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2 3	Satellite observations of atmospheric methane
3 4	and their value for quantifying methane emissions
5 6 7	Daniel J. Jacob (djacob@fas.harvard.edu) <sup>1</sup> , Alexander J. Turner <sup>1</sup> , Joannes D. Maasakkers <sup>1</sup> , Jianxiong Sheng <sup>1</sup> , Kang Sun <sup>2</sup> , Xiong Liu <sup>2</sup> , Kelly Chance <sup>2</sup> , Ilse Aben <sup>3</sup> , Jason McKeever <sup>4</sup> , Christian Frankenberg <sup>5</sup>
8	
9	<sup>1</sup> School of Engineering and Applied Sciences, Harvard University, Cambridge, Massachusetts
10	USA
11	<sup>2</sup> Smithsonian Astrophysical Observatory, Cambridge, Massachusetts USA
12	<sup>3</sup> SRON Netherlands Institute for Space Research, Utrecht, Netherlands
13	<sup>4</sup> GHGSat, Inc., Montreal, Canada
14	<sup>5</sup> California Institute of Technology, Pasadena, California USA
15	
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19	Abstract
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21	Methane is a greenhouse gas emitted by a range of natural and anthropogenic sources.
22	Atmospheric methane has been measured continuously from space since 2003, and new
23	instruments are planned for launch in the near future that will greatly expand the capabilities of
24	space-based observations. We review the value of current, future, and proposed satellite
25	observations to better quantify and understand methane emissions through inverse analyses, from
26	the global scale down to the scale of point sources and in combination with suborbital (surface
27 28	and aircraft) data. Current global observations from GOSAT are of high quality but have sparse
28 29	spatial coverage. They can quantify methane emissions on a regional scale (100-1000 km) through multi-year averaging. TROPOMI to be launched in 2017 is expected to quantify daily
29 30	emissions on the regional scale and will also effectively detect large point sources. A different
30 31	observing strategy attempted by GHGSat (launched in June 2016) is to target limited viewing
32	domains with very fine pixel resolution in order to detect a wide range of methane point sources.
33	Geostationary observation of methane, still in the proposal stage, will have unique capability for
33 34	mapping source regions with high resolution, detecting transient "super-emitter" point sources,
35	and resolving diurnal variation of emissions from sources such as wetlands and manure.
36	Exploiting these rapidly expanding satellite measurement capabilities to quantify methane
30 37	emissions requires a parallel effort to construct high-quality spatially and sectorally resolved
38	emissions requires a parameter error to construct ingin-quarty spatially and sectorary resolved emission inventories. Partnership between top-down inverse analyses of atmospheric data and
39	bottom-up construction of emission inventories is crucial to better understand methane emission
40	processes and from there to inform climate policy.
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### 43 1. Introduction

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45 Methane is a greenhouse gas emitted by anthropogenic sources including livestock, 46 oil/gas systems, landfills, coal mines, wastewater management, and rice cultivation. Wetlands are 47 the dominant natural source. The atmospheric concentration of methane has risen from 720 to 48 1800 ppb since pre-industrial times (Hartmann et al., 2013). The resulting radiative forcing on an 49 emission basis is 0.97 W m<sup>-2</sup>, compared to 1.68 W m<sup>-2</sup> for CO<sub>2</sub> (Myhre et al., 2013). The present-50 day global emission of methane is well-known to be  $550 \pm 60$  Tg a<sup>-1</sup>, as inferred from mass 51 balance with the global methane sink from oxidation by OH radicals (Prather et al., 2012). 52 However, the contributions from different source sectors and source regions are highly uncertain 53 (Dlugokencky et al., 2011; Kirschke et al., 2013). Emission inventories used for climate policy rely on "bottom-up" estimates of activity rates and emission factors for individual source 54 55 processes. "Top-down" information from observations of atmospheric methane is often at odds 56 with these estimates and differences need to be reconciled (Brandt et al., 2014). Satellite 57 observations of atmospheric composition have emerged over the past decade as a promising 58 resource to infer emissions of various gases (Streets et al., 2013). Here we review present, near-59 future, and proposed satellite observations of atmospheric methane and assess their value for 60 quantifying emissions, from regional scales down to the scale of individual point sources.

61 The United Nations Framework Convention on Climate Change (UNFCCC) requires 62 individual countries to report their annual anthropogenic greenhouse gas emissions following 63 bottom-up inventory guidelines from the International Panel on Climate Change (IPCC, 2006). 64 As an example, Figure 1 shows the US anthropogenic methane emission inventory for 2012 compiled by the Environmental Protection Agency (EPA, 2016) and reported to the UNFCCC. 65 The inventory uses advanced IPCC Tier 2/3 methods (IPCC, 2006) with detailed sectoral 66 67 information. However, atmospheric observations from surface sites and aircraft suggest that US emissions are underestimated, and that sources from natural gas and livestock are likely 68 69 responsible (Miller et al., 2013; Brandt et al., 2014). Not included in Figure 1 are wetland 70 emissions, estimated to be  $8.5 \pm 5.5$  Tg a<sup>-1</sup> for the contiguous US (Melton et al., 2013). The global distribution of wetland emissions is extremely uncertain (Bloom et al., 2016) and 71 72 quantifying these emissions through atmospheric observations is of critical importance.

73 Targeted atmospheric measurements of methane can quantify emissions on small scales 74 (point source, urban area, oil/gas basin) by measuring the ratio of methane to a co-emitted 75 species whose emission is known (Wennberg et al., 2012) or by using a simple mass balance 76 approach (Karion et al., 2013, 2015; Peischl et al., 2013, 2016; Conley et al., 2016). Quantifying 77 emissions on larger scales, with many contributing sources, requires a more general approach 78 where an ensemble of atmospheric observations is fit to a 2-D field of emissions by inversion of 79 a 3-D chemical transport model (CTM) that relates emissions to atmospheric concentrations. 80 This inversion is usually done by Bayesian optimization accounting for errors in the CTM, in the 81 observations, and in the prior knowledge expressed by the bottom-up inventory. We obtain from 82 the inversion a statistically optimized emission field, and differences with the bottom-up 83 inventory point to areas where better understanding of processes is needed. A large number of 84 inverse studies have used surface and aircraft observations to quantify methane emissions on 85 regional to global scales (Bergamaschi et al., 2005; Bousquet et al., 2011; Miller et al., 2013; Bruhwiler et al., 2014). 86

87 Satellites provide global and dense data that are particularly well suited for inverse
88 analyses. Measurement of methane from space began with the IMG thermal infrared instrument

89 in 1996-1997 (Clerbaux et al., 2003). Measurement of total methane columns by solar backscatter began with SCIAMACHY in 2003-2012 (Frankenberg et al., 2006) and continues to 90 91 the present with GOSAT launched in 2009 (Kuze et al., 2016). Satellite measurements of 92 atmospheric methane have been used to detect emission hotspots (Worden et al., 2012; Kort et 93 al., 2014; Marais et al., 2014; Buchwitz et al., 2016) and to estimate emission trends (Schneising 94 et al., 2014; Turner et al., 2016). They have been used in global inverse analyses to estimate 95 emissions on regional scales (Bergamaschi et al., 2007, 2009, 2013; Monteil et al., 2013; Cressot 96 et al., 2014; Wecht et al., 2014a; Alexe et al., 2015; Turner et al, 2015). The TROPOMI 97 instrument scheduled for launch in 2017 will vastly expand the capability to observe methane 98 from space by providing complete daily global coverage with  $7 \times 7$  km<sup>2</sup> resolution (Veefkind et 99 al., 2012; Butz et al., 2012). The GHGSat instrument launched on a microsatellite in June 2016 by the Canadian company GHGSat, Inc. has  $50 \times 50 \text{ m}^2$  pixel resolution over targeted viewing 100 101 domains for detection of point sources. GOSAT-2, a successor of GOSAT featuring higher 102 precision, is scheduled for launch in 2018. The MERLIN lidar instrument (Kiemle et al., 2011, 103 2014) is scheduled for launch in 2020. Additional instruments are in the planned or proposed 104 stage. As the demand for global monitoring of methane emissions grows, it is timely to review 105 the capabilities and limitations of present and future satellite observations.

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## 107 2. Observing methane from space

### 108 2.1 Instruments and retrievals

109 Table 1 list the principal instruments (past, current, planned, proposed) measuring methane from space. Atmospheric methane is detectable by its absorption of radiation in the 110 111 shortwave infrared (SWIR) at 1.65 and 2.3 µm, and in the thermal infrared (TIR) around 8 µm. 112 Figure 2 shows different satellite instrument configurations. SWIR instruments measure solar 113 radiation backscattered by the Earth and its atmosphere. The MERLIN lidar instrument will emit 114 its own SWIR radiation and detect methane in the back-scattered laser signal. TIR instruments 115 measure blackbody terrestrial radiation absorbed and re-emitted by the atmosphere. They can 116 operate in the nadir as shown in Fig. 2, measuring upwelling radiation, or in the limb by 117 measuring slantwise through the atmosphere. Solar occultation instruments (not shown in Fig. 2) 118 stare at the Sun through the atmosphere as the orbiting satellite experiences sunrises and sunsets. 119 Limb and solar occultation instruments detect methane in the stratosphere and upper troposphere. 120 but not at lower altitudes because of cloud interferences. Thus they do not allow direct inference 121 of methane emissions. They are not listed in Table 1 but are referenced in Sect. 3.2 for measuring 122 stratospheric methane.

123 All instruments launched to date have been in polar sun-synchronous low Earth orbit (LEO), circling the globe at fixed local times of day. They detect methane in the nadir along the 124 125 orbit track, and most also observe off-nadir (at a cross-track angle) for additional coverage. Unlike other instruments, GHGSat focuses not on global coverage but on specific targets with 126 127 very fine pixel resolution and limited viewing domains. Geostationary instruments still at the 128 proposal stage would allow a combination of high spatial and temporal resolution over 129 continental-scale domains, and could observe either in the SWIR or in the TIR following the 130 configurations of Fig. 2.

Figure 3 shows typical vertical sensitivities for solar back-scatter instruments in the
 SWIR and for thermal emission instruments in the TIR. Instrument sensitivity extending down to
 the surface is desirable to infer methane emissions. This is achieved in the SWIR, where the

134 atmosphere is nearly transparent unless clouds are present (Frankenberg et al., 2005). SWIR 135 instruments measure the total atmospheric column of methane with near-uniform sensitivity in 136 the troposphere. This column measurement can be related to emissions in a manner that is not 137 directly sensitive to local vertical mixing. Measurements in the TIR require a thermal difference 138 between the atmosphere and the surface ( $T_1$  vs.  $T_o$  in Fig. 2) and this limits their sensitivity to the 139 middle and upper troposphere. Combination of SWIR and TIR could provide resolution of the 140 lower troposphere but this has not been implemented operationally so far.

141 Figure 4 shows the atmospheric optical depths of different gases in the SWIR, 142 highlighting the methane absorption bands at 1.65  $\mu$ m and 2.3  $\mu$ m. The data have been smoothed 143 to 0.1 nm spectral resolution as is typical of solar backscatter instruments; lidar instruments such 144 as MERLIN can operate with 0.1 pm resolution (Table 1). All solar backscatter instruments so 145 far have operated at 1.65 µm but TROPOMI will operate at 2.3 µm. GOSAT-2 will operate at 146 both. SCIAMACHY was intended to operate at 2.3 µm and some retrievals were done in that 147 band (Gloudemans et al., 2008) but an ice layer on the detector decreased performance and the 148 operational retrievals were done at 1.65 µm instead. The 2.3 µm band is stronger, as shown in 149 Fig. 3, and also allows retrieval of carbon monoxide (CO) which is of interest as an air pollutant and tracer of transport (Worden et al., 2010). However, solar radiation is 3 times weaker at 2.3 150 151 than at 1.65  $\mu$ m. The 1.65  $\mu$ m band has the advantage that CO<sub>2</sub> can also be retrieved, which 152 greatly facilitates the methane retrieval as described below.

153 Methane retrievals at either 1.65 or 2.3  $\mu$ m fit the reflected solar spectrum measured by 154 the satellite to a modeled spectrum in order to derive the total vertical column density  $\Omega$ 155 [molecules cm<sup>-2</sup>] of methane, taking into account the viewing geometry and often including a 156 prior estimate to regularize the retrieval (Frankenberg et al., 2006; Schepers et al., 2012): 157

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 $\hat{\boldsymbol{\Omega}} = \boldsymbol{\Omega}_{\boldsymbol{A}} + \mathbf{a}^{T} (\boldsymbol{\omega} - \boldsymbol{\omega}_{\boldsymbol{A}}) \tag{1}$ 

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160 Here  $\hat{\Omega}$  is the retrieved vertical column density,  $\Omega_A$  is the prior best estimate assumed in the retrieval,  $\omega_A$  is a vector of prior estimates of partial columns [molecules cm<sup>-2</sup>] at successive 161 altitudes summing up to  $\Omega_4$ , and  $\omega$  is the vector of true values for these partial columns. The 162 163 column averaging kernel vector **a** expresses the sensitivity of the measurement as a function of 164 altitude (Fig. 3), and is the reduced expression of an averaging kernel matrix that describes the 165 ability of the retrieval to fit not only  $\boldsymbol{\omega}$  but other atmospheric and spectroscopic variables as well 166 (Frankenberg et al., 2005; Schepers et al., 2012). The elements of **a** have values near unity through the depth of the troposphere at either 1.65 or 2.3 µm (Figure 3), meaning that SWIR 167 168 instruments are sensitive to the full column of methane and that the prior estimates do not 169 contribute significantly to the retrieved columns.

