



1 **Abstract.** We applied a global three-dimensional chemical transport model
2 (GEOS-Chem) to examine the impacts of the East Asian monsoon on the interannual
3 variations of mass concentrations and direct radiative forcing (DRF) of black carbon
4 (BC) over eastern China (110–125 °E, 20–45 °N). With emissions fixed at the year
5 2010 levels, model simulations were driven by the Goddard Earth Observing System
6 (GEOS-4) meteorological fields for 1986–2006 and the Modern Era
7 Retrospective-analysis for Research and Applications (MERRA) meteorological
8 fields for 1980–2010. During the period of 1986–2006, simulated JJA and DJF
9 surface BC concentrations were higher in MERRA than in GEOS-4 by 0.30 $\mu\text{g m}^{-3}$
10 (44%) and 0.77 $\mu\text{g m}^{-3}$ (54%), respectively, because of the generally weaker
11 precipitation in MERRA. We found that the strength of the East Asian summer
12 monsoon (EASM, (East Asian winter monsoon, EAWM)) negatively correlated with
13 simulated JJA (DJF) surface BC concentrations ($r = -0.7$ (-0.7) in GEOS-4 and -0.4
14 (-0.7) in MERRA), mainly by the changes in atmospheric circulation. Relative to the
15 five strongest EASM years, simulated JJA surface BC concentrations in the five
16 weakest monsoon years were higher over northern China (110–125 °E, 28–45 °N) by
17 0.04–0.09 $\mu\text{g m}^{-3}$ (3–11%), but lower over southern China (110–125 °E, 20–27 °N)
18 by 0.03–0.04 $\mu\text{g m}^{-3}$ (10–11%). Compared to the five strongest EAWM years,
19 simulated DJF surface BC concentrations in the five weakest monsoon years were
20 higher by 0.13–0.15 $\mu\text{g m}^{-3}$ (5–8%) in northern China and by 0.04–0.10 $\mu\text{g m}^{-3}$ (3–
21 12%) in southern China. The resulting JJA (DJF) mean all-sky DRF of BC at the top
22 of the atmosphere were 0.04 W m^{-2} (3%, (0.03 W m^{-2} , 2%)) higher in northern China
23 but 0.06 W m^{-2} (14%, (0.03 W m^{-2} , 3%)) lower in southern China. In the weakest
24 monsoon years, the weaker vertical convection led to the lower BC concentrations
25 above 1–2 km in southern China, and therefore the lower BC DRF in the region. The
26 differences in vertical profiles of BC between the weakest and strongest EASM years
27 (1998–1997) and EAWM years (1990–1996) reached up to $-0.09 \mu\text{g m}^{-3}$ (–46%) and
28 $-0.08 \mu\text{g m}^{-3}$ (–11%) at 1–2 km in eastern China.

29



1 Introduction

2 High concentrations of aerosols in China have been reported in recent years (e.g.,
3 Zhang et al., 2008, 2012), which are largely attributed to the increases in emissions
4 due to the rapid economic development. In addition, studies have shown that
5 meteorological parameters are important factors in driving the interannual variations
6 of aerosols in China (e.g., Jeong and Park, 2013; Mu and Liao, 2014; Yang et al.,
7 2015). For example, Mu and Liao (2014) reported that meteorological parameters, e.g.,
8 precipitation, wind direction and wind speed, and boundary layer condition,
9 significantly influence the variations of emissions, transport, and deposition of
10 aerosols.

11 China is located in the East Asian monsoon (EAM) domain. In a strong (weak)
12 summer monsoon year, China experiences strong (weak) southerlies, large rainfall in
13 northern (southern) China, and a deficit of rainfall in the middle and lower reaches of
14 the Yangtze River (northern China) (Zhu et al., 2012). A strong winter monsoon is
15 characterized by a stronger Siberian High and Aleutian Low (Chen et al., 2000), and
16 China thus experiences stronger northerlies, more active cold surge, lower surface
17 temperature, and excess snowfall (Jhun and Lee, 2004). The EAM has been reported
18 to influence the interannual variations of aerosols in China, via in changes in monsoon
19 circulation, precipitation, vertical convection, and etc. (e.g., Liu et al., 2010; Zhang et
20 al., 2010a, 2010b; Yan et al., 2011; Zhu et al., 2012). The observed weakening EAM
21 in recently years is also considered to contribute to the increase in aerosols in eastern
22 Asia (e.g., Chang et al., 2000; Ding et al., 2008; Wang et al., 2009; Zhou et al., 2015).

23 Studies have reported that the strength of the East Asian summer monsoon
24 (EASM) negatively influences the interannual variations of aerosols in eastern China.
25 Tan et al. (2015) showed that both the MODIS aerosol mass concentration and fine
26 mode fraction in eastern China are high during weak monsoon years but low during
27 active monsoon years for 2003–2013. By using the National Centers for
28 Environmental Prediction/National Center for Atmospheric Research (NCEP/NCAR)
29 reanalysis data and surface observations, Zhang et al. (2016) reported that the



1 frequency of occurrence of cyclone related weather patterns decreases in the weak
2 EASM years, which significantly degrades the air quality in northern China for 1980–
3 2013. Modeling studies also reported that the strength of the EASM influences
4 simulated aerosol concentrations and optical depths over eastern Asia (Zhang et al.,
5 2010a, 2010b; Yan et al., 2011; Zhu et al., 2012). For example, Zhu et al. (2012)
6 using a global chemical transport model (GEOS-Chem) found that simulated summer
7 surface PM_{2.5} (particulate matters with a diameter of 2.5 μm or less) concentrations
8 averaged over eastern China (110–125 °E, 20–45 °N) are ~18% higher in the five
9 weakest summer monsoon years than in the five strongest monsoon years for 1986–
10 2006.

11 Similarly, negative correlations have been found between the strength of the East
12 Asian winter monsoon (EAWM) and changes of air quality in eastern China. By
13 analyzing the observed visibility and meteorological parameters from surface stations,
14 studies have shown that the weak EAWM is related to the decrease of cold wave
15 occurrence and surface wind speed, and therefore partially accounts for the decrease
16 of winter visibility and the increase of number of haze days and the severe haze
17 pollution events in China from 1960s (L. Wang et al., 2014; Qu et al., 2015; Yin et al.,
18 2015). By further analyzing the reanalysis data, e.g., NCEP/NCAR and European
19 Centre for Medium-Range Weather Forecasts (ECMWF), Li et al. (2015) showed that
20 the stronger (weaker) EAWM is correlated with the less (more) wintertime fog–haze
21 days. The weak EAWM results in a reduction of wind speed and decline in the
22 frequency of northerly winds, which leads to an increase in the number of haze days
23 and occurrences of severe haze events (Chen and Wang, 2015; Zhou et al., 2015).
24 Zhang et al. (2016) reported that the strong EAWM increases the frequency of
25 occurrence of anticyclone related weather patterns and therefore improves the air
26 quality in northern China for 1980–2013.

27 Black carbon (BC) as a chemically inert species is a good tracer to investigate the
28 impact of the meteorological parameters and the EAM on the interannual variations of
29 aerosols. BC is an important short-lived aerosol; the reduction of BC emissions is
30 identified as a near-term approach to benefit the human health, air quality, and climate



1 change efficiently (Ramanathan and Carmichael, 2008; Shindell et al., 2012; Bond et
2 al., 2013; IPCC, 2013; Smith et al., 2013). BC emissions in China have been
3 dramatically increased in the recent several decades, which contribute about 25% of
4 the global total emissions (Cooke et al., 1999; Bond et al., 2004; Lu et al., 2011; Qin
5 and Xie, 2012; Wang et al., 2012). Observed annual mean surface BC concentrations
6 are typically about 2–5 $\mu\text{g m}^{-3}$ at rural sites (Zhang et al., 2008). Simulated annual
7 direct radiative forcing (DRF) due to BC at the top of the atmosphere (TOA) is in the
8 range of 0.58–1.46 W m^{-2} in China, reported by previous modeling studies
9 (summarized in Li et al., 2016). Mao et al. (2016) using the GEOS-Chem model
10 showed that annual mean BC DRF averaged over China increases by 0.35 W m^{-2}
11 (51%) between 2010 and 1980, which is comparable to the global annual mean DRF
12 values of BC (0.4 W m^{-2}), tropospheric ozone (0.4 W m^{-2}), and carbon dioxide (1.82
13 W m^{-2}) (IPCC, 2013).

14 The changes in BC concentrations in China are coupled with the changes in
15 monsoon. Studies in the past decades were generally focused on the impacts of BC on
16 the Asian monsoon (Menon et al., 2002; Lau et al., 2006; Meehl et al., 2007;
17 Bollasina et al., 2011). Studies also showed that the climate effect of increasing BC
18 could partially explain the “north drought/south flooding” precipitation pattern in
19 China in recent decades (e.g., Menon et al., 2002; Gu et al., 2010). Conversely, the
20 EAM could influence the spatial and vertical distributions of BC concentrations and
21 further the radiative forcing and climate effect of BC. However, to our knowledge,
22 few studies have systematically quantified the impact the EAM on the variations of
23 concentrations and DRF of BC in China.

24 The goal of the present study is to improve our understanding of the impacts of
25 the EAM on the interannual variations of surface concentrations, vertical distributions,
26 and DRF of BC in eastern China for 1980–2010. We aim to examine the mechanisms
27 through which the EASM and EAWM influence the variations of BC. We describe
28 the GEOS-Chem model and numerical simulations in Sect. 2. Sect. 3 shows simulated
29 impacts of the EASM on interannual variations of June-July-August (JJA) BC in
30 eastern China and examines the influence mechanisms. Sect. 4 presents the impacts of



1 the EAWM on interannual variations of December-January-February (DJF) BC and
2 the relevant mechanisms. Summary and conclusions are given in Sect. 5.

3

4 **2 Methods**

5 **2.1 GEOS-Chem Model and Numerical Experiments**

6 The GEOS-Chem model is driven by assimilated meteorology from the Goddard
7 Earth Observing System (GEOS) of the NASA Global Modeling and Assimilation
8 Office (GMAO, Bey et al., 2001). Here we use GEOS-Chem version 9-01-03
9 (available at <http://geos-chem.org>) driven by the GEOS-4 and the Modern Era
10 Retrospective-analysis for Research and Applications (MERRA) meteorological
11 fields (Rienecker et al., 2011), with 6 h temporal resolution (3 h for surface variables
12 and mixing depths), 2° (latitude) \times 2.5° (longitude) horizontal resolution, and 30
13 (GEOS-4) or 47 (MERRA) vertical layers from the surface to 0.01 hPa. The
14 GEOS-Chem simulation of carbonaceous aerosols has been reported previously by
15 Park et al. (2003). Eighty percent of BC emitted from primary sources is assumed to
16 be hydrophobic, and hydrophobic aerosols become hydrophilic with an e-folding time
17 of 1.2 days (Cooke et al., 1999; Chin et al., 2002; Park et al., 2003). BC in the model
18 is assumed to be externally mixed with other aerosol species.

19 Tracer advection is computed every 15 minutes with a flux-form semi-Lagrangian
20 method (Lin and Rood, 1996). Tracer moist convection is computed using GEOS
21 convective, entrainment, and detrainment mass fluxes as described by Allen et al.
22 (1996a, b). The deep convection scheme of GEOS-4 is based on Zhang and
23 McFarlane (1995), and the shallow convection treatment follows Hack (1994).
24 MERRA convection is parameterized using the relaxed Arakawa-Schubert scheme
25 (Arakawa and Schubert, 1974; Moorthi and Suarez, 1992). Simulation of aerosol wet
26 and dry deposition follows Liu et al. (2001) and is updated by Wang et al. (2011).
27 Wet deposition includes contributions from scavenging in convective updrafts, rainout
28 from convective anvils, and rainout and washout from large-scale precipitation. Dry
29 deposition of aerosols uses a resistance-in-series model (Walcek et al., 1986)



1 dependent on local surface type and meteorological conditions.

2 The anthropogenic emissions of BC are from Bond et al. (2007) globally and
3 updated in Asia (60 °E–150 °E, 10 °S–55 °N) with the Regional Emission inventory
4 in Asia (REAS, available at <http://www.jamstec.go.jp/frsgc/research/d4/emission.htm>,
5 Ohara et al., 2007). Seasonal variations of anthropogenic emissions are considered in
6 China and Indian using monthly scaling factors taken from Kurokawa et al. (2013).
7 Global biomass burning emissions of BC are taken from the Global Fire Emissions
8 Database version 3 (GFEDv3, van der Werf et al., 2010) with a monthly temporal
9 resolution. More details about the configuration of BC emissions are discussed by
10 Mao et al. (2016).

11 We conduct two simulations driven by GEOS-4 for years 1986–2006 (VMETG4)
12 and by MERRA for 1980–2010 (VMET). Our analysis centers on the period of 1986–
13 2006, the years for which both GEOS-4 and MERRA data are available. Both
14 simulations are preceded by 1-year spin up. In the simulations, meteorological
15 parameters are allowed to vary year to year, but anthropogenic and biomass burning
16 emissions of BC are fixed at the year 2010 levels. The simulations thus represent the
17 impact of variations in meteorological parameters on the interannual variations of BC.
18 The evaluations of GEOS-Chem aerosol simulations in China using the MERRA and
19 GEOS-4 data are discussed in the studies, e.g., Mao et al. (2016) and Yang et al.
20 (2015), respectively.

