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**Variability of winter and summer surface ozone in Mexico City  
on the intraseasonal time scale**

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14 **Abstract.** Surface ozone concentrations in Mexico City frequently exceed the Mexican standard  
15 and have proven difficult to forecast due to changes in meteorological conditions at its tropical  
16 location. The Madden-Julian Oscillation (MJO) is largely responsible for intraseasonal  
17 variability in the tropics. Circulation patterns in the lower and upper troposphere and  
18 precipitation are associated with the oscillation as it progresses eastward around the planet. It is  
19 typically described by phases (labeled 1 through 8), which correspond to the broad longitudinal  
20 location of the active component of the oscillation with enhanced precipitation. In this study we  
21 evaluate the intraseasonal variability of winter and summer surface ozone concentrations in  
22 Mexico City was investigated over the period 1986-2014 to determine if there is a modulation by  
23 the MJO that would aid in the forecast of high pollution episodes.

24 Over 1 000 000 hourly observations of surface ozone from five stations around the metropolitan  
25 area were standardized and then binned by active phase of the MJO, with phase determined using  
26 the Real-time Multivariate MJO Index. Highest winter ozone concentrations were found in  
27 Mexico City on days when the MJO was active and in phase 2 (over the Indian Ocean), and  
28 highest summer ozone concentrations were found on days when the MJO was active and in  
29 phase 6 (over the western Pacific Ocean). Lowest winter ozone concentrations were found during  
30 active MJO phase 8 (over the eastern Pacific Ocean), and lowest summer ozone concentrations  
31 were found during active MJO phase 1 (over the Atlantic Ocean). Anomalies of reanalysis-based  
32 cloud cover and UV-B radiation supported the observed variability in surface ozone in both  
33 summer and winter: MJO phases with highest ozone concentration had largest positive UV-B  
34 radiation anomalies and lowest cloud cover fraction, while phases with lowest ozone  
35 concentration had largest negative UV-B radiation anomalies and highest cloud cover fraction.  
36 Furthermore, geopotential height anomalies at 250 hPa favoring reduced cloudiness, and thus

37 elevated surface ozone, were found in both seasons during MJO phases with above-normal ozone  
38 concentrations. Similar height anomalies at 250 hPa favoring enhanced cloudiness, and thus  
39 reduced surface ozone, were found in both seasons during MJO phases with below-normal ozone  
40 concentrations. These anomalies confirm a physical pathway for MJO modulation of surface  
41 ozone via modulation of the upper-troposphere.

## 42 **1 Introduction**

43 Ozone is hazardous to human health (WHO, 2008) and is a ubiquitous problem in many  
44 megacities around the world. Tropospheric ozone is a secondary pollutant produced by complex  
45 photochemistry from anthropogenic emissions and high ozone events typically affect mid-  
46 latitude urban areas during summer, while in the tropics, such events can be observed throughout  
47 the year. The problem of the incidence of high surface ozone events is exacerbated in Mexico  
48 City, a megacity with 21 million inhabitants, because of the intense solar radiation received at its  
49 relatively high elevation (more than 2200 m above sea level) and tropical latitude (19.4°N) (Lei  
50 et al., 2007). Furthermore, the city is located in a basin, effectively preventing efficient  
51 ventilation of the polluted air (Fast and Zhong, 1998; Whiteman et al., 2000; Zhang et al., 2009).

52 Seasonal variability in maximum surface ozone concentrations is not large in Mexico  
53 City due to its geographical location (Raga and LeMoyné, 1996). Both in the dry winter  
54 (December-February) and wet summer (June-August) months, clear skies and strong insolation  
55 in the morning hours promote rapid generation of surface ozone via photochemical conversions  
56 from anthropogenic precursor emissions near the surface. In both seasons, as the day progresses,  
57 the boundary layer becomes unstable from solar radiation and deepens, diluting pollutant  
58 concentrations near the surface. The growth of the boundary layer in Mexico City occurs over  
59 the course of a few hours, with typical heights reaching at least 1.2 km above the surface  
60 (Nickerson et al, 1992; Perez Vidal and Raga, 1998), even during the winter months when  
61 insolation is reduced at this latitude. Highest ozone concentrations during the winter months are  
62 often seen on days with strong insolation and light or no surface wind (Lei et al., 2007). In  
63 summer months, clouds and precipitation generally reduce the number of days with extremely  
64 elevated surface ozone concentrations. However, when large-scale atmospheric conditions are

65 favorable, such as when a high pressure regime and associated clear skies affect the Mexico City  
66 basin, elevated concentrations of surface ozone are also recorded in summer (Raga and Le  
67 Moyne, 1996). Hourly surface ozone concentrations routinely exceed the national standard, set  
68 at 110 ppb in 1993 (by law NOM-020-SSA1-1993) and modified in 2014 to 95 ppb (by law  
69 NOM-020-SSA1-2014). In 2015, hourly maximum O<sub>3</sub> concentrations in every month of the year  
70 exceeded the standard set in 2014 at monitoring stations in all five geographic regions: NE, NW,  
71 SE, SW and Center (Rodríguez et al., 2016).

72         The problem of air quality in Mexico City has been the subject of numerous field  
73 programs over the years, typically limited in time but more comprehensive in terms of the  
74 number of parameters measured. One such campaign was MILAGRO: Megacity Initiative: Local  
75 and Global Research Observations, a very large international field campaign that took place in  
76 March 2006. The results of the large number of publications from that project are summarized  
77 by Molina et al (2010). These results provided new insight into several processes related with  
78 pollutant transformations and chemical pathways, emerging from the analysis of the data  
79 collected with the large suite of sophisticated instrumentation deployed and the modeling  
80 performed. However, intensive field campaigns limited to one month, cannot address the  
81 seasonal and intraseasonal variability of the high surface ozone within the city. Past studies  
82 have examined the variability of surface ozone in Mexico City at different time scales, e.g.  
83 hourly (Raga and Le Moyne, 1996; Huerta et al., 2004; Lei et al., 2007), daily (Fast and Zhong,  
84 1998), weekly (Stephens et al., 2008), monthly (Rodríguez et al., 2016), and seasonal (Thompson  
85 et al., 2008). All of these studies noted a primary relationship between ozone concentration in  
86 Mexico City and ultraviolet (UV) radiation, where days with more UV radiation were associated  
87 with elevated surface ozone concentrations. Furthermore, UV radiation received at the surface is

88 strongly modulated by cloud cover (El-Nouby Adam and Ahmed, 2016). However, as yet, no  
89 study has explored surface ozone variability in Mexico City on the intraseasonal (30-60 day)  
90 time scale, despite known relationships between the leading mode of atmospheric intraseasonal  
91 variability, the Madden-Julian Oscillation (MJO; Madden and Julian, 1971), and tropical cloud  
92 cover (Riley et al., 2011) and circulation (Madden and Julian, 1972; Zhang, 2005). The MJO is  
93 largely responsible for intraseasonal variability in the tropics. Circulation patterns in the lower  
94 and upper troposphere and precipitation are associated with the oscillation as it progresses  
95 eastward around the planet. It is typically described by phases (labeled 1 through 8), which  
96 correspond to the broad longitudinal location of the active component of the oscillation with  
97 enhanced precipitation.

98         In this study we evaluate the intraseasonal variability of winter and summer surface  
99 ozone concentrations in Mexico City over the period 1986-2014 to determine if there is a  
100 modulation by the MJO that would aid in the forecast of high pollution episodes. Based on the  
101 relationships between surface ozone and UV radiation, UV radiation and cloud cover, and cloud  
102 cover and the MJO, the primary hypothesis tested in this study was the following: *surface ozone*  
103 *varies intraseasonally by phase of the MJO.*

104         The physical pathway hypothesized to support this intraseasonal variability was as  
105 follows: *anomalies in tropical convection associated with the MJO drive variability in upper*  
106 *tropospheric circulation, and that variability can be seen in composite anomalies of height and*  
107 *wind by MJO phase* (e.g., Madden and Julian, 1994; Zhang, 2005). Those circulation anomalies  
108 then drive variability in cloud cover and thus variability in UV radiation reaching the boundary  
109 layer, which in turn is seen in phase-to-phase variability in surface ozone concentrations in  
110 Mexico City. The cloud-UV radiation portion of our hypothesis is supported by Kerr et al.

111 (2008), who found that typical UV transmission ratios range between 0.3 and 0.8 for overcast  
112 conditions (Cede et al., 2002) and as little as 0.05 for thick cumulonimbus clouds (McArthur et  
113 al., 1999). It is also supported by An et al. (2008), who found a strong relationship between  
114 surface ozone concentrations in Beijing and surface UV radiation, particularly in summer, and  
115 noted that surface UV was up to 200% more sensitive to total cloud cover than was surface total  
116 radiation. The motivation to explore potential relationships between the MJO and surface ozone  
117 concentrations came from Barrett et al. (2012), who found differences as large as 25% of the  
118 daily mean in afternoon summer ozone concentrations in Santiago, Chile, by phase of the MJO  
119 and tied those differences to changes in cloud fraction associated with synoptic-scale circulation  
120 variability in different MJO phases.

