



## 1 **Characteristics of Ground Ozone Concentration over Beijing from 2004 to** 2 **2015: Trends, Transport, and Effects of Reductions**

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15 **Abstract:** Based on the hourly ozone monitoring data during 2004–2015 in urban area and at  
16 DL background station in Beijing, a comprehensive discussion of the characteristics of ozone  
17 concentration was conducted. Annual concentration of daily maximum 1 h ozone (O<sub>3</sub> 1h) was  
18 all increasing at urban sites (1.79 ppbv yr<sup>-1</sup>) and DL background station (2.05 ppbv yr<sup>-1</sup>) while  
19 daily maximum 8 h average ozone concentration (O<sub>3</sub> 8h) was increasing in urban area (1.14  
20 ppbv yr<sup>-1</sup>) and slightly decreasing at DL background station (-0.47 ppbv yr<sup>-1</sup>) from 2004 to  
21 2015 due to different ozone sensitivity regimes and ratios of NO<sub>2</sub>/NO. Diurnal variation of  
22 ozone peaks obtained at downwind DL station were about 1 h later than that in urban area  
23 from May to October in different years and concentration of ozone at a DL background  
24 station was much higher than that of urban sites. Moreover, the difference of ozone peaks  
25 between urban sites and DL background station was significantly becoming smaller in recent  
26 years, which may be related to the regional ozone transport and the expansion urbanization of  
27 Beijing. Based on the joint efforts of regional air pollution prevention and control, Beijing  
28 achieved Sep 3 military blue. Calculated average concentrations of CO, NO<sub>2</sub>, and O<sub>3</sub> in  
29 S2 (Aug 20–31, 2015) and S3 (Sep 01–03, 2015) decreased by 31.48%, 43.97%, and 13.21% at  
30 urban sites, and by 20.93%, 57.10%, and 23.62% at DL station, respectively compared with  
31 those in S1 (Aug 01–19, 2015) and S4 (Sep 04–30, 2015). A reduction of local anthropogenic  
32 emissions such as VOCs and NO<sub>x</sub> could reduce ozone efficiently especially in downwind  
33 areas of Beijing and made the ozone peaks decrease significantly and appear 2–3 h earlier  
34 compared to the scenarios of no emission reductions. Compared to the increasing ozone  
35 during Asia-Pacific Economic Cooperation (APEC) meeting period, to decrease the ozone  
36 concentration in Beijing, VOCs emissions should be reduced larger and be controlled stricter



37 than that of  $\text{NO}_x$  in Beijing and the policy of regional air pollution joint prevention and  
38 control should still be promoted unswervingly and jointly in the further.

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40 **Key words:**  $\text{O}_3$ ; trend; Beijing; regional transport; reductions

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## 42 1. Introduction

43 Ground-level ozone, one of the most important secondary air pollutants in the atmosphere,  
44 is generated through photochemical reactions between nitrogen oxides( $\text{NO}_x$ ) and volatile  
45 organic compounds (VOCs) (Trainer et al., 2000; Sillman, 1999). High concentrations of  
46 ozone near the ground are harmful to human health, ecosystems, and global climate (Fiore et  
47 al., 2009).

48 In recent years, elevated regional ozone concentration and atmospheric oxidation capacity  
49 in China have attracted increasing attention (Lin et al., 2008; Zhang et al., 2007). Numerous  
50 studies have analyzed the concentration variations of ozone and its photochemical reactions  
51 with its precursors based on the measurements over a short period or satellite data (Chan et al.,  
52 2003; Wang et al., 2012; Vingarzan, 2004). Most studies in China were mainly concentrated  
53 in city cluster regions, such as Pearl River delta (Li et al., 2011; Wei et al., 2012; Zhang et al.,  
54 2013), Yangtze River delta (Li et al., 2014; Ding et al., 2013; Ran et al., 2009), and Beijing–  
55 Tianjin–Hebei regions(BTH) (Tang et al., 2009; Shao et al., 2009; Lu et al., 2010). These  
56 studies focused on the chemical characteristics of ozone, with few discussions on the variation  
57 trends and ozone transport and its influencing factors especially by regional reduction  
58 measures within a long period because of the lack of observed data in Beijing (An et al., 2006;  
59 Chou et al., 2009; Yuan et al., 2009) and other limiting factors.

60 Different to the continuously decreasing ground ozone concentrations in urban sites in the  
61 US (Pollack et al., 2013), recent limited studies performed in China, particularly in BTH area,  
62 suggested that ozone concentrations in both regional background and urban areas are  
63 increasing (Meng et al., 2009; Wang et al., 2008) due to large  $\text{NO}_x$  emissions. Few long-term  
64 studies analyzed the trends of ground-level ozone in Beijing (Lu et al., 2010), let alone analyze  
65 the trends of daily maximum 8 h average ozone concentration( $\text{O}_3$  8h) and daily maximum 1 h  
66 ozone concentration( $\text{O}_3$  1h) and effects of urbanization and regional emission reduction  
67 measures on ozone concentrations. After the implementation of the new standard of "Ambient  
68 Air Quality Standard" (MEP, 2013) in 2013, the levels of  $\text{O}_3$  1h and  $\text{O}_3$  8h have a direct  
69 impact to the ranks of the air quality in Beijing. Furthermore, the increasing ozone pollution  
70 of Beijing obtained much public concerns from Beijing Municipal Government and the whole  
71 society (Ding et al., 2013; Wang et al., 2013) especially in Summer. The executive meeting of  
72 the State Council examined and adopted "The Control Measures of Beijing Air Pollution  
73 during 2012–2020" (<http://zhengwu.beijing.gov.cn/gzdt/gggs/t1225355.htm>). According to  
74 the regulation, the non-attainment hours of ozone in Beijing will decrease by 30% than that in



75 2010 and should be controlled at about 200 hours annually. Therefore, the results of previous  
76 studies were far from the current needs.

77 Air quality security programs were implemented from Aug 20 to Sep 3 in 2015 to  
78 guarantee the air quality for the parade on the 70th Victory Memorial Day for the Chinese  
79 People's War of Resistance against Japanese Aggression. Chinese government established  
80 numerous emission reduction measures, such as reducing coals, industrial adjustment, joint  
81 prevention measures, and limitation of vehicles (particularly heavy-duty buses and trucks  
82 from outside Beijing, and odd-even license plate policy on roads within urban Beijing). As  
83 regional emission reduction measures can not be copied and costs a lot of manpower and  
84 material resources, it offers a precious opportunity to study the changes in ozone and its  
85 precursors during the period of air quality assurance.

86 This paper aims to investigate the temporal trends of O<sub>3</sub>1h and O<sub>3</sub>8h in different sites in  
87 Beijing and verify the importance of ozone transport. Also, we evaluated the changes on  
88 ozone concentration after the reduction measures during the Sep 3 military parade in 2015.

