

# acp-2016-340: Changes in the Width of the Tropical Belt due to Simple Radiative Forcing Changes in the GeoMIP Simulations

## Response to RC1

The authors thank Reviewer #1 for their time and their suggested revisions. Regarding major changes, we have updated figures so that they are color-blind-friendly and have added additional discussions of relevant previous work. We have also expanded the discussion of the seasonality of the width changes.

This study examines the response of the width of the tropical belt to an abruptly applied  $4\times\text{CO}_2$  forcing and an abruptly applied  $4\times\text{CO}_2$  forcing that is balanced by a decrease in the solar constant (“G1 experiment”) in 9 CMIP5 models. The authors find that the tropical width responds unevenly to identical forcing across seasons and hemispheres. The response of the tropical width is correlated strongly with the response in global-mean surface temperature and the attendant increases in subtropical static stability, tropical upper tropospheric temperature, and Arctic surface temperature.

Overall, this paper is very well done. The text is written very clearly, and the figures are straightforward to interpret. What is particularly novel about this study is the usage of the GeoMIP experiments to demonstrate a linkage between tropical belt expansion and global-mean surface temperature. My main criticism of this paper is that the authors fail to compare their results to a number of recent studies that have already examined simplified climate forcings in comprehensive global climate models, including the exact same abrupt  $4\times\text{CO}_2$  CMIP5 experiments that were examined here. The authors’ assertions that “[no previous studies] have examined how comprehensive climate models respond to simplified climate forcings” (lines 8-9) and that “what is lacking is a study that applies simple climate forcings in clearly designed experiments to fully-coupled models” (lines 106-108) are too strong in my opinion. In many aspects, this paper is written more clearly and goes farther than previous studies, but I think it’s important to put the new findings in much better context of previous work on the subject. Suggested revisions are detailed below.

### Minor Revisions

GENERAL: As stated above, a greater cross-comparison of results with previous studies that used simplified climate forcings is warranted. A handful of these studies have already addressed the tropical expansion issue in some detail:

- a) Polvani et al. (2011) force CAM3 with a (2000-1960) greenhouse gas forcing only and find a similar seasonality to the Southern Hemisphere Hadley cell edge response documented here (see their Fig. 13e).
- b) McLandress et al. (2011) force CMAM with greenhouse gas forcing only and find no seasonality to the Southern Hemisphere Hadley cell edge response (see their Fig. 8).
- c) Grise and Polvani (2014) use the abrupt  $4\times\text{CO}_2$  experiments from 23 CMIP5 models and find a strong correlation between the magnitude of Southern Hemisphere Hadley cell edge

expansion and the global-mean surface temperature response during all seasons (similar to what is found here). A recent paper by the same authors addresses the influence of global-mean surface temperature warming on Northern Hemisphere Hadley cell edge expansion (Grise and Polvani 2016)

- d) Vallis et al. (2015) use the 1%/year CO<sub>2</sub> increase runs from 35 CMIP5 models and find little correlation between global-mean surface temperature warming and the magnitude of Hadley cell expansion (see their Fig. 21)

The authors thank the reviewer for these suggestions.

In response to this general comment, we agree this statement concerning idealized experiments in comprehensive models is too strong. The neglect of these papers was unintentional, and we thank the reviewer for listing these references. We have added a discussion of these papers so that our work is better situated in the context of previous work (see lines 108-117).

Line 39: You might want to clarify here that the strength of the Hadley cell is actually projected to weaken in a warming atmosphere. (Vecchi and Soden 2007)

This has been clarified by referencing Vecchi and Soden (2007) as well as Mitas and Clement (2006).

Line 137: I'm surprised that the circulation metrics adjust to the abrupt forcing in only two years. The point of this paper is that the Hadley cell edge responds to global-mean surface temperature warming, but the global-mean surface temperature warming continues throughout the duration of the 140-year run (as the ocean temperatures slowly warm). More could be said about this apparent contradiction.

Our analysis focused on the equilibrium response. Additionally, our discussion of the results in the initial submission did not argue for a mechanism of Hadley cell expansion but instead a consistent scaling across some climate parameters. We have clarified in the discussion of results that Hadley cell expansion and thermodynamic changes scale but only in the *equilibrium* and not *transient* sense, and that the timescale discrepancy rules out a direct thermodynamic mechanism (lines 399-404). We have also changed the title of the section investigating these correlations to "Intermodel differences in the tropical width response and associated thermodynamic changes".

Line 147: "are" is repeated twice.

Thank you, this has been fixed.

Line 175: "Models with more equatorward edge latitudes in one hemisphere have more equatorward edge latitudes in the other hemisphere." It might be useful to provide the correlation value here.

We have noted this ( $R^2=0.7$ , now on line 188).

Line 197: Could the non-uniform stratospheric cooling be due to variations in the strength of the Brewer-Dobson circulation, for example?

We have added a discussion noting this as a possibility (now on lines 207-209).

Lines 199-201: This is consistent with IPSL-CM5A-LR having one of the higher climate sensitivities of the nine models examined, and CCSM4 have one of the lowest. It might be useful to note somewhere on Figure 2 the climate sensitivities of the models.

Thank you for this suggestion, the equilibrium surface temperature responses have been added to each subplot for the 4xCO<sub>2</sub> and G1 experiments.

Line 262-263: The lack of robustness in the Northern Hemisphere tropical expansion could reflect the compensating effects of two large robust responses, the effect of warming land on the tropical circulation and the effect of warming ocean on the tropical circulation (see Shaw and Voigt 2015).

Yes, this could certainly reflect these competing processes. This reference has been added to lines 274-277, thank you.

Line 274: The upper stratospheric cooling appears to be similar in the two subsets of models. It's just the lower stratospheric cooling that varies.

This is an interesting point that we had not appreciated – this certainly explains why the differences are also not significant. We have noted this in the text on lines 285-286.

Line 326: “The change in” is repeated.

Thank you, this is fixed.

Lines 389-402: Another potential mechanism to mention here is the upper tropospheric-lower stratospheric meridional temperature gradient. Certainly, increased subtropical static stability and increased tropical upper tropospheric temperatures go hand in hand. But, cooling in the polar lower stratosphere can shift the circulation poleward (e.g., Butler et al. 2010), and this has nothing to do with tropical heating or static stability. Both factors though change the meridional temperature gradient near the tropopause.

Yes, we agree and have noted this further possibility on lines 412-416.

Table 1: Why are the radiative forcings listed in Table 1 different than those documented in Table 1 of Forster et al. (2013) for CMIP5 models (4xCO<sub>2</sub>)?

These are the actual equilibrium radiative forcings for 4xCO<sub>2</sub>, whereas the table in Forster et al. (2013) displays the radiative forcings for a doubling of CO<sub>2</sub> only. We use the values from Hunneus et al. so that the forcings from the G1 experiment can be directly compared.

If the forcings in Forster et al. are doubled they equal the forcings listed here and in Hunneus et al. 2014.

Figures 2 and 3: I believe that IPSL-CM5A-LR is mislabeled as IPSL-CM5A-MR.

Thank you, this is indeed in error.

Figure 6: Are these figures composited about the total width of the tropics (NH + SH)? If so, have you tried compositing about the NH and SH tropical edges separately? Are the results similar? Would you get the same composites if you subset the models by their global-mean surface temperature increase (instead of their Hadley cell widening)?

This is a good question. Yes, these are composited on the total change in width. There is not a substantial difference between the separate composites on Northern and Southern Hemisphere expansion, which is ultimately the reason we only show the composites on total width. However, there is slightly less dependence of the individual hemisphere's expansion on stratospheric cooling. We have noted this in the text on lines 286-288. For compositing on the change in global-mean surface temperature, the plots are essentially identical to Fig. 6 (this is probably apparent from Fig. 9).

Figures 7-10: How do these relationships vary seasonally? Are the correlations uniform year-round, or do they have a distinct seasonality?

There is indeed a seasonality to the correlations which we have not commented on. The existing discussion of seasonal expansion generally reflects the seasonality of the correlation between the change in global-mean surface temperature and seasonal expansion.

We have briefly noted some of the correlations in the text on lines 338-342. To summarize here, for the correlations between expansion and global-mean surface temperature, in the Southern Hemisphere the correlation is strongest in MAM ( $R^2=0.43$ ), the season with the strongest mean expansion. A similar result is found for the Northern Hemisphere – the strongest correlation is in SON ( $R^2=0.31$ ), the month with the strongest expansion. In the other seasons, there are no significant correlations between Northern Hemisphere expansion and the increase in global-mean surface temperature – though this could probably be inferred from Fig. 5. We have commented that these correlations generally reflect the strength and robustness of expansion in each season.

Tropical upper tropospheric warming has little seasonality. Arctic warming, on the other hand, is most correlated with both global-mean and tropical upper-tropospheric temperature changes in DJF ( $R^2\sim 0.63$ ), JJA ( $R^2\sim 0.65$ ), and SON ( $R^2\sim 0.76$ ). It is somewhat less correlated in MAM ( $R^2\sim 0.56$ ), though this is generally due to the CSIRO model, which is a significant outlier (it has far more warming in MAM compared to the other models, given its modest increase in surface temperature). The magnitude of Arctic warming is lowest in summer and highest in winter, consistent with previous research. For brevity we have only

noted that these indices are correlated with the change in global-mean surface temperature seasonally on line 352.

Going to finer timescales necessarily reduces the magnitude of the correlations. However, in general, models with a stronger response in one of these measures of climate have a stronger response in the others.

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### Response to RC2

The authors thank Reviewer #2 for their time and their suggested revisions. Regarding major changes, we have updated figures so that they are color-blind-friendly and have added additional discussions of relevant previous work. We have also expanded the discussion of the seasonality of the width changes.

This paper documents the response of the width of the zonal mean tropical Hadley circulation to suddenly applied CO<sub>2</sub> and solar forcings. The work is timely, the writing understandable, the methods appropriate, and the figures mostly clear. Some results worth highlighting include the following.

1. Reducing the solar constant to counteract greenhouse gas induced warming may maintain a steady Hadley circulation in spite of a cooling stratosphere.
2. Model dynamical sensitivity is distinct from climate sensitivity (see Grise & Polvani, 2016).
3. Well-mixed GHGs produces a seasonally varying shift.

