

We greatly appreciate the thoughtful comments provided by the reviewers. We have responded to each comment of each reviewer (blue) outlined below and have modified the manuscript in response to these comments. (Further, a marked-up manuscript version is included)

Interactive comment on “Aerosols, Clouds, and Precipitation in the North-Atlantic Trades Observed During the Barbados Aerosol Cloud Experiment. Part I: Distributions and Variability” by E. Jung et al.

Anonymous Referee #1

Received and published: 28 March 2016

See attached pdf file for my comments on this manuscript.

Please also note the supplement to this comment:

<http://www.atmos-chem-phys-discuss.net/acp-2016-45/acp-2016-45-RC1-supplement.pdf>

Review on

“Aerosols, Clouds, and Precipitation in the North-Atlantic Trades Observed During the Barbados Aerosol Cloud Experiment. Part I: Distributions and Variability” by Jung et al.

General Comment:

This paper presents a nice overview of „aerosol, cloud, and precipitation features“ as measured over Barbados. Airborne data has been sampled in-situ combined with a cloud radar during 12 research flight. My overall opinion is that this paper has the clear motivation to characterize the observations without going into much detail of individual findings. I have no concerns about this strategy, in particular because the observation period nicely covers the three typical aerosol types typically observed at Barbados. However, at some points (see specific comments) a somewhat deeper analysis and discussion of individual findings would improve the paper instead of referring to another promised upcoming paper. However, I have a few major critical points which have to be seriously discussed before I can suggest this paper for publication.

1.) **Page 5, beginning of sec 2.5:** I have serious doubts about this method of simply combining two size distributions with one of it is sampled under ambient and the other under dry conditions. The two size distributions may line up well but this could be really by chance! A careful analysis of this issue is absolute necessary. A lot of bigger aerosol might be sea salt particles which are highly hygroscopic and there should be a big difference if you measure it under dry or ambient conditions. Please include at least error bars which describe this effect! This is a serious point which needs more careful discussion.

In the revised manuscript, DSDs are not combined. Additionally, discrepancy occurring in ranges where two probe measurements overlay is discussed further in the text (in section 3.3.2).

2.) **Page 9, Sec 3.3.2:** Do I correctly understand? The PSD is averaged over all flight height for one individual flight - including sub-cloud layer and cloud layer heights? Does this make sense? Why not at least distinguish between sub-cloud layer and cloud layer aerosol?

I have serious doubts about the representativeness of these size distributions. In particular for the situation where dust is advected the size distribution should be a strong function of height.

Furthermore, all size distributions show more or less exactly the same general structure/shape with characteristic peaks and shoulder at the same size. It looks like that the distributions differ only due to

dilution although you mentioned that you had three different types of aerosol loading? Although the y-axis is logarithmic this seems quite strange. I discussed this issue with an expert for OPC measurements and we both wonder if this could be an instrument artefact. Please discuss this issue in detail.

Figure 6 shows PSD calculated from PCASP (integrated aerosols in the boundary layer), whereas Fig. 7 shows DSD combined from PCASP with CAS at sub-cloud layer.

PSDs in Fig. 6 were calculated from all available PCASP measurements made on pseudo-soundings and level flights for a given day, *when no liquid water was detected*, to give daily flight-averaged PSDs (L14-15, p.9). Therefore, PSDs shown in Fig. 6 does not include DSD from clouds.

We agree that the DSD should be a strong function of height especially when dust is advected (i.e., during the transition period). The manuscript clarifies the purpose of Fig. 6 is to show the daily flight-averaged PSDs. It shows that the overall shapes of PSD are similar during the BACEX **except for the transition period**. When we examined the individual size distributions there was plenty of variability in the DSD shapes. But “flight duration averages (i.e., flight-averaged PSD)” all seem to have the same shape in the boundary layer, only different concentration. Maybe there is some steady state distribution of particles on the average pretty general in the boundary layer, and the time-scale of the determining processes short enough that the shapes get to this equilibrium state fairly fast. During pre- and post-dust periods, a single type of aerosol predominates (maritime aerosol or dust). In contrast, dust particles begin to appear/disappear during the transition periods. This may be why two distinctive DSD shapes are evident during the transition periods (In the manuscript, DSDs of 29 March and 30 March were exemplified).

PSDs in Fig. 6 indicate the integrated particle size distribution in the boundary layer. Therefore, the shapes of PSD in Fig. 6 reflect the overall concentrations/components of the aerosols in the boundary layer (single or multiple sources of aerosols). Figure 7, on the other hand, shows the DSDs obtained from sub-cloud layers. Hence, the PSDs in Fig. 7 reflect the effects of aerosol types at particular height (in this case, sub-cloud layer) on the shape of PSD. PSDs in Fig. 7 clearly show the different shapes of PSDs that depend on the predominant aerosol types.

In a revised manuscript, PSD in the sub-cloud layer is added in Fig. 6b to show the differences in PSD with height (integrated PSD in Fig. 6a versus PSDs in sub-cloud layer in Fig. 6b). Figure 6 shows the differences in PSDs for dusty and non-dusty days.

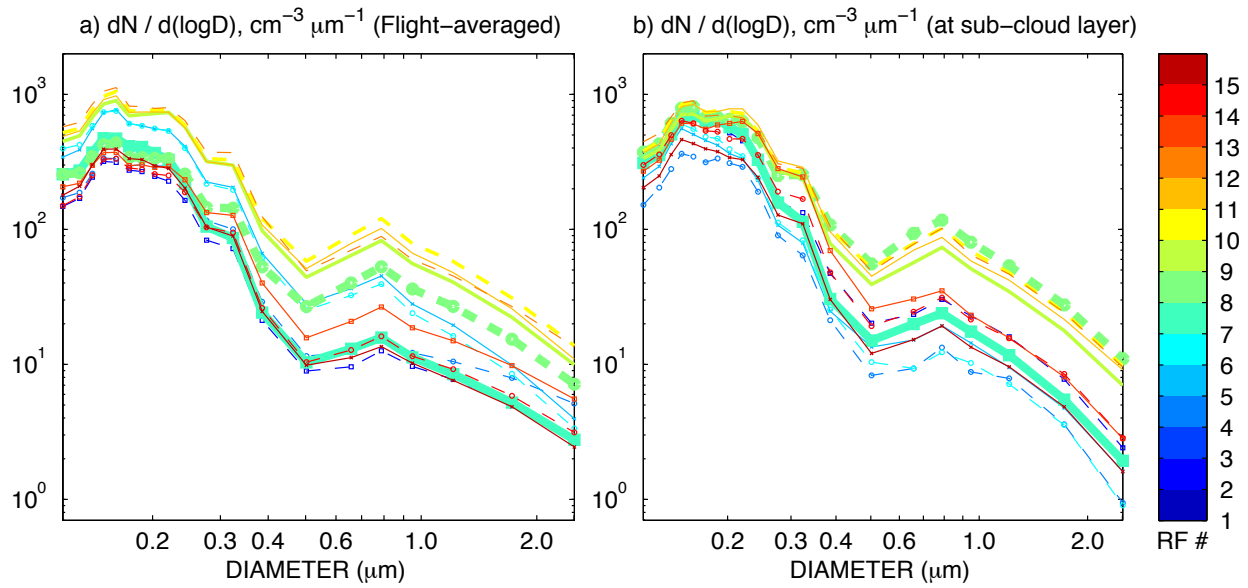


Figure 6. Daily averaged aerosol particle size distributions (PSDs) that obtained from the PCASP for (a) all cloud-free flights and (b) sub-cloud layer flights. Color bar indicates the research flight number (RF #), shown in Table A1. PSDs from the odd (even) RF numbers are shown as solid (dashed) lines. PSDs estimated between RF07 and RF10 (3/29, 3/30, 3/31, 4/1) are denoted as bold lines. PSDs of RF01 (3/19) and RF03 (3/23) are not shown due to the instrument malfunction (RF01) and the absence of PCASP data (RF03) for these days.

In the revised manuscript, DSDs are not combined from PCASP and CAS. Instead both DSDs are overlaid. DSDs shown in the bold lines are obtained from PCASP.

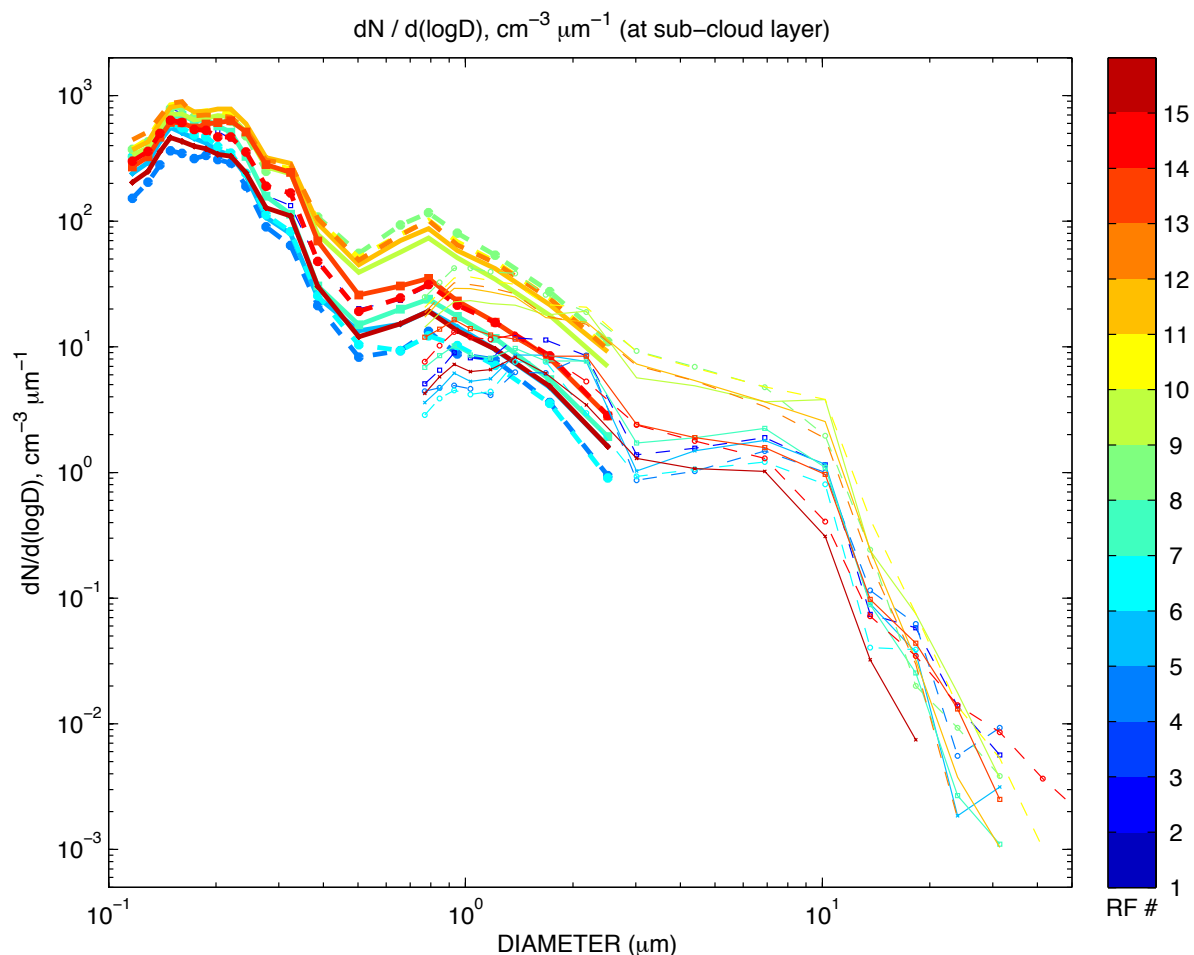


Figure 7. Daily averaged aerosol particle size distributions (PSDs) for the sub-cloud level flights. PSDs obtained from PCASP (0.1 μm to 2.5 μm) and CAS (0.6 μm to 60 μm) probes are shown as bold and thin lines, respectively. The color bar indicates the research flight number (RF #) presented in Table A1. PSDs from the odd (even) number of RF are shown as solid (dashed) lines. PSD of RF01 (19 March) and RF03 (23 March) are not shown due to the instrument malfunction and the absence of PCASP data for the days.

3.) **Page 16 (Summary):** This section is quite often a word-by-word summarize of the previous sections. The discussion is missed out completely. I totally understand that for a data overview paper a discussion is not a trivial task but repeating all the observations word by word is not a convincing solution.

Revised as “summary and conclusions” since the section summarized the findings of the study. In addition the authors added a more general statement to this section at the end.

Specific Comments:

Page 2, line 3ff: How are your findings biased by the sampling strategy - is it natural to assume that one tries to hit the bigger clouds which bias the frequency of observed cloud types?

We don't believe the sampling strategy is biased to the bigger clouds. However, it is possible that the pilot tries to avoid strong updrafts or downdrafts, although strong updrafts/downdrafts are not common in the shallow cumulus cloud fields. We did not particularly try to target the larger clouds. We first select good cloud fields and then sampled them flying near the cloud-base, mid-cloud and cloud-top.

P2, l 15ff: One might mention at least a few open questions. "Cloud have to better understood" is a quite generic statement.

Changes were made in the text as follows: Recent studies indicate that these clouds are the cause of the largest uncertainty in tropical cloud feedbacks in the climate system (e.g. Bony and Dufresne, 2005; IPCC, 2013) and therefore the characteristics and distributions of their variability must be better defined.

P2, l 17: Don't forget Malkus' landmark papers here; although these experiments were smaller three nice papers came out of it.

References are added in the introduction and changes are made accordingly as well.

P2, l 31: Why is Barbados a perfect place for such studies?- Be more specific here.

The reasons are given in L24, p2 - L1, p3.

P4, l 6: "PVM-100 water content" is not a parameter - please use liquid water content instead.

Revised as suggested.

P4, l 8: Provide location of the company, use „Inc“ instead „inc“.

Revised as suggested.

P4, l 10ff: You should mention at this point which instrument measures under environmental conditions and which one is a closed system which samples under dried conditions - it's important here!

Revised as follows in the manuscript: Particles in PCASP, CPC and CCN are analyzed after they are been passed into the instruments. In the process, they warm up and dry out, which is really only an issue as far as the PCASP is concerned. The other instruments (i.e., CPC and CCN counter) grow the particles by condensing a fluid onto them before sizing them. CAS and CIP measure the particle sizes in the ambient conditions where their sizes are not altered.

P4, title of sec 2.3: Better "stratification"? Large-scale would also imply a horizontal component - right?

Revised as Atmospheric vertical structures

P4, l 26: Better characterized/analysed instead of „defined“ ?

Revised as “analyzed”

P5, l 10ff: I have serious doubts about this point: The two size distributions may line up well but this could be really by chance! A careful analysis of this issue is absolute necessary. A lot of bigger aerosol might be sea salt particles which are highly hygroscopy and there should be a big difference if you measure it under dry or ambient conditions. Please include at least error bars which describe this effect! This is a serious point which needs more careful discussion (see general comment).

The revised Fig. 7 (shown above) shows that there is a discrepancy in DSDs that were obtained from PCASP and CAS in the ranges where the two probes overlap (0.8-2.5 μm), which may reflect the larger sea salt particles being swollen (overestimate the sizes from CAS). However, the DSDs from both probes line up well when considering the overall trends of the DSD with sizes.

Moreover, the main point we show in Fig. 7 remains unchanged, which is “Fig. 7 shows two distinct populations of PSDs in the sub-cloud layer. First, PSDs from dusty days (RF07-RF12) have a significantly higher N_a between $0.5 \mu\text{m}$ and $10 \mu\text{m}$, compared with PSDs that were obtained from the non-dusty days”. Additionally, the authors added Appendix C and Fig. C1 to support “The effect of measuring the size of dust versus salt, which have different refractive indices, is relatively small in PCASP”. Please refer to replies given for the general comments too.

Appendix C: The effect of refractive index in PCASP and CAS

The PCASP is pretty insensitive to refractive index (RI), but the forward scatter probe (CAS) is very sensitive to refractive index for $D < 10 \mu\text{m}$ (Fig. C1). In the calibration plots (Fig. C1), the horizontal lines define the channel boundaries, the points show actual calibrations, and the continuous lines show response curves for various refractive indexes estimated from theory. The authors used the response boundaries for approximately middle of the RI envelopes. Shape may also cause sizing uncertainty. Here we only measure pulse heights, and use calibration using spherical glass beads and PSL's, as well as theoretical estimates of the probe response, to invert the pulse heights to ‘diameter’. For the range of RI for atmospheric particles, the inversion may error by a factor of two in this size range, which is a well-known problem with forward scatter techniques. In Fig. C1, the modeled instrument response curves are labeled as RI with corresponding materials, and the rest are calibrations, labels by date of the calibration and material used. For example, “130212_dos” (cyan filled circles in PCASP plot (lower panel in Fig. C1) shows a calibration on 12 Feb. 2013 using Di Octal Sebacate oil drops to excite the instrument. The calibration points should line up with the theoretical DOS curve, calibrated for $\text{RI} = 1.42$. For effects of shape, not much is known. Only at high RH can one be reasonably certain that the soluble particles are wetted and spherical, and perhaps with RI close to that for water. The authors believe generating meaningful error bars accounting for all possible error sources is a big undertaking, and separating out the growth factor due to RH only doesn't add much to the results. Data are available in the literature on growth factors for various types of aerosol particles. Obviously they differ for different types, and we have no determination of the type. Considering all these effects Feingold et al. (2006) estimated that the accuracy in retrieved drop effective radius is within $\sim 20 \%$.

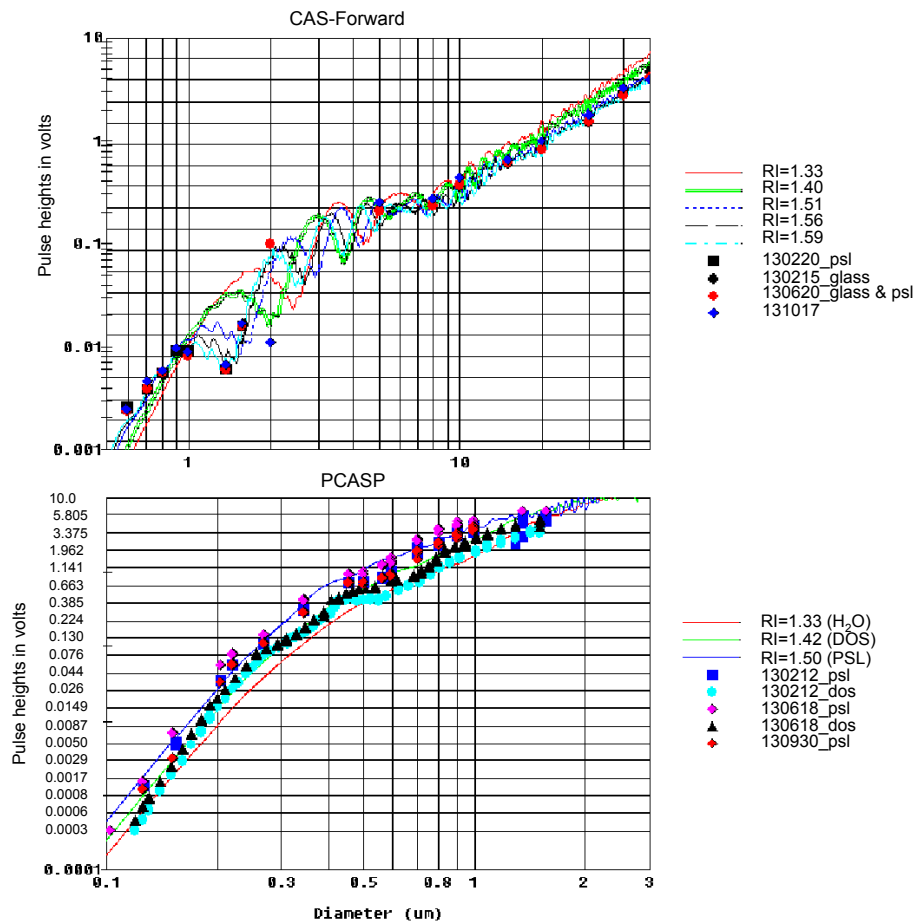


Figure C1. Calibration plot. The horizontal lines define the channel boundaries, the individual points show the actual calibrations, and the continuous lines show response curves.

P 6,1 6: You could include the equation (in text) for the LCL determination but not really necessary
The equation is not included in the text.

P 6,1 33: Is there a difference/bias between the radiosondes and TO-profiles for determining the inversion?
You mentioned earlier the method how to determine the main inversion and here you say that the inversion was poorly defined? Please specify!

The inversion is defined by the same method.

In the case that the vertical temperature gradient is large, the inversion is well-defined, which is common for stratocumulus clouds. However, the vertical temperature gradient is often weak for cumulus clouds. The sentence is revised as “with weak inversion heights in most flights”.

