1 Response of winter fine particulate matter concentrations to

2 emission and meteorology changes in North China

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1 Abstract

2 The winter haze is a growing problem in North China, but the causes have not been well 3 understood. The chemistry version of the Weather Research and Forecasting model (WRF-Chem) was applied in North China to examine how PM_{2.5} concentrations change in response to 4 5 changes in emissions (sulfur dioxide (SO₂), black carbon (BC), organic carbon (OC), ammonia 6 (NH_3) , and nitrogen oxides (NO_x)), as well as meteorology (temperature, relative humidity (RH), 7 and wind speeds) changes in winter. From 1960 to 2010, the dramatic changes in emissions lead to +260% increases in sulfate, +320% increases in nitrate, +300% increases in ammonium, 8 9 +160% increases in BC and +50% increases in OC. The responses of PM_{2.5} to individual emission specie indicate that the simultaneous increases in SO_2 , NH_3 and NO_x emissions 10 11 dominated the increases in PM2.5 concentrations. PM2.5 shows more notable increases in response to changes in SO₂ and NH₃ as compared to increases in response to changes in NO_x 12 emissions. In addition, OC also accounts for a large fraction in PM_{2.5} changes. These results 13 provide some implications for haze pollution control. The responses of PM_{2.5} concentrations to 14 temperature increases are dominated by changes in wind fields and mixing heights. PM_{2.5} shows 15 relatively smaller changes in response to temperature increases and RH decreases, compared to 16 changes in response to changes in wind speed and aerosol feedbacks. From 1960 to 2010, aerosol 17 feedbacks have been significantly enhanced, due to higher aerosol loadings. The discussions in 18 19 this study indicate that dramatic changes in emissions are the main cause of increasing haze events in North China, and long-term trends in atmospheric circulations maybe another 20 important cause since PM_{2.5} is shown to be substantially affected by wind speed and aerosol 21 22 feedbacks. More studies are necessary to get a better understanding of the aerosol-circulation 23 interactions.

1 **1 Introduction**

 $PM_{2.5}$ (particulate matter with diameter equal to or less than 2.5µm) is a main air pollution 2 3 concern due to its adverse effects on public health (Gao et al., 2015; Pope et al., 2009). Pope et al. (2009) estimated that a decrease of $10 \mu g PM_{2.5}$ is related to about 0.6 year mean life 4 expectancy increase. PM_{2.5} is also associated with visibility reduction and regional climate 5 6 (Cheung et al., 2005). Many cities in North China are experiencing severe haze pollution with 7 exceedingly high PM_{2.5} concentrations. In January 2010, a regional haze occurred in North China and maximum hourly $PM_{2.5}$ concentration in Tianjin was over $400\mu g/m^3$ (Zhao et al., 2013). In 8 9 January 2013, another unprecedented haze event happened, and the daily PM_{25} concentrations in some areas of Beijing and Shijiazhuang reached over 500µg/m³ (L. T. Wang et al., 2014), and 10 instantaneous $PM_{2.5}$ concentration at some urban measurement sites were over $1000 \mu g/m^3$ 11 (Zheng et al., 2015). 12

It is well known that particulate matter levels are strongly influenced by emissions and 13 meteorological conditions (Steiner et al., 2006). The PM in the atmosphere can be directly 14 emitted from sources like wildfires, combustion, wind-blown dust, and sea-salt, or formed from 15 16 emitted gases through secondary aerosol formation mechanisms. Meteorology affects PM levels via changing emissions, chemical reactions, transport and deposition processes (Mu and Liao, 17 2014). For example, increasing wildfire emission in North America is mainly caused by warmer 18 19 temperatures and precipitation changes (Dawson et al., 2014), and increased temperature leads to higher biogenic emissions, which are important precursors of secondary organic aerosols 20 (Dawson et al., 2014; Heald et al., 2008; Jacob and Winner, 2009). Increasing temperature also 21 22 increases sulfate concentration due to the temperature dependence of SO_2 oxidation and resulting higher SO₂ oxidation rates (Aw and Kleeman, 2003; Dawson et al., 2007), and semi-volatile 23

aerosols may decrease due to evaporation under higher temperature (Sheehan and Bowman,
2001; Dawson et al., 2007; Tsigaridis and Kanakidou, 2007). Higher relative humidity (RH)
favors the formation of nitrate and increasing precipitation decreases all PM species via wet
scavenging (Dawson et al., 2007; Tai et al., 2010). Furthermore, increasing clouds promote incloud sulfate production (Tai et al., 2010), and changes in wind speed and mixing height
determines the dilution of primary and secondary PM (Jimenez-Guerrero et al., 2012; Megaritis
et al., 2014; Pay et al., 2012).

With rapid economic and industrial developments, emissions in China have grown during the 8 9 past years. It is estimated that NO_x emissions in China increased by 70% from 1995 to 2004 (Zhang et al., 2007), Black Carbon (BC) by ~50% from 2000 to 2010 (Lu et al., 2011), Organic 10 11 Carbon (OC) by ~30% from 2000 to 2010 (Lu et al., 2011), and SO₂ by ~60% from 2000 to 2006 12 (Lu et al., 2011). Apart from emission changes, it was observed that the winter is warming up in 13 China, especially in the northern part (Guo et al., 2013; Hu et al., 2003; Ren et al., 2012). In 14 addition, wind speed in North China has lowered (Shi et al., 2015; Wang et al., 2004) and RH 15 has decreased in China (Song et al., 2012; Wang et al., 2004).

16 Many studies have investigated the impacts of emission changes on aerosol formation

17 (Aksoyoglu et al., 2011; Andreani-Aksoyoglu et al., 2008; Megaritis et al., 2013; Tsimpidi et al.,

18 2012a; Tsimpidi et al., 2012b) and the effects of climate/meteorology changes on $PM_{2.5}$

19 concentrations (Dawson et al., 2007; Megaritis et al., 2013; Megaritis et al., 2014; Tagaris et al.,

20 2007; Tai et al., 2012a; Tai et al., 2012b) in Europe and in the United States. The haze pollution

21 is growing in China, especially in North China, but the causes of the growth are not well

22 understood. For haze pollution in China, it has been reported that aerosol feedbacks that change

radiation and temperature can worsen pollution (Gao et al., 2016; Pet äj ä et al., 2016; Xing et al.,

2015c; Zhang et al., 2015). In addition, the connections between haze and meteorological
conditions have been established in many former studies (Fu et al., 2014; Jia et al., 2015; Leng et al., 2015; C. Li et al., 2015; Wang and Chen, 2016; Yang et al., 2016; X. Y. Zhang et al., 2015;
Zhang et al., 2016). However, the roles of the large emission changes during the last 4 to 5
decades and the observed meteorology changes in North China are not known.

