

1 **Reply to the reviewer's comments**

2 *Reviewer 1:*

3 Many thanks for the helpful review and suggestions. We have improved the manuscript along
4 the lines indicated. Please find below the replies.

5 **Comment:** Page 7, line 27: Discuss clearly the method 'heteroscedastic t-testing to assess
6 statistical significance'. Also page 8, line 1: Explain standardized differences. As those are the
7 main methods to capture signal QBO east to that from QBO west, describe those methods in
8 details and give the formula.

9 **Reply:** We have added more detail on the method, including a formula and references for the
10 testing.

11

12 **Comment:** Page 10, line 20: "predominantly negative, as expected from the Holton-Tan (H-
13 T) effect." Also in page 9, line 7: there are some remarks about H-T effect. It is better to
14 mention some discussions in this context relating to change in the behaviour of H-T effect
15 during period 1977-1997. Lu et al. (2008) discussed that H-T effect weakened and even
16 reversed around that time. Though it was statistically significant during solar min and 1959-
17 1976 and 1998-2006 for extended winter (Nov-April).

18 **Reply:** We have rephrased the text and have added the reference.

19

20 **Comment:** Page 7, line 29: It is good as the different period is used '1908-1957 and 1958-
21 2012'. Considering longer period, Roy (2014) also showed that some tropospheric signatures
22 were different before and after 1958. I liked the idea of Table 1. Give little discussions with a
23 possible explanation for the change in the northern hemisphere polar vortex and Berlin
24 surface air temperature during that periods. One explanation could be that there was a change
25 in mean state of tropospheric circulation during 1958 (Vecchi and Soden (2007)). Change in
26 tropospheric circulation can directly impact Berlin surface temperature. Also, the circulation
27 change can modulate upward propagating planetary wave activity and subsequently can
28 influence Polar Vortex. Page 1, line 29: In the abstract it is mentioned that 'In the model
29 simulations, likewise, both links tend to appear alternatingly, suggesting a more systematic
30 modulation.' Discuss possible mechanism in the text, in line with the earlier paragraph, to
31 make that argument strong.

32 **Reply:** We have added the references and also some text explaining the possible dependence
33 on the background. We have no results to offer here, however. We tested several measures of
34 the base state (e.g. circulation indices), but did not find agreement between models and
35 observations. Moreover, given the time window used, the signals in the observations must be
36 enormous to be significant. Therefore, although we found for instance a very strong
37 correlation between the non-stationarity of the signal and the AMO in the observations, it is
38 not significant in the observations alone and the same is not found in the model. It therefore
39 remains a hypothesis. Another potential influence are decadal latitudinal shifts in the jet. We
40 added a little bit of text, but no results.

41

42 **Comment:** It is hard to distinguish the colours in f, g and h.

43 **Reply:** Done

44

45 Minor comments. All minor comments were incorporated into the manuscript.

46

1 *Reviewer 2:*

2 Thank you very much for the review and the suggestion. We have improved the manuscript
3 along the lines indicated. Specifically, we have improved significance testing (and its
4 description), as outlined below and in the replies to the other reviewer. The use of data is now
5 illustrated in a schematic, and the historical background is shortened. We have tried to
6 straighten the argumentation at times.

7

8 **Comment 1:** Sec. 2: Although detailed information on data used in QBO reconstruction and
9 tropospheric climate is described, it is very difficult to follow which one goes to which period
10 and which exact period is used for the study. A table or schematics showing types of
11 observations and period used in reconstruction will be certainly helpful to readers.

12 **Reply:** We have added a schematic figure (Fig. S3) that illustrates the data sets used, time
13 periods, and analysis windows.

14

15 **Comment 2:** Model: Indicate the vertical resolution between 300hPa - 50 hPa, which would
16 be important for the QBO to influence the tropical convection.

17 **Reply:** The vertical resolution around the tropopause is indicated.

18

19 **Comment 3:** The composite is done for QBO phases. The phases are defined by the sign of
20 the wind without the information on the amplitude. Has the amplitude been considered? For
21 instance, sampling only when QBO index exceeds certain threshold may reduce discrepancy
22 between the sub-periods and between the datasets.

23 **Reply:** We require the winds to be above 5 m/s, otherwise QBO phase is classified as neutral.
24 In this sense, the amplitude of the QBO is included to a small extent. This is made clear in the
25 revised manuscript.

26

27 **Comment 4:** It is puzzling that the paragraph starts that the impact of QBO on the
28 troposphere is weak, but the main context of the paragraph supports the tropospheric signal of
29 the QBO.

30 **Reply:** We have rephrased the beginning of the paragraph.

31

32 **Comment 5:** Figure 2 must be for 1979 and onward, and one of the purposes of the plot is to
33 show the pattern of the H-T effect. But the results from other datasets often do not support the
34 H-T mechanism. To better visualize why this is the case, I recommend to examine the
35 patterns in other datasets as well, such as REC+NNR, 20CRv2c, and the model simulations.
36 This can tell use about the following: Z100 does not tell us about tropical response, which
37 should be consistent with ERA-interim by construction. Extratropical Z100 response of
38 REC+NNR for 1957-2014 is consistent with ERA-interim and the H-T effect. Some model
39 simulations also support this picture. However, it is not the case for 20CRv2c.

40 **Reply:** Yes, the analysis is since 1979, and this is now clearly stated in the revised manuscript.
41 We also add a figure where we show the same for 20CRv2c and ERA-20C for the exact same
42 period (new Fig. S4). Some text has been added.

43

44 **Comment 6:** Please indicate pressure levels in Fig. 2. Absence of this hinders comparison
45 with Table 1. Statistical significance will be very useful.

1 **Reply:** We added the pressure levels as well as statistical significance. (We also added the
2 same to Fig. 5)

3
4 **Comment 7:** Table 1: Please define REC+NNR. Also add period 1979-2014 and ERA-
5 interim result; this period is shown in Figs. 2 and 5. Lastly, it is difficult to picture what is
6 going on based on the mean pictures of the two sub-periods. Temporal variation would be
7 certainly useful to understand each data. For example, running correlations between QBO
8 index and Z100 may be able to tell us about the discrepancy between data. At least all the data
9 are expected be consistent for the latest decades, say after 1979 and onward. One may be able
10 to trace back where the discrepancy is started.

11 **Reply:** We added ERA-Interim results. The analysis of moving window composites between
12 the QBO and Z100 was repeated for all data sets (for consistency with the rest of the paper,
13 we did not use correlations). Results show that in recent years, differences between the data
14 sets are small, while they increase further back in time. We accounted for this in the revised
15 manuscript by adding ERA-Interim as well as ERA-20C to Table 1 and adding a new period,
16 1979-2014 to Table 1. Some text is added on that.

17
18 **Comment 8:** Figure 3: Another row using REC+NNR can be a useful reference as
19 REC+NNR better compares with the simulations based on Table 1.

20 **Reply:** We have performed that analysis but do not show it in the revised paper. The
21 corresponding figure for the reconstructions alone is already shown in the supplement.
22 Showing the figure with the reconstructions merged with a recent reanalysis would add
23 another complexity since the field reconstructions must be extended with ERA-40 (to which it
24 was calibrated), while the index reconstructions must be extended with NCEP/NCAR (they
25 stem from two different papers). Therefore, two more data sets would have to be added and
26 discussed. Showing only the period 1957, which we do in the supplement, is cleaner in this
27 sense.

28
29 **Comment 9:** Model simulations in Fig. 4 provide a nice visualization that the impact of QBO
30 is weak. However, some metrics can be useful to summarize the result. For example, what are
31 the correlations among models? Also, the authors probably have tested different windows for
32 the moving average. What is the reason of choosing 30 years? It should be tested to indicate
33 robustness of their result to the choice of the window.

34 **Reply:** Concerning the correlation among models, the indices are nearly uncorrelated as
35 correlation can only come from the forcings or the QBO. We added a sentence on that.
36 Concerning the window length, a period of 30 years was chosen as this represents the typical
37 time period of data availability in many studies. However, as the effects could be aliased by
38 variability modes of a similar periodicity, we also tested other window lengths (20 to 50
39 years) and found the same results. A sentence on that is added.

40
41 **Comment 10:** Figure 5: Statistical significance test especially for the vertical motion is
42 required.

43 **Reply:** Significance is added.

44
45 **Comment 11:** Figure 6: Fig. S10 shows just one row. Besides it appears there is almost no
46 significant areas, which is not clear in the text. I feel that Figure 6 is misleading as if there is
47 strong QBO signal.

1 **Reply:** Yes, almost nothing is significant, and this is important to note. We added a sentence
2 to the text on that.

3

4 **Multidecadal Variations of the Effects of the Quasi-Biennial** 5 **Oscillation on the Climate System**

6

7 Stefan Brönnimann^{1,2}, Abdul Malik^{1,2}, Alexander Stickler^{1,2}, Martin Wegmann^{1,2}, Christoph C.
8 Raible^{1,3}, Stefan Muthers^{1,3}, Julien Anet⁴, Eugene Rozanov^{5,6}, Werner Schmutz⁶

9

10

11 ¹ Oeschger Centre for Climate Change Research, University of Bern, Switzerland

12 ² Institute of Geography, University of Bern, Switzerland

13 ³ Climate and Environmental Physics, Physics Institute, University of Bern, Switzerland

14 ⁴ Swiss Federal Laboratories for Materials Science and Technology, Dübendorf, Switzerland

15 ⁵ Institute of Atmospheric and Climate Sciences, ETH Zurich, Switzerland

16 ⁶ PMOD/WRC Davos, Switzerland

17

18

19 **Abstract**

20 Effects of the Quasi-Biennial Oscillation (QBO) on tropospheric climate are ~~not always strong or appear~~ only
21 intermittently. Studying them requires long time series of both the QBO and climate variables, which has
22 restricted previous studies to the past 30-50 years. Here we use the benefits of an existing QBO reconstruction
23 back to 1908. We first investigate additional, newly digitized historical observations of stratospheric winds to
24 test the reconstruction. Then we use the QBO time series to analyze atmospheric data sets (reconstructions and
25 reanalyses) as well as the results of coupled ocean-atmosphere-chemistry climate model simulations that were
26 forced with the reconstructed QBO. We investigate effects related to (1) tropical-extratropical interaction in the
27 stratosphere, wave-mean flow interaction, and subsequent downward propagation and (2) interaction between
28 deep tropical convection and stratospheric flow. We generally find weak connections, though some are
29 statistically significant over the 100-year period and consistent with model results. Apparent multidecadal
30 variations in the connection between the QBO and the investigated climate responses are consistent with a small
31 effect in the presence of large variability, with one exception: the imprint on the northern polar vortex, which is
32 seen in recent reanalysis data, is not found in the period 1908-1957. Conversely, an imprint in Berlin surface air
33 temperature is only found in 1908-1957, but not in the recent period. In the model simulations, likewise, both
34 links tend to appear alternatingly, suggesting a more systematic modulation such as due to a shift in the
35 circulation. Over the Pacific warm pool, we find increased convection during easterly QBO mainly in boreal
36 winter in observation-based data as well as in the model simulations, with large variability. No QBO effects were
37 found in the Indian monsoon strength or Atlantic hurricane frequency.

38

Deleted: relatively small or appear

1. Introduction

The Quasi-Biennial Oscillation (QBO) is an oscillation of equatorial stratospheric zonal winds with a downward propagating phase taking approximately one year from the stratopause to the tropopause. It is relevant for interannual variability of stratospheric dynamics and composition (Baldwin et al., 2001), both in the tropics and the polar regions (e.g., Holton and Tan, 1980). The QBO also affects tropospheric weather, either through its effect on the stratospheric polar vortex (Baldwin et al., 2001) or perhaps directly through interaction with tropical convection (Collimore et al., 2003; Huang et al., 2012). Tropospheric imprints were found in the Eurasian region, including the North Atlantic or Arctic Oscillation and Eurasian snow cover (e.g., Peings et al., 2013). The QBO has also been claimed to affect the Indian monsoon system (e.g., Mukherjee et al., 1985), Atlantic hurricane frequency (Klotzbach, 2007) or El Niño/Southern Oscillation (Gray et al., 1992a,b).

