

1 **A method for the direct measurement of surface tension of**
2 **collected atmospherically relevant aerosol particles using**
3 **atomic force microscopy**

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1 **Abstract**

2 Accurate estimates of particle surface tension are required for models concerning atmospheric
3 aerosol nucleation and activation. However, it is difficult to collect the volumes of atmospheric
4 aerosol required by typical instruments that measure surface tension, such as goniometers or
5 Wilhelmy plates. In this work, a method that measures, *ex-situ*, the surface tension of collected
6 liquid nanoparticles using atomic force microscopy is presented. A film of particles is collected
7 via impaction and is probed using nanoneedle tips with the atomic force microscope. This
8 micro-Wilhelmy method allows for direct measurements of the surface tension of small
9 amounts of sample.

10 This method was verified using liquids whose surface tensions were known. Particles of ozone
11 oxidized α -pinene, a well-characterized system, were then produced, collected, and analyzed
12 using this method to demonstrate its applicability for liquid aerosol samples. It was determined
13 that oxidized α -pinene particles formed in dry conditions have a surface tension similar to that
14 of pure α -pinene, and oxidized α -pinene particles formed in more humid conditions have a
15 surface tension that is significantly higher.

16

17 **1 Introduction**

18 According to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change,
19 clouds and aerosols contribute the largest uncertainty to understanding changes in climate
20 (Boucher et al. 2013). Aerosols affect the climate directly by reflecting or absorbing solar
21 radiation, and indirectly when they form cloud particles (Boucher et al. 2013). A major
22 difficulty in modeling particle nucleation and aerosol activation lies in determining physical
23 properties of particles on the nanoscale without precise knowledge about chemical composition.

24 Recent studies in particle nucleation and cloud droplet activation have used various methods to
25 estimate particle surface tension, which is a very important parameter in modeling both
26 processes (Duplissy et al. 2008, Kiss et al. 2005, Laaksonen and McGraw 1996, Moldanova
27 and Ljungström 2000, Petters et al. 2009, Prisle et al. 2010, Sorjamaa et al. 2004, Wex et al.
28 2009). Particle nucleation is described by the Kelvin equation (Laaksonen and McGraw 1996),
29 which requires knowledge about surface tension of the nucleating particle (Laaksonen and
30 McGraw 1996, Schmelzer et al. 1996). Not surprisingly, direct measurement of the surface
31 tension of particles near activation state conditions has not been possible. Studies on nucleation

1 often rely on an assumption about the composition and use compiled values for bulk surface
2 tension including values extrapolated from other phases, estimated or interpolated from similar
3 compounds or simply assume “physically reasonable values“ (Daisey and Hopke 1993,
4 Moldanova and Ljungström 2000). Hansen et al. (2015), demonstrated the magnitude of the
5 error that can occur when commonly made assumptions about surface tensions are used in
6 models. A direct method of measuring the surface tensions of particles immediately after
7 nucleation is preferable to these assumptions and would likely reduce the error in particle
8 nucleation models.

9 Köhler theory is used to predict the properties of activating cloud condensation nuclei (Köhler,
10 1936). The Köhler equation balances the Kelvin effect with Raoult’s Law in order to describe
11 particle activation. The Köhler equation applies equilibrium thermodynamics to describe the
12 process in which water vapor condenses to form liquid droplets.

$$13 \quad \ln \left(\frac{p_w(D_p)}{p^0} \right) = \frac{4M_w\sigma_w}{RT\rho_w D_p} - \frac{6n_s M_w}{\pi\rho_w D_p^3} \quad (1)$$

14 where, T=absolute temperature, R=ideal gas constant, p_w =droplet water vapor pressure,
15 p_0 =saturation vapor pressure over a flat surface, σ_w =droplet surface tension, ρ_w =density of pure
16 water, n_s =moles of solute, M_w =molecular weight of water, D_p =droplet diameter (Köhler 1936).
17 Thus, similar problems arise in specifying physical properties used in the Kelvin term of the
18 Köhler equation. To date, there has been little consistency between assumptions used for the
19 activated particles’ surface tensions. Many researchers (Conant et al. 2002, Huff Hartz et al.
20 2005, Petters and Kreidenweis 2007, Prenni et al. 2007) have assumed that, at activation, the
21 particles consist mainly of water, so a surface tension of pure water was used. Though this is a
22 reasonable initial assumption, it neglects the depressive effect of organic surfactants on the
23 activating particles’ surface tensions (Facchini et al. 1999, Kiss et al. 2005). It is now generally
24 agreed upon that, for most activating particles with these surfactants, the surface tension is
25 reduced by about 10-15% (Asa-Awuku et al. 2010, Engelhart et al. 2008, Facchini et al. 2000,
26 King et al. 2009). Several methods have been used to predict this surface tension reduction.
27 Some researchers have collected particles and diluted them so as to allow for a direct
28 measurement using conventional instruments (Asa-Awuku et al. 2008, Henning et al. 2005,
29 Moore et al. 2008, Schwier et al. 2013). These values were then extrapolated back to the initial
30 concentration by fitting them to a Szyskowski-Langmuir isotherm. Occasionally, surface
31 tensions for the particles have been back-calculated using Köhler Theory Analysis when all
32 other parameters are known or estimated (Asa-Awuku et al. 2010, Engelhart et al. 2008). Others

1 (Kiss et al. 2005, Raymond and Pandis 2002) have prepared solutions mimicking the bulk
2 chemical composition of aerosol particles and directly measured their surface tensions.
3 However, none of these methods directly measures the surface tension of the actual particles in
4 question.

