

Author's comments in reply to the anonymous referee for "Decadal changes in global surface NO_x emissions from multi-constituent satellite data assimilation" by K. Miyazaki et al.

We want to thank the referee for the helpful comments. We have revised the manuscript according to the comments, and hope that the revised version is now suitable for publication. Below are the referee comments in italics with our replies in normal font.

Reply to Referee #2

The authors did some effort to address my main concerns. In particular the possible various limitations associated with the method are better explained in the manuscript. A section on validation using independent NO₂ data has been added, although I found it mostly unconvincing due to several issues (see further below). As in my first review, my primary concern is the fact that the authors fail to explain the role of the different datasets in the assimilation. It is said repeatedly that non-NO₂ observations have a large impact on the optimization of the emissions. And this is indeed shown in the new Table 4. But what is, more precisely, the role of each dataset? We are left almost clueless on that matter. It is not enough to claim that the lifetime of NO_x is better represented by the model when those observations are used. I would like a discussion explaining, qualitatively and quantitatively how the measurements of ozone and CO influence the assimilation. It is therefore necessary to, first, present the model biases for CO and O₃, and secondly, discuss how the correction of those biases by the assimilation impacts the optimization of NO_x emissions.

The role of each measurement on the estimated emissions is intensively discussed in Section 5.1 of the revised manuscript. This revision is based on new results from Observing System Experiments (OSEs) over several months. Note that conducting OSEs for a longer time period (i.e., the entire reanalysis period) would involve a huge computational cost, making it very difficult. Meanwhile, understanding the OSE results for each measurement associated with detailed model errors is not always straightforward because of the complex chemical processes. Since we demonstrate the impact of non-NO₂ measurements on the estimated NO_x emissions and OH and intensively discuss these results in the revised manuscript, we think that no further discussion is required to present the value of the multiple-species assimilation in this study.

Regarding the diurnal cycle (my second major comment in my previous review), the authors have not addressed the main issue, which is that the modification to the diurnal cycle of emissions deduced from satellite measurements is not credible as it implies much stronger rush hour emission peaks even in

regions where mobile emissions (cars) are not the main source category. The most negative values of the Etc parameter are found (not in Mongolia but) in Inner Mongolia, i.e. in Northern China, around 110 W, 41 N, in a region with very strong emission trends (see Figure 12) due to anthropogenic emissions, i.e. power plants and industries (not cars). This should be mentioned and shortly discussed.

To highlight the large Etc values and their potential problems, the following sentence has been added in the revised manuscript:

“Large negative values of Etc are found over northern China, northern India, and the Middle East, where various emission sources (not only mobile sources with morning peaks) could be important.”

Note that the limitation of the estimated diurnal emission variability is already discussed in Section 5.2 as follows:

“These results also suggest a larger negative bias in simulated tropospheric NO₂ column in the morning, associated with errors in the chemical lifetime and atmospheric transports (e.g., boundary layer development) and also associated with biases between the different NO₂ retrievals. Thus, the model errors could artificially affect the diurnal emission variability.”

Comparisons with airborne and lidar NO₂ measurements (from ARCTAS, INTEX-B and DANDELIONS) have been added (Figure 4). But the ARCTAS profile is almost useless as the assimilation does not change the NO₂ tropospheric profile, except in the upper troposphere (UT). The mechanism by which NO₂ is increased by the assimilation in the UT is not explained, except for the fact that it is related to HNO₃ MLS observations. The text mentions the effect of inter-species correlations. But it is the first (and only) time that such correlations are mentioned. More details would be needed to explain how such correlations are set up in the system. Furthermore, the ARCTAS NO₂ measured during ARCTAS in the UT is known to be too high. Browne et al. (ACP 11, 4209-4219, 2011) showed that at low temperature, a large fraction of the measured XNO₂ is due to dissociation of CH₃O₂NO₂ and HO₂NO₂ in the inlet prior to detection, leading to a large overestimation of NO₂ in the UT. Also for DANDELIONS, only morning measurements are used for validation, whereas afternoon observations are rejected without a good reason. Only two INTEX-B flights are used, and I find the presentation awkward. For the March 9 flight, I find very weird that the observed values are so low in the layer closer to the surface (most measurements are well below 100 ppt). I checked the INTEX-B files (from www-air.larc.nasa.gov/missions/merges/) and I estimate that the average NO₂ in that layer for that day was 228 ppt, even when excluding the Mexico and Houston areas. Please verify the data selection for that flight. Furthermore, the authors claim to see an improvement in the slopes of the linear regressions, but what meaning is there in such slopes when the correlations are so low? It would be useful to show

vertical profiles for INTEX-B (as for ARCTAS) and possibly for another campaign like INTEX-A or the more recent ones (SEAC4RS).

Concerning the improvement in the upper tropospheric NO₂ for the ARCTAS profile, the relevant sentences have been rewritten as follows:

“In contrast, the data assimilation mostly removed the model negative bias in the upper troposphere and lower stratosphere, mainly because of the MLS O₃ and HNO₃ data assimilation and through the use of the inter-species correlation that was determined using background error covariances estimated from ensemble model simulations (c.f., Section 2.2). An estimated inter-species correlation is demonstrated in Miyazaki et al. (2012b) in Fig. 3, which shows a strong positive correlation between the concentrations of NO₂ with those of O₃ and HNO₃, reflecting complex tropospheric chemical processes. The data assimilation widely influences the NO_x and NO_y species in both analysis and forecast steps. This improvement cannot be achieved using the NO₂ measurements only.”

The possible overestimation in ARCTAS measurement is noted in the revised manuscript as follows: “Note that Browne et al. (2011) investigated that the observed NO₂ concentrations could be too high in the upper troposphere.”

A clear explanation about the use of morning measurements for the DANDELIONS profile is included in the revised manuscript as follows:

“The model grid points used for the interpolation around Cabauw are located in Belgium, northeastern Netherlands, western Germany, and on the North Sea. Boundary layer conditions are different among the grid points, especially between land and ocean. To avoid a possibly large error of representativeness in the validation, particularly under the different boundary layer condition, the profiles obtained in the morning (before 12:00 p.m.) were used because the differences between land and sea mixing layer depths are then still relatively small, following Miyazaki et al. (2012a).”

The comparisons of NO₂ profiles have been expanded in the revised manuscript, including those from all flights of INTEX-B, DC3, and SEAC4RS campaigns. INTEX-A was conducted in 2004, which is not covered by the calculation in this study. Section 2.4 has been expanded to describe the additional aircraft data used.

The discussions on the vertical NO₂ profiles in Section 3.4 have been rewritten as follows: “Compared with the INTEX-B and DC3 profiles, both the model and assimilation are too low in the middle/upper troposphere, whereas in the lower troposphere these are too high compared with the DC3

profile and too low compared with the INTEX-B profile. Compared with the SEAC4RS profile, both the model and assimilation are too high in the lower troposphere. Because of the coarse model resolution (approximately 2.8°), the model has difficulty in representing the spatial footprint of the measurement, and this could cause large differences near the surface for comparisons at urban sites. The near-surface concentration will be sensitive to the model resolution owing to fine-scale emission distribution and transport, as well as non-linear chemical processes, as discussed in Valin et al (2011) and Miyazaki et al (2012a). The coarse model resolution may also make the improvements by data assimilation obscure.”

We did not remove the scatter plots for the ARCTAS and DANDELIONS measurements from Fig. 4 because these clearly demonstrate improvements in the variability. Although the correlations are not very large, it is clear that the data assimilation improves both the slope and correlation.

Minor comments:

The authors response suggested that the HNO₃-forming channel of the NO+HO₂ reaction is taken into account in the model. I'm very surprised by that. Some words should be provided in the model description, including the references for the rates (is the effect of water vapor also considered?)

The model did not consider the HNO₃-forming channel of the NO+HO₂ reaction, but it did consider NO+HO₂->NO₂+OH and OH+NO₂+M->HNO₃+M reactions. To avoid any confusion, the relevant sentences have been revised as follows:

“The remaining errors may also result from model errors such as too short lifetime of NO_x through processes such as the NO₂+OH reactions and the reactive uptake of NO₂ and N₂O₅ by aerosols (e.g., Lin et al., 2012b; Stavrou et al. 2013).”

“... indicates that the HO₂+NO to NO₂+OH reaction, which is the source of OH, is...”

Also in their response, the authors added a sentence "The summertime peak enhancement is obvious over remote regions such as high-temperature agricultural land over the South Atlantic (...)". Agricultural land over the Atlantic? Please rephrase.

The sentence has been replaced by “... over the East South Central and the Southwestern United States”

Regarding the improved ozone due to higher NO_x emissions: that this increase would actually deteriorate ozone in other models (e.g. Geos-Chem, cf. Travis et al. ACPD 2016) calls into question the reality of the NO_x emission increase. This should be mentioned and possibly discussed in the manuscript.

To discuss this point briefly, the sentence has been revised as follows:

“Note that the emissions of O₃ precursors other than NO_x, such as VOCs, and various model processes in atmospheric transport and chemistry influence the model performance. The impact of using the optimized NO_x emissions may vary with models (e.g., given different forecast errors of NO₂ and O₃).”

I find very weird that the authors cannot provide any indication regarding the lifetime of methane in their model. This is an essential and very standard metric of any global atmospheric model. It would be also very useful for the discussion of the assimilation results.

Because the system used has been optimized for data assimilation calculations, several configurations, including model diagnostics and outputs, have been changed from the original model setup. We hope to include these diagnostics in future analyses.

The discussion on the changes of the NO_x lifetime states that "both the concentration assimilation (mainly TES O₃ and MOPITT CO measurements) (...) lead to an increased in the OH concentrations". This is probably true but needs to be demonstrated. Also, rephrase, e.g. "both the assimilation of non-NO₂ compounds (mainly TES O₃ and MOPITT CO measurements) (...)"

Fig. S2 has been added to demonstrate OH changes by the non-NO₂ data assimilation. The discussions have been revised based on the OSE results. Please also see our reply above.

In the next sentence, it is stated that HO₂+NO is enhanced, and that the NO_x lifetime is decreased due to higher OH in the multiple-species assimilation (compared to the model simulation). This is very probably correct, but I don't see any proof that the non-NO₂ observations are essential here for that respect. Therefore, the last sentence "demonstrate the utility of multiple-species assimilation..." is unsubstantiated.

As discussed in the revised manuscript and shown in Fig. S2, the non-NO₂ measurements provided important constraints on the OH concentrations. Thus, the sentence provides a reliable statement in the revised manuscript. Please also see my reply above.

The authors also did not answer my questions on the trends of NO₂ concentrations and NO_x emissions over Europe. The manuscript suggests that NO₂ has become more long-lived. Surely you can check in your model outputs whether e.g. OH concentrations show a trend. The other explanation "a shift in

NO₂:NO_x emission ratios related to the increasing share of European diesel cars could have occurred" is very strange, it is like if the authors cannot verify what they have in their model.

The sentences have been revised to describe the OH trend over Europe as follows:

“This suggests that NO₂ may have become longer-lived or has become a larger fraction of NO_x over Europe over the past decade. In fact, the lower tropospheric OH concentrations show slight negative trends (by up to -5 %/decade) over most of Western Europe over the past decade (figure not shown). Another possible explanation is that a shift in NO₂:NO_x emission ratios related to the increasing share of European diesel cars could have occurred.”

Concerning the sentence “a shift in NO₂:NO_x emission...”, a further study is clearly needed to verify this, but providing this possibility in the manuscript is still valid.

Other corrections

Page 13 line 7 "the possibility FOR improving"

Page 13 line 14 delete "and" before "used in data assimilation"

Corrected.

Page 15 line 12-13 explain why representativeness errors would be smaller in the morning compared to the afternoon.

Please see my reply above.

Page 15 line 24 replace "corrected" by "sampled"

Page 16 line 5 replace "at polar region" by "in polar regions"

Page 16 line 28 replace "principle" by "main"

Page 20 line 13 insert "only" before "a small effect"

Corrected.

Page 20 line 26 what is meant by "commonly"? Rephrase.

Replaced by “also”.

Page 21 1st full paragraph: the difficulty to represent the measurements would disappear when using a large number of measurements, because the errors on the averages will cancel out. The solution is therefore to use larger datasets than used here.

Sampling biases can be systematic because of model processes including non-linear chemistry. This is true even when we use larger datasets for fixed-point measurements and a coarse resolution model.

Page 25, line 25 The temporal shift is actually larger than 1 month.

The timing of peak emission for the regional emission for Europe (Fig. 8) occurred earlier by 1 month from July to June.

Page 33 line 21 Replace "adjusted" by "modified"

Corrected.

Page 34 line 4: "The monthly total global emissions decrease by up to 6 TgN": that value (6TgN) is impossibly high, please check. Remember that the total global NOx source is of the order of 40-50 TgN per year.

We confirmed that this occurred in October 2008.

Page 35 line 1: "influences" --> "influence"

Corrected.

Page 36 line 15 "It was confirmed" --> "It is found" (??)

Corrected.

Page 38 line 4 "misleading" --> "inappropriate"

Page 46 line 7 Add "line" after "black"

Page 46 line 9 Delete "six"

Corrected.

Decadal changes in global surface NO_x emissions from multi-constituent satellite data assimilation

`\begin{abstract}`

Global surface emissions of nitrogen oxides (NO_x) over a ten-year period (2005--2014) are estimated from an assimilation of multiple satellite datasets: tropospheric NO_2 columns from OMI, GOME-2, and SCIAMACHY; O_3 profiles from TES; CO profiles from MOPITT; and O_3 and HNO_3 profiles from MLS using an ensemble Kalman filter technique. Chemical concentrations of various species and emission sources of several precursors are simultaneously optimized. This is expected to improve the emission inversion because the emission estimates are influenced by biases in the modelled tropospheric chemistry, which can be partly corrected by also optimizing the concentrations. We present detailed distributions of the estimated emission distributions for all major regions, the diurnal and seasonal variability, and the evolution of these emissions over the ten-year period. The estimated regional total emissions show a strong positive trend over India (+29 %/decade), China (+26 %/decade), and the Middle East (+20 %/decade), and a negative trend over the United States (-38 %/decade), Southern Africa (-8.2 %/decade), and western Europe (-8.8 %/decade). The negative trends in the United States and western Europe are larger during 2005--2010 relative to 2011--2014, whereas the trend in China becomes negative after 2011. The data assimilation also suggests a large uncertainty in anthropogenic and fire-related emission factors and an important underestimation of soil NO_x sources in the emission inventories. Despite the large trends observed for individual regions, the global total emission is almost constant between 2005 (47.9 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$) and 2014 (47.5 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$).

