

Dear Sir:

Appended is our revised manuscript entitled “Comprehensive characterization of humic-like substances in smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials and fossil fuels” (acp-2016-397). My coauthors and I have significantly revised the manuscript according to the comments. We feel that the revised manuscript has much improved quality and more convincing evidence than the prior version.

Thank you and the two reviewers for your comments which we found greatly improve the quality of manuscript. The areas of the text revised were marked in red color. Moreover, we have explained how the comments and suggestions by the reviewer were addressed in the current version of the manuscript.

Please let me know if you have any question about our revised manuscript and thank you again for your assistance.

Looking forward to hearing from you.

Best regards,

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***Interactive comment on “Comprehensive characterization of humic-like substances in smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials and fossil fuels” by Xingjun Fan et al.***

Dear AC Duarte,

Thanks for your interests and helpful comments in our paper. We have carefully revised the manuscript according to the comments. A point-to-point response to the comments is given below. We hope we answered your questions adequately.

1. General comments

This comprehensive characterization of humic-like substances (HULIS) in smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials and fossil fuels becomes a highly recommendable work for publication since it is really “comprehensive” by using several spectroscopies besides elemental analysis. The authors avoid the discussion WSOC vs HULIS vs. WSOM (water soluble organic matter ) although the filter samples were ultrasonically extracted with Milli-Q water, the water soluble extracts were filtered, the filtrate was adjusted to a pH value of 2, and finally introduced in a SPE (Oasis HLB) cartridge. When comparing this HULIS isolation procedure with the WSOM isolation procedure suggested by (Duarte and Duarte, 2005) a comment should be made regarding the operational definition of HULIS as different sorbents extract different organic moieties as already shown exactly by the authors of this submitted paper for discussion (Fan et al., 2013).

Reply: Thanks for the comments. We have added some operational definitions and comments when comparing this HULIS isolation procedure with the WSOM isolation

procedure suggested by (Duarte and Duarte, 2005) and others in revised manuscript. In addition, the HULIS isolation procedures have been added in the Table 2, 3 and 4 of the revised manuscript. The revisions stated in our revised manuscript are as below:

Page 3, lines 9-10: “Their carbon (C) mass accounts for 9%–72% of the C content of water soluble organic matter (WSOM) in atmospheric aerosols (Feczko et al., 2007; Krivacsy et al., 2008; Lin et al., 2010b; Fan et al., 2012; Song et al., 2012).”

Page 3, line 20- Page 4, line 1: “HULIS fractions have been found in smoke particles emitted from the combustion of wood and leaves, the carbon content of HULIS (HULIS-C) make up 0.6–21.2% of the total mass of particles (Schmidl et al., 2008a; Schmidl et al., 2008b; Goncalves et al., 2010). HULIS accounted for 7.6–12.4% of the particle mass, and HULIS-C contributed approximate 14.3–14.7% and 30–33% of the organic carbon (OC) and the water soluble carbon (WSOC), respectively.”

Moreover, a comment regarding the operational definition of HULIS has been added. The revisions are as follow:

Page 7, lines 19- Page 8, lines 1: “It is noted that the eluates here represent the hydrophobic portion of WSOM and were named as water soluble HULIS. According to the literatures (Graber and Rudich, 2006; Zheng et al., 2013), these water soluble hydrophobic WSOM can be isolated with different SPE methods. In spite of some differences were observed among of them, these hydrophobic WSOM isolated with different sorbents are very similar in chemical compositions and properties according to our previous studies (Fan et al., 2012, 2013). Therefore, for better comparison with other studies, the hydrophobic WSOM isolated by SPE methods (i.e. HLB, C-18, DEAE, XAD-8) and other protocols (i.e. ELSD) are all termed as HULIS in this paper.”

The variety of materials studied, biomass materials (rice straw, corn straw, and pine branch) and fossil fuels (lignite coal and diesel fuel) allow substantiating the discussion and reach quite accurate and meaningful conclusions regarding the characterization of HULIS from biomass burning (BB) and HULIS from coal combustion. Furthermore, in addition to the smoke PM<sub>2.5</sub> samples from the BB and fuel combustion, ambient PM<sub>2.5</sub> samples were also collected during December, 2015, which allowed the authors to discuss similarities and differences between primary HULIS and atmospheric HULIS. Regarding the use of UV-Vis, fluorescence and <sup>1</sup>H-NMR spectroscopies for the characterization of properties of HULIS from atmospheric aerosols there are very recent references that also shed some light on this matter and they should be considered when discussing the results of the submitted paper. For the case UV-Vis spectroscopy there is a reference (Matos et al., 2015b) where the authors used comprehensive two-dimensional liquid chromatography (LCxLC) coupled with a diode array detector (DAD) in order to assessing the chemical heterogeneity and mapping the hydrophobicity vs. molecular weight distribution of the most hydrophobic acid fractions in WSOC (which the authors consider as water soluble organic matter, WSOM) from fine atmospheric aerosols collected over different seasons at a urban location. For the case of fluorescence spectroscopy there are two important recent references (Matos et al., 2015a; Paula et al., 2016). In the first reference (Matos et al., 2015a) the authors combine fluorescence datasets of excitation-emission matrices (EEM) fluorescence spectra and Parallel Factor Model (PARAFAC) with Alternating Least Squares (ALS) algorithm in order to further compare sets of excitation-emission matrices fluorescence spectra of WSOC and Alkaline Soluble Organic Carbon (ASOC), sequentially extracted from urban aerosols collected during different seasons. In the reference (Paula et al., 2016) the authors use a comprehensive multidimensional analysis approach for exploiting simultaneously the compositional changes over a molecular size continuum and associated light-absorption (ultraviolet absorbance and fluorescence) properties of WSOM and alkaline soluble organic matter (ASOM), sequentially extracted from urban aerosols collected during different seasons. For the case of <sup>1</sup>H-NMR

spectroscopy there are the following two references: a) (Lopes et al., 2015) where the authors applied  $^1\text{H}$  NMR spectroscopy to characterize the structural features of WSOC and ASOC, sequentially extracted, from fine urban atmospheric aerosols collected over different seasons, and further assess their sources through the pre-established  $^1\text{H}$  NMR source apportionment fingerprinting approach; and b) (Duarte et al., 2008) where the authors applied 2D NMR techniques to deliver new qualitative information on the substructures present in water soluble organic matter from fine rural atmospheric aerosols. Furthermore the following reference (Duarte and Duarte, 2015) should also be considered as an appropriate background on the application of NMR spectroscopy for acquiring detailed structural characterization of the complex natural organic matter contained in atmospheric aerosols.

Reply: Thanks a lot. We have revised the text according to the comments and added some recent references when discussing the results in revised manuscript. The revisions stated in our revised manuscript are as below:

Page 16, lines 22- Page 17, lines 2: “These results indicate that the primary HULIS contain more aromatic groups with conjugation of  $\pi$ -bonds alongside aliphatic structures. Similar characters were also found in many previous studies. For example, it has been found that HULIS fraction in colder season presented more aromatic structures than those in warmer season, of which the BB might be an important contribution of the former one (Baduel et al., 2010; Matos et al., 2015a, b; Paula et al., 2016).”

Page 20, lines 7-9: “The bands at 1458 and 1610  $\text{cm}^{-1}$ , which are generally attributed to the C–C stretching of aromatic rings (Watanabe and Kuwatsuka, 1992; Duarte et al., 2015), are observed in spectra of primary HULIS from direct combustion emissions.”

Page 21, lines 15-18: “A critical review on the application of  $^1\text{H}$  NMR spectroscopy on WSOM in atmospheric aerosols has been presented, in which  $^1\text{H}$  NMR was

demonstrated to be an important and novel tool to characterize WSOM, which can not only provide deeply insight into the structural characteristics of them but also reflect their sources (Duarte and Duarte, 2015).”

Page 21, lines 22- Page 22, lines 1: “The four types of primary HULIS displayed similar spectra to the atmospheric HULIS spectra in this study, which were also comparable to  $^1\text{H}$  NMR spectra of HULIS and/or WSOM in fog (Decesari et al., 2000), cloud (Decesari et al., 2005), rain water (Santos et al., 2009, 2012), biomass burning aerosols (Graham et al., 2002) and urban/rural aerosol (Decesari et al., 2007; Ziemba et al., 2011; Song et al., 2012; Fan et al., 2013; Lopes et al., 2015).”

Page 22, lines 9-11: “Among these sharp signals, a limited number of resonances could be attributed to specific organic species by comparison with previous studies (Decesari et al., 2000, 2001; Suzuki et al., 2001; Matta et al., 2003; Cavalli et al., 2006; Chalbot et al., 2014, 2016; Lopes et al., 2015)”

Page 22, line 24-Page 23, line 4: “This suggests that HULIS consists of a complex mixture of organic substances (Samburova et al., 2007; Song et al., 2012; Fan et al., 2013; Lopes et al., 2015). The integrated  $^1\text{H}$  NMR signal over specific ranges of chemical shift has been used previously to quantify the contribution of organic functional groups in HULIS from urban/rural aerosols (Song et al., 2012; Fan et al., 2013; Lopes et al., 2015) and rainwater (Miller et al., 2009; Santos et al., 2009, 2012).”

Page 23, lines 18-24: “These four functional groups have also been observed in the  $^1\text{H}$  NMR spectra of atmospheric HULIS in this and other studies, but the relative distribution of these four functional groups are different. Whether atmospheric HULIS in this work or in other studies from ambient aerosol (Song et al., 2012; Fan et al., 2013; Lopes et al., 2015) and rainwater (Miller et al., 2009; Santos et al., 2009, 2012) were all characterized by a predominance of [R-H] (37–60%), followed by [H–C–C=]

(20–37%) and [H–C–O] (10–24%), and a less contribution from [Ar–H] (1–12%).”

Page 24, Line 2-4: “This result is also consistent with the observations of more aromatic protons in HULIS in colder seasons ascribed to BB influence (Song et al., 2012; Lopes et al., 2015).”

We also added more information (red) in Table 6 in revised manuscript.

Some new references have been added in revised manuscript:

Duarte, R. M., and Duarte, A. C.: Unraveling the structural features of organic aerosols by NMR spectroscopy: a review, *Magn Reson Chem*, 53, 658-666, 10.1002/mrc.4227, 2015.

Duarte, R. M. B. O., Freire, S. M. S. C., and Duarte, A. C.: Investigating the water-soluble organic functionality of urban aerosols using two-dimensional correlation of solid-state <sup>13</sup>C NMR and FTIR spectral data, *Atmos. Environ.*, 116, 245-252, 10.1016/j.atmosenv.2015.06.043, 2015.

Lopes, S. P., Matos, J. T. V., Silva, A. M. S., Duarte, A. C., and Duarte, R. M. B. O.: <sup>1</sup>H NMR studies of water- and alkaline-soluble organic matter from fine urban atmospheric aerosols, *Atmos. Environ.*, 119, 374-380, 10.1016/j.atmosenv.2015.08.072, 2015.

Matos, J. T. V., Freire, S. M. S. C., Duarte, R. M. B. O., and Duarte, A. C.: Natural organic matter in urban aerosols: Comparison between water and alkaline soluble components using excitation–emission matrix fluorescence spectroscopy and multiway data analysis, *Atmos. Environ.*, 102, 1-10, <http://dx.doi.org/10.1016/j.atmosenv.2014.11.042>, 2015a.

Matos, J. T. V., Freire, S. M. S. C., Duarte, R. M. B. O., and Duarte, A. C.: Profiling Water-Soluble Organic Matter from Urban Aerosols Using Comprehensive Two-Dimensional Liquid Chromatography, *Aerosol Sci. Technol.*, 49, 381-389, 10.1080/02786826.2015.1036394, 2015b.

Paula, A. S., Matos, J. T., Duarte, R. M., and Duarte, A. C.: Two chemically distinct light-absorbing pools of urban organic aerosols: A comprehensive multidimensional analysis of trends, *Chemosphere*, 145, 215-223, 10.1016/j.chemosphere.2015.11.093, 2016.

Finally, the reference (Duarte et al., 2015) should also be taken into account when interpreting the structural features of HULIS from the acquired FTIR spectra (section

3.5). In this reference, the authors used two-dimensional (2D) correlation spectroscopy, applied to one-dimensional solid-state cross polarization magic angle spinning (CP-MAS)  $^{13}\text{C}$  NMR, FTIR, and the combination of CP-MAS  $^{13}\text{C}$  NMR and FTIR data, to glean new structural information on the most hydrophobic water soluble organic matter (WSOM) from fine urban air particles collected during different seasons.

Reply: Thanks. We have revised the text when interpreting the structural features of HULIS from the acquired FTIR spectra and added this reference in revised manuscript. The details are as below:

Page 19, lines 11-13: “As shown in Figure 3, the spectra of primary HULIS were similar to those of the atmospheric HULIS and WSOM in this and previous studies (Havers et al., 1998; Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2005; Polidori et al., 2008; Santos et al., 2009; Fan et al., 2013; Duarte et al., 2015).”

Page 20, line 1-3: “These FTIR spectra features are similar to those of the atmospheric HULIS described in this and other studies (Krivacsy et al., 2001; Duarte et al., 2005; Song et al., 2012; Fan et al., 2013; Duarte et al., 2015).”

Page 20, lines 5-9: “Relative weaker band at  $1710\text{ cm}^{-1}$  for primary HULIS than for atmospheric HULIS was observed in Figure 3, indicating the former ones present less carboxyl groups (Song et al., 2012; Fan et al., 2013). The bands at  $1458$  and  $1610\text{ cm}^{-1}$ , which are generally attributed to the C–C stretching of aromatic rings (Watanabe and Kuwatsuka, 1992; Duarte et al., 2015), are observed in spectra of primary HULIS from direct combustion emissions.”

The reference has been added in revised manuscript:

Duarte, R. M. B. O., Freire, S. M. S. C., and Duarte, A. C.: Investigating the water-soluble organic

functionality of urban aerosols using two-dimensional correlation of solid-state  $^{13}\text{C}$  NMR and FTIR spectral data, *Atmos. Environ.*, 116, 245-252, 10.1016/j.atmosenv.2015.06.043, 2015.

All in all, the results add up to an already extensive data set of characteristics of WSOM of aerosols but this work brings an original and comprehensive comparison between excellent proxies for primary HULIS representing biomass burning and fossil fuel combustion and ambient air samples analyzed by several spectroscopies. Therefore the differences found between primary and atmospheric HULIS as well as the differences found among the four types of primary HULIS can be considered well validated and this study contributes to a better understanding of the differences in chemical nature of primary HULIS from direct combustion emissions and their contribution to atmospheric HULIS.

## 2. Specific comments

The Abstract should contain more quantitative data and instead of sentences like “HULIS accounted for a significant proportion of the particle matter, . . .” (line 17, page 1) the figures resulting from thus study should be included.

Reply: Thanks. We have revised the abstract according to the comments and more quantitative data were included in the abstract.

Page 1, lines 17-20: “The results show that HULIS account for 11.2–23.7% and 5.3% of  $\text{PM}_{2.5}$  emitted from biomass burning (BB) and coal combustion, respectively. In addition, contributions of HULIS-C to total carbon and water soluble carbon in smoke  $\text{PM}_{2.5}$  emitted from BB and coal combustion are 8.0–21.7% and 5.2%, 56.9–66.1% and 45.5%, respectively.”

There should be a clarification of the terms HULIS, WSOC and WSOM and not only of HULIS as mentioned in page 2, lines 17 and 18, WSOC as mentioned in page 3, lines 3 and 4.

Reply: Thanks for the comments. We have added the definitions of HULIS, WSOC and WSOM and made a clarification of the terms HULIS, WSOC and WSOM in revised manuscript. The details are as follows:

Page 3, lines 9-11: “Their carbon (C) mass accounts for 9%–72% of the C content of water soluble organic matter (WSOM) in atmospheric aerosols (Feczko et al., 2007; Krivacsy et al., 2008; Lin et al., 2010b; Fan et al., 2012; Song et al., 2012)”

Page 3, lines 20-22: “HULIS fractions have been found in smoke particles emitted from the combustion of wood and leaves, the carbon content of HULIS (HULIS-C) make up 0.6–21.2% of the total mass of particles (Schmidl et al., 2008a; Schmidl et al., 2008b; Goncalves et al., 2010)”

Page 3, line 22-Page 4, line 1: “HULIS have also been found to be abundant in fresh burning emissions from rice straw and sugar cane leaves (Lin et al., 2010a; Lin et al., 2010b). HULIS accounted for 7.6–12.4% of the particle mass, and HULIS-C contributed approximate 14.3–14.7% and 30–33% of the organic carbon (OC) and the water soluble carbon (WSOC), respectively.”

Page 7, line 19- Page 8, line 1: “It is noted that the eluates here represent the hydrophobic portion of WSOM and were named as water soluble HULIS. According to the literatures (Graber and Rudich, 2006; Zheng et al., 2013), these water soluble hydrophobic WSOM can be isolated with different SPE methods. In spite of some differences were observed among of them, these hydrophobic WSOM isolated with different sorbents are very similar in chemical compositions and properties according

to our previous studies (Fan et al., 2012, 2013). Therefore, for better comparison with other studies, the hydrophobic WSOM isolated by SPE methods (i.e. HLB, C-18, DEAE, XAD-8) and other protocols (i.e. ELSD) are all termed as HULIS in this paper.”

Moreover, the HULIS isolation procedures have been also added in the revised Table 2, 3 and 4.

Furthermore, in page 9, lines 21-23, the authors perform an estimate of the contribution of the so-called HULIS to WSOC, using TOC and UV-vis absorbance at 250 nm (UV250) measurements. While the use of TOC is most appropriate for such a comparison, additional details on the use of UV250 measurements should be provided, namely if the UV data were normalized to the amount of carbon of each sample/fraction. This is important for the sake of easier comparison between HULIS and WSOC fractions, mostly because HULIS is an isolated/concentrated fraction of WSOC and it is enriched in those organic moieties preferably retained by the SPE sorbent.

