



1    **The surface aerosol optical properties in urban areas of Nanjing,**  
2    **west Yangtze River Delta of China**

3    B. L. Zhuang<sup>1,3,\*</sup>, T. J. Wang<sup>1,3,\*\*</sup>, J. Liu<sup>1,2,3</sup>, S. Li<sup>1,3</sup>, M. Xie<sup>1,3</sup>, Y. Han<sup>1,3</sup>, P. L.  
4    Chen<sup>1</sup>, Q. D. Hu<sup>1</sup>, X. Q. Yang<sup>1,3</sup>, C. B. Fu<sup>1,3</sup>, J. L. Zhu<sup>4</sup>

5    <sup>1</sup> School of Atmospheric Sciences, Nanjing University, Xianlin Ave. 163, Nanjing 210023, China

6    <sup>2</sup> Department of Geography and Planning, University of Toronto, Toronto, M5S 3G3, Canada

7    <sup>3</sup> Collaborative Innovation Center of Climate Change, Jiangsu Province, China

8    <sup>4</sup> Department of Energy and Environment, Zhejiang Prov. Development Planning & Research Institute, Hangzhou  
9    310012, China

10    \* Corresponding author, E-mail: [blzhuang@nju.edu.cn](mailto:blzhuang@nju.edu.cn); Tel.: +862589681156; fax: +862589683797

11    \*\* Corresponding author, E-mail: [tjwang@nju.edu.cn](mailto:tjwang@nju.edu.cn); Tel.: +862589683797; fax: +862589683797

12

13        **Abstract:** Observational studies of aerosol optical properties are useful to reducing uncertainties  
14    in estimating aerosol radiative forcing and forecasting visibility. In this study, the observed near-surface  
15    aerosol optical properties in urban Nanjing are analyzed from Mar 2014 to Feb 2016. Results show that  
16    near-surface urban aerosols in Nanjing are mainly from local emissions and the regions around. They  
17    have lower loadings but are more scattering than in most cities in China. The annual mean aerosol  
18    extinction coefficient (EC), single scattering albedo (SSA) and asymmetry parameter (ASP) at 550 nm  
19    are 381.96 Mm<sup>-1</sup>, 0.9 and 0.57, respectively. The aerosol absorption coefficient (AAC) is about one  
20    order of magnitude smaller than its scattering coefficient (SC). However, the absorbing aerosol has  
21    larger Ångström exponent (AAE) value, 1.58 at 470/660 nm, about 0.2 larger than the scattering  
22    aerosols' (SAE). All the aerosol optical properties followed a near unimodal pattern, the ranges around  
23    their averages accounting for more than 60% of the total samplings. Additionally, they have substantial  
24    seasonality and diurnal variations. High levels of SC and AAC all appear in winter due to higher



25 aerosol and trace gas emissions. AAE (ASP) is the smallest (largest) in summer because of high  
26 relative humidity (RH) which also causes considerably larger SC and smaller SAE, although intensive  
27 gas-to-particle transformation could produce a large number of finer scattering aerosols in this season.  
28 Seasonality of EC is different from the columnar aerosol optical depth. Larger AACs appear at the rush  
29 hours of the day while SC and Bsp only peak in the early morning. Aerosols are fresher at daytime than  
30 at nighttime, leading to their larger AE and smaller ASP. Different temporal variations between AAC  
31 and SC cause the aerosols more absorbing (smaller SSA) in autumn and around rush hours. ASP has a  
32 good quasi-LogNormal growth trend with increasing SC when RH is below 60%. The correlation  
33 between AAC and SC at the site is close but a little smaller than that in suburban Nanjing in spring.  
34 Atmospheric visibility decreases exponentially with increasing EC or SC, more sharply in spring and  
35 summer. It could be further deteriorated with increasing SSA and ASP.

36

## 37 **1 Introduction**

38 Atmospheric aerosols have substantial influences on human health, air quality and climate changes  
39 and their loadings have significantly increased since the preindustrial times. Due to their ability of  
40 scattering/absorbing solar radiation and acting as cloud condensation nuclei, atmospheric aerosols can  
41 affect atmospheric radiation and dynamics, as well as the Earth's hydrologic cycle, leading to regional  
42 or global climate changes (Forster et al., 2007). Light scattering aerosols have contributed to offsetting  
43 the warming effect of CO<sub>2</sub> (Kiehl and Briegleb 1993) while light absorbing aerosols such as black  
44 carbon (BC) could further enhance the global warming (Jacobson 2002), especially in the high aerosol  
45 regions. Due to the warming effect of BC, the atmosphere would become more unstable, which might  
46 result in the changes in the trend of precipitation in China over the past decades as suggested by Menon



47 et al. (2002). Furthermore, atmospheric aerosols can be a major component in haze pollution, altering  
48 atmospheric visibility and being harmful to human health (Chameides and Bergin, 2002).

49 Observations and modeling studies have been conducted on aerosol optical properties and  
50 radiative forcing, as well as its climate effects on regional and global scales in the past two decades  
51 (e.g., Penner et al., 2001; Bellouin et al., 2003; Liao and Seinfeld, 2005; Yan et al., 2008; Wu et al.,  
52 2012; Zhuang et al., 2013a; 2014a; Wang et al., 2015; Yu et al., 2016). Forster et al. (2007) summarized  
53 that large uncertainties exist in estimating the aerosol radiative forcing, especially in climate models.  
54 The simulated global mean direct radiative forcing ranged from +0.04 to -0.63 W m<sup>-2</sup> for total aerosols  
55 and from +0.1 to +0.3 W m<sup>-2</sup> for BC. This would further lead to much larger uncertainties in the  
56 estimations of the aerosol climate effects. In East Asia, the range of simulated BC direct radiative  
57 forcing is much larger than the global one, varying from +0.32 to +0.81 W m<sup>-2</sup> (Zhuang et al., 2013a).  
58 The bias is mostly resulted from the uncertainties in the simulated aerosol optical properties (Holler et  
59 al., 2003), which, in turn, are related to the aerosol loadings, profiles, compositions, mixing states and  
60 the atmospheric humidity. The uncertainty could be substantially reduced in a model if the aerosol  
61 optical properties are corrected based on the observations or if the observed properties are directly used  
62 (Forster et al., 2007).

63 In the last three decades, China has experienced the rapidest economic growth among East Asia  
64 and even the world. This leads to high emission of aerosols and trace gases (Zhang et al., 2009). The  
65 anthropogenic aerosol emissions in East Asia were estimated to exceed 1/4 of the global emissions  
66 (Streets et al., 2001), resulting in more diversified aerosol compositions, complex species and  
67 heterogeneous spatial distributions in the region (Zhang et al., 2012), especially in megacities and  
68 urban agglomerations (e.g., Beijing-Tianjin-Hebei (BTH), Yangtze River Delta (YRD) and Pearl River



69 Delta (PRD) regions). Uncertainties in the aerosol radiative forcing and corresponding climate effects  
70 might be much larger than in the rest of the world. Therefore, it is necessary to characterize the aerosol  
71 optical properties based on observations in China, as did many studies in recent years at urban sites and  
72 in rural areas (e.g., Bergin et al., 2001; Xu et al., 2002; 2004; Zhang et al., 2004; Yan, 2006; Xia et al.,  
73 2007; Li et al., 2007; Yan et al., 2008; Andreae et al., 2008; He et al., 2009; Wu et al., 2009; Wang et al.,  
74 2009; Li et al., 2010; Fan et al., 2010; Bai et al., 2011; Cai et al., 2011; Xiao et al., 2011; Xu et al.,  
75 2012; Wu et al., 2012; Zhuang et al., 2015; Zhang et al., 2015; Li et al., 2015a; b; Yu et al., 2016). In  
76 urban areas, Bergin et al. (2001) reported that the monthly mean aerosol scattering coefficient (SC at  
77 530 nm) and absorption coefficient (AAC at 565 nm) were 488 and 83  $\text{Mm}^{-1}$ , respectively, near the  
78 surface in Beijing in June 1996. The annual mean 532 nm-AAC in Beijing was about 56  $\text{Mm}^{-1}$  from  
79 2005 to 2006 (He et al. 2009) and it was 41~44  $\text{Mm}^{-1}$  in an urban site of YRD from 2012 to 2013  
80 (Zhuang et al., 2015). Observations from Wu et al. (2009), Cao et al. (2012) and Tao et al. (2014)  
81 suggested that the annual averaged aerosol optical properties were much larger in center to southwest  
82 China and in PRD. The annual mean 520 nm-SC and 532 nm-AAC were 525 and 83  $\text{Mm}^{-1}$ ,  
83 respectively, in Xi'an in 2009 and were 456 and 96  $\text{Mm}^{-1}$ , respectively, in Chengdu in 2011. AAC was  
84 about  $82 \pm 23 \text{ Mm}^{-1}$  in PRD. In rural and other areas, Xu et al. (2002; 2004) showed that 530 nm-SC  
85 and 565 nm-AAC were 353 and 23  $\text{Mm}^{-1}$ , respectively, at a rural site in YRD in Nov 1999, and were  
86 158 and 6  $\text{Mm}^{-1}$ , respectively in desert region (Yulin) in Apr 2001. Yan et al. (2008) reported that the  
87 annual mean 532 nm-AAC and 525 nm-SC from 2003 to 2005 were 17.5 and 174.6  $\text{Mm}^{-1}$ , respectively,  
88 at a rural site in Beijing. In addition to surface measurements, the columnar optical properties of the  
89 aerosols were also observed. Xia et al. (2007) indicated that the annual mean aerosol optical depth  
90 (AOD) at 500 nm and its Ångström exponent (AE) in YRD were about 0.77 and 1.17, respectively.



91 Zhuang et al. (2014a) suggested that the AOD and AE of absorbing aerosols from 2011 to 2012 were  
92  $0.04 \pm 0.02$  and  $1.44 \pm 0.50$ , respectively, in urban Nanjing. Che et al. (2015) reported long-term  
93 measurements of the countrywide-aerosol optical depths and Ångström exponents in China from 2002  
94 to 2013. In spite of substantial observation-based studies mentioned, measurements and analysis on  
95 aerosol properties in YRD region, one of the most populous regions in China, is still rather limited. To  
96 fill the gaps in the current observational network in China and to better understand the optical  
97 properties of urban aerosols in YRD, this study will analyze the observations of aerosol scattering (SC),  
98 back scattering (Bsp), absorption (AAC), extinction (EC) coefficients and single scattering albedo  
99 (SSA), Ångström exponent of scattering (SAE) and absorbing (AAE) aerosols, as well as aerosol  
100 asymmetry parameter (ASP) in urban area of Nanjing, a major megacity in YRD. Our ultimate goals  
101 are to reduce uncertainties in estimating aerosol radiative forcing and climate effect and to improve  
102 forecast accuracy of visibility.

103 In the following, the method is described in Section 2. Results and discussions are presented in  
104 Section 3, followed by Conclusions in Section 4.

105

## 106 **2 Methodologies**

### 107 **2.1 Sampling station and instruments**

108 The sampling station is located at the Gulou campus of Nanjing University, urban area of Nanjing  
109 ( $32.05^\circ$  N,  $118.78^\circ$  E). It is built on the roof of a 79.3 m-tall building, around which there are no  
110 industrial pollution sources within a 30-km radius but there are several main roads with apparent traffic  
111 pollution, especially at rush hours. The layout of the site and the corresponding climatology have been  
112 described in Zhu et al. (2012).



113 The wavelength dependent aerosol absorption coefficient (AAC) and concentrations of black  
114 carbon (BC) were derived from the measurements using a seven-channel Aethalometer (model AE-31,  
115 Magee Scientific, USA). The wavelength dependent aerosol scattering coefficient (SC) and back  
116 scattering coefficient (Bsp) were measured by a three-wavelength integrating Nephelometer (Aurora  
117 3000, Australia). To make a brief comparison, the wavelength dependent columnar aerosol optical  
118 depth (AOD) was observed using a Cimel sunphotometer (CE-318). The AE-31 model measures light  
119 attenuation at seven wavelengths, including 370, 470, 520, 590, 660, 880, and 950 nm, respectively,  
120 with a desired flow rate of 5.0 L/min and a sampling interval of 5 min. Aurora 3000 measures the  
121 aerosol's light scattering, including SC and Bsp at 450, 525 and 635 nm, with a sampling interval of 1  
122 min. CE-318 measures the AOD from 340 to 1640 nm at day times. Routine calibrations and  
123 maintenances were carried out for all these instruments during the sampling periods. R-134 was used as  
124 a span gas for Aurora 3000. The aerosol inlet is located about 1 m above the roof. Data to be analyzed  
125 in this study were measured from Mar 2014 to Feb 2016 for AE-31 and CE-318 and from Jun 2014 to  
126 Feb 2016 for Aurora 3000. Meteorological data during the sampling period are from the National  
127 Meteorological Station of Nanjing (No. 58238).

