# **1.** Comments from referees

RC1: *Interactive comment on* "Designing global climate and atmospheric chemistry simulations for 1 km and 10, diameter asteroid impacts using the properties of ejecta from the K-Pg impact" by Owen B. Toon et al.

# C. Covey (Referee)

As a (minor) coauthor of the Toon et al. 1997 paper, I'm pleased to see Toon's group return to this subject. Unfortunately I have neither participated nor closely observed progress in this field since 1997, so I'm unable to comment in detail. I do have the general impression that (1) some scenarios are quite robust despite the 65 million years that have passed since the K-Pg impact, e.g. lots of soot, and (2) the authors have done a thorough job laying out the initial conditions for a numerical weather / climate simulation. The initial conditions will serve not only the authors' future work but potentially a large number of groups around the world that can perform such simulations. Hence this manuscript deserves publication in ACP.

# 2. Authors' response

We appreciate the time that the reviewers spent reading our manuscript and providing valuable suggestions for improving the paper.

Reviewer RC1, Curt Covey. Thank you for the comments. It does not appear that changes to the manuscript are needed.

# 1. Comments from referees

RC2: Interactive comment on "Designing global climate and atmospheric chemistry simulations for 1 km and 10, diameter asteroid impacts using the properties of ejecta from the K-Pg impact" by Owen B. Toon et al.

# Anonymous Referee #1

# **1** General comments

The paper contains a comprehensive review of material relevant to study the impact of asteroid strikes on the atmosphere of the Earth. It considers particulates of all scales released or formed during or after the collision including huge amounts of soot from ignited biomass which severely perturbs the radiation budget of the Earth, but also gases like halogens and sulfur perturbing atmospheric chemistry. This includes gases and particles released during a marine impact. The tables provide data to be used for simulations with comprehensive chemistry climate models. First simulutions have been performed by one of the authors using a state of the art model. The paper should be published in ACP after some minor corrections.

# 2 Specific comments

Sometimes the word "atmosphere" can be misleading. I suppose in line 414 troposphere is meant.

The sections 2.4.1.2 and 2.4.1.3 are difficult to understand because references are messed up or not listed. At the beginning of section 2.4.1.3 (line 836) this even leads to a sentence containing nonsense.

In general, some references are cited too often, sometimes words like "they" or "the latter" would be better.

Section 4 might be slightly shortened since it contains too many details discussed earlier. Better give a reference to WACCM at the end.

# **3** Technical corrections

Several citations have to be corrected because "et al" is missing or the paper is not listed or the author misspelled (lines 90, 310, 790, 830, 1085, 1096, 1104, 1213, 1328, 1332, 1335).

Typos in lines 792, 837(?), 879, 948, 1067, 1091, 1332, 1456, Table 5. Insert "their" in line 418 before "Figure". Something is missing in line 265.

I cannot find Hervig et al (2006), line 561, 588, 1698. Should it be Hervig et al (2009)? C2

Gulik et al (2008) is not cited.

Please complete citation in Table 1. Also better say there "<  $1 \mu$ m". Improve caption or first 2 rows of Table 2 concerning units and scale factor. Ambient values or burden?

Table 3, row 2: total amount and column density?

Table 2 and 4: The footnotes concerning CO<sub>2</sub> should be the same.

# 2. Authors' response

We appreciate the time that the reviewers spent reading our manuscript and providing valuable suggestions for improving the paper.

Reviewer RC2, General comments. Thank you for the synopsis. We don't see any corrections that are needed from the general comments.

Reviewer RC2, Specific comments:

# The reviewer's comment is listed and then our response is given in underlined italics.

Sometimes the word "atmosphere" can be misleading. I suppose in line 414 troposphere is meant.

Yes, thank you, troposphere is what we meant.

The sections 2.4.1.2 and 2.4.1.3 are difficult to understand because references are messed up or not listed.

We altered a number of sentences in these sections to add references or clarify the discussion.

At the beginning of section 2.4.1.3 (line 836) this even leads to a sentence containing nonsense.

# We have corrected the line 836 as follows:

The new application of the Pope (2002) approach leads to estimated submicron dust emissions that are about 500 times larger than the one derived by Pope (2002). The major difference is that we have assumed the ratio of quartz to clastics is about 1000, rather than 1 as assumed by Pope (2002).

In general, some references are cited too often, sometimes words like "they" or "the latter" would be better.

We were not able to identify where to make these changes. We would be happy to make additional changes if the reviewer could specify the passages that would benefit from revision.

Section 4 might be slightly shortened since it contains too many details discussed earlier.

We have not changed this section since some readers may read it without looking at the rest of the paper. It was also not clear to us what the reviewer would like to see changed.

Better give a reference to WACCM at the end.

We added:

We recently completed such simulations using the Whole Atmosphere Community Climate Model (WACCM) at the National Center for Atmospheric Research in a configuration similar to that used by Bardeen et al. (2008) and Mills et al. (2014).

# **3** Technical corrections

Several citations have to be corrected because "et al" is missing or the paper is not listed or the author misspelled (lines 90, 310, 790, 830, 1085, 1096, 1104, 1213, 1328, 1332, 1335).

# Thank you for noting these errors. We corrected them.

Typos in lines 792, 837(?), 879, 948, 1067, 1091, 1332, 1456, Table 5. Insert "their" in line 418 before "Figure". Something is missing in line 265.

Thank you for noting these errors. We corrected them.

I cannot find Hervig et al (2006), line 561, 588, 1698. Should it be Hervig et al (2009)? C2

Yes, these should be (2009)

Gulik et al (2008) is not cited.

Thank you. This reference was not needed and has been removed.

Please complete citation in Table 1.

We assume this comment refers to the missing date in Orofino, which has been added.

Also better say there "< 1  $\mu$ m".

 $1\mu m$  is correct, this is a value needed for a rough calculation. We added the following at line 187

Particles smaller than 1µm would lead to a larger optical depth than given in Tables 1 and 3.

Improve caption or first 2 rows of Table 2 concerning units and scale factor. Ambient values or burden?

## We clarified the captions. Ambient burdens.

Table 3, row 2: total amount and column density?

We clarified the captions. Ambient burdens.

Table 2 and 4: The footnotes concerning CO<sub>2</sub> should be the same.

Corrected

In addition to these responses to the Reviewers' comments, we have also added material to the paper to update some numbers based on the work of Wolbach et al. (1990b). These reduce the magnitude of the soot emissions from Wolbach et al. (1988) by about 10% based on adding new data sets. We also slightly revised Table 1, to clarify the amount of soot that should be injected near the tropopause. This information was previously given in the text, but might have been missed by the reader. We clarified what to do if the injection into a model resulted in mixing ratios greater than 1. Finally, we added a few comments about a recent paper by Kaiho et al. (2016) who derived much different soot values than Wolbach et al. (1988, 1990b).

1

# Designing global climate and atmospheric chemistry simulations for 1 km and 10 km diameter asteroid impacts using the properties of ejecta from the K-Pg impact

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10 Abstract. About 66 million years ago an asteroid about 10 km in diameter struck the Yucatan Peninsula creating the Chicxulub crater. The crater has been dated and found to 11 12 be coincident with the Cretaceous-Paleogene (K-Pg) mass extinction event, one of 6 great 13 mass extinctions in the last 600 million years. This event precipitated one of the largest 14 episodes of rapid climate change in Earth history, yet no modern three-dimensional 15 climate calculations have simulated the event. Similarly, while there is an on-going effort 16 to detect asteroids that might hit Earth and to develop methods to stop them, there have 17 been no modern calculations of the sizes of asteroids whose impacts on land would cause 18 devastating effects on Earth. Here we provide the information needed to initialize such 19 calculations for the K-Pg impactor and for a 1 km diameter impactor.

20 There is considerable controversy about the details of the events that followed the 21 Chicxulub impact. We proceed through the data record in the order of confidence that a 22 climatically important material was present in the atmosphere. The climatic importance 23 is roughly proportional to the optical depth of the material. Spherules with diameters of 24 several hundred-microns are found globally in an abundance that would have produced 25 an atmospheric layer with an optical depth around 20, yet their large sizes would only 26 allow them to stay airborne for a few days. They were likely important for triggering global wildfires. Soot, probably from global or near-global wildfires, is found globally in 27 28 an abundance that would have produced an optical depth near 100, which would 29 effectively prevent sunlight from reaching the surface. Nanometer sized iron particles are 30 also present globally. Theory suggests these particles might be remnants of the vaporized 31 asteroid and target that initially remained as vapor rather than condensing on the 32 hundred-micron spherules when they entered the atmosphere. If present in the greatest 33 abundance allowed by theory, their optical depth would have exceeded 1000. Clastics 34 may be present globally, but only the quartz fraction can be quantified since shock 35 features can identify it. However, it is very difficult to determine the total abundance of 36 clastics. We reconcile previous widely disparate estimates and suggest the clastics may 37 have had an optical depth near 100. Sulfur is predicted to originate about equally from 38 the impactor and from the Yucatan surface materials. By mass, sulfur is less than 10

39 percent of the <u>observed</u> mass of the spheres and <u>estimated mass of nano-particles</u>. Since

40 the sulfur probably reacted on the surfaces of the soot, nano-particles, clastics and 41 spheres, it is likely a minor component of the climate forcing; however, detailed studies

spheres, it is likely a minor component of the climate forcing; however, detailed studiesof the conversion of sulfur gases to particles are needed to determine if sulfuric acid

Microsoft Office User 8/11/2016 10:52 PM Deleted: S Microsoft Office User 8/11/2016 10:52 PM Deleted: diameter spherules

Brian Toon 8/27/2016 12:24 PM Deleted: suggested 46 aerosols dominated in late stages of the evolution of the atmospheric debris. Numerous

- 47 gases, including CO<sub>2</sub>, SO<sub>2</sub> (or SO<sub>3</sub>), H<sub>2</sub>O, CO<sub>2</sub>, Cl, Br, and I, were likely injected into the
- 48 upper atmosphere by the impact or the immediate effects of the impact such as fires
- 49 across the planet. Their abundance might have increased relative to current ambient

50 values by a significant fraction for  $CO_2$ , and by factors of 100 to 1000 for the other gases.

51 For the 1 km impactor, nano-particles might have had an optical depth of 1.5 if the

- 52 impact occurred on land. If the impactor struck a densely forested region, soot from the
- 53 forest fires might have had an optical depth of 0.1. Only S and I would be expected to be
- 54 | perturbed significantly relative to ambient gas phase values. <u>One kilometer</u> asteroids
- impacting the ocean may inject seawater into the stratosphere as well as halogens that are dissolved in the seawater.

57 For each of the materials mentioned we provide initial abundances and injection altitudes.

58 For particles we suggest initial size distributions and optical constants. We also suggest

- new observations that could be made to narrow the uncertainties about the particles and
- 60 gases generated by large impacts.
- 61

62 **Keywords** Climate modeling; Initial conditions; Asteroid impacts; K-Pg extinction

63

#### 64 **1. Introduction and definitions**

65 About 66 million years ago an asteroid around 10 km in diameter hit the Earth near the 66 present day Yucatan village of Chicxulub and created an immense crater whose age 67 coincides with the Cretaceous-Paleogene (K-Pg) global mass extinction (Alvarez et al., 68 1980; Schulte et al., 2010; Renne et al., 2013). There is an enormous literature 69 concerning this event and its aftermath. Surprisingly, however, there are very few papers 70 about the changes in climate and atmospheric chemistry caused by the debris from the 71 impact while it was in the atmosphere, and no studies based on modern three-dimensional 72 climate models. Nevertheless, this event was almost certainly one of the largest and most

73 dramatic short-term perturbations to climate and atmospheric chemistry in Earth history.

74 There is substantial evidence for many other impacts in Earth history as large or larger 75 than that at Chicxulub, mostly in the Pre-Cambrian (e.g. Johnson and Melosh, 2012a; 76 Glass and Simonson, 2012). There is also a growing effort to find asteroids smaller than 77 the one that hit Chicxulub, but whose impact might have significant global effects, and to 78 develop techniques to stop any that could hit the Earth. For example, as of November 17, 79 2015 NASA's Near Earth Object Program identifies 13,392 objects whose orbits pass 80 near Earth. Among these objects, 878 have a diameter of about 1 km or larger, and 1640 have been identified as Potentially Hazardous Asteroids, which are asteroids that pass the 81 Earth within about 5% of Earth's distance from the sun, and are larger than about 150 m 82 83 diameter.

There is evidence for such smaller impacts in recent geologic history from craters, osmium variations in sea cores (Paquay et al., 2008), and spherule layers (Johnson and Melosh, 2012a; Glass and Simonson, 2012). For instance, a multi-kilometer object

Melosh, 2012a; Glass and Simonson, 2012). For instance, a multi-kilometer object
 formed the Siberian Popigai crater in the Late Eocene and another multi-kilometer object

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- 90 formed the Late Eocene Chesapeake Bay crater in the United States. Size estimates vary
- 91 between techniques, but within a given technique the Popigai object is generally given a

92 diameter half that of the Chicxulub object. Toon et al. (1997) point out that the 93 environmental effects of impacts scale with the impactor energy, or cube of the diameter.

93 environmental effects of impacts scale with the impactor energy, or cube of the diameter,
94 not diameter (or crater size). The Popigai object likely had about 12% of the energy of

- 95 | the Chicxulub object. Surprisingly, except for collisions in the ocean (Pierazzo <u>et al.</u>
- 96 2010), climate models have not been used to determine the destruction that might be
- 97 caused by objects near 1 km in diameter, a suggested lower limit to the size of an
- 98 impactor that might do significant worldwide damage (e.g. Toon et al., 1997).

99 Here we describe the parameters that are needed to initialize three-dimensional climate 100 and atmospheric chemistry models for the Chicxulub impact and for a 1-km diameter 101 asteroid impact. Nearly every aspect of the K-Pg impact event is uncertain, and 102 controversial. We will address some of these uncertainties and controversies and make 103 recommendations for the initial conditions that seem most appropriate for a climate 104 model, based upon the geological evidence. We will also suggest the properties of the 105 initial impact debris from a 1 km diameter asteroid.

106 There are numerous observed and predicted components of the Chicxulub impact debris. 107 The distal debris layer, defined to be the debris that is more than 4000 km removed from 108 the impact site, is thought to contain material that remained in the atmosphere long 109 enough to be globally distributed. This distal layer, sometimes called the fireball layer or 110 the magic layer, is typically only a few mm thick (Smit, 1999). As discussed below, the 111 layer includes 200  $\mu$ m-sized spherules, 50  $\mu$ m-sized shocked quartz grains, 0.1- $\mu$ m-sized 112 soot and a 20 nm-sized iron-rich material.

113 We discuss each of the components of the distal layer in detail below. In brief, we find 114 the following: The large spherules are not likely to be of importance to the climate 115 because they would have been removed from the atmosphere in only a few days. However, they may have initiated global wildfires. The shocked quartz grains, one of the 116 117 definitive pieces of evidence for an impact origin as opposed to volcanic origin of the 118 debris layer, is likely only a small fraction of the clastic debris. It is difficult to identify 119 the rest of the minerals produced by crushing because there is material in the layer that 120 might have been produced long after the impact by erosion and chemical alteration of the 121 large spheres or from the ambient environment. One major controversy surrounding the 122 clastic material is the fraction that is submicron-sized. Particles larger than a micron will 123 not remain in the atmosphere very long and, therefore, are less likely to affect climate. 124 Unfortunately, the sub-µm portion of the clastics in the distal layer, which might linger in 125 the atmosphere for a year or more, has not been directly measured. Our estimate of the 126 mass of submicron-sized clastics suggest that it could have had a very high large optical 127 depth that would be capable of modifying the climate significantly. Nevertheless, 128 submicron clastics are only of modest climatic importance relative to the light absorbing 129 soot and possibly the iron rich nm-scale debris. Submicron soot is observed in the global 130 distal layer in such quantity that it would have had a very great impact on the climate 131 when it was suspended in the atmosphere. The major controversy surrounding the soot is 132 whether it originated from forest fires, or from hydrocarbons at the impact site. The origin of the soot, however, is of secondary importance with regard to its effect on 133 134 climate. Since the soot layer overlaps the iridium layer in the distal debris it had to have

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been created within a year or two of the impact, based on the removal time of small
particles from the atmosphere (and ocean), and could not have been the result of fires
long after the impact. The fireball layer is often colored red and contains abundant iron.

Some of the iron has been identified as part of a 20 nm-sized particle phase, possibly representing a portion of the recondensed vaporized impactor and target. However, relatively little work has been done on this material. Its abundance has not been measured, but theoretical work suggests its mass could have been comparable to that of the impactor. Therefore, the nm-sized particles could have been of great importance to the climate. Each of the materials just described is present in the distal layer, and their

150 impacts on the atmosphere were likely additive.

