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- 1 Argon offline-AMS source apportionment of organic
- 2 aerosol over yearly cycles for an urban, rural and marine
- 3 site in Northern Europe

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18

19 Abstract

- 20 The widespread use of Aerodyne aerosol mass spectrometers (AMS) has greatly improved
- 21 real-time organic aerosol (OA) monitoring, providing mass spectra that contain sufficient
- 22 information for source apportionment. However, AMS field deployments remain expensive
- and demanding, limiting the acquisition of long-term datasets at many sampling sites. The
- 24 offline application of aerosol mass spectrometry entailing the analysis of nebulized water
- 25 extracted filter samples (offline-AMS) increases the spatial coverage accessible to AMS
- 26 measurements, being filters routinely collected at many stations worldwide.
- 27 PM_1 (particulate matter with an aerodynamic diameter <1 μ m) filter samples were collected
- 28 during an entire year in Lithuania at three different locations representative of three typical

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- 1 environments of the South-East Baltic region: Vilnius (urban background), Rūgšteliškis (rural
- 2 terrestrial), and Preila (rural coastal). Aqueous filter extracts were nebulized in Ar, yielding
- 3 the first AMS measurements of water-soluble atmospheric organic aerosol (WSOA) without
- 4 interference from air fragments. This enables direct measurement of the CO+ fragment
- 5 contribution, whose intensity is typically assumed to be equal to that of CO₂⁺. Offline-AMS
- 6 spectra reveal that the water soluble CO₂⁺:CO⁺ ratio not only shows values systematically <1
- 7 but is also dependent on season, with lower values in winter than in summer.
- 8 AMS WSOA spectra were analyzed using positive matrix factorization (PMF), yielding 5
- 9 factors: traffic exhaust OA (TEOA), biomass burning OA (BBOA), local OA (LOA)
- 10 contributing significantly only in Vilnius, and two oxygenated OA (OOA) factors
- 11 distinguished by seasonal variability. AMS-PMF source apportionment results were
- 12 consistent with those obtained from PMF applied to marker concentrations (i.e. major
- 13 inorganic ions, OC/EC, and organic markers including polycyclic aromatic hydrocarbons and
- 14 their derivatives, hopanes, long-chain alkanes, monosaccharides, anhydrous sugars, and lignin
- 15 fragmentation products). OA was the largest fraction of PM₁ and was dominated by BBOA
- during winter with an average concentration of 2 μg m⁻³ (53% of OA), while summer-OOA
- 17 (S-OOA), probably related to biogenic emissions was the prevalent OA source during
- summer with an average concentration of 1.2 μg m⁻³ (45% of OM).
- 19 PMF ascribed a large part of the CO⁺ explained variability (97%) to the OOA and BBOA
- 20 factors. Accordingly we discuss a new CO⁺ parameterization as a function of CO₂⁺, and
- 21 C₂H₄O₂⁺ fragments, which were selected to describe the variability of the OOA and BBOA
- 22 factors.

23

1 Introduction

- 24 Atmospheric aerosols affect climate (Lohmann et al., 2004, Schwarze et al., 2006), human
- 25 health (Dockery et al., 2005, Laden et al., 2000), and ecosystems on a global scale.
- 26 Quantification and characterization of the main aerosol sources are crucial for the
- 27 development of effective mitigation strategies. The Aerodyne aerosol mass spectrometer
- 28 (AMS, Canagaratna et al., 2007) and aerosol chemical speciation monitor (ACSM, Ng et al.,
- 29 2011, Fröhlich et al., 2013) have greatly improved air quality monitoring by providing real-
- 30 time measurements of the non-refractory (NR) submicron aerosol (PM_1) components.
- 31 Analysis of organic mass spectra using positive matrix factorization (PMF, Paatero, 1997;
- 32 Paatero and Tapper, 1994) has enabled the quantitative separation of OA factors, which can

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1 be subsequently related to major aerosol sources and formation processes (e.g. Lanz et al.,

2 2007; Lanz et al., 2010; Zhang et al., 2011; Ulbrich et al., 2009; Elser et al., 2016 a). Despite

3 its numerous advantages, AMS field deployment remains expensive and demanding, and

4 therefore most of the studies are typically restricted to short-time periods and a single (or few)

5 sampling site(s). The limited amount of long-term datasets suitable for OA source

6 apportionment severely limits model testing and validation (Aksoyoglu et al., 2011;

7 Aksoyoglu et al., 2014; Baklanov et al., 2014), as well as for the development of appropriate

8 pollution mitigation strategies. AMS analysis of aerosol filter samples (Lee et al., 2011; Sun

9 et al., 2011; Mihara and Mochida, 2011; Daellenbach et al., 2016), which are routinely

10 collected at many stations worldwide, broadens the temporal and spatial scales available for

11 AMS measurements.

31

12 In this study we present the application of the offline-AMS methodology described by

13 Daellenbach et al. (2016) to yearly cycles of filter samples collected in parallel at three

14 different locations in Lithuania between September 2013 and August 2014. The methodology

15 consists of water extraction of filter samples, followed by nebulization of the liquid extracts,

16 and subsequent measurement of the generated aerosol by high-resolution time-of-flight AMS

17 (HR-ToF AMS). In this work, organic aerosol water extracts were nebulized in Ar, permitting

18 direct measurement of the CO⁺ ion (Fig. S1), which is typically not directly quantified in

19 AMS data analysis due to interference with N₂⁺, but is instead estimated as being equal to

 CO_2^+ (Aiken et al., 2008). Direct measurement of CO_2^+ better captures the variability in the

21 total OA mass and its elemental composition as well as potentially improving source

22 apportionment of ambient aerosol. Aerosol elemental ratios and oxidation state are of

23 particular relevance as they provide important constraints for understanding aerosol sources,

24 processes, and for the development of predictive aerosol models (Canagaratna et al., 2015).

25 Aerosol composition in the south-east Baltic region has so far received little attention. To our

26 knowledge the only investigation of OA sources in this area was during a five-day period of

27 intense land clearing activity occurring in the neighboring Russian enclave of Kaliningrad

28 (Ulevicius et al., 2015; Dudoitis et al., 2016), in which transported biomass burning emissions

29 dominated the aerosol loading. OA source contributions under less extreme conditions remain

30 unstudied, with the most relevant measurements performed in Estonia with a mobile lab

during March 2014 at two different locations (Elser et al., 2016b). On-road measurements

32 revealed large traffic contributions with an increase of 20% from rural to urban environments.

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- 1 Also, residential biomass burning (BB) and oxygenated OA (OOA) contributions were found
- 2 to be substantial.
- 3 In this study we present a complete source apportionment of the submicron OA fraction
- 4 following the methodology described by Daellenbach et al. (2016) in order to quantify and
- 5 characterize the main OA sources affecting the Lithuanian air quality. The three sampling
- 6 stations were situated in the Vilnius suburb (urban background), Preila (rural coastal
- 7 background), and Rūgšteliškis (rural terrestrial background), covering a wide geographical
- 8 domain and providing a good overview of the most typical Lithuanian and south-eastern
- 9 Baltic air quality conditions and environments. PMF analysis of offline-AMS measurements
- 10 are compared with the results reported by Ulevicius et al. (2015) and with PMF analysis of
- 11 chemical marker measurements obtained from the same filter samples.

12 2 Sampling and offline measurements

2.1 Site description and sample collection

- We collected 24-h integrated PM₁ filter samples at 3 different stations in Lithuania from 30
- 15 September 2013 to 2 September 2014 using 3 High-Volume samplers (Digitel DHA80, and
- 16 DH-77) operating at 500 L min⁻¹. The particulate matter was collected on 150-mm diameter
- 17 quartz fiber filters (Pallflex Tissuquartz 2500QAT-UP / pure quartz, no binder) pre-baked at
- 18 800°C for 8 h. Filter samples were wrapped in pre-baked aluminum foils (400°C for 6 h),
- 19 sealed in polyethylene bags and stored at -20°C after exposure. Field blanks were collected
- and stored following the same procedure.
- 21 Sampling was conducted at urban (Vilnius), rural terrestrial (Rūgšteliškis) and rural coastal
- 22 (Preila) monitoring sites (Fig. 1). The rural terrestrial site of Rūgšteliškis serves as a baseline
- 23 against which urban-specific sources in the major population center of Vilnius can be
- 24 compared. The rural coastal site of Preila provides an opportunity to distinguish terrestrial and
- 25 marine sources.
- 26 The sampling station in Vilnius is located at the Center for Physical Sciences and Technology
- 27 campus (54°38' N, 25°10' E, 165 m a.s.l.) 12 km southwest of the city center (population:
- 28 535000) and is classified as an urban background site. The site is relatively far from busy
- 29 roads, and surrounded by forests to the north/northeast, and by a residential zone to the
- 30 south/east. It is ca. 350 km distant from the Baltic coast, and 98 km from the Rūgšteliškis
- 31 station (Fig. 1).

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- 1 The station in Preila (55°55' N, 21°04' E, 5 m a.s.l.) is a representative rural coastal
- 2 background site, situated in the Curonian Spit National Park on the isthmus separating the
- 3 Baltic Sea from the Curonian Lagoon. The monitoring station is located <100 m from the
- 4 Baltic shore. The closest populated area is the village of Preila (population: 200 inhabitants),
- 5 located 2 km to the south.
- 6 The rural terrestrial station of Rūgšteliškis (55°26' N and 26°04' E, 170 m a.s.l.) is located in
- 7 the eastern part of Lithuania, about 350 km from the Baltic Sea. The site is surrounded by
- 8 forest and borders the Utenas Lake in the southwest. The nearest residential areas are
- 9 Tauragnai, Utena (12 km and 26 km west of the station, population: 32000 inhabitants) and
- 10 Ignalina (17 km southeast of the station, population: 6000 inhabitants).

