## **TITLE PAGE**

# Development of a high-resolution emission inventory and its evaluation and application through air quality modeling for Jiangsu Province, China

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#### ABSTRACT

2 Improved emission inventories combining detailed source information are crucial for better understanding the atmospheric chemistry and effectively making emission control 3 4 policies using air quality simulation, particularly at regional or local scales. With the downscaled inventories directly applied, chemical transport model might not be able to 5 reproduce the authentic evolution of atmospheric pollution processes at small spatial scales. 6 7 Using the bottom-up approach, a high-resolution emission inventory was developed for 8 Jiangsu China, including SO<sub>2</sub>, NOx, CO, NH<sub>3</sub>, volatile organic compounds (VOCs), total suspended particulates (TSP), PM<sub>10</sub>, PM<sub>2.5</sub>, black carbon (BC), organic carbon (OC), and CO<sub>2</sub>. 9 The key parameters relevant to emission estimation for over 6000 industrial sources were 10 11 investigated, compiled and revised at plant level based on various data sources and on-site 12 survey. As a result, the emission fractions of point sources were significantly elevated for 13 most species. The improvement of this provincial inventory was evaluated through comparisons with other inventories at larger spatial scales, using satellite observation and air 14 15 quality modeling. Compared to the downscaled Multi-resolution Emission Inventory for 16 China (MEIC), the spatial distribution of NO<sub>X</sub> emissions in our provincial inventory was more consistent with summer tropospheric NO2 VCDs observed from OMI, particularly for 17 18 the grids with moderate emission levels, implying the improved emission estimation for small and medium industrial plants by this work. Three inventories (national, regional, and 19 provincial by this work) were applied in the Models-3/Community Multi-scale Air Quality 20 (CMAQ) system for southern Jiangsu October 2012, to evaluate the model performances with 21 22 different emission inputs. The best agreement between available ground observation and simulation was found when the provincial inventory was applied, indicated by the smallest 23 24 normalized mean bias (NMB) and normalized mean errors (NME) for all the concerned species SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub> and PM<sub>2.5</sub>. The result thus implied the advantage of improved emission 25 inventory at local scale for high resolution air quality modeling. Under the unfavorable 26 27 meteorology in which horizontal and vertical movement of atmosphere was limited, the simulated SO<sub>2</sub> concentrations at downtown Nanjing (the capital city of Jiangsu) using the 28 29 regional or national inventories were much higher than observation, implying the

30 overestimated urban emissions when economy or population densities were applied to downscale or allocate the emissions. With more accurate spatial distribution of emissions at 31 city level, the simulated concentrations using the provincial inventory were much closer to 32 observation. Sensitivity analysis of PM2.5 and O3 formation was conducted using the 33 improved provincial inventory through the Brute Force method. Iron & steel and cement 34 plants were identified as important contributors to the PM<sub>2.5</sub> concentrations in Nanjing. The 35 O<sub>3</sub> formation was VOCs-limited in southern Jiangsu, and the concentrations were negatively 36 correlated with NO<sub>X</sub> emissions in urban areas owing to the accumulated NOx from 37 38 transportation. More evaluations are further suggested for the impacts of speciation and 39 temporal and vertical distribution of emissions on air quality modeling at regional or local scales in China. 40

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#### **1 INTRODUCTION**

With rapid development of economy and growth of energy consumption, eastern China is 43 experiencing severe atmospheric pollution attributed to the large emissions of primary air 44 45 pollutants and the subsequent formation of secondary pollution, e.g., fine particles and  $O_3$ . Relatively high concentrations of surface PM<sub>2.5</sub> were observed in eastern China based on the 46 national monitoring network (data source: http://106.37.208.233/), and only 9.5% out of 190 47 cities with the measurement data reported in 2014 met the National Ambient Air Quality 48 Standard (NAAQS), i.e., 35  $\mu$ g/m<sup>3</sup> for annual PM<sub>2.5</sub> concentration (MEP, 2012). Under the 49 serious case of air pollution, series of measures have been conducted to reduce the pollutant 50 emissions and to improve the air quality across the country. For example, the National Air 51 Pollution Prevention Action Plan issued in 2013 required strict emission controls on both 52 industry and transportation sectors, and aimed to achieve a 25%, 20% and 15% reduction of 53 annual PM<sub>2.5</sub> concentration for Beijing-Tianjin-Hebei (JJJ), Yangtze River Delta (YRD), and 54 Pearl River Delta (PRD) region from 2012 to 2017, respectively. Given the non-linear 55 response of ambient concentrations to emissions, chemical transport modeling (CTM) has 56 been widely applied to study the mechanisms of complex pollution processes and the impacts 57 58 of emission abatement (Zhang et al., 2006; Streets et al., 2007; B. Zhao et al., 2013; Zhang et

al., 2012). As the key input of CTM, therefore, improved emission inventories, particularly at
regional or local scales, become important for scientific air quality simulation and effective
policy making.

Progress has been increasingly achieved in emission inventory studies for China. 62 Compared to earlier national emission inventories including those for Transport and Chemical 63 Evolution over the Pacific Mission (TRACE-P, Streets et al., 2003), Intercontinental Chemical 64 65 Transport Experiment-Phase B (INTEX-B, Zhang et al., 2009), and Regional Emission inventory in Asia (REAS, Ohara et al., 2007; Kurokawa et al., 2013), Tsinghua University 66 67 developed the Multi-resolution Emission Inventory for China (MEIC. http://www.meicmodel.org/), in which the information of large power plants and cement 68 factories was investigated and the uncertainties of emission estimation for those typical 69 sources were reduced (Wang et al., 2014). Besides, high-resolution emission inventories at 70 regional and city scales were gradually established in the developed regions JJJ, YRD and 71 72 PRD, attributed to better data support and stronger need to combat air pollution (Zheng et al., 2009; S. Wang et al., 2010; Huang et al., 2011; B. Zhao et al., 2012; Zhao et al., 2015). 73

Resulting from various methods and data sources, clear discrepancies exist in different 74 75 emission inventories in China, both at national (Y. Zhao et al., 2013; Xia et al., 2016) and local scales (Zhao et al., 2015). When applied in CTM, the uncertainties in emission 76 estimation would inevitably lead to bias in air quality simulation, besides the errors of 77 meteorological field modeling and deficiencies of built-in atmospheric chemical mechanisms 78 79 (Zheng et al., 2012). Based on the Models-3/Community Multi-scale Air Quality (CMAQ) system, for example, Zhang et al. (2014) simulated PM2.5 and O3 concentrations in 80 southeastern United States using the different versions of national emission inventory (NEI), 81 and compared the results with several ground observational datasets. The model performance 82 83 with updated inventory (NEI05) was much better than that with old one (NEI99), indicating the impacts of emission inventory on the accuracy of CMAQ simulations. Han et al. (2015) 84 conducted NO<sub>2</sub> vertical column simulation for China with CMAQ, and found that the 85 modeled results using INTEX-B inventory were closer to satellite observation than those 86 using REAS. At regional or local scales, emission inventory that incorporates the detailed 87 88 information of individual sources is assumed to have advantages in air quality research prior

to downscaled national inventory that generally applied regional average levels of emission factors due to unavailability of data (Zhao et al., 2015). The benefits of improved emission estimation, spatial and temporal distribution, or chemical speciation of pollutants, however, have not been sufficiently confirmed with CTM. Recently, Yin et al. (2015) conducted CMAQ simulation on  $O_3$  using updated VOC emission inventory for PRD, implying that the reduced uncertainties of total emission estimation and spatial distribution could improve the model performance compared with ground observation.

We select Jiangsu, a typical province with well-developed industry in eastern China, to 96 develop and evaluate the high-resolution emission inventory. The geographic location and 97 cities of the province are illustrated in Figure S1 in the supplement. With a total area of 107 98 200 km<sup>2</sup> and population of 79.2 million in 2012, Jiangsu was the first ranked province in gross 99 domestic product (GDP) per capita in China (NBSC, 2013a; JSNBS, 2013). It accounted for 100 8.0%, 7.6%, 8.9%, and 10.2% of the country's power generation, cement, pig iron, and crude 101 steel production in 2012, respectively (NBSC, 2013b). Intensive energy consumption and 102 industry resulted in heavy air pollution: all the 13 cities had their annual average 103 concentrations of  $PM_{2.5}$  exceeding the NAAQS in 2012, with the highest reaching 74 µg/m<sup>3</sup> in 104 105 the capital city, Nanjing. Clear uncertainties exist in current multi-scale emission inventories. Zhao et al. (2015), for example, estimated Nanjing's SO2 and PM2.5 emissions at 165 and 71 106 Gg in 2012, respectively, while the results by Fu et al. (2013) were 131.8 and 35.3 Gg. 107 implying the necessity of improvement and assessment of regional emission inventory, for 108 109 both scientific and policy implication. In this work, a comprehensive emission inventory for Jiangsu with high temporal and spatial resolutions was first established with the best available 110 data of local emission sources incorporated. This provincial emission inventory was then 111 compared with other inventories and satellite observation to test its improvement on emission 112 estimation and spatial distribution. CMAQ was further applied to indicate the advantage of 113 the provincial inventory prior to downscaled national and regional ones. In particular, the 114 impacts of spatial distribution of emissions on model performance were analyzed for period 115 with unfavorable meteorological condition. Finally, the improved inventory was applied for 116 sensitivity analysis on regional  $PM_{2.5}$  and  $O_3$  formation. 117

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#### **2 DATA AND METHODS**

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## 2.1 Methodology of provincial emission inventory development

The emissions of gaseous pollutants (SO<sub>2</sub>, NOx, CO, NH<sub>3</sub> and VOCs), greenhouse gas 121 CO<sub>2</sub>, particulate matter (total suspended particulates, TSP, PM<sub>10</sub> and PM<sub>2.5</sub>) and its chemical 122 123 compositions (black carbon, BC and organic carbon, OC) of anthropogenic origin in Jiangsu were estimated with a bottom-up method. Emission sources were classified into seven main 124 categories, including power plant, industry, solvent use, transportation, residential & 125 commercial, agriculture and other sources. Industry was subdivided into iron & steel, cement, 126 127 and other industry including nonferrous metal smelting, brick and lime kilns, chemical industry and other industry boilers. Residential & commercial sector included household 128 combustion of fossil fuel and biofuel. Agriculture included livestock and fertilizer usage. 129 Open biomass burning, cooking, and waste (water) disposal, were considered as other sources. 130 The detailed categories were summarized in Table S1 in the supplement. For each category, 131 point, mobile and area sources were defined depending on the detailed levels of information 132 and the emission characteristics. For point sources, information on emission factor and 133 activity level was investigated and compiled for individual plants, and the annual emissions of 134 atmospheric pollutants were calculated using Eq. (1), as described in Zhao et al (2015). 135

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$$E_{i} = \sum_{j,m} AL_{i,j,m} \times EF_{i,j,m} \times (1 - \eta_{i,j,m})$$
(1)

where *i*, *j* and *m* represented the pollutant species, individual plant, and fuel/technology type, respectively; *AL* was the activity level data; *EF* was the uncontrolled emission factor; and  $\eta$ was removal efficiency of air pollutant control device.