5 Yazdanpanah (Yazdanpanah et al. 2008) has developed a method to measure the surface tension
6 of small (~200 nm in diameter) droplets and films using constant-diameter nanoneedle tips on
7 the atomic force microscope. In this work, we will show how his method has been adapted to
8 accurately measure the surface tensions of collected atmospheric aerosols.

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10 **2 Experimental Methods**

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12 **2.1 Particle Generation**

13 In this project, oxidized α -pinene particles were generated in a 1 m³ polytetrafluoroethylene
14 (PTFE) smog chamber (Fig. 1). Particles were formed in either “dry“ (<5% RH) or “wet“ (67%
15 RH) conditions. To generate the “dry“ conditions, the chamber was flushed with clean, dry air
16 for several hours. Compressed air was cleaned using a TSI 3074B filtered air supply. To
17 generate the “wet“ conditions, clean air was bubbled through water at 2 liters per minute (LPM),
18 filtered, and sent to the smog chamber. The chamber was flushed with this humid air stream
19 until a maximum relative humidity was reached. Relative humidity was measured using a
20 Vaisala HM337 Humidity and Temperature Transmitter. Neither the water content of the
21 particles nor the surface tensions of the particles generated under dry and wet conditions are
22 likely to be directly proportional to the relative humidities (Jonsson et al. 2007).

23 During experiments, the dry, cleaned air stream was sent into the smog chamber at 2 LPM. This
24 air stream could be diverted either through a sample port or through an ozone generator
25 (Poseidon Ozone Generator by Ozotech) in series with a HEPA filter before entering the
26 chamber. An outlet port from the chamber could be connected either to a scanning mobility
27 particle sizer (a 3080 TSI Differential Mobility Analyzer in series with the 3775 TSI
28 Condensation Particle Counter) or a cascade impactor (I-1L Cascade impactor by PIXE).
29 Experiments were only conducted when the initial particle concentration in the smog chamber
30 was below 100 particles/cm³, as measured by the scanning mobility particle sizer (SMPS).

1 At the start of each experiment, ozone was added to the smog chamber. If particle counts in the
2 smog chamber remained low after about five minutes, indicating a chamber free of oxidizable
3 volatile organic compounds, 5 μL of liquid α -pinene (97% pure, Acros Organics) was then
4 injected into a sample port, where it was vaporized and carried into the smog chamber. Ozone
5 and α -pinene were added in a roughly 1:1 molar ratio; the high starting concentrations were
6 necessary so that an adequate particle volume would form for collection later. The resulting
7 oxidized α -pinene particles were allowed to age in the chamber for 90 minutes. The ozone-
8 α -pinene system was selected because it is one of the more, if not the most, characterized
9 SOA systems. Speciation and chemical characterization results from similar systems have been
10 reported by various researchers (e.g. Jang and Kamens 1999, Praplan et al. 2015, Tu et al. 2016,
11 Yu et al. 1999).

12 During the aging process, particle size distribution data was collected with the SMPS. The
13 SMPS sample flow rate was 0.3 LPM and the sheath flow rate used on the Differential Mobility
14 Analyzer (DMA) was 3 LPM. These settings allowed for collection of particle size distribution
15 data over the range of 15 to 660 nm. The low sampling flow rate ensured that the smog chamber
16 operated under positive pressure. The size of the oxidized α -pinene particles followed a log-
17 normal distribution whose center shifted to larger sizes over time. In the period where particles
18 aged, the modal diameter increased from around 120 to 200 nm. The most significant changes
19 in particle size distribution occurred in the first hour after the α -pinene was introduced to the
20 smog chamber. The 90 minute aging period ensured minimal changes in particle size
21 distribution during collection. A schematic of the experimental set-up is shown in Figure 1.

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23 **2.2 Particle Collection**

24 Ninety minutes after α -pinene was introduced to the smog chamber, the outlet of the chamber
25 was switched to feed to the cascade impactor. The second smallest stage (L2) was used to
26 collect the particles on a cleaned steel disk. The 50% aerodynamic cutoff diameter for this stage
27 at 4 LPM was 40 nm. After 90 minutes a visible particle film had collected on the disk. The
28 particles deposit in a circular region ~ 6 mm in diameter on the steel disc. The steel disc before
29 and after sample collection was imaged using a ScanAsyst and PeakForce Tapping-mode AFM
30 microscope. These images are displayed in Figure 2a and 2b. These images show that the steel
31 disk is rough. After sampling the surface is smoother indicating that the sample flowed and

1 filled in the roughness. Two traces, centered vertically and horizontally, from each image are
2 shown in Figure 2c.