121

## 122 **2 Data and methods**

123 The government monitoring network, Red Automática de Monitoreo Atmosférico  
124 (Automated Atmospheric Monitoring Network, RAMA) has been operational since January 1986  
125 measuring all criteria pollutants, with instrumentation certified by the US Environmental  
126 Protection Agency (EPA). In particular, the instrument to measure ozone is produced by Thermo  
127 Environmental Instrument Model 49, by UV absorbance. The RAMA currently has 33 stations  
128 within the Mexico City basin, but only a few have records dating back to 1986.

129 We selected five stations with the longest periods of record (Table 1), one station from  
130 each of the five geographic regions in the metropolitan area identified by several previous studies  
131 and summarized by Raga et al. (2001). Hourly observations from Tlalnepantla (TLA, in the  
132 northwest sector of the city, NW), Xalostoc (XAL, in the northeast sector, NE), Merced (MER,  
133 in the Center), Pedregal (PED, in the southwest sector, SW), and Universidad Autónoma

134 Metropolitana-Iztapalapa (UIZ, in the southeast sector, SE) were available beginning in January  
135 1986 and up to December 2014. See Figure 1 for station locations and Table 1 for numbers of  
136 observations and elevations of each station. Since the ozone time series were non-stationary,  
137 standard anomalies (also called normalized anomalies) were calculated by subtracting a mean  
138 value from each observation and then dividing that result by a standard deviation (Wilks, 2011).  
139 Those mean values and standard deviations for each hour were estimated applying a 30-day  
140 (approximately monthly) running window, and the 30-day period was selected to avoid influence  
141 from both seasonal variability and also the long-term trend. We did not stratify by day of the  
142 week based on Stephens et al. (2008), who found that ozone in Mexico City exhibited relatively  
143 little variability by day of the week. Furthermore, we defined a “low” ozone concentration day  
144 as one with mean afternoon (1200 to 1600 local time) ozone standard anomalies (averaged across  
145 the five observing stations) below the 10<sup>th</sup> percentile. Percentiles were determined separately for  
146 each season using standard anomalies on all days in that season from 1986 to 2014. Similarly, we  
147 defined a “high” ozone concentration day as one where mean afternoon ozone standard  
148 anomalies exceeded the 90<sup>th</sup> percentile, again calculating winter and summer percentiles  
149 separately.

150 The MJO phase was determined using the Real-time Multivariate MJO (RMM) index  
151 (Wheeler and Hendon, 2004). The daily RMM is based on time series of two principal  
152 components derived from empirical orthogonal functions of equatorially (5°S to 5°N) averaged  
153 200-hPa zonal wind, 850-hPa zonal wind, and outgoing longwave radiation. The projection of  
154 daily data onto the empirical orthogonal functions serves as a time filter and makes the RMM  
155 useful in a real-time setting (Wheeler and Hendon, 2004). The RMM is divided into eight phases,  
156 and each phase corresponds to the broad geographic location of the MJO tropical convective



157 signal on that day. An active MJO was defined in this study as one with RMM amplitude, which  
158 is the square root of the sum of the squares of the two principal components RMM1 and RMM2  
159 (Wheeler and Hendon, 2004), greater than 1.0 (LaFleur et al., 2015). Each day's hourly standard  
160 ozone anomalies were binned using the phase of active MJO of that day. Mean values for each  
161 MJO phase were then calculated, first annually and then for each season (DJF and JJA).

162 Values of geopotential height (in m) and  $u$ - and  $v$  vector wind components at 250 hPa (in  
163  $\text{m s}^{-1}$ ), along with total cloud cover, high cloud cover, and low cloud cover (expressed as  
164 fractions from 0 to 1) and downward UV radiation received at the surface (UV-B, in  $\text{W m}^{-2}$ ) at  
165 1800 UTC (1200 local time) were derived from the ERA-Interim reanalysis (Dee et al., 2011).  
166 We chose to examine 250 hPa in part based on the results of Li et al. (2012), who connected  
167 intraseasonal ozone variability across east Asia with variability in upper-troposphere  
168 geopotential heights by MJO phase. Additionally, we are aware that cloud cover in reanalysis has  
169 biases, and we selected the ERA-Interim product because it specifically includes an improved  
170 deep convective cloud triggering mechanism over tropical land masses (Bechtold et al., 2004)  
171 and thus shows skill over other products (Dee et al., 2011).

172 We selected the winter (Dec-Feb; DJF) and summer (June-August; JJA) seasons for this  
173 study because of the homogeneity in synoptic-scale weather patterns in those seasons. More  
174 details on the climatological variability of ozone in Mexico City can be found in Klaus et al.  
175 (2001).

176 Finally, daily values of surface wind at the Tacubaya station (TCBY in Fig. 1) were taken  
177 from the NOAA National Centers for Environmental Information (NCEI) Integrated Surface  
178 Database (ISD; Smith et al., 2011). Anomalies of those values, calculated with respect to  
179 seasonal means, were binned by MJO phase to give composite anomalies for each season. For

180 UV and total cloud cover in Mexico City itself, the gridded ERA-Interim value at the point  
181 closest to the mean latitude and longitude of the five RAMA stations was selected.

182

### 183 **3 Results**

#### 184 **3.1 Variability of the ozone time series**

185 The diurnal cycle of ozone concentrations at each of the stations exhibited a daily  
186 minimum around 0700 local time just prior to sunrise and a peak between 1200 and 1500 local  
187 time, with highest concentrations at the southern-most stations (PED and UIZ) and lowest in the  
188 northern-most station (XAL) (Fig. 2a). Additionally, highest ozone concentrations occurred one  
189 to two hours earlier in spring (March-May; MAM) than in winter (December-February; DJF) at  
190 both PED and XAL (Fig. 2b), and peak ozone at PED in the south occurred one to two hours  
191 after peak ozone in XAL in the north, as a result of weak northeasterly surface winds  
192 transporting ozone and photochemical precursors southward during the day (Bossert 1997).

193 Mean ozone concentrations in spring were nearly 30% higher at all stations than the rest  
194 of the year (Fig. 3, with observations smoothed by a 30-day running mean), and the effects of  
195 increased UV radiation during the “mid-summer drought” (*canícula*) (Magaña et al. 1999) were  
196 reflected as a secondary peak in ozone concentrations in August. Minimum O<sub>3</sub> concentrations  
197 were observed in all five stations during September, when daily maximum precipitation was  
198 observed in Mexico City.

199 One of the challenges in examining intraseasonal variability of ozone is the need for a  
200 stationary record over a long period. In Mexico City, ozone concentrations have steadily  
201 decreased from the early 1990s to the 2010s (Fig. 4a; also Rodríguez et al., 2016) as a result of  
202 pollution control measures (Molina and Molina, 2004). In order to remove the long-term trend,

203 while keeping the intraseasonal variability at hourly resolution, hourly observations were  
204 converted to standard anomalies as described in Section 2. Results of this transformation of  
205 hourly observations to standard anomalies for station PED are shown in Figures 4a (original  
206 hourly observations) and 4c (hourly standard anomalies). Standard anomalies for the other four  
207 stations show very similar results.

208 We note that overnight minimum observations from 1991 to 1993 were probably  
209 overestimated in the observational record (Fig. 4a), an artifact also seen in the other four stations  
210 (not shown). However, because in this study we focused on afternoon values (from 1200 to 1600  
211 local time), that potential overestimation did not materially impact our results.

212 By transforming each hourly observation into a standard anomaly, the distribution of  
213 relative frequencies shifted from highly non-Gaussian, with peaks near zero and very long right  
214 tails (Fig. 4b), to more Gaussian, with peaks near -0.5 and reduced skewness (Fig. 4d). Although  
215 the peaks in these transformed distributions were less than zero, and the right tails were longer  
216 than the left tails, the means of each of the distributions of standard anomalies in Figure 4d were  
217 very near zero, falling between -0.03 and 0.

218

### 219 **3.2 Synoptic patterns associated with low and high ozone**

220 Before examining ozone variability by MJO phase, it was important to first establish the  
221 synoptic-scale patterns associated with days of low and high ozone concentrations (defined in  
222 Section 2) in each season.

223 In winter (DJF), the synoptic pattern on days with low afternoon surface ozone  
224 concentration featured a 250-hPa ridge over northwest Mexico and the southwest U.S. (height  
225 anomalies up to +50 m) and a 250-hPa trough over central, eastern, and southern Mexico and the

226 southern and eastern U.S. (height anomalies -10 to -40 m) (Fig. 5a). Mean circulation at 250-hPa  
227 on low DJF ozone days was nearly westerly off the central Mexican west coast turning to  
228 southwesterly over central Mexico (Fig. 5a). This synoptic pattern would favor enhanced  
229 cloudiness over Mexico City (and thus reduced UV radiation and lower ozone concentrations)  
230 via two mechanisms: first, through quasi-geostrophic ascent associated with the 250-hPa trough,  
231 and second, through advection of moisture and high-level clouds from the subtropical Pacific  
232 (around 20°N) associated with westerly and west-northwesterly winds (Fig. 5a). Indeed, positive  
233 total cloud fraction anomalies were seen with this height and circulation pattern, and those cloud  
234 fraction anomalies (+0.05 to +0.10) extended over central and southern Mexico and  
235 northeastward into the Gulf of Mexico (Fig. 5b). Those anomalies were likely comprised  
236 primarily of high cloud (+0.05 to +0.15; Fig. 5c), given the resemblance between the pattern of  
237 total cloud cover (Fig. 5b) and high cloud cover (Fig. 5c). A region of positive low cloud cover  
238 anomalies (up to +0.15; Fig. 5d) was also seen in central Mexico on winter days with lowest O<sub>3</sub>  
239 concentrations, likely associated with surface wind convergence over the Sierra Madre Oriental  
240 Mountains, although low cloud fraction anomalies over Mexico City itself were less than +0.05.

