

1 **The surface aerosol optical properties in urban areas of Nanjing,**  
2 **west Yangtze River Delta of China**

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11

12 **Abstract:** Observational studies of aerosol optical properties are useful for reducing uncertainties  
13 in estimating aerosol radiative forcing and forecasting visibility. In this study, the observed near-surface  
14 aerosol optical properties in urban Nanjing are analyzed from Mar 2014 to Feb 2016. Results show that  
15 near-surface urban aerosols in Nanjing are mainly from local emissions and the surrounding regions.  
16 They have lower loadings but are more scattering than aerosols in most cities in China. The annual  
17 mean aerosol extinction coefficient (EC), single scattering albedo (SSA) and asymmetry parameter  
18 (ASP) at 550 nm are 381.96 Mm<sup>-1</sup>, 0.9 and 0.57, respectively. The aerosol absorption coefficient (AAC)  
19 is about one order of magnitude smaller than its scattering coefficient (SC). However, the absorbing  
20 aerosol has larger Ångström exponent (AAE) value, 1.58 at 470/660 nm, about 0.2 larger than the  
21 scattering aerosols' (SAE). All the aerosol optical properties follow a near unimodal pattern, and their  
22 values are mostly concentrated around their averages, accounting for more than 60% of the total  
23 samplings. Additionally, they have substantial seasonality and diurnal variations. High levels of SC and  
24 AAC all appear in winter due to higher aerosol and trace gas emissions. AAE (ASP) is the smallest

25 (largest) in summer possibly because of high relative humidity (RH) which also causes considerably  
26 larger SC and smaller SAE, although intensive gas-to-particle transformation could produce a large  
27 number of finer scattering aerosols in this season. Seasonality of EC is different from the columnar  
28 aerosol optical depth. Larger AACs appear at the rush hours of the day while SC and back scattering  
29 coefficient (Bsp) only peak in the early morning. Aerosols are fresher at daytime than at nighttime,  
30 leading to their larger Ångström exponent and smaller ASP. Different temporal variations between  
31 AAC and SC cause the aerosols more absorbing (smaller SSA) in autumn, winter and around rush  
32 hours. ASP has a good quasi-LogNormal growth trend with increasing SC when RH is below 60%. The  
33 correlation between AAC and SC at the site is close but a little smaller than that in suburban Nanjing in  
34 spring. Atmospheric visibility decreases exponentially with increasing EC or SC, more sharply in  
35 spring and summer, and it could be further deteriorated with increasing SSA and ASP.

36

## 37 **1 Introduction**

38 Atmospheric aerosols have substantial influences on human health, air quality and climate changes  
39 and their loadings have significantly increased since the preindustrial times (Qin et al., 2001; Forster et  
40 al., 2007). Due to their ability of scattering/absorbing solar radiation and acting as cloud condensation  
41 nuclei, atmospheric aerosols can affect atmospheric radiation and dynamics, as well as the Earth's  
42 hydrologic cycle, leading to regional or global climate changes (Forster et al., 2007; Rosenfeld et al.,  
43 2008; Qian et al., 2009; Li et al., 2011; Wang et al., 2014; Guo et al., 2016a). Light scattering aerosols  
44 have contributed to offsetting the warming effect of CO<sub>2</sub> (Kiehl and Briegleb 1993) while light  
45 absorbing aerosols such as black carbon (BC) could further enhance the global warming (Jacobson  
46 2002), especially in the high aerosol regions. Due to the warming effect of BC, the atmosphere would

47 become more unstable, which might result in the changes in the trend of precipitation in China over the  
48 past decades as suggested by Menon et al. (2002). Furthermore, atmospheric aerosols can be a major  
49 component in haze pollution, altering atmospheric visibility and being harmful to human health  
50 (Chameides and Bergin, 2002).

51 Observations and modeling studies have been conducted on aerosol optical properties and  
52 radiative forcing, as well as its climate effects on regional and global scales in the past two decades  
53 (e.g., Penner et al., 2001; Bellouin et al., 2003; Liao and Seinfeld, 2005; Yan et al., 2008; Wu et al.,  
54 2012; Zhuang et al., 2013a; 2014a; Wang et al., 2015; Yu et al., 2016). Forster et al. (2007) summarized  
55 that large uncertainties exist in estimating the aerosol radiative forcing, especially in climate models.  
56 The bias mostly results from the uncertainties in the simulated aerosol optical properties (Holler et al.,  
57 2003), which, in turn, are related to the aerosol loadings, profiles, compositions, mixing states and the  
58 atmospheric humidity. The 5th IPCC reported that the global mean direct radiative forcing ranged from  
59  $-0.85$  to  $+0.15$   $\text{W m}^{-2}$  for total aerosols and from  $+0.05$  to  $+0.8$   $\text{W m}^{-2}$  for BC (IPCC, 2013). This would  
60 further lead to much larger uncertainties in the estimations of the aerosol climate effects. In East Asia,  
61 the range of simulated BC direct radiative forcing is much larger than the global one, varying from  
62  $+0.32$  to  $+0.81$   $\text{W m}^{-2}$  (Zhuang et al., 2013a). The uncertainty could be substantially reduced in a model  
63 if the aerosol optical properties are based on observations or if observed properties are directly used  
64 (Forster et al., 2007).

65 In the last three decades, China has experienced the rapidest economic growth worldwide. This  
66 leads to high emission of anthropogenic aerosols and trace gases (e.g., Guo et al., 2009; Zhang et al.,  
67 2009; Xin et al., 2014; Che et al., 2015). The anthropogenic aerosol emissions in East Asia were  
68 estimated to exceed 1/4 of the global emissions (Streets et al., 2001), resulting in more diversified

69 aerosol compositions, complex species and heterogeneous spatial distributions in the region (Zhang et  
70 al., 2012), especially in megacities and urban agglomerations (e.g., Beijing-Tianjin-Hebei (BTH),  
71 Yangtze River Delta (YRD) and Pearl River Delta (PRD) regions). Uncertainties of the aerosol  
72 radiative forcing and corresponding climate effects in these regions might be much larger than those of  
73 the rest of the world (e.g.: Forster et al., 2007 and Zhuang et al.,2013b). In addition, the diurnal  
74 variability of aerosol properties has been suggested to another major factors leading to such large  
75 biases (e.g., Xu et al., 2016). Therefore, it is necessary to characterize the aerosol optical properties  
76 based on observations in China, as did many studies in recent years at urban sites and in rural areas  
77 (e.g., Bergin et al., 2001; Xu et al., 2002; 2004; Zhang et al., 2004; Yan, 2006; Xia et al., 2007; Li et al.,  
78 2007; Yan et al., 2008; Andreae et al., 2008; He et al., 2009; Wu et al., 2009; Wang et al., 2009; Li et al.,  
79 2010; Fan et al., 2010; Bai et al., 2011; Cai et al., 2011; Xiao et al., 2011; Xu et al., 2012; Wu et al.,  
80 2012; Zhuang et al., 2015; Zhang et al., 2015; Li et al., 2015a; b; Yu et al., 2016). For example, Bergin  
81 et al. (2001), He et al. (2009) and Zhuang et al. (2015) presented the surface aerosol scattering and  
82 absorption properties in urban area of North and East China and they suggested that the annual mean  
83 532 nm-AAC in Beijing was about  $56 \text{ Mm}^{-1}$  and it was  $41\sim 44 \text{ Mm}^{-1}$  in YRD, which were much smaller  
84 than those in central to southwest China and in PRD (Wu et al., 2009; Cao et al., 2012; Tao et al., 2014)  
85 but much larger than those in rural and desert region (Xu et al., 2002; 2004; Yan et al., 2008). In  
86 addition to surface measurements, the columnar optical properties of the aerosols were also observed  
87 (Xia et al., 2007; Zhuang et al., 2014a; Che et al., 2015). Long-term measurements of the  
88 countrywide-aerosol optical depths and Ångström exponents in China from 2002 to 2013 were  
89 introduced by Che et al. (2015). In spite of intensive observation-based studies, measurements and  
90 analysis on aerosol properties in YRD region, one of the most populous regions in China, is still rather

91 limited. To fill the gaps in the current observational network in China and to better understand the  
92 optical properties of urban aerosols in YRD, this study will analyze the observations of aerosol  
93 scattering (SC), back scattering (Bsp), absorption (AAC), extinction (EC) coefficients and single  
94 scattering albedo (SSA), Ångström exponent of scattering (SAE) and absorbing (AAE) aerosols, as  
95 well as aerosol asymmetry parameter (ASP) in urban area of Nanjing, a major megacity in YRD. Our  
96 ultimate goals are to provide a reference when estimating aerosol radiative forcing and climate effect as  
97 well as forecasting visibility.

98 In the following, the method is described in Section 2. Results and discussions are presented in  
99 Section 3, followed by conclusions in Section 4.

100

## 101 **2 Data and Methodologies**

### 102 **2.1 Sampling station and instruments**

103 The sampling station is located at the Gulou campus of Nanjing University, urban area of Nanjing  
104 (32.05° N, 118.78° E). It is built on the roof of a 79.3 m-tall building, around which there are no  
105 industrial pollution sources within a 30-km radius but there are several main roads with apparent traffic  
106 pollution, especially at rush hours. The layout of the site and the corresponding climatology have been  
107 described in Zhu et al. (2012).

108 The wavelength-dependent aerosol absorption coefficient (AAC) and concentrations of black  
109 carbon (BC) were derived from the measurements using a seven-channel Aethalometer (model AE-31,  
110 Magee Scientific, USA). The wavelength-dependent aerosol scattering coefficient (SC) and back  
111 scattering coefficient (Bsp) were measured by a three-wavelength integrating Nephelometer (Aurora  
112 3000, Australia). To make a brief comparison between surface and column aerosols, the

113 wavelength-dependent columnar aerosol optical depth (AOD) was observed using a Cimel  
114 sunphotometer (CE-318). The AE-31 model measures light attenuation at seven wavelengths, including  
115 370, 470, 520, 590, 660, 880, and 950 nm, respectively, with a desired flow rate of 5.0 L/min and a  
116 sampling interval of 5 min. Aurora 3000 measures the aerosol's light scattering, including SC and Bsp  
117 at 450, 525 and 635 nm, with a sampling interval of 1 min. CE-318 measures the AOD from 340 to  
118 1640 nm at day times. Routine calibrations and maintenances were carried out for all these instruments  
119 during the sampling periods. R-134 was used as a span gas for Aurora 3000. The aerosol inlet is located  
120 about 1 m above the roof. Data to be analyzed in this study were measured from Mar 2014 to Feb 2016  
121 for AE-31 and CE-318 and from Jun 2014 to Feb 2016 for Aurora 3000. Meteorological data (such as  
122 relative humidity) during the sampling period are from the National Meteorological Station of Nanjing  
123 (No. 58238).

