

1 **Revisiting the Steering Principal of Tropical Cyclone Motion in a Numerical**  
2 **Experiment**

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17 September 7, 2016

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19 Revised for *Atmospheric Chemistry and Physics*

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## Abstract

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31 The steering principle of tropical cyclone motion has been applied to tropical  
32 cyclone forecast and research for nearly 100 years. Two fundamental questions  
33 remain unanswered. One is why the effect of steering plays a dominant role in tropical  
34 cyclone motion and the other is when tropical cyclone motion deviates considerably  
35 from the steering. A high-resolution numerical experiment was conducted with the  
36 tropical cyclone in a typical large-scale monsoon trough over the western North  
37 Pacific. The simulated tropical cyclone experiences two eyewall replacement  
38 processes.

39 Based on the potential vorticity tendency (PVT) diagnostics for tropical cyclone  
40 motion, this study demonstrates that the conventional steering, which is calculated  
41 over a certain radius from the tropical cyclone center in the horizontal and a deep  
42 pressure layer in the vertical, plays a dominant role. The conventional steering  
43 contains both of the contribution of the advection of the symmetric potential vorticity  
44 component associated with a tropical cyclone by the asymmetric flow and the  
45 contribution from the advection of the wavenumber-one potential vorticity component  
46 by the symmetric flow. The contributions from other processes are largely cancelled  
47 due to the coherent structure of tropical cyclone circulation. The trochoidal motion  
48 around the mean tropical cyclone track with amplitudes smaller than the eye radius  
49 and periods of several hours cannot be accounted for by the effect of the conventional  
50 steering and thus the instantaneous tropical cyclone motion can considerably deviate  
51 from the conventional steering.

52 **1. Introduction**

53 The environmental steering principle has been applied to tropical cyclone track  
54 forecasting for nearly 100 years (Fujiwara and Sekiguchi 1919; Bowie 1922), which  
55 states that a tropical cyclone tends to follow the large-scale flow in which it is  
56 embedded. Such a steering concept has been extended to include the beta drift (also  
57 called secondary steering) that arises mainly from the interaction between tropical  
58 cyclone circulation and the planetary vorticity gradient (Holland 1983; Chan 1984;  
59 Chan and Williams 1987; Fiorino and Elsberry 1989; Carr and Elsberry 1990; and  
60 Wang and Li 1992; Wang and Holland 1996a). As a rule of thumb, the steering  
61 concept has been extensively used in tropical cyclone track forecasting and  
62 understanding of tropical cyclone motion (e.g., Simpson 1948; Riehl and Burgner  
63 1950; Chan and Gray 1982; Fiorino and Elsberry 1989; Neumann 1993; Wu and  
64 Emanuel 1995a, b; Wang and Holland 1996b, c; Wu et al. 2011a; Wu et al. 2011b).  
65 Given complicated interactions between tropical cyclone circulation and its  
66 environment, tropical cyclone motion should be not like a leaf being steered by the  
67 currents in the stream. Therefore, two fundamental issues are still remaining on the  
68 steering principle. First, why can the effect of steering play a dominant role in tropical  
69 cyclone motion? Second, when may tropical cyclone motion deviate considerably  
70 from the steering?

71 The potential vorticity tendency (PVT) paradigm for tropical cyclone motion was  
72 proposed by Wu and Wang (2000), in which a tropical cyclone tends to move to the  
73 region of the PVT maximum. In other words, tropical cyclone motion is completely

74 determined by the azimuthal wavenumber-one component of PVT and all of the  
75 factors that contribute to the azimuthal wavenumber-one component of PVT play a  
76 potential role in tropical cyclone motion. The contributions of individual factors can  
77 be quantified through the PVT diagnosis, including the steering effect (Wu and Wang  
78 2000). Wu and Wang (2000, 2001a) evaluated the PVT approach using the output of  
79 idealized numerical experiments with a coarse spacing of 25 km and understood the  
80 vertical coupling of tropical cyclone circulation under the influence of vertical wind  
81 shear. Wu and Wang (2001b) found that convective heating can affect tropical  
82 cyclone motion by the heating-induced flow and the positive PVT that is directly  
83 generated by convective heating.

84 The PVT paradigm was further verified by Chan et al. (2002). The observational  
85 analysis indicated that the potential vorticity advection process is generally dominant  
86 in tropical cyclone motion without much change in direction or speed while the  
87 contribution by diabatic heating is usually less important. An interesting finding in the  
88 study is that the contribution of diabatic heating becomes important for irregular  
89 tropical cyclone motion, suggesting that track oscillations as well as irregular track  
90 changes may be explained by changes in the convection pattern. The PVT approach  
91 has been used in understanding tropical cyclone motion in the presence of the effects  
92 of land surface friction, river deltas, coastal lines, mountains, islands, cloud-radiative  
93 processes and sea surface pressure gradients (e.g., Wong and Chan 2006; Yu et al.  
94 2007; Fovell et al. 2010; Hsu et al. 2013; Wang et al. 2013; Choi et al. 2013).

95 As we know, the coarse resolution of the numerical experiment in Wu and Wang

96 (2000) was unable to resolve the eyewall structure and tropical cyclone rainbands,  
97 which may affect tropical cyclone motion (Holland and Lander 1993; Nolan et al.  
98 2001; Oda et al. 2006; Hong and Chang 2009). Under the PVT paradigm, in this study  
99 we use the output from a high-resolution numerical experiment to address the  
100 aforementioned two fundamental issues that are important to understanding tropical  
101 cyclone motion. The numerical experiment was conducted with the advanced research  
102 version of the Weather Research and Forecast (ARW-WRF) model. In particular, an  
103 initially symmetric baroclinic vortex is embedded in the low-frequency atmospheric  
104 circulation of Typhoon Matsa (2005) to simulate tropical cyclone motion in a realistic  
105 large-scale environment. For simplicity, the present study focuses on the numerical  
106 experiment without the influences of land surface and topography.

## 107 **2. The output of the numerical experiment**

108 The numerical experiment conducted with the WRF model (version 2.2) in this  
109 study contains a coarsest domain centered at 30.0°N, 132.5°E and four two-way  
110 interactive domains. In order to better simulate the tropical cyclone rainbands and  
111 eyewall structure, the horizontal resolutions are 27, 9, 3, 1, 1/3 km, respectively. The  
112 three innermost domains move with the tropical cyclone (Fig. 1). The model consists  
113 of 40 vertical levels with a top of 50 hPa. The WRF single-moment 3-class scheme  
114 and the Kain-Fritsch cumulus parameterization scheme (Kain and Fritsch 1993) are  
115 used in the outmost domain. The WRF single-moment 6-class scheme (Hong and Lim  
116 2006) and no cumulus parameterization scheme are used in the four inner domains.  
117 The other model physics options are the Rapid Radiative Transfer Model (RRTM)

118 longwave radiation scheme (Mlaewe et al. 1997), the Dudhia shortwave radiation  
119 scheme (Dudhia 1989), and the Yonsei University scheme for planetary boundary  
120 layer parameterization (Noh et al. 2003).

121 The National Centers for Environmental Prediction (NCEP) Final (FNL)  
122 Operational Global Analysis data with resolution of  $1.0^\circ \times 1.0^\circ$  at every 6 h were used  
123 for deriving the large-scale background with a 20-day low-pass Lanczos filter  
124 (Duchon 1979). The low-frequency fields were taken from those of Typhoon Matsa  
125 (2005) from 0000 UTC 5 August to 0000 UTC 9 August 2005. At 0000 UTC 5 August,  
126 the typhoon was located to the northeast of Taiwan Island with the maximum surface  
127 wind of  $45 \text{ m s}^{-1}$ . During the following three days, Matsa moved northwestward in the  
128 monsoon trough and made landfall on mainland China at 1940 UTC 5 August. The  
129 sea surface temperature is spatially uniform being  $29^\circ\text{C}$ . The analysis nudging for the  
130 wind components above the lower boundary layer is used in the coarsest domain to  
131 maintain the large-scale patterns with a nudging coefficient of  $1.5 \times 10^{-4} \text{ s}^{-1}$ .

132 A symmetric vortex is initially embedded at  $25.4^\circ\text{N}$ ,  $123.0^\circ\text{E}$  (Matsa's center) in  
133 the background (Fig. 1). The vortex was spun up for 18 hours on an f-plane without  
134 environmental flows to make it relatively consistent with the WRF model dynamics  
135 and physics. Considering several hours of the initial spin-up, here we focus only on  
136 the 72-hour period from 6 h to 78 h with the output at one-hour intervals. The  
137 simulated tropical cyclone takes a northwest north track (Fig. 1), generally similar to  
138 that of Typhoon Matsa (2005). The evolution of tropical cyclone intensity is shown in  
139 Figure 2.

140 Figure 3 shows the simulated wind and radar reflectivity fields at 700 hPa. The  
141 vertical wind shear, which is calculated between 200 hPa and 850 hPa over a radius of  
142 500 km from the tropical cyclone center, is also plotted in the figure. The tropical  
143 cyclone center is defined as the geometric center of the circle on which the azimuthal  
144 mean tangential wind speed reaches a maximum (Wu et al. 2006). We use a  
145 variational method to determine the tropical cyclone center each hour at each level.  
146 Different definitions of tropical cyclone centers are also used and it is found that  
147 fluctuations in tropical cyclone translation do not depend on the definition of the  
148 tropical cyclone center. At 24 h (Fig. 3a), the vertical wind shear is more than  $10 \text{ m s}^{-1}$ .  
149 The eyewall is open to the southwest and strong eyewall convection occurs mainly on  
150 the downshear left side (Frank and Ritchie 2001). The rainbands simulated in the  
151 inner most domain exhibit apparent cellular structures (Houze 2010), mostly on the  
152 eastern side. The eyewall replacement cycle (ERC), which is important for tropical  
153 cyclone intensity change (Wu et al. 2012; Huang et al. 2012), is simulated in this  
154 numerical experiment. At 48 h (Fig. 3b), the vertical wind shear is weaker and the  
155 tropical cyclone undergoes an ERC. At 72 h (Fig. 3c), the outer eyewall just forms  
156 while the inner one is breaking during the second ERC. Figure 3 suggests that the  
157 simulated tropical cyclone has a structure similar to a typical observed one, especially  
158 in the inner core region.