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## 90 **2 Materials and methods**

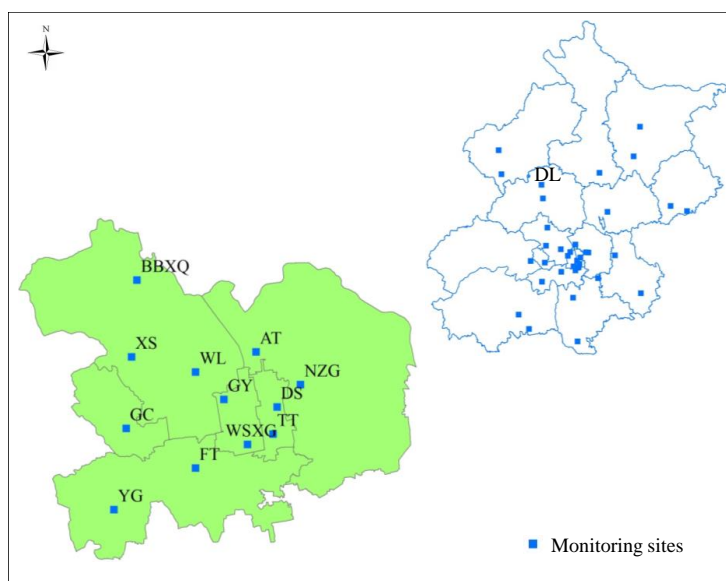
### 91 **2.1 Site distribution**

92 Beijing is located at 115.7 °–117.4 °E, 39.4 °–41.6 °N. This area is at the northwest edge of  
93 the North China Plain and close to the edge of the semi-desert zone. Its terrain exhibits a  
94 dustpan shape, and it is surrounded by mountains in three directions. The average altitude of  
95 Beijing is 43.5 m, and the general altitude of mountains is in the range of 1 000–1 500 m,  
96 which is not conducive to pollutant diffusion. The total area of Beijing is 16410.54 km<sup>2</sup>, in  
97 which 62% are mountains. Its total forest coverage in the plain region is about 15%, which is  
98 lower than that in whole city (38%). Beijing exhibits a temperate continental monsoon climate,  
99 where it is hot and rainy in summer and cold and dry in winter. Over the past decade, the  
100 annual average rainfall is less than 450 mm, 80% of which is concentrated in June, July, and  
101 August (BJEPB 2014; Beijing Statistics Bureau, 2014).

102 As the capital of China, the air quality monitoring network in Beijing is more advanced  
103 than that of the remaining regions of China (BJEPB, 2014). In 2001, an air quality monitoring  
104 network that obtains 35 monitoring stations was established by the Beijing Municipal  
105 Environmental Monitoring Center (BJMEMC, <http://zx.bjmemc.com.cn/>, **Fig. 1**). The 35  
106 monitoring stations cover all districts that contain different environment types defined by  
107 regional background, such as suburbs, city, and residential. Twelve monitoring sites (DL, DS,  
108 GY, TT, WSXG, AT, NZG, WL, GC, SY, CP, HR) in urban area and one background station



109 DL were selected in Beijing and used in this study. DL station (116.22 °E, 40.29 °N, about 45  
110 km northwest of Tiananmen square) is the background station of World Meteorological  
111 Organization Environmental Monitoring center in China and has conducted air pollutant  
112 monitoring work for decades. Meteorological sounding data in Beijing at Guanxiangtai  
113 station (GXT, 54511) were downloaded from the Department of Atmospheric Science, College  
114 of Engineering, University of Wyoming (<http://weather.uwyo.edu/upperair/sounding.html>).



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116 **Fig. 1** Distribution and classification of observation sites in Beijing

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## 118 2.2 Monitoring instruments

119 The monitoring instruments of ozone are all the 49C ozone analyzer instruments  
120 produced by Thermo Fisher Corporation (USA). The minimum limit of ozone analyzer  
121 instrument is  $1 \times 10^{-9}$ , and the zero and cross drifts are 0.4%/24 h and  $\pm 1\%$  /24 h, respectively.  
122 An ozone calibrator (49IPS) traceable to the Standard Reference Photometer maintained by  
123 the WMO World Calibration Center was used to calibrate the ozone analyzers. Ozone  
124 monitoring instrument at each station had a zero cross calibration every three days, precision  
125 audit every three month, and an accuracy check every six months to ensure the monitoring  
126 quality of ozone in Beijing. Thermo Fisher 42C NO–NO<sub>2</sub>–NO<sub>x</sub> analyzer was used to monitor  
127 NO and NO<sub>2</sub> concentrations with a limit of  $0.05 \times 10^{-9}$ , zero drift of  $0.025 \times 10^{-9}$ /24 h, and span  
128 drift of  $\pm 1\%$ /24h. Operation procedure strictly followed the “The Specification of  
129 Environmental Air Quality Automatic Monitoring Technology” (HJ/T193-2005,



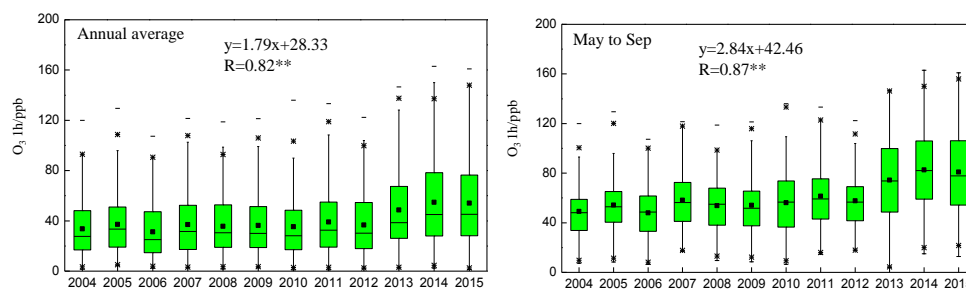
130 [http://kjs.mep.gov.cn/hjbhbz/bzwb/dqjhbj/jcgfffbz/200601/t20060101\\_71675.htm](http://kjs.mep.gov.cn/hjbhbz/bzwb/dqjhbj/jcgfffbz/200601/t20060101_71675.htm)), and the  
131 equipment was regularly calibrated and maintained by technicians.

