

1 **Impact of NO_x and OH on secondary organic aerosol (SOA) formation**
2 **from β-pinene photooxidation**

3 **Mehrnaz Sarrafzadeh^{1,3}, Jürgen Wildt^{2,1}, Iida Pullinen¹, Monika Springer¹, Einhard**
4 **Kleist², Ralf Tillmann¹, Sebastian H. Schmitt¹, Cheng Wu¹, Thomas F. Mentel¹, Donald R.**
5 **Hastie³, and Astrid Kiendler-Scharr¹**

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7 1. Institute for Energy and Climate Research, IEK-8, Forschungszentrum Jülich, 52425, Jülich,
8 Germany

9 2. Institute of Bio- and Geosciences, IBG-2, Forschungszentrum Jülich, 52425, Jülich,
10 Germany

11 3. Centre for Atmospheric Chemistry, York University, 4700 Keele St., Toronto, ON M3J 1P3,
12 Canada

13

14 Correspondence to: J. Wildt (j.wildt@fz-juelich.de)

1 Abstract

2 In this study, the NO_x dependence of secondary organic aerosol (SOA) formation from β -pinene
3 photooxidation was comprehensively investigated in the Jülich Plant Atmosphere Chamber.
4 Consistent with the results of previous NO_x studies we found increases of SOA yields **with**
5 **increasing $[\text{NO}_x]$** at low NO_x conditions ($[\text{NO}_x]_0 < 30$ ppb, $[\text{BVOC}]_0/[\text{NO}_x]_0 > 10$ ppbC ppb⁻¹).
6 Furthermore, increasing $[\text{NO}_x]$ at high NO_x conditions ($[\text{NO}_x]_0 > 30$ ppb, $[\text{BVOC}]_0/[\text{NO}_x]_0 \sim 10$
7 to ~ 2.6 ppbC ppb⁻¹) suppressed the SOA yield. The increase of SOA yield at low NO_x
8 conditions was attributed to increase of OH concentration, most probably by OH recycling in NO
9 + $\text{HO}_2 \rightarrow \text{NO}_2 + \text{OH}$ reaction. Separate measurements without NO_x addition but with different
10 OH primary production rates confirmed the OH dependence of SOA yields. After removing the
11 effect of OH concentration on SOA mass growth by keeping the OH concentration constant,
12 SOA yields only decreased with increasing $[\text{NO}_x]$. Measuring the NO_x dependence of SOA
13 yields at lower $[\text{NO}]/[\text{NO}_2]$ ratio showed less pronounced increase in both OH concentration and
14 SOA yield. This result was consistent to our assumption of OH recycling by NO and to SOA
15 yields being dependent on OH concentrations. **Our results** furthermore indicated that NO_x
16 dependencies vary for different NO_x compositions. A substantial fraction of the NO_x -induced
17 decrease of SOA yields at high NO_x conditions was caused by NO_x -induced suppression of new
18 particle formation (NPF) **which subsequently limits the particle surface where low volatiles**
19 **condense on**. This was shown by probing the NO_x dependence of SOA formation in the presence
20 of seed particles. After eliminating the effect of NO_x -induced suppression of NPF and NO_x
21 induced changes of OH concentrations, the **remaining** effect of NO_x on the SOA yield from β -
22 pinene photooxidation was moderate. Compared to β -pinene, the SOA formation from α -pinene

- 1 photooxidation was only suppressed by increasing NO_x . However, basic mechanisms of the NO_x
- 2 impacts were the same as that of β -pinene.

1 **1. Introduction**

2 Biogenic volatile organic compounds (BVOC), such as monoterpenes ($C_{10}H_{16}$) are emitted in
3 large quantities into the atmosphere (Guenther et al., 1995, 2012; Griffin et al., 1999a). These
4 BVOCs are oxidized in the atmosphere by hydroxyl radicals (OH), ozone (O_3), or nitrate radicals
5 (NO_3) resulting in the formation of secondary organic aerosol (SOA). SOA contributes to a
6 substantial fraction of ambient organic aerosol and is known to adversely affect visibility,
7 climate and human health (Hallquist et al., 2009).

8 SOA formation potentials of BVOC species are represented by SOA yields which are generally
9 defined as the ratio of the SOA mass produced from the oxidation of the SOA precursor to the
10 mass of the precursor consumed (Odum et al., 1996). Despite the fact that many studies have
11 focused on the production of SOA from a number of monoterpenes, reported SOA yields have
12 shown high variability for a given precursor (Pandis et al., 1991; Hoffmann et al., 1997; Griffin
13 et al., 1999b; Larsen et al., 2001; Presto et al., 2005; Kroll et al., 2006; Ng et al., 2007a; Mentel
14 et al., 2009; Eddingsaas et al., 2012a). For instance, the reported SOA mass yield for α -pinene
15 photooxidation ranges from 8 % to 37 % (Eddingsaas et al., 2012a). This variability is likely
16 related to the numerous factors that influence the SOA yields, such as the inorganic and organic
17 mass loading, particle acidity, NO_x ($NO_x = NO + NO_2$) level, humidity, and temperature.
18 Therefore, ambient SOA yields cannot be represented by a unique value for a given monoterpene
19 as the yields are heavily dependent on the conditions under which the SOA is formed.

20 One of the critical factors is the impact of NO_x on SOA formation. For sesquiterpenes such as
21 longifolene and aromadendrene yields increase with increasing NO_x (Ng et al 2007a) , However,
22 results of the majority of studies indicate that SOA yields are lower at high NO_x levels
23 (Hatakeyama et al., 1991; Pandis et al., 1991; Presto et al., 2005; Kroll et al., 2006; Ng et al.,

1 2007a). It is generally assumed that the impact of NO_x results from altering the balance between
2 competing peroxy-radical (RO₂) reactions and thus from the changes in the distribution of
3 oxidation products. Reaction (R1) is the dominant pathway for RO₂ radicals under low-NO_x
4 conditions which leads to the formation of low-volatility hydroperoxides that can participate in
5 new particle formation (NPF) and contribute to SOA mass (Johnson et al., 2005; Camredon et
6 al., 2007).



8 Under high-NO_x conditions, RO₂ radicals react with NO resulting in the formation of organic
9 nitrates (R2a) as well as alkoxy radicals (R2b) that either fragment, or react to form more volatile
10 products. This understanding implies that higher NO_x concentrations will suppress the formation
11 of low volatility products, and thereby suppress NPF and SOA mass formation.



14 Despite numerous studies of SOA formation from terpene ozonolysis, the SOA formation from
15 OH oxidation of β-pinene has been scarcely investigated. In the present study we investigated the
16 SOA formation from β-pinene photooxidation under varied NO_x levels in the Jülich Plant
17 Atmosphere Chamber (JPAC) to gain more insight into the impact of NO_x on SOA yield and to
18 better characterize mechanisms leading to effects of NO_x on SOA yield.

19 2. Experimental

20 The experimental setup of JPAC is described in detail elsewhere (Mentel et al., 2009, 2015). The
21 chamber is 1450 litre in volume, made of borosilicate glass and set up in a climate-controlled

1 housing. Temperature and relative humidity inside the chamber were held constant at $16 \pm 1^\circ\text{C}$
2 and $63 \pm 2\%$, respectively over the course of the experiments. The chamber was operated as a
3 continuously stirred tank reactor with a residence time of approximately 46 min. The flow into
4 the chamber consisted of two purified air streams. One stream was passed through an ozonator
5 and was humidified with double distilled water. The other stream contained β -pinene emitted
6 from a diffusion/permeation source held at 38°C . Where necessary seed particles could be
7 generated externally and introduced using a third air stream.