Reply: Thanks for the comments. We have added some details on the use of UV250 measurements in the revised manuscript. In the study, the UV250 measurement was used to investigate the contribution of HULIS fraction in total WSOM. The UV data weren't normalized to the amount of carbon of each sample/fraction. The details are as below:

Page 9, lines 9-15: “As demonstrated by many studies, the high absorbing UV chromophoric compounds (strong absorbing at 250 nm) are major components in WSOM, which usually tended to be enriched in the SPE isolated HULIS fractions (Baduel et al., 2009; Fan et al., 2012, 2013, 2016; Song et al., 2012; Duarte et al., 2015; Lopes et al., 2015; Fan et al., 2016). Therefore, the ratio between the UV250 of

HULIS and original WSOM has been widely used to evaluate the relative contribution of HULIS to WSOM in terms of chromophoric compounds content. It should be noted that HULIS solution must keep the same volume to original WSOM solution for the UV250 determination.”

In page 8, line 21 there is the following text “The abundance of HULIS in rice straw smoke PM<sub>2.5</sub> ( $10.7 \pm 0.8\%$ ). . .” but according to Table 1, this value is for the urban PM<sub>2.5</sub> samples and not for rice straw smoke PM<sub>2.5</sub>. Please correct this inconsistency.

Reply: I am sorry for this mistake. The sentence has been revised as follow:

Page 11, lines 23-25: “The abundance of HULIS in rice straw smoke PM<sub>2.5</sub> ( $23.4 \pm 5.5\%$ ) was also significantly higher than in ambient PM<sub>2.5</sub> in this study, and in some previous studies (as listed in Table 2).”

In page 11, lines 18 to 21, the lower OM/OC ratio reported also suggests that primary HULIS in coal smoke are less oxidized than those of HULIS in BB smoke PM<sub>2.5</sub>. It is difficult to assess the degree of unsaturated components on the basis of the elemental composition data.

Reply: Thanks. We have revised the statement and revised the sentences in revised manuscript.

Page 15, lines 2-5: “The HULIS samples in the three types of biomass smoke PM<sub>2.5</sub> had a relatively lower C content (52.9–57.4%), higher O content (33.0–38.3%), higher O/C molar ratio (0.43–0.54) and higher OM/OC (1.74–1.89) than those in coal smoke PM<sub>2.5</sub>. These results indicated that the HULIS in BB smoke contained a relatively higher content of O-containing components.”

Besides, no discussion is found regarding the elemental analysis data (including atomic ratios and OM/OC ratio) of the collected ambient HULIS. For this sample, the values depicted in Table 1 are different from those reported for primary HULIS. A discussion on these differences and values should be included in the manuscript.

Reply: Thanks. We have added some discussions for the elemental analysis data of the collected ambient HULIS in revised manuscript.

Page 13, lines 22-25: “It is obvious that the primary HULIS contain substantially higher C and lower O than ambient HULIS in this study. However, these results are comparable with those for atmospheric HULIS in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007; Salma et al., 2007; Song et al., 2012; Fan et al., 2013; Duarte et al., 2015).”

Page 14, lines 8-12: “Among the four types of primary HULIS, the O/C ratios of the three types from BB were in the range of 0.43–0.54, which were lower than 0.65 for ambient HULIS in this study, but were comparable with data (0.30–0.76) for atmospheric HULIS reported in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007; Salma et al., 2007; Song et al., 2012; Fan et al., 2013).”

Page 14, lines 15-24: “The H/C molar ratios of the four primary types of HULIS were in the ranges of 1.15 to 1.43, which were lower than that (1.59) for atmospheric HULIS in this work. However, they dropped in the range of observations (1.01–1.53) reported in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007, 2015; Salma et al., 2007; Song et al., 2012; Fan et al., 2013). The N/C molar ratios of primary HULIS were 0.03–0.06, with both being similar to the results for atmospheric HULIS in this and previous studies (Table 3). In addition, the ratio of OM to organic C (OM/OC) mass ratios of the four types of primary HULIS ranged

from 1.51 to 1.89, which were lower than 2.06 for ambient HULIS in this study, but were generally in the range of the data (1.5–2.28) reported for atmospheric HULIS in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007; Salma et al., 2007; Song et al., 2012; Fan et al., 2013; Duarte et al., 2015).”

The sentence in page 12, lines 2 to 4 (“In contrast, the HULIS in coal smoke had a relatively lower content of O-containing components and a higher content of unsaturated structures.”) should be deleted since it is not adding any new valuable information into the discussion.

Reply: Thanks. We have deleted it.

In page 18, lines 17 to 19: the authors should identify these signals (“sharp peaks”) in their <sup>1</sup>H NMR spectra in Figure 4. This will be most helpful for readers not familiarized with the interpretation of NMR data. An additional remark on this issue: the identification of single compounds in complex NMR spectra, such as those of Figure 4, is highly arguable. Therefore, the authors should also make this comment in the discussion.

Reply: Thanks. We have added some comments for these signals in the discussion. The paragraph has been revised as follow:

Page 22, lines 11-22: “These sharp signals included low molecular weight formate ( $\delta$ 8.44 ppm), terephthalic acid ( $\delta$ 8.01 and 8.12 ppm), phthalic acid ( $\delta$ 7.45–7.47 and 7.58 ppm), glucose ( $\delta$ 3.88–3.91 and 3.81–3.85 ppm), fructose ( $\delta$ 3.79–3.84 ppm), trimethylamine ( $\delta$ 2.71 and 2.89 ppm), dimethylamine ( $\delta$ 2.72 ppm), monomethylamine ( $\delta$ 2.55 ppm). It is worth noting that all BB-derived HULIS present more sharp glucose and fructose resonances than atmospheric HULIS in <sup>1</sup>H NMR

spectra, but they were absent for coal combustion derived HULIS. On the other hand, coal combustion derived HULIS contain more sharp resonances of terephthalic acid and phthalic acid than atmospheric HULIS, but they were absent for BB-derived HULIS. Moreover, whether BB-derived or coal combustion derived HULIS exhibit many sharp signals between 6.5–8.5 ppm, which could ascribed to aromatic structures, such as substituted phenols and alkylbenzenes (around 6.6–7.0 ppm), benzoic acids, esters, and nitroaromatics (Suzuki et al., 2001; Chalbot et al., 2014).”

We have also revised the Figure 4, and given some identifications for some sharp peaks in the revised Figure 4 in current manuscript.

In page 20, paragraph in lines 1 to 5: A more in-depth comparison should be made between NMR data obtained for primary and ambient HULIS.

Reply: Thanks. We have made a more in-depth comparison between NMR data obtained for primary and ambient HULIS in revised manuscript:

Page 23, line 18-page 24, line 4: “These four functional groups have also been observed in the  $^1\text{H}$  NMR spectra of atmospheric HULIS in this and other studies, but the relative distribution of these four functional groups are different. Whether atmospheric HULIS in this work or in other studies from ambient aerosol (Song et al., 2012; Fan et al., 2013; Lopes et al., 2015) and rainwater (Miller et al., 2009; Santos et al., 2009, 2012) were all characterized by a predominance of [H–C] (37–60%), followed by [H–C–C=] (20–37%) and [H–C–O] (10–24%), and a less contribution from [Ar–H] (1–12%). It was noted that the relative content of [Ar–H] groups (19–31%) in primary HULIS was significantly higher than that in atmospheric HULIS. This suggests that primary HULIS contained more aromatic structures, which is consistent with the elemental composition, UV-vis spectra, EEM fluorescence spectra, and FTIR spectra results. This result is also consistent with the observations of more

aromatic protons in HULIS in colder seasons ascribed to BB influence (Song et al., 2012; Lopes et al., 2015).”

In page 20, line 10: Please, be aware that this group [H-C-C=] is more likely to have contributions from carbonyl groups (C=O) than from aromatic carbons. Please, consult the original reference (Decesari et al., 2007) for the approach adopted in order to distinguish between these two contributions.

Reply: Thanks. We have revised that in current manuscript.

Page 24, lines 6-9: “As shown in Table 6, there was a relatively higher content of [H-C-C=] (40%) and [Ar-H] (31%) in primary HULIS from coal combustion than from BB, indicating that the primary HULIS in coal smoke contained more unsaturated aliphatic (i.e., carbonyl groups (C=O)) and aromatic structural groups.”

Page 24, line 16-line 20: “For the HULIS from BB, a relatively high content of [C-H] and low content of [Ar-H] were observed for HULIS from pine branch combustion when compared to the HULIS from rice straw and corn straw combustion. These results suggest that the primary HULIS from pine branch combustion contained relative higher content of aliphatic protons and lower content of aromatic protons than the HULIS from rice straw and corn straw combustion.”

In page 22, paragraph in lines 5 and 6 (“The similarities between 5 primary and atmospheric HULIS suggest they have similar chemical compositions and properties”): at least, this means that they share similar proton functional groups; however, they differ in terms of their relative distribution among the different samples.

Reply: Thanks. This is an inexact conclusion. So we have deleted this sentence in revised manuscript.

### 3. References

Decesari, S., Mircea, M., Cavalli, F., Fuzzi, S., Moretti, F., Tagliavini, E. and Facchini, M. C.: Source Attribution of Water-Soluble Organic Aerosol by Nuclear Magnetic Resonance Spectroscopy, *Environ. Sci. Technol.*, 41(7), 2479–2484, doi:10.1021/es061711l, 2007.

Duarte, R. M. B. O. and Duarte, A. C.: Application of Non-Ionic Solid Sorbents (XAD Resins) for the Isolation and Fractionation of Water-Soluble Organic Compounds from Atmospheric Aerosols, *J. Atmos. Chem.*, 51(1), 79–93, doi:10.1007/s10874-005-8091-x, 2005.

Duarte, R. M. B. O. and Duarte, A. C.: Unraveling the structural features of organic aerosols by NMR spectroscopy: a review, *Magn. Reson. Chem.*, 53(9), 658–666, doi:10.1002/mrc.4227, 2015.

Duarte, R. M. B. O., Silva, A. M. S. and Duarte, A. C.: Two-Dimensional NMR Studies of Water-Soluble Organic Matter in Atmospheric Aerosols, *Environ. Sci. Technol.*, 42(22), 8224–8230, doi:10.1021/es801298s, 2008.

Duarte, R. M. B. O., Freire, S. M. S. C. and Duarte, A. C.: Investigating the watersoluble organic functionality of urban aerosols using two-dimensional correlation of solid-state <sup>13</sup>C NMR and FTIR spectral data, *Atmos. Environ.*, 116, 245–252, doi:10.1016/j.atmosenv.2015.06.043, 2015.

Fan, X., Song, J. and Peng, P.: Comparative study for separation of atmospheric humic-like substance (HULIS) by ENVI-18, HLB, XAD-8 and DEAE sorbents:

Elemental composition, FT-IR, <sup>1</sup>H NMR and off-line thermochemolysis with tetramethylammonium hydroxide (TMAH), *Chemosphere*, 93(9), 1710–1719, doi:10.1016/j.chemosphere.2013.05.045, 2013.

Lopes, S. P., Matos, J. T. V., Silva, A. M. S., Duarte, A. C. and Duarte, R. M. B. O.: <sup>1</sup>H NMR studies of water- and alkaline-soluble organic matter from fine urban atmospheric aerosols, *Atmos. Environ.*, 119, 374–380, doi:10.1016/j.atmosenv.2015.08.072, 2015.

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***Interactive comment on “Comprehensive characterization of humic-like substances in smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials and fossil fuels” by Xingjun Fan et al.***

We are grateful to Anonymous Referee #2 for his/her valuable comments, and have carefully revised our manuscript accordingly. A point-to-point response to this reviewer's comments is given below.

General Comments: This study discusses comprehensive characterization of humiclike substances (HULIS) in PM<sub>2.5</sub> samples from combustions of biomass materials (rice straw, corn straw, and pine branch) and fossil fuels (lignite coal and diesel fuel), and from ambient air. To achieve the goals of this study, water-soluble HULIS fractions were group isolated using a HLB solid phase extraction method and then quantified with a TOC analyzer. Also chemical properties and structures of HULIS were further investigated using elemental analyzer, UV-vis spectroscopy, excitation-emissions matrix (EEM) fluorescence spectroscopy, FTIR spectroscopy, and <sup>1</sup>H-NMR spectroscopy. Characteristics of primary HULIS from biomass burning (BB) and fossil fuel (FF) combustion emissions were compared with the result from ambient samples and with those reported from many previous publications. Results indicate the chemical properties and structures of primary HULIS from combustion emissions of biomass and fossil fuels are very similar to chemical features of ambient HULIS in this and previous studies, which are indicated by a variety of analytical tools, with some distinct differences. It is worthy of note that primary HULIS contain mostly low molecular weight compounds. Results from this study can add to the database of chemical properties and structures for BB and FF-derived HULIS, and thereby contribute to better understanding of the role of BB and FF aerosols in ambient environments. Also this work may help to identify future focus in related to

molecular level characterization of ambient brown carbon. However, most of the findings from this study were demonstrated by previous publications. Thus, authors should address the unique scientific finding of this work a bit more in revised manuscript. Overall the manuscript is written well, and with some further explanation of collected data and further elaboration on the results it will be ready for publication. Below are specific revision comments for the authors to consider in their next revision.

Reply: Thanks for the comments. We have carefully revised that and address the unique scientific finding of this work a bit more in revised manuscript. The detailed explanation could be found in our reply to referee #1, #2, and the revised manuscript.

Specific comments:

Abstract section I would suggest adding important quantitative information from the study.

Reply: Thanks. We have added some important quantitative information in revised manuscript. The details are as follows:

Page 1, lines 17-20: “The results show that HULIS account for 11.2–23.4% and 5.3% of PM<sub>2.5</sub> emitted from biomass burning (BB) and coal combustion, respectively. In addition, contributions of HULIS-C to total carbon and water soluble carbon in smoke PM<sub>2.5</sub> emitted from BB and coal combustion are 8.0–21.7% and 5.2%, 56.9–66.1% and 45.5%, respectively.”

Page 2, lines 8-15: “HULIS from coal combustion had a lower O/C molar ratio (0.27), and a higher content of [Ar–H] (31%), suggesting that aromatic compounds were extremely abundant in HULIS from this source. Moreover, the absorption Ångström exponents of primary HULIS from BB and coal combustion were 6.7–8.2 and 13.6,

respectively. The mass absorption efficiencies of primary HULIS from BB and coal combustion at 365 nm ( $MAE_{365}$ ) were 0.97–2.09 and 0.63  $m^2/gC$ , respectively. Noticeable higher  $MAE_{365}$  for primary HULIS from BB than coal combustion indicate the former one has stronger contribution to the light absorbing properties of aerosols in atmospheric environment.”

1. Introduction section Park and Yu (2016) examined the chemical and light absorption properties of HULIS in  $PM_{2.5}$  from burning of three different types of biomass burning fuels (rice straw, pine needles, and sesame branch) in a laboratory combustion chamber (“Chemical and light absorption properties of humic-like substances from biomass burning emissions under controlled combustion experiments”. *Atmospheric Environment* 136, 114-122). Authors may refer to the paper to compare their results.

Reply: Thanks. This is an excellent paper. We have added this reference and make some comparisons in revised manuscript. The details are as follow:

Page 4, lines 3-12: “On recently study, the chemical and light absorption properties of HULIS in  $PM_{2.5}$  from burning of three different types of biomass burning fuels (rice straw, pine needles, and sesame branch) in a laboratory combustion chamber were investigated by Park and Yu (2016). According to this study, primary HULIS from BB accounted for 15.3–29.5% of  $PM_{2.5}$  emissions, and HULIS-C contributed 15–29% of OC and 36–63% of WSOC, respectively. Although the study brought a better understanding on light absorption properties of primary WSOC from BB, but the observation on the optical and structural features of primary HULIS is limited (Park and Yu, 2016). On the other hand, as important energy resources, fossil fuels (such as coal, diesel fuel) are consumed significantly around the world, and to be important sources of black carbon in ambient aerosols (Cao et al., 2006). However, the content and chemical properties of primary HULIS from fossil fuels combustion are still unknown.”

Page 11, lines 17-21: “It can be seen that the mass of the HULIS fractions accounted for 11.2–23.4% of the PM in smoke PM<sub>2.5</sub> emitted from BB, which is comparable to the results (7.6–29.5%) for BB reported in previous studies (Lin, 2010b, Park and Yu, 2016). It is worth noting that the highest HULIS abundance (23.4 ± 5.5%) was detected in rice straw smoke PM<sub>2.5</sub>, which is consistent with 29.5% for similar samples observed by Park and Yu (2016).”

Page 12, line 16-line 18: “These results are very consistent with the results reported for BB in previous studies (Schmidl et al., 2008a,b; Goncalves et al., 2010; Lin et al., 2010b; Park and Yu, 2016).”

We also have added some valuable data (red) from this reference in revised Table 2.

The added reference is:

Park, S. S., and Yu, J.: Chemical and light absorption properties of humic-like substances from biomass burning emissions under controlled combustion experiments, *Atmos. Environ.*, 136, 114-122, 10.1016/j.atmosenv.2016.04.022, 2016.

2. Experimental section 2.1. Sampling (pages 4-5) Lines 11-12 on page 4: It is described that “..five types of smoke PM<sub>2.5</sub> samples were collected to . . .from the combustion of biomass ..” How many sets of PM<sub>2.5</sub> samples did you collect for each of biomass types and coal fuel? Need to be added in the revision. Was only one sample used for each burn to investigate the comprehensive characterization of HULIS in smoke aerosols samples? If so, they should describe the reliability and/or uncertainties of the experimental results. Also how many ambient samples did you use to conduct the experiments?

