

Author's comments in reply to the anonymous referee for "Decadal changes in global surface NO_x emissions from multi-constituent satellite data assimilation" by K. Miyazaki et al.

We want to thank the referee for the helpful comments. We have revised the manuscript according to the comments, and hope that the revised version is now suitable for publication. Below are the referee comments in italics with our replies in normal font.

Reply to Referee #1

The paper analyses the changes in NO_x polluting emissions, by assimilating different constituents from different satellite instruments in the chemistry-transport model. The manuscript is clear and it has nice logic flow. Because there are several works dealing with emission estimation using satellite-based observations, I would recommend stressing the added value of this approach (for example already in the abstract and in the trend calculation in Sect. 5.4), i.e. the assimilation of non-NO₂ observations as compared to previous work where only NO₂ is assimilated in the system. I recommend publication after addressing the following specific and technical comments.

The impact of non-NO₂ measurements is discussed more carefully in the revised manuscript. Table 5 has been added to discuss the impact of non-NO₂ measurements on the a posteriori emissions. Linear trend estimations from the NO₂-only assimilation have been included in Table 4. The following discussions have been added to Section 5.1:

“Table 5 compares the estimated emissions between the multiple-species data assimilation and a NO₂-only data assimilation. The estimated emissions differ in many regions if non-NO₂ data assimilation is considered because the ratio of predicted NO_x emission and NO₂ column has been adjusted by non-NO₂ observations. The assimilation of non-NO₂ measurements leads to changes of up to about 70 % in the regional monthly-mean emissions. The estimated ten-year total regional emissions for South America and Australia are about 10 % lower in the multiple-species assimilation than in the NO₂-only assimilation. The RMSE between the two estimates for the monthly total regional emissions is 15.5 % for central Africa, 16.5 % for Australia, and about 5-8 % for major polluted regions during the ten-year period. The estimated monthly mean emissions are mostly smaller in the multiple-species assimilation than in the NO₂-only assimilation, especially over the tropical and southern subtropical regions such as South America, central Africa, and Australia, suggesting that NO₂-only data assimilation tends to overcorrect the emissions from the a priori. The monthly total global emissions decrease by up to 6 TgN (in boreal summer) if non-NO₂ data assimilation is considered. The ten-year linear trend is also different over most industrial areas (Table 4). For instance, the positive trend for India is 34.3 %/decade

in the NO₂-only assimilation, which is larger than the 29.2 %/decade in the multiple-species assimilation. For the United States, the negative trend is larger in the multiple-species assimilation (-29.4 %/decade) than in the NO₂-only assimilation (-23.9 %/decade). These results confirm that the assimilation of measurements for species other than NO₂ provides additional constraints on the NO_x emissions over both anthropogenic and biomass burning regions.”

P9 L17 The larger pixel size for GOME-2 and SCIAMACHY could indeed produce a dilution effect (lower NO₂ level for larger pixel) compare to the smaller OMI pixel and thus, in principle, partially reduce the difference due to the different overpass time. Could you comment about that in the text?

The concentration of individual observations over polluted regions can vary between sensors, corresponding to the pixel size. However, because we employed the super observation approach and averaged multiple observations within a large super observation grid (i.e., about 2.8 degrees) before data assimilation, the influence of different pixel size should have a small impact on the data assimilation result, assuming the super observation grid is well covered by observation pixels. To clarify this point, the sentence has been rewritten as:

“Therefore, the differences in overpass time and also in pixel size could be the principle cause of the differences between the three different satellite retrievals, although the use of super observations for all the sensors reduces the influence of different pixel sizes.”

Figure 2: It is quite difficult to distinguish the differences in these maps. It could be useful to show the differences compared to the observations in the second and third row, instead of the absolute tropospheric NO₂ columns. It should help in highlighting the differences.

Figure 2 has been revised to show the differences.

P11 L19 Are there any known/expected differences in the ways of reporting, that you could mention here between the a priori and the EDGAR-HTAP emission databases?

P11 L29-30 Again, is there an expected reason to explain the similarity between EDGAR-HTAP and a posteriori emissions, relative to the a priori?

To our best knowledge, no comprehensive comparison has been made between these inventories.

P18 L18 You might want to refer here to this work about in plume chemistry effect: Vinken, G. C. M., Boersma, K. F., Jacob, D. J., and Meijer, E. W.: Accounting for non-linear chemistry of ship plumes in

the GEOS-Chem global chemistry transport model, Atmos. Chem. Phys., 11, 11707-11722, doi:10.5194/acp-11-11707-2011, 2011.

Added.

P18 L32-33 It is unclear for me what do you mean for “overcorrect”. Do you mean that NO₂-only gives too high emission values? According Table 3, the NO₂-only data assimilation almost always (except South America) gives smaller values than the full assimilation. Could you clarify?

We have extended the sensitivity calculation using NO₂-measurements only. The results confirm that the estimated regional emissions are mostly higher in the NO₂-only assimilation than in the multiple-species assimilation. To clarify this, the sentence has been rewritten as follows:

“The estimated monthly mean emissions are mostly smaller in the multiple-species assimilation than in the NO₂-only assimilation, especially over the tropical and southern subtropical regions such as South America, central Africa, and Australia, suggesting that NO₂-only data assimilation tends to overcorrect the emissions.”

Table 4 and section 5.4: Do these emission trends change when NO₂-only assimilation is taken into account? I would include in Table 4 also the trends with NO₂-only assimilation if the differences are sizeable.

The linear trends from the NO₂-only assimilation have been included in Table 4 and discussed in the revised manuscript.

How your results reported in Table 4 and Fig.8 compare with those reported as NO₂ tropospheric columns (OMI Standard Product not DOMINO as in you study) by Krotkov et al. (2016) in their Fig. 8?

The following sentence has been added:

“These year-to-year variations in the observed NO₂ concentrations have previously been reported by Duncan et al. (2016) and Krotkov et al. (2016).”

It could be interesting also to compare your results in China and US to the results by Liu et al. (2016) in Table S2 of their supplement. Those results are not based on data assimilation but are based on satellite data only. Liu, F., Beirle, S., Zhang, Q., Dörner, S., He, K., and Wagner, T.: NO_x lifetimes and emissions of cities and power plants in polluted background estimated by satellite observations, Atmos. Chem.

Phys., 16, 5283-5298, doi:10.5194/acp-16-5283-2016, 2016.

Thank you for the information. However, Liu et al (2016) estimated emissions sources at a 40 km × 40 km scale for point source areas (power plants and cities), which is about seven time higher resolution than that of our estimates. As the estimated emissions may be sensitive to the resolution, direct comparison with their results is difficult.

Technical corrections

P1 L6 biased -> biases

Corrected.

P2 L23 add reference Krotkov et al. 2016 here too

Added.

P2 L22 Kalam -> Kalman

P6 L32 GOME-II -> GOME-2

Corrected.

P7 L18-19 This needs reference

Added.

P7 L25 You might want to mention that those resolutions are valid in nadir direction only, but get bigger on the side of the swath and actually since 2008-2009 OMI row-anomaly doesn't allow complete daily global coverage.

The following sentence has been added:

“Since December 2009, approximately half of the pixels have been compromised by the so-called row anomaly, which reduced the daily coverage of the instrument.”

Table 2 Australis -> Australia (and in the other tables too)

P14 L20 Los Angeles -> Los Angeles

P20 L30 There are two dots at the end of the sentence

Corrected.

Table 4: Caption: OM ->OMI

Corrected.

Table 4 Is there a reason you put Table 4 before 5 and 6 but then you refer to Table 4 only in section 5.4, after mentioning 5 and 6? Please, clarify.

Table 4 is referred before Table 5 and 6 in the revised paper,

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Reply to Referee #2

1. I certainly appreciate the effort made by the authors to incorporate more data. There is logic to it: more data should be better than just one dataset. It is argued (maybe a bit too emphatically) that non-NO₂ datasets improve the NO_x emission estimation because they should lead to better estimation of the NO_x lifetime in the model. In general, that might be true, but I wouldn't be so sure that it is automatically the case. I find that adding more data from different species might contribute to obscure the interpretation of the results, because the additional data come with their own limitations and uncertainties (including biases) which are not all well characterized. I am not fully convinced that authors understand perfectly the role of the different datasets in the assimilation. I wonder in particular to what extent the NO_x emission updates are driven by the non-NO₂ datasets. For example, ozone is apparently biased low in the model. Increasing NO_x emissions is naturally found to improve ozone. But ozone could be biased low due to other reasons (transport, deposition, NMVOC chemistry and emissions). So, is ozone improved for the good reasons? Who knows? Many other CTMs overestimate surface ozone. I encourage the authors to moderate their claims regarding the advantages of additional data. That being said, I concur that assimilating non-NO₂ dataset should contribute to improve (somewhat) the NO_x lifetime in the model, which is a good thing. But I would expect the authors to provide a more quantitative and systematic analysis of how the non-NO₂ datasets influence the assimilation results. I also encourage the authors to be more cautious in their discussion, to reflect the possible limitations and complications associated with the use of additional, non-NO₂ measurements.

We appreciate the author's constructive comments. First, the possible limitations associated with the use of non-NO₂ measurements are more clearly discussed in the revised manuscript. The following sentences have been added to Section 5.3:

"Although the assimilation of multiple-species data influences the representation of the entire chemical system (Miyazaki et al., 2012b, 2015), the influence of model and observation errors remains a concern. In the multiple-species data assimilation, model performance is critical for the correct propagation of observational information between chemical species and to improve the emission estimation, whereas

biases in any of the measurement data sets (including non-NO₂ measurements) may seriously degrade the emission estimation (Miyazaki et al., 2013). Improvements in the model, data assimilation scheme, and retrieved observations are essential to reduce the uncertainty on the emission estimates from the multiple-species data assimilation.”

Secondly, the impact of non-NO₂ measurements is more clearly discussed in the revised manuscript. Table 5 has been added to demonstrate the impact of non-NO₂ measurements on the a posteriori emissions. Linear trend estimations from the NO₂-only assimilation have been added to Table 4. The relevant discussions in Section 5.1 have been rewritten as follows:

“Table 5 compares the estimated emissions between the multiple-species data assimilation and a NO₂-only data assimilation. The estimated emissions differ in many regions if non-NO₂ data assimilation is considered because the ratio of predicted NO_x emission and NO₂ column has been adjusted by non-NO₂ observations. The assimilation of non-NO₂ measurements leads to changes of up to about 70 % in the regional monthly-mean emissions. The estimated ten-year total regional emissions for South America and Australia are about 10 % lower in the multiple-species assimilation than in the NO₂-only assimilation. The RMSE between the two estimates for the monthly total regional emissions is 15.5 % for central Africa, 16.5 % for Australia, and about 5-8 % for major polluted regions during the ten-year period. The estimated monthly mean emissions are mostly smaller in the multiple-species assimilation than in the NO₂-only assimilation, especially over the tropical and southern subtropical regions such as South America, central Africa, and Australia, suggesting that NO₂-only data assimilation tends to overcorrect the emissions from the a priori. The monthly total global emissions decrease by up to 6 TgN (in boreal summer) if non-NO₂ data assimilation is considered. The ten-year linear trend is also different over most industrial areas (Table 4). For instance, the positive trend for India is 34.3 %/decade in the NO₂-only assimilation, which is larger than the 29.2 %/decade in the multiple-species assimilation. For the United States, the negative trend is larger in the multiple-species assimilation (-29.4 %/decade) than in the NO₂-only assimilation (-23.9 %/decade). These results confirm that the assimilation of measurements for species other than NO₂ provides additional constraints on the NO_x emissions over both anthropogenic and biomass burning regions.”

Thirdly, as suggested by the reviewer, the model ozone low bias could be introduced by errors other than those for NO_x emissions. To discuss this, the following sentences have been added to Section 5.1: “Note that the emissions of O₃ precursors other than NO_x, such as VOCs, and various model processes in atmospheric transport and chemistry influences the model performance. The optimization of additional precursors emissions and the improvement of the forecast model could be important for improving O₃ simulations, as discussed in our previous studies (Miyazaki et al., 2012b; 2015).”

2. Regarding the use of 3 different NO₂ sensors, it is obvious (and I think the authors know) that the diurnal cycle alone cannot explain entirely the difference between NO₂ columns from e.g. GOME-2 and OMI. And even if it would, it is also obvious that the diurnal cycle of NO_x emissions is only one among many different processes affecting the diurnal cycle of NO₂ columns. This article presents a smart but crude procedure to improve the match with the 3 sensors simultaneously in spite of their inconsistencies: additional control parameters are introduced which allow modifying the diurnal cycle of emissions at every model pixel. Unfortunately, the result is not much credible as it would imply much stronger rush hour emission peaks even in regions where mobile emissions (cars) are not the main NO_x source category. Power plants, industries, etc. do not have peak activity around 8 AM. The most negative values of the Etc parameter (Fig. 13) are found in Inner Mongolia, which has only few cars but does have power plants. Even though the diurnal cycle adjustment serves its purpose, it is clearly artificial. The authors should provide a better explanation of why they choose this procedure. Maybe it is the only one which works since we don't really understand the reasons for the inconsistency between morning and afternoon sensors. More discussion is warranted.

I agree that the diurnal emission variation does not solely explain the simulated tropospheric NO₂ column differences between the OMI and GOME-2 (or SCIAMACHY) overpass time. Because of model errors and differences between the satellite instruments, the obtained diurnal cycle adjustment can be artificial for some cases, as mentioned by the reviewer. The following sentences have been added to note this point more clearly:

In Section 3.2.1:

“Multiple satellite NO₂ retrievals obtained at different overpass times have the potential to constrain diurnal emission variability (e.g., Lin et al., 2010), although differences between the different NO₂ retrievals and errors in model processes could introduce artificial corrections (see also Section 5.2). Note that the retrievals from different instruments used are all based on the same retrieval method (DOMINO v2, TM4NO2A v2) and largely consistent ancillary data, which limits the discrepancies between the data sets to large degree (Boersma et al., 2008) (see Section 2.3.1). We also acknowledge that differences between the surface reflectivity and cloud data used may lead to some structural uncertainty between the morning and afternoon sensors, although numerous validation studies pointed out that the three NO₂ column retrievals agree well with independent reference data (e.g., Irie et al., 2011; Ma et al., 2013).”

In Section 5.2:

“Thus, the model errors could artificially affect the diurnal emission variability.”

Over Mongolia, the soil-type emission diurnal variability function was applied to the a priori emissions

with a maximum in the afternoon. Thus, the negative values of Etc may imply too much afternoon emission because of the applied a priori diurnal variability. To more precisely describe the applied diurnal emission variability function, the model description in Section 2.1 has been rewritten as follows: “We applied anthropogenic-type diurnal variations for total emissions with maxima in morning and in evening with a factor of about 1.5 (black dotted line in Fig. 1, for which the daily mean hourly emission value is 1) in Europe, eastern China, South Korea, Japan, India, and North America; biomass burning-type variations with a rapid increase in morning and maximal emissions in the mid-day with a maximum factor of about 4 in North and central Africa, southeast Asia, and northern and central South America; and soil-type diurnal variations with maximal emissions in afternoon with a factor of about 1.2 in Australia, Sahara, western China, and Mongolia.”

The relevant discussions in Section 5.2 have been rewritten as follows:

“The optimized Etc for biomass-burning and soil emission dominant regions are mostly slightly negative, which may suggest that the applied diurnal emission variability with an afternoon maximum (see Section 2.1) was misleading for some regions. In contrast, they are positive for most of the ocean. These results suggest the need to not only correct diurnal NO₂ variations, but also account for the differences in the sampling and bias between OMI and other instruments as well as the influences of model errors.”

3. Although the paper is already quite long, I would expect at least some comparisons with independent NO₂ measurements. The reader has no clue regarding how the model performs for vertical profiles of NO₂. Also, given the focus of the paper on the diurnal cycle, comparisons with ground-based remote sensing data could be useful. Therefore, although this article is clearly interesting and the methodology appears generally sound, I recommend that the authors try to address those main comments, as well as the other comments listed below, before it can be published in ACP.

Comparisons with independent airborne and ground-based lidar measurements have been added. The data used are described in Section 2.4, and the validation results of the vertical profile are shown in Fig. 4 and discussed in Section 3.4 of the revised manuscript. We will not discuss detailed diurnal variations of the simulated NO₂ profiles, since this requires careful discussions and is beyond the scope of this paper.

Page 5, 1st full paragraph: I find odd to apply a unique diurnal cycle to all emissions in a given region, e.g. the anthropogenic-type cycle over Europe, eastern China, Japan, North America. This is strange. Why not make a weighted average based on the fractional a priori contribution of anthropogenic, biomass burning and soil emissions? The diurnal cycle in New York doesn't have to be the same as in Wyoming.

Considering the large uncertainty in the individual inventory, we decided to use the simple diurnal emission variability scheme based on the dominant emission category, as in other global model studies (e.g., Boersma et al., 2008; 2011). Nevertheless, we confirmed that this simple scheme leads to significant improvements on the model performance (Miyazaki et al., 2012a).

Page 5, 2nd paragraph: What is the vertical LNOx profile parameterization?

The following sentence has been added:

“The vertical profiles of the LNOx sources are determined on the basis of the C-shaped profile given by Pickering et al. (1998).”

Page 8, 1st full paragraph: Apparently the retrievals of Boersma et al. (2011, 2004) are used. But then the section goes on mentioning reduced errors based on Maasakkers (2013) even though the retrieval of Maasakkers is not used. This is difficult to understand.

The paragraph has been rewritten for easier understanding.

