

Responses to Anonymous referee #1

REFeree COMMENTS: *The author should go over and recheck every single reference that they site, and make sure it is sited correctly. The introduction includes at least 5 references which were sited either wrong or in a misleading way. P3 line 105-109: This sentence implies that, Riziq et al., 2007;Riziq et al., 2008 and Dinar et al., 2008 were using extinction minus scattering technique alternatively measuring both extinction and scattering. These 3 papers where measuring only extinction using the CRD, and retrieved the scattering/absorption via Mie theory calculation. Same comment applies to the flowing 2 references Butler et al., 2007; Miller and Orr-Ewing, 2007. The authors claim that these papers measure the SSA of isolated aerosol particles. However, both the Butler et al., 2007 and the Miller and Orr-Ewing, 2007 were measuring/determining only the extinction of light by single aerosol particles.*

Additionally, this paragraph is almost an exact copy of a paragraph in the group's previous paper (Singh at al., 2014 aerosol science and Technology, page 1345 last paragraph).

AUTHORS RESPONSE:

We thank the referee for pointing the errors in the references: we are checking all the references to make sure citations are relevant and appropriate in the final manuscript.

Regarding Page 3 line 105-109, the authors don't feel this at all implies what the referee concluded. We only stated that they used the extinction minus scattering technique, but we didn't state they measured both extinction and scattering. However, to avoid confusion and in response to the comment the paragraph will be modified in the revised manuscript, and will read as:

“The extinction-minus-scattering technique has been used for airborne ambient measurements (Hallar et al. 2006), for studies involving optical properties of biomass aerosols and humic- like aerosols using CRD for measurement of extinction and Mie theory calculations to determine scattering and absorption (Riziq et al. 2007, 2008; Dinar et al. 2008), and for determining the extinction of isolated aerosol particles (Butler et al. 2007; Miller and Orr-Ewing 2007).”

REFeree COMMENTS: *Same comment for the paragraph stating at P4 line 132-140, is almost identical to a paragraph in p 1346 in Singh at al., 2014). The authors should address these issues*

AUTHORS RESPONSE

We will revise the paragraph and refer the readers to the earlier paper for details. The paragraph will be revised in the manuscript and will read as

“Details of the experimental method and derivation of key equations for particle optical properties and CRDS analysis have been described by Singh et al. (2014) and references therein. Here, we only summarize the main points and encourage the reader to see the reference cited for details. The key equation for CRDS measurement is the extinction coefficient α_{ext} (m^{-1}) is defined by

$$\alpha_{ext} = \frac{R_L}{c_{air}} \left(\frac{1}{\tau} - \frac{1}{\tau_0} \right) = \sigma_{ext} N_{CRD} \quad (1)$$

Where c_{air} is the speed of light in air and R_L is the ratio of mirror-to-mirror distance d to the length of the cavity occupied by the sample, resulting in a unitless value >1 . The ring-down time is τ_0 for an empty cavity and τ in the presence of a sample. Extinction coefficient is the product of the cross section σ_{ext} ($\text{m}^2/\text{particle}$) and number density of particles N_{CRD} ($\text{particles}/\text{cm}^3$) in the CRD cavity. A unit conversion factor has been omitted for simplicity.”

REFeree COMMENTS: *P12 line 416-418: The Beyersdorf, 2013 reference is not a peer reviewed paper, this data was presented after the flight and represents very preliminary data from a meeting. The authors should remove this reference.*

AUTHORS RESPONSE

The authors are aware that this was a preliminary meeting presentation, but would like to keep the reference and change the text in the manuscript as below:

“In preliminary data presented in a meeting following NASA measurements during SEAC4RS, involving in situ sampling of the smoke from the Yosemite Rim Fire, the initial SSA of smoke was 0.92 and increased in the first 0–7 hrs. to 0.96, and was nearly constant after that (up to two days).”

In the references it is cited as a meeting presentation. Sadly, no Rim Fire results on aerosol optical properties have been published from a peer-reviewed source; the closest being a publication that focused entirely on molecular emissions from the Rim Fire (doi 10.1016/j.atmosenv.2015.12.038).

REFeree COMMENTS: *P6 lines 202-204: The author’s state that, the samples were diluted and sonicated prior the introduction to CRDS and nephelometer, they also report that the size distribution changed after nebulization. Was this size distribution change a result of just atomizing problems, or is it a change in morphology or/and chemistry? The authors need to address this question as part of this paper’s framework. The authors claim that their measurement would represent fresh soot that has undergone cloud processes, however sonication and atomization may or may not, change the soot’s morphology. If the authors wish to make this statement, it should be supported by measurement (e.g. electron microscopy). Chemical analysis is also required to make any statement about this measurement. Does this measurement represent coated particle or un-coated aerosols? The authors clearly state in p15 lines 537-538, that composition and morphology, have the most significant effect on fresh BB aerosols. While, these properties are indeed dependent on the burning stage particle size fuel type and condition; one needs to show that these properties do not change in water solution and atomization/ solicitor. The authors mention that a future work will be addressing changes in the mixing state and morphology, these issues should be addressed as part of the current manuscript*

AUTHORS RESPONSE

We believe the change in the size distribution is mainly a result of an atomizing problem though change in morphology cannot be ruled out. The re-aerosolized particles will likely have a near spherical core-shell morphology. The samples were kept in distilled water and not exposed to the environment. As we stated in our previous response to a similar comment, we have several reasons for comparing our values to fresh BB soot. While some changes in the particle size distribution were observed upon impingement, this sampling scheme (or, really, any offline sampling scheme) is unlike any natural atmospheric processing. No photochemical changes were allowed to take place. The system would be too dilute for SOA formation via acid chemistry. Semi-volatile species would be almost immediately put into the condensed phase, though solubility would be a more important factor in determining their interactions with the particulate phase. As indicated in our previous response, further clarification on these issues will be included in the revision.

Addressing changes in morphology upon impingement and re-aerosolization, along with changes due to atmospheric aging, is no small task. We are in the process of building an indoor smog chamber. The characterization of this chamber and conducting optical and chemical properties measurements will probably take another year to address, and will result in at least two distinct publications. For considerations of time, publication length, and narrative flow, the authors do not believe these requests are feasible for the current manuscript. The authors are more than willing to revisit the conclusions of this paper when future results become available.

REFeree COMMENTS: *When comparing to their results to literature values, the authors refer to the MCE as an explanation for agreement/disagreement with the literature values. For example: 1) p11 line 394, 2) P13 line 436. However, the MCE was not measured in this work. This makes the [comparison] to [literature values] be very speculative.*

AUTHORS RESPONSE

The authors are examining their results in light of what is currently known about SSA and AAE of BB aerosols. Not to do this would be negligent. Specifically, there are schemes that relate SSA and AAE to either MCE or EC/(EC+OC). If these schemes are robust, new data should also fit within their trends. The authors disagree that this comparison is speculative. Indeed, this is, essentially, a “two equations, one unknown” problem. The two equations are trends (relationships) of SSA with MCE and AAE with MCE (or with EC/(EC+OC) in Pokhrel’s case). The unknown, MCE or EC/(EC+OC), can be solved precisely.

REFeree COMMENTS: *Also how could the authors show difference between fuel types without making sure that similar MCE is shown for all cases?*

AUTHORS RESPONSE

While the authors are attempting that level of control in future work, no work to date has tried to control MCE. But what gives rise to differences in MCE? The authors state, in the manuscript, that it is influenced by fuel type, fuel state, and burning conditions. Examples can be found on line 27 of the abstract and line 524. It is likely that MCE is varying with fuel type, and that these are not independent variables.

REFeree COMMENTS: *I agree with P. Pokhrel comment claiming that: “They concluded burn condition does not control the SSA and AAE and mention as a one of the major findings in abstract but have not tested SSA and AAE correlation with either MCE or BC/OA ratio.” The authors responded that they are currently working to address these important aspects of BB aerosols, including chemical analysis and optical properties as a function of aging using an indoor smog chamber in forthcoming work, this should be done (at least partially) as part of this manuscript framework.*

AUTHORS RESPONSE:

The authors, in their response, went on to state that at no time did we conclude that combustion conditions and MCE have no effect on SSA or AAE. If the reviewer could point out where in the manuscript this was stated (either explicitly or implied), the authors will rectify this misunderstanding. However, the authors are unable to find such an occurrence.

The sample at issue is mainly white pine. It exhibits the following properties, based on Figures 1 and 4 of Pokhrel et al.:

1. The AAE is high, suggesting EC/(EC+OC) and MCE are low
2. SSA values are low, suggesting EC/(EC+OC) and MCE are high
3. There is a lack of SSA spectral dependence, suggesting EC/(EC+OC) and MCE are low

Even if the authors were to measure MCE or EC/(EC+OC) for white pine, it cannot have an MCE both above and below 0.92 or an EC/(EC+OC) both above and below 0.2. It is either at the high end or the low end where the relationships of Liu et al. and Pokhrel et al. do not work well with our white pine observations.

That being said, further explanation is clearly warranted in the manuscript. The authors would have liked to provide a graphical comparison of our results with FLAME-4 results, but we were unable because Liu et al. did not provide their raw data used in their figures. However, Pokhrel et al., thanks to the encouragement of ACPD, did provide their data. While Liu et al. and Pokhrel et al. plot SSA and AAE against MCE, EC/OC, and EC/(EC+OC), neither publication plots SSA against AAE. We have done so here and this figure and accompanying discussion will be included in the revised manuscript:

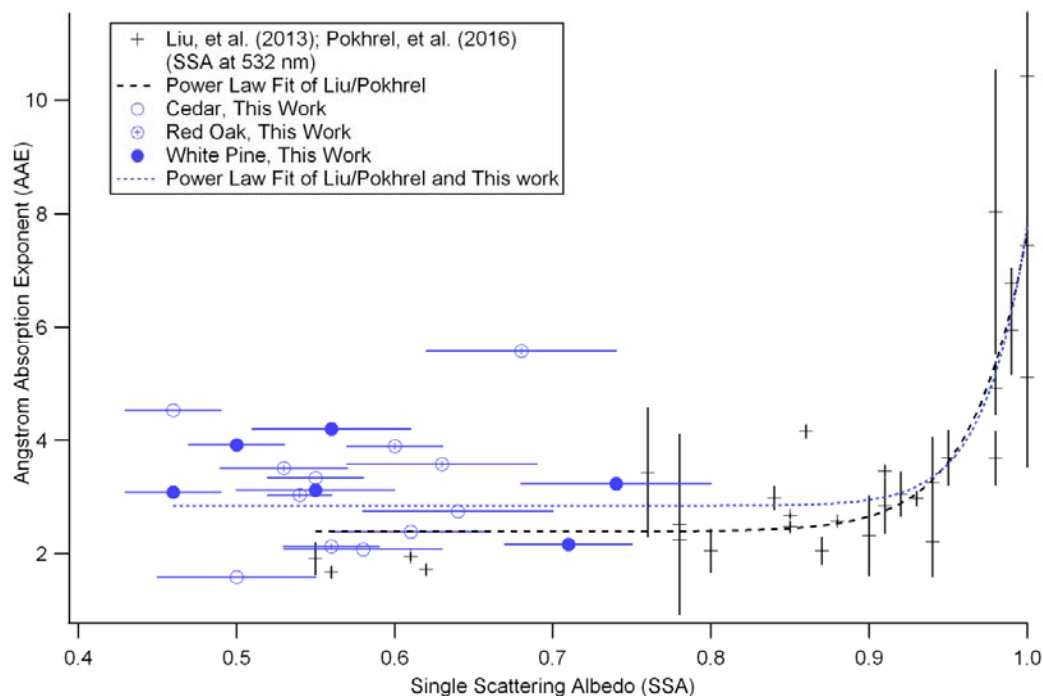


Figure X: Results of this work compared to FLAME-4 results (Liu et al, 2013; Pokhrel et al., 2016). A power law fit was performed in the form of $AAE = a + b \cdot SSA^c$ was performed for FLAME-4 and combined data. For FLAME-4, $a = 2.402 \pm 0.296$, $b = 5.298 \pm 0.587$, and $c = 28.53 \pm 8.42$. For the combined data set, $a = 2.852 \pm 0.187$, $b = 4.961 \pm 0.599$, and $c = 36.965 \pm 11.300$.

“As can be seen in Figure X, many of our measurements inhabit a distinct location in AAE/SSA space. The AAE is higher and the SSA is lower than most FLAME-4 observations. Part of this difference may be that previous measurements were done for the entire burn and all diameters below $2.5 \mu\text{m}$, whereas measurements in this work were segregated by size and burning stage. When both data sets were combined and fit to a power law function, the y-offset increased and the fit had greater power dependence.”

REFeree COMMENTS: *Same comment applies to the any of the possible explanations given in the last paragraph of p 13 (lensing, volatilize low molecular organics.) This explanation should be supported by some chemical analysis*

AUTHORS RESPONSE:

As stated in the last sentence, the aim of that paragraph was not truly an explanation of results. Indeed, we don't discuss our results at all in the paragraph. We are exercising due diligence, and mentioning potentially important effects that we could not constrain at the time this data was gathered. We will attempt to clarify this point, earlier in the paragraph, in the revised manuscript.

REFeree MINOR COMMENTS:

1) The author could address the multiple charged particles issue, by performing a multiple charge corrections (see for example Flores et al., 2012 ACP)

AUTHORS RESPONSE

The authors would like to thank the reviewer for reminding us of this factor. In earlier work on monodisperse polystyrene, it was not an important factor. While it's certainly possible for the authors to calculate the contribution of multiply charged particles, it is not altogether clear how it would be used. Flores et al. and many others need to account for particle size because of their use Mie theory fitting to determine RI. For 300 nm particles, the SSA of 400 nm particles could potentially approximate to the 424 nm particles that would have double the geometric cross section with twice the charge. However, there is not enough available information (measurements of SSA and AAE at 566 and 707 nm diameters) to adjust the 400 or 500 nm particles. We will mention this in the "due diligence" paragraph, and will discuss its potential impact for the 300 nm particle case, where such an assessment can be made. Most troubling is the mention of "errata" by Flores et al. concerning the original paper by Wiedensohler, even though the authors were unable to locate any such errata.

REFeree COMMENTS: 2) *For all of the figures: please make sure all of the figures are consistent and clear. For example: The font size is different in every single figure. Figure 1: The drawing is cut on the left, figure 11: there is an axis on the right size (but other figure are open). Comparing figure 9 to 10: There have the same x –axis but one start with the actual Number 500 and doesn't have minor ticks. Figure 11: the number 0.6 is cut, the legend is 'smooshed' etc.*

AUTHORS RESPONSE

The figures will be corrected to address the concerns in the revised manuscript.