128

## 129 2.2 Calculation of the aerosol optical properties

130 The wavelength dependent aerosol absorption coefficient (AAC) and BC mass concentration  
131 can be calculated directly based on the measured light attenuations through a quartz filter matrix  
132 (Petzold et al., 1997; Weingartner et al., 2003; Arnott et al., 2005; Schmid et al., 2006):

$$133 \quad \sigma_{\text{ATN},i}(\lambda) = \frac{(\text{ATN}_i(\lambda) - \text{ATN}_{i-1}(\lambda))}{\Delta t} \times \frac{A}{V} \quad (1)$$

134 where  $A$  (in  $\text{m}^2$ ) is the area of the aerosol-laden filter spot,  $V$  is the volumetric sampling flow rate (in



135 L/min) and  $\Delta t$  is the time interval (=5 min) between  $t$  and  $t-1$ .  $\sigma_{\text{ATN}}$  is the AAC without any  
 136 correction, which is generally larger than the actual one ( $\sigma_{\text{abs}}$ ) because of the optical interactions of  
 137 the filter substrate with the deposited aerosol. Generally, there are two key factors leading to the bias: 1)  
 138 multiple scattering of light at the filter fibers (multiple scattering effect), and 2) instrumental response  
 139 with increased particle loading on the filter (shadowing effect). Thus, the correction is needed and the  
 140 calibration factors  $C$  and  $R$  (shown in Eq. 2) are introduced to against the scattering effect and  
 141 shadowing effect, respectively:

$$142 \quad \sigma_{\text{abs},t}(\lambda) = \frac{\sigma_{\text{ATN},t}(\lambda)}{C \times R} \quad (2)$$

143 Collaud Coen et al. (2010) suggested that AAC corrected from Weingartner et al. (2003) (WC2003 for  
 144 short, hereinafter) and Schmid et al. (2006) (SC2006 for short, hereinafter) have good agreements with  
 145 the one measured by a Multi-Angle Absorption Photometer. These two corrections are similar to each  
 146 other and they use the same  $R(\lambda)$  :

$$147 \quad R_t(\lambda) = \left(\frac{1}{f} - 1\right) \times \frac{\ln(\text{ATN}_t(\lambda)) - \ln 10}{\ln 50 - \ln 10} + 1 \quad (3)$$

148 where  $R=1$  when  $\text{ATN} \leq 10$  and  $f=1.2$ . However,  $C$  value is fixed in WC2003 while is  
 149 wavelength dependent in SC2006. According to Wu et al. (2013) and Zhuang et al. (2015),  $C$  in  
 150 Nanjing is 3.48 in WC2003 while it is 2.95, 3.37, 3.56, 3.79, 3.99, 4.51 and 4.64 at 370, 470, 520, 590,  
 151 660, 880, and 950 nm, respectively, in SC2006. Zhuang et al. (2015) further suggested that wavelength  
 152 dependent AACs corrected by SC2006 might be more close to the real ones than WC2003's in Nanjing,  
 153 although 532 nm-AACs from these two corrections are close to each other. In addition to the direct way,  
 154 AAC can also be calculated indirectly:

$$155 \quad \sigma_{\text{abs},t}(\lambda) = [BC] \times \gamma \quad (4)$$



156 where [BC] is the mass concentration of Aethalometer BC (in  $\mu\text{g}/\text{m}^3$ ) without any correction and  $\gamma$  is  
 157 the conversion factor determined empirically from linear regression of the Aethalometer BC  
 158 concentration versus the aerosol absorption measurement (Yan et al., 2008). Zhuang et al. (2015)  
 159 indicated that  $\gamma$  from the linear regression of the Aethalometer BC concentrations ( $\text{ng}/\text{m}^3$ ) at 880 nm  
 160 against the light absorption coefficient ( $\text{Mm}^{-1}$ ) at 532 nm in Nanjing is about  $11.05 \text{ m}^2/\text{g}$ . It's obviously  
 161 that only 532 nm-AAC can be addressed from this way. Thus, AACs corrected from SC2006 are used  
 162 in this study.

163 Based on wavelength dependent AAC and SC, Ångström exponent of scattering (SAE) and  
 164 absorbing (AAE) aerosols are estimated as followed:

$$165 \quad AAE_{470/660\text{nm}} = -\log(AAC_{470\text{nm}} / AAC_{660\text{nm}}) / \log(470 / 660) \quad (5)$$

$$166 \quad SAE_{450/635\text{nm}} = -\log(SC_{450\text{nm}} / SC_{635\text{nm}}) / \log(450 / 635) \quad (6)$$

167 For purposes of comparison, AAC at 450, 525, 532, 550 and 635 nm, SC at 532 and 550 nm  
 168 as well as Bsp at 532 and 550 nm were further calculated by the given coefficients and  
 169 corresponding Ångström exponents:

$$170 \quad \sigma_{\lambda} = \sigma_{\lambda_0} \times \left(\frac{\lambda}{\lambda_0}\right)^{-\alpha} \quad (7)$$

171 where,  $\sigma_{\lambda}$  is the coefficient at wave length  $\lambda$ ,  $\alpha$  is the corresponding Ångström exponents.

172 Based on wavelength dependent SC, Bsp, AAC, aerosol asymmetry parameter (ASP), single  
 173 scattering albedo (SSA) and extinction coefficient (EC) are further estimated:

$$174 \quad ASP_{\lambda} = -7.143889\beta_{\lambda}^3 + 7.46443\beta_{\lambda}^2 - 3.9356\beta_{\lambda} + 0.9893 \quad (8)$$

$$175 \quad SSA_{\lambda} = \frac{SC_{\lambda}}{SC_{\lambda} + AAC_{\lambda}} \quad (9)$$

$$176 \quad EC_{\lambda} = SC_{\lambda} + AAC_{\lambda} \quad (10)$$





177 where,  $\beta_{\lambda}$  is the ratio of Bsp to SC at wavelength  $\lambda$ . Eq. 8 derives from Andrews et al. (2006).

178

### 179 **3 Results and discussions**

180 It's well known that the temporal variations of the aerosol optical properties at different  
181 wavelengths are generally consistent with each other. Therefore, only single wavelength (such as 550  
182 nm) AAC, SC, Bsp, SSA and ASP are focused when analyzing their basic characteristics (including  
183 temporal variations, frequency distributions and changes with wind direction), their relationships with  
184 each other, and their relationships with the meteorological conditions (such as RH and VIS) and  
185 columnar AOD.

#### 186 **3.1 Temporal variations of the aerosol optical properties**

187 The aerosol absorption coefficient (AAC) was directly obtained from the measurement of AE-31  
188 and the scattering and back scattering coefficients (SC and Bsp) were directly measured from Aurora  
189 3000. Based on wavelength dependent AAC and SC, Ångström exponent of absorbing (AAE at  
190 470/660 nm) and scattering (SAE at 450/635 nm) aerosols were estimated according Eq.5 and Eq. 6,  
191 respectively. Based on AAC, SC and Bsp, wavelength dependent aerosol asymmetry parameter (ASP),  
192 single scattering albedo (SSA) and extinction coefficient (EC) are further estimated using Eq. 8~10 and  
193 analyzed. Table 1 lists the statistical summary of surface aerosol optical properties in urban area of  
194 Nanjing during the sampling period. The annual mean AAC, SC, Bsp, EC, SSA and ASP at 550 nm,  
195 AAE at 470/660 nm and SAE at 450/635 nm is 29.615  $\text{Mm}^{-1}$ , 338.275  $\text{Mm}^{-1}$ , 44.257  $\text{Mm}^{-1}$ , 381.958  
196  $\text{Mm}^{-1}$ , 0.901, 0.571, 1.583 and 1.320, respectively, with a standard deviation of 20.454  $\text{Mm}^{-1}$ , 228.078  
197  $\text{Mm}^{-1}$ , 27.396  $\text{Mm}^{-1}$ , 252.271  $\text{Mm}^{-1}$ , 0.049, 0.088, 0.228 and 0.407, respectively.

198 **Table 1**



199 Figure 1 shows the 10th, 25th, median, 75th and 90th percentile values of the 550 nm- AAC, SC,  
200 Bsp, 470/660 nm-AAE and 450/635 nm-SAE in urban area of Nanjing in each season from Mar 2014  
201 to Feb 2016. Default values of the scattering aerosols' optical properties in spring 2014 are blank  
202 because the measurements of Aurora 3000 started from June 2014. The figure suggests that AAC, SC,  
203 Bsp, AAE and SAE have substantially seasonal variations. High level of AAC appears in winter times  
204 (DJF) while the lower one is found in summer (JJA) (Fig. 1a). The temporal trend of Bsp is similar to  
205 AAC's (Fig. 1d). According to Zhang et al. (2009), emissions of the aerosols and trace gases in China  
206 are larger in winter than in the other seasons especially for carbonaceous aerosols (Fig. 1c in Zhuang et  
207 al., 2013b). Thus, the higher AAC values in winter than in summer might be mostly resulted from the  
208 higher aerosol emissions, lower boundary height and less rainfall. However, possibly due to the  
209 impacts of RH in summer and dust aerosol in spring (Zhuang et al., 2014a), SC is considerably large in  
210 these two seasons (Fig. 1c). Thus, the lowest SC is found in autumn in both 2014 and 2015. AAE has  
211 seasonality similar to AAC. Due to RH, small value of AAE is found in JJA while the larger ones  
212 appear in the other seasons (Fig. 1b), which is different from the seasonality of SAE. SAE is larger in  
213 warmer seasons but is smaller in the other seasons. Scattering aerosols, including inorganic and  
214 partially organic components, mainly come from gas-to-particle transformation, so that they have  
215 smaller sizes (larger AE) compared to the primary aerosols (such as dust and BC). The efficiency of  
216 gas-to-particle transformation is higher in warmer seasons. The observations of the aerosol  
217 compositions at the site showed that seasonal mean inorganic aerosols, including sulfate, nitrate and  
218 ammonium, account for about 50% of the total  $PM_{2.5}$  in spring and might be higher than 50% in the  
219 other seasons (Zhuang et al., 2014b). Thus, SAE in summer and autumn is large (Fig. 1e). RH can  
220 impose substantial influences on scattering aerosols. SAE might be much larger than the current values



221 in these two seasons if the moisture absorption growing were excluded. Seasonal mean RH is about  
222 75.41% and 70.86% in JJA and SON, respectively, to a certain degree leading to higher values of SAE  
223 in autumn than in summer. The figure also suggests that aerosol absorption coefficient and scattering  
224 coefficient as well as their sizes in 2014 are higher than those in 2015, which might somewhat relate to  
225 a difference in RH in these two years. A comparison of RH between 2014 and 2015 indicates that RH is  
226 79.49% and 72.86% in JJA and SON, respectively, in 2014, larger than that in 2015 (71.33% in JJA and  
227 69.03 in SON).

#### 228 **Figure 1**

229 Figure 2 plots the seasonal mean values with standard deviations of AAC, SC, Bsp, EC, SSA, ASP  
230 at four wavelengths, AAE at 470/660 nm and SAE at 450/635 nm. AAC, SC, Bsp and EC increase with  
231 decreasing wavelength in four seasons. Changes in SSA and ASP with increasing wavelength are  
232 different in different seasons. SSA increases with increasing wavelength in colder seasons but little in  
233 JJA and SON. ASP increases with wavelength in JJA, opposite to in other seasons. The figure also  
234 suggests that seasonal variation of EC is more consistent with SC's, with large values in JJA and DJF  
235 ( $370.236$  and  $422.569$   $\text{Mm}^{-1}$ , respectively, at 550 nm). The largest values of SSA and ASP appear in JJA  
236 ( $0.933$  and  $0.638$ , respectively, at 550 nm), implying that aerosols in urban area of Nanjing are more  
237 scattering and have stronger forward scattering ability in JJA than in other seasons. The urban aerosols  
238 are more absorbent in SON in Nanjing (550 nm SSA is about 0.874).

#### 239 **Figure 2**

240 Seasonal mean 550 nm AAC, SC, Bsp, EC, SSA, and ASP, 470/660 nm AAE and 450/635 nm  
241 SAE as well as corresponding standard deviations are listed in Table 2. It suggests that seasonal mean  
242 550 nm AAC, SC, Bsp, EC, SSA, and ASP vary from 19.65 to 37.96  $\text{Mm}^{-1}$ , 294.62 to 385.14  $\text{Mm}^{-1}$ ,



243 36.99 to 54.79  $\text{Mm}^{-1}$ , 341.3 to 422.57  $\text{Mm}^{-1}$ , 0.874 to 0.933, and 0.54 to 0.64, respectively. Seasonal  
244 mean AAE and SAE vary from 1.49 to 1.70 and 1.1 to 1.54, respectively. AAC and Bsp in DJF are  
245 about 2 and 1.5 times of those in JJA, respectively. SSA in JJA is about 6.75% larger than that in SON.

