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3 **Application of a new scheme of cloud base droplet nucleation in a**
4 **Spectral (bin) Microphysics cloud model: sensitivity to aerosol**
5 **concentrations**

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19 **Abstract**

20 A new scheme of droplet nucleation at cloud base is implemented into the Hebrew University
21 cloud model (HUCM) with spectral (bin) microphysics. In this scheme, supersaturation maximum
22 S_{max} near cloud base is calculated using theoretical results according to which $S_{max} \sim W^{3/4} N_d^{-1/2}$,
23 where W is the vertical velocity at cloud base and N_d is droplet concentration. Microphysical cloud
24 structure obtained in the simulations of a mid-latitude hail storm using the new scheme is compared
25 with that obtained in the standard approach, in which droplet nucleation is calculated using the
26 values of supersaturation calculated in grid points. The simulations were performed with high and
27 low concentrations of cloud condensational nuclei and different slope parameters in expression for
28 the activity CCN spectra. It is shown that the new scheme substantially improves the vertical
29 profile of droplet concentration shifting the concentration maximum to cloud base. The effect of
30 calculation of droplet concentration using the analytical prediction of S_{max} is especially significant
31 in cases of high CCN concentration. Application of the new approach in cases of low CCN
32 concentration does not change cloud microphysics significantly. It is shown that the shape of CCN
33 size distribution on cloud microphysics is not less important than the effect of total CCN
34 concentration. It is shown that the smallest CCN with diameters less than about $0.015 \mu m$ have a
35 substantial effect on microphysics of deep convective clouds.

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37 Key words: cloud-aerosol interaction, droplet nucleation at cloud base, spectral bin
38 microphysics

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40 1. Introduction

41 Droplet concentration is the key microphysical parameter that affects precipitation formation,
42 and radiative cloud properties (Pruppacher and Klett, 1997). The maximum value of
43 supersaturation near cloud base (S_{max}) determines the amount of activated cloud condensational
44 nuclei (CCN) and, consequently, droplet concentration. The maximum supersaturation is reached
45 a few tens of meters above cloud base [Rogers and Yau, 1996; Pinsky et al. 2013]. The vertical
46 grid spacing of most cloud-resolving models is too coarse to resolve this maximum. This can
47 lead to errors in determination of droplet concentration. Therefore, it is desirable to parameterize
48 the process of droplet nucleation near cloud base. One approach to the parameterization is based
49 on lookup tables developed using precise 1D parcel models (e.g., Segal and Khain, 2006). The
50 other approach is based on analytical calculation of supersaturation maximum, S_{max} , near cloud
51 base. This approach has been developing in several studies using one or another assumptions
52 concerning CCN activity spectra [Ghan et al., 1993, 1997; Bedos et al., 1996; Abdul-Razzak et
53 al., 1998; Cohard et al., 1998; Abdul-Razzak and Ghan, 2000; Fountoukis, 2005; Shipway and
54 Abel, 2010]. The results and a comparison of these approaches are presented by Ghan et al.
55 [2011]. Note that the calculation of the supersaturation maximum is a complicated mathematical
56 problem [Khvorostyanov and Curry, 2006] that is typically reduced to solving an integro-
57 differential equation which uses different expressions for CCN activity spectra. The parameters
58 of activity CCN spectra, as well as the concentration and shape of the CCN size distributions, are
59 often prescribed in atmospheric models and assumed to be invariant over time.

60 Pinsky et al. [2012] proposed a simple method of calculation of S_{max} near cloud base and,
61 accordingly, droplet concentration at cloud base at any CCN spectra. The detailed test of the



62 method showed very good agreement with exact results (obtained using a 1-D model) as regards
63 of the values of S_{\max} and droplet concentration.

64 In this study we investigate the effects of the new method for calculating droplet
65 concentration on the microphysics of mid-latitude deep convective clouds (hail storm) using the
66 Hebrew University Cloud model (HUCM) with spectral-bin microphysics (SBM). The effect of
67 the new approach (NA, hereafter) is investigated in simulations with different parameters of
68 CCN activity spectra.

69

70 **2. Model description**

71 The HUCM is a 2-D, nonhydrostatic SBM model, which microphysics is based on solving a
72 system of equations for size distributions of liquid drops, three types of pristine ice crystals
73 (plates, columns, and dendrites), snow/aggregates, graupel, hail and partially frozen or "freezing
74 drops". Each size distribution is discretized into 43 mass-doubling bins, with the smallest bin
75 equivalent to the mass of a liquid droplet of radius $2 \mu\text{m}$. Aerosol particles playing the role of
76 CCN are also defined on a mass grid containing 43 mass bins. The size of dry CCNs ranges
77 from $0.005 \mu\text{m}$ to $2 \mu\text{m}$.

78 Primary nucleation of each ice crystal type is described using Meyers et al. [1992]
79 parameterization. The type of ice crystals is determined depending on temperature range where
80 the particles arise (Takahashi et al. 1991). Secondary ice generation is accounted for during
81 riming (Hallett and Mossop 1974). Collisions are described by solving the stochastic collection
82 equations for the corresponding size distributions using the Bott (1998) method. Height-
83 dependent, gravitational collision kernels for drop-drop and drop-graupel interactions are from
84 Pinsky et al. (2001) and Khain et al. (2001); those for collisions between ice crystals are from



85 Khain and Sednev (1995) and Khain et al. (2004). The latter studies include the dependence of
86 particle mass on the ice crystal cross-section. The effects of turbulence on collisions between
87 cloud drops are included (Benmoshe et al. 2012). The collision kernels depend on the turbulence
88 intensity and changes in time and space.

89 The time-dependent melting of snow, graupel, and hail as well as shedding of water from
90 hail follows Phillips et al. (2007). We have implemented liquid water mass in these hydrometeor
91 particles that is advected and sediment similarly to the mass of the corresponding particles. As a
92 result, these particles are characterized by their total mass and by the mass of liquid water (i.e.,
93 the liquid water mass fraction). The liquid water fraction increases during melting. As soon as it
94 exceeds ~95%, the melting particles are converted to raindrops. Process of time dependent
95 freezing is described according to Phillips et al. (2014, 2015). Process of freezing consists of two
96 stages. The first nucleation stage is described using the parameterization of immersion drop
97 freezing proposed by Vali (1994) and Bigg (1953). Drops with radii below 80 μm that freeze are
98 assigned to plates, whereas larger drops undergoing freezing are assigned to freezing drops. The
99 freezing drops consist of a core of liquid water surrounded by an ice envelope. Time-dependent
100 freezing of liquid within freezing drops is calculated by solving heat balance equations that take
101 into account the effects of accretion of supercooled drops and ice particles. Collision between
102 freezing drops and other hydrometeors lead either to the freezing drops category if the freezing
103 drop is larger than its counterpart, or otherwise, the resulting particle is assigned to the type of
104 counterpart. Once the liquid water fraction in a freezing drop becomes less than some minimal
105 value (<1%) it is converted to a hailstone. Hail can grow either by dry growth or by wet growth
106 (Phillips et al. 2014, 2015). Accordingly, liquid water is allowed in hail and graupel particles at
107 both positive and negative temperatures. The shedding of water in wet growth is also included.



108 Water accreted onto aggregates (snow) freezes immediately at temperatures below 0°C ,
109 where it then contributes to the rimed fraction. This rimed mass distribution is advected and
110 sediment similarly to the snow masses. Riming mass increases the density of the aggregates. As
111 the bulk density of snow in a certain mass bin exceeds a critical value (0.2 g cm^{-3}), the snow
112 from this bin is converted into graupel. The appearance of water on the surface of hailstones as
113 well as increases in the rimed fraction of snowflakes affects the particle fall velocities and
114 coalescence efficiencies.