REFeree COMMENTS: 3) *Please provide an explanation to changes of the SSA with the particle size, for example: why is the Cedar smoldering 500nm has a lower SSA than the cedar smoldering 400nm?*

AUTHORS RESPONSE

We did respond to the similar comment by P. Pokhrel. It is likely that *at small particle diameters, such as 300 nm*, EC has a greater contribution to the particle mass than OC, giving rise to lower SSA values for the smoldering stage of this fuel at this size. To make up for this, larger particles could have a greater contribution of OC, resulting in its greater abundance in PM_{2.5}. This is consistent with the larger observed SSA values for larger diameter particles in the smoldering stage of cedar combustion.

SPECIFIC COMMENTS AND RESPONSES:

1) *Figure 4: This figure is very confusing and difficult to follow, please make it more clear.*

AUTHORS RESPONSE

The figure will be modified for clarity and the caption will be more descriptive in the revised manuscript as below:

“Figure 4- The flow of the calculation for determining average values of σ_{ext} , σ_{scat} , ω , and their errors. Variables with an asterisk represent individual measurements. σ_{ext} for each experiment is derived from the α_{ext} and the number density within the cavity, via Equation 1. This number density is found using Equation set 3. The standard deviation of σ_{ext} for each experiment is found using Equation 2. The RSD of σ_{ext} for each experiment is found, averaged, and multiplied by the average σ_{ext} to get the average standard deviation of σ_{ext} . α_{scat} for each experiment is corrected and σ_{scat} is found using the number density in the nephelometer. This is averaged and its standard deviation found from the run-to-run variability of σ_{scat} , the RSD of N_{Neph} , and the correction factor error. The RSD of α_{scat} is based on the run-to-run variability of α_{scat} and the correction factor error. The SSA of each run is based on α_{scat} and α_{ext} for each run and the number density relationship. This is averaged, and the run-to-run variability of SSA determined. This variability is used, along with the RSD of α_{scat} and α_{ext} , to determine the SSA error.”

2) P8 line 255: Please change the period to comma. Avoid using ‘and’ after the period.

Change will be made in the manuscript.

3) P9 line 308: please add reference

The reference is added.

4) P9 line 313: “particles that have the same electrical mobility, but different mobility diameters were separated” This sentence is not clear did the authors mean: same electrical mobility but different mass selection?

This statement is correct. Mass of the particles is proportional to the product of the electrical mobility and mobility diameter and inversely proportional to the drag coefficient, which is also a function of the mobility diameter.

5) P9 lines 321-323: The authors state the SSA has a slope of zero over the range of 500-680nm, however the x-axis in the figure ends at 660nm. Please add the missing data to the figure.

The data was taken from 500-660 nm, 680 was an error. It will be corrected.

6) P12 line 405: please change Lewis to Lewis et al.,

This is done.

7) P12 line 406: please add reference

This was the same reference cited on line 405.

RESPONSES to Anonymous Referee #2

REFeree COMMENTS: *The first one regards two important lacks: the calculation of the Modified Combustion Efficiency (MCE) and the determination of EC and OC. In this field of study, this information is very useful since both influence the final optical properties of the particles. Although these lacks don't affect the goodness of the results, they make impossible a direct comparison between the data they show and the literature they cite, forcing the authors to a sort of speculation (as pointed out by the other Referees).*

AUTHORS RESPONSE:

The authors admit that this additional information on MCE would be useful, and is being implemented in our future work, which is currently in progress. In this work the burning conditions will be highly controlled for temperature and oxygen content, allowing us to vary MCE. While no direct MCE- or EC/(EC+OC)-based comparisons are possible, the discussion uses qualitative comparisons of burn conditions. At this time, the only possibility of performing these measurements would be to return to the original fuel samples and measure their fire-integrated CO and CO₂ values. However, even if those fuels were still there, they would have been sitting in the open for over a year. The fidelity of the samples would be very questionable.

REFeree COMMENTS: *In the Authors response to AC1 they state that “there are schemes that relate SSA and AAE to either MCE. . .the unknown, MCE or EC/(EC+OC), can be solved precisely” knowing SSA and AAE. But just few lines later they state “But what gives rise to differences in MCE? The authors state, in the manuscript, that it is influenced by fuel type, fuel state, and burning conditions”. So, if the authors would calculate the MCE or EC/(EC+OC) values considering the schemes available in literature, they are assuming that fuel type, fuel state and burning conditions are the same in both the experiments. How it could be possible?*

AUTHORS RESPONSE:

The MCE- and EC/(EC+OC)-based schemes used data gathered during FLAME-4 experiments. In that work, a variety of fuels were burned under several conditions (mainly open burns and several types of cookstoves). Both of these factors are already varying, and the papers of Pokhrel et al. and Liu et al. attempt to find a robust fit for SSA and AAE as a function of MCE and EC/(EC+OC), respectively. This is a worthy goal, especially for use in modeling efforts. However, for some samples we have investigated, these trends have some deficiencies.

REFeree COMMENTS: *The second one is related on the “distance” between the BB aerosols produced in the Authors “soot generation setup” and the particles they are measuring. They clearly state that particles changed in size distribution and morphology after the various processes of collection, sonication, nebulization. Also chemical composition changed both during preparation (partial removal of semi-volatile species) and during storage (moreover*

Authors do not determine chemical composition in any way). Although I agree with the authors that the particles they are measuring are likely more close to fresh than to aged BB aerosols (no photochemical transformation, no SOA formation), these particles are very different from the original ones. I wonder how much the optical properties shown in this paper are representative of real fresh BB particles.

AUTHORS RESPONSE:

While it is possible that these samples have more in common with soot that has undergone processing in pyrogenic clouds, the authors are not aware of any such field measurements. Thus, putting our measurements in that context is not currently possible. The closest comparison would be fresh soot.

REFeree COMMENTS: *I think that the previous Referees have pointed out the crucial problems and I have no questions to add, except one: in Figg. 5-10 there is a clear point of discontinuity (especially in Figg. 6, 8 and 10) in correspondence of 580 nm: the values measured with the dye laser (< 580nm) are more similar for the different fuels while much more widespread in the case of the OPO laser (>580 nm). I have not found any comment in the text about this evident difference.*

AUTHORS RESPONSE:

Two sets of mirrors used in this work and 580 nm marks the boundary between the ranges at which they are highly reflective. It does not denote the wavelength range of the two light sources. Due to differences in mirror reflectivity, differences in the error and level of noise are apparent in the different ranges. **All the work was done using OPO. The dye laser was not used for this work.** The experimental section on the paper will include a sentence to show that only the OPO was used. Regarding the discontinuity at 580 nm, we already provided an explanation in the text Line 246-259. In response to comments by Rudra Pokhrel on the same issue, we provided the following explanation:

“Our main reasoning for this was that data in the 580-660 nm had poorer S/N than data in the 500-580 nm range. This is due to the smaller reflectivity of the mirrors in that range. The values for extinction, scattering, and absorption cross sections were high in the 580-660 nm range for 300 nm particles and low for 400 nm particles but maintained the same slope. For the same-day run for both wavelength ranges, we found nearly the same values for the 400 nm particles. In all cases the SSA did not change significantly due to adjusting the extinction and scattering values. Measurements were done several times at different days and the results are consistent”

It is also worth noting that the level of noise is not the same for different particle sizes, which is largely due to number density differences.

REFeree COMMENTS: *The authors are aware of the limitations present in their work. I think that these limitations are well explained in the text and clear to the reader. The Authors should anyway include some integrations as suggested by the Referees. Overall, I consider this paper scientifically remarkable and complete.*

AUTHORS RESPONSE:

The authors would like to thank the referee for their kind remarks. We are unsure about what ‘integrations’ the reviewer is referring to. If the referee is suggesting that we integrate the comments offered by the other referees, we have already indicated how we intend to integrate their suggestions into the final text for publication.

Responses to questions and comments by Rudra Pokhrel

COMMENT: *Authors wrote “The SSA and AAE values in this work do not fit well with current schemes that relate these factors to the modified combustion efficiency (MCE) of a burn” but they have not done proper validation or check because they don’t have MCE calculation but present as a major finding in their abstract. They concluded burn condition does not control the SSA and AAE and mention as a one of the major findings in abstract but have not tested SSA and AAE correlation with either MCE or BC/OA ratio. Recently Pokhrel et al. (2016) shows BB SSA shows very strong correlation with EC/(EC+OC).*

AUTHORS RESPONSE-The authors did not have the capability to measure CO and CO₂ to derive MCE at the time of this work, nor was MCE recognized as being important until much later. We also lack the capability to measure EC and OC content. We are currently working to address these important aspects of BB aerosols, including chemical analysis and optical properties as a function of aging using an indoor smog chamber in forthcoming work. We thank Pokhrel for bringing his work to our attention. However, the authors did not conclude that combustion conditions and MCE have no effect on SSA or AAE. We recognize that these factors (including fuel type, fuel state, and burning process) are important aspects of BB. The focus of this work was on fuel type. The discussion of the conclusions in question can be found on lines 439 through 446 on page 13. In short, such large AAE values were only seen in the scheme of Liu et al. at SSA values >0.85 at 532 nm, though much smaller SSA values were observed in our work. A lack of SSA wavelength dependence was only observed below an MCE of 0.92, though the absolute values of SSA were very low and correspond to MCE values greater than 0.92; it cannot be both. The lack of spectral dependence in SSA was based on the fitting parameters found in Liu et al. It appears that Pokhrel et al. arrived at different coefficients, though it is not clear why “allowing the coefficients to vary” would produce this result. The authors will determine if this argument is still consistent with the coefficients found in Pokhrel et al.

For white pine, we observed AAE values from 2.17-4.20. This would correspond to a EC/(EC+OC) less than 0.2, as shown in Figure 4 of Pokhrel et al. However, Pokhrel et al. show SSA values greater than ~0.75 at 532 nm for EC/(EC+OC) less than 0.2. Our observed SSA values range from 0.46-0.74, which is only seen at EC/(EC+OC) significantly greater than 0.2. The flaming stage of cedar does not seem to be as problematic in EC/(EC+OC)-based scheme. These conclusions will be included in the final paper.

COMMENT: *How authors divide flaming and smoldering stages of fires?*

AUTHORS RESPONSE-This differentiation was done visually. The visual differentiation was based Tillman’s description of combustion of wood as three distinct, but overlapping stages (Tillman 1981). The first ignition stage involves use of heat to drive off moisture and bring the wood to the pyrolysis temperature. In the second, stage (flaming), the wood undergoes pyrolysis (thermal decomposition under oxygen-poor conditions) when it reaches around 500-600°F. This process leads to production of organic

gases with increasing high molecular weight as combustion progresses. The remaining portion is charcoal, which burns at about 1100°F. Once volatiles are driven off, direct combustion of black carbon occurs (smoldering). Any unburned gas-phase residue will be in the form of smoke or condensed pyrolysis gases. Complete combustion requires plenty of oxygen and the three elements of temperature, turbulence, and time.

Tillman, D.A., Rossi, A.J., Kitto, W.D., (1981). *Wood Combustion: Principles, Processes, and Economics*. Academic Press: New York, NY.

COMMENT: Page 7 line 249: Author's mentions "even though the size distribution did not change over the course of weeks, but observed decrease in optical values suggests that could be due to changes in chemical properties of the soot, but in line 241 they wrote that result in this work will only be compared to literature observation of fresh shoot". How could chemically change soot be compared with fresh soot?

AUTHORS RESPONSE-The authors recognize that some chemical changes had occurred over the course of several weeks, and took measures to account for these changes. For the most part, these changes seem quite slow. The samples were kept in distilled water and not exposed to the environment. We have several reasons for comparing our values to fresh BB soot. While some changes in the particle size distribution were observed upon impingement, this sampling scheme (or, really, any offline sampling scheme) is unlike any natural atmospheric processing. No photochemical changes were allowed to take place. The system would be too dilute for SOA formation via acid chemistry. Semi-volatile species would be almost immediately put into the condensed phase, though solubility would be a more important factor in determining their interactions with the particulate phase. The consistency of the measurements (with very small changes) done following sample collecting and several days and weeks later also gives us confidence that the chemical change is not significant. In short, our samples had more in common with the physical and chemical properties of fresh BB soot than processed soot, so our results were put in the context of fresh BB soot observations.

COMMENT: Page 8 line 255: what is the logic behind to adjust 580-660 nm range, not 500-580 nm range?

AUTHORS RESPONSE-The authors acknowledge that some more experimental detail is needed regarding this topic. Our main reasoning for this was that data in the 580-660 nm had poorer S/N than data in the 500-580 nm range. This is due to the smaller reflectivity of the mirrors in that range. The values for extinction, scattering, and absorption cross sections were high in the 580-660 nm range for 300 nm particles and low for 400 nm particles but maintained the same slope. For the same-day run for both wavelength ranges, we found nearly the same values for the 400 nm particles. In all cases the SSA did not change significantly due to adjusting the extinction and scattering values. Measurements were done several times at different days and the results are consistent.

COMMENT: Page 10 line 329: Author's mentions Cedar have higher SSA at flaming state than the smoldering stage for 300 nm particles. What is the [reason] behind this? Do authors want to say organics absorb more than black carbon in 500-660 nm? Since more BC is produced during flaming and more OC is produced during smoldering (Ward et al., 1992).

AUTHORS RESPONSE-Only 300 nm diameter particles exhibited a higher SSA in flaming stage than smoldering stage. Several others had SSA values that were indistinguishable between stages. The reviewer is correct, in that more discussion is warranted for these findings, and revisions to the paper will reflect that. Given that we do not have insight into the relative contributions of OC and EC, saying that

OC is more absorbing than EC would be pure conjecture. While the authors agree with the conclusions of Ward et al. and many others, it is important to recognize that their observations were done over the entire size distribution, or at least the entirety of PM_{2.5}. It has been shown that the smoldering phase emits larger, higher SSA particles (Reid et al., 2005). It is likely that *at small particle diameters, such as 300 nm*, EC has a greater contribution to than OC, giving rise to lower SSA values for the smoldering stage of this fuel at this size. To make up for this, larger particles could have a greater contribution of OC, resulting in its greater abundance in PM_{2.5}. This is consistent with the larger observed SSA values for larger diameter particles in the smoldering stage of cedar combustion.

COMMENT: *Page 10 line 351-354: Author's mentions there was no observable trend of SSA as a function of particle size and again wrote SSA diverged significantly at larger diameters. What do these sentences signify? And in Line 353 they mention particle size clearly plays the major role in determining the scattering or absorption properties. How that does not affect the SSA?*

AUTHORS RESPONSE—It is not to say that there are not differences as a function of particle size, but there lacks a *trend* for the flaming stage. For the smoldering stage, as noted earlier in that paragraph, SSA increased with particle size for each fuel investigated. For the flaming stage, there is no systematic change of SSA with particle size.

