

1 **The impact of resolution on meteorological, chemical and**  
2 **aerosol properties in regional simulations with WRF-Chem**

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16 **Abstract**

17 Limited area (regional) models applied at high resolution over specific regions of interest are  
18 generally expected to more accurately capture the spatio-temporal variability of key  
19 meteorological and climate parameters. However, improved performance is not inevitable, and  
20 there remains a need to optimize use of numerical resources, and to quantify the impact on  
21 simulation fidelity that derives from increased resolution. The application of regional models  
22 for climate forcing assessment is currently limited by the lack of studies quantifying the  
23 sensitivity to horizontal spatial resolution and the physical-dynamical-chemical schemes  
24 driving the simulations. Here we investigate model skill in simulating meteorological, chemical  
25 and aerosol properties as a function of spatial resolution, by applying the Weather Research  
26 and Forecasting model with coupled Chemistry (WRF-Chem) over eastern North America at  
27 different resolutions. Using Brier Skill Scores and other statistical metrics it is shown that  
28 enhanced resolution (from 60 to 12 km) improves model performance for all of the  
29 meteorological parameters and gas phase concentrations considered, in addition to both mean  
30 and extreme Aerosol Optical Depth (AOD) in three wavelengths in the visible relative to  
31 satellite observations, principally via increase of potential skill. Some of the enhanced model  
32 performance for AOD appears to be attributable to improved simulation of meteorological  
33 conditions and the concentration of key aerosol precursor gases (e.g. SO<sub>2</sub> and NH<sub>3</sub>). Among  
34 other reasons, a dry bias in the specific humidity in the boundary layer and a substantial  
35 underestimation of total monthly precipitation in the 60 km simulations are identified as causes  
36 for the better performance of WRF-Chem simulations at 12 km.

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39 **Keywords:** added value, high-resolution WRF-Chem simulations, precipitation, aerosol  
40 optical properties, extreme AOD

## 41 **1 Motivation and Objectives**

42 Aerosols alter Earth's radiation balance primarily by scattering or absorbing incoming solar  
43 radiation (direct effect, dominated by accumulation mode (diameters  $\sim$  wavelength ( $\lambda$ ), where  
44 total extinction is often quantified using AOD), or regulating cloud formation/properties by  
45 acting as cloud condensation nuclei (CCN) (indirect effect, dominated by diameters  $\geq$  100 nm,  
46 magnitude =  $f(\text{composition})$ ). Most aerosols (excluding black carbon) have a larger scattering  
47 cross-section than absorption cross-section, and act as CCN thus enhancing cloud albedo and  
48 lifetimes. Hence increased aerosol concentrations are generally (but not uniformly) associated  
49 with surface cooling (offsetting a fraction of greenhouse gas warming) (Boucher, 2013; Myhre  
50 et al., 2013b) to a degree that is principally dictated by the aerosol concentration, size and  
51 composition, in addition to the underlying surface and height of the aerosol layer (McComiskey  
52 et al., 2008). Despite major advances in measurement and modeling, both the current global  
53 mean aerosol direct effect (possible range:  $-0.77$  to  $+0.23 \text{ W m}^{-2}$ ) and the indirect effect  
54 (possible range:  $-1.33$  to  $-0.06 \text{ W m}^{-2}$ ) remain uncertain (Stocker, 2013), as does their future  
55 role in climate forcing (Rockel et al., 2008) and regional manifestations (Myhre et al., 2013a).  
56 Specific to our current study region (eastern N. America), one analysis using the NASA GISS  
57 global model found that the "regional radiative forcing from US anthropogenic aerosols elicits  
58 a strong regional climate response, cooling the central and eastern US by  $0.5\text{--}1.0 \text{ }^\circ\text{C}$  on average  
59 during 1970–1990, with the strongest effects on maximum daytime temperatures in summer  
60 and autumn. Aerosol cooling reflects comparable contributions from direct and indirect  
61 radiative effects" (Leibensperger et al., 2012). A recent comparison of multiple global models  
62 conducted under the AEROCOM-project indicated this is also a region that exhibits very large  
63 model-to-model variability in simulated AOD ( $\langle \text{AOD} \rangle \sim 0.5$ ,  $\sigma(\text{AOD}) \sim 1$ ) (Myhre et al.,  
64 2013a).

65 Major reasons why aerosol radiative forcing on both the global and regional scales remains  
66 uncertain include short atmospheric residence times and high spatio-temporal variability of  
67 aerosol populations, and the complexity of the processes that dictate aerosol concentrations,  
68 composition and size distributions (Seinfeld and Pandis, 2016). Although aerosol processes  
69 and properties are increasingly being treated in the global Earth System Models (ESMs) (Long  
70 et al., 2015; Tilmes et al., 2015) applied in the Coupled Model Intercomparison Project Phase  
71 6 (CMIP-6) (Meehl et al., 2014), the scales on which such models are applied remain much  
72 coarser than those on which aerosol population properties are known to vary (Anderson et al.,  
73 2003). Therefore, limited area atmospheric models (regional models) applied at higher

74 resolution over specific regions of interest are expected to ‘add value’ (i.e. improve the fidelity)  
75 of the physical-dynamical-chemical processes that induce extreme events and dictate climate  
76 forcing. There is empirical evidence to suggest a strong resolution dependence in simulated  
77 aerosol particle properties. For example, WRF-Chem simulations with spatial resolution  
78 enhanced from 75 km to 3 km exhibited higher correlations and lower bias relative to  
79 observations of aerosol optical properties over Mexico likely due to more accurate description  
80 of emissions, meteorology and of the physicochemical processes that convert trace gases to  
81 particles (Gustafson et al., 2011;Qian et al., 2010). This improvement in the simulation of  
82 aerosol optical properties implies a reduction of the uncertainty in associated aerosol radiative  
83 forcing (Gustafson et al., 2011). Further, WRF-Chem run over the United Kingdom and  
84 Northern France at multiple resolutions in the range of 40-160 km, underestimated AOD by  
85 10-16% and overestimated CCN by 18-36% relative to a high resolution run at 10 km, partly  
86 as a result of scale dependence of the gas-phase chemistry and differences in the aerosol uptake  
87 of water (Weigum et al., 2016).

88 However, debate remains regarding how to objectively evaluate model performance, quantify  
89 the value added by enhanced resolution (Di Luca et al., 2015;Rockel et al., 2008) and on  
90 possible limits to the improvement of climate representation in light of errors in the driving  
91 “imperfect lateral boundary conditions” (Diaconescu and Laprise, 2013). Nevertheless,  
92 although “it is unrealistic to expect a vast amount of added values since models already  
93 performs rather decently” (Di Luca et al., 2015) and global ESMs are now run at much higher  
94 resolution than in the past, it is generally assumed that high resolution regional models will add  
95 value via more realistic representation of spatio-temporal variability than global coarser-  
96 resolution simulations. Further, “the main added value of a regional climate model is provided  
97 by its small scales and its skill to simulate extreme events, particularly for precipitation”  
98 (Diaconescu and Laprise, 2013).

99 It is particularly challenging to assess the added-value from enhanced resolution in the context  
100 of climate-relevant aerosol properties since they are a complex product of the fidelity of the  
101 simulation of meteorological parameters, gas-phase precursors, emissions and the treatment of  
102 aerosol dynamics. Here we quantify the value added by enhanced resolution in the description  
103 of physical and chemical atmospheric conditions using year-long simulations from WRF-Chem  
104 over eastern North America, and investigate how they impact AOD. The primary performance  
105 evaluation of aerosol properties focuses on AOD at different wavelengths ( $\lambda = 470, 550$  and  
106  $660$  nm, where the AOD at different  $\lambda$  is used as a proxy of the aerosol size distribution (Tomasi

107 et al., 1983), see details in Sect. 2.3) and is measured relative to observations from satellite-  
108 borne instrumentation. Thus the term “value-added” is used here in the context of columnar  
109 aerosol properties to refer to an improvement of model performance in simulation of  
110 wavelength specific AOD as measured by the MODerate resolution Imaging  
111 Spectroradiometer (MODIS) instrument aboard the polar-orbiting Terra satellite. To attribute  
112 sources of the enhanced fidelity of AOD, our analysis also incorporates evaluation of the value-  
113 added by enhanced resolution in terms of key meteorological and gas-phase drivers of aerosol  
114 concentrations and composition and is conducted relative to the MERRA-2 reanalysis product  
115 for the physical variables and columnar gas concentrations from satellite observations (see  
116 details of the precise data sets used given below). The meteorological parameters considered  
117 are air temperature at 2 m ( $T_{2m}$ ), total monthly precipitation ( $PPT$ ), planetary boundary-layer  
118 height ( $PBLH$ ) and specific humidity in the boundary layer ( $Q_{PBL}$ ). The gas phase  
119 concentrations considered are sulfur dioxide ( $SO_2$ ), ammonia ( $NH_3$ ), nitrogen dioxide ( $NO_2$ )  
120 and formaldehyde (HCHO).

121 We begin by quantifying the performance of WRF-Chem when applied over eastern North  
122 America at a resolution of 60 km (WRF60) (~ finest resolution likely to be employed in CMIP-  
123 6 global simulations) and then compare the results to those from simulations conducted at 12  
124 km (WRF12) (simulation details are given in Table 1). Quantification of model skill is  
125 undertaken by mapping the WRF12 output to the WRF60 grid (WRF12-remap) and computing  
126 Brier Skill Scores (BSS) using MODIS as the target, WRF60 as the reference forecast and  
127 WRF12-remap as the forecast to be evaluated. We also evaluate the performance of the WRF-  
128 Chem simulations of 2008 relative to climatology as represented by MODIS observations for  
129 2000-2014. We additionally assess the impact of simulation resolution on extreme AOD values  
130 that are associated with enhanced impacts on climate and human health. This analysis uses both  
131 *Accuracy* and *Hit Rate* as the performance metrics and focuses on the co-occurrence of extreme  
132 values in space from the model output and MODIS.

