

1 **Author Response to Reviewer #1**

2 We thank the reviewer for their positive comments regarding our study and the manuscript in
3 general, as well as their suggestions for improving our work. Please see our detailed replies
4 below.

5
6 *Discussion of all the sensitivity analyses tends to run on a bit to excess. The manuscript could*
7 *be more effective and concise if some secondary material were moved to a supplement. The*
8 *“threshold stomatal control” section is one example. Just prior to this, you state that for both*
9 *methanol and acetaldehyde, stomatal control is not needed to explain the canopy-scale*
10 *observations. This seems to be one of the main take-home messages and is a useful finding.*
11 *But once that point is established, it doesn't seem we learn anything substantially beyond that*
12 *from the section looking at the subtleties of threshold effects. i.e. the take-home from Sections*
13 *3.5 and 3.6 seems unchanged from what we had in 3.4; the bulk of this could be moved to SI*
14 *with a brief summary in the main text.*

15
16 We thank the reviewer for this suggestion. We have substantially shortened Section 3.5 and
17 3.6 to reduce repetition and maintain focus on the take-home messages. We have chosen not
18 to move any material to a supplement as we hope that the edited section addresses the
19 reviewer's concerns. We would agree with the reviewer that this has very much improved the
20 flow of the narrative.

21
22 *7L3-6, “While we acknowledge that the magnitudes of the recorded night-time fluxes during*
23 *summer 2012 may have large associated errors, we are confident in the direction of the*
24 *exchange as we see variation between different species suggesting no systematic bias.”*
25 *Unclear what this means. Please clarify.*

26
27 At all times of day, there is variation in flux direction between different species, i.e. they are
28 not all positive or all negative giving us confidence that there is no systematic bias in the
29 Eddy Covariance calculations. Rather the direction of flux is genuinely recording the
30 direction of gradient in concentration across the top of the canopy and is not an artefact of the
31 instrument or methodology. We have re-worded this sentence to explain this more clearly:
32 “While we acknowledge that the magnitudes of the night-time fluxes recorded during summer
33 2012 may have large associated errors, we are confident that the direction of the exchange is
34 well captured as the observed fluxes for different species were not correlated, suggesting no
35 systematic bias in the application of eddy covariance at this site.”

36
37 *7L30-31, “although its reactions are limited to oxidation by OH to produce formaldehyde”.*
38 *That's the only relevant chemical sink in any case. Perhaps change to “its source/sink*
39 *reactions...” to clarify that you are not including any chemical sources of methanol (e.g.*
40 *peroxy radical reactions) ... I concur that these would not be important in this context.*

41
42 We have changed the wording of this sentence to: “The CACM chemistry mechanism in
43 FORCAsT treats methanol explicitly with no chemical sources (e.g., production from peroxy
44 radicals) and a sink via oxidation by OH to produce formaldehyde.”

45
46 *“FORCAsT includes a physical representation of a forest canopy, with the lowest eight model*
47 *levels set as trunk space and the next ten as crown space. The ten crown space levels contain*
48 *the foliage”. So this neglects any shrubs etc. near the ground, is this an ok assumption for*
49 *Harvard Forest?*

1
2 There is very little understory vegetation in the vicinity of the EMS Tower at Harvard Forest.
3 Please see attached photos (supplementary zip file) showing the trunk space around the shed
4 at the base of the tower and in the immediate vicinity. In addition, Parker (1998) measured the
5 vertical distribution of the foliage in the canopy and recorded that the understory, i.e. below 6
6 m (the trunk space height in FORCAST), accounted for only ~7.5% of total LAI and received
7 only <10% of top of canopy PAR. Applying allometric relations to a tree inventory taken of
8 the tower footprint in 2010 suggests the understory contains a maximum of ~8% of the total
9 biomass.

10
11 *10L26-28, "rs" is capitalized in Eqn. 3 but not on line 26*

12
13 Thank you for catching that; we have removed the capitalisation in the equation.

14
15 *Please be more explicit about what assumptions are embedded in the lack of treatment of*
16 *advection for methanol and acetaldehyde. Both are both sufficiently long-lived that in reality*
17 *there is a substantial advective component.*

18
19 During July 2012, >60% of air masses arriving at the Harvard Forest site came from north,
20 northwest or west. Lee et al. (2006) showed that concentrations of anthropogenic VOCs were
21 consistently below average under such flow conditions. Furthermore, VOCs advected to the
22 site have been transported over long distances and are generally well-mixed vertically,
23 increasing concentration at all heights and therefore having little impact on the concentration
24 gradient. Over the timescale of our model simulations, the rapid fluctuations due to in-situ
25 production and loss can be expected to dominate over the longer-term, slower changes in
26 advected pollutant concentrations.

27 We conducted a sensitivity test in which acetaldehyde, methanol and acetone were advected
28 at a constant rate just above the top of the canopy and found this slightly dampened the diel
29 cycle of modelled fluxes and concentrations. While some of the observed methanol and
30 acetaldehyde is likely transported to the site from more polluted areas, this result suggests that
31 during the time period of the study the advective contribution is sufficiently minor in
32 comparison to in-situ production. We have added a statement to this effect to the manuscript:
33 "Lee et al. (2006) also reported that air masses reaching the Harvard Forest site from the
34 north, northwest and west had consistently low levels of anthropogenic VOCs. Such
35 conditions prevailed >60% of July 2012 and we found that including advection as an
36 additional source of methanol and acetaldehyde did not improve model fit (results not
37 shown)."

38
39 *13L12-14. Note that acetaldehyde only accounts for 25-40% of the bidirectional VOC flux in*
40 *the Guenther 2012 scheme, depending on PFT*

41
42 While we used the emission factors developed for MEGANv2.1 (Guenther et al., 2012) as a
43 basis for the factors used here, we adjusted them to optimise the fit between modelled and
44 observed concentrations and fluxes for each simulation. In so doing, acetaldehyde emission
45 factors were ~20-30% of the bidirectional VOC flux in the Guenther et al. (2012) scheme.

46
47 It would certainly be of interest to investigate bidirectional fluxes of other species lumped into
48 this group in the Guenther et al. (2012) scheme in the future. However, fluxes of other

1 compounds were found to be at or below instrument detection limits at Harvard Forest in
2 2012.

3
4 *“The emission factors were then modified to best reconcile modelled and observed*
5 *concentrations and fluxes at 29 m whilst ensuring that total canopy emissions for all*
6 *simulations were within ~10%.” Unclear what this means, please be more specific.*

7
8 While our baseline emission factors were based on those suggested in Guenther et al., 2012
9 we constrained the emissions such that there was no more than 10% difference between the
10 total emissions of each species included in each of the simulations. We have re-worded this
11 sentence to read: “The emission factors were then scaled to reconcile modelled and observed
12 concentrations and fluxes at 29 m whilst conserving the total canopy emissions for each
13 species. Twenty-four hour aggregated emissions for each simulation were within ~10% of
14 each other.”

15
16 *13L25-27. “The greater the contribution from storage the higher the overnight and the lower*
17 *the daytime peak” Wording is a bit odd. A higher storage flux by itself doesn’t necessarily*
18 *decrease the daytime peak. E.g. if you kept the direct flux constant and increased the storage*
19 *flux, you’d still have a daytime peak (and it would occur at higher concentration). What*
20 *you’re saying occurs because you’ve constrained the 24-h integrated canopy emissions to be*
21 *the same between simulations. Right? Could say “... and the lower the diurnal amplitude”.*
22

23 Correct, the reduction in daytime peak is a result of adjusting the emission factors to maintain
24 similar total emissions between simulations. We have re-worded this sentence as suggested:
25 “The greater the contribution from storage the higher the overnight fluxes and the smaller the
26 diurnal amplitude....”

27
28 *14L21, where does the limiting nighttime value of 3000 come from?*

29 The limiting value is the model default value for nighttime stomatal resistance for calculating
30 deposition rates and includes cuticular resistance. Jarvis (1976) reports a maximum stomatal
31 resistance of 1000. We therefore introduced the scaling factor n , with an initial value of 3,
32 giving $3000/3$ (i.e. the Jarvis maximum) as a suitable starting point for our sensitivity tests
33 (see below).
34

35 *14L22, what does the scaling factor n physically represent?*

36 The scaling factor was introduced to scale the model night-time resistance which includes
37 cuticular resistance as outlined above to the value reported by Jarvis (1976). In combination
38 the two ($3000/n$) represent the linear dependence of emission rate on stomatal aperture. The
39 parameter values used in the stomatal conductance model (Jarvis, 1976) are species-specific.
40 We therefore conducted a series of sensitivity tests to assess the validity of our chosen starting
41 point. These tests indicated that the precise value of n used in this function did not alter our
42 conclusion that the introduction of explicit stomatal control is not necessary to adequately
43 model emissions and fluxes at the canopy scale.
44

45 We have clarified these parameter values by adding the following to the description of the
46 stomatal control algorithm in Section 2.3.3: “... 3000 is the model default limiting night-time
47 value of R_{stom} and n is a scaling factor. The night-time “stomatal” resistance in fact includes
48 the cuticular resistance and n was introduced to account for this. The value of n was initially
49 set to 3 for the S-storage and S-combo simulations, as Jarvis (1976) reported a limiting value

1 of 1000 although this was species-dependent. The effect of the choice of value of n is
2 explored in Section 3.5.”
3
4 *Figure 2 is hard to decipher. Font very small. Heights hard to read.*
5 We have increased the font size of the axes titles and scales. The new version is attached.
6
7 *Fig 3, perhaps show the corresponding model correlations that emerge from the simulations?*
8
9 We appreciate this suggestion, but decided against showing model correlations as our focus
10 was on explaining the observations and targeting the processes that the measurements
11 suggested were important in determining the direction of the fluxes at the top of the canopy.
12
13 *16L15-32. Figure 3 seems hard to interpret due to convolution between the independent*
14 *variables. For instance, you state “the data appear to show a strong linear correlation at low*
15 *conductance, suggesting that at small stomatal aperture the stomata exert control over fluxes*
16 *of methanol to the extent that it is observable at the canopy scale.” But couldn’t it equally*
17 *have nothing to do with stomatal conductance, and just arise from temperature/light affecting*
18 *both emissions and stomatal conductance simultaneously?*
19
20 Absolutely. We included Figure 3 to show the reasoning that led us to investigate whether
21 stomatal control was a factor in bi-directional exchange of methanol and acetaldehyde. We
22 agree that the processes are highly coupled and strongly dependent on the same
23 environmental drivers making it extremely difficult to disentangle confounding influences.
24 We have added the following caveat to the manuscript to convey that the relationship may not
25 be causal: “However, it is possible that this correlation instead reflects correlated responses of
26 emissions and stomatal aperture to increasing light and temperature.”
27
28 *16L21-22. “A similar relationship between canopy-top methanol fluxes and concentrations is*
29 *likely due to the influence of atmospheric concentrations on dry deposition to surfaces within*
30 *the canopy.” Similar comment. Temp/light would increase CH₃OH fluxes which would in*
31 *turn increase the concentrations. And wouldn’t your point about deposition work in the*
32 *opposite direction? At the lowest CH₃OH concentration deposition should be lowest so that*
33 *net emission is highest. But the data go in the opposite direction.*
34
35 Thank you, that is very true. The model also includes a chemical sink, for which the same
36 effect should be seen (i.e. the higher the concentration the higher the loss and the lower the
37 gradient between in- and above-canopy concentrations, thereby reducing the flux). The
38 positive correlation should therefore be ascribed to temperature/light effects increasing
39 emissions at a greater rate than they increase loss processes. We have therefore re-worded this
40 statement to read: “The positive relationship between canopy-top methanol fluxes and
41 concentrations at low concentration is likely due to the influence of increasing light and
42 temperature increasing production of methanol at a greater rate than the loss processes (dry
43 deposition to surfaces within the canopy and chemical loss). At higher concentrations,
44 methanol loss rates increase sufficiently to balance production.”
45
46 *“the level at which model and measurements are compared can also affect the measured-*
47 *modeled bias, an effect compounded by the instantaneous nature of the model output fluxes”.*
48 *But aren’t the model fluxes averaged over the same 30-min intervals as the data?*
49

1 In this sentence, we were trying to describe how small changes in the vertical height can
2 influence the comparison of measured-modeled fluxes. This statement has been revised to:
3 “The heterogeneity of concentrations, concentration gradients and fluxes of methanol and
4 acetaldehyde in time and space are evident from Fig. 5, demonstrating that the level at which
5 model and measurements are compared can also affect the measured-modeled bias.” This
6 model artifact is in fact more of an issue for the more reactive bVOCs (e.g., isoprene), which
7 have stronger concentration gradients. However, we feel it is still worth pointing out that there
8 is a discrepancy in the height of the “canopy-top” between the observations and the model
9 output.

10 *None of the simulations appear to capture the nighttime concentration decline for methanol.*
11 *Is this a mixing effect or does it point to some shortcoming in the model treatment of*
12 *deposition?*

13
14
15 It does not appear to be a mixing effect as we constrain the mixing across the canopy top
16 based on measurements. It is most likely due to the lack of an explicit treatment of wet
17 deposition or wash-out within the model. We intend to develop FORCAsT in the future to
18 include a representation of loss of methanol and other water-soluble compounds to wet
19 surfaces based on relative humidity within the canopy as observations suggest this may be an
20 important sink for such compounds.

21
22 *Perhaps I missed it, but if not please clarify how atmospheric mixed layer dynamics are*
23 *treated. Are those entirely prognostic within the model energy balance? How do we know*
24 *how well the model captures the ML depth and growth/collapse timing, since those would*
25 *clearly affect the diurnal concentration profiles that you interpret?*

26
27 The following statement has been added to the manuscript: “Vertical mixing is calculated
28 prognostically in the model following Blackadar (1979) and driven by top of canopy radiation
29 and wind speed. The within-canopy wind profile is calculated following Baldocchi (1988).
30 Turbulence and mixing in the canopy space is then modified according to Stroud et al. (2005)
31 with wind speed and eddy diffusivity constrained to observations at the top of the canopy. A
32 full description of the vertical mixing and its impact on concentration gradients is described in
33 Bryan et al. (2012).”

