

# Modeling the Diurnal Variability of Agricultural Ammonia in Bakersfield, California during the CalNex Campaign

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**Abstract.** NH<sub>3</sub> retrievals from the NASA Tropospheric Emission Spectrometer (TES), as well as surface and aircraft  
20 observations of NH<sub>3(g)</sub> and submicron NH<sub>4(p)</sub>, are used to evaluate modelled concentrations of NH<sub>3(g)</sub> and NH<sub>4(p)</sub> from the  
Community Multiscale Air Quality (CMAQ) model in the San Joaquin Valley (SJV) during the California Research at the  
Nexus of Air Quality and Climate Change (CalNex) campaign. We find that simulations of NH<sub>3</sub> driven with the California  
Air Resources Board (CARB) emission inventory are qualitatively and spatially consistent with TES satellite observations,  
with a correlation coefficient ( $r^2$ ) of 0.64. However, the surface observations at Bakersfield indicate a diurnal cycle in the  
25 model bias, with CMAQ overestimating surface NH<sub>3</sub> at night and underestimating it during the day. The surface, satellite,  
and aircraft observations all suggest that daytime NH<sub>3</sub> emissions in the CARB inventory are underestimated by at least a  
factor of two, while the night-time overestimate of NH<sub>3(g)</sub> is likely due to a combination of overestimated NH<sub>3</sub> emissions and  
underestimated deposition.

Running CMAQ v5.0.2 with the bi-directional NH<sub>3</sub> scheme reduces NH<sub>3</sub> concentrations at night and increases them during  
30 the day. This reduces the model bias when compared to the surface and satellite observations, but the increased  
concentrations aloft significantly increase the bias relative to the aircraft observations. We attempt to further improve the  
model by using the surface observations at Bakersfield to derive an empirical diurnal cycle of NH<sub>3</sub> emissions in the SJV, in  
which night-time and midday emissions differ by about a factor of 4.5. Running CMAQv5.0.2 with a bi-directional NH<sub>3</sub>  
scheme as well as this emissions diurnal profile further reduces model bias relative to the surface observations. Comparison  
35 of these simulations with the vertical profile retrieved by TES shows little bias except for the lowest retrieved level, but the

model bias relative to flight data aloft increases slightly. Our results indicate that both diurnally-varying emissions and a bi-directional  $\text{NH}_3$  scheme should be applied when modelling  $\text{NH}_{3(\text{g})}$  and  $\text{NH}_{4(\text{p})}$  in this region. The remaining model errors suggest that the bi-directional  $\text{NH}_3$  scheme in CMAQ v5.0.2 needs further improvements to shift the peak  $\text{NH}_3$  land-atmosphere flux to earlier in the day. We recommend that future work include: updates to the current CARB  $\text{NH}_3$  inventory to account for  $\text{NH}_3$  from fertilizer, livestock, and other farming practices separately; adding revised information on crop management practices specific to the SJV region to the bi-directional  $\text{NH}_3$  scheme; and top-down studies focused on determining the diurnally-varying biases in the canopy compensation point that determines the net land-atmosphere  $\text{NH}_3$  fluxes.

## 1 Introduction

The emissions of ammonia ( $\text{NH}_3$ ) to the atmosphere are highly uncertain (e.g., Pinder et al., 2006; Beusen et al., 2008; Galloway et al., 2008; Henze et al., 2009; Schlesinger, 2009). Nitrogen dioxide ( $\text{NO}_x = \text{NO} + \text{NO}_2$ ) and sulfur dioxide ( $\text{SO}_2$ ) photo-oxidize in the atmosphere to form nitric acid ( $\text{HNO}_3$ ) and sulfuric acid ( $\text{H}_2\text{SO}_4$ ), respectively, which react with atmospheric gas-phase ammonia ( $\text{NH}_{3(\text{g})}$ ) to form ammonium sulfate ( $(\text{NH}_4)_2\text{SO}_4$ ) and ammonium nitrate ( $\text{NH}_4\text{NO}_3$ ) aerosol. Uncertainty in  $\text{NH}_3$  emissions therefore leads to significant uncertainties in the concentrations of secondary inorganic aerosols. Ammonium sulfate and nitrate aerosols contribute to fine particulate matter concentrations ( $\text{PM}_{2.5}$ ), and thus to decreased visibility, altered climate, and acidification and eutrophication in sensitive ecosystems (e.g., Paulot et al., 2014; RoTAP, 2012; Bricker et al., 2007; Martin et al., 2004).

$\text{PM}_{2.5}$  also causes adverse health effects (WHO, 2016; Pope et al., 2004). In particular, some regions in the San Joaquin Valley (SJV) in California have been designated as non-attainment areas for  $\text{PM}_{2.5}$ , with  $\text{NH}_3$  emissions contributing to more than half of the inorganic  $\text{PM}_{2.5}$  in the state (Schiferl et al., 2014), depending on ambient conditions and concentrations (Lonsdale et al., 2012). During the NOAA California Research at the Nexus of Air Quality and Climate Change (CalNex) campaign in May and June of 2010, however, concentrations of  $\text{PM}_{2.5}$  rarely exceeded the National Ambient Air Quality Standard (NAAQS) in the SJV, as  $\text{PM}_{2.5}$  exceedances here generally happen in the winter. While emissions of  $\text{NO}_x$  and  $\text{SO}_2$  are relatively well constrained, are regulated by the United States Environmental Protection Agency (US EPA), and are predicted to continually decrease due to air quality regulations and emission reducing technologies (US EPA, 2010),  $\text{NH}_3$  emissions are not currently regulated and are predicted to stay constant or increase over the next several decades in the US due to an increasing population and associated increases in farming and agricultural activities (Moss et al., 2010). Climate change is also predicted to increase  $\text{NH}_3$  emissions (+0-40 % in north-central Europe) with larger countries having the largest uncertainty in emissions variations (Skj  th et al., 2013).

Anthropogenic  $\text{NH}_3$  sources in the SJV are dominated by agricultural activities, with livestock waste estimated to contribute about 74 % of total anthropogenic  $\text{NH}_3$  to the atmosphere and chemical fertilizer use another 16 % (Simon et al., 2008). Agricultural emissions of  $\text{NH}_3$  can be highly variable due to factors such as the differences in fertilizer application, the diet

provided to livestock, and waste management and storage practices of farmers (Hristov et al., 2011; Sawycky et al., 2014). In addition, while  $\text{NH}_{3(g)}$  can be quickly deposited to the surface causing soil acidification, water eutrophication, and an imbalance of ecosystems when in excess (e.g., Carfrae et al., 2004), the air-surface exchange of  $\text{NH}_3$  is bi-directional, with the direction of the  $\text{NH}_3$  flux between the land and the atmosphere varying with temperature, relative humidity, vegetation and soil type, maintenance (e.g., cutting and tilling practices), and fertilizer applications (Nemitz et al., 2001; Zhang et al., 2010; Ellis et al., 2011; Bash et al., 2013; Sawycky et al., 2014). This complexity in the emission and deposition of  $\text{NH}_3$ , along with the rapid reactions of  $\text{NH}_3$  with  $\text{HNO}_3$  and  $\text{H}_2\text{SO}_4$  and the consequently short ( $\sim 1$  day) atmospheric lifetime of  $\text{NH}_3$ , leads to large temporal and spatial variability of this gas, as seen in in situ measurements (e.g., Langford et al., 1992; Carmichael et al., 2003; Nowak et al., 2010; Walker et al., 2013) and in satellite retrievals (e.g., Clarisse et al., 2013; Pinder et al., 2011; Shephard et al., 2011; Heald et al., 2012; Sun et al., 2015; Shephard and Cady-Pereira, 2015; Shephard et al., 2015).

Recent studies have recognized a diurnal pattern of  $\text{NH}_3$  emissions from livestock attributed to potential differences in farm management practices, livestock housing outflow patterns, and variations in soil moisture, temperature, and wind speed (Hensen et al., 2009; Zhu et al., 2015a; Zhu et al., 2015b). To account for this, a diurnal variability scheme was implemented into global simulations using the global 3-dimensional chemical transport model, GEOS-Chem, and was shown to decrease  $\text{NH}_3$  concentrations globally (Zhu et al., 2015a). That study also calculated the bi-directional exchange of  $\text{NH}_3$ , which decreased  $\text{NH}_3$  concentrations in the US in the months of October through April and increased it in the month of July (Zhu et al., 2015a). Bash et al. (2013) also explored the sensitivity of modelled  $\text{NH}_3$  concentrations to a bi-directional  $\text{NH}_3$  scheme that used meteorological factors, including temperature, wind speed, agricultural crop flux values, and a nitrogen soil geochemistry parameterization in the CMAQ model. They found that over the continental US their model run with the bi-directional  $\text{NH}_3$  scheme decreased the total dry deposition of  $\text{NH}_3$  by 45 %, thus increasing atmospheric  $\text{NH}_3$  concentrations and  $\text{NH}_x$  wet deposition by 10 % and 14 %, respectively. Wichink Kruit et al. (2012) use the DEPosition of Acidifying Compounds (DEPAC) surface-atmospheric exchange module in a CTM and saw an increase in atmospheric  $\text{NH}_3$  almost everywhere in their model domain, including decreased  $\text{NH}_3$  deposition with a remaining underestimation in agricultural areas.

Previous studies have also shown that errors in  $\text{NH}_3$  emissions are a common contributing factor to modelled  $\text{PM}_{2.5}$  and  $\text{NH}_3$  bias (e.g., Schiferl et al., 2014). Skjøth et al., (2011) discuss their method for calculating dynamic  $\text{NH}_3$  emissions that includes distributions of agricultural  $\text{NH}_3$  in Europe. Their method is designed for use in chemical transport models and their results show considerable improvements made in the agricultural  $\text{NH}_3$  sector, particularly in areas with detailed records of agricultural practices. Inverse modelling studies have been used to reduce the uncertainty in  $\text{NH}_3$  emissions as well, generally by assimilating surface observations of the wet deposition of ammonium ( $\text{NH}_4^+$ ) in precipitation. Gilliland et al. (2003) used the CMAQ model to determine that the 1990 version of the US EPA National Emissions Inventory (NEI) overestimated total emissions of  $\text{NH}_3$  by 20 %. Gilliland et al. (2006) performed a similar study for the 2001 NEI and found that total emissions of  $\text{NH}_3$  were represented well, but needed to be increased in summer and reduced in winter. Henze et al.

(2009) used the adjoint of the global chemical transport model GEOS-Chem to assimilate the Inter Agency Monitoring of Protected Visual Environments (IMPROVE) observations and found that total US  $\text{NH}_3$  emissions for 1998 were overestimated.

5 More recently, satellite observations of  $\text{NH}_3$  have been incorporated into inverse studies. By assimilating satellite retrievals of  $\text{NH}_3$  concentrations from the Tropospheric Emission Spectrometer (TES) (Beer et al., 2008; Shephard et al., 2011) aboard the NASA Aura satellite, it has been found that  $\text{NH}_3$  emission sources in GEOS-Chem are broadly underestimated (Zhu et al., 2013). Heald et al. (2012) and Walker et al. (2012) used IMPROVE data and satellite retrievals of  $\text{NH}_3$  from the Infrared Atmospheric Sounding Instrument (IASI, Van Damme et al., 2014) to show that  $\text{NH}_3$  emissions are likely underestimated in GEOS-Chem for California, leading to a local underestimate of  $\text{NH}_{4(p)}$ . Other infrared nadir sounders have been used to provide satellite observations of  $\text{NH}_3$ . For example, Shephard and Cady-Pereira (2015) demonstrated the ability of the Crosstrack Infrared Sounder (CrIS) aboard the joint NOAA-NASA Suomi National Polar-orbiting satellite to measure daily, spatially distributed tropospheric  $\text{NH}_3$  in California, and in preliminary results found it correlated well with Deriving Information on Surface Conditions from Column and Vertically Resolved Observations Relevant to Air Quality (DISCOVER-AQ) measurements in the SJV in January 2013.