159 Two eyewall replacement processes, which may affect tropical cyclone motion  
160 (Oda et al. 2006; Hong and Chang 2009), can be further shown in Figure 4. The  
161 evolution of the azimuthal mean component of the 700-hPa wind in the 9-km domain

162 indicates the eyewall replacement processes around 42 h and 68 h, respectively.  
 163 During the first eyewall replacement, for example, the wind starts to intensify outside  
 164 the eyewall around 36 h, in agreement with previous numerical studies (Wu et al.  
 165 2012; Huang et al. 2012). The radius of maximum wind is located at about 40 km  
 166 after the 6-h spin-up and decreases to about 30 km at 42 h. We also conducted a  
 167 similar sensitivity experiment without the sub-kilometer domain. The tropical cyclone  
 168 track in the experiment is generally similar to that in the sub-kilometer simulation, but  
 169 no eyewall replacement cycle can be observed in the sensitivity experiment.

### 170 **3. Dominant role of steering in tropical cyclone motion**

171 The relationship between PVT and tropical cyclone motion can be written as (Wu  
 172 and Wang 2000)

$$173 \quad \left(\frac{\partial P_1}{\partial t}\right)_f = \left(\frac{\partial P_1}{\partial t}\right)_m - \mathbf{C} \cdot \nabla P_s, \quad (1)$$

174 Where subscripts  $m$  and  $f$  indicate, respectively, the moving and fixed reference frames  
 175 and  $\mathbf{C}$  is the velocity of the reference frame that moves with the tropical cyclone. In  
 176 other words,  $\mathbf{C}$  is the velocity of tropical cyclone motion, which can vary in the  
 177 vertical.  $P_l$  and  $P_s$  are the azimuthal wavenumber-one and symmetric components of  
 178 potential vorticity with respect to the storm center. It can be seen that the PVT  
 179 generated in the fixed reference frame (the term on the left hand side) is provided for  
 180 the development of the wavenumber one component (the first term on the right hand  
 181 side) and for tropical cyclone motion (the second term on the right hand side). The  
 182 first term on the right hand side of Eq. (1) was neglected in Wu and Wang (2000), but



183 we retain it in this study. The term can be calculated with the two-hour change of the  
184 wavenumber one component in the frame that moves with the tropical cyclone center.

185 The PVT generated in the fixed reference frame can be calculated with the PVT  
186 equation in  $p$ -coordinates as

$$187 \quad \frac{\partial P}{\partial t} = -\mathbf{V} \cdot \nabla P - \omega \frac{\partial P}{\partial p} - g \nabla_3 \cdot \left( -\frac{Q}{c_p \pi} \mathbf{q} + \nabla \theta \times \mathbf{F} \right), \quad (2)$$

188 Where  $P$ ,  $\mathbf{V}$  and  $\omega$  are potential vorticity, horizontal and vertical components of the  
189 wind velocity, respectively. Eq. (2) contains horizontal advection (HA), vertical  
190 advection (VA), diabatic heating (DH) and friction (FR) terms on the right hand side.  
191  $Q$ ,  $\theta$ ,  $\mathbf{q}$  and  $\mathbf{F}$  are diabatic heating rate, potential temperature, absolute vorticity and  
192 friction, while  $g$ ,  $c_p$  and  $\pi$  are the gravitational acceleration, the specific heat of dry air  
193 at constant pressure and the Exner function.  $\nabla_3$  and  $\nabla$  denote the three and two  
194 dimensional gradient operators.

195 Following Wu and Wang (2000), a least square method is used to estimate the  
196 velocity of tropical cyclone motion ( $\mathbf{C}$ ) in Eq. (1). The translation velocity is also  
197 calculated with the hourly positions of the tropical cyclone center. For convenience,  
198 the tropical cyclone motion estimated with the PVT diagnostic approach and with the  
199 center position is referred to as the PVT velocity and the tropical cyclone velocity,  
200 respectively, in the following discussion. In the PVT approach, we find that the  
201 estimated tropical cyclone motion is not much sensitive to the size of the calculation  
202 domain. As we know, however, determination of the steering flow for a given tropical  
203 cyclone is not unique and depends on the size of the calculation domain (Wang et al.  
204 1998). Here we select the calculation domain to minimize the difference between the

205 tropical cyclone speed and the steering flow. After a series of tests, we find that such a  
206 minimum can be reached when the 850-300hPa layer and 270-km radius are used.  
207 This is consistent with the analysis of the airborne Doppler radar data in Marks et al.  
208 (1992) and Franklin et al (1996). The analysis indicated that tropical cyclone motion  
209 was best correlated with the depth-mean flow averaged over the inner region within 3°  
210 latitudes. For convenience, the steering (flow) defined this way is called the  
211 conventional steering (flow) since such a definition has been widely used in previous  
212 studies.

213 Figure 5a shows the time series of the magnitudes of the tropical cyclone velocity  
214 (black), the PVT velocity (blue) and the conventional steering (red). Note that the  
215 PVT velocity and the conventional steering are instantaneous, whereas the tropical  
216 cyclone velocity is calculated based on the two-hour difference of the center position.  
217 For consistence, a three-point running mean is applied to the PVT speed and the  
218 conventional steering. These magnitudes generally increase as the tropical cyclone  
219 takes a northwest north track. The mean speeds calculated from the PVT approach  
220 and the center positions are  $2.86 \text{ m s}^{-1}$  and  $2.75 \text{ m s}^{-1}$  over the 72-h period. Compared  
221 to the tropical cyclone speed, the root-mean-square error (RMSE) of the PVT speed is  
222  $0.22 \text{ m s}^{-1}$ , only accounting for 8% of the tropical cyclone speed.

223 Figures 5b and 5c further display the zonal and meridional components of the  
224 tropical cyclone velocity (black), the PVT velocity (blue) and the conventional  
225 steering (red). While the westward component fluctuates about the mean zonal  
226 tropical cyclone (PVT) speed of  $-1.0 \text{ m s}^{-1}$ , the northward component generally

227 increases with time. Figure 5 clearly indicates that the translation velocity of the  
228 tropical cyclone can be well estimated with the PVT approach.

229 The environmental and secondary steering flows are indistinctly referred to the  
230 steering flow in this study, which is averaged over the same radius (270 km) as used  
231 in the calculation of the PVT speed. The effects of the steering flow in Fig. 5 are also  
232 averaged over the 850-300 hPa layer. The 72-h mean magnitudes of the tropical  
233 cyclone velocity and the conventional steering are  $2.86 \text{ m s}^{-1}$  and  $2.87 \text{ m s}^{-1}$ ,  
234 respectively, only with a difference of  $6.7^\circ$  in the motion direction. We also calculated  
235 the RMSE of the steering averaged over various time periods (Fig. 6). The RMSE of  
236 the magnitude decreases with the increasing average period, generally less than 9% of  
237 the translation speed of the tropical cyclone. The difference in direction also decreases  
238 with the increasing average period within 9-11 degrees. Considering uncertainties in  
239 determining tropical cyclone centers and calculating the steering, we conclude that the  
240 conventional steering plays a dominant role in tropical cyclone motion. However,  
241 Figure 5 indicates that the instantaneous tropical cyclone motion can considerably  
242 deviate from the conventional steering. The conventional steering cannot account for  
243 the fluctuations in tropical cyclone motion, which will be further discussed in Section  
244 5.

#### 245 **4. Contributions of individual processes**

246 The individual contributions of various terms in the PVT equation to tropical  
247 cyclone motion can also be estimated with Eq. (1), as shown by Wu and Wang (2000).  
248 In this study, the contribution of the friction (FR) term is calculated as the residual of

249 the PVT equation. Figure 7 shows the individual contributions of the terms in the  
250 PVT equation to tropical cyclone motion. While the contribution of the HA term plays  
251 a dominant role (Fig. 7c), the figure exhibits considerable fluctuations, suggesting that  
252 the contributions of the DH and VA terms tend to cancel each other (Figs. 7a and 7b).  
253 Here we discuss the contribution of each term in the PVT equation to understand the  
254 dominant role of the steering in tropical cyclone motion.

### 255 **a. Horizontal advection**

256 As discussed in Wu and Wang (2001b), the HA term in the PVT equation can be  
257 approximately written as:  $-\mathbf{V}_1 \cdot \nabla \mathbf{P}_s - \mathbf{V}_s \cdot \nabla \mathbf{P}_1$ , where  $\mathbf{V}_s$  is the symmetric  
258 component of the tangential wind and  $\mathbf{V}_1$  is the wavenumber-one component of the  
259 asymmetric wind. The first term (HA1) represents the advection of the symmetric  
260 potential vorticity component by the asymmetric flow. The second term is the  
261 advection of the wavenumber-one potential vorticity component by the symmetric  
262 flow (HA2). The contribution of the HA1 term is literally the steering effect.

263 However, the contribution of the HA1 term is not the conventional steering. The  
264 conventional steering is calculated as the velocity of the mean wind averaged over  
265 300-850 hPa within the radius of 270 km from the tropical cyclone center in this study.  
266 Wu and Wang (2001a) pointed out that the steering effect of HA1 is associated also  
267 with the gradient of the symmetric potential vorticity component, which make its  
268 contribution be confined to the inner region of tropical cyclones.