21

22 **2.2 The Definition of EAM Index**

23 The interannual variations in the strength of the EAM are commonly represented
24 by the indexes. Following Zhu et al. (2012) and Yang et al. (2014), we use the EASM
25 index (EASMI, **Fig. 1a**) introduced by Li and Zeng (2002) in the present study based
26 on the GEOS-4 meteorological parameters for 1986–2006 or the MERRA data for
27 1980–2010 (referred to as EASMI_GEOS and EASMI_MERRA, respectively). The
28 EASMI calculated using the reanalyzed NCEP/NCAR datasets (Kalnay et al., 1996;
29 Zhu et al., 2012, referred to as EASMI_NCEP, not shown) agrees well ($r > 0.97$) with



1 EASMI_GEOS for 1986–2006 and with EASMI_MERRA for 1980–2010, indicating
2 that both the GEOS-4 and MERRA data have a good representation of the strength of
3 the EASM. Positive values of EASMI indicate strong summer monsoon years while
4 negative values indicate weak monsoon years.

5 Numerous studies have shown that the intensity of the EAWM is closely tied with
6 wind, air temperature, and precipitation (e.g., Yan et al., 2009). The definitions of the
7 EAWM index (EAWMI) are thus quite different in the previous studies (Table 1).
8 Here we calculate the EAWMI (**Fig. 1b**) as the sum of zonal sea level pressure
9 differences (110°E vs. 160°E) over $20\text{--}70^{\circ}\text{N}$, following Wu and Wang (2002). The
10 EAWMIs in GEOS-4 and MERRA (referred to as EAWMI_GEOS and
11 EAWMI_MERRA) in the present study show strong correlations with those based on
12 surface temperature, wind, and pressure ($r = 0.51\text{--}0.82$, Table 1) and are generally
13 consistent with that in NECP (referred to as EAWMI_NCEP), with the correlation
14 coefficients larger than 0.94. The EAWMIs in GEOS and MERRA are thus reliable to
15 represent the strength of the EAWM. Similarly, negative (positive) values of EAWMI
16 indicate weak (strong) winter monsoon years.

17

18 **3. Impact of EASM on Interannual Variation of BC**

19 **3.1 Simulated JJA BC in GEOS-4 and MERRA**

20 **Fig. 1a** also show simulated JJA surface concentrations of BC averaged over
21 eastern China ($110\text{--}125^{\circ}\text{E}$, $20\text{--}45^{\circ}\text{N}$). Simulated JJA surface concentrations of BC
22 have strong interannual variations, which range from $0.95\text{--}1.04\ \mu\text{g m}^{-3}$ (-5.3% to
23 4.2%) in VMET and $0.65\text{--}0.78\ \mu\text{g m}^{-3}$ (-6.8% to 12.5%) in VMETG4. During the
24 period of 1986–2006, JJA surface BC concentrations on average are $0.30\ \mu\text{g m}^{-3}$
25 (44%) higher in MERRA than in GEOS-4. Our analyses indicate that different
26 precipitation patterns between GEOS-4 and MERRA likely account for the
27 abovementioned differences in BC concentrations using the two meteorological fields.



1 We find that the JJA mean precipitation is stronger in GEOS-4 than in MERRA
2 in most of China, except in southern China (**Fig. 1S**). In **Fig. 2a**, we further compare
3 the differences in precipitation between GEOS-4 and MERRA averaged over eastern
4 China. The JJA mean precipitation in GEOS-4 is 2.5 mm d^{-1} (29%) stronger than that
5 in MERRA for 1986–2006. The resulting wet deposition (**Fig. 2b**) is also higher by
6 0.018 kg s^{-1} (11%) in GEOS-4 than in MERRA. The stronger precipitation in
7 GEOS-4 thus results in the significantly lower surface BC concentrations.

8

9 **3.2 Correlation between JJA BC and EASMI**

10 In simulations VMET and VMETG4, we find that monsoon strength has large
11 impacts on summertime BC concentrations over eastern China. JJA surface
12 concentrations of BC negatively correlate with both the EASMI_GEOS4 and
13 EASMI_MERRA (**Fig. 1a**). The correlation coefficient between simulated surface BC
14 concentrations and the EASMI_GEOS4 is -0.7 for 1986–2006, and those for the
15 EASMI_MERRA are -0.5 for 1980–2010 and -0.4 for 1986–2006. Simulated surface
16 BC concentrations are thus high (low) in the weak (strong) EASM years.

17 **Fig. 3a** shows the spatial distributions of the correlation coefficients between BC
18 surface concentrations and the EASMI_GEOS4 or EASMI_MERRA. Negative
19 correlations are found in central and northeastern China with the strongest negative
20 correlations in eastern China and the Tibetan Plateau (<-0.8), while positive
21 correlations are over southern and northwestern China with the largest values in
22 southern China (> 0.7). The correlation coefficients in GEOS-4 and MERRA show
23 similar spatial distribution and magnitude, except that positive correlations are found
24 in larger regions in MERRA than in GEOS-4. Our results are generally consistent
25 with those from Zhu et al. (2012), which reported that surface concentrations of $\text{PM}_{2.5}$
26 in GEOS-4 are high in northern China ($110\text{--}125^\circ \text{E}$, $28\text{--}45^\circ \text{N}$) but low in southern
27 China ($110\text{--}125^\circ \text{E}$, $20\text{--}27^\circ \text{N}$) in the weak EASM years than in the strong monsoon
28 years.

29



1 3.3 Differences in BC between Weak and Strong EASM years

2 In order to quantify to what degree the strength of the EASM influences surface
3 BC concentrations in China, we examine the differences in the JJA mean surface BC
4 concentrations between five weakest (1988, 1993, 1995, 1996, and 1998) and five
5 strongest (1990, 1994, 1997, 2004, and 2006) EASM years during 1986–2006 (**Fig.**
6 **4a**). We select these weakest (or strongest) monsoon years based on the five largest
7 negative (or positive) values of the normalized EASMI in both GEOS-4 and MERRA
8 within 1986–2006. The spatial distribution of the differences in concentrations
9 between the weakest and strongest summer monsoon years is in good agreement with
10 the distribution of the correlation coefficients between concentrations and EASMI
11 (**Fig. 3a**). The differences in JJA mean surface BC concentrations are highest in
12 northern China with a maximum exceeding $0.3 \mu\text{g m}^{-3}$ (40%). Relative to the strongest
13 summer monsoon years, JJA surface BC concentrations in GEOS-4 in the weakest
14 summer monsoon years are $0.09 \mu\text{g m}^{-3}$ (11%) higher over northern China and 0.03
15 $\mu\text{g m}^{-3}$ (11%) lower over southern China (Table 2). The corresponding values in
16 MERRA are $0.04 \mu\text{g m}^{-3}$ (3%) higher over northern China and $0.04 \mu\text{g m}^{-3}$ (10%)
17 lower over southern China. In the eastern China, JJA surface BC concentrations in the
18 weakest monsoon years are higher on average by $0.05 \mu\text{g m}^{-3}$ (9%) in GEOS-4 and by
19 $0.02 \mu\text{g m}^{-3}$ (2%) in MERRA. The different patterns of BC concentrations between
20 northern and southern China can also be seen in **Fig. 5a**, which shows the
21 height-latitude plot of the differences in BC concentrations averaged over 110–125 °E
22 between the five weakest and five strongest monsoon years. BC concentrations in the
23 whole troposphere are lower south of 27 °N but higher north of 27 °N in the weakest
24 monsoon years than in the strongest years.

25 Zhu et al. (2012) have shown that the impacts of the EASM on aerosol
26 concentrations in eastern China are mainly by the changes in atmospheric circulation.
27 **Fig. 6a** shows composite differences in JJA 850 hPa wind (m s^{-1}) between the five
28 weakest and five strongest EASM years from the GEOS-4 and MERRA data. Relative
29 to the strong EASM years, an anomalous convergence in northern China leads to an



1 increase in BC concentrations in the weak EASM years in the region, while an
2 anomalous anticyclone in the south of the middle and lower reaches of the Yangtze
3 River and nearby oceans results in the decreased BC concentrations in southern China
4 (**Fig. 4a**). The convergence and divergence can also be seen in **Fig. 7a**, which shows
5 anomalous vertical transport of BC concentrations averaged over 110–125° E.
6 Compared to the strong monsoon years, increased upward mass fluxes of BC
7 concentrations are found north of 25° N in both MERRA and GEOS-4, while
8 decreased fluxes exist south of 25° N. The pattern of the anomalous vertical transport
9 of BC concentrations confirms the anomalous convergence in northern China and
10 anomalous divergence in southern China in the weakest monsoon years.

11 The differences in winds between the weak and strong monsoon years lead to
12 differences in transport of BC. We summary in Table 3 the differences in simulated
13 horizontal mass fluxes of JJA BC at the four lateral boundaries of the box in northern
14 and southern China (**Fig. 4a**, from the surface to 10 km), based on simulations
15 VMETG4 and VMET. The boxes are selected as BC concentrations in the regions are
16 higher or lower in the weakest monsoon years than in the strongest monsoon years
17 (**Fig. 4a**). In northern China, the weakest monsoon years show larger inflow fluxes of
18 BC by 1.27 (1.01) and 2.40 (1.21) kg s⁻¹, respectively, at the south and west
19 boundaries, lower outflow by 0.62 (0.67) kg s⁻¹ at the north boundary, and larger
20 outflow by 3.28 (1.29) kg s⁻¹ at the east boundary, based on simulation VMETG4
21 (VMET). The net effect is a larger inflow of BC by 1.01 (1.60) kg s⁻¹, which leads to
22 the higher surface BC concentrations in the weakest monsoon years in northern China.
23 In southern China, we find larger inflow by 0.81 (0.35) kg s⁻¹ at the west boundary,
24 larger outflow by 0.91 (0.72) kg s⁻¹ at the north boundary, and less outflow by 0.09
25 (0.09) kg s⁻¹ at the east boundary. Relative to the strongest monsoon years, the inflow
26 in the south boundary in the weakest monsoon years is less by 0.09 kg s⁻¹ in GEOS-4
27 and larger by 0.01 kg s⁻¹ in MERRA. As a result, the weakest monsoon years have
28 larger outflow fluxes of 0.09 and 0.27 kg s⁻¹ than the strongest monsoon years in
29 GEOS-4 and in MERRA, respectively. These results indicate that the differences in
30 transport of BC due to the changes in atmospheric circulation are a dominant



1 mechanism through which the EASM influences the variations of JJA BC
2 concentrations in eastern China.

3

4 **3.4 Impact of EASM on Vertical Profile and DRF of BC**

5 Previous studies have shown that vertical distribution of BC is critical for the
6 calculation of the BC DRF (e.g., Bond et al., 2013; Li et al., 2016). The calculation of
7 the BC DRF is dependent on several factors, e.g., BC lifetime and radiative forcing
8 efficiency, which are significantly influenced by vertical distribution of BC. Vertical
9 profile of BC affects its wet scavenging and hence its lifetime (Bond et al., 2013). The
10 direct radiative forcing efficiency of BC enhanced considerably when BC is located at
11 high altitude largely because of the radiative interactions with clouds (Samset et al.,
12 2013). For example, BC above 5 km accounts for ~40% of the global DRF of BC
13 (Samset et al., 2013).

14 **Fig. 8a** compares the simulated JJA mean all-sky DRF of BC at the TOA in the
15 five weakest and five strongest EASM years during 1986–2006. Model results are
16 from simulation VMET. The BC DRF is calculated using the Rapid Radiative
17 Transfer Model for GCMs (RRTMG, Heald et al., 2014), which is discussed in details
18 by Mao et al. (2016). We find that the BC DRF is highest ($> 3.0 \text{ W m}^{-2}$) over northern
19 China in JJA. The spatial distributions of the differences in the BC DRF between the
20 weakest and strongest monsoon years are similar to those in BC concentrations (**Fig.**
21 **4a**). Relative to the strongest monsoon years, the TOA DRF of BC shows an increase
22 north of 28°N while a reduction south of 27°N in the weakest monsoon years. The
23 BC DRF in northern China is 0.04 W m^{-2} (3%, Table 4) higher in the weakest than
24 strongest monsoon years, with a maximum of 0.3 W m^{-2} in Jiangsu province. In
25 southern China, the weakest monsoon years have a lower DRF by 0.06 W m^{-2} (14%).
26 As a result, the TOA DRF of BC in eastern China is 0.01 W m^{-2} (1%) higher in the
27 weakest monsoon years than in the strongest monsoon years.