The main objective of this study is to investigate the responses of PM_{2.5} and its major species to 6 7 changes in emissions, including SO₂, BC, OC, NO_x and NH₃, and to temperature, RH and wind speed changes in North China region. Winter haze in North China has a large contribution from 8 secondary inorganic aerosols, and secondary inorganic aerosols are influenced by emissions, 9 10 temperature and RH. The models used in previous studies of emissions and meteorology 11 perturbations referenced above are all offline models, which are not capable of considering the 12 feedbacks of changing meteorology on other meteorological variables, and the impacts of 13 aerosols on meteorology. However, as pointed by Gao et al. (2016) and J. Wang et al. (2014) 14 aerosol feedbacks should not be neglected when modeling aerosols in China. In this study, we 15 consider aerosol feedbacks using the fully online coupled WRF-Chem model.

This paper is organized as follows. First, the WRF-Chem model, model settings and domain settings are briefly described and then in the next section, emission changes from 1960 to 2010 and accordingly $PM_{2.5}$ changes are discussed. After that, the responses of $PM_{2.5}$ to changes in each emission species are analyzed. At last, the impacts of temperature, RH and wind speed changes on $PM_{2.5}$ are analyzed and discussed.

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1 2 Methodology

2 2.1 WRF-Chem model

The WRF-Chem model is the chemistry version of the Weather Research and Forecasting model, 3 which is fully online coupled that allows gases and aerosols simulations at the same time as 4 5 meteorology simulations. The gas phase mechanism used in this study is the Carbon Bond 6 Mechanism version Z (CBM-Z), which includes 67 species and 164 reactions (Zaveri and Peters, 7 1999; Zaveri et al., 2008). The gas-particle partitioning module used is the MOSAIC module, 8 which considers all important aerosol components, such as sulfate, nitrate, ammonium, BC, and 9 OC (Zaveri et al., 2008). Eight size bins version of MOSAIC was used and the aerosol sizes ranged from 0.039µm to 10µm. CBMZ-MOSAIC has been proved to be capable of simulating 10 air quality in many previous studies all over the world. Furthermore, the CBMZ-MOSAIC 11 configuration in WRF-Chem enables us to include aerosol feedbacks with the meteorology in 12 13 winter haze in a comprehensive manner. The current implementation does not include the secondary organic aerosol formation, and this limitation is discussed later in the paper. Wind-14 blown dust was modeled online using the AFWA scheme. Two nested domains with 81km and 15 27km horizontal grid resolutions from outer to innermost and 27 vertical grids were used (Figure 16 S1 in supplementary material). Analysis nudging of meteorology variables was used for the outer 17 domain. In meteorological perturbation cases, the analysis nudging in outer domain includes 18 19 perturbations in meteorological variables. The model physics configurations generally follow the settings in Gao et al. (2016). Inputs into the model include meteorological boundary and initial 20 conditions (BCs and ICs) from NCEP FNL 1 °×1 ° data and chemical boundary and initial 21 22 conditions from MOZART model simulations (Emmons et al., 2010). Chemical BCs and ICs are not changing along with the sensitivity simulations, but the studied domain (innermost domain) 23

1	takes boundary conditions from outer main, where emissions are perturbed. The anthropogenic
2	emission inventory used is the MACCity (MACC/CityZEN EU projects) emissions dataset,
3	which provides monthly CO, NO _x , SO ₂ , VOC, BC, OC, and NH ₃ emissions from different
4	sectors for years between 1960 and 2020 (Granier et al., 2011). We compared the MACCity
5	emission inventory for 2010 (Granier et al., 2011) with the MIX emission inventory for 2010 (M.
6	Li et al., 2015) in the China region, and the magnitudes of emissions in China from these two
7	datasets are very close. For example, the SO_2 emissions in China in 2010 were estimated to be
8	28663 Gg in the MIX emission inventory, and were 26876.3 Gg in the MACCity emission
9	inventory. Simulations for evaluating roles of emission changes were conducted using emissions
10	for year 1960 and year 2010. We assigned emissions to the first 6 layers from surface based on
11	sectors. For example, emissions from large point sources (such as chimneys) were assigned to
12	higher layers. Biogenic emissions were estimated online using the MEGAN model (Guenther et
13	al., 2006). The simulation period was January 2010 and five days in previous month were
14	modeled as spin-up to overcome the influences of initial conditions.

2.2 Sensitivity experiments

We explored the sensitivities of PM_{2.5} concentrations during the month of January 2010 to
changes in emissions and meteorology features through a series of simulations using 1960 and
2010 emission baselines. Specifically, the influences of emission changes of SO₂, BC, OC, NH₃,
and NO_x, and meteorology (temperature, RH and wind speeds) changes on PM_{2.5} and its major
species were evaluated using a series of simulations. They are listed and explained in Table 1.
All base simulations use meteorology of January 2010. CTL case uses emissions for year 1960

1	and EMI2010 case uses emissions for year 2010. SO_2 , NH_3 , and NO_x emissions were perturbed
2	separately from 1960 to 2010 (i.g., SO ₂ -2010, NH ₃ -2010, and NO _x -2010 cases). In the CTL_NF
3	and EMI2010_NF cases, aerosol-radiation interactions are excluded based on emissions for year
4	1960 and 2010. It was pointed out that surface air temperature in North China increased at the
5	rate of 0.36 $^{\circ}$ C per decade (Guo et al., 2013), the linear trends coefficient of relative humidity
6	anomaly in North China is about -0.60% per decade (Wang et al., 2004), and national mean wind
7	speed decreased 16% in the recent 50 years (Wang et al., 2004). To estimate the impacts of
8	changes in temperature, RH and wind speed that happened in the past several decades, we
9	decreased temperature by 2 degrees, increased RH by 10%, and increased wind speeds by 20%,
10	to reflect conditions of early decades (CTL_T2, CTL_RH10, CTL_WS20, EMI2010_T2,
11	EMI2010_RH10, and EMI2010_WS20 cases). These were conducted by perturbing the initial
12	and boundary conditions of these individual meteorological variables.
13	At different vertical heights, emission and meteorological variables were uniformly perturbed.
14	The changes of $PM_{2.5}$ and its major components due to perturbations in emissions and
15	meteorology are analyzed for the North China region. The North China region is defined using
16	the innermost domain (shown in Figure S1) and the statistics of changes are calculated within
17	this domain for the month of January 2010.