My main criticism of the article is the same as RC1: the authors state that previous climate model studies have not "...examined how comprehensive climate models respond to simplified climate forcings." While this study is certainly useful, there is already other, similar work out there that ought to be discussed.

Thank you for this suggestion.

We agree this statement concerning idealized experiments in comprehensive models is too strong. The neglect of these papers was unintentional, and we have included the references suggested by RC1. We have added a discussion of these papers so that our work is better situated in the context of previous work (see lines 108-117).

Line 102 - I don't believe the studies cited in this paragraph justify the statement that an increase in the height of the tropopause - independent from stratospheric cooling or tropospheric warming - drives a poleward shift in the circulation. I think this is an over-generalization.

This is a fair point – tropopause height changes are indicative of other thermodynamic changes in the climate system, so they should not be discussed as independent factors. We have now made it clear that Lorenz and DeWeaver raised the tropopause height *and* cooled the stratosphere, and have removed mention of tropopause height as an independent mechanism for expansion (now line 104).

Line 146 - What were some typical effective degrees of freedom calculated in this way?

We have added the approximate degrees of freedom for the G1 (~400, shortest) and piControl (~4000, longest) experiments to line 157.

Line 266 - "temperature structures" should probably be "zonal mean temperature structures"

Thank you, we agree it is important to note that this study only focuses on the zonal-mean (now line 279).

Line 289 - I think that "successfully used to study tropical expansion" suggest more closure than the theory provides. It's proven useful but insufficient.

We agree that "successful" may give the impression that these scaling theories are in some way "proven". We have removed "successful". In the discussion on lines 399-404 we have also clarified that Hadley cell expansion appears to scale with the increase in static stability (and many other thermodynamic indices), but that actual mechanisms for expansion were not investigated here and are far from certain. Please also see the response to RC1 concerning the timescale of the adjustment to the radiative forcing, and the relationship between equilibrium climate and dynamical sensitivity.

Lines 324-326 - Some clarification is needed here. I find the combination of "more linear", "more scattered," and "Despite the nonlinearity" all refer to the same result

We have clarified the text in this section – now lines 335-337 and lines 343-345.

In the references There are missing DOIs (line 413), and several DOIs that point to the wrong paper (e.g. the DOI for the Allen & Sherwood reference about aerosols on lines 414-415 points instead to an Allen & Zender paper on Siberian snow cover).

Thank you, we have checked all DOIs and fixed any in error.

The figures are nicely rendered, but some are carelessly produced. Figures 1, 4, 5, and 7-10 all use color as the only/primary way of conveying model information. "Do not use text color alone to convey information." I have attached a rasterized revision of Figure 1 which is much clearer, and a version of Figure 4 with a colorblind filter applied (roughly 1 in 10 men will perceive the figures this way.) Use symbols, or just annotate points with model names where it matters.

We thank the reviewer for these suggestions and the example figures. We have changed Figure 1 to black and white and rotated it, as per the reviewer's suggestion, so that the differences between mean model edge latitudes are easier to discern. For Figures 4 and 5 we have changed the model identifiers to symbols (it is difficult to discern numbers on these plots), and for 7-10 we have changed the model identifiers to numbers (the symbols are difficult to discern in this case). In Figures 7-10, a black and gray scheme is used to

distinguish the different experiments and minimize any problems for readers with color-blindness. We appreciate these suggestions and will keep color-blind-friendly schemes in mind for future work.



## List of substantial changes

Lines 41-42: added discussion of Hadley circulation weakening with global warming.

Lines 105-107: removed tropopause height as potential driver of Hadley cell expansion.

Lines 112-120: added discussion of relevant work that performed idealized experiments on fully-coupled climate models. The abstract was modified (“none” to “few”) to reflect this, as well.

Lines 160-161: noted the approximate effective degrees of freedom for the experiments.

Line 191: added a correlation coefficient for the model-mean edge latitudes in the Northern and Southern Hemispheres.

Line 211: noted that Brewer-Dobson circulation changes could contribute to the structure of stratospheric cooling.

Lines 217-218: discussed the global-mean surface temperature response of the models with the strongest and weakest response.

Line 249: noted that this result agrees with the just-published manuscript Grise and Polvani (2016).

Lines 279-287: re-wrote this section to be less-confusing. It now simply focuses on the lack of robustness in Northern Hemisphere expansion and how this may be tied to the land/sea temperature contrast processes studied in Shaw and Voigt (2015).

Lines 295-298: added discussion of the lack of a difference in upper-stratospheric cooling between models with the greatest/least expansion. Also noted the result if one composites on individual hemisphere’s expansion rather than on total with.

Section 4.1 title: changed to reflect the fact that we are not examining mechanisms.

Lines 334-335: Clarified that the result is robust over the domain of changes examined here.

Lines 351-356: re-wrote to be less-confusing. This paragraph now includes a discussion of the seasonality of the correlations, as well.

Lines 357-361: re-wrote to be less confusing – the word choice and order is more consistent now.

Lines 387-388: noted that some of these results reflect those of Grise and Polvani (2016).

Lines 418-423: added this discussion to make clear that we do not believe thermodynamic changes necessarily drive expansion, but merely that the equilibrium thermodynamic and dynamic sensitivities scale together.

Lines 430-434: added a discussion noting that stratospheric cooling can drive expansion, even though it may not effect static stability.

Throughout: changed “intermodel” to “inter-model”.

Throughout: improved some grammar.

References: fixed missing and incorrect DOI’s.

Figure 1: Now black and white (more color-blind friendly) and vertically-oriented.

Figures 2,3: Global-mean surface temperature response is now included in each panel.

Figures 4,5: Now uses symbols and black/gray to denote models and statistical significance (more color-blind friendly). Using numbers (as Figures 7-10 now do) makes these plots unreadable.

Figures 7-10: Now uses numbers and black/gray to denote models and the two different experiments (more color-blind friendly). Using symbols (as Figures 4, 5 now do) makes these plots very difficult to read.

Figures 1-5,7-10: captions changed to reflect changes in the figures.

# Changes in the Width of the Tropical Belt due to Simple Radiative Forcing Changes in the GeoMIP Simulations

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**Abstract.** Model simulations of future climates predict a poleward expansion of subtropical arid climates at the edges of earth’s tropical belt, which would have significant environmental and societal impacts. This expansion may be related to the poleward shift of the Hadley cell edges, where subsidence stabilizes the atmosphere and suppresses precipitation. Understanding the primary drivers of tropical expansion is hampered by the myriad forcing agents in most model projections of future climate. While many previous studies have examined the response of idealized models to simplified climate forcings and the response of comprehensive climate models to more complex climate forcings, ~~none~~ few have examined how comprehensive climate models respond to simplified climate forcings. To shed light on robust processes associated with tropical expansion, here we examine how the tropical belt width, as measured by the Hadley cell edges, responds to simplified forcings in the Geoengineering Model Intercomparison Project (GeoMIP). The tropical belt expands in response to a quadrupling of atmospheric carbon dioxide concentrations and contracts in response to a reduction in the solar constant, with a range of a factor of three in the response among nine models. Models with more surface warming and an overall stronger temperature response to quadrupled carbon dioxide exhibit greater tropical expansion, a robust result in spite of ~~intermodel~~ inter-model differences in the mean Hadley cell width, parameterizations, and numerical schemes. Under a scenario where the solar constant is reduced to offset an instantaneous quadrupling of carbon dioxide, the Hadley cells remain at their preindustrial width, despite the residual stratospheric cooling associated with elevated carbon dioxide levels. Quadrupled carbon dioxide produces greater tropical belt expansion in the Southern Hemisphere than in the Northern Hemisphere. This expansion is strongest in austral summer and autumn. Ozone depletion has been argued to cause this pattern of changes in observations and model experiments, but the results here indicate that seasonally- and hemispherically-asymmetric tropical expansion can be a basic response of the general circulation to climate forcings.

## 25 1 Introduction

Earth's tropical belt can be defined by the band of rainy equatorial regions bordered by the arid subtropics to the north and the south. The Hadley cells, two thermally-direct tropospheric circulations with rising motion near the equator, significantly influence the surface climate of the tropical belt. Converging easterly near-surface trade winds transport moisture into the Intertropical Convergence Zone, a meandering front of convection that brings rain to the equatorial latitudes and heats tropical air through the condensation of water vapor. This heated air rises through the troposphere and diverges poleward into the upper troposphere of both hemispheres, eventually subsiding in the subtropics where it dries and stabilizes the atmosphere against convection. Because of the strong latitudinal gradients in temperature and precipitation at the edges of the tropical belt, any shift in its edges could drive major changes in surface climate (Birner et al., 2014).

There is mounting evidence that such changes are already taking place. Soil moisture (Dorigo et al., 2012), precipitation (New et al., 2001; Zhang et al., 2007), and sea surface salinity (Helm et al., 2010) trends over the past several decades ~~are~~ consistently indicate an intensification and poleward shift of the hydrological cycle. The intensification is widely considered to be driven primarily by increasing water vapor concentrations in a warming atmosphere (Held and Soden, 2006), ~~but the~~, [A concurrent weakening of the Hadley circulation is predicted in models, reflecting the reduction in upward mass flux in a warmer climate \(Mitas and Clement, 2006; Vecchi and Soden, 2007\)](#). The circulation changes that drive poleward shifts in the hydrological cycle are not as well understood. Further subtropical drying and a poleward expansion of arid lands is projected to continue (Lu et al., 2007; Scheff and Frierson, 2012; Feng and Fu, 2013).

Evidence of tropical expansion has been reported based on satellite observations of outgoing longwave radiation (Hu and Fu, 2007; Johanson and Fu, 2009; Hu et al., 2011; Fu and Lin, 2011) and total column ozone (Hudson et al., 2003; Hudson, 2012). Observational estimates of the tropical belt width based on dynamical fields, such as the subtropical ridges in sea level pressure, also indicate tropical expansion, although the trends are weaker than those based on outgoing longwave radiation and precipitation metrics (Hu et al., 2011).

