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**Variability of winter and summer surface ozone in Mexico City
on the intraseasonal time scale**

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14 **Abstract.** Surface ozone concentrations in Mexico City frequently exceed the Mexican standard
15 and have proven difficult to forecast due to changes in meteorological conditions at its tropical
16 location. The Madden-Julian Oscillation (MJO) is largely responsible for intraseasonal
17 variability in the tropics. Circulation patterns in the lower and upper troposphere and
18 precipitation are associated with the oscillation as it progresses eastward around the planet. It is
19 typically described by phases (labeled 1 through 8), which correspond to the broad longitudinal
20 location of the active component of the oscillation with enhanced precipitation. In this study we
21 evaluate the intraseasonal variability of winter and summer surface ozone concentrations in
22 Mexico City was investigated over the period 1986-2014 to determine if there is a modulation by
23 the MJO that would aid in the forecast of high pollution episodes.

24 Over 1 000 000 hourly observations of surface ozone from five stations around the metropolitan
25 area were standardized and then binned by active phase of the MJO, with phase determined using
26 the Real-time Multivariate MJO Index. Highest winter ozone concentrations were found in
27 Mexico City on days when the MJO was active and in phase 2 (over the Indian Ocean), and
28 highest summer ozone concentrations were found on days when the MJO was active and in
29 phase 6 (over the western Pacific Ocean). Lowest winter ozone concentrations were found during
30 active MJO phase 8 (over the eastern Pacific Ocean), and lowest summer ozone concentrations
31 were found during active MJO phase 1 (over the Atlantic Ocean). Anomalies of reanalysis-based
32 cloud cover and UV-B radiation supported the observed variability in surface ozone in both
33 summer and winter: MJO phases with highest ozone concentration had largest positive UV-B
34 radiation anomalies and lowest cloud cover fraction, while phases with lowest ozone
35 concentration had largest negative UV-B radiation anomalies and highest cloud cover fraction.
36 Furthermore, geopotential height anomalies at 250 hPa favoring reduced cloudiness, and thus

37 elevated surface ozone, were found in both seasons during MJO phases with above-normal ozone
38 concentrations. Similar height anomalies at 250 hPa favoring enhanced cloudiness, and thus
39 reduced surface ozone, were found in both seasons during MJO phases with below-normal ozone
40 concentrations. These anomalies confirm a physical pathway for MJO modulation of surface
41 ozone via modulation of the upper-troposphere.

42 **1 Introduction**

43 Ozone is hazardous to human health (WHO, 2008) and is a ubiquitous problem in many
44 megacities around the world. Tropospheric ozone is a secondary pollutant produced by complex
45 photochemistry from anthropogenic emissions and high ozone events typically affect mid-
46 latitude urban areas during summer, while in the tropics, such events can be observed throughout
47 the year. The problem of the incidence of high surface ozone events is exacerbated in Mexico
48 City, a megacity with 21 million inhabitants, because of the intense solar radiation received at its
49 relatively high elevation (more than 2200 m above sea level) and tropical latitude (19.4°N) (Lei
50 et al., 2007). Furthermore, the city is located in a basin, effectively preventing efficient
51 ventilation of the polluted air (Fast and Zhong, 1998; Whiteman et al., 2000; Zhang et al., 2009).

52 Seasonal variability in maximum surface ozone concentrations is not large in Mexico
53 City due to its geographical location (Raga and LeMoyne, 1996). Both in the dry winter
54 (December-February) and wet summer (June-August) months, clear skies and strong insolation
55 in the morning hours promote rapid generation of surface ozone via photochemical conversions
56 from anthropogenic precursor emissions near the surface. In both seasons, as the day progresses,
57 the boundary layer becomes unstable from solar radiation and deepens, diluting pollutant
58 concentrations near the surface. The growth of the boundary layer in Mexico City occurs over
59 the course of a few hours, with typical heights reaching at least 1.2 km above the surface
60 (Nickerson et al, 1992; Perez Vidal and Raga, 1998), even during the winter months when
61 insolation is reduced at this latitude. Highest ozone concentrations during the winter months are
62 often seen on days with strong insolation and light or no surface wind (Lei et al., 2007). In
63 summer months, clouds and precipitation generally reduce the number of days with extremely
64 elevated surface ozone concentrations. However, when large-scale atmospheric conditions are

65 favorable, such as when a high pressure regime and associated clear skies affect the Mexico City
66 basin, elevated concentrations of surface ozone are also recorded in summer (Raga and Le
67 Moyne, 1996). Hourly surface ozone concentrations routinely exceed the national standard, set
68 at 110 ppb in 1993 (by law NOM-020-SSA1-1993) and modified in 2014 to 95 ppb (by law
69 NOM-020-SSA1-2014). In 2015, hourly maximum O₃ concentrations in every month of the year
70 exceeded the standard set in 2014 at monitoring stations in all five geographic regions: NE, NW,
71 SE, SW and Center (Rodríguez et al., 2016).

72 The problem of air quality in Mexico City has been the subject of numerous field
73 programs over the years, typically limited in time but more comprehensive in terms of the
74 number of parameters measured. One such campaign was MILAGRO: Megacity Initiative: Local
75 and Global Research Observations, a very large international field campaign that took place in
76 March 2006. The results of the large number of publications from that project are summarized
77 by Molina et al (2010). These results provided new insight into several processes related with
78 pollutant transformations and chemical pathways, emerging from the analysis of the data
79 collected with the large suite of sophisticated instrumentation deployed and the modeling
80 performed. However, intensive field campaigns limited to one month, cannot address the
81 seasonal and intraseasonal variability of the high surface ozone within the city. Past studies
82 have examined the variability of surface ozone in Mexico City at different time scales, e.g.
83 hourly (Raga and Le Moyne, 1996; Huerta et al., 2004; Lei et al., 2007), daily (Fast and Zhong,
84 1998), weekly (Stephens et al., 2008), monthly (Rodríguez et al., 2016), and seasonal (Thompson
85 et al., 2008). All of these studies noted a primary relationship between ozone concentration in
86 Mexico City and ultraviolet (UV) radiation, where days with more UV radiation were associated
87 with elevated surface ozone concentrations. Furthermore, UV radiation received at the surface is

88 strongly modulated by cloud cover (El-Nouby Adam and Ahmed, 2016). However, as yet, no
89 study has explored surface ozone variability in Mexico City on the intraseasonal (30-60 day)
90 time scale, despite known relationships between the leading mode of atmospheric intraseasonal
91 variability, the Madden-Julian Oscillation (MJO; Madden and Julian, 1971), and tropical cloud
92 cover (Riley et al., 2011) and circulation (Madden and Julian, 1972; Zhang, 2005). The MJO is
93 largely responsible for intraseasonal variability in the tropics. Circulation patterns in the lower
94 and upper troposphere and precipitation are associated with the oscillation as it progresses
95 eastward around the planet. It is typically described by phases (labeled 1 through 8), which
96 correspond to the broad longitudinal location of the active component of the oscillation with
97 enhanced precipitation.

98 In this study we evaluate the intraseasonal variability of winter and summer surface
99 ozone concentrations in Mexico City over the period 1986-2014 to determine if there is a
100 modulation by the MJO that would aid in the forecast of high pollution episodes. Based on the
101 relationships between surface ozone and UV radiation, UV radiation and cloud cover, and cloud
102 cover and the MJO, the primary hypothesis tested in this study was the following: *surface ozone*
103 *varies intraseasonally by phase of the MJO.*

104 The physical pathway hypothesized to support this intraseasonal variability was as
105 follows: *anomalies in tropical convection associated with the MJO drive variability in upper*
106 *tropospheric circulation, and that variability can be seen in composite anomalies of height and*
107 *wind by MJO phase* (e.g., Madden and Julian, 1994; Zhang, 2005). Those circulation anomalies
108 then drive variability in cloud cover and thus variability in UV radiation reaching the boundary
109 layer, which in turn is seen in phase-to-phase variability in surface ozone concentrations in
110 Mexico City. The cloud-UV radiation portion of our hypothesis is supported by Kerr et al.

111 (2008), who found that typical UV transmission ratios range between 0.3 and 0.8 for overcast
112 conditions (Cede et al., 2002) and as little as 0.05 for thick cumulonimbus clouds (McArthur et
113 al., 1999). It is also supported by An et al. (2008), who found a strong relationship between
114 surface ozone concentrations in Beijing and surface UV radiation, particularly in summer, and
115 noted that surface UV was up to 200% more sensitive to total cloud cover than was surface total
116 radiation. The motivation to explore potential relationships between the MJO and surface ozone
117 concentrations came from Barrett et al. (2012), who found differences as large as 25% of the
118 daily mean in afternoon summer ozone concentrations in Santiago, Chile, by phase of the MJO
119 and tied those differences to changes in cloud fraction associated with synoptic-scale circulation
120 variability in different MJO phases.