`\end{abstract}`

`\introduction`

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Nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) play an important role in air quality, tropospheric chemistry, and climate. Tropospheric NO_x concentrations are highly variable in both space and time, reflecting its short chemical lifetime in the atmosphere and the heterogeneous distribution of its sources and sinks. Emission sources are important in determining the amount and distribution of NO_x . Natural NO_x sources include biogenic emissions from bacteria in soils, biomass burning, and lightning. Anthropogenic NO_x sources include fossil fuel and biofuel combustion, emissions from vehicle transport, and industrial emissions. Bottom-up inventories from different sources and regions contain large uncertainties, which result from inaccurate emission factors and activity rates for each source category. Examples include traffic rush hours, economic activity, biomass-burning activity, wintertime-heating of buildings, and rain-induced emission pulses of NO_x (e.g., Velders et al., 2001; Jaegl' et al., 2005; Wang et al., 2007; Xiao et al., 2010; Streets et al., 2013; Castellanos et al., 2014; Reuter et al., 2014; Vinken et al., 2014; Oikawa et al., 2015). As a result, bottom-up inventories generally do poorly at representing the spatial and temporal variability at multiple scales (i.e., diurnal, daily, seasonal, and interannual). Large uncertainties in biomass burning emissions mainly reflect a relative lack of observations for characterizing the large spatial and temporal variations of burning conditions (Castellanos et al., 2014). The wide range in soil NO_x emission estimates in previous studies reflect incomplete knowledge of the emission factors and processes driving these emissions (Oikawa et al., 2015). Recent studies (e.g., Steinkamp and Lawrence, 2011, Hudman et al., 2012, Vinken et al., 2014) suggest that soil NO_x emissions are likely around 10 Tg N yr^{-1} , a considerable increase relative to earlier studies that assumed about 5 Tg N yr^{-1} soil NO_x emissions (Yienger and Levy, 1995). Large uncertainties are also in lightning NO_x (LNO_x) source estimates. Schumann and Huntrieser (2007) provided a best estimate of 5 Tg N yr^{-1} for the annual global LNO_x source. More recently, Murray et al. (2012), Stavrakou et al. (2013), and Miyazaki et al. (2014) estimated at 6 ± 0.5 , $3.3\text{--}5.9$, and $6.3 \pm 1.4 \text{ Tg N yr}^{-1}$, respectively.

1 Tropospheric {NO_2} columns retrieved from satellite measurements, including the
2 Global Ozone Monitoring Experiment (GOME), Scanning Imaging Absorption Spectrometer
3 for Atmospheric Cartography (SCIAMACHY), GOME-2, and the Ozone Monitoring
4 Instrument (OMI), have been used to infer {NO_x} emissions using top-down
5 approaches (e.g., Martin et al., 2003; Richter, 2004; Jaegl'{'e} et al., 2005; van der A et al.,
6 2006; Zhang et al., 2007; Boersma et al., 2008a; Stavrakou et al., 2008; van der A et al., 2008;
7 Kurokawa et al., 2009; Zhao and Wang, 2009; Lamsal et al., 2010; Lin et al., 2010; Miyazaki
8 et al., 2012a; Gu et al., 2013; Mijling et al., 2013; Vinken et al., 2014; Ding et al., 2015; Lu et
9 al., 2015). Long-term tropospheric {NO_2} column records have allowed us to
10 investigate changes in the atmospheric environment over the past decade as a result of
11 economic growth and emission controls over major polluted regions (Castellanos and
12 Boersma, 2012; Hilboll et al., 2013; Cui et al., 2015; Lelieveld et al., 2015; Wang et al., 2015;
13 Duncan et al., 2016; Krotkov et al. 2016).

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15 Advanced data assimilation techniques such as four-dimensional variational assimilation (4D-
16 VAR) (M'{'u}ller and Stavrakou, 2005; Kurokawa et al., 2009; Chai et al., 2009) and
17 ensemble Kalman filter (EnKF) (Miyazaki et al., 2012a, 2012b, 2014, 2015) have been
18 employed to take full advantage of the chemical transport model (CTM) and satellite
19 retrievals in top-down emission estimates. These advanced techniques consider flow-
20 dependent forecast error covariance and take errors from both the model and retrievals into
21 account. These advantages are considered essential for improving long-term global emission
22 estimates, as dominant atmospheric processes, the emission--concentration relationships, and
23 observational sampling and errors must be incorporated into the analysis. These advanced
24 methodologies can readily assimilate multiple-species. The additional observations of
25 {O_3} and {CO} constrain surface {NO_x} emissions through their
26 indirect impact on {NO_2} concentrations through tropospheric chemistry. These
27 species directly influence {OH} concentrations, which control the {NO_x}
28 variability and lifetime, and indirectly the accuracy of the emission estimates. Chemically
29 consistent, multi--constituent assimilation is an advance over conventional approaches, which
30 assume {NO_2} observations are uniquely controlled by {NO_x} emissions.

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1 Various sources of error in current chemical transport models (CTMs) impact the simulated
2 NO_x lifetime and the accuracy of NO_x emission inversions (Lin et al.,
3 2012a; Miyazaki et al., 2012a; Stavrakou et al., 2013). Stavrakou et al. (2013) showed the
4 strong effect of chemical NO_x loss uncertainties on top-down NO_x source
5 inversions. OH is the main radical responsible for the removal of atmospheric
6 pollution and for determining the lifetime of many chemicals including NO_x (Levy,
7 1971; Logan et al., 1981; Thompson, 1992), but its concentrations in CTMs are considered to
8 have large uncertainties (Naik et al., 2013; Miyazaki et al., 2015; Patra et al., 2015).
9 Meanwhile, representations of LNO_x sources are essential for realistic
10 representations of tropospheric NO_2 columns, but current parameterizations contain
11 large uncertainties (Martin et al., 2007; Schumann and Huntrieser, 2007; Miyazaki et al.,
12 2014). Errors in representing these natural sources of NO_2 can directly propagate
13 into surface NO_x emissions estimates.

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15 Increasing attention has been paid to combining observations of multiple-species to improve
16 the analysis of tropospheric chemistry, including for NO_x emission estimates.
17 Measurements of species other than NO_2 (e.g., O_3 and HNO_3)
18 could improve the representation of NO_x in models through their chemical
19 interactions with NO_x (e.g., Hamer et al., 2015). Advanced data assimilation
20 techniques such as 4D-VAR and EnKF propagate observational information from a limited
21 number of observed species to a wide range of chemical components. Miyazaki et al. (2012b,
22 2014, 2015) and Miyazaki and Eskes (2013) demonstrated that the assimilation of multiple-
23 species observations, taking their complex chemical interactions into account using an EnKF
24 technique, can provide comprehensive constraints on both concentration and emissions, and
25 this approach has the potential to improve emission inversions by accounting for confounding
26 factors in the relationship between NO_x emissions and NO_2
27 concentrations. Because of the simultaneous assimilation of multiple-species data with
28 optimisation of both the concentrations and emission fields, the global distribution of
29 OH was modified considerably, decreasing the OH gradient between NH and
30 SH (Miyazaki et al., 2015). The changes in OH are the important chemical pathway
31 for propagating observational information between various species and for modulating the
32 chemical lifetimes among these species.

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2 In this study, we estimate global surface NO_x emissions between 2005 and 2014
3 using the assimilation of multiple-species data from OMI NO_2 , GOME-2
4 NO_2 , SCIAMACHY NO_2 , Tropospheric Emission Spectrometer (TES)
5 O_3 , Measurement of Pollution in the Troposphere (MOPITT) CO , and
6 Microwave Limb Sounder (MLS) O_3 and HNO_3 retrievals using an EnKF
7 technique. We attempted to optimize the diurnal variations in surface NO_x
8 emissions, while updating daily, seasonal, and interannual emission variations, based on a
9 combination of three NO_2 retrievals obtained at different overpass times. The
10 assimilation of multiple chemical data sets with different vertical sensitivity profiles provides
11 comprehensive constraints on the global NO_x emissions while improving the
12 representations of the entire chemical system affecting tropospheric NO_2 column
13 variations, including LNO_x sources. Based on the EnKF estimations, this study
14 presents detailed distributions of the surface NO_x emissions for all major regions,
15 the diurnal and seasonal variability, and the development over the ten-year period.

16

17 $\text{\section{Methodology}}$

18

19 The data assimilation system is constructed based on the global CTM MIROC-Chem
20 (Watanabe et al. 2011) and on a variance of the EnKF technique. The basic framework is
21 similar to the system used to produce tropospheric chemistry reanalysis data
22 (<http://www.jamstec.go.jp/res/ress/kmiyazaki/reanalysis/>
23) in our previous study (Miyazaki et al., 2015); however, some updates to the data
24 assimilation framework have been made and the calculation has been extended to cover the
25 ten years from 2005 to 2014, as described below.

26

27 $\text{\subsection{MIROC-Chem model and a priori emissions}}$

28

29 The original forecast model used in our previous study (CHASER ; Sudo et al. 2002) is
30 replaced by the newer MIROC-Chem model (Watanabe et al., 2011). MIROC-Chem

1 represents the chemistry part of the MIROC-ESM Earth system model. It considers detailed
2 photochemistry in the troposphere and stratosphere by simulating tracer transport, wet and dry
3 deposition, and emissions, and calculates the concentrations of 92 chemical species and 262
4 chemical reactions (58 photolytic, 183 kinetic, and 21 heterogeneous reactions). Its
5 tropospheric chemistry was developed based on the CHASER model, with many updates to
6 chemical reactions and emissions, considering the fundamental chemical cycle of Ox-
7 NO_x -HOx- CH_4 -CO along with oxidation of NMVOCs (ethane,
8 ethane, propane, propene, butane, acetone, methanol, isoprene, and terpenes) to properly
9 represent ozone chemistry in the troposphere. Its stratospheric chemistry was developed based
10 on the CCSR/NIES stratospheric chemistry model (Akiyoshi et al., 2004), which calculates
11 chlorine and bromine containing compounds, CFCs, HFCs, OCS, N_2O , and the
12 formation of PSCs and associated heterogeneous reactions on their surfaces.

13

14 MIROC-Chem has a T42 horizontal resolution (approximately $2.8^\circ \times 2.8^\circ$)
15 and uses the hybrid terrain-following pressure vertical coordinate system with 32 vertical
16 levels from the surface to 4.4 hPa. It is coupled to the atmospheric general circulation model
17 MIROC-AGCM version 4 (Watanabe et al., 2011). The radiative transfer scheme considers
18 absorption within 37 bands, scattering by gases, aerosols, and clouds, and the effect of surface
19 albedo. Detailed radiation calculations are used for photolysis calculation. The MIROC-
20 AGCM fields were nudged toward the 6-hourly ERA-Interim (Dee et al., 2011) at every
21 model time step to reproduce past meteorological fields and to simulate short-term (i.e., less
22 than 6 hours) meteorological variability and sub-grid scale transport effects.

23

24 The forecast model update from CHASER to MIROC-Chem improved the simulated profiles
25 of various tropospheric species (not shown). The inclusion of stratospheric chemistry in
26 MIROC-Chem allowed us to provide reasonable estimates of a priori profiles and their
27 ensemble spread in the stratosphere. Since TES O_3 and MOPITT CO retrievals in
28 the troposphere, together with MLS retrievals, have sensitivity to the lower stratospheric
29 concentration to some degree, the improved representation of background error covariance in
30 the stratosphere, as estimated from ensemble model simulations, meant that satellite retrievals

1 are more effectively assimilated into the updated system throughout the troposphere and
2 stratosphere through the use of observation operator (c.f., Sec. 2.3).

3

4 The a priori values for surface emissions of NO_x and CO were obtained
5 from bottom-up emission inventories. Annual total anthropogenic NO_x and
6 CO emissions were obtained from the Emission Database for Global Atmospheric
7 Research (EDGAR) version 4.2 (EC JRC/PBL, 2012) for 2005--2008. Emissions from
8 biomass burning were based on the monthly Global Fire Emissions Database (GFED) version
9 3.1 (van der Werf et al., 2010) for 2005--2011. Emissions from soils were based on monthly
10 mean Global Emissions Inventory Activity (GEIA) (Yienger and Levy, 1995). To cover data
11 limitations during 2005--2014, EDGAR emissions for 2008 were used in the calculations for
12 2009--2014, and GFED emissions averaged over 2005--2011 were used in the 2012--2014
13 calculation. The global total a priori NO_x emissions averaged over the 2005-2014
14 period from anthropogenic sources, biomass burning, and soils are 28.7, 4.3, and 5.4
15 Tg N yr^{-1} , respectively. The total aircraft NO_x emission is 0.55
16 Tg N yr^{-1} , which is obtained from the EDGAR inventory.

17

18 Following the settings of Lotos-Euros (Schaap et al., 2008) and Boersma et al. (2008b), we
19 applied anthropogenic-type diurnal variations for total emissions with maxima in morning and
20 in evening with a factor of about 1.5 (black dotted line in Fig. 1, for which the daily mean
21 hourly emission value is 1) in Europe, eastern China, South Korea, Japan, India, and North
22 America; biomass burning-type variations with a rapid increase in morning and maximal
23 emissions in the mid-day with a maximum factor of about 4 in North and central Africa,
24 southeast Asia, and northern and central South America; and soil-type diurnal variations with
25 maximal emissions in afternoon with a factor of about 1.2 in Australia, Sahara, western China,
26 and Mongolia.

27

28 LNO_x sources in MIROC-Chem were calculated in conjunction with the convection
29 scheme of MIROC-AGCM. The global distribution of the flash rate was parameterised for
30 convective clouds based on the relationship between lightning activity and cloud top height
31 (Price and Rind, 1992). The vertical profiles of the LNO_x sources are determined on

1 the basis of the C-shaped profile given by Pickering et al. (1998). The mean yearly global
2 flash rate obtained for 2005--2014 was 42.4 flashes s^{-1} , which is close to
3 climatological estimates of 46 flashes s^{-1} derived from Lightning Imaging Sensor
4 (LIS) and Optical Transient Detector (OTD) measurements (Cecil et al., 2014). The
5 LNO_x sources were optimized in the data assimilation runs, following the method
6 of Miyazaki et al. (2014).

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8 $\text{\subsection{Emission estimates from EnKF data assimilation}}$

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10 Data assimilation is based on an ensemble square root filter (SRF) EnKF approach (i.e., a
11 local ensemble transform Kalman filter; LETKF; Hunt et al., 2007). As in other EnKF
12 approaches, the background error covariance is estimated from ensemble model forecasts
13 based on the assumption that background ensemble perturbations sample the forecast errors.
14 Using the covariance matrices of observation error and background error, the data
15 assimilation determines the relative weights given to the observation and the background, and
16 then transforms a background ensemble into an analysis ensemble. Unlike standard EnKF
17 analyses, the LETKF analysis is performed locally in space and time, which reduces sampling
18 errors caused by limited ensemble size. Furthermore, the analysis is performed independently
19 for different grid points, which reduces the computational cost through parallel computations.
20 More details on the data assimilation technique are given in Miyazaki et al. (2015).

21

22 The emission estimation is based on a state augmentation technique, which was employed in
23 our previous studies (Miyazaki et al., 2012a; 2012b; 2013; 2014; 2015). In this approach, the
24 background error correlations, estimated from the ensemble model simulations at each
25 analysis step, determine the relationship between the concentrations and emissions of related
26 species for each grid point. This approach allows us to reflect temporal and geographical
27 variations in transport and chemical reactions in the emission estimates. The state vector in
28 this study is optimized following Miyazaki et al. (2015), which includes several emission
29 sources (surface emissions of NO_x and CO , and LNO_x sources)
30 as well as the concentrations of 35 chemical species. In order to improve the filter
31 performance, the covariance among non- or weakly related variables in the state vector is set

1 to zero, as in Miyazaki et al (2012b) and Miyazaki et al (2015). The emissions in the state
2 vector are represented by scaling factors for each surface grid cell for the total NO_x
3 and CO emissions, and for each production rate profile of the LNO_x
4 sources. For surface NO_x emissions, only the combined total emission is optimized
5 in data assimilation. This is to reduce the degree of freedom in the analysis and to avoid the
6 difficulty associated with estimating spatiotemporal variations in background errors for each
7 category source separately.

