

1 **Pre-monsoon air quality over Lumbini, a world heritage site**
2 **along the Himalayan foothills**

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20

21 **Abstract**

22 Lumbini, in southern Nepal, is a UNESCO world heritage site of universal value as the
23 birthplace of Buddha. Poor air quality in Lumbini and surrounding regions is a great concern for
24 public health as well as for preservation, protection and promotion of Buddhist heritage and
25 culture. We present here results from measurements of ambient concentrations of key air
26 pollutants (PM, BC, CO, O₃) in Lumbini, first of its kind for Lumbini, conducted during an
27 intensive measurement period of three months (April-June 2013) in the pre-monsoon season. The
28 measurements were carried out as a part of the international air pollution measurement
29 campaign; SusKat-ABC (Sustainable Atmosphere for the Kathmandu Valley - Atmospheric
30 Brown Clouds). The main objective of this work was to understand and document the level of air
31 pollution, diurnal characteristics and the influence of open burning on air quality in Lumbini.
32 The hourly average concentrations during the entire measurement campaign ranged as follows:
33 BC: 0.3 - 30.0 $\mu\text{g m}^{-3}$, PM₁: 3.6-197.6 $\mu\text{g m}^{-3}$, PM_{2.5}: 6.1 - 272.2 $\mu\text{g m}^{-3}$, PM₁₀: 10.5 - 604.0 μg
34 m^{-3} , O₃: 1.0 - 118.1 ppbv, and CO: 125.0 - 1430.0 ppbv. These levels are comparable to other
35 very heavily polluted sites in South Asia. Higher fraction of coarse mode PM was found as
36 compared to other nearby sites in the Indo-Gangetic Plain region. $\Delta\text{BC}/\Delta\text{CO}$ ratio obtained in
37 Lumbini indicated considerable contributions of emissions from both domestic and
38 transportation sectors. The 24-h average PM_{2.5} and PM₁₀ concentrations exceeded the WHO
39 guideline very frequently (94% and 85% of the sampled period, respectively), which implies
40 significant health risks for the residents and visitors in the region. These air pollutants exhibited
41 clear diurnal cycles with high values in the morning and evening. During the study period, the
42 worst air pollution episodes were mainly due to agro-residue burning and regional forest fires
43 combined with meteorological conditions conducive of pollution transport to Lumbini. Fossil
44 fuel combustion also contributed significantly, accounting for more than half of the ambient BC
45 concentration according to aerosol spectral light absorption coefficients obtained in Lumbini.
46 WRF-STEM, a regional chemical transport model, was used to simulate the meteorology and the
47 concentrations of pollutants to understand the pollutant transport pathways. The model estimated
48 values were ~ 1.5 to 5 times lower than the observed concentrations for CO and PM₁₀
49 respectively. Model simulated regionally tagged CO tracers showed that the majority of CO
50 came from the upwind region of Ganges Valley. Model performance needs significant

51 improvement in simulating aerosols in the region. Given the high air pollution level, there is a
52 clear and urgent need for setting up a network of long-term air quality monitoring stations in the
53 greater Lumbini region.

54 1. **Introduction**

55 The Indo-Gangetic plain (IGP) stretches over 2000 km encompassing a vast area of land in
56 northern South Asia: the eastern parts of Pakistan, most of northern and eastern India, southern
57 part of Nepal, and almost all of Bangladesh. The Himalayan mountains and their foothills stretch
58 along the northern edge of IGP. The IGP region is among the most fertile and most intensely
59 farmed region of the world. It is a heavily populated region with about 900 million residents or
60 12% of the world's population. Four megacities - Lahore, Delhi, Kolkata, and Dhaka are located
61 in the IGP region, with dozens more cities with populations exceeding one million. The region
62 has witnessed impressive economic growth in recent decades but unfortunately it has also
63 become one of the most polluted, and an air pollution 'hot spot' of local, regional and global
64 concern (Ramanathan et al., 2007). Main factors contributing to air pollution in the IGP and
65 surrounding regions include emissions from vehicles, thermal power plants, industries, biomass
66 and fossil fuel used in cooking and heating activities, agricultural activities, crop residue burning
67 and forest fires. Air pollution gets transported long distances away from emission sources and
68 across national borders. As a result, the IGP and adjacent regions get shrouded with a dramatic
69 annual buildup of regional scale plumes of air pollutants, known as Atmospheric Brown Clouds
70 (ABC), during the long and dry winter and pre-monsoon seasons each year (Ramanathan and
71 Carmichael, 2008). Figure 1 shows monthly synoptic wind and mean aerosol optical depth
72 (AOD) during April-June, 2013 over South Asia. Very high aerosol optical depth along the entire
73 stretch of IGP reflects severity of air pollution over large areas in the region.

74 Poor air quality continues to pose significant threat to human health in the region. In a new study
75 of global burden of disease released recently, Forouzanfar et al. (2015) estimated that in 2013
76 around 1.7 million people died prematurely in Pakistan, India, Nepal, and Bangladesh as a result
77 of air pollution exposure, nearly 30% of global total premature deaths due to air pollution. Air
78 pollution also affects precipitation (e.g. South Asian monsoon), agricultural productivity,
79 ecosystems, tourism, climate, and broadly socio-economic and national development goals of the

80 countries in the region (Burney and Ramanathan, 2014; Shindell et al., 2012; Ramanathan and
81 Carmichael, 2008). It has also been linked to intensification of cold wave and winter fog in the
82 IGP region over recent decades (Lawrence and Lelieveld, 2010 and references therein; Safai et
83 al., 2009; Ganguly et al., 2006). Besides high levels of aerosol loading as shown in Fig. 1, Indo-
84 Gangetic plains also have very high levels of ground level ozone or tropospheric ozone (O_3)
85 (e.g., Ramanathan and Carmichael (2008)) which is a toxic pollutant to plant and human health,
86 and a major greenhouse gas (IPCC, 2013; Shindell et al., 2012; Mohnen et al., 1993). South
87 Asia, in particular IGP region, has been projected to be the most ozone polluted region in world
88 by 2030 (Stevenson et al., 2006). Majority of crop loss in different parts of the world results from
89 effects of ozone on crop health and productivity (Shindell et al., 2012). Burney and Ramanathan
90 (2014) also reported a significant loss in wheat and rice yields in India from 1980 to 2010 due to
91 direct effects of black carbon (BC) and ozone (O_3). BC and O_3 are two key short-lived climate
92 pollutants (SLCP). Similarly, species like fine particles and carbon monoxide (CO) are potent to
93 health damages by posing impacts upon the respiratory and cardiovascular system and even also
94 to the climate system (Singh et al., 2017 and references therein). Because of the IGP's close
95 proximity to the Himalaya-Tibetan plateau region, this once relatively clean region, is now
96 subjected to increasing air pollution transported from regions such as the IGP, which can exert
97 additional risks to sensitive ecosystems in the mountain region (e.g., (Lüthi et al., 2015;
98 Marinoni et al., 2013; Duchi et al., 2011). However, air pollution transport pathways to
99 Himalayas are still not yet fully understood.

100 Monuments and buildings made with stones are vulnerable to air pollution damage
101 (Brimblecombe, 2003; Gauri and Holdren, 1981). The damage to the monuments and buildings
102 could be in various forms like corrosion, soiling, abrasion and discoloration. For example, a
103 recent study has reported that deposition of light absorbing aerosol particles (black carbon,
104 brown carbon) and dust is responsible for the discoloration of Taj Mahal, a world famous
105 monument in India (Bergin et al., 2015). Lumbini, located near the northern edge of the central
106 Indo-Gangetic plain, is famous as the birthplace of the Lord Buddha and thus a UNESCO world
107 heritage site of outstanding universal value to humanity. Since the study area is renowned due to
108 its historical and archaeological significance, Lumbini is getting the worldwide attention also for

109 poor air quality in the region. There was no regular air quality monitoring in Lumbini at the time
110 of our measurement campaign.

111 Through this study, we want to understand the level of air pollution, its diurnal characteristic,
112 and the influence of open burning on air quality in Lumbini. We carried out continuous
113 measurements of ambient concentrations of key air pollutants (PM, BC, CO, O₃) and
114 meteorological parameters during an intensive measurement period of three months (April-June)
115 in the year 2013. These are the first reported pollutant measurements for Lumbini. A regional
116 chemical transport model called Sulfur Transport and dEposition Model (STEM) was used to
117 simulate the variations of meteorological parameters and air pollutants during the observation
118 period to examine the extent to which a state-of-the-art, widely-used air quality model is able to
119 simulate the observations, as an indication for where there are still gaps in our knowledge and
120 what further measurements and emissions dataset developments are needed. Model simulated
121 regionally tagged CO tracers were used to identify emission source regions impacting pollutant
122 concentration observed at Lumbini. Satellite data has also been used to understand the high
123 pollution events during the monitoring period. These measurements were carried out as a part of
124 the SusKat-ABC international air pollution measurement campaign (*M. Rupakheti, manuscript in
125 preparation for ACPD*) jointly led by the International Centre for Integrated Mountain
126 Development (ICIMOD), Kathmandu, Nepal and Institute for Advanced Sustainability Studies
127 (IASS), Potsdam, Germany.

128 2. Experimental set up

129 2.1 Sampling site

130 The Lumbini measurement site (27°29.387' N, 83°16.745' E, elevation: ~100 m above sea level)
131 is located at the premise of the Lumbini International Research Institute (LIRI), a Buddhist
132 library in Lumbini of Rupandehi district. According to the National Census conducted in 2011,
133 total population of Rupandehi district is about 900 thousand with the population density of about
134 650 person/square kilometer which is the fourth most densely populated district of the country.
135 Over 130 thousand tourists visited Lumbini in 2014 (<http://tourism.gov.np/en>). A local road
136 (black topped) lies about 200 m north of the sampling site and experiences intermittent passing
137 of vehicles. About 25 km north of Lumbini the foothills begin while the main peaks of the

138 Himalayas are 140 km to the north. The remaining three sides are surrounded by flat plain land
139 of Nepal and India. The site is only about 8 km from the Nepal-India border in the south. A three
140 storied 10 m tall water tower was used as the platform for the automatic weather station (AWS)
141 whereas remaining instruments were placed inside a room near the base of the tower. An
142 uninterrupted power back up was set up in order to assure the regular power supply even during
143 hours with scheduled power cuts during the monitoring period. Figure S1 shows the location of
144 Lumbini, the Kenzo Tange Master Plan area of the Lumbini development project, the sampling
145 tower and brief discussion on the surroundings of the site. Outside of the master plan area lie vast
146 area of agricultural fields, village pockets, and several brick kilns and cement industries.

147 **2.2 Monitoring Instruments**

148 The summary of instruments deployed in Lumbini is presented in Table 1. All data were
149 collected in Nepal Standard Time (NST) which is GMT +05:45 hour. PM_{1} , $PM_{2.5}$ and PM_{10} mass
150 concentrations were monitored continuously with GRIMM EDM164 (GRIMM Aerosol Technik,
151 Germany) which uses the light scattering at 655 nm to derive mass concentrations. Similarly,
152 aerosol light absorptions at 7 wavelengths (370, 470, 520, 590, 660, 880, 950 nm) were
153 measured continuously with an Aethalometer (Model AE-42, Magee Scientific, USA), averaging
154 and reporting data every 5 min. It was operated at a flow rate of 5 l min^{-1} . No cut-off was applied
155 for inlet; hence the reported concentration of BC is total suspended BC particles. As described by
156 the manufacturer, ambient BC concentration is derived from light absorption at 880 nm using a
157 specific mass absorption cross section. To obtain BC concentration in Lumbini, we used a
158 specific mass absorption cross-section value of $8 \text{ m}^2 \text{ g}^{-1}$ for the 880 nm channel. A similar value
159 has been previously used for BC measurement in the Indo-Gangetic plain (Praveen et al., 2012).
160 To remove the filter loading effect, we used correction method suggested by Schmid et al. (2006)
161 which was also used by Praveen et al. (2012) for BC measurements at a rural site in the Indo-
162 Gangetic plain. Surface ozone (O_3) concentration was measured continuously with an ozone
163 analyzer (Model 49i, Thermo Scientific, USA) which utilizes UV (254 nm wavelength)
164 photometric technology to measure ozone concentration in ambient air. CO analyzer (Model 48i,
165 Thermo Scientific, USA) was used to monitor ambient CO concentration. The ambient air was
166 drawn through 6-micron pore size SAVILLEX 47 mm filter at the inlet in order to remove the
167 particles before sending air into the CO and O_3 analyzers using a Teflon tube. The filters were

168 replaced every 7-10 days depending on particle loading, based on manual inspection. CO
169 instrument was set to auto-zero at a regular interval of 6 hours. Local meteorological parameters
170 (temperature, relative humidity, wind speed, wind direction, precipitation, and global solar
171 radiation) were monitored with an automatic weather station (AWS) (Campbell Scientific,
172 Loughborough, UK), recording data every minute.