P8 ,122: In the plot you abbreviate super-saturation with "ss", here with „s“ - be consistent.
Changes were made to “ss” as suggested.

P9, l 15: Do I correctly understand? The PSD is averaged over all flight heights for one individual flight - including sub-cloud layer and cloud layer heights? Does this averaging make sense? Why not at least distinguish between sub-cloud layer and cloud layer aerosol?

PSDs from the cloud-layer were not included for this calculation.

I have serious doubts about the representativeness of these size distributions. In particular for the situation where dust is advected the size distribution should be a function of height. Furthermore, all size distributions show more or less exactly the same general structure with characteristic peaks and shoulder at the same size. It looks like that the distributions differ only due to dilution although you mentioned that you had three different types of aerosol loading? Although the y-axis is logarithmic this seems quite strange. I discussed this issue with an expert for OPC and we both wonder if this could be an instrument artefact. This point has to be discussed in detail (see general point).

Please see the above responses (in general point)

P9, l 18: I do not agree with the statement that PSDs averaged over sub-cloud and cloud layer can provide any insight in processes. You average over regions where different processes are dominant. I suggest re-wording.

Please note that PSDs in Fig. 6 (flight-averaged PSD) do not include PSDs from clouds. The PSD here represents the integrated PSD in the clear-air boundary layer.

P 9, l 32: See my comment about combining an aerosol size distribution measured with a closed system (dried aerosol) and an open system (ambient conditions).

In a revised manuscript, DSDs were not combined. They are simply shown together (revised Fig. 7). The statement (p9, L32: The plots in Fig. 7 show two distinct populations of PSDs in the sub-cloud layer) is still valid in a revised Fig. 7.

P 10, l 27: I don't understand exactly what you mean with "precipitating clouds exhibit more organized mesoscale features"? Can you please specify!

The characteristics of individual cloud, such as cloud features with strong cloud cores, anvils, and cloud envelops, are more evident in Fig. 8(a-b) compared with the clouds in Fig. 8(c-d; non-precipitating clouds), which are referred as the organized mesoscale features.

P 11, l 6: About LWC measurements with the PVM-100A; it is known that the transfer function of the PVM-100A decreases with increasing droplet size (see Wendisch, Garrett, and Strapp: 2002). The droplets in trade wind cumuli have comparable big droplets due to the low number concentration and this underestimation of the PVM might be an issue. Although you might not have the droplet sizes available you should at least mention this issue because it could partly result in the bigger discrepancy between measured and adiabatic value around cloud top region. Of course you could take the effective radius derived from the PVM-100A and estimate the transfer function based on this parameter – but maybe mentioning this problem is also fine.

Revised as suggested. The following is added in the text: In addition to the cloud entrainment/detrainment and precipitation processes attributing to the discrepancy between measured and adiabatic value, the transfer function of PVM-100A being decrease with increasing droplet size (e.g., Wendisch et al., 2002) could also partly result in the discrepancy between measured and adiabatic value around cloud top region.

P 11,19: I don't completely understand this criterium, does it really completely rule out the possibility of counting shattered droplets? Please explain in more detail.

Counting shattered droplets is inevitable problem for all airborne probe measurements. We don't believe the threshold completely rules out the possibility of counting shattered droplets. However, the chances will be reduced by not counting the large drops (by considering data of CIP volume concentration $< 0.1 \text{ cm}^{-3}$) that mainly cause the shattering issues. Criteria $w > 1 \text{ m s}^{-1}$ and $\text{LWC} > 0.01 \text{ gm}^{-3}$ are used to consider the cloud core.

Furthermore, a few line below you mention CARRIBA_dry: In the "polluted" case of CARRIBA_dry (April 24th) the aerosol number concentration in the SCL was about 265 particles per cm^3 resulting in mean droplet number concentration of 80 droplets per cm^3 (measured with a PDI). In your plots you have aerosol number concentrations of 100 to 300 per cm^3 in the SCL (see Fig 2c) (assuming air density about 1 kg/m^3 in the SCL) but resulting in 100 to 200 droplets per cm^3 between $z = 500$ and 1000 m in Fig 10b. This means that about 75% of the aerosol particle will be activated?

The sub-cloud layer aerosols and cloud-layer N_d in a cloud core ($w > 1 \text{ ms}^{-1}$) are shown in the Appendix figure 1 for a few days of BACEX to show how many aerosol particles are activated.

First of all, Fig. B1 shows that aerosol particles activate more efficiently in clean environments for a given updrafts ($w > 1 \text{ ms}^{-1}$). For example, aerosols on 10-11 Aprils (Condensation nuclei (CN) $< 300 \text{ cm}^{-3}$) activated about 70 %, whereas aerosols on 29 March and 5 April (CN $> 300 \text{ cm}^{-3}$ and 400 cm^{-3} , respectively) activated about 50-60 % at cloud bases.

Furthermore, your profile of N_d is at least slightly increasing with height! It is not very typical that droplet number concentration is increasing with height although processes such as secondary activation might play a role for a few cases. Please discuss this point and offer an explanation for this behaviour.

The manuscript is revised as follows: The composite of N_d obtained from 12 flights during BACEX (shown in Fig. 11) are shown in Fig. 10b. N_d during BACEX varies from ~ 0 to 400 cm^{-3} and tends to increase with height (Fig. 10b). The maximum N_d occurred just above cloud base as commonly thought, as well as, high above the cloud base showing the tendency of increasing N_d with heights. The increasing N_d with heights was also observed in several research flights during the RICO. Further, the breadth of DSDs (in Fig. 10b) was predicted by the inhomogeneous mixing (Lehmann et al., 2009) allowing droplets to experience different degrees of subsaturation (e.g., inhomogeneous droplets evaporation considered by Bewley and Lasher-Trapp, 2011). The low N_d at high altitude ($\sim 2300 \text{ m}$) may be associated with entrainment mixing and wet scavenging due to precipitation. The increasing or nearly constant N_d with heights for the couple flights of BACEX are shown in Appendix B (Fig. B1) to show how many aerosol particles are activated during the BACEX.

On a first glance this looks like an inconsistency to me and should be discussed in much more detail. For example, you could show a profile of N_{droplets} for one flight which can be directly compared to the aerosol profile of the SCL? Then you can estimate how many aerosol particles are really activated. Your data suggest that more than 70% (or so) of the aerosol as measured in the SCL will be activated

Changes are made in the manuscript in section 3.4, and Figure and text are added in the Appendix B.

P 11, l 9: Again, the parameter is "LWC" and not "PVM-100 LWC", by the way, in the plots the liquid water mixing ratio plotted and not the LWC.

Revised as suggested.

P 11, l 10: Wasn't there a Phase Doppler Interferometer (PICT) installed? If yes and if it worked properly, you should have a reliable estimate of the droplet number concentration (and size distribution).

During BACEX, a PICT was not operated. Cloud droplet number concentrations are measured by Cloud Aerosol Spectrometer (CAS) in this study.

P 11, l 15: I don't understand the "comparison" with the CARRIBA_dry campaign in this context. The three different types of aerosol origin were also observed during CARRIBA.

Comparison with CARRIBAR_dry is made simply to address the fact that the BACEX was seasonally similar to the CARRIBA_{DRY} period but observed the strongest dust event during all of 2010.

End of p 11: I am not an expert in radar meteorology but a little bit more detailed discussion would be appreciated. What can I learn from the radar measurements?

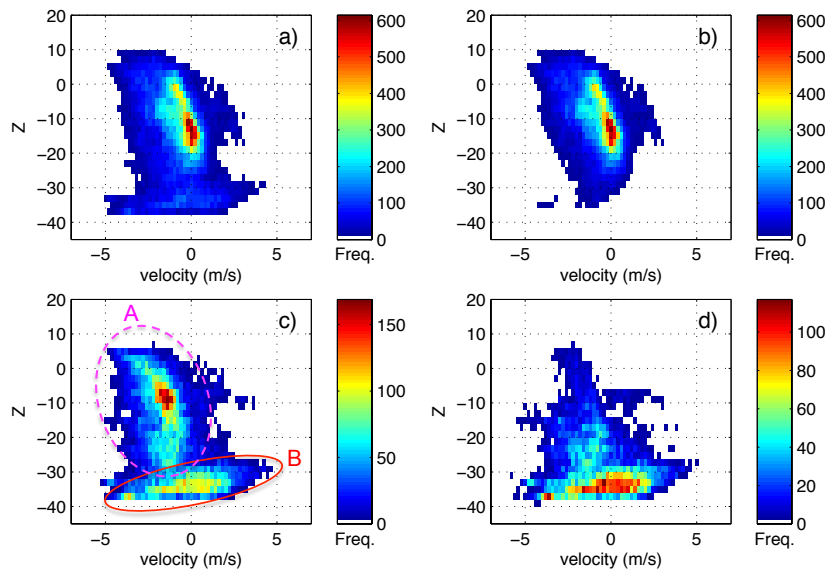
Changes were made in the text as follows: The radar measures returned signals (Z) of the object, which are proportional to D^6 and the number concentration of droplets (see eq. 3) and Doppler velocity (V_r) of the target which is moving toward or away from the radar. In general, the larger the hydrometer, the stronger Z is measured. Precipitating clouds are often $Z > -17$ to -20 dBz in cloud radars (e.g., Frisch et al., 1995).

P 12, l 6: From reading this part of the text it is difficult to understand the differences of the four patterns in Fig 12. - in particular between panel b) and c). How is "strongest precipitation" defined and why do you exclude a few precipitating clouds in panel d) ? – On a first glance this seems to be a little bit arbitrary and the motivation for doing this remains unclear. A few more word would help the reader.

Changes were made in the text and Fig. 12 is revised.

FYI

Figure 12 shows that how the V_r and Z distributions differ from precipitating and non-precipitating clouds. In Fig. 12, there are two distinctive patterns that correspond to precipitating clouds (Fig. 12b) and non-precipitating clouds (e.g., Fig. 12d).



**An area of [A] in (c) corresponds to the precipitating cloud pattern, whereas [B] indicates the non-precipitating pattern

Precipitating clouds are shown as echoes that have maximum of strong radar returns (Z) and negative V_r (hydrometer fall toward ground). For example, the most frequently observed echoes in Fig. 12b are $Z \sim 10$ to -20 dBz (which corresponds to the radar return of precipitating particles) and $V_r < 0$ (which indicates particles are falling down toward the ground). In Fig. 12d, the most frequently observed echoes are those of $Z < -30$ dBz (which corresponds to the echoes of non-precipitating particles) and $V_r \pm 2$ m/s (particles have up and down motion, which corresponds to more likely random air motion). The manuscript is revised as followings “The V_r - Z distribution that is estimated by excluding the strongest precipitating cloud on 22 March (Fig. 12c) shows two populations of Z and V_r : first, weak Z ($Z < -30$ dBz) with wide ranges of V_r ($-4 \text{ m s}^{-1} < V_r < 3 \text{ m s}^{-1}$), which is shown as an horizontally oriented pattern in Fig. 12c; and second, strong Z (e.g., $-30 \text{ dBz} < Z < 5 \text{ dBz}$) with predominately a negative V_r ($0 \text{ m s}^{-1} < V_r < \sim -4 \text{ m s}^{-1}$), which is shown as an vertically oriented pattern in Fig. 12c”.

P12, l 22: Have you really excluded three precipitating clouds or three days with precip?
 Three days with precipitating clouds. Revised the manuscript to clarify the point.

P12, l 24: I am confused here: a few lines above you claimed that clouds deeper 500 to 600 m have a significant chance of precipitating and now you analyze the non-precipitating clouds in panel c) and d) with a thickness of about 1300 m? isn't this contradictory or do I misunderstood something? Please specify!

We agree that the definition of non-precipitating clouds here may be confusing. In the manuscript (between page 11 and page 12) it is defined as Clouds sampled on these three days (3/22, 3/24, and 3/30) are referred to as “precipitating-clouds” hereafter, whereas clouds sampled on 9 days that excluded these three precipitating-cloud cases are referred to as “non-precipitating clouds” . Therefore, the non-precipitating clouds shown in Fig. 13 indicate clouds sampled during 9 days when no heavy precipitation (e.g., 3/22, 3/24, 3/30) is observed. However, these 9 clouds include clouds that do

not precipitate at all (purely non-precipitating, such as 3/25, 3/26, 3/29, 3/31, 4/11) as well as lightly precipitating clouds mainly from the cloud tops (e.g., 3/23, 4/5, 4/7, 4/10). These features are readily shown in Fig. 11 or Fig. 14.

Manuscript is revised to clarify it as follows: For these clouds (defined as non-precipitating clouds in this study, but in reality, the clouds could have light precipitation), $Z \sim -35$ dBz and V_r of ± 2 m s⁻¹ are the most frequently observed between 600 m and 1300 m. Cloud bases and tops for the clouds are about 700 m and 2000 m, respectively, indicating a thickness of about 1300 m.

P 13,1 10: One should also mention in the text that the photo in Fig 15 is not from BACEX
Added “Note that the photo is of a cloud over Key Biscayne, FL in an environment similar to that in Barbados” to the end of the paragraph.

P 13,1 15: The following part is more discussion and not data analysis. However, I do not see how this discussion is justified by the observed data and its analysis. This part is a little bit confusing to me and should be re-worded.

We removed this part since a similar discussion is given in the summary and discussion section.

P 13,1 27: Although there is a strong collaboration between the CARRIBA community and the activity of the MPI group, the work of L Nuijens et al. should not be considered as part of CARRIBA.
Changes were made in the manuscript.

P 14,1 1: I think the frequency of precip should be mentioned earlier - it is a quite fundamental point and comes surprisingly late in this section.
The main purpose of Fig. 16 is to confirm the predominance of the second type of precipitation in the Barbados, and thus it may be proper to show together with Fig. 14-15. Therefore the order is not changed.

P 14,1 4: How is the precipitation LWC defined here?
It is defined as CIP volume concentration multiplied by the density of water.

About „Summary and Discussion“ Overall comment: This section is really mostly a word-by word summarize of the previous observations. The discussion part is missed out completely. I totally understand that for a data overview paper a discussion is not a trivial task but repeating all the observations word by word is not a convincing solution and I suggest a complete re-wording of this section.

Revised as “summary and conclusions” since the section summarized the findings of the study. In addition the authors added a more general statement at the end.

P 14,1 32: It is a little bit strange to talk about hurricane seasons for Barbados because Barbados wasn't influenced by a hurricane for several decades (before your flights, the first hurricane „Tomas“ came in just a few month later...
Hurricane season is a commonly used terminology for the hurricane community that indicates the period of July to September. We changed hurricane seasons to July to October in the manuscript.

P 15, l 2ff: This sentence is quite confusing because one can interpret it such as the maximum of N_a is below the inversion which of course makes no sense if it is steadily decreasing with height - I suggest rewording to make it clear what you mean.

Changes were made in the text by removing “below the trade wind inversion”.

P 15, l 12: Just a comment but there are very contradictory studies about a possible influence of GCCN on the development of precipitation - could be at least mentioned here.

Changes were made in the text to clarify it.

P 15, l 16: What do you mean with "could provide" I think at this point a deeper data analysis would be interesting.

This topic is addressed in Jung et al., 2013 using the African dust events (for example, Jung et al. (2013) showed that cloud (history of cloud) processes in boundary layer caused complicated stratification in the aerosols below the Saharan Air layer).

P 15, l 24: I think a little bit more quantitative analysis would be justified at this point! That cumulus clouds are far away from being adiabatic is well known.

It is well-known that the cumulus clouds are far from being adiabatic. The points that we want to make here are that the adiabatic assumption that is commonly used in satellite studies needs to be considered carefully. Changes were made to clarify this point.

Figures:

Fig 1: to include the units in brackets is confusing because one would expect the parameter which was mentioned before - the potential temperature is " θ " and not "K" – just a formal point.

Units are removed from the figure.

Fig 1: Although I would do it in the same way: is there a good argument for taking the readings in the height range between 100 and 200 m? I assume the radiosonde takes some time to provide reliable readings? If you would take data from the TO you could take the 30m level legs - right?

We kept the figure because we believe there is no good argument for taking the reading in the height between 100 and 200 m for the purpose of Fig. 1 is to show the overall structure of atmospheric conditions (in the entire boundary layer).

Fig 2: Although it is a nice overview, details of the profiles are difficult to detect - this is particular true for θ and q_1 .

We agree that the details of the profiles are difficult to detect. However, the purpose of the plot is to show the overall thermodynamic structures in the boundary layer during the experiment and the variability. Nevertheless, the profiles show two distinctive thermodynamic structures for the dusty and non-dusty days. It is shown in P7 L3-4 “The overall atmospheric conditions and variability observed from the TO are illustrated in Fig. 2 with the vertical profiles of potential temperature (θ), mixing ratio (q), and aerosol concentration (N_a)”

Fig 2c: The unit „particles per mg“ - a kind of aerosol number mixing ratio - is not very common (although it makes sense...). Usually I would expect particles per cm^3 normalized to standard conditions - please specify in the text what you did here.

The unit of $[\#/ \text{cm}^3]$ does not count for the effect of density variability. $[\#/ \text{mg}]$ represents $\#/ \text{cm}^3$ divided by the density to account for the density effect with heights.

Fig 2a: In my pdf copy the "\Theta" does not show up.

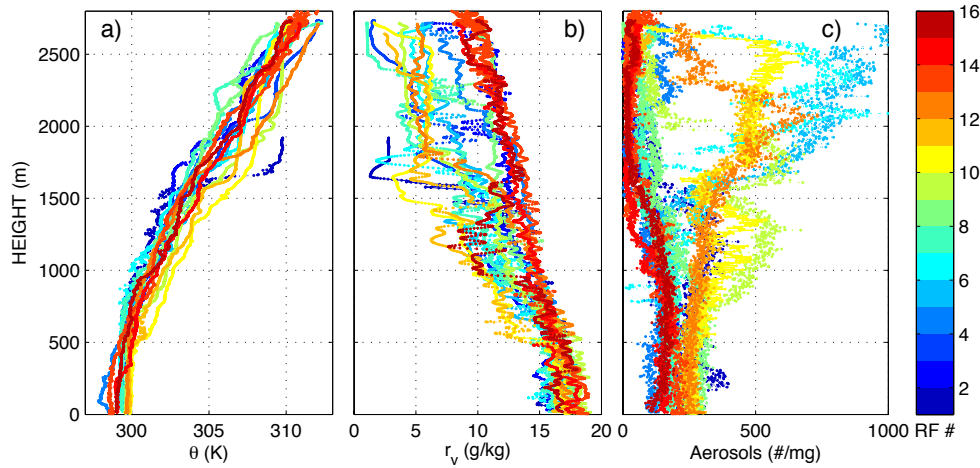


Fig. 2. Profiles of (a) potential temperature, Θ , (b) water vapor mixing ratio (g/kg), and (c) aerosol number concentration per mass of air (#/mg) obtained from PCASP during the aircraft's ascents and/or descents. The profiles shown are one out of many soundings for each day and are denoted in Table A1. The color bar shows the number of research flight (RF #), shown in Table A1.

Fig 2 caption: Why do you use sometimes symbols, sometimes not? I suggest to use symbols in the xlabels of the plot and in the caption I suggest using "water vapor mixing ratio q_1 (g/kg)" and being consistent with this notation throughout the paper.

r_v and r_l is used for the mixing ratio and liquid water mixing ratio in the revised manuscript (Fig. 2, Fig. 3, Fig. 10).

Fig 3: You could consider plotting the 1-sigma as classical error bars or at least dotted lines. First I was confused because it looks like another data set but you have described it in the figure caption so everything is technically correct. **Everything is technically correct.**

Fig 5: Difficult to figure out the absolute values.

