential mobility analyzer (Model 3081, TSI Inc., USA) was used for preparing the monodisperse FS particles.”

We also added the sentences in the second paragraph of section 2.1 as follows.

“While the validity of the calibration standard, FS particles, has been evaluated only near source regions (Moteki and Kondo, 2011; Miyakawa et al., 2016), the discrepancy can be partly attributed to the differences in physicochemical properties between ambient BC in remote air and FS particles.”

The collection efficiency of ACSM-SO<sub>4</sub><sup>2-</sup> was derived from Yoshino et al. (2016). This study is referred in the revised manuscript.

**Line 206: Some discussion of how sensitive the results are to different choices for the percentile (i.e., does the background value change if concentrations lower than the 10th percentile were averaged?) would be helpful, or better yet, a distribution of the CO concentrations should be shown.**

>When we set 10<sup>th</sup> percentile of CO mixing ratio as the threshold value, the derived background CO mixing ratio was calculated to be 131 ppb, which is very slightly higher than the original value (120 ppb). We have prepared SI including the descriptions on the determination of the background CO mixing ratio as follows.

“S1. Determination of the background mixing ratio of carbon monoxide (CO)

We assume the 5th percentile value of CO mixing ratio (138 ppb) as a threshold value to extract its background level (CO<sub>bg</sub>). CO<sub>bg</sub> is defined as the average of CO mixing ratios below the 5th percentile in this study, and is calculated to be 120 ppb. When we change the threshold from 5th to 10th percentiles (146 ppb), derived CO<sub>bg</sub> increases from 120 ppb to 131 ppb. Figure S1 depicts the probability density function of the observed CO mixing ratio with the assumed threshold. It is suggested that the assumption of the threshold value very slightly affected the estimation of CO<sub>bg</sub>.

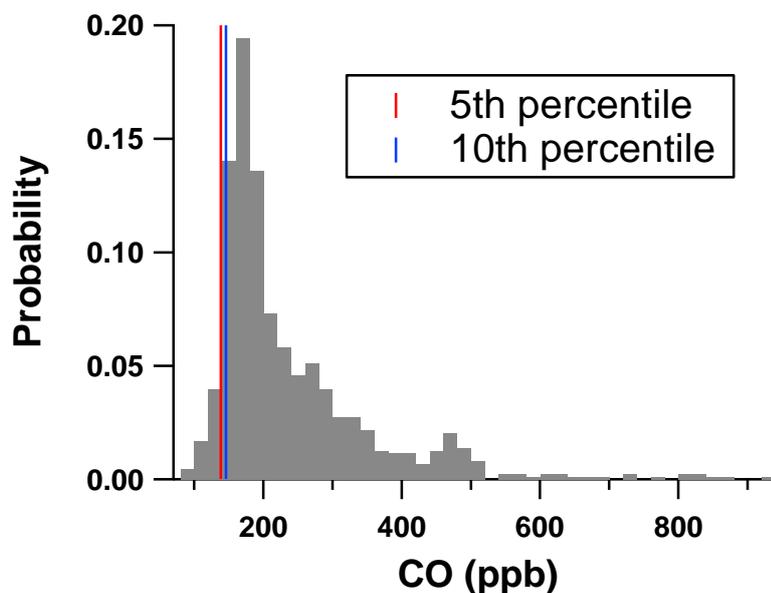


Figure S1. Probability density of measured CO mixing ratio (shaded bars). Red and blue vertical lines correspond to the 5th and 10th percentile values of the observed CO mixing ratios.”

**Line 277: The statement that the ACSM-SO<sub>4</sub> and the IC-SO<sub>4</sub> "generally agreed well" is true, but from Fig. 5c there appears to be little variability in either at concurrent times when comparison could be made.**

>The variability in IC-SO<sub>4</sub><sup>2-</sup> mass concentration was ~9 μg m<sup>-3</sup> at STP (min - max ~1 - ~10). Wider range of concentrations (<~20 μg m<sup>-3</sup>) were observed during an intercomparison experiment in Queens/New York (Drewnick et al., 2003). To the best of our knowledge, the observed range was larger enough to discuss the intercomparison results. For example, Takegawa et al. (2005) reported the intercomparison results of SO<sub>4</sub><sup>2-</sup> mass concentration between Aerodyne AMS and PILS-IC. The range given in their study (<~7 μg m<sup>-3</sup>) is smaller than ours.

**Line 284: It is not clear why the positive correlation of SO<sub>4</sub> and CO suggests that the SO<sub>4</sub> was secondary and that SO<sub>4</sub> contributed to the BC coatings; more explanation of these assumptions/conclusions is required.**

>Air masses are well mixed and diluted through transport before sampling in outflow regions. The effects of differences in the source types can be cancelled by the transport process when the spatial distributions are similar. Anthropogenic SO<sub>4</sub>, which is abundant in this region, is produced from SO<sub>2</sub> oxidation in atmosphere. SO<sub>2</sub> does not always share the emission sources with CO, because power generation sector

has a great contribution to SO<sub>2</sub> emission but not to CO. Actually, the spatial distribution of SO<sub>2</sub> emissions in East Asia is similar to that of CO emissions (Koike et al., JGR, 2003; Kurokawa et al., ACP, 2013). For clarifying this fact, we referred in the revised manuscript the previous studies where CO is used as a tracer to investigate the transport and transformation of sulfur compounds in East Asian region (Koike et al., JGR, 2003; Sahu et al., JGR, 2009). We added more explanations on this point in section 2.2 in the revised manuscript as follows

“Relative changes in SO<sub>4</sub><sup>2-</sup> to CO were also analyzed using the linear regression slopes of their correlation in this study. We did not calculate of their hourly values, because it was difficult to determine the background concentration of SO<sub>4</sub><sup>2-</sup>. The use of CO as a tracer of sulfur compounds in East Asia was validated by Koike et al. (2003). Although sulfur dioxide (SO<sub>2</sub>), which is a major precursor of anthropogenic SO<sub>4</sub><sup>2-</sup>, does not always share the emission sources with CO, the special distributions of SO<sub>2</sub> emissions is similar to those of CO emissions in East Asia (Koike et al., 2003; Kurokawa et al., 2013). Analyzing the increase or decrease in the slopes of the SO<sub>4</sub><sup>2-</sup>-CO correlation is beneficial to the investigation of the formation and removal processes for SO<sub>4</sub><sup>2-</sup>. Especially, the aqueous-phase reaction of SO<sub>4</sub><sup>2-</sup> in clouds is discussed using this parameter.”

Growth of BC-containing particles should be explained separately because the coating material was not directly measured in this study. The ACSM measurements supported the interpretation of chemical composition of non-BC components. It is found that the major components of non-BC materials were ammonium sulfate and organic matter (OM) as summarized in Table 1 newly added in the revised manuscript. We suggested that the major coating materials of BC were ammonium sulfate and OM. Besides our observation results, a previous study (Takami et al., JGR., 2013) supports our suggestions.

“Table 1. Mean chemical composition of fine aerosols during the observation period

Components	Period average	APT			
		0 mm	0 mm RH <sub>max</sub> <50%	0 mm RH <sub>max</sub> >80%	>15 mm
Ammonium sulfate	44.9%	41.8%	34.0%	48.9%	50.4%
Ammonium nitrate	11.7%	15.7%	10.7%	8.0%	5.0%
OM	40.9%	40.1%	52.0%	40.4%	42.0%
BC	2.5%	2.4%	3.2%	2.6%	2.5%

»

**Line 290: The authors note "the small variability of SO<sub>4</sub>/CO ratios," yet Figure 6b shows that these ratios vary considerably.**

>As the reviewer suggested, this statement and Figure 6b seem to contradict each other. We removed this sentence for the clarity.

**Lines 294, 297: The two "experiments," which consisted of two brief time periods out of a month of data, were used to justify conclusions regarding flow patterns. While the results are indeed consistent with the arguments made, it seems difficult to justify such conclusions on the basis of one comparison.**

>As the reviewer suggested, the results shown in this study are based on the observation during not-so-long time periods. We agree that it is actually difficult to draw the general conclusions. However, we still believe that this paper shows the significance in the observational studies of the relationship between removal process and the changes in the BC microphysical properties, because the observed meteorological conditions in the spring of 2015 were not special and similar to those with an average year.

We added the sentences as follows.

“The migrating anticyclone and cyclone were observed during this period, which is typically dominant in spring over East Asia (Asai et al., 1988). We here only briefly describe the meteorological fields (wind flow and precipitation) in the following.”  
(behind the first sentence in section 3.1)

We modified the last sentence in section 3.5 to

“As the results from this study are based on observations during a limited length of time, it would be worthwhile to further investigate the possible connections of the variabilities in BC microphysical properties and meteorological conditions in this region to provide useful constraints on more accurate evaluations of climatic impacts of BC-containing particles (Matsui, 2016)”.

**Line 317: The authors refer to the SO<sub>4</sub>/CO ratio, but does this really refer to the deltaSO<sub>4</sub>/delta-CO ratio? It was unclear to me here and a number of places elsewhere in the text whether the CO and SO<sub>4</sub> values referred to delta-CO and delta-SO<sub>4</sub> values or not. For clarity, I would recommend using "delta-" values throughout.**

>We clearly found the lower concentrations of  $\text{SO}_4^{2-}$  relative to CO for the data with the higher APT in Figure 6b of the original manuscript. Another reason not to include the  $\Delta\text{SO}_4^{2-}/\Delta\text{CO}$  ratio is the uncertainty related to the variability in the background of  $\text{SO}_4^{2-}$  in East Asia. Although the use of the same data treatment would be clear for the readers, we did not quantitatively analyze the hourly  $\Delta\text{SO}_4^{2-}$  and  $\Delta\text{CO}$  values for considering the relative enhancements of  $\text{SO}_4^{2-}$  to CO in this study. We hence added the sentences to explain why we do not analyze  $\Delta$  values in section 2.2 in the revised manuscript as follows.

“Relative changes in  $\text{SO}_4^{2-}$  to CO were also analyzed by using the linear regression slopes of their correlation in this study. We did not calculate the hourly  $\Delta\text{SO}_4^{2-}/\Delta\text{CO}$  values, because it was difficult to determine the background concentration of  $\text{SO}_4^{2-}$ . Analyzing the slope of the  $\text{SO}_4^{2-}$ -CO correlation is beneficial to the investigation of the formation processes as well as the removal processes for  $\text{SO}_4^{2-}$ . Especially, the aqueous-phase formation of  $\text{SO}_4^{2-}$  in clouds is discussed by using this parameter.”

We modified the section 3.4 in the revised manuscript. The slopes of  $\text{SO}_4^{2-}$ -CO correlation were more systematically investigated. We selected three cases. In the original manuscript, we have already analyzed the data points with the APT of zero and higher and lower  $\text{RH}_{\text{max}}$  (i.e., no precipitation with and without cloud impacts, respectively). In addition to these cases, we added a case for the data points with the APT >15 mm which represent the data points heavily affected by the wet removal. The linear regression slopes for three cases were added to Figure 6b in the revised manuscript. It is very clear to investigate the enhancement ratios.

**Lines 317-319: The difference in slopes shown in the inset to Figure 6b doesn't seem sufficiently large, given the scatter of the data, to be significantly different, and certainly not to justify the conclusion that the controlling process is rainout.**

>The rainout lowered the transport efficiency of  $\text{SO}_4^{2-}$  as well as BC (to CO). However, the cloud process not associated with the precipitation can affect the relative increases of  $\text{SO}_4^{2-}$  concentration. The major purpose to include this figure is to elucidate the impact of the cloud process on the aqueous-phase formation of  $\text{SO}_4^{2-}$ , and is not to discuss the loss processes. Figure 6b is modified in the revised manuscript to clarify the data points with the higher values of APT and with the APT value of zero (no precipitation through the transport). These data points are analyzed by the linear regression. Please see the revised Figure 6b for details. In section 3.3, we added the

descriptions on the changes in regression slopes associated depending on the air mass histories.

**Line 343: Here and elsewhere the argument is made that aging leads to growth of BC particles, which is well accepted, but such aging can also lead to loss of larger particles through rainout, yet size distributions in Figure 7 doesn't show much of a difference between size distributions for air masses with BC loss and those without, and certainly not more of a difference for larger BC particles than for smaller ones. This discrepancy requires explanation.**

>All the size distributions shown in Figure 7 are normalized by the number or mass integrated for the measured size range, which is described in the caption of this figure. The "absolute" size distributions show more differences between with and without BC loss. We modified the size distributions from "normalized" to "absolute" and added a new figure (fig 7c of the revised manuscript) of the relationship between BC peak diameters and  $\Delta BC/\Delta CO$  (i.e., degree of the removal of BC). Please see the revised figure for more details. The air mass mixing in the PBL as well as partial experience of can also change the shape of particle size distributions. Furthermore, we could not perform quantitative evaluations for these effects. We believe that these complicated processes can be evaluated by a model study. We added the sentences to the last part of the first paragraph in "Discussion" section (section 3.7 in the revised manuscript) as follows.

"The coagulation of aerosols particles through the transport after the wet removal events can lead to the modification of the particle size and mixing state distributions affected by cloud processes. The suppression of changes in the microphysical properties of BC-containing particles during transport in the PBL can be related to these factors. More quantitative assessments of the impacts of these factors should be performed using a model which has a function to resolve the mixing state of aerosol particles (e.g., Matsui et al., 2013)."

**Line 345: The statement that "small BC-containing particles were scavenged by larger particles in the coagulation process" is a hypothesis, but stated as truth. It would seem that concentrations are too low for much coagulation over the brief period (a few days), especially for particles that are many tens of nanometers in diameter. Calculations or a simple model would be required to support this hypothesis. Line 353: It would be preferable, and less ambiguous, to rephrase "BC**

**size of 0.2" to "BC diameter of 0.2".**

>In the consideration of the washout process, the removal of small BC-containing particles through the washout is expected to be significant as well as the coagulation process. We hence describe the possibility of both processes in the revised manuscript. We rephrased “BC size of 0.2” to “BC diameter of 0.2”.

**Line 368: The discussion focused on transport pathways of particles in the particular region of the study, but I was expecting more discussion on the results, what they mean, and so forth. There seemed to be little relevance to the second paragraph of the discussion.**

>We reorganized the discussion part (section 3.7 in the revised manuscript). We merged and reorganized the first paragraph and the half of the second paragraph into one paragraph. We added the explanations to interpret the observed results and to show the limitation at this moment as follows.

“The coagulation of aerosols particles through the transport after the wet removal events can lead to the modification of the particle size and mixing state distributions affected by cloud processes. The suppression of changes in the microphysical properties of BC-containing particles during transport in the PBL can be related to these factors. More quantitative assessments of the impacts of these factors should be performed using a model which has a function to resolve the mixing state of aerosol particles (e.g., Matsui et al., 2013).”

We consider that the relationship between transport pathways (i.e., processes during transport) and its impact on the aerosol particles is a key and relevant to our observation results. We hence did not removed this part and modified the sentences of the third (second in the revised manuscript) paragraph.

**Line 372: The decrease in the peak diameter of the mass size distribution is very small, and within uncertainty.**

>The change in the peak diameter in Fig 7b is small (corresponded change in BC mass is 1 fg/particle). As we described in the above, we added a new figure to show the tendency of the BC particle diameter as a function of the degree of BC removal (Fig 7c of the revised manuscript). Fig 7c indicates 2-2.5 fg/particle decrease from the higher (~6 ng m<sup>-3</sup> ppb<sup>-1</sup>) to lower values (0.4-0.5 ng m<sup>-3</sup> ppb<sup>-1</sup>) of  $\Delta BC/\Delta CO$ . This difference shown in Figure 7c can be resolved by the SP2 (beyond the uncertainty as described in

section 2.1 of the revised manuscript.). The variabilities of the peak diameters are summarized in Table 2 in the revised manuscript and are smaller than those measured.

**Line 373: The statement that the evidence implies selective removal of large BC containing particles is not supported by Figure 7, which shows a very slight difference in the size distribution between "with BC loss" and "without BC loss" but not apparent selective decrease of larger particles. If there were selective removal, I would expect the size distribution to not be lognormal, but to have a deficit on the large side below what a lognormal would be. Figure 3a is very difficult to read; could it be made larger? Figure 3b requires units for  $q_v$  to accompany the scale. Figure 4a should be made larger also, if possible. Figure 5b: it is difficult to distinguish the COSMOS and SP2 BC values; perhaps make one red and the other black? Figure 6a: do the axes refer to delta-CO and delta-BC? If so, they should be labeled as such. Figure 6b, inset: what does "all data" refer to? If this is to label the gray dot, then it is not clear.**

>The activation of aerosol particles to cloud droplets has occurred during transport. We did not observe the aerosol particles below the convective cloud, because the migratory cyclone was the dominant process for the upward transport in spring in East Asia. We thus considered that SP2 detected BC-containing particles which have been aged (about a half ~ a day, typical transport time) since affected by the wet removal. The size distributions of BC-containing particles can change during transport again after the rainout process, and therefore do not always conserve the original shape.

We have corrected some figures as suggested. We enlarged all figures as large as possible as suggested. Units of all parameters in Fig 3 were clarified in the modified one. The color of SP2-BC in Fig 5 was changed to red. Axes of Fig 6a do not refer to delta (so we didn't change). Fig 6b was modified, because it was not clear. All the values in Figure 6 are absolute concentrations (not delta). Fig 7c was newly added (Please see the texts for details).

#### References

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## **Referee #2 Dr. Gavin McMeeking**

### **Review of Miyakawa et al.**

We appreciate your helpful and constructive comments on the manuscript entitled “Alteration of the microphysical properties of black carbon through transport in the boundary layer in East Asia”. As the two reviewers suggested, we have modified the manuscript. Major points for the revisions are listed as follows.