Regarded as mobile sources, the emissions of on-road transportation were calculated by the CORPERT model (EEA, 2012) and then spatially allocated based on the road net information of the province. Area sources included non-road transportation, solvent use, residential & commercial sector, agriculture, and small industry plants without detailed information collected. The emissions from non-road transportation and agriculture were estimated following the methods by Zhang et al. (2010) and Dong et al. (2009), respectively. For other sources, the emissions were calculated using Eq. (2)

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$$E_{i,n} = \sum_{m} AL_{i,m,n} \times EF_{i,m,n} \times (1 - \eta_{i,m,n})$$
(2)

where *n* represented the source type;  $EF_n$  and  $\eta_n$  were the average levels of uncontrolled emission factors and removal efficiencies for given source *n*. For sources without any emission control measure (e.g., residential combustion),  $\eta=0$ .

#### 152 2.2 Activity level

The main sources of activity data are summarized by category in Table S1 in the 153 supplement. Most of coals in Jiangsu were used by power and industry sectors, and household 154 155 accounted for only 0.3% of total coal consumption in the province in 2012 (JSNBS, 2013), indicating the significance to reduce the uncertainties of emission estimation for power and 156 157 industry plants. Therefore a comprehensive database for power and industrial sector was 158 established with the information collected and modified from the official environmental statistics, Pollution Source Census (PSC, internal data), and on-site survey on large emitters. 159 160 Parameters including geographical location, combustion/production technology, fuel/burner/boiler type, installed air pollution control device (APCD) and its removal 161 efficiency were investigated for individual plants. Totally 6750 plants were identified as point 162 163 sources, including 191 power plants, 185 iron & steel plants, 231 cement factories, 707 lime and brick factories, 365 chemical plants and 5071 other industrial factories, as illustrated in 164 Figure S2 in the supplement. In particular, the kilns for combustion and factories for 165 calcination were separately investigated for cement production, and 25% of cement plants 166 contained the both processes. For power, cement, and iron & steel sectors, the aggregated 167 activity levels compiled plant by plant, i.e., the coal consumption of power generation, and 168 the production of cement, clinker, coke, pig iron, and crude steel, were estimated at 108%, 169 95%, 120%, 109%, 104%, and 98% of the provincial statistics, respectively (JSNBS, 2013). 170 171 The comparison indicates, on one hand, that larger activity levels would be obtained based on detailed investigation of individual emission sources than official statistics for power and 172 most processes of iron & steel sectors. On the other hand, almost complete investigation on 173 point sources was conducted for those sectors, as very small fractions of activities (5% for 174 cement and 2% for steel production) had to be estimated as area sources. For other industrial 175 176 sectors, smaller fractions of point sources were achieved, e.g., 32% and 36% for ammonia and 177 sulfuric acid production, respectively.

For on-road transportation, the input parameters of COPERT 4 include regional 178 meteorological information, vehicle population by type, fleet composition by control stage 179 (China I-IV, equivalent to Euro I-IV), average vehicle speeds, and annual average kilometers 180 181 traveled (VKT). Monthly mean temperature and relative humidity were obtained from the China Meteorological Data Sharing Service System (http://www.escience.gov.cn). 182 183 Populations of different vehicle types were derived from statistical yearbooks by city and then converted to the numbers in COPERT 4 categories. The fleet composition by control stage 184 185 was obtained from the survey by local government (internal data, Zhao et al., 2015). Vehicle speed by road type (i.e., freeway, arterial and residential road) and VKT by vehicle type were 186 determined according to previous studies (Cai and Xie, 2007; Wang et al., 2008) and the 187 guidebook of emission inventory development for Chinese cities (He, 2015). For area sources, 188 189 the coal consumption of residential activities was directly taken from National Energy Statistic Yearbook (NBSC, 2013c), while that of small industrial plants were calculated by 190 subtracting the coal consumed by industrial point sources from the coal consumption of total 191 industry provided in the provincial energy balance (NBSC, 2013c). The original data on the 192 193 activity levels of agriculture, solvent use, non-road transportation and open biomass burning were obtained from the provincial or city statistical yearbooks (JSBNS, 2013). 194

#### 195 **2.3 Emission factor**

Following previous studies (Zhao et al., 2008; 2010; 2011; 2012a; 2012b; Y. Zhao et al., 196 197 2013), an emission factor database for Jiangsu was established with detailed information and available results of emission measurements on local sources incorporated. For power sector, 198 parameters relevant to emission factors were obtained at individual plant level including 199 installed capacity, fuel type and quality (e.g., sulfur and ash content), combustion technology, 200 and the type and removal efficiencies of APCDs. In particular, the information of APCD 201 installation obtained from provincial environmental statistics and on-site survey was further 202 corrected according to the official documents on APCD projects of power plants published by 203 Ministry of of 204 Environment Protection China 205 (http://www.zhb.gov.cn/gkml/hbb/bgg/201305/t20130506 251654.htm). As summarized in Table S2 in the supplement, the application rates of flue gas desulfurization (FGD), selective catalytic reduction (SCR)/selective non-catalytic reduction (SNCR), and dust collectors for Jiangsu's power plants in 2012 were 97%, 57% and 99% in terms of coal consumption, and the average removal efficiencies of SO<sub>2</sub>, NOx and TSP weighted by coal consumption were calculated at 83.3%, 37.1% and 98.0%, respectively. Combining all the above-mentioned information, the emission factors for individual plant and facility were calculated using the methods developed by Zhao et al. (2010).

Table S3 in the supplement summarizes the emission factors of main industrial processes. 213 214 For iron & steel production, emission factors of the four main manufacturing processes (coking, sintering, pig iron production, and steel making) were estimated combining the 215 unabated emission factors from previous database (Zhao et al., 2011; Y. Zhao et al., 2013) and 216 the investigated information on penetrations and removal efficiencies of APCDs at plant level. 217 Provided in Table S2, 64.3% of Jiangsu's iron & steel plants installed FGD in 2012 and the 218 average SO<sub>2</sub> removal efficiency was estimated at 78.0%. Dust collectors were installed at 219 almost all the furnaces for pig iron production and steel making, with the averages of PM 220 removal efficiency estimated at 96% and 94%, respectively. For cement production, emission 221 222 factors were calculated for the two main processes, coal combustion and calcination, following Lei et al. (2011). With dust collectors installed at 99% of plants, the average of 223 overall removal efficiency on TSP was estimated at 97.3% according to our plant-by-plant 224 investigation (Table S2). 225

226 For area sources, emission factors for non-road transportation were obtained from Zhang et al. (2010), Ye et al. (2014) and Fu et al. (2012). Emission factors for household fossil fuel 227 and biofuel combustion were from the summary of field measurements in Y. Zhao et al. 228 (2013). For agricultural activities including livestock and fertilizer use, emission factors were 229 obtained from Dong et al. (2009) and Yin et al. (2010). Emission factors of VOCs were 230 mainly from Wei et al. (2009) with update for typical sources based on limited local 231 measurements and survey (Bo et al., 2008; EEA, 2013; Xia et al., 2014). The source profiles 232 of VOC for Jiangsu were obtained following Li et al. (2014) with the most recent data from 233 domestic results incorporated (Zhao et al., in preparation). 234

#### 235 **2.4 Temporal and spatial distributions**

The monthly variations of emissions from power plants and industrial sources were assumed to be dominated by the variations of electricity generation and typical industrial production, respectively, and those data were obtained from National Bureau of Statistics of China (http://data.stats.gov.cn/). As the real-time monitoring on urban traffic was unavailable for the whole province, the temporal distribution of emissions from on-road vehicles in other cities was considered to the same as Nanjing (Zhao et al., 2015). For other sources, the temporal distributions for Shanghai investigated by Li et al. (2011) were adopted.

243 Different parameters were used to conduct the spatial allocation of emissions by sector. Latitude and longitude of each point source collected from PSC were checked and revised 244 according to Google Earth to avoid the unexpected errors in the existing database (Figure S2 245 in the supplement). The products of GDP (Huang et al., 2014) and population distribution 246 with high resolution at 1km (Fu et al., 2014) were applied to allocate the emissions from 247 248 industrial area sources and residential & commercial sources, respectively. Emissions from on-road transportation were allocated based on the road net by city. As the ship flow was 249 unavailable, the widths of Yangtze River and the Grand Cannel within Jiangsu were used as 250 251 indicators for ship emissions. Emissions from open biomass burning were allocated by the locations and brightness of agricultural fire spots observed by MODIS (Moderate Resolution 252 Imaging Spectroradiometer, https://earthdata.nasa.gov/data/near-real-time-data/firms). NH<sub>3</sub> 253 emissions from livestock and fertilizer usage were allocated based on the density of rural 254 255 population and the distribution of agricultural lands obtained from the land utilization dataset GlobCover 2009 (http://globalchange.nsdc.cn). 256

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## 2.5 Configuration of air quality modeling

The Models-3/Community Multi-scale Air Quality (CMAQ) version 4.7.1 was applied to evaluate the emission inventory for Jiangsu. As shown in Figure 1, three one-way nested domain modeling was conducted, and the spatial resolutions were set at 27, 9 and 3 km respectively in Lambert Conformal Conic projection, centered at (110° E, 34° N) with two true latitudes 25°N and 40°N. The mother domain (D1, 180×130 cells) covered most part of China, Japan and the whole Korea and part of other country. Jiangsu, Zhejiang, Shanghai, Anhui and parts of other provinces were at the second modeling region (D2, 118×97 cells). The third (D3, 124×70 cells) covered the mega city Shanghai and six most developed cities in southern Jiangsu including Nanjing, Changzhou, Zhenjiang, Wuxi, Suzhou and Nantong. The simulation period was selected from October 1 to 31, 2012, with the first five days chosen as spin-up period to provide initial conditions for later simulations.