Given the QBO's close-to-periodic variation (which implies predictability), any such mechanism raises hope to increase the prediction skill beyond the classical weather forecast of a couple of days (see Tripathi et al., 2015). Furthermore, the QBO might modulate forcing-response relationships. For instance, Labitzke et al. (2006) found that the QBO modulates the effect of solar activity on the polar vortex. Therefore, the QBO's effect on tropospheric climate is of interest to different areas of climate research.

Previous research has shown that the effects of the QBO on the troposphere are not always strong but appear only intermittently, e.g. between the 1950s and the 1980s (e.g., Camargo and Sobel, 2010). This calls for an analysis of long time series. The standard QBO time series - that of the Freie Universität Berlin (FUB) - reaches back to late 1953. Spectral analyses from earlier data confirm that the QBO existed before that time (Labitzke and van Loon, 1999). However, for the period prior to 1953, no direct comparison of stratospheric wind data and independent tropospheric climate data could so far be done, which is the aim of our paper. One starting point of our paper are historical studies pointing to a QBO imprint prior to 1953, which we here test with long data series and model simulations. In fact, a clear 2.2-yr cycle in Berlin surface air temperature (SAT) was already reported by Baur (1927). Landsberg (1962) and Landsberg et al. (1963) used spectral analysis of many more SAT series and found 2.2 yr cycles. Spectral analyses of the North Atlantic Oscillation (NAO) or the Northern Annular Mode indices also indicate significant peaks near 2.2 years (Hurrell and van Loon, 1997; Coughlin and Tung, 2001). More generally, speculations of a biennial oscillation in climate variables go back to the 19th century (see Clayton (1884, 1885) for precipitation and pressure in the United States, Woeikof (1895) for Scandinavian snow cover).

Deleted: It has also been demonstrated that t

Deleted: However,

Deleted: are rather weak and some

Deleted:

Deleted: Schove (1969) and others analysed historical observations for evidence of the QBO.

Deleted: However, for the period prior to 1953, no direct comparison of stratospheric wind data and independent tropospheric climate data could so far be done, which is the aim of our paper.

1 We base our analysis on a previous paper (Brönnimann et al., 2007), where we reconstructed
2 the QBO back to 1908 by using the solar semidiurnal tide extracted from hourly sea-level
3 pressure (SLP) data. The reconstruction was augmented by incorporating historical
4 stratospheric wind observations and was validated using the QBO signature in historical total
5 column ozone data. In the meantime, we have digitised a large amount of additional historical
6 upper-air data (Stickler et al., 2014a), which partly cover the equatorial stratosphere. Among
7 the new data are Berson's 1908 observations in East Africa (Brönnimann and Stickler, 2013),
8 wind profiles from Batavia, 1910-1911, profiles from cruises of the research vessel Meteor
9 1925-1927 (Stickler et al., 2015), as well as several other measurements.

10 We first present the new data sources and compare the results to the previous reconstruction.
11 After finding that the additional data do not contradict the previous reconstruction, we use the
12 reconstruction to analyse the imprint of the QBO in observations-based data sets (historical
13 reanalyses and reconstructions) of tropospheric circulation and climate. We analyse SAT,
14 precipitation, snow cover, tropospheric wind fields, and hurricane tracks. The same analyses
15 are performed in a set of four simulations with a coupled ocean-atmosphere-chemistry-climate
16 model that were nudged to the same reconstructed QBO, but backwards extended to 1600,
17 such that we have 4×405 years of model data available (Muthers et al., 2014).

18 The paper is organised as follows. In Section 2, we describe the historical upper-air data and
19 the quality check of the QBO reconstruction and describe the climate model simulations.
20 Results are presented in Section 3 and discussed in Section 4. Conclusions are drawn in
21 Section 5.

23 2. Data and Methods

24 2.1. QBO time series

25 2.1.1. Historical evidence

26 Only sporadic information is available on the QBO before 1953. The first indirect indications
27 of stratospheric wind variability relate to observations of volcanic plumes (Hamilton, 2012).

28 The most famous example is the observation of the Krakatau volcanic plume in 1883, which
29 circled the globe from east to west. The high altitude winds (the stratosphere was not yet
30 discovered) responsible for this transport became widely known as "Krakatau easterlies".

31 Direct observations of equatorial stratospheric winds by means of balloons go back to 1908,
32 when Berson, in an expedition to East Africa, reported unexpected westerly winds in the
33 lower stratosphere (Süiring, 1910). These westerlies were confirmed by van Bemmelen and
34 Braak (1910), who performed observations of upper-level winds in Batavia from 1909 to

Deleted: have attempted to

Deleted: ,,

Deleted: "

Deleted:

1 1918. Lower stratospheric westerlies were also confirmed by the observations of another
2 volcanic eruption plume (Semeru, 15 Nov 1911) as reported by Hann and Süring (Hamilton,
3 2012). Reconciling Berson’s westerlies with the expected easterly winds remained a challenge
4 until the discovery of the QBO in the 1960s (Hastenrath, 2007).

5 ~~Stratospheric wind observations were very sparse prior to the 1950s. The early results were~~
6 summarized by Schove (1969) and Hamilton (1998). After the 1950s, when a global
7 radiosonde network was built up, stratospheric winds were operationally observed in the
8 equatorial region. It was in these data that Reed et al. (1961) and Veryard and Ebdon (1961)
9 discovered the QBO. Based on radiosonde records from Canton Island (3° S, 172° W) from
10 1953 to 1967, Gan (Maldives, 1° S, 73° E) from 1967 to 1975 and Singapore (1° N, 104° E)
11 since 1976, Naujokat (1986) and Marquardt and Naujokat (1997) were able to derive the
12 QBO time series back to 1953, known as the FUB QBO. Since the advent of reanalysis data,
13 the QBO is normally defined as the zonally averaged zonal wind in the stratosphere at the
14 equator. We follow this definition.

Deleted: Direct
Deleted: of equatorial stratospheric winds
Deleted: R

15

16 2.1.2. Reconstruction of the QBO

17 In this paper we use the monthly reconstruction of the QBO (zonal mean zonal winds at the
18 equator) from Brönnimann et al. (2007). This reconstruction is based on the surface signature
19 of the QBO-modulated solar semidiurnal tide in hourly surface pressure observations from
20 Batavia prior to 1945 as well as on historical upper-air wind profiles. For the reconstruction
21 we first defined a perpetually repeating “ideal QBO cycle” from deseasonalized reanalysis
22 data. Then we used the observational evidence to determine a time axis (i.e., timing of phases)
23 and interpolated the ideal cycle onto this new time axis. Finally, we added back the annual
24 cycle. We used historical total ozone data (which also show an imprint of the QBO) to assess
25 the reconstruction and found generally good agreement, but the real QBO might be out of
26 phase by up to 3 or 4 months.

27 The reconstruction is supported by historical upper-air observations mainly in the 1910s and
28 in the 1940s, while the solar semidiurnal tide provides continuous information but stops in
29 1945, afterwards the reconstructions are entirely based on upper-air wind observations. The
30 Freie Universität Berlin QBO starts in 1953. From September 1957 on, the QBO is taken
31 from ERA-40 and after 1979 from ERA-Interim (Dee et al. 2011). The resulting 108-year
32 QBO record is given in Fig. 1. We are currently in the 48th cycle since Berson’s profile of
33 [1908](#), which we take as a starting point of our work. The number of cycles thus allows robust
34 statistics.

Deleted: ¶

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34

2.1.3. Additional historical upper-air data

The data presented here are part of a set of 1.25 million upper-air profiles that were digitised in the framework of the ERA-CLIM project (Stickler et al., 2014a,b), adding to the 12.75 million upper-air winds profiles that were already available from the [Comprehensive Historical Upper-Air data set](#) prior to 1957 (Stickler et al., 2010). A plot of most of the equatorial stratospheric data comprised in the latter data set was already given in Labitzke et al. (2006) and they entered the reconstruction described above. For this paper we collected all additional (ERA-CLIM) data prior to 1950 from stations within 20° S to 20° N. In the following we highlight three particular records.

Deleted: CHUAN data compilation

2.1.3.1. Berson's East Africa Expedition

In 1908, the German meteorologist Arthur Berson organized an aerological expedition to East Africa with the aim to better understand the monsoon system (Fig. S1 shows the launch of a registering balloon on Lake Victoria). Upper-level winds were observed with pilot balloons and registering balloons (briefly described in Brönnimann and Stickler, 2013). Only few profiles reached the stratosphere. Surprisingly, some of them indicated westerly winds in the stratosphere. Figure S1 (right) shows the wind profiles that reached the stratosphere. Although all profiles except two were taken during an only 15-day interval, there is considerable scatter. It is very difficult to identify wind regimes from the raw data, although there are westerly winds in the stratosphere in several profiles.

Deleted: initial

Deleted: upper-level branch of the trade wind and

Deleted: s

Deleted: wind

Deleted: directly

The corresponding profiles from the reconstructions are also indicated. While there is a good agreement with some of the profiles (westerlies between 18 km and 20 km and easterlies above), others show relatively strong easterlies between 16 and 18 km (or even higher), where the reconstructions suggest zero zonal wind. Note that the reconstructions assumed westerly winds at 19 km altitude throughout the year 1908 based on the notion of Berson westerlies.

2.1.3.2. The Batavia data

In 1909 the Dutch Colonial Secretary started aerological observations in Batavia (van Bemmelen et al., 1911), supported by leading aerologists such as Richard Assmann and Hugo Hergesell. Kites, registering balloons and pilot balloons were used. Many of the balloons reached high altitudes, and soon westerlies winds were observed (van Bemmelen and Braak, 1910), thus confirming Berson's findings. Veryard and Ebdon (1961) and Ebdon (1963) analyzed the Batavia winds from 1909 to 1918 (which they published in the form of monthly

Deleted: . L

Deleted: advised the scientists, and measurements could be started in the course of 1909

1 averaged wind directions for certain altitude bands) and found a clear QBO signature,
2 including the downward phase propagation. Their published phases are interpretations, not
3 raw data, and these phases were used to constrain our reconstructions. From the digitized data
4 (Fig. S2 shows the earliest phase of measurements) it is however difficult to discern clear
5 wind regimes.

6

7 **2.1.3.3. Research vessel “Meteor”**

8 From 1925 to 1927, the German research vessel Meteor cruised the Atlantic and took, in
9 addition to many oceanographical measurements, also aerological observations. More than
10 1000 vertical profiles were retrieved on east-west transects across the tropical and South
11 Atlantic (see Stickler et al., 2015 for details). Apart from kites and some registering balloon
12 ascents (none of which reached the stratosphere), 801 pilot balloon ascents are available, of
13 which the highest reached 20.5 km. In the tropical region (20°N to 20°S), however, only few
14 measurements higher than 16 km are available.

15 These measurements (as well as those from Berson, Batavia and all other measurements from
16 the ERA-CLIM data set) are incorporated as circles into Figure 1. Again it is difficult to find a
17 coherent picture. Counting the agreement in sign of the zonal wind between observations and
18 reconstructions, we find a rate of 60%. This is not a particularly good score for an evaluation,
19 which is not even fully independent. The rate increases, though, if we only use observations
20 above 19 or 20 km or exclude comparisons for which reconstructed winds are weak (i.e.,
21 close to phase change). Conversely, there is no systematic pattern of disagreement (no out-of-
22 phase relation). We therefore continue and use our reconstructions for further analyses but
23 note that the reconstruction remains to be further confirmed.