133 Based on the performance evaluation of the WRF-Chem simulations that indicate substantial  
134 dry bias in the WRF60 simulations and large seasonality in the skill-scores for AOD as a  
135 function of resolution, we conducted two further year-long simulations at 60 km. In the first  
136 we held all other simulation conditions constant but selected a different cumulus  
137 parameterization. In the second, we held all simulation conditions constant but employed a  
138 different set of lateral boundary conditions for the meteorology. In the context of the  
139 precipitation biases reported herein it is worthy of note that discrepancies in simulated

140 precipitation regimes are key challenges in regional modelling (both physical and coupled with  
141 chemistry). Although the Grell 3D scheme has been successfully applied in a number of prior  
142 analysis wherein the model was applied at resolutions in the range of 1-36 km (e.g. (Grell and  
143 Dévényi, 2002;Lowrey and Yang, 2008;Nasrollahi et al., 2012;Sun et al., 2014;Zhang et al.,  
144 2016)), the North American Regional Climate Change Assessment Program (NARCCAP)  
145 simulations with WRF at 50-km were also dry biased in the study domain (Mearns et al., 2012).  
146 Although there have been a number of studies that have sought to evaluate different cumulus  
147 schemes over different regions at different resolutions, no definitive recommendation has been  
148 made regarding the dependence of model skill on resolution and cumulus parameterization  
149 (Arakawa, 2004;Jankov et al., 2005;Nasrollahi et al., 2012;Li et al., 2014). Hence, further  
150 research is needed to identify the optimal cumulus scheme for use over North America at  
151 coarser resolution. Thus, we performed a sensitivity analysis on the cumulus scheme at 60 km  
152 by applying the Grell-Freitas parameterization (Grell and Freitas, 2014), which is the next  
153 generation of the Grell 3D scheme.

## 154 **2 Materials and Methods**

### 154 **2.1 WRF-Chem simulations**

155 WRF-Chem (version 3.6.1) simulations were performed for the calendar year 2008 over eastern  
156 North America, in a domain centered over southern Indiana (86°W, 39°N) at two resolutions,  
157 one close to the finest resolution designed for CMIP-6 global model runs (i.e. 60 km, WRF60)  
158 and the other one at much higher resolution (12 km, WRF12). Simulation settings are identical  
159 for the two runs except for the time-step used for the physics (Table 1). Physical and chemical  
160 parameterizations were chosen to match previous work using WRF-Chem at 12 km on the same  
161 region which showed good performance relative to observations and the year 2008 was selected  
162 because it is representative of average climate and aerosol conditions during 2000 - 2014  
163 (Crippa et al., 2016). More specifically the simulations adopted the RADM2 chemical  
164 mechanism (Stockwell et al., 1990) and a modal representation of the aerosol size distribution  
165 (MADE/SORGAM, (Ackermann et al., 1998;Schell et al., 2001)) with three lognormal modes  
166 and fixed geometric standard deviations (i.e. 1.7, 2 and 2.5 for Aitken, accumulation and coarse  
167 mode, respectively (Ackermann et al., 1998;Grell et al., 2005)). Aerosol direct feedback was  
168 turned on and coupled to the Goddard shortwave scheme (Fast et al., 2006). A telescoping  
169 vertical grid with 32 model layers from the surface to 50 hPa and 10 layers up to 800 hPa was  
170 selected. Meteorological initial and boundary conditions from the North American Mesoscale  
171 Model at 12 km resolution (NAM12) are applied every 6 hours, while initial and chemical  
172 boundary conditions are taken from MOZART-4 (Model for Ozone and Related chemical

173 Tracers, version 4) with meteorology from NCEP/NCAR-reanalysis (Emmons et al., 2010).  
174 Anthropogenic emissions are specified for both WRF60 and WRF12 from the US National  
175 Emission Inventory 2005 (NEI-05) (US-EPA, 2009) which provides hourly point and area  
176 emissions at 4 km on 19 vertical levels. The simulation settings and specifically the use of a  
177 modal representation of the aerosol size distribution were selected to retain computational  
178 tractability. Accordingly, the 60 km simulations for the year 2008 completed in 6.4 hours  
179 whereas the 12 km simulations completed in 9.5 days (230 hours) on the Cray XE6/XK7  
180 supercomputer (Big Red II) owned by Indiana University, using 256 processors distributed on  
181 8 nodes.

182 As described in detail below, in the WRF60 simulations configured as described in Table 1,  
183 simulated precipitation during the summer months exhibits substantial dry bias, and the  
184 analysis of value added by enhanced simulation resolution exhibited strong seasonality. We  
185 performed a sensitivity analysis to the cumulus scheme, by conducting an additional year-long  
186 simulation at 60 km using the Grell-Freitas parameterization (Grell and Freitas, 2014), which  
187 is an evolution of Grell 3D that is scale-aware and treats some aspects of aerosol-cloud  
188 interactions. We also tested the sensitivity of the simulation results to the meteorological  
189 boundary conditions, by repeating the WRF60 simulations using output from the Global  
190 Forecast System (GFS) at 0.5° resolution every 6 hours to provide the lateral boundary  
191 conditions.

## 192 **2.2 Observations**

193 Model aerosol optical properties are evaluated relative to the MODIS Collection 6 dark-target  
194 land aerosol product from aboard the Terra satellite (~1030 overpass local solar time (LST))  
195 (Levy et al., 2013). To provide a consistent assessment of model skill, the evaluation of AOD  
196 is conducted only on land areas since the MODIS dark-target ocean aerosol product is based  
197 on a retrieval algorithm different from the one over land (Levy et al., 2013). Trace gas  
198 concentrations are evaluated relative to measurements from the Ozone Monitoring Instrument  
199 (OMI; version 3) (Chance, 2002) and the Infrared Atmospheric Sounding Interferometer (IASI;  
200 NN version 1) (Whitburn et al., 2016) aboard the Aura (~1345 LST) and MetOp satellites  
201 (~0930 LST), respectively. MODIS retrieves AOD at multiple  $\lambda$  including 470, 550, and 660  
202 nm, and the MODIS algorithm removes cloud-contaminated pixels prior to spatial averaging  
203 over  $10 \times 10$  km (at nadir). OMI and IASI have nadir resolutions of  $13 \times 24$  km and 12 km  
204 (circular footprint), respectively, and have been filtered to remove retrievals with cloud

205 fractions  $> 0.3$  (Fioletov et al., 2011;McLinden et al., 2014;Vinken et al., 2014) and OMI pixels  
206 affected by the row anomalies. MODIS, OMI, and IASI provide near daily global coverage,  
207 although the row anomalies render portions of the OMI viewing swath unusable. Uncertainty  
208 in AOD from MODIS is spatially and temporally variable. It has been estimated as  $\pm (0.05 +$   
209  $15\%)$  for AOD over land (Levy et al., 2013), and prior research has reported 71% of MODIS  
210 Collection 5 retrievals fall within  $0.05 \pm 20\%$  for AOD relative to AERONET in the study  
211 domain (Hyer et al., 2011). The accuracy of OMI (“root sum of the square of all errors,  
212 including forward model, inverse model, and instrument errors” (Brinksma et al., 2003)) is 1.1  
213 DU or 50% for  $\text{SO}_2$ ,  $2 \times 10^{14} \text{ cm}^{-2}/30\%$  for background/polluted  $\text{NO}_2$  conditions, and 35% for  
214 HCHO. This uncertainty is typically reduced by spatial and temporal averaging, as employed  
215 herein (Fioletov et al., 2011;Krotkov et al., 2008). IASI  $\text{NH}_3$  retrievals do not use an a priori  
216 assumption of emissions, vertical distribution, or lifetime of  $\text{NH}_3$  (i.e. no averaging kernel);  
217 therefore,  $\text{NH}_3$  accuracy is variable (Whitburn et al., 2016), and thus only retrievals with  
218 uncertainty lower than the retrieved concentrations are used herein.

219 For the model evaluation, satellite observations for each day are regridded to the WRF-Chem  
220 discretization. This is done by averaging all valid retrievals within:  $0.1^\circ$  and  $0.35^\circ$  of the WRF-  
221 Chem grid-cell center for the  $12 \times 12 \text{ km}$  and  $60 \times 60 \text{ km}$  resolutions, respectively for MODIS;  
222  $0.125^\circ \times 0.18^\circ$  (along-track/latitudinal  $\times$  cross-track/longitudinal) and  $0.365^\circ \times 0.42^\circ$  for OMI;  
223  $0.12^\circ$  and  $0.36^\circ$  for IASI. To avoid issues from under-sampling, we require at least 10 valid  
224 MODIS granules for the  $60 \times 60 \text{ km}$  daily average to be computed and at least 5 daily averages  
225 to compute a monthly average for each grid cell. Model evaluation of gaseous species is  
226 performed on a seasonal basis using standard scores (z-scores), which are computed as the  
227 difference between the seasonal mean within a grid cell and the seasonal spatial mean, divided  
228 by the seasonal spatial standard deviation. Use of z-scores allows comparison of the spatial  
229 patterns of satellite observations and model output in terms of standard deviation units from  
230 the mean.

231 The simulated meteorological properties are evaluated using Modern-Era Retrospective  
232 analysis for Research and Applications (MERRA-2) reanalysis data as the target. MERRA-2  
233 is a homogenized and continuous in time description of atmospheric properties on a 3-  
234 dimensional global grid (horizontal resolution of  $0.5^\circ \times 0.625^\circ$ , L72), developed by NASA and  
235 was released in Fall 2015 (Molod et al., 2015). MERRA-2 provides hourly values of  $T_{2m}$  and  
236 *PBLH*, and vertical profile of 3-dimensional variables every 3 hours on a large number of



237 pressure levels. Here we compute the total specific humidity ( $Q_{PBL}$ ) of the lowest 8 pressure  
 238 levels (i.e. in the boundary-layer approximated as the layer from 1000 to 825 hPa) in MERRA-  
 239 2, assuming an average air density in the PBL of  $1.1 \text{ kg m}^{-3}$ . For the evaluation of simulated  
 240 precipitation we use accumulated monthly total values.