121

122 **2 Data and methods**

123 The government monitoring network, Red Automática de Monitoreo Atmosférico
124 (Automated Atmospheric Monitoring Network, RAMA) has been operational since January 1986
125 measuring all criteria pollutants, with instrumentation certified by the US Environmental
126 Protection Agency (EPA). In particular, the instrument to measure ozone is produced by Thermo
127 Environmental Instrument Model 49, by UV absorbance. The RAMA currently has 33 stations
128 within the Mexico City basin, but only a few have records dating back to 1986.

129 We selected five stations with the longest periods of record (Table 1), one station from
130 each of the five geographic regions in the metropolitan area identified by several previous studies
131 and summarized by Raga et al. (2001). Hourly observations from Tlalnepantla (TLA, in the
132 northwest sector of the city, NW), Xalostoc (XAL, in the northeast sector, NE), Merced (MER,
133 in the Center), Pedregal (PED, in the southwest sector, SW), and Universidad Autónoma

134 Metropolitana-Iztapalapa (UIZ, in the southeast sector, SE) were available beginning in January
135 1986 and up to December 2014. See Figure 1 for station locations and Table 1 for numbers of
136 observations and elevations of each station. Since the ozone time series were non-stationary,
137 standard anomalies (also called normalized anomalies) were calculated by subtracting a mean
138 value from each observation and then dividing that result by a standard deviation (Wilks, 2011).
139 Those mean values and standard deviations for each hour were estimated applying a 30-day
140 (approximately monthly) running window, and the 30-day period was selected to avoid influence
141 from both seasonal variability and also the long-term trend. We did not stratify by day of the
142 week based on Stephens et al. (2008), who found that ozone in Mexico City exhibited relatively
143 little variability by day of the week. Furthermore, we defined a “low” ozone concentration day
144 as one with mean afternoon (1200 to 1600 local time) ozone standard anomalies (averaged across
145 the five observing stations) below the 10th percentile. Percentiles were determined separately for
146 each season using standard anomalies on all days in that season from 1986 to 2014. Similarly, we
147 defined a “high” ozone concentration day as one where mean afternoon ozone standard
148 anomalies exceeded the 90th percentile, again calculating winter and summer percentiles
149 separately.

150 The MJO phase was determined using the Real-time Multivariate MJO (RMM) index
151 (Wheeler and Hendon, 2004). The daily RMM is based on time series of two principal
152 components derived from empirical orthogonal functions of equatorially (5°S to 5°N) averaged
153 200-hPa zonal wind, 850-hPa zonal wind, and outgoing longwave radiation. The projection of
154 daily data onto the empirical orthogonal functions serves as a time filter and makes the RMM
155 useful in a real-time setting (Wheeler and Hendon, 2004). The RMM is divided into eight phases,
156 and each phase corresponds to the broad geographic location of the MJO tropical convective

157 signal on that day. An active MJO was defined in this study as one with RMM amplitude, which
158 is the square root of the sum of the squares of the two principal components RMM1 and RMM2
159 (Wheeler and Hendon, 2004), greater than 1.0 (LaFleur et al., 2015). Each day's hourly standard
160 ozone anomalies were binned using the phase of active MJO of that day. Mean values for each
161 MJO phase were then calculated, first annually and then for each season (DJF and JJA).

162 Values of geopotential height (in m) and u - and v vector wind components at 250 hPa (in
163 m s^{-1}), along with total cloud cover, high cloud cover, and low cloud cover (expressed as
164 fractions from 0 to 1) and downward UV radiation received at the surface (UV-B, in W m^{-2}) at
165 1800 UTC (1200 local time) were derived from the ERA-Interim reanalysis (Dee et al., 2011).
166 We chose to examine 250 hPa in part based on the results of Li et al. (2012), who connected
167 intraseasonal ozone variability across east Asia with variability in upper-troposphere
168 geopotential heights by MJO phase. Additionally, we are aware that cloud cover in reanalysis has
169 biases, and we selected the ERA-Interim product because it specifically includes an improved
170 deep convective cloud triggering mechanism over tropical land masses (Bechtold et al., 2004)
171 and thus shows skill over other products (Dee et al., 2011).

172 We selected the winter (Dec-Feb; DJF) and summer (June-August; JJA) seasons for this
173 study because of the homogeneity in synoptic-scale weather patterns in those seasons. More
174 details on the climatological variability of ozone in Mexico City can be found in Klaus et al.
175 (2001).

176 Finally, daily values of surface wind at the Tacubaya station (TCBY in Fig. 1) were taken
177 from the NOAA National Centers for Environmental Information (NCEI) Integrated Surface
178 Database (ISD; Smith et al., 2011). Anomalies of those values, calculated with respect to
179 seasonal means, were binned by MJO phase to give composite anomalies for each season. For

180 UV and total cloud cover in Mexico City itself, the gridded ERA-Interim value at the point
181 closest to the mean latitude and longitude of the five RAMA stations was selected.

182

183 **3 Results**

184 **3.1 Variability of the ozone time series**

185 The diurnal cycle of ozone concentrations at each of the stations exhibited a daily
186 minimum around 0700 local time just prior to sunrise and a peak between 1200 and 1500 local
187 time, with highest concentrations at the southern-most stations (PED and UIZ) and lowest in the
188 northern-most station (XAL) (Fig. 2a). Additionally, highest ozone concentrations occurred one
189 to two hours earlier in spring (March-May; MAM) than in winter (December-February; DJF) at
190 both PED and XAL (Fig. 2b), and peak ozone at PED in the south occurred one to two hours
191 after peak ozone in XAL in the north, as a result of weak northeasterly surface winds
192 transporting ozone and photochemical precursors southward during the day (Bossert 1997).

193 Mean ozone concentrations in spring were nearly 30% higher at all stations than the rest
194 of the year (Fig. 3, with observations smoothed by a 30-day running mean), and the effects of
195 increased UV radiation during the “mid-summer drought” (*canícula*) (Magaña et al. 1999) were
196 reflected as a secondary peak in ozone concentrations in August. Minimum O₃ concentrations
197 were observed in all five stations during September, when daily maximum precipitation was
198 observed in Mexico City.

199 One of the challenges in examining intraseasonal variability of ozone is the need for a
200 stationary record over a long period. In Mexico City, ozone concentrations have steadily
201 decreased from the early 1990s to the 2010s (Fig. 4a; also Rodríguez et al., 2016) as a result of
202 pollution control measures (Molina and Molina, 2004). In order to remove the long-term trend,

203 while keeping the intraseasonal variability at hourly resolution, hourly observations were
204 converted to standard anomalies as described in Section 2. Results of this transformation of
205 hourly observations to standard anomalies for station PED are shown in Figures 4a (original
206 hourly observations) and 4c (hourly standard anomalies). Standard anomalies for the other four
207 stations show very similar results.

208 We note that overnight minimum observations from 1991 to 1993 were probably
209 overestimated in the observational record (Fig. 4a), an artifact also seen in the other four stations
210 (not shown). However, because in this study we focused on afternoon values (from 1200 to 1600
211 local time), that potential overestimation did not materially impact our results.

212 By transforming each hourly observation into a standard anomaly, the distribution of
213 relative frequencies shifted from highly non-Gaussian, with peaks near zero and very long right
214 tails (Fig. 4b), to more Gaussian, with peaks near -0.5 and reduced skewness (Fig. 4d). Although
215 the peaks in these transformed distributions were less than zero, and the right tails were longer
216 than the left tails, the means of each of the distributions of standard anomalies in Figure 4d were
217 very near zero, falling between -0.03 and 0.

218

219 **3.2 Synoptic patterns associated with low and high ozone**

220 Before examining ozone variability by MJO phase, it was important to first establish the
221 synoptic-scale patterns associated with days of low and high ozone concentrations (defined in
222 Section 2) in each season.

223 In winter (DJF), the synoptic pattern on days with low afternoon surface ozone
224 concentration featured a 250-hPa ridge over northwest Mexico and the southwest U.S. (height
225 anomalies up to +50 m) and a 250-hPa trough over central, eastern, and southern Mexico and the

226 southern and eastern U.S. (height anomalies -10 to -40 m) (Fig. 5a). Mean circulation at 250-hPa
227 on low DJF ozone days was nearly westerly off the central Mexican west coast turning to
228 southwesterly over central Mexico (Fig. 5a). This synoptic pattern would favor enhanced
229 cloudiness over Mexico City (and thus reduced UV radiation and lower ozone concentrations)
230 via two mechanisms: first, through quasi-geostrophic ascent associated with the 250-hPa trough,
231 and second, through advection of moisture and high-level clouds from the subtropical Pacific
232 (around 20°N) associated with westerly and west-northwesterly winds (Fig. 5a). Indeed, positive
233 total cloud fraction anomalies were seen with this height and circulation pattern, and those cloud
234 fraction anomalies (+0.05 to +0.10) extended over central and southern Mexico and
235 northeastward into the Gulf of Mexico (Fig. 5b). Those anomalies were likely comprised
236 primarily of high cloud (+0.05 to +0.15; Fig. 5c), given the resemblance between the pattern of
237 total cloud cover (Fig. 5b) and high cloud cover (Fig. 5c). A region of positive low cloud cover
238 anomalies (up to +0.15; Fig. 5d) was also seen in central Mexico on winter days with lowest O₃
239 concentrations, likely associated with surface wind convergence over the Sierra Madre Oriental
240 Mountains, although low cloud fraction anomalies over Mexico City itself were less than +0.05.