- 1) Title has been changed.
- 2) Relative importance of washout and rainout has been quantitatively discussed in a section that we newly produced (section 3.2 in the revised manuscript).
- 3) We have added a new section (section 3.5 in the revised manuscript) focusing the changes in chemical compositions of fine aerosols measured using an Aerosol Chemical Speciation Monitor.
- 4) We have modified the discussion section especially to clarify our speculations based on the observed results.
- 5) We have modified the size of figures for visible clarity.

\*Note the reviewers' comments in **bold**.

**The authors present a one month case study examining measurements of black carbon properties at a remote island site, using co-located measurements of CO and sub-micron aerosol composition and reanalysis data to evaluate precipitation impacts on the observed properties. The manuscript focuses on contrasting observed properties during periods with differing accumulated precipitation along backward trajectories. The paper is well prepared and well organized and the subject is well within the topic area for ACP. There are several areas where minor revisions are needed, however, before the paper can be recommended for publication. I agree with the points raised by Reviewer #1, so have tried to not repeat too much of what has been already raised. The comments should be addressed in a revised manuscript. In addition:**

**+ Given the focus of the manuscript, the introduction would benefit from a more thorough discussion of the various BC removal mechanisms, with more mechanistic details given as to why various processes may or may not be important in the study area. Distinction should be made between in-cloud processes (nucleation scavenging versus scavenging by pre-existing droplets), below-cloud (washout) and dry deposition.**

We added the sentence describing the removal processes of BC to the second

paragraph of section “Introduction”. Relate to this, as the reviewer #1 suggested, we have modified the descriptions on the relative importance of the washout (to the rainout) (in section 3.3 of the original manuscript). We made a new section for the explanation as follows.

### “3.2. Removal processes of fine aerosol particles

In this study, the removal processes including dry deposition and washout were considered to be minor. The dry deposition in this region has already been evaluated by Kanaya et al. (2016). The washout is dependent on the precipitation intensity and rain drop size as well as the particle size range. We quantitatively investigated the relative importance of rainout to washout in this study. The removal rates of submicron accumulation mode particles through the washout was estimated to be  $\sim 1 \times 10^{-3} \text{ h}^{-1}$  ( $0.5\text{-}2 \times 10^{-3} \text{ h}^{-1}$ ) using a parametrization given by Wang et al. (2014) and the average precipitation intensity along the trajectories ( $0.78 \pm 0.6 \text{ mm h}^{-1}$ ) as an input to the parameterization. The temporal duration in rain along trajectories for air masses with the APT greater than 0 mm was 10 ( $\pm 8$ ) hours on average. These values can be used for the estimation of the removed fraction of submicron aerosols through the washout process. The average fraction of submicron aerosols removed was 1% ( $+2.59\%/-0.9\%$ ), indicating that the washout process played a minor role in the removal of BC in East Asian outflow.”

**+ Two points regarding reported SP2-measured BC number/mass distributions. First, the manuscript needs to make it more clear when BC core versus shell diameters are being discussed, especially when linking the observations to theory. For example, while it is true we would expect larger particles to be removed in air masses heavily impacted by precipitation, the effects on BC core distributions will be confounded by other material mixed with the cores. Related to this, the diameter range for which the optical sizing of the BC particles should be provided in the methods section. Second, small changes in the detection efficiency of the SP2 at its lower limit due to changes in cavity laser power can look like changes in BC core number distribution. A short statement regarding any checks on cavity laser power or other approaches used to ensure consistent behavior at lower size limits for the instrument would be useful.**

As the reviewer suggested, we added explanations on these SP2 data analyses and working conditions in section 2.1 as follows.

- Estimation of shell to core diameter ratios of BC-containing particles

We added the following sentences to describe the diameter range of BC-containing particles.

“In this study, we analyzed the  $D_S$  of BC-containing particles with a  $D_{core}$  range between 0.15 and 0.35  $\mu\text{m}$ . The maximum value of  $D_S/D_{core}$  ratios analyzed is 4 in this study. Retrieved results suggest that almost all BC-containing particles were not so thickly coated (for example,  $D_S/D_{core}$  ratios of 2.5 at highest at  $D_{core}$  of 0.2  $\mu\text{m}$ ).”

- Laser power and lower BC diameter limit

We added the following sentence to describe that the variations in the housekeeping parameters of SP2 cannot change the main conclusions in this study.

“The variations in the laser power were within  $\pm 3\%$  during the observation period, thus indicating that the fluctuations of laser power did not largely affect the lower limit of the detectable BC size of the SP2.”

**+ Potentially useful additional information provided by the ACSM is being ignored by examining only sulfate. Is there a reason for this?**

>We analyzed the concentration of  $\text{SO}_4^{2-}$  measured using the ACSM for the reasons, (1) “its precursor gas (sulfur dioxide) shares the emission sources and locations with CO”, and (2) “its formation process in the aqueous phase reaction is useful for analyzing the effect of a possible cloud processing through air parcel transport”. We analyzed the chemical composition of fine aerosols measured using the ACSM and made a new section (section 3.5 in the revised manuscript). To show the results for the analyses, we made a new Table (Table 1 in the revised manuscript.). Figure 5 was modified by adding the temporal variations in the mass concentrations of nitrate and organic matter (OM). Sulfate and OM were the major components for fine aerosol particles in this study.

“Table 1. Mean chemical composition of fine aerosols during the observation period

Components	Period average	APT			
		0 mm	0 mm $\text{RH}_{\text{max}} < 50\%$	0 mm $\text{RH}_{\text{max}} > 80\%$	>15 mm
Ammonium sulfate	44.9%	41.8%	34.0%	48.9%	50.4%
Ammonium nitrate	11.7%	15.7%	10.7%	8.0%	5.0%
OM	40.9%	40.1%	52.0%	40.4%	42.0%
BC	2.5%	2.4%	3.2%	2.6%	2.5%

”

### “3.5. Changes in fine aerosol compositions

Chemical compositions of fine aerosols were investigated in terms of the APT and  $RH_{max}$ . Four cases are selected here, namely (1) APT of zero (no precipitation), (2) APT of zero with  $RH_{max} < 50\%$  (no precipitation without cloud impacts), (3) APT of zero with  $RH_{max} > 80\%$  (no precipitation with cloud impacts), and (4) APT  $> 15$  mm (heavily affected by wet removal). The results are summarized in **Table 1**. Ammonium sulfate and OM were dominant in all cases. The relative contributions of ammonium sulfate in the cases (3) and (4) increased from the average, indicating that cloud processes affected the relative abundance of ammonium sulfate. The contributions of OM in the case (2) increased from the average. The formation of secondary OM can be significant under dry conditions during transport. Detailed mass spectral analyses of OM and formation of OM in cloud are beyond the scope of this study, and they are not discussed in this study. The relative changes in chemical compositions were within around 10%.”

### References

- Kanaya, Y., X. Pan, T. Miyakawa, Y. Komazaki, F. Taketani, I. Uno, and Y. Kondo (2016), Long-term observations of black carbon mass concentrations at Fukue Island, western Japan, during 2009-2015: Constraining wet removal rates and emission strengths from East Asia, *Atmos. Phys. Chem.*, 16, 10689-10705, doi:10.5194/acp-16-10689-2016.
- Wang, X, Zhang, L., and M. D. Moran (2014), Development of a new semi-empirical parameterization for below-cloud scavenging of size-resolved aerosol particles by both rain and snow, *Geosci. Model Dev.*, 7, 799-819, doi :10.5194/gmd-7-799-2014.

Responses to the co-editor's comments

Takuma Miyakawa, Japan Agency for Marine Earth Science and Technology, Japan  
(miyakawat@jamstec.go.jp)

**Comments to the Author:**

**Dear Authors,**

**Thank you for submitting your revised manuscript. Unfortunately, you have not adequately addressed the many comments and concerns raised by the Referees in your Response to the Referees, nor have you significantly revised your manuscript to consider the Referee comments. In many cases your response to the Referee does not actually directly address the question being raised. Instead you focus on another aspect loosely related to the question raised by the Referee, instead of directly answering the question. In some other cases, your response to the Referee involved just deleting the section/sentence in your manuscript in question. This does not properly address the question or concern under discussion, and further weakens the quality of the science and strength of your arguments presented in your paper. Some more specific comments follow below.**

**(Note that I looked at the Track Changes version of the manuscript submitted with the Author's Response when making my decision, and therefore the incorrect version of the manuscript originally submitted and then updated on Nov. 8th did not affect my decision.)**

>We appreciate your efforts to suggest the further revisions of our manuscript for the improvement. We have modified the manuscript as follows.

**In order to proceed with peer review, you will need to further revise your manuscript to properly address the many important and valuable points and concerns raised by the Referees. Please note that the 2nd Referee echoed the comments raised by Referee #1, highlighting the importance of these comments. As part of your revisions, you must also produce a new more comprehensive Response to the Referees that properly responds to the specific comments being raised. In your point-by-point response please paste the section of the manuscript text that has been changed to address that point, so it is immediately clear how the manuscript has been revised to address that question. Your revised manuscript submitted actually contains only a few substantial revisions. The manuscript must be revised to properly address the major comments raised during peer-review. Be sure to address the specific question that was raised by the Referee,**

**instead of side-stepping the issue by discussing a different but related issue.**

**In your revisions please refrain from making small numerous changes to the manuscript text, correcting typos and grammar, etc. These distract from focusing on what revisions were made to the actual science, results, and arguments of the paper. It is not appropriate to make so many extensive changes to the manuscript's language and wording while it is undergoing peer review.**

>We have ordered a proofreading service upon the resubmission of the revised manuscript, because we are not non-native speakers and need some helps to improve the quality of English-writing. Small changes to the main text were raised in this process. We would like you to understand that we hope to improve the readability of the manuscript by reducing mistakes in typos and grammar. We minimized the corrections to such mistakes. We tried to further revise the manuscript again by focusing the scientific issues raised from the peer review process.

**In your Response summary a major revision you listed in your bulleted list was revision of the manuscript's Discussion section, yet this was in fact barely changed. Only the final paragraph was significantly modified, and this did not change the central points raised in the Discussion.**

>We considered that the major points previously raised in "Discussion" are important and should not be removed and corrected without any clear reasons. In this revision, we modified the former part of this section which discusses the interpretation of the observed evidences. In the revised manuscript, the degree of changes in the size and mixing state distributions was interpreted with the complex processes in the PBL (compared to the uplifting from the PBL to the FT). Further quantitative assessments of the proposed explanation to the observed changes in the SP2-derived BC microphysics should be performed using a model, for example, chemical transport model with a module to resolve particle mixing states (e.g., Matsui et al., JGR, 2013).

**I agree that the focus only on the sulfate concentrations measured by the ACSM is odd and seems inappropriate. At other points in the paper the importance of organic components mixed with BC are discussed. The ACSM measurements should be presented, so the contributions of all the major species measured at these sites is known (e.g. sulfate, nitrate, organics, others).**

>Why we focused the data analyses of and discussion on sulfate in the original manuscript is that sulfate is one of the major components of fine aerosols in this region and that it is useful to connect its formation process with cloud process, as we have already insisted. Because we still believe that the discussion on sulfate is valuable and insightful in the main context of this paper, we did not delete this point.

In the revised manuscript, we included the data analyses of chemical composition variations in non-BC materials measured by using the ACSM. In section 3.2, the temporal variations of nitrate, ammonium, and organic material (OM) are shown in Fig 5, and the average relative abundance of them is discussed. Ion balance was investigated to consider the chemical form of inorganic ions. Sulfate and nitrate were almost fully neutralized by ammonium. We found that the major components of fine aerosols were ammonium sulfate and organic material. We made a new section (section 3.4 in the revised manuscript) of the discussion on the changes in the composition of aerosols in air masses depending upon their histories (e.g., w. or w.o. precipitation). The contribution of ammonium sulfate increased with the cloud processing through the transport. The contribution of OM is significant in all cases, especially for air masses with no precipitation and no cloud impacts. Relative changes in compositions against the air mass histories were not so large and were around ~10%. These two components, ammonium sulfate and OM, are the most important contributors to the non-BC materials in fine aerosol masses. Detailed speciation of OM based on the mass spectral analyses in East Asian outflow is beyond the scope of this study and has been discussed in previous studies (e.g., Irei et al., EST, 2011). Aqueous phase formation of OM is also beyond the scope of this study. We therefore did not include these topics in the manuscript.

**The response regarding the proposed small contribution from washout is not clear. This should be discussed more clearly and quantitatively in the main text, with citations to key references, instead of just putting all the details in the SI. Please provide a much more detailed response regarding this calculation and the inherent assumptions and uncertainties in your response to Referee #1. The calculated washout lifetimes seem to be unrealistically long.**

>We included the descriptions on the washout process in East Asia in this period in the main text. The reason why the lifetime estimated in the previous revision is long is that we oversimplified the input parameters, especially sizes of rain drops, in calculating the scavenging rates. Using a parameterization (Wang et al., GMD, 2014) including the raindrop size information, we estimated the removal rate of aerosol particles via below-cloud-scavenging. The precipitation intensity (PI) along trajectories and the parameterization suggests that the

removal rate is estimated to be  $1 \times 10^{-3} \text{ h}^{-1}$  on average, and be ranging from  $0.5 \times 10^{-3}$  to  $2 \times 10^{-3} \text{ h}^{-1}$  (depending upon the PI value) in the submicron size range. The temporal duration in raining along the trajectories was also calculated. The combination of their estimations enables us to estimate the fraction of the accumulation mode particles removed through the rainout. The fraction removed was estimated to be only 1.0% on average (+2.59%/-0.9%). The possible uncertainties raised in this section cannot change the major conclusion that the washout process did not played a major role in the removal of fine aerosol particles.

**Referee #1 Comment on Line 284: Your response and revisions do not adequately address the questions raised regarding the appropriateness of using the correlation between CO and SO<sub>4</sub> to conclude that the SO<sub>4</sub> was secondary and coated the BC particles. While SO<sub>4</sub> and its precursors are co-emitted with CO, there are many other possible combustion sources that emit CO but emit much less SO<sub>2</sub>/SO<sub>4</sub>. Assuming that most or all of the SO<sub>4</sub> and CO measured were co-emitted is not a justifiable assumption.**

>Air masses are well mixed and diluted through transport before sampling in outflow regions. The effects of differences in the source types can be cancelled by these processes when the emission spatial distributions are similar among species. Anthropogenic sulfate ( $\text{SO}_4^{2-}$ ) is secondarily produced from sulfur dioxide ( $\text{SO}_2$ ) in atmosphere. As the co-editor suggested,  $\text{SO}_2$  does not always share the emission sources with CO, because power generation sector has a great contribution to  $\text{SO}_2$  emission but not to CO. However, the spatial distribution of  $\text{SO}_2$  emissions in East Asia is similar to that of CO emissions (Koike et al., JGR, 2003; Kurokawa et al., ACP, 2013). For clarifying this fact, we should refer these previous studies where CO is used as a tracer to investigate the transport and transformation of sulfur compounds in East Asian region (Koike et al., JGR, 2003). We hence suggested by referring Koike et al. (2003) that  $\text{SO}_2$  and CO emissions have similar spatial distributions over China and that CO can be used as a tracer of sulfur compounds as used in the previous studies.

**Referee #1 Comment on Line 317-319: Your response does not actually address the point raised regarding how the slopes in Fig. 6b do not appear to be different enough to justify your conclusion that the controlling removal process is rainout.**

>The scatter plots of BC,  $\text{SO}_4^{2-}$ , and CO were altered in association with the accumulated precipitation along the trajectory (APT) as seen in Fig 6a and 6b. To clarify this, we selected the data points for air masses significantly affected by precipitation (APT >15 mm) and applied the linear regression analyses to the selected data. Both the slopes for the selected data points

of BC-CO and SO<sub>4</sub><sup>2-</sup>-CO correlations were significantly lower than the upper envelopes of the scatter plots, and were close to the lower envelope. The wet removal is a key to reduce the abundance of BC and SO<sub>4</sub><sup>2-</sup> relative to CO. The washout process was reassessed in the main text (described in the above), and found to be not so important during the observation period. Indirectly, we found that only the rainout can account for the removal of the accumulation mode particles in this study.

**Referee #1 Comment on Line 372 regarding the BC peak diameter from the SP2: You state that a difference in ~1 fg BC can be resolved within the SP2's uncertainties, but do not state these uncertainties. Your response is too vague and requires more specific quantitative details to adequately respond to the Referee.**

>We included the technical descriptions of SP2 performance on the resolving power of incandescence intensity (proportional to mass per particle, mpp) in section 2.1. The values of the changes in mass per particle were quantitatively evaluated. 1 fg BC around 1 fg of BC can be resolved, however the resolution is dependent on the signal levels. Figure 7c which is newly added shows the systematic change in peak diameter or mpp as a function of the degree of BC loss. The observed change in mpp is as large as 2-2.5 fg, which is larger than the uncertainties.

**Referee #1 Comment on Line 373: You have not addressed the main concern raised regarding the supposed evidence for the selective removal of large BC particles, and how this evidence is not clear in the presented size distributions.**

>As discussed in the second paragraph of section 3.6 (3.5 in the original manuscript), turbulent mixing in the PBL leads partial experience of the in-cloud scavenging for aerosol particles suspended within the PBL. This indicates that a certain fraction of aerosol particles in the PBL does not experience. The degree of changes in the shape as well as peak diameter of size distributions can be reduced by this effect. The air mass aging leads to the redistribution of the particle size distribution through the coagulation. The aging process after the wet removal process as well as the mixing process can qualitatively account for why the evidence is not clear in the observed data sets. Quantitative assessments of these effects should be performed using a chemical transport model which can resolve the mixing state of aerosol particles (Matsui et al., JGR, 2013). We included these points in "Discussion" section in the revised manuscript.

**Referee #2 comment on SP2 BC mass/number distributions: The Referee's question**

**regarding the need for more details on when BC core vs. shell diameters are being discussed has not been addressed.**

>In the revised manuscript, (1) the shell and core diameter range, and (2) the effect of laser power fluctuation to the lower limit of measurable diameter of BC-containing particles are clarified in section 2.1.