24

25 **2.2. Atmospheric circulation and climate data**

26 In order to analyse the imprint of the QBO in historical times, we use several data sets of the
27 tropospheric circulation that cover the pre-1957 period. These data sets include the Twentieth
28 Century Reanalysis (20CR; Compo et al., 2011), versions 2 and 2c, the reanalysis ERA-20C
29 Deterministic (Poli et al., 2016) as well as several data sets based on reconstructions. An
30 overview of the analysis periods and data sets used is given in Fig. S3. As a reference in the
31 recent period we use the ERA-Interim reanalysis.

32 20CR is based on the assimilation of surface or SLP from the International Surface Pressure
33 Data Bank (ISPD) and the International Comprehensive Ocean-Atmosphere Data Set
34 (ICOADS), with monthly sea-surface temperatures (SSTs) and sea ice used as a boundary

Deleted: „

Deleted: “

Deleted: between 20°N and 64° S

Deleted: n agreement

Deleted: Tropospheric

Deleted: .

1 condition. Versions 2 and 2c differ with respect to the ISPD versions used (v2 and v3.2.9,
2 respectively), the starting year (1871 and 1851) and the SSTs used (HadISST1.1; Rayner et al.,
3 2003, and SODAsi version 2; Giese et al., 2015, with the high latitudes ($>60^\circ$) corrected to
4 COBE-SST2; Hirahara et al., 2014). Previous validation studies have shown that 20CR agrees
5 well with independent observations in the midlatitudes, but less so in the tropics. In this study
6 we use the ensemble mean monthly mean data and focus on version 2c (20CRv2c).

7 The ERA-20C reanalysis reaches back to 1900 and uses very similar pressure input as
8 20CRv2c (ISPD version 3.2.6), but additionally also assimilates marine winds and uses a
9 newer version of HadISST (HadISST2; see Poli et al., 2016). Results of ERA-20C and
10 20CRv2c are similar, and hence we show ERA-20C only where it deviates from 20CRv2c.

11 In addition to 20CRv2c we use monthly mean fields of wind and geopotential height (GPH) at
12 different levels from a statistical reconstruction (Griesser et al., 2010), which reaches back to
13 1880. It is based on historical upper-air (after 1918) and surface data, which were calibrated
14 against ERA-40 (Uppala et al., 2005) in a principal component regression approach. Here we
15 use GPH at 100 hPa in the northern extratropics.

16 Further we also use monthly indices that were reconstructed based on surface and upper-level
17 variables using regression approaches (Brönnimann et al., 2009; Zhou et al., 2009) calibrated
18 against (and extended by) NCEP/NCAR Reanalysis (Kistler et al., 2001). The same indices
19 were also calculated from 20CRv2c. Specifically, we use the indices Z100, defined as GPH
20 difference between $75\text{--}90^\circ\text{N}$ and $40\text{--}55^\circ\text{N}$ at 100 hPa (Brönnimann et al., 2009) as a measure
21 for the weakness of the polar vortex, the Pacific Walker Circulation index PWC (the
22 difference in vertical velocity at 500 hPa between the areas $[10^\circ\text{S}\text{--}10^\circ\text{N}, 180\text{--}100^\circ\text{W}]$ and
23 $[10^\circ\text{S}\text{--}10^\circ\text{N}, 100\text{--}150^\circ\text{E}]$ following Oort and Yienger (1996)), and the Dynamic Indian
24 Monsoon Index DIMI (the difference in 850 hPa zonal wind between the areas $[5\text{--}15^\circ\text{N}, 40\text{--}$
25 $80^\circ\text{E}]$ and $[20\text{--}30^\circ\text{N}, 70\text{--}90^\circ\text{E}]$ following Wang et al. 2001).

26 We further used GHCNv3 SAT from Berlin, a time series of Atlantic hurricane activity
27 (Vecchi and Knutson, 2011) as well as the HadCRUT4v global SAT data set (Morice et al.,
28 2012).

29

30 **2.3. Climate model simulations**

31 The reconstructed QBO, backward extended to 1600 by repeating the ideal QBO cycle plus
32 seasonal cycle, was used to nudge the coupled chemistry-climate-ocean-atmosphere model
33 SOCOL-MPIOM. The simulations are described in Muthers et al. (2014). In brief, SOCOL-
34 MPIOM is a combination of the chemistry-climate model SOCOL version 3 (Stenke et al.,

Deleted: Since the r
Deleted: were
Deleted: very
Deleted: the results from
Deleted: for most of the analyses

1 2013), which is based on the middle atmosphere version of ECHAM5 (Roeckner et al., 2006;
2 Manzini et al., 2006) coupled to the chemical module MEZON (Model for Evaluation of
3 oZONe trends (Rozanov et al., 1999; Egorova et al., 2003), and the ocean model MPIOM
4 (Marsland, 2003; Jungclaus et al., 2006). The atmospheric model was run at a resolution of
5 T31 (approx. $3.75^\circ \times 3.75^\circ$), with 39 levels (model top at 0.01 hPa / 80 km). The vertical
6 resolution around the tropopause is 20-30 hPa (levels are at 69.6, 89.1, 113.3, 143.1, 179.6,
7 and 224.2 hPa). The ocean state in 1600 was branched off another simulation (Jungclaus et al.,
8 2010; see Muthers et al., 2014; Anet et al., 2014; for more details).

9 Four simulations were performed for the period 1600 to 2000. Two simulations, termed F13
10 and F14 (differing only in their initial state) use a relatively strong solar forcing while the two
11 simulations F23 and F24 (again differing only in their initial state) use a weaker solar forcing.
12 As will be shown later, no differences between the ensemble members are found with respect
13 to their QBO effects. We thus also analysed a sample in which the four simulations were
14 pooled.

15 Atmospheric circulation and climate indices are nearly uncorrelated between the model
16 simulations (strongest for DIMI, where one correlation between two simulations reached
17 0.14), while there is some correlation for Berlin temperature due to the common forced trend.

19 2.5. Methods

20 The following methodology is applied to all analyses. Target variables and fields are analysed
21 on a seasonal scale. Therefore, we first defined seasons that pertain to easterly or westerly
22 phases of the QBO. For testing those hypotheses that require interaction with the stratospheric
23 polar vortex and downward propagation, we defined the QBO phase from 50 hPa tropical
24 zonal mean wind in early winter (Nov.-Dec.) and then compared the climate records of the
25 late winter (Jan.-Mar; March for snow cover). Months close to the reconstructed phase change
26 (which is uncertain by up to 3-4 months) are excluded by requiring tropical zonal mean wind
27 at 50 hPa to exceed 5 m/s over a two months period (thus, although amplitude is not
28 accounted for directly, it enters through exclusion of weak winds). We chose these months
29 because interaction between the QBO and the polar vortex may occur in early winter. The
30 downward propagation from the polar stratosphere to the surface than takes several weeks and
31 the signal may persist in the troposphere.

32 For those hypotheses that involve direct interaction between the QBO and high-reaching
33 convection, we used the 70 hPa QBO in June-July (JJ), and November-December (ND) and
34 analysed the climate fields over a three months period starting with one month offset (JAS,

Deleted: seasons

Deleted: boreal summer

Deleted: winter

1 DJF, respectively). The JAS definition was preferred over the more classical JJA period
 2 following Chattopadhyay and Bhatla (2002), who found a stronger QBO signature in the
 3 Indian Monsoon in [these months](#). The shorter lag (as compared to the polar vortex-based
 4 analyses described above) allows a more direct adjustment of the tropospheric circulation to
 5 stratospheric forcing. Periods when tropical zonal mean wind was weaker than 3 m/s were not
 6 considered. Furthermore, for all analyses we excluded years following major tropical volcanic
 7 eruptions (i.e., 1601, 1642, 1675, 1720, 1730, 1810, 1816, 1832, 1836, 1884, 1912, 1926,
 8 1964, 1983, and 1992).

9 Note that for specific problems, more accurate definitions of seasons could be found (see
 10 Gray et al., 1992a,b; Huang et al., 2012) at the price of simplicity or (possibly) independence.
 11 When defined in the above way, we find 39 easterly and 43 westerly phases for the boreal
 12 winter 50 hPa QBO since 1908. The corresponding numbers for the 70 hPa QBO for summer
 13 (winter) are 26 (31) easterly and 36 (30) westerly phases. [In FUPSOL at 50 hPa in winter](#)
 14 [there are 162 westerly \(118 easterly\) phases, the corresponding numbers for 70 hPa phases in](#)
 15 [summer \(winter\) are 165 \(113\) westerly and 108 \(159\) easterly phases, respectively.](#)

16 Our main method is a composite analysis of the two phases (easterly minus westerly). [The](#)
 17 [difference of the mean values is tested](#) using a heteroscedastic ([unequal variance](#)) t-test ([Wilks,](#)
 18 [2006](#)). [In the observation-based data we do this for the entire time period as well as for the](#)
 19 [subperiods 1908-1957 and 1958-2012 \(see also Roy \(2014\), for changes in tropospheric](#)
 20 [signatures between the two periods\)](#). As a reference we also apply the composite analysis to
 21 1979-2015 in ERA-Interim data. In the model we apply the method to the entire time period
 22 for all simulations separately as well as for the pooled simulations. In the paper we show
 23 composite fields only for the latter; corresponding composites for all ensemble members and
 24 variables, including statistical significance, are shown in the Supplement. Finally, we also
 25 performed 30-yr moving composites, both in the observation-based data and in the model
 26 (only in the individual members). We then calculated standardized differences, [by dividing](#)
 27 [the difference in the 30-yr window by the standard deviation of the corresponding variable \$x_i\$](#) .
 28 [over the entire period.](#)

$$\Delta x_i = \frac{\sum_{t=1}^n (x_{t+30} - x_t) / \sqrt{30}}{\sqrt{\frac{1}{n} \sum_{t=1}^n (x_t - \bar{x})^2}}$$

Deleted: that season
Deleted:

Deleted: The number of phases in each of the model simulations

Deleted: .
Deleted: ing to assess statistical significance

Deleted:

Deleted: using the
Formatted: Font: Italic
Formatted: Subscript
Deleted: .
Field Code Changed

1 [Where \$l\$ is window length, \$t\$ is time \(years\), and \$e\$ and \$w\$ are binary indicators of easterly and](#)
2 [westerly QBO phases, respectively. A window length of 30 years was chosen as this](#)
3 [represents the typical time period of data availability in many studies. However, as the effects](#)
4 [could be aliased by variability modes of a similar periodicity, we also tested other window](#)
5 [lengths \(20 to 60 years\) and found very similar results \(not shown\).](#)

6

7 **3. Results and Discussion**

8 **3.1. QBO-polar vortex interaction and downward propagation**

9 **3.1.1 The QBO effect on the polar vortex and the NAO**

10 Several mechanisms responsible for QBO influence on tropospheric climate have been
11 proposed. One pathway, known as the Holton-Tan effect (Holton and Tan, 1980; Baldwin et
12 al., 2001), is through the extratropical stratosphere in boreal winter. This mechanism is
13 understood to operate via changes in the extratropical planetary wave activity flux. An
14 easterly QBO at 50 hPa leads to convergence of wave activity in the subtropical lower
15 stratosphere and in subpolar middle and upper stratosphere (e.g., Garfinkel et al., 2012). The
16 waves deposit easterly momentum and decelerate the mean flow. The signal can propagate
17 downward and eventually reach the Earth's surface, although the mechanism is still not fully
18 understood (see Anstey and Shepherd, 2014, Kidston et al. 2015; a review of the proposed
19 mechanisms is beyond the scope of this paper).