### 241 2.3 Spectral dependence of AOD

242 Three properties dictate the actual aerosol direct radiative forcing: AOD, single scattering  
 243 albedo and asymmetry factor, all of which are a function of the wavelength ( $\lambda$ ) of incident  
 244 radiation. The first property is related to the total columnar mass loading, typically dominates  
 245 the variability of direct aerosol effect (Chin et al., 2009) and is the focus of the current research.  
 246 The relationship between the aerosol size distribution and spectral dependence of AOD is  
 247 described by a power law function:

$$248 \quad \beta(\lambda_1) = \beta(\lambda_2) \times \left( \frac{\lambda_1}{\lambda_2} \right)^{-\alpha} \quad (1)$$

249 where  $\beta$  is the particle extinction coefficient at a specific wavelength  $\lambda$ , and  $\alpha$  is the Ångström  
 250 exponent (Ångström, 1964) which describes the wavelength dependence of AOD (and is  
 251 inversely proportional to the average aerosol diameter):

$$252 \quad \alpha = \frac{\ln \frac{AOD(\lambda_1)}{AOD(\lambda_2)}}{\ln \frac{\lambda_2}{\lambda_1}} \quad (2)$$

253 The aerosol volume distribution usually conforms to a multi-lognormal function with  $n$  modes:

$$254 \quad \frac{dV(r)}{d \ln r} = \sum_{i=1}^n \frac{C_i}{\sqrt{2\pi}\sigma_i} \exp \left[ \frac{-(\ln r - \ln R_i)^2}{2\sigma_i^2} \right] \quad (3)$$

255 where  $r$  is the particle radius and  $C_i$ ,  $R_i$  and  $\sigma_i$  are the particle volume concentration, the  
 256 geometric mean radius and the standard deviation in the mode  $i$  respectively.

257 We can thus compute AOD for a polydisperse distribution of aerosols with refractive index  $m$   
 258 in an atmospheric column of height  $Z$  as:

$$259 \quad AOD(\lambda) = \int \frac{3\beta(m, r, \lambda)}{4r} \frac{dV(r)}{d \ln r} d \ln r dZ \quad (4)$$

260 As indicated in (Schuster et al., 2006), “the spectral variability of extinction diminishes for  
261 particles larger than the incident wavelength”, thus fine mode particles contribute more to AOD  
262 in the visible ( $\lambda \sim 0.5 \mu\text{m}$ ) than at longer wavelengths, whereas coarse mode particles provide a  
263 similar AOD both at short and long wavelengths. This is reflected in the Ångström parameter  
264 which can be thus used as a proxy for the fine mode fraction or fine mode radius (Schuster et  
265 al., 2006).

## 266 **2.4 Quantification of model performance and added-value**

267 Taylor diagrams summarize three aspects of model performance relative to a reference: the  
268 spatial correlation coefficient (i.e. Pearson correlation of the fields,  $r$ ), the ratio of spatial  
269 standard deviations of the two spatial fields ( $\sigma_{\text{wrf}}/\sigma_{\text{sat}}$ ) and the root mean squared difference  
270 (RMSD) (Taylor, 2001). Here Taylor diagrams are presented for monthly mean AOD from  
271 WRF60, WRF12 and WRF12-remap relative to MODIS at different wavelengths (Fig. 1 d-f).  
272 Because AOD is not normally distributed, Spearman’s rank correlation coefficients ( $\rho$ ) of the  
273 mean monthly AOD spatial fields are also computed to reduce the impact of a few outliers and  
274 the small sample size during cold months (Table 2). To assess the significance of  $\rho$  while  
275 accounting for multiple testing, we apply a Bonferroni correction (Simes, 1986) in which for  
276  $m$  hypothesis tests, the null hypothesis is rejected if  $p \leq \frac{\alpha}{m}$ , where  $p$  is the p-value and  $\alpha$  is the  
277 confidence level (0.05 is used here).

278 We further quantify the value added (or lack of thereof) of the high-resolution simulations  
279 using the following metrics:

### 280 **(i) Brier Skill Score**

281 Value added is quantified using Brier Skill Scores (BSS) and is evaluated in two ways: first by  
282 evaluating the model performance as a function of simulation resolution and then using  
283 climatology as the reference ‘forecast’. In these analyses the hourly output from the 12 km  
284 resolution simulation is degraded (averaged) to 60 km (hereafter WRF12-remap) as follows:  
285 the 12 km domain is resized excluding 2 grid cells at the border to exactly match the 60 km  
286 resolution domain. For example, in the analysis of AOD each coarse grid cell thus includes  $5 \times 5$   
287 12 km resolution cells and its value is the mean of all valid 12 km grid cells inside it if at least  
288 half of those cells contain valid AOD (i.e. no cloud cover), otherwise the whole coarse cell is  
289 treated as missing. In all comparisons of AOD only cells with simultaneous (i.e. model and  
290 MODIS) clear sky conditions are considered. A daily value from WRF-Chem is computed as

291 an instantaneous value for the hour nearest to the satellite overpass time. When the comparison  
 292 is done on a monthly basis, a monthly mean value is computed from the daily values obtained  
 293 under clear sky conditions, only if there are at least five valid observations in the month.

294 The primary metric used to quantify the added value of WRF12-remap versus WRF60 is the  
 295 Brier Skill Score (BSS) (Murphy and Epstein, 1989):

$$296 \quad BSS = \frac{r_{F',P'}^2 - \left( r_{F',P'} - \frac{\sigma_{F'}}{\sigma_{P'}} \right)^2 - \left( \frac{\langle P' \rangle - \langle F' \rangle}{\sigma_{P'}} \right)^2 + \left( \frac{\langle P' \rangle}{\sigma_{P'}} \right)^2}{1 + \left( \frac{\langle P' \rangle}{\sigma_{P'}} \right)^2} \quad (5)$$

297 where  $F$  is the “forecast” (i.e. the 12 km simulations mapped to 60 km, WRF12-remap);  $P$  is  
 298 the “target” (i.e. for AOD this is MODIS at 60 km) and output from WRF60 are used as the  
 299 reference forecast;  $F'$  the difference between 12 km estimates regridded to 60 km and MODIS;  
 300  $P'$  the difference between the 60 km simulation and the ‘target’ (i.e. for the AOD MODIS  
 301 observations regridded to 60 km). In the analysis of BSS relative to the long-term (15-year)  
 302 climatology of AOD from MODIS, the monthly mean climatological value of AOD is used as  
 303 the reference forecast, while WRF60 and WRF12-remap are used as the forecasts, and monthly  
 304 mean AOD from MODIS at 60 km is the target.

305 BSS measures by how much a test simulation (WRF12-remap) more closely (or poorly)  
 306 reproduces observations (from MODIS, MERRA-2 or other satellite products) relative to a  
 307 control (WRF60) run. For example, a  $BSS > 0$  indicates WRF12, even when regridded to 60 km,  
 308 does add value. The first term in (5) ranges from 0 to 1, is described as the potential skill, and  
 309 is the square of the spatial correlation coefficient between forecast and reference anomalies to  
 310 MODIS. It is the skill score achievable if both the conditional bias (second term) and overall  
 311 bias (third term) were zero, and for most of the variables considered herein (particularly AOD)  
 312 it contributes to a positive BSS in most calendar months (and seasons). The second term (the  
 313 conditional bias,  $> 0$ ), is the square of the difference between the anomaly correlation  
 314 coefficient and the ratio of standard deviation of the anomalies and is small if for all points  $F'$   
 315 is linear to  $P'$ . The third term is referred to as the forecast anomaly bias, and is the ratio of the  
 316 difference between the mean anomalies of WRF12-remap and the observations relative to  
 317 WRF60 and the standard deviation of WRF60 anomaly relative to observed values. The fourth  
 318 term is the degree of agreement and appears in both the numerator and denominator. It is

319 computed as the square of the ratio of the mean anomaly between WRF60 and observations  
320 and the standard deviation of the anomalies.

### 321 **(ii) Pooled paired t-test**

322 To identify which areas in space contribute most to the AOD added-value, we compare daily  
323 mean AOD fields from WRF-Chem at different resolutions and MODIS. We perform a pooled  
324 paired t-test to evaluate the null hypothesis that those differences come from normal  
325 distributions with equal means and equal but unknown variances (the test statistic has a  
326 Student's  $t$  distribution with  $df = n + m - 2$ , and the sample standard deviation is the pooled  
327 standard deviation, where  $n$  and  $m$  are the two sample sizes). The test is conducted by  
328 climatological season (e.g. winter = DJF) since there are fewer than 20 valid AOD observations  
329 in most 60 km grid cells for each calendar month (Fig. 2). Given the large number of hypothesis  
330 tests performed (i.e. one for each 60 km grid cell), we adjust the p-values using the False  
331 Discovery Rate (FDR) approach (Benjamini and Hochberg, 1995). In this approach, p-values  
332 from the t-tests are ranked from low to high ( $p_1, p_2, \dots, p_m$ ), then the test with the highest rank,  $j$ ,  
333 satisfying:

$$334 \quad p_j \leq \frac{j}{m} \alpha \quad (6)$$

335 is identified. Here all p-values satisfying Eq. 6 with  $\alpha=0.1$  are considered significant.

### 336 **(iii) Accuracy and Hit Rate in identification of AOD extremes**

337 For each month we identify grid cells in which the wavelength specific AOD exceeds the 75<sup>th</sup>  
338 percentile value computed from all grid cells and define that as an extreme. Thus grid cells  
339 with extreme AOD are independently determined for MODIS and WRF-Chem at different  
340 resolutions. The spatial coherence in identification of extremes in the fields is quantified using  
341 two metrics: the *Accuracy* and the *Hit Rate (HR)*. The *Accuracy* indicates the overall spatial  
342 coherence and is computed as the number of grid cells co-identified as extreme and non-  
343 extreme between WRF-Chem and MODIS relative to the total number of cells with valid data.  
344 The *HR* weights only correct identification of extremes in MODIS by WRF-Chem.

## 345 **3 Results**

### 346 **3.1 Model performance as a function of spatial resolution**

347 When WRF-Chem is applied at 60 km resolution the degree of association of the resulting  
348 spatial fields of mean monthly AOD at the three wavelengths with MODIS varies seasonally.