- (1) The range of diameters of BC-core was from 150 to 350 nm. The maximum value of shell to core (S/C) ratio of these BC-containing particles analyzed was 4. Retrieved results in this study suggest that almost all particles had no such high S/C ratios (~2.5 at the highest for 200 nm core BC-containing particles).
- (2) We diagnosed the housekeeping data to check the stability of the detection efficiency of BC-containing particles. The variations of the laser power were within 3% throughout the observation period. It is found that this factor does not largely affect the lower size limit of detection.

**Referee #2 comment on ACSM data: The focus only on the sulfate measurements is not appropriate. You need to expand the results presented to discuss the full set of measurements from the ACSM. Your response regarding this question did not satisfactorily answer the Referee's question. Discussing how SO<sub>2</sub> and CO share the same emission sources (which as discussed above is not a great assumption) does not actually explain why you don't present ACSM measurements of other aerosol components such as organics, ammonium, or nitrate. If you are going to assume that sulfate is the only major secondary aerosol component mixed with the BC (line 195), you must justify this assumption based on the measurements available. I would be surprised if there were not significant contributions from other secondary species such as organic carbon. On Page 343 you discuss another study that did observe organic coatings on BC particles in East Asian outflow.**

>As described the above, we included in the revisions the data analyses of chemical composition variations in non-BC materials measured by using the ACSM. The temporal variations of nitrate, ammonium, and OM are shown in Fig 5 of section 3.2, and the average relative abundance of them is discussed. Ion balance was investigated to consider the chemical form of inorganic ions. Sulfate and nitrate were almost fully neutralized by ammonium. We found that the major components of fine aerosols were ammonium sulfate and organic material. We made a new section (section 3.4 in the revised manuscript) of the discussion on the changes in the composition of aerosols in air masses depending upon their histories. The contribution

of ammonium sulfate increased with the cloud processing through the transport. The contribution of OM is significant in all cases, especially for air masses with no precipitation and no cloud impacts. Relative changes in compositions against the air mass histories were not so large and were around ~10%. The two components, ammonium sulfate and OM, are the most important contributors to the non-BC materials in fine aerosol masses. Detailed speciation of OM based on the mass spectral analyses in East Asian outflow is beyond the scope of this study and has been discussed in previous studies (e.g., Irei et al., EST, 2011). We therefore did not include this topic in the manuscript. We refer a previous paper to present the chemical and structural feature of BC-containing particles in East Asian outflow air masses (Takami et al., JGR, 2013) as a great example of the mixing state of BC at the observation site. The major components, ammonium sulfate and OM, revealed by the ACSM measurements in this study were same as their study.

**Ryan Sullivan**  
**ACP Co-Editor**

We again appreciate your efforts. The revisions will improve our manuscripts. We hope our paper contribute the significance of the Atmospheric Chemistry and Physics.

1 **Alteration of the microphysical properties size distributions**  
2 **and mixing states of black carbon through transport in the**  
3 **boundary layer in East Asia**

4  
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19  
20 **Abstract.** Ground-based measurements of black carbon (BC) were performed near  
21 an industrial source region in the early summer of 2014 and at a remote island in Japan  
22 in the spring of 2015. Here, We-we report the temporal variations in the transport,  
23 size distributions, and mixing states of the BC-containing particles. These particles

24 ~~were measured–characterized~~ using a continuous soot monitoring system, a single  
25 particle soot photometer, and an aerosol chemical speciation monitor. The effects of  
26 aging on the growth of BC-containing particles were examined by comparing the  
27 ground-based observations between the near-source and remote island sites.  
28 Secondary formation of sulfate aerosol~~s~~ through gas- and cloud-phase reactions  
29 strongly affected the increases in BC coating (i.e., enhancement of cloud condensation  
30 nuclei activity) with air mass aging from the source to the outflow regions. The  
31 effects of ~~the~~ wet removal on ~~the~~ BC microphysics were elucidated by classifying the  
32 continental outflow air masses depending on the enhancement ratios~~s~~ of BC to CO  
33 ( $\Delta\text{BC}/\Delta\text{CO}$ ) ratios, ~~which was used~~ as an indicator of the transport efficiency of BC.  
34 It was found that  $\Delta\text{BC}/\Delta\text{CO}$  ratios were controlled mainly by the rainout process  
35 during transport in the planetary boundary layer (PBL) on the timescale of 1-2 days.  
36 The meteorological conditions and backward trajectory analyses suggested that air  
37 masses strongly affected by rainout originated mainly from ~~a region in Southern~~ China  
38 ~~region~~ (20°-35°N) ~~during this season in the spring of 2015~~. Selective removal of large  
39 and thickly-coated BC-containing particles was ~~detected~~~~found~~ in ~~the~~ air masses ~~that~~  
40 ~~were~~ substantially affected by the rainout in the PBL, as predicted by Köhler theory.  
41 The size and water-solubility of BC-containing particles in the PBL can be altered by  
42 the rainout process as well as the condensation of non-BC materials.

43

## 44 **1. Introduction**

45 Black carbon (BC)-containing particles in atmosphere can significantly affect the  
46 radiative budget of the Earth through two effects; direct (light absorption and  
47 scattering) and indirect (aerosol-cloud interaction~~s~~) effects (Bond et al., 2013;  
48 references therein). The difficulty in the estimation of these effects in the atmosphere

49 results from both the short lifetime relative to other greenhouse gases and the variable  
50 physicochemical properties of BC-containing particles. The BC itself is  
51 water-insoluble immediately after emission, but it subsequently ~~exhibits~~ stakes on  
52 hygroscopicity (McMeeking et al., 2011) and cloud condensation nuclei (CCN)  
53 activity (Kuwata et al., 2007) through atmospheric transport and aging. Only small  
54 amounts of water-soluble materials on BC particles are needed to cause their activation  
55 to form cloud droplets under moderate supersaturation conditions (Kuwata et al., 2007;  
56 2009). It is considered that BC-containing particles are removed from the atmosphere  
57 mainly by ~~the rainout process. This is because other removal processes such as~~  
58 ~~gravitational settling, dry deposition, and washout cannot substantially affect the~~  
59 ~~lifetime of atmospheric BC-containing particles~~ wet deposition (Seinfeld and Pandis,  
60 2006).

61 The horizontal and vertical distributions of aerosols can be substantially altered by  
62 their atmospheric lifetimes (e.g., Lawrence et al., 2007). Moreover, their studies  
63 suggested that the removal processes of BC such as dry deposition, below-cloud (i.e.,  
64 washout), and in-cloud (i.e., rainout) can greatly change the atmospheric lifetimes.  
65 The in-cloud processes include nucleation scavenging and scavenging by the  
66 preexisting cloud droplets. Precipitation followed by in-cloud processes leads to the  
67 irreversible removal of BC-containing particles. Samset et al. (2014), using multiple  
68 global model data sets constrained by aircraft observations, suggested that the  
69 atmospheric lifetime of BC largely affects its distribution, especially in the northern  
70 hemisphere, and this resulting results in significant variations in global direct radiative  
71 forcing values. The removal of BC has been considered as an important issue for the  
72 geochemical carbon cycle as well as for climate sciences. The BC-containing

73 particles deposited onto the ocean surface can affect ocean surface particles, dissolved  
74 organic carbon (DOC), and microbial processes, by absorbing DOC, stimulating  
75 particle aggregation, and changing the size distribution of suspended particles (Mari et  
76 al., 2014).

77 Previous modeling studies have dealt with ~~the~~ BC aging processes (condensational  
78 growth and coagulation) ~~for-in~~ box and regional-scale models, and parameterized  
79 timescales for the conversion of BC-containing particles from water-insoluble to  
80 -soluble ~~for-in~~ global models (Oshima et al., 2009; Liu et al., 2011; Oshima and Koike,  
81 2013). However, Quantitative—quantitative knowledge of the variability of  
82 microphysical parameters of BC-containing particles and the timescale of their aging  
83 processes is still limited, and thus more investigation is-are needed for near-source and  
84 remote regions (Samset et al., 2014). Moteki et al. (2012) reported the first  
85 observational evidence of the size-dependent activation of BC ~~to form~~during the cloud  
86 droplets formation, in air masses uplifting from the planetary boundary layer (PBL) to  
87 the free troposphere (FT) in East Asia in the spring of 2009, ~~during-as~~ the part of the  
88 Aerosol Radiative Forcing in East Asia (A-FORCE) aircraft campaigns (Oshima et al.,  
89 2012). ~~The-A~~ similar altitude dependence of the BC size distribution and similarity in  
90 the BC mixing state were observed in other aircraft measurements conducted in East  
91 Asia in winter (Kondo et al., 2016). Selective removal of larger BC-containing  
92 particles through the cloud process, which is predicted by Köhler theory, was  
93 qualitatively observed in the atmosphere. This observational evidence indicates that  
94 the size distributions and mixing states of BC-containing particles control the global-  
95 and regional-scale spatial distributions of BC through their upward transport from the  
96 PBL to the FT associated with rainout processes. Despite the importance of the size

97 distributions and mixing states of BC-containing particles in the PBL, the ~~continuous~~  
98 measurements of their microphysical properties are still limited around the source  
99 regions in East Asia.

100 Kanaya et al. (2016) have conducted long-term measurements of BC for 6 years  
101 (2009-2015) at Fukue Island, and they synthetically reported the emission and removal  
102 of BC in East Asia using these data sets. It was found in their study that wet removal  
103 through transport in the PBL substantially reduced the transport efficiency of BC  
104 aerosols. Here we examine the effects of aging and wet removal during transport on  
105 the changes in BC size distributions and mixing state, as well as concentrations, based  
106 on ground-based measurements conducted at the same site in the spring of 2015 using  
107 a single particle soot photometer (SP2) and an Aerosol Chemical Speciation Monitor  
108 (ACSM). We first ~~show~~ describe the meteorological characteristics of the East Asian  
109 region in the spring of 2015. Then, we discuss the relative importance of the washout  
110 and rainout processes for the removal of BC as well as the transport patterns of the  
111 East Asian outflow air masses in spring. ~~Then,~~ the loss of BC-containing particles for  
112 that period is investigated using a similar approach to that used by Kanaya et al. (2016),  
113 and this is performed in connection with the associated changes in BC microphysics  
114 and their relevance to the transport pathways.

115

## 116 **2. Experimental and data analysis**

### 117 **2.1. Atmospheric observations**

118 ~~The e~~ Continuous measurements of PM<sub>2.5</sub> and BC aerosols ~~has~~ have been conducted  
119 at a remote island, Fukue Island, since February 2009 (Kanaya et al., 2013; Ikeda et al.,  
120 2014). The observation site is located at the Fukue Island Atmospheric Environment  
121 Monitoring Station (32.75°N, 128.68°E, **Fig. 1**). The site is located in the northwest

122 portion of Fukue Island, approximately 20 km from the main residential area in the  
123 southeast. The fine mode aerosols sampled at the site are mostly transported from  
124 areas beyond the island. The enhanced concentrations of BC aerosols in Fukue Island  
125 ~~are~~ can be mainly attributed to long-range transport from the Asian continent,  
126 according to a previous study (Shiraiwa et al., 2008) and an emission inventory work  
127 (**Fig. 1**, REAS ver. 2.1, Kurokawa et al., 2013).

128 We deployed an SP2 (Droplet Measurement Technologies, Inc., USA) for the  
129 analysis of microphysical parameters of refractory BC (rBC, Petzold et al., 2013) from  
130 March 26, 2015 to April 14, 2015. The SP2 was calibrated before starting the  
131 ambient measurements. The calibration protocol for our SP2 is described in  
132 Miyakawa et al. (2016). Fullerene soot (FS, stock 40971, lot L20W054, Alfa Aesar,  
133 USA) particles were used as a calibration standard for the SP2. A differential  
134 mobility analyzer (Model 3081, TSI Inc., USA) was used for preparing the  
135 monodisperse FS particles. The analysis of the calibration results suggests that the  
136 full width of half maxima (FWHM) was typically 30% of the modal incandescence  
137 signal intensity ( $S_{LII}$ ) for the diameter range studied. Note that the FWHM can be  
138 regarded as an upper limit to describe the resolving power of rBC mass per particle  
139 using our SP2, because the combination of polydisperse size distribution of FS  
140 particles and the transfer function of the DMA can broaden the distributions of  $S_{LII}$  for  
141 the prepared FS particles. The variations in the laser power were within  $\pm 3\%$  during  
142 the observation period, thus indicating that the fluctuations of laser power did not  
143 largely affect the lower limit of the detectable rBC size using the SP2. Mass  
144 equivalent diameter (MED) was derived from the rBC mass per particle ( $m_{pp}$ ) with ~~the~~  
145 an assumed particle density ~~of~~ for BC ( $1800 \text{ kg m}^{-3}$ , Bond and Bergstrom, 2006). A

146 large diameter Nafion dryer (MD-700, Perma Pure, Inc., USA) was placed in front of  
147 the SP2 for drying the sample air without significant loss of the aerosol particles  
148 greater than 50 nm. The dry air for MD-700 was generated by a heatless dryer  
149 (HD-2000, Perma Pure, Inc., USA) and a compressor (2AH-23-M222X, MFG Corp.,  
150 USA). The relative humidity of the sample air was less than 20% during the  
151 observation period. The hourly number/mass size distributions and hourly median  
152 values of shell ( $D_S$ ) to rBC diameter ( $D_{core}$ ) ratios ( $D_S/D_{core}$ ) for the selected  $D_{core}$   
153 ranges were calculated. The retrievals of  $D_S$  from the light scattering signals  
154 measured by an avalanche photodiode and a position sensitive detector (Gao et al.,  
155 2007) were performed using a time-resolved scattering cross section method given by  
156 Laborde et al. (2012). In this study, we quantified the  $D_S/D_{core}$  ratios with a  $D_{core}$   
157 range between 0.15 and 0.35  $\mu\text{m}$ . The maximum value of  $D_S/D_{core}$  ratios analyzed is  
158 4 in this study. Retrieved results suggest that almost all rBC particles were not so  
159 thickly coated (for example,  $D_S/D_{core}$  ratios of  $\sim 2.5$  at highest at  $D_{core}$  of 0.2  $\mu\text{m}$ ). We  
160 also analyzed the microphysical parameters of rBC particles measured using the SP2 in  
161 the early summer of 2014 at Yokosuka (35.32°N, 139.65°E, **Fig. 1**), located near  
162 industrial sources ~~beside~~-along Tokyo Bay (Miyakawa et al., 2016). These data sets  
163 were used as a reference for the BC-containing particles in air masses strongly affected  
164 by combustion sources.

165 Equivalent BC (EBC, Petzold et al., 2013) mass concentrations are continuously  
166 measured at Fukue Island using two instruments; a continuous soot-monitoring system  
167 (COSMOS; model 3130, Kanomax, Japan), and a multi-angle absorption photometer  
168 (MAAP; MAAP5012, Thermo Scientific, Inc., USA). The details of the air sampling  
169 and intercomparisons for EBC measurements at Fukue Island have been described

170 elsewhere (Kanaya et al., 2013; 2016). In this study, mass concentrations of EBC  
171 measured using the COSMOS were evaluated by comparison with those of  
172 SP2-derived rBC. The intercomparison between SP2 and COSMOS will be briefly  
173 discussed ~~in the following below~~.

174 **Figure 2** depicts the correlation between COSMOS-EBC and SP2-rBC hourly mass  
175 concentrations. The unmeasured fraction of the rBC mass was corrected by  
176 extrapolation of the lognormal fit for the measured mass size distributions, to the  
177 outsides of the ~~lower and upper boundaries~~ measurable  $D_{core}$  range (0.08 ~~and~~ 0.5  $\mu\text{m}$ ,  
178 ~~respectively~~). Note that the uncertainty with respect to the unmeasured fraction of  
179 rBC mass was minor (<5%) in this study. The linear regression slope of the  
180 correlation between EBC and rBC was 0.88 ( $\pm 0.03$ ). Uncertainty with respect to the  
181 calibration was examined in an industrial region and found to be within around 3%  
182 (Miyakawa et al., 2016). The average discrepancy between EBC and rBC was  
183 beyond the uncertainty of the calibration and was comparable to the uncertainty of  
184 COSMOS (10%) as evaluated by Kondo et al. (2009). While the validity of the  
185 calibration standard, FS particles, has been evaluated only near source regions (Moteki  
186 and Kondo, 2011; Miyakawa et al., 2016), the discrepancy can be partly attributed to  
187 the differences in physicochemical properties between ambient BC in remote air and  
188 FS particles. Onsite calibration of the SP2 using ambient BC particles prepared by a  
189 thermal denuder and particle mass classifier, such as an aerosol particle mass analyzer  
190 (APM), is desirable for better quantification of the rBC mass based on the  
191 laser-induced incandescence technique in remote areas. Although we need to make  
192 further attempts to evaluate SP2 in remote areas, this study ~~suggested~~ indicated that  
193 SP2-rBC mass concentrations agreed well with COSMOS-EBC within the uncertainty

194 of COSMOS. Therefore we simply use “BC”, instead of the EBC and rBC defined  
195 depending upon the measurement techniques. We analyzed the COSMOS data for  
196 the BC mass concentrations, and the SP2 data for the BC microphysics.