8

9 In the MIROC-Chem simulations, an emission diurnal variability function
10 (E_t ($t=1, \dots, 24$)) was applied following the approach of Miyazaki et al. (2012a). Its
11 application generally improved the model simulation performance; however, because
12 E_t was constructed based on simple assumptions, and because it does not change with
13 season and location within an area of the same dominant category, its application can cause
14 large uncertainties in simulated NO_2 variations. Multiple satellite NO_2
15 retrievals obtained at different overpass times have a potential to constrain diurnal emission
16 variability (e.g., Lin et al., 2010), although differences between the different NO_2
17 retrievals and errors in model processes could introduce artificial corrections (see also Section
18 5.2). Note that the retrievals from different instruments used are all based on the same
19 retrieval method (DOMINO v2, TM4NO2A v2) and largely consistent ancillary data, which
20 limits the discrepancies between the data sets to large degree (Boersma et al., 2008) (see
21 Section 2.3.1). We also acknowledge that differences between the surface reflectivity and
22 cloud data used may lead to some structural uncertainty between the morning and afternoon
23 sensors, although numerous validation studies pointed out that the three NO_2
24 column retrievals agree well with independent reference data (e.g., Irie et al., 2011; Ma et al.,
25 2013).

26

27 We attempt to optimize E_t using data assimilation of OMI, SCIAMACHY, and GOME-2
28 retrievals, with local equator overpass time of 13:45, 10:00 and 9:30, in order to improve the
29 representation of diurnal emission variability. In our approach, a correction factor for
30 emission diurnal variability (E_{tc}) and an emission scaling factor (E_s) for surface
31 NO_x emissions are simultaneously optimized in the analysis step using multiple

1 {NO_2} retrievals, by adding them to the state vector together with other variables such
2 as predicted concentrations. The background error correlation between E_s and E_{tc} is not
3 considered; the two emission parameters are independently optimized using measurements
4 from instruments with different overpass times. As in Miyazaki et al. (2012a), we apply
5 covariance inflation to the emission factors to prevent covariance underestimation caused by
6 the application of a persistent forecast model, by inflating the spread to a minimum
7 predefined value (i.e., 30 % of the initial standard deviation) at each analysis step for both
8 E_s and E_{tc} . The initial error is set to 40 % for both E_s and E_{tc} . For concentrations,
9 multiplication factors (5 %) are applied to prevent an underestimation of background error
10 covariance. The emission factors are analyzed and updated at every analysis step (i.e., two
11 hours). Because of the lack of any applicable model, a persistent forecast model is used for
12 the emission factors. When there is no observational information available in the analysis step,
13 previously analyzed emission factors are used in the next forecast step.

14

15 Figure 1 depicts a schematic diagram of the emission correction scheme for anthropogenic
16 emissions. First, we obtain optimal values of E_s and E_{tc} from the data assimilation
17 analysis. Second, E_s is applied to scale up/down daily total emissions while maintaining the
18 a priori diurnal variability shape (black solid line). Third, optimized E_{tc} is applied to
19 modify the diurnal variability shape (red line). Considering the overpass time of the satellite
20 retrievals and the typical daytime lifetime of {NO_x} (i.e. 2--3 hours), a square-wave
21 with amplitude of E_{tc} and a wavelength of six hours was applied. This assumes that
22 GOME-2 and SCIAMACHY measurements constrain emissions in the 07:30--10:30 window,
23 and OMI measurements constrain the 10:30-13:30 window. Consequently, an analysis of the
24 emission diurnal variability function is obtained as $E_t^{\{a\}} = E_t^{\{b\}} \times E_s - E_{tc}$ for
25 07:30--10:30, and $E_t^{\{a\}} = E_t^{\{b\}} \times E_s + E_{tc}$ for 10:30--13:30, where a and
26 b represent the analysis and background states, respectively. E_{tc} is set to zero (i.e.,
27 $E_t^{\{a\}} = E_t^{\{b\}} \times E_s$) from 13:30 to 07:30. The optimized emission factors are used as
28 initial conditions in the next forecast step of ensemble model simulations.

29

30 $\text{\subsection{Measurements used in the assimilation}}$

31

1 Trace gas concentrations were obtained from OMI, SCIAMACHY, and GOME-2 satellite
 2 measurements of NO_2 , from TES of O_3 , from MOPITT measurements of
 3 CO , and from MLS of O_3 and HNO_3 . The retrieved concentration
 4 and observation error information were obtained for each retrieval, where the observation
 5 error included contributions from smoothing errors, model parameter errors, forward model
 6 errors, geophysical noise, and instrument errors. These combined errors, together with a
 7 representativeness error for super observations (Miyazaki et al., 2012a), were considered in
 8 the observation error matrix (\mathbf{R}) for data assimilation.

9

10 For the assimilation of the satellite retrievals, observation operators (\mathbf{H}) were
 11 developed, consisting of the spatial interpolation operator (\mathbf{S}), a priori profile in the satellite
 12 retrievals (\vec{x}_{apriori}), and an averaging kernel (\mathbf{A}). This
 13 operator mapped the model fields ($\vec{x}_i^{\mathbf{b}}$) into retrieval space
 14 ($\vec{y}_i^{\mathbf{b}}$), as follows:

$$\begin{aligned}
 & \begin{aligned}
 & \& \\
 & \vec{y}_i^{\mathbf{b}} = \mathbf{H}(\vec{x}_i^{\mathbf{b}} \\
 & \vec{x}_i^{\mathbf{b}} \\
 &) = \vec{x}_{\text{apriori}} + \mathbf{A} (\mathbf{S}(\vec{x}_i^{\mathbf{b}}) - \vec{x}_{\text{apriori}}), \\
 & \end{aligned} \\
 & \end{aligned}$$

22 where i indicates the ensemble member. The use of the averaging kernel \mathbf{A} removes the
 23 dependence of the analysis or of the relative model retrieval comparison
 24 ($\vec{y}_i^{\mathbf{b}} - \vec{y}^{\mathbf{o}}$) / $\vec{y}_i^{\mathbf{b}}$ on the
 25 retrieval a priori profile (Eskes and Boersma, 2003; Jones et al., 2003).

26

27 We employed the super-observation approach to produce representative data with a horizontal
 28 resolution of MIROC-Chem (T42) for OMI, SCIAMACHY, GOME-2, and MOPITT
 29 observations. Super observations were generated by averaging all data located within a super

1 observation grid cell, following the approach of Miyazaki et al. (2012a). Super observation
2 measurement error was estimated by considering an error correlation of 15 % among the data,
3 although there is no evidence for this value. Representativeness error was introduced when
4 the super-observation grid was not fully covered by observation pixels. The super-observation
5 approach generally provided more representative data with reduced random error and resulted
6 in more stable analysis increments than did the individual observations (Miyazaki et al.,
7 2012a). Another popular approach in data assimilation is to apply data thinning. However,
8 individual observations are much more noisy than super observations, and the representativity
9 error is large. Note that, in our previous studies (Miyazaki et al., 2012a, 2012b, 2013, 2014,
10 2015), the super observation was produced with a resolution of $2.5^{\circ} \times 2.5^{\circ}$,
11 which was similar but not equivalent to the model grid size (T42). In this study, the super
12 observation was set to be equivalent to the model grid size (T42), which generally led to
13 larger adjustments in the estimated emissions over industrial areas, and resulted in better data
14 assimilation performance for most cases (e.g., reduced OmF).

15

16 \subsubsection{Tropospheric NO_2 columns from OMI, SCIAMACHY, and
17 GOME-2}

18

19 The tropospheric NO_2 column retrievals used are from the version-2 DOMINO data
20 product for OMI (Boersma et al., 2011) and version 2.3 TM4NO2A data products for
21 SCIAMACHY and GOME-2 (Boersma et al., 2004) obtained through the TEMIS website
22 (www.temis.nl). The ground pixel size of the OMI retrievals is 13--24 km with daily global
23 coverage. Since December 2009, approximately half of the pixels have been compromised by
24 the so-called row anomaly, which reduced the daily coverage of the instrument. GOME-2
25 retrievals have 80 km \times 40 km ground pixel size with a global coverage within 1.5
26 days. SCIAMACHY retrievals have 60 km \times 30 km ground pixel size with a global
27 coverage once every 6 days. OMI measurements were assimilated throughout the analysis
28 period during 2005--2014. In contrast, because of the data limitations, SCIAMACHY
29 retrievals were assimilated before February 2012, and the GOME-2 measurements were
30 assimilated after January 2007. Low-quality data were excluded before assimilation following
31 the recommendations of the products' specification document (Boersma et al., 2011). We

1 employed clear-sky data for surface NO_x emission estimations and both clear-sky
2 data and cloud-scene data for LNO_x estimations, following the method of Miyazaki
3 et al. (2014). The analysis increments in the assimilation of the NO_2 retrievals were
4 limited to adjusting only the surface emissions of NO_x , LNO_x sources,
5 and concentrations of NO_y species using the estimated inter-species error
6 correlations.

7

8 Boersma et al. (2011) summarized the general error characteristics of tropospheric
9 NO_2 retrievals. More recently, Maasakkers (2013) presented the possibility ~~of~~ for
10 improving the tropospheric NO_2 column retrievals algorithm; for example, in the a
11 priori profiles, the effective surface pressure calculation, and in the cloud retrieval.
12 Maasakkers (2013) presented an improved error parameterization for the tropospheric
13 NO_2 column, which reduced errors in high tropospheric columns by up to 41 %
14 and in the mean global error by 13 %. Following this result, we modified the version-2
15 DOMINO and version 2.3 TM4NO2A data products (Boersma et al., 2004; 2011) ~~and~~-used in
16 data assimilation; we reduced retrieval errors of individual NO_2 retrievals by 30 %
17 over polluted areas (for columns $> 1.1 \times 10^{15} \text{ molec cm}^{-2}$) before
18 producing super observation for all the NO_2 retrievals. The assimilation of
19 NO_2 retrievals with reduced error increased the effective use of observational
20 information (i.e., larger emission adjustments) and improved the chi-square statistics (not
21 shown). The obtained super observation error is typically about 20--50 %, 30--60 %, and 25-
22 -50 % of the NO_2 columns over polluted areas for OMI, SCIAMACHY, and
23 GOME-2 retrievals, respectively (Fig. S1). The differences between the instruments mainly
24 reflect the differences in coverage and pixel size.

25

26 $\text{TES } \text{O}_3$

27

28 The Tropospheric Emission Spectrometer (TES) is a Fourier Transform Spectrometer (FTS)
29 that measures spectrally-resolved outgoing longwave radiation of the Earth's surface and
30 atmosphere. The TES O_3 data used are version 6 level 2 nadir data obtained from
31 the global survey mode (Herman and Kulawik, 2013). This data set consists of 16 daily orbits

1 with a spatial resolution of 5--8 km along the orbit track, with an equator crossing time of
2 13:40 and 02:29 local mean solar time. Retrievals of atmospheric parameters and their error
3 characterization are based upon optimal estimation (Worden et al., 2004; Bowman et al.,
4 2006; Kulawik et al., 2006) which provide the diagnostics (a priori, averaging kernels, and
5 error covariances) needed to construct the observation operator. The standard quality flags
6 were used to exclude low-quality data. The data assimilation of the TES O_3
7 retrievals was performed based on the logarithm of the mixing ratio following the retrieval
8 product specification (Bowman et al., 2006).

9

10 \subsubsection{MLS O_3 and HNO_3}

11

12 The MLS data used are the version 4.2 O_3 and HNO_3 level 2 products
13 (Livesey et al., 2011). We excluded low quality data, following the recommendations of
14 Livesey et al. (2011). We used data for pressures of less than 215 hPa for O_3 and
15 150 hPa for HNO_3. The accuracy and precision of the measurement error, described
16 in Livesey et al. (2011), were included as the diagonal element of the observation error
17 covariance matrix.

18

19 \subsubsection{MOPITT CO}

20

21 The MOPITT CO data used are version 6 level 2 TIR products (Deeter et al., 2013). The
22 MOPITT instrument is mainly sensitive to free-tropospheric CO, especially in the middle
23 troposphere, with degrees of freedom for signals (DOFs) typically much larger than 0.5.
24 Owing to data quality problems, we excluded data poleward of 65 $^{\circ}$ and night-time data.
25 Data at 700 hPa were used for constraining surface CO emissions.

26

27 \subsection{Measurements used in the validation}

28

1 We use vertical NO_2 profiles observed from in-situ and aircraft measurements to
2 validate the simulated NO_2 distributions. The model simulation and assimilation
3 fields were interpolated to the time and location of each measurement, and then compared
4 with the measurements.

5 6 \subsubsection{DANDELIONS}

7
8 Vertical NO_2 profiles were measured using the Netherlands National Institute for
9 Public Health and the Environment (RIVM) NO₂ lidar during the Dutch Aerosol and
10 Nitrogen Dioxide Experiments for Validation of OMI and SCIAMACHY (DANDELIONS)
11 campaign [in September 2006](#) (Volten et al., 2009). The lidar data have a spatial representation
12 of 2 km in the viewing direction and approximately 12 km in the direction of the wind, which
13 is much finer than the model resolution (approximately 2.8°). [The model grid points
14 used for the interpolation around Cabauw are located in Belgium, northeastern Netherlands,
15 western Germany, and on the North Sea. Boundary layer conditions are different among the
16 grid points, especially between land and ocean.](#) To avoid a possibly large error of
17 representativeness in the validation, particularly under the different boundary layer condition,
18 the profiles obtained in the morning (before 12:00 p.m.) were used [because the differences
19 between land and sea mixing layer depths are then still relatively small, following Miyazaki et
20 al. \(2012a\)-.](#)

21 22 \subsubsection{INTEX-B}

23
24 During the Intercontinental Chemical Transport Experiment Phase B (INTEX-B) campaign,
25 vertical NO_2 profiles were obtained using the UC Berkeley Laser-Induced
26 Fluorescence (TD-LIF) instrument on a DC-8 over the Gulf of Mexico [in March 2006](#) (Singh
27 et al., 2009). We removed data [collected over highly polluted areas](#) over Mexico City- and
28 Houston from the comparison to avoid a serious [spatial](#) representativeness error-, [as applied
29 in Miyazaki et al. \(2015\).](#) ~~The comparisons were made for two flights during the campaign,
30 conducted on March 6 and March 9 in 2006. The spatial variability of the NO_2~~

~~concentration during the two flights was generally smaller than that obtained from other flights, since the two flights corrected air mostly over the oceans. In the comparison, the data were binned on a pressure grid, with an interval of 30 hPa.~~

~~\subsubsection{ARCTAS}~~

The Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) campaign (Jacob et al., 2010) was conducted over Alaska (between 60--90 $^{\circ}$ N) in April 2008 (ARCTAS-A) and over western Canada (between 50--70 $^{\circ}$ N) in June--July 2008 (ARCTAS-B). Since the data assimilation impact is limited ~~at in~~ polar regions, the profile data obtained during ARCTAS-B were used in the comparison. Note that Browne et al. (2011) investigated that the observed NO_2 concentrations could be too high in the upper troposphere.