28 We further compare in **Fig. 9a** the vertical distribution of simulated JJA mean
29 all-sky DRF of BC in the five weakest and five strongest EASM years, averaged over



1 110–125 °E. We find large BC-induced forcing at the latitude of 35–40 °N; BC DRF
2 is higher by $>0.13 \text{ W m}^{-2}$ (10–20%) over 30–35 °N in the five weakest EASM years
3 compared to the five strongest EASM years, which are consistently with those in **Fig.**
4 **8a**. A maximum BC DRF ($>2 \text{ W m}^{-2}$) is shown approximately at an altitude of 3–10
5 km, because of the larger direct radiative forcing efficiency of BC at high altitude.

6 **Fig. 10a** shows the simulated vertical profiles of JJA BC mass concentrations (μg
7 m^{-3}) averaged over eastern China for 1986–2006. The simulated BC concentrations
8 are higher in MERRA than in GEOS-4 below 3 km. We find that the vertical profiles
9 of JJA BC in GEOS-4 generally show larger interannual variations than those in
10 MERRA. The variations of JJA BC in MERRA and in GEOS-4 range from –5% to 4%
11 (–7% to 12%) at the surface, –25% to 16% (–23% to 23%) at 1 km, –35% to 42% (–
12 32% to 46%) at 2 km, –23% to 32% (–25% to 67%) at 3 km, –13% to 10% (–18% to
13 71%) at 4 km, –10% to 7% (–14% to >76%) at 5–8 km. The differences in vertical
14 profiles of BC in MERRA between the weakest and strongest EASM years (1998–
15 1997) are –46% to 7%, with the largest differences of $-0.09 \mu\text{g m}^{-3}$ at ~2 km. We
16 further compare the differences in simulated vertical profiles of JJA BC between the
17 five weakest and five strongest EASM years averaged over northern and southern
18 China in MERRA. The decreased BC concentrations throughout the troposphere in
19 the weakest monsoon years lead to a reduction in the BC DRF in southern China,
20 while the increased BC concentrations below 2 km result in a significant increase of
21 the BC DRF in northern China.

22 Studies have shown that the impact of non-China emissions is significant on
23 vertical profiles and hence DRF of BC in China; the contributions of non-China
24 emissions to concentrations and DRF of BC in China are larger than 20% at 5 km
25 altitude and about 17–43%, respectively (e.g., Li et al., 2016). **Figure 11a** shows
26 vertical distribution of simulated JJA mean all-sky DRF of BC due to non-China
27 emissions in the five weakest and five strongest EASM years, averaged over 110–125 °
28 E. The non-China emissions induce a high ($> 0.16 \text{ W m}^{-2}$) BC DRF above ~5 km due
29 to the significant contributions of non-China emissions to BC concentrations at high
30 altitudes. Compared to the five strongest EASM years, the simulated DRF of BC due



1 to non-China emissions in the weakest EASM years is larger (by ~10%) at 25–40 °N,
2 because of the higher (by > 10%) BC concentrations transported to the region (**Fig.**
3 **12a**).

4

5 **4 Impact of EAWM on Interannual Variation of BC**

6 **4.1 Simulated DJF BC in GEOS-4 and MERRA**

7 Simulated DJF surface BC concentrations averaged over eastern China also have
8 strong interannual variations, ranging from 1.30–1.58 $\mu\text{g m}^{-3}$ (–8.9 to 10.8%) in
9 GEOS-4 for 1986–2006 and from 2.05–2.31 $\mu\text{g m}^{-3}$ (–7.0% to 5.2%) in MERRA for
10 1980–2010 (**Fig. 1b**). DJF mean surface concentrations of BC for 1986–2006 are 0.77
11 $\mu\text{g m}^{-3}$ (54%) higher in MERRA than in GEOS-4. Again, the consistently stronger
12 precipitation in GEOS-4 (by 0.3 mm d^{-1} , 21% on average) largely accounts for the
13 lower surface BC concentrations (**Figs. 1S** and **2a**). The DJF mean precipitation
14 averaged for 1986–2006 is higher in GEOS-4 than in MERRA in most of China (**Fig.**
15 **1S**), except in the delta of the Yangtze River in eastern China. The resulting
16 differences in BC wet deposition between GEOS-4 and MERRA show similar
17 patterns as those in precipitation (not shown). The DJF mean wet deposition of BC in
18 GEOS-4 is generally higher (by 0.007 kg s^{-1} , 5% on average) than that in MERRA for
19 1986–2006, except in 1998 (**Fig. 2b**). In addition, we find that the planetary boundary
20 layer height (PBLH) partially accounts for the abovementioned differences in surface
21 BC concentrations between GEOS-4 and MERRA. The DJF mean PBLH is generally
22 higher in GEOS-4 than in MERRA by 11.6 m (2%, not shown).

23

24 **4.2 Correlation between DJF BC and EAWMI**

25 **Fig. 1b** shows the normalized EAWMI and simulated DJF mean surface BC
26 concentrations averaged over eastern China from simulation VMET for 1980–2010
27 and from VMETG4 for 1986–2006. The correlation coefficient between the surface
28 BC concentrations and the EAWMI_GEOS4 is –0.7 for 1986–2006, and those



1 between surface BC and the EAWMI_MERRA are -0.6 and -0.7 , respectively, for
2 1980–2010 and for 1986–2006. Different definitions of the EAWMI also show
3 negative correlations with simulated DJF surface BC concentrations (Table 1, $r = -$
4 0.16 to -0.72). This negative correlation between simulated DJF mean surface BC
5 concentrations and the EAWMIs over eastern China indicates that surface BC
6 concentrations are generally high in the weak winter monsoon years. The correlation
7 coefficients in GEOS-4 and MERRA show similar spatial distribution and magnitude;
8 negative correlations are found in most of China, while positive correlations are over
9 southwestern China (**Fig. 3b**).

10

11 **4.3 Differences in BC between Weak and Strong EAWM years**

12 **Fig. 4b** shows the differences in simulated DJF mean surface BC concentrations
13 ($\mu\text{g m}^{-3}$) between weakest (1990, 1993, 1997, 1998, and 2002) and strongest (1986,
14 1996, 2001, 2005, and 2006) EAWM years during 1986–2006 from model
15 simulations using the GEOS-4 and MERRA data. The spatial distribution of the
16 differences in concentrations is in good agreement with the distribution of the
17 correlation coefficients between the EAWMI and surface BC (**Fig. 3b**). In eastern
18 China, DJF surface BC concentrations in GEOS-4 are $0.12 \mu\text{g m}^{-3}$ (9%) higher in the
19 weakest winter monsoon years than in the strongest years (Table 2). The
20 corresponding values are $0.11 \mu\text{g m}^{-3}$ (5%) higher in MERRA. In northern China,
21 simulated surface BC concentrations are higher in the weakest monsoon years than in
22 the strongest monsoon year by $0.13 \mu\text{g m}^{-3}$ (8%) in GEOS-4 and by $0.14 \mu\text{g m}^{-3}$ (5%)
23 in MERRA. In southern China, the corresponding concentrations are higher by 0.10
24 $\mu\text{g m}^{-3}$ (12%) and $0.04 \mu\text{g m}^{-3}$ (3%), respectively, in GEOS-4 and in MERRA. We
25 find that the region over $30\text{--}40^\circ\text{N}$ has lower BC concentrations in the weakest
26 monsoon years. This lower concentrations are also shown in **Fig. 5b**, which represents
27 the height-latitude of differences in simulated DJF mean BC concentrations between
28 the five weakest and five strongest EAWM years during 1986–2006 and averaged
29 over $110\text{--}125^\circ\text{E}$ from model simulations VMETG4 and VMET. Increased BC



1 concentrations in the weakest monsoon years are found over north of 20° N in both
2 GEOS-4 and MERRA, except the region over $30\text{--}40^{\circ}$ N and above 1 km.

3 The changes in atmospheric circulation again likely account for the increased BC
4 concentrations in the weak winter monsoon years in eastern China. **Fig. 6b** shows the
5 composite differences in DJF 850 hPa wind (m s^{-1}) between the five weakest and five
6 strongest EAWM years from the GEOS-4 and MERRA data. The differences in wind
7 in GEOS-4 show a similar pattern as those in MERRA. In DJF, northerly winds are
8 weaker in the weaker monsoon years than in the stronger monsoon years. As a result,
9 anomalous southwesterlies are found in the weakest monsoon years along the coast of
10 eastern China and anomalies southeasterlies control northern China and northeast
11 China, which do not favor the outflow of pollutants from eastern China (Table 3). Fig.
12 **7b** shows the differences in simulated upward mass flux of DJF BC (kg s^{-1}) between
13 the five weakest and five strongest EAWM years. The differences are averaged over
14 the longitude range of $110\text{--}125^{\circ}$ E. Compared to the strongest monsoon years,
15 increases in upward mass flux of BC concentrations are found over $20\text{--}30^{\circ}$ N and
16 north of 40° N in the troposphere in the weakest monsoon years, confirming the
17 increased surface BC concentrations in northern and southern China (**Figs. 4b** and **5b**).
18 We find decreased upward transport of BC over $30\text{--}40^{\circ}$ N in the weakest monsoon
19 years, which is consistent with decreased concentrations in the region of static winds
20 (**Fig. 6b**). Our results are consistent with the studies, e.g., Li et al. (2015) and Zhou et
21 al. (2015), which showed that the change in wind speed and wind direction is the
22 major factor of the negative correlation between the increased winter fog-haze days
23 and the weaken of the EAWM in China.

24 We further summary in Table 3 the differences in horizontal fluxes of DJF BC at
25 the four lateral boundaries of the northern and southern boxes (**Fig. 4b**, from the
26 surface to 10 km) between the five weakest and five strongest EAWM years, based on
27 simulations VMETG4 and VMET. Both northern and southern China show increased
28 BC concentrations in the weakest monsoon years than in the strongest monsoon years
29 (**Fig. 4b**). In the southern box, we find larger inflow of BC by 1.67 (0.99) kg s^{-1} at the
30 west boundary, less inflow by 1.45 (1.19) kg s^{-1} at north boundary, less outflow by



1 0.52 (0.70) kg s^{-1} at the south boundary, and larger outflow by 0.55 (0.10) kg s^{-1} at
2 east boundary, from simulation VMETG4 (VMET). The net effect in southern China
3 is a larger inflow of BC by 0.19 (0.40) kg s^{-1} in the weakest monsoon years than in
4 the strongest monsoon years. In northern China, there is a net effect of larger inflow
5 of BC by 0.64 (0.62) kg s^{-1} because of the anomalous southerlies and westerlies in the
6 weakest monsoon years. The anomalous southerlies in northern China thus prevent
7 the outflow of pollutants and lead to an increase in BC concentrations in the region in
8 the weakest monsoon years.

9

10 4.4 Impact of EAWM on Vertical Profile and DRF of BC

11 **Fig. 8b** shows the simulated DJF mean all-sky TOA DRF of BC in the five
12 weakest and strongest EAWM years during 1986–2006, based on simulation VMET.
13 The simulated BC DRF is high in eastern China, with the largest values ($> 5.0 \text{ W m}^{-2}$)
14 in the Sichuan Basin. In northern China, the TOA DRF of BC is 0.03 W m^{-2} (2%,
15 Table 4) higher in the weakest monsoon years than in the strongest monsoon years,
16 consistent to the higher BC concentrations in the region (**Fig. 4b**). We further separate
17 northern China into two regions, the central China Plain ($110\text{--}125^\circ \text{E}$, $28\text{--}36^\circ \text{N}$) and
18 the northern China Plain ($110\text{--}125^\circ \text{E}$, $37\text{--}45^\circ \text{N}$). Relative to the five strongest
19 monsoon years, the BC DRF in the weakest monsoon years is higher in the northern
20 China Plain by 0.11 W m^{-2} (11%) but lower in the central China Plain by 0.03 W m^{-2}
21 (1%). In the central China Plain, although the surface concentrations are higher by
22 $0.08 \mu\text{g m}^{-3}$ (2%) in the weakest monsoon years, the corresponding DRF is lower
23 partially because of the lower column burdens of tropospheric BC (by 0.04 mg m^{-2} ,
24 1%, from surface to 10 km). In southern China, the DRF is 0.03 W m^{-2} (3%) lower in
25 the weakest monsoon years than in the strongest monsoon years. In contrast, both
26 surface concentrations (higher by $0.04 \mu\text{g m}^{-3}$, 3%) and column burdens (higher by
27 0.02 mg m^{-2} , 2%) of BC are higher in the weakest monsoon years. We further
28 compare in **Fig. 9b** the vertical distribution of simulated DJF DRF of BC in the five
29 weakest and five strongest EAWM years, averaged over $110\text{--}125^\circ \text{E}$. The



1 BC-induced forcing is large ($>2.8 \text{ W m}^{-2}$) at the latitude of $20\text{--}40^\circ \text{ N}$ and at an
2 altitude of $5\text{--}10 \text{ km}$. BC DRF is higher by $> 0.1 \text{ W m}^{-2}$ ($> 10\%$) north of 35° N in the
3 five weakest EAWM years than in the five strongest EAWM years, consistent with
4 those in **Fig. 8b**.