15 Investigating the formation, transport, and fate of  $\text{NH}_{3(g)}$  and  $\text{NH}_{4(p)}$  in California was one of the major goals of the CalNex field campaign, which provided measurements from flights and surface sites (Ryerson et al., 2012). Nowak et al. (2012) used this data to demonstrate the importance of ammonium nitrate formation downwind of the Los Angeles urban core and dairy facilities further east. They found that  $\text{NH}_3$  emissions from these dairy farms were underestimated by a factor of 3 or more, thus indicating the need for better representation in this emission sector. Kelly et al. (2014) in general saw well-correlated comparisons of CMAQ model estimates to measurements from the EPA's Chemical Speciation Network. Their model tended to under-predict  $\text{NH}_x$  ( $\text{NH}_x = \text{NH}_{3(g)} + \text{NH}_{4(p)}$ ) during the day at the Bakersfield, CA site and significantly over-predict  $\text{NH}_{3(g)}$  at night. They suggest that this model bias may be due to emissions from livestock and dairy farms being too low and lacking in variability in this region or to errors in crustal cation predictions and the missing effects of organic acids and amines on inorganic aerosol thermodynamics (Kelly et al., 2014).

25 Model estimates of the planetary boundary layer (PBL) height are essential in correctly quantifying changes in atmospheric pollutant concentrations, especially for short-lived pollutants like  $\text{NH}_3$ . Such estimates are difficult at fine spatial and temporal scales, especially in the complex terrain of the SJV. Scarino et al. (2014) studied the PBL and mixed layer heights during CalNex using WRF and high spectral resolution lidar (HSRL) data taken during the campaign. They found that, in general, there is good agreement between the WRF modelled output and measured values; however, in the California Central Valley there is a WRF mixed-layer height over-prediction and an inability to represent the diurnal growth of the mixed layer in the early part of the day. Additionally they suggest that future improvements will require a focus on mixing layer characteristics, soil moisture, and temperature. Baker et al. (2013) explored how well the WRF model configuration used to drive the CMAQ simulations of Kelly et al. (2014) simulates PBL height during CalNex, using two versions of WRF. The study shows that both WRF versions simulate the PBL and mixing layers well within the SJV, as well as other large scale

flow patterns, but under-predict local wind speed and temperature. A strong aerosol gradient is used to identify the top of the PBL in HSRL measurements, which may also be present in a night-time residual layer. Baker et al. (2013) take this into account by identifying the surface-attached mixed layer, which they assume as the lowest significant gradient in such a circumstance.

5 In this study, we use the CalNex observations of  $\text{NH}_{3(\text{g})}$  and  $\text{NH}_{4(\text{p})}$  and the CMAQ model to evaluate the estimates of  $\text{NH}_3$  emissions in the SJV contained in the California Air Resources Board (CARB) inventory (Figure 1). While previous  $\text{NH}_3$  model evaluation efforts using CalNex data have focused on the NEI inventory (Kelly et al., 2014; Heald et al., 2012; Walker et al., 2012), the CARB inventory is used in the development of California's State Implementation Plans (SIPs) under the Clean Air Act, and so ensuring the accuracy of this emission inventory is important to the design of air quality  
10 policy for the SJV and California in general. In addition, previous studies have not taken advantage of the high-resolution observations of  $\text{NH}_{3(\text{g})}$  made by the TES satellite instrument over Bakersfield during the CalNex campaign. Here we evaluate the consistency of the satellite, aircraft, and surface observations of  $\text{NH}_{3(\text{g})}$  and  $\text{NH}_{4(\text{p})}$  during the CalNex campaign and then use these observations, along with lidar retrievals of PBL height, to investigate the biases in the magnitude and diurnal cycle of emissions of  $\text{NH}_{3(\text{g})}$  from the CARB inventory in the SJV. We also explore the sensitivity of modelled  $\text{NH}_3$  concentrations  
15 to bi-directional  $\text{NH}_3$  exchange using the bi-directional  $\text{NH}_3$  flux scheme in CMAQv5.0.2.

Section 2 briefly describes the data sources used in this study, while Section 3 describes the CARB emission inventory and the configurations used for the WRF, the Hybrid Single-Particle Lagrangian Integrated Trajectory (HYSPLIT), and CMAQ model runs. The performance of the CARB inventory used in our CMAQ simulations, along with model sensitivity studies, is presented in Section 4. Section 5 discusses the remaining errors in our final model configuration in detail and makes  
20 suggestions for further model improvements, while our conclusions are discussed in Section 6.

## 2 Data

### 2.1 NOAA WP-3 aircraft

The NOAA WP-3 aircraft completed 18 research flights during the CalNex campaign, which included measurements of  $\text{NH}_{3(\text{g})}$  and  $\text{NH}_{4(\text{p})}$ .  $\text{NH}_{3(\text{g})}$  was measured at 1 s (~100 m) intervals using chemical ionization mass spectrometry (CIMS) with  
25 an uncertainty of +/- 30 % as described in detail in Nowak et al. (2007). The CIMS instrument sampled air through a 0.55 m long heated teflon inlet with a fast flow. Measurement artifacts were accounted for by quantifying and subtracting the background signal originating from  $\text{NH}_3$  desorption from instrument surfaces. The background signal was determined in flight by actuating a teflon valve at the inlet tip once every half hour to divert the sample air through a scrubber that removes  $\text{NH}_3$  from the ambient air stream (Nowak et al, 2007). Additionally, standard addition calibrations from a  $\text{NH}_3$  permeation  
30 tube were performed several times each flight to determine instrument sensitivity. Submicron  $\text{NH}_{4(\text{p})}$  was measured at 10 s (~1 km) intervals with an uncertainty of ~ 30 % using a compact time-of-flight aerosol mass spectrometer from Aerodyne (c-TOF AMS, Bahreini et al., 2009). In this study we focused on the flights of 24 of May and 16 and 18 of June when the WP-3

was sampling air in the SJV (Figure 1). The quality-controlled flight data were reported at a merged time resolution of 1 s, which we averaged to 1 minute values (the approximate time it takes the WP-3 to cross a 4 km CMAQ grid box) and then matched the sample times and locations to the corresponding time and location of the CMAQ hourly concentration output.

## 2.2 Bakersfield surface observations

5 Bakersfield, California is located on the southern part of the SJV (35.35°N, 118.97°W, 20 m asl) and there is a general north-to-south orographic air-flow in this region, with a tendency for emissions to get trapped in the valley due to the nearby mountains (Baker et al., 2013). At the Bakersfield ground site the Ambient Ion Monitor Ion Chromatograph (AIM-IC, Ellis et al., 2010, Markovic et al., 2012) was used to measure  $\text{NH}_{3(g)}$  on an hourly basis, with an uncertainty of +/- 20 % and a detection limit of 41 ppt. The sampling inlet for the AIM-IC consists of an enclosure mounted at 4.5 m above ground,  
10 including a virtual impactor, parallel plate denuder, and particle supersaturation chamber, connected to the ion chromatography systems via several 20 m perfluoroalkyl sampling lines carrying the dissolved analytes (Markovic et al., 2014). This design reduces artifacts by minimizing the inlet surface area prior to scrubbing the  $\text{NH}_3$  from the gas phase in the denuder, and by separating the gas and particle phase constituents while the sample flow is still at ambient temperature and relative humidity (Markovic et al., 2012). In addition, size-resolved, sub-micron non-refractory  $\text{NH}_{4(p)}$  measurements were  
15 taken at 5 minute intervals using an Aerodyne Aerosol Mass Spectrometer (AMS, Liu et al., 2012). We averaged these data to 1 h time resolution in order to compare to the hourly CMAQ model output, which allowed for the evaluation of the ability of CMAQ to simulate the diurnal cycle of  $\text{NH}_3$  concentrations. When  $\text{NH}_{4(p)}$  measurements are available, we compare model results to  $\text{NH}_x$  to reduce our sensitivity to gas-to-particle partitioning errors in the model; otherwise we compare to  $\text{NH}_{3(g)}$ .

## 2.3 TES $\text{NH}_3$ retrievals

20 During CalNex, TES made special observations (transects) near the Bakersfield, CA surface site with a horizontal separation of 12 km on six different afternoons. TES is a nadir-viewing Fourier-transform infrared (FTIR) spectrometer with a high spectral resolution of  $0.06 \text{ cm}^{-1}$  and a nadir footprint of 5.3 km x 8.3 km. TES flies aboard the NASA Aura spacecraft, which is in a sun-synchronous orbit with an equator crossing time around 01:30 and 13:30 local solar time. Beer et al. (2008) reported the first satellite observations of boundary layer  $\text{NH}_{3(g)}$  using the TES instrument. Shephard et al. (2011) developed  
25 and tested a full  $\text{NH}_{3(g)}$  retrieval algorithm. The retrieval is based on an optimal estimation approach that minimizes the differences between the TES Level 1B spectra and a radiative transfer calculation that uses absorption coefficients calculated with the AER line-by-line radiative transfer model LBLRTM (Clough et al., 2006). The a priori profiles and covariance matrices for TES  $\text{NH}_3$  retrievals are derived from GEOS-Chem model simulations of the 2005 global distribution of  $\text{NH}_3$ .

The TES  $\text{NH}_{3(g)}$  retrievals generally have a region of maximum sensitivity between 700 hPa and the surface. While the  
30 retrieval is performed on 14 pressure levels, the number of degrees of freedom for signal (DOFS) is generally not greater than one. Therefore at any given single profile level the retrieved volume-mixing ratio (VMR) of  $\text{NH}_3$  is highly influenced

by the a priori profile. Rather than attempting to analyse data from individual retrieval levels, it is often desirable to express the retrieved information in a representation where the influence of the a priori is reduced and the information available is collapsed to a single point. To address this issue, Shephard et al. (2011) developed a Representative Volume Mixing Ratio (RVMR) metric for  $\text{NH}_{3(g)}$  based on similar techniques used previously for  $\text{CH}_4$  (e.g., Payne et al., 2009; Wecht et al., 2012; Alvarado et al., 2015) and  $\text{CH}_3\text{OH}$  (e.g., Beer et al., 2008). This RVMR represents a TES sensitivity weighted average value where the influence of the a priori profile is reduced as much as possible; it generally ranges from 20 % to 60 % of the retrieved surface value for  $\text{NH}_{3(g)}$ . The minimum detection level for TES  $\text{NH}_{3(g)}$  retrievals is an RVMR of approximately 0.4 ppbv, corresponding to a profile with a surface-mixing ratio of about 1-2 ppbv (Shephard et al., 2011).

Pinder et al. (2011) showed that the TES  $\text{NH}_3$  retrievals were able to capture the spatial and seasonal variability of  $\text{NH}_3$  over eastern North Carolina and that the retrievals compared well with in situ surface observations of  $\text{NH}_3$ , while Alvarado et al. (2011) showed that TES  $\text{NH}_3$  retrievals can also capture the higher concentrations of  $\text{NH}_3$  in forest fires in Canada. Sun et al. (2015) demonstrated that under optimal conditions (i.e., good thermal contrast and  $\text{NH}_3$  amounts significantly above the TES level of detectability), TES  $\text{NH}_3$  agreed very well with in situ aircraft and surface measurements taken in the California Central Valley during the DISCOVER-AQ 2013 campaign.

