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# Network design for quantifying urban CO<sub>2</sub> emissions: Assessing trade-offs between precision and network density

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- **Abstract.** The majority of anthropogenic CO<sub>2</sub> emissions are attributable to urban areas. While
- the emissions from urban electricity generation often occur in locations remote from consumption,
- many of the other emissions occur within the city limits. Evaluating the effectiveness of strate-3
- 4 gies for controlling these emissions depends on our ability to observe urban CO2 emissions and
- attribute them to specific activities. Cost effective strategies for doing so have yet to be described.
- 6 Here we characterize the ability of a prototype measurement network, modeled after the BEACO<sub>2</sub>N
- network in California's Bay Area, in combination with an inverse model based on WRF-STILT to 7
- improve our understanding of urban emissions. The pseudo-measurement network includes 34 sites
- at roughly 2 km spacing covering an area of roughly 400 km<sup>2</sup>. The model uses an hourly 1×1 km<sup>2</sup> 9
- emission inventory and 1×1 km² meteorological calculations. We perform an ensemble of Bayesian 10
- atmospheric inversions to sample the combined effects of uncertainties of the pseudo-measurements 11
- and the model. We vary the estimates of the combined uncertainty of the pseudo-observations and 12
- model over a range of 20 ppm to 0.005 ppm and vary the number of sites from 1 to 34. We use these inversions to develop statistical models that estimate the efficacy of the combined model-observing 14
- 15 system at reducing uncertainty in CO<sub>2</sub> emissions. We examine uncertainty in estimated CO<sub>2</sub> fluxes
- at the urban scale, as well as for sources embedded within the city such as a line source (e.g., a 16
- 17 highway) or a point source (e.g., emissions from the stacks of small industrial facilities). Using our
- inversion framework, we find that a dense network with moderate precision is the preferred setup
- 19 for estimating area, line, and point sources from a combined uncertainty and cost perspective. The

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- 20 dense network considered here (modeled after the BEACO<sub>2</sub>N network with an assumed mismatch
- 21 error of 1 ppm at hourly temporal resolution) could estimate weekly CO<sub>2</sub> emissions from an urban
- 22 region with less than 5% error, given our characterization of the combined observation and model
- 23 uncertainty.

## 24 1 Introduction

- 25 Carbon dioxide (CO<sub>2</sub>) is an atmospheric trace gas and the single largest anthropogenic radiative
- 26 forcer, with a radiative forcing of  $1.82 \text{ W m}^{-2}$  in 2011 relative to preindustrial times (IPCC, 2013).
- 27 CO<sub>2</sub> has increased from 280 ppm in preindustrial times to greater than 400 ppm in the present,
- 28 largely due to changes in fossil fuel emissions. Over 70% of these fossil fuel CO<sub>2</sub> emissions in
- 29 the United States (US) are attributable to urban areas (EIA, 2015; Hutyra et al., 2014), yet cur-
- 30 rent bottom-up inventories still have large uncertainties. As such, quantifying and monitoring the
- 31 emissions from urban areas is crucial to strategies for reducing future increases in CO<sub>2</sub>.
- 32 Numerous studies have performed top-down estimations of CO<sub>2</sub> emissions using observations
- 33 from urban surface monitoring networks of various sizes (e.g., Gratani and Varone, 2005; McKain
- 34 et al., 2012; Newman et al., 2013; Lauvaux et al., 2013; Breon et al., 2015; Turnbull et al., 2015).
- 35 However, it's not immediately clear how many sites are necessary to monitor the emissions from an
- 36 urban area. Kort et al. (2013) found that a surface monitoring network would need at least 8 sites
- 37 operating for 8 weeks to accurately estimate CO<sub>2</sub> emissions in Los Angeles. Yet most current urban
- 38 monitoring networks have fewer than 8 sites but operate for much longer than 8 weeks. For example,
- 39 Gratani and Varone (2005) used a single site in Rome, Newman et al. (2013) used a single site in
- 40 Los Angeles, Lauvaux et al. (2013) used two sites in Davos, Switzerland, McKain et al. (2012) used
- 41 a network of 5 sites in Salt Lake City, and Breon et al. (2015) used 5 sites in Paris. Recent work
- 42 from Turnbull et al. (2015) employed a denser network of 12 sites in Indianapolis.
- This issue is further complicated by bias and noise in both the measurements and the modeling
- 44 framework. The combined model and measurement error is known as the model-data mismatch error
- 45 (hereafter referred to as the "mismatch error"). Current monitoring networks use a mix of instru-
- 46 ments and approaches to calibration with resulting variations of capital and operating costs, network
- 47 precision, and potential instrument bias. Monitoring networks located in regions with complex orog-
- 48 raphy are challenging for atmospheric transport calculations, making it more difficult to determine
- 49 the dispersion from sources.
- The tradeoff between measurement network density and mismatch error has yet to be charac-
- 51 terized. Understanding these tradeoffs is crucial to reducing the uncertainty in emissions from ur-
- 52 ban regions and to developing cost-effective urban monitoring networks. Here we present a high-
- 53 resolution inventory of CO<sub>2</sub> fluxes and a numerical model that relates atmospheric observations to
- 54 high resolution surface fluxes. We then use this inventory and model in a series of observing system

- simulation experiments (OSSEs) to investigate the tradeoff between reductions in the mismatch error and increases in the measurement network density. We develop statistical models to characterize this
- 57 relationship for different types of sources in the San Francisco Bay Area, identify limiting regimes,
- 58 and recommend future observing strategies.