241 The synoptic pattern for winter days with high surface ozone concentration was opposite  
242 that for the low ozone days. Over northwest Mexico and the southwest U.S., a trough was seen at  
243 250-hPa (anomalies -10 to -70 m), while a ridge was seen over central, southern, and eastern  
244 Mexico and the southern and eastern U.S. (anomalies to +50 m; Fig. 5e). Circulation at 250 hPa  
245 over central Mexico was southwesterly (compared to westerly for low ozone days). Negative  
246 total cloud fraction anomalies (-0.05 to -0.15) over central and southern Mexico were associated  
247 with this circulation pattern (Fig. 5f). This pattern would promote clearer than normal skies (and  
248 thus enhanced UV radiation and surface ozone production) by both favoring quasi-geostrophic

249 subsidence over central Mexico (associated with the above-normal heights and ridging at 250  
250 hPa) and by advecting dry, cloud-free air toward central Mexico from the tropical East Pacific  
251 Ocean originating near 10°N (Fig. 5g). Similar to low ozone days, most of the negative total  
252 cloud fraction anomalies were likely result of the reduction in the presence of high cloud (Fig.  
253 5g), given similarity of the anomaly patterns between total (Fig. 5f) and high (Fig. 5g) cloud  
254 fraction. The low cloud fraction anomaly over Mexico City itself (Fig. 5h) was close to zero,  
255 although negative low cloud fraction anomalies (-0.05 to -0.15) were seen over the low-land  
256 states bordering the Gulf of Mexico (Fig. 5h).

257         Summer days with low surface ozone concentration featured a slight anomalous ridge  
258 (height anomalies of +5 to +15 m) over northern Mexico and much of the U.S. (Fig. 6a). This  
259 synoptic-scale pattern would favor cloudiness because positive geopotential height anomalies at  
260 250 hPa over northern Mexico and the southwest U.S. would be associated with a stronger  
261 summer anticyclone, signifying a more intense monsoon circulation, easterly winds at 250 hPa in  
262 central and southern Mexico (Fig. 6a), and precipitation in central and southern Mexico. Indeed,  
263 low ozone days featured positive anomalies in total cloud fraction (Fig. 6b), high cloud fraction  
264 (Fig. 6c), and low cloud fraction (Fig. 6d), with anomalies of each fractional cloud cover variable  
265 ranging from +0.05 to +0.15. The regions of positive total and high cloud cover anomalies  
266 extended over much of central Mexico, but anomalies in low cloud fraction were confined to  
267 Mexico City and the states bordering it (Fig. 6d). Summer days with high ozone concentration  
268 featured less ridging over northwestern Mexico and the southwest U.S., with 250-hPa height  
269 anomalies of -10 to -20 m (Fig. 6e). This synoptic-scale pattern with weaker ridging over  
270 northwest Mexico and the southwest U.S., and stronger ridging over Central America, is  
271 opposite of the climatological monsoon circulation and would favor less precipitation in central

272 Mexico. Indeed, negative anomalies in fraction of total cloud cover (Fig. 6f), high cloud cover  
273 (Fig. 6g), and low cloud cover (Fig. 6h) were seen on days with high ozone concentrations, with  
274 anomaly magnitudes of -0.05 to -0.15 over much of central and southern Mexico (total and high  
275 cloud cover) and the states bordering Mexico City and along the Sierra Madre Occidental  
276 mountains (Fig. 6h). In the next section, these seasonal ozone pattern composites are compared  
277 to pattern composites for MJO phases with greatest ozone anomalies.

278

### 279 **3.3 Intraseasonal ozone variability**

280 On an annual basis, afternoon (1200 to 1600 local time) surface ozone concentrations in  
281 Mexico City were found to vary by MJO phase. Highest ozone concentrations were noted on  
282 days when MJO was active and in phases 3, 4, and 5, while lowest ozone concentrations were  
283 noted on days when the MJO was active and in phases 1 and 2 (Fig. 7a). This variability was  
284 seen at all five stations, regardless of geographic position within the basin. Normalized  
285 anomalies of surface UV radiation and total cloud fraction from ERA-Interim reanalysis strongly  
286 supported the observed surface ozone variability: MJO phases with highest ozone concentrations  
287 also had highest UV anomalies and lowest total cloud fraction anomalies, while MJO phases  
288 with lowest ozone concentrations had the most negative UV and the most positive cloud fraction  
289 anomalies (Fig. 7d). We found this agreement remarkable, particularly so because the two data  
290 sets independently presented the same intraseasonal pattern.

291 On a seasonal basis, surface ozone concentrations in Mexico City were also found to vary  
292 by MJO phase. However, the dependence on phase was found to change between winter and  
293 summer, meaning a phase associated with higher ozone concentrations in winter would not  
294 necessarily be associated with higher ozone concentrations in summer. We attribute these

295 differences to seasonality in both the convective properties of the MJO itself (e.g., Zhang and  
296 Dong, 2004; Wu et al., 2006) and in the extratropical atmosphere, whose circulation the MJO  
297 modulates (Gloeckler and Roundy, 2013). Despite the phase-to-phase variability in maximum  
298 and minimum ozone concentrations throughout the year, in all seasons, there remained good  
299 agreement between phases with highest (lowest) ozone concentrations and phases with highest  
300 (lowest) UV and lowest (highest) total cloud fraction. That is, the sunnier phases were  
301 consistently associated with the highest ozone concentrations.

302         In winter months (DJF), highest ozone concentrations were found on days when the MJO  
303 was in phase 2, and lowest ozone concentrations were found on days when the MJO was in phase  
304 8 (Fig. 7b). Highest UV radiation, and lowest total cloud fraction, were seen on days when the  
305 MJO was in phase 2, and lowest UV radiation and second-highest cloud fraction were seen on  
306 days when the MJO was in phase 8 (Fig. 7e). In summer months (JJA), highest ozone  
307 concentrations were found on days when the MJO was in phases 5, 6, and 7, and lowest ozone  
308 concentrations were found on days when the MJO was in phases 1 and 8 (Fig. 7c). Highest UV  
309 radiation, and lowest total cloud fraction, was seen on days when the MJO was in phase 6, and  
310 lowest UV radiation and highest total cloud fraction were seen on days when the MJO was in  
311 phase 1. In both winter and summer, UV radiation and cloud cover anomalies strongly supported  
312 observed surface ozone anomalies, whereby the cloudiest MJO phases featured lowest ozone and  
313 the sunniest phases featured highest ozone. We again consider this agreement remarkable, given  
314 the independence of the ozone and reanalysis data sets. Summer months (JJA) featured the  
315 greatest range in mean ozone concentrations by MJO phase: a difference in 0.25 standard  
316 anomaly units between the phases with the highest ozone concentrations (phases 5 and 6) and the

317 phases with the lowest ozone concentrations (phases 1 and 8) (Fig. 7c). Summer months also  
318 featured the largest spread in both UV and total cloud fraction standard anomalies (Fig. 7f).

319 An examination of the frequency of “extreme” ozone days in each MJO phase (here a day  
320 with an “extreme” ozone value was defined for each season as an afternoon standard anomaly  
321 either above the 90<sup>th</sup> percentile value or below the 10<sup>th</sup> percentile value) provides additional  
322 insight into the character of the MJO modulation of ozone. In both winter and summer, the  
323 phases associated with highest ozone concentrations (phase 2 in winter and phase 6 in summer)  
324 featured the fewest occurrences of days with extremely low ozone (days with concentrations  
325 below the 10<sup>th</sup> percentile; Table 2). Those phases also featured either the highest (in summer) or  
326 near-highest (in winter) occurrences of days with concentrations above the 90<sup>th</sup> percentile (Table  
327 2). Furthermore, the phases associated with lowest ozone concentration (phase 8 in winter and  
328 phase 1 in summer) featured the highest occurrences of days with low ozone (Table 2) and  
329 below-normal occurrence of days with high ozone. These results confirm that one manner in  
330 which the MJO modulates ozone concentration in Mexico City is to reduce (or augment) the  
331 frequency of days with afternoon ozone concentrations either below the 10<sup>th</sup> or above the 90<sup>th</sup>  
332 percentiles.

