Response to review comments on acp-2016-453

The original comments are provided in black, our response is given in red.

The authors show that WRF-Chem with the physical and chemical schemes chosen for their study has substantial biases at 60 km horizontal resolution over eastern North America. The biases depend only weakly on the cumulus scheme or lateral boundary conditions. At 12 km horizontal resolution the biases to re-analysis data, in particular for precipitation, are smaller. The intended quantification of the value added by enhanced resolution in the description of the drivers of aerosol direct radiative forcing over eastern North America cannot be achieved with the current setup as the bias in precipitation implies a bias in wet scavenging (the most important removal mechanism for aerosol particles, as mentioned in the study) and the bias in boundary layer humidity leads to biases in aerosol water uptake and therefore AOD (which is discussed in the study).

Therefore either the focus of the manuscript needs to be changed to discuss the performance of WRF-Chem at different horizontal resolutions in general or the setup needs to be changed for example by running a simulation with 36 km horizontal resolution. Only then can publication be considered.

The reviewer indicated in his/her review: "the focus of the manuscript needs to be changed to discuss the performance of WRF-Chem at different horizontal resolutions in general or the setup needs to be changed for example by running a simulation with 36 km horizontal resolution."

Given that we are already seeking to synthesize 5 sets of year-long simulations in a single manuscript, in response to this comment we have modified the manuscript to include a more balanced description of the performance of WRF-Chem at different horizontal resolutions in terms of the meteorological, gas-phase and aerosol properties (and have changed the title to reflect this refocus). A tracked changes version of the manuscript is attached that shows all of the changes we have made.

1	The impact of resolution on meteorological, chemical and
2	aerosol properties in Value-added by high-resolution regional
3	simulations with WRF-Chem simulations of climate-relevant
4	aerosol properties
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6	P. Crippa ¹ , R. C. Sullivan ² , A. Thota ³ , S. C. Pryor ^{2,3}
7	
8	
9	¹ COMET, School of Civil Engineering and Geosciences, Cassie Building, Newcastle
10	University, Newcastle upon Tyne, NE1 7RU, UK
11	² Department of Earth and Atmospheric Sciences, Bradfield Hall, 306 Tower Road, Cornell
12	University, Ithaca, NY 14853, USA
13	³ Pervasive Technology Institute, Indiana University, Bloomington, IN 47405, USA
14	
15	Correspondence to: P. Crippa (paola.crippa@ncl.ac.uk), School of Civil Engineering and
16	Geosciences, Cassie Building, Room G15, Telephone: +44 (0)191 208 5041, Newcastle

17 University, Newcastle upon Tyne, NE1 7RU, UK

18 Abstract

19 Despite recent advances in global Earth System Models (ESMs), the current global mean 20 aerosol direct and indirect radiative effects remain uncertain, as does their future role in climate 21 forcing and regional manifestations. Reasons for this uncertainty include the high spatiotemporal variability of aerosol populations. Thus, limited area (regional) models applied at 22 23 higher resolution over specific regions of interest are generally expected to 'add value', i.e. improve the fidelity of the physical-dynamical-chemical processes that induce extreme events 24 25 and dictate climate forcing, via more realistic representation of spatio-temporal variability. 26 However, added value is not inevitable, and there remains a need to optimize use of numerical 27 resources, and to quantify the impact on simulation fidelity that derives from increased 28 resolution. Limited area (regional) models applied at high resolution over specific regions of 29 interest are generally expected to more accurately capture the spatio-temporal variability of key 30 meteorological and climate parameters. However, added valuetheir improved performance is 31 not inevitable, and there remains a need to optimize use of numerical resources, and to quantify the impact on simulation fidelity that derives from increased resolution. The application of 32 regional models for climate forcing assessment is currently limited by the lack of studies 33 quantifying their performance as a function of the sensitivity to horizontal spatial resolution and 34 of the physical-dynamical-chemical schemes driving the simulations. Here we quantify 35 investigate the sensitivity of model skills on spatial resolution value added by enhanced spatial 36 37 resolution in simulations ng of meteorological, chemical and aerosol properties as a function of 38 spatial resolution, the drivers of aerosol direct radiative forcing by applying the Weather 39 Research and Forecasting model with coupled Chemistry (WRF-Chem) over eastern North 40 America at different resolutions. Using Brier Skill Scores and other statistical metrics it is 41 shown that enhanced resolution (from 60 to 12 km) improves model performance for all of the 42 meteorological parameters and gas phase concentrations considered, in addition to both mean 43 and extreme Aerosol Optical Depth (AOD) in three wavelengths in the visible relative to 44 satellite observations, principally via increase of potential skill. Some of the enhanced model 45 performance for AOD appears to be attributable to improved simulation of specific humidity 46 and the resulting impact on aerosol hygroscopic growth/hysteresis.-meteorological conditions (notably precipitation and near-surface specific humidity) and the concentration of key aerosol 47 48 precursor gases (e.g. SO₂ and NH₃).

- 50 Keywords: added value, high-resolution WRF-Chem simulations, precipitation, aerosol
- 51 optical properties, extreme AOD

52 1 Motivation and Objectives

53 Aerosols alter Earth's radiation balance primarily by scattering or absorbing incoming solar 54 radiation (direct effect, dominated by accumulation mode (diameters ~ wavelength (λ), where 55 total extinction is often quantified using AOD), or regulating cloud formation/properties by 56 acting as cloud condensation nuclei (CCN) (indirect effect, dominated by diameters ≥ 100 nm, 57 magnitude = f(composition)). Most aerosols (excluding black carbon) have a larger scattering 58 cross-section than absorption cross-section, and act as CCN thus enhancing cloud albedo and 59 lifetimes. Hence increased aerosol concentrations are generally (but not uniformly) associated with surface cooling (offsetting a fraction of greenhouse gas warming) (Boucher, 2013; Myhre 60 61 et al., 2013b) to a degree that is principally dictated by the aerosol concentration, size and 62 composition, in addition to the underlying surface and height of the aerosol layer (McComiskey 63 et al., 2008). Despite major advances in measurement and modeling, both the current global mean aerosol direct effect (possible range: -0.77 to +0.23 W m⁻²) and the indirect effect 64 65 (possible range: -1.33 to -0.06 W m⁻²) remain uncertain (Stocker, 2013), as does their future role in climate forcing (Rockel et al., 2008) and regional manifestations (Myhre et al., 2013a). 66 67 Specific to our current study region (eastern N. America), one analysis using the NASA GISS global model found that the "regional radiative forcing from US anthropogenic aerosols elicits 68 69 a strong regional climate response, cooling the central and eastern US by 0.5–1.0 °C on average 70 during 1970–1990, with the strongest effects on maximum daytime temperatures in summer 71 and autumn. Aerosol cooling reflects comparable contributions from direct and indirect 72 radiative effects" (Leibensperger et al., 2012). A recent comparison of multiple global models 73 conducted under the AEROCOM-project indicated this is also a region that exhibits very large 74 model-to-model variability in simulated AOD ($\langle AOD \rangle \sim 0.5, \sigma(AOD) \sim 1$) (Myhre et al., 75 2013a).

76 Major reasons why aerosol radiative forcing on both the global and regional scales remains 77 uncertain include short atmospheric residence times and high spatio-temporal variability of 78 aerosol populations, and the complexity of the processes that dictate aerosol concentrations, 79 composition and size distributions (Seinfeld and Pandis, 2016). Although aerosol processes 80 and properties are increasingly being treated in the global Earth System Models (ESMs) (Long 81 et al., 2015; Tilmes et al., 2015) being applied in the Coupled Model Intercomparison Project 82 Phase 6 (CMIP-6) (Meehl et al., 2014), the scales on which such models are applied remain 83 much coarser than those on which aerosol population properties are known to vary (Anderson 84 et al., 2003). Therefore, limited area atmospheric models (regional models) applied at higher 85 resolution over specific regions of interest are expected to 'add value' (i.e. improve the fidelity) 86 of the physical-dynamical-chemical processes that induce extreme events and dictate climate 87 forcing. There is empirical evidence to suggest a strong resolution dependence in simulated 88 aerosol particle properties. For example, WRF-Chem simulations with spatial resolution 89 enhanced from 75 km to 3 km exhibited higher correlations and lower bias relative to 90 observations of aerosol optical properties over Mexico likely due to more accurate description 91 of emissions, meteorology and of the physicochemical processes that convert trace gases to 92 particles (Gustafson et al., 2011; Qian et al., 2010). This improvement in the simulation of 93 aerosol optical properties implies a reduction of the uncertainty in associated aerosol radiative 94 forcing (Gustafson et al., 2011). Further, WRF-Chem run over the United Kingdom and 95 Northern France at multiple resolutions in the range of 40-160 km, underestimated AOD by 96 10-16% and overestimated CCN by 18-36% relative to a high resolution run at 10 km, partly 97 as a result of scale dependence of the gas-phase chemistry and differences in the aerosol uptake 98 of water (Weigum et al., 2016) (Weigum et al., 2016).

99 However, debate remains regarding how to objectively evaluate model performance, quantify 100 the value added by enhanced resolution (Di Luca et al., 2015;Rockel et al., 2008) and on 101 possible limits to the improvement of climate representation in light of errors in the driving 102 "imperfect lateral boundary conditions" (Diaconescu and Laprise, 2013). Nevertheless, 103 although "it is unrealistic to expect a vast amount of added values since models already 104 performs rather decently" (Di Luca et al., 2015) and global ESMs are now run at much higher 105 resolution than in the past, it is generally assumed that high resolution regional models will add 106 value via more realistic representation of spatio-temporal variability than global coarser-107 resolution simulations. Further, "the main added value of a regional climate model is provided by its small scales and its skill to simulate extreme events, particularly for precipitation" 108 109 (Diaconescu and Laprise, 2013).

