**Comment:**
1. Page 9, Line 205-206: it is interesting that in Figure 3, OA in LWC episodes fall into a lower O:C region than those in IR episodes. Does this indicate that the photo-oxidation is more effective to form higher oxidized organics than the aqueous oxidation? While as shown in Fig. 9 of Li et al. (2016), fog, cloud, and rain droplets often contain many highly oxygenated, high molar mass, and low-volatility compounds, indicating aqueous- phase processing can form highly oxidized compounds (Mazzoleni et al., 2010; Zhao et al., 2013). It is helpful to discuss the differences in oxidation state due to photo/aqueous oxidation and compare the oxidation state in this study with previous studies.

**Response:**

We thank the co-Editor for the suggestion to clarify this in the manuscript.

A number of studies (Li et al., 2016; Mazzoleni et al., 2010; Zhao et al., 2013) show that highly oxygenated organic compounds can be formed via aqueous-phase processing. Field measurements as in our case, however, are complicated by primary emissions for analysis of OA during foggy periods because foggy periods were generally associated with stagnant air and accumulated primary emissions. In Y.J. Li et al.(2013), the degree of oxygenation for the overall OA during photochemical oxidation was indeed higher than that in foggy periods characteristic of the aqueous-phase processing. Specifically, we found that the order of degree of oxygenation in this campaign: Hazy > Foggy > Non-fog/Non-haze from the AMS analysis.

However, this might not necessarily mean in general that aqueous processing produces less oxidized OA than photochemical oxidation does. The complication is that a lot of the oxidized OA during foggy periods was scavenged into fog droplets, while AMS only captures the sub-micrometer particles. Even after drying, the AMS will only sample interstitial particles and probably a very small portion of dried fog droplets. In other words, more oxidized species from aqueous-phase processing, if present, might not be captured by AMS during foggy periods. On the other hand, those SOA in hazy periods (mostly photochemical oxidation) are most likely in the sub-micrometer range and are effectively captured by AMS. With these complications, we hesitate to make general statements on how the aging process affects the degree of oxygenation of SOA.

We would like to add a sentence, as below, after "…data points for LWC episodes (blue) fall into a lower O:C region than do the data points for IR (red) and LRT episodes (green)." in the revised manuscript:

"Although aqueous-phase processing might generate highly oxygenated organic compounds (Li et al., 2016; Mazzoleni et al., 2010; Zhao et al., 2013), sampling by the AMS was only limited to interstitial particles and a portion of very small fog droplets after drying. This can also lead to O:C ratios lower than those in IR periods when most of the photochemically oxidized OA were effectively sampled."

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**Particulate matter (PM) episodes at a suburban site in Hong Kong: evolution of PM characteristics and role of photochemistry in secondary aerosol formation**

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**Abstract**

Episodes with high concentrations of particulate matter (PM) across the seasons were investigated during four one-month campaigns at a suburban site in Hong Kong. High-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) measurements revealed that both regional transport and secondary formation contributed to high PM levels during the episodes at this site. Based on distinct meteorological conditions, episodes were categorized into three types: liquid water content (LWC), solar irradiance (IR), and long-range transport (LRT). Despite the difference in meteorological conditions, all episodes were characterized by a high fraction of sulfate (45%-56%) and organics (23%-34%). However, aerosols in LWC episodes were less aged, consisting of the lowest fraction of secondary organics aerosols (SOA) and the highest fraction of small particles. Large particles mixed internally while freshly formed small particles mixed externally in LWC episodes. Aerosols in LRT episodes, by contrast, were the most aged and consisted of the highest proportion of low-volatility oxygenated organic aerosols (LVOOA) and the lowest proportion of small particles. Both small and large particles mixed externally in LRT episodes. The highest proportion of semi-volatile oxygenated organic aerosols (SVOOA) and a medium proportion of small particles were observed in IR episodes. Both small and large particles were likely externally mixed during IR episodes. Unlike in the other two types of episodes, in IR episodes aerosols experienced the most dramatic size increase and diurnal variation, with a time lag between SVOOA and LVOOA and a gradual increase in carbon oxidation state ($\overline{OS}_c \approx$ 2×O:C-H:C). Five out of ten episodes were of the IR type, further reflecting the importance of this type of episode. The evolution of aerosol components in one particular episode of the IR type, which exhibited a clear land-sea breeze pattern, was examined in detail. Sulfate and SOA due to photochemical aging were very efficiently produced during the course of six hours. The "less-oxidized" SOA (SVOOA) was initially formed at a higher rate than the "more-oxidized" SOA (LVOOA). The SVOOA transformed to LVOOA at the later stage of photochemical aging. This transformation was further supported by mass spectral analysis, which showed an increase in the most oxidized ion ($CO_2^+$) and decreases in moderately oxidized ones ($C_2H_3O^+$, $C_3H_3O^+$ and $C_3H_5O^+$). By measuring the physical and chemical properties of PM in a highly time-resolved manner, the current study was able to demonstrate the dynamic and complex nature of PM transformation during high-PM episodes.

## 1. Introduction

Hong Kong and the rest of the Pearl River Delta (PRD) in China have been battling air pollution episodes as a result of rapid economic development and urbanization in the region (Ho et al., 2003; Zhong et al., 2013). Meteorological conditions may govern the regional and long-range transport of air pollutants to Hong Kong. For example, northerly winds can bring pollutants from the inland areas to Hong Kong, and have been suggested to be responsible for regional air pollution events in winter (Fang et al., 1999; Huang et al., 2009, 2014b). The majority of earlier studies used filter sampling with a low time resolution of hours to days, and so were unable to track the temporal chemical transformation in high particulate matter (PM) episodes. This limitation has hindered our understanding of the dynamic nature of PM undergoing rapid chemical transformations. Such chemical transformation can occur within short time periods (e.g., within a day), and so do other physicochemical properties such as hygroscopic and optical properties. High-time-resolution chemical characterization techniques, for example the Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS), offer a temporal resolution of a few minutes. These techniques can thus provide valuable information on rapid changes in the PM composition, facilitating more detailed analysis of pollution events (Decarlo et al., 2006). HR-ToF-AMS measurements also give the size distributions of components (DeCarlo et al., 2008; Lee et al., 2013b). These data can reveal the origin, formation and atmospheric processing mechanisms of PM (Seinfeld and Pandis, 2006; Shiraiwa et al., 2013), but they remain under-utilized in most aerosol mass spectrometer (AMS) studies.

Secondary formation has been recognized as an important route leading to high PM concentrations worldwide (Zhang et al., 2015a) and is the main culprit for haze episodes in cities across China (Huang et al., 2014a). Secondary organic aerosol (SOA) has been shown to dominate over primary organic aerosol (POA) after a few hours of photochemical aging, for instance, in Mexico City (Decarlo et al., 2010; Volkamer et al., 2006), Pasadena (Hayes et al., 2013) and Tokyo (Takegawa et al., 2006). Semi-volatile oxygenated organic aerosol (SVOOA), which serves as a proxy for less-oxidized SOA, has been shown to transform to low-volatility oxygenated organic aerosol (LVOOA), which serves as a proxy for more-oxidized SOA, in laboratory experiments (Alfarra et al., 2012; Jimenez et al., 2009). Such transformation process may contribute substantially to the accumulation of PM, leading to episodic events that are frequently observed in the fast-developing city clusters in China (Huang et al., 2012; Zhang et al., 2015b).

We conducted four one-month campaigns in each of the four seasons at the Hong Kong University of Science and Technology (HKUST) Air Quality Research Supersite (AQRS) from May 2011 to February 2012 using an Aerodyne HR-ToF-AMS for non-refractory $PM_1$ (PM with aerodynamic diameter less than 1 micron). In our previous studies, we found that photochemical oxidation during a haze episode and aqueous-phase reactions during two foggy periods both led to a high degree of oxygenation of organics due to aging in gas phase and/or aqueous phase with substantial SOA formation (Lee et al., 2013 and Li et al., 2013). In spring and summer, SOA, with abundant SVOOA, was more likely to form locally. The oxygen-to-carbon atomic ratio (O:C) and average carbon oxidation state ($\overline{OS}_c$) peaked in the afternoon in spring and summer (Li et al., 2015). In autumn and winter, LVOOA dominated in SOA. The O:C ratio and $\overline{OS}_c$ showed little diurnal variation. Huang et al. (2015) estimated the contents of organic sulfur compounds in Hong Kong in September 2011. They highlighted the importance of both aqueous-phase processing and regional influence for the formation of organic sulfur compounds. Closure analysis was performed between the PM hygroscopicity measured by a hygroscopic tandem differential mobility analyzer (HTDMA) and chemical composition measured by an HR-ToF-AMS and a constant growth factor of 1.18 for organics was found to be adequate for a good closure, given the dominant contribution of the very hygroscopic sulfate at this suburban site (Cheung et al., 2016; Yeung et al., 2014). Meng et al. ( 2014) found that the aerosol hygroscopic parameter ($k$) decreased with an increasing organic-to-inorganic volume ratio. Furthermore, the concentration of cloud condensation nuclei (CCN) was found to be more sensitive to the mixing state and hygroscopicity of the particles at a high supersaturation (SS)=0.70% and a low SS=0.15%, respectively.

The above studies primarily focused on the analysis of campaign-average scenarios, without specifically looking at episodic events that occurred during the campaign. In the current study, we investigated the chemical transformation and size evolution of secondary aerosols in high particulate matter episodes across the four seasons. Specifically, we examined in detail the photochemical evolution in a particular episode in which local influences were dominant. Results from the current study reveal the rapid evolution of secondary aerosols and are relevant to other megacities with large precursor input and high photochemical activity.