5 The abovementioned differences in spatial patterns of DRF and BC concentrations
6 are likely because of the vertical distributions of BC concentrations. In general, the
7 simulated vertical profiles of DJF BC concentrations are higher in MERRA than in
8 GEOS-4, but the interannual variations are larger in GEOS-4 than in MERRA (**Fig.**
9 **10b**). The variations of DJF BC in MERRA (GEOS-4) range from -7% to 5% (-9%
10 to 11%) at the surface, -12% to 10% (-13% to 27%) at 1 km , -19% to 14% (-13% to
11 62%) at 2 km , -14% to 15% (-17% to 57%) at 3 km , -17% to 16% (-22% to 61%) at
12 4 km , -17% to $>14\%$ (-22% to $>67\%$) at $5\text{--}8 \text{ km}$. We find that the differences in
13 vertical profiles of BC in MERRA between the weakest and strongest EAWM years
14 (1990–1996) are -0.08 to $0.2 \mu\text{g m}^{-3}$ (-11% to 12%) below 10 km , with the largest
15 differences at the surface and $\sim 1.5 \text{ km}$. We further compare the differences in
16 simulated vertical profiles of DJF BC mass concentrations between the five weakest
17 and five strongest EAWM years from model simulation VMET, averaged over
18 southern China, the central China plain, and the northern China Plain. Relative to the
19 strongest monsoon years, decreased BC concentrations are found in the weakest
20 monsoon years from 2 to 5 km in southern China and from 1 to 6 km in the central
21 China Plain. The decreased BC concentrations above $1\text{--}2 \text{ km}$ lead to the reduction in
22 the DRF in the two regions. In contrast, the higher DRF of BC in the northern China
23 Plain in the weakest monsoon years is because of the increased BC concentrations
24 throughout the troposphere.

25 The lower concentrations above $1\text{--}2 \text{ km}$ in the weakest monsoon years in
26 southern China and the central Chin Plain are likely because of the weaker vertical
27 convection in the weakest monsoon years than in the strongest monsoon years. We
28 calculate the horizontal and vertical fluxes of BC in two boxes of southern China and
29 the central China Plain from 1 to 6 km (Table 5). In vertical direction, the two boxes
30 have upward fluxes in both lower and upper boundaries. Relative to the strongest



1 monsoon years, the southern box has a net outflow of 0.07 kg s^{-1} in the weakest
2 monsoon years; the central China Plain shows a net downward flux of 0.11 kg s^{-1} .
3 The corresponding net horizontal fluxes are relatively smaller, and about 0.03 kg s^{-1}
4 in southern China and 0.01 kg s^{-1} in the central China Plain. The weaker vertical
5 fluxes in the weakest monsoon years thus result in the lower BC concentrations above
6 1 km and therefore the reduction in the DRF in the two regions.

7 **Figure 11b** shows the vertical distribution of simulated DJF mean all-sky DRF of
8 BC due to non-China emissions in the five weakest and five strongest EAWM years,
9 averaged over $110\text{--}125^\circ\text{E}$. The non-China emissions induce a high ($> 0.35 \text{ W m}^{-2}$)
10 BC DRF at $15\text{--}35^\circ\text{N}$. We also find a higher (by $>5\%$) DRF of BC north of 25°N in
11 the weakest EAWM years than in the strongest years, due to the larger BC
12 concentrations at the low troposphere in the weakest EAWM years (**Fig. 12b**).

13

14 5. Summary and conclusions

15 We quantified the impacts of the EASM and EAWM on the interannual variations
16 of mass concentrations and DRF of BC in eastern China for 1986–2006 and examined
17 the relevant mechanisms. We conducted simulations with fixed anthropogenic and
18 biomass burning emissions at the year 2010 levels and driven by GEOS-4 for 1986–
19 2006 and by MERRA for 1980–2010.

20 We found that simulated JJA and DJF surface BC concentrations averaged over
21 eastern China were higher in MERRA than in GEOS-4 by $0.30 \mu\text{g m}^{-3}$ (44%) and
22 $0.77 \mu\text{g m}^{-3}$ (54%), respectively. Our analyses indicated that generally higher
23 precipitation in GEOS-4 than in MERRA largely accounted for the differences in BC
24 concentrations using the two meteorological fields.

25 In JJA, simulated BC concentrations showed interannual variations of -5% to 4%
26 in MERRA (-7% to 12% in GEOS-4) at the surface and -35% to 42% in MERRA ($-$
27 32% to $>76\%$ in GEOS-4) above 1 km. The differences in vertical profiles of BC
28 between the weakest and strongest EASM years (1998–1997) reached up to $-0.09 \mu\text{g}$



1 m^{-3} (−46%) at 1–2 km. Simulated JJA surface BC concentrations negatively
2 correlated with the strength of the EASM ($r = -0.7$ in GEOS-4 and -0.4 in MERRA),
3 mainly by the changes in atmospheric circulation. Relative to the five strongest
4 EASM years, simulated JJA surface BC concentrations in the five weakest EASM
5 years were higher over northern China by $0.09 \mu\text{g m}^{-3}$ (11%) in GEOS-4 and by 0.04
6 $\mu\text{g m}^{-3}$ (3%) in MERRA. The corresponding concentrations were lower over southern
7 China by $0.03 \mu\text{g m}^{-3}$ (11%) and $0.04 \mu\text{g m}^{-3}$ (10%). The resulting JJA mean TOA
8 DRF of BC were 0.04 W m^{-2} (3%) higher in northern China but 0.06 W m^{-2} (14%)
9 lower in southern China.

10 In DJF, the changes in meteorological parameters alone led to interannual
11 variations in BC concentrations ranging from -7% to 5% in MERRA (-9% to 11% in
12 GEOS-4) at the surface and -19% to $>14\%$ in MERRA (-22% to $>67\%$ in GEOS-4)
13 above 1 km. Simulated DJF surface BC concentrations negatively correlated with the
14 EAWMI ($r = -0.7$ in GEOS-4 and -0.7 in MERRA), indicating higher DJF surface
15 BC concentrations in the weaker EAWM years. We also found that the changes in
16 atmospheric circulation likely accounted for the increased BC concentrations in the
17 weak EAWM years. In winter, anomalous southerlies in the weak monsoon years did
18 not favor the outflow of pollutants, leading to an increase in BC concentration.
19 Compared to the five strongest EAWM years, simulated DJF surface BC
20 concentrations in the five weakest EAWM years were higher in northern China by
21 $0.13 \mu\text{g m}^{-3}$ (8%) in GEOS-4 and $0.14 \mu\text{g m}^{-3}$ (5%) in MERRA. The corresponding
22 concentrations were also higher in southern China by $0.10 \mu\text{g m}^{-3}$ (12%) and $0.04 \mu\text{g}$
23 m^{-3} (3%). The resulting TOA DRF of DJF BC was 0.03 W m^{-2} (2%) higher in
24 northern China but 0.03 W m^{-2} (2%) lower in southern China. In southern China, the
25 decreased BC concentrations above 1–2 km in the weakest EAWM years led to the
26 reduction in BC DRF, likely due to the weaker vertical convection. The vertical
27 profiles of BC are lower in weakest EAWM year (1990) than in the strongest year
28 (1996) above 1–2 km, with the largest values of $-0.08 \mu\text{g m}^{-3}$ (−11%) in eastern
29 China.

30



1 *Acknowledgements.* This work was supported by the National Basic Research
2 Program of China (973 program, Grant 2014CB441202), the Strategic Priority
3 Research Program of the Chinese Academy of Sciences Strategic Priority Research
4 Program Grant No. XDA05100503, the National Natural Science Foundation of
5 China under grants 91544219, 41475137, and 41321064. The GEOS-Chem model is
6 managed by the Atmospheric Chemistry Modeling group at Harvard University with
7 support from the NASA ACMAP program.

8

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1 **Table 1.** Correlation coefficients among different definitions of the strength of the
 2 East Asian winter monsoon (EAWM), and between the EAWM Index (EAWMI) and
 3 simulated December-January-February (DJF) mean surface BC concentrations
 4 averaged over eastern China (110–125 °E, 20–45 °N). Simulated BC concentrations
 5 are from model simulations VMETG4 and VMET, and corresponding monsoon
 6 indexes are calculated based on GEOS-4 and MERRA assimilated meteorological
 7 data.

Correlation	GEOS-4 (1986-2006)		MERRA (1986-2006)		MERRA (1980-2010)	
	EAWMI ¹	BC	EAWMI	BC	EAWMI	BC
EAWMI_T ²	0.63	-0.57	0.58	-0.16	0.56	-0.29
EAWMI_V ³	0.51	-0.31	0.56	-0.50	0.54	-0.40
EAWMI_U ⁴	0.77	-0.42	0.82	-0.72	0.73	-0.69
EAWMI_P ₁ ⁵	0.65	-0.33	0.72	-0.38	0.77	-0.41
EAWMI_P ₂ ⁶	0.71	-0.61	0.72	-0.68	0.70	-0.66

8 ¹EAWMI_i = norm($\sum_{20^{\circ}\text{N}}^{70^{\circ}\text{N}} (P_{1i} - P_{2i})$), P_{1i} is the DJF mean sea level pressure over 110 °E, P_{2i} is the
 9 DJF mean sea level pressure over 160 °E (Wu and Wang, 2002).

10 ²EAWMI_T_i = $\bar{T} - \bar{T}_i$, \bar{T}_i is the DJF mean surface temperature over the region of 20–40 °N and 110–
 11 135 °E for year i , \bar{T} is the mean of \bar{T}_i (Yan et al., 2009).

12 ³EAWMI_V_i = $\bar{V} - \bar{V}_i$, \bar{V}_i is the DJF mean 850 hpa meridional wind over the region of 20–40 °N and
 13 110–135 °E for year i , \bar{V} is the mean of \bar{V}_i (Yan et al., 2009).

14 ⁴EAWMI_U_i = $\bar{U}_{1i} - \bar{U}_{2i}$, \bar{U}_{1i} is the DJF mean 300 hpa zonal wind over the region of 27.5–37.5 °N
 15 and 110–170 °E for year i , \bar{U}_{2i} is the DJF mean 300 hpa zonal wind over the region of
 16 50–60 °N and 80–140 °E for year i (Jhun et al., 2004).

17 ⁵EAWMI_P_{1i} = $\bar{P}_{1i} - \bar{P}_{2i}$, \bar{P}_{1i} is the DJF mean sea level pressure over the region of 30–55 °N and
 18 110–130 °E for year i , \bar{P}_{2i} is the DJF mean sea level pressure over the region of 20–40 °
 19 N and 150–180 °E for year i (Yan et al., 2009).

20 ⁶EAWMI_P_{2i} = $\bar{P}_{1i} - \bar{P}_{2i}$, \bar{P}_{1i} is the DJF mean sea level pressure over the region of 40–60 °N and 80–120 °
 21 E for year i (Yan et al., 2009).



1 **Table 2.** Simulated JJA (DJF) mean surface BC concentrations ($\mu\text{g m}^{-3}$) in the five
 2 weakest and five strongest EASM (EAWM) years during 1986–2006. Results are
 3 from simulations VMETG4 and VMET averaged over northern China (110–125 °E,
 4 28–45 °N), southern China (110–125 °E, 20–27 °N), and eastern China (110–125 °E,
 5 20–45 °N).

Month	Region	Surface Concentrations of BC ($\mu\text{g m}^{-3}$)					
		GEOS-4			MERRA		
		Weak	Strong	Difference ^a	Weak	Strong	Difference
JJA	southern China	0.24	0.27	–0.03 (–11%)	0.37	0.41	–0.04 (–10%)
	northern China	0.94	0.85	0.09 (11%)	1.30	1.26	0.04 (3%)
	eastern China	0.72	0.67	0.05 (9%)	1.02	1.00	0.02 (2%)
DJF	southern China	0.90	0.80	0.10 (12%)	1.14	1.10	0.04 (3%)
	northern China	1.76	1.63	0.13 (8%)	2.76	2.62	0.14 (5%)
	eastern China	1.37	1.50	0.12 (9%)	2.26	2.15	0.11 (5%)

7 ^aThe difference is (Weakest–Strongest) and the relative difference in percentage is in parentheses.

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1 **Table 3.** The composite analyses of JJA (DJF) horizontal fluxes of BC (kg s^{-1}) for
 2 two selected boxes (northern China ($110\text{--}125^\circ\text{E}$, $28\text{--}45^\circ\text{N}$) and southern China
 3 ($110\text{--}125^\circ\text{E}$, $20\text{--}27^\circ\text{N}$), from the surface to 10 km) based on simulations VMETG4
 4 and VMET. The values are averages over the five weakest and five strongest EASM
 5 (EAWM) years during 1986–2006. For horizontal fluxes, positive values indicate
 6 eastward or northward transport and negative values indicate westward or southward
 7 transport.

