¹ Pre-monsoon air quality over Lumbini, a world heritage site

2 along the Himalayan foothills

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21 Abstract

Lumbini, in southern Nepal, is a UNESCO world heritage site of universal value as the 22 birthplace of Buddha. Poor air quality in Lumbini and surrounding regions is a great concern for 23 public health as well as for preservation, protection and promotion of Buddhist heritage and 24 25 culture. We present here results from measurements of ambient concentrations of key air pollutants (PM, BC, CO, O₃) in Lumbini, first of its kind for Lumbini, conducted during an 26 intensive measurement period of three months (April-June 2013) in the pre-monsoon season. The 27 measurements were carried out as a part of the international air pollution measurement 28 29 campaign; SusKat-ABC (Sustainable Atmosphere for the Kathmandu Valley - Atmospheric Brown Clouds). The main objective of this work was to understand and document the level of air 30 pollution, diurnal characteristics and the influence of open biomass burning on air quality in 31 Lumbini. The hourly average concentrations during the entire measurement campaign ranged as 32 follows: BC: 0.3 - 30.0 µg m⁻³, PM₁: 3.6-197.6 µg m⁻³, PM_{2.5}: 6.1 - 272.2 µg m⁻³, PM₁₀: 10.5 -33 604.0 μg m⁻³, O₃: 1.0 - 118.1 ppbv, and CO: 125.0 - 1430.0 ppbv. These levels are comparable to 34 35 other very heavily polluted sites in South Asia. Higher fraction of coarse mode PM was found as compared to other nearby sites in the IGP region. $\Delta BC/\Delta CO$ ratio obtained in Lumbini indicated 36 37 considerable contributions of emissions from both domestic and transportation sectors. The 24-h average PM_{2.5} and PM₁₀ concentrations exceeded the WHO guideline very frequently (94% and 38 85% of the sampled period, respectively), which implies significant health risks for the residents 39 and visitors in the region. These air pollutants exhibited clear diurnal cycles with high values in 40 41 the morning and evening. During the study period, the worst air pollution episodes were mainly due to agro-residue burning and regional forest fires combined with meteorological conditions 42 conducive of pollution transport to Lumbini. Fossil fuel combustion also contributed 43 significantly, accounting for more than half of the ambient BC concentration according to 44 aerosol spectral light absorption coefficients obtained in Lumbini. WRF-STEM, a regional 45 46 chemical transport model, was used to simulate the meteorology and the concentrations of pollutants to understand the pollutant transport pathways. The model estimated values were ~ 1.5 47 to 5 times lower than the observed concentrations for CO and PM_{10} respectively. Model 48 simulated regionally tagged CO tracers showed that the majority of CO came from the upwind 49 region of Ganges Valley. Model needs significant improvement in simulating aerosols in the 50

region. Given the high pollution level, there is a clear and urgent need for setting up a network
of long-term air quality monitoring stations in the greater Lumbini region.

53 1. Introduction

54 The Indo-Gangetic plain (IGP) stretches over 2000 km encompassing a vast area of land in northern South Asia: the eastern parts of Pakistan, most of northern and eastern India, southern 55 56 part of Nepal, and almost all of Bangladesh. The Himalayan mountains and their foothills stretch 57 along the northern edge of IGP. The IGP region is among the most fertile and most intensely 58 farmed region of the world. It is a heavily populated region with about 900 million residents or 12% of the world's population. Four megacities - Lahore, Delhi, Kolkata, and Dhaka are located 59 in the IGP region, with dozens more cities with populations exceeding one million. The region 60 61 has witnessed impressive economic growth in recent decades but unfortunately it has also become one of the most polluted, and an air pollution 'hot spot' of local, regional and global 62 concern (Ramanathan et al., 2007). Main factors contributing to air pollution in the IGP and 63 surrounding regions include emissions from vehicles, thermal power plants, industries, biomass 64 and fossil fuel used in cooking and heating activities, agricultural activities, crop residue burning 65 and forest fires. Air pollution gets transported long distances away from emission sources and 66 across national borders. As a result, the IGP and adjacent regions get shrouded with a dramatic 67 annual buildup of regional scale plumes of air pollutants, known as Atmospheric Brown Clouds 68 (ABC), during the long and dry winter and pre-monsoon seasons each year (Ramanathan and 69 70 Carmichael, 2008). Figure 1 shows monthly synoptic wind and mean aerosol optical depth (AOD) during April-June, 2013 over South Asia. Very high aerosol optical depth along the entire 71 stretch of IGP reflects severity of air pollution over large areas in the region. 72

Poor air quality continues to pose significant threat to human health in the region. In a new study of global burden of disease released recently, Forouzanfar et al. (2015) estimated that in 2013 around 1.7 million people died prematurely in Pakistan, India, Nepal, and Bangladesh as a result of air pollution exposure, nearly 30% of global total premature deaths due to air pollution. Air pollution also affects precipitation (e.g. South Asian monsoon), agricultural productivity, ecosystems, tourism, climate, and broadly socio-economic and national development goals of the countries in the region (Burney and Ramanathan, 2014;Shindell, 2011;Ramanathan and

Carmichael, 2008). It has also been linked to intensification of cold wave and winter fog in the 80 IGP region over recent decades (Lawrence and Lelieveld, 2010 and references therein; Safai et 81 82 al., 2009; Ganguly et al., 2006). Besides high levels of aerosol loading as shown in Fig. 1, Indo-Gangetic plains also have very high levels of ground level ozone or tropospheric ozone (O_3) 83 (e.g., Ramanathan and Carmichael (2008)) which is a toxic pollutant to plant and human health, 84 and a major greenhouse gas (IPCC, 2013;Shindell, 2011;Mohnen et al., 1993). South Asia, in 85 particular IGP region, has been projected to be the most ozone polluted region in world by 2030 86 (Stevenson et al., 2006). Majority of crop loss in different parts of the world results from effects 87 of ozone on crop health and productivity (Shindell, 2011). Burney and Ramanathan (2014) also 88 reported a significant loss in wheat and rice yields in India from 1980 to 2010 due to direct 89 effects of black carbon (BC) and ozone (O_3) . BC and O_3 are two key short-lived climate 90 pollutants (SLCP). Similarly, species like fine particles and carbon monoxide (CO) are potent to 91 health damages by posing impacts upon the respiratory and cardiovascular system and even also 92 to the climate system (Singh et al., 2017 and references therein). Because of the IGP's close 93 proximity to the Himalaya-Tibetan plateau region, this once relatively clean region, is now 94 95 subjected to increasing air pollution transported from regions such as the IGP, which can exert additional risks to sensitive ecosystems in the mountain region (e.g., (Lüthi et al., 2015;Marinoni 96 97 et al., 2013; Duchi et al., 2011). However, air pollution transport pathways to Himalayas are still not yet fully understood. 98

Monuments and buildings made with stones are vulnerable to air pollution damage 99 (Brimblecombe, 2003;Gauri and Holdren, 1981). Sulfur dioxide, which forms sulfuric acid upon 100 101 reaction with water, is the most harmful substance for the monuments as it can corrode and damage them (Baedecker et al., 1992;Gauri and Holdren, 1981). A recent study has reported that 102 deposition of light absorbing aerosol particles (black carbon, brown carbon) and dust is 103 responsible for the discoloration of Taj Mahal, a world famous monument in India (Bergin et al., 104 2015). Lumbini, located near the northern edge of the central Ingo-Gangetic plain, is famous as 105 the birthplace of the Lord Buddha and thus a UNESCO world heritage site of outstanding 106 universal value to humanity. Since the study area is renowned due to its historical and 107 108 archaeological significance, Lumbini is getting the worldwide attention also for poor air quality

in the region. There was no regular air quality monitoring in Lumbini at the time of ourmeasurement campaign.