241 The synoptic pattern for winter days with high surface ozone concentration was opposite
242 that for the low ozone days. Over northwest Mexico and the southwest U.S., a trough was seen at
243 250-hPa (anomalies -10 to -70 m), while a ridge was seen over central, southern, and eastern
244 Mexico and the southern and eastern U.S. (anomalies to +50 m; Fig. 5e). Circulation at 250 hPa
245 over central Mexico was southwesterly (compared to westerly for low ozone days). Negative
246 total cloud fraction anomalies (-0.05 to -0.15) over central and southern Mexico were associated
247 with this circulation pattern (Fig. 5f). This pattern would promote clearer than normal skies (and
248 thus enhanced UV radiation and surface ozone production) by both favoring quasi-geostrophic

249 subsidence over central Mexico (associated with the above-normal heights and ridging at 250
250 hPa) and by advecting dry, cloud-free air toward central Mexico from the tropical East Pacific
251 Ocean originating near 10°N (Fig. 5g). Similar to low ozone days, most of the negative total
252 cloud fraction anomalies were likely result of the reduction in the presence of high cloud (Fig.
253 5g), given similarity of the anomaly patterns between total (Fig. 5f) and high (Fig. 5g) cloud
254 fraction. The low cloud fraction anomaly over Mexico City itself (Fig. 5h) was close to zero,
255 although negative low cloud fraction anomalies (-0.05 to -0.15) were seen over the low-land
256 states bordering the Gulf of Mexico (Fig. 5h).

257 Summer days with low surface ozone concentration featured a slight anomalous ridge
258 (height anomalies of +5 to +15 m) over northern Mexico and much of the U.S. (Fig. 6a). This
259 synoptic-scale pattern would favor cloudiness because positive geopotential height anomalies at
260 250 hPa over northern Mexico and the southwest U.S. would be associated with a stronger
261 summer anticyclone, signifying a more intense monsoon circulation, easterly winds at 250 hPa in
262 central and southern Mexico (Fig. 6a), and precipitation in central and southern Mexico. Indeed,
263 low ozone days featured positive anomalies in total cloud fraction (Fig. 6b), high cloud fraction
264 (Fig. 6c), and low cloud fraction (Fig. 6d), with anomalies of each fractional cloud cover variable
265 ranging from +0.05 to +0.15. The regions of positive total and high cloud cover anomalies
266 extended over much of central Mexico, but anomalies in low cloud fraction were confined to
267 Mexico City and the states bordering it (Fig. 6d). Summer days with high ozone concentration
268 featured less ridging over northwestern Mexico and the southwest U.S., with 250-hPa height
269 anomalies of -10 to -20 m (Fig. 6e). This synoptic-scale pattern with weaker ridging over
270 northwest Mexico and the southwest U.S., and stronger ridging over Central America, is
271 opposite of the climatological monsoon circulation and would favor less precipitation in central

272 Mexico. Indeed, negative anomalies in fraction of total cloud cover (Fig. 6f), high cloud cover
273 (Fig. 6g), and low cloud cover (Fig. 6h) were seen on days with high ozone concentrations, with
274 anomaly magnitudes of -0.05 to -0.15 over much of central and southern Mexico (total and high
275 cloud cover) and the states bordering Mexico City and along the Sierra Madre Occidental
276 mountains (Fig. 6h). In the next section, these seasonal ozone pattern composites are compared
277 to pattern composites for MJO phases with greatest ozone anomalies.

278

279 **3.3 Intraseasonal ozone variability**

280 On an annual basis, afternoon (1200 to 1600 local time) surface ozone concentrations in
281 Mexico City were found to vary by MJO phase. Highest ozone concentrations were noted on
282 days when MJO was active and in phases 3, 4, and 5, while lowest ozone concentrations were
283 noted on days when the MJO was active and in phases 1 and 2 (Fig. 7a). This variability was
284 seen at all five stations, regardless of geographic position within the basin. Normalized
285 anomalies of surface UV radiation and total cloud fraction from ERA-Interim reanalysis strongly
286 supported the observed surface ozone variability: MJO phases with highest ozone concentrations
287 also had highest UV anomalies and lowest total cloud fraction anomalies, while MJO phases
288 with lowest ozone concentrations had the most negative UV and the most positive cloud fraction
289 anomalies (Fig. 7d). We found this agreement remarkable, particularly so because the two data
290 sets independently presented the same intraseasonal pattern.

291 On a seasonal basis, surface ozone concentrations in Mexico City were also found to vary
292 by MJO phase. However, the dependence on phase was found to change between winter and
293 summer, meaning a phase associated with higher ozone concentrations in winter would not
294 necessarily be associated with higher ozone concentrations in summer. We attribute these

295 differences to seasonality in both the convective properties of the MJO itself (e.g., Zhang and
296 Dong, 2004; Wu et al., 2006) and in the extratropical atmosphere, whose circulation the MJO
297 modulates (Gloeckler and Roundy, 2013). Despite the phase-to-phase variability in maximum
298 and minimum ozone concentrations throughout the year, in all seasons, there remained good
299 agreement between phases with highest (lowest) ozone concentrations and phases with highest
300 (lowest) UV and lowest (highest) total cloud fraction. That is, the sunnier phases were
301 consistently associated with the highest ozone concentrations.

302 In winter months (DJF), highest ozone concentrations were found on days when the MJO
303 was in phase 2, and lowest ozone concentrations were found on days when the MJO was in phase
304 8 (Fig. 7b). Highest UV radiation, and lowest total cloud fraction, were seen on days when the
305 MJO was in phase 2, and lowest UV radiation and second-highest cloud fraction were seen on
306 days when the MJO was in phase 8 (Fig. 7e). In summer months (JJA), highest ozone
307 concentrations were found on days when the MJO was in phases 5, 6, and 7, and lowest ozone
308 concentrations were found on days when the MJO was in phases 1 and 8 (Fig. 7c). Highest UV
309 radiation, and lowest total cloud fraction, was seen on days when the MJO was in phase 6, and
310 lowest UV radiation and highest total cloud fraction were seen on days when the MJO was in
311 phase 1. In both winter and summer, UV radiation and cloud cover anomalies strongly supported
312 observed surface ozone anomalies, whereby the cloudiest MJO phases featured lowest ozone and
313 the sunniest phases featured highest ozone. We again consider this agreement remarkable, given
314 the independence of the ozone and reanalysis data sets. Summer months (JJA) featured the
315 greatest range in mean ozone concentrations by MJO phase: a difference in 0.25 standard
316 anomaly units between the phases with the highest ozone concentrations (phases 5 and 6) and the

317 phases with the lowest ozone concentrations (phases 1 and 8) (Fig. 7c). Summer months also
318 featured the largest spread in both UV and total cloud fraction standard anomalies (Fig. 7f).

319 An examination of the frequency of “extreme” ozone days in each MJO phase (here a day
320 with an “extreme” ozone value was defined for each season as an afternoon standard anomaly
321 either above the 90th percentile value or below the 10th percentile value) provides additional
322 insight into the character of the MJO modulation of ozone. In both winter and summer, the
323 phases associated with highest ozone concentrations (phase 2 in winter and phase 6 in summer)
324 featured the fewest occurrences of days with extremely low ozone (days with concentrations
325 below the 10th percentile; Table 2). Those phases also featured either the highest (in summer) or
326 near-highest (in winter) occurrences of days with concentrations above the 90th percentile (Table
327 2). Furthermore, the phases associated with lowest ozone concentration (phase 8 in winter and
328 phase 1 in summer) featured the highest occurrences of days with low ozone (Table 2) and
329 below-normal occurrence of days with high ozone. These results confirm that one manner in
330 which the MJO modulates ozone concentration in Mexico City is to reduce (or augment) the
331 frequency of days with afternoon ozone concentrations either below the 10th or above the 90th
332 percentiles.