8 The chamber was equipped with several lamps; 12 discharge lamps (HQI 400 W/D; Osram) to
9 simulate the solar light spectrum in the chamber, 12 discharge lamps (Phillips, TL 60 W/10-R,
10 60W, $\lambda_{\text{max}} = 365$ nm, from here on termed UVA lamps) for NO_2 photolysis, and one internal
11 UVC lamp (Philips, TUV 40W, $\lambda_{\text{max}} = 254$ nm; here termed as TUV lamp) for ozone photolysis
12 to produce OH radicals by reaction of water vapour with $\text{O}(^1\text{D})$ atoms. The TUV lamp could be
13 shielded by glass tubes to control the amount of UV radiation entering the chamber. Thus, by
14 altering the gap between these glass tubes, the OH production rate could be adjusted by varying
15 the photolysis rate $J(\text{O}^1\text{D})$. It has to be noted that the short wavelength cut off of the glass is
16 around 350 nm and thus no light with wavelength short enough to produce O^1D is in the chamber
17 when the TUV lamp is off. Furthermore, the absorption cross section of NO_2 at the wavelength
18 of the TUV lamp is more than an order of magnitude lower than at wavelengths around 365 nm
19 (Davidson et al., 1988). Together with the quite low energy of the TUV lamp compared to the
20 energy of the UVA lamps, this allowed varying $J(\text{O}^1\text{D})$ and $J(\text{NO}_2)$ independent of each other.

21 A suite of instruments were used to measure both the gas and particle phase products. Ozone
22 concentration was determined by UV photometric devices (Thermo Environmental 49 and
23 Ansyco, O_3 42M ozone analyzers), NO was measured by chemiluminescence (Eco Physics, CLD

1 770 AL ppt), NO₂ by chemiluminescence after photolysis (Eco Physics, PLC 760) and relative
 2 humidity was measured by dew point mirror (TS-2, Walz). Furthermore, a condensation particle
 3 counter (CPC, TSI 3783) and a scanning mobility particle sizer (SMPS, combination of a TSI
 4 3081 electrostatic classifier and a TSI 3025 CPC) were used to count the total particle number
 5 greater than 3 nm and to measure the particle size distribution between 13 and 740 nm
 6 respectively. The total particle mass concentration was estimated from the measured total aerosol
 7 volume assuming a SOA density of ~ 1.2 g cm⁻³ and spherical particles. β-pinene mixing ratio in
 8 the chamber was determined by gas chromatography–mass spectrometry (GC-MS, Agilent GC-
 9 MSD system with HP6890 GC and 5973 MSD) and a proton transfer reaction mass spectrometer
 10 (PTR-MS, Ionicon). The GC-MS and PTR-MS were switched periodically between the outlet
 11 and the inlet of the chamber to quantify concentrations of β-pinene entering and exiting the
 12 chamber. The OH concentration was estimated from the decay of β-pinene in the chamber (Eq.
 13 2) (Kiendler-Scharr et al., 2009).

$$\frac{d[\beta p]}{dt} = \frac{F}{V} \cdot ([\beta p]_{in} - [\beta p]) - (k^{OH} \cdot [OH] + k^{O_3} \cdot [O_3]) \cdot [\beta p] \quad (1)$$

$$[OH] = \frac{\frac{F}{V} \cdot \frac{[\beta p]_{in} - [\beta p]}{[\beta p]} - k^{O_3} \cdot [O_3]}{k^{OH}} \quad (2)$$

14 Equation (1) is the basic rate equation for a continuously stirred tank reactor resulting from mass
 15 balance and Eq. (2) results from Eq. (1) under steady state conditions when solving for [OH]. In
 16 Eqs. (1) and (2), V is the volume of the chamber and F is the total air flow through the chamber.
 17 [βp]_{in} and [βp] are the concentrations of β-pinene in the inlet air and in the chamber, respectively.
 18 For a well-mixed continuously stirred tank reactor, [βp] is the concentration of β-pinene
 19 measured in the outlet flow. *k*^{OH} and *k*^{O₃} are the rate constants of reactions of β-pinene with OH

1 and with O₃. Since β-pinene has a quite low rate constant with O₃ ($k^{O_3} = 1.5 \times 10^{-17} \text{ cm}^3 \text{ s}^{-1}$
2 (Atkinson and Arey, 2003)), the reaction of β-pinene with O₃ could be neglected from Eq. (2) for
3 our ozone- (50-100 ppb) and OH concentrations ($9 \times 10^6 - 1.6 \times 10^8 \text{ cm}^{-3}$). The uncertainty in
4 OH concentration was estimated to be approximately 20% (Wildt et al., 2014).

5 SOA yields were determined as described in Mentel et al. (2009). Particle formation was induced
6 by switching on ozone photolysis and thus producing OH radicals. From such a measurement the
7 formed particle mass as well as the amount of consumed BVOC was obtained. Repeating such
8 experiments using different amounts of BVOC gave a set of data on SOA mass and consumed
9 BVOC. Plotting the SOA masses in dependence of consumed BVOC gave linear relationships
10 with only small deviations from linearity. The slopes of such plots were used as the incremental
11 yields. However, different from the procedure described in Mentel et al. (2009), here we use
12 particle masses corrected for wall losses of extremely low volatile organic compounds (ELVOC)
13 that are direct particle precursors.

14 Details of the correction method are described in detail in the supplement. Briefly, ELVOCs were
15 measured with a NO₃⁻-CIMS (Ehn et al., 2014; Mentel et al., 2015). Stopping the ELVOC production by
16 stopping OH production allowed measurement of their decay rates and thus of their lifetimes. In the
17 absence of particles the lifetimes were in the range of 2 to 3 minutes. The lifetimes became shorter with
18 increasing particle surface because of condensation of the ELVOCs onto the particles. Knowing the total
19 loss rate and the wall loss rate allowed the determination of ELVOC condensation onto the particles, as
20 well as the fraction of condensation F_p : $\left(F_p = \frac{\text{Loss on particles}}{\text{Loss on particles} + \text{loss on walls}} \right)$. The measured mass was
21 then corrected accordingly, by dividing by F_p . We verified this correction procedure for experiments with
22 α-pinene and β-pinene with particle surfaces varying over a wide range, including different amounts of

1 ammonium sulfate seed aerosols. Note that the numbers obtained for wall loss correction are only valid
2 for the chamber used in this study.

3 Additionally, we used another approach to determine the mass yields at steady state conditions.
4 Correcting measured particle masses by the procedure described in the supplement, revealed that
5 after an induction time of ~ 20 minutes, the wall loss corrected particle mass was constant as
6 long as the OH production rate was constant. This allowed determining yields using steady state
7 assumptions. Yields were determined by dividing the particle mass formed in the experiment by
8 the oxidation rate of the BVOC. At our method of [OH] determination, using the BVOC
9 consumption according to Eq. (2), and at the negligible inflow of particle mass into the chamber,
10 this equals the simple mass balance assumption: produced particle mass divided by BVOC
11 consumption (Eq. 3).

$$Y = \frac{\textit{Particle mass}}{\textit{BVOC consumption}} \quad (3)$$

12 As was expected from the consistency of wall loss corrected particle masses, both procedure of
13 yield determinations led to identical results. However, the method using steady state conditions
14 had advantages since adjustments of [OH] were required during many experiments. The
15 justification to use both type of yield determinations is given in the supplement.