124

## 125 **2.2 Calculation of the aerosol optical properties**

126 The wavelength-dependent aerosol absorption coefficient (AAC) and BC mass concentration  
127 can be calculated directly based on the measured light attenuations (ATN) through a quartz filter  
128 matrix (Petzold et al., 1997; Weingartner et al., 2003; Arnott et al., 2005; Schmid et al., 2006):

$$129 \quad \sigma_{\text{ATN},t}(\lambda) = \frac{(\text{ATN}_t(\lambda) - \text{ATN}_{t-1}(\lambda))}{\Delta t} \times \frac{A}{V} \quad (1)$$

130 where  $A$  (in  $\text{m}^2$ ) is the area of the aerosol-laden filter spot,  $V$  is the volumetric sampling flow rate (in  
131 L/min) and  $\Delta t$  is the time interval (=5 min) between  $t$  and  $t-1$ .  $\sigma_{\text{ATN}}$  is the AAC without any  
132 correction, which is generally larger than the actual one ( $\sigma_{\text{abs}}$ ) because of the optical interactions of  
133 the filter substrate with the deposited aerosol. Generally, there are two key factors leading to the bias: 1)  
134 multiple scattering of light at the filter fibers (multiple scattering effect), and 2) instrumental response

135 with increased particle loading on the filter (shadowing effect). Thus, the correction is needed and the  
 136 calibration factors  $C$  and  $R$  (shown in Eq. 2) are introduced to against the scattering effect and  
 137 shadowing effect, respectively:

$$138 \quad \sigma_{\text{abs},t}(\lambda) = \frac{\sigma_{\text{ATN},t}(\lambda)}{C \times R} \quad (2)$$

139 Collaud Coen et al. (2010) suggested that AAC corrected from Weingartner et al. (2003) (WC2003 for  
 140 short, hereinafter) and Schmid et al. (2006) (SC2006 for short, hereinafter) have good agreements with  
 141 the one measured by a Multi-Angle Absorption Photometer. These two corrections are similar to each  
 142 other and they use the same  $R(\lambda)$  :

$$143 \quad R_t(\lambda) = \left(\frac{1}{f} - 1\right) \times \frac{\ln(\text{ATN}_t(\lambda)) - \ln 10}{\ln 50 - \ln 10} + 1 \quad (3)$$

144 where  $R=1$  when  $\text{ATN} \leq 10$  and  $f=1.2$ . However,  $C$  value is fixed in WC2003 while is  
 145 wavelength-dependent in SC2006. According to Wu et al. (2013) and Zhuang et al. (2015),  $C$  in  
 146 Nanjing is 3.48 in WC2003 while it is 2.95, 3.37, 3.56, 3.79, 3.99, 4.51 and 4.64 at 370, 470, 520, 590,  
 147 660, 880, and 950 nm, respectively, in SC2006. Zhuang et al. (2015) further suggested that  
 148 wavelength-dependent AACs corrected by SC2006 might be more close to the real ones than  
 149 WC2003's in Nanjing, although 532 nm-AACs from these two corrections are close to each other. In  
 150 addition to the direct way, AAC can also be calculated indirectly:

$$151 \quad \sigma_{\text{abs},t}(\lambda) = [BC] \times \gamma \quad (4)$$

152 where  $[BC]$  is the mass concentration of Aethalometer BC (in  $\mu\text{g}/\text{m}^3$ ) without any correction and  $\gamma$  is  
 153 the conversion factor determined empirically from linear regression of the Aethalometer BC  
 154 concentration versus the aerosol absorption measurement (Yan et al., 2008). Zhuang et al. (2015)  
 155 indicated that  $\gamma$  from the linear regression of the Aethalometer BC concentrations ( $\text{ng}/\text{m}^3$ ) at 880 nm

156 against the light absorption coefficient ( $Mm^{-1}$ ) at 532 nm in Nanjing is about 11.05  $m^2/g$ . It's obviously  
 157 that only 532 nm-AAC can be addressed from this way. Thus, AACs corrected from SC2006 are used  
 158 in this study.

159 Based on wavelength-dependent AAC and SC, Ångström exponent of scattering (SAE) and  
 160 absorbing (AAE) aerosols are estimated by:

$$161 \quad AAE_{470/660nm} = -\log(AAC_{470nm} / AAC_{660nm}) / \log(470 / 660) \quad (5)$$

$$162 \quad SAE_{450/635nm} = -\log(SC_{450nm} / SC_{635nm}) / \log(450 / 635) \quad (6)$$

163 For purposes of comparison, AAC at 450, 525, 532, 550 and 635 nm, SC at 532 and 550 nm  
 164 as well as Bsp at 532 and 550 nm were further calculated by the given coefficients and  
 165 corresponding Ångström exponents:

$$166 \quad \sigma_{\lambda} = \sigma_{\lambda_0} \times \left(\frac{\lambda}{\lambda_0}\right)^{-\alpha} \quad (7)$$

167 where,  $\sigma_{\lambda}$  is the coefficient at wave length  $\lambda$ ,  $\alpha$  is the corresponding Ångström exponents.

168 Based on wavelength-dependent SC, Bsp, AAC, aerosol asymmetry parameter (ASP), single  
 169 scattering albedo (SSA) and extinction coefficient (EC) are further estimated:

$$170 \quad ASP_{\lambda} = -7.143889\beta_{\lambda}^3 + 7.464443\beta_{\lambda}^2 - 3.9356\beta_{\lambda} + 0.9893 \quad (8)$$

$$171 \quad SSA_{\lambda} = \frac{SC_{\lambda}}{SC_{\lambda} + AAC_{\lambda}} \quad (9)$$

$$172 \quad EC_{\lambda} = SC_{\lambda} + AAC_{\lambda} \quad (10)$$

173 where,  $\beta_{\lambda}$  is the ratio of Bsp to SC at wavelength  $\lambda$ . Eq. 8 derives from Andrews et al. (2006).

174

### 175 **3 Results and discussions**

176 It is well known that the temporal variations of the aerosol optical properties at different



177 wavelengths are generally consistent with each other. Therefore, only single-wavelength (such as 550  
178 nm) AAC, SC, Bsp, SSA and ASP are focused when analyzing their basic characteristics (including  
179 temporal variations, frequency distributions and changes with wind direction), their relationships with  
180 each other, and their relationships with the meteorological conditions (such as RH and VIS) and  
181 columnar AOD.

### 182 **3.1 Temporal variations of the aerosol optical properties**

183 The aerosol absorption coefficient (AAC) was directly obtained from the measurement of AE-31  
184 and the scattering and backscattering coefficients (SC and Bsp), which were directly measured from  
185 Aurora 3000. Based on wavelength-dependent AAC and SC, Ångström exponent of absorbing (AAE at  
186 470/660 nm) and scattering (SAE at 450/635 nm) aerosols were estimated according Eq.5 and Eq. 6,  
187 respectively. Based on AAC, SC and Bsp, wavelength-dependent aerosol asymmetry parameter (ASP),  
188 single scattering albedo (SSA) and extinction coefficient (EC) are further estimated using Eqs. 8~10  
189 and analyzed. Table 1 lists the statistical summary of surface aerosol optical properties in urban area of  
190 Nanjing during the sampling period. The annual mean AAC, SC, Bsp, EC, SSA and ASP at 550 nm,  
191 AAE at 470/660 nm and SAE at 450/635 nm is 29.615  $\text{Mm}^{-1}$ , 338.275  $\text{Mm}^{-1}$ , 44.257  $\text{Mm}^{-1}$ , 381.958  
192  $\text{Mm}^{-1}$ , 0.901, 0.571, 1.583 and 1.320, respectively, with a standard deviation of 20.454  $\text{Mm}^{-1}$ , 228.078  
193  $\text{Mm}^{-1}$ , 27.396  $\text{Mm}^{-1}$ , 252.271  $\text{Mm}^{-1}$ , 0.049, 0.088, 0.228 and 0.407, respectively.

#### 194 **Table 1**

195 Figure 1 shows the 10th, 25th, median, 75th and 90th percentile values of the 550 nm- AAC, SC,  
196 Bsp, 470/660 nm-AAE and 450/635 nm-SAE in urban area of Nanjing in each season from Mar 2014  
197 to Feb 2016. Default values of aerosol scattering properties in spring 2014 are blank because the  
198 measurements of Aurora 3000 started from June 2014. The figure suggests that AAC, SC, Bsp, AAE

199 and SAE have substantially seasonal variations. High level of AAC appears in winter (DJF) while the  
200 lower one is found in summer (JJA) (Fig. 1a). The temporal variability of Bsp is similar to that of AAC  
201 (Fig. 1d). According to Zhang et al. (2009), emissions of the aerosols and trace gases in China are  
202 larger in winter than in other seasons especially for carbonaceous aerosols (Fig. 1c in Zhuang et al.,  
203 2013b). Thus, the higher AAC values in winter than in summer might result from the higher aerosol  
204 emissions, lower boundary height (Guo et al., 2016b) and less rainfall. However, possibly due to the  
205 impacts of hygroscopic growth of aerosol caused by higher RH in summer and dust aerosol in spring  
206 (Zhuang et al., 2014a), SC is considerably large in these two seasons (Fig. 1c). Thus, the lowest SC is  
207 found in autumn in both 2014 and 2015. AAE has seasonality similar to AAC. Due to relatively higher  
208 RH, small value of AAE is found in JJA while the larger ones appear in the other seasons (Fig. 1b),  
209 which is different from the seasonality of SAE. SAE is larger in warmer seasons but is smaller in the  
210 other seasons. Scattering aerosols, including inorganic and partially organic components, mainly come  
211 from gas-to-particle transformation, so that they have smaller sizes (larger AE) compared to the  
212 primary aerosols (such as dust and BC). The efficiency of gas-to-particle transformation is higher in  
213 warmer seasons. The observations of the aerosol compositions at the site showed that seasonal mean  
214 inorganic aerosols, including sulfate, nitrate and ammonium, account for about 50% of the total  $PM_{2.5}$   
215 in spring and might be higher than 50% in the other seasons (Zhuang et al., 2014b). Thus, SAE in  
216 summer and autumn is large (Fig. 1e). RH can impose substantial influences on scattering aerosols.  
217 SAE might be much larger than the current values in these two seasons if the hygroscopic growth were  
218 excluded. Seasonal mean RH is about 75.41% and 70.86% in JJA and SON, respectively, to a certain  
219 degree leading to higher values of SAE in autumn than in summer. The figure also suggests that aerosol  
220 absorption coefficient and scattering coefficient as well as their sizes in 2014 are higher than those in

221 2015. The observed RH difference in these two years at least partly accounts for the variation of  
222 aerosol absorption coefficient and scattering coefficient as well as their sizes. A comparison of RH  
223 between 2014 and 2015 indicates that RH is 79.49% and 72.86% in JJA and SON, respectively, in 2014,  
224 larger than that in 2015 (71.33% in JJA and 69.03 in SON).

### 225 **Figure 1**

226 Figure 2 plots the seasonal mean values with standard deviations of AAC, SC, Bsp, EC, SSA, ASP,  
227 AAE at 470/660 nm and SAE at 450/635 nm. AAC, SC, Bsp and EC increase with decreasing  
228 wavelength in four seasons. Changes in SSA and ASP with increasing wavelength are different in  
229 different seasons. SSA increases with increasing wavelength in colder seasons but little in JJA and SON.  
230 ASP increases with wavelength in JJA, opposite to in other seasons. The figure also suggests that  
231 seasonal variation of EC is more consistent with SC's, with large values in JJA and DJF (370.236 and  
232 422.569  $\text{Mm}^{-1}$ , respectively, at 550 nm). The largest values of SSA and ASP appear in JJA (0.933 and  
233 0.638, respectively, at 550 nm), implying that aerosols in urban area of Nanjing are more scattering and  
234 have stronger forward scattering ability in JJA than in other seasons. The urban aerosols are more  
235 absorbent in SON and DJF in Nanjing (550 nm SSA is no more than 0.89). Seasonal variation of SSA  
236 is determined by the variations of both AAC and SC. As mentioned above, AAC is the highest in winter  
237 while lowest in summer to a great degree due to the seasonality of the emissions. SC would have the  
238 same variation as AAC if only emissions were taken into account. Zhang et al. (2009) indicates that the  
239 emission seasonality of carbonaceous aerosols are much stronger than the trace gases (such  $\text{SO}_2$  and  
240  $\text{NO}_x$ ), and they shows that anthropogenic emission rate in winter is about 1.87 times to that in summer  
241 for black carbon while only about 1.2 for  $\text{SO}_2$  in China. This is also supported by Sun et al. (2015),  
242 who found that concentration of black carbon aerosol in north China was much higher in winter due to

243 enhanced emissions based on 1 year observations. Thus, the different emission seasonal variations  
244 between black carbon and trace gases alone would cause a lower SSA in winter compared to that in  
245 summer. What's more, both higher efficiency of gas-to-particle transformation and higher level of RH  
246 in summer are in favor of a much larger SC, which to a certain degree could further enlarge SSA in  
247 summer. The smaller SSA in colder season might mainly be caused by a higher emission of absorbing  
248 aerosol.