COMMENT: *Page 11 Line 394: Author's concluded that due to lack of variability in their SSA values for different wavelength the MCE of the burns for their work is < 0.92 based on Liu et al. (2014) study. If so, then why they called flaming for such burns? Based on Yokelson et al. (1996) definition, MCE of 0.9 represents roughly equal amount of flaming and smoldering and MCE ~0.8 are pure smoldering.*

AUTHORS RESPONSE—Liu's results show that SSA varies strongly with fire-integrated modified combustion efficiency (MCE)—higher MCE results in lower SSA values and greater spectral dependence of SSA. SSA values between 0.6 and 0.8 correspond to MCF values between 0.9-0.95 for all samples at $\lambda = 405\text{nm}$, 532 nm and 781nm. As the reviewer indicated for pine for example for flaming MCE = 0.990, for smoldering MCE = 0.835, and MCE = 0.96 for total fire integrated (Yokelson et al. 1996). Other earlier measurements are also consistent with these results, For example Reid et al. (2005) defined as MCE > 0.9 for flaming combustion, MCE < 0.9 for smoldering combustion and McMeeking, et al. (2009) had MCE = 0.80 smoldering phase of the fire and MCE = 0.99 for the flaming phase. Our conclusions were based on the most recent results of Liu et al. There is no statement on line 394 suggested by the reviewer calling it a flaming burn.

Reid, J. S., R. Koppmann, T. F. Eck, and D. P. Eleuterio (2005), A review of biomass burning emissions part II: Intensive physical properties of biomass burning particles, Atmos. Chem. Phys., 5, 799 – 825.

Gavin R. McMeeking et al. (2009), Emissions of trace gases and aerosols during the open combustion of biomass in the laboratory; Journal of Geophysical Research 114, D19210 doi:10.1029/2009JD011836,

COMMENT: *Page 12 Line 398-400: Author's mention that higher SSA values in field measurements than their observation suggest that the MCE values of wildfires are higher than controlled laboratory burns. They did not explain why burn with higher MCE could have higher SSA values. It is clear from laboratory studies that burns with higher MCE have lower SSA (Pokhrel et al., 2016; Liu et al., 2014; McMeeking et al., 2014) supporting the fact that more BC will produce during flaming stages of burns (Ward et al., 1992).*

AUTHORS RESPONSE-The reviewer is correct. We will amend the text, stating “This could suggest that the MCE values of wildfires are lower than controlled laboratory burns.” This is likely due to the lower abundance of oxygen in wildfires, and the revised text will mention this as well. Yucatan Peninsula results will also be mentioned earlier in the paragraph. The remainder of the text stands.

COMMENT: Page 12 Line 408-410: Author’s mentions, despite of having similar burn conditions with Hopkins et al. (2007), they found different SSA values for white pine than that of ponderosa pine needles/twigs. But they do not mention how they compare the burning conditions because they don’t have either BC/OA or MCE calculations in their study.

AUTHORS RESPONSE-The authors are using the phrase “similar burning conditions” fairly loosely. In Hopkins et al. the fuel was simply burned on a platform. While this work was employed a burning drum, air flow was not especially restricted. This is opposed to other significantly different burning conditions, such as the reduced oxygen conditions of a forest fire or the high efficiency combustion done in a cook stove.

COMMENT: Throughout the document, authors wrote soot. Do they want to say only soot produced during biomass burning?

AUTHORS RESPONSE-The authors recognize that discussion is limited to soot produced from biomass burning (BB), and will make a statement at the end of our introduction regarding this. There are some discussions that apply to soot more generally from other sources (diesel exhaust, acetylene flames, etc.). We will review our use of the word “soot” throughout the document and specify BB soot if it aids in understanding and reduces confusion. However as pointed out by Buseck et al. (2012), there still exists ambiguity on the definitions of soot, black carbon, and carbonaceous aerosols.

Buseck, P. R.; Adachi, K.; Gelencsér, A.; Tompa, É.; Pósfai, M., Are black carbon and soot the same? *Atmos. Chem. Phys. Discuss.* **2012**, 12 (9), 24821-24846.

Other things: We will remove the words “or mineral dust” from line 387 and add to the phrasing of the next sentence.

Measurement of size dependent single scattering albedo of fresh biomass burning aerosols using the extinction-minus-scattering technique with a combination of cavity ring-down spectroscopy and nephelometry.

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Abstract. Biomass burning (BB) aerosols have a significant effect on regional climate, and represent a significant uncertainty in our understanding of climate change. Using a combination of cavity ring-down spectroscopy and integrating nephelometry, the single scattering albedo (SSA) and Ångström absorption exponent (AAE) were measured for several North American fuels. This was done for several particle diameters for the smoldering and flaming stage of white pine, red oak, and cedar combustion. Measurements were done over a wider wavelength range than any previous direct measurement of BB particles. While the offline sampling system used in this work shows promise, some changes in particle size distribution were observed, and a thorough evaluation of this method is required. The uncertainty of SSA was 6 %, with the truncation angle correction of the nephelometer being the largest contributor to error. While scattering and extinction did show wavelength dependence, SSA did not. SSA values ranged from 0.46 to 0.74, and were not uniformly greater for the smoldering stage than the flaming stage. SSA values changed with particle size, and not systematically so, suggesting the proportion of tar balls to fractal black carbon change with fuel type/state and particle size. SSA differences of 0.15–0.4 or greater can be attributed to fuel type or fuel state for fresh soot. AAE values were quite high (1.59–5.57), despite SSA being lower than is typically observed in wildfires. The SSA and AAE values in this work do not fit well with current schemes that relate these factors to the modified combustion efficiency of a burn. Combustion stage, particle size, fuel type, and fuel condition were found to have most significant effect on the intrinsic optical properties of fresh soot, though additional factors influence aged soot.

1. Introduction

Biomass burning (BB) is recognized as one of the largest sources of absorbing aerosols in the atmosphere (Bond et al., 2013; Jacobson, 2014; Ramanathan and Carmichael, 2008; Moosmüller et al., 2009). Smoke from BB is composed of gaseous and aerosol constituents, including black carbon (BC), brown carbon (BrC), organic carbon (OC), and mineral dust; all of which have critical climate and health impacts. Global climate impacts of BB result from its truly massive contributions to aerosol optical depth over large areas and from secondary processes, such as cloud and ice nucleation, that can increase the radiative impact of the emissions. BB aerosols have significant impacts, not

only on local, but also on regional climate, air quality, and hydrological cycles (Alonso-Blanco et al., 2014; Haywood et al., 2003; Haywood et al., 2008; Fu et al., 2012; Lin et al., 2013; Reid et al., 2013; Yen et al., 2013; Reid et al., 2005).

With an estimated total climate forcing of $+1.1 \text{ W}\cdot\text{m}^{-2}$, BC is the second most important human emission in terms of its climate forcing in the present-day atmosphere; second to CO_2 (Bond et al., 2013). The impacts of wildfires are mostly associated with short-term climate forcers, such as ozone and aerosols. Depending on surface albedo and the relative amounts of OC and BC/BrC, BB smoke can heat or cool the atmosphere, provide condensation nuclei for ice and water reduce visibility, and affect air quality. The recent estimate (IPCC, 2013) of biomass aerosol radiative forcing is 50 % larger than earlier estimates.

In the atmosphere, aerosols dynamically change in complex ways. BC is initially produced during the combustion of carbon-based fuels when oxygen is insufficient for complete combustion during BB (Bond et al., 2013; Bond and Bergstrom, 2006). The chemical composition and physical properties of particles then evolve during their atmospheric lifetime due to condensation, oxidation reactions, etc. Soot is formed from organic precursors in high temperatures and insufficient oxygen environments where volatiles and primary tars react to form secondary tars to form polyaromatic hydrocarbons (PAH), which subsequently form soot particles by further agglomeration and release of hydrogen (Nussbaumer, 2010).

A theoretical BC aging model was developed to account for three major stages of aging: aggregates of graphitic spheres and primary tars freshly emitted from BB, aggregates becoming coated with condensable material, and BC particles undergoing further hygroscopic growth (He et al., 2015). BB aerosols are subject to extensive chemical processing in the atmosphere as they are exposed to sunlight, other pollutants like biogenic VOCs, and oxidants such as ozone (O_3), hydroxyl radical (OH), and NO_x ($\text{NO}+\text{NO}_2$). The timescale for these processes are quite short; on the order of a few minutes to hours (Hennigan et al., 2011; Rudich et al., 2007; Saleh et al., 2013; Hemminger, 1999; Haan et al., 1999; Cubison et al., 2011; Vakkari et al., 2014). Additionally, there is evidence of both loss and gain of particle mass, and rapid atmospheric oxidation (Vakkari et al., 2014). While semi-volatile compounds condense when they are cooled, as smoke is diluted, these compounds can revolatilize, which reduces aerosol mass (Robinson et al., 2007).

As these physical and chemical changes take place, the optical properties of these particles are also altered. Variations in optical properties of soot particles due to internal mixing in the atmosphere and aging remain highly uncertain, hindering efforts to assess their impact on climate. Understanding the effect of aging on composition and the commensurate optical property changes remains a challenge. Theoretical calculations are consistent with measurements in extinction and absorption cross sections for fresh BC aggregates, but overestimate the scattering cross sections for BC with mobility diameters below $\sim 350 \text{ nm}$, because of uncertainties associated with theoretical calculations and laboratory scattering measurements for small particles (He et al., 2015). The increase in BC

scattering during aging was much stronger than absorption, ranging from a factor of 3 to 24 depending on BC size, morphology, and aging stage (He et al., 2015). Clearly, a proper description of optical properties of particles (along with fuel inventories, emission factors, remote observations, etc.) is essential for analyzing and predicting the climate impacts of BB.

These radiative balance calculations require knowledge of aerosol optical properties, including single scattering albedo (SSA), scattering and absorption cross-sections and efficiencies, and angstrom coefficients. SSA, in particular, is crucial for predicting the direct radiative forcing of an aerosol. A number of experimental techniques have been used to measure the optical properties of BB aerosols (Bond et al., 1999; Holben et al., 1998; Arnott et al., 1999; Arnott et al., 2003; Haywood et al., 2003; Clarke et al., 2004; Petzold and Schonlinner, 2004; Schnaiter et al., 2005b; Lack et al., 2006; Moosmüller et al., 2009). By combining photoacoustic spectroscopy (PAS) with Nephelometry, one can simultaneously measure both absorption and scattering (Chakrabarty et al., 2014; Nakayama et al., 2013; Lewis et al., 2008; Gyawali et al., 2012; Flowers et al., 2010; Wang et al., 2014). Massoli et al. (2009) examined the uncertainty in the SSA of absorbing particles, based on measurements that combine cavity ring-down spectroscopy (CRDS) for extinction measurements with either nephelometry for scattering or PAS for absorption. Uncertainties in SSA using nephelometer data are larger and are most significant for $SSA < 0.7$ (Massoli et al., 2009). Massoli et al. (2009) observed nephelometer scattering cross section errors using the Anderson and Ogren correction method to be 3 % at $SSA = 1$, increasing to 5 % at $SSA = 0.7$, and 29 % at $SSA = 0.4$ (Fig. 7. in their work). This is the main contributor SSA error, which is 30% at $SSA = 0.4$. They reduced this to 25 % using an alternative scheme for deriving a correction for the instruments inability to measure high and low scattering angles ($C(\lambda)$), but the CRDS/PAS combination yielded SSA errors of between <1 % at $SSA = 1$ and 8 % at $SSA = 0.4$. (Massoli et al., 2009). This will be a significant source of error for measurement environments of fresh biomass burning plumes. In comparison, they report an uncertainty of <2 % for this same range when photoacoustic absorption measurements are combined with CRDS (Massoli et al., 2009).

A sensitive technique for measurement of SSA is the combination of CRDS to measure extinction (scattering+absorption) and integrating nephelometry for measuring scattering. CRDS promises aerosol extinction measurements with accuracies of 2 % or better (Smith and Atkinson, 2001; Strawa et al., 2003; Pettersson et al., 2004) and the integrating nephelometer has a reported accuracy of ~ 7 % (Anderson and Ogren, 1998). The extinction and the scattering coefficients are measured simultaneously for the same aerosol sample in this system, though we are not sampling the same space in this work. The extinction-minus-scattering technique has been used for airborne ambient measurements. This so-called the extinction-minus-scattering technique has been used for in situ measurements of aerosols (Hallar et al., 2006), for studies involving optical properties of biomass aerosols and humic-like aerosols using CRD for measurement of extinction and Mie theory calculations to determine scattering and absorption studying the optical effects of organic coatings on particles from BB (Riziq et al., 2007; Riziq et al., 2008), retrieving complex refractive indices of humic-like aerosols (Dinar et al., 2008), and determining the SSA extinction of isolated aerosol particles (Butler et al., 2007; Miller and Orr-Ewing, 2007). In our recent calibration

study (Singh et al., 2014) we have accounted for errors due to differences in particle concentration between the condensation particle counter (CPC), nephelometer, and CRDS. Instead of using absolute concentration values, the number density ratio between the CRDS and nephelometer, based on loss measurements, was used to derive SSA. We found that this is the only method in which SSA values are at all useful, which is 1.7–4.3 % (2.1 % average) for particles ≥ 200 nm in diameter, as opposed to ~ 14.3 % for other methods. The run-to-run variability of SSA measurements is ~ 2 %. At two standard deviations, SSA values of ≤ 0.91 can safely be determined using this technique, with ≤ 0.96 achievable on average. That is, this is the SSA value where CRDS and nephelometer values can be statistically differentiated at two standard deviations.

In this article, we report extinction, scattering, absorption, and SSA measurement results of freshly emitted soot aerosols impinged in distilled water from burning white oak, red pine, and cedar wood. Our main goal is to obtain a base line (i.e. fresh soot) to compare these same properties measured as aerosols age. Most current measurements are limited to a single or few discrete wavelengths. The accurate measurement of aerosol optical properties over the *entire solar spectrum* is currently a technological challenge (Ramanathan and Carmichael, 2008). Accurate and realistic interpretation of aerosol radiative properties obtained by remote sensing and space-based measurements requires accurate measurements of the optical properties of aerosols in the laboratory. Featured absorption cross sections need to be determined, instead of assuming a power law relationship, which requires more effort and advanced instrumentation than single wavelength measurements. We report measurements of optical properties at a wide range of wavelengths to determine absorption cross sections as a function of wavelength which does not rely on any power law relationship.

