1	Highly time-resolved urban aerosol characteristics during
2	springtime in Yangtze River Delta, China: Insights from soot
3	particle aerosol mass spectrometry
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Abstract: In this work, the Aerodyne soot particle – aerosol mass spectrometer 29 30 (SP-AMS) was deployed for the first time during the spring of 2015 in urban Nanjing, 31 a megacity in the Yangtze River Delta (YRD) of China, for online characterization of the submicron aerosols (PM₁). The SP-AMS enables real-time and fast quantification 32 of refractory black carbon (rBC) simultaneously with other non-refractory species 33 (ammonium, sulfate, nitrate, chloride and organics). The average PM_1 concentration 34 was found to be 28.2 μ g m⁻³, with organics (45%) as the most abundant component, 35 following by sulfate (19.3%), nitrate (13.6%), ammonium (11.1%), rBC (9.7%) and 36 chloride (1.3%). These PM_1 species together can reconstruct ~44% of the light 37 based 38 extinction during this campaign on the **IMPROVE** method. Chemically-resolved mass-based size distributions revealed that small particles 39 especially ultrafine ones (<100 nm vacuum aerodynamic diameter) were dominated 40 by organics and rBC, while large particles had significant contributions from 41 secondary inorganic species. Source apportionment of organic aerosols (OA) yielded 42 four OA subcomponents, including hydrocarbon-like OA (HOA), cooking-related OA 43 (COA), semi-volatile oxygenated OA (SV-OOA), and low-volatility oxygenated OA 44 (LV-OOA). Overall, secondary organic aerosol (SOA, equal to the sum of SV-OOA 45 and LV-OOA) dominated the total OA mass (55.5%), but primary organic aerosol 46 47 (POA, equal to the sum of HOA and COA) can outweigh SOA in early morning and evening due to enhanced human activities. High OA concentrations were often 48 associated with high mass fractions of POA and rBC, indicating the important role of 49 anthropogenic emissions during heavy pollution events. The diurnal cycles of nitrate, 50 chloride and SV-OOA both showed good anti-correlations with air temperatures, 51 suggesting their variations were likely driven by thermodynamic equilibria and 52 gas-to-particle partitioning. On the other hand, in contrast to other species, sulfate and 53 54 LV-OOA concentrations increased during afternoon, and showed no positive correlations with relative humidity (RH), likely indicating the contribution from 55 photochemical oxidation is dominant over that of aqueous-phase processing for their 56 formations. The bivariate polar plots show that the SV-OOA was formed locally, and 57

the variations of hydrogen-to-carbon (H/C) and oxygen-to-carbon (O/C) ratios in the
Van Krevelen space further suggests an evolution pathway of SV-OOA to LV-OOA.

60 Our findings regarding springtime aerosol chemistry in Nanjing may have important 61 implications for the air quality remediation in the densely populated regions.

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63 **1. Introduction**

In recent years, high concentrations of fine particulate matter (PM2.5) have been 64 frequently observed (Hu et al., 2015), in accompanying with the visibility impairment 65 and occurrence of haze events across large parts of China. PM2.5 also affects human 66 67 health (e.g., Pope and Dockery, 2006;Cao et al., 2012), regional and global climate 68 directly by absorbing and scattering solar radiation or indirectly by acting as cloud condensation nuclei and ice nuclei (e.g., Ghan and Schwartz, 2007; Pöschl, 2005), and 69 the earth's ecosystem (Carslaw et al., 2010). These effects are predominantly 70 dependent upon the physical and chemical characteristics of fine particles, such as 71 mass concentration, chemical composition, size distribution, and hygroscopicity, all of 72 which are influenced by the emission sources and transformation and evolution 73 74 processes in the atmosphere.

75 The Yangtze River Delta (YRD) region is one of the most populated and economically developed areas in China, but it is also facing with severe air pollution 76 lately. Nanjing, as one of the major megacities in this region, has a daily PM_{25} mass 77 concentration varying between 33-234 µg m⁻³ during November 2011 - August 2012, 78 with an mean value of 106 μ g m⁻³, which is 4.2 times the WHO air quality standard of 79 25 µg m⁻³ (Shen et al., 2014). PM_{2.5} pollution is significantly elevated during hazy 80 days, for example, a daily average of 282 µg m⁻³ was observed for a heavily polluted 81 82 day (Fu et al., 2008). A number of studies regarding aerosol chemistry in Nanjing 83 have been conducted, and identified various inorganic components (sulfate, nitrate, ammonium and heavy metals, etc.) (e.g., Wang et al., 2003;Hu et al., 2012;Qi et al., 84 2016) and hundreds of organic species (carboxylic/dicarboxylic acids, amines and 85 amino acids, polycyclic aromatic hydrocarbons, etc.) (Wang et al., 2011; Wang et al., 86

2002;Yang et al., 2005;Wang et al., 2009) that contribute to the aerosol mass. However, past studies mostly employed filter-based sampling technique, which due to low time resolution (a few hours to days), is often incapable of capturing details of the atmospheric evolution processes during the typical lifecycle of aerosols (Wexler and Johnston, 2008). Subsequent offline analyses may also introduce artifacts as some semi-volatile species can evaporate during sampling and storage (Dong et al., 2012).

93 On the other hand, in the past 15 years, the Aerodyne Aerosol Mass spectrometer (AMS) (Canagaratna et al., 2007) has been widely used, and was proven to be 94 powerful for real-time online measurements of size-resolved chemical compositions 95 of submicron aerosols (PM_1) with very fine time resolution (seconds to minutes) 96 97 (Zhang et al., 2007a; Jimenez et al., 2009). The development of Aerodyne AMS began with the invention of quadruple AMS (Q-AMS) (Jayne et al., 2000), following by the 98 99 compact time-of-flight AMS (C-ToF-AMS) (Drewnick et al., 2005), high resolution 100 time-of-flight AMS (HR-ToF-AMS) (DeCarlo et al., 2006) and the soot particle AMS (SP-AMS) (Onasch et al., 2012). There are also an aerosol chemical speciation 101 102 monitor (ACSM) (Ng et al., 2011) and its updated version of ToF-ACSM (Fröhlich et 103 al., 2013), which are in particular designed for long-term unattended aerosol 104 measurements. SP-AMS is the most advanced version, which in principle incorporates 105 the single particle soot photometer (SP2) into the HR-ToF-AMS, and upgraded with a 106 laser vaporizer for detecting refractory black carbon (rBC) and associated/coated species that cannot be measured by other types of AMS. 107

Recently, the Aerodyne AMS has been deployed widely in China (particularly 108 109 Beijing) (e.g., Xu et al., 2014 and references therein; Sun et al., 2014; Yeung et al., 2014;Zhang et al., 2014;Li et al., 2015;Shen et al., 2015;Sun et al., 2015a;Sun et al., 110 111 2015b;Yan et al., 2015;Zhang et al., 2015;Tang et al., 2016;Zhang et al., 2016a;Jiang 112 et al., 2015;Chen et al., 2015;Xu et al., 2015;Du et al., 2015;Sun et al., 2016;Wang et al., 2015; Han et al., 2015; Wang et al., 2016b). However, only a few field campaigns 113 were conducted in the YRD region. Huang et al. (2012b) deployed an HR-ToF-AMS 114 together with an SP2 in Shanghai during the 2010 Shanghai World Expo, and in 115

