



1 **Gridded uncertainty in fossil fuel carbon dioxide emission maps, a CDIAC example**

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10

11 **ABSTRACT**

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13 Due* to a current lack of physical measurements at appropriate spatial and temporal scales, all

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23 current global maps/distributions of fossil fuel carbon dioxide (FFCO₂) emissions use one or
24 more proxies to distribute those emissions. These proxies and distribution schemes introduce
25 additional uncertainty into these maps. This manuscript examines the uncertainty associated
26 with the magnitude of gridded FFCO₂ emissions. This uncertainty is gridded at the same spatial
27 and temporal scales as the mass magnitude maps. This gridded uncertainty includes uncertainty
28 contributions from the spatial, temporal, proxy, and magnitude components used to create the
29 magnitude map of FFCO₂ emissions. Throughout this process, when assumptions had to be
30 made or expert judgment employed, the general tendency in most cases was toward
31 overestimating or increasing the magnitude of uncertainty. This manuscript also describes a
32 methodological change specific to the creation of the Carbon Dioxide Information Analysis
33 Center (CDIAC) FFCO₂ emission maps: the change from a temporally fixed population proxy to
34 a temporally varying population proxy.

35

36 **Keywords**

37

38 Fossil fuel carbon dioxide emissions, uncertainty, climate change

39

40 **1 Introduction**

41

42 Prior to about the year 1980, the magnitude of fossil fuel carbon dioxide (FFCO₂) emissions was
43 the best known component in the global carbon cycle. Improving on the best estimate of the
44 magnitude of FFCO₂ emissions was sufficient then. Since then, improvements in



45 methodologies, instrumentation, and measurement platforms have improved estimates of the
46 major components of the global carbon cycle (e.g., FFCO₂, land use, atmospheric growth,
47 oceanic uptake, and the terrestrial biosphere). This improvement has now reached the point
48 where uncertainty in FFCO₂ emissions is now an important quantity to characterize and
49 understand. Andres et al. (2014) provided a comprehensive estimate of the uncertainty
50 associated with the global FFCO₂ flux.

51

52 Even with the improvements mentioned above, it is not presently possible to directly measure
53 any one component of the global carbon cycle completely and exclusively at significant spatial
54 and temporal scales. Due to process interplay and mixing, direct samples carry the history of
55 global carbon cycle processes within them and oftentimes models are used to deconvolve the
56 effects of these processes on the sample data. This process can lead to a better understanding of
57 the global carbon cycle. One approach to increase knowledge of the global carbon cycle is to
58 sample at finer spatial and temporal scales to better isolate specific components of the global
59 carbon cycle.

60

61 This manuscript examines the FFCO₂ component of the global carbon cycle after it is parsed
62 into a grid. Such gridded FFCO₂ data are often incorporated into global carbon cycle and global
63 climate (and/or Earth system) models to better understand the interplay amongst various
64 components. Paralleling early efforts in global carbon cycle science where the majority of the
65 effort was concentrated on better estimating the component fluxes, present efforts in gridding
66 FFCO₂ emissions are also concentrated on better estimating the flux in each grid cell. These



67 gridding efforts are not trivial in terms of time and data required. Robust estimates of the
68 uncertainty associated with gridded FFCO₂ estimates should have at least two major effects: 1)
69 better evaluation of different FFCO₂ gridding methodologies to assess whether they give
70 statistically different distributions, and 2) more importantly, allow for further advance in the
71 collective community understanding of global carbon cycle processes, their interplay, and a
72 characterization of change over space and time.

73

74 The transfer of carbon from one reservoir to another over a given time interval can be called a
75 carbon flux. In this manuscript, the carbon flux from geological sequestration in the fossil fuel
76 reservoir to the atmospheric reservoir through the processes of combustion will be examined.
77 More specifically, this manuscript will pursue a systematic uncertainty analysis which applies to
78 the carbon flux gridded mass data products (i.e., maps) presented by Andres et al. (1996), but
79 also could be applied to other maps such as those produced by Olivier et al. (2005, EDGAR),
80 Gurney et al. (2009, VULCAN), Rayner et al. (2010, FFDAS), Oda and Maksyutov (2011,
81 ODIAC), and Wang et al. (2013, PKU-CO₂). This manuscript does not describe production of
82 uncertainty maps for other distribution methodologies, as the creators of those methodologies are
83 in the best informed position to create such maps. Also, this manuscript does not compare the
84 gridded FFCO₂ mass maps of Andres et al. (1996) to these other maps.

85

86 All of these map products attempt to capture the transfer of carbon from the fossil hydrocarbon
87 reservoir to the atmospheric reservoir at varying degrees of spatial and temporal resolution.

88 Each of these map products incorporates different features (i.e., data and schemes) to map



89 FFCO₂ emissions in space and time. Since very few measurements exist to accurately plot
90 FFCO₂ emissions in space and time, all of these map products utilize various proxies to locate
91 FFCO₂ emissions on a two-dimensional surface (i.e., a map) for a given time interval (e.g., a
92 year). These proxies may include population distributions, power plant locations, road and rail
93 networks, traffic counts, nighttime lights, etc..

94

95 This uncertainty analysis does not apply to stock maps such as those produced using satellite
96 observations (e.g., GOSAT (<http://www.gosat.nies.go.jp>) or OCO-2 (<http://oco.jpl.nasa.gov/>)).
97 Satellites measure burdens (which can lead to the concentration of carbon) in the atmosphere
98 which are fundamentally a stock measurement or an estimate of the size of a reservoir (i.e., mass
99 of carbon in the reservoir). Of course, taking the difference between two stock maps could lead
100 to an estimate of the carbon flux. While portions of the uncertainty analysis presented herein
101 could be applied to stock maps, this manuscript will not focus on stock map uncertainty analysis.

102

103 The Carbon Dioxide Information Analysis Center (CDIAC), Oak Ridge National Laboratory
104 (ORNL), United States (U.S.), FFCO₂ time series (Boden et al., 2015) gives an estimate of
105 FFCO₂ emissions from all nations in the world at annual time steps using the fundamental
106 methods of Marland and Rotty (1984). The FFCO₂ time series is updated periodically with each
107 update adding another year to the time series as well as revising data in previous years. Over the
108 years, new dimensions to this basic time series have been produced, including mapping the
109 emissions at one degree latitude by one degree longitude (Andres et al., 1996), extending the
110 time series back to the year 1751 (Andres et al., 1999), describing the time series in terms of



111 stable carbon isotopic ($\delta^{13}\text{C}$) signature (Andres et al., 2000), parsing the time series from annual
112 to monthly time steps (Andres et al., 2011), and describing the uncertainty of the global total
113 FFCO₂ emissions (Andres et al., 2014). With the global FFCO₂ emission uncertainty analysis
114 completed, a gridded uncertainty analysis can be applied to the annual and monthly maps. This
115 uncertainty analysis will be applied to the mass maps only. Application to the stable carbon
116 maps (i.e., annual and monthly) will need to wait until a separate uncertainty analysis of the $\delta^{13}\text{C}$
117 signatures is completed.

118

119 The gridded uncertainty maps will be generated for the years 1950 to the present (i.e., 2011)
120 which is the temporal range of the current global uncertainty analysis (Andres et al., 2014)
121 which, in turn, is temporally limited by the availability of energy data from the United Nations
122 upon which FFCO₂ emission calculations are based (Andres et al., 2012). As new data become
123 available from the United Nations, the global uncertainty analysis can be updated and extended,
124 and the gridded uncertainty maps can also be updated and extended. The initial year of the
125 gridded uncertainty maps is limited by the beginning of the global uncertainty analysis which
126 begins in 1950.

127

128 As was done with the global uncertainty estimates (Andres et al., 2014), 2σ uncertainties will be
129 used throughout this manuscript. The $\pm 2\sigma$ interval is equal to the 95% confidence interval
130 around the central estimate. This interval was chosen to more strongly convey the message of
131 the probable range of FFCO₂ emissions. Additionally, final FFCO₂ map uncertainties are
132 generally reported to two significant digits, the limit of their precision and accuracy. Additional



133 digits may be reported and used for component uncertainties, but these have been rounded for
134 final FFCO₂ map uncertainty presentation. Andres et al. (2014) contains additional information
135 about potential asymmetry of uncertainty about the central estimate at various spatial and
136 temporal scales. As with the Andres et al. (2014) global assessment, uncertainty in this
137 manuscript will be assumed to be symmetric about the central estimate as detailed information
138 pertinent to the spatial and temporal scales considered herein is lacking. However, note that in
139 the case of large uncertainties, it is not plausible to have negative FFCO₂ emissions which can
140 be mathematically calculated from the mean minus a relatively large standard deviation.

141

142 The original intent of this manuscript was to document the uncertainty in the existing and past
143 CDIAC FFCO₂ mass maps. However, in completing the calculations necessary for this
144 manuscript, it became obvious that the population proxy on which the CDIAC maps rely could
145 be easily and greatly improved. So, this manuscript also includes a description of the new
146 population proxies that the CDIAC maps now utilize.

147

148 Figure 1 is a graphical representation which further clarifies exactly what this manuscript
149 attempts to accomplish. In Fig. 1, the FFCO₂ emissions from a hypothetical country are
150 mapped. The exact same total mass of emissions is plotted in the four examples (in this
151 manuscript, the uncertainty on the country total is not being examined), only the distribution
152 methodology has changed. These different methodologies might represent different spatial
153 proxies (e.g., the CDIAC population proxy), a bottom-up inventory approach (e.g., the
154 VULCAN approach), or a hybrid approach (e.g., points sources and spatial proxies, e.g.,



155 ODIAC). Deciding which mapped distribution is best is made difficult by the lack of physical
156 samples of FFCO₂ at the spatial and temporal scales of interest. While two such maps can be
157 superimposed and subjected to spatial analyses such as differencing, one gains little insight into
158 an overall superior mapping methodology. This manuscript aims to supplement the CDIAC
159 maps with similar spatial and temporal scale maps that represent the uncertainty in each map
160 grid cell location. This should facilitate determining if different emission maps are statistically
161 different. More importantly, this should aid those who use these FFCO₂ mass maps to better
162 understand, model, and display the data by explicitly showing the uncertainty inherent in the
163 maps.