16 **2.1. Experimental procedure**

17 A series of β -pinene photooxidation experiments were performed in the JPAC to investigate the
18 SOA formation under low-NO_x (here defined as: [NO_x]₀ < 30 ppb, [BVOC]₀/[NO_x]₀ > 10) and
19 high-NO_x (here defined as: [NO_x]₀ > 30 ppb, [BVOC]₀/[NO_x]₀ < 10) conditions. In these
20 experiments, the inflow of β -pinene (95 %, Aldrich) to the chamber was kept constant, leading to
21 initial mixing ratios of 37 ± 0.6 ppb. Initial O₃ concentration was 40 ± 5 ppb. NO₂ (Linde, $104 \pm$

1 3 ppm NO₂ in nitrogen) was introduced into the β-pinene air stream. Initial NO_x concentrations,
2 [NO_x]₀, in the chamber were varied between < 1 ppb and 146 ppb. The chamber was illuminated
3 with one of the HQI lamps and all the UVA lamps, resulting in an NO₂ photolysis frequency
4 (J(NO₂)) of 4.3×10⁻³ s⁻¹. When VOC-, NO_x- and O₃ concentrations in the chamber were near to
5 steady state, the experiment was initiated by turning on the TUV lamp resulting in OH radical
6 production. During the described experiments, a constant J(O¹D) was maintained. Experiments
7 without NO_x addition were performed between NO_x experiments. In these cases, residual NO_x
8 concentrations from chamber walls were below 1 ppb.

9 After initiating the OH production, β-pinene and NO_x concentrations decreased due to their
10 reactions with OH radicals. The majority of the results presented in this study are from steady
11 state measurements, when all physical and chemical parameters were constant in the chamber.
12 However, for the purpose of comparison with the literature data, the initial concentration of NO_x,
13 [NO_x]₀, and β-pinene, [BVOC]₀, were also used here.

14 To investigate the role of NO_x on SOA formation in the presence of inorganic aerosol, β-pinene
15 photooxidation/NO_x experiments were repeated in the presence of seed aerosol. The seed particles
16 were generated using a constant output aerosol generator (TSI, Model 3076) by atomizing (NH₄)₂SO₄
17 solutions (typical concentration ~ 40 mg/L) at a pressure of around 1.4 bar. The generated aerosol then
18 passed through a silica gel diffusion drier before entering the reaction chamber. In the control
19 experiments, distilled water was used for atomization to keep the experimental conditions constant. For
20 these experiments, the organic particle mass was determined by subtracting the initial seed
21 aerosol mass from the total particle mass.

22 3. Results and discussion

1 3.1. Impact of NO_x on SOA formation

2 To determine the influence of NO_x on SOA formation, a series of NO_x experiments were
3 conducted in the JPAC in which β-pinene was oxidized in the absence of inorganic seed aerosol.
4 A summary of experimental conditions and results for the β-pinene/NO_x photooxidation
5 experiments is given in Table 1. Figure 1 shows SOA yields, calculated from wall-loss corrected
6 maximum particle mass concentration (PM_{max}), as a function of [BVOC]₀/[NO_x]₀ ratio and
7 [NO_x]₀. The strong dependence of SOA yield on BVOC/NO_x levels is evident. At low NO_x
8 conditions an increase in the initial NO_x concentration increases the SOA yield, whereas at high
9 NO_x concentrations the opposite SOA yield dependence on NO_x was observed. Similar NO_x
10 dependencies of SOA yields have been observed in previous studies (Pandis et al., 1991; Kroll et
11 al., 2006; Zhang et al., 1992; Camredon et al., 2007, Xu et al., 2014).

12 At high NO_x conditions strong depletion of SOA yield as well as NPF was observed with
13 increasing NO_x (Fig. 1). Wildt et al. (2014) made similar observations for NPF during the
14 photooxidation of a BVOC mix emitted from Mediterranean plants. However, the NO_x
15 dependence of SOA yield was different from that shown by Wildt et al. (2014). At low NO_x
16 concentrations we observed an increasing SOA yield with increasing NO_x (Fig. 1). At high NO_x
17 levels, the yields decreased. Having different NO_x dependencies at different NO_x regimes
18 suggests multiple factors at play.

19 Kroll et al. (2006) suggested that the increase in SOA yield of isoprene with NO_x could be due to
20 changes in the [NO]/[HO₂] ratio. As experiments in batch reactors proceed, NO_x concentrations
21 decrease due to their reactions with OH resulting in a switch from high NO_x to low NO_x
22 conditions. The lowered NO concentrations cause increasing HO₂ concentrations due to reaction
23 (R3):



2 In such experiments, peroxy radicals initially react mainly with NO, whereas peroxy radicals
3 formed later from first generation products, primarily react with HO₂. Although the reason for
4 the observed increase of SOA yield with increasing NO_x at low NO_x levels was not fully
5 explored, Camredon et al. (2007) noted that this could be due to the influence of OH levels.
6 However, in the majority of studies investigating the impact of NO_x on SOA formation, OH
7 concentration was either not measured or the potential influence of OH was not discussed **in**
8 **detail** (Eddingsaas et al., 2012a, 2012b; Xu et al., 2014).

9 As the NO_x concentration is changed in this study, the concentration of OH was found to change
10 markedly (Fig. 2). OH concentrations passed through a maximum ($\sim 3.8 \times 10^7$ molecules cm⁻³) at
11 [NO_x]_{ss} \sim 40 ppb ([NO_x]₀ \sim 70 ppb) which represented a fourfold increase over that in the
12 absence of NO_x. NO_x enhanced OH production in two ways: by increasing [O₃] and thereby the
13 photolytic OH source, and by recycling OH through reaction (R3). However, at very high NO_x
14 concentrations, NO_x is acting as a sink for OH due to Reaction (R4):



16 Therefore, as illustrated in Fig. 2, OH concentration increased rapidly with increasing NO_x,
17 reached a maximum value and then decreased gradually. In general terms this is consistent with
18 the nonlinear dependence of OH concentration on NO_x level in the lower Troposphere (Ehhalt
19 and Rohrer, 1995).

20 It appeared in Fig. 2 that the SOA yields were somehow related to [OH]. Thus, we performed
21 some experiments to further explore the dependence of SOA formation on OH concentration.

1 3.1.1. [OH] dependence of SOA mass formation

2 To examine whether or not SOA yield depends on the OH concentration, additional experiments
3 were performed at two different OH production rates and the β -pinene concentration varied to
4 give a range of SOA mass. The detailed experimental conditions are summarized in Table 2.
5 Two different $J(\text{O}^1\text{D})$ conditions ($1.9 \pm 0.2 \times 10^{-3} \text{ s}^{-1}$ and $5.4 \pm 0.5 \times 10^{-3} \text{ s}^{-1}$) were used to give
6 significantly different OH production rates at otherwise unchanged conditions. Figure 3 shows
7 particle mass as a function of consumed β -pinene ranging from 20 to $140 \mu\text{g m}^{-3}$. Approximately
8 90-95 % of the total β -pinene was consumed in these experiments.

9 The OH concentrations were $4 \times 10^7 - 1 \times 10^8 \text{ molecules cm}^{-3}$ and $1.1 - 1.6 \times 10^8 \text{ molecules}$
10 cm^{-3} under low and high OH conditions, respectively. The SOA yields (incremental yields, see
11 Mentel et al., (2009) and the supplement to this paper) were higher at higher OH levels (31 ± 3
12 % and 20 ± 1 % for high and low OH conditions, respectively).

13 Increasing yields with increasing OH concentrations have been previously observed. More efficient NPF
14 and/or higher abundance of low volatile vapours at higher oxidant levels were suggested to be reason. The
15 first should lead to larger particle surface, allowing more semi-volatile vapours to condense on (Ng et al.,
16 2007b; Healy et al., 2009). As we corrected for wall losses of HOMs this effect should be of minor
17 importance in our case. Therefore other reasons for this dependence have to be considered. Such
18 other reasons might be the secondary reactions (Song et al., 2007; Eddingsaas et al., 2012a,
19 2012b).

