# Effects of aerosol-radiation interaction on precipitation during biomass-burning season in East China

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## 1 Abstract

2 Biomass burning is a main source for primary carbonaceous particles in the atmosphere and acts as a crucial factor that alters Earth's energy budget and balance. It is also an important 3 4 factor influencing air quality, regional climate and sustainability in the domain of Pan-Eurasian Experiment (PEEX). During the exceptionally intense agricultural fire season in mid-June 2012, 5 6 accompanied with rapidly deteriorating air quality, a series of meteorological anomalies was 7 observed, including a large decline in near-surface air temperature, spatial shifts and changes 8 in precipitation in Jiangsu Province of East China. To explore the underlying processes that link 9 air pollution to weather modification, we conducted a numerical study with parallel simulations using the fully coupled meteorology-chemistry model WRF-Chem with a high-resolution 10 emission inventory for agricultural fires. Evaluation of the modelling results with available 11 ground-based measurements and satellite retrievals showed that this model was able to 12 13 reproduce the magnitude and spatial variations of fire-induced air pollution. During the 14 biomass-burning event in mid-June 2012, intensive emission of absorbing aerosols trapped a 15 considerable part of solar radiation in the atmosphere and reduced incident radiation reaching 16 the surface on a regional scale, followed by lowered surface sensible and latent heat fluxes. The perturbed energy balance and re-allocation gave rise to substantial adjustments in vertical 17 18 temperature stratification, namely surface cooling and upper-air heating. Furthermore, intimate 19 link between temperature profile and small-scale processes like turbulent mixing and 20 entrainment led to distinct changes in precipitation. On one hand, by stabilizing the atmosphere below and reducing the surface flux, black carbon-laden plumes tended to dissipate daytime 21 22 cloud and suppress the convective precipitation over Nanjing. On the other hand, heating aloft 23 increased upper-level convective activity and then favored convergence carrying in moist air, 24 thereby enhancing the nocturnal precipitation in the downwind areas of the biomass burning 25 plumes.

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# 27 **1 Introduction**

Biomass burning, defined as open or quasi-open combustion of non-fossilized vegetative or organic fuel, is widely used by humans to manage and transform land cover for many purposes and has been identified as one of the most important disturbance agents in world's terrestrial ecosystems (Fearnside, 2000). It is a major source of many trace gases and particulate matters on a regional and a global scale (Andreae and Merlet, 2001; van der Werf et al., 2006; Ito et al.,

1 2007), contributing significantly to the budgets of trace gases, greenhouse gases and 2 atmospheric aerosols (Langenfelds et al., 2002). For instance, biomass burning is estimated to be responsible for almost half of global carbon monoxide (CO) emission and more than one 3 third of total black carbon (BC) emission (Bergamaschi et al., 2000; Bond et al., 2013). With 4 5 tremendous and intensive emission of atmospheric pollutants, it has been recognized as one of the culprits of regional air pollution (Wiedinmyer et al., 2006; Ryu et al., 2007) and an important 6 7 disturber of biogeochemical cycles, especially for those of carbon and nitrogen (Crutzen and 8 Andreae, 1990; Kuhlbusch, 1998). In the Eurasian continent, i.e., the domain of Pan-Eurasian 9 Experiment (PEEX) (Kulmala et al., 2015), biomass burning is a very important source 10 influencing air quality, regional climate change and sustainability (Chi et al., 2013; Ding et al., 11 2013ab; Lappalainen et al., 2016). In the East China, the impact of biomass burning to air 12 quality and regional climate change is particularly interesting because of the mixing of biomass 13 burning plumes with pollutant from fossil fuel combustion sources (Ding et al., 2013a; Nie et al., 2015; Xie et al., 2015; Lappalainen et al., 2016). 14

15 Biomass burning, including forest fires, savanna fires, peat burning, and crop residue burning 16 in field, generally features a high emission rate of light-absorbing carbonaceous aerosols (Reid et al., 1998; Schwarz et al., 2008). The most important one is BC, which is intensively emitted 17 18 during biomass burning events due to incomplete combustions (Reid et al., 2005; Akagi et al., 19 2011). As the dominant absorber of solar radiation in the atmosphere, BC warms the Earthatmospheric system and alters the partitioning of energy between the ground surface and the 20 atmosphere, thereby modifying atmospheric thermodynamic structures and modulating 21 22 hydrological cycles (Krishnan and Ramanathan, 2002; Ramanathan et al., 2005; Ding et al., 23 2016). These modifications induced by biomass burning have been detected in many regions, 24 especially for those during forest fires. Surface temperature decline was extensively observed during forest fires in North America, Asia and Africa (Robock, 1988, 1991; Procopio et al., 25 26 2004; Kolusu et al., 2015). The dimming around ground surface and heating in the upper-27 atmosphere, especially in the upper boundary layer, could cause the suppression of daytime 28 mixing height and result in an enhancement of surface air pollution through aerosol-boundary 29 layer-radiation feedbacks (Ding et al., 2013a; Ding et al., 2016). This effect was named as the 30 "Dome Effect" of BC by Ding et al. (2016). By cooling the surface and stabilizing the 31 atmosphere, intense forest fire may lead to the inhibition of cloud formation (Andreae et al., 32 2004; Koren et al., 2004; Feingold et al., 2005), suppression in precipitation (Rosenfeld, 1999; 33 Sakaeda et al., 2011), and even temporal shift in onset of monsoon (Liu et al., 2005; Lau et al., 2006; Zhang et al., 2009). In one word, BC has been demonstrated to cause a significant
 perturbation in the radiative energy balance and has even led to regional and global climate
 change (Penner et al., 1992; Menon et al., 2002; Ramanathan and Carmichael, 2008).