151 There are several other possible components of the distal layer that have not been clearly 152 identified and studied as part of the impact debris, which we discuss below. Water, 153 carbon, sulfur, chlorine, bromine, and iodine were likely present in significant quantities 154 in the atmosphere after the impact. The Chicxulub impact occurred in the sea with depths 155 possibly ranging up to 1 km. The target sediments and the asteroid probably also 156 contained significant amounts of water. Water is an important greenhouse gas, and could 157 condense to form rain, which might have removed materials from the stratosphere. 158 Carbon is present in seawater, in many asteroids and in sediments. Injections as carbon 159 dioxide or methane might have led to an increased greenhouse effect. Sulfur is widely 160 distributed in the ambient environment, and is water-soluble. Therefore, it is difficult to 161 identify extraterrestrial sulfur in the debris layer. However, the impact site contains a lot 162 of sulfur, and asteroids also contain significant amounts of sulfur. Sulfur is noteworthy 163 because it is known to produce atmospheric particulates in today's atmosphere that alter 164 the climate. Chlorine, bromine and iodine can destroy ozone, and their effectiveness as

165 catalysts is enhanced by heterogeneous reactions on sulfuric acid aerosols.

166 In addition to the mm-thick distal layer, there is an intermediate region ranging from

167 2,500-4,000 km from the impact site with a debris layer that is several cm thick (Smit, 168 1999). This layer contains microtektites (molten rock deformed by passage through the

169 air), shocked quartz, as well as clastics such as pulverized and shocked carbonates. Most

170 of this layer originated from the target material in the Yucatan. It is of interest because,

171 like the debris clouds from explosive volcanic eruptions, components of this material

172 may have escaped from the region near the impact site to become part of the global debris

173 layer.

174 Properties of each of these materials need to be known in order to model their effects on 175 the climate and atmospheric chemistry realistically. These properties include the altitude

175 of injection, the size of the injected particles, the mass of injected particles or gases, the

density of the particles, and the optical properties of the injected particles and gases. Our

best estimates for these properties for the K-Pg impact are summarized in Table 1 for

particles and Table 2 for gases, and discussed for each material in Section 2. Tables 3

180 and 4 provide an extrapolation of these properties for an impact of a 1 km sized object.

181 While the mass of the injected material is useful as an input parameter to a model, the 182 optical depth of the particles is needed to quantify their impact on the atmospheric 183 radiation field and, therefore, on the climate. Hence, optical depth is a useful quantity to

184 compare the relative importance of the various materials to the climate. For a

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186 monodisperse particle size distribution, the optical depth is given by  $\tau = \frac{3Mq_{ext}}{4\rho r}$ . Here M

is the mass of particles in a column of air (for example, g cm<sup>-2</sup>), r is the radius of the 187 188 particles,  $\rho$  is the density of the material composing the particles, and  $q_{\text{ext}}$  is the optical 189 extinction efficiency at the wavelength of interest. The optical extinction efficiency is a 190 function of the size of the particles relative to the wavelength of light of interest, and of 191 the optical constants of the material. The optical extinction efficiency is computed 192 accurately in climate models. However, a rough value of  $q_{ext}$  for particles larger than 1 193  $\mu$ m, is about 2 for visible wavelength light. We use this rough estimate for  $q_{ext}$  in Table 194 1 and Table 3 to calculate an optical depth for purposes of qualitatively comparing the 195 importance of the various types of injected particles. We assume in the heuristic 196 calculations of optical depth in Tables 1 and 3 that the particles have a radius of  $1\mu m$ 197 because smaller particles will quickly coagulate to a radius near 1  $\mu$ m given the large 198 masses of injected material. Particles smaller than  $1\mu m$  would lead to a larger optical

199 <u>depth than given in Tables 1 and 3.</u>

200 Below we define the properties that are needed to perform climate or atmospheric 201 chemistry simulations for each material that might be important.

202

#### 203 2. Particulate Injections

### 204 2.1 Large spherules

#### 205 2.1.1 Large spherules from the Chicxulub impact

206 The most evident component of the distal and regional debris layers is spherical particles, 207 some of which are large enough to be seen with the naked eye. Due to their spherical 208 shape it is assumed that they are part of the melt debris from the impact or the condensed 209 vapor from the impact (Johnson and Melosh, 2012b; 2014). The particles are not thought 210 to have melted on reentry into the atmosphere since debris launched above the 211 atmosphere by the impact should not reach high enough velocities to melt when it 212 reenters the atmosphere. According to Bohor and Glass (1995) there are two types of 213 spherules, with differing composition and distribution. They identify Type 1 splash-form 214 spherules (tektites or microtektites) that occur in the melt-ejecta (basal or lower) layer of 215 the regional debris layer where it has a two-layered structure. These spherules are found 216 as far from the Chicxulub site as Wyoming, but generally do not extend beyond about 217 4000 km away from Chicxulub. While the type 1 particles are derived from silicic rocks, 218 they are also mixed with sulfur rich carbonates from the upper sediments in the Yucatan. 219 The Type 1 spherules are poor in Ni and Ir, and the lower layer is poor in shocked quartz, 220 consistent with their origin from the lower energy impact ejecta from the crater. 221 Generally, the debris layer within about 4000 km of the crater is almost entirely 222 composed of target material, rather than material from the impactor itself. Type 2 223 spherules, on the other hand, are found in the distal debris layer, and presumably formed 224 primarily from the condensation of rock vapor from the impactor and target (O'Keefe and 225 Ahrens, 1982; Johnson and Melosh, 2012b). There are sub-types of Type 2 spherules 226 that correspond to varying composition of the original source material. Type 2 spherules 227 occur in the upper layer in impact sites near Chicxulub, which merges into the fireball

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- 229 layer at distal sites. The Type 2 spherules are rich in Ni and Ir, while the fireball layer is
- rich in shocked quartz.

232 The formation of the spherical particles may depend on two different processes. Melosh 233 and Vickery (1991) describe one formation mechanism, probably occurring in less 234 heavily shocked portions of the target, when molten material decompresses until it 235 reaches a critical line at which it starts to boil. The gas drag from the rock vapor on the 236 molten rock spheres then tears apart the molten material, just as water droplets break 237 apart when they fall through air. The relative velocities of water drops in air and the melt 238 in vapor are similar, as are the surface tensions. As a result melt droplets are similar in 239 size to drizzle drops in light rain, near 250  $\mu$ m. According to Johnson and Melosh 240 (2012b) these spherical particles are most likely to be found within 4000 km of the 241 impact site, and to be chemically related to the target material, and not to the impactor. 242 Such materials are reported across North America as Type 1 spherules (Bohor et al., 243 1987), sometimes referred to as microtektites. Since these spherules are not global, they

- 244 likely were not as relevant to climate as the Type 2 spherules.
- 245

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246 Melt droplets can also form in heavily shocked parts of the impact debris as rock vapor 247 condenses to form melt in the fireball, which rises thousands of km above the Earth's 248 surface. These melt droplets form the Type 2 spherules. O'Keefe and Ahrens (1982) first 249 modeled this process, and deduced that particles near a few hundred microns in size 250 would form, as is observed. They also pointed out that the size of the spheres would be 251 proportional to the size of the impactor. Johnson and Melosh (2012b) recently 252 reconsidered this process for forming melt particles. They point out that the large 253 spherules contain iridium (e.g., Smit, 1999), which is consistent with them being 254 composed partially of the vaporized impactor. Their model of the formation and 255 distribution of these particles suggests the particles have a size that varies spatially over 256 the plume. Averaging over the simulated plume yields a mean size of 217  $\mu$ m with a 257 standard deviation of about 47  $\mu$ m for a 10 km diameter impactor hitting at 21 km s<sup>-1</sup>. 258 From the two examples given by Johnson and Melosh (2012b) it appears that the standard 259 deviation is consistently 22% of the mean radius for asteroids of different sizes. The 260 initial values for the various properties of Type 2 spherules described above are 261 summarized in Table 1 for the K-Pg impactor.

263 Smit (1999), who refers to the Type 2 spherules in the distal layer as microkrystites, 264 estimated that these particles typically have a diameter near 250  $\mu$ m, and a surface 265 concentration of about 20,000 particles cm<sup>-2</sup> over the Earth. Unfortunately, we are not 266 aware of studies that measure the dispersion of the size distribution, or the spatial variation of the abundance of these particles. We assume that the particles have the 267 268 density of CM2 asteroids, since Cr isotope ratios suggest that is the composition of the K-269 Pg impactor (Trinquier et al., 2006). Assuming this density,  $\sim 2.7$  g cm<sup>-3</sup>, the mass of 270 spherules per unit area of the Earth is about 0.4 g  $cm^{-2}$ , and the initial optical depth is 271 about 20, as noted in Table 1. These spherules compose about half of the mass of the 272 distal layer. We assume the particles were initially distributed uniformly around the 273 globe, with the initial mixing ratio in the atmosphere varying only in altitude. Some 274 theoretical studies, such as Kring and Durda (2002) and Morgan et al. (2013), suggest

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276	that these particles were not uniformly deposited in latitude and longitude, but had	
277	focusing points such as the antipodes of the impact site. Unfortunately, we are not aware	
278	of quantitative data on the global distribution of the spherules. The study by Morgan et	
279	al. (2013) may also be more applicable to the Type I spherules since their numerical	
280	model does not produce vaporized <u>material from the asteroid impact.</u>	Prion Toon 8/2/2016 0:50 AM
281		Deleted: impactor
282	According to the simulations of Goldin and Melosh (2009), the in-falling spherical	Deleted. impactor.
283	particles reached terminal fall velocity <u>near</u> /0km altitude, at which point they begin to	Microsoft Office Lloor 9/11/2016 10:50 DM
284	behave like individual airborne particles. Kalasnikova et al. (2000) investigated	Deleted: et al
285	incoming micrometeorites in the present atmosphere, which generally ablate near 85 km.	Deleted: at ~
286	Kalasnikova et al. (2000) find material entering from space stops in the atmosphere after	
287	it encounters a mass of air approximately equal to its own mass. Therefore, the altitude	
288	distribution is taken to be Gaussian, centered at 70 km and with a half-width of one	
289	atmospheric scale height (about 6.6 km based on the U.S. Standard Atmosphere). A scale	
290	height is chosen as the half width of the injection profile since it is a natural measure of	
291	the density of the atmosphere. Figure 1 illustrates the vertical injection profile of the	
292	spherules (green curve). As discussed below we expect several materials with origins	
293	similar to those of the spherules to be injected in this same altitude range, but others with	
294	origins unrelated to the impact generated plume, such as soot from fires, to be injected at	
295	lower altitudes.	
296	The 70 km injection altitude refers to the level at which the large spherical	
297	particles reached terminal velocity. However, as is evident from the optical depth, many	
298	spherules entered through the same air mass. The column mass of the distal layer is $\sim 1g$	
299	cm <sup>2</sup> so the air pressure needs to about 1 hPa for the air mass above the altitude in	Dian Tana 0/07/0040 40/50 DM
300	question and the particle mass to be comparable. A pressure of 1hPa occurs at about 48	Brian Toon 8/27/2016 12:56 PM
301	km. Therefore, if the entire distal layer mass is placed into a model above 48 km its mass	Tormatted. Superscript
302	mixing ratio will be greater than 1, and the atmosphere will be significantly out of	
303	hydrostatic balance. We are not aware of any simulations of the first few hours after the	
304	impact, but significant turbulence and mixing must have occurred as the atmosphere	
305	adjusted to the large mass imbalance. Model initialization should be checked to	
306	determine if the planned simulations start out of hydrostatic balance. If so, the injection	
307	altitude should be lowered below 70 km.	
308		Brian Toon 8/27/2016 12:54 PM
309	The energy release from the reentry of the large spherical particles into the atmosphere	
310	was likely responsible for setting most of the above ground terrestrial biosphere on fire.	Brian Toon 8/27/2016 1:07 PM
311	However, due to their size, the spherules could not have remained in the atmosphere for	Deleted: se
312	more than a few days. Hence they likely did not have a significant direct impact on the	
313	climate, but fell to Earth like a gentle rain.	
314		
315	2.1.2 Large spherules from a 1 km diameter asteroid impact	
316	Type 1 spherules, melt droplets, will form from impacts by 1 km diameter asteroids, and	
317	produce mm sized particles in the ejecte curtain layer located near the crater (Johnson	

produce mm-sized particles in the ejecta curtain layer located near the crater (Johnson

- and Melosh, 2014). We do not expect an impact by a 1 km diameter asteroid to create a
- global layer of Type 2 spherules (Toon et al., 1996). Like O'Keefe and Ahrens (1982), Johnson and Melosh (2012b) conclude that the particle size will vary in proportion to the

325 impactor diameter and the impactor velocity. For a 1 km diameter impactor hitting the 326 land at 20 km/s they suggest that the mean diameter of the spherical particles will be 327 about 15  $\mu$ m, with somewhat larger sizes as the impact velocity increases to 30 km/s. 328 Table 3 provides our assumed properties of the spherules from a hypothetical 1 km 329 diameter impactor hitting the land. It is likely that spherules would not be distributed 330 over all of the globe for the 1 km diameter impact. Johnson and Melosh (2012a) as well 331 as Glass and Simonson (2012) report a spherule layer associated with the Popagai impact 332 in the late Eocene which Johnson and Bowling (2014) suggest was global in extent. This 333 layer contains spherules similar in size or even larger than those associated with the 334 Chicxulub impact. However, this layer is only about 10% as thick as the distal layer from 335 the Chicxulub impact. A 1 km impactor hitting the deep oceans may not produce a layer 336 of spherules.

#### 338 2.2 Soot

337

#### 339 2.2.1 Soot from the Chicxulub impact

340 Spherical soot (also referred to as black carbon, or elemental carbon) particles were 341 discovered in the boundary layer debris at sites including Denmark, Italy, Spain, Austria, 342 Tunisia, Turkmenistan, the United States and New Zealand, among others by Wolbach et 343 al. (1985; 1988; 1990a, 1990b). Soot was also found in anaerobic deep-sea cores from the 344 mid-Pacific (Wolbach et al., 2003). Soot was apparently lost by oxidation in aerobic 345 deep-water sites in the 66 million years since emplacement. There is debate about 346 whether these particles originated from global wildfires, or from the impact itself 347 (Belcher et al., 2003, 2004, 2005, 2009; Belcher, 2009; Harvey et al., 2008; Robertson et 348 al., 2013a; Pierazzo and Artemieva, 2012; Premovic 2012; Morgan et al., 2013; Kaiho et 349 al., 2016). Robertson et al. (2013), Pierazzo and Artemieva (2012), Premovic' (2012) and Morgan et al. (2013) argue that it is implausible that there was enough carbon at the 350 351 impact site to produce the amount of soot observed by Wolbach et al. (1988). This 352 debate about the origin of the particles does not greatly affect the impact these particles 353 would have had on the climate when they were suspended in the atmosphere. The 354 particles are small and widely distributed. They are numerous and so must have produced 355 a very large optical depth and, being composed of carbon, they would have been 356 excellent absorbers of sunlight. Whether the soot particles originated from global fires 357 and were deposited in the upper troposphere, or they originated at the impact site and 358 were deposited in the mesosphere, the climate effect of the observed soot would have 359 been very great. Some have suggested that the soot resulted from wildfires in dead and 360 dying trees that occurred well after the impact. However, Wolbach et al. (1988; 1990b) show that soot and iridium are tightly correlated and collocated. Indeed, Wolbach et al. 361 362 (1990b) suggest the soot and iridium may have coagulated in the atmosphere. The soot 363 and iridium in the distal layer must have been deposited within a few years of the impact, 364 since small particles will not stay in the air much longer. Therefore, any fires must have been very close in time to the impact, and were likely contemporaneous. 365 366

367	Wolbach et al. (1988) estimated the global mass of <u>elemental carbon (including aciniform</u>
368	soot, charcoal and any unreactive aromatic kerogen) in the debris layer as $7\pm4 \times 10^{4}$ Tg of
369	C or equivalently 13±7, mg C cm <sup>-2</sup> based on data from 5 sites. Wolbach et al. (1990b)