~~\subsubsection{DC3}~~

The Deep Convective Clouds and Chemistry (DC3) experiment field campaign was conducted over northeastern Colorado, western Texas to central Oklahoma, and northern Alabama during May and June 2012 (Barth et al., 2015). The observations obtained from the DC-8 by the UC Berkeley measurement were used in the validation.

~~\subsubsection{SEAC⁴RS}~~

The Studies of Emissions and Atmospheric Composition, Clouds and Climate Coupling by Regional Surveys (SEAC⁴RS) aircraft campaign was conducted over the southeast US in August--September 2013 (Travis et al., 2016). The observations obtained from the DC-8 by the UC Berkeley measurement were used in the validation.

1 \section{Simulated and retrieved tropospheric \chem{NO_2} columns}

2

3 Tropospheric \chem{NO_2} columns obtained from data assimilation and model simulation
4 (without any assimilation) are compared with satellite observations. For these comparisons,
5 concentrations were interpolated for the retrieval pixels to the overpass time of the satellite,
6 while applying the averaging kernel of each retrieval, and both the retrieved and simulated
7 concentrations were mapped on the horizontal grid of the super observation (i.e., T42).

8

9 \subsection{Global distribution}

10

11 Figure 2 compares global distributions of annual mean tropospheric \chem{NO_2} columns
12 obtained from the three satellite retrievals (OMI for 2005-2014, SCIAMACHY for 2005--
13 2011, and GOME-2 for 2007--2014), the MIROC-chem simulation, and the data assimilation.
14 The three satellite measurements commonly reveal high tropospheric \chem{NO_2}
15 concentrations over large industrial regions: eastern China, Europe, and the United States.
16 High concentrations are also found over the Southern and Central Africa, India, Middle East,
17 Japan, South Korea, and Southeast Asia. Tropospheric \chem{NO_2} concentrations are
18 generally lower in OMI retrievals compared to GOME-2 and SCIAMACHY retrievals over
19 polluted areas, reflecting the diurnal cycle of emissions and chemistry, with faster chemical
20 loss of \chem{NO_2} at noon compared to early morning (e.g. Boersma et al., 2009). All of
21 the retrievals are produced using the same retrieval approach (Boersma et al., 2011).
22 | Therefore, the differences in overpass time and also in pixel size could be the principle-main
23 cause of the differences between the three different satellite retrievals, although the use of
24 super observations for all the sensors reduces the influence of different pixel sizes.

25

26 The MIROC model reproduces the general features of observed tropospheric \chem{NO_2},
27 with a global spatial correlation of 0.86--0.94 for the annual mean concentration during the
28 ten-year period between 2005--2014 (Fig. 2 and Table 1). However, the simulated regional
29 mean tropospheric \chem{NO_2} columns are generally too low over most industrial areas
30 and major biomass-burning areas and too high over remote areas. In the global mean, the

1 model is negatively biased relative to the three retrievals (i.e., -0.04 – $-0.18 \times$
2 $10^{15} \text{ molec cm}^{-2}$) compared with the three retrievals). Data assimilation
3 improves agreements with the satellite retrievals for most industrial and biomass-burning
4 areas mainly because of the optimized surface NO_x emissions, with great reductions
5 in the ten-year global mean negative bias (i.e., -0.02 – $+0.03 \times$
6 $10^{15} \text{ molec cm}^{-2}$) (Table 1). Improvements can also be found in the
7 improved spatial correlation (from 0.86–0.94 to 0.95–0.98) and the reduced global root mean
8 square error (RMSE: reduced by about 40, 30, and 50 % compared with OMI, SCIAMACHY,
9 and GOME-2, respectively). The annual mean analysis–observation differences show similar
10 spatial distributions between SCIAMACHY and GOME-2 ($r=0.93$) and differed somewhat
11 between OMI and other sensors ($r=0.55$ – 0.60).

12

13 \subsection{Regional distribution}

14

15 The regional mean tropospheric NO_2 columns are compared in Table 2. The data
16 assimilation reduced the ten-year mean negative bias of the model by 40–62 % over China
17 and 48–50 % over the United States compared to the three retrieval. The data assimilation
18 also reduced the almost constant negative bias over Australia by 20–76 %, over India by 57–
19 60 %, and over Southern Africa by 35–64 %. The error reduction over China and southern
20 Africa is generally smaller for the SCIAMACHY and GOME-2 retrievals compared with the
21 OMI retrievals.

22

23 Improvements are also found over biomass burning areas. The ten-year mean negative model
24 bias over Southeast Asia is reduced by 57–77 %, which is mainly attributed to the positive
25 adjustments in the biomass burning season (i.e., in boreal winter-spring). The persistent
26 negative biases throughout the year over central and North Africa are also reduced, with a ten-
27 year mean reduction of 66–80 % and 78–86 %, respectively. These improvements over the
28 tropical regions are mostly commonly found in comparisons with the three retrievals.
29 Considering the short lifetime and rapid diurnal variation of biomass burning activity at low
30 latitudes, these improvements suggest that the assimilation of multiple-species and multiple

1 {NO_2} measurements effectively corrected the temporal changes in the tropospheric
2 {NO_2} column between the different overpass times.

3

4 Despite the general improvement by data assimilation, disagreements remain between the
5 simulated and observed {NO_2} concentrations over polluted regions, such as Europe,
6 Southern Africa, and China. The inadequacies of the improvements can be partly attributed to
7 the small number of observations and large observation errors for highly polluted cases. The
8 quality and abundance of the retrievals varies largely with season and area (Fig. S1),
9 reflecting observation conditions (e.g., clouds, aerosols, and surface albedo), which have great
10 impacts on the magnitude of data assimilation improvement. For instance, over Europe in
11 winter, the number of observations is relatively small, and the observation error is relatively
12 large. The remaining errors may also result from model errors such as too short lifetime of
13 {NO_x} through processes such as the {NO_2}+{OH} ~~and~~
14 ~~{NO}+{HO_2}~~—reactions and the reactive uptake of {NO_2} and
15 {N_2O_5} by aerosols (e.g., Lin et al., 2012b; Stavrou et al. 2013). This will further
16 be discussed in Section 5.3.

17

18 \subsection{Seasonal and interannual variation}

19

20 The underestimation in the simulated concentrations is most obvious in winter over most of
21 the industrial regions, such as China, Europe, the United States, and Southern Africa. Data
22 assimilation greatly reduced the wintertime low bias by 50-70 \% over China, by about 50--90
23 \% over the United States, and by 50--70 \% over Southern Africa, as summarized in Table 2.
24 Over Europe, the model's negative bias is reduced by about 10--80 \% in summer, but the
25 negative bias compared with the OMI retrievals mostly remains in winter (c.f., Section 5.3).
26 Despite the persistent wintertime bias over Europe, the improved temporal correlation (from
27 0.64--0.89 in the model simulation to 0.90--0.95 in the data assimilation) confirms improved
28 seasonality and year-to-year variation. Over India, the {NO_2} columns in the model
29 simulation do not reveal clear seasonal variation, whereas a significant seasonal variation is
30 introduced by data assimilation, reflecting the observed high concentration in boreal winter-

1 spring. The temporal correlation is largely improved over India (from -0.47--0.06 in the
2 model simulation to 0.76--0.95 in the data assimilation).

3

4 The observed concentrations reveal large year-to-year variations over the industrial regions,
5 which are generally underestimated in the model simulation (Fig. 3). Over China, the
6 difference between the model simulation and the observations becomes significant after 2010,
7 suggesting a larger underestimation in the a priori inventories in that time period, relative to
8 the period before 2010. The observed concentrations reveal positive trends over China, with
9 an exceptional decrease in 2009, followed by a rapid increase in 2010, and a decrease in 2014,
10 as found by Cui et al. (2015), Duncan et al. (2016), and Krotkov et al. (2016). The data
11 assimilation better captures the observed variations, as indicated by the better agreement in
12 the linear trend (+40 %/decade in the OMI observation, +13 %/decade in the model
13 simulation, and +28 %/decade in the data assimilation) and by the improved temporal
14 correlation (from 0.85--0.94 to 0.95--0.99). Over the United States, the data assimilation
15 removes most of the model's negative bias in 2005--2007 and reproduces the observed
16 downward trend for the ten-year periods. These improved agreements suggest that the a
17 posteriori emissions from data assimilation capture the actual anthropogenic emission
18 variability.

19

20 The seasonal and year-to-year variations over Southeast Asia, and North and Central Africa
21 are associated with changes in the biomass burning activity. Data assimilation improves the
22 temporal variability, as confirmed by the improved temporal correlations (by 0.10--0.14 over
23 North Africa, by 0.03--0.04 over Central Africa, and by 0.15--0.21 over Southeast Asia). Over
24 Southeast Asia, the negative bias in the biomass burning season is largely removed by data
25 assimilation. The systematic adjustments for North and Central Africa throughout the year
26 suggests that the a priori emissions reasonably represent the seasonality of biomass burning
27 activity, but emission factors might be underestimated in the a priori setting, as discussed in
28 Section 4.

29

30 \subsection{Vertical profiles}

1

2 Figure 4 compares the vertical profiles with the aircraft observations during the INTEX-B,
3 ARCTAS, DC3, and INTEX-B/SEAC⁴RS campaigns and with the ground-based lidar
4 observations obtained during the DANDELIONS campaign. For all the profiles, the observed
5 NO_2 concentrations are high in the boundary layer and decrease with height above
6 the boundary layer in the troposphere, and are higher in the morning than in the afternoon in
7 the lower troposphere. Both the model simulation and data assimilation reproduced these
8 observed general features.

9

10 For the ARCTAS profile, the data assimilation has only a small effect on the lower and
11 middle tropospheric NO_2 profiles, because of the large observational error of the
12 NO_2 measurements at high latitudes. In contrast, the data assimilation mostly
13 removed the model negative bias in the upper troposphere and lower stratosphere, mainly
14 because of the MLS O_3 and HNO_3 data assimilation and through the use
15 of the inter-species correlation that was determined using background error covariances
16 estimated from ensemble model simulations (c.f., Section 2.2). An estimated inter-species
17 correlation is demonstrated in Miyazaki et al. (2012b) in Fig. 3, which shows a strong positive
18 correlation between the concentrations of NO_2 with those of O_3 and
19 HNO_3 , reflecting complex tropospheric chemical processes. The data assimilation
20 widely influences the NO_x and NO_y species in both analysis and forecast
21 steps. This improvement cannot be achieved using the NO_2 measurements only.

22

23 Compared with the INTEX-B and DC3 profiles, both the model and assimilation are too low
24 in the middle/upper troposphere, whereas in the lower troposphere these are too high
25 compared with the DC3 profile and too low compared with the INTEX-B profile. Compared
26 with the SEAC⁴RS profile, both the model and assimilation are too high in the lower
27 troposphere. Because of the coarse model resolution (approximately 2.8°), the model
28 has difficulty in representing the spatial footprint of the measurement, and this could cause
29 large differences near the surface for comparisons at urban sites. The near-surface
30 concentration will be sensitive to the model resolution owing to fine-scale emission
31 distribution and transport, as well as non-linear chemical processes, as discussed in Valin et al

1 ~~(2011) and Miyazaki et al (2012a). The coarse model resolution may also make the~~
2 ~~improvements by data assimilation obscure.~~

3
4 During the ~~INTEX-B and~~ DANDELIONS ~~campaign and aircraft campaignss~~, large variations
5 in individual measurements along the flights were observed. Therefore we evaluate the
6 variability, as well as ~~the~~ mean profiles, using scatter plots ~~(Fig. 4)~~. ~~The right four panels in~~
7 ~~Fig. 4 show the scatter plots for an INTEX-B profile on March 9 in 2006 as an example and~~
8 ~~for the DANDELIONS measurements.~~ For the INTEX-B profiles, the data assimilation
9 improves the agreement (i.e., the correlation and slope) with the observations in the lower and
10 middle troposphere, except within the boundary layer (i.e., below 900 hPa). ~~For the March 9~~
11 ~~flight,~~ ~~†~~ The correlation (from 0.324 to 0.455) and the slope (from 0.26 to 0.53) increased in
12 the lower troposphere (900--750 hPa) by data assimilation. The improvements are ~~commonly~~
13 ~~also found for the March 11 flight and~~ for higher levels (750--600 hPa) ~~and for other flights~~
14 ~~(not shown)~~. The assimilation does not obviously change the model profile in the upper
15 troposphere (600--300 hPa); the remaining negative bias could be attributed to errors in the
16 model, such as in the chemical loss, NO_y species partitioning, and atmospheric
17 transport. For the DANDELIONS profiles, the data assimilation improves the agreement in
18 the lower troposphere (e.g., the correlation and slope are increased from 0.14 to 0.46 and from
19 0.11 to 0.90, respectively, for 150--500 m), except near the surface (i.e., below 150 m). ~~The~~
20 ~~improvement is generally more obvious in the morning than in the afternoon for these~~
21 ~~comparisons (not shown).~~

22
23 ~~Note that, in all cases, the data assimilation increases positive biases in the surface~~
24 ~~concentrations. Because of the coarse model resolution (approximately 2.8°), the~~
25 ~~model has difficulty in representing the measurement locations. The near-surface~~
26 ~~concentration will be sensitive to the model resolution owing to fine-scale emission~~
27 ~~distribution and transport, as well as non-linear chemical processes, as discussed in Valin et al~~
28 ~~(2011) and Miyazaki et al (2012a). The positive bias in the data assimilation may also suggest~~
29 ~~errors in the assimilated measurements.~~

30
31 \section{Estimated surface NO_x emissions}

1

2 The a posteriori emissions were compared against the a priori emissions for the 2005--2014
3 period and against an independent emission inventory from EDGAR-HTAP v2 (Janssens-
4 Maenhout et al., 2015) for the years 2008 and 2010. EDGAR-HTAP v2 was produced using
5 nationally reported emissions combined with regional scientific inventories from the
6 European Monitoring and Evaluation Programme (EMEP), Environmental Protection Agency
7 (EPA), Greenhouse Gas-Air Pollution Interactions and Synergies (GAINS), and Regional
8 Emission Inventory in Asia (REAS). For the comparison against EDGAR-HTAP v2,
9 emissions from biomass burning and soils were obtained based on GFED version 3.1 and
10 GEIA inventories; they were used in the a priori emissions.

11

12 \subsection{Top-down vs. a priori global surface \chem{NO_x} emissions}

13

14 The global distributions of the estimated emission sources are depicted in Fig. 5. As
15 summarized in Table 3, the ten-year mean global total surface \chem{NO_x} emissions after
16 data assimilation is $48.4 \text{ Tg}\text{N}\text{yr}^{-1}$, which is about 26 \% higher than the a priori
17 emissions ($38.4 \text{ Tg}\text{N}\text{yr}^{-1}$). The positive analysis increment in global total
18 emissions is attributable to an approximate +21 \% increment in the Northern Hemisphere
19 (NH, 20°N), a +35 \% increment in the tropics (20°S – 20°N), and a
20 42 \% increment in the Southern Hemisphere (SH, 20°S). Strong positive
21 increments are found over China (+39 \%), the United States (+10 \%), India (+22 \%), and
22 Southern Africa (+50 \%). There are also positive increments in emissions over the biomass
23 burning areas of Central Africa (+53 \%) and Southeast Asia (+39 \%). The a posteriori
24 regional total emissions are clearly closer to the EDGAR-HTAP v2 emissions than the a priori
25 emissions over China, the United States, and India. Since the same biomass burning and soil
26 emission inventories are used in producing the total a priori and EDGAR-HTAP v2 emission
27 data sets in this study, the emissions are similar between the two data sets over biomass
28 burning and remote areas.