3 **2.3 Sample Analysis**

4 A Veeco Multimode V Atomic Force Microscope (AFM) and NugaNeedle NN-HAR-FM60
5 probes were used to analyze the particle film collected on the disk. The probes consist of a flat,
6 flexible cantilever, and a nanoneedle mounted normally to the cantilever at its end. The Ga-Ag
7 nanoneedles are shaped as cylinders on the order of 100 nm in diameter and 10 μm in length.
8 A micro-Wilhelmy method developed by Yazdanpanah et al. (2008), described below, was then
9 used to measure the surface tension of the samples.

10 The sample was analyzed with the AFM in force mode. In this mode, the AFM's piezoelectric
11 transducers push the sample film up to and away from the probe with high precision. The
12 downward force exerted on the probe was recorded by the AFM as a function of its location
13 relative to the film's surface. A force curve obtained with the AFM is presented in Fig. 2.

14 In Fig. 2, the curve in blue illustrates the force exerted on the probe as it approaches and touches
15 the sample surface. The curve in red illustrates the force exerted on the probe as it is pulled
16 from the sample. If it is assumed that only forces related to the surface tension of the liquid film
17 are exerted on the probe, then equation 2 holds:

$$18 \quad F_{probe} = \sigma * L * \cos(\theta) \quad (2)$$

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20 where σ is the surface tension of the sample, L is the wetted perimeter of the tip, and θ is the
21 contact angle between the fluid and the tip. For a more complete derivation of this equation see
22 (Yum and Yu 2006).

23 Because the nanoneedle has a cylindrical geometry, the wetted perimeter, L , is constant during
24 all force measurements. This can be seen by the near-constant negative force exerted on the
25 probe when it is initially retracting out of the sample. The increase in the downward force before
26 the nanoneedle is completely pulled from the sample is attributed to a decrease in the contact
27 angle. At the point the sample breaks away from the nanoneedle, the contact angle is zero.
28 When this angle is zero and the needle is smaller than the capillary length (Uddin et al. 2011),
29 equation 2 holds:

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$$\sigma = \frac{F_{probe}}{L} \quad (2)$$

For this project, equation 2 was used (Padday et al. 1975), using the force reading at the point the nanoneedle broke from the sample. This corresponds to point 5 in Figure 3. The magnitude of the force at the break-away step suggests that the collected sample is liquid rather than a glassy or amorphous solid observed for some oxidized VOC systems.

Several aspects of the AFM system were calibrated daily before the collected α -pinene particles were analyzed, typically during particle collection. Because the AFM directly measures the deflection of the cantilever, a force exerted on the nanoneedle could only be obtained after calibrating the cantilever's deflection and determining its spring constant. In the AFM, a laser is reflected off of the cantilever into a photodetector; cantilever deflection is measured by the movement of the laser on the photodetector. To calibrate this measurement, the probe was gently pushed into a hard, steel surface. The slope of the force curve when the probe is in contact with the surface indicates the observed cantilever deflection from the photodetector (y-axis of the force curve) versus the actual distance the surface is moving the cantilever (x-axis of the force curve). This slope was entered into the AFM's operating program.

The spring constant of the tip was found using a thermal tune. The thermal tune is a common method to calculate spring constant using measurements of the cantilever's response to thermal noise (Serry 2005). The native Veeco software was used to perform the thermal tune. After these calibrations, the AFM will produce force curves that relate force and distance accurately.

In order to calculate surface tension from force data, the wetted perimeter of the nanoneedle also had to be obtained. This was done by obtaining force curves of liquid standards and using equation 2 to back-calculate the wetted perimeter given force and surface tension information. Two liquid standards were used: 90% pure oleic acid (Sigma-Aldrich) and 97% pure, non-oxidized liquid α -pinene. The surface tensions of these two standards were measured using a Wilhelmy plate (Sigma 703D, KSV Instruments Ltd.); results are shown in Table 1. Measurements for the standards yielded lower values compared to the literature for pure oleic acid and α -pinene. Because the standards were not completely pure, this was not unexpected, and surface tension values obtained from the Wilhelmy plate were used.

1 A summary of the steps used to calibrate and analyze samples on the AFM is shown in Figure
2 4.

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4 **3 Results and Discussion**

5 Surface tension data was obtained for oxidized α -pinene particles. The AFM's measurements
6 and calculated values are presented in Table 2. Both "dry" oxidized α -pinene particles and "wet"
7 oxidized α -pinene particles were analyzed. The mean surface tension of "dry" oxidized α -
8 pinene particles was found to be 27.5 dyn cm⁻¹ at 23 degrees C, with an average uncertainty of
9 1.1 dyn cm⁻¹. This is similar to the surface tension of pure α -pinene as reported in the literature
10 (Daisey and Hopke 1993) and measured with our Wilhelmy plate. The mean surface tension of
11 "wet" oxidized α -pinene particles was found to be 44.4 dyn cm⁻¹ at 23 degrees C, with an
12 uncertainty of 2.4 dyn cm⁻¹.