115 The initial size distribution of CCN (at $t=0$) is calculated using the empirical dependence
116 (i.e., the Twomey formula) of concentration N_{ccn} of activated CCN on supersaturation S_w (in %)
117 $N_{ccn} = N_o S_w^k$, where N_o and k are the measured constants (see [Khain *et al.*, 2000] for
118 details). The obtained aerosol size distribution is corrected in zones of very small and very large
119 CCN, that is, in size ranges where the Twomey formula is invalid. At $t>0$ the prognostic
120 equation for the size distribution of non-activated CCN is solved. Using the value of S calculated
121 at each time-step and in each grid point, the critical radius of CCN particles was determined
122 according to the Köhler theory. The CCNs with radii exceeding the critical value are activated
123 and new droplets are nucleated. The corresponding bins of the CCN size distributions become
124 empty.

125 In the new approach (NA) nucleation of droplets near cloud base is performed following
126 Pinsky *et al.* [2012], who derived the following relationship between supersaturation maximum
127 near cloud base and vertical velocity w and droplet concentration N_d :

$$128 \quad S_{\max} = C w^{3/4} N_d^{-1/2} \quad (1)$$



129 where coefficient C slightly depends on the thermodynamical parameters only (see **Table 1** for
 130 notations). Since the droplet concentration is equal to the concentration of CCN activated at
 131 $S_w = S_{\max}$, the droplet concentration can be calculated as

$$132 \quad N_d = \int_{r_{n_cr}(S_{\max})}^{\infty} f(r_n) dr_n \quad (2)$$

133 where $f(r_n)$ is a size distribution of dry aerosol particles and r_{n_cr} is critical radius of aerosol
 134 activated under S_{\max} . This radius relates to S_{\max} as $r_{n_cr} = \frac{A}{3} \left(\frac{4}{BS_{\max}^2} \right)^{1/3}$, where coefficients A

135 and B are the coefficients of the Köhler equation. From Eqs. (1-2) one can obtain equation for
 136 S_{\max} :

$$137 \quad S_{\max} \left[\int_{r_{n_cr}(S_{\max})}^{\infty} f(r_n) dr_n \right]^{1/2} = C w^{3/4} \quad (3)$$

138 Eq. (3) was used to calculate S_{\max} , r_{n_cr} and concentration of nucleated droplets.

139 **3. Design of simulations**

140 All simulations were performed within a computational domain of 153.9 km x 19.2 km,
 141 and a grid spacing of 300 m in the horizontal direction and 100 m in the vertical direction. A new
 142 microphysical scheme was tested in simulations of a thunderstorm observed in Villingen-
 143 Schwenningen, southwest Germany, on 28 June 2006. Meteorological conditions (including
 144 sounding) of this storm were described by *Khain et al.* [2011]. The background wind direction
 145 was quasi-2-D, which simplified the prescription of the background wind profile in the 2-D
 146 model. The wind speed increased with height from $\sim 10 \text{ m s}^{-1}$ in the lower atmosphere to about
 147 20 m s^{-1} at levels of 100-200 mb. We used a surface temperature of 22.9°C, which was similar



148 to the daily maximum air temperature near the surface in Villingen- Schwenningen at 15 UTC.
149 The relative humidity near the ground was high (~85%), which led to a low lifting condensation
150 level of about 890 m. The freezing level was located around 3.5 km. The observed maximum
151 diameter of hailstones was about 5 cm.

152 The convection was initiated by a cool pool that triggered convective cloud formation. This
153 type of storm triggering is used traditionally in simulations of long-lasting convection [*Rotunno*
154 *and Klemp*, 1985].

155 Three sets of simulations were produced. In each set, the simulations were performed in two
156 versions: the standard approach (ST, hereafter), where the critical CCN radius was calculated
157 using a supersaturation calculated at the grid points, and using the NA, where the critical CCN
158 radius and S_{\max} were determined from Eq. (3).

159 *The first set of simulations* aims at the comparison of the microphysics in the NA and the ST
160 in cases of high ($N_0 = 3500 \text{ cm}^{-3}$) and small ($N_0 = 100 \text{ cm}^{-3}$) CCN concentrations. Minimum
161 radii of CCN were set equal to $0.015 \text{ }\mu\text{m}$ and $0.0125 \text{ }\mu\text{m}$, respectively. Similar CCN size
162 distributions were used by Khain et al (2011). These simulations are referred to as E3500, E100
163 (ST) and EN3500, and EN100 (NA), respectively.

164 *In the second set of simulations* the smallest CCN were added into the aerosol particle (AP)
165 spectra. The large impact of the smallest CCN in the formation of ice crystals in cloud anvils was
166 shown by Khain et al. [2012]. In this set the minimum CCN radii were taken equal to $0.006 \text{ }\mu\text{m}$
167 and $0.003 \text{ }\mu\text{m}$ in cases of high and low CCN concentrations, respectively. These simulations are
168 referred to as E3500-S, EN3500-S, E100-S and EN100-S.

169 In these two sets of simulations the slope parameter k was assumed equal to 0.9.



170 The third set of simulations was similar to the second one, but the slope parameter was taken
171 $k = 0.5$. In many studies investigating effects of aerosols on cloud microphysics only parameter
172 N_0 is changed. However, the slope parameter determines the relationship between concentration
173 of smaller and larger CCN, so concentration of nucleated droplets also depends on the slope
174 parameter. The simulations of the third set are referred to as E3500-S-05, EN3500-S-05, E100-
175 S-05 and EN100-S-05. Size distributions of CCN in these experiments are shown in **Figure 1**.

176 CCN concentrations in different experiments are presented in **Table 2**. Although the
177 difference between total aerosol concentrations is not large, in case $k=0.5$ the CCN size
178 distribution contains more large CCN, and less small CCN. These size distributions were
179 assumed within the lower 2-km layer. Above this level, the CCN concentration in each mass bin
180 was decreased exponentially with height. Above 8 km, the CCN concentration was set constant.

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182 **4. Results of simulations**

183

184 **4.1 Vertical profiles of supersaturation near cloud base**

185

186 The model calculates supersaturation at the model levels which typically do not
187 coincide with the cloud base level where supersaturation $S_w = 0$. We treat the first level where
188 $S_w > 0$ as the cloud base. Since the supersaturation maximum is reached not far from cloud
189 base level, we attribute the values of S_{\max} to this level. Correspondingly, the difference
190 between NA and ST in the droplet concentrations is also attributed to this level. **Figure 2**
191 presents two examples of vertical profiles of supersaturation (%) near cloud base in the ST and
192 the NA simulations. The profiles of supersaturation chosen when the vertical velocities at cloud



193 base were 0.5 ms^{-1} and 1 ms^{-1} , respectively. It is natural that the values of S_{max} are larger in
194 cases of low CCN concentration as compared to the high CCN case. For goals of the present
195 study, the more interesting is that the values of S_{max} calculated using NA are substantially
196 larger than S_w calculated at model level associated to the cloud base in the ST. The difference
197 between NA and ST in the supersaturation values leads to a substantial difference in the droplet
198 concentrations, especially in cases of high CCN concentration. Calculation of S_{max} at cloud
199 base changes the vertical profile of supersaturation in the layer just above cloud base. While in
200 the ST supersaturation changes only slightly or even increase with height within the 100-200
201 m above cloud base, in the NA supersaturation decreases within this layer above
202 supersaturation maximum in agreement with the theory (Rogers and Yau, 1989, Pinsky et al,
203 2012, 2013).

