# Analysis of the latitudinal variability of tropospheric ozone in the Arctic using the large number of aircraft and ozonesonde observations in early summer 2008

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Abstract. During the 2008 International Polar Year, the Polar Study using Aircraft, Remote Sensing, Surface Measurements, and Models of Climate Chemistry, Aerosols, and Transport (POLARCAT) campaign, conducted in summer over Greenland and Canada, produced a large number of measurements from 3 aircraft and 7 ozonesonde stations. Here we present an observation integrated analysis is based on three different types of  $O_3$  measurements: airborne lidar, airborne UV absorption or

5 chemiluminescence measurement, and intensified Electrochemical Concentration Cell (ECC) ozonesonde profiles. Discussion of the latitudinal and vertical variability of tropospheric ozone north of  $55^{\circ}$ N during this period is performed with the aid of a regional model (WFR-Chem). The model is able to reproduce the O<sub>3</sub> latitudinal and vertical variability but with a negative O<sub>3</sub> bias of 6-15 ppbv in the free troposphere above 4 km, especially over Canada.

For Canada, large average CO concentrations in the free troposphere above 4 km (> 130 ppbv) and the weak correlation 10 (< 30%) of  $O_3$  and PV suggests that stratosphere-troposphere exchange (STE) is not the major contribution to average tropospheric ozone at latitudes less than 70°N, due to the fact that local biomass burning (BB) emissions were significant during the 2008 summer period. Conversely, significant STE is found over Greenland according to the better  $O_3$  versus PV correlation (> 40%) and the higher values of the 75th PV percentile. It is related to the persistence of cyclonic activity during the summer over Baffin Bay.

- Using differences between average concentration above Northern and Southern Canada, a weak negative latitudinal summer ozone gradient of -6 to -8 ppbv is found in the mid troposphere between 4 and 8 km. This is attributed to an efficient O<sub>3</sub> photochemical production from BB emissions at latitudes less than 65°N, while the STE contribution is more homogeneous in the latitude range 55°N to 70°N. A positive ozone latitudinal gradient of 12 ppbv is observed in the same altitude range over Greenland not because of an increasing latitudinal influence of STE, but because of different long-range transport from
- 20 multiple mid-latitude sources (North America, Europe and even Asia for latitudes higher than 77°N).

For the Arctic latitudes ( $> 80^{\circ}$ N), free tropospheric O3 concentrations during summer 2008 are related to a mixture of stratospheric O<sub>3</sub> transport across the tropopause and Asian pollution.

# **1** Introduction

Ozone concentrations are still increasing in many locations in the Northern Hemisphere mostly due to an increase in Asian 5 precursor emissions (Lin et al., 2015; Cooper et al., 2010). Since tropospheric ozone is an effective greenhouse gas with a relatively long lifetime its main impact on climate and air quality is within mid-latitudes regions. Several studies have shown that ozone also makes an important contribution to the Arctic surface temperature increases due to direct local warming in Arctic as well as heat transport following warming due to ozone at mid-latitudes (Shindell, 2007; Shindell et al., 2009; AMAP, 2015). The Arctic ozone budget still requires better quantification and is complicated by the interplay between the long-range

- trransport, including the downward transport of stratospheric ozone (Hess and Zbinden, 2013; Walker et al., 2012), removal of 10 boundary layer O<sub>3</sub> due to halogen chemistry especially in springtime (Simpson et al., 2007; Abbatt et al., 2012), and photochemical production due to local sources like boreal forest fires (Stohl et al., 2007; Thomas et al., 2013), NO<sub>x</sub> enhancement from snowpack emissions (Honrath et al., 1999; Legrand et al., 2009), local summertime production from peroxyacetyl nitrate (PAN) decomposition (Walker et al., 2012) or ship emissions (Granier et al., 2006). The  $O_3$  distribution over North America
- has been discussed for the spring period at high latitude using the Tropospheric Ozone Production about the Spring Equinox 15 (TOPSE) and Arctic Research of the Composition of the Troposphere from Aircraft and Satellites (ARCTAS) data set in several publications (Browell et al., 2003; Wang et al., 2003; Olson et al., 2012; Koo et al., 2012) showing: (i) frequent occurrence of ozone depletion events (ODE) in the planetary boundary layer (PBL), (ii) a net  $O_3$  photochemical production rate equal to zero throughout most of the troposphere, (iii) a latitudinal increase of tropospheric  $O_3$  concentrations due to transport from
- 20 mid-latitudes and Stratosphere-Troposphere Exchange (STE).

For the summer period ozone photochemical production is expected according to the numerous studies conducted at midlatitudes (Crutzen et al., 1999; Parrish et al., 2012), but little attention has been given to the high latitude distribution during this season. During the ARCTAS-B (Jacob et al., 2010) and Polar Study using Aircraft, Remote Sensing, Surface Measurements, and Models of Climate Chemistry, Aerosols, and Transport (POLARCAT) campaigns (Law et al., 2014), many ozone mea-25 surements were carried out over Canada and Greenland from 15 June to 15 July 2008 via aircraft and regular ozone soundings. This allows a detailed analysis of the ozone regional distribution at high latitudes between 55°N and 90°N and a discussion about the relevant ozone sources driving the summer ozone values. So far, knowledge of the relative influence of the main ozone summer sources has been mainly derived from modeling studies, e.g. summer simulations of global model simulation of the ozone source attribution (Wespes et al., 2012; Walker et al., 2012; Monks et al., 2015), or regional modeling of biomass burning case studies for North American fires (Thomas et al., 2013) or Asian fires (Dupont et al., 2012).

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In order to interpret the measurements, we use a hemispheric simulation performed using the regional chemical transport model WRF-Chem (Grell et al., 2005; Fast et al., 2006). WRF-Chem produces simultaneously a meteorological forecast, including the dynamics of the upper troposphere and lower stratosphere (UTLS) region, with online chemistry to predict ozone (and other trace gas and aerosol) concentrations. Here, we use the WRF-Chem model to investigate dynamics that determine ozone concentrations as a function of latitude, including STE processes, which can bring high-ozone air from the stratosphere into the upper troposphere in the Arctic. In addition, we use the model to compare directly predicted and measured ozone in summer 2008 and use CO as a tracer to separate air influenced by surface emissions and subsequent ozone formation via photochemistry from air influenced by stratosphere-troposphere mixing processes.

- The objectives of this paper are thus twofold : (i) to establish the summer tropospheric ozone latitudinal variability over Greenland and Canada based on the large number of ozone measurements available in the free troposphere during June/July 20008, and (ii) to explore the role of photochemistry and STE in the observed high latitude ozone distribution by extracting the potential vorticity (PV) and CO distribution from a 2-month WRF-Chem regional model simulation. The WRF-Chem
- 10 simulation also provides the modeled ozone distribution to verify the coherence between the modeled PV and CO with the observed ozone distributions. The ozone data set and the WRF-Chem simulation are described in sections 2 and 3, respectively. The model versus measurement ozone comparison is discussed in section 4, while the latitudinal distributions of ozone, CO and PV are presented in section 5.