## 249 **Figure 2**

250 Seasonal mean 550 nm AAC, SC, Bsp, EC, SSA, and ASP, 470/660 nm AAE and 450/635 nm  
251 SAE as well as corresponding standard deviations are listed in Table 2. It suggests that seasonal mean  
252 550 nm AAC, SC, Bsp, EC, SSA, and ASP vary from 19.65 to 37.96  $\text{Mm}^{-1}$ , 294.62 to 385.14  $\text{Mm}^{-1}$ ,  
253 36.99 to 54.79  $\text{Mm}^{-1}$ , 341.3 to 422.57  $\text{Mm}^{-1}$ , 0.874 to 0.933, and 0.54 to 0.64, respectively. Seasonal  
254 mean AAE and SAE vary from 1.49 to 1.70 and 1.1 to 1.54, respectively. AAC and Bsp in DJF are  
255 about 2 and 1.5 times of those in JJA, respectively. SSA in JJA is about 6.75% larger than that in SON.

## 256 **Table 2**

257 In addition to seasonality, the aerosol optical properties near the surface at urban Nanjing have  
258 substantial diurnal variations (Figure 3), especially for the coefficients (AAC, SC, Bsp and EC). The  
259 diurnal variation of EC, which is consistent with SC, is not showed in the figure. AAC levels are  
260 usually high at the rush hours around 07:00-09:00 am and around 09:00-11:00 pm but low in the  
261 afternoon (Fig. 3a). At 08:00 am, mean 550 nm-AAC is as large as about 34  $\text{Mm}^{-1}$ , while at 02:00 pm,  
262 it is about 23  $\text{Mm}^{-1}$ . SC and Bsp (Fig. 3b and 3c), to some extent, have diurnal variations similar to  
263 AAC's. Their lowest values also appear in the afternoon (about 280  $\text{Mm}^{-1}$  for SC and 38  $\text{Mm}^{-1}$  for Bsp).  
264 However, only one peak of the aerosol scattering coefficient is found in the early morning (about 379

265  $\text{Mm}^{-1}$  for Sc and  $48 \text{ Mm}^{-1}$  for Bsp) and it is about 1-2 hours earlier than its absorption coefficient  
266 possibly owing to the different emissions between these two types of aerosols. Absorbing aerosols in  
267 urban Nanjing mainly come from the vehicle emissions because of the developed transportation  
268 network, resulting in two peaks of AAC within one day (Zhuang et al. 2015). Scattering aerosol  
269 loadings are somewhat less affected by traffic emissions especially in nighttime. Their precursors, such  
270 as  $\text{SO}_2$  and  $\text{NO}_x$ , mostly come from coal combustion and industrial emissions in urban Nanjing based  
271 on source apportionment. Therefore, there is no peak for SC or Bsp before midnight, although their  
272 values are considerably large (about  $350$  and  $46 \text{ Mm}^{-1}$ , respectively). Different diurnal cycles between  
273 AAC and SC were also observed in sub-urban area of Nanjing (Yu et al., 2016). Diurnal variations of  
274 AAC, SC and Bsp might be highly affected by the diurnal cycles of the boundary layer. The small  
275 coefficients in afternoon are mostly induced by well developed mixing layer (Zhuang et al. 2014b).  
276 Generally, the boundary layer becomes more and more stable after sunset and its height becomes lower,  
277 which is conducive to the accumulation of air pollutants in the nighttime especially during the period  
278 from midnight to sunrise. Therefore, SC usually peaks in early morning and the peak appears at  
279 different times in different seasons (05:00 am in JJA and 08:00-09:00 am in DJF). The daytime peak of  
280 AAC appears at 07:00 am in JJA and at 09:00 am in DJF. Diurnal variation of SSA also reflects the  
281 difference between AAC and SC (Fig. 3d), implying that aerosols in urban Nanjing are more scattering  
282 after midnight (SSA is about 0.91) while more absorbing before noon and midnight (SSA is about 0.89).  
283 Scattering aerosols mainly come from strong chemical production (gas-to-particle transformation) at  
284 daytime, which to some extent might offset the dilution effect of the boundary on SC, thus, leading to a  
285 relatively larger SSA in afternoon. The figure further shows that both AAE (Fig. 3e) and SAE (Fig. 3f)  
286 at daytime are slightly larger than those after midnight because both absorbing and scattering aerosols

287 are more fresher at daytime while they are more aged before sunrise. Diurnal variations of SAE and  
288 AAE are relatively weaker compared to corresponding coefficients. In addition to aerosol loadings, the  
289 level of Bsp is also affected by the size of the aerosols as suggested by Yu et al. (2016), so is ASP (Fig.  
290 3g). Diurnal cycle of ASP is similar to that of Bsp but is opposite to that of SAE. Large ASP appears in  
291 early morning (0.587) and the lower ASP in afternoon (0.552).

292 **Figure. 3**

### 293 **3.2 Frequencies of the aerosol optical properties**

294 The frequency of the aerosol optical properties is presented in Figure 4 and Table 3. Similarly, the  
295 frequency of EC is not shown in the figure because it has similar pattern to SC's. Almost all of them  
296 follow a unimodal pattern. As listed in Table 3, the dominant ranges for all the aerosol optical  
297 properties are distributed around their annual mean values, respectively, with different widths and they  
298 account for at least 60% of the total samplings during the entire study period. The maximum  
299 frequencies of 32.9% (AAC), 24.04% (SC), 26.45% (Bsp), 18.64% (SSA), 20.9% (AAE), 18.06%  
300 (SAE) and 34% (ASP) occur in the ranges from 9 to 21  $\text{Mm}^{-1}$ , 170 to 280  $\text{Mm}^{-1}$ , 30 to 45  $\text{Mm}^{-1}$ , 0.91 to  
301 93, 1.5 to 1.6, 1.32 to 1.5 and 0.55 to 0.62, respectively. Frequency distributions of the aerosol optical  
302 properties have substantially seasonal variations. The frequency peaks of the properties would be more  
303 concentrated at lower/higher ranges if their seasonal means are smaller/larger. As shown in Fig. 4a, 4c,  
304 and 4e, relatively larger values or the peaks of frequencies for AAC, Bsp and AAE are concentrated in  
305 lower value ranges in JJA but in higher value ranges in the other seasons. Moisture absorption growth  
306 of absorbing aerosols leads to a left-ward shift in an AAE-frequency curve in JJA. Effects of dust  
307 aerosol also might result in a left-ward shift in a SC-frequency curve in spring (Fig. 4f). Furthermore,  
308 due to dust and RH, SC is considerably large in MAM and JJA, leading to relatively larger frequencies

309 of SC distributed at larger SC ranges compared with the ones of AAC. As mentioned above, aerosols in  
310 urban Nanjing are more scattering and have stronger forward scattering ability in JJA than in the other  
311 seasons, thus larger frequencies occur more at higher value ranges of SSA and ASP in JJA.

312 **Figure 4**

313 **Table 3**

### 314 **3.3 Aerosol optical properties in different wind directions**

315 East Asian monsoon is active in middle latitudes. Nanjing could be affected by East Asian summer  
316 monsoon in JJA and by the winter monsoon in DJF. Air flows in these two seasons are significantly  
317 different (Figure 5a and 5b) so to alter the aerosol optical properties in different seasons. Air masses  
318 mostly come from the oceans (about 77%) in JJA and from continental regions in north and northwest  
319 of China (57%) in DJF. Only a few percentages of air masses are from the north region of China in JJA.  
320 Additionally, considerable air masses arriving at the site are from the local areas (cluster 1 in JJA) or  
321 from places near Nanjing (cluster 1 in DJF). Therefore, the aerosol optical properties at the study site  
322 are characterized differently with different air masses in the two seasons.

323 As suggested by Zhuang et al. (2014b), high BC loadings in early June 2012 were observed at the  
324 site when the air masses were from northwestern directions of Nanjing, in which seriously biomass  
325 burning was detected. Therefore, the aerosol optical properties are further analyzed by their origins in  
326 both JJA and DJF (Fig 5c and 5d). In JJA, seasonal mean AAC, SC, Bsp, SSA, ASP, AAE and SAE are  
327 about  $19.65 \text{ Mm}^{-1}$ ,  $340.87 \text{ Mm}^{-1}$ ,  $36.99 \text{ Mm}^{-1}$ , 0.93, 0.64, 1.49 and 1.34, respectively (Table 2). The  
328 dominant air masses are from local areas (cluster 1 in Fig. a) and east ocean (on the way through urban  
329 agglomeration regions (cluster 2) and less-developed regions (cluster 3) of the Yangtze River Delta  
330 YRD), accounting for 90% of the total characteristics of the aerosol optical properties in urban Nanjing.

331 All the values of the properties in the first three clusters are more close to their season means. Aerosol  
332 absorption and scattering coefficients from local emissions are larger than those in the other clusters.  
333 Although air masses in cluster 2 and cluster 3 come from the oceans and have the same level of relative  
334 humidity (RH), differences still exist between the clusters. The air masses have to cross the urban  
335 agglomeration (from Shanghai to Nanjing) of YRD when they arrive Nanjing in cluster 2 but pass less  
336 developed regions (north Jiangsu Province) in cluster 3. In YRD, emissions of the aerosols and trace  
337 gases are much stronger in urban agglomeration regions than those in other area as suggested in Zhang  
338 et al. (2009) and Zhuang et al. (2013b). Therefore, AAC and SC in cluster 2 are larger than those in  
339 cluster 3 to some extent (Fig. 5a and 5c). Aerosols from these two clusters are more scattering than the  
340 local ones. There are two clusters (cluster 4 and 5 in Fig. 5a) from the remote areas in JJA. Aerosol  
341 loadings are relatively small when the air masses from these two clusters. The size of the aerosols is  
342 finer (larger AAE in cluster 5 and SAE in cluster 4 and 5 in Fig. 5c). ASP varying with the clusters  
343 coincides with RH varying with the clusters (Fig. 5c), implying that RH might influence ASP  
344 significantly. In DJF, seasonal mean AAC, SC, Bsp, SSA, ASP, AAE and SAE are about  $37.96 \text{ Mm}^{-1}$ ,  
345  $385.14 \text{ Mm}^{-1}$ ,  $54.79 \text{ Mm}^{-1}$ , 0.89, 0.54, 1.70 and 1.24, respectively (Table 2). Similar to JJA, the aerosol  
346 absorption and scattering coefficients are the largest, all of which (AAC, SC and Bsp) are about 1.3  
347 times of their season means (Fig. 5d), when the air masses are local or from the regions (cluster 1 in  
348 Fig. 5b) near Nanjing in DJF. AAC, SC, Bsp, SSA and ASP are small but AAE and SAE are large if air  
349 masses are from remote areas. Aerosols are the smallest, most absorbing and finest when the air masses  
350 are from near Lake Baikal. ASP varying with the clusters also coincides with RH varying with the  
351 clusters in this season (Fig. 5d), further implying the effect of RH on ASP.