11 2.2 Offline-AMS analysis

- 12 The term offline-AMS will be used herein to refer to the methodology described by
- 13 Daellenbach et al. (2016) and summarized below. For each analyzed filter sample, four 16-
- 14 mm diameter filter punches were subjected to ultrasonic extraction in 15 mL of ultrapure
- water (18.2 MΩ cm at 25°C, total organic carbon (TOC) < 3 ppb) for 20 min at 30°C.
- 16 The choice of water instead of an organic solvent is motivated by two arguments:
- Water yields the lowest background and hence the highest signal to noise compared to other highly pure solvents (including methanol, dichloromethane and ethyl acetate).
- In contrast to the water extraction, the use of organic solvents precludes the quantification of the organic content in the extracts (e.g. by using a total OC analyzer),
- 21 which in turn prevents a quantitative source apportionment.
- 22 Liquid extracts were then filtered and atomized in Ar (≥99,998 % Vol. abs., Carbagas, CH-
- 23 3073 Gümligen, Switzerland) using an Apex Q nebulizer (Elemental Scientific Inc., Omaha
- 24 NE 68131 USA) operating at 60°C. The resulting aerosol was then dried by passing through a
- 25 Nafion drier (Perma Pure, Toms River NJ 08755 USA), and subsequently analyzed by a HR-
- 26 ToF-AMS. 12 mass spectra per filter sample were collected (AMS V-mode, m/z 12-232, 30 s
- 27 collection time per spectrum). A measurement blank was recorded before and after each
- 28 sample by nebulizing ultrapure water for 12 minutes. Field blanks were measured following
- 29 the same extraction procedure as the collected filter samples, yielding a signal not statistically
- 30 different from that of nebulized milliQ water. Finally we registered the AMS fragmentation

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- 1 spectrum of pure gaseous CO₂ (≥99,7 % Vol, Carbagas, CH-3073 Gümligen, Switzerland), in
- 2 order to derive its CO₂⁺:CO⁺ ratio.
- 3 Offline-AMS analysis was performed on 177 filter samples in order to determine the bulk
- 4 water-soluble organic matter (WSOM) mass spectral fingerprints. In total, 63 filters from
- 5 Rūgšteliškis, 42 from Vilnius, and 71 from Preila were measured in Ar. The reader is referred
- 6 to DeCarlo et al. (2006) for a thorough description of the AMS operating principles and
- 7 calibration procedures.
- 8 HR-ToF-AMS analysis software SQUIRREL (SeQUential Igor data RetRiEvaL, D. Sueper,
- 9 University of Colorado, Boulder, CO, USA) v.1.53G and PIKA (Peak Integration by Key
- 10 Analysis) v.1.11L for IGOR Pro software package (Wavemetrics, Inc., Portland, OR, USA)
- 11 were utilized to process and analyze the AMS data. HR analysis of the AMS mass spectra was
- 12 performed in the m/z range 12-115.

13 **2.3 Supporting measurements**

- 14 Additional offline analyses were carried out in order to validate and corroborate the offline-
- 15 AMS source apportionment results. This supporting dataset was also used as input for PM₁
- source apportionment as discussed below. The complete list of the measurements performed
- 17 can be found in Table 1 and Table S1. Briefly, major ions were measured by ion
- 18 chromatography (IC; Jaffrezo et al., 1998); elemental and organic carbon (EC, OC) were
- 19 quantified by thermal optical transmittance following the EUSAAR2 protocol (Cavalli et al.,
- 20 2010); water-soluble OC (WSOC) was measured by water extraction followed by catalytic
- 21 oxidation and non-dispersive infrared detection of CO₂ using a total organic carbon analyzer
- 22 (Jaffrezo et al., 2005). Organic markers were determined by gas chromatography-mass
- 23 spectrometry (GC-MS; Golly et al., 2015); high performance liquid chromatography (HPLC)
- 24 associated with a fluorescence detector (LC 240 Perkin Elmer) and HPLC-pulsed
- amperometric detection (PAD; Waked et al., 2014) for 67 composite samples. Composites
- 26 were created merging two consecutive filter samples, but no measurements are available for
- 27 Vilnius during summer. Measurements included 18 polycyclic aromatic hydrocarbons
- 28 (PAHs), alkanes (C21-C40), 10 hopanes, 13 methoxyphenols, 13 methyl-PAHs (Me-PAHs), 6
- 29 sulfur-containing-PAHs (S-PAHs), 3 monosaccharide anhydrides, and 4 monosaccharides
- 30 (including glucose, mannose, arabitol, and mannitol). In this work ion concentrations always
- 31 refer to the IC measurements.

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- 1 Table 1. Overview of supporting measurements. A complete list of measured compounds can
- 2 be found in table S1.

Analytical Method	Measured compounds	Filters measured	
IC (Jaffrezo et al., 1998)	Ions	All	
Thermal optical transmittance using Sunset Lab Analyzer (Birch and Cary, 1996) using EUSAAR2 protocol (Cavalli et al., 2010)	EC/OC	All	
TOC analyzer using persulphate oxidation at 100°C of the OM, followed by CO ₂ quantification with a non-dispersive infrared spectrophotometer (Jaffrezo et al., 1998)	WSOC	All	
HPLC associated with fluorescence detector (LC 240 Perkin Elmer) (Golly et al., 2015, Besombes et al., 2001)	PAHs (table S1)	67 composite samples	
GC-MS (with and without derivatization step) (Golly et al., 2015)	S-PAHs, Me-PAHs, alkanes, hopanes, methoxyphenols, others	67 composite samples	
HPLC-PAD, (Waked et al., 2014)	Anhydrous sugars, sugars alcohols, monosaccharides	67 composite samples	
Chemiluminescence (Environnement S.A., Model AC31M)	NO_x	Online (Vilnius only)	

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- 1 In the following, subscripts avg, and med will denote average and median values,
- 2 respectively.

3 Source apportionment

- 4 Positive matrix factorization (PMF, Paatero and Tapper, 1994) is a bilinear statistical model
- 5 used to describe the variability of a multivariate dataset as the linear combination of a set of
- 6 constant factor profiles and their corresponding time series, as shown in Eq. (1):

$$x_{i,j} = \sum_{z=1}^{p} (g_{i,z} \cdot f_{z,j}) + e_{i,j}$$
 (1)

- 8 Here x, g, f, and e denote elements of data, factor time series, factor profiles and residual
- 9 matrices, respectively, while subscripts i,j and z are indices for time, measured variables, and
- 10 factor number. The value p represents the total number of factors chosen for the PMF
- 11 solution. The PMF algorithm iteratively solves Eq. (1) by minimizing the objective function
- 12 Q, defined in Eq. (2) Only non-negative $g_{i,z}$ and $f_{z,j}$ values are permitted:

$$Q = \sum_{i} \sum_{j} \left(\frac{e_{i,j}}{s_{i,j}} \right)^{2} \tag{2}$$

- Here the $s_{i,j}$ elements represent entries in the input error matrix.
- 15 In this work the PMF algorithm was run in the robust mode in order to dynamically
- downweigh the outliers. The PMF algorithm was solved using the multilinear engine-2 (ME-
- 17 2) solver (Paatero, 1999), which enables an efficient exploration of the solution space by a
- 18 priori constraining the $g_{i,z}$ or $f_{z,j}$ elements within a certain variability defined by the scalar a
- 19 $(0 \le a \le 1)$ such that the modelled $g_{i,z}$ and $f_{z,j}$ satisfy Eq. (3):

$$\frac{(1-a)f_{z,n}}{(1+a)f_{z,n}} \le \frac{f_{z,n'}}{f_{z,m'}} \le \frac{(1+a)f_{z,n}}{(1-a)f_{z,m}}$$
(3)

- 21 Here n and m are any two arbitrary columns (variables) in the normalized F matrix. The
- 22 Source Finder toolkit (SoFi, Canonaco et al., 2013, v.4.9) for Igor Pro software package
- 23 (Wavemetrics, Inc., Portland, OR, USA) was used to configure the ME-2 model and for post-
- 24 analysis. PMF analysis was applied to two complementary datasets: (1) organic mass spectra
- 25 from offline-AMS measurements for the apportionment of OM sources and (2) molecular
- 26 markers for the apportionment of the measured PM₁ mass. These two analyses are discussed
- 27 separately below.

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3.1 Offline-AMS PMF

- 2 In the following section we describe the offline-AMS source apportionment implementation,
- 3 optimization and uncertainty assessment. Briefly, we selected the number of PMF factors
- 4 based on residual analyses and solution interpretability; subsequently we explored the
- 5 rotational uncertainty of our source apportionment model and discarded suboptimal solutions
- 6 providing insufficient correlation of factor time series with external tracers. The offline-AMS
- 7 source apportionment returns the water soluble PMF factor concentrations. Daellenbach et al.
- 8 (2016) determined factor specific recoveries (including the extraction efficiencies), by
- 9 comparing offline-AMS and online-ACSM source apportionments. Applying these recoveries
- 10 enabled scaling the water soluble factor concentrations to the corresponding bulk OA
- 11 concentrations. A sensitivity analysis of these recoveries was reported in Section 3.1.3, and
- 12 the corresponding uncertainty was propagated to the source apportionment results.
- A second selection step was carried out on the rescaled solutions as described in section 3.1.3.
- 14 The offline-AMS source apportionment results presented in this study represent the average
- 15 of the retained rescaled PMF solutions, while their variability represents our best estimate of
- 16 the source apportionment uncertainty.

17 3.1.1 Inputs

- 18 The offline-AMS input matrices include in total 177 filter samples (62 filters from
- 19 Rūgšteliškis, 42 from Vilnius, and 73 from Preila). Each filter sample was represented on
- 20 average by 12 mass spectral repetitions to explore the effect of AMS and nebulizer stability
- 21 on PMF outputs. A corresponding measurement blank was subtracted from each mass
- 22 spectrum. The input PMF matrices included 269 organic fragments fitted in the mass range
- 23 (12-115). The input error $s_{i,j}$ elements include the blank variability $(\sigma_{i,j})$ and the uncertainty
- 24 related to ion counting statistic and ion-to-ion signal variability at the detector ($\delta_{i,i}$, Allan et
- 25 al., 2003; Ulbrich et al., 2009):

$$s_{i,j} = \sqrt{\delta_{i,j}^2 + \sigma_{i,j}^2}$$
 (4)

- We applied a minimum error to the $s_{i,j}$ matrix elements according to Ulbrich et al. (2009), and
- a down-weighting factor of 3 to all fragments with an average signal to noise lower than 2
- 29 (Ulbrich et al., 2009). Input data and error matrices were rescaled such that the sum of each
- 30 row is equal to the estimated WSOM concentration, which is calculated as the product of the

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- 1 measured WSOC multiplied by the OM:OC_i ratios determined from the offline-AMS PMF
- 2 results.
- 3.1.2 Overview of retrieved factors and estimate of traffic exhaust OA (TEOA)
- 4 We used a 4-factor solution to represent the variability of the input data. The 4 separated OA
- 5 factors included the following:
- 6 1/a biomass burning OA (BBOA) factor highly correlated with levoglucosan originating from
- 7 cellulose pyrolysis;
- 8 2/ a local OA (LOA) factor explaining a large fraction of N-containing fragments variability
- 9 and contributing mostly in Vilnius during summer and spring;
- 10 3/a background oxygenated-OA (B-OOA) factor showing relatively stable contributions at all
- 11 seasons;
- 12 4/ a summer-OOA (S-OOA) factor showing increasing concentrations with the average daily
- 13 temperature.
- 14 If the number of factors is decreased to 3, a mixed BBOA/B-OOA factor is retrieved, and
- 15 significant structure appears in the residuals during winter (Fig. S2, S3, S4). Increasing the
- 16 number of factors to 5 and 6, leads to a splitting of OOA factors that cannot be interpreted in
- 17 terms of specific aerosol sources/processes (Fig. S2, S3). The further separated OOA factor in
- 18 the 5-factor solution possibly derived from the splitting of B-OOA; in fact the sum of the
- 19 newly separated OOA and B-OOA in the 5-factor solution correlated well with the B-OOA
- 20 time series from the 4-factor solution (R = 0.93). Overall, a clear structure removal in the
- 21 residual time-series was observed until a number of factors equal to 4 (Fig. S4, S5).
- 22 We also explored a 5-factor solution in which a hydrocarbon-like OA (HOA) profile from
- 23 Mohr et al. (2012) to estimate the TEOA contribution. However, the water-soluble TEOA
- 24 (WSTEOA) contribution to WSOM was estimated as 0.2% avg (section 3.1.4), likely too small
- 25 for PMF to resolve. We performed 100 PMF runs by randomly varying the HOA a-value. The
- obtained results showed a low TEOA correlation with hopanes ($R_{\text{max}} = 0.25$, $R_{\text{min}} = -0.15$)
- 27 with 45% of the PMF runs associated with negative Pearson correlation coefficients,
- 28 supporting the hypothesis that this factor has too small a contribution to be resolved.
- 29 Therefore, we selected the 4-factor solution as our best representation of the data, while