13 The results presented in Table 2 include a set of standards which were done for every set of
14 measurements. The purpose of this standard was to allow the determination of the perimeter of
15 the nano-needle. For the first set of reported measurements, a check standard was also added
16 to verify that the perimeter measurement was correct. This check standard is not required for
17 each set of measurements. The surface tensions measured here were compared to the surface
18 tensions of the standards measured and presented in Table 1.

19 Table 3 compares the mean surface tensions of oxidized α -pinene particles measured in this
20 study with published estimates for the surface tension of activating, oxidized α -pinene particles
21 (Engelhart et al. 2008, Huff Hartz et al. 2005, Prenni et al. 2007). Our results suggest that the
22 surface tension of dry oxidized α -pinene particles is not very different from the surface tension
23 of its VOC precursor. It is also apparent that the surface tension of oxidized α -pinene particles
24 formed in more humid conditions had a higher surface tension than oxidized α -pinene particles
25 formed in dry conditions.

26 These results appear to be in agreement with current theory. It is generally believed that the
27 surface tension of an activating oxidized α -pinene particle is slightly lower than that of pure
28 water, at 61.7 dyn cm⁻¹ (Engelhart et al. 2008). This is due to the depressive effect of organic
29 surfactants in the droplets. The results from the particles generated at the higher of two
30 humidities suggest that the surface tension is between the surface tension of pure water and the
31 surface tension of the dry oxidized α -pinene. This relationship is unlikely to be directly linear

1 given that additive surface tensions only apply to chemicals with similar properties which water
2 and the organics produced from the oxidation of alpha-pinene are not. Furthermore, the surface
3 tension of the dry oxidized α -pinene particles was found to be similar to the surface tension of
4 pure α -pinene. This similarity in properties may be due to their similar structures. Now that a
5 method suitable for the direct measurement of particle surface tension has been established,
6 direct measurements of particles with several other moisture contents should be taken to
7 examine the precise relationship between surface tension and moisture content in a particle.
8 With modifications to the particle generation technique, this method can be used to
9 experimentally measure the surface tension of activating particles.

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11 **4 Conclusions**

12 A method was developed to measure the surface tension of collected liquid aerosol particles
13 using atomic force microscopy. Particles are impacted on a clean surface until a film is formed,
14 then probed with a clean tip in an atomic force microscope. This method minimizes processing
15 of the particles and therefore reduces the risk of sample contamination. The method was verified
16 and calibrated using standard liquids whose surface tensions were in the range of the sample
17 specimens. The standard liquid surface tensions were checked with a Wilhelmy plate. This
18 method does not measure single particle surface tension but does dramatically reduce the
19 required amount of material required to make bulk measurements.

20 Relatively dry, oxidized α -pinene particles were found to have a surface tension similar to that
21 of pure liquid α -pinene. Oxidized α -pinene particles with higher moisture content were found
22 to have a surface tension significantly higher than that of pure α -pinene, but lower than current
23 assumptions for the surface tension of activating oxidized α -pinene particles. These preliminary
24 results are consistent with the assumption of surface tension depression currently used to
25 approximate the surface tension of activating aerosol particles. With modifications to the
26 particle generation technique, this method can be used to experimentally measure the surface
27 tension of particles closer to activation conditions.

28

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3

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1 Table 1. Surface tension of bulk liquids used for standardization, measured by the Wilhelmy plate at
2 23.9 degrees C. Averages reported as “average +/- standard error (s/\sqrt{n}).” Pure oleic acid has a surface
3 tension of 32.79 dyn/cm at 20 degrees C (Chumpitaz et al., 1999), and pure α -pinene has a surface
4 tension of 26.0 dyn/cm at 25 degrees C (Daisey and Hopkey, 1993). Measured values on Wilhelmy plate
5 are close to reported values, considering differences in purity and temperature.

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Component	Surface Tension (dyn/cm)
Oleic Acid (90% purity)	29.47
	29.53
Average	29.50±0.03
α -pinene (97% purity)	25.75
	25.36
Average	25.6±0.2

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1 Table 2. Measured and calculated values obtained during three experiments. In the first
 2 experiment, α -pinene was used as the standard, oleic acid was used as a check standard, and the
 3 oxidized α -pinene particles were generated in dry conditions. In the second experiment, oleic
 4 acid was used as the standard, there was no check standard, and the oxidized α -pinene particles
 5 were generated in dry conditions. In the third experiment, α -pinene was used as the standard,
 6 there was no check standard, and the oxidized α -pinene particles were generated in wet
 7 conditions.