352 **Figure 5**



353 Substantial studies on the aerosol optical properties have been carried out in China from monthly  
354 to annual scales. Table 4 lists some annual and seasonal statistics of measured surface aerosol optical  
355 properties from literature. Annual and season means listed in the table are comparable to some extent,  
356 although the observational periods and instruments are different. It suggests that AACs and SCs in  
357 urban areas are much higher than those in rural and remote areas. In Beijing (center of  
358 Beijing-Tianjin-Hebei region), annual mean AAC and SC were 56 and 288  $\text{Mm}^{-1}$  in urban site during  
359 the period from 2005 to 2006 (He et al., 2009), which were much larger than the ones (17.5 and 174.6  
360  $\text{Mm}^{-1}$ , respectively) in rural area (Yan et al., 2008). In Chengdu (Tao et al., 2014), Xi'an (Cao et al.,  
361 2012) and Wuhan (Gong et al., 2015), which is the center from southwest to central China, the annual  
362 mean scattering coefficients in these cities exceeded 450, 520 and 370  $\text{Mm}^{-1}$ , respectively. In Pearl  
363 River Delta (PRD) region, seasonal mean AAC at 532 nm was about 84 and 188  $\text{Mm}^{-1}$  at an urban site  
364 (Panyu), about 47 and 95  $\text{Mm}^{-1}$  at a suburban site (Dongguan), about 26 and 28  $\text{Mm}^{-1}$  at a rural site,  
365 and only 7.21 and 8.37  $\text{Mm}^{-1}$  at a remote site (Yongxing Island), in spring and winter, respectively (Wu  
366 et al., 2013). Additionally, aerosols in urban areas are more absorbing. The aerosol absorptions in urban  
367 areas have stronger seasonality than those in rural areas (Table 4). Urban aerosols in Nanjing in annual  
368 scale are somewhat lower but more scattering than those in most cities in China. In addition to annual  
369 and seasonal means, there are considerable studies on monthly mean aerosol optical properties (e.g.,  
370 Bergin et al., 2001; Xu et al., 2002; 2004; Li et al., 2007; Andreae et al., 2008; Li et al., 2015a; b). A  
371 few studies on the aerosol optical properties in Nanjing have been carried out previously (Zhuang et al.,  
372 2014a; 2015; Yu et al., 2016) based on observations. They were more focused on the columnar aerosols  
373 (Zhuang et al., 2014a), or single optical property (Zhuang et al., 2015), or shorten observations (two  
374 months in Yu et al., 2016). Substantial analysis in the key optical properties of the surface aerosol here

375 to a certain degree fill the gaps in the study on the aerosols in Nanjing, even in YRD.

376 **Table 4**

377 **3.4 Relationship among aerosol optical properties, relative humidity and visibility**

378 The relationships between SC and AAC, SC and Bsp are presented by season in Figure 6. As  
379 shown in Figures 3 and 4, these three types of coefficients have similar diurnal and frequency  
380 distributions. The linear correlation coefficient varies from 0.93 to 0.97 for SC and Bsp and from 0.66  
381 to 0.87 for SC and AAC in urban Nanjing. It is obvious that relations between SC and Bsp are much  
382 better than those between SC and AAC in all seasons. The correlation between AAC and SC becomes  
383 poorer in MAM (0.66) and JJA (0.78) because the scattering aerosols are more affected by dust in  
384 spring and SC is more affected by RH in summer. The linear correlation coefficients between SC and  
385 AAC and between SC and Bsp in MAM at the site were a little smaller than that in suburban Nanjing  
386 (Yu et al., 2016) in the same season in 2011. The slope of the fitting between Bsp and SC represents the  
387 levels of ASP. Analysis (not shown) suggests that ASP has a significant anti-correlation with the ratio  
388 of Bsp to SC (linear  $R=-0.98$ ). Thus, a greater slope of curve represents a smaller ASP, thus less  
389 forward scattering of the aerosols.

390 **Figure 6**

391 The correlations between ASP and SC under different RH conditions are illustrated in Figure 7,  
392 showing that ASP has a quasi-LogNormal distribution with SC especially in lower RH conditions. ASP  
393 increases monotonically with increasing SC in low RH ranges (Fig. 7a and 7b,  $RH < 60\%$ ) and ASP  
394 mostly concentrates at small SC regions when RH is less than 40% (Fig. 7a), implying that fine  
395 particles dominates the most in low RH conditions as also suggested by Andrews et al. (2006) and  
396 Badu et al. (2012). The correlation between ASP and SC becomes poorer with increasing RH (Fig. c),

397 indicating that both fine and coarse aerosols might be equally important to the total SC.

398 **Figure 7**

399 Figure 8 shows the relationships between the SSA at 491 nm and extinction Angstrom exponent  
400 (EAE) at 491/863 nm (Fig. a) as well as between SSA difference (863 nm - 491 nm) (short for dSSA)  
401 and EAE at 491/863 nm (Fig. b). Overall, SSA or dSSA to a certain degree have an anti-correlation  
402 with EAE in urban area of Nanjing, especially for the latter one. Linear correlation coefficient is about  
403 -0.13 between SSA and EAE and about -0.75 between dSSA and EAE. Relationships between the SSA  
404 (or dSSA) and EAE to some extent reflect the aerosol types and sources as indicated by Russell et al.  
405 (2014), who proposed a method to identify the aerosol types based on the columnar aerosol optical  
406 properties (including SSA, EAE and the real refractive index) from the Aerosol Robotic Network  
407 (AERONET) retrievals. They suggested that: 1. The polluted dust aerosol had smaller EAE (near 1.0)  
408 and SSA ranged from 0.85 to 0.95. 2. The urban aerosols had larger EAE values (around 1.4) and SSA  
409 ranges (0.86~1.0) compared with the dust aerosols. 3. The biomass burning aerosol (dark type) had the  
410 largest EAE (exceeding 1.5) while smaller SSA (about 0.85). If there were two kind of aerosols having  
411 nearly identical coordinates in SSA and EAE, further information (such as the real refractive index)  
412 should be used (Russell et al., 2014). Based on this method, the figure further implies that, in addition  
413 to local emissions, aerosols in urban area of Nanjing might also be affected substantially by the  
414 long-distance transported dust (or polluted dust) in spring and be influenced to some extent by biomass  
415 burning in fall.

416 **Figure 8**

417 Atmospheric humidity has significant influences on the growth of particulate matter, subsequently  
418 affecting the sizes and absorbing/scattering abilities of the aerosols. As shown in Figure 7a and 7c, high

419 levels of SC are likely found in high RH ranges. Seasonal mean RH is the largest in summer but lowest  
420 in winter (Figure 9a). In summer, both trace gases and particulate matters have lower emission rates as  
421 suggested by Zhang et al. (2009). Furthermore, PBL height and precipitation mostly have larger values  
422 in this season than those in other seasons. Thus, these three factors would result in smaller aerosol  
423 loadings in summer (such as Bsp is the smallest in this season). However, different from Bsp, SC in  
424 summer is larger than that in spring and fall, which might mainly result from the effects of high RH  
425 (Fig. 1c and 1d) although gas-to-particle transformation also have contribution to SC to a certain  
426 degree in this season. Zhang et al. (2015) indicated that SC and Bsp in YRD would increase by 50%  
427 and 25% as the RH increased from 40% to 85% and the increment would become larger if there were  
428 considerable amount of nitrate in fine particles. And nitrate in urban area of Nanjing accounts for more  
429 than 20% (as much as sulfate) of the total PM<sub>2.1</sub> (Zhuang et al., 2014a). RH might also affect the sizes  
430 of the aerosol. The smallest AAE in JJA always corresponds to the highest RH, and vice versa (Fig. 1b  
431 and 9a). These results are consistent with Zhuang et al. (2014a), in which characteristic of columnar  
432 aerosol optical properties were investigated. Figure 9b further shows that AAE and SAE decrease  
433 monotonically with increasing RH. The correlation between ASP and RH is opposite to that between  
434 aerosol Ångström exponent and RH. The linear correlation coefficients are -0.36, -0.15 and 0.6  
435 between AAE and RH, SAE and RH, and ASP and RH, respectively, in urban areas of Nanjing. ASP  
436 and RH are highly correlated with each other, which are also reflected in Fig. 2f, Fig. 5c, Fig. 5d and  
437 Fig. 9a, implying that RH might have considerable influence on the aerosol forward scattering  
438 coefficient hence SC. These results could be used to correct the aerosol optical parameters in numerical  
439 models for estimating the aerosol radiative forcing in East China as suggested by Andrews et al. (2006),  
440 in hope to reduce uncertainties in such estimation.

441 **Figure 9**

442 High levels of aerosol loadings would directly affect the visibility (VIS), which is one of the  
443 factors being concerned about in current air quality forecasting in China. The forecast accuracy of  
444 visibility or haze pollutions would be increased significantly if the effects of aerosols on visibility can  
445 be figured out. Instead of the loadings of the particulate matter, the aerosol optical properties here are  
446 used when investigating the aerosol effects on VIS.

447 Figure 10 shows the relations between extinction coefficient (EC) and VIS and between SC and  
448 VIS by season under different RH levels. Atmospheric VIS is found to decrease exponentially with  
449 increasing EC or SC in all seasons. The lapse rate of VIS with EC or SC is much larger in spring and  
450 summer than in fall and winter. The lower VIS always appears at higher RH ranges, and vice versa. In  
451 small VIS regions (such as: <4 km), VIS values are much smaller in JJA than those in the other seasons  
452 under the same SC level, implying the strong effects of RH on VIS. The effect of AAC on VIS has  
453 substantial seasonality and it is strong in SON but weak in MAM and JJA as illustrated in the fitting  
454 lines in the figure. Study on the effects of PM on VIS might be more reasonable if using the aerosol  
455 optical properties rather than its mass concentrations. The linear correlation coefficient between EC and  
456 VIS varies from -0.69 (in JJA) to -0.87 (in DJF), and between SC and VIS, it varies from -0.71 (in JJA)  
457 to -0.87 (in DJF) in urban area of Nanjing.

458 **Figure 10**

459 In addition to the SC or EC, the aerosol SSA and ASP also have good relationships with VIS as  
460 shown in Figure 11, in which the effects of RH and SAE are also included (larger markers represent  
461 smaller SAE, but larger size of the aerosols). The aerosols would become coarser, less absorbing and  
462 more forward scattering to some extent with increasing RH, which subsequently further exacerbate the

463 deterioration of visibility in all the seasons. The linear correlation coefficients vary from -0.48 (in JJA)  
464 to -0.73 (in SON) between SSA and VIS and -0.47 (in JJA) to -0.80 (in MAM) between ASP and VIS  
465 in urban Nanjing. These results additionally illustrate that the scattering aerosols are still the key factors  
466 affecting the atmospheric visibility, although the absorbing aerosols might have considerable influences  
467 on VIS in some seasons (Fig. 10c). The results in this study further indicate that effects of aerosols on  
468 air quality are complex.