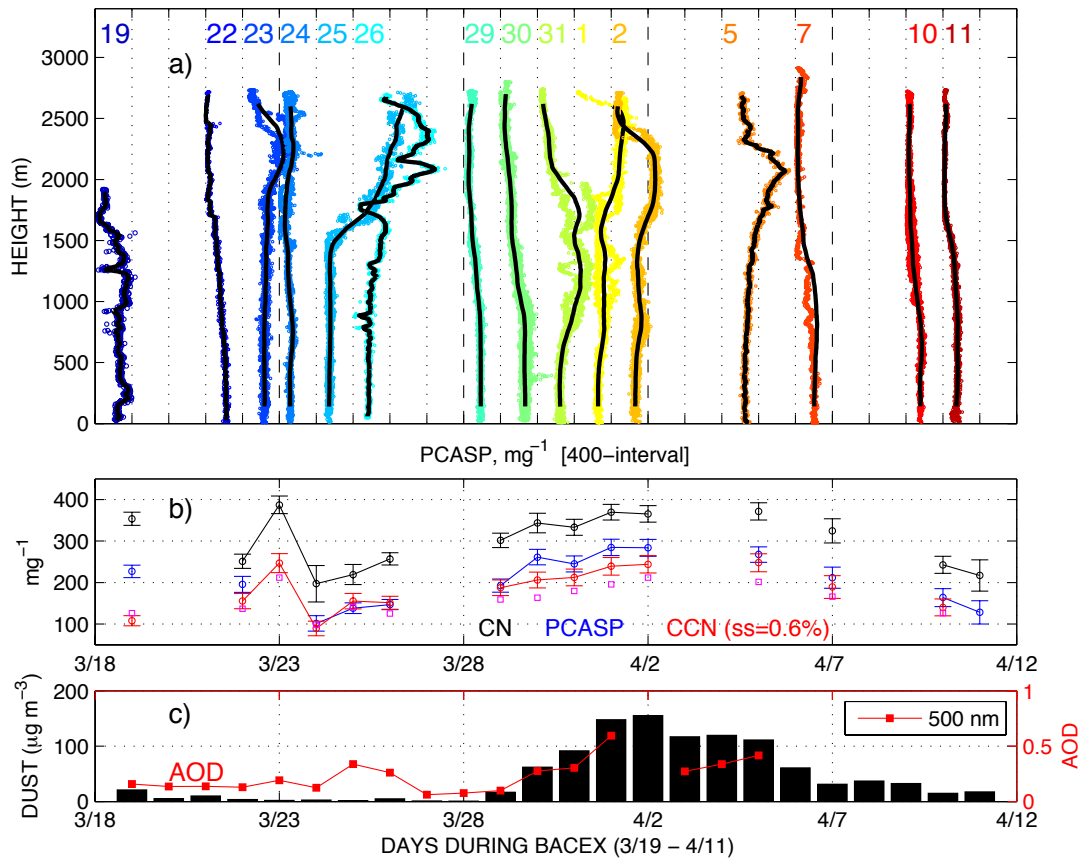


Fig. 5(a): The average (black-solid lines) and individual (colored lines) profiles of N_a (m g^{-1}) are offset by 400 mg^{-1} for each flight in Fig. 5a, with each vertical dotted line representing a new axis to indicate aerosol concentration for the day in question. For example, N_a on 5 April is nearly constant below 500-600 m ($\sim 300 \text{ mg}^{-1}$), then gradually increases with height and peaks around 2000 m at $\sim 600\text{-}700 \text{ mg}^{-1}$. Thereafter N_a decreases with height reaching $\sim 200 \text{ mg}^{-1}$ at around 2500 m.

Fig 5, ylabel: Is here a "micron" missing? it does not show up in my pdf copy? Please check all figures for this problem.

The units are height (m) in Fig. 5a, ($\#/ \text{mg}$) in Fig. 5b and ($\mu\text{g}/\text{m}^3$) in Fig. 5c.

Fig 9: The red dashed lines should be a little bit thicker!

Revised as suggested.

Fig 10: From this profiles it looks like that cloud base is 250 m below LCL ? and around cloud base you have super-adiabatic q_1 ? I don't understand the calculation of adiabatic q_1 ; is it estimated for each cloud core individually? If so, why do you have super adiabatic q_1 between mean LCL and minimum LCL? Why not normalizing each cloud with its adiabatic value or showing the difference between actual q_1 and adiabatic value for each cloud?

It is a composite of 12 clouds (that are shown in Fig. 11), where the clouds are sampled in updraft regions ($w > 1 \text{ ms}^{-1}$). Please note that the cloud is not normalized by the cloud thickness. However, the cloud base heights for each day are similar except for one flight (24 March), and the samples that look like super-adiabatic were obtained from 24 March. The figure is revised.

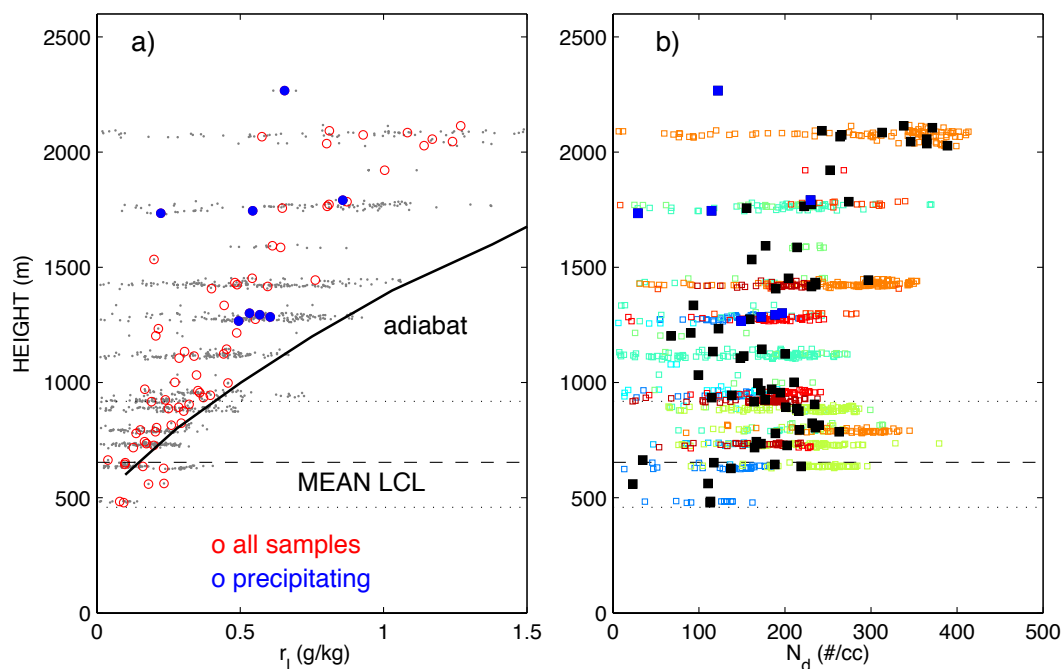


Fig. 10. (a) Cloud liquid water mixing ratio (r_l) and (b) droplet number concentration N_d in cloud core ($w > 1 \text{ m s}^{-1}$) sampled by the Twin Otter during BACEX (12 flights shown in Fig. 11). Data points (greys in Fig. 10a and colors in Fig. 10b) are averaged in 10 m (vertical) for all clouds (red in Fig. 10a, black in Fig. 10b) and for precipitating clouds (blue in Fig. 10). N_d from the individual flights are shown as colors that shown in Fig. 2. The mean (minimum and maximum) values of LCL are denoted by dashed (dotted) lines.

Interactive comment on “Aerosols, Clouds, and Precipitation in the North-Atlantic Trades Observed During the Barbados Aerosol Cloud Experiment. Part I: Distributions and Variability” by E. Jung et al.

Anonymous Referee #2

Received and published: 29 April 2016

Review of: Aerosols, Clouds, and Precipitation in the North-Atlantic Trades Observed During the Barbados Aerosol Cloud Experiment. Part I: Distributions and Variability By Jung, Albrecht, Feingold, Jonsson, Chuang and Donaher.

Evaluation: Publish with minor revisions

Major comments:

This very much reads as a paper setting the stage for something to come. As such it is a bit light, but it does contain sufficient information to warrant publication.

Page 5 line 11: “The PCASP dries the particles before measuring them.” Please provide a reference for this. For instance, Strapp et al (1992, JAOTech) leaves the door open for the PCASP only partially drying particles larger than sub-micrometer (their summary). Thus the behavior may be quite different for a dust particle (or for a coated dust particle) and a sea-salt particle. Other references?

The reference is added and the manuscript is revised in the beginning of the second paragraph in section 3.3.2.

Page 5 line 15: Missing integration sign in denominator.
Integration sign is added.

Page 9 lines 24-26: I am not sure what you are implying here; does sea-salt not contribute to the larger sizes in Fig. 6? This would seem to be inconsistent with many studies that have found sea-salt in the entire range of marine aerosols, e.g. Clarke et al. (2006, JGR), Blot et al (2013, JGR), Modini et al (2015, JGR).

The last part of the sentence was removed to clarify it. What we intended here was GCCN contributed to the larger sizes, yet the concentration of GCCN in nature is small (10^{-2} - 10^{-4}) compared with dust concentrations.

Page 10, lines 8-9: A reference for the sizing uncertainty?
Appendix C and Figure C1 are added in the manuscript.

Page 31 and rest of manuscript: Are you connecting a PCASP (which you claims dries particles), with a CIP which does not materially dry dust particles (except maybe for a thin coating layer) and which when measuring sea-salt will see un-dried hydrated particles. I do not see any discussion of the fact that you do not necessarily know what is being looked at with the CIP; maybe I missed it?

In a revised manuscript, two probes (either PCASP and CIP or PCASP and CAS) were not combined (Fig. 7). Further, discussion is added for the issue in section 3.3.2. Please refer to the supplementary material.

Page 11 line 9: What is a “CIP volume number concentration”? It occurs several times.
It should be CIP volume concentration, which is proportional to the mass. Revised throughout the manuscript.

Page 11 line 20: “increases with height.” This is one of the places that the manuscript comes up missing some context. No discussion of why such a pattern may be observed, nor of what has been observed of this in the past (e.g. Lasher-Trapp work)?

The manuscript is revised as follows: The composite of N_d obtained from 12 flights during BACEX (shown in Fig. 11) are shown in Fig. 10b. N_d during BACEX varies from ~ 0 to 400 cm^{-3} and tends to increase with height (Fig. 10b). The maximum N_d occurred just above cloud base as commonly thought, as well as, high above the cloud base showing the tendency of increasing N_d with heights. The increasing N_d with height was also observed in several research flights during the RICO. Further, the breadth of DSDs (in Fig. 10b) was predicted by the inhomogeneous mixing (Lehmann et al., 2009) allowing droplets to experience different degrees of sub-saturation (e.g., inhomogeneous droplets evaporation is considered by Bewley and Lasher-Trapp, 2011). The low N_d at high altitude ($\sim 2300 \text{ m}$) may be associated with entrainment mixing and wet scavenging due to precipitation. The N_d with heights for the couple flights of BACEX are shown in Appendix B (Fig. B1) to show how many aerosol particles are activated during the BACEX.

Page 13 line 18-19: “tendency for aerosols to suppress precipitation.” Do you mean increase in aerosols?

In general, precipitation is suppressed as aerosol concentrations increase in warm marine boundary layer. The detrainment moistening and evaporative cooling near cloud top can destabilize the local environment and promote deeper clouds (regardless of the aerosol loading), and the deeper and wetter clouds would tend to precipitate more (even if the initial environments has high concentration of aerosols), which can offset the tendency for aerosols to suppress precipitation.

Page 14 lines 12-14: Could it be that not all clouds reach the same altitude, and that the shallower ones thus bias the statistics at low altitude?

The statistics may result from sampling more (in number) shallower clouds than deeper clouds. However, it could be the nature of the clouds such that more abundant shallower clouds exist at a given moment than deeper clouds.

Aerosols, Clouds, and Precipitation in the North-Atlantic Trades Observed During the Barbados Aerosol Cloud

Experiment. Part I: Distributions and Variability

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Abstract. Shallow marine cumulus clouds are by far the most frequently observed cloud type over the Earth's oceans; but they are poorly understood and have not been investigated as extensively as stratocumulus clouds. This study describes and discusses the properties and variations of aerosol, cloud, and precipitation associated with shallow marine cumulus clouds observed in the North-Atlantic trades during a field campaign (Barbados Aerosol Cloud Experiment- BACEX, March-April, 15 2010), which took place off of Barbados where African dust periodically affects the region. The principal observing platform was the Center for Interdisciplinary Remotely Piloted Aircraft Studies (CIRPAS) Twin Otter (TO) research aircraft, which was equipped with standard meteorological instruments, a zenith pointing cloud radar and probes that measured aerosol, cloud, and precipitation characteristics.

The temporal variation and vertical distribution of aerosols observed from the 15 flights, which included the most 20 intense African dust event during all of 2010 at Barbados, showed a wide range of aerosol conditions. During dusty periods, aerosol concentrations increased substantially in the size range between 0.5 μm and 10 μm (diameter), particles that large enough to be effective giant cloud condensation nuclei (CCN). The 10-day back trajectories showed three distinct air masses with distinct vertical structures associated with air masses originating in the Atlantic (typical maritime air mass with relatively low aerosol concentrations in the marine boundary layer), Africa (Saharan Air Layer), and mid-latitudes 25 (continental pollution plumes). Despite the large differences in the total mass loading and the origin of the aerosols, the overall shapes of the aerosol particle size distributions were consistent, with the exception of the transition period.

The TO was able to sample many clouds at various phases of growth. Maximum cloud depth observed was less than ~ 3 km, while most clouds were less than 1 km deep. Clouds tend to precipitate when the cloud is thicker than 500-600 m. Distributions of cloud field characteristics (depth, radar reflectivity, Doppler velocity, precipitation) were well identified in 30 the reflectivity-velocity diagram from the cloud radar observations. Two types of precipitation features were observed for shallow marine cumulus clouds that may impact boundary layer differently: first, a classic cloud-base precipitation where precipitation shafts were observed to emanate from the cloud base; second, cloud-top precipitation where precipitation shafts emanated mainly near the cloud tops, sometimes accompanied by precipitation near the cloud base. The second type of

precipitation was more frequently observed during the experiment. Only 42-44 % of the clouds sampled were non-precipitating throughout the entire cloud layer and the rest of clouds showed precipitation somewhere in the cloud, predominantly closer to the cloud top.

5 **Keywords:** African dust outbreak, cloud-top precipitation, Saharan Air Layer (SAL), North-Atlantic trades, Barbados

1 Introduction

Shallow marine cumulus clouds are frequently observed over the Earth's oceans and are by far the most common type of cloud in the world (Norris, 1998). The fractional cloudiness associated with these cumulus clouds is typically 15 to 25 %, but
10 the extensive areas that the shallow cumuli cover make their radiative impact an important factor in the climate system. Further, shallow cumulus clouds are part of the feeder system for deep convection in the tropics and are critical to the energy and moisture budget of the trade wind boundary layer. Recent studies indicate that these clouds are the cause of give the largest uncertainty in tropical cloud feedbacks in the climate system (e.g. Bony and Dufresne, 2005; IPCC, 2013) and
15 therefore the characteristics and distributions of their variability must be better defined, therefore must be better understood for their characteristics in distribution and variability.

The landmark studies by Riehl et al. (1951), Riehl and Malkus (1957), and Malkus (1958) inferred the importance of shallow moist convection in maintaining the boundary layer structure and associated energy and moisture budgets associated with the trade winds. The earliest fField programs that followed these studiessudies—the 1969 Atlantic Trade-Wind Experiment (ATEX) and the 1969 Barbados Oceanographic and Meteorological Experiment (BOMEX)—provided
20 rawinsonde data sets that were used to estimate enthalpy and moisture budgets associated with shallow, undisturbed cumulus clouds (Augstein et al., 1973; Holland and Rasmussen, 1973). Although several aircraft were associated with BOMEX (Friedman et al., 1970), the instrumentation on these aircraft was not adequate for routine measurements of cloud properties. In the 2004-2005 Rain in Cumulus over the Ocean (RICO; Rauber et al., 2007), instrumented aircrafts were used to sample
25 clouds and precipitation and key processes operating in these clouds observed over the Eastern Caribbean (e.g. Hudson et al., 2007; Colon-Robles et al., 2006; Gerber et al., 2008). Further, the Barbados Cloud Observatory (operated by the Max Planck Institute for Meteorology, Stevens et al., 2015), using ceilometer, Raman lidar, and cloud radar observations, has provided a first-of-a-kind 2-year climatology of non-precipitating and precipitating cumulus (Nuijens et al., 2014), and analyzed the
30 relative influences of aerosols and meteorology on precipitation formation (Lonitz et al., 2015)-. In the same area, -detailed aerosol, cloud, radiation, and turbulence observations were made from a platform suspended from a helicopter operating in an area off the coast of Barbados as part of the CARRIBA (Cloud, Aerosol, Radiation and tuRbulence in the trade wind regime over BARbados) project (Siebert et al., 2013).

The marine environment near Barbados provides an excellent area to sample shallow marine clouds that have a strong propensity to precipitate. In addition, African dust outbreaks periodically affect the clouds over the regime, and offer an excellent opportunity to observe aerosol-cloud-precipitation interactions. Furthermore, near surface aerosol measurements have been made on the island since the 1960s (Prospero and Lamb, 2003). To better understand aerosol-cloud-precipitation interactions in the trade cumuli regime, Barbados Aerosol Cloud Experiment (BACEX) was carried out off the Caribbean island of Barbados, within the northeast trades of the North-Atlantic from mid-March and mid-April 2010 (Jung et al., 2013).

The goal of the BACEX study is to improve our understanding of aerosol-cloud-precipitation processes in the trade-wind cumulus regime, and thus, to improve and/or provide a basis for evaluating and improving the parameterization of cloud-aerosol-precipitation interactions in numerical models. As a first step, this paper is intended to document the properties of shallow marine cumulus clouds and the vertical structure of the Saharan Air Layer (SAL), and provide reference data for interpreting and comparing satellite data. The findings from this study confirm some previous results and also add new insights to the distribution and variability of clouds and aerosols in the North-Atlantic trades. The interactions among aerosol, cloud and precipitation will be addressed in a separate study, and thus, cloud and precipitation responses to the aerosols, including cloud particle size distributions, are not discussed in the current paper.

Satellite-based studies have been used to examine aerosol-cloud interactions over large geographical areas for extended time periods, but are known to suffer from retrieval biases (Loeb and Schuster, 2008) and the vertical distribution – a key component of the aerosol – is usually unknown. Thus, we combine the in situ aircraft data from BACEX with soundings from the island to explore the boundary layer structure and properties of clouds and aerosols over this area of the Caribbean. Data used in this study and methods are described in Sect. 2. The overall large-scale atmospheric conditions during the experiment, aerosol source-regions observed at Barbados, temporal and vertical variations of aerosols are discussed in Sect. 3, along with cloud and precipitation properties including radar reflectivity and Doppler velocity distribution of clouds, two types of precipitation (classic cloud-base precipitation versus cloud-top precipitation), non-adiabatic characteristics of shallow marine cumulus clouds, and followed by the summary and discussion in Sect. 4.

2 Data and methods

The Center for Interdisciplinary Remotely-Piloted Aircraft Studies (CIRPAS) Twin Otter (TO) research aircraft made 15 flights from 19 March to 11 April upstream of Ragged Point (13.2 °N, 59.5 °W) on the eastern shore of Barbados (see Fig. 1 in Jung et al., 2013 for the location), which has a long history of surface aerosol measurements (Prospero and Lamb, 2003). Each flight was of 3-4 hour duration and included at least one pseudo sounding made as the aircraft either ascended or descended, and several horizontal level flights from near the ocean surface to above the trade-wind inversion. The common horizontal level flight patterns included measurements (1) near the ocean surface (30 m level leg), (2) in the sub-cloud layer (between cloud layer and ocean surface), (3) near cloud base height, and (4) at cloud-top (the maximum height at which the aircraft still encountered cloud). General information on individual flights is shown in Table A1 in the appendix. The

feasibility of using a passive tracer in the form of radar chaff was explored on some of the flights to study entrainment and transport processes in small cumuli (Jung and Albrecht, 2014) and are also noted in Table A1.

2.1 Aircraft data

5 The TO research aircraft was equipped with probes that measure aerosol, cloud and precipitation in addition to the standard meteorological instruments for observing the mean and turbulent thermodynamic and wind structures as described in Zheng et al. (2011) and Jung et al. (2013). The standard meteorological variables (e.g., temperature, water vapor mixing ratio, winds) and ~~PVM-100 water content liquid water content~~ (PVM-100, Gerber et al., 1994) were obtained at 10 Hz and then averaged to 1Hz. Aerosol data included aerosol number concentration (N_a) from a Passive Cavity Aerosol Spectrometer
10 Probe (PCASP, 0.1-2.5 μm), cloud condensation nuclei (CCN) from a CCN spectrometer (Droplet Measurement Technologies Inc., Boulder, Colorado, USA), and condensation nuclei from the Condensation Particle Counters (CPCs). Cloud and precipitation data included cloud drop number concentration (N_d) from the Cloud Aerosol Spectrometer (CAS, 0.6-60 μm) and drizzle water contents from the Cloud Imaging Probe (CIP, 25-1550 μm). ~~The PCASP measures particles in a closed system which samples under dried conditions.~~ Aerosol concentration (CN, CCN) and probe data (e.g., PCASP,
15 CAS, and CIP) were also obtained at 10 Hz and then averaged to 1 Hz. Particles in –PCASP, CPC and CCN are analyzed after they are been passed into the instruments. In the process, they warm up and dry out, which is really only an issue as far as the PCASP is concerned. The other instruments (i.e., CPC and CCN counter) grow the particles by condensing a fluid onto them before sizing them. CAS and CIP measure the particle sizes in the ambient conditions where their sizes are not altered.

