We thank the reviewers for the thorough comments and suggestions to improve the manuscript. Below we reply to the reviewer's comments point by point. We list the comments in black, our replies in blue, original text for change in red and revised text in green.

Anonymous Referee #1

General comments

The manuscript of Y. M. Qin et al. presents the characteristics of fine PM in Hong Kong (chemical composition, size distribution, degrees of oxygenation, photochemical production and organic mass spectra) based on high resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) measurements, positive matrix factorization (PMF) and air mass back-trajectory analyses. The manuscript is well structured and presented in a clear way. The methods used (PMF, back-trajectory analysis) are not innovative but are valid and well applied. The conclusions of this manuscript (e.g. the influence of meteorological conditions, particle mixing state, and the importance of photochemical aging on SOA formation in areas with high PM concentrations) are of scientific significance. I therefore recommend publishing this work in Atmospheric Chemistry and Physics after the authors respond to the following comments.

Specific comments

1. Page 3, Line 47-49: it should be more careful for the statement that SVOOA is a proxy for fresh SOA while LVOOA is aged SOA. There isn't an absolute relationship between the "fresh" / the "aged" (terms involved in the OA formation and evolution) and the volatility / oxidation state (terms for OA physicochemical properties). The description in Page 8744 of Li et al. (2013) could be considered to be adopted in this study: "less-oxidized OA (e.g. SVOOA)" and "more-oxidized OA (e.g. LVOOA)". Other statements about "fresh" SOA (SVOOA) and "aged" SOA (LVOOA) in other parts of the text (e.g. abstract) are suggested to be adjusted.

   Agree. Changes have been made as suggested. We adopt descriptions in Li et al. (2013).

2. Page 9, Line 192-193: "aged" is an ambiguous term and the ageing process includes several specific mechanisms. I suggest that "aged" is replaced by more specific descriptions. In addition, as you stated that small particle proportions are higher in both LWC and IR episodes, are the underlying

reasons/mechanisms the same for both LWC and IR episodes? More specific discussion is suggested to replace "stronger local influences".

We agree that "aged" is an ambiguous term. We aim at distinguishing "aged" vs. fresh. However, it is hard to distinguish the underlying mechanisms of ageing based on the type-averaged size distributions of organics and sulfate mass only. Although the fractions of small particles are higher in both LWC and IR episodes than in LRT episodes, the underlying mechanisms may be different. We have discussed the possible mechanisms combining with the other observational results (e.g. diurnal variations, elemental analysis of OA, mixing state and size variations before and during these episodes) in the original manuscript. We have clarified it in the revised manuscript as below.

Change from:

Within each type of episode, sulfate had a smaller portion of small particle mode than organics did, indicating that sulfate was mostly aged while local activities resulted in some fresh emissions of organics. LWC episodes had the largest small mode contribution and LRT episodes had the smallest, providing additional support that particles were more aged and large particles dominated in LRT episodes while the proportion of fresher small particles was higher in LWC and IR episodes due to stronger local influences.

To:

Within each type of episode, sulfate had a smaller fraction of small particle mode than organics did, indicating that sulfate was relatively aged while organics received contributions from local fresh emissions. LWC episodes received the largest contribution from small mode sulfate because of some local influences whereas LRT episodes received the smallest contribution with relatively little local activities. The oxidation mechanisms, however, might be different. Aqueous phase oxidation may dominate in LWC episodes while photochemical oxidation may dominate in IR episodes.

3. Page 9, Line 194-197: The accuracy of the derived particle mixing state from AMS is suggested to be discussed with comparing with single-particle instruments. Line 211: comparison with previous studies about the mixing state in Hong Kong will be helpful.

Thanks for the insightful suggestion. It would be very useful to compare the data of the AMS with single-particle measurements. However, we did not have a single particle spectrometer at our disposal in this campaign. We admitted that this is one of the limitations of the current study.

In this study, we infer the mixing state of the components based on overlapping of their size distributions, similar to Bahreini et al.(2003). It is understood that overlapping of size distributions is a necessary but not sufficient condition for internal mixing. Hence, our discussion of the mixing states is qualitative but not quantitative. To our best knowledge, direct measurements of mixing state from single particle instruments across different seasons in Hong Kong have not been reported. Based on the AMS size distributions, we found that sulfate and organics in large particles were mixed internally only in LWC episodes, and were more likely to be externally mixed in IR and LRT episodes. Freshly formed small particles are externally mixed in all types of episodes. We hope that this study may add to a better understanding of organic and sulfate mixing states in Hong Kong. We have added the above discussions in the revised manuscript.

4. Page 10, Line 213-217: How those percentage numbers are calculated for the size variations? Line 219-220: How do you derive this result that "Since the particles were fresher and smaller during the days before episodes, they grew more rapidly as gas phase semi-volatile components condensed on the particles"? Is condensation the only contributor to the particle growth? Line 220-221: Please show evidence to support "the number concentrations of the pre-existing particles were lower before than during the episodes". Why the size variations for the LRT episodes are overlooked? What is your object to show the sizes variations? What are the implications?

The calculation of size variation and the number concentration have been clarified in the revised supplementary information. We did not focus on the size variations of the LRT episodes because it is difficult to assess what has happened to the particles before they reached the site based on measurements at the site. The particles were already aged when they reached the site. With little local aging, the size variation analysis may not give us further information on the characteristics of ageing. Instead, we would like to focus on LWC and IR episodes which have shown signs of local atmospheric processing.

Change from:

Figure 6 shows that, in the two LWC episodes, the size variations for both the small and large modes

were less obvious: -2.5% for organics small mode, +8.1% for organics large mode, +1.6% for sulfate small mode, and -3% for sulfate large mode from start to end as shown in the figure. By contrast, the size variations in the IR episodes were much more drastic: +51.3% for organics small mode, +40.5% for organics large mode, +45.4% for sulfate small mode, and +35.9% for sulfate large mode. Furthermore, particle sizes usually increased more rapidly during the days before the IR episodes (days shaded in blue in Figure 6) than during the actual episodes (days shaded in orange). Since the particles were fresher and smaller during the days before episodes, they grew more rapidly as gas phase semi-volatile components condensed onto the particles. Moreover, the number concentrations of the pre-existing particles (acting as condensation nuclei) were lower before than during the episodes, rendering more rapid size increases before the episodes.

To:

As discussed earlier, there may be some local atmospheric processing of aerosols in LWC and IR episodes but not in LRT episodes. Therefore, we further explored the mechanisms underlying the atmospheric processing of LWC and IR episodes based on the size variations before and during episodic events. Figure 6 shows the particle mass mode diameters and areas (concentrations) in the LWC and IR types of episodes. We obtained the percentage changes in mode diameters by comparing the smallest diameter before the episode and the largest diameter during the episode for each episode. These percentages in each episode was then averaged to obtain the percentage changes for each episode type. The results show that the changes in mode diameter were small in the LWC episodes: -2.5% for small mode organics, +8.1% for large mode organics, +1.6% for small mode sulfate, and -3% for large mode sulfate. In contrast, the changes in mode diameters changes were much more drastic in the IR episodes: +51.3% for small mode organics, +40.5% for large mode organics, +45.4% for small mode sulfate, and +35.9% for large mode sulfate. Furthermore, particle size usually increased more rapidly before the IR episodes (shaded in blue in Figure 6) than during the episodes (shaded in orange). With fewer pre-existing particles before the episodes, particle growth likely via condensation and reactive uptake of semi-volatile components was more rapid than during the episodes. The number concentration is discussed in detail in SI.

SI:

Figure S7 shows the variations in the 24-h average of the fitted mode diameters and peak areas (and

indicator of mass concentration in that mode) of sulfate and organics during IR episodes. All mode diameters and the peak areas increased from before the episode (the blue shaded area) to during the episode (the orange shaded area). The extent of the peak area increase (mass concentration increase) exceeds what can be explained by the increase in mode diameter alone assuming spherical particles and constant number concentrations. As shown in Table S1, the increases in peak areas are always larger than the increase in the cube of mode diameters for both modes. This suggests an increase in the number concentration of particles during the episodes. The lower number concentrations of pre-existing particles before the episodes renders more rapid increases in size than during the episodes.

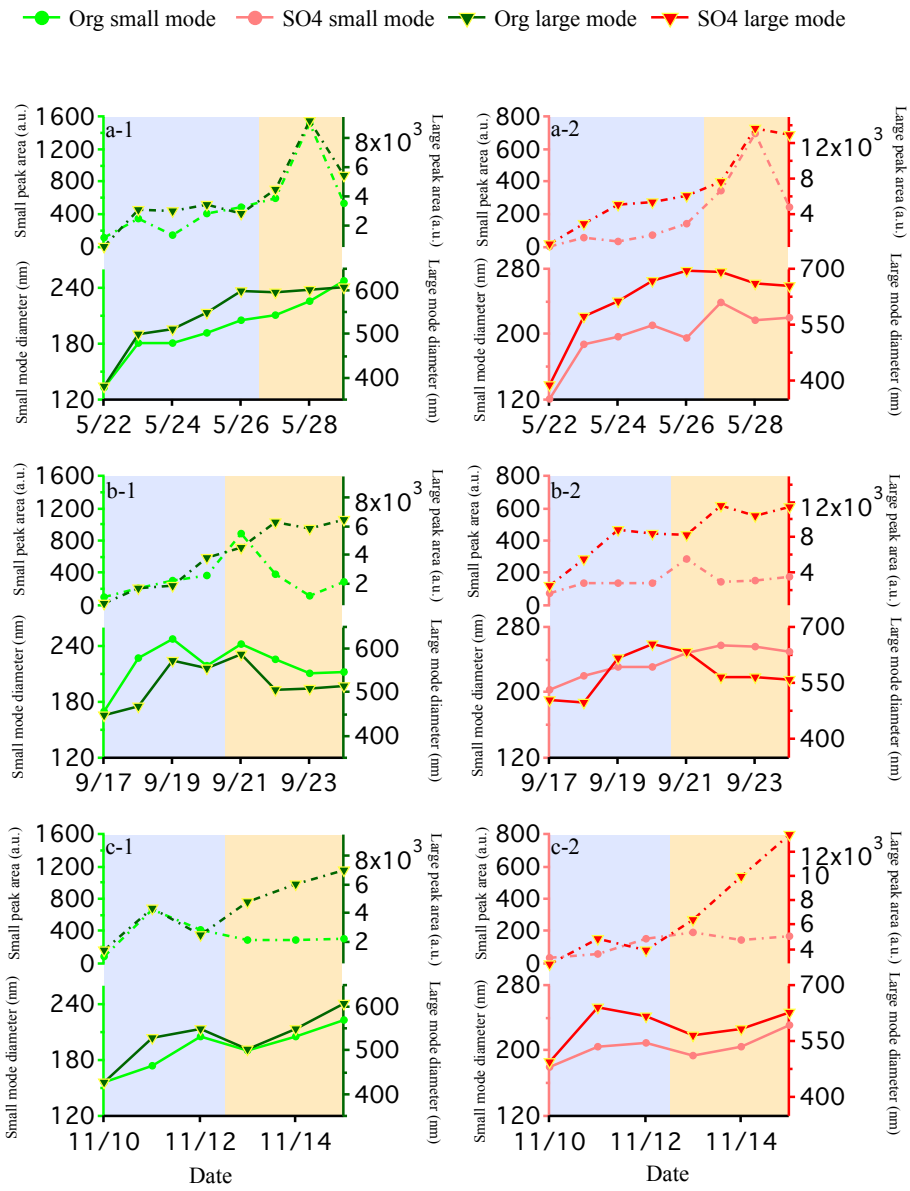


Figure S1' Variations of 24-h averaged of the fitted mode diameters and peak areas of organics (1) and sulfates (2) before (shaded blue) and during (shaded orange) each IR episode.

Table S1. Mode diameters and peak areas before and during episodic days in each of the three IR episodes.