469 **Figure 11**

470 Comparison between surface aerosol extinction coefficient and columnar AOD is performed  
471 (Figure 12). Differences exist between EC and AOD, although they are well correlated with each other  
472 in each season. AOD to some extent is less affected by the development of boundary layer and more  
473 affected by the transport of aerosols compared to EC at the surface. The seasonal mean EC is large both  
474 in JJA and in DJF while the largest AOD is only found in JJA, which is possibly related to higher  
475 boundary layer height in JJA. A lower boundary layer would lead to more aerosol accumulation at the  
476 surface thus result in its smaller column burden. These differences (high surface aerosol loadings but  
477 low AOD) have also been simulated by a regional climate chemistry model in Zhuang et al. (2011 and  
478 2013). Overall, high AOD level corresponds to large EC value in each season, implying that aerosols in  
479 the upper layers mostly come from surface emissions in urban Nanjing. In some cases, long distance  
480 transport of aerosols might contribute significantly to the AOD as shown in Fig. 12a, in which AOD  
481 exceeds 2 meanwhile EC is found to appear in low value ranges. The slope of the linear fitting is larger  
482 in JJA (about 0.0016) than that in the other seasons (all about 0.001), indicating that for a given value  
483 of EC, AOD would be higher in JJA possibly because of higher humidity in summer. The columnar  
484 water vapor in summer is about 2 to 5 times of that in the other seasons.

485 **Figure 12**

#### 486 **4 Conclusions**

487 In this study, the near-surface aerosol optical properties, including aerosol scattering (SC), back  
488 scattering (Bsp), absorption (AAC) and extinction (EC) coefficients, single scattering albedo (SSA),  
489 scattering (SAE) and absorbing (AAE) Ångström exponent, as well as asymmetry parameter (ASP), are  
490 investigated based on the measurements with the 7-channel Aethalometer (model AE-31, Magee  
491 Scientific, USA) and three-wavelength integrating Nephelometer (Aurora 3000, Australia) in urban  
492 area of Nanjing.

493 In urban area of Nanjing, the annual mean EC, SSA and ASP at 550 nm are  $381.958 \text{ Mm}^{-1}$ , 0.901,  
494 0.571, respectively. SC, which accounts for about 90% of EC, is about one order of magnitude larger  
495 than AAC, implying that EC to a great degree has similar temporal variation and frequency distribution  
496 to SC. Absorbing aerosol is finer than the scattering one. AAE at 470/660 nm is about 1.58, about 0.2  
497 larger than SAE. All of them above have substantially seasonal and diurnal variations. Both the aerosol  
498 absorption and scattering coefficients have the largest values in winter due to the higher emissions.  
499 However, SC also has a higher values in summer and spring likely due to higher relative humidity (RH)  
500 and efficiency of gas-to-particle transformation in summer and the effect of dust in spring, respectively.  
501 High RH in summer results in the lowest AAE and largest ASP being found and it is also lead to a  
502 relatively smaller SAE, although a large number of fine scattering aerosols could be produced through  
503 intensive gas-to-particle transformation in this season. Seasonality of SSA is co-determined by AAC  
504 and SC, showing the largest value in summer and lowest value in fall. AAC, SC, Bsp and EC have  
505 more substantial diurnal variations than SSA, AAE, SAE and ASP. Because of traffic emissions, AACs  
506 are high at the rush hours (around 09:00 am and pm) but low in afternoon when the boundary layer

507 being well developed. SC and Bsp usually peak in the early morning before sunrise (1-2 earlier than  
508 AAC's) and reach the bottom in the afternoon. High levels of SC and Bsp are mostly caused by  
509 accumulation of air pollution in the nighttime from midnight to sunrise. The diurnal variation of SSA is  
510 also depended on AAC and SC. SSA is large after midnight and noon. AAE and SAE at daytime are  
511 slightly larger than after midnight because both absorbing and scattering aerosols are fresher at daytime  
512 but more aged before sunrise. ASP, which is related to the size of the aerosols, its diurnal variation is  
513 opposite to SAE's but similar to Bsp's.

514 The seasonal and diurnal observations on the aerosol optical properties are of great importance to  
515 the modeling community. In addition to the aerosol emission rates, compositions, mixing states, and  
516 profiles, uncertainties of the aerosol seasonality and diurnal variations might also lead to large bias  
517 when investigating the aerosol radiative forcing and climate effects. Xu et al. (2016) presented that the  
518 aerosol direct radiative forcing would be underestimated both at the TOA and surface by 2.0 and 38.8  
519  $W/m^2$ , respectively, if the diurnal variation were excluded. Large bias of the aerosol forcing would  
520 subsequently result in substantial uncertainties of the climate responses to the aerosol. Analysis on the  
521 seasonal and diurnal variations of the aerosol optical properties in this study to some extent are  
522 valuable to the modeling-based researches on the aerosol climate effects.

523 Frequency analysis indicates that almost all of the aerosol optical properties follow a unimodal  
524 pattern in urban area of Nanjing. The ranges around their averages, with different widths, account for  
525 more than 60% of the total samplings. Frequency distributions of the aerosol optical properties also  
526 have substantial seasonality. The frequency peak of a property would be more concentrated among  
527 lower/higher ranges if the seasonal mean is smaller/larger. Back trajectory analysis suggests that the  
528 source of aerosols in Nanjing are mainly from the local and regional emissions around YRD in summer,



529 while from the sources include both local emissions and transport from central and north China in  
530 winter. In JJA, aerosols are more scattering when air masses come from the East China Sea and finer if  
531 air masses come from remote areas. In DJF, AAC, SC, Bsp, SSA and ASP are low while AAE and SAE  
532 are high in urban Nanjing under the conditions of air masses being transported from remote areas. ASP  
533 varied with the clusters is consistent with RH in both JJA and DJF.

534       The correlation between SC and Bsp is much better than that between SC and AAC in all seasons.  
535 In spring, these relationships are a little weaker than those in suburban Nanjing. ASP has a  
536 quasi-LogNormal distribution with SC under a condition of RH being lower than 60%, increasing  
537 monotonically with increasing SC. It would be mostly concentrated at small SC regions when RH is  
538 less than 40% because finer particles dominant under low RH conditions. The correlation between ASP  
539 and SC becomes weaker with increasing RH, indicating that both fine and coarse aerosols might be  
540 equally important to the total SC in high RH conditions. Atmospheric humidity can significantly  
541 modulate aerosol optical properties. Due to the effects of RH in summer, the aerosol would become  
542 coarser and its forward scattering efficiency would be stronger with increasing in RH. The linear  
543 correlation coefficients are -0.36, -0.15 and 0.6 between AAE and RH, SAE and RH, and ASP and RH,  
544 respectively, in urban areas of Nanjing. Comparisons also indicate that seasonal variation of surface  
545 aerosol EC (high in JJA and DJF) is different from its columnar optical depth (AOD, high in JJA and  
546 low in DJF), even though they are closely correlated to each other within each season. Overall, high  
547 AOD level corresponding to large EC value in each season implies that aerosols in upper layers are  
548 mostly from surface emissions. AOD would be higher in JJA than in other seasons in a condition with  
549 fixed EC, possibly due to the effects of high humidity.

550       Overall, the scattering aerosols are still the key factor in affecting the atmospheric visibility

551 (VIS), although the absorbing aerosol has considerable contributions in some seasons. The linear  
552 correlation coefficient between EC and VIS varies from -0.69 to -0.87, close to those between SC and  
553 VIS. VIS is found to be decreased exponentially with increasing EC or SC in all seasons. And its lapse  
554 rate along with EC or SC is much larger in spring and summer than in fall and winter. In small VIS  
555 regions (i.e.,  $VIS < 4$  km), VIS values are much smaller in JJA than in other seasons if the SC levels are  
556 the same, further indicating the strong effect of RH on VIS. The aerosol SSA and ASP could also affect  
557 VIS. Large SSA and ASP might further exacerbate the deterioration of visibility. The linear correlation  
558 coefficients between seasonal SSA and VIS varies from -0.48 to -0.73 and from -0.47 to -0.80 between  
559 ASP and VIS in urban area of Nanjing.

560

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779 **Figure captions:**

780 Figure 1. The 10th, 25th, median, 75th, and 90th percentiles of 550 nm AAC (a,  $\text{Mm}^{-1}$ ), 470/660 nm  
781 AAE (b), 550 nm SC (c,  $\text{Mm}^{-1}$ ), 550 nm (d,  $\text{Mm}^{-1}$ ) and 450/635 nm SAE (e) in each season from March  
782 2014 to February 2016.

783 Figure 2. Seasonal means (markers) and corresponding standard deviations (error bars) of  
784 wavelength-dependent AAC (a,  $\text{Mm}^{-1}$ ), SC (b, solid mark,  $\text{Mm}^{-1}$ ), Bsp (b, open mark,  $\text{Mm}^{-1}$ ), EC (c,  
785  $\text{Mm}^{-1}$ ), SSA (e) and ASP (f) at 450, 532, 550, 635 nm, as well as AAE at 470/660 nm (d, red solid mark)  
786 and SAE at 450/635 nm (d, green open mark)

787 Figure 3. Diurnal variations of 550 nm AAC (a,  $\text{Mm}^{-1}$ ), SC (b,  $\text{Mm}^{-1}$ ), Bsp (c,  $\text{Mm}^{-1}$ ), SSA (d), ASP (g),  
788 470/660 nm AAE (e) and 450/635 nm SAE (f) during the study period.

789 Figure 4. Frequency (%) distributions of 550 nm AAC (a), SC (b), Bsp (c), SSA (d), ASP (g), 470/660  
790 nm AAE (e) and 450/635 nm SAE (f) on annual (shaded bar) and seasonal (markers in colors) scales.

791 Figure 5. Clusters of 96-h back trajectories arriving at the study site at 100 m in JJA (a) and DJF (b)  
792 simulated by the HYSPLIT model. The means with standard deviations of the aerosol optical properties  
793 at each cluster of back trajectories in both JJA and DJF are plotted in Fig. 5c and 5d, respectively.

794 Figure 6. Relationships between 550 nm AAC and SC (solid square in blue) and between 550 nm Bsp  
795 and SC (solid circles in gray) in spring (a), summer (b), autumn (c) and winter (d).

796 Figure 7. Relationships between the 550 nm ASP and SC in different RH levels.

797 Figure 8. Relationships between the monthly mean values of 491 nm SSA and extinction Angstrom  
798 exponent (EAE) at 491/863 nm (a) and between the monthly mean values of SSA difference (863-491  
799 nm) and EAE at 491/863 nm (b).

800 Figure 9. Seasonal variations of RH (a, %) and linear correlations between AAE and RH (b, light blue,  
801 upper), between SAE and RH (b, green, middle), and between ASP and RH (c, deep blue, lower).

802 Figure 10. Relationships between SC and visibility (open circles) and between EC and visibility (solid  
803 circles) in different RH levels in spring (a), summer (b), autumn (c) and winter (d).

804 Figure 11. Relationships between SSA and visibility (solid circles) and between ASP and visibility  
805 (solid squares) in different RH and AAE levels in spring (a), summer (b), autumn (c) and winter (d).

806 Figure 12. Relationships between surface EC at 550 nm and column AOD at 500 nm in spring (a),  
807 summer (b), autumn (c) and winter (d).

808

809 **Table captions:**

810 Table 1 Statistical summary of the surface aerosol optical properties in Nanjing.

811 Table 2 Seasonal mean $\pm$ SD of the surface aerosol optical properties in Nanjing.

812 Table 3. The dominant and maximum frequencies as well as corresponding ranges of the aerosol  
813 optical properties.