Jiaxing during summer and winter of 2010 (Huang et al., 2012a). In urban Nanjing, an 116 117 ACSM was applied for characterizing PM₁ during summer and autumn harvest 118 seasons (Zhang et al., 2015), and during December 2013 to investigate a few heavy haze events (Zhang et al., 2016b). In addition, a Q-AMS was deployed in Nanjing to 119 investigate the effects of PM₁ on visibility during January 2013 (Shen et al., 2015). 120 121 Furthermore, a recent study by Wang et al. (2016a) reported the observation of fullerene soot in suburban Nanjing using an SP-AMS. Nevertheless, many questions 122 123 remain unclear with regard to aerosol chemistry, sources, and processes in this region. Moreover, none of the previous AMS measurements studied the aerosol 124 125 characteristics during springtime in Nanjing, yet the springtime aerosols may have 126 different behaviors than those in other seasons, when aerosols are likely influenced significantly by emissions from biomass burning, coal burning etc. For these reasons, 127 128 we reports in this work the real-time measurement results on urban fine aerosols in 129 Nanjing using the SP-AMS during spring in 2015. The rich highly-time resolved, highly-chemical resolved mass spectral data, as well as chemically-resolved size 130 131 distributions of different aerosol species obtained for the first time in Nanjing during 132 this study, can allow us to conduct in-depth analyses, and better understand the 133 characteristics, sources and relevant transformation processes of ambient aerosols. 134 The findings for such a megacity are also valuable to the Pan-Eurasian Experiment (PEEX) infrastructure which aims to resolve the major uncertainties in Earth system 135 science and global sustainability issues (Kulmala et al., 2015). 136

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138 **2. Experiments**

139 **2.1 Sampling site and instrumentation**

The field campaign was conducted in the environment monitoring station of Nanjing Olympic center $(32^{\circ}0'33.00''N, 118^{\circ}44'9.53''E, Fig. S1)$ from April 13 to 29, 2015. Details of the sampling site are shown in Fig. S1. The site was surrounded by residential buildings, close to a few urban arterial roads (~ 85 m northwest of Huangshan Road, ~ 200 m northeast to Mengdu Street and ~425 m southwest of 145 Xinglong Street). There are also a restaurant (~50 m), a student cafeteria (~300 m),
146 and the Nanjing Cigarette Factory (~480 m southeast) around the site.

The sampling inlet was installed outside the fifth floor of the building (~12 m above the ground), with a $PM_{2.5}$ cyclone (URG Corp., Chapel Hill, NC, USA) to remove coarse particles. Ambient particles were dried (RH <10%) via a diffusion dryer filled with silica gel before entering into the SP-AMS. The sampling line (~2 m long) was assembled using stainless steel tubing and proper fittings. Air flow was controlled at around ~5 L min⁻¹, with a flow rate into the SP-AMS at ~80 cm³ min⁻¹.

The SP-AMS can measure non-refractory (NR) PM₁ components including 153 154 ammonium, nitrate, sulfate, chloride and organics similar to other types of AMS via a 155 thermal tungsten heater. Moreover, it can also measure rBC and inorganic/organic 156 species that coated on the rBC cores, as it is equipped with an intracavity Nd:YAG laser vaporizer (1064 nm) (Onasch et al., 2012). During this campaign, the instrument 157 was switched between "laser on" and "laser off" settings, and between V-mode (better 158 159 for mass quantification) and W-mode (better chemical resolution, ~5000 in this study), 160 with one cycle including six menu settings (M1: Laser on V-mode; M2: Laser off 161 V-mode; M3: Laser on W-mode; M4: Laser off W-mode; M7: Laser on PToF-mode; 162 M8: Laser off PToF-mode). Each menu was set to 2.5 min, thus a full running cycle 163 lasted for 15 mins. The PToF-mode was under V-mode, but was tuned in particular for measuring particle sizes. The tungsten heater was always turned on and kept at 164 ~600°C. 165

The SP-AMS, in conjunction with a scanning mobility particle sizer (SMPS) (TSI 166 inc., Shoreview, MN, USA) was calibrated for mass quantification (e.g., ionization 167 168 efficiency) using size-selected (250 nm and 300 nm) monodisperse ammonium nitrate 169 particles following the procedures detailed in Jimenez et al. (2003). Pure ammonium 170 sulfate was used to determine the relative ionization efficiency (RIE) of sulfate (Setyan et al., 2012). Quantification of rBC was calibrated using Regal Black 171 172 (REGAL 400R pigment black, Cabot Corp.) particles according to the procedures reported in Onasch et al. (2012). Note that the solution of Regal Black was sonicated 173

during calibration to maintain a relative stable aerosol flow. RIEs of ammonium, 174 175 nitrate, sulfate, chloride, organics and rBC were determined to be 3.15, 1.05, 1.20, 1.3, 176 1.4 and 0.33, respectively. On the other hand, particle sizing was calibrated using 177 standard polystyrene latex (PSL) spheres (Duke Scientific Corp., Palo Alto, CA, USA) 178 across 100 - 700 nm range. Flow rate was also calibrated prior to the measurement. Concentrations of gaseous species, e.g., carbon monoxide (CO) (Model T300, 179 Teledyne API, USA), ozone (O₃) (Model EC9810, Ecotech Pty Ltd, Australia), 180 181 nitrogen dioxide (NO₂) and sulfur dioxide (SO₂) (Model LGH-01, Anhui Landun,

182 China), and meteorological data including air temperature (T), relative humidity (RH), 183 visibility (km), wind speed (WS) and wind direction (WD) were acquired at the same 184 site. PM_{2.5} and PM₁₀ mass concentrations were also recorded (BAM-1020, Met One 185 Instruments, Inc., USA), in parallel with the SP-AMS measurement.

186 **2.2 Data treatment and source analyses**

187 The SP-AMS data were post-processed by using the Igor-based standard ToF-AMS Analysis Toolkit SQUIRREL v1.56D and PIKA v1.15D, available at: 188 http://cires1.colorado.edu/jimenez-group/ToFAMSResources/ToFSoftware/index.htm 189 190 1. Note all mass concentrations reported here were calculated from the HR fitted 191 results on V-mode data. A collection efficiency is typically used to account for the 192 particles that aren't collected and measured by the instrument, due to the particles lost 193 during passage through inlet, time-of-flight chamber and bouncing from the vaporizer. 194 For the SP-AMS, the CE of laser vaporizer is mainly governed by particle divergence, 195 while for the tungsten vaporizer, the CE is governed mainly by the bouncing effects 196 (Matthew et al., 2008). A CE value of 0.5 is valid and used commonly for the AMS 197 measurements for most environments (Canagaratna et al., 2007). Nevertheless, 198 Middlebrook et al. (2012) further investigated this issue, and found that high aerosol 199 acidity, high ammonium nitrate, and high sampling line RH can increase the CE, and 200 provide composition-dependent CE parametrization. For our dataset, we found that 201 the composition-dependent CE rather than a constant CE=0.5 has negligible effects on 202 the quantification of aerosol species, as the particles were neutralized (Fig. 3a), the

mass fraction of ammonium nitrate were <40% in almost all cases, and also the sampling line RH was below 10%. And in fact, the PM₁ mass concentrations quantified by using the composition-dependent CE correlate a bit worse with the PM_{2.5} concentrations than ones using CE=0.5. Thus, we a constant CE of 0.5, in consistent with many other AMS studies, was employed for this dataset.