Page 10, lines 9-10: The assimilation effectively corrected the NO2 columns at the different overpass times. The complete diurnal cycle of NO2 concentration is an entirely different story. The model performance could be checked by comparisons with ground-based remote sensing data.

I agree with this comment. The sentence has been replaced by:

“Considering the short lifetime and rapid diurnal variation of biomass burning activity at low latitudes, these improvements suggest that the assimilation of multiple-species and multiple NO2 measurements effectively corrected the temporal changes in the tropospheric NO2 column between the different overpass times.”

Comparisons with ground-based measurements have been added. Please see my reply above.

Page 10, line 13: Here and elsewhere, the observation errors for highly polluted cases are considered very large. But the relative errors there are often of the order of 25-35% which is generally less than at more remote locations. Of course during the winter, things are different due to large zenith angles, clouds, and snow.

We here discuss the absolute errors, which are larger over polluted areas than over remote areas.

Page 10, line 17: Some explanation on why the model lifetime of NO_x would be too short would be useful.

The sentence has been rewritten as:

“The remaining errors may also result from model errors such as too short lifetime of NO_x through the NO₂+OH and NO+HO₂ reactions and the reactive uptake of NO₂ and N₂O₅ by aerosols (e.g., Lin et al., 2012b; Stavrou et al. 2013).”

Page 12, on trends: The total number of observations changes during the 10-year period. Also the different satellites provided data during different periods. Some comments on possible consequences for trend estimation are needed.

The relevant sentence in Section 5.3 has been rewritten as follows:

“Discontinuities in the assimilated measurements (e.g., lack of most TES retrievals after 2010, OMI row anomaly since January 2009, and the limited data coverage of SCIAMACHY (before February 2012) and GOME-2 (after January 2007)) may also affect long-term emission estimates.”

Page 12, lines 15-17: The emission factors are indeed uncertain, but so are also the biomass burnt estimates.

The sentence has been rewritten as:

“... large uncertainties in emission factors and biomass burnt estimates used in the inventories.”

Page 12, lines 17-18: Note that the year-to-year variations over South America are very large.

To clarify the meaning and in response to a suggestion from another referee, the sentence has been rewritten as:

“The weak year-to-year variations in the a priori emissions are partly attributable to the use of climatology after 2011”

Page 12, lines 30-34: the increases aren't highest just over cities and lowest over remote areas. The entire N-E China and the Guangzhou area show large increases. The Chengdu/Chongqing area (with emission decreases) is certainly not “remote”. Over N-E China, given the model resolution, it is not possible to distinguish urban from rural areas. Furthermore, Inner Mongolia shows large increases.

Thank you for this point. The sentence has been rewritten as:

“At the grid scale, the estimated emissions are higher than the a priori emissions over northern and eastern China, such as Beijing (+58 % at the nearest grid point), Tianjin (+97 %), Nanjing (+30 %), and around Guangzhou (+78 %), whereas they are lower around Chengdu and Chongqing (Fig. 10).”

Page 14, 1st full paragraph: The results regarding the trends in Europe are difficult to understand. Could you compare with previous studies for Europe, e.g. Curier et al. (Remote Sens. Environ. 2014, doi:10.1016/j.rse.2014.03.032)?

The following sentence has been added:

“Strong negative emission trends over these regions were similarly found by Curier et al. (2014) for 2005--2010.”

From Figure 3, the OMI observations indicate a positive NO₂ trend, whereas GOME-2 shows the opposite trend. Such difference cannot be due the diurnal cycle of NO₂. Apparently those instruments have drifts which can be interpreted as emission trends. Please comment on this.

Firstly, as the estimated emission does not follow a simple linear trend, the comparison of linear trends between the three sensors estimated for different time periods is difficult. Secondly, the positive trend in OMI is partly attributed to very high concentrations in November 2011. We confirmed that the weather for November 2011 was unusual in Europe. For instance, in the Netherlands, it was the driest month in 100 years of measurements, with ten times less rainfall than the climatological mean. Strong high-pressure systems prevented rain from washing out the NO₂ pollution. GOME-2 and SCIAMACHY do not reveal such high concentrations in November 2011, although the reason for this is unclear (the different sampling rates between the sensors could partly explain this). This difference can partly explain the different trend between the sensors. Thirdly, we point out that the trends (or year-to-year variations) found from the three sensors are mostly consistent, except for Europe. Further efforts are required to investigate the observed NO₂ trends from the three sensors. However, this is beyond the scope of this paper.

Page 14, line 23 “The summertime peak enhancement is obvious over remote regions”: Could you substantiate that claim?

The sentence has been rewritten as:

“The summertime peak enhancement is obvious over remote regions such as high-temperature agricultural land over the South Atlantic, the East South Central, and the Southwestern United States,...”

Page 15, line 10: Couldn't this be verified with e.g. MODIS fire counts or other biomass burning proxies?

The cited paper (Venkataraman et al., 2006) confirmed the fact using MODIS fire counts.

Page 15, line 27: Although temperature has some effect, the shorter NO_x life times at tropical latitudes such as India are primarily due to higher photolysis rates and specific humidity.

The sentence has been rewritten as:

“In contrast, tropospheric NO₂ columns over India are much lower compared to those in northern midlatitude polluted areas, as a result of the high values of temperature, photolysis rates, and specific humidity, leading to shorter NO₂ lifetimes throughout the year (Beirle et al., 2011).”

Page 16, line 3: Why would high resolution analysis be required? This shouldn't be so complicated. For example, biomass burning has a distinct seasonality which can be probed at coarse resolution.

This sentence describes point sources (i.e., power plants) over Southern Africa. For such cases, high-resolution analysis is required to distinguish between different emissions sources, whereas coarse resolution models are known to underestimate NO₂ concentration (Valin et al., 2011). To clarify these points, the sentence has been rewritten as:

“The various emission sources may have experienced different variations, and high resolution emission analysis is required to understand the detailed spatial variation in these emissions and to obtain unbiased emission estimates (Valin et al., 2011).”

Page 16, end of section 4.2.6. The high temporal correlation between N. Africa and Central Africa is interesting. Would this be related to biomass burning or to soil emissions (or both)? Examination of MODIS fire counts could help, also possibly temperature data. Is this correlation also found in the NO₂-only assimilation?

A similar correlation is found in the NO₂-only assimilation. This is indeed interesting. However, detailed analysis of the causal mechanisms for individual cases is beyond the scope of this study.

Page 19, first paragraph: Basically, the improved ozone is due to the general increase in NO_x emissions over all regions, whereas the a priori model seems to have a negative bias in surface ozone. In the study of Travis et al. (ACPD, 2016, doi:10.5194/acp-2016-110), NO_x emissions over the U.S. are found to be largely overestimated in comparisons with aircraft data.

We understand some models have a positive ozone bias, and these models may have NO₂ biases that also differ from our results. The description in this paper is of the MIROC simulation results, and we do not attempt to generalize the implication of this to other models.

Page 19, last full paragraph: Could you also provide the global tropospheric chemical lifetime of methane (or methyl chloroform) in the model?

The methane lifetime was not calculated in the simulation.

Page 20, first sentence: “The inverse lifetime is expected to be proportional to the ratio of NO_x to NO₂”. It’s the other way around. Increase the NO_x to NO₂ ratio should increase the fraction of NO (which does not react with OH) and therefore decrease the sink of NO_x, i.e. the inverse lifetime. The main effect of a NO_x emission increase is (most often) increased OH levels and therefore shorter NO_x lifetime. The point which is made in this paragraph is unclear.

To clarify the meaning, the paragraph has been rewritten as follows:

“To elucidate the changes in the NO_x chemical lifetime, Table 6 compares the lower tropospheric OH concentration and the ratio of the regional mean surface NO_x emissions and lower tropospheric NO₂ concentrations (averaged from the surface to 790 hPa) between the multiple-species data assimilation and the model simulation (NO_x-emi/NO₂) in the boreal summer. It was confirmed that both the concentration assimilation (mainly TES O₃ and MOPITT CO measurements) and the changes in surface NO_x emissions lead to an increase in the OH concentration in the lower troposphere. Meanwhile, the increased ratio of NO_x to NO₂ (i.e. increased fraction of NO) in the multiple-species assimilation compared to the model simulation indicates that the HO₂+NO reaction, which is the source of OH, is enhanced in the multiple-species assimilation. These results suggest that NO_x chemical lifetime is decreased because of increased OH concentrations (through the NO₂+OH reaction, which acts as the main sink of NO_x) in the multiple-species data assimilation over most industrial and biomass burning areas, and demonstrate the utility of the multiple-species assimilation to constrain the tropospheric chemistry (i.e. chemical regime) controlling NO_x variations and to improve surface NO_x emission inversions.”

Page 20, lines 20-25: The large adjustments are first said to suggest a change in diurnal evolution of the emissions. Then they are said to suggest other possible causes related to the model or the retrievals. Correct, but then the first suggestion is not necessary. Values of Etc as negative as -0.6 or -0.8 are found at some locations, which are impossibly large. Large Etc should be found only in areas where traffic is the dominant source. This does not appear to be the case (Fig. 13).

I understand that some results are difficult to understand based on a change in the diurnal evolution of the emissions, but both possibilities remain valid. We cannot ignore either of them, without any evidence. Therefore, we believe that the current statement is reasonable. Please also see our reply above.

Page 22, line 4: Is the given observed NO₂ concentration trend for OMI or for all sensors? The trend appears very different between GOME-2 and OMI.

Yes, the statement is based on the OMI observations, which is described in the revised manuscript. As shown by the black straight line in Fig. 3, the trend is different between the sensors. To explain this, the following sentence has been added. Please also see my reply above.

“Note that the linear trend in the observed concentration is different between the instruments over Europe (c.f., Fig. 3).”

Page 22, line 6: Why would NO₂ have become more long-lived? Does OH show a negative trend in this region? If so, what are the causes for this trend? Note that the fraction of NO₂ to NO_x is determined mostly by ozone and the photolysis rate of NO₂. A shift in NO₂:NO_x emission ratio does not matter much except directly over emission areas (titration effect). The paragraph seems to imply that the NO₂:NO_x emission ratio in the model has changed over the 10-year period. Is this true?

This paragraph describes how the NO₂ concentration/NO_x emission ratio has changed over the ten-year period over Europe. OH trends and the causal mechanisms are not discussed in the manuscript, since there could be many factors affecting them, as suggested by the reviewer. Therefore, as described in the manuscript, further efforts will be required to explain these mechanisms (in a separate study).

Technical corrections

Page 1: “Forkert” should be “Folkert”

Corrected.

p. 1 l. 6: "biased" should be "biases"

Corrected.

p.1 l. 8: "the development" : do you mean the evolution?

Yes, replaced.

p. 2 l. 2: "traffic rush hours, economic activity. . ." those are not "source categories". Sentence is confusing, please rephrase.

The sentence has been replaced by:

"Examples include traffic rush hours, economic activity, biomass-burning activity, wintertime-heating of buildings, and rain-induced emission pulses of NO_x".

p. 2 l. 25: Kalam should be Kalman

Corrected.

p. 2 l. 33: insert a hyphen between "multi" and "constituent" same line: replace advancement by advance or progress

Corrected.

p. 3, l. 21-22: The sentence "The OH magnitude and gradient is the primary chemical pathway for propagating observational information..." does not make much sense. Rephrase or delete.

The sentence has been rewritten as follow:

"The changes in OH are the important chemical pathway for propagating observational information between various species and for modulating the chemical lifetimes among these species."

p. 3 l. 31: Replace maybe "an EnKF technique" by "a variant of the EnKF technique"

Replaced.

p. 4 l. 25: Explain “background spread”

The sentence has been replaced by
“...of background error covariance in the stratosphere, as estimated from ensemble model simulations..”

p. 4 l. 30: Isn't Yienger and Levy (1995) the correct reference for GEIA NOx? Please check.

Corrected.

p. 6 lines 12, 16, 22: I think “Ets” should be “Etc”

Corrected.

p. 6 l. 31: GOME-2 (not GOME-II)

Corrected.

p. 10 l. 24: Here and at other instances, replace “c.f.” by more standard phrasing (e.g. “see”)

Corrected.

p. 11 l. 12: the sentence seems to imply that the chemical lifetime of NOx might be underestimated, which is not what you mean here. Rephrase.

The words “(and/or chemical lifetime of NOx)” have been removed.

p. 11 l. 20: I suppose you mean GFED 3 here, not EDGAR 4.2 (see section 2.1)

Corrected.

p. 12 l. 9: “southern parts of the Eurasian continent” : don't you simply mean China? The seasonal variation over Southeast Asia does not show a summer maximum, so it does not fit into the point made in this sentence.

Corrected.

p. 12 l. 18 “assumptions applied for the a priori emissions” I think you could be more specific (use of climatology after 2011)

Corrected.

p. 12 l. 27: “The EDGAR v4 emissions are too low”: that statement is too blunt for several reasons. Replace “EDGAR v4” by “our a priori inventory” (since EDGAR for 2008 is used after 2008, and since soil emissions are not from EDGAR). Furthermore, add something like “Our assimilation indicates that. . .”.

Replaced by “Out a priori inventory is...”

p. 12 l. 28: “too low by a factor of 0.6”: awkward. Should be too low by a factor of 1/0.6 (i.e. about 1.7)

Replaced by “by about 40 %”.

p.12 l. 29: emissions are maximum in June, not July.

Replaced.

p. 13 l. 34: “in the reported mobile emissions”: why specifically in this source category?

The sentence has been removed.

p. 14 l. 1: replace “reveal” by “show”

Replaced.

p. 14 l. 2: replace “by” by “after”

Replaced.

p. 14, l. 18: “around Atlanta (...) and Denver”: this seems to indicate that increments are found mostly over cities, which is not true. Consider replacing by “Southeast US and most of Western US”

Replaced by “the Southeast United States (e.g., +23 % near Atlanta) and most of the Western United States (e.g., +26 % near Denver),”

p. 14 l. 19: delete “over” after “around”

Removed.

p. 14 l. 20: Los Angeles

Corrected.

p. 15 l. 9: I think the word “boreal” is superfluous here (and at many other instances in the text)

Removed.

p. 15 l. 20-21: “particularly strong increase around Delhi” but the changes over Delhi are lower than the regional average!

Delhi has been removed from this statement.

p. 16 l. 5: Replace “by data assimilation” by “due to data assimilation”

Replaced

p. 16 l. 33: replace “reflection” by “reflecting” same line: replace “when” by “whereas”

Replaced.

p. 19 l. 17: LNOx (instead of LNO)

Corrected.

p. 19 l. 34: here and elsewhere in the manuscript, insert hyphen between “multiple” and “species”

Corrected.

p. 20 l. 17: Replace “rom the three. . .” by “constrained by the three. . .”

Replaced.

p. 21 l. 8: “using either model after data assimilation” : awkward, the model is used for data assimilation

Replaced by “either model and data assimilation”.

p. 32: Table 2 and elsewhere: Replace “Australis” by “Australia”

Corrected.

p. 41 Figure 6: it is impossible to distinguish black and dark blue on the “South America” plot. Consider using other colors.

Changed.

p. 44, Figure 9: the title of the middle panels should be “A posteriori – A priori”. Same for the title of the right panels, the minus sign is missing.

Corrected.

1 Decadal changes in global surface NO_x emissions from multi-constituent satellite data
2 assimilation

3

4 `\begin{abstract}`

5

6 Global surface emissions of nitrogen oxides (NO_x) over a ten-year period (2005--
7 2014) are estimated from an assimilation of multiple satellite datasets: tropospheric
8 NO_2 columns from OMI, GOME-2, and SCIAMACHY; O_3 profiles from
9 TES; CO profiles from MOPITT; and O_3 and HNO_3 profiles from MLS
10 using an ensemble Kalman filter technique. Chemical concentrations of various species and
11 emission sources of several precursors are simultaneously optimized. This is expected to
12 improve the emission inversion because the emission estimates are influenced by **biased**
13 **biases** in the modelled tropospheric chemistry, which can be partly corrected by also
14 optimizing the concentrations. We present detailed distributions of the estimated emission
15 distributions for all major regions, the diurnal and seasonal variability, and the **development**
16 **evolution** of these emissions over the ten-year period. The estimated regional total emissions
17 show a strong positive trend over India (+29 %/decade), China (+26 %/decade), and the
18 Middle East (+20 %/decade), and a negative trend over the United States (-38 %/decade),
19 Southern Africa (-8.2 %/decade), and western Europe (-8.8 %/decade). The negative trends
20 in the United States and western Europe are larger during 2005--2010 relative to 2011--2014,
21 whereas the trend in China becomes negative after 2011. The data assimilation also suggests a
22 large uncertainty in anthropogenic and fire-related emission factors and an important
23 underestimation of soil NO_x sources in the emission inventories. Despite the large
24 trends observed for individual regions, the global total emission is almost constant between
25 2005 ($47.9 \text{ Tg N yr}^{-1}$) and 2014 ($47.5 \text{ Tg N yr}^{-1}$).