Meteorological fields were provided by the Weather Research and Forecasting Model 269 (WRF) version 3.4 with the main physical options set as Fu et al. (2013), and the outputs were 270 transferred by meteorology chemistry interface professor (MCIP) version 4.2 into the 271 272 chemistry transport module in CMAQ (CCTM). In WRF, the U.S. Geological Survey (USGS) database was adapted as terrain and land use data, and the first guess field of meteorological 273 modeling was provided by the final analysis dataset (ds083.2) from National Centers for 274 Environmental Prediction (NCEP). Statistical indicators including Bias, Index of Agreement 275 276 (IOA), and root mean squared error (RMSE) were applied to evaluate the performance of WRF modeling against observation (Baker, 2004; Zhang et al., 2006). Ground observations in 277 three hours interval at meteorological stations were downloaded from National Climatic Data 278 Center (NCDC), including 43 stations in the second modeling domain D2 and 7 stations in the 279 280 innermost domain D3 (as labeled in Figure 1). The statistics of those indicators for wind speed and direction at 10 m (WS10 and WD10), temperature at 2 m (T2) and relative 281 humidity at 2 m (RH2) for October 2012 in D2 and D3 were summarized in Table S4. 282 Discrepancies between WRF simulations and ground observations were within acceptable 283 284 range (Emory et al., 2001) and comparable to the results of other studies (Wang et al., 2014). Better agreements were found for simulations of T2 and RH2 than WS10 and WD10. In spite 285 of moderate overestimation by 0.3% and 3.5% in T2 and RH2, the IOA of those two variables 286 were 0.97 and 0.90, indicating the high consistency with observational. Slightly higher than 287 observation in D2 and D3, simulated WS10 might enhance the diffusion process of pollutants 288 in atmosphere eventually and thus lead to underestimation in pollutant concentrations. For 289 WD10, the bias between simulations and observations was 3.6 degree in D3 within the 290 291 benchmark range (Emory et al., 2001).

The carbon bond gas-phase mechanism (CB05) and AERO5 aerosol module were adopted in all the CMAQ modules. The initial concentrations and boundary conditions for 294 first modeling domain was the default clean profile, while for nested domain they were extracted from the CCTM outputs of its mother domain. Anthropogenic emissions used for 295 domains D1 and D2 were obtained from the downscaled MEIC with an original spatial 296 resolution of 0.25°×0.25°. For Jiangsu domain in D3, three inventories, i.e., downscaled 297 MEIC, the regional inventory of YRD by Fu et al. (2013), and the provincial inventory 298 developed in this work, were used to test the modeling performance and potential 299 improvement in emission estimation. In addition, biogenic emission inventory was from the 300 Model Emissions of Gases and Aerosols from Nature developed under the Monitoring 301 302 Atmospheric Composition and Climate project (MEGAN-MACC, Sindelarova et al., 2014), and the emission inventories of Cl, HCl and lightning NO<sub>X</sub> were from the Global Emissions 303 Initiative (GEIA, Price et al., 1997). The vertical distributions of emissions were directly 304 taken from L. Wang et al. (2010) except for the power sector, as the height of discharge outlet 305 for each plant was available. According to L. Wang et al. (2010), the fractions of emissions of 306 307 industry sources were 50%, 30% and 20% in layers 1-3, respectively. For the sources near the surface, i.e., transportation, residential & commercial combustion, solvent use, agriculture, 308 and other, emissions were overall allocated to the first vertical layer in the model. The 309 emissions of power plants were concentrated in layers 2-5 with the fractions estimated at 14%, 310 46%, 35% and 5%, respectively, based on the height information of the stacks. 311

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#### **3 RESULTS**

#### 314 **3.1 Emission estimation and sector contribution**

The total annual emissions of SO<sub>2</sub> NOx, CO, TSP, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, OC, CO<sub>2</sub>, NH<sub>3</sub> and 315 VOCs were calculated at 1142, 1642, 7680, 2606, 1394, 941, 57, 138, 860458, 1100 and 1747 316 Gg for Jiangsu in 2012, respectively. The emissions by city were provided in Table 1. In 317 general, higher emissions were found in cities in southern Jiangsu with large population and 318 intensive economy and industry than those in northern Jiangsu. Taking 52% of the provincial 319 industrial GDP, Suzhou, Nanjing, and Wuxi were estimated to collectively account for 41%, 320 41%, 35%, 31%, 43% and 39% of the total emissions of SO<sub>2</sub>, NOx, CO, PM<sub>2.5</sub>, CO<sub>2</sub> and 321 VOCs, respectively. Xuzhou, different with other cities in northern Jiangsu, had a relative 322

high emissions of pollutants due to its well development of large-scale industry. Because of
the active agricultural development, NH<sub>3</sub> emissions in Huai'an and Nantong were estimated at
195.9 and 187.1 Gg, significantly higher than other cities.

Shown in Figure 2 is the detailed sector contribution of pollutants from point, mobile 326 (on-road transportation) and area sources. The point sources including power and industrial 327 plants contributed 84%, 71%, 55%, 83%, 75%, 64%, 41%, 31%, 83%, 2% and 36%, to the 328 329 total emissions of SO<sub>2</sub>, NOx, CO, TSP, PM<sub>10</sub>, PM<sub>2.5</sub>, BC, OC, CO<sub>2</sub>, NH<sub>3</sub> and VOCs, respectively. Notably the emission fractions of point sources were larger than those in other 330 331 regional inventories (Fu, 2009; Tang et al., 2012; B. Zhao et al., 2012), resulting mainly from the compiling and application of information on individual power and industrial plants from 332 varied data sources. Defined as area source, open biomass burning contributed 12%, 19%, 333 23%, 11% and 41% to the total CO, PM<sub>10</sub>, PM<sub>2.5</sub>, BC and OC, respectively. 334

The dominant contributors to SO<sub>2</sub> were power plant, iron & steel and other industry, with 335 336 the emission fractions estimated at 38%, 10% and 45%, respectively. Although the coal consumption in power sector was 3.5 times larger than that in other industry sector (cement 337 and iron & steel production excluded, JSNBS, 2013), smaller contribution to SO<sub>2</sub> emissions 338 339 were found for coal-fired power plants, implying the benefits of strict control on SO<sub>2</sub> emissions from power sector. As shown in Table S2, the application rate and average SO<sub>2</sub> 340 removal efficiency of FGD in power sector were significantly higher than those in other 341 industry, suggesting the improvement in SO<sub>2</sub> abatement for industrial coal combustion other 342 343 than power plants would be an effective measure to further reduce the emissions at present.

Power sector was the largest source for NO<sub>X</sub>, contributing 41% to the total emissions, 344 while the share of coal consumption of the sector reached 65% (JSNBS, 2013). It thus implied 345 the tightened controls from implementation of new emission standard (GB13223-2011) and 346 347 improved use of SCR/SNCR on power plants since 2011 compared to other sectors. Compiled from unit level, the average NOx removal efficiency of SCR/SNCR was calculated at 37% for 348 Jiangsu's power plants in 2012 (Table S2), while Tian et al. (2013) estimated the values for 349 350 SCR and SNCR at 70% and 25%, respectively, indicating the differences in assessment of emission controls for power sector between the provincial and national emission inventories 351 352 with varied data sources. Transportation (including on-road and non-road) was estimated to be

the second largest sector for  $NO_X$  emissions, with the share to the total emissions calculated at 24%. Without specific control measures, cement and other industry were estimated to account for 7% and 18% of total NOx emissions.

CO was mainly generated from the manufacturing processes in iron & steel plants. The production of pig iron and crude steel in Jiangsu accounted for 9% and 10% to the national total in 2012, respectively (NBS, 2013), and was higher than other provinces in China except Hebei. Due to the intensive iron & steel industry, the contribution of the sector to the provincial total CO emissions was estimated at 35%. Residential biofuel combustion, open biomass burning and on-road transportation were also large contributors to CO with the emission fractions calculated as 24%, 12% and 11% respectively.

For particles, iron & steel and cement production were estimated to be the largest sources, 363 contributing 24% and 27% to the total emissions of PM<sub>10</sub>, and 27% and 19% to PM<sub>2.5</sub>, 364 respectively. Even with the largest coal consumption among all the sectors, the emissions 365 from power plants were relatively small (6% and 4% to the total PM<sub>10</sub> and PM<sub>2.5</sub> emissions, 366 respectively), resulting mainly from the relatively high penetrations and removal efficiencies 367 of dust collectors. Great differences existed in the sector distribution of BC and OC emissions. 368 369 Iron & steel was estimated to be the largest source of BC, while open biomass burning and biofuel burning in residential stoves dominated OC, with the shares estimated at 41% and 370 29%, respectively. Moreover, as BC exhausted from the diesel engines was demonstrated to 371 be higher than OC in previous situ measurements (He et al., 2015), BC emissions from 372 373 non-road transportation (agricultural machines, rural vehicles, ships and construction machines) was estimated more than twice larger than OC. 374

For VOCs, solvent use and other industry including oil refinery, chemical industry and 375 combustion were identified as the largest sources contributing 30% and 29% to total 376 emissions, respectively. In particular, oil refinery and chemical engineering collectively 377 accounted for 74% of the emissions of other industry. Due to lack of investigation on 378 chemical industry plants, the fraction of area sources to the emissions of other industry 379 reached 35%. Transportation and residential cooking are estimated to contribute 12% and 4% 380 to total VOCs emissions, respectively. Livestock and fertilizer use were the two dominating 381 382 sources of NH<sub>3</sub>, with the shares to total emissions estimated at 47% and 45%, respectively. 383 For industry, ammonia production was the main source accounting for half of NH<sub>3</sub> emissions.