173 **2.3 Regional chemical transport model**

174 Aerosol and trace gas distributions were simulated using a regional chemical transport model.
175 Sulfur Transport and dEposition Model (STEM), a 3D eulerian model that has been used
176 extensively in the past to characterize air pollutants in South Asian region (Adhikary et al., 2010;
177 Adhikary et al., 2007) was used to understand observations at Lumbini. The Weather Research
178 and Forecasting (WRF) model (Skamarock et al., 2008) version 3.5.1 was used to generate the
179 required meteorological variables necessary for simulating pollutant transport in STEM. The
180 model domain was centered at 24.94° N latitude and 82.55° E longitude covering a region from
181 3.390° N to 43.308° N latitude and 34.880° E to 130.223° E longitude. The model has 425×200
182 horizontal grid cells with grid resolution of 25 km × 25 km and 41 vertical layers with top of the
183 model set at 50 mbar. The WRF model was run from November 1, 2012 to June 30, 2013.
184 However, for this study, modeled data only from April to June 2013 have been used. The WRF
185 model was initialized with FNL data available from NCAR/UCAR site
186 (<http://rda.ucar.edu/datasets/ds083.2/>).

187 The tracer version of the STEM model provides mass concentration of sulfate, BC (hydrophilic
188 and hydrophobic), Organic carbon (OC), sea salt (fine and coarse mode), dust (fine PM_{2.5} and
189 PM₁₀), CO (open burning and anthropogenic) and region tagged CO tracers. STEM model
190 domain size, resolution and projection are those of the WRF model. Details about tracer version
191 of the STEM model is outlined elsewhere (Kulkarni et al., 2015; Adhikary et al., 2007).
192 Anthropogenic emission of various pollutants (CH₄, CO, SO₂, NO_x, NMVOC, NH₃, PM₁₀,
193 PM_{2.5}, BC and OC) used in this analysis were taken from the EDGAR-HTAP_v2
194 (http://edgar.jrc.ec.europa.eu/htap_v2/index.php?SECURE=123) for 2010. Annual emissions
195 given in kg/m²/sec at 0.1x0.1 degree resolution were converted to molecules/cm²/sec and re-
196 gridded to 25 km x 25 km resolution using four point interpolation techniques available in the

197 STEM emission preprocessor. The emissions were given a diurnal profile using previously used
198 parameterization available in the preprocessor. Open biomass burning emissions on a daily basis
199 during the simulated period were taken from data obtained from the FINN model (Wiedinmyer et
200 al., 2011). As with the WRF model, the STEM model was run from November 2, 2012 to June
201 30, 2013 however, data presented here are only during the intensive field campaign period.

202 3. Results and discussions

203 3.1 Meteorology

204 Hourly average time series of various meteorological parameters like precipitation in mm hr^{-1}
205 (Prec), temperature in $^{\circ}\text{C}$ (T), relative humidity in % (RH), wind speed in m s^{-1} (WS) and
206 direction in degree (WD) during the monitoring period are shown in Figure 2. Meteorological
207 parameters were obtained with the sensors at the height of ~ 12 m from the ground. Meteorology
208 results from WRF model simulations have been used to indicate if any significantly different air
209 mass type was present during the measurement campaign after the meteorological observations
210 malfunctioned. Precipitation data was derived from TRMM satellite (TRMM_3B42_007 at a
211 horizontal resolution of 0.25°) from the Giovanni platform
212 (<http://giovanni.gsfc.nasa.gov/giovanni/>) as the rain gauge malfunctioned during the sampling
213 period. Precipitation data from TRMM (Figure 2) show that Lumbini was relatively dry in the
214 early portion of the measurement campaign while as the pre-monsoon edged closer to the
215 monsoon onset, the site did experience some rainfall events. This lowered aerosol loading in the
216 later half of the measurement campaign due to washout and less biomass open burning.
217 Comparison of WRF model outputs with TRMM data shows that the model under-predicts
218 rainfall through out the campaign.

219 Average observed temperature for the sampling period until the sensor stopped working (on 8th
220 May, 2013, i.e., for 38 days of measurement) was 28.1°C (minimum: 16.5°C , maximum: 40°C).
221 Average temperature from the model, during same period, was 31°C with values ranging
222 between $19 - 40^{\circ}\text{C}$. As shown in Figure 2, the model captures the variability of temperature and
223 is mostly within the range of daily values. However, the model has a high bias and does not
224 capture well daily minimum temperature values. The model data was interpolated to match the
225 observation site's latitude, longitude and altitude for all variables discussed in this paper. In

226 addition, the model does not show any large variation in temperature for the campaign period
227 after the sensors stopped working. This insight will be useful to interpret pollution data later on.
228 For the same period (until the sensor stopped working), the average (observed) RH was ~ 50%
229 (ranging from 10.5 to 97.5%) whereas the model showed the average RH to be ~ 23% with
230 values ranging between 6 to 78%. RH values are highly underestimated by the model, however
231 as previously mentioned, the model does not show significant changes in RH during the
232 measurement campaign after the observations stopped working.

233 Average observed wind speed during the study period was 2.4 m s^{-1} , with hourly values ranging
234 between $0.03 - 7.4 \text{ m s}^{-1}$ whereas from the WRF model average wind speed was found to be 3.2
235 m s^{-1} (range: $0.06 - 11.1 \text{ m s}^{-1}$). Diurnal variation of observed hourly average wind speed
236 suggested that wind speeds were lower during nights and mornings while higher wind speed
237 prevailed during day time, with average winds $> 3 \text{ m s}^{-1}$ up to $\sim 3.3 \text{ m s}^{-1}$ between 09:00-13:00
238 local time (Supplementary materials, Figure S2, lower panel). High speed strong winds ($> 4 \text{ m s}^{-1}$)
239 were from the NW direction during the month of April which later switched to almost opposite
240 direction, i.e., SE direction from the month of May onwards. The monthly wind rose plot using
241 the data from both observation and modeling where the difference in the pattern could be
242 potentially due to the data resolution is shown in Figure S3. Comparing modeled wind direction
243 prediction skills at the surface with one point measurement is not sufficient. However, in the
244 absence of other measurements, we also show the comparison of wind direction as an indication
245 of model performance over this region and not as model validation where a more high resolution
246 modeling and sensitivity analysis of model physics and chemistry maybe required. Discrepancy
247 on model results might have occurred due to various factors inherently uncertain in a weather
248 prediction using a model. Besides, air pollution transport also occurs via elevated layers and is
249 not limited to surface winds. We show NCEP/NCAR reanalysis plots at 850 hPa in Fig. S3 to
250 illustrate the distinctly differing wind direction compared to the surface winds seen from
251 observations as well as NCEP/NCAR reanalysis plot at 1000 hPa shown in Fig. 1. There are no
252 upper wind measurement data nearby Lumbini to show model performance. Regardless, we
253 believe that air quality model data is vital for understanding pollutant transport in an area where
254 observation data are non-existent or are incomplete.

255 **3.2 Air Quality**

256 3.2.1 General overview, PM ratios and influence of meteorology on pollution 257 concentrations

258 Figure 3 shows hourly averaged time series of observed BC, PM₁, PM_{2.5}, PM₁₀, O₃ and CO
259 observed at Lumbini during the study period. Similar temporal behaviour was shown by BC,
260 particulate matter fractions (PM₁, PM_{2.5} and PM₁₀) and CO. The gap in the figure (for PM time
261 series) is due to the power interruption to the instrument. BC concentrations during the
262 measurement period ranged between 0.3-29.9 $\mu\text{g m}^{-3}$ with a mean (\pm SD) value of 4.9 (\pm 3.8) μg
263 m^{-3} . BC concentrations in Lumbini during pre-monsoon months are lower compared to BC
264 concentrations observed in the Kathmandu Valley because of high number of vehicles plying on
265 the street, brick kilns and other industries in Kathmandu valley (Sharma et al., 2012; Putero et
266 al., 2015). The lowest concentration was observed during a rainy day (21-22 April) whereas the
267 highest concentration was observed during a period of forest fire (detailed in Section 3.3). For
268 the entire measurement period, we found average (of hourly average values) PM₁: 35.8 \pm 25.6 μg
269 m^{-3} (minimum-maximum range: 3.6 - 197.6 $\mu\text{g m}^{-3}$), PM_{2.5}: 53.1 \pm 35.1 $\mu\text{g m}^{-3}$ (6.1 - 272.2 $\mu\text{g m}^{-3}$),
270 PM₁₀: 128.9 \pm 91.9 $\mu\text{g m}^{-3}$ (10.5-603.9 $\mu\text{g m}^{-3}$) and coarse-mode: 75.7 \pm 61.7 $\mu\text{g m}^{-3}$ (1.9-331.8
271 $\mu\text{g m}^{-3}$). The coarse-mode (PM_{10-2.5}) fraction was \sim 60% of the PM₁₀. The share of coarse-mode
272 aerosol to PM₁₀ in Lumbini was higher than that observed in other sites in the IGP; Guwahiti,
273 India (42%) (Tiwari et al., 2017) and Dibrugarh, India (9-16%) (Pathak et al., 2013) both in
274 eastern IGP and Delhi (38%) (Tiwari et al., 2015) in western IGP indicating the higher
275 contribution of coarse aerosols in Lumbini, likely lifted from soils from nearby agricultural fields
276 and construction materials by stronger winds during pre-monsoon season. Similar value of
277 coarse-mode fraction, as in Lumbini, has been reported by Misra et al. (2014) at Kanpur for dust
278 dominated and mixed aerosols events.

279 The share of BC in PM fractions was found to be \sim 13% in PM₁, 9% in PM_{2.5} and \sim 4% in PM₁₀
280 but the correlation coefficients of BC with three PM fractions were found to be 0.89 (PM₁), 0.88
281 (PM_{2.5}) and 0.69 (PM₁₀), indicating the commonality in the sources of these pollutants. The
282 contribution of BC in PM₁ was found to be of \sim 12% in Kanpur during February-March (Kumar
283 et al., 2016a) similar to Lumbini. Regarding the share of BC in PM₁₀, the share observed in
284 Lumbini (\sim 4%) was similar to that observed over Varanasi (\sim 340 km due south of our site) in
285 central IGP (5%) (Tiwari et al., 2016) and Dibrugarh in eastern IGP (\sim 5%) (Pathak et al., 2013).

286 Thus our results indicate that despite our station being located at the northern edge of the IGP
287 along the foothills of the Himalayan range, the share of BC in PM are similar to those found in
288 heavily polluted sites in the central and eastern IGP.

289 In Lumbini, the average (hourly) share of PM_1 in $PM_{2.5}$, PM_1 in PM_{10} and $PM_{2.5}$ in PM_{10} were
290 found to be ~70%, 34% and 47% respectively. Regarding other sites in IGP region, $PM_{2.5}/PM_{10}$
291 ratios were reported to be 56% in Kanpur (Snider et al., 2016), 60% in Varanasi (Kumar et al.,
292 2015), 57% in Guwahati (Tiwari et al., 2017), 90% in Dribugarh (Pathak et al., 2013) and 62% in
293 Delhi (Tiwari et al., 2015) indicating local differences within IGP as well as suggesting that
294 influence of combustion sources at Lumbini is still lower compared to other locations in Indian
295 section of the IGP. A recent study (Putero et al., 2015) reported the PM_1/PM_{10} during pre-
296 monsoon of 2013 was found to be 0.39 in the Kathmandu Valley of Nepal. Lumbini has
297 significantly lower vehicle emissions and population than the Kathmandu Valley yet the ratios
298 are similar, indicating the importance of regional combustion sources in Lumbini for finer
299 aerosols (PM_1), and soil-based emissions such as road dust in the Kathmandu Valley. Future
300 studies will need to explore the emission sources around Lumbini in much greater detail. Lower
301 $PM_{2.5}/PM_{10}$ in Lumbini as compared to other regions mentioned earlier could be due to
302 emissions from cement industries located within 15 km distance from the measurement site.

303 The observed 24-hour average particulate matter concentrations ($PM_{2.5}$ and PM_{10}) were found
304 frequently higher than the WHO prescribed guidelines for $PM_{2.5}$ ($25 \mu g m^{-3}$) and PM_{10} ($50 \mu g m^{-3}$)
305 with $PM_{2.5}$: exceeding 94% and PM_{10} : 85% of the measurement period of 53 days in
306 Lumbini.

307 Observed CO concentrations ranged between 124.9-1429.7 ppbv with an average value of
308 344.1 ± 160.3 ppbv. CO concentration observed in Lumbini is lower than that of Mohali, Western
309 India where the average concentration was 566.7 ppbv during pre-monsoon season due to intense
310 biomass and agro-residue burning over the region (Sinha et al., 2014). Temporal variation of CO
311 concentrations is similar to that of BC exhibiting very strong correlation ($r = 0.9$). Past studies
312 have shown that the ratio of BC to CO depends upon multiple factors like site location,
313 combustion characteristics (fuel and technology) at the sources, and type of air mass (Girach et
314 al., 2014; Pan et al., 2011; Zhou et al., 2009). Formation of the soot depends on the carbon to

315 oxygen ratio of fuel whereas CO can also be produced naturally due to the oxidation of VOCs
316 (Girach et al., 2014). Figure 4 shows the comparison of the average $\Delta BC/\Delta CO$ ratio (0.021) at
317 Lumbini with that obtained from other sites. Please refer to Figure S4 in the supplementary
318 materials for the time series of $\Delta BC/\Delta CO$ ratio observed in Lumbini. We used the method
319 described by Pan et al. (2011) to calculate the $\Delta BC/\Delta CO$ values. The ratio was calculated using
320 the equation $(BC-BC_0)/(CO-CO_0)$ assuming the background values (BC_0 or CO_0) as 1.25
321 percentile of the data. The $\Delta BC/\Delta CO$ ratio in Lumbini is similar to that obtained at a suburban
322 site, Pantnagar in India (0.017) (Joshi et al., 2016) and in Maldives (0.017) (Dickerson et al.,
323 2002) indicating the possibility of similar types of emission sources. However, lower $\Delta BC/\Delta CO$
324 ratio obtained over megacities such as Beijing and Shanghai are due to the higher number of
325 gasoline and diesel vehicles (Zhou et al., 2009). The ratios obtained at Lumbini are within the
326 range of emission ratios from diesel used in transport sector (0.0013-0.055), coal (0.0019-
327 0.0572) and biofuels (0.0087-0.0266) for domestic activities (Verma et al., 2010 and references
328 therein) implying that BC and CO observed are from mixed sources.