204 ***4.2 High CCN concentration***

205 In this section we compare the results for three pairs of simulations in which clouds were
206 developed in a highly polluted atmosphere. **Figure 3** shows the fields of droplet concentration
207 N_d at the developing stage of the cloud evolution in E3500-S-0.5 (a), EN3500-S-0.5 (b), E3500-
208 S (c) and EN3500-S (d). The maximum N_d in a NA is reached at cloud base, which makes the
209 cloud base well pronounced. The difference between droplet concentrations in the ST and the
210 NA experiments decreases with height. Larger droplet concentration is reached in simulations
211 with the slope factor $k=0.5$ of the CCN activity spectrum. This can be attributed to the fact that in
212 case of $k=0.9$ aerosol spectrum contains more smallest CCN which are not activated at cloud
213 base.

214 Vertical profiles of the maximum values of droplet concentration and of cloud water
215 content (CWC) are presented in **Figure 4**. In the NA the N_d maximum decreases with height



216 beginning with the cloud base level. This behavior of $N_d(z)$ is obviously more realistic than in
217 ST, where the N_d maximum slightly increases with height up to an altitude of 4 km. This
218 increase in the N_d maximum in the ST is caused by in-cloud activation of CCN which were not
219 activated at cloud base. In the NA, these CCN were activated at cloud base. There is, therefore,
220 a negative feedback in the supersaturation-droplet concentration relationship: an underestimation
221 of supersaturation at the low levels in the ST simulations leads to the underestimation of droplet
222 concentration and to the corresponding increase in supersaturation. Above a height of 4 km,
223 droplet concentrations in both cases turn out to be similar, regardless of which approach is used
224 leading to comparatively small differences in ice microphysics. The results indicate that in those
225 models where droplet nucleation is calculated only at cloud base, the correct calculation of S_{\max}
226 at cloud base is strictly necessary to obtain reasonable values of N_d in clouds.

227 The effect of the smallest CCN on N_d is seen above 6 km altitude by comparison of
228 profiles in E3500 (or EN3500) and E3500S (or EN3500S) (Fig. 4a). These smallest CCN are
229 activated and produce additional droplets by in-cloud nucleation caused by an increase in
230 supersaturation due to a decrease in CWC (Fig. 4b) and an increase in vertical velocity (not
231 shown). The increase in N_d by activation at high levels and its effect on concentration of ice
232 crystals in cloud anvils of deep convective clouds was also reported by Khain et al. (2012).

233 Fig. 4a shows also that N_d is very sensitive to slope parameter. The maximum N_d
234 reached at cloud base is about 1100 cm^{-3} in EN3500-S-05 ($k=0.5$) as compared to $\sim 550\text{ cm}^{-3}$ in
235 EN3500-S ($k=0.9$). Vertical profiles of CWC (Fig. 4b) are typical of deep convective clouds
236 developing in the highly polluted environment: CWC is large and has maximum at about 5 km,
237 i.e. at quite high altitude.



238 The difference in concentration of small droplets at higher levels induced by nucleation of
239 smallest droplets results in a dramatic difference in the concentration of ice crystals in cloud
240 anvils. **Figure 5a** shows the vertical profiles of maximum concentration of plate crystals (in
241 HUCM homogeneous freezing leads to formation of plates) averaged over the mature stage of
242 cloud evolution (from 4860 to 5460s). The number concentration of ice crystals in E3500 and
243 EN3500 (in which there are no the smallest CCN in the initial CCN spectrum) is by factor of 5
244 lower than in simulations containing these smallest CCN. The results show that ice crystal
245 concentration in the NA is higher only slightly than in the ST simulations. Thus, in the
246 simulations, the concentration of ice crystals in cloud anvils is very sensitive to the concentration
247 of smallest CCN in the CCN spectra and is substantially less sensitive to larger CCN, which are
248 activated at cloud base. Fig. 5b shows that this conclusion is valid for entire period of the
249 simulation. The concentration of plates increased when the NA was applied (**Figure 5b**).

250 **Figure 6** shows the vertical profiles of time averaged maximal mass contents of ice
251 crystals, snow, graupel and hail+freezing drops at the storm mature stage. The maximum
252 difference between ice crystal mass contents takes place at ~10-11 km, where ice crystals are
253 caused by homogeneous freezing. The most pronounced effect of the NA is an increase in the
254 accretion rate. In agreement with results of simulations of aerosol effects on ice microstructure
255 of deep convective clouds (Khain 2009; Tao et al. 2012; Khain et al. 2016), the intensification of
256 riming leads to the decrease in the snow mass content and to the increase in the mass contents
257 of graupel and hail (Fig.6b-d). The existence of the smallest CCN concentration leads to an
258 increase in the differences between the NA and the ST.

259

260 **4.3 Low CCN concentration**



261

262 In this section we compare the results for three pairs of simulations: E100 and EN100,
263 E100-S and EN100-S, and E100-S-0.5, and EN100-S-0.5 in which clouds were developed in the
264 low CCN concentration atmosphere. After the first 35 min of cloud evolution, the cloud base is
265 located at 700-800 m altitude and $T=16.8^{\circ}\text{C}$ at this level.

266

267 The fields of droplet concentration N_d in different experiments at the developing stage of
268 the cloud evolution are shown in **Figure 7**. The maximum N_d in a NA is reached at cloud base,
269 which makes the cloud base well pronounced. The difference in droplet concentrations in the ST
270 and the NA experiments decreases with height. Although the difference is N_d between the NA
271 and ST is very pronounced, the absolute difference is not large, of about 20 cm^{-3} . This low N_d
272 determines a very maritime structure of clouds in both cases.

273 **Figure 8** shows vertical profiles of the maximum values of droplet concentration and cloud
274 water content (CWC) averaged over the time period of 2100 – 2700s. Both the droplet
275 concentration and CWC are larger in the NA as compared to the ST. Since clouds are very
276 maritime, droplet collisions are efficient and warm rain sharply decreases the droplet
277 concentration above 2-3 km. CWC is larger in the NA.

278 In agreement with the theory (Pinsky et al. 2012), the supersaturation maximum in case of
279 low concentration is reached at larger distance above cloud base than in the case of high CCN
280 concentration. As a result, S_{max} calculated using Eq. (3) is closer to the supersaturation
281 calculated in model grid points than in case of high CCN concentration. So the main difference
282 between droplet concentrations in Fig. 8 is caused by the difference in the shape of CCN size
283 distribution (different slope parameters).



284 The smallest CCNs lead to a substantial increase in the droplet concentration above 3-4 km
285 (Fig. 8a). Efficient rain formation (seen by the sharp decrease in the CWC) decreases the droplet
286 concentration. As a result, the supersaturation increases and leads to in-cloud nucleation of the
287 smallest aerosols already at distances close to cloud base. However, because of the low
288 concentration of CCN the amount of new nucleated droplets in the simulations was only about 5
289 cm^{-3} .

290 **Figure 9** presents the vertical profiles of maximum mass density of total ice crystals, snow,
291 graupel and hail + freezing drops at the mature stage of cloud evolution. Comparison with Fig. 6
292 shows that at the exception of snow, the mass contents of different ice hydrometeors in case of
293 low CCN concentration are much lower than in case of high CCN concentration. The profiles of
294 ice hydrometeors in the NA and the ST are quite similar. The effects of the smallest CCN and the
295 shape of CCN size spectra on droplet concentration and the concentration on ice microphysics
296 are much stronger than the effect of additional droplets nucleating at cloud base in the NA. The
297 reason for this effect was mentioned above.