170 The viewing geometry of the satellite measurement is defined by the solar zenith angle  $\theta$ 171 and the satellite viewing angle  $\theta_v$  (Fig. 2). This defines a geometric air mass factor (cos<sup>-1</sup> $\theta$  + cos<sup>-1</sup>  ${}^{1}\theta_{\nu}$ ) for the slant column path of the solar radiation propagating through the atmosphere and 172 reflected to the satellite. Division by this air mass factor converts the slant column obtained by 173 174 fitting the backscattered spectrum to the actual vertical column, assuming that the incident and 175 reflected solar beams sample the same methane concentrations. This assumption is adequate for 176 pixel sizes larger than 1 km but breaks down when observing methane plumes at smaller pixel 177 sizes, as discussed in Sect. 4.

178 The methane vertical column density  $\Omega$  is sensitive to changes in surface pressure from 179 topography and weather, affecting the total amount of air in the column. This dependence can be 180 removed by converting  $\Omega$  to a dry-air column-average mole fraction  $X = \Omega/\Omega_a$  (also called 181 column-average mixing ratio) where  $\Omega_a$  is the vertical column density of dry air as determined 182 from the local surface pressure and humidity. *X* is a preferred measure of the methane 183 concentration because it is insensitive to changes in pressure and humidity.

184 Solar backscatter measurements in the SWIR require a reflective surface. This largely 185 limits the measurements to land, although some ocean data can be obtained from specular 186 reflection at the ocean surface (sunglint). Clouds affect the retrieval by reflecting solar radiation 187 back to space and preventing detection of the air below the cloud. Even partly cloudy scenes are 188 problematic because the highly reflective cloudy fraction contributes disproportionately to the 189 total backscattered radiation from the pixel. A major advantage of finer pixel resolution is thus to 190 increase the probability of clear-sky scenes (Remer et al., 2012). The GOSAT retrievals exclude 191 cloudy scenes by using a simultaneous retrieval of the oxygen column in the 0.76 µm A-band. A 192 low oxygen column indicates the presence of cloud. For SCIAMACHY this is impractical 193 because the pixel resolution is so coarse  $(30 \times 60 \text{ km}^2)$  that a clear-sky requirement would exclude 194 too much data; instead the retrieval allows for partly cloudy scenes (Frankenberg et al., 2006). 195 The fraction of successful retrievals is 17% for GOSAT (Parker et al. (2011) retrieval) and 9% 196 for SCIAMACHY (Frankenberg et al. (2011) retrieval), largely limited by cloud cover. 197 TROPOMI retrievals will exclude cloudy scenes by using cloud observations from the VIIRS 198 solar backscatter instrument flying in formation and viewing the same scenes at fine pixel 199 resolution (Veefkind et al., 2012).

200 Two different methods have been used for methane retrievals at 1.65 µm (SCIAMACHY, 201 GOSAT): the CO<sub>2</sub> proxy method (Frankenberg et al., 2005) and the full-physics method (Butz et 202 al., 2010). In the full-physics method, the scattering properties of the surface and the atmosphere 203 are fitted as part of the retrieval, using additional fitting variables to describe the scattering. In 204 the CO<sub>2</sub> proxy method, the spectral fit for methane ignores atmospheric scattering, and the 205 resulting methane column is subsequently corrected for scattering by using a separate retrieval of 206  $CO_2$  (also ignoring atmospheric scattering) in its nearby 1.6 µm absorption band as shown in Fig. 207 4. This assumes that atmospheric scattering affects the light paths for methane and CO<sub>2</sub> retrievals 208 in the same way (since the wavelengths are nearby and absorption strengths are similar). It also 209 assumes that the dry-air column mole fraction of  $CO_2$  is known (it is far less variable than for 210 methane). The dry-air column mole fraction of methane is then obtained by scaling to the  $CO_2$ 211 retrieval:

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213  $X_{CH4} = \left(\frac{\Omega_{CH4}}{\Omega_{CO2}}\right) X_{CO2}$ (2)

214 215

216 217 Here  $X_{CO2}$  is taken from independent information such as the CarbonTracker data assimilation product (Peters et al., 2007) or a multi-model ensemble (Parker et al., 2015). An advantage of the CO<sub>2</sub> proxy method is that it corrects for instrument biases affecting both methane and CO<sub>2</sub>. A

218 drawback is that errors in *X*<sub>CO2</sub> propagate to *X*<sub>CH4</sub>. Comparisons of retrievals using the full-

219 physics and CO<sub>2</sub> proxy methods show that they are of comparable quality (Buchwitz et al., 2015)

but the  $CO_2$  proxy method is much more computationally efficient (Schepers et al., 2012). The

221  $CO_2$  proxy method can be problematic for methane plumes with joint enhancements of  $CO_2$ ,

such as from megacities or open fires, that would not be resolved in the independent information

for  $X_{CO2}$ . Uncertainties in  $X_{CO2}$  can be circumvented by using the  $X_{CH4}/X_{CO2}$  ratio as observed variable in a joint inversion of methane and CO<sub>2</sub> surface fluxes (Fraser et al., 2014; Pandey et al.,

225 2015).

226 Figure 5 shows the global and US distributions of methane ( $X_{CH4}$ ) observed by 227 SCIAMACHY (2003-2004) and GOSAT (2010-2013). We focus on 2003-2004 for 228 SCIAMACHY because of radiation-induced detector degradation after 2005 (Kleipool et al., 229 2007). Global methane concentrations increased by 30 ppb from 2003-2004 to 2010-2013 230 (Hartmann et al., 2013), and the colorscale in Fig. 5 is correspondingly shifted to facilitate 231 pattern comparisons. Observations are mainly restricted to land but GOSAT also observes 232 sunglint over the oceans. SCIAMACHY provides full global mapping, while GOSAT observes 233 only at selected pixel locations leaving gaps between pixels. Low values of  $X_{CH4}$  over elevated 234 terrain (Greenland, Himalayas, US Intermountain West) reflect a larger relative contribution of 235 the stratosphere (with lower methane) to the total atmospheric column. SCIAMACHY has 236 positive biases over the Sahara and at high latitudes (Sect. 2.2).

237 The SCIAMACHY and GOSAT global distributions show commonality in patterns. 238 Values are highest in East Asia, consistent with the Emissions Database for Global Atmospheric 239 Research (EDGAR) inventory (European Commission, 2011), where the dominant contributions 240 are from rice cultivation, livestock, and coal mining. Values are also high over central Africa and 241 northern South America because of wetlands and livestock. Over the US, both SCIAMACHY 242 and GOSAT feature high values in the South-Central US (oil/gas, livestock) and hotspots in the 243 Central Valley of California and in eastern North Carolina (livestock). There are also high values 244 in the Midwest that are less consistent between the two sensors and could be due to a 245 combination of oil/gas, livestock, and coal mining sources.

246 TROPOMI will observe methane in the 2.3 µm band in order to also retrieve CO. 247 Retrieval at 2.3 µm does not allow the CO<sub>2</sub> proxy method because no neighboring CO<sub>2</sub> band is 248 available (Fig. 4). Retrievals of methane from TROPOMI will therefore rely on the full-physics 249 method. The operational retrieval for TROPOMI is described by Butz et al. (2012), who find 250 that the precision error is almost always better than 1% and that over 90% of cloud-free scenes can be successfully retrieved. Observations of methane-CO correlations from joint 2.3 um 251 252 retrievals may provide useful additional information for inferring methane sources (Xiao et al., 253 2004; Wang et al., 2009; Worden et al., 2013).

254 Observations of methane in the TIR are available from the IMG, AIRS, TES, IASI, and 255 CrIS instruments (Table 1). These instruments observe the temperature-dependent blackbody 256 radiation emitted by the Earth and its atmosphere. Atmospheric methane absorbs upwelling radiation in a number of bands around 8 um and re-emits it at a colder temperature. The methane 257 258 concentration is retrieved from the temperature contrast. TIR instruments have little sensitivity to 259 the lower troposphere because of insufficient temperature contrast with the surface, as illustrated 260 in Fig. 3. This makes them less useful for detecting local/regional methane emissions. On the 261 other hand, they observe both day and night, over land and ocean, and provide concurrent 262 retrievals of other trace gases such as CO and ammonia that can be correlated with methane. 263 Worden et al. (2013) showed that TIR measurements can be particularly effective at quantifying 264 methane emissions from open fires, because aerosol interference is negligible in the TIR and concurrent retrieval of CO allows inference of the methane/CO emission factor. 265

Multispectral retrievals in the SWIR and TIR combine the advantages of both approaches and provide some vertical profile information, as demonstrated by Herbin et al. (2013) using the combination of SWIR and TIR data from GOSAT, and by Worden et al. (2015) using the 269 combination of SWIR from GOSAT and TIR from TES. This could enable separation between

- the local/regional methane enhancement near the surface and the higher-altitude methane
- background (Bousserez et al., 2015). Such multi-spectral retrievals are not yet produced
- operationally because of computational requirements and because of limitations in the quality
   and calibration of spectra across different detectors (Hervé Herbin, personal communication).
- The MEDI IN lider instrument scheduled for lound in 2020 (Kiemle et al. 2011) w

The MERLIN lidar instrument scheduled for launch in 2020 (Kiemle et al., 2011) will measure methane in the pencil of 1.65 µm radiation emitted by a laser along the satellite track and reflected directly back to the satellite. It will observe the full vertical column of methane during day and night, over both land and oceans, and will have unique capability for observing high latitudes during the dark season. By measuring only the direct reflected radiation it will not be affected by scattering errors, unlike the passive SWIR instruments, and cloud interferences will be minimized. Kiemle et al. (2014) show that monthly and spatial averaging of the

281 MERLIN data on a  $50 \times 50 \text{ km}^2$  grid should provide global mapping of methane concentrations 282 with 1-2% precision.

Other instruments in Table 1 are presently at the proposal stage. All use solar backscatter.
CarbonSat (Buchwitz et al., 2013) is designed to measure methane globally with an
unprecedented combination of fine pixel resolution (2 × 2 km<sup>2</sup>) and high precision (0.4%). It was
a finalist for the ESA's Earth Explorer Program in 2015. GEO-CAPE (Fishman et al., 2012),
GeoFTS (Xi et al., 2015), geoCARB (Polonsky et al., 2014), and G3E (Butz et al., 2015) are
geostationary instruments focused on mapping the continental scale with 2-5 km resolution.
focus. Geostationary capabilities are discussed further in Sect. 4.

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## 291 **2.2 Error characterization**

292 Satellite observations require careful calibration and error characterization for use in 293 inverse analyses. Errors may arise from light collection by the instrument, dark current, 294 spectroscopic data, the radiative transfer model, cloud contamination, and other factors. Kuze et 295 al. (2016) give a detailed description of GOSAT instrument errors as informed by 5 years of 296 operation. Errors may be random, such as from photon count statistics, or systematic, such as 297 from inaccurate spectroscopic data. They may increase with time due to instrument degradation.

298 Random error (precision) and systematic error (accuracy) have very different impacts 299 (Kulawik et al., 2016). Random error can be reduced by repeated observations and averaging. As 300 we will illustrate in Sect. 4, instrument precision can define the extent of spatial/temporal 301 averaging required for satellite observations to usefully quantify emissions. Systematic error, on 302 the other hand, is irreducible and propagates in the inversion to cause a corresponding bias in the 303 emission estimates. A uniform global bias is not problematic for methane since the global mean 304 concentration is well known from surface observations, but a spatially variable bias affects 305 source attribution by aliasing the methane enhancements relative to background. Buchwitz et al. 306 (2015) refer to this spatial variability in the bias as "relative bias". It can arise for example from 307 different surface reflectivities, aerosol interference, sloping terrain, or unresolved variability in 308  $CO_2$  columns when using the  $CO_2$  proxy method (Schepers et al., 2012; Alexe et al., 2015). 309 Buchwitz et al. (2015) estimate threshold requirements of 34 ppb single-observation precision 310 and 10 ppb relative bias for solar backscatter satellite observations to be useful in inversions of 311 methane emissions on regional scales.