# 59 2 Constructing a high resolution regional CO2 inventory

- 60 McDonald et al. (2014) demonstrated that  $1\times1~\mathrm{km}^2$  spatial resolution is necessary to resolve the
- 61 gradients in urban CO<sub>2</sub> fluxes from highways. However, most of the existing CO<sub>2</sub> anthropogenic
- 62 inventories are not available at this resolution. For example, EDGAR (European Commission, 2011)
- and VULCAN (Gurney et al., 2009) are only available at  $0.1^{\circ} \times 0.1^{\circ}$  and  $10 \times 10$  km<sup>2</sup>, respectively.
- 64 A notable exception is the Odiac fossil fuel CO<sub>2</sub> inventory (Oda and Maksyutov, 2011) which is
- based on satellite-observed nightlight data and available globally at  $1 \times 1$  km<sup>2</sup> resolution. High reso-
- 66 lution fossil fuel CO<sub>2</sub> emissions are available for select cities and sectors such as Paris through the
- 67 AirParif inventory (Breon et al., 2015, http://www.airparif.asso.fr/en/index/index) and Indianapo-
- 68 lis, Los Angeles, Salt Lake City, and Phoenix through the HESTIA project (Gurney et al., 2012,
- 69 http://hestia.project.asu.edu/); three recent studies (Gately et al., 2013; McDonald et al., 2014; Gately
- 70 et al., 2015) developed high resolution CO<sub>2</sub> emissions from vehicular traffic.
- 71 The Bay Area Air Quality Management District (BAAQMD) provides detailed annual county-
- 72 level CO<sub>2</sub> emissions information for San Francisco and California's Bay Area (Mangat et al., 2010).
- 73 The BAAQMD found that the transportation sector accounted for 36% of the Bay Area anthro-
- 74 pogenic emissions, industrial and commercial for 36%, electricity for 16%, residential fuel usage
- 75 for 7%, off-road equipment for 3.0%, and agriculture for 1%. The BAAQMD also reports CO<sub>2</sub>
- 76 emissions for 4,375 point sources in the Bay Area. We geocode these point sources based on the
- 77 addresses provided by the BAAQMD. These point sources capture the emissions from the indus-
- 78 trial, commercial, and electricity sectors. We map residential fuel usage to population using block
- 79 level population data from the 2010 US Census and apply a temporal temperature scaling based on
- 80 Deschlars and Greenstone (2011); the resulting temporal scaling effect is small due to the temperate
- 81 climate in the East Bay region of the SF Bay Area.
- Here we use the traffic CO<sub>2</sub> emissions from the fuel-based inventory for vehicle emissions (FIVE)
- 83 developed by McDonald et al. (2014). The FIVE traffic CO<sub>2</sub> inventory provides a representative
- 84 week of hourly CO2 emissions for San Francisco and other nearby Bay Area cities at 10 km, 4
- 85 km, 1 km, and 500 m resolution. This representative week can be scaled to different years based
- 86 on the state fuel sales (see McDonald et al. (2014) for additional details). The FIVE inventory is
- 87 constructed by partitioning CO<sub>2</sub> emissions using state-level fuel data to individual roads with road-
- 88 specific traffic count data and temporal patterns from weigh-in-motion data. In this manner, CO<sub>2</sub>
- 89 emissions from the FIVE inventory will be consistent with state and national CO<sub>2</sub> budgets and can

easily be scaled to different years.

 Combining the industrial, commercial, electricity, residential, and traffic emissions account for 95.8% of the anthropogenic CO<sub>2</sub> emissions in the Bay Area. We do not have high resolution proxy data for the off-road equipment or agriculture sectors in the Bay Area and have chosen to assume their contributions are smaller than the uncertainty in the total budget; therefore we neglect these sectors in the construction of our inventory.

CarbonTracker CT2013B (http://www.esrl.noaa.gov/gmd/ccgg/carbontracker/; Peters et al., 2007) provides 3 hourly fossil fuel, ocean, biogenic, and fire  $CO_2$  fluxes at  $1^{\circ} \times 1^{\circ}$  resolution. These fluxes are optimized to agree with atmospheric  $CO_2$  observations. We regrid these fluxes to  $1 \times 1$  km² spatial resolution (see Supplemental Section S3) and use the fire, ocean, and biogenic sectors to account for our natural fluxes.