20 Vertically pointing cloud radar (95 GHz, bistatic, Frequency Modulated Continuous Wave Doppler radar) was mounted on top of the aircraft fuselage and detected fine vertical structures of updrafts and downdrafts within the clouds and precipitation properties. The radar data were obtained at a sampling rate of 3 Hz with range gates of 24 m, an antenna beam width of 0.7 $^\circ$, a velocity resolution of 0.16 m s^{-1} , and a dead zone of less than 50 m (Jung and Albrecht, 2014), allowing radar observations to be made in close proximity to the in situ probe measurements. The instruments used for this study are
25 summarized in Table 1. Acronyms and symbols are listed in Table A2 in the appendix.

2.2 Ragged Point aerosol measurements

Near-surface aerosol measurements were made at Ragged Point, a site located on the edge of a 30 m high bluff on the easternmost coast of Barbados. Samples were collected from the top of a 17 m high tower using a high-volume filter sampling system. Filters were changed daily and periodically returned to Miami where the soluble components were
30 extracted with water (Li-Jones et al., 1998). The Ragged Point aerosol site is operated by Dr. Joseph Prospero's research group from the University of Miami.

2.3 Atmospheric vertical structures ~~Large-scale conditions~~

The large-scale time-height variability of temperature, moisture, and wind structures in the study region was defined analyzed using observations from rawinsondes launched at Grantley Adams airport (13.06 °N, 59.48 °W, WMO ID: 78954) on Barbados. Sounding data were obtained from the University of Wyoming’s online upper-air data (http://weather.uwyo.edu/upperair/sounding.html). Further atmospheric conditions were obtained from soundings made by the TO during ascents and descents of the aircraft.

2.4 Back-trajectories

The origin of air masses sampled by the TO was estimated using the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPPLIT; http://ready.arl.noaa.gov/HYSPPLIT_traj.php) model using an average location of the flight domain (13.2 °N, 59 °W, Fig. 1 in Jung et al., 2013). The 10 day back trajectories, arriving at Barbados at 500 m above ground level (AGL), were calculated to give a general sense of the air mass source regions sampled on aircraft missions in the sub-cloud layer.

2.5 Aerosol particle size and distribution

An effective aerosol particle size (D_a) and its size distribution (PSD) were estimated from the accumulation mode aerosol that was measured by PCASP in cloud-free air (i.e., flight-averaged aerosol particle size and distribution; e.g., Fig. 6). In addition to the flight-averaged aerosol size and distribution, characteristics, D_a and PSDs in the sub-cloud layer were calculated by combining PSDs from PCASP (up to channel 19) and CAS (from channel 10 and up) probes. Note that ,to give the full size and distribution information, for the range from 0.1 μm to 60 μm. ~~The PCASP (0.1 – 2.5 μm) dries the particles before measuring them, while CAS (0.6 – 60 μm) sizes particles ~~them~~ in ambient conditions. This could result in discrepancies at the interface of DSDs obtained from PCASP and CAS, between the two values especially when the ambient relative humidity (RH) is high (e.g., RH > 70 %) and some soluble particles swollen by water (sized by CAS) and others dry (sized by PCASP). DSDs from PCASP and CAS were processed separately to avoid the possible discrepancy issue occurring at the interface of DSDs. (e.g., peaks near 2–3 μm in Fig. 7, later). Nevertheless, the two size distributions line up well at the interface when the ambient RH is less than 70–80 %. D_a was calculated as in Eq. (1).~~

$$D_a = \frac{\int N(D)D^3 dD}{\int N(D)D^2 dD}, \quad (1)$$

where D was the bin-mean diameter of the probe.

2.6 Rainfall rate

Rainfall rate (mm h^{-1}) was calculated from the CIP drop size distribution (e.g., Rogers and Yau, 1989) using

$$R = \frac{\pi}{6} \int_{25\mu\text{m}}^{1550\mu\text{m}} N(D)D^3u(D)dD, \quad (2)$$

where $u(D)$ was the fall speed of a drop with diameter D . Here, three fall speed formulations were used for differing drop sizes: (1) $u = k_1 r^2$ was used for cloud droplets up to 30 μm radius with $k_1 \approx 1.19 \times 10^6 \text{ cm}^{-1} \text{ s}^{-1}$; (2) $u = k_2 r^{1/2}$ was used with $k_2 \approx 2.01 \times 10^3 \text{ cm}^{1/2} \text{ s}^{-1}$ for droplets in the radius range of $0.6 \text{ mm} < r < 2 \text{ mm}$; and (3) $u = k_3 r$ with $k_3 \approx 8 \times 10^3 \text{ s}^{-1}$ was used for the intermediate size range of $40 \mu\text{m} < r < 0.6 \text{ mm}$.

3 Results

3.1 Large-scale atmospheric conditions

General features of large-scale atmospheric conditions over the study area are shown in Fig. 1 by time-height cross-sections of humidity, temperature, wind speed, and wind direction from the Barbados soundings for the period of 14 March and 16 April 2010. During the experiment, the Lifting Condensation Level (LCL; calculated from the average thermodynamic properties of the sub-cloud layer) was lower than 1 km ($\sim 747 \text{ m}$ on average, which agreed with the two-year climatology of LCL in this region as documented by Nuijens et al., 2014) and the 0°C isotherm was near 5 km. Since the maximum cloud depth was less than 3 km AGL (Fig. 13 shown later), the clouds during the experiment were warm (liquid phase only). The inversion height (square and cross symbols) increased from $\sim 1.5 \text{ km}$ to 3.7 km from 18 March to 25 March, and then decreased to a minimum of $\sim 1 \text{ km}$ on 3-4 April as dry air intruded into the lower atmosphere. After 5 April, the inversion height increased and the lower-troposphere stability weakened (not shown). The primary inversion height here was defined as the level below 6 km where the increase in virtual potential temperature with height was the greatest over a 5 m interval. A secondary maximum was also identified. The appearance of multiple inversion heights was common during the experiment. The variations in inversion heights agreed with the changes in vertical structures of winds, humidity and temperature in Fig. 1.

Atmospheric humidity conditions (Fig. 1a) showed significant dry air intrusions into the layer below 2 km, prior to 22 March and from 31 March to 5 April (dusty period). On 5 April, a sharp dry-to-moist transition occurred through the entire lower atmosphere. The sub-cloud layer (below the LCL) was relatively well-mixed throughout the field experiment (Fig. 1b), showing a constant θ at $\sim 300 \text{ K}$. Prior to 31 March (pre-dust outbreak periods), easterlies dominated throughout the atmosphere. The heights of the easterlies lowered with the onset of dust outbreaks on 31 March, and then reached a minimum height of $\sim 1 \text{ km}$ on 3-4 April when the inversion heights were the lowest and the air mass was the driest, which corresponds to the period of African dust event observed at Barbados. After 4 April, the regions of easterlies ascended and the maximum easterlies appeared at around 4-5 km on 9-10 April when the lower atmosphere experienced the coldest (not shown) and the most humid conditions. Similarly, anomalously weak winds were noticeable during the dust outbreak (Fig.

1c). The regions of weak winds (e.g. $< 5 \text{ m s}^{-1}$) descended from 3-5 km on 31 March to 1-2 km during the dust outbreak (31 March-5 April).

There was no precipitation recorded at the surface weather station in Barbados during the campaign (no rain or trace recorded, <http://www.wunderground.com/global/BR.html>). The mean precipitable water during BACEX was 4.1 cm based on the soundings at Grantly Adams airport (not shown), and was similar to observations during the RICO field campaign (Rauber et al., 2007).

The overall atmospheric conditions and variability observed from the TO are illustrated in Fig. 2 with the vertical profiles of potential temperature (θ), mixing ratio (q), and aerosol concentration (N_a). Only one sounding from each flight is shown. Potential temperature (Fig. 2a) varies from 298 K to 312 K with ~~weak poorly defined~~ inversion heights in most flights. However, strong inversion layers were observed during RF10 and RF11 (1-2 April, yellow and light orange) near 500-600 m and 1500-1700 m that were characterized by θ jumps and significant reductions in q . RF1 (19 March) also showed a strong inversion near 1700 m, along with a significant reduction in q near the layer. The overall N_a for these profiles (RF1, RF10, and RF11) was relatively high, compared with other days. In contrast, profiles from RF13, RF14, and RF15 showed a monotonic increase in θ and a decrease in q with height, without any significant inversions or dry layers. The N_a was relatively low on these days (Fig. 2c) with concentration of less than 250 mg^{-1} below 1000 m that decreased monotonically with heights to $\sim 0 \text{ mg}^{-1}$ above 1500 m.

To determine how the BACEX thermodynamic structures compare with those obtained from previous field campaigns in Caribbean cumulus regimes, we compare these structures with the vertical profiles of θ and q obtained from BACEX, ATEX, BOMEX and RICO. All the data sampled, including soundings and level flights, were used to attain the mean profiles of θ and q for BACEX. Further, the data were first averaged at 20 m vertical intervals, and then subjected to a 9-point moving average (87.5 m resolutions) to filter out small variations. θ and q for RICO and BOMEX were obtained from GCSS (GEWEX Cloud System Study) boundary layer cloud homepage (<http://www.knmi.nl/~siebesma/BLCWG/>), and Table 1 from Stevens et al. (2000) for ATEX. Some of the GCSS thermodynamic soundings are simplified realizations of the detailed average soundings.

In Fig. 3, BOMEX shows similar moisture conditions as BACEX below the inversion ($\sim 1500 \text{ m}$), but is drier than BACEX above the inversion by about 5 g kg^{-1} . θ during BOMEX is about 1 K cooler (warmer) than BACEX below (above) the inversion, but is within $\pm 1\sigma$. The RICO profile shows consistently cooler ($\sim 2 \text{ K}$) and drier ($\sim 2 \text{ g kg}^{-1}$) atmospheric conditions than BACEX throughout the boundary layer (except between 1000 – 1300 m). The cooler and drier conditions during RICO compared with BACEX are due to the time of year and latitude differences between the two field projects. The ATEX profile shows the driest and coldest conditions amongst the others. θ during ATEX is about 4 K cooler than BACEX below the inversion and about 1 K warmer above the inversion ($\sim 1500 \text{ m}$). Further, q during ATEX is about 4 g kg^{-1} lower than q during BACEX. These differences can be attributed to the higher latitude (lower SST conditions) of the ATEX observing area. Overall, atmospheric conditions during BACEX (March-April, 2010) were warmer and moister than the

others but similar to those from CARRIBA made during dry months (CARRIBA_{DRY}; Fig. 5 in Siebert et al., 2013) that took place in a similar area and time of the year (i.e., spring).

3.2 Back trajectories

Back trajectories were calculated to give a rough indication of the air mass source regions observed in the boundary layer during BACEX. The 10 day backward trajectories were calculated by using daily 12 UTC air masses, observed at 500 m in the middle of the flight domain (Fig. 4). The air mass within the boundary layer over Barbados (Fig. 4) originated mainly from three regions, in agreement with the findings of Dunion (2011). The first group of similar air-mass source-regions occurred on 19 March and during 30 March and 5 April. They corresponded to the periods of dry air intrusion into the lower troposphere (Fig. 1a) when the air mass originated from Africa (dust outbreak period). The second group of similar air mass source-regions occurred between 23 and 26 March, and originated from middle latitudes continents (e.g., North America). The third group (e.g., 3/22, 3/29, 4/10, 4/11) originated from the North Atlantic with trajectories remaining over the ocean for at least 10 days.

3.3 Aerosol properties

3.3.1 Vertical and temporal variation

African dust events across the North Atlantic, including the period of BACEX, suggested a series of SAL outbreaks (not shown). Prior to BACEX, a large SAL event occurred on 16 March from the African coast. Over the next few days, dust spreads over the North Atlantic. Then, another surge of dust occurred over Africa on 22, and 25-26 March based on the satellite images and vertical structures of θ and q over Africa. The dust event observed at Barbados between late March and early April (Fig. 4, Fig. 5c) was mainly a result of these surges of dust (Jung et al., 2013). During BACEX, a wide range of aerosol conditions was observed on 15 flights, including the most intense African dust event observed at the Barbados surface site during all of 2010.

Aerosol concentrations measured at the surface and in the sub-cloud layer are shown in Fig. 5, along with the vertical structures of aerosol concentration in the trade-wind boundary layer. Dust surface concentration (Fig. 5c) was obtained at the Ragged Point surface site. Sub-cloud N_a (Fig. 5b) was obtained from TO during the level leg flights in the sub-cloud layer; and vertical profiles of N_a (Fig. 5a) were obtained from TO during the aircraft's ascents and/or descents. In Fig. 5c, dust surface mass concentration remained lower than $10 \mu\text{g m}^{-3}$ prior to 29 March, and then rapidly increased to a maximum on 1-2 April with mass concentration exceeding $150 \mu\text{g m}^{-3}$. The aerosol robotic network (AERONET; Holben et al., 1998) level 2 Aerosol Optical Depth (AOD) at 500 nm wavelength fluctuated around 0.1 during the non-dusty period, and then increased rapidly from 29 March to 1 April, when AOD was observed to be ~ 0.6 .

Temporal variations of sub-cloud aerosols are shown in Fig. 5b. The mean values of CN (black), PCASP (blue) and CCN (activated at a super-saturation of 0.6 %, hereafter $s_{\underline{s}}=0.6$ %, red) are shown as solid lines with $\pm 1\sigma$ denoted by vertical

error bars. Overall, CCN concentration followed PCASP (i.e., accumulation aerosol mode) patterns reasonably well. The aerosol concentration showed an increasing trend from 29 March to 5 April for CN, PCASP and CCN, which was consistent with the trend of dust surface concentration in Fig. 5c. In contrast, high aerosol concentration in the sub-cloud layer on 23 March (Fig. 5b) was not from African dust; but may have originated over the East coast of North America based on the back trajectories (Fig. 4).

The vertical distribution of N_a is shown in Fig. 5a. The average (black-solid lines) and individual (colored lines) profiles of N_a (m g^{-1}) are offset by 400 mg^{-1} for each flight in Fig. 5a, with each vertical dotted line representing a new axis to indicate aerosol concentration for the day in question. For example, N_a on 5 April is nearly constant below 500-600 m ($\sim 300 \text{ mg}^{-1}$), then gradually increases with height and peaks around 2000 m at $\sim 600\text{-}700 \text{ mg}^{-1}$. Thereafter N_a decreases with height reaching $\sim 200 \text{ mg}^{-1}$ at around 2500 m. Measurements of N_a on 23 March were not available, thus CCN ($s=0.6 \%$) are overlaid in Fig. 5a to give a general sense of N_a vertical structure on the day.

The variety of vertical structures, evident in Fig. 5a, is of interest; N_a decreases monotonically as height increases on 22, 29, 30 March, and 7, 10, 11 April with a maximum N_a in the sub-cloud layer. In particular, observations on 22, 29 March and 10, 11 April show relatively clean boundary layer conditions with a maximum N_a of less than 200 mg^{-1} . These air masses appear to originate over the Atlantic (Fig. 4). In Fig. 5, there are a couple of days when high N_a is observed above the inversion, but low N_a is recorded at the surface (e.g., 25, 26 March). Both days show high AOD in Fig. 5c, suggesting that AOD may not be a good indicator of the low boundary layer aerosols, which are important to low-level clouds. Air masses on these days (25-26 March) originated from mid-latitudes continents (Fig. 4). During the period between 31 March and 5 April (dusty periods), there was significant aerosol variability in the marine boundary layer (Fig. 5a). The vertical structures of aerosols and their source regions are summarized in Table A3 in the appendix.

3.3.2 Aerosol particle size distribution

The BACEX aircraft observations provided a characterization of the variability in the aerosol particle size distributions (PSDs) (Fig. 6). PSDs were calculated from all available PCASP measurements made on pseudo-soundings and level flights for a given day, when no liquid water was detected (i.e., cloud-free air), to give daily flight-averaged PSDs (Fig. 6a). Further, PSDs were calculated from PCASP measurements made at sub-cloud layer (Fig. 6b) to show the differences in PSD with height by comparing with PSDs in Fig. 6a (integrated PSD in Fig. 6a versus PSDs at sub-cloud layer in Fig. 6b). The accumulation mode aerosols, which are measured by PCASP daily flight-averaged PSDs have a maximum concentration at about $0.15 \mu\text{m}$ in the fine mode (Fig. 6). It is of interest that the overall shapes of integrated PSDs (Fig. 6a) are consistent, despite the large differences in the total mass loading and the origin of aerosols (see Figs. 4 and 5), except for the transition periods. The Nevertheless, slight differences among are observed between the individual PSDs observed during the transition periods, indeed and those differences provide some insights on into the cloud-processes (such as cloud processing of the aerosols, see Jung et al., 2013) that affect the aerosol concentrations (e.g., Jung et al., 2013). For example, PSDs obtained from RF07 (3/29; green bold-solid) and RF08 (3/30; green bold-dashed) have a similar concentration for the

smaller sizes of aerosols (e.g., $D < 0.25 \mu\text{m}$), but the difference increases as the aerosols increase in size with more abundant coarse ~~larger~~ particles as observed on 30 March. The differences in PSDs between two days are more evident in PSDS obtained from the sub-cloud layers (Fig. 6b). Although these two days have similar vertical structures in N_a (Fig. 5a), the air masses sampled on 30 March originated from Africa (dust particles), whereas air masses sampled on 29 March originated from the Atlantic (~~sea salt~~) (Fig. 4). Sea salt particles on 29 March could serve as giant CCN (GCCN), but GCCN concentrations in nature are an order of 10^{-2} to 10^{-4} many orders magnitude less than CCN concentrations (order 10^2 - cm^{-3} (many orders magnitude less than CCN concentration). Fig. 5b) (Feingold et al., 1999), and thus, not likely to contribute to the larger sizes of aerosols in Fig. 6. On 31 March (RF09; light green ~~bold-solid~~), African dust prevailed throughout the trade-wind boundary layer, and the integrated N_a in the boundary layer (Fig. 6a) increased over all sizes compared with PSDs on 29 March (RF07, green bold-solid). PSDs on 25-26 March (RF05-06, blue lines), which originated from mid-latitudes continent (Fig. 4), showed large aerosol loadings above the inversion (Fig. 5) reflecting the abundance of finer smaller particles and lack of larger particles on these days, implying different aerosol sources from the dusty periods.

PSDs obtained from PCASP and CAS in the sub-cloud layers are shown in Fig. 7 to show the wider ranges of DSDs. As discussed earlier, PCASP ($0.1 - 2.5 \mu\text{m}$) dries the particles before measuring them (e.g., Strapp et al., 1992), while CAS ($0.6 - 60 \mu\text{m}$) sizes them in ambient conditions. Therefore the behaviours may be quite different for a dust particle (or for a coated dust particle) and a sea-salt particle, if the particle is measured under dry or ambient conditions (Appendix C). Therefore, sizing the particles in different conditions could result in discrepancies in a region where two measurements overlap especially when the ambient relative humidity (RH) is high (e.g., $\text{RH} > 70\text{-}80\%$) and some soluble particles swollen by water (sized by CAS) and others dry (sized by PCASP). Nevertheless, Fig. 7 include larger particle sizes, PSDs are calculated by combining PSDs obtained from PCASP with CAS in the sub-cloud layer (Fig. 7). The plots in Fig. 7 show two distinct populations of PSDs in the sub-cloud layer regardless of the ~~discrepancy of the PSD at the interface.~~ First, PSDs from dusty days (RF07-RF12) have a significantly higher N_a ~~and total volume~~ between $0.5 \mu\text{m}$ and $10 \mu\text{m}$, compared with PSDs that were obtained from the non-dusty days. The increase in N_a in this particular size-range may have important impacts on cloud-aerosol interactions because the most effective GCCN in terms of particle size lie within this range (diameters of $3\text{-}6 \mu\text{m}$ are optimal for enhancing precipitation in warm clouds (See Segal et al., 2004; Jung et al., 2015). However, since pure dust is insoluble, to serve as a GCCN the dust particle would need to be coated with hygroscopic materials. An example of dust particles playing a role as a GCCN is shown in Levin et al. (2005) during the Mediterranean Israeli Dust Experiment Campaign. Remaining PSDs – the second distinct populations of PSDs in the sub-cloud layer – are associated with non-African dust periods and show relatively low N_a over all size ranges. The effect of measuring the size of dust versus salt, which have different refractive indices, is relatively small in PCASP (Fig. C1 in the Appendix C, Fig. C1 Fig. C1). However, i-~~In~~ the case of CAS, ~~however,~~ uncertainties in sizing particles smaller than about $10 \mu\text{m}$, can be as much as a factor of two (Fig. C1 not shown). ~~In the combination of PCASP and CAS (e.g., Fig. 7), the first four combined~~

bins of CAS (channels 10 to 13) are likely subject to size uncertainties due to refractive index differences between dust and salt.