There are at least three issues that have to be considered when using  $\text{NH}_3$  satellite profiles to evaluate model predictions: (a) the vertical resolution of the satellite profile is substantially coarser than that of the model profile; (b) the DOFS for  $\text{NH}_3$  are generally less than 1.0; and (c) the retrieved satellite profile reflects the influence of the choice of a priori profile (Rodgers and Connor, 2003). Thus, in order to use these TES observations to evaluate CMAQ model predictions of the concentrations of  $\text{NH}_{3(g)}$ , we first interpolate the hourly CMAQ  $\text{NH}_3$  profile predicted for 13:00 local solar time (expressed as the natural logarithm of the mixing ratio) to the TES pressure grid. We then apply the TES observation operator to the interpolated CMAQ  $\text{NH}_3$  profile to derive a model TES profile ( $\mathbf{x}_{TES}$ ). Finally, we apply the sensitivity weighting to calculate the model RVMR ( $CMAQ_{RVMR}$ ). This value represents the RVMR that would have been retrieved if (a) TES had sampled a profile identical to the CMAQ-simulated profile and (b) the retrieval errors due to jointly retrieved parameters, other model parameters, and instrument noise were negligible. The observation operator equation is

$$\mathbf{x}_{TES} = \mathbf{x}_a + \mathbf{A}(\mathbf{x}_{CMAQ} - \mathbf{x}_a) \quad (1)$$

$$CMAQ_{RVMR} = \mathbf{W} * \mathbf{x}_{TES} \quad (2)$$

where  $\mathbf{x}_a$  is a vector of the TES a priori  $\text{NH}_3$  concentrations,  $\mathbf{A}$  is the averaging kernel matrix,  $\mathbf{x}_{CMAQ}$  is a vector of the interpolated CMAQ  $\text{NH}_3$  values, and  $\mathbf{W}$  is a weighting matrix (Rodgers and Connor, 2003; Payne et al., 2009).

#### 2.4 PBL heights

Several studies have used lidar observations of aerosol profiles to determine the height of the planetary boundary layer (PBL) by identifying regions of large gradients in aerosol concentrations with height (e.g., Tucker et al., 2009; Lewis et al., 2013; Scarino et al., 2014; Hegarty et al., 2015). Scarino et al. (2014) and Tucker et al. (2009) define the mixed layer

measured by the HSRL as ‘the volume of atmosphere in which aerosol chemical species emitted within the boundary layer are mixed and dispersed’. The NASA Langley Research Center (LaRC) airborne HSRL measured mixed layer heights during the CalNex campaign and the Carbonaceous Aerosol and Radiative Effects Study (Scarino et al., 2014), both of which we used in this study.

## 5 3 Models

### 3.1 WRF-ARW

CMAQ v5.0.2 was driven with meteorology provided by WRF ARW Version 3.5 (Skamarock and Klemp, 2008) that was configured with 3 nested domains of 36, 12, and 4 km horizontal grid spacing and 41 vertical layers. Shortwave and longwave radiation were calculated using the RRTMG radiative transfer code (Mlawer et al., 1997; Iacono et al., 2008). The  
10 YonSic University (YSU, Hong et al., 2006) non-local turbulent PBL scheme and the Noah land surface scheme (Chen and Dudhia, 2001) were used. Initial and boundary conditions for WRF were provided by the North American Regional Reanalysis (NARR, Mesinger et al., 2006), which is recognized as state-of-the-science for North America (Bukovsky and Karoly, 2007). The WRF runs were 32-hour simulations initialized every 24 hours at 0000 UTC with analysis nudging of  
15 winds, temperature and humidity above the PBL on the inner 12 km domain as well as winds in the PBL as in Nehr Korn et al. (2013). The WRF outputs for UTC hours 09:00 to 32:00 from each consecutive simulation were combined to form a continuous time series and the initial 8 hours of each simulation were discarded as spin-up time. The 8-h spin-up time and 32-h simulation length is longer than the 6-h spin-up time and 30-h simulation length used by Nehr Korn et al. (2013), but were necessary to perform 24-hour daily CMAQ runs using the 24-h daily CARB emissions files that started at 8:00 UTC. The WRF output was then converted to CMAQ-model-ready files using the Meteorology-Chemistry Interface Processor  
20 version 4.2 (MCIP).

### 3.2 CMAQ

We ran CMAQ on the inner 4 km WRF domain using the SAPRC07 chemical mechanism (Hutzell et al., 2012, Carter et al., 2010ab), which corresponds to the model-ready emission files for CalNex provided by CARB, and with the CMAQ AERO6 aerosol module with aqueous chemistry. Biogenic emissions, photolysis rates, and deposition velocities were all calculated  
25 inline. There were few clouds in California during this study period and thus lightning NO<sub>x</sub> emissions were negligible; however, lightning NO<sub>x</sub> emissions were also calculated inline in CMAQ. Initial and horizontal boundary conditions for CMAQ were provided by GEOS-Chem simulations on a 2° x 2.5° latitude-longitude grid for May and June 2010 following the approach of Lapina et al. (2014).

CMAQ emissions inputs for the state of California were provided as model-ready files by CARB, which prepared them  
30 using the Modeling Emissions Data System on a 4 km x 4 km grid-scale (available at <http://orthus.arb.ca.gov/calnex/data/calnex2010.html>, last accessed June, 2016). The emission change log is provided at



ftp://orthus.arb.ca.gov/pub/outgoing/CalNex/2010/modelready/Change Log for Posted Inventories.pdf (last accessed June, 2016). In this inventory, the NH<sub>3</sub> emissions in SJV are assumed to be constant throughout the day (i.e., no diurnal cycle), and are constant day-to-day in a given month. As the CARB model-ready files had no out-of-state emission sources, our initial simulations were run using the CARB emissions for California, the GEOS-Chem boundary conditions, and no out-of-state emissions. We quantified the potential error in gas-phase NH<sub>3(g)</sub>, Aitken and Accumulation mode aerosol NH<sub>4(p)</sub>, and NH<sub>x</sub> in the SJV from neglecting out-of-state agricultural NH<sub>3</sub> emissions by using the agricultural NH<sub>3</sub> emissions from the NEI2011 platform, which we re-gridded from 12 km to our model's 4 km scale while keeping California state emissions constant. We performed this sensitivity test for a 7-day case study between 25-31 May with a 4-day spin up. Adding these out-of-state emissions had a negligible impact on the modelled NH<sub>3</sub> concentrations in the SJV (less than 0.001 % change), as the prevailing winds are mostly out of the north and northwest. Additionally, we tested the effect that errors in the boundary conditions from GEOS-Chem might have on the model runs. Doubling NH<sub>3</sub> boundary conditions for the same 7-day case study also had little impact on NH<sub>3</sub> concentrations in the SJV (less than 0.001 % change), which was expected based on the short lifetime of NH<sub>3</sub>.

Finally, we also ran CMAQv5.0.2 using the bi-directional NH<sub>3</sub> flux scheme as developed by Bash et al. (2013) that uses fertilizer application data, crop type, soil type, and meteorology from MCIP output to calculate soil emissions potential and NH<sub>4</sub> to simultaneously calculate NH<sub>3</sub> deposition and emission fluxes for the CMAQ US domain. This scheme uses the U. S. Department of Agriculture's Environmental Policy and Integrated Climate (EPIC) model (Cooter et al., 2012) as contained in the Fertilizer Emissions Scenario Tool (FEST-C).

### 3.3 HYSPLIT

In order to explore the sources influencing the Bakersfield concentrations we ran the HYSPLIT model. Using meteorological inputs from the WRF 4 km domain discussed in Section 3.1, we generated 36-hour back trajectories with Version 4 of the HYSPLIT model (Draxler and Hess, 1998) initiated from 100 m above ground level (agl) at Bakersfield at 17:00 PDT on June 18<sup>th</sup> back to 20:00 PDT on June 17<sup>th</sup>. Results from these runs are briefly discussed in Section 4.1.

## 4 Model Evaluation

In order to evaluate CMAQ v5.0.2 modelled NH<sub>3</sub> in the SJV we ran three different scenarios for a month long case-study that covers the record of the Bakersfield surface observations (May 22 – June 22, 2010). The model scenarios include: 1) a baseline model run (CMAQ<sub>base</sub>), in which the model was set up as described in Section 3.2, utilizing the CARB emissions inventory; 2) CMAQ<sub>B</sub>, which ran with the baseline set up but also included the bi-directional NH<sub>3</sub> scheme described in Section 3.2, and finally 3) CMAQ<sub>AB</sub>, which included both the bi-directional NH<sub>3</sub> scheme and diurnally-varying emissions in the SJV, as described in Section 4.1. The following subsections describe the evaluations of all three model scenarios using the three different measurement datasets (surface, aircraft, and satellite) from the CalNex campaign.

#### 4.1 Evaluation of modelled diurnal variability of $\text{NH}_{3(g)}$ using surface observations

Table 1 shows that the CMAQ<sub>base</sub> scenario has a  $\text{NH}_x$  positive mean bias of 8.24 ppbv over the month-long surface data record; we focus on  $\text{NH}_x$  so as to minimize the effects of possible model errors in gas-to-particle partitioning on our analysis, as discussed later in this section. However, this bias is not constant throughout the day, as can be seen in the CMAQ<sub>base</sub> results (blue line) shown in Figure 2. Figure 3a shows the average hourly ratio of CMAQ<sub>base</sub> modelled  $\text{NH}_x$  versus measured concentrations for the Bakersfield ground site, averaged over all days of the CalNex campaign; these ratios are derived from the boxplots shown in Figure 3b. The model bias shows a clear diurnal cycle, with CMAQ<sub>base</sub> significantly overestimating surface  $\text{NH}_x$  concentrations at night by up to a factor of 4.5 and generally underestimating  $\text{NH}_x$  during the daytime at 0.6 between 13:00 and 14:00 local time, consistent with the average TES<sub>RVMR</sub> observations near Bakersfield at about 13:30 local solar time plotted as the green dot in Figure 3a and further discussed in Section 4.3. These results suggest that the constant daily emissions for agricultural  $\text{NH}_3$  emissions in the CARB inventory (blue line Figure S1 in the Supplemental Material) may be misrepresenting the diurnal emission patterns suggested by the measurements. This is consistent with previous work done in North Carolina; Wu et al. (2008) found that  $\text{NH}_3$  emissions from livestock feed lots show a strong diurnal cycle, peaking at mid-day.

Besides errors in emissions another contributing factor to the modelled bias of  $\text{NH}_{3(g)}$  could be errors in the gas-to-particle partitioning of  $\text{NH}_{3(g)}$  to  $\text{NH}_{4(p)}$ . Figure 2a also shows an average hourly plot of the ratio of CMAQ<sub>base</sub> modelled to measured  $\text{NH}_x$  and  $\text{NH}_{3(g)}$  during the campaign. There is very little difference between the two lines, indicating only a small fraction of total  $\text{NH}_{3(g)}$  is converted into  $\text{NH}_{4(p)}$  in this region, consistent with Baker et al. (2013). Thus, errors in gas-particle partitioning of  $\text{NH}_3$  in CMAQ, while important for accurately estimating  $\text{PM}_{2.5}$  concentrations, cannot account for the diurnal errors in  $\text{NH}_x$  we have observed.

Another potential source of diurnal errors in modelled  $\text{NH}_x$  are diurnal variations in meteorology, which could potentially alter the source regions to which the Bakersfield site was sensitive throughout the day. Differences in  $\text{NH}_3$  emission errors at upwind sites would thus appear as diurnal errors in  $\text{NH}_x$ . We ran a HYSPLIT case study for June 18<sup>th</sup>, where back trajectories were run for eight different times during the day (Figure S2). As on most days during the CalNex campaign, there is a general flow from the north/north-west that is funnelled through the California Central Valley towards Bakersfield. In these simulations there is no significant change in meteorology with time of day, thus diurnal changes in transport are not likely a contributing factor to the diurnal mismatch shown in modelling results. Thus, these back trajectories, driven with our WRF model, did not show a change in source attribution locations.

Diurnal errors in the PBL height estimates could also potentially be responsible for the diurnal pattern in the CMAQ  $\text{NH}_x$  concentrations at Bakersfield (Figure 3). We used daytime HSRL measurements taken in the SJV during CalNex to evaluate our WRF simulated PBL heights. Figure 4 shows 2-minute averages of the HSRL calculated mixed layer height compared to the WRF PBL for three daytime flights that passed over the SJV. The modelled and measured heights show good agreement, having a slope of 0.76,  $r^2$  of 0.70, and mean bias of 87 m. Thus errors in daytime PBL height do not seem to account for

much of the underestimate in modelled daytime  $\text{NH}_x$ . Scarino et al. (2014), when comparing all CalNex HSRL flight measurements to their configuration of the WRF-Chem model, found similar results. In summary, gas-to-particle partitioning and PBL height errors are likely not responsible for the diurnally varying measurement to model biases.