Other metrics for the tropical belt edge latitudes, such as the latitudes of the jet streams (Archer and Caldeira, 2008; Fu and Lin, 2011; Davis and Birner, 2013) and the latitudes of the subtropical tropopause breaks (Seidel and Randel, 2007; Birner, 2010; Davis and Rosenlof, 2012; Lucas et al., 2012; Davis and Birner, 2013; Ao and Hajj, 2013; Lucas and Nguyen, 2015) indicate historical tropical expansion, as well. An expansion of the Hadley cells has been detected in reanalyses (Hu and Fu, 2007; Johanson and Fu, 2009; Stachnik and Schumacher, 2011; Davis and Rosenlof, 2012; Davis and Birner, 2013; Nguyen et al., 2013; Chen et al., 2014). Tropical expansion estimates based on reanalyses, however, may suffer from spurious trends and discontinuities in basic meteorological fields (Trenberth et al., 2001; Bengtsson et al., 2004). The rate of Hadley cell expansion and even the mean strength of the Hadley cells varies among the reanalyses (Stachnik and Schumacher, 2011),

which could indicate that the meridional winds are not well constrained. There is also significant uncertainty in the observed rate of tropical expansion because it is highly variable for different metrics and data products (Birner, 2010; Davis and Rosenlof, 2012; Davis and Birner, 2013; Lucas et al., 2014).

Attributing surface impacts to tropical expansion and attributing tropical expansion itself to particular climate forcings is difficult given the number of external forcings changing over the historical period, as well as the impact of natural climate variability on the trends. Factors such as the Pacific Decadal Oscillation, the El Niño-Southern Oscillation (Lu et al., 2008), and the Southern Annular Mode influence the tropical belt width and may explain non-negligible fractions of its historical trend (Grassi et al., 2012; Allen et al., 2014; Lucas and Nguyen, 2015; Garfinkel et al., 2015).

Climate model simulations offer an avenue for assessing the response of the Hadley cells and tropical belt to different climate forcings and forcing evolutions, and long integrations minimize the impact of interannual variability (Hawkins and Sutton, 2009). Both Lu et al. (2009) and Hu et al. (2013) found that significant tropical expansion occurs only when greenhouse gas concentrations increase in historical climate simulations. Increasing greenhouse gas concentrations in future climate simulations similarly cause the tropical belt to expand relative to its preindustrial control width (Gastineau et al., 2008), with the amount of expansion scaling with the concentration of greenhouse gases (Lu et al., 2007; Tao et al., 2015). However, Adam et al. (2014) have shown that the Hadley cell width is generally sensitive to changes in both mean sea surface temperatures and meridional temperature gradients. Any climate forcing that modifies mean temperatures or their gradients could thus drive variations in the tropical belt width. Stratospheric ozone depletion and its resulting polar stratospheric cooling has been argued to be a potentially dominant driver of Southern Hemisphere tropical expansion (Polvani et al., 2011b; Min and Son, 2013), and ozone recovery over the coming decades may oppose any future greenhouse-gas-driven expansion (Son et al., 2009; Polvani et al., 2011a). Black carbon, tropospheric ozone (Allen et al., 2012), and aerosols (Allen and Sherwood, 2011; Allen et al., 2014) may have also played a role in historical tropical expansion, especially in the Northern Hemisphere. While examining the response of climate models to realistic sets of past and future forcings is appealing, it is not ideal for identifying how the tropical belt responds to particular forcings. Many climate forcing agents are simultaneously changing in these simulations, and separating their effects is often intractable.

Idealized modeling, which involves changing a single climate forcing or model parameter, complements those more realistic simulations. The models are often simplified versions of fully-coupled climate models that may solve only the equations of motion and thermodynamics without explicitly resolving radiation and convection. Polvani and Kushner (2002) and Kushner and Polvani (2004) found that stratospheric cooling in such an idealized model produced a poleward shift of the midlatitude jet. It also produced a poleward shift in the pattern of surface easterlies and westerlies which indicates an expansion of the tropical belt. While Lorenz and DeWeaver (2007) found that cooling

the stratosphere ~~by and~~ raising the height of the tropopause was sufficient to produce a poleward shift of the tropospheric jets, Tandon et al. (2011) found that stratospheric cooling without perturbing the tropopause height was sufficient to drive an expansion of the Hadley cells. Similar to Tandon et al. (2011), Maycock et al. (2013) found that idealized increases in stratospheric water vapor drove enhanced stratospheric cooling and a poleward shift of the tropospheric jets. ~~In the troposphere, tropical and subtropical warming~~ Warming in the troposphere alone can also drive an expansion of the Hadley cells (Frierson et al., 2007; Tandon et al., 2013). Thus, stratospheric cooling ~~, tropospheric warming, and increasing the height of the tropopause can all independently and~~ tropospheric warming can both drive poleward shifts in the circulation.

However, idealized models do not explicitly model clouds or cloud-related feedbacks. Convection is a fundamental aspect of the Hadley cells (Frierson, 2007), and cloud radiative effects can impact modeled circulation changes (Ceppi et al., 2012, 2014; Voigt and Shaw, 2015). ~~What is lacking is a study that applies simple climate forcings in cleanly-designed experiments to fully-coupled models to bridge the gap between the existing~~ Some studies have begun to bridge this gap by examining the response of comprehensive models to idealized and more realistic ~~model simulations.~~ greenhouse gas forcings. While Grise and Polvani (2014) found evidence that Southern Hemisphere Hadley cell expansion scales with climate sensitivity, Vallis et al. (2015) found little relationship between the transient climate response and Hadley cell expansion. Studies have also found evidence of a seasonality (Polvani et al., 2011b) and a lack of seasonality (McLandress et al., 2011) in Southern Hemisphere expansion. The scaling and seasonality seem to emerge if there is a steady greenhouse gas forcing (e.g., as in Polvani et al. (2011b) and Grise and Polvani (2014)). Work is still needed to understand this response and how it may scale with other changes in the climate system.

In this study, we will examine the equilibrium response of the tropical belt to ~~different~~ highly idealized forcings in the Geoengineering Model Intercomparison Project (GeoMIP) (Kravitz et al., 2011). GeoMIP, a companion project to the Coupled Model Intercomparison Project Phase 5 (CMIP5) (Taylor et al., 2012), is designed to improve the understanding of the response of the earth system to idealizations of different proposed climate geoengineering activities. Geoengineering impacts aside, the GeoMIP experiments offer a unique opportunity to study the response of fully-coupled climate models to very simple climate forcings, which may shed light on the processes responsible for observed past and possible future tropical width changes.

## 2 Data and methods

While numerous climate forcings can impact the width of the tropical belt, we focus on variations in carbon dioxide and insolation simulated in GeoMIP. Our analysis is based on monthly-mean output from nine climate models (Table 1) that performed three sets of experiments: the GeoMIP Geoengineering 1 (G1) experiment (Kravitz et al., 2011), the preindustrial control (piControl), and the abrupt

quadrupled carbon dioxide ( $4\times\text{CO}_2$ ) experiments in CMIP5 (Taylor et al., 2012). The piControl ex-  
135 periment fixes all climate forcings at preindustrial levels to provide an estimate of the unperturbed  
climate system and will be the control experiment in this study. The  $4\times\text{CO}_2$  experiment applies  
an instantaneous quadrupling of piControl carbon dioxide concentrations, while the G1 experiment  
balances this abrupt quadrupling with a decrease in the solar constant such that the global-mean  
top-of-atmosphere radiative forcing is zero (Kravitz et al., 2011). This crudely models the effect  
140 of a global climate intervention scheme based on albedo modification (National Research Council,  
2015), but more generally tests the impact of a decrease in insolation on the climate system, with  
some relevance for paleoclimate research. We only use the G1 experiment from GeoMIP because of  
its simple forcing scheme that is applied uniformly in all models.

For the G1 experiment, not all models achieved a perfect cancellation of the top-of-atmosphere  
145 radiative forcings. Table 1 lists the top-of-atmosphere radiative forcing in the  $4\times\text{CO}_2$  experiment  
and the residual top-of-atmosphere radiative forcing in the G1 experiment after the solar constant  
reduction for each model (e.g., Huneeus et al. (2014)).

Because the  $4\times\text{CO}_2$  and G1 experiments involve an abrupt forcing at the start of the simulation,  
we discard the first 5 years of each experiment, a conservative choice as the circulation metrics  
150 adjust to the abrupt forcing within two years. The piControl simulations from each model range  
from 500 to 3000 model years, the  $4\times\text{CO}_2$  simulations range from 140 to 150 model years, and the  
G1 simulations range from 50 to 100 model years. For each experiment, we use the same number  
of model years from each model simulation based on the shortest simulation, e.g., for the piControl  
experiment we use the first 500 years from all of the model simulations.

155 All calculations and analyses use monthly-mean model output. For testing the significance of  
changes in the tropical belt edge latitudes and width we use two-sided Student's t-tests for the differ-  
ence of means with unequal variances and sample sizes. The tests thus take into account the different  
lengths and internal variability of each experiment. We use the effective degrees of freedom, which  
are ~~are~~ calculated using the lag-1 autocorrelation of the monthly-mean anomalies (Bretherton et al.,  
160 1999). This yields approximately 400 degrees of freedom for the G1 simulations and 4000 degrees  
of freedom for the piControl simulations, with some inter-model variability. Differences are deemed  
statistically significant for  $p \leq 0.05$  (the 95% confidence level).

## 2.1 Tropical belt edge metric

We define the tropical belt edge latitudes as the latitudes where the vertically-averaged mean merid-  
165 ional streamfunction is zero, poleward of its tropical maximum (minimum) in the Northern (South-  
ern) Hemisphere (Davis and Birner, 2013). The tropical belt width is defined as the difference, in  
degrees latitude, between the Northern and Southern Hemisphere edge latitudes. The mean merid-  
ional streamfunction is the vertical integral of the zonal-mean meridional mass flux between a given  
level and the top of the atmosphere, and is the primary field used to study variations in the Hadley

170 cells' width and intensity. It is expressed mathematically as

$$\Psi(p, \phi) = \frac{2\pi a \cos(\phi)}{g} \int_p^0 [v] dp \quad (1)$$

were  $\Psi$  is the mean meridional streamfunction at the pressure  $p$  and latitude  $\phi$ ,  $[v]$  is the zonal-mean meridional wind,  $a = 6.371 \times 10^6$  m is the mean radius of the earth, and  $g = 9.81 \text{ ms}^{-2}$  is the acceleration due to gravity. While the Hadley cell edge latitudes are often calculated as the latitudes where the 500 hPa streamfunction is zero, the choice of a single, arbitrary pressure level subjects the metric to spurious trends due to mean-state changes, such as a deepening of the troposphere, and to ~~intermodel differences in this~~ inter-model differences in the circulation (Birner, 2010; Davis and Rosenlof, 2012; Davis and Birner, 2013). Instead we vertically-average the streamfunction in pressure before calculating the edge latitudes. The interpretation of this vertical average of the streamfunction is simple: it measures the average meridional overturning circulation strength at a given latitude, and the latitude where it is zero indicates the separation of the Hadley and Ferrel cells.