197 The chemical composition of non-refractory submicron aerosols was measured  
198 using an Aerodyne Aerosol Chemical Speciation Monitor (ACSM, Aerodyne, Inc.,  
199 USA.) placed in an observatory container at Fukue Island during the observation  
200 period. The details of the ACSM at Fukue Island have been described in Irei et al.  
201 (2014). The collection efficiency (CE) of the ACSM was assumed to be 0.5 for this  
202 period ([Yoshino et al., 2016](#)). We considered sulfate ( $\text{SO}_4^{2-}$ ) ions as a major non-BC  
203 material and one of the most important secondary aerosols in East Asia (Takami et al.,  
204 2007) for the data interpretation. The fact that  $\text{SO}_4^{2-}$  is produced in the cloud phase as  
205 well as in the gas phase is beneficial for interpreting temporal changes in  $\text{SO}_4^{2-}$   
206 concentration associated with the wet removal processes. We also analyzed other  
207 non-refractory components such as nitrate ( $\text{NO}_3^-$ ), ammonium ( $\text{NH}_4^+$ ), and organic  
208 matter (OM). During the period April 1 -7, 2015, the critical orifice of the inlet  
209 assembly of the ACSM ~~was~~ became clogged. ACSM-derived  $\text{SO}_4^{2-}$ ,  $\text{NO}_3^-$ ,  $\text{NH}_4^+$ , and  
210 OM (ACSM-  $\text{SO}_4^{2-}$ ,  $-\text{NO}_3^-$ ,  $-\text{NH}_4^+$ , and  $-\text{OM}$ ) for this period was not used in the  
211 analysis.

212 Two high volume air samplers (HV500F, Sibata Scientific Technology, Ltd., Japan)  
213 were deployed on the rooftop of the observatory container. The sampling flow rate  
214 for both samplers was 500 liters per minute (lpm). Air sampling was carried out for  
215 21 h (from 10:00 AM to 7:00 AM) on a 110-mm pre-combusted (900°C for 3 h) quartz  
216 filter (QR-100, Advantec Toyo Kaisha Ltd., Japan). Both have a  $\text{PM}_{2.5}$  impactor for  
217 classifying the particle size. One impaction plate was coated with vacuum grease

218 (HIVAC-G, Shin-Etsu Chemical Co., Ltd., Japan) to minimize the impact of coarse  
219 mode particles on the chemical analysis of fine mode particles such as radiocarbon  
220 analysis, and a pre-combusted quartz fiber filter with slits was set on another impaction  
221 plate to collect the coarse particles. Water soluble ions were analyzed using ion  
222 chromatography (IC, Dionex ICS1000, Thermo Fisher Scientific K.K., Japan). The  
223 results from the chemical analysis of filter samples are not ~~included~~ discussed in this  
224 study in detail. We only used the mass concentration of  $\text{SO}_4^{2-}$  (IC- $\text{SO}_4^{2-}$ ) in this study  
225 to evaluate the uncertainty in relation to CE of the ACSM, and to analyze the temporal  
226 variations during the period when the ACSM- $\text{SO}_4^{2-}$  data were not available (April 1-7,  
227 2015).

228 The carbon monoxide (CO) mixing ratio was also continuously measured using a  
229 nondispersive infrared (NDIR) CO monitor (model 48C, Thermo Scientific, Inc., USA).  
230 Details of the CO measurements including the long-term variations in sensitivity and  
231 zero level are discussed elsewhere (Kanaya et al., 2016).

232

## 233 **2.2. Enhancement ratio of BC and $\text{SO}_4^{2-}$ to CO as an indicator of the BC** 234 **removal transport and transformation of aerosol particles**

235 In order to quantify the extent of the removal of BC, we calculated the hourly  
236 enhancement ratio of BC mass concentrations to CO mixing ratios ( $\Delta\text{BC}/\Delta\text{CO}$ ) against  
237 the East Asian background air concentrations as follows:

238

$$239 \quad \frac{\Delta\text{BC}}{\Delta\text{CO}} = \frac{[\text{BC}] - [\text{BC}]_{bg}}{[\text{CO}] - [\text{CO}]_{bg}}, \quad (1)$$

240

241 where [BC] and [CO] are measured hourly concentrations of the BC and CO  
242 respectively, and [BC]<sub>bg</sub> and [CO]<sub>bg</sub> are their estimated background concentrations.  
243 Here we assumed that [BC]<sub>bg</sub> is zero (Oshima et al., 2012). The background  
244 concentration of CO during the analysis period (March 11 – April 14, 2015) was  
245 calculated by averaging the concentrations lower than the 5th percentile (120 ppb).

246 The validity of this value is discussed in the supporting information (S.I.).

247 Relative changes in SO<sub>4</sub><sup>2-</sup> to CO were also analyzed using the linear regression  
248 slopes of their correlation in this study. We did not calculate their hourly values,  
249 because it was difficult to determine the background concentration of SO<sub>4</sub><sup>2-</sup>. The use  
250 of CO as a tracer of sulfur compounds in East Asia was validated by Koike et al.  
251 (2003). Although sulfur dioxide (SO<sub>2</sub>), which is a major precursor of anthropogenic  
252 SO<sub>4</sub><sup>2-</sup>, does not always share the emission sources with CO, the special distributions of  
253 SO<sub>2</sub> emissions is similar to those of CO emissions in East Asia (Koike et al., 2003;  
254 Kurokawa et al., 2013). Analyzing the increase or decrease in the slopes of the  
255 SO<sub>4</sub><sup>2-</sup>-CO correlation is beneficial to the investigation of the formation and removal  
256 processes for SO<sub>4</sub><sup>2-</sup>. Especially, the aqueous-phase reaction of SO<sub>4</sub><sup>2-</sup> in clouds is  
257 discussed using this parameter.

### 258 259 **2.3. Meteorological field analysis**

260 We used the 6-hourly meteorological data, with a resolution of 1° in terms of the  
261 latitude and longitude, from the National Centers for Environmental Prediction  
262 (NCEP) Final (FNL) operational global analysis; and daily precipitation data, with a  
263 resolution of 1° in terms of the latitude and longitude, from the Global Precipitation  
264 Climatology Project (GPCP) data set (Huffman et al., 2001). We analyzed these data

265 sets to investigate the general features of the meteorological field in East Asia during  
266 the observation period.

267

## 268 **2.4. Backward trajectory analysis**

269 We calculated backward trajectories from the observation site to elucidate the impact  
270 of the Asian outflow. ~~3~~Three-day backward trajectories from the observation site (the  
271 starting altitude was 0.5 km) were calculated every hour using the [National Oceanic  
272 and Atmospheric Administration \(NOAA\)](#) Hybrid Single-Particle Lagrangian  
273 Integrated Trajectory model (Draxler and Rolph, 2012; Rolph, 2012) with the  
274 meteorological data sets (NCEP's [Global Data Assimilation system, GDAS](#)). In this  
275 study, the residence time over specific source regions was used as an indicator of their  
276 impacts on the observed air masses. We defined five domains for assessing the  
277 impact over the Asian continent; Northeast China (NE), Korea (KR), Central North  
278 China (CN), Central South China (CS), and Japan (JP) (**Fig. 1**). The period when air  
279 masses passed over the domains NE, KR, CN, and CS at least for one hour is defined  
280 as that of “continental outflow”. The impacts of precipitation on the observed air  
281 masses were assessed by a parameter [referred to as the](#) “Accumulated Precipitation  
282 along Trajectory” (APT, Oshima et al., 2012). In this study, we calculated the APT  
283 values by integrating the amount of hourly precipitation in the Lagrangian sense along  
284 each 3-day back trajectory of the sampled air masses. The hourly variations of APT  
285 were merged into the observed gas and aerosol data sets.

286

## 287 **3. Results and discussion**

### 288 **3.1. The meteorological field in the spring of 2015**

289 The mean meteorological field during the observation period (March 11–April 14,

290 2015) is discussed for the purpose of characterizing the general features of the wind  
291 flow and precipitation in this region. The migrating anticyclone and cyclone were  
292 observed during this period, which is typically dominant in spring over East Asia (Asai  
293 et al., 1988). We here only briefly describe the meteorological fields (wind flow and  
294 precipitation) in the following. **Figure 3a** shows the mean sea level pressure (SLP)  
295 and mean horizontal winds at the 850 hPa level in East Asia during the observation  
296 period. The mean equivalent potential temperature ( $\theta_e$ ) and the meridional moisture  
297 transport at the 850 hPa level during the same period are also shown in **Figure 3b**.  
298 The mid-latitude region (35-50°N, 120-140°E) was under the influence of a modest  
299 monsoonal northwesterly flow, which advected cold, dry air from the continent to the  
300 observation area. The subtropical region (20°-30°N, 110°-130°E) was under the  
301 influence of a persistent southwesterly flow, part of which was converging into the  
302 observation area (30°-35°N), and this flow was being confluent with the northwesterlies  
303 from the continent. The low-level southerly flow advected warm, moist air into the  
304 observation area to sustain a large amount of precipitation (**Fig. 4a**).

305 **Figure 3c** shows the temporal variations in surface pressure and precipitable water  
306 at the observation site. The surface pressure is well anti-correlated with the  
307 precipitable water. During the observation period, migratory cyclones and  
308 anticyclones occurred occasionally (3 times each). The occurrence of migratory  
309 cyclones advected moist air, which could have contributed to the wet removal of BC  
310 during transport in the PBL. In contrast, the occurrence of anticyclones advected dry  
311 air, which could have contributed to the efficient transport of BC from the source  
312 regions.

313 **Figure 4a** depicts the mean precipitation over East Asia during the observation

314 period. Mean precipitation showed a latitudinal gradient over eastern China and the  
315 Yellow Sea and East China Sea region (i.e., increasing precipitation from south to  
316 north), and these results suggesting that transport pathways can greatly affect the wet  
317 removal of aerosols. The APT was compared with the averaged latitude of each  
318 trajectory for 48 h backwardly from the time of -24 h ( $L_{at_{ORIG}}$ ) (**Fig. 4b**), which can be  
319 interpreted as an indicator of the latitudinal origin of the air masses arriving at Fukue  
320 Island. The high APT values corresponded to the air masses that originated from the  
321 southern regions (20°-40°N). The data points are colored according to the maximum  
322 RH values along each backward trajectory ( $RH_{max}$ ). The lower relative humidity  
323 ( $RH_{max}$ ) were observed in the air masses with low APT values that originated from  
324 northern regions (30°-50°N). These air mass characteristics were consistent with the  
325 mean precipitation field (**Fig. 4a**). Some of the data points showed high values of  
326  $RH_{max}$  (~100%) when their APT was almost zero. These data ~~would probably~~  
327 correspond to the air masses that experienced cloud processes not associated with  
328 precipitation. Possible effects of cloud processes without precipitation on the  
329 removal of aerosol particles during transport will be discussed using these data points  
330 in the following section.

331

### 332 3.2. Removal processes of fine aerosol particles

333 ~~3.2.~~ In this study, the removal processes including dry deposition and washout  
334 were considered to be minor. The dry deposition in this region has already been  
335 evaluated by Kanaya et al. (2016). The washout is dependent on the precipitation  
336 intensity and rain drop size as well as the particle size range. We quantitatively  
337 investigated the relative importance of rainout to washout in this study. The removal

338 rates of submicron accumulation mode particles through the washout ( $\Lambda_{\text{accum}}$ ) was  
339 estimated to be  $\sim 1 \times 10^{-3} \text{ h}^{-1}$  ( $0.5\text{-}2 \times 10^{-3} \text{ h}^{-1}$ ) using a parametrization given by Wang  
340 et al. (2014) and the average precipitation intensity along the trajectories ( $0.78 \pm 0.6$   
341 mm  $\text{h}^{-1}$ ) as an input to the parameterization. The possible uncertainties in this  
342 estimation are derived from the discrepancies in  $\Lambda_{\text{accum}}$  the removal rates between the  
343 parameterization and some experimental results (Wang et al., 2014). The values of  
344  $\Lambda_{\text{accum}}$  can be underestimated by an order of magnitude by using the parameterization,  
345 which is however overly pessimistic. The temporal duration in rain along trajectories  
346 for air masses with the APT greater than 0 mm was 10 ( $\pm 8$ ) hours on average. These  
347 values can be used for the estimation of the removed fraction of submicron aerosols  
348 through the washout process. The average fraction of submicron aerosols removed  
349 was 1% ( $+2.59\%/-0.9\%$ ). Even though we took into account the uncertainties for  
350 estimating  $\Lambda_{\text{accum}}$ , it was found that the washout process did not play a major role in the  
351 removal of BC in East Asian outflow.

### 352

### 353 **3.3. Temporal variations in BC, SO<sub>4</sub><sup>2-</sup>, aerosols and CO**

354 Temporal variations in the concentrations of BC (measured using COSMOS and  
355 SP2),  $\text{SO}_4^{2-}$  (measured using ACSM and IC),  $\text{NO}_3^-$ , OM, and CO are shown in **Figure**  
356 **5**. ACSM- $\text{SO}_4^{2-}$  generally agreed well with IC- $\text{SO}_4$ , thus indicating that the assumed  
357 CE (0.5) was valid for the observation period. As  $\text{NO}_3^-$  and  $\text{SO}_4^{2-}$  were almost fully  
358 neutralized by  $\text{NH}_4^+$ , we assumed their chemical forms were ammonium salts. In  
359 general,  $\text{BC}$ , ~~and~~  $\text{SO}_4^{2-}$ , and OM were positively correlated with CO at Fukue Island,  
360 and these results illustrateshowing the impact of continental outflow affected by  
361 incomplete combustion sources for-on aerosol mass concentrations. The mean

362 chemical composition of fine aerosols during the observation period was listed in  
363 Table 1. Ammonium sulfate and OM were abundant components. **Figure 5** also  
364 includes the temporal variations in the fractional residence time over the selected  
365 region defined in section 2.4 (top panel). The CO concentrations were typically  
366 enhanced for the period with the higher contributions of CN and CS. ~~The positive~~  
367 ~~correlation of  $\text{SO}_4^{2-}$  and CO suggests that the secondary formation of  $\text{SO}_4^{2-}$  through~~  
368 ~~transport was significant during the observation period, and that  $\text{SO}_4^{2-}$  contributed to~~  
369 ~~the coating of BC-containing particles.~~ A previous study suggested that the majority  
370 of  $\text{SO}_4^{2-}$  aerosols were formed in less than around 1.5 days after the air masses left the  
371 Chinese continent (Sahu et al., 2009). Kanaya et al. (2016) showed that the typical  
372 transport time of continental outflow air masses at Fukue Island was around 1-2 days  
373 in spring. The positive correlation of  $\text{SO}_4^{2-}$  and CO suggests that the secondary  
374 formation of  $\text{SO}_4^{2-}$  through transport was significant during the observation period, and  
375 that  $\text{SO}_4^{2-}$  contributed to the coating of BC-containing particles. The structure and  
376 composition of fine aerosols in East Asian outflow were analyzed by using a secondary  
377 ion mass spectrometer in a previous study (Takami et al., 2013). They suggest that  
378  $\text{SO}_4^{2-}$  and OM are constituents in the coating of almost all BC-containing particles.  
379 Hence we concluded that ammonium sulfate and OM contributed to the growth of  
380 BC-containing particles. ~~The small variability of  $\text{SO}_4^{2-}/\text{CO}$  ratios is consistent with~~  
381 ~~these facts.~~ The period with the APT > 3 mm is highlighted by light blue in **Figure 5**  
382 to show the impact of wet removal on the transport of BC and  $\text{SO}_4^{2-}$  aerosols. The  
383 maximum concentrations of BC,  $\text{SO}_4^{2-}$  aerosols and CO were observed on the morning  
384 of March 22 (Ep.1) under the influence of the anticyclone (corresponding to the  
385 trajectories colored red in **Fig. 4a**) when the APT values were almost zero. In

386 contrast, ~~BC and SO<sub>4</sub><sup>2-</sup>aerosol~~ concentrations did not increase with CO in the period  
387 from the evening of April 5 to the morning of April 6 (Ep.2) under the influence of the  
388 migratory cyclone (corresponding to the trajectories colored black in **Fig. 4a**), when  
389 the APT was greater than 10 mm.

390

### 391 **3.4. Correlation of BC, SO<sub>4</sub><sup>2-</sup>, and CO** ~~as an indicator of the removal of aerosols~~

392 **Figures 6a and 6b** show scatter plots of CO with BC and SO<sub>4</sub><sup>2-</sup>, respectively.  
393 Positive correlation of BC and SO<sub>4</sub><sup>2-</sup> with CO was clearly found in air masses with low  
394 APT values. ~~The linear regression was performed to the data points with the APT~~  
395 ~~higher than 15 mm for BC-CO and SO<sub>4</sub><sup>2-</sup>-CO. Note that the linear regression slope~~  
396 ~~for BC-CO was determined by forcing through the background concentrations of BC~~  
397 ~~(0 μg m<sup>-3</sup>) and CO (120 ppb). The slopes of the fitted lines were 1.4 and 9.8 ng m<sup>-3</sup>~~  
398 ~~ppb<sup>-1</sup> for BC-CO and SO<sub>4</sub><sup>2-</sup>-CO, respectively, were close to the lower envelopes of the~~  
399 ~~correlations.~~ It is evident from these scatter plots that the ~~correlations~~ relative  
400 enhancements of BC/~~CO~~ and SO<sub>4</sub><sup>2-</sup>/to CO were mainly affected by the APT. ~~The~~  
401 ~~cloud processes of aerosol particles not associated with precipitation can also reduce~~  
402 ~~the slope of their correlation. However, no decreasing tendency of BC/CO and~~  
403 ~~SO<sub>4</sub><sup>2-</sup>/CO against RH<sub>max</sub> when APT was zero was found during the observation period~~  
404 ~~(data not shown).~~ Kanaya et al. (2016) found that the estimated emission ratios of BC to  
405 CO over the East Asian continent ranged from 5.3 (±2.1) to 6.9 (±1.2) ng m<sup>-3</sup> ppb<sup>-1</sup>,  
406 slightly depending on the origin of the air masses (this range is overlaid on **Fig. 6a**).  
407 ΔBC/ΔCO observed in the PBL over the Yellow Sea during the same season was 6.2 ng  
408 m<sup>-3</sup> ppb<sup>-1</sup> (Kondo et al., 2016). The data points with ΔBC/ΔCO in these ranges show  
409 low APT values (less than or ~1 mm). Wet removal (rainout) was one of the most

410 important controlling factors on the transport efficiency of BC in this region during the  
411 observation period. The use of the  $\Delta BC/\Delta CO$  ratios is feasible for examining the wet  
412 removal of BC during the observation period.