19 2.3 Model Verification

The WRF-Chem model performance has been evaluated using multiple observations, including
surface meteorological, chemical and optical data, and satellite data in Gao et al. (2016). The
model was shown to capture the variations of surface temperature and RH, while wind speed was

1	slightly overestimated (Gao et al., 2016), which has been reported as a common problem of
2	current WRF-Chem model under low wind speed conditions. The Root Mean Square Error
3	(RMSE) of temperature were all less than 3.2K and RMSEs of RH varied from 6.4 to 11.1%.
4	The RMSE of wind speeds were below the proposed criteria (2m/s) (Emery et al., 2001) at the
5	Beijing, Tianjin and Baoding stations, but larger than that criteria at the Chengde station. The
6	time series of simulated surface PM _{2.5} , NO ₂ , and SO ₂ showed good agreement with observations
7	as did simulated aerosol optical depth (AOD) (Gao et al., 2016). Mean Fractional Bias (MFB)
8	ranged from -21.8% to 0.4% and Mean Fractional Error (MFE) ranged from 26.3% to 50.7%
9	when comparing against $PM_{2.5}$ observations (Gao et al., 2016). In addition, the comparison
10	between model results and satellite found that the vertical distribution of aerosol and horizontal
11	distribution were captured well by the model (Gao et al., 2016). Compared with observed $PM_{2.5}$
12	composition, sulfate and OC were underestimated and nitrate was overestimated by the model
13	(Gao et al., 2016). The underestimation of sulfate may be due to underestimation of SO_2 gas
14	phase oxidation, errors in aqueous-phase chemistry, and/or missing heterogeneous sulfate
15	formation (Gao et al., 2016).

3 Results and Discussion

18 3.1 PM_{2.5} sensitivity to emission changes from 1960 to 2010

19 The emission changes of SO_2 , NO_x , NH_3 , BC and OC and resulting impacts on $PM_{2.5}$ from 1960

to 2010 were examined based on the MACCity dataset for years 1960 and 2010. Figure 1(a-e)

displays SO₂, NO_x, NH₃, BC and OC emissions for 1960 and Figure 1(f-j) shows the changes

from 1960 to 2010. Populated regions of North China, such as urban Beijing, urban Tianjin, and

urban Shijiazhuang, exhibit large emissions of SO₂, NO_x, NH₃, BC and OC in 1960. However, 1 NH₃ emissions exhibit different spatial distribution patterns from SO₂, NO_x, BC and OC 2 emissions, because NH₃ is mainly associated with agriculture while SO₂, NO_x, BC and OC are 3 mainly related with industrial and residential activities. From 1960 to 2010, SO₂, NO_x, NH₃, BC 4 5 and OC increased over the entire North China domain and markedly increased in the Jing-Jin-Ji 6 city cluster. In general, the domain averaged SO_2 emissions and NO_x emissions in North China 7 increased by $\sim 220\%$ and $\sim 990\%$ from 1960 to 2010, respectively. The domain averaged NH₃ emissions in North China increased by ~390% from 1960 to 2010, but the most significant 8 9 increases occurred not in the Jing-Jin-Ji city cluster, but in Inner Mongolia. Unlike NH3 emissions, BC emissions increased the most in urban Beijing from 1960 to 2010. This is because 10 residential sources are the biggest contributor to BC in winter (Li et al., 2016) and the population 11 in urban Beijing sharply increased with rapid urbanization. From 1960 to 2010, the mean BC 12 emissions in North China increased by ~154%. Similar to BC emissions, OC emissions increased 13 14 substantially in the center of Beijing, and the domain averaged increasing ratio is about 54% from 1960 to 2010. The enhancements of SO₂, NO_x, NH₃, BC and OC emissions in North China 15 are expected to result in substantial increase in regional PM_{2.5} concentrations. 16

Figure 2 shows the simulated monthly mean concentrations of PM_{2.5} and its major components
(sulfate, nitrate, ammonium, BC and OC) based on emissions for year 1960. As listed in Table 2,
the domain averaged concentrations of sulfate, nitrate, ammonium, BC, OC, and PM_{2.5} are 1.9,
0.8, 0.8, 1.5, 4.6, and 19.2µg/m³, respectively. For year 1960, PM_{2.5} concentrations are mainly
dominated by sulfate, OC and natural dust (the difference between PM_{2.5} and the sum of sulfate,
nitrate, ammonium, BC, OC). Figure 3 displays the changes of sulfate, nitrate, ammonium, BC,
OC, and PM_{2.5} due to changes in SO₂, NO_x, BC and OC emissions from 1960 to 2010. The

predicted monthly mean concentrations of PM_{2.5} components and PM_{2.5} increase everywhere
over the entire domain due to emission changes resulting from the rapid urbanization and
industrialization from 1960 to 2010 (Figure 3(a-f)). As listed in Table 2, the predicted monthly
domain mean sulfate increases the largest (5.0 µg/m³), followed by nitrate (2.6 µg/m³) and OC
(2.5 µg/m³).