333 To examine physical mechanisms for the observed variability in ozone concentration and
334 cloud cover by MJO phase, composite anomalies of 250-hPa height and *u*- and *v*- wind
335 components were created for each active MJO phase for each season. Seasonal anomalies of total
336 cloud fraction, high cloud fraction, and low cloud fraction were also composited for each active
337 MJO phase. In both seasons, anomalies of each variable were found for all eight MJO phases.
338 However, for the remainder of this paper, we focus only on the synoptic-scale conditions in
339 phases with maximum and minimum surface ozone. In DJF, minimum ozone concentrations

340 occurred on days when the MJO was active and in phase 8. In that phase, anomalous 250-hPa
341 ridging was seen over northwest Mexico and the southwest U.S. (anomalies up to +50 m) and
342 anomalous 250-hPa troughing over northeast Mexico and the southeastern U.S. (anomalies to -60
343 m) (Fig. 8a). This height pattern resembled the seasonal pattern for winter days with above-
344 normal cloudiness and low ozone (Figs. 5a), with troughing over central Mexico favoring both
345 cloud formation via ascent and cloud advection from the subtropical East Pacific Ocean. Indeed,
346 on days in MJO phase 8, total cloud cover anomalies were positive over nearly all of Mexico,
347 ranging from +0.05 to +0.15 (Fig. 8b). Anomalies in high cloud cover were smaller in magnitude
348 (up to +0.05), and over Mexico City, high cloud cover anomalies were zero (Fig. 8c). Positive
349 low cloud anomalies were confined to the states to the east of Mexico City (Fig. 8d), which when
350 combined with high cloud cover anomalies, suggest that the anomalies in total cloud cover (Fig.
351 8b) were composed of anomalies at multiple levels.

352 Maximum winter ozone concentrations occurred on days when the MJO was active and
353 in phase 2, and on those days, a synoptic-scale pattern opposite to that of phase 8 was seen:
354 anomalous 250-hPa troughing was seen over northern Mexico and the south-central U.S. (height
355 anomalies of -10 to -30 m) and anomalous 250-hPa ridging was seen over central and southern
356 Mexico and Central America (height anomalies +5 to +20 m) (Fig. 8e). This height pattern
357 resembled the seasonal pattern for high ozone and low cloud fraction (Fig. 5e), with anomalous
358 ridging favoring clearer than normal skies via subsidence and advection of dry air from the
359 tropical East Pacific. Indeed, below-normal total cloud fraction (anomalies -0.05 to -0.15; Fig.
360 8f), high cloud fraction (anomalies -0.05 to -0.15; Fig. 8g), and low cloud fraction (anomalies -
361 0.05 to -0.10; Fig. 8h) were seen on days when the MJO was in phase 2 over much of central and
362 southern Mexico.

363 In JJA, minimum ozone concentrations occurred on days when the MJO was in phase 1.
364 In that phase, anomalous 250-hPa ridging was seen over northwest Mexico and the southwest
365 U.S. (anomalies up to +20 m) and anomalous 250-hPa troughing in the tropical East Pacific
366 Ocean (anomalies to -20 m) (Fig. 9a). This height pattern resembled the seasonal pattern for
367 summer days associated with below-normal cloudiness and high ozone (Figs. 6a), with ridging to
368 the north characteristic of the summer monsoon in central Mexico. Indeed, above-normal total
369 cloud fraction (+0.05 to +0.15; Fig. 9b), above-normal high cloud fraction (+0.05 to +0.15; Fig.
370 9c), and above-normal low cloud fraction (+0.05 to +0.10; Fig. 9d) were seen over central and
371 southern Mexico for days in MJO phase 1. Summer maximum ozone concentrations were seen
372 on days when the MJO was in phase 6. In that phase, a weaker-than-normal ridge at 250 hPa was
373 seen as anomalous heights of -10 to -20 m over much of central Mexico (Fig. 9e). This height
374 pattern resembled the seasonal pattern for summer days associated with above-normal cloudiness
375 and high ozone (Figs. 6e), as it is largely opposite of that which characterizes the central Mexico
376 summer monsoon. Indeed, below-normal total cloud fraction (-0.05 to -0.15; Fig. 9f), high cloud
377 fraction (-0.05 to -0.15; Fig. 9g), and low cloud fraction (-0.05; Fig. 9h) were all seen on days
378 when the MJO was in phase 6.

379 The final physical variable examined for intraseasonal variability by MJO phase was the
380 surface wind vector at 1800 UTC (1200 local time) at Tacubaya (TCBY in Fig. 1) in the center-
381 west portion of the metropolitan area (Fig. 1). In winter, days in phase 8 (lowest ozone
382 concentrations) featured anomalous northeasterly surface winds (blue vectors; Fig. 10), resulting
383 in observed wind speeds up to 40% stronger than climatology (red vectors in Fig. 10). Days in
384 phase 2 (highest ozone concentrations) featured anomalous westerly winds, resulting in winds up
385 to 50% weaker in magnitude (Fig. 10) than climatology. In summer, days in phases 8 and 1

386 (lowest ozone concentrations) featured surface winds very similar to climatology in both
387 magnitude and direction. In summer, the wind direction on days in phase 8 was more from the
388 north-northwest, while climatology was from the north-northeast, resulting in a very small
389 westerly anomaly. Days in phase 6 (highest ozone concentrations) also featured winds with
390 similar direction as the seasonal mean, but with speeds up to 30% faster (Fig. 10). Despite these
391 variations by MJO phase across all seasons, we do not consider the surface wind anomalies to be
392 physically consistent or representative of a large-scale pattern, for two reasons. First, because
393 Mexico City is located in a basin, surface flow fields do not normally respond to synoptic-scale
394 pattern variability (Stephens et al., 2008). Indeed, the majority of the day-to-day variability in
395 surface wind speed and direction is controlled by mesoscale, thermally-driven mountain-valley
396 circulations (Doran et al., 1998). With the exception of “cold surge” events in winter that have
397 been associated with cloudy days, the two dominant ozone patterns identified by De Foy et al.
398 (2005) only served to identify whether the ozone maximum would be in the southern or northern
399 parts of the metropolitan area. Second, the wind anomalies by MJO phase resulted in only subtle
400 changes in either direction, or speed, or both (Fig. 10). Moreover, none of the wind anomalies
401 identified in DJF would meet the northerly “cold surge” of De Foy et al. (2005), suggesting that
402 the “cold surge” events can occur during different MJO phases unrelated to modulation from the
403 MJO. Finally, the smallness of the surface wind variability by MJO phase supports our argument
404 that variability in surface ozone concentrations by MJO phase are primarily driven by variability
405 in total cloud cover and surface UV radiation, which in turn are related to anomalies in upper-
406 tropospheric circulation.

407

408 **4 Conclusions**

409 In this study, we investigated the intraseasonal variability of winter (DJF) and summer
410 (JJA) surface ozone concentrations in Mexico City. After standardizing over 1 000 000 hourly
411 observations of surface ozone from five stations around the metropolitan area, we binned them
412 by phase of the active MJO. We found that highest winter ozone concentrations occurred on days
413 when the MJO was active and in phase 2 (in the Indian Ocean), and highest summer ozone
414 concentrations occurred on days when the MJO was active and in phase 6 (in the western Pacific
415 Ocean) in summer. Lowest ozone concentrations were found on winter days in MJO phase 8 (in
416 the eastern Pacific Ocean) and summer phase 1 (in the Atlantic Ocean). This intraseasonal
417 variability in surface ozone concentrations agreed well with anomalies in cloud cover and UV-B
418 radiation: phases with highest ozone concentration had highest UV-B radiation and lowest cloud
419 cover, while phases with lowest ozone concentration had lowest UV-B radiation and highest
420 cloud cover. This agreement was found for both winter and summer. Circulation anomalies at
421 250 hPa were found to support the observed variability in ozone and cloud cover. In winter,
422 height and circulation anomalies favoring reduced cloudiness, and thus elevated surface ozone,
423 were found on days when the MJO was in phase 2, and height and circulation anomalies favoring
424 enhanced cloudiness, and thus reduced surface ozone, were found on days when the MJO was in
425 phase 8. In summer, monsoon-like 250-hPa circulation patterns that favor enhanced cloudiness,
426 and thus reduced surface ozone, were found on days when the MJO was in phase 1, and 250-hPa
427 circulation patterns opposite to the monsoon, favoring reduced cloudiness and thus elevated
428 surface ozone, were found on days when the MJO was in phase 6. We did not find physically
429 meaningful variability in surface wind direction by MJO phase, despite earlier studies suggesting
430 a relationship between surface wind and surface ozone in Mexico City. This suggests that the

431 intraseasonal variability in both summer and winter surface ozone by MJO phase is driven
432 primarily by variability in cloud cover via modulation of upper-troposphere circulation.

433

434

435 *Acknowledgements*

436 Partial funding for B. Barrett was provided by the Fulbright Scholar program of the U.S.
437 State Department and the Programa de Estancias de Investigación, Dirección General de
438 Personal Académico, Universidad Nacional Autónoma de México (DGAPA-UNAM). The air
439 quality data were obtained from the databases of the Mexico City's Air Quality Monitoring
440 Network operated by the Ministry of Environment of Mexico City. ERA-Interim data were
441 provided courtesy of ECMWF.