Fig. 1 shows snapshots of the  $CO_2$  fluxes from our inventory at 4 different times of day and the a-temporal fluxes from EDGAR v4.2 FT2010 (European Commission, 2011). From Fig. 1 we can see the inventory clearly resolves the large  $CO_2$  gradients from highways, confirming that  $1\times1$  km<sup>2</sup> spatial resolution is sufficient to resolve urban  $CO_2$  fluxes from highways. The bottom panel of Fig. 1 shows a time series of Bay Area  $CO_2$  fluxes broken down by source. The diurnal cycle in our inventory is largely driven by the traffic emissions with modest uptake from the biosphere during the middle of the day. Other anthropogenic sources were assumed to have a negligible diurnal cycle (Nassar et al., 2013). In what follows, we use EDGAR as the prior and the high spatio-temporal resolution inventory as the "truth".

110 [Fig. 1 about here.]

## 111 3 The Berkeley Atmospheric CO<sub>2</sub> Observation Network (BEACO<sub>2</sub>N)

The Berkeley Atmospheric CO<sub>2</sub> Observation Network ("BEACO<sub>2</sub>N", see http://beacon.berkeley.edu and Shusterman et al., 2016) was founded in 2012 as a web of approximately 25 carbon dioxide sensing "nodes" stationed atop schools and museums in the Oakland, CA metropolitan area (see Table 1). With sensors installed on an approximately 2 km square grid, BEACO<sub>2</sub>N is the only surface-level (3 to 130 m a.g.l.) greenhouse gas monitoring system with roughly the same spatial resolution as the emissions inventories described above. Each node requires only a standard, 120V power source and is sited on pre-existing structures based on voluntary, no-cost partnerships. The BEACO<sub>2</sub>N configuration therefore represents a reasonable expectation and is one model for future monitoring networks aimed at constraining CO2 fluxes at neighborhood scales within an urban dome.

121 [Table 1 about here.]

BEACO<sub>2</sub>N's unprecedented spatial density is achieved by exploiting lower cost instrumentation than has traditionally been utilized for ambient CO<sub>2</sub> detection. The non-dispersive infrared (NDIR)

- absorption sensor used in each BEACO<sub>2</sub>N node (http://www.vaisala.com/en/products/carbondioxide/Pages/GMP343.aspx)
- 125 has been seen to possess adequate sensitivity to resolve diurnal as well as seasonal phenomena rele-
- 126 vant to urban environments (Rigby et al., 2008) and costs one to two orders of magnitude less than
- 127 the commercial cavity ring-down instruments commonly used in other networks. However, the low-
- 128 cost NDIR sensor is more susceptible to factors such as temporal drift and environmental instability
- 129 that can negatively impact data quality. This trade-off between mismatch error and network density
- 130 is explored below.

## 131 4 Observing system simulation experiments

- 132 CO<sub>2</sub> concentrations were simulated at 34 sites in the BEACO<sub>2</sub>N network with the Stochastic Time-
- 133 Inverted Lagrangian Transport (STILT) model (Lin et al., 2003), coupled to the Weather Research
- and Forecasting (WRF) meso-scale meteorological model run at 1×1 km<sup>2</sup> grid resolution (WRF-
- 135 STILT; Nehrkorn et al., 2010). WRF-STILT computes footprints (Δ CO<sub>2</sub> per surface flux, or ppm
- per  $\mu$ mol·m<sup>-2</sup>·s<sup>-1</sup>; See Supplemental Section S1 and Lin et al. (2003) for additional details) for
- each observation that relate the hourly 1 km<sup>2</sup> CO<sub>2</sub> fluxes (x; an  $m \times 1$  vector) to the observations
- 138 (y; an  $n \times 1$  vector):

$$139 \quad \mathbf{y} = \mathbf{H}\mathbf{x} \tag{1}$$

- 140 Each row of the  $n \times m$  Jacobian matrix  $(\mathbf{H} = \partial \mathbf{y}/\partial \mathbf{x})$  is a reshaped footprint. Fig. 2 shows the
- 141 location of the sites and the average network footprint for Sept 15 to 22. The spatial extent of the
- 142 footprints found here are similar to those found in Bastien et al. (2015), who performed an adjoint-
- 143 based sensitivity analysis of urban air pollution in the San Francisco Bay area (see their Fig. 2).

- Our aim is to estimate hourly CO<sub>2</sub> fluxes at 1 km<sup>2</sup> over a one week period. As such, the model
- domain is 88 km × 101 km and we solve for 240 hours of fluxes (1 week plus 3 additional days
- 147 of back trajectories). The resulting state vector has 2,133,120 elements ( $m = m_t \cdot m_x \cdot m_y$  with
- 148  $m_t = 240, m_x = 88, m_y = 101$ ) and the posterior fluxes will have hourly temporal resolution and 1
- 149 km $^2$  spatial resolution. The dimension of n will depend on the number of sites in the observational
- 150 network.
- Here we use our high resolution  $CO_2$  inventory ( $\mathbf{x}^*$ ; an  $m \times 1$  vector) to generate synthetic obser-
- 152 vations ( $\mathbf{y}^*$ ; an  $n \times 1$  vector):

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$$\mathbf{y}^* = \mathbf{H}\mathbf{x}^* + \boldsymbol{\varepsilon}$$
 (2)

- where  $\varepsilon$  is an  $n \times 1$  vector of normally distributed noise with mean  $\epsilon_b$  and diagonal covariance ma-
- 155 trix  $\mathbf{R}$ :  $\epsilon \sim \mathcal{N}(\epsilon_b, \mathbf{R})$ . Using a diagonal  $\mathbf{R}$  matrix means that we have assumed our mismatch errors
- 156 are uncorrelated. Our base case inversion assumes the mean bias is zero:  $\epsilon_b = 0$ . We evaluate the

157 sensitivity to this assumption in Section 6 and Supplemental Section S6.2. These synthetic observa-

158 tions can then be used in a Bayesian inference framework to estimate the optimal CO<sub>2</sub> fluxes (c.f.