Reply: Thanks for comments. We have added some descriptions on sampling of

smoke PM<sub>2.5</sub> and ambient PM<sub>2.5</sub> in revised manuscript. In the study, five sets of PM<sub>2.5</sub> samples were collected for each of biomass types and coal fuel. Then five filters in different sets were chosen from different sets for each type of smoke PM<sub>2.5</sub> and ambient PM<sub>2.5</sub> and were used to investigate the comprehensive characterization of HULIS. The details of sample number have been also added in the revised Table 2. The sentences have been revised as follow:

Page 6, lines 8-17: “The combustion experiments of biomass fuels (rice straw, corn straw and pine branch) were carried out under open air without any controlled conditions to simulate open burning in the field. Smoke PM<sub>2.5</sub> samples were collected on Whatman quartz filters (Ø 90 mm) by two samplers in the chamber. For each biomass combustion experiment, biomass materials were firstly cut into pieces, and then were ignited and burned out, and one set of two smoke PM<sub>2.5</sub> filters were collected during whole burning process (5~15 min). Totally, five sets filter samples were collected for each biomass fuel. The coal combustion was carried out according to the method introduced by Huang et al. (2013). The combustion stove was put into the chamber when the combustion condition was stabled, and then one set of smoke PM<sub>2.5</sub> sample was collected for approximately 10 min, and a total of five sets of filter samples were obtained.”

Page 6, lines 21-23: “In addition to the smoke PM<sub>2.5</sub> samples emitted directly from the combustion process, ambient PM<sub>2.5</sub> samples were also collected during December 7 to 11, 2015 in Wushan, Guangzhou, China. Each sample was collected for approximately 24h, and a total of 5 filters were obtained.”

Combustion conditions of three biomass materials and lignite coal in a laboratory resuspension chamber should be described in detail because the burning conditions such as smoldering or flaming burns, combustion temperature, air dilution ratio, flue gas temperature at a sampling location, etc., affect greatly the abundance and chemical properties and structures of WSOC, HULIS, and organic compounds. Also

burning conditions might generate water-soluble aerosols of different optical properties. Details in this regard would be helpful. At what stage of the burning were the samples collected? Please be as specific as possible.

Reply: Thanks for comments. This is a good idea, however the smoke PM<sub>2.5</sub> samples were collected from uncontrolled combustion in current study. We believed that the studies of HULIS formed from different burning conditions such as smoldering or flaming burns, combustion temperature, air dilution ratio, flue gas temperature at a sampling location, etc. would be very interesting works. Thanks for the advices.

In the current manuscript, we added some descriptions on sampling in the experimental section:

Page 6, line 8-line 17: “The combustion experiments of biomass fuels (rice straw, corn straw and pine branch) were carried out under open air without any controlled conditions to simulate open burning in the field. Smoke PM<sub>2.5</sub> samples were collected on Whatman quartz filters (Ø 90 mm) by two samplers in the chamber. For each biomass combustion experiment, biomass materials were firstly cut into pieces, and then were ignited and burned out, and one set of two smoke PM<sub>2.5</sub> filters were collected during whole burning process (5~15 min). Totally, five sets filter samples were collected for each biomass fuel. The coal combustion was carried out according to the method introduced by Huang et al. (2013). The combustion stove was put into the chamber when the combustion condition was stabled, and then one set of smoke PM<sub>2.5</sub> sample was collected for approximately 10 min, and a total of five sets of filter samples were obtained.”

What were the moisture contents of the biomass burning and coal fuels? The authors need to describe the elemental composition (C, H, O, N, and S) of burning fuels if possible, but for comparison with other papers moisture content would be very helpful.

Reply: Thanks. We have revised the manuscript according to the comments and added the moisture contents, elemental composition (C, H, O, N, and S) of burning fuels in revised manuscripts.

Page 5, line 20- Page 6, line 4: “The biomass materials including rice straw, corn straw, pine branch were collected from rural area of Guangdong province, and the coal ( $R_o = 0.77\%$ ) were obtained from Ping Ding Shan, China. The detail information of this type of coal could be found in Huang et al. (2013). The ultimate properties of the three biomass materials and coal are shown in Table 1. On an air-dry basis, moisture content measured for the rice straw, corn straw, pine branch and coal was  $5.8 \pm 0.5$ ,  $7.4 \pm 0.8$ ,  $7.6 \pm 0.7$ , and  $1.6 \pm 0.2$  %, respectively. Carbon (C), hydrogen (H), and oxygen (O) contents were found to range from 36.0 to 72.6%, 4.0 to 7.2%, and 8.2 to 45.0% for combustion materials, respectively. In comparison with biomass materials, coal substantially comprised of higher C content (72.6%) and lower O content (8.2%). There were no significant differences among biomass materials in terms of elemental compositions.”

Moreover, the moisture contents, elemental compositions (C, H, O, N, and S) of burning fuels also have been added in a new Table 1 in current manuscript.

Please add collection time for biomass smokes.

Reply: The collection time is not fixed at a constant time. For each BB combustion experiment, the sampling was conducted until it burned out (5-15 min). The combustion experiment of coal was conducted according to the method introduced by Huang et al. (2013), and one set of smoke  $PM_{2.5}$  samples was collected for approximately 10 min after the combustion condition was stabled. The sentence has been revised as follow:

Page 6, line 11-line 17: “For each biomass combustion experiment, biomass materials were firstly cut into pieces, and then were ignited and burned out, and one set of two smoke PM<sub>2.5</sub> filters were collected during whole burning process (5~15 min). Totally, five sets filter samples were collected for each biomass fuel. The coal combustion was carried out according to the method introduced by Huang et al. (2013). The combustion stove was put into the chamber when the combustion condition was stabled, and then one set of smoke PM<sub>2.5</sub> sample was collected for approximately 10 min, and a total of five sets of filter samples were obtained.”

2.2. Isolation of HULIS Lines 5-6 on page 6: “. . .more filters were used to obtain HULIS for the analysis of the elemental composition. . .” Instead of using the HULIS samples re-dissolved in 20 mL Milli-Q water (section 2.2), new filter samples were used for further analyses? More detailed description would be helpful for readers.

Reply: No. The “more filters were used to obtain HULIS for the analysis of the elemental composition. . .” are not the new filter samples. They are a part remainder of filters that have been measured for the quantification of HULIS. We have revised that as follow:

Page 7, line 16-line 18: “Moreover, more area of the corresponding filters was used to obtain enough dried HULIS for the analysis of the elemental composition, as well as FTIR and <sup>1</sup>H NMR spectrometry.”

2.3.2. Elemental composition Lines 20-21 on page 6: “A portion of the HULIS (redissolved in methanol) was transferred into . . .” The HULIS eluate used in this analysis was not re-dissolved in water? How much volume of MeOH did you use for this?

Reply: In the study, the HULIS was evaporated to dryness under a gentle nitrogen stream. However, the resulting dried HULIS were not fully in the form of solid

powder, but viscous substances. This dried HULIS sample can't be re-dissolved in pure water. Therefore, the HULIS samples were re-dissolved in methanol for elemental analysis in the study. The operate procedure are as follow:

In the experiment, the HULIS sample was re-dissolved them in 3 mL of methanol, then one or two droplets (~1 mg dried HULIS) was transferred into to a pre-cleaned tin capsule of known weight and dried under vacuum. The mass of the dried HULIS in the tin capsule was determined using a micro balance and then the elemental composition was determined by the elemental analyzer.

2.3.6. <sup>1</sup>H-NMR spectroscopy “About 10 mg of dried HULIS were re-dissolved in 1 mL of MeOD.” could be changed to “. . .of MeOH.”

Reply: We have revised the sentence as follow:

Page 11, lines 6-7: “About 10 mg of dried HULIS were dissolved in deuterated methanol (MeOH-d<sub>4</sub>, 1 mL) and transferred to 5 mm NMR tubes.”

3. Results and discussion 3.1. The abundance of HULIS in smoke PM<sub>2.5</sub> and ambient PM<sub>2.5</sub> These results should be compared with those from Park and Yu (2016). In Table 1, please include number of samples used in the experiments for each of BB, FF, and ambient samples. References of Park et al. in Table 1 are not listed in the list of the references.

Reply: Thanks. We have revised the manuscript according to the comments. The details are as follow:

Page 11, lines 19-21: “It is worth noting that the highest HULIS abundance (23.4 ± 5.5%) was detected in rice straw smoke PM<sub>2.5</sub>, which is consistent with 29.5% for similar samples observed by Park and Yu (2016).”

Page 12, lines 16-18: “These results are very consistent with the results reported for BB in previous studies (Schmidl et al., 2008a, b; Goncalves et al., 2010; Lin et al., 2010b; Park and Yu, 2016).”

In addition, we have added the number of samples used in the experiments for each of BB, FF, and ambient samples in revised Table 2 (i.e., the old Table 1), and also added some valuable data from Park and Yu (2016) in revised Table 2 (i.e., the old Table 1).

Finally, the references of “References of Park et al. in Table 1” have been added in revised manuscript:

Page 33, Lines 22-24: Park, S. S., Cho, S. Y., Kim, K. W., Lee, K. H., and Jung, K.: Investigation of organic aerosol sources using fractionated water-soluble organic carbon measured at an urban site, *Atmos. Environ.*, 55, 64-72, DOI 10.1016/j.atmosenv.2012.03.018, 2012.

Page 33, Lines 25-26: Park, S. S., and Cho, S. Y.: Characterization of Organic Aerosol Particles Observed during Asian Dust Events in Spring 2010, *Aerosol Air Qual. Res.*, 13, 1019-1033, DOI 10.4209/aaqr.2012.06.0142, 2013.

Page 33, Lines 27-28: Park, S. S., Schauer, J. J., and Cho, S. Y.: Sources and their contribution to two water-soluble organic carbon fractions at a roadway site, *Atmos. Environ.*, 77, 348-357, DOI 10.1016/j.atmosenv.2013.05.032, 2013.

Page 33, Lines 29-30: Park, S. S., and Yu, J.: Chemical and light absorption properties of humic-like substances from biomass burning emissions under controlled combustion experiments, *Atmos. Environ.*, 136, 114-122, DOI 10.1016/j.atmosenv.2016.04.022, 2016.

3.2. Elemental composition Lines 15-21 on page 11: In Table 2, OM/OC ratios for four types of primary HULIS are presented. They did not measure OC concentration. Details how OM/OC ratios got determined from elemental composition data should be described in the text.

Reply: Thanks. We have added a description for the OM/OC determination in Table 3 of revised manuscript.

In the study, OM/OC represents the organic matter-to-organic carbon mass ratio. The OM is referred to the mass of HULIS, which has been determined with microbalance. The OC is referred to mass of carbon content of HULIS, which has been measured with elemental analyzer. Finally, the OM/OC ratio was calculated from the mass ratio of OM to OC.

3.3 UV-vis properties & 3.4 Fluorescence properties I think that authors measured light absorption spectra of WSOC and HULIS from BB, FF, and ambient samples. I would suggest providing absorption angstrom exponents (AAE) and mass absorption efficiencies (MAE) of samples from burning of different types of biomass and coal fuels, and ambient environment. These information could be much useful for understanding light absorption characteristics and radiative forcing effects by BB and coal burning derived brown carbon aerosols.

Reply: Thanks for the comments. We have added the discussion of AAE and MAE of HULIS samples from burning of different types of biomass and coal fuel, and from ambient aerosols in revised manuscript. We clearly stated in our revised manuscript as below:

Page 2, lines 10-15: “Moreover, the absorption Ångström exponents of primary HULIS from BB and coal combustion were 6.7–8.2 and 13.6, respectively. The mass absorption efficiencies of primary HULIS from BB and coal combustion at 365 nm

(MAE<sub>365</sub>) were 0.97–2.09 and 0.63 m<sup>2</sup>/gC, respectively. Noticeable higher MAE<sub>365</sub> for primary HULIS from BB than coal combustion indicate the former one has stronger contribution to the light absorbing properties of aerosols in atmospheric environment.”

Page 9, line 21- Page 10, line 12: A new section of “(3) Light absorption properties .....” was added in the experimental section of revised manuscript.

Page 24, line 22- Page 26, line 12: A new section of “3.7 Light absorption properties” was added in the results and discussion section in revised manuscript.

Page 27, lines 8-9: “The AAE and MAE<sub>365</sub> of the BB derived primary HULIS were similar to those of atmospheric HULIS and/or WSOM.”

Page 28, lines 1-3: “The MAE<sub>365</sub> of BB HULIS are 0.97-2.09 m<sup>2</sup>/g, which are higher than that of coal combustion HULIS, suggesting the former one own stronger light absorption properties.”

We also added a new Table 7 “Summary of AAE and MAE<sub>365</sub> of HULIS and WSOM” in revised manuscript.

Moreover, some new references have been also added in revised manuscript. (Page 29, lines 26-27; Page 29, lines 28-30; Page 29, lines 31-Page 30, line 1; Page 30, lines 26-28; Page 32, lines 5-7; Page 32, lines 8-9; Page 32, lines 15-17; Page 32, lines 18-20; Page 33, lines 1-3; Page 33, lines 29-30; Page 35, lines 12-14; Page 35, lines 15-17)

Lines 19-20 on page 13 and lines 10-11 on page 15. Authors stated that based on the SUVA<sub>254</sub> values from primary smoke HULIS, “the primary HULIS contained higher aromatic degree and/or higher MW compounds”, but results from EEM spectra

indicate that “primary HULIS contain mostly low MW compounds”. This means that primary HULIS from BB and FF smokes contain both high and low MW compounds? Further elaboration on this is needed.

Reply: Thanks. In order to avoid the misunderstanding, these sentences are revised as follows:

Page 16, lines 22-24: “These results indicate that the primary HULIS contain more aromatic groups with conjugation of  $\pi$ -bonds alongside aliphatic structures.”

Page 18, lines 17-20: “This finding indicates that these four types of primary HULIS are consist of more phenol-like, protein-like, and/or aromatic amino acids than atmospheric HULIS (Coble, 1996; Peuravuori et al., 2002; Duarte et al., 2004; Kieber et al., 2006).”

3.7 Comparison pf primary HULIS and 4 Conclusions Sections 3.7.1, 3.7.2, and 3.7.3 are very similar to the explanations in sections 3.2-3.6, so it needs to be condensed, or I suggest combining the section 3.7 with section 4. Conclusions.

Reply: Thanks for the comments. This is a good idea. We have revised that in current manuscript. The section 3.7 has been combined with section 4. Conclusions in revised manuscript. The revisions are as follow:

Page 26, line 14-page 28, line 6: “4. Conclusions.....” in revised manuscript

4. Conclusions It will be much more valuable if a paragraph was added to conclusions describing what the authors think was important and how it can be applied

Reply: We have added a new paragraph to introduce the implication in revised manuscript. The revisions are as follow:

Page 28, lines 8-Page 29, line 1: “5 Implications.....” was added in revised manuscript.

# Comprehensive characterization of humic-like substances in smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials and fossil fuels

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**Abstract.** Humic-like substances (HULIS) in smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials (rice straw, corn straw, and pine branch) and fossil fuels (lignite coal and diesel fuel) were comprehensively studied in this work. The HULIS fractions were first isolated with a one-step solid phase extraction method and were then investigated with a series of analytical techniques: elemental  
15 analysis, total organic carbon analysis, UV-vis spectroscopy, excitation–emission matrix (EEM) fluorescence spectroscopy, fourier transform infrared spectroscopy, and <sup>1</sup>H-nuclear magnetic resonance spectroscopy. **The results show that HULIS account for 11.2–23.4% and 5.3% of PM<sub>2.5</sub> emitted from biomass burning (BB) and coal combustion, respectively. In addition, contributions of HULIS-C to total carbon and water soluble carbon in smoke PM<sub>2.5</sub> emitted from BB and coal combustion are 8.0–21.7%  
20 and 5.2%, 56.9–66.1% and 45.5%, respectively. These results** suggest that BB and coal combustion are both important sources of HULIS in atmospheric aerosols. However, HULIS in diesel soot only accounted for ~0.8% of the soot particles, suggesting that vehicular exhaust may not be a significant primary source of HULIS. Primary HULIS and atmospheric HULIS display many similar chemical

characteristics, as indicated by the instrumental analytical characterization, while some distinct features were also apparent. A high spectral absorbance in the UV-vis spectra, a distinct band at  $\lambda_{ex}/\lambda_{em} \approx 280/350$  nm in EEM spectra, lower H/C and O/C molar ratios, and a high content of [Ar-H] were observed for primary HULIS. These results suggest that primary HULIS contains more aromatic structures, and a lower content of aliphatic and oxygen-containing groups than atmospheric HULIS. Among the four primary sources of HULIS, HULIS from BB had the highest O/C molar ratios (0.43–0.54) and [H-C-O] content (10%–19%), indicating that HULIS from this source mainly consisted of carbohydrate and phenolic like structures. HULIS from coal combustion had a lower O/C molar ratio (0.27), and a higher content of [Ar-H] (31%), suggesting that aromatic compounds were extremely abundant in HULIS from this source. Moreover, the absorption Ångström exponents of primary HULIS from BB and coal combustion were 6.7–8.2 and 13.6, respectively. The mass absorption efficiencies of primary HULIS from BB and coal combustion at 365 nm ( $MAE_{365}$ ) were 0.97–2.09 and 0.63  $m^2/gC$ , respectively. Noticeable higher  $MAE_{365}$  for primary HULIS from BB than coal combustion indicate the former one has stronger contribution to the light absorbing properties of aerosols in atmospheric environment.

## 1. Introduction

In recent decades, many studies have investigated the water soluble unresolved polyacidic compounds in atmospheric aerosols, rainwater, and fog/cloud samples (Zheng et al., 2013 and references therein). Due to their similarities to naturally occurring humic substances in terrestrial and aqueous environments, with regard to their complex physical and chemical properties, as revealed by techniques such as UV-vis spectroscopy, fluorescence spectroscopy, Fourier transform infrared (FTIR) spectroscopy, and nuclear magnetic resonance (NMR) spectroscopy, they are operationally defined as humic-like substances (HULIS) (Graber and Rudich, 2006; Zheng et al., 2013). HULIS are present ubiquitously in fine particles from urban, rural, marine, and biomass burning (BB) sources (Decesari et

al., 2007; Salma et al., 2007; Lin et al., 2010b; Fan et al., 2012; Song et al., 2012; Zheng et al., 2013). They are believed to play important roles in several atmospheric processes, including light absorption, radiative forcing (Hoffer et al., 2006; Dinar et al., 2008), hygroscopicity, and cloud droplet formation (Dinar et al., 2007; Salma et al., 2008). Moreover, they are also reported to be harmful to human health (Lin and Yu, 2011).