Validation of satellite data requires accurate suborbital observations of methane from surface sites, aircraft, or balloons. Direct validation involves comparison of single-scene satellite retrievals to suborbital observations of that same scene. The suborbital observations must be

- 315 collocated in space and time with the satellite overpass, and they must provide a full
- 316 characterization of the column as observed by the satellite. Although direct validation is the
- 317 preferred means of validation, the requirements greatly limit the conditions under which it can be
- 318 done. Indirect validation is a complementary method that involves diagnosing the consistency
- between satellite and suborbital data when compared to a global 3-D CTM as a common
- 320 intercomparison platform (Zhang et al., 2010). It considerably increases the range of suborbital
- 321 measurements that can be used because collocation in space and time is not required. Indirect 322 validation can also be conducted formally by chemical data assimilation of the different
- validation can also be conducted formally by chemical data assimilation of the differentobservational data streams into the CTM.
- 324 The standard benchmark for direct validation of solar backscatter satellite observations is 325 the worldwide Total Carbon Column Observing Network (TCCON) (Wunch et al., 2011). 326 TCCON consists of ground-based Fourier Transform Spectrometer (FTS) instruments staring at 327 the Sun and detecting methane absorption in the direct solar radiation spectrum. This measures 328 the same dry-air column mole fraction  $X_{CH4}$  as the satellite but with much better signal-to-noise 329 and a well-defined light path. The TCCON retrieval of methane is calibrated to the World 330 Meteorological Organization (WMO) scale and has been validated by comparison to aircraft 331 profiles (Wunch et al., 2011). The single-observation precision and bias for  $X_{CH4}$  are both about 4
- 332 ppb (Buchwitz et al., 2015).
- 333 Dils et al. (2014) and Buchwitz et al. (2015) present direct validation of the different 334 operational SCIAMACHY and GOSAT retrievals using TCCON data. Relative bias is 335 determined using pairs of TCCON sites. They find a single-observation precision of 30 ppb and 336 relative bias of 4-13 ppb for SCIAMACHY in 2003-2005, good enough for inverse applications, 337 but worsening after 2005 to 50-82 ppb (precision) and 15 ppb (relative bias). For GOSAT, they report single-observation precisions of 12-13 ppb for the CO<sub>2</sub> proxy products and 15-16 ppb for 338 339 the full-physics products. Relative biases for GOSAT are 2-3 ppb for the CO<sub>2</sub> proxy products 340 and 3-8 ppb for the full-physics products. Thus the GOSAT data are of high quality for use in 341 inversions. The CO<sub>2</sub> proxy retrievals provide a much higher density of observations than the full-342 physics retrievals, so that random errors can be effectively decreased and the precision improved 343 through temporal averaging.
- TIR measurements are most sensitive to the middle/upper troposphere (Fig. 3) and
  aircraft vertical profiles provide the best resource for direct validation. Wecht et al. (2012) and
  Alvarado et al. (2015) evaluated successive versions of TES methane retrievals with data from
  the HIPPO pole-to-pole aircraft campaigns over the Pacific (Wofsy, 2011). Alvarado et al.
  (2015) report that the latest Version 6 of the TES product has a bias of 4.8 ppb. Crevoisier et al.
- (2011) find that IASI observations are consistent with aircraft observations to within 5 ppb.
  Use of satellite observations in inverse modeling studies cannot simply rely on past
  validation to quantify the instrument error. This is because the instrument calibration may drift
  with time, optics and detectors may degrade, and errors may vary depending on surface and
  atmospheric conditions. It is essential that error characterization be done for the specific
- temporal and spatial window of the inversion. Opportunities for direct validation may be sparse
- but indirect validation with the CTM to be used for the inversion is particularly effective. Such indirect validation can exploit all relevant suborbital data collected in the window to assess their
- 357 consistency with the satellite data. This has been standard practice in inversions of
- 358 SCIAMACHY and GOSAT data, and has resulted in correction factors applied to the data as a
- function of latitude (Bergamaschi et al., 2009, 2013; Fraser et al., 2013; Alexe et al., 2015;

Turner et al., 2015), water vapor (Houweling et al., 2014; Wecht et al., 2014a), or air mass factor (Cressot et al., 2014).

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### 363 **3. Inferring methane emissions from satellite data**

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### 365 **3.1 Overview of inverse methods**

367 The general approach for inferring methane emissions from observed atmospheric 368 concentrations is to use a 3-D CTM describing the sensitivity of concentrations to emissions. The 369 CTM simulates atmospheric transport on the basis of assimilated meteorological data for the 370 observation period and a 2-D field of gridded emissions. It computes concentrations as a function 371 of emissions by solving the mass continuity equation that describes the change in the 3-D 372 concentration field resulting from emissions, winds, turbulence, and chemical loss. In Eulerian 373 CTMs, the solution to the continuity equation is done on a fixed atmospheric grid. In Lagrangian 374 CTMs, often called Lagrangian Particle Dispersion Models (LPDMs), the solution is obtained by 375 tracking a collection of air particles moving with the flow. Eulerian models have the advantage of providing a complete, continuous, and mass-conserving representation of the atmosphere. 376 377 LPDMs have the advantage of being directly integrable backward in time, so that the source 378 footprint contributing to the concentrations at a particular receptor point is economically 379 computed. Eulerian models can also be integrated backward in time to derive source footprints 380 by using the model adjoint (Henze et al., 2007). LPDMs have been used extensively for inverse 381 analyses of ground and aircraft methane observations, where the limited number of receptor 382 points makes the Lagrangian approach very efficient (Miller et al., 2013; Ganesan et al., 2015; 383 Henne et al., 2016). Satellite observations involve a considerably larger number of receptor 384 points, including different altitudes contributing to the column measurement. For this reason, all 385 published inversions of satellite methane data so far have used Eulerian CTMs. A preliminary 386 study by Benmergui et al. (2015) applies an LPDM to inversion of GOSAT data.

The CTM provides the sensitivity of concentrations to emissions at previous times. By combining this information with observed concentrations we can solve for the emissions needed to explain the observations. Because of errors in measurements and in model transport, the best that can be achieved is an error-weighted statistical fit of emissions to the observations. This must account for prior knowledge of the distribution of emissions, generally from a bottom-up inventory, in order to target the fit to the most relevant emission variables and in order to achieve an optimal estimate of emissions consistent with all information at hand.

The standard method for achieving such a fit is Bayesian optimization. The emissions are assembled into a state vector  $\mathbf{x}$  (dim *n*), and the observations are assembled into an observation vector  $\mathbf{y}$  (dim *m*). Bayes' theorem gives

$$P(\mathbf{x} | \mathbf{y}) = \frac{P(\mathbf{x})P(\mathbf{y} | \mathbf{x})}{P(\mathbf{y})}$$
(3)

398

397

399 where  $P(\mathbf{x})$  and  $P(\mathbf{y})$  are the probability density functions (PDFs) of  $\mathbf{x}$  and  $\mathbf{y}$ ,  $P(\mathbf{x}|\mathbf{y})$  is the 400 conditional PDF of  $\mathbf{x}$  given  $\mathbf{y}$ , and  $P(\mathbf{y}|\mathbf{x})$  is the conditional PDF of  $\mathbf{y}$  given  $\mathbf{x}$ . We recognize here

401  $P(\mathbf{x})$  as the prior PDF of  $\mathbf{x}$  before the observations  $\mathbf{y}$  have been made,  $P(\mathbf{y}|\mathbf{x})$  as the observation

402 PDF given the true value of **x** (for which the observations were made), and  $P(\mathbf{x}|\mathbf{y})$  as the

403 posterior PDF of **x** after the observations **y** have been made. The optimal estimate of emissions is 404 defined by the maximum of  $P(\mathbf{x}|\mathbf{y})$ , which we obtain by solving  $\nabla_{\mathbf{x}} P(\mathbf{x} | \mathbf{y}) = \mathbf{0}$ .

In the absence of better information, error PDFs are often assumed to be Gaussian(Rodgers, 2000). We then have

$$P(\mathbf{x}) = \frac{1}{(2\pi)^{n/2} |\mathbf{S}_{\mathbf{A}}|^{1/2}} \exp[-\frac{1}{2} (\mathbf{x} - \mathbf{x}_{\mathbf{A}})^T \mathbf{S}_{\mathbf{A}}^{-1} (\mathbf{x} - \mathbf{x}_{\mathbf{A}})]$$
(4)

408 
$$P(\mathbf{y} | \mathbf{x}) = \frac{1}{(2\pi)^{m/2} |\mathbf{S}_0|^{1/2}} \exp[-\frac{1}{2} (\mathbf{y} - \mathbf{F}(\mathbf{x}))^T \mathbf{S}_0^{-1} (\mathbf{y} - \mathbf{F}(\mathbf{x}))]$$
(5)

409

407

410 where  $\mathbf{x}_{\mathbf{A}}$  is the prior estimate,  $\mathbf{S}_{\mathbf{A}}$  is the associated prior error covariance matrix,  $\mathbf{F}$  is the CTM 411 solving for  $\mathbf{y} = \mathbf{F}(\mathbf{x})$  and is called the forward model for the inversion, and  $\mathbf{S}_{\mathbf{0}}$  is the observational 412 error covariance matrix including contributions from measurement and CTM errors. An 413 important assumption here is that the observational error is random; any known systematic bias 414 in the measurement or the CTM must be corrected before the inversion is conducted. This 415 requires careful validation (Sect. 2.2). 416 The optimization problem  $\nabla_{\mathbf{x}} P(\mathbf{x} | \mathbf{y}) = \mathbf{0}$  is solved by minimizing the cost function  $J(\mathbf{x})$ :

417 
$$J(\mathbf{x}) = (\mathbf{x} - \mathbf{x}_{A})^{T} \mathbf{S}_{A}^{-1} (\mathbf{x} - \mathbf{x}_{A}) + (\mathbf{y} - \mathbf{F}(\mathbf{x}))^{T} \mathbf{S}_{O}^{-1} (\mathbf{y} - \mathbf{F}(\mathbf{x}))$$
(6)

418

419 where the PDFs have been converted to their logarithms and the terms independent of  $\mathbf{x}$  have

been discarded. In particular,  $P(\mathbf{y})$  in Eq. (3) is discarded since it does not depend on  $\mathbf{x}$ . The

421 minimum of J is found by differentiating Eq. (6):

- 422  $\nabla_{\mathbf{x}} J(\mathbf{x}) = 2\mathbf{S}_{\mathbf{A}}^{-1}(\mathbf{x} \mathbf{x}_{\mathbf{A}}) + 2\mathbf{K}^{T} \mathbf{S}_{\mathbf{0}}^{-1}(\mathbf{F}(\mathbf{x}) \mathbf{y}) = \mathbf{0}$ (7)
- 423

424 where  $\mathbf{K} = \nabla_{\mathbf{x}} \mathbf{F} = \partial \mathbf{y} / \partial \mathbf{x}$  is the Jacobian of  $\mathbf{F}$  and  $\mathbf{K}^{T}$  is its adjoint.

425

426 **Analytical method.** Equation (7) can be solved analytically if the relationship between emissions and atmospheric concentrations is linear, such that F(x) = Kx + c where c is a 427 428 constant. This is the case for methane if the tropospheric OH concentration field used in the 429 CTM to compute methane loss is not affected by changes in methane. Although methane and OH 430 levels are interdependent because methane is a major OH sink (Prather, 1996), the global 431 methane loading relevant for computing OH concentrations is well known (Prather et al., 2012). 432 It is therefore totally appropriate to treat OH concentrations as decoupled from methane in the 433 inversion. Analytical solution of Eq. (7) for a linear model  $\mathbf{v} = \mathbf{F}(\mathbf{x})$  (where the constant **c** can be simply subtracted from the observations) yields an optimal estimate  $\hat{\mathbf{x}}$  with Gaussian error 434 characterized by an error covariance matrix  $\hat{\mathbf{S}}$  (Rodgers, 2000): 435

$$\hat{\mathbf{x}} = \mathbf{x}_{\mathbf{A}} + \mathbf{G}(\mathbf{y} - \mathbf{K}\mathbf{x}_{\mathbf{A}}) \tag{8}$$

$$\hat{\mathbf{S}} = (\mathbf{K}^T \mathbf{S}_0^{-1} \mathbf{K} + \mathbf{S}_A^{-1})^{-1}$$
(9)

439

440 Here **G** is the gain matrix given by

441 442

$$\mathbf{G} = \mathbf{S}_{\mathbf{A}} \mathbf{K}^{T} (\mathbf{K} \mathbf{S}_{\mathbf{A}} \mathbf{K}^{T} + \mathbf{S}_{\mathbf{O}})^{-1}$$
(10)

443 The degree to which the observations constrain the state vector of emissions is diagnosed by the averaging kernel matrix  $\mathbf{A} = \partial \hat{\mathbf{x}} / \partial \mathbf{x} = \mathbf{G}\mathbf{K} = \mathbf{I}_n - \hat{\mathbf{S}}\mathbf{S}_A^{-1}$  expressing the sensitivity of the optimized 444 445 estimate to the actual emissions **x**. Here  $\mathbf{I}_n$  is the  $n \times n$  identity matrix. The observations may adequately constrain some features of the emission field and not others. The number of 446 447 independent pieces of information on the emission field provided by the observing system is given by the trace of **A** and is called the degrees of freedom for signal (DOFS = tr(A)). 448 449 Analytical solution to the inverse problem provides full error characterization of the 450 solution through  $\hat{\mathbf{S}}$  and  $\mathbf{A}$ . This is a very attractive feature, particularly for an underconstrained 451 problem where we need to understand what information the observations actually provide. 452 However, it requires explicit construction of the Jacobian matrix. With an Eulerian CTM this requires *n* individual simulations, each providing a column *j* of the Jacobian  $\partial \mathbf{y} / \partial x_j$ . With an 453 454 LPDM (or the adjoint of an Eulerian CTM), this requires *m* individual simulations tracking the backward transport from a given observation location and providing a row *i* of the Jacobian 455  $\partial y_i / \partial \mathbf{x}$ . Either way is a computational challenge when using a very large number *m* of satellite 456 457 observations to optimize a very large number *n* of emission elements with high resolution. Equations (8)-(10) further require the multiplication and inversion of large matrices of 458 459 dimensions *m* and *n*. This curse of dimensionality can be alleviated by ingesting the observations 460 sequentially as uncorrelated data packets (thus effectively reducing m) (Rodgers, 2000) and by recognizing that individual state vector elements have only a limited zone of influence on the 461 462 observations (thus effectively reducing *n* or taking advantage of sparse-matrix methods) (Bui-463 Thanh et al., 2012). When observations are ingested sequentially for successive time periods 464 with each packet used to update emissions for the corresponding period we refer to the method as

465 a Kalman filter.