34
35 We agree that BL mixing and particularly ML growth and decline are notoriously difficult to
36 model and could account for the difficulties in reconciling observed fluxes and concentrations
37 around dawn and dusk. Unfortunately this is also a period of time when flux measurements
38 are subject to high levels of uncertainty making it hard to disentangle the various drivers. If
39 anything the chaotic dynamics of the dawn/dusk transition periods strengthen our assessment
40 that stomatal control is not significant at the canopy scale, as during these periods turbulence
41 is the dominant driver of the observed exchange.

42
43 *Please double-check references.*

44 Thank you for bringing that to our attention; we have checked and corrected the references.

45
46 **Reviewer #2**

47 We thank Reviewer #2 for their positive comments.

48
49 **References**

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22
23 There now follows a marked-up version of the manuscript showing the changes made in
24 response to the reviewers' comments.

1 **Modelling bi-directional fluxes of methanol and**
2 **acetaldehyde with the FORCAsT canopy exchange model**

3

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19 **Abstract**

20 The FORCAsT canopy exchange model was used to investigate the underlying mechanisms
21 governing foliage emissions of methanol and acetaldehyde, two short chain oxygenated volatile
22 organic compounds ubiquitous in the troposphere and known to have strong biogenic sources,
23 at a northern mid-latitude forest site. The explicit representation of the vegetation canopy within
24 the model allowed us to test the hypothesis that stomatal conductance regulates emissions of
25 these compounds to an extent that its influence is observable at the ecosystem-scale, a process
26 not currently considered in regional or global scale atmospheric chemistry models.

27 We found that FORCAsT could only reproduce the magnitude and diurnal profiles of methanol
28 and acetaldehyde fluxes measured at the top of the forest canopy at Harvard Forest if light-
29 dependent emissions were introduced to the model. With the inclusion of such emissions

1 FORCAsT was able to successfully simulate the observed bi-directional exchange of methanol
2 and acetaldehyde. Although we found evidence that stomatal conductance influences methanol
3 fluxes and concentrations at scales beyond the leaf-level, particularly at dawn and dusk, we
4 were able to adequately capture ecosystem exchange without the addition of stomatal control
5 to the standard parameterisations of foliage emissions, suggesting that ecosystem fluxes can be
6 well enough represented by the emissions models currently used.

7 **Key points:** Canopy exchange model used to probe mechanisms controlling fluxes of methanol
8 and acetaldehyde; The effects of stomatal control of leaf-level emissions of methanol and
9 acetaldehyde emissions are not evident at the ecosystem scale; Bi-directional exchange of
10 oxygenated volatile organic compounds can be simulated by models that explicitly and
11 holistically consider canopy processes

12 **1 Introduction**

13 The exchange of many oxygenated volatile organic compounds (oVOCs) from forest canopies
14 has recently been observed to be bi-directional, with periods of strongly positive (i.e. up out of
15 the canopy to the atmosphere above) and negative (i.e. downward) fluxes (Park et al., 2013; Karl
16 et al., 2005; McKinney et al., 2011). Several of these compounds, e.g. acetone, acetaldehyde,
17 and methanol, are present in the atmosphere in large quantities (Singh et al., 1995; Heikes et
18 al., 2002; Millet et al., 2010; Jacob et al., 2002). They are also chemically active, with acetone
19 and acetaldehyde leading to the formation of PAN (peroxyacetyl nitrate) and the transport of
20 reactive nitrogen to remote regions (Fischer et al., 2014), and methanol contributing
21 significantly to the production of ground-level ozone (Tie et al., 2003). These oVOCs have
22 potentially important implications for regional air quality and climate modelling and for
23 estimating global atmospheric burdens of many trace gases (e.g. Folberth et al., 2006; Fischer
24 et al., 2014). However, many regional and global atmospheric chemistry and transport models
25 (CTMs) do not explicitly include dynamic biogenic sources and sinks of oVOCs. While most
26 now incorporate on-line calculations of biogenic emissions of isoprene and monoterpenes,
27 based on the light and temperature-dependence algorithms developed by Guenther et al. (1995;
28 2006; 2012), methanol emissions have only been recently included in some CTMs (e.g. GEOS-
29 Chem; Millet et al., 2010; Laboratoire de Météorologie Dynamique zoom (LMDz): Folberth et
30 al., 2006) and most still rely on non-dynamic emissions inventories for methanol and
31 acetaldehyde if primary biogenic emissions of these species are included (e.g. UKCA:
32 O'Connor et al., 2014). Furthermore, Ganzeveld et al. (2008) demonstrated the weaknesses of

1 the algorithms currently used in 3-D chemistry transport models to calculate primary emissions
2 of methanol on-line. Similarly, dry deposition schemes in CTMs are usually based on fixed
3 deposition velocities (Wohlfahrt et al., 2015) or calculated from roughness lengths and leaf area
4 index values assigned to generic landcover types (e.g. FRSGC-UCI: Wild et al., 2007; LMDz:
5 Folberth et al., 2006). This simplistic approach to biogenic sources and sinks may be a critical
6 omission limiting their capability of accurately simulating atmospheric composition in many
7 world regions.

8 Here we focus on methanol and acetaldehyde, two oVOCs that are frequently observed in and
9 above forests but whose sources, sinks and net budgets are not known with any certainty (Seco
10 et al., 2007; Niinemets et al., 2004). While biogenic sources of both are strongly seasonal, fluxes
11 and concentrations can remain high throughout the growing season (Stavrakou et al., 2011;
12 Millet et al., 2011; Karl et al., 2003; Wohlfahrt et al., 2015). Methanol fluxes are on the same
13 order of magnitude as isoprene at many sites in the US (Fall and Benson, 1996), suggesting
14 their regional and global importance. The fundamental mechanisms leading to the synthesis
15 and/or subsequent release of methanol and acetaldehyde are not currently fully understood (Karl
16 et al., 2002; Seco et al., 2007).

17 Methanol is known to be produced from demethylation processes during cell wall expansion
18 and leaf growth with emissions peaking during springtime leaf growth and declining with leaf
19 age (Fall and Benson, 1996). The factors controlling its subsequent release to the atmosphere
20 are harder to decipher (Huve et al., 2007; Niinemets et al., 2004). Measurements at all scales
21 from leaf-level to branch enclosure and ground-based ecosystem-scale field measurements (e.g.
22 Kesselmeier et al., 2001; Karl et al., 2003; Seco et al., 2015; Wohlfahrt et al., 2015), as well as
23 satellite inversions (e.g. Stravakou et al., 2012) demonstrate a strong diurnal profile of methanol
24 fluxes similar to that of isoprene (e.g. Fall and Benson, 1996). Methanol synthesis, unlike that
25 of isoprene, is not specifically linked to photosynthesis and the light-dependence observed in
26 leaf-level emissions have been shown to result from regulation by the stomata due to the high
27 solubility of methanol in water (e.g. Nemecek-Marshall et al., 1995; Niinemets and Reichstein,
28 2003a,b; Huve et al., 2007).

29 The pathways leading to both the synthesis and emission of acetaldehyde are not clear (Karl et
30 al., 2002; Jardine et al., 2008). Acetaldehyde has long been known to be an oxidation product
31 of ethanol produced in leaves under anoxic conditions (Kreuzwieser et al., 2000) but this cannot
32 explain the strong emissions observed under normal environmental conditions at mid-latitude

1 forests (e.g. Seco et al, 2007; Karl et al., 2003). Karl et al. (2003) observed that bursts of
2 acetaldehyde were emitted during light-dark transitions and postulated that such emissions were
3 associated with pyruvate decarboxylation. Leaf-level measurements of acetaldehyde emissions
4 have also been found to be tightly coupled to stomatal aperture (e.g. Kreuzwieser et al., 2000;
5 Karl et al., 2002; Niinemets et al., 2004) and it has been suggested that this may account for
6 observed light-dependent ecosystem-scale emissions of acetaldehyde (Jardine et al., 2008).

7 Previous studies have suggested that the role of stomatal conductance in determining net flux
8 of oVOCs could be incorporated in large-scale models by adopting a compensation point
9 approach (see e.g. Harley et al., 2007; Ganzeveld et al., 2008; Jardine et al., 2008). The
10 compensation point for a given compound is the atmospheric concentration of that compound
11 at which the leaf, plant or canopy switches from acting as a net source to a net sink. While
12 firmly based in plant physiology and plant response to environmental conditions, this approach
13 would allow models lacking leaf-level processes to account for the changes in flux direction
14 (Harley et al., 2007; Ganzeveld et al., 2008). Observational (Jardine et al., 2008) and modelling
15 studies (Ganzeveld et al., 2008) have both shown the potential power of this approach, although
16 Jardine et al. (2008) found that the compensation point was heavily dependent on light and
17 temperature and may therefore not be straightforward to implement.

18 Here we use the FORCA_sT (FORest Canopy-Atmosphere Transfer) canopy-atmosphere
19 exchange model (Ashworth et al., 2015) to investigate the key processes driving fluxes of
20 methanol and acetaldehyde, and explore possible underlying causes of their bi-directional
21 exchange. The model represents all within-canopy processes: primary emissions, chemical and
22 photolysis reactions, turbulent mixing and deposition. A particular strength of the FORCA_sT
23 model is the inclusion of plant processes relevant to photosynthesis and respiration; stomatal
24 conductance is explicitly calculated by FORCA_sT. We therefore focus on exploring the role of
25 primary biogenic emissions of methanol and acetaldehyde on canopy-top fluxes. We assess the
26 effectiveness of different representations of bVOC emissions mechanisms in capturing
27 ecosystem-scale fluxes. For the first time in a canopy exchange model, we implement a
28 mechanism by which stomatal conductance explicitly regulates primary emissions in order to
29 assess its role in governing primary emissions and influencing ecosystem-scale bi-directional
30 exchange of these key oVOCs. We compare modelled fluxes using this mechanism with those
31 from traditional empirical algorithms for direct and storage emissions and with fluxes measured
32 just above the top of the canopy at Harvard Forest in July 2012.

1 2 Methods

2 2.1 Harvard Forest measurements

3 Harvard Forest is situated in a rural area of Massachusetts, approximately 90 km from Boston
4 and 130 km from Albany. It is classified as a mixed deciduous broadleaved forest, with red oak
5 (36%) and red maple (22%) as the dominant species ([Urbanski et al., 2007](#)). Continuous
6 measurements of micro-meteorological variables and air pollutants have been made from the
7 Environmental Monitoring Station (EMS) Tower, part of the AmeriFlux network, for 25 years
8 ([Urbanski et al., 2007](#); Munger and Wofsy, 1999a; b). The tower, located at 42.5°N and 72.2°W
9 and an elevation of 340 m, is 30 m high and is surrounded by primary forest with an average
10 height of around 23 m. The long-term meteorological measurements include photosynthetically
11 active radiation (PAR), relative humidity (RH) and air temperature at multiple heights on the
12 tower, together with wind speed and direction recorded just below the top of the tower (at ~29
13 m) ([Urbanski et al., 2007](#); Munger and Wofsy, 1999a). In addition to exchanges of CO₂
14 collected to assess photosynthetic activity and productivity, concentrations of CO at the top of
15 the tower and fluxes of O₃ (at multiple heights on the tower) are also routinely measured
16 (Munger and Wofsy, 1999c). NO and NO₂ concentrations and fluxes have been recorded in the
17 past ([Munger et al., 1996; 1998](#)), with the most recent measurements in 2002 ([Horie et al., 2004](#)).
18 In addition to these continuous atmospheric measurements, a suite of other data is gathered
19 periodically to determine ecosystem health and functioning. Such data include leaf area index,
20 tree girth, litter mass, leaf chemistry, and soil moisture and respiration ([Barford et al., 2001](#);
21 [Urbanski et al., 2007](#); Munger and Wofsy, 1999b).

22 Concentrations and fluxes of bVOCs and their oxidation products have also been measured at
23 the EMS Tower during several summer growing seasons (McKinney et al, 2011; Goldstein et
24 al., 1999; 1995), augmenting the [AmeriFlux](#) suite of observations. Between 7th June and 24th
25 September 2012, a proton-transfer-reaction time-of-flight mass spectrometer (PTR-TOF-MS
26 8000, Ionicon Analytik GmbH, Austria) was used to measure the concentrations of volatile
27 organic compounds at the site. The PTR-TOF-MS is capable of the rapid detection of hundreds
28 of different VOCs at concentrations as low as a few pptv. PTR-TOF-MS has been described
29 previously by Jordan et al. (2009a; b) and Graus et al. (2010). The instrument utilizes a high-
30 resolution TOF detector (Tofwerk AG, Switzerland) to analyze the reagent and product ions
31 and allows for exact identification of the ion molecular formula (mass resolution >4000).

Deleted: McKinney

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1 Ambient air was sampled from an inlet mounted at the top of the 30-m EMS tower at a total
2 flow rate of 5 slpm using a configuration identical to that used by McKinney et al. (2011) in
3 2007. H_3O^+ reagent ions were used to selectively ionize organic molecules in the sample air.
4 The instrument was operated with a drift tube temperature of 60°C and a drift tube pressure of
5 2.20 mbar. The drift tube voltage was set to 550 V, resulting in an E/N of 126 Td (E, electric
6 field strength; N, number density of air in the drift tube; unit, Townsend, Td; 1 Td = 10^{-17} V
7 cm^2). PTR-TOF-MS spectra were collected at a time resolution of 5 Hz. Mass calibration was
8 performed every 2 min with data acquisition using the Tof-Daq v1.91 software (Tofwerk AG,
9 Switzerland). A calibration system in which gas standards (Scott Specialty Gases) were added
10 into a humidified zero air flow at controlled flow rates was used to establish the instrument
11 sensitivities to VOCs. Every 3 h the inlet flow was switched to pass through a catalytic
12 converter (platinum on glass wool heated to 350°C) to remove VOCs and establish background
13 intensities.