814 Table 4 The aerosol optical properties in Nanjing and at other sites of China.

815

816

817 Table 1 Statistical summary of the surface aerosol optical properties in Nanjing

Factors	Max	Min	Mean±SD	Median
550 nm AAC ( $Mm^{-1}$ )	230.648	1.439	29.615±20.454	24.572
550 nm SC ( $Mm^{-1}$ )	2493.092	20.673	338.275±228.078	284.379
550 nm Bsp ( $Mm^{-1}$ )	300.101	1.401	44.257±27.396	38.206
550 nm EC ( $Mm^{-1}$ )	2643.101	31.186	381.958±252.271	321.679
550 nm SSA	0.988	0.404	0.901±0.049	0.908
550 nm ASP	0.908	0.118	0.571±0.088	0.582
470/660 nm AAE	3.256	0.145	1.583±0.228	1.592
450/635 nm SAE	3.344	0.162	1.320±0.407	1.317

818 AAC: Aerosol absorption coefficient

819 SC: Aerosol scattering coefficient

820 Bsp: Aerosol back scattering coefficient

821 SSA: Aerosol single scattering albedo

822 ASP: Aerosol asymmetry parameter

823 AAE: Ångström exponent of absorbing aerosols

824 SAE: Ångström exponent of scattering aerosols

825

826 Table 2 Seasonal mean±SD of the surface aerosol optical properties in Nanjing

Factors	MAM	JJA	SON	DJF
550 nm AAC ( $Mm^{-1}$ )	26.954±18.632	19.653±15.689	33.474±19.686	37.958±21.892
550 nm SC ( $Mm^{-1}$ )	318.998±202.264	340.865±226.151	294.624±200.052	385.137±255.282
550 nm Bsp ( $Mm^{-1}$ )	42.995±23.580	36.990±25.067	38.684±23.017	54.786±30.974
550 nm EC ( $Mm^{-1}$ )	341.279±209.315	370.236±248.125	351.887±244.267	422.569±273.565
550 nm SSA	0.915±0.043	0.933±0.049	0.874±0.053	0.890±0.040
550 nm ASP	0.553±0.086	0.638±0.069	0.566±0.079	0.540±0.083

470/660 nm AAE	1.571±0.172	1.488±0.263	1.524±0.277	1.701±0.156
450/635 nm SAE	1.097±0.320	1.337±0.428	1.544±0.352	1.235±0.383

827

828 Table 3. The dominant and maximum frequencies as well as corresponding ranges of the aerosol  
829 optical properties.

The aerosol optical properties	The dominant		The maximum	
	Bins	Frequencies	Bins	Frquencies
AAC	9~45 Mm <sup>-1</sup>	73%	9~21 Mm <sup>-1</sup>	32.9%
SC	60~390 Mm <sup>-1</sup>	67%	170~280 Mm <sup>-1</sup>	24.04%
Bsp	15~60 Mm <sup>-1</sup>	69%	30~45 Mm <sup>-1</sup>	26.45%
SSA	0.87~0.97	73%	0.91~0.93	18.64%
AAE	1.4~1.8	71%	1.5~1.6	20.9%
SAE	0.96~1.68	62%	1.32~1.5	18.06%
ASP	0.48~0.69	81%	0.55~0.62	34%

830



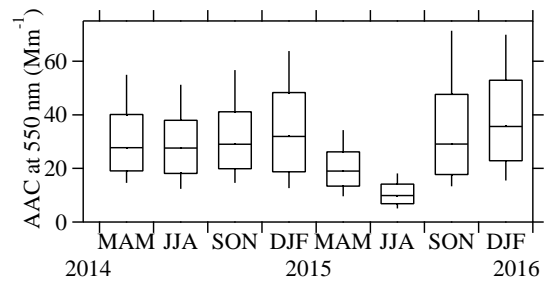
Table 4 The aerosol optical properties both in Nanjing and at other sites of China

Site	Period	AAC ( $Mm^{-1}$ )	SC ( $Mm^{-1}$ )	ASP	SSA	Method	References
Nanjing (urban)	2014.3-2016.2	29.6 (550 nm)	338.3 (550 nm)	0.57 (550 nm)	0.9 (550 nm)	<sup>a</sup> AE-31  <sup>b</sup> Aurora 3000	This study
Beijing (urban)	2005-2006	56 (532 nm)	288 (525 nm)	/	0.8 (525 nm)	<sup>c</sup> AE-16  <sup>d</sup> M9003	He et al. (2009)
Beijing (rural)	2003-2005	17.5 (525 nm)	174.6 (525 nm)	/	0.88 (525 nm)	<sup>a</sup> AE-31  <sup>d</sup> M9003	Yan et al. (2008)
Xi'an (urban)	2009	/	525 (520 nm)	/	/	<sup>e</sup> Auroral 1000	Cao et al. (2012)
Chengdu (urban)	2011	96 (532 nm)	456 (520 nm)		0.82	<sup>a</sup> AE-31  <sup>f</sup> Aurora 1000G	Tao et al. (2014)
Wuhan (urban)	2009.12-2014.03	119 (520 nm)	377 (550 nm)	/	0.73 (520 nm)	<sup>a</sup> AE-31  <sup>g</sup> Model 3563	Gong et al. (2015)
Xinken (rural)	2004.10-2011.05	70 (550 nm)	333 (550 nm)	/	0.83 (550 nm)	<sup>h</sup> MAAP  <sup>g</sup> Model 3563	Cheng et al. (2008)
Tongyu (rural)	Spring, 2010 Spring, 2011	7.61 (520 nm) 7.01 (520 nm)	89.2 (520 nm) 85.3 (520 nm)	/	0.9 (520 nm)	<sup>a</sup> AE-31  <sup>b</sup> Aurora 3000	Wu et al. (2012)
Nanjing (suburban)	2011.03-04	28.1 (532 nm)	329.3 (550 nm)	/	0.89 (532 nm)	<sup>i</sup> PASS  <sup>d</sup> Model 3563	Yu et al. (2016)
Shanghai (urban)	2010.12-2011.03	66 (532 nm)	293 (532 nm)	/	0.81 (532 nm)	<sup>a</sup> AE-31  <sup>g</sup> Model 3563	Xu et al. (2012)
Shouxian (rural)	2008.5-12	29 (550 nm)	401 (550 nm)	/	0.92 (550 nm)	<sup>j</sup> Model PSAP  <sup>g</sup> Model 3563	Fan et al. (2010)
Lanzhou (urban)	Winter 2001, 2002	/	226 (550 nm)	/	/	<sup>d</sup> Model 3563	Zhang et al. (2004)
Panyu (urban)	Spring and winter, 2008	84.03 and 188.8 (532 nm)	/	/	/	<sup>a</sup> AE-31	Wu et al. (2013)
Dongguan (suburban)	Spring and winter, 2008	47.1 and (532 nm)	/	/	/	<sup>a</sup> AE-31	Wu et al. (2013)

Maofengshan (Rural)	Spring winter, 2008	and	26.45 28.77 (532 nm)	and	/	/	/	<sup>a</sup> AE-31	Wu et al. (2013)
Yongxing Island	Spring winter, 2008	and	7.21 (532 nm)	and	8.37	/	/	<sup>a</sup> AE-31	Wu et al. (2013)

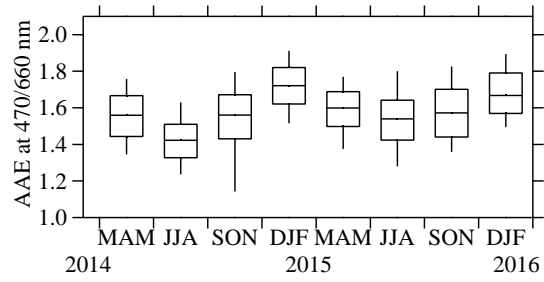
- 
- 832 <sup>a</sup> Seven channels Aethalometer (model AE-31, Magee Scientific, USA)
  - 833 <sup>b</sup> Three wavelength integrating Nephelometer (Model Aurora 3000, Australia)
  - 834 <sup>c</sup> Aethalometer AE16
  - 835 <sup>d</sup> Nephelometer M9003
  - 836 <sup>e</sup> Integrating Nephelometer (Model Aurora 1000)
  - 837 <sup>f</sup> Integrating Nephelometer (Model Aurora 1000G)
  - 838 <sup>g</sup> Integrating Nephelometer (Model 3563, TSI, USA)
  - 839 <sup>h</sup> Multi-angle Absorption Photometer (MAAP, Thermo, Inc., Waltham, MA USA,  
840 Model 5012)
  - 841 <sup>i</sup> Photo acoustic Soot Spectrometer (PASS 1, DMT, USA)
  - 842 <sup>j</sup> Particle/Soot Absorption Photometer

843 **Figures:**



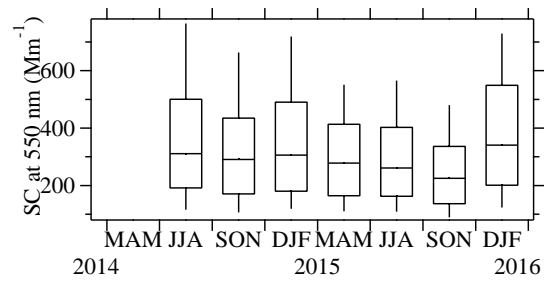
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a)



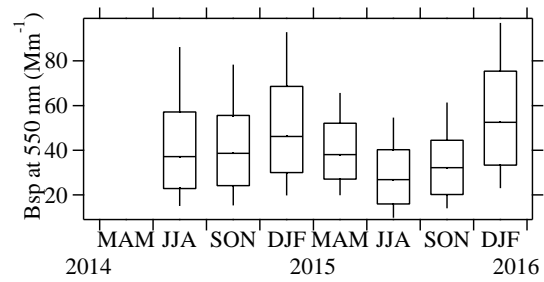
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b)



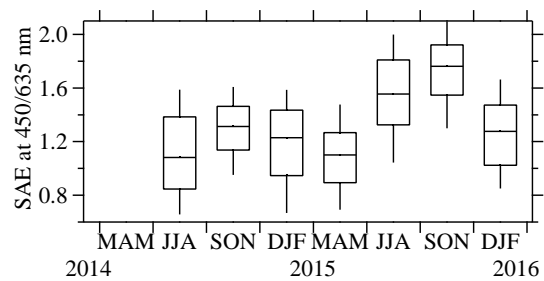
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c)



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d)