26

27 `\end{abstract}`

28

29 `\introduction`

30

1 Nitrogen oxides ($\text{NO}_x = \text{NO} + \text{NO}_2$) play an important role in air
2 quality, tropospheric chemistry, and climate. Tropospheric NO_x concentrations are
3 highly variable in both space and time, reflecting its short chemical lifetime in the atmosphere
4 and the heterogeneous distribution of its sources and sinks. Emission sources are important in
5 determining the amount and distribution of NO_x . Natural NO_x sources
6 include biogenic emissions from bacteria in soils, biomass burning, and lightning.
7 Anthropogenic NO_x sources include fossil fuel and biofuel combustion, emissions
8 from vehicle transport, and industrial emissions. Bottom-up inventories from different sources
9 and regions contain large uncertainties, which result from inaccurate emission factors and
10 activity rates for each source category. ~~Examples include including~~ traffic rush hours,
11 economic activity, biomass-burning activity, wintertime-heating of buildings, and rain-
12 induced emission pulses of NO_x (e.g., Velders et al., 2001; Jaegl' et al., 2005;
13 Wang et al., 2007; Xiao et al., 2010; Streets et al., 2013; Castellanos et al., 2014; Reuter et al.,
14 2014; Vinken et al., 2014; Oikawa et al., 2015). As a result, bottom-up inventories generally
15 do poorly at representing the spatial and temporal variability at multiple scales (i.e., diurnal,
16 daily, seasonal, and interannual). Large uncertainties in biomass burning emissions mainly
17 reflect a relative lack of observations for characterizing the large spatial and temporal
18 variations of burning conditions (Castellanos et al., 2014). The wide range in soil
19 NO_x emission estimates in previous studies reflect incomplete knowledge of the
20 emission factors and processes driving these emissions (Oikawa et al., 2015). Recent studies
21 (e.g., Steinkamp and Lawrence, 2011, Hudman et al., 2012, Vinken et al., 2014) suggest that
22 soil NO_x emissions are likely around 10 Tg N yr^{-1} , a considerable
23 increase relative to earlier studies that assumed about 5 Tg N yr^{-1} soil
24 NO_x emissions (Yienger and Levy, 1995). Large uncertainties are also in lightning
25 NO_x (LNO_x) source estimates. Schumann and Huntrieser (2007) provided
26 a best estimate of $5 \pm 3 \text{ Tg N yr}^{-1}$ for the annual global LNO_x
27 source. More recently, Murray et al. (2012), Stavrou et al. (2013), and Miyazaki et al.
28 (2014) estimated at 6 ± 0.5 , $3.3\text{--}5.9$, and $6.3 \pm 1.4 \text{ Tg N yr}^{-1}$, respectively.

29
30
31
32

1 Tropospheric NO_2 columns retrieved from satellite measurements, including the
2 Global Ozone Monitoring Experiment (GOME), Scanning Imaging Absorption Spectrometer
3 for Atmospheric Cartography (SCIAMACHY), GOME-~~H2~~, and the Ozone Monitoring
4 Instrument (OMI), have been used to infer NO_x emissions using top-down
5 approaches (e.g., Martin et al., 2003; Richter, 2004; Jaegl'{} et al., 2005; van der A et al.,
6 2006; Zhang et al., 2007; Boersma et al., 2008a; Stavrakou et al., 2008; van der A et al., 2008;
7 Kurokawa et al., 2009; Zhao and Wang, 2009; Lamsal et al., 2010; Lin et al., 2010; Miyazaki
8 et al., 2012a; Gu et al., 2013; Mijling et al., 2013; Vinken et al., 2014; Ding et al., 2015; Lu et
9 al., 2015). Long-term tropospheric NO_2 column records have allowed us to
10 investigate changes in the atmospheric environment over the past decade as a result of
11 economic growth and emission controls over major polluted regions (Castellanos and
12 Boersma, 2012; Hilboll et al., 2013; Cui et al., 2015; Lelieveld et al., 2015; Wang et al., 2015;
13 Duncan et al., 2016; [Krotkov et al. 2016](#)).

14
15 Advanced data assimilation techniques such as four-dimensional variational assimilation (4D-
16 VAR) (M"{}ller and Stavrakou, 2005; Kurokawa et al., 2009; Chai et al., 2009) and
17 ensemble ~~Kalam~~-Kalman filter (EnKF) (Miyazaki et al., 2012a, 2012b, 2014, 2015) have been
18 employed to take full advantage of the chemical transport model (CTM) and satellite
19 retrievals in top-down emission estimates. These advanced techniques consider flow-
20 dependent forecast error covariance and take errors from both the model and retrievals into
21 account. These advantages are considered essential for improving long-term global emission
22 estimates, as dominant atmospheric processes, the emission--concentration relationships, and
23 observational sampling and errors must be incorporated into the analysis. These advanced
24 methodologies can readily assimilate multiple--species. The additional observations of
25 O_3 and CO constrain surface NO_x emissions through their
26 indirect impact on NO_2 concentrations through tropospheric chemistry. These
27 species directly influence OH concentrations, which control the NO_x
28 variability and lifetime, and indirectly the accuracy of the emission estimates. Chemically
29 consistent, multi--constituent assimilation is an advancement over conventional approaches,
30 which assume NO_2 observations are uniquely controlled by NO_x
31 emissions.

32

1 Various sources of error in current chemical transport models (CTMs) impact the simulated
2 NO_x lifetime and the accuracy of NO_x emission inversions (Lin et al.,
3 2012a; Miyazaki et al., 2012a; Stavrakou et al., 2013). Stavrakou et al. (2013) showed the
4 strong effect of chemical NO_x loss uncertainties on top-down NO_x source
5 inversions. OH is the main radical responsible for the removal of atmospheric
6 pollution and for determining the lifetime of many chemicals including NO_x (Levy,
7 1971; Logan et al., 1981; Thompson, 1992), but its concentrations in CTMs are considered to
8 have large uncertainties (Naik et al., 2013; Miyazaki et al., 2015; Patra et al., 2015).
9 Meanwhile, representations of LNO_x sources are essential for realistic
10 representations of tropospheric NO_2 columns, but current parameterizations contain
11 large uncertainties (Martin et al., 2007; Schumann and Huntrieser, 2007; Miyazaki et al.,
12 2014). Errors in representing these natural sources of NO_2 can directly propagate
13 into surface NO_x emissions estimates.

14
15 Increasing attention has been paid to combining observations of ~~multiple-multiple~~-species to
16 improve the analysis of tropospheric chemistry, including for NO_x emission
17 estimates. Measurements of species other than NO_2 (e.g., O_3 and
18 HNO_3) could improve the representation of NO_x in models through their
19 chemical interactions with NO_x (e.g., Hamer et al., 2015). Advanced data
20 assimilation techniques such as 4D-VAR and EnKF propagate observational information from
21 a limited number of observed species to a wide range of chemical components. Miyazaki et al.
22 (2012b, 2014, 2015) and Miyazaki and Eskes (2013) demonstrated that the assimilation of
23 ~~multiple-multiple~~-species observations, taking their complex chemical interactions into
24 account using an EnKF technique, can provide comprehensive constraints on both
25 concentration and emissions, and this approach has the potential to improve emission
26 inversions by accounting for confounding factors in the relationship between NO_x
27 emissions and NO_2 concentrations. Because of the simultaneous assimilation of
28 multiple-species data with optimisation of both the concentrations and emission fields, the
29 global distribution of OH was modified considerably, decreasing the OH
30 gradient between NH and SH (Miyazaki et al., 2015). ~~The -changes in The-~~ OH
31 ~~magnitude and gradient isare~~ the ~~primary-important~~ chemical pathway for propagating

1 observational information between various species and for modulating the chemical lifetimes
2 among these species.

3

4 In this study, we estimate global surface NO_x emissions between 2005 and 2014
5 using the assimilation of ~~multiple-multiple~~-species data from OMI NO_2 , GOME-2
6 NO_2 , SCIAMACHY NO_2 , Tropospheric Emission Spectrometer (TES)
7 O_3 , Measurement of Pollution in the Troposphere (MOPITT) CO , and
8 Microwave Limb Sounder (MLS) O_3 and HNO_3 retrievals using an EnKF
9 technique. We attempted to optimize the diurnal variations in surface NO_x
10 emissions, while updating daily, seasonal, and interannual emission variations, based on a
11 combination of three NO_2 retrievals obtained at different overpass times. The
12 assimilation of multiple chemical data sets with different vertical sensitivity profiles provides
13 comprehensive constraints on the global NO_x emissions while improving the
14 representations of the entire chemical system affecting tropospheric NO_2 column
15 variations, including LNO_x sources. Based on the EnKF estimations, this study
16 presents detailed distributions of the surface NO_x emissions for all major regions,
17 the diurnal and seasonal variability, and the development over the ten-year period.

18

19 $\text{\section{Methodology}}$

20

21 The data assimilation system is constructed based on the global CTM MIROC-Chem
22 (Watanabe et al. 2011) and on a ~~variance of the~~ EnKF technique. The basic framework is
23 similar to the system used to produce tropospheric chemistry reanalysis data
24 (<http://www.jamstec.go.jp/res/res/kmiyazaki/reanalysis>
25) in our previous study (Miyazaki et al., 2015); however, some updates to the data
26 assimilation framework have been made and the calculation has been extended to cover the
27 ten years from 2005 to 2014, as described below.

28

29 $\text{\subsection{MIROC-Chem model and a priori emissions}}$

30

1 The original forecast model used in our previous study (CHASER ; Sudo et al. 2002) is
2 replaced by the newer MIROC-Chem model (Watanabe et al., 2011). MIROC-Chem
3 represents the chemistry part of the MIROC-ESM Earth system model. It considers detailed
4 photochemistry in the troposphere and stratosphere by simulating tracer transport, wet and dry
5 deposition, and emissions, and calculates the concentrations of 92 chemical species and 262
6 chemical reactions (58 photolytic, 183 kinetic, and 21 heterogeneous reactions). Its
7 tropospheric chemistry was developed based on the CHASER model, with many updates to
8 chemical reactions and emissions, considering the fundamental chemical cycle of Ox-
9 NO_x -HOx- CH_4 -CO along with oxidation of NMVOCs (ethane,
10 ethane, propane, propene, butane, acetone, methanol, isoprene, and terpenes) to properly
11 represent ozone chemistry in the troposphere. Its stratospheric chemistry was developed based
12 on the CCSR/NIES stratospheric chemistry model (Akiyoshi et al., 2004), which calculates
13 chlorine and bromine containing compounds, CFCs, HFCs, OCS, N_2O , and the
14 formation of PSCs and associated heterogeneous reactions on their surfaces.

15

16 MIROC-Chem has a T42 horizontal resolution (approximately $2.8^\circ \times 2.8^\circ$)
17 and uses the hybrid terrain-following pressure vertical coordinate system with 32 vertical
18 levels from the surface to 4.4 hPa. It is coupled to the atmospheric general circulation model
19 MIROC-AGCM version 4 (Watanabe et al., 2011). The radiative transfer scheme considers
20 absorption within 37 bands, scattering by gases, aerosols, and clouds, and the effect of surface
21 albedo. Detailed radiation calculations are used for photolysis calculation. The MIROC-
22 AGCM fields were nudged toward the 6-hourly ERA-Interim (Dee et al., 2011) at every
23 model time step to reproduce past meteorological fields and to simulate short-term (i.e., less
24 than 6 hours) meteorological variability and sub-grid scale transport effects.

25

26 The forecast model update from CHASER to MIROC-Chem improved the simulated profiles
27 of various tropospheric species (not shown). The inclusion of stratospheric chemistry in
28 MIROC-Chem allowed us to provide reasonable estimates of a priori profiles and their
29 ensemble spread in the stratosphere. Since TES O_3 and MOPITT CO retrievals in
30 the troposphere, together with MLS retrievals, have sensitivity to the lower stratospheric
31 concentration to some degree, the improved representation of background error covariance in
32 the stratosphere, as estimated from ensemble model simulations, spread in the stratosphere

1 meant that satellite retrievals are more effectively assimilated into the updated system
2 throughout the troposphere and stratosphere through the use of observation operator (c.f., Sec.
3 2.3).

4

5 The a priori values for surface emissions of NO_x and CO were obtained
6 from bottom-up emission inventories. Annual total anthropogenic NO_x and
7 CO emissions were obtained from the Emission Database for Global Atmospheric
8 Research (EDGAR) version 4.2 (EC JRC/PBL, 2012) for 2005--2008. Emissions from
9 biomass burning were based on the monthly Global Fire Emissions Database (GFED) version
10 3.1 (van der Werf et al., 2010) for 2005--2011. Emissions from soils were based on monthly
11 mean Global Emissions Inventory Activity (GEIA) (Yienger and Levy, 1995)(Graedel et al.,
12 1993). To cover data limitations during 2005--2014, EDGAR emissions for 2008 were used in
13 the calculations for 2009--2014, and GFED emissions averaged over 2005--2011 were used in
14 the 2012--2014 calculation. The global total a priori NO_x emissions averaged over
15 the 2005-2014 period from anthropogenic sources, biomass burning, and soils are 28.7, 4.3,
16 and 5.4 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$, respectively. The total aircraft NO_x
17 emission is 0.55 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$, which is obtained from the EDGAR inventory.

18

19 Following the settings of Lotos-Euros (Schaap et al., 2008) and Boersma et al. (2008b), we
20 applied anthropogenic-type diurnal variations for total emissions with maxima in morning and
21 in evening with a factor of about 1.5 (black dotted line in Fig. 1, for which the daily mean
22 hourly emission value is 1) in Europe, eastern China, South Korea, Japan, India, and North
23 America; biomass burning-type variations with a rapid increase in morning and maximal
24 emissions in the mid-day with a maximum factor of about 4 in North and central Africa,
25 southeast Asia, and northern and central South America; and soil-type diurnal variations with
26 maximal emissions in afternoon with a factor of about 1.2 in Australia, Sahara, ~~and~~ western
27 China, and Mongolia.

28

29 LNO_x sources in MIROC-Chem were calculated in conjunction with the convection
30 scheme of MIROC-AGCM. The global distribution of the flash rate was parameterised for
31 convective clouds based on the relationship between lightning activity and cloud top height

1 (Price and Rind, 1992). The vertical profiles of the LNO_x sources are determined on
2 the basis of the C-shaped profile given by Pickering et al. (1998). The mean yearly global
3 flash rate obtained for 2005--2014 was $42.4 \text{ \unit{flashes}\,s^{-1}}$, which is close to
4 climatological estimates of $46 \text{ \unit{flashes}\,s^{-1}}$ derived from Lightning Imaging Sensor
5 (LIS) and Optical Transient Detector (OTD) measurements (Cecil et al., 2014). The
6 LNO_x sources were optimized in the data assimilation runs, following the method
7 of Miyazaki et al. (2014).

8 9 \subsection{Emission estimates from EnKF data assimilation}

10
11 Data assimilation is based on an ensemble square root filter (SRF) EnKF approach (i.e., a
12 local ensemble transform Kalman filter; LETKF; Hunt et al., 2007). As in other EnKF
13 approaches, the background error covariance is estimated from ensemble model forecasts
14 based on the assumption that background ensemble perturbations sample the forecast errors.
15 Using the covariance matrices of observation error and background error, the data
16 assimilation determines the relative weights given to the observation and the background, and
17 then transforms a background ensemble into an analysis ensemble. Unlike standard EnKF
18 analyses, the LETKF analysis is performed locally in space and time, which reduces sampling
19 errors caused by limited ensemble size. Furthermore, the analysis is performed independently
20 for different grid points, which reduces the computational cost through parallel computations.
21 More details on the data assimilation technique are given in Miyazaki et al. (2015).

22
23 The emission estimation is based on a state augmentation technique, which was employed in
24 our previous studies (Miyazaki et al., 2012a; 2012b; 2013; 2014; 2015). In this approach, the
25 background error correlations, estimated from the ensemble model simulations at each
26 analysis step, determine the relationship between the concentrations and emissions of related
27 species for each grid point. This approach allows us to reflect temporal and geographical
28 variations in transport and chemical reactions in the emission estimates. The state vector in
29 this study is optimized following Miyazaki et al. (2015), which includes several emission
30 sources (surface emissions of NO_x and CO , and LNO_x sources)
31 as well as the concentrations of 35 chemical species. In order to improve the filter

1 performance, the covariance among non- or weakly related variables in the state vector is set
2 to zero, as in Miyazaki et al (2012b) and Miyazaki et al (2015). The emissions in the state
3 vector are represented by scaling factors for each surface grid cell for the total NO_x
4 and CO emissions, and for each production rate profile of the LNO_x
5 sources. For surface NO_x emissions, only the combined total emission is optimized
6 in data assimilation. This is to reduce the degree of freedom in the analysis and to avoid the
7 difficulty associated with estimating spatiotemporal variations in background errors for each
8 category source separately.

9
10 In the MIROC-Chem simulations, an emission diurnal variability function
11 (E_t ($t=1, \dots, 24$)) was applied following the approach of Miyazaki et al. (2012a). Its
12 application generally improved the model simulation performance; however, because
13 E_t was constructed based on simple assumptions, and because it does not change with
14 season and location within an area of the same dominant category, its application can cause
15 large uncertainties in simulated NO_2 variations. Multiple satellite NO_2
16 retrievals obtained at different overpass times have a potential to constrain diurnal emission
17 variability (e.g., Lin et al., 2010), although differences between the different NO_2
18 retrievals and errors in model processes could introduce artificial corrections (see also Section
19 5.2). Note that the retrievals from different instruments used are all based on the same
20 retrieval method (DOMINO v2, TM4NO2A v2) and largely consistent ancillary data, which
21 limits the discrepancies between the data sets to large degree (Boersma et al., 2008) (see
22 Section 2.3.1). We also acknowledge that differences between the surface reflectivity and
23 cloud data used may lead to some structural uncertainty between the morning and afternoon
24 sensors, although numerous validation studies pointed out that the three NO_2
25 column retrievals agree well with independent reference data (e.g., Irie et al., 2011; Ma et al.,
26 2013).