We note that this metric and our analyses focus on the zonal mean. However, historical tropical expansion exhibits significant zonal asymmetries (Chen et al., 2014; Lucas and Nguyen, 2015), and some zonally asymmetric dynamics contribute to the longitudinal structure of the meridional overturning circulation (Karnauskas and Ummenhofer, 2014).

## 2.2 Tropical belt edge locations

Before analyzing the  $4 \times \text{CO}_2$  and G1 experiments, we will first examine the climatology of the tropical belt edge latitudes in the piControl experiment (Fig. 1). The median tropical belt edge latitudes in each hemisphere are comparable among the models. In general, models with more equatorward edge latitudes in one hemisphere have more equatorward edge latitudes in the other hemisphere ( $R^2 = 0.7$ ). There is greater interannual variability in the Northern Hemisphere edge latitude, which is borne out in reanalyses and observations (Davis and Birner, 2013). Some models, including the IPSL-CM5A-LR and ~~CSIRO-Mk3L-1-2~~ GISS-E2-R models, have little interannual variability in their ~~Southern~~ Northern Hemisphere edge latitudes.

## 195 3 Temperature response

We will first characterize the temperature changes in each model between the  $4 \times \text{CO}_2$  and piControl and between the G1 and piControl experiments. The motivation to examine the basic zonal-mean temperature response in all nine models is threefold: (1) temperature changes are associated with changes in the tropical belt width (e.g., Adam et al. (2014)), (2) the zonal-mean temperature response may provide information about a model's sensitivity to different forcings, and (3) examining only the multi-model-mean may obscure important information about the robustness of the response and its ~~intermodel~~ inter-model variations.



Quadrupled carbon dioxide concentrations drive the expected surface and tropospheric warming and stratospheric cooling ~~Manabe and Wetherald (1967)~~ (Manabe and Wetherald, 1967) (Fig. 2). The  
205 tropical upper-tropospheric warming is due to moist adiabatic adjustment communicating the surface warming to upper levels (Held et al., 1993; Roms, 2011). Enhanced Arctic warming, or “Arctic amplification”, is partly due to decreases in surface albedo brought on by reductions in snow cover and sea ice (Pithan and Mauritsen, 2014) and enhanced downwelling longwave radiation through the so-called “ice-insulation” feedback (Burt et al., 2015). The stratospheric cooling  
210 is ~~driven primarily~~ partly driven by enhanced infrared cooling to space due to increased carbon dioxide concentrations. ~~However, other processes~~ Other processes such as changes in the strength of the Brewer-Dobson circulation may contribute to the ~~cooling as its spatial structure is far from uniform~~ latitudinal structure of the cooling. While all models capture this canonical greenhouse gas response in zonal-mean temperature, the temperature changes vary by nearly a factor of three. The  
215 IPSL-CM5A-LR has the strongest response with 13 K upper-tropospheric and Arctic warming, while the CCSM4 model has the weakest response with 5 K upper-tropospheric and 8 K Arctic warming. The IPSL-CM5A-LR model also has the strongest surface temperature increase in the abrupt 4xCO<sub>2</sub> experiment at 6.1 K, while the CCSM4 model has the second weakest response at 3.5 K.

The G1 experiment’s solar constant reduction generally balances most of the warming from  
220 quadrupled carbon dioxide (Fig. 3). Because Fig. 3 shows the difference in temperature between the G1 and piControl experiments, it can be interpreted as the temperature response to 4×CO<sub>2</sub> that is *not* counteracted by the solar constant reduction in the G1 experiment. In the G1 experiment, the stratosphere is cooler than it is in the piControl experiment in all models. This is likely because of the reduction in absorbed solar radiation by ozone and infrared radiation emission by the (still  
225 enhanced) carbon dioxide concentrations. However, the troposphere is marginally cooler in some models (CCSM4, GISS-E2-R, and MIROC-ESM) and marginally warmer in others (CanESM2, HadGEM2-ES, and MPI-ESM-LR). Unlike the robust temperature response in the 4×CO<sub>2</sub> experiment, there is no robust residual warming or cooling in the troposphere in G1 compared to piControl. Contrary to expectations, the model with the strongest residual radiative forcing in the G1 experi-  
230 ment, GISS-E2-R, does not have a warmer troposphere, while one of the models with a radiative forcing of zero, CanESM2, has a significantly warmer troposphere. In the coming sections, we will explore how the tropical belt responds to these simple forcings and whether any processes could explain such changes.

#### 4 Tropical belt width response

235 Quadrupled carbon dioxide drives a statistically significant expansion of the tropical belt as measured by the Hadley cell edge latitudes in both the Southern and Northern Hemisphere (Fig. 4). There is a large spread in the magnitude of tropical expansion, though, with values ranging from 1 degree of

total (width) expansion in the CSIRO-Mk3L-1-2 model to nearly 7 degrees of total expansion in the IPSL-CM5A-LR model (the model with the strongest temperature response to quadrupled carbon dioxide). The nearly factor of seven difference in the circulation response is far larger than the factor of two to three temperature response difference.

More surprising is that the Southern Hemisphere expansion is on average twice the Northern Hemisphere expansion (Fig. 4). Southern Hemisphere stratospheric ozone depletion has been argued to be a dominant driver of the more rapid observed expansion of the Southern Hemisphere Hadley cell (Polvani et al., 2011b; Min and Son, 2013; Waugh et al., 2015). However, the results here indicate that even with a hemispherically-symmetric climate forcing which does not include ozone changes, the tropical belt responds asymmetrically with greater expansion in the Southern Hemisphere. Furthermore, the expansion is strongest in the Southern Hemisphere in austral summer and autumn (Fig. 5), consistent with Grise and Polvani (2016). These are the seasons when the stratospheric cooling due to ozone depletion is expected to have its greatest impact on Southern Hemisphere expansion trends as ozone is depleted throughout austral spring.

The solar constant reduction in the G1 experiment counteracts most of the CO<sub>2</sub>-driven expansion in the 4×CO<sub>2</sub> experiment, despite the residual stratospheric cooling. This suggests that stratospheric cooling on the order of 1-6 K with the maximum cooling over the poles (Fig. 3) is not sufficient to appreciably widen the tropical belt (Fig. 3). However, the altitude of the cooling may be an important factor in determining whether the tropical belt responds or not. For example, in idealized dry simulations Tandon et al. (2011) found that extratropical stratospheric cooling must extend down to the tropopause to drive a strong circulation response. In the G1 experiment, the cooling is well above the typical height of the extratropical tropopause (Fig. 3), which is generally located at approximately 250-300 hPa. This may be why there is no robust tropical expansion in the G1 experiment. Processes in fully-coupled models that are not represented in idealized dry simulations, including cloud and radiation feedbacks, could act to further damp the response of the tropical belt to stratospheric cooling.

For most models the differences between their G1 and piControl experiment edge latitudes and width are small, often less than ±0.5 degrees latitude (with an average difference of zero). Just as there is no robust tropospheric temperature difference between the G1 and piControl experiments, there is no robust residual tropical expansion or contraction. ~~These changes~~ Changes in the tropical belt width are not statistically significantly correlated with the residual radiative forcings in the G1 experiment.

In the Northern Hemisphere (Fig. 5), tropical expansion in response to increased carbon dioxide concentrations is approximately constant from December-January-February (DJF) through June-July-August (JJA), ~~but slightly larger~~. It is twice as large in September-October-November (SON). The enhanced expansion in boreal autumn is consistent with realistic (Hu et al., 2013; Kang and Lu, 2012) and more idealized (Kang and Lu, 2012) CMIP5 forcing simulations and with histori-

275 cal reanalyses (Hu and Fu, 2007). While Allen et al. (2012) proposed that the ~~enhanced-observed~~ tropical expansion in Northern Hemisphere summer and autumn was driven by the combined effects of black carbon and tropospheric ozone, it appears that increased carbon dioxide concentrations alone could also drive some of this enhanced expansion. As a caveat, however, the ~~tropical seasonality of Northern Hemisphere tropical expansion is not particularly robust as the tropical~~ belt contracts in some models and seasons in response to quadrupled carbon dioxide concentrations, ~~and the spread in the residual tropical belt width changes between the piControl and G1 experiments is as large as the spread in the tropical expansion between the 4×CO<sub>2</sub> and piControl experiments. This lack of robustness indicates some uncertainty in the seasonality of Northern Hemisphere tropical expansion in response to increases in carbon dioxide. This may arise from the opposing effects of~~  
280 ~~the direct radiative forcing and changes in sea surface temperatures on land-sea temperature contrasts (Shaw and Voigt, 2015) . The resulting circulation response appears to be sensitive to which of the two dominate.~~

To explore whether the large range in the responses and the asymmetric response in the two hemispheres are associated with any particular ~~zonal-mean~~ temperature structures, we composite the difference in temperature between the 4×CO<sub>2</sub> and piControl experiments in the four models with the greatest and in the four models with the least ~~total~~ tropical expansion (Fig. 6). Both groups show the same general pattern of tropospheric warming and stratospheric cooling. In fact, the difference in the temperature response to quadrupled carbon dioxide between the models with the greatest and the least tropical expansion itself resembles the temperature response to quadrupled carbon dioxide.  
295 ~~There- An exception can be found in the upper stratosphere, where the cooling is similar between the two subsets of models. There is not a substantial difference between the separate composites on Northern and Southern Hemisphere expansion, but both show a slightly weaker stratospheric cooling signal (not shown). Overall there~~ are no unique relationships in the strength of the tropical upper-tropospheric amplification, the Arctic amplification, the surface warming, or the stratospheric cooling. Rather, these temperature responses all consistently scale among the models with greater  
300 tropical expansion.