4 Although forest and savanna fires are much less notable in China compared with tropical America, Africa and Southeast Asia (van der Werf et al., 2006), it is noteworthy that China is 5 6 a large country with the world's top-ranked agricultural production, which is inevitably accompanied by a tremendous amount of crop residue. Field burning of crop residue is a 7 8 common and wide-spread management practice in China during post-harvest periods for the 9 purpose of clearing farmland and providing short-lived ash fertilization for the crop rotation (Gao et al., 2002). It is estimated that about 120 Tg crop residues were burned in field across 10 China every year, far higher than those burned in forest fires and savanna fires (Yan et al., 2006). 11 Previous studies have documented that field burning of crop residue led to deterioration in 12 regional air quality during harvest season (Yang et al., 2008; Huang et al., 2012b; Li et al., 13 14 2014). What is worse, this kind of pollution occurs periodically in East China, particularly during the harvest period of wheat in June (Figure 1). However, studies regarding its effects on 15 16 meteorology and climate are still limited. Ding et al. (2013a) reported that temperature and precipitation were dramatically modified during the harvest season in 2012 according to ground 17 based measurements at a regional background station SORPES in the Yangtze River Delta 18 region in East China (Ding et al., 2013b). However, there is a lack of a comprehensive picture 19 of how or through which processes the biomass burning plumes influenced the air temperature 20 21 and precipitation and on what scale the aerosol-weather interactions happened during this case.

22 Here we conducted numerical simulations for the biomass burning event in East China during mid-June 2012 based on the online coupled meteorology-chemistry model WRF-Chem (the 23 24 Weather Research and Forecasting model coupled with Chemistry) combined with multiple 25 ground-based measurements and remote-sensing retrievals. The rest of this paper is structured 26 as follows: Section 2 describes the development of an emission inventory for field burning of crop residues and how the numerical simulations are configured and designed; in Section 3 we 27 28 validate the modelling results using available measurements, and then analyse the perturbations in energy budget and temperature adjustments induced by crop residue burning; finally, three 29 30 regions with distinct precipitation changes, located near or downwind from the burning sites, are selected to discuss in detail. Conclusions are drawn in Section 4. 31

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## 1 2 Data and Methodology

#### 2 2.1 Emission inventory

3 Modelling aerosols' radiative effects during this biomass burning event first requires accurate 4 quantification and meticulous characterization of emission from field burning of crop residue. 5 Here, emission intensities of trace gases and particulate matters, specifically including carbon 6 dioxide (CO<sub>2</sub>), CO, methane (CH<sub>4</sub>), Non-Methane Organic Compounds (NMOCs), nitrogen oxides (NOx), ammonia (NH<sub>3</sub>), sulfur dioxide (SO<sub>2</sub>), BC, organic carbon (OC), and particulate 7 8 matter (PM<sub>2.5</sub> and PM<sub>10</sub> are particles with aerodynamic diameter less than 2.5 and 10 microns, 9 respectively), were estimated based on a bottom-up method. According to the farming season 10 (available at zzys.agri.gov.cn) and province-level statistics on crop cultivation (NBSC, 2013), we can deduce that the intensive agricultural fires in June were mainly related to wheat straw 11 12 burning as a consequence of the extensively spreading cultivation mode of "winter wheat-13 summer corn/rice" in East China. Burned biomass at province-level was calculated based on 14 statistical data of crop productions, residue-to-production ratios, percentages of crop residues 15 burned in the field. Emissions of various pollutants were derived from the product of burned mass and experiment results on crop-specific combustion efficiencies and pollutant-sepcific 16 emission factors. The detailed methods and involved datasets are described in our previous 17 18 work (Huang et al., 2012b).

19 To determine the locations and time of crop residue fires, MODIS (Moderate Resolution Thermal Anomalies/Fire Daily L3 Global 20 Spectroradiometer) Imaging Product 21 (MOD/MYD14A1) combined with burned area product (MCD45A1) were introduced for the purpose of emission spatiotemporal allocations (Giglio et al., 2003; Boschetti et al., 2009). 22 MOD/MYD14A1 provides fire identification by examining the brightness temperature relative 23 24 to neighbouring pixels. MCD45A1 was also incorporated in this work because its bidirectional 25 reflectance model-based change detection approach has been proved to be capable of presenting a more accurate mapping of smaller fragments of burn scars (Roy and Boschetti, 2009). Global 26 27 Land Cover (GLC) product with a spatial resolution of 1 km was used in this study to identify the burning of different biomass. Only fire detections that occurred on framland, that is land 28 29 cover classes defined as "Farm" and "Mosaic of cropping", was identified as field burning of 30 crop residue. Emission at province level estimated using the aforementioned method were then allocated equally to each fire spot. 31

1 The fire emission estimation developed in this work was compared with the FINN fire emission 2 dataset. Spatially, these two emission inventories generally were consistent with each other because the locations for the fires in both inventories are based on MODIS Thermal Anomalies 3 4 Product (Figure S1). Some inconsistencies, such as the density of fire in central Jiangsu, are 5 attributed to the different land cover dataset applied for the identification of underlying biomass type. FINN fire emission estimation used MODIS Collection 5 Land Cover Type data 6 7 (Wiedinmyer et al., 2011), while we employed Global Land Cover data. This inventory differs 8 slightly from FINN estimation in magnitude. Taking CO emission in the inner model domain 9 for instance, we estimate that 4.5 Tg CO was emitted while FINN gives the value of 7.5 Tg 10 during the first half of June 2012. It might be attributed to different methods to estimate burned 11 biomass. FINN used MODIS Vegetation Continuous Fields to assign the burned mass. The fuel loading of farmland was assumed to be 0.5 kg/m<sup>2</sup> (Wiedinmyer et al., 2006). However, in China, 12 13 crop straw is used in multiple ways that differ regionally, like biofuel, biogas production and 14 animal feed supply, which is highly dependent on crop species. We estimated the emission 15 using a "bottom-up" method by fully considering crop yields, crop-specific straw usage and 16 combustion efficiency.