29

1 Fig. 6 depicts the global distribution of the linear trend during the ten-year period. The trend
2 is negative over most of the United States, Europe, some parts of eastern China, South Korea,
3 Japan, central and Southern Africa, Northern South America, with strong negative trends over
4 the eastern United States, some parts of Europe (e.g., Northwest Europe, Po valley, and
5 northern Spain), and Japan. Strong positive trends are found over China, India, Middle East,
6 around Sao Paulo in Brazil, and around Jakarta in Indonesia.

7

8 Data assimilation reveals significant temporal variations (Fig. 7), including seasonal (Fig. 8)
9 and interannual (Fig. 9) variations, in the emissions over major polluted regions. In northern
10 mid-latitudes, the emissions are strongly enhanced in summer, and the timing of the
11 summertime peak from data assimilation is earlier by 1--2 months over North America,
12 Europe, and China (Fig. 8), as similarly found in our previous study (Miyazaki and Eskes,
13 2013). Applying the ratio of different emission categories within the a priori emissions for
14 each grid point to the estimated emissions after data assimilation (only the total emission is
15 optimized in our estimates), global total NO_x emissions from soils are 7.9 Tg N yr^{-1}
16 for the a posteriori emissions in contrast to 5.4 Tg N yr^{-1}
17 for the a priori emissions. In line with recent studies by Hudman et al. (2012) and
18 Vinken et al. (2014), our results suggest that the a priori emissions underestimate those by
19 soils and misrepresent the seasonality.

20

21 Over biomass-burning areas, the time of the peak emissions does not change for most cases,
22 suggesting that the a priori emissions describe the seasonality reasonably, but the systematic
23 adjustment indicates large uncertainties in emission factors and biomass burnt estimates used
24 in the inventories. The weak year-to-year variations in the a priori emissions are partly
25 attributable to the use of climatology after 2011 (c.f., Sec. 2.1).

26

27 Despite the large year-to-year variations over many regions (c.f., Figs. 6 and 7), the global
28 total emission is almost constant between 2005 ($47.9 \text{ Tg N yr}^{-1}$) and 2014 ($47.5 \text{ Tg N yr}^{-1}$),
29 with a maximum in 2012 ($50.9 \text{ Tg N yr}^{-1}$) and a
30 minimum in 2008 ($46.7 \text{ Tg N yr}^{-1}$). Over the ten-year period, the large emission

1 increases over China, India, and the Middle East mostly compensate for the large emission
2 decreases over the United State, western Europe, and Japan.

3

4 \subsection{Top-down vs. a priori regional surface {NO_x} emissions and their trends}

5

6 \subsubsection{East Asia}

7

8 Data assimilation adjusts the total annual emissions from 4.47 to 6.21 $\text{Tg}\text{N}\text{yr}^{-1}$
9 over China for the 2005--2014 period (Table 3), whereas the a posteriori emissions show
10 good agreement with the EDGAR-HTAP v2 emissions (6.19 $\text{Tg}\text{N}\text{yr}^{-1}$) in the a
11 posteriori emissions and 6.25 $\text{Tg}\text{N}\text{yr}^{-1}$ in the EDGAR-HTAP v2 for 2008 and
12 2010). Our a priori inventory is too low over China, by about 40 \%. The seasonal variation is
13 largely corrected by data assimilation (Fig. 8), exhibiting maximum emissions in January and
14 June.

15

16 At the grid scale, the estimated emissions are higher than the a priori emissions over northern
17 and eastern China, such as Beijing (+58 \% at the nearest grid point), Tianjin (+97 \%),
18 Nanjing (+30 \%), and around Guangzhou (+78 \%), whereas they are lower around Chengdu
19 and Chongqing (Fig. 10). In terms of the regional mean, the EDGAR-HTAP v2 is closer to
20 the a posteriori emissions for China. However, there are disagreements at grid-scale around
21 large cities, such as Shanghai (the a posteriori minus EDGAR-HTAP v2 is -25 \%),
22 Guangzhou (+46 \%), and Chongqing (-19 \%), and also in South Korea around Seoul (+37
23 \%) and in Japan around Tokyo (+13 \%).

24

25 Our estimate of 12.5 TgN for July 2007 over East Asia (80-150 $^{\circ}$ E, 10--50 $^{\circ}$ N) is
26 slightly larger than that of 11.0 TgN estimated using OMI observations (Zhao and Wang,
27 2009). The 6.6 TgN (8.0 TgN) estimated for July 2008 (January 2009) over east China
28 (103.75--123.75 $^{\circ}$ E, 19--45 $^{\circ}$ N) from OMI and GOME-2 observations by Lin
29 and McElroy (2010) is slightly smaller than (larger than) our estimates of 7.4 TgN (7.4 TgN).

1 We emphasize that the estimated emissions are strongly constrained by the assimilation of
2 non- NO_2 measurements in our estimates. The estimated emissions for July 2008
3 over east China for the above-mentioned case from a NO_2 -only assimilation (8.2
4 TgN) is 11 % larger than the estimate using multiple-species (7.4 TgN). The importance of
5 multiple-species assimilation is further discussed in Sect. 5.1.

6

7 The estimated emission for China does not follow a simple linear increase, but rather
8 increasing from 2005 to 2011 with a slightly negative trend afterwards, as shown by Fig 9 and
9 Fig. 11. The ten-year linear trend slope is estimated at +26 %/decade (Table 4). The
10 difference in the estimated emission trend between the two time periods (2005--2010 and
11 2011--2014) are most commonly found across the country, which can be attributed to the
12 competing influences of economic growth and emission controls (Cui et al., 2015). The
13 temporal strong decrease in the estimated emissions in 2008 summer (Fig. 7) could be
14 associated with the Beijing Olympic games, as suggested by Mijling et al. (2009), Witte et al.
15 (2009), and Worden et al. (2012). The trend for 2005--2010 over China is estimated at +3.0
16 %/year in our estimate, which is slightly smaller than the +4.0 %/year estimate using OMI
17 measurements by Gu et al. (2013). The increase from 2008 to 2010 for China is larger in the a
18 posteriori emissions (+0.73 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$) than in EDGAR-HTAP v2 (+0.49
19 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$).

20

21 As shown by Fig. 12, strong positive trends are found over large cities such as Wuhan (+42
22 %/decade), Nanjing (+35 %/decade), Tianjin (+35 %/decade), Chengdu (+56 %/decade),
23 and over eastern China. A larger relative positive trend occurs over western China, especially
24 over northwestern China (around 88--110 $^\circ$ E, 37--48 $^\circ$ N) where the rate of
25 increase reaches +50--110 %/decade at grid scale. Despite the general large positive trend
26 for the ten-year period, the three largest cities in China show a net reduction or a small
27 increase during 2005--2014; Beijing (-0.6 %/decade), Shanghai (-6.2 %/decade), and
28 Guangzhou (+4.5 %/decade), as commonly found in the observed NO_2
29 concentrations (Wang et al., 2015). In East Asia, the estimated emissions also show strong
30 negative trends over major cities in Japan and South Korea; Tokyo (-48 %/decade), Osaka (-
31 38 %/decade), and Seoul (-11 %/decade).

1

2 \subsubsection{Europe}

3

4 The total emissions for Europe are about 5 \% higher in the a posteriori than in the a priori
5 emissions (Table 3), which is attributed to positive increments over some parts of western
6 Europe, such as Belgium (+67 \%), western Germany (+23 \%), northern Italy (+62 \%), and
7 Istanbul (+40.3 \%) (Fig. 10). The a posteriori emissions for Europe are higher than the
8 EDGAR-HTAP v2 inventory by 17 \% for 2008 and 2010, and the differences are large at the
9 grid scale around London (+27 \%), Belgium (+87 \%), western Germany (+84 \%), Paris
10 (+27 \%), Madrid (+55 \%), northern Italy (+90 \%), and Istanbul (+56 \%). Both the a priori
11 and EDGAR-HTAP v2 emission inventories show maximum emissions in summer (i.e., July),
12 whereas the timing of peak emission becomes earlier by 1 month after data assimilation (Fig.
13 8). The estimated seasonal amplitude is larger over Eastern Europe than over Western Europe
14 by about 40 \%, which suggests the possibility of more active summertime emissions from
15 soil in Eastern Europe, as consistently revealed by Vinken et al. (2014).

16

17 The estimated emissions for Europe show a slightly negative trend during 2005--2014, with a
18 sharp decrease from 2009 to 2010 (Fig. 9). The estimated linear decrease for the ten-year
19 period is small (-0.1 \%/decade) for Europe (10°W -- 30°E , 35° -- 60°N),
20 but is much larger (-8.8 \%/decade) over Western Europe (10°W -- 17°E , 36° --
21 54°N), as summarized in Table 4. At the grid scale (Fig. 12), strong negative trends
22 occur over large cities in Western Europe; Paris (-10 \%/decade), northwestern France (-57
23 \%/decade), London (-11 \%/decade), Belgium (-24 \%/decade), Athens (-22 \%/decade), and
24 over a region with many power plants in northern Spain (-45 \%/decade) and Po valley (-52
25 \%/decade). These variations are considered to be the result of the global economic recession
26 and emission controls, as pointed out by Castellanos and Boersma (2012). The negative trends
27 are stronger during 2005--2010 than during 2011-2014 over some parts of western and
28 southern Europe such as over northern Spain, northern Italy, and western Germany (Fig. 12).
29 Strong negative emission trends over these regions were similarly found by Curier et al.
30 (2014) for 2005--2010. Zhou et al. (2012) revealed that NO_x emissions from

1 Spanish Power plants have been strongly reduced for the 2004-2009 period because of
2 emission abatement strategies, which is consistent with our estimates.

3

4 \subsubsection{North America}

5

6 The ten-year mean a posteriori emissions are higher than both the a priori (5.73
7 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ v.s 5.23 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ for 2005--2014) and EDGAR-HTAP v2
8 (5.26 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ v.s 4.84 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ for 2008 and 2010) emissions over
9 the United States (Table 3). Positive increments are found over most remote areas and around
10 the Southeast United States (e.g., +23 \% near Atlanta) and most of the Western United States
11 (e.g., +26 \% near Denver), whereas negative increments are found around large cities such as
12 New York (-28 \%), Toronto (-17 \%), Montreal (-19\%), Houston (-19 \%), and Los Angeles
13 (-5 \%) (Fig. 10). Despite the small adjustment for the ten-year mean regional total emissions,
14 the data assimilation analysis increments for the regional total emission are strongly positive
15 during 2005-2008, producing a long-term negative trend (Fig. 7). The timing of maximum
16 emissions becomes earlier by 2 months (from July to May) due to data assimilation (Fig. 8).
17 The summertime peak enhancement is obvious over remote regions such as high temperature
18 agricultural land over ~~the South Atlantic~~, the East South Central, and the Southwestern United
19 States, which suggests that the a priori emissions underestimates emissions from soil, as
20 suggested by Oikawa et al. (2015) for the western Unites States. The estimated emissions are
21 larger than the EDGAR-HTAP v2 emissions around large cities such as New York (+24 \%),
22 Chicago (+12 \%), Denver (+35 \%), Houston (+17 \%), San Francisco (+74 \%), and Los
23 Angeles (+68 \%) but are smaller over remote areas in the eastern and central United States
24 for 2008 and 2010 (Fig. 10). The 0.73 $\text{Tg}\,\text{N}$ estimated over the United States (130--
25 70 $^{\circ}$ W, 25--50 $^{\circ}$ N) from ICARTT observations between 1 July and 15 August in
26 2004 (Hudman et al., 2007) is close to our estimates of 0.82 TgN for 1 July to 15 August in
27 2005. The 0.465 TgN estimated over the eastern United States (102--64 $^{\circ}$ W, 22--
28 50 $^{\circ}$ N) from the OMI observations for March 2006 (Boersma et al., 2008a) is slightly
29 smaller than our estimate of 0.502 TgN.

30

1 The a posteriori regional emissions for the United States show a strong negative trend during
2 2005--2014 (-29.4%/decade) (Table 4). The estimated trend for 2005--2012 (-32 %) in this
3 study is close to that reported by Tong et al. (2015) using OMI measurements (-35 %). The
4 ten-year linear trend is strongly negative over large cities such as New York (-48 %/decade),
5 Boston (-42 %/decade), Chicago (-52 %/decade), Atlanta (-47 %/decade), Dallas (-19
6 %/decade), Houston (-25 %/decade), Denver (-16 %/decade), and Los Angeles (-46
7 %/decade) (Fig. 11). Lu et al. (2015) estimated that total OMI-derived NO_x
8 emissions over selected urban areas decreased by 49 % from 2005 to 2014, reflecting the
9 success of NO_x control programs for both mobile sources and power plants, with
10 greater reductions before 2010 than after 2010. These variations are similarly found in our
11 estimates (Fig. 12). Both the a posteriori and EDGAR-HTAP v2 emissions consistently reveal
12 a decrease in the regional emissions for the United States from 2008 to 2010 (-0.34 and -0.51
13 Tg N yr^{-1}), respectively).

14

15 \subsubsection{India}

16

17 The ten-year total emissions from India are 22 % higher in the a posteriori emissions than in
18 the a priori emissions (Table 3). The positive adjustment for the country's total emissions is
19 large in spring, resulting in a Mar--June/July--September ratio of about 1.55 ± 0.1 (Fig. 8),
20 which could be associated with the seasonality in open biomass burning (Venkataraman et al.,
21 2006). The seasonal variation is mostly absent in the a priori and EDGAR-HTAP v2
22 inventories. The positive increment is large around large cities such as Lucknow (+110 %),
23 Patna (+25 %), Mumbai (+50 %), Hyderabad (+16 %), and Madras (+21 %) (Fig. 10). In
24 contrast, the country's total emissions are about 10 % smaller in the a posteriori emissions
25 than in the EDGAR-HTAP v2, with large negative biases (i.e., the a posteriori is smaller)
26 around Delhi (-49 %) and southern India (-20\$-\$70 %) and large positive biases over
27 Lucknow (+68 %), Gwalior (+45 %), Raipur (+41 %), Mumbai (+12 %), and Hyderabad
28 (+14 %) at grid scale (Fig. 10). These results suggest both EDGARv4 and EDGAR-HTAP v2
29 inventories largely underestimate emissions over some parts of India such as around Lucknow,
30 Raipur, Mumbai, and also in Thailand around Bangkok (+26 % compared with the a priori

1 emissions and +118 \% compared with the EDGAR-HTAP v2 emissions) and Chiang Mai
2 (+54 \% and +66 \%, respectively).

3

4 The a posteriori emissions for India increased continuously over the ten-year period, with a
5 linear trend of +29 \%/decade (Fig. 9). The positive trend is large across the country, with
6 particularly strong increases around Lucknow (+29 \%/decade), Kolkata (+47 \%/decade),
7 Raipur (+67 \%/decade), and Madras (+40 \%/decade) (Fig. 12). The positive emissions trend
8 could be associated with increased thermal power plants in India, as pointed out by Lu and
9 Streets (2013). In 2014, the regional total emissions for India (i.e., $3.46 \text{ Tg N yr}^{-1}$)
10 are comparable to (about 83 \% of) the European-total emissions (i.e., $4.15 \text{ Tg N yr}^{-1}$)
11 and about 67 \% of the United States-total emission (i.e., $5.17 \text{ Tg N yr}^{-1}$). In contrast, tropospheric NO_2
12 columns over India are much
13 lower compared to those in northern midlatitude polluted areas, as a result of the high values
14 of temperature, photolysis rates, and specific humidity, leading to shorter NO_2
15 lifetimes throughout the year (Beirle et al., 2011).