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### 133 3 Results and Discussion

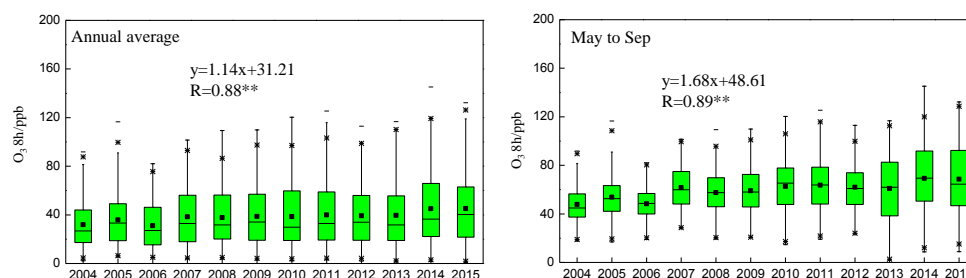
#### 134 3.1 Variations trends

135 A simple linear regression and statistical tests, such as Pearson's correlation analysis were  
136 implemented to investigate the trends of O<sub>3</sub>1h and O<sub>3</sub>8h in urban area and at DL station in  
137 Beijing (**Fig. 2**), for the interannual variations of ozone concentrations in urban Beijing, O<sub>3</sub>1h  
138 was in an evident upward trend with an annual concentration growth rate (AAGR) of 1.79  
139 ppbv yr<sup>-1</sup> (correlation coefficient R=0.82, highly correlated) and an higher increase of 2.84  
140 ppbv yr<sup>-1</sup> during May to September (MSAGR, R=0.87, highly correlated) from 2004 to  
141 2015. Variation of O<sub>3</sub>8h was in an overall upward trend with AAGR of 1.14 ppbv yr<sup>-1</sup>  
142 (R=0.88, highly correlated) and MSAGR of 1.68 ppbv yr<sup>-1</sup> (R=0.85, highly correlated) during  
143 May to September, respectively from 2004 to 2015. For the variations of ozone concentration  
144 at DL background station, O<sub>3</sub>1h was in an overall upward trend with AAGR of 2.05  
145 ppbv yr<sup>-1</sup> (R=0.81, highly correlated) and MSAGR of 0.14 ppbv yr<sup>-1</sup> (R=0.10, micro relevant),  
146 whereas O<sub>3</sub>8h was in a slightly downward trend (AAGR=-0.47 ppbv yr<sup>-1</sup>, R=-0.42, weak  
147 correlation, real relevant; MSAGR=-0.70 ppbv yr<sup>-1</sup>, R=-0.40, real relevant).



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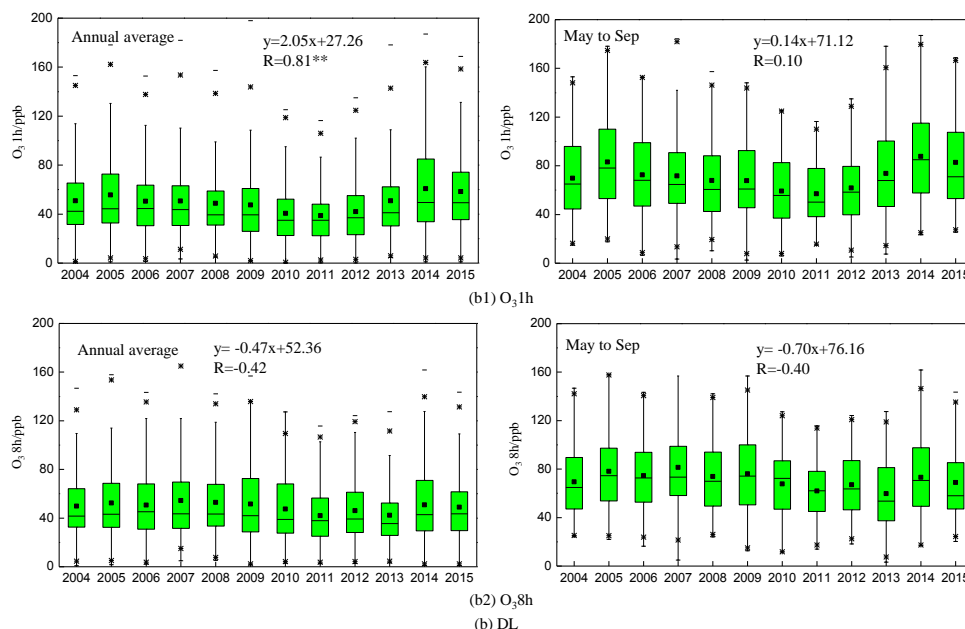
(a1) O<sub>3</sub>1h



(a2) O<sub>3</sub>8h

(a) Urban

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**Fig. 2** Variation trends of O<sub>3</sub>1h and O<sub>3</sub>8h in urban Beijing (a1, a2) and at DL background station (b1, b2) from 2004 to 2015 (including annual trends and trends from May to Sep) and the linear fitting equations(95% confidence interval, two-tailed, \*\*highly relevant)

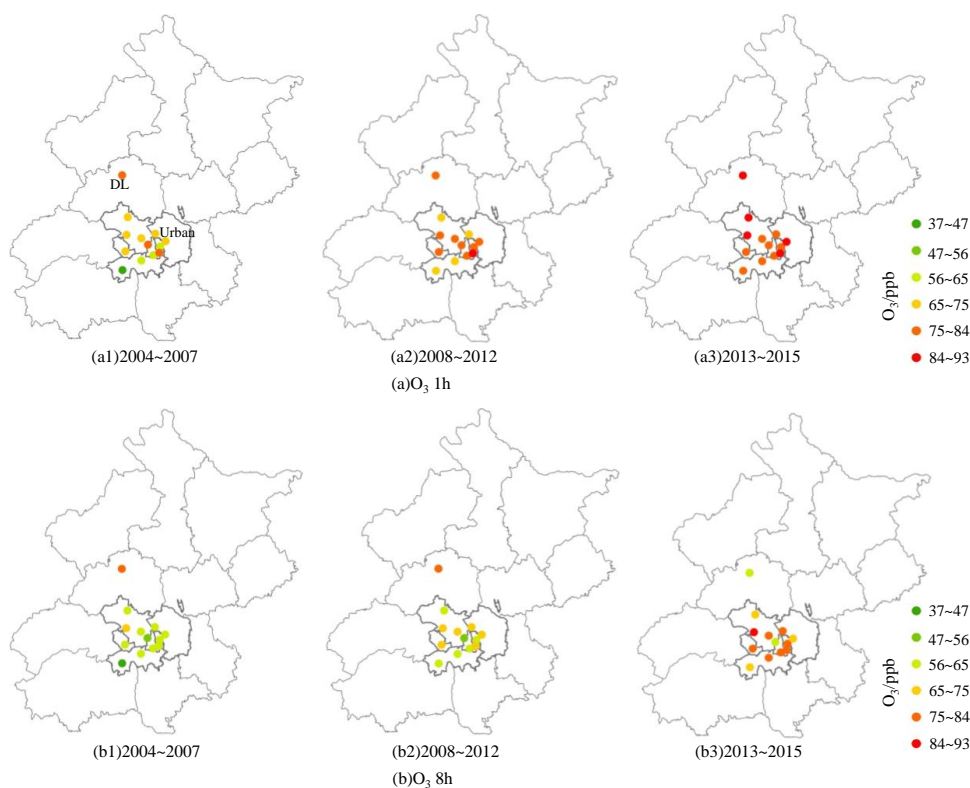
$$r = \frac{\sum (X - \bar{X})(Y - \bar{Y})}{\sqrt{\sum (X - \bar{X})^2 \sum (Y - \bar{Y})^2}} \quad (R1)$$