## 2. Experimental Section

### 2.1. Sampling Site and Measurements

The sampling periods were from 25 April to 1 June 2011 (spring), from 1 September to 29 September 2011 (summer), from 28 October to 15 December 2011 (autumn), and from 19 January to 1 March 2012 (winter). HR-

ToF-AMS measurements were conducted at the HKUST AQRS (22°20'N, 114°16'E). The HKUST supersite is located on the campus of HKUST, which sits on the hillside of Clear Water Bay on the east coast of Hong Kong that has relatively low population density. There is little local emission around the site. Two minor local sources include Clear Water Bay Road and a small student canteen. The Clear Water Bay Road is located outside of the campus and has mild traffic. The student canteen within 200m of the site, which operates only from 18:00LT (local time) until midnight. The HR-ToF-AMS operating procedure, data analysis and species determination have been discussed in Lee et al. (2013a), Li et al. (2015) and Huang et al. (2015). Briefly, the AMS was operated alternatively between the V+PToF combined mode and the W-mode for 5 min each. A collection efficiency of 0.5 was employed for measurements at this site, where the particles have overwhelmingly dominant sulfate content (Aiken et al., 2009; Li et al., 2013). Concentrations of methanesulfonic acid (MSA) and organosulfates (OS) were estimated by combining the V-mode data for total concentrations and the W-mode data for high-resolution mass spectral analysis for specific ions (Huang et al., 2015). Mass spectra of organic sulfur compounds were obtained from standards in laboratory experiments to support the W-mode data analysis (Huang et al., 2015). We further calculated the particle liquid water content (LWC) by applying E-AIM II (Clegg et al., 1998) to explore the effects of aqueous processing on $PM_1$ composition. Gaseous species (CO, $CO_2$, $SO_2$, NO, $NO_2$, and $O_3$) were measured with standard gas analyzers (Teledyne API). Volatile organic compounds (VOCs) were measured by gas chromatography (Synspec GC955). Meteorological parameters were measured by an automatic weather station mounted on a tower right next to the supersite. Particle hygroscopicity and size distribution measurements have previously been taken with a HR-ToF-AMS at this site (Cheung et al., 2015; Man et al., 2015; Meng et al., 2014; Yeung et al., 2014), and direct reference to the resulting publications will be made where necessary.

## 2.2. Data analysis

### 2.2.1. Criteria for an episodic event

The total non-refractory $PM_1$ (NR-$PM_1$) concentration showed little seasonal variation, with monthly averages ranging from 14.3 to 15.9 μg $m^{-3}$ as reported by Li et al. (2015). In this work, we defined episodic events according to the following criteria: 1) lasting for at least 24 hours; 2) daily NR-$PM_1$ average mass concentration exceeding 15 μg $m^{-3}$ (overall monthly averaged concentration); and 3) maximum concentration exceeding 30 μg $m^{-3}$. According to these criteria, 10 episodic events were identified in the campaigns as shown in Figure S1.

### 2.2.2. Source apportionment

Following the results in Li et al. (2015), 72-hour backward air trajectory analysis and positive matrix factorization (PMF) analysis were performed. Briefly, the back trajectory analysis was run at an elevation of 300 m using the HYSPLIT-4.8 (Hybrid Single-Particle Lagrangian Integrated Trajectory) model developed by NOAA/ARL (U.S. National Oceanic and Air Administration/Air Resources Laboratory). We classified air masses affecting Hong Kong into long-, medium-, and short-range transport patterns for transport distances of 1000 km, between 500 and 1000 km, and less than 500 km, respectively (Su et al., 2015).

For PMF analysis, a four-factor solution with hydrocarbon-like organic aerosols (HOA), cooking organic aerosols (COA), SVOOA, and LVOOA was adopted as in Li et al. (2015). During episodic events, HOA and COA contributed insignificantly (less than 6% and 5% respectively) to total organic aerosol (OA). Since we are interested in SOA transformation, HOA and COA were combined into one POA factor in the following discussion.

#### 2.2.3. Size distribution

Size distributions of aerosol species are obtained with the HR-ToF-AMS when a set of pre-selected m/z is scanned as a function of the particle time of flight. We focus on the size distribution analysis of sulfate and organics because of their overwhelming dominance in NR-$PM_1$. The raw 10-min mass-size distributions of organics and sulfate during the episodic events were averaged over various time periods pertaining to the resolution (e.g. 24 hours, 1 hour). The average size distributions were then fitted by the peak fitting tool Multipeak Fit V2 provided by Igor Pro (Wavemetrics) using two log-normal peaks. The peaks were chosen such that the fit residuals were minimized. The two fitted peaks of the size distributions will hereafter be referred to as the small particle mode and the large particle mode. Fitting examples can be found in Figure S2. We will focus on the most important fitting parameters: the particle mass-mode diameter (vacuum aerodynamic diameter, $D_{va}$) indicating the shift in particle size and the integrated peak areas of the small particle mode and the large particle mode indicating the changes in mass concentrations of larger or smaller particles.

#### 2.2.4. Photochemical age

The photochemical age is useful for studying the extent of photochemical processing in an air mass. One way to estimate the photochemical age ($\Delta t$) is by the ratio of a less reactive hydrocarbon to a more reactive one (Kleinman, 2003):

$$\Delta t = \frac{Ln\left(\frac{Cj}{Ci} * \frac{Ci0}{Cj0}\right)}{(ki - kj)[OH]} \quad (1)$$

in which $C_i$ and $C_j$ are concentrations of hydrocarbons $i$ and $j$ at time $t$, whereas $C_{i0}$ and $C_{j0}$ are concentrations of hydrocarbons $i$ and $j$ at time 0. The symbols $k_i$ and $k_j$ are their respective rates of reaction with hydroxyl radicals (OH). Hofzumahaus et al. (2009) reported a high average OH concentration of $15 \times 10^6$ molecules $cm^{-3}$ around noon in the PRD region, much higher than model predictions. Zhou et al. (2014) used an OH concentration of $5.2\times 10^6$ molecules $cm^{-3}$ in their calulation of photochamical age in the PRD region. Lacking definitive estimates, we used a conservative OH concentration of $1.5\times 10^6$ molecule $cm^{-3}$ (Hayes et al., 2013; Mao et al., 2009) for the discussion of oxidation trends in this study. The ratio of benzene to toluene has been widely used (El Haddad et al., 2013) because of their similar emission sources and significantly different rates of reaction with OH radicals ($k_{benzene\text{-}OH}$= $1.23 \times 10^{-12}$ $cm^3$ $molecule^{-1}$ $s^{-1}$; $k_{toluene\text{-}OH}$= $6.0 \times 10^{-12}$ $cm^3$ $molecule^{-1}$ $s^{-1}$ at 298K) (Atkinson Roger, 2000). Because toluene reacts more rapidly with OH radicals than does benzene, it is depleted more quickly, resulting in higher benzene/toluene ratios in aged air masses. However, the photochemical age can deviate when fresh pollutants are added to an aged air mass. Since fresh pollutants were insignificant after 10:00 (i.e. no significant peaks of benzene and toluene after 10:00; see Figure S3), we set the start time at 10:00 for the discussion of photochemical aging.

## 3. Results and Discussion

### 3.1. Meteorological conditions and classification of episodes

Table 1 summarizes the meteorological conditions, $PM_1$ concentrations, and the estimated LWC in the 10 high PM episodes. All of the episodes involved air masses that originated over East Asia from the north, northeast or northwest of Hong Kong, and swept over part of the PRD region before reaching the site. Seven of the 10 episodes (E1-E7) were characterized by medium-range trajectories and the other three (E8-E10) by long-range trajectories. The individual trajectories are shown in Figure S4. E1 and E2 had much lower solar irradiance (IR) but higher LWC than the other episodes, which distinguished them from the other medium-range transport episodes. Thus, E1 and E2 were categorized as episodes of the LWC type (medium-range transport with high LWC and low IR) and the other medium-range transport episodes as episodes of the IR type (medium-range transport with high IR and low LWC). Li et al. (2013) referred to E1 and E2 as foggy episodes, while Li et al. (2013) and Lee et al. (2013a) referred to E3

as a hazy episode. The long-range transport episodes might be less associated with the local site-specific conditions and were categorized as episodes of the LRT type.

High concentrations of PM can have a number of causes, including enhanced primary emissions (Ji et al., 2014), concentrating effects due to a decrease in the height of the planetary boundary layer (Petäjä et al., 2016), regional transport (Huang et al., 2009), as well as active secondary formation (Hayes et al., 2013). Local primary emissions were not very significant at this site, as can be seen from the low contribution of POA (less than 6%) throughout the whole campaign. As an indicator for primary PM, elemental carbon (EC) concentrations in $PM_{2.5}$ filter sampling at this site from March 2011 to February 2012 were also found to be low throughout the year (0.86 ± 0.53 $\mu g/m^3$)(Huang et al., 2014b). Boundary layer dynamics on the high PM days can be a factor affecting PM concentration, but the effects were likely minimal as the highest concentration was usually observed during the day at higher mixing heights (Figure S5). Therefore, regional transport and active secondary formation would be the most probable causes for the episodic events of high PM concentrations at this suburban site. More detailed meteorological conditions with chemical characteristics in each episode can be found in Figure S5.