From 1960 to 2010, the predicted BC increased by ~157% and OC increased by ~54% due to 6 7 154% increase in BC emissions and 54% increase in OC emissions. The nearly linear response of both BC and OC aerosols to their emissions is due to the omission of a secondary organic aerosol 8 formation in the chosen CBMZ/MOSAIC mechanism. Thus, both of them were treated as 9 primary aerosols in these simulations. Our previous analyses indicate that SOA contribution in 10 11 this time period was small (Gao et al., 2016). The limitation of this omission is discussed later in this paper. The domain mean $PM_{2.5}$ concentrations increased by 14.7µg/m³ and the domain 12 maximum increase is about $45\mu g/m^3$ (Figure 3(f) and Table 2). 13

To quantify how much of the changes in Figure 3 are from the impacts of boundary conditions, 14 we simulated another case (BCs_1960-2010) with the innermost domain emissions fixed in 1960 15 and the outer domain emissions changed from 1960 to 2010. This investigation focuses on how 16 emission changes in the outer domain affect results in the innermost domain, not accounting for 17 the effects of global emission changes (i.e., emissions from outside the outer domain) from 1960 18 19 to 2010. The impacts of boundary conditions mostly occur around the south boundary and show nearly no impact on PM_{2.5} in Beijing (shown in Figure S2), which are consistent with the 20 continuous weak southerly winds during the study period (Gao et al., 2016). On domain average, 21 the impacts of boundary conditions result in 5.0 ug/m³ increase in the study domain, accounting 22 for about 33.9% of the total changes in PM_{2.5}." 23

To explore how emission changes can affect haze days, we calculated the number of haze days in urban Beijing for the CTL and EMI_2010 cases, using daily mean thresholds of 35 and $75\mu g/m^3$ (China National Ambient Air Quality Grade I and Grade II Standard, L. T. Wang et al., 2014). In urban Beijing, there are 4 days when daily mean PM_{2.5} concentrations are above $35\mu g/m^3$, and 0 days with daily mean PM_{2.5} concentrations above $75\mu g/m^3$ for the CTL case. For the EMI_2010 case, these two numbers increase to 15 and 8, indicating that the large increases in emissions over the past several decades have significantly affected haze occurrences in Beijing.

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9 **3.2** Sensitivity to changes in individual emission species

The results discussed above show that in the winter period, the concentrations of secondary inorganic aerosols (sulfate, nitrate, and ammonium) has increased dramatically. Thus it is important to explore how sensitive secondary inorganic aerosol is to perturbations in precursor emissions. Three sensitivity simulations (change SO₂, NH₃ and NH₃ emissions separately) were conducted to examine how changes in emissions of each species affect aerosol concentrations. The predicted changes of PM_{2.5} and major PM_{2.5} components at the ground-level are shown in Figure 4 and monthly domain mean aerosol changes are summarized in Table 3.

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18 3.2.1 Changes in SO₂ emissions

19 Due to changes in SO_2 emissions from 1960 to 2010, domain averaged sulfate increase by

- $3.4\mu g/m^3$ (178.3%), nitrate decreases by $-0.3\mu g/m^3$ (-32.3%), and ammonium increases by
- $0.2\mu g/m^3$ (29.4%). NH₃ reacts preferentially with SO₂ (Seinfeld and Pandis, 2006) and free NH₃
- reacts with enhanced H₂SO₄ due to increasing SO₂. As a result, ammonium increases and less

HNO₃ gas is transferred to the aerosol phase, which is consistent with the responses to increasing
 SO₂ emissions in Kharol et al. (2013).

3

4 3.2.2 Changes in NH₃ emissions

As shown in Figure 4 and Table 3, changes in NH₃ emissions from 1960 to 2010 result in 5 significant increases in nitrate $(1.5\mu g/m^3, +76.0\%)$ and ammonium $(0.6\mu g/m^3, +84.0\%)$. The 6 domain mean changes of sulfate due to increase in NH₃ is close to zero (about $0.1 \mu g/m^3$), 7 because sulfate formation is only indirectly associated with NH₃ availability (Tsimpidi et al., 8 2007). The significant changes in nitrate and ammonium occurred in south Hebei, Shandong, and 9 Henan province, where anthropogenic NO_x emissions are very high (Figure 1). Although NH_3 10 emissions substantially increased in Inner Mongolia (Figure 1), responses of nitrate and 11 ammonium are not significant there due to trivial NO_x emissions. The substantial increases of 12 nitrate after NH₃ emission increase indicate that NH₃ limits the NH₄NO₃ formation in North 13 China region in this period. 14

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16 3.2.3 Changes in NO_x emissions

After changing NO_x emissions from 1960 to 2010 levels, domain mean surface PM_{2.5} decreases by about $0.2\mu g/m^3$, but the changes of individual PM_{2.5} inorganic components vary. The increase of NO_x emissions cause $0.7\mu g/m^3$ (-39.1%) decrease in monthly domain mean sulfate and the domain peak sulfate reduction is about $2.9\mu g/m^3$. The OH radical is critical in the sulfate formation in the regions where SO₂ concentrations are high and there is a competition between NO_x and VOCs to react with OH (Tsimpidi et al. 2012b). When the VOCs/NO_x concentration

1	ratio is close to 5.5:1, the OH reacts with NO_x and VOCs at an equal rate (Seinfeld and Pandis,
2	2006). When the concentration ratio is lower than 5.5:1, the OH primarily reacts with NO_x , and
3	the region with this concentration ratio is called VOC-limited region. In VOC-limited regions, an
4	increase of NO_x will cause a decrease of OH and ozone concentration. When the VOCs/NO _x
5	concentration ratio is higher than 5.5:1, the OH will preferentially react with VOCs, and the
6	region with this high ratio is called NO _x -limited region. In the NO _x -limited region, an increase of
7	NO _x will increase OH and ozone concentrations. In the simulated winter month, biogenic
8	emissions are low and NO _x emissions in North China are very high, leading to lower VOCs to
9	NO _x ratios, and it can be considered as VOC-limited region. Fu et al. (2012) pointed out that
10	north East Asia is VOC-limited in January and urban areas of Beijing are VOC-limited in both
11	January and July. The model averaged VOCs/NOx ratio changes from 4.2 to 1.2 due to emission
12	perturbations from 1960 to 2010 (shown in Figure S3). As a result, the large increases in NO_x
13	emissions from 1960 to 2010 result in a 47.9% decrease in daytime surface ozone concentration
14	and 55.6% decrease in daytime surface OH concentration, which are shown in Figure 5. Over the
15	entire domain, ozone and OH decrease due to NO_x emission increases (Figure 5). Consequently,
16	sulfate aerosol decrease over the entire domain, as shown in Figure 4(i). Decreases in sulfate
17	might also be related to changes in thermodynamics of the ammonium-sulfate-nitrate system.
18	Although OH decreases, nitrate still rises ($0.6\mu g/m^3$, +76.0%) due to the increase in NO _x
19	emissions. The domain mean ammonium decreases by about 5.1% (-0.04 μ g/m ³). The net effects
20	of NO _x emission increases bring about $0.2\mu g/m^3$ decrease in monthly domain mean PM _{2.5}
21	concentration and the domain peak decrease is about $1.1 \mu g/m^3$ (Table 3).