During this agricultural fire event, the spatial pattern of fire detections in Figure 2a indicates that open burning of straw mostly concentrated in northern parts of Anhui and Jiangsu province and got extremely severe on 9 and 13 June, as displayed in Figure 2b. Burning of crop residues dominated local emissions of atmospheric pollutants when compared with corresponding anthropogenic emissions. Taking BC for instance (Figure 2c and d), emission rate from field burning of crop residues far outweighed that from industry, power plant, residential activity and transportation combined (Li et al., 2015).

## 24 2.2 Numerical simulation

25 The numerical simulations in this study were conducted using WRF-Chem version 3.6.1, which is an online-coupled chemical transport model considering multiple physical and chemical 26 27 processes, including emission and deposition of pollutants, advection and diffusion, gaseous and aqueous chemical transformation, aerosol chemistry and dynamics (Grell, G. et al., 2011). 28 29 The model has been widely utilized to investigate aerosol-radiation-cloud interactions and 30 aerosol-boundary layer feedback (Grell, G. et al., 2011; Zhao, C. et al., 2013; Fan et al., 2015; 31 Huang et al., 2015; Ding et al., 2016; Gao et al., 2016). In the present work, we adopted two 32 nested model domains centred at 115.0°E, 33.0°N (Figure 1a). The parent domain with a grid

1 resolution of 20 km covered the eastern China and its surrounding areas to get synoptic forcing. 2 The fine resolution of 4 km for the inner one allowed better characterization of small-scale 3 physical processes, especially those linked to convective motions, cloud formation and rainfall 4 onset. There were 31 vertical layers from the ground level to the top pressure of 50 hPa, 20 of 5 which were placed below 4 km to achieve finer vertical resolution within the boundary layer. The initial and boundary conditions of meteorological fields were updated from the 6-hour 6 7 NCEP (National Centres for Environmental Prediction) global final analysis (FNL) data with a 8  $1^{\circ} \times 1^{\circ}$  spatial resolution. To investigate the aerosols' radiative effects on 10 June 2012 when 9 the precipitation was substantially modified, the simulations were conducted for the time period 10 from 20 May to 15 June. The meteorological initializing date for 10 June was 12:00 UTC on 9 11 June. Each run covered 60 hours and the last 48-hour modelling results were kept. The chemical 12 outputs from the preceding run were used as the initial conditions for the following run. The 13 first 20 days were regarded as the model spin-up period for atmospheric chemistry, so as to 14 better characterize aerosol distributions and minimize the influences of initial conditions and 15 allow the model to reach a state of statistical equilibrium under the applied forcing (Berge et 16 al., 2001; Lo et al., 2008).

17 Key parameterization options for the WRF-Chem modelling were the Noah land surface scheme to describe the land-atmosphere interactions (Ek et al., 2003), the YSU boundary layer 18 scheme (Hong, 2010), and the RRTMG short- and long-wave radiation scheme (Mlawer et al., 19 1997). The Lin microphysics scheme that accounts for six forms of hydrometer (Lin et al., 1983) 20 21 together with the Grell cumulus parameterization was applied to reproduce the cloud and 22 precipitation processes (Grell, G. A. and Devenyi, 2002) for the coarse domain. Cumulus 23 parameterization was switched off for the inner domain. For the numerical representation of atmospheric chemistry, we used the CBMZ (Carbon-Bond Mechanism version Z) 24 photochemical mechanism combined with MOSAIC (Model for Simulating Aerosol 25 Interactions and Chemistry) aerosol model (Zaveri and Peters, 1999; Zaveri et al., 2008). 26 27 Aerosols were assumed to be spherical particles. The size distribution was divided into four 28 discrete size bins defined by their lower and upper dry particle diameters (0.039–0.156, 0.156– 29 0.625, 0.625-2.5, and  $2.5-10.0 \mu m$ ). Aerosols in each size bin were assumed to be internally mixed and their optical properties, including extinction coefficient, single-scattering albedo 30 31 (SSA) and asymmetry factor, were computed based on Mie theory (Fast et al., 2006) using volume averaged refractive indices (Barnard et al., 2010). Similar model configurations and 32 settings have achieved good performance in our previous simulations over the eastern China 33

(Huang et al., 2015; Ding et al., 2016). Detailed configurations and domain settings are listed
 in Table 1.

3 Both natural and anthropogenic emissions were included for the regional WRF-Chem 4 modelling in the present work. Typical anthropogenic emissions were obtained from the Multiresolution Emission Inventory for China (MEIC) database (Li et al., 2015), in which emissions 5 6 sources were classified into five main sectors: power plants, residential combustion, industrial 7 processes, on-road mobile sources, and agricultural activities. This database covers most of 8 anthropogenic pollutants, such as SO<sub>2</sub>, NOx, CO, volatile organic compounds (VOCs), PM, 9 BC, and OC. NH<sub>3</sub> emission over China was derived from Huang et al. (2012a). VOCs emitted 10 from typical anthropogenic activities and aforementioned crop residue burning were speciated 11 into model-ready lumped species using profiles for Carbon-Bond Mechanism (Hsu et al., 2006). The biogenic VOC and NO emissions were calculated online by using the Model of Emissions 12 13 of Gases and Aerosols from Nature (MEGAN) that embedded in WRF-Chem (Guenther et al., 14 2006). More than 20 biogenic species, including isoprene, monoterpenes (e.g.,  $\alpha$ -pinene and  $\beta$ pinene) and sesquiterpenes, were considered and then involved in the photochemistry 15 16 calculation. In China, crop residues are usually burned in piles, which is characterized by short-17 lived and small-scale smoldering. Consequently, the plume rise of biomass burning plumes was 18 not considered in this study, and the straw fire emission was placed in the lowest two levels 19 from the surface to around 50 meter in this simulation.