# 2 Summer 2008 ozone data set

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- 15 We use three different ozone measuring instrument to build the data set considered in this work: airborne lidar, in-situ aircraft ozone analyzer, and electrochemical concentration cell (ECC) ozonesonde. Two airborne ozone DIfferential Absorption Lidars (DIAL) are considered: the NASA-DC8 instrument and the ALTO lidar on the French ATR-42. In-situ ozone analyzers have been installed onboard 3 different aircraft: the NASA DC-8, the DLR Falcon-20 and the French ATR-42. We also considered 7 ground-based stations, that participated to the ARC-IONS campaign (Tarasick et al., 2010), over Canada (latitudes > 50°N)
- 20 in the longitude range between -70°W and -160°W) and over Greenland (latitudes > 55°N in the longitude range between -60°W and -20°W). The times and positions of the June/July 2008 measurements included in our study are given in Table 1 for the aircraft observations and in Table 2 for the ozonesondes. The aircraft flight paths over Canada and Greenland are shown in Fig.1 and the measurements are always performed during daytime.
- The German DLR Falcon-20 was based in Greenland and used an UV absorption instrument (Thermo Environment Instru-25 ments, TEI49C) to measure  $O_3$  with an uncertainty of  $\pm 2$  ppbv ( $\pm 5\%$  of the signal) (Schlager et al., 1997; Roiger et al., 2011). The ATR-42 aircraft was also based in Greenland and the ozone measurements were made using a similar instrument (TEI49-103) calibrated against a NIST (National Institute of Standards and Technology) referenced  $O_3$  calibrator Model 49PS at zero, 250, 500 and 750 ppbv (Marenco et al., 1998). A 4-ppbv negative bias related to  $O_3$  loss in the ATR-42 air inlet (e.g. 10% for 40 ppbv and 5% for 80 ppbv) has been corrected in this study. On 14 July 2008, the comparison of the ATR-42 and the
- 30 DLR-Falcon ozone data at two altitude levels over Greenland (near 67°N) shows an uncertainty better than 2 ppbv (Fig.2). The NASA DC-8 (Weinheimer et al., 1994)  $O_3$  measurements were made with a chemiluminescence technique, with the instrument calibrated by additions of  $O_3$  determined by UV optical absorption at 254 nm. Uncertainties in the DC-8  $O_3$  data are typically  $\pm 2$  ppbv ( $\pm 5\%$  of the signal). The NASA DC-8 flew mainly over Canadian forest fire regions in summer (Jacob et al., 2010).

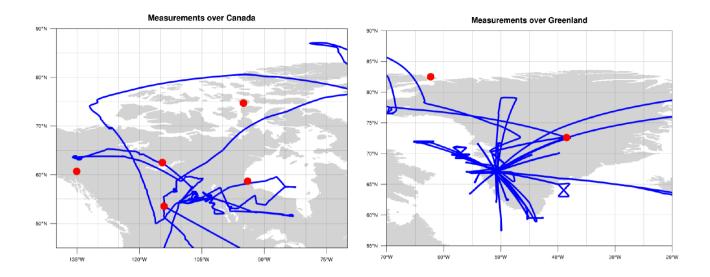


Figure 1. Horizontal distribution of the aircraft positions (blue) and sounding locations (red) over the selected Canada (left panel) and Greenland regions (right panel).

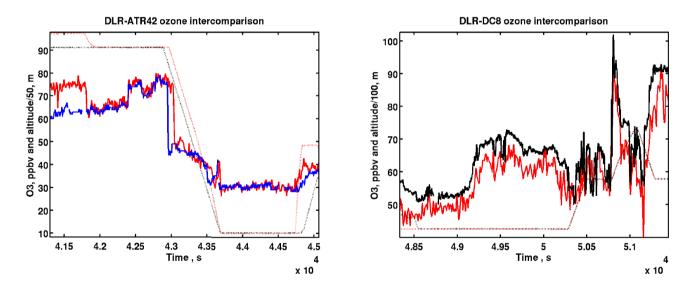
The comparison between the NASA DC-8 and the DLR-Falcon  $O_3$  data during an aircraft intercomparison flight on July 9th over Northern Greenland shows very good precision, but a 4-ppbv positive difference (Fig.2). None of the DLR-Falcon and NASA DC-8 data were corrected.

- The ALTO lidar was mounted in a zenith-viewing mode making ozone vertical profiles above the aircraft, thus limiting the number of  $O_3$  data available at altitudes below 3 km. The lidar measurement altitude range is of the order of 6 km above the aircraft flight level with a 300 m vertical resolution and a 10 km horizontal resolution (i.e. a 2 minute integration time). The system is fully described in Ancellet and Ravetta (1998), and the instrument performances for different examples of daytime airborne measurements are discussed in Ancellet and Ravetta (2003) (urban pollution in the boundary layer, ozone in the UTLS, long-range transport in the free troposphere). Several comparisons with in situ measurements (ECC ozonesonde and airborne
- 10 UV photometer) show no specific biases in clear air measurements. Measurements taken near clouds or thick aerosol layers are not included here since corrections of systematic errors related to aerosol interference become very large (Papayannis et al., 1990). These represent 20% of the lidar profiles recorded during the campaign.

The NASA-DC8 Ozone DIAL system and configuration implemented during the campaign is described by Richter et al. (1997). The instrument provides simultaneous zenith and nadir profiles to cover the troposphere and lower stratosphere. The  $O_3$ 

15 measurement resolution is 300 m in the vertical and approximately 70 km (3 min) in the horizontal for daytime measurements. On all field experiments, the airborne DIAL  $O_3$  measurements are compared with in situ  $O_3$  measurements made on the DC-8 during ascents, descents, and spirals, while comparisons to ozonesondes during coincident overflights were also conducted. In the troposphere, the DIAL  $O_3$  measurements have been shown to be accurate to better than 10% or 2 ppb, whichever is larger (Browell et al., 1983, 1985). More recently, the precision of the DIAL  $O_3$  measurements during the high-latitude SAGE-III Ozone Loss and Validation Experiment in 2003 (SOLVE II) were found to be better than 5% from near surface to about 24 km and the accuracy was found to be better than 10% in comparison with the Ny-Ålesund lidar, ozonesondes, and in situ DC-8 measurements (Lait et al., 2004). DIAL and Microwave Limb Sounder (MLS)  $O_3$  measurements from the Polar

- 5 Aura Validation Experiment (PAVE) in 2005 were found to agree within 7% across the 12-24 km altitude range (Froidevaux et al., 2008). Comparisons between DIAL and MLS were also examined in the upper troposphere and lower stratosphere (215-100 hPa region) from data obtained during the International Intercontinental Chemical Transport Experiment (INTEX-B) field experiment, and these results show good agreement in the lower stratosphere, with decreasing performance of the MLS measurements into the troposphere (Livesey et al., 2008).
- 10 The ozonesonde monitoring was intensified in 2008 over North America in the framework of the ARCIONS initiative and the characteristics of the ozone measurements are fully described in Tarasick et al. (2010). Nearly daily sounding were made during the aircraft flight period at 4 stations over Canada between 53°N and 63°N, and at Summit, Greenland, while weekly soundings were made at the high latitude stations Alert and Resolute.



**Figure 2.** Intercomparaison of  $O_3$  measurements in ppbv during two wing-tip to wing-tip flights over Greenland between the ATR-42 (blue) and the DLR-Falcon (red) on 14 July (left panel) and between the NASA-DC8 (black) and the DLR-Falcon (red) on 9 July (right panel). The aircraft altitude changes are also shown in m (multiply scale by 50 on right panel and by 100 on left panel)

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The latitudinal cross sections of the ozone data set selected in this study are shown in Fig. 3 considering two domains to produce a bidimensional latitude-altitude plot over Canada (-160°W to -70°W) and Greenland (-70°W to -20°W). The horizontal distributions of the data set used for producing the latitude-altitude plots are shown in Fig. 1. For latitudes higher than 80°N, the data comes from a limited number of observations: 8 sondes from Alert and 3 DC-8 flights from 8 to 10 July.