14 The PTR-TOF-MS captures the entire mass spectrum in each 5-Hz measurement, providing a
15 continuous mixing ratio time series at each mass-to-charge ratio rather than the disjunct time
16 series obtained in previous PTR-MS studies at this site (McKinney et al., 2011). As a result,
17 direct, rather than virtual disjunct, eddy covariances were determined and are reported herein
18 (Mueller et al., 2010). Wind speeds recorded at 8 Hz by a tri-dimensional sonic anemometer
19 located at the same height and less than 1 m away from the gas inlet were averaged to a 5-Hz
20 time base, synchronized with the mixing ratio data, and used in the eddy covariance
21 calculations. Eddy covariance fluxes were calculated from the data for 30-minute intervals
22 using methods described in McKinney et al. (2011). Ambient mixing ratios were averaged over
23 the same 30-minute intervals for which fluxes were calculated. The 30-minute average mixing
24 ratios and fluxes were then binned by time of day to calculate diurnal averages.

25 Eddy covariance is a powerful technique for the direct detection and estimation of ecosystem-
26 scale fluxes of trace gases within and above vegetation canopies (see reviews by Baldocchi,
27 2003; 2014). However, its reliability for measuring night-time fluxes can be low (Gu et al.,
28 2005; Baldocchi, 2014; Goulden et al., 1996; Jarvis et al., 1997). Its successful application relies
29 on assumptions of steady-state conditions, conditions that do not always exist at night (see e.g.
30 Baldocchi, 2003). The night-time formation of a stable atmospheric layer near the surface can
31 result in stratification, trapping trace gases below the instrument detection height and altering
32 the footprint of the flux measurement (Gu et al. 2005; Baldocchi, 2003) leading to high

1 associated errors in flux estimation (Goulden et al., 1996). While we acknowledge that the
2 magnitudes of the night-time fluxes recorded during summer 2012 may have large associated
3 errors, we are confident that the direction of the exchange is well captured as the observed
4 fluxes for different species were not correlated, suggesting no systematic bias in the application
5 of eddy covariance at this site.

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6 Isoprene, total combined monoterpenes, MVK and MACR (detected as a single combined
7 species), methanol, acetaldehyde and acetone were all detected at concentrations well above
8 the PTR-MS detection limit and determined to be free from interference from other compounds
9 (McKinney et al., 2011). Here we confine our analysis to concentrations and fluxes of methanol
10 and acetaldehyde. Table 1 summarises the relevant flux, concentration and meteorological
11 measurements made at the EMS tower during the summer of 2012.

12 2.2 FORCAsT1.0 canopy exchange model

13 FORCAsT (version 1.0) is a single column (1-D) model that simulates the exchange of trace
14 gases and aerosols between the forest canopy and atmosphere. A full description of FORCAsT
15 is given in Ashworth et al. (2015). Here we provide a brief overview, summarise biogenic
16 emissions and flux calculations in the model and describe the simulations performed.

17 FORCAsT1.0 has 40 vertical levels of varying thickness extending to a height of ~4 km, with
18 the highest resolution nearest the ground where the complexity is greatest, i.e. within the canopy
19 space. Micro-meteorological conditions (temperature, PAR, RH) within the canopy are
20 determined prognostically by energy balance, accounting for the physical structure of the
21 canopy. The gas-phase chemistry scheme incorporated in FORCAsT1.0 is a modified version
22 of the CalTech Chemical Mechanism (CACM; Griffin et al., 2002; 2005; Chen and Griffin,
23 2005), which includes 300 species whose concentrations are solved at every chemistry timestep
24 (currently 1 minute), plus O₂ and water vapour (Ashworth et al., 2015). Ninety-nine of the
25 species are assumed to be condensable, and are lumped into 11 surrogate groups based on
26 similar volatility and structure. Aerosol-phase concentrations of these surrogate groups are also
27 calculated at every timestep based on equilibrium partitioning (Ashworth et al., 2015; Chen and
28 Griffin, 2005).

29 The CACM chemistry mechanism in FORCAsT treats methanol explicitly with no chemical
30 sources (e.g., production from peroxy radicals) and a sink via oxidation by OH to produce
31 formaldehyde. Acetaldehyde is not treated explicitly but is instead included in a lumped group

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1 of aldehydes (ALD1, with $<C_5$). The oxidation reactions for this group are based on
2 acetaldehyde and no other species is currently emitted into the ALD1 group. Acetaldehyde has
3 a far greater number of chemical sources and sinks in the FORCAsT simulations of a forest
4 environment than methanol. See Ashworth et al. (2015) for details of the reactions and reaction
5 rates included in FORCAsT.

6 FORCAsT incorporates dry deposition of all species based on the resistance scheme of Wesely
7 (1989) and modified by Gao et al. (1993). The scheme assumes that the rate of deposition of a
8 compound to canopy surfaces is determined by atmospheric, boundary and surface resistances
9 operating in series or parallel analogous to electrical resistances. Atmospheric and surface
10 boundary layer resistances are common to all chemical species and are dependent on turbulence.
11 As FORCAsT includes an explicit representation of the canopy, the surface resistance term
12 includes cuticular, mesophyll and stomatal resistances which are dependent on the physico-
13 chemical properties of the depositing species as well as the light, temperature and water
14 potential of the leaf. The deposition scheme described in Ashworth et al. (2015) and Bryan et
15 al. (2012) has been updated to include methanol. The deposition velocity of acetaldehyde is
16 calculated using parameters for the lumped ALD1 group, and the parameters for ALD1 and
17 methanol deposition are shown in Table 3.

18 While a 1-D model cannot capture horizontal transport, FORCAsT does include a simple
19 parameterisation to account for advection (Bryan et al., 2012; Ashworth et al., 2015). For the
20 simulations here, only advection of NO_2 is considered such that a NO_2 mixing ratio of 1 ppbv
21 is set just above the canopy based on average midday (defined as 10:00-17:00 EST) NO_x and
22 NO_y (total reactive nitrogen species) concentrations. While nitrogen species were not measured
23 at Harvard Forest in 2012, concentrations reported from the site by [Munger et al. \(1998\)](#) are
24 extrapolated to 2012 using July monthly average NO_x levels measured at the nearby US EPA
25 monitoring station at Ware 42.3°N, 72.3°W, elevation 312 m (roughly 30 km southwest of the
26 EMS Tower). This scaling accounts for the observed decrease in NO_x levels across the region
27 as a result of emission reduction strategies (see e.g. EPA, 2015). All NO_x is assumed to be
28 advected as NO_2 . The initial concentration of N_2O_5 at 29 m was set to give an average $NO_x:NO_y$
29 ratio of 0.4 ([Munger et al., 1996](#)), assuming all residual NO_y to be N_2O_5 initially. [Lee et al.](#)
30 [\(2006\)](#) also reported that air masses reaching the Harvard Forest site from the north, northwest
31 [and west had consistently low levels of anthropogenic VOCs. Such conditions prevailed >60%](#)

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1 [of July 2012 and we found that including advection as an additional source of methanol and](#)
2 [acetaldehyde did not improve model fit \(results not shown\).](#)

3 **2.2.1 Flux calculations**

4 Fluxes of gases and particles are calculated to be proportional to both the concentration gradient
5 and the efficiency of vertical mixing between adjacent model layers (Eq. 1). Upward fluxes are
6 modelled as positive and occur when the concentration of a particular species is higher at a
7 lower height. The flux, F_i ($\text{kg m}^{-2} \text{s}^{-1}$) of an individual species, i , between two model levels is
8 given by:

$$9 F_i = -K_H \frac{\Delta C_i}{\Delta z}, \quad (1)$$

10 where K_H is the eddy diffusivity ($\text{m}^2 \text{s}^{-1}$), ΔC_i the difference in mass concentrations (kg kg^{-1}) at
11 the mid-height of the levels, and Δz the difference in height (m) between the levels. Eddy
12 diffusivity, concentrations of all gas-phase and aerosol species, and fluxes are calculated at 1-
13 minute timesteps. The eddy diffusivity at the instrument height of 29 m is constrained by
14 observed windspeeds (Bryan et al., 2012).

15 [Vertical mixing is calculated prognostically in the model following Blackadar \(1979\) and](#)
16 [driven by observed top of canopy radiation and wind speed. The within-canopy wind profile is](#)
17 [calculated following Baldocchi \(1988\). Turbulence and mixing in the canopy space is then](#)
18 [modified according to Stroud et al. \(2005\) with wind speed and eddy diffusivity constrained to](#)
19 [observations at the top of the canopy. A full description of the vertical mixing and its impact](#)
20 [on concentration gradients is described in Bryan et al. \(2012\).](#)

21 Modelled fluxes should be viewed as an instantaneous snapshot, both temporally and spatially,
22 as the calculation relies heavily on the concentration gradient across an arbitrary boundary level,
23 in this case the instrument height of 29 m. Actual concentration gradients display rapid
24 fluctuations (see e.g. Steiner et al., 2011) due to heterogeneity in emissions (see e.g. Bryan et
25 al., 2015) and chemistry (see e.g. Butler et al., 2008), as well as the occurrence of coherent
26 structures which can result in counter-gradient flow of matter (Steiner et al., 2011 and
27 references therein).

1 2.2.2 Biogenic emissions

2 Emissions of VOCs from vegetation can be described as following one of two possible routes
3 (Grote and Niinemets, 2008). In the first, the compound is released to the atmosphere
4 immediately on production (e.g. isoprene). Such emissions are tightly coupled to
5 photosynthesis and are therefore dependent on both temperature and light, falling to zero at
6 night. We refer to such emissions as “direct”. In the second pathway, VOCs are stored in
7 specialist structures within the plant after their production (e.g. monoterpenes). Emissions from
8 these storage pools occur by diffusion and are controlled by temperature alone. We term these
9 “storage” emissions. It is thought that emissions of oVOCs are a combination of these
10 (“combo”), with a proportion released directly on synthesis and the remaining fraction emitted
11 from storage pools.

12 Emission rates are calculated in FORCAsT by modifying basal emission factors (rates at
13 standard conditions, usually 30°C and 1000 $\mu\text{mol m}^{-2} \text{s}^{-1}$ of PAR) according to empirical
14 relationships describing their dependence on light and temperature. These modifications
15 (referred to as activity factors) follow the standard parameterisations of Guenther et al. (1995;
16 2012). For storage emissions, which are modelled as dependent on temperature only, the
17 activity factor is a simple exponential relationship:

$$18 \gamma_T = e^{-\beta(T_L - T_s)}, \quad (2)$$

19 where γ_T is the temperature-dependent activity factor for storage emissions, β the temperature
20 response factor (K^{-1}), T_s is 293K, T_L (K) the leaf temperature (see Guenther et al., 2012). For
21 further details of the activity factors for direct emissions included in FORCAsT the reader is
22 referred to Ashworth et al. (2015) and references therein.

23 2.2.3 Stomatal resistance

24 FORCAsT includes a physical representation of a forest canopy, with the lowest eight model
25 levels set as trunk space and the next ten as crown space. The ten crown space levels contain
26 the foliage; the total leaf area estimated for 2012 based on litter fall is distributed among the
27 levels according to balloon measurements made at the site by Parker (1999). Within each crown
28 space level, the leaves are assigned to one of nine equally-spaced angle classes assuming a
29 spherical canopy based on leaf normal angle (Goel et al., 1989) and the fraction of shaded leaf
30 area calculated. Photosynthetic parameters, including stomatal resistance, are then calculated
31 for each leaf angle class at each level within the crown space. The stomatal conductance

1 (inverse of stomatal resistance) describes the aperture of the stomata and determines evapo-
 2 transpiration (hence heat flux and energy balance) and deposition rates within FORCAST. It is
 3 not currently used to control the rate of biogenic emissions.

4 Stomatal resistance is modelled according to leaf temperature, PAR, water potential and vapour
 5 pressure deficit using the relationships developed by Jarvis (1976) as described by Baldocchi
 6 et al. (1987). The overall stomatal resistance (r_s) is the product of these individual factors (Eq.
 7 3) which are summarised below in Eqs. 4-8

$$8 \quad r_s = r_{s\min} \cdot r_s(\text{PAR}) \cdot r_s(T) \cdot r_s(D) \cdot r_s(\varphi) \quad (3)$$

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9 where $r_s(\text{PAR})$ is the response of stomatal resistance to changes in PAR, $r_{s\min}$ (s m^{-1}) is the
 10 minimum stomatal resistance and b_{rs} is an empirical coefficient:

$$11 \quad r_s(\text{PAR}) = r_{s\min} \left(1 + \frac{b_{rs}}{\text{PAR}} \right) \quad (4)$$

12 and $r_s(T)$ is the response of stomatal resistance to changes in leaf temperature (T_{lf} , °C), T_{\min} ,
 13 T_{\max} , and T_0 are the minimum and maximum temperatures for stomatal opening and optimum
 14 temperature respectively:

$$15 \quad r_s(T) = \left\{ \left(\frac{T_{lf} - T_{\min}}{T_0 - T_{\min}} \right) \left(\frac{T_{\max} - T_{lf}}{T_{\max} - T_0} \right)^{b_T} \right\}^{-1} \quad (5)$$

$$16 \quad b_T = \left(\frac{T_{\max} - T_0}{T_{\max} - T_{\min}} \right) \quad (6)$$

17 and $r_s(D)$ is the relationship between stomatal resistance and vapour pressure deficit (D ; mbar),
 18 and b_v is an empirical coefficient:

$$19 \quad r_s(D) = \left(1 + \frac{b_v}{D} \right)^{-1} \quad (7)$$

20 Water potential is assumed to act only once a threshold value is reached. Above this value it is
 21 modelled as:

$$22 \quad r_s(\varphi) = \left(\frac{1}{a \cdot \varphi + b_w} \right) \quad (8)$$

23 where φ is the water potential (bar), and a and b_w are constants. Below the water potential
 24 threshold $r_s(\varphi)$ is taken as unity. The values of the constants used in these calculations are shown
 25 in Table 4.

1 Stomatal resistance is only calculated in FORCAsT during the day (defined within FORCAsT
2 as $\text{PAR} \geq 0.01 \text{ W m}^{-2}$); at night stomatal resistance is assumed equal to the minimum cuticular
3 resistance (3000 s m^{-1}).