333 To examine physical mechanisms for the observed variability in ozone concentration and  
334 cloud cover by MJO phase, composite anomalies of 250-hPa height and *u*- and *v*- wind  
335 components were created for each active MJO phase for each season. Seasonal anomalies of total  
336 cloud fraction, high cloud fraction, and low cloud fraction were also composited for each active  
337 MJO phase. In both seasons, anomalies of each variable were found for all eight MJO phases.  
338 However, for the remainder of this paper, we focus only on the synoptic-scale conditions in  
339 phases with maximum and minimum surface ozone. In DJF, minimum ozone concentrations



340 occurred on days when the MJO was active and in phase 8. In that phase, anomalous 250-hPa  
341 ridging was seen over northwest Mexico and the southwest U.S. (anomalies up to +50 m) and  
342 anomalous 250-hPa troughing over northeast Mexico and the southeastern U.S. (anomalies to -60  
343 m) (Fig. 8a). This height pattern resembled the seasonal pattern for winter days with above-  
344 normal cloudiness and low ozone (Figs. 5a), with troughing over central Mexico favoring both  
345 cloud formation via ascent and cloud advection from the subtropical East Pacific Ocean. Indeed,  
346 on days in MJO phase 8, total cloud cover anomalies were positive over nearly all of Mexico,  
347 ranging from +0.05 to +0.15 (Fig. 8b). Anomalies in high cloud cover were smaller in magnitude  
348 (up to +0.05), and over Mexico City, high cloud cover anomalies were zero (Fig. 8c). Positive  
349 low cloud anomalies were confined to the states to the east of Mexico City (Fig. 8d), which when  
350 combined with high cloud cover anomalies, suggest that the anomalies in total cloud cover (Fig.  
351 8b) were composed of anomalies at multiple levels.

352 Maximum winter ozone concentrations occurred on days when the MJO was active and  
353 in phase 2, and on those days, a synoptic-scale pattern opposite to that of phase 8 was seen:  
354 anomalous 250-hPa troughing was seen over northern Mexico and the south-central U.S. (height  
355 anomalies of -10 to -30 m) and anomalous 250-hPa ridging was seen over central and southern  
356 Mexico and Central America (height anomalies +5 to +20 m) (Fig. 8e). This height pattern  
357 resembled the seasonal pattern for high ozone and low cloud fraction (Fig. 5e), with anomalous  
358 ridging favoring clearer than normal skies via subsidence and advection of dry air from the  
359 tropical East Pacific. Indeed, below-normal total cloud fraction (anomalies -0.05 to -0.15; Fig.  
360 8f), high cloud fraction (anomalies -0.05 to -0.15; Fig. 8g), and low cloud fraction (anomalies -  
361 0.05 to -0.10; Fig. 8h) were seen on days when the MJO was in phase 2 over much of central and  
362 southern Mexico.

363 In JJA, minimum ozone concentrations occurred on days when the MJO was in phase 1.  
364 In that phase, anomalous 250-hPa ridging was seen over northwest Mexico and the southwest  
365 U.S. (anomalies up to +20 m) and anomalous 250-hPa troughing in the tropical East Pacific  
366 Ocean (anomalies to -20 m) (Fig. 9a). This height pattern resembled the seasonal pattern for  
367 summer days associated with below-normal cloudiness and high ozone (Figs. 6a), with ridging to  
368 the north characteristic of the summer monsoon in central Mexico. Indeed, above-normal total  
369 cloud fraction (+0.05 to +0.15; Fig. 9b), above-normal high cloud fraction (+0.05 to +0.15; Fig.  
370 9c), and above-normal low cloud fraction (+0.05 to +0.10; Fig. 9d) were seen over central and  
371 southern Mexico for days in MJO phase 1. Summer maximum ozone concentrations were seen  
372 on days when the MJO was in phase 6. In that phase, a weaker-than-normal ridge at 250 hPa was  
373 seen as anomalous heights of -10 to -20 m over much of central Mexico (Fig. 9e). This height  
374 pattern resembled the seasonal pattern for summer days associated with above-normal cloudiness  
375 and high ozone (Figs. 6e), as it is largely opposite of that which characterizes the central Mexico  
376 summer monsoon. Indeed, below-normal total cloud fraction (-0.05 to -0.15; Fig. 9f), high cloud  
377 fraction (-0.05 to -0.15; Fig. 9g), and low cloud fraction (-0.05; Fig. 9h) were all seen on days  
378 when the MJO was in phase 6.

379 The final physical variable examined for intraseasonal variability by MJO phase was the  
380 surface wind vector at 1800 UTC (1200 local time) at Tacubaya (TCBY in Fig. 1) in the center-  
381 west portion of the metropolitan area (Fig. 1). In winter, days in phase 8 (lowest ozone  
382 concentrations) featured anomalous northeasterly surface winds (blue vectors; Fig. 10), resulting  
383 in observed wind speeds up to 40% stronger than climatology (red vectors in Fig. 10). Days in  
384 phase 2 (highest ozone concentrations) featured anomalous westerly winds, resulting in winds up  
385 to 50% weaker in magnitude (Fig. 10) than climatology. In summer, days in phases 8 and 1

386 (lowest ozone concentrations) featured surface winds very similar to climatology in both  
387 magnitude and direction. In summer, the wind direction on days in phase 8 was more from the  
388 north-northwest, while climatology was from the north-northeast, resulting in a very small  
389 westerly anomaly. Days in phase 6 (highest ozone concentrations) also featured winds with  
390 similar direction as the seasonal mean, but with speeds up to 30% faster (Fig. 10). Despite these  
391 variations by MJO phase across all seasons, we do not consider the surface wind anomalies to be  
392 physically consistent or representative of a large-scale pattern, for two reasons. First, because  
393 Mexico City is located in a basin, surface flow fields do not normally respond to synoptic-scale  
394 pattern variability (Stephens et al., 2008). Indeed, the majority of the day-to-day variability in  
395 surface wind speed and direction is controlled by mesoscale, thermally-driven mountain-valley  
396 circulations (Doran et al., 1998). With the exception of “cold surge” events in winter that have  
397 been associated with cloudy days, the two dominant ozone patterns identified by De Foy et al.  
398 (2005) only served to identify whether the ozone maximum would be in the southern or northern  
399 parts of the metropolitan area. Second, the wind anomalies by MJO phase resulted in only subtle  
400 changes in either direction, or speed, or both (Fig. 10). Moreover, none of the wind anomalies  
401 identified in DJF would meet the northerly “cold surge” of De Foy et al. (2005), suggesting that  
402 the “cold surge” events can occur during different MJO phases unrelated to modulation from the  
403 MJO. Finally, the smallness of the surface wind variability by MJO phase supports our argument  
404 that variability in surface ozone concentrations by MJO phase are primarily driven by variability  
405 in total cloud cover and surface UV radiation, which in turn are related to anomalies in upper-  
406 tropospheric circulation.

407

#### 408 **4 Conclusions**

409 In this study, we investigated the intraseasonal variability of winter (DJF) and summer  
410 (JJA) surface ozone concentrations in Mexico City. After standardizing over 1 000 000 hourly  
411 observations of surface ozone from five stations around the metropolitan area, we binned them  
412 by phase of the active MJO. We found that highest winter ozone concentrations occurred on days  
413 when the MJO was active and in phase 2 (in the Indian Ocean), and highest summer ozone  
414 concentrations occurred on days when the MJO was active and in phase 6 (in the western Pacific  
415 Ocean) in summer. Lowest ozone concentrations were found on winter days in MJO phase 8 (in  
416 the eastern Pacific Ocean) and summer phase 1 (in the Atlantic Ocean). This intraseasonal  
417 variability in surface ozone concentrations agreed well with anomalies in cloud cover and UV-B  
418 radiation: phases with highest ozone concentration had highest UV-B radiation and lowest cloud  
419 cover, while phases with lowest ozone concentration had lowest UV-B radiation and highest  
420 cloud cover. This agreement was found for both winter and summer. Circulation anomalies at  
421 250 hPa were found to support the observed variability in ozone and cloud cover. In winter,  
422 height and circulation anomalies favoring reduced cloudiness, and thus elevated surface ozone,  
423 were found on days when the MJO was in phase 2, and height and circulation anomalies favoring  
424 enhanced cloudiness, and thus reduced surface ozone, were found on days when the MJO was in  
425 phase 8. In summer, monsoon-like 250-hPa circulation patterns that favor enhanced cloudiness,  
426 and thus reduced surface ozone, were found on days when the MJO was in phase 1, and 250-hPa  
427 circulation patterns opposite to the monsoon, favoring reduced cloudiness and thus elevated  
428 surface ozone, were found on days when the MJO was in phase 6. We did not find physically  
429 meaningful variability in surface wind direction by MJO phase, despite earlier studies suggesting  
430 a relationship between surface wind and surface ozone in Mexico City. This suggests that the

431 intraseasonal variability in both summer and winter surface ozone by MJO phase is driven  
432 primarily by variability in cloud cover via modulation of upper-troposphere circulation.

433

434

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440 Network operated by the Ministry of Environment of Mexico City. ERA-Interim data were  
441 provided courtesy of ECMWF.

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543 **Table captions**

544 Table 1: Station names, locations, period of record, and number of observations.

545

546 Table 2: Relative frequency of extreme ozone days in winter (top two rows) and summer (bottom  
547 two rows). A high ozone day was defined as one with a mean afternoon (1200 to 1600 local)  
548 ozone anomaly across the 5 observing stations greater than the long-term (1986-2014) 90th  
549 percentile. Similarly, a low ozone day was defined as one with a mean afternoon anomaly across  
550 the 5 observing stations less than the long-term 10th percentile. Bold values (winter phase 2;  
551 summer phase 6) indicate phases with highest mean ozone concentrations in those seasons;  
552 italics in italics (winter phase 8; summer phase 1) indicate phases with lowest mean ozone  
553 concentrations in those seasons. Number of days (n) in each active phase is given for each  
554 season, used to estimate the relative frequency.