110 It is particularly challenging to assess the added-value from enhanced resolution in the context

111 of climate-relevant aerosol properties since they are a complex product of the fidelity of the

- simulation of meteorological parameters, gas-phase precursors, emissions and the treatment of
- 113 <u>aerosol dynamics.</u> Here we quantify the value added by enhanced resolution in the description
- of the drivers of meteorological physical and chemical atmospheric conditions aerosol direct
- 115 radiative forcing using year-long simulations from WRF-Chem over eastern North America,
- 116 <u>and investigate how they impact AOD-representation</u>. The primary performance evaluation <u>of</u>
- 117 <u>aerosol properties</u> focuses on AOD at different wavelengths ($\lambda = 470$, 550 and 660 nm, where

118 the AOD at different λ is used as a proxy of the aerosol size distribution (Tomasi et al., 1983), 119 see details in Sect. 2.43) and is measured relative to observations from satellite-borne 120 instrumentation. Thus the term "value--added" is used here in the context of columnar aerosol 121 properties to refer to an improvement of model performance in simulation of wavelength 122 specific AOD as measured by the MODerate resolution Imaging Spectroradiometer (MODIS) 123 instrument aboard the polar-orbiting Terra satellite. To attribute sources of the enhanced 124 fidelity of AOD, our analysis also incorporates evaluation of the value-added by enhanced 125 resolution in terms of key meteorological and gas-phase drivers of aerosol concentrations and 126 composition and is conducted relative to the MERRA-2 reanalysis product for the physical 127 variables and columnar gas concentrations from satellite observations (see details of the precise 128 data sets used given below). The meteorological parameters considered are air temperature at 129 2 m (T_{2m}), total monthly precipitation (PPT), planetary boundary-layer height (PBLH) and 130 specific humidity in the boundary layer (*Q*_{PBL}). The gas phase concentrations considered are

131 <u>sulfur dioxide (SO₂), ammonia (NH₃), nitrogen dioxide (NO₂) and formaldehyde (HCHO).</u>

We begin by quantifying the performance of WRF-Chem when applied over eastern North 132 133 America at a resolution of 60 km (WRF60) (~ finest resolution likely to be employed in CMIP-6 global simulations) and then compare the results to those from simulations conducted at 12 134 135 km (WRF12) (simulation details are given in Table 1). Quantification of model skill is 136 undertaken by mapping the WRF12 output to the WRF60 grid (WRF12-remap) and computing 137 Brier Skill Scores (BSS) using MODIS as the target, WRF60 as the reference forecast and WRF12-remap as the forecast to be evaluated. We also evaluate the performance of the WRF-138 139 Chem simulations of 2008 relative to climatology as represented by MODIS observations for 140 2000-2014. We additionally assess the impact of simulation resolution on extreme AOD values 141 that are associated with enhanced impacts on climate and human health. This analysis uses both 142 Accuracy and Hit Rate as the performance metrics and focuses on the co-occurrence of extreme

143 values in space from the model output and MODIS.

144To attribute sources of added value, Oour analysis also incorporates evaluation of the value-145added by enhanced resolution in terms of key meteorological and gas-phase drivers of aerosol146concentrations and composition and is conducted relative to the MERRA-2 reanalysis product147for the physical variables and columnar gas concentrations from satellite observations (see148details of the precise data sets used given below). The meteorological parameters considered149are air temperature at 2 m (T_{2m}), total monthly precipitation (PPT), planetary boundary-layer150height (PBLH) and specific humidity in the boundary layer (QPBL). The gas phase

151 concentrations considered are:<u>are</u> sulfur dioxide (SO₂), ammonia (NH₃), nitrogen dioxide

152 (NO₂) and formaldehyde (HCHO).

153 Based on the performance evaluation of the WRF-Chem simulations that indicate substantial 154 dry bias in the WRF60 simulations and large seasonality in the value-added-skill-scores for 155 AOD by as a function of enhanced resolution, we conducted two further year-long simulations 156 at 60 km. In the first we held all other simulation conditions constant but selected a different 157 cumulus parameterization. In the second, we held all simulation conditions constant but 158 employed a different set of lateral boundary conditions for the meteorology. In the context of 159 the precipitation biases reported herein it is worthy of note that discrepancies in simulated precipitation regimes are key challenges in regional modelling (both physical and coupled with 160 161 chemistry). Although the Grell 3D scheme has been successfully applied in a number of prior analysis wherein the model was applied at resolutions in the range of 1-36 km (e.g. (Grell and 162 Dévényi, 2002;Lowrey and Yang, 2008;Nasrollahi et al., 2012;Sun et al., 2014;Zhang et al., 163 164 2016)), the North American Regional Climate Change Assessment Program (NARCCAP) 165 simulations with WRF at 50-km were also dry biased in the study domain (Mearns et al., 2012). 166 Although there have been a number of studies that have sought to evaluate different cumulus 167 schemes over different regions at different resolutions, no definitive recommendation has been 168 made regarding the dependence of model²s skill on resolution and cumulus parameterization 169 (Arakawa, 2004; Jankov et al., 2005; Nasrollahi et al., 2012; Li et al., 2014). Thus Hence, further 170 research is needed to identify the optimal cumulus scheme for use over North America at 171 coarser resolution. Thus, we performed a sensitivity analysis on the cumulus scheme at 60 km 172 by applying the Grell-Freitas parameterization (Grell and Freitas, 2014), which is the next 173 generation of the Grell 3D scheme.

174 **2 Materials and Methods**

175 2.1 Spectral dependence of AOD [PC1]

176 Three properties dietate the actual acrosol direct radiative forcing: AOD, single seattering

177 albedo and asymmetry factor, all of which are a function of the wavelength (λ) of incident

- 178 radiation. The first property is related to the total columnar mass loading, typically dominates
- 179 the variability of direct acrosol effect (Chin et al., 2009) and is the focus of the current research.
- 180 The relationship between the acrosol size distribution and spectral dependence of AOD is
- 181 described by a power law function:

182
$$\beta(\lambda_1) = \beta(\lambda_2) \times \left(\frac{\lambda_1}{\lambda_2}\right)^{-\alpha}$$
 (1)

183 where β is the particle extinction coefficient at a specific wavelength λ , and α is the Ångström 184 exponent (Ångström, 1964) which describes the wavelength dependence of AOD (and is 185 inversely proportional to the average aerosol diameter):

86
$$\frac{\ln \frac{AOD(\lambda_1)}{AOD(\lambda_2)}}{\ln \frac{\lambda_2}{\lambda_1}} (2)$$

1

187 The acrosol volume distribution usually conforms to a multi-lognormal function with *n* modes:

188
$$-\frac{dV(r)}{d\ln r} = \sum_{i=1}^{n} \frac{C_i}{\sqrt{2\pi}\sigma_i} \exp\left[\frac{-(\ln r - \ln R_i)^2}{2\sigma_i^2}\right] (3)$$

189 where *r* is the particle radius and C_i , R_i and σ_i are the particle volume concentration, the 190 geometric mean radius and the standard deviation in the mode *i* respectively.

We can thus compute AOD for a polydisperse distribution of acrosols with refractive index *m* in an atmospheric column of height Z as:

193
$$\overline{AOD}(\lambda) = \int \frac{3\beta(m,r,\lambda)}{4r} \frac{dV(r)}{d\ln r} d\ln r dZ - (4)$$

 As indicated in (Schuster et al., 2006), "the spectral variability of extinction diminishes for particles larger than the incident wavelength", thus fine mode particles contribute more to AOD in the visible (λ-0.5 µm) than at longer wavelengths, whereas coarse mode particles provide a similar
 AOD both at short and long wavelengths. This is reflected in the Ångström parameter which can be thus used as a proxy for the fine mode fraction or fine mode radius (Schuster et al., 2006).

201 **2.12 WRF-Chem simulations**

WRF-Chem (version 3.6.1) simulations were performed for the calendar year 2008 over eastern
North America, in a domain centered over southern Indiana (86°W, 39°N) at two resolutions,
one close to the finest resolution designed for CMIP-6 global model runs (i.e. 60 km, WRF60)
and the other one at much higher resolution (12 km, WRF12). Simulation settings are identical

206 for the two runs except for the time-step used for the physics (Table 1). Physical and chemical 207 parameterizations were chosen to match previous work using WRF-Chem at 12 km on the same 208 region which showed good performance relative to observations and the year 2008 was selected 209 because it is representative of average climate and aerosol conditions during 2000 - 2014 210 (Crippa et al., 2016). More specifically the simulations adopted the RADM2 chemical 211 mechanism (Stockwell et al., 1990) and a modal representation of the aerosol size distribution 212 (MADE/SORGAM, (Ackermann et al., 1998;Schell et al., 2001)) with three lognormal modes 213 and fixed geometric standard deviations (i.e. 1.7, 2 and 2.5 for Aitken, accumulation and coarse 214 mode, respectively (Ackermann et al., 1998;Grell et al., 2005)). Aerosol direct feedback was 215 turned on and coupled to the Goddard shortwave scheme (Fast et al., 2006). A telescoping 216 vertical grid with 32 model layers from the surface to 50 hPa and 10 layers up to 800 hPa was 217 selected. Meteorological initial and boundary conditions from the North American Mesoscale 218 Model at 12 km resolution (NAM12) are applied every 6 hours, while initial and chemical 219 boundary conditions are taken from MOZART-4 (Model for Ozone and Related chemical 220 Tracers, version 4) with meteorology from NCEP/NCAR-reanalysis (Emmons et al., 2010). 221 Anthropogenic emissions are specified for both WRF60 and WRF12 from the US National 222 Emission Inventory 2005 (NEI-05) (US-EPA, 2009) which provides hourly point and area 223 emissions at 4 km on 19 vertical levels. The simulation settings and specifically the use of a 224 modal representation of the aerosol size distribution were selected to retain computational 225 tractability. Accordingly, the 60 km simulations for the year 2008 completed in 6.4 hours 226 whereas the 12 km simulations completed in 9.5 days (230 hours) on the Cray XE6/XK7 227 supercomputer (Big Red II) owned by Indiana University, using 256 processors distributed on 228 8 nodes.

229 As described in detail below, in the WRF60 simulations configured as described in Table 1, 230 simulated precipitation during the summer months exhibits substantial dry bias, and the analysis of value added by enhanced simulation resolution exhibited strong seasonality. We 231 232 performed a sensitivity analysis to the cumulus scheme, by conducting an additional year-long 233 simulation at 60 km using the Grell-Freitas parameterization (Grell and Freitas, 2014), which 234 is an evolution of Grell 3D that is scale-aware and treats some aspects of aerosol-cloud 235 interactions. We also tested the sensitivity of the simulation results to the meteorological 236 boundary conditions, by repeating the WRF60 simulations using output from the Global 237 Forecast System (GFS) at 0.5° resolution every 6 hours to provide the lateral boundary 238 conditions.

239 Value added is quantified using Brier Skill Scores (BSS) and is evaluated in two ways: 240 first by evaluating the model performance as a function of simulation resolution and then 241 using climatology as the reference 'forecast'. In these analyses the hourly output from the 242 12 km resolution simulation is degraded (averaged) to 60 km (hereafter WRF12-remap) 243 as follows: the 12 km domain is resized excluding 2 grid cells at the border to exactly 244 match the 60 km resolution domain. Each coarse grid cell thus includes 5×5 12 km 245 resolution cells and its value is the mean of all valid 12 km grid cells inside it if at least 246 half of those cells contain valid AOD (i.e. no cloud cover), otherwise the whole coarse cell 247 is treated as missing. In all comparisons only cells with simultaneous (i.e. model and 248 MODIS) clear sky conditions are considered. A daily value from WRF-Chem is computed 249 as an instantaneous value for the hour nearest to the satellite overpass time. When the 250 comparison is done on a monthly basis, a monthly mean value is computed from the daily 251 values obtained under clear sky conditions, only if there are at least five valid 252 observations in the month.