2. Experimental methods:

2.1. Cavity ring-down setup

Details of the experimental method and derivation of key equations for particle optical properties and CRDS analysis have been described (Singh et al., 2014) and references therein. We only summarize the main points and we encourage the reader to see the reference cited for details. ~~We provide here the key equation and summary of the experimental procedure.~~ The key equation for CRD measurement is the extinction coefficient α_{ext} (m^{-1}) is defined by

$$\alpha_{ext} = \frac{R_L}{c_{air}} \left(\frac{1}{\tau} - \frac{1}{\tau_0} \right) = \sigma_{ext} N_{CRD} \quad (1)$$

Where c_{air} is the speed of light in air and R_L is the ratio of mirror-to-mirror distance d to the length of the cavity occupied by the sample, resulting in a unit less value >1 . ~~The exponential decay of light exiting the cavity is characterized by the time needed for the light intensity to drop to $1/e$ of its initial intensity value; The ring-down time which is τ_0 for in an empty cavity and τ in the presence of a sample. Extinction of an individual particle is characterized by its extinction coefficient is the product of cross the cross section σ_{ext} ($\text{m}^2/\text{particle}$) and is related to the coefficient by the number and number density of particles N_{CRD} ($\text{particles}/\text{cm}^3$) in the CRD cavity. A unit conversion factor has been omitted for simplicity.~~

The laser components of the system, shown in Fig.1, included a Continuum Surelite I-20 Nd: YAG laser running at 20 Hz. The 532 nm beam pumped a single grating ND6000 dye laser with a bandwidth of 0.08 cm^{-1} at 560 nm, and the 355 nm beam pumped an optical parametric oscillator (OPO) laser. The OPO laser was also coupled to the ring-down cavity which allowed a wider wavelength range than can be achieved with the dye laser, though with decreased beam quality. To retain most of the light exiting the OPO, the beam needed to be reshaped with $f = 40\text{ cm}$ achromatic lens and an iris. The OPO had a relatively collimated beam $\sim 1\text{ cm}$ in diameter and its bandwidth was $\sim 0.9\text{ nm}$ in the vicinity of 550 nm light, $\sim 2\text{ nm}$ around 600 nm, and $\sim 9\text{ nm}$ at ~~680-660~~ nm. This type of OPO had a bandwidth that increases asymptotically as it approached 710 nm (twice the 355 nm pump), so the dye laser was used in this region and the OPO was used at shorter wavelengths. For this work only the OPO was used. A polarizer and $\lambda/4$ wave plate were used to isolate the lasers and a telescope was used to mode match the laser with the cavity. Since the mirrors were reflective over a limited wavelength range, several sets of mirrors were used to cover a wide wavelength range.

The CRDS system was controlled by a combination of commercial (Continuum) and home-built software. The ring-down measurements were recorded and analyzed in LabVIEW (National Instruments, version 8.6). The exponential decay was plotted in a log format and a line was fit between two cursors to determine the slope and, therefore, τ . The laser wavelength was also controlled through LabVIEW, where the calibration of the laser wavelength was handled in LabVIEW for the dye laser and internally in the Continuum control software for the OPO. The dye laser was calibrated against a wave meter (Bristol Instruments, model 821B-Vis) over a range of wavelengths. WCPC measurements used Aerosol Instrument Manager (TSI) and the nephelometer used NephWin (TSI) software

2.2. Aerosol generation system

The aerosol processing and CRDS setup was similar to the one described by Rudich et al. (Spindler et al., 2007) with the only difference being the use of a single CPC and the use of both an OPO and a dye laser as light sources. A coupled differential mobility analyzer (DMA)-CPC (i.e. a scanning mobility particle sizer (SMPS)) was used to determine the size distribution of aerosols. The current experimental setup is described below and shown in Fig. 2. A constant output nebulizer (TSI, model 3076, modified) in recirculation mode was used to generate aerosols from an aqueous solution of suspended particles. This nebulizer was operated by supplying 35 psi of filtered N_2 . This was fed into diffusion drier (TSI, model 3062) to remove most of the water. The flow from the nebulizer was quite high (3.2 sL/min), which necessitated splitting before the particle flow entered the DMA. Flow from the nebulizer entered the $710\text{ }\mu\text{m}$ impactor inlet, neutralizer, and long DMA that contained a model 3080 (TSI) electrostatic classifier, where the aerosol was size selected. Flow through the entire system (0.58 sL/min) was produced by a pump within the CPC and the DMA sheath flow as 6.0 L/min in single blower mode. Aerosol flow then entered a ring-down cavity (170 cm long, stainless steel, $\frac{1}{2}\text{''}$ OD), where the aerosol extinction was measured over a range of wavelengths. Aerosol scattering coefficients were then measured at 453, 554, and 698 nm using the integrating nephelometer (TSI, model 3563), and particle concentration was measured by the water-based CPC (WCPC, TSI,

model 3788). A purge flow was applied to custom mirror mounts (NOAA-ESRL) at both ends of the CRD cavity to maintain mirror reflectivity.

This gas passed from the N₂ cylinder to a mass flow controller (MFC, Sierra Instruments, 20 mL/min) and was cleaned using an inline HEPA filter (TSI, model 1602051) before the flow was split evenly between purge mirrors. Aerosols were passed through stainless steel fittings (Swagelok) and conductive graphite-impregnated silicone (TSI). All tubing and instruments were connected physically and electrically, and placed at ground potential to maximize the transmission of charged aerosols through the system. All flows, except the DMA sheath flow, were calibrated against a NIST-certified flow meter (Mesa Laboratories, model Definer 220) that was factory calibrated annually and had a listed accuracy of <1 %.

2.3. Burning facility

Soot was generated with a burning drum designed in our laboratory (Fig.3) and burns were conducted at an off-campus location. [Burning stages were differentiated visually.](#) This burn drum was equipped with adjustable vents and a lid that was attached to a support structure so that it could fit tightly over the drum or only partially cover it, as needed. Smoke moving through the lid exits a steel chimney pipe, which was sampled by a ½" ID copper tube that acted as a passively-cooled heat exchanger. A Teflon tube connected the heat exchanger to a cross, where particles are sampled by a cascade impactor, a liquid impinger (AGI-4) (Grinshpun et al., 1997; Lin et al., 1997) (~~Giordano et al., 2014~~), and SMPS. This resulted in a suspension of black carbon in water, though some of it may have dissolved (Miljevic et al., 2012). A sampling time of ≤ 30 minutes, a volume of collection fluid of 30 mL, and a flow rate of 12.5 L•min⁻¹ was used (Reponen et al., 2011). The residence time from combustion to sampling was on the order of tens of seconds. All wood samples consisted of heartwood, sapwood, cambium, and bark. They were not green, and were air dried for at least several months prior to burning. A Sioutas cascade impactor was used with a Leeland Legacy pump to collect the soot on aluminum filters, to allow visual analysis using a scanning electron microscope (SEM). The samples were collected between 30 seconds and 3 minutes, depending on aerosol load. For times much longer than this, the pump on the impactor began to clog and our filters became saturated. The filters were then removed from the impactor and stored in Ziploc bags until later analysis. Filters were analyzed without further processing on a Zeiss EVO SL10 SEM.

The impinger, which contains water, is transferred to glass bottles with Teflon-lined lids and brought to our lab. Samples were diluted and sonicated prior to introduction to CRDS and nephelometer, and samples were agitated using a magnetic stir bar throughout atomization. The TSI atomizer had been modified to incorporate a stir plate and accept wide-mouth bottles, which reduce the number of sample transfers and decrease the likelihood of sample carryover. Samples were characterized for their particle size distribution before and after nebulization using an SMPS, and several sizes of soot particles were selected for measuring their optical properties. Baseline measurements were taken with nebulized water without particles to take into account any possible particles generated from residues in the water and to minimize the change in water vapor concentration between the blank

and particle measurement experiments. The DMA was set to the same pass diameter as the normal particle measurement, and τ_0 was recorded at either 0.2 or 0.5 nm increments over the wavelength range of the mirror. At each wavelength, τ_0 was measured at 20 Hz for 30 seconds, producing an average of 600 measurements. Values were averaged over 3 separate experiments. Blank scattering coefficient measurements were recorded by the nephelometer during this time period and, though they were several orders of magnitude smaller than particle measurements, were subtracted from particle measurements. Particles were then introduced to the system and readings from the CRDS, nephelometer, and WCPC were allowed to stabilize.

The extinction and scattering cross section of fresh soot from white pine, red oak, and cedar were measured using the CRDS and nephelometer, which were used to calculate the absorption cross section and single scattering albedo. The measurements were made for two wavelength ranges 500–580 nm and 580–660 nm. Earlier the measurements were done on different days for each wavelength range, since it involved changing mirrors and conducting realignment of the laser beam, though some later measurements were performed on the same day. Particle number density also varied somewhat over the experiment, but remained mostly consistent. Experiments where the number densities were found to fluctuate significantly were disregarded. The ring-down time was different in each run due to different HR mirrors used for each wavelength range. The error was higher on the low end of the spectrum, due to decreased mirror reflectivity. We have extensively discussed the method used to calculate optical parameters and their associated errors in Singh et al. (2014), and the same method is applied to soot samples in this work.

3. Results and discussion

The initial sample collection was done on Nov. 3rd, 2014. The method of soot collection in distilled water has not been previously reported. We cannot account for any chemical modification of the soot during impingement with our current instrumental capabilities. A comparison of the size distributions of white pine during combustion and after renebulization showed a change in the particle size distribution. The flaming sample had a mode number-density diameter of 148 nm during the burn (interpolated from peak edges due to detector overload) and 55 nm upon nebulization. The smoldering stage sample similarly went from a mode diameter of 138 nm during the burn to 50 nm after nebulization. In both cases, the mode diameter is reduced by a factor of ~ 2.7 . However, it is not known if the optical properties of size-selected particles change due to this sampling process. Once soot was in solution, though, it *did not display any change in size distribution* over several weeks, which makes it a potentially viable method of sample storage. Chemical analysis would be needed to account for any chemical change, which was not available in this work. Future work will explicitly investigate how well this sampling method conserves the optical properties of size-selected soot. Since some aerosol properties change following impingement and nebulization, the state of soot in this work could be more reflective of fresh soot that has undergone cloud processing in the atmosphere (i.e. deliquescence followed by droplet evaporation). However, many important processes did not take place for these samples, including photochemical transformations. As such, results in this work will only be compared to literature observations of fresh soot.

255 Extinction, scattering, and absorption cross sections showed a decrease at higher wavelengths for measurements done several weeks apart (i.e. a different wavelength range at a different time). Even at overlapping wavelengths, older samples had lower cross section values, resulting in an abrupt discontinuity at 580 nm (the boundary between the ranges of the two sets of mirrors used in this work). Even though the size distribution did not change over the course of several weeks, we attempted to attribute the decrease in optical values to either changes in chemical
 260 properties of the soot or to an experimental artifact. When measurements were done on the same day for both wavelength ranges, the abrupt change in the measured values was reduced for most runs; showing this discontinuity. The discontinuity could be a result of several factors, including extinction coefficient error, which is about 1.3–1.7 % (1s) and the run-to-run variability is similar (~2 %); variation in the particle concentration between runs, so the cross section error is just over 10 %; ~~and it could be due to the~~ actual changes in the sample. To adjust
 265 measurements performed on different days, a constant was derived from the difference in cross section values at 580 nm for each wavelength range. While values from 500–580 nm were kept the same, this constant was added or subtracted to the extinction and scattering cross section values 580–660 nm. The higher wavelength range was adjusted because it had poorer S/N due to lower mirror reflectivity. In all cases, the SSA did not change significantly due to adjusting the extinction and scattering values. Measurements were done several times at different days and the results are consistent. In general, the cross sections of soot particles decreased with increasing wavelength.
 270

Figure 4 shows the steps followed in determining cross sections, SSA, and their errors. For extinction, the coefficient is measured at a particular size and wavelength multiple times (individually denoted by *). The error (one standard deviation, s) is derived from this. The relative standard deviations (RSD) of several factors are used to
 275 calculate the average cross section and average error from the original extinction coefficient. A similar process is shown for scattering with the inclusion of a correction factor and its associated error. A broadband correction factor A_{Neph} is used to reconcile scattering with extinction for completely scattering particles (Singh et al., 2014). The empirical Ångstrom exponent-based correction of Anderson and Ogren (1998) was used to account for truncation angle error. The SSA and its error are based on scattering and extinction coefficients, the RSD of those coefficients,
 280 and the relative number density in the CRDS and nephelometer. The error for each quantity is calculated using Eq. (2) in (Singh et al., 2014). The error of extinction coefficient is calculated from Eq.(2).

$$s(\sigma_{ext}) = \sigma_{ext} \sqrt{\left(\frac{s(N_{CPC})}{N_{CPC}}\right)^2 + \frac{1}{N_{RSD} V r t_s} + \left(\frac{s(R_L)}{R_L}\right)^2 + \frac{s(\tau_o)^2}{(\tau - \tau_o)^2} \left(\frac{\tau_o^2}{\tau^2} + \frac{\tau^2}{\tau_o^2}\right)} \quad (2)$$

Where $s(\sigma_{ext})$ is the standard deviation in the extinction coefficient, N_{CPC} and $s(N_{CPC})$ are the number density in measured by the CPC and its error, respectively, N_{RSD} is the number density in the ring-down cavity, R_L is distance
 285 occupied by the sample relative to the mirror-to-mirror distance, $s(R_L)$ is the error associated with measurement of R_L , t_s is the averaging time (30 s), r is the sampling repetition rate (20 Hz), and V is the beam volume. $s(\tau_o)$ is the standard deviation of the blank ring-down time.

The particle number density in the cavity (N_{CRD}) is assumed to be, on average, between the particle concentration entering and exiting the CRDS. By measuring the particle loss in nephelometer (L_{Neph}) and cavity (L_{CRD}) for each particle size, the number density in the nephelometer (N_{Neph}) and cavity is calculated from the CPC measurement (N_{CPC}) using the following equation

$$N_{Neph} = \frac{N_{CPC}}{L_{Neph}} \quad \text{and} \quad N_{CRD} = \frac{N_{CPC}}{2L_{Neph}} \left(\frac{1}{L_{CRD}} + 1 \right) \quad (3)$$

The accuracy of SSA based on extinction and scattering, is limited largely by the nephelometer at low SSA values. Specifically, the truncation angle correction $C(\lambda)$ is the limiting factor, which is discussed by Bond et al. (2009). Changes in the particle size alter the degree of angular scattering, which in turn changes $C(\lambda)$. $C(\lambda)$ is expected to have a $\leq 1\%$ error for SSA values greater than 0.9, $< 2\%$ for values 0.8–0.9, and $\sim 5\%$ for values lower than 0.7. Bond et al. suggest that, if Anderson & Ogren-corrected SSA is larger than 0.9, then using $C(\lambda)$ is acceptable. Under other conditions where the error is considered unacceptable, especially those found in laboratory or field measurements of biomass or biofuel combustion, the $C(\lambda)$ systematic error can be as high as 5%. To reduce the scattering error for low SSA particles, it is suggested that the size distribution should be measured and a refractive index should be assumed. Assuming a refractive index does not cause systematic errors above 2%, but this method is definitely difficult and size distribution errors must be constrained to avoid error commensurate with using the Anderson & Ogren method. Assuming this error can be maintained $\leq 2\%$, a mean SSA error of $\leq 2.9\%$ is expected using this method (Singh et al., 2014). Using the method of Bond et al. (2009), scattering error was estimated using the observed SSA and Ångström absorption exponent (AAE), and was found to be 3–6%. The uncertainty for using CRDS and nephelometry with this technique to measure SSA of fresh soot is estimated to be less than 2–6%.