Unless specified, the concentrations of ammonium, sulfate, nitrate, chloride and organics are from M2 setting (tungsten vaporizer only), while the *r*BC data is from M1 setting (dual-vaporizers: tungsten + laser) in this paper. The meteorological data (RH, T, WS, WD and visibility), concentrations of gas-phase species (CO, NO₂, SO₂ and O₃) and PM_{2.5} were averaged into hourly data for comparisons with the SP-AMS data. The data reported are at local time, e.g., Beijing (BJ) Time.

214 Positive matrix factorization (PMF) (Paatero and Tapper, 1994) was applied on 215 the high resolution mass spectra (HRMS) of organic aerosol (OA) obtained under laser off W-mode (M4 setting) to elucidate the OA sources/processes. We used the 216 PMF 2.08A 217 Evaluation Tool version (downloaded from: 218 http://cires1.colorado.edu/jimenez-group/wiki/index.php/PMF-AMS Analysis Guide) 219 (Ulbrich et al., 2009) to investigate the PMF results by varying the number of factors (from 2 to 8 factors) and rotations ("fpeak", from -1 to 1 with an increment of 0.1). 220 221 Only ions with m/z less than or equal to 180 were included in the analyses. Following 222 the instruction detailed by Zhang et al. (2011), the 4-factor solution (at $f_{peak} = -0.1$) 223 was chosen as the optimal solution, as the 3-factor solution cannot separate the 224 hydrocarbon-like OA (HOA) and cooking OA (COA) (Fig. S2). For the 5-factor solution (Fig. S3), Factor 2 and Factor 4 are clearly a split from the SVOOA from the 225 4-factor solution ($r^2 = 0.89$ and slope of 1.05); Factor 2 of 5-factor solution also shows 226 227 much weaker correlations with nitrate than SVOOA of 4-factor solution does (r =228 0.07 vs. 0.49). A summary of the key diagnostic plots are provided in Fig. S4. Detailed discussion of the PMF results is presented in Section 3.5. Note we found no 229 significant differences between the PMF source apportionment results from the 230 HRMS of OA (without rBC) obtained with dual-vaporizers setting (M3 setting) and 231

current results (M4 setting, tungsten vaporizer only), as the OA HRMS acquired under
these two circumstances were overall very similar (details in Section 3.4).

234 Note the elemental ratios shown throughout the paper were all calculated based on the method proposed by Aiken et al. (2008) (referred to as A-A method). Recently, 235 236 Canagaratna et al. (2015) improved this methodology by using specific ion fragments 237 as markers to calculate the O/C and H/C ratios (referred to as I-A method). The I-A method increased the O/C ratio, H/C ratio, and the OM/OC ratio from the values 238 calculated from the A-A method, on average, by 28%, 10% and 8%, respectively (Fig. 239 S5). In this work, we used the results from the A-A method for consistency and 240 241 comparisons with previous AMS measurements.

242

243 **3. Results and discussion**

244 **3.1 Mass concentrations, chemical compositions and diurnal changes**

245 The temporal variations of meteorological parameters, concentrations of the gas 246 pollutants, concentrations and mass fractions of different PM_1 components, and the PM_{2.5} mass loadings (from Met one BAM-1020) over the sampling period are 247 illustrated in Fig. 1. During this study, the mean temperature was 18.5 °C, RH on 248 249 average was 64%, and wind predominantly blew from southeast and southwest (Fig. S6). The SP-AMS PM₁ concentrations ranged from 5.1 to 97.9 μ g m⁻³, with an 250 average of 28.2 μ g m⁻³. Note this average PM₁ concentration is significantly lower 251 than those observed during summer (38.5 μ g m⁻³), autumn (46.4 μ g m⁻³) and winter 252 (89.3 µg m⁻³) (Zhang et al., 2015; Zhang et al., 2016b), showing that the air during 253 springtime in Nanjing is cleaner than in other seasons. The variations of PM₁ 254 concentrations also match very well with $PM_{2.5}$ concentrations (Pearson's $r^2 = 0.72$), 255 and on average PM₁ accounts for $\sim 54\%$ of the PM_{2.5} mass. This ratio appears to be a 256 257 bit low, likely due to the uncertainty of CE of the SP-AMS.

The average PM_1 composition is shown in Fig. 2a. The most abundant component is found to be organics (45.0%), following by sulfate (19.3%), nitrate (13.6%), ammonium (11.1%), *r*BC (9.7%) and chloride (1.3%). Fig. 2b further shows changes

of the PM₁ chemical compositions in different concentration bins. It can be seen that although most PM₁ mass loadings are within 10 - 40 μ g m⁻³, high loading periods tend to have higher mass contributions from organics and *r*BC, and less contributions from secondary inorganic species, indicating that high PM events were influenced significantly by local fresh emissions.

The molar ratio of inorganic anions (sulfate, nitrate and chloride) to cations 266 (ammonium) is 1.05 (Fig. 3a) (Zhang et al., 2007b). Considering that a small fraction 267 of sulfate, nitrate and chloride are possibly associated with metal cations, such as Na⁺, 268 K^+ and Ca^{2+} , etc., it can be concluded that the NR-PM₁ was overall neutral throughout 269 270 the study. On the other hand, the molar ratio of inorganic anions to ammonium is on 271 average 1.17 (Fig. 3b) when dual-vaporizers are on. This may be partially due to 272 variations of ionization/collection efficiencies of the measured species as the addition 273 of laser beam may change the distribution of vaporized species inside the ion chamber, 274 and also because of the detection of sulfate, nitrate and chloride bonded with metal cations under the dual-vaporizers. These species don't evaporate on the tungsten 275 276 vaporizer under the laser-off mode. Indeed, more metal signals were observed with 277 the dual-vaporizers, as shown in Fig. S7.