Many field studies have suggested that HULIS are abundant in organic aerosols. They constitute a significant portion of the organic matter (OM) in atmospheric aerosols (up to about 30%) collected in urban and rural environments, and in aerosols produced by BB (Mayol-Bracero et al. 2002; Krivacsy et al. 2008; Lin et al. 2009, 2010). Their carbon (C) mass accounts for 9%–72% of the C content of water soluble organic matter (WSOM) in atmospheric aerosols (Feczko et al., 2007; Krivacsy et al., 2008; Lin et al., 2010b; Fan et al., 2012; Song et al., 2012). These atmospheric HULIS materials are found ubiquitously in various environments, and are derived from various sources. Their possible sources include: biomass burning (BB) (Feczko et al., 2007; Baduel et al., 2010; Lin et al., 2010a), vehicular emissions (El Haddad et al., 2009), marine emissions (Krivacsy et al., 2008), the oxidation of soot (Decesari et al., 2002; Li et al., 2013; Li et al., 2015), and secondary processes via the transformation of gas and condensed-phase species by chemical reactions (Salma et al., 2007; Baduel et al., 2010; Salma et al., 2013).

Among the various sources listed above, BB is generally considered to be a significant source of atmospheric HULIS (Schmidl et al., 2008a; Schmidl et al., 2008b; Goncalves et al., 2010; Lin et al., 2010a; Lin et al., 2010b). HULIS fractions have been found in smoke particles emitted from the combustion of wood and leaves, the carbon content of HULIS (HULIS-C) make up 0.6–21.2% of the total mass of particles (Schmidl et al., 2008a; Schmidl et al., 2008b; Goncalves et al., 2010). HULIS have also been found to be abundant in fresh burning emissions from rice straw and sugar cane leaves (Lin et al., 2010a; Lin et al., 2010b). HULIS accounted for 7.6–12.4% of the particle mass, and HULIS-C contributed approximate 14.3–14.7% and 30–33% of the organic carbon (OC) and the water

soluble carbon (WSOC), respectively. Unfortunately, these studies have only focused on the amount of HULIS emitted from BB, with their chemical properties and structures remaining unresolved.

On recently study, the chemical and light absorption properties of HULIS in PM<sub>2.5</sub> from burning of three different types of biomass burning fuels (rice straw, pine needles, and sesame branch) in a laboratory combustion chamber were investigated by Park and Yu (2016). According to this study, primary HULIS from BB accounted for 15.3–29.5% of PM<sub>2.5</sub> emissions, and HULIS-C contributed 15–29% of OC and 36–63% of WSOC, respectively. Although the study brought a better understanding on light absorption properties of primary WSOC from BB, but the observation on the optical and structural features of primary HULIS is limited (Park and Yu, 2016). On the other hand, as important energy resources, fossil fuels (such as coal, diesel fuel, etc.) are consumed significantly around the world, and to be important sources of black carbon in ambient aerosols (Cao et al., 2006). However, the contents and chemical properties of primary HULIS from fossil fuels combustion are still unknown.

In this study, smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials (including rice straw, corn straw, and pine branch) and fossil fuels (including lignite coal and diesel fuel) were collected in a laboratory chamber. The HULIS fractions were isolated from smoke PM<sub>2.5</sub> by a solid phase extraction (SPE) method, and the chemical properties and structures were comprehensively investigated using total organic carbon (TOC) analysis, elemental analysis, UV-vis spectroscopy, excitation-emission matrix (EEM) fluorescence spectroscopy, FTIR spectroscopy, and <sup>1</sup>H-NMR spectroscopy. To further understand the contributions of primary HULIS to atmospheric HULIS, the HULIS fractions isolated from ambient PM<sub>2.5</sub> collected in Guangzhou, China, were simultaneously studied and compared with the above primary HULIS. Moreover, the results obtained were also compared with those reported in the literature for HULIS in various atmospheric environments. The information obtained will enable a better understanding of the chemical nature, as well as the environmental, health, and climate effects of primary HULIS from direct combustion emissions, and their contribution to atmospheric HULIS.

## 2 Experimental

### 2.1 Sampling

In this study, five types of smoke PM<sub>2.5</sub> samples were collected to investigate the primary HULIS emitted from the combustion of biomass materials and fossil fuels. These were biomass smoke PM<sub>2.5</sub> samples emitted from the combustion of rice straw, corn straw and pine branch, and coal smoke PM<sub>2.5</sub> and diesel soot. Rice straw and corn straw were chosen primarily because rice and corn are the dominant crops in China. The combustion of these crop straws is reported to have an important influence on the atmospheric aerosol in China (Streets et al., 2003; Zheng et al., 2006). These crop residues are usually burned in open locations by farmers during and after the harvest season, and are also used as cooking fuels in rural areas throughout the year. In addition, pine branch are also important biomass cooking fuel in rural areas of China and therefore may make a significant contribution to the atmospheric aerosol in some regions. Therefore, samples of the smoke emitted from the combustion of these three biomass materials were used to study the BB derived HULIS. Samples of the smoke emitted from the combustion of coal and diesel fuel were also studied in this work. Coal was chosen because it has been reported that more than 68% of black carbon (BC) emissions in China are related to the use of coal (Cao et al., 2006). The combustion of coal is an important source of atmospheric aerosols in China. In addition, the soot particles derived from the combustion of diesel fuel was also studied because vehicular emissions have been suggested to be a possible source of atmospheric HULIS (El Haddad et al., 2009).

The biomass materials including rice straw, corn straw, pine branch were collected from rural area of Guangdong province, and the coal ( $R_o = 0.77\%$ ) were obtained from Ping Ding Shan, China. The detail information of this type of coal could be found in Huang et al. (2013). The ultimate properties of the three biomass materials and coal are shown in Table 1. On an air-dry basis, moisture content measured for the rice straw, corn straw, pine branch and coal was  $5.8 \pm 0.5$ ,  $7.4 \pm 0.8$ ,  $7.6 \pm 0.7$ , and  $1.6 \pm 0.2$  %, respectively. Carbon (C), hydrogen (H), and oxygen (O) contents were found to range from

36.0 to 72.6%, 4.0 to 7.2%, and 8.2 to 45.0% for combustion materials, respectively. In comparison with biomass materials, coal substantially comprised of higher C content (72.6%) and lower O content (8.2%). There were no significant differences among biomass materials in terms of elemental compositions.

5 In this study, samples of the smoke PM<sub>2.5</sub> emitted from the combustion of rice straw, corn straw, pine branch, and lignite coal were collected in a laboratory resuspension chamber. This sampling system included a combustion stove and two PM<sub>2.5</sub> samplers (Tianhong Intelligent Instrument Plant, Wuhan, China). The instrument is described in detail in Duan et al. (2012). The combustion experiments of biomass fuels (rice straw, corn straw and pine branch) were carried out under open air without any controlled conditions to simulate open burning in the field. Smoke PM<sub>2.5</sub> samples were collected on Whatman quartz filters (Ø 90 mm) by two samplers in the chamber. For each biomass combustion experiment, biomass materials were firstly cut into pieces, and then were ignited and burned out, and one set of two smoke PM<sub>2.5</sub> filters were collected during whole burning process (5~15 min). Totally, five sets filter samples were collected for each biomass fuel. The coal combustion was carried out according to the method introduced by Huang et al. (2013). The combustion stove was put into the chamber when the combustion condition was stabled, and then one set of smoke PM<sub>2.5</sub> sample was collected for approximately 10 min, and a total of five sets of filter samples were obtained. For soot, a reference sample (SRM 2975) from the combustion of diesel fuel was purchased from the U.S. National Institute of Standards and Technology (Gaithersburg, MD, USA). It was emitted from a heavy-duty diesel engine and represents diesel fuel combustion from a vehicular exhaust.

10 In addition to the smoke PM<sub>2.5</sub> samples emitted directly from the combustion process, ambient PM<sub>2.5</sub> samples were also collected during December 7 to 11, 2015 in Wushan, Guangzhou, China. Each sample was collected for approximately 24 h, and a total of 5 filters were obtained. Detailed information regarding the sampling sites was provided in our previous studies (Fan et al., 2012; Song and Peng, 2009). These PM<sub>2.5</sub> samples were collected on Whatman quartz fiber filters (20.3 × 25.4 cm) using a

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high-volume air sampler at flow rates of 1.05 m<sup>3</sup>/min (Tianhong Intelligent Instrument Plant, WuHan, China). All filters had been pre-baked at 450°C for 4 h to remove all organic contaminants.

## 2.2 Isolation of HULIS

5 In this study, to obtain accurate results for TC, HULIS, WSOM, and other parameters, five filters for each type of sample were selected for analysis. The isolation of HULIS was performed by a one-step SPE procedure, which was applied by many studies (Kiss et al., 2002; Lin et al., 2010a,b; Fan et al., 2012; Park and Yu, 2016). Briefly, 3 cm<sup>2</sup> filter samples were ultrasonically extracted with 40 mL of 18.2 MΩ Milli-Q water, and then the extract solutions were filtered with polytetrafluoroethylene (PTFE) membranes (pore size: 0.22 μm) to remove solid impurities and filter debris. The pH value of the filtrate 10 was adjusted to 2 with HCl, and then 20 mL was introduced into a pre-conditioned SPE cartridge (Oasis HLB, 500mg, Waters, Milford, MA, USA). The exposed column was rinsed with water to remove inorganics and dried in a freeze-drier. Finally, the retained organics were eluted with methanol and the eluate was evaporated to dryness under a gentle nitrogen stream. According to the requirements of TOC, 15 UV-vis spectroscopy, and EEM fluorescence spectroscopy analysis, the resulting HULIS samples were re-dissolved in 20 mL of Milli-Q water. Moreover, more area of the corresponding filters was used to obtain enough dried HULIS for the analysis of the elemental composition, as well as FTIR and <sup>1</sup>H NMR spectrometry.

20 It is noted that the eluates here represent the hydrophobic portion of WSOM and were named as water soluble HULIS. According to the literatures (Graber and Rudich, 2006; Zheng et al., 2013), these water soluble hydrophobic WSOM can be isolated with different SPE methods. In spite of some differences were observed among of them, these hydrophobic WSOM isolated with different sorbents are very similar in chemical compositions and properties according to our previous studies (Fan et al., 2012, 2013). Therefore, for better comparison with other studies, the hydrophobic WSOM isolated by 25 SPE methods (i.e. HLB, C-18, DEAE, XAD-8) and other protocols (i.e. ELSD) are all termed as HULIS

in this paper.

## 2.3 Analysis

### 2.3.1 Total carbon (TC) and TOC analysis

5           The TC content of smoke PM<sub>2.5</sub> was measured directly on 2 cm<sup>2</sup> punches of the particle filters using an elemental analyzer (Elementar Vario EL CUBE, Hanau, Germany), following a standard high-temperature combustion procedure. A replicate analysis was also conducted for accuracy. The TOC in HULIS and WSOM were measured using a high-temperature catalytic oxidation instrument (Shimadzu – TOC – VCPH analyzer, Shimadzu, Kyoto, Japan) following the non-purgeable organic  
10 carbon protocol. The concentrations of all chemically measured species were corrected for their respective blank concentration.

### 2.3.2 Elemental composition

15           Elemental composition (C, H, N) of the isolated HULIS was measured with an elemental analyzer following a standard high temperature combustion procedure. A portion of the HULIS (re-dissolved in methanol) was transferred into a pre-cleaned tin capsule of known weight. Then, the sample was dried under vacuum. The mass of the dried organic matter (OM) in the tin capsule was determined using a micro balance, with a resolution of 0.01 µg (Sartorius, Göttingen, Germany), and then the tin capsule was placed into the elemental analyzer. In the instrument, the C, H, and N content of the OM were  
20 determined by catalytic burning in oxygen at 1020°C, followed by chromatographic separation of the oxidation products and thermoconductivity detection. The elemental analyzer was calibrated with an acetanilide standard. Based on the analyses of triplicates for each sample, the calculated relative standard deviation was less than 3%. The O content was calculated as the rest of the mass, by assuming that the concentrations of other possible elements (e.g., sulfur, phosphorus) were negligible: O% = 100  
25 – (C+H+N)%.

### 2.3.3 UV–visible spectroscopy

About 3 mL of HULIS and WSOM solution were placed in a 1 cm quartz cuvette and scanned from 200 to 700 nm using a UV-vis spectrophotometer (Lambda 850, Perkin Elmer, Waltham, MA, USA). Milli-Q water was used as a blank reference and to obtain the baseline. The absorption at 250 nm (UV250), absorption index, and light absorption properties were determined to characterize the optical properties of HULIS. They are described as following:

#### (1) The absorption at 250 nm (UV250)

As demonstrated by many studies, the high absorbing UV chromophoric compounds (strong absorbing at 250 nm) are major components in WSOM, which usually tended to be enriched in the SPE isolated HULIS fractions (Baduel et al., 2009; Fan et al., 2012, 2013, 2016; Song et al., 2012; Duarte et al., 2015; Lopes et al., 2015; Fan et al., 2016). Therefore, the ratio between the UV250 of HULIS and original WSOM has been widely used to evaluate the relative contribution of HULIS to WSOM in terms of chromophoric compounds content. It should be noted that HULIS solution must keep the same volume to original WSOM solution for the UV250 determination.

#### (2) Absorption index

The specific UV-vis absorbance at 254 nm, which is normalized by DOC of solution, and absorptivity ratios between 250 and 365 nm ( $E_{250}/E_{365}$ ) have been successfully applied to characterize the chemical properties of HULIS and WSOC. They are also determined in this work, and the details can be found in our previous studies (Fan et al., 2012, 2016).

#### (3) Light absorption properties

HULIS have been proved to have strong wavelength dependence with absorption increasing sharply from the visible to UV ranges (Hecobian et al., 2010; Park and Yu, 2016). In this study, the absorption Ångström exponent (AAE) and the mass absorption efficiencies at 365 nm ( $MAE_{365}$ ) were calculated based on UV-vis spectroscopy analysis to investigate the light absorption properties of

HULIS samples.

AAE is a measure of the spectral dependence of light absorption from chromophores in HULIS. In this study, the AAE were calculated based on the linear regression fit of logarithms of  $A_\lambda$  and wavelength between 330 and 400 nm, according to the following power law equation:

$$A_\lambda = K\lambda^{-AAE}$$

where,  $A_\lambda$  is the absorbance derived from the spectrophotometer at a given wavelength  $\lambda$ ,  $K$  is a constant.

MAE ( $\text{m}^2/\text{g}$ ) is a key parameter that describes the light absorbing ability of different chromophores. In this study, the MAE at 365 nm ( $\text{MAE}_{365}$ ) was used to characterize the light-absorbing ability for HULIS, and was calculated using the following equation (2):

$$\text{MAE} = \frac{A_\lambda}{C \cdot L} \times \ln(10)$$

where,  $C$  is the DOC content of HULIS in solution ( $\mu\text{gC}/\text{mL}$ ),  $L$  is the optical path length (0.01 m).

#### 2.3.4 EEM fluorescence spectroscopy

The fluorescence spectra of each HULIS sample were recorded on a spectrophotometer (F-2700, Hitachi, Tokyo, Japan), using a 1 cm path-length quartz cuvette. Excitation and emission wavelength ranges were set from 210 to 400 nm and 230 to 510 nm, respectively, and their scanning intervals were all set at 5 nm. The excitation and emission slit widths were fixed at 5 nm and the scan speed was set at 1500 nm/min. The peaks due to water Raman scatter were eliminated from all sample EEMs by subtracting the Milli-Q water blank EEMs.

#### 2.3.5 FT-IR Spectrometry

The FTIR spectra ( $4000\text{--}400\text{ cm}^{-1}$ ) of HULIS were recorded at room temperature using an FTIR spectrometer (Vertex-70, Bruker, Mannheim, Germany). About 1 mg of HULIS (re-dissolved in

methanol) was first mixed with 60 mg of KBr, and then dried in a freeze dryer. Finally, the above mixture was grated and pressed into pellets for analysis. For each measurement, 64 scans were collected at a resolution of 2 cm<sup>-1</sup>.

### 5 2.3.6 <sup>1</sup>H-NMR spectroscopy

About 10 mg of dried HULIS were dissolved in deuterated methanol (MeOH-d<sub>4</sub>, 1 mL) and transferred to 5 mm NMR tubes. The <sup>1</sup>H NMR spectra of HULIS were recorded on a 400 MHz NMR spectrometer (Avance III, Bruker). For each sample, 128 scans were collected, resulting in an analysis time of approximately 1 h. The identification of functional groups in the NMR spectra was based on their chemical shift (δH) relative to that of sodium 3-trimethylsilyl-2,2,3,3-d<sub>4</sub>-propanoate (δH= 0 ppm), which was used as an internal standard.

## 3 Results and discussion

### 3.1 The abundance of HULIS in smoke PM<sub>2.5</sub> and ambient PM<sub>2.5</sub>

15 The average abundance of the HULIS fractions and their contributions to particle matter (PM), TC, and WSOM in smoke PM<sub>2.5</sub> emitted from the combustion of biomass materials, fossil fuels, and in ambient PM<sub>2.5</sub> are shown in Table 2. It can be seen that the mass of the HULIS fractions accounted for 11.2–23.4% of the PM in smoke PM<sub>2.5</sub> emitted from BB, which is comparable to the results (7.6–29.5%) for BB reported in previous studies (Lin, 2010b, Park and Yu, 2016). It is worth noting that the highest HULIS abundance (23.4 ± 5.5%) was detected in rice straw smoke PM<sub>2.5</sub>, which is consistent with 29.5% for similar samples observed by Park and Yu (2016). But it is approximately double the 12.4% reported for similar samples by Lin et al. (2010b), which may be ascribed to their different combustion conditions and sampling methods. The abundance of HULIS in rice straw smoke PM<sub>2.5</sub> (23.4 ± 5.5%) was also significantly higher than in ambient PM<sub>2.5</sub> in this study, and in some previous studies (as listed in Table 2). The abundance of HULIS in smoke PM<sub>2.5</sub> emitted from the combustion of corn straw and

pine branch ( $11.2 \pm 7.5\%$  and  $11.4 \pm 3.8\%$ , respectively) was significantly lower than in smoke  $PM_{2.5}$  from rice straw. This was similar to the results for ambient  $PM_{2.5}$  in this study and values reported by Lin et al (2010b), although they were higher than the 6.7% reported by Salma et al. (2007) and an annual observation (5.4%) in the same location, reported in our previous study (Fan et al., 2016).