164

165 **2 A brief review of the CDIAC mapping process**

166

167 The procedure for creating the CDIAC maps of FFCO₂ emissions has remained remarkably
168 stable since first published by Andres et al. (1996). The most notable changes since that
169 publication have been the updating and revision of data underlying the CDIAC FFCO₂
170 emissions time series and the modification of the baseline geography map to account for the
171 creation of new political units from old (e.g. the unification of Germany in 1990 or the breakup
172 of the Soviet Union in 1991). Figure 2 shows the basic FFCO₂ mass emissions map creation
173 process. The tabular FFCO₂ emission data, by nation, are mapped to regions of the world using
174 a one degree latitude by one degree longitude (1x1) map of geography (attributing grid cells to a
175 single country). The within country population distribution, also at 1x1 scale, is used as a proxy
176 to proportionately distribute the national FFCO₂ emissions across the grid cells comprising each



177 country. In the initial maps, FFCO₂ emission data and geography data were updated on an
178 annual basis while population remained fixed with time. Later, a monthly series of maps was
179 produced where FFCO₂ emissions data reflected monthly totals as reported in Andres et
180 al.(2011), geography was updated on an annual basis (i.e., new political units were only
181 incorporated at annual time scales in agreement with the tabular FFCO₂ data), and population
182 still remained fixed over time. As noted in Andres et al. (1996), the advantage of using a fixed
183 population throughout the time series of maps is that changes in magnitude shown in subsequent
184 maps for a particular grid cell are due solely to magnitude changes in national FFCO₂ emissions.
185 The change in population proxies introduced in this manuscript is a departure from this former
186 practice as now changes in magnitude shown in subsequent maps for a particular grid cell are
187 due to a convolution of national FFCO₂ emission changes and population density changes.

188

189 **3 The new population proxy**

190

191 Prior to this publication, CDIAC used a temporally fixed population proxy to distribute FFCO₂
192 emissions within each country for all years (Andres et al., 1996). While working through the
193 issues associated with this manuscript, it became clear that methodological improvements to the
194 mapping process would improve the quality of both the magnitude maps and the uncertainty
195 maps. The fixed population map originally reported in Andres et al. (1996) is still utilized for
196 years 1751-1989 as no better alternative has been identified for these years. Annually varying
197 Global Population of the World (GPWv3, CIESIN and CIAT, 2005) maps are now used for years
198 1990-1997. Annually varying Landscan (Dobson et al., 2000) maps are now used for years



199 1998-2011 and are intended to be used for future years. The two new population data sets are
200 not identical, GPWv3 estimates nighttime population while Landsat estimates daytime
201 population.
202
203 GPWv3 has three base years: 1990, 1995, and 2000. The original 2.5 minute data
204 (approximately 5 km at equator) were aggregated to the one degree spatial resolution of the
205 CDIAC 1x1 maps. Data for 1991-1994 and 1996-1999 were interpolated from the base years.
206 Table 1 compares the annually varying GPWv3 population maps to the CDIAC 1x1 geography
207 and fixed population maps. Five percent of the populated cells on the GPWv3 map fall into cells
208 labeled as water on the CDIAC map; these 5% of cells contain less than 5% of the GPWv3
209 global population and are excluded from further analysis. Thirteen percent of the populated cells
210 on the GPWv3 map fall into unpopulated cells on the CDIAC map; these 13% of cells contain
211 less than 6% of the GPWv3 global population.
212
213 Landsat has maps for years 1998 to 2012, except for 1999. As with the GPWv3 data, the
214 original 30 second degree (approximately 1 km at equator) data were aggregated to the one
215 degree spatial resolution of the CDIAC 1x1 maps. Data for 1999 were interpolated from 1998
216 and 2000. Landsat has a similar comparison to the CDIAC population map (within 4% in all
217 categories) as the GPWv3 data (Table 1).
218
219 The main effect of the new annually varying population maps used for years 1990 to present is
220 the appearance of FFCO₂ emissions in grid cells that previously showed zero population and



221 thus zero emissions. This spread in FFCO₂ emissions for a given country is accompanied by a
222 lowering of the average FFCO₂ emission per grid cell (i.e., the same FFCO₂ emission
223 distributed amongst more grid cells). The new population maps also lead to some speckling in
224 some map areas that previously appeared more homogeneous in FFCO₂ emission magnitude.
225 Finally, the new population maps increase the range of FFCO₂ emissions displayed at both the
226 lower and higher end of emissions. Overall, the maps line up well with each other in geographic
227 extent as the exact same underlying 1x1 geography map is used, regardless of the population
228 map used.

229

230 **4 Uncertainty calculations**

231

232 All three of the basic input data (i.e., tabular FFCO₂ data, geography map, and population map)
233 contribute uncertainty to the final gridded FFCO₂ mass emissions 1x1 map. Each of these inputs
234 will be examined in turn, both in terms of the specific uncertainty they contribute as a data input,
235 as well as the general uncertainty they contribute in their functional role of creating a final
236 gridded FFCO₂ mass map.

237

238 **4.1 FFCO₂ tabular data**

239

240 The underlying FFCO₂ tabular data contribute uncertainty to the final gridded FFCO₂ mass
241 map. In the case of the CDIAC FFCO₂ mass maps, these data are the tabular FFCO₂ estimates
242 CDIAC reports for each country of the world, but the discussion here can be applied to all



243 national FFCO₂ emissions estimates.

244

245 The basic methodology to create the tabular CDIAC FFCO₂ data is given in Marland and Rotty
246 (1984). Andres et al. (2012) expand upon this methodology and compare it to three other global
247 FFCO₂ tabular data sets. Andres et al. (2014) describe a systematic uncertainty assessment of
248 the CDIAC FFCO₂ tabular data. No such similar uncertainty assessment has been published for
249 the three other global FFCO₂ tabular data sets. The uncertainty in the tabular FFCO₂ data is
250 important as it provides the quantity that is eventually mapped. If the tabular FFCO₂ data are
251 uncertain, then the FFCO₂ emissions distribution is uncertain.

252

253 Figure 3 displays the uncertainty assigned to different countries as described in Andres et al.
254 (2014). The assignment was based upon grouping countries into seven different qualitative
255 classes (Andres et al., 1996) based on similar energy and statistical infrastructures which were
256 later quantified in Andres et al. (2014). The quantification consisted of determining
257 uncertainties for two of the classes and then doing a linear fit through the rest of the classes.
258 Andres et al. (2014) describe the strengths and weaknesses of this approach. As in Andres et al.
259 (2014), the national FFCO₂ uncertainty estimates used in this analysis remain fixed with time.
260 Future versions of this work could utilize changing national FFCO₂ uncertainty estimates, but
261 the existence of supporting data to rigorously support changing uncertainty estimates is lacking
262 at this time.

263

264 Andres et al. (2011) parse the annual FFCO₂ data into monthly FFCO₂ data. The uncertainty



265 associated with this parsing is also described in Andres et al (2011). The method for calculating
266 the monthly tabular uncertainty is independent of the annual uncertainty magnitude. Thus, the
267 magnitude of the monthly tabular FFCO₂ uncertainty is equal to the square root of the sum of the
268 squares of the annual and monthly uncertainties. The annual uncertainty is variable and belongs
269 to one of seven classes as seen in the above paragraph. The monthly uncertainty is constant and
270 at 2σ equals 12.8% (Andres et al., 2011).

271

272 Both the tabular FFCO₂ data and the national uncertainties used in this analysis are for apparent
273 consumption data. Apparent consumption allows for the estimate of national FFCO₂ emissions
274 through the accounting of production, imports, exports, etc. and thus allows associating these
275 FFCO₂ emissions to geography. Andres et al. (2012) discuss the strengths and weaknesses of
276 apparent consumption versus production data. Production data are unsuitable for use in this
277 analysis as their spatial domain is global (in terms of fuel consumption) and the focus here is on
278 the uncertainty of 1x1 mapped FFCO₂ emissions.

279

280 Figure 3 shows an example of the national FFCO₂ uncertainty assessment results. There are 62
281 uncertainty assessments completed for the 1950-2011 time series, each map reflecting the mix of
282 countries that existed in a particular year. The next section discusses the role geography plays in
283 more detail.

284

285 **4.2 Geography map**

286



287 The underlying geography map contributes uncertainty to the final gridded FFCO₂ mass map. In
288 the case of the CDIAC FFCO₂ mass maps, this geography map is a 1x1 raster map, but the
289 discussion here can be applied to all FFCO₂ distribution mechanisms.

290

291 The CDIAC geography map is a 1x1 raster of world geography. Raster implies that the world is
292 depicted in a regular grid pattern with the underlying geography represented by a single value in
293 the grid (Fig. 4). This distinguishes it from other possible spatial representations such as mixed
294 raster where the grid cell may contain more than one geography value and vector where
295 polygons instead of grids are used to represent an area. A raster map was chosen for the CDIAC
296 FFCO₂ mass maps because of its relative simplicity, full global coverage, and ease by which its
297 results can be implemented into models (e.g., carbon cycle models). A drawback of the raster
298 map is its distortion of the surface area of the Earth (Table 2) which appear as square grid cells
299 in the traditional CDIAC representation of its FFCO₂ gridded data.

300

301 While Fig. 4 is simple in concept, it is illustrative of uncertainty inherent in raster maps of
302 geography. Many of these sources of uncertainty arise because of map scale. For example, the
303 Northwest Angle is territory of the contiguous U.S. that lies entirely north of 49 degrees latitude,
304 the northern border observed for the western portion of the contiguous U.S. This part of the state
305 of Minnesota is more than 1500 km² in area; has a population greater than 100; and has roads, an
306 airport, a school, businesses, and customs and immigration control. However, on the CDIAC
307 1x1 geography map, this area appears as Canada because of its small area relative to the more
308 dominant area of Canada in its grid cell. Another uncertainty example involves surveying errors.



309 While Colorado in the U.S. was originally defined along lines of latitude and longitude, survey
310 errors resulted in several kinks along its borders which have been codified into law
311 (<http://mathtourist.blogspot.com/2007/08/rectangular-states-and-kinky-borders.html>). On the
312 Colorado-New Mexico border, this kink is approximately 2 km - too small to be seen in
313 CDIAC's 1x1 geography map, but of concern to finer scale maps.

314

315 While the above two examples are largely a function of map scale, political issues also affect
316 map geography. For example, China and India disagree on the location of their border at
317 multiple locations. Thus on maps produced by each respective nation, the border shifts by more
318 than one degree in latitude and/or longitude in some locations. This affects entire villages/towns
319 and thus the FFCO₂ infrastructure. Such geographic uncertainty is not limited to this example,
320 and there are similar disputes over time on every continent. Dependent on location, these
321 disputes have varying impact on the FFCO₂ emissions distributions.

322

323 A final geography uncertainty arises from spatial rescaling as shown in Fig. 5. Here, a finer
324 spatial scale map is rescaled to a coarser grid. A common outcome of this procedure is to name
325 the left coarser grid cell ocean, name the right coarser grid cell land, and move the carbon that
326 was in that left grid cell to the right grid cell. This movement accommodates not having FFCO₂
327 being emitted from an ocean grid cell and maintaining full FFCO₂ accounting.