The spatial distribution of SO<sub>2</sub>, NOx, CO, PM<sub>2.5</sub>, VOCs and NH<sub>3</sub> emissions were at a 384 resolution of 3×3km were illustrated in Figure S3 in the supplement (Zhou and Zhao, 2016). 385 Outstandingly high emissions of SO<sub>2</sub>, NOx, PM<sub>2.5</sub> and VOC indicated the existence of large 386 industrial plants, particularly in Suzhou, Nanjing and Wuxi along with the Yangtze River. For 387 CO and NOx, large emissions were distributed along the road net in the province, reflecting 388 389 the important contribution of on-road transportation. Unlike other pollutants, high NH<sub>3</sub> emissions were more evenly distributed in rural areas as dominated by agricultural activities. 390

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#### **3.2** Comparisons with other studies

Figure 3 compares the emission estimations for Jiangsu between our provincial inventory 392 and previous studies including two regional inventories (Fu et al., 2013; Li et al., 2011) and 393 two national ones (MEIC; Xia et al., 2016). Note this work and Xia et al. (2016) reported the 394 395 numbers for 2012, while Fu et al. (2013), Li et al. (2011) and MEIC were for 2010. As the emissions from open biomass burning were not included in other inventories except Fu et al. 396 (2013) and this work, two values labeled as A and B were provided for our provincial 397 inventory indicating the emissions with and without biomass open burning, respectively. 398 399 While provincial economy and energy data were generally applied in all the national/regional inventories, information of individual large emitters were incorporated as well in MEIC, Fu et 400 al. (2013) and Li et al. (2011). For example, the emissions of big plants for power generation, 401 iron & steel and cement production in Jiangsu were partially investigated in Fu et al. (2013) 402 403 and Li et al. (2011). For MEIC, large fraction of emissions from power generation sector was calculated plant by plant with relatively good data availability, while emissions from other 404 industrial sectors were basically calculated at regional average and spatially allocated as area 405 sources. The results in Fu et al. (2013) were generally smaller than those in other two 406 inventories for 2010. 407

Attributed mainly to the improved use of FGD, the total SO<sub>2</sub> emissions were estimated to 408 decline from 2010 to 2012 for the whole country (Xia et al., 2016) and typical city in Jiangsu 409 (Zhao et al., 2015). It was reasonable to some extent that the SO<sub>2</sub> emissions in Jiangsu 410 411 estimated in this work for 2012 was less than the 2010 results of Li et al. (2011) and MEIC.

412 Our estimation was 15% lower than the result for Jiangsu extracted from the national inventory by Xia et al. (2016), due mainly to the discrepancies in the penetration and SO<sub>2</sub> 413 removal efficiency of FGD applied in the two inventories. Such information was obtained at 414 provincial or national average level by Xia et al. (2016), in contrast to the provincial 415 inventory based on investigation at plant level. For example, Xia et al. (2016) assumed that 416 the penetration rates of wet and dry FGD technologies in coal-fired power sector were 83% 417 418 and 5% in 2012, with the removal efficiencies estimated at 80% and 40%, respectively, and that there was not any SO<sub>2</sub> control in the remaining 11% of installed capacity at all. According 419 420 to our plant-based investigation, the controls in Jiangsu were clearly enhanced, as shown in Table S2. As a result, SO<sub>2</sub> emissions from power sector was calculated at 430.0 Gg for 421 Jiangsu 2012 in this work, 42% lower than those in Xia et al. (2016). The result for 2012 in 422 our provincial inventory, however, is very close to the estimation by MEIC for 2010 (437.4 423 Gg), even though the coal consumption of power generation increased 29% for the period 424 425 2010-2012 (JSNBS, 2013). Besides the uncertainty in emission estimation from varied data sources of the two inventories, the improved use of FGD in the sector could be an important 426 reason for the restrained emissions. Similar fact was found for Nanjing, the capital city of 427 Jiangsu, that the SO<sub>2</sub> emissions of power generation calculated at city level kept stable along 428 with a 25% growth of coal consumption from 2010 to 2012 (Zhao et al., 2015). 429

NOx emissions in our provincial inventory was slightly higher than those of Li et al. 430 (2011) and clearly lower than the two national inventories. The major difference between the 431 432 provincial inventory and MEIC was from industry, attributed probably to the application of varied emission factors. With different methods and data sources for certain sectors, the NOx 433 emissions from industry were calculated at 388.1 and 566.2 Gg respectively by this work and 434 Xia et al. (2016). For on-road transportation, the emission factors were estimated using 435 CORPERT in this work, while they were obtained from limited domestic measurements in 436 Xia et al. (2016). That was also the most important reason for the discrepancies in CO 437 emission estimation between the two studies. For 2010, the NO<sub>X</sub> emissions estimated by Fu et 438 al. (2013) was 18% and 36% lower than those by Li et al. (2011) and MEIC, resulting mainly 439 from the higher application rate and removal efficiency of SCR/SNCR technologies for power 440 441 sector used in Fu et al. (2013).

442 The PM<sub>2.5</sub> and PM<sub>10</sub> emissions in the provincial inventory were estimated to be 6% and 23% higher than those of Xia et al. (2016), and the sector contributions were notably different 443 in the two inventories. For example, industry was estimated to contribute 77% and 80% of 444  $PM_{2.5}$  and  $PM_{10}$  in the provincial inventory, much larger than the fractions at 45% and 52% by 445 Xia et al. (2016), respectively. In this work, the PM<sub>2.5</sub> and PM<sub>10</sub> emissions from cement 446 production were calculated at 181 and 384 Gg, i.e., 2.5 and 2.0 times to those in Xia et al. 447 448 (2016), and the analogue numbers for iron & steel production were 134 and 263 Gg, and 1.8 and 1.7 times, respectively. The discrepancies resulted mainly from the inconsistent 449 450 penetration rates and removal efficiencies of dust collectors determined at national level and from on-site survey at provincial level. Taking cement as an example, all the plants were 451 assumed to be installed with dust collectors, and the national average removal efficiency at 452 99.3% was applied in Xia et al. (2016), clearly larger than that from plant-by-plant survey as 453 shown in Table S2. Note that the particle emissions in the provincial inventory were estimated 454 455 higher than those in national ones including MEIC and Xia et al. (2016), while the gaseous pollutant emissions were lower except for NH<sub>3</sub> and CO<sub>2</sub>. Compared to the emissions for 2010 456 estimated by other studies, the PM<sub>2.5</sub> and PM<sub>10</sub> in our provincial inventory were 58% and 56% 457 458 larger than Fu et al. (2013) (biomass open burning included), and 24% and 25% larger than Li et al. (2011) (biomass open burning excluded), respectively, beyond the growth rate of 20% 459 for coal consumption during 2010-2012 (NBS, 2011; 2013). 460

The NH<sub>3</sub> emissions of Fu et al. (2013) and Li et al. (2011) were close to each other, while 461 462 MEIC was only half of them for 2010. Using the results for 2006 from Huang et al. (2012), MEIC made a very low estimation in NH<sub>3</sub> emissions from livestock. The NH<sub>3</sub> emissions for 463 2012 in this work was calculated 11% and 22% larger than the results for 2010 by Fu et al. 464 (2013) and Li et al. (2011), respectively. According to the provincial statistics, the total 465 numbers of livestock and poultry increased 6% and 10% from 2010 to 2012 in Jiangsu 466 (JSNBS, 2013). The growth of activity levels was expected to result in enhanced emissions, as 467 very little progress was achieved for NH<sub>3</sub> control for these years. 468

#### 469 **3.3 Analysis of spatial distribution of emissions from given sectors**

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To further explore the discrepancies in emission estimation and spatial distribution from

471 varied data and emission allocation methods, comparisons between MEIC and our provincial inventory were conducted for pollutants from typical sources, including  $SO_2$  from power 472 plants, NO<sub>X</sub> from transportation, and PM<sub>2.5</sub> from industry. The estimates in this work were 473 reallocated into the 0.25°×0.25° grids, consistent with the spatial resolution of MEIC, and the 474 correlation coefficients for emissions in all the grids can be calculated, as shown in Figure 4. 475 Due mainly to the relatively transparent and easily available information of power plants, 476 477 good consistency was found for SO<sub>2</sub> emissions from power sector in the two inventories, with the correlation coefficient calculated at 0.7 (Figure 4a). Even though the fundamental 478 479 information of power plants in China is more accessible than other industry sources, mismatches still exist in different data sources. For example, some emission hotspots in our 480 provincial inventory were not totally identical with those in MEIC in Suzhou, Nantong and 481 Nanjing. In contrast to plant-by-plant investigation, the data from existing statistics at national 482 level could not fully track the actual changes in the emitters, e.g., operation of new-built units, 483 484 shutting down the small ones, or relocation of individual plants. In MEIC, moreover, the SO<sub>2</sub> emissions in several grids were estimated extremely small (less than 1 Mg), indicating that 485 part of emissions from power sector was still allocated as area sources based on density of 486 487 GDP or population. In contrast, all the plants were identified as point sources in the provincial inventory, based on the thorough investigation on individual sources. 488

For NO<sub>X</sub> from transportation, the correlation coefficient was calculated at 0.8, indicating 489 an even better consistency than SO<sub>2</sub> from power plants between the two inventories (Figure 490 491 4b). Although the difference in total emissions was small between our provincial inventory (682 Gg) and MEIC (722 Gg), the estimation of MEIC was notably higher than our result for 492 northern Jiangsu including Yancheng, Huai'an and Suqian, implying the impacts from 493 different ways for emission allocation. In this work, emissions from on-road vehicles were 494 495 calculated and allocated based on road net that incorporates the information of transportation flow by road grade for each city. For non-road sources, large fraction of emissions was 496 allocated based on the GDP density incorporated with land-use type. In national emission 497 inventories, however, the emissions were first calculated at provincial level, and then 498 downscaled at certain horizontal resolution. Despite of the discrepancies, it could be indicated 499 500 by the relatively high spatial correlation between the two inventories that using GDP as proxy for emission allocation would be acceptable when detailed information on road net and transportation flow was unavailable, since vehicles were largely concentrated in downtown with the intensive economic activity.