27
28 We attempt to optimize E_t using data assimilation of OMI, SCIAMACHY, and GOME-2
29 retrievals, with local equator overpass time of 13:45, 10:00 and 9:30, in order to improve the
30 representation of diurnal emission variability. In our approach, a correction factor for
31 emission diurnal variability (E_{tc}) and an emission scaling factor (E_s) for surface
32 NO_x emissions are simultaneously optimized in the analysis step using multiple

1 {NO_2} retrievals, by adding them to the state vector together with other variables such
2 | as predicted concentrations. The background error correlation between E_s and E_{tsEtc} is
3 | not considered; the two emission parameters are independently optimized using
4 | measurements from instruments with different overpass times. As in Miyazaki et al. (2012a),
5 | we apply covariance inflation to the emission factors to prevent covariance underestimation
6 | caused by the application of a persistent forecast model, by inflating the spread to a minimum
7 | predefined value (i.e., 30 % of the initial standard deviation) at each analysis step for both
8 | E_s and E_{tsEtc} . The initial error is set to 40 % for both E_s and E_{tsEtc} . For
9 | concentrations, multiplication factors (5 %) are applied to prevent an underestimation of
10 | background error covariance. The emission factors are analyzed and updated at every analysis
11 | step (i.e., two hours). Because of the lack of any applicable model, a persistent forecast model
12 | is used for the emission factors. When there is no observational information available in the
13 | analysis step, previously analyzed emission factors are used in the next forecast step.

14

15 | Figure 1 depicts a schematic diagram of the emission correction scheme for anthropogenic
16 | emissions. First, we obtain optimal values of E_s and E_{tsEtc} from the data assimilation
17 | analysis. Second, E_s is applied to scale up/down daily total emissions while maintaining the
18 | a priori diurnal variability shape (black solid line). Third, optimized E_{tsEtc} is applied to
19 | modify the diurnal variability shape (red line). Considering the overpass time of the satellite
20 | retrievals and the typical daytime lifetime of {NO_x} (i.e. 2--3 hours), a square-wave
21 | with amplitude of E_{tsEtc} and a wavelength of six hours was applied. This assumes that
22 | GOME-2 and SCIAMACHY measurements constrain emissions in the 07:30--10:30 window,
23 | and OMI measurements constrain the 10:30-13:30 window. Consequently, an analysis of the
24 | emission diurnal variability function is obtained as $E_t^{\{a\}} = E_t^{\{b\}} \times E_s - E_{tsEtc}$ for
25 | 07:30--10:30, and $E_t^{\{a\}} = E_t^{\{b\}} \times E_s + E_{tsEtc}$ for 10:30--13:30, where E_s and
26 | E_{tsEtc} represent the analysis and background states, respectively. E_{tsEtc} is set to zero (i.e.,
27 | $E_t^{\{a\}} = E_t^{\{b\}} \times E_s$) from 13:30 to 07:30. The optimized emission factors are used as
28 | initial conditions in the next forecast step of ensemble model simulations.

29

30 | \subsection{Measurements used in the assimilation}

31

Trace gas concentrations were obtained from OMI, SCIAMACHY, and GOME-~~H~~2 satellite measurements of NO_2 , from TES of O_3 , from MOPITT measurements of CO , and from MLS of O_3 and HNO_3 . The retrieved concentration and observation error information were obtained for each retrieval, where the observation error included contributions from smoothing errors, model parameter errors, forward model errors, geophysical noise, and instrument errors. These combined errors, together with a representativeness error for super observations (Miyazaki et al., 2012a), were considered in the observation error matrix (\mathbf{R}) for data assimilation.

For the assimilation of the satellite retrievals, observation operators (\mathbf{H}) were developed, consisting of the spatial interpolation operator (\mathcal{S}), a priori profile in the satellite retrievals (\vec{x}_{apriori}), and an averaging kernel (\mathbf{A}). This operator mapped the model fields ($\vec{x}_i^{\mathbf{b}}$) into retrieval space ($\vec{y}_i^{\mathbf{b}}$), as follows:

$$\begin{aligned} & \begin{aligned} & \& \\ & \vec{y}_i^{\mathbf{b}} = \mathbf{H}(\vec{x}_i^{\mathbf{b}}) \\ & = \mathcal{S}(\vec{x}_{\text{apriori}} + \mathbf{A}(\vec{y}_i^{\mathbf{b}} - \vec{y}_{\text{apriori}})), \end{aligned} \\ & \end{aligned}$$

where i indicates the ensemble member. The use of the averaging kernel \mathbf{A} removes the dependence of the analysis or of the relative model retrieval comparison ($\vec{y}_i^{\mathbf{b}} - \vec{y}^{\mathbf{o}}$)/ $\vec{y}_i^{\mathbf{b}}$ on the retrieval a priori profile (Eskes and Boersma, 2003; Jones et al., 2003).

We employed the super-observation approach to produce representative data with a horizontal resolution of MIROC-Chem (T42) for OMI, SCIAMACHY, GOME-2, and MOPITT observations. Super observations were generated by averaging all data located within a super observation grid cell, following the approach of Miyazaki et al. (2012a). Super observation

1 measurement error was estimated by considering an error correlation of 15 % among the data,
2 although there is no evidence for this value. Representativeness error was introduced when
3 the super-observation grid was not fully covered by observation pixels. The super-observation
4 approach generally provided more representative data with reduced random error and resulted
5 in more stable analysis increments than did the individual observations (Miyazaki et al.,
6 2012a). Another popular approach in data assimilation is to apply data thinning. However,
7 individual observations are much more noisy than super observations, and the representativity
8 error is large. Note that, in our previous studies (Miyazaki et al., 2012a, 2012b, 2013, 2014,
9 2015), the super observation was produced with a resolution of $2.5^\circ \times 2.5^\circ$,
10 which was similar but not equivalent to the model grid size (T42). In this study, the super
11 observation was set to be equivalent to the model grid size (T42), which generally led to
12 larger adjustments in the estimated emissions over industrial areas, and resulted in better data
13 assimilation performance for most cases (e.g., reduced OmF).

14
15 \subsubsection{Tropospheric NO_2 columns from OMI, SCIAMACHY, and
16 GOME-2}

17
18 The tropospheric NO_2 column retrievals used are from the version-2 DOMINO data
19 product for OMI (Boersma et al., 2011) and version 2.3 TM4NO2A data products for
20 SCIAMACHY and GOME-2 (Boersma et al., 2004) obtained through the TEMIS website
21 (www.temis.nl). The ground pixel size of the OMI retrievals is 13--24 km with daily global
22 coverage. Since December 2009, approximately half of the pixels have been compromised by
23 the so-called row anomaly, which reduced the daily coverage of the instrument. GOME-2
24 retrievals have 80 km \times 40 km ground pixel size with a global coverage within 1.5
25 days. SCIAMACHY retrievals have 60 km \times 30 km ground pixel size with a global
26 coverage once every 6 days. OMI measurements were assimilated throughout the analysis
27 period during 2005--2014. In contrast, because of the data limitations, SCIAMACHY
28 retrievals were assimilated before February 2012, and the GOME-2 measurements were
29 assimilated after January 2007. Low-quality data were excluded before assimilation following
30 the recommendations of the products' specification document (Boersma et al., 2011). We
31 employed clear-sky data for surface NO_x emission estimations and both clear-sky
32 data and cloud-scene data for LNO_x estimations, following the method of Miyazaki

1 et al. (2014). The analysis increments in the assimilation of the NO_2 retrievals were
2 limited to adjusting only the surface emissions of NO_x , LNO_x sources,
3 and concentrations of NO_y species using the estimated inter-species error
4 correlations.

5

6 Boersma et al. (2011) summarized the general error characteristics of tropospheric
7 NO_2 retrievals. More recently, Maasakkers (2013) presented [the possibility of](#)
8 [several improvements for improving](#) the tropospheric NO_2 column retrievals
9 algorithm; for example, in the a priori profiles, the effective surface pressure calculation, and
10 in the cloud retrieval. Maasakkers (2013) presented an improved error parameterization for
11 the tropospheric NO_2 column, which reduced errors in high tropospheric columns
12 by up to 41 % and in the mean global error by 13 %. Following this result, we [modified the](#)
13 [version-2 DOMINO and version 2.3 TM4NO2A data products \(Boersma et al., 2004; 2011\)](#)
14 [and used in data assimilation; we](#) reduced retrieval errors of individual NO_2
15 retrievals by 30 % over polluted areas (for columns $> 1.1 \times$
16 $10^{15} \text{ molec cm}^{-2}$) before producing super observation for all the
17 NO_2 retrievals. The assimilation of NO_2 retrievals with reduced error
18 increased the effective use of observational information (i.e., larger emission adjustments) and
19 improved the chi-square statistics (not shown). The obtained super observation error is
20 typically about 20--50 %, 30--60 %, and 25--50 % of the NO_2 columns over
21 polluted areas for OMI, SCIAMACHY, and GOME-2 retrievals, respectively (Fig. S1). The
22 differences between the instruments mainly reflect the differences in coverage and pixel size.

23

24 $\text{TES } \text{O}_3$

25

26 The Tropospheric Emission Spectrometer (TES) is a Fourier Transform Spectrometer (FTS)
27 that measures spectrally-resolved outgoing longwave radiation of the Earth's surface and
28 atmosphere. The TES O_3 data used are version 6 level 2 nadir data obtained from
29 the global survey mode (Herman and Kulawik, 2013). This data set consists of 16 daily orbits
30 with a spatial resolution of 5--8 km along the orbit track, with an equator crossing time of
31 13:40 and 02:29 local mean solar time. Retrievals of atmospheric parameters and their error

1 characterization are based upon optimal estimation (Worden et al., 2004; Bowman et al.,
2 2006; Kulawik et al., 2006) which provide the diagnostics (a priori, averaging kernels, and
3 error covariances) needed to construct the observation operator. The standard quality flags
4 were used to exclude low-quality data. The data assimilation of the TES O_3
5 retrievals was performed based on the logarithm of the mixing ratio following the retrieval
6 product specification (Bowman et al., 2006).

7

8 subsubsection{MLS O_3 and HNO_3}

9

10 The MLS data used are the version 4.2 O_3 and HNO_3 level 2 products
11 (Livesey et al., 2011). We excluded low quality data, following the recommendations of
12 Livesey et al. (2011). We used data for pressures of less than 215 hPa for O_3 and
13 150 hPa for HNO_3. The accuracy and precision of the measurement error, described
14 in Livesey et al. (2011), were included as the diagonal element of the observation error
15 covariance matrix.

16

17 subsubsection{MOPITT CO}

18

19 The MOPITT CO data used are version 6 level 2 TIR products (Deeter et al., 2013). The
20 MOPITT instrument is mainly sensitive to free-tropospheric CO, especially in the middle
21 troposphere, with degrees of freedom for signals (DOFs) typically much larger than 0.5.
22 Owing to data quality problems, we excluded data poleward of 65° and night-time data.
23 Data at 700 hPa were used for constraining surface CO emissions.

24

25 subsection{Measurements used in the validation}

26

27 We use vertical NO_2 profiles observed from in-situ and aircraft measurements to
28 validate the simulated NO_2 distributions. The model simulation and assimilation

1 fields were interpolated to the time and location of each measurement, and then compared
2 with the measurements.

3 4 \subsubsection{DANDELIONS}

5
6 Vertical {NO_2} profiles were measured using the Netherlands National Institute for
7 Public Health and the Environment (RIVM) NO₂ lidar during the Dutch Aerosol and
8 Nitrogen Dioxide Experiments for Validation of OMI and SCIAMACHY (DANDELIONS)
9 campaign (Volten et al., 2009). The lidar data have a spatial representation of 2 km in the
10 viewing direction and approximately 12 km in the direction of the wind, which is much finer
11 than the model resolution (approximately 2.8[°]). To avoid a possibly large error of
12 representativeness in the validation, particularly under the different boundary layer condition,
13 the profiles obtained in the morning (before 12:00 p.m.) were used.

14 15 \subsubsection{INTEX-B}

16
17 During the Intercontinental Chemical Transport Experiment Phase B (INTEX-B) campaign,
18 vertical NO₂ profiles were obtained using the UC Berkeley Laser-Induced Fluorescence (TD-
19 LIF) instrument on a DC-8 over the Gulf of Mexico (Singh et al., 2009). We removed data
20 over Mexico City and Houston from the comparison to avoid a serious representativeness
21 error. The comparisons were made for two flights during the campaign, conducted on March
22 6 and March 9 in 2006. The spatial variability of the {NO_2} concentration during the
23 two flights was generally smaller than that obtained from other flights, since the two flights
24 corrected air mostly over the oceans. In the comparison, the data were binned on a pressure
25 grid, with an interval of 30 hPa.

26 27 \subsubsection{ARCTAS}

1 The Arctic Research of the Composition of the Troposphere from Aircraft and Satellites
2 (ARCTAS) campaign (Jacob et al., 2010) was conducted over Alaska (between 60--
3 90[°]N) in April 2008 (ARCTAS-A) and over western Canada (between 50--
4 70[°]N) in June--July 2008 (ARCTAS-B). Since the data assimilation impact is limited
5 at polar region, the profile data obtained during ARCTAS-B were used in the comparison.

6
7 \section{Simulated and retrieved tropospheric \chem{NO_2} columns}

8
9 Tropospheric \chem{NO_2} columns obtained from data assimilation and model simulation
10 (without any assimilation) are compared with satellite observations. For these comparisons,
11 concentrations were interpolated for the retrieval pixels to the overpass time of the satellite,
12 while applying the averaging kernel of each retrieval, and both the retrieved and simulated
13 concentrations were mapped on the horizontal grid of the super observation (i.e., T42).

14
15 \subsection{Global distribution}

16
17 Figure 2 compares global distributions of annual mean tropospheric \chem{NO_2} columns
18 obtained from the three satellite retrievals (OMI for 2005-2014, SCIAMACHY for 2005--
19 2011, and GOME-2 for 2007--2014), the MIROC-chem simulation, and the data assimilation.
20 The three satellite measurements commonly reveal high tropospheric \chem{NO_2}
21 concentrations over large industrial regions: eastern China, Europe, and the United States.
22 High concentrations are also found over the Southern and Central Africa, India, Middle East,
23 Japan, South Korea, and Southeast Asia. Tropospheric \chem{NO_2} concentrations are
24 generally lower in OMI retrievals compared to GOME-2 and SCIAMACHY retrievals over
25 polluted areas, reflecting the diurnal cycle of emissions and chemistry, with faster chemical
26 loss of \chem{NO_2} at noon compared to early morning (e.g. Boersma et al., 2009). All of
27 the retrievals are produced using the same retrieval approach (Boersma et al., 2011).
28 Therefore, the differences in overpass time and also in pixel size could be the principle cause
29 of the differences between the three different satellite retrievals, although the use of super
30 observations for all the sensors reduces the influence of different pixel sizes.

1

2 The MIROC model reproduces the general features of observed tropospheric NO_2 ,
3 with a global spatial correlation of 0.86--0.94 for the annual mean concentration during the
4 ten-year period between 2005--2014 (Fig. 2 and Table 1). However, the simulated regional
5 mean tropospheric NO_2 columns are generally too low over most industrial areas
6 and major biomass-burning areas and too high over remote areas. In the global mean, the
7 model is negatively biased relative to the three retrievals (i.e., -0.04 – $-0.18 \times$
8 $10^{15} \text{ molec cm}^{-2}$) compared with the three retrievals). Data assimilation
9 improves agreements with the satellite retrievals for most industrial and biomass-burning
10 areas mainly because of the optimized surface NO_x emissions, with great reductions
11 in the ten-year global mean negative bias (i.e., -0.02 – $+0.03 \times$
12 $10^{15} \text{ molec cm}^{-2}$) (Table 1). Improvements can also be found in the
13 improved spatial correlation (from 0.86--0.94 to 0.95--0.98) and the reduced global root mean
14 square error (RMSE: reduced by about 40, 30, and 50 % compared with OMI, SCIAMACHY,
15 and GOME-2, respectively). The annual mean analysis--observation differences show similar
16 spatial distributions between SCIAMACHY and GOME-2 ($r=0.93$) and differed somewhat
17 between OMI and other sensors ($r=0.55$ --0.60).

18

19 \subsection{Regional distribution}

20

21 The regional mean tropospheric NO_2 columns are compared in Table 2. The data
22 assimilation reduced the ten-year mean negative bias of the model by 40--62 % over China
23 and 48--50 % over the United States compared to the three retrieval. The data assimilation
24 also reduced the almost constant negative bias over Australia by 20--76 %, over India by 57--
25 60 %, and over Southern Africa by 35--64 %. The error reduction over China and southern
26 Africa is generally smaller for the SCIAMACHY and GOME-2 retrievals compared with the
27 OMI retrievals.

28

29 Improvements are also found over biomass burning areas. The ten-year mean negative model
30 bias over Southeast Asia is reduced by 57--77 %, which is mainly attributed to the positive
31 adjustments in the biomass burning season (i.e., in boreal winter-spring). The persistent

1 negative biases throughout the year over central and North Africa are also reduced, with a ten-
2 year mean reduction of 66-80 \% and 78--86 \%, respectively. These improvements over the
3 tropical regions are mostly commonly found in comparisons with the three retrievals.
4 Considering the short lifetime and rapid diurnal variation of biomass burning activity at low
5 latitudes, these improvements suggest that the assimilation of ~~multiple-multiple-species~~ and
6 multiple NO_2 measurements effectively corrected the ~~diurnal-temporal variations~~
7 changes in the tropospheric NO_2 concentration column between the different
8 overpass times.