		Before or During Episode	Small mode peak area (a.u.)	Large mode peak area (a.u.)	Small mode diameter (nm)	Large mode diameter (nm)	Peak area ratio During : Before	Ratios of cube of mode diameter During : Before
IR-1	Org	Before	312	2621	178	509	2.84 (small mode)	2.10 (small mode)
		During	886	6415	228	603	2.45 (large mode)	1.66 (large mode)
	SO4	Before	67	4051	183	589	6.44 (small mode)	1.88 (small mode)
		During	431	11421	226	671	2.82 (large mode)	1.47 (large mode)
IR-3		Before	255	2051	216	513	1.69 (small mode)	1.09 (small mode)
	Org	During	430	5808	223	531	2.83 (large mode)	1.11 (large mode)
		Before	127	6358	222	570	1.54 (small mode)	1.49 (small mode)
	SO4	During	195	10513	254	582	1.65 (large mode)	1.06 (large mode)
IR-5		Before	165	2778	179	503	1.85 (small mode)	1.54 (small mode)
	Org	During	305	5983	207	555	2.15 (large mode)	1.34 (large mode)
		Before	86	3880	198	585	1.97 (small mode)	1.20 (small mode)
	SO4	During	170	9980	211	593	2.57 (large mode)	1.04 (large mode)

5. Page 10, Section 3.4 could be merged into Section 3.1 or just after the Section 3.1 (moving Section 3.2 to 3.3. . .).

   Changed as suggested.

6. Page 12, Line 275: Please replace Figure 9c by Figure 9a.

   Changed as suggested.

Anonymous Referee #2

General comments

The authors showed scientific findings on role of photochemistry in the evolution of secondary PM characteristics base on analyses of measurement data with high temporal resolution. The manuscript is generally well written and constructed, and therefore may be suitable for future publication in Atmospheric Chemistry and Physics after revisions based on referees' and other relevant comments. In addition, I recommend the authors to highlight the remarkable progress from their previous studies because they have already published several papers on the measurement data used in this study.

We have highlighted some key findings from our previous studies and the unique aspects of this study in the introduction.

Change from:

We conducted four one-month campaigns in each of the four seasons at the Hong Kong University of Science and Technology (HKUST) Air Quality Research Supersite (AQRS) from May 2011 to February 2012 using an Aerodyne HR-ToF-AMS for non-refractory PM1 (PM with aerodynamic diameter less than 1 micron). We have previously examined the general characteristics (Lee et al., 2013; Li et al., 2013, 2015), seasonal trends (Li et al., 2015), aqueous-phase process in the formation of oxygenated organics (Lee et al., 2013; Li et al., 2013, 2015), organic sulfur compounds (Huang et al., 2015), and particle hygroscopicity (Meng et al., 2014; Yeung et al., 2014). Most of these studies did not explore in detail the evolution of PM characteristics on the timescale of hours. The current work thus focuses on high PM episodes lasting a day or several days across the seasons. Specifically, we aim to investigate the role of local photochemical activity in the formation and evolution of secondary aerosols based on the real-time chemical composition and size distribution data.

To:

We conducted four one-month campaigns in each of the four seasons at the Hong Kong University of Science and Technology (HKUST) Air Quality Research Supersite (AQRS) from May 2011 to February 2012 using an Aerodyne HR-ToF-AMS for non-refractory PM_1 (PM with aerodynamic diameter less than 1 micron). In our previous studies, we found that photochemical oxidation during a haze episode

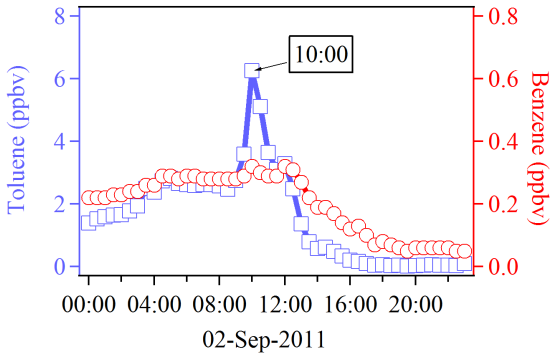
and aqueous-phase reactions during two foggy periods both led to a high degree of oxygenation of organics due to aging in gas phase and/or aqueous phase with substantial SOA formation (Lee et al., 2013 and Li et al., 2013). In spring and summer, SOA, with abundant SVOOA, was more likely to form locally. The oxygen-to-carbon atomic ratio (O:C) and average carbon oxidation state ($\overline{OS}_c$) peaked in the afternoon in spring and summer (Li et al., 2015). In autumn and winter, LVOOA dominated in SOA. The O:C ratio and $\overline{OS}_c$ showed little diurnal variation. Huang et al. (2015) estimated the contents of organic sulfur compounds in Hong Kong in September 2011. They highlighted the importance of both aqueous-phase processing and regional influence for the formation of organic sulfur compounds. Closure analysis was performed between the PM hygroscopicity measured by an hygroscopic tandem differential mobility analyzer (HTDMA) and chemical composition measured by an HR-ToF-AMS and a constant growth factor of 1.18 for organics was found to be adequate for a good closure, given the dominant contribution of the very hygroscopic sulfate at this suburban site (Cheung et al., 2016; Yeung et al., 2014). Meng et al. (2014) found that the aerosol hygroscopic parameter (k) decreased with an increasing organic-to-inorganic volume ratio. Furthermore, the concentration of cloud condensation nuclei (CCN) was found to be more sensitive to the mixing state and hygroscopicity of the particles at a high supersaturation (SS)=0.70% and a low SS=0.15%, respectively.

The above studies primarily focused on the analysis of campaign-average scenarios, without specifically looking at episodic events that occurred during the campaign. In the current study, we investigated the chemical transformation and size evolution of secondary aerosols in high particulate matter episodes across the four seasons. Specifically, we examined in detail the photochemical evolution in a particular episode in which local influences were dominant. Results from the current study reveal the rapid evolution of secondary aerosols and are relevant to other megacities with large precursor input and high photochemical activity.

Specific comments:

1. Page 6, Line 129-130: How can I find “no significant peaks of benzene and toluene after 10:00” from Fig. S3?

   We have added the time series of benzene and toluene in supplemental information as shown below.



2. Page 7, Line 147: Is the quite low fraction of POA even in cool season common in and around the study region, or specific at the study site (period)?

   The HKUST supersite is located on the campus of HKUST, which sits on the hillside of Clear Water Bay on the east coast of Hong Kong that has relatively low population density. There is little local emission around the site. Two minor local sources include Clear Water Bay Road and a small student canteen. The Clear Water Bay Road is located outside of the campus and has mild traffic. The student canteen within 200m of the site, which operates only from 18:00LT (local time) until midnight. Therefore, it is not surprising that the POA fraction in our study is low even in cool season. As an indicator for primary PM, elemental carbon (EC) concentrations in $PM_{2.5}$ via off-line analysis after filter sampling at this site from March 2011 to February 2012 were found to be low throughout the year (0.86 ± 0.53 μg/m^3) (Huang et al., 2014). Such description has been added in the revised manuscript.

3. Page 9. Line 197-199: If organics and sulfate are internally mixed, diameters of organics and sulfate are strongly correlated. However, is the strong correlation sufficient evidence for the internal mixing?

   If the organics and sulfate are internally mixed (they exist in the same particle), their diameters should strongly correlate with each other and the growth rate of particle size should be similar (indicated by the slope of in Figure 5). The observed strong correlation and slope of unity (correlated in time and size) suggest that these species are likely internally mixed, although we cannot exclude out the possibility of external mixing. On the other hand, if the mode diameters of sulfate and organics did not change coherently with a strong correlation and a slope close to unity, these particles are more likely externally mixed. Using such correlations in size to indicate mixing states of species has been applied in previous field studies such as by Bahreini et al. (2003). It should be noted that these conditions of correlation and

slope are necessary but not sufficient evidence for internal mixing. In the original text, we stated that the large mode diameters of organics and sulfate (Pearson's R value equals to 0.7) were strongly correlated with a slope close to unity in LWC episodes (Figure 5), suggesting that organics and sulfate are likely internally mixed in large particles. We did not exclude the possibility of external mixing.

Pls also see our response to Comment #3 of Reviewer 1

Change from:

Various studies have analyzed the particle mixing state based on single-particle instruments such as the aerosol time-of-flight mass spectrometer (Healy et al., 2013, 2014; Yang et al., 2012) and the single-particle aerosol mass spectrometer (Wang et al., 2015). Particle mixing state can also be inferred from particle size information obtained with the AMS. The large mode diameters of organics and sulfate (Pearson's R value equals to 0.7) were strongly correlated with a slope close to unity in LWC episodes (Figure 5), suggesting that organics and sulfate are likely internally mixed in large particles.

To:

Various studies have analyzed the particle mixing state using single-particle instruments such as the aerosol time-of-flight mass spectrometer (Healy et al., 2013, 2014; Yang et al., 2012) and the single-particle aerosol mass spectrometer (Wang et al., 2015). Particle mixing state can also be inferred from particle size information obtained with the AMS. If the organics and sulfate are internally mixed (i.e. they exist in the same particle), their diameters should be strongly correlated with each other and their size should grow at a similar rate. The observed strong correlation and slope of unity (correlated in time and size) suggest that these species are likely internally mixed, although we cannot completely exclude the possibility of external mixing. On the other hand, if the mode diameters of sulfate and organics did not change coherently and exhibit a strong correlation with a slope close to unity, these particles were more likely externally mixed. Bahreini et al. (2003) have used such correlations in size to indicate the mixing states of species. In our study, the large mode diameters of organics and sulfate were strongly correlated (Pearson's R value equals 0.7) with a slope close to unity in LWC episodes (Figure 5), suggesting that organics and sulfate were likely internally mixed in the large particles. However, these conditions of correlation and slope are necessary but not sufficient evidence for internal mixing.

4. Page 9, Line 199: Does the authors consider that fraction of sulfate was higher in LWC episodes (56%)

than the other episodes because of efficient aqueous oxidation?

Although the fraction of sulfate was higher in LWC episodes than in other episodes (LWC: 56%, IR:49%, and LRT: 45%), the absolute mass concentrations of sulfate were quite similar (LWC: 12.4 μg m^{-3}, IR:11.7 μg m^{-3} and LRT:11.8 μg m^{-3}). Aqueous oxidation is considered to be important in LWC episodes, when the aerosol liquid water content was relatively high and solar irradiance was quite low (Table 1). However, we did not aim to compare the efficiency of different pathways of sulfate formation under different conditions. We apologized that we may have confused the reviewer in the manuscript.

5. Page 10, Line 214-217: Please clarify how the percentages were estimated. In Fig. 5, the range of sulfate particle diameter seems to be smaller in IR episodes than LWC episodes.

The percentage numbers were calculated by comparing the smallest diameter in the blue shaded area and the largest diameter in the orange shaded area in each episode. Then, the percentage changes were averaged in each episode type. For example, there are two episodes in the LWC episode type, and the percentage changes for mode diameters in these two episodes were averaged to obtain the percentage changes for the LWC episode type.

The small range of sulfate particle diameter in Figure 5 is because only data points DURING the episodes were plotted. The size variation DURING the episodes were less obvious compared to days BEFORE the episodes (as illustrated in Line 222 of the manuscript). Please also refer to our response to Comment #4 of Reviewer 1.

Change from:

Figure 6 shows that, in the two LWC episodes, the size variations for both the small and large modes were less obvious: -2.5% for organics small mode, +8.1% for organics large mode, +1.6% for sulfate small mode, and -3% for sulfate large mode from start to end as shown in the figure. By contrast, the size variations in the IR episodes were much more drastic: +51.3% for organics small mode, +40.5% for organics large mode, +45.4% for sulfate small mode, and +35.9% for sulfate large mode.

To:

Figure 6 shows the particle mass mode diameters and areas (concentrations) in the LWC and IR types of episodes. We obtained the percentage changes in mode diameters by comparing the smallest diameter before the episode and the largest diameter during the episode for each episode. These percentages in

each episode was then averaged to obtain the percentage changes for each episode type. The results show that the changes in mode diameter were small in the LWC episodes: -2.5% for small mode organics, +8.1% for large mode organics, +1.6% for small mode sulfate, and -3% for large mode sulfate. In contrast, the changes in mode diameters changes were much more drastic in the IR episodes: +51.3% for small mode organics, +40.5% for large mode organics, +45.4% for small mode sulfate, and +35.9% for large mode sulfate. Furthermore, particle size usually increased more rapidly before the IR episodes (shaded in blue in Figure 6) than during the episodes (shaded in orange).