159 Rodgers, 2000). Assuming the prior and likelihood distributions are Gaussian gives us a closed-form

160 solution for the posterior  $CO_2$  fluxes:

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$$\hat{\mathbf{x}} = \mathbf{x}_p + (\mathbf{H}\mathbf{B})^T (\mathbf{H}\mathbf{B}\mathbf{H}^T + \mathbf{R})^{-1} (\mathbf{y}^* - \mathbf{H}\mathbf{x}_p)$$
 (3)

162 where  $\mathbf{x}_p$  is an  $m \times 1$  vector of prior  $CO_2$  fluxes, comprised of a coarse  $(10 \times 10 \text{ km}^2)$  a-temporal

163 EDGAR v4.2 FT2010 anthropogenic CO<sub>2</sub> inventory and natural fluxes from CarbonTracker CT2013B,

164 regridded to  $1\times1$  km<sup>2</sup>. B is the  $m\times m$  prior error covariance matrix. The prior error covariance

matrix can be expressed as a Kroenecker product (cf. Meirink et al., 2008; Singh et al., 2011; Yadav

and Michalak, 2013) of temporal and spatial covariance matrices:  $\mathbf{B} = \mathbf{D} \otimes \mathbf{E}$  where  $\mathbf{D}$  is the tem-

167 poral covariance matrix and E is the spatial covariance matrix. The B matrix has an uncertainty of

100% at the native resolution and the spatial and temporal covariance matrices are fully populated

169 (see Supplemental Section S2 for more details).

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We do not explicitly represent the individual error terms contributing to the **R** matrix (instrument error, model error, and representation error). Instead, we have assumed that the **R** matrix is diagonal and can be characterized by a single parameter: the total mismatch error ( $\sigma_m$ ;  $\mathbf{R} = \sigma_m^2 \mathbf{I}$ ), which

173 represents the combined effects of the different error components.

Fig. 3 shows an example of the estimated  $CO_2$  fluxes. We can see that the posterior fluxes capture more of the spatial variability in the  $CO_2$  fluxes than the prior fluxes in the region where the network is deployed. We find substantial improvements in the diurnal cycle (see panel d). Previous work has used the posterior covariance matrix  $(\mathbf{Q} = (\mathbf{H}^T \mathbf{R}^{-1} \mathbf{H} + \mathbf{B}^{-1})^{-1})$ , averaging kernel matrix  $(\mathbf{A} = \mathbf{I} - \mathbf{Q}\mathbf{B}^{-1})$ , and the degrees of freedom for signal (DOFs =  $\mathrm{tr}(\mathbf{A})$ ) as metrics to evaluate the information content of different observing systems (e.g., Kort et al., 2013; Wu et al., 2016). However, it is computationally infeasible to construct these  $m \times m$  matrices for our application as  $m > 10^6$  and storing them would require  $\sim$ 36 Tb of memory (assuming double precision, dense matrices).

Instead, we evaluate the efficacy of the posterior fluxes by taking the norm of the difference between the posterior fluxes and the true fluxes:  $||\hat{\mathbf{x}} - \mathbf{x}^*||_2$ . We express this as a relative improvement by comparing the norm of the difference between the prior fluxes and the true fluxes:

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$$\eta = 1 - \frac{||\hat{\mathbf{x}} - \mathbf{x}^*||_2}{||\mathbf{x}_p - \mathbf{x}^*||_2}$$
 (4)

This error metric,  $\eta$ , was chosen as it has a similar form to the averaging kernel matrix but it also allows us to directly compare the posterior fluxes to the true fluxes. This relative error metric can be related to the flux error (see Supplemental Section S5). As such, we can use the error metric to evaluate the ability of the observing system to resolve three types of emission sources: (1) area, (2)

line, and (3) point sources, by examining a subset of grid cells in the domain (see Section S3 for more details). The area source (AS) examined here is the East Bay urban dome ( $147 \pm 55 \text{ tC hr}^{-1}$ ; uncertainty is the 1- $\sigma$  range of hourly fluxes from the high resolution inventory), the line source (LS) is Interstate 880 and the Bay Bridge ( $45 \pm 20 \text{ tC hr}^{-1}$ ), and the point sources (PS) are 4 large CO<sub>2</sub> sources in the East Bay ( $9 \pm 4 \text{ tC hr}^{-1}$ ). For comparison, Salt Lake City emits  $\sim 300 \pm 50 \text{ tC}$  hr<sup>-1</sup> (McKain et al., 2012). The top panel of Fig. 2 shows these three source types.