#### 4.1 ~~Intermodel~~Inter-model differences in the tropical width response and associated ~~mechanisms~~thermodynamic changes

Subtropical static stability increases due to tropical upper-tropospheric amplification may be important for driving tropical expansion (Fig. 6). Held (2000) derived a scaling theory for the Hadley cell width based on the critical shear for baroclinic instability in the Phillips two-layer model (Phillips, 1951). If one assumes that the poleward flow in the Hadley cells conserves angular momentum, and that the flow terminates at the latitude of the onset of baroclinic instability, then the edge latitude of the Hadley cell is only a function of the tropopause height and the gross static stability (the difference  
310 between the potential temperature of the tropopause and the surface). Increases in static stability or

tropopause height would both act to further stabilize the flow against baroclinic instability and allow the Hadley cell to expand poleward. Lu et al. (2008) found changes in static stability to be strongly correlated with changes in the Hadley cell edge latitude, and a cursory scale analysis shows that the scaling theory is dominated by the static stability term for typical variations in static stability and tropopause height (Frierson et al., 2007). For these reasons we will focus exclusively on changes in  
315 subtropical static stability.

The Held (2000) scaling theory has been ~~successfully~~ used to study tropical expansion in models ranging from dry dynamical cores to fully-coupled climate models (Frierson et al., 2007; Lu et al., 2007, 2008), although modified scaling theories that relax the angular momentum conservation constraint (Kang and Lu, 2012), as well as theories based on other criteria (Lu et al., 2008; Korty and Schneider, 2008; Tandon et al., 2013; Levine and Schneider, 2015) may be more realistic. Similar  
320 to Levine and Schneider (2015), we evaluate the gross static stability, hereafter “subtropical static stability”, at the tropical belt edge latitude. We define the subtropical static stability as the difference in potential temperature between 100 hPa (approximately the tropical tropopause) and 1000 hPa (approximately the surface) averaged over 5 degrees of latitude equatorward of the tropical belt edge  
325 latitude for each month in each hemisphere.

In both hemispheres, tropical expansion between the  $4\times\text{CO}_2$  and piControl experiments is associated with an increase in subtropical static stability, with the increase in stability explaining 29-55% of the ~~intermodel~~ inter-model variation in tropical expansion (Fig. 7). This relationship  
330 also holds for the tropical expansion and contraction between the G1 and piControl experiments, where changes in static stability explain 42-46% of the total ~~intermodel~~ inter-model variation in the tropical belt edge latitudes. These results are noteworthy for two reasons. Firstly, the relationships remain linear ~~both among models with smaller changes in stability and tropical belt width, as well as among models with larger expansion and contraction~~ for small and large changes in subtropical static stability and the Hadley cell edge latitude. Secondly, despite differences in the models’ mean edge latitudes and their parameterizations of convection and other processes, and despite ~~the a~~ dearth of physical ~~intermodel~~ inter-model relationships (Davis and Birner, 2016), this particular relationship  
335 is robust across models and scenarios.

Tropical upper-tropospheric temperatures tend to warm more than surface temperatures due to moist adiabatic adjustment (Held et al., 1993; Romps, 2011). Because the moist adiabatic lapse rate  
340 scales with surface temperature, any change in static stability in the tropics and subtropics reflects changes in surface temperature. Accordingly, tropical expansion in both hemispheres also scales with increases in global-mean surface temperature (Fig. 8), explaining 47-49% of the ~~intermodel~~ inter-model variation in tropical expansion between the  $4\times\text{CO}_2$  and piControl experiments. Despite  
345 being the residual rather than the forced response, increases in global-mean surface temperature also explain 74% of the ~~intermodel~~ inter-model variation in tropical expansion in the Southern Hemisphere in the G1 experiment, though less so in the Northern Hemisphere. Compared to the Southern

Hemisphere, Northern Hemisphere tropical expansion seems to scale nonlinearly for large increases in global-mean surface temperature, ~~explaining its weaker linear correlations.~~

350 The ~~nonlinearity extends to the change in the tropical belt width relative to changes in seasonality of these correlations (not shown) generally reflects the seasonality of the response (Fig. 5). For example, tropical expansion in the Northern and Southern Hemispheres is most highly correlated with the change in~~ global-mean surface temperature ~~, with tropical expansion disproportionately increasing in SON ( $R^2 = 0.31$ ) and MAM ( $R^2 = 0.43$ ), respectively. In the other seasons, no significant~~  
355 ~~correlation is found between the change in global-mean surface temperature and tropical expansion in the Northern Hemisphere.~~

~~Tropical expansion as measured by the total change in tropical belt width disproportionately increases as the global-mean surface temperature increases (Fig. 9). As is the case for the edge latitudes (Fig. 7), the change in the tropical belt width relative to changes in subtropical static stability~~  
360 ~~is more linear but also more scattered. Here the~~ ~~This reflects the nonlinearity seen in the expansion of the Northern Hemisphere tropical belt edge latitudes. The~~ change in the ~~subtropical static stability is the average of the change in both hemispheres. Despite the nonlinearity, the change in the change in~~ tropical belt width is better correlated with the change in global-mean surface temperature than with the change in subtropical static stability, explaining 54-79% of the total ~~intermodel~~ inter-model  
365 variation in the change in the tropical belt width.

We also examined Arctic warming and tropical upper-tropospheric warming separately, as the two may have different impacts on tropical expansion and/or may explain some additional ~~intermodel~~ inter-model variation in the tropical belt response. However, both of these indices are ~~well-correlated~~ correlated with the total change in global-mean surface temperature (Fig. 10), ~~even seasonally (not~~  
370 ~~shown)~~. Tropical upper-tropospheric temperature changes are well-correlated with the change in global-mean surface temperature across the models for both the difference between the  $4\times\text{CO}_2$  and piControl experiments and the difference between the G1 and piControl experiments. For the Arctic warming, the correlations do not depend upon whether one defines Arctic amplification as the total temperature change at the surface in the Arctic (as is done here) or as the difference between  
375 the total temperature change at the surface in the Arctic minus the change in global-mean surface temperature; if one is correlated with global-mean surface temperature, the other will be as well.

## 5 Conclusions

We have examined the equilibrium response of the tropical belt to simple radiative ~~forcing experiments~~ forcings in the GeoMIP experiments. Quadrupled concentrations of carbon dioxide in the  $4\times\text{CO}_2$   
380 experiment produce the canonical temperature response and drive significant tropical expansion in all models. The insolation reduction in the G1 experiment generally counteracts the carbon-dioxide-induced tropospheric warming, but leaves the stratosphere colder than it was in the piControl exper-

iment. The lack of any significant change in the tropical belt width between the G1 and piControl experiments indicates that broad stratospheric cooling alone may not drive tropical expansion, at  
385 least when the cooling does not extend down to the tropopause.

The expansion in response to quadrupled carbon dioxide concentrations is greater in the Southern Hemisphere and peaks in austral summer and autumn, consistent with recent findings by Grise and Polvani (2016) who also analyzed the 4xCO<sub>2</sub> experiment. Both responses have previously been identified as signatures of Antarctic ozone depletion on observed Southern Hemisphere tropical expansion. They  
390 ~~instead appear to comprise~~ also appear to reflect the basic response of the circulation to simple hemispherically-symmetric, non-ozone climate forcings. This does not imply that ozone depletion and other climate forcings have not contributed to observed tropical expansion. Rather, it may be that ozone depletion and increased greenhouse gas concentrations have together enhanced the expansion in the Southern Hemisphere and in summer and autumn. The Southern Hemisphere Hadley cell may  
395 exist in a different dynamical regime than the Northern Hemisphere cell (Davis and Birner, 2013) due to the Southern Hemisphere cell's strong coupling to the eddy-driven jet (Kang and Polvani, 2011; Ceppi and Hartmann, 2013; Staten and Reichler, 2014). This jet has a more robust poleward shift in response to greenhouse gas increases than its Northern Hemisphere counterparts (Barnes and Polvani, 2013) which may enhance Southern Hemisphere tropical expansion. Further, the Hadley  
400 cells are more susceptible to the influence of extratropical Rossby waves in summer (Schneider and Bordoni, 2008), which may contribute to the seasonality of the expansion in both hemispheres.

Models with a stronger temperature response to increased carbon dioxide (which includes stronger surface, upper-tropospheric, and Arctic warming and stronger stratospheric cooling) have greater tropical expansion. While tropical expansion scales with increases in both subtropical static stability  
405 and global-mean surface temperature, these indices effectively measure the same thermodynamic response because of moist adiabatic adjustment. Increases in global-mean surface temperature can explain up to 79% of the total ~~intermodel-inter-model~~ variation in tropical expansion, noteworthy because it occurs within the ~~intermodel-inter-model~~ space of fully-coupled climate models. Different mean states (Kidston and Gerber, 2010), the representation of parameterized processes (Frierson,  
410 2007), the strength of cloud feedbacks (Feldl and Bordoni, 2016), and model design choices such as horizontal resolution (Landu et al., 2014; Lorant and Royer, 2001; Davis and Birner, 2016) can all influence the circulation and its response. Tropical belt width changes are thus part and parcel of global climate change. They are strongly correlated with changes in other key climate features and are not a separate phenomenon. Tropical expansion could be considered as ~~a robust~~ robust a response  
415 of the climate system to increasing greenhouse gas concentrations ~~similar to as~~ an acceleration of the hydrological cycle.

How the temperature or static stability changes could actually drive tropical expansion is an open question. The While the dynamical response is relatively fast, occurring within the first several years of the abrupt 4xCO<sub>2</sub> experiment, the increase in global-mean surface temperature takes much longer.

420 Rather than being indicative of a mechanism for expansion, it is more accurate to conclude that dynamical sensitivity as measured by the Hadley cells scales with climate sensitivity, at least in response to changes in carbon dioxide concentrations and insolation.

While it is consistent with the modeled tropical expansion, the scaling theory used here includes some unrealistic assumptions. Angular momentum is not perfectly conserved in the poleward flow  
425 of the Hadley cell due to eddy momentum fluxes (Schneider, 2006), and the boundary between the Hadley and Ferrel cells is shaped by these eddy ~~momentum~~-fluxes (Schneider, 2006; Lu et al., 2008; Ceppi and Hartmann, 2013; Choi et al., 2014). While the scaling theory can be adjusted to take into account the degree to which eddy fluxes draw the circulation away from angular momentum conservation (Kang and Lu, 2012), some bootstrap or input of the properties of the eddies is  
430 still needed to form a complete theoretical scaling for the Hadley cell width (Held, 2000). Further, localized (Tandon et al., 2011) and even non-localized cooling in the subtropical lower stratosphere (Butler et al., 2010) can drive variations in the Hadley cell width, potentially independent of changes to tropospheric static stability. This must be accounted for by any theory for the width of the Hadley cells and their response to radiative forcings.