20 As an example, the rate constant for the reaction of β -pinene + OH ($k \sim 7.4 \times 10^{-11} \text{ cm}^3 \text{ s}^{-1}$,
21 (Atkinson and Arey, 2003)) is higher than that of the reaction of nopinone + OH ($k \sim 1.5 \times 10^{-11}$
22 $\text{cm}^3 \text{ s}^{-1}$, (Atkinson and Arey, 2003)). Nopinone is a major product of β -pinene oxidation. Thus in
23 the stirred flow reactor, where the oxidation of β -pinene does not go to completion, there is an

1 appreciable concentration of nopinone. Increasing the OH concentration will therefore result in
2 more nopinone consumption and, if nopinone oxidation also forms SOA mass, this additional
3 oxidation forms more SOA mass. As a result, SOA mass will be higher at higher OH
4 concentrations and thus, SOA yield based on the consumption of β -pinene will be higher.
5 Similarly, such sequential OH reactions can also form SOA mass in reactions with other β -
6 pinene oxidation products. Another possibility might be the OH dependence of ELVOCs
7 formation. Formation of such molecules might require more than one OH reaction.

8 From our data we cannot decide which process plays a major role for the OH dependence of
9 yields. Nevertheless, the results of these experiments affirm the importance of actual OH
10 concentrations in SOA mass formation. This complicates the assignment of the observed changes
11 in SOA yield to the impact of NO_x on peroxy radical chemistry, as SOA yield was also likely
12 varied with [OH]. Thus, we undertook a series of experiments to decouple the impacts of OH and
13 NO_x on SOA formation.

14

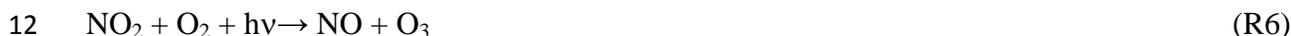
15 **3.1.2. Isolate the effect of [OH] on SOA formation**

16 To examine the impact of NO_x on SOA production independent of [OH]-changes, a series of
17 experiments were performed where the steady state OH concentration was held constant by
18 tuning the value of $J(\text{O}^1\text{D})$. This required constant [OH] monitoring as the system approached
19 steady state, i.e. monitoring the consumption of β -pinene, to ensure the [OH] was adjusted to the
20 desired level. Although there was a significant variation in initial OH concentrations on adding
21 NO_x if $J(\text{O}^1\text{D})$ was unchanged, it was possible to maintain the OH concentrations to within 5 %
22 across all NO_x concentrations by adjusting $J(\text{O}^1\text{D})$ (Fig. 4). Figure 5 shows the SOA yield as a
23 function of $[\text{NO}_x]$ during the steady state before and after [OH] adjustment. Before adjusting

1 [OH] the yield profile was consistent with our previous results; SOA yield increased with
2 increasing NO_x at low NO_x levels and then dropped at high NO_x levels. After adjusting [OH], the
3 yield revealed no increase at low NO_x levels. It was only suppressed by increasing NO_x. This
4 indicated that the observed increase in SOA yield without adjusting [OH] is a result of NO_x
5 enhancing [OH]. Thus isolating the effect of [OH] revealed that increasing [NO_x] only
6 suppressed particle mass formation, and therefore also suppressed SOA mass yield.

7 **3.2. Possible role of peroxy radical chemistry and [NO]/[NO₂] ratio in SOA formation**

8 We also investigated the effect of the [NO]/[NO₂] ratio on SOA formation from β-pinene/NO_x
9 mixtures. To change this ratio we changed [O₃]. Ozone, NO and NO₂ are interrelated as
10 illustrated in Reactions (R5) and (R6):



13 Hence, to a good approximation the [NO]/[NO₂] ratio is described by the photostationary steady
14 state (Leighton, 1961):

$$\frac{[\text{NO}]}{[\text{NO}_2]} = \frac{J(\text{NO}_2)}{k^5[\text{O}_3]} \quad (4)$$

15 where J(NO₂) represents the photolysis rate of NO₂ and k⁵ is the rate coefficient of Reaction
16 (R5). Hence, adjusting [O₃] in the chamber allowed varying [NO]/[NO₂] ratios. Here, to probe
17 the dependency of SOA mass formation on relative NO and NO₂ concentrations, NO_x
18 experiments were performed with approximately 50 % higher O₃ concentration (74 ± 7 ppb,
19 $[\text{NO}]/[\text{NO}_2] = 0.018 \pm 0.004 \text{ ppb} \cdot \text{ppb}^{-1}$) than that of previous NO_x experiments where the
20 $[\text{NO}]/[\text{NO}_2]$ ratio was $0.035 \pm 0.005 \text{ ppb} \cdot \text{ppb}^{-1}$. The remaining conditions maintained the same.

1 Results obtained from these experiments are shown in Fig. 6. The behaviour observed in the
2 high-O₃ experiments (equivalent to lower NO/NO₂) was different from that in the experiments
3 with the lower [O₃] (compare Fig. 6 to Fig.4). When not adjusted, [OH] increased with
4 increasing NO_x but the increase was less pronounced. With a lower [NO]/[NO₂] ratio, the
5 maximum OH concentration increase was approximately twofold relative to the respective NO_x
6 free experiments (Fig. 6), compared to the fourfold increase with the higher [NO]/[NO₂] (Fig. 4).
7 This is consistent to the assumption that reaction (R3) recycles OH. There was also a slight
8 increase in SOA yield when [NO_x] increased up to ~ 15 ppb. In addition, the increase in SOA
9 yield was less pronounced in the high-O₃ experiments again pointing to the role of [OH] in SOA
10 formation.

11 After adjusting [OH] to the same level as in the NO_x free experiments (Fig. 6), no increase in
12 SOA yield was observed and increasing NO_x only suppressed SOA formation (Fig. 7). These
13 results were consistent with those found earlier in the low-O₃ experiments indicating that, after
14 isolating the effect of [OH], SOA yield was only suppressed with increasing NO_x also at higher
15 [O₃]. Comparing the yield profiles obtained from the low-O₃ and the high-O₃ experiments
16 respectively (blue circles in Fig. 5 and Fig. 7), it can be seen that the decrease in SOA yield was
17 ~ 35 % in the high-O₃ experiments while it was roughly 70 % in the low-O₃ experiments. This
18 shows that NO_x dependencies itself depend on the composition of NO_x. As the suppression of
19 yield was more pronounced in the low-O₃ experiments (= higher [NO]/[NO₂]) it indicates that
20 NO is the molecule mainly responsible for the SOA yield diminishing effect of NO_x.

21 After separating the impacts of NO_x on OH and NPF, there was a remaining effect on SOA formation.
22 According to the present knowledge (e.g. Hatakeyama et al., 1991; Pandis et al., 1991; Kroll et al., 2006)
23 this effect is due to impacts of NO_x on RO₂ chemistry. The most obvious impact of NO_x is the change in

1 product composition. Organic nitrates are formed as an alternative to hydroperoxides and formation of
2 organic nitrates may have an effect on the average volatility of the product mixture. Also decomposition
3 of alkoxy radicals may play a role here. Decomposition of alkoxy radicals can lead to products with
4 higher volatility and thus to a mixture with an average higher volatility.