298 The increase in CCN (and droplet concentration) leads to a decrease in the mass contents
299 of ice crystals and snow, but to the increase in mass content of graupel and hail.

300 The effects of the smallest CCN are similar to that in the high CCN concentration.
301 Activation of the smallest CCN leads to more intense riming, larger ice crystal concentration in
302 cloud anvil and larger masses of graupel and hail.

303 **4.3 The impact on precipitation**

304 **Figure 10a** shows the accumulated rain at surface in the polluted cases. Accumulated rain
305 is maximal in the EN3500-S-0.5, where effect of small CCNs is combined to the effect of
306 comparatively large amount of large CCN. This synergetic effect of the smallest and large CCN



307 is described by Khain et al. (2011). In the most simulations, the masses of accumulated rain are
308 quite similar.

309 **Figure 10b** shows that the accumulated rain in case of low aerosol concentration is lower
310 than in case of high CCN concentration in agreement with many previous studies. Accumulated
311 rain in the NA turns out to be quite close to that in the ST. Main difference in the values of
312 accumulated rain in the low CCN concentration case is caused by effects of smallest aerosols and
313 shape of the CCN size distribution.

314 Amount of hail at the surface in polluted cases is slightly higher in EN3500-S-0.5 as
315 compared to E3500-S-0.5 (**Figure 10c**). We attribute this effect to higher rate of riming in
316 EN3500-S-0.5 due to higher amount of supercooled water. There is no significant differences in
317 the other polluted cases. In clean cases the amount of hail at surface is lower than in polluted
318 cases (**Figure 10d**). An increase in hail amount at the surface in EN100-S as compared to E100-
319 S can be attributed to the intensification of hail growth caused by contribution of additional small
320 droplets to the riming process.

321 **5. Conclusions**

322 Sensitivity of microphysics of deep convective clouds to the concentration of aerosols and to
323 the shape of aerosol size distribution is investigated using a new version of a 2-D Spectral (bin)
324 Microphysics cloud model (HUCM). One of new components of the model is the calculation of
325 maximum supersaturation at cloud base using analytical expression derived by *Pinsky et al.*
326 [2012]. The cloud microphysical structure obtained using this expression is compared with that
327 obtained when supersaturation was calculated in model grid points.

328 The goal of the study was twofold: a) to test effects of the improved calculation of
329 supersaturation maximum near cloud base (new approach-NA) at different aerosol loadings and



330 b) to evaluate sensitivity of cloud microphysics to concentration and shape of size distribution of
331 aerosol particles. Shape of the CCN size distributions was changed by changing of the slope
332 parameter in the expression for activity spectrum (the values of $k=0.5$ and $k=0.8$ were used) and
333 by adding the smallest CCN with radii below $0.015 \mu m$.

334 It was shown that the droplet concentration field in the NA is substantially more realistic: the
335 maximum of droplet concentration was located near cloud base, which made the cloud base more
336 pronounced. The improvement of the representation of vertical profile of the droplet
337 concentration is especially significant in case of high CCN concentration. The latter can be
338 attributed to the fact that in cases of high CCN concentration, errors in the calculation of
339 supersaturation lead to substantial errors in the value of droplet concentration. In the ST, the
340 supersaturation calculated in grid points near cloud base turned out to be substantially
341 underestimated as compared to the theoretically determined supersaturation maximum. This led
342 to a substantial underestimation of the droplet concentration near cloud base in the ST. Thus,
343 even in case of 100 m vertical resolution, the utilization of analytical expressions for S_{max} is
344 necessary.

345 The error in the calculation of droplet concentration near cloud base in HUCM is
346 compensated to a significant extent by in-cloud nucleation. The models with microphysical
347 schemes that do not describe in-cloud droplet nucleation have to include the calculation of S_{max}
348 at cloud base to avoid large errors in the simulation of the microphysical cloud structure.

349 Despite the fact that the error in the calculation of droplet concentration near cloud base is
350 partially compensated in the ST by in-cloud nucleation, the concentration of droplets in the NA
351 was higher than in the ST at higher levels as well. The higher concentration of droplets in the NA



352 leads to more intense riming, larger maximum values of graupel and hail mass contents and to
353 increased hail size. It also leads to larger masses of ice crystals in cloud anvils. In this respect
354 the effect of NA is similar to that of an increase in the CCN concentration.

355 In cases of low CCN concentration, the improvement of representation of the droplet
356 concentration above cloud base has only a slight effect on cloud microphysics. This result can be
357 attributed to the fact that more accurate calculation of droplet concentration leads to a
358 comparatively small increase in the droplet concentration just because the available CCN
359 concentration is low. As a result, intense warm rain rapidly arises in both the NA and in the ST.

360 Both in cases of low and high CCN concentration, the main differences in ice microphysics is
361 caused by the shape of CCN spectra and by existence/absence of the smallest aerosols in the
362 CCN spectra. In cases of high CCN concentration, the effect of the smallest CCN in the NA
363 becomes important above 5-6 km altitude where they are activated producing additional
364 supercooled liquid droplets. The latter leads to increase in the concentration of ice crystals above
365 the level of homogeneous freezing by factor of about 5, to doubling of maximum graupel mass
366 and to substantial increase in the maximum of hail mass.

367 In case of low CCN concentration the smallest CCN also lead to an increase in the
368 concentration and mass contents of ice crystals and to a significant increase of graupel and hail
369 mass contents.

370 It was found a high sensitivity of cloud microphysics to the slope parameter of the CCN
371 activity spectra. The effect is as strong as the change in the total CCN concentration via the
372 change in the intercept parameter N_0 . The utilization of $k=0.5$ instead of $k=0.9$ nearly doubled



373 droplet concentration that leads to corresponding effects on cloud microphysics, in particular, to
374 an increase in accumulated rain.

375 Ice precipitations at the surface are much lower than liquid precipitation. Nevertheless, hail
376 precipitation at the surface in case of high CCN concentration is 2-3 times higher than in case of
377 low CCN concentration in agreement with results by Khain et al. (2011) and Iltoviz et al.
378 (2015).

379 The concentrations of drops and ice crystals are important parameters determining cloud
380 radiative properties. In this context, more accurate calculation of the concentrations using the NA
381 should improve the accuracy of evaluation of radiative cloud properties. The proposed approach
382 of calculation of nucleation of droplets at cloud base is simple in the utilization and
383 computationally efficient. It can be used in cloud-resolved models with different vertical grid
384 spacing. The utilization of cruder vertical model resolution may lead to larger errors in cases
385 when droplet concentration at cloud base is calculated using supersaturations calculated at model
386 grid points.