#### 246 **Table 2**

247 In addition to seasonality, the aerosol optical properties near the surface at urban Nanjing have  
248 substantial diurnal variations (Figure 3), especially for the coefficients (AAC, SC, Bsp and EC). The  
249 diurnal variation of EC, which is consistent with SC, is not showed in the figure. AAC levels are  
250 usually high at the rush hours around 07:00-09:00 am and around 09:00-11:00 pm but low in the  
251 afternoon (Fig. 3a). At 08:00 am, mean 550 nm-AAC is as large as about 34  $\text{Mm}^{-1}$ , while at 02:00 pm,  
252 it is about 23  $\text{Mm}^{-1}$ . SC and Bsp (Fig. 3b and 3c), to some extent, have diurnal variations similar to  
253 AAC's. Their lowest values also appear in the afternoon (about 280  $\text{Mm}^{-1}$  for SC and 38  $\text{Mm}^{-1}$  for Bsp).  
254 However, only one peak of the aerosol scattering coefficient is found in the early morning (about 379  
255  $\text{Mm}^{-1}$  for Sc and 48  $\text{Mm}^{-1}$  for Bsp) and it is about 1-2 hours earlier than its absorption coefficient  
256 possibly owing to the different emissions between these two types of aerosols. Absorbing aerosols in  
257 urban Nanjing mainly come from the vehicle emissions because of the developed transportation  
258 network, resulting in two peaks of AAC within one day (Zhuang et al. 2015). Scattering aerosol  
259 loadings are somewhat less affected by traffic emissions especially in nighttime. Their precursors, such  
260 as  $\text{SO}_2$  and  $\text{NO}_x$ , are mostly come from coal combustion and industrial emissions in urban Nanjing  
261 based on source apportionment. Therefore, there is no peak for SC or Bsp before midnight, although  
262 their values are considerably large (about 350 and 46  $\text{Mm}^{-1}$ , respectively). Different diurnal cycles  
263 between AAC and SC were also observed in sub-urban area of Nanjing (Yu et al., 2016). Diurnal  
264 variations of AAC, SC and Bsp might be highly affected by the diurnal cycles of the boundary layer.



265 The small coefficients in afternoon are mostly induced by well developed mixing layer (Zhuang et al.  
266 2014b). Generally, the boundary layer becomes more and more stable after sunset and its height  
267 becomes lower, which is conducive to the accumulation of air pollutants in the nighttime especially  
268 during the period from midnight to sunrise. Therefore, SC usually peaks in early morning and the peak  
269 appears at different times in different seasons (05:00 am in JJA and 08:00-09:00 am in DJF). The  
270 daytime peak of AAC appears at 07:00 am in JJA and at 09:00 am in DJF. Diurnal variation of SSA  
271 also reflects the difference between AAC and SC (Fig. 3d), implying that aerosols in urban Nanjing are  
272 more scattering after midnight (SSA is about 0.91) while more absorbing before noon and midnight  
273 (SSA is about 0.89). Additionally, SSA is also large in afternoon possibly because the dilution effect of  
274 well developed boundary layer on scattering aerosol is weaker than that on absorbing aerosols.  
275 Scattering aerosols mainly come from strong chemical production (gas-to-particle transformation) at  
276 daytime, which to some extent offsets the dilution effect of the boundary on SC. The figure further  
277 shows that both AAE (Fig. 3e) and SAE (Fig. 3f) at daytime are slightly larger than those after  
278 midnight because both absorbing and scattering aerosols are more fresher at daytime while they are  
279 more aged before sunrise. Diurnal variations of SAE and AAE are relatively weaker compared to  
280 corresponding coefficients. In addition to aerosol loadings, the level of Bsp is also affected by the size  
281 of the aerosols as suggested by Yu et al. (2016), so is ASP (Fig. 3g). Diurnal cycle of ASP is similar to  
282 that of Bsp but is opposite to that of SAE. Large ASP appears in early morning (0.587) and the lower  
283 ASP in afternoon (0.552).

284 **Figure. 3**

### 285 3.2 Frequencies of the aerosol optical properties

286 The frequency of the aerosol optical properties is presented in Figure 4. Similarly, the frequency



287 of EC is not shown in the figure because it has similar pattern to SC's. Almost all of them follow a  
288 unimodal pattern. The dominant range is from 9 to 45  $\text{Mm}^{-1}$  for AAC, 60 to 390  $\text{Mm}^{-1}$  for SC, 15 to 60  
289  $\text{Mm}^{-1}$  for Bsp, 0.87 to 0.97 for SSA 1.4 to 1.8 for AAE, 0.96 to 1.68 for SAE and 0.48 to 0.69 for ASP,  
290 accounting for over 73%, 67%, 69%, 73%, 71%, 62% and 81% the total samplings during the entire  
291 study period, respectively. The maximum frequencies of 32.9% (AAC), 24.04% (SC), 26.45% (Bsp),  
292 18.64% (SSA), 20.9% (AAE), 18.06% (SAE) and 34% (ASP) occur in the ranges from 9 to 21  $\text{Mm}^{-1}$ ,  
293 170 to 280  $\text{Mm}^{-1}$ , 30 to 45  $\text{Mm}^{-1}$ , 0.91 to 93, 1.5 to 1.6, 1.32 to 1.5 and 0.55 to 0.62, respectively.  
294 Frequency distributions of the aerosol optical properties have substantially seasonal variations. The  
295 frequency peaks of the properties would be more concentrated at lower/higher ranges if their seasonal  
296 means are smaller/larger. As shown in Fig. 4a, 4c, and 4e, relatively larger values or the peaks of  
297 frequencies for AAC, Bsp and AAE are concentrated in lower value ranges in JJA but in higher value  
298 ranges in the other seasons. Moisture absorption growth of absorbing aerosols leads to a left-ward shift  
299 in an AAE-frequency curve in JJA. Effects of dust aerosol also might result in a left-ward shift in a  
300 SC-frequency curve in spring (Fig. 4f). Furthermore, due to dust and RH, SC is considerably large in  
301 MAM and JJA, leading to relatively larger frequencies of SC distributed at larger SC ranges compared  
302 with the ones of AAC. As mentioned above, aerosols in urban Nanjing are more scattering and have  
303 stronger forward scattering ability in JJA than in the other seasons, thus larger frequencies occur more  
304 at higher value ranges of SSA and ASP in JJA.

#### 305 **Figure 4**

#### 306 **3.3 Aerosol optical properties in different wind directions**

307 East Asian monsoon is active in middle latitudes. Nanjing could be affected by East Asian summer  
308 monsoon in JJA and by the winter monsoon in DJF. Air flows in these two seasons are significantly



309 different (Figure 5a and 5b) so to alter the aerosol optical properties in different seasons. Air masses  
310 mostly come from the oceans (about 77%) in JJA and from continental regions in north and northwest  
311 of China (57%) in DJF. Only a few percentages of air masses are from the north region of China in JJA.  
312 Additionally, considerable air masses arriving at the site are from the local areas (cluster 1 in JJA) or  
313 from places near Nanjing (cluster 1 in DJF). Therefore, the aerosol optical properties at the study site  
314 are characterized differently with different air masses in the two seasons.

315 As suggested by Zhuang et al. (2014b), high BC loadings in early June 2012 were observed at the  
316 site when the air masses were from northwestern directions of Nanjing, in which seriously biomass  
317 burning was detected. Therefore, the aerosol optical properties are further analyzed by their origins in  
318 both JJA and DJF (Fig 5c and 5d). In JJA, seasonal mean AAC, SC, Bsp, SSA, ASP, AAE and SAE are  
319 about  $19.65 \text{ Mm}^{-1}$ ,  $340.87 \text{ Mm}^{-1}$ ,  $36.99 \text{ Mm}^{-1}$ , 0.93, 0.64, 1.49 and 1.34, respectively. The dominant air  
320 masses are from local areas (cluster 1 in Fig. a) and east ocean (on the way through urban  
321 agglomeration regions (cluster 2) and less-developed regions (cluster 3) of the Yangtze River Delta  
322 YRD), accounting for 90% of the total characteristics of the aerosol optical properties in urban Nanjing.  
323 All the values of the properties in the first three clusters are more close to their season means. Aerosol  
324 absorption and scattering coefficients from local emissions are larger than those in the other clusters.  
325 Although air masses in cluster 2 and cluster 3 come from the oceans and have the same level of relative  
326 humidity (RH), differences still exist between the clusters. The air masses have to cross the urban  
327 agglomeration (from Shanghai to Nanjing) of YRD when they arrive Nanjing in cluster 2 but pass less  
328 developed regions (north Jiangsu Province) in cluster 3. In YRD, emissions of the aerosols and trace  
329 gases are much stronger in urban agglomeration regions than those in other area as suggested in Zhang  
330 et al. (2009) and Zhuang et al. (2013b). Therefore, AAC and SC in cluster 2 are larger than those in



331 cluster 3 to some extent (Fig. 5a and 5c). Aerosols from these two clusters are more scattering than the  
332 local ones. There are two clusters (cluster 4 and 5 in Fig. 5a) from the remote areas in JJA. Aerosol  
333 loadings are relatively small when the air masses from these two clusters. The size of the aerosols is  
334 finer (larger AAE in cluster 5 and SAE in cluster 4 and 5 in Fig. 5c). ASP varying with the clusters  
335 coincides with RH varying with the clusters (Fig. 5c), implying that RH might influence ASP  
336 significantly. In DJF, seasonal mean AAC, SC, Bsp, SSA, ASP, AAE and SAE are about  $37.96 \text{ Mm}^{-1}$ ,  
337  $385.14 \text{ Mm}^{-1}$ ,  $54.79 \text{ Mm}^{-1}$ , 0.89, 0.54, 1.70 and 1.24, respectively. Similar to JJA, the aerosol  
338 absorption and scattering coefficients are the largest, all of which (AAC, SC and Bsp) are about 1.3  
339 times of their season means (Fig. 5d), when the air masses are local or from the regions (cluster 1 in  
340 Fig. 5b) near Nanjing in DJF. AAC, SC, Bsp, SSA and ASP are small but AAE and SAE are large if air  
341 masses are from remote areas. Aerosols are the smallest, most absorbing and finest when the air masses  
342 are from near Lake Baikal. ASP varying with the clusters also coincides with RH varying with the  
343 clusters in this season (Fig. 5d), further implying the effect of RH on ASP.

#### 344 **Figure 5**

345 Substantial studies on the aerosol optical properties have been carried out in China from monthly  
346 to annual scales. Table 3 lists some annual and seasonal statistics of measured surface aerosol optical  
347 properties from literature. Annual and season means listed in the table are comparable to some extent,  
348 although the observational periods and instruments are different. It suggests that AACs and SCs in  
349 urban areas are much higher than those in rural and remote areas. In Beijing (center of  
350 Beijing-Tianjin-Hebei region), annual mean AAC and SC were  $56$  and  $288 \text{ Mm}^{-1}$  in urban site during  
351 the period from 2005 to 2006 (He et al., 2009), which were much larger than the ones ( $17.5$  and  $174.6$   
352  $\text{Mm}^{-1}$ , respectively) in rural area (Yan et al., 2008). In Chengdu (Tao et al., 2014), Xi'an (Cao et al.,





353 2012) and Wuhan (Gong et al., 2015), which is the center from southwest to central China, the annual  
354 mean scattering coefficients in these cities exceeded 450, 520 and 370  $\text{Mm}^{-1}$ , respectively. In Pearl  
355 River Delta (PRD) region, seasonal mean AAC at 532 nm was about 84 and 188  $\text{Mm}^{-1}$  at an urban site  
356 (Panyu), about 47 and 95  $\text{Mm}^{-1}$  at a suburban site (Dongguan), about 26 and 28  $\text{Mm}^{-1}$  at a rural site,  
357 and only 7.21 and 8.37  $\text{Mm}^{-1}$  at a remote site (Yongxing Island), in spring and winter, respectively (Wu  
358 et al., 2013). Additionally, aerosols in urban areas are more absorbing. The aerosol absorptions in urban  
359 areas have stronger seasonality than those in rural areas (Table 3). Urban aerosols in Nanjing in annual  
360 scale are somewhat lower but more scattering than those in most cities in China. In addition to annual  
361 and seasonal means, there are considerable studies on monthly mean aerosol optical properties (e.g.,  
362 Bergin et al., 2001; Xu et al., 2002; 2004; Li et al., 2007; Andreae et al., 2008; Li et al., 2015a; b). A  
363 few studies on the aerosol optical properties in Nanjing have been carried out previously (Zhuang et al.,  
364 2014a; 2015; Yu et al., 2016) based on observations. They were more focused on the columnar aerosols  
365 (Zhuang et al., 2014a), or single optical property (Zhuang et al., 2015), or shorten observations (two  
366 months in Yu et al., 2016). Substantial analysis in the key optical properties of the surface aerosol here  
367 to a certain degree fill the gaps in the study on the aerosols in Nanjing, even in YRD.