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543 **Table captions**

544 Table 1: Station names, locations, period of record, and number of observations.

545

546 Table 2: Relative frequency of extreme ozone days in winter (top two rows) and summer (bottom
547 two rows). A high ozone day was defined as one with a mean afternoon (1200 to 1600 local)
548 ozone anomaly across the 5 observing stations greater than the long-term (1986-2014) 90th
549 percentile. Similarly, a low ozone day was defined as one with a mean afternoon anomaly across
550 the 5 observing stations less than the long-term 10th percentile. Values in bold (winter phase 2;
551 summer phase 6) indicate phases with highest mean ozone concentrations in those seasons;
552 values in italics (winter phase 8; summer phase 1) indicate phases with lowest mean ozone
553 concentrations in those seasons. Number of days (n) in each active phase is given for each
554 season, used to estimate the relative frequency.

555

556 **Figure captions**

557 Figure 1: Locations of RAMA surface ozone stations used in this study (colored dots;
558 abbreviations defined in Table 1) and topographic height (shaded, in m) of the Mexico City
559 metropolitan region. State boundaries shown as black contours. Surface meteorology station at
560 Tacubaya (TCBY) also indicated. The inset in the upper right corner shows the location of
561 Mexico City within Mexico.

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563 Figure 2: (a) Diurnal cycle of surface ozone concentrations (ppb) at five observing stations
564 (colored lines), as well as the mean (black dotted line) for all seasons, 1986-2014. (b) Diurnal

565 cycle of surface ozone concentrations for Pedregal (PED; blue lines) and Xalostoc (XAL; red
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570

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572 in Fig. 1). (b) Relative frequencies (in %) of hourly ozone concentrations (ppb) at five observing
573 stations, 1986-2014. (c) Standard anomalies of hourly surface ozone concentrations at PED. (d)
574 Relative frequencies (in %) of standard anomalies of hourly ozone concentrations at five
575 observing stations from the RAMA network, 1986-2014.

576

577 Figure 5: (a) Height (contoured, in m), height anomalies (shaded, in m), and mean winds
578 (vectors) at 250-hPa for winter (DJF) days with standard anomalies of afternoon (1200 to 1600
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580 Anomalies (in %) of total cloud fraction, high cloud fraction, and low cloud fraction,
581 respectively, for the same winter days with standard anomalies of afternoon surface ozone
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584 based on hourly observations from 1986-2014. Height, wind, and cloud fraction data from ERA-
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586

587 Figure 6: As in Figure 5, but for summer (JJA) days.

588

589 Figure 7: Mean standard anomalies of midday (hours 12-16 local time) surface ozone
590 concentrations by active MJO phase for (a) annual, (b) DJF, and (c) JJA. Stations indicated by
591 line color. Error bars indicate largest and smallest standard anomaly values for all stations;
592 dashed black curve indicates mean value. All surface ozone observations from the RAMA
593 network, 1986-2014. (d) Standard anomalies of UV radiation (blue curves) and total cloud
594 fraction (black curves) for each active MJO phase for the entire year. (e) and (f) Same as panel
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597

598 Figure 8: Composites of 250-hPa height (in m), height anomaly (in m), and mean wind (a), and
599 total cloud fraction (in %; b), high cloud fraction (in %; c), and low cloud fraction (in %; d) for
600 winter days in active MJO phase 8. (e)-(h) Same as (a)-(d) but for winter days in active MJO
601 phase 2. Phases 8 and 2 were the phases with lowest and highest respective winter ozone
602 concentrations in Mexico City.

603

604 Figure 9: As in Figure 8, but for summer days in active MJO phase 1 (a-d) and active MJO phase
605 6 (e-h). Phases 1 and 6 were the phases with lowest and highest respective summer ozone
606 concentrations in Mexico City.

607

608 Figure 10: Mean 10-m winds at Tacubaya station (TCBY in Fig. 1) at 1800 UTC (1200 local
609 time). Mean surface wind vectors for each season, DJF and JJA, are on row one and indicated by
610 red arrows. Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases

611 associated with lowest surface ozone (phase 8 in DJF and phase 1 in JJA) are on the middle row.
612 Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases associated with
613 highest surface ozone (phase 2 in DJF and phase 6 in JJA) are on the bottom row. Note that the
614 mean winds for low ozone in DJF and high ozone in JJA are very similar to the seasonal mean
615 winds, so the anomaly (blue) vector is very small. All wind data are from NOAA National
616 Centers from Environmental Information, 1986-2014.

Table 1: Station names, locations, period of record, and number and type of observations.

Station name	Abbreviation	Latitude (°N)	Longitude (°W)	Elevation (m)	Period of record	Variable	Number of observations	Frequency of observation
Xalostoc	XAL	19.3	-99.2	2326	1986 to 2014	Surface O ₃	221472	Hourly
Tlalnepantla	TLA	19.4	-99.1	2245	1986 to 2014	Surface O ₃	230992	Hourly
Merced	MER	19.5	-99.1	2160	1986 to 2014	Surface O ₃	219404	Hourly
Pedregal	PED	19.5	-99.2	2311	1986 to 2014	Surface O ₃	217009	Hourly
UAM-Iztapalapa	UIZ	19.4	-99.1	2221	1986 to 2014	Surface O ₃	194224	Hourly
Tacubaya	TCBY	19.4	-99.2	2313	1986 to 2014	Surface wind	7398	Daily (at 1200 local)

617

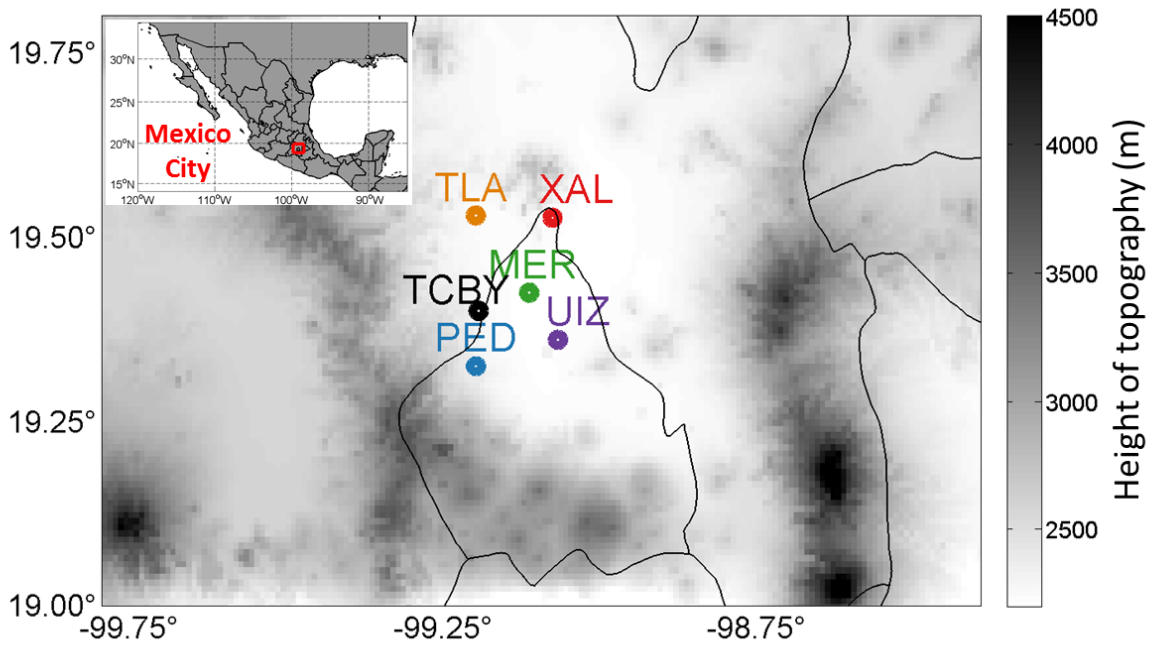
618

Table 2. Relative frequency of extreme (high or low) ozone days in winter (top two rows) and summer (bottom two rows). A high ozone day was defined as one with a mean afternoon (1200 to 1600 local) ozone anomaly across the 5 observing stations greater than the long-term (1986-2014) 90th percentile. Similarly, a low ozone day was defined as one with a mean afternoon anomaly across the 5 observing stations less than the long-term 10th percentile. Values in bold (winter phase 2; summer phase 6) indicate phases with highest mean O₃ concentrations in those seasons; values in italics (winter phase 8; summer phase 1) indicate phases with lowest mean O₃ concentrations in those seasons. Number of days (n) in each active phase is given for each season, used to estimate the relative frequency.