4 **2.3 FORCAsT simulations**

5 All model simulations were performed for an average day in July 2012, the middle of the
6 growing season, to ensure measurement data did not include either the spring burst of methanol
7 nor elevated acetaldehyde emissions during senescence. FORCAsT was initiated with site-
8 specific parameters and measurements of the physical structure of the canopy and
9 environmental conditions (Table 2). Initial meteorological conditions and atmospheric
10 concentrations of chemical species were taken from the 2012 EMS tower data (see Table 2).
11 Initial air temperature above the canopy is calculated on-line using the average lapse rate
12 observed by the radiosonde at Albany (the nearest sounding station, ~90 km from Harvard
13 Forest), and within the canopy by interpolation with the 2-m temperature reading.
14 Concentrations of O_3 within the canopy are based on observations from the EMS tower, and
15 above the canopy follow a typical night-time profile as described in Forkel et al. (2006).
16 Concentrations of other species are assumed to decay exponentially with height such that the
17 e-folding height is 100 m for short-lived species and 1000 m for longer-lived
18 compounds. All model simulations started at 00:00 EST and continued for 48 hours, with the
19 same driving data used for each 24-hour period and analysis confined to the second day to
20 account for model [spin-up](#).

21 In addition to a baseline simulation, we perform a series of simulations that represent the
22 potential bVOC emissions routes using the “traditional” algorithms based on the observed light
23 and/or temperature dependence encapsulated in the MEGANv2.1 model of Guenther et al.
24 (2012); see Section 2.2.2. We then introduce stomatal control to the temperature-only
25 dependent emissions (i.e. those from storage pools) to determine whether the observed leaf-
26 level regulation of the emissions of oVOCs by stomatal aperture affects ecosystem-scale fluxes
27 (Section 2.3.3). A final series of sensitivity tests explores the extent to which stomatal control
28 governs canopy-top fluxes (Section 2.3.3). Table 5 summarises the simulations and sensitivity
29 tests.

30 Model performance was evaluated against average fluxes and concentrations measured at 29 m
31 throughout July 2012 at Harvard Forest. The raw measurement data were grouped and averaged

1 for each model output time for the duration of the campaign period to create “typical” diurnal
2 profiles of methanol and acetaldehyde fluxes and concentrations. The flux data in particular
3 exhibited large variability introducing high uncertainty to the assessment. Observations of both
4 fluxes and concentrations of acetaldehyde were more variable than those of methanol, reflecting
5 the greater number of chemical sources and sinks of acetaldehyde in conjunction with lower
6 emission rates. The observations referred to throughout the main text and shown in Fig. 4, 6
7 and 7 are these averages of the campaign data.

8 **2.3.1 Baseline**

9 All simulations were driven using meteorology for an average July day with initial conditions
10 set to July average values for all variables at 00:00 EST (shown in Table 2). For the baseline
11 simulation, default FORCAsT settings for emissions, dry deposition and chemical production
12 and loss (Ashworth et al., 2015) were used; the default FORCAsT settings do not consider
13 primary emissions of methanol and acetaldehyde. Only primary emissions of isoprene and the
14 monoterpenes α -pinene, β -pinene and *d*-limonene are included in the base case, with emission
15 factors (Table 6a) based on average rates for mixed deciduous woodland in N America (Geron
16 et al., 2000; Helmig et al., 1999).

17 **2.3.2 Primary emissions sensitivity tests**

18 Simulations including primary emissions of methanol and acetaldehyde were conducted to
19 understand the effect of adding primary emissions of oVOC. The specific changes from the
20 baseline are described below and summarised in Table 5.

21 In the first three “emissions” (E-) simulations, primary emissions of methanol and acetaldehyde
22 are included: firstly with all emissions assumed to be direct (E-direct), then all from storage
23 pools (E-storage), and finally as a combination of the two with 80% taken to be direct and the
24 remainder storage (E-combo). Emission rates for methanol and acetaldehyde (Table 5) were
25 initially based on standard emission factors for methanol and bidirectional VOCs, respectively,
26 for temperate deciduous broad-leaved trees given by Guenther et al. (2012) and scaled for this
27 site by isoprene emission factor. The emission factors were then modified to best reconcile
28 modelled and observed concentrations and fluxes at 29 m whilst conserving the total canopy
29 emissions for each species as far as possible. Twenty-four hour aggregate emissions for each
30 simulation were within $\sim 10\%$ of each other. The proportion of 80% direct and 20% storage
31 emissions included in E-combo was also based on the “light-dependent fractions” assigned to

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1 methanol and bidirectional VOCs by Guenther et al. (2012). A sensitivity test with the
2 combination of 90% direct and 10% storage (E-combo90) was also performed. For each
3 simulation, emission factors and total emissions are listed in Table 6b, and diel profiles of total
4 emissions, deposition and canopy chemical production and loss are shown in Fig. 1. While the
5 general pattern of emissions is the same in all simulations (Figs. 1a,b), the magnitude of the
6 midday peak and overnight emission rate vary between the different emission pathways
7 introduced. The greater the contribution from storage the higher the overnight fluxes and the
8 smaller the diurnal amplitude with E-direct (green line; 0% storage emissions) and E-storage
9 (blue line; 100% storage) representing the extreme cases. Changes in emission rates alter the
10 concentrations of methanol or acetaldehyde within the crown space driving differences in both
11 dry deposition (Figs. 1c,d) and chemical production and loss (Figs. 1e,f) rates. Fig. 1 further
12 demonstrates the relatively small contribution of chemical production and loss to the canopy
13 space budgets of methanol and acetaldehyde.

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14 2.3.3 Stomatal control sensitivity tests

15 Previous theoretical and laboratory-based studies have demonstrated the importance of stomatal
16 aperture in the regulation of emissions of oVOCs from storage structures (e.g. Niinemets and
17 Reichstein, 2003a,b; Nemecek-Marshall et al., 1995; Huve et al., 2007; Karl et al., 2002).
18 Controlled experiments and leaf-level measurements suggest that emissions of many VOCs are
19 dependent on stomatal conductance, although the extent to which the stomata regulate emission
20 rates is highly dependent on both the compound and the leaf structure (Niinemets and
21 Reichstein, 2003a).

22 Further sensitivity tests were performed specifically to test the dependence of the emissions of
23 methanol and acetaldehyde on stomatal conductance. Stomatal resistance (the reciprocal of
24 conductance) is explicitly calculated for every canopy level at every model timestep based on
25 incident PAR, leaf temperature and water potential (Eq. 3). In this series of tests, the calculated
26 resistances were used to scale the temperature-dependence of storage emissions of methanol
27 and acetaldehyde (given in Eq. 2) for both the storage and combo emission pathways as shown
28 in Eq. 9.

$$29 \gamma_{TR} = \gamma_T \cdot R_{fct} = e^{-\beta(T_L - T_S)} \cdot R_{fct}, \quad (9)$$

30 where R_{fct} is a stomatal control factor.

1 In the first of the “stomatal control” (S-) sensitivity tests, R_{fct} increased proportionally with
2 stomatal conductance (i.e. inversely with stomatal resistance) as shown in Eq. 10:

$$3 \quad R_{\text{fct}} = \frac{3000}{n \cdot R_{\text{stom}}}, \quad (10)$$

4 where R_{stom} ($(\mu\text{mol m}^{-2} \text{s}^{-1})^{-1}$) is the stomatal resistance, 3000 is the model default limiting night-
5 time value of R_{stom} and n is a scaling factor. The night-time “stomatal” resistance in fact includes
6 the cuticular resistance and n was introduced to account for this. The value of n was initially
7 set to 3 for the S-storage and S-combo simulations, as Jarvis (1976) reported a limiting value
8 of 1000 although this was species-dependent. The effect of the choice of value of n is explored
9 in Section 3.5.

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10 Fig. 2 shows the diel cycle of stomatal resistances calculated in FORCAsT for each model level
11 within the crown space; an average canopy resistance is also indicated. R_{stom} is set to 3000
12 overnight and falls to a minimum during the middle of the day when light levels are highest in
13 the canopy. R_{stom} is lower at the top of the canopy and increases with increasing depth into the
14 foliage layers. The profile of R_{fct} (Eq. 10) describes the inverse of R_{stom} , reaching a peak at
15 midday and having a greater value higher in the canopy. As shown in the middle panels R_{fct}
16 reaches >1.0 during the middle of the day for all but the very lowest canopy layers. Modelled
17 stomatal control (S- simulations) therefore enhances emissions of methanol and acetaldehyde
18 above those simulated by traditional emissions algorithms during this time. There is evidence
19 that this may be biologically realistic with stomatal aperture limiting emissions from storage
20 pools and leading to increased pool size and hence greater concentration gradients between
21 plant tissue and the surrounding atmosphere (see e.g. Jardine et al., 2008). This in turn drives
22 an increase in emissions above those predicted based on synthesis rates of oVOC. However,
23 traditional emissions models were derived to fit observed emission rates (see e.g. Guenther et
24 al., 1993) and could be assumed to account for this effect.

25 Hence, a second set of “modified” stomatal control (R-) experiments was performed in which
26 it was assumed that beyond a threshold stomatal aperture, stomatal conductance no longer
27 controls emissions, which continue unhindered once the stomates are considered to be fully
28 open. Beyond this point, emissions from storage pools are regulated by temperature alone
29 according to the relationship in Eq. 2, i.e. R_{fct} in Eq. 9 takes a value of unity, thus assuming that
30 “traditional” emissions algorithms correctly capture emission rates during the middle of the
31 day. Within FORCAsT this was modelled using a threshold function:

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1 $R_{\text{fct}} = \frac{3000}{n \cdot R_{\text{stom}}}, R_{\text{fct}} < 1.0$ (11a)

2 $R_{\text{fct}} = 1.0,$ at all other times (11b)

3 The use of the function shown in Eqs. 11a and 11b limits the temporal extent of stomatal control
4 on methanol and acetaldehyde emissions for most canopy layers to the transition times of day
5 (dawn and dusk) when the stomata are either opening or closing as light levels increase or
6 decrease. This is consistent with results from controlled experiments and observations by
7 Niinemets and Reichstein (2003a) that indicate that stomatal aperture has only a transient effect
8 on the emissions of oVOC and is negligible under steady-state light conditions. It should be
9 noted however that under the average July radiation conditions the lower canopy levels do not
10 receive sufficient PAR to reach this threshold value within FORCAST.

11 **3 Results**

12 **3.1 Summary of observations**

13 July was roughly the middle of the growing season in 2012 with emissions unaffected by
14 springtime leaf flush or autumn senescence. As observed previously at many sites, fluxes of
15 both methanol and acetaldehyde are highly variable with periods of net positive and net negative
16 exchange (e.g. McKinney et al., 2011; Wohlfahrt et al., 2015; Karl et al., 2005). In prior years,
17 concentrations of methanol at Harvard Forest remained high even outside of the spring
18 emissions peak (McKinney et al., 2011).

19 Fig. 3 shows correlations of the observed daytime (05:00-19:00 EST) fluxes of methanol and
20 acetaldehyde during July 2012 with air temperature, PAR, canopy stomatal conductance, and
21 concentrations of methanol and acetaldehyde respectively. Canopy stomatal conductance for
22 the tower footprint was estimated from energy fluxes measured at Harvard Forest following the
23 methodology of Shuttleworth et al. (1984) to calculate surface resistances. The raw data were
24 highly scattered, and were therefore binned by the independent variable in each case with Fig.
25 3 showing only the mean values (with bars showing ± 1 standard deviation to give an indication
26 of the variability of the data) for each of these bins for clarity. The weak relationships with each
27 of the environmental variables evident in Fig. 3 illustrate the difficulty in identifying the key
28 processes driving canopy-scale exchanges of oVOC under varying environmental conditions
29 from observations alone.

1 Canopy-top fluxes of methanol appear to be positively correlated with temperature (Fig. 3a)
2 and to a lesser extent with PAR (Fig. 3c). The correlation with temperature seems to be
3 exponential as might be expected. The contribution of stomatal conductance to observed
4 methanol fluxes is more difficult to interpret although the data appear to show a strong linear
5 correlation at low conductance, suggesting that at small stomatal aperture the stomata exert
6 control over fluxes of methanol to the extent that it is observable at the canopy scale. ~~However,~~
7 ~~it is possible that this correlation instead reflects correlated responses of emissions and stomatal~~
8 ~~aperture to increasing light and temperature. The positive~~ relationship between canopy-top
9 methanol fluxes and concentrations at low concentration is likely due to the influence of
10 ~~increasing light and temperature increasing production of methanol at a greater rate than the~~
11 ~~loss processes (dry deposition to surfaces within the canopy and chemical loss). At higher~~
12 ~~concentrations, methanol loss rates increase sufficiently to balance production.~~

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13 Fluxes of acetaldehyde are lower and more variable than those of methanol, and averages are
14 clustered near zero. However, they do appear to be positively correlated with temperature (Fig.
15 3b) although the relationship is weaker and does not appear to be exponential. There is no
16 discernible correlation between acetaldehyde fluxes and either PAR (Fig. 3d) or stomatal
17 conductance (Fig. 3f). This might suggest that acetaldehyde emissions are not controlled by
18 stomatal aperture but may rather indicate the influence of the greater number of sources and
19 sinks for acetaldehyde at the spatial and temporal scale of the canopy. Jardine et al. (2008)
20 describe a clear negative correlation between acetaldehyde fluxes and concentrations measured
21 in the laboratory and Fig. 3h could be interpreted in a similar way although the correlation here
22 (at the canopy scale) is far weaker.

23 The weakness of the observed correlations and variability of the observed fluxes are a reflection
24 of the complexity of in-canopy processes and interactions, all of which (emissions, photo-
25 chemical production and loss, and turbulent exchange) are strongly influenced by temperature
26 while only photolysis and direct foliage emissions are directly dependent on light levels
27 (although the penetration of radiation into the canopy drives both leaf temperature and
28 turbulence).

29 3.2 Baseline

30 When FORCAST is driven in default mode with average meteorology and initial conditions for
31 July 2012 and primary emissions of only isoprene and monoterpenes, the model fails to capture

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1 either the magnitude or diurnal profile of the observed concentrations and fluxes of methanol
2 and acetaldehyde at 29 m (Fig. 4(a)-(d); black lines). For both methanol and acetaldehyde
3 FORCAST simulates negative fluxes at all times, with a pronounced decrease during daylight
4 hours (Fig. 4(a) and (c)). Fluxes measured by eddy covariance by contrast show strongly
5 positive (upward) exchange occurring during the day and fluxes near zero at night. Observed
6 concentrations increase to 12.8 ppbv (methanol) and 0.72 ppbv (acetaldehyde) during daylight
7 hours, dipping sharply after dusk and decreasing steadily to a minimum around dawn (Fig. 4(b)
8 and (d)). By contrast the baseline modelled concentrations of both compounds decrease
9 throughout the 24-hour period, (Fig. 4(b) and (d)), suggesting strong daytime sources of both
10 methanol and acetaldehyde within the canopy, which FORCAST does not simulate with the
11 default model settings.