328

329 Geography contributes uncertainty to the final FFCO₂ mass map. Since the identity of an
330 interior grid cell of a large homogeneous political unit is unambiguous (e.g., the geographic



331 center of a country greater than or equal to 3 by 3 grid cells in size), the uncertainty is
332 concentrated around the borders and may be due to map scale issues, political issues, or
333 rescaling, as the above examples illustrated. As the exact map scale changes, the nature of the
334 uncertainty may change, but it does not disappear. The uncertainty in the geography map is
335 important because the map is used to locate the tabular FFCO₂ data. If the geography map is
336 uncertain, then the FFCO₂ emissions distribution is uncertain.

337

338 To assess uncertainty due to the geography map the algorithm shown in Fig. 6 was used. The
339 central grid cell A is assessed for uncertainty based upon the values of the surrounding eight grid
340 cells. The simplest case is if all surrounding eight cells are of the same value as the central cell.
341 In this case, geography lends 0% uncertainty to the identity of the central cell. This is the most
342 common case (63.6%) in the CDIAC geography 1x1 maps.

343

344 This simple approach does exclude enclaves, territories which are completely surrounded by
345 other territories, which could be problematic in some locations. For example, the Spanish town
346 of Llívia, for political and historical reasons, is completely surrounded by French territory. On
347 the CDIAC 1x1 map, this specific example is ignored due to map scale, but on a 1 km scale map
348 it should not be ignored. For the CDIAC geography 1x1 map, enclaves (including small island
349 nations) and other small area political units were not ignored if their occurrence only appeared in
350 one grid cell on the entire global map. Then, the spatial dominance of the grid cell was ignored
351 so that the small area political unit would be represented and its associated tabular FFCO₂ not
352 lost from the final mapped product.



353 On the other end of the spectrum, if no surrounding cells equal the value of the central cell (e.g.,
354 a small island nation), then the uncertainty on the central cell is 100%. An example of this
355 situation can be seen in Fig. 4 where there is ambiguity in all of the eight surrounding cells as to
356 whether the central cell value encroaches on the territory of the surrounding cells. A worst case
357 scenario for the CDIAC 1x1 FFCO₂ mass maps, leading to a 100% uncertainty contribution by
358 the geography map, is shown in Fig. 4 if the island is completely uninhabited except for a capital
359 city existing in one of the surrounding cells. In this case the island population would have been
360 moved to the central cell, the only cell containing area for this country. Thus, the result would
361 be FFCO₂ emissions located in a cell one grid cell removed from its true location. This is the
362 least common case (0.4%) in the CDIAC geography 1x1 maps.

363

364 Intermediate between these two end member cases discussed are all other border configurations.
365 The accompanying table in Fig. 6 gives cell matches and resulting uncertainties. After
366 assessment of one cell, the 3x3 window moves to assess the next cell until all cells are assessed.
367 Special attention is paid to top and bottom row cells as well as to those on the east and west
368 margins on the global map. For top and bottom row cells, since there is no reported FFCO₂
369 occupying these cells the uncertainty assessment is trivial. For east and west margins, the cells
370 were treated as if the map was continuous across these margins. The final column in the table in
371 Fig. 6 gives the percent of land cells which have the associated uncertainty for the entire 1950-
372 2011 time series. Distributions for individual years do not change markedly from the
373 distribution shown.

374



375 Figure 7 shows an example of the geography map uncertainty assessment results. There are 62
376 uncertainty assessments completed for the 1950-2011 time series, each map reflecting the mix of
377 countries that existed in a particular year. The difference plot is shown in Fig. 7 to highlight
378 some of the changes over time, most notably in Africa, Europe, and Asia. There are no
379 differences between geography map uncertainty for annual and monthly FFCO₂ time series.

380

381 Geography map uncertainty can expand internally within nations as individual states/provinces
382 have local FFCO₂ emissions mapped. This has not been implemented to date in CDIAC 1x1
383 maps, but other mapped FFCO₂ emissions distributions may need to incorporate such effects.
384 The next section discusses the role the population proxy plays in more detail.

385

386 **4.3 Population map**

387

388 The underlying distribution proxy contributes uncertainty to the final gridded FFCO₂ mass map.
389 In the case of the CDIAC FFCO₂ mass maps, this proxy is a population distribution map, but the
390 discussion here can be applied to all distribution mechanisms.

391

392 CDIAC distributes FFCO₂ emissions within a country in direct proportion to the population
393 distribution. In effect, the CDIAC methodology assumed each country had fixed per capita
394 FFCO₂ emissions across all its territory. While not the best assumption, it was considered the
395 best available option at the time the CDIAC 1x1 maps were first created in 1993. Today,
396 producers of other FFCO₂ emissions distributions have taken advantage of newer data sets,



397 including updated population distributions, power plant locations, road and rail networks, traffic
398 counts, etc. to act as proxies for FFCO₂ emissions distribution.

399

400 The uncertainty in the CDIAC population map is important because the map is used to perform
401 the within country FFCO₂ emissions distribution. If the population map is uncertain, then the
402 FFCO₂ emissions distribution is uncertain. Two issues are of concern here. First, how
403 accurately does the population proxy mirror FFCO₂ emissions? Second, since CDIAC uses a
404 fixed population proxy for some years, how has the within country population distribution
405 changed with time? Both of these issues will be examined in turn.

406

407 To address the first concern, the robustness of the population-FFCO₂ emissions relationship, the
408 FFCO₂ emissions per grid population needs to be examined. The CDIAC 1x1 maps data can not
409 be used for this assessment because, by definition, a linear regression between population and
410 FFCO₂ emission results in an r^2 value of one, perfect correlation for data from one country.

411 While this same regression could be applied to the global CDIAC data, resulting in an r^2 value of
412 0.55, that test is not truly applicable because it does not accurately reflect the CDIAC
413 distribution algorithm.

414

415 Since the CDIAC data are unsuitable to test the population proxy uncertainty, and since there are
416 insufficient actual measurements of FFCO₂ emission rates at the appropriate spatial and
417 temporal scales, independent population and FFCO₂ emission distributions will be used to assess
418 the population proxy uncertainty. The population distribution used is the 30 minute (spatial



419 scale) Landscan data product; it was produced without consideration to FFCO₂ emissions. The
420 FFCO₂ distribution used is the one tenth degree Vulcan data product (Gurney et al., 2009); it
421 was produced with minimal use of population (via census data and not Landscan data, although
422 Landscan has roots to census data). The Vulcan data product is the most expansive (in terms of
423 spatial coverage) that relies least heavily on population for its FFCO₂ emission distribution.
424 Figure 8 shows the results of this assessment.

425

426 The upper panel of Fig. 8 shows the relationship between the independent data sets of Landscan
427 population and Vulcan FFCO₂ emissions for the continental United States for the year 2002, the
428 baseline map of the Vulcan emissions. The data axes have been transformed into natural log
429 scales to allow for easy extraction of basic statistical parameters (i.e., the linear fit and 95%
430 confidence interval). The middle panel shows these same data and statistical parameters on
431 linear axes scales. The spread of data around the linear fit shows the non-linearity, and thus the
432 non-uniform per capita relationship, of the data in this data sample. The initial 2 σ confidence
433 interval on the linear scale is not ideal for constraining uncertainty on the population-FFCO₂
434 emissions relationship. However, the total FFCO₂ emissions for a given nation are fixed. So, a
435 constrained 2 σ confidence interval is constructed through a 1000 run Monte Carlo analysis. The
436 analysis proceeds by randomly selecting a population, calculating the regression fit FFCO₂
437 emission for that population, and randomly selecting an adjustment to that regression fit FFCO₂
438 emission in accordance with a robust 2 σ interval initially obtained by the natural log analyses.
439 The robust 2 σ interval minimizes the effect of outliers. This process is repeated for all
440 populations until all the original populations are assigned an FFCO₂ emission whose sum is



441 equal to the national total. From the 1000 Monte Carlo runs then, at each population, an average
442 FFCO₂ emission and a 2 σ interval are calculated. Testing revealed that 1000 Monte Carlo runs
443 were sufficient for the average and 2 σ interval to stabilize. The lower panel of Fig. 8 shows this
444 population-FFCO₂ emissions 2 σ relationship in percentage units. Since the 2 σ intervals in the
445 upper and middle panels are not symmetrical about the best fit lines, the lower panel shows the
446 maximum and minimum value of the 2 σ interval. Values for the maximum 2 σ distance were
447 derived from the -2 σ curve at low population values and from the +2 σ curve at high population
448 values. Values for the minimum 2 σ distance were derived from the +2 σ curve at low
449 population values and from the -2 σ curve at high population values. The relationships are
450 dashed for populations not included in the Landscan population input data set.

451

452 The lower panel of Fig. 8 also shows the average 2 σ distance. Lacking further guidance as to
453 the nature of the population-FFCO₂ emissions relationship, the average is used to describe the
454 relationship. Note that the use of the maximum or minimum curves would result in different
455 uncertainties to be calculated and these may be more appropriate than the average. Future study
456 and data may guide a more appropriate choice.

457

458 It is not expected that the exact population-FFCO₂ emissions relationship shown in the lower
459 panel of Fig. 8 will hold at 0.25, 0.1 and 0.01 degrees spatial resolution, resolutions being
460 utilized by other groups today. The results shown in Fig. 8 are specific to one degree resolution.

461

462 The large uncertainty bounds on the carbon-population relationship are hypothesized to be due to



463 large point sources incorporated in some 1x1 grid cells and not others. In these cells, FFCO₂
464 emissions are decoupled from population. Support for this comes from Singer et al. (2014) who
465 showed a relatively flat per capita FFCO₂ relationship, as compared to the relationship derived
466 here, between population and FFCO₂ emissions for individual states in the United States. Singer
467 et al. (2014) derived this flat per capita by taking state level emissions, subtracting emissions
468 from large point sources in each state, and then calculating per capita emissions. The robust 2 σ
469 interval used in the constrained fit of Fig. 8 potentially removes some, but not all, of these large
470 point source 1x1 grid cells. While the process used here could be iterated to achieve results
471 similar to Singer et al. (2014), that has not been pursued at the present time as that effort would
472 not be representative of the CDIAC FFCO₂ mapping process.

473

474 The middle panel of Fig. 8 also shows some qualities of the population-FFCO₂ emissions
475 relationship. First, there are no negative populations. Second, there are no negative FFCO₂
476 emissions. Third, by definition, the CDIAC FFCO₂ mass map locates no FFCO₂ emissions
477 where there is zero population. Fourth, positive FFCO₂ emissions are associated with positive
478 populations. Fifth, the effect of adding more than one proxy to distribute FFCO₂ emissions is to
479 take FFCO₂ from one cell and place it in another cell. The result of this redistribution procedure
480 can increase or decrease the slope of the population-FFCO₂ emissions relationship as well as
481 increase or decrease the 2 σ distance at a given population. The addition of more than one
482 distribution proxy is what Singer et al. (2014) utilized, which resulted in a relatively flat per
483 capita FFCO₂ relationship for non-point source FFCO₂ emissions.