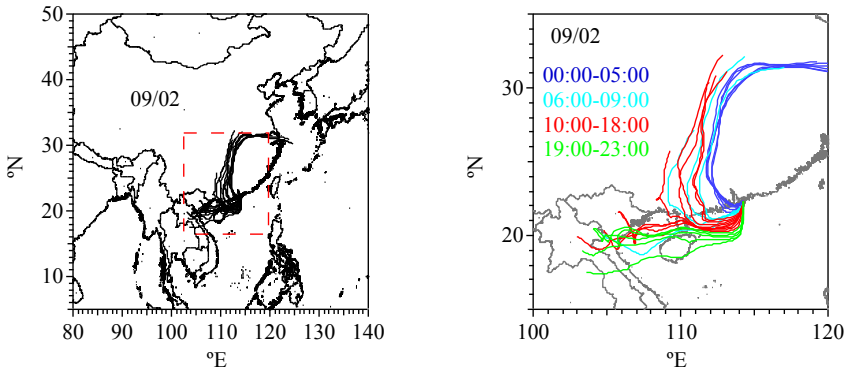
6. Page 10, Line 235: Can discussion on the "typical" IR episode (E4) be generally applicable to the other four IR episodes in different season? Although temporal variation patterns in E4 may be similar to those in Fig. 2b, temporal variation patterns and ratio of LVOOA: SVOOA seems to be quite different from one IR episode to another (Fig. S4).

   It was a mistake that we describe E4 as "typical". It is corrected in the revised manuscript.

   We chose E4 for a detailed study because of its land-sea breeze wind pattern and the low wind speeds, which provide a relatively stagnant condition with less influence of transported pollutants for a close examination of in-situ photochemical evolution. Although other IR episodes had high solar irradiance, they are complicated by regional transport effects. It is not appropriate to directly apply all the conclusions from E4 to the other IR episodes.

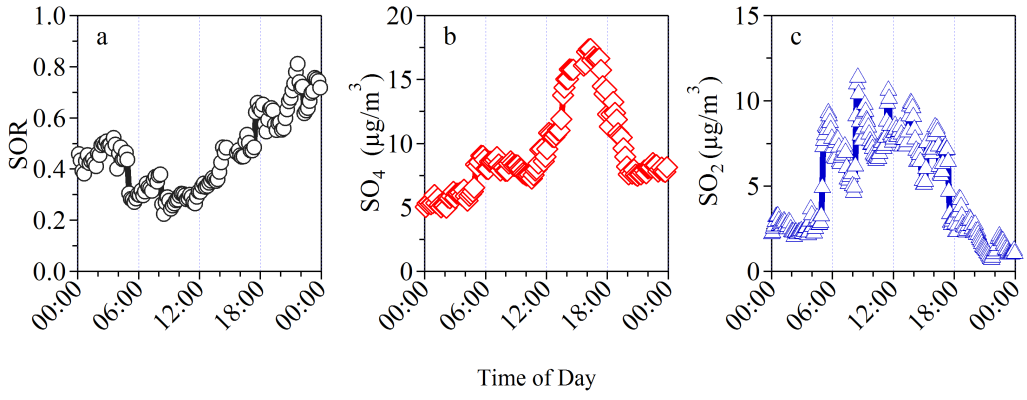
7. Page 10, Line 238-243: Does this mean that 72-h backward trajectory-based air mass origin could not explain the ground-level pollution, or the trajectories (at least for the first 24h) reflected a land-sea breeze pattern?

   We used the overall trajectory analysis and further clustering to distinguish air masses into long-, medium-, and short-range transport patterns in groups. It is difficult to reflect a land-sea breeze pattern from these analyses. Rather, a detailed examination of the hourly backward trajectories during E4 (as below) shows that the air mass shifted from inland region to oceanic region, reflecting the land-sea breeze pattern. See figures below.



8. Page 11, Line 274-277: SOR was the maximum after 18:00 on the day. Is SOR appropriate indicator of the photochemical SO2 conversion?

The sulfur conversion ratio has been widely used to examine the atmospheric formation of particulate sulfur oxidation products from SO_2 (Khoder, 2002; Lee et al., 2015; Miyakawa et al., 2007; Squizzato et al., 2013; Sun et al., 2006; Wang et al., 2005). SOR was at maximum after 18:00 because of the low level of SO_2 gas. During daytime, especially from 10:00 to 18:00, particle-phase sulfate increased while SO_2 decreased. Moreover, the increases in SOR coincided with the increase in the ratio of benzene to toluene (Figure 9c), which is an indication of photochemical age. Sulfate/ΔCO ratio also increased as photochemical age increased (Figure 10). We consider SOR an appropriate indicator of photochemical conversion of SO_2 in this analysis.



9. Technical corrections: Page 6, Line 129: “benzenze” => “benzene”

   Changed as suggested.

10. Page 10, Line 224-232: “3.4. Frequency of high PM1 episodes” can be moved into “3.1. Meteorological conditions and classification of episodes”.

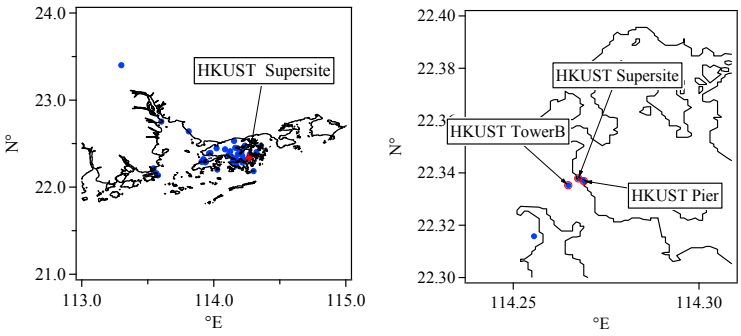
    Changed as suggested.

11. Page 13, Line 313: “corresonding" => “corresponding"

    Changed as suggested.

12. Fig. S4: Why pressure decreases with increasing mixing height? It is hard to believe that pressure was frequently less than 900 hPa at the ground-level of the study site. Air temperature in 05/14-05/16 episode seems to be quite low. Is it correct? Please provide time series of gaseous species other than OX (i.e., CO, SO2 and NOX).

    The pressure we used was the average pressure in the boundary layer, not the surface pressure. We have removed the pressure in the revised manuscript. After comparison with other data, we indeed found that there is a deviation of the HKUST supersite temperature with the other temperature measurements within this region. We have changed the temperature data from HKUST supersite to the temperature data from an adjacent site (HKUST pier, 100 m away) as shown below. We have also added the time series of gaseous species (CO, NO_x, SO_2, and O_x) in the revised supplementary information.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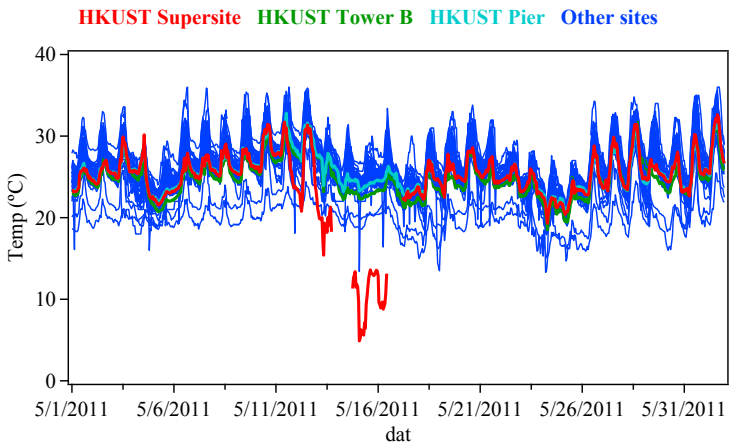
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Reference:

Bahreini, R., Jimenez, J. L., Wang, J., Flagan, R. C., Seinfeld, J. H., Jayne, J. T. and Worsnop, D. R.: Aircraft-based aerosol size and composition measurements during ACE-Asia using an Aerodyne aerosol mass spectrometer, J. Geophys. Res., 108(D23), 8645, doi:10.1029/2002JD003226, 2003.

Cheung, H. H. Y., Tan, H., Xu, H., Li, F., Wu, C., Yu, J. Z. and Chan, C. K.: Measurements of non-volatile aerosols with a VTDMA and their correlations with carbonaceous aerosols in Guangzhou, China, Atmos. Chem. Phys., 16(13), 8431–8446, doi:10.5194/acp-16-8431-2016, 2016.

Huang, D. D., Li, Y. J., Lee, B. P. and Chan, C. K.: Analysis of Organic Sulfur Compounds in Atmospheric Aerosols at the HKUST Supersite in Hong Kong Using HR-ToF-AMS, Environ. Sci. Technol., 150305134049007, doi:10.1021/es5056269, 2015.

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Khoder, M. I.: Atmospheric conversion of sulfur dioxide to particulate sulfate and nitrogen dioxide to particulate nitrate and gaseous nitric acid in an urban area, Chemosphere, 49(6), 675–684, doi:10.1016/S0045-6535(02)00391-0, 2002.

Lee, B. P., Li, Y. J., Yu, J. Z., Louie, P. K. K. and Chan, C. K.: Physical and chemical characterization of ambient aerosol by HR-ToF-AMS at a suburban site in Hong Kong during springtime 2011, J. Geophys. Res. Atmos., 118(15), 8625–8639, doi:10.1002/jgrd.50658, 2013.

Lee, B. P., Li, Y. J., Yu, J. Z., Louie, P. K. K. and Chan, C. K.: Journal of Geophysical Research : Atmospheres, , 1–19, doi:10.1002/2015JD023311.Received, 2015.

Li, Y. J., Lee, B. Y. L., Yu, J. Z., Ng, N. L. and Chan, C. K.: Evaluating the degree of oxygenation of organic aerosol during foggy and hazy days in Hong Kong using high-resolution time-of-flight aerosol mass spectrometry (HR-ToF-AMS), Atmos. Chem. Phys., 13(17), 8739–8753, doi:10.5194/acp-13-8739-2013, 2013.

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Particulate matter (PM) episodes at a suburban site in Hong Kong: evolution of PM characteristics and role of photochemistry in secondary aerosol formation

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Abstract

Episodes with high concentrations of particulate matter (PM) across the seasons were investigated during four one-month campaigns at a suburban site in Hong Kong. High-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS) measurements revealed that both regional transport and secondary formation contributed to high PM levels during the episodes at this site. Based on distinct meteorological conditions, episodes were categorized into three types: liquid water content (LWC), solar irradiance (IR), and long-range transport (LRT). Despite the difference in meteorological conditions, all episodes were characterized by a high fraction of sulfate (45%-56%) and organics (23%-34%). However, aerosols in LWC episodes were less aged, consisting of the lowest fraction of secondary organics aerosols (SOA) and the highest fraction of small particles. Large particles mixed internally while freshly formed small particles mixed externally in LWC episodes. Aerosols in LRT episodes, by contrast, were the most aged and consisted of the highest proportion of low-volatility oxygenated organic aerosols (LVOOA) and the lowest proportion of small particles. Both small and large particles mixed externally in LRT episodes. The highest proportion of semi-volatile oxygenated organic aerosols (SVOOA) and a medium proportion of small particles were observed in IR episodes. Both small and large particles were likely externally mixed during IR episodes. Unlike in the other two types of episodes, in IR episodes aerosols experienced the most dramatic size increase and diurnal variation, with a time lag between SVOOA and LVOOA and a gradual increase in carbon oxidation state ($\overline{OS}_c \approx$ 2×O:C-H:C). Five out of ten episodes were of the IR type, further reflecting the importance of this type of episode. The evolution of aerosol components in one particular episode of the IR type, which exhibited a clear land-sea breeze pattern, was examined in detail. Sulfate and SOA due to photochemical aging were very efficiently produced during the course of six hours. The "less-oxidized" SOA (SVOOA) was initially formed at a higher rate than the "more-oxidized" SOA (LVOOA). The SVOOA transformed to LVOOA at the later stage of photochemical aging. This tranformation was further supported by mass spectral analysis, which showed an increase in the most oxidized ion (CO_2^+) and decreases in moderately oxidized ones ($C_2H_3O^+$, $C_3H_3O^+$ and $C_3H_5O^+$). By measuring the physical and chemical properties of PM in a highly time-resolved manner, the current study was able to demonstrate the dynamic and complex nature of PM transformation during high-PM episodes.