3.2.4 Comparison of individual changes in SO₂, NH₃ and NO_x emissions to simultaneous
 changes in all emissions

Here, we compare changes in major inorganic aerosols (i.e., sulfate, nitrate and ammonium) 3 4 when SO₂, NH₃ and NO_x emissions are perturbed individually to results when all emissions 5 (including non-methane hydrocarbon) are perturbed. As shown above, increasing SO_2 emissions significantly increases PM_{2.5} concentrations in North China region, increasing NH₃ emissions 6 also increases PM_{2.5} concentrations but to a lesser extent, and increasing NO_x emissions slightly 7 decreases PM_{2.5} concentrations. As listed in Table 3, the monthly domain mean sulfate, nitrate, 8 9 ammonium, and PM_{2.5} increases (resulted from changes in all emissions from 1960 to 2010) more than the effects of changing emissions separately. Domain mean sulfate increases by 10 5.0μ g/m³ (+264.0%), nitrate increases by 2.6 μ g/m³ (+322.5%), ammonium increases by 11 $2.3\mu g/m^3$ (295.2%) and PM_{2.5} increases by $9.9\mu g/m^3$. The simultaneous increases in emissions 12 promote dramatic increases of secondary inorganic aerosols in North China, which is partially 13 due to perturbations in VOCs and other species at the same time. 14

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16 3.2.5 Changes in BC and OC emissions

Since BC and OC are treated as primary aerosols in the chosen CBMZ/MOSAIC mechanism,
changes in their emissions do not show any impact on other aerosol components. As listed in
Table 3, monthly domain mean PM_{2.5} increases by 2.3µg/m³ and 2.5µg/m³ due to changes in their
emissions from 1960 to 2010, respectively.

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22 **3.3 Effects of temperature increases**

1 The model used in this study is a fully online-coupled model, which simulates meteorological variables and chemical variables together. Therefore, it is not possible to increase temperature 2 uniformly, as was done in previous studies using offline models (Dawson et al., 2007; Megartis 3 et al., 2013; Megartis et al., 2014). To examine the sensitivity of PM_{2.5} to temperature change 4 (reflecting the winter warming trends), in the CTL_T2 simulation we decrease temperature by 5 6 2 °C in the initial and boundary conditions to reflect conditions more like those that occurred in 1960 rather than the 2010 conditions used in CTL. As a result of these changes, the monthly 7 domain mean surface temperature increases by 2.0 °C between CTL_T2 and CTL_T2, but in a 8 9 non-uniform manner. These responses in domain temperature are partially due to aerosol feedbacks. The spatial distributions of monthly mean surface temperature and temperature 10 changes are shown in Figure 6(a). The monthly mean surface temperature increases more along 11 top left domain boundaries and less over the Bohai sea. The influence of increasing temperature 12 on biogenic emissions is included using temperature-sensitive biogenic emission model MEGAN 13 (Guenther et al., 2006). 14

15 Due to the approximated change in temperature between 1960 and 2010 as mentioned above, 16 sulfate, nitrate, ammonium and PM2.5 are predicted to increase in most areas of the domain (Figure 7). Predicted monthly mean sulfate increases by $0.06\mu g/m^3$ (+3.1%), nitrate increases by 17 $0.03\mu g/m^3$ (+4.2%), and ammonium increases by $0.02\mu g/m^3$ (+2.8%). The increases of sulfate, 18 19 nitrate and ammonium are mostly attributed to the increasing OH radicals, as shown in Figure 20 6(b). After the approximated change in temperature between 1960 and 2010, daytime OH increases by about 3.6% on domain average. It was found that higher temperature increased 21 volatilization of ammonium nitrate and partitioned it to the gas phase (Megaritis et al., 2014), but 22 23 it is not significant here due to the low temperature in winter. In addition, the increase of sulfate,

nitrate, and ammonium could be partially due to accelerated gas-phase reaction rate at higher
temperature (Dawson et al., 2007; Megaritis et al., 2014). It may be also due to enhanced
photolysis caused by decreases in cloudiness after approximated change in temperature between
1960 and 2010 (as shown in Figure S4: changes in liquid water path).

As shown in Figure 7 (d-e), the concentrations of primary aerosols (BC and OC) also increase 5 6 after the approximated change in temperature between 1960 and 2010. This is due to changes in 7 other physical parameter, such as wind direction, wind speed, and PBLHs, which are key factors in the diffusion of air pollutants. Figure 6(c) shows that monthly PBLHs in most North China 8 9 areas decrease after the approximated change in temperature between 1960 and 2010, and PBLHs over the Bohai sea decrease the most, with monthly mean decrease over 50 meters. The 10 11 monthly domain average daytime PBLHs decrease about 2.3% due to changes in temperature 12 vertical profiles. PBLHs highly depend on vertical profiles of temperature, and the resulting non-13 uniform changes in temperature modify vertical profiles of temperature, so PBLHs change. 14 Surface horizontal winds also change (Figure 6(d)), which directly affect the distributions and 15 magnitudes of PM_{2.5} concentrations in North China along with PBLH changes.

16

The responses of $PM_{2.5}$ concentrations to approximated change in temperature between 1960 and 2010 are different from the responses of sulfate, nitrate, ammonium, BC and OC (Figure 7), with decreases in northwestern regions and increases in most areas of the North China Plain. This is because natural dust is dominant in northwestern regions (as shown in Figure 2(f)), and the concentrations of natural dust decrease under lower horizontal wind speeds (Figure 6(d)). The monthly $PM_{2.5}$ concentration decreases by $0.01\mu g/m^3$ on domain average due to the approximated change in temperature between 1960 and 2010. Because of temperature increase,

the numbers of haze days (defined using the daily mean threshold 35 and 75μg/m³) in urban
 Beijing do not change.