Through this study, we want to understand the level of air pollution, its diurnal characteristic, 111 and the influence of open biomass burning on air quality in Lumbini. We carried out continuous 112 113 measurements of ambient concentrations of key air pollutants (particulate matter, black carbon, 114 carbon monoxide, ozone) and meteorological parameters during an intensive measurement period of three months (April-June) in the year 2013. These are the first reported pollutant 115 measurements for Lumbini. A regional chemical transport model called Sulfur Transport and 116 dEposition Model (STEM) was used to simulate the variations of meteorological parameters and 117 118 air pollutants during the observation period to examine the extent to which a state-of-the-art, widely-used air quality model is able to simulate the data, as an indication for where there are 119 120 still gaps in our knowledge and what further measurements and emissions dataset developments are needed. Model simulated regionally tagged CO tracers were used to identify emission source 121 122 regions impacting pollutant concentration observed at Lumbini. Satellite data has also been used to understand the high pollution events during the monitoring period. These measurements were 123 124 carried out as a part of the SusKat-ABC international air pollution measurement campaign (M. Rupakheti, manuscript in preparation for ACPD) jointly led by the International Centre for 125 126 Integrated Mountain Development (ICIMOD), Kathmandu, Nepal and Institute for Advanced Sustainability Studies (IASS), Potsdam, Germany. 127

128 2. Experimental set up

129 **2.1** Sampling site

130 The Lumbini measurement site (27°29.387' N, 83°16.745' E, elevation: ~100 m above sea level) is located at the premise of the Lumbini International Research Institute (LIRI), a Buddhist 131 library in Lumbini. Lumbini lies in the Nepal's southern lowland plain or Terai region, termed as 132 "bread basket of Nepal" due to the availability of very fertile land suitable for crop production, 133 which forms the northern edge of the Indo-Gangetic Plains (IGP). About 25 km north of Lumbini 134 the foothills begin while the main peaks of the Himalayas are 140 km to the north. The 135 remaining three sides are surrounded by flat plain land of Nepal and India. The site is only about 136 8 km from the Nepal-India border in the south. A three storied 10 m tall water tower was used as 137

the platform for the automatic weather station (AWS) whereas remaining instruments were 138 placed inside a room near the base of the tower. Figure S1 shows the location of Lumbini, the 139 140 Kenzo Tange Master Plan area of the Lumbini development project, and the sampling tower. An uninterrupted power back up was set up in order to assure the regular power supply even during 141 hours with scheduled power cuts during the monitoring period. The nearby premises of the 142 monitoring site consist of the LIRI main office and staff quarters. Further away is a museum, a 143 local bus park for the visitors to Lumbini, the office of the Lumbini Development Trust, 144 monasteries, and thinly forested area with grassland within the master plan area. Outside of the 145 master plan area lie vast area of agricultural fields, village pockets, and several brick kilns and 146 cement industries. A local road (black topped), that cuts through the master plan area, lies about 147 200 m north of the sampling site and experiences intermittent passing of vehicles. According to 148 the Ministry of Culture, Tourism and Civil Aviation of Nepal over 130 thousand tourists 149 (excluding Nepalese and Indian citizens) visited Lumbini in 2014 150 the area (http://tourism.gov.np/en). 151

152 2.2 Monitoring Instruments

The summary of instruments deployed in Lumbini is presented in Table 1. All data were 153 154 collected in Nepal Standard Time (NST) which is GMT +05:45 hour. PM₁, PM_{2.5} and PM₁₀ mass concentrations were monitored continuously with GRIMM EDM164 (GRIMM Aerosol Technik, 155 Germany) which uses the light scattering at 655 nm to derive mass concentrations. Similarly, 156 aerosol light absorptions at 7 wavelengths (370, 470, 520, 590, 660, 880, 950 nm) were 157 measured continuously with an Aethalometer (Model AE-42, Magee Scientific, USA), averaging 158 and reporting data every 5 min. It was operated at a flow rate of 5 l min⁻¹. No cut-off was applied 159 for inlet; hence the reported concentration of BC is total suspended BC particles. As described by 160 the manufacturer, ambient BC concentration is derived from light absorption at 880 nm using a 161 specific mass absorption cross section. To obtain BC concentration in Lumbini, we used a 162 specific mass absorption cross-section value of 8 $m^2 g^{-1}$ for the 880 nm channel. A similar value 163 has been previously used for BC measurement in the Indo-Gangetic plain (Praveen et al., 2012). 164 165 To remove the filter loading effect, we used correction method suggested by Schmid et al. (2006) which was also used by Praveen et al. (2012) for BC measurements at a rural site in the Indo-166 167 Gangetic plain. Surface ozone (O₃) concentration was measured continuously with an ozone

analyzer (Model 49i, Thermo Scientific, USA) which utilizes UV (254 nm wavelength) 168 photometric technology to measure ozone concentration in ambient air. CO analyzer (Model 48*i*, 169 170 Thermo Scientific, USA) was used to monitor ambient CO concentration which is based on the principle that CO absorbs infrared radiation at the wavelength of 4.6 microns. The ambient air 171 was drawn through 6-micron pore size SAVILLEX 47 mm filter at the inlet in order to remove 172 the particles before sending air into the CO and O_3 analyzers using a Teflon tube. The filters 173 were replaced every 7-10 days depending on particle loading, based on manual inspection. CO 174 instrument was set to auto-zero at a regular interval of 6 hours. Local meteorological parameters 175 (temperature, relative humidity, wind speed, wind direction, precipitation, and global solar 176 radiation) were monitored with an automatic weather station (AWS) (Campbell Scientific, 177 Loughborough, UK), recording data every minute. 178

179 2.3 Regional chemical transport model

180 Aerosol and trace gas distributions were simulated using a regional chemical transport model. Sulfur Transport and dEposition Model (STEM), a 3D eulerian model that has been used 181 extensively in the past to characterize air pollutants in South Asian region was used to 182 understand observations at Lumbini (Kulkarni et al., 2015;Adhikary et al., 2007). The Weather 183 Research and Forecasting (WRF) model (Skamarock et al., 2008) version 3.5.1 was used to 184 generate the required meteorological variables necessary for simulating pollutant transport in 185 STEM. The model domain was centered at 24.94° N latitude and 82.55° E longitude covering a 186 region from 3.390° N to 43.308° N latitude and 34.880° E to 130.223° E longitude. The model 187 has 425×200 horizontal grid cells with grid resolution of 25×25 km and 41 vertical layers with 188 top of the model set at 50 mbar. The WRF model was run from November 1, 2012 to June 30, 189 190 2013. However, for this study, modeled data only from April to June 2013 have been used. The initialized with FNL data available from NCAR/UCAR site 191 WRF model was (http://rda.ucar.edu/datasets/ds083.2/). 192

The tracer version of the STEM model provides mass concentration of sulfate, BC (hydrophilic and hydrophobic), Organic carbon (OC), sea salt (fine and coarse mode), dust (fine $PM_{2.5}$ and PM_{10}), CO (biomass and anthropogenic) and region tagged CO tracers. STEM model domain size, resolution and projection are those of the WRF model. Details about tracer version of the 197 STEM model is outlined elsewhere (Kulkarni et al., 2015;Adhikary et al., 2007). Anthropogenic emission of various pollutants (CH₄, CO, SO₂, NO_x, NMVOC, NH₃, PM₁₀, PM_{2.5}, BC and OC) 198 199 used in this analysis were taken from the EDGAR-HTAP_v2 (http://edgar.jrc.ec.europa.eu/htap_v2/index.php?SECURE=123). 200 Open biomass burning emissions on a daily basis during the simulated period were taken from data obtained from the 201 FINN model (Wiedinmyer et al., 2011). Both these emissions were re-gridded to the STEM 202 203 model domain using four point interpolation techniques available in the STEM model emissions preprocessor. As with the WRF model, the STEM model was run from November 2, 2012 to 204 June 30, 2013 however, data presented here are only during the intensive field campaign period. 205