### 368 **Table 3**

#### 369 **3.4 Relationship among aerosol optical properties, relative humidity and visibility**

370 The relationships between SC and AAC, SC and Bsp are presented by season in Figure 6. As  
371 shown in Figures 3 and 4, these three types of coefficients have similar diurnal and frequency  
372 distributions. It is obviously that relations between SC and Bsp are much better than those between SC  
373 and AAC in all seasons. The linear correlation coefficient varies from 0.93 to 0.97 for SC and Bsp and  
374 from 0.66 to 0.87 for SC and AAC in urban Nanjing. The correlation between AAC and SC becomes



375 poorer in MAM (0.66) and JJA (0.87) because the scattering aerosols is more affected by dust in spring  
376 and SC is more affected by RH in summer. The linear correlation coefficients between SC and AAC  
377 and between SC and Bsp in MAM at the site were a little smaller than that in suburban Nanjing (Yu et  
378 al., 2016) in the same season in 2011. The slope of the fitting between Bsp and SC represents the levels  
379 of ASP. Analysis (not shown) suggests that ASP has a significant anti-correlation with the ratio of Bsp  
380 to SC (linear  $R=-0.98$ ). Thus, a greater slope of curve represents a smaller ASP, thus less forward  
381 scattering of the aerosols.

#### 382 **Figure 6**

383 The correlations between ASP and SC under different RH conditions are illustrated in Figure 7,  
384 showing that ASP has a quasi-LogNormal distribution with SC especially in lower RH conditions. ASP  
385 increases monotonically with increasing SC in low RH ranges (Fig. 7a and 7b,  $RH < 60\%$ ) and ASP  
386 mostly concentrates at small SC regions when RH is less than 40% (Fig. 7a), implying that fine  
387 particles dominates the most in low RH conditions as also suggested by Andrews et al. (2006) and  
388 Badu et al. (2012). The correlation between ASP and SC becomes poorer with increasing RH (Fig. c),  
389 indicating that both fine and coarse aerosols might be equally important to the total SC.

#### 390 **Figure 7**

391 Figure 8 shows the relationships between the SSA at 491 nm and extinction Angstrom exponent  
392 (EAE) at 491/863 nm (Fig. a) as well as between SSA difference (863 nm - 491 nm) (short for dSSA)  
393 and EAE at 491/863 nm (Fig. b). Overall, SSA or dSSA to a certain degree have an anti-correlation  
394 with EAE in urban area of Nanjing, especially for the latter one. Linear correlation coefficient is about  
395 -0.13 between SSA and EAE and about -0.75 between dSSA and EAE. Relationships between the SSA  
396 (or dSSA) and EAE to some extent reflect the aerosol types and sources as indicated by Russell et al.



397 (2014), who proposed a method to identify the aerosol types based on the columnar aerosol optical  
398 properties (including SSA, EAE and the real refractive index) from the Aerosol Robotic Network  
399 (AERONET) retrievals. They suggested that: 1. The polluted dust aerosol had smaller EAE (near 1.0)  
400 and SSA ranged from 0.85 to 0.95. 2. The urban aerosols had larger EAE values (around 1.4) and SSA  
401 ranges (0.86–1.0) compared with the dust aerosols. 3. The biomass burning aerosol (dark type) had the  
402 largest EAE (exceeding 1.5) while smaller SSA (about 0.85). If there were two kind of aerosols having  
403 nearly identical coordinates in SSA and EAE, further information (such as the real refractive index)  
404 should be used (Russell et al., 2014). Based on this method, the figure further implies that, in addition  
405 to local emissions, aerosols in urban area of Nanjing might also be affected substantially by the long  
406 distance transported dust (or polluted dust) in spring and be influenced to some extent by biomass  
407 burning in fall.

#### 408 **Figure 8**

409 Atmospheric humidity has significant influences on the growth of particulate matter, subsequently  
410 affecting the sizes and absorbing/scattering abilities of the aerosols. As shown in Figure 7a and 7c, high  
411 levels of SC are likely found in high RH ranges. Seasonal mean RH is the largest in summer but lowest  
412 in winter (Figure 9a). Due to the effects of RH in summer, the aerosol scattering efficiency would be  
413 enhanced substantially (Fig. 1c). Additionally, the smallest AAE in JJA corresponds to the highest RH,  
414 and vice versa (Fig. 1b), indirectly verifying the effects of RH on the size of absorbing aerosols, i.e.,  
415 coarser in high RH but finer in low RH. These results are consistent with Zhuang et al. (2014a), in  
416 which characteristic of columnar aerosol optical properties were investigated. Figure 9b further shows  
417 that AAE and SAE decrease monotonically with increasing RH. The correlation between ASP and RH  
418 is opposite to that between aerosol Ångström exponent and RH, implying that the forward scattering



419 efficiency increases with increasing in RH. The linear correlation coefficients are -0.36, -0.15 and 0.6  
420 between AAE and RH, SAE and RH, and ASP and RH, respectively, in urban areas of Nanjing. The  
421 relation between ASP and RH is the best among these three optical properties, which has somewhat  
422 shown in Fig. 2f, Fig. 5c and Fig. 5d. These results could be used to correct the aerosol optical  
423 parameters in numerical models for estimating the aerosol radiative forcing in East China as suggested  
424 by Andrews et al. (2006), in hope to reduce uncertainties in such estimation.

#### 425 **Figure 9**

426 High levels of aerosol loadings would directly affect the visibility (VIS), which is one of the  
427 factors being concerned about in current air quality forecasting in China. The forecast accuracy of  
428 visibility or haze pollutions would be increased significantly if the effects of aerosols on visibility can  
429 be figured out. Instead of the loadings of the particulate matter, the aerosol optical properties here are  
430 used when investigating the aerosol effects on VIS.

431 Figure 10 shows the relations between extinction coefficient (EC) and VIS and between SC and  
432 VIS by season under different RH levels. Atmospheric VIS is found to decrease exponentially with  
433 increasing EC or SC in all seasons. The lapse rate of VIS with EC or SC is much larger in spring and  
434 summer than in fall and winter. The lower VIS always appears at higher RH ranges, and vice versa. In  
435 small VIS regions (such as: <4 km), VIS values are much smaller in JJA than those in the other seasons  
436 under the same SC level, implying the strong effects of RH on VIS. The effect of AAC on VIS has  
437 substantial seasonality and it is strong in SON but weak in MAM and JJA as illustrated in the fitting  
438 lines in the figure. Study on the effects of PM on VIS might be more reasonable if using the aerosol  
439 optical properties rather than its mass concentrations. The linear correlation coefficient between EC and  
440 VIS varies from -0.69 (in JJA) to -0.87 (in DJF), and between SC and VIS, it varies from -0.71 (in JJA)



441 to -0.87 (in DJF) in urban area of Nanjing.

442 **Figure 10**

443 In addition to the SC or EC, the aerosol SSA and ASP also have good relationships with VIS as  
444 shown in Figure 11, in which the effects of RH and SAE are also included (larger markers represent  
445 smaller SAE, but larger size of the aerosols). The aerosols become coarser, less absorbing and more  
446 forward scattering with increasing RH, which subsequently further exacerbate the deterioration of  
447 visibility in all the seasons. The linear correlation coefficients vary from -0.48 (in JJA) to -0.73 (in  
448 SON) between SSA and VIS and -0.47 (in JJA) to -0.80 (in MAM) between ASP and VIS in urban  
449 Nanjing. These results additionally illustrate that the scattering aerosols are still the key factors  
450 affecting the atmospheric visibility, although the absorbing aerosols might have considerable influences  
451 on VIS in some seasons (Fig. 10c). The results in this study further indicate that effects of aerosols on  
452 air quality are complex.

453 **Figure 11**

454 Comparison between surface aerosol extinction coefficient and columnar AOD is performed  
455 (Figure 12). Differences exist between EC and AOD, although they are well correlated with each other  
456 in each season. AOD to some extent is less affected by the development of boundary layer and more  
457 affected by the transport of aerosols compared to EC at the surface. The seasonal mean EC is large both  
458 in JJA and in DJF while the largest AOD is only found in JJA, which is possibly related to higher  
459 boundary layer height in JJA. A lower boundary layer would lead to more aerosol accumulation at the  
460 surface thus result in its smaller column burden. These differences (high surface aerosol loadings but  
461 low AOD) have also been simulated by a regional climate chemistry model in Zhuang et al. (2011 and  
462 2013). Overall, high AOD level corresponds to large EC value in each season, implying that aerosols in



463 the upper layers mostly come from surface emissions in urban Nanjing. In some cases, long distance  
464 transport of aerosols might contribute significantly to the AOD as shown in Fig. 12a, in which AOD  
465 exceeds 2 meanwhile EC is found to appear in low value ranges. The slope of the linear fitting is larger  
466 in JJA (about 0.0016) than that in the other seasons (all about 0.001), indicating that for a given value  
467 of EC, AOD would be higher in JJA possibly because of higher humidity in summer. The columnar  
468 water vapor in summer is about 2 to 5 times of that in the other seasons.

469 **Figure 12**

#### 470 **4 Conclusions**

471 In this study, the near-surface aerosol optical properties, including aerosol scattering (SC), back  
472 scattering (Bsp), absorption (AAC) and extinction (EC) coefficients, single scattering albedo (SSA),  
473 scattering (SAE) and absorbing (AAE) Ångström exponent, as well as asymmetry parameter (ASP), are  
474 investigated based on the measurements with the 7-channel Aethalometer (model AE-31, Magee  
475 Scientific, USA) and three-wavelength integrating Nephelometer (Aurora 3000, Australia) in urban  
476 area of Nanjing from Mar 2014 to Feb 2016.

477 In urban area of Nanjing, the annual mean EC, SSA and ASP at 550 nm are  $381.958 \text{ Mm}^{-1}$ , 0.901,  
478 0.571, respectively. SC, which accounts for about 90% of EC, is about one order of magnitude larger  
479 than AAC, implying that EC to a great degree has similar temporal variation and frequency distribution  
480 to SC. Absorbing aerosol is finer than the scattering one. AAE at 470/660 nm is about 1.58, about 0.2  
481 larger than SAE. All of them above have substantially seasonal and diurnal variations. Both the aerosol  
482 absorption and scattering coefficients have the largest values in winter due to the higher emissions.  
483 However, SC also has a higher value in summer and spring likely due to higher relative humidity (RH)  
484 and efficiency of gas-to-particle transformation in summer and the effect of dust in spring, respectively.



485 High RH in summer results in the lowest AAE and largest ASP being found and it is also lead to a  
486 relatively smaller SAE, although a large number of fine scattering aerosols could be produced through  
487 intensive gas-to-particle transformation in this season. Seasonality of SSA is co-determined by AAC  
488 and SC, showing the largest value in summer and lowest value in fall. AAC, SC, Bsp and EC have  
489 more substantial diurnal variations than SSA, AAE, SAE and ASP. Because of traffic emissions, AACs  
490 are high at the rush hours (around 09:00 am and pm) but low in afternoon when the boundary layer  
491 being well developed. SC and Bsp usually peak in the early morning before sunrise (1-2 earlier than  
492 AAC's) and reach the bottom in the afternoon. High levels of SC and Bsp are mostly caused by  
493 accumulation of air pollution in the nighttime from midnight to sunrise. The diurnal variation of SSA is  
494 also depended on AAC and SC. SSA is large after midnight and noon. AAE and SAE at daytime are  
495 slightly larger than after midnight because both absorbing and scattering aerosols are fresher at daytime  
496 but more aged before sunrise. ASP, which is related to the size of the aerosols, its diurnal variation is  
497 opposite to SAE's but similar to Bsp's.

498 Frequency analysis indicates that almost all of the aerosol optical properties follow a unimodal  
499 pattern in urban area of Nanjing. The dominant ranges are from 9 to 45  $\text{Mm}^{-1}$  for AAC, 60 to 390  $\text{Mm}^{-1}$   
500 for SC, 15 to 60  $\text{Mm}^{-1}$  for Bsp, 0.87 to 0.97 for SSA 1.4 to 1.8 for AAE, 0.96 to 1.68 for SAE and 0.48  
501 to 0.69 for ASP, accounting for more than 73%, 67%, 69%, 73%, 71%, 62% and 81%, respectively, of  
502 the total data samples during the entire study period. Frequency distributions of the aerosol optical  
503 properties also have substantial seasonality. The frequency peak of a property would be more  
504 concentrated among lower/higher ranges if the seasonal mean is smaller/larger. Back trajectory analysis  
505 suggests that the source of aerosols in Nanjing are mainly from the local and regional emissions around  
506 YRD in summer, while from the sources include both local emissions and transport from central and



507 north China in winter. In JJA, aerosols are more scattering when air masses come from the East China  
508 Sea and finer if air masses come from remote areas. In DJF, AAC, SC, Bsp, SSA and ASP are low  
509 while AAE and SAE are high in urban Nanjing under the conditions of air masses being transported  
510 from remote areas. ASP varied with the clusters is consistent with RH in both JJA and DJF.