Boundary	GEOS-4			MERRA		
	Weakest	Strongest	Difference ^a	Weakest	Strongest	Difference ^a
JJA, northern China ($110\text{--}125^\circ\text{E}$, $28\text{--}45^\circ\text{N}$)						
South	+2.24	+0.97	+1.27	+1.93	+0.92	+1.01
North	+3.44	+4.06	−0.62	+3.90	+4.57	−0.67
West	+6.60	+4.20	+2.40	+8.72	+7.51	+1.21
East	+12.48	+9.20	+3.28	+3.60	+2.31	+1.29
Net	inflow 1.01			inflow 1.60		
JJA, southern China ($110\text{--}125^\circ\text{E}$, $20\text{--}27^\circ\text{N}$)						
South	+0.62	+0.70	−0.08	+0.61	+0.60	+0.01
North	+1.79	+0.88	+0.91	+1.67	+0.95	+0.72
West	+0.94	+0.13	+0.81	+0.47	+0.12	+0.35
East	+0.33	+0.42	−0.09	+0.18	+0.27	−0.09
Net	outflow 0.09			outflow 0.27		
DJF, northern China ($110\text{--}125^\circ\text{E}$, $28\text{--}45^\circ\text{N}$)						
South	−6.35	−8.24	+1.89	−4.51	−5.96	+1.45
North	−0.37	−0.71	+0.34	+0.64	−0.28	+0.92
West	+11.60	+11.41	+0.19	+12.01	+12.90	−0.89



East	+22.77	+21.67	+1.10	+23.55	+24.53	-0.98
Net	inflow 0.64			inflow 0.62		
DJF, southern China (110–125 °E, 20–27 °N)						
South	-3.09	-3.61	+0.52	-2.77	-3.47	+0.70
North	-5.23	-6.68	+1.45	-4.40	-5.59	+1.19
West	+1.03	-0.64	+1.67	+1.24	+0.25	+0.99
East	+2.68	+2.13	+0.55	+0.98	+0.88	+0.10
Net	inflow 0.19			inflow 0.40		

1 ^aThe difference is (Weakest–Strongest).

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1 **Table 4.** Simulated JJA (DJF) mean all-sky direct radiative forcing (DRF) of BC (W
 2 m^{-2}) at the top of the atmosphere (TOA) in the five weakest and five strongest EASM
 3 (EAWM) years during 1986–2006. Results are from simulation VMET averaged over
 4 eastern China ($110\text{--}125^\circ\text{E}$, $20\text{--}45^\circ\text{N}$), northern China ($110\text{--}125^\circ\text{E}$, $28\text{--}45^\circ\text{N}$), the
 5 northern China Plain ($110\text{--}125^\circ\text{E}$, $37\text{--}45^\circ\text{N}$), the central China Plain ($110\text{--}125^\circ\text{E}$,
 6 $28\text{--}36^\circ\text{N}$), and southern China ($110\text{--}125^\circ\text{E}$, $20\text{--}27^\circ\text{N}$).

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Month	Region	TOA DRF of BC, MERRA (W m^{-2})		
		Weak	Strong	Difference ^a
JJA	southern China	0.34	0.40	−0.06 (14%)
	northern China	1.41	1.38	0.04 (3%)
	eastern China	1.08	1.07	0.01 (1%)
DJF	southern China	1.04	1.07	−0.03 (3%)
	northern China	1.65	1.62	0.03 (2%)
	central China Plain	2.11	2.14	−0.03 (1%)
	northern China Plain	1.08	0.97	0.11 (11%)
	eastern China	1.46	1.45	0.01 (1%)

8 ^aThe difference is (Weakest–Strongest) and the relative difference in percentage is in parentheses.

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1 **Table 5.** The composite analyses of DJF horizontal and vertical fluxes of BC (kg s^{-1})
 2 for two selected boxes (the central China Plain ($110\text{--}125^\circ\text{E}$, $27\text{--}36^\circ\text{N}$) and southern
 3 China ($110\text{--}125^\circ\text{E}$, $20\text{--}27^\circ\text{N}$), from 1 to 6 km) based on simulation VMET. The
 4 values are averages over the five weakest and five strongest EAWM years during
 5 1986–2006. For fluxes, positive values indicate eastward, northward, or upward
 6 transport and negative values indicate westward, southward, or downward transport.

Boundary	Weakest	Strongest	Difference ^a	Net
DJF, central China Plain ($110\text{--}125^\circ\text{E}$, $28\text{--}36^\circ\text{N}$)				
South	+1.29	+0.98	+0.31	Inflow 0.01
North	+0.53	+0.07	+0.46	
West	+7.84	+8.89	−1.05	
East	+7.39	+8.61	−1.21	
Upper	+0.99	+1.24	−0.25	outflow 0.11
Bottom	+5.22	+5.56	−0.34	
DJF, southern China ($110\text{--}125^\circ\text{E}$, $20\text{--}27^\circ\text{N}$)				
South	−0.08	−0.20	+0.12	inflow 0.03
North	+0.91	−0.67	+0.24	
West	+4.40	+4.37	+0.03	
East	+1.70	+1.82	−0.12	
Upper	+0.09	+0.06	+0.03	outflow 0.07
Bottom	+1.12	+1.16	−0.04	

7 ^aThe difference is (Weakest–Strongest)

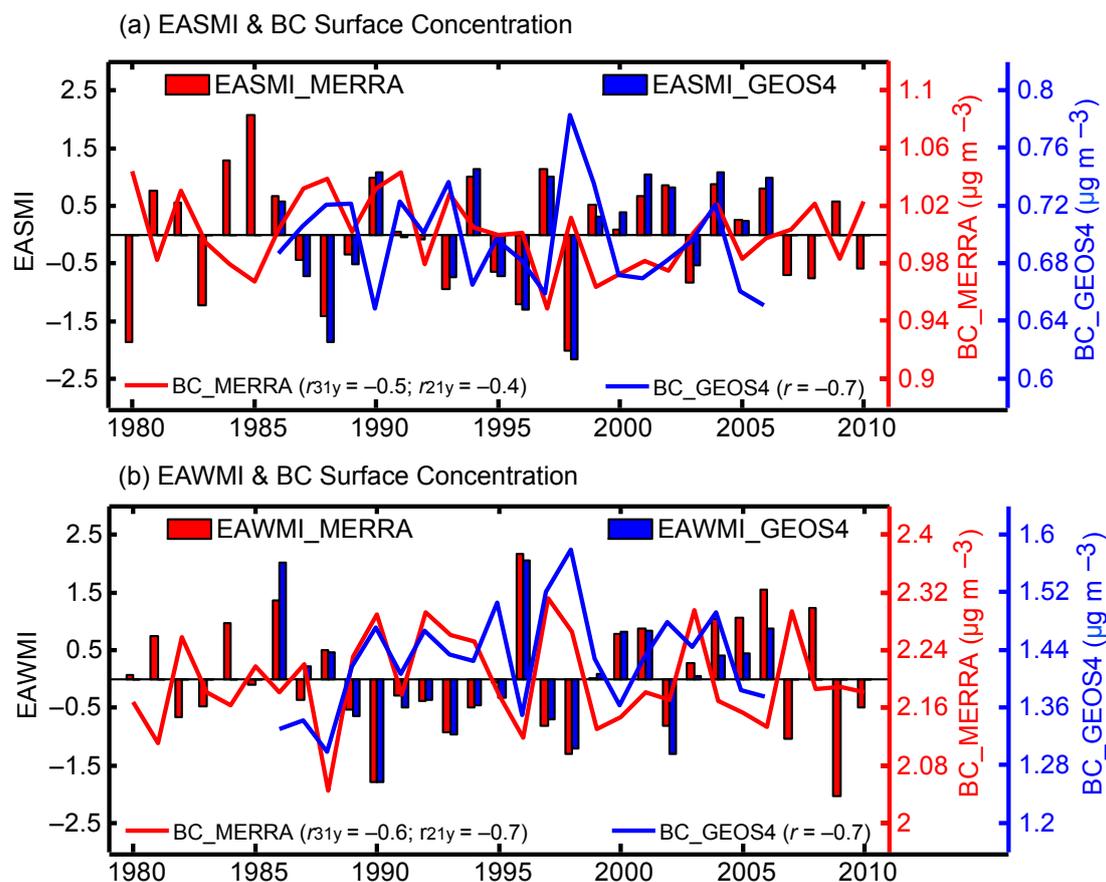


Fig. 1. (a) Normalized East Asian summer monsoon Index (EASMI, bars, left y axis) and the simulated June-July-August (JJA) mean surface BC concentrations (lines, right y axis, $\mu\text{g m}^{-3}$) averaged over eastern China ($20\text{--}45^\circ\text{ N}$, $110\text{--}125^\circ\text{ E}$) from model simulation VMET (red line) for 1980–2010 and from VMETG4 (blue line) for 1986–2006. EASMI are calculated based on MERRA (red bars) and GEOS-4 (blue bars) assimilated meteorological data following Li and Zeng (2002). (b) Same as (a), but for normalized East Asian winter monsoon Index (EAWMI) and the simulated December-January-February (DJF) mean surface BC concentrations. EAWMIs are calculated following Wu and Wang (2002).

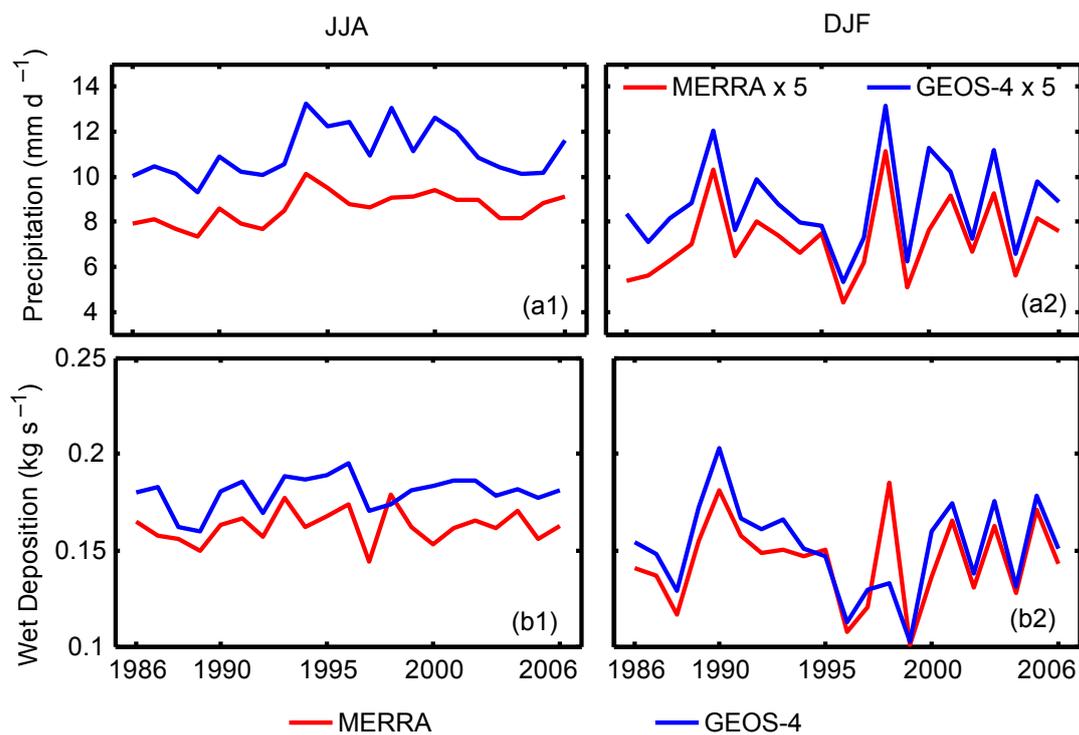


Fig. 2. (a) JJA and DJF mean precipitation (mm d^{-1}) averaged over eastern China for 1986–2006 from GEOS-4 (blue lines) and MERRA (red lines) meteorological data. DJF mean precipitation is multiplied by 5 in (a2). (b) Same as (a), but for wet deposition (kg s^{-1}).

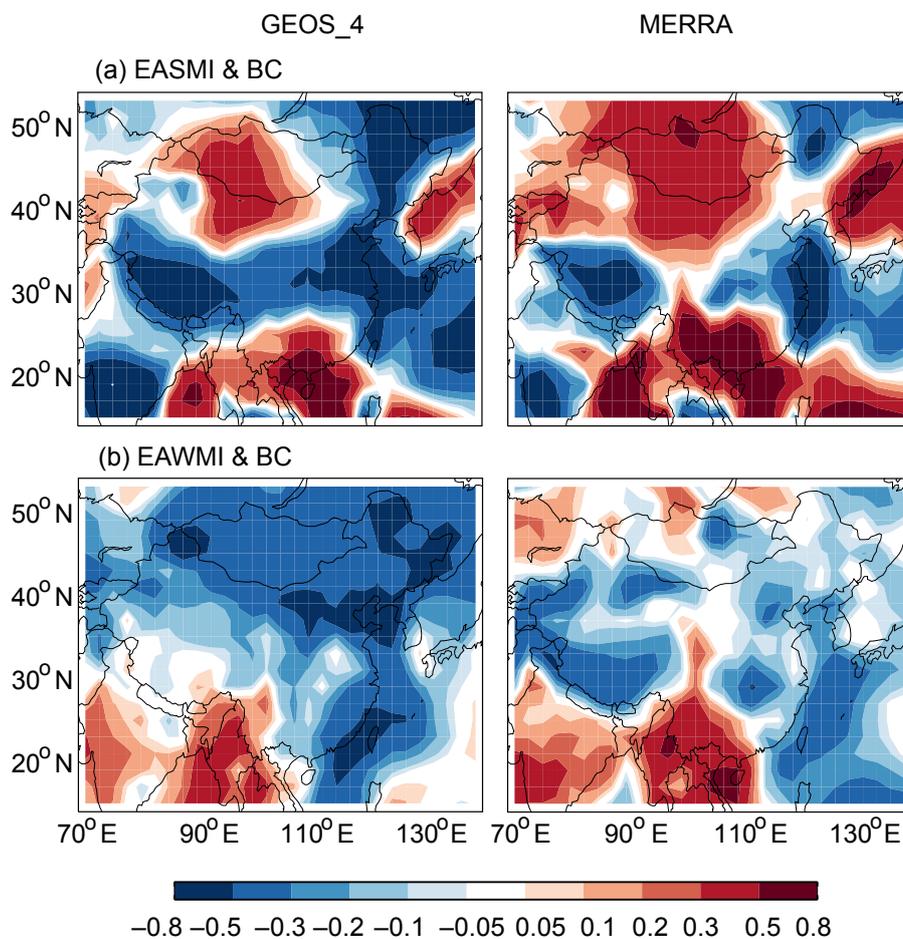


Fig. 3. (a) Correlation coefficients between EASMI and JJA mean surface BC concentrations during 1986–2006. (b) Correlation coefficients between EAWMI and DJF mean surface BC concentrations during 1986–2006. Simulated BC concentrations are from model simulations VMETG4 (left) and VMET (right), and monsoon indexes are calculated based on GEOS-4 (left) and MERRA (right) assimilated meteorological data.