There is danger in over-interpreting the posterior error covariance matrix  $\hat{\mathbf{S}}$  when the 466 number of observations is very large, as from a satellite data set, because of the implicit 467 468 assumption that observational errors are truly random and are representatively sampled over the 469 PDF. CTM errors are rarely unbiased and generally not representatively sampled. Thus  $\hat{\mathbf{S}}$  tends 470 to be an over-optimistic characterization of the error on the optimal estimate. An alternate 471 approach for error characterization is to compute an ensemble of solutions with modified prior 472 estimates, forward model, inverse methods, or error estimates (Heald et al., 2004; Henne et al., 473 2016).

474

475 **Adjoint method.** The limitation on the size of the emission state vector can be lifted by solving 476 equation (7) numerically instead of analytically. This is done by applying iteratively the adjoint

477 of the CTM, which is the model operator  $\mathbf{K}^{T}$ , to the error-weighted model-observation

478 differences  $S_0^{-1}(F(x) - y)$ . We discussed above how this backward transport provides the

479 sensitivity of concentrations to emissions at prior times, i.e., the footprint of the concentrations.

- 480 Here we apply it to determine the footprint of the errors in emissions as diagnosed by the model-
- 481 observation differences. For an Eulerian CTM the adjoint must be independently constructed
- (Henze et al., 2007), while for a LPDM it is simply obtained by transporting the air particlesbackward in time.
- 484 The iterative procedure in the adjoint method is as follows. Starting from the prior 485 estimate  $\mathbf{x}_{\mathbf{A}}$  as initial guess, we apply the adjoint operator  $\mathbf{K}^{T}$  to the error-weighted model-
- 486 observation differences  $\mathbf{S}_{\mathbf{0}}^{-1}(\mathbf{F}(\mathbf{x}_{\lambda}) \mathbf{y})$  and in this manner determine the sensitivity of these
- 487 differences to emissions earlier in time; this defines the cost function gradient  $\nabla_{\mathbf{x}} J(\mathbf{x}_{A})$  in
- 488 equation (7). By applying  $\nabla_{\mathbf{x}} J(\mathbf{x}_{\mathbf{A}})$  to  $\mathbf{x}_{\mathbf{A}}$  with a steepest-descent algorithm we obtain a next
- 489 guess **x**<sub>1</sub> for the minimum of  $J(\mathbf{x})$ , compute the corresponding vector  $\mathbf{K}^T \mathbf{S}_0^{-1}(\mathbf{F}(\mathbf{x}_1) \mathbf{y})$ , and add
- 490 the error-weighted difference from the prior estimate  $S_{A}^{-1}(x_1 x_A)$  to obtain the cost function
- 491 gradient  $\nabla_{\mathbf{x}} J(\mathbf{x}_1)$ . By applying  $\nabla_{\mathbf{x}} J(\mathbf{x}_1)$  to  $\mathbf{x}_1$  with the steepest-descent algorithm we obtain a
- 492 next guess  $x_2$ , and iterate in this manner to find the minimum of J(x) (Henze et al. 2007). A
- 493 major advantage of the adjoint method is that the Jacobian is never explicitly computed, and
- 494 there are no multiplication/inversion operations involving large matrices. Thus there is no
- 495 computational limitation on the dimension of x. Another major advantage is that the error PDFs
- do not need to be Gaussian. A drawback is that error characterization is not included as part of
   the solution. Approximate methods are available at additional computational cost to estimate the
- 498 posterior error covariance matrix  $\hat{\mathbf{S}}$  and from there the averaging kernel matrix  $\mathbf{A}$  (Bousserez et 499 al., 2015).
- 500

501 MCMC methods. Markov Chain Monte Carlo (MCMC) methods are yet another approach to solve the Bayesian inverse problem. Here the posterior PDF  $P(\mathbf{x}|\mathbf{y})$  is constructed by direct 502 503 computation from equation (3) using stochastic sampling of the  $\mathbf{x}$  domain and with any chosen 504 forms for  $P(\mathbf{x})$  and  $P(\mathbf{y}|\mathbf{x})$ . Starting from the prior estimate  $\mathbf{x}_A$ , we compute  $P(\mathbf{x}_A)$  and  $P(\mathbf{y}|\mathbf{x}_A)$ , 505 and from there compute  $P(\mathbf{x}_{A}|\mathbf{y})$  using Eq. (3). We then define the next element of the Markov 506 chain as  $\mathbf{x}_1 = \mathbf{x}_{\mathbf{A}+\Delta}\mathbf{x}$ , where  $\Delta \mathbf{x}$  is a random increment, compute  $P(\mathbf{x}_1|\mathbf{y})$ , and so on. With a 507 suitable algorithm to sample representatively the  $\mathbf{x}$  domain as successive elements of the Markov 508 chain, the full structure of  $P(\mathbf{x}|\mathbf{y})$  is eventually constructed. Miller et al. (2014) and Ganesan et 509 al. (2015) used MCMC methods in regional inversions of suborbital methane data. A major 510 advantage is that the prior and observation PDFs can be of any form. For example, the prior PDF 511 can include a "fat tail" to allow for the possibility of a point source behaving as a "super-emitter" either continuously or sporadically (Zavala-Araiza et al., 2015). Another advantage is that the 512 513 full posterior PDF of the solution is obtained (not just the optimal estimate). The main drawback 514 is the computational cost of exploring the *n*-dimensional space defined by **x**.

515 There are other ways of expressing the prior information than as (**x**<sub>A</sub>, **S**<sub>A</sub>). In the 516 hierarchical Bayesian approach (Ganesan et al., 2014), information on the prior is optimized as 517 part of the inversion. In the geostatistical approach (Michalak et al., 2006), prior information is 518 expressed in terms of emission patterns rather than magnitudes. The cost function in the 519 geostatistical inversion is

520 
$$J(\mathbf{x}, \boldsymbol{\beta}) = (\mathbf{x} - \mathbf{P}\boldsymbol{\beta})^T \mathbf{S}^{-1} (\mathbf{x} - \mathbf{P}\boldsymbol{\beta}) + (\mathbf{y} - \mathbf{F}(\mathbf{x}))^T \mathbf{S}_0^{-1} (\mathbf{y} - \mathbf{F}(\mathbf{x}))$$
(11)

522 where the  $n \times q$  matrix **P** describes the *q* different state vector patterns, with each column of **P** 523 describing a normalized pattern such as the distribution of livestock. The unknown vector  $\boldsymbol{\beta}$  of 524 dimension *q* gives the mean scaling factor for each pattern. Thus **P** $\boldsymbol{\beta}$  represents a prior model for 525 the mean, with  $\boldsymbol{\beta}$  to be optimized as part of the inversion. The covariance matrix **S** gives the prior 526 covariance of **x**, rather than the error covariance.

527 Inverse methods for constraining emissions can be applied not only to current observing 528 systems but also to evaluate formally the capability of a proposed future instrument to improve 529 current knowledge. Given an observation plan and error specifications for the proposed 530 instrument, we can compute the expected observational error covariance matrix So. Given the 531 prior information  $(\mathbf{x}_A, \mathbf{S}_A)$  informed by the current observing system without the proposed 532 instrument, we can quantify the information added by the proposed instrument by computing  $\hat{\mathbf{S}}$ from Eq. (9) or an adjoint-based approximation (Bousserez et al., 2015). From there we obtain 533 the averaging kernel matrix  $A = I_n - \hat{S}S_A^{-1}$  and the DOFS, and compare to the DOFS without the 534 instrument to quantify the information to be gained. This assessment will tend to be optimistic 535 536 because of the assumption that errors are random, well characterized, and representatively 537 sampled, as discussed above. But at least it demonstrates the potential of the proposed 538 instrument. Applications to methane are presented in Sect. 3.4.

539 The simple error analysis described above to assess the value of a future instrument is 540 sometimes loosely called an observing system simulation experiment (OSSE). However, the 541 OSSE terminology is generally reserved for a more rigorous test (and an actual 'experiment') of 542 the benefit of adding the proposed instrument to the current observing system, including realistic 543 accounting of CTM errors. A standard OSSE setup is illustrated in Figure 6. The OSSE uses two 544 CTMs driven by different assimilated meteorological datasets for the same period. The first 545 model (CTM1) produces a synthetic 3-D field of atmospheric concentrations from an emission 546 inventory taken as the "true" emissions (A in Fig. 6). For purpose of the exercise, CTM1 is taken 547 to have no error and so describes the "true" 3-D field of atmospheric concentrations. This "true" 548 atmosphere is then sampled synthetically with the current observing system, adding instrument 549 noise as stochastic random error, so that the resulting synthetic data mimic the current observing 550 system. Inversion of these data returns emissions optimized by the current observing system (B 551 in Fig. 6) We then add the proposed instrument to the observing system, again adding instrument 552 noise as random error on the basis of the instrument specifications, and invert the data using the 553 previously optimized emissions (B) as prior estimate. The resulting optimized emissions (C in 554 Fig. 6) can be compared to the "true" emissions (A) and to the prior emissions (B) to quantify the 555 value of the proposed instrument and its advantage relative to the current observing system. The 556 use of two independent assimilated meteorological data sets is important for this exercise as it 557 allows for realistic accounting of the CTM error component. Such an OSSE setup is frequently 558 used to evaluate proposed meteorological instruments, and it has previously been applied to the 559 evaluation of a geostationary instrument for tropospheric ozone (Zoogman et al., 2014) but not 560 so far for methane.

561

## 3.2 Specific issues in applying inverse methods to satellite methane data

There are a number of issues requiring care in the application of inverse methods to infer methane emissions from observations of atmospheric methane, some of which are specific to satellite observations.

568 Selection of emission state vector. A first issue relates to the resolution of the emission field 569 (state vector) to be optimized by the inversion. Methane originates from a large number of 570 scattered sources, with emission factors that are poorly known and highly variable for a given 571 source sector. It is therefore of interest to optimize emissions with fine spatial resolution, and for some sources also with fine temporal resolution. The resolution of the emission state vector can 572 573 in principle be as fine as the grid resolution and time step of the CTM used as forward model. 574 However, the amount of information contained in the observations places limits on the extent to 575 which emissions can actually be resolved. Satellite data sets may be large but the data are noisy. 576 If the dimension of the emission state vector is too large relative to the information content of the 577 observations, then the Bayesian optimization problem is underconstrained and the solution may be heavily weighted by the prior estimate. This is known as the smoothing error and the 578 associated error covariance matrix is  $(I_n - A)S_A(I_n - A)^T$  (Rodgers, 2000). Smoothing is not a 579 580 problem *per se* if the off-diagonal structure of  $S_A$  is well-characterized, so that information can 581 propagate between state vector elements; but it generally is not. When SA is specified diagonal, 582 as is often the case, the ability to depart from the prior estimate and reduce the posterior error 583 will be artificially suppressed if the dimension of **x** is too large (Wecht et al., 2014a).

584 Figure 7 illustrates the smoothing problem in an inversion of methane emissions over 585 North America using SCIAMACHY. The cure is to reduce the dimension of the emission state 586 vector, by aggregating state vector elements and optimizing only the aggregate (Fig. 7). This 587 introduces however another type of error, known as aggregation error, because the relationship 588 between aggregated state vector elements is now imposed by the prior estimate (Kaminski et al., 589 2001). As shown by Turner and Jacob (2015) and illustrated in Fig. 7, it is possible to define an 590 optimal dimension of the emission state vector by balancing the smoothing and aggregation 591 errors. For a multi-annual GOSAT data set this implies a spatial resolution of the order of 100-592 1000 km in methane source regions (Turner et al., 2015). The state vector of emissions can be 593 reduced optimally by hierarchical clustering (Wecht et al., 2014a) or by using radial basis 594 functions with Gaussian PDFs (Turner and Jacob, 2015).

595

596 Bottom-up inventory used as prior estimate. Inverse analyses require high-quality gridded 597 bottom-up inventories as prior estimates to regularize the solution and interpret results. All 598 inversions of methane satellite data so far have relied on the EDGAR bottom-up inventory for anthropogenic emissions with 0.1°×0.1° spatial resolution (European Commission, 2011), which 599 600 is presently the only global bottom-up inventory available on a fine grid. EDGAR relies on IPCC 601 (2006) default tier 1 methods that are relatively crude and it provides only limited classification 602 of methane emissions by source sector. Alexe et al. (2015) and Turner et al. (2015) find that 603 uncertainties in source patterns in the EDGAR inventory preclude the attribution of inventory 604 corrections from their GOSAT inversions to specific source sectors. Many individual countries 605 produce national inventories using more accurate IPCC tier 2/3 methods but these inventories are 606 generally available only as national totals and are thus not usable for inversions, where 607 information on spatial patterns is essential.