435 Additionally, baroclinic instability is generally a feature of the eddy-driven jets, which can be well-separated from the subtropical jets at the edges of the Hadley cells. Despite the ~~intermodel~~ inter-model correlation between tropical expansion and increases in static stability, increases in static stability may not be the only process associated with tropical expansion. Instead, changes to the eddy phase speeds that lead to poleward shifts in the latitudes of wave breaking (Chen and Held,  
440 2007) may be responsible for poleward shifts of the Hadley cell edges (Ceppi and Hartmann, 2013). Both occur simultaneously with increasing greenhouse gas concentrations and global-mean surface temperatures. It is therefore impossible to exclude other factors and conclude that the static stability increases alone drive tropical expansion.

Both Arctic warming and tropical upper-tropospheric warming scale with increases in global-  
445 mean surface temperature. Separating these influences on the tropical belt and any other feature of the climate system is not feasible in the experiments examined here and may not be possible in projections of future climate. Despite the significant variation in the magnitude of the model response to simple forcings, we find a robust physical scaling throughout the climate system, between the tropics and the poles and between the thermodynamics and the circulation.

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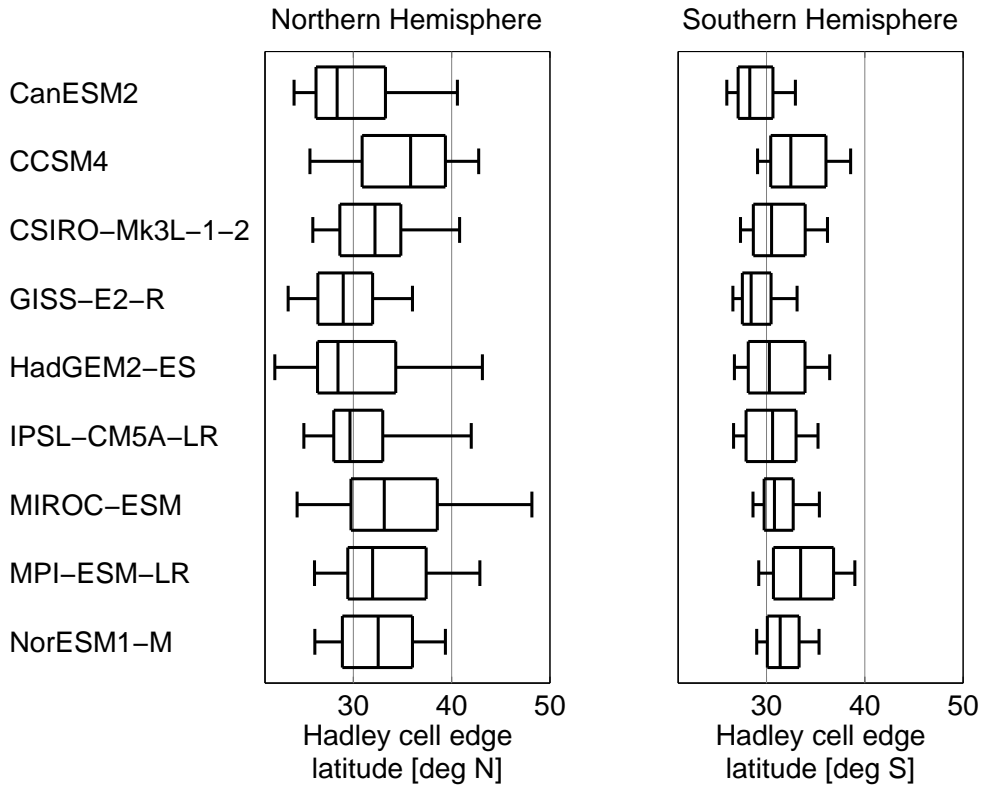
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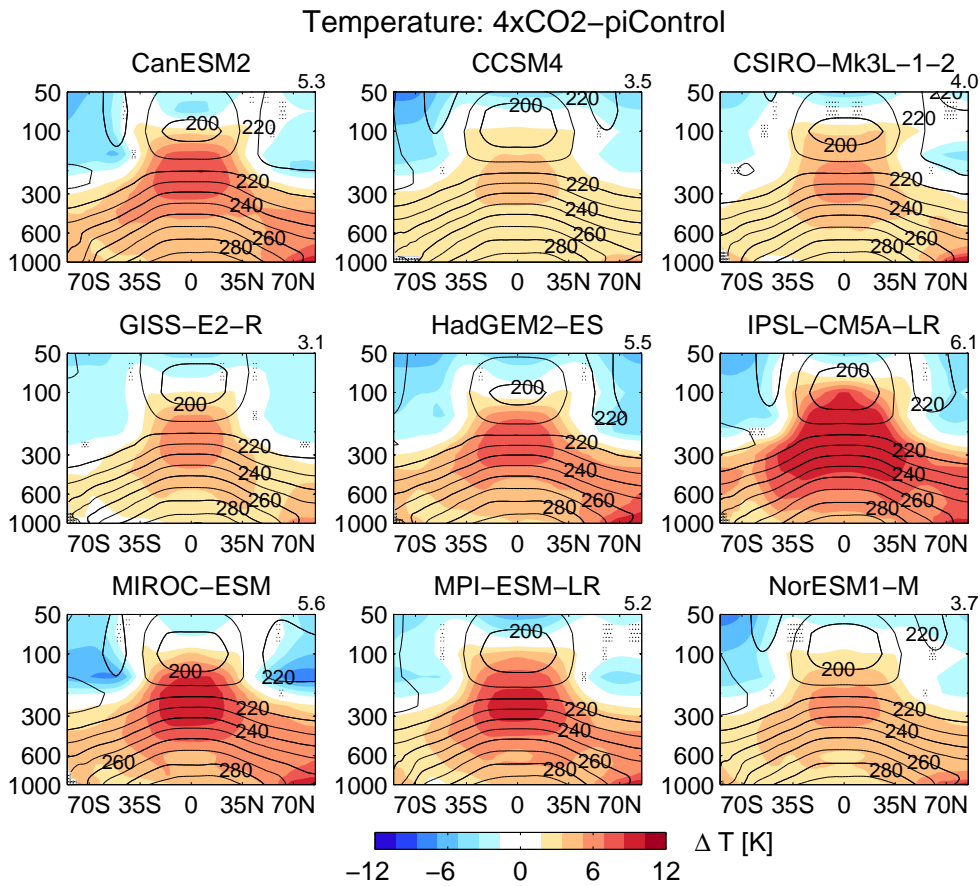
**Table 1.** The model name, modeling group or agency, the  $4\times\text{CO}_2$  experiment top-of-atmosphere radiative forcing relative to piControl, and the G1 experiment residual top-of-atmosphere radiative forcing relative to the piControl experiment for each of the nine models examined. All radiative forcings are from Huneus et al. (2014) and are in  $\text{W/m}^2$ . Information on the radiative forcings in the CSIRO-Mk3L-1-2 model is unavailable.

Model	Group	$4\times\text{CO}_2$ radiative forcing ( $\text{Wm}^{-2}$ )	G1 radiative forcing ( $\text{Wm}^{-2}$ )
CanESM2	Canadian Centre for Climate Modelling and Analysis	8.0	0.0
CCSM4	National Center for Atmospheric Research	6.2	-0.5
CSIRO-Mk3L-1-2	Commonwealth Scientific and Industrial Research Organisation	N/A	N/A
GISS-E2-R	Goddard Institute for Space Studies	7.8	1.4
HadGEM2-ES	Met Office Hadley Centre for Climate Science and Services	6.4	0.4
IPSL-CM5A-MR	Institut Pierre Simon Laplace Climate Modelling Centre	6.2	0.2
MIROC-ESM	University of Tokyo, National Institute for Environmental Studies, and Japan Agency for Marine-Earth Science and Technology	8.7	0.0
MPI-ESM-LR	Max Planck Intitute für Meteorologie	8.6	0.2
NorESM1-M	Norwegian Climate Center	6.8	0.4

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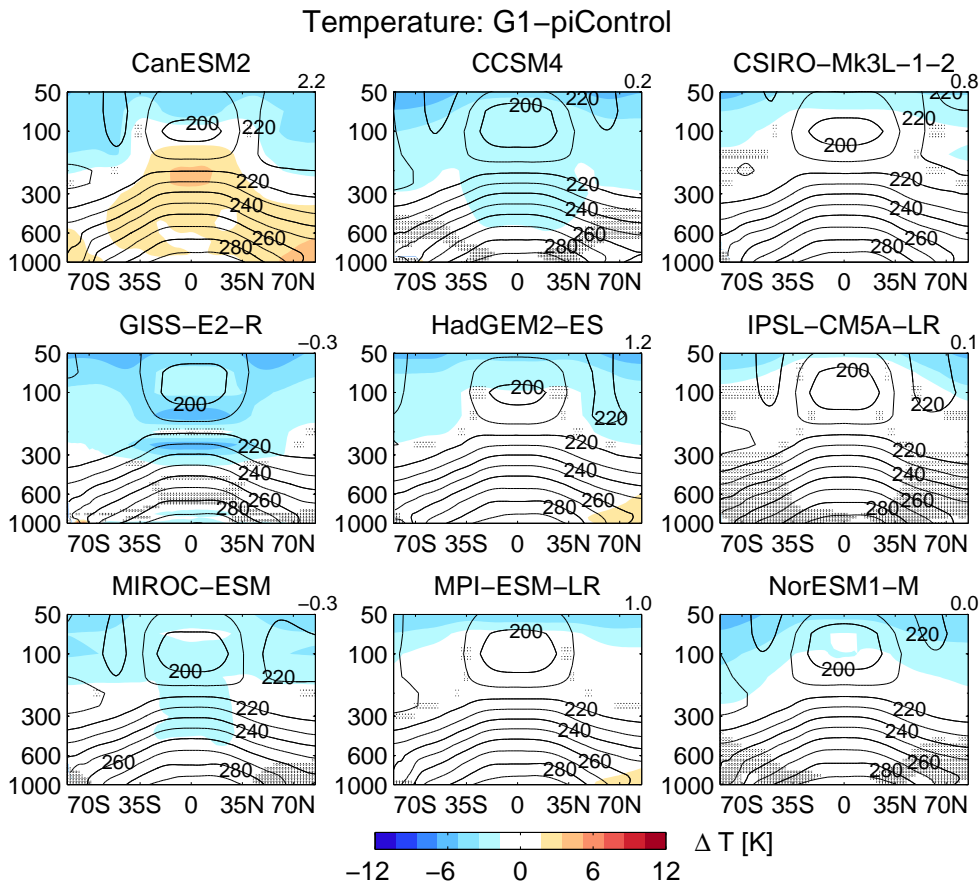


**Figure 1.** The piControl experiment climatology of the tropical belt edge latitudes for each of the nine models. The middle bar of each box represents the median and the top-left and bottom-right bars of each box represent the upper-and-lower and upper quartiles, respectively, of the tropical belt edge latitudes. Whiskers indicate the maximum and minimum tropical belt edge latitude for the piControl experiment.