Experimental conditions	Standard		Check Standard		Sample Oxidized α -pinene particles	
	Measured Maximum Force(nN)	Calculated Wetted Tip Perimeter (nm)	Measured Maximum Force(nN)	Calculated Wetted Tip Perimeter (nm)	Measured Maximum Force(nN)	Calculated Surface Tension (dyn/cm)
-Particles generated at <10% RH	9.7	377.0	11.0	373.8	10.1	26.8
-Standard: α -pinene (97% purity)	9.6	373.8	10.9	370.7	10.3	27.4
-Check Standard: Oleic Acid (90% purity)	9.7	377.0	10.8	364.4	10.2	27.0
					11.4	30.2
					10.5	27.8
Average	375.9±1.1		369.7±2.8		27.8±0.6	
-Particles generated at <10% RH	11.7	395.8			10.8	27.2
-Standard: Oleic Acid (90% purity)	11.8	399.0			10.6	26.7
-Check Standard: None	11.7	395.8			10.6	26.8
Average	369.9±1.0				26.9±0.2	
-Particles generated at 67% RH	12.7	496.4			21.4	43.3
-Standard: α -pinene (97% purity)	12.5	490.1			21.2	42.9
-Check Standard: None	12.6	493.2			20.6	41.8
					22.8	46.3
					23.4	47.5
Average	493.2±1.8				44.4±1.1	

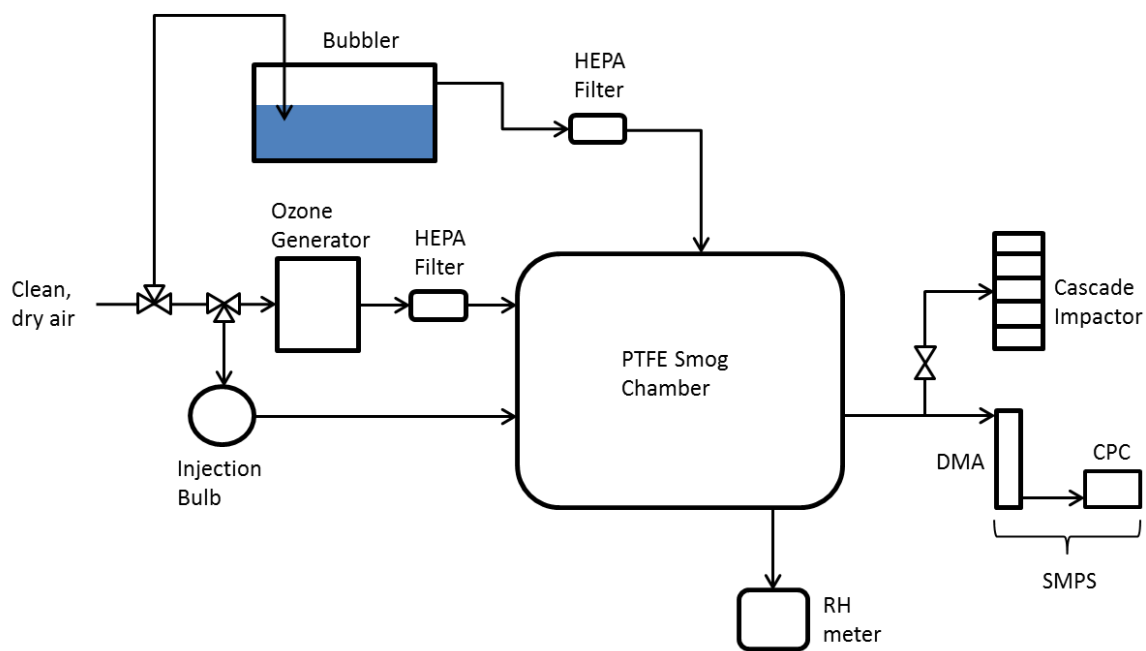
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1 Table 3. Measured and approximated surface tensions of α -pinene particles. Bulk α -pinene and dry,
 2 oxidized α -pinene particles have a similar surface tension. Wet α -pinene particles have a higher surface
 3 tension. Measurements from this study are shown in italicized font, other values are given for context.