329 The hourly averaged observed ozone concentration ranged between 1.0 and 118.1 ppbv with a
330 mean value of 46.6 ± 20.3 ppbv during the sampling period. The 8-hr maximum O_3 concentration
331 exceeded WHO guidelines (of $100 \mu g m^{-3}$; (WHO, 2006) during $\sim 90\%$ of the measurement
332 period. Our results clearly indicate that the current pollution levels in Lumbini are of great
333 concern to health of the people living in the region including over a million visitors who visit
334 Lumbini, and agro-ecosystems.

335 The relationship of wind speed (WS) with aerosol and gaseous pollutants in Lumbini is shown in
336 Figure S5 (Supplementary information). We were interested in studying the relationship between
337 wind speed and the pollutants since the wind governs the horizontal dilution of the pollutants
338 (Huang et al., 2012) and also likelihood of lifting soil dust. Except ozone, all other pollutants
339 exhibited negative correlation with wind speed. BC shows negative correlation ($r = -0.42$,
340 $P > 0.05$) with the wind speed which is similar with other pollutants as well (as can be seen from
341 the figure). Past studies have also reported a similar negative correlation of BC with wind speed
342 over urban and sub-urban areas (Huang et al., 2012; Cao et al., 2009; Ramachandran and Rajesh,
343 2007; Sharma et al., 2002; Tiwari et al., 2013) indicating that the locally generated BC can
344 accumulate in the atmosphere during lower wind speed conditions (Cao et al., 2009). Tiwari et

345 al. (2013) also reported similar negative correlation ($r = -0.45$) during the pre-monsoon season
346 over Delhi. On the other hand, secondary pollutants like ozone exhibited a positive relation with
347 the WS ($r=0.38$, $P>0.05$) indicating the location of precursor emission sources at some distance
348 away from the measurement site. Solar radiation is one of the most important factors for
349 production of ozone in the atmosphere (Naja et al., 2003). The correlation of hourly ozone
350 concentration with solar radiation (not shown here) was found to be 0.41 ($P>0.05$) whereas wind
351 speed during the daytime only (06:00-18:00) showed very weak correlation of 0.02 (non-
352 significant) with ozone, possibly indicating transport of precursors during night time.

353 Interestingly, the highest concentrations of all measured pollutants were obtained when the wind
354 speed was less than 1 m s^{-1} . In a separate analysis (not shown here), we considered only the WS
355 $>1 \text{ m s}^{-1}$ and calculated the correlation coefficients to investigate the influence of regional
356 emissions. We found the similar correlation values as previous when all WS values were
357 considered (BC vs WS = -0.41, CO vs WS = -0.42, O₃ vs WS= 0.29, PM₁ vs WS= -0.40, PM_{2.5}
358 vs WS= -0.38, PM₁₀ vs WS= -0.33 all at $P>0.05$). The correlation of WS ($>1 \text{ m/s}$) with
359 concentration of air pollutants elucidates that air pollution over Lumbini is not only of the local
360 origin, it is rather transported from other nearby regions as well.

361 Past studies near this site have been focused on the cities like Kathmandu (Sharma et al., 2012;
362 Ram et al., 2010; Panday and Prinn, 2009; Putero et al., 2015) and Kanpur (Ram et al., 2010) and
363 agro-residue burning dominated regions of IGP (Rastogi et al., 2016; Sinha et al., 2014; Sarkar et
364 al., 2013) all of which reported very high level of pollution. Our study adds to the growing list of
365 scientific observations in the IGP by providing data in the foothills of central Himalayas. Very
366 high aerosol loading is observed in South Asia during pre-monsoon, mostly over the IGP region
367 (Supplementary materials, Figure S6). As this is the first study over an IGP site located in Nepal,
368 pollution concentrations observed at Lumbini were compared with other sites in the region
369 (Table 2). Different sites located at urban, semi-urban and remote locations were used for
370 comparison to get a clear comparative picture of the situation at Lumbini amongst other locations
371 in the region. Pre-monsoon seasonal average PM_{2.5} concentration in Lumbini has been found to
372 be lower than the megacity like Delhi (Bisht et al., 2015) and north-western IGP (Sinha et al.,
373 2014), possibly due to higher level of emissions (from traffic and biomass burning, respectively)
374 over those regions. In addition, average BC and CO concentrations in Lumbini were found
375 falling in between concentrations observed at rural sites (up to 6 times higher) and cities in the

376 region (see Table 2), indicating that Lumbini, in a way, can still be considered as semi-urban
377 location. The hourly average O₃ concentration in Lumbini were found to be higher than the cities
378 like Kathmandu (Putero et al., 2015) and Kanpur during pre-monsoon season (Gaur et al., 2014).
379 However from a mesoscale perspective, the hourly average O₃ concentrations were lower at
380 Lumbini as compared to base camp of Mt. Everest region due to the uplift of polluted air masses
381 (Marinoni et al., 2013), stratospheric intrusion (Cristofanelli et al., 2010) and even the regional
382 or long-range transport of the air pollutants (Bonasoni et al., 2010) to the high altitude site.

383 Regarding the monthly average concentration, the concentrations of all measured pollutants
384 decreased as the pre-monsoon months advanced. The monthly average concentrations of the
385 monitored species are shown in Figure S7 along with the monthly fire hotspots over the region.
386 Reduction in concentration (except PM) during the month of May (as compared to April) could
387 be attributed to the fewer fire events during May as well as previously discussed washout by
388 rainfall. Two peak pollution episodes observed during the first half of April and May which are
389 discussed in more detail in the next section.

390 **3.2.2 Observation-model inter-comparison**

391 Chemical transport models provide insight to observed phenomena; however, interpretation has
392 to take into account model performance before arriving at any conclusion. This section describes
393 pollution concentrations simulated by the WRF-STEM model. A comparison of model calculated
394 pollutant concentration along with the minimum and maximum concentrations of various
395 pollutants (with observation) is shown in Table 3. The model based concentrations used here are
396 values outputted for every third hour of the day (actual computation is carried out every 15
397 minutes). BC concentrations ranged between 0.4-3.7 $\mu\text{g m}^{-3}$ with a mean value of $1.8\pm 0.7 \mu\text{g m}^{-3}$
398 for a period of 1st April-15th June 2013. The average model BC concentration was ~2.7 times
399 lower than the observed BC. Regarding PM₁, PM_{2.5} and PM₁₀, the model simulated average
400 concentration was 12.3 ± 5.5 (0.9-41.7) $\mu\text{g m}^{-3}$, 17.3 ± 6.7 (1.9-48.3) $\mu\text{g m}^{-3}$ and 25.4 ± 12.9 (2.1-
401 68.8) $\mu\text{g m}^{-3}$, respectively. The model estimated values were lower by the factor of 3 and 5
402 respectively than the observed concentrations. The data show that model needs much
403 improvement in its ability to adequately predict observed aerosol characteristics at Lumbini
404 given the input provided, for example, emissions data. Since pollutant concentration is a function

405 of emissions, transport and transformation and deposition, improvements in any of these areas
406 would improve the model performance for this site. However, given observation insights by PM
407 ratios, it seems that improvements are much needed in the emissions of primary aerosols. Current
408 emissions (2010) do not account for trash burning, roadside dust and increasingly newer
409 industries, especially emissions from cement factories that have propped up in recent years. We
410 show sensitivity with emissions in a later section (3.3.2) in the vicinity of Lumbini, however
411 emission improvements are needed beyond Lumbini which is outside the scope of this paper.

412 Average observed CO concentration was 255.7 ± 83.5 ppbv, ranging between 72.2-613.1 ppbv,
413 with average model CO ~ 1.35 times lower than observed. Time series comparison of modeled
414 CO versus observation is shown in Figure 3. Apart from two peak episodes the model does a
415 better job in predicting CO concentration over Lumbini. Previous study using the STEM model
416 over Kathmandu valley showed that the model was able to capture annual BC mean value but
417 completely missed the concentrations during pre-monsoon and post monsoon period (Adhikary
418 et al., 2007). Similar behavior is seen this time for CO where the model misses the peak values
419 but reasonably captures CO concentration after mid-May when no biomass burning events are
420 observed (model to observation ratio improves to 1.16). STEM model CO performance can be
421 significantly improved via better constraining emissions of open biomass burning as discussed in
422 Section 3.3. This activity is beyond the scope of this current paper although the improvements
423 are underway for all these sectors.

424 **3.2.3 Diurnal variations of air pollutants and boundary layer height**

425 In the emission source region, diurnal variations of primary pollutants provide information about
426 the time dependent emission activities (Kumar et al., 2016b). Figure 5 shows the diurnal
427 variation of hourly averaged concentrations of measured pollutants during the sampling period.
428 Primary pollutants like BC, PM and CO showed typical characteristics of an urban environment,
429 i.e., diurnal variation with a morning and an evening peak. However, Lumbini data shows higher
430 concentrations in the evenings compared to morning hours. Elevated concentrations can be
431 linked to morning and evening cooking hours for BC and CO. Emission inventory for the region
432 show that residential sector has significant contribution to BC and CO. However, explanation for
433 elevated evening concentration compared to morning needs further investigation. Increase in the

434 boundary layer height, reduction in the traffic density on the roads, absence of cooking activities
435 during mid-day and increasing wind speed often contribute to the dispersion of pollutants
436 resulting in lower concentration during afternoon. Diurnal variation of wind direction
437 (Supplementary information, Figure S2, upper panel) shows the dominance of wind coming from
438 south (mainly during the month of May and till mid-June). Morning and evening period
439 experienced the winds coming from the southeast direction while the winds were predominantly
440 from southwest direction during late afternoon. Increase in CO concentrations in the evening
441 hours might be due to transport of CO from source regions upwind of Lumbini which along with
442 the local emissions gets trapped under reduced Planetary Boundary Layer (PBL) heights. Ozone
443 concentration was lowest in the morning before the sunrise and highest in late afternoon around
444 15:00 PM after which concentrations started declining, exhibiting a typical characteristic of a
445 polluted urban site. Photo-dissociation of accumulated NO_x reservoirs (like HONO) provides
446 sufficient NO concentration leading to the titration of O₃ resulting in minimum O₃ just before
447 sunrise (Kumar et al., 2016b). The PBL height (in meters (m)) was obtained from the WRF
448 model as observations were not available. The study period average PBL height over Lumbini
449 was ~ 910 m (ranging between 24 and 3807 m observed at 06:00 and 15:00 respectively). The
450 daily average PBL height obtained from the model is compared with published values (Wan et
451 al., 2017) as shown in Figure 6, which indicate that the value is captured by our model during
452 initial measurement period and overestimated in the months of mid May onwards. As the pre-
453 monsoon month advances, PBL height also increased. The monthly average PBL height was 799
454 m, 956 m and 1014 m respectively during the month of April, May and (1st-15th) June. As
455 presented in the figure, the monthly average diurnal variation also showed that the boundary
456 layer height was maximum during 15:00 local time during each month which coincides with the
457 period of lowest concentration of the pollutants.

458 **3.3 Influence of forest fires on Lumbini air quality**

459 **3.3.1 Identification of forest fire influence over large scale using in-situ observations,** 460 **satellite and model data**

461 Forest fires and agricultural biomass burning (mostly agro-residue burning in large scale) are
462 common over the South Asia and the IGP region during pre-monsoon season. North Indo-

463 Gangetic region is characterized by fires even during the monsoon and post-monsoon season
464 (Kumar et al., 2016b; Putero et al., 2014). These activities influence air quality not only over
465 nearby regions but also get transported towards high elevation pristine environments like Mt.
466 Everest (Putero et al., 2014) and Tibet (Cong et al., 2015a; 2015b). So, one of the main
467 objectives of this study was to identify the influence of open burning on Lumbini air quality.
468 Average wind speed during the whole measurement period was 2.4 m s^{-1} . Based on this data,
469 open fire counts within the grid size of $200 \times 200 \text{ km}$ centering over Lumbini was used for this
470 analysis assuming that the emissions will take a maximum period of one day to reach our
471 monitoring site. Forest fire counts were obtained from MODIS satellite data product Fire
472 Information for Resource Management System (FIRMS). Figure 7 shows the daily average
473 $\Delta\text{BC}/\Delta\text{CO}$ ratio, aerosol absorption Ångstrom exponent (AAE) which is derived from
474 Aethalometer data (by calculating the negative slope of absorption at 370 nm and 950 nm versus
475 wavelength in log-log plot) and daily open fire count within the specified grid. The green box in
476 the figure is used to show two peak events (presented earlier in Fig. 3) with the elevated BC and
477 CO concentrations observed during the monitoring period. The first peak was observed during 7-
478 9 April and second peak during 3-4 May, 2013. Two pollutants having biomass burning as the
479 potential primary source: BC and CO were taken in consideration. High AAE values during
480 these two events are also an indication of presence of BC of biomass burning origin (Praveen et
481 al., 2012; Bergstrom et al., 2007; Kirchstetter et al., 2004), with the value being ~ 1.6 for
482 Lumbini. The chemical composition of TSP filter samples collected at Lumbini also showed
483 higher concentration of Levoglucosan, a biomass burning tracer in Lumbini during the pre-
484 monsoon season as compared to other seasons of the year (Wan et al., 2017). Wan et al. (2017)
485 also reported that the higher correlation between K^+ with Ca^{2+} and Mg^{2+} indicating that dust is
486 the main source of potassium in Lumbini.