11 Despite the general improvement by data assimilation, disagreements remain between the
12 simulated and observed NO_2 concentrations over polluted regions, such as Europe,
13 Southern Africa, and China. The inadequacies of the improvements can be partly attributed to
14 the small number of observations and large observation errors for highly polluted cases. The
15 quality and abundance of the retrievals varies largely with season and area (Fig. S1),
16 reflecting observation conditions (e.g., clouds, aerosols, and surface albedo), which have great
17 impacts on the magnitude of data assimilation improvement. For instance, over Europe in
18 winter, the number of observations is relatively small, and the observation error is relatively
19 large. The remaining errors may also result from model errors such as too short lifetime of
20 NO_x through the $\text{NO}_2 + \text{OH}$ and $\text{NO} + \text{HO}_2$
21 reactions and the reactive uptake of NO_2 and N_2O_5 by aerosols (e.g., Lin
22 et al., 2012b; Stavrou et al. 2013). This will further be discussed in Section 5.3.

24 \subsection{Seasonal and interannual variation}

26 The underestimation in the simulated concentrations is most obvious in winter over most of
27 the industrial regions, such as China, Europe, the United States, and Southern Africa. Data
28 assimilation greatly reduced the wintertime low bias by 50-70 \% over China, by about 50--90
29 \% over the United States, and by 50--70 \% over Southern Africa, as summarized in Table 2.
30 Over Europe, the model's negative bias is reduced by about 10--80 \% in summer, but the
31 negative bias compared with the OMI retrievals mostly remains in winter (c.f., Section 5.3).

1 Despite the persistent wintertime bias over Europe, the improved temporal correlation (from
2 0.64--0.89 in the model simulation to 0.90--0.95 in the data assimilation) confirms improved
3 seasonality and year-to-year variation. Over India, the NO_2 columns in the model
4 simulation do not reveal clear seasonal variation, whereas a significant seasonal variation is
5 introduced by data assimilation, reflecting the observed high concentration in boreal winter-
6 spring. The temporal correlation is largely improved over India (from -0.47--0.06 in the
7 model simulation to 0.76--0.95 in the data assimilation).

8

9 The observed concentrations reveal large year-to-year variations over the industrial regions,
10 which are generally underestimated in the model simulation (Fig. 33). Over China, the
11 difference between the model simulation and the observations becomes significant after 2010,
12 suggesting a larger underestimation in the a priori inventories in that time period, relative to
13 the period before 2010. The observed concentrations reveal positive trends over China, with
14 an exceptional decrease in 2009, followed by a rapid increase in 2010, and a decrease in 2014,
15 as found by Cui et al. (2015), Duncan et al. (2016), and Krotkov et al. (2016). The data
16 assimilation better captures the observed variations, as indicated by the better agreement in
17 the linear trend (+40 %/decade in the OMI observation, +13 %/decade in the model
18 simulation, and +28 %/decade in the data assimilation) and by the improved temporal
19 correlation (from 0.85--0.94 to 0.95--0.99). Over the United States, the data assimilation
20 removes most of the model's negative bias in 2005--2007 and reproduces the observed
21 downward trend for the ten-year periods. These improved agreements suggest that the a
22 posteriori emissions from data assimilation capture the actual anthropogenic emission
23 variability.

24

25 The seasonal and year-to-year variations over Southeast Asia, and North and Central Africa
26 are associated with changes in the biomass burning activity. Data assimilation improves the
27 temporal variability, as confirmed by the improved temporal correlations (by 0.10--0.14 over
28 North Africa, by 0.03--0.04 over Central Africa, and by 0.15--0.21 over Southeast Asia). Over
29 Southeast Asia, the negative bias in the biomass burning season is largely removed by data
30 assimilation. The systematic adjustments for North and Central Africa throughout the year
31 suggests that the a priori emissions reasonably represent the seasonality of biomass burning

1 activity, but emission factors ~~(and/or chemical lifetime of NO_x)~~ might be
2 underestimated in the a priori setting, as discussed in Section 4.

3 4 \subsection{Vertical profiles}

5
6 Figure 4 compares the vertical profiles with the aircraft observations during the ARCTAS and
7 INTEX-B campaigns and with the ground-based lidar observations obtained during the
8 DANDELIONS campaign. For all the profiles, the observed NO_2 concentrations are
9 high in the boundary layer and decrease with height above the boundary layer, and are higher
10 in the morning than in the afternoon in the lower troposphere. Both the model simulation and
11 data assimilation reproduced these observed general features.

12
13 For the ARCTAS profile, the data assimilation has a small effect on the lower and middle
14 tropospheric NO_2 profiles, because of the large observational error of the
15 NO_2 measurements at high latitudes. In contrast, the data assimilation mostly
16 removed the model negative bias in the upper troposphere and lower stratosphere, mainly
17 because of the MLS O_3 and HNO_3 data assimilation and through the use
18 of the inter-species correlation. This improvement cannot be achieved using the
19 NO_2 measurements only. During the INTEX-B and DANDELIONS campaigns,
20 large variations in individual measurements along the flights were observed. Therefore we
21 evaluate the variability, as well as the mean profiles, using scatter plots (Fig. 4). For the
22 INTEX-B profiles, the data assimilation improves the agreement (i.e., the correlation and
23 slope) with the observations in the lower and middle troposphere, except within the boundary
24 layer (i.e., below 900 hPa). For the March 9 flight, the correlation (from 0.324 to 0.455) and
25 the slope (from 0.26 to 0.53) increased in the lower troposphere (900--750 hPa) by data
26 assimilation. The improvements are commonly found for the March 11 flight and for higher
27 levels (750--600 hPa). The assimilation does not obviously change the model profile in the
28 upper troposphere (600--300 hPa); the remaining negative bias could be attributed to errors in
29 the model, such as in the chemical loss, NO_y species partitioning, and atmospheric
30 transport. For the DANDELIONS profiles, the data assimilation improves the agreement in
31 the lower troposphere (e.g., the correlation and slope are increased from 0.14 to 0.46 and from

1 0.11 to 0.90, respectively, for 150--500 m), except near the surface (i.e., below 150 m). The
2 improvement is generally more obvious in the morning than in the afternoon for these
3 comparisons (not shown).

4
5 Note that, in all cases, the data assimilation increases positive biases in the surface
6 concentrations. Because of the coarse model resolution (approximately 2.8 $^{\circ}$), the
7 model has difficulty in representing the measurement locations. The near-surface
8 concentration will be sensitive to the model resolution owing to fine-scale emission
9 distribution and transport, as well as non-linear chemical processes, as discussed in Valin et al
10 (2011) and Miyazaki et al (2012a). The positive bias in the data assimilation may also suggest
11 errors in the assimilated measurements.

12
13 \section{Estimated surface \chem{NO_x} emissions}

14
15 The a posteriori emissions were compared against the a priori emissions for the 2005--2014
16 period and against an independent emission inventory from EDGAR-HTAP v2 (Janssens-
17 Maenhout et al., 2015) for the years 2008 and 2010. EDGAR-HTAP v2 was produced using
18 nationally reported emissions combined with regional scientific inventories from the
19 European Monitoring and Evaluation Programme (EMEP), Environmental Protection Agency
20 (EPA), Greenhouse Gas-Air Pollution Interactions and Synergies (GAINS), and Regional
21 Emission Inventory in Asia (REAS). For the comparison against EDGAR-HTAP v2,
22 emissions from biomass burning and soils were obtained based on ~~EDGAR-GFED~~ version
23 4.23.1 and GEIA inventories; they were used in the a priori emissions.

24
25 \subsection{Top-down vs. a priori global surface \chem{NO_x} emissions}

26
27 The global distributions of the estimated emission sources are depicted in Fig. 45. As
28 summarized in Table 3, the ten-year mean global total surface \chem{NO_x} emissions after
29 data assimilation is 48.4 \unit{Tg\,N\,yr^{-1}}, which is about 26 \% higher than the a priori
30 emissions (38.4 \unit{Tg\,N\,yr^{-1}}). The positive analysis increment in global total

1 emissions is attributable to an approximate +21 % increment in the Northern Hemisphere
2 (NH, 20--90 $^{\circ}$ N), a +35 % increment in the tropics (20 $^{\circ}$ S-20 $^{\circ}$ N), and a
3 42 % increment in the Southern Hemisphere (SH, 20--90 $^{\circ}$ S). Strong positive
4 increments are found over China (+39 %), the United States (+10 %), India (+22 %), and
5 Southern Africa (+50 %). There are also positive increments in emissions over the biomass
6 burning areas of Central Africa (+53 %) and Southeast Asia (+39 %). The a posteriori
7 regional total emissions are clearly closer to the EDGAR-HTAP v2 emissions than the a priori
8 emissions over China, the United States, and India. Since the same biomass burning and soil
9 emission inventories are used in producing the total a priori and EDGAR-HTAP v2 emission
10 data sets in this study, the emissions are similar between the two data sets over biomass
11 burning and remote areas.

12

13 | Fig. 5-6 depicts the global distribution of the linear trend during the ten-year period. The trend
14 is negative over most of the United States, Europe, some parts of eastern China, South Korea,
15 Japan, central and Southern Africa, Northern South America, with strong negative trends over
16 the eastern United States, some parts of Europe (e.g., Northwest Europe, Po valley, and
17 northern Spain), and Japan. Strong positive trends are found over China, India, Middle East,
18 | around Sao Paulo in Brazil, and around Jakarta in Indonesia.

19

20 | Data assimilation reveals significant temporal variations (Fig. 67), including seasonal (Fig.
21 | 78) and interannual (Fig. 89) variations, in the emissions over major polluted regions. In
22 northern mid-latitudes, the emissions are strongly enhanced in summer, and the timing of the
23 summertime peak from data assimilation is earlier by 1--2 months over North America,
24 | Europe, and ~~southern parts of the Eurasian continent~~China (Fig. 78), as similarly found in our
25 previous study (Miyazaki and Eskes, 2013). Applying the ratio of different emission
26 categories within the a priori emissions for each grid point to the estimated emissions after
27 data assimilation (only the total emission is optimized in our estimates), global total
28 NO_x emissions from soils are $7.9 \text{ Tg}, \text{N}, \text{yr}^{-1}$ for the a posteriori
29 emissions in contrast to $5.4 \text{ Tg}, \text{N}, \text{yr}^{-1}$ for the a priori emissions. In line
30 with recent studies by Hudman et al. (2012) and Vinken et al. (2014), our results suggest that
31 the a priori emissions underestimate those by soils and misrepresent the seasonality.

32

1 Over biomass-burning areas, the time of the peak emissions does not change for most cases,
2 suggesting that the a priori emissions describe the seasonality reasonably, but the systematic
3 adjustment indicates large uncertainties in emission factors ~~and biomass burnt estimates~~ used
4 in the inventories. The weak year-to-year variations in the a priori emissions are partly
5 attributable to the ~~use of climatology after 2011 assumptions applied for the a priori emissions~~
6 (c.f., Sec. 2.1).

7
8 Despite the large year-to-year variations over many regions (c.f., Figs. ~~5-6~~ and ~~67~~), the global
9 total emission is almost constant between 2005 ($47.9 \text{ Tg}\text{N}\text{yr}^{-1}$) and 2014 (47.5
10 $\text{ Tg}\text{N}\text{yr}^{-1}$), with a maximum in 2012 ($50.9 \text{ Tg}\text{N}\text{yr}^{-1}$) and a
11 minimum in 2008 ($46.7 \text{ Tg}\text{N}\text{yr}^{-1}$). Over the ten-year period, the large emission
12 increases over China, India, and the Middle East mostly compensate for the large emission
13 decreases over the United State, western Europe, and Japan.

14
15 \subsection{Top-down vs. a priori regional surface NO_x emissions and their trends}

16
17 \subsubsection{East Asia}

18
19 Data assimilation adjusts the total annual emissions from 4.47 to $6.21 \text{ Tg}\text{N}\text{yr}^{-1}$
20 over China for the 2005--2014 period (Table 3), whereas the a posteriori emissions show
21 good agreement with the EDGAR-HTAP v2 emissions ($6.19 \text{ Tg}\text{N}\text{yr}^{-1}$) in the a
22 posteriori emissions and $6.25 \text{ Tg}\text{N}\text{yr}^{-1}$ in the EDGAR-HTAP v2 for 2008 and
23 2010). ~~OurThe a priori inventory isEDGAR-v4 emissions are~~ too low over China, by ~~a factor~~
24 ~~of 0.6~~ about 40 %. The seasonal variation is largely corrected by data assimilation (Fig. ~~78~~),
25 exhibiting maximum emissions in January and ~~July~~ June.

26
27 At the grid scale, the estimated emissions are higher than the a priori emissions ~~over northern~~
28 ~~and eastern China, around large cities in East Asia,~~ such as Beijing (+58 % at the nearest grid
29 point), Tianjin (+97 %), Nanjing (+30 %), and ~~around~~ Guangzhou (+78 %), whereas they
30 are lower ~~around Chengdu and Chongqing in most remote areas~~ (Fig. ~~910~~). ~~As a result,~~

1 ~~differences between large cities and rural areas generally become larger for the a posteriori~~
2 ~~emissions than the a priori emissions over China.~~ In terms of the regional mean, the EDGAR-
3 HTAP v2 is closer to the a posteriori emissions for China. However, there are disagreements
4 at grid-scale around large cities, such as Shanghai (the a posteriori minus EDGAR-HTAP v2
5 is -25 \%), Guangzhou (+46 \%), and Chongqing (-19 \%), and also in South Korea around
6 Seoul (+37 \%) and in Japan around Tokyo (+13 \%).

7
8 Our estimate of 12.5 TgN for July 2007 over East Asia (80-150 $^{\circ}$ E, 10--50 $^{\circ}$ N) is
9 slightly larger than that of 11.0 TgN estimated using OMI observations (Zhao and Wang,
10 2009). The 6.6 TgN (8.0 TgN) estimated for July 2008 (January 2009) over east China
11 (103.75--123.75 $^{\circ}$ E, 19--45 $^{\circ}$ N) from OMI and GOME-2 observations by Lin
12 and McElroy (2010) is slightly smaller than (larger than) our estimates of 7.4 TgN (7.4 TgN).
13 We emphasize that the estimated emissions are strongly constrained by the assimilation of
14 non- NO_2 measurements in our estimates. The estimated emissions for July 2008
15 over east China for the above-mentioned case from a NO_2 -only assimilation (8.2
16 TgN) is 11 \% larger than the estimate using ~~multiple-multiple~~-species (7.4 TgN). The
17 importance of ~~multiple-multiple~~-species assimilation is further discussed in Sect. 5.1.

18
19 The estimated emission for China does not follow a simple linear increase, but rather
20 increasing from 2005 to 2011 with a slightly negative trend afterwards, as shown by Fig ~~8-9~~
21 and Fig. 11~~+~~. The ten-year linear trend slope is estimated at +26 \%/decade (Table 4). The
22 difference in the estimated emission trend between the two time periods (2005--2010 and
23 2011--2014) are most commonly found across the country, which can be attributed to the
24 competing influences of economic growth and emission controls (Cui et al., 2015). The
25 temporal strong decrease in the estimated emissions in 2008 summer (Fig. ~~67~~) could be
26 associated with the Beijing Olympic games, as suggested by Mijling et al. (2009), Witte et al.
27 (2009), and Worden et al. (2012). The trend for 2005--2010 over China is estimated at +3.0
28 \%/year in our estimate, which is slightly smaller than the +4.0 \%/year estimate using OMI
29 measurements by Gu et al. (2013). The increase from 2008 to 2010 for China is larger in the a
30 posteriori emissions (+0.73 $\text{Tg}\text{N}\text{yr}^{-1}$) than in EDGAR-HTAP v2 (+0.49
31 $\text{Tg}\text{N}\text{yr}^{-1}$).

1 As shown by Fig. 1012, strong positive trends are found over large cities such as Wuhan (+42
2 %/decade), Nanjing (+35 %/decade), Tianjin (+35 %/decade), Chengdu (+56 %/decade),
3 and over eastern China. A larger relative positive trend occurs over western China, especially
4 over northwestern China (around 88--110°E, 37--48°N) where the rate of
5 increase reaches +50--110 %/decade at grid scale. Despite the general large positive trend
6 for the ten-year period, the three largest cities in China show a net reduction or a small
7 increase during 2005--2014; Beijing (-0.6 %/decade), Shanghai (-6.2 %/decade), and
8 Guangzhou (+4.5 %/decade), as commonly found in the observed {NO_2}
9 concentrations (Wang et al., 2015). In East Asia, the estimated emissions also show strong
10 negative trends over major cities in Japan and South Korea; Tokyo (-48 %/decade), Osaka (-
11 38 %/decade), and Seoul (-11 %/decade).

13 \subsubsection{Europe}

15 The total emissions for Europe are about 5 % higher in the a posteriori than in the a priori
16 emissions (Table 3), which is attributed to positive increments over some parts of western
17 Europe, such as Belgium (+67 %), western Germany (+23 %), northern Italy (+62 %), and
18 Istanbul (+40.3 %) (Fig. 910). The a posteriori emissions for Europe are higher than the
19 EDGAR-HTAP v2 inventory by 17 % for 2008 and 2010, and the differences are large at the
20 grid scale around London (+27 %), Belgium (+87 %), western Germany (+84 %), Paris
21 (+27 %), Madrid (+55 %), northern Italy (+90 %), and Istanbul (+56 %). ~~The lower
22 emissions in the inventories could indicate an underestimate in the reported mobile emissions
23 sources, but could also be attributed to other factors (c.f., Section 5.3).~~ Both the a priori and
24 EDGAR-HTAP v2 emission inventories ~~reveal~~ show maximum emissions in summer (i.e.,
25 July), whereas the timing of peak emission becomes earlier by 1 month ~~by~~ after data
26 assimilation (Fig. 78). The estimated seasonal amplitude is larger over Eastern Europe than
27 over Western Europe by about 40 %, which suggests the possibility of more active
28 summertime emissions from soil in Eastern Europe, as consistently revealed by Vinken et al.
29 (2014).