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389	undated these mass determinations to $5.6 \pm 1.5 \times 10^4$ Tg or $11\pm 3$ mg C cm <sup>-2</sup> based on data		Brian Toon 8/2/2016 4:11 F	PM
390	from 11 sites This mass of elemental carbon would require that the bulk of the above	$\leq$	Formatted	[1]
391	ground biomass burned and was partially converted to elemental carbon with an efficiency		Brian Toon 8/2/2016 1:45 F	PM
392	of about 3% assuming the biomass is $1.5 \text{ g C} \text{ cm}^2$ of above ground dry organic mass per	$\langle \rangle$	Formatted	[2]
393	$cm^2$ over the land area of Earth. This biomass density is typical of current tropical forests	$\langle \rangle$	Brian Toon 8/2/2016 3:05 F	PM
394	This inferred 3% emission factor is about 60 times greater than that suggested by	$\langle \rangle$	Deleted: soot	
305	Andress and Marlet (2001) for current wildfires, but surges with laboratory and other		Brian Toon 8/2/2016 3:11 F	PM
396	observations from huming wood under conditions consistent with mass fires (Crutzen et		Deleted: soot	
307	al 1084: Turco et al 1000) Mass fires are more intense than forest fires and consume all		Brian Toon 8/2/2016 3:12 F	PM
200	the fuel available possibly including that in the poor surface soil. Ivery and Salewitch		Deleted: ,	
200	the fuel available, possibly including that in the field surface soli. Ivally and Salawitch $(1002)$ argued independently from according earlier interval and solid $25\%$ of the		Brian Toon 8/2/2016 3:12 F	M
399	(1993) argued independently from oceanic carbon isotope ratios that at least 23% of the		Deleted: which	
400	above ground biomass must have burned at the K-rg boundary.		Microsoft Office User 8/11/	2016 11:30 PM
401	Well-shift $(1000h)$ distinguish second formula of elemental solution. A siniform radius is		Deleted: The high soot elen	nental emi [3]
40Z	woloach et al. (1990b) distinguish several forms of elemental carbon. Aciniform carbon is		Brian Toon 8/2/2016 3:23 F	PM
403	composed of grape-like clusters of 0.01 to 0.1 $\mu$ m spherules. On average, this type of soot		Formatted	[4]
404	is 20.0% of the elemental carbon, yielding a global mass abundance of 1.5x10, 1g of		Brian Toon 8/2/2016 3:29 F	PM
405	aciniform carbon. Charcoal is estimated at 3.3 to 4.1x10 Ig, and unreactive kerogen at 0		Formatted	[5]
406	to 0.8x10 <sup>-1</sup> Ig. Wolbach et al. (2003) discuss a data set from the mid-Pacific that suggests		Brian Toon 8/2/2016 3:29 F	M
407	aciniform soot is 9x10° 1g, and charcoal is also 9x10° 1g. Wolbach et al. directly measure		Formatted	[6]
408	the carbon content of their samples. The aciniform soot to charcoal ratio is determined by	$\backslash$	Brian Toon 8/2/2016 4:34 F	PM
409	using an electron microscope to distinguish small and large particles.		Formatted	[7]
410			Brian Toon 8/2/2016 4:34 F	M
411	There are several uncertainties in determining the amount of soot to use in a model. An		Formatted	[8]
412	<u>upper limit of the amount injected into the stratosphere is 7.1 x10<sup>4</sup> Tg based on the upper</u>		Brian Toon 8/2/2016 4:50 F	PM
413	error bar of the Wolbach et al. (1990b) elemental carbon values. An important assumption		Formatted	[9]
414	in this upper limit is that the larger particles found by Wolbach et al. (1990b), are either		Brian Toon 8/2/2016 4:55 F	PM
415	aggregates of smaller ones, or of the same general size as the aggregates of the smaller ones		Formatted	[10]
416	that occur after coagulation. A lower limit of $1.1 \times 10^4$ Tg is obtained using the lower error	/ /	Microsoft Office User 8/11/	2016 11:02 PM
417	bar of the elemental carbon from Wolbach et al. (1990b), and assuming 26.6% is aciniform		Deleted: 0	
418	soot. Alternatively, one could argue that this lower limit of aciniform soot should be		Brian Toon 8/2/2016 5:03 F	PM
419	injected into the stratosphere, along with 3.3x10 <sup>4</sup> Tg of charcoal using different size		Formatted	[11]
420	distributions. The most likely value of the aciniform soot in the stratosphere is $1.5 \times 10^4$ Tg,		Brian Toon 8/3/2016 10:44	AM
421	and of elemental carbon 5.6x10 <sup>4</sup> Tg. We use these most likely values in Table 1.		Formatted	[12]
422			Brian Toon 8/3/2016 10:44	AM
423	Kaiho et al. (2016) argue that the soot came from burning hydrocarbons in the crater and		Formatted	[13]
424	that the total mass emitted was either $5 \times 10^2$ , $15 \times 10^2$ or $26 \times 10^2$ Tg. If we reduce these		Brian Toon 8/3/2016 10:40	AM
425	values by the author's factor of 2.6 to represent the stratospheric emissions, they are 0.4%,		Formatted	[14]
426	1.0% and 1.7% of the globally distributed elemental carbon reported by Wolbach et al.		Brian Toon 8/3/2016 10:40	AM
427	<u>(1990b).</u>		Formatted	[15]
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429	Kaiho et al. (2016) measured several polycyclic aromatic hydrocarbons (PAHs) that are		Formatted	[16]
430	minor components of soot from one distal site in Caravaca, Spain, and another site at		Microsoft Office User 8/11/	2016 11:02 PM
431	Beloc, Haiti that is about 700 km from the crater. Since the PAHs measured are minor		Deleted: they	
432	constituents of soot Kaiho et al. (2016) need to use a large correction factor to determine		Microsoft Office User 8/11/	2016 11:03 PM
433	the amount of soot. They first multiply by factors of 2, 5.9, or 10 to account for possible		Deleted: re	
434	loss of PAH concentrations over time. They presented no data to justify these factors. They		Microsoft Office User 8/11/	2016 11:03 PM
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they suspect reached the stratosphere. Their overall correction factors were therefore 456 457 17x10<sup>3</sup>, 50x10<sup>3</sup>, and 86x10<sup>3</sup>. Given these large correction factors, and the lack of 458 information about their uncertainty, it is difficult to compare them with the direct determinations done by Wolbach et al. (1990), which do not require any correction factors, 459 460 461 As noted in Table 1, the mass of soot found by Wolbach et al. (1988) would produce an 462 optical depth near 100 if the particles coagulated to spheres with a radius of 1  $\mu$ m while 463 they were in the atmosphere. Toon et al. (1997) pointed out that soot clouds with such a 464 large optical depth would reduce light levels at the Earth's surface effectively to zero. The 465 optical and chemical evolution of the particles once in the atmosphere may be influenced 466 by the presence of liquid organics on the soot particles. Bare soot particles coagulate into 467 chains and sheets, while particles that are coated by liquids may form balls. Chains, 468 sheets, and coated balls have very different optical properties than do spheres (Wolf and 469 Toon, 2010; Ackerman and Toon, 1981; Bond and Bergstrom, 2006; Mikhailov et al., 470 2006). Particulate organic matter can be absorbing, and soot coated with organics can 471 have enhanced absorption relative to soot that is uncoated (Lack et al., 2012; Mikhailov 472 et al., 2006). These fractal shapes, and organic coatings might not be preserved in samples 473 in the distal layer since all the particles have been consolidated in a layer, and even in the 474 current atmosphere the organics have short lifetimes due to rapid oxidation. 475

then multiply by  $3.3 \times 10^3$  citing this as the ratio of their measured PAHs to soot in diesel

soot. No error bars were presented for this factor, and no values were given for the ratio in

biomass soot. The origin of this correction factor is not evident in the cited reference. They

then multiplied by another factor of 2.6 to represent the fraction of their soot estimate that

476 Wolbach et al. (1985) fit the size of the particles they observed, after exposing them to 477 ultrasound to break up agglomerates, to a lognormal size distribution, described by

 $\frac{dN}{d\ln r} = \frac{N_t}{\ln \sigma \sqrt{2\pi}} \exp[-(\ln^2(\frac{r}{r_m})/2\ln^2\sigma)].$ 

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Here r is the particle radius,  $N_t$  is the total number of particles per unit volume of air,  $r_m$  is 481 the mode radius, and  $\sigma$  is the width of the distribution. Wolbach et al. (1985) find  $r_{\rm m}$ = 482 483 0.11  $\mu$ m, and  $\sigma$  = 1.6 for the soot in the K-Pg boundary layer. We assume this 484 distribution represents the initial sizes of the soot particles. The final size, which would 485 be determined by coagulation while in the atmosphere, might not be preserved in the 486 sediments, and loosely bound clumps of particles would have been destroyed by the 487 ultrasound treatment of the samples.

488

489 The size distribution of soot from the K-Pg boundary is similar to that of smoke nearby 490 present day biomass fires as indicated in Fig. 2 (e.g., Matichuk et al., 2008). This 491 similarity in sizes is somewhat surprising because the present day smoke size distribution 492 includes organic carbon, which is present in addition to the elemental carbon (soot). 493 Generally, in wildfire smoke organic carbon has 5-10 times the mass of soot, so one 494 might anticipate that the K-Pg soot would be about half the size of the present day smoke 495 rather than of similar size since the organic coatings are no longer present, or were never 496 present, on the K-Pg soot. The organics might never have been present, because mass

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499 fires are very intense and tend to consume all the available fuel, which might include the 500 organic coatings. Aggregation in the hot fires may have caused this slightly larger than 501 expected size in the K-Pg sediments. Wolbach et al. (1985) suspended their samples in 502 water and subjected them to ultrasound for 15 minutes in a failed attempt to completely 503 break up agglomerates. This failure indicated that the remaining agglomerates might 504 have been flame-welded. Therefore, the K-Pg size distribution from Wolbach et al. 505 (1985) does not represent the monomers in the aggregate soot fractal structures. Rather 506 the K-Pg size distributions represent a combination of monomers and aggregates that may 507 have formed at high temperatures. Possibly the smallest sized particles measured by 508 Wolbach et al. (1985), which have radii of 30-60 nm, represent the soot monomers. 509 These are in the same general range as monomer sizes observed in soot from 510 conventional fires (Bond and Bergstrom, 2006).

511

512 The injection altitude of the soot depends on its source. In a series of papers Belcher et 513 al. (2003; 2004; 2005; 2009) and Belcher (2009) argue from multiple points of view that there were no global forest fires. Harvey et al. (2008) and Kaiho et al. (2016) argue that 514 515 the soot originated from oil, coal and other organic deposits at the location of the impact. 516 If correct, the soot might have been injected at high altitude along with the large 517 spherules. Recently, Robertson et al. (2013a) reconsidered each of the arguments 518 presented by Belcher et al. and came to the conclusion that global wildfires did indeed 519 occur. Pierazzo and Artemieva (2012), Premovic' (2012), Morgan et al. (2013), as well 520 as Robertson et al. (2013a) have independently argued that oil and other biomass in the 521 crater is quantitatively insufficient to be the source of the soot. Therefore, we assume 522 that the soot indeed originated from burning biomass distributed over the globe. The soot 523 is clearly present in the distal layer material, and therefore was once in the atmosphere 524 where it could cause significant changes to the climate.

525

526 Toon et al. (2007) have outlined the altitudes where one expects large mass fires to inject 527 their smoke. Numerical simulations have shown that mass fires larger than about 5 km in 528 diameter have smoke cloud tops well into the stratosphere. The smoke itself is 529 distributed over a range of heights, however. The details of the injection profiles depend 530 on the rate of fuel burning, the size of the fires, and the meteorological conditions among 531 other factors. In addition, some smoke is quickly removed from the atmosphere by 532 precipitation in pyro-cumulus. However, it is thought that over-seeding of the clouds by 533 smoke prevents precipitation, and that only 20% or so of the smoke injected into the 534 upper troposphere is promptly rained out (Toon et al., 2007). Smoke that is injected near 535 the ground, on the other hand, will be removed by rainfall within days of weeks. 536

537 The K-Pg impact occurred at a time when average biomass density likely was higher than 538 now. Following Small and Heikes (1988; their Figure 3f) and Pittock et al. (1989) one 539 would expect smoke from large area fires burning in high biomass density areas to show 540 a bi-modal smoke injection profile. The smoke at higher levels is injected in the pyro-541 cumulus and other regions with strong vertical motions. However, once the fires die-542 down smoke will be emitted in the boundary layer. There are also downdrafts, as well as 543 entrainment and mixing with the environment, that occur in all cumulus and these will 544 carry some smoke into the boundary layer. We simulate this with injections whose Brian Toon 8/3/2016 7:37 PM Deleted: d Brian Toon 8/3/2016 7:37 PM Deleted: , and Brian Toon 8/3/2016 7:37 PM Deleted: d

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549 vertical distributions are Gaussian functions centered at the tropopause and at the surface, 550 as illustrated in Fig. 1. The injection at the tropopause (Eq. 2) has a half width of 3 km, 551 but nothing is injected above about 25 km. We set this upper altitude limit based on the 552 heights of the stratospheric sulfate clouds from explosive volcanic eruptions, which rise buoyantly as do smoke plumes. The Gaussian distribution at the ground (Eq. 3) has a 553 554 half width of 1 km, assuming that the local boundary layer is relatively shallow. We assume 50% of the soot is contained in each of these distributions (Eq. 2, and Eq.3) for 555 556 the general case, and for the 1 km impact. For the K-Pg, we assume the soot observed in 557 the distal layer by Wolbach et al (1988, 1990b) was all in the portion of the Gaussian 558 distribution at the tropopause (Eq. 2).

 $I(g \ s^{-1}km^{-1}) = \frac{I_{T1}}{\eta \sqrt{2\pi}} \left[ e^{\left( -0.5 \left( \frac{z - z_{trop}}{\eta} \right)^2 \right)} \right]$ (2)

 $I(g \, s^{-1} k m^{-1}) = \frac{l_{T2}}{\mu \sqrt{2\pi}} \left[ e^{\left( -0.5 \left( \frac{z}{\mu} \right)^2 \right)} \right]$ (3)

559 Therefore, the injection profiles are given by:

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563 564 Here *I* is the mass emission rate per km of altitude,  $I_{T1}$  and  $I_{T2}$  are the total mass emitted 565 per second into the upper (Eq. 2) or lower (Eq. 3) altitude range after correcting for the 566 emission altitude range (0-25 km) and grid spacing,  $\mu$  is 1 km,  $\eta$  is 3 km, and  $z_{trop}$  is the 567 altitude of the tropopause.

Geographically, we assume for the K-Pg event that all the surface biomass is set on fire.
For the 1 km diameter impact, however, only the region near the impact site would burn as discussed further below.

573 There is also an issue of how long it takes to inject the smoke. Forest fires often burn for 574 days, advancing along a fire front as winds blow embers far beyond the flames and onto 575 unburned terrain. Mass fires may not spread because powerful converging winds restrict 576 the spread. However, little is known observationally about mass fires, and fires can 577 spread by intense infrared radiation lighting adjacent material. If mass fires are restricted 578 then they will burn only as long as they have fuel. The present above ground global 579 biomass in tropical forests is in the range of 0.6-1.2 g C cm<sup>-2</sup> (Houghton, 2005). The energy content of biomass is on the order of  $3x10^4$  J/g C or, given the biomass 580 concentration just mentioned, about 3x10<sup>8</sup> J m<sup>-2</sup>. Penner et al. (1986) and Small and 581 582 Heikes (1988) found that large area mass fires with energy release rates of 0.1 MW m<sup>-2</sup> 583 would have plumes reaching the lower stratosphere. Hence, it would be necessary to 584 assume that the fuel burned in an hour or so to achieve these energy releases. Of course, 585 it might take some time for fires in different places to start fully burning, so considering 586 the entire region of the mass fire, as opposed to a small individual part of the fires, might 587 prolong the energy release considerably. For example, it took several hours for the mass 588 fire in Hiroshima to develop after the explosion of the atom bomb (Toon et al., 2007)

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601 It should be noted that in simulations of stratospheric injections of soot from nuclear 602 conflicts, soot is self-lofted by sunlight heating the smoke (Robock et al., 2007b). 603 However, in the case of the K-Pg impact, if there are other types of particles injected 604 above the soot, which then block sunlight, the soot may not be self-lofted, which will 605 limit its lifetime. The initial soot distribution that is estimated here does not include the 606 effects of self-lofting, which would continue after the initial injection and should be part 607 of the climate simulation.

608

609 The final property to specify for soot is the optical constants. This issue is complicated 610 by the possible presence of organic material on the soot (Lack et al., 2012). However, it 611 is known that many of these organics are quickly oxidized by ozone, which is plentiful in 612 the ambient stratosphere. The stratosphere after the impact however, may have become depleted in ozone very quickly, so that the organic coatings might have survived. It is 613 also possible that intense fires, such as mass fires, will consume the organic coatings, 614 615 which may explain why the production of soot in the fires seems to have been so much 616 more efficient than for normal fires. It may therefore be sufficient to treat the soot as 617 fractal agglomerates of elemental carbon (Bond and Bergstrom, 2006). It is known that 618 the optical properties of the agglomerates will not obey Mie theory. However, one may 619 treat their optical properties as well as their microphysical properties using the fractal 620 optics approach described by Wolf and Toon (2010). The optical constants for elemental 621 carbon may then be used for the monomers. Alternatively, one may add the organic mass 622 to the particles, and treat them using core-shell theory (Toon and Ackerman, 1981; 623 Mikhailov et al., 2006).

624

Bond and Bergstrom (2006) have thoroughly reviewed the literature on the optical properties of elemental carbon. They conclude that the optical constants are most likely independent of wavelength across the visible, with a value that depends on the bulk density of the particles. Following their range of values for refractive index versus particle density we suggest using a wavelength independent real index of refraction n=1.80 and an imaginary index k=0.67. We also use these values in the infrared as

shown in Figure 3. For the monomers in Tables 1 and 3, we adopt the density suggested
by Bond and Bergstrom (2006) for light absorbing material, 1.8 g cm<sup>-3</sup>.