253 2.23 Observations

Model aerosol optical properties are evaluated relative to the MODIS Collection 6 dark-target 254 255 land aerosol product from aboard the Terra satellite (~1030 overpass local solar time (LST)) 256 (Levy et al., 2013). To provide a consistent assessment of model skill, the evaluation of AOD 257 is conducted only on land areas since the MODIS dark-target ocean aerosol product is based 258 on a retrieval algorithm different from the one over land (Levy et al., 2013). Trace gas 259 concentrations are evaluated relative to measurements from the Ozone Monitoring Instrument (OMI; version 3) (Chance, 2002) and the Infrared Atmospheric Sounding Interferometer (IASI; 260 261 NN version 1) (Whitburn et al., 2016) aboard the Aura (~1345 LST) and MetOp satellites 262 (~0930 LST), respectively. MODIS retrieves AOD at multiple λ including 470, 550, and 660 263 nm, and the MODIS algorithm removes cloud-contaminated pixels prior to spatial averaging 264 over 10×10 km (at nadir). OMI and IASI have nadir resolutions of 13×24 km and 12 km 265 (circular footprint), respectively, and have been filtered to remove retrievals with cloud 266 fractions > 0.3 (Fioletov et al., 2011;McLinden et al., 2014;Vinken et al., 2014) and OMI pixels 267 affected by the row anomalies. MODIS, OMI, and IASI provide near daily global coverage, although the row anomalies render portions of the OMI viewing swath unusable. Uncertainty 268 269 in AOD from MODIS is spatially and temporally variable. It has been estimated as $\pm (0.05 +$ 270 15%) for AOD over land (Levy et al., 2013), and prior research has reported 71% of MODIS 271 Collection 5 retrievals fall within $0.05 \pm 20\%$ for AOD relative to AERONET in the study 272 domain (Hyer et al., 2011). The accuracy of OMI ("root sum of the square of all errors, 273 including forward model, inverse model, and instrument errors" (Brinksma et al., 2003)) is 1.1 DU or 50% for SO₂, 2×10^{14} cm⁻²/30% for background/polluted NO₂ conditions, and 35% for 274 HCHO. This uncertainty is typically reduced by spatial and temporal averaging, as employed 275 276 herein (Fioletov et al., 2011;Krotkov et al., 2008). IASI NH₃ retrievals do not use an a priori 277 assumption of emissions, vertical distribution, or lifetime of NH₃ (i.e. no averaging kernel); 278 therefore, NH₃ accuracy is variable (Whitburn et al., 2016), and thus only retrievals with 279 uncertainty lower than the retrieved concentrations are used herein.

- 280 For the model evaluation, satellite observations for each day are regridded to the WRF-Chem 281 discretization. This is done by averaging all valid retrievals within: 0.1° and 0.35° of the WRF-282 Chem grid-cell center for the 12×12 km and 60×60 km resolutions, respectively for MODIS; $0.125^{\circ} \times 0.18^{\circ}$ (along-track/latitudinal × cross-track/longitudinal) and $0.365^{\circ} \times 0.42^{\circ}$ for OMI; 283 0.12° and 0.36° for IASI. To avoid issues from under-sampling, we require at least 10 valid 284 285 MODIS granules for the 60×60 km daily average to be computed and at least 5 daily averages to compute a monthly average for each grid cell. Model evaluation of gaseous species is 286 287 performed on a seasonal basis using standard scores (z-scores), which are computed as the 288 difference between the seasonal mean within a grid cell and the seasonal spatial mean, divided 289 by the seasonal spatial standard deviation. Use of z-scores allows comparison of the spatial 290 patterns of satellite observations and model output in terms of standard deviation units from 291 the mean.
- 292 The simulated meteorological properties are evaluated using Modern-Era Retrospective 293 analysis for Research and Applications (MERRA-2) reanalysis data as the target. MERRA-2 294 is a homogenized and continuous in time description of atmospheric properties on a 3-295 dimensional global grid (horizontal resolution of 0.5°×0.625°, L72), developed by NASA and 296 was released in Fall 2015 (Molod et al., 2015). MERRA-2 provides hourly values of T_{2m} and 297 PBLH, and vertical profile of 3-dimensional variables every 3 hours on a large number of 298 pressure levels. Here we compute the total specific humidity (*Q*_{PBL}) of the lowest 8 pressure 299 levels (i.e. in the boundary-layer approximated as the layer from 1000 to 825 hPa) in MERRA-2, assuming an average air density in the PBL of 1.1 kg m⁻³. For the evaluation of simulated 300 301 precipitation we use accumulated monthly total values.
- 302

303 2.4-2.31 Spectral dependence of AOD

Three properties dictate the actual aerosol direct radiative forcing: AOD, single scattering albedo and asymmetry factor, all of which are a function of the wavelength (λ) of incident radiation. The first property is related to the total columnar mass loading, typically dominates the variability of direct aerosol effect (Chin et al., 2009) and is the focus of the current research. The relationship between the aerosol size distribution and spectral dependence of AOD is described by a power law function:

310
$$\beta(\lambda_1) = \beta(\lambda_2) \times \left(\frac{\lambda_1}{\lambda_2}\right)^{-\alpha} \underline{(1)}$$

311 where β is the particle extinction coefficient at a specific wavelength λ , and α is the Ångström 312 exponent (Ångström, 1964) which describes the wavelength dependence of AOD (and is

313 inversely proportional to the average aerosol diameter):

314
$$\alpha = \frac{ln \frac{AOD(\lambda_1)}{AOD(\lambda_2)}}{ln \frac{\lambda_2}{\lambda_1}} (2)$$

315 <u>The aerosol volume distribution usually conforms to a multi-lognormal function with *n* modes:</u>

316
$$= \frac{dV(r)}{d\ln r} = \sum_{i=1}^{n} \frac{C_i}{\sqrt{2\pi\sigma_i}} \exp\left[\frac{-(\ln r - \ln R_i)^2}{2{\sigma_i}^2}\right] \underline{(3)}$$

317 where *r* is the particle radius and C_i , R_i and σ_i are the particle volume concentration, the 318 geometric mean radius and the standard deviation in the mode *i* respectively.

319 <u>We can thus compute AOD for a polydisperse distribution of aerosols with refractive index *m* 320 in an atmospheric column of height Z as:</u>

$$_{321} \quad \underline{AOI}(\lambda) = \int \frac{3\beta(m,r,\lambda)}{4r} \frac{dV(r)}{d\ln r} d\ln r dZ_{\underline{(4)}}$$

As indicated in (Schuster et al., 2006), "the spectral variability of extinction diminishes for particles larger than the incident wavelength", thus fine mode particles contribute more to AOD in the visible ($\lambda \sim 0.5 \mu m$) than at longer wavelengths, whereas coarse mode particles provide a similar AOD both at short and long wavelengths. This is reflected in the Ångström parameter 326 which can be thus used as a proxy for the fine mode fraction or fine mode radius (Schuster et
 327 al., 2006).

<u>328</u> <u>2.4</u> Quantification of model performance and added-value

329 Taylor diagrams summarize three aspects of model performance relative to a reference: the 330 spatial correlation coefficient (i.e. Pearson correlation of the fields, r), the ratio of spatial 331 standard deviations of the two spatial fields ($\sigma_{wrf}/\sigma_{sat}$) and the root mean squared difference 332 (RMSD) (Taylor, 2001). Here Taylor diagrams are presented for monthly mean AOD from 333 WRF60, WRF12 and WRF12-remap relative to MODIS at different wavelengths (Fig. 1 d-f). Because AOD is not normally distributed, Spearman's rank correlation coefficients (p) of the 334 335 mean monthly AOD spatial fields are also computed to reduce the impact of a few outliers and 336 the small sample size during cold months (<u>Table 2</u><u>Table 2</u>). To assess the significance of ρ 337 while accounting for multiple testing, we apply a Bonferroni correction (Simes, 1986) in which for *m* hypothesis tests, the null hypothesis is rejected if $p \le \frac{\alpha}{m}$, where *p* is the p-value and α 338

- is the confidence level (0.05 is used here).
- 340 We further quantify the value added (or lack of thereof) of the high-resolution simulations 341 using the following metrics:

342 (i) Brier Skill Score

343 Value added is quantified using Brier Skill Scores (BSS) and is evaluated in two ways: first by 344 evaluating the model performance as a function of simulation resolution and then using 345 climatology as the reference 'forecast'. In these analyses the hourly output from the 12 km 346 resolution simulation is degraded (averaged) to 60 km (hereafter WRF12-remap) as follows: 347 the 12 km domain is resized excluding 2 grid cells at the border to exactly match the 60 km 348 resolution domain. For example, in the analysis of AOD eEach coarse grid cell thus includes 349 5×5 12 km resolution cells and its value is the mean of all valid 12 km grid cells inside it if at 350 least half of those cells contain valid AOD (i.e. no cloud cover), otherwise the whole coarse 351 cell is treated as missing. In all comparisons of AOD only cells with simultaneous (i.e. model 352 and MODIS) clear sky conditions are considered. A daily value from WRF-Chem is computed as an instantaneous value for the hour nearest to the satellite overpass time. When the 353 354 comparison is done on a monthly basis, a monthly mean value is computed from the daily 355 values obtained under clear sky conditions, only if there are at least five valid observations in 356 the month.

The primary metric used to quantify the added value of WRF12-remap versus WRF60 is the Brier Skill Score (BSS) (Murphy and Epstein, 1989):

359
$$BSS = \frac{r_{F'P'}^2 - \left(r_{F'P'} - \frac{\sigma_{F'}}{\sigma_{P'}}\right)^2 - \left(\frac{\langle P' \rangle - \langle F' \rangle}{\sigma_{P'}}\right)^2 + \left(\frac{\langle P' \rangle}{\sigma_{P'}}\right)^2}{1 + \left(\frac{\langle P' \rangle}{\sigma_{P'}}\right)^2}$$
(5)

360 where F is the "forecast" (i.e. the 12 km simulations mapped to 60 km, WRF12-remap); P is 361 the "target" (i.e. for AOD this is MODIS at 60 km) and output from WRF60 are used as the reference forecast; F' the difference between 12 km estimates regridded to 60 km and MODIS; 362 363 P' the difference between the 60 km simulation and the 'target' (i.e. for the AOD MODIS 364 observations regridded to 60 km). In the analysis of BSS relative to the long-term (15-year) 365 climatology of AOD from MODIS, the monthly mean climatological value of AOD is used as 366 the reference forecast, while WRF60 and WRF12-remap are used as the forecasts, and monthly mean AOD from MODIS at 60 km is the target. 367

368 BSS measures by how much a test simulation (WRF12-remap) more closely (or poorly) 369 reproduces observations (from MODIS, MERRA-2 or other satellite products) relative to a 370 control (WRF60) run. For example, a BSS>0 indicates WRF12, even when regridded to 60 km, 371 does add value. The first term in (5) ranges from 0 to 1, is described as the potential skill, and 372 is the square of the spatial correlation coefficient between forecast and reference anomalies to 373 MODIS. It is the skill score achievable if both the conditional bias (second term) and overall 374 bias (third term) were zero, and for most of the variables considered herein (particularly AOD) 375 it contributes to a positive BSS in most calendar months (and seasons). The second term (the 376 conditional bias, > 0), is the square of the difference between the anomaly correlation 377 coefficient and the ratio of standard deviation of the anomalies and is small if for all points F'378 is linear to P'. The third term is referred to as the forecast anomaly bias, and is the ratio of the 379 difference between the mean anomalies of WRF12-remap and the observations relative to 380 WRF60 and the standard deviation of WRF60 anomaly relative to observed values. The fourth 381 term is the degree of agreement and appears in both the numerator and denominator. It is 382 computed as the square of the ratio of the mean anomaly between WRF60 and observations 383 and the standard deviation of the anomalies.