As is apparent from Table 1, the occurrence of different types of episodes exhibits a seasonal trend. LWC episodes occurred only in spring and LRT episodes only in winter, while IR episodes took place in spring, summer and autumn. This result is consistent with previous results (Huang et al., 2009) in that the frequency of high PM days in Hong Kong had a strong seasonal variation. In winter, the overwhelming northerly wind brings pollutants via long-range transport (Fang et al., 1999). In spring, foggy days with high PM levels are common due to the moisture-laden air masses coming in from the sea and aqueous-phase processing of particulate species (Li et al., 2013). In summer and autumn, however, hazy days are mainly due to high photochemical activities in this subtropical area, resulting in the formation of secondary aerosols (Hu et al., 2008; Zhou et al., 2014).

### 3.2. Chemical characteristics of high PM episodes

Figure 1 shows the chemical constituents of NR-$PM_1$ in the three types of episodes. It is apparent that sulfate dominated in all types of episodes. In Hong Kong, sulfate is largely regarded as a major regional pollutant with little spatial variability, as in the rest of the PRD (Hagler et al., 2006; LOUIE et al., 2005). Nitrate contributed less than 4% in LWC episodes and IR episodes, but more than 7% in LRT episodes. As LRT episodes occurred in wintertime, the higher nitrate concentration was likely driven by gas-particle partitioning of ammonium nitrate to the particle at

low temperatures (Seinfeld and Pandis, 2006). Using the PMF-resolved SVOOA and LVOOA as proxies for less-oxidized and more-oxidized SOA respectively (Zhang et al., 2011), more details of OA can be revealed. SVOOA had higher contributions in IR episodes, while LVOOA contributed roughly twice as much as SVOOA did in LRT episodes, because the air mass was already quite aged when reached the site. LVOOA and SVOOA made similar contributions in LWC episodes.

Figure 2 shows the diurnal variations of the NR-$PM_1$ species, PMF-resolved organic factors, as well as $O_x$ ($O_3$+$NO_2$) in these three types of episodes. SVOOA and LVOOA as well as $O_x$ increased during the day in IR episodes, with a time lag between SVOOA and LVOOA. A similar time lag was also observed between SVOOA and LVOOA in the Yangtze River Delta (YRD), another fast developing region of China (Huang et al., 2012). These delays may be the result of conversions from SVOOA to LVOOA in the afternoon. We explore such a possibility in Section 3.6. SVOOA and LVOOA both exhibit flat diurnal patterns in LWC episodes and LRT episodes.

Elemental analysis of OA (ratios of H:C, O:C, N:C, S:C and OM:OC) from the high resolution mass spectra provides useful information to assess OA evolution. Recently, Canagaratna et al. (2015) used an updated (Improved-Ambient) method to estimate O:C and H:C ratios, and reported 27% higher O:C ratios and 11% higher H:C ratios than those estimated using the original (Aiken-Ambient) method. Recalculating the elemental ratios for the September dataset using the updated method shows little difference from those obtained by simply applying the respective factors of 1.27 and 1.11 to the O:C and H:C ratios (Figure S6). Hence, the O:C and H:C ratios in this study were corrected by factors of 1.27 and 1.11, respectively, with Aiken-Ambient values reported in our previous studies. In the Van Krevelen diagram (Heald et al., 2010; Ng et al., 2011) shown in Figure 3a, data points for LWC episodes (blue) fall into a lower O:C region than do the data points for IR (red) and LRT episodes (green). Although aqueous-phase processing might generate highly oxygenated organic compounds (Li et al., 2016; Mazzoleni et al., 2010; Zhao et al., 2013), sampling by the AMS was only limited to interstitial particles and a portion of very small fog droplets after drying. This can also lead to O:C ratios lower than those in IR periods when most of the photochemically oxidized OA were effectively sampled. Even though data points for IR episodes and LRT episodes have similar slopes and intercepts in the Van Krevelen diagram, data points for IR episodes had a much wider spread. These trends are also reflected in the diurnal patterns of carbon oxidation state ($\overline{OS}_c \approx 2\times$O:C-H:C) (Kroll et al., 2011) in Figure 3b. The $\overline{OS}_c$ diurnal pattern in LRT episodes was relatively flat, suggesting that oxidized organics were mostly transported to the site with minor in-situ oxidation.

The $\overline{OS}_c$ in IR episodes gradually increased from 09:00 until 15:00. Similar trends were observed for $O_x$, LVOOA and to a less extent, SVOOA. With all these combined, we believe that the local photochemical processing of OA was more likely at play in IR episodes than the long-range transport of processed aerosols.

### 3.3. Size distributions of sulfate and organics

Figure 4 shows the peak fitting results of the type-averaged size distributions of organics and sulfate mass. The mass-mode diameters ($D_{va}$) for both the small and large modes of organics and sulfate did not differ considerably across the episode types (differing by less than 5%). Within each type of episode, sulfate had a smaller fraction of small particle mode than organics did, indicating that sulfate was relatively aged while organics received contributions from local fresh emissions. LWC episodes received the largest contribution from small mode sulfate because of some local influences whereas LRT episodes received the smallest contribution with relatively little local activities. The oxidation mechanisms, however, might be different. Aqueous phase oxidation may dominate in LWC episodes while photochemical oxidation may dominate in IR episodes.

Various studies have analyzed the particle mixing state using single-particle instruments such as the aerosol time-of-flight mass spectrometer (Healy et al., 2013, 2014; Yang et al., 2012) and the single-particle aerosol mass spectrometer (Wang et al., 2015). Particle mixing state can also be inferred from particle size information obtained with the AMS. If the organics and sulfate are internally mixed (i.e. they exist in the same particle), their diameters should be strongly correlated with each other and their size should grow at a similar rate. The observed strong correlation and slope of unity (correlated in time and size) suggest that these species are likely internally mixed, although we cannot completely exclude the possibility of external mixing. On the other hand, if the mode diameters of sulfate and organics did not change coherently and exhibit a strong correlation with a slope close to unity, these particles were more likely externally mixed. Bahreini et al. (2003) have used such correlations in size to indicate the mixing states of species. In our study, the large mode diameters of organics and sulfate were strongly correlated (Pearson's R value equals 0.7) with a slope close to unity in LWC episodes (Figure 5), suggesting that organics and sulfate were likely internally mixed in the large particles. However, these conditions of correlation and slope are necessary but not sufficient evidence for internal mixing. This internal mixing may occur during the process of local aqueous oxidation. In IR episodes, during which local photochemical oxidation may have a more obvious influence,

larger particles do not mix well internally (poor correlation between the large mode diameters of organics and sulfate with Rpr = 0.2). As discussed in Section 3.2, in IR episodes, organics showed a clear noontime peak associated with local photochemical activities while sulfate was still mainly a regional pollutant. As a result, large particles of organics and sulfates were very likely to have been externally mixed during IR episodes. A good correlation (Rpr = 0.7) with the slope deviating substantially from unity (slope = 0.5) was observed in LRT episodes. As long-range transport was the dominant process causing high PM levels during LRT episodes, organics and sulfate would have been brought to the site together, so their large mode diameters tend to be strongly correlated. However, they may have different origins and may also have undergone different aging processes during the course of long-range transport, and thus their mode diameters would be different. The correlations between the small mode diameters of organics and sulfate were notably weaker, with Rpr=0.5 in LWC episodes, Rpr=0.2 in IR episodes, and Rpr=0.2 in LRT episodes, suggesting that freshly formed small particles mixed externally.

As discussed earlier, there may be some local atmospheric processing of aerosols in LWC and IR episodes but not in LRT episodes. Therefore, we further explored the mechanisms underlying the atmospheric processing of LWC and IR episodes based on the size variations before and during episodic events. Figure 6 shows the particle mass mode diameters and areas (concentrations) in the LWC and IR types of episodes. We obtained the percentage changes in mode diameters by comparing the smallest diameter before the episode and the largest diameter during the episode for each episode. These percentages in each episode was then averaged to obtain the percentage changes for each episode type. The results show that the changes in mode diameter were small in the LWC episodes: -2.5% for small mode organics, +8.1% for large mode organics, +1.6% for small mode sulfate, and -3% for large mode sulfate. In contrast, the changes in mode diameters changes were much more drastic in the IR episodes: +51.3% for small mode organics, +40.5% for large mode organics, +45.4% for small mode sulfate, and +35.9% for large mode sulfate. Furthermore, particle size usually increased more rapidly before the IR episodes (shaded in blue in Figure 6) than during the episodes (shaded in orange). With fewer pre-existing particles before the episodes, particle growth likely via condensation and reactive uptake of semi-volatile components was more rapid than during the episodes. The number concentration is discussed in detail in SI.