20 Compositing easterly minus westerly QBO in boreal winter in ERA-Interim [since 1979](#) (Fig.
21 2) shows this classical response. The zonal mean zonal wind weakens, most strongly at
22 around 30 km, 70° N. Cooling is found above and warming below. GPH exhibits positive
23 anomalies poleward of 60° N in the lower stratosphere, indicative of a weak polar vortex. [A](#)
24 [corresponding cross-section for 20CRv2c and ERA-20C \(Fig S4\) shows that, while ERA-20C](#)
25 [qualitatively reproduces the pattern found in ERA-Interim, 20CRv2c does not reproduce the](#)
26 [pattern in the stratosphere, but all data sets agree in the troposphere.](#)

27 Reconstructions and reanalyses both do not provide [reliable](#) information for altitudes above
28 around 10-15 km. The highest level we analyse here is 100 hPa (note that this might be too
29 low to capture QBO effects but too high to be well reconstructed). In the Z100 index (Table
30 1) we find no significant effect in any of the subperiods. The early period even shows a
31 negative difference (thus opposite to what is expected from Fig. 2). A more consistent relation
32 is found within the model simulations, which is highly significant for the pooled sample and
33 is significant at the 95% (90%) level for 2 (3) out of the 4 simulations ([Table 1](#)).

Deleted:

Deleted:

Deleted:

Deleted: not shown

1 Compositing 100 hPa GPH and 200 hPa zonal wind for January to March gives similar results
2 (Fig. 3). The analysis of 20CRv2c (1908-2012) and of the simulations (ensemble mean) show
3 almost opposite patterns, but it should be noted that there is hardly any significance in the
4 20CRv2c composites (Fig. S5; no significance at all is found in 100 hPa GPH). In order to
5 test whether uncertainties in 20CRv2c in the early times could be the cause of that, we
6 compared the composite for 100 hPa GPH for the 1908-1957 period between 20CRv2c, ERA-
7 20C, and statistical reconstructions (Fig. S6). In fact, there are again some differences
8 between the products. 20CRv2c exhibits a stronger negative signal over the polar region than
9 the other data sets, but none shows the weakening of the vortex expected from the Holton-Tan
10 effect.

11 The difference in the QBO imprint between the individual model simulations (Fig. S7) is
12 smaller than between model and 20CRv2c analyses. Each of model simulations shows a
13 significant signature over the polar region as well as between 35° and 45° N. The weakening
14 of the zonal wind found in ERA-Interim in the recent period is reproduced qualitatively in the
15 model simulations, but not in 20CRv2c.

16 The lack of a consistent signal in two subperiods could point to the lack of a signal in general
17 or to an intermittent behaviour of the QBO signature. For instance, the base state of
18 atmospheric circulation might have changed (e.g., Vecchi and Soden, 2007), which then
19 modulates the QBO response either through change the amount of upward propagating wave
20 activity, or its refraction. However, changes in wave activity diagnostics are debated even for
21 more recent reanalysis products and are here deemed unusable for historical reanalyses.

22 The model results suggest that the QBO signal might be small (though significant), such that
23 short periods may, by chance, show an opposite relation. To test this, East-West differences in
24 30-yr moving windows are analysed (Fig. 4). In fact, the standardized differences for such
25 periods vary considerably in the model. In the observation-based data, the difference was
26 largest for the interval 1960 to 1989, i.e., close to the time window in which the Holton-Tan
27 effect was originally discovered (although the behaviour changed during the 1977-1997
28 period, see Lu et al. 2008). Note, however, that 20CRv2c shows a weaker signal than the
29 other data sets also in the last period.

30 In all, the reconstructed QBO and polar vortex strength at 100 hPa from reconstructions and
31 reanalysis prior to 1957 do not show a relation. This could be due to inferior data quality of
32 either or a too low analysis level (note that ERA-Interim also does not show a significant
33 response at that level (Table 1), but only at higher levels (Fig. 2)). The model does show a
34 significant relation as expected from the Holton-Tan effect, but the signal is rather small or

Deleted: 3

Deleted: ; not shown

Deleted: 4

Deleted: S6

Deleted:

Deleted: ¶

1 transient. Results are consistent with ERA-Interim considering decadal variability as found in
2 the model.

3 To assess whether the QBO affects the surface the ERA-Interim analysis (Fig. 2) is again
4 consulted. Zonal averages indeed show small surface effects (weaker zonal wind, higher SAT
5 and pressure for easterly phases), but only poleward of 80 °N. Very often, the NAO index is
6 analysed as an indication for surface imprints of stratospheric perturbations. This index is well
7 constrained in 20CR (Compo et al. 2011) and hence the NAO index is treated similarly as
8 Z100. As expected, differences in the NAO have the opposite sign as those for Z100.
9 However, none of the differences in 20CRv2c are significant. In the model simulations,
10 differences are significant at the 90% level in one out of four simulations (the p-value for the
11 pooled sample is exactly 0.05). The 30-yr moving window composite of the NAO index
12 shows that the decadal variability of the difference is large (both in observations and model
13 simulations), but anti-correlated with that of Z100 (which is expected).

14 Perhaps the simple dipole-NAO index is not suitable to capture the response. We therefore
15 also analysed composites of SLP fields. We find negative anomalies at midlatitudes stretching
16 from the eastern North Atlantic to central Eurasia in both 20CRv2c and model simulations.
17 Indeed, the pattern is shifted southward as compared to a classical NAO pattern. In the North
18 Atlantic-European region, the agreement between model and observation-based data is
19 stronger at the surface than in the stratosphere (note that the pattern over North America, in
20 contrast, is almost opposite in 20CRv2c and model simulations). In the model, the signature is
21 consistent in all four simulations (Fig. S8). Thus, both the signatures in the stratosphere and in
22 SLP are consistent with the Holton-Tan effect, but the variability is so large that even with
23 very long records, results remain near the limit of significance.

Deleted: S5

25 3.1.2. The QBO effect on surface air temperature in Eurasia

26 Baur (1927) analysed the 100-yr record of Berlin SAT and found a very clear quasi-biennial
27 cycle. Within our QBO reconstructions, we also find highly significant differences for Berlin
28 SAT (we used observations rather than reanalyses) in winter (Jan.-Mar.) between East and
29 West phases of the QBO (50 hPa, Nov.-Dec.). SAT is higher during easterly phases of the
30 QBO. This is unexpected as Berlin SAT is positively correlated with the NAO and negatively
31 with Z100. The effect might be real as the model simulations (grid point 15° E, 50.1° N) also
32 show higher SAT during the easterly phase of the QBO as compared to the westerly phase,
33 albeit not significantly.

Deleted: Berlin

1 Interestingly, the difference is significant only in the first period (which is when Baur (1927)
2 discovered 2.2-yr cyclicities in Berlin SAT) and over the entire period, but not in the post-
3 1957 period. In other words, the difference was significant in the period when no effect in
4 Z100 and NAO was found. The 30-yr moving windows difference in the model simulations
5 shows a similar behaviour. There are multidecadal periods when the QBO signature in Berlin
6 SAT is positive while the NAO (Z100) signature is around zero, and periods when the NAO
7 signature is negative and that in Berlin SAT is around zero. As for the raw series, the 30-yr
8 moving windows difference series of Berlin SAT, $-1 \times Z100$, and NAO are positively
9 correlated (numbers in Fig. 4). ~~However, the curves do not scatter around the one-to-one line,~~
10 ~~as could be expected, but are slightly displaced towards the upper left quadrant (illustrated by~~
11 ~~their long-term average, as dots). A possible explanation for this behaviour is a dipole-like~~
12 ~~variation that is induced by the QBO, but the dipole structure itself shifts with changes in the~~
13 ~~background climate. The locations or indices considered then do not well capture the dipole~~
14 ~~structure anymore and do not show a strong signature. Part of the decadal variability in the~~
15 QBO-surface climate relation might thus arise from decadal climatic variability such as
16 latitudinal shifts of circulation features.

17 ~~We analysed the effect of a possible change in the base state using standard circulation indices~~
18 ~~(such as the NAO itself, the Atlantic Multidecadal Oscillation or the Pacific Decadal~~
19 ~~Oscillation, all filtered with the same moving window), but did not find consistent results~~
20 ~~between model and observation-based analyses. In the 1940s, the subtropical jet was in a~~
21 ~~relatively poleward position and then retracted equatorward (Brönnimann et al. 2015). This~~
22 ~~might be a possible explanation, but further evidence for this is required.~~

23 The composite field of SAT based on 20CRv2c (HadCRUT4v shows similar results) reveals
24 that the warming for easterly phases stretches across much of Eurasia. It seems well
25 reproduced in the model simulations, where it maximizes between the Caspian and Aral Seas.
26 However, there is quite a large discrepancy between individual simulations despite the fact
27 that they are 405 years long (Fig. S9). ~~For instance, the SAT signal over North America is~~
28 ~~totally different and results here are not robust.~~

29 Based on these results, we defined a new SAT index for the Caspian-Aral Sea region, which
30 is the region with the strongest imprint in the model composites. Even in this optimized case,
31 there are some (albeit few) 30-yr periods in the 4×405 -yr model simulations that would
32 exhibit a significantly negative relation when analysed in isolation. ▼

34 3.1.3. The QBO effect on snow cover

Deleted: .

Deleted: although over the entire period, the sign is opposite (given the interannual correlation of the series, one would expect that the data approximately scatter around the most circles are above or to the left of the one-to-one line, however, clearly most circles are above or to the left of that line)

Deleted: This suggests that p

Deleted: S7

Deleted: T

Deleted: Interestingly, correlations between the 30-yr moving windows difference series of the new SAT index and those of NAO and $-1 \times Z100$ are now predominantly negative

Deleted: This is , as

1 Woeikof (1895) speculated that snow cover follows a biennial cycle. To test this, snow depth
2 in March is analysed. The corresponding composites (see Fig. 3) are highly consistent with
3 the results for SAT, but again do not show a systematic effect. The high-resolution snow
4 cover product from ERA-20C shows very similar results as 20CRv2c (see Fig. [S7](#)), i.e., the
5 QBO East minus West differences for the two subperiods differ, and they both differ from the
6 model simulations.

7 From these analyses there is no indication that snow cover in March is affected by the QBO in
8 a significant way. Conversely, we can also not exclude intermittent effects. Peings et al.
9 (2013) found an effect of the QBO on Siberian snow cover, but only after 1976 and not before.
10 Hence, Woeikof (1895) might still have captured a QBO signal when finding differences in
11 snow cover in Scandinavian between even and odd years – or (what is more likely) he was
12 picking up random variability.

13 **3.2. QBO interaction with deep tropical convection**

14 **3.2.1. The QBO effect on the ENSO system and the Pacific Walker Circulation**

15 In 1992, Gray et al. (1992a,b) suggested an effect of the QBO on the ENSO system. Later
16 publications addressed the effect of the QBO on tropical convection in observations (e.g.,
17 Collimore et al., 2003; Huang et al., 2012; Liess and Geller, 2012) or models (e.g., Giorgetta
18 et al., 1999; Garfinkel and Hartmann, 2011; Nie and Sobel, 2015). Several mechanisms have
19 been suggested as to how a link might proceed. Giorgetta et al. (1999) found that wind shear
20 near the tropopause associated to the QBO phase in the lowermost stratosphere affects deep
21 convection in the warm pool area. Huang et al. (2012) argued that the change in static stability
22 due to the temperature QBO might play a more important role. However, the role of clouds
23 and other feedbacks is not well understood (e.g., Garfinkel and Hartmann, 2011).

24 According to the wind shear mechanism, lower shear would favour deeper convection.
25 Climatologically, easterlies dominate over the warm pool in the uppermost troposphere, hence
26 an easterly QBO phase at 70 hPa reduces the wind shear and would enhance convection. With
27 respect to the temperature, a westerly QBO phase at 70 hPa is associated with warm layer
28 below, leading to increased stability in the tropopause region and thus less convection. From
29 both mechanisms we expect more convection during easterly phases of the QBO in the lower
30 stratosphere. Therefore, stability and wind shear influences cannot easily be separated without
31 more detailed diagnostics that are not available for our study.