The need for improved, finely gridded bottom-up inventories for inverse analyses is well recognized. Wang and Bentley (2002) disaggregated the Australian national inventory to guide inversion of surface observations at Cape Grim, Tasmania. Zhao et al. (2009) disaggregated the California Air Resources Board (CARB) statewide inventory to a  $0.1^{\circ} \times 0.1^{\circ}$  grid. Hiller et al. (2014) disaggregated the Swiss national inventory to a  $500 \times 500$  m<sup>2</sup> grid. Maasakkers et al.

(2016) developed a gridded  $0.1^{\circ} \times 0.1^{\circ}$  version of the national US emission inventory produced by

614 EPA (Fig. 1) and shows major differences with EDGAR in terms of source patterns even though 615 the national totals are similar.

616

617 **Positivity of the solution.** The standard assumption of Gaussian error PDFs for the prior estimate allows for the possibility of negative methane emissions. Although soils can be a weak 618 619 sink for methane (Kirschke et al., 2013), negative emissions are generally unphysical. Small 620 negative values may be acceptable as noise, and can be removed by averaging with neighboring 621 positive values. The analytical solution to the Bayesian inverse problem requires Gaussian error 622 PDFs (Sect. 3.1), but numerical solutions do not. Adjoint-based inversions may use lognormal 623 (Wecht et al., 2014a) or semi-exponential (Bergamaschi et al., 2013) error distributions to 624 prevent negative solutions. Lognormal errors can be used in the analytical solution by adopting 625 as state vector the logarithm of emissions but this requires iterative recomputation of the 626 Jacobian matrix since the relation between state vector  $\mathbf{x}$  and observations  $\mathbf{y}$  is not linear 627 anymore. Miller et al. (2014) present additional approaches for imposing positivity of the 628 solution, including (1) application of Karush-Kuhn-Tucker (KKT) conditions, and (2) MCMC 629 methods with sampling domain restriction. These approaches will tend to bias the solution by 630 enforcing zero values for a subset of the state vector (KKT conditions) or by artificially inflating 631 the PDF of the prior estimate in the vicinity of zero (MCMC methods). 632 633 Variability in the methane background. Observations from the HIPPO pole-to-pole aircraft 634 campaigns over the Pacific in 2010-2011 indicate background concentrations of tropospheric 635 methane varying with latitude from 1750-1800 ppb in the southern hemisphere to 1850-1900 ppb

at high northern latitudes (Wofsy, 2011). The mid-latitudes background varies on synoptic scales
under the alternating influence of high-latitude and low-latitude air masses. This variability in
background is comparable to the magnitude of concentration enhancements in methane source
regions, so that accurate accounting of the global methane background and its variability is
essential for regional inversions. Local source inversions may be able to use instead regional
background information upwind of the source (Krings et al., 2013).

642 Observations at remote sites from the NOAA Earth System Research Laboratory (ESRL) 643 network (Dlugokencky et al., 2011; Andrews et al., 2014) accurately characterize the seasonal 644 latitude-dependent background, and one can then rely on the CTM used as forward model in the 645 inversion to resolve the synoptic variations in that background. Global inversions of satellite data 646 have exploited the NOAA ESRL network data in different ways. Bergamaschi et al. (2009, 647 2013), Fraser et al. (2013), and Alexe et al. (2015) included the data in their inversions together 648 with the satellite data. Cressot et al (2014) conducted separate inversions with NOAA/ESRL and 649 satellite data, and demonstrated consistency between the two. In limited-domain inversions such 650 as on the continental scale of North America, the background must be specified as a time- and 651 latitude-dependent boundary condition. This has been done by Miller et al. (2013) using the NOAA/ESRL data as boundary conditions, in Wecht et al. (2014a) by optimizing the boundary 652 653 conditions as part of the inversion, and by Turner et al. (2015) by using results from a global 654 inversion as boundary conditions for the continental-scale inversion.

655

656 Methane sink in the troposphere. The main sink for methane is oxidation by the OH radical in

the troposphere, with a lifetime of 9 years constrained by global observations of

658 methylchloroform (MCF) (Prather et al., 2012). OH is produced photochemically and its

659 concentration is controlled by complex chemistry that is not well represented in models

660 (Voulgarakis et al., 2013). However, the loss of methane is sufficiently slow that variability in

- 661 OH concentrations affects methane concentrations only on seasonal, interannual, and
- 662 interhemispheric scales (Bousquet et al., 2006). It does not affect the regional-scale gradients
- relevant to inverse analyses of satellite data. Global inverse analyses generally compute the
- 664 methane sink by using specified global 3-D monthly fields of OH concentrations from an 665 independent simulation of tropospheric oxidant chemistry and compatible with the MCF
- 666 constraint (Bergamaschi et al, 2013; Houweling et al., 2014). Cressot et al. (2014) optimized
- 667 methane and MCF emissions together in their inversion, thus allowing for adjustment of OH
- 668 concentrations within the uncertainty range allowed by MCF. Specifying OH concentrations is
- not an issue for limited-domain inversions with spatial boundary conditions, because the
- 670 modeling domain is then ventilated on a time scale considerably shorter than the 9-year methane
- 671 lifetime. In that case, information on the methane sink is effectively incorporated in the boundary672 conditions.
- 673

674 **Stratospheric methane.** Inversions of satellite methane data require a proper accounting of the 675 stratosphere. The stratosphere contributes about 5% of the total methane column in the tropics and 25% at high latitudes (Ostler et al., 2015). Methane enters the stratosphere in the tropics and 676 677 is transported to high latitudes on a time scale of about 5 years. Over that time it is 678 photochemically oxidized by OH,  $O(^{1}D)$ , and Cl atoms, leading to a seasonal variation in the 679 column mean mole fraction  $X_{CH4}$  out of phase with tropospheric methane (Saad et al., 2014). 680 Meridional transport in the stratosphere tends to be too fast in models, so that stratospheric 681 methane concentrations at high latitudes are overestimated (Patra et al., 2011). Not correcting for this effect in inversions can lead to a 5% overestimate of methane emissions at northern mid-682 683 latitudes and a 40% overestimate in the Arctic (Ostler et al., 2015). Quantifying emissions from

684 boreal wetlands is severely compromised.

685 A number of observational data sets are available to evaluate the stratospheric methane simulation in CTMs. These include balloons (Bergamaschi et al., 2013), TCCON stratospheric 686 retrievals (Saad et al., 2014), and satellite observations by solar occultation and in the limb 687 688 (deMaziere et al., 2008; von Clarmann et al., 2009; Noel et al., 2011; Minschwaner and Manney, 689 2014). Bergamaschi et al. (2013) presented a detailed evaluation of their CTM with balloon 690 observations as prelude to inversion of SCIAMACHY data, and this led them to limit their 691 inversion to the 50°S-50°N latitudinal range where model bias was small. Another approach is to 692 apply a latitudinal bias correction for the difference between the CTM and the satellite data 693 (Turner et al., 2015). Ostler et al. (2015) presented a method to correct for stratospheric methane 694 bias in CTMs by using constraints on the age of air in the stratosphere from vertical profiles of 695 sulfur hexafluoride (SF<sub>6</sub>).

696

**Error characterization.** Estimation of prior and observational error covariances is crucial for inverse modeling. Observational error is the sum of instrument and CTM errors. We discussed in Sect. 2.2 the characterization of instrument error by validation with suborbital data. CTM error variance can be estimated by intercomparison of different CTMs (Patra et al., 2011) and added to the instrument error variance in quadrature. An alternative is to estimate the total observational error variance by the residual error method (Heald et al., 2004), which uses statistics of differences between the observations and the CTM simulation with prior emissions. In that

- method, systematic difference (bias) is assumed to be caused by error in emissions (to be
- 705 corrected in the inversion), The remaining residual difference (averaging to zero) defines the

706 total observational error, including contributions from instrument and CTM errors. This method

707 has the merit of being consistent with the premise that the observational error is random. The

708 CTM error variance can then be deduced by subtraction of the instrument error variance.

709 Application to SCIAMACHY and GOSAT shows that the instrument error tends to be dominant

710 (Wecht et al., 2014a; Turner et al., 2015). Error correlation populating the off-diagonal terms of

711 the observational error covariance matrix is typically specified as an e-folding characteristic

712 length scale (Heald et al., 2004).

713 Error in the prior bottom-up emission inventory can be estimated by propagation of errors 714 in the variables used to construct the inventory (EPA, 2016), or by comparison of independently 715 generated inventories such as the WETCHIMP intercomparison for wetlands (Melton et al., 716 2013) or regional anthropogenic inventories in the US (Maasakkers et al., 2016). Error PDFs are

717 usually assumed to be normal or log-normal, but more skewed PDFs may better capture the

718 occurrence of "super-emitters" (Zavala-Areiza et al., 2015). Errors may be scale-dependent,

719 such that spatial aggregation of emission grid squares in the inversion decreases the error

720 variance (Maasakkers et al., 2016). The prior error covariance matrix is usually taken to be

721 diagonal, but some error correlation would in fact be expected for a given source sector. This is 722 accounted for in the geostatistical inversion approach (Eq. (11)) by assuming coherence in source 723 patterns.

724 Sources completely missing from the prior bottom-up inventory pose a particular 725 difficulty for inverse modeling, because inverse methods applied to an underconstrained problem will tend to correct emissions where the prior estimate indicates them to be. Simply increasing 726 727 the error on the prior estimate is not a satisfactory approach because the inverse solution may then misplace emissions. Before conducting the inversion it is important to compare the CTM 728 729 simulation using prior emissions to the observations, and diagnose whether any elevated values 730 in the observations that are absent in the simulation could represent missing sources.

731

### 732 **3.3 Applications to SCIAMACHY and GOSAT data**

733

734 Most inversions of SCIAMACHY and GOSAT satellite data for atmospheric methane 735 have been done on the global scale, estimating emissions at the resolution of the CTM used as 736 forward model (typically a few hundred km) by applying an adjoint method (Bergamaschi et al., 737 2009, 2013; Spahni et al., 2011; Monteil et al., 2013; Cressot et al., 2014; Houweling et al. 2014; 738 Alexe et al., 2015). Fraser et al. (2013) estimated monthly methane fluxes over continental-scale 739 source regions by using an analytical method with a Kalman filter. Wecht et al. (2014a) and 740 Turner et al. (2015) used continental-scale inversions for North America to estimate emissions at 741 up to 50 km resolution in source regions through optimal selection of the state vector, with 742 Turner et al. (2015) applying an analytical inversion to characterize errors. Fraser et al. (2014) 743 and Pandey et al. (2015) optimized both methane and CO<sub>2</sub> fluxes using  $X_{CH4}/X_{CO2}$  ratios observed 744 from GOSAT, thus avoiding the need for independent specification of CO<sub>2</sub> concentrations in the 745 CO<sub>2</sub> proxy method for methane retrieval. Cressot et al. (2014) and Alexe et al. (2015) compared 746 results from inversions using different SCIAMACHY and GOSAT retrievals, and found overall 747 consistency in different regions of the world; however, Cressot et al. (2014) pointed out large 748 errors when using the degraded post-2005 SCIAMACHY data (see Sect. 2.2). 749 Inversions of methane fluxes using GOSAT data show consistency with observations 750 from NOAA ESRL surface sites, both in joint inversions (Bergamaschi et al., 2009, 2013; Fraser et al., 2013; Alexe et al., 2015) and in independent evaluations (Turner et al., 2015). GOSAT 751

observations are sparse, with observation points separated by about 260 km, but still provide

considerably more information on methane emissions at the continental scale than the surface

network observations (Fraser et al., 2013; Alexe et al., 2015). This is particularly true in the

tropics, where methane emissions are large but surface observations are few (Bergamaschi et al.,
2013; Cressot et al., 2014; Houweling et al., 2014).

Inversions of SCIAMACHY and GOSAT data have revealed important biases in bottomup inventories of methane emissions. Monteil et al. (2013) and Spahni et al. (2011) find large
errors in wetland emission models. Bergamaschi et al. (2013) find that 2003-2010 growth in
Chinese emissions is less than estimated by EDGAR. Inversion results in the US show that
EDGAR emissions in the South-Central US are too low while emissions along the East Coast are
too high (Wecht et al., 2014a; Alexe et al., 2015; Turner et al., 2015).

763 Ultimately, the application of satellite data to improve understanding of methane 764 emissions requires that the optimized estimates from the inversions be related to specific source 765 sectors and processes in the bottom-up inventories. SCIAMACHY observations over wetlands 766 have been used in this manner to improve bottom-up models of wetland emissions (Bloom et al., 767 2010, 2012; Spahni et al., 2011). Application of satellite observations to improve anthropogenic emission inventories has so far been stymied by poor representation of emission patterns in the 768 769 inventories. For example, the EDGAR underestimate in the South-Central US cannot be 770 confidently attributed to livestock or oil/gas sectors because EDGAR emission patterns for these 771 sectors are incorrect (Maasakkers et al., 2016).