269 Figure 8 shows the contributions of the HA1 and HA2 terms, which exhibit  
270 considerable fluctuations with time. The contribution of HA and the conventional

271 steering are also plotted. For clarity, the conventional steering is removed from the  
272 contribution of HA1 (i.e. HA1'). The 72-hour mean difference between the  
273 contribution of HA1 and the conventional steering is  $-1.25 \text{ m s}^{-1}$  in the zonal  
274 component and  $1.62 \text{ m s}^{-1}$  in the meridional component, suggesting that the  
275 contribution of the HA1 term is considerably different from the conventional steering.  
276 In fact, the contributions of the HA1 and HA2 terms are highly anticorrelated. The  
277 correlations for the zonal and meridional components are  $-0.82$  and  $-0.80$ , respectively.  
278 The negative correlations suggest the cancellation between the contributions of the  
279 HA1 and HA2 terms. As a result, the combined effect of the HA1 and HA2 terms can  
280 actually account for the effect of the conventional steering except the short-time  
281 fluctuations, as shown in Fig. 8.

282       The cancellation between the contributions of the HA1 and HA2 terms arises  
283 from the interaction between the symmetric and wavenumber-one components of the  
284 tropical cyclone circulation. As an example, Figure 9a shows HA1 and the  
285 wavenumber-one components of potential vorticity (contours) and winds at 700 hPa  
286 after 18 hours of the integration. The positive (negative) anomalies of potential  
287 vorticity are nearly collocated with the cyclonic (anticyclonic) circulation. Since the  
288 potential vorticity in the inner core is generally elevated, the advection of the  
289 symmetric potential vorticity component by the flows between the cyclonic and  
290 anticyclonic circulations leads to the maximum (minimum) HA1 in the exit (entrance)  
291 of the flows between the cyclonic and anticyclonic circulation. On the other hand, the  
292 advection of the wavenumber-one component of potential vorticity by the symmetric

293 cyclonic flow leads to the maximum HA2 in the entrance and the minimum HA1 in  
294 the exit (Fig. 9b). Although the contributions of the HA1 and HA2 terms can fluctuate  
295 with a magnitude of about  $4 \text{ m s}^{-1}$  (Fig. 8), their combined effect shows only  
296 small-amplitude fluctuations in the tropical cyclone motion. The short-time  
297 fluctuations will be discussed in the next section.

### 298 **b. Contributions of diabatic heating and vertical advection**

299 Some individual contributions in Figs. 7a and 7b are statistically correlated. For  
300 example, the zonal contribution of the HA term is negatively correlated with that of  
301 the DH term with a coefficient of -0.44, and the meridional contribution of the HA  
302 term is negatively correlated with that of the VA terms with a coefficient of -0.54. It is  
303 suggested that the contributions of individual terms can cancel each other due to the  
304 coherent structure of the tropical cyclone.

305 We first discuss the contribution of the VA term. The VA contains two primary  
306 terms: the advection of the symmetric component of potential  
307 vorticity by the wavenumber-one component of vertical motion (VA1) and  
308 wavenumber-one component of potential vorticity by the symmetric component of  
309 vertical motion (VA2). Our examination indicates that the contribution of the VA term  
310 is dominated by that of VA1. That is, the direction of the contribution of the VA term  
311 is determined by the orientation of the wavenumber-one component of vertical motion.  
312 Figure 10 shows the wavenumber-one components of the 500-hPa vertical motion,  
313 700-hPa winds relative to tropical cyclone motion, and 500-hPa heating rate after 18  
314 hours of integration. We can see that the upward (downward) motion generally occurs

315 in the entrance (exit) region of the 700-hPa winds. Bender (1997) found that vorticity  
316 stretching and compression is closely associated with the vorticity advection due to  
317 the relative flow (difference between the wavenumber-one flow and the TC motion).  
318 The vorticity stretching leads to upward vertical motion and convective heating in the  
319 entrance region (Fig. 10). Thus the contribution of the HA term is negatively  
320 correlated with those of the VA and DH terms. The correlations between HA and VA  
321 (DH) for the zonal and meridional components are -0.26 (-0.44) and -0.54 (-0.02),  
322 respectively.

323 The contribution of diabatic heating results mainly from  $-\mathbf{q}_s \cdot \nabla_3 h_1$ , where  $\mathbf{q}_s$   
324 is the symmetric component of the absolute vorticity,  $\nabla_3$  the three-dimensional  
325 gradient operator,  $h_1$  the wavenumber-one component of diabatic heating rate. Since  
326 the absolute vorticity is dominated by the vertical component of relative vorticity and  
327 diabatic heating rate reaches its maximum in the middle troposphere, it is conceivable  
328 that the contribution of diabatic heating should cancel each other in the low and upper  
329 troposphere. Figure 11 shows the contribution of diabatic heating at 700 hPa and 400  
330 hPa. The correlation between 700 hPa and 400 hPa is -0.68 in the zonal direction and  
331 -0.67 in the meridional direction.

## 332 **5. Trochoidal motion**

333 As shown in Fig. 5, the tropical cyclone motion exhibits considerable fluctuations.  
334 In an instant, the steering can significantly deviate from the tropical cyclone motion.  
335 At 60 h, for example, the zonal steering is  $-0.55 \text{ m s}^{-1}$ , about one third of the zonal  
336 motion of the tropical cyclone ( $-1.42 \text{ m s}^{-1}$ ); The meridional steering is  $2.71 \text{ m s}^{-1}$ ,

337 slower than the meridional motion of the tropical cyclone ( $3.05 \text{ m s}^{-1}$ ). The deviation  
338 from the tropical cyclone motion is  $13.5^\circ$  in the direction and 18% in the magnitude.  
339 It is clear that the instantaneous velocity of tropical cyclone motion can considerably  
340 deviate from the effect of steering.

341 Based on radar data and satellite images, many studies documented the oscillation  
342 of a tropical cyclone track with respect to its mean motion vector (e. g., Jordan and  
343 Stowell 1955; Lawrence and Mayfield 1977; Muramatsu 1986; Itano et al. 2002;  
344 Hong and Chang 2005). The periods of track oscillations range less than an hour to a  
345 few days (Holland and Lander 1993). In this study, the small-scale oscillation with  
346 amplitudes of that comparable to the eye size and periods of several hours is referred  
347 to as the trochoidal motion of the tropical cyclone center. Willoughby (1988) showed  
348 that a pair of rotating mass and source could lead to trochoidal motion with periods  
349 ranging from 2-10 hours. Flatau and Stevens (1993) argued that wavenumber-one  
350 instabilities in the outflow layer of tropical cyclones could cause trochoidal motion.  
351 Nolan et al. (2001) found that the small-amplitude trochoidal motion is associated  
352 with the instability of the wavenumber-one component of tropical cyclone circulation  
353 due to the presence of the low-vorticity eye. The instability in their three-dimensional  
354 simulation with a baroclinic vortex quickly led to substantial inner-core vorticity  
355 redistribution and mixing, displacing the vortex center that rotates around the vortex  
356 core. Our spectral analysis indicates two peaks of the fluctuations of the tropical  
357 cyclone motion centered at 5 hours and 9 hours (Figure not shown), suggesting that  
358 the trochoidal motion is simulated in our high-resolution numerical simulation.



359 Figure 12 shows the oscillation of the tropical cyclone track with respect to the  
360 9-hour running mean track for the periods 6-18 h and 59-70 h. We can see that the  
361 displacement from the mean track is usually less than 6 km with a period of several  
362 hours in this study. This displacement is less than the size of the tropical cyclone eye.  
363 In general, the tropical cyclone center rotates cyclonically relative to the mean track  
364 position, in agreement with previous observational and numerical studies (Lawrence  
365 and Mayfield 1977; Muramatsu 1986; Itano et al. 2002; Willoughby 1988; Nolan et al.  
366 2001). In association with the trochoidal motion of the tropical cyclone center, as  
367 suggested by Nolan et al. (2001), substantial potential vorticity redistribution and  
368 mixing can be observed in the inner core region (Fig. 13). During the period of 13-18  
369 hours, the tropical cyclone eye generally looks like a triangle, but the orientation of  
370 the triangle changes rapidly, suggesting the potential vorticity redistribution and  
371 mixing in the eye.

372 The trochoidal motion is well indicated in the translation speed estimated with the  
373 PVT approach. Figure 14a shows the fluctuations of tropical cyclone speed, the PVT  
374 speed, and the difference between the tropical cyclone speed and the conventional  
375 steering, in which the 9-hour running mean has been removed. We can see that the  
376 fluctuations of tropical cyclone motion are well represented in the PVT speed.  
377 Moreover, the consistence between the fluctuations of tropical cyclone motion and  
378 those with the conventional steering removed suggests that the small-amplitude  
379 oscillation of the tropical cyclone motion cannot be accounted for by the conventional  
380 steering. Figure 14b further compares the time series of tropical cyclone motion

381 relative to the conventional steering with the time series of the contribution of the HA  
382 term relative to the conventional steering. The two time series are correlated with a  
383 coefficient of 0.60. We can see that the contribution of the HA term plays an  
384 important role in the fluctuations. Since the non-steering effect can well account for  
385 the fluctuations (Fig. 14a), Figure 14b suggests that the VA and DH tend to reduce the  
386 magnitude of the fluctuations.