484



485 Figure 9 shows an example of the population map uncertainty assessment results. There are 62
486 uncertainty assessments completed for the 1950-2011 time series, each map reflecting the
487 population that existed in a particular year for the given set of countries. This map was
488 generated by the average relationship seen in the lower panel of Fig. 8. For countries which only
489 occupy one grid cell, their uncertainty was set to zero as the relationship derived in Fig. 8 is not
490 applicable. There are no differences between population map uncertainties for annual and
491 monthly FFCO₂ time series.

492

493 Figure 9 shows that the majority of the land mass is covered in uncertainties greater than 100%.
494 This could be used as evidence to argue against using population as a distribution proxy,
495 assuming a better alternative can be found.

496

497 To address the second concern, population changes with time, it is assumed that the annually
498 varying population maps used for years 1990 to present capture relative changes and thus is not a
499 concern. However, the pre-1990 years use a fixed population map and this may be of concern.
500 Annual maps of GPWv3 and Landscan were used to assess the changes in relative population
501 density within each country on an annual basis. The final result of this assessment was
502 population changes with time induce little uncertainty into the overall FFCO₂ distribution
503 globally when a fixed population proxy is utilized. In specific 1x1 cells, the change can appear
504 dramatic when a cell goes from zero population to populated. But, the vast majority of
505 populated cells do not show this change in any given year. The average populated 1x1 cell
506 shows less than a 0.1% uncertainty introduced over 10 years, this is far smaller than the other



507 uncertainties examined in the manuscript. Thus, uncertainties introduced by population changes
508 with time are not considered further in this manuscript. The next section combines the
509 uncertainty maps from the three components just discussed.

510

511 **4.4 FFCO₂ map uncertainty**

512

513 Figure 10 shows the uncertainty by combining two components: FFCO₂ tabular data and
514 geography. This intermediary step is shown as it demonstrates the order of uncertainty that will
515 be associated with all gridded FFCO₂ data products that have roots similar to the CDIAC data
516 product (ranging from <10 to 102%). This particular presentation ignores the within a country
517 distribution proxy, only borders and national FFCO₂ magnitude are included. The two-
518 component uncertainty shown is the square root of the sum of the squares of the individual
519 components (i.e., Figs. 3 and 7) as each component is independent of the other. Figure 10 does
520 not show many changes temporally (only 809 of 64,800 cells change values from year 1950 to
521 2011), but there is much spatial variability within a given year.

522

523 Figure 11 shows the uncertainty by combining all three components: FFCO₂ tabular data,
524 geography, and population. This particular presentation includes the within a country
525 distribution proxy and uncertainties associated with this proxy increase the maximum
526 uncertainty from 102% (Fig. 10) to 193%. Other gridded FFCO₂ data products will have a
527 different distribution proxy and thus a different absolute uncertainty value. The three-
528 component uncertainty shown is the square root of the sum of the squares of the individual



529 components (i.e., Figs. 3, 7 and 9) as each component is independent of the other. Both years
530 1950 and 2011 Fig. 11 maps encompass the entire < 20 to < 200% uncertainty range and show
531 much spatial variability in their respective years. The year 2011 map also shows more speckling
532 of uncertainty values in areas which appear more homogeneous in the year 1950 due to the
533 inclusion of the annually varying population proxy.

534

535 Thus, this gridded product (i.e., Fig. 11) incorporates all known and deemed significant
536 uncertainty from the spatial resolution, temporal resolution, and underlying FFCO₂ estimation
537 process. Sixty-two such maps exist for the years 1950-2011. It is expected that future releases
538 of the annual and monthly CDIAC 1x1 FFCO₂ mass maps will be accompanied by similarly
539 spatially and temporally scaled 1x1 uncertainty maps.

540

541 The 193% maximum 2 sigma uncertainty occurs regardless of whether the old fixed population
542 proxy is used or the new annually varying population proxy is used. This is because the peak in
543 the carbon-population relationship occurs at relatively low population values, around 172,000
544 people per one degree grid cell (Fig. 8 lower panel). This is far removed from the maximum
545 populated grid cells which the annually varying population proxy better captures.

546

547 For the 2011 1x1 uncertainty map, of the 25,095 cells which have a non-zero uncertainty
548 associated with them, 22% of these are dominated by uncertainty contributed by the FFCO₂
549 tabular data (Fig. 3), 27% of these are dominated by uncertainty contributed by geography (Fig.
550 7), and 51% are dominated by uncertainty contributed by population (Fig. 9). Tabular FFCO₂



551 data dominate uncertainty in areas of low to no population. Geography dominates uncertainty
552 around borders in water-dominated areas. Population dominates uncertainty in the rest of the
553 populated world.

554

555 **4.5 Other sources of uncertainty**

556

557 Not explicitly considered here are autocorrelations of uncertainty in the combined spatio-
558 temporal domain. For example, if the local power plant is shut down for maintenance, other
559 power plants located on the same electrical grid may increase electricity production, and hence
560 FFCO₂ emissions, to maintain overall grid power levels for an electricity demand that is
561 independent of the local power plant maintenance schedule. In actual cases of this scenario of
562 which we are aware, the relatively coarse CDIAC 1x1 annual scale map was partially insensitive
563 to this maintenance. That is because some of the power plants which increased electricity
564 production were co-located in the same 1x1 cell as the local power plant and thus the FFCO₂
565 emissions were still accurately captured in that cell. The uncertainty assessment presented here
566 is unaffected by this maintenance and redistribution of power generation. However, some of the
567 power plants that increased electricity production were located outside the local power plant 1x1
568 cell. The uncertainty assessment presented here fails to capture that event. This type of spatio-
569 temporal problem, and the autocorrelations it contains, is only exacerbated as one goes to finer
570 spatial and/or temporal scales. This type of spatio-temporal problem and others similar to it are
571 difficult to capture in FFCO₂ flux maps and uncertainty assessments due to their sporadic nature.
572 Reliable global databases of their occurrences are presently unknown in the emissions inventory



573 sciences. Yet, their effect is real, especially as the community moves ever closer to the goal of
574 comparing inventories to model output and to measurements; whether in a scientific, regulatory,
575 or treaty compliance environment.

576

577 **5 Discussion**

578

579 Uncertainty generated by using the population map dominates the gridded FFCO₂ uncertainty.
580 Population is one proxy used to distribute FFCO₂ emissions that has detail in both time and
581 space. Many of the proxies used by other map distribution algorithms lack this detail in time and
582 space. Population was also the only useful, global proxy available in 1996 when the CDIAC 1x1
583 maps were first published. Many of the proxies used by other map distribution algorithms came
584 into being after 1996. Finally, national populations directly use energy and emit FFCO₂ in many
585 sectors of the economy. Other map distribution algorithms attempt to improve this relationship
586 by parsing portions of FFCO₂ emissions not directly related to national populations (e.g.,
587 electricity power plant emissions) and using other proxies to distribute these non-population
588 related FFCO₂ emissions.

589

590 The linear fit that CDIAC employs for FFCO₂ emissions distribution (i.e., the population map)
591 comes with the cost of introducing uncertainty due to the lack of a 1:1 relationship. However,
592 this is true with other proxies as they also lack the 1:1 relationship. It is important to remember
593 why these proxies are utilized: a lack of actual measurements of FFCO₂ emission rates at the
594 appropriate spatial and temporal scales. Here, a compromise is introduced into the mapping



595 process: distribution proxies with their associated uncertainties are balanced against computation
596 and data gathering costs. In general, for full global coverage, finer spatial and temporal
597 resolution proxies introduce more uncertainty than coarser spatial and temporal resolution
598 proxies. This higher uncertainty is often rooted in less certain data in all grid cells due to the
599 lack of resources to appropriately monitor all grid cells at the desired spatial and temporal
600 resolutions. This intermingling of spatial and temporal resolution is key. Most high spatial
601 resolution proxies are sampled for only short temporal durations or limited spatial extents. Most
602 high temporal resolution proxies are sampled for limited spatial extents or limited temporal
603 durations. Figure 12 is a summary of the CDIAC experience regarding resolution and
604 uncertainty. As spatial scales decrease, uncertainty increases. Much effort is now being directed
605 to produce urban scale maps, their uncertainty at present is largely unknown.

606

607 Realizing this simplicity-efficiency compromise and resolution-uncertainty experience,
608 investigation of alternative FFCO₂ distribution strategies may be worthwhile if they can achieve
609 a lower overall uncertainty. CDIAC has supported many such alternative distribution efforts in
610 the broader community in the past and expects to continue to do so in the future. These
611 alternative distribution strategies need also to be investigated not only for their initial year of
612 implementation (where most effort is applied), but also in a honest evaluation of their application
613 across different spatial and temporal horizons. For example, in the spatial domain, is the quality
614 of the proxy used to map FFCO₂ emissions at 0.1 degrees resolution truly understood (leading to
615 reported 2σ uncertainties as low as 36%)? Likewise, in the temporal domain, is the quality of
616 the proxy used to map FFCO₂ emission at hourly resolution truly understood (leading to



617 reported 2σ uncertainties as low as -15 to 20%)? One advantage of the 1x1, population-based,
618 simplistic, linear fit is that it can be applied from emission year 1751 to the present with a good
619 assessment of the uncertainty associated with it.

620

621 While there is lack of actual measurements of FFCO₂ emission rates at the appropriate spatial
622 and temporal scales of the CDIAC 1x1 maps, a sampling effort which partially approaches these
623 scales occurred at Indianapolis, USA during the Indianapolis Flux Experiment (INFLUX).

624 Cambaliza et al. (2014) report on airplane-obtained CO₂ flux measurements for three dates in
625 2011. Their measurements show “considerable day-to-day variability” and include all CO₂
626 fluxes, not just FFCO₂. However, with reason, they assume their results are mostly sensitive to
627 FFCO₂. Table 3 compares their results to the CDIAC 1x1 map grid cell which contains
628 Indianapolis. While there are still mismatches in temporal and spatial scales (and potentially
629 CO₂ sources), the results are within the 1σ uncertainty bounds of each other at annual time
630 scales. At monthly time scales, the comparison is not so favorable: all of the Cambaliza et al.
631 (2014) results fall within the CDIAC 1σ uncertainty, only one CDIAC month falls within the
632 Cambaliza et al. (2014) 1σ uncertainty, one CDIAC month falls within the Cambaliza et al.
633 (2014) 2σ uncertainty, and the other month falls outside the Cambaliza et al. (2014) 2σ
634 uncertainty.

635

636 INFLUX was also aided by a bottom-up inventory, Hestia (Gurney et al., 2012), a detailed
637 building-by-building, street-by-street, hourly FFCO₂ emissions inventory, downscaled from
638 VULCAN. Cambaliza et al. (2014) report Hestia inventory values for the same dates (Table 3).