206 3. **Results and discussions**

207 3.1 Meteorology

Hourly average time series of various meteorological parameters like precipitation in mm hr⁻¹ 208 (Prec), temperature in °C (T), relative humidity in % (RH), wind speed in m s⁻¹ (WS) and 209 direction in degree (WD) during the monitoring period are shown in Figure 2. Meteorological 210 parameters were obtained with the sensors at the height of ~ 12 m from the ground. Meteorology 211 212 results from WRF model simulations have been used to indicate if any significantly different air 213 mass was present during the measurement campaign after the meteorological observations malfunctioned. Precipitation data was derived from TRMM satellite (TRMM 3B42 007 at a 214 0.25°) 215 horizontal resolution of from the Giovanni platform 216 (http://giovanni.gsfc.nasa.gov/giovanni/) as the rain gauge malfuntioned during the sampling 217 period. Precipitation data from TRMM (Figure 2) show that Lumbini was relatively dry in the early portion of the measurement campaign while as the pre-monsoon edged closer to the 218 219 monsoon onset, the site did experience some rainfall events. This lowered aerosol loading in the later half of the measurement campaign due to washout. Comparison of WRF model outputs 220 221 with TRMM data shows that the model under-predicts rainfall through out the campaign.

Average observed temperature for the sampling period until the sensor stopped working (on 8th May, 2013, i.e., for 38 days of measurement) was 28.1°C (minimum: 16.5°C, maximum: 40°C). Average temperature from the model, during same period, was 31°C with values ranging between 19 - 40°C. As shown in Figure 2, the model captures the synoptic variability of 226 temperature and is mostly within the range of daily values. However, the model has a high bias 227 and does not capture well daily minimum temperature values. In addition, the model does not 228 show any large variation in temperature for the campaign period after the sensors stopped working. This insight will be useful to interpret pollution data later on. For the same period (until 229 the sensor stopped working), the average (observed) RH was $\sim 50\%$ (ranging from 10.5 to 230 97.5%) whereas the model showed the average RH to be ~ 23% with values ranging between 6 231 232 to 78%. RH values are highly underestimated by the model, however as previously mentioned, the model does not show significant changes in RH during the measurement campaign after the 233 observations stopped working. 234

Average observed wind speed during the study period was 2.4 m s⁻¹, with hourly values ranging 235 between 0.03 - 7.4 m s⁻¹ whereas from the WRF model average wind speed was found to be 3.2 236 m s⁻¹ (range: 0.06 - 11.1 m s⁻¹). Diurnal variation of observed hourly average wind speed 237 suggested that wind speeds were lower during nights and mornings while higher wind speed 238 prevailed during day time, with average winds > 3 m s⁻¹ up to ~ 3.3 m s⁻¹ between 09:00-13:00 239 local time (Supplementary materials, Figure S2, lower panel). High speed strong winds (> 4 m s⁻¹ 240 ¹) were from the NW direction during the month of April which later switched to almost opposite 241 242 direction, i.e., SE direction from the month of May onwards. The monthly wind rose plot using 243 the data from both observation and modeling where the difference in the pattern could be potentially due to the data resolution is shown in Figure S3. Comparing modeled wind direction 244 245 prediction skills at the surface with one point measurement is not sufficient. However, in the absence of other measurements, we also show the comparison of wind direction as an indication 246 247 of model performance not as model validation. Discrepancy on model results might have occurred due to various factors inherently uncertain in a weather prediction using a model. 248 249 Besides, air pollution transport also occurs via elevated layers and is not limited to surface winds. We show NCEP/NCAR reanalysis plots at 850 hPa in Fig. S3 to illustrate the distinctly 250 251 differing wind direction compared to the surface winds seen from observations as well as NCEP/NCAR reanalysis plot at 1000 hPa shown in Fig. 1. There are no upper wind 252 measurement data nearby Lumbini to show model performance. Regardless, we believe that air 253 quality model data is vital for understanding pollutant transport in an area where observation data 254 are non-existent or are incomplete. 255

3.2.1 General overview, PM ratios and influence of meteorology on pollution concentrations

Figure 3 shows hourly averaged time series of observed BC, PM₁, PM_{2.5}, PM₁₀, O₃ and CO 259 observed at Lumbini during the study period. Similar temporal behaviour was shown by BC, 260 261 particulate matter fractions (PM₁, PM_{2.5} and PM₁₀) and CO. The gap in the figure (for PM time series) is due to the power interruption to the instrument. BC concentrations during the 262 measurement period ranged between 0.3-29.9 μ g m⁻³ with a mean (±SD) value of 4.9 (±3.8) μ g 263 m⁻³. BC concentrations in Lumbini during pre-monsoon months are lower compared to BC 264 265 concentrations observed in the Kathmandu Valley because of high number of vehicles plying on the street, brick kilns and other industries in Kathmandu valley (Sharma et al., 2012; Putero et al., 266 2015). The lowest concentration was observed during a rainy day (21-22 April) whereas the 267 highest concentration was observed during a period of forest fire (detailed in Section 3.3). For 268 269 the entire measurement period, we found average (of hourly average values) PM₁: 35.8±25.6 µg m⁻³ (minimum-maximum range: 3.6 - 197.6 μg m⁻³), PM_{2.5}: 53.1±35.1 μg m⁻³ (6.1 - 272.2 μg m⁻³) 270 ³), PM₁₀: 128.9 \pm 91.9 µg m⁻³ (10.5-603.9 µg m⁻³) and coarse-mode (PM_{10-2.5}): 75.65 \pm 61.67 µg m⁻³ 271 ³ (1.98-331.80 μ g m⁻³). The coarse-mode fraction was ~ 60% of the PM₁₀. The share of coarse-272 273 mode aerosol to PM₁₀ in Lumbini was higher than that observed in other sites in the IGP; Guwahiti, India (42%) (Tiwari et al., 2017) and Dibrugarh, India (9-16%) (Pathak et al., 2013) 274 both in eastern IGP and Delhi (38%) (Tiwari et al., 2015) in western IGP indicating the higher 275 contribution of coarse aerosols in Lumbini, likely lifted from soils from nearby agricultural fields 276 and construction materials by stronger winds during pre-monsoon season. Similar value of 277 278 coarse-mode fraction, as in Lumbini, has been reported by Misra et al. (2014) at Kanpur for dust dominated and mixed aerosols events. 279

The share of BC in PM fractions were found to be ~13% in PM₁, 9% in PM_{2.5} and ~4% in PM₁₀ but the correlation coefficients of BC with three PM fractions were found to be 0.89 (PM₁), 0.88 (PM_{2.5}) and 0.69 (PM₁₀), indicating the commonality in the sources of these pollutants. The contribution of BC in PM₁ was found to be of ~12% in Kanpur during February-March (Kumar et al., 2016a) similar to Lumbini. Regarding the share of BC in PM₁₀, the share observed in Lumbini (~4%) was similar to that observed over Varanasi (~340 km due south of our site) in central IGP (5%) (Tiwari et al., 2016) and Dibrugarh in eastern IGP (~5%) (Pathak et al., 2013). Thus our results indicate that despite our station being located at the northern edge of the IGP along the foothills of the Himalayan range, its aerosol characteristics are similar to those found in heavily polluted sites in the central and eastern IGP.