278 Fig. 2c shows the average diurnal changes of organics, sulfate, nitrate, chloride 279 and rBC. Sulfate concentrations are slightly higher during daytime than during nighttime, indicating a significant contribution from photochemical reactions. Sulfate 280 also shows the least variations among all species, reflecting its regional behavior. 281 Except for sulfate, all other species present a dual-peak pattern, with one peak in early 282 283 morning and another one in early evening. The peaks of rBC and organics are likely 284 due to local traffic/cooking activities (see details in Section 3.5), while the behavior of 285 nitrate is likely driven by the thermodynamic gas-particle partitioning: $NH_3(g)$ + $HNO_3(g) \leftrightarrow NH_4NO_3(p)$ as it shows good anti-correlations with the diurnal changes 286 of temperatures (r = -0.72 for nitrate vs. T). The good correlations between the diurnal 287 cycles of nitrate and RH, in particular during nighttime, suggest a nighttime formation 288 pathway of nitrate, e.g., $N_2O_5 + H_2O = 2HNO_3$ and $HNO_3 + NH_3 = NH_4NO_3$. 289

290 Furthermore, we calculated the diurnal variations of the equilibrium constant of 291 NH₄NO₃ ($K_{p,AN}$) (Seinfeld and Pandis, 2006; Young et al., 2016) in Fig. 2c. The $K_{p,AN}$ displays a similar trend as nitrate (r = 0.68), providing strong evidence that nitrate 292 293 variations were governed mainly by the thermodynamic equilibrium. Chloride shows 294 similar behavior as nitrate, indicating it is driven by the equilibrium $NH_3(g) + HCl(g)$ \leftrightarrow NH₄Cl(p) as well (r = -0.76 for chloride vs. T). Therefore, when temperature rises, 295 296 more NH_4NO_3 and NH_4Cl can dissociate into gaseous NH_3 , HNO_3 and HCl, mass 297 loadings of particle-phase nitrate and chloride decrease correspondingly, and vice 298 versa.

299 In order to further elucidate the formation processes of sulfate, we calculated the oxidation ratios of sulfur (f_S) (Fig. 4a), defined as $f_S = nSO_4^{2-1}/(nSO_4^{2-1} + nSO_2)$ (Xu et 300 al., 2014), indicating the conversion of SO₂. Here nSO_4^{2-} and nSO_2 are the molar 301 quantities of particle-phase sulfate, and gas-phase SO₂, respectively. Diurnal 302 303 variations of $f_{\rm S}$ and RH are presented in Fig.4b, and Fig. 4c shows variations of sulfate and nitrate concentrations with RH. The diurnal profile of $f_{\rm S}$ shows a negative 304 correlation with that of RH (r = -0.52), and mass concentrations of sulfate even drop 305 306 under high RH conditions, indicating an insignificant role of aqueous-phase 307 processing for sulfate formation during this campaign. On the other hand, the $f_{\rm S}$ 308 reaches a maximum around 3 pm. Note the afternoon rise of fs and sulfate may be affected by the down mixing of sulfate formed earlier, however, since concentrations 309 of all other aerosol species that mix with sulfate decrease significantly, we postulate 310 that the increase of fs likely suggest the photochemical production of sulfate in the 311 312 afternoon.

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314 **3.2** Chemically-resolved size distributions

The campaign-averaged mass-based size distributions, fractional contributions and diurnal size distributions (image plots) of the major PM_1 species are depicted in Fig. 5 (temporal variations of the mass-based size distributions of these PM_1 species over the whole measurement period are provided in Fig. S8). Note the size

distribution of rBC in these plots were scaled from the size distribution of m/z 24 319 320 (C_2^+) , as other major rBC ion clusters may be heavily influenced by other ions, such as C⁺ signal but from organics at m/z 12 (C⁺), HCl⁺ signal at m/z 36 (C₃⁺), SO⁺ signal 321 at m/z 48 (C₄⁺), C₂H₄O₂⁺ signal at m/z 60 (C₅⁺). It also should be note that, although 322 the AMS is able to capture the bulk of atmospheric accumulation mode particles 323 (Canagaratna et al., 2007), right side of size distributions may be affected by the 324 incomplete transmission of larger particles limited by the SP-AMS inlet (in particular, 325 326 the supermicron ones).

As can be expected, all inorganic species (sulfate, nitrate, chloride and 327 328 ammonium) display a unimodal distribution with an accumulation mode peaking 329 ~550 nm (vacuum aerodynamic diameter, D_{va} (DeCarlo et al., 2004)), since they were 330 mainly formed from secondary reactions. The organics has a much broader size 331 distribution across from ultrafine (<100 nm) to supermicron meter range, with a small sub-peak centering ~120 nm in addition to the major peak at ~440 nm, indicating 332 333 influences from both primary and secondary emissions. On the contrary, size 334 distribution of rBC behaves very differently from other components, which peaks at 90 - 200 nm range, reflecting clearly that it is mainly originated from primary 335 emissions. Overall, the small particles are predominantly consisted of organics and 336 337 rBC, which together account for more than 90% of the ultrafine particle mass. Mass contributions from inorganic species increase significantly with the increase of 338 particle size, and they dominate masses of particles larger than 400 nm (Fig. 5b). 339

In line with the diurnal mass loadings of the PM_1 species shown in Fig. 2c, the diurnal size distribution of sulfate is generally stable, with masses concentrating in the 400 - 700 nm range throughout the day (Fig. 5c); while the size distributions of nitrate, chloride and organics present clear enhancements in the 300 - 700 nm range during early morning and early evening due to increased mass concentrations of these species during these two periods. The size distribution of *r*BC is also enhanced during the morning and evening hours, but it extends to a much smaller size range (<100 nm).

348 **3.3 PM₁ contributions on visibility impairment**

In order to figure out the major species that are responsible for the visibility degradation, here we employed the IMPROVE method to reconstruct the light extinction coefficients (b_{ext}). b_{ext} values are derived from the measured visibility: $b_{ext}=3.91/V_s$ (Kong et al., 2015), where V_s stands for the visibility (in meter). The following IMPROVE formula (Yang et al., 2007) was used:

354
$$b_{\text{ext}} = 3f(\text{RH})\{[(\text{NH}_4)_2\text{SO}_4] + [\text{NH}_4\text{NO}_3] + [\text{NH}_4\text{Cl}]\} + 4[\text{OM}] + 10[\text{BC}] + 1[\text{soil}] + 10[\text{BC}] + 1[\text{soil}] + 10[\text{BC}] + 1[\text{soil}] + 10[\text{BC}] +$$

355 Where f(RH) is a RH-dependent empirical coefficient which considers the effects of 356 water uptake by inorganic salts on the light extinction; the f(RH) values used here 357 were taken from Malm and Day (2001), which were regressed from the Great Smoky data set. [(NH₄)₂SO₄], [NH₄NO₃], [NH₄Cl], [OM], and [BC] represent the mass 358 concentrations of ammonium sulfate, ammonium nitrate, ammonium chloride, 359 organics and black carbon directly from the SP-AMS measurements (in $\mu g m^{-3}$) 360 $([(NH_4)_2SO_4] = 1.375*[SO_4^{2-}], [NH_4NO_3]=1.29*[NO_3^{-}] \text{ and } [NH_4Cl] = 1.51*[Cl^{-}]).$ 361 Since the SP-AMS cannot accurately measure soil components (e.g., various 362 363 metals/metal oxides/metal salts), the term [soil] was set to zero during calculations.