	Phase 1 n=134	Phase 2 n=169	Phase 3 n=249	Phase 4 n=222	Phase 5 n=226	Phase 6 n=254	Phase 7 n=282	Phase 8 n=187
Winter (DJF)								
Relative frequency of days with O ₃ concentration greater than the 90th percentile	9.7%	10.1%	7.6%	8.1%	12.8%	9.8%	12.4%	<i>8.6%</i>
Relative frequency of days with O ₃ concentration less than the 10th percentile	9.0%	7.1%	7.2%	8.1%	7.5%	9.8%	12.4%	<i>13.9%</i>
	Phase 1 n=351	Phase 2 n=267	Phase 3 n=112	Phase 4 n=114	Phase 5 n=165	Phase 6 n=137	Phase 7 n=121	Phase 8 n=161
Summer (JJA)								
Relative frequency of days with O ₃ concentration greater than the 90th percentile	<i>8.6%</i>	6.7%	3.6%	10.5%	9.7%	11.7%	11.6%	8.7%
Relative frequency of days with O ₃ concentration less than the 10th percentile	<i>17.1%</i>	9.0%	10.7%	4.4%	5.5%	3.7%	9.1%	14.9%

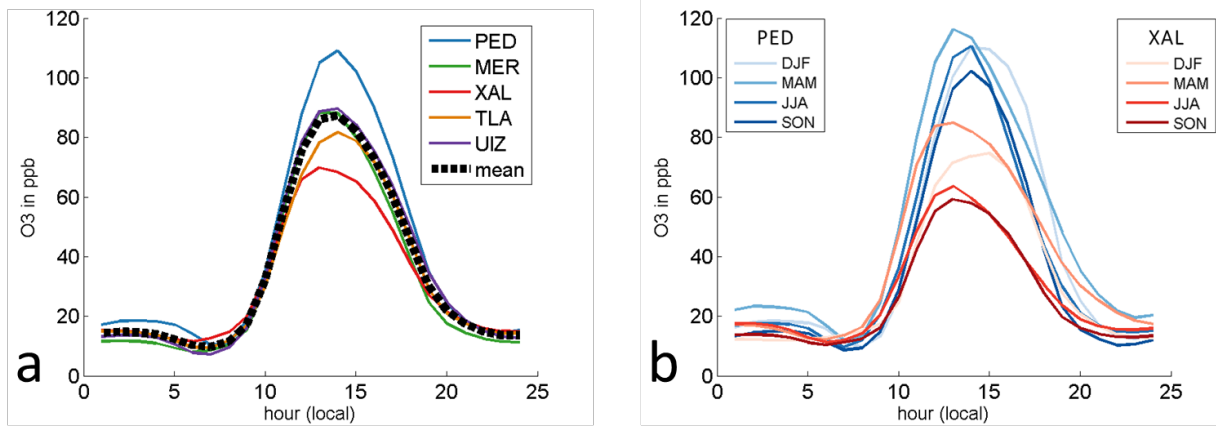
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625 **Figures**



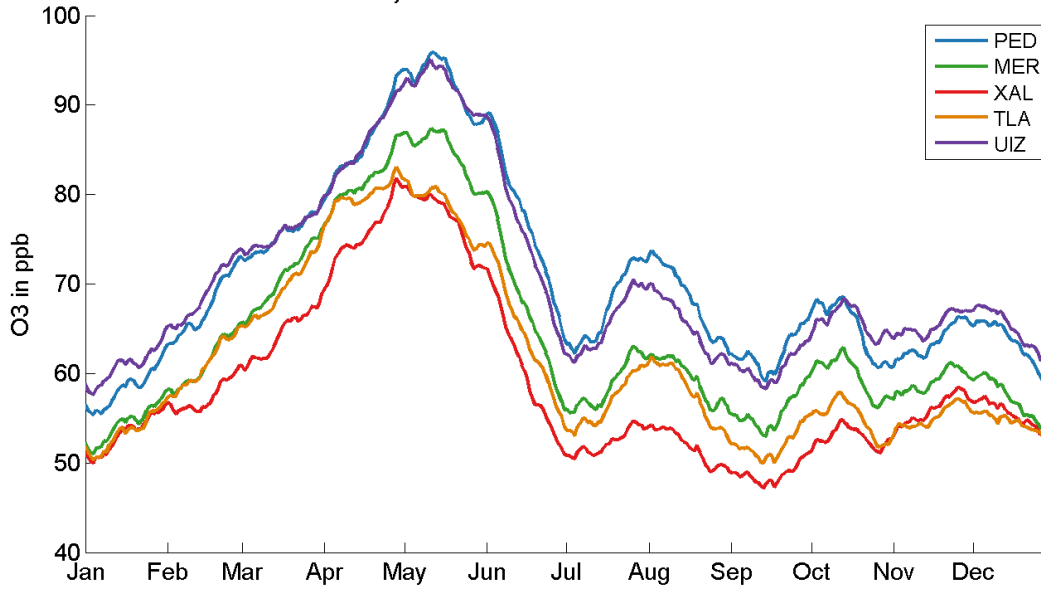
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628 abbreviations defined in Table 1) and topographic height (shaded, in m) of the Mexico City
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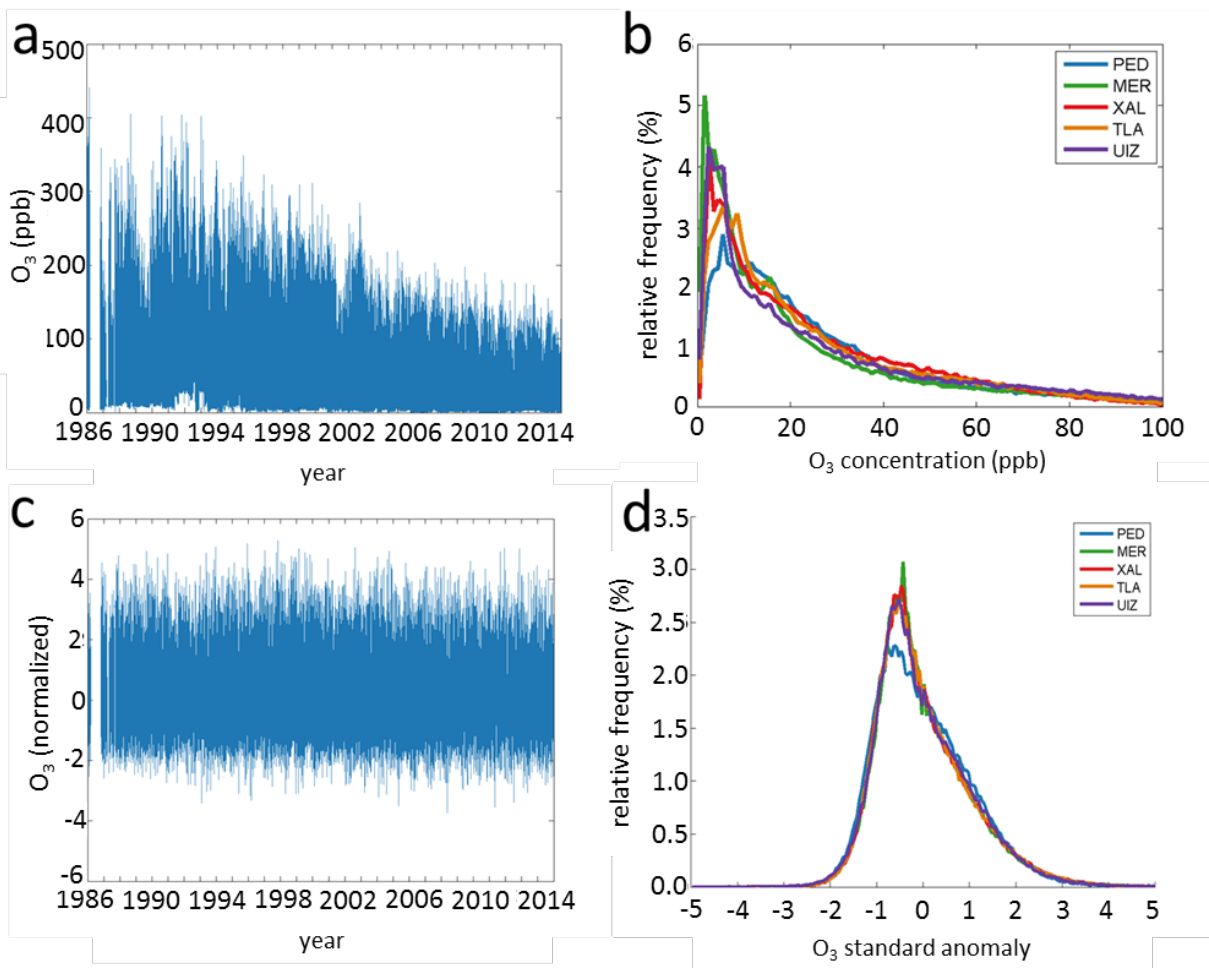
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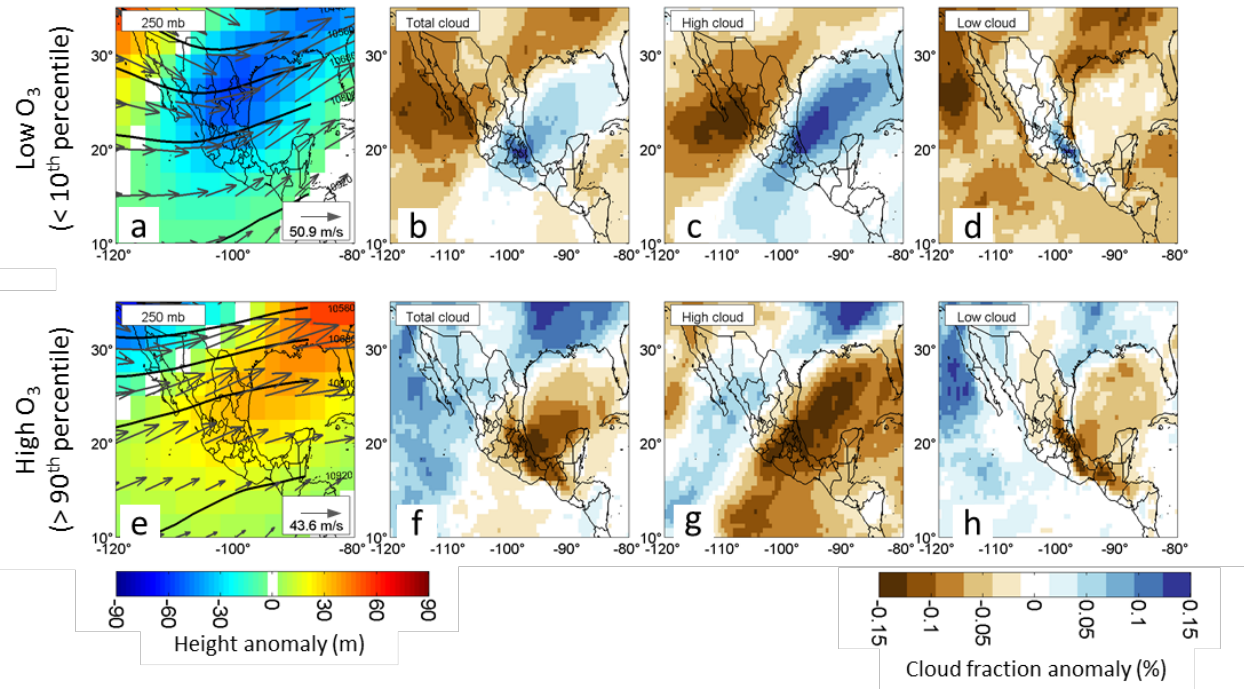