The discussions shown above are based on emission levels in 1960. The responses to the 3 approximated change in temperature between 1960 and 2010 were also investigated based on 4 5 emission levels in 2010, and the results are shown in Figure S5, S6 and Table 3. The spatial 6 distributions of the changes are similar to the results shown above, but with larger magnitudes. The domain mean PBL heights decreases slightly more (-8.6 compared to -8.3 meters). The 7 domain mean PM_{2.5} concentrations and PM_{2.5} components exhibit larger increases in North 8 China, although daytime OH concentrations increases less $(2.6 \times 10^{-9} \text{ compared to } 3.3 \times 10^{-9} \text{ ppmv})$, 9 suggesting that the responses of PM_{2.5} concentrations are mostly due to changes in PBL heights 10 and wind fields. 11

12

13 **3.4 Effects of RH decreases**

The RH was enhanced by 10% in model initial and boundary conditions in CTL RH10 to 14 represent RH for the previous decades. As a result, the simulated monthly mean RH decreases by 15 9.3% on domain average between CTL RH10 and CTL. Due to the approximated change in RH 16 between 1960 and 2010, domain mean $PM_{2.5}$ concentration decreases by 0.7 µg/m³. As shown 17 Figure 8(a), $PM_{2.5}$ concentrations decrease in the Jing-Jin-Ji region but increase in southern areas 18 19 of the domain. The ammonium nitrate formation equilibrium depends on RH (Tai et al., 2010), so HNO₃ may be shifted to the gas phase under lower RH. In addition, the changes in RH can 20 also affect the wet deposition rate. The increases in southern areas of the domain are mainly due 21 22 to suppressed in-cloud scavenging, as the decreases in RH inhibit the formation of clouds. As shown in Figure 8(b), liquid water path (LWP) decreases by 75.0%. As a result, the in-cloud 23

scavenging loss rate decreases. The changes of predicted aerosol optical depth at 600nm are
shown in Figure 8(c). In most regions, visibility decreases due to lower RH. Because of RH
decreases, the numbers of haze days (defined using the daily mean threshold 35 and 75µg/m³) in
urban Beijing do not change. The responses to the approximated change in RH between 1960
and 2010 were also investigated based on emission levels in 2010, and the results are shown in
Figure S7 and Table 3. The responses are also similar to changes based on emission levels in
1960, but with larger magnitudes.

8

9 **3.5 Effects of wind speed decreases**

Simulations were also carried out when wind speeds in initial and boundary conditions were 10 increased in CTL_WS20 to estimate the wind speeds for the previous decades. The predicted 11 domain averaged monthly mean wind speed decreases by about 0.7 m/s between CTL_WS20 12 and CTL. As shown in Figure 9(a), the monthly mean near surface horizontal winds are 13 pronounced in mountainous areas (northwest areas of the domain) and relatively smaller in other 14 areas. Figure 9(b) shows the changes of wind speeds (CTL-WS20) due to model perturbations. 15 The predicted monthly mean PM_{2.5} concentrations decrease by $2.3\mu g/m^3$ on domain average, but 16 the responses of PM_{2.5} vary within the domain. As shown in Figure 9(c), PM_{2.5} concentrations 17 decrease in the northwestern areas because of lower production of natural dust under lower 18 horizontal wind speeds. However, in most areas of the North China Plain, PM_{2.5} concentrations 19 increase under lower wind speeds (Figure 9(c)). The domain peak increase is about $2.4 \,\mu\text{g/m}^3$, 20 which is based on low predicted PM_{2.5} concentrations using emissions for year 1960. If the 21 22 concentration in base case is higher, the responses will be enhanced. As shown in Figure 9(d), the domain maximum increases in $PM_{2.5}$ increases from 2.4 to 9.4 µg/m³. Because of wind speed 23

decreases, number of haze days that daily mean PM_{2.5} concentrations are above 35µg/m³
 increases by 1.

3

4 **3.6 Effects of changes in aerosol feedbacks**

5 As mentioned in Gao et al. (2016), high concentrations of aerosol enhance stability of boundary 6 layer and increase PM_{2.5} concentrations. Due to dramatic changes in emissions from 1960 to 2010, the strength of aerosol feedbacks may also have changed. To quantify these changes, we 7 simulated four cases (i.e., CTL, CTL_NF, EMI2010, and EMI2010_NF). CTL-CLT_NF and 8 9 EMI2010-EMI2010 NF are used to represent the contributions of aerosol radiative effects in 1960 and 2010. The changes in monthly mean daytime PBL heights and PM_{2.5} concentrations are 10 shown in Figure 10. In 1960, the domain averaged PBL height decreases by 6.7 meters due to 11 aerosol radiative effects, and the domain maximum decrease is 25.4 meters. Correspondingly, the 12 domain averaged PM_{2.5} increases by 0.1 μ g/m³ and the domain maximum increase is 0.9 μ g/m³. In 13 2010, the domain averaged PBL height decreases by 13.8 meters and the domain maximum 14 decrease is 55.2 meters (more than two times compared to 1960). Correspondingly, the domain 15 averaged PM_{2.5} increases by $0.7 \,\mu\text{g/m}^3$ and the domain maximum increase is $5.1 \,\mu\text{g/m}^3$. The 16 enhanced strength of aerosol feedbacks is another important cause of degraded aerosol pollution. 17 Thus, controlling emissions will have a co-benefit of reducing strength of aerosol feedbacks. 18 19

19

20 3.7 Implications for the effects of emission and meteorology changes on PM_{2.5}