As BB particles age, aerosol growth is not the only means in which they change. Often, dilutors are used in laboratory and field experiments on BB emissions to represent dilution due to diffusion in the atmosphere. These coatings can evaporate substantially during dilution of a smoke plume to ambient conditions. While the generation of volatile compounds cannot be ruled out in our work, we did not take into account the impact this may have on the optical properties of the soot samples collected in this work. In the sampling system used in this work, any coating on the soot could be lost (i.e. dissolved) after being impinged and would make the measurement of the re-suspended soot core drastically different from a core-shell or more complex coating structure that might be generated. Alternatively, previously uncoated particles could be coated with water soluble, but non-volatile or semi-volatile species. We aim to systematically address these issues in future work, when these measurements become available.

It has been shown that the presence of large, multiply charged particles passed by the DMA can artificially increase measured cross sections, even if their number density is relatively small (Uin et al., 2011). An inline impactor with a 1 μm cutoff diameter or larger has been successfully used to exclude multiply charged particles from a gas stream (Mellon et al., 2011). Of course, this method is limited to particle diameters of 500 nm or larger. For smaller particle diameters, a separate experiment must be performed. NIST scientists have used aerosol particle mass analyzer (APM), to take an aerosol stream that has been size selected with a DMA and separate it by mass. Consequently

particles that have the same electrical mobility, but different mobility diameters were separated. This method had its own limitations for irregularly shaped particles (in this case, it includes soot) (Radney et al., 2013). Unfortunately, our lab is not equipped with this instrument and possible errors due to multiply charged species have been ignored. Previous work in our laboratory on polystyrene spheres revealed that multiple charging and surfactant coating could significantly increase extinction and scattering measurements when these were compared to Mie theory (Singh et al., 2014). It was found that the technique used in this work, and similar instruments, was limited to particles with diameters ≥ 200 nm, which was a restriction followed in this work.

The SSA as a function of wavelength for fresh soot produced from cedar, red-oak, and white pine had a slope close to zero over the wavelength range of 500–~~680–660~~ nm, with values ranging from 0.46 to 0.74. While our measured optical properties of fresh soot are within the range of values measured by other groups, reflecting both the dynamic nature of fires, these variations may be due to significant differences in smoke aging processes, burning conditions, sample handling and processing, and measurement techniques used (Schnaiter et al., 2005a; Lewis et al., 2008; Mack et al., 2010; Liu et al., 2014). The SSA for cedar, red-oak, and white pine were plotted for 300, 400, and 500 nm particles during the flaming stage (Fig. 5, 6 and 7) and during the smoldering stage (Fig. 8, 9 and 10). The solid lines represent the mean values of SSA and the dotted lines represent error about the mean. The mean values and their errors are shown in Table 1. Cedar had an SSA that was significantly greater for the flaming stage than the smoldering stage for 300 nm particles. The smoldering stage was greater than flaming for larger particles, but not significantly for 400 nm particles. It has been shown that the smoldering phase emits larger, higher SSA particles (Reid et al., 2005). It is likely that, at small particle diameters, such as 300 nm, EC has a greater contribution to particle mass than OC, giving rise to lower SSA values for the smoldering stage of this fuel at this size. To make up for this, larger particles could have a greater contribution of OC, resulting in its greater abundance in PM_{2.5}. This is consistent with the larger observed SSA values for larger diameter particles in the smoldering stage of cedar combustion. Red oak had SSA values that were comparable for 300 nm particles, greater for smoldering for 400 nm particles, and slightly greater for smoldering 500 nm particles. White pine behaved similarly, though the flaming stage had a slightly greater SSA for 300 nm particles. The smoldering combustion phase has been observed to emit larger particles with a higher scattering efficiency (Chen et al., 2006). Smoldering fires often lead to BrC, which is less absorbing than BC (Chakrabarty et al., 2010). While this may explain some of these observations, it is clearly not a rule, given contradictory values for 300 nm particles. Additionally, for larger particles where the mean SSA for smoldering particles were greater than flaming particles, half of them did not have a statistically significant difference.

The SSA of fresh soot from the smoldering stage for 300, 400 and 500 nm particle sizes, are slightly dependent on size parameter (χ) and range from 0.46–0.71, as shown in Fig. 11. The SSA of these fuels versus χ was plotted in Fig. 12 for the flaming stage, and had values 0.50–0.71. Size-segregated measurements of SSA seem to be more variable for the smoldering stage than the flaming stage, though this conclusion is based on a limited set of data. For each fuel investigated, the SSA values of the smoldering stage became slightly larger as particle size increased (i.e.

they becomes more scattering). Reid et al. has suggested that smoldering combustion may produce larger particles than flaming combustion due to a greater contribution of a non-absorbing component containing OC (Reid et al., 2005). This is consistent with the result of others, where flaming-dominated fires had higher mass fractions of BC, while smoldering fires produced roughly four times as much OC as flaming-dominated fires (McMeeking, 2008). This is also consistent with Tumolva et al. (2010), who observed that the flaming stage of white oak produced significant quantities of fractal-like particles, while smoldering pine bark predominantly produced tar ball-like spheres. For the flaming stage, there was no observable trend as a function of particle size. All species tended to have equivalent SSA values for 300 nm particles, but diverged significantly at larger diameters. While wavelength does not seem to significantly affect SSA in this work, the particle size clearly plays major role in determining the scattering or absorption properties of the particle.

A number of ambient field studies on optical properties of BB aerosols have been done, several of which are reported in Table 2. These were mainly measured at a single wavelength but not all were done at the same wavelength. In general, soot particles generated by burning propane or ethylene in the laboratory or emitted from diesel engines have a much lower SSA than BB soot (Wei et al., 2013;Khalizov et al., 2009a;Schnaiter et al., 2005a;Schnaiter et al., 2006;Radney et al., 2014). Liu et al. (2014) measured SSA and AAE of fresh BB aerosols produced from 92 controlled laboratory combustion experiments of 20 different woods (Ponderosa Pine (PP), red oak, wheat straw, rice straw and others) and a relatively fresh plume during a field-based measurement of the Las Conchas wildfire in 2011. They demonstrated that an SSA of BB aerosol spans a large range (~ 0.2 – 1) and SSA varies strongly with fire-integrated modified combustion efficiency (MCE_{FI}), which is a measure of how cleanly a fuel is combusted. They found that SSA is close to 1 between 532 and 781 nm, as long as MCE_{FI} is below ~ 0.85 . At higher MCE_{FI} values, SSA drops precipitously and exhibits greater spectral dependence, which corresponds to a lower OC content. This study also showed that both SSA and AAE increase with aging. We find that our SSA values for red oak (0.53–0.68) are fairly close to the observations of Liu et al. (0.45–0.59 at 532 nm). It is possible that the slightly smaller value observed by Liu et al. is due to the use of a cooking stove, which combusts more cleanly than an open burn. Our flaming and smoldering SSA values for white pine (0.46–0.74) were significantly different than PP; either a mix of brown and green (0.97 at 532 nm) or all green (0.93–0.99 at 532 nm). This was an open burn, performed similarly to our work, which shows that, even within types of pine, drastic differences in SSA (0.19–0.53) can be observed between species.

Bergstrom et al. (2003) performed broadband SSA estimates of the total aerosol column using solar radiative flux and optical depth measurements over 2 days during the SAFARI 2000 field experiment in southern Africa. A detailed radiative transfer model resulted in SSA values from 0.85 to 0.90 at 350 nm, decreasing to 0.6 in the near infrared (Bergstrom et al., 2003). Observations with small optical depth over the ocean showed a slightly decreasing SSA with wavelength; 0.84 ± 0.06 at 500 nm and 0.79 ± 0.11 at 660 nm. When sampling springtime BB haze over Mongu, Zambia, however, a very high optical depth was observed and SSA had little spectral dependence in this region (0.87 ± 0.01 at 500 nm and 0.86 ± 0.02 at 660 nm). While this SSA is higher than our measurements, the same

lack of spectral dependence is observed. In aircraft measurements by Johnson et al. (2008), the SSA of BB aerosols over western Africa during the Dust and Biomass Experiment (DABEX) varied from 0.73 to 0.93 at 550 nm. After removing a contribution for mineral dust, they found an SSA around 0.81 ± 0.08 for both aged and fresh smoke plumes from agricultural fires. This SSA value is higher than our observations for fresh soot by approximately 0.05–0.35, which could be due to the presence of silica from the agricultural refuse of many silica-rich crops (millet, maize, sorghum, and other grains) ~~or mineral dust~~. A similar explanation can be given for differences between our results SAFARI campaign estimates, though no source attribution was performed in this case and mineral dust could have contributed to those measurements. Alados-Arboledas et al. (2011) monitored a fresh BB plume using a combination of Raman lidar and star-and sun-photometers, finding relatively low SSA values of 0.76–0.86, with lower values for fresh BB aerosols than aged smoke. Three wavelength measurements by Liu et al. (2014) shows fire-integrated, fitted SSA values at 405 nm to be slightly smaller than those at 532 nm by 4–5 %, where the difference becomes larger for increasing MCE_{FI} , but only slightly. SSA values at 532 and 781 nm are nearly equivalent (5 % difference) over a wide range of MCE_{FI} , but begins to diverge drastically when $MCE_{FI} > 0.92$, with the SSA at 532 nm being the larger value. This observation bounds the MCE_{FI} of burns performed in this work to < 0.92 . While field measurements and remote sensing retrievals of SSA rarely falls below 0.6 in ambient plumes, the differences in SSA among BB aerosols is attributed changes in combustion conditions produced by different fuel types as well as soot age (Eck et al., 2003; Lewis et al., 2008; Mack et al., 2010). In comparison to these field observations, our results are lower than is typically seen for fresh soot, even when external mixing is taken into account. This could suggest that the MCE values of wildfires are higher-lower than controlled laboratory burns, likely due to the lower abundance of oxygen in wildfires. However, our lowest observed SSA was during a smoldering burn of cedar (0.46 average), which should have a relatively low MCE. The difference in SSA due to fuel type is at least 0.15 and could be much greater (~ 0.4), when comparing this work to field observations of fresh soot. Clearly, fuel type or some other factor, such as the presence of very scattering particles that are larger than those studied here, play an important role.

Lewis et. al. (2008) found SSA values at 405 nm ranging from 0.37 to 0.95 for flowering shrubs and pine needle litter during Fire Laboratory at Missoula Experiment. Chemical and physical properties determined from X-ray and electron microscopy methods found that the combustion products of pine needles, wood, and litter (duff) are chemically similar and their particles consist of liquid oily OC with BC inclusions (Hopkins et al., 2007). PP needles/twigs and duff were found to have a fire-integrated SSA of 0.91 and 0.97, respectively, which is significantly larger than any of our white pine measurements (Table 1), despite having similar burning conditions. BB aerosols of Southern Longleaf pine needles were also significantly greater, having an SSA of 0.89. While some of this variability can be attributed to sp^2 hybridization, which should be related to MCE, SSA values observed in this work were different than wood species investigated by Hopkins et al. SSA values observed in this work were commensurate with shrubs in Hopkins et al., where particles were mainly BC with inorganic inclusions. Given the presence of tar balls in this work, the variability in the SSA of BrC-coated BC is clearly greater than previous measurements. In preliminary data presented in a meeting following the NASA measurements during SEAC4RS,

435 involving in situ sampling of the smoke from the Yosemite Rim Fire, the initial SSA of smoke was 0.92 and
 increased in the first 0–7 hrs. to 0.96, and was nearly constant after that (up to two days) (Beyersdorf, 2013). This is
 significantly higher than our SSA values for fuels studied in this work. This area of Yosemite was in the Lower
 Montane forest zone, which predominantly had California black oak, PP, incense-cedar, and white fir. Fresh smoke
 in the Rim Fire is commensurate in SSA to BB particles from PP needles and twigs (SSA of 0.91 at 532 nm)
 440 (Hopkins et al., 2007). However, this was lower than either PP duff or a mix of brown and green PP wood, which
 have an SSA of 0.97 at 532 nm (Hopkins et al., 2007; Liu et al., 2014). This could be due to the presence of
 significant quantities of very old fuel on the ground, which could produce more efficient combustion. Chen et al.
 (2006), reported SSA values of 0.35–0.70 for dried PP wood in controlled laboratory combustion studies, which
 supports this assertion. Chen et al. (2006) also observed an SSA of 0.70 for white pine needles during the
 445 smoldering stage in controlled laboratory combustion studies, which is in fair agreement with white pine soot in our
 work (0.46–0.74). [Another in situ observation of SSA aging was done on a BB plume in the Yukatan peninsula](#)
 (Yokelson et al., 2009; Pokhrel et al., 2016). [The SSA at a wavelength of 520 nm was observed to change from](#)
[~0.75 to ~0.93 over 1.4 hours of aging.](#)

450 AAE values determined in this work are presented in Table 1. By making a \log_{10} - \log_{10} plot of the absorption cross
 section (σ_{abs}) vs. λ , a linear fit was performed to yield the AAE. Significant errors were observed in the range of
 580–660 nm due to the mirrors being less reflective. As such, fits were only performed from 500–580 nm. Saleh et
 al. (2013) measured AAE for a variety of fuels, and found values of 1.38 for fresh oak, 1.42 for aged oak, 1.48 for
 fresh pocosin pine, 1.73 for aged pocosin pine, and 2.15 for fresh gallberry. These values are consistent with other
 455 measurements for BB emissions (Liu et al., 2014; Saleh et al., 2013; Gyawali et al., 2009; Habib et al., 2008). Liu et
 al. (2014) observed AAE values for red oak (1.16–1.24) that were lower than our observations for either burning
 stage (2.13–3.58 for flaming and 3.04–5.57 for smoldering). This difference could be due to the burner, where a
 cleaner burning flame leads to higher MCE, lower SSA, and lower AAE as the fraction of BC increases. In contrast
 to SSA, AAE values for white pine in this work (2.17–4.20) are similar to values of Liu et al. for PP (2.9 for mixed
 460 brown/green and 1.99–4.60 for green). While they do observe a regime where there are such large values, and large
 variability in those values, they observe this for SSA values >0.8 at 405 nm or >0.85 at 532 nm. This is inconsistent
 with the relatively low SSA values for white pine observed in this work. Cedar is also incongruent with the
 observations of Liu et al., since relatively large AAE values were observed for the flaming stage (0.70–3.3), but
 small SSA values (0.50–0.61). The incongruence is even more pronounced for the smoldering stage, where AAE is
 465 even higher (1.3–4.7) while SSA is about the same, but more variable (0.45–0.64). Lastly, a lack of wavelength
 dependence in SSA was only observed when MCE was <0.92 , where SSA values were not observed below 0.8. This
 suggests a potential issue with the framework developed by Liu et al. [Recently, Pokhrel et al. \(2016\) found that](#)
[AAE and SSA had a better dependence on EC/\(EC+OC\) for FLAME-4 measurements. However, we observed AAE](#)
[values from 2.17–4.20 for white pine, which would correspond to a EC/\(EC+OC\) less than 0.2, as shown in Figure 4](#)
 470 [of Pokhrel et al. However, Pokhrel et al. show SSA values greater than ~0.75 at 532 nm when EC/\(EC+OC\) is less](#)
[than 0.2. Our observed SSA values range from 0.46–0.74, which is only seen at EC/\(EC+OC\) significantly greater](#)

than 0.2. The flaming stage of cedar does not seem to be as problematic in EC/(EC+OC)-based scheme. While Liu et al. and Pokhrel et al. plot SSA and AAE against MCE, EC/OC, and EC/(EC+OC), neither publication plots SSA against AAE. We have done so in Figure 13, where it can be seen that many of our measurements inhabit a distinct location in AAE/SSA space. The AAE is higher and the SSA is lower than most FLAME-4 observations. Part of this difference may be that previous measurements were done for the entire burn and all diameters below 2.5 μm , whereas measurements in this work were segregated by size and burning stage. When both data sets were combined and fit to a power law function, the y-offset increased and the fit had greater power dependence.