20 Previous studies have shown that, under highly polluted conditions, the ARI dominated over 21 the aerosol-cloud interaction (ACI) that is related to aerosols' ability to act as CCN (e.g., 22 Rosenfeld et al., 2008; Fan et al., 2015). We also conducted another numerical experiment 23 which included both ACI and ARI. The ACI-induced radiative perturbations were much less 24 notable than those caused by ARI both at the surface and in the atmosphere (Figure S2), implying the dominant role of ARI during this fire event. Since that the focus of this study is 25 26 on ARI and ACI's effect was not that significant, the prognosed aerosol was disabled to act as cloud condensation nuclei (CCN) or ice nuclei (IN) in the simulations and therefore the effects 27 from ACI were not accounted for in the following analysis. Accordingly, wet scavenging of 28 aerosol was disabled too. In order to disentangle aerosols' role in radiative transfer and 29 30 subsequent effects on cloud and precipitation during this biomass-burning event in the mid-31 June of 2012, we designed three parallel numerical experiments. Domain settings and model 32 configurations for these simulations were exactly the same as mentioned before. The control

experiment (CTL) did not include aerosol's effects on either longwave or shortwave radiation transfer. On the contrary, the other two took account of aerosols' perturbations on radiation transfer: ARI-A with anthropogenic emissions (anthropogenic activities refer to power generation, transportation, industrial and residential activities hereafter) and ARI-AB that included both anthropogenic activities and biomass burning emissions.

# 6 3 Results and discussions

# 7 **3.1** Fire-induced pollution and observed anomalies in meteorology

8 As demonstrated by existing studies (Andreae et al., 1988; Huang et al., 2012c; Ding et al., 9 2013a), air quality was dramatically deteriorated and the visibility was impeded during biomass burning events. We compare the simulated daily averaged PM<sub>10</sub> concentration with the 10 11 corresponding measurements derived from Air Pollution Index (API) in Figure 3 (If not 12 mentioned specially, the simulation refers to ARI-AB experiment hereafter). Both observations 13 and simulations manifested the fact that intensive agricultural fires led to the severe pollution in mid-June. Since 9 June when the detected fire spots became intense and extensive, PM<sub>10</sub> 14 15 concentrations in northern Anhui and northwest Jiangsu province began to increase, especially for those regions near the fire location. For instance, the observed daily mean PM10 16 17 concentrations reached up to around 250  $\mu$ g/m<sup>3</sup> at Fuyang (FY) and Xuzhou (XZ) and even exceeded 400 µg/m<sup>3</sup> at Bengbu (BB) on 9 June (the locations of cities mentioned in this article 18 19 are labelled in Figure 2). Although the simulated temporal variations agree with observations, 20 model-predicted PM<sub>10</sub> concentration at FY and BB were 196 and 168  $\mu$ g/m<sup>3</sup>, respectively. The 21 underestimation might be due to that rapid formation of secondary aerosol like sulfate and 22 secondary organic matters is not so well described in current atmospheric chemical transport models (Capes et al., 2008; Xie et al., 2015). XZ and BB suffered from the second-round fire 23 smoke two days later, with a maximum daily mean concentration of 548  $\mu$ g/m<sup>3</sup> observed at BB. 24 25 Figure 4 illustrates the satellite-retrieved 660-nm aerosol optical depth (AOD) and SSA from MODIS Aerosol Product MOD04 L2 (daily level 2 data produced at the spatial resolution of 26 27 10 km, collection 6) around 11:00 local time (LT) on 9 June when the first-round of extensive fire pollution broke out. Their comparisons with ARI-AB modelled spatial distributions of 28 PM<sub>2.5</sub> and BC column-integrated mass loadings further confirm model's ability to reproduce 29 30 atmospheric pollution for this event. The AOD observation shows that high aerosol loadings 31 were concentrating in northeast Anhui and the north-central Jiangsu, shaping a belt of pollution 32 from the fire sites to the downwind areas. The similar pattern was also simulated by the model.

The PM<sub>2.5</sub> mass loading was found to exceed 200 mg/m<sup>2</sup> near BB, NJ and most parts of central Jiangsu. This strap-shaped pollution was particularly obvious in terms of BC column concentrations, which was also consistent with a relatively lower SSA along BB, Yangzhou (YZ) and Taizhou (TZ). While solely including anthropogenic emissions, ARI-A experiment failed to represent the spatial pattern of high AOD in the northern Anhui and Jiangsu and the low SSA value near BB (Fig.4 a, d).

7 Along with the severe air pollution and poor visibility, anomalies in meteorology occurred on 8 9-10, June. Ding et al. (2013a) found that, during these two days, a sharp decline existed in the 9 observed air temperature in NJ and YZ, compared with weather forecast results and NCEP FNL data, but the simulations and observations showed a good agreement when the heavy air 10 pollution was not present before 8 June. At YZ the temperature difference was as high as 5.9 11 12 and 9.2 °C on 9 and 10 June, respectively. Simultaneously, measured solar radiation intensity and sensible heat flux showed very low values on 10 June in comparison with non-episode days. 13 14 Moreover, local meteorological agency forecasted a convective rainfall to occur in NJ and surrounding areas in the afternoon of 10 June, with the rainfall centre passing by NJ around 15 16 14:00 LT. However, this forecasted rainfall never happened that day.