413 The cloud processes of aerosol particles not associated with precipitation can also  
414 reduce the slope of their correlation. However, no decreasing tendency of BC/CO  
415 and  $SO_4^{2-}/CO$  slopes against  $RH_{max}$  when APT was zero was found during the  
416 observation period (data not shown). The  $SO_4^{2-}/CO$  slopes with the APT values of  
417 zero were analyzed as a function  $RH_{max}$  (Figure 6b), and these varied from 30.7 to  
418 44.1  $ng\ m^{-3}\ ppb^{-1}$  under the conditions without ( $RH_{max} < 50\%$ ) and with ( $RH_{max} > 80\%$ )  
419 cloud impacts, respectively. The  $SO_4^{2-}/CO$  slope ~~slightly~~ increased with  $RH_{max}$   
420 increasing when the APT was zero, as indicated in the subset of Figure 6b, thus  
421 suggesting that aqueous phase formation and subsequent droplet evaporation partly  
422 contributed to the mass concentrations of  $SO_4^{2-}$  observed at Fukue Island. Therefore,  
423 the changes in the  $SO_4^{2-}/CO$  correlation were controlled largely by the rainout process  
424 and weakly by aqueous-phase formation during transport.

425

### 426 **3.5. Changes in fine aerosol compositions**

427 Chemical compositions of fine aerosols were investigated in terms of the APT and  
428  $RH_{max}$ . Four cases are selected here, namely (1) APT of zero (no precipitation), (2)  
429 APT of zero with  $RH_{max} < 50\%$  (no precipitation without cloud impacts), (3) APT of  
430 zero with  $RH_{max} > 80\%$  (no precipitation with cloud impacts), and (4) APT  $> 15\ mm$   
431 (heavily affected by wet removal). The results are summarized in Table 1.  
432 Ammonium sulfate and OM were dominant in all cases. The relative changes in  
433 chemical compositions of fine aerosol particles were within around 10%. The

434 relative contributions of ammonium sulfate in the cases (3) and (4) increased from the  
435 average, indicating that cloud processes affected the relative abundance of ammonium  
436 sulfate. The contributions of OM in the case (2) increased from the average. The  
437 formation of secondary OM can be significant under dry conditions during transport.  
438 Detailed mass spectral analyses of OM and cloud-phase formation of OM in East Asia  
439 are beyond the scope of this study, and they are not discussed in this study. The  
440 former issue has been investigated by previous studies (e.g., Irei et al., 2014; Yoshino  
441 et al., 2016).

### 443 **3.6. Changes in microphysical parameters of BC-containing particles associated** 444 **with wet removal**

445 Number and mass size distributions of BC classified by the values of  $\Delta\text{BC}/\Delta\text{CO}$  are  
446 shown in **Figures 7a** and **7b**, respectively. When  $\Delta\text{BC}/\Delta\text{CO}$  values in continental  
447 outflow air masses were greater than  $3 \text{ ng m}^{-3} \text{ ppb}^{-1}$  (within the range of the BC/CO  
448 emission ratios given by Kanaya et al. 2016), these air masses are defined as “outflow  
449 without BC loss”. These air masses originated mainly from CN via KR and NE.  
450 When  $\Delta\text{BC}/\Delta\text{CO}$  values of continental outflow air masses are less than  $1 \text{ ng m}^{-3} \text{ ppb}^{-1}$ ,  
451 the air masses were defined as “outflow with BC loss”. Considering the typical  
452 emission ratios of BC to CO ( $6\text{-}7 \text{ ng m}^{-3} \text{ ppb}^{-1}$ ; Kanaya et al., 2016), transport  
453 efficiency for the “outflow with BC loss” air masses can be estimated to be less than  
454  $\sim 17\%$ . These air masses originated mainly in CS. The low and high APT values for  
455 “outflow without BC loss” and “outflow with BC loss” air masses, respectively, gave  
456 us confidence in the validity of our classification as discussed in the previous section.  
457 As a reference for emission sources (“source”), the average size distributions of BC in  
458 a Japanese industrial area (see section 2.1, Miyakawa et al., 2016) are shown in **Figure**

459 7. The statistics of the size distributions are summarized in **Table 12**. Observed  
460 differences in the size distributions between source and outflow were generally  
461 consistent with previous studies (Schwarz et al., 2010). Air mass aging leads to the  
462 growth of BC-containing particles. Number-size distributions of BC largely varied in  
463 the size range less than 0.1  $\mu\text{m}$  (**Fig. 7a**). In outflow air masses, such small  
464 BC-containing particles were scavenged by larger particles in the coagulation process  
465 during transport. The washout process can also affect the BC-containing particles in  
466 the smaller size range (<0.1  $\mu\text{m}$ ). The peak diameter of mass (number) size  
467 distributions of BC became larger, from 0.16 (0.06)  $\mu\text{m}$  to 0.18-0.2 (0.09-0.1)  $\mu\text{m}$ ,  
468 between source and outflow. The BC-containing particles have systematically  
469 different size distributions in outflow air masses with and without BC loss, indicating  
470 that the BC loss process also affected the size distributions. The peak diameter of BC  
471 number and mass size distributions in outflow air masses with BC loss was slightly  
472 lower than that for air masses without BC loss. The changes in the peak diameter as a  
473 function of  $\Delta\text{BC}/\Delta\text{CO}$  ratios are shown in Figure 7c. The observed changes in the  
474 diameter or mass per particle were clear and were beyond the uncertainties (see section  
475 2.1).

476 **Figure 8** depicts the probability density of the  $D_s/D_{\text{core}}$  ratio for the BC size of 0.2  
477 ( $\pm 0.02$ )  $\mu\text{m}$  for source and outflow air masses. The modal values of the  $D_s/D_{\text{core}}$  ratio  
478 were systematically changed with air mass aging and BC loss (wet removal). The  
479 condensation of inorganic and organic vapors on BC-containing particles during  
480 transport can account for the increase in the  $D_s/D_{\text{core}}$  ratio, as discussed in previous  
481 studies (e.g., Shiraiwa et al., 2008; Subramanian et al. 2010). As discussed earlier,  
482 the results of this study suggested that  $\text{SO}_4^{2-}$  and OM substantially contributed to the

483 increase in the  $D_S/D_{core}$  ratio. In outflow air masses with BC loss, modal values of the  
484  $D_S/D_{core}$  ratio were clearly lower than those in outflow without BC loss. Furthermore,  
485 It-it is indicated that the wet removal process also affected the coating thickness  
486 distributions for the BC sizes in the range 0.15-0.35  $\mu\text{m}$  (**Table 12**). It should be  
487 noted that the coating of BC-containing particles is not always thick in remote regions,  
488 and that the  $D_S/D_{core}$  ratio distributions, as well as size distributions, can be affected by  
489 the wet removal process during transport in the PBL.

490

### 491 **3.7. Discussion**

492 Not only in-cloud scavenging of BC-containing particles but also subsequent  
493 precipitation (i.e., the rainout process) can account for the changes in the  
494 microphysical parameters of BC detected in this study. Our results show a decrease  
495 of both the peak diameter of the BC mass size distribution, and the modal value of the  
496  $D_S/D_{core}$  ratios in relation to the rainout. The observed evidence implies that there can  
497 be the selective removal of large and water-soluble BC-containing particles during  
498 transport in the PBL. The Köhler theory suggests that a lower super saturation is  
499 needed for the large and highly water-soluble particles, and this can qualitatively  
500 accounts for the observed changes in the BC microphysics.

501 Note that the magnitude of the change in the BC size distributions in the PBL  
502 (0.01~0.02  $\mu\text{m}$  (~1-2-2.5 fg)) shown in Figure 7~~this study~~ is smaller than that  
503 observed in air masses uplifted from the PBL to the FT, in association with wet  
504 removal (~0.04  $\mu\text{m}$  (~3 fg), Fig 2 of Moteki et al., 2012) at a similar level of transport  
505 efficiency (<~20%). Although the shape of mass size distributions soon after the  
506 rainout processes can be distorted by the droplet activation of larger aerosol particles,

507 the observed mass size distributions were well fitted by a log-normal function (Fig. 7b).  
508 Figure 8 showed the existence of BC-containing particles with the  $D_S/D_{core}$  ratios  
509 higher than 1.2 even in outflow air masses with BC loss that are expected to readily act  
510 as CCN. Air masses sampled at the ground level would be affected by turbulent  
511 mixing of those near the clouds around the top of the PBL and those in cloud-free  
512 conditions at below-cloud levels. On the other hand, most air masses sampled by  
513 aircraft measurements in the FT would experience the cloud processes during upward  
514 transport from the PBL. Mixing of air masses in the PBL suggests that they partially  
515 experience the in-cloud scavenging processes. The aging (e.g., coagulation) of  
516 aerosols particles through the transport (i.e., around ~1 day) after the wet removal  
517 events can also lead to the further modification of the particle size and mixing state  
518 distributions which have been affected by cloud processes. ~~and therefore the~~ The  
519 suppression of changes in the microphysical properties of BC-containing particles  
520 during transport in the PBL can be related to these factors. More quantitative  
521 assessments of the impacts of these factors on the observed features should be  
522 performed using a model which has a function to resolve the mixing state of aerosol  
523 particles (e.g., Matsui et al., 2013).

524 The transport pathways of the continental outflow air masses are horizontally and  
525 vertically variable in spring in East Asia because of the frequent alternate  
526 cyclone/anticyclone activities in spring (Asai et al., 1988). Oshima et al. (2013)  
527 examined the three-dimensional transport pathways of BC over East Asia in spring and  
528 showed that the PBL outflow through which BC originating from China was advected  
529 by the low-level westerlies without uplifting out of the PBL was one of the major  
530 pathways for BC export from continental East Asia to the Pacific, thus supporting the

531 general features of microphysical properties of BC in continental outflow obtained by  
532 this study. Mori et al. (2014) measured the seasonal variations in BC wet deposition  
533 fluxes at another remote island in Japan (Okinawa, ~500 km south of Fukue Island),  
534 and revealed their maxima in spring, which were consistent with the seasonal  
535 variations in the cyclone frequencies. It has been suggested that BC-containing  
536 particles were efficiently activated to form cloud droplets in the continental outflow air  
537 masses, especially from the CS region, and can affect the cloud physicochemical  
538 properties in spring in East Asia, as indicated by Koike et al. (2012). As the results  
539 from this study are based on the observations during a limited length of time, it would  
540 be worthwhile to further investigate the possible connections of the variabilities in BC  
541 microphysical properties with meteorological conditions to provide useful constraints  
542 on more accurate evaluations climatic impacts of BC-containing particles in this region  
543 (Matsui, 2016).~~—To further understand the possible connections of the variabilities in~~  
544 ~~BC microphysical properties and meteorological conditions in this region can provide~~  
545 ~~useful constraints on the better prediction of climatic impacts of BC-containing~~  
546 ~~particles (Matsui, 2016).~~

547

#### 548 **4. Conclusions**

549 Ground-based measurements of BC were performed near an industrial source region  
550 and at a remote island in Japan. We have reported the temporal variations in the  
551 transport and the microphysics of the BC-containing particles, measured using  
552 COSMOS, SP2, and ACSM. The impacts of air mass aging upon the growth of  
553 BC-containing particles were examined by comparing the ground-based observations  
554 between the near-source and remote island sites.  $\Delta BC/\Delta CO$  was used as an indicator

555 of the transport efficiency of BC, because it was controlled mainly by rainout during  
556 transport in the PBL. The BC size and coating increased during transport from the  
557 near-source to the outflow regions on the timescale of 1-2 days when the rainout  
558 during transport was negligible.  $\text{SO}_4^{2-}$  aerosol was secondarily formed both in the  
559 gas- and cloud-phase during transport, and it contributed to the significant increase in  
560 the coating materials of BC (i.e., it enhanced the whole size and water-solubility of  
561 BC-containing particles). Decreases in the peak diameter of mass size distributions  
562 ( $\sim 0.01 \mu\text{m}$ ) and modal  $D_S/D_{\text{core}}$  ratios ( $\sim 0.4$  for BC of  $0.2 \mu\text{m}$ ) of BC-containing  
563 particles were observed in air masses substantially affected by rainout. The observed  
564 evidences, ~~—~~ for the selective removal of large and water-soluble BC-containing  
565 particles, was qualitatively consistent with the Köhler theory; however ~~they~~ the values  
566 ~~are~~ were not as large as those found in air masses uplifted from the PBL to the FT in  
567 East Asia associated with precipitation. The mixing of below-cloud and in-cloud air  
568 masses in the PBL would result in suppression of the degree of changes in BC  
569 microphysical parameters by cloud processes. This study indicates (1) that the  
570 changes (sign and degree) in BC microphysics can be affected by how the air masses  
571 are transported and (2) that the observed selective removal of large and water-soluble  
572 BC-containing particles in East Asia ~~are~~ can be expected to be significant in the PBL as  
573 well as in the FT in East Asia.

574

575

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586

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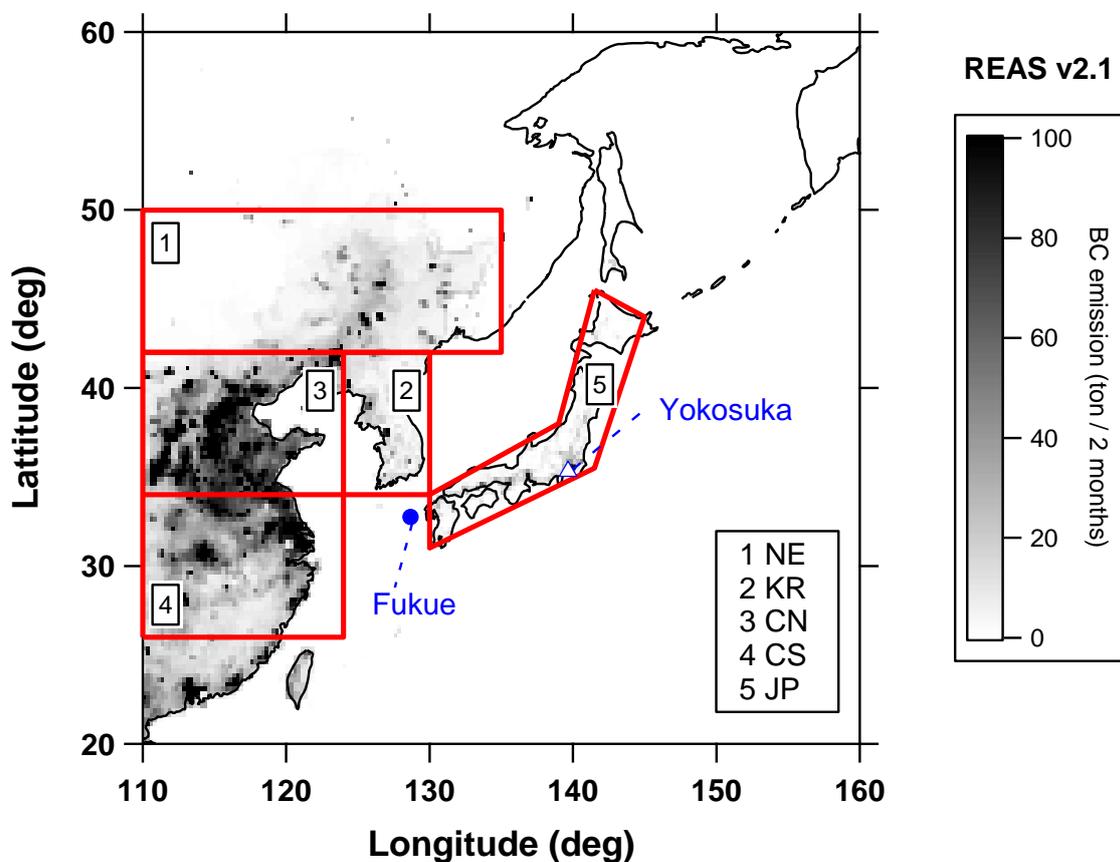
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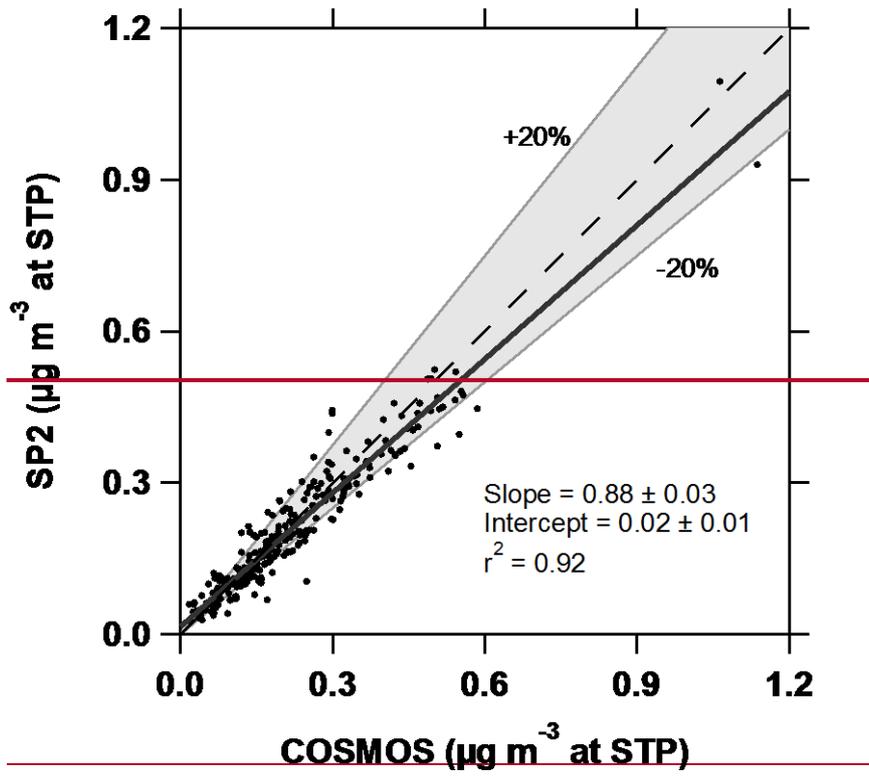