CARB  $\text{NH}_3$  emissions in the SJV are constant both diurnally and day-to-day, with an hourly flux of around  $0.23 \text{ moles s}^{-1}$  for the Bakersfield area (Figure S1). The Bakersfield ground measurements, however, indicate there should be a diurnal pattern of lower emissions at night and higher emissions during the day, as has been previously reported of  $\text{NH}_3$  emissions from livestock (e.g., Bash et al., 2013; Zhu et al., 2015a) and other agricultural  $\text{NH}_3$  sectors (Skjøth et al., 2011). We tested two methods to improve the diurnal cycle of  $\text{NH}_3$  emissions in the CMAQ model. We found that including the bi-directional flux of  $\text{NH}_3$  in the CMAQ<sub>B</sub> case (green lines) significantly reduces the night-time concentration peaks of  $\text{NH}_3$ . However there is still a clear model overestimate (mean bias of 4.57 ppb, see Table 1), with the CMAQ<sub>B</sub> scenario also causing overestimates following the day's maximum in temperature (Figure 2).

To further improve the model simulations, we applied a scaling factor to all  $\text{NH}_3$  area sources per grid box in the SJV, based on the CMAQ<sub>base</sub> bias relative to the ground measurements. To do this, we first calculated the total  $\text{NH}_3$  area source emissions for each grid box, based on additional information on the emissions breakdown from the CARB inventory. For Kern County, where Bakersfield, CA resides, pesticide/fertilizer applications dominate the  $\text{NH}_3$  emissions inventory at 72%, followed by farming operations at 25%, and other sources for the remaining fraction. Table S2 in the Supplemental Material describes the fraction of  $\text{NH}_3$  emissions for counties in the SJV. We then calculated the emissions for each hour based on the hourly average ground measurements. Note that the adjusted maximum emissions vary by about a factor of 4.5 from the minimum at night to the mid-day peak, as can be seen in Figure S1 (solid red line) which is more modest than the factor of 10 variation seen in livestock feedlots (Bash et al., 2013; J. Bash, personal communication, Oct. 6, 2015). We then reran CMAQ with both these adjusted emissions and the bidirectional  $\text{NH}_3$  scheme (the CMAQ<sub>AB</sub> run) to assess the impact. Despite applying the scaling factor to all emissions instead of solely to the feedlots as in Bash et al. (2013), the CMAQ<sub>AB</sub> model predictions, shown as the purple lines in Figure 2, matches the measurements (black line) better than the CARB<sub>base</sub> or CARB<sub>B</sub> scenarios over the day and night, consistent with Bash et al. (2013), with the mean night-time bias reduced by about a factor of 2 and the overall bias reduced to -1.23 ppbv (Table 1) and does particularly well between the hours of 01:00 and 06:00. The fact that adding the diurnally-varying emission profile improves the model performance, even though the emissions are dominated by fertilizer applications that should be accounted for by the bi-directional  $\text{NH}_3$  scheme, suggests that the scheme in CMAQ v5.0.2 is not correctly accounting for the diurnal variations in  $\text{NH}_3$  flux in the SJV.

As noted above, the results for  $\text{NH}_{3(g)}$  generally track the results for  $\text{NH}_x$  already discussed. In contrast, the model usually under-predicts the small amount of  $\text{NH}_{4(p)}$  observed (on average  $< 1 \text{ ppbv}$ , Figure 2c) by a factor of 2, with little variation between the model scenarios (Table 1). These model errors in  $\text{NH}_{4(p)}$  reflect not only model errors in total  $\text{NH}_x$ , but also errors in the formation of  $\text{HNO}_{3(g)}$  and  $\text{SO}_{4(p)}$  (Figure S3).  $\text{HNO}_{3(g)}$  is overestimated in all model simulations up to a factor of 4, with concentrations not changing between model cases.  $\text{SO}_{4(p)}$  measured concentrations are minimal and don't appear to

have any trend and also do not change with model cases. However, as our interest in this study is in constraining  $\text{NH}_3$  emissions, not inorganic aerosol formation, we do not investigate these errors further here.

#### 4.2 Evaluation of modelled vertical distribution of $\text{NH}_{3(\text{g})}$ using aircraft observations

The aircraft observations in the SJV indicate a large underestimate (range of factors about 1 to 5) in  $\text{CMAQ}_{\text{base}}$  modelled  $\text{NH}_x$  concentrations at higher altitudes as shown in Table 2 (all flights in SJV) and Figure 5 (two flights). The variation in model concentrations in the background of Figure 5 are due to the aircraft flying in and out of different horizontal grid boxes in the model. The May 24<sup>th</sup> flight shows a strong  $\text{CMAQ}_{\text{base}}$   $\text{NH}_x$  underestimate of about a factor of 5 when considering the entire flight with an  $r^2$  value of 0.31 and mean bias of -1.95 ppbv. This significant underestimate could potentially be due to an underestimate of vertical mixing at night (discussed below); when only data before 18:00 PDT is considered (assuming this is before the collapse of the convective boundary layer) the underestimate is only a factor of  $\sim 1.5$  and the  $r^2$  is 0.77, a considerably better result. However, model comparisons to flight data on 16 and 18 of June before 18:00 PDT, likely before the boundary layer collapse on these days, also show a significant model underestimate and low  $r^2$  values; thus there may be other contributing factors to this bias. As for the surface observations, the aircraft results for  $\text{NH}_x$  and  $\text{NH}_{3(\text{g})}$  are very similar.  $\text{NH}_{4(\text{p})}$  has a large absolute mean bias for all flights, but its low magnitude has a negligible effect on  $\text{NH}_x$  concentrations (see Table 2).

Early afternoon (Figure 5a) and evening (Figure 5b)  $\text{CMAQ}_{\text{base}}$  comparisons show a clear difference in the vertical distribution of  $\text{NH}_x$ . At night (May 24<sup>th</sup> flight), the model contains most of the  $\text{NH}_x$  in the lowest model level, whereas during the day (June 16<sup>th</sup> flight) it vertically mixes the  $\text{NH}_x$ . These results could suggest 1) vertical mixing is stronger than simulated in the model during both flights, based on the higher  $\text{NH}_x$  concentrations that the aircraft is measuring at higher altitudes, or 2) that there is a residual layer of  $\text{NH}_x$  at night that is not captured by the model or 3) there is a non-local source that is also not well captured by the model.

Gas-phase  $\text{NH}_3$  can either be deposited to or emitted from the surface depending on the land-type, land-use, and ambient concentrations (Bash et al., 2015; Fowler et al., 2009). The  $\text{CMAQ}_{\text{base}}$  run does not take this into consideration, but when bi- $\text{NH}_3$  is calculated in  $\text{CMAQ}_{\text{AB}}$  and  $\text{CMAQ}_{\text{B}}$ ,  $\text{NH}_3$  dry deposition should generally decrease, increasing the net land-atmosphere flux (Bash et al., 2013). Figure 5c is consistent with these results (and inconsistent with the hypothesis that vertical mixing is underestimated in the model) as the vertically distributed concentration of  $\text{NH}_x$  significantly increases from the  $\text{CMAQ}_{\text{base}}$  case to the  $\text{CMAQ}_{\text{B}}$  case. The transport of  $\text{NH}_3$  also tends to increase, this being a potential explanation for the plume entering the plot domain around 21:00 PDT in the bottom curtain plot. The total column concentration of  $\text{NH}_x$  also increases, leading to a significant positive model bias for the  $\text{CMAQ}_{\text{AB}}$  and  $\text{CMAQ}_{\text{B}}$  scenarios (e.g. in the earlier part of the flight in Figure 5c and Table 2), suggesting a possible overestimation of total  $\text{NH}_x$  emissions by the bi-directional  $\text{NH}_3$  scheme during the afternoon and evening hours that the flights took place.

### 4.3 Evaluation of modelled $\text{NH}_{3(g)}$ with TES $\text{NH}_3$ retrievals

Figure 6a shows the RVMR retrieved from the TES spectra ( $\text{TES}_{\text{RVMR}}$ ) for one overpass (during one hour of model output) on 12 May 2010; the other overpasses during the campaign are similar. Figure 6b shows the equivalent CMAQ<sub>base</sub> modelled  $\text{NH}_3$  RVMR ( $\text{CMAQ}_{\text{RVMR}}$ ) (see Equation 1 and 2 in Section 2.2), and Figure 6c shows the difference between the two. This figure demonstrates that the CMAQ<sub>base</sub> case can identify the locations of different sources of  $\text{NH}_3$  and the resulting geographical relative changes in  $\text{NH}_3$  along the transect, but that the  $\text{NH}_3$  RVMRs are underestimated, particularly at higher  $\text{NH}_3$  RVMRs (Table 3 and Table S2). Figure 7 shows the modelled and measured RVMR for four transects near Bakersfield between May 22 and June 22 (i.e., during the surface measurement record). The RVMRs for these overpasses are reasonably well correlated ( $r^2$  of 0.64 and mean bias of -2.57 ppbv, Table 3), again suggesting that the CMAQ<sub>base</sub> inventory does a good job of capturing the spatial distribution of  $\text{NH}_3$  emissions near Bakersfield. However the slope of the linear regression of CMAQ<sub>base</sub> RVMR suggests that CMAQ underestimates high  $\text{NH}_3$  concentrations by a factor of 2.4. This demonstrates the importance of using highly time-resolved observations of  $\text{NH}_3$  to determine the diurnal cycle of  $\text{NH}_3$  along with polar-orbiting satellite retrievals of  $\text{NH}_3$  to improve the spatial and seasonal distribution of the emissions, as noted in Zhu et al. (2013). In other words, if we had relied solely on the TES observations at 13:30 local solar time to evaluate the CMAQ<sub>base</sub> runs, we would have incorrectly assumed that the CARB inventory was a factor of 2.4 too low for total  $\text{NH}_3$  emissions, whereas the surface data demonstrate that the problem is primarily in the diurnal cycle of the emissions.

The RVMR bias for these four overpasses changes from -2.57 ppbv to 0.84 ppbv from the CMAQ<sub>base</sub> run to the CMAQ<sub>B</sub> run, respectively (Table 3). The linear regression slope of the observation-model RVMR comparison also improves from 0.47 to 0.93, consistent with the results of Zhu et al. (2015a). Adding adjusted emissions (the CMAQ<sub>AB</sub> case) increases the RVMR bias to 1.31 ppbv, but improves the regression slope to 1.02. The absolute RVMR bias is thus still better than in the CMAQ<sub>base</sub> run, but is worse than in the CMAQ<sub>B</sub> case.

However, the model RVMR can be very sensitive to errors in the modelled vertical distribution of  $\text{NH}_3$ . We investigated this by comparing each level of the TES retrieved  $\text{NH}_3$  profile with the corresponding CMAQ profile level after the observation operator is applied. Figure 8 shows box-and-whisker plots of this comparison for the CMAQ<sub>base</sub> and CMAQ<sub>AB</sub> model scenarios. This plot differs from that in Shephard et al. (2015) in that it includes the average of layers below 908 mb, which introduce an RVMR bias due to levels that are below 1000 mb. For CMAQ<sub>base</sub>, there is a substantial negative bias in the lowest level (-5 ppb), but for CMAQ<sub>AB</sub> this switches to a positive, smaller bias (~1 ppb). Furthermore, the other, higher levels show little bias (~0.08 ppb). Thus comparing the TES and CMAQ profiles level-by-level indicates that the CMAQ<sub>AB</sub> scenario performs the best in simulating the TES retrievals, consistent with the conclusions based on the surface observations in Section 4.1.