384 (ii) Pooled paired t-test

B85 To identify which areas in space contribute most to the <u>AOD</u> added_-value, we compare daily

386 mean AOD fields from WRF-Chem at different resolutions and MODIS. We perform a pooled 387 paired t-test to evaluate the null hypothesis that those differences come from normal 388 distributions with equal means and equal but unknown variances (the test statistic has a Student's *t* distribution with df = n + m - 2, and the sample standard deviation is the pooled 389 390 standard deviation, where n and m are the two sample sizes). The test is conducted by 391 climatological season (e.g. winter = DJF) since there are fewer than 20 valid AOD observations 392 in most 60 km grid cells for each calendar month (Fig. 2). Given the large number of hypothesis 393 tests performed (i.e. one for each 60 km grid cell), we adjust the p-values using the False 394 Discovery Rate (FDR) approach (Benjamini and Hochberg, 1995). In this approach, p-values 395 from the t-tests are ranked from low to high (p_1, p_2, \dots, p_m) , then the test with the highest rank, *j*, 396 satisfying:

$$397 \qquad p_j \leq \frac{J}{m} \alpha$$
 (6)

is identified. Here all p-values satisfying Eq. 6 with α =0.1 are considered significant.

399 (iii) Accuracy and Hit Rate in identification of <u>AOD</u> extremes

For each month we identify grid cells in which the wavelength specific AOD exceeds the 75th 400 401 percentile value computed from all grid cells and define that as an extreme. Thus grid cells 402 with extreme AOD are independently determined for MODIS and WRF-Chem at different 403 resolutions. The spatial coherence in identification of extremes in the fields is quantified using 404 two metrics: the Accuracy and the Hit Rate (HR). The Accuracy indicates the overall spatial 405 coherence and is computed as the number of grid cells co-identified as extreme and non-406 extreme between WRF-Chem and MODIS relative to the total number of cells with valid data. 407 The *HR* weights only correct identification of extremes in MODIS by WRF-Chem.

408 <u>3</u>-Results

409 3.1 Quantifying the value added of increased Model performance as a function of spatial 410 resolution

411 When WRF-Chem is applied at 60 km resolution the degree of association of the resulting 412 spatial fields of mean monthly AOD at the three wavelengths with MODIS varies seasonally. 413 Smallest RMSD and highest Spearman spatial correlations (ρ) with MODIS observations 414 generally occur during months with highest mean AOD (i.e. during summer, Fig. 1 d-f and Fig. 415 3), and reach a maximum in August ($\rho = 0.60$, <u>Table 2Table 2</u>). However, while the patterns 416 of relative AOD variability are well captured, the absolute magnitudes and spatial gradients of 417 AOD during the summer are underestimated by WRF60 (Fig. 1 d-f and Fig. 3, Table S1). High 418 spatial correlations ($\rho > 0.40$) are also observed in March, April and November (Table 2Table 419 2), when the ratio of spatial standard deviations is closer to 1 (Fig. 1 d-f, Table S1). Only a 420 weak wavelength dependence is observed in the performance metrics as described on Taylor 421 diagrams. The spatial variability is generally more negatively biased for AOD at 660 nm (Table 422 S1), indicating that WRF60 simulations tend to produce larger diameter aerosols 423 homogeneously distributed over the domain, whereas MODIS observations indicate more 424 spatial variability.

425 The performance of WRF60 simulations relative to MODIS contrasts with analyses of WRF12 426 and WRF12-remap. WRF12 and WRF12-remap indicate highest spatial correlations with 427 MODIS observations throughout the summer months ($\rho = 0.5-0.7$, Table 2<u>Table 2</u>), although 428 the bias towards simulation of more coarse aerosols than are observed is consistent across the 429 two simulations and with prior research (see details provided in (Crippa et al., 2016)). 430 However, simulations at 12 km (WRF12) show positive ρ with MODIS for all λ in all calendar 431 months, while mean monthly spatial fields of AOD from WRF60 show low and/or negative 432 correlations with MODIS during May, June, September, October and December, indicating 433 substantial differences in the degree of correspondence with MODIS AOD in the two 434 simulations, and higher fidelity of the enhanced resolution runs (Tables 2 and S1).

435 Monthly mean spatial fields of AOD(λ) as simulated by WRF12 or WRF12-remap exhibit 436 positive Spearman correlation coefficients (p) with MODIS observations for all calendar 437 months and range from ~ 0.25 for WRF12-remap (0.20 for WRF12) during winter to ~ 0.70 438 and 0.64, respectively during summer (<u>Table 2</u><u>Table 2</u>). Spearman's ρ are uniformly higher in 439 WRF12-remap than WRF12 indicating a mismatch in space in the high-resolution simulation 440 (i.e. that grid cells with high AOD are slightly displaced in the 12 km simulations possibly due 441 to the presence of sub-grid scale aerosol plumes (Rissman et al., 2013)). Mean monthly fields 442 of AOD (all λ) from both WRF12 and WRF12-remap exhibit lower ρ with MODIS in 443 February-April and November than the 60 km runs (Table 2Table 2). These discrepancies 444 appear to be driven by conditions in the south of the domain. For example, differences between 445 WRF60/WRF12-remap vs. MODIS during all seasons are significant according to the paired 446 t-test over Florida and along most of the southern coastlines (Fig. 2). This region of significant 447 differences extends up to $\sim 40^{\circ}$ N during summer and fall, reflecting the stronger north-south gradient in AOD from MODIS and WRF12-remap that is not captured by WRF60 (see example for $\lambda = 550$ nm, Fig. 3). These enhancements in the latitudinal gradients from WRF12-remap are also manifest in the physical variables (particularly specific humidity as discussed further

451

below).

The differences in the absolute values of mean monthly AOD deriving from differences in the resolution at which WRF-Chem was applied are of sufficient magnitude (a difference of up to 0.2 in regions with a mean AOD value of 0.4), particularly in the summer months (Fig. 4), to raise concerns. However, detailed investigation of the simulations settings and repetition of the 60 km simulation resulted in virtually identical results indicating no fault can be found in the analysis. Further, we note that the eastern-half of North America was also identified as a region of high discrepancy in global ESM (Myhre et al., 2013a).

459 To further investigate differences in the simulation output due to spatial discretization we 460 computed Brier Skill Scores (BSS). In this analysis AOD for each λ from WRF12-remap are 461 used as the 'forecast', output from WRF60 are used as the reference forecast and MODIS 462 observations at 60 km are used as the target. BSS exceed 0 during all months except for 463 September and October, and largest BSS (> 0.5) for AOD (all λ) is found during most months 464 between December and July (Fig. 5a-c). This indicates that running WRF-Chem at 12 km 465 resolution adds value yields higher skill in simulated AOD relative to WRF60, even when the 466 WRF12 output is remapped to 60 km. BSS do not strongly depend on λ , indicating the added 467 value from enhanced resolution similarly affects aerosol particles of different sizes. Inspecting 468 the terms defining the BSS provides information about the origin of the added value (Fig. 5a-469 c). The positive BSS derives principally from the potential skill (first term in Eq. 5), which 470 demonstrates a reduction in bias and/or more accurate representation of the spatial gradients in 471 WRF12-remap. This term exhibits weak seasonality with values below 0.5 only during August 472 and fall months. The second and third terms are close to zero during most months, although 473 bigger biases are found during August-October. The substantial conditional bias during late 474 summer and early fall is the result of the large ratio of standard deviations (> 1, i.e. the spatial 475 variability of the anomaly relative to MODIS is larger for WRF12-remap than WRF60, Table 476 S1). It thus contributes to the negative BSS found in September and October, which are also 477 identified as outlier months in WRF12-remap from the Taylor diagram analysis (Fig. 1). Output 478 for these months show modest spatial correlations with AOD from MODIS and higher ratio of 479 standard deviations than in WRF60-MODIS comparisons (Fig. 1, Table S1). Previous work 480 showed that the lower model skill (in WRF12) during September and October may be partially

attributable to a dry bias in precipitation from WRF-Chem relative to observations. As a result,
simulated AOD and near-surface aerosol nitrate and sulfate concentrations are positively biased
over large parts of the domain (Crippa et al., 2016). Although the effects of the boundary
conditions appear in some variables (e.g. in Fig. 4 and Figs. S1-S3), the BSS results do not
significantly change even when those cells are removed from the analysis.

486 When the BSS is used to assess the skill of each model relative to MODIS AOD climatological 487 mean over the years 2000-2014, WRF12-remap is found to add value relative to the 488 climatology (i.e. BSS >0) during summer months and Nov-Jan whereas BSS for WRF60 is 489 positive from late Fall to early Spring (Fig. 5d). The fact that WRF-Chem does not always 490 outperform the climatology is expected since the model is based on time invariant emissions 491 and skills is are assessed relative to a year selected to be representative of the AOD climatology. 492 Mean seasonal AOD from MODIS retrievals over the study region during 2008 lie within ± 0.2 493 standard deviations of the climatology (Crippa et al., 2016).- Interestingly, BSS for most 494 months (excluding September) are higher for the WRF60 simulations conducted using lateral 495 boundary conditions from NAM12 than GFS.

496 Model resolution also affects the Accuracy and Hit Rate (HR) for identification of areas of 497 extreme AOD (AOD>75th percentile). Highest coherence in the identification of extreme AOD 498 in space identified in WRF12-remap (and WRF12) relative to MODIS is found during May-499 August (HR = 53-77%) vs. WRF60 (HR = 17-54%, Table 3). Conversely highest HR are found 500 for WRF60 and MODIS during winter and early spring, and indeed exceed those for WRF12 501 and WRF12-remap (Table 3, e.g. Feb: HR = 0.78 for WRF60, and 0.67 and 0.68 for WRF12 502 and WRF12-remap, respectively). These differences are consistent with the observation that 503 WRF12-remap overestimates the scales of AOD coherence and AOD magnitude during the 504 cold season along coastlines and over much of the domain in April (Fig. 3).

505 The synthesis of these analyses is thus that the higher resolution simulation increases the 506 overall spatial correlation, decreases overall bias in AOD close to the peak of the solar spectrum 507 relative to MODIS observations and therefore the higher-resolution simulations better 508 represent aerosol direct climate forcing. However, WRF12-remap exhibits little improvement 509 over WRF60 in terms of reproducing the spatial variability of AOD in the visible wavelengths 510 and further that WRF12-remap tends to be more strongly positively biased in terms of mean 511 monthly AOD outside of the summer months (Fig. 2 and Fig. 3). Also the improvement in 512 detection of areas of extreme AOD in the higher resolution simulations (WRF12-remap) is 513 manifest only during the warm season.