4

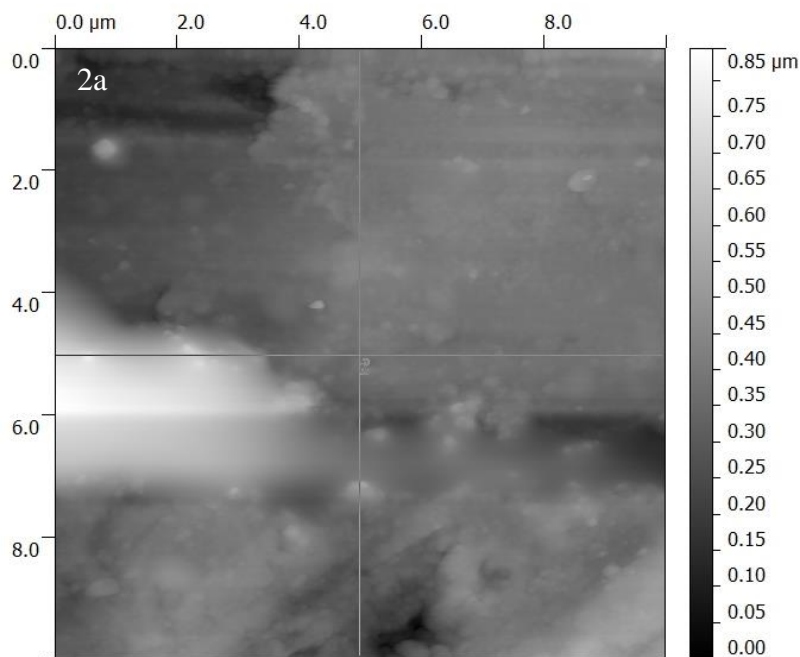
RH at particle creation (%)	Surface tension (dyn/cm)	Description, Source
n/a	25.6	Pure α -pinene, bulk This experiment; Wilhelmy plate
<10	27.5	<i>Oxidized α-pinene particles</i> <i>This experiment; AFM measurements</i>
67	44.4	<i>Oxidized α-pinene particles</i> <i>This experiment; AFM measurements</i>
>100 (Activation)	61.7	Oxidized α -pinene particles, assume depressed surface tension of pure water Engelhart et al., 2008
>100 (Activation)	72.5	Oxidized α -pinene particles, assume surface tension of pure water Huff Hartz et al., 2005; Prenni et al., 2007

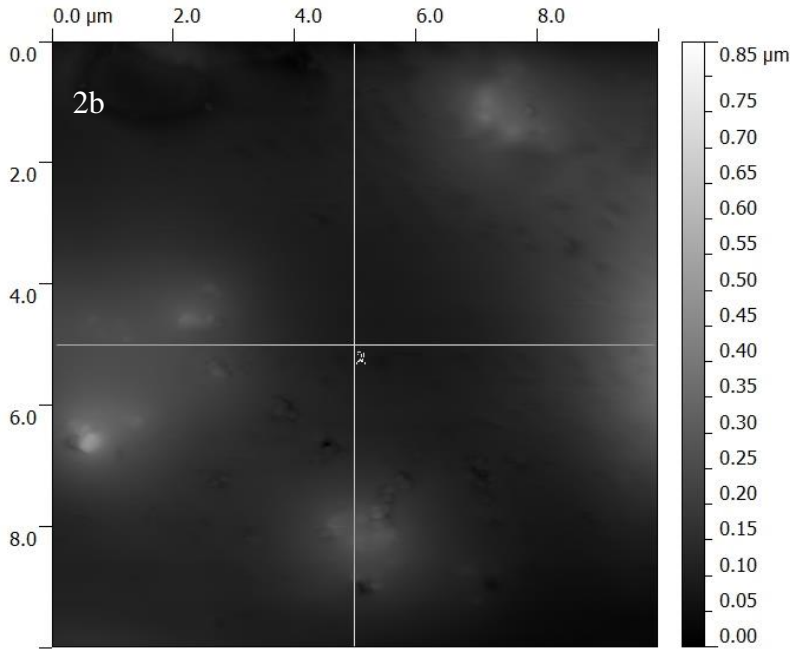
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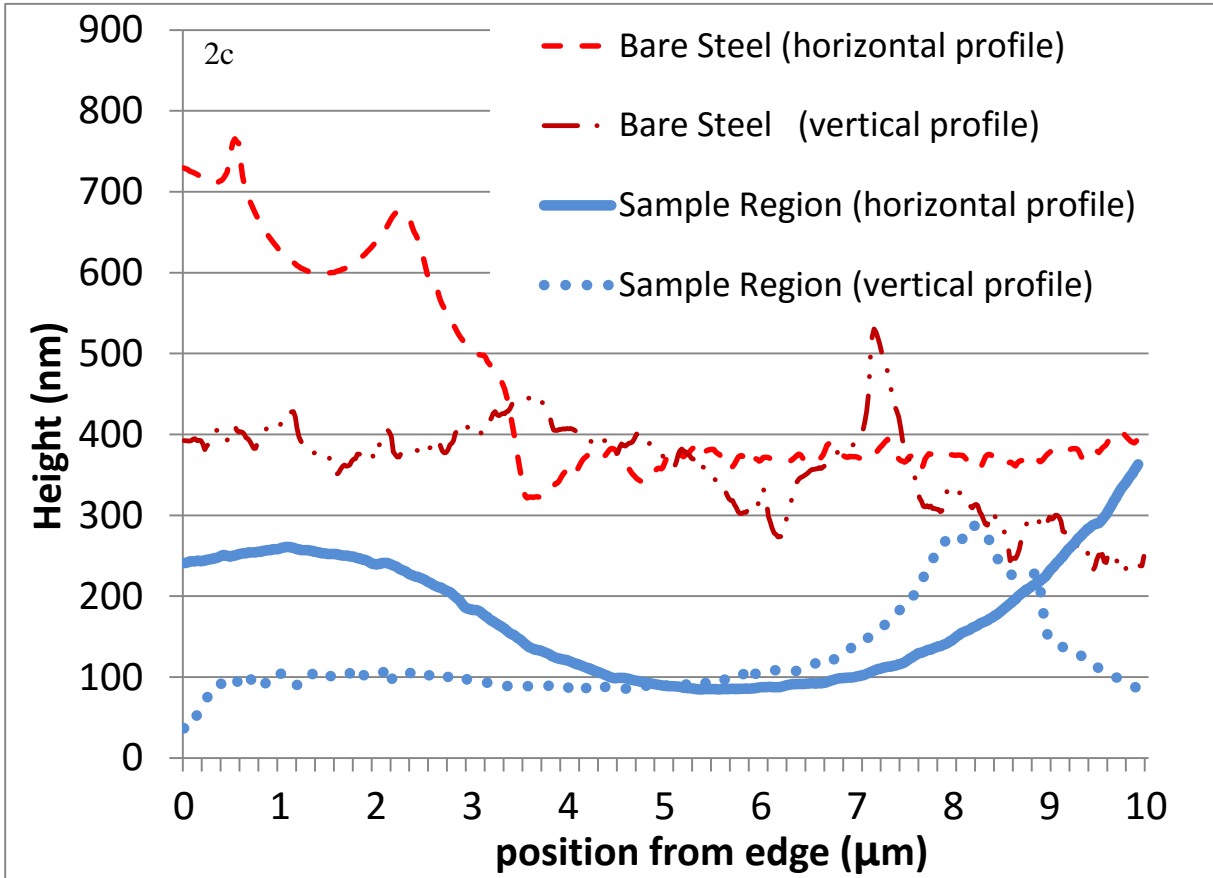
1
 2 Figure 1. Experimental setup used to generate and collect oxidized α -pinene particles. The smog
 3 chamber is initially flushed with dry or wet air. Once the relative humidity in the chamber is
 4 established, particles are generated in the smog chamber by mixing α -pinene and ozone.
 5 Resulting particles are either analyzed with the SMPS or sampled using the cascade impactor.