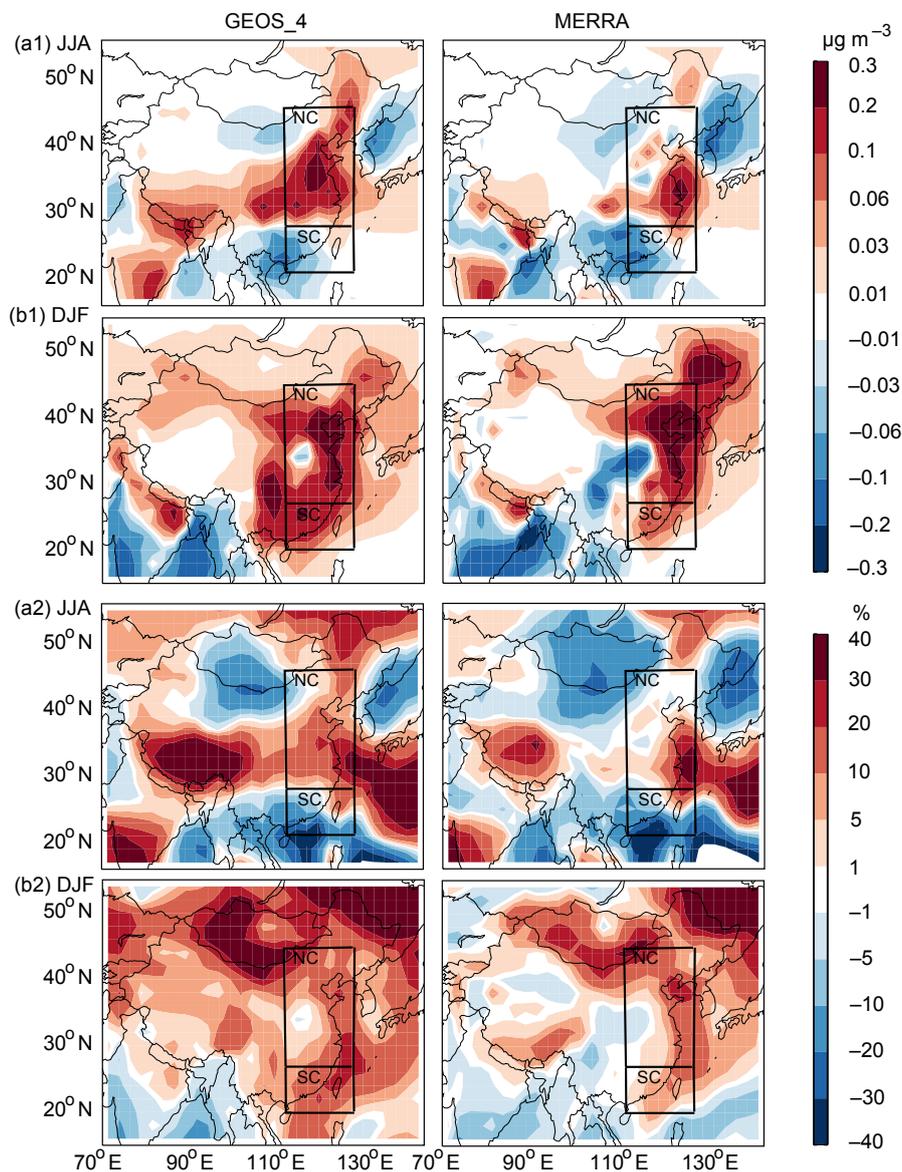


Fig. 4. (a1) Absolute ($\mu\text{g m}^{-3}$) and (a2) percentage (%) differences in simulated JJA mean surface BC concentrations between weakest (1988, 1993, 1995, 1996, and 1998 and strongest (1990, 1994, 1997, 2004, and 2006) EASM years during 1986–2006 from model simulations VMETG4 and VMET. (b1) and (b2) Same as (a1) and (a2), respectively, but for absolute ($\mu\text{g m}^{-3}$) and percentage (%) differences in simulated DJF mean surface BC concentrations between weakest (1990, 1993, 1997, 1998, and 2002) and strongest (1986, 1996, 2001, 2005, and 2006) EAWM years. The enclosed areas are defined as northern China (NC, 110–125° E, 28–45° N) and southern China (SC, 110–125° E, 20–27° N).

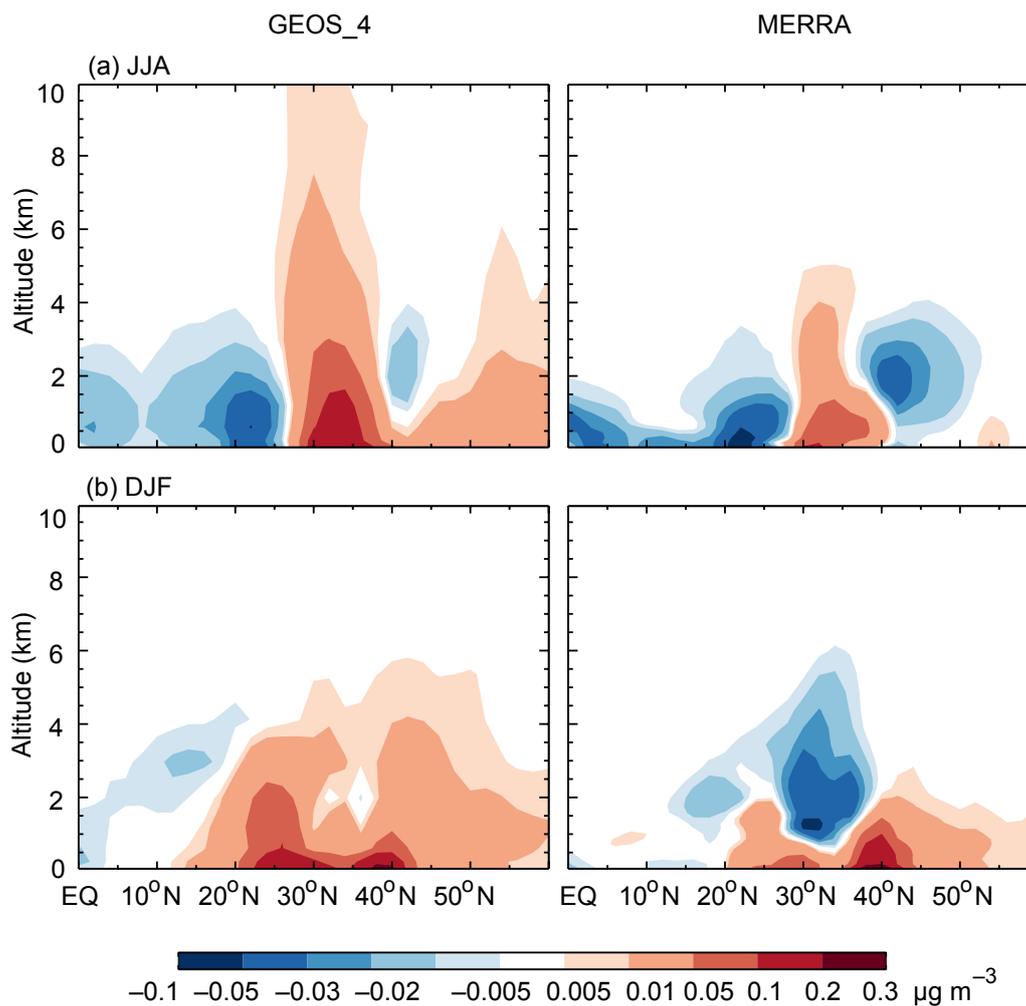


Fig. 5. (a) Height-latitude cross section of differences in simulated JJA mean BC concentrations ($\mu\text{g m}^{-3}$) between the five weakest and five strongest EASM years during 1986–2006. Plots are averaged over longitude range of 110–125° E from model simulations VMETG4 (left) and VMET (right). (b) Same as (a), but for differences in DJF between five weakest and five strongest EAWM years.

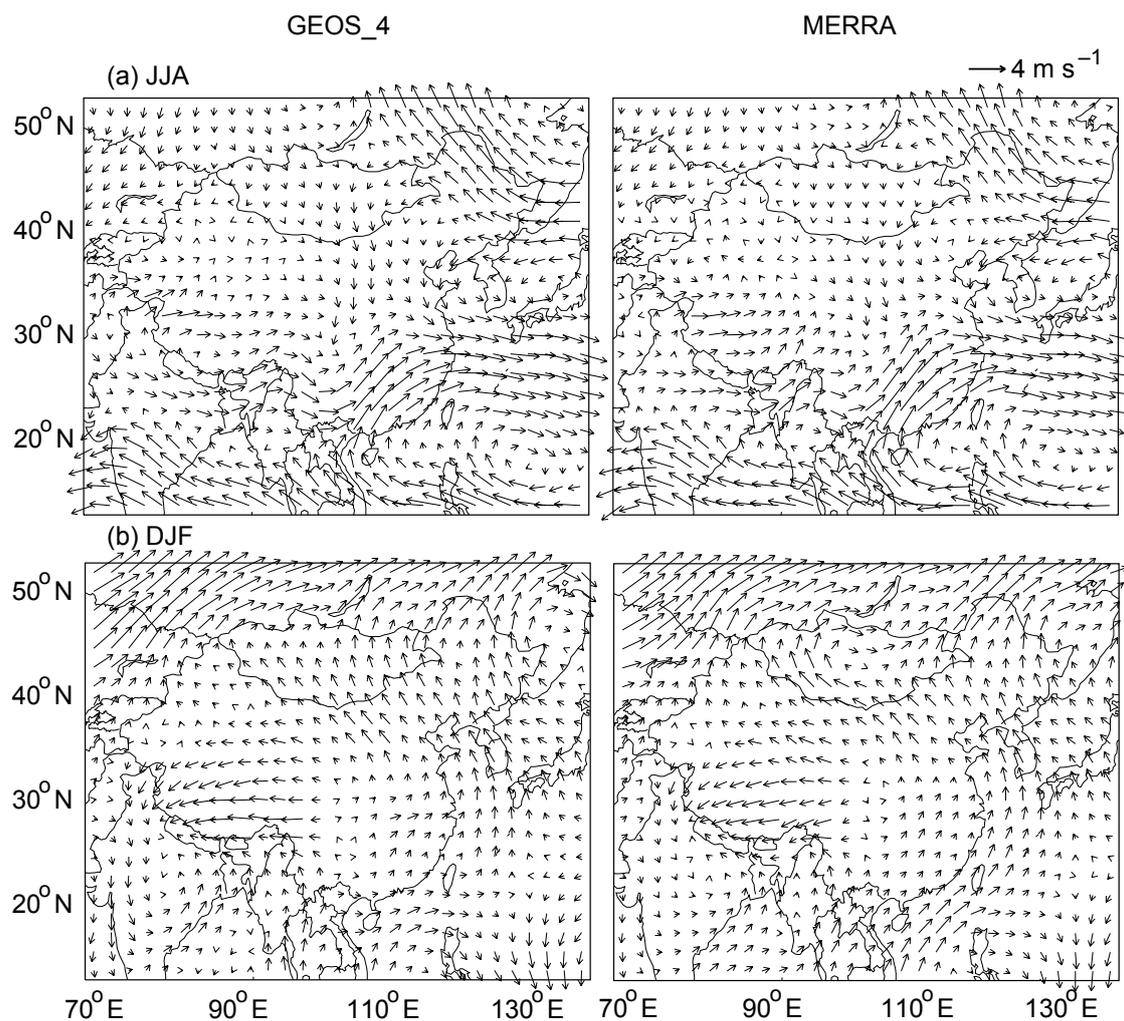


Fig. 6. (a) Differences in JJA 850 hPa wind (vector, m s^{-1}) between the five weakest and five strongest EASM years during 1986–2006 from GEOS-4 (left) and MERRA (right) data. (b) Same as (a), but for differences in DJF wind between five weakest and five strongest EAWM years.