5 In comparison with the three BB smoke  $PM_{2.5}$  samples, the relative contribution of HULIS in coal smoke  $PM_{2.5}$  was relatively low ( $5.3 \pm 0.4\%$ ). This was significantly lower than the level in  $PM_{2.5}$  in this study and that reported by Lin et al (2010), but was similar to the annual average result (5.4%) for ambient  $PM_{2.5}$  in our previous study (Fan et al., 2016). According to data reported by Cao et al. (2006), more than 68% of BC emissions in China are related to the use of coal. Therefore, it is expected that  
10 coal combustion is an important primary source of atmospheric HULIS. It is noteworthy that HULIS only accounts for  $\sim 0.8\%$  of diesel soot, suggesting that the primary source of atmospheric HULIS from vehicle exhaust may be negligible. Because the abundance of HULIS in diesel soot were so low, it was difficult to characterize this HULIS fraction.

As an important carbonaceous component, the C content of HULIS (HULIS-C) in smoke  $PM_{2.5}$  particles emitted from the combustion of rice straw, corn straw, and pine branch accounted for  $21.7 \pm 4.0$ ,  $14.7 \pm 6.9$ , and  $8.0 \pm 2.9\%$  of the TC, respectively. These results are very consistent with the results reported for BB in previous studies (Schmidl et al., 2008a, b; Goncalves et al., 2010; Lin et al., 2010b; **Park and Yu, 2016**). The HULIS-C in coal smoke  $PM_{2.5}$  was  $5.2 \pm 0.3\%$ , which was significantly lower than that ( $22.6 \pm 3.7\%$ ) in ambient  $PM_{2.5}$ . The contribution of HULIS-C to the TC of diesel soot was  
15 about 0.7%, which was similar to the 1.0%–1.3% reported for vehicular exhaust in El Haddad et al. (2009). These very low HULIS-C/TC ratios also suggest that the primary vehicular exhaust source for atmospheric HULIS may be negligible.

It is well known that HULIS is an important component in WSOC. In this study, the contribution of HULIS to WSOC was investigated by the determination of TOC and UV250, respectively. As  
25 indicated in Table 2, the HULIS/WSOM ratios in the five types of smoke  $PM_{2.5}$  were 45.5%–66.1%

(TOC, %) and 58.3%–79.5% (UV250, %), indicating that HULIS was the major component in WSOM for the samples studied here. These percentages lie within the range of 19%–72% that has been reported for fine aerosols in many earlier studies (as listed in Table 2). Although relatively high HULIS/WSOM ratios were observed for all of the different smoke PM<sub>2.5</sub>, some differences were apparent. The HULIS/WSOM for the three types of biomass smoke PM<sub>2.5</sub> was 56.9%–66.1 and 68.1%–79.5%, as determined by TOC and UV250, respectively. They are similar to the values obtained for atmospheric HULIS in this study, at 60.7 and 70.7%, respectively. These results are also comparable with those reported for ambient aerosols (Zheng et al., 2013 and references therein). The HULIS/WSOM was relatively low for coal smoke PM<sub>2.5</sub>, at 45.5 and 64.4%, as determined by TOC and UV250, respectively. For the diesel soot, despite the very low HULIS-C/TC, the HULIS/WSOM for diesel soot was relatively high, at 62.3 and 58.3% as determined by TOC and UV250, respectively. It should be noted that the values of HULIS/WSOM determined by UV250 were mostly higher than those obtained by TOC. These differences have been derived from similar measurement methods and have been reported in many previous studies (Baduel et al., 2009; Fan et al., 2012), mainly as the result of the enrichment of highly conjugated  $\pi$  bond compounds in HULIS fractions. A more detailed explanation is given in section 3.3.

### 3.2 Elemental composition

The elemental compositions (C, H, N, and O) of HULIS in smoke PM<sub>2.5</sub> from the combustion of rice straw, corn straw, pine branch, and coal, and in ambient PM<sub>2.5</sub> are shown in Table 3. The mean C, H, N, and O contents for the primary HULIS samples were 52.9–66.1%, 5.5–7.1%, 2.0–4.1%, and 23.6–38.3%, respectively, by mass. This indicates the dominance of C and O, which together contributed 89.7%–91.4% of the total mass. It is obvious that the primary HULIS contain substantially higher C and lower O than ambient HULIS in this study. However, these results are comparable with those for atmospheric HULIS in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007; Salma et al., 2007; Song et al., 2012; Fan et al., 2013; Duarte et al., 2015).

Only a limited amount of information regarding the different HULIS could be obtained from the elemental composition data, but more qualitative information was obtained by an examination of the O/C, H/C, and N/C molar ratios. These atomic ratios are often used to describe characteristic and structural changes of organic macromolecules (Duarte et al., 2007; Salma et al., 2007; Fan et al., 2013).

5 The O/C molar ratios for the primary HULIS ranged from 0.27 to 0.54, indicating the existence of O containing functional groups. However, these ratios were significantly lower than 0.61–0.68 for the standard fulvic acids of the International Humic Substances Society (Duarte et al., 2007), indicating that primary HULIS was less oxidized when compared to the fulvic acids. Among the four types of primary HULIS, the O/C ratios of the three types from BB were in the range of 0.43–0.54, which were lower

10 than 0.65 for ambient HULIS in this study, but were comparable with data (0.30–0.76) for atmospheric HULIS reported in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007, 2015; Salma et al., 2007; Song et al., 2012; Fan et al., 2013). The O/C ratio of HULIS in coal smoke PM<sub>2.5</sub> was 0.27, which was significantly lower than for atmospheric HULIS samples. These results suggest that HULIS in the fresh coal smoke PM<sub>2.5</sub> could be regarded as less oxidized than HULIS in ambient

15 aerosols. The H/C molar ratios of the four primary types of HULIS were in the ranges of 1.15 to 1.43, which were lower than that (1.59) for atmospheric HULIS in this work. However, they dropped in the range of observations (1.01–1.53) reported in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007, 2015; Salma et al., 2007; Song et al., 2012; Fan et al., 2013). The N/C molar ratios of primary HULIS were 0.03–0.06, with both being similar to the results for atmospheric HULIS in this

20 and previous studies (Table 3). In addition, the ratio of OM to organic C (OM/OC) mass ratios of the four types of primary HULIS ranged from 1.51 to 1.89, which were lower than 2.06 for ambient HULIS in this study, but were generally in the range of the data (1.5–2.28) reported for atmospheric HULIS in previous studies (Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2007; Salma et al., 2007; Song et al., 2012; Fan et al., 2013; Duarte et al., 2015).

25 In summary, the four types of primary HULIS among the smoke PM<sub>2.5</sub> samples had many

similarities, in terms of its elemental composition, to atmospheric HULIS samples. However, there were also some distinct differences. The HULIS samples in the three types of biomass smoke PM<sub>2.5</sub> had a relatively lower C content (52.9–57.4%), higher O content (33.0–38.3%), higher O/C molar ratio (0.43–0.54) and higher OM/OC (1.74–1.89) than those in coal smoke PM<sub>2.5</sub>. These results indicated that the HULIS in BB smoke contained a relatively higher content of O-containing components. Among of the three HULIS in BB smokes, the O/C molar ratio of corn straw HULIS were higher than those of rice straw and pine branch HULIS, indicating it contain more contents of O-containing compounds. In terms of H/C molar ratio, HULIS from rice straw and coal combustion exhibit lower values than other two primary HULIS.

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### 3.3 UV-vis properties

UV-vis absorbance has been widely used to characterize the properties of organic matter in soils, waters, and atmospheric systems. Figure 1 shows the UV-vis spectra of the four primary types of HULIS in smoke PM<sub>2.5</sub> emitted from BB and coal combustion, and one atmospheric HULIS sample from ambient PM<sub>2.5</sub>. The spectra were all normalized by the C content of HULIS to avoid the effects of different concentrations and were easily compared with each other. As shown in Figure 1, the UV-vis spectra of all HULIS fractions were featureless, while they displayed a generally decreasing absorbance as the wavelength increased. Such spectra are similar to the typical UV-vis spectra of atmospheric HULIS in previous studies (Havers et al., 1998; Varga et al., 2001; Kiss et al., 2002; Duarte and Duarte, 2005; Duarte et al., 2005; Krivacsy et al., 2008; Baduel et al., 2009, 2010; Fan et al., 2012) and in naturally occurring humic substances (Traina et al., 1990; Peuravuori and Pihlaja, 1997; Chen et al., 2002; Domeizel et al., 2004). These results suggest that the primary HULIS in smoke PM<sub>2.5</sub> has a similar chemical structure to HULIS in atmospheric aerosols.

Although the spectra appeared to be broad and featureless, some differences in the absorption intensity were apparent. The spectra obtained for the four types of primary HULIS in smoke PM<sub>2.5</sub>

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exhibited a higher normalized absorbance in shorter wavelength regions, and less absorbance in the longer wavelength regions than atmospheric HULIS. A clear shoulder in the region 250 to 300 nm was observed in the spectra of primary HULIS fractions in smoke PM<sub>2.5</sub> emitted from BB and coal combustion. This is generally attributed to  $\pi$ - $\pi^*$  electron transitions in moieties containing C=C and C=O double bonds, and is also a characteristic of fulvic acids (Peuravuori and Pihlaja, 1997; Domeizel et al., 2004). These results suggest that the primary HULIS in smoke PM<sub>2.5</sub> may contain a higher concentration of polycyclic aromatic and conjugated compounds than ambient HULIS.

Among of the four types of primary HULIS, HULIS in smoke PM<sub>2.5</sub> emitted from corn straw burning had a higher normalized UV-vis absorbance in the overall spectra than the other primary HULIS fractions. HULIS in coal smoke had a lower normalized absorbance than the other types of primary HULIS, and was even much lower than that for atmospheric HULIS in the region of 350 to 535 nm, with its absorbance mainly focused in region of 235 nm to 385 nm. This may suggest that polycyclic aromatic and/or conjugated compounds are the most important components in this type of HULIS, and was in strong agreement with the results obtained from the <sup>1</sup>H NMR analysis.

The SUVA<sub>254</sub> and E<sub>250</sub>/E<sub>365</sub> have been found to be correlated with aromaticity and molecular weight of natural occurring humic acids (Peuravuori et al., 2001; Fuentes et al., 2006; Chen et al., 2002). They have also been frequently applied to characterize HULIS in atmospheric aerosols (Duarte and Duarte, 2005; Duarte et al., 2005; Krivacsy et al., 2008; Baduel et al., 2009, 2010; Fan et al., 2012). In this study, these parameters were used to perform comparisons between HULIS in smoke PM<sub>2.5</sub> and in ambient PM<sub>2.5</sub>, with the results shown in Table 4. The SUVA<sub>254</sub> values of primary smoke HULIS samples ranged from 3.7 to 3.9 L (m mgC)<sup>-1</sup>, which was higher than the value of the atmospheric HULIS fractions obtained in this study and our previous studies (Fan et al., 2012, 2016). These results indicate that the primary HULIS contain more aromatic groups with conjugation of  $\pi$ -bonds alongside aliphatic structures. Similar characters were also found in many previous studies. For example, it has been found that HULIS fraction in colder season presented more aromatic structures than those in

warmer season, of which the BB might be an important contribution of the former one (Baduel et al., 2010; Matos et al., 2015a, b; Paula et al., 2016). Moreover, the  $E_{250}/E_{365}$  ratios were also investigated, which were found to be  $5.8 \pm 0.5$ ,  $4.5 \pm 0.2$ ,  $4.4 \pm 0.3$ , and  $14.7 \pm 0.7$  for primary HULIS emitted from the combustion of rice straw, corn straw, pine branch, and coal, respectively. The  $E_{250}/E_{365}$  ratio generally exhibits a negatively relationship with the aromaticity or molecular weight of humic-like substances (Duarte and Duarte, 2005; Fan et al., 2012). The  $E_{250}/E_{365}$  ratios of primary HULIS in smoke  $PM_{2.5}$  from BB were in the range of 4.4–5.8, which is comparable to that (2.9–8.9) of atmospheric HULIS in here and previous studies (As listed in Table 4).

There were also some distinct features among the different types of primary HULIS in terms of their UV-vis properties. No significant differences in  $SUVA_{254}$  were identified among the primary HULIS from the combustion of rice straw, corn straw, pine branch, and coal. However, the  $E_{250}/E_{365}$  ratios of the four types of primary HULIS ranged from 4.4 to 14.7, with the highest values for the HULIS from coal combustion. It was noteworthy that the  $E_{250}/E_{365}$  ratio of HULIS in coal soot was 14.7, which was much higher than for the HULIS in biomass smoke  $PM_{2.5}$  and in atmospheric  $PM_{2.5}$ , but the  $SUVA_{254}$  value was in a similar range for all of these types of HULIS. Therefore, caution should be expressed when using just the spectra parameter of  $E_{250}/E_{365}$  for the characterization of HULIS.

### 3.4 Fluorescence properties

Fluorescence spectroscopy has been used as a technique for classifying and distinguishing between humic substances of various origins and natures. It has been widely applied to characterize HULIS in atmospheric aerosols (Duarte et al., 2004; Santos et al., 2009; Santos et al., 2012). Figure 2 shows the EEM fluorescence spectra of HULIS in smoke  $PM_{2.5}$  from BB and coal combustion, and in ambient  $PM_{2.5}$ . To avoid concentration effects, the fluorescence spectra were normalized by the WSOC content of HULIS, and are shown here as specific fluorescence intensities (a.u.L/(gC)).

As shown in Figure 2, the four types of primary HULIS in smoke  $PM_{2.5}$  have similar fluorescence

features, with two main types of fluorophores at  $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx (245\text{--}255)/(420\text{--}435)$  nm (peak A) and  $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx (265\text{--}290)/(335\text{--}370)$  (peak B). Bands in the same range as peaks A and B have been already identified in the EEM fluorescence spectra of water-soluble organic matter from rainwater (Kieber et al., 2006; Santos et al., 2009; Santos et al., 2012), fogwater (Birdwell and Valsaraj, 2010), and atmospheric aerosols (Duarte et al., 2004), and have been assigned to fulvic-like and protein-like fluorophores (Kieber et al., 2006), respectively. Our results indicate that the primary HULIS fractions had similar fulvic-like and protein-like organic fractions to atmospheric HULIS (Duarte et al., 2004; Santos et al., 2009; Santos et al., 2012). However some differences in peak A and B were identified between the four types of primary HULIS and the atmospheric HULIS. For peak A, the Ex/Em wavelengths in the EEM fluorescence spectra of primary HULIS had peaks at longer excitation and emission wavelengths ( $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx (245\text{--}255)/(420\text{--}435)$  nm) than those ( $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx 245/405$  nm) of atmospheric HULIS. This suggests that primary HULIS fractions contain more aromatic structures and condensed unsaturated bond systems, but fewer aliphatic structures (Peuravuori et al., 2002; Duarte et al., 2004; Graber and Rudich, 2006; Krivacsy et al., 2008; Santos et al., 2009). For peak B, the four types of primary HULIS all had a protein-like fluorescence band at a similar  $\lambda_{\text{ex}}/\lambda_{\text{em}}$  in the EEM fluorescence spectra. In all four types of primary HULIS the band was clearly stronger than the band in ambient HULIS described in this and previous studies (Duarte et al., 2004; Santos et al., 2009; Santos et al., 2012). This finding indicates that these four types of primary HULIS **are consist of more phenol-like, protein-like, and/or aromatic amino acids than atmospheric HULIS** (Coble, 1996; Peuravuori et al., 2002; Duarte et al., 2004; Kieber et al., 2006).

Compared to the ambient HULIS in this and previous studies (Duarte et al., 2004; Santos et al., 2009; Santos et al., 2012), a distinct band at  $\lambda_{\text{ex}}/\lambda_{\text{em}} \approx 295/405$  nm (peak C), which is generally attributed to humic-like compounds (Coble and Green, 1990; Coble, 1996) was not present in the EEM fluorescence spectra of primary smoke HULIS. This peak C is normally identified in naturally occurring humic acids and atmospheric HULIS, and has been assigned to marine humic-like compounds

(McKnight et al., 2001; Duarte et al., 2004; Kieber et al., 2006; Santos et al., 2009; Santos et al., 2012). Peak C only occurred in EEMs of atmospheric HULIS, which suggests marine sources were also an important contributor to HULIS in atmospheric aerosols in the Pearl River Delta (PRD) region (Lin et al., 2010a; Fan et al., 2016).

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### 3.5 FTIR spectroscopy

The FTIR spectra of the HULIS in smoke PM<sub>2.5</sub> emitted from the combustion of rice straw, corn straw, pine branch, and coal, and in ambient PM<sub>2.5</sub> were within the region of 4000–1000 cm<sup>-1</sup>, as shown in Figure 3. All spectra were characterized by a number of absorption bands, exhibiting variable relative intensities, which is typical of humic(-like) materials (Senesi et al., 1989; Havers et al., 1998; Duarte et al., 2007). As shown in Figure 3, the spectra of primary HULIS were similar to those of the atmospheric HULIS and WSOM in this and previous studies (Havers et al., 1998; Krivacsy et al., 2001; Kiss et al., 2002; Duarte et al., 2005; Polidori et al., 2008; Santos et al., 2009; Fan et al., 2013; Duarte et al., 2015). The interpretation of these spectra was based on the assignments given in the literature referred to above for humic(-like) substances, resulting in the major characteristic bands that are marked in Figure 3, with the corresponding assignments listed in Table 5.