1 The estimated emissions for Europe show a slightly negative trend during 2005--2014, with a
2 sharp decrease from 2009 to 2010 (Fig. 89). The estimated linear decrease for the ten-year
3 period is small (-0.1 %/decade) for Europe (10¹⁰ Tg N yr⁻¹, 35--60¹⁰ Tg N yr⁻¹),
4 but is much larger (-8.8 %/decade) over Western Europe (10¹⁰ Tg N yr⁻¹, 36--
5 54¹⁰ Tg N yr⁻¹), as summarized in Table 4. At the grid scale (Fig. 1012), strong negative
6 trends occur over large cities in Western Europe; Paris (-10 %/decade), northwestern France
7 (-57 %/decade), London (-11 %/decade), Belgium (-24 %/decade), Athens (-22 %/decade),
8 and over a region with many power plants in northern Spain (-45 %/decade) and Po valley (-
9 52 %/decade). These variations are considered to be the result of the global economic
10 recession and emission controls, as pointed out by Castellanos and Boersma (2012). The
11 negative trends are stronger during 2005--2010 than during 2011-2014 over some parts of
12 western and southern Europe such as over northern Spain, northern Italy, and western
13 Germany (Fig. 1112). Strong negative emission trends over these regions were similarly
14 found by Curier et al. (2014) for 2005--2010. Zhou et al. (2012) revealed that NO_x
15 emissions from Spanish Power plants have been strongly reduced for the 2004-2009 period
16 because of emission abatement strategies, which is consistent with our estimates.

17

18 \subsubsection{North America}

19

20 The ten-year mean a posteriori emissions are higher than both the a priori (5.73
21 $\text{unit}\{\text{Tg}\,\text{N}\,\text{yr}^{-1}\}$ v.s 5.23 $\text{unit}\{\text{Tg}\,\text{N}\,\text{yr}^{-1}\}$ for 2005--2014) and EDGAR-HTAP v2
22 (5.26 $\text{unit}\{\text{Tg}\,\text{N}\,\text{yr}^{-1}\}$ v.s 4.84 $\text{unit}\{\text{Tg}\,\text{N}\,\text{yr}^{-1}\}$ for 2008 and 2010) emissions over
23 the United States (Table 3). Positive increments are found over most remote areas and around
24 the Southeast United States (e.g., +23 % near Atlanta) and most of the Western United States
25 (e.g., +26 % near Denver)Atlanta (+23 %) and Denver (+26 %), whereas negative
26 increments are found around ~~over~~ large cities such as New York (-28 %), Toronto (-17 %),
27 Montreal (-19%), Houston (-19 %), and Los Angeles (-5 %) (Fig. 910). Despite the small
28 adjustment for the ten-year mean regional total emissions, the data assimilation analysis
29 increments for the regional total emission are strongly positive during 2005-2008, producing a
30 long-term negative trend (Fig. 67). The timing of maximum emissions becomes earlier by 2
31 months (from July to May) due to data assimilation (Fig. 78). The summertime peak
32 enhancement is obvious over remote regions such as high temperature agricultural land over

1 | [the South Atlantic, the East South Central, and the Southwestern United States](#), which
2 | suggests that the a priori emissions underestimates emissions from soil, as suggested by
3 | Oikawa et al. (2015) for the western United States. The estimated emissions are larger than
4 | the EDGAR-HTAP v2 emissions around large cities such as New York (+24 %), Chicago
5 | (+12 %), Denver (+35 %), Houston (+17 %), San Francisco (+74 %), and Los Angeles
6 | (+68 %) but are smaller over remote areas in the eastern and central United States for 2008
7 | and 2010 (Fig. 910). The 0.73 TgN estimated over the United States (130--
8 | $70 \text{ }^\circ\text{W}$, 25-- $50 \text{ }^\circ\text{N}$) from ICARTT observations between 1 July and 15 August in
9 | 2004 (Hudman et al., 2007) is close to our estimates of 0.82 TgN for 1 July to 15 August in
10 | 2005. The 0.465 TgN estimated over the eastern United States ($102\text{--}64 \text{ }^\circ\text{W}$, 22--
11 | $50 \text{ }^\circ\text{N}$) from the OMI observations for March 2006 (Boersma et al., 2008a) is slightly
12 | smaller than our estimate of 0.502 TgN .

13

14 | The a posteriori regional emissions for the United States show a strong negative trend during
15 | 2005--2014 (-29.4%/decade) (Table 4). The estimated trend for 2005--2012 (-32 %) in this
16 | study is close to that reported by Tong et al. (2015) using OMI measurements (-35 %). The
17 | ten-year linear trend is strongly negative over large cities such as New York (-48 %/decade),
18 | Boston (-42 %/decade), Chicago (-52 %/decade), Atlanta (-47 %/decade), Dallas (-19
19 | %/decade), Houston (-25 %/decade), Denver (-16 %/decade), and Los Angeles (-46
20 | %/decade) (Fig. 111). Lu et al. (2015) estimated that total OMI-derived NO_x
21 | emissions over selected urban areas decreased by 49 % from 2005 to 2014, reflecting the
22 | success of NO_x control programs for both mobile sources and power plants, with
23 | greater reductions before 2010 than after 2010. These variations are similarly found in our
24 | estimates (Fig. 112). Both the a posteriori and EDGAR-HTAP v2 emissions consistently
25 | reveal a decrease in the regional emissions for the United States from 2008 to 2010 (-0.34 and
26 | $-0.51 \text{ TgN yr}^{-1}$, respectively).

27

28 | \subsubsection{India}

29

30 | The ten-year total emissions from India are 22 % higher in the a posteriori emissions than in
31 | the a priori emissions (Table 3). The positive adjustment for the country's total emissions is

1 | large in ~~boreal~~ spring, resulting in a Mar--June/July--September ratio of about 1.55 \pm 0.1
2 | (Fig. 78), which could be associated with the seasonality in open biomass burning
3 | (Venkataraman et al., 2006). The seasonal variation is mostly absent in the a priori and
4 | EDGAR-HTAP v2 inventories. The positive increment is large around large cities such as
5 | Lucknow (+110 %), Patna (+25 %), Mumbai (+50 %), Hyderabad (+16 %), and Madras
6 | (+21 %) (Fig. 910). In contrast, the country's total emissions are about 10 % smaller in the a
7 | posteriori emissions than in the EDGAR-HTAP v2, with large negative biases (i.e., the a
8 | posteriori is smaller) around Delhi (-49 %) and southern India (-20\$-\$70 %) and large
9 | positive biases over Lucknow (+68 %), Gwalior (+45 %), Raipur (+41 %), Mumbai (+12
10 | %), and Hyderabad (+14 %) at grid scale (Fig. 910). These results suggest both EDGARv4
11 | and EDGAR-HTAP v2 inventories largely underestimate emissions over some parts of India
12 | such as around Lucknow, Raipur, Mumbai, and also in Thailand around Bangkok (+26 %
13 | compared with the a priori emissions and +118 % compared with the EDGAR-HTAP v2
14 | emissions) and Chiang Mai (+54 % and +66 %, respectively).

15
16 | The a posteriori emissions for India increased continuously over the ten-year period, with a
17 | linear trend of +29 %/decade (Fig. 89). The positive trend is large across the country, with
18 | particularly strong increases around ~~Delhi (+25 %/decade)~~, Lucknow (+29 %/decade),
19 | Kolkata (+47 %/decade), Raipur (+67 %/decade), and Madras (+40 %/decade) (Fig. 4012).
20 | The positive emissions trend could be associated with increased thermal power plants in India,
21 | as pointed out by Lu and Streets (2013). In 2014, the regional total emissions for India (i.e.,
22 | $3.46 \text{ Tg N yr}^{-1}$) are comparable to (about 83 % of) the European-total emissions
23 | (i.e., $4.15 \text{ Tg N yr}^{-1}$) and about 67 % of the United States-total emission (i.e.,
24 | $5.17 \text{ Tg N yr}^{-1}$). In contrast, tropospheric NO_2 columns over India are
25 | much lower compared to those in northern midlatitude polluted areas, as a result of the high
26 | values of temperature, photolysis rates, and specific humidity, leading to shorter
27 | NO_2 lifetimes throughout the year (Beirle et al., 2011).

28
29 | \subsubsection{Southern Africa}

1 A large adjustment in NO_x emissions is apparent in the Highveld region of Southern
2 Africa with a factor of about 1.5 (table 3). The positive adjustment is relatively large in the
3 austral summer (Fig. 78). The emissions from Southern Africa show a slight negative trend (-
4 8 %/decade), with a temporary increase in 2006--2007, followed by a rapid decrease in 2009,
5 and almost constant emissions afterwards (Fig. 89). The difference in emissions between 2008
6 and 2010 is small in EDGAR-HTAP v2 ($+0.01 \text{ Tg N yr}^{-1}$), whereas the a
7 posteriori emissions show a negative trend ($-0.09 \text{ Tg N yr}^{-1}$ (2010-2008)) (Table
8 3). The ten-year linear trend reaches about -40 %/decade at grid scale over highly polluted
9 areas. Duncan et al. (2016) highlighted a complex mixture of different emissions sources over
10 Southern Africa. The various emission sources may have experienced different variations, and
11 high resolution emission analysis is required to understand the detailed spatial variation in
12 these emissions and to obtain unbiased emission estimates (Valin et al., 2011).

14 \subsubsection{North and central Africa}

16 Over North Africa, the ten-year mean emission increased by 40 % by due to data assimilation
17 from 2.07 to 2.90 Tg N yr^{-1} (Table 3). The positive increment is large from boreal
18 winter to summer, producing the second maximum in July that is absent in the a priori
19 emission (Fig. 78). The enhanced emissions for July and August are found throughout the
20 2005--2014 period and can mainly be attributed to emissions from the Sahel and Nigeria. This
21 large positive increment may indicate an underestimation of soil NO_x emissions in
22 the a priori inventory. The short summer dry season in Nigeria may also lead to enhanced
23 biomass burning emissions. The data assimilation largely corrects the spatial distribution
24 during the peak season in January, with larger positive adjustments over the western (by about
25 +60--120 % at grid scale around 5°W -- 15°W) rather than the eastern parts of
26 North Africa (Fig. 45). The data assimilation also introduced a distinct year-to-year variation,
27 reflecting the observed concentration variations associated with changes in biomass burning
28 activity. The estimated emissions are high in 2005, 2006, 2008, and 2009, and low in 2010
29 (Fig. 67), which could be associated with drought events related to atmospheric variations
30 such as ENSO (Janicot et al., 1996).

1 Over central Africa, the ten-year mean a posteriori emissions are larger than the a priori
2 emissions by about 53 % ($2.57 \text{ Tg N yr}^{-1}$ v.s $1.68 \text{ Tg N yr}^{-1}$) (Table
3 3). Large positive increments are found in the Congo region, with about +50--150 %
4 increases for the ten-year mean emissions at the grid scale (Fig. 45). The relative adjustment
5 for the regional total emissions during the biomass burning season is +30--40 % over
6 central Africa and about +40 % over North Africa. These numbers may indicate a possible
7 underestimation of the magnitude of fire-related emission factors in GFED v3. Although
8 variation in the seasonal emissions is different between North Africa and Central Africa
9 (almost in opposite phase, reflecting the transition of the Intertropical Convergence Zone
10 (ITCZ)), the year-to-year variation revealed by data assimilation is similar between the two
11 regions. The temporal correlation of the annual total emission between North Africa and
12 central Africa for the 2005-2011 period (when the GFED emissions are available) is estimated
13 at 0.90 for the a posteriori emissions, and 0.01 for the a priori emissions. This result may
14 suggest that year-to-year emission variations over the two regions are controlled in the same
15 manner by long-lasting atmospheric variations (e.g., ENSO), for which the a priori emissions
16 have large uncertainties.

17

18 \subsubsection{Southeast Asia}

19

20 Over Southeast Asia, the data assimilation increases the annual mean emission by 45 % from
21 0.47 to $0.68 \text{ Tg N yr}^{-1}$ (Table 3), with a large increase in boreal winter and spring
22 (Fig. 78). The regional emission increment is positive over peninsular Malaysia (+20--40 %
23 for the ten-year mean emission), Borneo Island (+60--100 %), and central and northern
24 Thailand (+50--80 %) (Fig. 9 10). Because of the large adjustment in boreal winter and
25 spring, the peak-to-peak seasonal variation for southeast Asia is enhanced by 20 % by data
26 assimilation (Fig. 78). The a priori inventories reveal enhanced emissions in 2005, 2007, and
27 2010, ~~reflection-reflecting~~ year-to-year changes in biomass burning emissions, ~~when-whereas~~
28 data assimilation further increased them by up to 30 % (Fig. 76). The relative adjustment in
29 other years (i.e. years with weaker biomass-burning activity) is even higher during the boreal
30 winter and spring (with a factor of more than 2), which can largely be attributed to large
31 positive increments over central and northern Thailand. The Southeast Asia emissions can be
32 characterized as a combination of various sources. Using the ratio between different emission

1 categories in the a priori emission inventories at each grid point, the regional total emissions
2 from anthropogenic sources, biomass burning, and soils are estimated at 0.51, 0.11, and 0.06
3 $\text{Tg}\text{N}\text{yr}^{-1}$, respectively, which is 47, 32, and 58 % higher than the a priori
4 emissions.

5

6 \subsubsection{South America}

7

8 Over South America, the ten-year mean regional total emissions are comparable between the
9 a priori and a posteriori emissions, whereas the spatial distribution is largely corrected, with
10 large positive increments over eastern Brazil (+50--110 % at grid scale) and Peru (+90--
11 +140 %) and negative increments over the central Amazon (up to -30 %) (Fig. 45). The
12 seasonal variation of the regional total emission for South America is largely corrected by
13 data assimilation (Fig. 78). A large decrease (by -30 %) occurs in the biomass burning season
14 in August-September in all of the years, which might be the result of an overestimation of
15 emissions by forest (i.e., deforestation) fires in dry conditions in the emissions inventory, as
16 similarly investigated by Castellanos et al. (2014) using GFED v3. This is in contrast to the
17 increased emissions over central Africa in the biomass burning season (c.f., Section 4.2.6). In
18 contrast to the negative increments in the biomass-burning season, the emissions in the
19 biomass burning off-season are increased by 30--60 % by data assimilation. Consequently,
20 data assimilation decreased the seasonal amplitudes by 40 %. The year-to-year variations are
21 similar between the a priori and a posteriori emissions (Fig. 67). As an exception, a large
22 decrease in 2010 (with a 50 % decrease from 6.9 TgN to 3.5 TgN in August
23 by data assimilation) suggests large uncertainty in fire-related emission factors in the major
24 fire year (Bloom et al., 2015).

25

26 \subsubsection{Other remote regions}

27

28 The data assimilation may capture signals related to soil emissions, for which the inventories
29 may have large uncertainties. For instance, the regional mean emissions over Australia are
30 higher by about 40 %, with a large increase in boreal spring-early summer. The emissions are
31 also higher over the central Eurasian continent, including eastern Europe and western China,

1 | and over the Sahel (Fig. 45), as was similarly found by Vinken et al. (2014). The global total
2 | NO_x emissions by soils for the ten-year period are estimated at 7.9
3 | $\text{Tg}\text{N}\text{yr}^{-1}$, in contrast to 5.4 $\text{Tg}\text{N}\text{yr}^{-1}$ for the a
4 | priori emissions. The results indicate large underestimates in the soil emission inventories
5 | over these regions. For instance, the nonlinear relationships between soil NO_x
6 | emissions and time since fertilization, soil temperature, and soil moisture, are not properly
7 | considered in current inventories, as pointed out by Oikawa et al. (2015) for agricultural
8 | regions. Note that our estimate of 7.9 $\text{Tg}\text{N}\text{yr}^{-1}$ is smaller than other recent
9 | estimates (8.9 $\text{Tg}\text{N}\text{yr}^{-1}$ in Jaegl' e et al. (2005), 8.6 $\text{Tg}\text{N}\text{yr}^{-1}$ in
10 | Steinkamp and Lawrence (2011), 10.7 $\text{Tg}\text{N}\text{yr}^{-1}$ in Hudman et al. (2012), and
11 | 12.9 ± 3.9 $\text{Tg}\text{N}\text{yr}^{-1}$ in Vinken et al. (2014)), which could partly be
12 | attributed to the assumed emission ratio between different categories for each model grid
13 | point, which is based on the a priori inventories and was not modified by the data assimilation
14 | in this study.

15
16 | Among major industrialized areas, the Middle East has experienced a rapid increase in
17 | NO_2 levels (Lelieveld et al., 2015). Our estimates reveal a linear trend of $+20$
18 | $\%$ /decade in NO_x emissions and a 45 $\%$ positive adjustment from the a priori
19 | emissions for the Middle East (32 – 65 °E, 12 – 40 °N) during the ten-year period.
20 | Strong positive trends are found over major cities, such as Kuwait ($+47$ $\%$ /decade), Cairo
21 | ($+29$ $\%$ /decade), and Tehran ($+37$ $\%$ /decade). In contrast, the trend in the estimated emission
22 | over Dubai is negative (-6 $\%$ /decade). The rate of increase becomes larger after 2010 for
23 | many areas (Fig. 411), as found in observed NO_2 levels (Lelieveld et al., 2015).
24 | Lelieveld et al. (2015) suggested that a combination of air quality control and political factors
25 | has drastically altered the emission landscape of NO_x in the Middle East.