On the basis of ground-based measurements, vertical sounding data, remote-sensing images and their comparisons with numerical simulations, we found that agricultural fires worsen regional air quality to a large extent and caused a series of anomalies in temperature and precipitation in the mid-June of 2012. How the biomass burning plumes influenced the air temperature and precipitation will be the main issue to be addressed in the following discussions.

# 22 **3.2** Perturbations in energy budget and temperature responses

23 To better understand aerosols' role in the energy re-allocation on 10 June when precipitation 24 was evidently modified, radiative forcing in the atmosphere and at the ground surface was estimated by differentiating the CTL, ARI-A and ARI-AB simulations (Figure 5). At the surface, 25 daily mean incident short-wave radiation was weakened by 45.5 W/m<sup>2</sup> (averaged over the inner 26 domain) as the extinction of aerosol was quite large with a satellite-observed 660-nm AOD 27 exceeding 2.0 (Figure 4b). Meanwhile, about 60.4 W/m<sup>2</sup> shortwave energy was blocked in the 28 29 atmosphere over the inner domain due to the fact that absorbing aerosols were accumulated on that day. A positive domain-averaged radiative forcing of  $+14.9 \text{ W/m}^2$  was simulated at the top 30 31 of the atmosphere (TOA) on 10 June. Comparatively, radiative perturbations caused by

1 agricultural fires (ARI-AB minus ARI-A) were more substantial than those due to 2 anthropogenic emissions (ARI-A minus CTL) in magnitude, particularly in the atmosphere, as presented in Figure 5. Spatially, radiative effects due to anthropogenic activities concentrated 3 4 in the economically developed Yangtze River Delta region while agricultural fires exerted 5 significant impact on radiation balance in northern and central Jiangsu and north part of Anhui. Table 2 compares the radiative perturbations caused by anthropogenic activities and biomass 6 7 burning emissions over three regions with distinct precipitation changes (marked in Figure 8). 8 As shown, both of them tended to heat the atmosphere and cool the ground surface. Fire plumes 9 dominated the radiative effect in terms of atmospheric warming. Radiation measurements collected at Heifei (HF) and sensible and latent heat flux recorded at Lishui (in South Naniing) 10 11 are compared with the diurnal variations of corresponding simulations in Figure 6, which 12 supports that significant radiative perturbations took place at NJ and HF. Substantially 13 weakened daytime solar irradiance was observed on 10 June, when the peak value of downwelling shortwave radiation was 618.3 W/m<sup>2</sup> at HF and was only 309.7 W/m<sup>2</sup> at NJ. 14 Taking aerosol's effect on radiation into account tended to predict lower downwards solar 15 16 radiation, which was closer to observation for both cities. Reduction in shortwave energy hitting 17 the surface in turn decreased outgoing heat fluxes, and therefore simulated sensible and latent heat fluxes at 12:00 LT on 10 June in ARI-AB experiment decreased by 89.3 and 76.1 W/m<sup>2</sup>, 18 19 respectively, compared with CTL experiment.

20 Overall, the magnitude of the radiative forcing on 10 June was comparable in northern Anhui and central Jiangsu, differing from the distribution pattern of fire-induced air pollution that 21 22 remarkably concentrated in northern Anhui. As revealed in our previous estimation, among all 23 components of the ambient aerosols, BC is the most important disturber of shortwave radiation 24 transfer at the surface and in the atmosphere as well (Huang et al., 2015; Ding et al., 2016). 25 Although fire emission mostly concentrated in the northern Anhui and resulted in a high BC 26 concentration of 20 µg/m<sup>3</sup> there, high-altitude BC was spread much more broadly. At an altitude of 2 km, BC concentration around 5 µg/m<sup>3</sup> stretched from northern Anhui to central Jiangsu 27 28 (Figure S3). Such distinct distributions between two layers were partly attributed to the stagnant 29 condition near the surface and stronger horizontal transport in the upper level. It is emphasized 30 that upper-level BC has higher absorbing efficiency (Ding et al., 2016). That is why the 31 distributions of both positive radiative forcing in the atmosphere and negative forcing at the 32 surface generally consisted with BC's spatial pattern in the upper air.

1 The perturbations in the energy budget and the following re-allocation gave rise to substantial 2 modulation in vertical stratification of air temperature. In comparison with CTL experiment, ARI-AB experiment predicted an obvious decline in near-surface temperature by considering 3 the effects of aerosol-radiation interaction. Hourly observed 2-m air temperature was compared 4 5 with corresponding simulations by two experiments during the time period from 8 to 15 June. Model-performance statistics including mean bias (MB), mean error (ME) and root mean 6 7 square error (RMSE) are presented in Table 3. As shown, CTL simulation had a systematic 8 positive bias in 2-m temperature and ARI predicted lower temperature for both areas near fire 9 locations (BB and XZ) and downwind regions (NJ and SY). The decreases in temperature were 10 pronounced in BB and XZ with a large difference of approximately 1.2 °C, which notably 11 narrowed the gaps with observations. On 10 June when the fire-induced pollution became 12 intensive, the magnitude of surface cooling was remarkably high near the fire sites. 13 Temperature response in Figure 5e support this cooling effect. For instance, compared to CTL, 14 simulated near-surface temperature by ARI-A and ARI-AB experiment at XZ was cooled by 15 almost 1.2 and 8.0 °C at 20:00 LT on 10 June (Figure 7b). In addition to the cooling tendency of near-surface temperature, aerosols' radiative effects also increased air temperature at a higher 16 17 altitude, which were more apparent over the downwind areas (Figure 5f). According to the 18 comparisons between simulated temperature profiles by the three parallel experiments in Figure 19 7, the warming of air temperature was particularly evident around an altitude of 2 km at SY with a maximum of 3.0 °C and biomass burning aerosol played a leading role. 20

21 The different temperature responses over the source region of fire emission and downwind areas 22 could be partially interpreted by the fact that near the fire locations, pronounced surface cooling 23 counteracted part of the atmospheric warming, which would otherwise elevate upper-air 24 temperature, through vertical mixing; while for the downwind area where the surface was less 25 radiatively cooled, the atmosphere was prone to being warmed. As a result of surface cooling 26 and atmospheric heating, vertical convective motions were weakened, triggering perturbations in pressure and wind fields (Figure 5e and f). It is obvious that suppressed convection was 27 generally along with the resultant wind convergence around 2 km and surface divergence, 28 29 which may further play a significant role in water vapor transport, entrainment and also cloud 30 formation.