555

556 **Figure captions**

557 Figure 1: Locations of RAMA surface ozone stations used in this study (colored dots;  
558 abbreviations defined in Table 1) and topographic height (shaded, in m) of the Mexico City  
559 metropolitan region. State boundaries shown as black contours. Surface meteorology station at  
560 Tacubaya (TCBY) also indicated. The inset in the upper right corner shows the location of  
561 Mexico City within Mexico.

562

563 Figure 2: (a) Diurnal cycle of surface ozone concentrations (ppb) at five observing stations  
564 (colored lines), as well as the mean (black dotted line) for all seasons, 1986-2014. (b) Diurnal

565 cycle of surface ozone concentrations for Pedregal (PED; blue lines) and Xalostoc (XAL; red  
566 lines) by season from the RAMA network, 1986-2014.

567 Figure 3: Annual cycles of surface ozone concentrations (ppb) for five observing stations for  
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570

571 Figure 4: (a) Hourly observations of surface ozone concentrations (ppb) at Pedregal station (PED  
572 in Fig. 1). (b) Relative frequencies (in %) of hourly ozone concentrations (ppb) at five observing  
573 stations, 1986-2014. (c) Standard anomalies of hourly surface ozone concentrations at PED. (d)  
574 Relative frequencies (in %) of standard anomalies of hourly ozone concentrations at five  
575 observing stations from the RAMA network, 1986-2014.

576

577 Figure 5: (a) Height (contoured, in m), height anomalies (shaded, in m), and mean winds  
578 (vectors) at 250-hPa for winter (DJF) days with standard anomalies of afternoon (1200 to 1600  
579 local time) surface ozone at the five observing stations (Fig. 1) below the 10<sup>th</sup> percentile. (b)-(d)  
580 Anomalies (in %) of total cloud fraction, high cloud fraction, and low cloud fraction,  
581 respectively, for the same winter days with standard anomalies of afternoon surface ozone  
582 concentrations below the 10th percentile. (e)-(h) Same as in (a)-(d), but for winter days with  
583 mean afternoon surface ozone concentrations above the 90<sup>th</sup> percentile. Percentile calculations  
584 based on hourly observations from 1986-2014. Height, wind, and cloud fraction data from ERA-  
585 Interim; ozone concentrations from RAMA stations.

586

587 Figure 6: As in Figure 5, but for summer (JJA) days.

588

589 Figure 7: Mean standard anomalies of midday (hours 12-16 local time) surface ozone  
590 concentrations by active MJO phase for (a) annual, (b) DJF, and (c) JJA. Stations indicated by  
591 line color. Error bars indicate largest and smallest standard anomaly values for all stations;  
592 dashed black curve indicates mean value. All surface ozone observations from the RAMA  
593 network, 1986-2014. (d) Standard anomalies of UV radiation (blue curves) and total cloud  
594 fraction (black curves) for each active MJO phase for the entire year. (e) and (f) Same as panel  
595 (d) but for DJF and JJA, respectively. UV and cloud fraction data from ERA-Interim reanalysis,  
596 1986-2014, for the grid closest to Mexico City.

597

598 Figure 8: Composites of 250-hPa height (in m), height anomaly (in m), and mean wind (a), and  
599 total cloud fraction (in %; b), high cloud fraction (in %; c), and low cloud fraction (in %; d) for  
600 winter days in active MJO phase 8. (e)-(h) Same as (a)-(d) but for winter days in active MJO  
601 phase 2. Phases 8 and 2 were the phases with lowest and highest respective winter ozone  
602 concentrations in Mexico City.

603

604 Figure 9: As in Figure 8, but for summer days in active MJO phase 1 (a-d) and active MJO phase  
605 6 (e-h). Phases 1 and 6 were the phases with lowest and highest respective summer ozone  
606 concentrations in Mexico City.

607

608 Figure 10: Mean 10-m winds at Tacubaya station (TCBY in Fig. 1) at 1800 UTC (1200 local  
609 time). Mean surface wind vectors for each season, DJF and JJA, are on row one and indicated by  
610 red arrows. Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases

611 associated with lowest surface ozone (phase 8 in DJF and phase 1 in JJA) are on the middle row.  
612 Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases associated with  
613 highest surface ozone (phase 2 in DJF and phase 6 in JJA) are on the bottom row. Note that the  
614 mean winds for low ozone in DJF and high ozone in JJA are very similar to the seasonal mean  
615 winds, so the anomaly (blue) vector is very small. All wind data are from NOAA National  
616 Centers from Environmental Information, 1986-2014.

Table 1: Station names, locations, period of record, and number and type of observations.

Station name	Abbreviation	Latitude (°N)	Longitude (°W)	Elevation (m)	Period of record	Variable	Number of observations	Frequency of observation
Xalostoc	XAL	19.3	-99.2	2326	1986 to 2014	Surface O <sub>3</sub>	221472	Hourly
Tlalnepantla	TLA	19.4	-99.1	2245	1986 to 2014	Surface O <sub>3</sub>	230992	Hourly
Merced	MER	19.5	-99.1	2160	1986 to 2014	Surface O <sub>3</sub>	219404	Hourly
Pedregal	PED	19.5	-99.2	2311	1986 to 2014	Surface O <sub>3</sub>	217009	Hourly
UAM-Iztapalapa	UIZ	19.4	-99.1	2221	1986 to 2014	Surface O <sub>3</sub>	194224	Hourly
Tacubaya	TCBY	19.4	-99.2	2313	1986 to 2014	Surface wind	7398	Daily (at 1200 local)

617

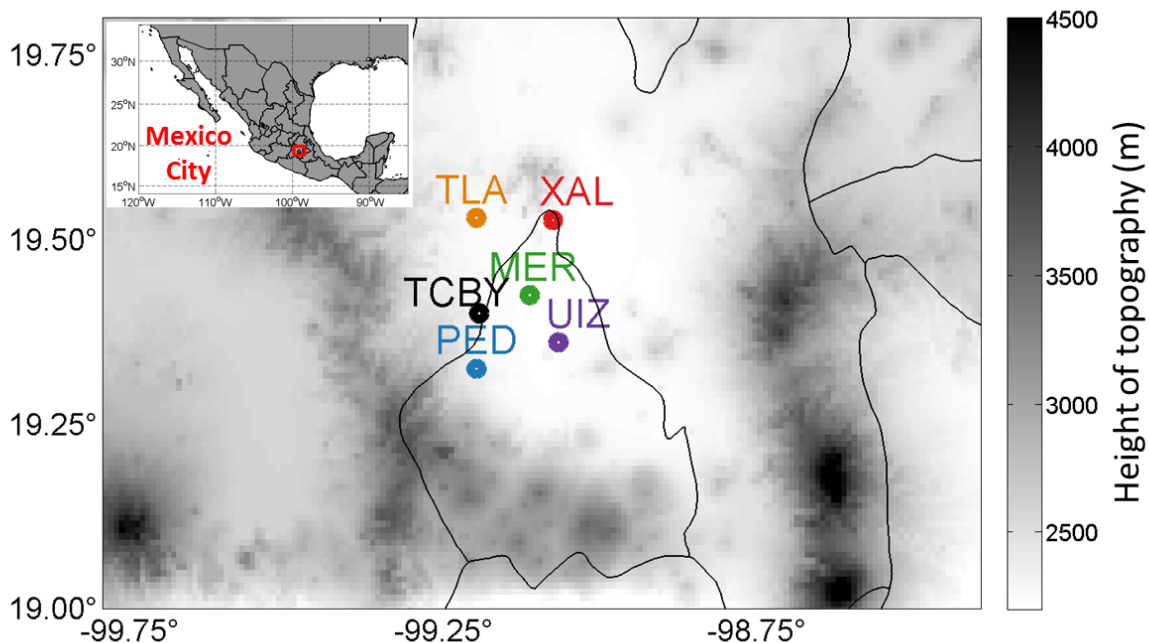
618

Table 2. Relative frequency of extreme (high or low) ozone days in winter (top two rows) and summer (bottom two rows). A high ozone day was defined as one with a mean afternoon (1200 to 1600 local) ozone anomaly across the 5 observing stations greater than the long-term (1986-2014) 90th percentile. Similarly, a low ozone day was defined as one with a mean afternoon anomaly across the 5 observing stations less than the long-term 10th percentile. Bold values (winter phase 2; summer phase 6) indicate phases with highest mean O<sub>3</sub> concentrations in those seasons; italics values (winter phase 8; summer phase 1) indicate phases with lowest mean O<sub>3</sub> concentrations in those seasons. Number of days (n) in each active phase is given for each season, used to estimate the relative frequency.