5 We found that diminishing of SOA is more effective at higher $[\text{NO}]/[\text{NO}_2]$. This points to NO as a major
6 player and thus to a role of alkoxy radical decomposition. As alkoxy radicals are produced by only $\text{RO}_2 +$
7 NO reactions, more effective suppression of SOA formation at higher $[\text{NO}]/[\text{NO}_2]$ would be consistent
8 with alkoxy radical decomposition leading to decomposition products with on average high vapor
9 pressures. However, from our results no clear conclusion can be given with this respect.

11 **3.3 Comparison of the impact of NO_x on SOA yield from α -pinene and β -pinene** 12 **photooxidation**

13 A series of α -pinene/ NO_x experiments were performed in the same chamber to compare the NO_x
14 dependencies of SOA formation of α -pinene to that of β -pinene. These experiments were
15 performed with 12 ± 1.2 ppb α -pinene, 78 ± 14 ppb O_3 and with $[\text{NO}_x]_0$ up to 126 ppb.

16 When using α -pinene as the SOA precursor, no increase in aerosol mass formation was observed
17 at low NO_x , with only suppression of the particle mass formation and the SOA yield (Fig. 8).
18 Furthermore, presumably due to the lower α -pinene concentrations, no particle formation at all
19 was observed when $[\text{NO}_x]_0$ was above 60 ppb.

20 At high NO_x levels, the differences between the NO_x dependencies of α -pinene (Fig. 8) and β -
21 pinene (Fig. 1) photooxidation were not very strong, both showing a decrease with increasing
22 NO_x . At low NO_x levels there were substantial differences, in that β -pinene showed a distinctive
23 increase and maximum of the yield, while the yield of α -pinene is almost unaffected and

1 monotonically decreasing. A part of these differences can be explained by the differences in $[O_3]$
2 during α -pinene and β -pinene photooxidation. The lower $[O_3]$ in the β -pinene experiments
3 caused higher $[NO]/[NO_2]$ ratios and thus more effective conversion of HO_2 to OH by NO
4 (reaction R3). This is supported by the experiments with β -pinene performed at higher $[O_3]$ that
5 caused less NO_x induced increase of $[OH]$ (Fig. 6, black squares), as well as less NO_x induced
6 increase of SOA yield (Fig. 7, black circles). Restricting focus to the same $[BVOC]/[NO_x]$ where
7 the substantial increase in β -pinene SOA yield was observed (> 100 to ~ 20 ppbC ppb^{-1}), such
8 increases were neither observed for α -pinene nor for the monoterpene mix emitted from
9 Mediterranean species (Wildt et al., 2014). The NO_x dependence of SOA formation therefore is
10 different in different chemical systems. Different impacts of NO_x superimpose each other and the net
11 effect of NO_x is determined by the strongest impact in the individual chemical system. For SOA
12 formation from α -pinene the suppressing effect via impacts on peroxy radical chemistry was obviously
13 stronger than the increases in SOA yield by NO_x induced increases of $[OH]$. However at high NO_x
14 conditions, the principle behavior of all systems was identical; a general SOA yield suppression
15 with increasing NO_x .

16 **3.3. Impact of NO_x on SOA formation in the presence of seed aerosol**

17 From Fig. 2, it can be seen that the SOA yield measured at $[NO_x]_{ss} \sim 86$ ppb ($[NO_x]_0 \sim 146$ ppb)
18 was lower than that at $[NO_x]_0 < 1$ ppb while $[OH]$ was higher. Hence, there must be another
19 effect of NO_x addition besides its impact on $[OH]$. As illustrated in Fig. 9, particle number
20 concentrations were suppressed significantly when adding NO_x . The strong decrease of particle
21 number concentration with increasing NO_x indicates that NPF is suppressed by NO_x (see also
22 Wildt et al., 2014). Hence, there is a high chance that the SOA formation is hindered by low
23 particle phase condensational sinks at high NO_x levels and thus, the observed suppression of

1 SOA yield may be due to the lowering of available particle surface. At high NO_x conditions,
2 particle numbers and surfaces were quite low. Therefore, high correction factors had to be used
3 to correct the particle mass for wall losses of ELVOCs which includes high uncertainties (see
4 supplement). These uncertainties can be diminished by using seed particles since they provide a
5 surface onto which the low volatile organics may condense. In the presence of seed aerosol, the
6 growth of particles would not be limited by the surface of particles and would be much less
7 affected by losses of SOA-precursors on the chamber walls.

8 Therefore, experiments with variations of [NO_x] were also conducted in the presence of
9 ammonium sulfate ((NH₄)₂SO₄) seed particles (average seed mass and surface were
10 approximately $9 \pm 1 \mu\text{g m}^{-3}$ and $1.3 \times 10^{-3} \text{ m}^2 \text{ m}^{-3}$, respectively). These experiments were carried
11 out in the same manner as previous experiments; by adjusting [OH] during steady state to the
12 same level as during the NO_x free experiments. Figure 10 presents a comparison of SOA yield
13 before and after [OH] adjustment for seeded NO_x experiments (seed mass is subtracted from the
14 total particle mass to determine the organic mass used in the yield calculation). The results from
15 the seeded experiments showed the same general features as the unseeded experiments. Without
16 adjustment of [OH], yields increased with increasing NO_x at low levels and were slightly
17 suppressed with further increasing NO_x. The most evident difference here to the experiments
18 without seed is the fairly high yield at high [NO_x] (Fig. 10). Even after [OH] was adjusted, the
19 decrease in SOA yield was not as significant as in the experiments without seed particles. This
20 suggests that in the absence of seed particles, the accumulation of mass was indeed limited by
21 low particle surface caused by the NO_x induced suppression of NPF.

22 In the presence of seed particles and at constant [OH], the decrease in yield was only moderate.
23 This indicates that other NO_x impacts such as formation of organic nitrates were moderate as

1 well. The difference between yields determined with and without addition of seed particles
2 indicates that at very small particle surface, our correction procedure underestimates wall losses
3 of precursors. This might be due to either possible differences in uptake of the ELVOC by
4 particles (mainly organic particles versus ammonium sulfate particles), or the differences in the
5 size of particles. However, the real reason for this underestimation is not known yet.

6 Our correction procedure may involve uncertainties and errors. Nevertheless, it had to be
7 applied; otherwise the NO_x dependence would have been overestimated. NO_x suppresses NPF
8 and thereby limits mass formation in the absence of seed particles. As both, the impacts of wall
9 losses and impacts of suppressed NPF on SOA mass formation are certainly diminished in the
10 presence of seed, we assume that the experiments with seed particles give the most reliable
11 results on NO_x impacts on SOA mass formation from β -pinene.

12 **4. Summary and Conclusions**

13 We investigated the effect of NO_x on SOA formation from β -pinene photooxidation under low
14 NO_x and high NO_x conditions and found a very similar behavior as that observed in other studies
15 (Pandis et al., 1991; Zhang et al., 1992; Presto et al., 2005; Kroll et al., 2006; Camredon et al.,
16 2007; Pathak et al., 2007; Chan et al., 2010; Hoyle et al., 2011; Loza et al., 2014). At low NO_x
17 levels SOA yields increased with increasing NO_x and then decreased at higher NO_x
18 concentrations. The increase of yield at low $[\text{NO}_x]$ was caused by the NO_x induced increase of
19 $[\text{OH}]$. The decrease of yield at higher NO_x levels was mainly a consequence of NPF suppression
20 and thereby decreasing particle condensational sink with increasing NO_x . Eliminating the
21 impacts of NO_x on NPF and on $[\text{OH}]$, showed that the impacts of NO_x on mass formation were
22 only moderate. Even at the highest NO_x level ($[\text{NO}_x]_{\text{ss}} \sim 86$ ppb, $[\text{BVOC}]_{\text{ss}}/[\text{NO}_x]_{\text{ss}} \sim 1.1$)
23 suppression of mass yield was only 20 – 30 %. VOC/ NO_x ratios in typical urban air are often

1 much higher than the lower end of the [BVOC]/[NO_x] range used here (Cai et al., 2011; Pollack
2 et al., 2013; Zou et al., 2015). Therefore dependent on the conditions, impacts of NO_x on SOA
3 formation in the real atmosphere may be far less than 20-30 %.