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1. Introduction

Hong Kong and the rest of the Pearl River Delta (PRD) in China have been battling air pollution episodes as a result of rapid economic development and urbanization in the region (Ho et al., 2003; Zhong et al., 2013). Meteorological conditions may govern the regional and long-range transport of air pollutants to Hong Kong. For example, northerly winds can bring pollutants from the inland areas to Hong Kong, and have been suggested to be responsible for regional air pollution events in winter (Fang et al., 1999; Huang et al., 2009, 2014b). The majority of earlier studies used filter sampling with a low time resolution of hours to days, and so were unable to track the temporal chemical transformation in high particulate matter (PM) episodes. This limitation has hindered our understanding of the dynamic nature of PM undergoing rapid chemical transformations. Such chemical transformation can occur within short time periods (e.g., within a day), and so do other physicochemical properties such as hygroscopic and optical properties. High-time-resolution chemical characterization techniques, for example the Aerodyne high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS), offer a temporal resolution of a few minutes. These techniques can thus provide valuable information on rapid changes in the PM composition, facilitating more detailed analysis of pollution events (Decarlo et al., 2006). HR-ToF-AMS measurements also give the size distributions of components (DeCarlo et al., 2008; Lee et al., 2013b). These data can reveal the origin, formation and atmospheric processing mechanisms of PM (Seinfeld and Pandis, 2006; Shiraiwa et al., 2013), but they remain under-utilized in most aerosol mass spectrometer (AMS) studies.

Secondary formation has been recognized as an important route leading to high PM concentrations worldwide (Zhang et al., 2015a) and is the main culprit for haze episodes in cities across China (Huang et al., 2014a). Secondary organic aerosol (SOA) has been shown to dominate over primary organic aerosol (POA) after a few hours of photochemical aging, for instance, in Mexico City (Decarlo et al., 2010; Volkamer et al., 2006), Pasadena (Hayes et al., 2013) and Tokyo (Takegawa et al., 2006). Semi-volatile oxygenated organic aerosol (SVOOA), which serves as a proxy for less-oxidized SOA, has been shown to transform to low-volatility oxygenated organic aerosol (LVOOA), which serves as a proxy for more-oxidized SOA, in laboratory experiments (Alfarra et al., 2012; Jimenez et al., 2009). Such transformation process may contribute substantially to the accumulation of PM, leading to episodic events that are frequently observed in the fast-developing city clusters in China (Huang et al., 2012; Zhang et al., 2015b).

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We conducted four one-month campaigns in each of the four seasons at the Hong Kong University of Science and Technology (HKUST) Air Quality Research Supersite (AQRS) from May 2011 to February 2012 using an Aerodyne HR-ToF-AMS for non-refractory PM_1 (PM with aerodynamic diameter less than 1 micron). In our previous studies, we found that photochemical oxidation during a haze episode and aqueous-phase reactions during two foggy periods both led to a high degree of oxygenation of organics due to aging in gas phase and/or aqueous phase with substantial SOA formation (Lee et al., 2013 and Li et al., 2013). In spring and summer, SOA, with abundant SVOOA, was more likely to form locally. The oxygen-to-carbon atomic ratio (O:C) and average carbon oxidation state ($\overline{OS}_c$) peaked in the afternoon in spring and summer (Li et al., 2015). In autumn and winter, LVOOA dominated in SOA. The O:C ratio and $\overline{OS}_c$ showed little diurnal variation. Huang et al. (2015) estimated the contents of organic sulfur compounds in Hong Kong in September 2011. They highlighted the importance of both aqueous-phase processing and regional influence for the formation of organic sulfur compounds. Closure analysis was performed between the PM hygroscopicity measured by a hygroscopic tandem differential mobility analyzer (HTDMA) and chemical composition measured by an HR-ToF-AMS and a constant growth factor of 1.18 for organics was found to be adequate for a good closure, given the dominant contribution of the very hygroscopic sulfate at this suburban site (Cheung et al., 2016; Yeung et al., 2014). Meng et al. (2014) found that the aerosol hygroscopic parameter (k) decreased with an increasing organic-to-inorganic volume ratio. Furthermore, the concentration of cloud condensation nuclei (CCN) was found to be more sensitive to the mixing state and hygroscopicity of the particles at a high supersaturation (SS)=0.70% and a low SS=0.15%, respectively.

The above studies primarily focused on the analysis of campaign-average scenarios, without specifically looking at episodic events that occurred during the campaign. In the current study, we investigated the chemical transformation and size evolution of secondary aerosols in high particulate matter episodes across the four seasons. Specifically, we examined in detail the photochemical evolution in a particular episode in which local influences were dominant. Results from the current study reveal the rapid evolution of secondary aerosols and are relevant to other megacities with large precursor input and high photochemical activity.

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2. Experimental Section

2.1. Sampling Site and Measurements

The sampling periods were from 25 April to 1 June 2011 (spring), from 1 September to 29 September 2011 (summer), from 28 October to 15 December 2011 (autumn), and from 19 January to 1 March 2012 (winter). HR-ToF-AMS measurements were conducted at the HKUST AQRS (22°20'N, 114°16'E). The HKUST AQRS is located on the campus of HKUST, which sits on the hillside of Clear Water Bay on the east coast of Hong Kong that has relatively low population density. There is little local emission around the site. Two minor local sources include Clear Water Bay Road and a small student canteen. The Clear Water Bay Road is located outside of the campus and has mild traffic. The student canteen within 200m of the site, which operates only from 18:00LT (local time) until midnight. The HR-ToF-AMS operating procedure, data analysis and species determination have been discussed in Lee et al. (2013a), Li et al. (2015) and Huang et al. (2015). Briefly, the AMS was operated alternatively between the V+PToF combined mode and the W-mode for 5 min each. A collection efficiency of 0.5 was employed for measurements at this site, where the particles have overwhelmingly dominant sulfate content (Aiken et al., 2009; Li et al., 2013). Concentrations of methanesulfonic acid (MSA) and organosulfates (OS) were estimated by combining the V-mode data for total concentrations and the W-mode data for high-resolution mass spectral analysis for specific ions (Huang et al., 2015). Mass spectra of organic sulfur compounds were obtained from standards in laboratory experiments to support the W-mode data analysis (Huang et al., 2015). We further calculated the particle liquid water content (LWC) by applying E-AIM II (Clegg et al., 1998) to explore the effects of aqueous processing on PM_1 composition. Gaseous species (CO, CO_2, SO_2, NO, NO_2, and O_3) were measured with standard gas analyzers (Teledyne API). Volatile organic compounds (VOCs) were measured by gas chromatography (Synspec GC955). Meteorological parameters were measured by an automatic weather station mounted on a tower right next to the supersite. Particle hygroscopicity and size distribution measurements have previously been taken with a HR-ToF-AMS at this site (Cheung et al., 2015; Man et al., 2015; Meng et al., 2014; Yeung et al., 2014), and direct reference to the resulting publications will be made where necessary.

2.2. Data analysis

2.2.1. Criteria for an episodic event

The total non-refractory PM_1 (NR-PM_1) concentration showed little seasonal variation, with monthly averages ranging from 14.3 to 15.9 μg m^{-3} as reported by Li et al. (2015). In this work, we defined episodic events according to the following criteria: 1) lasting for at least 24 hours; 2) daily NR-PM_1 average mass concentration exceeding 15

μg m^{-3} (overall monthly averaged concentration); and 3) maximum concentration exceeding 30 μg m^{-3}. According to these criteria, 10 episodic events were identified in the campaigns as shown in Figure S1.

2.2.2. Source apportionment

Following the results in Li et al. (2015), 72-hour backward air trajectory analysis and positive matrix factorization (PMF) analysis were performed. Briefly, the back trajectory analysis was run at an elevation of 300 m using the HYSPLIT-4.8 (Hybrid Single-Particle Lagrangian Integrated Trajectory) model developed by NOAA/ARL (U.S. National Oceanic and Air Administration/Air Resources Laboratory). We classified air masses affecting Hong Kong into long-, medium-, and short-range transport patterns for transport distances of 1000 km, between 500 and 1000 km, and less than 500 km, respectively (Su et al., 2015).

For PMF analysis, a four-factor solution with hydrocarbon-like organic aerosols (HOA), cooking organic aerosols (COA), SVOOA, and LVOOA was adopted as in Li et al. (2015). During episodic events, HOA and COA contributed insignificantly (less than 6% and 5% respectively) to total organic aerosol (OA). Since we are interested in SOA transformation, HOA and COA were combined into one POA factor in the following discussion.

2.2.3. Size distribution

Size distributions of aerosol species are obtained with the HR-ToF-AMS when a set of pre-selected m/z is scanned as a function of the particle time of flight. We focus on the size distribution analysis of sulfate and organics because of their overwhelming dominance in NR-PM_1. The raw 10-min mass-size distributions of organics and sulfate during the episodic events were averaged over various time periods pertaining to the resolution (e.g. 24 hours, 1 hour). The average size distributions were then fitted by the peak fitting tool Multipeak Fit V2 provided by Igor Pro (Wavemetrics) using two log-normal peaks. The peaks were chosen such that the fit residuals were minimized. The two fitted peaks of the size distributions will hereafter be referred to as the small particle mode and the large particle mode. Fitting examples can be found in Figure S2. We will focus on the most important fitting parameters: the particle mass-mode diameter (vacuum aerodynamic diameter, D_{va}) indicating the shift in particle size and the integrated peak areas of the small particle mode and the large particle mode indicating the changes in mass concentrations of larger or smaller particles.

2.2.4. Photochemical age

The photochemical age is useful for studying the extent of photochemical processing in an air mass. One way to estimate the photochemical age (Δt) is by the ratio of a less reactive hydrocarbon to a more reactive one (Kleinman, 2003):

$$\Delta t = \frac{Ln(\frac{Cj}{Ci} * \frac{Ci0}{Cj0})}{(ki - kj)[OH]} \quad (1)$$

in which C_i and C_j are concentrations of hydrocarbons i and j at time t, whereas C_{i0} and C_{j0} are concentrations of hydrocarbons i and j at time 0. The symbols k_i and k_j are their respective rates of reaction with hydroxyl radicals (OH). Hofzumahaus et al. (2009) reported a high average OH concentration of 15×10^6 molecules cm^{-3} around noon in the PRD region, much higher than model predictions. Zhou et al. (2014) used an OH concentration of 5.2×10^6 molecules cm^{-3} in their calulation of photochamical age in the PRD region. Lacking definitive estimates, we used a conservative OH concentration of 1.5×10^6 molecule cm^{-3} (Hayes et al., 2013; Mao et al., 2009) for the discussion of oxidation trends in this study. The ratio of benzene to toluene has been widely used (El Haddad et al., 2013) because of their similar emission sources and significantly different rates of reaction with OH radicals ($k_{benzene\text{-}OH} = 1.23 \times 10^{-12}$ cm^3 $molecule^{-1}$ s^{-1}; $k_{toluene\text{-}OH} = 6.0 \times 10^{-12}$ cm^3 $molecule^{-1}$ s^{-1} at 298K) (Atkinson Roger, 2000). Because toluene reacts more rapidly with OH radicals than does benzene, it is depleted more quickly, resulting in higher benzene/toluene ratios in aged air masses. However, the photochemical age can deviate when fresh pollutants are added to an aged air mass. Since fresh pollutants were insignificant after 10:00 (i.e. no significant peaks of benzene and toluene after 10:00; see Figure S3), we set the start time at 10:00 for the discussion of photochemical aging.