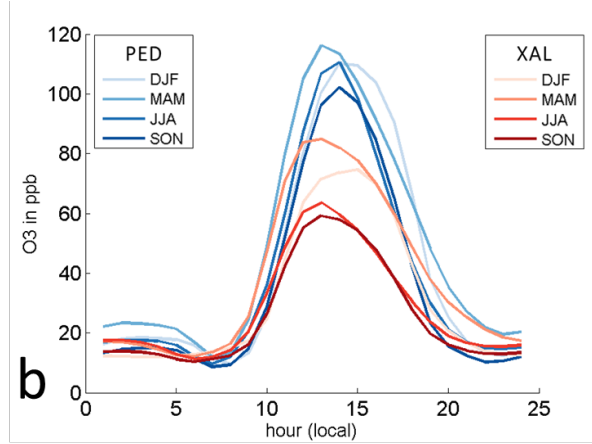
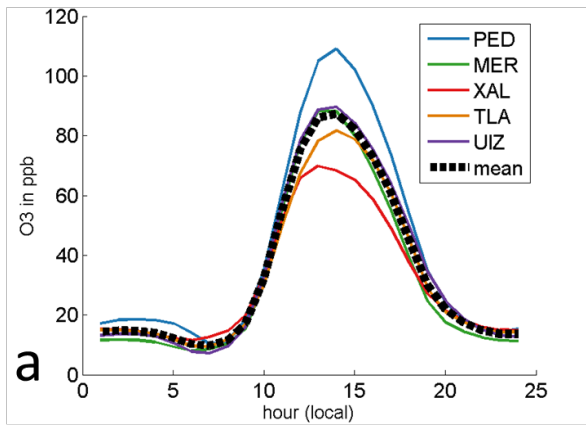
	Phase 1	Phase 2	Phase 3	Phase 4	Phase 5	Phase 6	Phase 7	Phase 8
Winter (DJF)	n=134	n=169	n=249	n=222	n=226	n=254	n=282	n=187
Relative frequency of days with O <sub>3</sub> concentration greater than the 90th percentile	9.7%	<b>10.1%</b>	7.6%	8.1%	12.8%	9.8%	12.4%	<i>8.6%</i>
Relative frequency of days with O <sub>3</sub> concentration less than the 10th percentile	9.0%	<b>7.1%</b>	7.2%	8.1%	7.5%	9.8%	12.4%	<i>13.9%</i>
Summer (JJA)	n=351	n=267	n=112	n=114	n=165	n=137	n=121	n=161
Relative frequency of days with O <sub>3</sub> concentration greater than the 90th percentile	<i>8.6%</i>	6.7%	3.6%	10.5%	9.7%	<b>11.7%</b>	11.6%	8.7%
Relative frequency of days with O <sub>3</sub> concentration less than the 10th percentile	<i>17.1%</i>	9.0%	10.7%	4.4%	5.5%	<b>3.7%</b>	9.1%	14.9%



620 **Figures**

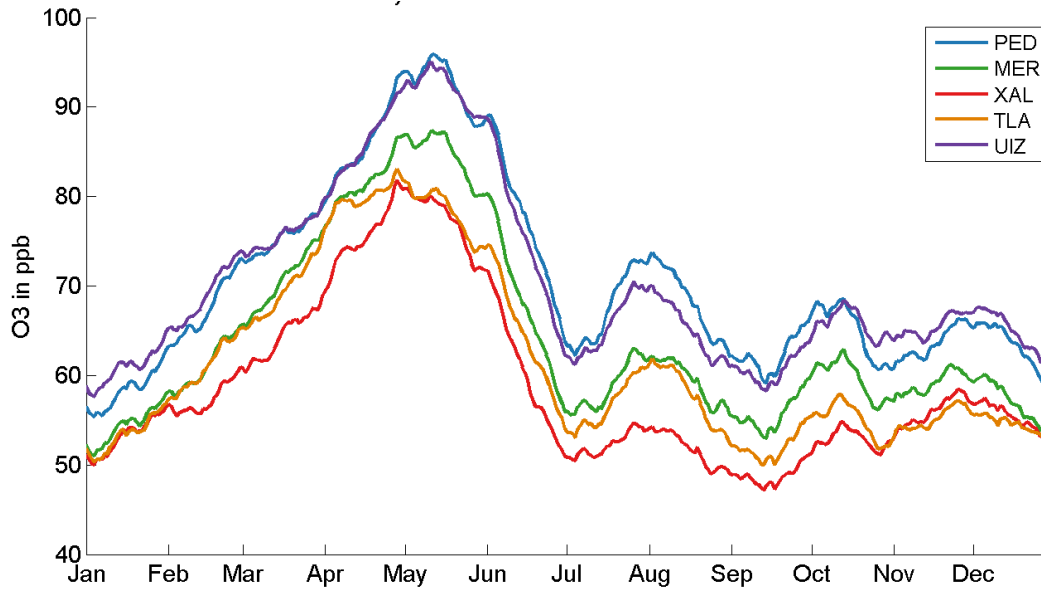


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622 **Figure 1:** Locations of RAMA surface ozone stations used in this study (colored dots;  
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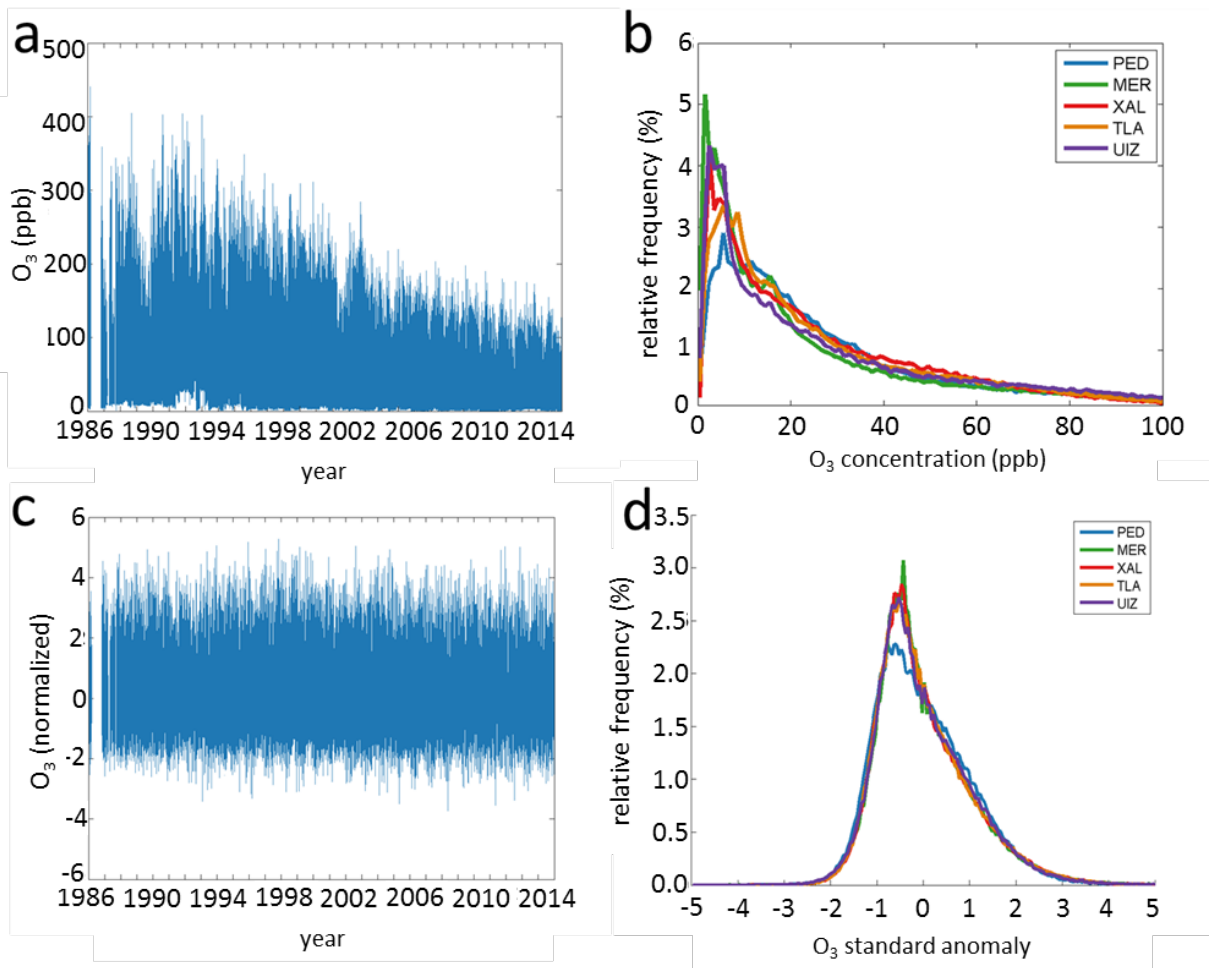
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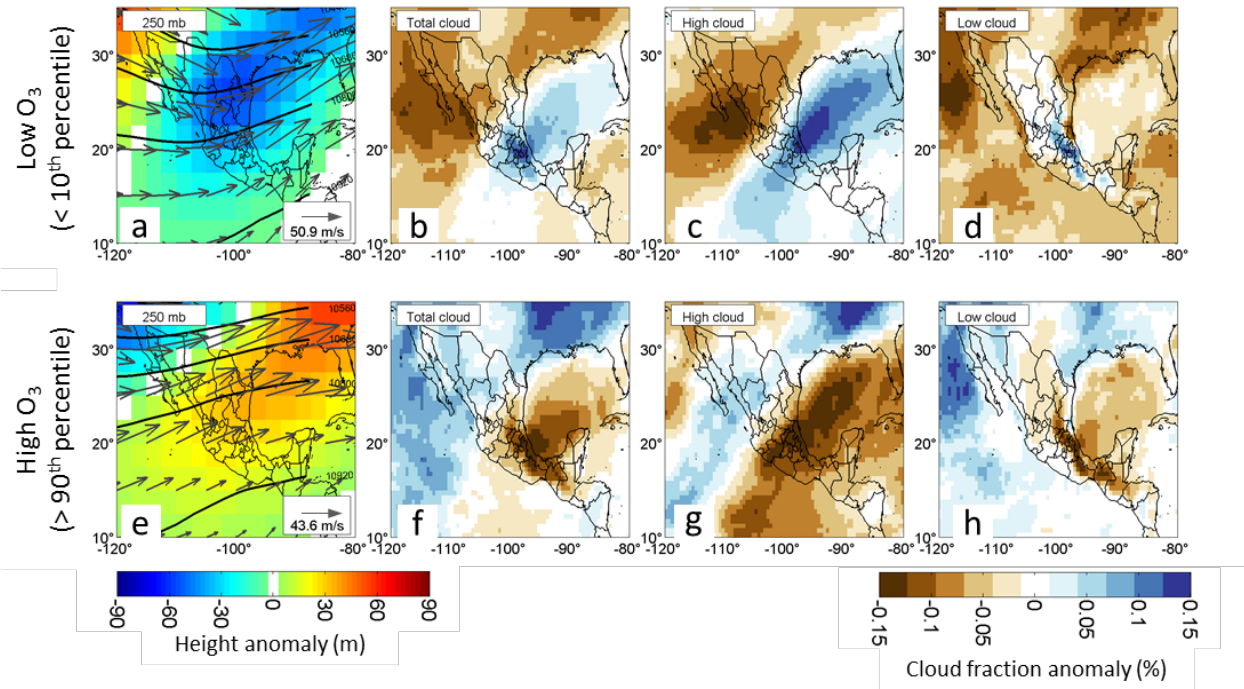
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633 **Figure 3:** Annual cycles of surface ozone concentrations (ppb) for five observing stations for  
634 hours 1200-1600 (local time) from the RAMA network, 1986-2014. Observations are smoothed  
635 using a 30-day running mean.