772 Satellite data sets for correlative variables could help relate methane observations to 773 source sectors but this has received little attention so far. Bloom et al. (2012) combined methane 774 data from SCIAMACHY with water height data from the GRACE satellite instrument to 775 improve their bottom-up inventory of wetland methane emissions. Worden et al. (2012) 776 combined measurements of methane and CO from TES to quantify methane emissions from 777 Indonesian fires. TIR measurements of ammonia are available from the TES, IASI, and CrIS 778 satellite instruments (Shephard et al., 2011; Van Damme et al., 2014; Shephard and Cady-779 Pereira, 2015) and provide a fingerprint of agricultural emissions (Zhu et al., 2013), but have yet 780 to be exploited in combination with methane satellite data. Interpretation of the ammonia data is 781 complicated by gas-aerosol partitioning with ammonium. In addition, ammonia is mainly emitted 782 from manure and fertilizer, whereas methane is mostly from enteric fermentation and the 783 sources may not be collocated. Ethane provides a marker for oil/gas emissions but is observed 784 from space only by solar occultation with sensitivity limited to the upper troposphere (Abad et 785 al., 2011). In addition, the ethane/methane emission ratio is highly variable. TROPOMI will 786 provide data for both methane and CO from common SWIR retrievals. Beyond constraining the 787 combustion source of methane, the CO observations could be valuable to decrease model 788 transport errors in joint methane-CO inversions (Wang et al., 2009).

789

## 790 **3.4 Potential of future satellite observations**

791

Future satellite instruments listed in Table 1 will have higher pixel resolution, spatial density, and temporal frequency than SCIAMACHY or GOSAT. Several studies have examined how these attributes will improve the capability of methane flux inversions. Wecht et al. (2014b) conducted an inversion of methane emissions in California at  $1/2^{\circ} \times 2/3^{\circ}$  resolution using boundary layer observations from the May-June 2010 CalNex aircraft campaign and concurrent observations from GOSAT. They then estimated the information that TROPOMI or the GEO- 798 CAPE geostationary mission would have provided over the 2-month period through analysis of

- the corresponding observational error correlation matrices. Inversion of the CalNex aircraft data provided 12 independent pieces of information (DOFS) on the spatial distribution of emissions in
- 801 California as compared to 1.3 for GOSAT, 11 for TROPOMI, and 26 for GEO-CAPE.
- 802 TROPOMI could thus constrain emissions with a skill comparable to a dedicated statewide
- 803 aircraft campaign, and a geostationary mission with hourly observations would provide much
- more. The study likely underestimated the capability of TROPOMI and GEO-CAPE to resolve
- hotspots because of the coarse  $1/2^{\circ} \times 2/3^{\circ}$  resolution of the forward model. We return to this
- 806 point in Sect. 4.
- Bousserez et al. (2016) explored the potential of geostationary observations to constrain methane emissions on the continental scale of North America over weekly and monthly time scales. Again they used a CTM with  $1/2^{\circ} \times 2/3^{\circ}$  spatial resolution as forward model and averaged the 4 × 4 km<sup>2</sup> geostationary observation pixels over that coarser grid with corresponding error
- 811 reduction. They considered three different configurations of geostationary instruments observing
- hourly in the SWIR, TIR, and SWIR+TIR (multispectral retrieval). They found that SWIR
- geostationary observations would effectively constrain methane emissions over the  $1/2^{\circ} \times 2/3^{\circ}$
- grid on a monthly time scale, while a combined SWIR+TIR instrument could deliver that
- 815 information on a weekly time scale.

816 Bovensmann et al. (2010) examined the potential of CarbonSat to detect methane point 817 sources by inversion of the Gaussian dispersion plume, and Rayner et al. (2014) did the same for 818 geoCARB. We review their results in the next Section.

### 819 **4.** Observing requirements for regional and point sources

820 Here we present a simple analysis of the potential of future satellite instruments for 821 observing regional and point sources from space. Observing requirements are somewhat 822 different for climate policy and for point source monitoring purposes. From a climate policy 823 standpoint, the goal is to quantify annual mean emissions with emphasis on the regional scale 824 and source attribution. This plays to the strength of satellites, as repeated observations of the 825 same scene measure the temporal average with improved precision, and also smooth out the 826 temporal variability that can bias estimates from short-term field campaign data. From a point 827 source monitoring standpoint, on the other hand, we may be most interested in detecting large 828 leaks or venting from facilities emitting far more than would be expected on the basis of normal 829 operations (the so-called "super-emitters"). Here the advantage of satellite data is spatial 830 coverage, but a requirement is to have a localized and detectable signal on short time scales, with 831 detection and localization being often more important than precise quantification.

832 For conceptual purposes we define detection/quantification as the ability to observe the 833 methane enhancement  $\Delta X$  [ppb] from a source relative to the surrounding background. Single-834 scene instrument precisions  $\sigma$  [ppb] are taken from Table 1, and we make the optimistic 835 assumption that precision improves as the square root of the number of observations following 836 the central limit theorem (Kulawik et al., 2016). We define detectability as a precision of  $\Delta X/2$ 837 and quantification as a precision of  $\Delta X/5$ . Only a fraction F of pixels is successfully retrieved 838 because of clouds, unsuccessful spectral fits, or other factors. The time required for 839 detection/quantification of the source is then

841 
$$t = t_R \max\left[1, \frac{1}{FN} \max\left[1, \left(\frac{q\sigma}{\Delta X}\right)^2\right]\right]$$
(12)

843 where N is the number of observations of the source for a single satellite pass,  $t_R$  is the time

844 interval between passes, and q takes on values of 2 for detection and 5 for quantification. 845 We first examine the capability of satellite instruments to quantify emissions from a large 846 source region by taking as example the Barnett Shale in Northeast Texas, a  $300 \times 300$  km<sup>2</sup> region 847 with about 30,000 active wells as well as livestock operations and the Dallas/Fort Worth 848 metropolitan area. An intensive field campaign was conducted in the region in September-849 October 2013 to characterize individual sources (Harriss et al., 2015). Synthesis of the data by Lyon et al. (2015) gives a total emission for the region of 72 tons h<sup>-1</sup>. Take the Barnett Shale 850 region as a square of side W = 300 km ventilated by a uniform wind of speed U. The mean 851 852 enhancement  $\Delta X$  relative to the upwind background is obtained by mass balance:

853

854

$$\Delta X = \frac{M_a}{M_{CH4}} \frac{Qg}{UWp} \tag{13}$$

855

856 where  $M_a = 0.029$  kg mol<sup>-1</sup> and  $M_{CH4} = 0.016$  kg mol<sup>-1</sup> are the molecular weights of dry air and 857 methane, *p* is the dry atmospheric surface pressure, and g = 9.8 m s<sup>-2</sup> is the acceleration of 858 gravity. Taking U = 5 km h<sup>-1</sup> and p = 1000 hPa, and with Q = 72 tons CH<sub>4</sub> h<sup>-1</sup>, we obtain  $\Delta X =$ 859 8.5 ppb or 0.47%.

860 Table 2 summarizes the capabilities of the SWIR instruments in Table 1 to quantify such a source. GOSAT views 2-3 pixels for a 300×300 km<sup>2</sup> region on a given orbit in its routine 861 survey mode and has a return time of 3 days. The single-retrieval precision of GOSAT is 0.7% or 862 863 13 ppb. 17% of GOSAT land pixels are retrieved successfully on average in the Parker et al. 864 (2011) CO<sub>2</sub> proxy retrieval (F = 0.17). Replacement into Eq. (12) implies that it takes about 1 865 year for GOSAT to effectively quantify emissions from the Barnett Shale. This explains why inverse analyses of GOSAT data retain substantial information from the prior as diagnosed by 866 867 the averaging kernel matrix (Turner et al., 2015). A similar averaging time requirement applies 868 to SCIAMACHY (2003-2005), which has denser observations but coarser precision and a 869 smaller fraction of successful retrievals (F = 0.09). GOSAT-2 with an expected single-retrieval 870 precision of 0.4% would reduce this time to about 4 months. TROPOMI will have full daily 871 coverage of the Barnett Shale region with about 1,000 observing pixels, thus quantifying the 872 regional emissions in a single day of observation.

873 Consider now the problem of detecting individual point sources through observations of 874 the corresponding source pixels. We estimate for the different solar back-scatter instruments of 875 Table 1 the detection threshold at the scale of a satellite pixel, and for a single observation pass, 876 by assuming low emissions in neighboring pixels (to characterize a local background) and clear 877 skies (for favorable retrieval conditions). The enhancement  $\Delta X$  in the source pixel is given by 878 equation (13) but with *W* now representing the pixel size and with *N* =1 and *F* = 1 in equation 879 (12). By combining equations (12) and (13) we derive the minimum source  $Q_{min}$  for single-pass

880 detection as

882 
$$Q_{\min} = \frac{M_{CH4}}{M_a} \frac{UWpq\sigma}{g}$$
(14)

884 Table 2 gives the detection thresholds for the different satellite instruments with U = 5885 km h<sup>-1</sup>. These values can be compared to detailed point source information available for the US. 886 Figure 8 shows the high end of the distributions of annual emissions for (1) the gridded 887 0.1°×0.1° EPA inventory of Maasakkers et al. (2016), and (2) the 6887 individual point sources 888 reporting methane emissions to the EPA Greenhouse Gas Reporting Program (GHGRP). 889 Reporting to the GHGRP is required for all sources in excess of 25 Gg CO<sub>2</sub> equivalent a<sup>-1</sup> 890 (corresponding to 0.1 tons  $CH_4$  h<sup>-1</sup> for a pure methane source). The GHGRP data include 891 combustion sources with very low methane emissions, hence Figure 8 only shows the top 15<sup>th</sup> 892 percentile of point sources (accounting for 85% of total GHGRP methane emissions). The largest 893 point sources in the GHGRP data with emissions in excess of 1 ton h<sup>-1</sup> are underground coal 894 mines and landfills; individual point sources from oil/gas systems (compressor stations, 895 processing plants) are smaller. Emissions from natural gas production (including wells and 896 gathering stations) are reported to the GHGRP as basin totals instead of as point sources and are 897 thus not included in the point source distribution of Fig. 8 (but are included in the gridded emissions). Individual "super-emitters" in oil/gas fields can emit in excess of 1 ton h<sup>-1</sup> but this is 898 899 likely on an intermittent basis (Zavala-Areiza et al., 2015; Lyon et al., 2015).

900 Pixel resolution of the satellite instrument can be a limiting factor for detecting individual 901 point sources because these are often clustered on a 1-10 km scale (as in an oil/gas field) and/or 902 overlap with large area sources (gas distribution, livestock) (Lyon et al., 2015). For a satellite 903 instrument with pixel resolution ~10 km, the frequency distribution of gridded  $0.1^{\circ} \times 0.1^{\circ}$ 904 ( $\approx 10 \times 10$  km<sup>2</sup>) emissions in Fig. 8 is more relevant than that of GHGRP point sources.

905 Comparison of the detection thresholds in Table 2 to the emission distributions in Fig. 8 906 offers insight into the capabilities of the different instruments for resolving point sources. With a detection limit of 4 tons h<sup>-1</sup> (for a wind of 5 km h<sup>-1</sup>), TROPOMI can detect in a single pass the 20 907 highest  $0.1^{\circ} \times 0.1^{\circ}$  pixels in the gridded EPA inventory, contributing 5% of national emissions. It 908 909 would not detect a typical transient "super-emitter" of 1.0 tons h<sup>-1</sup> in an oil/gas field in a single 910 overpass. Because of its full daily coverage, TROPOMI can be far more effective at detecting 911 sustained point sources and quantifying their annual emissions. For 365 successive passes (once 912 a day) and a successful retrieval rate of 17%, TROPOMI should be able to isolate individual 913 pixel sources of 0.5 tons h<sup>-1</sup>, representing the top 1% of  $0.1^{\circ} \times 0.1^{\circ}$  gridsquares in the EPA 914 inventory and amounting to 30% of total US emissions. GOSAT-2 has a similar single-pass 915 sensitivity to point sources as TROPOMI when observing in target mode but has much sparser 916 coverage.

917 GHGSat and CarbonSat are designed for observation of point sources. If it meets its 918 specifications of Table 1, GHGSat will have a single-pass detection threshold of 0.24 tons h<sup>-1</sup> 919 (for a wind of 5 km h<sup>-1</sup>). This will detect 700 of the GHGRP point sources in Fig. 8, 920 corresponding to 80% of the national total in the GHGRP point source inventory. A single 921 GHGSat instrument will have a return time of 2 weeks, limiting its ability to detect transient 922 "super-emitters", but long-term plans are for a fleet of instruments on microsatellites. 923 Bovensmann et al. (2010) give a CarbonSat detection threshold of 0.24 tons h<sup>-1</sup> for U = 5924 km h<sup>-1</sup>, based on inversion of data from a transported Gaussian plume. We find a threshold of 0.8 925 tons h<sup>-1</sup> for single-pixel detection. Mapping of the methane plume in downwind pixels offers 926 additional opportunity for detecting/quantifying a point source as long as there is no overlap with

927 other sources and some model of plume transport is applied. Bovensmann et al. (2010) did not 928 include transport error in their analysis which may lead to overoptimistic results. With  $2 \times 2 \text{ km}^2$ 929 pixel resolution, CarbonSat would be limited in its ability to resolve the structure of individual 930 methane plumes, as airborne mapping shows plumes to be smaller in scale even for large point sources (Krings et al., 2013; Thorpe et al., 2016; Frankenberg et al., 2016). The 0.05×0.05 km<sup>2</sup> 931 932 resolution of GHGSat, with imaging over a  $12 \times 12$  km<sup>2</sup>grid, has better potential for resolving 933 the plume structure. A complication in remote sensing of plumes with sub-km pixels is that one 934 may not assume that the incident and reflected solar rays (Fig. 2) sample the same boundary 935 layer methane column. The air mass factor calculation must trace the propagation of the incident 936 and reflected solar rays through the plume, taking into account the solar azimuth and zenith 937 angles as well as the altitude of the plume.