349 Smallest RMSD and highest Spearman spatial correlations ( $\rho$ ) with MODIS observations  
350 generally occur during months with highest mean AOD (i.e. during summer, Fig. 1 d-f and Fig.  
351 3), and reach a maximum in August ( $\rho = 0.60$ , Table 2). However, while the patterns of relative  
352 AOD variability are well captured, the absolute magnitudes and spatial gradients of AOD  
353 during the summer are underestimated by WRF60 (Fig. 1 d-f and Fig. 3, Table S1). High spatial  
354 correlations ( $\rho > 0.40$ ) are also observed in March, April and November (Table 2), when the  
355 ratio of spatial standard deviations is closer to 1 (Fig. 1 d-f, Table S1). Only a weak wavelength  
356 dependence is observed in the performance metrics as described on Taylor diagrams. The  
357 spatial variability is generally more negatively biased for AOD at 660 nm (Table S1), indicating  
358 that WRF60 simulations tend to produce larger diameter aerosols homogeneously distributed  
359 over the domain, whereas MODIS observations indicate more spatial variability.

360 The performance of WRF60 simulations relative to MODIS contrasts with analyses of WRF12  
361 and WRF12-remap. WRF12 and WRF12-remap indicate highest spatial correlations with  
362 MODIS observations throughout the summer months ( $\rho = 0.5-0.7$ , Table 2), although the bias  
363 towards simulation of more coarse aerosols than are observed is consistent across the two  
364 simulations and with prior research (see details provided in (Crippa et al., 2016)). However,  
365 simulations at 12 km (WRF12) show positive  $\rho$  with MODIS for all  $\lambda$  in all calendar months,  
366 while mean monthly spatial fields of AOD from WRF60 show low and/or negative correlations  
367 with MODIS during May, June, September, October and December, indicating substantial  
368 differences in the degree of correspondence with MODIS AOD in the two simulations, and  
369 higher fidelity of the enhanced resolution runs (Tables 2 and S1).

370 Monthly mean spatial fields of AOD( $\lambda$ ) as simulated by WRF12 or WRF12-remap exhibit  
371 positive Spearman correlation coefficients ( $\rho$ ) with MODIS observations for all calendar  
372 months and range from  $\sim 0.25$  for WRF12-remap (0.20 for WRF12) during winter to  $\sim 0.70$   
373 and 0.64, respectively during summer (Table 2). Spearman's  $\rho$  are uniformly higher in WRF12-  
374 remap than WRF12 indicating a mismatch in space in the high-resolution simulation (i.e. that  
375 grid cells with high AOD are slightly displaced in the 12 km simulations possibly due to the  
376 presence of sub-grid scale aerosol plumes (Rissman et al., 2013)). Mean monthly fields of AOD  
377 (all  $\lambda$ ) from both WRF12 and WRF12-remap exhibit lower  $\rho$  with MODIS in February-April  
378 and November than the 60 km runs (Table 2). These discrepancies appear to be driven by  
379 conditions in the south of the domain. For example, differences between WRF60/WRF12-  
380 remap vs. MODIS during all seasons are significant according to the paired t-test over Florida

381 and along most of the southern coastlines (Fig. 2). This region of significant differences extends  
382 up to  $\sim 40^\circ\text{N}$  during summer and fall, reflecting the stronger north-south gradient in AOD from  
383 MODIS and WRF12-remap that is not captured by WRF60 (see example for  $\lambda = 550 \text{ nm}$ , Fig.  
384 3). These enhancements in the latitudinal gradients from WRF12-remap are also manifest in  
385 the physical variables (particularly specific humidity as discussed further below).

386 The differences in the absolute values of mean monthly AOD deriving from differences in the  
387 resolution at which WRF-Chem was applied are of sufficient magnitude (a difference of up to  
388 0.2 in regions with a mean AOD value of 0.4), particularly in the summer months (Fig. 4), to  
389 raise concerns. However, detailed investigation of the simulations settings and repetition of the  
390 60 km simulation resulted in virtually identical results indicating no fault can be found in the  
391 analysis. Further, we note that the eastern-half of North America was also identified as a region  
392 of high discrepancy in global ESM (Myhre et al., 2013a).

393 To further investigate differences in the simulation output due to spatial discretization we  
394 computed Brier Skill Scores (BSS). In this analysis AOD for each  $\lambda$  from WRF12-remap are  
395 used as the ‘forecast’, output from WRF60 are used as the reference forecast and MODIS  
396 observations at 60 km are used as the target. BSS exceed 0 during all months except for  
397 September and October, and largest BSS ( $> 0.5$ ) for AOD (all  $\lambda$ ) is found during most months  
398 between December and July (Fig. 5a-c). This indicates that running WRF-Chem at 12 km  
399 resolution yields higher skill in simulated AOD relative to WRF60, even when the WRF12  
400 output is remapped to 60 km. BSS do not strongly depend on  $\lambda$ , indicating the added value  
401 from enhanced resolution similarly affects aerosol particles of different sizes. Inspecting the  
402 terms defining the BSS provides information about the origin of the added value (Fig. 5a-c).  
403 The positive BSS derives principally from the potential skill (first term in Eq. 5), which  
404 demonstrates a reduction in bias and/or more accurate representation of the spatial gradients in  
405 WRF12-remap. This term exhibits weak seasonality with values below 0.5 only during August  
406 and fall months. The second and third terms are close to zero during most months, although  
407 bigger biases are found during August-October. The substantial conditional bias during late  
408 summer and early fall is the result of the large ratio of standard deviations ( $> 1$ , i.e. the spatial  
409 variability of the anomaly relative to MODIS is larger for WRF12-remap than WRF60, Table  
410 S1). It thus contributes to the negative BSS found in September and October, which are also  
411 identified as outlier months in WRF12-remap from the Taylor diagram analysis (Fig. 1). Output  
412 for these months show modest spatial correlations with AOD from MODIS and higher ratio of  
413 standard deviations than in WRF60-MODIS comparisons (Fig. 1, Table S1). Previous work

414 showed that the lower model skill (in WRF12) during September and October may be partially  
415 attributable to a dry bias in precipitation from WRF-Chem relative to observations. As a result,  
416 simulated AOD and near-surface aerosol nitrate and sulfate concentrations are positively biased  
417 over large parts of the domain (Crippa et al., 2016). Although the effects of the boundary  
418 conditions appear in some variables (e.g. in Fig. 4 and Figs. S1-S3), the BSS results do not  
419 significantly change even when those cells are removed from the analysis.

420 When the BSS is used to assess the skill of each model relative to MODIS AOD climatological  
421 mean over the years 2000-2014, WRF12-remap is found to add value relative to the  
422 climatology (i.e. BSS >0) during summer months and Nov-Jan whereas BSS for WRF60 is  
423 positive from late Fall to early Spring (Fig. 5d). The fact that WRF-Chem does not always  
424 outperform the climatology is expected since the model is based on time invariant emissions  
425 and skill is assessed relative to a year selected to be representative of the AOD climatology.  
426 Mean seasonal AOD from MODIS retrievals over the study region during 2008 lie within  $\pm 0.2$   
427 standard deviations of the climatology (Crippa et al., 2016). Interestingly, BSS for most months  
428 (excluding September) are higher for the WRF60 simulations conducted using lateral boundary  
429 conditions from NAM12 than GFS.

430 Model resolution also affects the *Accuracy* and *Hit Rate (HR)* for identification of areas of  
431 extreme AOD (AOD > 75<sup>th</sup> percentile). Highest coherence in the identification of extreme AOD  
432 in space identified in WRF12-remap (and WRF12) relative to MODIS is found during May-  
433 August ( $HR = 53-77\%$ ) vs. WRF60 ( $HR = 17-54\%$ , Table 3). Conversely highest *HR* are found  
434 for WRF60 and MODIS during winter and early spring, and indeed exceed those for WRF12  
435 and WRF12-remap (Table 3, e.g. Feb:  $HR = 0.78$  for WRF60, and 0.67 and 0.68 for WRF12  
436 and WRF12-remap, respectively). These differences are consistent with the observation that  
437 WRF12-remap overestimates the scales of AOD coherence and AOD magnitude during the  
438 cold season along coastlines and over much of the domain in April (Fig. 3).

439 The synthesis of these analyses is thus that the higher resolution simulation increases the  
440 overall spatial correlation, decreases overall bias in AOD close to the peak of the solar spectrum  
441 relative to MODIS observations and therefore the higher-resolution simulations better  
442 represent aerosol direct climate forcing. However, WRF12-remap exhibits little improvement  
443 over WRF60 in terms of reproducing the spatial variability of AOD in the visible wavelengths  
444 and further that WRF12-remap tends to be more strongly positively biased in terms of mean  
445 monthly AOD outside of the summer months (Fig. 2 and Fig. 3). Also the improvement in  
446 detection of areas of extreme AOD in the higher resolution simulations (WRF12-remap) is

447 manifest only during the warm season.

### 448 **3.2 Investigating sources of error in simulated AOD**

449 As documented above, WRF-Chem applied at either 60 or 12 km resolution over eastern North  
450 America exhibits some skill in reproducing observed spatial fields of AOD and the occurrence  
451 of extreme AOD values. However, marked discrepancies both in space and time are found, and  
452 at least some of them show a significant dependence on model resolution. Thus, we  
453 investigated a range of physical conditions and gas phase concentrations known to be strongly  
454 determinant of aerosol dynamics in terms of the BSS as a function of model resolution and also  
455 in terms of the mean monthly spatial patterns.