4 Our study also showed that SOA yield is dependent on OH concentration. Although the exact
5 mechanism for this [OH] dependence is still unknown, our results show that besides yield
6 dependencies on the amount of pre-existing matter and effects like partitioning there is also a
7 dependence on reaction conditions, in particular on oxidant levels. Although SOA yields
8 measured in laboratory chambers may not be indicative of the yields in the real atmosphere, their
9 variations as a consequence of different conditions could provide a more comprehensive
10 description of SOA in global and climate model.

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1 **Table 1.** Experimental conditions and results for β -pinene/ NO_x photooxidation experiments.

β -pinene reacted (ppb)	$[\text{NO}_x]_0$ (ppb) ^a	$[\text{NO}_x]_{ss}$ (ppb) ^b	$[\text{OH}]/10^7$ (cm^{-3})	PM_{max} ($\mu\text{g m}^{-3}$) ^c	PM_{ss} ($\mu\text{g m}^{-3}$) ^d	J_3 ($\text{cm}^{-3} \text{s}^{-1}$) ^e	$[\text{BVOC}]_0/[\text{NO}_x]_0$ (ppbC ppb ⁻¹)	SOA yield ^f (%)	SOA yield ^g (%)
33.9	73.9	34.4	3.7	30.3	-----	0.9	5.2	15.7	----
34.0	54.8	35.5	3.7	37.6	34.4	7.8	7.0	19.3	17.7
30.4	145.9	86.1	1.8	14.3	-----	0.04	2.6	8.2	----
31.4	13.4	9.5	2.2	33.1	31.7	48.9	28.6	18.4	17.7
33.1	102.5	45.7	3.0	27.2	27.2	0.4	3.7	14.4	14.5
33.5	32.9	21.7	3.3	38.2	40.2	25.5	11.7	20.0	21.0
29.4	6.7	5.1	1.5	30.9	30.3	50.3	57.0	18.4	18.0
23.7	<0.5	<1.0	0.8	20.0	17.7	41.3	765	14.7	13.1
25.3	<0.5	<1.0	0.9	23.5	22.1	57.5	768	16.2	15.3
25.7	<0.5	<1.0	0.9	24.4	22.8	62.0	768	16.6	15.5
25.3	<0.5	<1.0	0.9	23.8	22.5	54.2	768	16.5	15.6
25.7	<0.5	<1.0	1.0	22.9	22.8	53.8	767	15.6	15.5

2 ^aInitial NO_x concentration before OH production

3 ^b NO_x concentration during steady state, in cases without NO_x addition we found increasing NO_x which is assumed to be produced from residual HNO_3 that is
4 photolyzed by the TUV lamp.

5 ^cMaximum formed particle mass concentration, assuming an SOA density of 1.2 g cm^{-3} . These values have been adjusted for wall losses and losses on particles.

6 ^dParticle mass concentration during steady state, assuming an SOA density of 1.2 g cm^{-3} . These values have been adjusted for wall losses and losses on particles.

7 ^eRates of new particle formation for particles greater than 3 nm

8 ^fSOA yields determined from PM_{max}

9 ^gSOA yields determined from PM_{ss}

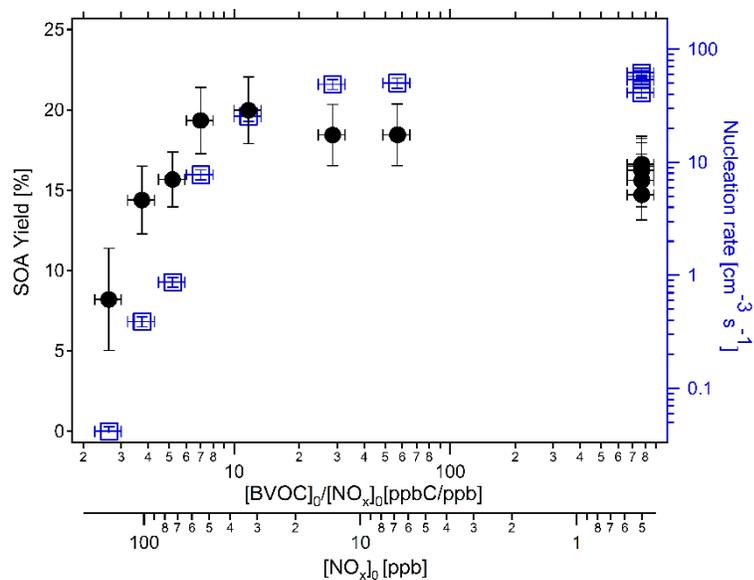
1 **Table 2.** Experimental conditions and results for β -pinene photooxidation experiments at two OH
 2 levels.

$J(O^1D)/10^{-3}$ (s^{-1}) ^a	Initial β - pinene (ppb)	β -pinene reacted (ppb)	$[OH]/10^7$ (cm^{-3})	PM_{max} ($\mu g m^{-3}$) ^b
1.9	3.8	3.6	12.5	4.2
1.9	9.2	8.8	11.3	6.5
1.9	9.2	8.9	11.3	6.9
1.9	9.2	8.9	11.3	7.7
1.9	15.9	14.8	6.2	14.4
1.9	24.8	22.0	3.7	23.8
1.9	24.8	22.0	3.7	23.2
1.9	24.8	22.0	3.7	22.0
5.4	3.8	3.6	12.5	4.1
5.4	9.2	9.0	14.5	9.8
5.4	15.9	15.5	15.7	21.3
5.4	24.8	23.9	12.4	39.6

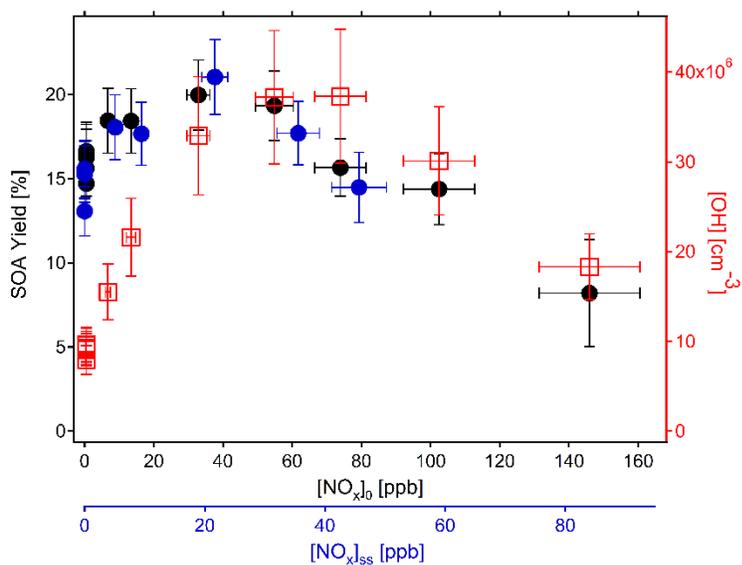
3 ^a $J(O^1D)$ was varied by altering the TUV gap

4 ^b Maximum formed particle mass concentration, assuming an SOA density of $1.2 g cm^{-3}$. These values have been

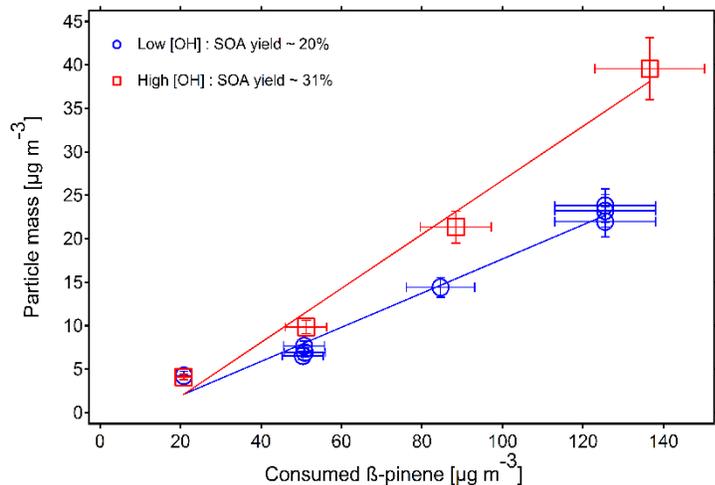
5 adjusted for wall losses and losses on particles.