21 concentrations

1	The simulated responses of $PM_{2.5}$ concentrations to emission changes and meteorology changes
2	presented here, along with the previous presented effects of aerosol feedbacks (Gao et al. 2016),
3	provide important implications for the causes of the dramatic increases in winter $PM_{2.5}$
4	concentrations.
5	We calculated domain maximum changes in $PM_{2.5}$ concentration averaged over four stagnant
6	days (January 16-19) owing to emission changes from 1960-2010 (EMI2010-CTL), temperature
7	increases (CTL-CTL_T2), RH decreases (CTL-CTL_RH10), wind speed decreases (CTL-
8	CTL_RH20), and aerosol feedbacks (CTL-CTL_NF). The values are 137.7, 2.0, 2.6, 7.5 and
9	$4.0\mu g/m^3$, respectively. When the perturbations are based on emission levels in 2010, domain
10	maximum changes in PM _{2.5} concentration due to temperature increases (EMI2010-
11	EMI2010_T2), RH decreases (EMI2010-EMI2010_RH10), wind speed decreases (EMI2010-
12	EMI2010_WS20), and aerosol feedbacks (EMI2010-EMI2010_NF) are 4.8, 4.7, 26.4 and
13	$25.5\mu g/m^3$. The effects of emission changes on haze formation are dominant and the effects of
14	aerosol feedbacks are comparable to the effects of wind speed decreases.
15	The comprehensive comparisons of these factors are also summarized in Table 3. Based on the
16	monthly domain mean responses of $PM_{2.5}$ concentrations to these factors, dramatic emission
17	changes due to urbanization and industrialization are the main causes of degraded air quality and
18	frequent haze occurrences in in North China. PM2.5 shows significant responses to changes in
19	SO ₂ , NH ₃ , NO _x emissions than BC and OC (about 106.3% higher). In addition, $PM_{2.5}$ shows
20	significant increases in response to changes in SO ₂ and NH ₃ emissions, as compared to increases
21	in response to changes in NO _x emissions. This region is relatively ammonia-poor in winter, so
22	reducing NH ₃ emissions might be effective, which is consistent with previous findings in Europe
23	(Megaritis et al., 2013). SO ₂ is the precursor of sulfate, which accounts for a large fraction of

1	PM in this region. Thus, they should be preferentially controlled in order to reduce $PM_{2.5}$ levels.
2	To control SO_2 emissions, the usage of natural gas or other clean energy should be promoted to
3	reduce the usage of coal. NH ₃ emissions in China are mainly from agriculture sources (about
4	90%), including livestock, fertilizer, and agricultural soil (Huang et al., 2012). Lelieveld et al.
5	(2015) found that agricultural emissions make the largest relative role in $PM_{2.5}$ concentration in
6	eastern USA, Europe, Russia and East Asia. To control NH3 emissions from agriculture sources,
7	some animal feeding and animal housing strategies should be taken. In addition, controlling
8	emissions will also have a co-benefit of reducing strength of aerosol feedbacks.
9	According to the ECLIPSE_GAINS_4a emission dataset, SO ₂ emissions in China will decrease
10	by -26%, NO _x emissions in China will increase by 19%, and NH_3 emissions in China will
11	increase by 14% from 2010 to 2030. We predicted (EMI_2030: by perturbating SO ₂ , NO _x and
12	NH_3 emissions by -26%, 19% and 14%) that these changes will lead to large decreases in winter
13	sulfate (-2.3 μ g/m ³ on domain average). Nitrate will increase by 1.5 μ g/m ³ and ammonium will
14	slightly decrease (-0.05 μ g/m ³) on domain average. The net change of domain averaged PM _{2.5}
15	concentration is not significant (- 0.8μ g/m ³), so more efforts are needed to control these important
16	gaseous precursors.
17	From the information listed in Table 3, the responses of $PM_{2.5}$ concentrations to approximated
18	changes in temperature and RH between 1960 and 2010 are not as significant as to approximated
19	change in wind speed between 1960 and 2010. From Sect. 3.3, we also found that the effects of
20	approximated changes in temperature between 1960 and 2010 on $PM_{2.5}$ concentration are

21 dominant by changes in PBLH and wind fields. Previous studies have pointed out the

22 occurrences of haze events are highly associated with atmospheric circulation anomalies (Chen

and Wang, 2015; Zhang et al., 2016). Thus, changes in atmospheric circulations may be another

important cause of growing haze pollution, in addition to emission changes. Furthermore, aerosol
 can also change atmospheric circulation, especially in severely polluted East Asia. Thus,

3 controlling emission may have co-benefits of mitigate aerosol effects on atmospheric circulation.

4 The effects of changing atmospheric circulations on winter haze pollution in China is beyond the

5 scope of this paper, but should be investigated in future studies.

6

7 **4 Summary**

8 A fully online coupled meteorological and chemical transport model, WRF-Chem was used to

9 study responses of winter $PM_{2.5}$ concentrations to changes in emissions of SO₂, BC, OC, NH₃,

and NO_x and to meteorology (temperature, RH, and wind speeds) changes in North China region,

11 where people are suffering from severe winter haze pollution.

12 The detailed historical emissions dataset MACCity for year 1960 and 2010 were used to evaluate the impacts of changes in emissions of SO₂, BC, and OC. From 1960 to 2010, the dramatic 13 14 changes in emissions lead to +264.0% increases in sulfate, +322.5% increases in nitrate, +295.2% increases in ammonium, +157.0% increases in BC and 54% increases in OC. The 15 domain mean PM_{2.5} concentrations increase by 14.7 μ g/m³ and the domain maximum increase is 16 about $45\mu g/m^3$. The responses of PM_{2.5} to individual emission species indicate that the 17 simultaneous increases in SO₂, NH₃ and NO_x emissions dominated the increases in PM_{2.5} 18 concentrations. PM_{2.5} shows significant increases in response to SO₂ and NH₃ emission changes. 19 The increases in NO_x emissions may decrease surface ozone concentration and surface OH 20 21 radical concentrations, because North China region is VOC-limited in the winter. In addition, OC accounts for a large fraction in PM_{2.5} changes. 22

The sensitivities of $PM_{2.5}$ to emission changes of its precursors provide some implications for haze pollution control. SO₂, NH₃ and OC should be preferentially controlled. In China, the residential sector, particularly biofuel usage is the primary sources of OC (Lu et al., 2011). The usage of natural gas or other clean energy should be promoted to reduce the usage of coal and biofuel to reduce SO₂ and OC. To control NH₃ emissions from agriculture sources, some animal feeding and animal housing strategies should be taken.