By using this method, the reconstructed visibilities match reasonably well with 364 the measured values ($r^2 = 0.50$) as shown in Fig. 6a. Fig. 6b shows the time series of 365 366 the measured and reconstructed extinction coefficients throughout the whole sampling period. It should be noted that, on average, the measured PM_1 species are only able to 367 explain $\sim 44\%$ of the light extinction. This is likely due to that: 1) as shown earlier, the 368 SP-AMS measured PM₁ only occupies \sim 54% of the PM_{2.5} mass; 2) we didn't include 369 370 contributions from soil components, coarse particles and also some gas-phase species 371 (such as NO₂); 3) although the influences of water are included in part through f(RH)372 for inorganic salts, the water uptake by organic species are not considered explicitly, 373 which can be significant especially for the SOA under high RH conditions (Duplissy 374 et al., 2011; Denjean et al., 2015). Indeed, as shown in Fig. 6a, reconstructed visibilities appear to deviate more significantly from the measured visibilities under 375 high RH than ones under low RH conditions, suggesting the importance of 376

particle-bounded water on visibility degradation. The pie chart in Fig. 6b presents the average relative contributions of different components to the light extinction of PM₁. The largest contributor is organics which accounts for 37.7%, followed by ammonium sulfate (25.1%), *r*BC (20.7%), ammonium nitrate (15.1%) and a minor contributor of ammonium chloride (1.4%).

382

383 3.4 Chemical characteristics of OA

384 The unique laser vaporizer of SP-AMS allows it to detect rBC and species coated on the rBC core including both non-refractory and refractory organics, thus 385 386 comparison between the OA mass spectra obtained with dual-vaporizers and tungsten 387 vaporizer settings, can infer some information regarding the chemical features of 388 refractory organics, which were unable to be determined by any other types of AMS. 389 As shown in Fig. 7a and 7b, the OA obtained with dual-vaporizers setting have 390 slightly higher oxygen-to-carbon (O/C) ratio (0.28 vs. 0.27), nitrogen-to-carbon (N/C) ratio (0.033 vs. 0.032) and lower hydrogen-to-carbon (H/C) ratio (1.50 vs. 1.52) than 391 392 the corresponding elemental ratios of OA obtained with the tungsten vaporizer only. 393 This result indicates that refractory organics are likely more oxygenated than the non-refractory organics, and for this dataset it is mainly due to a higher fractional 394 contribution from $C_2H_3O^+$ (see the inset of Fig. 7a). This is different from the results 395 on laboratory-generated nascent soot, where larger fCO_2^+ (i.e., the fraction of total 396 organic signal contributed by CO_2^+) was observed with the dual-vaporizers setting, 397 indicating the variability of the chemical compositions of refractory organics. 398

It should be noted that, accurate determination of refractory organics is very difficult, because: 1) A large portion of refractory organics cannot be detected by the SP-AMS if they didn't coat on *r*BC cores; 2) To accurately measure the species only coated on *r*BC cores, the tungsten vaporizer has to be physically removed, otherwise the vaporizer temperature is still around 150° C even its power is turned off, and the non-refractory organics that don't coat on *r*BC cores can still be measured, and complicates the analyses; 3) The CE and IE values for different species may vary 406 under different vaporizer settings, so that direct subtraction of organics measured 407 under tungsten-only setting from the organics measured under dual-vaporizer setting 408 may not represent the real refractory organics; 4) Some ions measured under 409 dual-vaporizer setting are likely induced by the laser itself rather than the 70 ev electron impact. For example, a series of fullerene-like carbon clusters can be 410 generated by the laser itself, even though they don't really exist in the atmosphere 411 412 (Wang et al., 2016a; Onasch et al., 2015). This laser-induced ion formation scheme 413 may work for other organics, thus makes it even more difficult for identifying the refractory organics. Further studies are essential to investigate this issue. 414

415 Overall, the O/C ratio (0.27) of OA in Nanjing during springtime is a bit lower 416 than those observed at other urban locations in China – for instances, 0.30 in Shenzhen (He et al., 2011), 0.31 in Shanghai (Huang et al., 2012b), 0.33 in Lanzhou 417 (Xu et al., 2014) and 0.34 in Beijing (Zhang et al., 2014), and much lower than those 418 at rural sites – for instances, 0.47 in Kaiping (Huang et al., 2011) and 0.59 in 419 Changdao (Hu et al., 2013). As O/C ratio is a good indicator of the aging degree of 420 421 OA, the relatively low O/C level indicates a significant contribution from fresh 422 emissions in Nanjing aerosols during springtime. Accordingly, the non-refractory OA (pie chart in Fig. 7b) is dominated in hydrocarbon $C_x H_v^+$ ions (51.2%) rather than the 423 oxygen-containing ion fragments (37.4% of $C_xH_yO_1^+$ and $C_xH_yO_2^+$). 424

The scatter plot of f44 (mass fraction of m/z 44 to the total OA) vs. f43 (mass 425 fraction of m/z 43 to the total OA) (a.k.a., triangle plot) (Ng et al., 2010) was often 426 used to investigate the oxidation degrees of OA. As presented in Fig. 8, most OA 427 428 reside in the bottom end of the triangular region, again pointing out the less-oxygenated behavior of the OA. Since the HRMS can separate different ions at 429 the nominal m/z, we also examined the $fCO_2^+ vs$. $fC_2H_3O^+$ space and illustrated it in 430 Fig. S9 - many OA locate outside the triangular region, yet still close to the bottom. 431 Moreover, m/z 60 (mainly C₂H₄O₂⁺) is a significant fragment ion of levoglucosan, 432 433 which is well known as the biomass burning aerosol tracer (Alfarra et al., 2007). 434 However, as f60 (mass fraction of m/z 60 to the total OA) is very low in OA (average

435 $\pm 1\sigma = 0.4 \pm 0.06$ %), indicating no biomass burning influences on the OA properties 436 during springtime in Nanjing.

437

438 **3.5 Sources and evolution processes of OA**

In order to further elucidate the sources and evolution processes of OA, we performed PMF analyses and identified four OA components, including two primary OA (POA) factors – a traffic-related hydrocarbon-like OA (HOA) and a cooking-related OA (COA), and two secondary OA factors – a semi-volatile oxygenated OA (SV-OOA) and a low volatility OOA (LV-OOA). Details about their characteristics are discussed below.

445 **3.5.1 Mass spectral features of the OA factors**

The mass spectral profiles, time-dependent mass concentrations of the four OA 446 447 factors and corresponding tracer ions are presented in Fig. 9. The HOA mass spectrum is overall dominated by the $C_x H_v^+$ ions (73.2%), such as $C_3 H_7^+$, $C_4 H_7^+$, $C_4 H_9^+$, $C_5 H_9^+$ 448 etc., which are most likely produced from alkanes and cycloalkanes emitted from fuel 449 and lubricating oil burning (Canagaratna et al., 2004). This feature is in good 450 451 agreement with the mass spectral features of POA directly from vehicle 452 emissions(Collier et al., 2015), and the HOA factors determined in many other 453 locations (e.g., Ge et al., 2012b; Huang et al., 2010; Sun et al., 2011). HOA has the lowest O/C ratio (0.10) and highest H/C ratio (1.75) among all factors, representing its 454 behavior as primary fresh emissions. The COA mass spectrum is also rich in $C_x H_v^+$ 455 ions (64.7%), but having more oxygenated ions ($C_xH_yO_z^+$) than the HOA (26.5% vs. 456 15.4%), especially $C_3H_3O^+$ and $C_3H_5O^+$ ions. The significant contributions of $C_3H_3O^+$ 457 and $C_3H_5O^+$ to m/z 55 and m/z 57 are a common feature of COA, that has been 458 459 reported in various urban locations around the world, for examples, Beijing (Sun et al., 460 2015a), London (Allan et al., 2010), Fresno (Ge et al., 2012b), New York City (Sun et al., 2011) and Barcelona (Mohr et al., 2012; Mohr et al., 2015). These 461 462 oxygen-containing ions are in part generated from the fragmentation of fatty acids in the cooking aerosols (Ge et al., 2012b). As a result, COA has a higher O/C ratio of 463

0.16 and a lower H/C ratio of 1.67 than those of HOA. The O/C and H/C levels of
COA in this work are also close to those identified in other locations aforementioned.
The consistency of the chemical characteristics of COA from such different locations
suggests that ambient COA is more relevant to the cooking oil rather than the different
types of food, which was postulated earlier by Allan et al. (2010).