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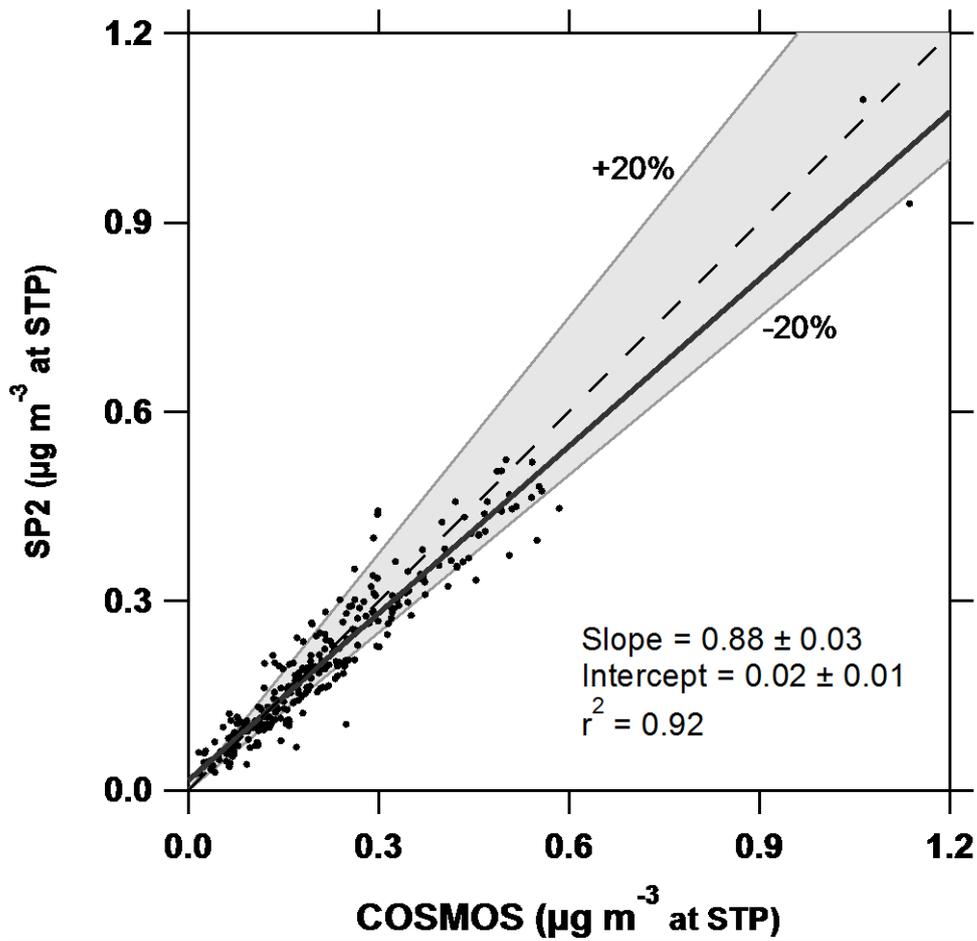
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746 **Figure 1.** Map of the investigated region with two observation sites (Yokosuka, open  
747 triangle; Fukue Island, closed circle) and five defined areas (1 Northeast China; 2  
748 Korea; 3 Central North China; 4 Central South China; 5 Japan). The bimonthly mean  
749 BC emission rate (March-April) in 2008 is overlaid on the map (REAS ver. 2.1,  
750 Kurokawa et al., 2013).

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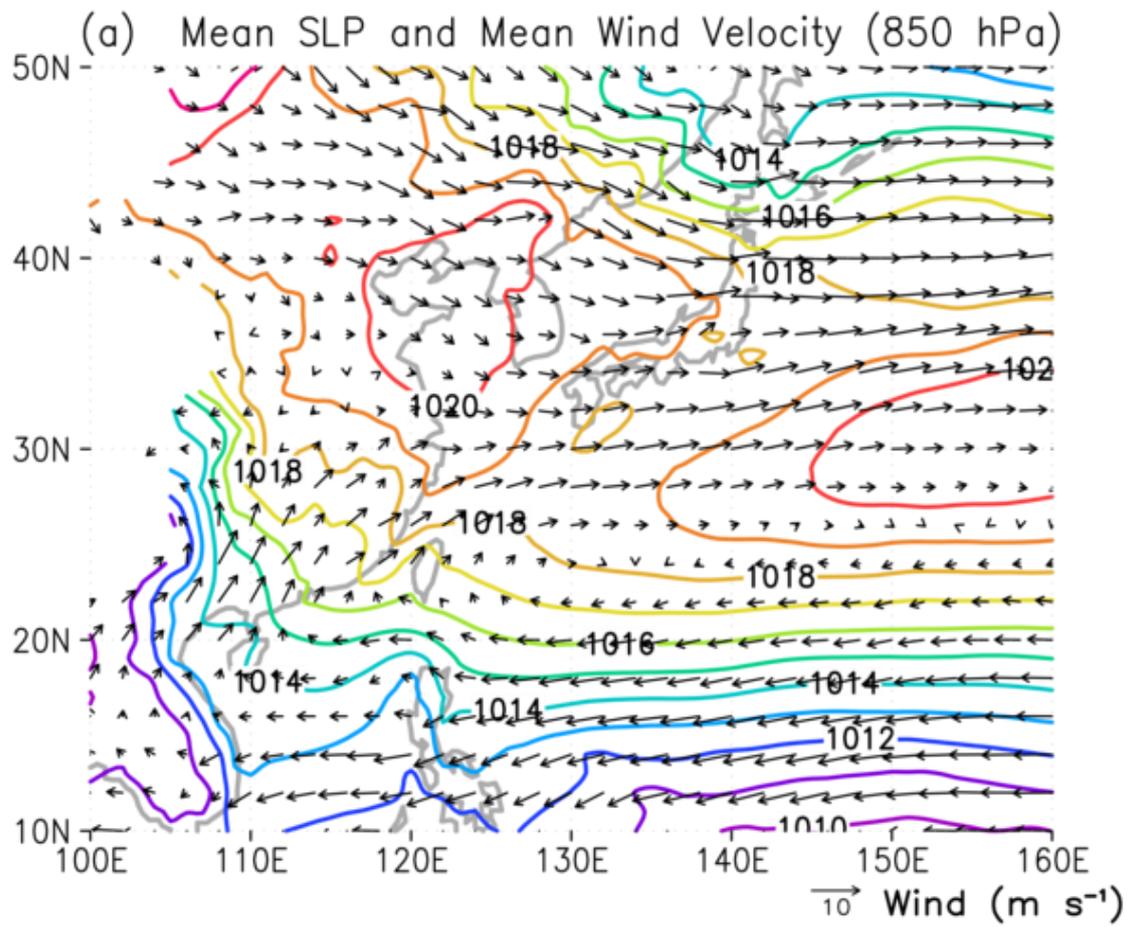


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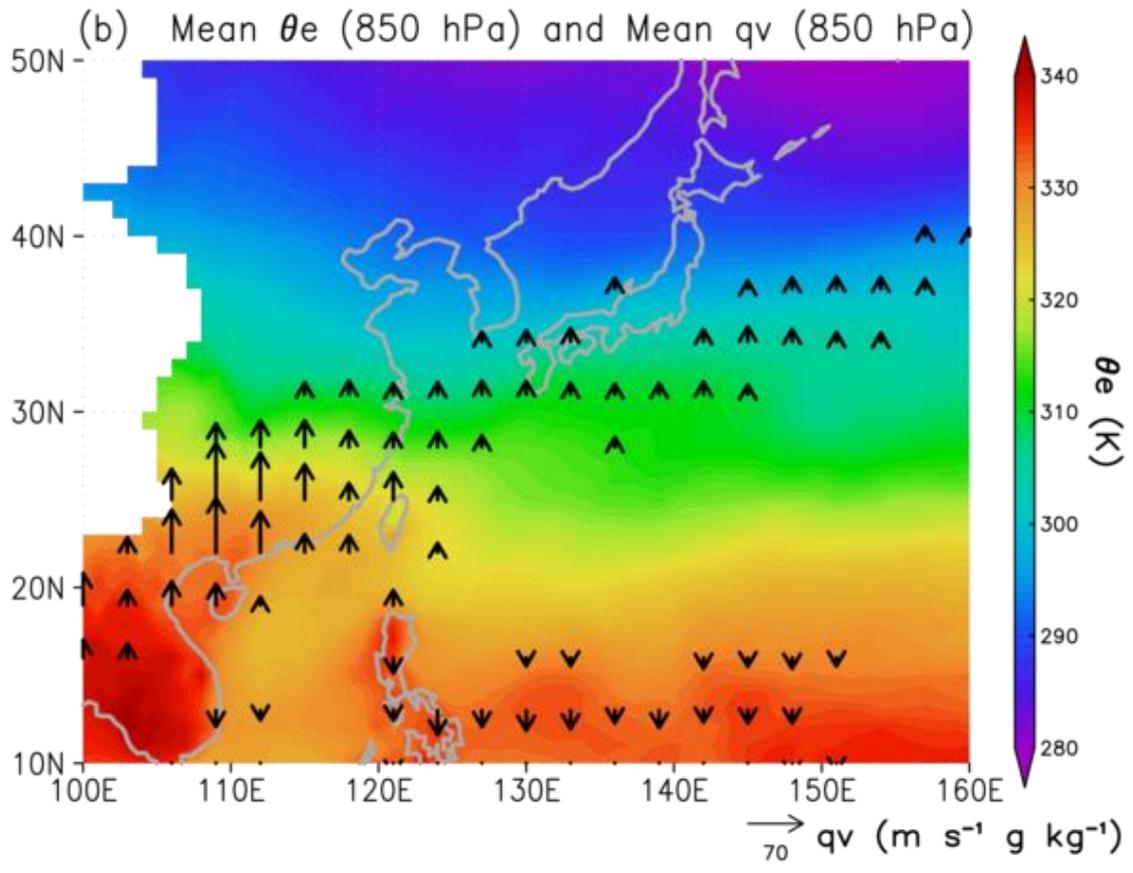
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755 **Figure 2.** Correlation plot of SP2-rBC and COSMOS-EBC mass concentrations (at  
756 standard temperature and pressure). The shaded region corresponds to within  $\pm 20\%$ .

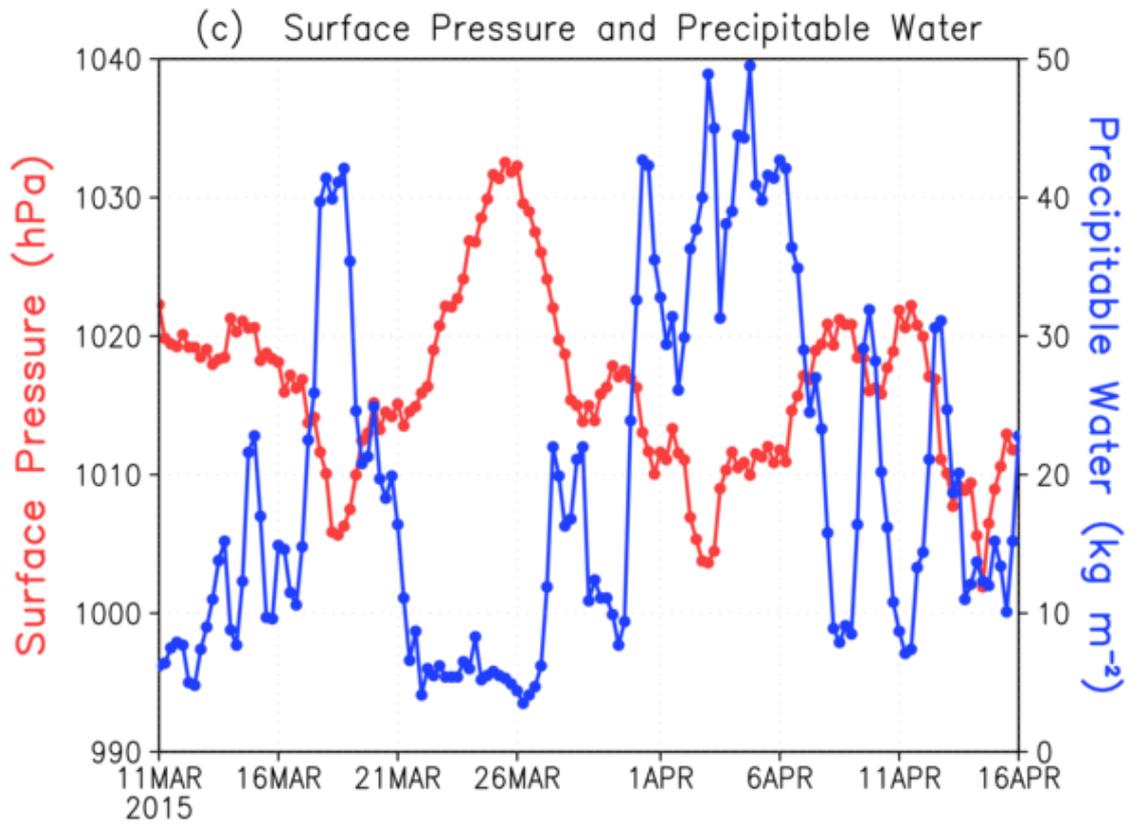
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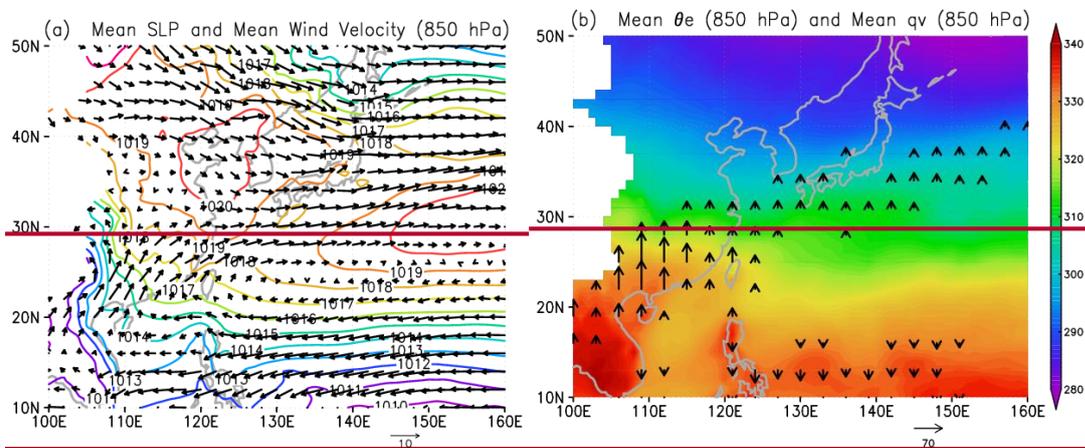
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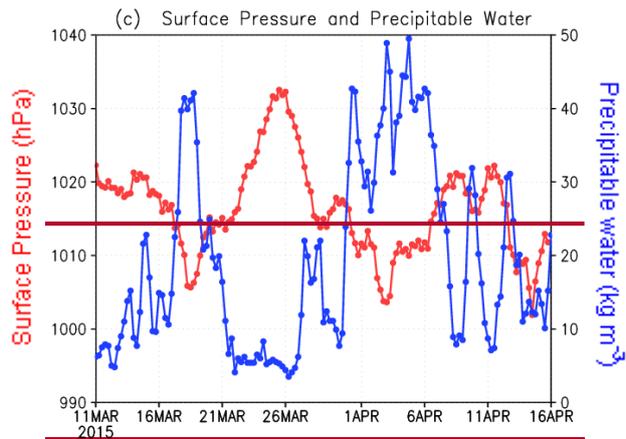
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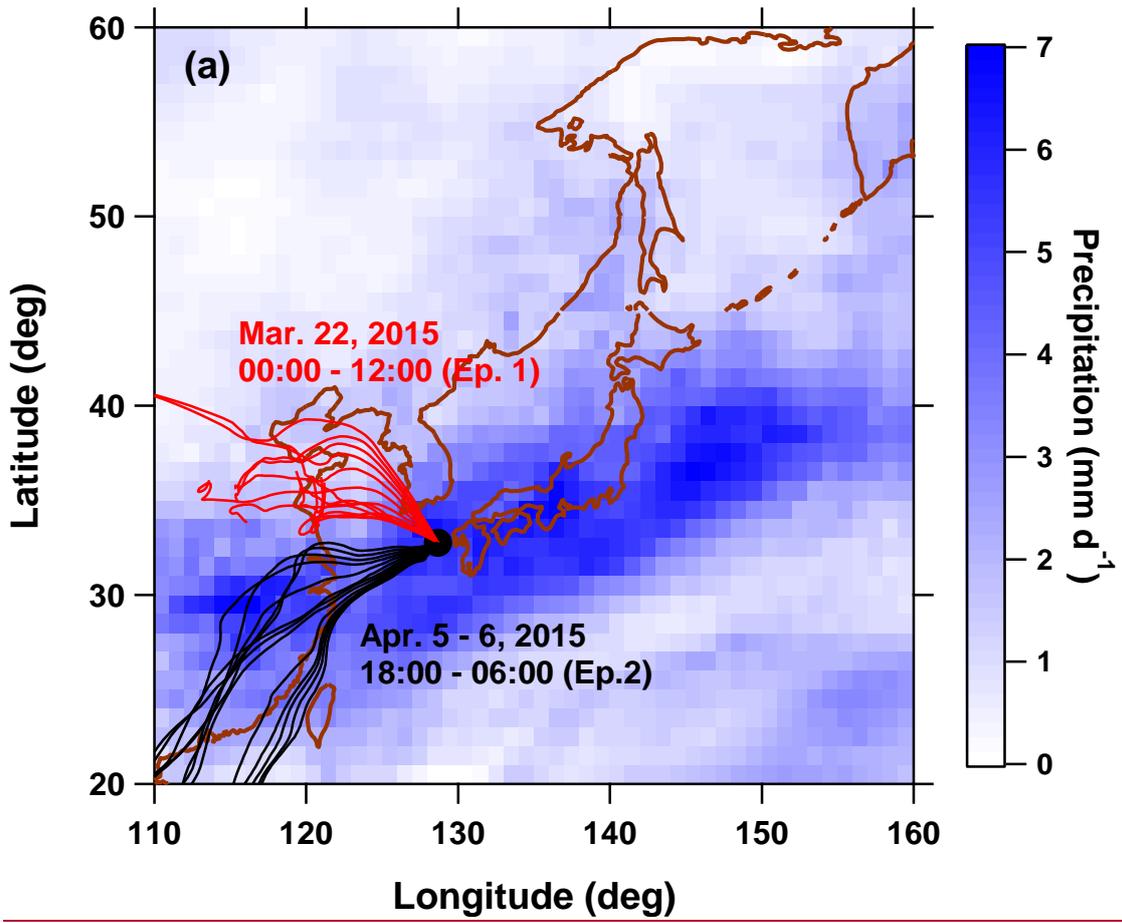