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1 TEOA was instead estimated by a chemical mass balance (CMB) approach and not based on

2 AMS mass spectral features.

3 TEOA concentrations are estimated using a CMB approach that assumes hopanes, present in

4 lubricant oils engines, (Subramanian et al., 2006) to be unique tracers for traffic. However,

5 hopanes can also be emitted upon combustion of different types of fossil fuel, in particular by

6 coal combustion (Rutter et al., 2009), therefore the traffic contribution estimated here,

7 although very small (as discussed in the result section) should be considered as an upper

8 estimate. Still, the EC/hopanes ratio determined in this work (900±100) is consistent with

9 EC/hopanes for TE (1400±900: He et al., 2006; He et al., 2008; El Haddad et al., 2009; Fraser

et al., 1998) and not with the coal EC/hopanes from literature profiles (300±200: Huang et al.,

11 2014; supplementary information (SI)). To assess the traffic exhaust OC (TEOC) contribution

12 we used the sum of the four most abundant hopanes (17a(H),21b(H)-norhopane,

13 17a(H),21b(H)-hopane, 22S,17a(H),21b(H)-homohopane, and 22R,17a(H),21b(H)-

14 homohopane (hopanes_{sum})). The TEOC contribution was estimated from the average

hopanes_{sum}/TEOC ratio (0.0012±0.0005) from tunnel measurements reported by He et al.

16 (2006), He et al. (2008), El Haddad et al. (2009), and Fraser et al. (1998), where the four

17 aforementioned hopanes were also the most abundant. In order to rescale TEOC to the total

18 TEOA concentration we assumed an OM:OC_{TEOA} ratio of 1.2±0.1 (Aiken et al., 2008, Mohr

19 et al., 2012, Docherty et al., 2011, Setyan et al., 2012). The uncertainty of the estimated

20 TEOA concentration was assessed by propagating the uncertainties relative to the

21 OM:OC_{TEOA} ratio (8.3%), the hopanes_{sum}/TEOC ratio (41.7%), the hopane measurement

22 repeatability (11.5%), and detection limits (7 pg m⁻³).

23

24

26

3.1.3. Source apportionment uncertainty

25 A common issue in PMF is the exploration of the rotational ambiguity, here addressed by

performing 100 PMF runs initiated using different input matrices. We adopted a bootstrap

27 approach (Davison and Hinkley, 1997) to generate the new input data and error matrices

28 (Brown et al., 2015). Briefly, the bootstrap algorithm generates new input matrices by

29 randomly resampling mass spectra from the original input matrices. As already mentioned,

30 the input matrices contained ca. 12 mass spectral repetitions per filter sample; therefore the

31 bootstrap approach was implemented in order to resample random filter sample mass spectra

32 together with the corresponding measurement repetitions. Each newly generated PMF input

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- 1 matrix had a total number of samples equal to the original matrices (177 samples), although
- 2 some of the original 177 filter samples are represented several times, while others are not
- 3 represented at all. Overall we resampled on average 63±2% of the filter samples per bootstrap
- 4 run. The generated data matrices were finally perturbed by varying each $x_{i,j}$ element within
- 5 twice the corresponding uncertainty $(s_{i,j})$ assuming a normal distribution of the errors.
- 6 Solutions were selected and retained according to three acceptance criteria. Solutions were
- 7 selected and retained according to three acceptance criteria based on PMF factor correlations
- 8 with corresponding tracers: BBOA vs. levoglucosan, B-OOA vs. NH₄⁺, and S-OOA vs.
- 9 average daily temperature. In order to discard suboptimal PMF runs, we only retained
- solutions associated with positive Pearson correlation coefficients for each criterion, for both
- 11 the individual stations and the entire dataset. In total 95% of the solutions were retained
- 12 following this approach.
- 13 The offline-AMS PMF analysis provides the water-soluble contribution of the identified
- 14 aerosol sources. In order to rescale the water-soluble organic carbon concentration of a
- 15 generic factor z (WSZOC) to its total OC concentration (ZOC) we used the factor recoveries
- (R_Z) determined by Daellenbach et al. (2016) according to Eq. (5):

$$ZOC_i = \frac{WSZOC_i}{R_Z} \tag{5}$$

- 18 For each PMF factor (BBOA, W-OOA, and S-OOA), the water-soluble organic carbon
- 19 contribution was determined from the OM:OC ratio calculated from the (water-soluble) factor
- 20 mass spectrum (Aiken et al. 2008). For LOA, whose recovery was not previously reported,
- 21 R_{LOA} was estimated from a single parameter fit according to Eq. (6)

$$22 \qquad OC = TEOC + \frac{wsbboa}{(om/oc)_{wsbboa} \cdot R_{bboa}} + \frac{wsw-ooa}{(om/oc)_{wss-ooa} \cdot R_{ooa}} + \frac{wss-ooa}{(om/oc)_{wsb-ooa} \cdot R_{ooa}} + \frac{wsloa}{(om/oc)_{loa} \cdot R_{loa}}$$
(6)

- 23 For each of the 95 retained PMF solutions, Eq. (6) was fitted 100 times by randomly selecting
- 24 a set of 100 R_{BBOA}, R_{OOA} value combinations from those determined by Daellenbach et al.
- 25 (2016). Each fit was initiated by perturbing the input OC_i and $TEOC_i$ within their
- 26 uncertainties, assuming a normal distribution of the errors. In order to explore the effect of
- 27 possible bulk extraction efficiency (WSOC/OC) systematic measurement biases on our R_Z
- estimates, we also perturbed the OC, WSOC, R_{BBOA} , and R_{OOA} (Daellenbach et al., 2016)
- 29 inputs. Specifically, we assumed an estimated accuracy bias of 5% for each of the perturbed
- 30 parameters, which corresponds to the OC and WSOC measurement accuracy. In total $9.5 \cdot 10^3$
- 31 fits were performed (Eq. 6) and we retained only solutions with average OC residuals not

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- 1 statistically different from 0 within 1σ for each station individually and for summer and
- 2 winter individually (\sim 8% of the 9.5·10³ fits, Fig. S6). The OC residuals of the accepted
- 3 solutions did not manifest a clear correlation with the LOA concentration (Fig. S7), indicating
- 4 that the estimated R_{LOA} was properly fitted, without compensating for unexplained variability
- of the PMF model or biases from the other R_z . R_z distributions shown in Fig. S8 accounted for
- 6 all uncertainties and biases mentioned above. $R_{LOA,med}$ was estimated to be equal to 0.66 (1st
- quartile 0.61, 3^{rd} quartile 0.69, Fig. S8), while the retained R_{BBOA} and R_{OOA} values ($R_{BBOA,med}$
- 8 0.57, 1^{st} quartile 0.55, 3^{rd} quartile 0.60; $R_{OOA,med}$ 0.84, 1^{st} quartile 0.81, 3^{rd} quartile 0.88) were
- 9 systematically lower than those reported by Daellenbach et al. (2016), reflecting the lower
- bulk extraction efficiency (bulk EE = WSOC/OC) measured for this dataset (median = 0.59,
- 11 1^{st} quartile = 0.51, 3^{rd} quartile = 0.72 vs. median = 0.74, 1^{st} quartile = 0.66, 3^{rd} quartile 0.90 in
- 12 Daellenbach et al. (2016)). All the retained R_k combinations are available at DOI:
- 13 doi.org/10.5905/ethz-1007-53.
- Source apportionment uncertainties ($\sigma_{S.A.}$) were estimated for each sample i and factor z as the
- 15 standard deviation of all the retained PMF solutions (~8% of the 9.5·10³ fits). In addition to
- 16 the rotational ambiguity of the PMF model (explored by the bootstrap technique) and R_Z
- 17 uncertainty, each PMF solution included on average 10 repetitions for each filter sample, and
- 18 hence $\sigma_{S.A.}$ accounted also for measurement repeatability. In this work, the statistical
- 19 significance of a factor contribution is calculated based on $\sigma_{S.A.,z,i}$ (Tables S2 and S3).

20 3.1.4. Sensitivity of PMF to the un-apportioned TEOA fraction

- 21 Despite representing only a small fraction, the un-apportioned water-soluble TEOA
- 22 (WSTEOA) contribution could in theory affect the apportionment of the other sources in the
- 23 PMF model. To assess this, we performed a PMF sensitivity analysis by subtracting the
- 24 estimated WSTEOA concentration from the input PMF data matrix, and by propagating the
- estimated WSTEOA uncertainty (section 3.1.2) in the input error matrices. To estimate the
- WSTEOA concentration we assumed R_{TEOA} of 0.11±0.01 (Daellenbach et al., 2016) and we
- 27 used the HOA profile reported by Mohr et al. (2012) as surrogate for the TEOA mass spectral
- 28 fingerprint. This approach is equivalent to constraining both the WSTEOA time series and
- 29 factor profile. Overall the WSTEOA contribution to WSOM was estimated as 0.2% avg,
- 30 making a successful retrieval of WSTEOA unlikely (Ulbrich et al., 2009). Consistently, PMF
- 31 results obtained from this sensitivity analysis indicated that BBOA and B-OOA were robust,
- 32 showing only 1% difference from the average offline-AMS source apportionment results,

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1 with BBOA increased and B-OOA decreased. S-OOA and LOA instead showed larger

2 deviations from the average source apportionment results (S-OOA increased by 8% and LOA

3 decreased by 15%), yet within our source apportionment uncertainties. These results highlight

4 the marginal influence of the un-apportioned WSTEOA fraction on the other factors.

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3.2 Marker-PMF: measured PM₁ source apportionment 6

7 In the following section we describe the implementation of source apportionment using

8 chemical markers (marker-PMF), as well as its optimization and uncertainty assessment. We

9 discuss the number of factors and the selection of specific constraints to improve the source

10 separation. Subsequently we discuss the source apportionment rotational uncertainty, and the

11 sensitivity of our PMF results to the number of source specific markers, and to the assumed

12 constraints.