290 In Lumbini, the average (hourly) share of PM₁ in PM_{2.5}, PM₁ in PM₁₀ and PM_{2.5} in PM₁₀ were found to be ~70%, 34% and 47% respectively. The share of average (sampling period) coarse-291 292 mode aerosols to PM_{10} (60%) was found to be higher as compared to that of average fine mode i.e., PM_{2.5} (40%). Regarding other sites in IGP region, PM_{2.5}/PM₁₀ ratios were reported to be 293 294 56% in Kanpur (Snider et al., 2016), 60% in Varanasi (Kumar et al., 2015), 57% in Guwahiti (Tiwari et al., 2017), 90% in Dribugarh (Pathak et al., 2013) and 62% in Delhi (Tiwari et al., 295 296 2015) indicating local differences within IGP as well as suggesting that influence of combustion sources at Lumbini is still lower compared to other locations in Indian section of the IGP. A 297 298 recent study (Putero et al., 2015) reported the PM_1/PM_{10} during pre-monsoon of 2013 was found to be 0.39 in the Kathmandu Valley of Nepal. Lumbini has significantly lower vehicle emissions 299 and human population than the Kathmandu Valley yet the ratios are similar, indicating the 300 importance of regional combustion sources in Lumbini for finer aerosols (PM₁), and soil-based 301 302 emissions such as road dust in the Kathmandu Valley. Future studies will need to explore the emission sources around Lumbini in much greater detail. Lower PM_{2.5}/PM₁₀ in Lumbini as 303 304 compared to other regions mentioned earlier could be due to emissions from cement industries located within 15 km distance from the measurement site. Cement factories emit coarse sized 305 306 particles but we are not able to distinguish in our measurement without having an analysis if certain marker species. Trivedi et al. (2014) reported a ratio of 0.39 (during pre-monsoon) over 307 Delhi, which is lower than the ratio in Lumbini. The lower ratio in Delhi was due to the presence 308 309 of coarse sized windblown desert dust and suspended soil materials due to strong winds.

The observed 24-hour average particulate matter concentrations ($PM_{2.5}$ and PM_{10}) were found frequently higher than the WHO prescribed guidelines for $PM_{2.5}$ (25 µg m⁻³) and PM_{10} (50 µg m⁻³) with PM2.5: exceeding 94% and PM_{10} : 85% of the measurement period of 53 days in Lumbini.

Observed CO concentrations ranged between 124.9-1429.7 ppbv with an average value of 314 344.1±160.3 ppby. CO concentration observed in Lumbini is lower than that of Mohali, Western 315 316 India where the average concentration was 566.7 ppbv during pre-monsoon season due to intense biomass and agro-residue burning over the region (Sinha et al., 2014). Temporal variation of CO 317 concentrations is similar to that of BC as both of these species are emitted during incomplete 318 combustion of fuel. Moreover, a very strong correlation (r = 0.9) was observed between BC and 319 320 CO. Past studies have shown that the ratio of BC to CO depends upon multiple factors like site location, combustion characteristics (fuel and technology) at the sources, and type of air mass 321 (Girach et al., 2014;Pan et al., 2011;Zhou et al., 2009). Formation of the soot depends on the 322 carbon to oxygen ratio of fuel whereas CO can also be produced naturally due to the oxidation of 323 VOCs (Girach et al., 2014). Figure 4 shows the comparison of the average $\Delta BC/\Delta CO$ ratio 324 (0.021) at Lumbini with that obtained from other sites. Please refer to Figure S4 in the 325 supplementary materials for the time series of $\Delta BC/\Delta CO$ ratio observed in Lumbini. We used the 326 method described by Pan et al. (2011) to calculate the $\Delta BC/\Delta CO$ values. The ratio was 327 calculated using the equation $(BC-BC_0)/(CO-CO_0)$ assuming the background values $(BC_0 \text{ or }$ 328 CO_0) as 1.25 percentile of the data. The $\Delta BC/\Delta CO$ ratio in Lumbini is similar to that obtained at 329 a suburban site, Pantnagar in India (0.017) (Joshi et al., 2016) and in Maldives (0.017) 330 (Dickerson et al., 2002). As compared to Lumbini, the different $\Delta BC/\Delta CO$ ratio obtained over 331 megacities such as Beijing and Shanghai are due to the higher number of gasoline and diesel 332 333 vehicles (Zhou et al., 2009). However, the ratio obtained at Lumbini were within the range of emission ratios from diesel used in transport sector (0.0013-0.055), coal (0.0019-0.0572) and 334 335 biofuels (0.0087-0.0266) for domestic activities (Verma et al., 2010 and references therein). The hourly averaged observed ozone concentration ranged between 1.0 and 118.1 ppbv with a mean 336 337 value of 46.6 ± 20.3 ppbv during the sampling period. The 8-hr maximum O₃ concentration exceeded WHO guidelines of 100 µg m⁻³ (WHO, 2006) during 88% of the measurement period. 338 Our results clearly indicate that the current pollution levels in Lumbini is of great concern to 339 health of the people living in the region as well as over a million visitors who visit Lumbini, as 340 well as ecosystems, particularly agro-ecosystem, especially in warm and sunny pre-monsoon 341 342 months.

The relationship of wind speed (WS) with aerosol and gaseous pollutants in Lumbini is shown in 343 Figure S5 (Supplementary information). We were interested in studying the relationship between 344 345 wind speed and the pollutants since the wind governs the horizontal dilution of the pollutants (Huang et al., 2012) and also likelihood of lifting soil dust. Except ozone, all other pollutants 346 exhibited negative correlation with wind speed. BC shows negative correlation (r = -0.42) with 347 the wind speed which is similar with other pollutants as well (as can be seen from the figure). 348 Past studies have also reported a similar negative correlation of BC with wind speed over urban 349 and sub-urban areas (Huang et al., 2012;Cao et al., 2009;Ramachandran and Rajesh, 350 2007;Sharma et al., 2002;Tiwari et al., 2013) indicating that the locally generated BC can 351 accumulate in the atmosphere during lower wind speed conditions (Cao et al., 2009). Tiwari et 352 al. (2013) also reported similar negative correlation (r = -0.45) during the pre-monsoon season 353 over Delhi. On the other hand, secondary pollutants like ozone exhibited a positive relation with 354 the WS (r=0.38) indicating the WS could be one of the potential factors of high ozone in 355 Lumbini. Solar radiation is one of the most important factors for production of ozone in the 356 atmosphere (Naja et al., 2003). The correlation of hourly ozone concentration with solar 357 358 radiation (not shown here) was found to be 0.41 whereas wind speed during the daytime only (06:00-18:00) showed very weak correlation of 0.02 with ozone, indicating the calm condition as 359 360 conducive to formation and accumulation of ozone in the region.

Interestingly, the highest concentrations of all measured pollutants were obtained when the wind 361 speed was less than 1 m s⁻¹. In a separate analysis (not shown here), we considered only the WS 362 >1 m s⁻¹ and calculated the correlation coefficients to investigate the influence of regional 363 364 emissions. We found the similar correlation values as previous when all WS values were considered (BC vs WS = -0.41, CO vs WS = -0.42, O₃ vs WS = 0.29, PM₁ vs WS = -0.40, PM_{2.5} 365 366 vs WS= -0.38, PM₁₀ vs WS= -0.33). The correlation of WS (>1 m/s) with concentration of air pollutants elucidates that air pollution over Lumbini is not only of the local origin, it is rather 367 transported from other nearby regions as well. 368