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#### 634 2.2.2 Soot from a 1 km impact

635 Extrapolations of the soot injection parameters to smaller impactors than the one defining 636 the K-Pg boundary should only involve changes to the mass of soot injected, since the 637 basic properties of the soot at the K-Pg boundary are similar to those of forest fire soot. 638 Therefore, the particle sizes, injection heights, and optical constants recommended in 639 Table 3 for the smaller impact are the same as listed in Table 1 for the Chicxulub impact. 640 The mass of soot injected is estimated from the extrapolations in Toon et al. (1997). For 641 an impactor as small as 1 km diameter, debris from the impact site would not provide 642 sufficient energy to ignite the global biota since the energy of the 1 km impactor is about 643 1000 times less than that of the Chicxulub impactor. Instead, radiation from the ablation 644 of the incoming object and from the rising fireball at the impact site would ignite material 645 that is within visible range of the entering object and the fireball. This ignition

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- 647 mechanism is well understood from nuclear weapons tests (Turco et al., 1990). Hence, 648 for a 1 km diameter impactor the fuel load at the site of the impact becomes critical to 649 evaluate the soot release. No soot would be produced from an impact in the ocean, an ice 650 sheet, or a desert. In Table 3 to compute the smoke emitted (28 Tg), we use equation 12 from Toon et al. (1997) to obtain an area of 4.1x10<sup>4</sup> km<sup>2</sup> for the expected area exposed to 651 high thermal radiation density from the fireball for a 1 km diameter impactor with an 652 653 assumed energy of  $6.8 \times 10^4$  Mt. We then multiply that area by 3% (the fraction of C in the 654 burned fuel that is converted to smoke) and by 2.25 g C cm<sup>-2</sup>, (the assumed carbon 655 content per unit area of the dry biomass that burns). The user of Table 3 can choose 656 alternate values of the injected soot by scaling linearly to the biomass concentration they 657 chose.
- 659 Ivany and Salawitch (1993) suggest that the land average, above ground biomass was about  $1 \times 10^{18}$  g (about 0.7 g C cm<sup>-2</sup>) at the end of the Cretaceous. The current land average, above 660 ground biomass is about 0.3 to 0.44 g C cm<sup>-2</sup> (Ciais et al., 2013). An additional 1 to 1.6 g C 661  $cm^{-2}$  is currently present in the soil, while Ivany and Salawitch suggest 1 g C cm<sup>-2</sup> in the soil 662 in the Cretaceous. Some of the soil biomass may burn in a mass fire. Tropical and boreal 663 664 forests currently have average biomass concentrations (above ground and in soil) of about 665 2.4 g C cm<sup>-2</sup>, while temperate forests have about 1.6 g C cm<sup>-2</sup> including soil carbon (Pan et al., 2011). Soil carbon is 30% of carbon in tropical forests and 60% in boreal forests. 666 Together tropical and boreal forests cover 6% of the Earth's surface, and temperate forests 667 668 1.5%. These forests cover 26% of Earth's land area. In Table 3 we assume that the 669 biomass that burns is typical of a tropical or boreal forest assuming the soil carbon burns. 670 The reader can make other choices for the biomass by scaling from the fuel load that the 671 reader prefers.
- 672

658

673 Another modeling issue of concern is the ability of models to follow the initial evolution 674 of the plume. If we assume that half of the 28 Mt of smoke from the 1 km impact is 675 injected over an area of  $4x10^4$  km<sup>2</sup>, and over a depth of 6 km near the tropopause (Eq. 2) 676 as 0.1  $\mu$ m radius smoke particles, the smoke will have an initial optical depth near 4000, 677 and the number density of particles will be about  $10^7 \text{ cm}^{-3}$ . (The other half of the smoke 678 mass injected near the ground (Eq. 3) will likely be removed quickly and have little 679 impact on climate). Intense solar heating at the top of the smoke cloud near the tropopause will loft it, while coagulation will reduce the number of particles by a factor 680 681 of 2 and increase their size proportionately in only one minute. Hence, one needs to 682 model this evolution on sub-minute time scales to accurately follow the initial evolution. 683 Alternatively, but less accurately, one might spread out the injection in time and space, so 684 that the climate model can track the evolving smoke cloud using typical model time 685 steps.

686

#### 687 2.3 Nano-particles from vaporized impactors

#### 688 2.3.1 Nano-particles from the vaporized material following the Chicxulub impact

689 Johnston and Melosh (2012b) find at the end of their simulations of the rising fireball that

690 about 44% of the rock vapor that was created from the K-Pg asteroid impact remained as 691

vapor rather than condensing to form large spherules. This vapor is about an equal

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- 696 mixture of impactor and asteroid, so the 44% mass fraction is approximately equal to the
- 697 mass of the impactor. This 44% vapor fraction depends on the pressures reached in the
- 698 impact, the equation of state of the materials, as well as the detailed evolution of the
- debris in the fireball. The fate of this vapor phase material is not well understood, and has

700 been little studied. It may simply have condensed on the spherules, or it may have

701 remained as vapor.

702 Presently, 100  $\mu$ m and larger sized micro-meteoroids ablate to vapor in the upper 703 atmosphere. Hunten et al. (1980), following earlier suggestions, modeled the 704 condensation of these rock vapors as they form nm-sized particles in the mesosphere and 705 stratosphere. Bardeen et al. (2008) produced modern models of their distribution based 706 on injection calculations from Kalashnikova et al. (2000). Hervig et al. (2009) and Neely 707 et al. (2011) showed that these tiny particles are observed as they deposit about 40 tons of 708 very fine-grained material on Earth's surface per day. It is possible that a similar process 709 occurred after the Chicxulub impact. However, in the Chicxulub case the vaporization 710 occurred during the initial asteroid impact at Chicxulub rather than on reentry of the 711 material after the fireball rose thousands of km into space and dispersed over the globe.

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The presence of 15-25 nm diameter, iron-rich material has been recognized in the fireball layer at a variety of sites by Wdowiak et al. (2001), Verma et al. (2002), Bhandari et al. (2002), Ferrow et al. (2011) and Vajda et al. (2015) among others. The nano-phase iron correlates with iridium, is found worldwide, and therefore is likely a product of the impact process. Unfortunately, these authors have not quantified the amount of this material that is present. Berndt et al. (2011) were able to perform very high-resolution

718 chemical analyses, and also report a component of the platinum group elements that 719 arrived later than the bulk of the ejecta, and was probably the result of submicron sized

- particles. However, they were not able to size the particles, nor quantify their abundance.
- In Table 1 we take the <u>upper limit of the injected</u> mass of nano-particles to be  $2 \ge 10^{18}$  g. 721 722 The lower limit is zero. This choice for the upper limit is consistent with the vapor mass 723 Jeft at the end of the simulations by Johnston and Melosh (2012b). We assume an initial 724 diameter of 20 nm, following Wdowiak et al. (2001). We assume the particles are 725 initially injected over the same altitude range as the Type 2 spherules, because we 726 speculate that the small particles would not separate from the bulk of the ejecta in the 727 fireball until the ejecta entered the atmosphere and reached terminal velocity. The mass 728 injected would lead to an optical depth of particles larger than 1000 even if they coagulated into the 1  $\mu$ m size range. Goldin and Melosh (2009) point out that such an 729 730 optically thick layer of small particles left behind by the falling large spheres might also 731 be important for determining whether the infrared radiation from the atmosphere heated 732 by the Type 2 spherules is sufficient to start large-scale fires.

733 The optical properties of the nano-particles are not known. We suggest using the optical

properties of the small, vaporized particles currently entering the atmosphere from Hervig

et al. (2009). These optical constants are plotted in Figure 3. We also assume that the

particles have the density of CM2 asteroids, since Cr isotope ratios suggest that is the

composition of the K-Pg impactor (Trinquier et al., 2006). This density is 2.7 g cm<sup>3</sup>. A
 significant fraction of the vaporized material may be from the impact site, so using an

739 asteroidal composition to determine the density is an approximation.

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#### 745

#### 746 2.3.2 Nano-particles from the vaporized material from a 1 km impact

747 Johnson and Melosh (2012b) did not comment on the amount of vapor that would be 748 expected to not condense as spherules from a 1 km diameter impact. From the theory of 749 impacts, it is expected that an amount of impactor plus target that is about twice the mass 750 of the impactor would be converted into vapor from a 1 km diameter impact, just as it is 751 for a 10 km diameter impact. In Table 3 we assume that as an upper limit 35% of the 752 impactor mass plus an equivalent amount of target material, would be left as vapor after 753 spherules form. We chose this mass fraction, which is lower than that for the K-Pg object, 754 because the 1 km impact will have a smaller fireball, and be more confined by the 755 atmosphere. We also assume the injected particles will have a diameter of 20 nm. From 756 simple energy balance along a ballistic trajectory we would expect that the vaporized 757 ejecta in the fireball from a 1 km impact would rise about a thousand km above the 758 Earth's surface. This altitude is consistent with limited numerical calculations for large 759 energy releases, which indicate that the vertical velocity of the fireball is not significantly 760 reduced in passing through the atmosphere (Jones and Kodis, 1982). As the material 761 reenters the atmosphere, the particles will come to rest when they encounter an 762 atmospheric mass comparable to their own mass. Hence it is likely that the altitude 763 distribution of the nano-particles from the 1km impact will be the same as we have 764 assumed for the K-Pg impactor in Table 1, which is also similar to, but slightly lower in 765 altitude than the vertical distribution of micrometeorites on present day Earth as 766 discussed by Bardeen et al. (2008). It is difficult to determine precisely the area that will 767 be covered by this material as it reenters the atmosphere. If we assume that it takes about 768 30 min for the debris to reach peak altitude and return to the Earth, and that the plume is 769 spreading horizontally at about 4 km/s then the debris would enter the atmosphere over 770 an area of about half that of the Earth. These estimates of area covered are consistent 771 with the observations of the SL-9 impact collisions with Jupiter, and the plume from the 772 much less energetic impact at Tunguska, though these are not perfect analogs (Boslough 773 and Crawford, 1997). The optical depth of the nano-particles from the 1 km diameter 774 impact averaged over the Earth is estimated for comparison with the estimates of other 775 types of particles to be relatively large, 1.5, as noted in Table 3.

#### 776

#### 777 2.4 Submicron clastics

#### 778 2.4.1 Submicron clastics from the Chicxulub impact

779 Another clear component of the K-Pg debris layer is pulverized target material. This 780 clastic material was first recognized from shocked quartz grains (Bohor, 1990), but there 781 are also shocked carbonate particles from the Yucatan Peninsula in the K-Pg boundary 782 layer material (Yancy and Guillemette, 2008; Schulte et al., 2008). Because of chemical 783 alteration of much of this material in the past 65 million years it is difficult to determine 784 the mass and size distribution directly except for the shocked quartz, which is readily 785 identified. The shocked quartz grains generally are large and would not have remained 786 long in the atmosphere. However, the shocked quartz is probably not directly related to 787 the bulk of the clastics. For instance, within 4000 km of Chicxulub the shocked quartz is 788 primarily in the few mm thick fireball layer, which is distinct from the several cm or Brian Toon 8/2/2016 11:05 AM **Deleted:** about

- 790 thicker ejecta layer that is dominated by clastics. The shocked quartz likely came from
- 791 basement rock, reached higher shock pressures than the bulk of the pulverized ejecta and 792 therefore was distributed globally in the impact fireball along with the melted and 793 vaporized material from the target and impactor. The other pulverized material, in 794 contrast, came mainly from the upper portions of the target along with basement rocks 795 toward the exterior of the crater, and the fragments were distributed locally (within about 796 4000 km of Chicxulub) in the impact ejecta debris.
- 797

The submicron fraction of the clastics is of interest because particles of such size might remain in the atmosphere for months or years and perturb the climate, unlike larger particles that would be removed quickly by sedimentation. For instance, Pueschel et al. (1994) found 3-8 months after the 1991 eruption of Mt. Pinatubo in the Philippines that volcanic dust particles with a mean diameter near 1.5  $\mu$ m were optically important in the

803 lower stratosphere in the Arctic.

804 The optical constants for the injected clastics are suggested from their composition. For 805 the Chicxulub impact the clastic material is largely carbonate evaporates. We suggest 806 using the optical constants of limestone from Orofino et al. (1998). Unfortunately, the 807 values need to be generated from a table of oscillator strengths. They also need to be 808 interpolated into the visible wavelength range. We suggest extending the oscillator 809 predictions into the visible range as done by Querry et al. (1978). The density of 810 limestone is in the range of 2.1-2.6 g cm<sup>-3</sup>, while dolomite and anhydrite have densities 811 near 2.9 g cm<sup>-3</sup>. Granite has a density near 2.6-2.8. While each of these materials 812 contribute to the clastic debris, for convenience we assume the pulverized ejecta have a

813 density of 2.7 g cm<sup>-3</sup>.

814 Pope (2002) and Toon et al. (1997) used two different methods to determine the amount 815 of the submicron-clastic material from the Chicxulub impact. Unfortunately, these 816 estimates disagree by about 4 orders of magnitude, as indicated in Table 5, third row, 817 columns 1 and 2. Toon et al. (1997) used arguments based mainly on impact models, to 818 estimate that more than 10% of the mass of the distal layer (>  $7x10^{17}g$ ) is submicron 819 diameter clastics, which would be significant to climate. Pope (2002) estimated that the 820 clastics in the distal layer have a mass that is  $< 10^{14}$ g. Pope (2002) used data on shocked 821 quartz to constrain the amount of clastics, which in principle is a better approach than 822 using estimates based on a model as in Toon et al. (1997). The amount of clastics of all 823 sizes in the Pope (2002) model (10<sup>16</sup>g) is only 12-30 times larger than the clastics of all 824 sizes emitted in the relatively small 1980 Mt. St. Helens eruption. Therefore, based on 825 Pope's (2002) analysis, the submicron fraction would not be of significance to climate. 826 Below we attempt to reconcile these two approaches to better determine the amount of 827 submicron clastics.

#### 828 **2.4.1.1** Potential errors in the Toon et al. (1997) estimate of submicron clastics

Toon et al. (1997) estimated the amount of submicron clastics starting from analytical
models of the mass of material injected into the atmosphere by a 45-degree impact. They

estimated the mass of melt + vapor per megaton of impact energy ( $\sim 0.2 \text{ Tg/Mt}$ ) and the

832 mass of pulverized material per megaton of impact energy (about 4.5 Tg/Mt). Assuming

833 a  $1.5 \times 10^8$  Mt impact, these formulae suggest a melt + vapor amount of  $3 \times 10^{19}$ g (~1 $\times 10^4$ 

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- km<sup>3</sup>, assuming a density of 2.7 g cm<sup>-3</sup>) and a pulverized amount of 7x10<sup>20</sup>g (~2.5x10<sup>5</sup> 834
- 835  $km^3$ ). While sophisticated impact calculations generally agree with the amount of melt +
- 836 vapor, not all of it is found to reach high enough velocity to be ejected from the crater. 837
- For example, Artemieva and Morgan (2009) investigated a number of impact scenarios 838 that created transient craters with diameters of 90-100 km, which they thought to be
- 839 consistent with the transient diameter of the Chicxulub crater. Considering those cases
- 840 with oblique impacts from 30-45 degrees with energies of  $1.5-2 \times 10^8$  Mt, they found that
- 841 the melt was in the range 2.6x10<sup>4</sup> to 3.8x10<sup>4</sup> km<sup>3</sup>. However, the amount that reached high
- 842 enough speed to be ejected from the crater was in the range  $5x10^3$  to  $6x10^3$  km<sup>3</sup> (average
- 843 5.6x10<sup>3</sup> km<sup>3</sup>, 1.4x10<sup>19</sup>g, about 2-10 impactor masses). On average, only about twenty
- 844 percent of the melt and vapor amount escapes from the crater. Therefore, Toon et al.
- 845 (1997) may have overestimated the amount of melt escaping from the crater by about a 846 factor of 2. It should be noted that in Artemieva and Morgan (2009) the melt exceeds the
- 847 mass of the distal layer, which is about  $4 \times 10^{18}$  g, by about a factor of 5, because much of
- 848 the melt is deposited as part of the ejecta curtain and never reaches the distal region.
- 849 Artemieva and Morgan (2009) find that the total mass ejected from the crater is  $1.3 \times 10^4$ 850  $km^3$  (2.9x10<sup>19</sup> g). Assuming that 90% of this material is pulverized rock their results 851 imply that Toon et al. (2007) overestimated the amount of clastic debris ejected from the

crater by a factor of about 25. In column 3 of Table 5 we correct the amount of 852

- 853 pulverized material to agree with the Artemieva and Morgan (2009) value of  $2.9 \times 10^{19}$  g
- 854 of clastics escaping the crater. It is interesting to note that the clastic mass from
- 855 Chicxulub is only a factor of about 10 larger than the minimal estimated mass of clastics 856 ejected in the Toba volcanic eruption about 70,000 years ago (Matthews et al., 2012).
- 857 Another issue is the fraction of the pulverized debris that is submicron. Toon et al. (1997)
- 858 computed the amount of pulverized debris whose diameter is smaller than 1 um from size
- 859 distributions measured in nuclear debris clouds originating from nuclear tests that were 860
- many orders of magnitude lower in energy than the K-Pg impact, and from impact crater
- 861 studies cited by O'Keefe and Ahrens (1982) based on grain size measurements from 862 craters. Toon et al. (1997) assume that 0.1% of the total clastic material would be
- 863 submicron. Pope (2002) cited studies of volcanic clouds to conclude that 1% by mass of
- 864 the pulverized material would be submicron.
- 865 Rose and Durant (2009) examined the Total Grain Size Distribution (TGSD) from a 866 number of volcanic eruptions and concluded that the amount of fine ash is related to 867 increasing explosivity of the event. The TGSD is supposed to represent the size 868 distribution as the clastics left the crater. Mt. St. Helens is the most likely of the volcanic 869 eruptions they considered to be relevant to the extreme energy release in a large impact. About 2% of the total ejecta from Mt. St. Helens had a diameter smaller than  $1\mu$ m. Since 870 871 the erupted mass was about  $3-8 \times 10^{14}$  g, the submicron mass emitted by Mt. St. Helens was about 6-16x10<sup>12</sup>g. Matthews et al. (2012) considered the Toba eruption, whose 872 873 clastics are within an order of magnitude of those from Chicxulub. Their data shows that 874 1-2% of the mass of the clastics is in particles smaller than 1  $\mu$ m and 2-6% in clastics 875 smaller than  $2.5 \,\mu m$ .
- 876 In Table 5 we use 2% of the pulverized material as a revised estimate for the fraction of 877 the clastic material that is released as submicron ejecta. This fraction is a factor of 20 878 larger than the one used in Toon et al. (1997). Hence our revised submicron mass
  - 18

- 879 estimate for the Chicxulub impact (column 3 row 3) is very similar to the one Toon et al.
- 880 (2007) estimated (column 2 row 3) because, although we lowered the estimate of the 881 clastic mass exiting the crater to agree with Artemieva and Morgan (2009), we increased
- the estimate of the fraction that is submicron.