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3. Results and Discussion

3.1. Meteorological conditions and classification of episodes

Table 1 summarizes the meteorological conditions, PM_1 concentrations, and the estimated LWC in the 10 high PM episodes. All of the episodes involved air masses that originated over East Asia from the north, northeast or northwest of Hong Kong, and swept over part of the PRD region before reaching the site. Seven of the 10 episodes (E1-E7) were characterized by medium-range trajectories and the other three (E8-E10) by long-range trajectories. The individual trajectories are shown in Figure S4. E1 and E2 had much lower solar irradiance (IR) but higher LWC than the other episodes, which distinguished them from the other medium-range transport episodes. Thus, E1 and E2 were categorized as episodes of the LWC type (medium-range transport with high LWC and low IR) and the other

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medium-range transport episodes as episodes of the IR type (medium-range transport with high IR and low LWC). Li et al. (2013) referred to E1 and E2 as foggy episodes, while Li et al. (2013) and Lee et al. (2013a) referred to E3 as a hazy episode. The long-range transport episodes might be less associated with the local site-specific conditions and were categorized as episodes of the LRT type.

High concentrations of PM can have a number of causes, including enhanced primary emissions (Ji et al., 2014), concentrating effects due to a decrease in the height of the planetary boundary layer (Petäjä et al., 2016), regional transport (Huang et al., 2009), as well as active secondary formation (Hayes et al., 2013). Local primary emissions were not very significant at this site, as can be seen from the low contribution of POA (less than 6%) throughout the whole campaign. As an indicator for primary PM, elemental carbon (EC) concentrations in $PM_{2.5}$ filter sampling at this site from March 2011 to February 2012 were also found to be low throughout the year (0.86 ± 0.53 $\mu g/m^3$)(Huang et al., 2014b). Boundary layer dynamics on the high PM days can be a factor affecting PM concentration, but the effects were likely minimal as the highest concentration was usually observed during the day at higher mixing heights (Figure S5). Therefore, regional transport and active secondary formation would be the most probable causes for the episodic events of high PM concentrations at this suburban site. More detailed meteorological conditions with chemical characteristics in each episode can be found in Figure S5.

As is apparent from Table 1, the occurrence of different types of episodes exhibits a seasonal trend. LWC episodes occurred only in spring and LRT episodes only in winter, while IR episodes took place in spring, summer and autumn. This result is consistent with previous results (Huang et al., 2009) in that the frequency of high PM days in Hong Kong had a strong seasonal variation. In winter, the overwhelming northerly wind brings pollutants via long-range transport (Fang et al., 1999). In spring, foggy days with high PM levels are common due to the moisture-laden air masses coming in from the sea and aqueous-phase processing of particulate species (Li et al., 2013). In summer and autumn, however, hazy days are mainly due to high photochemical activities in this subtropical area, resulting in the formation of secondary aerosols (Hu et al., 2008; Zhou et al., 2014).

3.2. Chemical characteristics of high PM episodes

Figure 1 shows the chemical constituents of NR-PM_1 in the three types of episodes. It is apparent that sulfate dominated in all types of episodes. In Hong Kong, sulfate is largely regarded as a major regional pollutant with little spatial variability, as in the rest of the PRD (Hagler et al., 2006; LOUIE et al., 2005). Nitrate contributed less than 4%

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in LWC episodes and IR episodes, but more than 7% in LRT episodes. As LRT episodes occurred in wintertime, the higher nitrate concentration was likely driven by gas-particle partitioning of ammonium nitrate to the particle at low temperatures (Seinfeld and Pandis, 2006). Using the PMF-resolved SVOOA and LVOOA as proxies for less-oxidized and more-oxidized SOA respectively (Zhang et al., 2011), more details of OA can be revealed. SVOOA had higher contributions in IR episodes, while LVOOA contributed roughly twice as much as SVOOA did in LRT episodes, because the air mass was already quite aged when reached the site. LVOOA and SVOOA made similar contributions in LWC episodes.

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Figure 2 shows the diurnal variations of the NR-PM_1 species, PMF-resolved organic factors, as well as O_x (O_3+NO_2) in these three types of episodes. SVOOA and LVOOA as well as O_x increased during the day in IR episodes, with a time lag between SVOOA and LVOOA. A similar time lag was also observed between SVOOA and LVOOA in the Yangtze River Delta (YRD), another fast developing region of China (Huang et al., 2012). These delays may be the result of conversions from fresh SVOOA to aged LVOOA in the afternoon. We explore such a possibility in Section 3.6. SVOOA and LVOOA both exhibit flat diurnal patterns in LWC episodes and LRT episodes.

Elemental analysis of OA (ratios of H:C, O:C, N:C, S:C and OM:OC) from the high resolution mass spectra provides useful information to assess OA evolution. Recently, Canagaratna et al. (2015) used an updated (Improved-Ambient) method to estimate O:C and H:C ratios, and reported 27% higher O:C ratios and 11% higher H:C ratios than those estimated using the original (Aiken-Ambient) method. Recalculating the elemental ratios for the September dataset using the updated method shows little difference from those obtained by simply applying the respective factors of 1.27 and 1.11 to the O:C and H:C ratios (Figure S5). Hence, the O:C and H:C ratios in this study were corrected by factors of 1.27 and 1.11, respectively, with Aiken-Ambient values reported in our previous studies. In the Van Krevelen diagram (Heald et al., 2010; Ng et al., 2011) shown in Figure 3a, data points for LWC episodes (blue) fall into a lower O:C region than do the data points for IR (red) and LRT episodes (green). Even though data points for IR episodes and LRT episodes have similar slopes and intercepts in the Van Krevelen diagram, data points for IR episodes had a much wider spread. These trends are also reflected in the diurnal patterns of carbon oxidation state ($\overline{OS}_c \approx 2\times O:C-H:C$) (Kroll et al., 2011) in Figure 3b. The $\overline{OS}_c$ diurnal pattern in LRT episodes was relatively flat, suggesting that oxidized organics were mostly transported to the site with minor in-situ oxidation. The $\overline{OS}_c$ in IR episodes gradually increased from 09:00 until 15:00. Similar trends were observed for O_x,

LVOOA and to a less extent, SVOOA. With all these combined, we believe that the local photochemical processing of OA was more likely at play in IR episodes than the long-range transport of processed aerosols.

3.3. Size distributions of sulfate and organics

Figure 4 shows the peak fitting results of the type-averaged size distributions of organics and sulfate mass. The mass-mode diameters (D_{va}) for both the small and large modes of organics and sulfate did not differ considerably across the episode types (smaller than 5% differences). Within each type of episode, sulfate had a smaller fraction of small particle mode than organics did, indicating that sulfate was relatively aged while organics received contributions from local fresh emissions. LWC episodes received the largest contribution from small mode sulfate because of some local influences whereas LRT episodes received the smallest contribution with relatively little local activities. The oxidation mechanisms, however, might be different. Aqueous phase oxidation may dominate in LWC episodes while photochemical oxidation may dominate in IR episodes.

Various studies have analyzed the particle mixing state using single-particle instruments such as the aerosol time-of-flight mass spectrometer (Healy et al., 2013, 2014; Yang et al., 2012) and the single-particle aerosol mass spectrometer (Wang et al., 2015). Particle mixing state can also be inferred from particle size information obtained with the AMS. If the organics and sulfate are internally mixed (i.e. they exist in the same particle), their diameters should be strongly correlated with each other and their size should grow at a similar rate. The observed strong correlation and slope of unity (correlated in time and size) suggest that these species are likely internally mixed, although we cannot completely exclude the possibility of external mixing. On the other hand, if the mode diameters of sulfate and organics did not change coherently and exhibit a strong correlation with a slope close to unity, these particles were more likely externally mixed. Bahreini et al. (2003) have used such correlations in size to indicate the mixing states of species. In our study, the large mode diameters of organics and sulfate were strongly correlated (Pearson's R value equals 0.7) with a slope close to unity in LWC episodes (Figure 5), suggesting that organics and sulfate were likely internally mixed in the large particles. However, these conditions of correlation and slope are necessary but not sufficient evidence for internal mixing. This internal mixing may occur during the process of local aqueous oxidation. In IR episodes, during which local photochemical oxidation may have a more obvious influence, larger particles do not mix well internally (poor correlation between the large mode diameters of organics and

Deleted: Within each type of episode, sulfate had a smaller portion of small particle mode than organics did, indicating that sulfate was mostly aged while local activities resulted in some fresh emissions of organics. LWC episodes had the largest small mode contribution and LRT episodes had the smallest, providing additional support that particles were more aged and large particles dominated in LRT episodes while the proportion of fresher small particles was higher in LWC and IR episodes due to stronger local influences.

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sulfate with R_{pr} = 0.2). As discussed in Section 3.2, in IR episodes, organics showed a clear noontime peak associated with local photochemical activities while sulfate was still mainly a regional pollutant. As a result, large particles of organics and sulfates were very likely externally mixed during IR episodes. Good correlation (R_{pr} = 0.7) with a large deviation of the slope from unity (slope = 0.5) was observed in LRT episodes. As long-range transport is the dominant process causing high PM levels during LRT episodes, organics and sulfate would be brought to the site together, so their large mode diameters tend to be strongly correlated. However, they may have different origins and may also have undergone different aging processes during the course of long-range transport, and thus they would have different mode diameters. The correlations between the small mode diameters of organics and sulfate were notably weaker, with R_{pr}=0.5 in LWC episodes, R_{pr}=0.2 in IR episodes, and R_{pr}=0.2 in LRT episodes, suggesting that freshly formed small particles mixed externally.

As discussed earlier, there may be some local atmospheric processing of aerosols in LWC and IR episodes but not in LRT episodes. Therefore, we further explored the mechanisms underlying the atmospheric processing of LWC and IR episodes based on the size variations before and during episodic events. Figure 6 shows the particle mass mode diameters and areas (concentrations) in the LWC and IR types of episodes. We obtained the percentage changes in mode diameters by comparing the smallest diameter before the episode and the largest diameter during the episode for each episode. These percentages in each episode was then averaged to obtain the percentage changes for each episode type. The results show that the changes in mode diameter were small in the LWC episodes: -2.5% for small mode organics, +8.1% for large mode organics, +1.6% for small mode sulfate, and -3% for large mode sulfate. In contrast, the changes in mode diameters changes were much more drastic in the IR episodes: +51.3% for small mode organics, +40.5% for large mode organics, +45.4% for small mode sulfate, and +35.9% for large mode sulfate. Furthermore, particle size usually increased more rapidly before the IR episodes (shaded in blue in Figure 6) than during the episodes (shaded in orange). With fewer pre-existing particles before the episodes, particle growth likely via condensation and reactive uptake of semi-volatile components was more rapid than during the episodes. The number concentration is discussed in detail in SI.

3.4. Local photochemical formation and evolution of PM: A case study

3.4.1. Time series of species during the local photochemical episode

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Because of the high frequency of occurrence of IR episodes, we chose one IR episode (E4) to examine the evolution of the aerosols with photochemical oxidation. This particular episode (E4) was under the influence of a clear land-sea breeze pattern with weak winds (Figure 7), a typical meteorological phenomenon that affects air pollution dynamics at this coastal city (Lee et al., 2013a). As can be seen from Figure 7, the maximum wind speed was less than 2 m s^{-1} while the average wind speed was approximately 0.5 m s^{-1}. The wind direction changed from northerly to easterly between 06:00 and 10:00 and remained easterly until 20:00, when it changed clockwise from easterly back to northerly. Under such conditions, local photochemical activities can lead to effective production and accumulation of air pollutants. Time series of organics, sulfate, ammonium, nitrate, MSA, OS, PMF-resolved organic factors, some gaseous species, as well as meteorological parameters were analyzed. Most NR-PM_1 species showed clear diurnal variations. Figure 7 shows that organics increased from a roughly constant concentration of 10 µg m^{-3} at night until 09:00 to its highest concentration of 16.6 µg m^{-3} at 13:00, while sulfate showed a mild increase at 06:00 and then a sharp increase at 10:30 to reach its highest concentration of 17.4 µg m^{-3} at 16:00. They were overall consistent with the increasing trend of irradiance, an indicator of photochemical activities, in the afternoon. Nitrate concentration was high (2.5 µg m^{-3}) in the morning and started to decrease from 12:30 onwards to reach 0.3 µg m^{-3} by 16:00, likely attributable to vertical dilution due to a rise in the height of the planetary boundary layer, or alternatively evaporation of ammonium nitrate at higher temperatures and lower RH values (Seinfeld and Pandis, 2006). Wind direction started to change at 20:00, when all the NR-PM_1 species were at their lowest concentrations. POA concentration increased from 2.5 µg m^{-3} at 00:00 to about 5 µg m^{-3} at 06:00, which might be due to the lowering of the planetary boundary layer. Conversely, expansion of the boundary layer early in the morning could help disperse the POA. The increase in LVOOA lagged behind that in SVOOA. Starting from 06:00, SVOOA concentration increased rapidly and peaked at approximately 13:00, coinciding with the IR peak, possibly due to fresh SOA formation. LVOOA gradually increased from 12:00 and peaked at 14:00, similar to sulfate. The time lag suggests that some conversion from less-oxidized to more-oxidized SOA might have occurred in the afternoon. Evaporation at the elevated temperature of 30°C throughout the afternoon might also have led to the decrease in SVOOA, as with nitrate. The diurnal variation of MSA shows a noontime peak, consistent with the trend of irradiance. In contrast, OS did not show a clear noontime peak, since OS at this site were likely affected by inland transportation (Huang et al., 2015).