487 Contrary to our expectation, we could not observe any significant influence of forest fire within
488 the specified grid of $200 \times 200 \text{ km}$ (or the influence of local forest fire on the air quality over
489 Lumbini was not observed). Therefore, a wider area, covering South and Southeast Asian
490 regions, was selected for the forest fire count. Figure 8 (A-B) shows the active fire hotspots from
491 MODIS, over the region, during the peak events which shows the first peak could have occurred
492 due to the forest fire over the eastern India region whereas the second peak was influenced by the

493 forest fire over western IGP region. Moreover, in order to strengthen our hypothesis, we have
494 utilized satellite data products for various gaseous pollutants like CO and NO₂ (Atmospheric
495 Infrared Sounder (AIRS) for CO and Ozone Monitoring Instrument (OMI) for NO₂ both
496 obtained from Giovanni platform). Figure 8 (C-H) shows the daytime total column CO before,
497 during and after occurrence of two events (peaks) as stated earlier. Atmospheric Infrared
498 Sounder (AIRS) satellite with daily temporal resolution and 1°×1° spatial resolution have been
499 utilized to understand the CO concentration over the area. CO concentration over Lumbini
500 during both of the peaks confirmed the role of open fires over the IGP region for elevated
501 concentration of CO in Lumbini. To further strengthen our finding, the aid of HYSPLIT back
502 trajectories plots was taken. Figure 8 (I-J) represent the 6-hourly back trajectories only for these
503 two events respectively. However, the back trajectories (during both events) indicated that the air
504 mass passed over the fire events in the north western IGP. We note that using back trajectories to
505 identify source regions are also uncertain as identified by Jaffe et al. (1997). Figure 8 (K) shows
506 model biomass CO peak coincident with observed CO. Although the magnitudes are
507 significantly different, the timing of the peaks is well captured by the model. This, we believe, is
508 due to the fact that satellite based open fire detection also has limitation as it does not capture
509 numerous small fires that are prevalent over south Asia which usually burn out before the next
510 satellite overpass. More research is needed to assess the influence of these small fires on regional
511 air quality.

512 In a separate analysis (not shown here), elevated O₃ concentration during these two events were
513 also observed. Average O₃ concentration before, during and after the events were found to be
514 46.2±20.3 ppbv, 53.5±31.1 ppbv and 50.3±20.9 ppbv respectively (Event-I) whereas it was
515 found to be 54.8±23.8 ppbv, 56.7±35 ppbv and 55.6±13.4 ppbv respectively (Event-II). Average
516 ozone concentration outside these events was found to be 46±19 ppbv. Increased ozone
517 concentrations during the high peak events have been analyzed using the satellite NO₂
518 concentration over the region considering the role of NO₂ as precursor for ozone formation.
519 Daily total column NO₂ were obtained from OMI satellite (data available at the Giovanni
520 platform; <http://giovanni.gsfc.nasa.gov/giovanni/>) at the spatial resolution of 0.25°×0.25°. Figure
521 9 shows the NO₂ column value before, during and after both events. Even for the NO₂, maximum
522 concentrations were observed during these two special events. It is likely that the local as well as

523 regional pollution (transported from NW IGP region as indicated by synoptic wind in Figure S8)
524 contributed to the elevated ozone levels. This remains a question to be investigated in future.

525 **3.3.2 Identifying regional and local contribution**

526 WRF-STEM model has been used to identify the anthropogenic emission source region
527 influencing the air quality over Lumbini. As previously explained, the model is able to capture
528 the observed CO concentration when intense open burning events were not present. A recent
529 study (Kulkarni et al., 2015) has explored the source region contribution of various pollutants
530 over the Central Asia using similar technique. Figure 10 (A) shows the average contribution
531 from different regions on CO concentration over Lumbini during the whole measurement period.
532 Major share of CO was from the Ganges valley (46%) followed by Nepal region (25%) and rest
533 of Indian region (~17.5%). Contribution from other South Asian countries like Bangladesh and
534 Pakistan were ~ 11% whereas China contributed for ~1% of the CO concentration in Lumbini.
535 Regarding the monthly average contribution, the Ganges Valley and Nepal's contribution were
536 almost equal during the month of April (~34% and ~37% respectively) but increased for the
537 Ganges Valley region during the month of May (~44%) and got reduced for Nepal region
538 (~25%) (Figure S9).

539 Figure 10 (B) is the time series of percentage contribution to total CO concentration during
540 whole measurement period showing different air mass arriving at a 3 hourly intervals. During the
541 whole measurement period, majority of the CO reaching Lumbini were from the Ganges valley
542 (mainly the states of Punjab, Haryana, Uttar Pradesh, Bihar and West Bengal) region with the
543 contribution sometimes reaching up to ~80%. Other India (central, south, east and north) regions
544 also contributed significantly. Bangladesh's contribution in CO loading was seen only after mid-
545 April lasting for only about a week and after the first week of May. The contribution from
546 Bangladesh was sporadic comparing to other regions. Highest contribution from this Bangladesh
547 region was observed after the first week of June with the arrival of monsoonal air mass. Pakistan
548 also contributed for the CO loading significantly. Others region as mentioned in the figure
549 covered the regions like Afghanistan, Middle east, West Asia, East Asia, Africa and Bhutan.
550 Contributions from these regions were less than 5%. Contribution from China was not evident

551 till the first week of June where a specific air mass arrival shows contribution reaching up to
552 25% of total CO loading.

553 A sensitivity analysis was performed for emission uncertainty in the model grid containing
554 Lumbini. Lumbini and surrounding regions in the recent years has seen significant rise in urban
555 activities and industrial activity and related emissions which may not be accurately reflected in
556 the HTAPv2 emissions inventory. A month long simulation was carried out with emissions from
557 Lumbini and the surrounding four grids off and another simulation with Lumbini and
558 surrounding four grid's emissions increased by 5 times the amount from HTAPv2 emissions
559 inventory. The results are shown in Figure 10 (C) as percentage increase or decrease compared
560 to model results using the current HTAPv2 emissions inventory. The black line shows
561 concentration as 100% for the current HTAPv2 emissions inventory. Despite making Lumbini
562 and the surrounding grids emissions zero, model calculation shows pollutant concentration on
563 average is still about 78% of the original value indicating dominance of background and regional
564 sources compared to local source in the model. Increasing emissions 5 times for the Lumbini and
565 surrounding four grids only increases the concentration on average by 151%. Thus uncertainty in
566 emissions are not a local uncertainty for Lumbini rather for the whole region which needs to be
567 better understood for improving model performance against observations at Lumbini.

568 **3.4 Does fossil fuel or biomass influence the Lumbini air?**

569 The aerosol spectral absorption is used to gain insight into nature and potential source of black
570 carbon. This method enables to analyze the contributions of fossil fuel combustion and biomass
571 burning contributions to the observed BC concentration (Kirchstetter et al., 2004). Besides BC,
572 other light absorbing (in the UV region) aerosols are also produced in course of combustion,
573 collectively termed as organic aerosols (often also called brown carbon or BrC) (Andreae and
574 Gelencsér, 2006). Figure 11 shows the comparison of normalized light absorption as function of
575 the wavelength for BC observed at Lumbini during cooking and non-cooking hours and also for
576 the both events. Our results are compared with the published data of Kirchstetter et al. (2004)
577 and that observed over a village center site of Project Surya in the IGP (Praveen et al., 2012)
578 (figure not shown). We discuss light absorption data from two distinct times of the day. The
579 main reason behind using data during 07:00-08:00 h and 16:00-17:00 h is these periods represent

580 highest and lowest ambient concentration (Fig. 5). Also these period represent cooking (07:00-
581 08:00 h) and non-cooking (16:00-17:00 h) or high and low vehicular movement hours (Praveen
582 et al., 2012). To understand the influence of biomass and fossil fuel we plotted normalized
583 aerosol absorption at 700 nm wavelength for complete aethalometer measured wavelengths in
584 Fig. 11. Kirchstetter et al. (2004) reported OC absorption efficiency at 700 nm to be zero. Thus
585 we normalized measured absorption spectrum by 700 nm wavelength absorption. Since
586 aethalometer does not provide 700 nm wavelength absorption values, we calculated the value
587 using the absorption at nearby wavelengths and angstrom exponent following the methodology
588 used by Praveen et al. (2012). Our results show that the normalized absorption for biomass
589 burning aerosol is ~3 times higher at 370 nm compared to that at 700 nm whereas fossil fuel
590 absorption is about 2.6 times higher at the same wavelength. In addition, the curve obtained for
591 the both events are inclined towards the published biomass burning curve. The normalized curve
592 obtained during both cooking and non-cooking period lies in between the standard curve of
593 Kirchstetter et al. (2004). As shown in Fig. 11, the curve obtained for the prime cooking time is
594 closer towards the published curve on biomass burning whereas that obtained during the non-
595 cooking time is closer towards the published fossil fuel curve. Similar result was also observed
596 over the Project Surya village in the IGP region (Praveen et al., 2012; Rehman et al., 2011). This
597 clearly indicates there is contribution of both sources: biomass as well as fossil fuel on the
598 observed BC concentration over Lumbini.

599 In order to identify fractional contribution of biomass burning and fossil fuel combustion to
600 observed BC aerosol, we adopted the method described by Sandradewi et al. (2008). Wavelength
601 dependence of aerosol absorption coefficient (b_{abs}) is proportional to $\lambda^{-\alpha}$ where λ is the
602 wavelength and α is the absorption Ångstrom exponent. The α values ranges from 0.9-2.2 for
603 fresh wood smoke aerosol (Day et al., 2006) and between 0.8-1.1 for traffic or diesel soot
604 (references in Sandradewi et al. (2008)). We have taken α value of 1.86 for biomass burning and
605 1.1 for fossil fuel burning as suggested by previous literature (Sandradewi et al., 2008). Figure
606 12 shows diurnal variation of the biomass burning BC. Minimum contribution of biomass
607 burning to total BC concentration was observed during 04:00-06:00 local time (only about 30%
608 of the total BC). As the cooking activities start in morning, the contribution of biomass BC starts
609 to increase and reaches about 50%. Similar pattern was repeated during evening cooking hours.

610 Only during these two cooking periods, fossil fuel fraction BC was lower. Otherwise it remained
611 significantly higher than biomass burning BC throughout the day. On average, ~40% of BC was
612 from biomass burning whereas remaining 60% was contributed by fossil fuel combustion during
613 our measurement period. Interestingly, this is the opposite of the contributions that were
614 concluded by Lawrence and Lelieveld (2010). Lawrence and Lelieveld (2010) concluded that
615 ~60% BC from biomass versus ~40% fossil fuel, based on a review of numerous previous
616 studies to be likely for the outflow from Southern Asia during the winter monsoon. When we
617 compared observed Ångstrom exponent with Praveen et al. (2012), we noticed that Lumbini
618 values were lower than Project Surya Village center site. This implies Surya village center had
619 higher biomass fraction, also it was observed absorption Ångstrom exponent exceeded 1.86
620 during cooking hours which indicates 100% biomass contribution. The difference is attributed to
621 the fact that Lumbini sampling site is not a residential site like Surya village which can capture
622 cooking influence efficiently. Further Lumbini sampling site is surrounded by commercial
623 activities such as a local bus park, hotels, office buildings and industries and brick kilns slightly
624 further away. Although the reason for this difference is not clear, it is an indication of the
625 important role of diesel and coal emissions in the Lumbini and upwind regions.