Unlike the two POA factors, SV-OOA and LV-OOA are both abundant in 469 oxygen-containing fragments ($C_xH_yO_z^+$ ions), which are 46.4% and 54.8%, 470 respectively. The higher O/C ratio (0.55 vs. 0.32) and more $C_x H_v O_2^+$ ions (18.8% vs. 471 11.8%) in the LV-OOA mass spectrum than those of the SV-OOA, reflecting the fact 472 that LV-OOA went through more aging/oxidation reactions than SV-OOA. The O/C 473 474 ratio of SV-OOA is 0.32, which is within the O/C range of SV-OOA observed 475 worldwide (Jimenez et al., 2009). The LV-OOA O/C ratio of 0.55 is in the lower end 476 compared to the O/C levels of LV-OOA observed in other China sites, for examples, 477 0.64 in Kaiping (Huang et al., 2011), 0.65 in Shanghai (Huang et al., 2012b), 0.68 in Lanzhou (Xu et al., 2014), 0.78 in Changdao (Hu et al., 2013) and 0.80 in Hong Kong 478 479 (Lee et al., 2013).

480 Consistently, in the f44 vs. f43 space (Fig. 8), SV-OOA situates near the bottom 481 side while LV-OOA approaches to the upper part of the triangular region, because of a much larger fractional contribution of CO_2^+ in the LV-OOA mass spectrum. HOA and 482 COA, as POA factors, both reside in the bottom end of the plot, away from SV-OOA 483 and LV-OOA; while they locate outside the triangle in the fCO_2^+ vs. $fC_2H_3O^+$ space 484 (Fig. S9), indicating that the HRMS acquired by the SP-AMS is better in 485 differentiating POA factors from other SOA factors than the unit mass resolution 486 487 (UMR) data.

In order to justify the OA factors identified in this study, we compared the spectral similarities of the OA factor spectral profiles (in both HR and UMR) with those separated during wintertime in Beijing (Sun et al., 2015a), summertime in Lanzhou (Xu et al., 2014), and wintertime in Fresno (Ge et al., 2012b;Ge et al., 2012a). The results are listed in Table 1. Indeed, the HOA, COA and LV-OOA mass spectra are highly similar to the corresponding factors identified in Bejing, Lanzhou and Fresno ($r^2 > 0.87$); SV-OOA also correlates fairly well with Bejing and Lanzhou SV-OOA too, but with relative low r^2 (0.68 – 0.75), mainly because of one or two ion fragments, namely, higher CO⁺ and CO₂⁺ signals in Beijing SV-OOA and higher C₂H₃O⁺ signal in Lanzhou SV-OOA than those in Nanjing SV-OOA. The SV-OOA on the other hand, correlates very well with the Fresno OOA ($r^2 = 0.90$ and 0.91).

Moreover, as presented in Fig. 9a, the HOA mass spectrum contains relatively 499 higher fraction of ions with large m/z values (m/z > 100) than that of COA (14.0% vs. 500 8.2%), and most of these ions are $C_x H_v^+$ ions, probably from fuel burning emitted 501 long-chain alkanes, etc. The SV-OOA also includes more large m/z ion fragments (m/z> 502 503 100) than those in the LV-OOA mass spectrum (10.5% vs. 5.3%), likely suggesting that further oxidation of SOA species may lead to the fragmentation of high molecular 504 weight species and formation of small molecules -a mechanism verified by both 505 506 lab-scale experiments (e.g., Yu et al., 2014) and field measurements (e.g., Lee et al., 507 2012).

3.5.2 Temporal variations, diurnal patterns and relative contributions of the OA factors

510 The temporal variations of different OA factors and their corresponding tracer ions are displayed in Fig. 9b. $C_4H_9^+$ ion, a.k.a., the HOA mass spectral tracer (Zhang 511 et al., 2005) indeed varies very closely to the HOA ($r^2 = 0.94$). Time series of the COA 512 tracer ion $C_6H_{10}O^+$ (and also $C_5H_8O^+$, $C_7H_{12}O^+$) (Sun et al., 2011;Ge et al., 2012b) 513 match very well with that of COA too ($r^2 = 0.90$). SV-OOA correlates better with 514 $C_2H_3O^+$ ($r^2 = 0.90$) than with CO_2^+ ($r^2 = 0.66$). Although LV-OOA doesn't correlate 515 very well with CO_2^+ ($r^2 = 0.12$) mainly due to the mismatch during April 23 - 26, the 516 correlation is still much better than it with $C_2H_3O^+$ ($r^2 < 0.001$). In Table 2, we 517 tabulate the correlation coefficients (r) of the four OA factors with the gas-phase 518 species, BC and inorganic species. Note we used Pearson's r not r^2 here since some 519 correlation coefficients are negative. From the table, it is clear that the traffic-related 520 gaseous species, CO and NO₂, correlate best with HOA among all OA factors; 521

522 SV-OOA correlates better with nitrate (r = 0.49) than it with sulfate (r = 0.11); 523 LV-OOA correlate better with sulfate (r = 0.23) that it with nitrate (r = 0.11). All these 524 results are consistent with the traffic origin of HOA, the semi-volatile and 525 low-volatility behaviors of SV-OOA and LV-OOA.

Accordingly, diurnal cycles of the OA factors are presented in Fig. 10a. 526 Correlation coefficients (r) of the diurnal variations between OA factors with 527 gas-phases and inorganic species are provided in Table 2, as well. HOA 528 concentrations show an early morning peak, and it overall remains at high levels 529 during nighttime. Besides the impacts of boundary layer height, this is also due to 530 531 enhanced emissions from construction vehicles around the site, which were in fact 532 much more active during nighttime than during daytime because of the restrictions of Nanjing government. Most of those vehicles used low-quality diesel fuel, and could 533 534 emit a large amount of rBC particles. The rBC diurnal pattern is indeed almost identical to that of HOA (r = 0.99), indicating that the HOA during this campaign was 535 536 apparently associated with the construction vehicle emissions. COA concentrations 537 increase during noon (12 pm - 1 pm) and early evening, in response to the lunchtime and dinnertime cooking activities. SV-OOA concentrations decreases from 9 am, and 538 reach a minimum during afternoon (3 pm - 4 pm), oppositely to the variation of 539 temperatures (r = -0.85) but similar to that of nitrate (r = 0.53), corroborating its 540 semi-volatile feature. Different from other factors, LV-OOA concentrations increase 541 542 during daytime and shows positive correlation with temperature (r = 0.76); it also has negative correlation with the diurnal cycle of RH (r = -0.75). Both behaviors are 543 similar to those of sulfate (r = 0.72 for the diurnal cycle of LV-OOA vs. sulfate), 544 indicating the leading role of photochemical oxidation for LV-OOA formation as well. 545

As shown in Fig. 10b, due to mainly the increase of LV-OOA mass loading, OA is overwhelmingly dominated by the SOA (SV-OOA + LV-OOA) during afternoon (80.2% at 3 pm); POA (HOA + COA) only dominates the OA mass during morning (53.2% at 7 am) and early evening (56.9% at 8 pm) in response to the enhanced traffic and cooking emissions. On average, the OA is composed of 27.6% of HOA, 16.9% of

551 COA, 27.4% of SV-OOA and 28.1% of LV-OOA (Fig. 10c), with SOA outweighing 552 POA (55.5% *vs.* 44.5%). However, as shown in Fig. 10d, with the increase of OA 553 mass loadings, the fractional contribution of POA increases, highlighting the 554 important and direct influences of anthropogenic emissions on the heavy pollution 555 haze events.