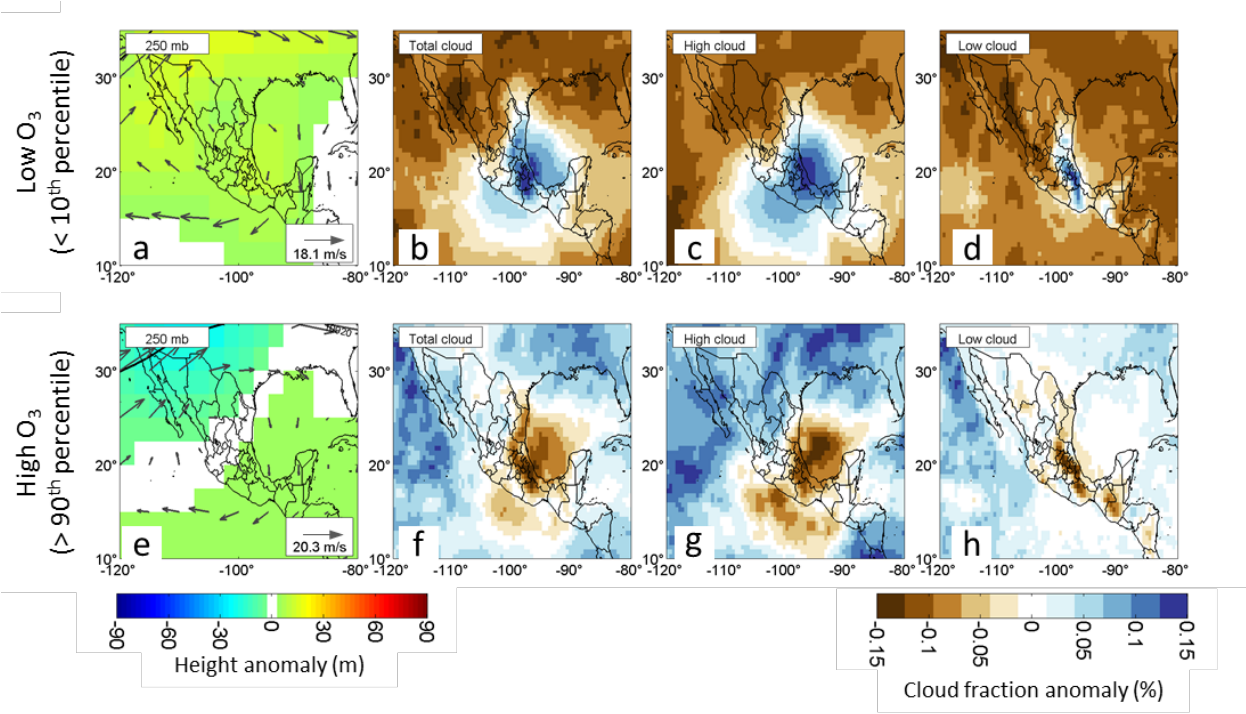


636

637 **Figure 4:** (a) Hourly observations of surface ozone concentrations (ppb) at Pedregal station  
 638 (PED in Fig. 1). (b) Relative frequencies (in %) of hourly ozone concentrations (ppb) at five  
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 641 five observing stations from the RAMA network, 1986-2014.

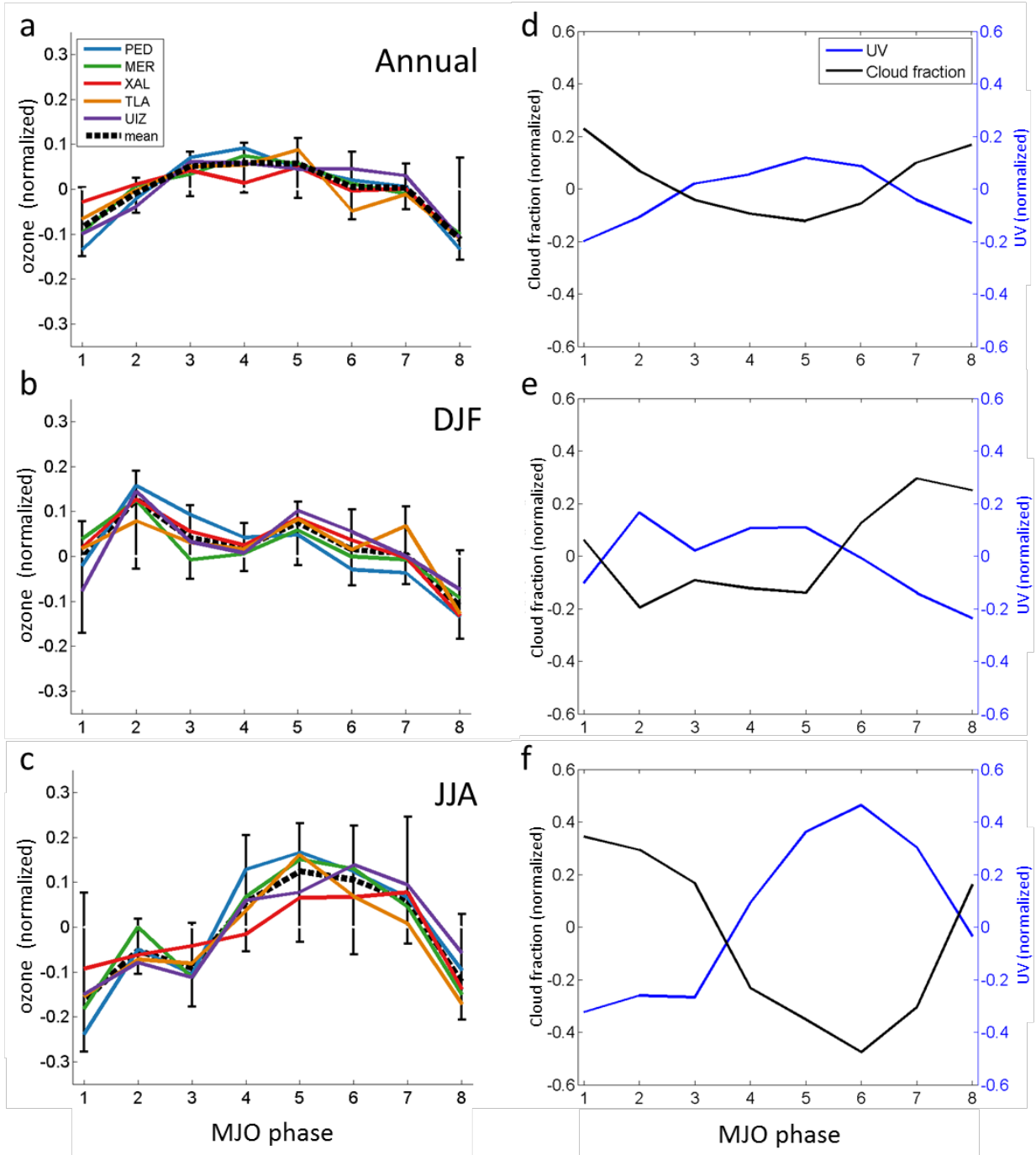


642  
 643 **Figure 5:** (a) Height (contoured, in m), height anomalies (shaded, in m), and mean winds  
 644 (vectors) at 250-hPa for winter (DJF) days with standard anomalies of afternoon (1200 to 1600  
 645 local time) surface ozone at the five observing stations (Fig. 1) below the 10th percentile. (b)-(d)  
 646 Anomalies (in %) of total cloud fraction, high cloud fraction, and low cloud fraction,  
 647 respectively, for the same winter days with standard anomalies of afternoon surface ozone  
 648 concentrations below the 10th percentile. (e)-(h) Same as in (a)-(d), but for winter days with  
 649 mean afternoon surface ozone concentrations above the 90th percentile. Percentile calculations  
 650 based on hourly observations from 1986-2014. Maximum wind speed (in  $\text{m s}^{-1}$ ) is given in  
 651 lower-right corner of (a) and (e). Height, wind, and cloud fraction data are from ERA-Interim  
 652 reanalysis.