### 3.4. Local photochemical formation and evolution of PM: A case study

#### 3.4.1. Time series of species during the local photochemical episode

Because of the high frequency of occurrence of IR episodes, we chose one IR episode (E4) to examine the evolution of the aerosols with photochemical oxidation. This particular episode (E4) was under the influence of a clear land-sea breeze pattern with weak winds (Figure 7), a typical meteorological phenomenon that affects air pollution dynamics at this coastal city (Lee et al., 2013a). As can be seen from Figure 7, the maximum wind speed was less than 2 m $s^{-1}$ while the average wind speed was approximately 0.5 m $s^{-1}$. The wind direction changed from northerly to easterly between 06:00 and 10:00 and remained easterly until 20:00, when it changed clockwise from easterly back to northerly. Under such conditions, local photochemical activities can lead to effective production and accumulation of air pollutants. Time series of organics, sulfate, ammonium, nitrate, MSA, OS, PMF-resolved organic factors, some gaseous species, as well as meteorological parameters were analyzed. Most NR-$PM_1$ species showed clear diurnal variations. Figure 7 shows that organics increased from a roughly constant concentration of 10 μg $m^{-3}$ at night until 09:00 to its highest concentration of 16.6 μg $m^{-3}$ at 13:00, while sulfate showed a mild increase at 06:00 and then a sharp increase at 10:30 to reach its highest concentration of 17.4 μg $m^{-3}$ at 16:00. They were overall consistent with the increasing trend of irradiance, an indicator of photochemical activities, in the afternoon. Nitrate concentration was high (2.5 μg $m^{-3}$) in the morning and started to decrease from 12:30 onwards to reach 0.3 μg $m^{-3}$ by 16:00, likely attributable to vertical dilution due to a rise in the height of the planetary boundary layer, or alternatively evaporation of ammonium nitrate at higher temperatures and lower RH values (Seinfeld and Pandis, 2006). Wind direction started to change at 20:00, when all the NR-$PM_1$ species were at their lowest concentrations. POA concentration increased from 2.5 μg $m^{-3}$ at 00:00 to about 5 μg $m^{-3}$ at 06:00, which might be due to the lowering of the planetary boundary layer. Conversely, expansion of the boundary layer early in the morning could help disperse the POA. The increase in LVOOA lagged behind that in SVOOA. Starting from 06:00, SVOOA concentration increased rapidly and peaked at approximately 13:00, coinciding with the IR peak, possibly due to SOA formation. LVOOA gradually increased from 12:00 and peaked at 14:00, similar to sulfate. The time lag suggests that some conversion from less-oxidized to more-oxidized SOA might have occurred in the afternoon. Evaporation at the elevated temperature of 30$^{o}$C throughout the afternoon might also have led to the decrease in SVOOA, as with nitrate. The diurnal variation of MSA shows a noontime peak, consistent with the trend of irradiance. In contrast, OS did not show a clear noontime peak, since OS at this site were likely affected by inland transportation (Huang et al., 2015).

### 3.4.2. Changes in size distribution

As shown Figure 8, before 06:00, the size distributions of sulfate and organics were both dominated by a mass mode diameter of 500 to 600 nm. During 06:00-09:00, a shoulder at 200 nm appeared in the size distribution of sulfate and in that of organics, indicating some fresh sulfate and organics were formed or emitted (possibly POA). As photochemical reactions proceeded (09:00-18:00), the shoulder of $D_{va}$ at 200 nm became weaker and the size distributions shifted to the larger end. It should be noted that during the whole aging process, the size distributions of organics were broader than those of sulfate since organics were a mixture of numerous constituents from different primary sources and reaction products formed via different atmospheric processes. The shifts in size distribution suggest that secondary aerosol particles with sulfate and organics aged gradually and grew into larger particles.

### 3.4.3. Photochemical production of secondary species

We examine the daytime photochemical activity during E4 by looking at the $SO_2$ oxidation and changes in the degree of oxygenation of particulate organics. The sulfur oxidation ratio (SOR) has been used to evaluate the extent of atmospheric oxidation of $SO_2$ to sulfate (Squizzato et al., 2013; Wang et al., 2005). Figure 9c shows the increase in SOR from 0.2 at 9:00 to 0.7 at 18:00, indicating an efficient conversion from $SO_2$ to sulfate during daytime in this episode. Figure 9b shows that the $\overline{OS}_c$ increased sharply near 11:00. $\overline{OS}_c$ was high after 18:00 because most of the organics in PM had been converted to highly oxidized organic compounds during the aging process. Indeed, during this period, LVOOA was the dominant OA component (Figure 7). The increases in SOR and $\overline{OS}_c$ coincided with the increase in the ratio of benzene to toluene (Figure 9). The oxidation of sulfur species and organic species reflects efficient oxidation during this photochemical episode.

To semi-quantitatively evaluate the efficiency of SOA and sulfate formation, the changes in SOA/ΔCO, MSA/ΔCO, and sulfate/ΔCO are plotted in Figure 10 as a function of photochemical age from 10:00 to 18:00. ΔCO, defined as the measured CO concentration minus the minimum CO concentration (see Figure 7 for the time series of CO), is assumed to be a conservative tracer of urban combustion emissions. The perturbations of CO concentration by photochemical formation from VOC or destruction by OH radicals were thought to be negligible over such a short timescale (less than eight hours) (Griffin et al., 2007). Normalization of species concentrations to the ΔCO concentration is expected to reduce the effect of dilution (Hayes et al., 2013; Zhou et al., 2014).

From 10:00 to 18:00, sulfate/ΔCO increased by a factor of 7-8 as photochemical activity increased on a timescale of approximately 6 h, with a formation rate (indicated by the slope of species/ΔCO vs. photochemical age) of approximlately 48 $\mu g\ m^{-3}\ ppm^{-1}\ h^{-1}$. MSA/ΔCO also increased by a factor of approximately 3 at a rate of 0.05 μg

$m^{-3}$ $ppm^{-1}$ $h^{-1}$ during photochemical aging. The good correlation of MSA production with the photochemical age suggests that MSA originated from the reaction of gaseous dimethyl sulfide with OH radicals (Barnes et al., 2006). For comparison, Bardouki et al. (2003) also found that MSA and OH radicals covaried over the northeastern coast of Crete. As shown in Figure 10c, SOA/ΔCO increased by approximately a factor of 2 with the slope of 7.2 μg $m^{-3}$ $ppm^{-1}$ $h^{-1}$ (8.07 μg $sm^{-3}$ $ppm^{-1}$ $h^{-1}$). A shallower slope (approximately 4.0 to 4.5 μg $sm^{-3}$ $ppm^{-1}$ $h^{-1}$) was observed in Pasadena, California from May to June (Hayes et al., 2013) while a similar slope (6.18 μg $m^{-3}$ $ppm^{-1}$ $h^{-1}$) was observed in a previous study in Hong Kong in August (Zhou et al., 2014). This indicates that the SOA production in Hong Kong during the local in situ photochemical oxidation in summer is high.

More interestingly, SVOOA/ΔCO increased during the first three hours but decreased slightly after 13:00, even as photochemical age increased. In contrast, LVOOA/ΔCO increased steadily throughout the whole stage. After photochemical processing for 6 h, LVOOA/ΔCO increased by approximately a factor of 20, from 2.3 μg $m^{-3}$ $ppm^{-1}$ to 49.4 μg $m^{-3}$ $ppm^{-1}$. Even though both SVOOA/ΔCO and LVOOA/ΔCO increased in the first stage, they did so at slightly different rates, where SVOOA/ΔCO increased faster than LVOOA/ΔCO. This suggests that the production of SVOOA was more efficient than that of LVOOA in the first stage. However, in the later stage of SOA formation, the net productions of SVOOA were negative, which indicates that SVOOA may have photchemically converted to LVOOA. As discussed earlier, the input of POA and VOC was limited to the early morning in our study. SVOOA was consumed more quickly to form LVOOA than was replenished through further production in the late afternoon. The situation where limited precursors exist to replenish fresh SOA (even under strong photochemical activity) might also occur in other non-urban atmospheric environments, and thus may have an implication for OA transformation in general.

#### 3.4.4. Mass spectral evolution

Figure 11a shows the evolving organic mass spectra during E4 (corresponding to the period of photochemical aging). Eight spectra at one-hour intervals from 10:00 to 18:00 are shown from top to bottom. Two changes in the mass spectra with photochemical processes were apparent: 1) decreases in the signal intensities of relatively high m/z ions (e.g., m/z 55, 57,67, 69, etc.), which indicates greater fragmentation (C-C bond cleavage) with photochemical oxidation; and 2) increases in the mass concentrations of ions having m/z values of 28 (mainly $CO^+$) and 44 (mainly $CO_2^+$), which presumably come from aldehyde, ketone and carboxylic acid (Ng et al., 2011). These changes are also reflected in the relative intensity changes of hydrocarbon-like and oxygen-containing ions such as

353 $C_4H_7^+$, $C_2H_3O^+$ and $CO_2^+$ (Figure 11b, c). The fractions of tracers of primary organic aerosols $C_3H_7^+$(m/z 43), $C_4H_7^+$
354 (m/z 55) and $C_4H_9^+$ (m/z 57) (Lambe et al., 2012) decreased. On the other hand, ion fractions of $C_2H_3O^+$ (m/z 43),
355 $C_3H_3O^+$ (m/z 55) and $C_3H_5O^+$ (m/z 57) increased until 13:00 (corresponding to the peak of SVOOA), followed by
356 the decrease of these moderately oxygenated ions. These ions are predominantly from non-acid oxygenates, and are
357 usually associated with less-oxidized SOA. However, The most oxidized ions, $CO_2^+$ (m/z 44), which is thought to be
358 the marker of more-oxidized SOA, increased continuously. As a result, the mass spectra, which were initially
359 SVOOA-like, evolved to become LVOOA-like with increasing photochemical age (Figure 11d). Overall, this
360 spectral analysis indicates increasingly oxidized organics, as long carbon chains became more functionalized and
361 fragmented after extensive oxidation (Alfarra et al., 2012; Kroll et al., 2009). Such an observation implies efficient
362 transformation of OA within a few hours of photochemical aging, a timescale that could be relevant to chemical
363 transport models concerning SOA formation.

364 **4. Conclusion**

365 High-resolution HR-ToF-AMS measurements were taken during four one-month campaigns in suburban Hong
366 Kong to illustrate the evolution of high PM episodic events across the seasons. Three types of episodes, medium-
367 range transport with high particle liquid water content (LWC episodes), medium-range transport with high solar
368 irradiance (IR episodes), and long-range transport (LRT episodes), were captured based on synoptic meteorological
369 conditions. Which type of episode occurred depended on the season, with LWC episodes occurring only in spring
370 and LRT episodes only in winter, while IR episodes took place throughout the year except in winter. Sulfate was the
371 major constituent of NR-$PM_1$ during all episodic events. The contribution of secondary organic species, including
372 SVOOA and LVOOA, varied across episode types, with more SVOOA in the IR episodes and more LVOOA in the
373 LRT episodes. Unlike in the other two types of episodes, in IR episodes organics experienced the most dramatic
374 diurnal variation, with a time lag between SVOOA and LVOOA. This variation was associated with Ox, indicating
375 the conversions from less-oxidized to more-oxidized SOA under photochemical oxidation. Elemental analysis
376 involving the Van Krevelen diagram and carbon oxidation state ($\overline{OS}_c \approx 2\times O:C - H:C$) further showed that organics in
377 IR were gradually oxidized.