For PM<sub>2.5</sub> from industry, the correlation coefficient was calculated at 0.335, significantly 504 lower than those mentioned above, indicating larger discrepancy in spatial distribution of 505 industrial emissions between provincial and national inventories compared to power and 506 507 transportation sectors. As shown in Figure 4c, the emission hotspots in the provincial inventory are highly consistent with the locations of large industrial PM2.5 emitters (more than 508 509 10 Gg) estimated in this work, while the emission in MEIC were more distributed in developed cities (e.g., Suzhou) with high density of population or economy. Along with fast 510 urbanization, super industrial sources have gradually been relocated to the rural and suburban 511 areas, and the spatial correlation between industrial emissions and population could thus be 512 weakened. In our provincial inventory, most industrial enterprises were identified as point 513 514 sources, with the key parameters including geographic location, activity level and removal efficiency of dust collector investigated and corrected at plant level. In MEIC, the emissions 515 were calculated using parameters at regional average level and allocated as area sources 516 517 according to densities of population and/or economic activity. Without detailed information for individual sources, it might lead to errors in emission estimation and spatial distribution at 518 regional or local scale. According to the survey at plant level, for example, only 20% of the 519 lime factories were installed dust collectors in Jiangsu 2012, much lower than the value 520 521 (roughly 90%) assumed in national inventories. As a result, the PM<sub>2.5</sub> emissions from industry were calculated at 570 Gg in our provincial inventory, 78% higher than those of MEIC. 522

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### 4 ASSESSMENT OF THE PROVINCIAL EMISSION INVENTORY

#### 525 4.1 Evaluation of spatial distribution of NO<sub>X</sub> emissions with satellite observation

Tropospheric NO<sub>2</sub> vertical column density (VCD) retrieved from Ozone Monitoring Instrument (OMI) by the Royal Netherlands Meteorological Institute (Boersma et al., 2011) was employed to test the spatial distribution of NOx emissions in MEIC and this work. Tropospheric NO<sub>2</sub> over China in this product is consistent with NO<sub>2</sub> data from ground-based

measurements with multi-axis DOAS (R<sup>2</sup>=0.96; Lin et al., 2014). Since clouds reduce the 530 accuracy of satellite measurements, only pixels with cloud fraction  $\leq 0.2$  have been analyzed. 531 NO<sub>2</sub> VCDs in summer were used due to the short lifetime of NO<sub>2</sub> in atmosphere at high 532 temperature and the difficulty in accumulation for primary emissions with strong air 533 convection. In addition, the summer prevailing wind for Jiangsu was generally from southeast 534 where Shanghai and Zhejiang Province are located (see Figure S1 for the locations of the 535 three regions). Total NO<sub>X</sub> emissions of Jiangsu were estimated to be 65% and 282% larger 536 than those of Zhejiang and Shanghai in MEIC, indicating the local sources played an 537 538 important role in the air pollution formation for the province (Cheng et al., 2011). As Mijling et al. (2013) illustrated satellite observations could be used to evaluate the primary emissions 539 for regions where NO<sub>2</sub> VCDs were mainly affected by local emissions, it was thus feasible to 540 apply the OMI NO<sub>2</sub> VCDs in Jiangsu to assess its NOx emissions. 541

NO<sub>2</sub> VCDs in July 2010 and 2012 with original spatial resolution of 0.125°×0.125° were 542 543 used for comparisons with the emissions in MEIC and our provincial inventory, respectively. To be consistent with MEIC, the emissions in our provincial inventory and the NO<sub>2</sub> VCDs 544 from OMI were first upscaled to  $0.25^{\circ} \times 0.25^{\circ}$  for the purpose of visualization and correlation 545 analysis. As can be seen in Figure 5a and 5b, clear reduction in summer NO<sub>2</sub> VCDs was 546 found in southern Jiangsu from 2010 to 2012, indicating the benefits of efforts on  $NO_X$ 547 abatement since 2011. The NO<sub>2</sub> VCDs in the area along the Yangtze River were notably 548 higher than that in other regions, attributed possibly to the substantial emissions from vessels 549 550 and small captive power plants of the chemical and refinery industrial parks along the river without stringent controls as big power plants. Shown in Figure 5c and 5d are the spatial 551 distributions of Jiangsu's NO<sub>X</sub> emissions in MEIC and our provincial inventory, respectively, 552

and the emission hotspots were generally consistent between the two inventories. Figure 5e and 5f shows the linear regression results between NO<sub>2</sub> VCDs and NOx emissions in MEIC and the provincial inventory, respectively. The correlation coefficients between VCDs and emissions were separately provided for all the grids and grids in different emission intervals, i.e., top 50%, 50%-75%, and last 25%.

The correlation coefficient between  $NO_2$  VCDs and NOx emissions from the provincial inventory was 0.534, close to that between  $NO_2$  VCDs and MEIC at 0.531. The result 560 indicated that there was no significant difference in spatial distribution of emissions between the national and provincial inventories at the relatively low horizontal resolution. However, 561 great discrepancies existed when the correlation analysis was conducted for grids in different 562 emission intervals. As shown in Figure 5e, the correlation coefficients between VCDs and 563 MEIC emissions were calculated at 0.24 and 0.34, respectively, for the top 50% (20 grids with 564 emissions ranged 32-121 Gg) and last 25% of gridded emissions (161 grids with emissions 565 ranged 0-12 Gg). For our provincial emission inventory, the correlation coefficients were 566 estimated slightly higher at 0.28 and 0.38, respectively, for the top 50% (18 grids with 567 emissions ranged from 30-75 Gg) and last 25% of gridded emissions (176 grids with 568 emissions ranged 0-12 Gg). Moreover, the coefficient between NO<sub>2</sub> VCDs and gridded 569 emissions for the 50% -75% interval in provincial inventory was 0.26, while negative value 570 (-0.07) was calculated for MEIC, indicating that the emission estimation for areas with small 571 and medium sources in the provincial inventory was more consistent with satellite observation. 572 573 To better quantify the emissions at local scale, the results revealed the practical significance of careful investigation on individual small industrial sources that were usually identified as area 574 sources due to lack of detailed information in national or regional inventories. 575

The comparisons between spatial distribution of VCDs and emissions should be interpreted cautiously, particularly for regions with relatively low values, as the noise to signal ratio in OMI NO<sub>2</sub> increases with decreased VCDs. Moreover, although summer data were applied to mitigate the effects of long lifetime of NO<sub>2</sub> on pollution plums transport and chemical reaction, non-linear relationship still exists between emissions and VCDs. More comparisons between NO<sub>2</sub> from satellite observation and CTM are thus recommended when improved characterization of NO<sub>2</sub> vertical distribution is available for the region.

#### 583 **4.2 Evaluation of multi-scale inventories with CMAQ**

As mentioned in Section 2.5, anthropogenic emission inventories at provincial, regional and national scales were applied respectively to explore the impacts of emission input on the performance of city-scale air quality simulation using CMAQ. With the original horizontal resolutions at  $0.25 \times 0.25$  and  $4 \times 4$  km, respectively, national (MEIC) and YRD regional inventories (Fu et al., 2013) were reallocated into the D3 of CCTM modeling at  $3 \times 3$ km 589 (Figure 1), consistent with our provincial inventory. The vertical and temporal distributions of 590 the two inventories were assumed to be same as those of our provincial inventory, as indicated in Section 2.4. For the simulation with provincial inventory, emissions inside and outside 591 Jiangsu in D3 were taken from the provincial inventory developed in this study and from the 592 reallocated YRD regional inventory by Fu et al. (2013), respectively. Given the very limited 593 594 data accessible on air quality for the province in 2012, the available observation data at nine 595 state-operated monitoring sites in Nanjing including six urban sites (Xuanwumen (XWM), Shanxilu (SXL), Zhonghuamen (ZHM), Ruijinlu (RJL), Caochangmen (CCM), and 596 597 Maigaoqiao (MGQ)), and three suburban sites (Pukou (PKS), Xianlin (XLS) and Olympic sports center (OSC)) were applied to evaluate the simulation performances with different 598 599 emission inputs (see locations of the nine sites in Figure 1).

The hourly ground concentrations from observation and CMAQ simulation for October 600 2012, expressed as the averages for all the monitoring sites in Nanjing, were compared and 601 illustrated in Figure S4 in the supplement for SO<sub>2</sub>, NO<sub>2</sub>, ozone and PM<sub>2.5</sub>. Even though all the 602 simulations could well reproduce the time variation of each species, discrepancies existed 603 when different anthropogenic emission inventory were used. The simulated SO<sub>2</sub> and NO<sub>2</sub> 604 concentrations using the provincial inventory were notably lower than those with other two 605 inventories. In addition, simulations failed to catch the high PM2.5 and O3 concentrations for 606 some heavy polluted episodes. For example, the average PM<sub>2.5</sub> ground concentration during 607 October 21<sup>st</sup>-23<sup>rd</sup> and 28<sup>th</sup>-29<sup>th</sup> were simulated at 40 and 31 µg/m<sup>3</sup>, 1.4 and 3.2 times lower 608 than observations. Two statistical indicators, normalized mean bias (NMB) and normalized 609 mean error (NME), were applied to evaluate the model performance (Zhang et al., 2006), as 610 summarized in Table 2. Among all the species, the best simulation performance was found for 611 NO<sub>2</sub>, with the NMBs ranged within  $\pm 30\%$  for different emission. In general, simulations 612 using the provincial emission inventory performed notably better than those with national and 613 regional ones for all the species, and the NMEs and NMBs were calculated at 47%, 33%, 614 44%%, 52% and -10%, -14%, -25%, -43% for SO<sub>2</sub>, NO<sub>2</sub>, O<sub>3</sub>, PM<sub>2.5</sub> respectively, comparable 615 to previous U.S. studies (Zhang et al., 2006; Wang et al., 2009). The result thus partly 616 617 confirmed that air quality simulation at local or regional scales would be largely improved 618 when detailed information on individual sources could be incorporated in the emission inventory. Improved model prediction for pollution event was also achieved with provincial 619 inventory. Taking the pollution episode between 8pm on 18<sup>th</sup> and 5pm on 19<sup>th</sup> October as an 620 example, the observed  $PM_{2.5}$  concentration at CCM site kept increasing from 8pm on  $18^{th}$  with 621 the highest value reaching 114 µg/m<sup>3</sup> at 2am on 19<sup>th</sup>. Simulated PM<sub>2.5</sub> concentrations with 622 provincial, regional and national inventory at that time were 90, 53 and 45  $\mu$ g/m<sup>3</sup>, respectively. 623 The correlation coefficients between observation and simulations with the three inventories 624 were calculated as 0.66, 0.44 and 0.30 during the episode, respectively, indicating the 625 626 advantage of provincial inventory in the pollution event simulation.