883 A confounding issue is the amount of submicron and other clastics that escapes from the 884 near crater region and is distributed globally. A large fraction of the pulverized debris in 885 the ejecta curtain was removed within 4000 km of the impact crater (Bohor and Glass, 886 1995), and volcanic ejecta is likewise largely removed near the volcanic caldera. For 887 example, there is 4-8 cm of ash 3000 km from the Toba crater, which is not too different 888 from the thickness of the Chicxulub deposits at a similar distance from the crater. If the 889 removal occurred only by individual particle sedimentation, one could simply take the 890 mass in the smaller ranges of the size distribution and assume it spread to the rest of the 891 globe. However, it is clear from volcanic eruption data that a significant fraction of the 892 submicron debris is removed near the volcano by processes other than direct 893 sedimentation (Durant et al, 2009; Rose and Durant, 2009). These processes include 894 rainout of material from water that condenses in the volcanic plume, and also 895 agglomeration possibly enhanced by electrical charges on the particles. It is likewise 896 clear that such localized removal occurred after the K-Pg impact. Yancy and Guillemette 897 (2008) describe accretionary particles that make up a large fraction of the debris layer as 898 far as 2500 km from the Chicxulub crater. These agglomerated particles, which range in 899 size from tens to hundreds of  $\mu$ m, are composed mainly of particles with a radius of 1-4 900  $\mu$ m. While largely composed of carbonate, the particles are enriched in sulfur.

901 One can use the size distributions from volcanic data, along with the total clastic mass 902 ejected from Chicxulub to compute the particle agglomeration, and thereby follow the 903 particles as they spread across the Earth. Such work is now being done for volcanic 904 events, for example by Folch et al. (2010). They find that they can successfully reproduce 905 mass deposited on the surface from the Mt. St. Helens eruption by including 906 agglomeration. However, such calculations for Chicxulub are difficult for several 907 reasons: the large clastic masses involved exceed the mass of the atmosphere for a 908 considerable distance from the crater, so the debris flows cannot be reproduced in 909 standard climate models; the complexity of the distribution of material in the plume with 910 some material reaching escape velocity and other parts being hurled over a substantial 911 fraction of the planet make it difficult to determine the spatial distribution of the material, 912 and some material is likely lofted well above the tops of most climate models; and the 913 presence of clastics, melt and rock vapor together with sulfur and water produces a 914 chemically complex plume.

915 Eventually it will be necessary to use detailed non-hydrostatic, multiphase plume models 916 including agglomeration to better understand the distribution of Chicxulub ejecta. In the 917 meantime for climate modeling we suggest placing the clastic mass in Table 5  $(2.9 \times 10^{19})$ 918 g) in a circular area with radius of 4000 km, which is 22.4% of the area of Earth. This 919 will result in a column density of 25 g cm<sup>-2</sup>, or a layer thickness of about 10 cm. The mass density of the atmosphere is about 1000 g cm<sup>-2</sup>, so this is about a 2.5% perturbation 920 921 to the mass of the atmosphere. In reality the mass is concentrated near the crater as 922 shown by Hildebrand (1993). However, the observed mass density is relatively constant

923 between 1000 and 4000 km. The initial vertical distribution of this material may be very

924 complex due to density flows within several hundred km of the crater. We suggest

925 initializing models assuming an injection with an altitude independent mass mixing ratio 926 of about 2.5%. Given our suggested vertical distribution 90% of the material will 927 initially lie in the troposphere. Tropospheric material is unlikely to become globally

927 Initially he in the doposphere. Troposphere material is unifierly to become globally 928 distributed even if it escapes agglomeration, because it will quickly be removed by

929 rainfall.

930 As an alternative to the complexity of modeling the loss of this material in the 931 troposphere and considering the entire size distribution, we suggest simply placing an 932 appropriate mass into the stratosphere. The values for a stratospheric injection are given 933 in the bottom row of Table 5 and the first row of Table 1. For illustration, we have 934 estimated the final optical depth assuming that 10% of the submicron material (the 935 amount placed into the stratosphere) will escape removal. For a size distribution we 936 suggest using the smaller size mode measured in the stratosphere after the Mt. St. Helens 937 eruption as summarized by Turco et al. (1983). This size distribution is log-normal (Eq. 938 1), with a mode radius of 0.5  $\mu$ m and a standard deviation of 1.65. The estimated optical 939 depth of 88 is very large, even though the submicron clastic material in this estimate is 940 only about 1% of the mass of the distal layer.

941

### 942 **2.4.1.2** Potential errors in the Pope (2002) estimate of submicron clastics

943 Pope (2002) determined the amount of clastics by modeling the amount of quartz in the 944 distal layer. He found that he needed an initial injection of about  $5X10^{15}$  g of quartz to 945 match the distribution of quartz mass with distance from the impact site. It is not clear 946 how good this estimate is because the removal rate of material in large volcanic clouds, a 947 possible impact analog, does not occur by individual particle sedimentation, but rather by 948 settling of agglomerates (Folch et al. 2010). Hence removal in the region near the impact site may have been larger than Pope estimated, requiring a larger volume of quartz; or the 949 950 removal of clastics may be different than that of quartz. The value in Artemieva and 951 Morgan (2009) for the pulverized material ejected from the crater is 3 orders of 952 magnitude larger than the estimate of Pope (2002). Most of this material is in the ejecta 953 curtain, not in the impact fireball, and so is deposited close the impact crater. The 954 shocked quartz is primarily associated with the impact fireball, so the bulk of the 955 pulverized material may not be seen in Pope's analysis.

956 Pope assumed that quartz composed 50% of all the clastic debris, so that all of the 957 clastics injected weighed about  $10^{16}$ g. This number is about two orders of magnitude less 958 than the clastics from the Toba eruption (Matthews et al., 2012), and more than 3 orders 959 of magnitude less than the Artemieva and Morgan (2009) estimate for clastics from the 960 Chicxulub impact.

961The assumption by Pope (2002) that quartz is 50% of all the clastics is likely in error.962There is no reason to think there is much quartz in the upper layers of sediment at the963Chicxulub site. In the stratigraphic columns shown by Ward et al. (1995) the pre-impact964sediments at Chicxulub consist of approximately 3 km of Mesozoic carbonates and965evaporites with ~3-4% shale and sandstone. Therefore, it is more likely the quartz966originates from the basement rocks. There is also not a strong connection between the967physical processes that distributed the quartz (the impact fireball, with high ejection

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- 971 velocity), and those that distributed the pulverized material (the ejecta curtain with low 972 velocity).
- 973 It is possible that the quartz to clastics ratio is determined by the ratio of quartz to total 974 debris in the samples closest to Chicxulub, since these may have suffered the least removal 975 by sedimentation. Pope suggests these intermediate distance layers contain about 1% 976 quartz, but only considers the fireball layer, which is less than 10% of the total ejecta layer 977 within 1000 km of the crater. The remainder of the intermediate distance layer contains little quartz, so the clastics could be more than 1000 times the mass of the quartz. It is not 978 979 clear that 1000 is an upper limit to the ratio of clastics to quartz because the quartz and 980 pulverized material move along different paths in the debris cloud. If we accept this ratio 981 of 1000 for the ratio of clastics to quartz, the mass of clastics from Pope's analysis would 982 be  $5x10^{18}$ g, which is within a factor of 6 of the Artemieva and Morgan (2009) value. If 1% of this mass is submicron then  $5x10^{16}$  g of submicron clastics would have been injected into 983 984 the upper atmosphere.
- 985 2.4.1.3 Reconciliation of Pope (2002) and Toon et al. (1997) estimates of submicron 986 clastics

987 Table 5 shows that the new estimate of submicron mass following the procedure of Toon 988 et al. (1997) agrees with the new estimate following the procedure of Pope (2002) within 989 20%. The new estimate is about 12 times less than the Toon et al. (1997) value mainly 990 because Toon et al. (1997) did not consider that most of the pulverized mass would not 991 be ejected from the crater. The new application of the Pope (2002) approach leads to estimated submicron dust emissions that are about 500 times larger than the one derived 992 993 by Pope (2002). The major difference is that we have assumed the ratio of quartz to 994 clastics is about 1000, rather than 1 as assumed by Pope (2002). Despite the perhaps 995 coincidental agreement of these two estimates, there is substantial uncertainly in the true 996 mass of submicron clastic particles in the K-Pg distal layer. Observations of the 997 submicron material in the distal layer are needed.

998

### 999 2.4.2 Submicron pulverized rock from a 1 km diameter impactor

1000 In order to determine the properties of the pulverized ejecta from a 1 km impactor, we 1001 use the pulverized mass injection per Tg of impact energy from Toon et al. (1997), but 1002 reduce it by the factor of 25 discussed earlier to account for the fraction of the clastic 1003 mass with enough velocity to escape the crater. This procedure yields a clastic mass of 1004  $1.3 \times 10^{16}$  g. For reference, the volume of clastics from the eruption of Mt. Tambora in 1815 is estimated to have been about 150 km<sup>3</sup>, which is a mass of about  $3 \times 10^{17}$  g. Hence 1005 1006 the Tambora eruption likely surpasses the clastics from the hypothetical 1 km diameter 1007 impactor by more than a factor of 10. The same size distribution for the clastics is 1008 recommended for the 1 km impact and the Chicxulub impact, since it seems to hold for a 1009 range of volcanic events from Mt. St. Helens to Toba, which span the 1 km diameter 1010 impactor in terms of clastics. We also suggest that the mass be initially mixed uniformly 1011 in the vertical above the tropopause. According to Stothers (1984) the Tambora clastics 1012 were deposited in layers that are centimeters in thickness at distances 500 km from the 1013 volcano. Accounting for the drift of the ash downwind, the area of significant ash fall was about  $4.5 \times 10^5$  km<sup>2</sup>. If this same area is used for the initial injection of the clastics for 1014

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1022 the 1 km impact, then the column mass concentration is about 8.7 g cm<sup>-2</sup>, which in turn is 1023 slightly less than 1% of the atmospheric column mass. The estimated optical depth of the

1024 clastics in Table 3 is about 25% of the optical depth from nano-particles originating from

vaporized rock. Given that these materials are much less absorbing than soot, and lower

1026 in optical depth than nano-particles they can probably be neglected in estimates of the

1027 climate changes due to a 1 km diameter impact on land.

#### 1028 3. Gas injections

1029 There are a large number of gases that might be injected into the atmosphere after an 1030 impact and might be important to atmospheric chemistry, climate, or both. These can 1031 originate from the impactor itself, from ocean or ground water, or from the target 1032 sediments. They may also originate in response to environmental perturbations, such as 1033 wildfires, or atmospheric heating from the impact fireball and ejecta. Various estimates 1034 have been made for each of these sources. However, clear evidence from the distal layer 1035 is not available for any gases of potential interest. Some gases, such as carbon dioxide, 1036 would have stayed in the gas phase rather than condensing into particulate form. Other 1037 gases, such as those containing sulfur, may have reacted on the particles composing the 1038 distal layer, or formed independent particles. In either case sulfur is so common in the 1039 environment it is difficult to detect an injection. For these reasons all the gas phase 1040 injections are uncertain. Below, we first discuss the chemical content of each of the 1041 potential sources of gases, and then we discuss the likely amounts of each material 1042 injected following an impact. Relevant ambient abundances are given in Tables 2 and 4 1043 along with estimated injections for the Chicxulub impact and a 1 km impact. The ambient 1044 masses are given to assist the reader in understanding the magnitudes of the injections. 1045 Generally ambient concentrations are given in the literature in terms of the mixing ratio. 1046 To compute the masses we assume the ambient mixing ratios are constant over the whole 1047 atmosphere, or the stratosphere. We then convert the volume mixing ratio to the mass 1048 mixing ratio using the molecular weight and then multiply by the mass of the atmosphere 1049 above either the surface, or tropopause to obtain the total mass of the gas. The ambient 1050 abundances assume the current stratospheric mixing ratio of Cl is 3.7 ppbv (Nassar et al., 1051 2006), Br is 21.5 pptv (Dorf et al., 2006), inorganic I is 0.1pptv (upper limit from Bosch 1052 et al. 2003), CO<sub>2</sub> is about 395 ppmv, and methane is about 1.8 ppbv. Stratospheric S, 1053 taken from the Pinatubo volcanic eruption, is about 10 Tg (Guo et al., 2004), reactive 1054 nitrogen, NO<sub>x</sub>, in the stratosphere is difficult to quantify simply. Instead we compare 1055 with the ambient abundance of  $N_2O$  in the stratosphere, about  $2x10^{14}$  g N.  $N_2O$  is a major 1056 source of NO<sub>x</sub>.

1057

#### 1058 **3.1 Impactor**

#### 1059 3.1.1 Composition of the impactor

Kring et al. (1996) summarized the S, C, and water contents of a large number of types of asteroids. Trinquier et al. (2006) found from chromium isotopes that the Chicxulub impactor was most likely a carbonaceous chondrite of CM2 type. Such asteroids have 3.1wt % S, 1.98 wt% C, 11.9 wt% water, and a density of 2.71 g cm<sup>-3</sup>. Over the range of chondrites, which constitute 85% of meteorite falls, S varies from 1.57 to 5.67 wt%, C from 0.04 to 3.2 wt %, and water from 0.2 to 16.9 wt %. Kallemeyn and Watson (1981) Deleted: Table Brian Toon 8/2/2016 9:50 AM Brian Toon 8/2/2016 9:50 AM Deleted: impacts

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1069 report that by mass CM carbonaceous chondrites contain about 4ppm Br. Goles et al

1070 (1967) report that Cl ranges from 190-840 ppmm of carbonaceous chondrites, Br ranges

1071 from 0.25 to 5.1 ppmm, and Iodine ranges from 170 to 480 ppbm. Table 6 summarizes

1072 the composition of asteroids using values for CM2 type carbonaceous chondrites from

1073 Kring et al. (1996) for S, C, and water, and for the Mighei (the CM2 type example) from

1074 Goles et al. (1967) for Cl, Br and I.

#### 1075 **3.1.2 Gases from the impactor**

1076 Tables 2 and 4 indicate the direct contributions from 1 and 10 km impactors of a number of chemicals, as discussed further below. We assume that the entire 10 km or 1 km 1077 1078 diameter impactor melted or vaporized so that all of the gases are released. For the 10 1079 km impactor these gases would have been distributed globally in the hot plume along 1080 with the melt spherules within hours. They would reenter with the same vertical 1081 distribution as the Type 2 spherules. For the 1 km diameter impactor, the initial injection 1082 may have only covered half the Earth, with global distribution over days via wind, after 1083 reentry into the upper atmosphere.

1084 We further assume that the vapors under consideration do not react with the hot mineral 1085 grains either in the plume or in the hot layer at the reentry site. In fact, given the large 1086 particle surface areas in the atmosphere over the globe it is possible that there was a 1087 significant transfer of material from the gas phase to the surfaces of the mineral grains in 1088 a short period of time.