Past studies near this site have been focused on the cities like Kathmandu (Sharma et al.,
2012;Ram et al., 2010;Panday and Prinn, 2009;Putero et al., 2015) and Kanpur (Ram et al.,
2010) and agro-residue burning dominated regions of IGP (Rastogi et al., 2016;Sinha et al.,
2014;Sarkar et al., 2013) or a remote mountain location in India (Naja et al., 2014). Very high

aerosol loading is observed in South Asia during pre-monsoon, mostly over the IGP region 373 (Supplementary materials, Figure S6). As this is the first study over an IGP site located in Nepal, 374 375 pollution concentrations observed at Lumbini were compared with other sites in the region (Table 2). Different sites located at urban, semi-urban and remote locations were used for 376 comparison to get a clear comparative picture of the situation at Lumbini amongst other locations 377 378 in the region. Pre-monsoon seasonal average $PM_{2.5}$ concentration in Lumbini has been found to be lower than the megacity like Delhi (Bisht et al., 2015) and north-western IGP (Sinha et al., 379 2014), possibly due to higher level of emissions (from traffic and biomass burning, respectively) 380 over those regions. In addition, average BC and CO concentrations in Lumbini were found 381 falling in between concentrations observed at rural sites (up to 6 times higher) and cities in the 382 region (see Table 2), indicating that Lumbini, in a way, can still be considered as semi-urban 383 location. The hourly average O₃ concentration in Lumbini were found to be higher than the cities 384 like Kathmandu (Putero et al., 2015) and Kanpur during pre-monsoon season (Gaur et al., 2014). 385 However from a mesoscale perspective, the hourly average O₃ concentrations were lower at 386 Lumbini as compared to base camp of Mt. Everest region due to the uplift of polluted air masses 387 388 (Marinoni et al., 2013), stratospheric intrusion (Cristofanelli et al., 2010) and even the regional or long-range transport of the air pollutants (Bonasoni et al., 2010) to the high altitude site. 389

Regarding the monthly average concentration, the concentrations of all measured pollutants decreased as the pre-monsoon months advanced. The monthly average concentrations of the monitored species are shown in the Figure S7 along with the monthly fire hotspots over the region. Reduction in concentration (except PM) during the month of May (as compared to April) could be attributed to the fewer fire events during May as well as previously discussed washout by rainfall. Two peak pollution episodes were observed during the first half of April and May which is discussed in more detail in the next section.

397 **3.2.2** Observation-model inter-comparison

Chemical transport models provide insight to observed phenomena; however, interpretation has to take into account model performance before arriving at any conclusion. This section describes pollution concentrations simulated by the WRF-STEM model. A comparison of model calculated pollutant concentration along with the minimum and maximum concentrations of various 402 pollutants (with observation) is shown in Table 3. The model based concentrations used here are instantaneous values for every third hour of the day. BC concentrations ranged between 0.4-3.7 403 μ g m⁻³ with a mean value of 1.8±0.7 μ g m⁻³ for a period of 1st April-15th June 2013. The average 404 model BC concentration was ~2.7 times lower than the observed BC. Regarding PM₁, PM_{2.5} and 405 PM_{10} , the model simulated average concentration was 12.3±5.5 (0.9-41.7) µg m⁻³, 17.3±6.7 (1.9-406 48.3) μ g m⁻³ and 25.4±12.9 (2.1-68.8) μ g m⁻³ respectively. The model estimated values were 407 lower by the factor of 3 and 5 respectively than the observed concentrations. The data show that 408 model needs much improvement in its ability to adequately predict observed aerosol 409 characteristics at Lumbini. Since pollutant concentration is a function of emissions, transport and 410 transformation and deposition, improvements in any of these areas would improve the model. 411 However, given observation insights by PM ratios, it seems that improvements are much needed 412 in the emissions of primary aerosols. Current emissions do not account for trash burning, 413 roadside dust and increasingly newer industries, especially emissions from cement factories that 414 have propped up in recent years. 415

Average observed CO concentration was 255.7±83.5 ppbv, ranging between 72.2-613.1 ppbv, 416 with average model CO ~1.35 times lower than observed. Time series comparison of modeled 417 CO versus observation is shown in Figure 3. Apart from two peak episodes the model does a 418 419 better job in predicting CO concentration over Lumbini. Previous study using the STEM model over Kathmandu valley showed that the model was able to capture annual BC mean value but 420 421 completely missed the concentrations during pre-monsoon and post monsoon period (Adhikary et al., 2007). Similar behavior is seen this time for CO where the model misses the peak values 422 423 but reasonably captures CO concentration after mid-May when no biomass burning events are observed (model to observation ratio improves to 1.16). STEM model CO performance can be 424 significantly improved via better constraining emissions of open biomass burning as discussed in 425 Section 3.3. This activity is beyond the scope of this current paper although the improvements 426 427 are underway for all these sectors.

428 3.2.3 Diurnal variations of air pollutants and boundary layer height

In the emission source region, diurnal variations of primary pollutants provide information about
the time dependent emission activities (Kumar et al., 2016b). Figure 5 shows the diurnal

variation of hourly averaged concentrations of measured pollutants during the sampling period. 431 Primary pollutants like BC, PM and CO showed typical characteristics of an urban environment, 432 433 i.e., diurnal variation with a morning and an evening peak. However, Lumbini data shows higher concentrations in the evenings compared to morning hours. Elevated concentrations can be 434 linked to morning and evening cooking hours for BC and CO where emission inventory show 435 that residential sector has significant contribution. However, explanation for elevated evening 436 concentration compared to morning needs further investigation. Increase in the depth of 437 boundary layer, reduction in the traffic density on the roads, absence of open biomass burning 438 during mid-day and increasing wind speed often contribute to the dispersion of pollutants 439 resulting in lower concentration during afternoon. Diurnal variation of wind direction 440 (Supplementary information, Figure S2, upper panel) shows the dominance of wind coming from 441 south (mainly during the month of May and till mid-June). Morning and evening period 442 experienced the winds coming from the southeast direction while the winds were predominantly 443 from southwest direction during late afternoon. Increase in CO concentrations in the evening 444 hours might be due to transport of CO from source regions upwind of Lumbini which along with 445 446 the local emissions gets trapped under reduced Planetary Boundary Layer (PBL) heights. Ozone concentration was lowest in the morning before the sunrise and highest in late afternoon around 447 15:00 PM after which concentrations started declining, exhibiting a typical characteristic of a 448 polluted urban site. Photo-dissociation of accumulated NO_x reservoirs (like HONO) provides 449 450 sufficient NO concentration leading to the titration of O₃ resulting in minimum O₃ just before sunrise (Kumar et al., 2016b). The PBL height (in meters (m)) was obtained from the WRF 451 452 model as observations were not available. The study period average PBL height over Lumbini was ~ 910 m (ranging between 24.28 and 3807 m observed at 06:00 and 15:00 respectively). The 453 454 daily average PBL height obtained from the model is compared with published values (Wan et al., 2017) as shown in Figure 6, which indicate that the value is captured by our model during 455 initial measurement period and overestimated in the months of mid May onwards. As the pre-456 monsoon month advances, PBL height also increased. The monthly average PBL height was 799 457 m, 956 m and 1014 m respectively during the month of April, May and (1st-15th) June. As 458 459 presented in the figure, the monthly average diurnal variation also showed that the boundary

460 layer height was maximum during 15:00 local time during each month which coincides with the461 period of lowest concentration of the pollutants.

462 **3.3** Influence of forest fires on Lumbini air quality

3.3.1 Identification of forest fire influence over large scale using in-situ observations satellite and model data

Forest fires and agricultural biomass burning (mostly agro-residue burning in large scale) are 465 common over the South Asia and the IGP region during pre-monsoon season. North Indo-466 Gangetic region is characterized by fires even during the monsoon and post-monsoon season 467 468 (Kumar et al., 2016b;Putero et al., 2014). These activities influence air quality not only over nearby regions but also get transported towards high elevation pristine environments like Mt. 469 Everest (Putero et al., 2014) and Tibet (Cong et al., 2015a;2015b). So, one of the main objectives 470 of this study was to identify the influence of open burning on Lumbini air quality. Average wind 471 speed during the whole measurement period was 2.4 m s⁻¹. Based on this data, open fire counts 472 within the grid size of 200×200 km centering over Lumbini was used for this analysis assuming 473 474 that the emissions will take a maximum period of one day to reach our monitoring site. Forest fire counts were obtained from MODIS satellite data product Fire Information for Resource 475 476 Management System (FIRMS). More on this product has already been described by Putero et al. (2014). Figure 7 shows the daily average $\Delta BC/\Delta CO$ ratio, aerosol absorption Ångstrom 477 exponent (AAE) which is derived from Aethalometer data and daily open fire count within the 478 479 specified grid. The green box in the figure is used to show two peak events (presented earlier in 480 Fig. 3) with the elevated BC and CO concentrations observed during the monitoring period. The first peak was observed during 7-9 April and second peak during 3-4 May, 2013. Two pollutants 481 482 having biomass burning as the potential primary source: BC and CO were taken in consideration. High AAE values (~ 1.6) during these two events are also an indication of presence of BC of 483 484 biomass burning origin. The chemical composition of TSP filter samples collected at Lumbini also showed higher concentration of Levoglucosan, a biomass burning tracer in Lumbini during 485 the pre-monsoon season as compared to other seasons of the year (Wan et al., 2017). Wan et al. 486 (2017) also reported that the higher correlation between K^+ with tracers of dust (Ca²⁺ and Mg²⁺) 487 488 indicated that dust is the main source of potassium in Lumbini.