## 5 Discussion

The results in Section 4 show that the CMAQ model scenario that included both the bi-directional  $\text{NH}_3$  scheme and the diurnally adjusted emissions (CMAQ<sub>AB</sub>) gave results that were much closer to the surface measurements (Section 4.1) and satellite (Section 4.3) observations than the CMAQ<sub>base</sub> runs, with measurement uncertainties explained in Section 2. The CMAQ<sub>AB</sub> simulations also resulted in a large overestimate of  $\text{NH}_x$  concentrations higher in the atmosphere as measured by the aircraft (Section 4.2). Here we discuss the remaining errors in the CMAQ<sub>AB</sub> scenario, suggest possible explanations for these errors, and make suggestions for the direction of future research.

Both the night-time and daytime simulation of surface  $\text{NH}_x$  is improved in the CMAQ<sub>AB</sub> scenario. The total bias is significantly reduced from a factor of 4.5 at night and 0.6 during the day in the CMAQ<sub>base</sub> scenario (Figure 3a). In CMAQ<sub>AB</sub>, the model does a particularly better job as compared to measurements between the hours of 1:00 am and 6:00 am local time (Figure 9a), perhaps related to the lower emissions at this time of day when adjusted emissions are used. The remaining diurnal bias shows a relative model underestimate with a factor of  $\sim 0.6$  at 10:00 local time and a relative model overestimate peaking at  $\sim 1.7$  at 19:00 local time (Figure 9a), with average CMAQ<sub>AB</sub> modelled concentrations slightly higher in the afternoon and peaking around 19:00 (Figure 9b). It is interesting to note that the CMAQ<sub>AB</sub> bias relative to surface concentrations is small near the TES overpass time (e.g., crossing 0% between 13:00 and 14:00 local time, Figure 9a), which is consistent with the small bias seen in the comparison with the TES observations in Section 4.3. Furthermore, the aircraft results for the CMAQ<sub>AB</sub> scenario discussed in Section 4.2 also show a large relative overestimate in the afternoon and evening when the flights took place (Table 2), consistent with the afternoon and evening overestimates seen in the surface data.

Thus all three datasets suggest that the remaining errors in modelled  $\text{NH}_x$  concentrations are due to the diurnal profile of the net land-atmosphere  $\text{NH}_3$  flux in the CMAQ<sub>AB</sub> run peaking too late in the day. One possibility is that the diurnal cycle we applied to the non-fertilizer  $\text{NH}_3$  emissions, which was based on the ambient measurements of  $\text{NH}_3$ , is peaking too late in the day. However, as the peak of our assumed diurnal profile for these emissions (Figure S1) is consistent with the peak in surface temperature (1:00 pm, Figure 2d), we consider this explanation less likely than remaining errors in the bi-directional  $\text{NH}_3$  scheme for fertilizer emissions.

These errors in the bi-directional  $\text{NH}_3$  scheme could be due to errors in the dynamic emissions response of the bi-directional  $\text{NH}_3$  scheme to local temperature and wind speed conditions (Bash et al., 2013). However, Figure 2d shows that the modelled surface temperature and wind speed are not that far off from the values observed at the Bakersfield site. Thus the remaining errors are less likely related to errors in atmospheric meteorological conditions, and are more likely due to errors in the dependence of soil conditions (e.g., soil temperature, pH, and water content) on meteorology and crop management practices as calculated within the bi-directional  $\text{NH}_3$  scheme (Cooter et al., 2012). The scheme calculation assumes two soil layers (0.01 m and 0.05 m) that independently exchange  $\text{NH}_x$  with the canopy, which then exchanges  $\text{NH}_x$  with the surface layer of the atmosphere (Bash et al., 2013). If the calculation of the response of soil properties in these

layers to surface meteorology and crop management practices is incorrect (e.g., the soil layers do not heat up or cool down quickly enough with the change in surface temperature), that would affect the amount of  $\text{NH}_x$  available from the soil as well as the rate at which the soil  $\text{NH}_4^+$  is converted to  $\text{NO}_3^-$  through nitrification (Bash et al., 2013). This would result in errors in the flux of  $\text{NH}_x$  from the soil to the canopy, thus altering the canopy compensation point and the net atmospheric flux.

5 The aircraft results may also suggest errors in the vertical mixing of  $\text{NH}_x$  during the afternoon and evening (e.g., the peak of the PBL height and the collapse). While we consider this effect as likely less important to the remaining errors in  $\text{CMAQ}_{\text{AB}}$  than the potential errors in the bi-directional  $\text{NH}_3$  scheme already discussed, an overestimate of vertical mixing during the afternoon would overestimate the flux of  $\text{NH}_x$  from the surface layer of the atmosphere to the upper levels, consistent with the aircraft overestimate. In addition, the soil-canopy-surface atmosphere system would respond to this  
10 overestimate of vertical mixing by increasing the net flux of  $\text{NH}_x$  from the soil to the atmosphere in order to maintain equilibrium, resulting in a total overestimate of the emissions of  $\text{NH}_x$  during the afternoon and evening.

We thus recommend that future work to improve the simulation of atmospheric  $\text{NH}_x$  concentrations in the SJV focus on bottom-up and top-down approaches that will better estimate the diurnal changes in the canopy compensation point that determines the net flux from the land to the atmosphere in the bi-directional  $\text{NH}_3$  scheme (Bash et al., 2013). This scheme  
15 was originally developed using field scale observations taken in North Carolina, USA (Walker et al., 2013), so it is not surprising that this approach may need modification to work in the SJV. We recommend, first, that the CARB  $\text{NH}_3$  inventory be updated to better separate  $\text{NH}_3$  emissions from fertilizer and livestock. The Bash et al. (2013) scheme assumes that these two sectors will dominate the  $\text{NH}_3$  emissions, while the CARB inventory divides fertilizer/pesticide use from “farming operations”, and thus it is unclear if these other farming practices are dominated by livestock or not. Second, the data on crop  
20 management (e.g., fertilizer amount, timing, form, and distribution) used in EPIC (and thus in the  $\text{CMAQ}$  bi-directional  $\text{NH}_3$  scheme) is based on data for the entire West Coast of the US (e.g., California, Oregon, and Washington), and thus may not be representative of farming practices in the SJV. Better crop management data specific to the SJV, as well as more SJV-specific data on soil moisture and heating rates, may thus help in removing some of the remaining errors in the  $\text{CMAQ}_{\text{AB}}$  scenario. Third, in order to better connect these bottom-up emission estimates to the measured atmospheric concentrations,  
25 we recommend that top-down studies focus not just on correcting the net  $\text{NH}_x$  flux to the atmosphere but also determine the diurnally-varying biases in the canopy compensation point that determines these net fluxes. This may require the development of adjoint methods and models (e.g., Zhu et al., 2015a) that can retrieve time-varying correction factors for the canopy compensation point, rather than just for the net flux itself.

## 6 Conclusions

30 We used  $\text{NH}_3$  retrievals from the NASA Tropospheric Emission Spectrometer, as well as surface and aircraft observations of  $\text{NH}_{3(\text{g})}$  and submicron  $\text{NH}_{4(\text{p})}$  gathered during the CalNex campaign, to evaluate the ability of the  $\text{CMAQ}$  model run with the CARB emission inventory to simulate ambient  $\text{NH}_{3(\text{g})}$  and  $\text{NH}_{4(\text{p})}$  concentrations in California’s San Joaquin Valley. We find

that CMAQ simulations of NH<sub>3</sub> driven with the CARB inventory are qualitatively and spatially consistent with TES satellite observations, with a correlation coefficient ( $r^2$ ) of 0.64. However, the surface observations at Bakersfield indicate a diurnally varying model bias, with CMAQ<sub>base</sub> overestimating NH<sub>3</sub> at night by at times more than 50 ppbv and underestimating it during the day by up to 10 ppbv. The surface, satellite, and aircraft observations all suggest that the afternoon NH<sub>3</sub> emissions in the CARB inventory used in CMAQ<sub>base</sub> are underestimated by at least a factor of two, while the night-time overestimate of NH<sub>3</sub> is likely due to a combination of overestimated night-time NH<sub>3</sub> emissions and underestimated night-time deposition. Thus the diurnally-constant NH<sub>3</sub> emissions used by CARB in the SJV likely misrepresent the diurnal emission cycle.

Using the bi-directional NH<sub>3</sub> scheme in CMAQ (CMAQ<sub>B</sub>) resulted in reduced NH<sub>x</sub> concentrations at night and a slight increase during the day, overall reducing the model bias relative to the surface and satellite observations. However, this scenario substantially increased the simulated mixing ratio of NH<sub>x</sub> at higher altitudes, leading to an increased bias relative to the aircraft observations. In addition, errors in the simulation of the night-time surface concentrations remained in this scenario.

In order to further improve the model, we used the surface observations at Bakersfield to derive an empirical diurnal cycle of NH<sub>3</sub> emissions in the SJV in which night-time and midday emissions differed by about a factor of 4.5. Adding this diurnal profile to the CMAQ bi-directional NH<sub>3</sub> simulations (CMAQ<sub>AB</sub>) while keeping the daily total NH<sub>3</sub> emissions constant at the CARB values significantly improved the model performance at night relative to the surface observations, on top of the already improved results from the CMAQ<sub>B</sub> simulations. Comparisons with the TES RVMR showed a slight increase in the bias for the CMAQ<sub>AB</sub> scenario relative to CMAQ<sub>B</sub>, but further examination of the modelled and retrieved vertical profiles suggests that this is primarily due to ~1 ppb differences in the lowest retrieved level with the CMAQ<sub>AB</sub> scenario showing little bias (0.08 ppbv) relative to the TES NH<sub>3</sub> profile above this surface level. However, even in the CMAQ<sub>AB</sub> scenario sizable errors (up to 20 ppbv) in the afternoon and evening NH<sub>3</sub> remained, possibly due to the net land-atmosphere NH<sub>3</sub> flux calculated by the bi-directional NH<sub>3</sub> scheme peaking too late in the day due to errors in the calculated response of the soil conditions (e.g., soil temperature, pH, and water content) to meteorology and crop management practices.

We recommend that future work on modelling NH<sub>x</sub> emissions in the SJV include (a) updating the CARB NH<sub>3</sub> inventory to account for NH<sub>3</sub> from fertilizer, livestock, and other farming practices separately, (b) adding information on crop management practices specific to the SJV region to the EPIC-FESTC system, and (c) top-down studies that focus not just on correcting the net NH<sub>x</sub> flux to the atmosphere but also on determining the diurnally-varying biases in the canopy compensation point that determines these net fluxes.

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Table 1. Summary statistics of the modelled NH<sub>x</sub>, NH<sub>3(g)</sub> and NH<sub>4(p)</sub> concentration comparisons to the ground measurements for all three model runs.

Model Run	NH <sub>x</sub>				NH <sub>3(g)</sub>		NH <sub>4(p)</sub>	
	Slope	r <sup>2</sup>	MB (ppbv)	MNB (%)	MB (ppbv)	MNB (%)	MB (ppbv)	MNB (%)
CMAQ <sub>base</sub>	-2.49+/-0.15	0.001	8.24	72.54	8.63	78.79	-0.40	-52.96
CMAQ <sub>B</sub>	1.22+/-0.07	0.01	4.57	45.74	4.99	50.60	-0.41	-55.92
CMAQ <sub>AB</sub>	0.85+/-0.05	0.05	-1.23	-10.70	-0.79	-14.01	-0.44	-60.24

Table 2. Summary statistics of the modelled to measured NH<sub>x</sub> concentration comparisons following the SJV flights.