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Deleted: with a dip soon after dawn, and a slight increase during the early afternoon (Fig.

Deleted: the latter most likely a result of an increase in net chemical production. The measurements indicate

12 3.3 Biogenic emissions of methanol and acetaldehyde ('E-' simulations)

13 Leaf-level measurements of methanol emissions have demonstrated that all C₃ vegetation types
14 emit methanol at rates on a par with the major terpenoids (Fall and Benson, 1997). Given the
15 lack of other in-situ sources of methanol, the diel cycle of fluxes and concentrations which is
16 generally absent from anthropogenic and transported sources, and the magnitude of the
17 underestimation of canopy-top fluxes (ranging from ~0.01 overnight to 0.7 mg m⁻² h⁻¹ in the
18 early afternoon), it seems likely that there are substantial foliage emissions of methanol at
19 Harvard Forest (see also McKinney et al., 2011). Furthermore the diurnal profile, strongly
20 reminiscent of isoprene, suggests the emissions are both light and temperature dependent.

Deleted: The pronounced diurnal profile of the observed methanol fluxes with a midday peak is strongly reminiscent of light and temperature dependent biogenic emissions similar to isoprene.

21 While the magnitude of the missing acetaldehyde fluxes is lower (between ~0.01 and 0.05 mg
22 m⁻² h⁻¹), the diel cycles of both fluxes and concentrations is similar to those of methanol. This
23 again suggests relatively strong leaf-level emissions of acetaldehyde at this site. It is likely that
24 the absolute concentrations and fluxes are lower as primary emissions of acetaldehyde have
25 generally been found to be a factor of 2-10 lower than those of methanol (Seco et al., 2007;
26 Karl et al., 2003; Guenther et al., 2012).

Deleted:) and acetaldehyde has chemical sources and sinks that are relevant at the timescale of canopy exchange.

27 Fig. 5 shows the relative contributions of the competing processes driving the evolution of
28 methanol and acetaldehyde within and just above the canopy over the course of the day for the
29 E-combo90 and E-combo simulations respectively. Concentrations of both oVOC (Fig. 5a and
30 3g) increase strongly at all levels from a minimum around dawn. In the case of methanol (Fig.
31 5a) there is a clear maximum just below the top of the canopy corresponding to the most densely

1 foliated level where emissions also peak. This feature is less evident in the case of acetaldehyde
2 (Fig. 5g) demonstrating its greater number of sources and sinks. Chemical production and loss
3 is highest at the top of the canopy and the boundary layer just above due to the higher levels of
4 radiation and temperature driving OH radical formation and reaction rates. For both oVOC it is
5 emissions and deposition, both leaf-level processes governed by the stomata, that dominate
6 production and loss; chemistry contributions are at least an order of magnitude lower. However,
7 both chemistry and turbulent transport contribute to the complexity evident in the evolution of
8 concentrations and fluxes and the high degree of variability seen in the observations (see e.g.
9 Figs. 3 and 5).

10 Difficulties in simultaneously reconciling both fluxes and concentrations of methanol and
11 acetaldehyde are also likely a result of the complexity of in-canopy processes. Fig. 5 shows that
12 the top of the canopy is a region of abrupt transition for the sources and sinks of oVOC with
13 emissions and deposition limited to the canopy and a sudden change in turbulent mixing above
14 the foliage. The heterogeneity of concentrations, concentration gradients and fluxes of methanol
15 and acetaldehyde in time and space are evident from Fig. 5, demonstrating that the level at
16 which model and measurements are compared can also affect the measured-modeled bias.

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17 3.3.1 Methanol

18 The effect of introducing the different mechanisms of methanol emissions (simulations E-
19 direct, E-storage, E-combo; Table 5) on fluxes and concentrations of methanol are shown in
20 Fig. 4(a) and (b). Storage emissions (dependent only on temperature) remain relatively high
21 overnight. While modelled fluxes of methanol are positive when storage emissions are included
22 and peak during the middle of the day, modelled midday fluxes are only around a third of
23 measured fluxes (Fig. 6(a); E-storage) and modelled night-time fluxes are well above (~0.15-
24 0.20 mg m⁻² h⁻¹) those observed which are close to but slightly below zero. The diurnal profile
25 of E-storage modelled concentrations is the inverse of measured methanol mixing ratios:
26 elevated at night and decreasing toward the middle of the day (Fig. 6(b); E-storage). This gives
27 further credence to the light-dependence of methanol emissions, which has been identified at
28 numerous other forest ecosystems (see e.g. Wohlfahrt et al., 2015; Seco et al., 2015; McKinney
29 et al., 2011).

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30 Direct emissions are intrinsically linked to photosynthesis and are therefore strongly dependent
31 on light as well as temperature. Introducing purely direct emissions of methanol in FORCAST

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1 (E-direct) reproduces the observed diurnal profile of both fluxes and concentrations and
2 succeeds in capturing the pronounced daytime peak and sharp drop-off at night seen in both.
3 Modelled mixing ratios, however, peak slightly in advance of the observed maximum (Fig.
4 6(b); E-direct) and do not drop sharply enough after dusk. Modelled fluxes remain negative at
5 night (Fig. 4(a); E-direct) but are slightly below those observed during the dawn transition
6 period, suggesting that while methanol emissions are light dependent they may not be purely
7 direct emissions (which drop to zero at night), although the limitations of eddy covariance flux
8 measurement techniques at night may introduce error into the observation-model comparison.

9 Combo emissions comprising 80% direct and 20% storage emissions (E-combo) do not
10 reproduce the observed decrease in fluxes and concentrations at night. Modelled nighttime
11 fluxes remain positive and $\sim 0.05\text{-}0.1 \text{ mg m}^{-2} \text{ h}^{-1}$ above those observed (Fig. 6(a); E-combo),
12 although as noted above, nighttime flux measurements usually have the greatest uncertainties
13 due to the potential for stable boundary layers and changes in the flux footprint. Additionally,
14 modelled concentrations do not rise sufficiently during the day (with a maximum discrepancy
15 of $\sim 1.5\text{-}2$ ppbv or 15%) nor drop as steeply as observations after dusk (Fig. 4(b); E-combo).
16 Increasing the proportion of direct emissions to 90% (Fig. 4(a) and (b)) improves the fit of both
17 fluxes and concentrations at all times with maximum daytime differences reduced to 0.2 mg m^{-2}
18 h^{-1} ($\sim 30\%$) and 1.0 ppbv ($\sim 8\%$) respectively. Modelled concentrations still fail to capture the
19 pronounced changes observed at dawn, although this may be the result of boundary layer
20 dilution and canopy flushing.

21 The E-direct simulation gives the best overall model-measurement fit of the emissions
22 sensitivity tests, emphasizing the strong light-dependence of methanol emissions previously
23 noted. Including direct emissions in FORCAST simulates the bi-directional fluxes and a diel
24 cycle of concentrations similar to those observed at this site. Such emissions do not fully capture
25 all of the features of the field data, indicating that while methanol emissions are strongly light-
26 dependent, traditional models of primary biogenic emissions (e.g. MEGAN; Guenther et al.,
27 2012) may not fully account for the fundamental processes driving methanol exchange between
28 the canopy and atmosphere even when a small contribution from storage pools (e.g. E-
29 combo90) is included. However, it should be noted that the fluxes especially represent
30 instantaneous assessments of a situation that rapidly fluctuates in both time and space, which
31 may in part account for the discrepancies between model and measurements.

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Deleted: although does not fully capture all of the features of the field data at times of transition in particular.

Moved up [1]: MEGAN; Guenther et al., 2012) may not fully account for the fundamental processes driving methanol exchange between the canopy and atmosphere even when a small contribution from storage pools (e.g. E-combo90) is included

Deleted: In spite of this caveat, our results indicate that methanol emissions are strongly light-dependent, but that traditional models of primary biogenic emissions (e.g.

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1 3.3.2 Acetaldehyde

2 Similar to methanol, introducing storage only emissions of acetaldehyde does not capture the
3 peak in fluxes during the day (Fig. 4(c); E-storage), suggesting that acetaldehyde emissions are
4 also light dependent. Modelled concentrations are close to those observed during daylight hours
5 in both magnitude and profile with a maximum difference of ~0.2 ppbv (15%), but do not
6 reproduce the observed drop in concentration just after dusk nor the rapid increase after dawn
7 (Fig. 4(d); E-storage). However, the greater complexity of acetaldehyde production and loss on
8 the timescales involved in canopy-atmosphere exchange makes interpretation of the
9 concentrations more difficult.

10 Introducing purely direct emissions of acetaldehyde (E-direct) has the same effect as for
11 methanol. Fluxes are strongly negative at night in FORCAsT (around 0.01-0.015 mg m⁻² h⁻¹
12 below observed fluxes – Fig. 4(c); E-direct) and concentrations rise too quickly during the day,
13 peaking around 4 hours earlier and ~0.10 ppbv (~ 15%) higher than measured mixing ratios
14 (Fig. 4(d); E-direct) with a maximum over-estimation of ~0.15 ppbv (~25%). The steep night-
15 time drop in observed fluxes and concentrations is reflected (although over-estimated) in the
16 model, but overall the simulations suggest acetaldehyde emissions are not purely direct.

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17 In contrast to methanol, acetaldehyde fluxes are better represented by the inclusion of combo
18 emissions comprising 80% direct emissions (Fig. 4(c); E-combo). This captures the diurnal
19 profile of the observations, although not the midday peak, and does not exhibit the same
20 variability in fluxes around dawn and dusk (which may be attributable to the previously
21 described limitations of eddy covariance at these times). Modelled concentrations are within
22 ~0.01 ppbv of those observed during daylight hours, and drop quickly after dusk (Fig. 4(d); E-
23 combo). When the proportion of direct emissions is increased to 90%, concentrations peak in
24 the late afternoon when measured mixing ratios decline (Fig. 4(d); E-combo90). The maximum
25 discrepancy is around half that of E-direct and the nighttime decrease in mixing ratios is well
26 captured. Daytime fluxes are similar to those of the E-combo simulation but decrease more
27 sharply in the afternoon and are lower overnight (~0.05 mg m⁻² h⁻¹ below observations). None
28 of the simulations captures the observed dip in concentration in the late afternoon. However,
29 the results suggest that the canopy-atmosphere exchange of acetaldehyde may be best
30 represented using the combination of emissions of traditional emissions models, with a “light-
31 dependent” fraction of 80% as currently suggested (Guenther et al., 2012).

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3.4 Effect of stomatal conductance on modelled emissions (S- simulations)

We now test the effects of stomatal control on the storage-based emissions mechanism by including stomatal regulation in the storage and combo emissions algorithms. These simulations effectively introduce a degree of light-dependence to releases of VOCs from storage pools, although it should be noted that the dependence on PAR introduced in this way is not as strong as for direct emissions. We first present and discuss the results of incorporating stomatal control throughout the day (i.e. the S- simulations using R_{fet} as shown in Eq. 10) for both methanol and acetaldehyde. The effects of modifying the control factor (i.e. the R- simulations using R_{fet} as shown in Eqs. 11a and 11b) are described in Section 3.5.

3.4.1 Methanol

The inclusion of stomatal control of methanol emissions from storage structures into FORCAST improves the fit of modelled to observed fluxes of methanol for both simulations that include storage-type emissions, i.e. S-storage vs. E-storage and S-combo vs. E-combo (Fig. 6a). For 100% storage emissions (S-storage), daytime fluxes are enhanced and exhibit the pronounced midday peak of the measurements (generally $<0.2 \text{ mg m}^{-2} \text{ h}^{-1}$ below those observed). Night-time fluxes are reduced by $\sim 0.1\text{-}0.15 \text{ mg m}^{-2} \text{ h}^{-1}$ bringing them much closer to observations, but modelled fluxes are still positive at all times. Although modelled concentrations now show a rapid increase in the morning they plateau at around 11:00 EST, and fail to match either observed late afternoon peak or subsequent nighttime drop, indicating a dependence on light that is not adequately represented by including stomatal control.

Modelled fluxes and concentrations for combo emissions (20% storage emissions) with stomatal control (Fig. 6a; S-combo) mirror those for S-storage although fluxes remain slightly higher during the middle of the day and drop a little closer to zero at night, and concentrations continue to rise until around 16:00 EST. However, the diurnal profile of methanol concentrations simulated by E-combo90, emissions without stomatal control is closer to the observed, than either of the simulations incorporating stomatal control, and 100% direct emissions still provides the best overall fit.

3.4.2 Acetaldehyde

The effects of including stomatal control of emissions of acetaldehyde from storage pools (Fig. 6c and d) are similar to those described above for methanol. For 100% storage (S-storage vs.

Deleted: Because direct emissions use only PAR to explain the diurnal cycle of direct emissions (e.g., the E-direct simulations), here we

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Deleted: slight post-dawn dip followed by a

Deleted: , reaching a

Deleted: . At this point modelled concentrations diverge from those

Deleted: which continue to rise steeply until dusk, peaking nearly 2.5 ppbv ($\sim 25\%$) above modelled levels which rise little during the day. Modelled concentrations continue to remain relatively steady while observed concentrations

Deleted: off sharply at night (Fig. 6b; S-storage),

Deleted: However, some of this behaviour may be a reflection of the discontinuities in modelled stomatal resistance evident at very low values of PAR (Fig. 2a; just after dawn and before dusk). This was tested in a later set of sensitivity experiments in which these discontinuities were smoothed by increasing the level of PAR taken as "daylight". While this had a substantial effect on modelled R_{stom} in the lower canopy levels following dawn and preceding dusk (Fig. 2h), the impact on R_{fet} and hence simulated emissions was small. The effect on fluxes and concentrations was limited to early morning and late afternoon and was negligible even then (maximum changes of $<10\%$ in fluxes and $<5\%$ in concentrations at any time; not shown).