3.4 Cloud and precipitation properties

5 During the experiment, small cumulus clouds were observed on most days, whereas relatively deep cloud clusters (heights to about 2.5-3 km) were sampled on only a few days (e.g., 22, 24, and 30 March) with different characteristics relative to the small cumulus clouds. The cloud radar data sampled during the cloud-base level-leg flights were used to obtain a bulk sense of shallow marine cumulus cloud characteristics, such as distributions of cloud reflectivity, velocity, thickness, tops and bases. The dates, time periods, and average heights of level-leg flights used for the radar analysis are summarized in Table
10 A4. Examples of time-height cross-sections of radar reflectivity, for the 5-minute periods, are shown in Fig. 8. Radar reflectivity z is written as (3) by assuming particles are spherical and small compared with the radar wavelength

$$z = \int N(D)D^6 dD \quad (3)$$

in units of $\text{mm}^6 \text{m}^{-3}$. Throughout the text, radar reflectivity Z in units of dBZ, is used as radar reflectivity, where $Z=10\log(z)$.

The clouds sampled on 22 and 24 March (Figs. 8a-b) were precipitating, and characterized by strong reflectivity
15 (e.g., $Z > -20$ dBZ) and larger physical sizes (horizontal and vertical). On the other hand, on 29 March and 11 April (Figs 8c-d), typical marine shallow cumulus clouds were sampled that produced substantially weaker reflectivity ranging from -40 dBZ to -20 dBZ. These non-precipitating-clouds are narrower (less than 1 km wide) and shallower (depths less than 500 m) than the precipitating-cloud systems that are about 4-7 km wide and 1-2 km depth (Fig. 8a-b). Further, precipitating clouds tend to exhibit more organized mesoscale features, and the hydrometeor reflectivity is high enough to be detected by the S-
20 band radar located on Barbados (not shown).

To show the organizational differences between precipitating and non-precipitating clouds, satellite imagery taken on 22, 24, 29 and 30 March is shown in Fig. 9. Clouds on 22 March comprise relatively deep convective cores surrounded by cloudiness that is formed from the outflow of the deeper convection (Fig. 9a). The clouds appear to be organized around the arc-shaped outflow boundaries from earlier convection as shown in the RICO field campaign (Zuidema et al., 2012), and this
25 organizational characteristic is also evident on 24 and 30 March (Fig. 9b and Fig. 9d). Convection associated with these features often reached cloud heights of about 2-3 km. On the other hand, the aircraft sampled typical fair weather cumulus clouds on 29 March (Fig. 9c). The size of the clouds was significantly smaller than the precipitating cloud systems, and clouds did not have outflow features as ~~seen~~ in the precipitating clouds. This shallow convection had no measurable precipitation, and often had a cloud thickness of less than 500 m (Fig. 13 shown later).

30 Characteristics of cloud cores sampled during BACEX are shown in Fig. 10. A cloud core was defined by updrafts (w) greater than 1 m s^{-1} . The adiabatic liquid water mixing is overlaid on Fig. 10a. The 10 m vertically averaged liquid water mixing ratio (Fig. 10a) and cloud droplets number concentration (N_d) (Fig. 10b) for non-precipitating clouds were estimated

using data with $w > 1 \text{ m s}^{-1}$, $\text{PVM-100-LWC} > 0.01 \text{ g m}^{-3}$, and CIP volume ~~number~~ concentration $< 0.01 \text{ cm}^{-3}$. The criterion for CIP volume ~~number~~ concentration is applied here because shattering of large drops can contaminate the measurements of N_d and also large drops tend to precipitate. Overall, clouds sampled below 1 km are mainly non-precipitating and close to adiabatic, while clouds observed above 2.2 km are mostly precipitating. Figure 10(a) shows that shallow cumulus clouds sampled during BACEX are far from adiabatic even in the cloud core, which is in agreement with marine cumulus clouds sampled during RICO (e.g., Rauber et al., 2007; Gerber et al., 2008) and continental cumulus clouds (Lu et al., 2008). In addition to the cloud entrainment/detrainment and precipitation processes attributing to the discrepancy between measured and adiabatic value, the transfer function of PVM-100A being decreasing with increasing droplet size (e.g., Wendisch et al., 2002) could also partly result in the discrepancy between measured and adiabatic value around cloud top region.

~~BACEX~~ was seasonally similar to the CARRIBA_{DRY} period but observed the strongest dust event during all of 2010 in addition to theas well as more typical marine boundary layer aerosol, and provided at least three different types of aerosols: i) Sea salt during typical maritime air masses, ii) dust particles during the dust events, and iii) fine particles from long-distance continental pollution plumes mainly residing above the boundary layer, giving the wide range of N_d that was not seen in other studies experiencing relatively homogeneous aerosols environments (e.g., Gerber et al., 2008). The composite of N_d obtained from 12 flights during BACEX (shown in Fig. 11) are shown in Fig. 10b. N_d during BACEX varies from ~~near~~ 0 to 400 cm^{-3} and tends to increase with height (Fig. 10b). The maximum N_d occurred just above cloud base as commonly thought, as well as, high above the cloud base showing the tendency of increasing N_d with heights. The increasing N_d with heights was also observed in several research flights during the RICO. Further, the breadth of DSDs (in Fig. 10b) was predicted by the inhomogeneous mixing (Lehmann et al., 2009) allowing droplets to experience different degrees of subsaturation (e.g., inhomogeneous droplets evaporation is considered by Bewley and Lasher-Trapp, 2011). The low N_d at high altitude ($\sim 2300 \text{ m}$) may be associated with entrainment mixing and wet scavenging due to precipitation. The N_d with heights for the couple flights of BACEX are shown in Appendix B (Fig. B1) to show how many aerosol particles are activated during the BACEX. The breadth of DSD in Fig. 10b was predicted by the inhomogeneous mixing (Lehmann et al., 2009) allowing droplets to experience different degrees of subsaturation (i.e., inhomogeneous droplets evaporation considered by Bewley and Lasher-Trapp, 2011). ~~The low N_d at high altitude ($\sim 2300 \text{ m}$) may be associated with entrainment mixing and wet scavenging due to precipitation. The increasing or nearly constant N_d with heights are shown in Appendix Fig. 1 where a couple of individual flights were shown. The low N_d at high altitude ($\sim 2300 \text{ m}$) may be associated with entrainment mixing and wet scavenging due to precipitation.~~

To characterize the cloud and precipitation structures observed during BACEX, radar reflectivity Z and Doppler velocity V_r are examined in Fig. 11 as the frequency distributions of Z versus V_r . Here Z and V_r are measured from the vertically pointing cloud radar during the cloud-base level-leg flights (except for 30 March). The radar measures returned signals (Z) of the object that, which are proportional to D^6 and the number concentration of droplets (see eq. 3) as well as and Doppler velocity (V_r) of the target that which is moving toward or away from the radar. In general, the larger the hydrometer, the stronger Z is measured. Precipitating clouds are often $Z > -17$ to -20 dBz in cloud radars (e.g., Frisch et al., 1995). -The

V_r is the sum of the hydrometeor fall velocity, V_f (e.g., raindrop) and the air motion, w ($V_r = V_f + w$), and positive V_r indicates updrafts (conventionally, away from the radar). In Fig. 11, clouds sampled on 25, 26, 29, 31 March, and 10, 11 April all show similar patterns in Z and V_r . On the V_r - Z diagram, frequency distributions are horizontally oriented, indicating a wide range of V_r (-5 to 3 m s⁻¹) and a narrow range of weak Z (-38 dBz ~ -28 dBz). In contrast, the second group (22, 24, 30 March, and 7 April) shows V_r and Z that are vertically oriented on the diagram with a relatively narrow range of negative V_r and a wider range of weak to strong Z . In particular, clouds sampled on 22, 24, and 30 March show the maximum frequency of Z stronger than -20 dBz. Clouds sampled on 23 March and 5 April show a mix of both types of distributions. Clouds with weak Z tend to be non-precipitating. In contrast, clouds with a maximum frequency appearing at strong Z (e.g., $Z > -20$ dBz here, also in Frisch et al., 1995) and negative V_r are generally precipitating (e.g., 22, 24 and 30 March in Fig. 11). Clouds sampled on these three days (3/22, 3/24, and 3/30) are referred to as “precipitating-clouds” hereafter, whereas clouds sampled on 9 days that excluded these three precipitating-cloud cases are referred to as “non-precipitating clouds”.

Cloud composites are shown in Fig. 12 on the V_r - Z diagram. During BACEX, clouds with Z between -20 dBz and 5 dBz and V_r between -2 m s⁻¹ and 1 m s⁻¹ are most frequently sampled (Fig. 12a; number of samples > 200). However, this peak is strongly influenced by precipitating-clouds, and is evident in the precipitating-cloud composite in Fig. 12b that exhibits a similar distribution of the cloud composite made from the entire BACEX periods (Fig. 12a). The V_r - Z distribution that is—estimated by excluding the strongest precipitating cloud on 22 March (Fig. 12c)—shows two populations of Z and V_r : first, weak Z ($Z < \text{less than } -30$ dBz) with wide ranges of and V_r (ranging from -4 m s⁻¹ $< V_r < \text{to } 3$ m s⁻¹), which is shown as an (horizontally oriented pattern in Fig. 12c); and second, strong Z (ranging from e.g., -30 dBz $< Z < \text{to } 5$ dBz) with predominately a negative and V_r (ranging from 0 m s⁻¹ $< V_r < \sim -4$ m s⁻¹), which is shown as an (vertically oriented pattern in Fig. 12c). V_r - Z distribution that excludes the three precipitating-clouds shows a horizontally oriented pattern in Fig. 12d with a wide range of V_r and narrow range of weak Z (-40 ~ -30dBz), confirming that those clouds are predominantly non-precipitating. However, Figure 12d also shows the other regime of Z and V_r (vertically oriented pattern) that indicates the presence of lightly precipitating clouds in the shallow marine cumulus cloud regimes that are dominated by non-precipitating clouds. The cloud thickness of these non-precipitating clouds (but with light precipitation, Fig. 12d) is about 1300 m (Fig. 13c-d).

Vertical frequency distributions of Z and V_r are shown in Fig. 13. Two dominant populations of Z are shown in Fig. 13a, which are composed of all of the data – one with $Z < \sim -35$ dBz at around 1000 m, and the second with $Z > -20$ dBz between 1000 and 2300 m. For the same composite of clouds, the velocity distribution (Fig. 13b) peaks at about -2 m s⁻¹ to 0.5 m s⁻¹ between 1000 and 2300 m. Cloud bases and tops are about 400 m and 2700 m, respectively, indicating a maximum depth of the clouds of about 2300 m. A striking feature in Fig. 13a-b is the jump from small Z to large Z populations over a short vertical distance near 1000 m (Fig. 13a), showing clouds deeper than 500-600 m (in depth) have a significant chance of raining. A similar behavior of trade-wind cumuli has been noted in the early work of Malkus (1958). Z and V_r frequency distributions of the 9 days, excluding three days with precipitating clouds, are shown in Fig. 13(c-d). For these clouds (defined as non-precipitating clouds in this study, but in reality, the clouds could have light precipitation), $Z \sim -35$ dBz and V_r

~~of $\pm 2 \text{ m s}^{-1}$ are the most frequently observed between 600 m and 1300 m. Cloud bases and tops for the clouds are about 700 m and 2000 m, respectively, indicating a thickness of about 1300 m. For these non-precipitating clouds, $Z < -35 \text{ dBz}$ and V_r of $\pm 2 \text{ m s}^{-1}$, are the most frequently observed between 600 m and 1300 m. Cloud bases and tops for these clouds are about 700 m and 2000 m, respectively, indicating a thickness of about 1300 m.~~

5 The vertical structures of the individual clouds are further examined in Fig. 14. For a given day, the total number of data points at a given height is counted based on data sampled along the cloud-base level leg flights by the cloud radar. Then, the number of data points is divided by the maximum number of each day to have the same range from 0 and 1. This approach is to facilitate comparisons with other days, since the main purpose of this calculation is to examine the differences in vertical sampling statistics between individual days, in particular between precipitating and non-precipitating clouds. Here in Fig. 14, the terminology “clouds” is used for the area and/or data points where the cloud radar detects signals. We assume that an individual observation represents a precipitating cloud if $Z > -20 \text{ dBz}$ and $V_r < 0$ based on Figs. 11-13. The data shown in Fig. 14 are averaged in 100 m vertical intervals to filter out small variations.

15 Two types of precipitating-clouds are shown in Fig. 14. The first cloud type has precipitation shafts that are observed mainly close to and below the cloud base (and/or throughout the most of the cloud layer; this feature is also seen in Fig. 13b with stronger downward motions close to cloud bases), especially when the clouds are deeper than the other lightly precipitating clouds. For example, on 22 March, the overall occurrence of precipitating clouds (black) exceeds the occurrence of non-precipitating clouds (grey) close to the cloud base. In addition, the height of maximum occurrence of the precipitating clouds is slightly lower than the height of maximum occurrence of total clouds. The second precipitating cloud type has precipitation shafts that emanate mainly from the upper part of the cloud and/or near cloud top (e.g., 3/24, 4/10 in Fig. 14; hereafter cloud-top precipitation) on the downshear side of the cloud (not shown). This type of precipitating cloud is shallower than the first type of cloud, and can also be accompanied by precipitation shafts emanating near cloud base. For example, on 5 April, the maximum occurrence of total clouds is observed at around 900-1000 m (grey), while precipitating-clouds are observed most frequently near 1200 m with secondary peaks near cloud base (black). The same patterns are shown on 3/23 and 4/7. Figure 14 shows that the second type of precipitation (cloud-top precipitation) is more frequently observed during BACEX. One of the examples of this type of precipitating clouds is shown in Fig. 15 based on photo and radar measurements. Cloud-top precipitation shafts, accompanied by precipitation shafts emanating from the cloud base, are evident from the photo (Fig. 15a). These precipitation shafts are shown with strong radar reflectivity ($Z > -20 \text{ dBz}$) in Fig. 15b and downdraft (e.g., $V_r < -3 \text{ m s}^{-1}$) in Fig. 15c. Note that the photo is of a cloud over Key Biscayne in an environment similar to that in Barbados.

30 ~~The existence and predominance of the second type of precipitation in shallow marine cumulus could affect the hydrological cycle and cloud radiative forcing. For example, the detrainment moistening and evaporative cooling near cloud top could destabilize the local environment and promote deeper clouds (e.g., preconditioning; Blade and Hartmann 1993), and further, the deeper and wetter clouds would tend to precipitate more, offsetting the tendency for aerosols to suppress precipitation (e.g., Stevens and Seifert, 2008; Stevens and Feingold, 2009). However, it should be noted that aerosol effects~~

on clouds and precipitation are tangled with meteorological influences, which has led to considerable disagreement on the impacts of aerosols on precipitation, both in direction (e.g., decrease or increase) as well as magnitude (Stevens and Feingold, 2009). Lonitz et al. (2015) analyzed non-precipitating clouds that similar to our study and concluded that small changes in the relative humidity can have similar influence on the development of rain as large changes in aerosol concentration, and that aerosol effects on the formation of precipitation are likely very difficult to separate from co-varying meteorological perturbations. The interactions among clouds, precipitation and aerosols from BACEX will be discussed in a separate study.

Cloud fields documented during CARRIBA projects [and by the MPI group](#) also showed similar structures to Fig. 14. For example, frequency distributions showed bimodal peaks in the radar returns, one near cloud base and the other near cloud top (Nuijens et al., 2014). However, the peaks observed near cloud tops in this study were attributed to cloud top precipitation and not to stratiform clouds (Nuijens et al., 2014) nor to extended cloud layers near cloud tops as a result of stronger inversion (Siebert et al., 2013). Our analysis was confined to shallow marine cumulus clouds (which eliminated the possibility of Sc) and identified precipitating and non-precipitating clouds, which facilitated identification of the peaks near the cloud tops as the precipitation.

The aircraft in situ observations are used to determine how frequently clouds precipitate during the BACEX flights. The daily percentage of precipitating clouds among the total number of clouds observed is shown in Fig. 16. The percentage of precipitating clouds for a given day is estimated by the ratio of precipitating clouds to the total number of clouds sampled. A cloud is counted only if the [PVM-100 liquid water content LWC](#) is larger than 0.02 g m^{-3} for more than 3 seconds ($\sim 180 \text{ m}$ wide). The cloud is classified as precipitating if the precipitation liquid water content, PLWC (~~derived from the CIP~~ [volume concentration \$\times\$ density of water](#)) for a given cloud is larger than 0.1 g m^{-3} . However, the choice of the threshold is arbitrary.

The total number of cloud penetrations made on each day ranged from 50 to 200 (not shown). However, the aircraft sometimes penetrated the same cloud more than once, and sometimes avoided clouds with strong updrafts or downdrafts. Nevertheless, Fig. 16a shows that 56 % of the clouds, on average, sampled during BACEX precipitate somewhere in the cloud, and thus about 44 % of clouds are non-precipitating clouds, based on our criteria. This finding is consistent with the percentage of non-precipitating clouds estimated from the radar measurements shown in Fig. 14; no precipitation is observed on 5 of the 12 flights ($\sim 42 \%$). In Fig. 16a, the percentage of precipitating clouds at cloud base (grey) shows lower values compared with the percentage of precipitating clouds that were averaged from all level flights (flight-averaged; black). This further confirms that the dominant form of precipitation shafts is not cloud-based precipitation. Although more than about half of the clouds precipitate (Fig. 16a), precipitation rates in and around the cloud during BACEX (Fig. 16b) were far less than 10 mm day^{-1} (2.7 mm day^{-1} on average). Cloud-base precipitation rates on RF02 (3/22), RF08 (3/30) and RF13 (4/7) were larger than those estimated from all the flights (i.e., flight-averaged). By contrast, precipitation rates estimated from all the flights (gray) were larger than those estimated from cloud-base flights for the rest of the days.

4 Summary and [Conclusionsdiscussion](#)

In this study, we examined the variations and properties of aerosol, cloud and precipitations over the Eastern Caribbean by using data collected during the Barbados Aerosol Cloud Experiment (BACEX), which took place off the Caribbean island of Barbados from 15 March to 15 April 2010. The marine environment near Barbados provided an excellent area to sample shallow marine clouds with a strong propensity to precipitate. In addition, African dust outbreaks periodically affected the region and provided an excellent opportunity to observe aerosol-cloud-precipitation interactions. The primary observing platform for the experiment was [thea](#) Center for Interdisciplinary Remotely Piloted Aircraft Studies (CIRPAS) Twin Otter (TO) research aircraft, which [wasere](#) equipped with standard meteorological instruments, a zenith pointing cloud radar, and probes that measured aerosol, cloud, and precipitation characteristics.

During the one month experiment period, the most intense African dust events during all of 2010 (1-2 April) [wasere](#) observed. Temporal variations and vertical distributions of aerosol observed on the 15 flights ~~made~~ by the TO research aircraft ~~showed~~ a wide range of aerosol conditions. The 10-day back trajectories of air masses observed at Barbados showed three distinct air masses: typical maritime, Saharan, and mid-latitude. These types match well the results from Dunion (2011), who examined about 6000 rawinsonde observations from the Caribbean Sea region taken [from during July to and October the hurricane seasons](#), 1995-2002. A variety of aerosol vertical structures were observed and categorized by three distinct profiles associated with aerosol source regions. First, accumulation mode aerosol concentration (N_a) decreased with height steadily, with a maximum N_a ~~below the trade wind inversion~~ near the surface ($< 250 \text{ m g}^{-1}$). These profiles were associated with ~~typical~~ maritime air masses. The second type of profile had N_a increasing with height, with a maximum N_a above the inversion height. These profiles were associated with air masses that originated in the mid-latitudes (east of U.S. and Canada). The third type of aerosol profile was associated with African dust events (31 March - 5 April) where high N_a was observed throughout the entire boundary layer with stratified aerosol structures. Further, this study shows that under some conditions, in which high aerosol concentrations were observed above the inversion but were not transported to the surface, the AOD may not be a good indicator of the boundary layer aerosols that are important to the development of low-level Cu.

Aerosol particle size distributions (PSDs) from dusty days showed a significantly higher N_a for particle diameters between $0.5 \text{ }\mu\text{m}$ and $10 \text{ }\mu\text{m}$, compared with PSDs obtained from non-dusty days. The increase of N_a in this particular range may have an important impact on aerosol-cloud-precipitation interactions because the most effective GCCN size lies within this range (Segal et al., 2004; Jung et al., 2015), implying that ~~if~~ the dust particles were coated with hygroscopic material ~~theyit, dust~~ may effectively serve as GCCN ~~(Levin et al., 2005)~~. [Otherwise, dust more likely suppresses precipitation \(e.g., Rosenfeld, 2001\)](#).