Date	Time (PDT)	NH <sub>x</sub>			NH <sub>3(g)</sub>		NH <sub>4(p)</sub>		
		Slope	r <sup>2</sup>	MB (ppbv)	MNB (%)	MB (ppbv)	MNB (%)	MB (ppbv)	MNB (%)
CMAQ <sub>base</sub>									
20100524	16:00-22:00	0.20+/-0.01	0.31	-1.95	-2.010	-1.74	-18.24	-0.14	-58.70
	16:00-18:00	0.68+/-0.05	0.77	-0.20	-10.79	-0.04	-32.46	-0.08	-53.19
	18:00-22:00	0.18+/-0.01	0.29	-2.40	-0.213	-2.24	-14.65	-0.14	-60.10
20100616	13:00-18:00	0.30+/-0.02	0.43	-5.92	-8.980	-4.90	-3.59	-0.24	-45.32
20100618	13:00-18:00	0.18+/-0.02	0.10	-8.12	-18.97	-7.85	-28.9	-0.26	-75.20
CMAQ <sub>B</sub>									
20100524	16:00-22:00	0.36+/-0.03	0.09	5.56	351.82	5.71	453.86	-0.10	-39.32
	16:00-18:00	-1.57+/-0.24	0.19	6.59	506.18	6.71	639.07	-0.07	-31.92
	18:00-22:00	0.31+/-0.03	0.11	5.30	31.28	5.46	407.1	-0.11	-41.18
20100616	13:00-18:00	0.76+/-0.06	0.04	6.27	248.03	6.63	279.85	-0.22	-33.82
20100618	13:00-18:00	0.37+/-0.04	0.02	4.26	394.88	4.41	458.88	-0.21	-52.37
CMAQ <sub>AB</sub>									
20100524	16:00-22:00	0.38+/-0.03	0.17	6.15	369.73	6.30	474.89	-0.10	-38.48
	16:00-18:00	-1.61+/-0.25	0.16	6.94	526.88	7.07	664.26	-0.07	-31.17
	18:00-22:00	0.32+/-0.02	0.22	5.95	330.05	6.10	427.07	-0.11	-40.33
20100616	13:00-18:00	0.80+/-0.06	0.10	7.83	264.1	8.19	297.58	-0.22	-33.83
20100618	13:00-18:00	0.42+/-0.05	0.03	5.59	425.7	5.76	494.16	-0.21	-50.36

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Table 3. Summary statistics of the CMAQ<sub>RVMR</sub> to TES<sub>RVMR</sub> NH<sub>3</sub> comparisons for 4 CalNex overpasses (05/28, 05/30, 06/13, 06/15).

<b>Model Run</b>	<b>Slope</b>	<b>r<sup>2</sup></b>	<b>MB (ppbv)</b>	<b>MNB (%)</b>
CMAQ <sub>base</sub>	0.47	0.64	-2.57	-30.21
CMAQ <sub>B</sub>	0.93	0.60	0.84	14.40
CMAQ <sub>AB</sub>	1.02	0.60	1.31	19.57

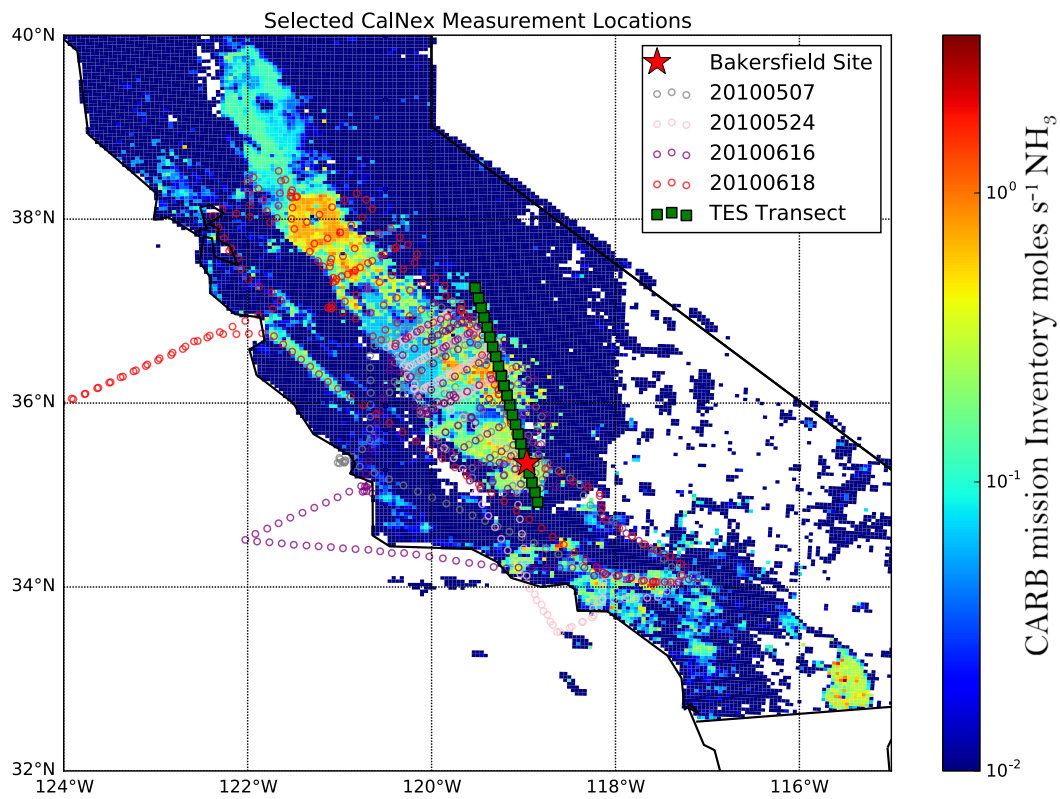


Figure 1. Distribution of  $NH_3$  emissions across California (background) on May 12, 2010 at 19:00 UTC as well as P3 flight tracks (small circles), TES transect (green squares), and the Bakersfield site (red star)

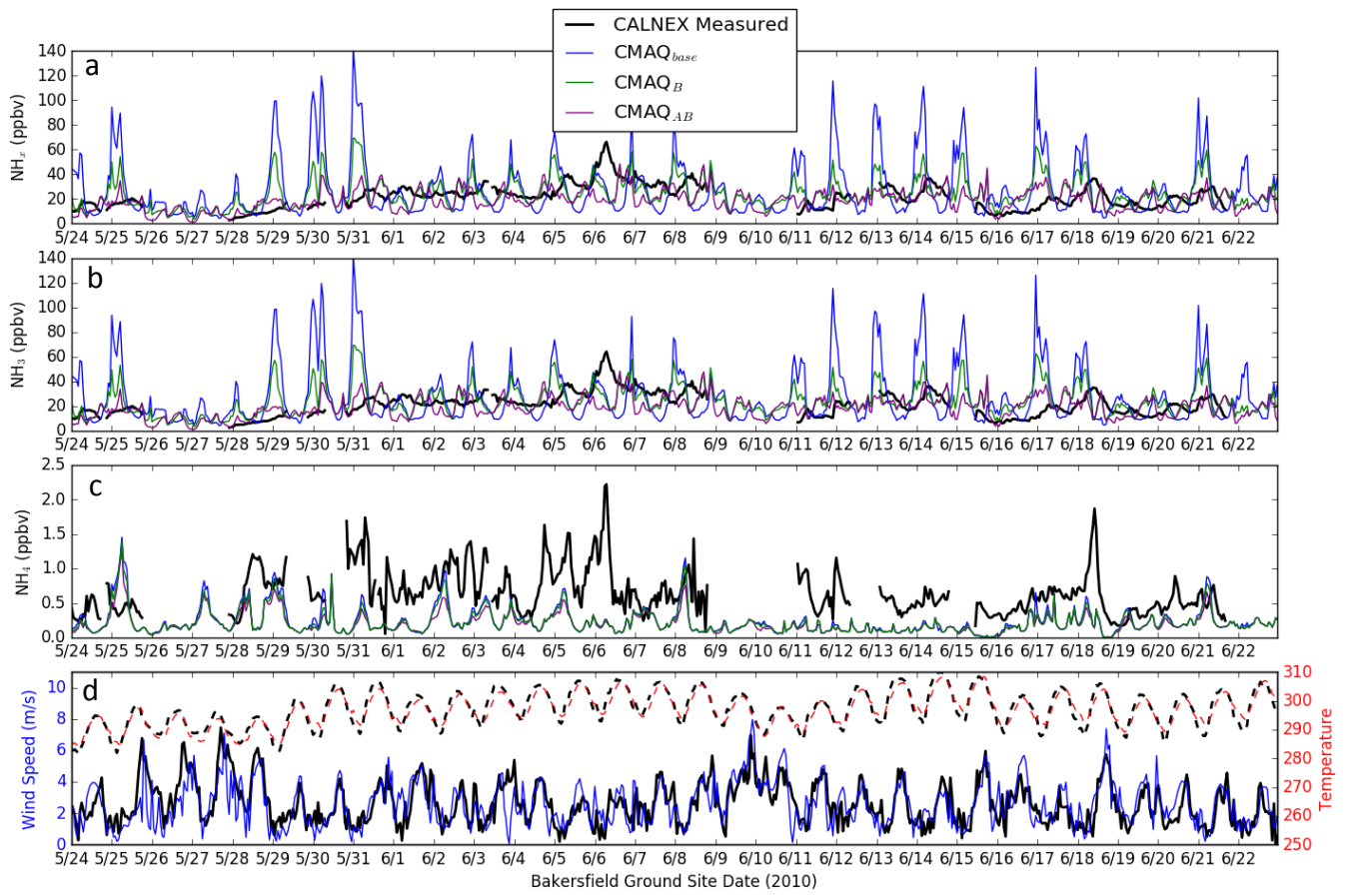


Figure 2. The CalNex ground measurements at the Bakersfield site (solid black) compared to the CMAQ<sub>base</sub> (solid blue), CMAQ<sub>AB</sub> (purple) and CMAQ<sub>B</sub> (green) simulations for a month of model runs. The top panel (a) shows NH<sub>x</sub>, b) shows NH<sub>3(g)</sub>, c) NH<sub>4(p)</sub>, and d) wind speed on the left and temperature on the right axis.

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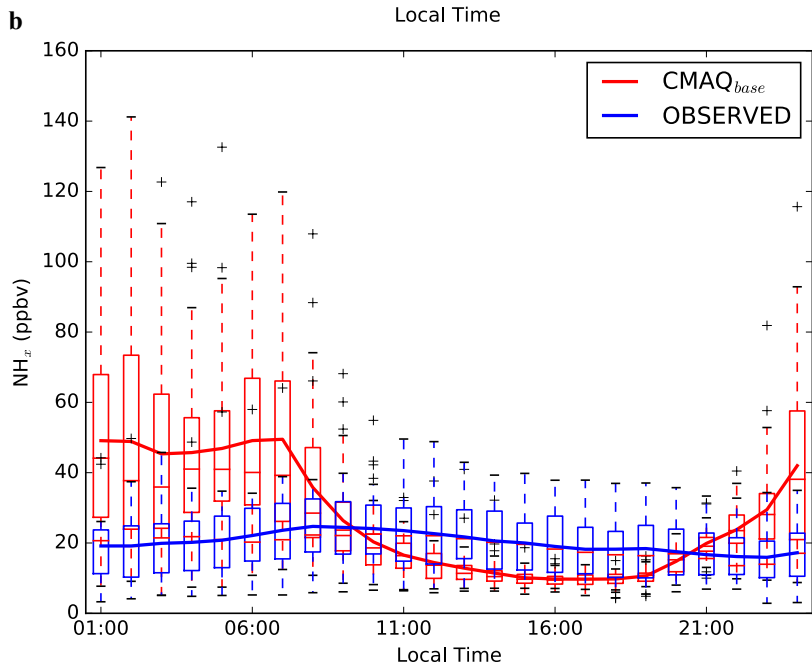
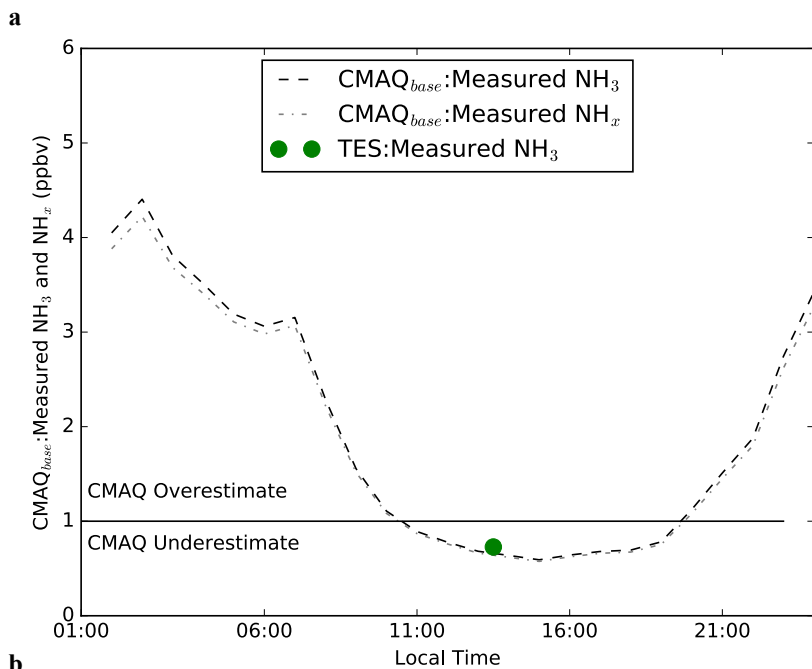


Figure 3. a) The average hourly ratio of modelled to measured  $\text{NH}_3$  (dashed-dotted line) and  $\text{NH}_x$  (dashed line) mixing ratios at the Bakersfield ground site and the average modelled RVMR to TES RVMR ratio (green dot) in local PDT. b) Boxplot of average hourly modelled (red) and measured (blue)  $\text{NH}_x$  mixing ratios for the Bakersfield ground site, averaged over all measurement days during CalNex where the boxplots show the inter-quartile range and median line within the box and outliers (whiskers).