Table 1. Characteristics of the ensemble of ozone measurements made with airborne instruments during summer 2008

Instrument	Number of flights	Latitude range	Longitude range	Altitude range	Time period	
ATR42 in-situ	12	59°N-71°N	-60°W/-20°W	0-7 km	30/6-14/7	
ATR42 lidar	12	59°N-71°N	$-60^{\circ}$ W/ $-20^{\circ}$ W	2-12 km	30/6-14/7	
DC8 in-situ	11	$45^{\circ}$ N- $88^{\circ}$ N	-132°W/-38°W	0-12 km	26/6-13/7	
DC8 lidar	11	$45^{\circ}$ N- $88^{\circ}$ N	-132°W/-38°W	0-15 km	26/6-10/7	
F20 in-situ	18	57°N-79°N	-65°W/-20°W	0-11 km	30/6-18/7	

Table 2. Characteristics of the ECC sounding stations used during summer 2008

Station	Number of ECC	Latitude	Longitude	Altitude range	Time period
Summit	22	72.6°N	-38.5°W	3.2-15 km	6/6-22/7
Alert	8	82.5°N	-62.3°W	0-15 km	4/6-24/7
Resolute	8	74.7°N	-95°W	0-15 km	4/6-30/7
Churchill	16	58.7°N	-94°W	0-15 km	4/6-30/7
Yellowknife	19	62.5°N	-114.5°W	0-15 km	23/6-12/7
Whitehorse	15	60.7°N	-135.1°W	0-15 km	27/6-12/7
Stonyplain	16	53.55°N	-114.11°W	0-15 km	26/6-12/7

The locations of the ozonesonde stations are shown as red vertical bars in the latitudinal cross sections. In the troposphere at altitudes less than 8 km over Canada, lidar, in-situ and ozonesonde measurements contribute 67%, 20% and 13% of the ozone data set, respectively, while they correspond to 26% 69% and 5%, respectively, over Greenland (see the number of observations using each technique in Table3). The aircraft data (lidar and in-situ) therefore strongly contribute to the high measurement density even though they correspond to a limited number of flying days, i.e. 18 days from 26 June to 18 July. In this work, ozone data are averaged hourly when they are in the same cell of a grid with 0.5 x 0.5 degrees and 1 km vertical resolution in order to avoid an oversampling of similar air masses. Only hourly averages are considered in Table 3.

For both regions similar ozone vertical distributions were observed: low  $O_3$  mixing ratio  $\leq 40$  ppbv below 3 km and high  $O_3$  concentrations typical of the UTLS in the altitude range 8-11 km between 60°N and 80°N (i.e. red and dark region with  $O_3$ mixing ratio > 150 ppbv). The average  $O_3$  mixing ratio in the altitude range 4-8 km is of the order of 65 ppbv for both regions but the latitudinal O<sub>3</sub> gradients are more visible over Greenland than over Canada. Two mid-tropospheric ozone branches are

10 seen in the latitude band 65°N-73°N and 78°N-85°N over Greenland, the former being tilted to the south at 70°N and the latter

to the north at 80°N.

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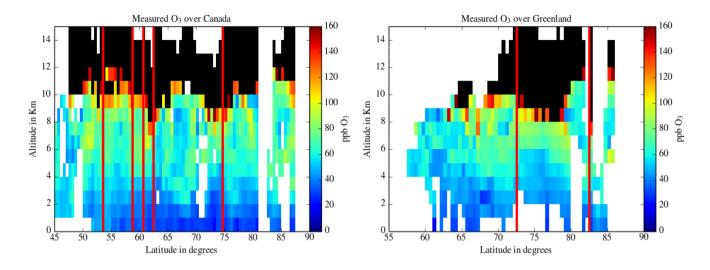


Figure 3. Latitudinal cross section of the measured ozone mixing ratio in ppbv over Canada (left panel) and over Greenland (right panel). The red bars show the location of ozonesonde stations. Black regions with  $O_3 > 160$  ppbv correspond to the stratosphere.

# 3 WRF-Chem model simulation

#### 3.1 Model description

For this study we use the regional Weather Research Forecasting model coupled with Chemistry (WRF-Chem) to study ozone during this period. WRF-Chem is a fully coupled, online meteorology and chemistry and transport mesoscale model. It has
been successfully used in Arctic-focused studies in the past (Thomas et al., 2013; Marelle et al., 2015), for both gas phase and aerosol analysis. Initial meteorological conditions and boundaries are from the National Center for Environmental Prediction (NCEP) Global Forecast System (GFS) with nudging applied to temperature, wind and humidity every 6 hours. The simulation uses the Noah Land Surface model scheme with 4 soil layers, the YSU (Yonsei University) planetary boundary layer (PBL) scheme (Hong et al., 2006), coupled with the MM5 similarity surface layer physics, the Morrison 2-moment (Morrison et al., 2009) microphysics scheme, and the Grell-3D ensemble (Grell and Dévényi, 2002) convective implicit parametrization. The radiation schemes are the Goddard (Max and Suarez, 1994) and Rapid Radiative Transfer Model (Mlawer et al., 1997) for shortwave and longwave radiation respectively. Chemical boundary conditions were taken from the Model For Ozone and Related Chemical Tracers, version 4 (Emmons et al., 2010). For gas phase chemical calculations the CBM–Z (Zaveri and Peters, 1999) chemical scheme is used and aerosols were calculated using the Model for Simulating Aerosol Interactions and

15 Chemistry (Zaveri et al., 2008). The model was run from 15 March to 1 August 2008 using a polar stereographic grid ( $100 \times 100$  km resolution) over a domain that covers most of the Northern Hemisphere, from about 28°N. Vertically 50 hybrid layers up to 50 hPa are used with approximately 10 levels in the first two kilometers. The corresponding vertical resolution ranges from 100 m in the PBL to 500 m in the free troposphere. Anthropogenic emissions with a  $0.5^{\circ} \times 0.5^{\circ}$  spatial resolution were taken

from the ECLIPSE (Evaluating the CLimate and Air Quality ImPacts of Short–livEd Pollutants) version 4.0 (Klimont et al., 2013). Wildfire emissions were taken from GFED 3.1 (van der Werf et al., 2010), while aircraft/shipping emissions were from the RCP 6.0 scenario (Lee et al., 2009) and (Buhaug et al., 2009), respectively. Biogenic emissions were calculated online thanks to MEGAN (Model of Emissions of Gases and Aerosols from Nature) (Guenther et al., 2012).

- 5 Using observations from aircraft, surface stations and satellites, atmospheric model simulations of  $O_3$  have been evaluated as part of POLMIP including WRF-Chem (Monks et al., 2015; AMAP, 2015). The model was run using different emissions and gas/aerosol schemes than in the POLMIP simulations, but the POLMIP results are still a good basis to choose WRF-Chem. While all models have deficiencies in reproducing trace gas concentration in the Arctic, WRF-Chem performs better than many models in re-producing tropospheric  $O_3$  and CO, which are used here. Given the advantages of also predicting stratosphere-
- 10 troposphere exchange processes online for this study, WRF-Chem is a good model for interpreting these ozone climatologies constructed from measurements in summer 2008.