26
27 | Over the oceans, the data assimilation decreases the ten-year mean global total emissions
28 | from ships. In contrast, at the regional scale, data assimilation increments are positive over the
29 | oceans around Europe (Fig. 412), and a positive trend during 2005–2010 is introduced by
30 | data assimilation (Fig. 411, note that the estimated positive trend is more pronounced during
31 | 2005–2008, as commonly found by Boersma et al. (2015)). The overall negative increment as
32 | well as the positive increment around Europe may indicate an overestimate and an

1 underestimation around Europe of ship emissions in the a priori inventories and errors in
2 modelled chemical processes in the exhaust plumes (Vinken et al., 2011), which occur at fine
3 scales relative to the model grid. The overall negative increment can also be influenced by
4 possible negative bias in NO_2 retrievals. Boersma et al. (2008a) showed negative
5 bias over the ocean in NO_2 retrievals in version-1 DOMINO NO_2
6 retrievals, and the negative bias could not be fully removed in the version-2 DOMINO
7 NO_2 retrievals (Boersma et al., 2011a).

8 9 `\section{Discussion}`

10 11 `\subsection{Importance of assimilating multiple trace gases}`

12
13 The differences between our NO_x emissions estimates and previous studies, as
14 discussed in Section 4, may be attributed to differences in the assimilated data, forecast model,
15 and data assimilation approach. In particular, the use of non- NO_2 measurements is
16 expected to improve emission estimates in our approach, as these affect the NO_x
17 chemistry and reduce model errors unrelated to surface emissions. Table 3-5 compares the
18 estimated emissions between the ~~multiple—multiple-species~~ data assimilation and a
19 NO_2 -only data assimilation ~~for 2008 and 2010~~. The estimated emissions differ in
20 many regions if non- NO_2 data assimilation is considered because the ratio of
21 predicted NO_x emission and NO_2 column has been adjusted by non-
22 NO_2 observations. ~~T-For instance, the estimated annual total regional emissions for~~
23 ~~central Africa for 2008 and 2010 is 8 % lower in the NO_2 -only assimilation than in~~
24 ~~the multiple-species assimilation. The assimilation of non- NO_2 measurements also~~
25 ~~influences the seasonal variability, he assimilation of non- NO_2 measurements leads~~
26 ~~to changes of up to about 70 % in the regional monthly-mean emissions. The estimated ten-~~
27 ~~year total regional emissions for South America and Australia are about 10 % lower in the~~
28 ~~multiple-species assimilation than in the NO_2 -only assimilation. The RMSE~~
29 ~~between the two estimates for the monthly total regional emissions is 15.5 % for central~~
30 ~~Africa, 16.5 % for Australia, and about 5--8 % for major polluted regions during the ten-year~~
31 ~~period. which leads to changes of~~ The estimated monthly mean emissions are mostly smaller

1 in the multiple-species assimilation than in the NO_2 -only assimilation, especially
2 over the tropical and southern subtropical regions such as South America, central Africa, and
3 Australia, suggesting that NO_2 -only data assimilation tends to overcorrect the
4 emissions from the a priori. The monthly total global emissions decrease by up to 6 TgN (in
5 boreal summer) if non- NO_2 data assimilation is considered. ~~-13 to +30 % in the~~
6 regional monthly mean emissions (not shown). The ten-year linear year-to-year
7 variability trend is also largely different over most industrial areas (Table 34). For instance,
8 the positive trend for India is 34.3 %/decade in the NO_2 -only assimilation, which is
9 difference from 2008 to 2010 for the United States is $-0.34 \text{ Tg N yr}^{-1}$ in the
10 multiple-species assimilation, which is much smallerlarger than the -29.2 %/decade in the
11 multiple-species assimilation. than $-1.00 \text{ Tg N yr}^{-1}$ in the NO_2 -only
12 assimilation and is closer to $-0.51 \text{ Tg N yr}^{-1}$ in EDGAR-HTAP v2. For the United
13 States, the negative trend is larger in the multiple-species assimilation (-29.4 %/decade) than
14 in the NO_2 -only assimilation (-23.9 %/decade). These results confirm that the
15 assimilation of measurements for species other than NO_2 provides additional
16 constraints on the NO_x emissions over both anthropogenic and biomass burning
17 regions, and further suggests that NO_2 -only data assimilation tends to overcorrect
18 the emissions in some regions.

19
20 The improved representation of NO_x emissions is confirmed by the better
21 agreement of simulated O_3 concentrations with independent ozonesonde
22 observations using NO_x emissions from ~~multiple-multiple~~ multiple-species assimilation than
23 those using NO_x emissions from NO_2 -only data assimilation, which was
24 also demonstrated by Miyazaki and Eskes (2013). After 2010, TES O_3 retrievals
25 were not assimilated because of the lack of standard observations. Even so, the optimized
26 surface NO_x emissions from the ~~multiple-multiple~~ multiple-species assimilation improved
27 agreements with TES O_3 ver. 6 special observations during 2011--2014 for most
28 locations (Table S1). These results indicate that ~~multiple-multiple~~ multiple-species measurements
29 provide important information for improving surface NO_x source estimations and
30 improve the chemical consistency including the relation between concentrations and the
31 estimated emissions. Note that the emissions of O_3 precursors other than
32 NO_x , such as VOCs , and various model processes in atmospheric transport

1 and chemistry influences the model performance. The optimization of additional precursors
2 emissions and the improvement of the forecast model could be important for improving
3 O_3 simulations, as discussed in our previous studies (Miyazaki et al., 2012b; 2015).

4
5 LNO_x sources are important for a realistic representation of tropospheric
6 NO_2 columns, which are optimized from data assimilation in our framework. Using
7 the ~~multiple-multiple~~-species data assimilation, the ten-year mean global LNO_x
8 source amount was estimated at 5.8 Tg N yr^{-1} , in contrast to 5.3
9 Tg N yr^{-1} estimated from the model simulation and 6.3 Tg N yr^{-1}
10 Tg N yr^{-1} in our previous data assimilation estimate (Miyazaki et al., 2014). The
11 data assimilation increments for LNO_x sources are large in the upper troposphere in
12 the NH and the TR, in which non- NO_2 measurements with different vertical
13 sensitivities provided important constraints. Through its influence on simulated tropospheric
14 NO_2 columns, for instance, the inclusion of the LNO_x source optimisation
15 altered the surface NO_x emission estimates over eastern China by up to 12% in
16 summer. Moreover, surface CO emissions increased by 10% in the NH by the assimilation
17 of MOPITT CO measurements in our system. Both optimised ~~chem~~ LNO_x sources and
18 CO emissions reveal enhanced seasonal and interannual variations over many regions after
19 data assimilation, providing important constraints on long-term estimates of surface
20 NO_x emissions, through their influence on OH and thus the NO_x chemical
21 lifetime.

22
23 Figure ~~12-13~~ shows changes in OH concentrations (ΔOH) in the lower
24 troposphere in the boreal summer (averaged over June--August) due to data assimilation. The
25 ~~multiple-multiple~~-species assimilation changes the global OH distribution, increasing OH
26 over most land areas. As summarised in Table ~~56~~, the regional impact is large (greater than
27 +20%) in tropical regions such as over the Middle East, Southeast Asia, and Central and
28 North Africa, and over industrial areas (greater than +10%) such as over China, the United
29 States, and India. These changes in OH concentrations can be partly introduced by changes in
30 NO_x emissions, but were largely influenced by the assimilation of non-
31 NO_2 measurements, which was confirmed using observing system experiments
32 (OSEs). In contrast to the increased surface CO emissions in the NH, the ten-year mean

1 regional total CO emissions are reduced by 12% in the tropics, leading to an increase of OH
2 concentrations in the tropics. The assimilation of TES O_3 retrievals also
3 significantly changes OH concentrations, which results in a significant increase in OH
4 concentration in the extratropics by up to 15 % in the NH extratropics in summer, as
5 demonstrated by Miyazaki et al. (2012b). Note that the ten-year mean NH/SH OH ratio is
6 estimated at 1.19 in the data assimilation run, in contrast to 1.27 in the MIROC model
7 simulation, which is closer to 0.97 ± 0.12 estimated based with the help of methyl
8 chloroform observations (a proxy for OH concentrations) by Patra et al. (2014).

9
10 To elucidate the changes in the NO_x chemical lifetime, Table 5–6 compares the
11 lower tropospheric OH concentration and the ratio of the regional mean surface
12 NO_x emissions and lower tropospheric NO_2 concentrations (averaged
13 from the surface to 790 hPa) between the ~~multiple-multiple-species~~ data assimilation and the
14 ~~NO_2 -only assimilation runs~~ model simulation (ΔNO_x -
15 NO_2) in the boreal summer. It was confirmed that both the concentration
16 assimilation (mainly TES O_3 and MOPITT CO measurements) and the changes in
17 surface NO_x emissions lead to an increase in the OH concentration in the
18 lower troposphere. Meanwhile, ~~The inverse lifetime of NO_x is expected to be~~
19 ~~proportional to the ratio of NO_x to NO_2 .~~ The increased ratio of
20 NO_x to NO_2 (i.e. ~~decreased-increased~~ fraction of NO_2) in the
21 ~~multiple-multiple-species~~ assimilation compared to the ~~NO_2 -only assimilation~~
22 model simulation indicates that the $\text{HO}_2 + \text{NO}$ reaction, which is the source
23 of OH , is enhanced in the multiple-species assimilation. ~~NO_x chemical~~
24 ~~lifetime is decreased because of the assimilation of non- NO_2 measurements over~~
25 ~~most industrial and biomass burning areas, which can be associated with the increased OH~~
26 ~~concentrations by the assimilation of non- NO_2 species.~~ These results suggest that
27 NO_x chemical lifetime is decreased because of increased OH
28 concentrations (through the $\text{NO}_2 + \text{OH}$ reaction, which acts as the main sink
29 of NO_x) in the multiple-species data assimilation over most industrial and biomass
30 burning areas, and demonstrate the utility of the ~~multiple-multiple-species~~ assimilation to
31 constrain the tropospheric chemistry (i.e. chemical regime) controlling NO_x
32 variations and to improve surface NO_x emission inversions.

Impact of assimilating NO_2 observations from multiple instruments

Unlike most previous studies that used NO_2 retrievals from a single sensor, we assimilated multiple NO_2 measurements to constrain surface NO_x emissions. When assimilating OMI retrievals only, the larger discrepancies with respect to the SCIAMACHY and GOME-2 retrievals for some regions may be attributed to errors in the simulated diurnal NO_2 variations, since both emission factors and tropospheric concentrations of NO_x are constrained only in the early afternoon in this case. When assimilating multiple NO_2 measurements, the application of the correction factor (E_{tc}) for the emission diurnal variability function (E_{t}) modified the shape of the diurnal emission variability (Fig. 1), which improved the agreement with multiple NO_2 retrievals in both the morning and afternoon for many cases. The global RMSE for monthly mean tropospheric NO_2 column is reduced by 8 % compared to the OMI retrievals and by 13 % compared to the SCIAMACHY in January 2005 by assimilating multiple NO_2 measurements with applying E_{tc} , compared to the case with the OMI retrievals only. The estimated monthly regional emissions ~~from~~ constrained by the three retrievals decreased by 18 % over Europe and by 9 % over Australia in January 2005 compared to those from the OMI retrievals only.

As shown in Fig. ~~13-14~~ and Table ~~67~~, the estimated E_{tc} is negative for most industrial regions such as Europe and North America, and over biomass burning areas, such as southeast Asia. The large adjustments ($E_{\text{tc}} = -0.3$ to -0.4 , for which the daily mean hourly emission value is 1) for the industrialized areas suggest that a positive adjustment to the assumed diurnal emission variability is required between 7:30--10:30 (and then a negative adjustment for emissions between 10:30--13:30), probably due to larger underestimations of emissions (e.g., morning traffic rush). These results also suggest a larger negative bias in simulated tropospheric NO_2 column in the morning, associated with errors in the chemical lifetime and atmospheric transports (e.g., boundary layer development) and also associated with biases between the different NO_2 retrievals. Thus, the model errors could

1 | artificially affect the diurnal emission variability. -The optimized NO_2 for biomass-burning
2 | and soil emission dominant regions are mostly slightly negative, which may suggest that the
3 | applied diurnal emission variability with an afternoon maximum (see Section 2.1) was
4 | misleading for some regions. whereas-In contrast, they are positive for most of the ocean.
5 | These results suggest the need to not only correct diurnal NO_2 variations, but also
6 | account for the differences in the sampling and bias between OMI and other instruments as
7 | well as the influences of model errors. Future geostationary satellite missions such as
8 | Sentinel-4, GEMS, and TEMPO will be able to provide dramatically more systematic
9 | constraints on diurnal emission variability and observational information.-

12 | \subsection{Possible error sources}

14 | Biases in satellite retrievals and modeling affect the magnitude of estimated emissions.
15 | Miyazaki et al. (2012a) demonstrated that possible biases (up to 40 %) in the NO_2
16 | retrieval alter regional NO_x emissions by 5--45 %. The emission estimates may
17 | also be sensitive to measurement biases for species other than NO_2 . For example, a
18 | bias correction for the positive bias in the TES O_3 profiles altered monthly
19 | NO_x emissions by 1--11 % at the regional scale (Miyazaki and Eskes, 2013).
20 | Discontinuities in the assimilated measurements (e.g., lack of most TES retrievals after 2010,
21 | and-OMI row anomaly since January 2009, and the limited data coverage of SCIAMACHY
22 | (before February 2012) and GOME-2 (after January 2007)) may also affect long-term
23 | emission estimates.

25 | Estimated emissions are sensitive to the choice of forecast model and its resolution. Our
26 | analysis using a different forecast model (CHASER versus MIROC-Chem) showed up to 20
27 | % difference in monthly NO_x emissions at the regional scale. Meanwhile, negative
28 | biases remain in tropospheric NO_2 columns over industrial regions, such as China,
29 | Europe, the United States, and Southern Africa, using either model after-and data assimilation.
30 | The inadequacies of the improvements in simulated tropospheric NO_2 columns
31 | could be related to model biases in the NO_x chemical lifetime (e.g., Stavarakou et

1 al., 2013) and may also be partly attributed to the small number of observations and large
2 observation errors for highly polluted cases (Fig. S1). Over polluted areas, observation errors
3 increase almost linearly with the retrieved concentrations for most cases, and large
4 observation errors may lead to the insufficient improvements by data assimilation for highly
5 polluted cases. The remaining error may indicate a possible bias in the estimated emissions.

6
7 For example, over Europe, the increased wintertime negative bias against OMI retrievals (in
8 contrast to the reduced bias against SCIAMACHY retrievals) in 2009 and 2010 could also be
9 associated with difficulties in correcting the diurnal emission variation. For that time period
10 over northern Europe, the number of OMI observations used for data assimilation is greatly
11 reduced and observation errors are significantly increased, whereas those of SCIAMACHY
12 vary differently (Fig. S1). More observational data (e.g., from ground-based measurements)
13 may be required to further constrain surface NO_x emissions for cloudy and snow-
14 covered conditions and for high latitudes. Meanwhile, the diurnal variability correction
15 scheme may need to be refined to further improve the agreement with various overpass time
16 measurements.

17
18 Meanwhile, coarse resolution models are known to have negative biases in NO_2
19 over large sources (Valin et al., 2011). The emissions estimated at the T42 resolution in this
20 study could potentially be overestimated over polluted areas, whereas the contrast between
21 rural and urban areas could be underestimated. A high-resolution forecast model is important
22 to accurately simulate nonlinear effects in NO_2 loss rate, while also providing
23 insights into individual emission sources, such as power plants (e.g., de Foy et al., 2015).