Compared to primary pollutants SO<sub>2</sub> and NO<sub>2</sub>, species with strong secondary formation 627 process (PM<sub>2.5</sub> and O<sub>3</sub> in this case) were clearly under predicted by CMAQ, no matter which 628 inventory was applied. Lack of dust emissions in inventories might be one reason for 629 underestimation of PM2.5. Moreover, as the significant composition of PM2.5 in eastern China 630 (Yang et al., 2011), secondary organic and inorganic aerosols might be under predicted 631 attribute to the weakness of chemical mechanisms in the version of CMAQ including the 632 transformation of sulfate and the formation of secondary organic aerosols (Wang et al., 2009). 633 634 For ozone simulation, better performance was found at suburban sites than urban sites, and the lower simulated concentrations than observation could possibly come from the 635 underestimation in precursor VOCs emissions. For example, the NMB was estimated at -26% 636 for PKS, where many chemical industrial plants were located nearby. In addition, the 637 638 uncertainty of NO<sub>X</sub> emission estimation might also contribute to the discrepancy. As indicated by the data from available continuous emission monitoring systems on Jiangsu's power plants, 639 the NO<sub>X</sub> emission factors of power sector applied in current inventory might be overestimated 640 for 2012 (unpublished). 641

The total emissions of  $SO_2$  and NOx in Jiangsu estimated by Fu et al. (2013) was 1126 and 1257 Gg, i.e., 9% and 22% lower than the results of our provincial inventory, respectively. Using the regional inventory by Fu et al. (2013), much higher concentrations of  $SO_2$  and  $NO_2$ were simulated than observation at the monitoring sites, with the NMBs calculated at 74% and 30%, respectively. Even with larger emissions, in contrast, the NMBs for simulation with

our provincial inventory were -10% and -14%, indicating lower simulated concentrations than 647 observation. This result implies the possible impacts of spatial distributions of emissions on 648 air quality modeling. In regional inventory, densities of population and economic activities 649 were generally applied to allocate large fraction of emissions, leading to particularly high 650 emissions in urban areas, as the economy and population was generally centralized in 651 downtown. Given all the monitoring sites in Nanjing are located in urban or suburban areas, 652 653 air quality simulation using regional emission inventory was thus liable to over predict the ground concentrations at those sites. 654

655 Spatial distributions of the monthly mean for simulated concentrations using national, regional and provincial inventories were plotted for SO<sub>2</sub>, NO<sub>2</sub>, PM<sub>2.5</sub> and O<sub>3</sub> in Figure 6, and 656 the differences between simulations with varied emissions were shown in Figure 7. As the 657 MEIC emissions were greatly averaged when they were directly downscaled from 658  $0.25^{\circ} \times 0.25^{\circ}$  to  $3 \times 3$  km, the simulated high concentrations using MEIC were broadly 659 660 distributed in the modeling domain and commonly located in downtown (as indicated in Figure S1), with the large emitters hardly identified (Figure 6a). For results using the regional 661 and provincial inventories, there were several grids with notably outstanding simulated 662 663 concentrations, indicating the existence of large emitters (Figure 6b and 6c), and differences with the simulation using MEIC were induced (Figure 7a and 7b). As shown in Figure 7c, 664 moreover, clear differences were also detected between simulations using regional and 665 provincial inventories, implying the discrepancy in allocations of high emissions between the 666 667 two inventories. With the locations of large power, iron & steel, and cement plants incorporated, the YRD regional emission inventory by Fu et al. (2013) allocated a large 668 fraction of emissions from industries as area sources. In contrast, the emissions from most 669 power and industrial plants were calculated based on source-specific information and were 670 precisely allocated in the provincial inventory, avoiding particularly the emission 671 overestimation in downtown. In addition, the simulated NO2 and O3 concentrations for 672 regions outside Jiangsu (i.e., Shanghai and part of Zhejiang and Anhui) using the provincial 673 inventory were 22% lower and 40% higher in average than those using the regional one, 674 respectively (Figure 7c), although same emissions (Fu et al., 2013) were used outside Jiangsu 675 676 for the two inventories. The result indicates that both local and regional emissions were 677 important for the simulations of the secondary pollutant like O<sub>3</sub>. Total VOCs emissions for Jiangsu were estimated at 1740 Gg in MEIC, slight higher than those in the regional (1659 Gg) 678 and provincial inventory (1617 Gg), while the simulated monthly mean  $O_3$  concentrations 679 within Jiangsu using MEIC were notably lower than those using the latter two emissions. 680 Categorized by CB05, differences in chemical compositions of VOCs could be found in the 681 three inventories, attributed to the varied source contributions to VOC emissions and to the 682 683 different source profiles used in emission speciation (Zhao et al., in preparation). For example, the emissions of ethane (ETH) and ethanol (ETHA) with relatively high ozone formation 684 potential in the provincial inventory were 44% and 209% higher than those in MEIC, 685 respectively. Therefore, the total emission amount, spatial distribution of emissions, and the 686 chemical compositions of precursors are all crucial to the accuracy of ozone simulations, and 687 further analysis on those factors are suggested. 688

## 689 **4.3 Improved SO<sub>2</sub> simulation under special meteorological condition**

To further examine the simulated concentration response to varied emission inputs at 690 local scale, the simulated SO<sub>2</sub> concentrations using national, regional and provincial 691 inventories were compared with observation at three monitoring sites in downtown Nanjing 692 (XWH, RJL and ZHM) for 6<sup>th</sup> -14<sup>th</sup> October 2012, as illustrated in Figure 8. The simulated 693 concentrations using our provincial inventory were the most consistent with observation, 694 while apparent overestimation was found for the simulations using national or regional 695 inventories. At 8 pm October 9 (local time), in particular, the SO<sub>2</sub> concentrations were 696 observed at 33, 12, and 14  $\mu$ g/m<sup>3</sup> at XWH, RJL and ZHM sites, respectively, while the 697 simulated concentrations were respectively simulated at 205, 246 and 228 µg/m<sup>3</sup> using MEIC. 698 i.e., 5-19 times higher than the observation. The analogue numbers with regional inventory by 699 Fu et al. (2013) even reached 550, 477 and  $476\mu g/m^3$ , i.e., 15-38 times higher than 700 observation. Although concentrations remained over predicted, better performance was 701 achieved when the improved provincial inventory was used, implying its advantage prior to 702 national or regional ones in the high-resolution air quality modeling. The discrepancies in 703 emissions and the simulated meteorological condition including wind velocity and height of 704 705 planetary boundary layer (PBL) were inspected to understand the very high concentrations

706 from simulation.

Figure S5 in the supplement shows the simulated wind fileds from 2 pm on 9<sup>th</sup> to 5am on 707 10<sup>th</sup> October. From 2 pm on 9<sup>th</sup> October 9, WS10 in downtown Nanjing started to decline 708 gradually and reached the minimum of 0.22 m/s at 8 pm, simply not beneficial for the 709 710 horizontal convection of atmosphere. In addition, the monthly average of PBL height at XWH was simulated at 485 m at day and 140 m at night in October. From 5pm on 9<sup>th</sup> to 10am on 711 10<sup>th</sup>, however, the average PBL height decreased to 39m, with the minimum simulated at 32 712 m at 11pm on 9<sup>th</sup>, seriously restricting the vertical diffusion of pollutants. Under the 713 meteorological condition that horizontal and vertical movement of atmosphere were limited, 714 primary pollutants from large emitters would be easily accumulated over time, possibly 715 leading to high concentrations for areas close to the emission sources. In this case, therefore, 716 the simulated SO<sub>2</sub> concentrations would be largely influenced by the emissions from local and 717 nearby sources, as discussed below. 718

719 The total SO<sub>2</sub> emissions in Nanjing were estimated at 141 Gg in the provincial inventory, 2% and 7% higher than those of national and regional ones respectively. Without big 720 difference in total amount, large discrepancies in spatial distribution existed in those 721 inventories, particularly at high horizontal resolution as shown in Figure 9. Downscaled from 722 0.25°×0.25° to 3×3 km, grids with similar emissions were clustered for MEIC and spatial 723 variations in emissions could hardly be detected other than the hotspot in downtown (Figure 724 9c). Notably lower emissions in downtown Nanjing were found in our provincial inventory 725 than the regional one (Figure 9a and 9b). In addition, the grid with maximum SO<sub>2</sub> emissions 726 (15.7 Gg) in the provincial inventory was in the northwestern of Nanjing where a super power 727 plant was located, labeled as the black star (point A) in Figure 9. As a comparison, the grid 728 with the maximum SO<sub>2</sub> emissions in the regional inventory labeled as the black triangle (point 729 B) in Figure 9 was adjacent to the location of A, and its emissions were calculated to be only 730 28% of the result in the provincial one. Given no other super emitters located nearby, we 731 expected that the discrepancy resulted mainly from the varied emission estimation and 732 positioning for the same power plant in the two inventories. According to on-site survey, only 733 one unit out of two for the plant was installed with FGD, and the SO<sub>2</sub> emissions of the plant 734 735 was estimated at 13.6 Gg, accounting for 87% of the total emissions in the grid. In contrast, a 736 higher FGD installation rate at 85% was uniformly assumed for the power sector in the regional inventory by Fu et al. (2013), leading to possible underestimation in emissions for 737 the plant. The comparison implied that detailed information compiled from individual plants 738 were crucial for estimation and spatial distribution of pollutant emissions at local scales. SO<sub>2</sub> 739 emissions at given monitoring sites were extracted from the gridded national, regional and 740 provincial inventories and summarized in Table 3. As most large SO<sub>2</sub> emitters were located in 741 suburban or rural areas, relatively small emissions were found in the provincial inventory for 742 downtown Nanjing where the monitoring sites were located. As large fractions of emissions 743 were allocated by the density of economy and population, however, the SO<sub>2</sub> emissions in the 744 regional emission inventory were estimated at 1791, 1721, 1918, and 1635 Mg at XWH, RJL, 745 ZHM and CCM sites, which were 4-5 times higher than those of our provincial inventory. In 746 MEIC, the emissions at XWH, RJL, ZHM and MGQ sites were identically estimated at 1298 747 Mg from the downscaling approach, and they were also much larger than those in the 748 provincial inventory. Given the unfavorable condition of pollutant transport for 9<sup>th</sup>-10<sup>th</sup> 749 October, the overestimation in local emissions around the downtown monitoring sites in the 750 national and regional inventories thus lead to terribly high simulated concentrations, while the 751 752 results using the provincial one were much more reasonable. The comparison confirms the benefits of precise quantification of emissions on local air quality modeling. 753