378 Fitted mass-mode diameters for both the small and the large mode of organics remained roughly constant across
379 episode types, while sulfate had a constant small mode diameter in all three types of episodes but a slightly
380 increased large mode diameter in IR episodes. The fraction of small particles decreased from LWC episodes to IR

episodes then to LRT episodes, suggesting that aerosols from long-range transport were more aged and dominated by large particles while episodes under a greater influence of local processes had a higher proportion of fresher small particles. Large particles mixed internally only in LWC episodes, and were more likely to mix externally in IR and LRT episodes. Freshly formed small particles mixed externally in all types of episodes. In IR episodes, aerosols underwent an obvious size increase, while in LWC episodes, the size increase was much less drastic.

Because of the high frequency of IR episodes, we picked one particular IR episode featuring land-sea breeze to examine in detail the evolution of aerosol components. Photochemical aging led to mode size shifting for sulfate and organics, indicating particle growth. Increases in the sulfur oxidation ratio and carbon oxidation state were also observed as the aerosols became more aged, which indicates that secondary inorganic species sulfate and SOA were very efficiently produced within six hours of photochemical aging. In the earlier stage of aging, "less-oxidizd" SOA—SVOOA—was formed at a higher rate than "more-oxidized" SOA—LVOOA. SVOOA clearly transformed to LVOOA at the later stage of photochemical aging, resulting in a 20-fold increase in LVOOA. This conversion was further supported by mass spectral analysis, which showed an increase in the most oxidized ion ($CO_2^+$) and decreases in moderately oxidized ones ($C_2H_3O^+$, $C_3H_3O^+$ and $C_3H_5O^+$). With real-time size-resolved chemical composition data, we demonstrated that aerosol components can transform very efficiently in just a few hours, a process that is essential in understanding the dynamic nature of aerosol evolution during episodes with high PM concentrations.

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**Tables:**

*Table 1 Synopsis of meteorological conditions of high PM episodes*

| Episode | Season | Date | Air mass origin | Wind speed ($m\ s^{-1}$) | Solar Irradiance ($w\ m^{-2}$) | Liquid water content ($\mu g\ m^{-3}$) | $PM_1$ ($\mu g\ m^{-3}$) | $PM_1$ Max ($\mu g\ m^{-3}$) | Type |
|---|---|---|---|---|---|---|---|---|---|
| **E1** | Spring | 28-30 Apr | M-R[a]/NE[b] | 0.7±0.4 | 41±67 | 47.1±15.9 | 25.5±3.1 | 33.1 | LWC |
| **E2** | Spring | 14-16 May | M-R/NE[b] | 1.1±0.8 | 27±61 | 38.6±14.5 | 18.8±6.4 | 32.4 | LWC |
| **E3** | Spring | 27-29 May | M-R[a]/NE[b] | 0.9±0.8 | 184±263 | 19.3±9.2 | 28.4±12.6 | 64.1 | IR |
| **E4** | Summer | 2 Sep | M-R[a]/NW[b] | 0.5±0.4 | 111±163 | 20.0±3.1 | 22.5±6.1 | 33.7 | IR |
| **E5** | Summer | 20-24 Sep | M-R[a]/NE[b] | 2.2±0.5 | 143±234 | 14.9±4.6 | 23.8±4.8 | 35.9 | IR |
| **E6** | Autumn | 3 Nov | M-R[a]/NE[b] | 1.3±0.5 | 174±271 | 12.8±5.9 | 15.6±6.2 | 30.0 | IR |
| **E7** | Autumn | 13-15 Nov | M-R[a]/NE[b] | 1.2±0.5 | 150±221 | 19.4±7.0 | 23.4±7.0 | 45.2 | IR |
| **E8** | Winter | 24-25 Nov | L-R[a]/NE[b] | 1.6±0.5 | 112±174 | 14.1±6.6 | 25.9±6.2 | 38.6 | LRT |
| **E9** | Winter | 8 Feb | L-R[a]/N[b] | 2.2±0.6 | 49±74 | 27.8±2.8 | 29.7±8.1 | 41.6 | LRT |
| **E10** | Winter | 18-19 Feb | L-R[a]/NE[b] | 1.5±0.6 | 104±170 | 16.0±5.3 | 25.5±9.4 | 64.9 | LRT |

**a: Range of air mass orgin: Medium range (M-R); Long range (L-R).**
**b: Direction of air mass origin: Northeast (NE); Northwest(NW); North (N).**

**Figures:**

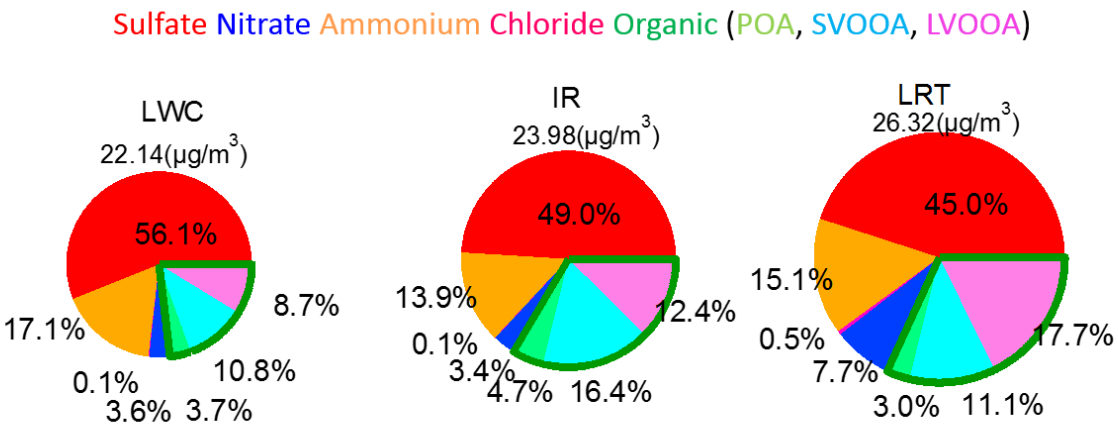



*Figure 1 Chemical constituents NR-$PM_1$ in LWC, IR and LRT episodes. (LWC: medium-range transport with high LWC and low IR; IR: medium-range transport with high IR and low LWC; LRT: long-range transport)*

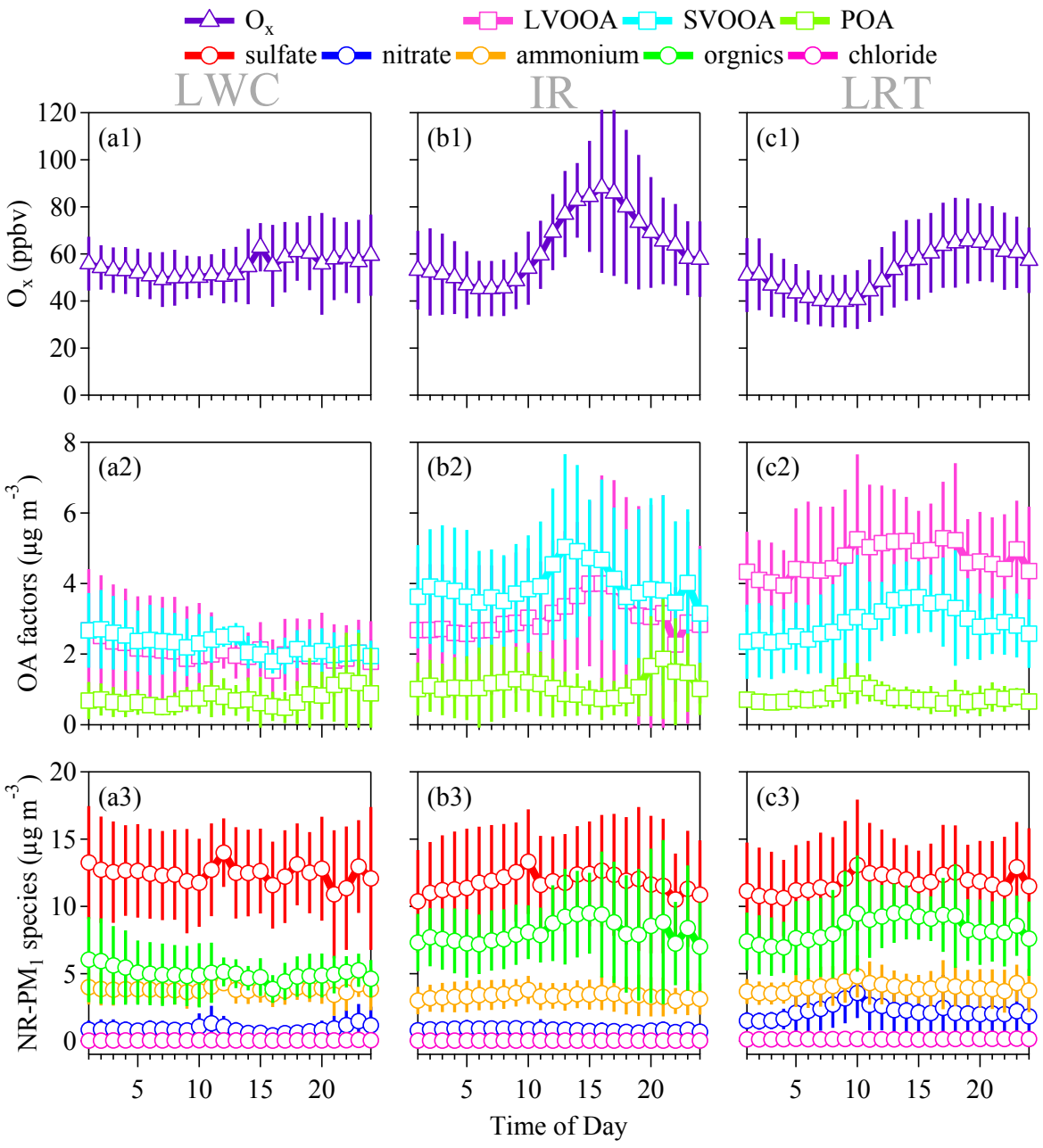



*Figure 2 Summary of diurnal variations of the $PM_1$ species, PMF-resolved organics as well as $O_x$ in the three types of episodes. Means are shown as points and standard deviations are as error bars.*