1088 a short period of time.

As pointed out by Kring et al. (1996) and Toon et al. (1997) the S in a 10 km diameter impactor would exceed that from the Mt. Pinatubo volcanic injection by a factor above

1091 1000. Even a 1 km diameter carbonaceous chondrite could deliver several times as much

1092 sulfur to the atmosphere as did the Mt. Pinatubo eruption in 1991. Stratospheric water

1093 could be enhanced by a factor of more than 100 from the water in a 10 km impactor. Cl

- 1094 could be enhanced by factors above 500, Br by almost 500, and I by more than 50,000. 1095 However, there is not enough C in a 10 km asteroid to affect the global carbon cycle
- 1096 significantly.

1097 Many investigators have pointed to sulfate as an important aerosol following the 1098 Chicxulub impact. Tables 1 and 3 compare the mass of sulfur from the impactor with the 1099 mass of the spherules and nano-particles. The optical depth, which controls the climate 1100 change following the impact, and the particle surface area, which likely controls 1101 chemistry, are approximately linear with the mass. In our estimates, the sulfate coming 1102 directly from the asteroid could have a large optical depth assuming it was not removed 1103 on the spherules, or large clastics.

1104

### 1105 3.2 Seawater

#### 1106 **3.2.1 Composition and depth of seawater**

1107 The composition of seawater is given in Table 6 (Millero et al., 2008). It is thought that 1108 injections of water into the upper atmosphere will lead to droplet evaporation, with small 1109 crystals of salt left behind. If liquid water is left after a massive injection of water, the

1110 droplets will likely freeze leaving salt behind as particles embedded in ice crystals.

- 1111 Vaporization of water during the impact may leave behind salt crystals, or the salts may
- 1112 decompose into their components. As discussed by Birks et al. (2007), complex
- 1113 simulations are needed to determine how much material is freed from the salt particles to
- 1114 enter the gas phase where it might destroy ozone. In <u>Tables</u> 2 and 4 we list the total
- amounts of several interesting chemicals that might be inserted into the stratosphere.
- However, all of them except water vapor are likely to be in the form of a particulate until
- 1117 photochemical reactions liberate them.

1118 A significant uncertainty related to any oceanic contribution to atmospheric composition 1119 is the depth of the ocean in relation to the size of the impactor, and the water content of 1120 sediments at the crater site. The depth of the ocean <u>at Chicxulub</u> at the time of the impact

- is not known. Many investigators have referred to it as a shallow sea. However, Gulick
- et al. (2008) estimates that the water depth averaged over the impact site was 650 m,
- which is considerably deeper than earlier estimates. We use a water depth of 650 m in
- Table 2 to estimate the amounts of material injected by Chicxulub. A 1 km diameter
- impactor is smaller than the average depth of the world oceans, which is about 3.7 km.

#### 1126 3.2.2 Gases from Seawater-Chicxulub

1127 For the Chicxulub impact, Pope (1997) assumed that the 650 m depth of seawater within 1128 the diameter of the impactor (10 km) will be vaporized, follow the path of the Type 2 1129 spherules, and reenter the atmosphere globally. In Table 2 we compute the water 1130 vaporized following the equations in Toon et al. (1997). These equations, assuming an 1131 impact velocity of 20 km s<sup>-1</sup>, led to an order of magnitude greater injection of water than using Pope's estimate. The vaporized water is 0.4 times the impactor mass. During the 1132 1133 vaporization of the seawater we assume the water will be present as water vapor, and that 1134 the materials in the water will be released as vapors. Some of these materials likely 1135 would react quickly with the hot minerals in the fireball or later with the hot minerals in 1136 the reentry layer.

1137 It is also likely that a considerable amount of water was splashed into the upper 1138 atmosphere. Ahrens and O'Keefe (1983) estimated that the water splashed above the 1139 tropopause from a 10 km diameter impact into a 5 km deep ocean would be 30 times the 1140 mass of the impactor. We assume that the amount of water splashed above the tropopause 1141 will scale linearly with the depth of the ocean. Therefore, about 4 times the impactor 1142 mass of water may have been splashed into the upper atmosphere. Much of this water 1143 may immediately condense and rainout, as discussed in Toon et al. (1997). However, 1144 some of the dissolved salts may be released if some of the water evaporates. The 1145 assumed injection of gases, and particulates that might become gases, from the ocean is 1146 summarized in Table 2 for the Chicxulub impact.

### 1147 3.2.3 Gases from Seawater-1 km asteroid

1148 No seawater is injected by the 1 km diameter asteroid impact on land. If a comet hit the 1149 land there would be a water injection.

- 1150 Pierazzo et al. (2010) estimated that 43 Tg of water would be injected above 15 km by a
- 1151 1 km asteroid impact into the deep ocean. Of this water, 25% is in the form of vapor and
- 1152 75% in the form of liquid water. In their modeling the water was assumed to be
- 1153 distributed with a uniform mixing ratio from the tropopause to the model top. It was also

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1155 spread uniformly over an area 6200x6200 km in latitude and longitude. Using the 1156 equations in Toon et al. (1997) for the vaporized water produces a value which is 60% of the vaporized water from the detailed modeling used in Pierazzo et al. (2010). Given 1157 1158 these water injections we use the composition of sea water to determine the injections of 1159 the various species. Pierazzo et al. (2010) estimate injections of Cl and Br that are more

- 1160 than an order of magnitude smaller than ours because they consider the amounts that have
- been converted into gas phase Cl and Br by photochemical reactions in the atmosphere, 1161 1162 while we estimate the total injections, which initially are likely to be in the particulate phase.
- 1163
- 1164
- 1165 **3.3 Impact Site**

#### 3.3.1 Composition of the impact site 1166

1167 The sea floor at the Chicxulub impact site, like the modern Yucatan, contained abundant 1168 carbonate and sulfate rich deposits. Ward et al. (1995) conclude that 2.5-3 km of 1169 sedimentary rock were present at Chicxulub, composed of 35-40% dolomite, 25-30% 1170 limestone, 25-30% anhydrite, and 3-4% sandstone and shale. The dolomite and 1171 limestone are no doubt porous. Pope et al. (1997) estimate the carbonates in the Yucatan 1172 have a porosity of 20%. The pores would have been filled by seawater since the 1173 sediments were submerged. This ground water produces an equivalent water depth of 1174 about 400 m. The carbon content of limestone is 12% by weight, and of dolomite 15% 1175 by weight. The sulfur content of anhydrite is 23.5% by weight. To our knowledge, trace 1176 species such as Br, Cl, and I have not been reported for these sedimentary rocks, but 1177 would be present in the seawater in the pores.

#### 1178 3.3.2 Gases from the impact site

1179 For the 10 km Chicxulub impact we follow Pope et al. (1997) for the abundances of S 1180 and C assuming 30% anhydrite, 30% limestone and 40% dolomite. The composition of the impact site is given in Table 6. We ignored species other than S and C that might be 1181 1182 in the target material. It is difficult to follow the target debris since some of it is 1183 vaporized, and some melted. We follow Pope (1997) and assume that the upper 3 km of 1184 the target is vaporized within the diameter of the impactor. The gases within this volume of vaporized material are assumed to be released, and to follow the trajectories of the 1185 1186 Type 2 spherules. Pope et al. (1997) estimated the amount of material that would be degassed from target material that was melted or crushed in a large impact. We use the 1187 1188 values from Table 3 of Pope et al. (1997) for out of footprint vapors, in our Table 2 for the degassed impact site emissions. We also assume that the granite underlying the 1189 1190 impact site does not contribute.

1191 The source gases from a 1 km land impact would depend on the composition of the 1192 impact site, so we do not list values in Table 4. We assume nothing would be liberated 1193 from the sea floor in a 1 km impact in the deep ocean.

- 1194
- 1195 3.4 Fires
- 1196 3.4.1 Composition of Smoke

1197 It is well known that forest fires emit a wide variety of vapors into the atmosphere.

Andreae and Merlet (2001) provide emission ratios (g of material emitted per g of dry biomass burned) for many vapors expected to be important in the atmosphere as listed in Table 6. As discussed in section 2.2.1, the soot emission may have been enhanced relative to wildfire estimates by Andreae and Merlet (2001) after the Chicxulub impact because the impact-generated fires were mass fires. We do not consider any enhancements of the gas phase emission ratios, but they may also be impacted by fire intensity or the types of plants making up the biomass.

1205

#### 1206 3.4.2 Gases from Fires

1207 In Tables 2 and 4 we computed the burned mass from Chicxulub assuming that  $1.5 \text{ g cm}^{-2}$ 1208 of dry biomass burns over the entire land surface area of the Earth, and then used the 1209 emission factors from Andrea and Merlet (2001) to obtain the gas phase emissions. For a 1210 1 km impact we assume the area burned is 4.1x10<sup>4</sup> km<sup>2</sup> (Toon et al., 1997), and the dry 1211 biomass is 2.25 g C cm<sup>-2</sup>. We then used the emission ratios from Andreae and Merlet 1212 (2001) to compute the gas phase emissions. Comparing the gas phase emissions from 1213 fires in Tables 2 and 4 with ambient values indicates that there would be large 1214 perturbations for all gases for the 10 km diameter impact. Only iodine is significantly 1215 perturbed for the 1 km impact. For the gas phase emissions we suggest using the same 1216 vertical profile as suggested for soot earlier. The emissions would only occur over the 1217 region near the impact site for the 1 km impact.

### 1218 **3.5 Gases generated by atmospheric heating**

1219 The energy deposited in the upper atmosphere by the initial entry of the bolide, as well as 1220 by the rising fireball, may have converted some N2 to NOx. Early studies suggested that 1221 a large fraction of the impact energy would be put into the lower atmosphere, which in 1222 turn led to suggestions that a large amount of nitrogen oxides would be produced from 1223 the heated air. However, it is now understood that most of the energy release from an 1224 impact to the atmosphere will occur at high altitude from reentry of spherules and other 1225 debris. Toon et al. (1997) reviewed the various ways in which NOx might be generated following an impact, largely following Zahnle (1990). They concluded that 3 x 10<sup>16</sup> g of 1226 1227 NO might be produced from the atmosphere for a 10 km diameter impact with about half 1228 coming from the plume at the impact site, and half from the reentry of material across the 1229 Earth. We have recorded this value in Table 2. For comparison, Parkos et al. (2015) 1230 conducted detailed evaluations of the NOx produced by the infalling spherules and concluded the spherules could produce  $1.5 \times 10^{14}$  moles of NOx ( $3 \times 10^{15}$ g if the NOx is in 1231 1232 the form of NO) which they further concluded was not sufficient to acidify ocean surface 1233 waters. In Table 2 we use the Toon et al. (1997) injection of NO since it includes both 1234 source mechanisms. According to Zahnle et al. (1990) a 1 km impact on land might produce  $0.6 \ge 10^{14}$  g of NO, largely in the hot plume at the impact site. This value is 1235 1236 entered in Table 4. For comparison, we note that Pierazzo et al. (2010) suggested that the 1237 mass of NO produced by a 1 km ocean impact is about  $0.39 \times 10^{14}$  g.

1238

#### 1239 **3.6 Discussion of gas injections**

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- 1242 Some of the gas phase sources just discussed are easy to apply to an impact. For
- example, the emissions from fires simply depend on the area burned, the fuel loading and the emission factors.

1245 Other sources of gases are more difficult to evaluate. Since we have no measurements for 1246 large impacts, the form of emission can be uncertain. For example, sulfur could be 1247 injected as  $SO_2$  or  $SO_3$ . Another difficulty that comes in understanding the contribution 1248 of target material to gases, such as SO<sub>2</sub>, is the pressure needed to vaporize the material. Pope (1997), for example, adopted pressures above 70 GPa to vaporize carbonate, 100 1249 1250 GPa for complete vaporization of anhydrite, and 10 GPa for water vaporization from 1251 pores. These vaporization pressures are higher than suggested by early researchers, 1252 leading to lower amounts of target vaporized. Pierazzo et al. (2003) redid the impact 1253 calculations and also estimated the amounts of materials that might be released, which are 1254 close to those estimated by Pope et al. (1997). The altitude distribution of the ejecta 1255 varies with the source of the material. Finally the chemical form of the emission varies 1256 with thermochemistry in the ejecta plume or fireball, and interactions with hot mineral 1257 surfaces, and for some materials exposure to high temperature on reentry.

1258Tables 2 and 4 summarize our choices for the injections of the various gases. For each1259type of source we also specify the altitude of the expected injection, using a reference to1260Tables 1 and 2 for the particle injections. We assume all of the impactor mass entered the1261rising fireball, so it would be injected near 60 km altitude along with the spherules. In1262some cases, for example for the degassed target material and for splashed seawater, we1263consider the material to have been uniformly mixed above the tropopause. For materials1264coming from fires we assume the same vertical injection as for soot.

1265 As has been pointed out many times (Kring, 1996; Toon et al., 1997; Pope et al., 1997; 1266 Pierazzo et al., 2003) the sulfur injection from a 10 km impactor might be thousands of 1267 times greater than that from the Pinatubo eruption, and also was likely larger than the 1268 injection from the massive Toba eruption by a factor between 10 and 100. Our sulfur 1269 injection from the target material is about half that of Pope's (1997) estimate of  $10^{17}$  g and 1270 slightly less than Pierazzo et al's (2003) estimate for a 15 km diameter impactor of 7.6 x 1271  $10^{16}$  g. Our sulfur injection from the asteroid itself is within the range suggested by Pope et al. (1997) of 2.7-5.9 x 10<sup>16</sup> g. Interestingly, the sulfur injection we estimate for 1272 1273 Chicxulub is about 10 times greater than the yearly emission estimated by Schmidt et al. 1274 (2015) for a large flood basalt from the Deccan traps. Of course, the flood basalt might 1275 continue for a decade or more, bringing the total sulfur emission close to that from the 1276 Chicxulub impact. Table 4 suggests that the sulfur injection from a 1 km impact would 1277 be several times greater than that from the Pinatubo eruption, but that would be only a 1278 modest injection relative to historical volcanic eruptions. In Table 1 and Table 3 we 1279 assume the injected sulfur gas is converted into sulfate. If so it would yield a large 1280 optical depth for the Chicxulub impact. However, for both the 1 km and Chicxulub 1281 impacts, the sulfur injection, if converted to sulfate, would be an order or magnitude less 1282 massive than the nano-particles. Therefore, the sulfate would be an order of magnitude 1283 less important optically than the nano-particles. While it might exceed the soot mass 1284 slightly, soot is much more important optically than sulfate, which is transparent at 1285 visible wavelengths. Therefore, the sulfate in our model is of relatively little importance

1286 optically, unless the sulfur remains in the air after the other particles are removed.

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1289 Our estimated C injection (in the form of  $CO_2$ ) is dominated by emissions from forest

1290 fires. We have the same emission from the impactor as Pope (1997), but we have less

than half the emission from the target material as Pope (1997) or Pierazzo et al. (2003).

1292 All these studies suggest a small impact perturbation relative to the  $CO_2$  65 million years

ago, which was several times larger than now.

1294 The water vapor injections in Tables 2 and 4 are very large compared with ambient 1295 values in the stratosphere. However, most of the water is from fires, and half will be 1296 injected into the troposphere where it will be quickly removed. The water from the 1297 impactor and target is modest, about 1 cm as a global average depth of rain. The typical rainfall averaged over the current Earth is about 3 mm day<sup>-1</sup>. The emissions from the 1298 1299 impactor and from vaporized seawater, both of which would have been injected globally 1300 at the same altitudes as the Type 2 spherules, are capable of saturating the entire ambient 1301 stratosphere. Our water injection is similar to that estimated by Pope (1997), and Pierazzo et al. (2003). While the water vapor has been largely ignored in previous work on the 1302 Chicxulub impact, it has the ability to alter the thermal balance of the stratosphere by 1303 1304 emitting and absorbing infrared light. Water vapor may have been a factor in the 1305 radiation of thermal energy to the surface during the first few hours after the K-Pg 1306 impact, since Goldin and Melosh (2009) sought an infrared absorber to prevent radiation 1307 from escaping from the top of the atmosphere. Some of the particles in the stratosphere 1308 might be removed by precipitation, but the mass of water injected is comparable to the 1309 mass of the nano-particles and spherules. Therefore, removal by precipitation is probably 1310 not significant since if the water condenses on all the particles it will add only a small mass, and increase the fall rate only slightly, while if water condenses on only a subset of 1311 the particles it will remove only a subset. The water injection by the 1 km diameter 1312 impact on land is about 15% of the ambient water, but might still lead to some significant 1313 perturbations if it is injected into the upper stratosphere. The 1 km impact in the deep 1314 1315 ocean could inject about 40 times the ambient water into the stratosphere (Pierazzo et al., 1316 2010), and water should be considered in simulations of such impacts.