639 While there are still mismatches in temporal and spatial scales, at both annual and monthly time
640 scales, the Hestia results fall within the CDIAC 1 σ uncertainty and the CDIAC results do not
641 fall within the Hestia 2 σ uncertainty. Similarly, the Cambaliza et al. (2014) data and Hestia
642 results also do not always fall within each others 1 or 2 σ uncertainty bounds.

643

644 Singer et al. (2014) show that for the continental United States when large point sources are
645 removed from the CDIAC 1x1 maps and separately placed with their emissions, the remaining
646 FFCO₂ emissions show relative constancy on a per capita basis. If this result can be verified
647 elsewhere and if a robust large point source data base can be developed at appropriate spatial and
648 temporal scales, this may lead to better global maps of FFCO₂ emissions. While current large
649 point source data bases have known spatial deficiencies, these spatial deficiencies can be
650 overcome with additional geolocating efforts. Current large point source data bases are usually
651 based on a certain point in time and offer little to no temporal information. This temporal
652 information is crucial for appropriately assigning magnitudes to FFCO₂ emissions from these
653 locations. Magnitude variations can occur on all temporal scales from minutes to years as
654 energy demand changes, new units are installed, old units are uninstalled or shut down for
655 maintenance. The uncertainties associated with these temporal variations is unquantified at
656 present.

657

658 A commonly observed human tendency is to underestimate the uncertainties in our work. Going
659 into this gridded uncertainty assessment, when asked about the quality of the CDIAC 1x1
660 FFCO₂ mass magnitude maps, the answer was about 70% correct (30% uncertainty). This was



661 based on some data, anecdotal evidence, and our own incomplete knowledge of the population
662 proxy. This assessment has greatly altered this answer and our previous answer was a factor of
663 two too small. Throughout this assessment process, when assumptions had to be made or expert
664 judgment employed, the general tendency in most cases was toward purposefully overestimating
665 or increasing the magnitude of uncertainty. Table 4 presents the results of an alternative
666 formulation of the gridded map uncertainty. Built into this alternative formulation are reduced
667 geography map and reduced population map uncertainties. For the geography map, uncertainties
668 are reduced by 50% over those shown in Fig. 6. This is not as aggressive as the one tenth of a
669 grid cell (10% uncertainty) of Hogue et al. (2016), but does allow that locations are located to
670 within one half of a one degree grid cell. While there are examples of one degree uncertainty
671 (e.g., see **Sect. 4.2 Geography map**), these examples are isolated and few and may represent the
672 outliers beyond two sigma. For the population map, uncertainties are reduced to the minimum
673 line of Fig. 8. FFCO₂ emissions tabular data remain unchanged as no viable alternative
674 assumption exists. The alternative formulation to the gridded map uncertainty results is roughly
675 a halving of the average, maximum and standard deviation values from the values originally
676 reported in this work. The minimum value remains the same. The alternative formulation is
677 simply the result of different assumptions and decisions being made during the uncertainty
678 assessment process. At present, it is neither better nor worse than the uncertainties presented in
679 Fig. 11. The alternative formulation is simply different than the main line of investigation that
680 led to Fig. 11. What the alternative formulation really points to is the need for additional work in
681 this area and the need for physical sampling of FFCO₂ emissions at appropriate spatial and
682 temporal scales.



683 Table 4 also shows the average 2σ uncertainty value for the work presented here at 120%. This
684 is only slightly higher than the average 1σ uncertainty value of 50% (2σ 100%) presented by
685 Rayner et al. (2010) for FFDAS at 0.25 degrees resolution. These larger values are expected as
686 the treatment here is more comprehensive than that of Rayner et al. (2010) by incorporating non-
687 zero uncertainty for the population component, a more diverse and wider range of uncertainties
688 for the FFCO₂ tabular data, not clipping higher uncertainty values (200% 1σ in the Rayner et al.
689 (2010) assessment), and utilizing many more Monte Carlo simulations in realization of the
690 FFCO₂ distribution results (1000 versus 25).

691

692 The uncertainty bounds presented here (e.g., Fig. 11) are large. That may argue for a new
693 approach to mapping FFCO₂ emissions globally. The multi-proxy approach initially appears
694 promising as large fractions of FFCO₂ emissions can be geolocated with much less spatial
695 uncertainty than the population proxy provides. However, the databases commonly used to
696 provide the geolocation usually fail to provide temporal information so temporal uncertainty
697 increases, sometimes substantially. Studies like INFLUX also initially appear promising with
698 their high spatial and temporal resolutions often accompanied by lower uncertainties than those
699 offered here (e.g., Fig. 11). However, INFLUX was a multi-million dollar campaign that gave
700 good information on one grid cell out of 64,800 (temporally, different data streams lasted days to
701 years). This approach is too expensive for global application with current resources. Satellites
702 could offer high spatial and temporal resolution. However, current technology only senses field-
703 of-view CO₂ - including the net effects of all sources and sinks on a parcel of air. Models are
704 then needed to tease out the FFCO₂ component.



705 Going forward, there may be multiple opportunities to improve FFCO₂ mass maps by
706 incorporating new data and proxies heretofore unavailable. Besides population, few proxies
707 currently in use have reliable histories longer than a few decades and thus there may not be many
708 ways to improve the historical record of emissions and their global distribution. Looking
709 forward, existing and new technologies and techniques may provide continuous and detailed in
710 space and time data from which to better estimate and map FFCO₂ emissions.

711

712 Hanging over all of these approaches to mapping FFCO₂ emissions are planned, existing, and
713 committed national and international agreements to limit future FFCO₂ emissions. How these
714 will be measured, reported, and verified (MRV) remains to be seen. This MRV task becomes
715 only more daunting when uncertainties are used in the MRV process, in addition to the central
716 best estimate of FFCO₂ (and other) fluxes affecting the atmosphere (and climate) in which we
717 live.

718

719 **6 Conclusions**

720

721 This manuscript provides: 1) the first, gridded, comprehensive uncertainty estimates of global
722 FFCO₂ emissions, 2) a methodology that can be applied to other global FFCO₂ mass maps, 3) a
723 reminder to the community that FFCO₂ has uncertainty both in tabular mass totals and in map-
724 distributed masses, 4) a beginning for the broader community to statistically compare different
725 FFCO₂ distribution maps (once uncertainty evaluations are completed on the other maps) to help
726 determine better FFCO₂ distribution algorithms, and 5) the basis for an improved understanding



727 of the global carbon cycle and its components by providing an uncertainty estimate for the
728 CDIAC FFCO₂ mass maps which can then be propagated into the rest of the global carbon
729 cycle.

730

731 While more detailed proxies (in space, time, or number) may lead to more visually appealing
732 representations of FFCO₂ emissions, that increased detail oftentimes brings increased
733 uncertainty, thus obscuring the perceived increase in detail. The alternative formulation
734 presented in Table 4 shows how easy it is to achieve lower, reported uncertainties. While
735 uncertainty is large at the per grid cell basis, Fig. 12 suggests that uncertainty decreases with
736 aggregation to larger grid cells. While the exact map distribution mechanism used here, per
737 capita FFCO₂ emissions by country, largely determines the uncertainty associated with the
738 CDIAC 1x1 maps, other map distribution mechanisms likely follow a similar pattern: increasing
739 uncertainty with decreasing spatial (and temporal) scale(s).

740

741 Finally, the difficulties encountered during this work should not be taken as deterrents to
742 pursuing this line of research. Rather, they should be embraced as challenges to be overcome by
743 new methods and measurements. While gridded FFCO₂ uncertainty maps are not scientifically
744 revolutionary, they will lead to new understanding of the carbon cycle and the climatic system -
745 much in the same way pioneering efforts in quantifying global and national FFCO₂ emissions
746 led to new carbon and climate understanding.

747

748 **Data availability**



749 The data for this manuscript are available at the CDIAC website (<http://cdiac.esd.ornl.gov/>).
750 FFCO₂ emissions data are currently available there. At the time of ACPD submission, we are in
751 the process of updating the emissions data to the year 2013 and when those are released, the
752 FFCO₂ uncertainty maps will be released with them also. Currently, FFCO₂ uncertainty maps
753 are only available from the corresponding author. By the time of ACP publication, FFCO₂
754 emission data and uncertainty maps up to the year 2013 will be available from the CDIAC web
755 site.

756

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841	<u>CDIAC Map</u>	<u>GPWv3 Map</u>	<u># Grid Cells</u>	<u>% Grid Cells</u>
842				
843	Land	Population	15,089	23
844	Land	No population	5,029	8
845	Water	Population	3,252	5
846	Water	No Population	41,430	64
847				
848	Population	Population	9,885	15
849	Population	No Population	4,575	7
850	No Population	Population	8,456	13
851	No Population	No Population	41,884	65
852				

853 Table 1. Comparison of the year 1997 GPWv3 population map with CDIAC geography and
854 fixed population maps. The number of water cells is less than 70% of the total as 4,550 ocean
855 cells surrounding Antarctica are labeled as the Antarctic Fisheries, a United nations-named unit
856 used to track energy consumption by the Southern Ocean fishing fleets. CDIAC considers these
857 Antarctic Fisheries cells as pseudo-land cells (i.e., subject to emitting FFCO₂). The year 2010
858 Landscan population map has a similar comparison to the CDIAC geography map (within 3% in
859 all categories) and population map (within 4% in all categories). CDIAC, GPWv3, and
860 Landscan population maps all have land cells that are not populated.



	<u>Latitude</u>	<u>East-West Distance (km)</u>	<u>North-South Distance (km)</u>
861			
862			
863	75	29	112
864	60	56	111
865	45	79	111
866	30	96	111
867	15	108	111
868	0	111	111

869

870 Table 2. Selected latitudes and the length dimensions of one degree in associated raster cells.

871 The values shown are symmetric about the equator. CDIAC locates its raster borders on one

872 degree lines of latitude and longitude. Others may center their raster cells on these lines and thus

873 are offset from the CDIAC grid by 0.5 degrees. Calculations based on WGS84 ellipsoid data

874 from <http://earth-info.nga.mil/GandG/coordsys/csatfaq/math.html>.



	<u>CDIAC</u>	<u>Cambaliza et al. (2014)</u>	<u>Hestia</u>
875			
876			
877	annual 7.7 (1.7-14, 0-20)	5.6 (2.8-8.4, 0.0-11)	4.4 (4.1-4.9, 3.8-5.3)
878			
879	March 0.68 (0.1-1.2, 0-1.7)	0.35 (0.18-0.53, 0.0-0.71)	0.39 (0.36-0.43, 0.33-0.47)
880	April 0.61 (0.1-1.1, 0-1.6)	0.23 (0.12-0.35, 0.0-0.47)	0.33 (0.31-0.37, 0.28-0.40)
881	June 0.62 (0.1-1.1, 0-1.6)	0.81 (0.40-1.2, 0.0-1.6)	0.38 (0.35-0.42, 0.32-0.45)

882

883 Table 3. Comparison of INFLUX airplane based results, Hestia, and CDIAC 1x1 map. All

884 values reported in Tg C. One sigma and two sigma mass ranges reported in parentheses.