## 1 **3.3** Effects on cloud and precipitation

2 In addition to the attenuation of solar radiation and the modulation in temperature gradients, precipitation also showed many disparities between CTL and ARI-AB simulations. The satellite 3 4 observation from Tropical Rainfall Measuring Mission (TRMM) Multisatellite Precipitation Analysis product (3B42), which provides merged-infrared precipitation information at a 5 6 0.25×0.25° spatial resolution and has been demonstrated to perform well in East China 7 (Simpson et al., 1988; Zhao and Yatagai, 2014), was used to evaluate the simulated precipitation. 8 As demonstrated in Figure 8, ARI-AB experiment agrees better with TRMM observations than 9 CTL experiment in terms of precipitation intensities and also spatial pattern on 10 June. Specifically, CTL and ARI-A simulation suggested a convective rain in Zone 1 (NJ and its 10 adjacent areas) around 14:00 LT (the locations of Zone 1-3 are marked in Figure 8), however 11 the ARI-AB simulation did not show any precipitation then, consistent with the TRMM 12 13 observations. Besides, ARI-AB displayed enhanced precipitation in northern Jiangsu province. 14 A precipitation with the intensity of 3 and 5 mm/h was predicted by ARI-AB experiment in 15 Zone 2 (XZ and its adjacent areas) and Zone 3 (SY and its adjacent areas), which, however, 16 never occurred in CTL and ARI-A experiment. Concerning temporal variations, 3-hour precipitation rates for these three zones derived from TRMM 3B42 retrievals are plotted in 17 Figure 9. Compared to CTL and ARI-A experiment, ARI-AB experiment which considered 18 19 radiative effects of aerosol from both anthropogenic and biomass burning emissions succeeded in capturing the approximate onset time for all the three regions. 20

# 21 3.3.1 Suppressed daytime precipitation

22 Over Zone 1, CTL and ARI-A simulation produced a convective rainfall event in the afternoon 23 that actually did not happen, while ARI-AB simulation with no precipitation was closer to the 24 observations. According to the energy budget and radiation flux calculation (Figure 5), on 10 25 June more than 6 MJ/m<sup>2</sup> solar radiation that supposed to reach the surface was blocked in the atmosphere over Zone 1, most of which was caused by biomass burning aerosol. The presence 26 27 of light-absorbing aerosols reduced sensible heat flux and evapotranspiration at the surface 28 (Figure 6). Large-eddy simulation for biomass burning regions of Brazil deduced that the peak reductions in sensible and latent heat flux were 60 and 70  $W/m^2$  (Feingold et al., 2005), which 29 30 are quantitatively similar to those near NJ estimated in this work. It was shown that reduced 31 surface flux alone was sufficient to explain the observed cloud dissipation during the biomass 32 burning event in Brazil. For this case, this convective rain got disappeared merely by nudging 2-m temperature in the WRF modelling run by Ding et al. (2013a), highlighting the importance
 of surface flux modification in the development of these convective clouds.

To figure out the role of vertical thermal behaviors in Zone 1, temporal variations of zone-3 4 averaged differences in temperature, relative humidity (RH) profiles between ARI-AB and CTL experiments are illustrated in Figure 10a and b. From 9:00 LT in the morning, a 1-km-thick belt 5 6 with BC-laden smoke approached Zone 1 and covered over the boundary laver top. The radiative extinction by the elevated smoke layer led to a cooling effect at the surface, which 7 8 reduced the boundary layer height and decreased the air temperature in the boundary layer. 9 Simultaneously, relatively strong warming effect between the altitudes of 1-3 km increased the air temperature above the boundary layer. The cooling at the lower altitude and warming at the 10 upper altitude made the stability significantly increased, especially near the top of the boundary 11 12 layer, which further suppressed the development of boundary layer. For the perturbations in humidity, the enhanced atmospheric stability reduced the boundary layer height and hindered 13 14 the upward transport of water vapor to a higher altitude, while the heating aloft decreased RH by increasing the air temperature there. These led to a resultant decrease of more than 20% in 15 16 RH above the boundary layer. A more stable and shallower boundary layer in ARI-AB experiment had a tendency to reduce convective mixing and effectively cut off the cloud layer 17 18 from its source of moisture, subsequently desiccating the cloud layer, and leading to 19 substantially weakened vertical motions. Accordingly, ARI-AB simulated updraft velocity above 1 km was only one-tenth that of CTL experiment in the afternoon of 10 June, as 20 demonstrated in Figure 10f. Even though anthropogenic aerosol also weakened convective 21 22 motions in ARI-A experiment, the potential temperature profile was hardly changed and the 23 weakening effect of convection was not comparable with that caused by biomass burning 24 aerosols. Therefore, compared with CTL and ARI-A experiment, much less moist static energy 25 (MSE) was carried upwards and the excess MSE accumulated in a shallower boundary layer due to much weaker convection in ARI-AB experiment (Figure 10e). 26