(r is the pearson correlation coefficient; X is the ozone concentration; Y presents the year; r between 0 and 0.3 representing the micro relevant, r between 0.3 and 0.5 representing the real relevant, r between 0.5 and 0.8 representing the significant relevant, and r between 0.8 and 1.0 representing highly relevant)

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160 For the variations of O<sub>3</sub>1h and O<sub>3</sub>8h concentration in different periods at twelve sites in  
 161 urban Beijing and DL background site(**Fig.3**), concentrations of O<sub>3</sub>1h and O<sub>3</sub>8h were all  
 162 significantly increased during the period of 2013–2015 compared to those during the periods  
 163 of 2004–2007 and 2008–2012. Average concentration of O<sub>3</sub>1h during the period of 2013–  
 164 2015 increased 3.71%~40.29% at urban sites in Beijing compared to that during the period of  
 165 2004~2012 while average concentration of O<sub>3</sub>8h during the period of 2013–2015 increased  
 166 9.51%~62.58% at urban sites in Beijing compared to that during the period of  
 167 2004~2012. Average concentration of O<sub>3</sub>1h during the period of 2013–2015 exceeded the  
 168 standard about 77.53%~104.55% at urban sites in Beijing while it was 33.09%~92.32% for  
 169 O<sub>3</sub>8h. Therefore, the rising ozone concentration after the implementation of the new standards  
 170 highlighted the terrible ozone pollution situation in recent years in Beijing.

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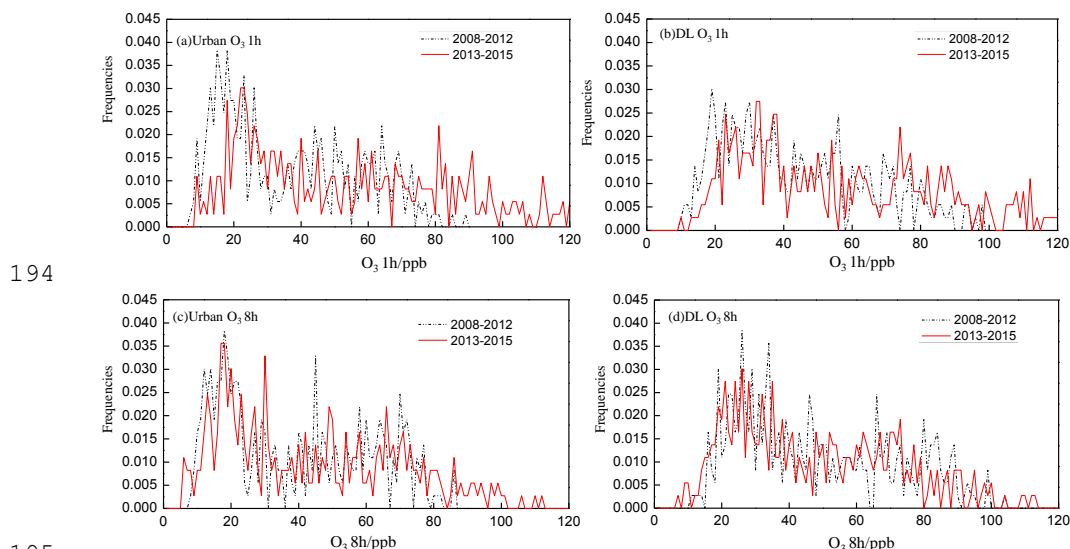
**Fig. 3** Average concentrations of O<sub>3</sub>1h and O<sub>3</sub>8h at urban sites and DL background site from May to Sep during the periods of 2004–2007, 2008–2012, and 2013–2015 in Beijing

From the frequency distributions (proportion rates in different ozone concentration intervals) of O<sub>3</sub>1 h and O<sub>3</sub> 8h in Beijing (Fig. 4), frequency of O<sub>3</sub> 8h less than 10 ppbv increased significantly during the period of 2013 to 2015 compared with that during period of 2008–2012 and frequency of ozone concentration higher than 80 ppbv also became larger both at DL background station and urban sites. For O<sub>3</sub> 1h, frequency of O<sub>3</sub> 1h higher than 80 ppbv became significantly larger both at DL background station and urban sites during the period of 2013 to 2015 compared with that during period of 2008–2012 which indicated increasing frequencies of high ozone concentration caused the significant increase of O<sub>3</sub>1h in Beijing in recent years; whereas the low values of O<sub>3</sub>1h did not increase as O<sub>3</sub>8h. This phenomenon was basically consistent with a few recent studies (Tang et al., 2009; Jonson et al., 2006; Xu et al., 2008) and could explain the increasing high ozone concentrations in Beijing due to the increasing frequency of higher ozone concentration at both background station and urban sites. Parrish et al. (2014) found that ozone volume fraction increases at an annual growth of 0.2–0.3 ppbv yr<sup>-1</sup> in the Northern Hemisphere. Also, Meng et al. (2009)





191 observed that ozone volume fraction increases at a rate of  $1.0 \text{ ppbv yr}^{-1}$  at the background  
192 station in Shanghai, China. In addition, Tang et al. (2009) found that ozone volume fraction  
193 increases at a rate of  $1.1 \pm 0.5 \text{ ppbv yr}^{-1}$  during 2001–2006 in Beijing.



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196 **Fig. 4** Frequency distribution of the ozone concentrations ( $\text{O}_3$  1h and  $\text{O}_3$  8h) at DL and urban Beijing for the periods of 2008–  
197 2012 and 2013–2015  
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199 During 2008–2015, ratios between  $\text{NO}_2$  and NO (lack of nitrogen oxide observed data  
200 during 2004–2007, **Table 2**) increased significantly with AAGR of 0.46 ( $R=0.85$ , highly  
201 relevant, 95% confidence interval, two-tailed) and 1.87 ( $R=0.93$ , highly relevant, 95%  
202 confidence interval, two-tailed) at urban sites and DL background station respectively,  
203 indicating ratios between  $\text{NO}_2$  and NO were increasing larger at background station than  
204 urban sites in Beijing. Average hourly concentrations of  $\text{NO}_x$  were in the range of 29.21–  
205 39.76 ppbv, 11.85–13.91 ppbv at urban sites and DL background station, respectively.  $\text{NO}_x$   
206 concentration was in a downward trend with AAGR of  $-0.43 \text{ ppbv yr}^{-1}$  ( $R=0.32$ , real  
207 relevant, 95% confidence interval, two-tailed) and  $-0.21 \text{ ppbv yr}^{-1}$  ( $R=0.24$ , micro  
208 relevant, 95% confidence interval, two-tailed) at urban and DL background sites, respectively.  
209 This study could not further analyze the formation mechanism of ozone due to lack of  
210 observed data for VOCs during 2008–2015, but research indicated the VOCs concentration  
211 also declines in recent year in Beijing (Lu et al., 2010; Wang et al., 2015a). Wang et al. (2015a)  
212 found mixing ratios of NMHCs measured at PKU university site decreased by 37% during  
213 August increased by 28% from 2004 to 2012 and the measured NMHC/ $\text{NO}_x$  ratios declined  
214 by 14% during August from 2005 to 2012. Since, Non-methane hydrocarbons (NMHCs)



215 accounts for the vast majority of VOCs and plays a critical role in the photochemical  
216 production of ozone, variations of VOCs should be further investigated for a thorough  
217 understanding of ozone trends in the future.