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392

393 **Appendix. List of symbols**

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395

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474 **Table 1. List of symbols**

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Symbol	Description	Units
A	$\frac{2\sigma_w}{\rho_w R_v T}$	m
A_1	$\frac{g}{R_a T} \left(\frac{L_w R_a}{c_p R_v T} - 1 \right)$	m^{-1}
A_2	$\frac{1}{q_v} + \frac{L_w^2}{c_p R_v T^2}$	-
B	$\frac{v_n \Phi_s \varepsilon_m M_w \rho_n}{M_n \rho_w}$	-
B_1	$\frac{3}{F} \left(\frac{4\pi\rho_w}{3\rho_a} \right)^{2/3}$	$\text{m}^2 \text{s}$
C	$1.058 (FA_1/3)^{3/4} \left(\frac{3\rho_a}{4\pi\rho_w A_2} \right)^{1/2}$	$\text{m}^{9/4} \text{s}^{-3/4}$
c_p		
	specific heat capacity of moist air at constant pressure	$\text{J kg}^{-1} \text{K}^{-1}$
D	coefficient of water vapor diffusion in the air	$\text{m}^2 \text{s}^{-1}$
E	water vapor pressure	N m^{-2}



e_w	saturation vapor pressure above the flat surface of water	N m^{-2}
G	acceleration of gravity	m s^{-2}
F	$\left(\frac{\rho_w L_w^2}{k_a R_v T^2} + \frac{\rho_w R_v T}{e_w(T) D} \right)$	$\text{m}^{-2} \text{ s}$
h	$h = A_1 z$ dimensionless height	-
K	parameter of activity spectra	
k_a	coefficient of air heat conductivity	$\text{J m}^{-1} \text{ s}^{-1} \text{ K}^{-1}$
L_w	latent heat for liquid water	J kg^{-1}
M_n	molecular weight of aerosol salt	kg mol^{-1}
M_w	molecular weight of water	kg mol^{-1}
N	concentration of liquid droplets	m^{-3}
N_0	parameter of activity spectra	
P	pressure of moist air	N m^{-2}
q_v	water vapor mixing ratio (mass of water vapor per 1 kg of dry -	
q_w	liquid water mixing ratio (mass of liquid water per 1kg of dry ; -	
R	liquid droplet radius	m
r_{\max}	drop radius at $z = z_{\max}$	m
R	$\frac{3}{FA_1 w} \left(\frac{4\pi\rho_w NA_2}{3\rho_a} \right)^{2/3}$	-
S	$S = e/e_w - 1$ supersaturation over water	-
S_{\max}	supersaturation maximum	-
T	absolute temperature	$^{\circ}\text{K}$



T_C	temperature at cloud base	°C
w	vertical velocity	m s^{-1}
z	height over condensation level	m
z_{max}	height of supersaturation maximum	m
β	parameter of activity spectra	
ε_m	soluble fraction	-
ρ_a	density of air	kg m^{-3}
ρ_N	density of a dry aerosol particle	kg m^{-3}
ρ_w	density of liquid water	kg m^{-3}
σ_w	surface tension of water-air interface	Nm^{-1}
μ	parameter of activity spectra	
ν_n	van 't Hoff factor	-

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478 **Table 2.** CCN concentrations in different experiments in the boundary layer

	High CCN concentration, cm^{-3}		Low CCN concentration, cm^{-3}	
	No smallest CCN	With smallest CCN	No smallest CCN	With smallest CCN
k=0.9	840	2930	33	214
k=0.5	1552	3140	53	152

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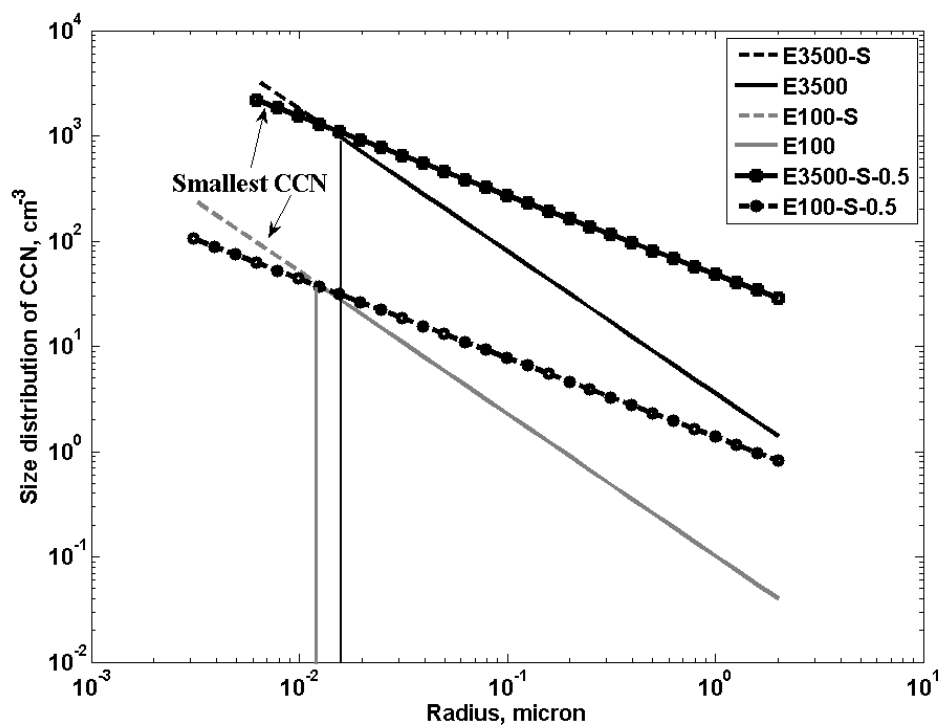


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483 **Figures**

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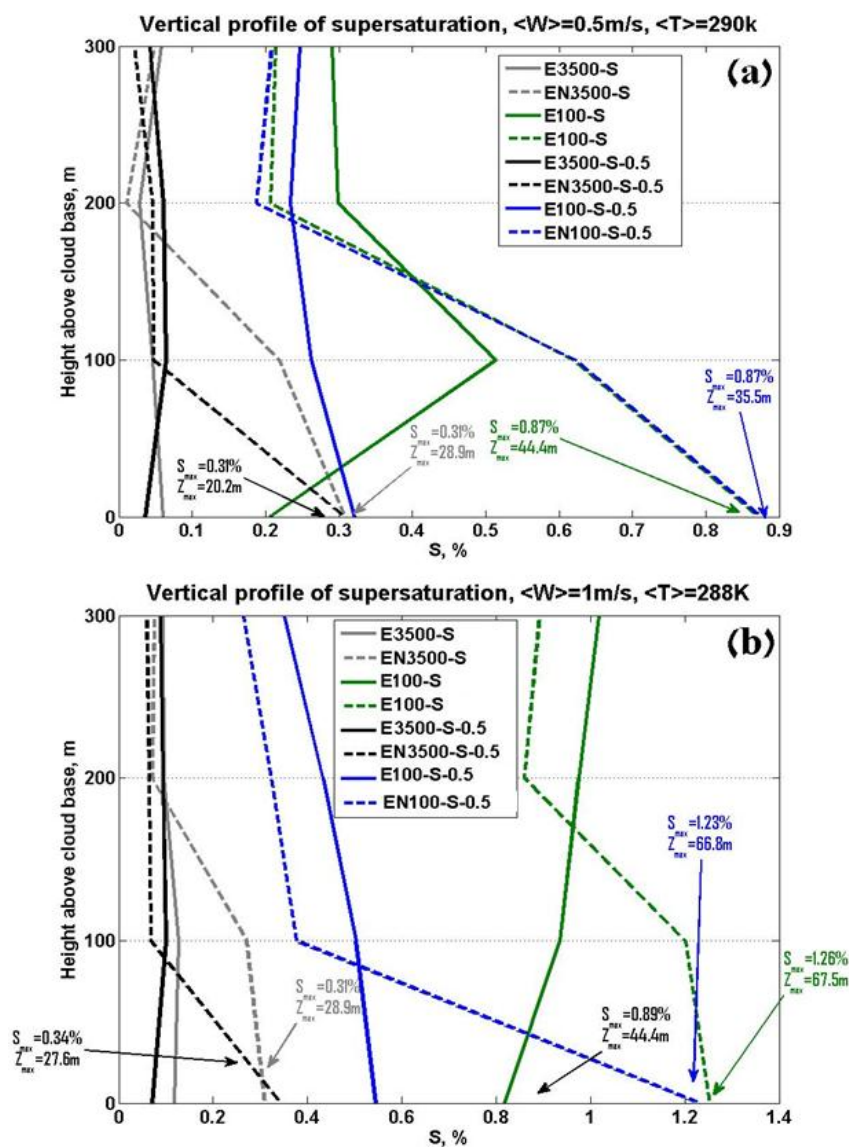
486 **Figure 1.** The initial size distributions of aerosols near the surface in different simulations.