456 WRF12 even when remapped to 60 km provides more accurate description of key  
457 meteorological variables such as specific humidity ( $Q$ ) within the boundary layer,  $PBLH$ ,  
458 surface temperature and precipitation (see Fig. 6, S1, S2 and S3) when compared to MERRA-  
459 2, as indicated by the positive BSS during almost all months (Fig. 7a). Good qualitative  
460 agreement is observed for the spatial patterns and absolute magnitude of  $T_{2m}$  in both WRF60  
461 and WRF12-remap relative to MERRA-2 for all seasons (Fig. S1) leading to only modest  
462 magnitude of BSS (i.e. value added by the higher resolution simulations (Fig. 7a)). The aerosol  
463 size distribution and therefore wavelength specific AOD exhibits a strong sensitivity to  $Q$   
464 (Santarpia et al., 2005) due to the presence of hygroscopic components in atmospheric aerosols  
465 and thus the role of water uptake in determining aerosol diameter, refractivity and extinction  
466 coefficient (Zieger et al., 2013). For example, the hygroscopic growth factor, which indicates  
467 the change of aerosol diameter due to water uptake, is  $\sim 1.4$  for pure ammonium sulfate with  
468 dry diameter of 532 nm at relative humidity of 80%, thus biases in representation atmospheric  
469 humidity may lead to big errors in simulated aerosol size and AOD (Flores et al., 2012). Our  
470 previous analyses of the 12 km resolution simulations indicated overestimation of sulfate  
471 aerosols (a highly hygroscopic aerosol component, and one which in many chemical forms  
472 exhibits strong hysteresis (Martin et al., 2004)) relative to observed near-surface  $PM_{2.5}$   
473 concentrations during all seasons except for winter (Crippa et al., 2016), leading to the  
474 hypothesis that simulated AOD and discrepancies therein may exhibit a strong dependence on  
475  $Q$ . Consistent with that postulate,  $Q_{PBL}$  from WRF12-remap exhibits a moist bias in cloud-free  
476 grid cells mostly during warm months, whereas WRF60 is characterized by a dry bias during  
477 all seasons (Fig. 6). Despite the positive bias, WRF12-remap better captures the seasonal  
478 spatial patterns of  $Q_{PBL}$  in MERRA-2, leading to positive BSS for this variable in all calendar  
479 months. Thus, there is added value by higher-resolution simulations in representation of one of



480 the key parameters dictating aerosol particle growth and optical properties. Spatial patterns of  
481 differences in  $Q_{PBL}$  from WRF60 and WRF12-remap relative to MERRA-2 (Fig. 6) exhibit  
482 similarities to differences in AOD (Fig. 4). WRF60 is dry-biased relative to WRF12  
483 particularly during the summer (and fall) and underestimates  $Q_{PBL}$  relative to MERRA-2 during  
484 all seasons over the southern states and over most of continental US during summer and fall.  
485 Conversely, WRF12-remap overestimates  $Q_{PBL}$  over most of continental US during summer  
486 and fall relative to MERRA-2.

487 *PBLH* is a key variable for dictating near-surface aerosol concentrations but is highly sensitive  
488 to the physical schemes applied, and biases appear to be domain and resolution dependent.  
489 However, this parameter is comparatively difficult to assess because differences in *PBLH* from  
490 WRF-Chem and MERRA-2 may also originate from the way they are computed (i.e. from heat  
491 diffusivity in MERRA-2 (Jordan et al., 2010) and from turbulent kinetic energy in WRF-Chem  
492 (Janjić, 2002; von Engeln and Teixeira, 2013)). Nevertheless, the Mellor-Yamada-Janjich *PBL*  
493 scheme combined with the Noah Land Surface Model applied in this work was found to  
494 produce lower *PBL* heights (Zhang et al., 2009) than other parameterizations. Thus, the positive  
495 bias in simulated AOD and surface  $PM_{2.5}$  concentrations (reported previously in (Crippa et al.,  
496 2016)) may be linked to the systematic underestimation of *PBLH* simulated by WRF12-remap  
497 over continental US relative to MERRA-2 during all seasons (except winter) with greatest bias  
498 over regions of complex topography (Fig. S2). A positive bias (of several hundred meters) in  
499 terms of *PBLH* for WRF simulations using the MYJ parameterization was previously reported  
500 for high-resolution simulations over complex terrain (Rissman et al., 2013), and a positive bias  
501 in *PBLH* is also observed in the 60 km simulations presented herein (Fig. S2). This may provide  
502 a partial explanation for the large negative bias in AOD in WRF60 during summer (Fig. 3). In  
503 general, the BSS indicate improvement in the simulation of *PBLH* in WRF12-remap than in  
504 WRF60 (Fig. 7a).

505 Consistent with the dry bias in  $Q_{PBL}$  in WRF60, total accumulated precipitation is also  
506 underestimated in WRF60, while WRF12-remap captures the absolute magnitudes and the  
507 spatial patterns therein (Fig. S3). Analyses of hourly precipitation rates also show higher skill  
508 for WRF12-remap than WRF60 in simulating precipitation occurrence (*HR*) relative to  
509 MERRA-2 (Table S2). More specifically WRF12-remap correctly predicts between 40% and  
510 70 % of precipitation events in MERRA-2 with highest skill during winter months, whereas  
511 WRF60 output exhibits lower *HR* (~6% during summer and 30% during winter). This result  
512 thus confirms our expectation of a strong sensitivity of model performance to resolution due to

513 the inherent scale dependence in the cumulus scheme. Use of the Grell-Freitas parameterization  
514 in the WRF60 simulations did not lead to substantially different magnitude and/or spatial  
515 patterns of precipitation compared to WRF60 applied with the Grell 3D scheme, and no  
516 improvement in agreement with output from MERRA2. The findings of a negative bias in  
517 precipitation amounts in WRF60 simulations without a corresponding overestimation of AOD  
518 may appear counter-intuitive since aerosol concentrations (and thus AOD) are dependent on  
519 aerosol residence times and analyses of sixteen global models from the AeroCom project  
520 indicate wet scavenging is the dominant removal process for most aerosol species in the study  
521 area (Hand et al., 2012;Textor et al., 2006). However, the negative precipitation bias in WRF60  
522 simulations appears to also be linked to poor representation of surface moisture availability,  
523 boundary layer humidity (Fig. 6), and ultimately aerosol water content (and hence AOD).

524 Gas phase concentrations (transformed into z-scores) from WRF12-remap show higher  
525 agreement with satellite observations during almost all months, as indicated by the positive  
526 BSS (Fig. 7b). However given the limited availability of valid satellite observations (especially  
527 during months with low radiation intensity), the BSS are likely only robust for the summer  
528 months for all species. Nevertheless, with the exception of NH<sub>3</sub> during June, BSS for all months  
529 are above or close to zero indicating that on average, the enhanced resolution simulations do  
530 exhibit higher skill in the simulation of the gas phase species even when remapped to 60 km  
531 resolution. Further, the seasonal average spatial patterns of the total columnar concentrations,  
532 expressed in terms of z-scores, also exhibit qualitative agreement with the satellite observations  
533 (Fig. S4-S7).

#### 534 **4 Concluding remarks**

535 This analysis is one of the first to quantify the impact of model spatial resolution on the spatio-  
536 temporal variability and magnitude of meteorological and chemical parameters and how  
537 representation of these variables impact AOD, and does so using simulations for a full calendar  
538 year. Application of WRF-Chem at two different resolutions (60 km and 12 km) over eastern  
539 North America for a representative year (2008) leads to the following conclusions:

- 540 - Higher-resolution simulations improve the representation of key meteorological  
541 variables such as temperature, near-surface specific humidity, boundary layer height  
542 and the occurrence and amount of precipitation. Both spatial patterns and precipitation  
543 occurrence are better captured by WRF12-remap, and particularly during the summer  
544 months the specific humidity within the boundary-layer exhibits closer agreement with

- 545 a reanalysis product when WRF is applied at higher resolution. The dry bias in the low-  
546 resolution WRF-Chem simulations (60 km) is consistent with previous research over  
547 eastern North America, and is manifest in simulations with two different cumulus  
548 parameterizations and two different data sets for the LBC (GFS and NAM12).
- 549 - More accurate representation of spatial patterns and concentration of gaseous species  
550 that either play a key role in particle formation and growth or are indicators of primary  
551 aerosol emissions is also achieved by running WRF-Chem at high resolution.
  - 552 - Partly/largely due to the improved fidelity of key meteorological parameters and gas-  
553 phase aerosol precursor species, higher resolution simulations enhance the fidelity of  
554 AOD representation at and near to the peak in the solar spectrum relative to a coarser  
555 run. At least some of the improvement in the accuracy with which AOD is reproduced  
556 in the higher resolution simulations may be due to improved fidelity of specific  
557 humidity and thus more accurate representation of hygroscopic growth of some aerosol  
558 components. Spatial correlations of AOD from WRF12 and WRF12-remap with  
559 observations from MODIS are higher than AOD from a simulation conducted at 60 km  
560 during most months. WRF12 show positive spatial correlations with MODIS for all  $\lambda$   
561 in all calendar months, and particularly during summer ( $\rho = 0.5-0.7$ ). However, the  
562 improvement in model performance is not uniform in space and time.
  - 563 - Output from WRF12 and WRF12-remap exhibit highest accord with MODIS  
564 observations in capturing the frequency, magnitude and location of extreme AOD  
565 values during summer when AOD is typically highest. During May-August WRF12-  
566 remap has *Hit Rates* for identification of extreme AOD of 53-78%.

567 It is worthy of note that even the 12 km resolution WRF-Chem simulations exhibit substantial  
568 differences in AOD relative to MODIS over eastern North America, and the agreement varies  
569 only slightly with wavelength. This may be partially attributable to use of the modal approach  
570 to represent the aerosol size distribution in order to enhance computational tractability. In this  
571 application each mode has a fixed geometric standard deviation ( $\sigma_g$ ), which can lead to biases  
572 in simulated AOD in the visible wavelengths by up to 25% (Brock et al., 2016) (with the model  
573 overestimating observations if the prescribed  $\sigma_g$  is larger than the observed one). Setting  $\sigma_g =$   
574 2 for the accumulation mode (the default in WRF-Chem) may lead to an overestimation of the  
575 number of particles at the end of the accumulation mode tail, and there is evidence that a value  
576 of  $\sigma_{g,acc}=1.40$  leads to higher agreement with observations (Mann et al., 2012). Further possible  
577 sources of the AOD biases reported herein derive from selection of the physical schemes (e.g.

578 planetary boundary layer (*PBL*) schemes and land-surface model (Misenis and Zhang,  
579 2010;Zhang et al., 2009)). Further, it is worth mentioning that NEI emissions are specified  
580 based on an average summertime weekday, so enhanced model performance might be achieved  
581 if seasonally varying emissions were available.

582 Naturally, there is a need for more research regarding the sensitivity of WRF-Chem simulations  
583 of climate relevant aerosol properties to the parameterizations used, the lateral boundary  
584 conditions employed and the resolution at which the simulations are conducted. Further,  
585 attribution of added-value in the simulation of AOD by enhanced spatial resolution is necessary  
586 and will be facilitated by identifying simulation settings that minimize bias in the variables  
587 affecting AOD. This research will be part of future investigations.