As shown in Figure 3, the FTIR spectra of the four types of primary HULIS fractions predominantly exhibit the presence of O-containing functional groups, aliphatic C-H groups, and aromatic ring groups, with the majority of the valence vibrations also being characteristics. The broad and strong band centered at around 3420 cm<sup>-1</sup> is generally attributed to the OH stretching of phenol, hydroxyl, and carboxyl groups. The strong band near 1720 cm<sup>-1</sup> is usually assigned to C=O stretching, mainly of carboxyl groups. However, to a lesser extent, ketonic and/or aldehydic C=O groups can also give rise to absorption near this wavenumber, and their contribution should not be neglected. Some bands were also displayed near 1458, 1610 and 1637 cm<sup>-1</sup>, indicating the presence of aromatic groups. These results suggest that primary smoke HULIS are complex compounds, mainly containing aliphatic

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chains, carboxylic groups, and aromatic groups. These FTIR spectra features are similar to those of the atmospheric HULIS described in this and other studies (Krivacsy et al., 2001; Duarte et al., 2005; Song et al., 2012; Fan et al., 2013; Duarte et al., 2015).

There were many discriminatory characteristics between primary HULIS and atmospheric HULIS. 5 **Relative weaker band at 1710 cm<sup>-1</sup> for primary HULIS than for atmospheric HULIS was observed in Figure 3, indicating the former ones present less carboxyl groups (Song et al., 2012; Fan et al., 2013).** The **bands at 1458 and 1610 cm<sup>-1</sup>, which are** generally attributed to the C–C stretching of aromatic rings (Watanabe and Kuwatsuka, 1992; Duarte et al., 2015), are observed in spectra of primary HULIS from direct combustion emissions. However, **they were less intense, or even** absent in the FTIR spectra of 10 atmospheric HULIS fractions in this and some previous studies (Krivacsy et al., 2001; Duarte et al., 2005; Fan et al., 2013). This indicates that more aromatic rings are present in the primary HULIS from BB and coal combustion than in atmospheric HULIS. In addition, the bands near 1516 and 1115 cm<sup>-1</sup>, which are ascribed to the stretching vibrations of aromatic C=C and C–O bonds, were apparent in spectra of primary HULIS from BB, but absent from the atmospheric HULIS in this and some other 15 studies (Santos et al., 2009; Santos et al., 2012; Fan et al., 2013). Because these wavenumber regions are often typically displayed in the spectra of lignin, they can be used as a marker to reflect BB contributions to atmospheric HULIS. For example, this band has been observed in the FTIR spectra of atmospheric HULIS in the autumn and winter seasons, when HULIS is likely to be significantly influenced by BB (Duarte et al., 2005; Duarte et al., 2007). Simultaneously, a relatively strong band at 20 1045 cm<sup>-1</sup> was found in the spectra of primary HULIS from BB, which is often attributed to the C–O bond stretching of polysaccharides (Havers et al., 1998), and is also a characteristic of BB sources.

There were also some discriminatory differences between the primary HULIS fractions in the minor bands, and in the shape and intensity of the major bands in the 2000–1000 cm<sup>-1</sup> region of FTIR spectra. As shown in Figure 3, relatively sharper and stronger peaks at 1637, 1610, 1458, and 1385 cm<sup>-1</sup> 25 were displayed in the spectra of HULIS fractions in smoke PM<sub>2.5</sub> from coal combustion than those from

BB. These results indicated that coal smoke HULIS consisted of more aromatic structures. It was noteworthy that additional peaks at 3160 and 1400  $\text{cm}^{-1}$  were observed in the spectra of HULIS from the burning of corn straw. They are related to the stretching of C–O and O–H bonds (Watanabe and Kuwatsuka, 1992; Chen et al., 2002), indicating that there were more oxygenated phenolic structures in the HULIS from corn straw burning. This is consistent with the results derived from the elemental analysis, in which a higher O/C molar ratio was obtained for HULIS from corn straw burning. One distinct feature of the primary HULIS from BB was the occurrence of one sharper and stronger peak at 1516  $\text{cm}^{-1}$  on the FTIR spectra. This band is generally assigned to stretching vibrations of aromatic C=C bonds and C–O bonds, and is often observed in the spectra of compounds derived from lignin (Watanabe and Kuwatsuka, 1992; Santos et al., 2000; Duarte et al., 2003). Consequently, primary HULIS from BB displayed the characteristic of containing a lignin-like structure in its molecules, which can be seen as an important indicator of a BB source.

### 3.6 $^1\text{H}$ -NMR spectroscopy

A critical review on the application of  $^1\text{H}$  NMR spectroscopy on WSOM in atmospheric aerosols has been presented, in which  $^1\text{H}$  NMR was demonstrated to be an important and novel tool to characterize WSOM, which can not only provide deeply insight into the structural characteristics of them but also reflect their sources (Duarte and Duarte, 2015). In this work,  $^1\text{H}$  NMR was applied to characterize the primary HULIS from BB and coal combustion and atmospheric HULIS. Figure 4 shows the  $^1\text{H}$  NMR spectra of the four types of primary HULIS in smoke  $\text{PM}_{2.5}$  emitted from the combustion of rice straw (a), corn straw (b), pine branch (c), and lignite coal (d), and atmospheric HULIS in ambient  $\text{PM}_{2.5}$  (e). The four types of primary HULIS displayed similar spectra to the atmospheric HULIS spectra in this study, which were also comparable to  $^1\text{H}$  NMR spectra of HULIS and/or WSOM in fog (Decesari et al., 2000), cloud (Decesari et al., 2005), rain water (Santos et al., 2009, 2012), biomass burning aerosols (Graham et al., 2002) and urban/rural aerosols (Decesari et al.,

2007; Ziembra et al., 2011; Song et al., 2012; Fan et al., 2013; Lopes et al., 2015).

As shown in Figure 4, compared with the atmospheric HULIS, more distinct sharp signals of organic species could be seen in the  $^1\text{H}$  NMR spectra of primary HULIS. According to the results of Fan et al. (2012), some low molecular weight organic compounds (e.g., suberic, 3,5-dihydroxybenzoic, and phthalic acids) are generally present in isolated HULIS fractions. Thus, these sharp peaks in the  $^1\text{H}$  NMR spectra of primary HULIS can be ascribed to low molecular weight organic species in the smoke  $\text{PM}_{2.5}$ . The relatively few and/or weak sharp peaks in the  $^1\text{H}$  NMR spectra of atmospheric HULIS may be the result of low molecular weight organic compounds that have been removed by oxidation or transformed to HULIS. Among these sharp signals, a limited number of resonances could be attributed to specific organic species by comparison with previous studies (Decesari et al., 2000, 2001; Suzuki et al., 2001; Matta et al., 2003; Cavalli et al., 2006; Chalbot et al., 2014, 2016; Lopes et al., 2015). These sharp signals included low molecular weight formate ( $\delta 8.44$  ppm), terephthalic acid ( $\delta 8.01$  and  $8.12$  ppm), phthalic acid ( $\delta 7.45$ – $7.47$  and  $7.58$  ppm), glucose ( $\delta 3.88$ – $3.91$  and  $3.81$ – $3.85$  ppm), fructose ( $\delta 3.79$ – $3.84$  ppm), trimethylamine ( $\delta 2.71$  and  $2.89$  ppm), dimethylamine ( $\delta 2.72$  ppm), monomethylamine ( $\delta 2.55$  ppm). It is worth noting that all BB-derived HULIS present more sharp glucose and fructose resonances than atmospheric HULIS in  $^1\text{H}$  NMR spectra, but they were absent for coal combustion derived HULIS. On the other hand, coal combustion derived HULIS contain more sharp resonances of terephthalic acid and phthalic acid than atmospheric HULIS, but they were absent for BB-derived HULIS. Moreover, whether BB-derived or coal combustion derived HULIS exhibit many sharp signals between  $6.5$ – $8.5$  ppm, which could be ascribed to aromatic structures, such as substituted phenols and alkylbenzenes (around  $6.6$ – $7.0$  ppm), benzoic acids, esters, and nitroaromatics (Suzuki et al., 2001; Chalbot et al., 2014).

Although some sharp peaks were identified above, most of the signals in the spectra of all HULIS fractions appeared as a continuous unresolved distribution. This suggests that HULIS consists of a complex mixture of organic substances (Samburova et al., 2007; Song et al., 2012; Fan et al., 2013;

Lopes et al., 2015). The integrated  $^1\text{H}$  NMR signal over specific ranges of chemical shift has been used previously to quantify the contribution of organic functional groups in HULIS from urban/rural aerosols (Song et al., 2012; Fan et al., 2013; Lopes et al., 2015) and rainwater (Miller et al., 2009; Santos et al., 2009, 2012). Accordingly, four main regions of chemical shifts were assigned and integrated in the spectra:  $\delta\text{H} = 0.6\text{--}2.0$  ppm (aliphatic protons in alkyl chains,  $[\text{H-C}]$ );  $\delta\text{H} = 2.0\text{--}3.2$  ppm (aliphatic protons attached to C atoms adjacent to a carbonyl or aromatic group  $[\text{H-C-C=}]$ );  $\delta\text{H} = 3.4\text{--}4.4$  ppm (protons on C atoms singly bound to O or other heteroatoms, indicative of protons associated with carbohydrates, ethers, or esters,  $[\text{H-C-O}]$ ); and  $\delta\text{H} = 6.5\text{--}8.5$  ppm (aromatic protons,  $[\text{Ar-H}]$ ). It is worth noting that a few distinct weak peaks between 9 and 10 ppm were observed in the primary HULIS in fresh soot particles, and can be attributed to aldehydic protons,  $[\text{H-C=O}]$  (Ziemba et al., 2011). However, they were absent in the atmospheric HULIS or WSOM in this and other studies (Decesari et al., 2005; Cavalli et al., 2006; Decesari et al., 2007; Samburova et al., 2007; Song et al., 2012; Fan et al., 2013; Lopes et al., 2015). This region only accounts for a minor fraction ( $<2\%$ ), and therefore was not considered further.

Table 6 shows the distribution of the four types of protons described above, estimated from the area of the observed  $^1\text{H}$  NMR regions for different HULIS samples. The four types of primary HULIS in fresh smoke particles contained a relatively high content of H-C (27%–45%), H-C-C= (22%–40%), and Ar-H (19%–31%) groups, and a relatively low content of the H-C-O group (2-19%). These four functional groups have also been observed in the  $^1\text{H}$  NMR spectra of atmospheric HULIS in this and other studies, but the relative distribution of these four functional groups are different. Whether atmospheric HULIS in this work or in other studies from ambient aerosol (Song et al., 2012; Fan et al., 2013; Lopes et al., 2015) and rainwater (Miller et al., 2009; Santos et al., 2009, 2012) were all characterized by a predominance of  $[\text{H-C}]$  (37–60%), followed by  $[\text{H-C-C=}]$  (20–37%) and  $[\text{H-C-O}]$  (10–24%), and a less contribution from  $[\text{Ar-H}]$  (1–12%). It was noted that the relative content of  $[\text{Ar-H}]$  groups (19–31%) in primary HULIS was significantly higher than that in atmospheric HULIS. This

suggests that primary HULIS contained more aromatic structures, which is consistent with the elemental composition, UV-vis spectra, EEM fluorescence spectra, and FTIR spectra results. **This result is also consistent with the observations of more aromatic protons in HULIS in colder seasons ascribed to BB influence (Song et al., 2012; Lopes et al., 2015).**

5        Although similarities existed, some differences **on functional groups distributions** were also observed between the primary HULIS from BB and coal combustion. As shown in Table 6, there was a relatively higher content of [H-C-C=] (40%) and [Ar-H] (31%) in **primary HULIS** from coal combustion than from BB, indicating that the primary HULIS in coal smoke contained more **unsaturated aliphatic (i.e., carbonyl groups (C=O)) and aromatic** structural groups. In addition, the content of [H-C-O] in HULIS from coal combustion was only 2%, which was significantly lower than in HULIS from BB. As described above, the [H-C-O] group was assigned to protons associated with carbohydrates and ethers. Therefore, these differences could be ascribed to the fact that the HULIS from BB contained a relatively high content of carbohydrate derived compounds. The lower molar O/C and mass OM/OC ratios, and lower intensity of peaks at 1516 and 1045  $\text{cm}^{-1}$  and higher intensity of peaks at 10  
15 1637, 1610, 1458, and 1385  $\text{cm}^{-1}$  in the FTIR spectra of HULIS from coal burning all support this finding. **For the HULIS from BB, a relatively high content of [H-C] and low content of [Ar-H] were observed for HULIS from pine branch combustion when compared to the HULIS from rice straw and corn straw combustion. These results suggest that the primary HULIS from pine branch combustion contained more aliphatic protons and fewer aromatic protons than the HULIS from rice straw and corn**  
20 **straw combustion.**

### **3.7 Light absorption properties**

Recently, the organic aerosols not only black carbon or elemental carbon have been drawn more and more attentions for their light absorption effects. WSOM are important fractions in organic aerosols, which have been documented to have strong light absorbing properties (Chen and Bond, 2010);  
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Hecobian et al., 2010; Cheng et al., 2011; Liu et al., 2013; Zhang et al., 2013; Du et al., 2014; Kirillova et al., 2014; Yan et al., 2015; Cheng et al., 2016; Kim et al., 2016; Park and Yu, 2016). The AAE and MAE<sub>365</sub> have been used to reflect the light absorbing properties of water soluble brown carbon (refer to WSOM) in above studies. However, the investigation on light absorbing properties of primary HULIS from direct combustion emissions is very limited. As an important component of WSOM, the observation on light absorbing properties of HULIS is valuable for further understanding on their environment effects.

Light absorption of primary HULIS in this study increased sharply towards shorter wavelengths (not shown), which is characteristic for brown carbon spectra (Du et al., 2014; Park and Yu, 2016). The AAE of HULIS fitted between wavelengths of 330 to 400 nm for rice straw, corn straw, pine branch, and coal combustion smoke PM<sub>2.5</sub> were  $8.2 \pm 0.6$ ,  $6.7 \pm 0.6$ ,  $6.7 \pm 0.7$  and  $13.6 \pm 0.2$ , respectively (Table 7). The AAE values of primary HULIS from biomass burning are comparable to those of atmospheric HULIS from urban aerosols in this study ( $7.0 \pm 0.2$ ) and from the Amazon biomass burning aerosols in earlier research (6.4–6.8) (Hoffer et al., 2006). Because of limited observation on AAE of HULIS, the comparisons between HULIS and WSOM were conducted. As seen in Table 7, primary HULIS and WSOM from same smoke PM<sub>2.5</sub> almost present the same AAE values. Those AAE values of HULIS were also in the range of those of primary WSOM from BB (7.4–17.8) and atmospheric WSOM from urban/rural aerosols (5.3–8.3) reported in previous studies (Chen and Bond, 2010; Hecobian et al., 2010; Cheng et al., 2011; Liu et al., 2013; Zhang et al., 2013; Du et al., 2014; Kirillova et al., 2014; Yan et al., 2015; Cheng et al., 2016; Kim et al., 2016; Park and Yu, 2016). It is worth noting that the AAE of HULIS from coal combustion is observed as 13.6, which is significantly higher than those of primary HULIS or WSOM from BB in this study and in Park and Yu (2016). They are also substantially higher than those of atmospheric WSOM from ambient aerosols in here and previous studies (Hecobian et al., 2010; Cheng et al., 2011; Liu et al., 2013; Zhang et al., 2013; Du et al., 2014; Kirillova et al., 2014; Yan et al., 2015; Cheng et al., 2016; Kim et al., 2016; Park and Yu, 2016).

The mass absorption efficiency ( $MAE_{365}$ ), which characterizes the efficiency of absorbing solar energy by per DOC of HULIS, was also investigated in this study. As observed in Table 7, the  $MAE_{365}$  of primary HULIS were  $1.54 \pm 0.30$ ,  $2.09 \pm 0.41$ ,  $0.97 \pm 0.22$  and  $0.63 \pm 0.03$   $m^2/gC$  for rice straw, corn straw, pine branch and coal smoke emissions, respectively. It is obvious that the  $MAE_{365}$  of HULIS were higher than those of the corresponding WSOM, suggesting a stronger absorbing ability of HULIS. Moreover, these primary HULIS  $MAE_{365}$  values seems to be comparable to ambient WSOM  $MAE_{365}$  ( $0.13$ - $1.79$   $m^2/gC$ ) in previous studies (Hecobian et al., 2010; Cheng et al., 2011; Liu et al., 2013; Zhang et al., 2013; Du et al., 2014; Kirillova et al., 2014; Yan et al., 2015; Cheng et al., 2016; Kim et al., 2016; Park and Yu, 2016). For the four primary HULIS, it is noteworthy that  $MAE_{365}$  of primary HULIS from BB was typically  $\sim 1.5$ – $3$  times higher than from coal combustion. It suggests that primary HULIS from BB contain more light absorbing chromophores than HULIS from coal combustion, which could significantly affect the light absorbing abilities of organic aerosols.

#### 4. Conclusions

In this work, the primary HULIS fractions in smoke  $PM_{2.5}$  emitted from the combustion of biomass materials and fossil fuels were isolated and comprehensively characterized by various analytical methods, including TOC analysis, elemental analysis, UV-vis, EEM fluorescence, FTIR, and  $^1H$  NMR spectroscopy. The main conclusions were:

(1) The HULIS fractions were important components of smoke  $PM_{2.5}$  obtained from the combustion of biomass materials and coal, and accounted for 5.3%–23.4% of PM, 5.2%–21.7% of TC, and 45.5%–66.1% of WSOC, respectively. These results indicate that BB and coal combustion are all important sources of HULIS in atmospheric aerosols. However, the HULIS fractions in diesel soot only accounted for 0.8% of soot particles, suggesting that the primary vehicular exhaust source for atmospheric HULIS may be negligible.