511 The correlation between SC and Bsp is much better than that between SC and AAC in all seasons.  
512 In spring, these relationships are a little weaker than those in suburban Nanjing. ASP has a  
513 quasi-LogNormal distribution with SC under a condition of RH being lower than 60%, increasing  
514 monotonically with increasing SC. It would be mostly concentrated at small SC regions when RH is  
515 less than 40% because finer particles dominant under low RH conditions. The correlation between ASP  
516 and SC becomes weaker with increasing RH, indicating that both fine and coarse aerosols might be  
517 equally important to the total SC in high RH conditions. Atmospheric humidity can significantly  
518 modulate aerosol optical properties. Due to the effects of RH in summer, the aerosol would become  
519 coarser and its forward scattering efficiency would be stronger with increasing in RH. The linear  
520 correlation coefficients are -0.36, -0.15 and 0.6 between AAE and RH, SAE and RH, and ASP and RH,  
521 respectively, in urban areas of Nanjing. Comparisons also indicate that seasonal variation of surface  
522 aerosol EC (high in JJA and DJF) is different from its columnar optical depth (AOD, high in JJA and  
523 low in DJF), even though they are closely correlated to each other within each season. Overall, high  
524 AOD level corresponding to large EC value in each season implies that aerosols in upper layers are  
525 mostly from surface emissions. AOD would be higher in JJA than in other seasons in a condition with  
526 fixed EC, possibly due to the effects of high humidity.

527 Overall, the scattering aerosols are still the key factor in affecting the atmospheric visibility  
528 (VIS), although the absorbing aerosol has considerable contributions in some seasons. The linear





529 correlation coefficient between EC and VIS varies from -0.69 to -0.87, close to those between SC and  
530 VIS. VIS is found to be decreased exponentially with increasing EC or SC in all seasons. And its lapse  
531 rate along with EC or SC is much larger in spring and summer than in fall and winter. In small VIS  
532 regions (i.e., VIS<4 km), VIS values are much smaller in JJA than in other seasons if the SC levels are  
533 the same, further indicating the strong effect of RH on VIS. The aerosol SSA and ASP could also affect  
534 VIS. Large SSA and ASP might further exacerbate the deterioration of visibility. The linear correlation  
535 coefficients between seasonal SSA and VIS varies from -0.48 to -0.73 and from -0.47 to -0.80 between  
536 ASP and VIS in urban area of Nanjing.

537

538 **Acknowledgements:** This work was supported by the National Key Basic Research Development  
539 Program of China (2014CB441203), the National Natural Science Foundation of China (91544230,  
540 41475122), the New Teachers' Fund for Postdoctoral Fellows, Ministry of Education  
541 (20120091120031), FP7 project: REQUA (PIRSES-GA-2013-612671), and a project Funded by the  
542 Priority Academic Program Development of the Jiangsu Higher Education Institutions (PAPD), the  
543 National Science Foundation of Jiangsu Province (Grant #BE2015151). The authors would like to  
544 thank all members in the AERC of Nanjing University for maintaining instruments. The HYSPLIT  
545 model was supplied by NOAA: [http://ready.arl.noaa.gov/HYSPLIT\\_traj.php](http://ready.arl.noaa.gov/HYSPLIT_traj.php).



546 **5 References**

- 547 Andreae, M. O., Schmid, O., Yang, H., Chand, D., Yu, J. Z., Zeng, L. M., and Zhang, Y. H.: Optical  
548 properties and chemical composition of the atmospheric aerosol in urban Guangzhou, China Atmos.  
549 Environ., 42, 6335–6350, 2008.
- 550 Andrews, E., Sheridan, P. J., Fiebig, M., McComiskey, M., Ogren, J. A., Arnott, P., Covert, D., Elleman,  
551 R., Gasparini, R., Collins, D., Jonsson, H., Schmid, B., and Wang, J.: Comparison of methods for  
552 deriving aerosol asymmetry parameter, J. Geophys. Res., 111, D05S04, doi:10.1029/2004JD005734,  
553 2006.
- 554 Arnott, W. P., Hamasha, K., Moosmuller, H., Sheridan, P. J., and Ogren, J. A.: Towards aerosol  
555 light-absorption measurements with a 7-wavelength aethalometer: evaluation with a photoacoustic  
556 instrument and 3-wavelength nephelometer, Aerosol Sci. Tech., 39, 17–29,  
557 doi:10.1080/027868290901972, 2005.
- 558 Babu, S., Gogoi, M., Kumar, V. H. A., Nair, V. S., Moorthy, K. K.: Radiative properties of Bay of  
559 Bengal aerosols: spatial distinctiveness and source impacts, J. Geophys. Res., 117, D06213,  
560 doi:10.1029/2011JD017355, 2012.
- 561 Bai, H. T., Chen, Y. H., Wang, H. Q., Zhang, Q., Guo, N., Wang, S., Pan, H., and Zhang, P.: Seasonal  
562 variation of aerosol optical properties at AERONET of the semi-arid region in Loess Plateau, Arid  
563 Land Geogr., 34, 1–8, 2011.
- 564 Bellouin, N., Boucher, O., Tanré, D., and Dubovik, O.: Aerosol absorption over the clear-sky oceans  
565 deduced from POLDER-1 and AERONET observations, Geophys. Res. Lett., 30, 1748,  
566 doi:10.1029/2003GL017121, 2003.
- 567 Bergin, M. H., Cass, G. R., Xu, J., Fang, C., Zeng, L., Yu, T., Salmon, L. G., Kiang, C. S., Tang, X. Y.,



- 568 Zhang, Y. H., and Chameides, W. L.: Aerosol radiative, physical, and chemical properties in Beijing  
569 during June 1999, *J. Geophys. Res.*, 106 (D16), 17969–17980, 2001.
- 570 Cai, H. K., Zhou, R. J., Fu, Y. F., Zheng, Y. Y., and Wang, Y. J.: Cloud-aerosol lidar with or thogonal  
571 polarization detection of aerosol optical properties after a crop burning case, *Clim. Environ. Res.*,  
572 16, 469–478, 2011.
- 573 Cao, J., Wang, Q., Chow, J. C., Watson, J. G., Tie, X., Shen, Z., Wang, P., and An Z.: Impacts of aerosol  
574 compositions on visibility impairment in Xi'an, China, *Atmos. Environ.*, 59, 559–566, 2012.
- 575 Chameides, W. L., and Bergin, M.: Soot takes center stage, *Science*, 297 (5590), 2214–2215, 2002.
- 576 Che, H. Z., Zhang, X. Y., Xia, X., Goloub, P., Holben, B., Zhao, H., Wang, Y., Zhang, X. C., Wang, H.,  
577 Blarel, L., Damiri, B., Zhang, R., Deng, X., Ma, Y., Wang, T., Geng, F., Qi, B., Zhu, J., Yu, J., Chen,  
578 Q., and Shi, G.: Ground-based aerosol climatology of China: aerosol optical depths from the China  
579 Aerosol Remote Sensing Network (CARSNET) 2002–2013, *Atmos. Chem. Phys.*, 15, 7619–7652,  
580 2015.
- 581 Cheng, Y. F., Wiedensohler, A., Eichler, H., Su, H., Gnauk, T., Brüggemann, E., Herrmann, H.,  
582 Heintzenberg, J., Slanina, J., Tuch, T., Hu, M., and Zhang, Y. H.: Aerosol optical properties and  
583 related chemical apportionment at Xinken in Pearl River Delta of China, *Atmos. Environ.*, 42,  
584 6351–6372, 2008.
- 585 Collaud Coen, M., Weingartner, E., Apituley, A., Ceburnis, D., Fierz-Schmidhauser, R., Flentje, H.,  
586 Henzing, J. S., Jennings, S. G., Moerman, M., Petzold, A., Schmid, O., and Baltensperger, U.:  
587 Minimizing light absorption measurement artifacts of the Aethalometer: evaluation of five  
588 correction algorithms, *Atmos. Meas. Tech.*, 3, 457–474, doi:10.5194/amt-3-457-2010, 2010.
- 589 Fan, X. H., Chen, H. B., Xia, X. A., Li, Z. Q., and Cribb, M.: Aerosol optical properties from the



- 590 Atmospheric Radiation Measurement Mobile Facility at Shouxian. China, *J. Geophys. Res.*, 115,  
591 D00K33, doi:10.1029/2010JD014650, 2010.
- 592 Forster, P., Ramaswamy, V., Artaxo, P., Berntsen, T., Betts, R., Fahey, D. W., Haywood, J., Lean, J.,  
593 Lowe, D. C., Myhre, G., Nganga, J., Prinn, R., Raga, G., Schulz, M., and Van Dorland, R.: Changes  
594 in atmospheric constituents and in radiative forcing, in: *Climate Change 2007: The Physical  
595 Science Basis. Contribution of Working Group I to the Fourth Assessment Report of the  
596 Intergovernmental Panel on Climate Change*, edited by: Solomon, S. et al., Cambridge Univ. Press,  
597 Cambridge, UK, 129–234, 2007.
- 598 Gong, W., Zhang, M., Han, G., Ma, X., and Zhu, Z.: An investigation of aerosol scattering and  
599 absorption properties in Wuhan, Central China, *Atmosphere*, 6, 503–520, 2015.
- 600 He, X., Li, C. C., Lau, A. K. H., Deng, Z. Z., Mao, J. T., Wang, M. H., and Liu, X. Y.: An intensive  
601 study of aerosol optical properties in Beijing urban area, *Atmos. Chem. Phys.*, 9, 8903–8915,  
602 doi:10.5194/acp-9-8903-2009, 2009.
- 603 Holler, R., Ito, K., Tohno, S., and Kasahara, M.: Wavelength-dependent aerosol single scattering albedo:  
604 measurements and model calculations for a coastal site near the sea of Japan during ACE-Asia, *J.  
605 Geophys. Res.*, 108, 8648, doi:10.1029/2002JD003250, 2003.
- 606 Jacobson, M. Z.: Control of fossil-fuel particulate black carbon and organic matter, possibly the most  
607 effective method of slowing global warming, *J. Geophys. Res.*, 107, 4410,  
608 doi:10.1029/2001JD001376, 2002.
- 609 Kiehl, J. T. and Briegleb, B. P.: The relative roles of sulfate aerosols and greenhouse gases in climate  
610 forcing, *Science*, 260, 311–314, 1993.
- 611 Li, C., Marufu, L. T., Dickerson, R. R., Li, Z., Wen, T., Wang, Y., Wang, P., Chen, H., and Stehr, J. W.:



- 612 In situ measurements of trace gases and aerosol optical properties at a rural site in northern China  
613 during East Asian Study of Tropospheric Aerosols: An International Regional Experiment 2005, J.  
614 Geophys. Res., 112, D22S04, doi:10.1029/2006JD007592, 2007.
- 615 Li, J., Liu, X., Yuan, L., Yin, Y., Li, Z., Li, P., Ren, G., Jin, L., Li, R., Dong, Z., Li, Y., and Yang, J.:  
616 Vertical distribution of aerosol optical properties based on aircraft measurements over the Loess  
617 Plateau in China, J. Environ. Sci, 34, 44-56, 2015a.
- 618 Li, J., Yin, Y., Li, P., Li, Z., Li, R., Cribb, M., Dong, Z., Zhang, F., Li, J., Ren, G., Jin, L., and Li, Y.:  
619 Aircraft measurements of the vertical distribution and activation property of aerosol particles over  
620 the Loess Plateau in China, Atmos. Res., 155, 73–86, 2015b.
- 621 Li, Z. Q., Lee, K. H., Wang, Y. S., Xin, J. Y., and Hao, W. M.: First observation-based estimates of  
622 cloud-free aerosol radiative forcing across China, J. Geophys. Res., 115, D00K18,  
623 doi:10.1029/2009JD013306, 2010.
- 624 Liao, H. and Seinfeld, J. H.: Global impacts of gas-phase chemistry-aerosol interactions on direct  
625 radiative forcing by anthropogenic aerosols and ozone, J. Geophys. Res., 110, D18208,  
626 doi:10.1029/2005JD005907, 2005.
- 627 Menon, S., Hansen, J., Nazarenko, L., and Luo, Y. F.: Climate effects of black carbon aerosols in China  
628 and India, Science, 297, 2250–2253, doi:10.1126/science.1075159, 2002.
- 629 Penner, J. E., Andreae, M., Annegarn, H., Barrie, L., Feichter, J., Hegg, D., Jayaraman, A., Leaitch, R.,  
630 Murphy, D., Nganga, J., and Pitari, G.: Aerosols, their direct and indirect effects, in: Climate  
631 Change 2001: The Scientific Basis. Contribution of Working Group I to the Third Assessment  
632 Report of the Intergovernmental Panel on Climate Change, edited by: Houghton, J. T. et al.,  
633 Cambridge University Press, Cambridge, UK and New York, NY, USA, 289–348, 2001.