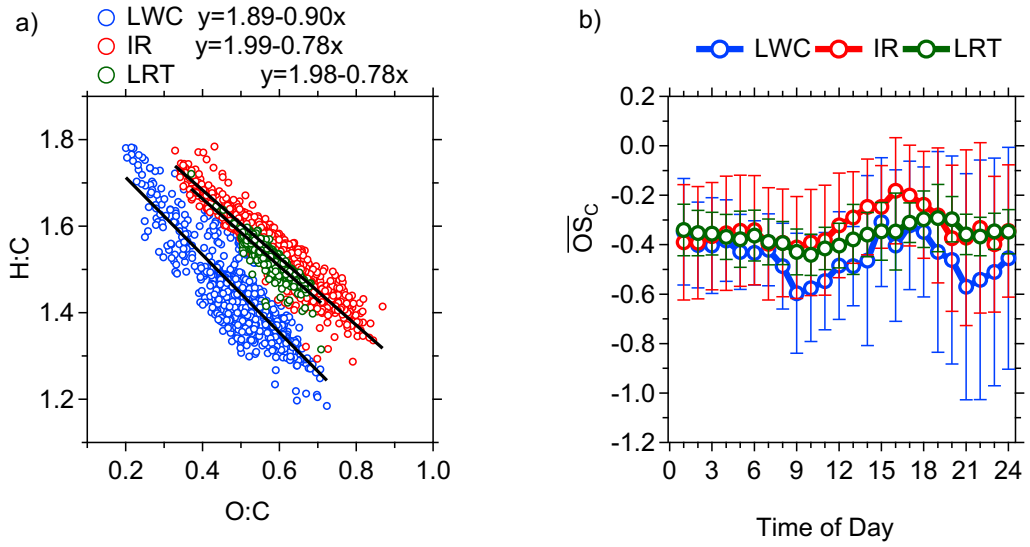



*Figure 3 a) Van Krevelen diagram for the three types of episodes; b) diurnal variation of carbon oxidation state ($\overline{OS}_c$). Means appear as circles with superimposed standard deviations.*

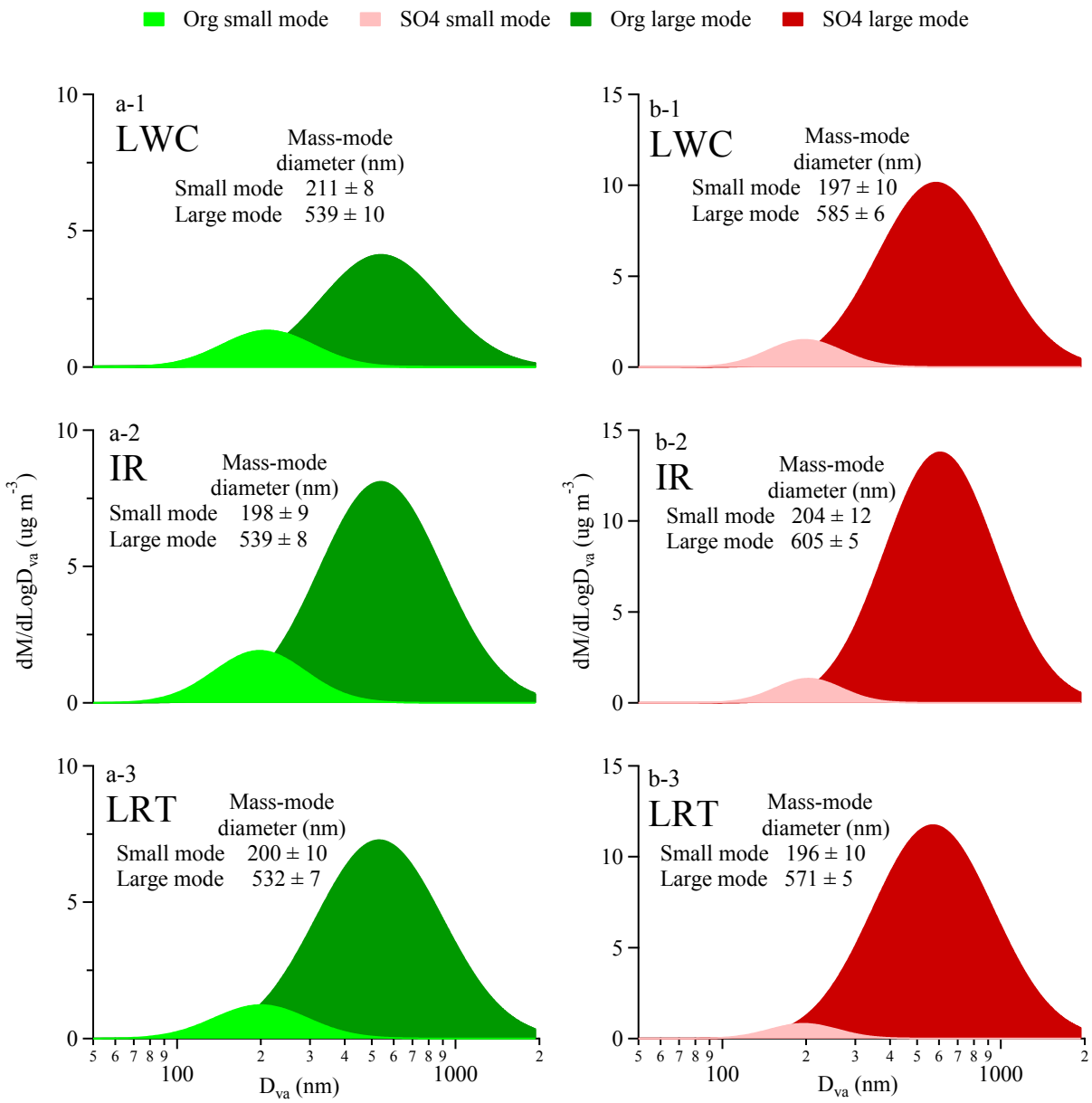



*Figure 4 Bimodal log-normal fitting results of the size distributions of organics and sulfate during the three types of episodes. a) Fitted small particle size mode and large particle size mode of organics during LWC, IR and LRT episodes; b) fitted small particle size mode and large particle size mode of sulfate during LWC, IR and LRT episodes.*