## 387 **6. Summary**

388 In this study, we addressed two fundamental questions regarding the steering  
389 principle that has been widely applied to tropical cyclone forecast and research for  
390 about a century (Fujiwara and Sekiguchi 1919; Bowie 1922). One is why the effect of  
391 steering play a dominant role in tropical cyclone motion and the other is when tropical  
392 cyclone motion deviates considerably from the steering. The PVT diagnosis approach  
393 proposed by Wu and Wang (2000) is used with the output from a high-resolution  
394 numerical experiment. It is found that the PVT approach can well estimate tropical  
395 cyclone motion, including the small-amplitude trochoidal motion relative to the mean  
396 tropical cyclone track.

397 The effect of the conventional steering flow that is averaged over a certain radius  
398 from the tropical cyclone center and a deep pressure layer (e.g., 850-300 hPa) actually  
399 represents the contributions from both of the advection of the symmetric potential  
400 vorticity component by the asymmetric flow (HA1) and the advection of the  
401 wavenumber-one potential vorticity component by the symmetric flow (HA2),  
402 although the contribution of the HA1 term is literally the effect of steering (Wu and

403 Wang 2001a, 2001b). Due to the coherent structure of tropical cyclone circulation, the  
404 contributions of the HA1 and HA2 terms are highly correlated and the effects of  
405 diabatic heating and vertical advection on tropical cyclone motion are largely  
406 canceled. The instantaneous speed of tropical cyclone motion can considerably  
407 deviate from the conventional steering, while the latter better represents tropical  
408 cyclone motion when averaged over a reasonable time period.

409 The trochoidal motion of the tropical cyclone center is simulated in the numerical  
410 experiment with amplitudes smaller than the eye radius and periods of several hours.  
411 The tropical cyclone center rotates cyclonically around the mean track, in agreement  
412 with previous observational and numerical studies (Lawrence and Mayfield 1977;  
413 Muramatsu 1986; Itano et al. 2002; Willoughby 1988; Nolan et al. 2001). It is found  
414 that the small-amplitude trochoidal motion cannot be accounted for by the effect of  
415 the conventional steering although the contribution of the HA term plays an important  
416 role in the fluctuations. In agreement with previous studies (Willoughby 1988; Nolan  
417 et al. 2001), we suggest that the small-amplitude trochoidal motion results from the  
418 asymmetric dynamics of the tropical cyclone inner core.

419

420 **Acknowledgements.** Many thanks go to Dr. Christopher W. Landsea of the National  
421 Hurricane Center for providing us the early references on the steering principle. This  
422 research was jointly supported by the National Basic Research Program of China  
423 (2013CB430103, 2015CB452803), the National Natural Science Foundation of China  
424 (Grant No. 41275093), and the project of the specially-appointed professorship of

425 Jiangsu Province.

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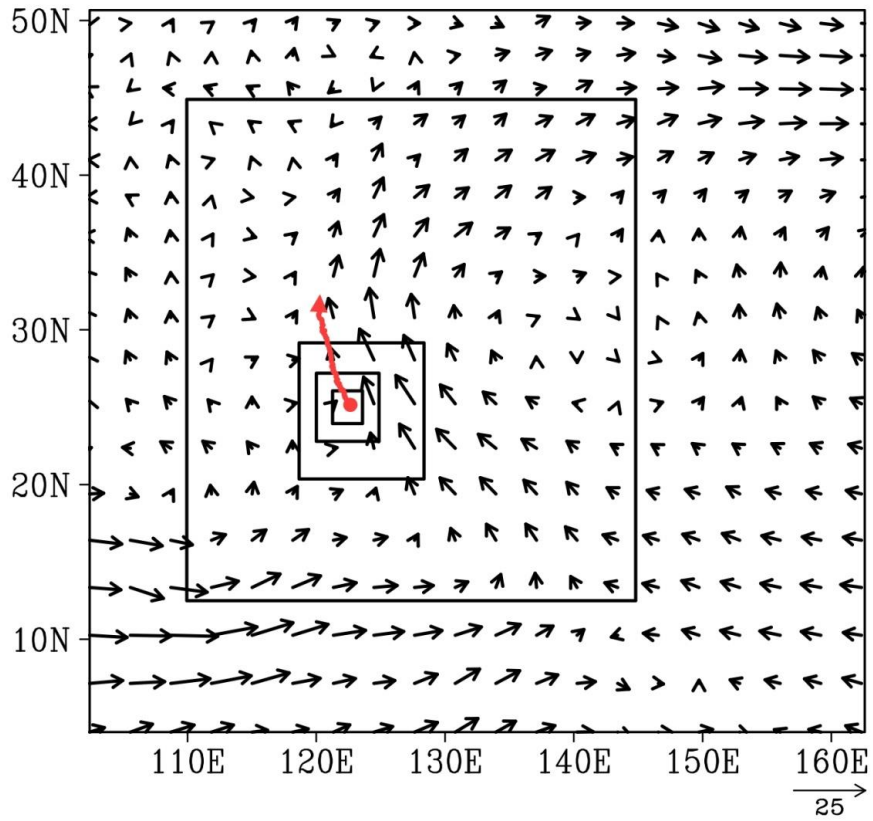
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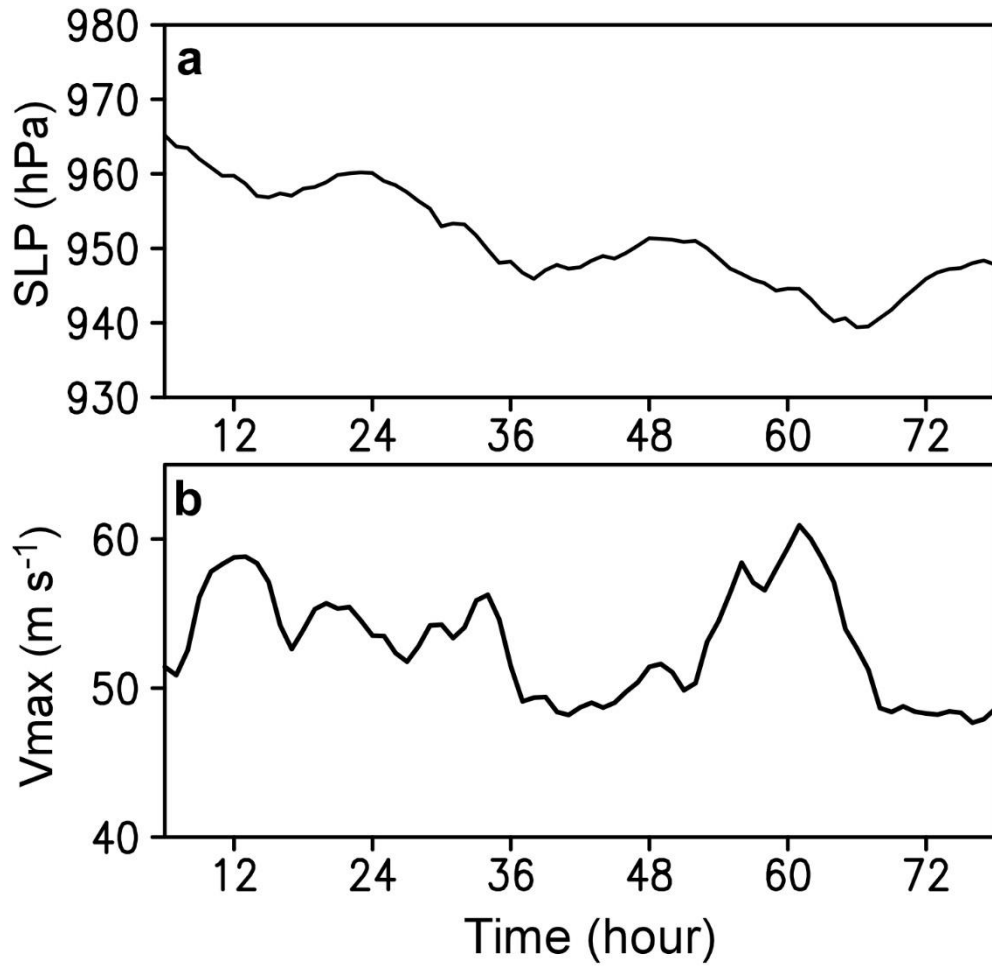
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553 Figure 1 Model domains of the numerical experiment with the three innermost  
554 domains moving with the storm, the initial 850-hPa wind ( $\text{m s}^{-1}$ ) field (vectors), and  
555 the simulated tropical cyclone track (red)

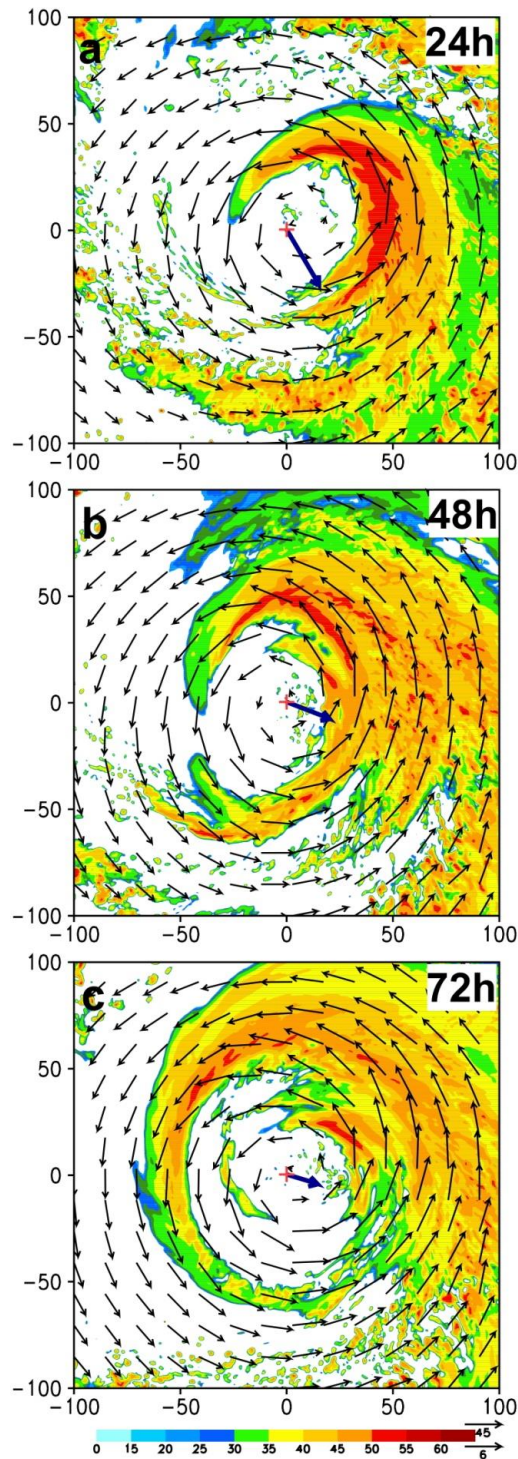


556

557 Figure 2 Time series of tropical cyclone intensity: a) sea level minimum pressure

558 (hPa); b) maximum wind speed at 10 m (m s<sup>-1</sup>).