32
33 The analysis in ERA-Interim (Fig. 5) for zonal averages over [the](#) Indonesian and the Pacific
34 Warm Pool (120° E to 160° E) in boreal winter is consistent with the [two](#) suggested

Deleted: S5

1 mechanisms. While SAT and zonal wind do not show a tropospheric imprint, an increase in
2 tropical convection is found for easterly phases. This increase is shifted towards the northern
3 hemisphere relative to the climatological maximum in convection. It is statistically significant
4 in the tropopause region.

5 As a first approach, we analysed the PWC index, which is well reconstructed and rather well
6 constrained in 20CRv2c and ERA-20C (see Compo et al., 2011). We found no significant
7 signature in observation-based data, but during the ERA-Interim period we find a
8 significantly negative response (weakening Walker circulation during easterlies). In contrast,
9 in the model the Walker circulation is stronger for easterly than for westerly QBO in both
10 seasons (JAS and DJF), in some simulations highly significant. The latter is consistent with
11 increased convection over the Pacific warm pool area (Fig. 5), the former is not.

12 This imprint can be better understood when analyzing fields rather than an index. Composites
13 of SST, vertical velocity, and zonal winds at 10 m and at 200 hPa are shown in Figure 6 (see
14 Fig. S12 for significance). The most obvious signature is a slight eastward shift of the centre
15 of convection over Indonesia during easterly QBO phases, resulting in the pattern seen in
16 ERA-Interim. This is seen in both seasons (though stronger in boreal winter) and it is also
17 seen in observations and hence is robust. The response thus does not project well onto the
18 Pacific Walker circulation and surface winds over the central Pacific remain unaffected. Note,
19 however, that almost no areas show a significant response in 20CRv2c. Signatures in SST
20 show a slight equatorial Pacific warming but a cooling in Indonesia. However, for these
21 findings significance is also limited (see Fig. S10 and S11). The winter hemisphere
22 subtropical jet moves poleward in 20CRv2c and to some extent also in the model simulations.

23 24 **3.2.2. QBO effect on Atlantic hurricanes and on the Indian Summer Monsoon**

25 Finally, we also briefly analysed the relation between the QBO and Atlantic hurricanes or the
26 Indian Summer monsoon strength. In both cases, our results revealed no significant
27 differences with the simple indices used. Note, however, that for the Indian summer monsoon
28 the relation might be more complex (e.g., Claud and Terray, 2007). The existence of a
29 Tropospheric Biennial Oscillation in the summer monsoon has been claimed (Meehl et al.,
30 2003), but this might arise from white noise and not from deterministic processes (Stuecker et
31 al., 2015).

32 33 **4. Conclusions**

Deleted: but

Deleted: This

Deleted: (although the fields are less reliable)

Deleted: 0

Deleted: (only significant in the model)

Deleted: 8

Deleted: 9

Deleted: Discussion and

1 Our analysis revealed relatively small influences of the QBO on the tropospheric climate,
2 which are however consistent with historical literature (which is not too surprising as the
3 underlying observation-based data are partly the same) and in some cases with climate model
4 simulations. Although issues of data quality also contribute in the case of observation-based
5 data, it is interesting that even with very long time series and very long model simulations,
6 only few statistically significant results are found. Multidecadal variations of QBO-climate
7 links are mostly consistent with a small signal in the presence of internal decadal climate
8 variability, although one of the results (the fact that periods with a QBO signatures in Berlin
9 surface temperature and in the NAO alternate) also points to possible climatic modulations.
10 Based on the analysis of 108 years of QBO and climate variables from reconstructions as well
11 as 4×405 years of data from climate model simulations, we thus conclude that:

Deleted: reveals

- 12 (1) There is no evidence that the extended QBO reconstruction is out-of phase with the
13 true QBO, but further support for the reconstructions is clearly required.
- 14 (2) The relation between the QBO and climate variables is rather weak on average, but
15 characterized by large multidecadal fluctuations.
- 16 (3) In boreal winter, there are links between the QBO and the stratospheric polar vortex or
17 between the QBO and Berlin SAT, but the former relation is typically strong when the
18 latter is weak and vice versa (both in model and observations), suggesting a climatic
19 origin of the decadal modulation (such as decadal latitudinal shifts of circulation). The
20 relation to a more broadly defined Eurasian SAT index is more stable.
- 21 (4) There is a weak but significant effect of the QBO on deep convection over the Pacific
22 Warm Pool, mainly in boreal winter (an eastward shift of convection during easterly
23 QBO in the lowermost stratosphere). Though significant, this change does not project
24 strongly onto the Pacific Walker circulation or ENSO.
- 25 (5) Our results are consistent with historical literature and also with the sequence of
26 discoveries of (quasi-)biennial imprints in weather and climate, as expected for
27 analyses of small effects embedded within strong variability.

Deleted: t

Deleted: ,

Deleted: t

Deleted: and

Deleted: .

Deleted: i

Deleted: , t

Deleted: t

Deleted: ;

Deleted: t

Deleted: o

1 **Acknowledgements.**

2 This paper is dedicated to Karin Labitzke, who made major contributions to the understanding
3 of QBO effects on climate. The work was supported by the Swiss National Science
4 Foundation under grant CRSII2-147659 (FUPSOL II) and the EC FP7 project ERA-CLIM2.

6
7 **References**

- 8 Anet, J. G., Muthers, S., Rozanov, E. V., Raible, C. C., Stenke, A., Shapiro, A. I.,
9 Brönnimann, S., Arfeuille, F., Brugnara, Y., Beer, J., Steinhilber, F., Schmutz, W., and
10 Peter, T.: Impact of solar vs. volcanic activity variations on tropospheric temperatures and
11 precipitation during the Dalton Minimum, *Clim. Past* 10, 921-938, doi:10.5194/cp-10-921-
12 2014, 2014.
- 13 Anstey, J. A., and Shepherd, T. G.: High-latitude influence of the quasi-biennial oscillation. *Q.*
14 *J. R. Meteorol. Soc.* 140, 1–21, 2014.
- 15 Baldwin, M. P. and Dunkerton T. J.: Stratospheric harbingers of anomalous weather regimes,
16 *Science*, 294, 581–584, 2001.
- 17 Baldwin, M. P., Gray, L. J. Dunkerton, T. J. Hamilton, K. Haynes, P. H. Randel, W. J. Holton,
18 J. R. Alexander, M. J. Hirota, I. Horinouchi, T. Jones, D. B. A. Kinnersley, J. S. Marquardt,
19 C. Sato, K. and Takahashi, M.: The Quasi-Biennial Oscillation, *Rev. Geophys.*, 39, 179–
20 229, 2001.
- 21 Baur, F.: Das Periodiogramm hundertjähriger Temperaturbeobachtungen in Berlin
22 (Innenstadt). *Meteorol. Z.*, 44, 414-418, 1927.
- 23 Braak, C.: Koninklijk Magnetisch en Meteorologisch Observatorium te Batavia,
24 Verhandelingen No. 3, Drachen-, Freiballon- und Fesselballon-beobachtungen. Javasche
25 Boekhandel en Drukkerij, Batavia, 1915.
- 26 Brönnimann S., Annis, J. L., Vogler, C., and Jones, P. D.: Reconstructing the quasi-biennial
27 oscillation back to the early 1900s, *Geophys. Res. Lett.*, 34, L22805, 2007
- 28 Brönnimann, S. and Stickler, A.: Aerological observations in the Tropics in the Early
29 Twentieth Century, *Meteorol. Z.*, 22, 349–358, 2013.
- 30 Brönnimann, S., Stickler, A., Griesser, T., Fischer, A. M., Grant, A., Ewen, T., Zhou, T.,
31 Schraner, M., Rozanov, E., and Peter T.: Variability of large-scale atmospheric circulation
32 indices for the Northern Hemisphere during the past 100 years, *Meteorol. Z.*, 18, 379–396,
33 2009.
- 34 [Brönnimann, S., Fischer, A. M., Rozanov, E., Poli, P., Compo, G. P., and Sardeshmukh P. D.:
35 Southward shift of the Northern tropical belt from 1945 to 1980. *Nature Geoscience* 8,
36 969-974, 2015.](#)
- 37 Camargo, S. J., and Sobel, A. H.: Revisiting the influence of the quasi-biennial oscillation on
38 tropical cyclone activity, *J. Clim.*, 23, 5810–5825, doi:10.1175/2010JCLI3575.1, 2010.
- 39 Chattopadhyay, J. and Bhatla, R.: Possible influence of QBO on teleconnections relating
40 Indian summer monsoon rainfall and sea-surface temperature anomalies across the
41 equatorial pacific. *Int. J. Climatol.*, 22, 121–127, 2002.
- 42 Claud, C., and Terray, P.: Revisiting the possible links between the quasi-biennial oscillation
43 and the Indian summer monsoon using NCEP R-2 and CMAP fields, *J. Clim.*, 20, 773–787,
44 2007.
- 45 Clayton, H. H.: A lately discovered meteorological cycle, *Amer. Met. Journal* 1, 130-144,
46 1884.
- 47 Clayton, H. H.: A lately discovered meteorological cycle, II, *Amer. Met. Journal* 1, 528-534,
48 1885.