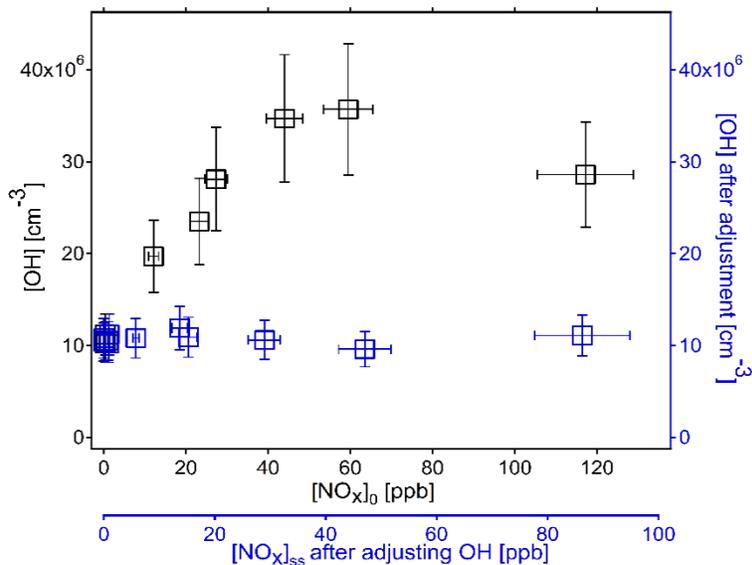
1
 2 **Figure 1.** Measured SOA yield from PM_{max} (black circles) and rates of new particle formation
 3 (blue squares) for the β -pinene photooxidation as a function of the ratio of the initial
 4 hydrocarbon to the initial NO_x concentration and as a function of the initial NO_x concentration.
 5 Each point corresponds to one experiment. The errors in nucleation rate and $[NO_x]$ were
 6 estimated to be $\pm 10\%$. The error in SOA yield was estimated from error propagation using the
 7 sum of the systematic error, correction procedure error and error in BVOC data. Note that the
 8 horizontal error bars is associated with the BVOC/ NO_x axis.



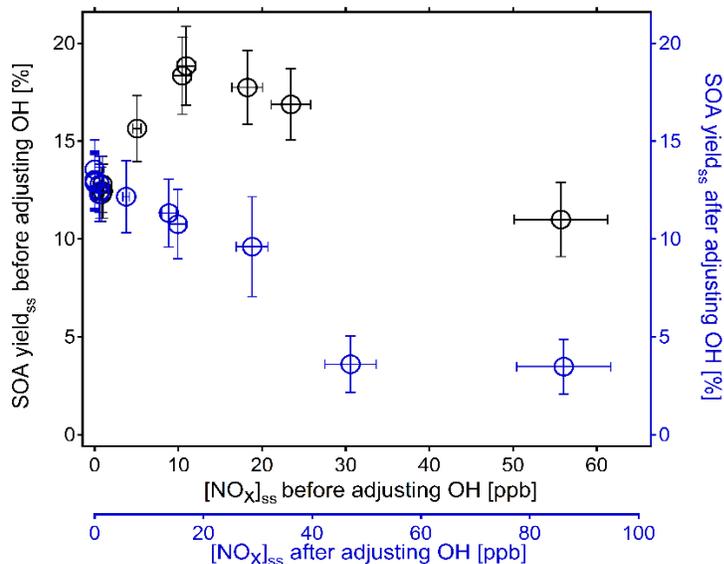
9
 10 **Figure 2.** Measured SOA yield from PM_{max} and from steady state PM (black and blue circles
 11 respectively) and measured OH concentration (red squares) as a function of initial ($[NO_x]_0$) and
 12 steady state ($[NO_x]_{ss}$) NO_x concentrations. The errors in $[OH]$ and $[NO_x]$ were estimated to be \pm
 13 20% and $\pm 10\%$ respectively. The error in SOA yield was estimated from error propagation
 14 using the sum of the systematic error, correction procedure error and error in BVOC data.



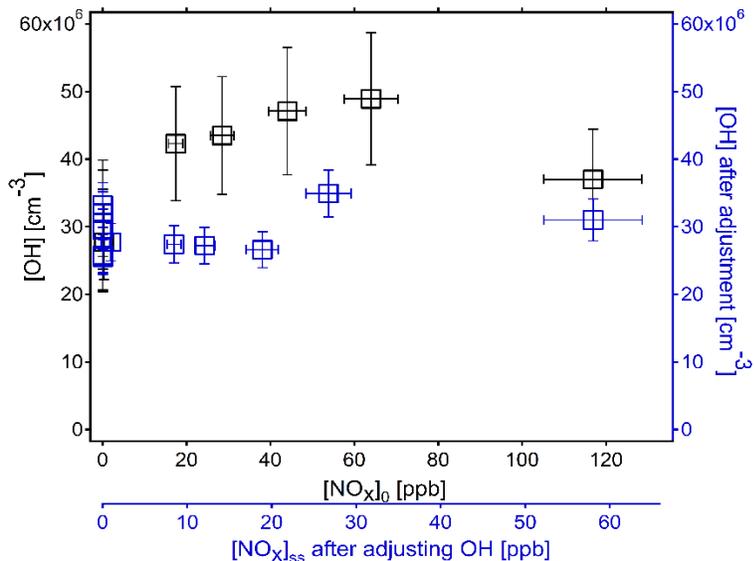
1
 2 **Figure 3.** Total aerosol mass concentration as a function of the amount of reacted β -pinene under
 3 low [OH] (blue open circles) and high [OH] (red open squares) conditions. The SOA yield was
 4 estimated from the aerosol mass linear regression slope as a function of consumed β -pinene
 5 which resulted in approximately $20 \pm 1\%$ and $31 \pm 3\%$ for low and high OH conditions
 6 respectively. The error in [consumed β -pinene] was estimated to be $\pm 10\%$ and the error in
 7 particle mass was estimated from the sum of the systematic error and the correction procedure
 8 error.