The WRF-Chem model does not explicitly calculate potential vorticity (PV). As a result, PV was calculated offline based on WRF meteorological fields: potential temperature, total mass density, geopotential height and wind speed and direction. For each model grid cell, wind and temperature are interpolated from model vertical levels to the potential temperature in the

15 center of the grid cell and the curl of the wind vector is calculated on the corresponding isentropic surfaces using the original model grid (100 km  $\times$  100 km) and the full model vertical resolution, i.e. approximately 500 m in the free troposphere. Potential vorticity is expressed in potential vorticity units (PVu) using the definition 1 PVu =  $10^{-6}$  Kkg<sup>-1</sup>m<sup>2</sup>s<sup>-1</sup>. The main uncertainty in the PV calculation is related to the representation of smaller scales (50 km) than the model resolution (e. g. narrow stratospheric streamers near the tropopause).

### 20 3.2 Model results interpolations

The measurements used in this study vary in temporal and spatial resolution (section 2), where the model results are 3-hourly on a polar stereographic grid (described in section 3). In order to avoid favoring data with the highest temporal resolution, the measured data was averaged to 1 min for all the in situ and lidar measurements. The ozonesondes are considered to be instantaneous at the time of the balloon release. A vertical resolution of 1 km is used for the lidars and ozonesondes. The measured data is then gridded to  $0.5^{\circ} \times 0.5^{\circ}$ . If more than one measurement is available in the same grid box from the same

measured data is then gridded to  $0.5^{\circ} \times 0.5^{\circ}$ . If more than one measurement is available in the same grid box from the same campaign and instrument within an interval of one hour, then these are considered to be the same measurement, and a mean value is calculated.

The model grid cell which is the most representative for the measurement's location in space is selected and a linear interpolation in time is done to calculate one minute increments from the 2 modelled values separated by 3 hours and surrounding the measurement time. In this way the two resulting datasets (modelled and measured) both have 1-min temporal resolution, and spatially only the vertical resolution is changed to set the altitude increment to 1 km. The model results, including PV, are also horizontally interpolated from 100 km resolution to match the new  $0.5^{\circ} \times 0.5^{\circ}$  horizontal data resolution. Each model grid cell is split into 100 mini-grid cells. Then each mini-grid cell is assigned to the appropriate  $0.5^{\circ} \times 0.5^{\circ}$  final grid cell before calculating the new model values.

### 4 Comparison of measured and modeled ozone

The WRF-Chem ozone mixing ratios corresponding to the times and locations of the the June/July observations have been used to produce latitudinal cross sections comparable to the results shown in Fig. 3. The modeled ozone vertical cross sections for Canada and Greenland are shown in Fig. 4. The altitude and latitude ozone variability of the model is comparable to the observations over both regions. The vertical structure, i.e., the transition between the low ozone values below 3 km and the higher ozone concentration in the free troposphere and, the UTLS ozone variability, are well reproduced. The latitudinal

gradient of the ozone concentration in the 4-8 km altitude range over Greenland is also visible in the simulation results where the two mid-tropospheric ozone branches are also visible at 70°N and 80°N. The agreement is less good for the latitudinal gradient over Canada where the low ozone values (< 50 ppbv) seen in the model at 65°N in the mid-troposphere are not seen</li>
in the observations, although the signs of the latitudinal gradients seem correct.

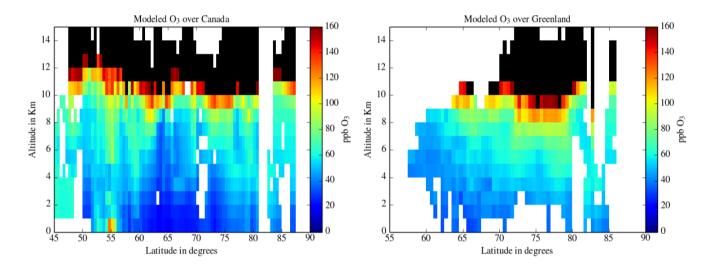


Figure 4. Same as Fig. 3 but for the WRF-Chem model ozone mixing ratio corresponding to the measurement characteristics summarized in Tables 1 and 2.

To quantify the observation/model agreement the scatter plot of modeled versus measured ozone is also presented in Fig. 5 using a PV color scale to distinguish the tropospheric and stratospheric contributions. The measured versus modeled  $O_3$  correlation (red line in in Fig. 5) is of the order of 0.9 in the altitude range 0-15 km over both regions because the occurrence of stratospheric ozone intrusions are very well reproduced by WRF-Chem. The correlation is however between 0.5 and 0.7 in the troposphere only using observations with PV values less than 1 PVu (green line in in Fig. 5). Considering that the ozone variability in the free troposphere is not very large (< 50 ppb), the spatial and temporal variability is still well reproduced by WRF-Chem even below the tropopause region. Even though the UTLS temporal variability is well reproduced by the model (Fig. 4), there is a significant underestimation of ozone by a factor 1.5 in the WRF-Chem simulation for the lowermost stratosphere (PV > 2 PVu) (Fig. 5). In the troposphere there is also a negative bias of the model data of the order of -6 to

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-15 ppbv with the largest differences over Canada (see Table 5). A fraction of this tropospheric underestimate by WRF-Chem is likely related to the  $O_3$  underestimate in the lowermost stratosphere, causing the stratospheric ozone source in the upper troposphere being too small. The modeled  $O_3$  underestimate in the lower stratosphere likely originates from the  $O_3$  climatology used to initialize the model in the stratosphere. The other part of this 10-ppbv bias is due to an underestimate of the lightning

5 NO<sub>x</sub> contribution in the WRF-Chem simulation and/or an underestimate of the vertical transport of continental emissions to the mid- and upper troposphere. Wespes et al. (2012) have shown that the lightning NO<sub>x</sub> source and vertical transport of continental emissions both contribute to 15% of the O<sub>3</sub> concentration in the free troposphere at latitudes higher than 60°N in summer. Despite these flaws, the WRF-Chem simulations are still very valuable because the spatial O<sub>3</sub> variability compares rather well with the observations. The PV and CO distribution available from the WRF-Chem simulations will therefore be

10 used to examine the respective roles of photochemistry and STE in the observed ozone distribution, in the following sections.

#### 5 Latitudinal ozone distribution at high latitudes

In this section, we investigate the mean latitudinal and vertical ozone gradient in the troposphere using the POLARCAT observations. To quantify these gradients, the  $O_3$  cross sections plotted in Fig.3 have been divided into several regions using the model CO latitudinal cross sections. The vertical boundaries are defined according to the mean ozone vertical profile in the troposphere, i.e. the the depth of the low ozone values layer in the lower troposphere and the downward extent of the tropopause region. The variability of the CO distribution is only taken from the model, since CO data is not available for many of the ozone observations (ozonesonde and lidar profiles).

#### 5.1 Measurements over Canada

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- For the data taken over Canada, 5 regions have been considered to calculate the mean  $O_3$  latitudinal gradient. They correspond to the blue boxes with the labels 1, 2, 3, 4 and 8 shown in the CO latitudinal distribution plotted in Fig. 6. The different zones have similar regional extent, in order to make them comparable. Two are below 4 km in the altitude range where the lowest ozone values were recorded for latitudes > 60°N. The boundary between these two boxes is set according to the strong CO latitudinal difference due to the biomass burning emissions south of 65°N and lack of local emissions of ozone precursors in the region between 65°N and 80°N. In the altitude range 4-8 km, which corresponds to the largest tropospheric ozone values,
- two other regions were defined. The latitude boundary at 63°N is again prescribed according to the latitude where CO and  $O_3$  concentrations are simultaneously decreasing. The last box corresponds to the tropospheric observations at high latitudes (> 80 °N) where CO concentrations are increasing, especially in the altitude range 3-8 km. These ozone observations were mainly made in northeastern Canada by the DC8 aircraft. The CO distribution derived from the WRF-Chem simulation is consistent with the analysis of CO observations by Bian et al. (2013), who show the major influence of boreal and Asian emissions on the

# 30 ARCTAS-B CO observations.

The mean and median measured  $O_3$  mixing ratios for the different boxes are shown in Table 3, along with the number of observations from the different measurement techniques (lidar, in-situ and sondes). The mean and median  $O_3$  and CO mixing

ratios from the model are also reported in Table 4 and the statistics relevant to the model evaluation are reported in Table 5. The -10 to -15 ppbv differences between the model and measured ozone in zones 3, 4 and 8 above 4 km are consistent with the bias of the model in the troposphere over Canada discussed in the previous section. This bias is small (0 to -4 ppbv) in the lower troposphere, showing that the emissions used in the model simulation are good enough to calculate the O<sub>3</sub> photochemical

5 production.