Fig. 4 shows the error in the estimated  $CO_2$  fluxes using the observations over a wide range of observing system scenarios. We vary the number of sites  $(n_s = [1,2,...,34])$ , mismatch error  $(\sigma_m = [0.005,0.01,0.02,0.05,0.1,0.2,0.5,1,2,5,10,20]$  ppm), and perform an ensemble of 20 inversions for each combination to ensure the results are robust. Each ensemble member uses a unique observational network by randomly drawing  $n_s$  sites from the population of 34 possible sites. In total, we perform 8,160 inversions. Fig. 4 shows the mean error in the estimated  $CO_2$  fluxes for the area source, line source, and point source as a function of  $\sigma_m$  and  $n_s$ . This figure represents the uncertainty in the estimated emissions at a given hour.

[Fig. 4 about here.]

## 5 Simplified statistical models of error reduction

208 We develop statistical models to predict the error reduction and quantify the importance of the differ-

209 ent factors governing the error reduction. We tested all combinations of models with the following

210 7 parameters (127 possible combinations):  $\sqrt{\sigma_m}$ ,  $\sqrt{n_s}$ ,  $\ln(\sigma_m)$ ,  $\ln(n_s)$ ,  $\sigma_m$ ,  $n_s$ , and a constant.

211 These statistical models were evaluated using Akaike information criterion (AIC) and Bayesian in-

212 formation criterion (BIC). The following statistical models were found to be best:

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$$\hat{\eta}_{AS} = \beta_6 \sqrt{\sigma_m} + \beta_5 \sqrt{n_s} + \beta_4 \ln(\sigma_m) + \beta_3 \ln(n_s) + \beta_2 \sigma_m + \beta_0$$
 (5)

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$$\hat{\eta}_{LS} = \beta_6 \sqrt{\sigma_m} + \beta_5 \sqrt{n_s} + \beta_4 \ln(\sigma_m) + \beta_3 \ln(n_s) + \beta_2 \sigma_m + \beta_1 n_s$$
 (6)

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$$\hat{\eta}_{PS} = \beta_6 \sqrt{\sigma_m} + \beta_5 \sqrt{n_s} + \beta_4 \ln(\sigma_m) + \beta_2 \sigma_m + \beta_0$$
 (7)

216 All the regression coefficients  $(\beta_i)$  in the statistical models yielded statistically significant (p <

217 0.001) parameters based on F-tests (see the Supplemental Section S7 for the regression coefficients

218 and model selection criterion).

We find the  $\sqrt{\sigma_m}$ ,  $\sqrt{n_s}$ ,  $\ln(\sigma_m)$ , and  $\sigma_m$  parameters in all three statistical models (Eq. 5–7).

220 This dependence on  $\sqrt{n_s}$  and  $\sqrt{\sigma_m}$  logically follows from the assumption of Gaussian errors in

the derivation of the posterior CO<sub>2</sub> fluxes (Eq. 3) and the basic properties of variance. These two

222 parameters tend to be dominant and generally explain more than 50% of the variance. As such, we

223 suspect that these two parameters are the most important and that other terms are capturing higher-

224 order effects.

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These statistical models can also be used to define the regimes where increasing the number of sites in the observing system is more important and those where reducing the mismatch error is more important. We estimate these regimes using the ridge line from the statistical models (Eq. 5–7). From Fig. 4 we can see two distinct regimes: *noise-limited* and *site-limited*. Observing systems that lie above the ridge line are in the the noise-limited regime where the error reduction is largely governed by the mismatch error in the observing system. Conversely, observing systems below the ridge line are in the the site-limited regime where the error reduction is largely governed by the number of sites in the observing system.

The mismatch error is controlled by the instrument, representation, and model error. In the noiselimited regime reducing these errors will provide the greatest benefit. Whereas, in the site-limited regime the greatest benefit will come from increasing the number of sites in the observing system and there will only be marginal benefit from reducing the instrument, representation, and model error.

#### 238 6 Discussion

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- 239 Three conclusions we can draw from Fig. 4 for California's East Bay are:
- 240 1. Achieving  $\sigma_m = 1$  ppm adds value. There is relatively little additional benefit to reducing mismatch error to 0.1 ppm, particularly for estimating line or point source emissions.
- 242 2. At  $\sigma_m = 1$  ppm there is a benefit to increasing the number of sites, but this benefit increases slower than  $\sqrt{n_s}$ .
- 3. At  $\sigma_m = 5$  ppm there is little benefit from increasing the number of sites; reducing the noise would add more value.
- Our work is primarily focused on estimating hourly fluxes, however we can further reduce the uncertainty in our estimates by considering temporally averaged fluxes (e.g., what are the weekly or monthly emissions?). Fig. 5 shows the error in our estimate of the area source emissions aggregated over various time-scales. We find the error in our estimate greatly decreases over the first 72 hours. The central limit theorem provides a lower bound on the error reduction we might expect and the
- 250 The central limit theorem provides a lower bound on the error reduction we might expect and the 251 error reductions follow this limit reasonably well over the first 72 hours. This implies that our
- 252 weekly-averaged emission estimate would be  $10 \times$  better than our hourly emission estimate.