218 Ozone precursors (VOCs and  $\text{NO}_x$ ) were decreasing, whereas average concentrations of  
219  $\text{O}_3$ 8h were still increasing in urban Beijing, which may be caused by the particular sensitivity  
220 regimes and other related factors. Although previous studies indicated the distribution of the  
221 sensitivity regimes of  $\text{O}_3$ 8h concentration was similar to that of  $\text{O}_3$ 1h concentration (Zhang et  
222 al., 2008), this distribution was a little different in urban Beijing (Shao et al., 2006). The  
223 distribution of ozone sensitivity regimes is closely related to meteorological condition and  
224 emission distribution (Zhang et al., 2008; Sillman, 1999). Ozone reactions are mainly VOCs-  
225 sensitive and  $\text{NO}_x$ -sensitive in urban Beijing and suburban areas or more remote areas of  
226 Beijing, respectively (Tang et al., 2009). In urban Beijing, a reduction of anthropogenic  $\text{NO}_x$   
227 could increase local ozone efficiently while a reduction of anthropogenic  $\text{NO}_x$  in urban and  
228 suburban areas could reduce ozone efficiently in downwind suburban areas. The other factors  
229 caused by the increasing ozone concentration may be related to a significant increase in  
230 regional tropospheric  $\text{NO}_x$  concentrations, particularly in BTH area (Richter et al., 2005; Van  
231 der A et al., 2006), or high concentrations of the regional zone and its precursors transport  
232 (Parrish et al., 2014). Also, the rapid growth of population and industrialization have driven  
233 substantial increases in ozone background concentrations in BTH area (Willem et al., 2015).

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**Table 1** Statistics of  $\text{NO}_2/\text{NO}$  in urban areas and at DL site during 2008–2015 in Beijing

Parameter		2008	2009	2010	2011	2012	2013	2014	2015
$\text{NO}_x$ /ppbv	Urban sites	32.93±23.45	36.52±23.52	39.76±38.32	37.42±23.17	35.01±21.42	37.71±23.85	36.26±21.89	29.21±18.83
	DL site	13.91±11.95	16.30±14.17	15.12±9.33	17.95±14.59	16.35±14.45	17.66±16.72	14.42±11.95	11.85±10.74
$\text{NO}_2/\text{NO}$	Urban sites	3.61±2.46	2.87±1.87	3.01±2.13	3.33±2.62	3.74±3.32	4.05±3.83	5.07±4.96	5.13±4.30
	DL site	4.89±3.01	3.68±2.24	4.27±2.15	4.75±2.17	8.12±6.67	8.41±5.48	10.83±6.88	12.18±10.97

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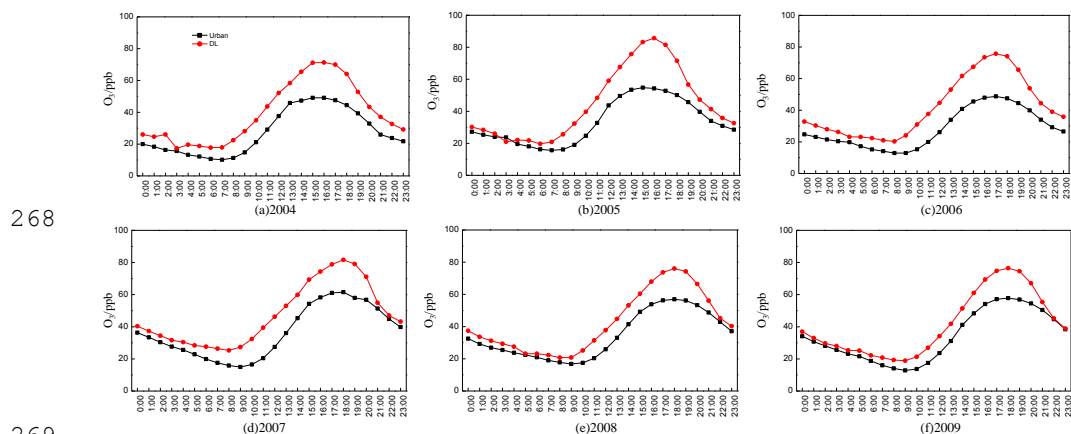
### 3.2 Diurnal variations and regional transport

240 **Fig. 5** was the diurnal variation of ozone in urban Beijing and at DL station from May to  
241 September during 2004–2015 and **Table 2** presented the statistics of ozone peaks at DL  
242 station and urban sites from May to September during 2004–2015. In general, ozone  
243 concentration at DL station was higher than that of urban sites, and peaks of ozone  
244 concentration at DL station from May to September in different years was 1.01–1.56 times



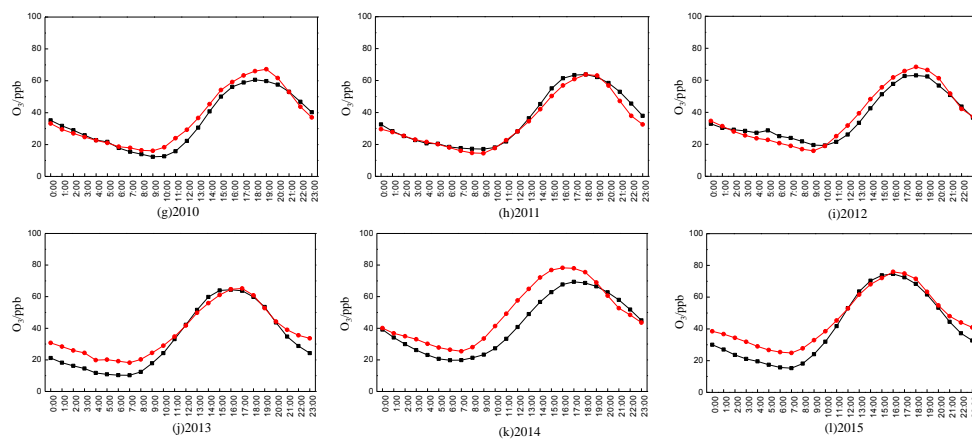
245 that in urban sites. For the spatial distribution of ozone, it was lower in central urban area and  
 246 relatively higher in the northern and western area with good vegetation. Ozone has a lifespan  
 247 of several days; consequently, high ozone concentrations can be found in regions distant from  
 248 precursor emission sources (Seinfeld 2004; Kalabokas *et al.*, 2000), and several chemical  
 249 ozone destruction reactions existing in urban center, such as R2–R3, are absent in background  
 250 areas (Saitanis 2003; Pablo *et al.*, 2013). In Beijing, NO<sub>x</sub> concentration in the urban center of  
 251 the city was typically higher because of the large amount of vehicle population, which  
 252 consumed and titrated a certain amount of ozone. The ozone peaks at DL station from May to  
 253 September in different years was obviously 1-h behind than that of urban sites, which was  
 254 closely related to the regional ozone transport (R4). Most of the ozone was generated during  
 255 the transport of its precursors from emission districts to surroundings or background sites. In  
 256 summer, high temperature, strong solar radiation, low humidity, and small southwest wind in  
 257 Beijing strengthen photochemical pollution; moreover, ozone and its precursors, such as NO<sub>x</sub>,  
 258 CO, and VOCs, are transported to the downwind area, hence the reduced ozone peak  
 259 concentration in downwind area (Carnero *et al.*, 2010; Shan *et al.*, 2010).