13 3.2.1 Inputs

The marker-PMF yields a source apportionment of the entire measured PM₁ fraction (organic 14 15 and inorganic). Measured PM₁ is defined here as the sum of EC, ions measured via IC, and 16 OM estimated from OC measurements multiplied by the (OM:OC)_i ratio determined from the 17 offline-AMS PMF results by summing the factor profiles OM:OC ratios weighted by the time 18 dependent factor relative contributions (rescaled by the recoveries). PMF was used to analyze 19 a data matrix consisting of selected organic molecular markers, ions measured by IC, EC, and 20 the remaining OM fraction (OMres) calculated as the difference between OM and the sum of

21 the organic markers already included in the input matrix. The marker-PMF analysis is limited 22

by the lack of elemental measurements (e.g. metals and other trace elements) typically used to

identify mineral dust and certain anthropogenic sources. All markers showing concentrations

above the detection limits for more than 25% of the samples were selected as input variables (72 in total). The PMF input matrices contain 67 composite samples (31 for Rūgšteliškis, 29

for Preila, and 7 for Vilnius). The errors $(s_{i,j})$ were estimated by propagating for each j 26

27 variable the detection limits (DL) and the relative repeatability (RR) multiplied by the $x_{i,j}$

28 concentration according to Eq. (7) (Rocke and Lorenzato, 1995):

29
$$s_{i,j} = \sqrt{(DL_j^2 + (x_{i,j} \cdot RR_{i,j})^2)}$$
 (7)

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1 3.2.2 Number of factors and constraints

- 2 We selected a 7-factor solution to explain the variability of the measured PM_1 components.
- 3 The retrieved factors were biomass burning (BB), traffic exhaust (TE), primary biological
- 4 organic aerosol (PBOA), SO_4^{2} -related secondary aerosol (SA), NO_3 -related SA, methane
- 5 sulfonic acid (MSA)-related SA, and a Na⁺-rich factor explaining the variability of inorganic
- 6 components typically related to resuspension of mineral dust, sea salt, and road salt.
- 7 We first tested an unconstrained source apportionment. This led to a suboptimal separation of
- 8 the aerosol sources, with large mixings of PMF factors associated with contributions of
- 9 markers originating from different sources. In particular we observed mixing of BB markers
- 10 (e.g. levoglucosan) with fossil fuel combustion markers such as hopanes, as well as with
- 11 inorganic ions such as NO₃ and Ca²⁺. All these markers, although related to different
- 12 emission/formation processes, are characterized by similar seasonal trends, i.e. higher
- 13 concentrations during winter than in summer. Specifically, the BB tracers increase during
- 14 winter because of domestic heating activity, hopanes presumably because of the accumulation
- in a shallower boundary layer and lower photochemical degradation, NO₃ because of the
- partitioning into the particle phase at low temperatures, and Ca²⁺ because winter was the
- 17 windiest season and therefore was associated with the most intense resuspension.
- We subsequently exploited the markers' source-specificity to set constraints for the profiles
- 19 output by our model: for each individual source, we treated the contribution of the unrelated
- 20 source-specific markers as negligible (e.g. we assumed that TE, SA, Na-rich factor and PBOA
- do not contribute to levoglucosan). In contrast, the non-source specific variables were freely
- 22 apportioned by the PMF algorithm. In a similar way we set constraints for primary markers
- 23 (e.g. K⁺ and Ca²⁺) and combustion related markers (e.g. PAHs), which are not source-specific
- 24 but the contribution of which can be considered as negligible in the SA factors. In this case
- 25 the algorithm can freely apportion these markers to all the primary factors and combustion-
- 26 related factors, respectively.
- 27 In details, EC, PAHs, and methyl-PAHs were constrained to zero in non-combustion sources,
- 28 i.e. all profiles but TE and BB. While EC could partially derive from dust resuspension,
- 29 literature profiles for this source suggest an EC contribution below 1% (Chow et al., 2003).
- 30 This is expected to be also the case here given the distance of the three stations from
- 31 residential areas and busy roads. Methoxyphenols and sugar anhydrides, considered to be
- 32 unique BB markers, were constrained to zero in all sources but BB. Similarly, hopanes were

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1 constrained to zero in all factors but TE. We also assumed no contribution from glucose,

2 arabitol, mannitol, and sorbitol to all secondary factors, and traffic exhaust. The SO₄²-

3 contribution from primary traffic emissions was estimated to be negligible, given the use of

4 desulfurized fuel for vehicles in Lithuania. Likewise, alkane contributions were assumed to be

5 zero in the SA factors, similar to the contribution of Ca²⁺, Na⁺, K⁺ and Mg²⁺ in the SA factors

6 and TE.

7 The number of factors was increased until no mixing between source-specific markers for

8 different aerosol sources/processes was observed any more. Secondary sources instead were

9 explained by three factors because of the distinct seasonal and site-to-site variability of MSA,

 10 NO_3^- and SO_4^{2-} . Oxalate correlated well with NH_4^+ (R=0.62) and the latter well with the sum

of SO_4^{2-} and NO_3^{-} equivalents (R=0.98). Note that the aforementioned secondary tracers were

not constrained in any factor with the exception of SO_4^{2-} contributions which were assumed to

13 be negligible in the TE factor. Moreover the 7-factor solution showed unbiased residuals

14 (residual distribution centered at 0 within 1σ) for all the stations together and for each station

15 individually, while lower order solutions showed biased residuals for at least one station or all

16 the stations together.

17 PMF results obtained assuming only the aforementioned constraints returned suboptimal

apportionments of OM_{res} and Na⁺ between the BB and the Na⁺-rich factor, with unusually

19 high OM_{res} fractional contributions in the Na⁺-rich factor and unusually high Na⁺

20 contributions in the BB profile in comparison with literature profiles (Chow et al., 2003;

Huang et al., 2014 and references therein; Schauer et al., 2001). Similarly the EC/OM_{res} value

22 for TE was substantially lower than literature profiles (El Haddad et al., 2013 and references

23 therein). Other constraints were therefore introduced to improve the separation of these three

24 variables. Specifically, EC and OM_{res} were constrained in the traffic profile to be equal to

25 0.45 and 0.27 (a-value = 0.5) according to El Haddad et al. (2013), while EC was constrained

26 to 0.1 (a-value = 1) in the BB profile according to Huang et al. (2014) and references therein.

27 Na⁺ was constrained to 0.2% (a-value = 1) in BB according to Schauer et al. (2001), while

28 OM_{res} was constrained to zero in the Na⁺-rich factor to avoid mixing with BB. Although this

29 represents a strict constraint, we preferred avoiding constraining OM_{res} to a specific value for

30 the Na⁺-rich factor which could not be linked to a unique source but possibly represents

31 different resuspension-related sources (e.g. sea salt, mineral dust and road dust). However, we

32 expect none of the aforementioned sources to explain a large fraction of the submicron OM_{res}

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1 (the OC:dust ratio for dust profiles is 1-15% according to Chow et al., 2003). The sensitivity

2 of our source apportionment to the constraints listed in this section is discussed in the next

3 section.

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5 3.2.3. Source apportionment uncertainty and sensitivity analyses

6 We explored the model rotational uncertainty by performing 20 bootstrap PMF runs, and by

7 perturbing each input $x_{i,j}$ element within $2 \cdot s_{i,j}$ assuming a normal distribution of the errors.

8 Results and uncertainties of the PMF model reported in this paper represent the average and

9 the standard deviation of the bootstrap runs.

We tested the sensitivity of our solution to the constraints listed in section 3.2.2. All the constraints assuming variable contributions equal to zero were loosened, assuming for each variable a contribution equivalent to 50%, 37.5%, 25%, and 12.5% of its average relative contribution to measured PM₁. In all cases the a-value was set to 1. As expected, results showed better agreement with the fully constrained solution in the cases of stronger constraints, meaning that the highest agreements were observed for the 12.5% case both in terms of mass balance and factor time-series correlations (Fig. S9). The average factor concentrations for the 12.5% case and the fully constrained average bootstrap PMF solutions were not statistically different (confidence interval of 95%). Statistically significant differences arose for the of the SO_4^{2-} -related SA in the 50% and 37.5% cases, and the Na⁺rich factor in the 25% and 37.5% cases, indicating that loosening the constraints allowed additional rotational uncertainty in comparison to the uncertainty explored by the bootstrap approach. By contrast, the factors associated with large relative uncertainties from the marker source apportionment (TE and PBOA, Table S3) showed the best agreement in terms of concentrations (Fig. S9) with the fully constrained solution, suggesting that the variability introduced by loosening the constraints did not exceed that already accounted for by the bootstrap approach. As previously mentioned, the largest contribution discrepancies were observed for the SO₄²-related SA and Na⁺-rich factor. Looser constraints increased the explained variability of primary components such as EC, arabitol, sorbitol, K⁺, Mg²⁺, and Ca²⁺ by the (secondary) SO₄²⁻-related SA factor. The Na⁺-rich factor showed increasing contributions from OM_{res} and from BB components such as methoxyphenols, and anhydrous sugars, which exhibited similar seasonal trends as the Na⁺-rich factor. None of the marker-PMF factors showed statistically different average contributions (confidence interval of 95%)

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1 when tolerating a variability of the constrained variables within 12.5% of their relative

2 contribution to PM₁. Note that with this degree of tolerance the contribution of OM to the

3 Na⁺-rich was 28%, which is unrealistically high compared to typically reported values for

4 OM:dust ratios (<15% Chow et al., 2003). Therefore, we consider the fully constrained PMF

5 solution to represent best the average composition of the contributing sources.

6 The marker-PMF source apportionment depends strongly on the input variables (i.e. measured

markers), as these are assumed to be highly source specific. That is, minor sources, such as

8 MSA-related SA and PBOA, are separated because source-specific markers were used as

9 model inputs. Meanwhile, more variables were used as tracers for TE and BB

10 (methoxyphenols (5 variables), sugar anhydrides (3 variables), and hopanes (5 variables)),

which gives more weight to these specific sources. We explored the sensitivity of the PMF

results to the number and the choice of traffic and wood burning markers, by replacing them

13 with randomly selected input variables. In total 20 runs were performed and the average

14 contribution of the different sources to OM_{res} was compared with the marker source

15 apportionment average results, where bootstrap was applied to resample time points. Results

displayed in Fig. S10 are in agreement the apportionment of OM_{res} from BB within $11\%_{avg}$,

highlighting its robustness. The agreement for TE was lower, which is not surprising given

18 the lower contribution of this source and the smaller number of specific markers (hopanes).

19 However, these uncertainties were within the marker source apportionment uncertainty (Fig.

20 S10), implying that the results were not significantly sensitive to the number and the choice of

21 input markers for BB and traffic exhaust.

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4 Results and Discussion

4.1 PM₁ composition

25 An overview of the measured PM₁ composition can be found in Fig. 1. Measured PM₁

26 average concentrations were in general low, with lower values detected at the rural terrestrial

27 site of Rūgšteliškis (5.4 μg m⁻³ avg) than in Vilnius (6.7 μg m⁻³ avg) and Preila (7.0 μg m⁻³ avg).