- 1 Collimore C. C., Martin, D. W., Hitchman, M. H., Huesmann, A., and Waliser, D. E.: On The
2 Relationship between the QBO and Tropical Deep Convection, *J. Clim.*, 16, 2552-2568,
3 2003.
- 4 Compo, G. P., Whitaker, J. S., Sardeshmukh, P. D., Matsui, N., Allan, R. J., Yin, X., Gleason,
5 B. E., Vose, R. S., Rutledge, G., Bessemoulin, P., Brönnimann, S., Brunet, M., Crouthamel,
6 R. I., 1035 Grant, A. N., Groisman, P. Y., Jones, P. D., Kruk, M. C., Kruger, A. C.,
7 Marshall, G. J., Maugeri, M., Mok, H. Y., Nordli, Ø., Ross, T. F., Trigo, R. M., Wang, X.
8 L., Woodruff, S. D., and Worley, S. J.: The Twentieth Century Reanalysis Project, *Q. J.*
9 *Roy. Meteor. Soc.*, 137, 1–28, 2011.
- 10 Coughlin, K., and Tung, K.-K.: QBO Signal found at the Extratropical Surface through
11 Northern Annular Modes, *Geophys. Res. Lett.* 28, 4563-4566, doi:10.1029/2001GL013565,
12 2001
- 13 Dee, D. P., Uppala, S. M., Simmons, A. J., Berrisford, P., Poli, P., Kobayashi, S., Andrae, U.,
14 Balmaseda, M. A., Balsamo, G., Bauer, P., Bechtold, P., Beljaars, A. C. M., van de Berg,
15 L., Bidlot, J., Bormann, N., Delsol, C., Dragani, R., Fuentes, M., Geer, A. J., Haimberger,
16 L., Healy, S. B., Hersbach, H., Hólm, E. V., Isaksen, I., Kållberg, P., Köhler, M.,
17 Matricardi, M., McNally, A. P., Monge-Sanz, B. M., Morcrette, J.-J., Park, B.-K., Peubey,
18 C., de Rosnay, P., Tavolato, C., Thépaut, J.-N. and Vitart, F.: The ERA-Interim reanalysis:
19 configuration and performance of the data assimilation system, *Q. J. R. Meteorol. Soc.*,
20 137, 553–597. doi: 10.1002/qj.828, 2011.
- 21 Ebdon, R. A.: The tropical stratospheric wind fluctuation: Evidence of its permanency from
22 earlier data, *Weather*, 18, 2–7, 1963.
- 23 Egorova, T., Rozanov, E., Zubov, V., and Karol, I. L.: Model for investigating Ozone trends
24 (MEZON), *Izv. Atmos. Oceanic Phys.*, 39, 277–292, 2003.
- 25 Garfinkel, C. I., Shaw, T. A., Hartmann, D. L., and Waugh, D. W.: Does the Holton–Tan
26 Mechanism Explain How the Quasi-Biennial Oscillation Modulates the Arctic Polar
27 Vortex?. *J. Atmos. Sci.*, 69, 1713–1733, 2012.
- 28 Garfinkel, C. I. and Hartmann, D. L.: The Influence of the Quasi-Biennial Oscillation on the
29 Troposphere in Winter in a Hierarchy of Models. Part II: Perpetual Winter WACCM Runs.
30 *J. Clim.* 68, 2026-2041, 2011
- 31 Giese, B. S., Seidel, H. F., Compo, G. P., and Sardeshmukh, P. D.: An ensemble of historical
32 ocean reanalyses with sparse observational input (submitted), 2015.
- 33 Giorgetta, M. A., Bengtsson, L., and Arpe, K.: An investigation of QBO signals in the east
34 Asian and Indian monsoon in GCM experiments, *Clim. Dynam.*, 15, 435–450, 1999.
- 35 Gray, W. M., Sheaffer, J. D., and Knaff, J. A.: Hypothesized mechanism for stratospheric
36 QBO influence on ENSO variability, *Gophys. Res. Lett.*, 19, 107-110, 1992.
- 37 Gray, W. M., Sheaffer, J. D., and Knaff, J. A.: Influence of the stratospheric QBO on ENSO
38 variability, *J. Meteorol. Soc. Jpn.*, 70, 975-987, 1992.
- 39 Griesser, T., Brönnimann, S., Grant, A., Ewen, T., Stickler, A., and Comeaux, J.:
40 Reconstruction of Global Monthly Upper-Level Temperature and Geopotential Height
41 Fields Back to 1880, *J. Clim.*, 23, 5590–5609, 2010.
- 42 Hamilton, K.: Quasi-biennial and other long period variations in the solar semidiurnal
43 barometric oscillation: Observations, theory and a possible application to the problem of
44 monitoring changes in global ozone, *J. Atmos. Sci.*, 40, 2432–2443, 1983.
- 45 Hamilton, K.: Sereno Bishop, Rollo Russell, Bishop’s Ring and the discovery of the
46 “Kakatoa Easterlies”. *Atmos. Ocean*, 50, 169–175, 2012.
- 47 Hamilton, K.: Observations of tropical stratospheric winds before World War II, *Bull. Am.*
48 *Meteorol. Soc.*, 79, 1367–1371, 1998.

Deleted:

- 1 Hastenrath, S.: Equatorial zonal circulations: Historical perspectives, *Dynamics of*
2 *Atmospheres and Oceans* 43, 16-24, 2007.
- 3 Hirahara, S., Ishii, M. and Fukuda, Y.: Centennial-Scale Sea Surface Temperature Analysis
4 and Its Uncertainty, *J. Climate* 27, 57-75, 2014.
- 5 Holton, J. R., and Tan, H. C.: The influence of the equatorial Quasi-Biennial Oscillation on
6 the global circulation at 50 mb, *J. Atmos. Sci.*, 37, 2200–2208, 1980.
- 7 Huang, B., Hu, Z.-Z. Kinter III, J. L., Wu, Z., and Kumar, A.: Connection of stratospheric
8 QBO with global atmospheric general circulation and tropical SST. Part I: Methodology
9 and composite life cycle, *Climate Dyn.*, 38, 1–23, doi:10.1007/s00382-011-1250-7, 2012.
- 10 Hurrell, J. W. and van Loon, H.: Decadal variations in climate associated with the North
11 Atlantic Oscillation, *Climatic Change*, 36, 301-326, 1997.
- 12 Jungclaus, J. H., Keenlyside, N., Botzet, M., Haak, H., Luo, J.-J., Latif, M., Marotzke, J.,
13 Mikolajewicz, U., and Roeckner, E.: Ocean circulation and tropical variability in the
14 coupled model ECHAM5/MPI-OM, *J. Clim.*, 19, 3952–3972, doi:10.1175/JCLI3827.1,
15 2006.
- 16 Kidston, J., Scaife, A. A., Hardiman, S. C., Mitchell, D. M., Butchart, N., Baldwin, M. P.,
17 and Gray, L. J.: Stratospheric influence on tropospheric jet streams, storm tracks and
18 surface weather. *Nat. Geosc.*, 8, 433–440, 2015.
- 19 Kistler, R., Kalnay, E., Collins, W., et al.: The NCEP-NCAR 50-year reanalysis: Monthly
20 means CD-ROM and documentation, *Bull. Am. Meteorol. Soc.*, 82, 247–267, 2001.
- 21 Klotzbach, P. J.: Revised Prediction of Seasonal Atlantic Basin Tropical Cyclone Activity
22 from 1 August. *Wea. Forecasting*, 22, 937–949, 2007.
- 23 Labitzke, K., Kunze, M., Brönnimann, S.: Sunspots, the QBO and the stratosphere in the
24 North Polar Region–20 years later, *Meteorol. Z.*, 15, 355–363, 2006.
- 25 Labitzke, K., van Loon, H.: *The stratosphere: Phenomena, history, and relevance*, Springer,
26 1999.
- 27 Landsberg, H. E.: Biennial Pulses in the Atmosphere. *Beitr. Phys. Atmos.*, 35, 184-194, 1962.
- 28 Landsberg, H. E., Mitchell Jr., J. M., Crutcher, H. L., Quinlan, F. T.: Surface signs of the
29 biennial atmospheric pulse. *Mon. Wea. Rev.*, 91, 549-556, 1963.
- 30 Liess, S., and Geller, M. A.: On the relationship between QBO and distribution of tropical
31 deep convection, *J. Geophys. Res.*, 117, D03108, doi:10.1029/2011JD016317, 2012.
- 32 [Lu, H., Baldwin, M. P., Gray, L. J., and Jarvis, M. J.: Decadal-scale changes in the effect of](#)
33 [the QBO on the northern stratospheric polar vortex, *J. Geophys. Res.*, 113, D10114, 2008.](#)
- 34 Manzini, E., Giorgetta, M. A., Esch, M., Kornblueh, L., and Roeckner, E.: The influence of
35 sea surface temperatures on the northern winter stratosphere: Ensemble simulations with
36 the MAECHAM5 Model, *J. Clim.*, 19, 3863–3881, doi:10.1175/JCLI3826.1, 2006.
- 37 Marsland, S.: The Max-Planck-Institute global ocean/sea ice model with orthogonal
38 curvilinear coordinates, *Ocean. Model.*, 5, 91–127, doi:10.1016/S1463-5003(02)00015-X,
39 2003.
- 40 Marquardt, C. and Naujokat, B.: An update of the equatorial QBO and its variability, SPARC
41 Melbourne, WMO/TD-NO. 814, 87-90, 1997.
- 42 Meehl, G. A., Arblaster, J., Loschnigg, J.: Coupled ocean-atmosphere dynamical processes in
43 the tropical Indian and Pacific oceans and the TBO, *J. Clim.*, 16, 2138–2158,
44 doi:10.1175/2767.1, 2003.
- 45 Morice, C. P., Kennedy, J. J., Rayner, N. A., Jones, P. D.: Quantifying uncertainties in global
46 and regional temperature change using an ensemble of observational estimates: The
47 HadCRUT4 data set, *J. Geophys. Res.* 117, D08101, 2012.

1 Mukherjee, B. K., Indira, K., Reddy, R. S., and Ramana Murty, B. V.: Quasi-Biennial
2 Oscillation in stratospheric zonal wind and Indian Summer Monsoon, *Mon. Wea. Rev.*,
3 113, 1421-1424, 1985.

4 Muthers, S., Anet, J. G., Stenke, A., Raible, C. C., Rozanov, E., Brönnimann, S., Peter, T.,
5 Arfeuille, F. X., Shapiro, A. I., Beer, J., Steinhilber, F., Brugnara, Y., and Schmutz, W.:
6 The coupled atmosphere–chemistry–ocean model SOCOL-MPIOM, *Geosci. Model Dev.*,
7 7, 2157-2179, 2014.

8 Naujokat, B: An update of the observed quasi-biennial oscillation of the stratospheric winds
9 over the tropics, *J. Atmos. Sci.*, 43, 1873-1877, 1986.

10 Nie J., and Sobel, A. H.: Responses of Tropical Deep Convection to the QBO: Cloud-
11 Resolving Simulations, *J. Atmos. Sci.*, 72, 3625–3638, 2015.

12 Oort A. H., and Yienger, J. J.: Observed interannual variability in the Hadley Circulation and
13 its connection to ENSO, *J. Clim.*, 9, 2751–2767, 1996.

14 Peings, Y., Brun, E., Mauvais, V., and Douville, H.: How stationary is the relationship
15 between Siberian snow and Arctic Oscillation over the 20th century? *Geophys. Res. Lett.*,
16 40, doi:10.1029/2012GL054083, 2013.

17 Poli, P., Hersbach, H., Dee, D. P., Berrisford, P., Simmons, A. J., Vitart, F., Laloyaux, P., Tan,
18 D. G. H., Peubey, C., Thépaut, J.-N., Trémolet, Y., Hólm, E. V., Bonavita, M., Isaksen, L.,
19 and Fisher, M.: ERA-20C: An atmospheric reanalysis of the 20th century, *J. Clim.*,
20 doi:10.1175/JCLI-D-15-0556.1, 2016.

21 Rayner, N. A., Parker, D. E., Horton, E. B., Folland, C. K., Alexander, L. V., Rowell, D. P.,
22 Kent, E. C. and Kaplan, A.: Global analyses of SST, sea ice and night marine air
23 temperature since the late nineteenth century, *J. Geophys. Res.* 108, 4407, 2003.

24 Reed, R. G., Campbell, W. J., Rasmussen, L. A., and Rogers, D. G.: Evidence of downward-
25 propagating annual wind reversal in the equatorial stratosphere. *J. Geophys. Res.*, 66, 813-
26 818, 1961.

27 Roeckner, E., Brokopf, R., Esch, M., Giorgetta, M., Hagemann, S., Kornbluh, L., Manzini,
28 E., Schlese, U., and Schulzweida, U.: Sensitivity of simulated climate to horizontal and
29 vertical resolution in the ECHAM5 atmosphere model, *J. Clim.*, 19, 3771–3791, 2006.

30 Rozanov, E., Schlesinger, M. E., Zubov, V., Yang, F., and Andronova, N. G.: The UIUC
31 three-dimensional stratospheric chemical transport model: Description and evaluation of
32 the simulated source gases and ozone, *J. Geophys. Res.*, 104, 11,755–11,781, 1999.

33 [Roy, I.: The role of the sun in atmosphere-ocean coupling. *Int. J. Climatol.*, 34, 655-677, 2014.](#)

34 Schove, D. J.: The biennial oscillation, tree rings and sunspots, *Weather*, 24, 390–397, 1969.

35 Stenke, A., Schraner, M., Rozanov, E., Egorova, T., Luo, B., and Peter, T.: The SOCOL
36 version 3.0 chemistry-climate model: description, evaluation, and implications from an
37 advanced transport algorithm, *Geosci. Model Dev.*, 6, 1407-1427, doi:10.5194/gmd-6-
38 1407-2013, 2013b.

39 Stickler, A., Grant, A. N., Ewen, T., Ross, T. F., Vose, R. S., Comeaux, J., Bessemoulin, P.,
40 Jylhä, K., Adam, W. K., Jeannot, P., Nagurny, A., Sterin, A. M., Allan, R., Compo, G. P.,
41 Griesser, T., Brönnimann, S.: The comprehensive historical upper-air network. *Bull. Amer.*
42 *Meteorol. Soc.*, 91, 741-751, 2010.