653

654 **Figure 6:** As in Figure 5, but for summer (JJA) days.



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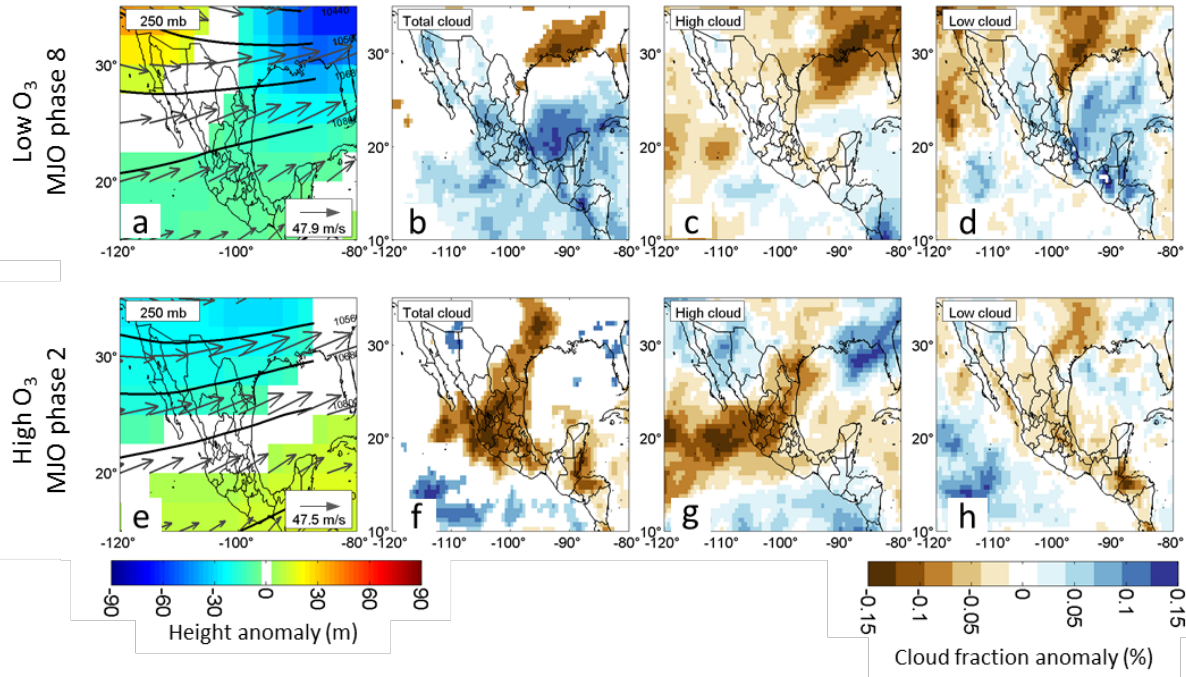
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**Figure 7:** Mean standard anomalies of midday (hours 12-16 local time) surface ozone concentrations by active MJO phase for (a) annual, (b) DJF, and (c) JJA. Stations indicated by line color. Error bars indicate largest and smallest standard anomaly values for all stations; dashed black curves in (a)-(c) indicate mean values of all 5 observing stations. All surface ozone

660 observations from the RAMA network, 1986-2014. (d) Standard anomalies of UV radiation (blue  
661 curves) and total cloud fraction (black curves) for each active MJO phase for the entire year. (e)  
662 and (f): same as panel (d) but for DJF and JJA, respectively. UV and cloud fraction data from  
663 ERA-Interim reanalysis, 1986-2014, for the grid closest to Mexico City.

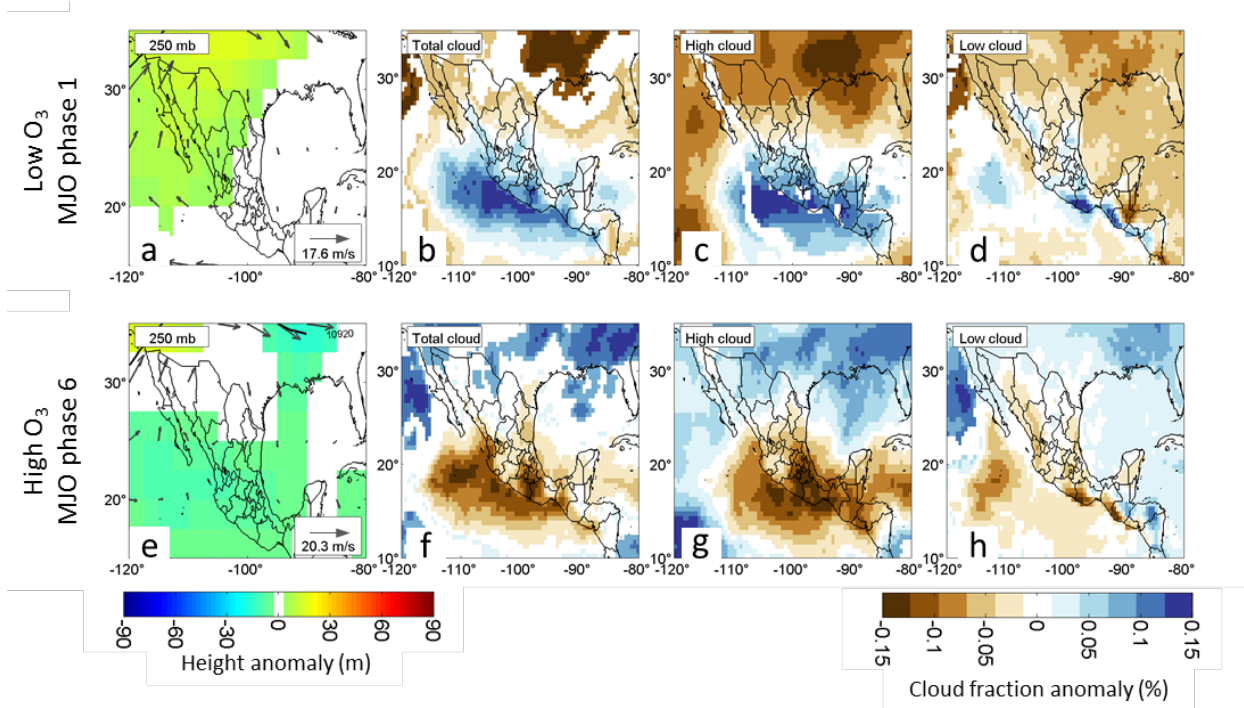
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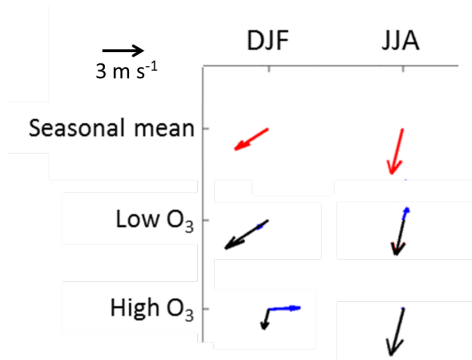
665

666 **Figure 8:** Composites of 250-hPa height (in m), height anomaly (in m), and mean wind (a), and  
 667 total cloud fraction (in %; b), high cloud fraction (in %; c), and low cloud fraction (in %; d) for  
 668 winter days in active MJO phase 8. (e)-(h) Same as (a)-(d) but for winter days in active MJO  
 669 phase 2. Maximum wind speed (in  $\text{m s}^{-1}$ ) is given in lower-right corner of (a) and (e). Phases 8  
 670 and 2 were the phases with lowest and highest respective winter ozone concentrations in Mexico  
 671 City. Height, wind, and cloud fraction data are from ERA-Interim reanalysis.



672

673 **Figure 9:** As in Figure 8, but for summer days in active MJO phase 1 (a-d) and active MJO  
 674 phase 6 (e-h). Phases 1 and 6 were the phases with lowest and highest respective summer ozone  
 675 concentrations in Mexico City.



676

677 **Figure 10:** Mean 10-m winds at Tacubaya station (TCBY in Fig. 1) at 1800 UTC (1200 local  
 678 time). Mean surface wind vectors for each season, DJF and JJA, are on row one and indicated by  
 679 red arrows. Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases  
 680 associated with lowest surface ozone (phase 8 in DJF and phase 1 in JJA) are on the middle row.  
 681 Mean (black arrows) and anomaly (blue arrows) vectors for the MJO phases associated with  
 682 highest surface ozone (phase 2 in DJF and phase 6 in JJA) are on the bottom row. Note that the  
 683 mean winds for low ozone in DJF and high ozone in JJA are very similar to the seasonal mean  
 684 winds, so the anomaly (blue) vector is very small. All wind data are from NOAA National  
 685 Centers from Environmental Information, 1986-2014.