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638 **Figure 3:** Annual cycles of surface ozone concentrations (ppb) for five observing stations for
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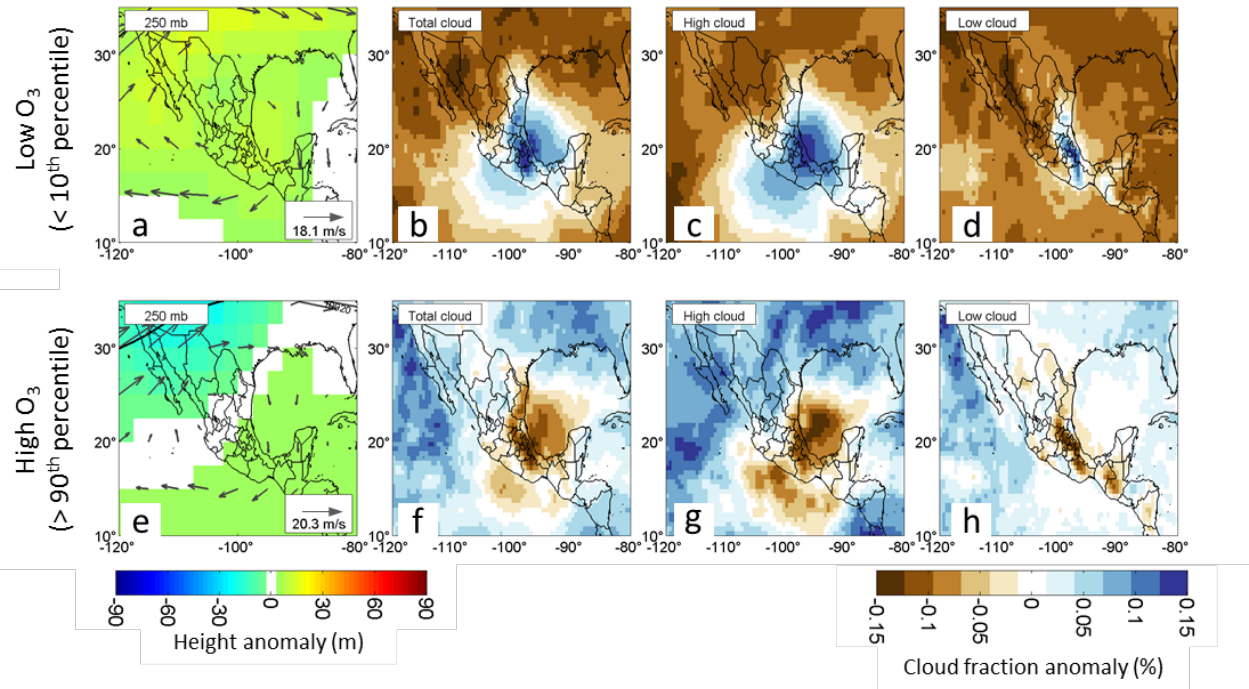


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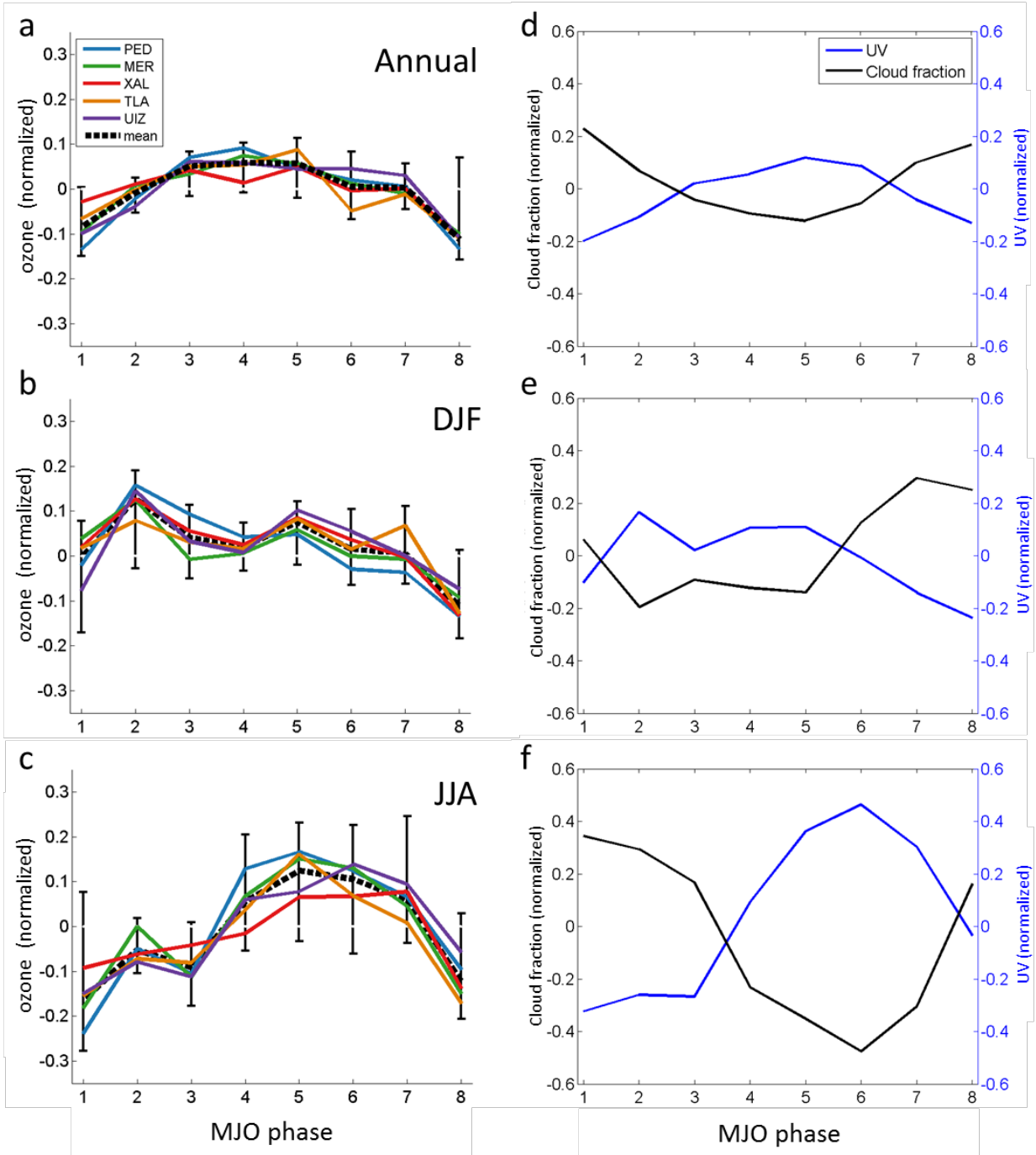
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 651 Anomalies (in %) of total cloud fraction, high cloud fraction, and low cloud fraction,
 652 respectively, for the same winter days with standard anomalies of afternoon surface ozone
 653 concentrations below the 10th percentile. (e)-(h) Same as in (a)-(d), but for winter days with
 654 mean afternoon surface ozone concentrations above the 90th percentile. Percentile calculations
 655 based on hourly observations from 1986-2014. Maximum wind speed (in m s^{-1}) is given in
 656 lower-right corner of (a) and (e). Height, wind, and cloud fraction data are from ERA-Interim
 657 reanalysis.