The negative latitudinal gradient of ozone between zones 3 and 4 ( $\Delta O_3$ = -6 ppbv for the measurements and  $\Delta O_3 \approx$  -8 ppbv for the model) and to a lesser extent between zones 1 and 2 ( $\Delta O_3$ = -4 ppbv for the measurements and  $\Delta O_3$ = -5 ppbv for the model) are correlated with a significant negative latitudinal CO gradient. Here we compare median rather than mean values as the latter are biased by a few very high values (see below). For latitudes lower than 65°N there is a strong standard deviation

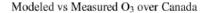
- 10 because some of the measurements were taken very close to fresh biomass burning sources (forest fires). Sampling of the biomass burning sources during ARCTAS by the DC8 aircraft between 50°N and 63°N has been already discussed in several papers (Singh et al., 2010; Alvarado et al., 2010; Thomas et al., 2013). In the mid-troposphere the CO enhancement in zone 3 where the largest  $O_3$  mixing ratio (70 ppbv) is recorded, is 130 ppbv, well above the CO tropospheric baseline of 60 ppbv (the strong difference between the mean and the median corresponds to the sampling of one biomass burning plume with CO > 500
- 15 ppbv which biases the mean). Zone 8 data in Tables 3 and 4 combines the aircraft sampling over both Canada and Greenland for the high latitude boxes (>  $80^{\circ}$ N) because they characterize regions with similar O<sub>3</sub> and CO distributions. The O<sub>3</sub> mean for zone 8 (70 ppbv) is similar to the one found in zone 3 while CO is increasing again at high latitudes (40 ppbv above the CO tropospheric baseline of 60 ppbv).

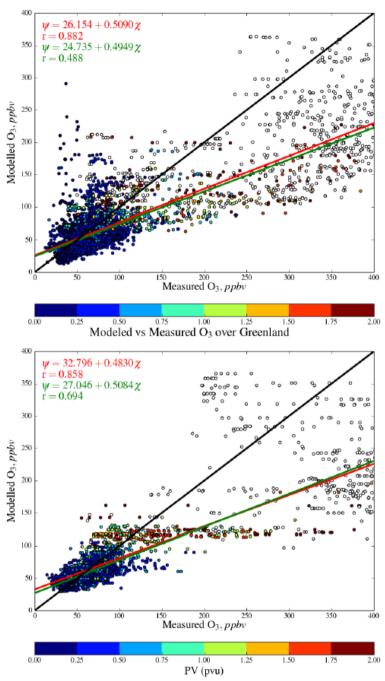
The average PV latitudinal cross section is also calculated for the ozone dataset as it is a good tracer of the latitudinal
variability of the stratospheric O<sub>3</sub> source (Fig. 7). Although frequent stratospheric air mass intrusions are seen in the 8-10 km altitude range at latitudes higher than 55°N, the role of the stratospheric source is not clearly visible in the average PV values in the free troposphere. Mean PV values larger than 0.5 PVu are only seen for latitudes higher than 75°N in the 3-6 km altitude range (the large PV values at altitudes below 2 km should not be considered as they are related to the low level cyclonic circulation due the orographic circulation around the Greenland ice cap). This suggests that the latitudinal ozone
gradients between zones 3 and 4 is not related to the latitudinal distribution of stratospheric intrusions. However O<sub>3</sub> mixing ratios larger than 70 ppbv (Fig. 3) are correlated with the descending high PV tongue at latitudes between 77°-87°N (Fig.

7). Because the analysis of the ozone spatial distribution shows contrasting behavior of the ozone-PV relationship, it is also necessary to look at the PV versus  $O_3$  mixing ratio scatter plot. When only tropospheric ozone data are considered (PV < 1 PVu), there is a poor Pearson correlation (r< 0.3) between ozone and PV, implying that stratospheric intrusions are not the

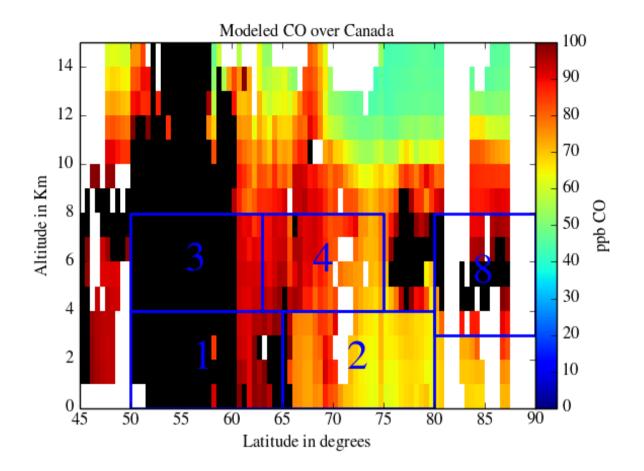
30 only source of high tropospheric ozone values (Fig. 7). Indeed one can see that a significant number of high tropospheric ozone mixing ratios (> 70 ppbv) are related to PV less than 1 PVu when using the altitude color scale to separate the UTLS (8-10 km) and tropospheric data. The difference in the slopes of the regression line, when including or not the PV values larger than 1 PVu (red versus green lines in Fig. 7), is also larger than similar variation observed at mid-latitudes in Europe where the O<sub>3</sub> to PV ratio decreases from 30 to 150 ppbv/PVu (Ravetta et al., 1999). This suggests that the ozone variability during summer

2008 is not driven by the variability of stratospheric air mixed into the free troposphere, but mainly related to emissions and photochemistry over Canada.





**Figure 5.** Scatter plot of WRF-Chem modeled versus measured  $O_3$  mixing ratio in ppbv for Canada (top panel) and Greenland (bottom panel) domains. The black lines are the one to one line and the PV color scale distinguishes stratospheric (red and white dots) and tropospheric data (blue and green dots). The regression line parameters and the Pearson correlation coefficient with its p-value are coloured in red and green for the PV range 0-4 PVu and 0-1 PVu, respectively.



**Figure 6.** Latitudinal cross section of the modeled CO mixing ratio in ppbv over Canada for the measurement spatio-temporal distribution from the June/July 2008 WRF-Chem simulation. The blue boxes correspond to the regions where data are averaged for discussing vertical and latitudinal gradients in Table 4.