489 Contrary to our expectation, we could not observe any significant influence of forest fire within 490 the specified grid of 200x200 km (or the influence of local forest fire on the air quality over 491 Lumbini was not observed). Therefore, a wider area, covering South and Southeast Asian regions, was selected for the forest fire count. Figure 8 (A-B) shows the active fire hotspots from 492 MODIS, over the region, during the peak events which shows the first peak could have occurred 493 due to the forest fire over the eastern India region whereas the second peak was influenced by the 494 forest fire over western IGP region. Moreover, in order to strengthen our hypothesis, we have 495 utilized satellite data products for various gaseous pollutants like CO and NO₂ (Atmospheric 496 Infrared Sounder (AIRS) for CO and Ozone Monitoring Instrument (OMI) for NO₂ both 497 obtained from Giovanni platform). Figure 8 (C-H) shows the daytime total column CO before, 498 during and after occurrence of two events (peaks) as stated earlier. Atmospheric Infrared 499 Sounder (AIRS) satellite with daily temporal resolution and 1°×1° spatial resolution have been 500 501 utilized to understand the CO concentration over the area. CO concentration over Lumbini during both of the peaks confirmed the role of open fires over the IGP region for elevated 502 concentration of CO in Lumbini. To further strengthen our finding, the aid of HYSPLIT back 503 504 trajectories plots was taken. Figure 8 (I-J) represent the 6-hourly back trajectories only for these two events respectively. However, the back trajectories (during both events) indicated that the air 505 mass passed over the fire events in the north western IGP. We note that using back trajectories to 506 identify source regions are also uncertain as identified by Jaffe et al. (1997). Figure 8 (K) shows 507 508 model biomass CO peak coincident with observed CO. Although the magnitudes are significantly different, the timing of the peaks is well captured by the model. This, we believe, is 509 510 due to the fact that satellite based open fire detection also has limitation as it does not capture numerous small fires that are prevalent over south Asia which usually burn out before the next 511 512 satellite overpass. More research is needed to assess the influence of these small fires on regional air quality. 513

In a separate analysis (not shown here), elevated O_3 concentration during these two events were also observed. Average O_3 concentration before, during and after the events were found to be 46.2 ± 20.3 ppbv, 53.5 ± 31.1 ppbv and 50.3 ± 20.9 ppbv respectively (Event-I) whereas it was found to be 54.8 ± 23.8 ppbv, 56.7 ± 35 ppbv and 55.6 ± 13.4 ppbv respectively (Event-II). Increased ozone concentrations during the high peak events have been analyzed using the

satellite NO₂ concentration over the region considering the role of NO₂ as precursor for ozone 519 formation. Daily total column NO₂ were obtained from OMI satellite (data available at the 520 Giovanni platform; <u>http://giovanni.gsfc.nasa.gov/giovanni/</u>) at the spatial resolution of 521 $0.25^{\circ} \times 0.25^{\circ}$. Figure 9 shows the NO₂ column value before, during and after both events. Even for 522 the NO_2 , maximum concentrations were observed during these two special events. It is likely that 523 the local as well as regional pollution (transported from NW IGP region as indicated by synoptic 524 525 wind in Figure S8) contributed to the ozone peak. However, we are not able to quantify the individual contributions, even with the model simulation, because ozone was not simulated in 526 527 this experiment.

528 3.3.2 Identifying regional and local contribution

529 WRF-STEM model has been used to identify the anthropogenic emission source region influencing the air quality over Lumbini. As previously explained, the model is able to capture 530 531 the observed CO concentration when intense open burning events were not present. A recent study (Kulkarni et al., 2015) has explored the source region contribution of various pollutants 532 over the Central Asia using similar technique. Figure 10 (A) shows the average contribution 533 from different regions on CO concentration over Lumbini during the whole measurement period. 534 535 Major share of CO was from the Ganges valley (46%) followed by Nepal region (25%) and rest of Indian region (~17.5%). Contribution from other South Asian countries like Bangladesh and 536 Pakistan were ~ 11% whereas China contributed for ~1% of the CO concentration in Lumbini. 537 Regarding the monthly average contribution, the Ganges Valley and Nepal's contribution were 538 almost equal during the month of April (~34% and ~37% respectively) but increased for the 539 Ganges Valley region during the month of May (~44%) and got reduced for Nepal region 540 (~25%) (Figure S9). 541

Figure 10 (B) is the time series of percentage contribution to total CO concentration during whole measurement period showing different air mass arriving at a 3 hourly intervals. During the whole measurement period, majority of the CO reaching Lumbini were from the Ganges valley (mainly the states of Punjab, Haryana, Uttar Pradesh, Bihar and West Bengal) region with the contribution sometimes reaching up to ~80%. Other India (central, south, east and north) regions also contributed significantly. Bangladesh's contribution in CO loading was seen only after mid-

April lasting for only about a week and after the first week of May. The contribution from 548 Bangladesh was sporadic comparing to other regions. Highest contribution from this Bangladesh 549 550 region was observed after the first week of June with the arrival of monsoonal air mass. Pakistan also contributed for the CO loading significantly. Others region as mentioned in the figure 551 covered the regions like Afghanistan, Middle east, West Asia, East Asia, Africa and Bhutan. 552 Contributions from these regions were less than 5%. Contribution from China was not evident 553 554 till the first week of June where a specific air mass arrival shows contribution reaching up to 25% of total CO loading. 555

A sensitivity analysis was performed for emission uncertainty in the model grid containing 556 557 Lumbini. Lumbini and surrounding regions in the recent years has seen significant rise in urban activities and industrial activity and related emissions which may not be accurately reflected in 558 559 the HTAPv2 emissions inventory. A month long simulation was carried out with emissions from Lumbini and the surrounding four grids off and another simulation with Lumbini and 560 561 surrounding four grid's emissions increased by 5 times the amount from HTAPv2 emissions inventory. The results are shown in Figure 10 (C) as percentage increase or decrease compared 562 to model results using the current HTAPv2 emissions inventory. The black line shows 563 concentration as 100% for the current HTAPv2 emissions inventory. Despite making Lumbini 564 565 and the surrounding grids emissions zero, model calculation shows pollutant concentration on average is still about 78% of the original value indicating dominance of background and regional 566 567 sources compared to local source in the model. Increasing emissions 5 times for the Lumbini and 568 surrounding four grids only increases the concentration on average by 151%. Thus uncertainty in 569 emissions are not a local uncertainty for Lumbini rather for the whole region which needs to be better understood for improving model performance against observations at Lumbini. 570

571 **3.4** Does fossil fuel or biomass influence the Lumbini air?