626 4. Conclusions

627 Our measurements, a first for the Lumbini area, have shown very high air pollution at Lumbini.
628 Black carbon (BC), carbon monoxide (CO), ozone (O₃) and particulate matter (PM₁₀, PM_{2.5} and
629 PM₁) were measured during the pre-monsoon of 2013 as a regional site of the *SusKat-ABC*
630 *campaign*. Average pollutant concentrations during the monitoring period were found to be: BC:
631 $4.9 \pm 3.8 \mu\text{g m}^{-3}$; CO: 344.1 ± 160.3 ppbv; O₃: 46.6 ± 20.3 ppbv; PM₁₀: $128.8 \pm 91.9 \mu\text{g m}^{-3}$, PM_{2.5}:
632 $53.14 \pm 35.1 \mu\text{g m}^{-3}$ and PM₁: $36.6 \pm 25.7 \mu\text{g m}^{-3}$ which is comparable with other urban sites like
633 Kanpur and Delhi in the IGP region. However, our study finds higher fraction of coarse mode
634 PM in Lumbini as compared to other sites in the IGP region. In addition, $\Delta\text{BC}/\Delta\text{CO}$ ratio
635 obtained in Lumbini was within the range of emission from both residential and transportation
636 sectors, indicating them as potential key sources of BC and CO, and likely most of PM₁ in
637 Lumbini. The diurnal variation of the pollutants is similar to that of any urban location, with
638 peaks during morning and evening. However, our results show higher evening concentration
639 compared to morning concentration values and needs further research to explain this behavior.

640 During our measurement period, air quality in Lumbini was influenced by regional forest fires as
641 shown by chemical transport model and satellite data analysis. A regional chemical transport
642 model, WRF-STEM was used to understand observations. Inter-comparison of WRF-STEM
643 model outputs with observations showed that the model underestimated the observed pollutant
644 concentrations by a factor of ~ 1.5 to 5 but was able to capture the temporal variability. Model
645 uncertainties are attributed mostly to uncertainties in meteorology and regional emissions as
646 shown from sensitivity analysis with local emissions. Region-tagged CO as air-mass tracers are
647 employed in WRF-STEM model to understand the anthropogenic emission source region
648 influencing Lumbini. Our analysis shows that the adjacent regions; mostly the Ganges valley,
649 other parts of India and Nepal accounted for the highest contribution to pollutant concentration in
650 the Lumbini. The normalized light absorption curve clearly indicated the contribution to BC in
651 Lumbini from both sources: biomass as well as fossil fuel. On average, ~40% BC was found to
652 be from the biomass burning and ~60% from fossil fuel burning.

653 Various improvements and extensions would be possible in future studies. More reliable
654 functioning of the AWS (temperature and RH sensor, rain gauge) would have allowed more in-
655 depth analysis of the relationship between meteorological parameters and pollutants
656 concentration. Continuous measurements of air pollutants throughout the year would allow for
657 annual and seasonal variation study. Improvements in the model performance are much needed
658 in its ability to simulate observed meteorology. Significant uncertainty lies with regional
659 emissions inventory developed at national and continental scale versus local bottoms up
660 inventory and pollutant emissions from small scale open burning not captured by satellites. There
661 is a clear need for setting up of a continuous air quality monitoring station at Lumbini and the
662 surrounding regions for long-term air quality monitoring.

663 **Data availability**

664 The observation data used for this manuscript can be obtained by sending an email to the
665 corresponding authors and/or to IASS (Maheswar.Rupakheti@iass.potsdam.de) and/or to
666 ICIMOD (arnico.panday@icimod.org). Modeling data can be obtained from B. Adhikary
667 (Bhupesh.adhikary@icimod.org).

668 **Authors' contributions**

669 M.R. and M.L. conceived the Lumbini portion of the SusKat experiment. M.R. and A.K.P.
670 coordinated the Lumbini field campaign. D.R. and K.S.M conducted the field observations at
671 Lumbini. B.A. designed and ran the WRF-STEM model. P.S.P., B.A. and D.R. finalized the
672 manuscript composition. D.R., P.S.P, B.A., M.R. and S.K. conducted the data analysis. D.R. and
673 B.A. prepared the manuscript with inputs from all coauthors.

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692

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- 965

966 **Table 1.** Summary of instruments deployed during monitoring in Lumbini

Instrument (Model)	Manufacturer	Parameters	Inlet/sensor height (above ground)	Sampling interval	Sampled period
Environmental Dust monitor (EDM 164)	GRIMM Aerosol Technik, Germany	PM ₁ , PM _{2.5} , PM ₁₀	5 m	5 min	04/02-05/10, 06/02-06/13
Aethalometer (AE42)	Magee Scientific, USA	Aerosol light absorption at seven wavelengths, and BC concentration	3 m	5 min	01/04-05/06
CO analyzer (48i)	Thermo Scientific, USA	CO concentration	3 m	1 min	01/04-15/06
O ₃ analyzer (49i)	Thermo Scientific, USA	O ₃ concentration	3 m	1 min	01/04-15/06
Automatic Weather Station (AWS)	Campbell Scientific, UK	T, RH, WS, WD, Global Radiation, Precipitation	12 m	1 min	01/04-15/06

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968

969 **Table 2.** Comparison of PM_{2.5}, BC, CO and O₃ concentrations at Lumbini with those at other sites in South Asia

Sites	Characteristics	Measurement period	PM _{2.5} (µg m ⁻³)	BC (µg/m ³)	CO (ppbv)	O ₃ (ppbv)	References
Lumbini, Nepal	Semi-urban	Pre-monsoon, 2013	53.1±35.1	4.9±3.8	344.1±160.3	46.6±20.3	This study
Kathmandu, Nepal	Urban	Pre-monsoon, 2013	-	14.5±10	-	38.0±25.6	(Putero et al., 2015)
Mt. Everest, Nepal	Remote	Pre-monsoon	-	0.4±0.4	-	61.3±7.7	(Marinoni et al., 2013)
Delhi, India	Urban	Pre-monsoon (night-time)	82.3±50.5	7.70±7.25	1800±890	-	(Bisht et al., 2015)
Kanpur, India	Urban	June 2009-May 2013, April-June	-	2.1±0.9	721±403	27.9±17.8	(Gaur et al., 2014) (Ram et al., 2010)
Mohali, India	Semi-urban	May, 2012	104±80.3	-	566.7±239.2	57.8±25.4	(Sinha et al., 2014)
Mt. Abu, India	Remote	Jan 1993-Dec 2000, pre-monsoon	-	0.7±0.14	131±36	39.9±10.8	(Naja et al., 2003) (Das and Jayaraman, 2011)

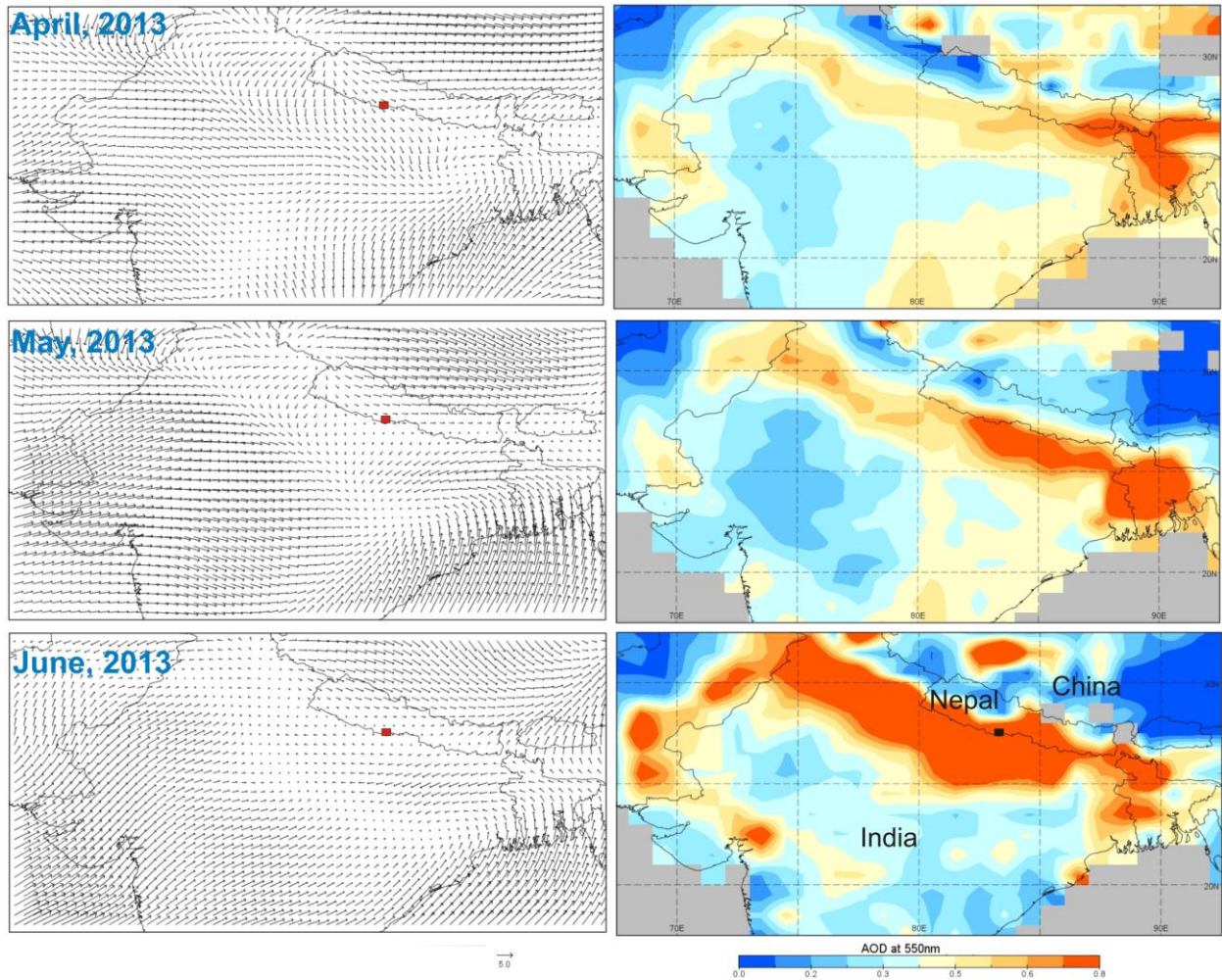
970 **Table 3.** Inter-comparison of observed and model simulated hourly average concentrations of air
971 pollutants during the measurement campaign period. Unit: BC and PM in $\mu\text{g}/\text{m}^3$ and CO in ppbv.

Pollutants	Observed (mean and range)	Modeled (mean and range)	Ratio of mean (observed/modeled)
BC	4.9 (0.3-29.9)	1.8 (0.4-3.7)	2.7
PM₁	36.6 (3.6-197.6)	12.3 (0.9-41.7)	3
PM_{2.5}	53.1 (6.1-272.2)	17.3 (1.9-48.3)	3
PM₁₀	128.8 (10.5-604.0)	25.4 (2.1-68.8)	5
CO	344.1(124.9-1429.7)	255.7 (72.2-613.1)	1.35

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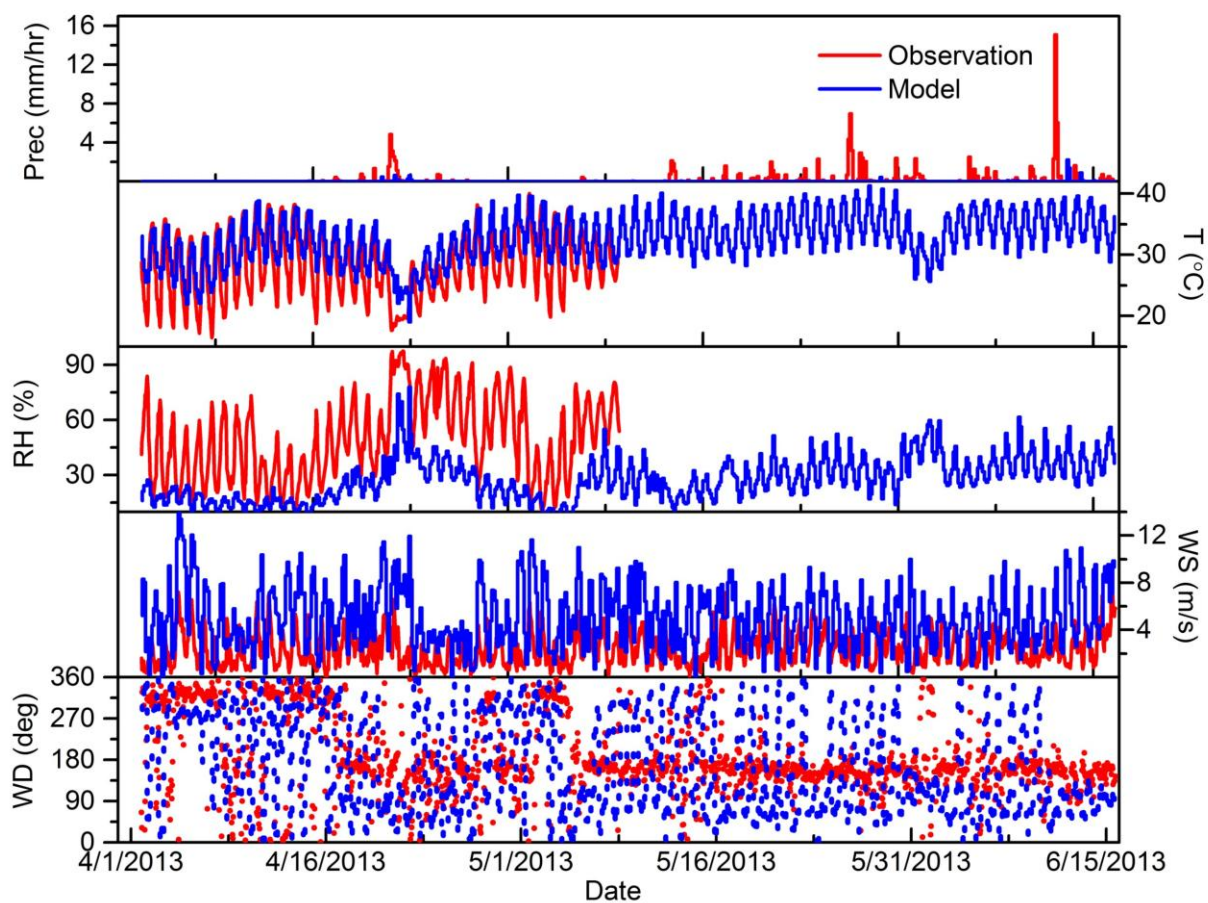
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974 **Figures**



975
976 **Figure 1.** Monthly synoptic wind (at 1000 hPa) for April, May and June 2013, based on
977 NCEP/NCAR reanalysis data where the orientations of arrows refer to wind direction and the
978 length of arrows represents the magnitude of wind (m/s). Red square box in the figure (left)
979 represents the location of Lumbini. Figures on the right side represent monthly aerosol optical
980 depth acquired with the MODIS instrument aboard TERRA satellite. High aerosol loading can be
981 seen over the entire Indo-Gangetic Plain (IGP). Light gray color used in the figure represents the
982 absence of data.