938 Several approaches have been used to exploit downwind plume information for inferring 939 point source emissions, including (1) inverse modeling with source strength and dispersion 940 parameters as state variables (Krings et al., 2011, 2013), (2) integrating the flux over the plume 941 cross-section normal to wind direction (Conley et al., 2016), and (3) summing the above-942 background mass in all plume pixels and relating this integrated mass enhancement to emission 943 by using a relationship from known sources or a plume dispersion model (Frankenberg et al., 944 2016). Choice of the best approach may depend on the level of meteorological information 945 available and the ability of the instrument to map the observed plume structure, which in turn depends on the pixel size, the measurement noise, the ability to define the local background, and 946 947 the complexity of the flow including the effect of wind shear (Rayner et al., 2014).

948 Geostationary observations can in principle achieve high precision together with fine 949 pixel resolution because the viewing geometry allows much longer observation times. But there 950 is competing demand for spatial coverage. Currently proposed geostationary missions (Table 1) 951 expect to achieve 0.2-1% precision for pixels 2-5 km in size, limited in part by their stated 952 mission objectives to observe continental-scale domains several times a day. With this 953 implementation and the above assumptions, a regional source such as the Barnett Shale is 954 strongly constrained on a single-pass basis but the capability to detect transient point sources is 955 limited (Table 2). Point sources could be detected more effectively from geostationary orbit by 956 adopting longer viewing times per pixel and/or using finer pixels. This could be achieved by 957 limiting the domain of observation or by using "special observations" where the instrument is 958 maneuvered to stare at specific points of interest. For example, detection of an anomaly in 959 emissions, either from the satellite or from suborbital observations, could motivate targeted 960 observation by the satellite to localize and quantify the anomaly. A schedule of alternate days for 961 continental-scale mapping and for special observations could be effective to quantify emissions 962 at the national and regional scales while also providing fast detection and quantification of point 963 sources.

964 Airborne remote sensing offers another way to observe methane emissions from point 965 sources, using the same techniques as satellite remote sensing but with much higher spatial 966 resolution. MAMAP (Krings et al., 2011) retrieves methane in the SWIR at 1.6µm, similar to 967 SCIAMACHY, but currently lacks imaging capabilities. Imaging spectrometers initially 968 designed for surface remote sensing have been shown to detect methane plumes with horizontal 969 resolution as fine as 1 m either in the SWIR using the strong 2.3 µm band (Roberts et al., 2010; 970 Thorpe et al., 2016)) or in the TIR (Tratt et al., 2014; Hulley et al., 2016). These imaging spectrometers such as AVIRIS-NG (SWIR) and MAKO or HyTES (TIR) have much coarser 971 972 spectral resolution than MAMAP or current satellite instruments (e.g., 5 nm for AVIRIS-NG).

973 However, at this fine spatial resolution, concentration enhancements over point sources are much

higher and can be discerned down to a detection threshold of only 2 kg  $h^{-1}$  (Thorpe et al., 2016).

A major advantage is that the fine structure of the plume shape can be observed, allowing for localized source attribution (Thompson et al., 2015; Thorpe et al, 2016).

976 977

# 978 5. Conclusions and recommendations979

We have reviewed the capabilities for observing atmospheric methane from space and
their utility for improving knowledge of methane emissions through inverse analyses.
Observations in the shortwave infrared (SWIR) are of most interest for quantifying emissions
because they are sensitive to the full atmospheric column down to the surface. Retrievals
combining the SWIR and the thermal infrared (TIR) would isolate the lower tropospheric
contribution to methane and thus reduce uncertainties in accounting for the free tropospheric
background and the stratosphere.

987 Current SWIR observations from the GOSAT satellite are of high quality but sparse. 988 Through inverse analyses and annual averaging they can quantify emissions in source regions on 989 a 100-1000 km scale. The TROPOMI instrument to be launched in 2017 will be able to map 990 emissions daily on that scale and will also have the capability to detect and quantify large point 991 sources. As such it will significantly enhance the value of satellite measurements to serve the 992 needs of climate policy. The GHGS at instrument launched in 2016 with  $50 \times 50 \text{ m}^2$  pixel resolution over 12 ×12 km<sup>2</sup> viewing domains will effectively detect methane point sources if it 993 994 meets its specification of 1-5% precision. Arctic sources of methane are difficult to observe from 995 space because of limited solar radiation and because of uncertainty in accounting for 996 stratospheric methane. Future lidar observations from MERLIN offer a unique resource to 997 observe the Arctic under dark conditions, and the influence of the stratosphere could be removed 998 by using combined SWIR and TIR retrievals or by using limb and solar occultation 999 measurements.

1000 The ultimate goal of top-down inverse analyses of atmospheric observations is to guide 1001 the improvement of bottom-up emission inventories relating emissions to the underlying 1002 processes There is the opportunity for considerable synergy between top-down and bottom-up 1003 approaches by using high-quality bottom-up inventories as prior estimates in inversions, and then 1004 using inversion results to improve the inventories. Exploiting this synergy requires the 1005 construction of finely gridded, sector-resolved bottom-up inventories including error estimates.

1006 Geostationary observations (still at the proposal stage) hold considerable potential for 1007 monitoring methane emissions from space. The geostationary orbit allows sustained staring at 1008 individual pixels, providing a unique opportunity to infer emissions with both high spatial and 1009 temporal resolution on national scales. This also enables the characterization of diurnally varying 1010 sources such as from wetlands (Makkela et al., 1995) and manure (Wood et al., 2013), where 1011 LEO sun-synchronous observations at a single time of day might provide a biased estimate. 1012 Current geostationary mission proposals emphasize hourly mapping of emissions at the 1013 continental scale. This limits their pixel resolution and their precision. It is not clear that high-1014 frequency continental-scale mapping from geostationary orbit is of much value if sufficient 1015 information is already available from a LEO instrument such as TROPOMI. It may be more 1016 effective for a geostationary mission to focus on selective observation of point sources and 1017 source regions, enabling finer pixel resolution and longer viewing times to resolve emissions at 1018 local scale including transient sources.

1019 More work needs to be done in exploiting correlative observations to increase the value 1020 of methane satellite data, but the task is difficult because of the uniqueness of methane sources. 1021 Observations of ammonia from space are becoming mature and provide a marker of agricultural 1022 operations though the sources of ammonia (fertilizer, manure) only partly overlap with the 1023 sources of methane (enteric fermentation, manure). Joint observations of methane and CO as 1024 from TROPOMI may help to reduce model transport error in inversions through methane-CO 1025 error correlations. Satellite mapping of surface properties can provide important correlative 1026 information, as already demonstrated for wetlands. Satellite data for soil moisture, gas flaring, 1027 and imagery of point sources could be integrated with available methane data to more effectively 1028 constrain methane emissions.

1029 Suborbital observations of methane from aircraft and from the ground are essential 1030 partners to satellite observation. Suborbital observations have unique capability for correlative 1031 measurements such as methane isotopes and ethane that can provide additional constraints in 1032 inversions. They can confirm methane anomalies detected from space, and pinpoint sources with 1033 far greater accuracy (down to the device scale) than is achievable from space. Suborbital 1034 platforms are also essential for continual validation of the satellite data. The prospect of 1035 improving satellite observations in the near future calls for the construction of a comprehensive 1036 atmospheric methane observing system to monitor emissions from global to local scales through 1037 coordination with improved suborbital observations, bottom-up inventories, and atmospheric 1038 transport models.

1038

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- 1445

1440	Table 1. Salel	inte msu um	lents for measuring	ng uopospheric methane"				
Instrument	Agency <sup>b</sup>	Data period	Overpass time [local]	Fitting window [nm] (spectral resolution)	Pixel size [km <sup>2</sup> ] <sup>c</sup>	Coverage <sup>d</sup>	Precision <sup>e</sup>	Reference
Low Earth Orbit <sup>f</sup>			<u> </u>	<u> </u>				
Solar backscatter				'	1			
SCIAMACHY	ESA	2003-2012	10:00	1630-1670 (1.4) <sup>g</sup>	30×60	6 days	$1.5 \%^{h}$	Frankenberg et al. (2006)
GOSAT <sup>i</sup>	JAXA	2009-	13:00	1630-1700 (0.06)	10×10	3 days <sup>j</sup>	0.7 %	Kuze et al. (2016)
TROPOMI	ESA, NSO	2017-	13:30		7×7	1 day	0.6%	Butz et al. (2012)
GHGSat	GHGSat, Inc.	2016-	09:30	1600-1700 (0.1)	$0.05 \ge 0.05^k$	12×12 km <sup>2</sup> grid <sup>1</sup>	1-5%	Footnote <sup>m</sup>
GOSAT-2	JAXA	2018-	13:00	1630-1700, 2330-2380 (0.06)	10x10	3 days <sup>j</sup>	0.4%	Glumb et al. (2014)
CarbonSat	ESA	proposed		1590-1680 (0.3)	2×2	5-10 days	0.4%	Buchwitz et al. (2013)
Thermal emission			「 <u> </u>	·'				
IMG	MITI	1996-1997	10:30/22:30	7100-8300 (0.7)	8×8	along track	4%	Clerbaux et al. (2003)
AIRS	NASA	2002-	13:30/01:30	6200-8200 (7)	45×45	0.5 days	1.5 %	Xiong et al. (2008)
TES	NASA	2004-2011	13:30/01:30	7580-8850 (0.8)	5×8	along track	1.0 %	Worden et al. (2012)
IASI	EUMETSAT	2007-	09:30/21:30	7100-8300 (1.5)	12×12	0.5 days	1.2 %	Xiong et al. (2013)
CrIS	NOAA	2011-	13:30/01:30	7300-8000 (1.6)	14×14	0.5 days	1.5%	Barnet et al. (2014)
Active (lidar)			<u> </u>	'				
MERLIN	DLR/CNES	2020-	13:30/01:30	1645.552/1645.846 <sup>n</sup>	pencil	along track	1-2%°	Kiemle et al. (, 2014)
Geostationary			<u> </u>	<u> </u>				
GEO-CAPE <sup>p</sup>	NASA	proposed	continuous		$4 \times 4^q$	1 hour <sup>r</sup>	1.0%	Fishman et al. (2012)
GeoFTS	NASA	proposed	continuous	1650 and 2300 nm bands	$3 \times 3^q$	2 hours <sup>r</sup>	<0.2%	Xi et al. (2015)
geoCARB	NASA	proposed	continuous	2300 nm band	$4 \times 5^q$	2-8 hours <sup>r</sup>	1.0%	Polonsky et al. (2014)
G3E	ESA	proposed	continuous	1650 and 2300 nm bands	$2 \times 3^{s}$	2 hours <sup>r</sup>	0.5%	Butz et al. (2015)

1446 **Table 1.** Satellite instruments for measuring tropospheric methane<sup>*a*</sup>

1447 <sup>*a*</sup> Solar occultation and limb instruments measuring methane in the stratosphere are referenced in Sect. 3.2.

1448 <sup>b</sup> ESA = European Space Agency; JAXA = Japan Aerospace Exploration Agency; NSO = Netherlands Space Office;

1449 MITI = Japan Ministry of International Trade and Industry; NASA = US National Aeronautics and Space

1450 Administration; EUMETSAT = European Organization for the Exploitation of Meteorological Satellites; DLR =

1451 German Aerospace Center; CNES = French National Center for Space Studies. GHGSat, Inc. is a private Canadian

1452 company.

1453 <sup>*c*</sup> At the subsatellite point.

1454 <sup>d</sup> Time required for full global coverage (low Earth orbit) or continental coverage (geostationary orbit). Solar

1455 backscatter and lidar instruments observe the full methane column with near-uniform sensitivity, while thermal

1456 emission instruments are limited to the middle/upper troposphere (Fig. 3). Solar backscatter instruments observe only in

1457 the daytime and over land (except for sunglint observations). Some instruments have no cross-track viewing capability

1458 ("along track") and thus observe only a narrow subsatellite swath.

1459  $e_{1-\sigma}$  uncertainty for single observations.

1460 <sup>f</sup>All in polar sun-synchronous orbit, observing at a fixed time of day (see "overpass time" column).

1461 <sup>g</sup> SCIAMACHYalso had a 2.3 μm band intended for operational methane retrievals (Gloudemans et al., 2008) but this 1462 was abandoned due to poor detector performance.

<sup>1463</sup> <sup>h</sup> Precision for 2003-2005 observations, after which the instrument degraded (Frankenberg et al., 2011). The average 1464 single-observation precision for the 2003-2012 record is 3-5% (Buchwitz et al., 2015).

1465 <sup>*i*</sup>TANSO-FTS instrument aboard the GOSAT satellite. We refer to the instrument in the text as "GOSAT" following 1466 common practice.

1467 <sup>*j*</sup> Repeated observations at 3 cross-track pixels about 260 km apart and with 260 km along-track separation. GOSAT

1468 can also adjust its pointing to observe specific targets.