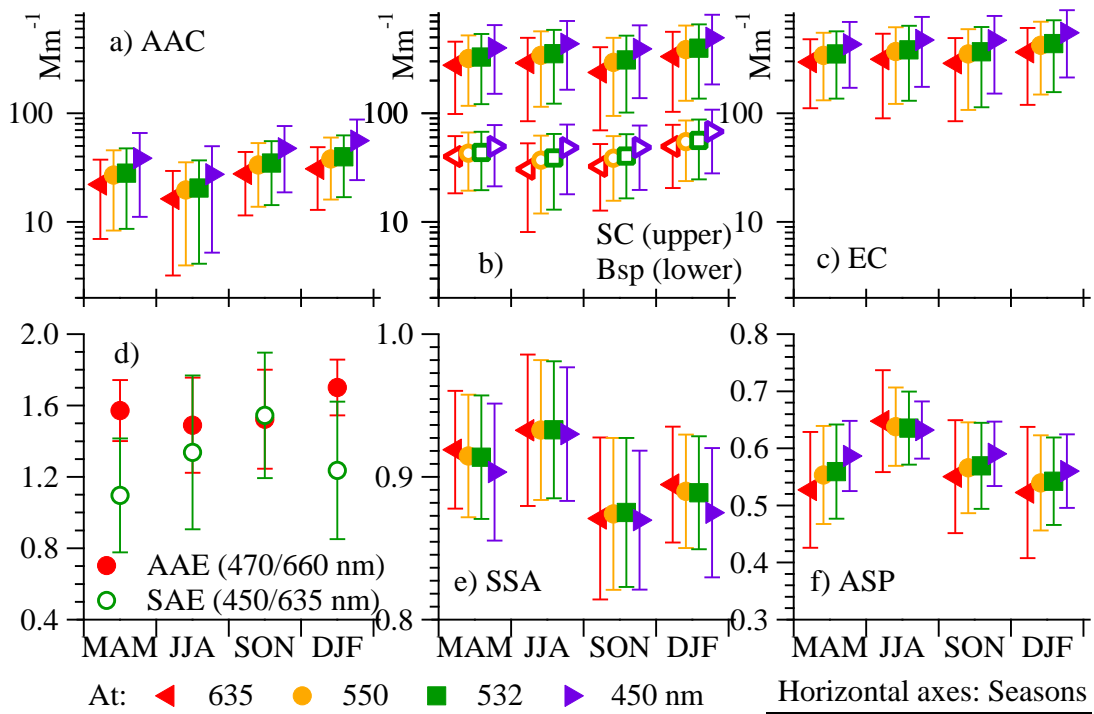


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e)

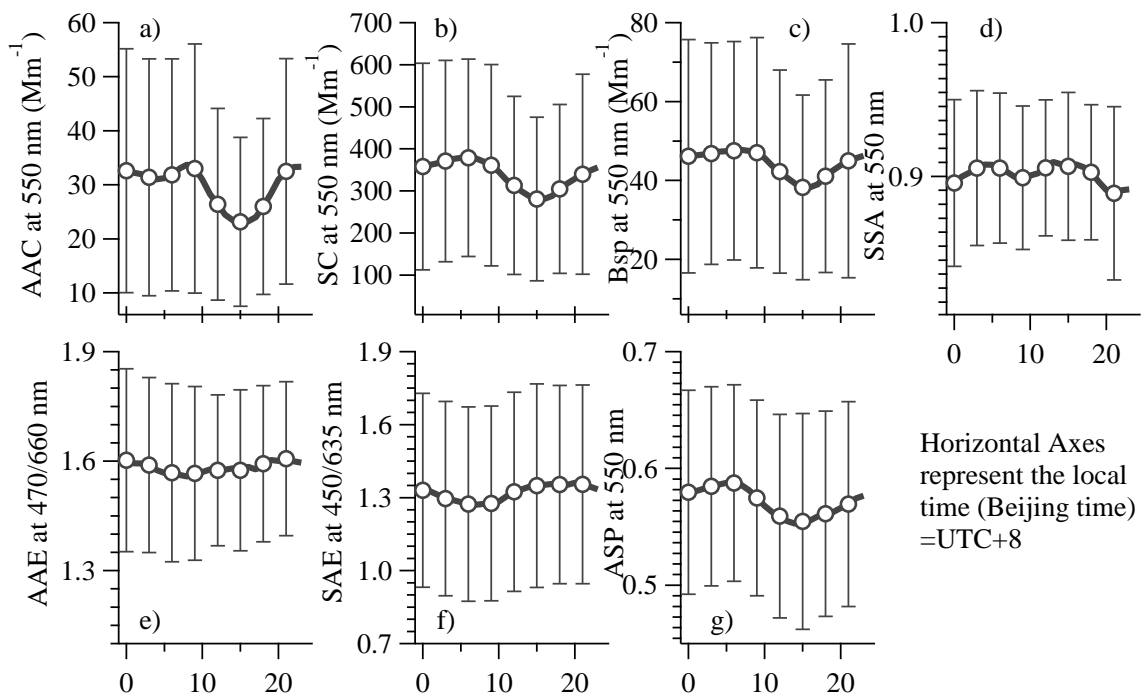
Figure 1.

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Figure 2.



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Figure 3

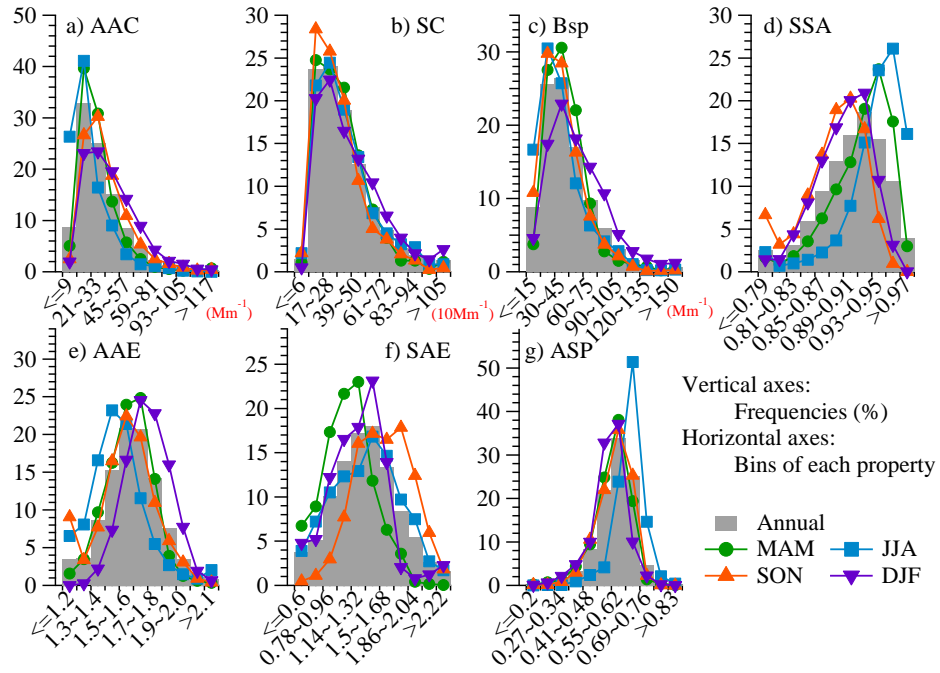
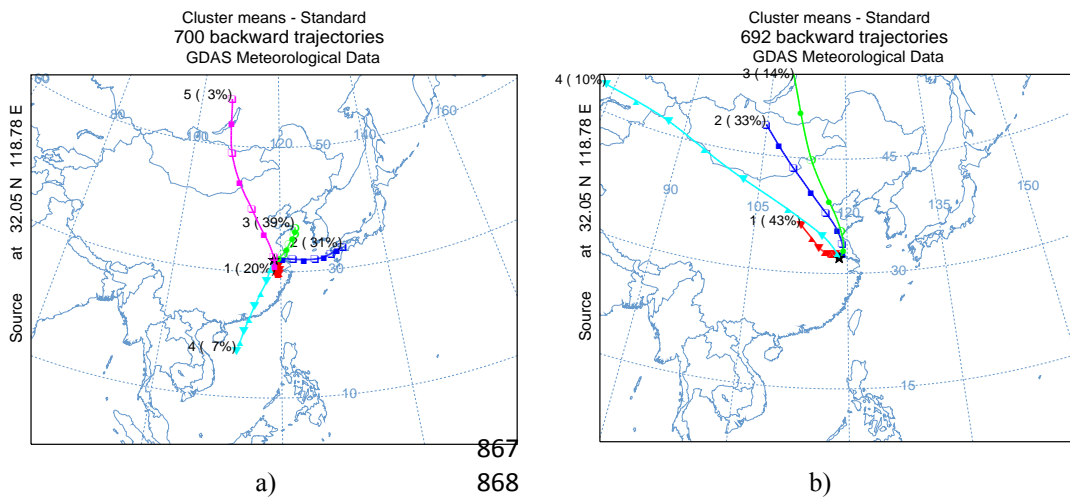


Figure 4



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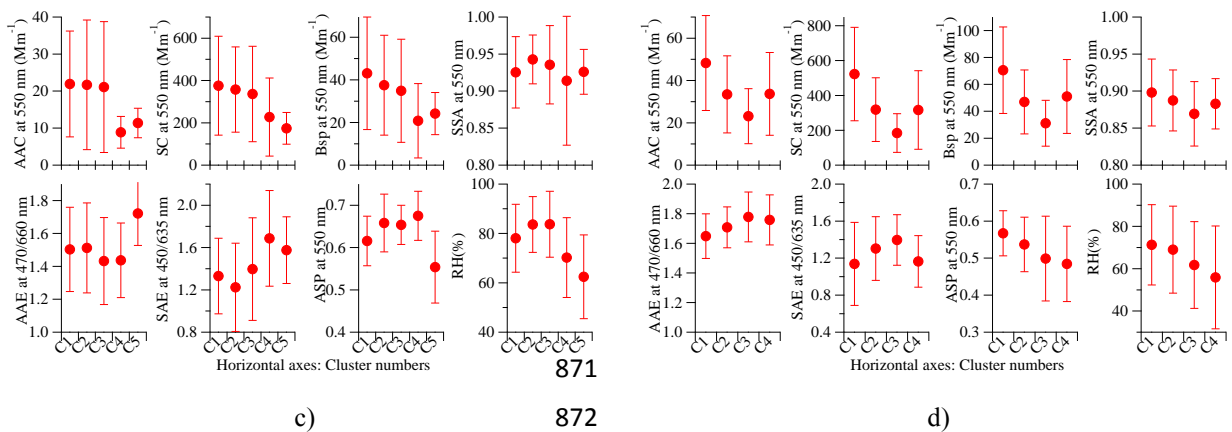
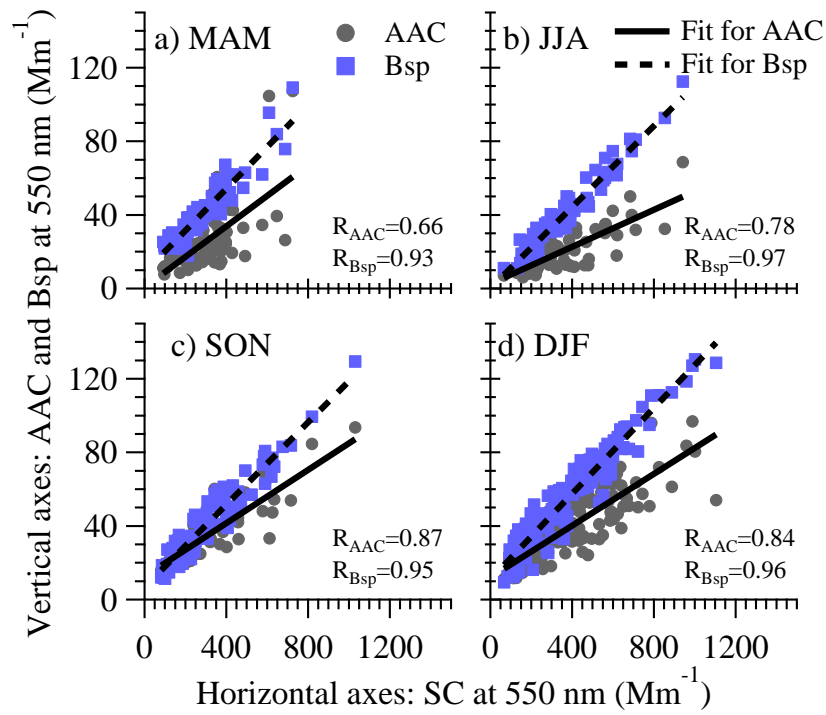
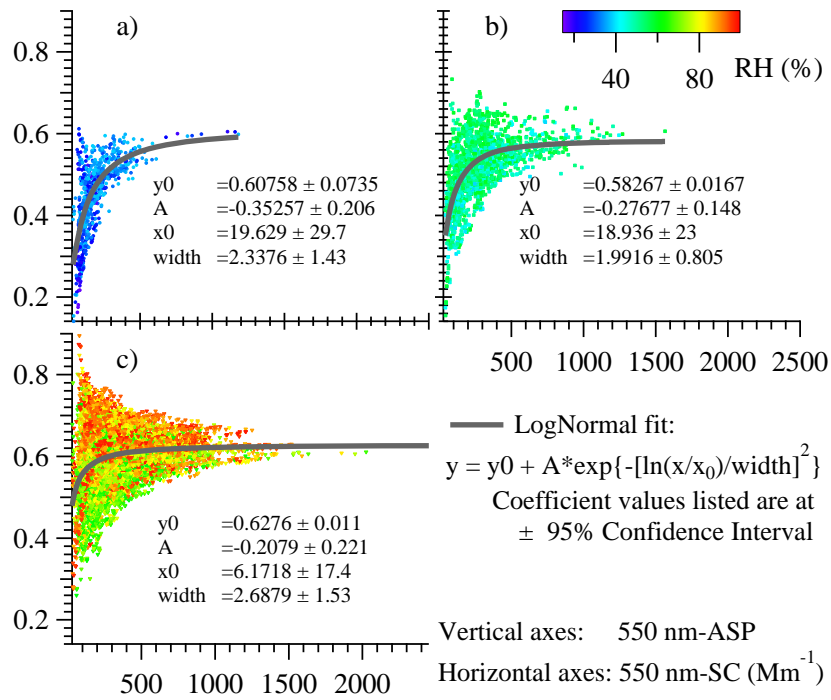