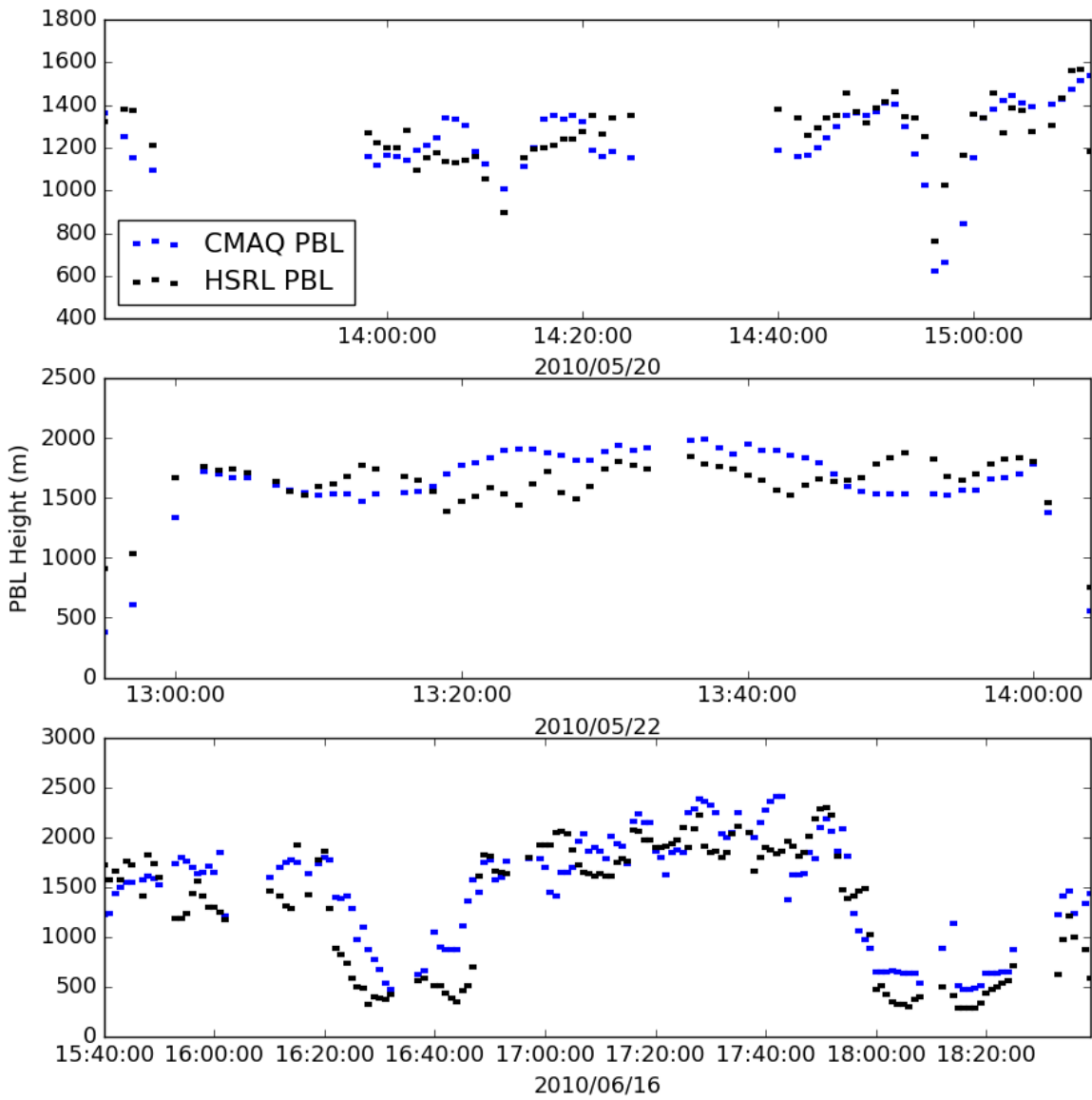


Figure 4. Time series of WRF predicted planetary boundary layer heights and HSRL calculated mixed layer heights for 3 flight sections in the San Joaquin Valley (2 during CalNex and one during a CARES campaign).

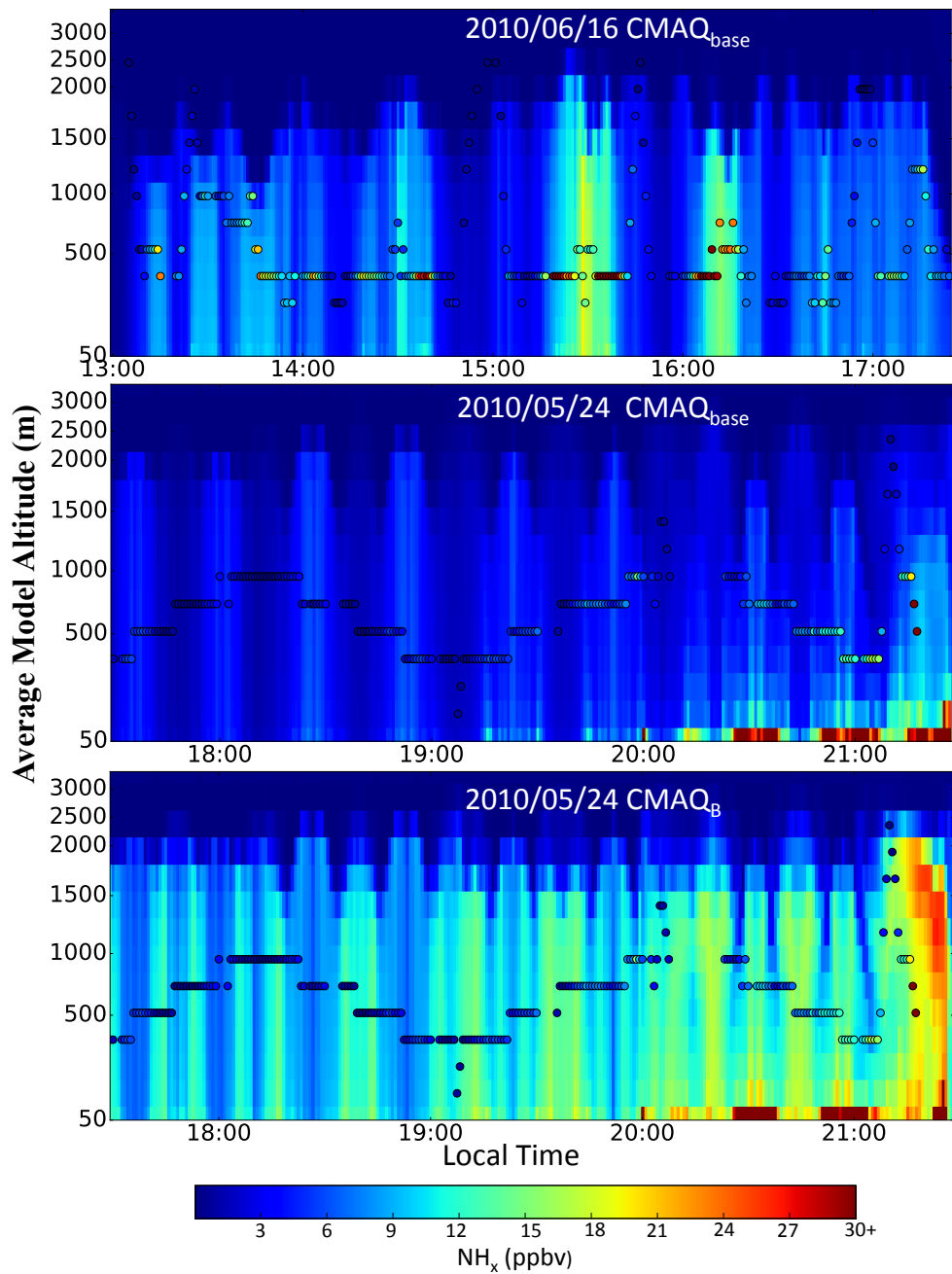


Figure 5. The hourly output of CMAQ<sub>base</sub>  $\text{NH}_x$  is shown in the background with the measured (one minute average)  $\text{NH}_x$  concentrations within the modelled hour shown as the dots. (a) Daytime flight over Bakersfield on June 16, (b) evening flight on May 24, 2010 and (c) the same as (b) but for a CMAQ<sub>B</sub> run.

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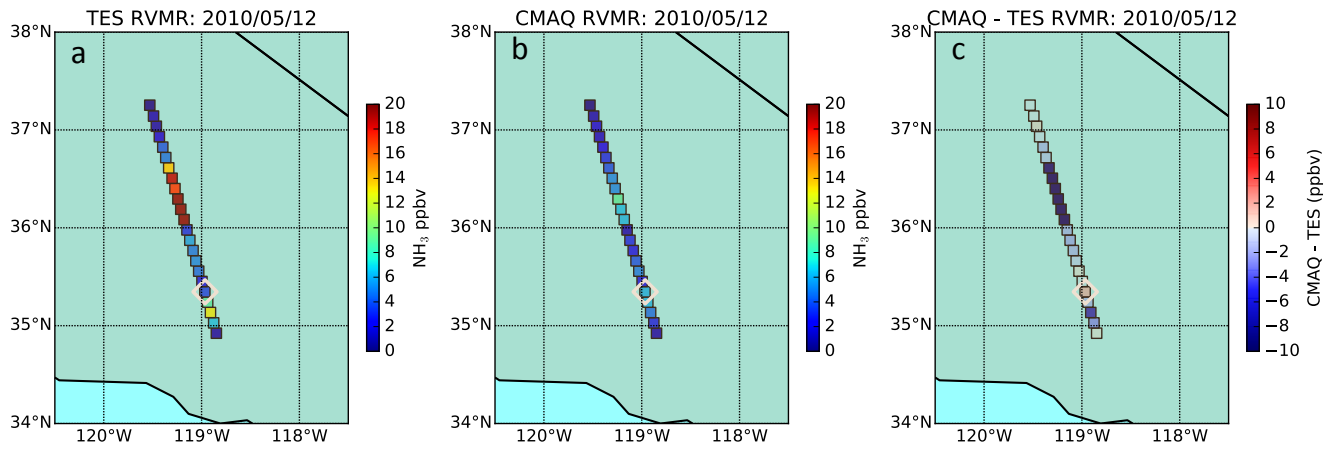


Figure 6.  $\text{NH}_3$  representative volume mixing ratios (RVMRs) on 12 May 2010 during the CALNEX campaign for (a) TES special observations, (b) modelled RVMR for CMAQ and (c) the difference between each RVMR near the Bakersfield, CA, surface site with the white diamond locating the Bakersfield measurement site.