16

17 \subsubsection{Southern Africa}

18

19 A large adjustment in NO_x emissions is apparent in the Highveld region of Southern
20 Africa with a factor of about 1.5 (table 3). The positive adjustment is relatively large in the
21 austral summer (Fig. 8). The emissions from Southern Africa show a slight negative trend (-8
22 \%/decade), with a temporary increase in 2006--2007, followed by a rapid decrease in 2009,
23 and almost constant emissions afterwards (Fig. 9). The difference in emissions between 2008
24 and 2010 is small in EDGAR-HTAP v2 ($+0.01 \text{ Tg N yr}^{-1}$), whereas the a
25 posteriori emissions show a negative trend ($-0.09 \text{ Tg N yr}^{-1}$ (2010-2008)) (Table
26 3). The ten-year linear trend reaches about -40 \%/decade at grid scale over highly polluted
27 areas. Duncan et al. (2016) highlighted a complex mixture of different emissions sources over
28 Southern Africa. The various emission sources may have experienced different variations, and
29 high resolution emission analysis is required to understand the detailed spatial variation in
30 these emissions and to obtain unbiased emission estimates (Valin et al., 2011).

1

2 \subsubsection{North and central Africa}

3

4 Over North Africa, the ten-year mean emission increased by 40 \% due to data assimilation
5 from 2.07 to 2.90 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ (Table 3). The positive increment is large from boreal
6 winter to summer, producing the second maximum in July that is absent in the a priori
7 emission (Fig. 8). The enhanced emissions for July and August are found throughout the
8 2005--2014 period and can mainly be attributed to emissions from the Sahel and Nigeria. This
9 large positive increment may indicate an underestimation of soil NO_x emissions in
10 the a priori inventory. The short summer dry season in Nigeria may also lead to enhanced
11 biomass burning emissions. The data assimilation largely corrects the spatial distribution
12 during the peak season in January, with larger positive adjustments over the western (by about
13 +60--120 \% at grid scale around $5\text{W}^\circ\text{S}$ -- $15\text{W}^\circ\text{S}$) rather than the eastern parts of
14 North Africa (Fig. 5). The data assimilation also introduced a distinct year-to-year variation,
15 reflecting the observed concentration variations associated with changes in biomass burning
16 activity. The estimated emissions are high in 2005, 2006, 2008, and 2009, and low in 2010
17 (Fig. 7), which could be associated with drought events related to atmospheric variations such
18 as ENSO (Janicot et al., 1996).

19

20 Over central Africa, the ten-year mean a posteriori emissions are larger than the a priori
21 emissions by about 53 \% ($2.57\text{ Tg}\,\text{N}\,\text{yr}^{-1}$ v.s. $1.68\text{ Tg}\,\text{N}\,\text{yr}^{-1}$) (Table
22 3). Large positive increments are found in the Congo region, with about +50--150 \%
23 increases for the ten-year mean emissions at the grid scale (Fig. 5). The relative adjustment
24 for the regional total emissions during the biomass burning season is +30--40 \% over
25 central Africa and about +40 \% over North Africa. These numbers may indicate a possible
26 underestimation of the magnitude of fire-related emission factors in GFED v3. Although
27 variation in the seasonal emissions is different between North Africa and Central Africa
28 (almost in opposite phase, reflecting the transition of the Intertropical Convergence Zone
29 (ITCZ)), the year-to-year variation revealed by data assimilation is similar between the two
30 regions. The temporal correlation of the annual total emission between North Africa and
31 central Africa for the 2005-2011 period (when the GFED emissions are available) is estimated

1 at 0.90 for the a posteriori emissions, and 0.01 for the a priori emissions. This result may
2 suggest that year-to-year emission variations over the two regions are controlled in the same
3 manner by long-lasting atmospheric variations (e.g., ENSO), for which the a priori emissions
4 have large uncertainties.

5

6 \subsubsection{Southeast Asia}

7

8 Over Southeast Asia, the data assimilation increases the annual mean emission by 45 \% from
9 0.47 to 0.68 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ (Table 3), with a large increase in boreal winter and spring
10 (Fig. 8). The regional emission increment is positive over peninsular Malaysia (+20--+40 \%
11 for the ten-year mean emission), Borneo Island (+60--+100 \%), and central and northern
12 Thailand (+50--+80 \%) (Fig. 10). Because of the large adjustment in boreal winter and spring,
13 the peak-to-peak seasonal variation for southeast Asia is enhanced by 20 \% by data
14 assimilation (Fig. 8). The a priori inventories reveal enhanced emissions in 2005, 2007, and
15 2010, reflecting year-to-year changes in biomass burning emissions, whereas data
16 assimilation further increased them by up to 30 \% (Fig. 7). The relative adjustment in other
17 years (i.e. years with weaker biomass-burning activity) is even higher during the boreal winter
18 and spring (with a factor of more than 2), which can largely be attributed to large positive
19 increments over central and northern Thailand. The Southeast Asia emissions can be
20 characterized as a combination of various sources. Using the ratio between different emission
21 categories in the a priori emission inventories at each grid point, the regional total emissions
22 from anthropogenic sources, biomass burning, and soils are estimated at 0.51, 0.11, and 0.06
23 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$, respectively, which is 47, 32, and 58 \% higher than the a priori
24 emissions.

25

26 \subsubsection{South America}

27

28 Over South America, the ten-year mean regional total emissions are comparable between the
29 a priori and a posteriori emissions, whereas the spatial distribution is largely corrected, with
30 large positive increments over eastern Brazil (+50--+110 \% at grid scale) and Peru (+90--

1 +140 %) and negative increments over the central Amazon (up to -30 %) (Fig. 5). The
2 seasonal variation of the regional total emission for South America is largely corrected by
3 data assimilation (Fig. 8). A large decrease (by -30 %) occurs in the biomass burning season
4 in August-September in all of the years, which might be the result of an overestimation of
5 emissions by forest (i.e., deforestation) fires in dry conditions in the emissions inventory, as
6 similarly investigated by Castellanos et al. (2014) using GFED v3. This is in contrast to the
7 increased emissions over central Africa in the biomass burning season (c.f., Section 4.2.6). In
8 contrast to the negative increments in the biomass-burning season, the emissions in the
9 biomass burning off-season are increased by 30--60 % by data assimilation. Consequently,
10 data assimilation decreased the seasonal amplitudes by 40 %. The year-to-year variations are
11 similar between the a priori and a posteriori emissions (Fig. 7). As an exception, a large
12 decrease in 2010 (with a 50 % decrease from 6.9 Tg N yr^{-1} to 3.5 Tg N yr^{-1} in August
13 by data assimilation) suggests large uncertainty in fire-related emission factors in the major
14 fire year (Bloom et al., 2015).

15

16 \subsubsection{Other remote regions}

17

18 The data assimilation may capture signals related to soil emissions, for which the inventories
19 may have large uncertainties. For instance, the regional mean emissions over Australia are
20 higher by about 40 %, with a large increase in boreal spring-early summer. The emissions are
21 also higher over the central Eurasian continent, including eastern Europe and western China,
22 and over the Sahel (Fig. 5), as was similarly found by Vinken et al. (2014). The global total
23 NO_x emissions by soils for the ten-year period are estimated at 7.9 Tg N yr^{-1} ,
24 in contrast to 5.4 Tg N yr^{-1} for the a priori emissions. The results indicate large underestimates in the soil emission inventories
25 over these regions. For instance, the nonlinear relationships between soil NO_x
26 emissions and time since fertilization, soil temperature, and soil moisture, are not properly
27 considered in current inventories, as pointed out by Oikawa et al. (2015) for agricultural
28 regions. Note that our estimate of 7.9 Tg N yr^{-1} is smaller than other recent
29 estimates (8.9 Tg N yr^{-1} in Jaegl' e et al. (2005), 8.6 Tg N yr^{-1} in
30 Steinkamp and Lawrence (2011), $10.7 \text{ Tg N yr}^{-1}$ in Hudman et al. (2012), and

1 \$12.9 \pm 3.9\$ \unit{Tg,N\,yr^{-1}} in Vinken et al. (2014)), which could partly be
2 attributed to the assumed emission ratio between different categories for each model grid
3 point, which is based on the a priori inventories and was not modified by the data assimilation
4 in this study.

5

6 Among major industrialized areas, the Middle East has experienced a rapid increase in
7 \chem{NO_2} levels (Lelieveld et al., 2015). Our estimates reveal a linear trend of +20
8 \%/decade in \chem{NO_x} emissions and a 45 \% positive adjustment from the a priori
9 emissions for the Middle East (32--65\$^\circ\$E, 12--40\$^\circ\$N) during the ten-year period.
10 Strong positive trends are found over major cities, such as Kuwait (+47 \%/decade), Cairo
11 (+29 \%/decade), and Tehran (+37 \%/decade). In contrast, the trend in the estimated emission
12 over Dubai is negative (-6 \%/decade). The rate of increase becomes larger after 2010 for
13 many areas (Fig. 11), as found in observed \chem{NO_2} levels (Lelieveld et al., 2015).
14 Lelieveld et al. (2015) suggested that a combination of air quality control and political factors
15 has drastically altered the emission landscape of \chem{NO_x} in the Middle East.

16

17 Over the oceans, the data assimilation decreases the ten-year mean global total emissions
18 from ships. In contrast, at the regional scale, data assimilation increments are positive over the
19 oceans around Europe (Fig. 12), and a positive trend during 2005--2010 is introduced by data
20 assimilation (Fig. 11, note that the estimated positive trend is more pronounced during 2005--
21 2008, as commonly found by Boersma et al. (2015)). The overall negative increment as well
22 as the positive increment around Europe may indicate an overestimate and an underestimation
23 around Europe of ship emissions in the a priori inventories and errors in modelled chemical
24 processes in the exhaust plumes (Vinken et al., 2011), which occur at fine scales relative to
25 the model grid. The overall negative increment can also be influenced by possible negative
26 bias in \chem{NO_2} retrievals. Boersma et al. (2008a) showed negative bias over the ocean
27 in \chem{NO_2} retrievals in version-1 DOMINO \chem{NO_2} retrievals, and the negative
28 bias could not be fully removed in the version-2 DOMINO \chem{NO_2} retrievals (Boersma
29 et al., 2011a).

30

31 \section{Discussion}

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\subsection{Importance of assimilating multiple trace gases}

The differences between our NO_x emissions estimates and previous studies, as discussed in Section 4, may be attributed to differences in the assimilated data, forecast model, and data assimilation approach. In particular, the use of non- NO_2 measurements is expected to improve emission estimates in our approach, as these affect the NO_x chemistry and reduce model errors unrelated to surface emissions.

Table 5 compares the estimated emissions between the multiple-species data assimilation and a NO_2 -only data assimilation. The estimated emissions differ in many regions if non- NO_2 data assimilation is considered because the ratio of predicted NO_x emission and NO_2 column has been ~~adjusted~~modified by non- NO_2 observations. The assimilation of non- NO_2 measurements leads to changes of up to about 70 % in the regional monthly-mean emissions. The estimated ten-year total regional emissions for South America and Australia are about 10 % lower in the multiple-species assimilation than in the NO_2 -only assimilation. The RMSE between the two estimates for the monthly total regional emissions is 15.5 % for central Africa, 16.5 % for Australia, and about 5--8 % for major polluted regions during the ten-year period. The estimated monthly mean emissions are mostly smaller in the multiple-species assimilation than in the NO_2 -only assimilation, especially over the tropical and southern subtropical regions such as South America, central Africa, and Australia, suggesting that NO_2 -only data assimilation tends to overcorrect the emissions from the a priori. The monthly total global emissions decrease by up to 6 TgN (in boreal summer) if non- NO_2 data assimilation is considered.

We conducted Observing System Experiments (OSEs), and confirmed that the assimilation of individual data sets results in a strong influence on the estimated emissions. For instance, in January 2008, the TES O_3 assimilation led to substantial changes in the regional emissions over India (3.50 TgN in the NO_2 -only assimilation and 3.15 TgN in the

1 {NO_2} and TES {O_3} assimilation, in contrast to 3.16 TgN in the multi-
2 species assimilation and 2.45 TgN in the a priori emissions), whereas other non-
3 {NO_2} measurements (i.e., MOPITT and MLS) have less impact. Similar important
4 contributions of TES {O_3} measurements are found for South America in January
5 2008 (1.09 TgN in the {NO_2}-only assimilation and 0.91 TgN in the {NO_2}
6 and TES {O_3} assimilation, in contrast to 0.90 TgN in the multi-species assimilation
7 and 0.46 TgN in the a priori emissions). These changes in {NO_x} emissions are
8 associated with negative adjustments of {O_3} by the TES assimilation over South
9 America throughout the troposphere and positive adjustments of {O_3} over India in
10 the middle troposphere, and their influence on {NO_x}-{OH}-{O_3}
11 chemical reactions and the {LNO_x} source optimization, as discussed below.
12

13 The ten-year linear trend is also different over most industrial areas (Table 4). For instance,
14 the positive trend for India is 34.3 %/decade in the {NO_2}-only assimilation, which is
15 larger than the 29.2 %/decade in the multiple-species assimilation. For the United States, the
16 negative trend is larger in the multiple-species assimilation (-29.4 %/decade) than in the
17 {NO_2}-only assimilation (-23.9 %/decade). These results confirm that the
18 assimilation of measurements for species other than {NO_2} provides additional
19 constraints on the {NO_x} emissions over both anthropogenic and biomass burning
20 regions.

21
22 The improved representation of {NO_x} emissions is confirmed by the better
23 agreement of simulated {O_3} concentrations with independent ozonesonde
24 observations using {NO_x} emissions from multiple-species assimilation than those
25 using {NO_x} emissions from {NO_2}-only data assimilation, which was also
26 demonstrated by Miyazaki and Eskes (2013). After 2010, TES {O_3} retrievals were
27 not assimilated because of the lack of standard observations. Even so, the optimized surface
28 {NO_x} emissions from the multiple-species assimilation improved agreements with
29 TES {O_3} ver. 6 special observations during 2011--2014 for most locations (Table S1).
30 These results indicate that multiple-species measurements provide important information for
31 improving surface {NO_x} source estimations and improve the chemical consistency

1 including the relation between concentrations and the estimated emissions. Note that the
2 emissions of O_3 precursors other than NO_x , such as VOCs , and
3 various model processes in atmospheric transport and chemistry influences the model
4 performance—. The impact of using the optimized NO_x emissions may vary with
5 models (e.g., given different forecast errors of NO_2 and O_3). The
6 optimization of additional precursors emissions and the improvement of the forecast model could
7 be important for improving O_3 simulations, as discussed in our previous studies
8 (Miyazaki et al., 2012b; 2015).