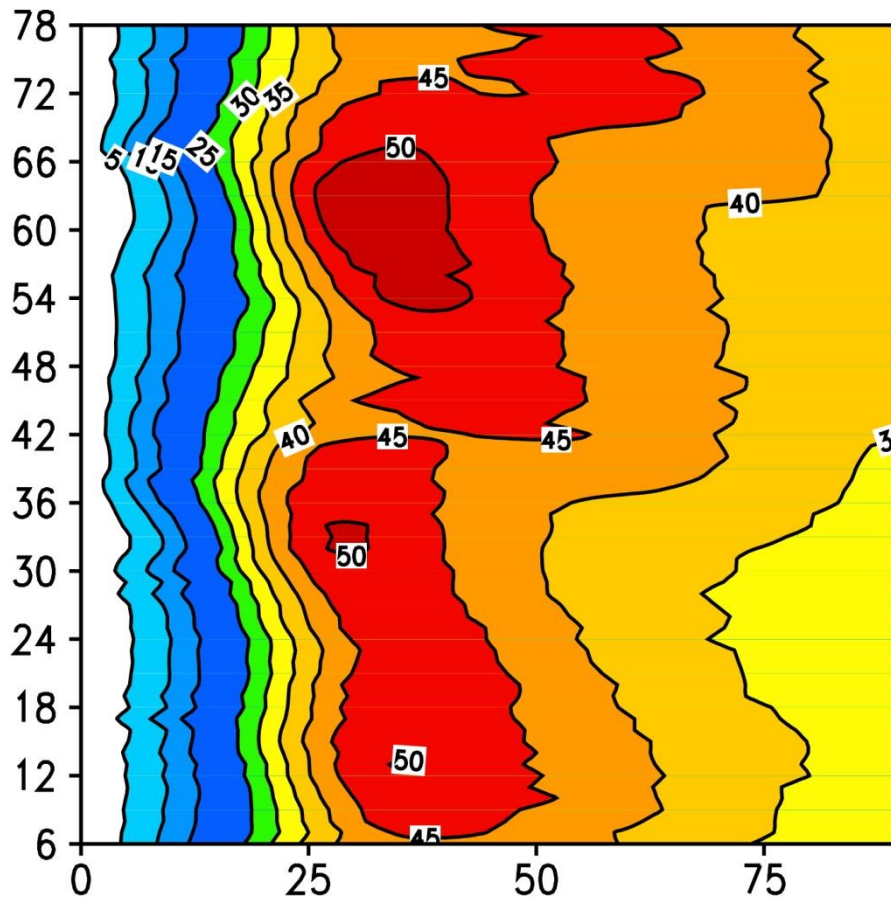
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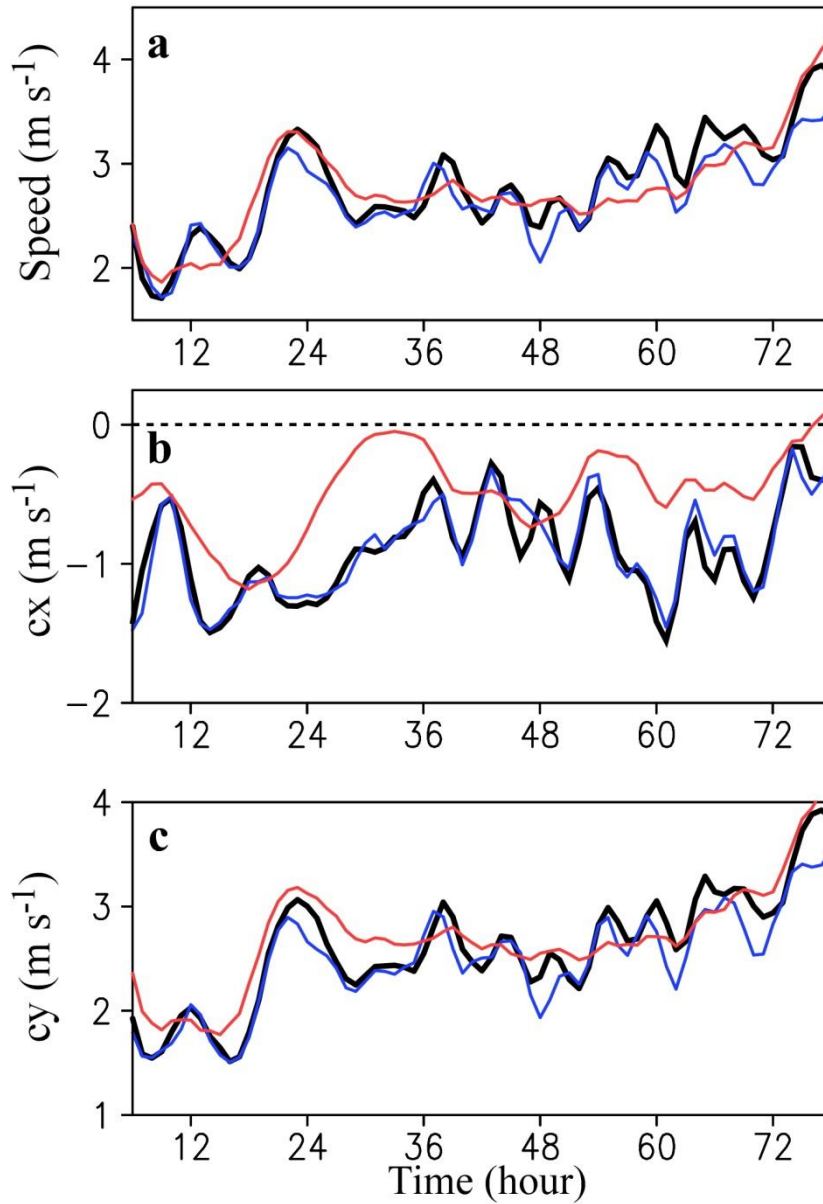
561 Figure 3 Simulated wind (vectors,  $\text{m s}^{-1}$ ), radar reflectivity (shading, dBz) fields at  
 562 700 hPa, and the vertical wind shear (bold arrows in the center) between 200 hPa and  
 563 850 hPa after 24-h integration. The x and y axes indicate the distance (km) relative to  
 564 the storm center. The upper (lower) scale vector at the right lower corner is for the  
 565 700-hPa wind (vertical wind shear).

566



567

568 Figure 4 Evolution of the simulated azimuthal mean component ( $\text{m s}^{-1}$ ) of the 700-hPa  
 569 wind in the 9-km domain. The x-axis and y-axis indicate the distance (km) from the  
 570 storm center and the integration time (hours).



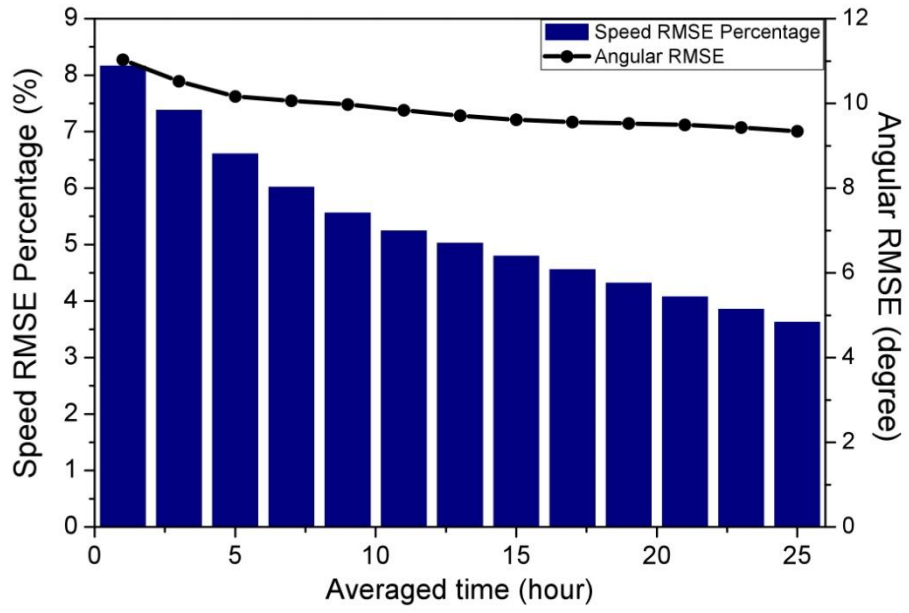
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572 Figure 5 Time series of tropical cyclone speed (thick black), PVT speed (blue) and