Zone N <sup>o</sup>	Latitude range	Longitude range	Altitude km	O <sub>3</sub> Mean ppbv	O <sub>3</sub> Median ppbv	O <sub>3</sub> St.Dev. ppbv	Number lidar	Number in-situ	Number ECC
1	50°/65°N	-132°/-70°W	0-4	45.8	45.3	10.8	1046	422	243
2	$65^{\circ}/80^{\circ}N$	-132°/-70°W	0-4	42.3	40.9	11.4	401	27	28
3	50°/63°N	-132°/-70°W	4-8	69.4	68.0	18.8	1184	349	240
4	63°/75°N	-132°/-70°W	4-8	63.4	62.0	16.5	360	123	28
5	60°/80°N	-70°/-20°W	0-4	45.1	45.4	8.0	243	603	21
6	57°/65°N	$-70^{\circ}/-20^{\circ}W$	4-8	57.4	56.1	13.8	22	203	0
7	65°/75°N	$-70^{\circ}/-20^{\circ}W$	4-8	68.7	68.1	16.3	320	906	81
8	80°/87°N	-132°/-20°W	3-8	69.8	67.6	14.8	166	48	35

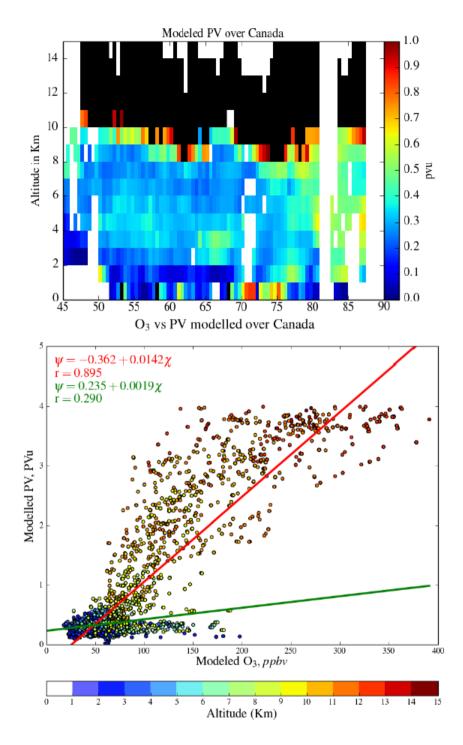
**Table 3.** Mean, median and standard deviation of the observed ozone mixing ratio in the different boxes shown in Fig. 6 and 8, except for the two boxes at latitudes  $> 80^{\circ}$ N which have been merged in the last row of the table.

Table 4. same as Table 3 for the WRF-Chem model O<sub>3</sub>, CO mixing ratio and PV 75<sup>th</sup> percentile.

Zone N <sup>o</sup>	Latitude range	Longitude range	Altitude km	O <sub>3</sub> Mean ppbv	O <sub>3</sub> Median ppbv	CO Mean ppbv	CO Median ppbv	PV 75th PVu
1	50°/65°N	-132°/-70°W	0-4	45.9	42.9	441.5	129.6	-
2	$65^{\circ}/80^{\circ}N$	-132°/-70°W	0-4	38.3	37.7	71.8	67.8	-
3	50°/63°N	-132°/-70°W	4-8	60.4	55.4	509.7	129.0	0.35
4	63°/75°N	-132°/-70°W	4.8	45.7	47.9	87.8	87.6	0.31
5	60°/80°N	-70°/-20°W	0-4	45.5	45.6	66.4	66.1	-
6	57°/65°N	$-70^{\circ}/-20^{\circ}W$	4-8	51.4	49.0	83.9	78.8	0.45
7	65°/75°N	-70°/-20°W	4-8	62.8	61.1	76.2	75.8	0.44
8	80°/87°N	-132°/-20°W	3-8	57.7	58.0	100.0	98.7	0.53

Longitude Zone Latitude Altitude Mean bias RMSE Normalized  $N^{o}$ range ppbv mean bias,% range km ppbv 1  $50^{\circ}/65^{\circ}N$  $-132^{\circ}/-70^{\circ}W$ 0-4 0.1 24.0 0.3 2 65°/80°N  $-132^{\circ}/-70^{\circ}W$ 0-4 -4 10.3 -9.5  $-132^{\rm o}/\text{-}70^{\rm o}W$ 3 50°/63°N 4-8 -9 24.9 -12.9 4 63°/75°N  $-132^{\circ}/-70^{\circ}W$ 4.8 -15 21.9 -28.0 5  $60^{\circ}/80^{\circ}N$  $-70^{\circ}/-20^{\circ}W$ 0-4 0.4 7.5 0.8 6 57°/65°N  $-70^{\circ}/-20^{\circ}W$ 4-8 15.3 -10.6 -6 7  $65^{\circ}/75^{\circ}N$  $-70^{\circ}/-20^{\circ}W$ 4-8 -6 17.1-8.6 8  $80^{\circ}/87^{\circ}N$  $-132^{\circ}/-20^{\circ}W$ 3-8 -13 18.0 -17.2

**Table 5.** Metrics of the WRF-Chem  $O_3$  simulation performance for the regions reported in Table 4: mean bias, root mean square error (RMSE), normalized mean bias



**Figure 7.** Latitudinal cross section of the average PV profiles over Canada for the summer measurement distribution using the WRF-Chem simulation (top panel) Scatterplot of modeled  $O_3$  in ppbv versus PV in PVu using an altitude color coded scale (bottom panel). Stratospheric data points with PV > 4 PVu are not included. The regression line parameters and the Pearson correlation coefficient with its p-value are also given for the PV range 0-4 PVu (red) and 0-1 PVu (green).

#### 5.2 **Measurements over Greenland**

The same procedure was applied to the latitudinal distribution over Greenland. The regions considered for the latitudinal gradient analysis are slightly different because the CO latitudinal cross sections and the ozone vertical structure are different. The selected regions are shown by the blue boxes in Fig. 8. Only one zone is chosen between 60°N and 80°N for the altitude

- range below 4 km where there are the lowest tropospheric  $O_3$  and CO concentrations values. The CO latitudinal gradient is 5 indeed very weak in the lower troposphere between  $60^{\circ}$ N and  $80^{\circ}$ N (< 5 ppbv). However, as over Canada, two boxes are chosen in the altitude range 4-8 km because of their differences in term of CO and  $O_3$  concentrations. An additional box corresponds to the tropospheric observations at high latitudes (> 80  $^{\circ}$ N) where the CO concentrations are also higher, especially in the altitude range 3-8 km. This is related to the observations mainly made in northwestern Greenland by the DC8 aircraft.
- 10

Table 5 shows that, there is no  $O_3$  underestimate by the model in the lower troposphere and the model bias above 4 km is of the order of -6 ppby. This suggests that the model performance over Greenland, away from the continental sources, is better than over Canada. The remaining -6 ppbv bias can be easily explained by the stratospheric  $O_3$  climatology having too low values, while lightning is known to be less important over Greenland (Cecil et al., 2014; Christian et al., 2003). The CO concentration in the lower troposphere (zone 5 of Table 3) is close to the tropospheric baseline, while  $O_3$  is not markedly

- different from the values seen over Canada. The positive latitudinal  $O_3$  gradient between zones 6 and 7 in the mid-troposphere 15 above 4 km ( $\Delta O_3$ =12 ppbv) is two times larger than the latitudinal gradient over Canada, but the overall  $O_3$  mid-tropospheric concentration over Greenland is similar to its counterpart over Canada (62 versus 65 ppby). The corresponding negative CO latitudinal gradient between zone 6 and 7 is weak (difference of 8 ppbv), but it is however anticorrelated with the ozone gradient. The CO excess above baseline is only 20 ppbv in zone 6, where CO is maximum. The anticorrelation between the  $O_3$
- and CO latitudinal gradient may indicate more occurrences of stratospheric ozone intrusion in zone 7 at latitudes higher than 20 65°N during the POLARCAT period. If the lower CO were due to upwelling of pristine air from the Arctic lower troposphere, it would be accompanied by smaller  $O_3$  concentrations according to Fig. 3.