Deleted: . The burst of methanol escaping the canopy just after dusk is less pronounced in S-combo. S-combo fluxes are close to those simulated by increasing the proportion of direct emissions to 90% (

Deleted:) apart from the period preceding dusk when stomatal control acts to reduce fluxes sharply. S-combo concentrations of methanol in FORCAST are also similar to the S-storage simulation but continue to rise until around 16:00 EST at which point they are <0.5 ppbv below measured mixing ratios (Fig. 6b; S-combo). However, the diurnal profiles of methanol concentrations simulated by combo

Deleted: (E-combo and E-combo90)

Deleted: , with 90% direct (E-combo90) providing a better overall fit

1 E-storage) emissions the diurnal profile of modelled acetaldehyde fluxes is a good fit to
 2 observations (Fig. 6c) with a pronounced peak during the middle of the day (~0.005-0.01 mg
 3 m⁻² h⁻¹ (maximum 0.03) below measured fluxes) and dropping below zero overnight (again
 4 ~0.005-0.01 mg m⁻² h⁻¹ below measurements). Modelled concentrations increase too rapidly
 5 during the day, peaking ~0.15 ppbv (~25%) above those observed and ~4 hours earlier but do
 6 capture the night-time decrease in concentrations seen in the observations (Fig. 6d).
 7 Model output for the S-combo simulation is almost identical to that for S-storage described
 8 above, with the two diverging only at night when the combo emissions are lower, reducing
 9 fluxes and, to a lesser extent, concentrations of acetaldehyde. Although introducing stomatal
 10 control of emissions from storage pools improves the magnitude and diurnal profile of modelled
 11 fluxes, acetaldehyde exchanges at Harvard Forest do not show a strong dependence on stomatal
 12 conductance at the canopy scale. Instead they are better represented by the use of traditional
 13 emissions models with a proportion of emissions from storage pools and the remainder via
 14 direct release (with the best fit given by 80% direct and 20% storage, i.e. E-combo). This is in
 15 agreement with the theoretical conclusions reached by Niinemets and Reichstein (2003b) and
 16 experimental and field results from Kesselmeier (2001) and Kesselmeier et al. (1997). Jardine
 17 et al. (2008) report strong evidence of stomatal control at the leaf and branch level and present
 18 field measurements that appear to demonstrate that stomatal regulation is relevant at the
 19 ecosystem scale for forests in the USA. While our results do not support this conclusion, the
 20 authors did report large differences in the effect of stomatal aperture between tree species
 21 (Jardine et al., 2008) which may help explain the apparent contradiction.

Deleted: fluxes also show a short-lived dip at ~16:00 EST which does not appear to be seen in the measurements although the high scattering of observations at either end of the day make it difficult to be certain. S-storage modelled

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22 3.5 Threshold stomatal control (R- simulations)

23 In the R- simulations, the stomatal control function was modified to limit stomatal regulation
 24 of storage emissions to transition periods as outlined in Section 2.3.3. This is consistent with
 25 laboratory-based observations of transient emissions bursts associated with light-dark
 26 transitions, assuming in effect that there is a point at which the stomatal aperture is sufficient to
 27 no longer be a limiting factor. After this point, we set the stomatal control factor to unity to
 28 ensure that emissions are no longer dependent on stomatal aperture, restricting differences
 29 between emissions, and therefore fluxes and concentrations, modelled in the R- and E-
 30 simulations to periods around dawn and dusk.

Deleted: Furthermore, although stomatal regulation at these times may result in changes to emissions later in the day (as the storage pools have built up while emissions were limited by the stomatal aperture (e.g. Jardine et al., 2008)) the traditional emissions algorithms used in FORCAST were developed from measured dependencies of emissions on light and temperature and can be assumed to capture actual emission rates well during the middle of the day when the stomata are fully open, even if failing to account for the cause. In these simulations, therefore, we effectively assume

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1 3.5.1 Methanol

2 For both R-storage and R-combo simulations, methanol fluxes now show a dip just after dawn
3 and again in the late afternoon, reflecting the period of time when the stomata are partially open
4 (Fig. 7a), but do not otherwise diverge from E-storage or E-combo respectively. Concentrations
5 still match neither the magnitude nor diurnal profile exhibited by the measurements, decreasing
6 during the day but taking longer to recover in the late afternoon (Fig. 7b). The effect is more
7 pronounced for 100% storage emissions, but methanol fluxes and concentrations measured
8 above the canopy at Harvard Forest are still most closely matched with the E-direct emissions
9 pathway (Fig. 7a_b).

10 3.5.2 Acetaldehyde

11 By contrast, acetaldehyde fluxes for the R-storage simulation show very little change from E-
12 storage until late morning (Fig. 7c), when R-storage fluxes are nearly double those modelled in
13 E-storage but remain well below those observed. Following a steep decline in fluxes in the
14 afternoon to a minimum just before dusk, the post-dusk spike in fluxes previously noted in the
15 100% storage emissions simulations is enhanced. Acetaldehyde concentrations for R-storage
16 differ little from E-storage during the day but remain elevated at night (Fig. 7d). Introducing
17 stomatal regulation to combo emissions (Fig. 7c, d; R-combo vs. E-combo) has little effect on
18 either fluxes or concentrations. Observed acetaldehyde fluxes and concentrations are still best
19 reflected by E-combo “traditional” emissions algorithms without explicit parameterisation of
20 stomatal regulation.

21 3.6 Scaling factor, n

22 The temporally limited effect of stomatal control in our model simulations is consistent with
23 conclusions drawn from a theoretical study based on results from detailed laboratory
24 experiments (Niinemets and Reichstein, 2003b; Niinemets and Reichstein, 2003a), showing
25 that the stomatal control of biogenic VOC emission rates occur over short timescales, and
26 suggesting that regulation of emissions by stomata occurs over too brief a period to be of
27 significance at an ecosystem scale for highly volatile VOCs. However, Niinemets and
28 Reichstein (2003a; b) postulate that emission rates of highly water-soluble VOCs such as
29 methanol are subject to stomatal regulation over longer timescales, potentially modifying
30 emissions over scales relevant to canopy-atmosphere exchange. Niinemets and Reichstein

Deleted: 100% storage emissions (
Deleted:),

Deleted: 7a). At all other times, modelled fluxes match those from the E-storage simulation as expected. Observations do show a drop in methanol fluxes at dawn (~1-2 hours earlier than modelled and greater in magnitude) but not at dusk and are negative overnight. R-storage methanol concentrations

Deleted: as per E-storage

Deleted: pattern is similar for E- and R-combo emissions with differences between the simulations limited to the transition periods though the

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Deleted: case. Fluxes and concentrations are close to those modelled by the E-combo emissions pathway throughout the simulation period, diverging only around dawn and dusk when the stomatal control simulation (R-combo) drops below that without stomatal regulation (E-combo). However,

Deleted: 7c). During the middle of the day,

Deleted: with fluxes exhibiting a distinct minimum at dusk (Fig. 7c) and concentrations dropping slightly earlier in the afternoon (Fig. 7d).

Deleted: with combo emissions and are well captured with the

Deleted: . These results suggest

Deleted: On the other hand, they

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Deleted: that are highly soluble in water and therefore have a high liquid-phase concentration

Deleted: by stomatal conductance

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Deleted: Although this does not appear to be the case at Harvard Forest as the initial introduction of stomatal regulation of emissions into FORCAST does not modify fluxes over an extended period (Fig. 7), additional simulations were conducted to further explore the hypothesis put forward by Niinemets and Reichstein (

1 (2003b) concluded that the strength and persistence of stomatal control on leaf-level emissions
2 scaled with Henry's Law coefficient. Hence in the final stomatal control simulations (R-
3 storageN15, R-storageN6, R-comboN15 and R-combo6) we scaled the "degree" of regulation
4 by altering the scaling factor, n , in Eqs. 11a and 11b (see Table 5), altering both the magnitude
5 and duration of stomatal control (i.e. the time taken for R_{ict} in Eq. 10 to reach values over 1.0)
6 as shown in Fig. 2.

7 Changing n makes little difference to modelled fluxes or concentrations of methanol or
8 acetaldehyde (Fig. 7; R-storageN6 vs. R-storage and R-comboN6 vs. R-combo). Night-time
9 fluxes were enhanced slightly ($\sim 0.02 \text{ mg m}^{-2} \text{ h}^{-1}$ for 100% storage emissions and $\sim 0.01 \text{ mg m}^{-2}$
10 h^{-1} for 80% storage emissions) when n was doubled. Concentrations of both were reduced in
11 the late afternoon reflecting the extended duration of control of emission but the effect is short-
12 lived and is not reflected in the observations. Changes at all times were negligible when n was
13 reduced to 1.5 (not shown).

14 These results are consistent with observations of canopy structure at Harvard Forest; foliage is
15 densest in the upper canopy. Fig. 2 shows that changing n has the biggest impact on the lower
16 canopy levels where light is limited, foliage biomass is low (over 50% of the biomass is found
17 in the top 20% of the canopy at Harvard Forest; Parker (1998)) and emission rates small.

18 4 Conclusions

19 When light-dependent emissions of methanol and acetaldehyde were included, the FORCAST
20 canopy-atmosphere exchange model successfully simulated the bi-directional exchange of
21 methanol and acetaldehyde at Harvard Forest, a northern mid-latitude mixed deciduous
22 woodland. Overall, we find that the bi-directional exchange of methanol at Harvard Forest is
23 well captured with the algorithms currently used for modelling foliage emissions of oVOC (e.g.,
24 MEGAN; Guenther et al. 2012) assuming 100% light-dependent (direct) emissions. In the case
25 of acetaldehyde, modelled concentrations prove robust with a relatively good fit to observations
26 for all emissions scenarios employed here, likely due to the greater number of chemical sources
27 and sinks of acetaldehyde in comparison to methanol, but we find that canopy-top acetaldehyde
28 fluxes at this site are also best modelled with traditional emissions algorithms. In contrast to
29 methanol, however, acetaldehyde emissions at Harvard Forest appear to be derived from both
30 direct synthesis and storage pools, with 80% direct emissions giving the best overall fit.

31 The light-dependence of both methanol and acetaldehyde emissions at the leaf-level has been
32 ascribed to the stomatal control of diffusion from storage pools, which would otherwise be

Deleted:). In that analysis the authors

Deleted: depended strongly on the solubility of the emitted compound, with more soluble molecules (

Deleted: H less than $100 \text{ Pa m}^3 \text{ mol}^{-1}$) more affected. Furthermore they showed that for compounds with H on the order of 10^2 - $10^1 \text{ Pa m}^3 \text{ mol}^{-1}$, the degree to which emissions were influenced by the stomata scaled with H . Both acetaldehyde and methanol have solubilities in this range

Deleted:). In addition to scaling R_{ict} this also alters the

Deleted: Reducing n reduces the time over which stomatal control regulates emissions (Fig. 2d and e). Doubling n increases the duration of stomatal control to such an extent that emissions from the lower canopy levels are limited by the stomata throughout the day (Fig. 2f and g).

Deleted: In the case of methanol, changing

Deleted: simulated daytime

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Deleted: Night-time concentrations (Fig. 7b) also increased slightly around dawn particularly for R-storageN6 ($\sim 0.4 \text{ ppbv}$ above R-storage mixing ratios). In contrast, concentrations at Harvard Forest were observed to fall sharply in the early morning. Modelled mixing ratios were reduced slightly throughout the day when n was increased in both storage and combo simulations (R-storageN6 and R-comboN6) with strong decreases followed by rapid recovery in the late afternoon.

Deleted: The effects on acetaldehyde concentrations and fluxes are similar, with increases in both at night when n is increased to 6, although acetaldehyde fluxes are also slightly enhanced during the middle of the day ($\sim 0.002 \text{ mg m}^{-2} \text{ h}^{-1}$ for both R-storageN6 and R-comboN6; Fig. 7c). Changes in daytime concentrations are limited to the times around dawn and dusk (Fig. 7d). Halving n also has little effect on acetaldehyde (not shown).

Deleted: Our simulations again suggest that the complexity of the competing in-canopy processes act to buffer the stomatal control of emissions observed at the leaf and branch level. Stomatal aperture appears to affect emissions over too short a timescale to be observable at the canopy scale when other sources and sinks are fully accounted for. The times around dawn and dusk are also associated with rapid changes in chemistry and atmospheric dynamics, which likely outweigh the small differences in emission rates due to stomatal control. Our findings indicate that the inclusion of a "light-dependent fraction" in current emissions algorithms capture the changes in storage emissions due to changes in stomatal aperture sufficiently well at the canopy-scale.

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1 expected to be dependent on temperature alone. We incorporated a simple parameterisation of
2 the regulation of emissions according to stomatal aperture into FORCAST to determine how
3 stomatal control affects canopy-top fluxes and concentrations of methanol and acetaldehyde at
4 this site. While we found that some simulations that included stomatal regulation of emissions
5 showed a good fit to measured fluxes, none proved effective in reproducing both the observed
6 concentrations and fluxes.

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7 Instead, our simulations show that current emissions algorithms are capable of capturing fluxes
8 and concentrations of both methanol and acetaldehyde near the top of the canopy and are
9 therefore appropriate for use at the ecosystem-scale. Our results further demonstrate that
10 canopy-top fluxes of methanol and acetaldehyde are determined primarily by the relative
11 strengths of foliage emissions and dry deposition indicating that 3-D atmospheric chemistry
12 and transport models must include a treatment of deposition that is not only dynamically
13 intrinsically linked to land surface processes but is consistent with the emissions scheme.

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14 Our results show that it is possible to model canopy top fluxes of methanol and acetaldehyde,
15 and to capture bi-directional exchange without the need for including direct representations of
16 stomatal control of emissions. This contrast to experimental evidence highlights the complexity
17 of competing in-canopy processes which act to buffer the stomatal control of emissions
18 observed at the leaf and branch level. Stomatal aperture affects emissions over too short a
19 timescale to be observable at the canopy scale when other sources and sinks are fully accounted
20 for. The times around dawn and dusk, when stomatal regulation has been demonstrated to occur,
21 are also associated with rapid changes in chemistry and atmospheric dynamics, which likely
22 outweigh the small differences in emission rates. Our findings indicate that the inclusion of a
23 “light-dependent fraction” in current emissions algorithms (e.g. Guenther et al., 2012) captures
24 the changes in storage emissions due to changes in stomatal aperture sufficiently well to
25 simulate exchanges at the canopy-scale.