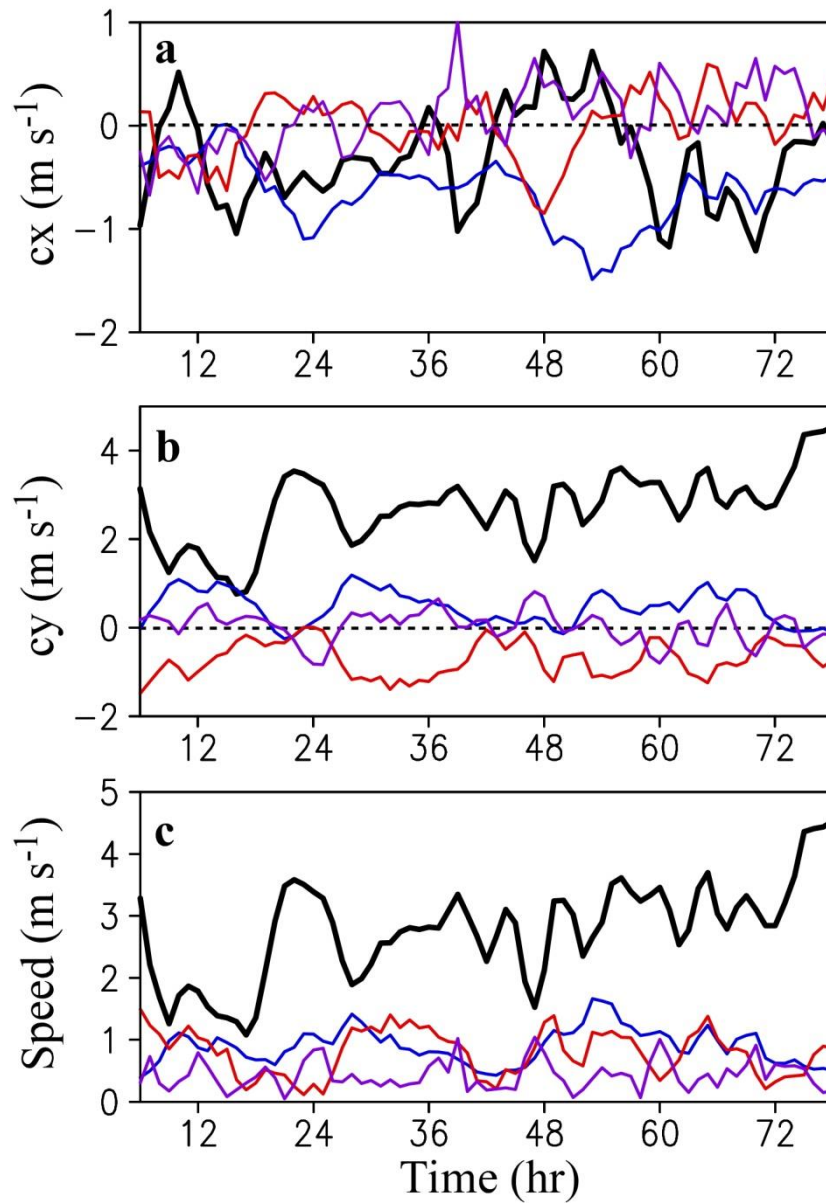
573 conventional steering (red): a) magnitude, b) zonal component, and c) meridional

574 component

575



576  
 577 Figure 6 Changes of the RMSEs of the speed (blue boxes, %) and direction (black  
 578 dots, °) of the conventional steering with various average periods



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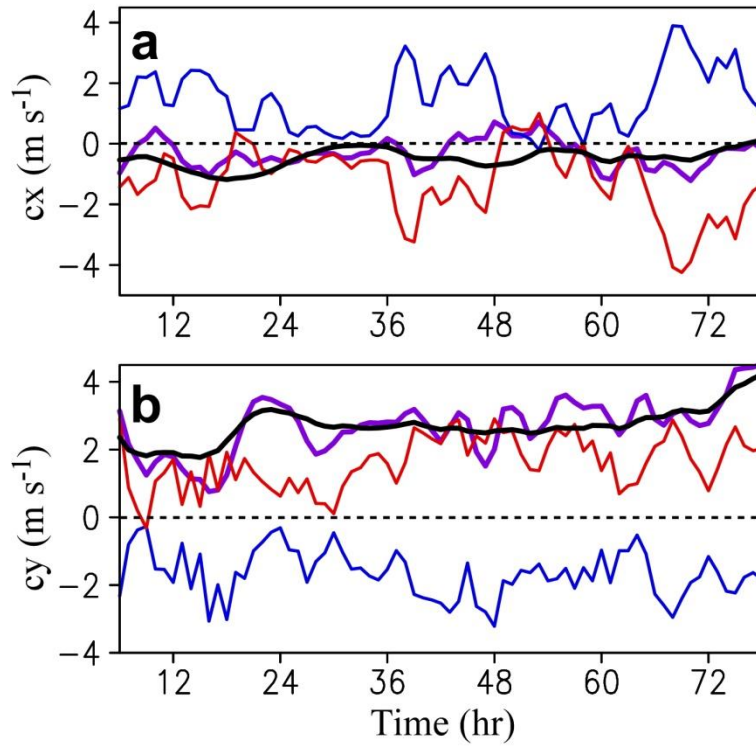
580 Figure 7 Contributions of the horizontal advection (HA, black), vertical advection

581 (VA, blue), diabatic heating (DH, red) and friction (FR, purple) terms in the PVT

582 equation to tropical cyclone motion: a) zonal component, b) meridional component,