## 588 **Acknowledgments**

589 This research was supported in part by a L'Oréal-UNESCO UK and Ireland Fellowship For  
590 Women In Science (to PC), the Natural Environmental Research Council (NERC) through the  
591 LICS project (ref. NE/K010794/1), grants to SCP from US NSF (grant # 1517365) and NASA  
592 (NNX16AG31G), and a NASA Earth and Space Science Fellowship Program - Grant "14-  
593 EARTH14F-0207" (to RCS). Further support was provided by the Lilly Endowment, Inc.,  
594 through its support for the Indiana University Pervasive Technology Institute and the Indiana  
595 METACyt Initiative. We gratefully acknowledge the NASA scientists responsible for  
596 MERRA-2 and MODIS products, the developers of WRF-Chem, and Lieven Clarisse, Simon  
597 Whitburn, and Martin Van Damme for producing and sharing the NH<sub>3</sub> retrievals. The clarity  
598 and content of this manuscript was substantially improved by the comments of three reviewers.

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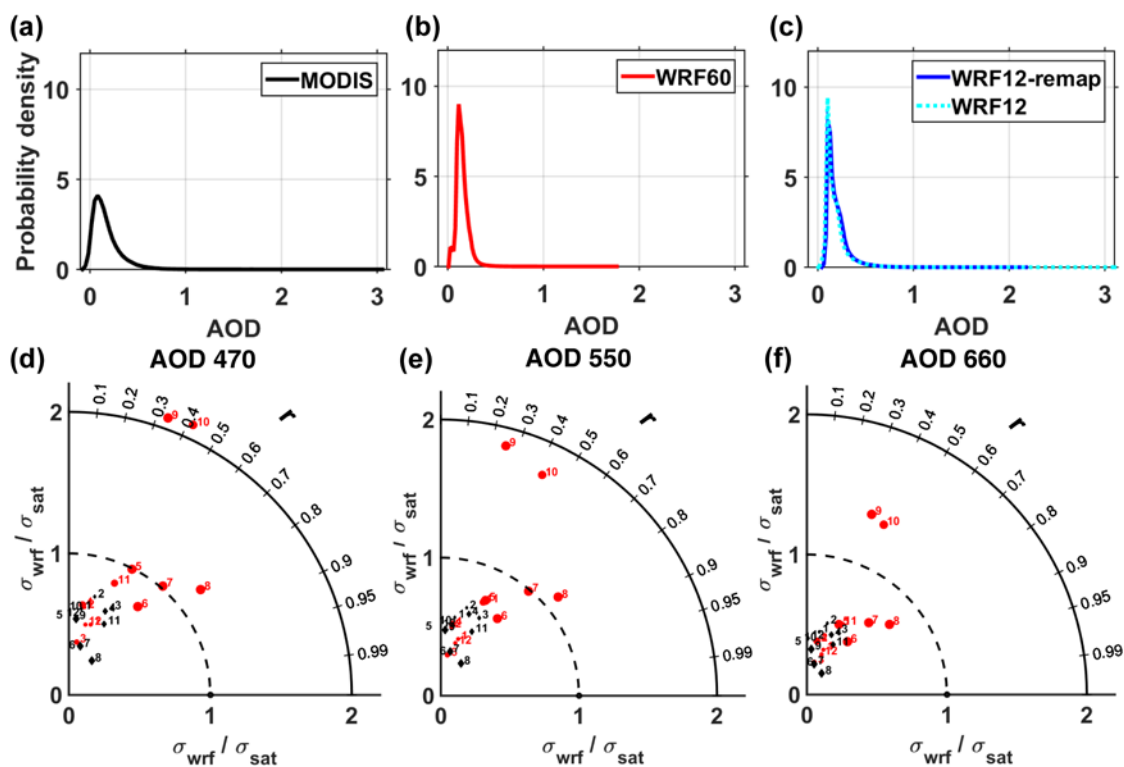
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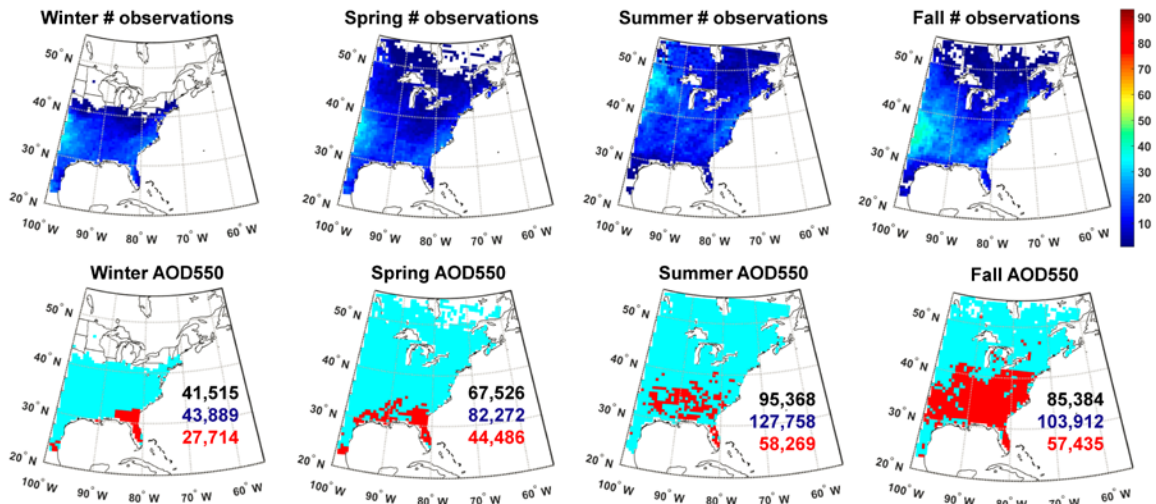
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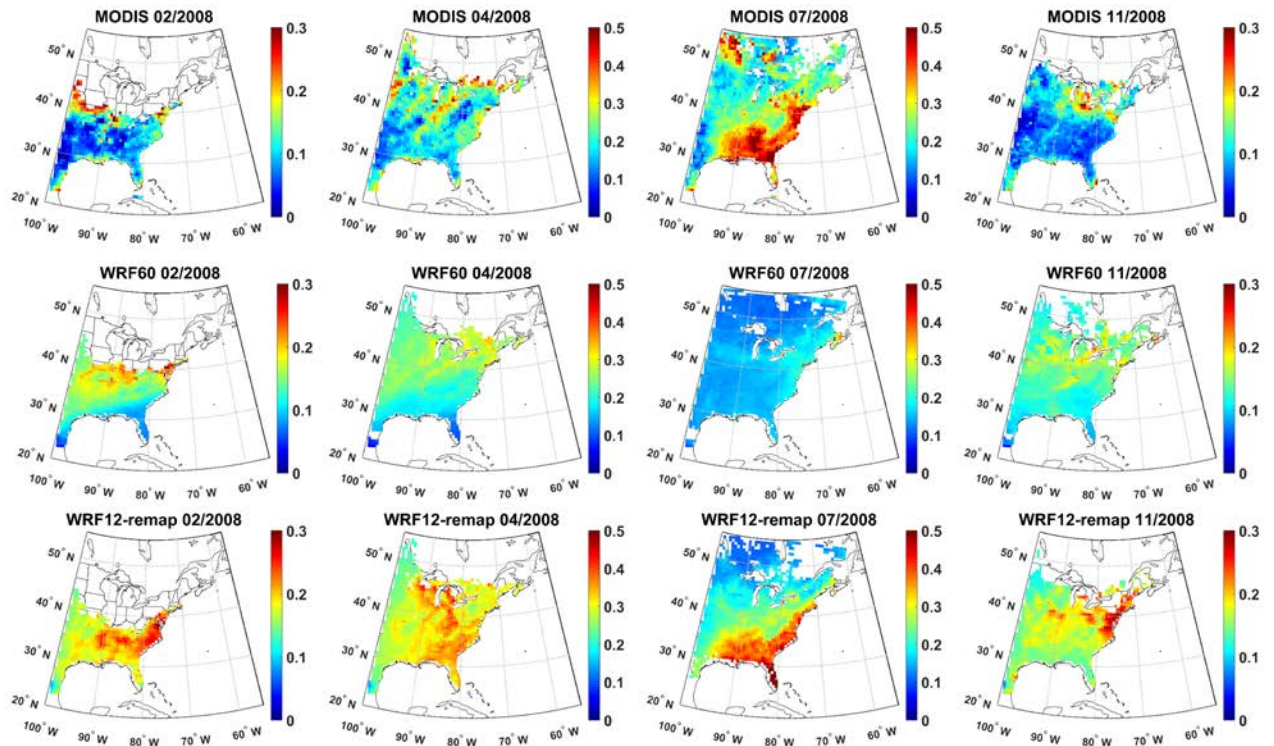
871 **Figure 1. Probability density function of once daily AOD at a wavelength ( $\lambda$ ) of 550 nm**  
 872 **for (a) MODIS, (b) WRF60 and (c) WRF12 and WRF12-remap during the year 2008. (d-**  
 873 **f) Taylor diagrams of mean monthly AOD at wavelengths ( $\lambda$ ) of (d) 470, (e) 550 and (f)**  
 874 **660 nm as simulated by WRF-Chem at different resolutions (black diamonds=WRF60**  
 875 **and red dots=WRF12-remap) relative to MODIS observations. The numbers by each**  
 876 **symbol denote the calendar month (e.g. 1=January).**



878

879 **Figure 2. First line: Number of paired AOD observations at a wavelength ( $\lambda$ ) of 550 nm**  
 880 **(i.e. simultaneous values as output from WRF-Chem and observed by MODIS) used to**  
 881 **perform a t-test designed to evaluate whether the difference computed for each grid cell**  
 882 **as WRF60-MODIS differs from that computed as WRF12-remap-MODIS on a seasonal**  
 883 **basis (columns show Winter (DJF), Spring (MAM), Summer (JJA) and Fall (SON)).**  
 884 **Second line: Results of the t-test. Pixels that have p-values that are significantly different**  
 885 **at  $\alpha=0.10$  are indicated in red and have been corrected for multiple testing using a False**  
 886 **Discovery Rate approach. The number of observations of cloud-free conditions summed**  
 887 **across all days in each season and all grid cells is also reported (black=MODIS,**  
 888 **blue=WRF60, red=WRF12-remap).**