3.4.2. Changes in size distribution

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Deleted: Despite the fact that the 72-hour air mass back trajectories (with arriving height of 300 m) reflected medium-range transport, the surface air was quite stagnant as shown by wind speed data collected from the ground station.

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As shown Figure 8, before 06:00, the size distributions of sulfate and organics were both dominated by a mass mode diameter of 500 to 600 nm. During 06:00-09:00, a shoulder at 200 nm appeared in the size distribution of sulfate and in that of organics, indicating some fresh sulfate and organics were formed or emitted (possibly POA). As photochemical reactions proceeded (09:00-18:00), the shoulder of D_{va} at 200 nm became weaker and the size distributions shifted to the larger end. It should be noted that during the whole aging process, the size distributions of organics were broader than those of sulfate since organics were a mixture of numerous constituents from different primary sources and reaction products formed via different atmospheric processes. The shifts in size distribution suggest that secondary aerosol particles with sulfate and organics aged gradually and grew into larger particles.

3.4.3. Photochemical production of secondary species

We examine the daytime photochemical activity during E4 by looking at the SO_2 oxidation and changes in the degree of oxygenation of particulate organics. The sulfur oxidation ratio (SOR) has been used to evaluate the extent of atmospheric oxidation of SO_2 to sulfate (Squizzato et al., 2013; Wang et al., 2005). Figure 9c shows the increase in SOR from 0.2 at 9:00 to 0.7 at 18:00, indicating an efficient conversion from SO_2 to sulfate during daytime in this episode. Figure 9b shows that the $\overline{OS}_c$ increased sharply near 11:00. $\overline{OS}_c$ was high after 18:00 because most of the organics in PM had been converted to highly oxidized organic compounds during the aging process. Indeed, during this period, LVOOA was the dominant OA component (Figure 7). The increases in SOR and $\overline{OS}_c$ coincided with the increase in the ratio of benzene to toluene (Figure 9). The oxidation of sulfur species and organic species reflects efficient oxidation during this photochemical episode.

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To semi-quantitatively evaluate the efficiency of SOA and sulfate formation, the changes in SOA/ΔCO, MSA/ΔCO, and sulfate/ΔCO are plotted in Figure 10 as a function of photochemical age from 10:00 to 18:00. ΔCO, defined as the measured CO concentration minus the minimum CO concentration (see Figure 7 for the time series of CO), is assumed to be a conservative tracer of urban combustion emissions. The perturbations of CO concentration by photochemical formation from VOC or destruction by OH radicals were thought to be negligible over such a short timescale (less than eight hours) (Griffin et al., 2007). Normalization of species concentrations to the ΔCO concentration is expected to reduce the effect of dilution (Hayes et al., 2013; Zhou et al., 2014).

From 10:00 to 18:00, sulfate/ΔCO increased by a factor of 7-8 as photochemical activity increased on a timescale of approximately 6 h, with a formation rate (indicated by the slope of species/ΔCO vs. photochemical age) of approximlately 48 μg m^{-3} ppm^{-1} h^{-1}. MSA/ΔCO also increased by a factor of approximately 3 at a rate of 0.05 μg

405 m^{-3} ppm^{-1} h^{-1} during photochemical aging. The good correlation of MSA production with the photochemical age
406 suggests that MSA originated from the reaction of gaseous dimethyl sulfide with OH radicals (Barnes et al., 2006).
407 For comparison, Bardouki et al. (2003) also found that MSA and OH radicals covaried over the northeastern coast of
408 Crete. As shown in Figure 10c, SOA/ΔCO increased by approximately a factor of 2 with the slope of 7.2 μg m^{-3}
409 ppm^{-1} h^{-1} (8.07 μg sm^{-3} ppm^{-1} h^{-1}). A shallower slope (approximately 4.0 to 4.5 μg sm^{-3} ppm^{-1} h^{-1}) was observed in
410 Pasadena, California from May to June (Hayes et al., 2013) while a similar slope (6.18 μg m^{-3} ppm^{-1} h^{-1}) was
411 observed in a previous study in Hong Kong in August (Zhou et al., 2014). This indicates that the SOA production in
412 Hong Kong during the local in situ photochemical oxidation in summer is high.
413 More interestingly, SVOOA/ΔCO increased during the first three hours but decreased slightly after 13:00, even
414 as photochemical age increased. In contrast, LVOOA/ΔCO increased steadily throughout the whole stage. After
415 photochemical processing for 6 h, LVOOA/ΔCO increased by approximately a factor of 20, from 2.3 μg m^{-3} ppm^{-1}
416 to 49.4 μg m^{-3} ppm^{-1}. Even though both SVOOA/ΔCO and LVOOA/ΔCO increased in the first stage, they did so at
417 slightly different rates, where SVOOA/ΔCO increased faster than LVOOA/ΔCO. This suggests that the production
418 of SVOOA was more efficient than that of LVOOA in the first stage. However, in the later stage of SOA formation,
419 the net productions of SVOOA were negative, which indicates that SVOOA may have photchemically converted to
420 LVOOA. As discussed earlier, the input of POA and VOC was limited to the early morning in our study. SVOOA
421 was consumed more quickly to form LVOOA than was replenished through further production in the late afternoon.
422 The situation where limited precursors exist to replenish fresh SOA (even under strong photochemical activity)
423 might also occur in other non-urban atmospheric environments, and thus may have an implication for OA
424 transformation in general.

425 **3.4.4. Mass spectral evolution**

426 Figure 11a shows the evolving organic mass spectra during E4 (corresponding to the period of photochemical
427 aging). Eight spectra at one-hour intervals from 10:00 to 18:00 are shown from top to bottom. Two changes in the
428 mass spectra with photochemical processes were apparent: 1) decreases in the signal intensities of relatively high
429 m/z ions (e.g., m/z 55, 57,67, 69, etc.), which indicates greater fragmentation (C-C bond cleavage) with
430 photochemical oxidation; and 2) increases in the mass concentrations of ions having m/z values of 28 (mainly CO^+)
431 and 44 (mainly CO_2^+), which presumably come from aldehyde, ketone and carboxylic acid (Ng et al., 2011). These
432 changes are also reflected in the relative intensity changes of hydrocarbon-like and oxygen-containing ions such as

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$C_4H_7^+$, $C_2H_3O^+$ and CO_2^+ (Figure 11b, c). The fractions of tracers of primary organic aerosols $C_3H_7^+$(m/z 43), $C_4H_7^+$ (m/z 55) and $C_4H_9^+$ (m/z 57) (Lambe et al., 2012) decreased. On the other hand, ion fractions of $C_2H_3O^+$ (m/z 43), $C_3H_3O^+$ (m/z 55) and $C_3H_5O^+$ (m/z 57) increased until 13:00 (corresponding to the peak of SVOOA), followed by the decrease of these moderately oxygenated ions. These ions are predominantly from non-acid oxygenates, and are usually associated with less-oxidized SOA. However, The most oxidized ions, CO_2^+ (m/z 44), which is thought to be the marker of more-oxidized SOA, increased continuously. As a result, the mass spectra, which were initially SVOOA-like, evolved to become LVOOA-like with increasing photochemical age (Figure 11d). Overall, this spectral analysis indicates increasingly oxidized organics, as long carbon chains became more functionalized and fragmented after extensive oxidation (Alfarra et al., 2012; Kroll et al., 2009). Such an observation implies efficient transformation of OA within a few hours of photochemical aging, a timescale that could be relevant to chemical transport models concerning SOA formation.

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4. Conclusion

High-resolution HR-ToF-AMS measurements were taken during four one-month campaigns in suburban Hong Kong to illustrate the evolution of high PM episodic events across the seasons. Three types of episodes, medium-range transport with high particle liquid water content (LWC episodes), medium-range transport with high solar irradiance (IR episodes), and long-range transport (LRT episodes), were captured based on synoptic meteorological conditions. Which type of episode occurred depended on the season, with LWC episodes occurring only in spring and LRT episodes only in winter, while IR episodes took place throughout the year except in winter. Sulfate was the major constituent of NR-PM_1 during all episodic events. The contribution of secondary organic species, including SVOOA and LVOOA, varied across episode types, with more SVOOA in the IR episodes and more LVOOA in the LRT episodes. Unlike in the other two types of episodes, in IR episodes organics experienced the most dramatic diurnal variation, with a time lag between SVOOA and LVOOA. This variation was associated with Ox, indicating the conversions from less-oxidized to more-oxidized SOA under photochemical oxidation. Elemental analysis involving the Van Krevelen diagram and carbon oxidation state ($\overline{OS}_c \approx 2\times O:C-H:C$) further showed that organics in IR were gradually oxidized.

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Fitted mass-mode diameters for both the small and the large mode of organics remained roughly constant across episode types, while sulfate had a constant small mode diameter in all three types of episodes but a slightly increased large mode diameter in IR episodes. The fraction of small particles decreased from LWC episodes to IR

episodes then to LRT episodes, suggesting that aerosols from long-range transport were more aged and dominated by large particles while episodes under a greater influence of local processes had a higher proportion of fresher small particles. Large particles mixed internally only in LWC episodes, and were more likely to mix externally in IR and LRT episodes. Freshly formed small particles mixed externally in all types of episodes. In IR episodes, aerosols underwent an obvious size increase, while in LWC episodes, the size increase was much less drastic.

Because of the high frequency of IR episodes, we picked one particular IR episode featuring land-sea breeze to examine in detail the evolution of aerosol components. Photochemical aging led to mode size shifting for sulfate and organics, indicating particle growth. Increases in the sulfur oxidation ratio and carbon oxidation state were also observed as the aerosols became more aged, which indicates that secondary inorganic species sulfate and SOA were very efficiently produced within six hours of photochemical aging. In the earlier stage of aging, "less-oxidized" SOA—SVOOA—was formed at a higher rate than "more-oxidized" SOA—LVOOA. SVOOA clearly transformed to LVOOA at the later stage of photochemical aging, resulting in a 20-fold increase in LVOOA. This conversion was further supported by mass spectral analysis, which showed an increase in the most oxidized ion (CO_2^+) and decreases in moderately oxidized ones ($C_2H_3O^+$, $C_3H_3O^+$ and $C_3H_5O^+$). With real-time size-resolved chemical composition data, we demonstrated that aerosol components can transform very efficiently in just a few hours, a process that is essential in understanding the dynamic nature of aerosol evolution during episodes with high PM concentrations.