The aerosol spectral absorption is used to gain insight into nature and potential source of black carbon. This method enables to analyze the contributions of fossil fuel combustion and biomass burning contributions to the observed BC concentration (Kirchstetter et al., 2004). Besides BC, other light absorbing (in the UV region) aerosols are also produced in course of combustion, collectively termed as organic aerosols (often also called brown carbon or BrC) (Andreae and 577 Gelencsér, 2006). Figure 11 shows the comparison of normalized light absorption as function of the wavelength for BC observed at Lumbini during cooking and non-cooking hours and also for 578 579 the both events. Our results are compared with the published data of Kirchstetter et al. (2004) and that observed over a village center site of Project Surya in the IGP (Praveen et al., 2012) 580 (figure not shown). We discuss light absorption data from two distinct times of the day. The 581 main reason behind using data during 07:00-08:00 h and 16:00-17:00 h is these periods represent 582 highest and lowest ambient concentration (Fig. 5). Also these period represent cooking and non-583 cooking or high and low vehicular movement hours (Praveen et al., 2012). To understand the 584 influence of biomass and fossil fuel we plotted normalized aerosol absorption at 700 nm 585 wavelength for complete aethalometer measured wavelengths in Fig. 11. Kirchstetter et al. 586 (2004) reported OC absorption efficiency at 700 nm to be zero. Thus we normalized measured 587 absorption spectrum by 700 nm wavelength absorption. Since aethalometer does not provide 700 588 nm wavelength absorption values, we used methodology followed by Praveen et al. (2012). Our 589 results show that the normalized absorption for biomass burning aerosol is ~3 times higher at 590 370 nm compared to that at 700 nm whereas fossil fuel absorption is about 2.6 times higher at 591 592 the same wavelength. In addition, the curve obtained for the both events are inclined towards the published biomass burning curve. The normalized curve obtained during both cooking and non-593 594 cooking period lies in between the standard curve of Kirchstetter et al. (2004). As shown in Fig. 11, the curve obtained for the prime cooking time is closer towards the published curve on 595 596 biomass burning whereas that obtained during the non-cooking time is closer towards the published fossil fuel curve. Similar result was also observed over the Project Surva village in the 597 598 IGP region (Praveen et al., 2012; Rehman et al., 2011). This clearly indicates there is contribution of both sources: biomass as well as fossil fuel on the observed BC concentration over Lumbini. 599

In order to identify fractional contribution of biomass burning and fossil fuel combustion to observed BC aerosol, we adopted the method described by Sandradewi et al. (2008). Wavelength dependence of aerosol absorption coefficient (b_{abs}) is proportional to $\lambda^{-\alpha}$ where λ is the wavelength and α is the absorption Ångstrom exponent. The α values ranges from 0.9-2.2 for fresh wood smoke aerosol (Day et al., 2006) and between 0.8-1.1 for traffic or diesel soot (references in Sandradewi et al. (2008)). We have taken α value of 1.86 for biomass burning and 1.1 for fossil fuel burning as suggested by previous literature (Sandradewi et al., 2008). Figure 607 12 shows diurnal variation of the biomass burning BC. Minimum contribution of biomass burning to total BC concentration was observed during 04:00-06:00 local time (only about 30% 608 609 of the total BC). As the cooking activities start in morning, the contribution of biomass BC starts to increase and reaches about 50%. Similar pattern was repeated during evening cooking hours. 610 Only during these two cooking periods, fossil fuel fraction BC was lower. Otherwise it remained 611 significantly higher than biomass burning BC throughout the day. On average, ~40% of BC was 612 from biomass burning whereas remaining 60% was contributed by fossil fuel combustion during 613 our measurement period. Interestingly, this is the opposite of the contributions that were 614 concluded by Lawrence and Lelieveld (2010). Lawrence and Lelieveld (2010) concluded that 615 ~60% BC from biomass versus ~40% fossil fuel, based on a review of numerous previous 616 studies to be likely for the outflow from Southern Asia during the winter monsoon. When we 617 compared observed Ångstrom exponent with Praveen et al. (2012), we noticed that Lumbini 618 values were lower than Project Surya Village center site. This implies Surya village center had 619 higher biomass fraction, also it was observed absorption Ångstrom exponent exceeded 1.86 620 during cooking hours which indicates 100% biomass contribution. The difference is attributed to 621 622 the fact that Lumbini sampling site is not a residential site like Surya village which can capture cooking influence efficiently. Further Lumbini sampling site is surrounded by commercial 623 activities such as a local bus park, hotels, office buildings and industries and brick kilns slightly 624 further away. Although the reason for this difference is not clear, it is an indication of the 625 626 important role of diesel and coal emissions in the Lumbini and upwind regions.

627 4. Conclusions

Our measurements, a first for the Lumbini area, have shown very high air pollution at Lumbini. 628 Black carbon (BC), carbon monoxide (CO), ozone (O_3) and particulate matter (PM₁₀, PM_{2.5} and 629 PM1) were measured during the pre-monsoon of 2013 as a regional site of the SusKat-ABC 630 *campaign*. Average pollutant concentrations during the monitoring period were found to be: BC: 631 4.9±3.8 μg m⁻³; CO: 344.1±160.3 ppbv; O₃: 46.6±20.3 ppbv; PM₁₀: 128.8±91.9 μg m⁻³ PM_{2.5}: 632 53.14 \pm 35.1 µg m⁻³ and PM₁: 36.6 \pm 25.7 µg m⁻³ which is comparable with other urban sites like 633 Kanpur and Delhi in the IGP region. However, our study finds higher fraction of coarse mode 634 PM in Lumbini as compared to other sites in the IGP region. In addition, $\Delta BC/\Delta CO$ ratio 635 636 obtained in Lumbini was within the range of emission from both residential and transportation

sectors, indicating them as potential key sources of BC and CO, and likely most of PM_1 in 637 Lumbini. The diurnal variation of the pollutants is similar to that of any urban location, with 638 639 peaks during morning and evening. However, our results show higher evening concentration compared to morning concentration values and needs further research to explain this behavior. 640 During our measurement period, air quality in Lumbini was influenced by regional forest fires as 641 shown by chemical transport model and satellite data analysis. A regional chemical transport 642 model, WRF-STEM was used to understand observations. Inter-comparison of WRF-STEM 643 model outputs with observations showed that the model underestimated the observed pollutant 644 concentrations by a factor of ~ 1.5 to 5 but was able to capture the temporal variability. Model 645 uncertainties are attributed mostly to uncertainties in meteorology and regional emissions as 646 shown from sensitivity analysis with local emissions. Region-tagged CO as air-mass tracers are 647 employed in WRF-STEM model to understand the anthropogenic emission source region 648 influencing Lumbini. Our analysis shows that the adjacent regions; mostly the Ganges valley, 649 other parts of India and Nepal accounted for the highest contribution to pollutant concentration in 650 the Lumbini. The normalized light absorption curve clearly indicated the contribution to BC in 651 652 Lumbini from both sources: biomass as well as fossil fuel. On average, ~40% BC was found to be from the biomass burning and ~60% from fossil fuel burning. 653

654 Various improvements and extensions would be possible in future studies. More reliable functioning of the AWS (temperature and RH sensor, rain gauge) would have allowed more in-655 656 depth analysis of the relationship between meteorological parameters and pollutants concentration. Continuous measurements of air pollutants throughout the year would allow for 657 658 annual and seasonal variation study. Improvements in the model are much needed in its ability to simulate observed meteorology. Significant uncertainty lies with regional emissions inventory 659 developed at national and continental scale versus local bottoms up inventory and pollutant 660 emissions from small scale open burning not captured by satellites. There is a clear need for 661 setting up of a continuous air quality monitoring station at Lumbini and the surrounding regions 662 663 for long-term air quality monitoring.

664 Data availability

665 The data used for this manuscript can be obtained by sending an email to the corresponding (Maheswar.Rupakheti@iass.potsdam.de) 666 and/or to IASS and/or to ICIMOD authors 667 (arnico.panday@icimod.org). Modeling data can be obtained from Β. Adhikary (Bhupesh.adhikary@icimod.org). 668

669 Authors' contributions

M.R. and M.L. conceived the Lumbini portion of the SusKat experiment. M.R. and A.K.P.
coordinated the Lumbini field campaign. D.R. and K.S.M conducted the field observations at
Lumbini. B.A. designed and ran the WRF-STEM model. P.S.P., B.A. and D.R. finalized the
manuscript composition. D.R., P.S.P, B.A., M.R. and S.K. conducted the data analysis. D.R. and
B.A. prepared the manuscript with inputs from all coauthors.