Looking at the average PV distribution extracted from the WRF-Chem simulation (Fig. 9), frequent stratospheric air mass intrusions are seen in the altitude range 8-10 km especially at 75°N (PV > 1 PVu). PV values are also larger in the mid-

- troposphere over Greenland than over Canada (75th PV percentile is 0.45 PVu over Greenland but 0.3 PVu over Canada). 25 The PV versus  $O_3$  mixing ratio scatter plot also shows a higher Pearson correlation (r > 0.4) between ozone and stratospheric intrusion than over Canada (Fig. 9). Compared to the results obtained over Canada, the difference between the O<sub>3</sub> to PV ratio including PV values larger than 1 and that excluding them (red versus green regression line) is smaller (200 ppbv/PVu instead of 400 ppbv/PVu for Canada). This is consistent with a larger fraction of ozone from the UTLS over Greenland. However
- there is not a significant latitudinal PV gradient in the mid-troposphere between zones 6 and 7 where a positive 12 ppbv ozone 30 latitudinal gradient is detected. At high latitudes above 80°N, the PV and CO latitudinal distribution in the troposphere are almost identical to the latitudinal cross section over Canada (Fig. 7), which supports merging data in a single zone 8 for the statistics reported in Table 3 and 4.

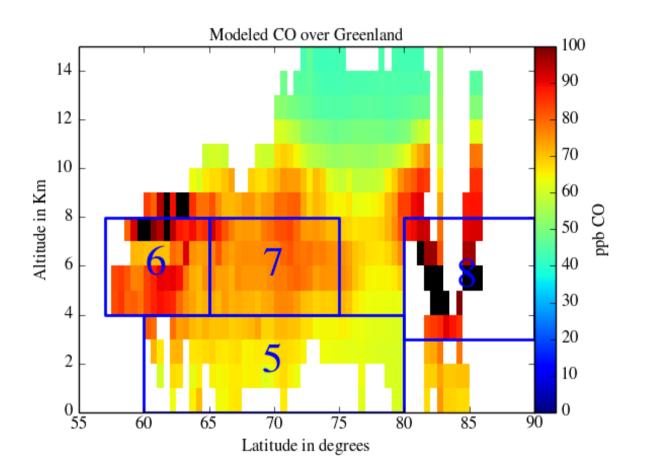


Figure 8. Same as Fig. 6 but for the Greenland region.

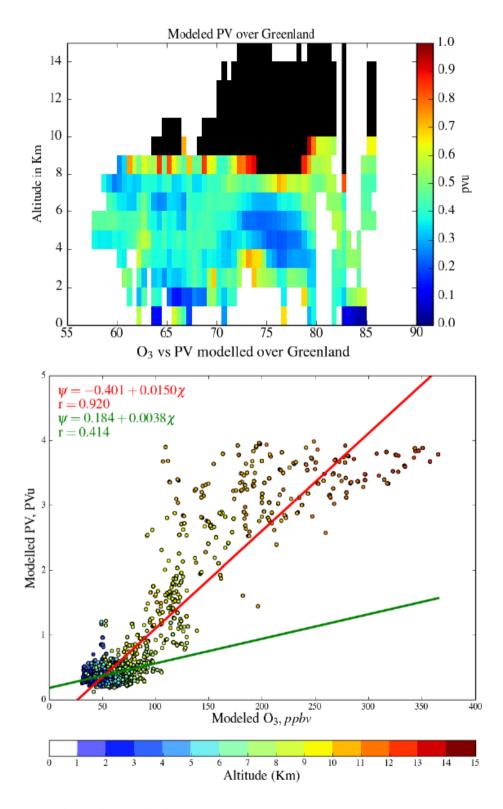


Figure 9. Same as Fig. 7 but for the Greenland region.

# 5.3 Discussion

This section aims to discuss the latitudinal ozone distribution above Greenland and Canada using (i) existing studies of the role of biomass burning, anthropogenic emissions and STE on high latititude tropospheric ozone, (ii) satellite observations of biomass burning locations and associated plumes during summer 2008 and, (iii) backtrajectory analysis of the air masses

# 5 where observations were made.

In the lower troposphere (0-4 km), the fact that ozone is lower than 50 ppbv everywhere (except close to the fires south west of Hudson Bay) is expected considering a near zero net ozone photochemical production (+0.3 ppbv/day near the surface and -0.3 ppbv/day in the lowermost free troposphere) and a weak influence of STE below 5 km in Northern Canada (Walker et al., 2012; Mauzerall et al., 1996).

- In the free troposphere above 4 km, a negative CO latitudinal gradient in the latitude range 50°N to 75°N is seen over both Greenland and Canada. The large CO latitudinal gradient over Canada can only be explained when considering the biomass burning plume at the continental scale which is superimposed onto the anthropogenic emissions south of 50°N. The position of the biomass burning plume is shown by the MODIS monthly mean aerosol optical depth, together with the Canadian Forest Service (CFS) fire counts (Fig. 10). Maxima of aerosol optical depth are seen both south west of Hudson Bay and over the
- 15 Atlantic ocean in the latitude band 50°N to 60°N. The ozone latitudinal gradient is also negative and correlated with CO over Canada, which can be explained by ozone photochemical production in the Canadian biomass burning plumes. Thomas et al. (2013) showed a 3 ppbv ozone increase downwind of biomass burning emissions and an enhancement ratio  $\Delta O_3 / \Delta CO$  ranging from 0.1 near the fires to 0.5 downwind. This is consistent with a  $\Delta O_3$  of 6-8 ppbv between zone 3 and 4 over Canada where a 40 ppbv difference in the median CO value is found in the model simulation. The contribution of other emission sources can
- 20 be also estimated using 4-day backward trajectories calculated with the FLEXTRA model and T213/L91 ECMWF analysis (Fig. 11). According to the upper panels of Fig. 11, long-range transport into zones 3 and 4 over Canada shows similar patterns with 75% of the air masses coming from North American mid-latitudes, i.e. the area between -60°W/-150°W and 50°N/70°N. Regional variability of STE has also a weak influence during this period considering the weak dependency of O<sub>3</sub> with PV over Canada. Local emissions from biomass burning are the most reasonable explanation for the ozone latitudinal gradient between 25 50°N and 75°N.

Over southern Greenland the effect of ozone photochemical production related to fire plumes and the North American anthropogenic emissions appears to be less than 4 ppbv, considering the weak CO gradient between zones 6 and 7 ( $\approx$ -8 ppbv). The negative O<sub>3</sub> gradient due to increased photochemical production in zone 6 can be then easily counterbalanced by another source in zone 7 to explain the positive latitudinal O<sub>3</sub> gradient over Greenland. The STE ozone source is a likely contributor, as

suggested by the better correlation between  $O_3$  and PV over Greenland than Canada and larger PV over Greenland. Frequent tropopause polar vortices developing over Baffin Bay and Davis Strait still occur during the summer period, because of the important role of radiation to maintain and intensify the upper troposphere cyclonic activity during the summer (Cavallo and Hakim, 2010). However, in the absence of a clear PV latitudinal gradient between 55°N and 75°N in the model simulation cannot explain the significant +12 ppbv ozone latitudinal gradient. Therefore, while STE certainly contributes to the ozone budget over both southern and northern Greenland, it does not explain the largest  $O_3$  values found in zone 7. Looking at the long-range transport plot for zones 6 and 7 (lower panels of Fig. 11), the fraction of air masses coming from the North American mid-latitude area are now 34% and 18%, respectively. Multiple mid-latitude sources including North America, Europe and even Asia are also related to ozone observations in zone 7, and may explain the differences in ozone production efficiency between

5 zone 6 and zone 7. Wespes et al. (2012) concluded using the Model MOZART-4 that anthropogenic pollution from Europe dominates  $O_3$  concentrations in summer 2008 in the Arctic, while Roiger et al. (2011) shows using aircraft measurements that Asian anthropogenic pollution can be mixed with stratospheric air masses over Greenland in the 75°-80° latitude band.