1317 For the 10 km diameter impactor, there are injections of Cl. Br, and I that exceed the 1318 ambient values by orders of magnitude. There are significant sources for all three 1319 halogens from fires, the impactor and seawater, so it seems inescapable that large 1320 injections would have occurred. The injections of NO<sub>x</sub> from fires, and from heating the 1321 atmosphere are also very large compared with ambient values. For instance, Table 2 1322 shows the NO<sub>x</sub> injections are one to two orders of magnitude larger than the stratospheric 1323 burden of N<sub>2</sub>O, the principle source of NOx. For the 1 km diameter land impact only the injections of I and NO<sub>x</sub> appear large enough to perturb the chemistry of the stratosphere. 1324 1325 However, as discussed by Pierazzo et al. (2010) significant Cl and Br injections could 1326 occur for a 1 km impact in the ocean. Seawater injections of Cl, Br, I, and S are 1327 complicated because the salts may be injected in particulate form.

1328

# 1329 4. Implications for climate, atmospheric chemistry and numerical modeling, and1330 suggestions for future data analysis

Since the discovery of the K-Pg impact by Alvarez et al. (1980), many papers have speculated on which of the many possible effects of the impact on the environment could Brian Toon 8/27/2016 8:44 PM **Deleted:** 

1334 have caused the mass extinction. It has become fashionable to claim that one or another 1335 effect is dominant. However, it is quite likely that several effects overlapped, each of 1336 which might have been devastating to a particular species or ecosystem, but which 1337 together made survival very difficult for a broad range of species distributed over the globe. Here we summarize the environmental perturbations we find likely. However, 1338 1339 there are many uncertainties, and additional data are needed. We outline the data that 1340 would be useful to obtain from the geologic record, and summarize it in Table 7. Also, 1341 models have barely scratched the surface of what is possible in better understanding of the post impact environment. We summarize the types of modeling work that would be 1342 1343 interesting to pursue. We extend these ideas to smaller impacts since more than 50 1344 impacts of kilometer-sized objects may have occurred since the extinction of the 1345 dinosaurs.

Table 1, shows that spherules, soot, nano-particles, submicron clastics, and sulfates each may have had very large optical depths. An optical depth greater than unity could have serious consequences for the environment if maintained for very long. Each of these materials was likely present in the atmosphere, so they may have interacted.

1350 The spherules are unlikely to have changed climate directly because they would have 1351 been removed quickly from the atmosphere by sedimentation due to their large size. 1352 However, these particles, together with the other impact debris with significant mass, 1353 likely heated the upper atmosphere to temperatures between 1000 and 2000K. The high 1354 temperature upper atmosphere would then have irradiated the surface with near infrared 1355 radiation, causing forest fires. Wolbach et al. (1985) first recognized that the global biota 1356 likely burned after the impact, and Melosh et al. (1990) identified the mechanism for 1357 starting the fires. The recent work by Goldin and Melosh (2009) identified some 1358 complexities in the ignition mechanisms that need further work to be understood. They 1359 pointed out that the light might be blocked by the large spherules falling below the heated 1360 atmospheric layer. However, this is a complex problem since water vapor, and vaporized 1361 impactor would have been present to block radiation escaping to space. Also convection 1362 should occur in such a strongly heated layer, which would act to retard the fall of the 1363 particles as it does for hailstones in tropospheric convection. Moreover, the mass of 1364 debris injected at 70 km, as assumed by Goldin and Melosh (2009), greatly exceeds the mass of air. This mass distribution is unstable and would lead to rapid stirring of the 1365 1366 atmosphere down to 50 km. These issues all deserve further study with suitable models. 1367 Furthermore, evidence for the nano-particles should be sought as discussed further below.

1368 Robertson et al. (2004) argued that large dinosaurs and other unsheltered animals could 1369 have been killed immediately by the radiation from the sky and the subsequent fires. 1370 However, it is possible there were refugia on the land, either in regions where spherules 1371 did not reenter the atmosphere, as suggested by Kring and Durda (2002) as well as 1372 Morgan et al. (2013), or in regions that happened to have heavy cloud cover which may 1373 have blocked the radiation. To better understand the possibility of refugia, more 1374 complete evidence for the global distribution of spherules would help resolve their 1375 possible non-uniform deposition, as suggested in Table 7. It is known that iridium was 1376 perturbed worldwide following the K-Pg impact. Although iridium concentrations are 1377 spatially variable for a number of reasons, they are basically homogenous over the Earth 1378 and do not fall off with distance from the impact site, or at high latitudes. Similar data on

1379 spherules would be useful to determine if the spherules were injected everywhere, or in 1380 special places. Numerical values of the spherule concentrations and size distributions to 1381 augment the values noted by Smit (1999) would also be of value, as noted in Table 7. 1382 Models of the transmission of the light from the hot debris layer above 60 km through 1383 dense water clouds and the response of the clouds to the heating would be also useful. It 1384 has long been recognized that intense thermal radiation and fires could not have been the 1385 only extinction mechanisms at work, since the mass extinctions in the oceans could not 1386 have occurred in this way, but instead were likely due to the low light levels preventing 1387 photosynthesis (Milne and McKay, 1982; Toon et al., 1982; Pollack et al., 1983; Toon et 1388 al., 1996; Robertson et al., 2013b). The low light levels would have been caused by the 1389 high optical depths of the soot and nano-particles that remained suspended in the air for a

year or more after the impact.

1391 We know from the work of Wolbach et al. (1985; 1988; 1990; 1990b; 2003) that there is 1392 abundant soot in the K-Pg distal layer. It is highly likely that the soot originated from 1393 wildfires (Robertson et al., 2013a), but its origin is of secondary concern for climate. The 1394 widespread distribution of the soot in the layer, and the small size of the particles indicate 1395 this material was almost certainly global in extent. Wolbach et al. (1988; 1990b) show 1396 that soot and iridium are tightly correlated across the K-Pg distal layer. The soot and 1397 iridium in the distal layer must have been deposited within a few years of the impact, 1398 since small particles will not stay in the air much longer. Therefore, any fires must have 1399 been within a year or two of the impact. As noted in Table 7, further examination of the 1400 distributions of soot, iridium and spherules might clarify how long these materials 1401 remained in the atmosphere, which is expected to be days for the spherules, and a few 1402 years for the soot and iridium on small particles. Once in the water column, spherules 1403 would fall to the bottom in days or weeks. However, in the absence of fecal pellets 1404 formed by plankton around the soot, it would take decades for soot to reach the ocean 1405 depths by falling. Currents would likely carry the soot down rather than gravity.

The amount of soot in the K-Pg distal layer would produce a very high optical depth when it was in the atmosphere. The transmission of light depends not only on the optical depth, but also on the single scattering albedo of the particles. The single scattering albedo measures the fraction of the light that is scattered, or absorbed. Scattering light, which occurs from sulfates that absorb sunlight only weakly, is not nearly as effective in changing climate as absorbing light.

1412 As discussed by Toon et al. (1997), soot with an optical depth of 100 would prevent any 1413 sunlight from reaching the surface-it would be pitch black. No climate simulations of 1414 such large soot optical depths have ever been conducted. However, there have been 1415 simulations for optical depths in the range of 0.05-1, which show temperatures dropping 1416 to ice age conditions within days, precipitation falling to 50% of normal, and the ozone 1417 layer being destroyed as discussed further below (Robock et al., 2007a,b; Mills et al., 1418 2008, 2014). There are a number of complexities inherent in climate calculations for soot. 1419 For example, it is important to know how long the soot remained in the atmosphere in 1420 order to determine how long photosynthesis may have been retarded in the oceans. The 1421 lifetime of the soot in turn may depend on the size of the soot particles, their shape, the 1422 amount of rainfall in the lower atmosphere, and the amount of sunlight reaching the soot. 1423 The amount of sunlight reaching the soot matters because heating the soot also heats the Brian Toon 8/2/2016 9:50 AM Deleted: ,

- 1425 surrounding air, causing it to rise and loft the soot to high altitudes, where it is protected
- 1426 from rainout (Malone et al. 1985; Robock et al. 2007a,b). These issues can be considered
- 1427 in modern climate models.

1428 Much of the vaporized impactor and target material is thought to have re-condensed to 1429  $250 \,\mu$ m-sized spherules (O'Keefe and Ahrens, 1982; Johnson and Melosh, 2012b), which 1430 are observed, but a significant fraction may have remained as nanometer sized grains 1431 (Johnson and Melosh, 2012b). Iron-rich, nano-phase material with a diameter of 15-25 1432 nm has been identified in the fireball layer at a variety of sites by Wdowiak et al. (2001), 1433 Verma et al., (2002), Bhandari et al. (2002), Ferrow et al. (2011) and Vajda et al. (2015) 1434 among others. However, the abundance of this nano-phase material is not yet constrained 1435 by observations. As noted in Table 7, it is important to quantify the abundance of this 1436 nano-phase material, and to confirm that it is the remnant of the vaporized target and 1437 impactor. If the amount of vapor remaining at the end of the Johnson and Melosh (2012b) calculation is roughly the amount that remained as rock vapor in the atmosphere, given 1438 1439 the optical depth estimate in Table 1 and its input location in the upper atmosphere above 1440 the soot generated by forest fires, this nano-phase material would be the dominant source 1441 of opacity for changing the climate, and would also greatly affect the amount of radiation 1442 emitted to the surface that could start wildfires in the hours following the impact. The 1443 material contains iron, so it is likely to have been a good absorber of sunlight. 1444 Alternatively, this material might have attached itself to the large spheres and been 1445 quickly removed, though this seems unlikely since the large spheres would separate 1446 gravitationally from the smaller material within hours. No one has yet considered the 1447 effect of this nano-phase material, which is distinct from the clastics envisioned by Toon 1448 et al. (1997) and Pope (2002), on the environment after the K-Pg impact.

1449 The most massive part of the ejecta from the K-Pg crater consisted of clastics: crushed 1450 and pulverized material. Much of this material fell relatively close to the crater, though

significant amounts were emplaced as far a 4000 km from Chicxulub. For comparison the

1452 Toba volcanic eruption about 70,000 years ago is estimated to have released more than

- $2x10^{18}$  g of clastics (Matthews et al., 2012), a factor of about 15 less than our estimate for
- 1454 the Chicxulub impact in Table 1, but more than 200 times greater than the upper limit
- 1455 previously estimate by Pope (1997) for the clastics generated by Chicxulub.

1456 The Toba eruption may have had a significant impact on the climate, as discussed further 1457 below; however, the magnitude of the effect is controversial. Alvarez et al. (1980), as 1458 well as Toon et al. (1982) and Pollack et al. (1983), thought that the K-Pg layer was 1459 dominated by submicron clastics that caused major loss of sunlight at the surface and 1460 consequently very low temperatures. However, while we don't know the fraction of the 1461 layer composed of submicron clastics, it is clear that the layer is both thinner than thought 1462 in the years just after its discovery and also dominated by other parts of the impact debris 1463 such as the spherules and the nano-particles. It would be very useful to measure the 1464 amount of submicron clastics in the K-Pg distal layer. Possibly, as suggested in Table 7, one could start by identifying the amount of submicron quartz in the layer by searching 1465 for small shocked quartz grains. Toon et al. (1997), and Pope (2002) used two differing 1466 1467 indirect approaches to quantify the submicron clastics, and came up with answers that 1468 differ by a factor of about 10<sup>4</sup>. Here we attempted to reconcile these approaches, with the 1469 result shown in Table 1 yielding a significant optical depth. Although the submicron

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1474 clastics by themselves would have produced extreme climate changes if they were as

abundant as we estimate, they would have been less important than the soot, and the nano-particles given our estimates here. The submicron clastics may have been injected

higher than the soot, but lower than the nano-particles on average. Climate calculationsinvolving all these materials are needed to understand how they may have interacted in

1478 involving all the1479 the atmosphere.

1480 The final particulates with large optical depths in Table 1 are sulfates. Pope et al. (1997), Pierazzo et al. (2003) and others have advocated for the importance of these particles in 1481 1482 recent years. Unfortunately, sulfates in the K-Pg layer have not been traced 1483 unambiguously to the impact, because sulfur is so common in the environment. Possibly 1484 sulfur isotopic studies could distinguish the sulfur in the impactor from sulfur in the 1485 terrestrial environment, but we are not aware of such studies. While there is little doubt 1486 that large amounts of sulfur were present in the target material and in the asteroid, it is 1487 possible that much of it reacted with the hot rock in the impact plume, or the atmospheric layer heated by re-entering material. Sulfur is present in impact melt spherules and in 1488 1489 carbonaceous clastics, so not all of it was released to the gas phase. Given the large 1490 opacity of the numerous types of particles in the atmosphere, photochemical reactions 1491 would have been inhibited, which would retard the conversion of sulfur dioxide gas into 1492 sulfate particles. It is possible that measurements of the sulfur mass independent 1493 fractionation (MIF) could reveal whether the sulfur quickly reacted with rocks, which 1494 should yield a MIF of zero, or if the sulfur slowly converted to sulfate, which might lead to MIF not being zero if resolved over the thickness of the distal layer. It is known that a 1495 1496 non-zero MIF can occur following volcanic eruptions due to time dependent movement 1497 of sulfur between changing sulfur reservoirs in the atmosphere (e.g. Pavlov et al., 2005). 1498 It is not clear if  $SO_3$  or  $SO_2$  was the dominant sulfur bearing gas in the ejecta plume. 1499 However, the gas phase reaction of  $SO_3$  and water is not a simple reaction as often 1500 abbreviated in papers about atmospheric sulfur chemistry, but instead involves water 1501 vapor clusters or SO<sub>3</sub> adducts. Sulfur dioxide is observed to convert to particulates with 1502 an e-folding time of less than one month for moderate-sized volcanic eruptions such as 1503 the Mt. Pinatubo eruption. Following the K-Pg impact sulfur dioxide or trioxide gas may 1504 have had an extended lifetime in the atmosphere, due to the lack of sunlight to drive 1505 chemical reactions to convert it to sulfates. Clastics and nano-particles and soot, may have coagulated to large sizes and fallen out over a year or two. Alternatively, the sulfur 1506 gases may have reacted quickly on all the surfaces present, particularly in hot water 1507 1508 present in the hot radiating layer when the ejecta reentered. Pope\_et al. (1997) and 1509 Pierazzo et al. (2003) have pointed out the possible importance of the extended lifetime 1510 of the sulfate to causing a prolonged period without photosynthesis in the oceans. 1511 However, clastics or soot need to be present in the sulfate to achieve the loss of sunlight. 1512 Recent work on the Toba eruption (Timmreck et al., 2010) shows that large sulfur injections do not produce proportionately larger climate perturbations because the climate 1513 1514 effects of sulfur injections are self-limiting, as originally shown by Pinto et al. (1994) and

1515 recognized by Pope et al. (1997) and Pierazzo et al. (2003). Toba probably injected an 1516 amount of sulfur dioxide within an order of magnitude of that from the K-Pg impact.

Larger particles have smaller optical depths, and shorter lifetimes, than smaller particles

1518 that result from smaller  $SO_2$  injections. Further work is needed to understand the

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1522 chemistry of the sulfur injected by the Chicxulub impact to determine if it was a 1523 significant factor in the extinction event.

Table 2 shows that significant injections of various ozone destroying chemicals such as NO<sub>x</sub>, Cl, Br, and I, likely occurred. The effects of these gases need to be considered in calculations but, given the expected darkness, photochemistry may have ceased until the atmosphere cleared.

1528 Table 3 suggests that the much smaller mass injections from the impact of a 1 km 1529 diameter asteroid on land may produce optical depths that may still be important. 1530 Climate models are needed to fully evaluate these perturbations. At first glance the 1531 injections seem small. For example, the sulfur injection is only about 4 times larger than 1532 that from the Pinatubo eruption. However, the soot injection is very large. Robock et al. 1533 (2007a) and Mills et al. (2014) examined smoke injections at the tropopause of about one 1534 third the 1 km asteroid injection near the tropopause and found that the ozone layer was 1535 severely damaged, and low enough temperatures resulted to damage crops for a decade 1536 after the injection. Table 4 also indicates significant injections of iodine, which may 1537 further damage the ozone layer.

1538 About 50 1-km impacts might have occurred since the demise of the dinosaurs. Based on 1539 the fraction of Earth covered by water, about 35 of these would be expected to have hit 1540 the oceans, perhaps resulting in large ozone losses as discussed by Pierazzo et al. (2010). 1541 Each of the 15 impacts that occurred on land might have led to significant injections of 1542 nano-particles. Paquay et al. (2008) recognized the osmium signature of two large 1543 impacts in the Late Eocene, which produce the 100 km diameter craters at Popigai and 1544 Chesapeake Bay. The osmium indicates a substantial input of vaporized impactor to the 1545 atmosphere from collisions of asteroids larger than 1 km in diameter. Climate model 1546 simulations are needed to evaluate the climate changes that might have occurred. The 1547 effects could have been variable for a variety of reasons, including variability in the light 1548 absorbing properties of rock from differing objects. To have injected significant amounts 1549 of smoke the impactor would need to hit a tropical forest, or at least a heavily forested 1550 region. About 26% of the world is currently forested; about 6% is in tropical rain forest. 1551 Forested area has greatly declined. Tropical rainforests might have covered as much as 1552 20% of the Earth until recently. Hence, about 3 1-km objects might have hit a tropical

1553 rainforest and injected significant amounts of smoke since the K-Pg event.