260 In addition, the difference of ozone peaks between DL station and urban sites was  
 261 significantly decreased from 18.20 ppbv to 2.72 ppbv during 2004–2010 and 2011–2015. This  
 262 change may be related to the expansion of urbanization of Beijing. With city expansion and  
 263 economic development, the district near DL station was urbanized and easily influenced by  
 264 anthropogenic emissions. Santini *et al.* (2010) found Beijing urban extent estimated from  
 265 Landsat data was from 1105 km<sup>2</sup> to 4139 km<sup>2</sup> between 2000 and 2009. Jacobson *et al.* (2015)  
 266 pointed that urbanization decreases the concentrations of many surface chemicals due to their  
 267 vertical dilution but increases near-surface ozone.





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**Fig. 5** Diurnal variations of ozone in urban areas and at DL station from May to September during 2004–2015 in Beijing

**Table 2** Peak ozone concentration from May to September during 2004–2015 at DL station compared with the urban city of Beijing

Parameter	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2004–2015
delay hour/h	1	1	0	0	0	0	1	1	0	1	0	1	0
concentration difference /ppbv	22.18	30.95	27.00	20.11	19.09	18.67	6.64	0.33	5.28	0.78	8.83	0.24	12.38

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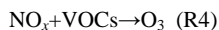
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### 3.3 Emission reductions on ozone concentrations

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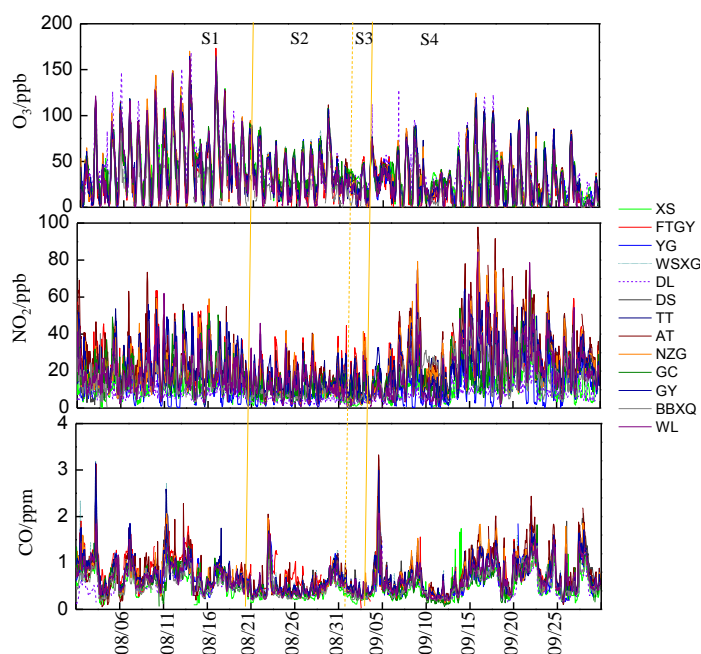
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Prevention and control of air pollution in BTH region and its surrounding areas, such as Shandong, Shanxi, and Inner Mongolia, effectively reduced the air pollutants' emission intensity caused by "human activities" and led to the Beijing blue during the parade on the 70th Victory Memorial Day for the Chinese People's War of Resistance against Japanese Aggression (Sep 3 military parade). Local enhanced reduction measures in Beijing were implemented firstly in S2 (Aug 20–31, 2015), and regional enhanced reduction measures in Beijing and its surrounding areas were implemented subsequently in S3 (Sep 01–03, 2015). Calculated average concentrations of CO, NO<sub>2</sub>, and O<sub>3</sub> in S2 and S3 decreased by 31.48%, 43.97%, and 13.21% at urban sites, and by 20.93%, 57.10%, and 23.62% at DL site, respectively (**Table 3; Fig. 6**) compared with those in S1 (Aug 01–19, 2015) and S4 (Sep 04–30, 2015). After the implementation of enhanced reduction measures in the surrounding



298 area in S3, average concentrations of NO<sub>2</sub> and O<sub>3</sub> at the urban sites decreased by 3.95% and  
 299 8.05.0%, respectively compared with those in S2, and average concentrations of CO in S3  
 300 were close to those in S2. Overall, the enhanced reduction measures decreased most air  
 301 pollutants in Beijing significantly and effectively.



302  
 303 **Fig. 6** Concentrations of main air pollutants in urban Beijing from August 01to September 30 in 2015.

304  
 305 **Table 3** Average concentrations of main air pollutants at urban sites at four stages in Beijing in 2015