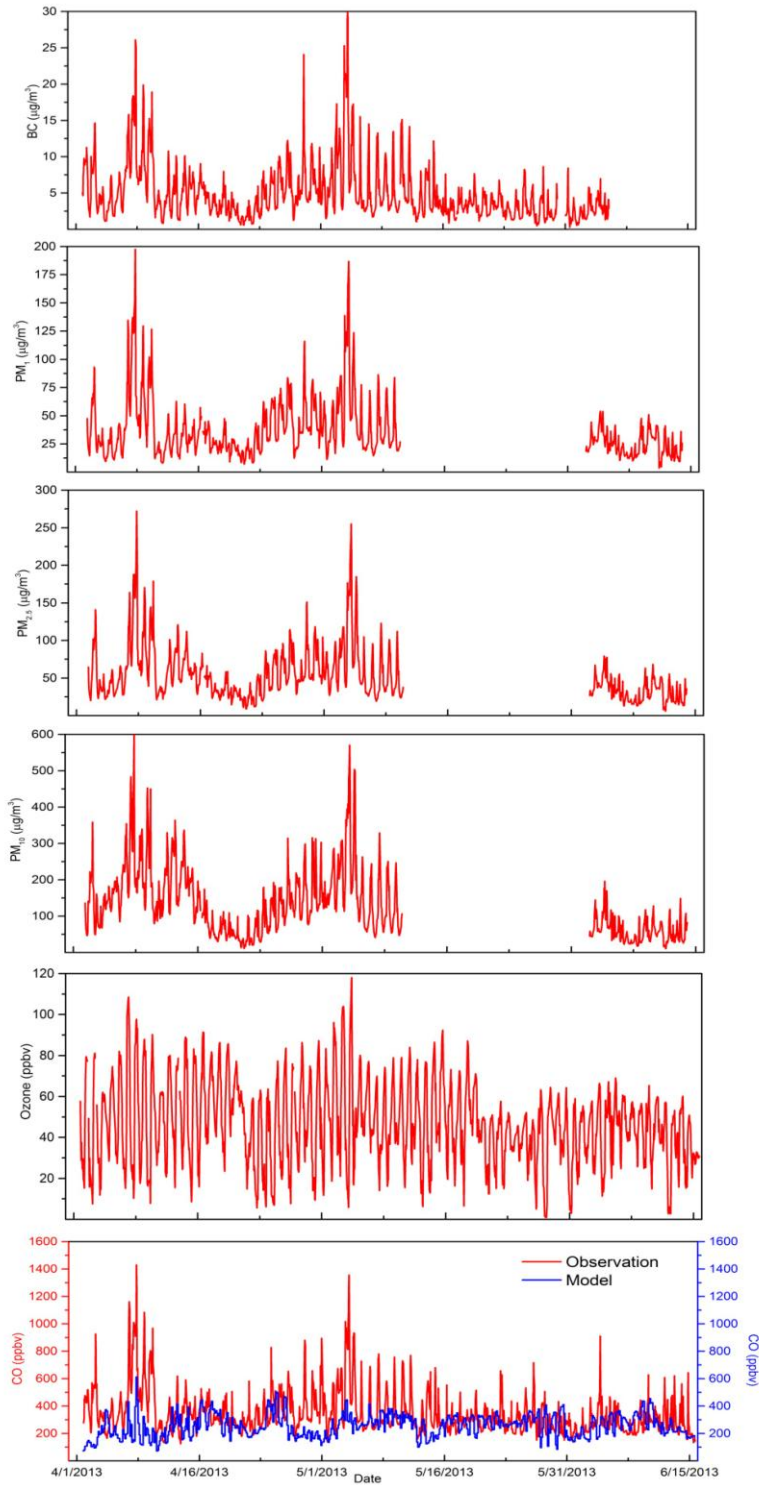
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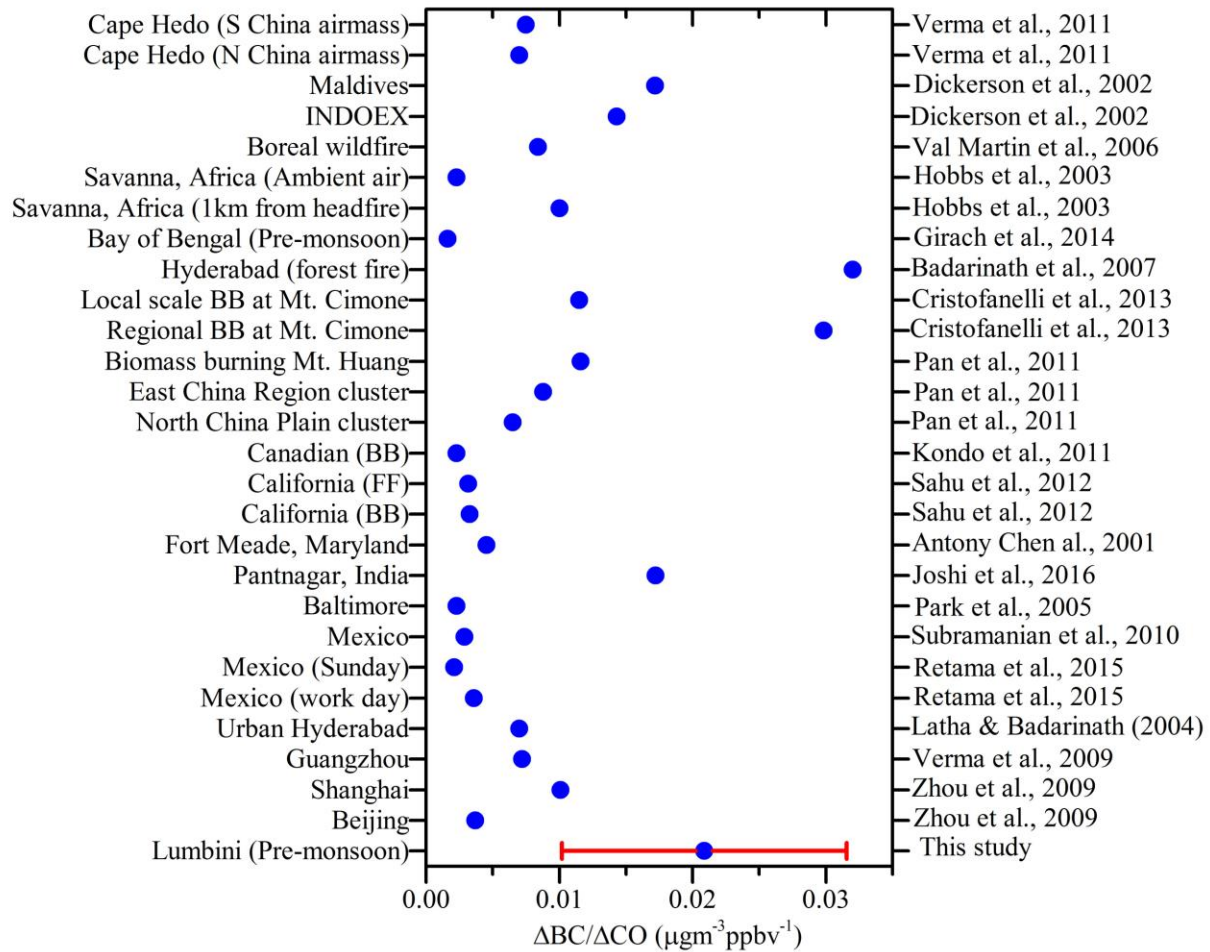
985 **Figure 2.** Time series of hourly average observed (red) and model estimated (blue)
 986 meteorological parameters at Lumbini, Nepal for the entire sampling period from 1 April to 15
 987 June 2013.

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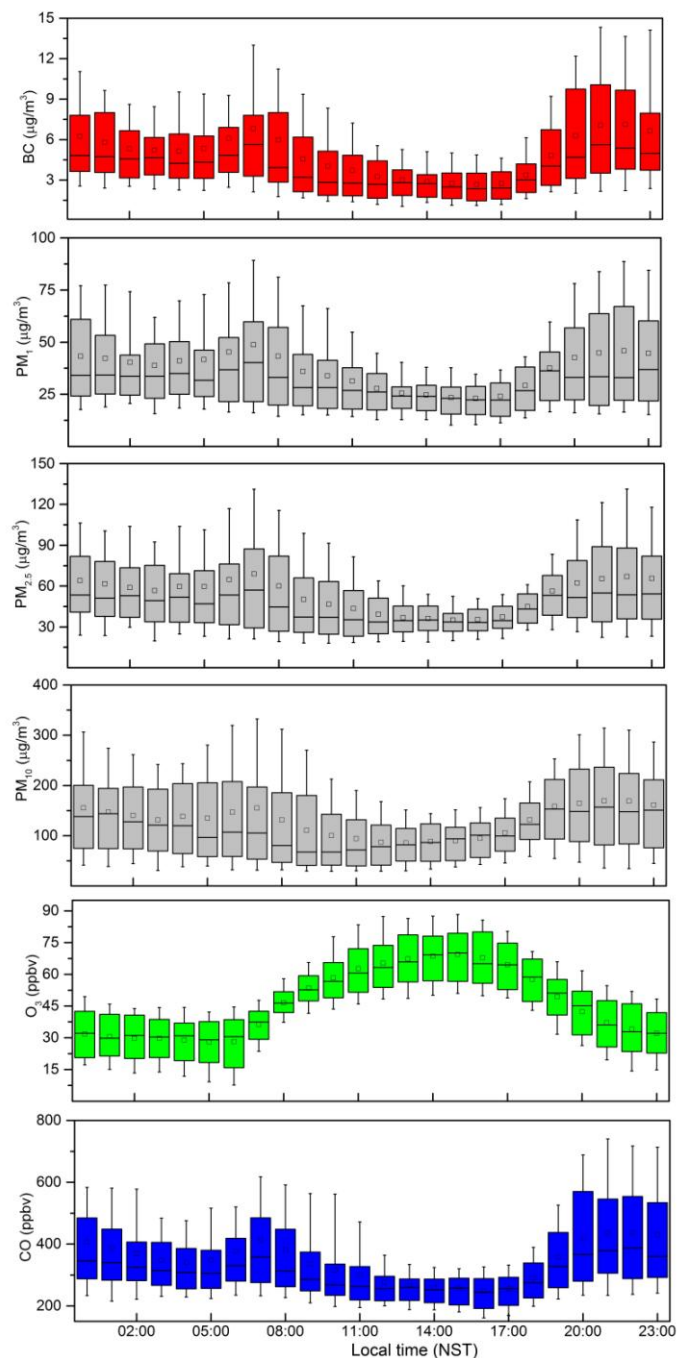
990 **Figure 3.** Time series of the observed (red line) and model estimated (blue line) hourly average
 991 concentrations of BC, PM₁, PM_{2.5}, PM₁₀, O₃ and CO at Lumbini, Nepal for the entire sampling
 992 period from 1 April to 15 June 2013.