For each fuel and particle size, a larger AAE was found for smoldering combustion, compared to the flaming combustion stage, which is consistent with a significant absorption by BrC in the visible region (Chen et al., 2006; Chang and Thompson, 2010). AAE values in this work are generally larger than those observed in relatively fresh plumes from the Las Conchas wildfire (2.1 ± 0.5 at 1σ). This may be due to differences in burning conditions or fuel type, where the majority of burning was in the Southern Rocky Mountain Mesic and Dry-mesic Montane mixed conifer ecosystems and Ponderosa Pine woodland (Bird and Menke, 2011). These areas are dominated by Douglas Fir, White Fir, and Ponderosa Pine, though Blue and Mountain Spruce are frequently present along with a number of shrub types.

The optical properties of aerosols are dominated by their chemical composition and physical characteristics, such as size and morphology, which lead to large uncertainties in quantifying how they directly alter the climate system.

Despite the care taken in measuring SSA and AAE in this work, several of these effects require additional measurements to fully characterize their effects on aerosol optical properties.

Freshly emitted BC particles are mostly hydrophobic and externally mixed with other aerosol constituents (Zhang et al., 2008). There is evidence that fires produce BC particles coated with organic matter in a manner that enhances some of their optical properties, specifically short wavelength absorption by “lensing” (Lack et al., 2012), which alters the results of climate models (Bond and Bergstrom, 2006). We have observed the same tar ball like particles in SEM images, but we did not perform further analysis (Tumolva et al., 2010). Field measurements indicate that, during transport, fresh soot becomes internally mixed with sulfates and organics, leading to an enhancement of light absorption by about 30% (Schwarz et al., 2008). Backman et al. (2010) measured the effect of heating on light scattering and absorption by aerosols at an urban background station in Helsinki. Heating mixed aerosols would volatilize scattering, low molecular weight organic constituents, producing an increase in light absorption, with SSA reduced to 0.4 after thermodenuding (Backman et al., 2010). Many of the SSA observations in this work, particularly at 300 and 400 nm diameters, are within this range. The aging process can also affect the morphology of soot by collapsing dendritic structures into a more compact or near spherical morphologies. Particles’ ability to act as CCN is largely controlled by aerosol size rather than composition (Dusek et al., 2006). Field measurements suggest that in mixed aerosol populations, particle size is a good predictor of CCN ability. Aerosols particles can take up water, become larger in size than their dry equivalents, and hence, scatter more light. Wet particles also have different angular scattering properties and refractive indices than their dry counterparts, even at 50% relative humidity (RH). An internal mixture of soot with other aerosol components is significantly more absorptive than the external mixture (Jacobson,

2000). The optical properties of fresh (uncoated) soot are practically independent of ~~relative humidity~~ (RH), whereas soot internally mixed with sulfuric acid exhibits significant enhancement in light absorption and scattering, increasing with the mass fraction of sulfuric acid coating and ~~relative humidity~~RH (Khalizov et al., 2009b). While these factors are recognized as important in affecting the optical properties of particles, they are not currently well constrained in this work.

One issue that can be addressed is the influence of large, multiple charged particles (with the same electrical mobility as smaller, +1 charged particles) on the optical properties of size-selected aerosols. That is, particles that are double the geometric cross section and having a +2 charge, three times these factors, etc. A particle with double the geometric cross section for 300, 400, and 500 nm particles would have diameters of 424, 566, and 707 nm. Since the later two are much larger than those studied here, we will limit our discussion to 300 and 424 nm particles (the latter is assumed to have the same optical properties as 400 nm particles). For the smoldering stage of cedar combustion, which exhibits the greatest difference in SSA between 300 and 400 nm particles, the proportion of 300:424 nm particles was ~4.2:1 according to their SMPS particle number density, which corrects for multiple charging. Using the equations of Wiedensohler (1988) with corrected values, we determined that the mobility-selected particles consisted of >89% of +1 charged, 300 nm particles and <11% of +2 charged, 424 nm particles. This would decrease the observed SSA of smoldering cedar by 0.018 (3.9%), which can, in some cases, be significant (Bond et al., 2009). This is the largest difference observed, however. A similar treatment was done to red oak combustion, which showed an increase in SSA of 0.0026 (0.47%) and a decrease of 0.0064 (1.2%) for the flaming and smoldering stages, respectively. Neither of these is significant.

4. Conclusion

Though there were differences in the size distribution between sampling and nebulization, and chemical analysis was not available for this work, samples appeared to be stable over the course of 2–4 weeks. A systematic study is planned to determine the suitability of this sampling technique for storing soot samples. A direct comparison of cooled and diluted soot with suspended and re-aerosolized soot, examined as a function of wavelength and particle size, would be required. Efforts are currently underway in our laboratory to perform such a study. It is not currently known if the optical properties of size-selected particles are altered by this sampling process. Changes in mixing state and particle morphology are possible, and not currently constrained in this work. It is not presently known if the optical properties of size-selected particles are altered by this sampling process, and changes in mixing state and particle morphology are possible. The While previous work suggested the effect of large, multiply charged particles was not likely significant in for particle diameters ≥ 200 nm (~~which was~~ a restriction followed in this work) (Singh et al., 2014), this conclusion is limited to monodisperse aerosols. Adjustment of SSA due to large, multiply charged particles may have to be performed when there are significant changes in SSA as a function of particle size. The importance of this is partially due to the tight systematic uncertainty requirements of SSA measurements.

When samples were stored for more than a few weeks, differences in the extinction and scattering cross sections were observed. A statistical framework, previously developed by our group for analyzing polystyrene spheres

(Singh et al., 2014), was applied to soot, and the error in SSA was found to be 3–6 %. This error was dominated by the truncation angle correction factor $C(\lambda)$. To reduce this error, it would be useful to rely on a scheme that does not depend on the Ångström scattering exponent, which does not well represent particle size at low SSA values. Instead, a method for correcting the nephelometer truncation angle error should be devised for submicron soot particles; is they aggregates of glassy carbon spheres, tar balls, or a mixture of both. To perform this correction, particle size must be selected and the size distribution known.

SSA was determined for fresh BB soot using the extinction-minus-scattering method for a range of particle sizes (300–500 nm) and a wide range of wavelengths (500–660 nm), which is wider than previous direct measurements of BB aerosols. This is important, since the accurate measurement of aerosol optical properties over the entire solar spectrum is a technological challenge that must be addressed to quantify the impact of aerosols on climate. The optical properties (extinction, scattering, and absorption cross sections; Ångström absorption exponent; and SSA) were measured for fresh particles produced from burning white pine, red oak, and cedar. The extinction, scattering, and absorption cross sections decreased slightly toward higher wavelengths, producing a nearly uniform value of SSA for each particle size and fuel source. SSA values ranged from 0.46 to 0.74. Results show that SSA is not uniformly greater for the smoldering stage than the flaming stage. This was especially true for 300 nm particles, but even for larger particles where the mean SSA for the smoldering stage was greater than the flaming stage, half did not exhibit statistically significant differences.

While SSA exhibited no wavelength dependence in this work, there was particle size dependence. SSA increased with particle diameter for smoldering fires, whereas flaming fires did not exhibit any trend as a function of particle size. This is likely due to changes in the contribution of tar ball like spheres and fractal BC as a function of particle size. For radiative transfer models, it is inappropriate to assign a uniform SSA to all particle diameters, which are typically measured for the entire size distribution and integrated over both combustion stages.

In a comparison with literature values, white pine had a SSA that was ~0.1 larger than reported values, likely due to the cooking burner employed by Liu et al. (2014). In comparing different types of pine under similar burning conditions, significant differences in SSA (0.19–0.53) were observed between species. [That is not to say that the MCE for these different species were identical or similar. Indeed, MCE was frequently either not measured or could not be inferred. However, it is likely that MCE is varying with fuel type, and that these are not independent variables.](#) The lack of SSA spectral dependence seen in this work is consistent with BB haze observations, though field observations of fresh soot typically had higher SSA values than those in this work. This lack of spectral dependence is consistent with MCE_{FI} values of <0.92 (Liu et al., 2014). While MCE clearly influences SSA, SSA differences of 0.15–0.4 or greater can be attributed to fuel type or fuel state for fresh soot. The relatively low SSA values, however, are consistent with MCE_{FI} values of >0.92. [A similar difficulty is found with EC/\(EC+OC\)-based schemes](#) (Pokhrel et al., 2016), [though the cutoff is at 0.2.](#)

Despite the low SSA values observed in this work, AAE values were quite high (1.59–5.57). AAE was larger for the smoldering stage than for the flaming stage, which is consistent with the effects of a greater contribution of BrC in smoldering flames. For white pine and cedar, such large values of AAE are only observed when SSA is >0.85 at 532 nm, which is inconsistent with our SSA measurements. When also considering issues with low SSA and a lack of SSA spectral dependence, this suggests there are issues with the MCE-based framework of Liu et al. [and the EC/\(EC+OC\)-based framework of Pokhrel et al.](#)

Biomass burning is a major global phenomenon with an unusually large number of degrees of freedom, which includes morphology, size distribution, mixing state, age, composition, concentration, location, flaming condition, fuel type, fuel state, humidity, and chemical oxidants. It is practically impossible to account for all sources of uncertainty, but not all degrees of freedom are equally important. The most significant effects on the intrinsic optical properties of fresh BB particles (i.e. morphology and composition) seem to be burning stage, particle size, fuel type, and fuel condition (green, brown, mixed, littler, etc.). While this work investigates key parameters effecting fresh soot, the optical properties of aged particles are also significantly influenced by mixing state, humidity, and chemical processes.

Future work involves a plan to design and build an indoor chamber that will be connected directly to the output of a furnace, where additional gases of relevant organic compounds (or proxies of semi volatile species) and nitrogen oxides can be added to simulate atmospheric aging of the BB aerosols. This includes isoprene and many monoterpenes (like α - and β -pinene), common VOC oxidation products, NO, and NO₂, at concentrations that reflect the conditions observed during forest fires. The optical properties of BB particles in the chamber will be monitored as a function of composition and age. The relative quantities of EC and OC will be measured on filter samples, and MCE_{FI} will be determined via CO and CO₂ measurements. These chamber CO and CO₂ measurements will also be important in controlling burning conditions so that they match CO and CO₂ measurements observed during wildfires. Additionally, furnace conditions can be altered so that different burning states can be investigated. Since satellite measurements of SSA cannot distinguish between BB aerosols and other types of aerosols, controlled experiments that reflect natural conditions are needed to better assess the direct contribution of BB to climate forcing.

Author contribution: S. Singh run all the experiments [and](#); analyzed the data.; Marc Fiddler developed the data analysis software and design ~~of~~ [the](#) experiments. [S.](#) Bililign is the PI of the project and supervised the work.

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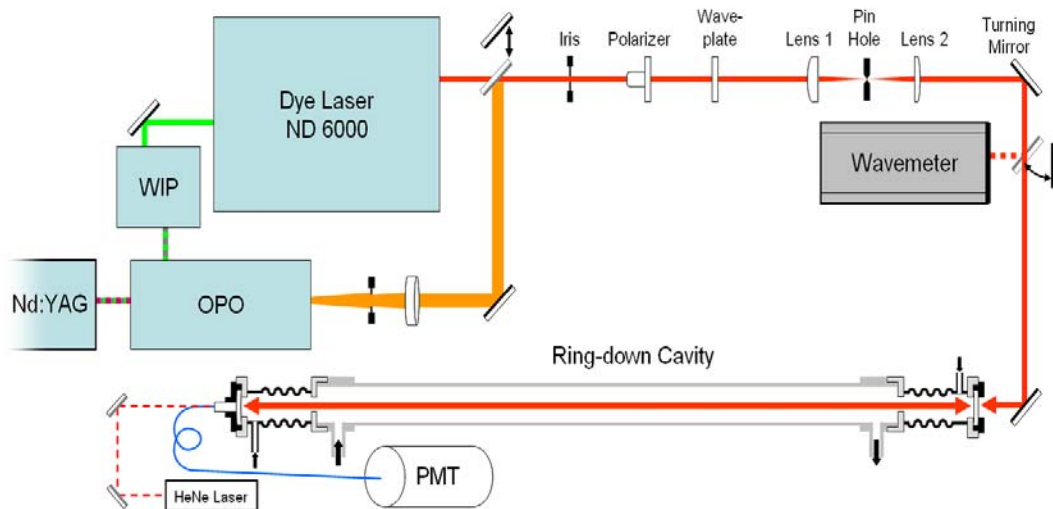


Figure 1. The laser and optical components of the CRDS instrument

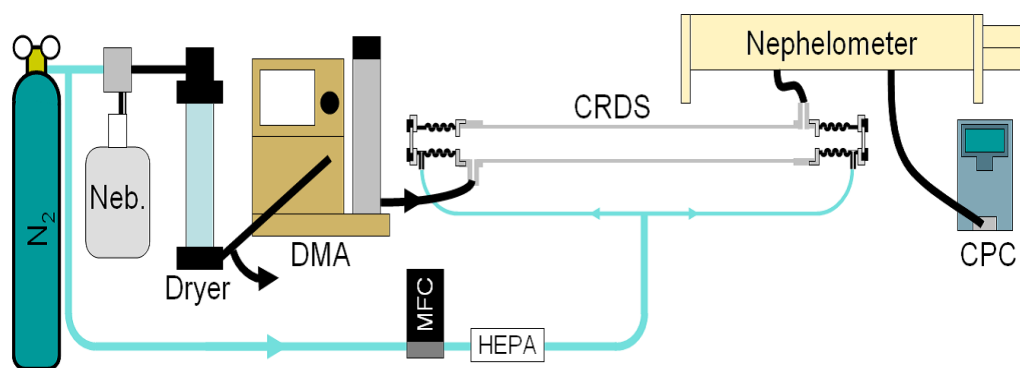


Figure 2. The integrated aerosol optical property measurement system

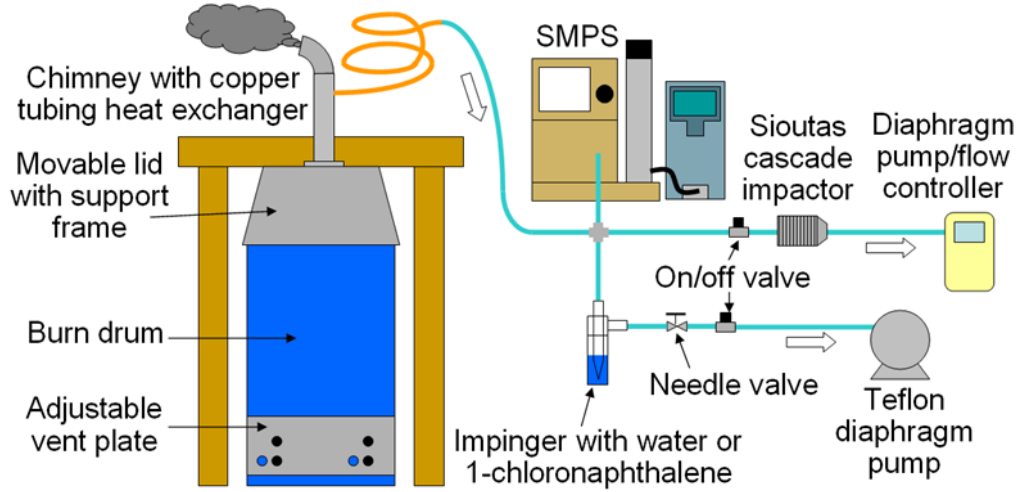


Figure 3. The soot generation setup, consisting of a burning drum, particle conditioning system, SMPS, and impinger and impactor samplers.