306  
 307

Pollutants	Stage	XS	FTGY	YG	WSXG	DS	TT	AT	NZG	GC	GY	BBXQ	WL	DL
O <sub>3</sub> /ppb	S1	52.96	50.47	48.86	51.41	46.89	48.37	50.17	53.21	52.23	50.88	34.26	51.33	56.37
	S2	38.02	34.67	38.89	39.73	35.14	36.14	37.78	39.22	42.64	36.92	17.66	32.97	33.55
	S3	36.59	34.61	33.72	34.38	31.36	33.23	35.54	34.43	37.46	33.97	13.40	30.47	36.93
	S2-S3	37.31	34.64	36.31	37.05	33.25	34.68	36.66	36.83	40.05	35.44	15.53	31.72	35.24
	S4	33.66	31.82	28.95	28.09	27.49	25.23	32.30	29.77	28.69	31.97	16.78	26.69	35.90
NO <sub>2</sub> /ppb	S1	9.89	25.72	12.17	19.60	17.95	19.72	24.95	19.42	19.04	24.64	15.00	18.32	8.63
	S2	6.02	16.38	6.59	13.20	12.89	14.87	14.98	13.16	10.53	15.17	7.55	12.90	3.71
	S3	5.78	16.45	7.67	12.98	12.69	13.85	13.60	12.33	11.50	14.42	7.16	9.70	3.97
	S2-S3	5.90	16.42	7.13	13.09	12.79	14.36	14.29	12.74	11.01	14.79	7.35	11.30	3.84
	S4	11.68	27.32	14.35	22.42	25.06	25.01	31.52	26.26	20.27	23.90	21.34	24.27	9.26
CO/ppm	S1	0.58	0.98	0.73	0.85	0.78	0.80	0.79	0.78	0.74	0.82	0.57	0.75	0.54
	S2	0.35	0.73	0.47	0.50	0.45	0.46	0.49	0.45	0.45	0.49	0.34	0.42	0.38
	S3	0.36	0.63	0.52	0.58	0.55	0.56	0.57	0.51	0.49	0.56	0.38	0.50	0.44
	S2-S3	0.36	0.68	0.50	0.54	0.50	0.51	0.53	0.48	0.47	0.52	0.36	0.46	0.41
	S4	0.50	0.74	0.61	0.78	0.76	0.74	0.82	0.74	0.58	0.74	0.57	0.68	0.50

308



309 In order to eliminate the influence of meteorological factors, we counted the observed  
 310 variations of meteorological elements from Aug 20 to Sep 05 at GXT station in Beijing  
 311 between 2010 and 2015 (We only collected meteorological data for the past five years).From  
 312 **Table 4**,the temperature and wind speed at ground which can affect ozone concentration  
 313 directly changed slightly during the study periods. Average temperature was fluctuating  
 314 between 23.6~24.3°C and it was lowest in 2011 and highest in 2013. Average of wind  
 315 speeds was fluctuating between 1.4~1.9 m s<sup>-1</sup>,suggesting the atmosphere was generally stable  
 316 in August in Beijing.Average relative humidity and surface pressure was also possessing the  
 317 same characteristics.Frequency of the north wind at 850hPa directly affects vertical diffusion  
 318 of ozone.Average frequency of the north wind at 850hPa was between 12.1% and 41.2% and  
 319 it changed to 24.3% from Aug 20 to Sep 05,2015 indicating the atmosphere was also  
 320 relatively stable in the vertical direction It is reported that both sunshine hours and visibility  
 321 in BTH region have been decreasing in the past decades (Yang et al., 2009; Zhao et  
 322 al.,2011).But we could not detect the significant decrease of sun-shine hours due to lack of  
 323 observed meteorological data. Briefly, we suppose that the meteorological elements might play  
 324 only a minor role in the ozone concentration changes in Beijing, and then focus our discussion  
 325 on the effects of regional emission reduction measures.

326

327 **Table 4.**Average meteorological elements from Aug 20 to Sep 05 at GXT station in Beijing between 2010 and 2015.

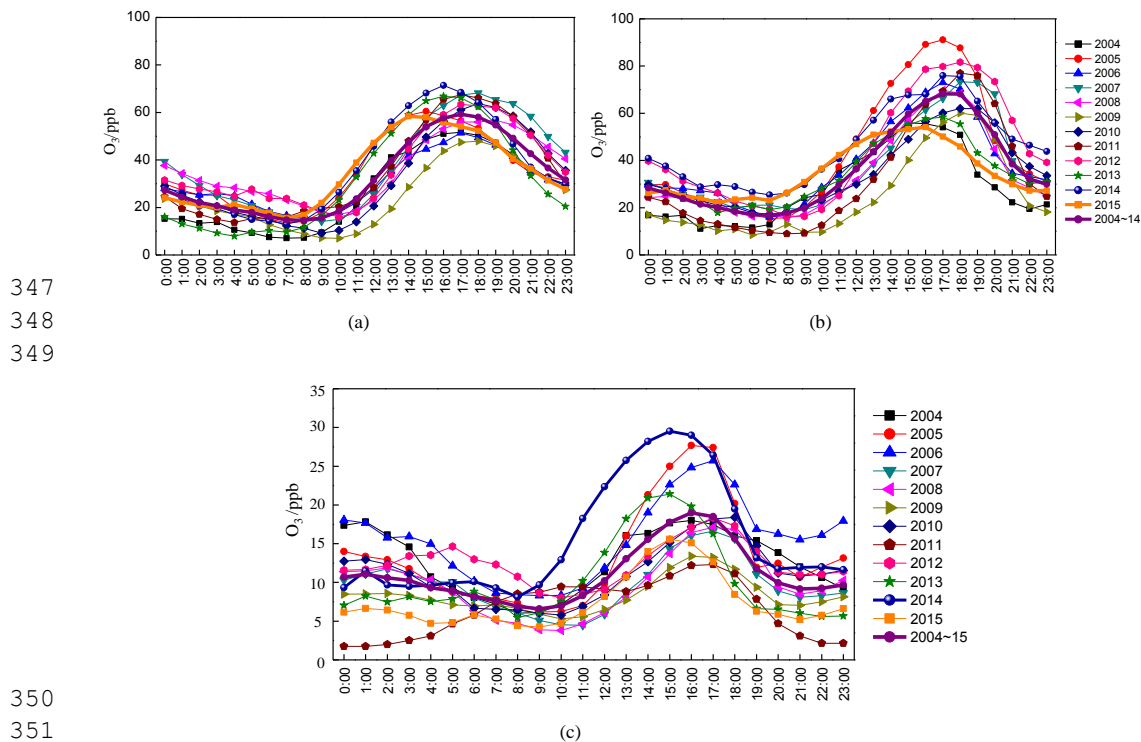
Year	RH/%	Surface Pressure/hPa	T/°C	Speed/(m s <sup>-1</sup> )	Frequency of the north wind at 850hPa /%	T at 850hPa /°C
2010	70.7	1008.0	24.1	1.6	20.6	16.0
2011	73.2	1007.4	23.6	1.7	12.1	16.1
2012	68.6	1006.8	23.8	1.9	41.2	15.9
2013	66.2	1005.8	24.3	1.9	25	16.1
2014	67.6	1006.5	24.2	1.7	14.7	16.7
2015	69.1	1009.9	24.1	1.4	24.3	13.9

328

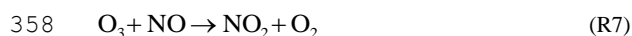
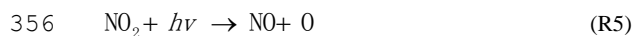
329 We further analyzed the year-on-year comparisons of diurnal variations of ozone from  
 330 2004 to 2015 during the same periods of air quality assurance stages(S2 and S3)(**Fig.7ab**).  
 331 Comparing the ozone peaks in urban Beijing during S2 and S3 stages between 2004-2014 and  
 332 2015(the year of taking emission reduction measures to ensure the regional air quality), the  
 333 ozone peak in 2015 was 3h earlier and 0.91 ppbv lower compared to the average ozone peaks  
 334 during the period of 2004-2014.Whereas comparing the diurnal variations of ozone at DL site  
 335 during S2 and S3 stages between 2004-2014 and 2015, the ozone peak in 2015 was 10.98  
 336 ppbv lower and 2 h earlier compared to that during the period of 2004-2014.The ozone peaks  
 337 between urban sites and DL site were much closer (only about 0.48ppbv) after the a