Deleted: Overall, we find that the bi-directional exchange of methanol at Harvard Forest is best modelled with traditional emissions models (e.g.

26 Given that observed methanol fluxes appear strongly correlated with stomatal conductance at
27 small stomatal apertures it is perhaps surprising that we found no evidence supporting the
28 suggestion that stomatal control of methanol emissions is observable at the canopy scale. We
29 ascribe this to use of empirically-derived emissions algorithms combined with the similar and
30 competing strong dependence of methanol deposition on stomatal conductance.

Moved up [3]: MEGAN; Guenther et al. 2012) assuming 100% light-dependent (direct) emissions. In the case of acetaldehyde, modelled concentrations prove robust with a relatively good fit to observations for all emissions scenarios employed here, likely due to the greater number of chemical sources and sinks of acetaldehyde in comparison to methanol

Deleted: Canopy-top acetaldehyde fluxes at this site are also best modelled with emissions algorithms that include light dependence directly (i.e. without the introduction of stomatal control).

Moved up [4]: In contrast to methanol, however, acetaldehyde emissions at Harvard Forest appear to be derived from both direct synthesis and storage pools, with 80% direct emissions giving the best overall fit.

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31 Our results highlight the importance of the holistic treatment and coupling between land surface
32 sources and sinks. The use of explicit and consistent dynamic representations of emissions and

1 deposition, which dominate the in-canopy budgets for these longer-lived oVOC, are needed in
2 atmospheric chemistry and transport models. Such an approach would adequately account for
3 the role of the stomata in both processes and allow bi-directional exchange to be successfully
4 simulated without the need for including either leaf-level process detail or a compensation
5 point.

6 However, this study also demonstrates the need for a better understanding and representation
7 of the complex relationship between turbulence, fluxes and concentration gradients within and
8 above the forest canopy. Such understanding can only be achieved through further modelling
9 studies at a range of scales in combination with robust measurements of concentrations and
10 fluxes of VOCs, their primary oxidants and oxidation products at multiple heights within the
11 forest canopy.

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1 Table 1. Atmospheric and meteorological measurements relevant to this study made between
 2 7th June and 24th September 2012 at the EMS Tower in Harvard Forest.

Type	Measurement	Height (m)	Instrument
Chemical			
Methanol, CH ₃ OH ^a	Concentration, Flux	29	PTR-TOF-MS, IconiconAnalytik
Acetaldehyde, CH ₃ CHO ^a	Concentration, Flux	29	PTR-TOF-MS, IconiconAnalytik
CO ^b	Concentration	29	Modified IR-absorption gas-filter correlation analyser
O ₃ ^b	Concentration	29, 24.1, 18.3, 12.7, 7.5, 4.5, 0.8, 0.3	UV absorbance instrument
Water Vapour ^c	Concentration	29	Licor CO ₂ -H ₂ O sensor
Meteorological			
Air temperature ^c		29, 27.9, 22.6, 15.4, 7.6, 2.5	30kW precision thermistor in aspirated radiation shield
PAR ^c		29, 12.7	Quantum sensor
Windspeed ^c	Horizontal, vertical	29	AT1 sonic anemometer
Wind direction ^c		29	AT1 sonic anemometer
Relative humidity ^c		29, 22.6, 15.4, 7.6, 2.5	Thin film capacitor sensor in aspirated radiation shield

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3 ^adata provided by McKinney and Liu; ^bMunger and Wofsy (1999b); ^cMunger and Wofsy (1999a)

4 Table 2. Boundary and initial conditions used for the FORCAsT simulations.

Model parameter or variable	Value
Total leaf area index (m ² leaf area m ⁻² ground area) ^a	3.67
Average canopy height (m) ^b	23.0

Average trunk height (m) ^b	6.0
---------------------------------------	-----

Meteorology (values measured at 29m)

Deleted: 29 m

Air temperature (°C) ^c	20.9
Wind speed (m s ⁻¹) ^c	1.589
Friction velocity, u* (m s ⁻¹) ^d	0.278
Standard deviation of vertical wind velocity, σ _w (m s ⁻¹) ^d	0.351

Concentrations at 29m (ppbv)

Deleted: 29 m

Isoprene ^e	0.939
Total monoterpenes ^e	0.449
MVK-MCR ^e	0.786
Methanol ^e	10.11
Acetaldehyde ^e	0.620
Acetone ^e	2.608
Ozone ^f	33.54
CO ^f	164.8
Water vapour ^c	1.861%

Miscellaneous

Ozone at ground-level (0.3m) ^f	20.35 ppbv
Temperature at ground-level (2.5m) ^c	18.1 °C
Soil Temperature at 15, 40, 50 and 90cm depth ^a	24.9, 25.9, 25.9, 21.4 °C
Soil Moisture at 15, 40, 50 and 90cm depth ^a	0.18, 0.15, 0.17, 0.18
NO ₂ at 29m ^g	1.00 ppbv
N ₂ O ₅ at 29m ^g	1.50 ppbv

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1 ^aMunger and Wofsy (1999c); ^bParker (1998); ^cMunger and Wofsy (1999a); ^ddata provided by Munger; ^edata
 2 provided by McKinney and Liu; ^fMunger and Wofsy (1999b); ^gMunger et al. (1996)

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3 Table 3. Deposition parameters for methanol and acetaldehyde.

Chemical	Henry's Law constant	Diffusivity	Reactivity factor
Methanol	2.2E02 ^a	1.33 ^b	1.0 ^c
ALD1 (acetaldehyde) ^d	11.4	1.6	1.0

4 ^aSander (1999); ^bWesely (1989); ^cKarl et al. (2010); ^dAshworth et al. (2015)

5 Table 4. Values of stomatal resistance coefficients and parameters used in FORCAST.

Coefficient	Value
r_{smin}	90.0
b_{rs}	200.0
T_{min}	-2.0
T_{max}	45.0
T_0	30.0
b_v	0.5
a	0.066667
b_ϕ	1.666667

6 Table 5. Modifications to the base case for each of the sensitivity simulations.

Simulation	Changes from baseline simulation
Emissions (E) of methanol and acetaldehyde included as:	
E-direct	100% direct emissions
E-storage	100% storage emissions
E-combo	80% direct; 20% storage
E-combo90	90% direct; 10% storage
Stomatal control (S) of storage emissions included:	

S-storage	Activity factor, γ_T , for storage emissions scaled by stomatal control factor, R_{fct} (Eqs. 2 and 9, with $n=3$)
S-combo	Activity factor, γ_T , for storage emissions scaled by stomatal control factor, R_{fct} (Eqs. 9 and 10, with $n=3$); 80% direct and 20% storage
Stomatal control of storage emissions using modified stomatal control factor, R_{fct} (R):	
R-storage	Threshold stomatal control factor used (Eq. 11)
R-storageP	Threshold stomatal control factor used (Eq. 11) and daytime threshold for PAR increased to 10.0
R-storageN15	Threshold stomatal control factor used (Eq. 11) with scaling factor n set to 1.5
R-storageN6	Threshold stomatal control factor used (Eq. 11) with scaling factor n set to 6.0
R-combo	Threshold stomatal control factor used (Eq. 11); 80% direct and 20% storage
R-comboP	Threshold stomatal control factor used (Eq. 11) and daytime threshold for PAR increased to 10.0; 80% direct and 20% storage
R-comboN15	Threshold stomatal control factor used (Eq. 11) with scaling factor n set to 1.5; 80% direct and 20% storage
R-comboN6	Threshold stomatal control factor used (Eq. 11) with scaling factor n set to 6.0; 80% direct and 20% storage

1 Table 6a. Emission factors (nmol m^{-2} (projected leaf area) s^{-1}) for VOCs included in
2 FORCAsT baseline simulation.

VOC	Direct	Storage
Isoprene	4.83 ^a	0.000
α -pinene	0.000	0.071 ^b
β -pinene	0.000	0.032 ^b
<i>d</i> -limonene	0.000	0.054 ^b

Methanol	0.000	0.000
Acetaldehyde	0.000	0.000

1 ^aHelmig et al. (1999); ^bGeron et al. (2000)

2 Table 6b. Emission factors, ϵ (nmol m⁻² (projected leaf area) s⁻¹) and total canopy emissions

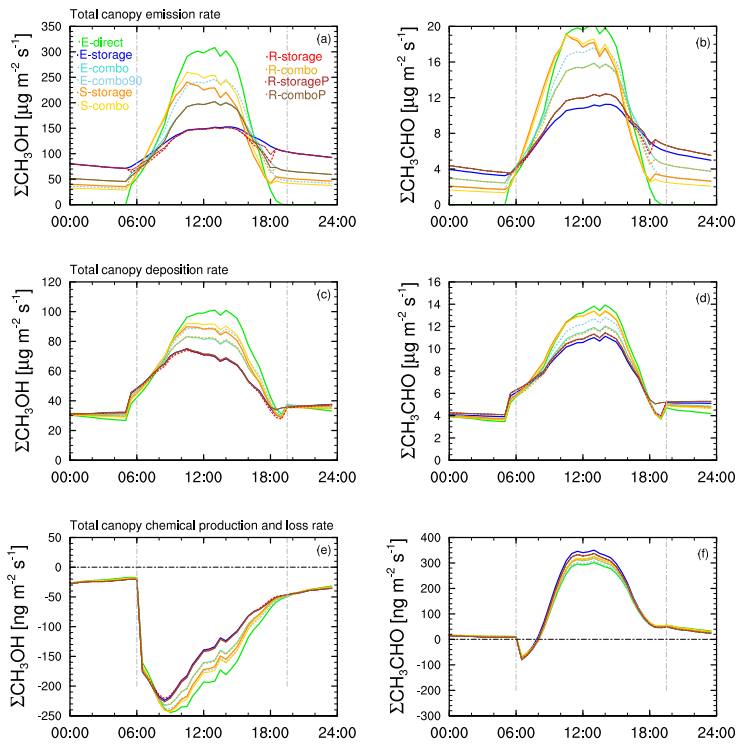
3 (mg m⁻² day⁻¹) for methanol and acetaldehyde for the FORCAsT simulations in Table 5.

oVOC	Methanol			Acetaldehyde			
	Simulation	Direct ϵ	Storage ϵ	Total	Direct ϵ	Storage ϵ	Total
E-direct		4.894	0.000	435.8	0.303	0.000	28.7
E-storage		0.000	0.653	457.0	0.000	0.036	28.5
E-combo		1.670	0.418	441.2	0.112	0.027	32.0
E-combo90		2.815	0.296	457.8	0.175	0.019	31.6
S-storage		0.000	0.326	441.0	0.000	0.019	32.1
S-combo		1.065	0.266	454.7	0.063	0.015	31.3
R-storage		0.000	0.653	438.6	0.000	0.040	30.5
R-storageN15		0.000	0.653	429.5	0.000	0.040	31.2
R-storageN6		0.000	0.751	445.6	0.000	0.046	30.9
R-combo		1.670	0.418	434.0	0.112	0.027	31.5
R-comboN15		1.670	0.418	435.8	0.112	0.027	28.7
R-comboN6		1.670	0.418	457.0	0.112	0.027	28.5
S-storageP		0.000	0.326	441.2	0.000	0.019	32.0
S-comboP		1.065	0.266	457.8	0.063	0.015	31.6
R-storageP		0.000	0.653	441.0	0.000	0.040	32.1
R-comboP		1.670	0.418	454.7	0.112	0.027	31.3

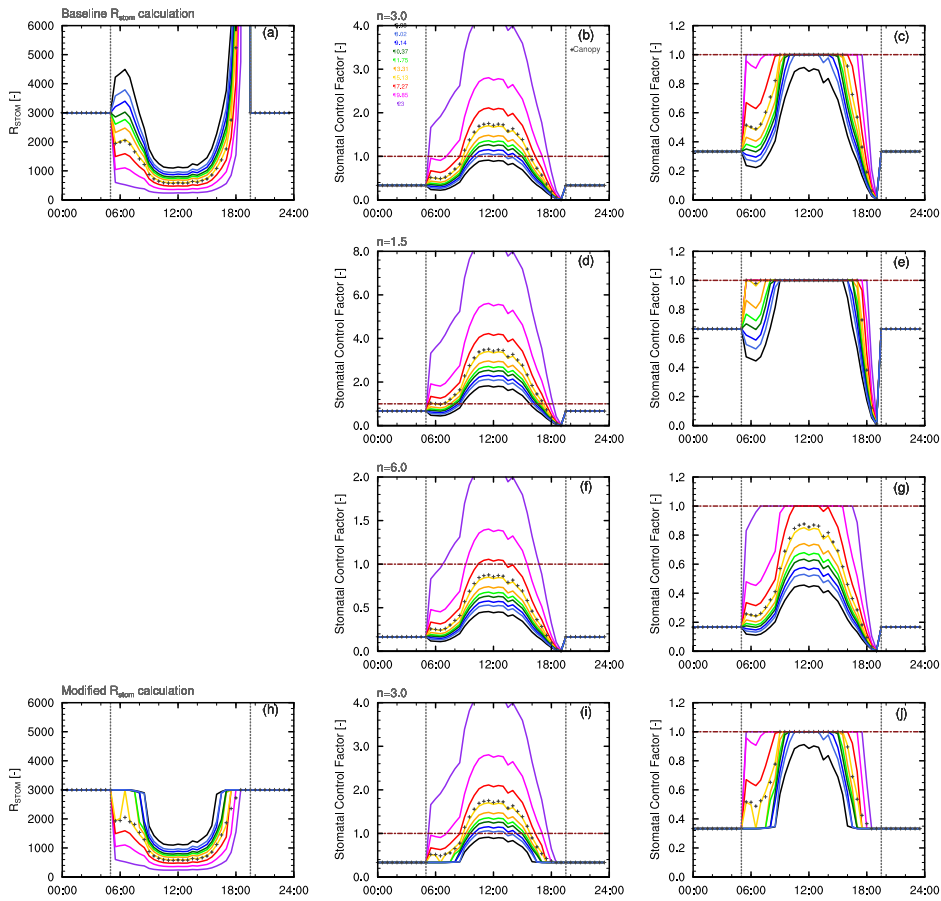
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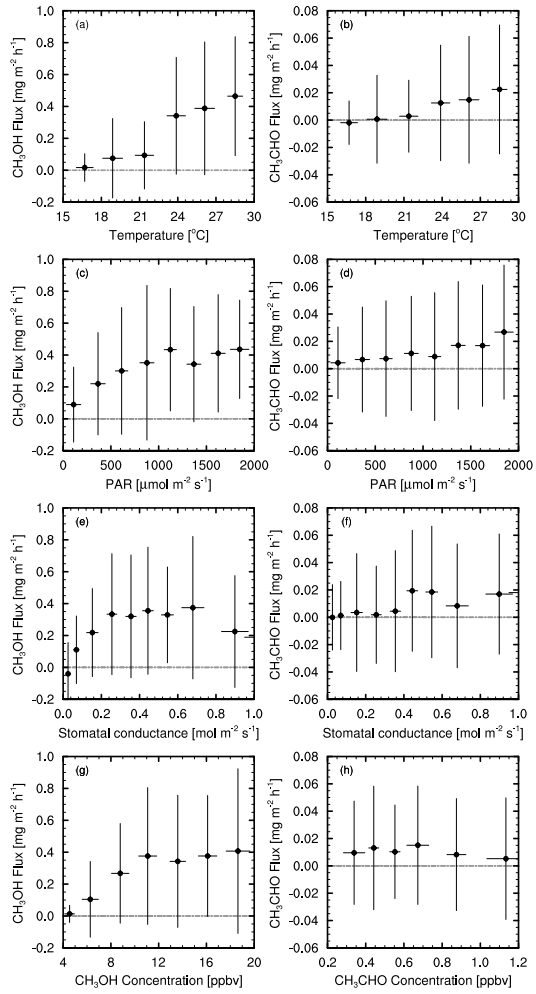