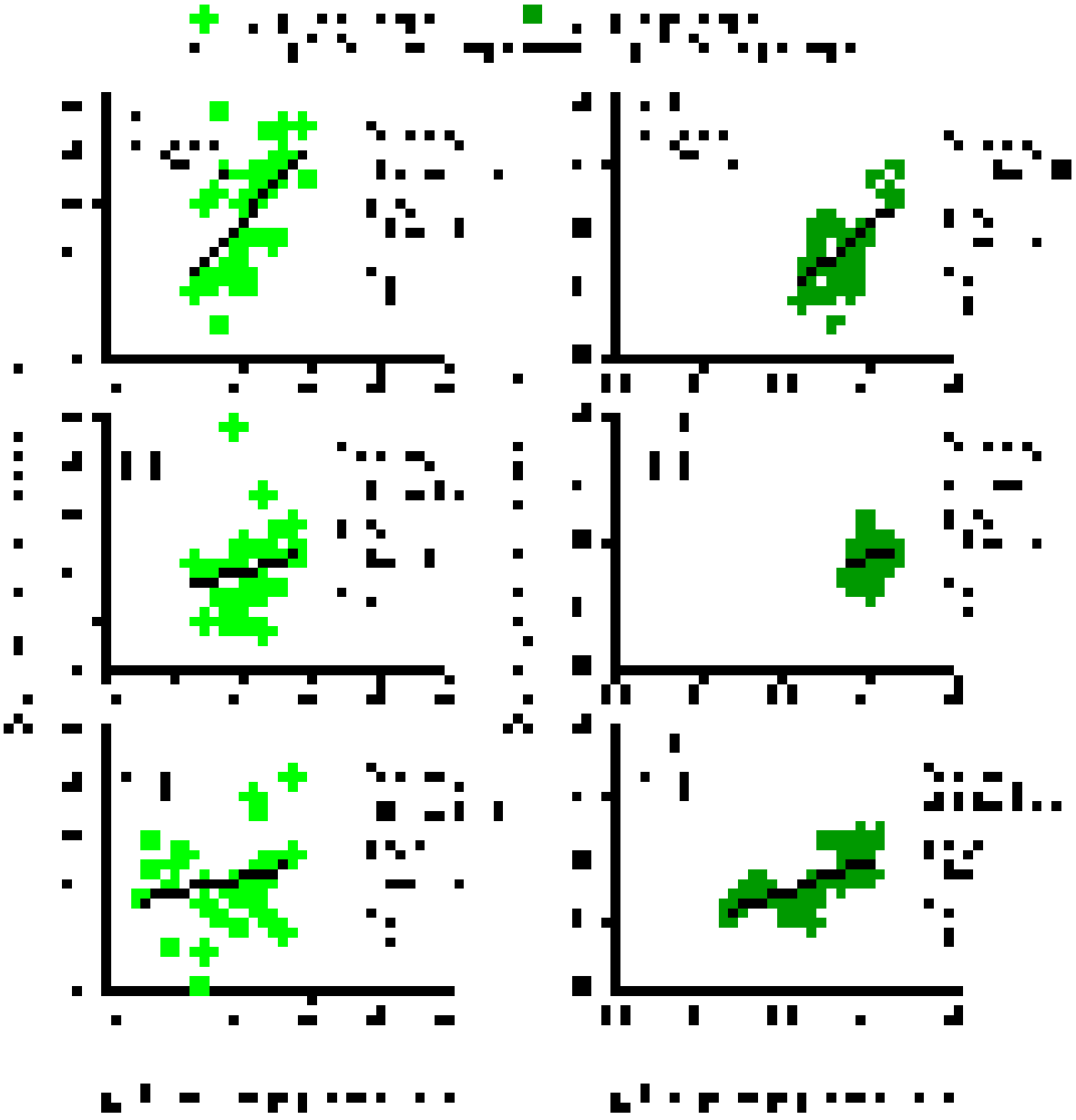
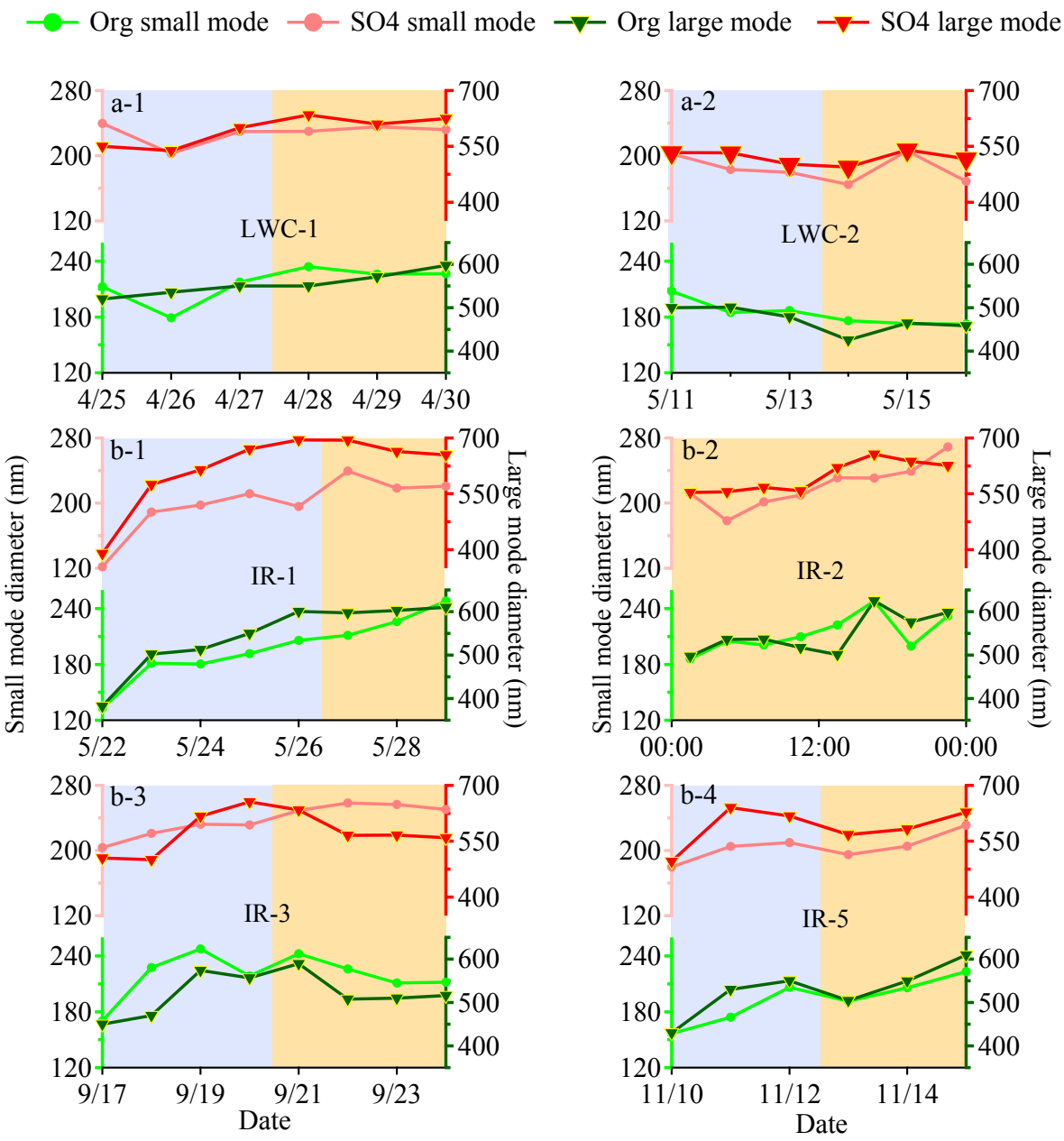


*Figure 5 Scatter plots and linear least square fits of mass-mode diameters of organics and sulfate during the three different types of episodes. a1-a2) small and large mass-mode diameter of organics against sulfate during LWC episodes; b1-b2) small and large mass-mode diameter of organics against sulfate during IR episodes; c1-c2) small and large mass-mode diameter of organics against sulfate during LRT episodes.*

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21 *Figure 6 Variations of 24-hour averaged size distributions of fitted mass-mode diameters of organics and sulfates*
22 *during LWC episodes and IR episodes (shaded in orange) and several days before each episode (shaded in blue).*
23 *For the episode that lasted only for a day (E4), 3-hour averaged size distributions of fitted mass-mode diameters are*
24 *shown instead. a) LWC episodes; b) IR episodes.*

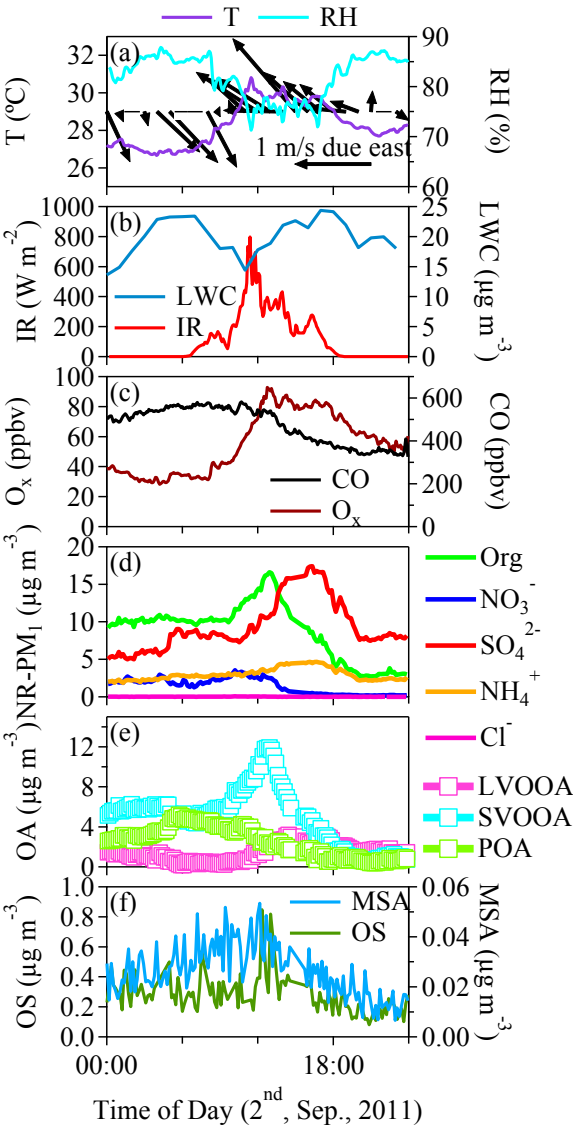
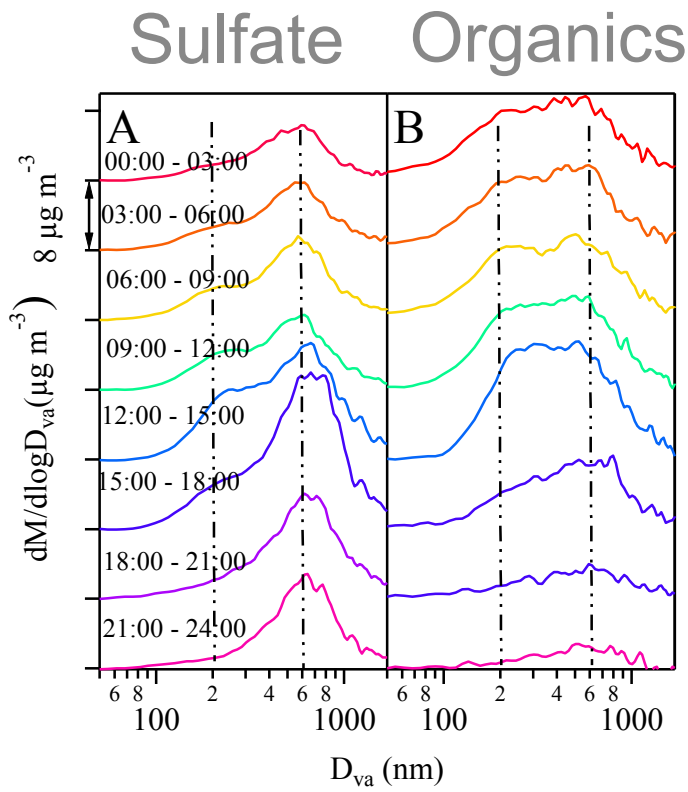