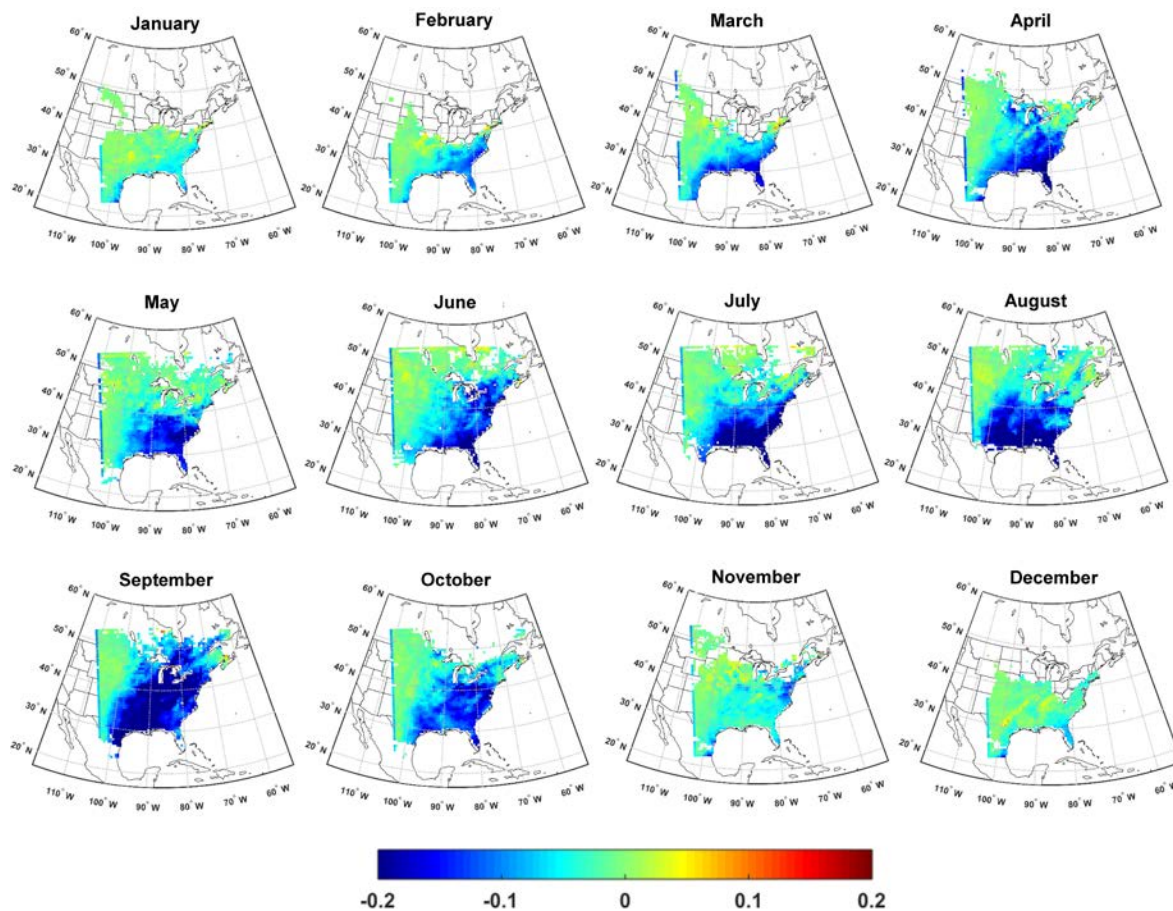
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891 **Figure 3. Monthly mean AOD at a wavelength ( $\lambda$ ) of 550 nm from MODIS (first line) and**  
 892 **WRF-Chem at different resolutions (WRF60 and WRF12-remap, second and third line)**  
 893 **during a representative month in each climatological season (columns). Note that a**  
 894 **different color scale is applied for different months. For a monthly mean value for a grid**  
 895 **cell to be shown, there must be at least 5-simultaneous daily values (for the time of the**  
 896 **satellite overpass) available.**

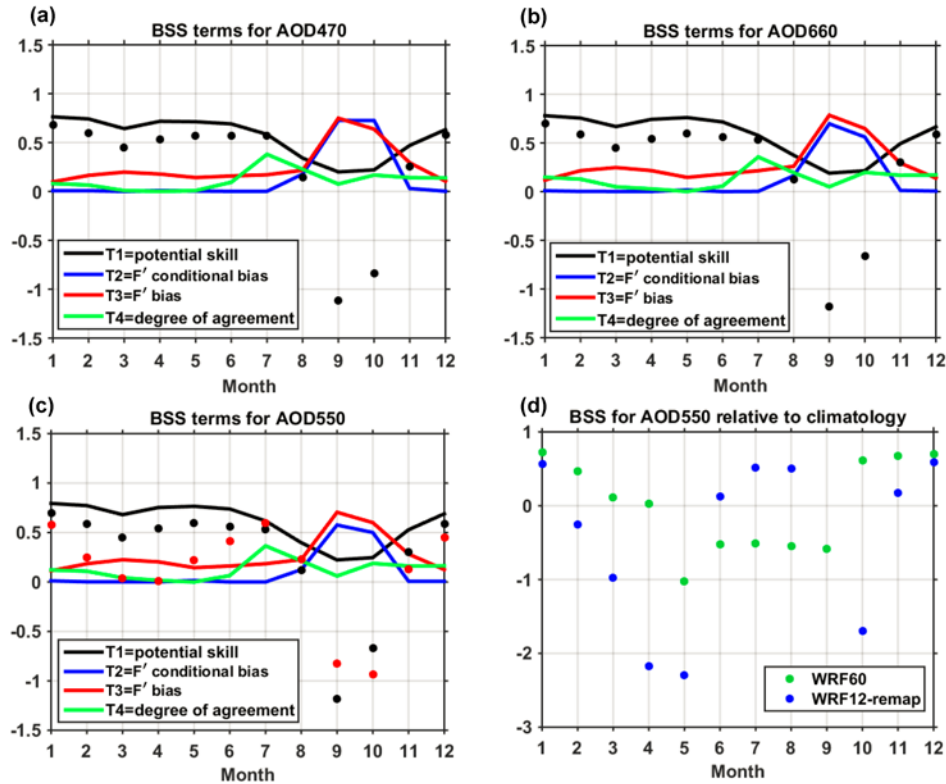
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899 **Figure 4.** Difference in monthly mean AOD at a wavelength ( $\lambda$ ) of 550 nm between WRF-  
 900 Chem simulations conducted at 60 km resolution (WRF60) and output from WRF-Chem  
 901 simulations conducted with a resolution of 12 km but remapped to 60 km (WRF12-  
 902 remap). Differences are computed as WRF60 minus WRF12-remap. Similar spatial  
 903 patterns and magnitudes of differences are found for  $\lambda$  of 470 and 660 nm. The calendar  
 904 months of 2008 are shown in the titles of each panel.

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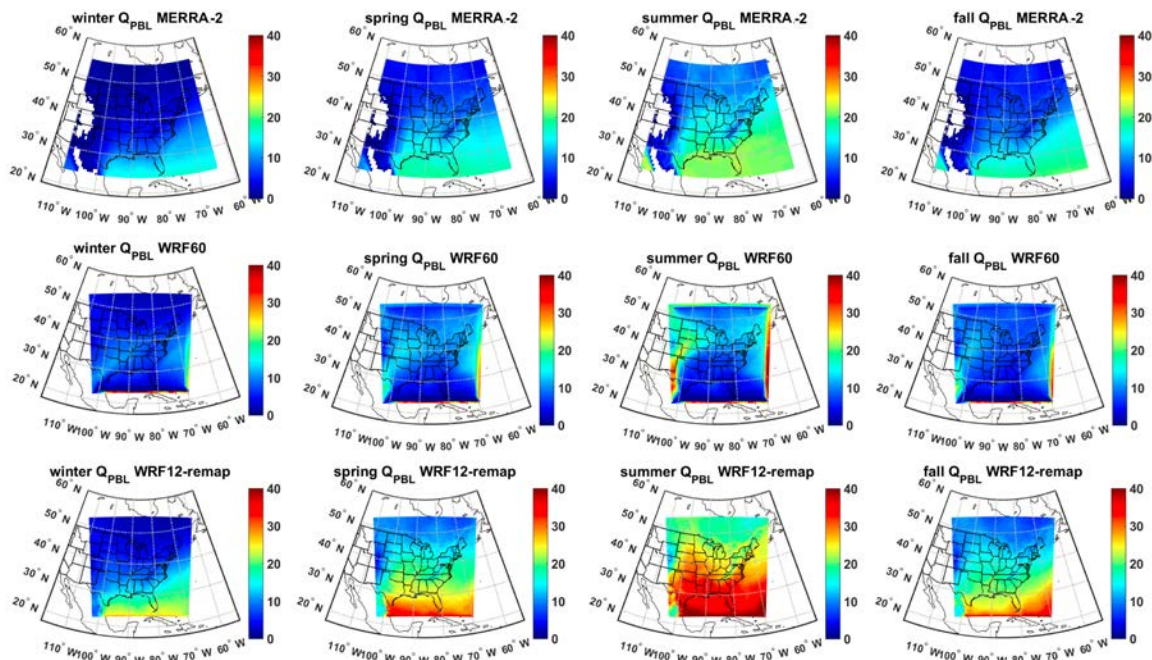
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907 **Figure 5. (a-c) Brier Skill Scores (BSS, black dots) for monthly mean AOD by calendar**  
 908 **month (1=January) for AOD at 470, 550 and 660 nm. In this analysis of model skill**  
 909 **WRF12 output is mapped to the WRF60 grid (WRF12-remap) and BSS are computed**  
 910 **using MODIS as the target, WRF60 (driven by NAM12 meteorological boundary**  
 911 **conditions) as the reference forecast and WRF12-remap as the forecast. Also shown by**  
 912 **the color lines are the contributions of different terms to BSS. In panel c the red dots**  
 913 **indicate BSS when the reference forecast is WRF60 driven by GFS meteorological**  
 914 **boundary conditions. (d) BSS of monthly mean AOD from WRF60 (green dots) and**  
 915 **WRF12-remap (blue dots) relative to MODIS monthly mean climatology during 2000-**  
 916 **2014 (reference forecast). Monthly mean AOD from MODIS are used as the target. BSS**  
 917 **for WRF12-remap in September is -6.1.**