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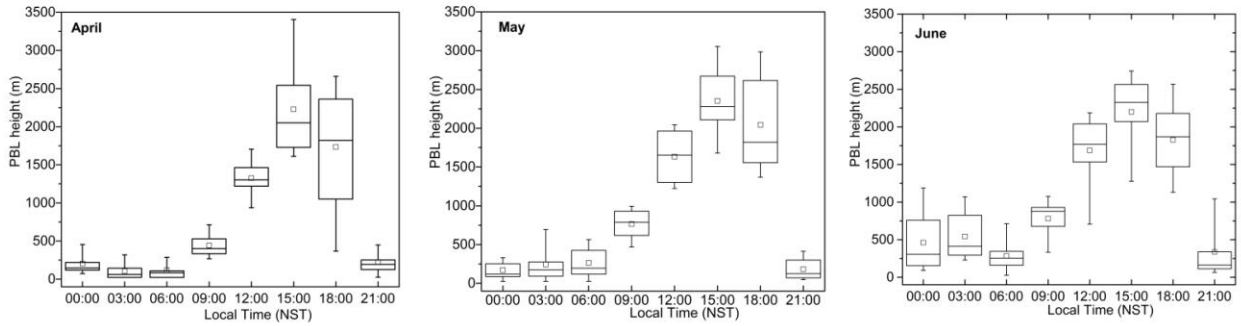
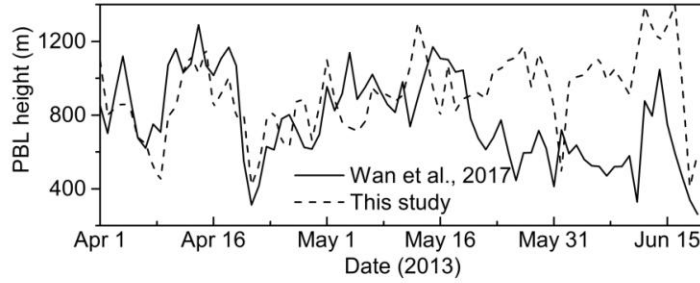
995 **Figure 4.** Comparison of BC concentrations to CO concentrations ($\Delta BC/\Delta CO$) ratios obtained
 996 for Lumbini with other sites. The red horizontal bar represents standard deviation.

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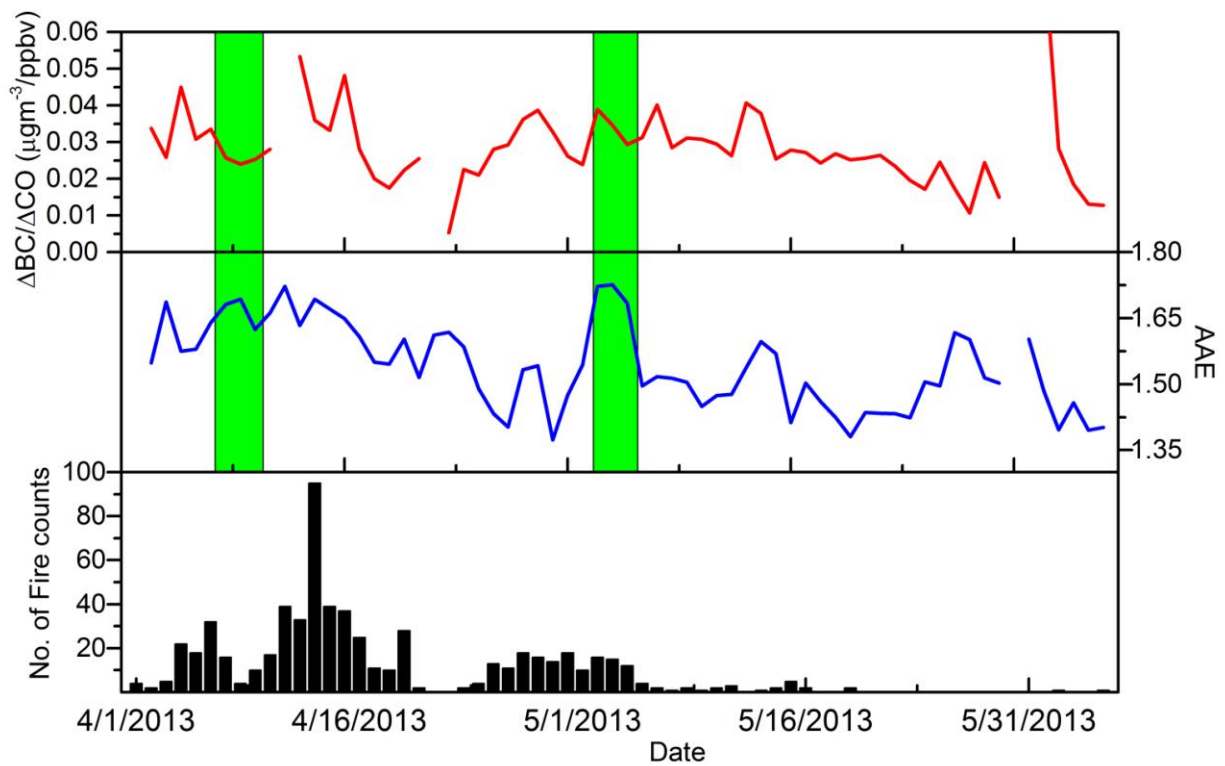
999 **Figure 5.** Diurnal variations of hourly average ambient concentrations of BC, PM₁, PM_{2.5}, PM₁₀,
 1000 O₃ and CO at Lumbini during the monitoring period (1 April -15 June 2013). In each box, lower
 1001 and upper boundary of the box represents 25th and 75th percentile respectively, top and bottom of
 1002 the whisker represents 90th and 10th percentile respectively, the mid-line represents median, and
 1003 the square mark represents the mean for each hour.



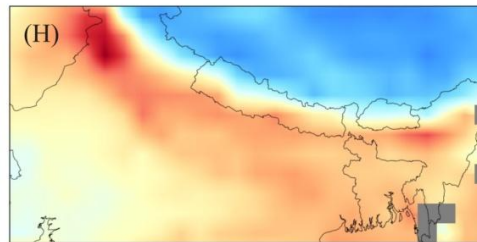
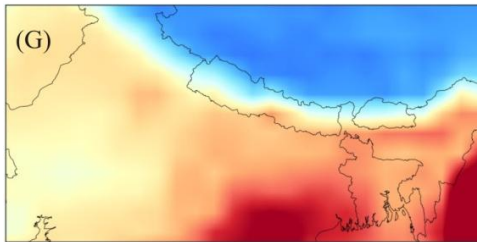
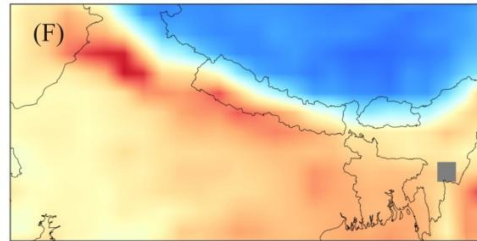
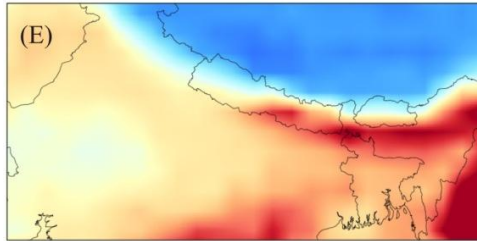
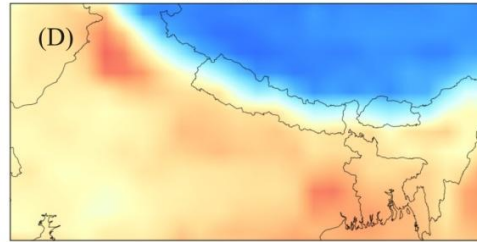
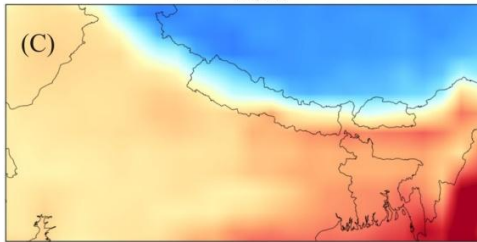
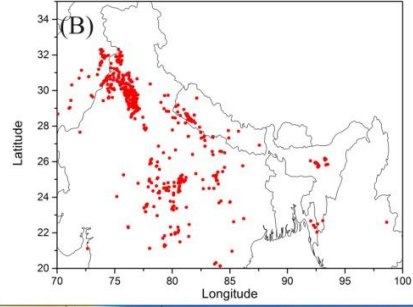
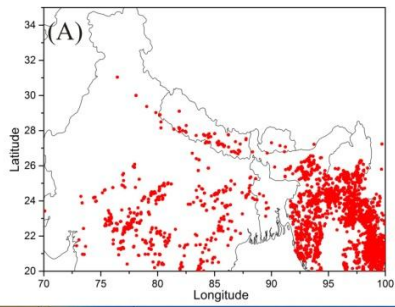
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1005 **Figure 6.** Daily time series of PBL height obtained from the model and reported values over
 1006 Lumbini (obtained from Xin et al., 2017). The lower panel shows the monthly average diurnal
 1007 variation of the PBL height. The square mark in each box represents the mean PBL height,
 1008 bottom and top of the box represents 25th and 75th percentile, top and bottom of the whisker
 1009 represents 90th and 10th percentile respectively.

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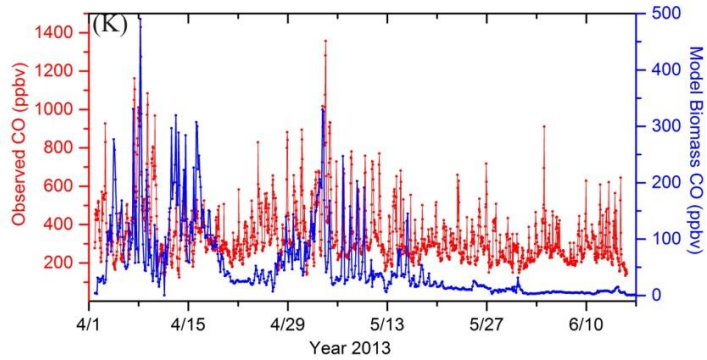
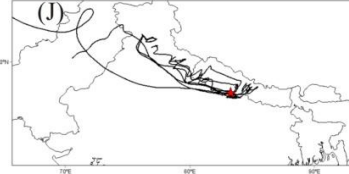
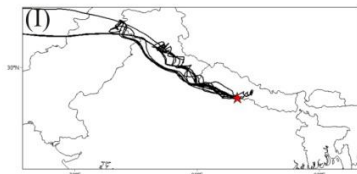


1011
 1012 **Figure 7.** Time series of daily average $\Delta BC/\Delta CO$ ratio, absorption Ångstrom exponent (AAE),
 1013 along with fire counts acquired with the MODIS instrument onboard TERRA satellite for a
 1014 200×200 km grid centered at Lumbini. Two rectangular green boxes represent time of two
 1015 episodes with high peaks in CO and BC concentrations as shown in Fig. 3.
 1016



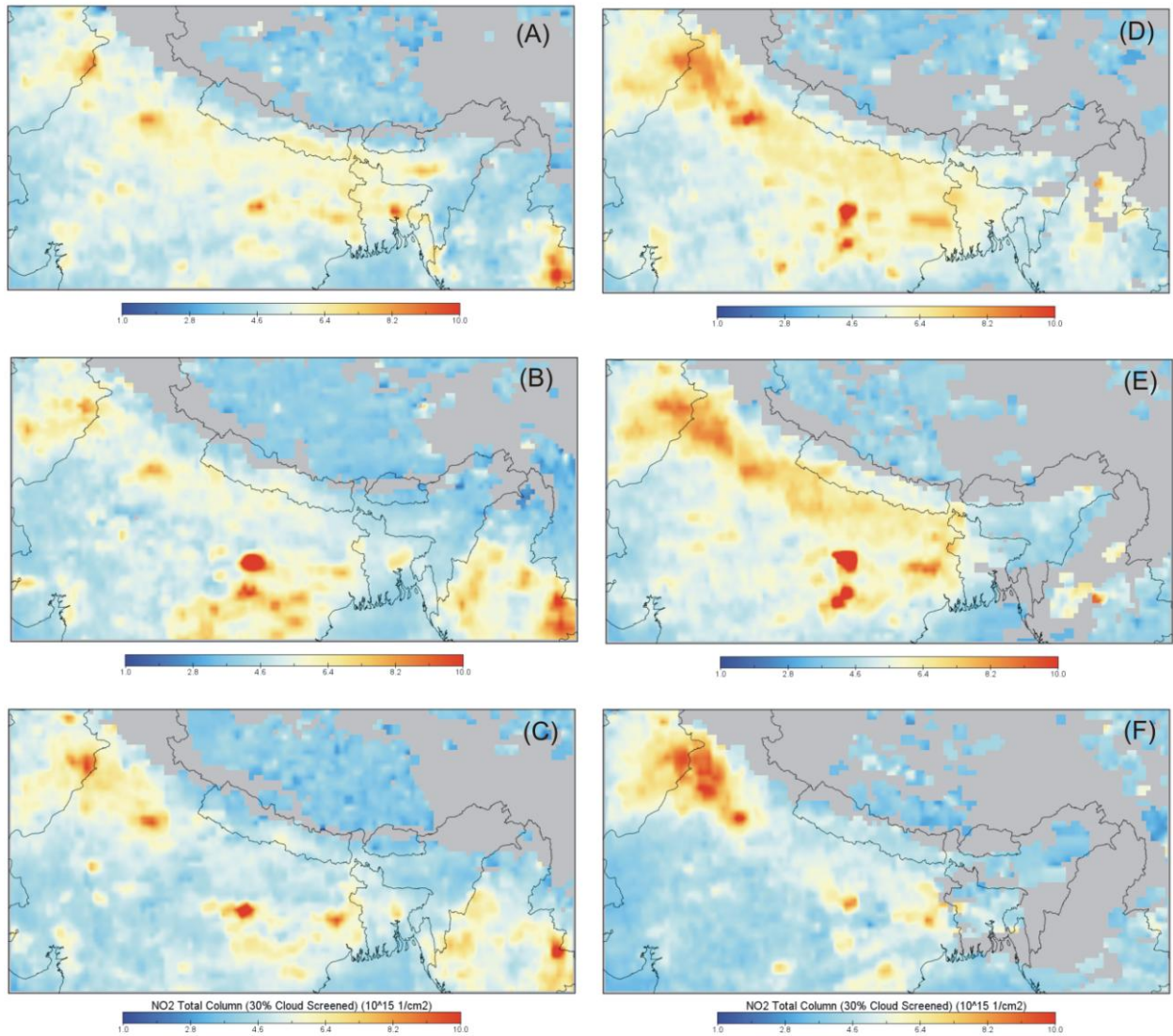
Carbon Monoxide Total Column (Daytime/Ascending) (10^{16} mol/cm²)

Carbon Monoxide Total Column (Daytime/Ascending) (10^{16} mol/cm²)



1018 **Figure 8.** Active fire hotspots in the region acquired with the MODIS instrument on Aqua
1019 satellite during (A) Event-I (7-9 April) and (B) Event-II (3-4 May). CO emissions, acquired with
1020 AIRS satellite, in the region two days before (3-5 April), during (7-9 April) and two days after
1021 (10-12 April) the Event-I are shown in panels (C), (E) and (G), respectively while panels (D), (F)
1022 and (H) show CO emissions two days before (1-2 May), during (3-4 May) and two days after (5-
1023 6 May) the Event-II. Panels (I) and (J) represent the 6-hr interval HYSPLIT back trajectories
1024 during Event I and II, respectively. Location of the Lumbini site is indicated by the red star in the
1025 panel (I and J). Observed CO versus Model open burning CO illustrating the contribution of
1026 forest fires during peak CO loading is shown in panel (K).