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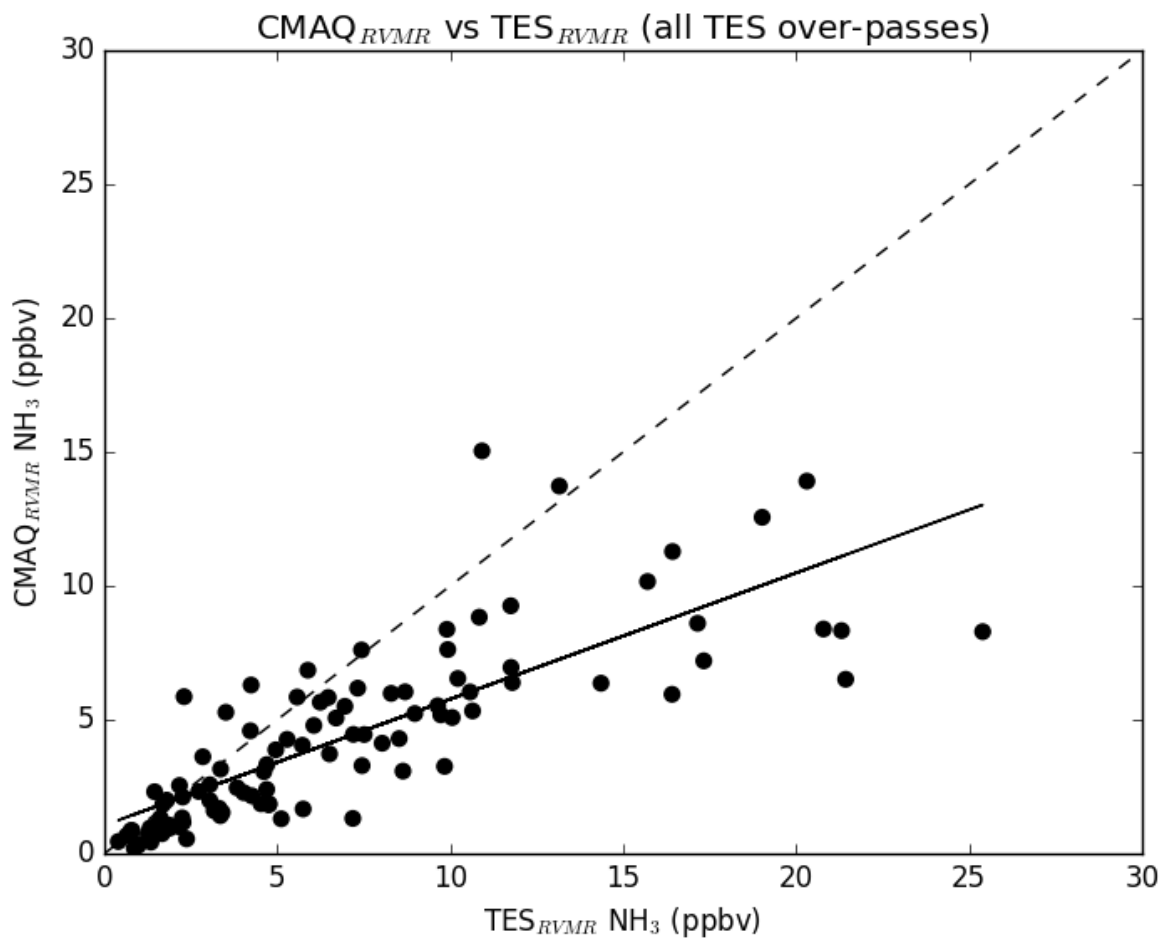


Figure 7. Scatter plot of CMAQ<sub>base</sub> and TES NH<sub>3</sub> representative volume mixing ratios for four TES special observation passes (TES<sub>RVMR</sub>) during the CalNex campaign with statistics discussed in Table 3.

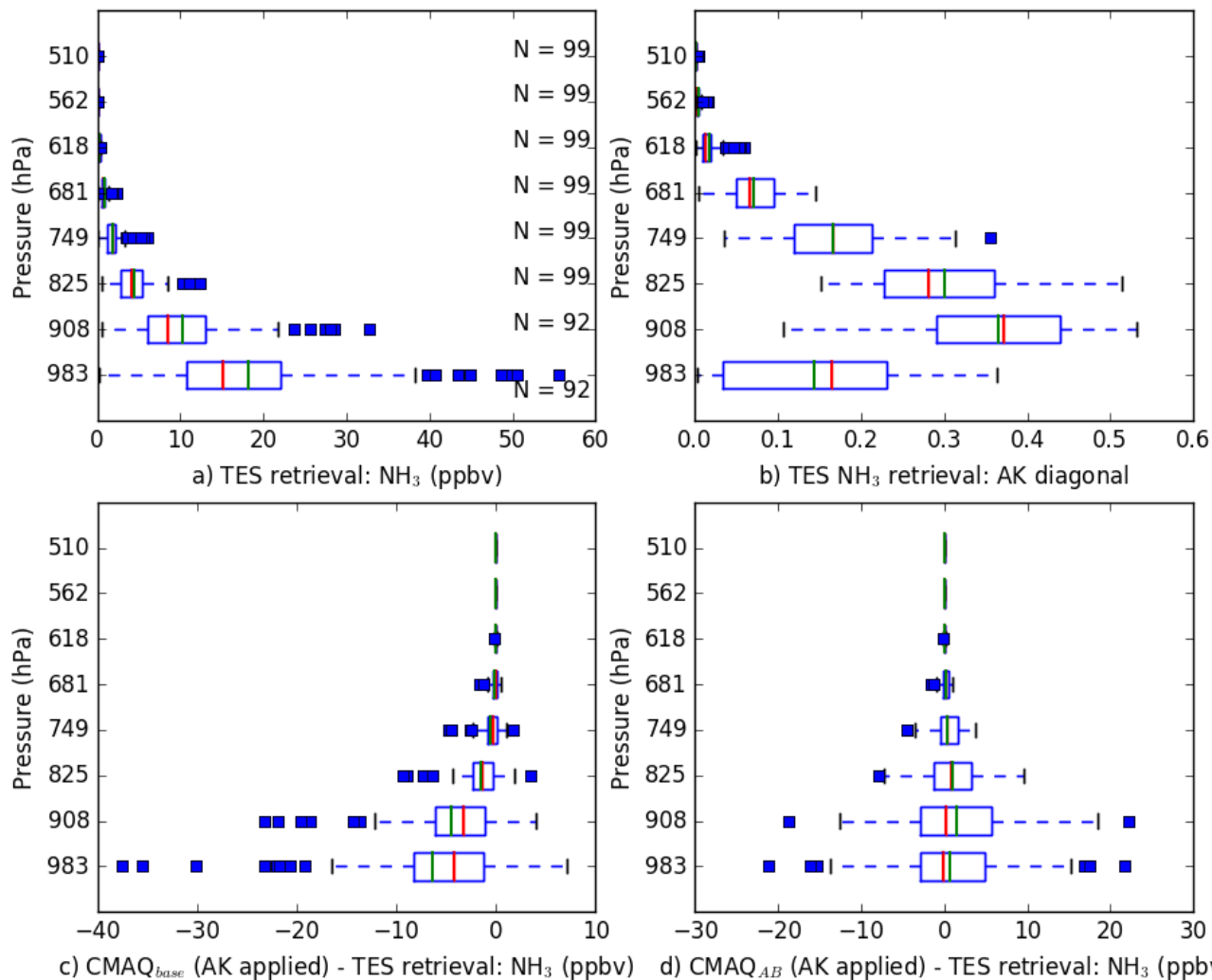


Figure 8. Boxplots of a) TES  $\text{NH}_3$  retrieval by pressure level, b) TES  $\text{NH}_3$  retrieval averaging kernel (AK) diagonal, c) difference between the TES  $\text{NH}_3$  retrieval and CMAQ<sub>base</sub> modelled  $\text{NH}_3$  interpolated to TES levels with an AK applied for the baseline model run and d) same as c) but for the CMAQ<sub>AB</sub> run. Box plots show the mean (green), median (red), interquartile range (IQR, blue box), whiskers at 1.5 IQR and outliers beyond that.

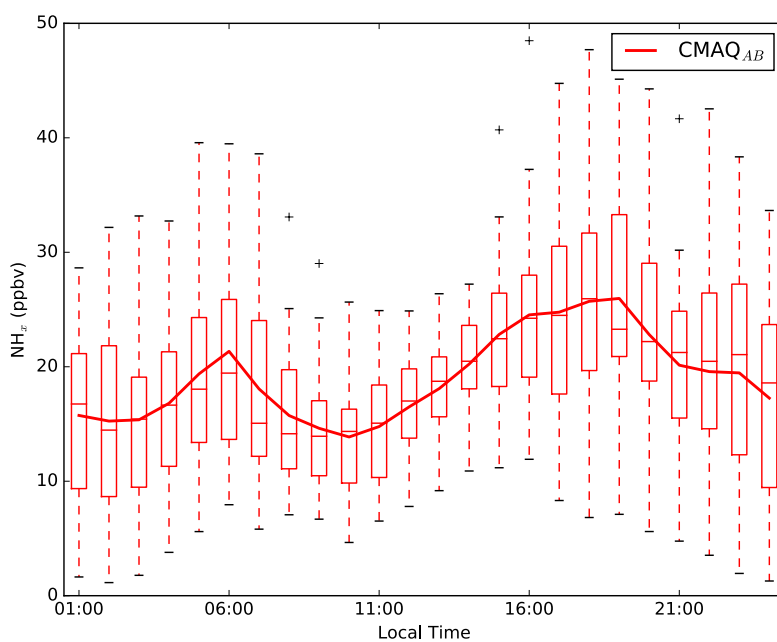
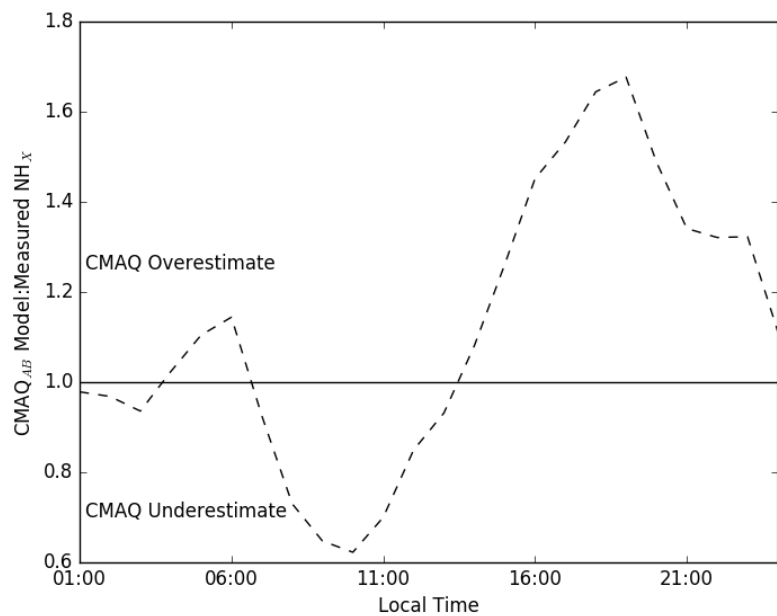


Figure 9. a) The average hourly ratio of CMAQ<sub>AB</sub> modelled to measured NH<sub>x</sub> (dashed line) mixing ratios at the Bakersfield ground site b) Boxplot of average hourly CMAQ<sub>AB</sub> modelled (red) NH<sub>x</sub> mixing ratios for the Bakersfield ground site.