28 OM represented the major fraction of measured PM₁ for all seasons and stations, with 57% avg

29 of the mass. The average OM concentrations were higher during winter (4.2 μg m⁻³) than in

30 summer (3.0 μg m⁻³) at all sites probably to a combination of domestic wood burning activity

31 and accumulation of the emissions in a shallower boundary layer. For similar reasons, EC

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average concentrations showed higher values during winter (0.42 µg m⁻³) than in summer 1 (0.25 μg m⁻³). During summer, the average EC concentration was ~5 times higher in Vilnius 2 (0.54 μg m⁻³) than in Preila and Rūgšteliškis (0.12 and 0.11 μg m⁻³, respectively), indicating 3 4 an enhanced contribution from combustion emissions. In the absence of domestic heating 5 during this period, a great part of these emissions may be related to traffic. During winter, EC 6 concentrations were comparable at all sites (only 25% higher in Vilnius than in Preila and 7 Rūgšteliškis). This suggests that a great share of wintertime EC may be related to BB, the 8 average contribution of which is significant at all stations within 3σ (table S2). It should be 9 noted that the highest measured PM₁ concentrations were detected at the remote rural coastal 10 site of Preila during three different pollution episodes. In particular, the early March episode 11 corresponded to the period analyzed by Ulevicius et al. (2015) and Dudoitis et al. (2015), and was attributed to regional transport of polluted air masses associated to an intense land 12 clearing activity characterized by large scale grass burning in the neighboring Kaliningrad 13 region. SO_4^{2-} represented the second major component of measured PM_1 (20% med) at all sites 14 and seasons. Its average concentration remained rather constant with only slightly higher 15 concentrations in summer than in winter (1.2±0.7 µg m⁻³, and 1.1±0.6 µg m⁻³ respectively). 16 Overall SO₄²⁻ concentrations did not show large differences from site-to-site, suggestive of 17 18 regional sources. By contrast NO₃ showed a clear seasonality with larger contributions in winter (average 0.9±0.8 µg m⁻³ equivalent to 12% of measured PM₁) than in summer 19 (0.03±0.03 μg m⁻³), as expected from its semi-volatile nature. 20

4.2 OM source apportionment (Offline-AMS PMF)

- 22 The apportioned PMF factors were associated to aerosol sources/processes according to their
- 23 mass spectral features, seasonal contributions and correlations with tracers. The four
- 24 identified factors were BBOA, LOA, B-OOA, and S-OOA, which are thoroughly discussed
- 25 below. The TEOA contributions instead were determined using a CMB approach.
- 26 BBOA was identified by its mass spectral features, with high contributions of C₂H₄O₂⁺, and
- 27 C₃H₅O₂⁺ (Fig. 2), typically associated with levoglucosan fragmentation from cellulose
- 28 pyrolysis (Alfarra et al., 2007), accordingly the BBOA factor time series correlated well with
- 29 levoglucosan (Pearson correlation coefficient: R=0.90, Fig. S11). BBOA contributions were
- 30 higher during winter and lower during summer (Fig. 3a). We determined the biomass burning
- 31 organic carbon (BBOC) concentration from the BBOA time series divided by the

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- 1 OM:OCBBOA ratio determined from the corresponding HR spectrum. The winter
- 2 levoglucosan/BBOC ratio was 0.16_{med}, consistent with values reported in continental Europe
- 3 for ambient BBOC profiles (Zotter et al., 2014; Minguillón et al., 2011; Herich et al., 2014).
- 4 The second factor was defined as LOA because of its statistically significant contribution
- 5 (within 3σ) only in Vilnius during summer (table S2), in contrast to other potentially local
- 6 primary (e.g. BBOA) and secondary (S-OOA) sources which contributed at all sites. The
- 7 LOA mass spectrum was characterized by a high contribution of N-containing fragments
- 8 (especially $C_5H_{12}N^+$, and $C_3H_8N^+$), with the highest N:C ratio (0.049) among the apportioned
- 9 PMF factors (0.029 for BBOA, 0.013 for S-OOA, 0.023 for B-OOA). A similar factor was
- 10 also observed by Byčenkienė et al. (2016) using an ACSM at the same station. In that work,
- 11 high LOA concentrations were associated with wind directions from N-NW, and the authors
- 12 suggested the sludge utilization system of Vilnius (UAB Vilniausvandenys) situated 3.9 km
- 13 NW from the sampling station as a probable source.
- 14 Two different OOA sources (S-OOA and B-OOA) were resolved and exhibited different
- 15 seasonal trends. Separation and classification of OOA sources from offline-AMS is typically
- 16 different from that of online AMS and ACSM measurements, mainly due to the different time
- 17 resolution. Online-AMS OOA factors are commonly classified based on their volatility (semi-
- 18 volatile OOA and low-volatility OOA). This differentiation is typically achieved only for
- 19 summer datasets when the temperature gradient between day and night is sufficiently high,
- 20 yielding a detectable daily partitioning cycle of the semi-volatile organic compounds and
- 21 NO₃ between the gas and the particle phases. Online AMS datasets have higher time
- 22 resolution than filter sampling, but sampling periods typically cover only a few weeks.
- 23 Therefore the apportionment is driven by daily variability rather than seasonal differences. By
- 24 contrast, in the offline-AMS source apportionment, given the 24-h time resolution of the filter
- 25 sampling and the yearly cycle time coverage, the separation of the factors is driven by the
- 26 seasonal variability of the sources and by the site-to-site differences. Therefore, the offline-
- 27 AMS source apportionment separates factors by seasonal trends rather than volatility.
- 28 The resolved B-OOA factor explained a higher fraction than S-OOA. It was associated with
- 29 background oxygenated aerosols as no systematic seasonal pattern was observed. However,
- 30 B-OOA correlated well with NH₄⁺ (R=0.69, Fig. S11), and had the highest OM:OC ratio
- among the apportioned PMF factors (2.21).

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1 Unlike B-OOA, S-OOA showed a clear seasonality with higher contributions during summer,

2 increasing exponentially with the average daily temperature (Fig. S12a). During summer the

3 site-to-site S-OOA concentrations were not statistically different within a confidence interval

4 of 95%, while during winter the site-to-site agreement was lower, possibly due to the larger

5 model uncertainty associated with the low S-OOA concentrations. A similar S-OOA vs.

6 temperature relationship was reported by Leaitch et al. (2011) for a terpene dominated

7 Canadian forest using an ACSM and by Daellenbach et al. (2016) and Bozzetti et al. (2016)

8 for the case of Switzerland (Fig. S12b), using a similar source apportionment model. This

9 increase in S-OOA concentration with temperature is consistent with the exponential increase

10 in biogenic SOA precursors (Guenther et al., 2006). Therefore, even though the behavior of S-

11 OOA at different sites might be driven by several parameters, including vegetation coverage,

12 available OA mass, air masses photochemical age and ambient oxidation conditions (e.g. NO_x

13 concentration), temperature seems to be the main driver of S-OOA concentrations. Overall

14 more field observations at other European locations are needed to validate this relation. While

15 the results indicate a probable secondary biogenic origin of the S-OOA factor, the precursors

16 of the B-OOA factor are not identified. In section 4.4.2 more insights into the OOA sources

17 will be discussed.

18 The S-OOA profile showed a CO₂+/C₂H₃O⁺ ratio of 0.61_{avg}, placing it in the region of semi-

volatile SOA from biogenic emissions in the f44/f43 space (Ng et al., 2011), as attributed by

20 Canonaco et al. (2015). Despite the higher summer photochemical activity, the water-soluble

21 bulk OA showed more oxidized mass spectral fingerprints during winter (O:C=0.61_{avg}) than

22 in summer (O:C=0.55_{avg}), similar to the results presented by Canonaco et al. (2015) for

23 Zurich. Accordingly, the S-OOA profile also showed a less oxidized water-soluble mass

24 spectral fingerprint than B-OOA, with an O:C ratio of 0.40_{avg} , in comparison with 0.80_{avg} for

25 B-OOA. Considering the sum of B-OOA and S-OOA, the median OOA:NH₄⁺ ratios for

26 Rūgšteliškis, Preila, and Vilnius were 3.2, 2.4, and 2.5 respectively, higher than the average

27 but within the range of the values reported by Crippa et al. (2014) for 25 different European

rural sites (2.0_{avg}; minimum value 0.3; maximum 7.3).

29

30

4.3 PM₁ source apportionment (marker-PMF)

31 The PMF factors in this analysis were associated with specific aerosol sources/processes

32 according to their profiles, seasonal trends and relative contributions to the key variables. Fig.

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1 4 displays factor profiles, and the relative contribution of each factor to each variable. The

2 Na⁺-rich factor explained a large part of the variability of Ca²⁺, Mg²⁺, and Na⁺ (Fig. 4) and

3 showed higher contributions during winter than in summer, suggesting a possible

4 resuspension of sand and salt typically used during winter in Lithuania for road de-icing. This

5 seasonal trend is also consistent with wind speed, which showed the highest monthly values

6 during December 2013 and January 2014. We cannot exclude the possibility that this factor

7 may include contributions from sea salt, although Na⁺ and Cl⁻ were not enhanced at the

8 marine station in comparison with the other stations. The overall contribution of this Na⁺-rich

factor to measured PM_1 was relatively small ($1\%_{avg}$), but may be larger in the coarse fraction.

10 The BB factor showed a well-defined seasonality, with high contributions during winter. This

11 factor explained a large part of the variability of typical wood combustion tracers such as

12 methoxyphenols, sugar anhydrides (including levoglucosan, mannosan, and galactosan), K⁺,

13 Cl⁻, EC, PAHs, and methyl-PAHs (Fig. 4). Using the ratio (1.88) calculated from offline-

14 AMS, we estimated the levoglucosan:BBOC ratio to be 0.18_{avg}, which is within the range of

15 previous studies (Ulevicius et al., 2015 and references therein). Note that this factor explained

16 also large fractions of variables typically associated with non-vehicular fossil fuel

combustion, such as benzo(b)naphtho(2,1-d)thiophene (BNT[2,1]) and 6,10,14-trimethyl-2-

18 pentadecanone (DMPT, Fig. 4, Manish et al., 2007; Subramanian et al., 2007), indicating a

19 potential mixing of BB with fossil fuel combustion sources. However, the fossil fuel

20 combustion contribution to BB is unlikely to be large, considering the low concentrations of

21 fossil fuel tracers such as hopanes (66% of the samples below quantification limit (<QL)),

22 BNT[2,1] (64%<QL), and DMPT (55%<QL). Moreover, the above mentioned agreement of

23 the levoglucosan:BBOC ratio with previous studies corroborates the BB estimate from the

24 marker-PMF.

17

25 The traffic exhaust factor explained a significant fraction of the alkane variability, with a

26 preferential contribution from light alkanes (Fig. 4). Its contribution was statistically

27 significant within 1σ only for one filter collected in Vilnius. However on average the

28 concentration was higher in Vilnius than at the other stations and in general higher in winter

than in summer.