43 Stickler, A., Brönnimann, S., Jourdain, S., Roucaute, E., Sterin, A., Nikolaev, D., Valente, M.
44 A., Wartenburger, R., Hersbach, H., Ramella-Pralungo, L., and Dee, D.: Description of the
45 ERA-CLIM historical upper-air data, *Earth Syst. Sci. Data*, 6, 29-48, doi:10.5194/essd-6-
46 29-2014, 2014a.

- 1 Stickler, A., Brönnimann, S., Valente, M. A., Bethke, J., Sterin, A., Jourdain, S., Roucaute,
2 E., Vasquez, M. V., Reyes, D. A., Guzman, J. G., Allan, R., and Dee, D.: ERA-CLIM:
3 Historical Surface and Upper-Air Data for Future Reanalyses. *B. Amer. Meteorol. Soc.*,
4 95, 1419–1430, 2014b.
- 5 Stickler, A., Storz, S., Jörg, C., Wartenburger, R., Hersbach, H., Compo, G. P., Poli, P., Dee,
6 D., and Brönnimann, S.: Upper-air observations from the German Atlantic Expedition
7 (1925-27) and comparison with the Twentieth Century and ERA-20C reanalyses. *Meteorol.*
8 *Z.*, 24, 525-544, 2015.
- 9 Stuecker, M. F., Timmermann, A., Yoon, J., and Jin, F.-F.: Tropospheric Biennial Oscillation
10 (TBO) indistinguishable from white noise, *Geophys. Res. Lett.*, 42, 7785–7791, 2015.
- 11 Stüring R: A. Berson's Bericht "über die aerologische Expedition des königlichen
12 aeronautischen Observatoriums nach Ostafrika im Jahre 1908 (Report by A. Berson about
13 the aerological expedition of the Royal Aeronautic Observatory to East Africa in 1908).
14 *Meteorol. Z.*, 27, 536–542, 1910 (translated and edited by Volken, E. and Brönnimann, S.,
15 *Meteorol. Z.*, 22, 343-348, 2013).
- 16 Titchner, H. A., and Rayner, N. A.: The Met Office Hadley Centre sea ice and sea surface
17 temperature data set, version 2: 1. Sea ice concentrations, *J. Geophys. Res.* 119, 2864-2889,
18 2014.
- 19 Tripathi, O. P., Baldwin, M., Charlton-Perez, A., Charron, M., Eckermann, S. D., Gerber, E.,
20 Harrison, R. G., Jackson, D. R., Kim, B.-M., Kuroda, Y., Lang, A., Mahmood, S., Mizuta,
21 R., Roff, G., Sigmund, M. and Son, S.-W.: The predictability of the extratropical
22 stratosphere on monthly time-scales and its impact on the skill of tropospheric forecasts, *Q.*
23 *J. R. Meteorol. Soc.*, 141, 987-1003, doi: 10.1002/qj.2432, 2015.
- 24 Tung, K. K., and Yang, H.: Global QBO in circulation and ozone. Part I: Reexamination of
25 observational evidence, *J. Atmos. Sci.*, 51, 2699–2707, 1994.
- 26 Uppala, S. M., Kållberg, P. W., Simmons, A. J., Andrae, U., Bechtold, V. Da Costa, Fiorino,
27 M., Gibson, J. K., Haseler, J., Hernandez, A., Kelly, G. A., Li, X., Onogi, K., Saarinen, S.,
28 Sokka, N., Allan, R. P., Andersson, E., Arpe, K., Balmaseda, M. A., Beljaars, A. C. M.,
29 Berg, L. Van De, Bidlot, J., Bormann, N., Caires, S., Chevallier, F., Dethof, A.,
30 Dragosavac, M., Fisher, M., Fuentes, M., Hagemann, S., Hólm, E., Hoskins, B. J., Isaksen,
31 L., Janssen, P. A. E. M., Jenne, R., McNally, A. P., Mahfouf, J.-F., Morcrette, J.-J., Rayner,
32 N. A., Saunders, R. W., Simon, P., Sterl, A., Trenberth, K. E., Untch, A., Vasiljevic, D.,
33 Viterbo, P., Woollen, J.: The ERA-40 re-analysis, *Q. J. R. Meteorol. Soc.*, 131, 2961–3012,
34 2005.
- 35 van Bemmelen, and Braak, C.: Preliminary report upon the investigation of the upper air-
36 layers begun at Batavia in 1909. *Verh. K. Akad. Van Wetensch. te Amsterdam*
37 [Proceedings of the Royal Netherlands Academy of Arts and Sciences, Amsterdam] 13 I,
38 149-157, 1910.
- 39 van Bemmelen, W.: Die Wind-verhältnisse in den oberen Luftschichten nach
40 Ballonvisierungen in Batavia. - Koninklijk Magnetisch en Meteorologisch Observatorium
41 te Batavia. Verhandelingen No. 1, 1911.
- 42 van Bemmelen, W.: Koninklijk Magnetisch en Meteorologisch Observatorium te Batavia,
43 Verhandelingen No. 4, Results of Registering-Balloon Ascents at Batavia. Javasche
44 Boekhandel en Drukkerij, Batavia, 1916.
- 45 [Vecchi, G. A., and Soden, B. J.: Global warming and the weakening of the tropical circulation.](#)
46 [J. Climate, 20, 4316–4340, 2007.](#)

1 Vecchi, G. A., and Knutson, T. R.: Estimating annual numbers of Atlantic hurricanes missing
2 from the HURDAT database (1878-1965) using ship track density, *J. Clim.*, 24, 1736–
3 1746, 2011.

4 Veryard, R. G., and Ebdon, R. A.: Fluctuations in tropical stratospheric winds. *The*
5 *Meteorological magazine* 90, 125–143, 1961.

6 Wang B., Wu R., and Lau K. M.: Interannual variability of the Asian summer monsoon:
7 Contrasts between the Indian and the western North Pacific-East Asian monsoons, *J. Clim.*
8 14, 4073–4090, 2001.

9 Wilks, D. S.: *Statistical methods in atmospheric sciences*, 2nd Edition. Academic Press, 2006.

10 Woeikof, A.: Die Schneedecke in “paaren” und “unpaaren” Wintern, *Meteorol. Z.*, 12, 77–78,
11 1895.

12 Zhou, T., Brönnimann, S., Griesser, T., Fischer, A. M., and Zou, L.: A reconstructed dynamic
13 Indian monsoon index extended back to 1880, *Clim. Dynam.*, 34, 573–585, 2010.

14
15

Formatted: Superscript

1 **Tables**

2

3 Table 1. Standardised difference in indices between easterly and westerly QBO phases in
 4 different observation-based data sets as well as in the climate model simulations (F13, F14,
 5 F23, and F24 denote the simulation numbers, also shown is the result for the pooled
 6 simulations). Bold and italics denote significance at the 95% and 90% level, respectively.
 7 REC+NNR is the combination of reconstructed indices up to 1947 and NCEP/NCAR
 8 Reanalysis thereafter.

9

Index	1908-2014	1908-1957	1957-2014	1979-2015	F13	F14	F23	F24	Pooled
Z100 (REC+NNR)	0.226	-0.037	0.425	0.343					
Z100 (20CRv2c)	-0.242	-0.443	-0.123	-0.184	0.304	<i>0.206</i>	0.279	0.163	0.238
Z100 (ERA20C)	0.025	-0.057	0.162	0.206					
Z100 (ERA-Interim)				0.251					
NAO (20CRv2c)	0.088	0.356	-0.100	0.000	-0.002	-0.213	-0.183	-0.081	-0.120
Berlin Temp (GHCN)	0.513	1.217	0.015	0.116	<i>0.209</i>	-0.158	0.012	0.159	0.056
PWC _{DJF} (REC+NNR)	0.049	0.004	-0.003	0.003					
PWC _{DJF} (20CRv2c)	0.111	0.002	0.002	0.015	0.280	0.340	0.367	0.026	0.253
PWC _{DJF} (ERA20C)	0.143	0.006	0.001	0.531					
PWC _{JAS} (REC+NNR)	-0.497	-0.381	-0.676	-1.406					
PWC _{JAS} (20CRv2c)	-0.289	-0.323	-0.254	-1.300	0.356	0.108	0.235	-0.127	0.143
PWC _{JAS} (ERA20C)	-0.248	-0.239	-0.244	-1.186					
DIM _{JAS} (REC+NNR)	0.164	-0.239	0.713	0.520					
DIM _{JAS} (20CRv2c)	0.250	0.108	0.509	0.746	-0.044	0.040	-0.091	0.005	-0.022
DIM _{JAS} (ERA20C)	-0.187	-0.359	0.031	-0.461					
Hurricanes	-0.100	-0.016	-0.173	-0.674	NA	NA	NA	NA	NA

Deleted: ??

Formatted: Font: Bold

10

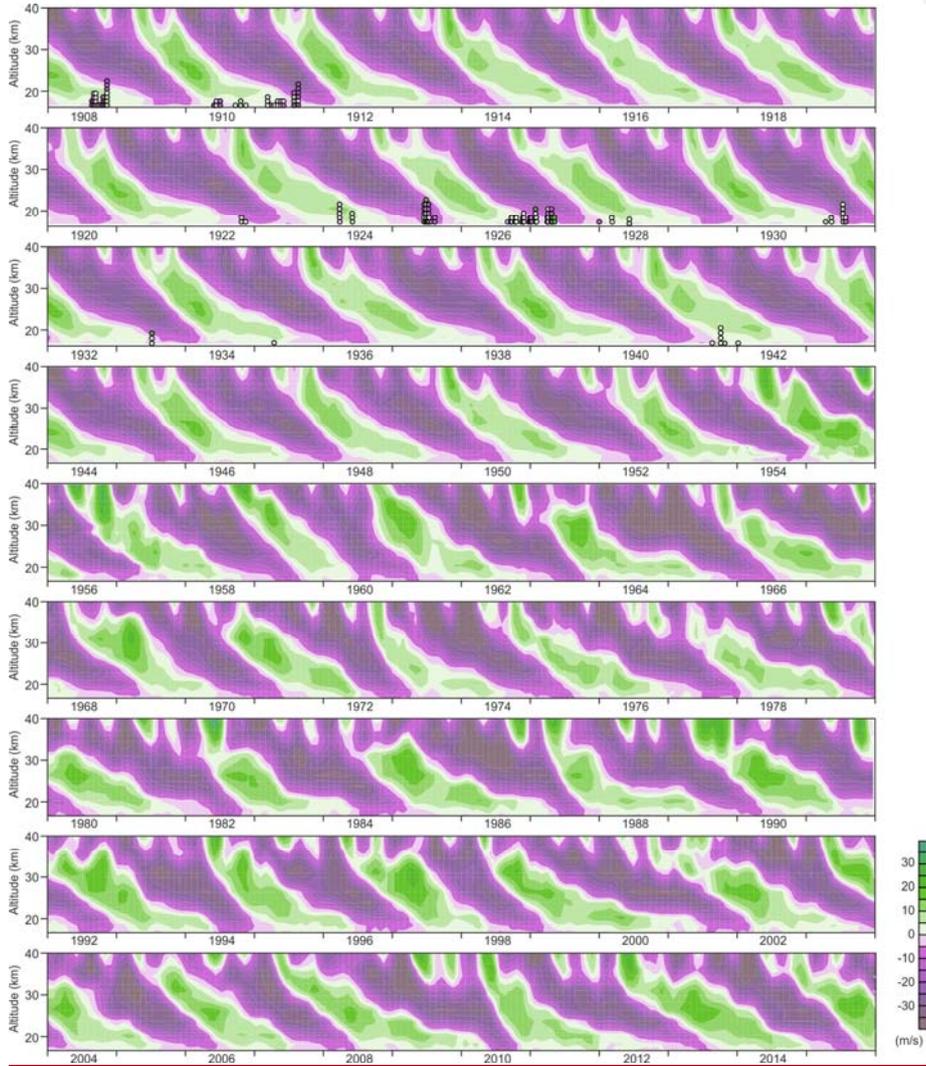
11

Deleted: ¶

Deleted: ¶

1 **Figures**

2

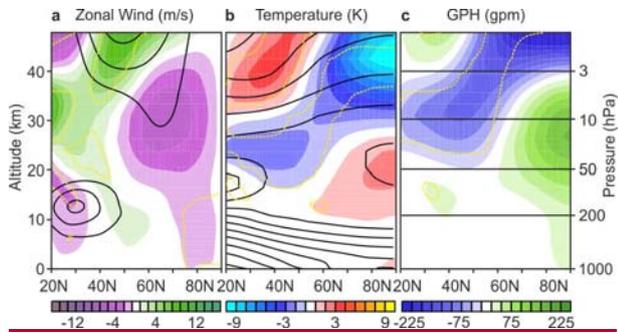


3

4 **Fig. 1.** Hovmöller diagram (time–height cross section) of zonal-mean zonal wind at the
5 equator from 1908 to 2015 (from Brönnimann et al., 2007). The dots [in the first three rows](#)
6 indicate the additional wind data rescued within the ERA-CLIM project.