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Tables:

Table 1 Synopsis of meteorological conditions of high PM episodes

Episode	Season	Date	Air mass origin	Wind speed ($m\ s^{-1}$)	Solar Irradiance ($w\ m^{-2}$)	Liquid water content ($\mu g\ m^{-3}$)	PM_1 ($\mu g\ m^{-3}$)	PM_1 Max ($\mu g\ m^{-3}$)	Type
E1	Spring	28-30 Apr	M-R[a]/NE[b]	0.7±0.4	41±67	47.1±15.9	25.5±3.1	33.1	LWC
E2	Spring	14-16 May	M-R/NE[b]	1.1±0.8	27±61	38.6±14.5	18.8±6.4	32.4	LWC
E3	Spring	27-29 May	M-R[a]/NE[b]	0.9±0.8	184±263	19.3±9.2	28.4±12.6	64.1	IR
E4	Summer	2 Sep	M-R[a]/NW[b]	0.5±0.4	111±163	20.0±3.1	22.5±6.1	33.7	IR
E5	Summer	20-24 Sep	M-R[a]/NE[b]	2.2±0.5	143±234	14.9±4.6	23.8±4.8	35.9	IR
E6	Autumn	3 Nov	M-R[a]/NE[b]	1.3±0.5	174±271	12.8±5.9	15.6±6.2	30.0	IR
E7	Autumn	13-15 Nov	M-R[a]/NE[b]	1.2±0.5	150±221	19.4±7.0	23.4±7.0	45.2	IR
E8	Winter	24-25 Nov	L-R[a]/NE[b]	1.6±0.5	112±174	14.1±6.6	25.9±6.2	38.6	LRT
E9	Winter	8 Feb	L-R[a]/N[b]	2.2±0.6	49±74	27.8±2.8	29.7±8.1	41.6	LRT
E10	Winter	18-19 Feb	L-R[a]/NE[b]	1.5±0.6	104±170	16.0±5.3	25.5±9.4	64.9	LRT

a: Range of air mass orgin: Medium range (M-R); Long range (L-R).
b: Direction of air mass origin: Northeast (NE); Northwest(NW); North (N).

Figures:

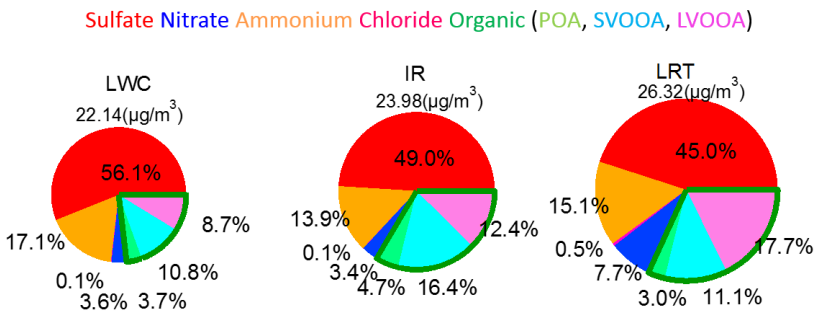


Figure 1 Chemical constituents NR-PM_1 in LWC, IR and LRT episodes. (LWC: medium-range transport with high LWC and low IR; IR: medium-range transport with high IR and low LWC; LRT: long-range transport)

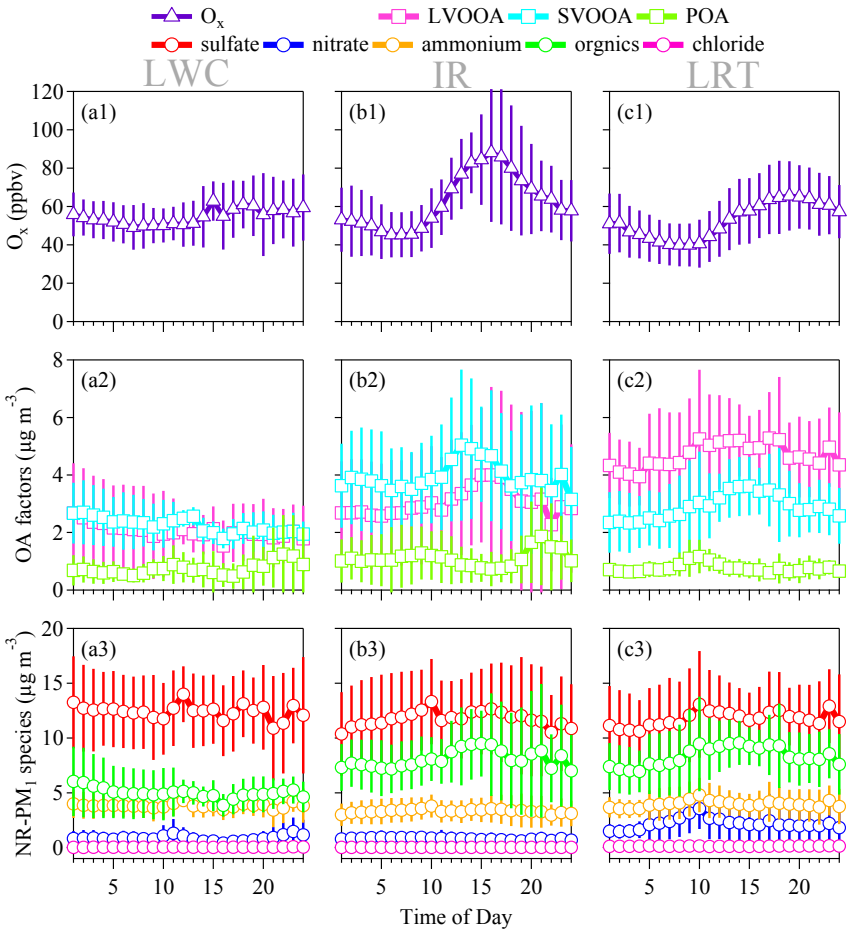


Figure 2 Summary of diurnal variations of the PM_1 species, PMF-resolved organics as well as O_x in the three types of episodes. Means are shown as points and standard deviations are as error bars.

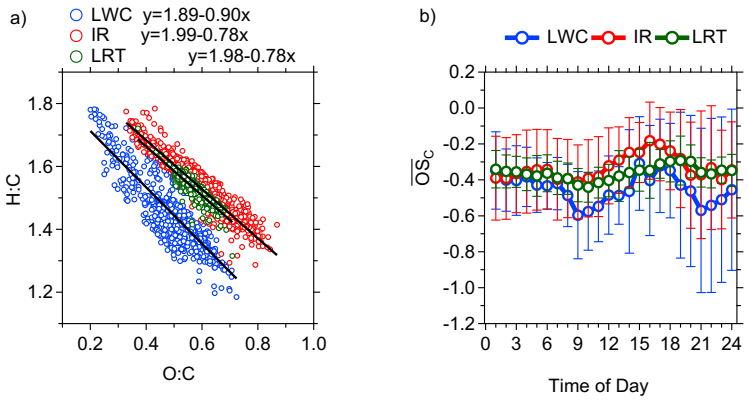


Figure 3 a) Van Krevelen diagram for the three types of episodes; b) diurnal variation of carbon oxidation state ($\overline{OS_c}$). Means appear as circles with superimposed standard deviations.

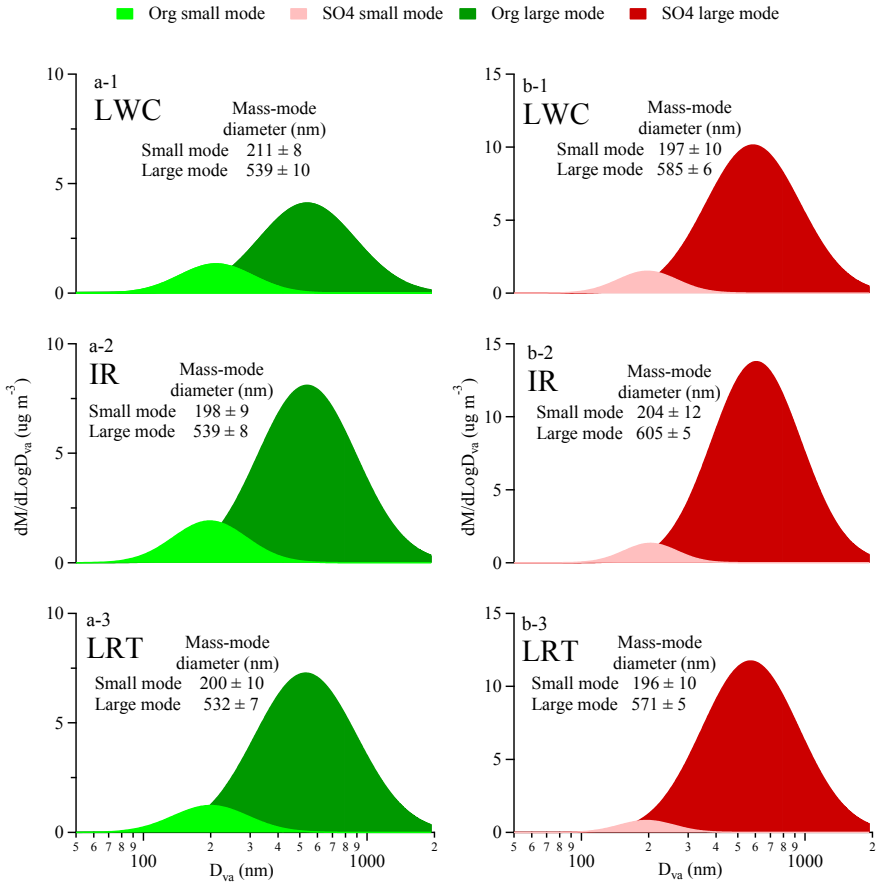


Figure 4 Bimodal log-normal fitting results of the size distributions of organics and sulfate during the three types of episodes. a) Fitted small particle size mode and large particle size mode of organics during LWC, IR and LRT episodes; b) fitted small particle size mode and large particle size mode of sulfate during LWC, IR and LRT episodes.

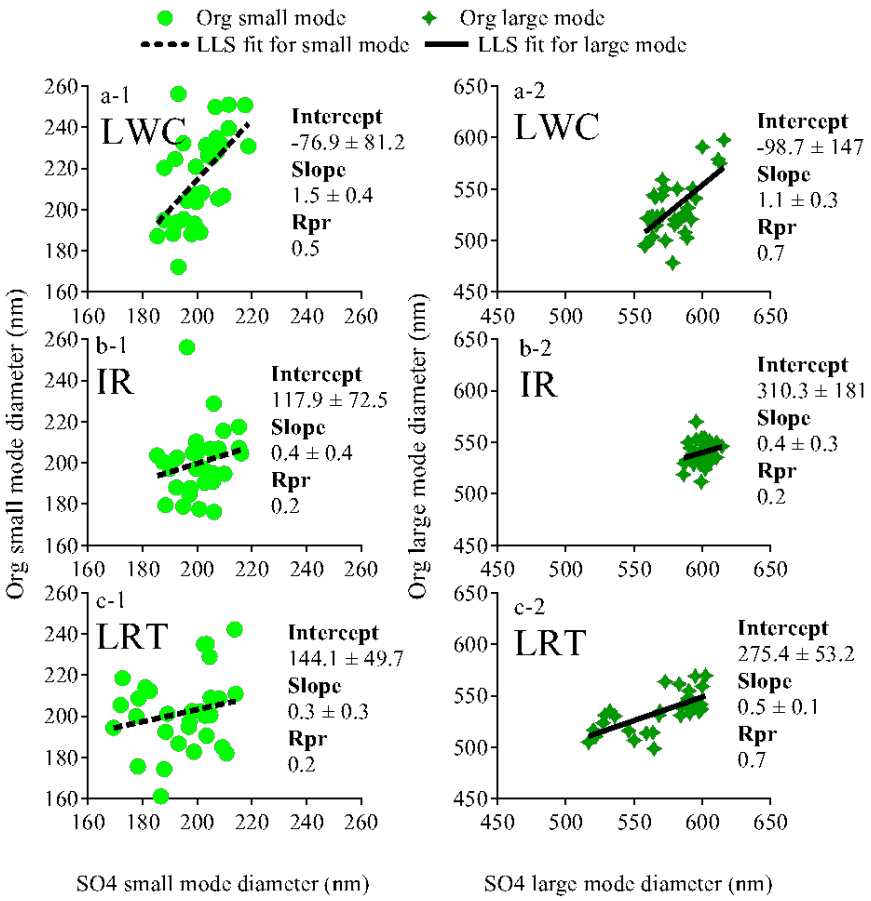


Figure 5 Scatter plots and linear least square fits of mass-mode diameters of organics and sulfate during the three different types of episodes. a1-a2) small and large mass-mode diameter of organics against sulfate during LWC episodes; b1-b2) small and large mass-mode diameter of organics against sulfate during IR episodes; c1-c2) small and large mass-mode diameter of organics against sulfate during LRT episodes.