For the free troposphere at high latitudes above 80 °N, the STE contribution is maximum in this region for the 4-8 km altitude range according to the average PV distribution shown in Fig.7 or Fig.9. The 75th percentile of the PV distribution

- 10 is also > 0.5 PVu in zone 8 while it is 0.3 PVu at latitudes lower than 75° over Canada and 0.4 PVu over Greenland. Zängl and Hoinka (2001) discussed the horizontal gradient of the tropopause height over the Arctic using ECMWF analysis and radiosondes. The region with the largest horizontal gradient is displaced to the North near 80 °N in July over North America, while vertical tilting of the isentropic surfaces remains small. These conditions are favorable for isentropic motion across the tropopause with more efficient STE. However CO also increases at high latitude because of transport from Asian and North
- 15 Siberian pollution sources according to the air mass transport pathway for zone 8 (Fig. 12). Such a mixture of stratospheric  $O_3$ and Asian pollution has been already suggested by Roiger et al. (2011) to explain the ozone concentrations observed at very high latitudes in the Arctic. Our study using more ozone measurements leads to the same conclusions.

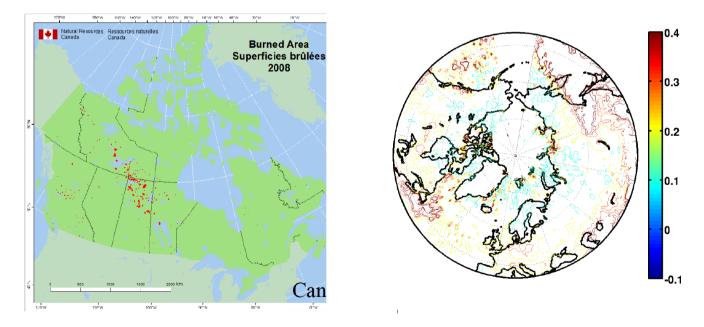
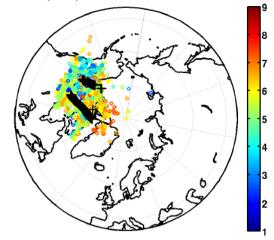
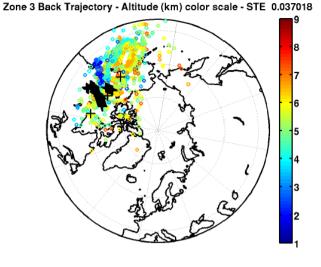


Figure 10. Map of the Canadian Forest Service fire counts in red for summer 2008 (left) MODIS aerosol optical depth at 0.55  $\mu$ m from 15 June to 15 July 2008 (right).

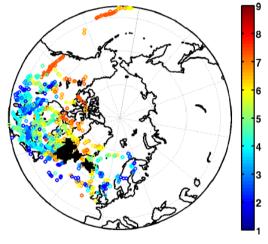
Zone 4 Back Trajectory - Altitude (km) color scale - STE 0.051489

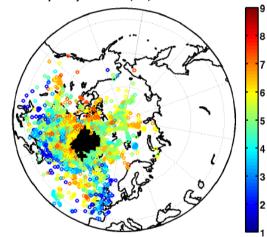


Zone 7 Back Trajectory - Altitude (km) color scale - STE 0.13453



Zone 6 Back Trajectory - Altitude (km) color scale - STE 0.078363

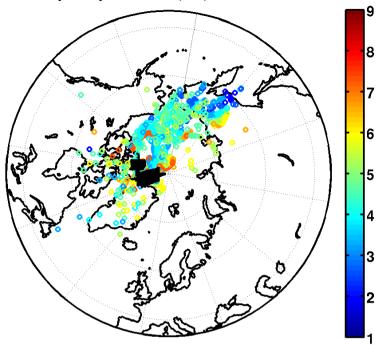




**Figure 11.** Map of the air mass daily positions using 4-days FLEXTRA trajectories which correspond to the observations in zones 3 and 4 over Canada (top row) and in zones 6 and 7 over Greenland (bottom row). Black crosses show the measurement positions and the color scale is the air mass altitude in km. The fraction is the relative number of trajectory positions reaching the tropopause (PV=1.5 PVu).

# 6 Conclusions

The purpose of this work is to provide a complete picture of Arctic ozone using measurements available during the summer 2008 POLARCAT campaigns over Canada and Greenland, where 3 aircraft were deployed and 7 ozonesonde stations intensified their ozone vertical profiling. This is the first case of such complete temporal and geographical coverage. We take



Zone 8 Back Trajectory - Altitude (km) color scale - STE 0.13977

Figure 12. same as Fig.11 for the observations at latitudes  $> 80^{\circ}$ N in zone 8.

advantage of the large number of airborne lidar profiles (representing 67% of the  $O_3$  measurements over Canada and 26% over Greenland). The measured ozone climatology established in this paper can also be used for future model evaluation at high latitudes. For example, in our work, while a good correspondence of the measured  $O_3$  vertical and latitudinal distribution is found with model results from WRF-Chem, a negative  $O_3$  bias of -6 to -15 ppbv between the model and the observations is found in the free troposphere over 4 km, especially over Canada. This deficiency is partly related to the WRF-Chem model

stratospheric ozone initialization.

5

The WRF-Chem model simulation is also used to discuss the relative influence of tropospheric ozone sources at high latitude in summer. Ozone average concentrations are of the order of 65 ppbv at altitudes above 4 km both over Canada and Greenland, while they are less than 50 ppbv in the lower troposphere. For Canada, the analysis of the modeled CO distribution and the

10 weak correlation (< 30%) of  $O_3$  and PV suggest that stratosphere-troposphere exchange (STE) is not the major contribution to tropospheric ozone at latitudes less than 70°N, where transport of North American biomass burning (BB) emissions took place during the 2008 summer. Conversely, significant STE is found over Greenland according to the better  $O_3$  versus PV correlation (> 40%) and the higher value of the 75th PV percentile. This is related to the persistence of cyclonic activity during the summer over Baffin Bay.

A weak negative latitudinal summer ozone gradient of -6 to -8 ppbv is found over Canada in the mid troposphere between 4 and 8 km because the  $O_3$  photochemical production from BB emissions mainly takes place at latitudes less than 65°N, while

5 STE plays a larger role at latitudes higher than 70°N. A positive ozone latitudinal gradient of 12 ppbv is observed in the same altitude range over Greenland not because of an increasing latitudinal influence of STE, but because of different long-range transport from multiple mid-latitude sources (North America, Europe and even Asia for latitudes higher than 77°N).

For the Arctic latitudes (>  $80^{\circ}$ N), free tropospheric O3 concentrations are related to a mixture of stratospheric O<sub>3</sub> transport across the tropopause and Asian pollution, as already suggested by Roiger et al. (2011) using a case study of aircraft

10 observations in the Arctic. Our study using more ozone measurements leads to the same conclusions.

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<sup>15</sup> the DC8 and Falcon 20 aircraft. WOUDC and the NASA MODIS team are acknowledged for providing the ozonesonde data and the MODIS data, respectively.

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