7

8



1
 2 **Fig. 2.** Composite fields (shading) and climatologies (contours) since 1979 in ERA-Interim,
 3 Jan.-Mar., for easterly minus westerly QBO phases (Nov.-Dec.) for zonal averages of (a)
 4 zonal wind (contours: 20 to 60 m/s in steps of 10 m/s), (b) temperature (contours: 200 to 300
 5 K in steps of 10 K) and (c) GPH. Yellow dashed lines indicate significant differences ($p \leq$
 6 0.05). The corresponding figures for 20CRv2c and ERA-20C are given in Fig. S4.

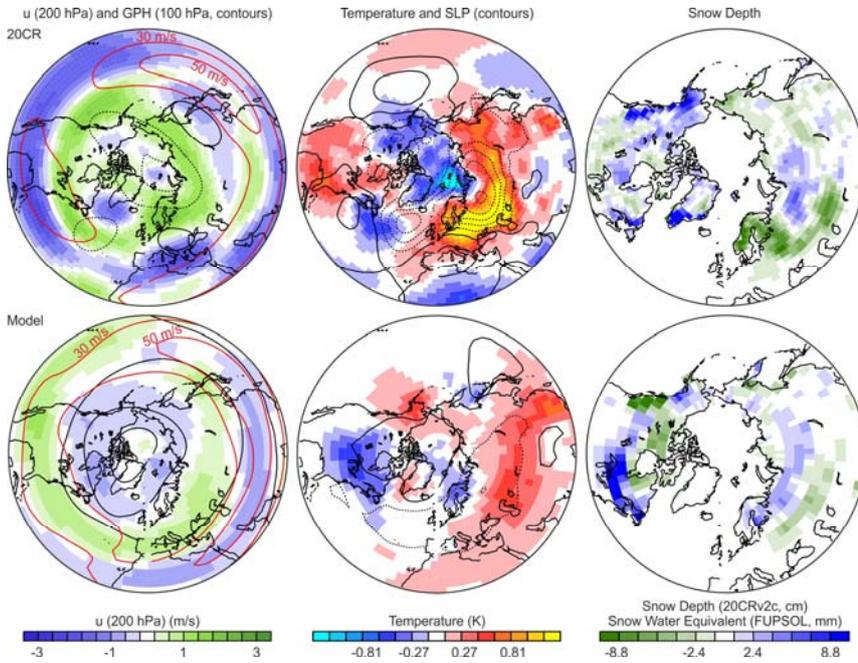
Deleted: of

Deleted: for boreal winter

Deleted: in Jan.-Mar. using the 50 hPa QBO early winter

Deleted: is

1

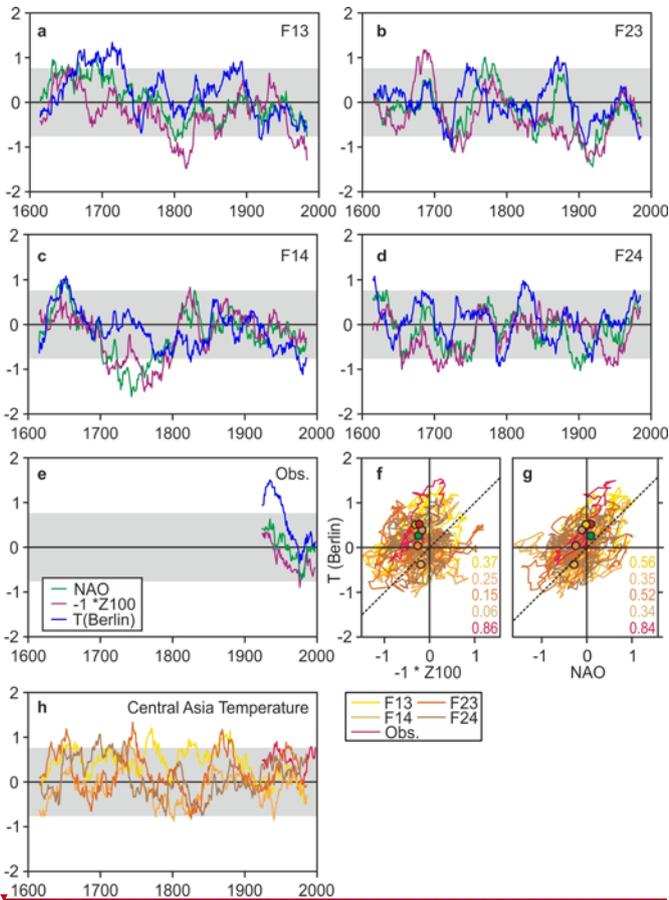


2

3 **Fig. 3.** Composite fields for easterly minus westerly QBO phases for (left) 200 hPa zonal
4 wind (shading, red contours indicate climatology) and 100 hPa GPH (black contours, spacing
5 24 gpm, symmetric around zero, dashed are negative), (middle) surface air temperature
6 (shading) and SLP (contours, spacing 0.6 hPa, symmetric around zero, dashed are negative)
7 and (right) snow cover. See Fig. S5 for significance.

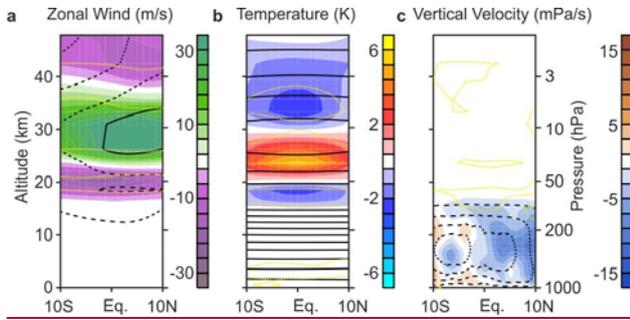
8

Deleted: 3



1
2 **Fig. 4.** Standardized differences between easterly and westerly QBO phases in 30-yr moving
3 windows for NAO, Z100 and Berlin SAT in the four model simulations (a) F13, (b) F14, (c)
4 F23, and (d) F24 and in (e) observation-based data (Z100 from reconstructions, NAO from
5 20CRv2c). Panels (f) and (g) show the same time series, but plotting Berlin SAT as a function
6 of $-1 * Z100$ or NAO (filled circles indicate the standardized difference over the entire period
7 and numbers give the correlations; the green circles indicates the standardized differences
8 from ERA-Interim, 1979-2015, one-to-one lines are given in dashed). Panel (h) shows
9 standardized differences between easterly minus westerly QBO phases in 30-yr moving
10 windows for the Central Asia SAT index in HadCRUT4v and in the model simulations. Grey
11 shading denotes an approximate 95% confidence interval for 30-yr averages.
12

1



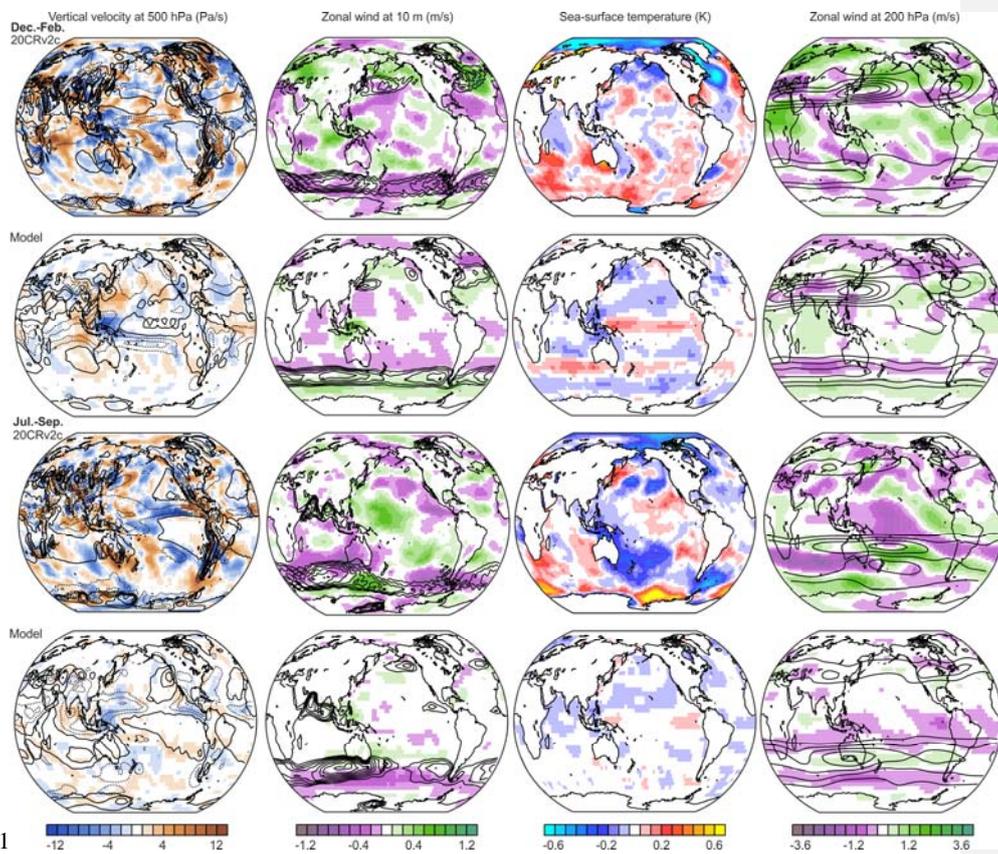
2

3

4 **Fig. 5.** Composite fields (shading) and climatologies (contours) of ERA-Interim for boreal
5 winter for easterly minus westerly QBO phases for zonal averages within 120-160° E for (a)
6 zonal wind contours: (-50 to 50 m/s in steps of 20 m/s), (b) temperature (contours: 200 to 300
7 K in steps of 10 K) and (c) omega (-70 to 70 Pa/s in steps of 20 Pa/s) in Dec.-Feb. using the
8 70 hPa QBO definition. Yellow dashed lines indicate significant difference (p = 0.05).

9

10



1
2
3 **Fig. 6.** Composite fields for easterly minus westerly QBO phases for (left) 500 hPa vertical
4 velocity (shading, contours indicate climatology, spacing is 40 Pa/s symmetric around zero,
5 dashed are negative), 10 m zonal wind (shading, contours indicate climatology from 4 m/s in
6 steps of 1 m/s), SST, and 200 hPa zonal wind (shading, contours indicate climatology from 20
7 m/s in steps of 10 m/s) for boreal summer and winter in 20CRv2c and in the model
8 simulations. See Fig. S12 for significance.

Deleted: 0