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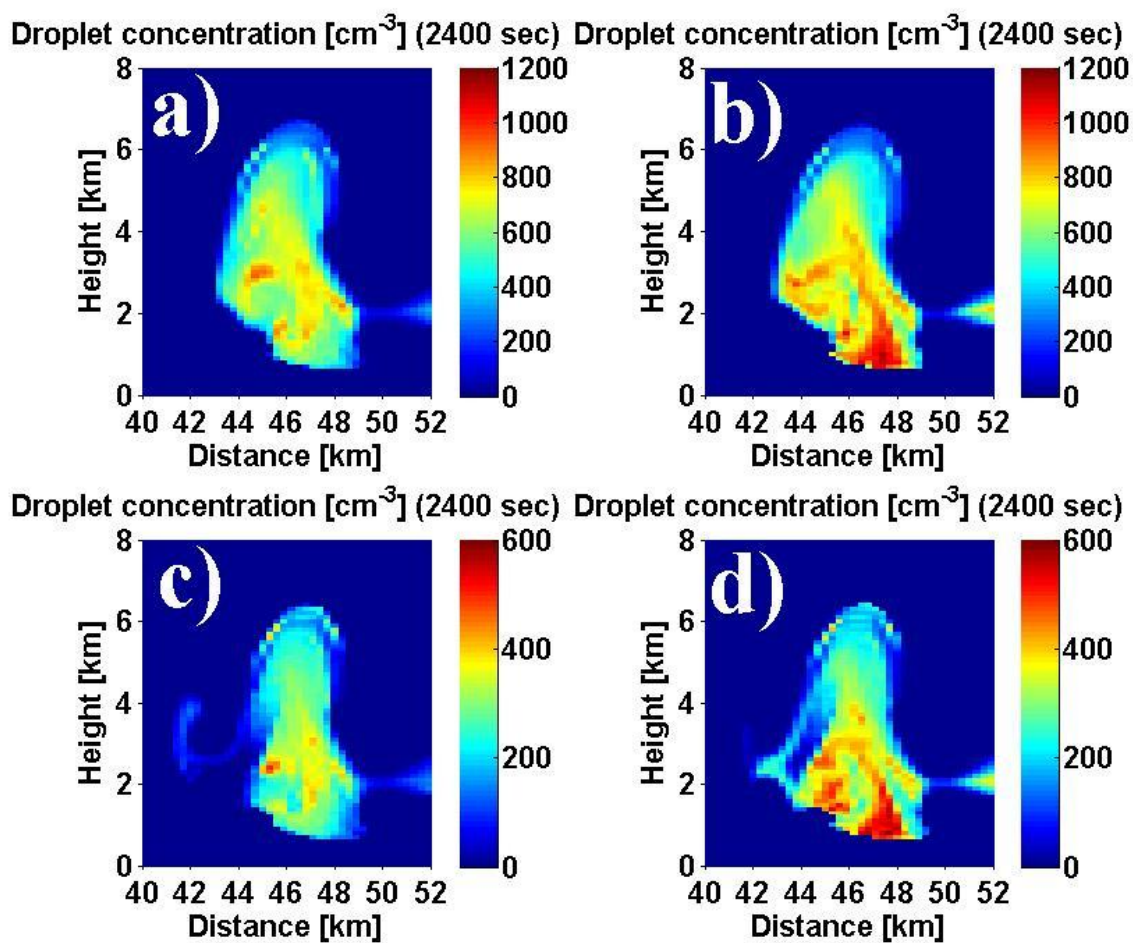
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492 **Figure 2.** Vertical profiles of the maximum supersaturation above cloud base in several cases.
 493 The comparison was conducted under the same average thermodynamic $\langle T \rangle$ and dynamic $\langle W \rangle$
 494 conditions. The values of S_{max} and of Z_{max} (the height above cloud base) were calculated
 495 according to Pinsky *et al.* [2013].



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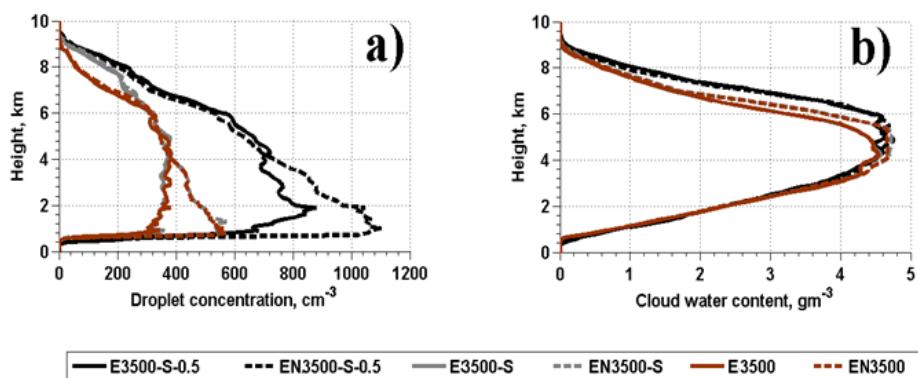
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Figure 3. Field of droplet concentration at $t=2400$ s in (a) E3500-S-0.5, (b) EN3500-S-0.5, (c) E3500-S and (d) EN3500-S.



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513 **Figure 4.** Vertical profiles of the maximum values of (a) droplet concentration and (b) CWC in
514 simulations with high CCN concentration ($N_0 = 3500 \text{ cm}^{-3}$). The profiles are obtained by
515 averaging over the time period of 2400-3000s.

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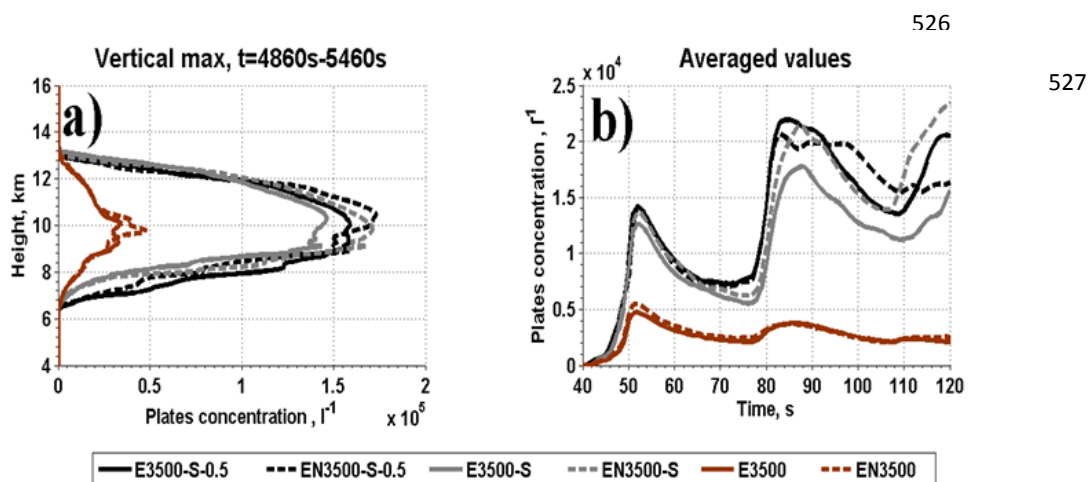
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539 **Figure 5.** Vertical profiles of (a) maximum values of plates concentration and (b) time
540 dependencies of averaged plate concentration. The profiles are obtained by averaging over the
541 time period of 4860-5460s.