30 The PBOA factor explained the variability of the primary biological components, such as

31 glucose, mannitol, sorbitol, arabitol, and alkanes with an odd number of carbon atoms

32 (consistent with Bozzetti et al., 2016 and references therein). Highest PBOA concentrations

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- 1 were observed during spring, especially at the rural site of Rūgšteliškis. Overall the
- 2 contribution of this factor was uncertain with an average relative model error of 160%
- 3 probably due to the small PBOA contributions (0.6% avg of the total OM), which hampers a
- 4 more precise determination by the model. In particular OM_{res} was the variable showing the
- 5 highest mass contribution to the PBOA factor. However, the large contribution and the large
- 6 uncertainty of OM_{res} to this factor (0.3±0.4) resulted in a large uncertainty in the PBOA
- 7 estimated concentration.
- 8 The last three factors were related to SA, as indicated by the large contributions of secondary
- 9 species such as oxalate, SO_4^{2-} , MSA, and NO_3^{-} to the factor profiles (Fig. 4). The three factors
- showed different spatial and temporal contributions.
- 11 The NO₃-related SA exhibited highest contributions during winter, suggesting temperature-
- 12 driven partitioning of secondary aerosol components. Moreover the NO₃-related SA,
- 13 similarly to BB and TE, showed the highest concentrations in Vilnius, and the lowest in
- 14 Rūgšteliškis suggesting its possible relation with anthropogenic gaseous precursors (e.g.
- 15 NO_x).

28

- 16 The MSA-related SA factor manifested the highest concentrations at the marine site of Preila
- during summer, and in general larger contributions during summer than winter, suggesting its
- 18 relation with marine secondary aerosol. MSA has been reported to be related to marine
- 19 secondary biogenic emissions deriving from the photo-oxidation of dimethyl sulfide (DMS)
- 20 emitted by the phytoplankton bloom occurring during the warm season (Li et al., 1993,
- 21 Crippa et al., 2013 and references therein).
- 22 The last factor (SO₄²-related SA) showed higher contributions during summer than in winter
- 23 without clear site-to-site variability, following the seasonal behavior of SO_4^{2-} showing slightly
- 24 higher concentrations during summer than in winter, which is probably driven by the
- 25 secondary formation from gaseous photochemical reactions and aqueous phase oxidation.
- 26 This factor explained the largest part of the oxalate and SO_4^{2-} variability and represented
- $48\%_{avg}$ of the measured PM₁ by mass.

4.4 Comparison of the source apportionment methods

- 29 In this section we compare the offline-AMS PMF and marker-PMF results. We begin with
- 30 BBOA and TE emissions which were resolved by both approaches. The remaining OM
- 31 fraction (Other-OA) was apportioned by the offline-AMS source apportionment to B-OOA,

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- 1 S-OOA and LOA (Other-OA_{offline-AMS}). However, the LOA contribution was statistically
- 2 significant (within 3σ) only in Vilnius during summer (Table S2), while no data were
- 3 available for these periods from the marker source apportionment. The marker source
- 4 apportionment instead attributed the Other-OA mass fraction to 4 factors (Other-OA_{marker}):
- 5 PBOA, as well as to SO₄²⁻, NO₃⁻, and MSA-related secondary organic aerosols (SOA, Fig.
- 6 S13). The OA concentrations of the factors retrieved from the PM₁ markers source
- 7 apportionment were obtained by multiplying the factor time series by the sum of the organic
- 8 markers and OM_{res} contributions to the normalized factor profiles. The PM concentrations
- 9 from the marker PMF factors are displayed in Fig. 5.

10 4.4.1 Primary OA sources

- 11 Offline-AMS and marker source apportionments provided comparable BBOA estimates, with
- 12 concentrations agreeing within a 95% confidence interval (Fig. 6). Results revealed that
- 13 BBOA contributed the largest fraction to the total OM during winter in Preila and Vilnius,
- 14 while in Rūgšteliškis the largest OA source derived from B-OOA. The average winter BBOA
- 15 concentration was 1.1±0.8 μg m⁻³ in Rūgšteliškis and 2±1 μg m⁻³ in Vilnius (errors in this
- section represent the standard deviation of the temporal variability). Overall the average
- 17 BBOA concentrations were higher at the urban background site of Vilnius and lower at the
- 18 rural terrestrial site of Rūgšteliškis. Preila showed the highes values (3±3 μg m⁻³) driven by
- 19 the grass burning episode occurred at the beginning of March (Ulevicius et al., 2016).
- 20 Excluding this episode, the BBOA winter concentration was lower than in Vilnius (1.8 µg m
- 21 ³). During winter, Preila and Vilnius showed well correlated BBOA time series (*R*=0.91).
- 22 These results highlight the important role of regional meteorological conditions on the air
- 23 quality in the south east Baltic region.
- 24 By contrast, during summer BBOA concentrations were much lower, with 40% of the points
- 25 showing statistically not significant contributions within 3σ for the offline-AMS source
- apportionment and 100% for the marker source apportionment. Between late autumn and
- 27 early March the offline-AMS source apportionment revealed three simultaneous episodes
- 28 with high BBOA concentrations at the three stations, while the maker source apportionment
- 29 which is characterized by lower time resolution did not capture some of these episodes. The
- 30 first episode occurred between 19 and 25 December 2013 during a cold period with an
- 31 average daily temperature drop to -9.7 °C as measured at the Rūgšteliškis station (no

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1 temperature data were available for the other stations). The third episode occurred between 5

2 and 10 March 2014 and was associated with an intense grass burning episode localized mostly

3 in the Kaliningrad region (Ulevicius et al., 2015, Dudoitis et al., 2015, Mordas et al., 2016).

4 The episode was not associated with a clear temperature drop, with the highest concentration

5 (14 μg m⁻³) found at Preila on 10 March 2014, the closest station to the Kaliningrad region.

6 Similarly, at the beginning of February high BBOA concentrations were registered at the

7 three stations, without a clear temperature decrease. Other intense BBOA events were

8 detected but only on a local scale, with intensities comparable to the regional scale episodes.

9 Using the OM:OC_{BBOA} ratio calculated from the HR water-soluble BBOA spectrum (1.88),

10 we estimated the BBOC_{avg} concentrations during the grass burning episode (5-10 March

11 2014) to span between 0.8 and 7.2 µg m⁻³. On a daily basis our BBOC concentrations are

12 consistent with the estimated ranges reported by Ulevicius et al. (2015) for non-fossil primary

organic carbon, showing also a high correlation (*R*=0.98).

14 TEOA estimates obtained by offline-AMS and marker-PMF agreed well with each other, with

15 99% of the points being not statistically different within 1σ (Fig. 6). The two approaches

16 confirm that TEOA is a minor source at all three stations with on average higher

17 concentrations in Vilnius (up to 0.8 μg m⁻³), than in Preila and Rūgšteliškis (up to 0.2 μg m⁻³).

18 Hopane concentrations were below detection limits (7 pg m⁻³) for 66% of the collected

19 samples. TEOA, similarly to hopanes and NO_x, showed a clear spatial and seasonal variability

20 with higher concentrations in Vilnius during winter, suggesting an accumulation of traffic

21 emissions in a shallower boundary layer (Fig. 3b, NO_x data available only for Vilnius).

22 During the grass burning event, we observed a peak in the total hopane concentration, and

23 therefore also a peak of the estimated TEOA (2.4 μg m⁻³ maximum value). This relatively

24 high concentration is most probably not due to a local increase of TE, but rather due to a

25 regional transport of polluted air masses from neighboring countries (Poland and the Russian

26 Kaliningrad enclave). By assuming an OM:OC_{TEOA} ratio of 1.2±0.1 (Aiken et al., 2008, Mohr

27 et al., 2008, Docherty et al., 2011, Setyan et al., 2012), we determined the corresponding

organic carbon content (TEOC). Our TEOC concentration was consistent within 1σ with the

29 average fossil primary OC over the whole episode estimated by Ulevicius et al. (2015),

30 although on a daily basis the agreement was relatively poor.

31 Overall, the offline-AMS source apportionment and the marker-PMF returned comparable

32 results for TEOA and BBOA emissions, therefore not surprisingly the two approaches yielded

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- 1 OA concentrations also for the Other-OA fractions which agreed within 1σ for 90% of the
- 2 points (Figure 6). This agreement was better for Rūgšteliškis and Preila (94% and 90%,
- 3 respectively of the points not statistically different within 1σ), and worse for Vilnius (71% of
- 4 the points not statistically different within 1σ).

5 4.4.2 Other-OA sources: offline-AMS and marker-source apportionment

6 comparison

7 The marker-source apportionment, in comparison to the offline-AMS source apportionment

8 enables resolving well-correlated sources (e.g. BBOA and NO₃-related SOA) as well as

9 minor sources (e.g. MSA-related SOA and PBOA) because source-specific markers were

used as model inputs. By contrast, the offline-AMS source apportionment is capable of

resolving OA sources for which no specific markers were available such as LOA, which was

12 separated due to the distinct spatial and temporal trends of some N-containing AMS

13 fragments. We first briefly summarize the Other-OA factor concentrations and their site-to-

site differences retrieved by the two techniques; subsequently we compare the two source

15 apportionment results.

16 The Other-OA_{offline-AMS} factor time series are displayed in Fig. S13. The B-OOA factor

showed relatively stable concentrations throughout the year with 0.9±0.8_{avg} µg m⁻³ during

18 summer and 1.1±0.9_{avg} μg m⁻³ during winter. Although B-OOA concentrations were relatively

19 stable throughout the year, higher contributions were observed in Preila and Rūgšteliškis

20 compared to Vilnius. The extreme average seasonal concentrations were between 0.8 and 1.3

21 $\mu g \ m^{-3}$ at Rūgšteliškis during fall and winter, between 0.9 and 1.1 $\mu g \ m^{-3}$ at Preila during

22 spring and winter, and between 0.4 and 0.6 μg m⁻³ in Vilnius during summer and winter.

23 These values do not evidence clear seasonal trends, but highlight a site-to-site variability

24 which will be further discussed in the following. S-OOA instead was the largest contributor to

25 total OM during summer with an average concentration of 1.2±0.8 μg m⁻³, always agreeing

between sites within a confidence interval of 95% (2 tails t-test). By contrast, during winter

27 the S-OOA concentration dropped to an average value of 0.3±0.2 µg m⁻³, with 81% of the

28 points not statistically different from 0 μg m⁻³ within 3σ. Finally, the LOA factor showed

29 statistically significant contributions within 3σ only during summer and late spring in Vilnius.

30 Despite its considerable day-to-day variability this fraction contributed 1.0±0.8 μg m⁻³ avg in

31 Vilnius during summer.

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The markers source apportionment instead attributed 85% avg of the Other-OA_{marker} mass to the

2 SO₄²-related SOA, while NO₃-related SOA, MSA-related SOA, and PBOA explained

3 respectively 9%_{avg}, 5%_{avg} and 1%_{avg} of the Other-OA_{marker} mass (Fig. S13). The SO₄²-related

4 SOA average concentration was 2.4 μg m⁻³ during summer and 1.7 μg m⁻³ during winter with

5 no significant differences from station to station, suggesting a regional origin of the factor.