7 The effects of changes in winter time meteorology conditions were also studied. Emission 8 changes from 1960 to 2010 substantially increase numbers of haze days, but meteorology 9 perturbations do not show any significant impacts. The approximated changes in temperature and RH between 1960 and 2010 do change PM_{2.5} concentrations, but the strength is not as significant 10 11 as the effects of wind speed and emission changes. The effects of the approximated changes in 12 temperature between 1960 and 2010 are dominated by the changes in surface wind fields and 13 PBLHs. The effect of aerosol feedbacks is comparable to the effect of decreasing wind speeds 14 and the strength of aerosol feedbacks significantly increased from 1960 to 2010.

The above discussions indicate that aerosol concentrations are mainly controlled by atmospheric circulations, except emission changes. Thus, long-term trends in atmospheric circulations maybe another important cause of winter haze events in North China. More studies are necessary to get a better understanding of the aerosol-circulation interactions.

In our previous modeling study of the same period (January 2010), we found that SOA
contribution was small, so we did not include SOA in this study. But this indication might be
problematic due to current poorly parameterized SOA scheme. In the future, how changes in
emissions and meteorology variables affect productions of SOA during winter should be further

1 studied using more advanced SOA schemes. In addition, we did not consider primary PM exce	1	studied using more	re advanced SC	OA schemes. 1	In addition,	we did not	consider p	rimary	PM exce	pt
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- 2 BC and OC in the model because there is no information in the MACCity emission inventory,
- 3 which is another direction for improvements in future studies.
- 4

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Table 1. Simulation cases and descriptions	Table 1.	Simulation	cases and	descriptions
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Cases	Descriptions
CTL	Base case, anthropogenic emissions are from MACCity dataset for year 1960
EMI2010	Anthropogenic emissions are from MACCity dataset for year 2010
SO ₂ -2010	Same as CTL case except SO ₂ emissions are for year 2010
NH ₃ -2010	Same as CTL case except NH ₃ emissions are for year 2010
NO _x -2010	Same as CTL case except NO_x emissions are for year 2010
CTL_T2	Same as CTL case except temperature BCs and ICs are decreased by 2K
CTL_RH10	Same as CTL case except RH BCs and ICs are increased by 10%
CTL_WS20	Same as CTL case except wind speed BCs and ICs are increased by 20%
CTL_NF	Same as CTL case except aerosol-radiation interactions are excluded
EMI2010_T2	Same as EMI2010 case except temperature BCs and ICs are decreased by 2K
EMI2010_RH10	Same as EMI2010 case except RH BCs and ICs are increased by 10%
EMI2010_WS20	Same as EMI2010 case except wind speed BCs and ICs are increased by 20%
EMI2010_NF	Same as EMI2010 case except aerosol-radiation interactions are excluded
BCs_1960-2010	Innermost domain emissions fixed in 1960 and the outer domain emissions changed from 1960 to 2010
EMI_2030	perturbate SO ₂ , NO _x and NH ₃ emissions by -26%, 19% and 14%

Table 2. Monthly domain mean concentrations of PM_{2.5} and its major components for year 1960, and domain maximum and mean concentrations for changes from 1960 to 2010 due to emission changes

 $(\mu g/m^3)$

Years		SO_4^{2-}	NO ₃ ⁻	$\mathrm{NH_4}^+$	BC	OC	PM _{2.5}
1960	Domain	1.9	0.8	0.8	1.5	4.6	19.2
	mean						
960-2010	Domain	18.9	7.8	6.8	9.9	11.1	45.0
	maximum						
	Domain	5.0	2.6	2.3	2.3	2.5	14.7
	mean	(264.0%)	(322.5%)	(295.2%)	(156.6%)	(54.0%)	(76.4%

Table 3. Monthly domain mean changes of sulfate, nitrate, ammonium and PM_{2.5} concentrations (μg/m³)
 due to emission and meteorology perturbations, and aerosol feedbacks (the two values of PM_{2.5} changes

3 are for meteorology perturbations and aerosol feedbacks based on 1960 and 2010 emission levels,

	respectively)					
	SO4 ²⁻	NO ³⁻	NH ⁴⁺	PM _{2.5}		
Changes in SO ₂ emissions	3.4(178.3%)	-0.3 (-32.3%)	0.2 (29.4%)	3.4		
Changes in NH ₃ emissions	0.1 (5.3%)	1.5 (189.6%)	0.6 (84.0%)	2.3		
Changes in NO _x emissions	-0.7 (-39.1%)	0.6 (76.0%)	-0.04 (-5.1%)	-0.2		
Changes in all emissions	5.0 (264.0%)	2.6 (322.5%)	2.3 (295.2%)	9.9		
Changes in BC emissions	-	-	-	2.3		
Changes in OC emissions	-	-	-	2.5		
Temperature perturbations	-	-	-	-0.01/0.3		
RH perturbations	-	-	-	-0.7/-1.1		
Wind speed perturbations	-	-	-	-2.3/-0.5		
Aerosol feedbacks				0.1/0.7		



Figure 2. Predicted monthly mean sulfate (a), nitrate (b), ammonium (c), BC (d), OC (e) and PM_{2.5} (f)
concentrations based on emissions for year 1960



Figure 3. Predicted monthly mean changes of sulfate (a), nitrate (b), ammonium (c), BC (d), OC (e)
and PM_{2.5} (f) due to emission changes from 1960 to 2010



Figure 4. Responses of $PM_{2.5}$ and major $PM_{2.5}$ inorganic species (sulfate, nitrate, and ammonium) to individual changes in SO₂, NH₃ and NO_x emissions from 1960 to 2010



Figure 5. Daytime ozone (a) and daytime OH (b) changes due to NO_x emission increases



Figure 6. Monthly mean temperature difference due to perturbation in initial and boundary conditions
(a), and daily mean OH (b), mean PBLH (c) and mean near surface wind speed changes (d) due to
temperature increase









 CTL_WS20) (b), and mean changes of $PM_{2.5}$ concentrations based on 1960 emission levels (c) and 2010

emission levels (d)





Figure 10. Monthly mean changes of daytime PBL heights for year 1960 (a) and 2010 (b), and of
daytime PM_{2.5} concentrations for year 1960 (c) and 2010 (d) due to aerosol-radiation interactions