885 Cambaliza et al. (2014) report airplane-based results for 1 March, 29 April, and 1 June 2011 of

886 11,000, 7500, and 26,000 mol/s, respectively. Unit conversion equate these values to 4.2, 2.8,

887 and 9.8 Tg C/year. The 5.6 Tg C average is reported above. For monthly samples, a similar unit

888 conversion was completed. For both annual and monthly cases, the Cambaliza et al. and Hestia

889 results were scaled up to the temporal resolution of the CDIAC data.



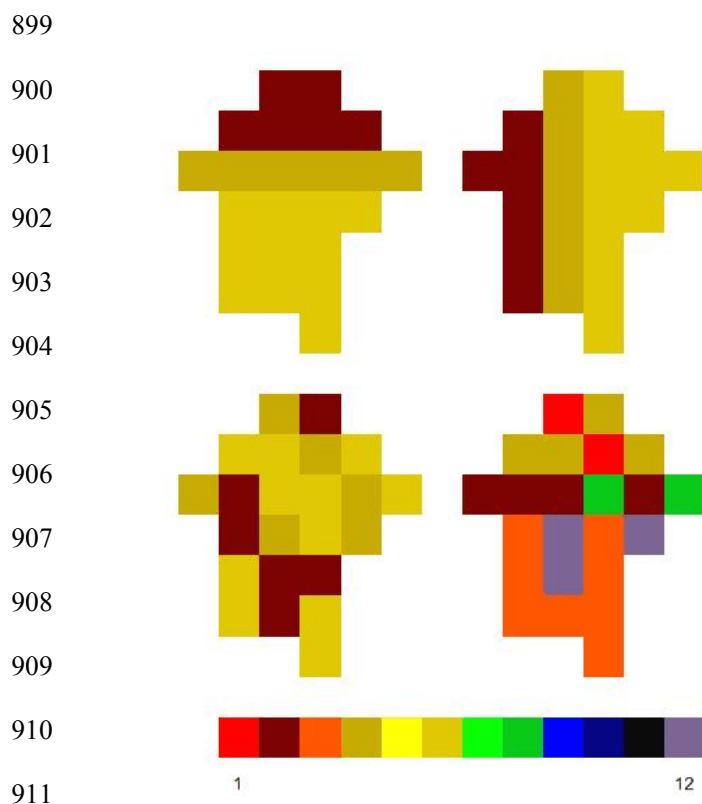
		<u>Minimum</u>	<u>Average</u>	<u>Maximum</u>	<u>s.d.</u>
890					
891					
892	This work	4.0	120	190	51
893	Alternative formulation	4.0	65	94	22

894

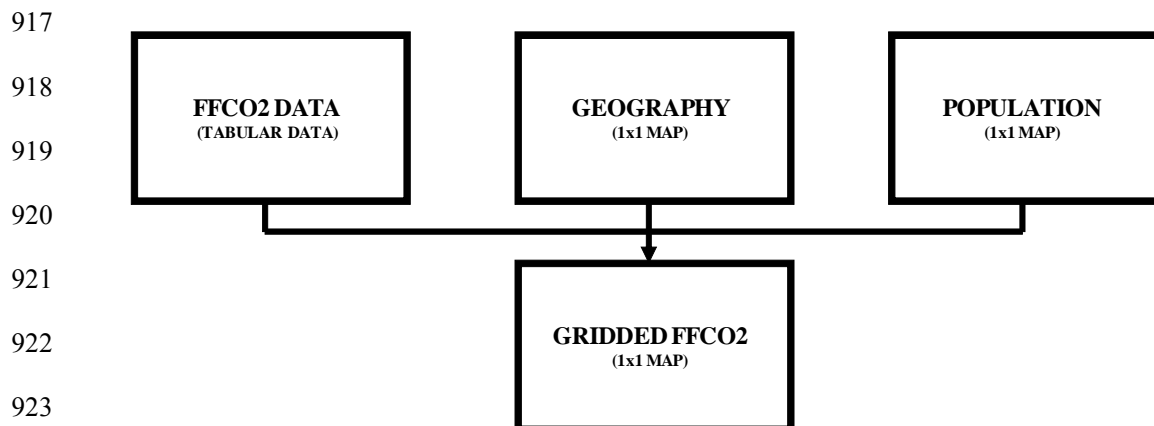
895 Table 4. This work versus alternative formulation of the gridded map uncertainty. Minimum,

896 average, maximum, and standard deviation (s.d.) of three-component 2σ uncertainty for897 populated and FFCO₂-emitting grid spaces. All values in percent. See text for parameters of the

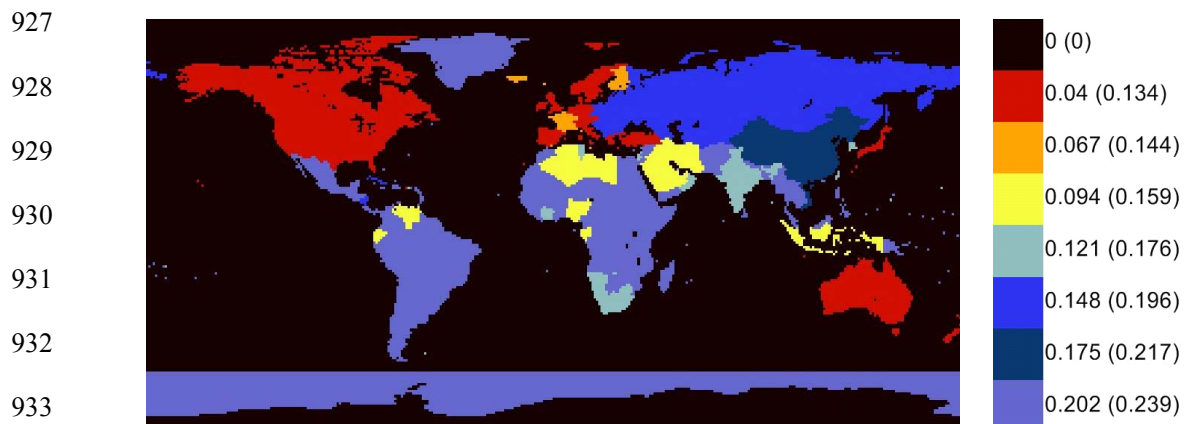
898 alternative formulation.



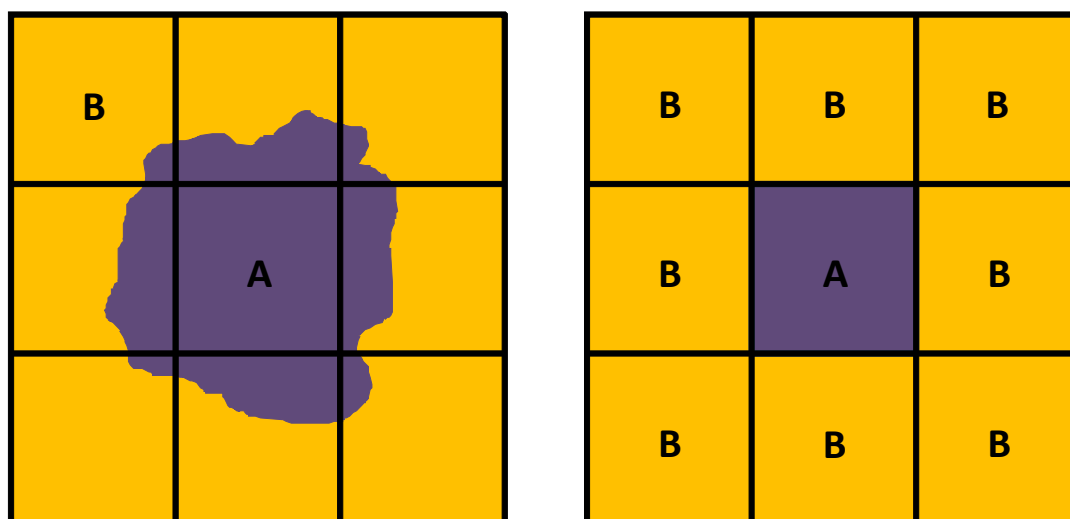
912 Figure 1. Hypothetical FFCO₂ mass maps for a hypothetical country. The exact same total
913 magnitude of FFCO₂ emissions is shown in each panel, only the spatial distribution has changed
914 between the panels. This manuscript aims to aid in the evaluation of such maps by supplying
915 gridded uncertainty information at the same spatial and temporal scales as the emission maps.
916 The scale is in arbitrary units.



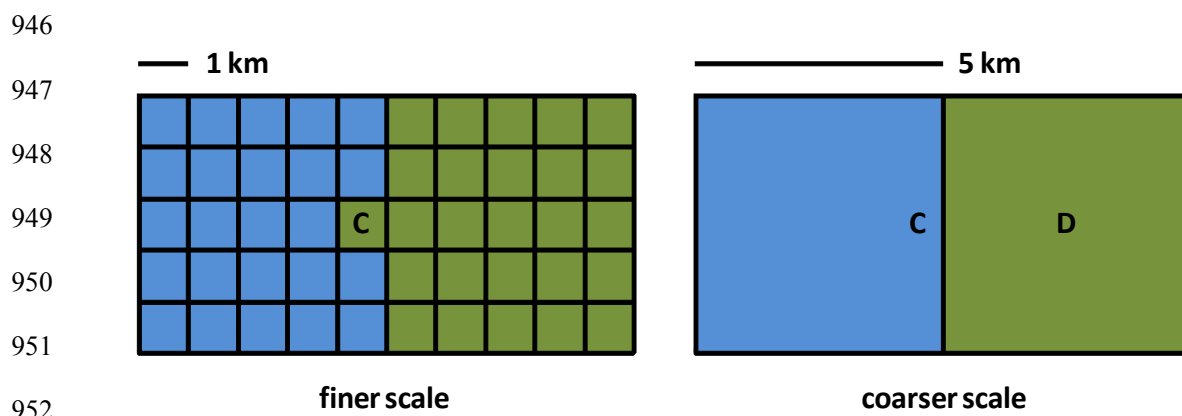
924 Figure 2. Basic CDIAC map creation process. The tabular FFCO2 emission data is mapped to
925 regions of the world by the one degree latitude by one degree longitude (1x1) map of geography
926 with within country FFCO2 distribution provided by the 1x1 population distribution.



934 Figure 3. Tabular FFCO₂ uncertainty assessment example. The plot is for year 2010 and its key
935 shows the annual uncertainty as a fraction. In parentheses, the monthly uncertainty is shown as a
936 fraction. The two quantities shown have the same spatial extent; they differ only in magnitude.
937 Different years would show slightly different spatial patterns as countries emerge or disappear
938 from the FFCO₂ tabular data.