1469 <sup>k</sup> GHGSat's ground sampling distance is 23 m (512 pixels span the 12 km field of view), but imaging resolution is

1470 anticipated to be about 50 m (limited by telescope focus).

1471 <sup>*l*</sup> With revisit time of 2 weeks.

1472 <sup>*m*</sup> Unpublished information from GHGSat, Inc. Description of the GHGSat instrument can be found in Brakeboer 1473 (2015).

1474 <sup>n</sup> On-line/off-line.

1475  $^{o}$  Monthly average over 50 ×50 km<sup>2</sup> areas.

1476 <sup>*p*</sup> Specifications from the proposed CHRONOS implementation of GEO-CAPE

1477 (<u>https://www2.acom.ucar.edu/chronos</u>).

1478 <sup>*q*</sup> At roughly 30° latitude to observe North America and/or East Asia.

1479 <sup>*r*</sup> Over a continental-scale domain.

1480 <sup>s</sup> At roughly 50° latitude to observe central Europe.
1481
1482
1483

### Table 2. Nominal capability for observing regional and point sources of methane from space

Instrument <sup>a</sup>	Regional source quantification	Point source detection threshold <sup><i>c</i></sup>
	$(Q = 72 \text{ tons h}^{-1} \text{ over } 300 \times 300 \text{ km}^2)^b$	$(Q_{min}$ , tons $h^{-1})$
SCIAMACHY	1 year averaging time	68
GOSAT	1 year averaging time	7.1
TROPOMI	single pass (1 day)	4.2
GHGSat	$NA^d$	$0.25^{e}$
GOSAT-2	4 months averaging time	4.0
MERLIN	7 months averaging time <sup>f</sup>	NA
CarbonSat	single pass (5-10 days)	0.80
GEO-CAPE,	single pass (1 hour)	4.0
GeoFTS	single pass (2 hours)	0.61 <sup>g</sup>
geoCARB	single pass (2-8 hours)	4.0
G3E	single pass (2 hours)	1.3

<sup>a</sup> See Table 1 for instrument specifications.

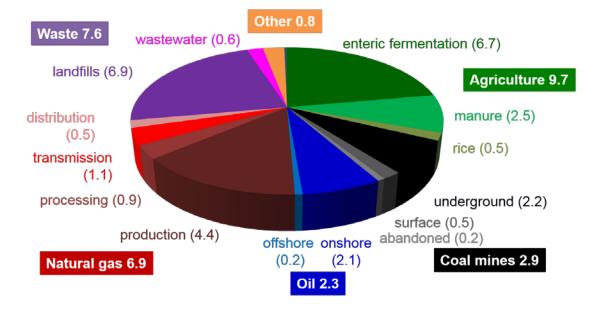
<sup>b</sup> example of the Barnett Shale region in Northeast Texas (Lyon et al., 2015)

1488 <sup>c</sup> Smallest point source detectable in a single observing pass. Detectability scales as Q/U and is given here for a 1489 wind speed  $U = 5 \text{ km h}^{-1}$ .

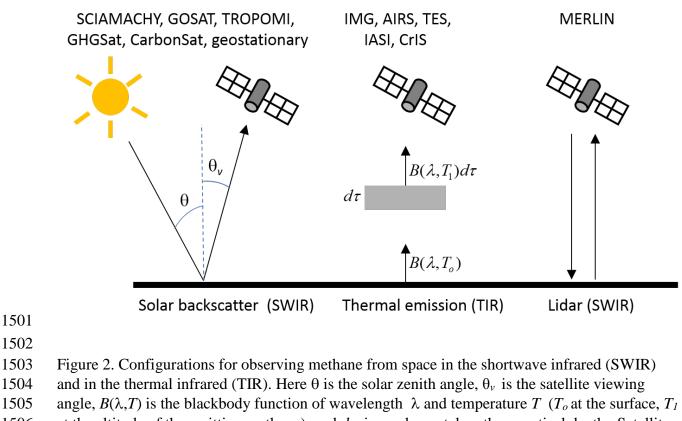
<sup>d</sup> Not applicable. GHGSat has a 12×12 km<sup>2</sup> viewing domain, designed to observe point sources.

1491 <sup>*e*</sup> Assuming 5% precision.

- 1492 f Assuming 1.5% precision<sup>g</sup> Assuming 0.2% precision.
- 1493



- Figure 1. US national anthropogenic emission inventory for methane in 2012 compiled by the US EPA (2016). Units are Tg  $a^{-1}$ . "Other" sources include mainly fuel combustion (0.4 Tg  $a^{-1}$ ) and open fires  $(0.4 \text{ Tg a}^{-1})$ .



1506 at the altitude of the emitting methane), and  $d\tau$  is an elemental methane optical depth. Satellite 1507 instruments operating in the different configurations are identified in the Figure and listed in

1508 Table 1.

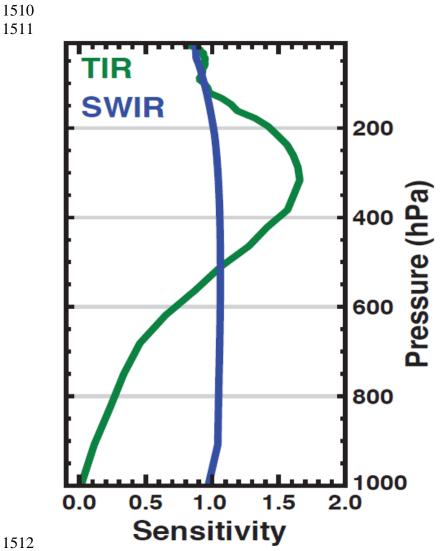


Figure 3. Typical sensitivities as a function of atmospheric pressure for satellite observation of atmospheric methane in the SWIR (solar back-scatter) and in the TIR. The sensitivities are the elements of the averaging kernel vector **a** at different pressure levels (Eq. (1)). Adapted from

- 1517 Worden et al. (2015).
- 1518

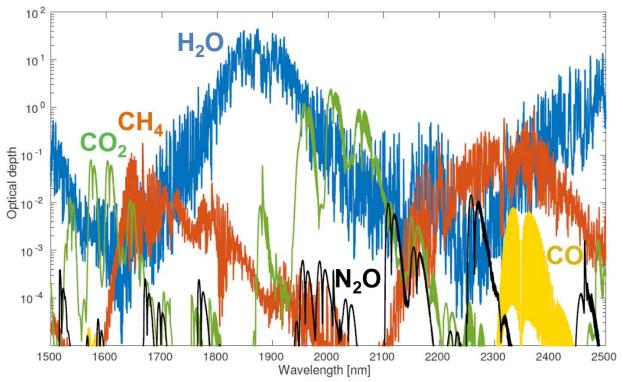




Figure 4. Atmospheric optical depths of major trace gases in the spectral region 1.5-2.5 µm. The 1521 calculation is for the US Standard Atmosphere (Anderson et al., 1986) with surface

1522 concentrations adjusted to 399 ppm CO<sub>2</sub>, 1.9 ppm methane, 330 ppb N<sub>2</sub>O, and 80 ppb CO. The

- 1523 line-by-line data have been smoothed with a spectral resolution of 0.1 nm (full width at half
- 1524 maximum).
- 1525

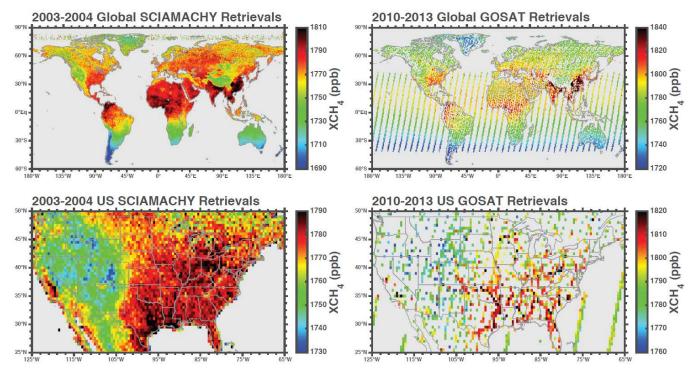
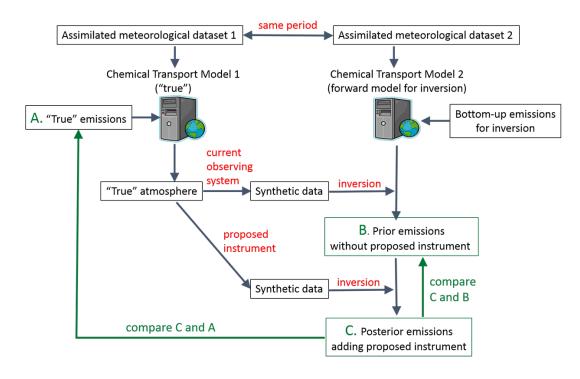




Figure 5. Global and US distributions of methane dry-air column mole fractions ( $X_{CH4}$ ) observed by SCIAMACHY and GOSAT. Values are annual means for 2003-2004 (SCIAMACHY) and 2010-2013 (GOSAT), using the CO<sub>2</sub> proxy retrievals from Frankenberg et al. (2011) for SCIAMACHY and Parker et al. (2011) for GOSAT. GOSAT includes observations of sunglint over the oceans. The colorbar is shifted by 30 ppb between the SCIAMACHY and GOSAT panels to account for the global growth of methane from 2003-2004 to 2010-2013. All data are plotted on a  $0.5^{\circ} \times 0.5^{\circ}$  grid except for the GOSAT global panel where a  $1^{\circ} \times 1^{\circ}$  grid is used to

- 1535 improve visibility.
- 1536

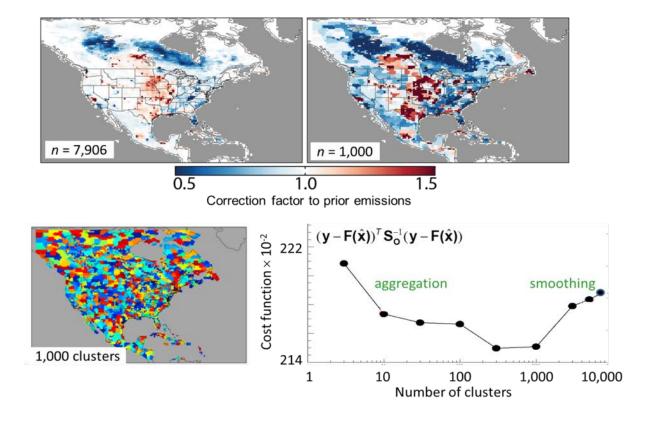


1539

1540 Figure 6. Generic design of an observing system simulation experiment (OSSE) to evaluate the

1541 potential of a proposed new atmospheric instrument to improve knowledge of emissions relative 1542 to the current observing system.

1542 t



1546

1547 Figure 7. Effect of smoothing and aggregation errors in a high-resolution inversion of methane emissions using SCIAMACHY observations of methane columns for summer 2004. The top left 1548 1549 panel shows the correction factors to prior emissions when attempting to optimize emissions at 1550 the native  $1/2^{\circ} \times 2/3^{\circ}$  grid resolution of the chemical transport model (n = 7906). The top right 1551 panel shows the same inversion but with a reduced state vector (n = 1000) constructed by 1552 hierarchical clustering of the native-resolution grid cells (bottom left panel). The bottom right 1553 panel shows the ability of the inversion to fit the satellite observations as the state vector 1554 dimension is decreased from n = 7906 to n = 3 by hierarchical clustering. The quality of the fit is 1555 measured by the observational terms of the cost function for the inversion. Optimal results are 1556 achieved for *n* in the range 300-1,000. Finer resolution incurs large smoothing errors, while 1557 coarser resolution incurs large aggregation errors. Adapted from Wecht et al. (2014a). 1558

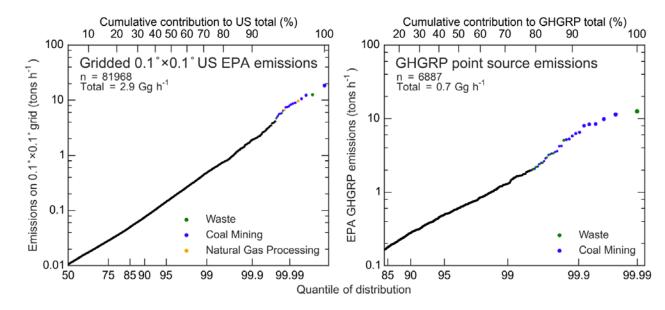




Figure 8. Cumulative frequency distribution of spatially resolved annual mean methane 1561 1562 emissions in the contiguous US. The left panel shows the distribution of emissions at 0.1°×0.1° resolution in the gridded US EPA inventory for 2012 (Maasakkers et al., 2016). The right panel 1563 1564 shows the distribution of point source emissions in the Greenhouse Gas Reporting Program (GHGRP) data for 2012. The highest sources are colored by sector. The x-axis is a normal 1565 1566 cumulative probability scale such that a lognormal distribution would plot as a straight line. The 1567 cumulative relative contribution to the national total emissions is shown as the top axis. As an 1568 example of how to read these plots, the top 1% of GHGRP point source emissions (99<sup>th</sup> quantile in the right panel) includes n = 6887/99 = 69 point sources larger than 1.2 tons h<sup>-1</sup> and 1569 contributes 29% of total US point source emissions in the GHGRP inventory. 1570

1571