253 [Fig. 5 about here.]

# 6.1 Additional factors affecting observing system design

We considered three additional factors that could adversely impact an observing system: (1) inversion domain size, (2) site-specific systematic biases, and (3) using only daytime observations.

Our results are found to be largely insensitive to the inversion domain size (see Fig. S6). This is discerned through a set of sensitivity OSSEs with a reduced domain size. We find that inversions on the reduced domain were only marginally worse at reducing the error ( $\sim 1\%$ ) than inversions on the full domain (see Supplemental Section S6.1). This is due to the strong local signal in the footprint of the measurements (see bottom panel of Fig. 2). As such, the non-local emission sources do not adversely impact our ability to estimate urban emissions.

Biases can adversely impact the observing system (see Fig. S7). To test the impacts of biases in the modeling-measurement framework, we repeated the OSSEs outlined in Section 4 but included a systematic bias. The bias was unique to each site and was drawn from a normal distribution  $(\epsilon_b \sim \mathcal{N}(\mathbf{0}, \sigma_b^2 \mathbf{I}); \ \sigma_b = 1 \ \text{ppm})$ . There are three major findings from the OSSEs with systematic biases:

- 1. Systematic biases become particularly problematic when the spread of the potential biases (defined here as  $\sigma_b$ ) is larger than the mismatch error  $(\sigma_b > \sigma_m)$ . This is because we have defined the observational error covariance matrix as:  $\mathbf{R} = \sigma_m^2 \mathbf{I}$ . However, if  $\sigma_b > \sigma_m$  with a dense observing system then the site-specific biases will artificially inflate the observational error covariance matrix:  $\mathbf{R} \approx (\sigma_m^2 + \sigma_b^2) \mathbf{I}$  and the errors will be incorrectly characterized in the observing system. As long as  $\sigma_b < \sigma_m$  then  $\mathbf{R} = \sigma_m^2 \mathbf{I}$  and the characterization of the errors will be appropriate.
- 2. Observing systems with more sites are generally less affected by site-specific systematic biases. This is because observing systems with a small number of sites rely heavily on those few sites. An observing system with many sites is less reliant on a single site and the site-specific systematic biases act more like additional noise in the observing system.
- 3. Systematic biases have a greater impact when estimating an area source than line and point sources. This is because an airmass sensitive to a line or point source will have a greater enhancement relative to the background compared to a diffuse area source, thus there is a larger signal-to-noise ratio for these sources and a systematic bias is less important.

During the day, model calculations of the PBL height are more reliable leading to a temptation to omit the nighttime data from the analysis. However, emissions at night can be as much as 30% of the total and ignoring them makes estimates of urban emissions strongly dependent on prior assumptions. Our observing system would be unable to correct the misrepresented nighttime emissions of our a-temporal prior without using nighttime observations. As a result, even our most optimistic observing system would have a systematic  $\sim$ 50 tC hr<sup>-1</sup> error ( $\sim$ 30%) in the estimated area source emissions due to the misrepresented nighttime emissions.

# 6.2 Potential cost tradeoffs

291 We consider two potential observing systems:

- 292 1. "Network A" ( $n_s = 25$ ,  $\sigma_m = 1$  ppm): A dense network with moderate-precision instruments.
- 293 This network is similar to the BEACO<sub>2</sub>N network described in Section 3. We assume a cost
- of \$5,000 per instrument giving a total cost of \$125,000. This network is shown as a purple
- star in the left column of Fig. 4.
- 296 2. "Network B" ( $n_s = 3$ ,  $\sigma_m = 0.1$  ppm): A sparse network with of high-precision instruments.
- 297 This network uses cavity-ring down instruments. We assume a cost of \$50,000 per instrument
- giving a total cost of \$150,000. This network is shown as a green star in the left column of
- 299 Fig. 4.
- 300 We note that the assumed mismatch error for these two potential observing systems is defined as the
- 301 instrument error and assumes there is no contribution from model or transport errors.
- The cost for these two networks is comparable. From Fig. 4, we find that the sparse "Network B"
- 303 is site-limited in all cases whereas the dense "Network A" is in the noise-limited regime. Further, we
- 304 find that the dense "Network A" has less error in the estimate of all source types in San Francisco's
- 305 East Bay. Networks sitting on the ridge line are at the optimal balance between precision and number
- 306 of sites.

## 307 6.3 The relationship between network density and transport error

- 308 In this work we have treated transport error and the number of measurement sites as independent.
- 309 However, in practice, there would be a relationship between the transport error and measurement
- 310 network density. This can be understood with a thought experiment using two different observing
- 311 systems to estimate emissions: a sparse network with a single site and an infinitely dense network
- 312 (sites at each grid cell in our domain). Estimating emissions with the sparse network would require
- 313 us to simulate the atmospheric transport with high fidelity if we are to reliably say anything about
- 314 emissions upwind of our site. This is especially true for point sources. Any errors in the simulated
- 315 atmospheric transport would adversely impact the estimated emissions, whereas the infinitely dense
- 316 network could potentially neglect atmospheric transport and use data from only the local grid cell
- 317 to estimate emissions. This is because the differential signal at each site would be largely gov-
- 318 erned by the local emissions. Explicitly quantifying this relationship between transport error and
- 319 measurement network density should be the focus of future work.