9
10 LNO_x sources are important for a realistic representation of tropospheric
11 NO_2 columns, which are optimized from data assimilation in our framework. Using
12 the multiple-species data assimilation, the ten-year mean global LNO_x source
13 amount was estimated at $5.8 \text{ Tg}\text{N}\text{yr}^{-1}$, in contrast to $5.3 \text{ Tg}\text{N}\text{yr}^{-1}$
14 estimated from the model simulation and $6.3 \pm 1.4 \text{ Tg}\text{N}\text{yr}^{-1}$ in our previous
15 data assimilation estimate (Miyazaki et al., 2014). The data assimilation increments for
16 LNO_x sources are large and mostly positive in the middle and upper troposphere in
17 the NH and the TR, in which non- NO_2 measurements with different vertical
18 sensitivities provided important constraints. Through its influence on simulated tropospheric
19 NO_2 columns, for instance, the inclusion of the LNO_x source optimisation
20 altered the surface NO_x emission estimates over eastern China by up to 12% in
21 summer. Moreover, surface CO emissions increased by 10 % in the NH by the assimilation
22 of MOPITT CO measurements in our system. Both optimised LNO_x sources and
23 CO emissions reveal enhanced seasonal and interannual variations over many regions after
24 data assimilation, providing important constraints on long-term estimates of surface
25 NO_x emissions, through their influence on OH and thus the NO_x chemical
26 lifetime.

27
28
29 Figure 13 shows changes in OH concentrations (ΔOH) in the lower
30 troposphere in the boreal summer (averaged over June--August) due to data assimilation. The
31 multiple-species assimilation changes the global OH distribution, increasing OH over most

1 | ~~land areas globally~~. As summarised in Table 6, the regional impact is large (greater than +20
2 | %) in tropical regions such as over the Middle East, Southeast Asia, and Central and North
3 | Africa, and over industrial areas (greater than +10 %), such as over China, the United States,
4 | and India. These changes in OH concentrations ~~are influenced by~~ ~~can be partly introduced by~~
5 | ~~changes in NO_x emissions through the assimilation of NO_2 measurements~~
6 | ~~changes in NO_x emissions, but but were largely influenced by~~ the assimilation of
7 | non- NO_2 measurements ~~is also important, which was confirmed using observing~~
8 | ~~system experiments (OSEs). Fig. S2 demonstrates that the assimilation of non- NO_2~~
9 | ~~measurements acts to decrease the OH concentration in the lower and middle~~
10 | ~~troposphere for most regions in June 2008. The TES assimilation mostly reduces the~~
11 | ~~O_3 concentration in the tropics, which leads to a decrease of OH~~
12 | ~~concentrations. In contrast, the TES assimilation acts to increase the OH~~
13 | ~~concentration in the NH extratropics in the lower and middle troposphere. The assimilation of~~
14 | ~~MOPITT CO acts to decrease the OH concentration in the NH, because of~~
15 | ~~the increased surface CO emissions. relative contribution~~ ~~In contrast to the increased~~
16 | ~~surface CO emissions in the NH, the ten-year mean regional total CO emissions are reduced~~
17 | ~~by 12% in the tropics, leading to an increase of OH concentrations in the tropics. The~~
18 | ~~assimilation of TES O_3 retrievals also significantly changes OH concentrations,~~
19 | ~~which results in a significant increase in OH concentration in the extratropics by up to 15 %~~
20 | ~~in the NH extratropics in summer, as demonstrated by Miyazaki et al. (2012b). Note that t~~ ~~The~~
21 | ~~ten-year mean NH/SH OH ratio is estimated at 1.19 in the~~ ~~data assimilation run~~ ~~multiple-~~
22 | ~~species assimilation~~, in contrast to 1.27 in the MIROC model simulation ~~and 1.22 in the~~
23 | ~~NO_2 -only assimilation~~, which is closer to 0.97 \pm 0.12 estimated based with the
24 | help of methyl chloroform observations (a proxy for OH concentrations) by Patra et al. (2014).

25 |
26 | To elucidate the changes in the NO_x chemical lifetime, Table 6 compares the lower
27 | tropospheric OH concentration and the ratio of the regional mean surface
28 | NO_x emissions and lower tropospheric NO_2 concentrations (averaged
29 | from the surface to 790 hPa) between the multiple-species data assimilation and the model
30 | simulation ($\Delta \text{NO}_x\text{-emi}/\text{NO}_2$) in the boreal summer. ~~The multiple-~~
31 | ~~species assimilation leads to an increase in the OH concentration in the troposphere.~~
32 | ~~It was confirmed that both the concentration assimilation (mainly TES O_3) and~~

1 ~~MOPITT CO measurements) and the changes in surface NO_x emissions lead to an~~
2 ~~increase in the OH concentration in the lower troposphere.~~ Meanwhile, the increased
3 ratio of NO_x to NO_2 (i.e. increased fraction of NO) in the
4 multiple-species assimilation compared to the model simulation— indicates that the
5 ~~$\text{HO}_2 + \text{NO} \rightarrow \text{NO}_2 + \text{OH}$ reaction, which is the source of~~
6 ~~OH , is enhanced in the multiple-species assimilation—.~~ It is also found that the
7 assimilation of non- NO_2 measurements suppress these changes for most regions in
8 both the OH concentration (c.f., Fig. S2) and the NO_x -emi/ NO_2
9 ratio. For instance, the ten-year mean ratio over Central Africa is increased by 16.5 % in the
10 multiple-species assimilation, in contrast to the 19.3 % increase in the NO_2 -only
11 assimilation.

12
13 These results suggest that NO_x chemical lifetime is decreased because of increased
14 OH concentrations (through the $\text{NO}_2 + \text{OH}$ reaction, which acts as
15 the main sink of NO_x) in the multiple-species data assimilation (and also in the
16 NO_2 -only assimilation) than in the model simulation over most industrial and
17 biomass burning areas—.

18 It is also suggested for many regions NO_x chemical
19 lifetime is longer in the multiple-species assimilation than in the NO_2 -only
20 assimilation, because of decreased OH concentrations by the assimilation of non-
21 NO_2 measurements. These changes, together with the increased LNO_x
22 sources, could explain the smaller NO_x emissions in the multiple-species
23 assimilation than in the NO_2 -only assimilation in many cases (c.f., Table 5). These
24 results and—demonstrate the utility of the multiple-species assimilation to constrain the
25 tropospheric chemistry (i.e., chemical regime) controlling NO_x variations and to
26 improve surface NO_x emission inversions.

27
28 NO_2 observations from multiple instruments}

1 Unlike most previous studies that used NO_2 retrievals from a single sensor, we
2 assimilated multiple NO_2 measurements to constrain surface NO_x
3 emissions. When assimilating OMI retrievals only, the larger discrepancies with respect to the
4 SCIAMACHY and GOME-2 retrievals for some regions may be attributed to errors in the
5 simulated diurnal NO_2 variations, since both emission factors and tropospheric
6 concentrations of NO_x are constrained only in the early afternoon in this case. When
7 assimilating multiple NO_2 measurements, the application of the correction factor
8 (E_{tc}) for the emission diurnal variability function (E_{t}) modified the shape of the diurnal
9 emission variability (Fig. 1), which improved the agreement with multiple NO_2
10 retrievals in both the morning and afternoon for many cases. The global RMSE for monthly
11 mean tropospheric NO_2 column is reduced by 8 % compared to the OMI retrievals
12 and by 13 % compared to the SCIAMACHY in January 2005 by assimilating multiple
13 NO_2 measurements with applying E_{tc} , compared to the case with the OMI
14 retrievals only. The estimated monthly regional emissions constrained by the three retrievals
15 decreased by 18 % over Europe and by 9 % over Australia in January 2005 compared to
16 those from the OMI retrievals only.

17

18 As shown in Fig. 14 and Table 7, the estimated E_{tc} is negative for most industrial regions
19 such as Europe and North America, and over biomass burning areas, such as southeast Asia.
20 The large adjustments ($E_{\text{tc}} = -0.3$ to -0.4 , for which the daily mean hourly emission value is
21 1) for the industrialized areas suggest that a positive adjustment to the assumed diurnal
22 emission variability is required between 7:30--10:30 (and then a negative adjustment for
23 emissions between 10:30--13:30), probably due to larger underestimations of emissions (e.g.,
24 morning traffic rush). Large negative values of E_{tc} are found over northern China, northern
25 India, and the Middle East, where various emission sources (not only mobile sources with
26 morning peaks) could be important. These results also suggest a larger negative bias in
27 simulated tropospheric NO_2 column in the morning, associated with errors in the
28 chemical lifetime and atmospheric transports (e.g., boundary layer development) and also
29 associated with biases between the different NO_2 retrievals. Thus, the model errors
30 could artificially affect the diurnal emission variability. The optimized E_{tc} for biomass-
31 burning and soil emission dominant regions are mostly slightly negative, which may suggest
32 that the applied diurnal emission variability with an afternoon maximum (see Section 2.1) was

1 | inappropriatemisleading for some regions. In contrast, they are positive for most of the ocean.
2 These results suggest the need to not only correct diurnal NO_2 variations, but also
3 account for the differences in the sampling and bias between OMI and other instruments as
4 well as the influences of model errors. Future geostationary satellite missions such as
5 Sentinel-4, GEMS, and TEMPO will be able to provide dramatically more systematic
6 constraints on diurnal emission variability and observational information.

7 8 9 \subsection{Possible error sources}

10
11 Biases in satellite retrievals and modeling affect the magnitude of estimated emissions.
12 Miyazaki et al. (2012a) demonstrated that possible biases (up to 40 %) in the NO_2
13 retrieval alter regional NO_x emissions by 5--45 %. The emission estimates may
14 also be sensitive to measurement biases for species other than NO_2 . For example, a
15 bias correction for the positive bias in the TES O_3 profiles altered monthly
16 NO_x emissions by 1--11 % at the regional scale (Miyazaki and Eskes, 2013).
17 Discontinuities in the assimilated measurements (e.g., lack of most TES retrievals after 2010,
18 OMI row anomaly since January 2009, and the limited data coverage of SCIAMACHY
19 (before February 2012) and GOME-2 (after January 2007)) may also affect long-term
20 emission estimates.

21
22 Estimated emissions are sensitive to the choice of forecast model and its resolution. Our
23 analysis using a different forecast model (CHASER versus MIROC-Chem) showed up to 20
24 % difference in monthly NO_x emissions at the regional scale. Meanwhile, negative
25 biases remain in tropospheric NO_2 columns over industrial regions, such as China,
26 Europe, the United States, and Southern Africa, using either model and data assimilation. The
27 inadequacies of the improvements in simulated tropospheric NO_2 columns could be
28 related to model biases in the NO_x chemical lifetime (e.g., Stavarakou et al., 2013)
29 and may also be partly attributed to the small number of observations and large observation
30 errors for highly polluted cases (Fig. S1). Over polluted areas, observation errors increase

1 almost linearly with the retrieved concentrations for most cases, and large observation errors
2 may lead to the insufficient improvements by data assimilation for highly polluted cases. The
3 remaining error may indicate a possible bias in the estimated emissions.

4
5 For example, over Europe, the increased wintertime negative bias against OMI retrievals (in
6 contrast to the reduced bias against SCIAMACHY retrievals) in 2009 and 2010 could also be
7 associated with difficulties in correcting the diurnal emission variation. For that time period
8 over northern Europe, the number of OMI observations used for data assimilation is greatly
9 reduced and observation errors are significantly increased, whereas those of SCIAMACHY
10 vary differently (Fig. S1). More observational data (e.g., from ground-based measurements)
11 may be required to further constrain surface NO_x emissions for cloudy and snow-
12 covered conditions and for high latitudes. Meanwhile, the diurnal variability correction
13 scheme may need to be refined to further improve the agreement with various overpass time
14 measurements.

15
16 Meanwhile, coarse resolution models are known to have negative biases in NO_2
17 over large sources (Valin et al., 2011). The emissions estimated at the T42 resolution in this
18 study could potentially be overestimated over polluted areas, whereas the contrast between
19 rural and urban areas could be underestimated. A high-resolution forecast model is important
20 to accurately simulate nonlinear effects in NO_2 loss rate, while also providing
21 insights into individual emission sources, such as power plants (e.g., de Foy et al., 2015).

22
23 Although the assimilation of multiple-species data influences the representation of the entire
24 chemical system (Miyazaki et al., 2012b, 2015), the influence of model and observation errors
25 remains a concern. In the multiple-species data assimilation, model performance is critical for
26 the correct propagation of observational information between chemical species and to
27 improve the emission estimation, whereas biases in any of the measurement data sets
28 (including non- NO_2 measurements) may seriously degrade the emission estimation
29 (Miyazaki et al., 2013). Improvements in the model, data assimilation scheme, and retrieved
30 observations are essential to reduce the uncertainty on the emission estimates from the
31 multiple-species data assimilation.

1

2 \subsection{Trends in \chem{NO_2} concentrations and \chem{NO_x} emissions}

3

4 We emphasize that the observed concentration variations do not necessarily correlate linearly
5 with surface emissions, as similarly investigated by other inversion studies (e.g., Lamsal et al.,
6 2011; Castellanos et al., 2012; Turner et al., 2012; Vinken et al., 2014). As summarised in
7 Table 4, linear trends are significantly different between the observed concentrations and
8 estimated emissions. The positive trend is larger in the observed \chem{NO_2} concentration
9 (+39.6 \%/decade) than in the emission estimates (+26.0 \%/decade) for China, whereas the
10 negative trend is larger in the emission estimates (-29.4 \%/decade) than in the observed
11 \chem{NO_2} concentration (-6.3 \%/decade) for the United States. The relation between
12 observed \chem{NO_2} concentration and estimated \chem{NO_x} emissions varies
13 seasonally, as similarly expressed by Zhang et al. (2007), and the differences can be much
14 larger at the grid scale. The results indicate that an accurate estimation of the long-term
15 emission trends requires an emission-concentration relationship that explicitly accounts for
16 tropospheric chemistry and non-\chem{NO_2} concentrations afforded by advanced data
17 assimilation techniques (see Section 5.1). These year-to-year variations in the observed
18 \chem{NO_2} concentrations have previously been reported by Duncan et al. (2016) and
19 Krotkov et al. (2016).

20

21 These results also suggest that the tropospheric chemical regime may have changed over the
22 ten-year period. For instance, over Europe, the linear trend is positive for the observed
23 \chem{NO_2} concentration (+13.6 \%/decade for all of Europe and +7.5 \%/decade for
24 western Europe in OMI) and is negative for the emission estimates (-0.1 \%/decade and -8.8
25 \%/decade, respectively). This suggests that \chem{NO_2} may have become longer-lived or
26 has become a larger fraction of \chem{NO_x} over Europe over the past decade. In fact, the
27 lower tropospheric \chem{OH} concentrations show slight negative trends (by up to -5
28 \%/decade) over most of Western Europe over the past decade (figure not shown). One
29 Another possible explanation is that a shift in \chem{NO_2}:\chem{NO_x} emission ratios
30 related to the increasing share of European diesel cars could have occurred. Further efforts are
31 required to explain the long-term variations of the tropospheric chemical regime and its causal

1 mechanisms. Note that the linear trend in the observed concentration is different between the
2 instruments over Europe (c.f., Fig. 3).