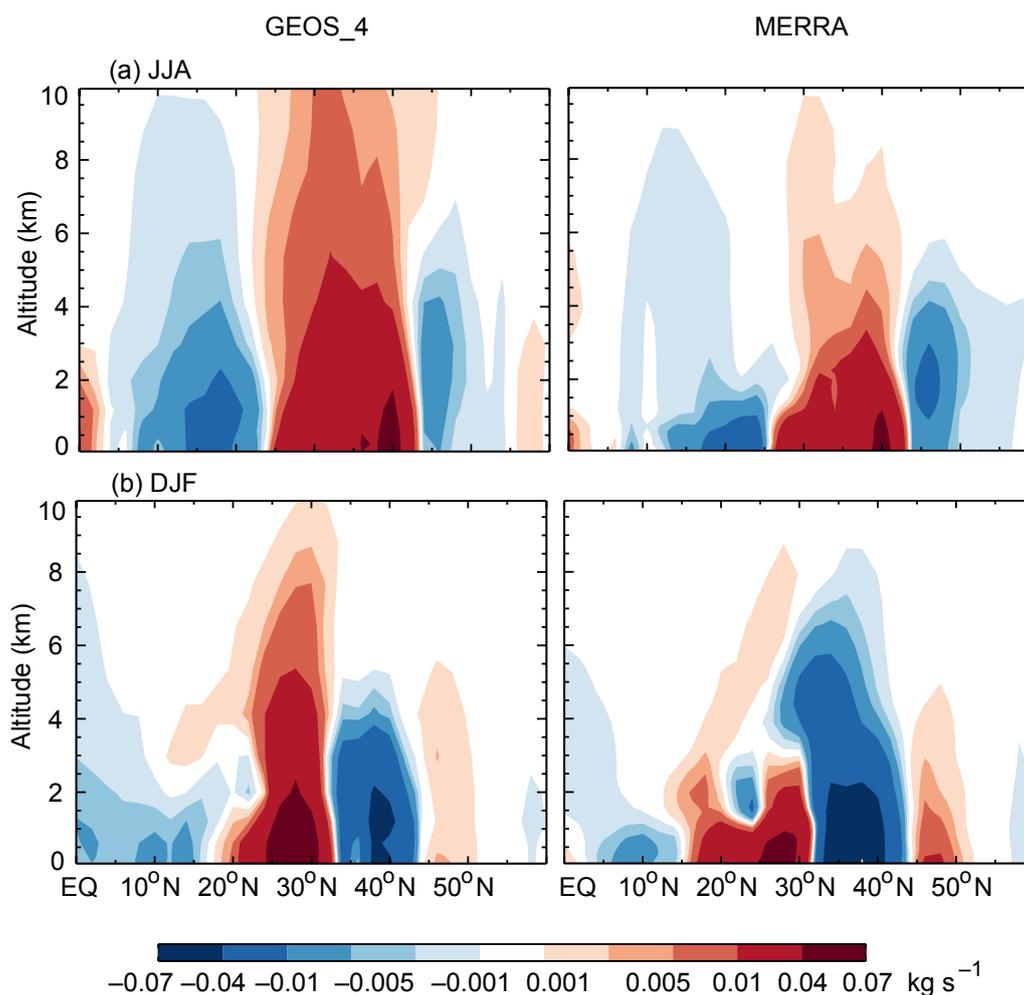


Fig. 7. (a) Differences in simulated upward mass flux of JJA BC (kg s^{-1}) between the five weakest and five strongest EASM years during 1986–2006. Plots are averaged over longitude range of $110\text{--}125^\circ$ E from model simulations VMETG4 (left) and VMET (right). **(b)** Same as **(a)**, but for differences in DJF between five weakest and five strongest EAWM years.

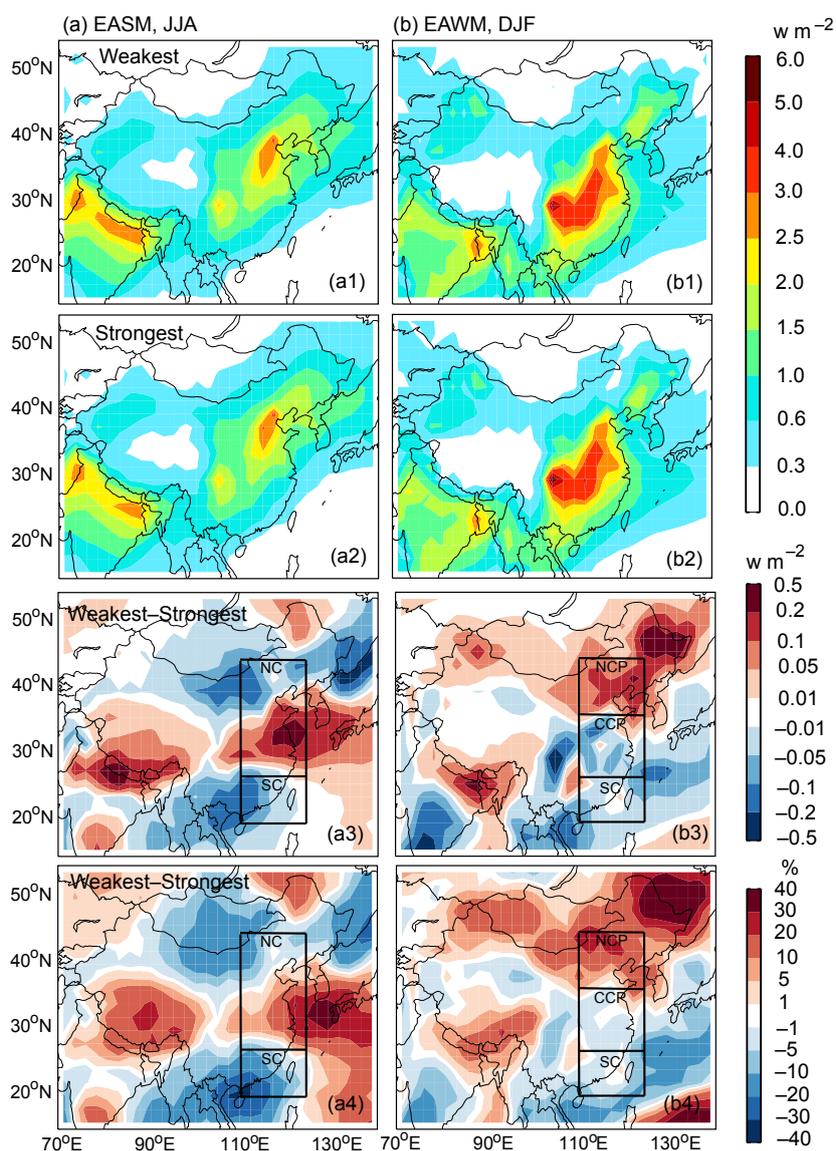


Fig. 8. (a) Simulated JJA mean all-sky direct radiative forcing (DRF) of BC (W m^{-2}) at the top of the atmosphere (TOA) in the (a1) five weakest and (a2) five strongest EASM years during 1986–2006 from model simulation VMET. Also shown are the (a3) absolute (W m^{-2}) and (a4) percentage (%) differences between the five weakest and five strongest EASM years. (b) Same as (a), but for simulated DJF mean all-sky TOA DRF of BC in the five weakest and five strongest EAWM years. The enclosed areas are defined as northern China (NC, 110–125° E, 28–45° N), the northern China Plain (NCP, 110–125° E, 36–45° N), the central China Plain (CCP, 110–125° E, 28–36° N), and southern China (SC, 110–125° E, 20–27° N).

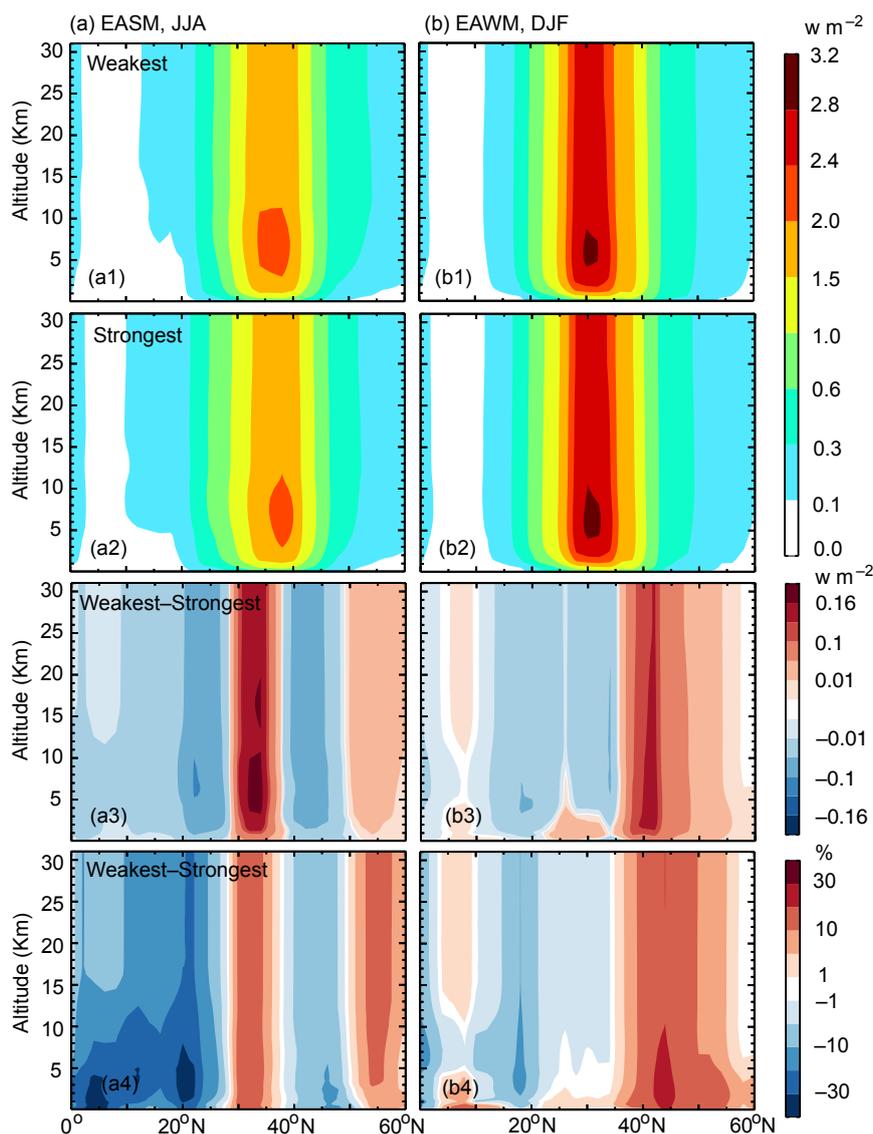


Fig. 9. (a) Height-latitude cross sections of simulated JJA mean all-sky DRF of BC (W m^{-2}) in the (a1) five weakest and (a2) five strongest EASM years during 1986–2006. Also shown are the (a3) absolute (W m^{-2}) and (a4) percentage (%) differences between the five weakest and five strongest EASM years. Plots are averaged over longitude range of $110\text{--}125^\circ\text{ E}$ from model simulation VMET. (b) Same as (a), but for simulated DJF mean all-sky DRF of BC in the five weakest and five strongest EAWM years.