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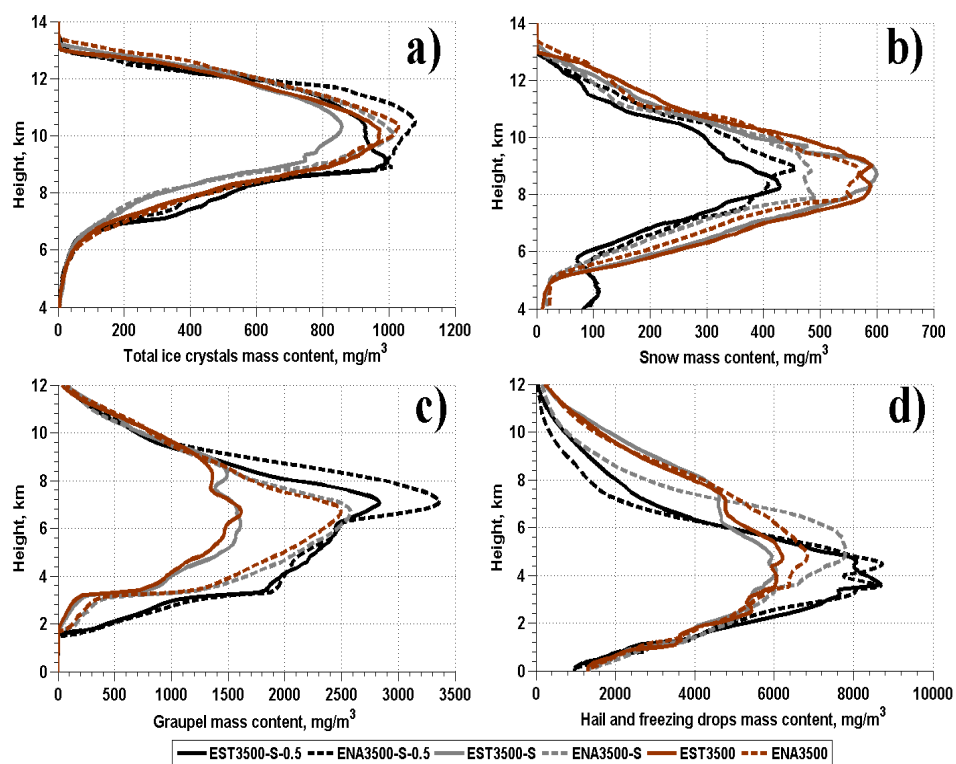
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554 **Figure 6.** Vertical profiles of the maximum values of mass content: (a) total ice crystals, (b)
555 snow, (c) graupel and (d) total hail and freezing drops in simulations with high CCN
556 concentration ($N_0 = 3500 \text{ cm}^{-3}$). The profiles are obtained by averaging over the time period
557 of 4860-5460s.

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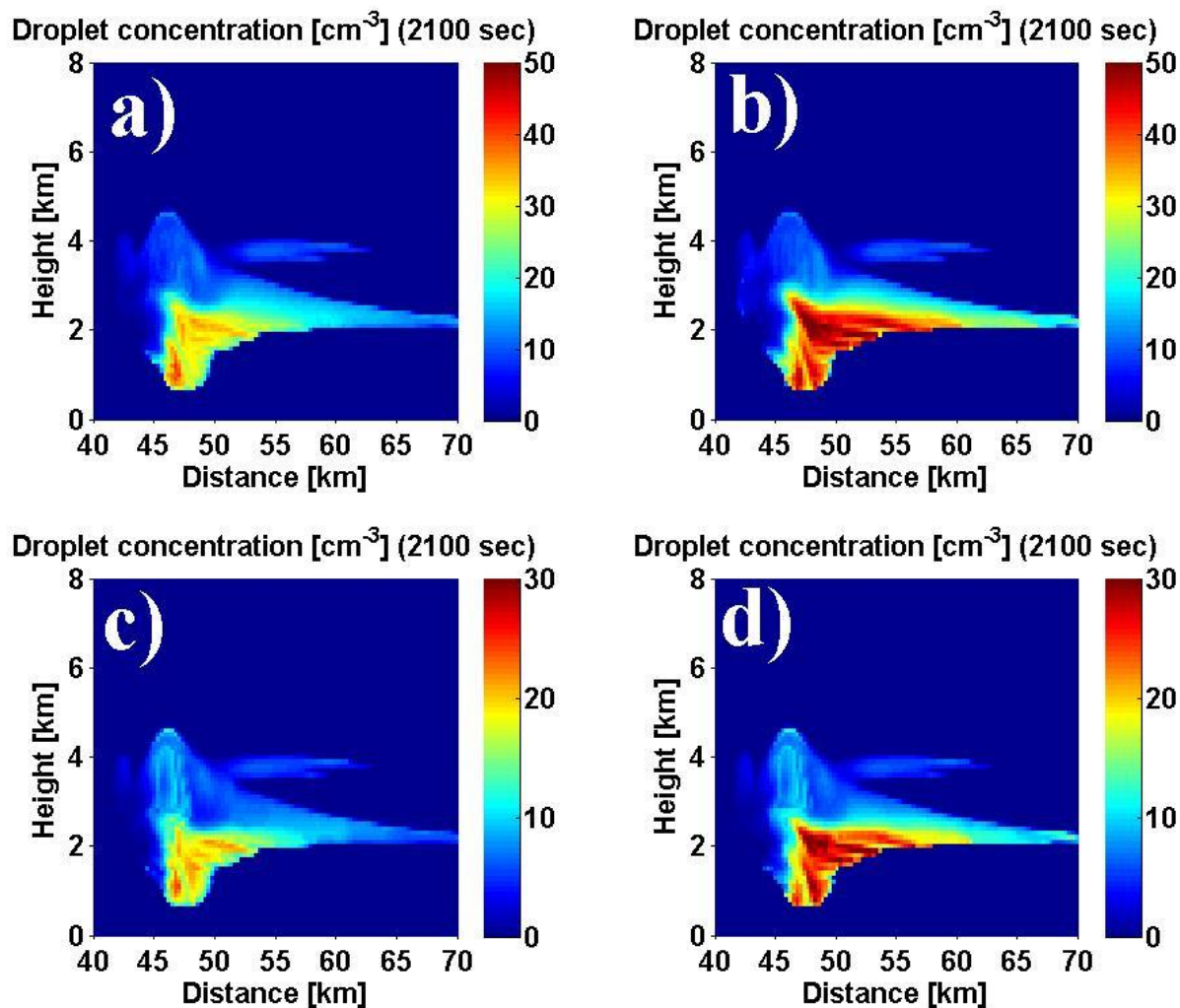
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568 **Figure 7.** Field of droplet concentration at $t=2100s$ in (a) E100-S-0.5, (b) EN100-S-0.5, (c)
569 E100-S and (d) EN100-S simulations.