(2) The primary and atmospheric HULIS were very similar in many aspects. At first, they had

similar chemical compositions, in which C and O were the dominant elements. Moreover, many similarities in chemical properties and structures were also detected. For example, the UV-vis spectra of primary HULIS and atmospheric HULIS were all characterized by features that indicated the absorbance decreased as the wavelength increased. The two main peaks assigned to fulvic-like (peak A) and protein-like (peak B) fluorophores were both observed in the EEM spectra of primary and atmospheric HULIS. In the case of the  $^1\text{H}$  NMR analysis, four main regions of chemical shifts, assigned to [H-C], [H-C-C=], [H-C-O] and [Ar-H] in the primary HULIS, were also found in atmospheric HULIS. The AAE and MAE<sub>365</sub> of the BB derived primary HULIS were similar to those of atmospheric HULIS and/or WSOM.

(3) There were also some differences identified between primary and atmospheric HULIS. At first, the O/C atomic ratios of coal combustion derived HULIS was significantly lower than the ratio for atmospheric HULIS samples. Moreover, the primary HULIS were characterized as contain more polycyclic aromatic and conjugated compounds than atmospheric HULIS, as consistently revealed by the UV-vis, EEM fluorescence, FTIR, and  $^1\text{H}$  NMR spectroscopy analysis. For example, primary HULIS exhibit relative higher absorbance in shorter wavelength regions than atmospheric HULIS in the UV-vis spectra. The relative content of the [Ar-H] group in primary HULIS was significantly higher than in atmospheric HULIS, as shown by the  $^1\text{H}$  NMR analysis. In addition, many sharp signals of organic species ascribed to low molecular weight aromatic organic compounds were observed in the  $^1\text{H}$  NMR spectra of primary HULIS, but they were not as abundant in the  $^1\text{H}$  NMR spectra of atmospheric HULIS.

(4) Some distinct features were also identified among the four types of primary HULIS. For example, the BB HULIS contain a relatively higher content of O-containing components than HULIS from coal combustion as revealed by elemental analysis. In addition, the results from the FTIR and  $^1\text{H}$  NMR spectroscopy indicated that the primary HULIS from BB contained relative high contents of lignin-like and carbohydrate derived structures, while the primary HULIS from coal combustion

contained relatively high levels of aromatic structures. The MAE<sub>365</sub> of BB HULIS are 0.97-2.09 m<sup>2</sup>/g, which are higher than that of coal combustion HULIS, suggesting the former one own stronger light absorption properties. For the three types of BB HULISs, a relatively higher content of [H-C] and lower content of [Ar-H] were observed for HULIS in pine branch smoke than HULIS in the rice straw and corn straw smokes, suggesting that the primary HULIS from pine combustion contained more aliphatic protons and less aromatic protons.

## 5. Implications

As a significant fraction of water soluble organic matter, HULIS has been widely studied in recent years. However, the studies of primary HULIS directly emitted from combustion processes with respect to amount and chemical properties are still limited. This work is a comprehensive study for the primary HULIS from direct combustion of rice straw, corn straw, pine branch, coal and diesel fuels. The results confirmed that combustion processes including BB and coal combustion are significant sources of atmospheric HULIS, but the vehicular exhaust source for primary HULIS may be negligible. It's noted that coal combustion was firstly identified as an important source of primary HULIS. Moreover, the chemical properties, and structures of primary HULIS from combustion process were firstly comprehensively characterized. Many similarities of chemical aspects were observed between primary HULIS and atmospheric HULIS, but some distinct features were also identified for the primary HULIS. These comprehensive characterizations of primary HULIS in smoke PM<sub>2.5</sub> are very helpful to the better understanding of the chemical natures of primary HULIS from direct combustion emissions and their contribution to atmospheric HULIS. Nevertheless, some questions are still remained and more efforts should be made in the future: 1) the emission factors and chemical characteristics of primary HULIS formed from combustion of more types of biomass materials, coals, etc.; 2) the emission factors and chemical characteristics of primary HULIS formed under controlled combustion conditions (e.g., flaming or smoldering burns, combustion temperature and air dilution ratio); 3) the aging process of

primary HULIS in atmospheric environment.

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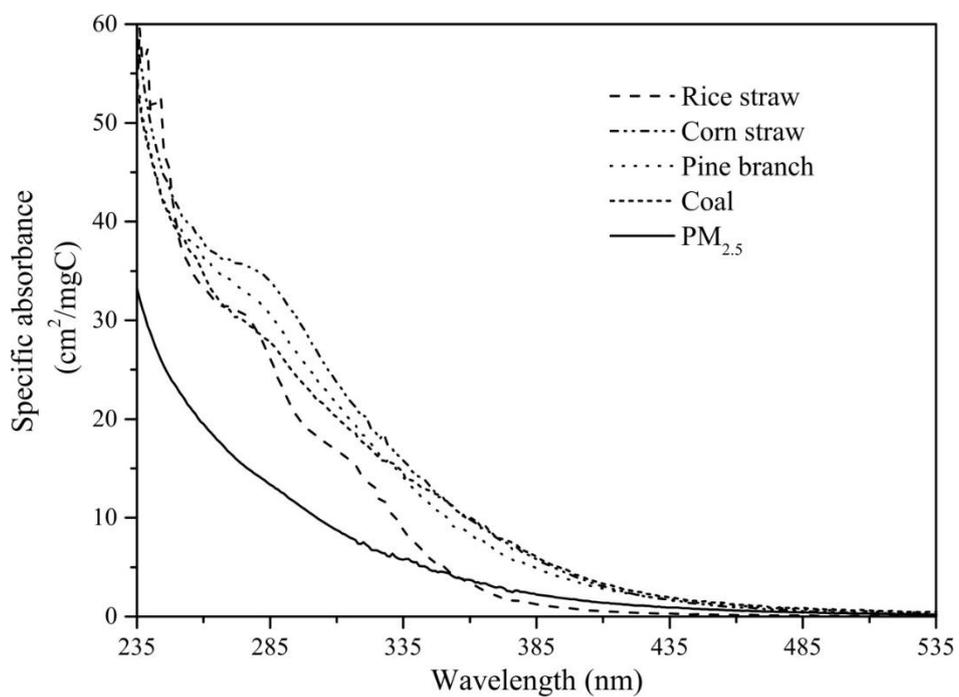
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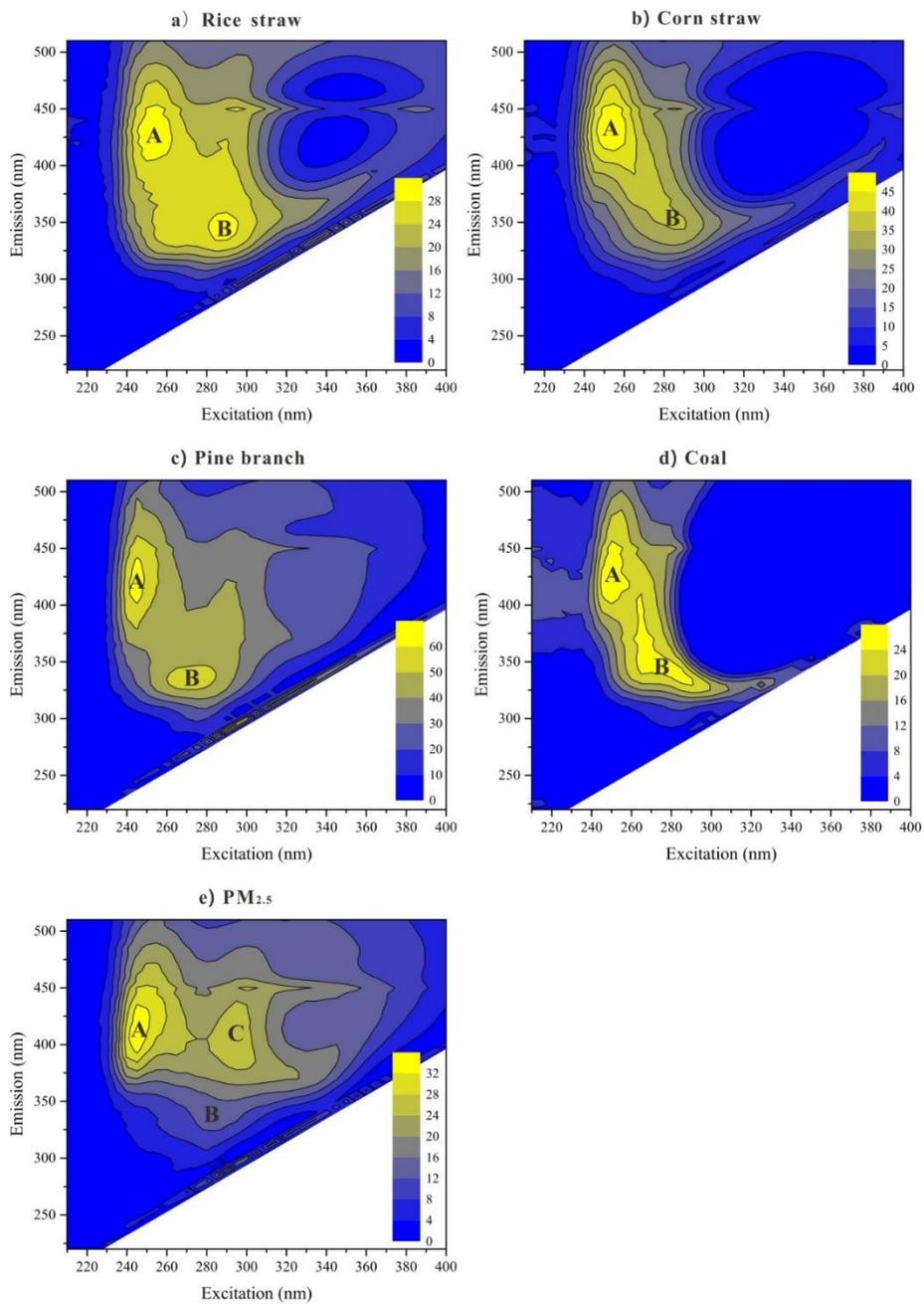
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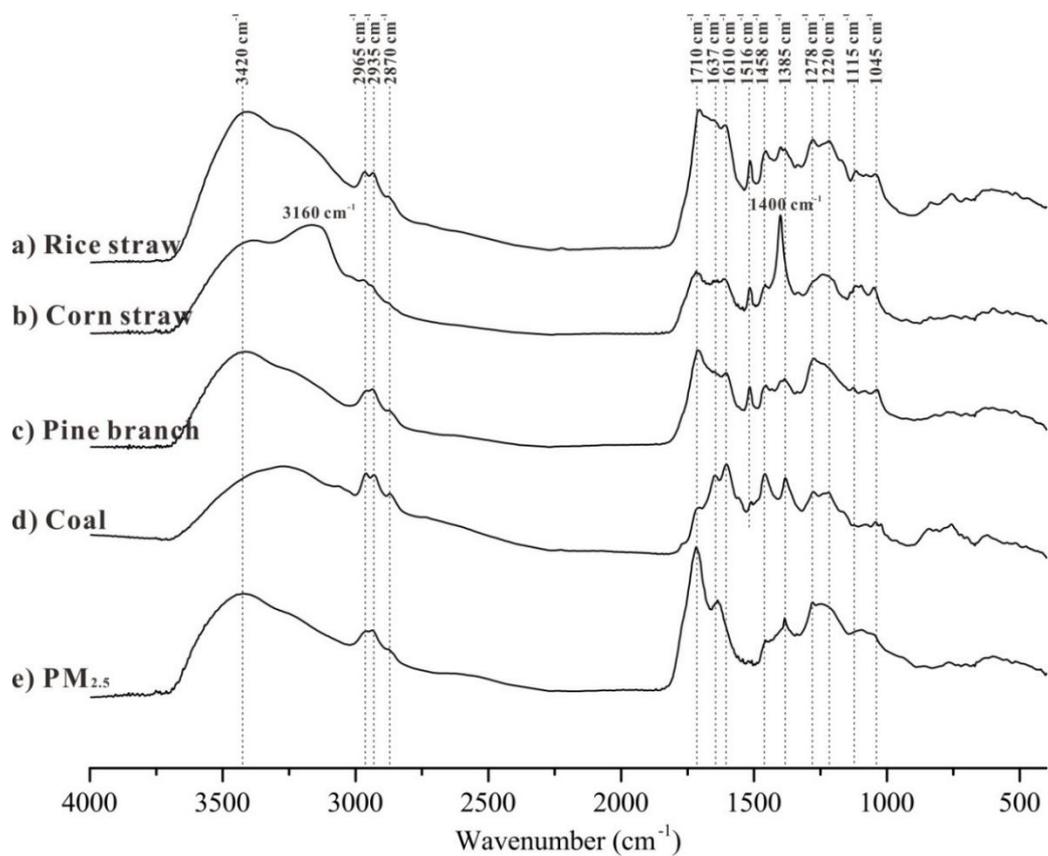
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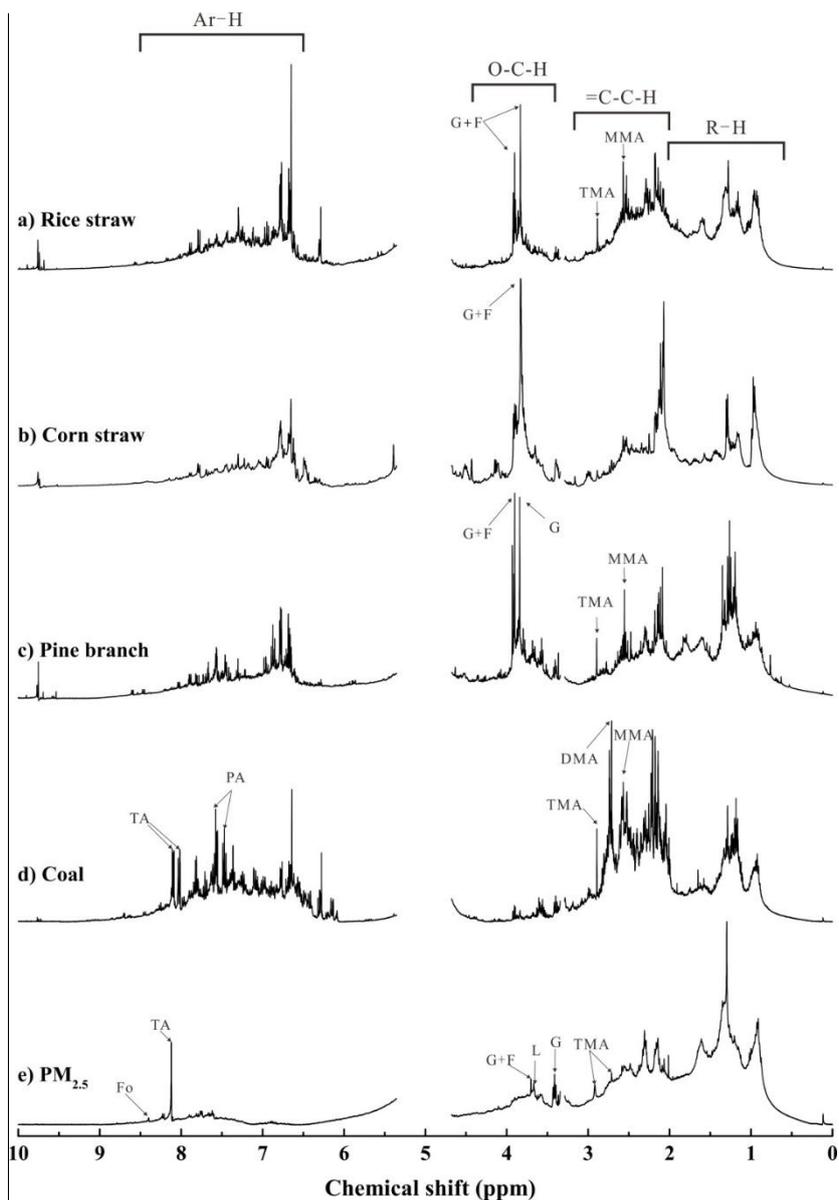
**Figure 1.** The normalized UV-vis spectra with TOC (units:  $\text{cm}^2/\text{mgC}$ ) of HULIS in smoke  $\text{PM}_{2.5}$  from combustion of rice straw, corn straw, pine branch and coal, and in ambient  $\text{PM}_{2.5}$ .



**Figure 2.** EEM spectra of HULIS in smoke PM<sub>2.5</sub> from combustion of rice straw (a), corn straw (b), pine branch (c), coal (d), and in ambient PM<sub>2.5</sub> (e), presented as specific intensity (a.u. L/(g C)).



**Figure 3.** FTIR spectra of primary HULIS in smoke PM<sub>2.5</sub> from combustion of rice straw (a), corn straw (b), pine branch (c), coal (d), and in ambient PM<sub>2.5</sub> (e).



**Figure 4.**  $^1\text{H}$  NMR spectra of HULIS in smoke  $\text{PM}_{2.5}$  from combustion of rice straw (a), corn straw (b), pine branch (c), coal (d), and in ambient  $\text{PM}_{2.5}$  (e). The segments from 3.30 to 3.35 ppm and 4.80 to 5.40 ppm were removed from all NMR spectra due to MeOH and  $\text{H}_2\text{O}$  residues. The peaks were assigned to specific compounds as follows: formate (Fo), terephthalic acid (TA), phthalic acid (PA), glucose (G), fructose (F), trimethylamine (TMA), dimethylamine (DMA), monomethylamine (MMA).

Table 1. Proximate analysis of three biomass materials and two fossil fuels (wt%) (n=4)

Materials	Moisture (%)	C (%)	H (%)	N (%)	S (%)	O (%)
Rice straw	5.8 ±0.5	36.0 ±1.0	5.4 ±0.5	0.6 ±0.1	0.1 ±0.0	37.1 ±1.2
Corn straw	7.4 ±0.8	38.7 ±1.7	6.6 ±0.2	0.5 ±0.1	0.2 ±0.1	44.2 ±0.5
Pine branch	7.6 ±0.7	44.7 ±0.3	7.2 ±0.0	0.2 ±0.1	0.1 ±0.0	45.0 ±0.4
Coal	1.6 ±0.2	72.6 ±0.6	4.0 ±0.4	0.9 ±0.0	1.7 ±0.1	8.2 ±0.3
Diesel soot	-	86.5 ±0.2	1.4 ±0.1	0.4 ±0.0	0.8 ±0.1	9.1 ±0.2

**Table 2.** The contributions of HULIS to particular matters (PM), total carbon (TC), water soluble organic matter (WSOM) in smoke PM<sub>2.5</sub> emitted from combustion of biomass materials and fossil fuels, and in ambient PM<sub>2.5</sub>.