- 634 Petzold, A., Kopp, C., and Niessner, R.: The dependence of the specific attenuation cross-section on  
635 black carbon mass fraction and particle size, *Atmos. Environ.*, 31, 661–672, 1997.
- 636 Russell, P. B., Kacenelenbogen, M., Livingston, J. M., Hasekamp, O. P., Burton, S. P., Schuster, G. L.,  
637 Johnson, M. S., Knobelspiesse, K. D., Redemann, J., Ramachandran, S., and Holben, B.: A  
638 multiparameter aerosol classification method and its application to retrievals from spaceborne  
639 polarimetry, *J. Geophys. Res. Atmos.*, 119, 9838–9863, doi:10.1002/2013JD021411, 2014.
- 640 Schmid, O., Artaxo, P., Arnott, W. P., Chand, D., Gatti, L. V., Frank, G. P., Hoffer, A., Schnaiter, M., and  
641 Andreae, M. O.: Spectral light absorption by ambient aerosols influenced by biomass burning in the  
642 Amazon Basin. I: Comparison and field calibration of absorption measurement techniques, *Atmos.*  
643 *Chem. Phys.*, 6, 3443–3462, doi:10.5194/acp-6-3443-2006, 2006.
- 644 Streets, D. G., Gupta, S., Waldhoff, S. T., Wang, M. Q., Bond, T. C., and Bo, Y. Y.: Black carbon  
645 emissions in China, *Atmos. Environ.*, 35, 4281–4296, doi:10.1016/S1352-2310(01)00179-0, 2001.
- 646 Tao, J., Zhang, L. M., Cao, J. J., Hsu, S. C., Xia, X. G., Zhang, Z. S., Lin, Z. J., Cheng, T. T., and Zhang,  
647 R. J.: Characterization and source apportionment of aerosol light extinction in Chengdu, southwest  
648 China, *Atmos. Environ.*, 95, 552–562, 2014.
- 649 Wang, T. J., Zhuang, B. L., Li, S., Liu, J., Xie, M., Yin, C. Q., Zhang, Y., Yuan, C., Zhu, J. L., Ji, L. Q.,  
650 and Han, Y.: The interactions between anthropogenic aerosols and the East Asian summer monsoon  
651 using RegCCMS, *J. Geophys. Res. Atmos.*, 120, doi:10.1002/2014JD022877, 2015.
- 652 Wang, Y., Che, H. Z., Ma, J. Z., Wang, Q., Shi, G. Y., Chen, H. B., Goloub, P., and Hao, X. J.: Aerosol  
653 radiative forcing under clear, hazy, foggy, and dusty weather conditions over Beijing, China,  
654 *Geophys. Res. Lett.*, 36, L06804, doi:10.1029/2009GL037181, 2009.
- 655 Weingartner, E., Saathoff, H., Schnaiter, M., Streit, N., Bitnar, B., and Baltensperger, U.: Absorption of



- 656 light by soot particles: determination of the absorption coefficient by means of aethalometers, J.
- 657 Aerosol Sci., 34, 1445–1463, doi:10.1016/S0021-8502(03)00359-8, 2003.
- 658 Wu, D., Mao, J. T., Deng, X. J., Tie, X. X., Zhang, Y. H., Zeng, L. M., Li, F., Tan, H. B., Bi, X. Y.,
- 659 Huang, X. Y., Chen, J., and Deng, T.: Black carbon aerosols and their radiative properties in the
- 660 Pearl River Delta region, Sci. China Ser. D, 52, 1152–1163, doi:10.1007/s11430-009-0115-y, 2009.
- 661 Wu, D., Wu, C., Liao, B., Chen, H., Wu, M., Li, F., Tan, H., Deng, T., Li, H., Jiang, D., and Yu, J. Z.:
- 662 Black carbon over the South China Sea and in various continental locations in South China, Atmos.
- 663 Chem. Phys., 13, 12257–12270, doi:10.5194/acp-13-12257-2013, 2013.
- 664 Wu, Y. F., Zhang, R. J., Pu, Y. F., Zhang, L. M., Ho, K. F., and Fu, C. B.: Aerosol optical properties
- 665 observed at a semi-arid rural site in northeastern China, Aerosol Air Qual. Res., 12, 503–514, 2012.
- 666 Xia, X. A., Li, Z. Q., Holben, B., Wang, P., Eck, T., Chen, H. B., Cribb, M., and Zhao, Y. X.: Aerosol
- 667 optical properties and radiative effects in the Yangtze Delta region of China, J. Geophys. Res., 112,
- 668 D22S12, doi:10.1029/2007JD008859, 2007.
- 669 Xiao, Z. Y., Jiang, H., Chen, J., Wang, B., and Jiang, Z. S.: Monitoring the aerosol optical properties
- 670 over Hangzhou using remote sensing data, Acta Sci. Circumst., 31, 1758–1767, 2011.
- 671 Xu, J., Bergin, M. H., Greenwald, R., Schauer, J. J., Shafer, M. M., Jaffrezo, J. L., and Aymoz, G.:
- 672 Aerosol chemical, physical, and radiative characteristics near a desert source region of Northwest
- 673 China during ACE-Asia, J. Geophys. Res., 109, D19S03, doi:10.1029/2003JD004239, 2004.
- 674 Xu, J., Bergin, M. H., Yu, X., Liu, G., Zhao, J., Carrico, C. M., and Baumann, K.: Measurement of
- 675 aerosol chemical, physical and radiative properties in the Yangtze delta region of China, Atmos.
- 676 Environ., 36, 161–173, 2002.
- 677 Xu, J., Tao, J., Zhang, R., Cheng, T., Leng, C., Chen, J., Huang, G., Li, X., and Zhu, Z.: Measurements



- 678 of surface aerosol optical properties in winter of Shanghai, *Atmos. Res.*, 109-110, 25–35, 2012.
- 679 Yan, P., Tang, J., Huang, J., Mao, J. T., Zhou, X.J., Liu, Q., Wang, Z. F., and Zhou, H. G.: The  
680 measurement of aerosol optical properties at a rural site in Northern China, *Atmos. Chem. Phys.*, 8,  
681 2229–2242, doi:10.5194/acp-8-2229-2008, 2008.
- 682 Yan, P.: Study on the aerosol optical properties in the background regions in the East part of China,  
683 PhD Thesis, Peking University, China, 2006.
- 684 Yu, X. N., Ma, J., Kumar, K. R., Zhu, B., An, J. L., He, J. Q., and Li, M.: Measurement and analysis of  
685 surface aerosol optical properties over urban Nanjing in the Chinese Yangtze River Delta, *Sci. Total  
686 Environ.*, 542, 277-291, 2016.
- 687 Zhang, L., Sun, J. Y., Shen, X. J., Zhang, Y. M., Che, H., Ma, L. Q., Zhang, Y. W., Zhang, X. Y., and  
688 Ogren, J. A.: Observations of relative humidity effects on aerosol light scattering in the Yangtze  
689 River Delta of China, *Atmos. Chem. Phys.*, 15, 8439–8454, 2015.
- 690 Zhang, Q., Streets, D. G., Carmichael, G. R., He, K. B., Huo, H., Kannari, A., Klimont, Z., Park, I. S.,  
691 Reddy, S., Fu, J. S., Chen, D., Duan, L., Lei, Y., Wang, L. T., and Yao, Z. L.: Asian emissions in  
692 2006 for the NASA INTEX-B mission, *Atmos. Chem. Phys.*, 9, 5131–5153,  
693 doi:10.5194/acp-9-5131-2009, 2009.
- 694 Zhang, W., Hu, B., Chen, C. H., Du, P., Zhang, L., and Feng, G. H.: Scattering properties of  
695 atmospheric aerosols over Lanzhou City and applications using an integrating nephelometer, *Adv.  
696 Atmos. Sci.*, 21(6), 848–856, 2004.
- 697 Zhang, X. Y., Wang, Y. Q., Niu, T., Zhang, X. C., Gong, S. L., Zhang, Y. M., and Sun, J. Y.:  
698 Atmospheric aerosol compositions in China: Spatial/temporal variability, chemical signature,  
699 regional haze distribution and comparisons with global aerosols, *Atmos. Chem. Phys.*, 12, 779–799,





- 700 doi:10.5194/acp-12-779-2012, 2012.
- 701 Zhu, J., Wang, T., Talbot, R., Mao, H., Hall, C. B., Yang, X., Fu, C., Zhuang, B., Li, S., Han, Y., and  
702 Huang, X.: Characteristics of atmospheric Total Gaseous Mercury (TGM) observed in urban  
703 Nanjing, China, Atmos. Chem. Phys., 12, 12103–12118, doi:10.5194/acp-12-12103-2012, 2012.
- 704 Zhuang, B. L., Jiang, F., Wang, T. J., Li, S., and Zhu, B.: Investigation on the direct radiative effect of  
705 fossil fuel black-carbon aerosol over China, Theor. Appl. Climatol., 104(3–4), 301–312,  
706 doi:10.1007/s00704-010-0341-4, 2011.
- 707 Zhuang, B. L., Li, S., Wang, T. J., Deng, J. J., Xie, M., Yin, C. Q., and Zhu, J. L.: Direct radiative  
708 forcing and climate effects of anthropogenic aerosols with different mixing states over China,  
709 Atmos. Environ., 79, 349–361, doi:10.1016/j.atmosenv.2013.07.004, 2013b.
- 710 Zhuang, B. L., Liu, Q., Wang, T. J., Yin, C. Q., Li, S., Xie, M., Jiang, F., and Mao, H. T.: Investigation  
711 on semi-direct and indirect climate effects of fossil fuel black carbon aerosol over China, Theor.  
712 Appl. Climatol., 114, 651–672, doi:10.1007/s00704-013-0862-8, 2013a.
- 713 Zhuang, B. L., Wang, T. J., Li, S., Liu, J., Talbot, R., Mao, H. T., Yang, X. Q., Fu, C. B., Yin, C. Q.,  
714 Zhu, J. L., Che, H. Z., and Zhang, X. Y.: Optical properties and radiative forcing of urban aerosols  
715 in Nanjing, China, Atmos. Environ., 83, 43–52, 2014a.
- 716 Zhuang, B. L., Wang, T. J., Liu, J., Li, S., Xie, M., Yang, X. Q., Fu, C. B., Sun, J. N., Yin, C. Q., Liao, J.  
717 B., Zhu, J. L., and Zhang, Y.: Continuous measurement of black carbon aerosol in urban Nanjing of  
718 Yangtze River Delta, China, Atmos. Environ., 89, 415–424, 2014b.
- 719 Zhuang, B. L., Wang, T. J., Liu, J., Ma, Y., Yin, C. Q., Li, S., Xie, M., Han, Y., Zhu, J. L., Yang, X. Q.,  
720 and Fu, C. B.: Absorption coefficient of urban aerosol in Nanjing, west Yangtze River Delta, China,  
721 Atmos. Chem. Phys., 15, 13633–13646, 2015.



722 **Figure captions:**

723 Figure 1. The 10th, 25th, median, 75th, and 90th percentiles of 550 nm AAC (a,  $\text{Mm}^{-1}$ ), 470/660 nm  
724 AAE (b), 550 nm SC (c,  $\text{Mm}^{-1}$ ), 550 nm (d,  $\text{Mm}^{-1}$ ) and 450/635 nm SAE (e) in each season from March  
725 2014 to February 2016.

726 Figure 2. Seasonal means (markers) and corresponding standard deviations (error bars) of wavelength  
727 dependent AAC (a,  $\text{Mm}^{-1}$ ), SC (b, solid mark,  $\text{Mm}^{-1}$ ), Bsp (b, open mark,  $\text{Mm}^{-1}$ ), EC (c,  $\text{Mm}^{-1}$ ), SSA (e)  
728 and ASP (f) at 450, 532, 550, 635 nm, as well as AAE at 470/660 nm (d, red solid mark) and SAE at  
729 450/635 nm (d, green open mark)

730 Figure 3. Diurnal variations of 550 nm AAC (a,  $\text{Mm}^{-1}$ ), SC (b,  $\text{Mm}^{-1}$ ), Bsp (c,  $\text{Mm}^{-1}$ ), SSA (d), ASP (g),  
731 470/660 nm AAE (e) and 450/635 nm SAE (f) during the study period.

732 Figure 4. Frequency (%) distributions of 550 nm AAC (a), SC (b), Bsp (c), SSA (d), ASP (g), 470/660  
733 nm AAE (e) and 450/635 nm SAE (f) on annual (shaded bar) and seasonal (markers in colors) scales.

734 Figure 5. Clusters of 96-h back trajectories arriving at the study site at 100 m in JJA (a) and DJF (b)  
735 simulated by the HYSPLIT model. The means with standard deviations of the aerosol optical properties  
736 at each cluster of back trajectories in both JJA and DJF are plotted in Fig. 5c and 5d, respectively.

737 Figure 6. Relationships between 550 nm AAC and SC (solid square in blue) and between 550 nm Bsp  
738 and SC (solid circles in gray) in spring (a), summer (b), autumn (c) and winter (d).