1554 In this work we have established a set of initial conditions (Tables 1-4) that may be used 1555 for modeling the climate and air chemistry after the K-Pg impact, or the impact of a 1 km 1556 asteroid. Other authors have considered some of these initial conditions, but some, such 1557 as the nano-particles from the vaporized impactor, have not been previously studied in 1558 the detail needed to fully evaluate their importance. Much more work is needed to obtain 1559 field data to further constrain some of parameters, and to resolve remaining differences of 1560 opinion about some of the values. However, simulations using these initial conditions can 1561 now be conducted with modern models of climate and atmospheric chemistry, which 1562 should shed light on the environmental conditions at the K-Pg boundary and the dangers 1563 posed by future impacts. We recently completed such simulations using the Whole 1564 Atmosphere Community Climate Model (WACCM) at the National Center for 1565 Atmospheric Research in a configuration similar to that used by Bardeen et al. (2008) and 1566 Mills et al. (2014).

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- 1568 **Author contributions:** Owen Toon worked to compile the particle and gas emissions.
- 1569 Charles Bardeen tested them in a climate model to determine if the initial conditions were 1570 specified completely. Rolando Garcia considered the gases that would be important for
- 1571 atmospheric chemistry.
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- 1576

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Table 1: K-Pg injection scenario for impactor mass  $\sim 1.4 \times 10^{18}$  g, impact energy  $\sim 2.8 \times 10^{23}$ 1897 1898

J=0.8X10 M	t for 20 km/s i	mpact	1	1	r1
Property/ Constituent	Type 2 spherules	Soot	Nano- particles	Clastics, <µm	S
Material amount, g, <u>column</u> <u>density (g</u> cm <sup>-2</sup> )	2.3 x10 <sup>18</sup> (0.44)	$\frac{1.5-5.6 \text{ x}}{10^{16} (0.29)}$ $\frac{\text{to } 1.1 \text{ x} 10^{-2}}{2 \text{ x}^{\text{***}}}$	~2x10 <sup>18**</sup> (0.4)	<6x10 <sup>16</sup> (0.01)	$\frac{9 \times 10^{16}}{(5.4 \times 10^{-2} \text{ g})}$
Global optical depth as $1 \mu m$ particles *	~20 (for 250 µm particles)	~100	~2000	~90	~450
Vertical distribution	70 km_ Gaussian distribution with half width of 6.6 km	Eq. 2,	Same as Type 2 spherules	Uniformly mixed vertically above tropopause	Same as Type 2 spherules
Optical properties	Not relevant	n=1.8 k=0.67	Hervig et al., (200 <mark>9</mark> )	Orofino et al. (1998) Jimestone	Sulfuric acid
Initial Particle size	250 μm diameter	Lognormal, $r_{m}=0.11\mu$ m, $\sigma=1.6$ ; monomers 30-60 nm	20 nm diameter	Lognormal, $\underline{r}_m = 0.5 \mu m$ , $\sigma = 1.65$	gas
Material density, g cm <sup>-3</sup>	2.7	1.8	2.7	2.7	1.8

\*Qualitative estimate for comparison purposes only 1899

\*This value is an upper limit. The lower limit is zero 1900

These values are for aciniform soot, or elemental carbon in the stratosphere, see text. 1901

\*\*\*\*The material may have quickly moved to below 50 km to maintain hydrostatic 1902 balance. See text.

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s	C (as	но	Cl	Br	T	N	Vertical
$(x10^{13})$	$C(as) CO_2^{**}$	$(\underline{x} 10^{15})$	$(\underline{x}^{10^{12}})$	$(\underline{x}^{10^{10}})$	$(x 10^7)$	$(\underline{x}10^{14})$	distribution
1*	8.4	1.3 strat	2.3 strat	3.1 strat	<2.3 strat	2 as N <sub>2</sub> O	
4x10 <sup>3</sup>	0.3	200	7x10 <sup>2</sup>	5x10 <sup>2</sup>	7x10 <sup>4</sup>		As Type 2 spherules
40	6	1500	200	1000	9x10 <sup>5</sup>	10	As soot
60	small	600	1x10 <sup>4</sup>	5x10 <sup>3</sup>	40	-	As Type 2 spherules
500	small	5x10 <sup>3</sup>	1x10 <sup>5</sup>	4x10 <sup>4</sup>	3x10 <sup>2</sup>	-	Uniformly mixed above tropopause
5000	0.6	90	800	400	3		As Type 2 spherules
500	0.1	120	2x10 <sup>3</sup>	1x10 <sup>3</sup>	7		Uniformly mixed above tropopause
						300	Half
						as NO <sub>x</sub> created from	uniformly mixed, half as Type 2 spherules
	$         S \\             (\underline{x} 10^{13}) \\             1^* \\             4x 10^3 \\             40 \\             60 \\             500 \\             5000 \\           $	S       C (as $(\underline{x} 10^{13})$ $(\underline{x} 10^{17})$ $1^*$ $8.4$ $4x 10^3$ $0.3$ $40$ $6$ $60$ small $500$ $small$ $5000$ $0.6$ $500$ $0.1$	S       C (as $CO_2^{**})$ H <sub>2</sub> O $(\underline{x}10^{13})$ $(\underline{x}10^{17})$ $\underline{x}10^{15}$ 1*       8.4       1.3 strat         4x10 <sup>3</sup> 0.3       200         40       6       1500         60       small       600         500       small       5x10 <sup>3</sup> 5000       0.6       90         500       0.1       120	S ( $\chi$ 10 <sup>13</sup> )C (as CO2**) ( $\chi$ 10 <sup>17</sup> )H2O ( $\chi$ 10 <sup>15</sup> )CI ( $\chi$ 10 <sup>12</sup> )1*8.41.3 strat2.3 strat4x1030.32007x102406150020060small6001x104500small5x1031x10550000.6908005000.11202x103	S ( $\chi$ 10 <sup>13</sup> )C (as ( $\Omega_2^{**})$ ) ( $\chi$ 10 <sup>17</sup> )H <sub>2</sub> O ( $\chi$ 10 <sup>15</sup> )C1 ( $\chi$ 10 <sup>12</sup> )Br ( $\chi$ 10 <sup>10</sup> )1*8.41.3 strat2.3 strat3.1 strat4x1030.32007x1025x10240615002001000 60060small6001x1045x103500small5x1031x1054x10450000.6908004005000.11202x1031x1035001.11.201.11.1	S ( $\chi$ 10 <sup>13</sup> )C (as ( $\Omega_2^{**})$ ) ( $\chi$ 10 <sup>17</sup> )H <sub>2</sub> O ( $\chi$ 10 <sup>15</sup> )C1 ( $\chi$ 10 <sup>12</sup> )Br ( $\chi$ 10 <sup>10</sup> )I ( $\chi$ 10 <sup>7</sup> )1*8.41.3 strat2.3 	S ( $\chi$ 10 <sup>13</sup> )       C (as ( $\Omega^{2**})$ ( $\chi$ 10 <sup>17</sup> )       H <sub>2</sub> O ( $\chi$ 10 <sup>15</sup> )       C   ( $\chi$ 10 <sup>12</sup> )       Br ( $\chi$ 10 <sup>10</sup> )       I ( $\chi$ 10 <sup>7</sup> )       N ( $\chi$ 10 <sup>14</sup> )         1*       8.4       1.3 strat       2.3 strat       3.1 strat       <2.3 strat       2.3 strat       3.1 strat       <2.3 strat       2.3 strat       3.1 strat       <2.3 strat       2.3 strat       3.10 strat       2.3 strat       3.10^2       -       -       -       -       -       -       -

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\*\* Mass is given in terms of C, but emission is in the form of CO<sub>2</sub> 1924

\*\*\*\*S, Cl, Br, I likely injected as particulates 1925

The scaling factors given in () apply to all values in column. 1926 1927

1935	5
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1936 Table 3: 1 km land<sup>\*</sup> injection scenario for impactor mass  $1.4 \times 10^{15}$  g; impactor energy

1937  $\sim 2.8 \times 10^{20} \text{ J} = 6.8 \times 10^4 \text{ Mt}$ 

Property/ Constituent	Type 2 spherules	Soot**	Nano- particles from vaporized rock <sup>***</sup>	Clastics, <µm distributed globally	S	
Material amount <u>g. column</u> <u>density (g cm<sup>-2</sup>)</u>	1.4x10 <sup>15</sup> (2.6x10 <sup>-4</sup> )	2.8 x 10 <sup>13</sup> (5.6x10 <sup>-6</sup> )	$   1x10^{15}    (2x10^{-4}) $	2.6x10 <sup>13</sup> (5x10 <sup>-6</sup> )	$\begin{array}{c} 4.4 \text{ x} 10^{13} \\ (2.6 \text{x} 10^{-5} \text{ g} \\ \text{SO}_4 \text{ cm}^{-2} \end{array}$	Brian Toon 8/2/2016 10:25 AM <b>Deleted:</b> G
Estimated global optical depth as 1 $\mu$ m particles	0.2 (as 15 $\mu$ m particles)	4.7x10 <sup>-2</sup>	1.5	4x10 <sup>-2</sup>	0.22	
Vertical <u>&amp;</u> <u>horizontal</u> distribution <u>s</u>	Table 1 Over 50% of Earth	$\frac{50\% \text{ Eq.}}{2+50\%}$ Eq. 3 Over $4x10^4$ km <sup>2</sup>	Table 1 Over 50% of Earth	Uniformly mixed above tropopause, spread over $4x10^5$ km <sup>2</sup>	Follow nano- particles	Brian Toon 8/3/2016 8:05 PM Deleted: Table 1
Optical properties	Not relevant	Table 1	Table 1	Depends on impact site	Table 1	
Initial particle size (µm)	15µm	Table 1	20 nm	Table 1		

<sup>\*</sup>We assume a 1 km asteroid impact would not penetrate through the 5km average depth

1939 of the ocean. Therefore, none of the materials in this Table would be injected into the

atmosphere for an ocean impact. For the density of all materials follow Table 1.

<sup>\*\*</sup>The material amount assumes an impact into a region where 2.25 g C cm<sup>-2</sup> flammable

biomass is consumed. The material amount can be scaled linearly for other choices of

available biomass that burns.

1944 \*\*\*\*We assume about 35% of the impactor and an equivalent mass of target would vaporize
1945 and end up as nano-particles. <u>This value is an upper limit. The lower limit is zero.</u>

1946

1950 Table 4: Gas phase emissions (g) from a 1-km diameter impact

	Sources/	S	$C^*$	H <sub>2</sub> O	Cl	Br	Ī	Ν	Vertical •		
	Gases	$(\underline{x}10^{13})$	$(\underline{x}10^{17})$	$(\underline{x}10^{15})$	$(\underline{x}10^{12})$	$(x 10^{10})$	$(x 10^7)$	$(x 10^{14})$	distribution		Brian Toon 8/2/2016 10:19 AM
	Ambient	1**	84	13	23	3.1	-23	2			Formatted Table
	burden (g)	1	0.4	1.5	2.5	5.1	<b>~</b> 2.5	2			Brian Toon 8/2/2016 10:30 AM
	purden (g)			strat	strat	strat	strat	as			Formatted: Superscript
								$N_2O^{**}$			Brian Toon 8/2/2016 10:18 AM
			a 10.2							-//////	
	Impactor/	4.4	$3x10^{-2}$	0.2	0.7	0.5	68	-	As type 2	()	Formatted: Font:11 nt
	land only								spherules		
	Ernert	27	4 10-3	0.0	0.12	0.62	5(0	6.0-10-	A +		Formatted: Font:11 nt
	Forest	2.7	4X10	0.9	0.12	0.62	300	0.9X10 3	As soot		Brian Toon 8/2/2016 10:17 AM
	nres/land	X10									Formatted: Font:11 pt
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	Vaporized	0.9	small	10	200	80	0.6		Uniformly		Formatted: Font:11 pt
	sea water		mixed	mixed		Brian Toon 8/2/2016 10:17 AM					
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1951	mass is giv		$111S \text{ OI } \mathbb{C}, \mathbb{C}$		<u>.011 18 111 u</u>		<u>1 CO<sub>2</sub></u>				Deleted: Atmospheric
1952	**based on F	Pinatubo v	volcanic e	ruption							Brian Toon 8/2/2016 10:35 AM
1953	****S Cl Br I may be released as particulates										<b>Deleted:</b> in the form of carbon dioxide
1054	**** 1.		• •	1	11 1						
1954	scaling 1	factors gr	ven in ()	apply to a	III values	in columi	<u>1</u>				
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# Table 5: Comparison of Toon et al. (1997) and Pope (2002) estimates of submicron

# 1963 clastics.

Method	Quartz based estimate- Pope (2002)	Injected mass-Toon et al. (1997) <sup>*</sup>	Injected mass - revised	Quartz based estimate- revised	1 km impactor**
Initial clastic debris, g	<10 <sup>16</sup>	7 X10 <sup>20</sup>	2.9x10 <sup>19</sup>	5x10 <sup>18</sup>	1.3x10 <sup>16</sup>
% clastic <1 μm	<1	0.1	2	1	2
Submicron clastics, g	<10 <sup>14</sup>	7x10 <sup>17</sup>	5.8x10 <sup>17</sup>	5x10 <sup>16</sup>	2.6x10 <sup>14</sup>
Stratospheric submicron surviving initial removal, g	10 <sup>14</sup>	7x10 <sup>17</sup>	<5.8x10 <sup>16</sup>	5x10 <sup>16</sup>	< 2.6x10 <sup>13</sup>

\* assuming an impact energy of  $1.5 \times 10^8$  Mt, and a velocity of 20 km/s. 

\*\* scaled from Injected Mass Revised using energy scaling assuming an impact energy of  $6.8 \times 10^4 \, \text{Mt}$ 

1970 1971 Table 6: Impactor composition, seawater composition, Yucatan impact site composition

and forest fire emission ratios

	S	С	H <sub>2</sub> O	Cl	Br	Ι	EC	N
Carbonaceous Chondrite (g/g impactor)	3.1 x10 <sup>-2</sup>	1.98 x10 <sup>-2</sup>	11.9 x10 <sup>-2</sup>	4.7 x10 <sup>-6</sup>	3.27 x10 <sup>-6</sup>	4.8 x10 <sup>-7</sup>		
Sea water (g/g sea water)	9.1 x10 <sup>-4</sup>	3 x10 <sup>-6</sup>	0.965	1.9 x10 <sup>-2</sup>	8.2 x10 <sup>-5</sup>	6.0 x10 <sup>-10</sup>	-	-
Impact site (g/g site)	7.1 x10 <sup>-2</sup>	9.6 x10 <sup>-2</sup>	0.07					
Emission ratios for forest fires g/g of dry biomass burned	2.9 x10 <sup>-4*</sup>	$\begin{array}{c} 4.3 \\ x10^{-1} \text{ as } \\ CO_2 \\ 4.4 \\ x10^{-2} \text{ as } \\ CO \\ 5.1 \\ x10^{-3} \text{ as } \\ CH_4 \end{array}$	Highly variable, can equal dry weight	As CH <sub>3</sub> Cl 1.4 x10 <sup>-5</sup> to 1.3 x10 <sup>-4</sup>	As CH <sub>3</sub> Br 6.7 x10 <sup>-6</sup>	As CH <sub>3</sub> I 6.1 x10 <sup>-6</sup>	6.6 x10 <sup>-4**</sup>	7.5 x $10^{-4}$ as NO 6 x $10^{-5}$ as N <sub>2</sub> O

1972 \*The mass is given in terms of S, but the emission is in the form of SO<sub>2</sub>.

1973 1974 1975  $^{\ast\ast}$  We used 0.03 g/g in Table 3, because forest fires will not produce as much soot as mass fires.

1976 Table 7 Suggestions for data collection

Property of interest	Rationale
Global distribution of spherules	Some impact models suggest spherules were not distributed globally, limiting area of Earth that might experience fire ignition
Number concentration, size of spherules	Current data are incomplete on number and size of spherules
Soot distribution	Profile soot/iridium/spherule distribution to determine if fires are contemporaneous with iridium fallout
Nano-meter material	Nano-meter material has been detected, but its mass needs to be quantified
Clastics	Submicron component not detected. Possibly search for micron/submicron shocked quartz.
Sulfur	Use sulfur isotopes to search for extraterrestrial sulfur, sulfur MIF to test for prolonged lifetime



Figure 1. Injection profiles for smoke at midlatitudes and the tropics and for large spherical particles. Many other constituents follow the same vertical profiles as noted in Table 1-4. We suggested clastics be placed above the tropopause using a constant mixing ratio.



Fig. 2. The size distributions for smoke from modern fires in Africa, and from the K-Pg boundary layer (Wolbach et al., 1985; Matichuk et al., 2008)



Fig. 3 The real and imaginary parts of the refractive index suggested for nanoparticles, and for soot.