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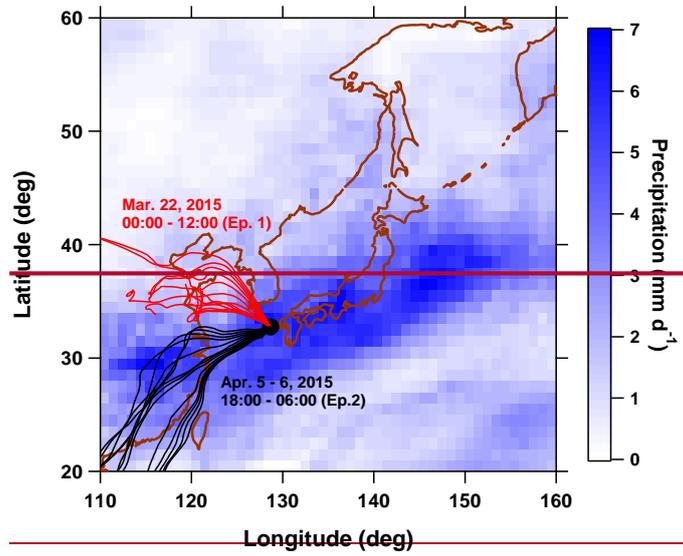
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764 **Figure 3.** Meteorological fields in East Asia during the observation period (March  
 765 11-April 14, 2015) based on NCEP FNL data. (a) Mean SLP (hPa, contours) and  
 766 mean horizontal wind velocity at the 850-hPa level ( $\text{m s}^{-1}$ ). Regions without data  
 767 correspond to those of high-altitude mountains. (b) Mean  $\theta_e$  (K) and total meridional  
 768 moisture transport ( $qv$  values) at the 850-hPa level ( $\text{m s}^{-1} \text{g kg}^{-1}$ ). Only  $qv$  vectors  
 769 with magnitudes greater than  $10 \text{ m s}^{-1} \text{g kg}^{-1}$  were plotted. (c) Temporal variations in  
 770 the surface pressure (hPa, red line and markers with left axis) and precipitable water  
 771 ( $\text{kg m}^{-2}$ , blue line and markers with right axis) at the Fukue observation site ( $32.75^\circ\text{N}$ ,  
 772  $128.68^\circ\text{E}$ ).

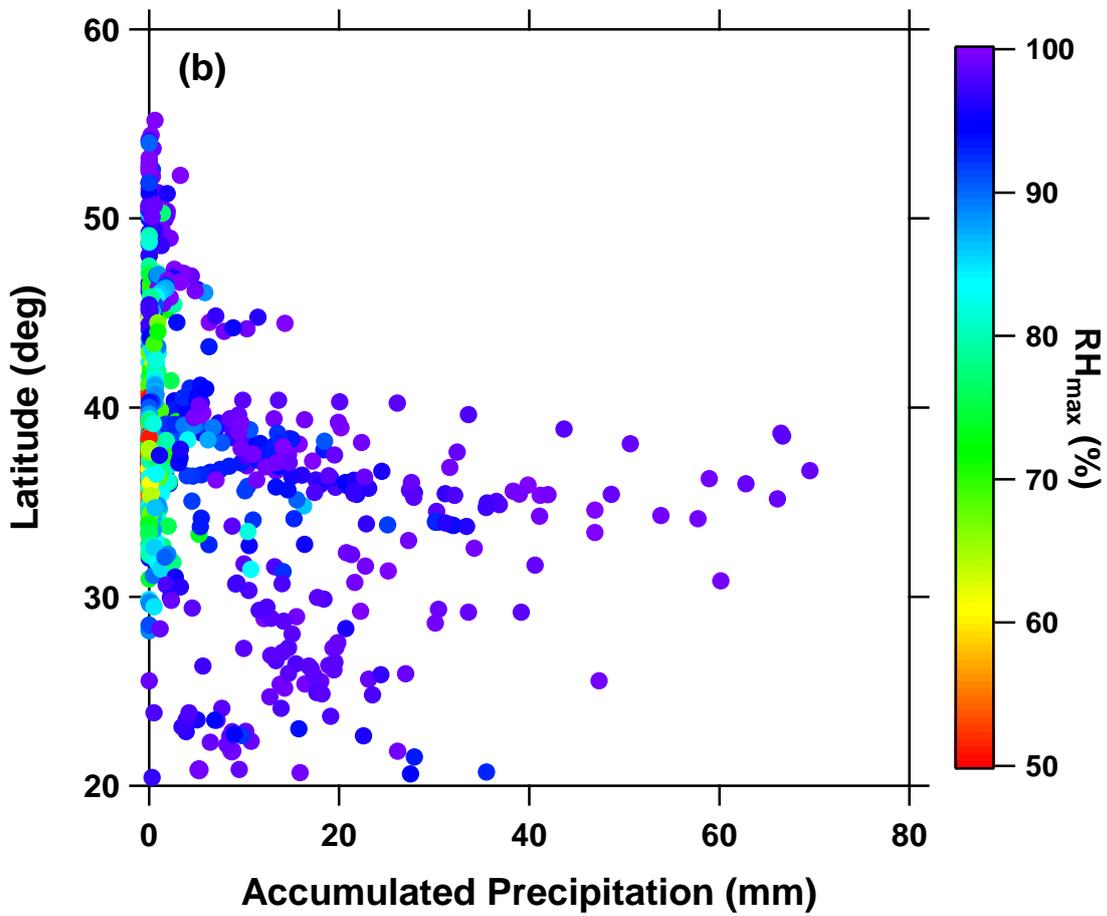
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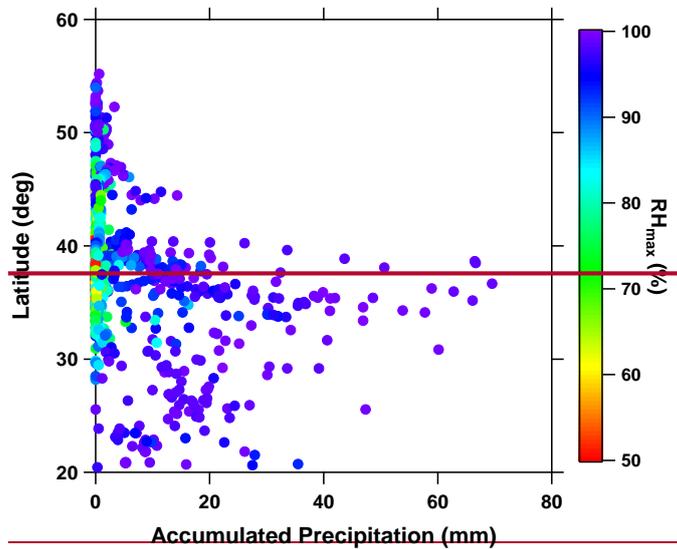
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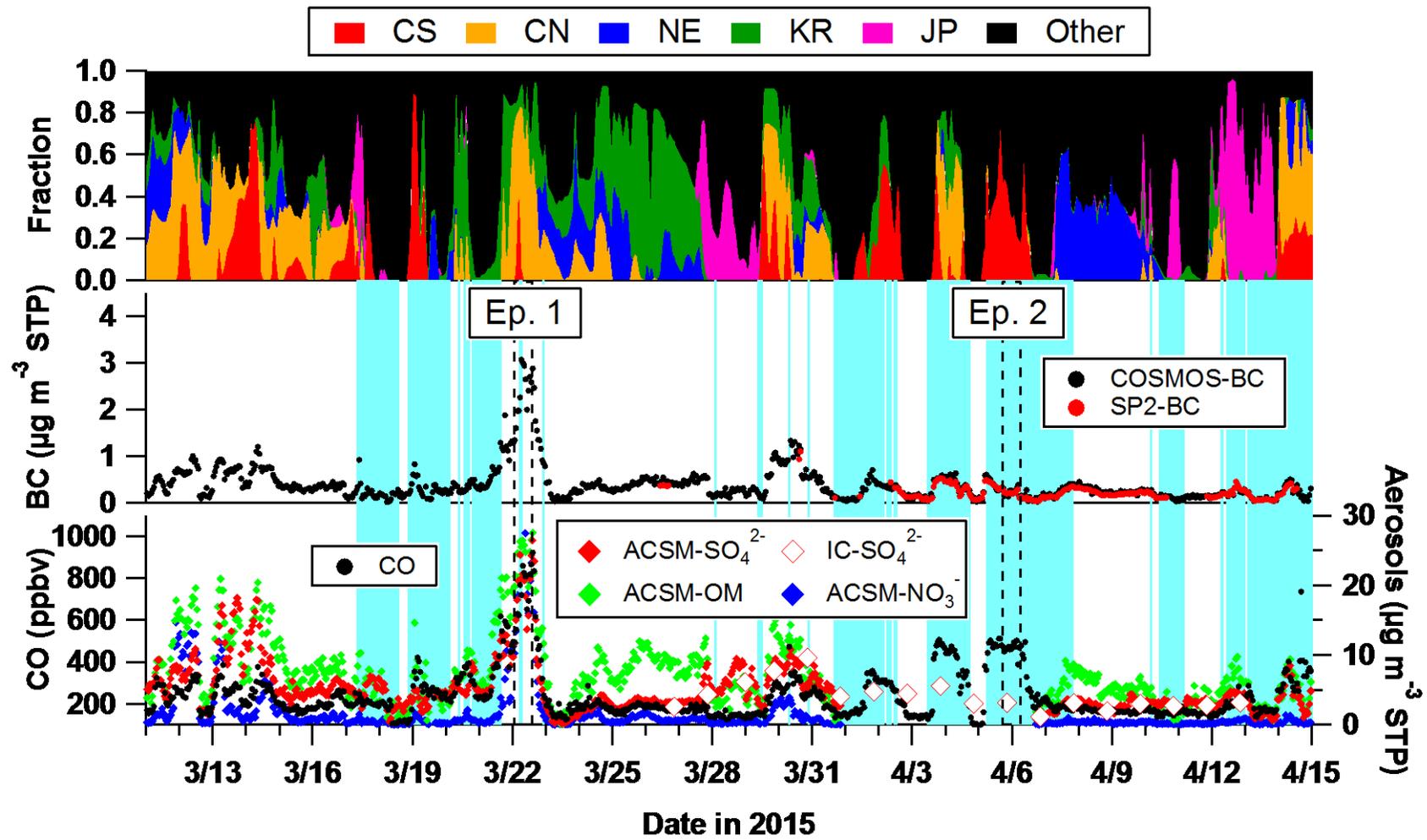


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779 **Figure 4.** (a) Mean precipitation derived from GPCP during the observation period  
 780 (March 11-April 14, 2015). Three-day backward trajectories for selected periods are

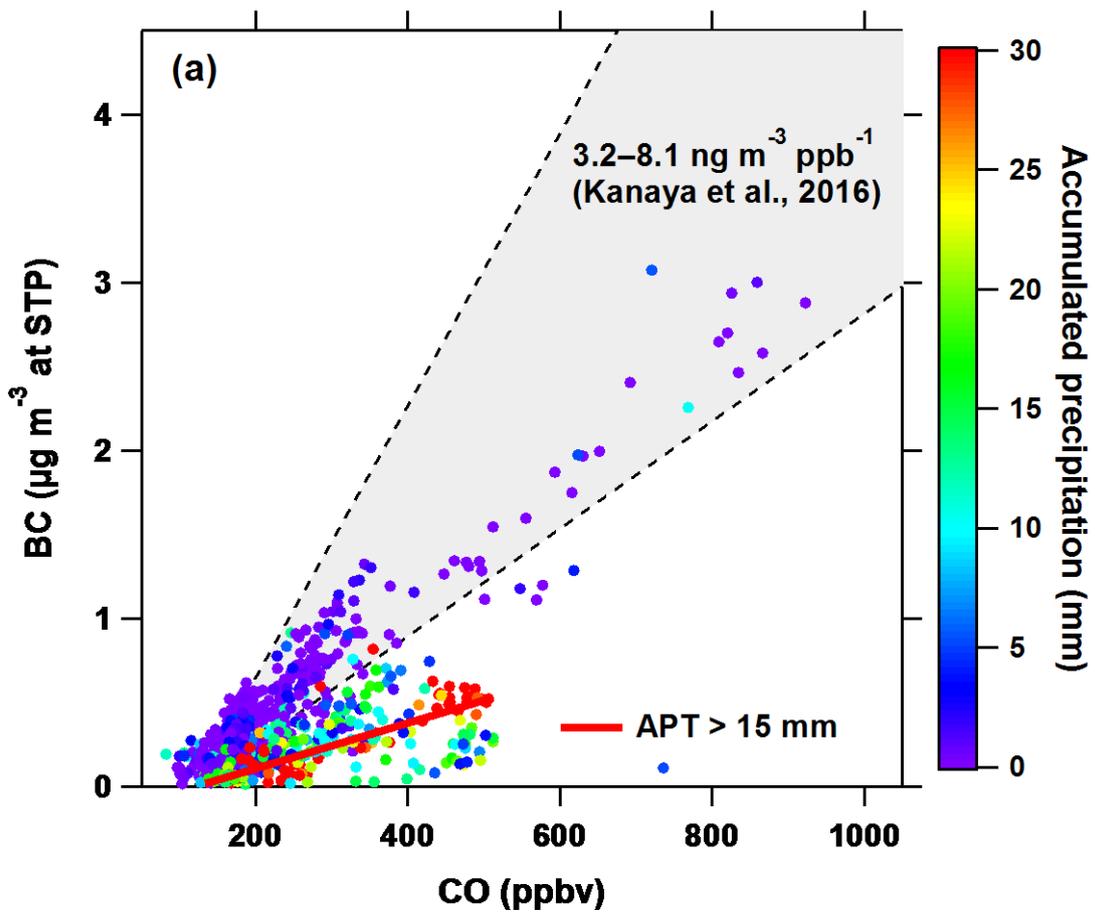
781 overlaid (red lines, 00:00-12:00LT March 22, 2015 (Ep.1); black lines, 08:00LT April  
782 5-06:00LT April 6, 2015 (Ep.2)). (b) The relationship between APT and Lat<sub>ORIG</sub> (see  
783 text for details) colored by the maximum RH along the backward trajectories.  
784



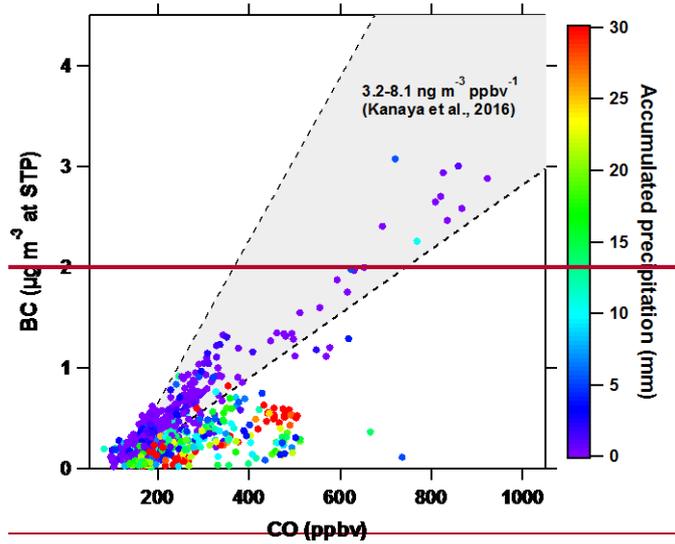
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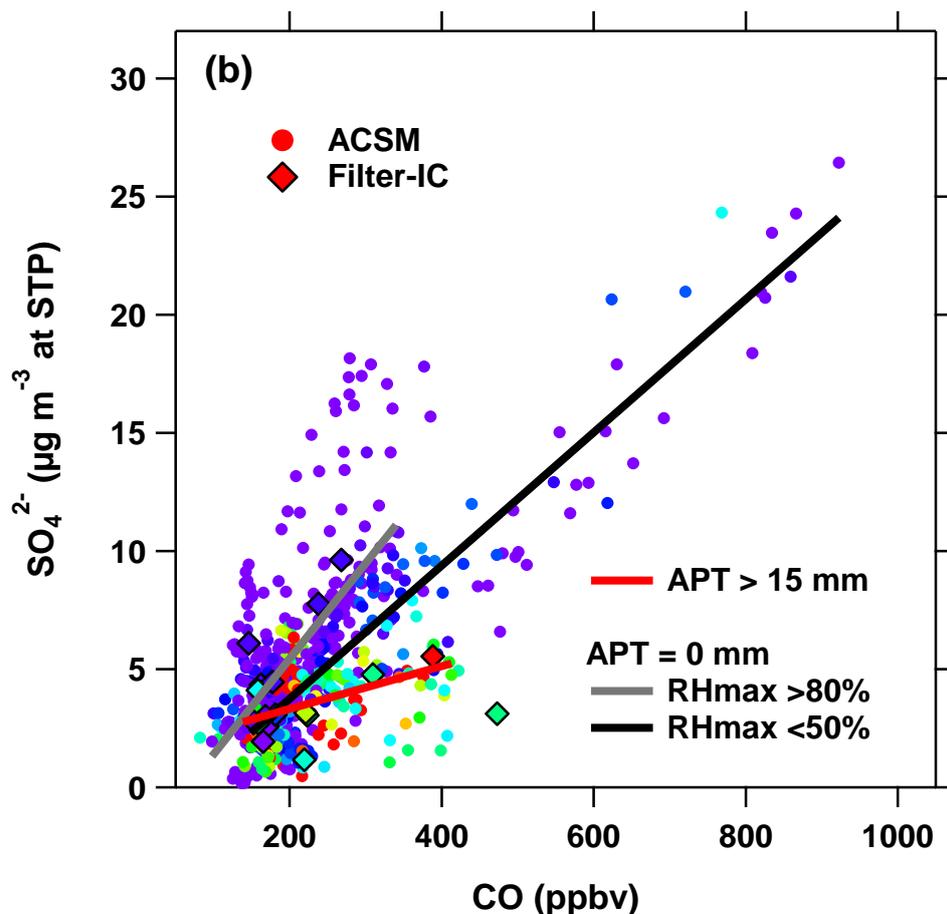
787 **Figure 5.** Temporal variations in air mass origin and concentration of trace species. (Top panel) Fractional residence time of air masses  
788 passed over selected area (Red, Central South China; Orange, Central North China; Blue, Northeast China; Green, Korea; Pink, Japan;  
789 Black, other regions such as Ocean). (Middle panel) mass concentrations of BC measured using the COSMOS (black markers) and SP2  
790 (~~blue-red~~ markers). (Bottom panel) concentrations of CO (black markers), ~~and~~  $\text{SO}_4^{2-}$  (red ~~circles-closed~~ and open ~~diamond-makers~~ for  
791 ACSM and IC, respectively), ~~ACSM-NO<sub>3</sub><sup>-</sup> (blue makers), and ACSM-OM (light green markers)~~. The periods with the APT > 3 mm are  
792 highlighted in light blue in the middle and bottom panels. The periods denoted as Ep.1 and Ep.2 (see the text for details) were enclosed  
793 by dashed lines.