In addition to Zone 1, this warmed belt was also blanketing a wider range from 116 to 120 °E at the moment when the CTL-predicted rainfall started (Figure 9a shows that the rainfall occurred around 14:00 LT), as shown in the longitude-height cross sections of temperature difference between CTL and ARI-AB experiment in Figure 10c. In CTL run, cumulus cloud layer appeared above the inversion capping the boundary layer (Figure 10d). However, the absorbing aerosol in ARI-AB run heated the atmosphere aloft and stabilized the sub-cloud layer. The decrease in specific humidity was collocated with warmed upper air since that atmospheric heating and surface cooling weakened vertical convection and further reduced the vertical transport of water vapor. Lower entrainment rate together with higher saturation pressure resulted in daytime decoupling and thinning of the cloud layer all along the longitude from 116 to 120 °E. This effect might be further strengthened by a positive feedback loop as described by Jacobson (2002) in which cloud loss leads to an increasing opportunity for BC's light absorption.

8 3.3.2 Enhanced nocturnal precipitation

9 A precipitation rate of over 2.5 mm/h was observed around 19:00-20:00 LT on 10 June in XZ 10 and its surrounding areas (Zone 2). Only ARI-AB simulation captured this precipitation event. As shown in Figure 11a, there existed two layers with a high BC concentration of up to 10 11  $\mu g/m^3$  during daytime over Zone 2. One was near the surface and peaked around 18:00 LT, 12 which could be linked to local fire emissions. The other one was lying over the boundary layer 13 14 top, which was apparent at an altitude of 0.8 km before the boundary layer developed and at 2 km after 15:00 LT. It was very likely to be associated with the transport of upstream fire 15 16 pollution. Owning to strong radiative heating effect of BC, a warmer layer was formed above 17 1 km during daytime with temperature increase over 1.0 °C. On the contrary, near-surface 18 temperature kept decreasing. The decline reached its maximum around 20:00 LT. It was also supported by Figure 7b in which the near-surface temperature decreased by almost 8.0 °C at 19 XZ. Until 16:00 LT, the upper-air warming due to radiative absorption was gradually 20 21 compensated by cooling from the surface through vertical mixing. Changes in RH were almost 22 opposite of those in air temperature. Around 18:00 LT, RH at 3-km altitude started to increase 23 and then a precipitating cloud formed there.

To get a better insight on the dynamical processes that contribute to precipitation change, 24 25 longitude-height cross section of zonal mean responses of temperature, water vapor and wind 26 profile just before the onset time of precipitation are demonstrated in Figure 11c and d. 27 Noteworthy is that warmed upper air between 117 to 119 °E led to less condensation there. 28 More water vapor accumulated below 1 km and was then transported toward Zone 2 by the 29 prevailing east wind near the surface, leading to an excess water vapor over Zone 2 in ARI-AB 30 experiment (Figure 11e). Simultaneously, radiatively heated air parcel with a temperature 31 increase of 0.5 °C was found around 2 km over Zone 2. The warmer layer around 2-3 km 32 combined with large drops in temperature beneath resulted in a buoyancy-driven lifting force. Moreover, horizontal heterogeneity in atmospheric heating provided the low-level convergence for maintaining convection in a conditionally unstable atmosphere around 3 km. The zoneaveraged updraft velocity in ARI-AB experiment tripled that predicted by CTL and ARI-A at the altitude of 3 km when the precipitation began (Figure 11f). Understandably, what made the precipitating cloud formed around 3 km over Zone 2 were the accumulated MSE near the surface and anomalous updraft of the air that favored the vertical uplift of MSE. The release of latent heat may increase the upper-air instability and in turn enhance the precipitation.

8 For the downwind region Zone 3, the warming effect caused by aerosol-radiation interaction 9 was evident for the air column above 0.5 km all day long on 10 June (Figure 12a). The warming pattern was coincident with the distribution of BC concentration since BC is the predominant 10 light-absorbing aerosol specie in the atmosphere. As a result of increased air temperature, RH 11 decreased substantially during daytime. At late night, an extra precipitating cloud formed above 12 2 km over Zone 3 in ARI-AB simulation, leading to a nocturnal precipitation with a strength of 13 14 approximately 6 mm/h at 01:00 LT on 11 June. What triggered this rainfall event is a bit 15 complicated than that over Zone 2. First, the whole air column was getting cooled at the moment 16 when the precipitation took place, inevitably raising RH value. The RH increase was quite apparent at the altitude of 3-4 km. Second, daytime radiative absorption by BC-laden plumes 17 18 around 2 km heated the surrounding air. Relatively warmer layer at the altitude of  $\sim$  2 km 19 generated a positive buoyant updraft (Figure 12f), hence air parcel there was displaced upwards along with enhanced convergence carrying in moist air. This effect has been proposed by Fan 20 et al. (2015) as part of termed "enhanced conditional instability", by which absorbing aerosols 21 22 escalate convection downwind of a heavily polluted area and promote precipitation. 23 Comparatively, radiative heating of biomass burning aerosol was the main contributor to the significant enhancement of upper-level updraft. Last but not the least, spatially heterogeneous 24 25 aerosol-related heating was associated with greater horizontal temperature lapse, resulting in a convergence flow above 3 km with an additional onshore wind (Figure 12d). Zone 3 is only 26 27 about 20 km from the Yellow sea. It is plausible that more water vapor-saturated air masses 28 originating from the ocean brought in excess water vapor and consequently elevated the 29 humidity above 3 km. More MSE accumulated above 3 km in ARI-AB experiment compared with those simulated by CTL and ARI-A experiment before the precipitation also support this 30 31 view (Figure 12e). We suggest that these precipitating clouds formed because of instability at the top of the smoke layer, driven by the strong radiation absorption that warmed the 32

surrounding air. Therefore, the heated BC-laden air was ascended and cooled, leading to the
 formation of clouds preferentially in the conditionally unstable zone in the upper air.