Samples	Types	Isolation methods	HULIS-C/PM (µgC/µg, %)	HULIS/PM (%)	HULIS-C/OC (%)	HULIS-C/TC (%)	HULIS/WSOM (TOC, %)	HULIS/WSOM (UV250, %)	References
Rice straw	Smoke PM <sub>2.5</sub> (n=5) <sup>a</sup>	HLB	13.5 ± 3.1	23.4 ± 5.5 <sup>b</sup>	-	21.7 ± 4.0	66.1 ± 2.4	79.5 ± 1.5	Present work
Corn straw	Smoke PM <sub>2.5</sub> (n=5)	HLB	5.9 ± 4.0	11.2 ± 7.5 <sup>b</sup>	-	14.7 ± 6.9	59.2 ± 2.4	75.4 ± 2.9	
Pine branch	Smoke PM <sub>2.5</sub> (n=5)	HLB	6.4 ± 2.2	11.4 ± 3.8 <sup>b</sup>	-	8.0 ± 2.9	56.9 ± 3.1	68.1 ± 5.5	
Coal	Smoke PM <sub>2.5</sub> (n=5)	HLB	3.5 ± 0.3	5.3 ± 0.4 <sup>b</sup>	-	5.2 ± 0.3	45.5 ± 2.1	64.4 ± 3.9	
Diesel soot	SRM 2975 (n=5)	HLB	0.5 ± 0.01	0.8 ± 0.02 <sup>c</sup>	-	0.7 ± 0.0	62.3 ± 3.7	58.3 ± 3.5	
Urban aerosols	PM <sub>2.5</sub> (n=5)	HLB	5.2 ± 0.4	10.7 ± 0.8 <sup>b</sup>	26.8 ± 3.3	22.6 ± 3.7	60.7 ± 1.0	70.7 ± 2.1	
Leaf	Smoke PM <sub>10</sub>	C18-SAX	18.5 - 21.2	-	33.0 - 34.5	27.8 - 31.3	-	-	Schmidl et al. (2008a)
Wood	Smoke PM <sub>10</sub>	C18-SAX	0.6 - 5.8	-	1.0 - 12.0	0.9 - 9.2	-	-	Schmidl et al. (2008b)
Wood	Smoke PM <sub>10</sub>	C18-SAX	1.5 - 2.4	-	3.5 - 11.5	2.8 - 5.3	-	-	Goncalves et al. (2010)
Sugarcane	Smoke PM <sub>2.5</sub>	ELSD	-	7.6	14.3	-	33	-	Lin et al. (2010b)
Rice straw	Smoke PM <sub>2.5</sub>	ELSD	-	12.4	14.7	-	30	-	Lin et al. (2010b)
Rice straw	Smoke PM <sub>2.5</sub>	HLB	15 ± 1	29.5 ± 2.0	26 ± 3	24.2	63 ± 5	-	Park and Yu (2016)
Pine needles	Smoke PM <sub>2.5</sub>	HLB	8 ± 3	15.3 ± 3.1	15 ± 4	14.9	36 ± 8	-	Park and Yu (2016)
Sesame stems	Smoke PM <sub>2.5</sub>	HLB	13 ± 4	25.8 ± 4.0	29 ± 8	28.3	51 ± 8	-	Park and Yu (2016)
Vehicular exhaust	PM <sub>2.5</sub>	DEAE	0.6	-	2.9	1.0	18.4	-	I.El Haddad et al. (2009)
Vehicular exhaust	PM <sub>10</sub>	DEAE	0.8	-	3.4	1.3	20.7	-	I.El Haddad et al. (2009)
Roadway site aerosols	PM <sub>2.5</sub>	XAD7 HP	5.2	-	34.5	26.6	59.8	-	Park et al. (2013)
Marine aerosol	PM <sub>10</sub>	HLB	-	-	-	12	19	-	Krivacsy et al. (2008)
Rural aerosols	PM <sub>1.5</sub>	HLB	-	-	-	39	57	-	Kiss et al. (2002)
Rural aerosols	PM <sub>2.5</sub>	XAD-8	4.3	-	23.2	19.5	51.9	-	Duarte et al. (2007)
Urban aerosol	PM <sub>2.5</sub>	ELSD	6.0	11.7	29.5	-	60	-	Lin et al. (2010b)
Urban aerosol	PM <sub>2.5</sub>	HLB	-	6.7	18.3	10.6	62	-	Salma et al. (2007)
Urban aerosol	PM <sub>2.5</sub>	HLB	-	-	26.6 - 28.9	18.4 - 20.8	45.8 - 49.7	-	Salma et al. (2008)
Urban aerosol	PM <sub>2.5</sub>	XAD7HP	-	-	35.4	27.6	63.0	-	Park et al. (2012)
Urban aerosol (dust)	PM <sub>2.5</sub>	XAD7HP	6.2	-	31.4	24.6	71.9	-	Park and Cho (2013)

Urban aerosols	PM <sub>2.5</sub>	ENVI-18	2.8 ± 1.3	5.4 ± 2.7	16.9 ± 4.9	13.0 ± 4.5	49.5 ± 5.9	68.3 ± 4.7	Fan et al. (2016)
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<sup>a</sup> indicating the number of replicates of samples

<sup>b</sup> HULIS mass are calculated by OM/OC ratios obtained by elemental analysis listed in Table 3.

<sup>c</sup> HULIS mass is calculated by the OM/OC ratio (1.51) of primary HULIS in coal smoke PM<sub>2.5</sub>.

**Table 3.** Elemental composition and molar ratios of HULIS in smoke PM<sub>2.5</sub> from combustion of rice straw, corn straw, pine branch and coal and in ambient aerosols.

Samples	Types	Isolation methods	Elemental composition (%)				Molar ratios			OM/OC <sup>b</sup>	References
			N	C	H	O <sup>a</sup>	H/C	O/C	N/C		
Rice straw	Smoke PM <sub>2.5</sub>	HLB	4.1	57.4	5.5	33.0	1.15	0.43	0.06	1.74	
Corn straw	Smoke PM <sub>2.5</sub>	HLB	2.5	52.9	6.3	38.3	1.43	0.54	0.04	1.89	
Pine branch	Smoke PM <sub>2.5</sub>	HLB	2.0	56.7	6.6	34.7	1.40	0.46	0.03	1.77	Present work
Coal	Smoke PM <sub>2.5</sub>	HLB	3.3	66.1	7.1	23.6	1.28	0.27	0.04	1.51	
Urban aerosols	PM <sub>2.5</sub>	HLB	2.9	48.5	6.4	42.1	1.59	0.65	0.05	2.06	
Alpine aerosol	PM <sub>2.5</sub>	C-18	2.5	52	6.7	38	1.53	0.55	0.04	1.91	Krivacsy et al. (2001)
Rural aerosol	PM <sub>1.5</sub>	HLB	2.5	52	6.2	39	1.43	0.58	0.04	1.93	Kiss et al. (2002)
Rural aerosol	PM <sub>2.5</sub>	XAD-8	2.1-3.8	51-58	5.6-6.5	32-37	1.21-1.42	0.41-0.55	0.03-0.06	1.71-1.95	Duarte et al. (2007)
Urban aerosol	PM <sub>2.5</sub>	HLB	3.1	55	7	35	1.49	0.47	0.05	1.82	Salma et al. (2007)
Urban aerosol	TSP	HLB	2.0-3.9	43-53	4.4-6.9	38-44	1.07-1.9	0.55-0.76	0.03-0.07	1.89-2.28	Song et al. (2012)
Urban aerosol	PM <sub>2.5</sub>	HLB	3.1	54	5.9	38	1.31	0.53	0.05	1.86	Fan et al. (2013)
Urban aerosol	PM <sub>2.5</sub>	DAX-8	2.3-2.6	60.4-65.7	5.61-6.08	25.9-31.2	1.01-1.15	0.30-0.39	0.03-0.04	1.5-1.7	Duarte et al. (2015)

<sup>a</sup> Calculated as O(%) = 100%-C(%) -H(%) -N(%).

<sup>b</sup> Calculated as OM to OC mass ratios

**Table 4.** Absorptivity ratio between 250 nm and 365 nm ( $E_{250}/E_{365}$ ), and the specific UV absorbance at 254 nm ( $SUVA_{254}$ ) of HULIS in smoke  $PM_{2.5}$  from combustion of rice straw, corn straw, pine branch and coal, and in ambient  $PM_{2.5}$ .

Samples	Type	Isolation methods	$E_{250}/E_{365}$	$SUVA_{254}$	References
Rice straw	Smoke $PM_{2.5}$	HLB	$5.8 \pm 0.5$	$3.7 \pm 0.5$	Present work
Corn straw	Smoke $PM_{2.5}$	HLB	$4.5 \pm 0.2$	$3.9 \pm 0.7$	
Pine branch	Smoke $PM_{2.5}$	HLB	$4.4 \pm 0.3$	$3.7 \pm 0.4$	
Coal	Smoke $PM_{2.5}$	HLB	$14.7 \pm 0.7$	$3.7 \pm 0.1$	
Urban aerosols (Fall)	$PM_{2.5}$	HLB	$7.2 \pm 0.3$	$2.5 \pm 0.1$	
SRFA	-	-	4.86	3.94	
Urban aerosols	$PM_{2.5}$	ENVI-18, HLB, XAD-8 and DEAE	4.7-5.2	2.6-4.6	Fan et al. (2012)
Rural aerosols	$PM_{2.5}$	ENVI-18, HLB, XAD-8 and DEAE	5.5-6.2	2.7-2.8	
Urban aerosols	Annual $PM_{2.5}$	ENVI-18	$5.9 \pm 0.9$	$3.2 \pm 0.5$	Fan et al. (2016)
Urban	Summer $PM_{10}$	HLB	7.3, 9.7		Krivacsy et al. (2008)
Urban	Winter $PM_{10}$	HLB	5.6, 5.7		
Rural aerosols	$PM_{1.5}$	HLB	8.0	-	Kiss et al. (2002)
Urban aerosols	Cold season $PM_{2.5}$	DEAE	3.1-3.5	-	Baduel et al. (2010)
Urban aerosols	Summer $PM_{2.5}$	DEAE	4.6-5.9	-	
Urban aerosols	Mid season $PM_{2.5}$	DEAE	3.4-3.6	-	
Biomass burning background aerosols	Urban $PM_{2.5}$	DEAE	$2.9 \pm 0.2$	-	
Rural aerosols	Summer $PM_{2.5}$	XAD-8	8.9	-	Duarte and Duarte (2005)
Rural aerosols	Autumn $PM_{2.5}$	XAD-8	6.1	-	
Urban/oceanic aerosols	$PM_{2.5}$	XAD-8	5.8	-	

**Table 5.** Major band assignments for FT-IR spectra of HULIS in smoke PM<sub>2.5</sub> from biomass burning and coal combustion, and in ambient aerosols.

Wavenumber (cm <sup>-1</sup> )	Band assignments
3420	Stretching vibration of OH
2850-2980	Stretching vibrations of aliphatic C-H
1710	Stretching mainly of carboxyl-C and traces of ketones and esters C=O
1637	Stretching mainly of aromatic C=C and ketones, quinones and amides C=O
1610	Stretching vibration of aromatic rings
1516	Stretching vibrations of aromatic C=C
1458	Deformation of CH <sub>2</sub> and CH <sub>3</sub> bending and stretching vibration of aromatic rings
1385	Deformation of aliphatic C-H (some C-O stretching of phenolic OH)
1278	Stretching of aromatic C-O and phenolic OH
1220	Stretching vibrations of C-O and deformation of carboxylic O-H
1115	Stretching of ring breathing C-O
1045	Stretching of polysaccharide C-O or deformation of aromatic C-H

**Table 6.** The proton species and corresponding content percentage of HULIS in smoke PM<sub>2.5</sub> from combustion of rice straw, corn straw, pine branch and coal, and in ambient PM<sub>2.5</sub>.

Sources	Types/sites	Isolation methods	H-C <sup>a</sup> (0.6-2.0 ppm)	H-C-C= (2.0-3.2 ppm)	H-C-O (3.4-4.4 ppm)	Ar-H (6.5-8.5 ppm)	References
Rice straw	Burning emissions	HLB	34 <sup>b</sup>	30	10	27	Present work
Corn straw	Burning emissions	HLB	31	26	19	24	
Pine branch	Burning emissions	HLB	45	22	14	19	
Coal	Combustion emissions	HLB	27	40	2	31	
PM <sub>2.5</sub>	Urban aerosol	HLB	51	31	11	6	
Rain water	Terrestrial/marine influenced	C18	51.4 (0.5-1.9)	32.1 (1.9-3.3)	15.0 (3.4-4.5)	1.4 (6.5-9.0)	Miller et al. (2009)
Rain water	Urban	DAX-8	45-51 (0.6-1.8)	22-29 (1.8-3.2)	17-24 (3.2-4.1)	2-7 (6.5-8.5)	Santos et al. (2012)
TSP	Urban aerosol	HLB	53.9-59.8 (0.7-2.0)	20.1-26.6 (2.0-3.2)	10.1-15.8 (3.3-4.5)	3.7-9.4 (6.5-8.3)	Song et al. (2010)
PM <sub>2.5</sub>	Urban aerosol	ENVI-18, HLB, XAD-8 and DEAE	37-47 (0.6-1.9)	33-37 (1.9-3.2)	13-18 (3.4-4.4)	5.8-12 (6.5-8.5)	Fan et al. (2014)
<b>PM<sub>2.5</sub></b>	<b>Urban aerosol</b>	<b>DAX-8</b>	<b>43.7-57.5 (0.5-1.9)</b>	<b>28.3-34.7 (1.9-3.2)</b>	<b>7.3-15.1 (3.3-4.1)</b>	<b>0.6-9.1 (6.5-8.3)</b>	<b>Lopes et al. (2015)</b>

<sup>a</sup> Investigation on the basis of the chemical shift assignments (unit: ppm)

<sup>b</sup> Relative abundance of each type of protons

Table 7. Summary of AAE and MAE<sub>365</sub> of HULIS and WSOM (sometimes were inferred to water-soluble brown carbon (BrC)).

Locations	Sample type	HULIS		WSOM		References
		AAE	MAE <sub>365</sub> (m <sup>2</sup> /g)	AAE	MAE <sub>365</sub> (m <sup>2</sup> /g)	
Laboratory	Rice straw smoke PM <sub>2.5</sub>	8.2 ±0.6	1.54 ±0.30	8.1 ±0.8	1.24 ±0.33	
Laboratory	Corn straw smoke PM <sub>2.5</sub>	6.7 ±0.6	2.09 ±0.41	6.7 ±0.5	1.56 ±0.34	
Laboratory	Pine branch smoke PM <sub>2.5</sub>	6.7 ±0.7	0.97 ±0.22	7.0 ±0.7	0.79 ±0.22	Present work
Laboratory	Coal Smoke PM <sub>2.5</sub>	13.6 ±0.2	0.63 ±0.03	13.1 ±0.1	0.42 ±0.03	
Guangzhou, China	Urban PM <sub>2.5</sub>	7.0 ±0.2	0.93 ±0.06	6.7 ±0.1	0.80 ±0.03	
Laboratory	Rice straw smoke PM <sub>2.5</sub>	-	-	8.3 ±0.6 (300-400 nm)	1.37 ±0.23	Park and Yu (2016)
Laboratory	Pine needles smoke PM <sub>2.5</sub>	-	-	7.4 ±1.1 (300-400 nm)	0.86 ±0.09	Park and Yu (2016)
Laboratory	Sesame stems smoke PM <sub>2.5</sub>	-	-	8.0 ±0.8 (300-400 nm)	1.38 ±0.21	Park and Yu (2016)
Laboratory	Wood smoke particles	-	-	8.6-17.8 (360-500 nm)	-	Chen et al. (2010)
Rondônia, Brazil	BB background PM <sub>2.5</sub>	6.4-6.8 (300-700 nm) <sup>a</sup>	-	-	-	Hoffer et al. (2006)
Southeastern US	Urban/rural PM <sub>2.5</sub>	-	-	6.2-8.3 (330-500 nm)	0.41-0.87	Hecobian et al. (2010)
Beijing, China	Urban PM <sub>2.5</sub>	-	-	7.5±0.9 (330-480 nm)	1.79±0.24	Cheng et al. (2011)
Beijing, China	Urban PM <sub>2.5</sub>	-	-	7.0±0.8 (330-480 nm)	0.71±0.20	Cheng et al. (2011)
Los Angeles Basin	Urban PM <sub>2.5</sub>	-	-	7.58±0.49 (300-600 nm)	0.70-0.73	Zhang et al. (2013)
Atlanta, USA	Urban/rural PM <sub>2.5</sub>	-	-	-	0.13-0.53	Liu et al. (2013)
Beijing, China	Urban PM <sub>2.5</sub>	-	-	-	0.51-1.26	Du et al. (2014)
Gosan, Korea	Rural PM <sub>2.5</sub> and TSP	-	-	5.6-7.7 (330-400 nm)	0.3-1.1	Kirillova et al. (2014)
Beijing, China	Urban PM <sub>2.5</sub>	-	-	5.83±0.51 (330-400 nm)	0.73 ±0.15	Yan et al. (2015)
Beijing, China	Urban PM <sub>2.5</sub>	-	-	5.30±0.44 (330-400 nm)	1.54 ±0.16	Yan et al. (2015)
Beijing, China	Urban PM <sub>2.5</sub>	-	-	7.28±0.24 (310-450 nm)	1.22±0.11	Cheng et al. (2016)
Seoul, Korea	Urban PM <sub>2.5</sub>	-	-	5.84-9.17 (300-700 nm)	0.28-1.18	Kim et al. (2016)

<sup>a</sup> Representing the range of wavelength chosen for fitting.