Despite of significant improvement, overestimation in SO<sub>2</sub> concentrations still existed in 754 the simulation with our provincial inventory, attributed possibly to the error of meteorology 755 756 modeling. Here we selected XWH site as an example to conduct the back trajectory analysis using HYSPLIT model (http://ready.arl.noaa.gov/HYSPLIT.php). Shown in Figure S6 in the 757 supplement, the air mass reaching the site at 50 m altitude came mainly from northeast at 758 11pm on 9<sup>th</sup> October. However, it was inconsistent with WRF modeling results, which 759 indicated the dominating wind was from northwest (150°-170°) at that time. As mentioned 760 above, a big power plant was located northwest to XWH (Figure 9a), and the site might partly 761 be influenced by the large emissions from the plant and enhanced concentrations would then 762 be obtained when northwest wind was simulated. 763

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#### 765 **5 SENSITIVITY ANALYSIS OF PM2.5 AND OZONE FORMATION IN NANJING**

Using the improved provincial inventory, the sensitivity of PM<sub>2.5</sub> and O<sub>3</sub> concentrations 766 to emissions were further analyzed through the Brute-Force method (BFM, Dunker et al., 767 1996). For PM<sub>2.5</sub>, four simulation scenarios were designed: Scenario B (the base case) in 768 which the emissions from all types of sources are included; and Scenarios S1, S2, and S3 in 769 which the pollutant emissions of power, iron & steel and cement plants in D3 were zero out, 770 respectively. The changes in simulated PM<sub>2.5</sub> ground concentrations in S1, S2, and S3 771 compared to those in base case for October 2012 are illustrated in Figure S7 in the supplement. 772 773 The average concentration increments in urban area of Nanjing caused by power, iron &steel, and cement plants were calculated respectively at 3, 11 and 7  $\mu$ g/m<sup>3</sup>, accounting for 6%, 26% 774 and 16% of the monthly mean PM<sub>2.5</sub> concentrations, and the maximum increments within the 775 domain reached 10, 72, and 25  $\mu$ g/m<sup>3</sup>, respectively. Given the tiny emission fraction of power 776 sector for primary PM<sub>2.5</sub> (4% in Jiangsu Province) and the small share in the ground layers 777 (15% for 1<sup>st</sup> plus 2<sup>nd</sup> vertical layers), its contribution to PM<sub>2.5</sub> ground concentration was 778 notably lower than those of iron & steel and cement. Summarized in Table 4 are the 779 contributions of power, iron & steel, cement sectors to monthly mean PM<sub>2.5</sub> at the nine 780 monitoring sites in Nanjing, October 2012. The contributions of the three sectors to average 781 PM<sub>2.5</sub> concentrations at all the sites were estimated at 8%, 13% and 9%, respectively. Since all 782 the sites are located in the urban or suburban areas, the estimated  $\text{PM}_{2.5}$  contributions at 783 individual site varied slightly to each other. Besides monthly mean, the hourly maximum and 784 785 minimum contributions are provided as well in Table 4. The largest hourly contributions from power, iron & steel and cement plants to PM2.5 concentrations were 65% at PKS, 89% at 786 MGQ and 58% at both CCM and OCS, respectively. The contributions became negative at 2 787 pm on  $26^{th}$  October with average  $PM_{2.5}$  concentration of all the sites observed as 164  $\mu g/m^3$ 788 and simulated as 151  $\mu$ g/m<sup>3</sup> under the base case, i.e., increased particle concentrations were 789 simulated at the moment when emissions from given sector was turned off. The result 790 indicated, on one hand, the relatively high uncertainty of simulation for heavy PM pollution 791 episode dominated by regional transport. On the other hand, as the simulated increments were 792 mostly from the elevated sulfate  $(SO_4^{2^-})$ , nitrate  $(NO_3^-)$  and ammonium  $(NH_4^+)$ , the negative 793

contributions might also be caused by the complex chemical mechanisms of  $SO_2$  and NOxreactions with  $NH_3$  under the  $NH_3$ -rich condition in YRD (Wang et al., 2011). Intensive real-time observation on chemical composition of  $PM_{2.5}$  is thus recommended to better capture and analyze the processes.

798 To explore the sensitivity of O<sub>3</sub> formation to its precursor emissions, two scenarios were 799 set besides the base case: the VOC-abatement scenario with 50% reduction of all anthropogenic VOCs emissions in D3 (Scenario P1), and the NOx-abatement scenario with 800 50% reduction of NOx in D3 (Scenario P2). Shown in Figure S8 in the supplement were the 801 average O<sub>3</sub> concentration changes from October 6<sup>th</sup> to October 15<sup>th</sup>. The simulated O<sub>3</sub> average 802 concentration from 11am to 5pm declined significantly under Scenario P1, with the maximum 803 reduction at 54  $\mu$ g/m<sup>3</sup> (Figure S8a) within D3, and changes in the downwind region were 804 greater than the upwind. In contrast, the concentrations were generally enhanced under P2 805 with the maximum increment at 19  $\mu$ g/m<sup>3</sup>. Similar variation pattern was found for 1-hour 806 807 maximum  $O_3$  concentration in Figure S8b and monthly mean concentration in Figure S8c. The 1-hour maximum O<sub>3</sub> concentrations in most downwind area of Shanghai and southern 808 Jiangsu decreased 10-20  $\mu$ g/m<sup>3</sup> with the reduction in VOCs emissions, and the concentrations 809 would generally increase 10-30  $\mu$ g/m<sup>3</sup> with the NO<sub>X</sub> reduction. The similar patterns of O<sub>3</sub> 810 concentration variation in urban and downwind areas in D3 under P1 or P2 scenario indicated 811 that the O<sub>3</sub> formation was VOCs-limited in all those areas in southern Jiangsu. Therefore, 812 VOC emission abatement could be effective for O<sub>3</sub> pollution control in southern Jiangsu, 813 814 while NO<sub>X</sub> abatement might aggravate the pollution in autumn.

The temporal changes in the simulated O<sub>3</sub> concentrations between the P1/P2 and base 815 scenarios at urban (XWH, SXL, RJL, MGO, ZHM and CCM) and suburban sites (XLS, OCS 816 and PKS) in Nanjing were illustrated for October 6th-16th in Figure 10. Simulated O3 817 concentrations at urban and suburban sites were generally decreased once the VOC emissions 818 declined and the maximum hourly reduction reached 77.3 and 49.6  $\mu$ g/m<sup>3</sup>, respectively. In 819 contrast, concentrations were elevated with the NOx emission reduction and the maximum 820 growth were 78.7 and 15.4  $\mu$ g/m<sup>3</sup>, respectively. Under VOCs-limited regime, in general, the 821 O<sub>3</sub> concentration would be little sensitive to the change of NOx unless it was rich enough to 822 823 turn to the negative correlation with O<sub>3</sub>. Therefore, due to the intensive NOx emissions from 824 on-road transportation in downtown Nanjing, the variations of O<sub>3</sub> concentrations in P2 scenario at urban monitoring sites were notably greater than those at suburban sites. It should 825 be acknowledged that uncertainty existed in the sensitivity analysis, as the brute-force method 826 ignores the nonlinearity of  $O_3$  response to the changes of precursor emissions. With 827 techniques other than brute-force, e.g., ozone source apportionment (OSAT, Li et al., 2012) or 828 tagged species method (Zhang et al., 2011), the nonlinearity mechanism of O<sub>3</sub> formation 829 830 could be taken into account. Comparisons between results with different methods are further recommended for the region. 831

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#### **6 CONCLUSIONS**

834 The bottom-up approach was applied to develop a high-resolution emission inventory for 835 Jiangsu, with substantial detailed information on local sources incorporated. Key parameters relevant to emission estimation were examined and revised plant by plant including 836 geographic position, energy consumption and removal efficiencies of APCD from various 837 data sources and on-site survey on large emitters. Compared to previous studies, the emission 838 839 fractions of point sources were significantly enhanced, except for NH<sub>3</sub> and OC, which are mainly from agriculture activities and biomass open burning, respectively. As lower removal 840 efficiencies of dust collectors were obtained from local investigation, larger primary PM 841 emissions were estimated in our provincial inventory than other national or regional ones. 842 Moreover, clear discrepancy existed in spatial distribution of industrial PM<sub>2.5</sub> emissions 843 between this work and the national inventory MEIC, indicating the uncertainty of emission 844 downscaling from coarse horizontal resolution. The spatial distribution of NO<sub>X</sub> emissions in 845 the provincial inventory was more consistent with summer tropospheric NO<sub>2</sub> VCDs observed 846 847 from OMI than that of MEIC, particularly for the emissions from small and medium industrial plants. WRF-CMAQ air quality modeling system was set up to evaluate the reliability and 848 improvement of the provincial emission inventory by comparing the simulation performance 849 with that using a national (MEIC) and regional one. Among the three inventories, the best 850 agreement was found between the observation and simulation with the provincial one for all 851 852 the concerned species at the nine monitoring sites in Nanjing, while underestimation existed 853 particularly for PM<sub>2.5</sub> and O<sub>3</sub> that were strongly influenced by secondary formation. Under the unfavorable meteorology of pollutant transport, extremely high SO<sub>2</sub> concentrations were 854 simulated using the regional and national inventories, while the results using provincial one 855 were much closer to the observation. The results indicated the advantage of improved 856 estimation and spatial distribution of emissions on air quality modeling at regional or local 857 scales. The improved provincial inventory was further applied for the sensitivity analysis on 858 PM<sub>2.5</sub> and O<sub>3</sub> formation using BFM simulation, and provided the preliminary results for the 859 policy making of regional haze and photochemical pollution control in southern Jiangsu. 860