514 **3.2 Investigating the origin of the added value and sources of error in simulated AOD**

As documented above, WRF-Chem applied at either 60 or 12 km resolution over eastern North America exhibits some skill in reproducing observed spatial fields of AOD and the occurrence of extreme AOD values. However, marked discrepancies both in space and time are found, and at least some of them show a significant dependence on model resolution. Thus, we investigated a range of physical conditions and gas phase concentrations known to be strongly determinant of aerosol dynamics in terms of the BSS as a function of model resolution and also in terms of the mean monthly spatial patterns.

522 WRF12 even when remapped to 60 km provides more accurate description of key 523 meteorological variables such as specific humidity (Q) within the boundary layer, PBLH, 524 surface temperature and precipitation (see Fig. 6, S1, S2 and S3) when compared to MERRA-525 2, as indicated by the positive BSS during almost all months (Fig. 7a). Good qualitative 526 agreement is observed for the spatial patterns and absolute magnitude of T_{2m} in both WRF60 527 and WRF12-remap relative to MERRA-2 for all seasons (Fig. S1) leading to only modest 528 magnitude of BSS (i.e. value added by the higher resolution simulations (Fig. 7a)). The aerosol 529 size distribution and therefore wavelength specific AOD exhibits a strong sensitivity to Q 530 (Santarpia et al., 2005) due to the presence of hygroscopic components in atmospheric aerosols 531 and thus the role of water uptake in determining aerosol diameter, refractivity and extinction 532 coefficient (Zieger et al., 2013). For example, the hygroscopic growth factor, which indicates 533 the change of aerosol diameter due to water uptake, is ~ 1.4 for pure ammonium sulfate with dry diameter of 532 nm at relative humidity of 80%, thus biases in representation atmospheric 534 535 humidity may lead to big errors in simulated aerosol size and AOD (Flores et al., 2012). Our 536 previous analyses of the 12 km resolution simulations indicated overestimation of sulfate 537 aerosols (a highly hygroscopic aerosol component, and one which in many chemical forms 538 exhibits strong hysteresis (Martin et al., 2004)) relative to observed near-surface PM_{2.5} 539 concentrations during all seasons except for winter (Crippa et al., 2016), leading to the 540 hypothesis that simulated AOD and discrepancies therein may exhibit a strong dependence on 541 Q. Consistent with that postulate, QPBL from WRF12-remap exhibits a moist bias in cloud-free 542 grid cells mostly during warm months, whereas WRF60 is characterized by a dry bias during 543 all seasons (Fig. 6). Despite the positive bias, WRF12-remap better captures the seasonal 544 spatial patterns of *QPBL* in MERRA-2, leading to positive BSS for this variable in all calendar 545 months. Thus, there is added value by higher-resolution simulations in representation of one of 546 the key parameters dictating aerosol particle growth and optical properties. Spatial patterns of 547 differences in Q_{PBL} from WRF60 and WRF12-remap relative to MERRA-2 (Fig. 6) exhibit 548 similarities to differences in AOD (Fig. 4). WRF60 is dry-biased relative to WRF12 549 particularly during the summer (and fall) and underestimates Q_{PBL} relative to MERRA-2 during 550 all seasons over the southern states and over most of continental US during summer and fall. 551 Conversely, WRF12-remap overestimates Q_{PBL} over most of continental US during summer 552 and fall relative to MERRA-2.

553 *PBLH* is a key variable for dictating near-surface aerosol concentrations but is highly sensitive 554 to the physical schemes applied, and biases appear to be domain and resolution dependent. 555 However, this parameter is comparatively difficult to assess because differences in *PBLH* from 556 WRF-Chem and MERRA-2 may also originate from the way they are computed (i.e. from heat 557 diffusivity in MERRA-2 (Jordan et al., 2010) and from turbulent kinetic energy in WRF-Chem 558 (Janjić, 2002; von Engeln and Teixeira, 2013)). Nevertheless, the Mellor-Yamada-Janjich PBL 559 scheme combined with the Noah Land Surface Model applied in this work was found to produce lower PBL heights (Zhang et al., 2009) than other parameterizations. Thus, the positive 560 561 bias in simulated AOD and surface PM2.5 concentrations (reported previously in (Crippa et al., 562 2016)) may be linked to the systematic underestimation of *PBLH* simulated by WRF12-remap 563 over continental US relative to MERRA-2 during all seasons (except winter) with greatest bias over regions of complex topography (Fig. S2). A positive bias (of several hundred meters) in 564 565 terms of *PBLH* for WRF simulations using the MYJ parameterization was previously reported 566 for high-resolution simulations over complex terrain (Rissman et al., 2013), and a positive bias 567 in *PBLH* is also observed in the 60 km simulations presented herein (Fig. S2). This may provide 568 a partial explanation for the strong large negative bias in AOD in WRF60 during summer (Fig. 569 3). In general, the BSS indicate improvement in the simulation of *PBLH* in WRF12-remap than 570 in WRF60 (Fig. 7a).

Consistent with the dry bias in *Q_{PBL}* in WRF60, total accumulated precipitation is also 571 572 underestimated in WRF60, while WRF12-remap captures the absolute magnitudes and the 573 spatial patterns therein (Fig. S3). Analysis Analyses of hourly precipitation rates also showed 574 higher skill of for WRF12-remap than WRF60 in correctly simulating precipitation occurrence 575 (HR) relative to MERRA-2 (Table S2). More specifically WRF12-remap correctly predicts 576 between 40% and 70 % of precipitation events in MERRA-2 with highest skill during winter 577 months, whereas WRF60 output exhibits lower HR (~6% during summer and 30% during 578 winter). This result thus confirms our expectation of a strong sensitivity of model performance 579 to resolution due to the inherent scale dependence in the cumulus scheme. Use of the Grell580 Freitas parameterization in the WRF60 simulations did not lead to substantially different 581 magnitude and/or spatial patterns of precipitation compared to WRF60 applied with the Grell 582 3D scheme, and no improvement in agreement with output from MERRA2. The findings of a 583 negative bias in precipitation amounts in WRF60 simulations without a corresponding 584 overestimation of AOD may appear counter-intuitive since aerosol concentrations (and thus 585 AOD) are dependent on aerosol residence times and analyses of sixteen global models from 586 the AeroCom project indicate wet scavenging is the dominant removal process for most aerosol 587 species in the study area (Hand et al., 2012; Textor et al., 2006). However, the negative 588 precipitation bias in WRF60 simulations appears to <u>also</u> be linked to poor representation of 589 surface moisture availability, boundary layer humidity (Fig. 6), and ultimately aerosol water 590 content (and hence AOD).

591 Gas phase concentrations (transformed into z-scores) from WRF12-remap show higher 592 agreement with satellite observations during almost all months, as indicated by the positive 593 BSS (Fig. 7b). However given the limited availability of valid satellite observations (especially 594 during months with low radiation intensity), the BSS are likely only robust for the summer 595 months for all species. Nevertheless, with the exception of NH₃ during June, BSS for all months 596 are above or close to zero indicating that on average, the enhanced resolution simulations do 597 improve the quality exhibit higher skill in of the simulation of the gas phase species even when 598 remapped to 60 km resolution. Further, the seasonal average spatial patterns of the total 599 columnar concentrations, expressed in terms of z-scores, also exhibit qualitative agreement 600 with the satellite observations (Fig. S4-S7).

601 4 Concluding remarks

This analysis is one of the first to quantify the impact of model spatial resolution on the spatiotemporal variability and magnitude of <u>meteorological and chemical parameters and how</u> representation of these variables impacts AOD, and does so using simulations for a full calendar year. Application of WRF-Chem at two different resolutions (60 km and 12 km) over eastern North America for a representative year (2008) leads to the following conclusions:

Higher-resolution simulations also add value in improve the representation of other-key
 meteorological variables such as temperature, near-surface specific humidity, boundary
 layer height and the occurrence and amount of precipitation precipitation. Both spatial
 patterns and precipitation occurrence are better captured by WRF12-remap, and
 particularly during the summer months the specific humidity within the boundary-layer

- exhibits closer agreement with a reanalysis product when WRF is applied at higher
 resolution. The dry bias in the low-resolution WRF-Chem simulations (60 km) is
 consistent with previous research over eastern North America, and is manifest in
 simulations with two different cumulus parameterizations and two different data sets
 for the LBC (GFS and NAM-12).
- 617 <u>- More accurate representation of spatial patterns and magnitude</u>concentration of gaseous
 618 <u>species that either play a key role in particle formation and growth or are indicators of</u>
 619 primary aerosol emissions is also achieved by running WRF-Chem at high resolution.
- Partly/largely due to the improved fidelity of key meteorological parameters and gas phase aerosol precursor species, hHigher resolution simulations enhance the fidelity of
 AOD representation at and near to the peak in the solar spectrum) relative to a coarser
 run. although the improvement in model performance is not uniform in space and time.
- 624 <u>The higher skill of WRF12 and WRF12 remap appear to be linked to the improved</u> 625 <u>representation of meteorological and chemical parameters.</u> At least some of the
- 626 <u>improvement in the accuracy with which AOD is reproduced in the higher resolution</u>
- 627simulations may be due to improved fidelity of specific humidity and thus more628accurate representation of hygroscopic growth of some aerosol components. Spatial
- 629 correlations of AOD from WRF12 and WRF12-remap with observations from MODIS
 630 are higher than AOD from a simulation conducted at 60 km during most months.
- 631 WRF12 show positive spatial correlations with MODIS for all λ in all calendar months,
- 632 and particularly during summer ($\rho = 0.5-0.7$). However, the improvement in model 633 performance is not uniform in space and time.
- 634Spatial correlations of AOD from WRF12 and WRF12-remap with observations from635MODIS are higher than AOD from a simulation conducted at 60 km during most636months. WRF12 show positive spatial correlations with MODIS for all λ in all calendar637months, and particularly during summer ($\rho = 0.5$ -0.7).
- 638 Output from WRF12 and WRF12-remap exhibit highest accord with MODIS
 639 observations in capturing the frequency, magnitude and location of extreme AOD
 640 values during summer when AOD is typically highest. During May-August WRF12 641 remap has *Hit Rates* for identification of extreme AOD of 53-78%.
- 642 <u>At coarse resolution, WRF-Chem exhibits less sensitivity to the adopted lateral</u> 643 <u>boundary conditions and cumulus scheme than to its spatial resolution.</u>
- 644 <u>Naturally, there is a need for more research regarding the sensitivity of WRF-Chem</u>