6 The NO₃-related SOA concentration was 0.4 μg m⁻³ avg during winter, only 0.03 μg m⁻³,

7 during summer, corresponding to 10% avg and 1% of the OA, respectively. Moreover, the NO₃-

8 -related SOA during winter showed the highest average concentrations in Vilnius with 0.5 μg

9 m⁻³ and the lowest in Rūgšteliškis with 0.3 μg m⁻³ avg. The MSA-related SOA instead

10 manifested the highest concentrations during summer with an average of 0.12 μg m⁻³ avg.

Higher values were observed during summer at the rural coastal site of Preila where the

average concentration was 0.28 µg m⁻³ avg corresponding to 10% avg of the OM. Finally, the

13 PBOA factor exhibited the largest seasonal concentrations during spring at the rural terrestrial

14 site of Rūgšteliškis with an average of 0.05 μg m⁻³_{avg}, while the summer average

15 concentration was 0.02 μg m⁻³ consistent with the low PBOA estimates reported in Bozzetti et

al. (2016) for the submicron fraction during summer.

17 Many previous studies reported a source apportionment of organic and inorganic markers

concentrations (Viana et al., 2008 and references therein). In these studies SO_4^{2-} , NO_3^{-} , and

NH₄⁺ were typically used as tracers for secondary aerosol factors commonly associated with

20 regional background and long-range transport; here we compare the apportionment of the

21 SOA factors obtained from the marker source apportionment and the OOA factors separated

22 by the offline-AMS source apportionment. Moreover, contrasting the two source

23 apportionments may provide insight into the origin of the OOA factors retrieved from the

offline-AMS source apportionment, and into the origin of the SOA factors resolved by the

25 offline-AMS source apportionment. To our knowledge an explicit comparison has not yet

been reported in the literature.

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Table 2: Pearson correlation coefficients between Other-OA components from offline-AMS and marker-source apportionment.

		Other-OA _{marker}				
		SO ₄ ²⁻ -related SOA	MSA-related SOA	NO ₃ -related SOA	PBOA	
Other-	LOA	0.33	0.16	-0.08	0.10	
OA _{offline} -	B-OOA	0.70	0.22	0.21	0.47	

S-OOA

0.60

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1 2 Table 2 reports the correlations between the time series of the Other-OA_{marker} factors and the 3 Other-OA_{offline-AMS} factors (Figs. 6 and S13). These correlations are mostly driven by seasonal 4 trends as none of these sources shows clear spikes except for LOA during summer in Vilnius. 5 Using the correlations coefficients we can identify the mostly related factors from the two 6 source apportionments. 7 The SO₄²-related SOA explained the largest fraction of the Other-OA_{marker} mass (85% avg), 8 and it was the only Other-OA_{marker} factor always exceeding the individual concentrations of B-OOA and S-OOA, indicating that the variability explained by the SO₄²-related SOA in the 9 10 marker-source apportionment is explained by both OOA factors in the offline-AMS source apportionment. Moreover, the SO_4^{2-} -related SOA seasonality seems consistent with the sum 11 12 of S-OOA and B-OOA with higher concentrations in summer than in winter. This observation 13 suggests that the OOA factors resolved by offline-AMS are mostly of secondary origin and 14 the SO₄²-related SOA, typically resolved by the markersource apportionment, explains the 15 largest fraction of the OOA factors apportioned by offline-AMS which includes both biogenic 16 SOA and aged background OA. 17 The NO₃-related SOA and the PBOA were mostly related to the B-OOA factor as they 18 showed higher correlations with B-OOA than with S-OOA. The B-OOA factor therefore may 19 explain a small fraction of primary sources (PBOA), which however represents only 0.6% avg 20 of the total OA. 21 The MSA-related SOA showed the highest correlation with the S-OOA factor, as the two 22 sources exhibited the highest concentrations during summer, although the MSA-related SOA 23 preferentially contributed at the rural coastal site of Preila. While we already discussed the 24 probable secondary biogenic origin of S-OOA, the correlation with the MSA-related SOA 25 suggests that the S-OOA factor, especially at the rural coastal site of Preila, explains also a

large fraction of the marine biogenic SOA. Assuming all the MSA-related SOA to be

explained by the S-OOA factor, we estimate a marine biogenic SOA contribution to S-OOA

of 27% avg during summer at Preila, while this contribution is lower at the other stations

(12% avg in Rūgšteliškis during summer, 7% in Vilnius during spring, no summer data for

Vilnius Fig. S13). As already mentioned, here we assume all the MSA-related SOA to be

related to marine secondary biogenic emissions, however other studies also report MSA from

0.45

-0.47

0.05

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- 1 terrestrial biogenic emissions (Jardine et al., 2015), moreover a certain fraction of the MSA-
- 2 related SOA can also be explained by the B-OOA factor. Overall these findings indicate that
- 3 the terrestrial sources dominate the S-OOA composition, nevertheless the marine SOA
- 4 sources may represent a non-negligible fraction, especially at the marine site.
- 5 Another advantage obtained in coupling the two source apportionment results is the
- 6 possibility to study the robustness of the factor analyses by evaluating the consistency of the
- 7 two approaches as we already discussed for the primary OA and Other-OA fractions. By
- 8 subtracting LOA and S-OOA from Other-OAmarker we can estimate the equivalent B-OOA
- 9 concentration from the marker source apportionment (B-OOA_{marker}). Unlike the B-OOA
- 10 factor from offline-AMS, whose contribution is lower at Vilnius, B-OOA_{marker} did not show
- statistically different concentrations at all stations within a confidence interval of 95%. This
- 12 discrepancy could indicate some PMF residual uncertainties or biases not considered in our
- 13 error estimate for offline-AMS and/or markers source apportionments for Vilnius, which
- could not be detected without coupling the 2 source apportionment approaches.

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4.5 fCO⁺ vs. fCO₂⁺

- Figure 7 displays the water-soluble fCO^+ vs. fCO_2^+ scatter plot. A certain correlation (R=0.63)
- is seen, with fCO⁺ values being systematically lower than fCO₂⁺ (CO²⁺:CO⁺: 1st quartile 1.50,
- 19 median 1.75, 3rd quartile 2.01), whereas a 1:1 CO₂⁺:CO⁺ ratio is assumed in standard
- 20 AMS/ACSM analyses (Aiken et al., 2008; Canagaratna et al., 2007). Comparing the measured
- 21 CO₂⁺:CO⁺ values for the bulk WSOM and for pure gaseous CO₂ might provide insight into
- 22 the origin of the CO⁺ fragment in the AMS. The fragmentation of pure gaseous CO₂ returned
- 23 a CO₂⁺:CO⁺ ratio of 8.21_{avg} which is significantly higher than our findings for the water-
- 24 soluble bulk OA (1.75_{med}) suggesting that the WSOM decarboxylation on the AMS vaporizer
- 25 represents only a minor source of CO⁺.
- 26 Figure 7b and Fig. 8 show that not only does the water-soluble (WS) CO₂⁺:CO⁺ ratio
- 27 systematically differ from 1, but it also varies throughout the year with higher CO₂⁺:CO⁺
- values associated with warmer temperatures (Fig. 7b). The lower CO₂⁺:CO⁺ ratios in winter
- are primarily due to BB, as the WSBBOA factor profile showed the lowest CO₂⁺:CO⁺ ratio
- 30 (1.20_{avg}) among all the apportioned WS factors (2.00_{avg}) for B-OOA, 2.70_{avg} for S-OOA, and
- 2.70_{avg} for LOA). We observed a seasonal variation of the CO_2^+ : CO^+ ratio also for the water-

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- 1 soluble OOA (S-OOA + B-OOA) mass spectral fingerprint. The CO₂⁺:CO⁺ ratio was slightly
- 2 lower for B-OOA than for S-OOA (2.00_{avg} for B-OOA, 2.70 for S-OOA). Nevertheless, given
- 3 the low S-OOA relative contribution during winter (Fig. 3), we note that the total OOA
- 4 showed a slightly lower CO₂⁺:CO⁺ ratio during winter than in summer (Fig. S14), indicating
- 5 that the OOA mass spectral fingerprint evolves over the year, possibly because of different
- 6 precursor concentrations, and different photochemical activity.
- 7 Fig. 7a shows that most of the measured {fCO⁺;fCO₂⁺} combinations lies within the triangle
- 8 defined by the BBOA, S-OOA and B-OOA {fCO+;fCO2+} combinations. The LOA factor
- 9 $\{fCO^+; fCO_2^+\}$ combination lies within the triangle as well, but is anyways a minor source and
- thus unlikely to contribute to the CO₂+/CO+ variability. We parameterized the CO+ variability
- as a function of the CO₂⁺, and C₂H₄O₂⁺ fragment variabilities using a multi-parameter fit
- 12 according to Eq. (8). CO_2^+ and $C_2H_4O_2^+$ were chosen as B-OOA and BBOA tracers,
- 13 respectively, with B-OOA and BBOA being the factors that explained the largest fraction of
- 14 the fCO⁺ variability (85% together).

$$CO^{+}_{i} = a \cdot CO_{2}^{+}_{i} + b \cdot C_{2}H_{4}O_{2}^{+}_{i}$$
 (8)

- Although this parameterization is derived from the WSOM fraction CO₂⁺, C₂H₄O₂⁺, and CO⁺
- 17 originate from the fragmentation of oxygenated, i.e. mostly water-soluble compounds.
- 18 Accordingly, this parameterization might also well represent the total bulk OA (as the offline-
- 19 AMS recoveries of these oxygenated fragments are relatively similar: $R_{CO_2^+}=0.74$,
- $R_{C_2H_4O_2^+}=0.61$, Daellenbach et al., 2016). Note that this parameterization may represent very
- 21 well the variation of CO⁺ in an environment impacted by BBOA and OOA, but should be
- 22 used with caution when other sources (such as COA) may contribute to CO⁺, CO₂⁺ and
- 23 C₂H₄O₂⁺. In order to check the applicability of this parameterization to a PMF output, we
- 24 recommend monitoring the CO₂⁺ and C₂H₄O₂⁺ variability explained by the OOA and BBOA
- 25 factors. In case a large part of the CO₂⁺ and C₂H₄O₂⁺ variability is explained by OOA and
- 26 BBOA, the parameterization should unlikely return uncertain CO⁺ values. The coefficients a
- and b of Eq. (8) were determined as 0.52 and 1.39 respectively, while the average fit residuals
- were estimated to be equal to 10% (Fig. S15). In contrast, parameterizing CO⁺ as proportional
- 29 to CO_2^+ only (as done in the standard AMS analysis scheme with coefficients updated to the
- 30 linear fit between CO⁺ and CO₂⁺ (1.75)) yielded 20%_{avg} residuals, indicating that such a
- 31 univariate function describes the CO⁺ variation less precisely.