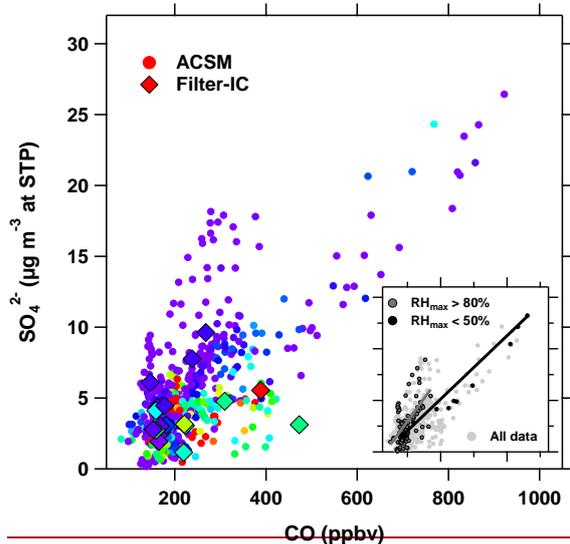
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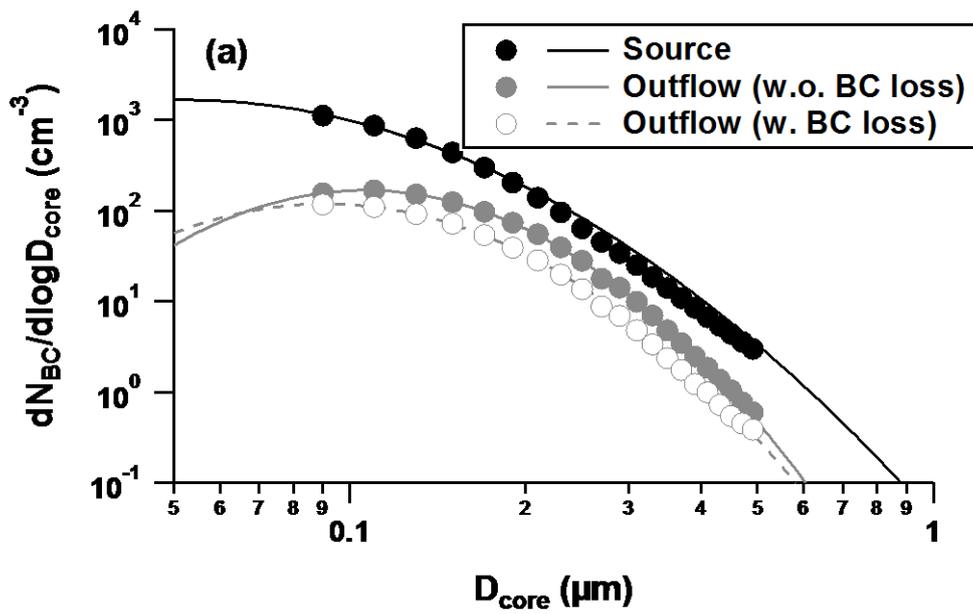


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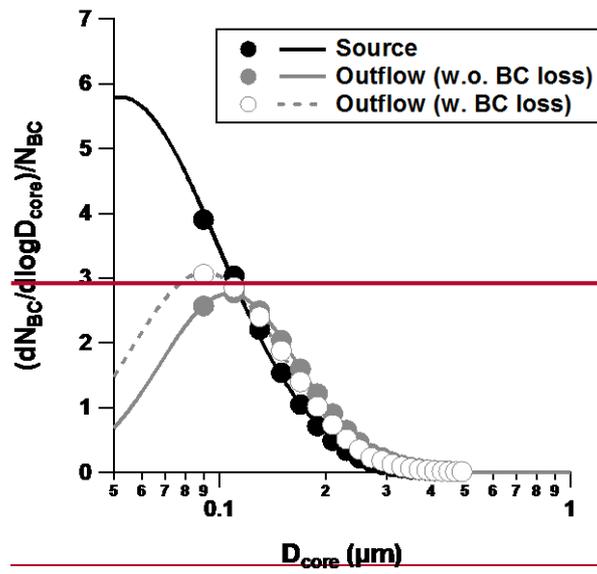
798

799 **Figure 6.** Correlation between aerosol mass concentrations and CO mixing ratio colored  
 800 according to the APT. (a) BC measured by COSMOS and (b)  $\text{SO}_4^{2-}$  measured by  
 801 ACSM and IC (circles and diamond markers, respectively). The bold lines are the  
 802 linear fitting to the BC/CO and ACSM- $\text{SO}_4^{2-}$ /CO correlations for the selected data

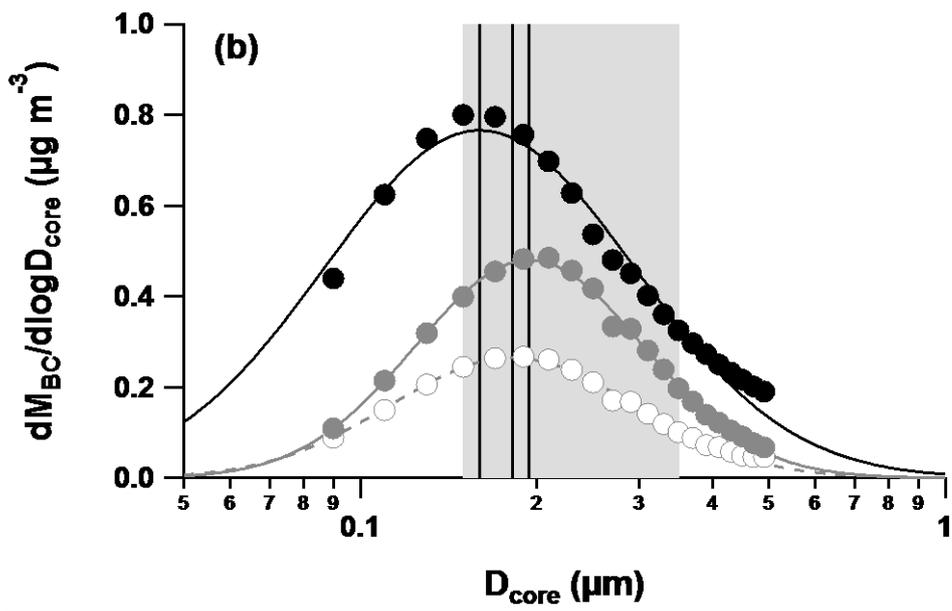
803 ~~points, i.e., those with the APT >15 mm for BC and SO<sub>4</sub><sup>2-</sup> (red lines), those with the~~  
804 ~~APT of zero and the RH<sub>max</sub> <50% for SO<sub>4</sub><sup>2-</sup> (black line), and those with the APT of zero~~  
805 ~~and the RH<sub>max</sub> >80% (shaded line).—ACSM-SO<sub>4</sub><sup>2-</sup>/CO correlations for the zero-APT air~~  
806 ~~masses (no precipitation during transport) with RH greater than 80% (dark shaded~~  
807 ~~markers) or less than 50% (black) are in the subset of 6b.~~  
808



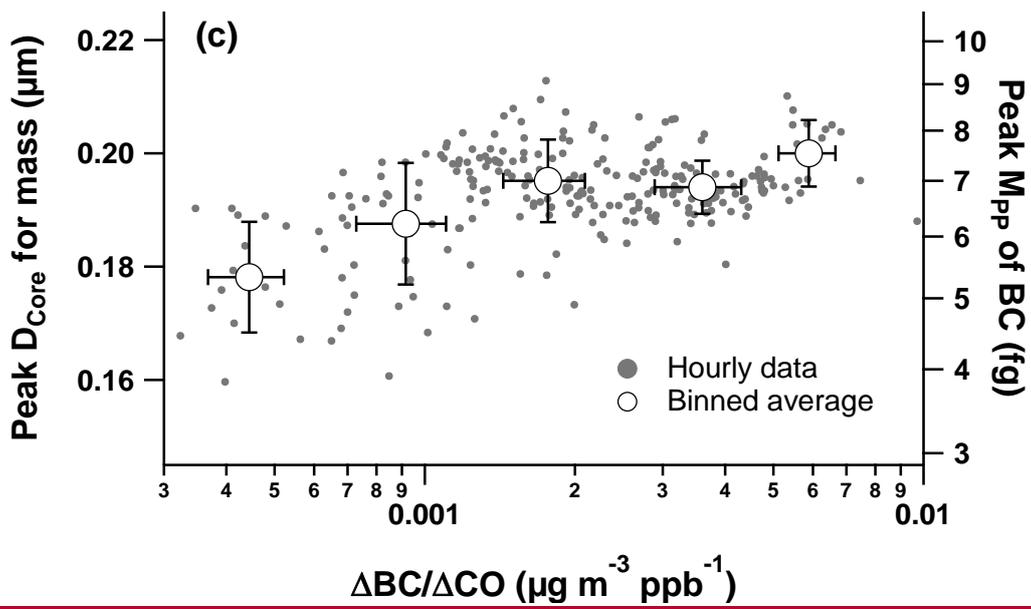
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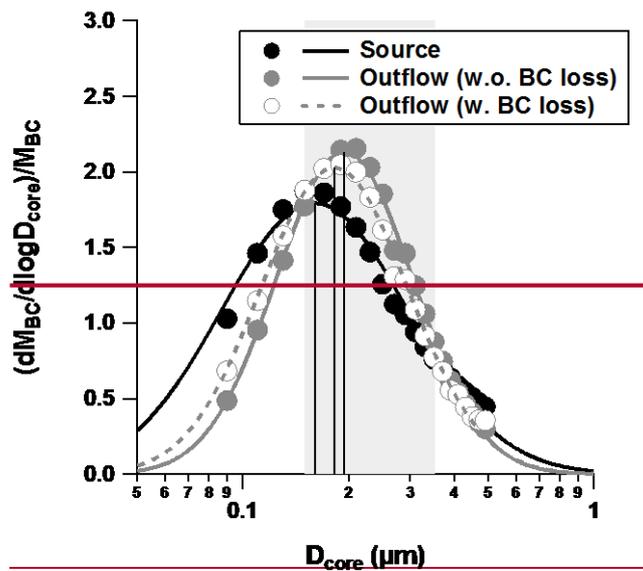
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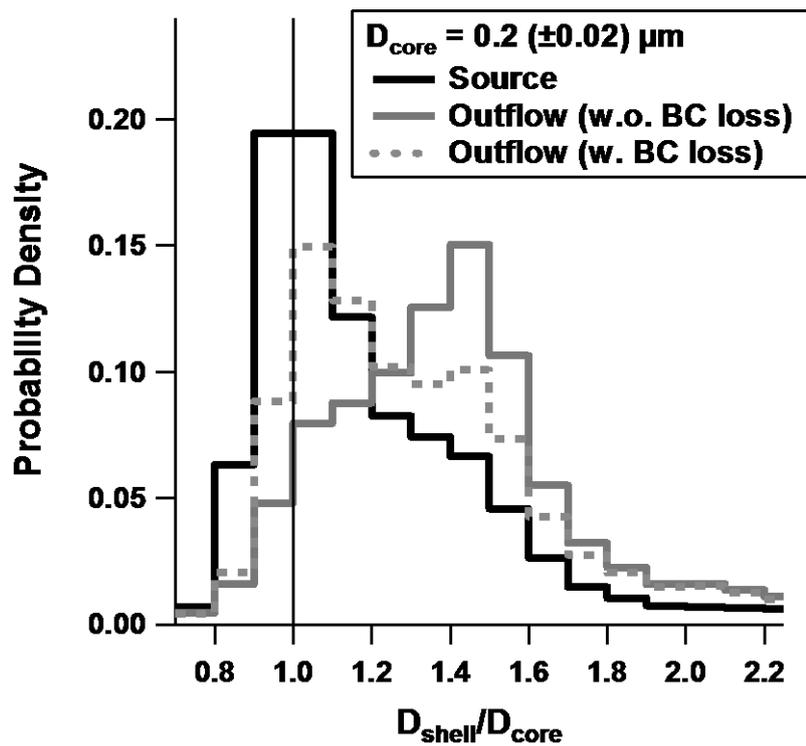
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815 **Figure 7.** The (a) number and (b) mass size distributions of BC measured at Yokosuka  
 816 (black markers) and at Fukue Island (gray markers). (c) The evolution of the peak  
 817  $D_{core}$  as a function of the degree of removal of BC. ~~All the size distributions are~~  
 818 ~~normalized by the number or mass concentrations of BC integrated for the diameter~~  
 819 ~~range of 0.08–0.5  $\mu\text{m}$ .~~ The size distributions at Fukue Island include the data for the  
 820 outflow air masses with (open markers) and without (closed markers) BC loss. Lines  
 821 are the lognormal fitting results. The shaded band in [67\(b\)](#) corresponds to the size  
 822 range analyzed to estimate  $D_s/D_{core}$  ratios. Vertical lines in [76\(b\)](#) represent the peak  
 823 diameter of the lognormal fit for each of three mass size distributions. Note that the  
 824 peak diameter of log-normal fit for the BC number size distributions at Yokosuka was  
 825 estimated from the peak diameter of its mass size distribution ([Table 12](#)).



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828 **Figure 8.** Probability density function of the estimated  $D_s/D_{core}$  ratios for BC-containing  
 829 particles with the size  $0.2 (\pm 0.02) \mu m$  at Yokosuka (black line) and in the air masses of  
 830 continental outflow with (gray dashed line) and without (gray solid line) BC loss.

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**Tables**

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**Table 1. Mean chemical composition of fine aerosols during the observation period**

Components	Period average	APT			
		0 mm	0 mm RH <sub>max</sub> <50%	0 mm RH <sub>max</sub> >80%	>15 mm
Ammonium sulfate	44.9%	41.8%	34.0%	48.9%	50.4%
Ammonium nitrate	11.7%	15.7%	10.7%	8.0%	5.0%
OM	40.9%	40.1%	52.0%	40.4%	42.0%
BC	2.5%	2.4%	3.2%	2.6%	2.5%

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**Table 2. Summaries of BC microphysical parameters measured at Yokosuka and Fukue Island**

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Site	Air mass type	Averaging time* (hrs)	$\Delta BC/\Delta CO$ (ng m <sup>-3</sup> ppb <sup>-1</sup> )	APT (mm)	Log Normal Fit Parameters Avg. (1 $\sigma$ )		1-hr Median D <sub>S</sub> /D <sub>core</sub> for selected D <sub>core</sub> Avg. (1 $\sigma$ )			
					MMD ( $\mu$ m)	$\sigma_g$	0.15 - 0.2	0.2 - 0.25	0.25 - 0.3	0.3 - 0.35 ( $\mu$ m)
Yokosuka	Source	184	-	-	0.160 (0.019)	1.84 (0.08)	1.18 (0.07)	1.15 (0.06)	1.10 (0.04)	1.07 (0.04)
Fukue	Outflow	87	>3	1.2	0.195 (0.005)	1.57 (0.05)	1.37 (0.05)	1.32 (0.03)	1.21 (0.03)	1.17 (0.03)
Fukue	Outflow	51	<1	19.9	0.182 (0.011)	1.62 (0.09)	1.25 (0.05)	1.24 (0.04)	1.16 (0.02)	1.12 (0.03)

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\*Time used for calculating averaged statistics of the microphysical properties of BC-containing particles.

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