556

557 3.5.3 Local/regional influences and evolution processes of the OA factors

Combining WS, WD and mass loadings, the bivariate polar plots of the four OA 558 factors, rBC, total OA, nitrate, sulfate and the total PM_1 are shown in Fig. 11. These 559 560 plots provide an effective graphical method for showing the potential influences of air 561 masses from different directions with different wind speeds to the receptor site (Carslaw and Beevers, 2013). Clearly, high mass loadings of HOA and rBC mostly 562 link with low WS ($< 1 \text{ m s}^{-1}$), indicating they are mainly from local vehicle emissions. 563 High COA concentrations occur mainly under low WS as well, but with some high 564 concentrations accompanied with air masses from southeast under higher WS. 565 566 SV-OOA appears to be mainly formed locally, except for a concentration hotspot in 567 the southeast – likely due to emissions from the tobacco factory that resides in that 568 direction. Nitrate, as a semi-volatile species, behaves overall similar to the SV-OOA. High concentrations of LV-OOA are distributed in all directions under higher WS, 569 similar to that of sulfate, representing their regional behaviors. Overall, high PM1 570 mass loadings occur mainly under low WS, indicating that the PM₁ is heavily affected 571 by local emissions rather than pollutants in a regional scale. 572

The aging of OA can be described in general by the increase of O/C and decrease of H/C. In this regard, we plotted the Van Krevelen diagram (Heald et al., 2010) (Fig. 12a) to show the relationships between H/C and O/C ratios for all OA as well as the four OA factors. Overall, in this study, the H/C and O/C ratios of OA data are correlated linearly with a slope of -1.04 ($r^2 = 0.93$). Interestingly, the two OOA factors lie very well on the fitted straight line. This trend may suggest that the evolution of secondary OA during this campaign follows a transformation pathway of SV-OOA to LV-OOA. The diurnal cycle of LV-OOA varies oppositely to that of SV-OOA (r = -0.86), probably supporting this hypothesis. In addition, SV-OOA and LV-OOA mass concentrations, and O/C ratios of OA all show no obvious correlations with the RH as shown in Fig. 12b and Fig. 12c, indicating that aqueous-phase processing is insignificant compared to the photochemical processing for the oxidation of OA.

585

586 **4. Conclusions**

587 We present for the first time the real-time measurement results using the SP-AMS on submicron aerosols in urban Nanjing during springtime (April 13 - 29, 2015). The 588 589 dynamic variations of SP-AMS determined PM₁ mass loadings, agreed well with the PM_{2.5} measured by the Met One PM_{2.5} analyzer. The average PM₁ concentration was 590 28.2 µg m⁻³, lower than previously ACSM-determined PM₁ concentrations during 591 summer and winter in Nanjing. Organics on average comprised the largest fraction 592 (45%) of PM₁, and its fractional contributions increased in case of high PM₁ mass 593 loadings. The diurnal cycles of mass concentrations of organics, rBC, nitrate and 594 595 chloride all presented a similar behavior, which was high in early morning and 596 evening, but low in the afternoon. Concentrations of sulfate, on the contrary, increased during afternoon. Further investigations of $f_{\rm S}$, sulfate concentrations and its 597 relationship with RH suggest that photochemical processing contributed significantly 598 599 to sulfate formation compared to the aqueous-phase processing, while nitrate (and 600 chloride) formation was mainly governed by the thermodynamic equilibrium. The 601 chemically-resolved mass-based size distribution data showed that rBC occupied a 602 large fraction of ultrafine particles, while secondary inorganic species could dominate the mass of particles larger than 400 nm (D_{va}). In addition, by using the IMPROVE 603 604 method, we found that the observed PM_1 components were able to reproduce ~44% of 605 the light extinction during this study.

PMF analyses resolved four OA factors, e.g., HOA, COA, SV-OOA and LV-OOA.
Mass spectral profiles of these factors agree very well with the corresponding factors
identified at other locations. The springtime OA showed no influences from biomass

burning emissions. On average, the OA is dominated by SOA (55.5%), but POA 609 610 appeared to be more important when the OA mass loadings are high, and can be 611 dominant in early morning and evening. Diurnal cycle of SV-OOA varied similarly to that of nitrate, reflecting its semi-volatile behavior. Diurnal variations of LV-OOA 612 613 showed great resemblance to that of sulfate. The bivariate polar plots indicate that 614 SV-OOA was formed locally, and the Van Krevelen diagram further suggests a transformation from SV-OOA to LV-OOA in Nanjing. Generally, our highly 615 616 time-resolved SP-AMS measurement results may offer useful insights into the aerosol chemistry, and have important implications for the PM control and reduction in this 617 618 densely populated region.

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Figure 1. Time series of (a) relative humidity (RH) and temperature (T), (b) wind direction (WD) colored by wind speed (WS, m s⁻¹) and visibility (km), (c) mass concentrations of CO, NO₂, SO₂ and O₃ (hourly data), (d) mass concentrations of PM₁ measured by the SP-AMS, and PM_{2.5} measured by the co-located Met One PM_{2.5} analyzer, (e) mass concentrations of *r*BC, ammonium, sulfate, nitrate, chloride and organics, and (f) mass contributions (%) of the six PM₁ components (BJ, Beijing).