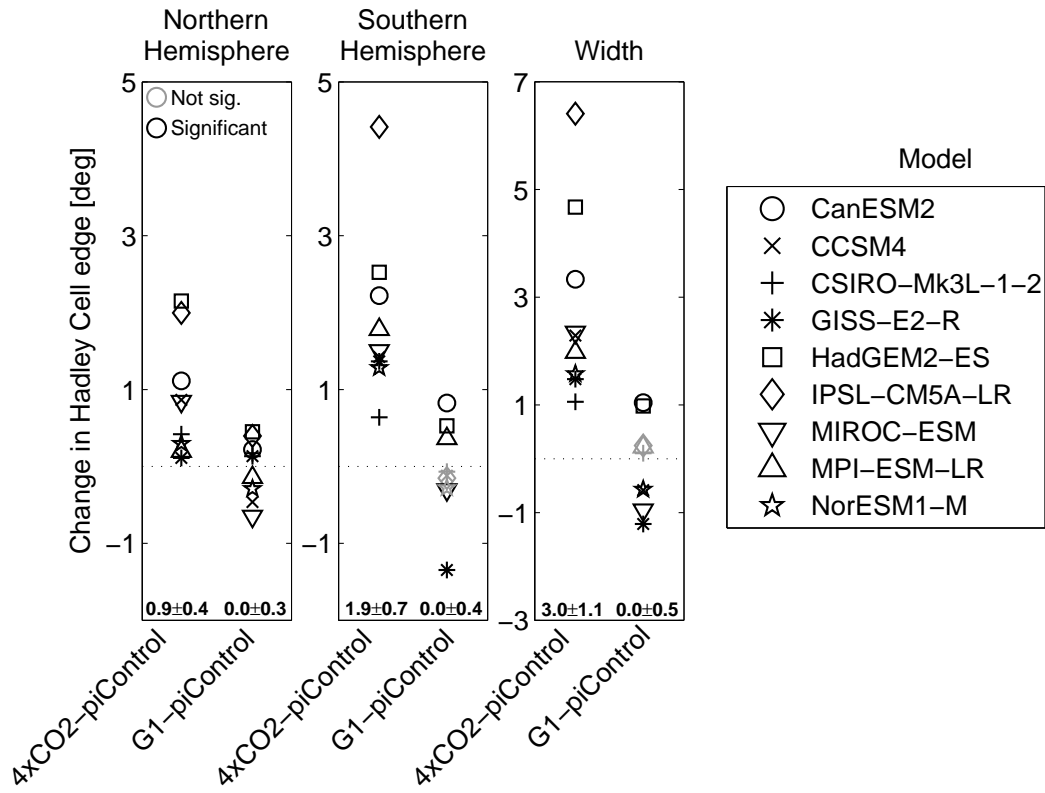


**Figure 2.** The difference in the zonal-mean temperature between the 4×CO<sub>2</sub> and piControl experiments for each of the nine models. The 4×CO<sub>2</sub> experiment temperature minus the piControl experiment temperature is shown in shading (Kelvin), while the piControl experiment temperature is shown by the black contours (Kelvin). Stippling indicates differences not significant at the 95% confidence level. [The change in global-mean surface temperature \(Kelvin\) between the 4xCO<sub>2</sub> and piControl experiments is shown in the upper right of each panel.](#)

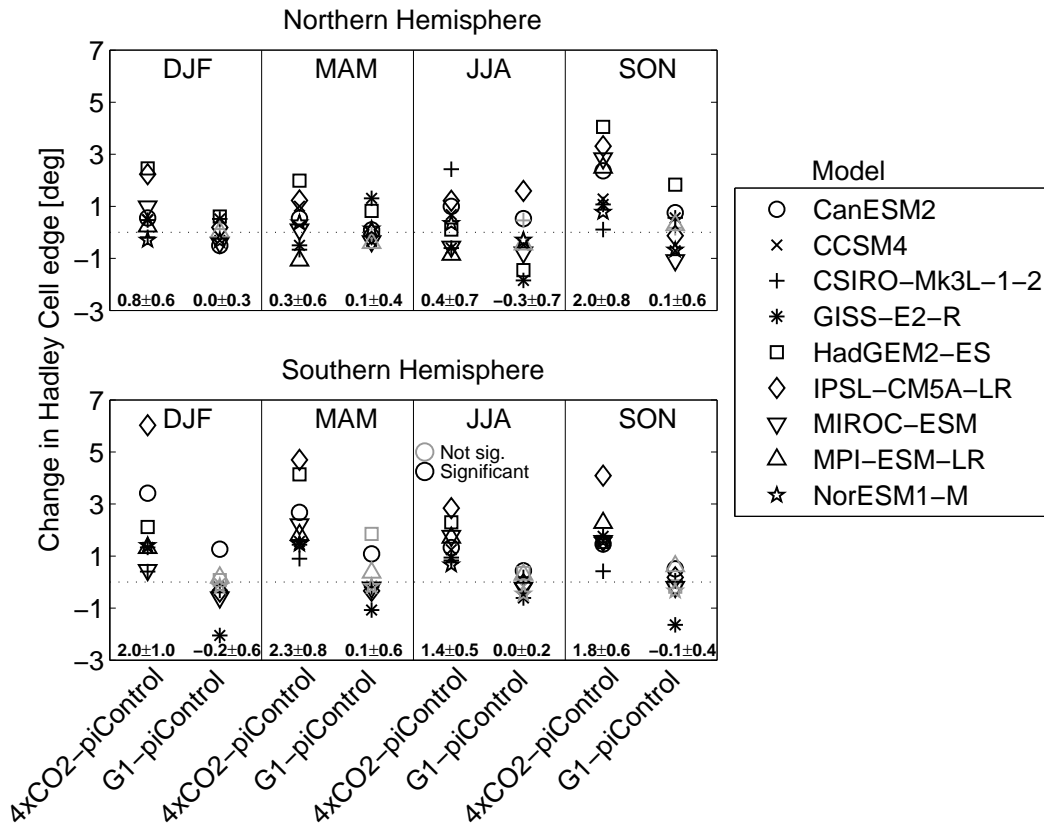




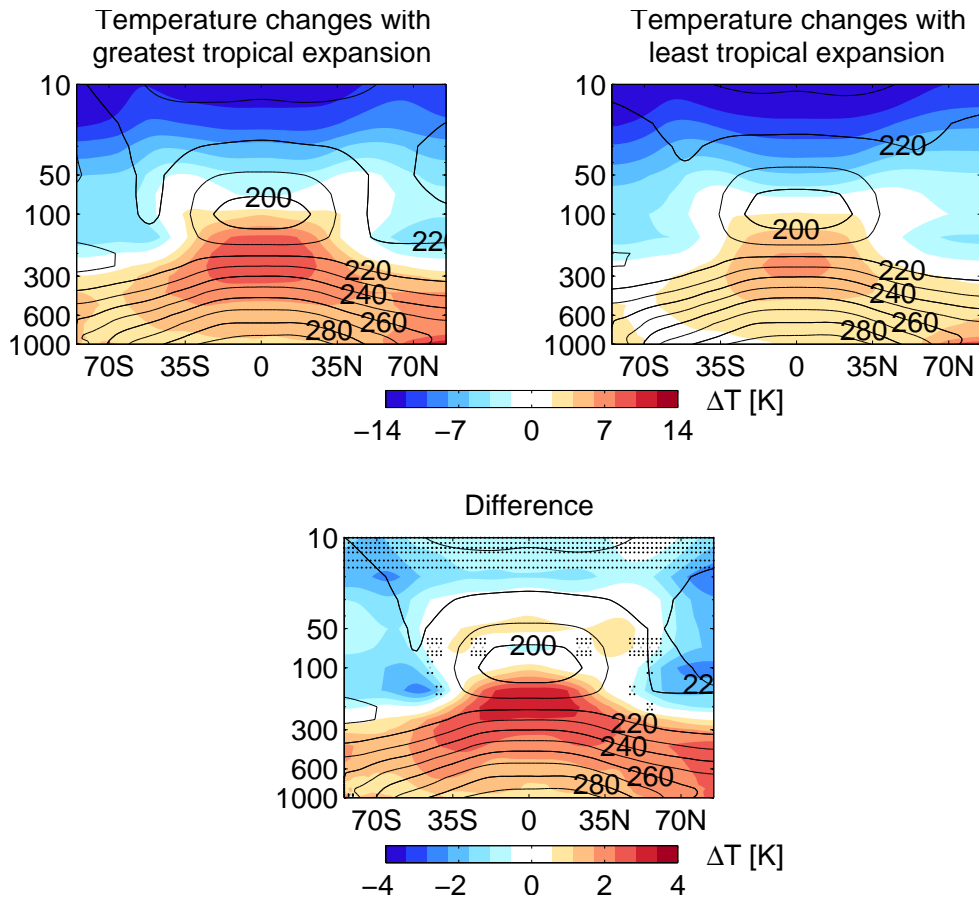
**Figure 3.** The difference in the zonal-mean temperature between the G1 and piControl experiments for each of the nine models. The G1 experiment temperature minus the piControl experiment temperature is shown in shading (Kelvin), while the piControl experiment temperature is shown by the black contours (Kelvin). Stippling indicates differences not significant at the 95% confidence level. [The change in global-mean surface temperature \(Kelvin\) between the G1 and piControl experiments is shown in the upper right of each panel.](#)