739 Figure 7. Relationships between the 550 nm ASP and SC in different RH levels.

740 Figure 8. Relationships between the 491 nm SSA and extinction Angstrom exponent (EAE) at 491/863  
741 nm (a) and between the SSA difference (863-491 nm) and EAE at 491/863 nm (b).

742 Figure 9. Seasonal variations of RH (a, %) and linear correlations between AAE and RH (b, light blue,  
743 upper), between SAE and RH (b, green, middle), and between ASP and RH (c, deep blue, lower).



744 Figure 10. Relationships between SC and visibility (open cycles) and between EC and visibility (solid  
745 cycles) in different RH levels in spring (a), summer (b), autumn (c) and winter (d).

746 Figure 11. Relationships between SSA and visibility (solid cycles) and between ASP and visibility  
747 (solid squares) in different RH and AAE levels in spring (a), summer (b), autumn (c) and winter (d).

748 Figure 12. Relationships between surface EC at 550 nm and column AOD at 500 nm in spring (a),  
749 summer (b), autumn (c) and winter (d).

750

751 **Table captions:**

752 Table 1 Statistical summary of the surface aerosol optical properties in Nanjing.

753 Table 2 Seasonal mean $\pm$ SD of the surface aerosol optical properties in Nanjing.

754 Table 3 The aerosol optical properties in Nanjing and at other sites of China.

755

756



757 Table 1 Statistical summary of the surface aerosol optical properties in Nanjing

Factors	Max	Min	Mean±SD	Median
550 nm AAC ( $Mm^{-1}$ )	230.648	1.439	29.615±20.454	24.572
550 nm SC ( $Mm^{-1}$ )	2493.092	20.673	338.275±228.078	284.379
550 nm Bsp ( $Mm^{-1}$ )	300.101	1.401	44.257±27.396	38.206
550 nm EC ( $Mm^{-1}$ )	2643.101	31.186	381.958±252.271	321.679
550 nm SSA	0.988	0.404	0.901±0.049	0.908
550 nm ASP	0.908	0.118	0.571±0.088	0.582
470/660 nm AAE	3.256	0.145	1.583±0.228	1.592
450/635 nm SAE	3.344	0.162	1.320±0.407	1.317

- 758 AAC: Aerosol absorption coefficient
- 759 SC: Aerosol scattering coefficient
- 760 Bsp: Aerosol back scattering coefficient
- 761 SSA: Aerosol single scattering albedo
- 762 ASP: Aerosol asymmetry parameter
- 763 AAE: Ångström exponent of absorbing aerosols
- 764 SAE: Ångström exponent of scattering aerosols

765

766 Table 2 Seasonal mean±SD of the surface aerosol optical properties in Nanjing

Factors	MAM	JJA	SON	DJF
550 nm AAC ( $Mm^{-1}$ )	26.954±18.632	19.653±15.689	33.474±19.686	37.958±21.892
550 nm SC ( $Mm^{-1}$ )	318.998±202.264	340.865±226.151	294.624±200.052	385.137±255.282
550 nm Bsp ( $Mm^{-1}$ )	42.995±23.580	36.990±25.067	38.684±23.017	54.786±30.974
550 nm EC ( $Mm^{-1}$ )	341.279±209.315	370.236±248.125	351.887±244.267	422.569±273.565
550 nm SSA	0.915±0.043	0.933±0.049	0.874±0.053	0.890±0.040
550 nm ASP	0.553±0.086	0.638±0.069	0.566±0.079	0.540±0.083



470/660 nm AAE	1.571±0.172	1.488±0.263	1.524±0.277	1.701±0.156
450/635 nm SAE	1.097±0.320	1.337±0.428	1.544±0.352	1.235±0.383

---



768

Table 3 The aerosol optical properties both in Nanjing and at other sites of China

Site	Period	AAC (Mm <sup>-1</sup> )	SC (Mm <sup>-1</sup> )	ASP	SSA	Method	References
Nanjing (urban)	2014.3-2016.2	29.6 (550 nm)	338.3 (550 nm)	0.57 (550 nm)	0.9 (550 nm)	<sup>a</sup> AE-31 <sup>b</sup> Aurora 3000	This study
Beijing (urban)	2005-2006	56 (532 nm)	288 (525 nm)	/	0.8 (525 nm)	<sup>c</sup> AE-16 <sup>d</sup> M9003	He et al. (2009)
Beijing (rural)	2003-2005	17.5 (525 nm)	174.6 (525 nm)	/	0.88 (525 nm)	<sup>a</sup> AE-31 <sup>d</sup> M9003	Yan et al. (2008)
Xi'an (urban)	2009	/	525 (520 nm)	/	/	<sup>e</sup> Auroral 1000	Cao et al. (2012)
Chengdu (urban)	2011	96 (532 nm)	456 (520 nm)		0.82	<sup>a</sup> AE-31 <sup>f</sup> Aurora 1000G	Tao et al. (2014)
Wuhan (urban)	2009.12-2014.03	119 (520 nm)	377 (550 nm)	/	0.73 (520 nm)	<sup>a</sup> AE-31 <sup>g</sup> Model 3563	Gong et al. (2015)
Xinken (rural)	2004.10-2011.05	70 (550 nm)	333 (550 nm)	/	0.83 (550 nm)	<sup>h</sup> MAAP <sup>g</sup> Model 3563	Cheng et al. (2008)
Tongyu (rural)	Spring, 2010 Spring, 2011	7.61 (520 nm) 7.01 (520 nm)	89.2 (520 nm) 85.3 (520 nm)	/	0.9 (520 nm)	<sup>a</sup> AE-31 <sup>b</sup> Aurora 3000	Wu et al. (2012)
Nanjing (suburban)	2011.03-04	28.1 (532 nm)	329.3 (550 nm)	/	0.89 (532 nm)	<sup>i</sup> PASS <sup>d</sup> Model 3563	Yu et al. (2016)
Shanghai (urban)	2010.12-2011.03	66 (532 nm)	293 (532 nm)	/	0.81 (532 nm)	<sup>a</sup> AE-31 <sup>g</sup> Model 3563	Xu et al. (2012)
Shouxian (rural)	2008.5-12	29 (550 nm)	401 (550 nm)	/	0.92 (550 nm)	<sup>j</sup> Model PSAP <sup>g</sup> Model 3563	Fan et al. (2010)
Lanzhou (urban)	Winter 2001, 2002	/	226 (550 nm)	/	/	<sup>d</sup> Model 3563	Zhang et al. (2004)
Panyu (urban)	Spring and winter, 2008	84.03 and 188.8 (532 nm)	/	/	/	<sup>a</sup> AE-31	Wu et al. (2013)
Dongguan (suburban)	Spring and winter, 2008	47.1 and 95.53 (532 nm)	/	/	/	<sup>a</sup> AE-31	Wu et al. (2013)



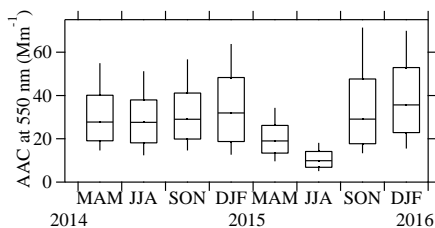
Maofengshan (Rural)	Spring winter, 2008	and	26.45 28.77 (532 nm)	and /	/	/	<sup>a</sup> AE-31	Wu et al. (2013)
Yongxing Island	Spring winter, 2008	and	7.21 (532 nm)	and 8.37 /	/	/	<sup>a</sup> AE-31	Wu et al. (2013)

---

769	<sup>a</sup> Seven channels Aethalometer (model AE-31, Magee Scientific, USA)
770	<sup>b</sup> Three wavelength integrating Nephelometer (Model Aurora 3000, Australia)
771	<sup>c</sup> Aethalometer AE16
772	<sup>d</sup> Nephelometer M9003
773	<sup>e</sup> Integrating Nephelometer (Model Aurora 1000)
774	<sup>f</sup> Integrating Nephelometer (Model Aurora 1000G)
775	<sup>g</sup> Integrating Nephelometer (Model 3563, TSI, USA)
776	<sup>h</sup> Multi-angle Absorption Photometer (MAAP, Thermo, Inc., Waltham, MA USA,
777	Model 5012)
778	<sup>i</sup> Photo acoustic Soot Spectrometer (PASS 1, DMT, USA)
779	<sup>j</sup> Particle/SootAbsorption Photometer

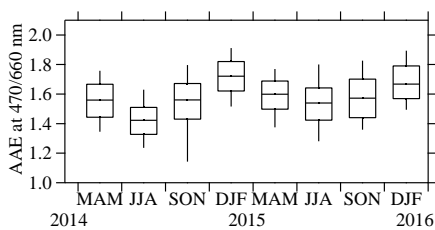


780 **Figures:**



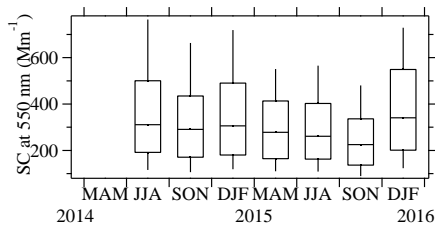
781  
782

a)



783  
784

b)



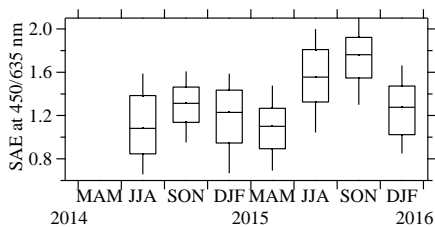
785  
786

c)



787  
788

d)

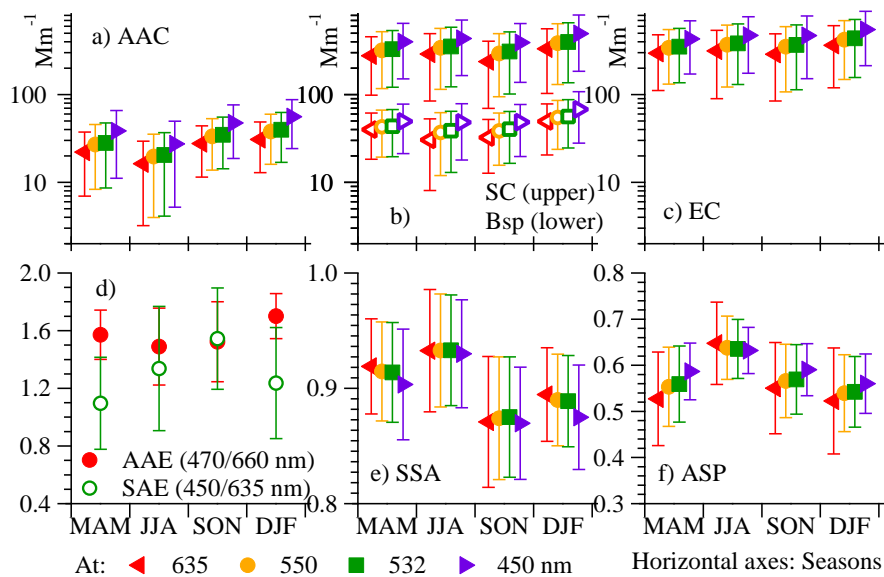


789  
790  
791  
792

e)

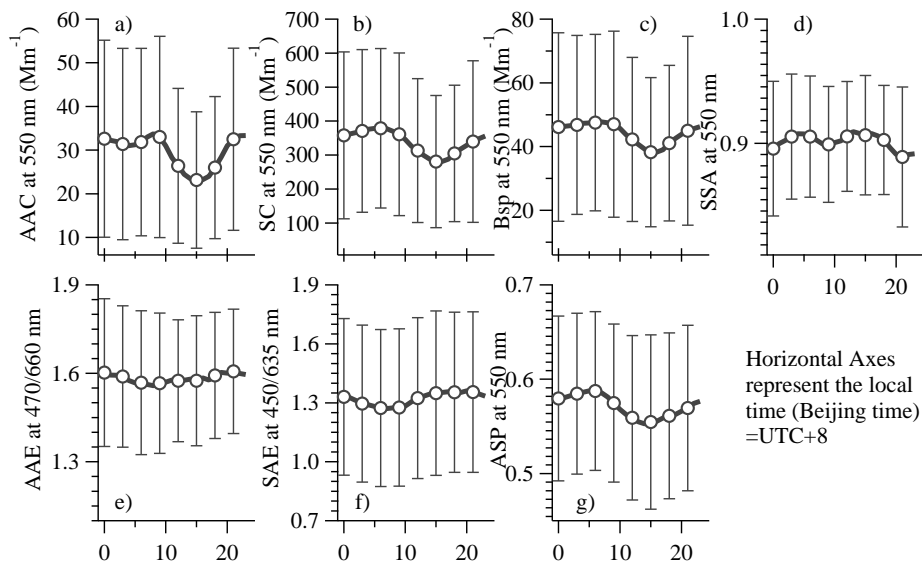
Figure 1.