Figure 2. (a) Campaign-averaged mass contributions of organics, sulfate, nitrate, 1006 ammonium, chloride and rBC to the total PM_1 , (b) mass percentages of the six PM_1 1007 species (left y-axis) and, fractions of the number of data points to the total number of 1008 data points for PM_1 at different concentration bins (right y-axis), (c) diurnal patterns 1009 1010 of mass concentrations of the major PM_1 species (bottom panel), temperature (top 1011 panel, left y-axis), relative humidity (RH) (top panel, right y-axis), and the equilibrium constant NH₄NO₃ 1012 $(K_{\rm p,AN})$ of (top panel, right y-axis) $(K_{p,AN} = K_{p,AN}(298)exp\left\{a\left(\frac{298}{T} - 1\right) + b\left[1 + ln\left(\frac{298}{T}\right) - \frac{298}{T}\right]\right\}$ for 1013 reaction $NH_4NO_3(p) \leftrightarrow NH_3(g) + HNO_3(g)$. $K_{p,AN}(298)$ is the equilibrium constant at 298 K 1014 $(3.36 \times 10^{16} \text{ atm}^{-2})$, a = 75.11, and b = -13.5 (Seinfeld and Pandis, 2006)), (d) diurnal 1015 variations of mass fractional contributions of the six PM_1 species (left y-axis), and the 1016 PM₁ mass concentrations (right y-axis). 1017 1018 1019



Figure 3. Scatter plots of the predicted NH_4^+ vs. measured NH_4^+ concentrations (colored by time), in the case of (a) tungsten vaporizer only, and (b) dual-vaporizers (tungsten + laser). The predicted values were calculated according to the formula: NH_4^+ predicted = $18 \times (2 \times SO_4^{-2}/96 + NO_3^{-}/62 + CI^{-}/35.5)$ (Zhang et al., 2007b).





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Figure 4. Time series of (a) sulfur oxidation ratio, $f_{\rm S} = n {\rm SO_4}^{2-}/(n {\rm SO_4}^{2-} + n {\rm SO_2})$, and sulfate, (b) diurnal variations of $f_{\rm S}$ and RH (the lines and cross symbols indicate the mean values, the lines in the boxes indicate the median values, the upper and lower boundaries of the boxes indicate the 75th and 25th percentiles, and the whiskers above and below the boxes indicate the 90th and 10th percentiles), (c) Sulfate and nitrate concentrations *vs.* RH, the circles or squares represent the average concentrations within different RH bins (5% increment) for sulfate and nitrate, respectively.



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Figure 5. (a) Mass-based average size distributions of organics, *r*BC (left *y*-axis), sulfate, nitrate, chloride and ammonium (right *y*-axis) (D_{va} , vacuum aerodynamic diameter), (b) fractional contributions of the six PM₁ species as a function of particle size (left *y*-axis), and size distribution of total PM₁ (right *y*-axis), (c) diurnal profiles of the size distributions of *r*BC, organics, nitrate, chloride and sulfate.



Figure 6. (a) Scatter plot of reconstructed *vs.* measured visibility (colored by RH), (b) light extinction coefficients derived from measured visibility (grey), and reconstructed from SP-AMS measured ammonium sulfate, ammonium nitrate, ammonium chloride, organics and *r*BC using the IMPROVE method. The inset pie shows the relative contributions of the five species to the light extinction of PM_1 .



Figure 7. Campaign-averaged high resolution mass spectra of OA colored by six ion categories, in the case of (a) dual-vaporizers (tungsten + laser) (the inset scatter plot compares the spectral similarity between (a) and (b)), and (b) tungsten vaporizer only (the inset pie shows the relative contributions of six ion categories to the total OA).



Figure 8. Triangle plot of *f*44 *vs. f*43 for all OA (colored by time), and the four OA factors identified by the PMF analyses.



Figure 9. (a) High resolution mass spectra of hydrocarbon-like OA (HOA), cooking-related OA (COA), semi-volatile oxygenated OA (SV-OOA), and low volatility oxygenated OA (LV-OOA) colored by six ion categories (the four inset pies show the relative contributions of the six ion categories to the four OA factors, respectively), (b) time series of the four OA factors, corresponding tracer ions, nitrate and sulfate.

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Figure 10. (a) Diurnal cycles of mass concentrations of the four OA factors (bottom panel), temperature (top panel, left *y*-axis) and RH (top panel, right *y*-axis), (b) diurnal variations of mass contributions of the four OA factors (left *y*-axis), and the total OA mass concentrations (right *y*-axis), (c) campaign-averaged mass contributions of the four OA factors to the total OA mass, and (d) mass contributions of the four OA factors (left *y*-axis), and the fractions of the number of data points to the total number of data points for the OA at different concentration ranges (right *y*-axis).

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Figure 11. Bivariate polar plots of HOA, COA, SV-OOA, LV-OOA, *r*BC, PM₁, nitrate,
sulfate and the total OA (the color scale shows the concentration of each species, and
the radical scale shows the wind speed that increases outward from the center).



Figure 12. (a) Van Krevelen diagram of H/C vs. O/C ratios for all OA data colored by time, the blue and red dashed lines correspond to the right and left grey dashed lines in the f44 vs. f43 triangle plot of Fig. 8, the grey lines represents the addition of a particular functional group to an aliphatic carbon (Heald et al., 2010), (b) scatter plot of SVOOA and LVOOA mass concentrations vs. RH, the circles or squares represent the average mass concentrations within different RH bins (10% increment) for SVOOA and LVOOA, respectively, (c) scatter plot of O/C vs. RH (colored by time), the circles represent the average O/C values within different RH bins (10% increment).

Table 1. Correlation coefficients (Pearson's r^2) between the mass spectral profiles of the OA factors identified in this work with the corresponding factors identified in Beijing (2013 Winter) (Sun et al., 2015a), Lanzhou (2014 Summer) ((Xu et al., 2014)), and Fresno (2010 Winter) (Ge et al., 2012b).

Nanjing	High resolution MS (r^2)					
(2015 Spring)	Beijing	Lanzhou	Fresno			
	(2013 Winter)	(2012 Summer)	(2010 Winter)*			
HOA	0.92	0.90	0.98			
COA	0.93	0.94	0.93			
SV-OOA	0.68	0.75	0.90			
LV-OOA	0.91	0.98	0.87			
	Unit mass resolution MS (r^2)					
HOA	0.92	0.91	0.99			
COA	0.96	0.96	0.95			
SV-OOA	0.70	0.74	0.91			
LV-OOA	0.90	0.98	0.89			

*Note the Fresno (2010 Winter) study only identified one OOA factor, we thus

1115 compared both SV-OOA and LV-OOA in this study with it.

Table 2. Correlation coefficients (Pearson's r) between the time series of the four OA factors with the gas-phase species (hourly data) and other PM₁ components (15-min data), and the correlation coefficients between the diurnal data.

Pearson's r	Temp.(T)	СО	NO ₂	SO_2	O ₃	SO_4^{2-}	NO ₃ -	Cl	rBC
Hourly data							15-mi	n data	
HOA	-0.14	0.71	0.77	0.13	-0.54	0.15	0.26	0.45	0.92
COA	0.11	0.50	0.58	-0.06	-0.22	0.19	0.07	0.08	0.61
SVOOA	0.19	0.41	0.70	0.14	-0.21	0.11	<u>0.49</u>	0.25	0.70
LVOOA	0.069	-0.2	-0.18	0.06	0.14	<u>0.23</u>	<u>0.11</u>	0.01	-0.22
	Diurnal data								
HOA	-0.94	0.86	0.86	0.66	-0.96	-0.35	0.72	0.82	<u>0.99</u>
COA	-0.15	0.28	0.59	-0.24	-0.24	-0.57	-0.33	-0.25	0.19
SVOOA	-0.85	0.86	0.94	0.58	-0.90	-0.51	0.53	0.61	0.89
LVOOA	<u>0.76</u>	-0.58	-0.83	-0.27	0.77	<u>0.72</u>	-0.26	-0.33	-0.75

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