658

659 **Figure 6:** As in Figure 5, but for summer (JJA) days.

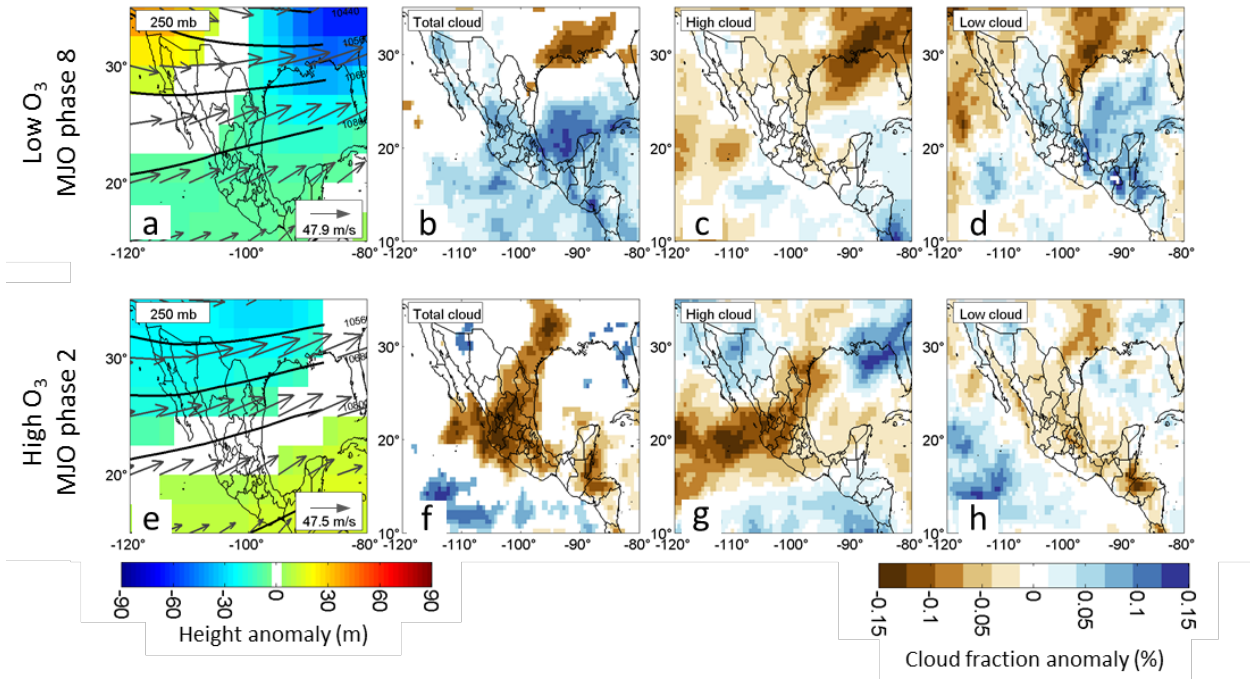


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 663 line color. Error bars indicate largest and smallest standard anomaly values for all stations;
 664 dashed black curves in (a)-(c) indicate mean values of all 5 observing stations. All surface ozone

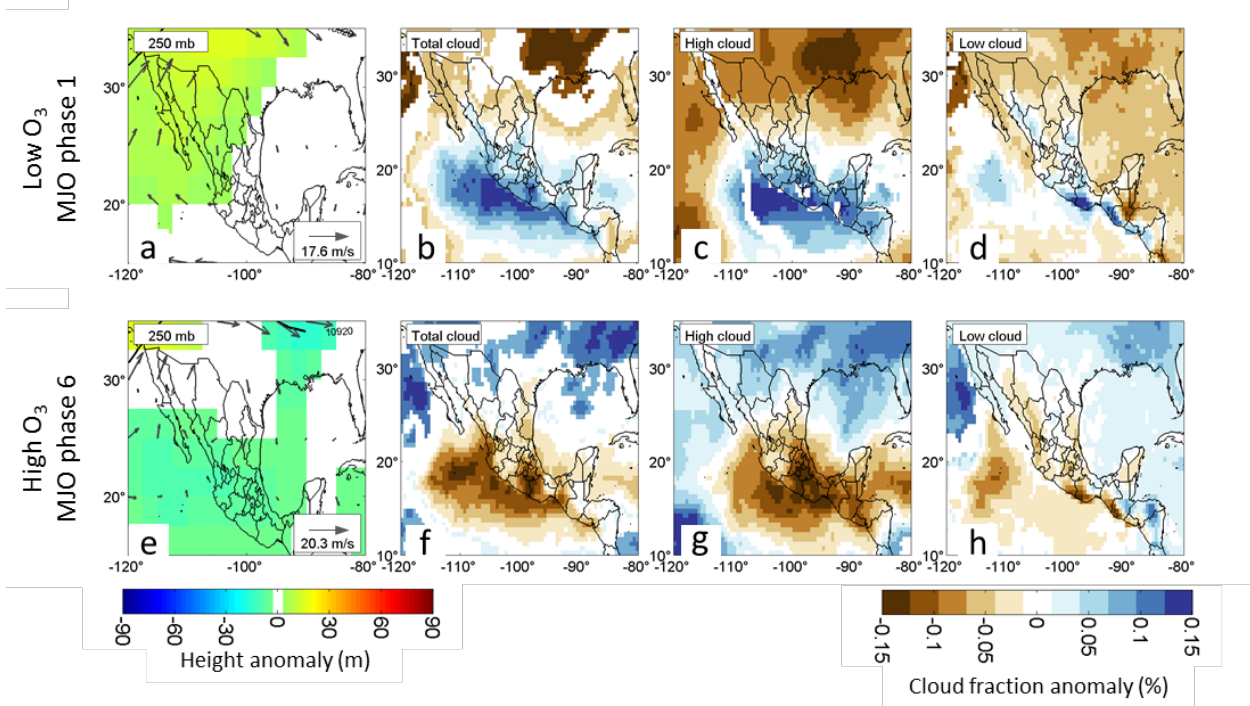
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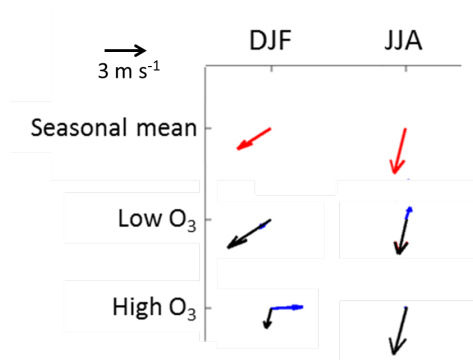
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671 **Figure 8:** Composites of 250-hPa height (in m), height anomaly (in m), and mean wind (a), and
 672 total cloud fraction (in %; b), high cloud fraction (in %; c), and low cloud fraction (in %; d) for
 673 winter days in active MJO phase 8. (e)-(h) Same as (a)-(d) but for winter days in active MJO
 674 phase 2. Maximum wind speed (in m s^{-1}) is given in lower-right corner of (a) and (e). Phases 8
 675 and 2 were the phases with lowest and highest respective winter ozone concentrations in Mexico
 676 City. Height, wind, and cloud fraction data are from ERA-Interim reanalysis.



677

678 **Figure 9:** As in Figure 8, but for summer days in active MJO phase 1 (a-d) and active MJO
 679 phase 6 (e-h). Phases 1 and 6 were the phases with lowest and highest respective summer ozone
 680 concentrations in Mexico City.



681

682 **Figure 10:** Mean 10-m winds at Tacubaya station (TCBY in Fig. 1) at 1800 UTC (1200 local

683 time). Mean surface wind vectors for each season, DJF and JJA, are on row one and indicated by

684 red arrows. Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases

685 associated with lowest surface ozone (phase 8 in DJF and phase 1 in JJA) are on the middle row.

686 Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases associated with

687 highest surface ozone (phase 2 in DJF and phase 6 in JJA) are on the bottom row. Note that the

688 mean winds for low ozone in DJF and high ozone in JJA are very similar to the seasonal mean

689 winds, so the anomaly (blue) vector is very small. All wind data are from NOAA National

690 Centers from Environmental Information, 1986-2014.