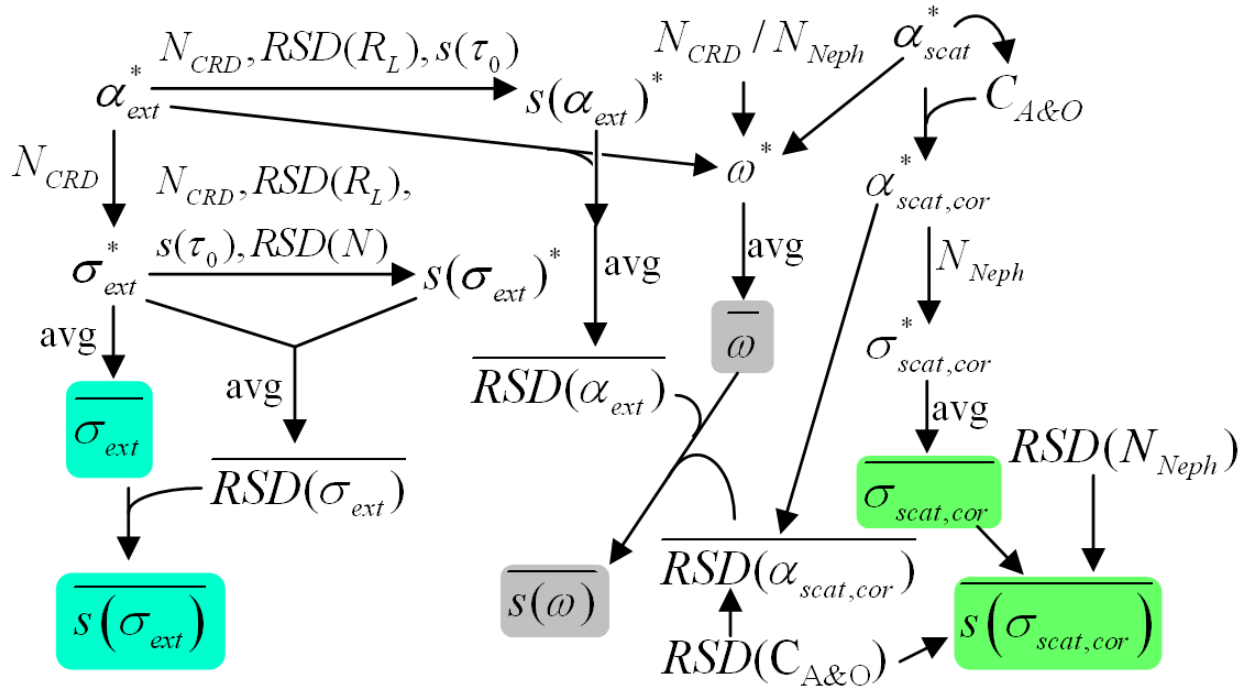


Figure 4. Figure 4. The calculation flow for determining average σ_{ext} , σ_{scat} , ω , and their errors. Variables with an asterisk represent individual measurements. σ_{ext} for each experiment is derived from the α_{ext} and the number density within the cavity, via Equation 1. This number density is found using Equation set 3. The standard deviation of σ_{ext} for each experiment is found using Equation 2. The RSD of σ_{ext} for each experiment is found, averaged, and multiplied by the average σ_{ext} to get the average standard deviation of σ_{ext} . α_{scat} for each experiment is corrected and σ_{scat} is found using the number density in the nephelometer. This is averaged and its standard deviation found from the run-to-run variability of σ_{scat} , the RSD of N_{Neph} , and the correction factor error. The RSD of α_{scat} is based on the run-to-run variability of α_{scat} and the

correction factor error. The SSA of each run is based on α_{scat} and α_{ext} for each run and the number density relationship. This is averaged, and the run-to-run variability of SSA determined. This variability is used, along with the RSD of α_{scat} and α_{ext} , to determine the SSA error.

The calculation flow for determining average σ_{ext} , σ_{scat} , ω , and their errors.

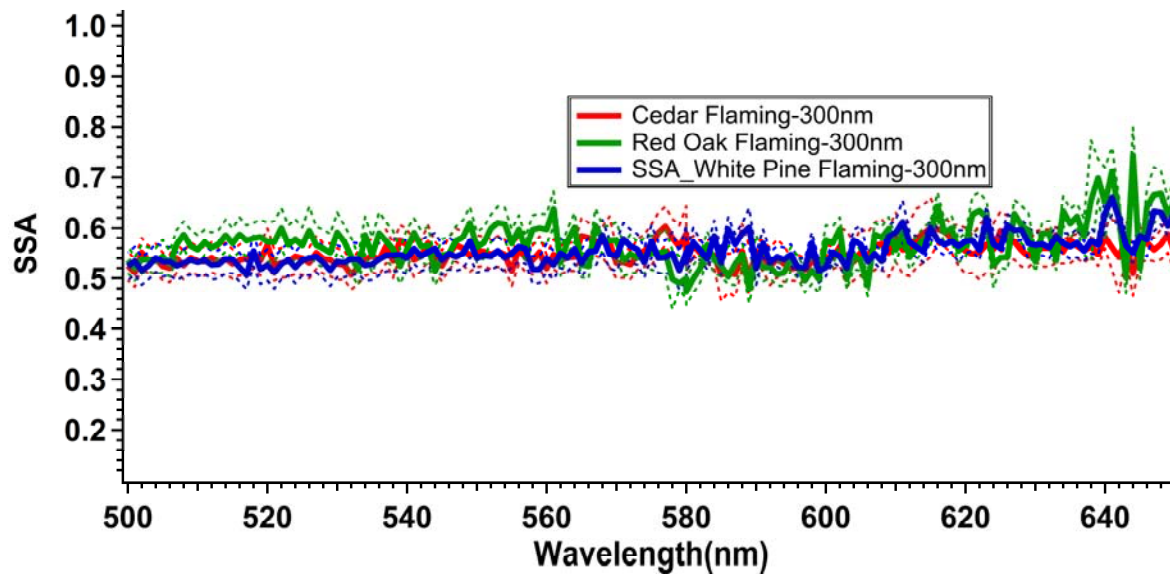


Figure 4. SSA of 300 nm particles from white pine, red oak, and cedar sampled during the flaming stage

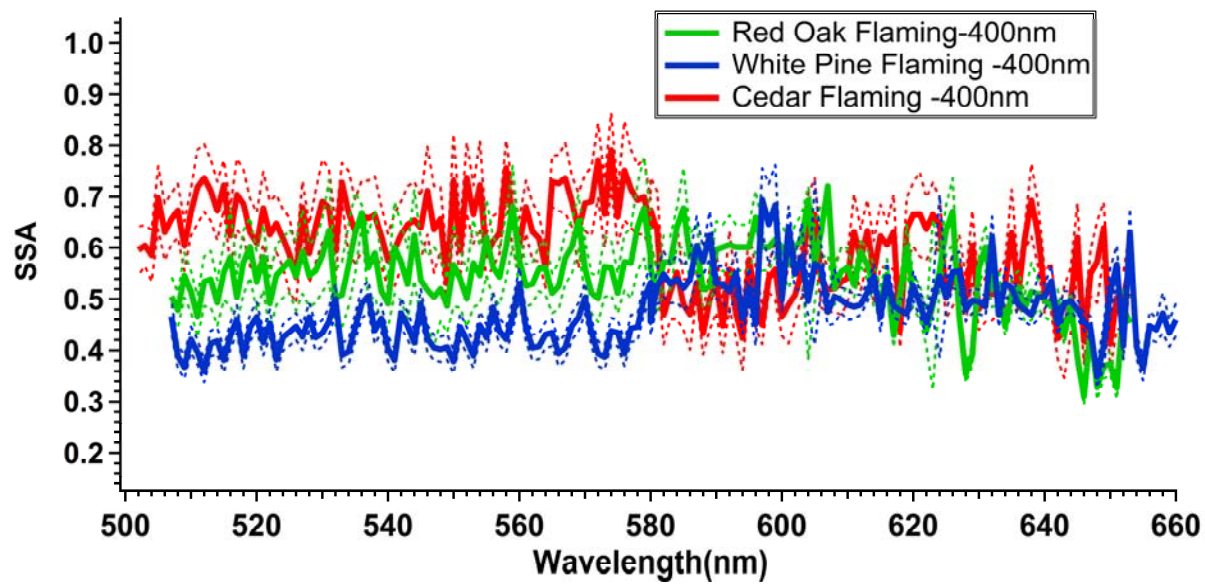


Figure 5. SSA of 400 nm particles from white pine, red oak, and cedar sampled during the flaming stage.

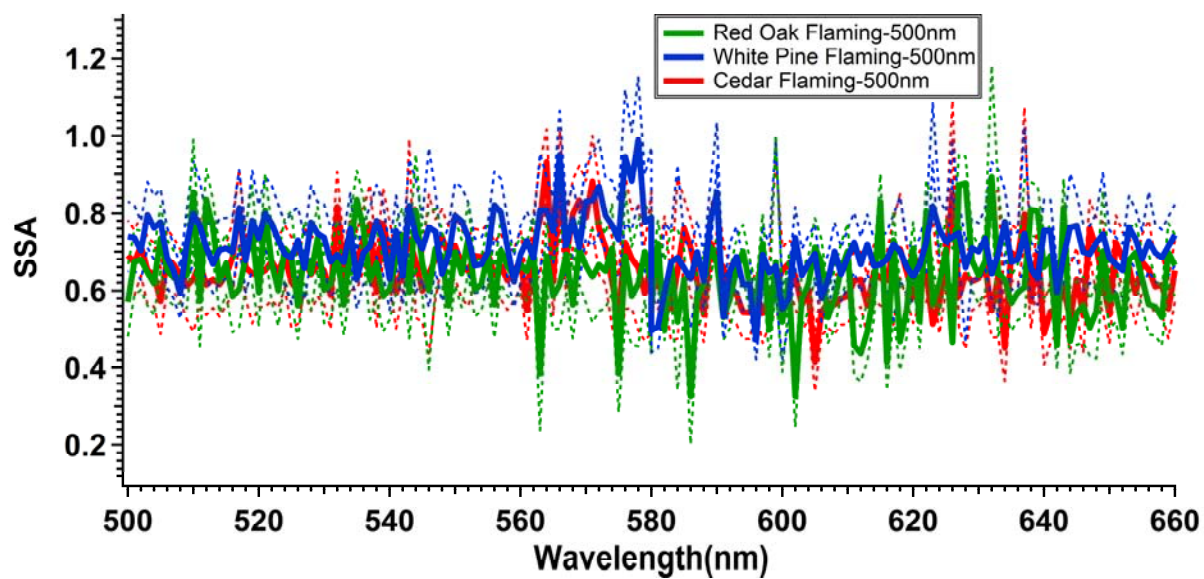


Figure 6. SSA of 500 nm particles from white pine, red oak, and cedar sampled during the flaming stage.

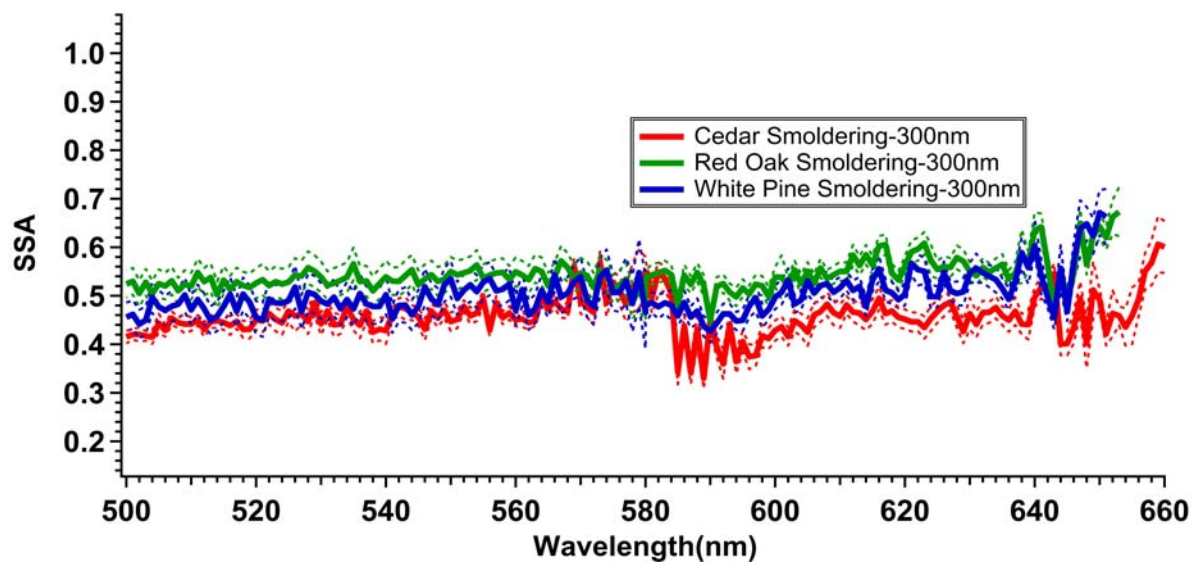


Figure 7. SSA of 300 nm particles from white pine, red oak, and cedar sampled during the smoldering stage.