24
25 Although the assimilation of multiple-species data influences the representation of the entire
26 chemical system (Miyazaki et al., 2012b, 2015), the influence of model and observation errors
27 remains a concern. In the multiple-species data assimilation, model performance is critical for
28 the correct propagation of observational information between chemical species and to
29 improve the emission estimation, whereas biases in any of the measurement data sets
30 (including non- NO_2 measurements) may seriously degrade the emission estimation
31 (Miyazaki et al., 2013). Improvements in the model, data assimilation scheme, and retrieved

1 [observations are essential to reduce the uncertainty on the emission estimates from the](#)
2 [multiple-species data assimilation.](#)

3
4 \subsection{Trends in \chem{NO_2} concentrations and \chem{NO_x} emissions}

5
6 We emphasize that the observed concentration variations do not necessarily correlate linearly
7 with surface emissions, as similarly investigated by other inversion studies (e.g., Lamsal et al.,
8 2011; Castellanos et al., 2012; Turner et al., 2012; Vinken et al., 2014). As summarised in
9 Table 4, linear trends are significantly different between the observed concentrations and
10 estimated emissions. The positive trend is larger in the observed \chem{NO_2} concentration
11 (+39.6 \%/decade) than in the emission estimates (+26.0 \%/decade) for China, whereas the
12 negative trend is larger in the emission estimates (-29.4 \%/decade) than in the observed
13 \chem{NO_2} concentration (-6.3 \%/decade) for the United States. The relation between
14 observed \chem{NO_2} concentration and estimated \chem{NO_x} emissions varies
15 seasonally, as similarly expressed by Zhang et al. (2007), and the differences can be much
16 larger at the grid scale. The results indicate that an accurate estimation of the long-term
17 emission trends requires an emission-concentration relationship that explicitly accounts for
18 tropospheric chemistry and non-\chem{NO_2} concentrations afforded by— advanced data
19 assimilation techniques (e.f., see Section 5.1).— [These year-to-year variations in the observed](#)
20 [\chem{NO_2} concentrations have previously been reported by Duncan et al. \(2016\) and](#)
21 [Krotkov et al. \(2016\).](#)

22
23 These results also suggest that the tropospheric chemical regime may have changed over the
24 ten-year period. For instance, over Europe, the linear trend is positive for the observed
25 \chem{NO_2} concentration (+13.6 \%/decade for all of Europe and +7.5 \%/decade for
26 western Europe [in OMI](#)) and is negative for the emission estimates (-0.1 \%/decade and -8.8
27 \%/decade, respectively). This suggests that \chem{NO_2} may have become longer-lived or
28 has become a larger fraction of \chem{NO_x} over Europe over the past decade. One possible
29 explanation is that a shift in \chem{NO_2}:\chem{NO_x} emission ratios related to the
30 increasing share of European diesel cars could have occurred— [Further efforts are required to](#)
31 [explain the long-term variations of the tropospheric chemical regime and its causal](#)

1 mechanisms. Note that the linear trend in the observed concentration is different between the
2 instruments over Europe (c.f., Fig. 3).

3
4 \conclusions

5
6 Global surface nitrogen oxides (NO_x) emissions are estimated for the ten-year
7 period between 2005--2014 from the assimilation of multiple satellite datasets: tropospheric
8 NO_2 columns from OMI, GOME-2, and SCIAMACHY; O_3 profiles from
9 TES; CO profiles from MOPITT; and O_3 and HNO_3 profiles
10 from MLS. The daily emission inversion is performed based on the ensemble Kalman filter
11 data assimilation, which simultaneously optimises chemical concentrations of various species
12 and emission sources of several precursors. Within the simultaneous emission and
13 concentration optimisation framework, the analysis increment directly produced via chemical
14 concentrations plays an important role in reducing model--observation mismatches arising
15 from model errors unrelated to emissions, which can be expected to improve emission
16 inversion. The assimilation of measurements for species other than NO_2 provides
17 additional constraints on the NO_x emissions over both anthropogenic and biomass
18 burning regions, leading to changes in the regional monthly-mean emissions of -13 to +30 %.
19 The impact of non- NO_2 measurements varied largely with season, year, and region.
20 In addition to daily emission factors, the diurnal emission variability function was optimised
21 using multiple NO_2 retrievals, obtained in the morning (SCIAMACHY and GOME-
22 2) and afternoon (OMI). The emission correction largely improved the agreement with
23 observed tropospheric NO_2 columns, at both the seasonal and interannual time
24 scales.

25
26 The ten-year mean global total surface NO_x emissions after data assimilation is 48.4
27 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$, which is 26 % higher than a priori emissions based on bottom-up
28 inventories. The optimised ten-year mean emissions are higher over most industrialised areas.
29 The data assimilation corrected the timing and strength of emissions from biomass burning,
30 such as over central Africa (the ten-year mean regional emission is 1.68 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$
31 in the a priori emissions and 2.57 $\text{Tg}\,\text{N}\,\text{yr}^{-1}$ in the a posteriori emissions), North

1 Africa ($2.07 \text{ Tg N yr}^{-1}$ v.s. $2.90 \text{ Tg N yr}^{-1}$), Southeast Asia (0.47
2 Tg N yr^{-1} v.s. $0.68 \text{ Tg N yr}^{-1}$), and South America (1.00
3 Tg N yr^{-1} v.s. $1.04 \text{ Tg N yr}^{-1}$), suggesting a large uncertainty in fire-
4 related emission factors in the emission inventories. At northern mid-latitudes and over
5 Australia, the emissions are largely enhanced during summer, suggesting an important
6 underestimation of soil sources in the a priori inventory. Using the emission ratio between
7 different categories in the a priori emission inventories, the global total soil NO_x
8 emission for the 2005--2014 period is estimated at $7.9 \text{ Tg N yr}^{-1} \text{ yr}^{-1}$,
9 which is much higher than the a priori estimate of $5.4 \text{ Tg N yr}^{-1} \text{ yr}^{-1}$. This
10 soil NO_x emission estimate may nevertheless be conservative, because the ratio
11 between the source categories is kept fixed in our approach.

12

13 The estimated regional total emissions show strong positive trends over India (+29
14 %/decade), China (+26 %/decade), and the Middle East (+20 %/decade), and negative
15 trends over the United States (-29.4 %/decade), Southern Africa (-8.2 %/decade), and
16 western Europe (-8.8 %/decade). At the grid scale, strong positive trends are found over large
17 cities in China (e.g., Wuhan (+42 %/decade), Chengdu (+56 %/decade), northwestern China
18 (+50--110 %/decade)), India (e.g., Kolkata (+47 %/decade), Raipur (+67 %/decade),
19 Madras (+40 %/decade)), the Middle East (e.g., Kuwait (+47 %/decade), Tehran (+37
20 %/decade)), and Brazil (Sao Paulo (+40 %/decade)), whereas large negative trends are found
21 in Europe (e.g., northern Spain (-45 %/decade), Po Valley (-52 %/decade)), the United
22 States (e.g., New York (-48 %/decade), Boston (-42 %/decade), Chicago (-52 %/decade),
23 Atlanta (-47 %/decade), Los Angeles (-46 %/decade)), and Japan (e.g., Tokyo (-48
24 %/decade), Osaka (-38 %/decade)). The yearly mean emissions for China reveal a large
25 positive trend from 2005 to 2011, subsequently decreasing through 2014. For the United
26 States and some parts of Europe, the negative trends are larger during 2005--2010 than 2011--
27 2014. These changes are more variable as a result of the global economic recession and
28 emission controls. Despite the large year-to-year variations over many regions, the global
29 total emission is almost constant between 2005 (47.9 Tg N) and 2014 (47.5 Tg N).

30

31 The estimated emissions have great potential to contribute to better understanding of
32 precursor variability influences on observed air quality (e.g., tropospheric O_3)

1 variations and associated climate impacts. The obtained emission data is also crucial to
2 evaluate bottom-up inventories. The consistent data set comprising emissions and
3 concentrations of various species, which were obtained from our simultaneous data
4 assimilation framework, provides comprehensive information on atmospheric environmental
5 variations, associated with both human and natural activity. Meanwhile, our results suggested
6 that more observational constraints would be required to improve the global emission
7 estimates. Observational information from future satellite missions such as TROPOMI and
8 sensors on board geostationary satellites (Sentinel-4, GEMS, and TEMPO) in conjunction
9 with exploitation of existing sounders, e.g., IASI and CrIS, can be expected to add constraints
10 on more detailed spatiotemporal variability in surface NO_x emissions and its impact
11 on air quality (Bowman, 2013).

12

13 `\begin{acknowledgements}`

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15 SCIAMACHY, GOME-2, and OMI sensors from www.temis.nl. We also acknowledge the
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21

22 Table 1: Comparisons of tropospheric NO_2 columns between data assimilation and
23 satellite retrievals: OMI for the period 2005--2014, SCIAMACHY for the period 2005--2011,
24 and GOME-2 for the period 2007--2014. Shown are the global spatial correlation (S-Corr),
25 the mean bias (BIAS: the data assimilation minus the satellite retrievals) and the root-mean-
26 square error (RMSE) in $10^{15} \text{ molec cm}^{-2}$. The model simulation results
27 (without data assimilation) are also shown in brackets.

28

29 Table 2: The monthly mean bias and temporal correlation of regional mean tropospheric
30 NO_2 columns: the data assimilation minus the satellite retrievals from OMI for the
31 period 2005--2014, SCIAMACHY for the period 2005--2011, and GOME-2 for the period

1 2007--2014 in $10^{15} \text{ mol cm}^{-2}$. The results of the model simulation
2 (without data assimilation) are also shown in brackets.

3
4 Table 3: The regional ten-year mean NO_x emissions (in Tg N yr^{-1})
5 obtained from the a priori emissions, a posteriori emissions, and the relative difference
6 between these two emissions (in %) for the period 2005-2014 (left columns). The results are
7 also shown for EDGAR-HTAP v2 emissions (as a reference) averaged over the years 2008
8 and 2010, the a posteriori emissions (the same results as in the left columns, but averaged
9 over the years 2008 and 2010), and the relative difference between these two estimates (in %)
10 (central columns), and for their difference from 2008 to 2010 (right columns). ~~The a posteriori~~
11 ~~emissions from assimilation of NO_2 measurements only for 2008 and 2010 are~~
12 ~~shown in brackets.~~ The results are also shown for the Northern Hemisphere (NH, 20--
13 90°N), the tropics (TR, 20°S--20°N), the Southern Hemisphere (SH, 90-
14 -20°S), and the globe (GL, 90°S--90°N).

15
16 Table 44: Linear trend (in % per decade) of the regional a posteriori NO_x emissions
17 from the multiple-species assimilation (left column) and NO_2 -only assimilation
18 (central column), and of the regional mean tropospheric NO_2 columns from OMI
19 (right column) for the period 2005-2014.

20
21 Table 5: Difference between the a posteriori emissions from the multiple-species assimilation
22 and NO_2 -only assimilation. Relative difference for the regional ten-year mean
23 emissions (left column), RMSE for the monthly regional emissions (central column), and
24 range of relative difference for the monthly regional emissions (right column) are shown.

25
26
27 Table 56: Regional and ten-year mean difference in lower tropospheric OH concentration
28 averaged below 790 hPa (ΔOH) and the ratio of surface NO_x
29 emission and lower tropospheric NO_2 concentration averaged below 790 hPa

1 ($\Delta \text{NO}_x\text{-emi}/\text{NO}_2$) between the data assimilation run and the model
2 simulation in the boreal summer (averaged over June--August) over the 2005--2014 period.

3
4 Table 67: Regional and ten-year mean correction factor for the emission diurnal variability
5 (E_{tc}) for 2005--2014.

6
7 Figure 1: Schematic diagram of the correction scheme for the emission diurnal variation for a
8 case with $E_{tc}=-0.3$. The black dotted time represents the a priori emission diurnal
9 variability function (E_{t}) for anthropogenic emissions. The black solid line represents the a
10 posteriori emission variation after applying the daily emission scaling factor ($E_{t} \times E_{s}$).
11 The blue line represents the correction factor for the emission diurnal variability (E_{tc}). The
12 red line represents the a posteriori emission variation after applying the daily emission scaling
13 factor and the correction factor for the emission diurnal variability ($E_{t} \times E_{s} - E_{tc}$ for
14 07:30--10:30, and $E_{t} \times E_{s} + E_{tc}$ for 10:30--13:30).

15
16 Figure 2: Global distributions of the tropospheric NO_2 columns (in
17 $10^{15} \text{ molec cm}^{-2}$). The results are shown for OMI (left columns, sampling
18 time $\approx 13:00$ hrs) for 2005--2014, SCIAMACHY (middle columns, 10:00 hrs) for
19 2005--2011, and GOME-2 (right columns, 09:30 hrs) for 2007--2014. Upper rows show the
20 tropospheric NO_2 columns obtained from the satellite retrievals (OBS); centre
21 ~~shows the difference between rows from~~ the model simulation and the satellite retrievals
22 (Model-OBS); and lower rows ~~from show the difference between~~ the data assimilation and
23 the satellite retrievals (Assim-OBS).

24
25 Figure 33: Time series of regional monthly mean tropospheric NO_2 columns (in
26 $10^{15} \text{ molec cm}^{-2}$) averaged over China (110--123 $^{\circ}$ E, 30--
27 40 $^{\circ}$ N), Europe (10 $^{\circ}$ W--30 $^{\circ}$ E, 35--60 $^{\circ}$ N), the United States (70--
28 125 $^{\circ}$ W, 28--50 $^{\circ}$ N), South America (50--70 $^{\circ}$ W, 20 $^{\circ}$ S--Equator),
29 North Africa (20 $^{\circ}$ W--40 $^{\circ}$ E, Equator--20 $^{\circ}$ N), Central Africa (10--
30 40 $^{\circ}$ E, Equator--20 $^{\circ}$ S), Southern Africa (25--34 $^{\circ}$ E, 22--31 $^{\circ}$ S),
31 Southeast Asia (96--105 $^{\circ}$ E, 10--20 $^{\circ}$ N), Australia (113--155 $^{\circ}$ E, 11--

1 44 $\text{\textcircled{S}}$), and India (68--89 $\text{\textcircled{E}}$, 8--33 $\text{\textcircled{N}}$) obtained from the satellite
2 retrievals (black), model simulation (blue), and the data assimilation (red). The model
3 simulation and data assimilation results were obtained at the local overpass time of the
4 retrievals with applying the averaging kernel.

5
6 Figure 4: (Left panel) Mean vertical $\text{\chem{NO_2}}$ profiles obtained during the ARCTAS
7 campaign in June--July 2009. The black represents the observation; the blue line represents
8 the model simulation; the red line represents the data assimilation. The error bars represent
9 the standard deviation. (Right six panels) Scatter plots of $\text{\chem{NO_2}}$ concentrations for
10 the data assimilation (top) and the model simulation (bottom) during the DANDELIONS
11 campaign (in $\mu\text{g m}^{-3}$) in September 2006 (second left columns) and during the
12 INTEX-B campaign (in $\text{\unit{pptv}}$) on March 9, 2006 (third left columns) and March 11,
13 2006 (right columns). The straight lines represent linear regression lines for each level. Each
14 line represents a linear fit to the points of the same colour, and the colours represent the
15 altitude (or pressure) level.

16
17 Figure 54: Global distributions of surface $\text{\chem{NO_x}}$ emissions (in $10^{-13}\text{\unit{kg}}$
18 $\text{m}^{-2}\text{ s}^{-1}$) averaged over 2005--2014. The a priori emissions (top), a posteriori
19 emissions from the data assimilation run (middle), and analysis increment (bottom) are shown.

20
21 Figure 65: Global distribution of linear trend of the a posteriori surface $\text{\chem{NO_x}}$
22 emissions (in $10^{-13}\text{\unit{kg m}^{-2}\text{ s}^{-1}}$ per decade) for the period 2005--2014.
23 The red (blue) colour indicates positive (negative) trends.

24
25 Figure 76: Time series of monthly total regional surface $\text{\chem{NO_x}}$ emissions (in
26 $\text{\unit{Tg,N,yr}^{-1}}$) obtained from the a priori emissions (black lines) and the a posteriori
27 emissions (red lines) for the period 2005--2014. The results are also shown for EDGAR-
28 HTAP v2 emissions (blue-green lines) for the years 2008 and 2010.

29

1 | Figure [87](#): Seasonal variations of the regional surface NO_x emissions (in
2 | Tg N yr^{-1}) obtained from the a priori emissions (black line) and the a posteriori
3 | emissions (red line) averaged over the period 2005--2014. The results are also shown for the a
4 | posteriori emissions for individual years during 2005--2014 (yellow lines).

6 | Figure [98](#): Time series of the difference (in %) of the annual mean a posteriori surface
7 | NO_x emissions relative to the 2005 emissions in the period 2005--2014 for India
8 | (yellow), China (blue), Europe (light blue), western Europe (light blue dashed line), Southern
9 | Africa (red), and the United States (green).

11 | Figure [109](#): The regional distribution of ten-year mean surface NO_x emissions (in
12 | $10^{-13} \text{ kg m}^{-2} \text{ s}^{-1}$) over East Asia (upper panels), Europe (upper middle
13 | panels), the United States (lower middle panels), and Southeast Asia (lower panels) obtained
14 | from the a posteriori emissions in the period 2005--2014 (left panels), and the difference
15 | between the a posteriori emissions and a priori emissions in the period 2005-2014 (centre
16 | panels), and between the a posteriori emissions and EDGAR-HTAP v2 emissions for the
17 | years 2008 and 2010 (right panels). The black square line represents the region used for the
18 | regional mean analysis.

20 | Figure 11: Global distribution of linear trend of the a posteriori surface NO_x
21 | emissions for the period 2005--2010 (left) and 2011--2014 (right). The red (blue) colour
22 | indicates positive (negative) trends.

24 | Figure [120](#): The regional distribution of the linear trend in surface NO_x emissions
25 | (in $10^{-13} \text{ kg m}^{-2} \text{ s}^{-1}$) per decade during 2005--2014 over East Asia (upper
26 | left), Europe (upper right), the United States (bottom left), and Southeast Asia (bottom right),
27 | obtained from the a posteriori emissions. The black square line represents the region used for
28 | the regional mean analysis.

1 | ~~Figure 11: Global distribution of linear trend of the a posteriori surface NO_x~~
2 | ~~emissions for the period 2005–2010 (left) and 2011–2014 (right). The red (blue) colour~~
3 | ~~indicates positive (negative) trends.~~

4

5 | Figure 132: Global distribution of the ten-year mean OH concentration (in
6 | $10^6 \text{ molecules cm}^{-3}$) in the data assimilation run (top) and its difference
7 | between the data assimilation run and the model simulation (bottom) averaged over June, July,
8 | and August over the 2005--2014 period at 850 hPa.

9

10 | Figure 143: Global distribution of the annual mean correction factor for the emission diurnal
11 | variability (Etc) for the period 2005--2014.

12