Despite the large differences in the total mass loading and the origin of aerosols, the overall shapes of PSDs in the accumulation mode were consistent (except for the two single days of transition occurring before and after a dust event). However, it should also be noted that the slight differences between the individual PSDs could provide some insights into the

processes that affect the aerosol concentration via cloud processes. ~~(Jung et al., 2013).~~ For example, Jung et al. (2013) showed that cloud (history of cloud) processes in boundary layer caused complicated stratification in the aerosols below the Saharan Air layer. The observations will be useful for testing how well GCMs can reproduce the aerosol measurements.

During the experiment, the TO research aircraft was able to sample many clouds in various phases of growth. Vertically pointing cloud radar provided the basis for the general characteristics of clouds. Clouds sampled during BACEX had a maximum cloud depth of less than 3 km. However, it is shown that more than half of the clouds precipitate somewhere in the cloud (56 % on average), even though the precipitation amount in and near the cloud was less than 10 mm day⁻¹ as a whole (2.7 mm day⁻¹ on average). In addition, clouds were far from adiabatic as noted in previous studies (Warner, 1955; Rauber et al., 2007; Gerber et al., 2008) ~~supporting, indicating, indicating~~ that the adiabatic assumption ~~that is commonly used in satellite cloud retrievals -measurements~~ is not valid in these shallow marine cumulus clouds and needs to be considered carefully. Further, clouds thicker than 500-600 m showed a significant chance of precipitating, ~~confirming the early work of the depth required for precipitation in shallow marine cumulus clouds (Malkus, 1958).~~

Two types of precipitation features were observed during the experiment. In the first type, precipitation shafts emanated mainly from cloud base (i.e., classic cloud-base precipitation) that led to evaporation in the sub-cloud layer. In the second type, precipitation ~~Two, precipitation~~ shafts emanated mainly from near cloud top (or the upper parts of the cloud) with evaporation occurring in the cloud layer. The latter type of precipitating cloud was shallower than the former type, and was also sometimes accompanied by precipitation shafts emanating near cloud base. During BACEX, the cloud-top precipitation type was more frequently observed than the classic cloud-base precipitation type. These two types of precipitation patterns may impact on the trade-wind boundary layer in different ways. For instance, precipitation shafts that emerge from cloud top and evaporate in the cloud layer (i.e., cloud-top precipitation type), destabilize the atmosphere below the precipitation and provide moisture to the local environment that may affect the moisture budget and lead to an increased cloud lifetime of subsequent clouds (e.g., Albrecht, 1981) and/or later promote deeper clouds (e.g., preconditioning; Blade and Hartmann 1993). The deeper, wetter clouds would tend to produce more rain, which would offset the tendency for aerosols to suppress rain (e.g., Stevens and Seifert, 2008; Stevens and Feingold, 2009), a topic we will address in a follow-on study.

The observations made in this study add to our knowledge of cloud characteristics and the aerosol and thermodynamic environment in which they form for an area important to the Earth's climate system. These observations provide the basis for process studies that can lead to an improved understanding of the role that shallow cumulus play in the relevant energy and moisture budgets of the marine boundary layer.

Appendix A: Flight informationees

Table A1. Flight list.

Flight	Date	Time (UTC*)	number of soundings	Note
RF01	19 Mar	15:14 – 16:40	2(2)	Spuriously high CAS N_d on this flight
RF02	22 Mar	15:01 – 16:28	2(2)	-
RF03	23 Mar	14:28 – 18:20	4(3)	No PCASP data available, cloud chaff, clear air chaff
RF04	24 Mar	14:50 – 18:29	4(2)	Cloud chaff, clear air chaff
RF05	25 Mar	14:50 – 17:52	4(2)	Clear air chaff
RF06	26 Mar	14:45 – 16:04	2(2)	Cloud chaff
RF07	29 Mar	15:03 – 19:02	4(2)	Two Clouds chaff, clear air chaff
RF08	30 Mar	14:40 – 18:12	6(2)	Strong downdraft from cloud outflow
RF09	31 Mar	14:40 – 18:10	4(2)	African dust outbreak
RF10	1 Apr	15:14 – 18:18	3(2)	African dust outbreak, no cloud
RF11	2 Apr	14:40 – 17:18	3(2)	African dust outbreak, few clouds, clear air chaff
RF12	5 Apr	14:48 – 18:29	1(1)	African dust outbreak, water spout observed
RF13	7 Apr	14:33 – 17:17	3(2)	-
RF14	10 Apr	14:36 – 17:19	3(2)	-
RF15	11 Apr	14:36 – 18:09	3(2)	No CCN data available

*Local time: UTC-5

* The total number of soundings is included take-off and landing soundings. The number of the n^{th} sounding used in Fig. 2 is shown inside parenthesis.

Table A2. Table of acronyms and symbols.

Acronym	Expression
AERONET	Aerosol robotic network
AOD	Aerosol Optical Depth
BACEX	Barbados Aerosol Cloud Experiment
CAS	Cloud Aerosol Spectrometer
CCN	Cloud Condensation nuclei
GCCN	Giant CCN
CIP	Cloud Imaging Probe
D_a	Aerosol particle size
D_e	Cloud droplet size
HYSPLIT	Hybrid Single Particle Lagrangian Integrated Trajectory
LCL	Lifting condensation level
LWC	Liquid water content
N_a	Aerosol number concentration
N_d	Cloud droplets number concentration
PCASP	Passive Cavity Aerosol Spectrometer Probe
PSD	Particle Size Distribution (used for aerosol particles)
SAL	Saharan Air Layer
TO	Twin Otter (research aircraft)

Table A3. The lists of various vertical structures and air-masses during BACEX.

RF #	Date	Origin of air mass (Fig. 4)	Vertical structure (Fig. 5)	Note
RF01	19 March	Africa (SAL)	-	-
RF02	22 March	Ocean	Type A	-
RF03	23 March	MLDA	-	-
RF04	24 March	MLDA	-	-
RF05	25 March	MLDA+Ocean	Type B	-
RF06	26 March	MLDA+Ocean	Type B	-
RF07	29 March	Ocean	Type A	Pre-dust
RF08	30 March	Transition	Type A	-
RF09	31 March	SAL	Type C	Dust period
RF10	1 April	SAL	Type C	Dust period
RF11	2 April	SAL	Type C	Dust period
RF12	5 April	SAL	Type C	Dust period
RF13	7 April	Transition	Type A	-
RF14	10 April	Ocean	Type A	Post-dust
RF15	11 April	Ocean	Type A	Post-dust

*Type A: aerosol concentrations decrease with height monotonically.

*Type B: high aerosol concentrations confine above trade-wind inversion

*Type C: high aerosol concentrations prevail throughout the boundary layer and/or complicated structure.

5 | *MLDA: Middle Latitude Dry Air, -SAL: Saharan Air Layer

Table A4. Cloud-base level-run flights for the radar analysis.

RF #	Date	Time (UTC*)	Flight height	Note
RF01	19 Mar	-	-	-
RF02	22 Mar	15:52:48-16:10:48	1035 m	Heavily precipitating cloud
RF03	23 Mar	17:04:48-17:24:36	1065 m	-
RF04	24 Mar	17:01:48-17:24:36	525 m	Precipitating cloud
RF05	25 Mar	15:31:12-16:03:00	795 m	Non-precipitating cloud
RF06	26 Mar	15:27:54-15:36:00	1005 m	Non-precipitating cloud
RF07	29 Mar	17:06:00-17:18:36	885 m	Non-precipitating cloud
RF08	30 Mar	17:36:00-17:49:48	405 m	Precipitating cloud, sub-cloud leg
RF09	31 Mar	16:53:24-17:07:48	705 m	Non-precipitating cloud
RF10	1 Apr	-	-	No cloud
RF11	2 Apr	-	-	No decent cloud
RF12	5 Apr	16:09:00-16:24:36	825 m	-
RF13	7 Apr	16:32:46-16:43:12	735 m	-
RF14	10 Apr	16:19:30-16:28:12	1005 m	-
RF15	11 Apr	16:02:24-16:21:00	795 m	Non-precipitating cloud

*Local time: UTC-5

Appendix B: The activation of aerosol particles

To estimate how many aerosol particles are activated during the flights, N_d measured at cloud cores where the updrafts are stronger than 1 m s^{-1} and all aerosol particles larger than 3 nm (condensation nuclei, CN) PCASP-measured at sub-cloud layer are shown in Fig. B1 for the four-days of the BACEX. Figure B1, first of all, shows that the aerosol particles activate more efficiently in clean environments under the same updrafts (e.g., $w > 1 \text{ ms}^{-1}$). For example, aerosols on 10-11 April (CN $< 300 \text{ cm}^{-3}$) activated about 70 % at cloud base. On the other hand, aerosols on 29 March and 5 April (CN $> 300 \text{ cm}^{-3}$, and 400 cm^{-3} , respectively) activated 52% and 64 % at cloud bases. In case of 5 April, high concentrations of aerosols (dust) were observed near 2000 m where the high N_d with wide ranges of N_d is observed ($296 \pm 108 \text{ cm}^{-3}$, on average). The increased N_d at this particular height may relate to the entrainment and mixing near the cloud top close to the inversion layer (Bewley and Lasher-Trapp, 2011), where the generation of the new particles were found near the inversion layer associated with the dust layer (Jung et al., 2013). Additionally, the new ultrafine particle productions are evident on 5 April between 3 nm and 15 nm.

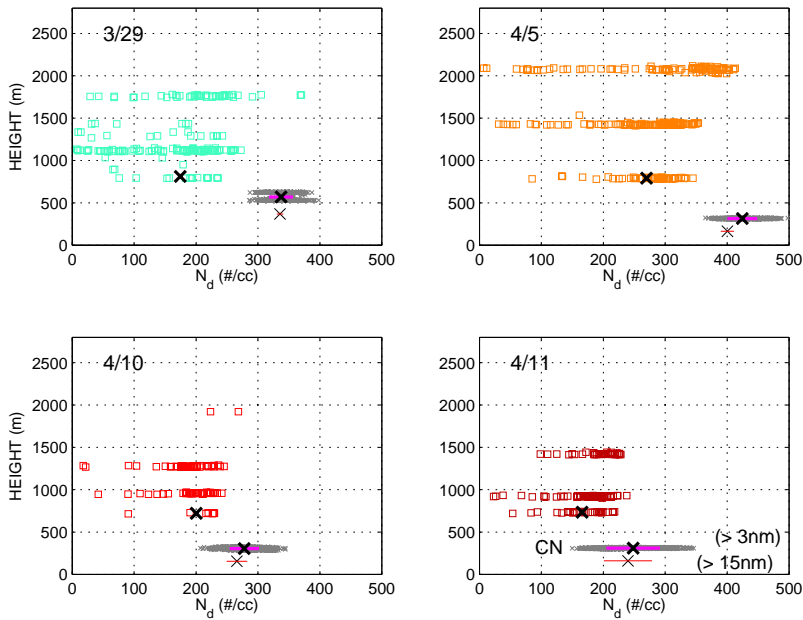


Figure. B1. Droplet number concentrations N_d with heights sampled by the Twin Otter during BACEX in a cloud core ($w > 1 \text{ m s}^{-1}$). Concentrations of aerosol particles larger than 3 nm are shown as grey (all data points) with mean values (black crosses) $\pm 1\sigma$ (magenta horizontal lines). Concentrations of aerosol particles larger than 15 nm are overlaid with a mean (black cross) $\pm 1\sigma$ (magenta horizontal bar). The differences between cross symbols indicate the presence of newly formed ultrafine particles ranging between 3nm and 15 nm.

Table B1. Mean number concentrations of aerosols at sub-cloud layer and N_d at cloud base for the case in Fig. B1.

	<u>aerosols (sub-cloud layer), cm^{-3}</u>		<u>N_d (cloud-base), cm^{-3}</u>	<u>$N_d/\text{aerosols (D > 3nm), \%}$</u>
	<u>D > 3nm</u>	<u>D > 15nm</u>		
<u>29 March, 2010</u>	<u>337 (± 19)</u>	<u>336 (± 5)</u>	<u>178 (± 56)</u>	<u>52.</u>
<u>5 April</u>	<u>424 (± 24)</u>	<u>400 (± 11)</u>	<u>270 (± 54)</u>	<u>64</u>
<u>10 April</u>	<u>278 (± 24)</u>	<u>266 (± 17)</u>	<u>200 (± 50)</u>	<u>72</u>
<u>11 April</u>	<u>248 (± 43)</u>	<u>240 (± 39)</u>	<u>166 (± 41)</u>	<u>67</u>

N_d are obtained in the cloud core ($w > 1 \text{ m s}^{-1}$)

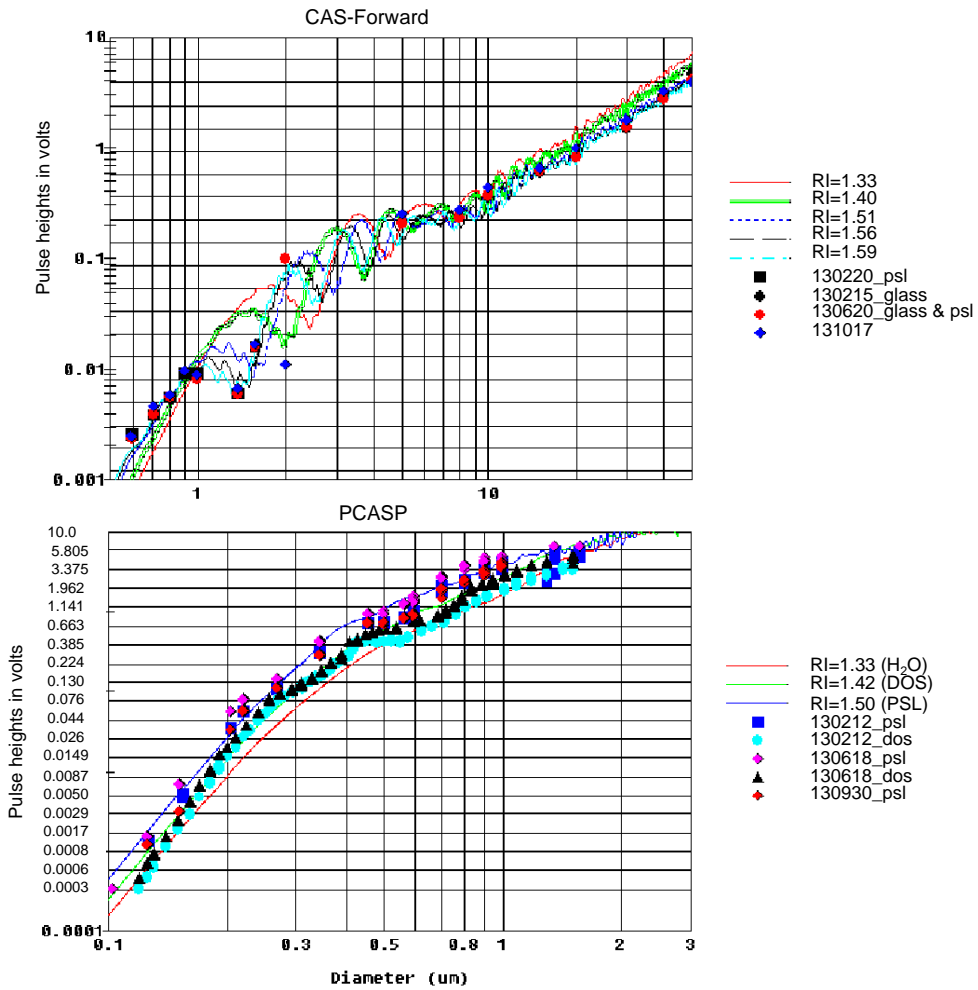
Aerosols (cm^{-3}) are obtained from Condensation Particle Counters (CPCs) at sub-cloud layers. Note that numbers in Table B1 (mean $\pm 1\text{sigma}$) differ from those in Fig. 5b as the numbers are obtained in the updrafts ($w > 1 \text{ m s}^{-1}$).

5 Appendix C: The effect of refractive index in PCASP and CAS

10 The PCASP is pretty insensitive to refractive index (RI), but the forward scatter probe (CAS) is very sensitive to refractive index for $D < 10 \mu\text{m}$ (Fig. C1). In the calibration plots (Fig. C1), the horizontal lines define the channel boundaries, the points show actual calibrations, and the continuous lines show response curves for various refractive indexes estimated from theory. The authors used the response boundaries for approximately middle of the RI envelopes. Shape may also cause sizing uncertainty. Here we only measure pulse heights, and use calibration using spherical glass beads and PSL's, as well as theoretical estimates of the probe response, to invert the pulse heights to 'diameter'. For the range of RI for atmospheric particles, the inversion may error by a factor of two in this size range, which is a well-known problem with forward scatter techniques. In Fig. C1, the modeled instrument response curves are labeled as RI with corresponding materials, and the rest are calibrations, labels by date of the calibration and material used. For example, "130212_dos" (cyan filled circles in

15 PCASP plot (lower panel in Fig. C1) shows a calibration on 12 Feb. 2013 using Di Octal Sebacate oil drops to excite the instrument. The calibration points should line up with the theoretical DOS curve, calibrated for $\text{RI} = 1.42$. For effects of shape, not much is known. Only at high RH can one be reasonably certain that the soluble particles are wetted and spherical, and perhaps with RI close to that for water. Data are available in the literature on growth factors for various types of aerosol

particles. Obviously they differ for different types, and we have no determination of the type. Considering all these effects Feingold et al. (2006) estimated that the accuracy in retrieved drop effective radius is within ~ 20 %.



5 **Figure C1.** Calibration plot. The horizontal lines define the channel boundaries, the individual points show the actual calibrations, and the continuous lines show response curves for various refractive indexes estimated from theory.

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Table 1. Characteristics of instruments used in Barbados Aerosol Cloud Experiment

Instrument	Observations/Purpose
Standard meteorological instruments	Winds, temperature, dew-point, cloud liquid water content, surface temperature, etc
Gerber LWC sensor (PVM-100)	Liquid water content (g m^{-3})
95 GHz Frequency Modulated Continuous Wave (FMCW) Doppler radar (zenith viewing mode)	Doppler spectra (Reflectivity, Doppler velocity and spectrum width); Cloud properties, in-cloud turbulence
CPCs	Total and ultrafine aerosol, cutoffs at $D=3$ nm, 10 nm and 15 nm.
Passive Cavity Aerosol Spectrometer Probe (PCASP)	Aerosol 0.1 – 2.5 μm , 20 bins
Cloud Aerosol Spectrometer (CAS)	Aerosol and Clouds 0.6 – 60 μm , 20 bins
Cloud Imaging Probe (CIP)	Drizzle 25 – 1550 μm , 62 bins
CCN-200	CCN (super-saturation at 0.3 %, 0.6 %)

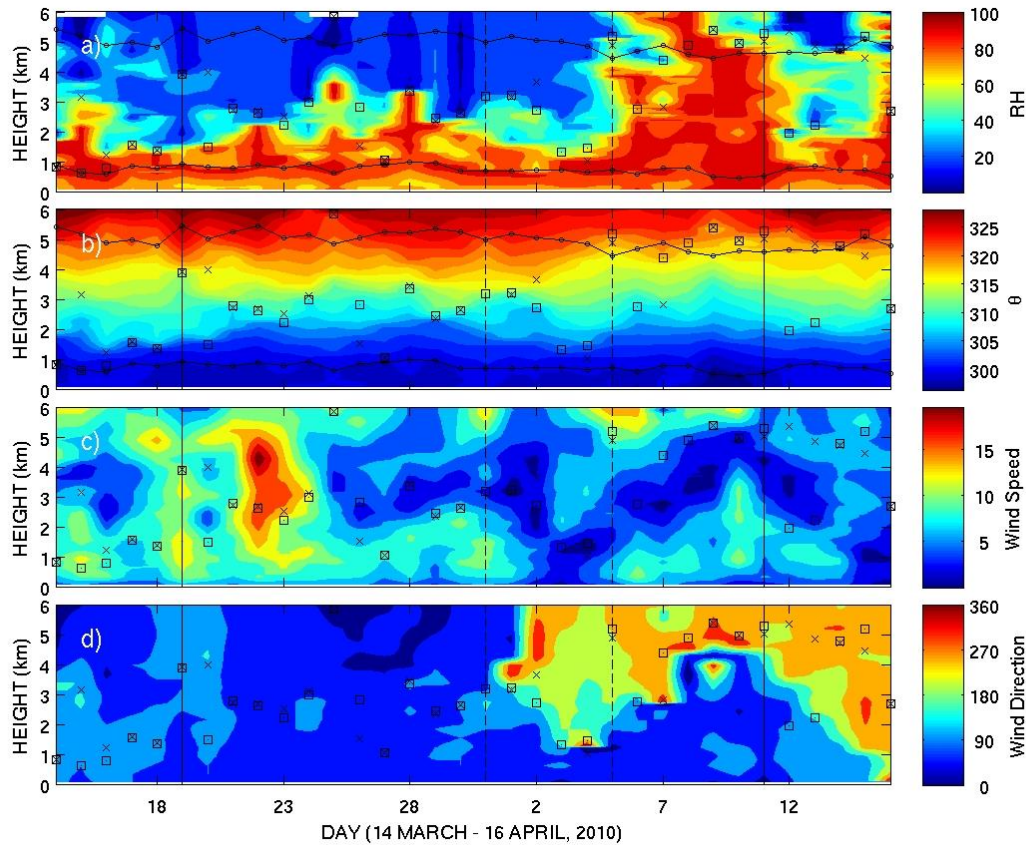


Figure 1. Time-height cross-section of (a) relative humidity (%) (b) potential temperature (K) (c) wind speed (m s^{-1}) and (d) wind direction (degrees), obtained from soundings launched from Barbados at 12:00 UTC from 14 March to 16 April 2010. Days of the first (19 March) and the last (11 April) flights are denoted as solid, black vertical lines. A period of heavy African dust (31 March-5 April) is denoted by the dashed-black vertical lines. The primary and secondary inversion heights are shown as square and cross symbols, respectively. Lifting Condensation Level (LCL) and 0°C isotherm are overlaid in Fig. 1(a) and (b) as black lines connected with circular symbols. The LCL is calculated by lifting a parcel with the average thermodynamic properties for the layer 100 – 200 m above the ocean surface. Sounding data were obtained from the University of Wyoming’s online Upper air Data (<http://weather.uwyo.edu/upperair/sounding.html>).