## 7 Conclusions

320

- 321 Understanding the factors that govern our ability to estimate urban greenhouse gas emissions are
- 322 crucial to improving an observing system and reducing the uncertainty in emission estimates. Here
- 323 we have quantitatively mapped the errors in CO<sub>2</sub> emission estimates from different observing sys-
- 324 tems for three different types of sources in California's Bay Area: area sources, line sources, and
- 325 point sources. Our results show that different observing systems may fall into noise or site-limited

regimes where reducing the uncertainty in the estimated emissions is governed by a single factor; these regimes differ for the source types. Identifying the regime an observing system is in will help inform future improvements to the observing system. A number of prior urban CO<sub>2</sub> experiments have defined as a goal, the understanding of emissions to less than 10% (e.g., Kort et al., 2013; Wu et al., 2016). We find that a BEACO<sub>2</sub>N-like network could achieve this accuracy and precision with 1 week of observations, if the dominant source of error is instrument precision. This conclusion may motivate a re-examining of the conventional instrument quality-oriented design of CO<sub>2</sub> observing systems, according to the stated goal of a given network.

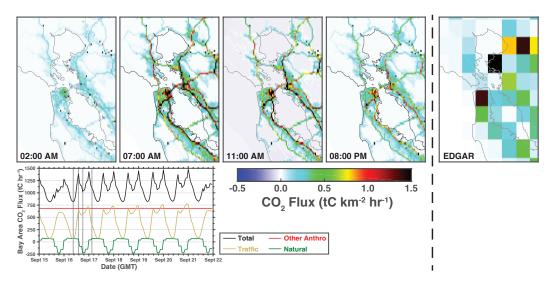
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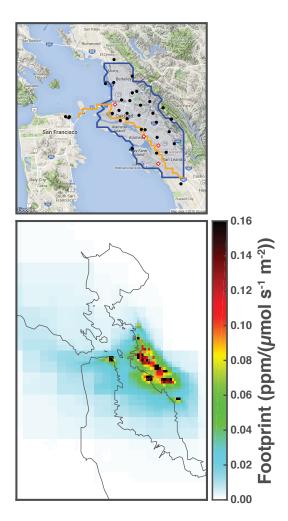
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**Fig. 1.** September 2013  $CO_2$  fluxes from bottom-up inventories. Top row shows the fluxes in the Bay Area  $(122.0357^{\circ}-122.7683^{\circ}W,\ 37.3771^{\circ}-38.2218^{\circ}N)$  at four representative hours (hour in local time). Right panel shows the a-temporal EDGAR v4.2 FT2010  $CO_2$  flux in the Bay Area. Bottom panel shows the total Bay Area  $CO_2$  flux (black), traffic (orange), other anthropogenic (red), and natural (green) sources. Vertical gray shading indicates the time slices plotted in the top and middle panels.



**Fig. 2.** Top panel shows the location of the sites (black circles), the area source (blue region), the line source (orange line), and point sources (red diamonds). Bottom panel shows the September 15 to 22 average footprint for the 34 sites in the network, see Table 1 for a list of the sites. The bottom panel is the full domain used for the inversion. Supplemental Fig. S3 shows the footprint on a log-scale.

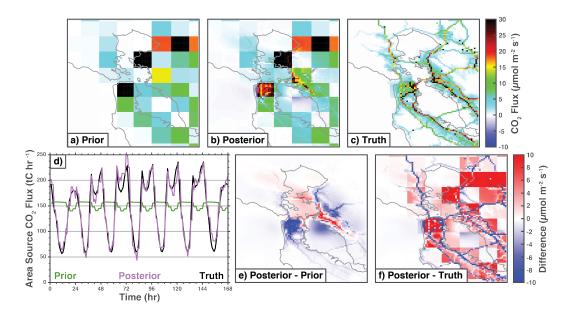


Fig. 3. Example of estimated  $CO_2$  fluxes. Top row shows the average emissions from (a) the prior, (b) the posterior, and (c) the true emissions. Panel (d) shows a time series of the emissions from the area source with the prior (green), posterior (pink), and true emissions (black). Panel (e) shows the difference between the posterior and the prior. Panel (f) shows the difference between posterior and the truth. Posterior output is from the best case scenario ( $n_S = 34$  and  $\sigma_m = 0.005$  ppm).