- 645 <u>simulations of climate relevant aerosol properties to the parameterizations used, the lateral</u>
 646 <u>boundary conditions employed and the resolution at which the simulations are conducted.</u>
 647 <u>Further, the contribution of the sole enhanced spatial resolution to the added value in AOD still</u>
 648 <u>needs to be quantified by identifying simulation settings that allow a bias reduction in all</u>
 649 variables affecting AOD and will be part of future investigations.
- 650 —
- Higher resolution simulations add value (i.e. enhance the fidelity of AOD at and near to the peak in the solar spectrum) relative to a coarser run, although the improvement in model performance is not uniform in space and time. Brier Skill Scores for the remapped simulations (i.e. output from simulations conducted at 12 km (WRF12) then averaged to 60 km, WRF12-remap) are positive for ten of twelve calendar moths, and for AOD(λ=550 nm) exceed 0.5 for seven of twelve months.
- Spatial correlations of output from WRF12 and WRF12-remap with observations from MODIS are higher than output from a simulation conducted at 60 km during most months. For example, in contrast to WRF-Chem simulations at 60 km (WRF60), simulations conducted at 12 km (WRF12) show positive spatial correlations with MODIS for all λ in all calendar months, and particularly during summer (p = 0.5-0.7).
 Output from WRF12 and WRF12 remap exhibit highest accord with MODIS observations in capturing the frequency, magnitude and location of extreme AOD
- values during summer when AOD is typically highest. During May-August WRF12 remap has *Hit Rates* for identification of extreme AOD of 53-78%.
- Higher-resolution simulations also add value in the representation of other key
 meteorological variables such as temperature, boundary layer height and precipitation.
 Both spatial patterns and precipitation occurrence are better captured by WRF12 remap.
- At least some of the improvement in the accuracy with which AOD is reproduced in
 the higher resolution simulations may be due to improved fidelity of specific humidity
 and thus more accurate representation of hygroscopic growth of some aerosol
 components.
- More accurate representation of spatial patterns and magnitude of gaseous species that
 play a key role in particle formation and growth is also achieved by running WRF Chem at high resolution.

677 It is worthy of note that even the 12 km resolution WRF-Chem simulations exhibit substantial differences in AOD relative to MODIS over eastern North America, and the agreement varies 678 679 only slightly with wavelength. This may be partially attributable to use of the modal approach 680 to represent the aerosol size distribution in order to enhance computational tractability. In this 681 application each mode has a fixed geometric standard deviation (σ_g), which can lead to biases 682 in simulated AOD in the visible wavelengths by up to 25% (Brock et al., 2016) (with the model 683 overestimating observations if the prescribed σ_g is larger than the observed one). Setting $\sigma_g =$ 684 2 for the accumulation mode (the default in WRF-Chem) may lead to an overestimation of the 685 number of particles at the end of the accumulation mode tail, and there is evidence that a value of $\sigma_{g,acc}$ =1.40 leads to higher agreement with observations (Mann et al., 2012). Further possible 686 687 sources of the AOD biases reported herein derive from selection of the physical schemes (e.g. 688 planetary boundary layer (PBL) schemes and land-surface model (Misenis and Zhang, 689 2010;Zhang et al., 2009)). Further, it is worth mentioning that NEI emissions are specified 690 based on an average summertime weekday, so enhanced model performance might be achieved 691 if seasonally varying emissions were available.

692 <u>Naturally, there is a need for more research regarding the sensitivity of WRF-Chem simulations</u>

693 of climate relevant aerosol properties to the parameterizations used, the lateral boundary

694 <u>conditions employed and the resolution at which the simulations are conducted. –Further,</u>

695 <u>attribution of added-value in the simulation of AOD by enhanced spatial resolution is necessary</u>

696 <u>and will be facilitated by identifying simulation settings that minimize bias in the variables</u>

697 <u>affecting AOD. This research will be part of future investigations.</u>

698 Naturally, there is a need for more research regarding the sensitivity of WRF-Chem simulations

699 of climate relevant aerosol properties to the parameterizations used, the lateral boundary

700 conditions employed and the resolution at which the simulations are conducted.

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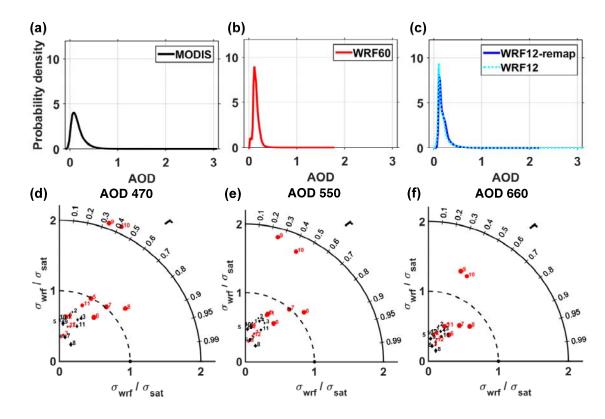
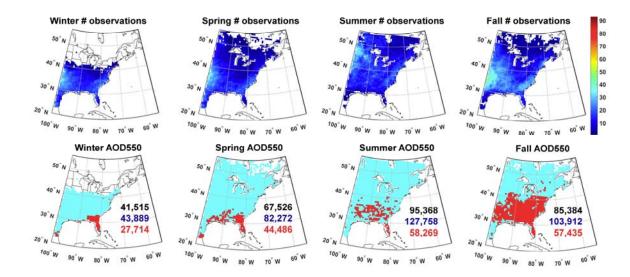


Figure 1. Probability density function of once daily AOD at a wavelength (λ) of 550 nm for (a) MODIS, (b) WRF60 and (c) WRF12 and WRF12-remap during the year 2008. (df) Taylor diagrams of mean monthly AOD at wavelengths (λ) of (d) 470, (e) 550 and (f) 660 nm as simulated by WRF-Chem at different resolutions (black diamonds=WRF60 and red dots=WRF12-remap) relative to MODIS observations. The numbers by each symbol denote the calendar month (e.g. 1=January).



992 Figure 2. First line: Number of paired AOD observations at a wavelength (λ) of 550 nm 993 (i.e. simultaneous values as output from WRF-Chem and observed by MODIS) used to 994 perform a t-test designed to evaluate whether the difference computed for each grid cell 995 as WRF60-MODIS differs from that computed as WRF12-remap-MODIS on a seasonal 996 basis (columns show Winter (DJF), Spring (MAM), Summer (JJA) and Fall (SON)). 997 Second line: Results of the t-test. Pixels that have p-values that are significantly different 998 at α =0.10 are indicated in red and have been corrected for multiple testing using a False 999 Discovery Rate approach. The number of observations of cloud-free conditions summed 1000 across all days in each season and all grid cells is also reported (black=MODIS, 1001 blue=WRF60, red=WRF12-remap).

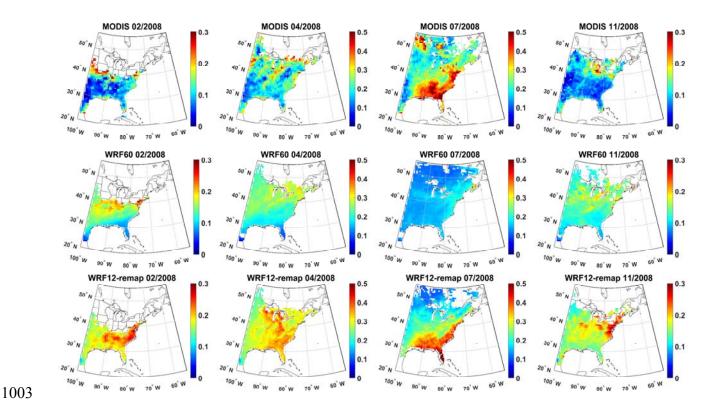


Figure 3. Monthly mean AOD at a wavelength (λ) of 550 nm from MODIS (first line) and WRF-Chem at different resolutions (WRF60 and WRF12-remap, second and third line) during a representative month in each climatological season (columns). Note that a different color scale is applied for different months. For a monthly mean value for a grid cell to be shown, there must be at least 5-simultaneous daily values (for the time of the satellite overpass) available.

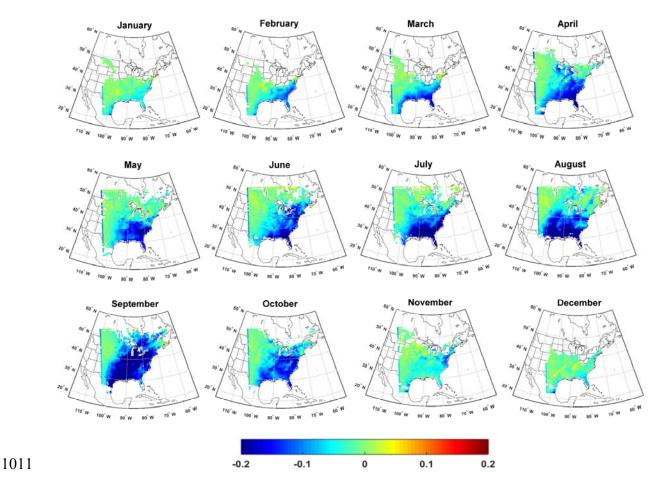


Figure 4. Difference in monthly mean AOD at a wavelength (λ) of 550 nm between WRF-Chem simulations conducted at 60 km resolution (WRF60) and output from WRF-Chem simulations conducted with a resolution of 12 km but remapped to 60 km (WRF12remap). Differences are computed as WRF60 minus WRF12-remap. Similar spatial patterns and magnitudes of differences are found for λ of 470 and 660 nm. The calendar months of 2008 are shown in the titles of each panel.

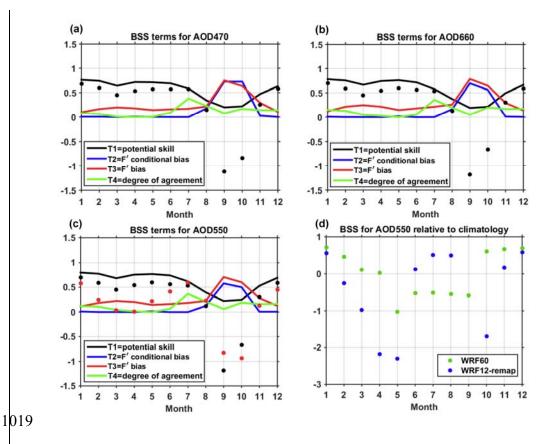


Figure 5. (a-c) Brier Skill Scores (BSS, black dots) for monthly mean AOD by calendar 1020 month (1=January) for AOD at 470, 550 and 660 nm. In this analysis of model skill 1021 WRF12 output is mapped to the WRF60 grid (WRF12-remap) and BSS are computed 1022 1023 using MODIS as the target, WRF60 (driven by NAM12 meteorological boundary 1024 conditions) as the reference forecast and WRF12-remap as the forecast. Also shown by 1025 the color lines are the contributions of different terms to BSS. In panel c the red dots 1026 indicate BSS when the reference forecast is WRF60 driven by GFS meteorological 1027 boundary conditions. (d) BSS of monthly mean AOD from WRF60 (green dots) and 1028 WRF12-remap (blue dots) relative to MODIS monthly mean climatology during 2000-1029 2014 (reference forecast). Monthly mean AOD from MODIS are used as the target. BSS 1030 for WRF12-remap in September is -6.1.