Figure 5



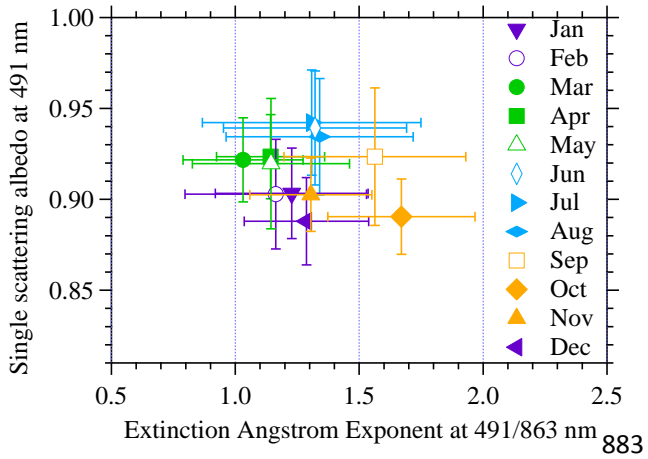
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Figure 6



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Figure 7



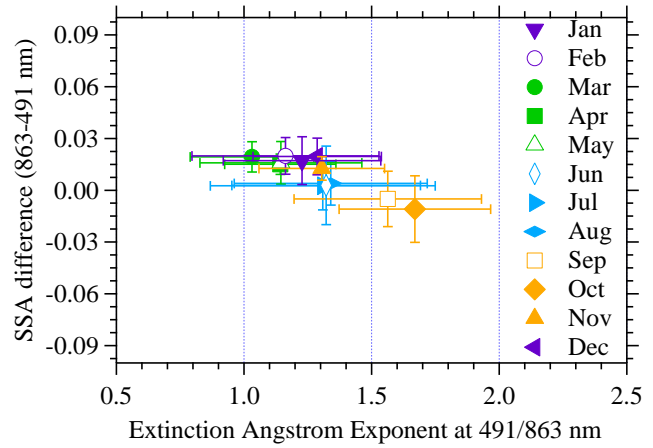
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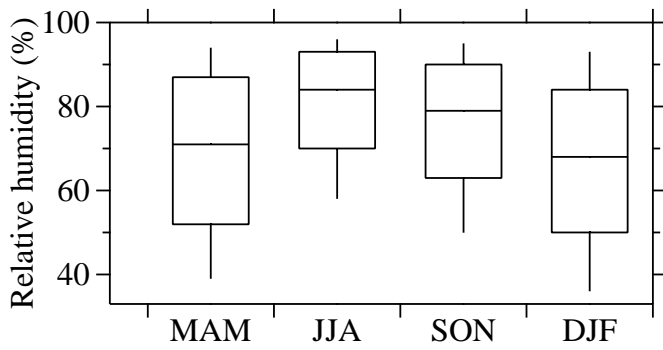


b)

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Figure 8

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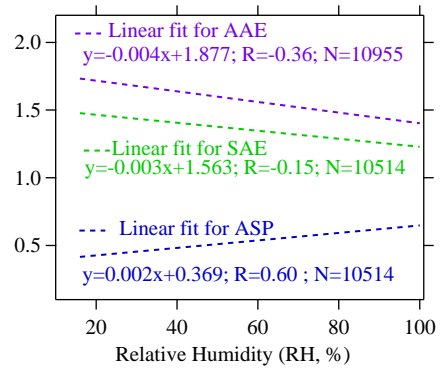


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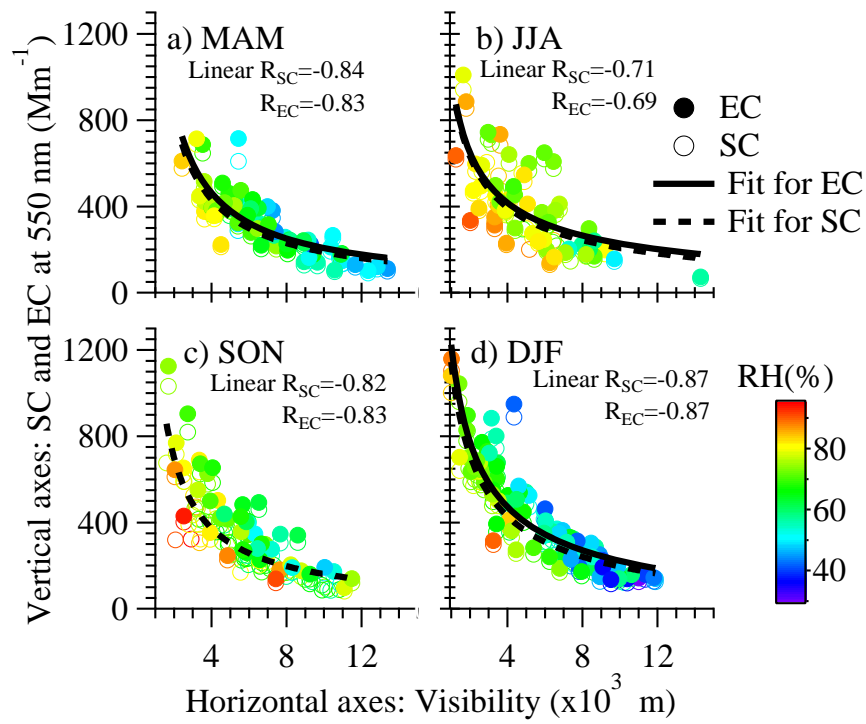
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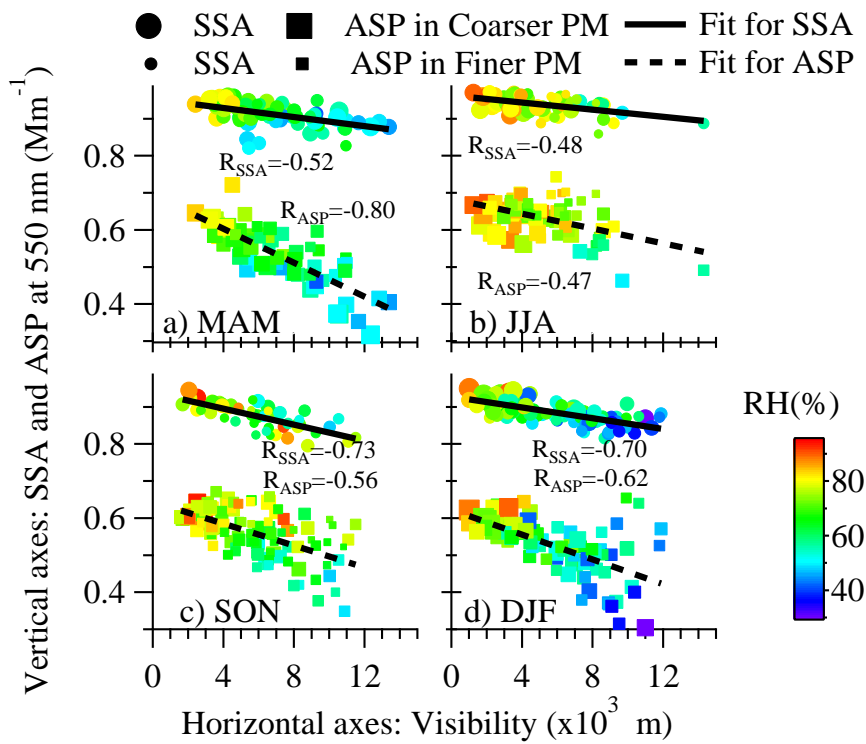
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Figure 9



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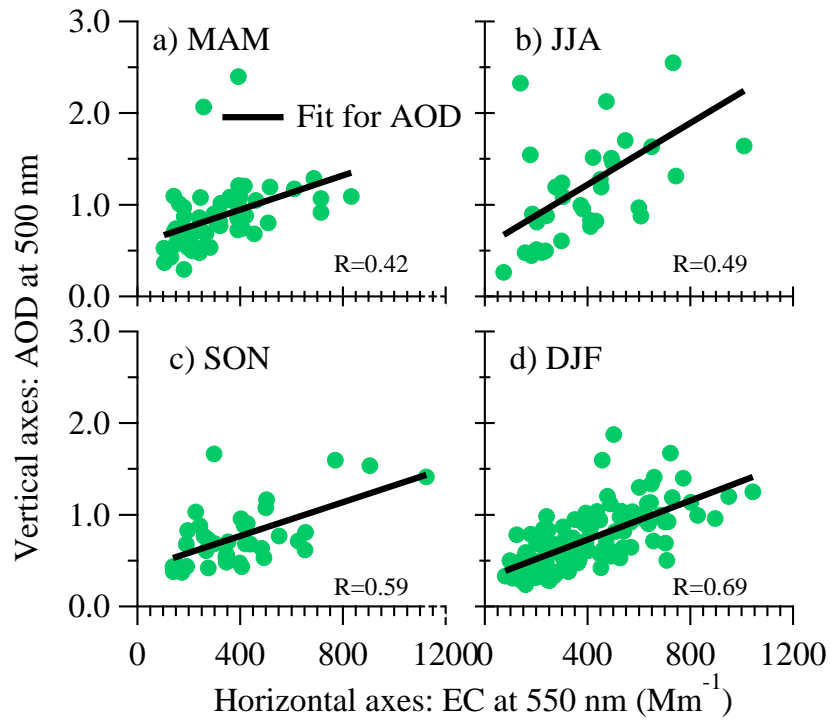
Figure 10



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Figure 11





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Figure 12