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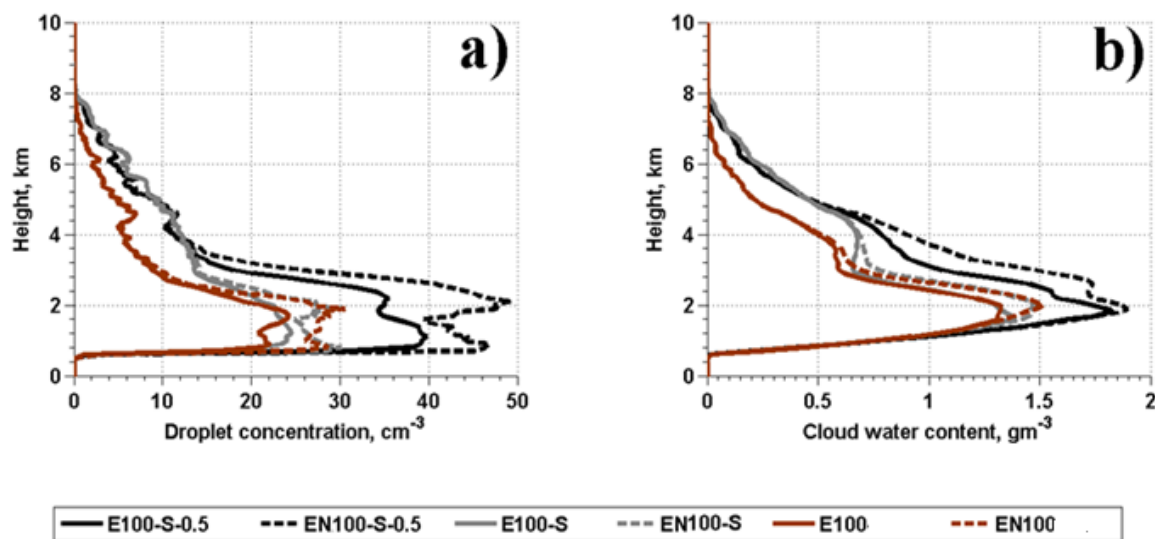


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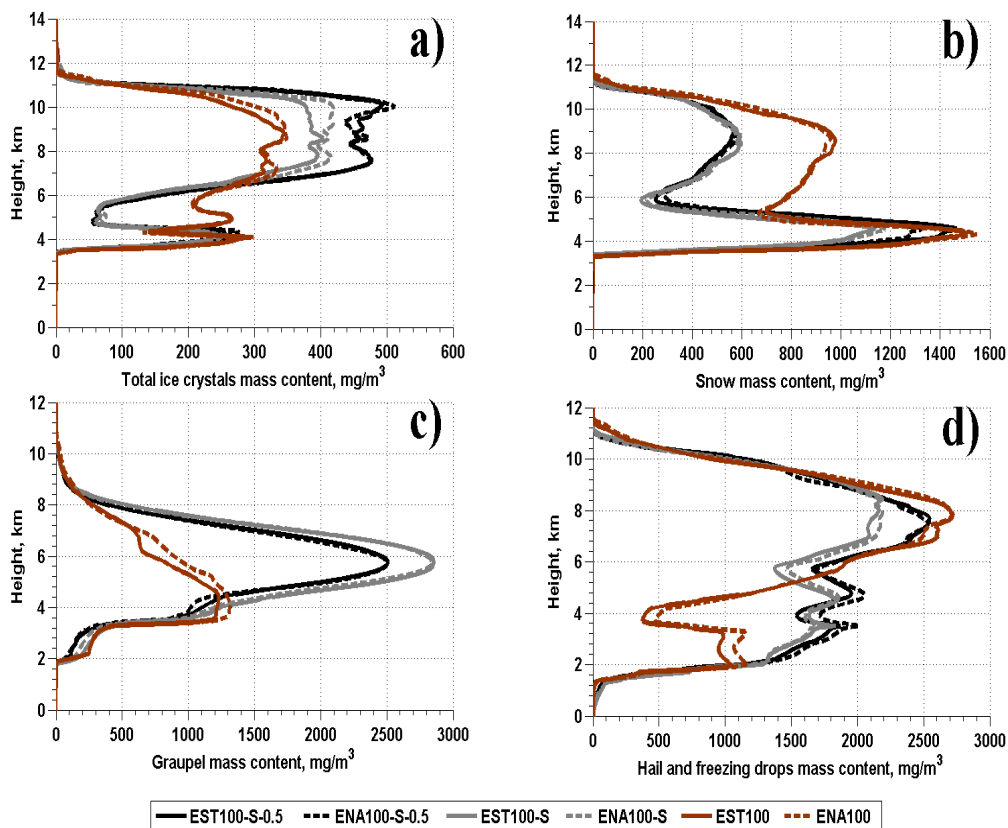
577 **Figure 8.** Vertical profiles of the maximum values of droplet concentration (a) and CWC (b) in
578 simulations with low CCN concentration ($N_0 = 100 \text{ cm}^{-3}$). The profiles are obtained by
579 averaging over the time period of 2100-2700s.

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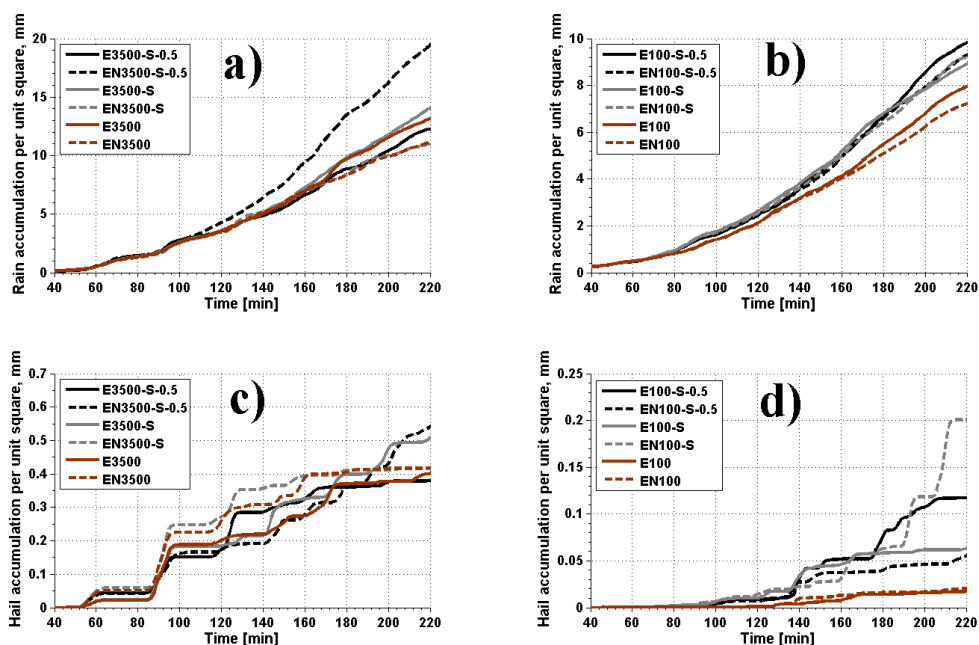


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586 **Figure 9.** Vertical profiles of the maximum values of mass content: (a) total ice crystals, (b)
587 snow, (c) graupel and (d) total hail and freezing drops in the simulations with high CCN
588 concentration ($N_0 = 100 \text{ cm}^{-3}$). The profiles are obtained by averaging over the time period of
589 3420-4020s.

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593 **Figure 10.** Time dependencies of (a) accumulated rain at surface for polluted and (b) for clean.
594 Accumulated hail at the surface for polluted (c) and for clean (d) in different simulations in
595 polluted cases.

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