Limitations remained in the current inventory. Attributed to unavailability of detailed 861 information, the weekly and hourly variation of emissions could not be fully tracked for each 862 city, and the vertical distribution of emissions by sector, depending mainly on the stack height, 863 temperature and flow of flue gas, could not be accurately determined. Instead, empirical data 864 from previous work (Li et al., 2011; L. Wang et al., 2010; 2014) had to be applied, which 865 866 might be inconsistent with the reality. In addition, some sources were not included in the current inventory, e.g., fugitive dust emissions from construction sites and road transportation, 867 resulting from lack of reliable data and thereby potentially large uncertainties in the emission 868 estimation at provincial level. Finally, the effects of source profiles on air quality modeling, 869 e.g., the speciation of primary PM<sub>2.5</sub> and VOC, were not fully evaluated. As they are 870 important on the formation of O<sub>3</sub> and secondary particles, more investigations on typical 871 sources and evaluation through chemistry transport modeling are suggested in the future. 872

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#### DATA ACCESS

875The gridded emissions of air pollutants for Jiangsu province 2012 at a horizontal876resolutionof $3 \times 3$ kmcanbedownloadedat877<a href="http://www.airqualitynju.com/En/Data/List/Data%20download">http://www.airqualitynju.com/En/Data/List/Data%20download</a>.

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#### **FIGURE CAPTIONS**

- Figure 1. Modeling domain and locations of 43 meteorological and 9 air quality
  monitoring sites.
- 1121 Figure 2. Source contributions to total estimated emissions by species in Jiangsu 2012.
- 1122 Colors indicate the sectors and the shade patterns indicate the source type (point, mobile 1123 and area).
- Figure 3. Comparison between the emissions estimated in this work and other studies for Jiangsu. A and B indicate the emissions without and with open biomass burning, respectively.
- 1127 Figure 4. Spatial distributions (a-c) and linear regression (d) of certain pollutant
- emissions from typical sources estimated in our provincial inventory and MEIC. (a)  $SO_2$ from power plant; (b) NOx from transportation; (c)  $PM_{2.5}$  from industry. The black
- 1129 from power plant; (b) NOx from transportation; (c)  $PM_{2.5}$  from industry. The black 1130 points indicate the locations of plants with  $PM_{2.5}$  emissions larger than 10 Gg estimated
- 1131 in this work.
- 1132 Figure 5. Spatial distributions of NO<sub>2</sub> VCDs observed by OMI in Jiangsu in 2010 (a) and
- 1133 2012 (b), and those of Jiangsu's NOx emissions from MEIC (c) and our provincial
- 1134 inventory (d) at the resolution of 0.25°×0.25°. Linear regressions of gridded VCDs and
- 1135 emissions are illustrated for MEIC (e) and our provincial inventory (f).
- 1136 Figure 6. Spatial distributions of the monthly means of simulated SO<sub>2</sub>, NO<sub>2</sub>, PM<sub>2.5</sub> and
- 1137  $O_3$  concentrations using the national, regional and provincial emission inventories for
- 1138 **October 2012.**

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- 1139 Figure 7. The differences in the monthly means of simulated SO<sub>2</sub>, NO<sub>2</sub>, PM<sub>2.5</sub> and O<sub>3</sub>
- 1140 concentrations using different emission inventories: (a) Provincial-national; (b)
- 1141 Regional-national; and (c) provincial-regional. The black star A and triangle B referred
- 1142 to the locations of grids with maximum SO<sub>2</sub> emissions in provincial and regional
- 1143 inventory.
- Figure 8. The observed and simulated hourly SO<sub>2</sub> concentrations (expressed with 3-h interval) using the national, regional, and provincial inventories at XWH (a), RJL (b),
- 1146 **and ZHM (c) from October 6<sup>th</sup> to 13<sup>th</sup>, 2012.**
- Figure 9. Spatial distributions of the estimated  $SO_2$  emissions in Nanjing at the resolution of  $3\times3km$  in the provincial (a), regional (b) and national emission inventory (c). The black dots indicate the locations of given air quality monitoring sites. The black star (point A) indicates the location of the power plant with the largest  $SO_2$  emissions estimated in the provincial inventory. The black triangle (point B) indicates the speculated position of the same power plant in the regional inventory.
- Figure 10. The changes in simulated O<sub>3</sub> concentrations at urban (XWH, SXL, RJL, MGQ, ZHM, and CCM) and suburban air quality monitoring sites (XLS, OSC, and
- 1155 PKS) in Nanjing under P1 (a) and P2 (b) scenarios compared to the base case for 6<sup>th</sup>-16<sup>th</sup>
- 1156 October 2012.

## TABLES

City	$SO_2$	NOx	СО	TSP	$PM_{10}$	PM <sub>2.5</sub>	BC	OC	$CO_2$	NH <sub>3</sub>	VOCs
Southern											
Nanjing	140.6	210.5	742.9	157.3	97.3	75.8	5.8	7.1	97.1	64.2	221.9
Suzhou	220.8	286.7	1383.3	380.6	194.9	137.3	9.8	11.0	184.4	144.8	297.8
Wuxi	107.7	180.0	545.5	271.3	126.9	77.2	3.4	9.6	84.5	24.2	167.2
Changzhou	104.0	107.7	734.6	413.3	194.6	126.2	3.6	7.5	65.2	33.4	104.2
Zhenjiang	44.0	89.6	231.6	143.3	66.8	40.9	1.9	6.6	53.0	38.1	55.4
Central											
Nantong	76.8	130.1	443.4	244.9	108.2	66.0	4.8	9.3	51.6	181.7	162.2
Yangzhou	55.3	93.9	310.7	54.1	39.9	31.1	2.6	8.5	52.1	83.1	82.5
Taizhou	56.6	70.5	315.1	207.6	98.2	52.3	2.7	8.8	31.4	100.7	76.9
Northen											
Xuzhou	138.9	232.5	805.5	223.2	146.5	101.9	6.1	19.1	139.2	49.2	161.2
Huai'an	52.2	61.5	590.0	97.4	64.5	49.5	3.7	12.0	32.5	195.9	78.6
Yancheng	49.9	78.5	639.7	203.8	111.8	72.0	5.6	16.1	28.2	101.0	185.0
Lianyungang	60.6	61.0	571.1	131.0	89.0	68.6	3.9	11.9	28.3	25.1	78.0
Suqian	34.1	39.7	366.8	77.8	55.5	42.3	3.2	11.0	12.9	59.1	76.4
Total	1141.5	1642.2	7680.0	2605.6	1394.0	941.1	57.0	138.5	860.5	1100.3	1747.3

Table 1. The estimated annual emissions by city for Jiangsu 2012 (unit: million metric tons (Tg) for  $CO_2$  and kilo metric tons (Gg) for other species).

Table 2. Model performance statistics for concentrations of given species from observation and CMAQ simulation using the national, regional and provincial inventories at the nine air quality monitoring sites in Nanjing for October 2012.

Pollutants —	National	(MEIC)	Regional (F	u et al., 2013)	Provincial (this work)		
Tonutants	NMB	NME	NMB	NME	NMB	NME	
$SO_2$	48.45%	76.53%	74.08%	95.04%	-9.97%	47.49%	
$NO_2$	21.02%	35.99%	29.84%	43.45%	-14.47%	33.22%	
O <sub>3</sub>	-65.55%	68.57%	-53.93%	61.59%	-24.98%	44.29%	
PM <sub>2.5</sub>	-51.63%	55.32%	-49.16%	56.00%	-43.64%	51.81%	

Note: NMB and NME were calculated using following equations (*P* and *O* indicate the results from modeling prediction and observation, respectively):

$$NMB = \frac{\sum_{i=1}^{n} (P_i - O_i)}{\sum_{i=1}^{n} O_i} \times 100\%; \quad NME = \frac{\sum_{i=1}^{n} |P_i - O_i|}{\sum_{i=1}^{n} O_i} \times 100\%$$

SO <sub>2</sub> /Mg	National (MEIC)	Regional (Fu et al., 2013)	Provincial (this work)
XWH	1297.5	1790.9	411.0
RJL	1297.5	1720.8	303.1
ZHM	1297.5	1918.3	396.2
CCM	928.6	1635.3	371.8
MGQ	1297.5	478.6	395.0

Table 3. The annual SO<sub>2</sub> emissions estimated in three inventories at given air quality monitoring sites in downtown Nanjing.

Monitoring site	Contri. of power (%)			Contri. of iron & steel (%)			Contri. of cement (%)		
	Max.	Min.	Ave.	Max.	Min.	Ave.	Max.	Min.	Ave.
XWH/SXL	52	-6	8	82	-2	14	43	-1	8
RJL	42	-6	7	79	0	11	44	0	9
ZHM	44	-5	7	71	-3	12	48	0	9
CCM	32	-8	7	83	-4	13	58	-5	8
MGQ	58	-5	9	89	-2	8	35	-5	7
XLS	35	-5	7	67	-3	10	57	0	10
PKS	65	-6	7	77	-1	11	45	-1	7
OCS	33	-7	7	87	0	12	58	0	8

Table 4. The monthly mean contributions of power, iron & steel and cement plants to the PM<sub>2.5</sub> concentrations at the air quality monitoring sites in Nanjing in October 2012.

Note: Max., min., ave. and contri. indicate maximum, minimum, average and contribution, respectively.























Figure 6





## Figure 8