939 Figure 4. Raster representation. The left figure shows two hypothetical regions labeled A
940 (purple) and B (yellow). The right figure shows the raster version of this geography where the
941 dominant spatial region in each grid cell on the left becomes the value of the grid cell on the
942 right. Other potential representations include mixed raster where a fractional value (usually in
943 proportion to area) is represented or a vector version where the central A polygon is surrounded
944 by a square B polygon. Even in this simple example, one can see where uncertainty in the raster
945 map begins to emerge with respect to the position of geographic borders and the grid spacing.



953 Figure 5. Spatial rescaling issues. The blue area represents ocean and the green area represents
954 land. A hypothetical rescaling from 1 km to 5 km is shown. Note that the finer scale resolution
955 cell C has been recoded to ocean in the coarser resolution. In rescaling FFCO₂ mass maps, this
956 recoding is often accompanied by the movement of FFCO₂ from cell C to cell D.



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1	2	3	Similar Cells	Uncertainty	% of Total
			0/8	100%	0.4
			1/8	87.5%	0.6
			2/8	75%	1.4
8	A	4	3/8	62.5%	3.1
			4/8	50%	6.0
			5/8	37.5%	11.4
			6/8	25%	6.3
7	6	5	7/8	12.5%	7.2
			8/8	0%	63.6

964

Figure 6. Geography map uncertainty is assessed by a 3x3 moving window. The central grid cell

965

A is assessed for uncertainty based upon the values of the surrounding eight grid cells. If no

966

surrounding cells equal the value of the central cell, then the uncertainty on the central cell is

967

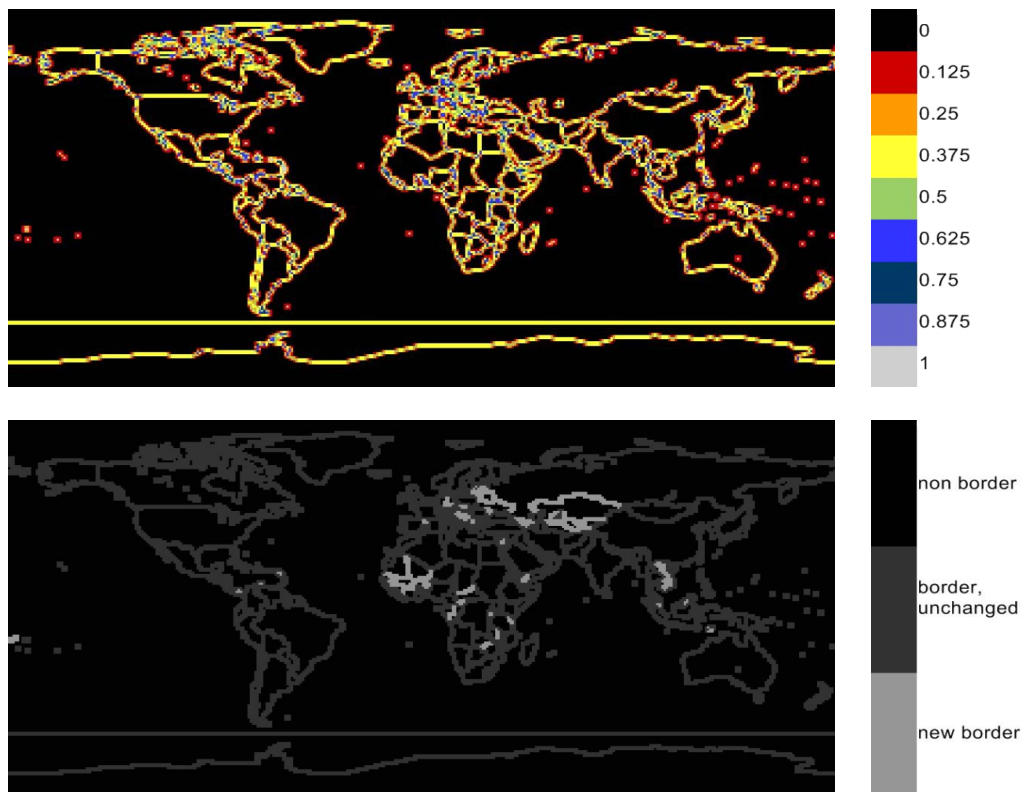
100%. After assessment of one cell, the 3x3 window moves to assess the next cell until all cells

968

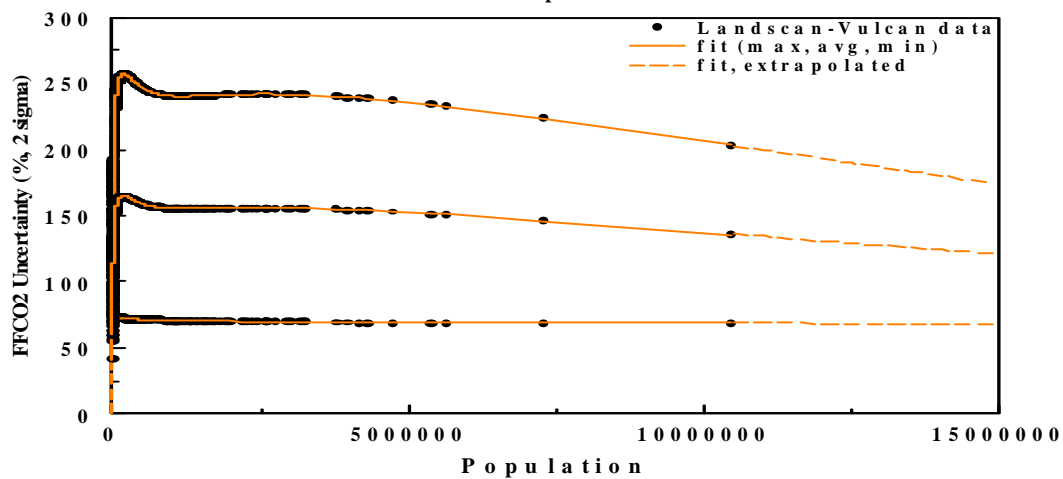
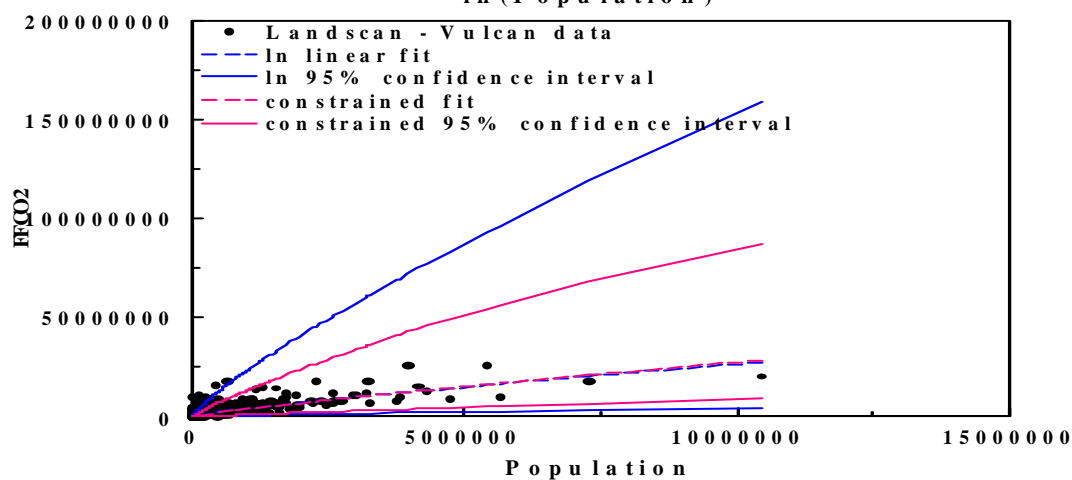
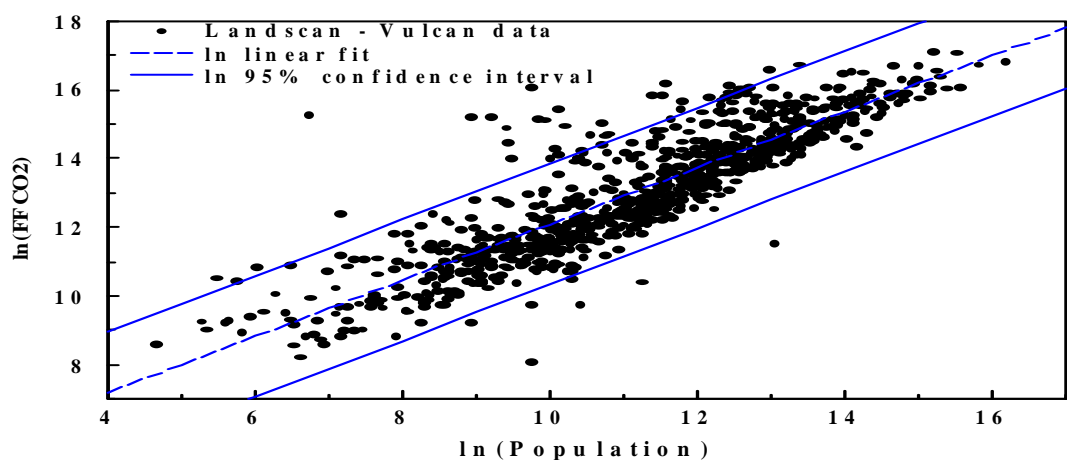
are assessed. The accompanying table gives cell matches, resulting uncertainties, and percentage

969

of land cells that fit each uncertainty.

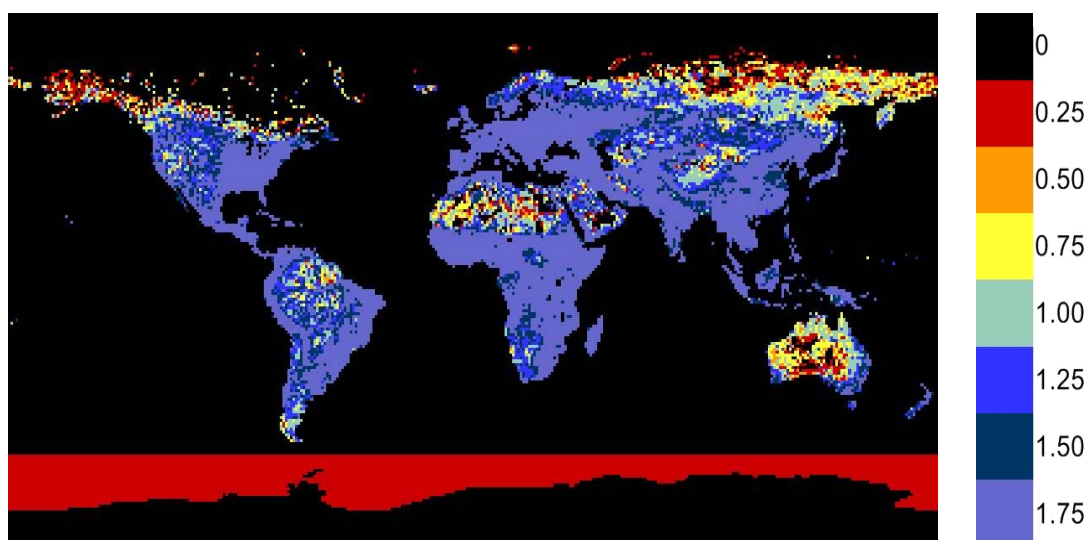


970 Figure 7. Geography map uncertainty assessment examples. The top plot is for year 1950 and its
971 key shows the uncertainty as a fraction. The bottom plot shows the 1950-2011 differences. A
972 difference plot was shown because only 749 cells (about 1% of 64,800 total cells) changed value
973 between 1950 and 2011.