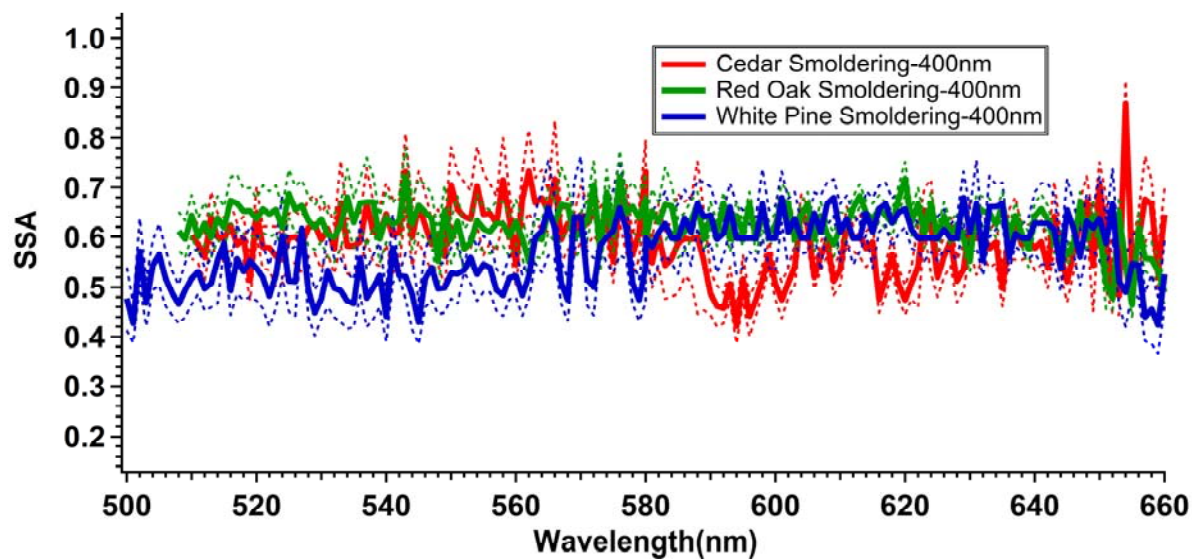


Figure 8. SSA of 400 nm particles from white pine, red oak, and cedar sampled during the smoldering stage.

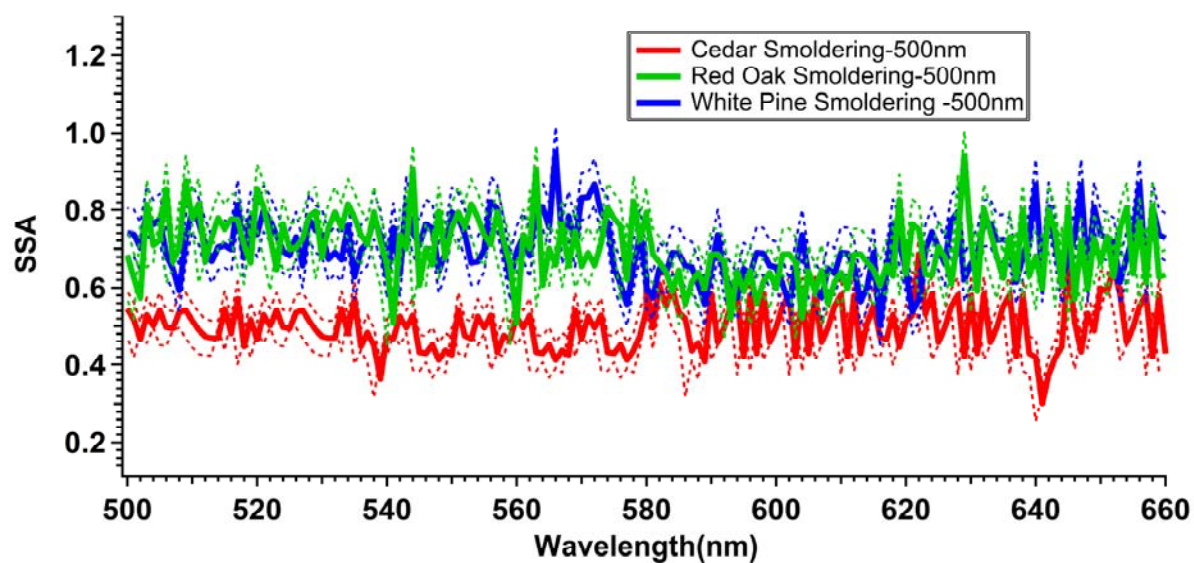


Figure 9. SSA of 500 nm particles from white pine, red oak, and cedar sampled during the smoldering stage.

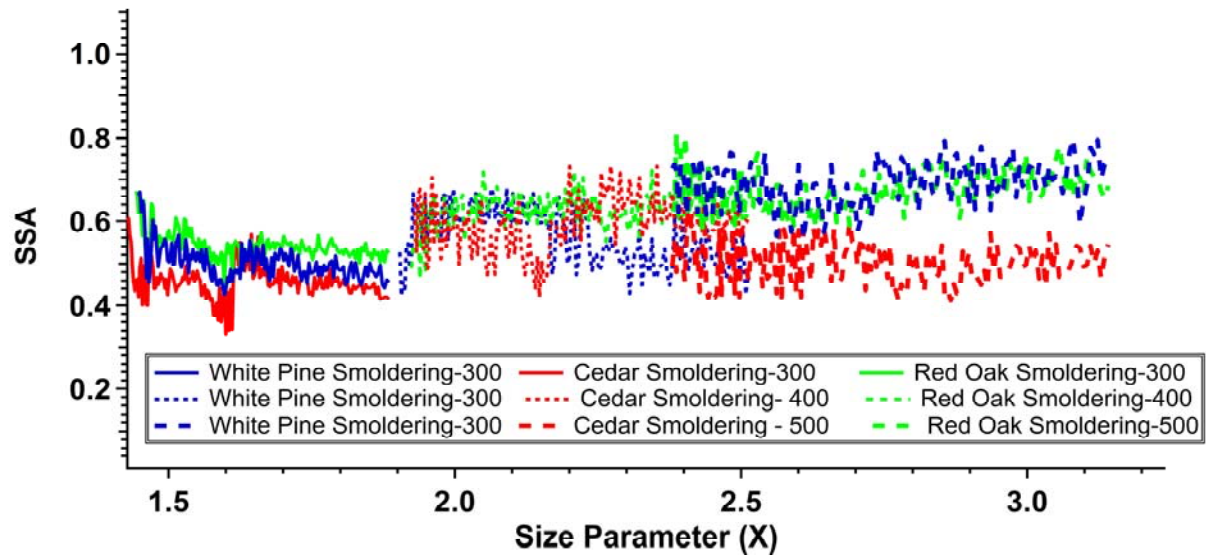


Figure 10. SSA as a function of size parameter for all samples in smoldering stage.

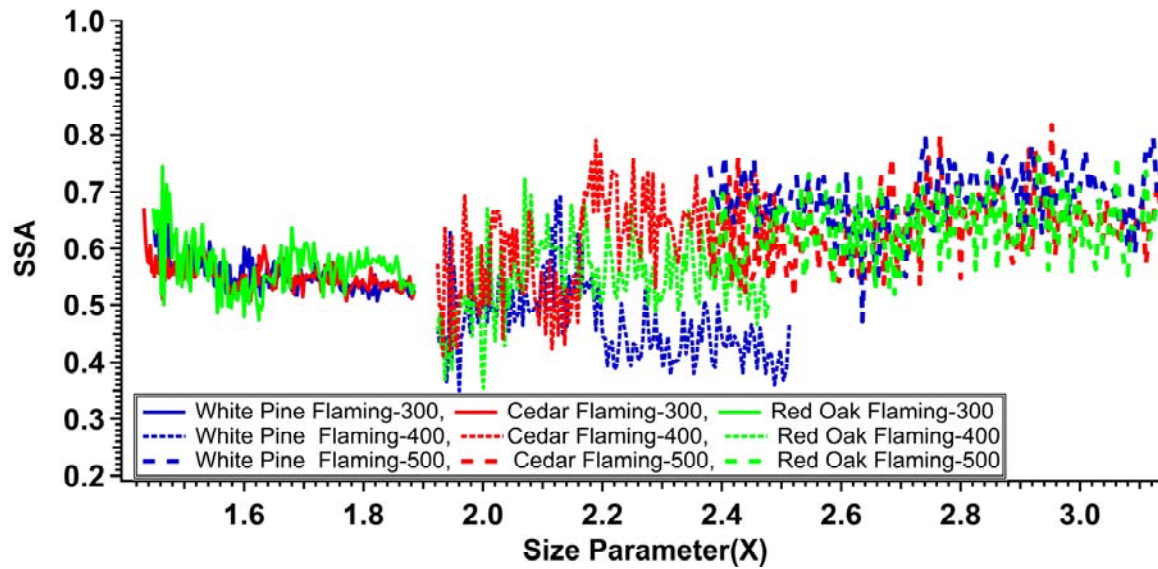


Figure 11 SSA as a function of size parameter for all samples flaming stage.

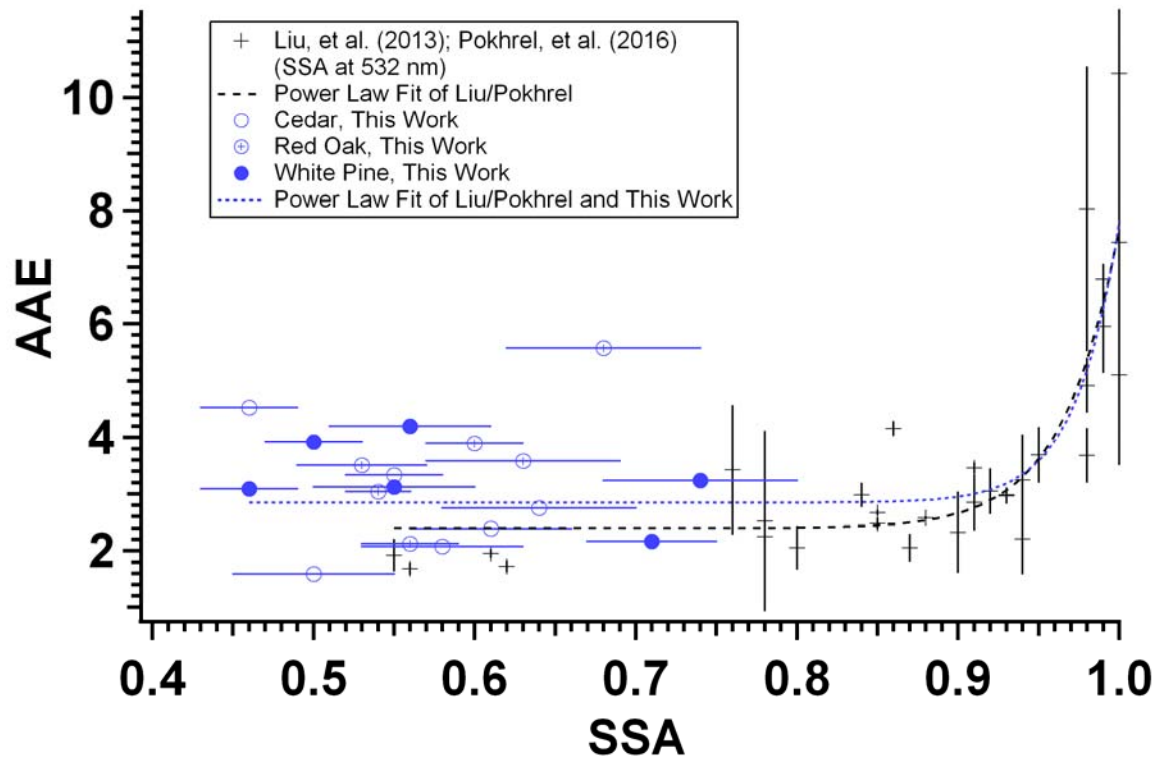


Figure 13. Results of this work compared to FLAME-4 results (Liu et al, 2013; Pokhrel et al., 2016). A power law fit was performed in the form of $AAE = a + b \cdot SSA^c$ was performed for FLAME-4 and combined data. For FLAME-5, $a = 2.402 \pm 0.296$, $b = 5.298 \pm 0.587$, and $c = 28.53 \pm 8.42$. For the combined data set, $a = 2.852 \pm 0.187$, $b = 4.961 \pm 0.599$, and $c = 36.965 \pm 11.300$.

Table I. Mean SSA values, their error (1σ), and AAE in the 500-580 nm wavelength range.

<u>Particle Size, Fuel</u>	<u>SSA</u>		<u>AAE</u>	
	<u>Flaming</u>	<u>Smoldering</u>	<u>Flaming</u>	<u>Smoldering</u>
300 nm, Cedar	0.55±0.03	0.46±0.03	3.34	4.53
300 nm, Red Oak	0.56±0.03	0.54±0.02	2.13	3.04
300 nm, White Pine	0.55±0.05	0.50±0.03	3.12	3.92
400 nm, Cedar	0.58±0.05	0.61±0.05	2.08	2.39
400 nm, Red Oak	0.53±0.04	0.60±0.03	3.51	3.90
400 nm, White Pine	0.46±0.03	0.56±0.05	3.09	4.20
500 nm, Cedar	0.50±0.05	0.64±0.06	1.59	2.75
500 nm, Red Oak	0.63±0.06	0.68±0.06	3.58	5.57
500 nm, White Pine	0.71±0.04	0.74±0.06	2.17	3.24

Table II. Previous SSA measurements of fresh BB aerosols

<u>Reference</u>	<u>Wavelength (nm)</u>	<u>Sample</u>	<u>SSA Range</u>	<u>Method</u>
Schnaiter et al., 2005	550	Corn stems	0.74	Long path extinction spectrometer and nephelometer
Lewis et al., 2008	405 and 870	Laboratory smoke from a variety of biomass fuels, including pine, rice straw	0.37-0.95	Dual-wavelength photoacoustic instrument and Nephelometer
Mack et al., 2010	532	Laboratory measurements of fresh smoke from wild land fuels in the W and SE US	0.428-0.99	Photoacoustic and nephelometer
Liu et. al., 2014	405, 532, and 781	Fresh BB aerosols from the controlled laboratory combustion of 20 woods and grasses	0.2-1.0	Three-wavelength photoacoustic soot spectrometer and nephelometer