338 reduction of anthropogenic emissions in 2015. The earlier ozone peaks indicated the  
 339 approximate photochemical equilibrium (R5-R7) of  $O_3$ , NO and  $NO_2$  was moved up in Beijing  
 340 during the day due to regional emission reductions. Therefore, a reduction of anthropogenic  
 341 emissions such as VOCs and  $NO_x$  in urban areas made the ozone peaks decrease  
 342 significantly and appear 2~3h earlier compared to the scenarios of no emission reductions  
 343 which was a very interesting phenomenon and first found in Beijing and it could also reduce  
 344 ozone concentration efficiently especially at background sites or downwind areas by the  
 345 weakened regional transport which was coincident with the study of Seinfeld  
 346 (Seinfeld, 2006).



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 348  
 349  
 350  
 351  
 352 **Fig. 7** Diurnal variations of ozone in urban Beijing (a) and at DL station (b) during S2 and S3 stages from 2004 to 2015  
 353 compared to that of APEC meeting air quality assurance period (Nov 1–Nov 11, 2014) in urban Beijing (c) from 2004 to  
 354 2015



359





360 Compared to the variations of ozone during Asia-Pacific Economic Cooperation(APEC)  
361 meeting air quality assurance period(Nov 1~Nov 11,2014;**Fig7c**) in urban Beijing,the ozone  
362 peak in 2014 was 1h earlier and about 9.6 ppbv higher compared to the average ozone peaks  
363 during the period of 2004-2015 although Beijing and its surrounding areas also adopted  
364 different levels of emission reduction measures.

365 As we all know,NO<sub>x</sub> and VOCs emission control can considerably affect the O<sub>3</sub>  
366 concentration, but ozone generation is not a simple linear relationship with its precursors  
367 (Sillman, 1999).Ozone pollution is mainly concentrated in summer, and biogenic emissions  
368 accounted for a majority of the total VOCs. Therefore, the emission reduction of VOCs via  
369 anthropogenic measures cannot make it higher than that of NO<sub>x</sub>.To ensure the air quality  
370 during the military parade(S2 and S3 stages) in 2015, NO<sub>x</sub> and VOCs emission control in  
371 Beijing and its surrounding areas lasted for almost a month, and VOCs emission control  
372 measures was much stricter than NO<sub>x</sub>(MEP, 2015); thereby, ensuring the reduction of VOCs  
373 emission(45%) is higher than that of NO<sub>x</sub>(30%).While for the temporal distribution of ozone  
374 during APEC meeting air quality assurance period, regional VOCs emission(about 30%) was  
375 equal to that of NO<sub>x</sub>( about 30%)(MEP, 2015) and it was easily affected by the relatively  
376 unfavorable diffusion conditions in Autumn in Beijing which lead to the concentrations of  
377 NO<sub>x</sub> and CO two times larger than that of Sep 3 military parade period.So different emission  
378 reduction ratio between NO<sub>x</sub> and VOCs and different weather conditions led to different  
379 VOC(ppbvC)/NOx(ppbv)ratios during Sep 3 military parade period and APEC meeting.If the  
380 NO<sub>x</sub> levels are so high that it is not consumed before the end of the day,then ozone is VOCs  
381 sensitive, and decreasing NO<sub>x</sub> would cause increased ozone formation during APEC  
382 meeting.This phenomenon of concentrations of most of the air pollutants decreased, whereas  
383 concentrations of ozone increased during APEC meeting period which was consistent with  
384 the study of Wang (Wang et al,2015b).Whereas,the higher VOCs emission reduction caused  
385 the slight decrease in urban area but significant decrease at downwind DL background station  
386 during Sep 3 military parade period.

387 Above all,success of air quality protection during the Sep 3 military parade proved that  
388 the current governance policy is correct and far-sighted. Moreover, ozone pollution is  
389 typically a regional rather than a local issue. Thus, in the future, clean air action plan in  
390 Beijing should be implemented on the basis of the lessons from regional air pollution  
391 prevention and control mechanism to promote the continuous improvement of regional air  
392 quality unswervingly and jointly. Combined with multiple observation stations of ozone in a





393 long period, the numerical models should also be combined to further analyze the ozone  
394 formation, so as to develop effective ozone pollution control measures.

395

#### 396 **4 Conclusions**

397 4.1 Annual concentration of daily maximum 1 h ozone ( $O_3$ 1h) was all increasing at urban  
398 sites( $1.79 \text{ ppbv yr}^{-1}$ ) and DL background station( $2.05 \text{ ppbv yr}^{-1}$ ) while daily maximum 8 h  
399 average ozone concentration( $O_3$ 8h) was increasing in urban area( $1.14 \text{ ppbv yr}^{-1}$ ) and slightly  
400 decreasing at DL background station( $-0.47 \text{ ppbv yr}^{-1}$ ) from 2004 to 2015 due to different  
401 ozone sensitivity regimes and ratios of  $NO_2/NO$ .

402 4.2 Diurnal variation of ozone peaks obtained at the downwind DL station were about 1 h  
403 later than that of the urban area from May to October in different years and concentration of  
404 ozone at downwind background station was much higher than that of urban sites. Moreover,  
405 difference of ozone peaks between urban sites and DL background station was significantly  
406 becoming smaller in recent years, which may be related to regional ozone transport and the  
407 expansion urbanization of Beijing.

408 4.3 Based on the joint efforts of regional air pollution prevention and control, Beijing achieved  
409 Sep 3 military blue. Average concentrations of CO,  $NO_2$ , and  $O_3$  in S2(Aug 20~31,2015) and  
410 S3(Sep 01~03,2015) decreased by 31.48%, 43.97%, and 13.21% in urban sites, and by  
411 20.93%, 57.10%, and 23.62% at DL site, respectively compared with those in S1(Aug  
412 01~19,2015) and S4(Sep 04~30,2015). A reduction of local anthropogenic emissions such as  
413 VOCs and  $NO_x$  could reduce ozone efficiently especially in downwind areas of Beijing and  
414 made the ozone peaks decrease significantly and appear 2~3h earlier compared to the  
415 scenarios of no emission reductions. Compared to the increasing ozone during APEC  
416 period, to decrease the ozone concentration in Beijing, emissions of VOCs should be reduced  
417 larger than that of  $NO_x$  in Beijing and the policy of regional air pollution joint prevention and  
418 control should still be promoted unswervingly and jointly in the future.

419

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