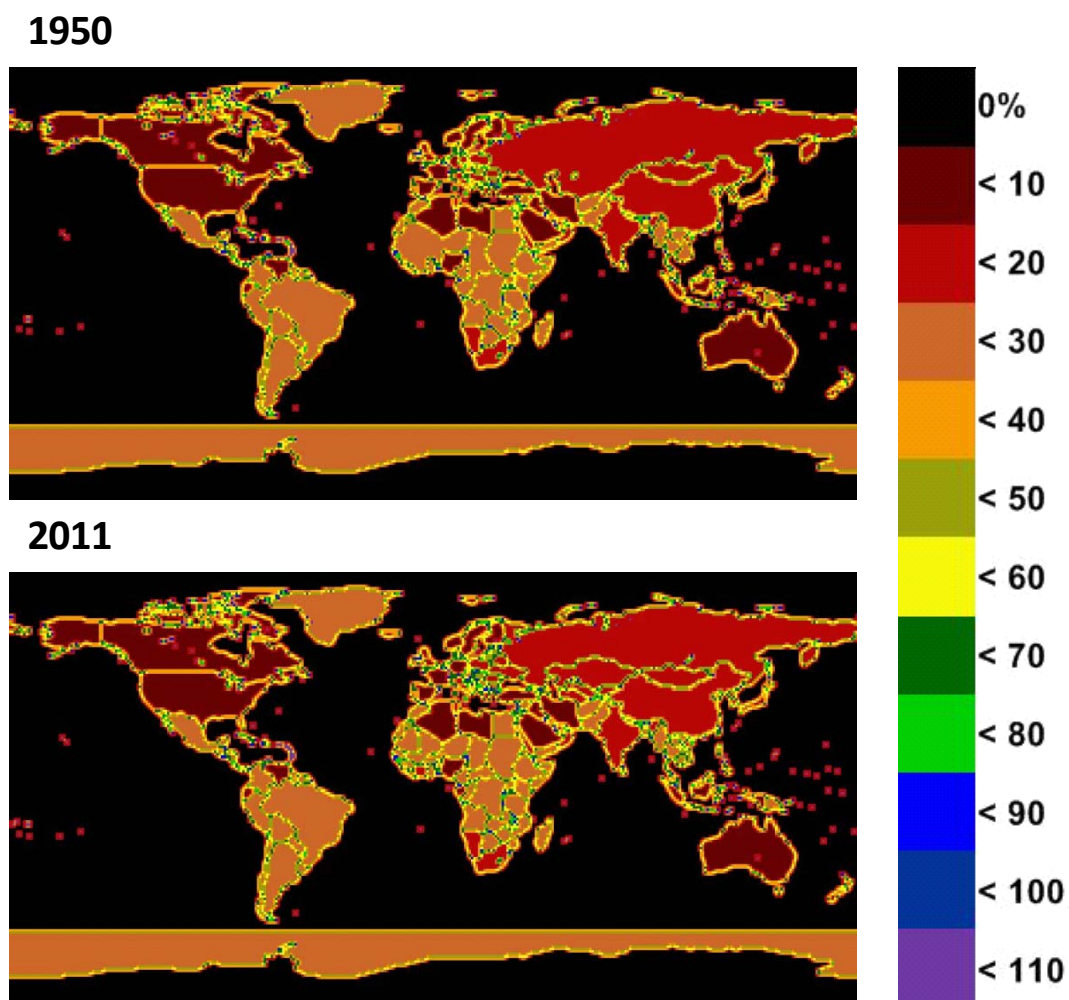




975 Figure 8. The population-FFCO₂ emissions relationship. Upper panel: Independent data sets of
976 population and FFCO₂ emissions are aggregated to one degree resolution and spatially matched.
977 Dropped from the figure are three data points which had positive FFCO₂ emissions and zero
978 population and 67 data points where positive FFCO₂ occurred in cells subject to population from
979 an adjacent country. These cells may include adjacent country population but not the FFCO₂
980 emissions attributable to that population, thus degrading the desired population-FFCO₂
981 emissions relationship. In addition to the 849 data points, a linear fit and 95% confidence
982 interval are shown. Middle panel: Same data as seen above except on linear axes. Monte Carlo
983 analyses provided a constrained linear fit and 95% confidence interval with the constraint that
984 the total mass of the system is constant and using a robust estimate of the data distribution.
985 Lower panel: Population-FFCO₂ emissions 2 σ relationships extracted from the Monte Carlo
986 analyses. Extraction is dashed where extrapolated.



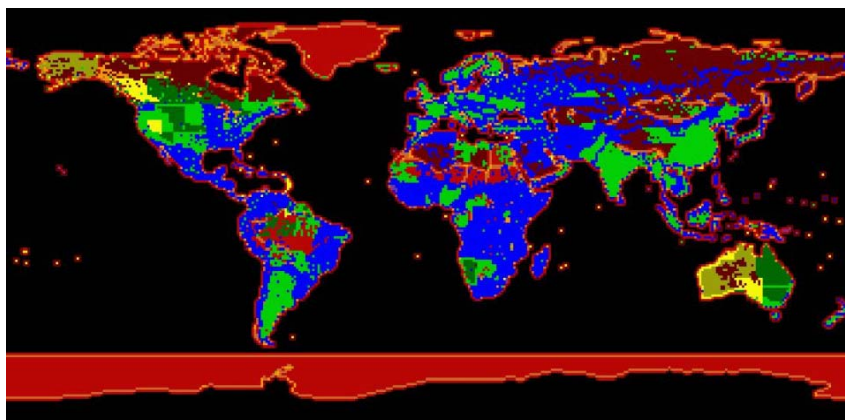
987 Figure 9. Population map uncertainty assessment example. The plot is for year 2011 and its key
988 shows the annual uncertainty as a fraction where 1.75 is 175% uncertainty. This map was
989 generated by the average relationship seen in the lower panel of Fig. 8.



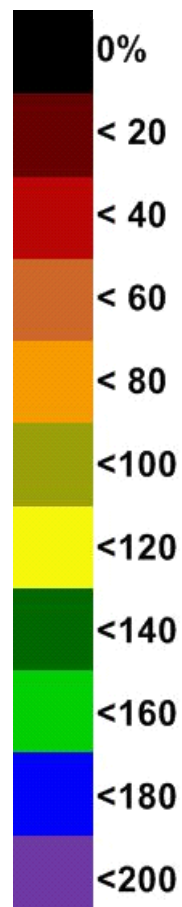
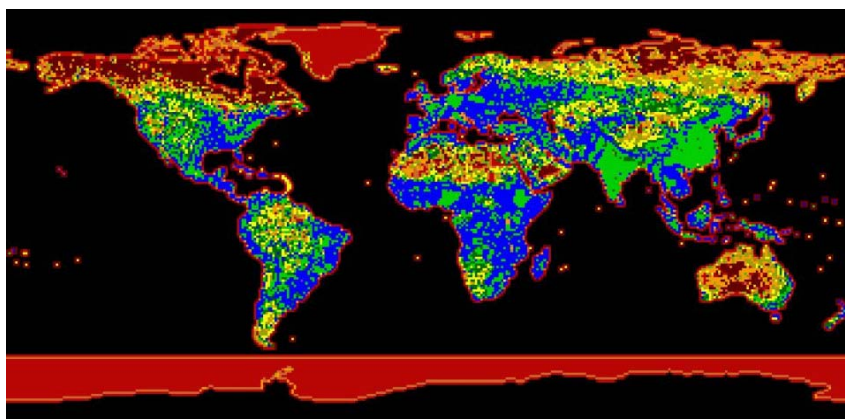
990 Figure 10. Two-component 2σ uncertainty derived from FFCO₂ tabular data and geography.



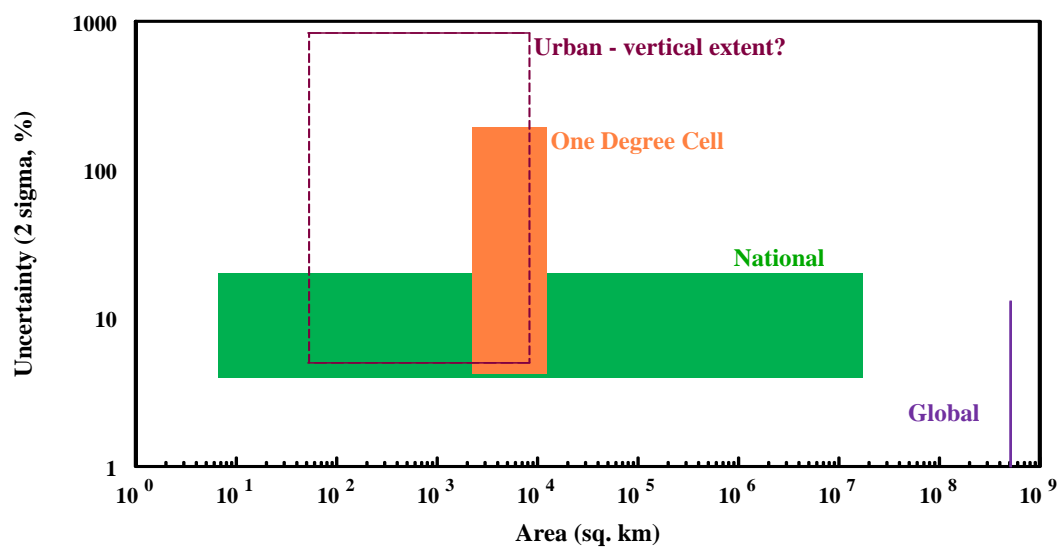
1950



2011



991 Figure 11. Three-component 2σ uncertainty.



992 Figure 12. CDIAC experience regarding resolution and uncertainty. Here, the focus is on spatial
993 resolution, but CDIAC has also noticed a similar relationship in temporal scales going from
994 annual to monthly to daily to hourly.