1 Figure 1. Total canopy production and loss rates per unit ground area for methanol (left) and
 2 acetaldehyde (right) summed over the 10 crown space layers. Coloured lines show total
 3 emissions (top), deposition (middle) and chemical production and loss (bottom) for each
 4 simulation.



1 Figure 2. Stomatal control applied to storage emissions. The top row shows the baseline (a)
 2 stomatal resistance, (b) stomatal control factor R_{fct} as calculated in Eq. 10, and (c) the
 3 stomatal control factor as calculated in Eqs. 11a and 11b, i.e. with a limiting value of
 4 1.0. Coloured lines show the resistances and control factors as a leaf area-weighted average for
 5 each crown space model level across the 10 leaf angle classes. The crosses show the canopy
 6 average weighted by foliage fraction in each level. The second and third rows show the effect
 7 on R_{fct} of altering the scaling factor, n , in Eq. 10 ((d) and (f)) and Eqs. 11a and 11b ((e) and
 8 (g)). The bottom row shows the same as the top for the modified stomatal resistance

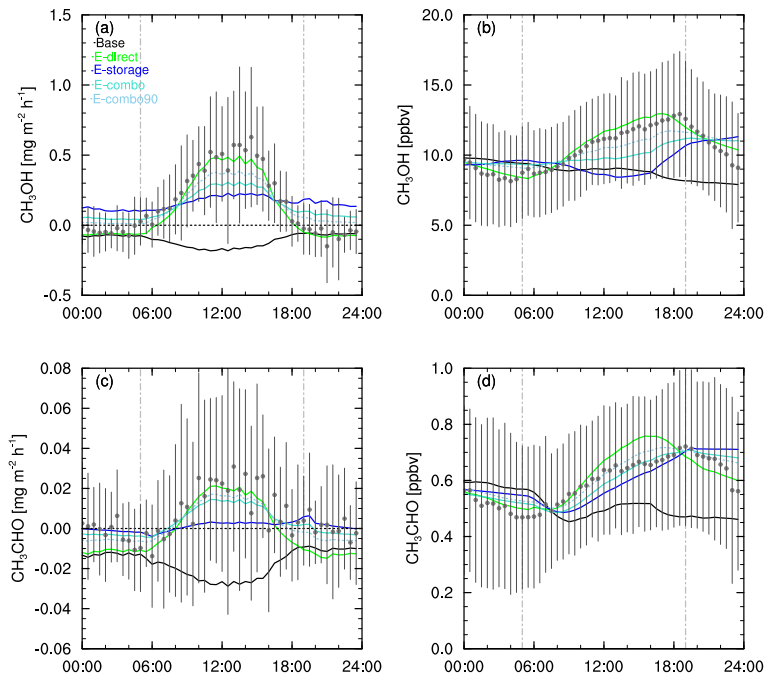
- 1 calculations in which “daylight” is assumed to start only when PAR exceeds a threshold of
- 2 $10.0 \mu\text{mol m}^{-2} \text{s}^{-1}$.
- 3
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1 Figure 3. Observed daytime (05:00-19:00 EST) fluxes of methanol (left) for July 2012 versus
 2 (a) air temperature, (c) PAR, (e) canopy stomatal conductance, and (g) methanol
 3 concentration (all measured at 29 m). The right hand column (panels b, d, f, h) shows the
 4 same relationships for acetaldehyde. Temperatures were binned in 2.5 °C intervals, PAR in
 5 250 $\mu\text{mol m}^{-2} \text{s}^{-1}$, stomatal conductance in $\sim 0.1 \text{ mol m}^{-2} \text{s}^{-1}$ and concentrations in 2.5 ppbv
 6 increments (methanol) and 0.2 ppbv (acetaldehyde). Average values for each bin are marked

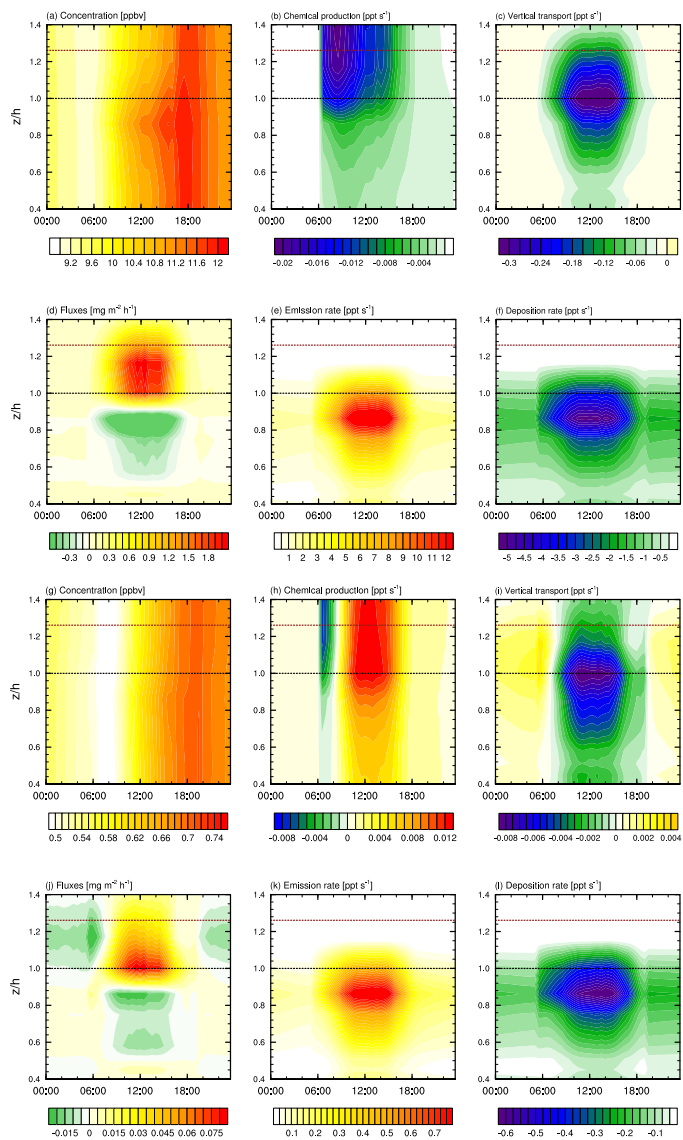
1 with circles; vertical and horizontal bars indicate 1 standard deviation above and the
2 mean in each case.

3



4 Figure 4. Measured (grey circles with vertical bars indicating 1 standard deviation above and
5 below the mean) and modelled (solid lines) fluxes (left) and concentrations (right) at 29 m for
6 an average day in July 2012 for methanol (a) fluxes ($\text{mg m}^{-2} \text{h}^{-1}$) and (b) concentrations
7 (ppbv), and acetaldehyde (c) fluxes and (d) concentrations. The solid black line shows the
8 baseline model simulation. Coloured lines denote E-direct (green), E-storage (blue) and E-
9 combo (cyan) simulations in which direct, storage and combination emissions pathways
10 respectively are included. The dashed turquoise line shows the E-combo90 (combo emissions
11 with 90% direct and 10% storage emission pathways) sensitivity test. Dashed grey vertical
12 lines show dawn and dusk. Times shown are Eastern Standard Time (EST).

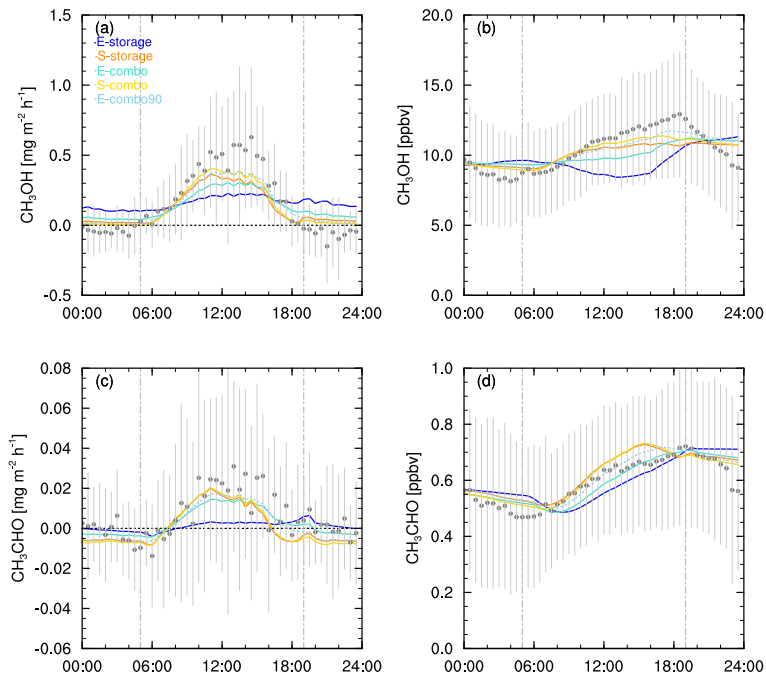
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1 Figure 5. Production and loss within the canopy space for methanol: (a) concentration, (b)
 2 chemical production rate (including photolysis), (c) changes in concentration due to vertical
 3 mixing, (d) flux, (e) emission rates, and (f) deposition rates of methanol for the E-combo90

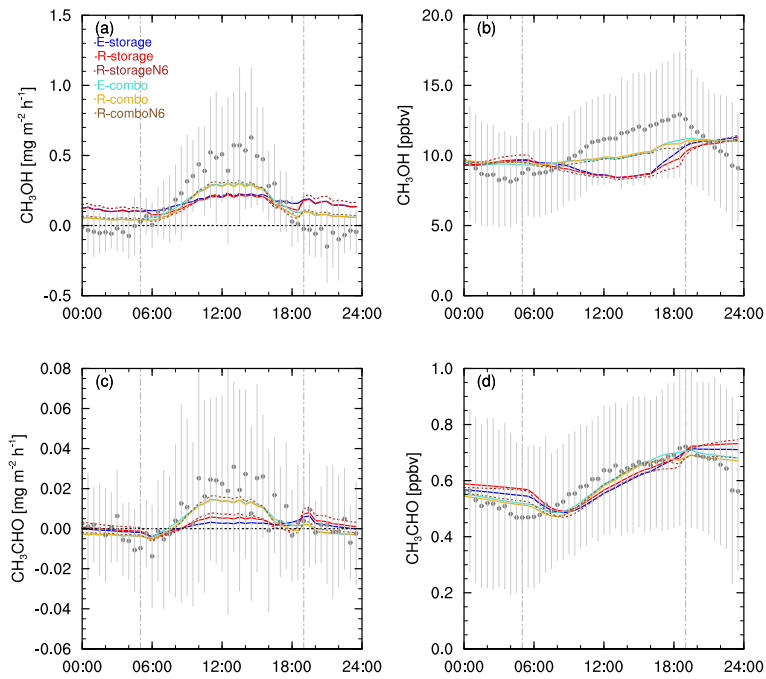
1 simulation. Rates are instantaneous in time and space. The vertical axis shows height relative
2 to canopy top height; times on the horizontal axis are LT. Panels (g)-(l) show the same for
3 acetaldehyde for the E-combo simulation. Dashed horizontal lines denote canopy top (black)
4 and observation height (red).

5



6 Figure 6. As Fig. 4 with blue lines showing E-storage and orange lines S-storage simulations,
7 and turquoise and yellow lines showing E-combo and S-combo simulations respectively. The
8 dashed turquoise line shows the E-combo90 sensitivity test. Panels show (a) methanol fluxes,
9 (b) methanol concentrations, (c) acetaldehyde fluxes, and (d) acetaldehyde concentrations at 29
10 m.

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2 Figure 7. Simulations of modified stomatal control of storage emissions (R-). Blue and
3 turquoise lines show E-storage and E-combo as Fig. 6. Red(R-storage) and dashed dark red
4 (R-storageN6) lines show the effects on 100% storage emissions for scaling factor n=3 and
5 n=6 respectively. Gold (R-combo) and dashed brown (R-comboN6) lines show the same for
6 combo emissions (20% storage). Panels show (a) methanol fluxes, (b) methanol
7 concentrations, (c) acetaldehyde fluxes, and (d) acetaldehyde concentrations at 29 m for an
8 average day in July 2012.

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