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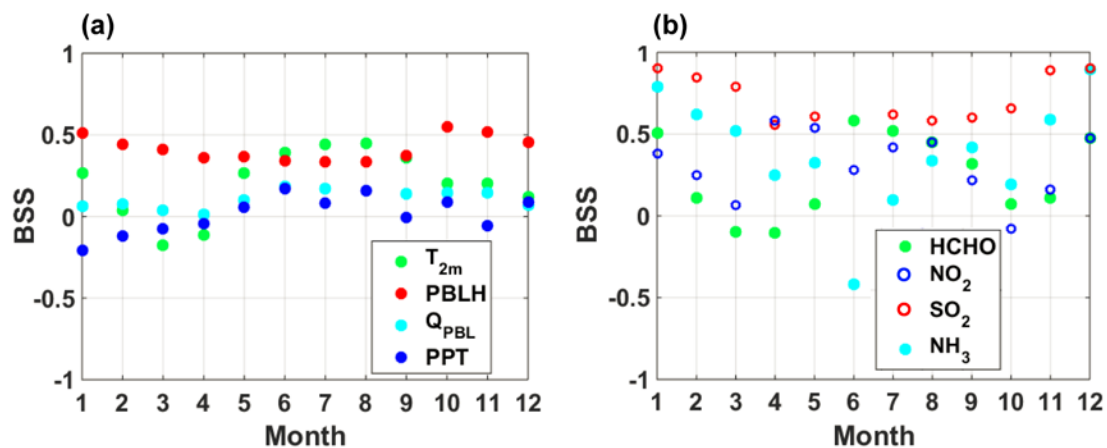




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921 **Figure 6. Seasonal mean specific humidity [ $\text{kg m}^{-2}$ ] integrated from the surface to 825 hPa**  
 922 **( $Q_{PBL}$ ) from MERRA-2 (first row) assuming an average air density in the  $PBL$  of  $1.1 \text{ kg}$**   
 923  **$\text{m}^{-3}$ , WRF60 (second row), and WRF12-remap (third row). The data are 3-hourly and**  
 924 **show only cloud-free hours in all three data sets.**

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927 **Figure 7. Brier Skill Scores (BSS) for key (a) meteorological and (b) chemical variables.**

928 **BSS are computed using hourly data of T at 2m ( $T_{2m}$ ) and PBLH, 3-hourly estimates of**

929 **specific humidity in the boundary layer ( $Q_{PBL}$ ), and z-scores of monthly total precipitation**

930 **(PPT), and of monthly mean columnar gas phase concentrations.**

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933 **Tables**

934 **Table 1. Physical and chemical schemes adopted in the WRF-Chem simulations presented**  
 935 **herein.**

<b>Simulation settings</b>	<b>Values</b>
Domain size	300 × 300 (60 × 60) grid points
Horizontal resolution	12 km (60 km)
Vertical resolution	32 levels up to 50 hPa
Timestep for physics	72 s (300 s)
Timestep for chemistry	5 s
<b>Physics option</b>	<b>Adopted scheme</b>
Microphysics	WRF Single-Moment 5-class (Hong et al., 2004)
Longwave Radiation	Rapid Radiative Transfer Model (RRTM) (Mlawer et al., 1997)
Shortwave Radiation	Goddard (Fast et al., 2006)
Surface layer	Monin Obhukov similarity (Janjić, 2002; Janjić, 1994)
Land Surface	Noah Land Surface Model (Chen and Dudhia, 2001)
Planetary boundary layer	Mellor-Yamada-Janjich (Janjić, 1994)
Cumulus parameterizations	Grell 3D (Grell and Dévényi, 2002)
<b>Chemistry option</b>	<b>Adopted scheme</b>
Photolysis	Fast J (Wild et al., 2000)
Gas-phase chemistry	RADM2 (Stockwell et al., 1990)
Aerosols	MADE/SORGAM (Ackermann et al., 1998; Schell et al., 2001)
Anthropogenic emissions	NEI (2005) (US-EPA, 2009)
Biogenic emissions	Guenther, from USGS land use classification (Guenther et al., 1994; Guenther et al., 1993; Simpson et al., 1995)

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938 **Table 2. Spearman correlation coefficients ( $\rho$ ) between AOD at wavelengths ( $\lambda$ ) of 470,**  
939 **550 and 660 nm from MODIS observations averaged over 12 or 60 km and WRF-Chem**  
940 **simulations conducted at 60 km (WRF60, shown in the table as -60), at 12 km (WRF12,**  
941 **shown in the table as -12), and from WRF-Chem simulations at 12 km but remapped to**  
942 **60 km (WRF12-remap, shown in the table as -remap). Given WRF12-remap is obtained**  
943 **by averaging WRF12 when at least half of the 5×5 12 km resolution cells contain valid**  
944 **data,  $\rho$  from WRF60 and WRF12-remap may be computed on slightly different**  
945 **observations and sample size. The bold text denotes correlation coefficients that are**  
946 **significant at  $\alpha=0.05$  after a Bonferroni correction is applied (i.e.  $p \leq \frac{0.05}{9 \times 12} = 4.63 \times 10^{-4}$**   
947 **is significant). The yellow shading is a visual guide that shows for each month and  $\lambda$  the**  
948 **model output that has highest  $\rho$  with MODIS.**

Month→/ Variable↓	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
470-12	<b>0.238</b>	<b>0.150</b>	<b>0.137</b>	<b>0.147</b>	<b>0.377</b>	<b>0.581</b>	<b>0.610</b>	<b>0.723</b>	<b>0.352</b>	<b>0.306</b>	<b>0.259</b>	<b>0.212</b>
470-60	0.156	<b>0.226</b>	<b>0.438</b>	<b>0.412</b>	<b>-0.219</b>	<b>-0.146</b>	<b>0.379</b>	<b>0.601</b>	0.087	-0.051	<b>0.500</b>	-0.059
470-remap	<b>0.295</b>	<b>0.197</b>	<b>0.250</b>	<b>0.182</b>	<b>0.516</b>	<b>0.637</b>	<b>0.675</b>	<b>0.777</b>	<b>0.368</b>	<b>0.441</b>	<b>0.315</b>	<b>0.274</b>
550-12	<b>0.223</b>	<b>0.124</b>	<b>0.142</b>	<b>0.146</b>	<b>0.349</b>	<b>0.541</b>	<b>0.580</b>	<b>0.689</b>	<b>0.275</b>	<b>0.301</b>	<b>0.280</b>	<b>0.215</b>
550-60	<b>0.179</b>	<b>0.244</b>	<b>0.429</b>	<b>0.332</b>	<b>-0.288</b>	<b>-0.188</b>	<b>0.324</b>	0.567	0.073	-0.077	<b>0.491</b>	0.002
550-remap	<b>0.297</b>	0.164	<b>0.261</b>	<b>0.199</b>	<b>0.493</b>	<b>0.605</b>	<b>0.651</b>	<b>0.747</b>	<b>0.286</b>	<b>0.437</b>	<b>0.352</b>	<b>0.309</b>
660-12	<b>0.217</b>	<b>0.136</b>	<b>0.165</b>	<b>0.152</b>	<b>0.324</b>	<b>0.476</b>	<b>0.540</b>	<b>0.644</b>	<b>0.183</b>	<b>0.290</b>	<b>0.292</b>	<b>0.221</b>
660-60	<b>0.191</b>	<b>0.230</b>	<b>0.437</b>	<b>0.402</b>	<b>-0.305</b>	<b>-0.189</b>	<b>0.389</b>	<b>0.616</b>	0.099	<b>-0.137</b>	<b>0.536</b>	0.049
660-remap	<b>0.356</b>	<b>0.211</b>	<b>0.289</b>	<b>0.208</b>	<b>0.480</b>	<b>0.624</b>	<b>0.669</b>	<b>0.772</b>	<b>0.371</b>	<b>0.432</b>	<b>0.393</b>	<b>0.368</b>

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951 **Table 3. Spatial coherence in the identification of extreme AOD values (i.e. areas with**  
952 **AOD>75<sup>th</sup> percentile over space for each month) between WRF-Chem at different**  
953 **resolutions relative to MODIS. No significant wavelength dependence is found for model**  
954 **skill in identifying extreme AOD so results are only shown for  $\lambda = 550$  nm. The different**  
955 **model output is denoted by -60 for simulations at 60 km, -12 for simulations at 12 km**  
956 **resolution, and as -remap for simulations at 12 km but with the output remapped to 60**  
957 **km. The Accuracy (Acc) indicates the fraction of grid cells co-identified as extremes and**  
958 **non-extremes between WRF-Chem and MODIS relative to the total number of cells with**  
959 **valid data. The Hit Rate (HR) is the probability of correct forecast and is the proportion**  
960 **of cells correctly identified as extremes by both WRF-Chem and MODIS. The yellow**  
961 **shading indicates the model resolution with highest skill in each month for AOD at 550**  
962 **nm.**

Month→/ Metric↓	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Acc-12	0.673	0.665	0.659	0.638	0.710	0.800	0.855	0.839	0.666	0.679	0.723	0.661
Acc-60	0.707	0.778	0.735	0.730	0.600	0.587	0.658	0.769	0.661	0.637	0.729	0.681
Acc-remap	0.674	0.680	0.694	0.640	0.766	0.824	0.887	0.837	0.667	0.699	0.767	0.641
HR-12	0.346	0.331	0.319	0.275	0.421	0.599	0.711	0.678	0.333	0.358	0.447	0.323
HR-60	0.417	0.558	0.471	0.460	0.200	0.173	0.315	0.538	0.321	0.274	0.458	0.364
HR-remap	0.350	0.361	0.387	0.281	0.532	0.649	0.775	0.674	0.333	0.399	0.535	0.284

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