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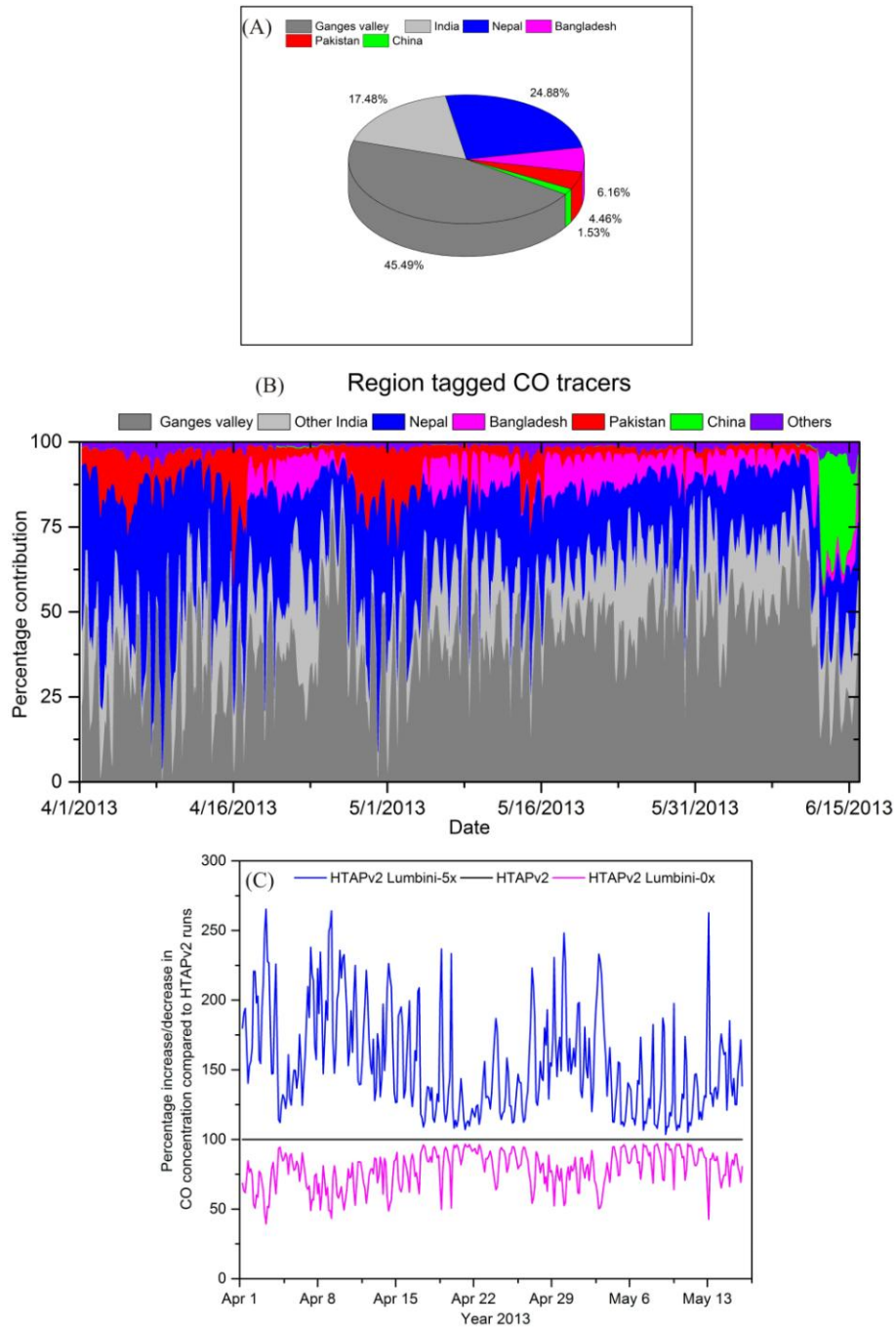


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1029 **Figure 9.** NO₂ total column obtained with OMI satellite over the region (A) before, (B) during,
 1030 and (C) after the Event- I. The panels (D), (E), (F) show NO₂ total column before, during and
 1031 after the Event- II.

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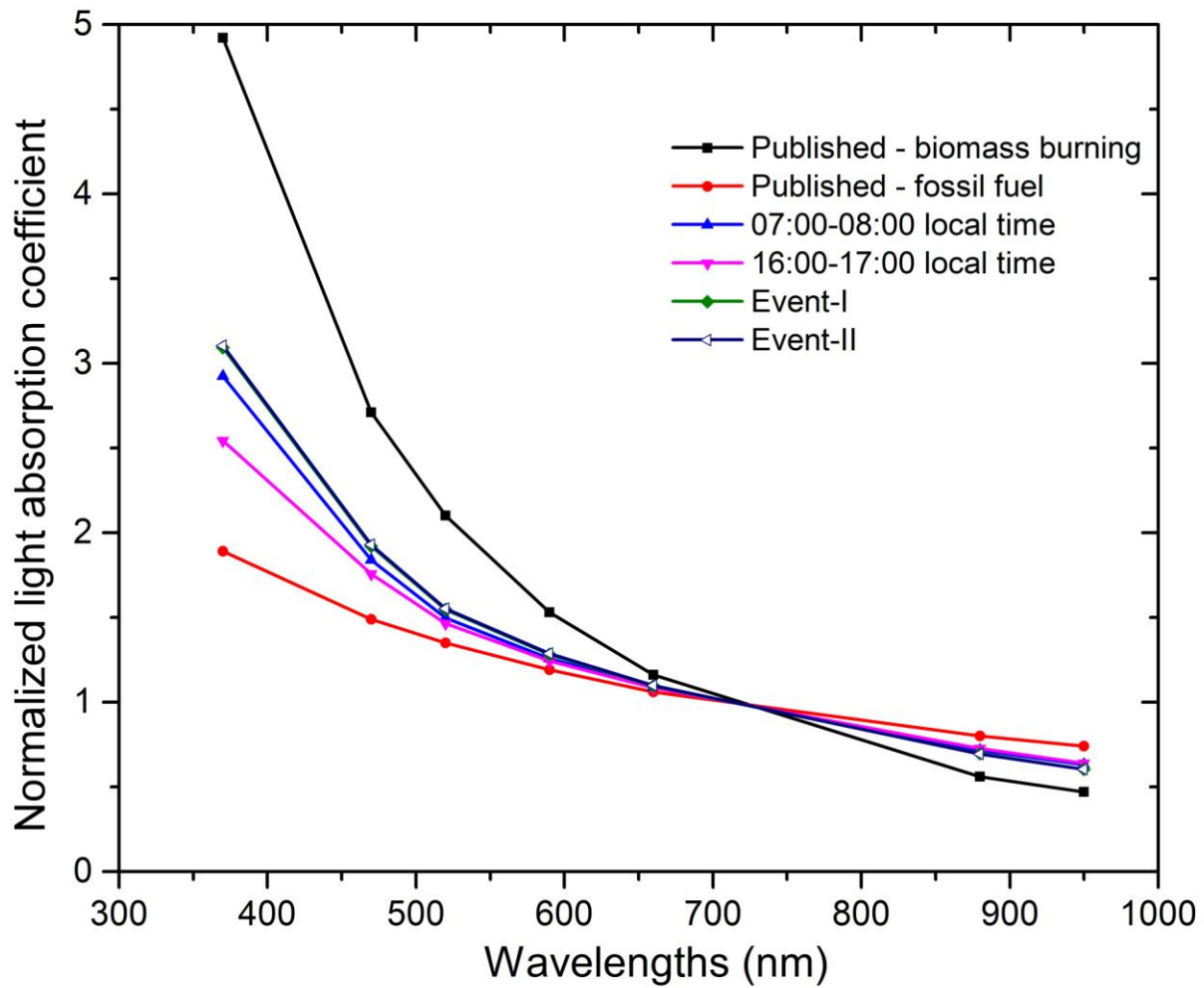
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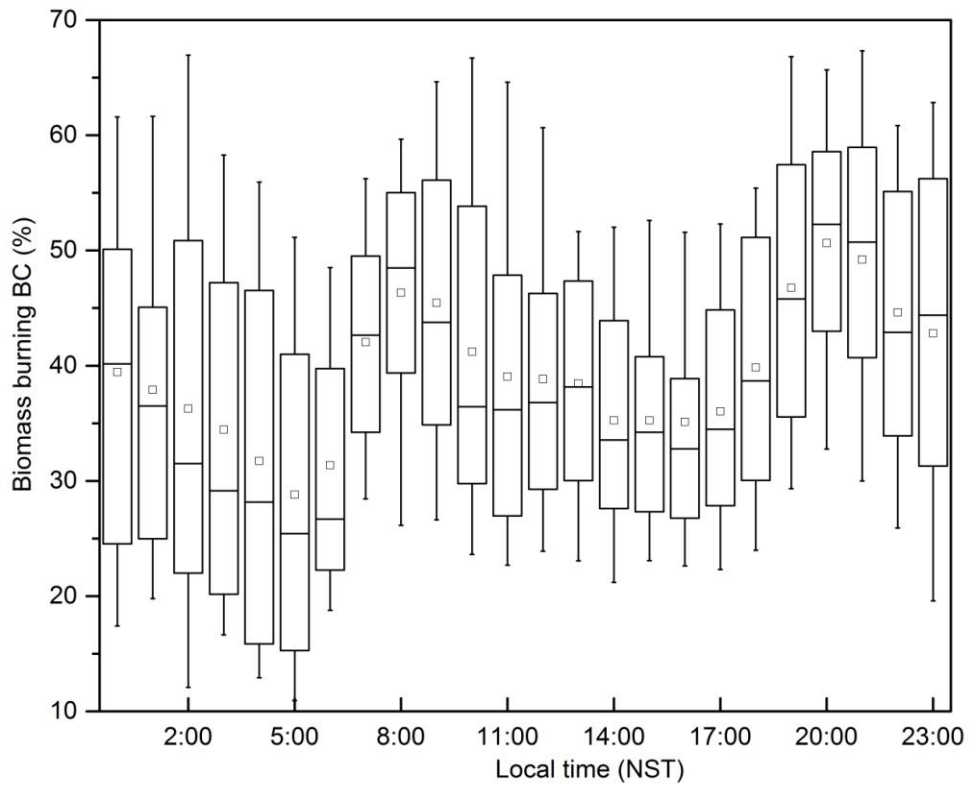
1035 **Figure 10.** (A) WRF-STEM model estimated contributions of various source regions to average
 1036 CO concentration in Lumbini for the sampling period, (B) time series of region tagged CO tracer
 1037 during the whole measurement period using HTAP emission inventory and (C) Figure showing
 1038 percentage increase/decrease in CO concentration with different emissions scenario.

1039



1040

1041 **Figure 11.** Comparison of normalized spectral light absorption coefficients obtained during the
 1042 prime cooking (07:00-08:00 local time) and non cooking time (16:00-17:00 LT) at Lumbini with
 1043 published data from Kirchstetter et al. (2004).



1044

1045 **Figure 12.** Diurnal variation of the fractional contribution of biomass burning to ambient BC
 1046 concentration at Lumbini for the measurement period. In each box, lower and upper boundary of
 1047 the box represent 25th and 75th percentile, respectively, top and bottom of the whisker represents
 1048 90th and 10th percentile, respectively. The mid-line in each box represents median while the
 1049 square mark represents the mean for each hour.