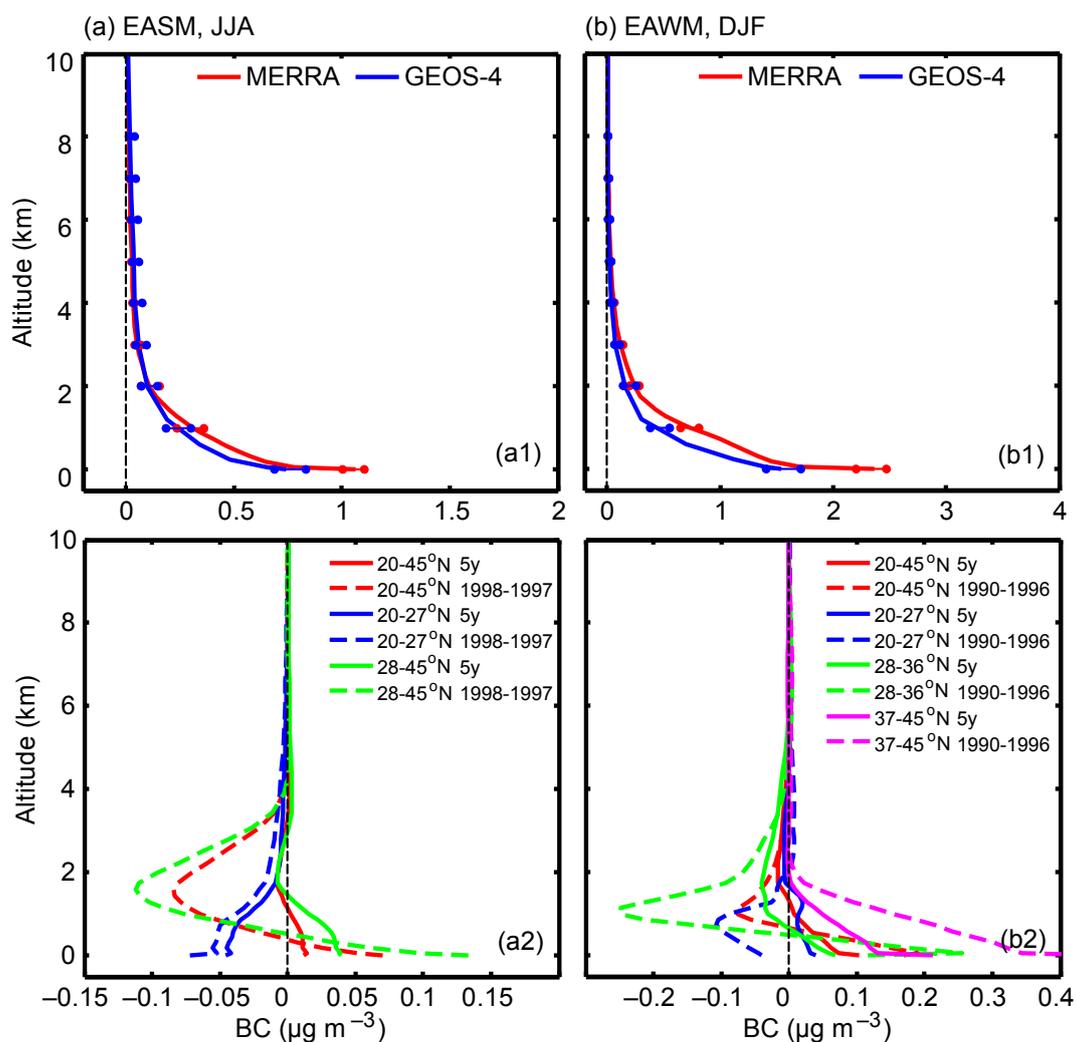


Fig. 10. (a1) Simulated vertical profiles of JJA BC mass concentrations ($\mu\text{g m}^{-3}$) averaged over 1986–2006. The error bars represent the minimum and maximum values of BC. Results are averages over eastern China from model simulations VMETG4 (blue) and VMET (red). **(a2)** Differences in simulated vertical profiles of JJA BC mass concentrations ($\mu\text{g m}^{-3}$) between the five weakest and five strongest EAM years (solid lines) during 1986–2006, and between the weakest and strongest EASM years (1998–1997, dotted lines). Results are averages over eastern China, northern China, and southern China from model simulations VMET. **(b1)** Same as **(a1)**, but for simulated DJF BC mass concentrations. **(b2)** Same as **(a2)**, but for differences in DJF between the five weakest and five strongest EAWM years and between the weakest and strongest EAWM years (1990–1996). Results are averages over eastern China, northern China Plain, the central China Plain, and southern China.

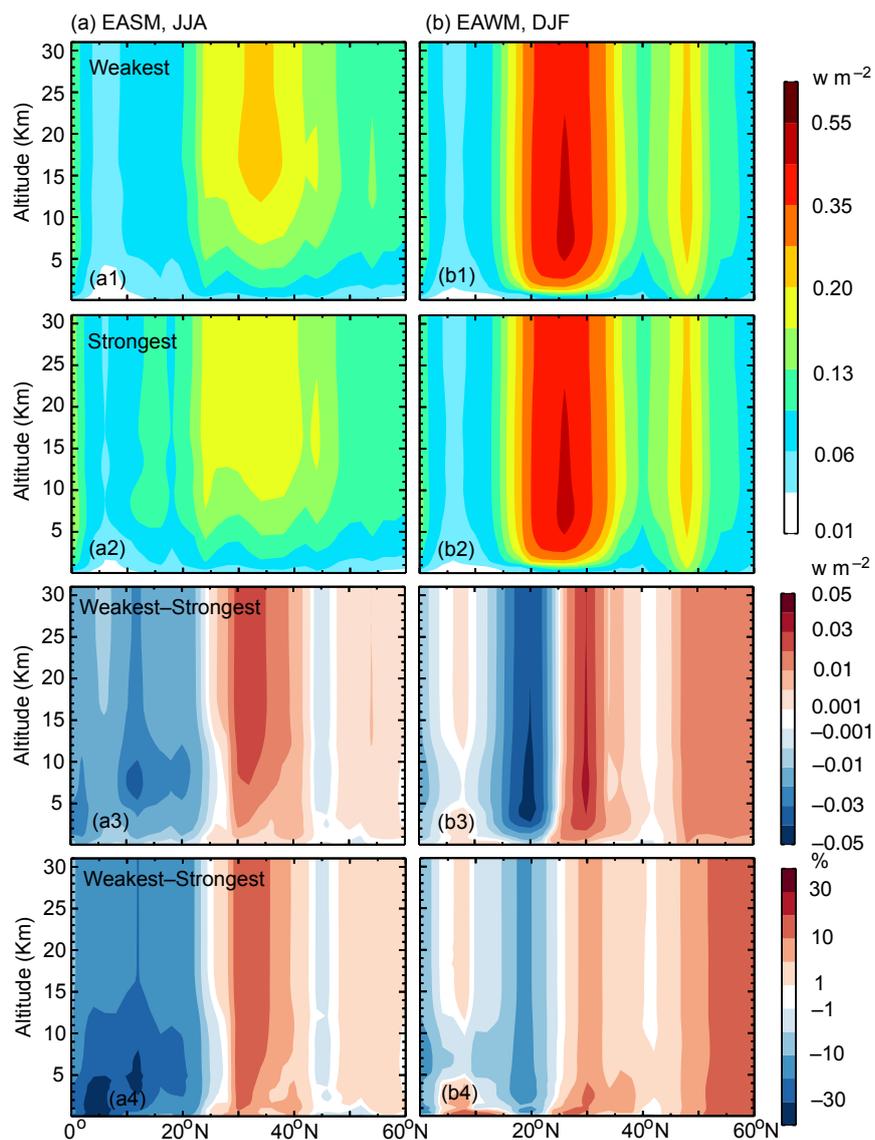


Fig. 11. Same as Fig. 9, but for the contributions from non-China emissions to simulated all-sky DRF of BC.

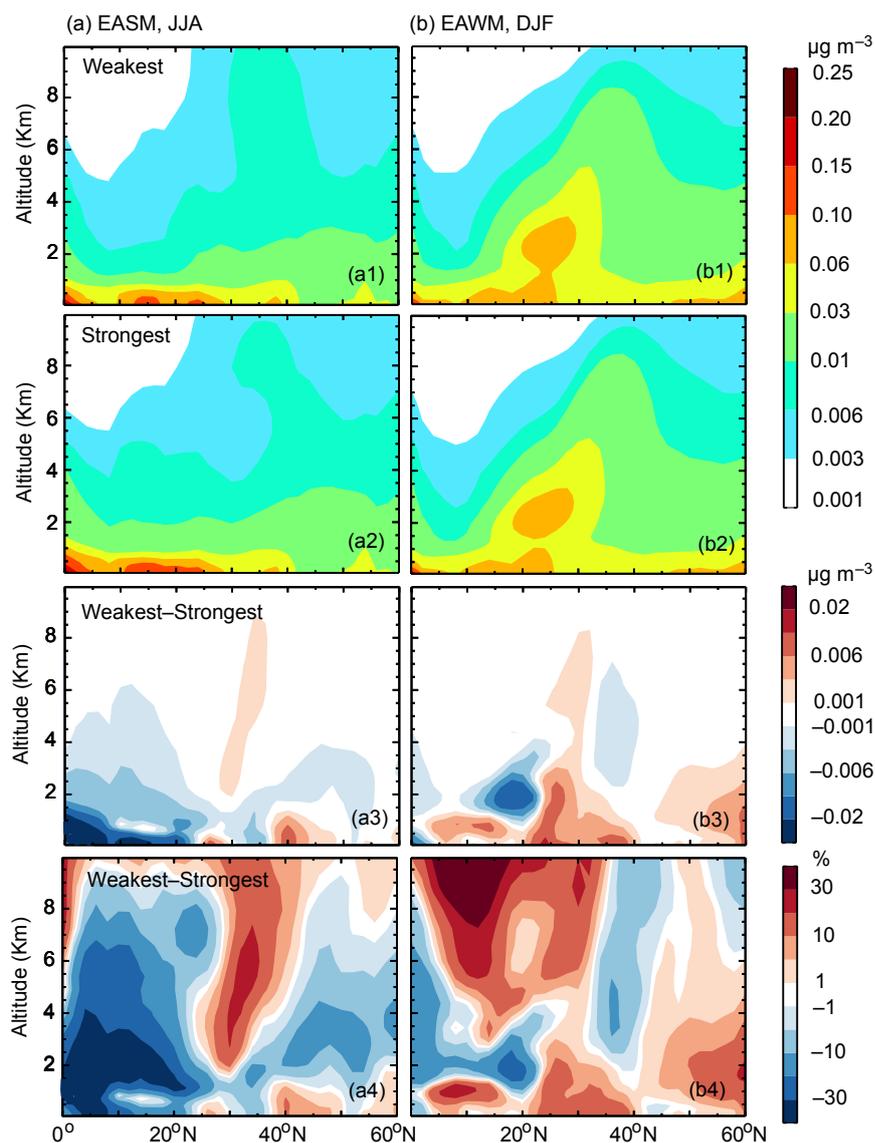


Fig. 12. (a) Height-latitude cross sections of contributions of non-China emissions to simulated JJA mean BC concentrations ($\mu\text{g m}^{-3}$) in the (a1) five weakest and (a2) five strongest EASM years during 1986–2006. Also shown are the (a3) absolute ($\mu\text{g m}^{-3}$) and (a4) percentage (%) differences between the five weakest and five strongest EASM years. Plots are averaged over longitude range of 110–125° E from model simulation VMET. (b) Same as (a), but for simulated DJF mean BC concentrations in the five weakest and five strongest EAWM years.

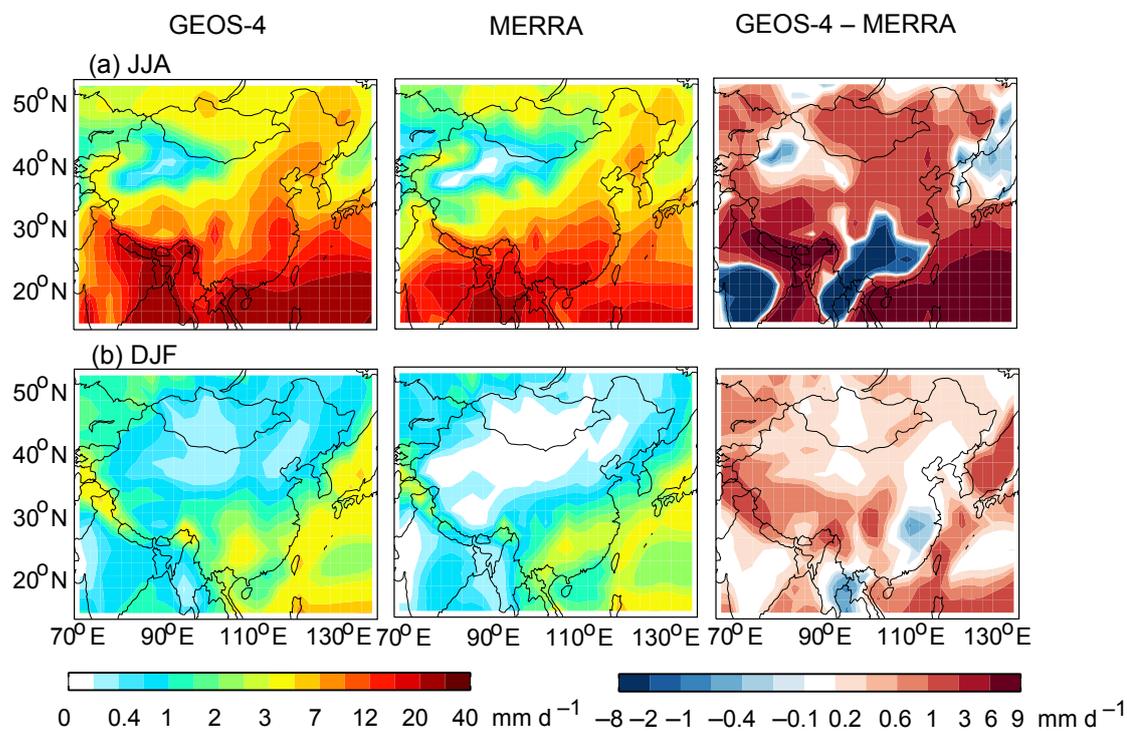


Fig. 1S . JJA and DJF mean precipitation (mm d⁻¹) averaged for 1986–2006 from GEOS-4 (a) and MERRA (b) meteorological data. Also shown are the differences between GEOS-4 and MERRA data (c).