793  
 794  
 795

Figure 2.



796  
 797  
 798

Figure 3

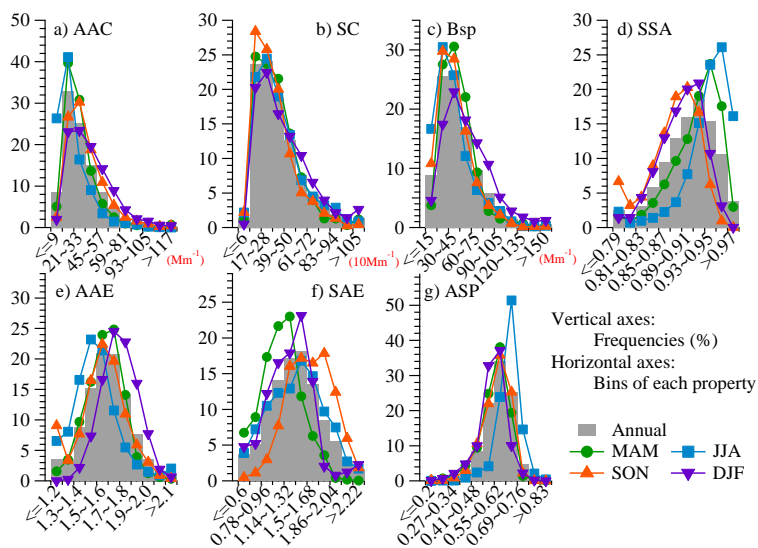
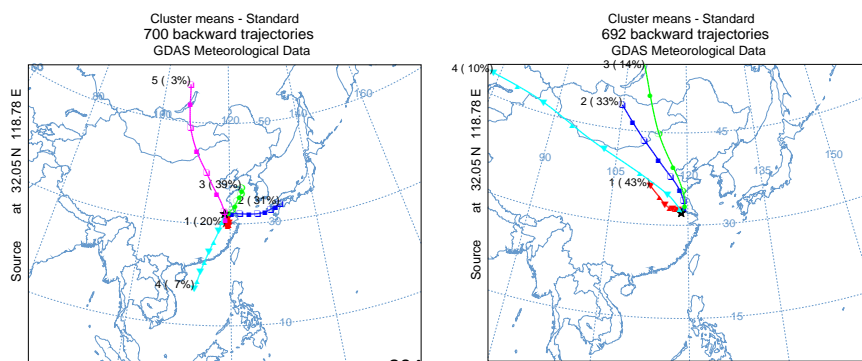
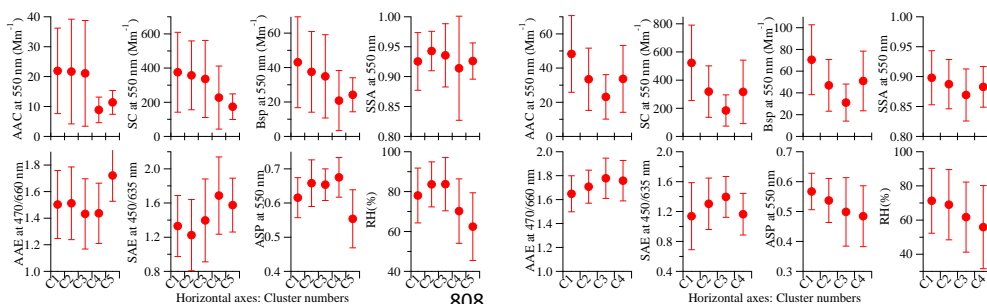


Figure 4

799  
 800  
 801



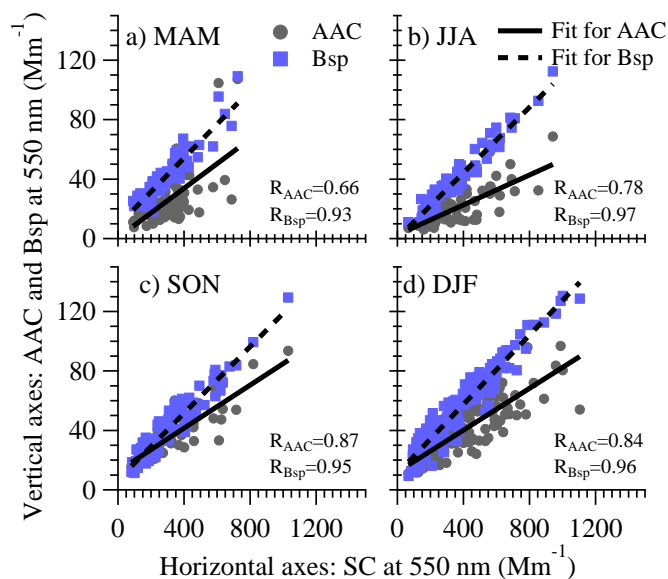
802  
 803



806  
 807

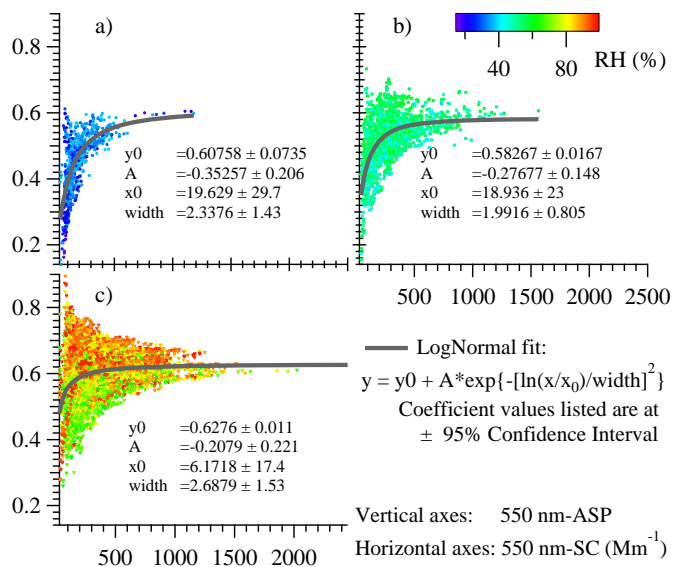
Figure 5

808  
 809  
 810  
 811



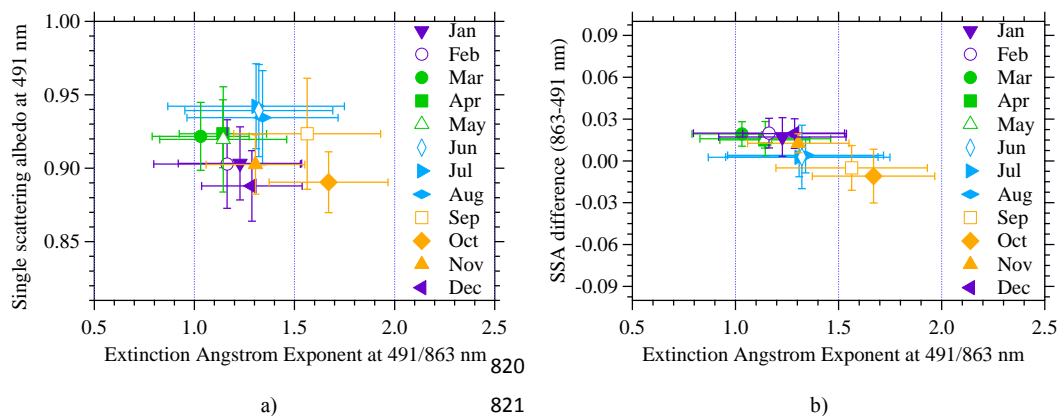
812  
 813  
 814

Figure 6



815  
 816  
 817

Figure 7



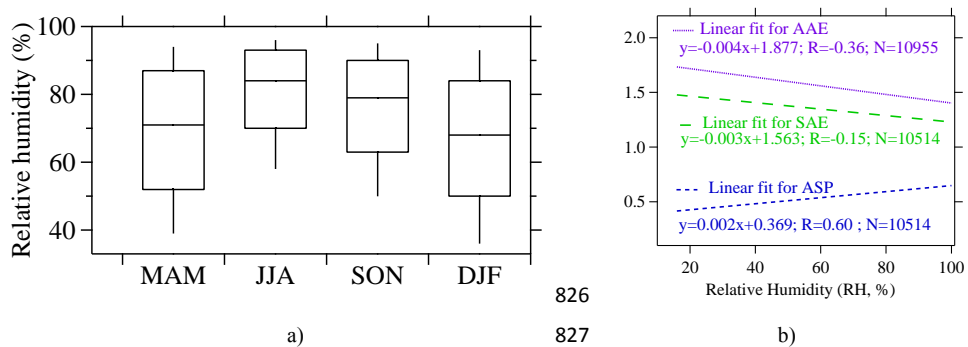
818

819

822

823

821 Figure 8



824

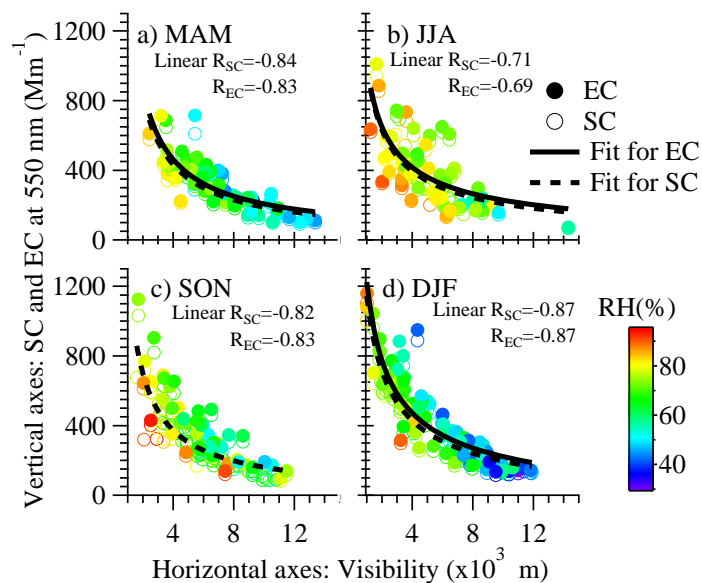
825

828

826

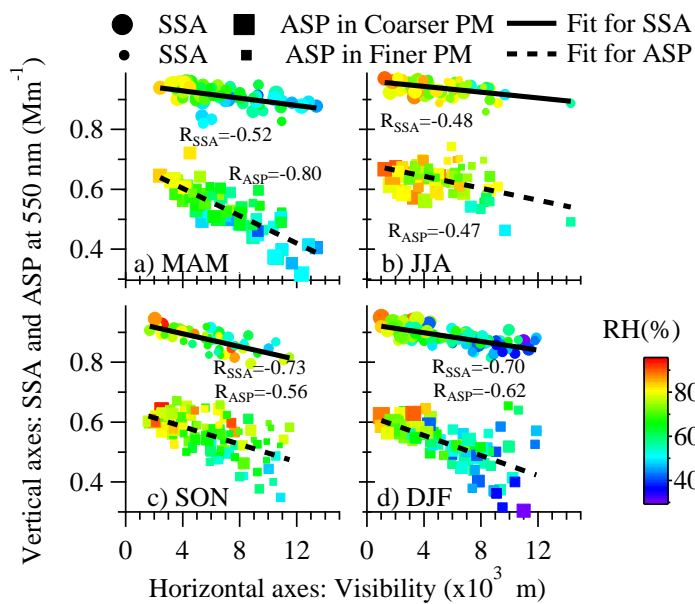
827

Figure 9



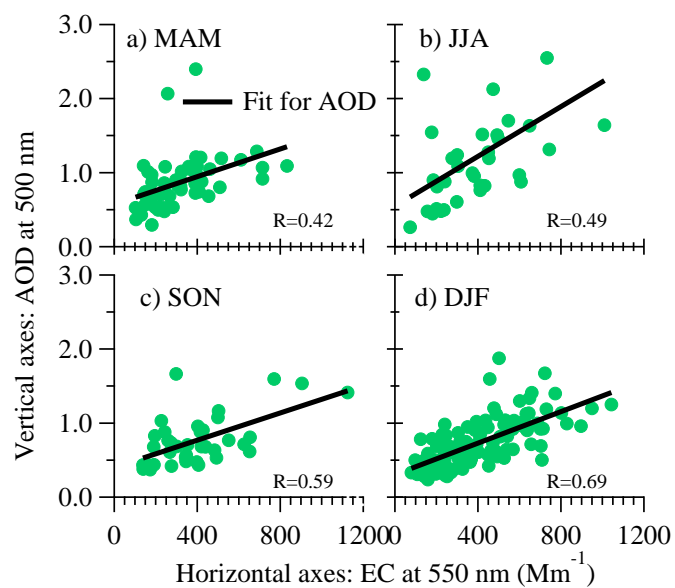
829  
 830  
 831

Figure 10



832  
 833  
 834

Figure 11



835

836

Figure 12