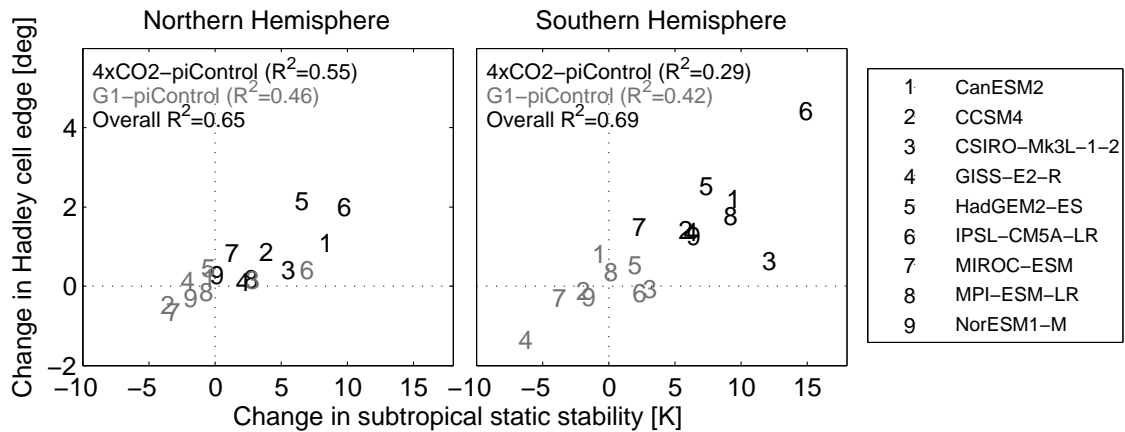
**Figure 4.** The change in the Hadley cell edge latitudes and width between the 4×CO<sub>2</sub> and piControl experiments and between the G1 and piControl experiments, for the Northern Hemisphere and Southern Hemisphere edge latitudes and for the total change in Hadley cell width (Width). Positive values indicate poleward expansion or an increase in width. Models with edge latitude or width changes significant at the 95% confidence level are indicated by solid symbols shown in black. The mean change in the tropical belt width or edge latitude and its 95% confidence interval in degrees latitude is shown at the bottom of each plot.



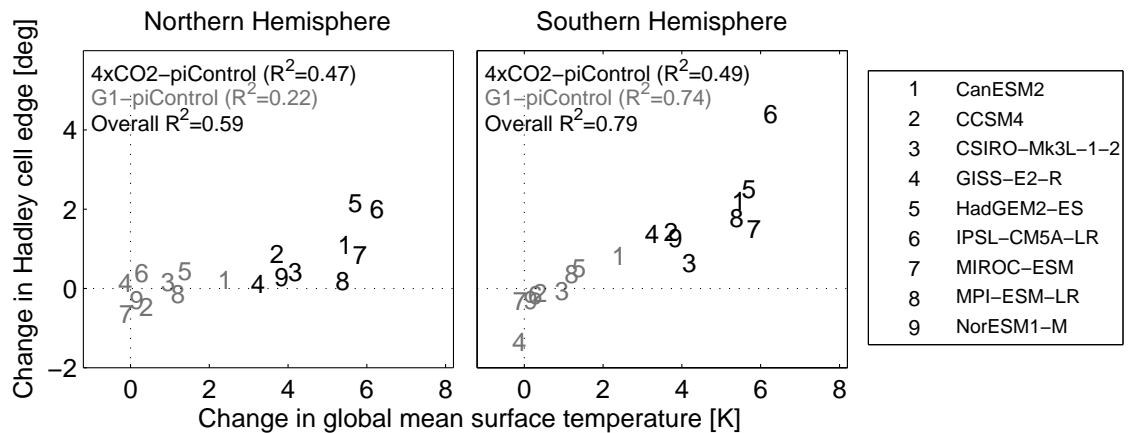
**Figure 5.** The seasonal change in the Hadley cell edge latitudes and width between the 4×CO<sub>2</sub> and piControl experiments and between the G1 and piControl experiments, for the Northern Hemisphere and Southern Hemisphere edge latitudes. Positive values indicate poleward expansion. Models with edge latitude changes significant at the 95% confidence level are indicated by solid symbols shown in black. Values are shown for December through February (DJF), March through May (MAM), June through August (JJA), and September through November (SON). The mean change in the tropical belt width or edge latitude and its 95% confidence interval in degrees latitude is shown at the bottom of each plot.



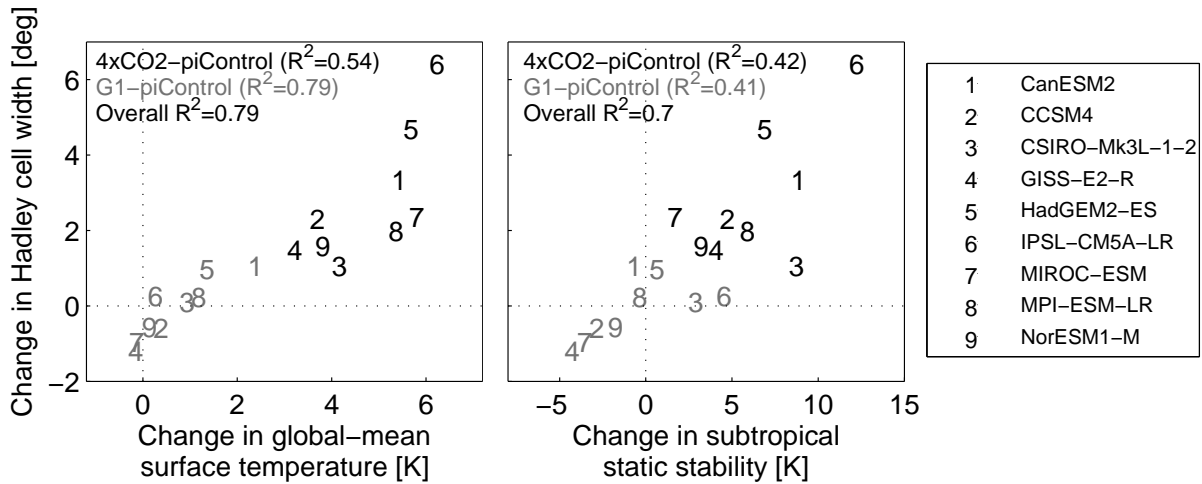
**Figure 6.** The difference in zonal-mean temperature between the  $4\times\text{CO}_2$  and piControl experiments in the four models with the greatest tropical expansion (upper left) and in the four models with the least tropical expansion (upper right). The  $4\times\text{CO}_2$  experiment minus the piControl experiment temperatures are shown in shading (Kelvin), while the piControl experiment temperatures are shown by the black contours (Kelvin). The difference in the  $4\times\text{CO}_2$  experiment minus the piControl experiment temperatures between the models with the greatest and least tropical expansion is shown on the bottom, with shading indicating the difference (Kelvin) and black contours indicating the mean piControl experiment temperature (Kelvin) for all models. Stippling indicates changes not significant at the 95% confidence level.



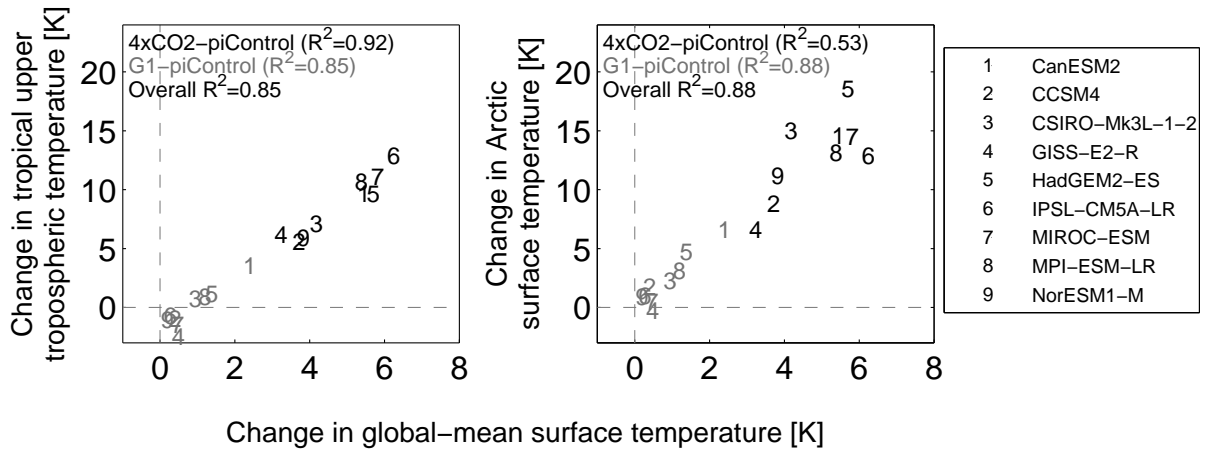
**Figure 7.** The change in the Hadley cell edge latitude versus the change in subtropical static stability in the Northern Hemisphere and in the Southern Hemisphere. For both hemispheres, positive changes in the Hadley cell edge latitude indicate poleward expansion. Shown are values for the  $4\times\text{CO}_2$  experiment minus the piControl experiment (triangles black) and for the G1 experiment minus the piControl experiment (circles gray). The percent of the intermodel-inter-model variation in the change in the Hadley cell edge latitude explained by the change in subtropical static stability between each experiment is indicated in each plot.



**Figure 8.** As in Fig. 7, but for the change in the Hadley cell edge latitude versus the change in global-mean surface temperature in the Northern Hemisphere and in the Southern Hemisphere.



**Figure 9.** The change in the total Hadley cell width versus the change in global-mean surface temperature and the change in subtropical static stability. Positive changes in the Hadley cell width indicate tropical expansion. Shown are values for the 4×CO<sub>2</sub> experiment minus the piControl experiment (black triangles) and for the G1 experiment minus the piControl experiment (gray circles). The percent of the intermodel variation in the change in the Hadley cell edge latitude explained by the change in global-mean surface temperature and the change in subtropical static stability between each experiment is indicated in each plot.



**Figure 10.** The change in tropical upper-tropospheric temperature versus the change in global-mean surface temperature (left), and the change in Arctic surface temperature versus the change in global-mean surface temperature (right), between the 4×CO<sub>2</sub> and piControl experiments (black triangles) and between the G1 and piControl experiments (gray circles). Tropical upper-tropospheric temperature is defined as the mean temperature between 200 and 300 hPa and between 10S and 10N. Arctic temperature is defined as the mean surface temperature between 75N and 90N.