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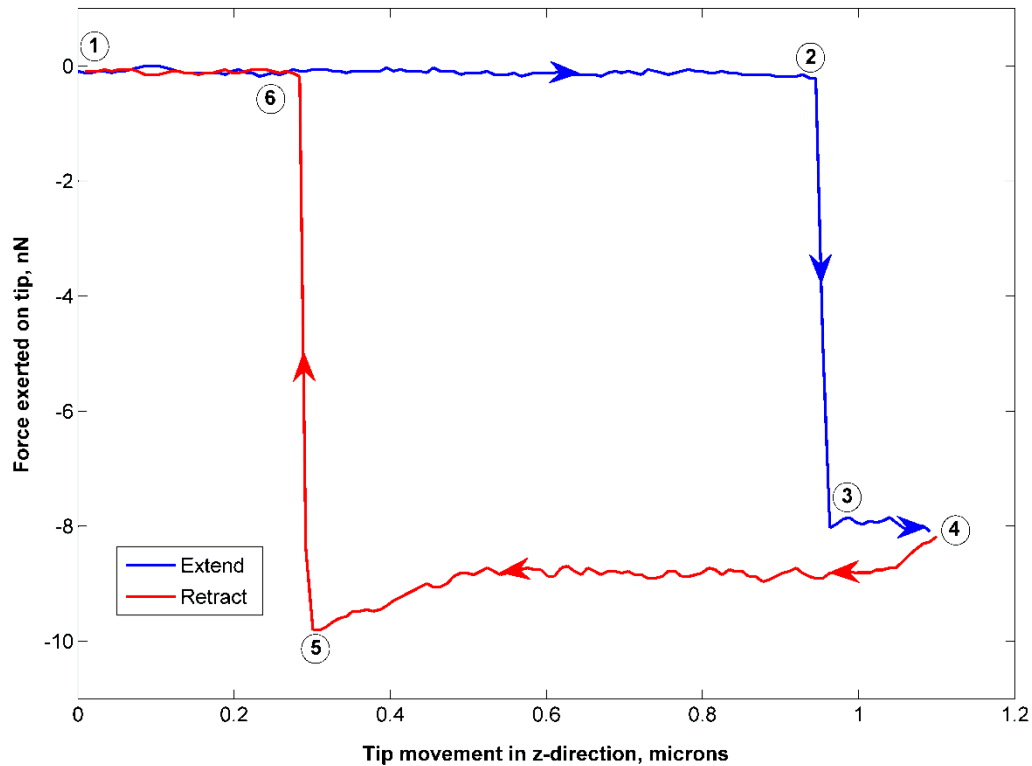
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4 Figures 2a-c. Figure 2a shows an AFM surface scan of cleaned (no sample) puck. The surface
 5 is rough at this microscopic level. Figure 2b shows an AFM scan of the same puck after

1 sampling. This image was collected in the sample deposit region. It shows that the roughness
2 gets filled in by the sample. Figure 2c shows the centered vertical and horizontal traces from
3 these analyses. Significant roughness is observed on the steel that is not observed on the
4 collected sample. This indicates that the sample could flow, i.e. had liquid characteristics at the
5 time of sampling.