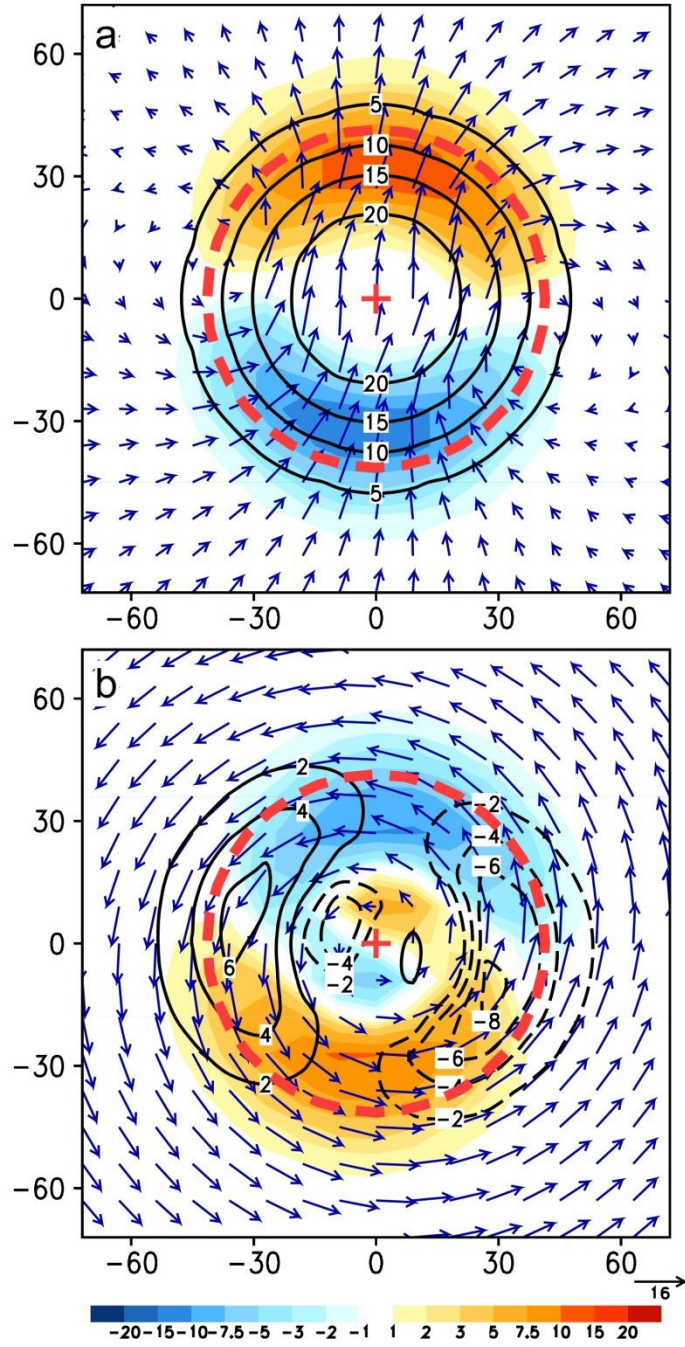
583 and c) magnitude



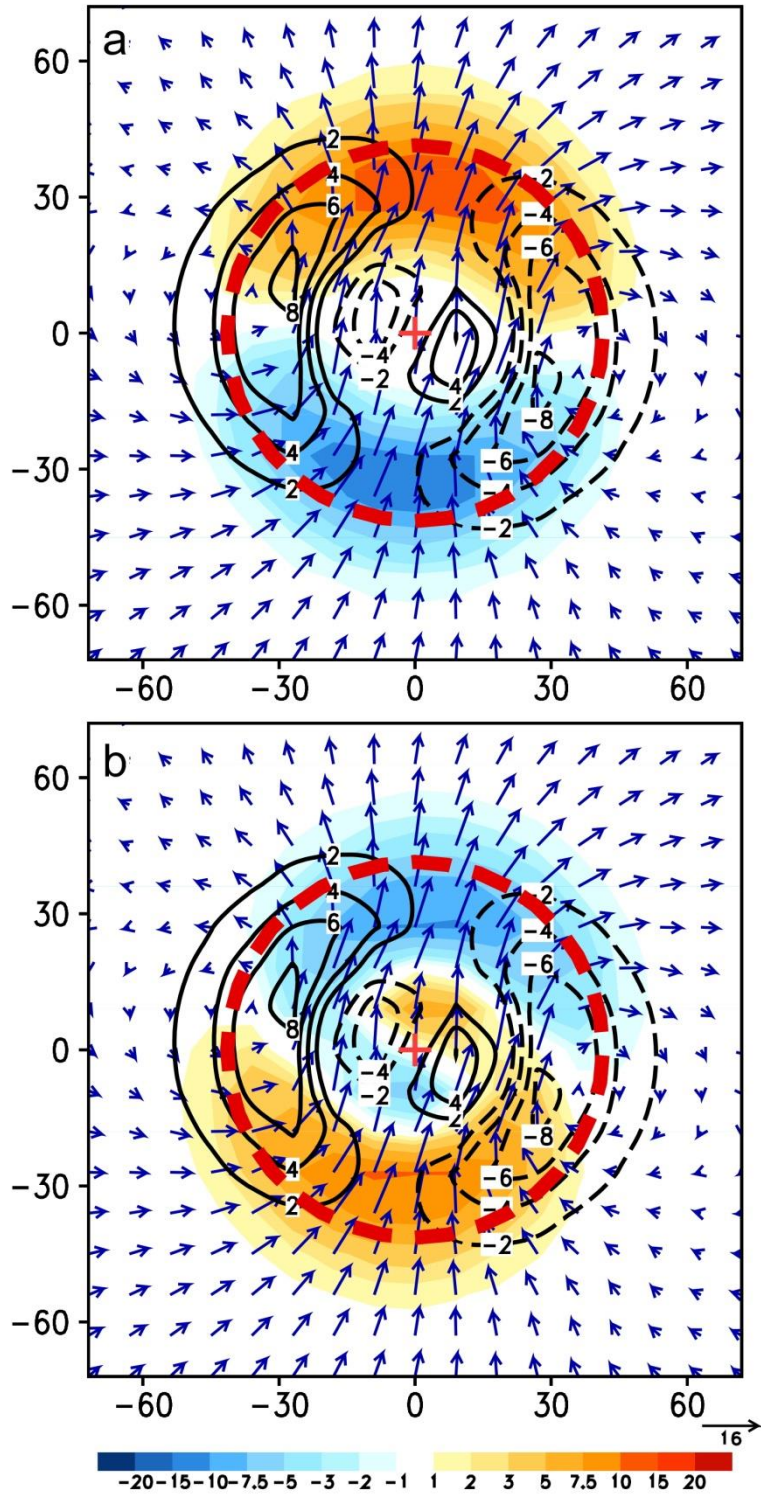


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585 Figure 8 Time series of the conventional steering (thick black) and the contributions  
 586 of the HA (thick purple) and the HA1 (red) and HA2 (blue) terms. The conventional  
 587 steering is deducted from the contribution of the HA1 term.

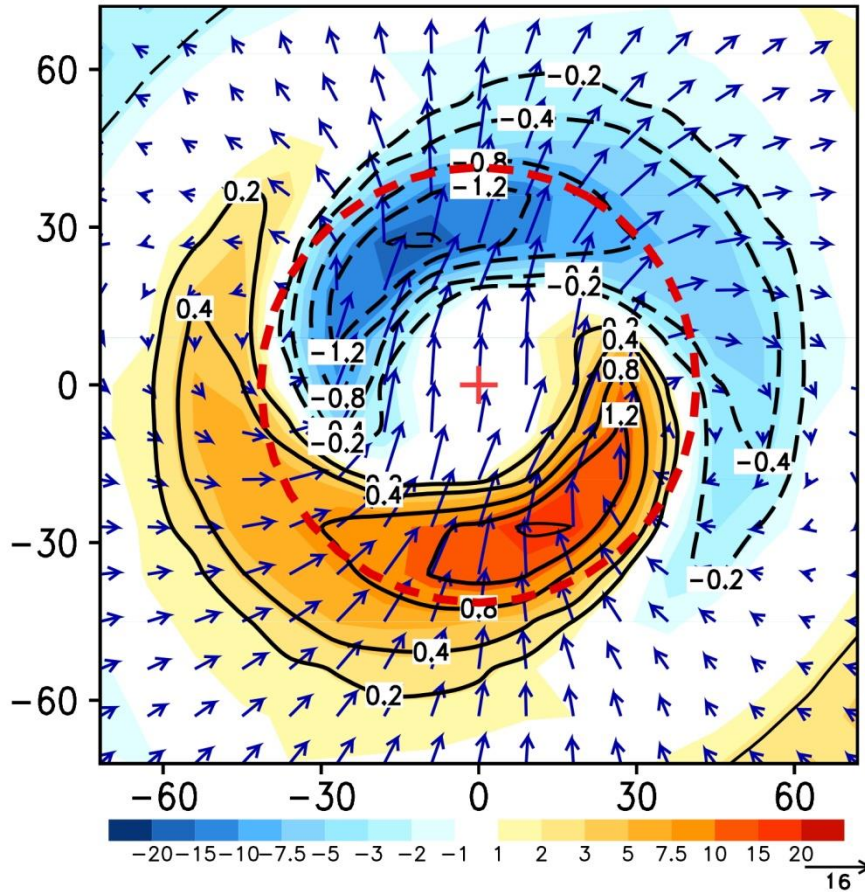


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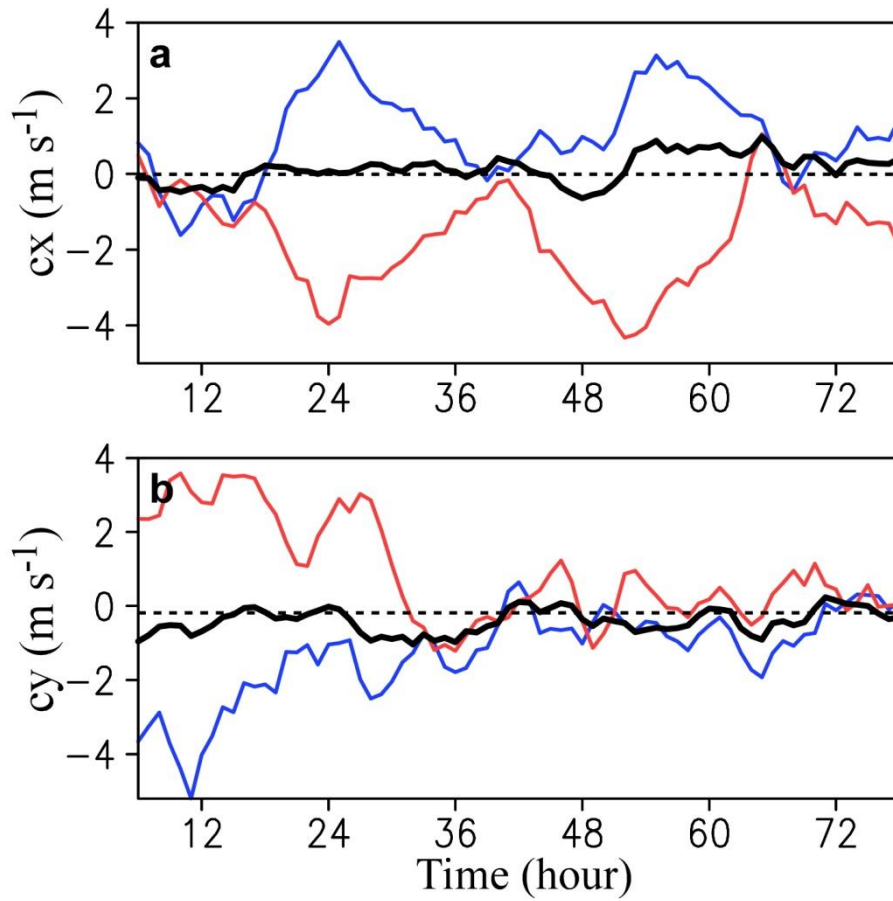
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590 Figure 9 (a) HA1 (shaded,  $10^{-10} \text{ s}^{-2}$ ) and (b) HA2 (shaded,  $10^{-10} \text{ m}^2 \text{ s}^{-2} \text{ K kg}^{-1}$ ) with the  
 591 wavenumber-one and symmetric components of potential vorticity (contours,  $10^{-6} \text{ m}^2$   
 592  $\text{ s}^{-1} \text{ K kg}^{-1}$ ) and winds (vectors,  $\text{m s}^{-1}$ ) at 700 hPa after 18 hours of integration. The  
 593 dashed circle indicates the radius of maximum wind.