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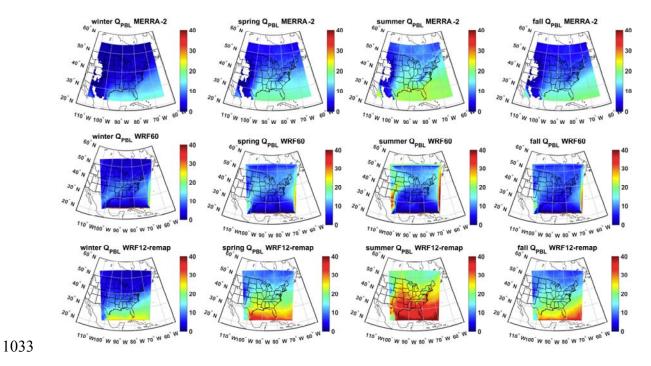
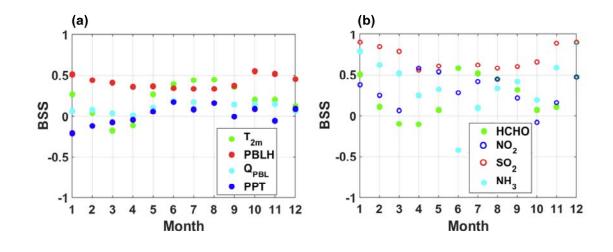


Figure 6. Seasonal mean specific humidity [kg m⁻²] integrated from the surface to 825 hPa (*Q*_{PBL}) from MERRA-2 (first row) assuming an average air density in the *PBL* of 1.1 kg m⁻³, WRF60 (second row), and WRF12-remap (third row). The data are 3-hourly and show only cloud-free hours in all three data sets.



1039

1040 Figure 7. Brier Skill Scores (BSS) for key (a) meteorological and (b) chemical variables.

1041 BSS are computed using hourly data of T at $2m(T_{2m})$ and *PBLH*, 3-hourly estimates of

1042 specific humidity in the boundary layer (Q_{PBL}), and z-scores of monthly total precipitation

1043 (PPT), and of monthly mean columnar gas phase concentrations.

1044

1046 Tables

1047 Table 1. Physical and chemical schemes adopted in the WRF-Chem simulations presented 1048 herein.

Simulation settings	Values
Domain size	$300 \times 300 (60 \times 60)$ grid points
Horizontal resolution	12 km (60 km)
Vertical resolution	32 levels up to 50 hPa
Timestep for physics	72 s (300 s)
Timestep for chemistry	5 s
Physics option	Adopted scheme
Microphysics	WRF Single-Moment 5-class (Hong et al., 2004)
Longwave Radiation	Rapid Radiative Transfer Model (RRTM) (Mlawer et al., 1997)
Shortwave Radiation	Goddard (Fast et al., 2006)
Surface layer	Monin Obhukov similarity (Janjić, 2002;Janjić, 1994)
Land Surface	Noah Land Surface Model (Chen and Dudhia, 2001)
Planetary boundary layer	Mellor-Yamada-Janjich (Janjić, 1994)
Cumulus parameterizations	Grell 3D (Grell and Dévényi, 2002)
Chemistry option	Adopted scheme
Photolysis	Fast J (Wild et al., 2000)
Gas-phase chemistry	RADM2 (Stockwell et al., 1990)
Aerosols	MADE/SORGAM (Ackermann et al., 1998;Schell et al., 2001)
Anthropogenic emissions	NEI (2005) (US-EPA, 2009)
Biogenic emissions	Guenther, from USGS land use classification (Guenther et al., 1994;Guenther et al., 1993;Simpson et al., 1995)

1049

1051 Table 2. Spearman correlation coefficients (ρ) between AOD at wavelengths (λ) of 470, 1052 550 and 660 nm from MODIS observations averaged over 12 or 60 km and WRF-Chem 1053 simulations conducted at 60 km (WRF60, shown in the table as -60), at 12 km (WRF12, 1054 shown in the table as -12), and from WRF-Chem simulations at 12 km but remapped to 1055 60 km (WRF12-remap, shown in the table as -remap). Given WRF12-remap is obtained 1056 by averaging WRF12 when at least half of the 5×5 12 km resolution cells contain valid 1057 data, ρ from WRF60 and WRF12-remap may be computed on slightly different 1058 observations and sample size. The bold text denotes correlation coefficients that are significant at α =0.05 after a Bonferroni correction is applied (i.e. $p \le \frac{0.05}{9 \times 12} = 4.63 \times 10^{-4}$ 1059

1060is significant). The yellow shading is a visual guide that shows for each month and λ the1061model output that has highest ρ with MODIS.

Month→/ Variable↓	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
470-12	0.238	0.150	0.137	0.147	0.377	0.581	0.610	0.723	0.352	0.306	0.259	0.212
470-60	0.156	0.226	0.438	0.412	-0.219	-0.146	0.379	0.601	0.087	-0.051	0.500	-0.059
470-remap	0.295	0.197	0.250	0.182	0.516	0.637	0.675	0.777	0.368	0.441	0.315	0.274
550-12	0.223	0.124	0.142	0.146	0.349	0.541	0.580	0.689	0.275	0.301	0.280	0.215
550-60	0.179	0.244	0.429	0.332	-0.288	-0.188	0.324	0.567	0.073	-0.077	0.491	0.002
550-remap	0.297	0.164	0.261	0.199	0.493	0.605	0.651	0.747	0.286	0.437	0.352	0.309
660-12	0.217	0.136	0.165	0.152	0.324	0.476	0.540	0.644	0.183	0.290	0.292	0.221
660-60	0.191	0.230	0.437	0.402	-0.305	-0.189	0.389	0.616	0.099	-0.137	0.536	0.049
660-remap	0.356	0.211	0.289	0.208	0.480	0.624	0.669	0.772	0.371	0.432	0.393	0.368

Table 3. Spatial coherence in the identification of extreme AOD values (i.e. areas with AOD>75th percentile over space for each month) between WRF-Chem at different resolutions relative to MODIS. No significant wavelength dependence is found for model skill in identifying extreme AOD so results are only shown for $\lambda = 550$ nm. The different model output is denoted by -60 for simulations at 60 km, -12 for simulations at 12 km resolution, and as -remap for simulations at 12 km but with the output remapped to 60 km. The Accuracy (Acc) indicates the fraction of grid cells co-identified as extremes and non-extremes between WRF-Chem and MODIS relative to the total number of cells with valid data. The *Hit Rate (HR)* is the probability of correct forecast and is the proportion of cells correctly identified as extremes by both WRF-Chem and MODIS. The yellow shading indicates the model resolution with highest skill in each month for AOD at 550 nm.

Month/	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Metric↓	bull	100	1,1111	ripi	may	0 dil	our	Tug	Sep		1101	
Acc-12	0.673	0.665	0.659	0.638	0.710	0.800	0.855	0.839	0.666	0.679	0.723	0.661
Acc-60	0.707	0.778	0.735	0.730	0.600	0.587	0.658	0.769	0.661	0.637	0.729	0.681
Acc-												
remap	0.674	0.680	0.694	0.640	0.766	0.824	0.887	0.837	0.667	0.699	0.767	0.641
HR-12	0.346	0.331	0.319	0.275	0.421	0.599	0.711	0.678	0.333	0.358	0.447	0.323
HR-60	0.417	0.558	0.471	0.460	0.200	0.173	0.315	0.538	0.321	0.274	0.458	0.364
HR-												
remap	0.350	0.361	0.387	0.281	0.532	0.649	0.775	0.674	0.333	0.399	0.535	0.284

Supplementary Materials for the manuscript:

The impact of resolution on meteorological, chemical and aerosol properties in regional simulations with WRF-Chem

Value-added by high-resolution regional simulations of climate-relevant aerosol properties

P. Crippa¹, R. C. Sullivan², A. Thota³, S. C. Pryor^{2,3}

- COMET, School of Civil Engineering and Geosciences, Cassie Building, Newcastle University, Newcastle upon Tyne, NE1 7RU, UK
- [2] Department of Earth and Atmospheric Sciences, Bradfield Hall, 306 Tower Road, Cornell University, Ithaca, NY 14853, USA
- [3] Pervasive Technology Institute, Indiana University, Bloomington, IN 47405, USA

Table S1. Ratio of spatial variability (i.e. the standard deviation of AOD computed across all grid cells) between AOD at wavelengths (λ) of 470, 550 and 660 nm from MODIS observations mapped at 60 km and WRF-Chem simulations conducted at 60 km resolution (WRF60, shown in the table as -60), at 12 km resolution (WRF12, shown in the table as -12), and from WRF-Chem simulations at 12 km but remapped to 60 km (WRF12-remap, shown in the table as -remap). Given WRF12-remap is obtained by averaging WRF12 when at least half of the 5×5 12 km resolution cells contain valid data, the ratio of standard deviations from WRF60 and WRF12-remap may be computed on slightly different observations and sample size. The yellow shading shows for each month and λ the model with ratio of standard deviations closer to 1.

Month→/ Variable↓	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
470-12	0.489	0.581	0.382	0.595	0.806	0.802	1.033	1.20	1.935	1.698	0.766	0.457
470-60	0.615	0.717	0.682	0.648	0.556	0.331	0.353	0.291	0.541	0.605	0.562	0.564
470-remap	0.522	0.630	0.380	0.644	0.993	0.791	1.018	1.194	2.079	2.099	0.853	0.512
550-12	0.406	0.475	0.307	0.480	0.630	0.690	0.996	1.106	1.709	1.401	0.663	0.370
550-60	0.578	0.663	0.629	0.624	0.502	0.302	0.327	0.274	0.480	0.525	0.518	0.505
550-remap	0.431	0.503	0.299	0.524	0.764	0.693	0.990	1.110	1.872	1.758	0.745	0.396
660-12	0.401	0.454	0.283	0.462	0.571	0.671	1.004	1.114	1.684	1.343	0.665	0.351
660-60	0.458	0.531	0.497	0.462	0.378	0.214	0.225	0.184	0.328	0.391	0.402	0.405
660-remap	0.342	0.393	0.235	0.391	0.553	0.474	0.676	0.777	1.369	1.331	0.557	0.307

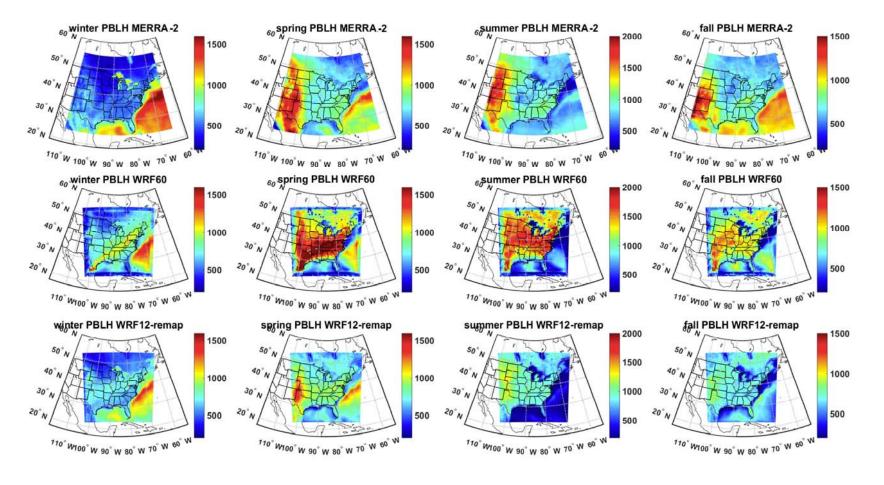
Table S2. Spatial coherence in the identification of hourly precipitation between WRF-Chem at different resolutions relative to MERRA-2. The Hit Rate (*HR*) indicates the probability of correct forecast and is the proportion of cells correctly identified as with precipitation by both WRF-Chem and MERRA-2. The Mean Fractional Bias (MFB) in space is also reported for each month and computed from the hourly precipitation rates. The yellow shading indicates the model resolution with highest HR and lower absolute MFB in each month for precipitation.