## 3 3.4 Uncertainties

4 Though the modelling work here characterized cloud and precipitation anomalies during the 5 biomass burning event, we may also question to what extent the modelling reproduced the 6 relevant processes in the real world. As widely acknowledged, accurate simulation of smoke plume and prediction of clouds are both challenging for regional/global models. One 7 8 contributor to the uncertainties is the characterization of fire emission. The magnitude was 9 determined by statistical information and laboratory experiment data, whose accuracy and 10 representativeness may introduce some uncertainties. The spatiotemporal distribution of fire emission was allocated based on MODIS retrievals. Loss of information due to cloud coverage 11 12 and poor detection efficiency of short-lived or small-scale fires are major limitations (Giglio et al., 2003). Another challenge is quantification of heat release from biomass burning and 13 14 subsequent effects on local and regional meteorology. Furthermore, much emphasis has been 15 paid to the vertical distribution of absorbing aerosol, to which the cloud response is highly 16 sensitive (Koch and Del Genio, 2010). The vertical profile of absorbing aerosol in this simulation underwent little constrain due to limited observation at that time. The regional model 17 18 is hardly capable of precisely presenting turbulent flows and vertical transport, thus introducing 19 uncertainties in three dimensional distributions of BC. It also should be noted that BC is co-20 emitted with other components such as OC and sulfur dioxide that oxidizes to sulfate (Xie et 21 al., 2015). Mixing with other scattering aerosol would considerably amplify the absorbing 22 efficiency of BC. Model's ability to account for the evolution of mixing state and how to 23 quantify its amplification also affect the simulated radiative behaviors. Besides, poorly recognized secondary organic carbon (SOC) formation processes and its light absorption makes 24 25 it imperative to reassess and redefine the chemical mechanism and optical properties of OC in 26 models (Saleh et al., 2014). The large uncertainty in simulating clouds and further aerosol-cloud 27 interaction is another limitation (e.g., Wang et al., 2011; Tao et al., 2012). To improve the model performance in all these chemical and physical processes, more comprehensive measurements 28 and modelling efforts are needed in the future. 29

30

# 1 4 Conclusions

2 To investigate radiative effects of aerosol-radiation interaction on cloud and precipitation modifications during the exceptionally active agricultural fire season in June 2012, a bottom-3 4 up emission inventory of crop open burning was developed and then the fully coupled online WRF-Chem model was applied in this work. The evaluation of simulation through ground-5 6 based observations and satellite retrievals showed that the model generally captured spatial 7 patterns and temporal variations of fire pollution, which was predominantly concentrating over 8 northern Anhui and central-north Jiangsu. It is evident that post-harvest burning of crop residues 9 emitted a tremendous amount of atmospheric pollutants and deteriorated regional air quality to a large extent in East China. Elevated concentration of aerosols, particularly light-absorbing 10 BC, would heat the atmosphere and cool the ground surface through both direct solar radiation 11 12 attenuation (direct radiative forcing) and cloud redistribution (semi-direct radiative forcing). This radiative cooling (heating) effects were distinct close to (downwind from) the source 13 14 regions of fire sites. Adjusted temperature stratification was intimately linked to small-scale processes such as turbulent mixing, entrainment and the evolution of the boundary layer. 15 16 Subsequently, over Nanjing and its adjacent regions, absorbing aerosols immediately above the boundary layer top increased the inversion beneath, reducing available moisture and leading to 17 18 a burn-off effect of cloud. Meanwhile, fire plumes played an enhancement role in nocturnal 19 precipitation over northern Jiangsu by increasing up-level convective activity and fostering low-level convergence that carries in more moist air. Overall, aerosols' radiative effect on 20 precipitation modification is therefore likely to depend to a large extent on local meteorological 21 22 conditions like atmospheric instability and humidity.

23

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29

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Domain setting							
	Domain 1	Domain 2					
Horizontal grid	130×130	160×160					
Grid spacing	20 km	4 km					
Vertical layers	31	31					
Configuration options							
Long-wave radiation	RRTMG						
Short-wave radiation	RRTMG						
Land-surface	Noah						
Boundary layer	YSU						
Microphysics	Lin et al.						
Cumulus parameterization	Grell–Deveny (only for domain 1)						
Photolysis	Fast-J						
Gas-phase chemistry	CBMZ						
Aerosol scheme	MOSAIC						

1 Table 1. WRF-Chem modelling configuration options and settings.

1 Table 2. Radiative perturbations at the surface (SUR) and in the atmosphere (ATM) caused by

	SUR		ATM	
	Anthropogenic activities	Agricultural fires	Anthropogenic activities	Agricultural fires
Zone 1	-27.3	-35.1	36.3	41.1
Zone 2	-33.3	-41.7	31.9	45.6
Zone 3	-23.8	-14.8	27.7	21.1

2 anthropogenic activities and agricultural fires for three zones with distinct precipitation changes.

6

7 Table 3. Statistical analyses of the simulated 2-m temperature and the corresponding
8 observations at four different cities.

	Ν	MB <sup>a</sup>		ME <sup>a</sup>		<b>RMSE</b> <sup>a</sup>	
	CTL	ARI	CTL	ARI	CTL	ARI	
NJ	0.85	0.37	1.70	1.66	2.39	2.15	
BB	2.19	0.98	2.51	1.65	3.27	2.16	
XZ	1.67	0.51	2.37	2.19	3.32	2.89	
SY	-0.28	-0.46	1.97	1.65	2.52	2.03	

<sup>9</sup> <sup>a</sup>MB, ME and RMSE refer to mean bias, mean error and root-mean-square error respectively.

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