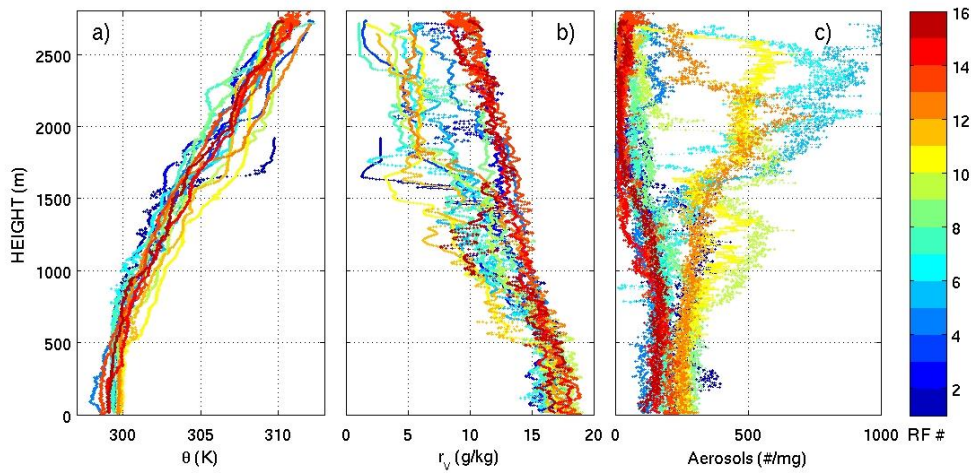


Figure 2. Profiles of (a) potential temperature, Θ , (b) water vapor mixing ratio (g/kg), and (c) aerosol number concentration per mass of air (#/mg) obtained from PCASP during the aircraft's ascents and/or descents. The profiles shown are one out of many soundings for each day and are denoted in Table A1. The color bar shows the number of research flight (RF #), shown in Table A1.

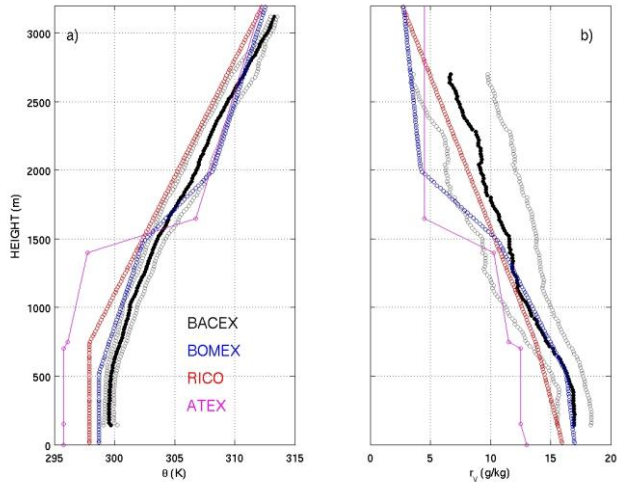


Figure 3. Profiles of (a) potential temperature, Θ , water vapor mixing ratio obtained from BACEX (black) with $\pm 1\sigma$ (grey), BOMEX (blue), RICO (red) and ATEX (magenta) field campaigns. Data of BOMEX, RICO, and ATEX are obtained from GCSS (GEWEX Cloud System Study) boundary layer cloud homepage. BACEX profiles are obtained from all data sampled during the experiment.

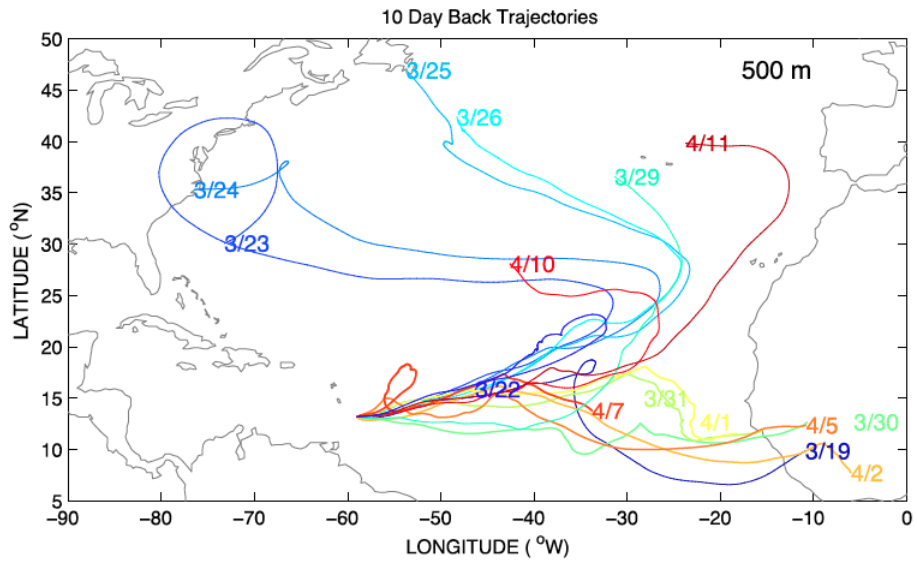


Figure -4. [The 10 day back trajectories, arriving at 500 m in the middle of the BACEX flight domain. Dates for each back-trajectory are shown accordingly.](#)

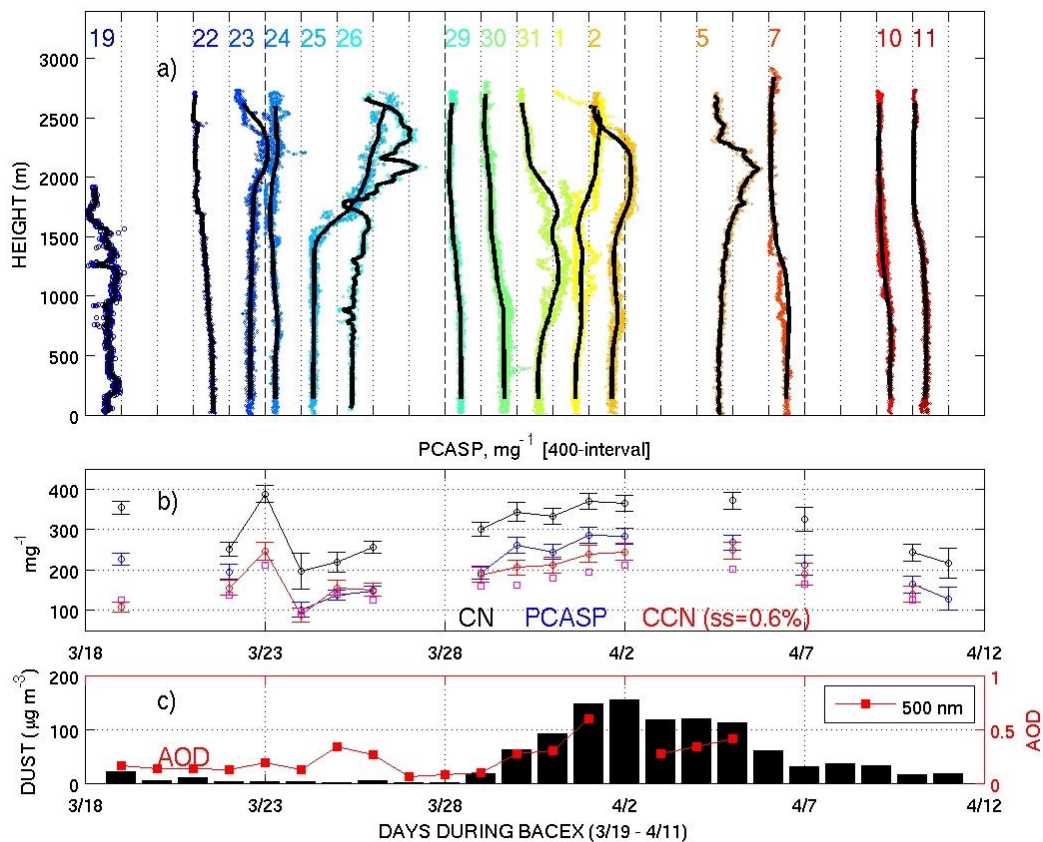


Figure 5. (a) Vertical distribution of the accumulation mode aerosol (PCASP) obtained from aircraft ascents or descents where aerosol concentration is offset by 400 mg^{-1} for each flight. CCN (super-saturation = 0.6 %) are plotted on 23 March for vertical profiles since no PCASP is available on this day. (b) temporal variation of aerosol at sub-cloud layer during BACEX, and (c) Dust concentration recorded at the Barbados Ragged Point surface site (13.2°N , 59.5°W). Level 2 Aerosol Optical Depth (AOD) at 500 nm wavelength (red) from AERONET is shown. Dust data are provided by Dr. Joseph M. Prospero of the University of Miami.

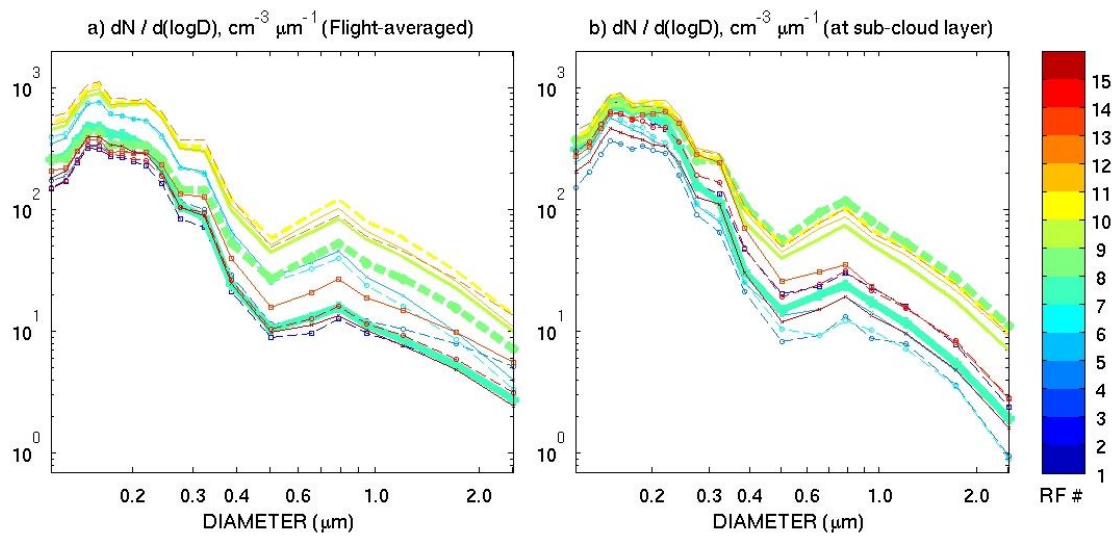


Figure- 6. Daily averaged aerosol particle size distributions (PSDs) that obtained from the PCASP for (a) all cloud-free flights and (b) sub-cloud layer flights. Color bar indicates the research flight number (RF #), shown in Table A1. PSDs from the odd (even) RF numbers are shown as solid (dashed) lines. PSDs estimated between RF07 and RF10 (3/29, 3/30, 3/31, 4/1) are denoted as bold lines. PSDs of RF01 (3/19) and RF03 (3/23) are not shown due to the instrument malfunction (RF01) and the absence of PCASP data (RF03) for the days.

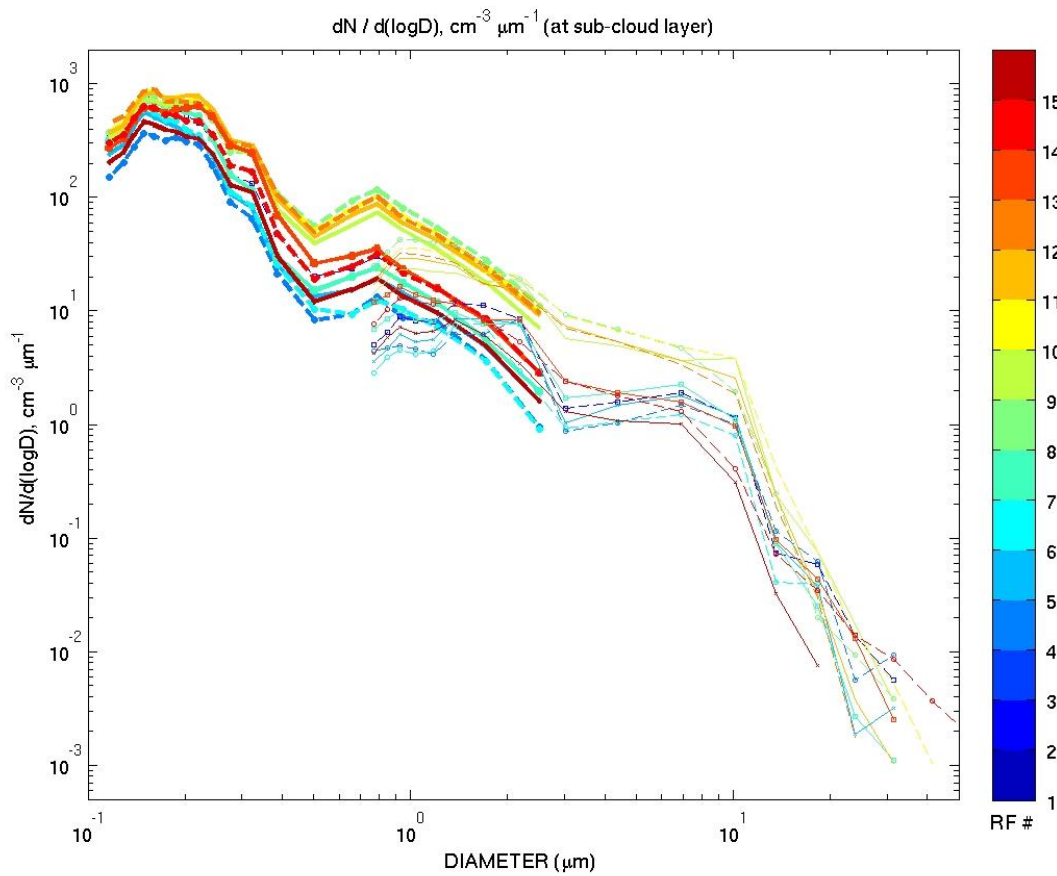


Figure 7. Daily averaged aerosol particle size distributions (PSDs) for the sub-cloud level flights. PSDs obtained from PCASP (0.1 μm to 2.5 μm) and CAS (0.6 μm to 60 μm) probes are shown as bold and thin lines, respectively. The color bar indicates the research flight number (RF #) presented in Table A1. PSDs from the odd (even) number of RF are shown as solid (dashed) lines. PSD of RF01 (19 March) and RF03 (23 March) are not shown due to the instrument malfunction and the absence of PCASP data for the days.

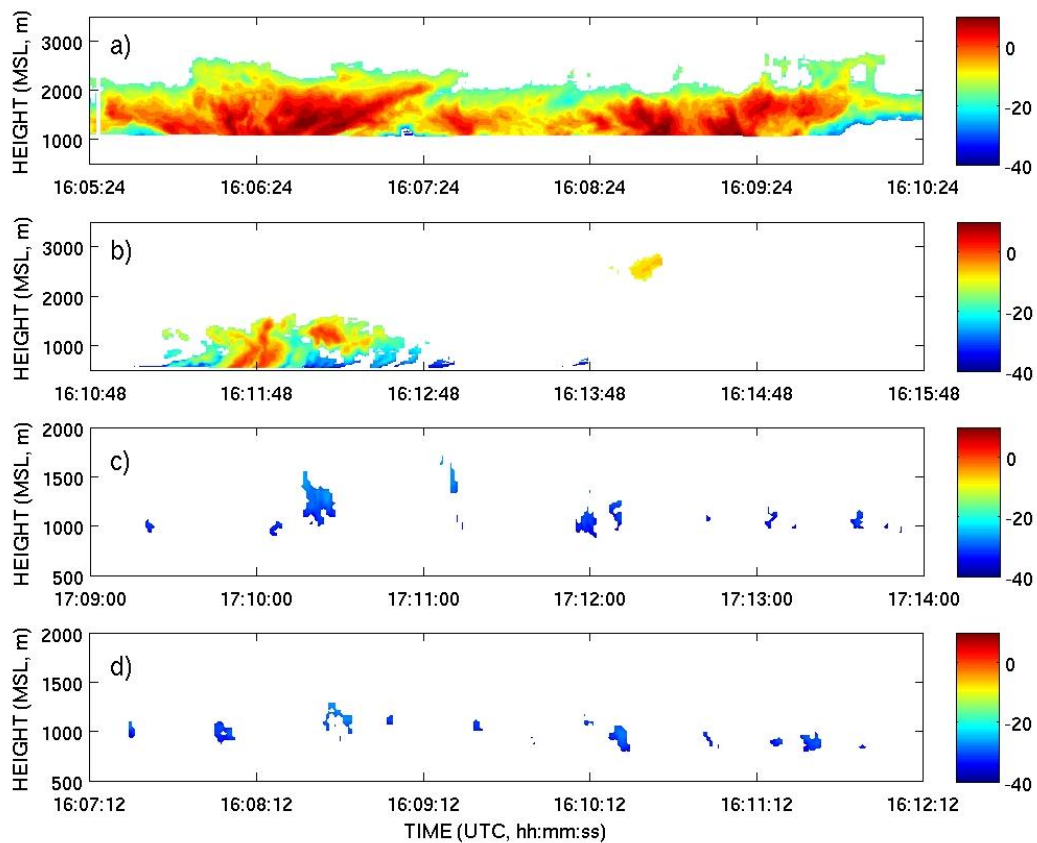


Figure 8. Time-height cross section of reflectivity on (a) 22 March, (b) 24 March, (c) 29 March and (d) 11 April, 2010 from the cloud-base level flight during 5-minute periods (about 18 km in horizontal extent) at an air speed of about 60 m s^{-1} . Data were sampled from (a-b) precipitating and (c-d) non-precipitating clouds.

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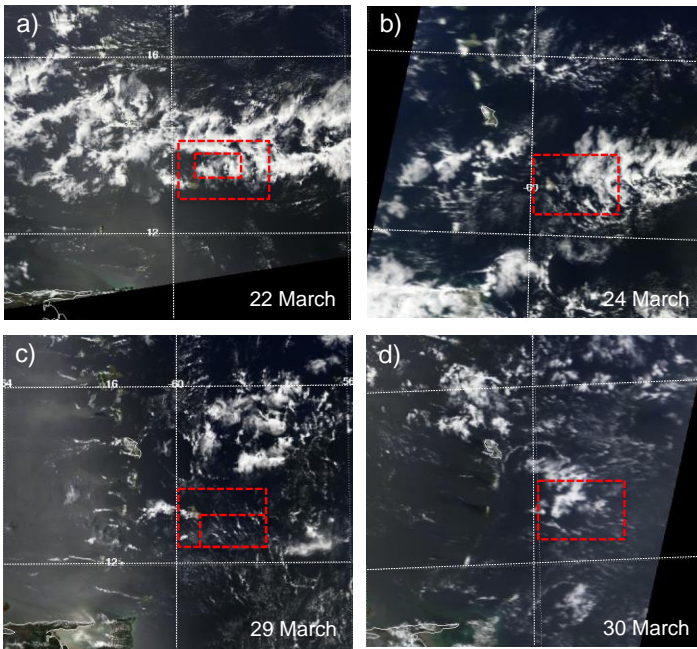


Figure 9. MODIS satellite images on (a) 22 March (b) 24 March (c) 29 March and (d) 30 March 2010 for area near Barbados. The flight domains are shown as red dotted boxes. The outer box indicates the average flight domain during BACEX. The flight domain of the particular day is overlaid as an inner box if the satellite image is obtained during flight periods. Images were obtained from the MODIS website (<http://modis-atmos.gsfc.nasa.gov/IMAGES/index.html>).