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1 An alternative parameterization is presented in the SI in which the contribution of moderately oxygenated species (such as S-OOA) to CO⁺ was also considered by using C₂H₃O⁺ as an 2 3 independent variable. We show that the dependence of CO+ on C2H3O+ is statistically significant (Fig. 7b) as also suggested by the PMF results (S-OOA contributes 12% to the 4 CO^+ variability). However, the parameter relating CO^+ to $C_2H_3O^+$ is negative, because the 5 6 CO⁺:CO₂⁺ and CO⁺:C₂H₄O₂⁺ ratios are lower in moderately oxygenated species compared to 7 species present in BBOA and B-OOA. While this parameterization captures the variability of 8 CO⁺ across the seasons better compared to a 2-parameter fit for the present dataset, it may be 9 more prone to biases in other environments due to the known contributions of other factors to 10 C₂H₃O⁺ For example, cooking-influenced organic aerosol (COA) often accounts for a significant fraction of C₂H₃O⁺. For ambient datasets we propose the use of CO₂⁺ and C₂H₄O₂⁺ 11 only, which may capture less variation but is also less prone to biases. Although our results 12 suggest that the available CO+ and O:C estimates (Aiken et al., 2008; Canagaratna et al., 13 14 2015) may not well capture the CO⁺ variability, our CO⁺ parameterization should not be 15 applied to calculate the O:C ratios or recalculate the OA mass from AMS datasets, as those are calibrated assuming a standard fragmentation table (i.e. $CO_2^+ = CO^+$). 16 In a recent work, Canagaratna et al. (2015) reported the Ar nebulization of water soluble 17 18 single compounds to study the HR-AMS mass spectral fingerprints in order to improve the 19 calculation of O:C and OM:OC ratios. Following the same procedure, we nebulized a subset of the same standard compounds including malic acid, azalaic acid, citric acid, tartaric acid, 20 cis-pinonic acid, and D(+)-mannose. We obtained comparable CO_2^+ : CO^+ ratios (within 10%) 21 22 to those of Canagaratna et al. (2015) for all the analyzed compounds, highlighting the 23 comparability of results across different instruments. With the exception of some 24 multifunctional compounds, the water-soluble single compounds analyzed by Canagaratna et 25 al. (2015) mostly showed CO₂⁺:CO⁺ ratios <1, systematically lower than the CO₂⁺:CO⁺ ratios measured for the bulk WSOM in Lithuania (1st quartile 1.50, median 1.75, 3rd quartile 2.01), 26 which represents a large fraction of the total OM (bulk EE: median = 0.59, 1^{st} quartile = 0.51, 27 3^{rd} quartile = 0.72). This indicates that the selection of appropriate reference compounds for 28 29 ambient OA is non-trivial, and the investigation of multifunctional compounds is of high

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importance.

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1 5 Conclusions

2 PM₁ filter samples were collected over an entire year (November 2013 to October 2014) at

3 three different stations in Lithuania. Filters were analyzed by water extraction followed by

4 nebulization of the liquid extracts and subsequent measurement of the generated aerosol with

5 an HR-ToF-AMS (Daellenbach et al., 2016). For the first time, the nebulization step was

6 conducted in Ar, enabling direct measurement of the CO⁺ ion, which is typically masked by

7 N_2^+ in ambient air and assumed to be equal to CO_2^+ (Aiken et al., 2008). CO_2^+ : CO^+ values >1

8 were systematically observed, with a mean ratio of 1.7 ± 0.3 . This is likely an upper limit for

9 ambient aerosol, as only the water-soluble OM fraction is measured by the offline-AMS

technique. CO⁺ concentrations were parameterized as a function of CO₂⁺, and C₂H₄O₂⁺, and

11 this two-variable parameterization showed a superior performance to a parameterization based

on CO_2^+ alone, because CO^+ and CO_2^+ show different seasonal trends.

13 PMF analysis was conducted on both the offline-AMS data described above and a set of

14 molecular markers together with total OM. Biomass burning was found to be the largest OM

15 source in winter, while secondary OA was largest in summer. However, higher concentrations

16 of primary anthropogenic sources (traffic and biomass burning) were found at the urban

17 background station of Vilnius. The offline-AMS and marker-based analyses also identified

18 local emissions and primary biological particles, respectively, as factors with low overall but

19 episodically important contributions to PM. Both methods showed traffic exhaust emissions

20 to be only minor contributors to the total OM; which is not surprising given the distance of

21 the three sampling stations from busy roads.

22 The two PMF analyses apportioned SOA to sources in different ways. The offline-AMS data

23 yielded factors related to regional background (B-OOA) and temperature-driven (likely

24 biogenic-influenced) emissions (S-OOA), while the marker-PMF yielded factors related to

25 nitrate, sulfate, and MSA. For the offline-AMS PMF, S-OOA was the dominant factor in

26 summer and showed a positive exponential correlation with the average daily temperature,

27 similar to the behavior observed by Leaitch et al. (2011) in a Canadian boreal forest.

28 Combining the two source apportionment techniques suggests that the S-OOA factor includes

contributions from both terrestrial and marine secondary biogenic sources, while only small

30 PBOA contributions to submicron OOA factors are possible. The analysis highlights the

31 importance of regional meteorological conditions on air pollution in the southeastern Baltic

32 region, as evidenced by simultaneous high BBOA levels at the three stations during three

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- 1 different episodes in winter and by statistically similar S-OOA concentrations across the three
- 2 stations during summer.

3

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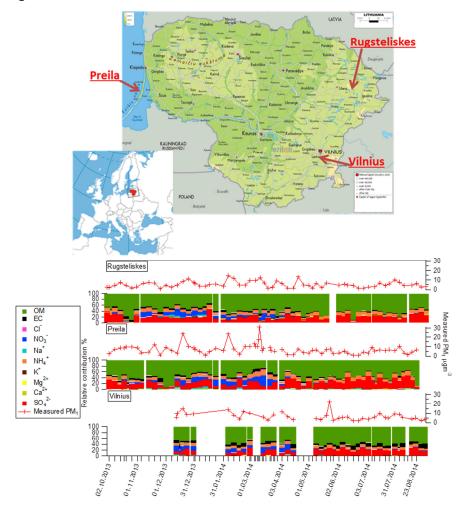
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Figures main text



3 Figure 1. Sampling locations, and measured PM₁ composition.

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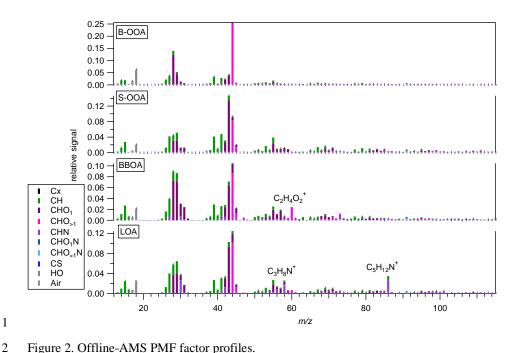
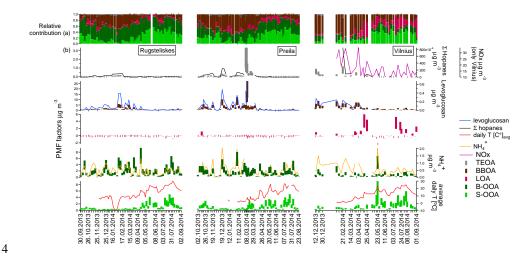


Figure 2. Offline-AMS PMF factor profiles.



5 Figure 3. a) Temporal evolutions of relative contributions to the OA factors; b) OA sources and corresponding tracers: concentrations and uncertainties (shaded areas). 6

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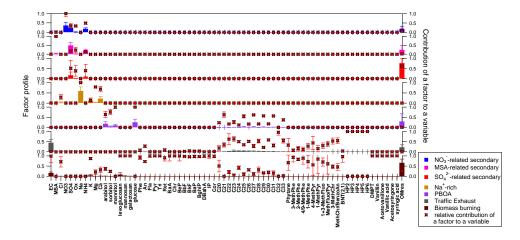
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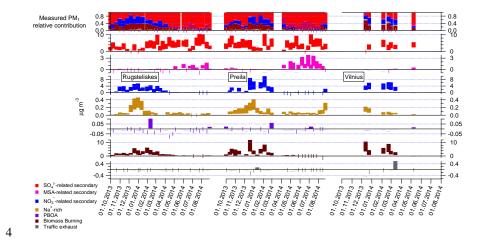
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- 2 Figure 4. Marker-PMF factor profiles (bars) and relative contributions of the factors to the
- 3 measured variables (symbols).



- 5 Figure 5. PM₁ marker source apportionment: factor time series and relative contributions.
- 6 Shaded areas indicate uncertainties (standard deviation) of 20 bootstrap runs.

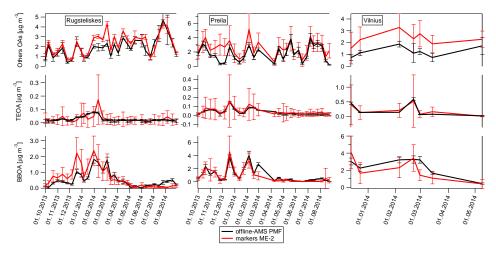
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2 Figure 6. Marker-PMF and offline-AMS OM source apportionment comparison.

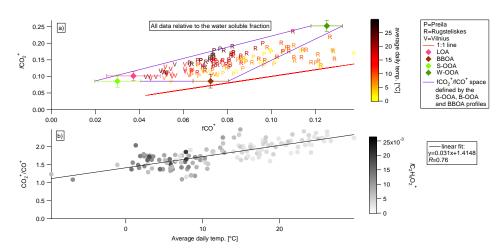


Figure 7. a) water-soluble fCO_2^+ vs fCO^+ scatter plot. Color code denotes the average daily temperature [°C], diamonds indicate the fCO_2^+/fCO^+ ratio for different PMF factor profiles. The 1:1 line is displayed in red. Few points from Rūgšteliškis lie outside the triangle, suggesting they are not well explained by our PMF model. However, Fig. S5 displays flat residuals for Rūgšteliškis, indicating an overall good WSOM explained variability by the model. b) Scatter plot of the water-soluble CO^{2+} to CO^+ ratio vs. average daily temperature. Grey code denotes $fC_2H_4O_2^+$.

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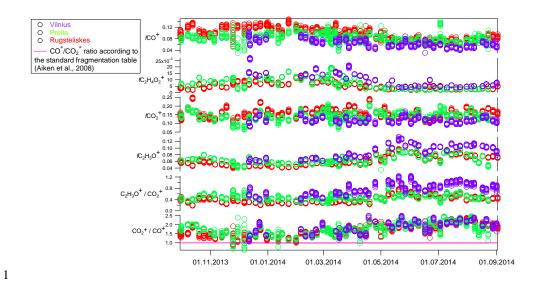


Figure 8. Time-dependent fractional contributions (*f*) of typical AMS tracers.