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Instrument (Model)	Manufacturer	Parameters	Inlet/sensor height (above ground)	Sampling interval	Sampled period
Environmental Dust monitor (EDM 164)	GRIMM Aerosol Technik, Germany	PM ₁ , PM _{2.5} , PM ₁₀	5 m	5 min	04/02-05/10, 06/02-06/13
Aethalometer (AE42)	Magee Scientific, USA	Aerosol light absorption at seven wavelengths, and BC concentration	3 m	5 min	01/04-05/06
CO analyzer (48 <i>i</i>)	Thermo Scientific, USA	CO concentration	3 m	1 min	01/04-15/06
O_3 analyzer (49 <i>i</i>)	Thermo Scientific, USA	O ₃ concentration	3 m	1 min	01/04-15/06
Automatic Weather Station (AWS)	Campbell Scientific, UK	T, RH, WS, WD, Global Radiation, Precipitation	12 m	1 min	01/04-15/06

Table 1. Summary of instruments deployed during monitoring in Lumbini

Sites	Characteristics	Measurement period	PM _{2.5} (μg m ⁻³)	BC (µg/m ³)	CO (ppbv)	O ₃ (ppbv)	References
Lumbini, Nepal	Semi-urban	Pre-monsoon, 2013	53.1±35.1	4.9±3.8	344.1±160.3	46.6±20.3	This study
Kathmandu, Nepal	Urban	Pre-monsoon, 2013	_	14.5±10	-	38.0±25.6	(Putero et al., 2015)
Mt. Everest, Nepal	Remote	Pre-monsoon	-	0.4±0.4	-	61.3±7.7	(Marinoni et al., 2013)
Delhi, India	Urban	Pre-monsoon (night-time)	82.3±50.5	7.70±7.25	1800±890	-	(Bisht et al., 2015)
Kanpur, India	Urban	June 2009-May 2013, April-June	-	2.1±0.9	721±403	27.9±17.8	(Gaur et al., 2014) (Ram et al., 2010)
Mohali, India	Semi-urban	May, 2012	104 ± 80.3	-	566.7±239.2	57.8±25.4	(Sinha et al., 2014)
Mt. Abu, India	Remote	Jan 1993-Dec 2000, pre-monsoon	_	0.7±0.14	131±36	39.9±10.8	(Naja et al., 2003) (Das and Jayaraman, 2011)

Table 2. Comparison of PM_{2.5}, BC, CO and O₃ concentrations at Lumbini with those at other sites in South Asia

Table 3. Inter-comparison of observed and model simulated hourly average concentrations of air 962 pollutants during the measurement campaign period. Unit: BC and PM in μ g/m³ and CO in ppbv.

Pollutants	Observed (mean and range)	Modeled (mean and range)	Ratio of mean (observed/modeled)
BC	4.9 (0.3-29.9)	1.8 (0.4-3.7)	2.7
\mathbf{PM}_{1}	36.6 (3.6-197.6)	12.3 (0.9-41.7)	3
PM _{2.5}	53.1 (6.1-272.2)	17.3 (1.9-48.3)	3
PM ₁₀	128.8 (10.5-604.0)	25.4 (2.1-68.8)	5
СО	344.1(124.9-1429.7)	255.7 (72.2-613.1)	1.35

965 Figures



967 Figure 1. Monthly synoptic wind (at 1000 hPa) for April, May and June 2013, based on 968 NCEP/NCAR reanalysis data where the orientations of arrows refer to wind direction and the 969 length of arrows represents the magnitude of wind (m/s). Red square box in the figure (left) 970 represents the location of Lumbini. Figures on the right side represent monthly aerosol optical 971 depth acquired with the MODIS instrument aboard TERRA satellite. High aerosol loading can be 972 seen over the entire Ingo-Gangetic Plains (IGP). Light gray color used in the figure represents 973 the absence of data.

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Figure 2. Time series of hourly average observed (red) and model estimated (blue)
meteorological parameters at Lumbini, Nepal for the entire sampling period from 1 April to 15
June 2013.



Figure 3. Time series of the observed (red line) and model estimated (blue line) hourly average concentrations of BC, PM_1 , $PM_{2.5}$, PM_{10} , O_3 and CO at Lumbini, Nepal for the entire sampling period from 1 April to 15 June 2013.



Figure 4. Comparison of BC concentrations to CO concentrations ($\Delta BC/\Delta CO$) ratios obtained

987 for Lumbini with other sites. The red horizontal bar represents standard deviation.



Figure 5. Diurnal variations of hourly average ambient concentrations of BC, PM_1 , $PM_{2.5}$, PM_{10} , O₃ and CO at Lumbini during the monitoring period (1 April -15 June 2013). In each box, lower and upper boundary of the box represents 25th and 75th percentile respectively, top and bottom of the whisker represents 90th and 10th percentile respectively, the mid-line represents median, and the square mark represents the mean for each hour.



Figure 6. Daily time series of PBL height obtained from the model and reported values over
Lumbini (obtained from Xin et al., 2017). The lower panel shows the monthly average diurnal
variation of the PBL height. The square mark in each box represents the mean PBL height,
bottom and top of the box represents 25th and 75th percentile, top and bottom of the whisker
represents 90th and 10th percentile respectively.



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Figure 7. Time series of daily average $\Delta BC/\Delta CO$ ratio, absorption Ångstrom exponent (AAE), along with fire counts acquired with the MODIS instrument onboard TERRA satellite for a 200×200 km grid centered at Lumbini. Two rectangular green boxes represent time of two episodes with high peaks in CO and BC concentrations as shown in earlier figures.



- Figure 8. Active fire hotspots in the region acquired with the MODIS instrument on Aqua satellite during (A) Event-I (7-9 April) and (B) Event-II (3-4 May). CO emissions, acquired with
- (1)
- 1011 AIRS satellite, in the region two days before (3-5 April), during (7-9 April) and two days after
- 1012 (10-12 April) the Event-I are shown in panels (C), (E) and (G), respectively while panels (D), (F)
- and (H) show CO emissions two days before (1-2 May), during (3-4 May) and two days after (5-
- 1014 6 May) the Event-II. Panels (I) and (J) represent the 6-hr interval HYSPLIT back trajectories
- 1015 during Event I and II, respectively. Location of the Lumbini site is indicated by the red star in the
- 1016 panel (I and J). Observed CO versus Model open burning CO illustrating the contribution of
- 1017 forest fires during peak CO loading is shown in panel (K).
- 1018



Figure 9. NO₂ total column obtained with OMI satellite over the region (a) before, (b) during,
and (c) after the Event- I. The panels (d), (e), (f) show NO₂ total column before, during and after
the Event- II.







Figure 10. (A) WRF-STEM model estimated contributions of various source regions to average
CO concentration in Lumbini for the sampling period, (B) time series of region tagged CO tracer
during the whole measurement period using HTAP emission inventory and (C) Figure showing
percentage increase/decrease in CO concentration with different emissions scenario.



Figure 11. Comparison of normalized spectral light absorption coefficients obtained during the
prime cooking (07:00-08:00 local time) and non cooking time (16:00-17:00 LT) at Lumbini with
published data from Kirchstetter et al. (2004).



Figure 12. Diurnal variation of the fractional contribution of biomass burning to ambient BC concentration at Lumbini for the measurement period. In each box, lower and upper boundary of the box represent 25th and 75th percentile, respectively, top and bottom of the whisker represents 90th and 10th percentile, respectively. The mid-line in each box represents median while the square mark represents the mean for each hour.