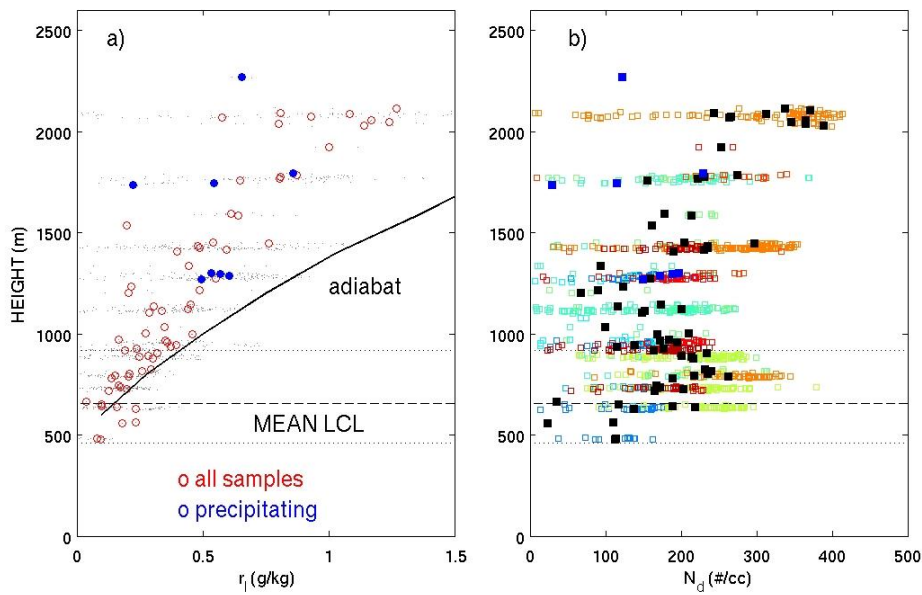


Figure 10. (a) Cloud liquid water mixing ratio (r_l) and (b) droplet number concentration N_d in cloud core ($w > 1 \text{ m s}^{-1}$) sampled by the Twin Otter during BACEX (12 flights shown in Fig. 11). Data points (greys in Fig. 10a and colors in Fig. 10b) are averaged in 10 m (vertical) for all clouds (red in Fig. 10a, black in Fig. 10b) and for precipitating clouds (blue in Fig. 10). N_d from the individual flights are shown as colors (same color table as Fig. 2). Mean (minimum and maximum) values of LCL are denoted by dashed (dotted) lines.

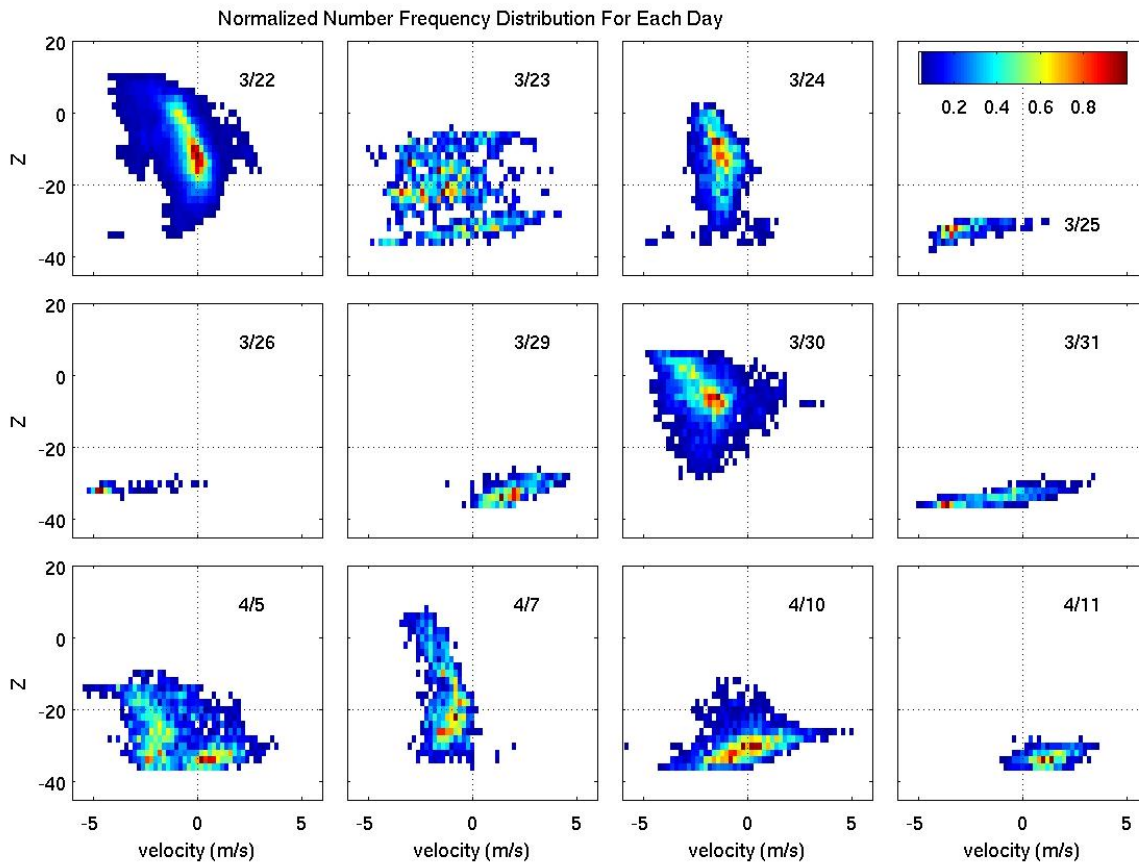


Figure 11. Normalized velocity-reflectivity number frequency distributions on each day during BACEX from the cloud-base level-leg flights. Intervals of 2 dBz, and 0.1 m s^{-1} are used to obtain the frequency distribution. Positive Doppler velocity indicates an upward motion. The color bar is displayed in the upper right corner. The reflectivity of -20 dBz and Doppler velocity of 0 m s^{-1} are denoted by the dotted line. No clouds were observed on 1-2 April during the cloud-base level flights. 5 The time and periods of each cloud-base level flight are listed in Table A4.

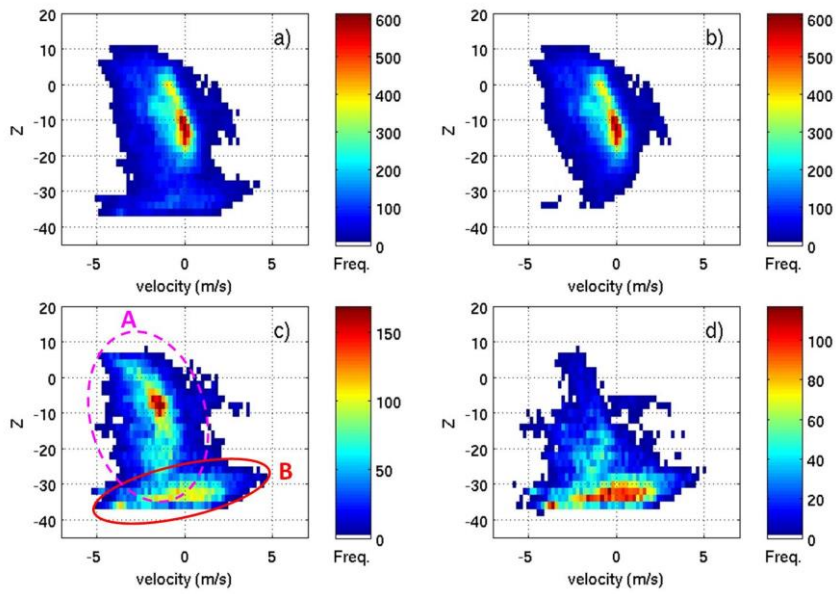


Figure 12. Cloud reflectivity and velocity distributions estimated from an average of all individual days (12 cases in Fig. 11), (b) using three precipitating clouds days (clouds sampled on 3/22, 3/24, and 3/30) and (c) using 11 days except for clouds on 22 March, which sampled the strongest precipitating clouds, and (d) from non-precipitating and/or lightly precipitating clouds (remaining 9 days in Fig. 11). An area of A (dashed circle) in Fig. 12(c) represents a precipitating cloud pattern and an area of B (solid circle) indicates the non-precipitating pattern.

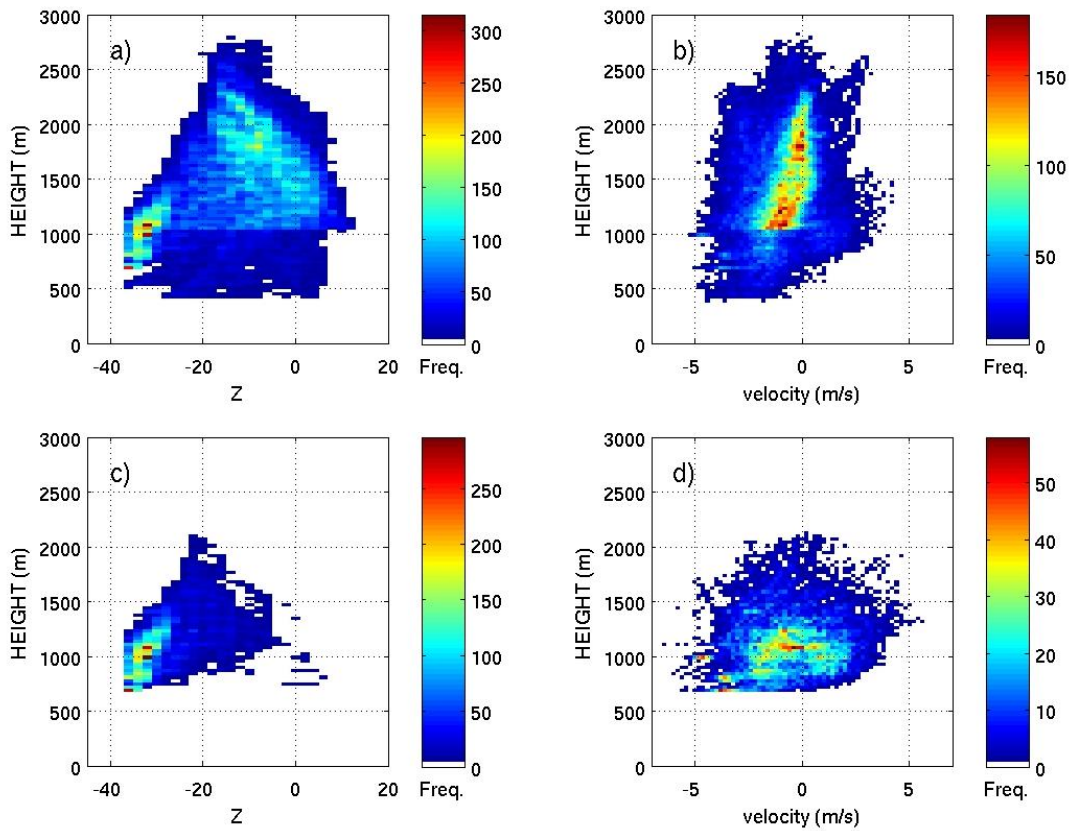


Figure 13. [Frequency distribution of reflectivity and velocity with heights, by compositing \(a-b\) all available days \(12 days in Fig. 11\), and \(c-d\) the nine days excluding the major precipitating clouds sampled on 22, 24, and 30 March 2010. Intervals of 30 m \(vertical\), 2 dBz, and 0.1 m s⁻¹ are used to obtain the frequency distribution.](#)

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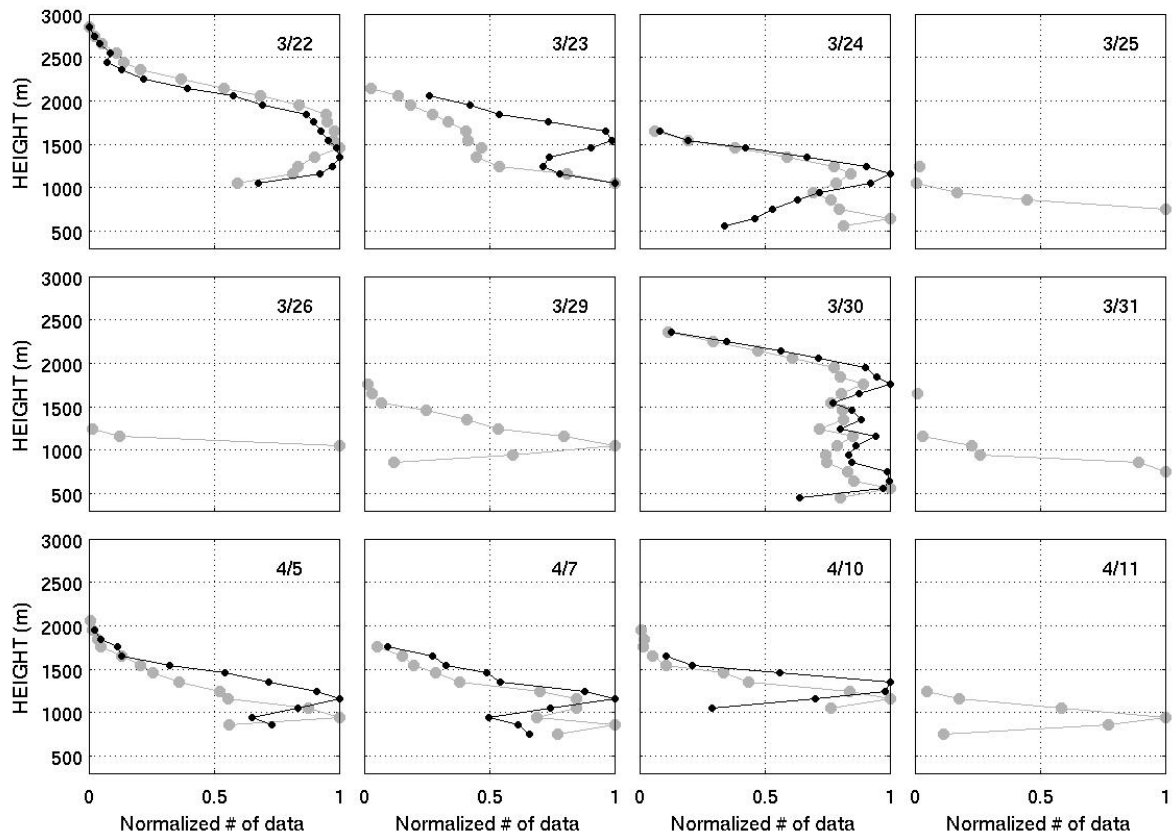


Figure 14. Normalized number of samples with heights, for all sampled clouds (gray) and precipitating clouds (black). Precipitating clouds are defined as data points with $Z > -20$ dBz and vertical velocity < 0 m s⁻¹. No precipitating clouds are observed on 25, 26, 29, 31 March and 11 April.

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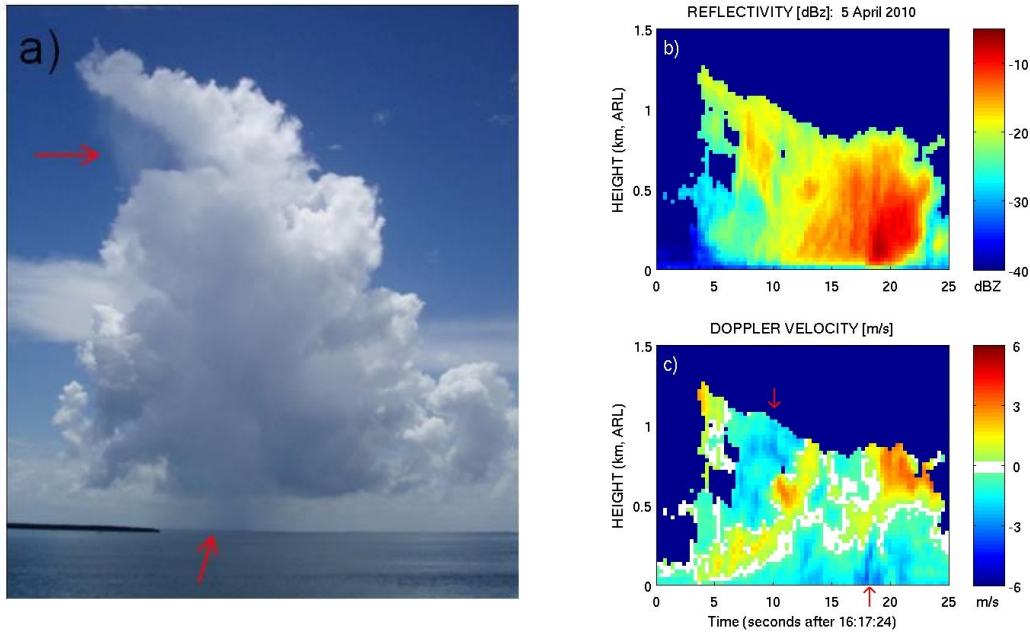


Figure 15. (a) A cloud photo, radar (b) reflectivity and (c) Doppler velocity that shows two types of precipitation; Precipitation shafts emanate near the cloud top on the downshear side of the cloud; Precipitation shafts emanating near the cloud base. (The photo is of a cloud over Key Biscayne, [FL](#) in an environment similar to that in Barbados. The radar returns in Fig. 15(b-c) are obtained from a cloud sampled on 5 April 2010 during BACEX.

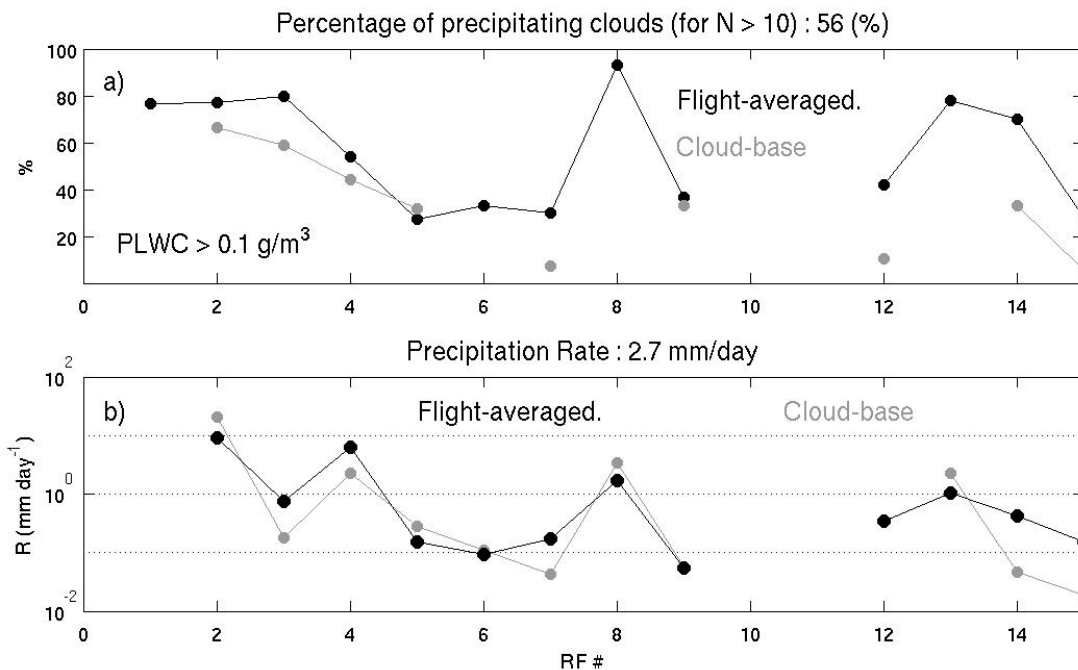


Figure 16. (a) Percentage of precipitating clouds estimated from all clouds sampled (black; flight-averaged), and from clouds sampled during the cloud-base flights (grey; cloud-base) with a threshold of precipitation liquid water content (PLWC) larger than 0.1 g m^{-3} . The CIP probe volume concentration ($\text{cm}^3 \text{ m}^{-3}$) is multiplied by the density of water to obtain PLWC. (b) Flight-averaged (black) and cloud-base (grey) precipitation rate (mm day^{-1}).