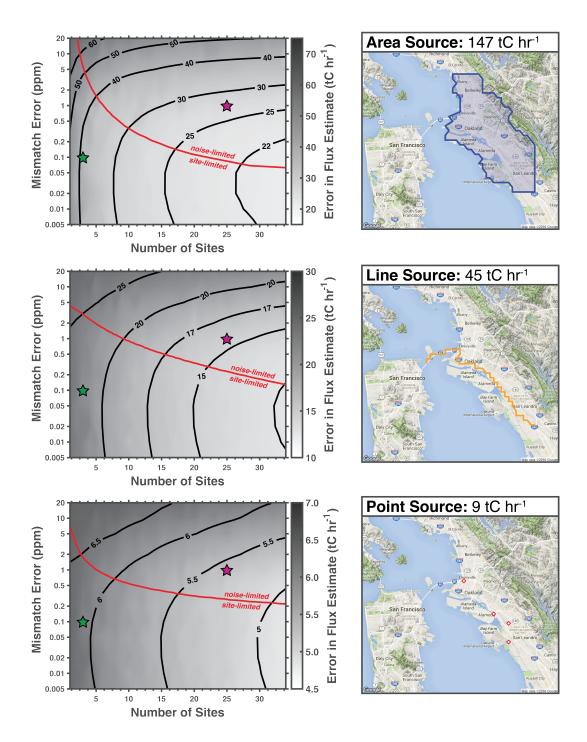
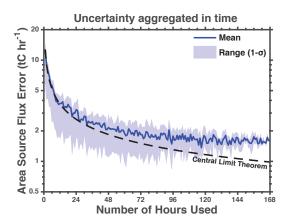


Fig. 4. Left column shows the error in the posterior  $CO_2$  fluxes. Right column shows the fluxes being estimated. Top row is the area source, middle row is the line source, and bottom row is the point source. Inversions were performed using  $n_s = [1,2,\ldots,34]$  sites and  $\sigma_m = [0.005,0.01,0.02,0.05,0.1,0.2,0.5,1,2,10,20]$  ppm mismatch error. Results shown are the mean of a monte carlo analysis using 20 different combinations of sites for each  $(n_s,\sigma_m)$  pair. Contours are from the statistical models  $\hat{\eta}$  (see Eq. 5–7) converted to flux errors and the red lines are the ridge lines that define the cutoff between the noise-limited and site-limited regimes. Purple star shows an observing system with 25 sites and 1 ppm noise. Green star shows an observing system with 3 sites and 0.1 ppm noise. Note the log-scale on the y-axis.



**Fig. 5.** Uncertainty aggregated in time for the best case inversion (see Fig. 3). The  $CO_2$  flux estimate in this study has an hourly temporal resolution. The uncertainty in the emissions estimate declines as the estimate is averaged to longer temporal scales. Solid blue line is the mean uncertainty, shading is the 1- $\sigma$  range, and the dashed black line is the uncertainty predicted by the central limit theorem. Note the log scale on the y-axis.

**Table 1.** 34 sites in the network<sup>a</sup> used in this study.

Table 1. 34 sites in the network—used in this study.				
Site Code	Site name	Latitude	Longitude	Height
		(°N)	(°W)	(m a.g.l.)
AHS	Arroyo High School	37.680	122.139	3
BEL	Burckhalter Elementary School	37.775	122.167	5
BFE	Bayfarm Elementary School	37.744	122.251	3
BOD	Bishop O'Dowd High School	37.753	122.155	3
CES	Claremont Elementary School	37.846	122.252	3
CHA	Chabot Space & Science Center (low)	37.819	122.181	3
CHB	Chabot Space & Science Center (high)	37.819	122.181	9
COI	Coit Tower	37.8030	122.406	5
CPS	College Preparatory School	37.849	122.242	24
EBM	W. Oakland EBMUD Monitoring Station	37.814	122.282	3
ELC	El Cerrito High School	37.907	122.294	8
EXB	Exploratorium (Bay)	37.803	122.397	6
EXE	Exploratorium (Embarcadero)	37.801	122.399	3
FTK	Fred T. Korematsu Discovery Academy	37.738	122.174	3
GLE	Greenleaf Elementary School	37.765	122.194	3
HRS	Head Royce School	37.809	122.204	7
ICS	International Community School	37.779	122.231	3
KAI	Kaiser Center	37.809	122.264	127
LAU	Laurel Elementary School	37.792	122.197	12
LBL	Lawrence Berkeley National Lab, Bldg. 70	37.876	122.252	3
LCC	Lighthouse Community Charter School	37.736	122.196	3
MAR	Berkeley Marina	37.863	122.314	3
MON	Montclair Elementary School	37.830	122.212	3
NOC	N. Oakland Community Charter School	37.833	122.277	3
OMC	Oakland Museum of California	37.799	122.264	3
PAP	PLACE at Prescott Elementary	37.809	122.298	3
PDS	Park Day School	37.832	122.257	3
PHS	Piedmont Middle & High School	37.824	122.233	3
POR	Port of Oakland Headquarters	37.796	122.280	3
OHS	Oakland High School	37.805	122.236	3
ROS	Rosa Parks Elementary School	37.865	122.295	3
SHA	Skyline High School (low)	37.798	122.162	3
SHB	Skyline High School (high)	37.798	122.162	13
STL	St. Elizabeth High School	37.779	122.222	3
8 Th:				

<sup>&</sup>lt;sup>a</sup> This study uses both operational and proposed sites. See Shusterman et al. (2016) and "http://beacon.berkeley.edu/" for more information on the network.