*Figure 7 Time series of meteorological parameters, gaseous species, NR-PM1 species and PMF-resolved organic factors in E4.*




28

29 *Figure 8 Size distributions of sulfate (A) and organics (B) in different time intervals during E4.*

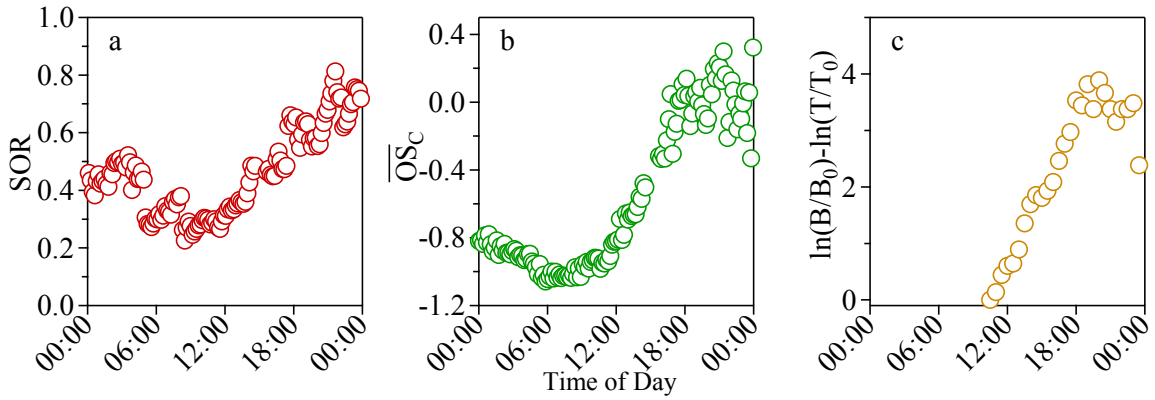



*Figure 9 Oxidative evolution of aerosol components. a) Sulfur oxidation ratio (SOR); b) average carbon oxidation state $\overline{OS}_c$; c) benzene to toluene ratio (B: benzene concentration at time t; B0: benzene concentration at time 0; T: toluene concentration at time t; T0: toluene concentration at time 0).*

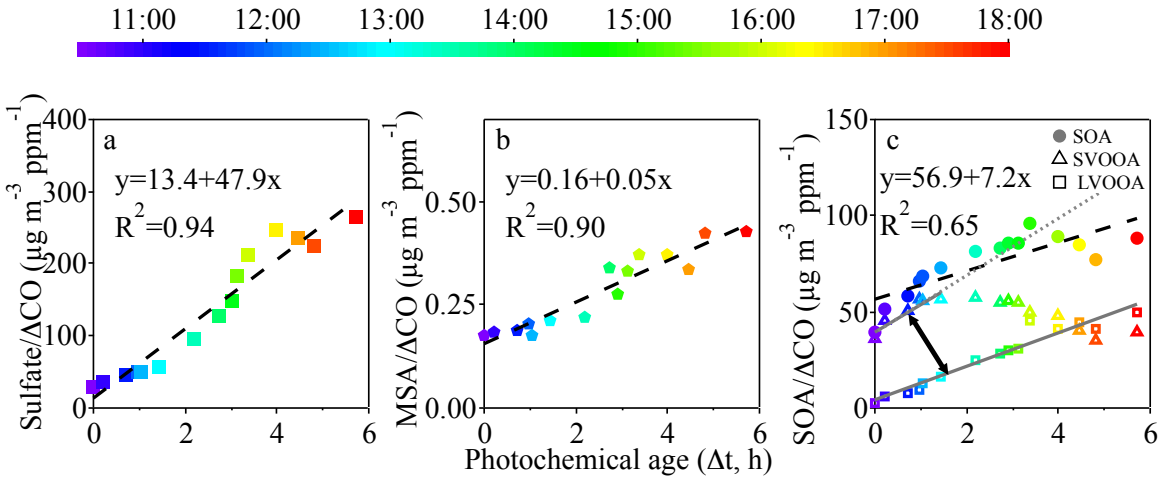



*Figure 10 Photochemical production of secondary species. a ) ΔCO-normalized sulfate concentration (SO4/ΔCO) as a function of photochemical age; b) ΔCO-normalized MSA concentration (MSA/ΔCO) as a function of photochemical age; and c) ΔCO-normalized secondary organic aerosol concentration (SOA/ΔCO, SOA(SVOOA+LVOOA)) as a function of photochemical age. Data points are colored by time of day. Data points represent half-hour averages.*

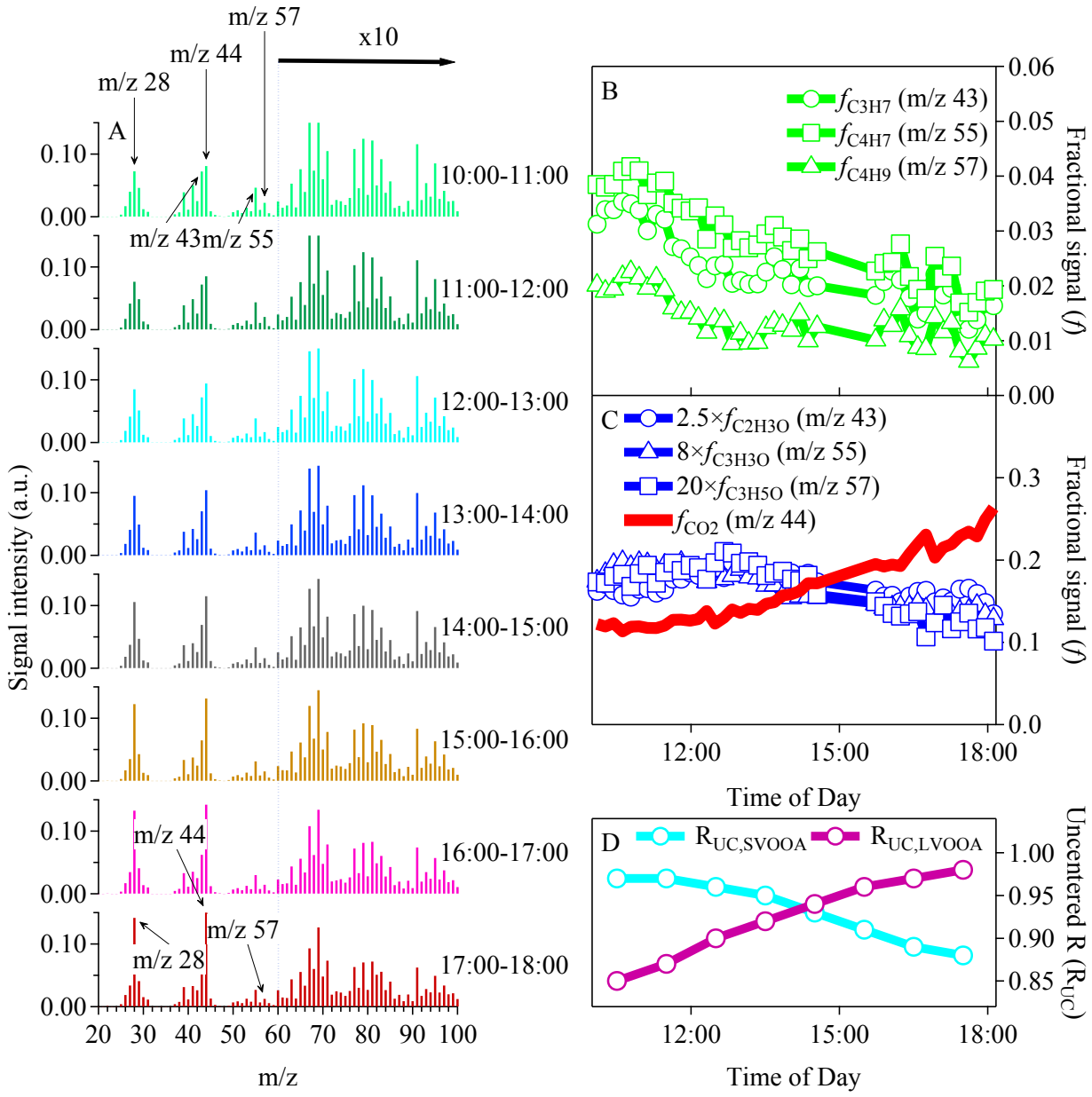



*Figure 11 Evolution of high-resolution organic mass spectra from 10:00 to18:00 during the photochemical aging process in E4: a) mass spectral evolution; b) changes in relative intensities of hydrocarbon-like ions $C_3H_7^+$ (m/z 43), $C_4H_7^+$(m/z 55) and $C_4H_9^+$(m/z 57); c) changes in relative intensities of oxygen-containing ions: $C_2H_3O^+$(m/z 43), $C_3H_3O^+$(m/z 55), $C_3H_5O^+$(m/z 57) and $CO_2^+$(m/z 44); and c) correlation of OA mass spectra with reference* (Mohr et al., 2012) *SVOOA and LVOOA mass spectra.*