3

4 \conclusions

5

6 Global surface nitrogen oxides (NO_x) emissions are estimated for the ten-year
7 period between 2005--2014 from the assimilation of multiple satellite datasets: tropospheric
8 NO_2 columns from OMI, GOME-2, and SCIAMACHY; O_3 profiles from
9 TES; CO profiles from MOPITT; and O_3 and HNO_3 profiles
10 from MLS. The daily emission inversion is performed based on the ensemble Kalman filter
11 data assimilation, which simultaneously optimises chemical concentrations of various species
12 and emission sources of several precursors. Within the simultaneous emission and
13 concentration optimisation framework, the analysis increment directly produced via chemical
14 concentrations plays an important role in reducing model--observation mismatches arising
15 from model errors unrelated to emissions, which can be expected to improve emission
16 inversion. The assimilation of measurements for species other than NO_2 provides
17 additional constraints on the NO_x emissions over both anthropogenic and biomass
18 burning regions, leading to changes in the regional monthly-mean emissions of up to 70 \%.
19 The impact of non- NO_2 measurements varied largely with season, year, and region.
20 In addition to daily emission factors, the diurnal emission variability function was optimised
21 using multiple NO_2 retrievals, obtained in the morning (SCIAMACHY and GOME-
22 2) and afternoon (OMI). The emission correction largely improved the agreement with
23 observed tropospheric NO_2 columns, at both the seasonal and interannual time
24 scales.

25

26 The ten-year mean global total surface NO_x emissions after data assimilation is 48.4
27 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$, which is 26 \% higher than a priori emissions based on bottom-up
28 inventories. The optimised ten-year mean emissions are higher over most industrialised areas.
29 The data assimilation corrected the timing and strength of emissions from biomass burning,
30 such as over central Africa (the ten-year mean regional emission is 1.68 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$
31 in the a priori emissions and 2.57 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ in the a posteriori emissions), North

1 Africa ($2.07 \text{ Tg N yr}^{-1}$ v.s. $2.90 \text{ Tg N yr}^{-1}$), Southeast Asia (0.47
2 Tg N yr^{-1} v.s. $0.68 \text{ Tg N yr}^{-1}$), and South America (1.00
3 Tg N yr^{-1} v.s. $1.04 \text{ Tg N yr}^{-1}$), suggesting a large uncertainty in fire-
4 related emission factors in the emission inventories. At northern mid-latitudes and over
5 Australia, the emissions are largely enhanced during summer, suggesting an important
6 underestimation of soil sources in the a priori inventory. Using the emission ratio between
7 different categories in the a priori emission inventories, the global total soil NO_x
8 emission for the 2005--2014 period is estimated at 7.9 Tg N yr^{-1} yr⁻¹ \$,
9 which is much higher than the a priori estimate of 5.4 Tg N yr^{-1} yr⁻¹ \$. This
10 soil NO_x emission estimate may nevertheless be conservative, because the ratio
11 between the source categories is kept fixed in our approach.

12

13 The estimated regional total emissions show strong positive trends over India (+29
14 %/decade), China (+26 %/decade), and the Middle East (+20 %/decade), and negative
15 trends over the United States (-29.4 %/decade), Southern Africa (-8.2 %/decade), and
16 western Europe (-8.8 %/decade). At the grid scale, strong positive trends are found over large
17 cities in China (e.g., Wuhan (+42 %/decade), Chengdu (+56 %/decade), northwestern China
18 (+50--110 %/decade)), India (e.g., Kolkata (+47 %/decade), Raipur (+67 %/decade),
19 Madras (+40 %/decade)), the Middle East (e.g., Kuwait (+47 %/decade), Tehran (+37
20 %/decade)), and Brazil (Sao Paulo (+40 %/decade)), whereas large negative trends are found
21 in Europe (e.g., northern Spain (-45 %/decade), Po Valley (-52 %/decade)), the United
22 States (e.g., New York (-48 %/decade), Boston (-42 %/decade), Chicago (-52 %/decade),
23 Atlanta (-47 %/decade), Los Angeles (-46 %/decade)), and Japan (e.g., Tokyo (-48
24 %/decade), Osaka (-38 %/decade)). The yearly mean emissions for China reveal a large
25 positive trend from 2005 to 2011, subsequently decreasing through 2014. For the United
26 States and some parts of Europe, the negative trends are larger during 2005--2010 than 2011--
27 2014. These changes are more variable as a result of the global economic recession and
28 emission controls. Despite the large year-to-year variations over many regions, the global
29 total emission is almost constant between 2005 (47.9 Tg N) and 2014 (47.5 Tg N).

30

1 The estimated emissions have great potential to contribute to better understanding of
2 precursor variability influences on observed air quality (e.g., tropospheric O_3)
3 variations and associated climate impacts. The obtained emission data is also crucial to
4 evaluate bottom-up inventories. The consistent data set comprising emissions and
5 concentrations of various species, which were obtained from our simultaneous data
6 assimilation framework, provides comprehensive information on atmospheric environmental
7 variations, associated with both human and natural activity. Meanwhile, our results suggested
8 that more observational constraints would be required to improve the global emission
9 estimates. Observational information from future satellite missions such as TROPOMI and
10 sensors on board geostationary satellites (Sentinel-4, GEMS, and TEMPO) in conjunction
11 with exploitation of existing sounders, e.g., IASI and CrIS, can be expected to add constraints
12 on more detailed spatiotemporal variability in surface NO_x emissions and its impact
13 on air quality (Bowman, 2013).

14

15 $\begin{\text{acknowledgements}}$

16 We acknowledge the free use of tropospheric NO_2 column data from the
17 SCIAMACHY, GOME-2, and OMI sensors from www.temis.nl. We also acknowledge the
18 use of data products from the NASA AURA and EOS Terra satellite missions. We would also
19 like to thank the two anonymous reviewers for their valuable comments. This work was
20 supported through JSPS KAKENHI grant numbers 15K05296 and 26220101 and
21 Coordination Funds for Promoting AeroSpace Utilization by MEXT, JAPAN.

22 $\end{\text{acknowledgements}}$

23

24 Table 1: Comparisons of tropospheric NO_2 columns between data assimilation and
25 satellite retrievals: OMI for the period 2005--2014, SCIAMACHY for the period 2005--2011,
26 and GOME-2 for the period 2007--2014. Shown are the global spatial correlation (S-Corr),
27 the mean bias (BIAS: the data assimilation minus the satellite retrievals) and the root-mean-
28 square error (RMSE) in $10^{15} \text{ molec cm}^{-2}$. The model simulation results
29 (without data assimilation) are also shown in brackets.

30

1 Table 2: The monthly mean bias and temporal correlation of regional mean tropospheric
2 NO_2 columns: the data assimilation minus the satellite retrievals from OMI for the
3 period 2005--2014, SCIAMACHY for the period 2005--2011, and GOME-2 for the period
4 2007--2014 in $10^{15} \text{ molec cm}^{-2}$. The results of the model simulation
5 (without data assimilation) are also shown in brackets.

6

7 Table 3: The regional ten-year mean NO_x emissions (in Tg N yr^{-1})
8 obtained from the a priori emissions, a posteriori emissions, and the relative difference
9 between these two emissions (in %) for the period 2005-2014 (left columns). The results are
10 also shown for EDGAR-HTAP v2 emissions (as a reference) averaged over the years 2008
11 and 2010, the a posteriori emissions (the same results as in the left columns, but averaged
12 over the years 2008 and 2010), and the relative difference between these two estimates (in %)
13 (central columns), and for their difference from 2008 to 2010 (right columns). The results are
14 also shown for the Northern Hemisphere (NH, 20°N), the tropics (TR,
15 20°S -- 20°N), the Southern Hemisphere (SH, 90°S), and the globe
16 (GL, 90°S -- 90°N).

17

18 Table 4: Linear trend (in % per decade) of the regional a posteriori NO_x emissions
19 from the multiple-species assimilation (left column) and NO_2 -only assimilation
20 (central column), and of the regional mean tropospheric NO_2 columns from OMI
21 (right column) for the period 2005-2014.

22

23 Table 5: Difference between the a posteriori emissions from the multiple-species assimilation
24 and NO_2 -only assimilation. Relative difference for the regional ten-year mean
25 emissions (left column), RMSE for the monthly regional emissions (central column), and
26 range of relative difference for the monthly regional emissions (right column) are shown.

27

28 Table 6: Regional and ten-year mean difference in lower tropospheric OH concentration
29 averaged below 790 hPa (ΔOH) and the ratio of surface NO_x
30 emission and lower tropospheric NO_2 concentration averaged below 790 hPa

1 ($\Delta \text{NO}_x\text{-emi}/\text{NO}_2$) between the data assimilation run and the model
2 simulation in the boreal summer (averaged over June--August) over the 2005--2014 period.

3
4 Table 7: Regional and ten-year mean correction factor for the emission diurnal variability
5 (E_{tc}) for 2005--2014.

6
7 Figure 1: Schematic diagram of the correction scheme for the emission diurnal variation for a
8 case with $E_{tc}=-0.3$. The black dotted time represents the a priori emission diurnal
9 variability function (E_{t}) for anthropogenic emissions. The black solid line represents the a
10 posteriori emission variation after applying the daily emission scaling factor ($E_{t} \times E_{s}$).
11 The blue line represents the correction factor for the emission diurnal variability (E_{tc}). The
12 red line represents the a posteriori emission variation after applying the daily emission scaling
13 factor and the correction factor for the emission diurnal variability ($E_{t} \times E_{s} - E_{tc}$ for
14 07:30--10:30, and $E_{t} \times E_{s} + E_{tc}$ for 10:30--13:30).

15
16 Figure 2: Global distributions of the tropospheric NO_2 columns (in
17 $10^{15} \text{ molec cm}^{-2}$). The results are shown for OMI (left columns, sampling
18 time $\approx 13:00$ hrs) for 2005--2014, SCIAMACHY (middle columns, 10:00 hrs) for
19 2005--2011, and GOME-2 (right columns, 09:30 hrs) for 2007--2014. Upper rows show the
20 tropospheric NO_2 columns obtained from the satellite retrievals (OBS); centre
21 shows the difference between the model simulation and the satellite retrievals (Model-OBS);
22 and lower rows show the difference between the data assimilation and the satellite retrievals
23 (Assim-OBS).

24
25 Figure 3: Time series of regional monthly mean tropospheric NO_2 columns (in
26 $10^{15} \text{ molec cm}^{-2}$) averaged over China (110--123 $^{\circ}$ E, 30--
27 40 $^{\circ}$ N), Europe (10 $^{\circ}$ W--30 $^{\circ}$ E, 35--60 $^{\circ}$ N), the United States (70--
28 125 $^{\circ}$ W, 28--50 $^{\circ}$ N), South America (50--70 $^{\circ}$ W, 20 $^{\circ}$ S--Equator),
29 North Africa (20 $^{\circ}$ W--40 $^{\circ}$ E, Equator--20 $^{\circ}$ N), Central Africa (10--
30 40 $^{\circ}$ E, Equator--20 $^{\circ}$ S), Southern Africa (25--34 $^{\circ}$ E, 22--31 $^{\circ}$ S),

1 Southeast Asia (96°E , 10°N), Australia (113°E , 11°
2 44°S), and India (68°E , 8°N) obtained from the satellite
3 retrievals (black), model simulation (blue), and the data assimilation (red). The model
4 simulation and data assimilation results were obtained at the local overpass time of the
5 retrievals with applying the averaging kernel.

6

7 Figure 4: (Left panels) Mean vertical NO_2 profiles obtained during the ARCTAS
8 campaign in June--July 2009; the ARCTAS campaign in June--July 2006; the DC3 campaign
9 in May 2012; and the SEAC⁴RS campaign in August--September 2013. The black line
10 represents the observation; the blue line represents the model simulation; and the red line
11 represents the data assimilation. The error bars represent the standard deviation. (Right ~~six~~
12 panels) Scatter plots of NO_2 concentrations for the data assimilation (top) and the
13 model simulation (bottom) during the DANDELIONS campaign (~~in~~ $\mu\text{g m}^{-3}$) in
14 September 2006 (~~second left columns~~) and during the INTEX-B campaign (in pptv) on
15 March 9, 2006 (~~third left columns~~) and ~~March 11, 2006~~ (~~right columns~~). The straight lines
16 represent linear regression lines for each level. Each line represents a linear fit to the points of
17 the same colour, and the colours represent the altitude (~~or pressure~~) level.

18

19 Figure 5: Global distributions of surface NO_x emissions (in 10^{-13}kg
20 $\text{m}^{-2}\text{s}^{-1}$) averaged over 2005--2014. The a priori emissions (top), a posteriori
21 emissions from the data assimilation run (middle), and analysis increment (bottom) are shown.

22

23 Figure 6: Global distribution of linear trend of the a posteriori surface NO_x
24 emissions (in $10^{-13}\text{kg m}^{-2}\text{s}^{-1}$) per decade) for the period 2005--2014.
25 The red (blue) colour indicates positive (negative) trends.

26

27 Figure 7: Time series of monthly total regional surface NO_x emissions (in
28 Tg N yr^{-1}) obtained from the a priori emissions (black lines) and the a posteriori
29 emissions (red lines) for the period 2005--2014. The results are also shown for EDGAR-
30 HTAP v2 emissions (green lines) for the years 2008 and 2010.

1

2 Figure 8: Seasonal variations of the regional surface NO_x emissions (in
3 Tg N yr^{-1}) obtained from the a priori emissions (black line) and the a posteriori
4 emissions (red line) averaged over the period 2005--2014. The results are also shown for the a
5 posteriori emissions for individual years during 2005--2014 (yellow lines).

6

7 Figure 9: Time series of the difference (in %) of the annual mean a posteriori surface
8 NO_x emissions relative to the 2005 emissions in the period 2005--2014 for India
9 (yellow), China (blue), Europe (light blue), western Europe (light blue dashed line), Southern
10 Africa (red), and the United States (green).

11

12 Figure 10: The regional distribution of ten-year mean surface NO_x emissions (in
13 $10^{-13} \text{kg m}^{-2} \text{s}^{-1}$) over East Asia (upper panels), Europe (upper middle
14 panels), the United States (lower middle panels), and Southeast Asia (lower panels) obtained
15 from the a posteriori emissions in the period 2005--2014 (left panels), and the difference
16 between the a posteriori emissions and a priori emissions in the period 2005-2014 (centre
17 panels), and between the a posteriori emissions and EDGAR-HTAP v2 emissions for the
18 years 2008 and 2010 (right panels). The black square line represents the region used for the
19 regional mean analysis.

20

21 Figure 11: Global distribution of linear trend of the a posteriori surface NO_x
22 emissions for the period 2005--2010 (left) and 2011--2014 (right). The red (blue) colour
23 indicates positive (negative) trends.

24

25 Figure 12: The regional distribution of the linear trend in surface NO_x emissions (in
26 $10^{-13} \text{kg m}^{-2} \text{s}^{-1}$ per decade) during 2005--2014 over East Asia (upper
27 left), Europe (upper right), the United States (bottom left), and Southeast Asia (bottom right),
28 obtained from the a posteriori emissions. The black square line represents the region used for
29 the regional mean analysis.

1

2 Figure 13: Global distribution of the ten-year mean OH concentration (in
3 $10^6 \text{ molecules cm}^{-3}$) in the data assimilation run (top) and its difference
4 between the data assimilation run and the model simulation (bottom) averaged over June, July,
5 and August over the 2005--2014 period at 850 hPa.

6

7 Figure 14: Global distribution of the annual mean correction factor for the emission diurnal
8 variability (Etc) for the period 2005--2014.

9