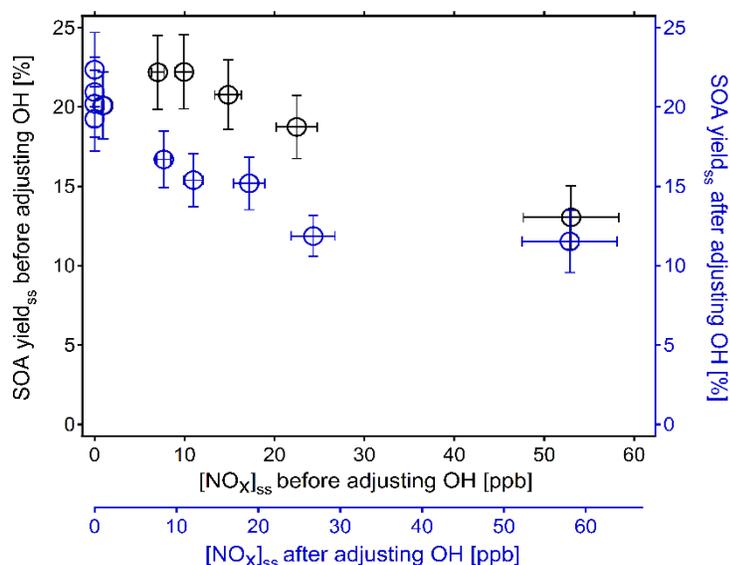
9
 10 **Figure 4.** Comparison of [OH] before (black squares) and after (blue squares) adjusting OH
 11 concentration during steady state in NO_x experiments. The errors in [OH] and [NO_x]
 12 were estimated to be $\pm 20\%$ and $\pm 10\%$ respectively.



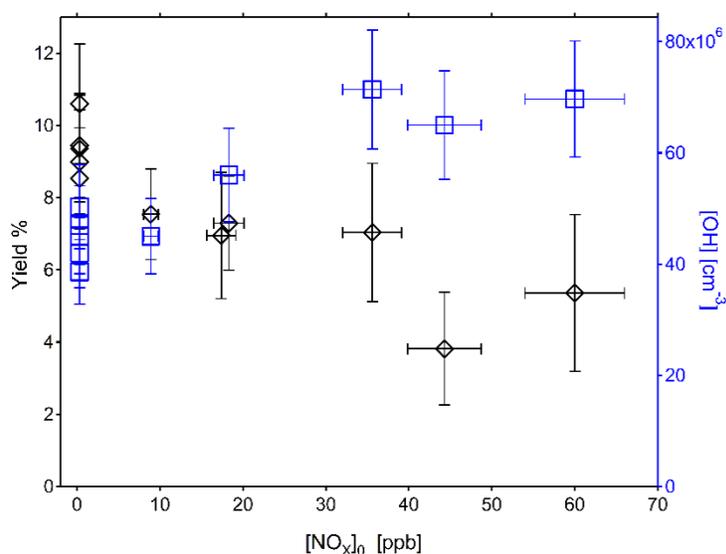
1
 2 **Figure 5.** Comparison of SOA yield before (black circles) and after (blue circles) adjusting OH
 3 concentration during steady state in NO_x experiments. The error in [NO_x] was estimated to be ±
 4 10 % and the error in SOA yield was estimated from error propagation using the sum of the
 5 systematic error, correction procedure error and error in BVOC data.



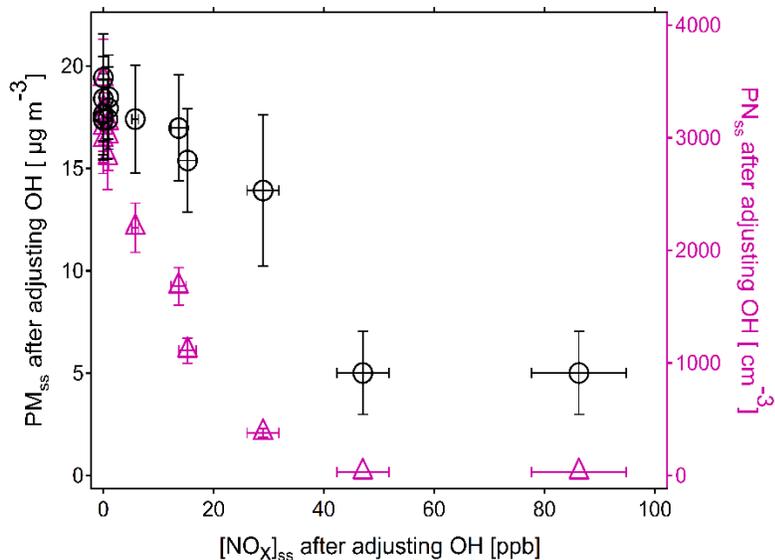
6
 7 **Figure 6.** Comparison of [OH] before (black squares) and after (blue squares) adjusting OH
 8 concentration during steady state in NO_x experiments performed under lower [NO]/[NO₂] ratio.
 9 The errors in [OH] and [NO_x] were estimated to be ± 20 % and ± 10 % respectively.



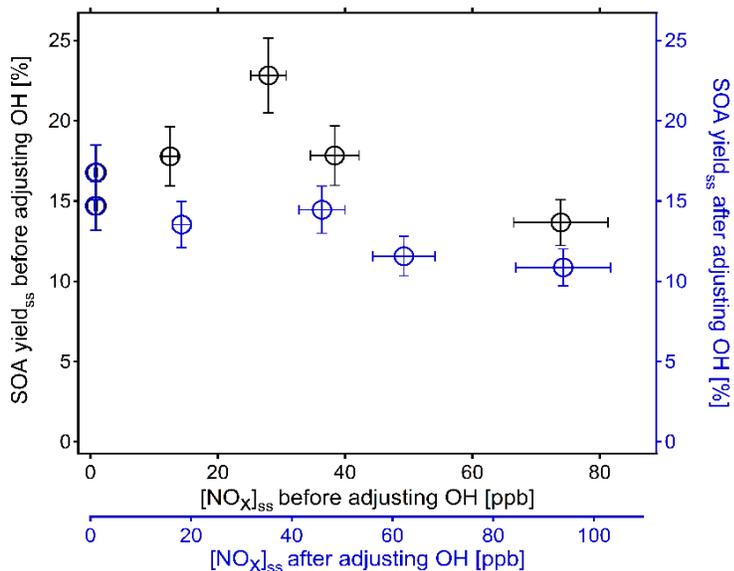
1
 2 **Figure 7.** Comparison of SOA yield before (black circles) and after (blue circles) adjusting OH
 3 concentration during steady state in NO_x experiments performed under lower $[\text{NO}]/[\text{NO}_2]$ ratio.
 4 The error in $[\text{NO}_x]$ was estimated to be $\pm 10\%$ and the error in SOA yield was estimated from
 5 error propagation using the sum of the systematic error, correction procedure error and error in
 6 BVOC data.



7
 8 **Figure 8.** Measured SOA yield (black diamonds) and measured OH concentration (blue squares)
 9 as a function of initial NO_x concentration for α -pinene/ NO_x photooxidation experiments. Note
 10 that, due to the lower α -pinene concentrations, the x-axis is not **equivalent** to the x-axes of Fig. 5
 11 and Fig. 7. In sense of BVOC/ NO_x ratios, the NO_x range scanned here is ~ 3 times higher. The
 12 errors in $[\text{OH}]$ and $[\text{NO}_x]$ were estimated to be $\pm 15\%$ and $\pm 10\%$ respectively. The error in
 13 SOA yield was estimated from error propagation using the sum of the systematic error,
 14 correction procedure error and error in BVOC data.



1
 2 **Figure 9.** Comparison of measured particle mass and particle number concentration after
 3 adjusting [OH] as a function of [NO_x] during steady state in the absence of seed aerosol. The
 4 error in particle number concentration was estimated to be ± 10 %.



5
 6 **Figure 10.** Comparison of SOA yield before (black circles) and after (blue circles) adjusting OH
 7 concentration during steady state in NO_x experiments performed in the presence of seed aerosol.
 8 The error in [NO_x] was estimated to be ± 10 % and the error in SOA yield was estimated from
 9 error propagation using the sum of the systematic error, correction procedure error and error in
 10 BVOC data.