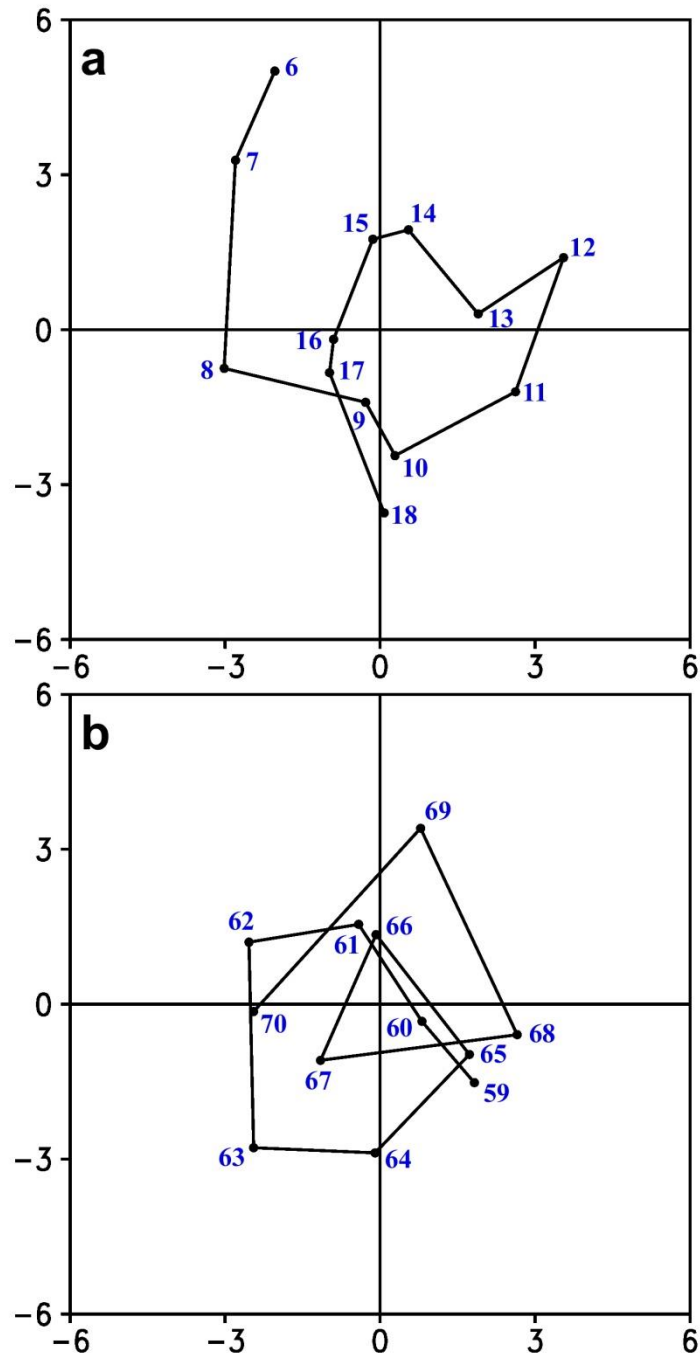
594

595 Figure 10 The wavenumber-one components of the 500-hPa vertical motion (contours,  
 596  $\text{m s}^{-1}$ ), 700-hPa winds relative to the tropical cyclone motion (vectors,  $\text{m s}^{-1}$ ), and  
 597 500-hPa heating rate (shaded,  $10^{-4} \text{ K s}^{-1}$ ) after 18 hours of integration. The dashed  
 598 circle indicates the radius of maximum wind.



599

600 Figure 11 Time series of the contributions of diabatic heating at 700 hPa (blue) and  
 601 400 hPa (red) and the contribution of diabatic heating (thick black) averaged over the  
 602 layer between 300 hPa and 850 hPa

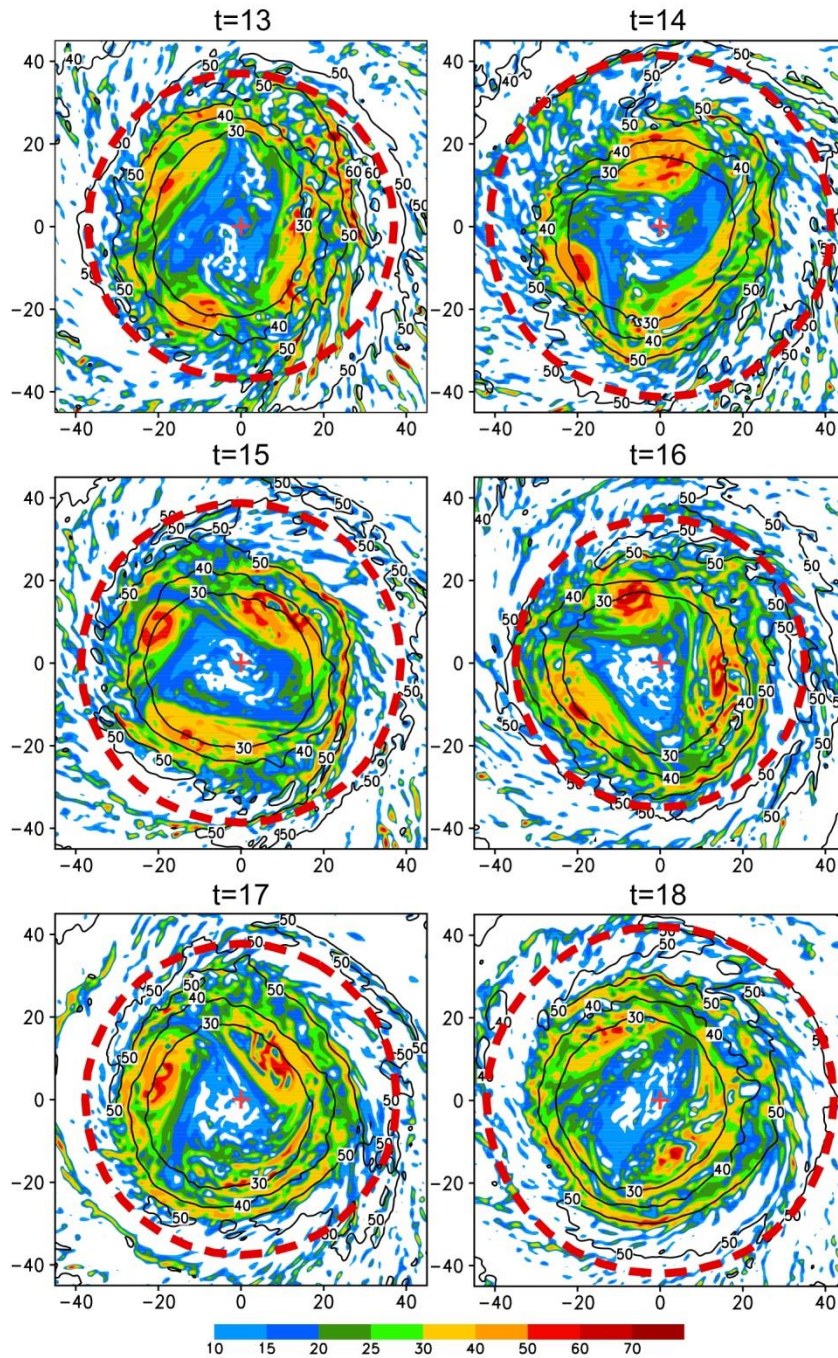


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604 Figure 12 Small-amplitude oscillation of the tropical cyclone track with respect to the

605 9-hour running mean track: a) 6-18 h and b) 59-69 h

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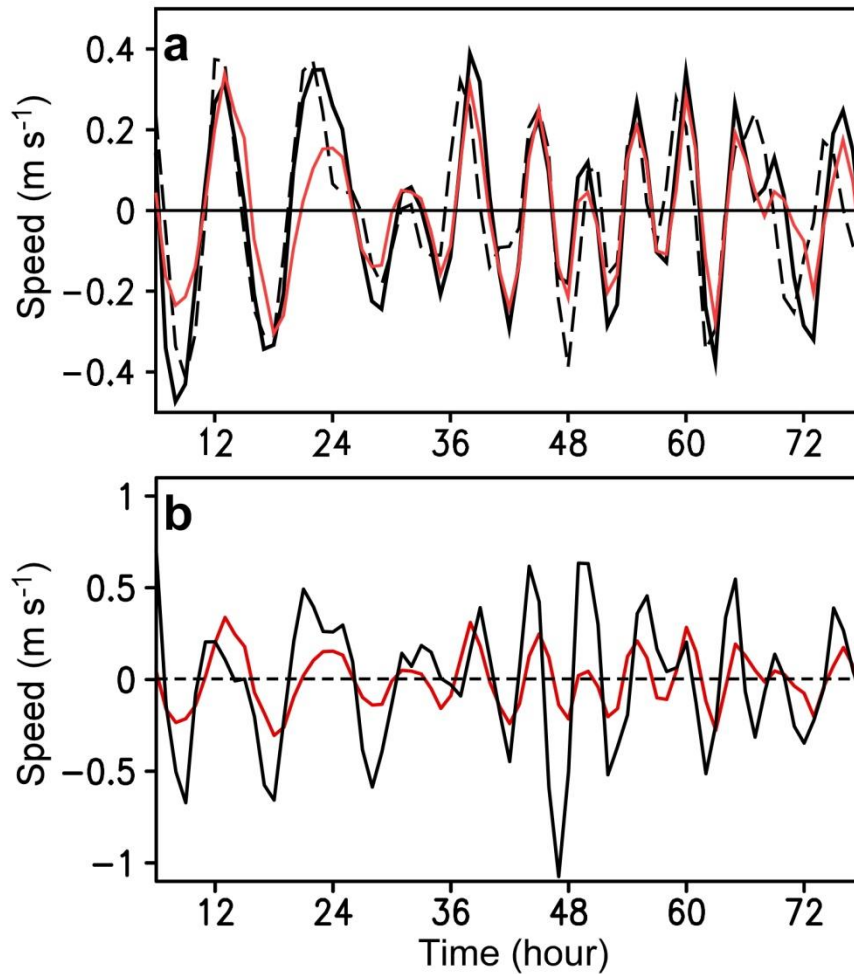
608 Figure 13 Distribution of potential vorticity ( $10^{-6} \text{ m}^2 \text{ s}^{-1} \text{ K kg}^{-1}$ ) within inner-core

609 region during 13-18 h at 700 hPa. The dashed circle shows the radius of maximum

610 wind with the tropical cyclone center indicating with crosses.

611

612



613

614

615 Figure 14 Fluctuations (anomalies of 9 hour running mean) of (a) the tropical cyclone

616 speed (black solid), the PVT speed (black dashed) and the difference between the

617 tropical cyclone speed and the conventional steering (red solid), and (b) the difference

618 between the tropical cyclone speed and the conventional steering (red solid), and the

619 difference between the contribution of the HA term and the conventional steering

620 (black).

621

622