Month→/ Metric↓	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
HR-60	0.344	0.298	0.228	0.122	0.083	0.072	0.057	0.059	0.067	0.078	0.154	0.218
HR-remap	0.698	0.715	0.680	0.539	0.402	0.440	0.479	0.438	0.438	0.454	0.581	0.666
MFB-60	-0.340	-0.347	-0.384	-0.442	-0.462	-0.468	-0.475	-0.474	-0.469	-0.459	-0.423	-0.385
MFB-12	-0.095	-0.068	-0.065	-0.168	-0.273	-0.269	-0.260	-0.274	-0.281	-0.261	-0.170	-0.119

winter T_{2m} MERRA-2 spring T_{2m} MERRA-2 summer T_{2m} MERRA-2 fall T_{2m} MERRA-2 60° N 60 N 60°, 60 . 50° 50° 50° 50° 40° N 40°, 40° A 30° A 30° 20° N 20° N ¹10° W 100° W 90° W 80° W 70° W 60° W 250 ^{110°}W 100°W 90°W 80°W 70°W 60° ^{110°}W 100°W 90°W 80°W 70°W 60 ^{110°}W 100°W 90°W 80°W 70°W 60 summer T_{2m} WRF60 fall T_{2m} WRF60 winter T_{2m} WRF60 spring T_{2m} WRF60 60° N 60"N 60"N 50°, 50° N 50"N 50° 40 % 30 , 30° N 20° N 20°N 20° N 20° N ^{110°} W100° W 90° W 80° W 70° W 60° W ^{110°} W100° W 90° W 80° W 70° W 60° W ^{110°} W100° W 90° W 80° W 70° W 60° W ^{110°} W100° W 90° W 80° W 70° W 60° W fall T WRF12-remap winter T_{2m} WRF12-remap spring T WRF12-remap summer T_{2m} WRF12-remap 50° 50° 50° A 40° 30°. 20° N 20° 20° A ^{110°} W100° W 90° W 80° W 70° W 60° W ^{110°} W100° W 90° W 80° W 70° W 60° W 110° W100° W 90° W 80° W 70° W 60° W 110° W100" W 90° W 80" W 70° W 60° W

Figure S1. Seasonal mean of hourly temperature at 2 meters [K] from MERRA-2 (first row), WRF60 (second row), and WRF12-remap (third row), for simultaneous data from all three datasets.

Figure S2. Seasonal average of hourly Planetary Boundary Layer Height, *PBLH* [m] from MERRA-2 (first row), WRF60 (second row), and WRF12-remap (third row), for simultaneous hours of the three datasets.



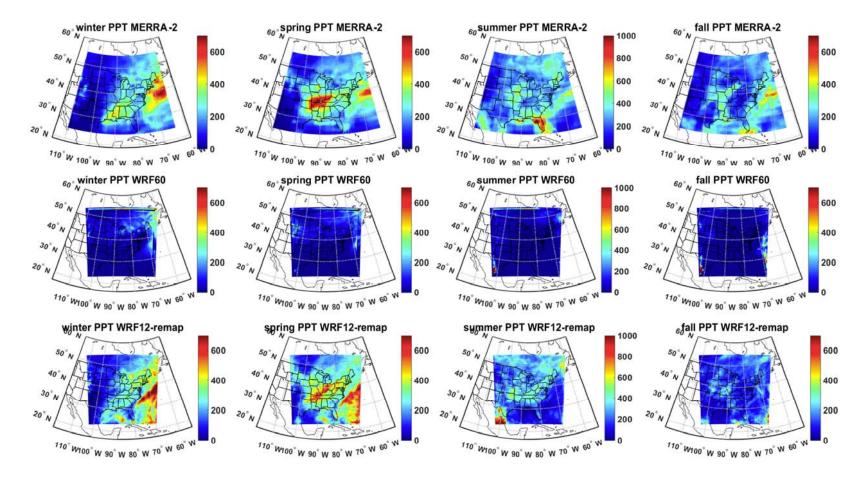


Figure S3. Seasonal total precipitation (mm) from MERRA-2 (first row), WRF60 (second row), and WRF12-remap (third row).

Figure S4. Seasonal total column SO₂ z-scores from OMI (first row), WRF60 (second row), and WRF12-remap (third row). z-scores are computed relative to the spatial seasonal mean of each dataset and indicate the distance from the mean in terms of standard deviation units. A cloud screen of 0.3 is applied to both satellite observations and simulated values. Only grid cells with at least 5 valid observations in a month are used to compute a mean value, otherwise the grid cell is shown as white.

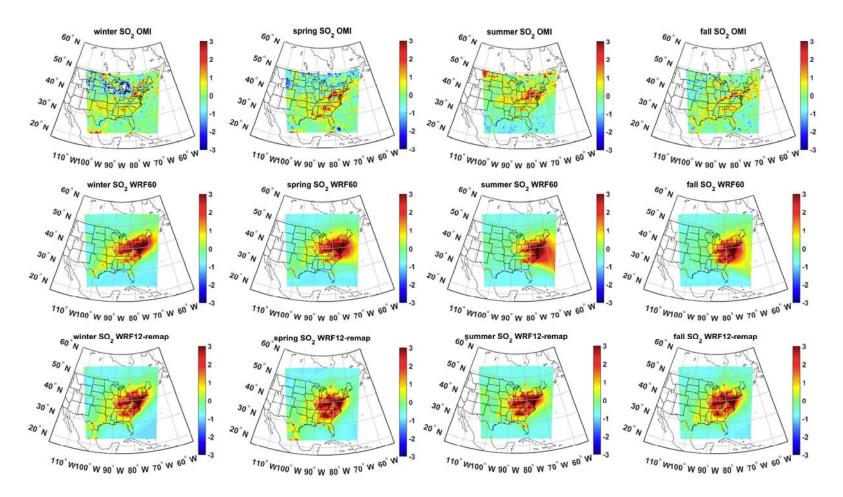


Figure S5. Seasonal total column NO₂ z-scores from OMI (first row), WRF60 (second row), and WRF12-remap (third row). z-scores are computed relative to the spatial seasonal mean of each dataset and indicate the distance from the mean in terms of standard deviation units. A cloud screen of 0.3 is applied to both satellite observations and simulated values. Only grid cells with at least 5 valid observations in a month are used to compute a mean value, otherwise the grid cell is shown as white.

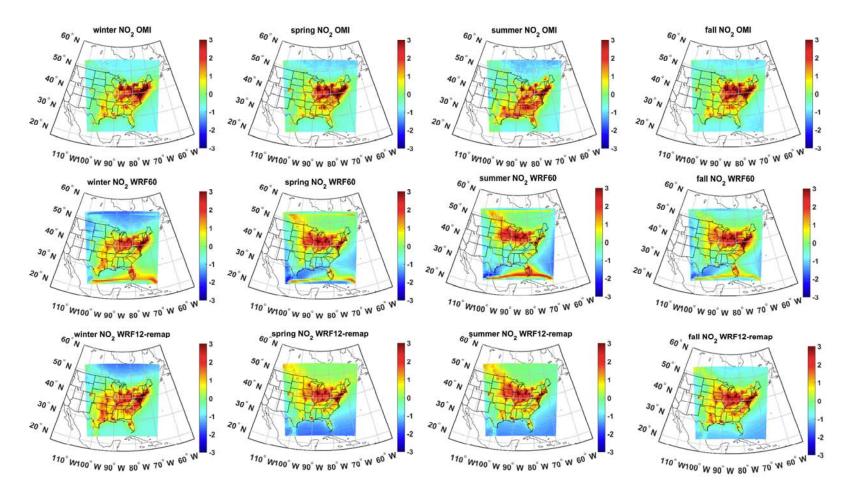


Figure S6. Seasonal total column NH₃ z-scores from OMI (first row), WRF60 (second row), and WRF12-remap (third row). z-scores are computed relative to the spatial seasonal mean of each dataset and indicate the distance from the mean in terms of standard deviation units. A cloud screen of 0.3 is applied to both satellite observations and simulated values. Only grid cells with at least 5 valid observations in a month are used to compute a mean value, otherwise the grid cell is shown as white.

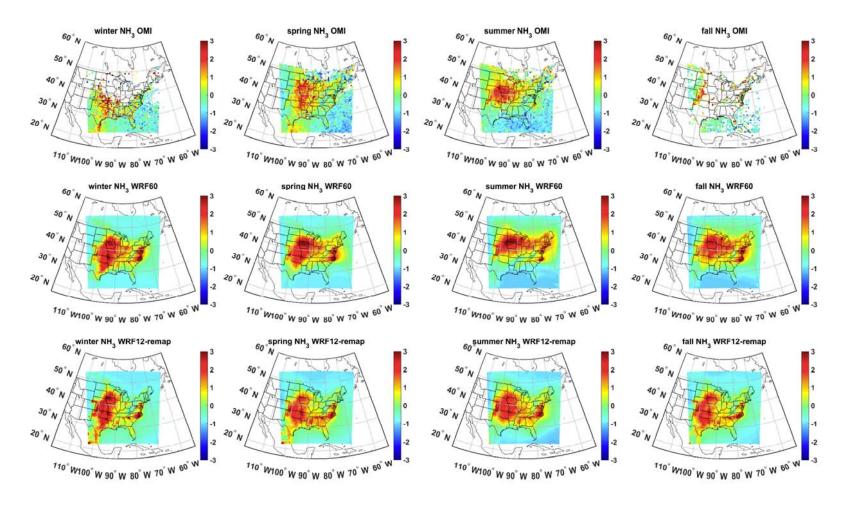


Figure S7. Seasonal total column HCHO z-scores from OMI (first row), WRF60 (second row), and WRF12-remap (third row). z-scores are computed relative to the spatial seasonal mean of each dataset and indicate the distance from the mean in terms of standard deviation units. A cloud screen of 0.3 is applied to both satellite observations and simulated values. Only grid cells with at least 5 valid observations in a month are used to compute a mean value, otherwise the grid cell is shown as white.