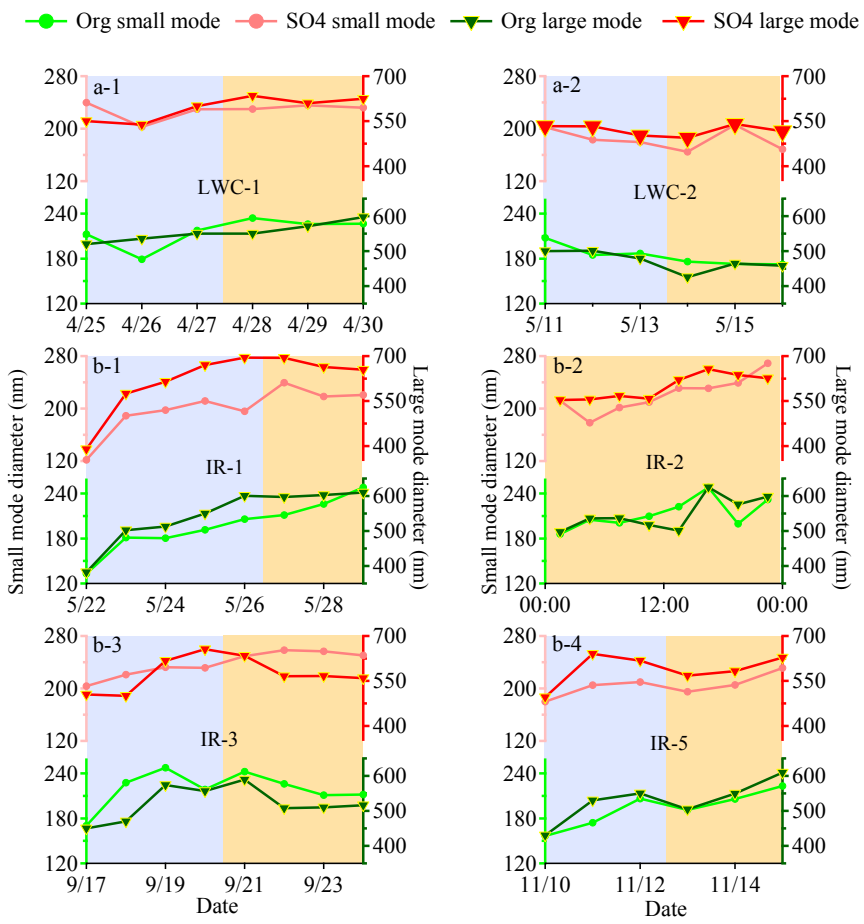


Figure 6 Variations of 24-hour averaged size distributions of fitted mass-mode diameters of organics and sulfates during LWC episodes and IR episodes (shaded in orange) and several days before each episode (shaded in blue). For the episode that lasted only for a day (E4), 3-hour averaged size distributions of fitted mass-mode diameters are shown instead. a) LWC episodes; b) IR episodes.

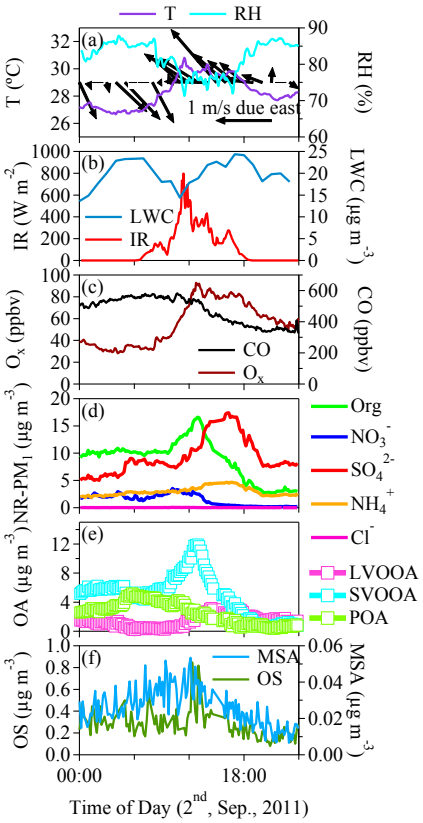
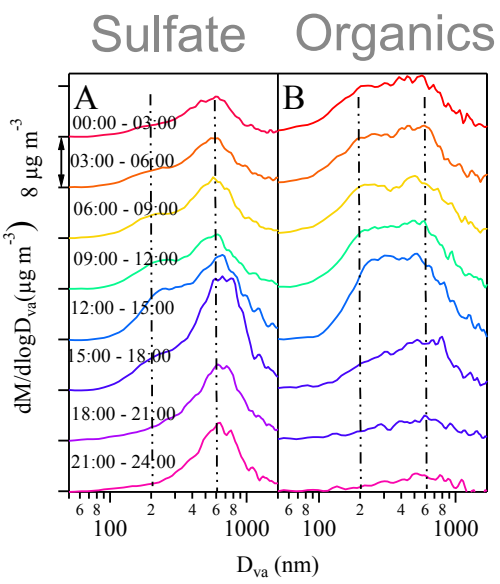


Figure 7 Time series of meteorological parameters, gaseous species, NR-PM1 species and PMF-resolved organic factors in E4.



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29 *Figure 8 Size distributions of sulfate (A) and organics (B) in different time intervals during E4.*

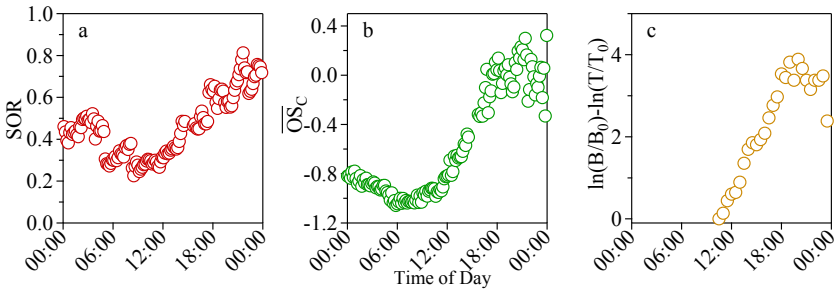

Figure 9 Oxidative evolution of aerosol components. a) Sulfur oxidation ratio (SOR); b) average carbon oxidation state $\overline{OS}_c$; c) benzene to toluene ratio (B: benzene concentration at time t; B0: benzene concentration at time 0; T: toluene concentration at time t; T0: toluene concentration at time 0).

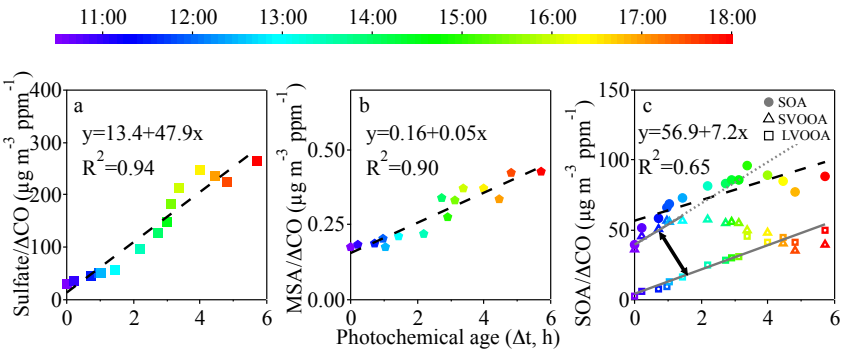

Figure 10 Photochemical production of secondary species. a)ΔCO-normalized sulfate concentration (SO4/ΔCO) as a function of photochemical age; b)ΔCO-normalized MSA concentration (MSA/ΔCO) as a function of photochemical age; and c)ΔCO-normalized secondary organic aerosol concentration (SOA/ΔCO, SOA(SVOOA+LVOOA)) as a function of photochemical age. Data points are colored by time of day. Data points represent half-hour averages.

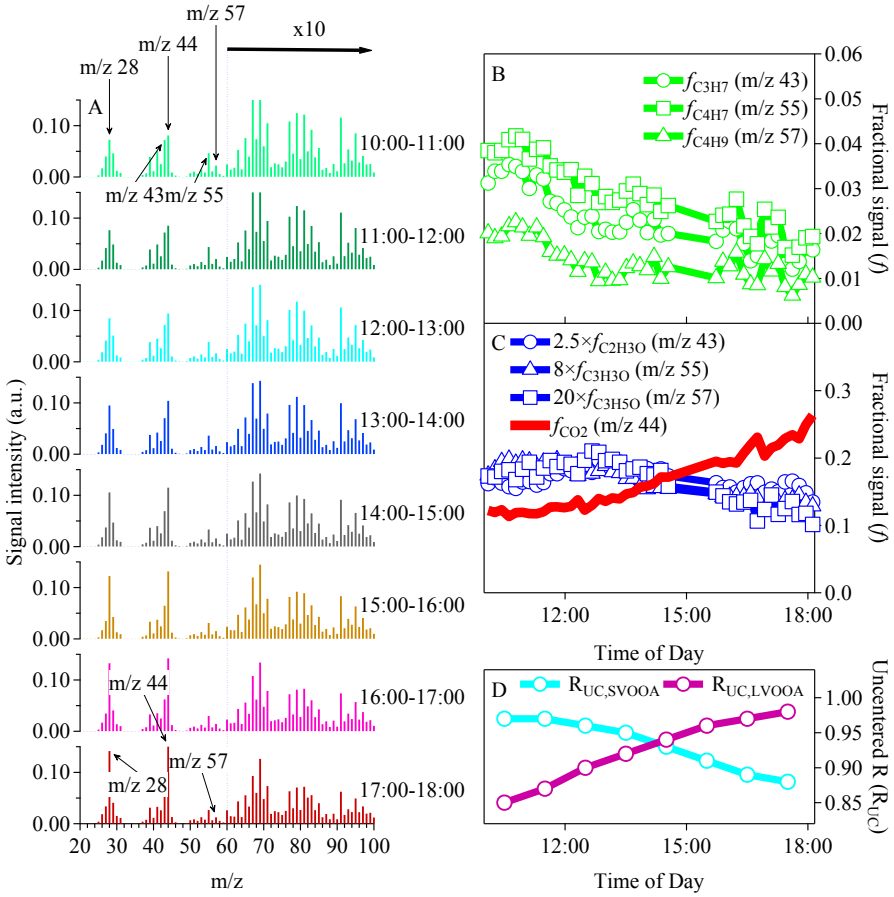

Figure 11 Evolution of high-resolution organic mass spectra from 10:00 to18:00 during the photochemical aging process in E4: a) mass spectral evolution; b) changes in relative intensities of hydrocarbon-like ions $C_3H_7^+$ (m/z 43), $C_4H_7^+$(m/z 55) and $C_4H_9^+$(m/z 57); c) changes in relative intensities of oxygen-containing ions: $C_2H_3O^+$(m/z 43), $C_3H_3O^+$(m/z 55), $C_3H_5O^+$(m/z 57) and CO_2^+(m/z 44); and c) correlation of OA mass spectra with reference (Mohr et al., 2012) *SVOOA and LVOOA mass spectra.*

Frequency of high PM1 episodes

As is apparent from Table 1, the occurrence of different types of episodes exhibits a seasonal trend. LWC episodes occurred only in spring and LRT episodes only in winter, while IR episodes took place in spring, summer and autumn. This result is consistent with previous results (Huang et al., 2009) in that the frequency of high PM days in Hong Kong had a strong seasonal variation. In winter, the overwhelming northerly wind brings pollutants via long-range transport (Fang et al., 1999). In spring, foggy days with high PM levels are common due to the moisture-laden air masses coming in from the sea and aqueous-phase processing of particulate species (Li et al., 2013). In summer, however, hazy days are mainly due to high photochemical activities in this subtropical area, resulting in the formation of secondary aerosols (Hu et al., 2008; Zhou et al., 2014).