6



1

2

3 Figure 3. A typical force curve obtained using NaugaNeedle NN-HAR-FM60 probes and an

4 atomic force microscope. The blue line indicates the probe approaching the sample, and the red

5 line indicates the probe retracting from the sample. At point 1, the nanoneedle is approximately

6 1 micron from the surface of the liquid sample. At point 2, the nanoneedle is just above the

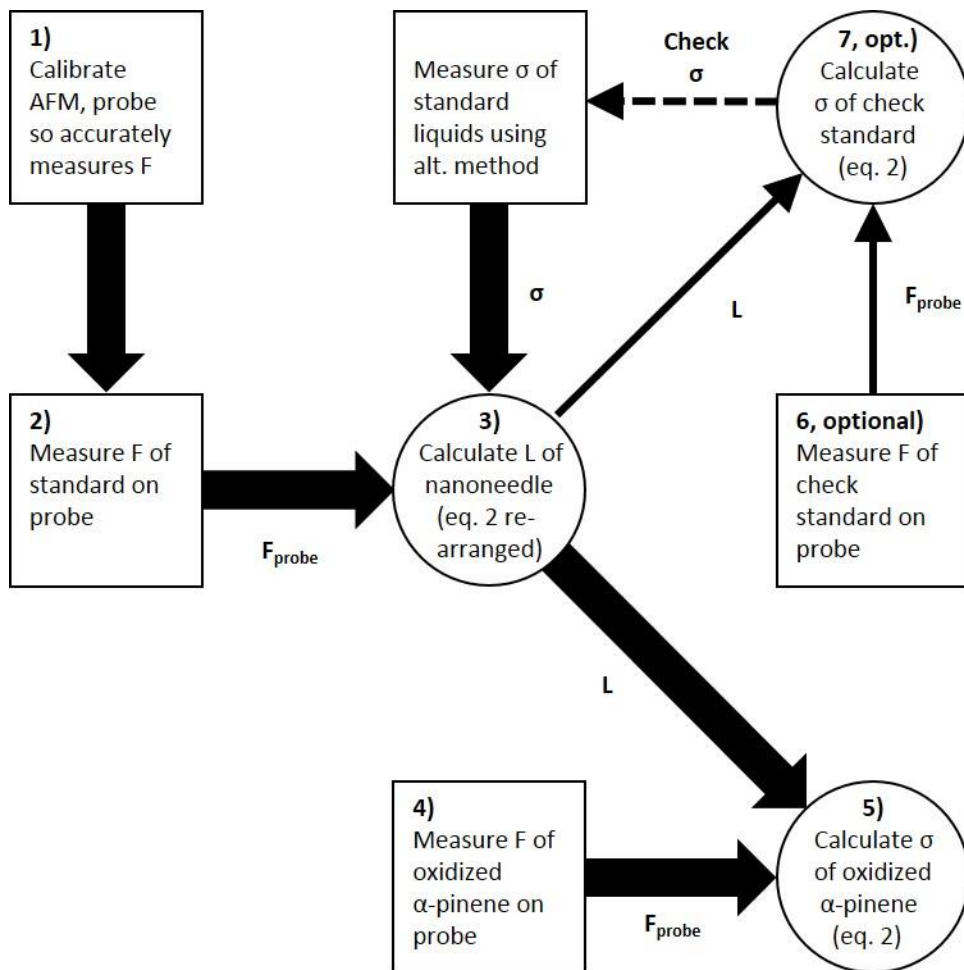
7 surface of the liquid. At point 3, the nanoneedle has touched the liquid, which wicks up and

8 exerts a downward force on the probe. At point 4, the nanoneedle begins to pull out of the

9 liquid. At point 5, the liquid is just about to break from the end of the nanoneedle, and the

10 contact angle of the liquid-needle interface approaches zero. At point 6, the nanoneedle has

11 pulled out of the liquid sample. The probe retracts back to point 1.



1
 2 Figure 4. Procedure used to determine the surface tension of oxidized α -pinene particles using
 3 the AFM. The cantilever's spring constant was determined (step 1), which allowed the AFM to
 4 obtain force curves. Force curves of a liquid standard were obtained (step 2), and the
 5 nanoneedle's wetted perimeter was calculated with equation 2 given the standard's known
 6 surface tension (step 3). Force curves of the oxidized α -pinene sample were obtained (step 4),
 7 and its surface tension was calculated with equation 2 given the nanoneedle's wetted perimeter
 8 (step 5). For initial tests, a check standard was used to verify the validity of the wetted perimeter
 9 and sample surface tension calculations (optional steps 6-7).^b

10
 11
 12

